# PROJECT MANUAL



# BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION

30 RYDER ROAD, OSSINING, NY 10562

CPL NO: 14428.11
DOCUMENT DATE: DECEMBER 18, 2020
SED PROJECT NO: 66-14-01-03-0-001-022

#### DESIGN PROFESSIONAL'S CERTIFICATION

The undersigned certifies that, to the best of his or her knowledge, information and belief, the design conforms to all applicable provisions of the Building Code of New York State, the New York State Energy Conservation Construction Code, and the Manual of Planning Standards of the New York State Education Department.

ARCHITECT/ENGINEER CPL 50 FRONT STREET NEWBURGH, NY 12550 (800) 274-9000 - PH OWNER
OSSINING UNION FREE SCHOOL DISTRICT
400 Executive Boulevard

Ossining, NY 10562 (914) 941-7700 Ph



#### SECTION 00 0110 - TABLE OF CONTENTS

# **LIST OF SPECIFICATION SECTIONS**

DIVISION 00	- PROCUREMENT AND CONTRACTING REQUIREMENTS
00 0110	TABLE OF CONTENTS
00 1112	ADVERTISEMENT FOR BID
00 2000	INSTRUCTIONS TO BIDDERS
00 2010	GENERAL INSTRUCTIONS TO BIDDERS
00 3000	REQUESTS FOR CLARIFICATION OF BID DOCUMENTS
00 3113	CONSTRUCTION SCHEDULE
00 4010	FORM OF PROPOSAL – ALL WORK
00 4510	ASBESTOS NOTIFICATION
00 4951	IRAN DIVESTMENT ACT CERTIFICATIONS
00 4960	BID PROPOSAL CERTIFICATIONS
00 4970	HOLD HARMLESS AGREEMENT
00 5100	AGREEMENT FORM
00 6000	PROJECT FORMS
00 7200	GENERAL CONDITIONS
00 7250	INSURANCE REQUIREMENTS – CONTRACTOR
00 7343	PREVAILING WAGE RATES
D. I.	CENTED AT DECLYDER STATE
	- GENERAL REQUIREMENTS
01 1000	SUMMARY
01 1125	SUMMARY OF CONTRACT
01 2100	ALLOWANCES
01 2200	UNIT PRICES
01 2600	CONTRACT MODIFICATION PROCEDURES
01 2900	PROJECT MANAGEMENT AND COORDINATION
01 3100	PROJECT MANAGEMENT AND COORDINATION
01 3150	COVID-19 CONTRACTOR COMPLIANCE
01 3200	CONSTRUCTION PROGRESS DOCUMENTATION SUBMITTAL PROCEDURES
01 3300 01 4000	
01 4119	QUALITY REQUIREMENTS REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)
01 4119	WORK RESTRICTIONS
01 4200	REFERENCES
01 4533	STATEMENT OF SPECIAL INSPECTIONS AND TESTS
01 5000	TEMPORARY FACILITIES AND CONTROLS
01 6000	PRODUCT REQUIREMENTS
01 7300	EXECUTION
01 7310	CUTTING AND PATCHING
01 7700	CLOSEOUT PROCEDURES
01 7823	OPERATION AND MAINTENANCE DATA
01 7839	PROJECT RECORD DOCUMENTS
01 7900	DEMONSTRATION AND TRAINING
	· <del>-</del>
DIVISION 02	- EXISTING CONDITIONS
02 0010	INFORMATION AVAILABLE TO BIDDERS
02 0800	ASBESTOS ABATEMENT PROCEDURES
02 4119	SELECTIVE REMOVAL
02 8300	LEAD SAFE WORK PRACTICES

OSSINING UFSD	BROOKSIDE ES CLA	ASSROOM ADDITION
14428 11	TABLE OF CONTENTS	00 0110 - 2

#### 

## **DIVISION 04 – MASONRY**

04 2000 UNIT MASONRY

#### **DIVISION 05 – METALS**

05 1200	STRUCTURAL STEEL FRAMING
05 2100	STEEL JOIST FRAMING
05 3100	STEEL DECKING
05 5000	METAL FABRICATIONS
05 5213	PIPE AND TUBE RAILINGS

#### **DIVISION 06 - WOOD, PLASTICS, AND COMPOSITES**

06 1053	MISCELLANEOUS ROUGH CARPENTRY
06 4023	INTERIOR ARCHITECTURAL WOODWORK

#### **DIVISION 07 - THERMAL AND MOISTURE PROTECTION**

07 1416	COLD-FLUID APPLIED WATERPROOFING
07 2100	THERMAL INSULATION
07 2729	FLUID-APPLIED MEMBRANE AIR/VAPOR BARRIERS
07 5320	EPDM ROOFING
07 6200	SHEET METAL FLASHING AND TRIM
07 7100	ROOF SPECIALTIES
07 8413	PENETRATION FIRESTOPPING
07 8446	FIRE-RESISTIVE JOINT SYSTEMS
07 9200	JOINT SEALANTS
07 9513.13	INTERIOR EXPANSION JOINT COVER ASSEMBLIES
07 9513.16	EXTERIOR EXPANSION JOINT COVER ASSEMBLIES

#### **DIVISION 08 - OPENINGS**

08 1213	HOLLOW METAL FRAMES
08 1416	FLUSH WOOD DOORS
08 4114	ALUMINUM-FRAMED ENTRANCES
08 5113	ALUMINUM WINDOWS
08 7100	DOOR HARDWARE
08 8000	GLAZING
08 8110	FIRE-RATED GLASS

#### **DIVISION 09 – FINISHES**

09 2216	NON-STRUCTURAL METAL FRAMING
09 2900	GYPSUM BOARD
09 5113	ACOUSTICAL PANEL CEILINGS
09 6513	RESILIENT BASE AND ACCESSORIES
09 6519	RESILIENT TILE FLOORING
09 9113	EXTERIOR PAINTING
09 9123	INTERIOR PAINTING

#### **DIVISION 10 - SPECIALTIES** 10 1000 VISUAL DISPLAY BOARDS 10 4413 FIRE PROTECTION CABINETS 10 4416 FIRE EXTINGUISHERS **DIVISION 12 - FURNISHINGS** 12 2413 **ROLLER WINDOW SHADES DIVISION 22 – PLUMBING** 22 0517 SLEEVES AND SLEEVE SEALS FOR PLUMBING PIPING 22 0518 ESCUTCHEONS FOR PLUMBING PIPING 22 0529 HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT 22 0553 PLUMBING PIPING INSULATION 22 0719 22 1124 FACILITY NATURAL-GAS PIPING 22 1313 **FACILITY SANITARY SEWERS** 22 1319 SANITARY WASTE PIPING SPECIALTIES 22 1413 **FACILITY STORM DRAINAGE PIPING** STORM DRAINAGE PIPING SPECIALTIES 22 1423 **DIVISION 23- HVAC** 23 0001 GENERAL PROVISIONS FOR MECHANICAL WORK 23 0002 MECHANICAL AND ELECTRICAL COORDINATION 23 0513 COMMON MOTOR REQUIREMENTS 23 0550 WIND RESTRAINT FOR HVAC SYSTEMS 23 0553 IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT 23 0593 TESTING, ADJUSTING, AND BALANCING FOR HVAC 23 0713 **DUCT INSULATION** 23 0900 INSTRUMENTATION AND CONTROL FOR HVAC 23 0993 SEQUENCE OF OPERATIONS FOR HVAC CONTROLS 23 3113 **METAL DUCTS** 23 3300 AIR DUCT ACCESSORIES 23 3713 DIFFUSERS, REGISTERS, AND GRILLES 23 7413 ROOFTOP AIR CONDITIONERS 23 8239 **CABINET UNIT HEATERS DIVISION 26 – ELECTRICAL** GENERAL PROVISION FOR ELECTRICAL WORK 26 0000 26 0519 LOW-VOLTAGE CONDUCTORS AND CABLES 26 0526 **GROUNDING AND BONDING** 26 0529 HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS 26 0533 RACEWAYS AND BOXES 26 0544 SLEEVES & SLEVEVE SEALS FOR ELECTRICAL RACEWAYS & CABLING 26 0553 **ELECTRICAL IDENTIFICATION** 26 0923 LIGHTING CONTROL DEVICES 26 2416 **PANELBOARDS** 26 2616 ENCLOSED SWITCHES AND CIRCUIT BREAKERS 26 2726 WIRING DEVICES

26 2813

26 5100

**FUSES** 

INTERIOR LIGHTING

#### **DIVISION 27 – COMMUNICATIONS**

27 0000	COMMUNICATIONS INSTALLATION OVERVIEW
27 1116	COMMUNICATIONS RACKS, FRAMES AND ENCLOSURES
27 1323	COMMUNICATIONS OPTICAL FIBER BACKBONE CABLING
27 1500	COMMUNICATIONS HORIZONTAL CABLING

#### **DIVISION 28 – ELECTRONIC SAFETY AND SECURITY**

28 0153	CONDUCTORS AND CABLES FOR ELECTRONIC SAFETY AND SECURITY

#### 28 3111 FIRE ALARM SYSTEM

#### **DIVISION 31 – EARTHWORK**

31 1000	SITE CLEARING
31 2000	EARTH MOVING
31 2323	SOIL COMPACTION
31 2500	EROSION AND SEDIMENTATION CONTROL
31 5000	EXCAVATION SUPPORT AND PROTECTION

#### **DIVISION 32 – EXTERIOR IMPROVEMENTS**

32 1216	ASPHALT PAVING
32 1313	CONCRETE PAVING
32 9200	TURF AND GRASSES

#### **DIVISION 33 – UTILITIES**

33 0500	PIPE AND STRUCTURAL BACKFILL
33 1400	WATER DISTRIBUTION PIPING
33 4100	STORM UTILITY DRAINAGE PIPING
33 9300	STORM / SANITARY MANHOLES

#### **LIST OF DRAWINGS**

<b>GENERAL</b>	:

T-000 TITLE SHEET

#### ASBESTOS ABATEMENT:

BES-AA000 ASBESTOS NOTES

BES-AA101 FIRST FLOOR ASBESTOS REMOVAL PLAN

## CIVIL:

BES-C001	OVERALL SITE PLAN
BES-C100	DEMOLITION PLAN
BES-C200	SITE PLAN
BES-C201	GRADING PLAN
BES-C202	UTILITY PLAN
BES-C203	EROSION & SEDIMENT CONTROL PLAN
BES-C300	SITE NOTES
BES-C301	SITE DETAILS 1
BES-C302	SITE DETAILS 2

#### **STRUCTURAL**:

BES-S200	BASEMENT FOUNDATION PLAN
BES-S201	FIRST FLOOR FRAMING & FOUNDATION PLAN
RES_S202	ROOF FRAMING PLAN

OSSINING UI	
14428.11	TABLE OF CONTENTS 00 0110 - 5
BES-S400	SECTIONS AND DETAILS
BES-S401	SECTIONS AND DETAILS SECTIONS AND DETAILS
BES-S800	STRUCTURAL NOTES
BES-S801	TYPICAL DETAILS
DES-3001	THEAL DETAILS
ARCHITECTU	JRAL:
BES-A001	OVERALL BASEMENT & FIRST FLOOR PLANS & CODE INFORMATION
BES-A101	EXISTING FIRST FLOOR & ROOF DEMOLITION PLANS
BES-A102	EXISTING/DEMOLITION EXTERIOR ELEVATIONS & BUILDING SECTION
BES-A201	BASEMENT FLOOR NEW WORK PLAN
BES-A202	FIRST FLOOR NEW WORK PLAN
BES-A203	NEW WORK ROOF PLAN
BES-A301	NEW WORK EXTERIOR ELEVATIONS
BES-A401	NEW WORK BUILDING SECTIONS
BES-A402	NEW WORK WALL SECTIONS
BES-A403	NEW WORK WALL SECTIONS
BES-A601	FIRST FLOOR NEW WORK FINISH PLAN
BES-A602	FIRST FLOOR NEW WORK PATTERN PLAN
BES-A603	FIRST FLOOR NEW WORK REFLECTED CEILING PLAN
BES-A701	CASEWORK ELEVATION & DETAILS
BES-A801	NEW WORK DETAILS
BES-A802	NEW WORK DETAILS
BES-A901	WINDOW & DOOR SCHEDULES, TYPES & DETAILS
BES-A902	WALL TYPES
MECHANICA	Ι.
BES-H000	<u>L</u> . HVAC SYMBOLS LIST
BES-H200	HVAC NEW WORK
BES-H200	WASTE DEMOLITION AND NEW WORK PLANS
BES-H800	HVAC SCHEDULES AND DETAILS
BES-H801	HVAC DETAILS AND CONTROLS DIAGRAM
DES-11601	IIVAC DETAILS AND CONTROLS DIAGRAM
ELECTRICAL	<u>:</u>
BES-E000	ELECTRICAL LEGEND AND NOTES
BES-E100	FIRST FLOOR ELECTRICAL KEY PLAN
BES-E101	FIRST FLOOR ELECTRICAL DEMOLITION PLAN
BES-E201	BASEMENT AND FIRST FLOOR ELECTRICAL NEW WORK PLANS
BES-E301	BASEMENT AND FIRST FLOOR LIGHTING PLANS

END OF SECTION 00 0110

BES-E900 ELECTRICAL SCHEDULES

#### SECTION 00 1112 – ADVERTISEMENT FOR BIDS

The Ossining Union Free School District (Owner) invites bids for "Brookside Elementary School, Classroom Addition" which involves work at Brookside Elementary School, 30 Ryder Road, Ossining, New York 10562.

Separate sealed bids will be received at Ossining Union Free School District, 400 Executive Boulevard, Ossining, New York 10562, until 3:00 P.M. (local time) June 16, 2021. Bids received after that time will not be accepted. To accommodate social distancing measures, and New York State Governor Andrew M. Cuomo's Executive Order No. 202.11 (https://www.governor.ny.gov/news/no-20211-continuing-temporary-suspension-and-modification-laws-relating-disaster-emergency), the Owner will have a virtual bid opening starting at 3:00 P.M:

Zoom Meeting Link:

Meeting ID: 786 3404 8101

Passcode: Ossining

The Work of the project will be let for the following Contracts:

Contract 1: General Construction Work.

Contract 2: HVAC Work. Contract 3: Electrical Work.

Complete digital sets of Bidding Documents may be obtained online as a download at www.cplplanroom.com under 'public projects' for a non-refundable reproduction fee of \$49.00.

Complete sets of Bidding Documents may be obtained from Rev, 330 Route 17A, Suite #2, Goshen, New York 10924 Tel: 1-877-272-0216, upon depositing the sum of \$100 for each combined set of documents. Checks or money orders shall be made payable to Ossining Union Free School District. Any bidder requiring documents to be shipped shall make arrangements with the printer and pay for all packaging and shipping costs.

Bidders may obtain Bid Documents via the methods described above beginning May 19, 2021.

All bid addenda will be transmitted to registered plan holders via email and will be available at www.cplplanroom.com. Plan holders who have paid for hard copies of the bid documents will need to make the determination if hard copies of the addenda are required for their use, and coordinate directly with the printer for hard copies of addenda to be issued. There will be no charge for registered plan holders to obtain hard copies of the bid addenda.

A Bidder, upon 1) making the deposit required for the Bid Documents, 2) submitting a Proposal accompanied by a certified check or other security in accordance with the requirements contained in the plans and specifications and public advertisement for bids, and 3) returning the plans and specifications used by such Bidder in good condition within thirty (30) days following the award of the Contract, or rejection of the Bid, shall have returned to them the full amount of the deposit for one copy of the plans and specifications.

Pre-Bid Meeting/walk-through for the Project will be held **May 26, 2021,** starting at **4:00 P.M.** at the project site. Attendance by bidders is recommended, but not required, for submitting a bid. Prospective bidders may visit the site during business hours by appointment by contacting Mr. Jared Mance (Ossining Union Free School District) at 914-941-7700.

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Attention of the Bidder is particularly called to the Owner's sales tax exemption, the requirements as to conditions of employment to be observed, and the minimum wage rates to be paid under the Contract. In addition, the Bidding Documents contain detailed requirements for the qualification of Bidders. These include, among other things, rigid bonding and insurance requirements, financial statements, bank references, lists of lawsuits, arbitrations or other proceedings in which the Bidder has been named as a party, a statement of surety's intent to issue Performance and Payment Bonds, and a description of other projects of similar size and scope completed by the Bidder.

Bids shall be prepared as set forth in "INSTRUCTIONS TO BIDDERS", enclosed in a sealed envelope bearing on its face the name and address of the Bidder and the title of the Work to which the bid enclosed relates.

Each Bidder shall deposit with its bid, security in an amount not less than five percent (5%) of the base bid in the form and subject to the conditions provided in the "INSTRUCTIONS TO BIDDERS."

No Bidder may withdraw his bid within forty-five (45) days after the actual bid opening.

The Owner reserves the right to waive any and all informalities in, or to reject, any or all bids.

The Owner further reserves its right to disqualify Bidders for any material failure to comply with the "INSTRUCTIONS TO BIDDERS" and "SUPPLEMENTARY INSTRUCTIONS TO BIDDERS."

OSSINING UNION FREE SCHOOL DISTRICT 400 EXECUTIVE BOULEVARD OSSINING, NEW YORK 10562

#### INSTRUCTIONS TO BIDDERS

#### SECTION 00 2000 INSTRUCTIONS TO BIDDERS

#### PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. Attached is AIA Document A701-2018, Instructions to Bidders.
  - AIA Document A701-2018 defines the conditions affecting award of contract and procedures with which Bidders must comply.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

## Instructions to Bidders

for the following Project: (Name, location, and detailed description)

Brookside Elementary School Classroom Addition 30 Ryder Road Ossining, NY 10562

SED # 66-14-01-03-0-001-022

#### THE OWNER:

(Name, legal status, address, and other information)

Ossining Union Free School District 400 Executive Boulevard Ossining, New York 10562

#### THE ARCHITECT:

(Name, legal status, address, and other information)

CPL 50 Front Street, Suite 202 Newburgh, New York 12550

#### TABLE OF ARTICLES

- 1 DEFINITIONS
- 2 BIDDER'S REPRESENTATIONS
- 3 BIDDING DOCUMENTS
- 4 BIDDING PROCEDURES
- 5 CONSIDERATION OF BIDS
- 6 POST-BID INFORMATION
- 7 PERFORMANCE BOND AND PAYMENT BOND
- 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

#### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612<sup>™</sup>–2017, Owner's Instructions to the Architect, Parts A and B will be completed prior to using this document.

#### ARTICLE 1 DEFINITIONS

- § 1.1 Bidding Documents include the Bidding Requirements and the Proposed Contract Documents. The Bidding Requirements consist of the advertisement or invitation to bid, Instructions to Bidders, supplementary instructions to bidders, the bid form, and any other bidding forms. The Proposed Contract Documents consist of the unexecuted form of Agreement between the Owner and Contractor and that Agreement's Exhibits, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, all Addenda, and all other documents enumerated in Article 8 of these Instructions.
- § 1.2 Definitions set forth in the General Conditions of the Contract for Construction, or in other Proposed Contract Documents apply to the Bidding Documents.
- § 1.3 Addenda are written or graphic instruments issued by the Architect, which, by additions, deletions, clarifications, or corrections, modify or interpret the Bidding Documents.
- § 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.
- § 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents, to which Work may be added or deleted by sums stated in Alternate Bids.
- § 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from, or that does not change, the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.
- § 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, as described in the Bidding Documents.
- § 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.
- § 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment, or labor for a portion of the Work.

#### ARTICLE 2 BIDDER'S REPRESENTATIONS

- § 2.1 By submitting a Bid, the Bidder represents that:
  - .1 the Bidder has read and understands the Bidding Documents;
  - the Bidder understands how the Bidding Documents relate to other portions of the Project, if any, being bid concurrently or presently under construction;
  - .3 the Bid complies with the Bidding Documents;
  - .4 the Bidder has visited the site, become familiar with local conditions under which the Work is to be performed, and has correlated the Bidder's observations with the requirements of the Proposed Contract Documents;
  - .5 the Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception; and
  - .6 the Bidder has read and understands the provisions for liquidated damages, if any, set forth in the form of Agreement between the Owner and Contractor.

#### ARTICLE 3 BIDDING DOCUMENTS

#### § 3.1 Distribution

§ 3.1.1 Bidders shall obtain complete Bidding Documents, from the issuing office designated in the advertisement or invitation to bid, for the deposit sum, if any, stated therein.

(Indicate how, such as by email, website, host site/platform, paper copy, or other method Bidders shall obtain Bidding Documents.)

(Paragraph deleted)

- § 3.1.2. Bidders may obtain one complete set of the Bidding Documents from the issuing office designated in the Advertisement or Invitation to Bid for the deposit sum of \$50.00. The deposit will be refunded to Bidders who submit a bona fide Bid and return the Bidding Documents in good condition within thirty (30) days following the award of the Contract or rejection of the Bids. The cost of replacement of missing or damaged documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the Bidding Documents and the Bidder's deposit will be refunded. Good condition as used in this section means that the Bidding Documents must be returned bound as issued, legible, and containing only the markings necessary for bidding purposes.
- § 3.1.3 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the advertisement or invitation to bid, or in supplementary instructions to bidders.
- § 3.1.4 Bidders shall use complete Bidding Documents in preparing Bids. Neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete Bidding Documents.
- § 3.1.5 The Bidding Documents will be available for the sole purpose of obtaining Bids on the Work. No license or grant of use is conferred by distribution of the Bidding Documents.

#### § 3.2 Modification or Interpretation of Bidding Documents

- § 3.2.1 The Bidder shall carefully study the Bidding Documents, shall examine the site and local conditions, and shall notify the Architect of errors, inconsistencies, or ambiguities discovered and request clarification or interpretation pursuant to Section 3.2.2.
- § 3.2.2 Requests for clarification or interpretation of the Bidding Documents shall be submitted by the Bidder in writing and shall be received by the Architect at least seven calendar days prior to the date for receipt of Bids. The day the bids are due shall not be counted as one of the seven days referred to.

(Indicate how, such as by email, website, host site/platform, paper copy, or other method Bidders shall submit requests for clarification and interpretation.)

§ 3.2.3 Modifications and interpretations of the Bidding Documents shall be made by Addendum. Modifications and interpretations of the Bidding Documents made in any other manner shall not be binding, and Bidders shall not rely upon them.

#### § 3.3 Substitutions

§ 3.3.1 The materials, products, and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance, and quality to be met by any proposed substitution.

#### § 3.3.2 Substitution Process

- § 3.3.2.1 Written requests for substitutions shall be received by the Architect at least ten days prior to the date for receipt of Bids. Requests shall be submitted in the same manner as that established for submitting clarifications and interpretations in Section 3.2.2.
- § 3.3.2.2 Bidders shall submit substitution requests on the Substitution Request Form is provided in the Bidding Documents.
- § 3.3.2.3 If a Substitution Request Form is not provided, requests shall include (1) the name of the material or equipment specified in the Bidding Documents; (2) the reason for the requested substitution; (3) a complete description of the proposed substitution including the name of the material or equipment proposed as the substitute, performance and test data, and relevant drawings; and (4) any other information necessary for an evaluation. The request shall include a statement setting forth changes in other materials, equipment, or other portions of the Work, including changes in the work of other contracts or the impact on any Project Certifications (such as LEED), that will result from incorporation of the proposed substitution.
- § 3.3.3 The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.
- § 3.3.4 If the Architect approves a proposed substitution prior to receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding, and Bidders shall not rely upon them.

§ 3.3.5 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

#### § 3.4 Addenda

§ 3.4.1 Addenda will be transmitted to Bidders known by the issuing office to have received complete Bidding Documents.

(Indicate how, such as by email, website, host site/platform, paper copy, or other method Addenda will be transmitted.)

- § 3.4.2 Addenda will be available where Bidding Documents are on file.
- § 3.4.3 Addenda will be issued no later than three days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids. The day the bids are due shall be counted as one of the three days referred to.
- § 3.4.4 Prior to submitting a Bid, each Bidder shall ascertain that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

#### § 3.5 EQUIVALENCY

§ 3.5.1 In the Specifications, if two or more kinds, types, brands, or manufacturers or materials are named, they shall be regarded as the required standard of quality, and are presumed to be equal. The Contractor may select one of these items or, if the Contractor desires to use any kind, type, brand, manufacturer or material other than those named in the Specification, he shall indicate in writing to the Architect and Owner, and prior to the award of Contract, what kind, type, brand or manufacturer is included in the Base Bid for the specified item.

#### ARTICLE 4 BIDDING PROCEDURES

- § 4.1 Preparation of Bids
- § 4.1.1 Bids shall be submitted on the forms included with or identified in the Bidding Documents.
- § 4.1.2 All blanks on the bid form shall be legibly executed. Paper bid forms shall be executed in a non-erasable medium.
- § 4.1.3 Sums shall be expressed in both words and numbers, unless noted otherwise on the bid form. In case of discrepancy, the amount entered in words shall govern.
- § 4.1.4 Edits to entries made on paper bid forms must be initialed by the signer of the Bid.
- § 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change" or as required by the bid form.
- § 4.1.6 Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall neither make additional stipulations on the bid form nor qualify the Bid in any other manner.
- § 4.1.7 Each copy of the Bid shall state the legal name and legal status of the Bidder. As part of the documentation submitted with the Bid, the Bidder shall provide evidence of its legal authority to perform the Work in the jurisdiction where the Project is located. Each copy of the Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further name the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached, certifying the agent's authority to bind the Bidder.
- § 4.1.8 A Bidder shall incur all costs associated with the preparation of its Bid.
- § 4.1.9 Each bid must include a fully executed copy of the Insurance Certification Form (See Section 00 4980). Failure to include with the bid may result in the Owner finding the Contractor "non-responsive" to the bid documents.

#### § 4.2 BID SECURITY

- § 4.2.1 Each Bid shall be accompanied by a Bid Security in the form and amount required. The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and will, if required furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Section 6.2.
- § 4.2.1.1 Bids shall be accompanied by a Bid Security of not less than five percent (5%) of the amount of the Bid. Such Bid Security shall be submitted in the form of a Bid Bond or a Certified Check made payable to the Owner. The submission shall be made with the understanding that the Bid Security shall guarantee that the Bidder will not withdraw its Bid for a period of forty-five (45) days after the scheduled closing time for the receipt of Bids, and that if its Bid is accepted, the Bidder will enter into a formal contract with the Owner in accordance with the terms stated in the Bid and will furnish any required performance and payment bonds at the time required. In the event of the withdrawal of said Bid within the forty-five (45) day period or the failure of the successful Bidder to enter into the Contract with the Owner or the failure of the successful Bidder to furnish required performance and payment bonds at the time required, the Bid Security shall be forfeited to the Owner as liquidated damages, not as a penalty, which represents the damage the Owner incurred as a result of the Bidder's default.
- § 4.2.1.2 The Bid Securities shall be returned to all Bidders except the three (3) lowest Bidders within three (3) days after the formal opening of bids. The remaining Bid Securities will be returned within forty-eight (48) hours after the Owner and the successful Bidder have executed the Contract and executed performance and payment bonds have been approved by the Owner. If a Contract has not been executed or performance and payment bonds have not been approved by the Owner within forty-five (45) days after the scheduled closing time for the receipt of bids, then Bid Securities will be returned within three (3) days after the expiration of this forty-five (45) day period unless the Bid Security has been forfeited under § 4.2.1.1.
- § 4.2.2 If the Bid Security is provided in the form of a Bid Bond (rather than a certified check), it shall be written on AIA Document A310, Bid Bond, and the attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of the power of attorney.

(Paragraphs deleted)

§ 4.3 SUBMISSION OF BIDS

§ 4.3.1

(Paragraphs deleted)

All copies of the Bid, the bid security, if any, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

- § 4.3.2 Bids shall be deposited at the designated location prior to the time and date for receipt of Bids. Bids received after the time and date for receipt of Bids will be returned unopened.
- § 4.3.3 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.
- § 4.3.4 Oral, telephonic, telegraphic, facsimile or other electronically transmitted bids will not be considered.
- § 4.3.5 Each bidder on a single-prime work contract shall submit with its bid a separate sealed list that names each subcontractor that the bidder will use to perform work on the contract, and the agreed-upon amount to be paid to each, for:

  (a) plumbing and gas fitting, (b) steam heating, hot water heating, ventilating and air conditioning apparatus and (c) electric wiring and standard illuminating fixtures. After the low bid is announced, the sealed list of subcontractors submitted with such low bid shall be opened and the names of such subcontractors shall be announced, and thereafter any change of subcontractor or agreed-upon amount to be paid to each shall require the approval of the public owner, upon a showing presented to the public owner of legitimate construction need for such change, which shall be open to public inspection. Legitimate construction need shall include, but not be limited to, a change in project specifications, a change in construction material costs, a change to subcontractor status as determined pursuant to paragraph (e) of subdivision two

of section two hundred twenty-two of the labor law, or the subcontractor has become otherwise unwilling, unable or unavailable to perform the subcontract. The sealed lists of subcontractors submitted by all other bidders shall be returned to them unopened after the contract award.

#### § 4.4 Modification or Withdrawal of Bid

- § 4.4.1 Prior to the date and time designated for receipt of Bids, a Bidder may submit a new Bid to replace a Bid previously submitted, or withdraw its Bid entirely, by notice to the party designated to receive the Bids. Such notice shall be received and duly recorded by the receiving party on or before the date and time set for receipt of Bids. The receiving party shall verify that replaced or withdrawn Bids are removed from the other submitted Bids and not considered. Notice of submission of a replacement Bid or withdrawal of a Bid shall be worded so as not to reveal the amount of the original Bid.
- § 4.4.2 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids in the same format as that established in Section 4.3, provided they fully conform with these Instructions to Bidders. Bid security shall be in an amount sufficient for the Bid as resubmitted.
- § 4.4.3 After the date and time designated for receipt of Bids, a Bidder who discovers that it made a clerical error in its Bid shall notify the Architect of such error within two days, or pursuant to a timeframe specified by the law of the jurisdiction where the Project is located, requesting withdrawal of its Bid. Upon providing evidence of such error to the reasonable satisfaction of the Architect, the Bid shall be withdrawn and not resubmitted. If a Bid is withdrawn pursuant to this Section 4.4.3, the bid security will be attended to as follows:

(State the terms and conditions, such as Bid rank, for returning or retaining the bid security.)

#### ARTICLE 5 CONSIDERATION OF BIDS

§ 5.1 OPENING OF BIDS At the discretion of the Owner, if stipulated in the Advertisement or Invitation to Bid, the properly identified Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids may be made available to Bidders.

#### § 5.2 REJECTION OF BIDS

The Owner shall have the right to reject any or all Bids. A Bid not accompanied by a required bid security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

#### § 5.3 ACCEPTANCE OF BID (AWARD)

- § 5.3.1 It is the intent of the Owner to award a Contract to the lowest qualified Bidder provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's own best interests.
  - .1 The Owner may also reject any Bid not prepared and submitted in accordance with all provisions of the Bidding Documents.
- § 5.3.2 The Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the low Bidder on the basis of the sum of the Base Bid and Alternates accepted.

#### ARTICLE 6 POST-BID INFORMATION

#### § 6.1 Contractor's Qualification Statement

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request a properly executed AIA Document A305<sup>TM</sup>, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted for this Bid.

#### (Paragraphs deleted)

#### § 6.3 Submittals

§ 6.3.1 CONTRACTOR'S QUALIFICATION INFORMATION – The apparent low bidder must submit the required pre-award submittal package described below to the Construction Manager within 48 hours after the bids are opened.

Arris Contracting Co., Inc. 189 Smith Street Poughkeepsie, NY 12601 845-473-3600

Submissions must be emailed and must include the Project Name of this contract in the Subject Line of the Pre-Award submission email.

- (1) Pre-award Submittal Package
  - (i) Fully executed AIA A305 Contractors Qualification Statement (to be submitted with the bid).
  - (ii) Most recent financial statement certified by CPA.
  - (iii) References and Experience:
    - 1. List of all past contracts with K-12 Public School Districts (Provide Architect & CM Contact Information)
    - 2. Provide three (3) references (Name, Title, and Phone Number) associated with three (3) different projects (public or private sector) of similar scope and size to the one identified in this contract.

      Additionally, include the names of two major suppliers used for each of these three (3) projects.
- (2) Workforce and Work Plan Provide a detailed written Work Plan which shall demonstrate the contractor's understanding of overall project scope and shall include, but not be limited to, the following
  - (i) Sequential listing of specific project activities required to successfully complete the Work of the contract.
    - 1. Include Critical Milestones
    - 2. Narrative of project work plan, sequencing, etc.
    - 3. Include phasing of the Work, if required.
    - 4. Include listing of long lead items.
    - 5. Statement that the project can be completed in established time.
  - (ii) Resumes for Contractor's proposed supervisory staff, including qualifications for specialized expertise or any certification(s) required to perform the Work.
  - (iii) Names of proposed sub-contractors and a listing of the related trade of work and value.
  - (iv) Any special coordination requirements with other trades.
  - (v) Any special storage and staging requirements for construction materials.
- (3) Detailed Cost Estimate:
  - (i) A copy of a Detailed Cost Estimate outlined in CSI format.
- § 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.
- § 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, withdraw the Bid or submit an acceptable substitute person or entity. The Bidder may also submit any required adjustment in the Base Bid or Alternate Bid to account for the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.
- § 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

# ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND § 7.1 BOND REQUIREMENTS

§ 7.1.1 The Bidder shall furnish bonds covering the faithful performance of the Contract (performance bond) and payment of all obligations arising thereunder (payment bond). Bonds may be secured through the Bidder's usual sources unless otherwise required in writing. Bonds may be obtained through the Contractor's usual source and the cost thereof shall be

included in the Bid and Contract Sum. The amount of each bond shall be equal to one hundred (100) percent of the Contract Sum.

- § 7.1.2 The cost of furnishing performance and payment bonds shall be included in the Bid and Contract Sum.
- § 7.1.3 If the Owner requires that bonds be secured from other than the Bidder's usual sources, changes in cost shall be adjusted and included in the Bid and Contract Sum.

#### (Paragraphs deleted)

#### § 7.2 TIME OF DELIVERY AND FORM OF BONDS

- § 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than ten (10) days after the Bidder has received notice of the acceptance of its Bid but in no event shall bonds be delivered later than the date of the executed Contract. If the Work is to be commenced prior thereto in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.
- § 7.2.2 Unless otherwise required in writing, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond. The amount of each bond shall be equal to one hundred (100) percent of the Contract Sum.
  - .1 The Performance and Payment Bonds shall have as surety thereunder such surety company or companies as are acceptable to Treasury Department of the United States on Bonds given to the United States Government, and are authorized to do business in the State of New York. Premium on such Bonds shall be included in the Bid.
- § 7.2.3 The bonds shall be dated on or after the date of the Contract.
- § 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

#### ARTICLE 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

- § 8.1 Copies of the proposed Contract Documents have been made available to the Bidder and consist of the following documents:
  - .1 All Specification Sections and Drawings Listed in Section 00 0110 Table of Contents.

#### ARTICLE 9: TAXES

(Paragraphs deleted)

9.1 The Owner is an organization, which is exempt from New York State and Local Sales and Use Taxes. Materials purchased for use in fulfilling this Contract will be exempt from New York Sales Tax. The Owner will provide the Contractor with a completed Form ST-121.1, Exempt Organization Certification. The Contractor shall present a copy of this Form and a completed Form ST-120.1, Contractor Exempt Purchase Certificate, to each supplier. Should sales tax be assessed, the Owner agrees that the Contract Sum shall be increased by the full amount of such assessment.

#### GENERAL INSTRUCTIONS TO BIDDERS

- 1. Sealed proposals for the furnishing, delivery and installation of the various items of equipment or supplies, as required by the Ossining Union Free School District, as set forth in the attached specifications, will be opened on the date noted in Section 00 1112 Advertisement for Bids.
- 2. The completed bid form shall be without interlineations, alterations, or erasures. No oral, telephonic, or telegraphic proposals or modifications will be considered. No conditions or limitations shall be added to any bid.

No interpretation of the meaning of the specifications or other contract documents will be made to any bidder orally. Any or all such interpretations and any supplemental instructions will be in the form of written addenda. See Section 00 2000 for additional information.

Failure of any bidder to receive any such addenda or interpretation shall not relieve any bidder from any obligation under this bid as submitted. All addenda issued shall become a part of the contract document.

Bids will be processed and tallied based on the information submitted and supplemental information will not be accepted after the bids are opened.

- 3. All parts of the invitation to bid and information for bidders shall become a part of the specifications.
- 4. Samples, if substitutes are bid, must be furnished at the bidder's expense. The Board of Education reserves the right to require any or all bidders to submit samples for inspection and test.

Damaged or rejected items will be returned at bidder's expense.

- 5. All items delivered and/or installed must meet the requirements of the specifications. The Board of Education reserves the right to have the Director of School Facilities, Operations and Maintenance, inspect each item as it is received and unpacked prior to placing and setting or installation and to require prompt removal or replacement of any items not according to specifications or otherwise unsatisfactory.
- All prices quoted will be final cost to the District. Price shall not include 6. state or federal excise taxes.

All bids submitted shall include all expenses of delivery and erection of all materials when so indicated and specified.

- 7. Each bidder must state that no officer of the school district or member of the Board of Education is directly or indirectly financially interested in the proposal, or any portion of the profits.
  - Bidder shall execute and seal the Bid Proposal Certifications (see Section 00 4960).
- 8. The Board of Education reserves the right to reject <u>any or all bids and to accept any or all combinations of the bid deemed to be in the best interest of the Ossining Union Free School District, Ossining, New York.</u>
- 9. No bidder may withdraw his bid for a period of forty-five (45) days after the date set for the opening.
  - All awards will be made as soon as possible.
- 10. All bids must be enclosed in a sealed envelope, and plainly marked with the name of bidder.
  - Bids received late will be returned to the sender unopened.
- 11. Payment shall be made in full as early as accounting practices will permit (approximately 30 days) after entire order has been delivered and/or installed in the specified areas, checked out for proper functioning, and other conditions of these specifications met in full to the satisfaction of the Board of Education. With each application for payment a certified payroll must be submitted. After the first application for payment partial release of lien is required.
- 12. The Board's Right to do Work Should the contractor neglect to properly prosecute the work or fail to perform any provision of this contract, the Board may after three days' notice in writing being given the contractor, without prejudice to any other remedy the Board may have, make good such deficiencies and may deduct the cost thereof from payments then or thereafter due the contractor.
- 13. At the time of the opening of bids, each bidder will be presumed to have read and to be thoroughly familiar with the specifications, including all addenda, if any. The failure or omission of any bidder to receive and examine any form, instrument, or document, shall in no way relieve him of any obligation in respect to his bid.
- 15. Copies of the specifications may be obtained at the Office of the Director of School Facilities, behind Anne M. Dorner Middle School at 70 Van Cortland Avenue, Suite 100, Ossining, New York 10562.

#### GENERAL INSTRUCTIONS TO BIDDERS

16. Bidders shall visit the site and shall be responsible for having ascertained pertinent local conditions such as location, accessibility, and general character of the site and the building, and any other work being performed thereon at the time of submission of the bid. No claims for extra compensation based on ignorance of existing site conditions will be considered.

#### PLEASE NOTE: SITE LOCATION VISITS

- a. In order to visit the site location, you must contact the office of the Director of School Facilities, Operations and Maintenance, (914) 762-5740 ext. 3339, for a scheduled appointment.
- b. Any person who is not an employee of the Ossining Public Schools must sign in at the main office of that building immediately upon entering and request that a custodian accompany them.

Failure to adhere to these conditions could result in the loss of consideration in future bidding.

- 16. All work, all materials, whether incorporated in the work or not, all processes of manufacture, and all methods of construction shall be at all times and places subject to the inspection of the owner who shall be the final judge of the quality of the work, materials, processes of manufacture, and methods of construction for the purposes for which they are used. Should they fail to meet the Owner's approval, they shall be forthwith reconstructed, made good, replaced and/or corrected, as the case may be, by the contractor at his own expense.
- 17. All responsibility for damage to buildings during installation shall be assumed by said bidder(s). The Board of Education or its agent shall determine such damage.
- 18. The contractor must comply with all laws, ordinances and codes, local or state, and must be responsible for any and all accidents that may occur to all persons in connection with this work.
- 19. All bidders must comply with the labor laws and are required to pay at least the minimum wage rates and supplements specified in the schedule established by the industrial commissioner.
- 20. Chapter 207 of the Laws of 1974 amended Section 2022 of the Labor Law provides that in the construction of public works for municipalities, including school districts, preference in employment shall be given to citizens of New York who have been residents for at least six months. If this section is not complied with, the contract will be void.
- 21. Contractors' attention is directed to "Contract Requirements" as set forth by Article 8 of the New York State Labor Law.

- Notwithstanding any terms, conditions, or provisions, in any other 22. writing between the parties, the contractor hereby agrees to effectuate the naming of the district as an unrestricted additional insured on the contractor's insurance policies, with the exception of worker's compensation and NY State disability insurance. The contractor shall require any subcontractor(s) to provide all of the requirements of this section before any work is to commence.
  - A. The policy naming the district as an additional insured shall:
    - Be an insurance policy from an A.M. Best rated "Secured" or better, New York State admitted insurer. A New York licensed insurer is preferred. The decision to accept specific insurers lies exclusively with the district.
    - Provide for 30 days' notice cancellation.
    - State that the organizations coverage shall be primary and non-contributory coverage for the district, its Board. employees, and volunteers.
    - The district shall be listed as an additional insured by using endorsement CG 20 10 11 85 or equivalent. equivalent ISO additional Examples of endorsements include using both CG 20 33 010 01 and CG 20 37 10 01 together. A completed copy of the endorsement must be attached with the certificate of insurance.
    - The certificate of insurance must describe the specific services provided by the contractor (e.g., roofing, carpentry, plumbing) that are covered by the commercial general liability policy and the umbrella policy.
    - At the District's request, the contractor shall provide a copy of the declaration page of the liability and umbrella policies with a list of endorsements and forms. If so requested, the contractor will provide a copy of the policy endorsements and forms.
    - The contractor agrees to indemnify the district for any applicable deductibles and self insured retentions.
    - The insurance producer must indicate whether they are an agent for companies providing the coverage.

#### В. Required Insurance:

aggregate shall apply on a per project basis.

Board. ACCORD certificates are not acceptable.

- **Commercial General Liability Insurance** \$1,000,000 per occurrence/\$2,000,000 general and products/completed operations aggregates. The general
- Automobile Liability \$1,000,000 combined single limit for owned, hired and borrowed and non-owned motor vehicles.

Worker's Compensation, Employers and Liability and

- NYS disability Insurance
  Statutory Worker's Compensation, Employer's Liability
  Insurance and NYS Disability Insurance for all employees.
  Proof of coverage must be on the approved specific form, as required by the New York State Workers' Compensation
- Owners Contractors Protective Insurance (Required for construction projects in excess of \$200,000.) \$1,000,000 per occurrence/\$2,000,000 aggregate, with the district as the named insured.
- Excess Insurance \$1,000,000; \$3,000,000; \$5,000,000 (or higher) each occurrence and aggregate depending on the type and size of the project. Excess coverage shall be on a follow-form basis.
- **Bid Performance and Labor & Material Bonds**If required in the specifications, a New York State admitted Surety Company in good standing should provide these bonds.
- Builders Risk Insurance or Installation Floater
  Builders risk coverage can be provided by NYSIR, or
  required of the contractors. Installation floaters are provided
  by the contractor(s).
- C. Contractor acknowledges that failure to obtain such insurance on behalf of the district constitutes a material breach of contract and subjects it to liability for damages, indemnification, and all other legal remedies available to the district. The contractor is to provide the district with a certificate of insurance, evidencing the above requirements have been met, no less than two (2) weeks prior to the commencement of work.
- D. The district is a member/owner of the NY Schools Insurance Reciprocal (NYSIR). The contractor further acknowledges that the procurement of such insurance as required herein is intended to benefit not only the district but also the NYSIR, as the district's insurer.
- 23. The enclosed fully executed Hold Harmless Agreement and Prevailing wage Agreement shall be submitted with each bid.
- 24. The successful bidder must deliver to the owner executed bonds in an approved form and in the amount of one hundred (100%) per cent of the accepted bid as security for faithful performance of his contract and for the payment of all persons performing labor or furnishing materials in accordance therewith, having as surety thereon such surety company or companies as are approved by the owner, and are licensed and

- authorized to do business in the State of New York, and are approved by the New York State Insurance Department.
- 25. Power of Attorney: Attorneys-in-fact who sign contract bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

#### SECTION 00 3000 REQUESTS FOR CLARIFICATION OF BID DOCUMENTS

#### PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. Requests for clarifications of the Bid Documents shall be submitted by Bidders to the Architect/Engineer via email (send to nspeidell@cplteam.com ). Requests shall include the following information:
  - 1. Project Name: Ossining Union Free School District, Brookside Elementary School, Classroom Addition.
  - 2. Bidder's name and full contact information.
  - 3. Subject Specification Number.
  - 4. Subject Drawing Number.
  - 5. Clarification request/question.
- B. All valid request for clarifications will be answered via written addendum.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

#### CONSTRUCTION SCHEDULE

# SECTION 00 3113 – CONSTRUCTION SCHEDULE

#### PART 1 - GENERAL

#### 1.1 CONSTRUCTION SCHEDULE

Contractor shall complete work of their Contract per the following Schedule: A.

Work	Start Date (Date listed or earlier if permitted by Owner)	Completion Date
Submittals:	Submittals to begin upon award of Contract.	
Construction:	July 5, 2021	February 4, 2022

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

#### 00 4010-1

# SECTION 00 4010 - FORM OF PROPOSAL CONTRACT 1 – GENERAL CONSTRUCTION WORK

#### 1.1 GENERAL

A.	Pursuant to, and in compliance with, the Advertisement for Bids and the I relative thereto, and all of the Contract Documents, including any and all A	
	Architect and mailed or delivered to the Undersigned prior to the oper	ning of Bids, whether
	received by the Undersigned or not, we,	
	hereby propose to furnish all plant, laborated hereby propose hereby propose to furnish all plant, laborated hereby propose hereby	or, supplies, materials
	and equipment incidental to <b>GENERAL CONSTRUCTION WORK</b> a strict accord with, the applicable provisions of the Drawings and Specificat	1
	Union Free School District, Brookside Elementary School, Classro satisfaction and approval of the Architect and the Owner in accordance conditions of the Contract Documents for the following sum:	
	BASE BID:	
	Total: (\$	)
		Dollars

#### 1.2 TIME OF COMPLETION

- A. The Undersigned agrees, after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, to start work within ten (10) consecutive calendar days of said Notice of Award and will fully complete the work per Section 00 3113 Construction Schedule.
- B. Liquidated Damages: Ossining Union Free School District (Owner) and CONTRACTOR recognize that time is of the essence of this Agreement and that Owner will suffer financial loss if the Work is not completed by the date specified in Paragraph 1.2.A above, plus any extensions thereof allowed in accordance with the General Conditions. They also recognize the delays, expense and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and CONTRACTOR agree that as Liquidated Damages for delay (but not as a penalty) CONTRACTOR shall pay Owner, **Five Hundred Dollars (\$500)** for each day that expires after the date specified in Paragraph 1.2.A above.

#### 1.3 SCHEDULE OF WORK

- A. Scheduling of all work shall be coordinated through the District and or the District's Representative. Contractor shall coordinate their work around the District's needs.
- B. It is the District's intent to work with the Contractor and make the building available for the work to be performed within the time frame allowed.

14428.11

#### 1.4 ATTACHMENTS

- A. The Undersigned has attached the following documents to this Bid:
  - 1. Bid Bond/Certified Check in the amount of five percent (5%) of the Base Bid.
  - 2. Contractor's Qualification Statement (AIA Document A305).
  - 3. Iran Divestment Act Certifications (see Section 00 4951).
  - 4. Bid Proposal Certifications (see Section 00 4960).
  - 5. Hold Harmless Agreement (see Section 00 4970).
  - 6. Prevailing Wage Agreement (see Section 00 7343).

#### 1.5 CHANGE ORDERS

- A. We propose and agree that the Contract Sum shall be adjusted for changes in the Contract Work, not included in unit prices, by addition of the following costs:
  - 1. Profit and overhead as permitted in the GENERAL CONDITIONS.

#### 1.6 ALLOWANCES: (Reference Specification Section 01 2100).

A. The Bidder acknowledges that the Allowance applicable to this Contract is included in the Base Bid.

### 1.7 UNIT PRICE SCHEDULE (Reference Specification Section 01 2200):

In addition to the Work provided within the above Base Bid, the Undersigned agrees to provide the following work in accordance with the Drawings and Specifications:

1. Excavate, remove, and dispose rock, and replace with satisfactory soil material.

The Contractor shall include the excavation, removal, and disposal of 20 cubic yards of rock, including replacing with satisfactory soil material, in the Base Bid. Measurement shall be taken by the Engineer in the excavation after removal.

\$ Per cubic yard
\$ Per cubic yard

2. Remove unsuitable soil (as determined by the Engineer), dispose of off-site in a legal manner, backfill with suitable soil (as determined by the Engineer), and then compact.

The Contractor shall include the replacement of 60 cubic yards of unsuitable soil in the Base Bid. Measurement shall be taken by the Engineer in the excavation after removal.

# 1.8 NON-COLLUSIVE BIDDING CERTIFICATION (See Specification Section 00 4960 also).

- A. By submission of this bid, the bidder, and each person signing on behalf of the bidder, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and,
  - 3. No attempt has been made, or will be made, by the bidder to induce any other person, partnership or corporation to submit, or not to submit, a bid for the purpose of restricting competition.
- B. The person signing this bid or proposal certifies that he/she has fully informed himself/herself regarding the accuracy of the statements contained in this certification, and under the penalties or perjury, affirms the truth thereof, such penalties being applicable to the bidder as well as the person signing in its behalf;

Resolved that	corporate bidder.	ertificate by the signature	• •	JII 0 <b>0</b> 1
be authorized to sign and submit the bid or proposal of this corporation for the Union Free School District, Brookside Elementary School, Classroom Add to include in such bid or proposal the certificate as to non-collusion required to One Hundred Three (d) ((103d)) of the General Municipal Law as the act an such corporation, and for any inaccuracies or misstatements in such certific corporate bidder shall be liable under the penalties of perjury.  The foregoing is a true and correct copy of the resolution by:	Resolved that	(Name of Individ		
Union Free School District, Brookside Elementary School, Classroom Add to include in such bid or proposal the certificate as to non-collusion required to One Hundred Three (d) ((103d)) of the General Municipal Law as the act an such corporation, and for any inaccuracies or misstatements in such certificorporate bidder shall be liable under the penalties of perjury.  The foregoing is a true and correct copy of the resolution by:	he authorized to sign a			or the
to include in such bid or proposal the certificate as to non-collusion required to One Hundred Three (d) ((103d)) of the General Municipal Law as the act an such corporation, and for any inaccuracies or misstatements in such certificorporate bidder shall be liable under the penalties of perjury.  The foregoing is a true and correct copy of the resolution by:	•	1 1	•	
such corporation, and for any inaccuracies or misstatements in such certificorporate bidder shall be liable under the penalties of perjury.  The foregoing is a true and correct copy of the resolution by:			•	
Corporate bidder shall be liable under the penalties of perjury.  The foregoing is a true and correct copy of the resolution by:				
The foregoing is a true and correct copy of the resolution by:  Corporation at a meeting of its Board of Directors held on the: day of				certif
meeting of its Board of Directors held on the: day of	corporate ordiner shall o	be hable under the penaltic	es of perjury.	
meeting of its Board of Directors held on the: day of	TT1 C : : .	and correct convert the re	solution by:	
meeting of its Board of Directors held on the: day of	The foregoing is a true	and correct copy of the re	solution by.	
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	meeting of its Board of	••	·	on at a
	meeting of its Board of	••	·	on at a

Secretary

(SEAL OF THE CORPORATION)

#### 1.9 ACCEPTANCE

A. When this Proposal is accepted, the Undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

#### 1.10 AFFIRMS

- A. The Undersigned affirms and agrees that this Proposal is a firm one which shall remain in effect and will be irrevocable for a period of forty-five (45) days after opening of Bids.
- B. The Undersigned acknowledges the receipt of the prevailing wage rates for the Contract which are incorporated into the Contract Documents.
- C. The Undersigned understands that the Owner reserves the right to accept or reject any or all Proposals and to waive any informalities in the bidding.
- D. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of Section 201-G of the New York State Labor Law.

#### 1.11 TYPE OF BUSINESS

A.	The Undersigned hereby represents that it is a (check one) $\square$ Corporation, $\square$ Partnership, $\square$
	Individual. If a Corporation, then the Undersigned further represents that it is duly qualified as a
	Corporation under the laws of New York State and it is authorized to do business in this State.

#### 1.12 PLACE OF BUSINESS

A.	The following is the name and address of the person to whom all notices required in connection
	with this Proposal may be telephoned, mailed, or delivered:

Name of Contact Person:	
Name of Business or Firm:	
Address:	
Federal ID No. or Social Security Number:	
Telephone:	Fax:
E-mail:	Mobile Phone:

#### 1.13 EXECUTION OF CONTRACT

A. When written Notice of Acceptance of the Proposal is mailed or delivered to the Undersigned within forty-five (45) days after the opening of Bids, or anytime thereafter should the Proposal not be withdrawn, the Undersigned, within ten (10) days, will execute the Form of Agreement with the Owner.

# 1.14 ADDENDA

Addendum 1	Number	Date of Addendum	Remarks
Work of this C	Contract.		ining materials will be incorporated in
AUTHORIZ		ATURE FOR PROPOSAL	
Signatura			
Signature:			
Signature: Name:		r Printed)	

(if Corporation, provide seal above)

#### 00 4020-1

# SECTION 00 4020 - FORM OF PROPOSAL CONTRACT 2 – HVAC WORK

#### 1.1 GENERAL

A.	Pursuant to, and in compliance with, the Advertisement for Bids and the Instr					
	relative thereto, and all of the Contract Documents, including any and all Add	enda issued by the				
	Architect and mailed or delivered to the Undersigned prior to the opening	of Bids, whether				
	received by the Undersigned or not, we,					
	hereby propose to furnish all plant, labor, s	supplies, materials				
	and equipment incidental to HVAC WORK as required by, and in strict	accord with, the				
	applicable provisions of the Drawings and Specifications entitled Ossining Union Free School					
	District, Brookside Elementary School, Classroom Addition to the satisfaction and approval					
	of the Architect and the Owner in accordance with the terms and conditions of the Contract					
	Documents for the following sum:					
	DACE DID					
	BASE BID:					
	Total: (\$	)				
	10mi. (ψ	_/				
		Dollars				

#### 1.2 TIME OF COMPLETION

- A. The Undersigned agrees, after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, to start work within ten (10) consecutive calendar days of said Notice of Award and will fully complete the work per Section 00 3113 Construction Schedule.
- B. Liquidated Damages: Ossining Union Free School District (Owner) and CONTRACTOR recognize that time is of the essence of this Agreement and that Owner will suffer financial loss if the Work is not completed by the date specified in Paragraph 1.2.A above, plus any extensions thereof allowed in accordance with the General Conditions. They also recognize the delays, expense and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and CONTRACTOR agree that as Liquidated Damages for delay (but not as a penalty) CONTRACTOR shall pay Owner, **Five Hundred Dollars (\$500)** for each day that expires after the date specified in Paragraph 1.2.A above.

#### 1.3 SCHEDULE OF WORK

- A. Scheduling of all work shall be coordinated through the District and or the District's Representative. Contractor shall coordinate their work around the District's needs.
- B. It is the District's intent to work with the Contractor and make the building available for the work to be performed within the time frame allowed.

#### 1.4 ATTACHMENTS

- A. The Undersigned has attached the following documents to this Bid:
  - 1. Bid Bond/Certified Check in the amount of five percent (5%) of the Base Bid.
  - 2. Contractor's Qualification Statement (AIA Document A305).
  - 3. Iran Divestment Act Certifications (see Section 00 4951).
  - 4. Bid Proposal Certifications (see Section 00 4960).
  - 5. Hold Harmless Agreement (see Section 00 4970).
  - 6. Prevailing Wage Agreement (see Section 00 7343).

#### 1.5 CHANGE ORDERS

- A. We propose and agree that the Contract Sum shall be adjusted for changes in the Contract Work, not included in unit prices, by addition of the following costs:
  - 1. Profit and overhead as permitted in the GENERAL CONDITIONS.

#### 1.6 ALLOWANCES: (Reference Specification Section 01 2100).

1. Insulate piping, NPS 3.5" diameter and

Section 02 0800, Paragraph 3.17).

A. The Bidder acknowledges that the Allowance applicable to this Contract is included in the Base Bid.

### 1.7 UNIT PRICE SCHEDULE (Reference Specification Section 01 2200):

In addition to the Work provided within the above Base Bid, the Undersigned agrees to provide the following work in accordance with the Drawings and Specifications:

	due to hazardous material abatement work beyond the amounts included in the Base Bid (see Section 02 0800,		
		Φ	D 1' 1 C 4
	Paragraph 3.17).	\$	Per lineal foot.
2.	Insulate piping, NPS 4" diameter and larger where removed due to hazardous material abatement work beyond the amounts included in the Base Bid (see		

#### 1.8 NON-COLLUSIVE BIDDING CERTIFICATION (See Specification Section 00 4960 also).

- A. By submission of this bid, the bidder, and each person signing on behalf of the bidder, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

\$ Per lineal foot.

- 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and,
- 3. No attempt has been made, or will be made, by the bidder to induce any other person, partnership or corporation to submit, or not to submit, a bid for the purpose of restricting competition.

14428.11 FORM OF PROPOSAL – HVAC WORK 00 4020-3

of

B.	The person signing this bid or proposal certifies that he/she has fully informed himself/herself
	regarding the accuracy of the statements contained in this certification, and under the penalties or
	perjury, affirms the truth thereof, such penalties being applicable to the bidder as well as the
	person signing in its behalf;
	That attached hereto (if a corporate hidder) is a certified copy of a resolution authorizing

	That, attached hereto (if a corporate bidder), is a certified copy of a resolution authorized						
	the execution of this certificate by the signature of this bid or proposal on behalf of corporate bidder.						
	Resolved that						
	(Name of Individual)						
	be authorized to sign and submit the bid or proposal of this corporation for the Ossin Union Free School District, Brookside Elementary School, Classroom Addition,						
	to include in such bid or proposal the certificate as to non-collusion required by Sec One Hundred Three (d) ((103d)) of the General Municipal Law as the act and deed such corporation, and for any inaccuracies or misstatements in such certificate corporate bidder shall be liable under the penalties of perjury.						
	The foregoing is a true and correct copy of the resolution by:						
	Composition at a						
	Corporation at a						
	meeting of its Board of Directors held on the: day of,						
	meeting of its Board of Directors held on the: day of, 20						

#### 1.9 **ACCEPTANCE**

A. When this Proposal is accepted, the Undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

#### 1.10 **AFFIRMS**

- A. The Undersigned affirms and agrees that this Proposal is a firm one which shall remain in effect and will be irrevocable for a period of forty-five (45) days after opening of Bids.
- B. The Undersigned acknowledges the receipt of the prevailing wage rates for the Contract which are incorporated into the Contract Documents.
- C. The Undersigned understands that the Owner reserves the right to accept or reject any or all Proposals and to waive any informalities in the bidding.
- D. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of Section 201-G of the New York State Labor Law.

# <u>14428.11</u> FORM OF PROPOSAL – HVAC WORK 00 4020-4

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Individual. If a Corporati	on, then the Undersig	(check one) □ Corporation, □ Partnership, □ ned further represents that it is duly qualified as a nd it is authorized to do business in this State.
PLACE OF BUSINESS		
The following is the name with this Proposal may be t		erson to whom all notices required in connection delivered:
Name of Contact Person:		
Name of Business or Firm	ı:	
Address:		
Federal ID No. or Soci Security Number:		
Telephone:		Fax:
E-mail:		Mobile Phone:
EXECUTION OF CON	TRACT	
within forty-five (45) days	s after the opening of	posal is mailed or delivered to the Undersigned Bids, or anytime thereafter should the Proposal not days, will execute the Form of Agreement with
ADDENDA		
		ne following Addenda, but agrees that it is bound
Addendum Number	Date of Addendum	Remarks
l V V t	E-mail:  EXECUTION OF CONTENT OF	E-mail:  EXECUTION OF CONTRACT  When written Notice of Acceptance of the Provident of Street (45) days after the opening of Even withdrawn, the Undersigned, within ten (10) the Owner.  ADDENDA  the Undersigned acknowledges the receipt of the Even and Even acknowledges the receipt of the Even all Addenda whether or not listed herein:

#### **ASBESTOS** 1.15

A. The bidder certifies that no asbestos or asbestos-containing materials will be incorporated into the Work of this Contract.

# 14428.11

1.16

AUTHORIZED SIGNATURE FOR PROPOSAL					
Signature:					
Name:					
	(Typed or Printed)				
Title:					
Firm:					
	(Legal Name of Person, Single Proprietorship, Partnership, or Corporation)				
Date:					

(if Corporation, provide seal above)

#### 00 4030-1

# SECTION 00 4030 - FORM OF PROPOSAL CONTRACT 3 – ELECTRICAL WORK

#### 1.1 GENERAL

A.	Pursuant to, and in compliance with, the Advertisement for Bids and the Instructure relative thereto, and all of the Contract Documents, including any and all Adde Architect and mailed or delivered to the Undersigned prior to the opening	enda issued by the
	received by the Undersigned or not, we,	
	hereby propose to furnish all plant, labor, s	upplies, materials
	and equipment incidental to ELECTRICAL WORK as required by, and in s	strict accord with,
	the applicable provisions of the Drawings and Specifications entitled Ossin	ning Union Free
	<b>School District, Brookside Elementary School, Classroom Addition</b> to the approval of the Architect and the Owner in accordance with the terms and Contract Documents for the following sum:	
	BASE BID:	
	Total: (\$	_)
		Dollars

#### 1.2 TIME OF COMPLETION

- A. The Undersigned agrees, after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, to start work within ten (10) consecutive calendar days of said Notice of Award and will fully complete the work per Section 00 3113 Construction Schedule.
- B. Liquidated Damages: Ossining Union Free School District (Owner) and CONTRACTOR recognize that time is of the essence of this Agreement and that Owner will suffer financial loss if the Work is not completed by the date specified in Paragraph 1.2.A above, plus any extensions thereof allowed in accordance with the General Conditions. They also recognize the delays, expense and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and CONTRACTOR agree that as Liquidated Damages for delay (but not as a penalty) CONTRACTOR shall pay Owner, **Five Hundred Dollars (\$500)** for each day that expires after the date specified in Paragraph 1.2.A above.

#### 1.3 SCHEDULE OF WORK

- A. Scheduling of all work shall be coordinated through the District and or the District's Representative. Contractor shall coordinate their work around the District's needs.
- B. It is the District's intent to work with the Contractor and make the building available for the work to be performed within the time frame allowed.

#### 1.4 ATTACHMENTS

- A. The Undersigned has attached the following documents to this Bid:
  - 1. Bid Bond/Certified Check in the amount of five percent (5%) of the Base Bid.
  - 2. Contractor's Qualification Statement (AIA Document A305).
  - 3. Iran Divestment Act Certifications (see Section 00 4951).
  - 4. Bid Proposal Certifications (see Section 00 4960).
  - 5. Hold Harmless Agreement (see Section 00 4970).
  - 6. Prevailing Wage Agreement (see Section 00 7343).

#### 1.5 CHANGE ORDERS

- A. We propose and agree that the Contract Sum shall be adjusted for changes in the Contract Work, not included in unit prices, by addition of the following costs:
  - 1. Profit and overhead as permitted in the GENERAL CONDITIONS.

#### 1.6 ALLOWANCES: (Reference Specification Section 01 2100).

A. The Bidder acknowledges that the Allowance applicable to this Contract is included in the Base Bid.

## 1.7 NON-COLLUSIVE BIDDING CERTIFICATION (See Specification Section 00 4960 also).

- A. By submission of this bid, the bidder, and each person signing on behalf of the bidder, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and,
  - 3. No attempt has been made, or will be made, by the bidder to induce any other person, partnership or corporation to submit, or not to submit, a bid for the purpose of restricting competition.
- B. The person signing this bid or proposal certifies that he/she has fully informed himself/herself regarding the accuracy of the statements contained in this certification, and under the penalties or perjury, affirms the truth thereof, such penalties being applicable to the bidder as well as the person signing in its behalf;
  - 1. That, attached hereto (if a corporate bidder), is a certified copy of a resolution authorizing the execution of this certificate by the signature of this bid or proposal on behalf of the corporate bidder.

Resolved that			

(Name of Individual)

be authorized to sign and submit the bid or proposal of this corporation for the Ossining Union Free School District, Brookside Elementary School, Classroom Addition, and to include in such bid or proposal the certificate as to non-collusion required by Section One Hundred Three (d) ((103d)) of the General Municipal Law as the act and deed of such corporation, and for any inaccuracies or misstatements in such certificate this corporate bidder shall be liable under the penalties of perjury.

#### OSSINING UFSD

## BROOKSIDE ES CLASSROOM ADDITION

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14428.11	FORM OF PROPOSAL -	ELECTRICAL WORK	00 4030-3

meeting of its Board of Directors held on the	: day of	_ Corporation a
20 .	day or _	
<del></del>		

#### 1.8 ACCEPTANCE

A. When this Proposal is accepted, the Undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

#### 1.9 AFFIRMS

- A. The Undersigned affirms and agrees that this Proposal is a firm one which shall remain in effect and will be irrevocable for a period of forty-five (45) days after opening of Bids.
- B. The Undersigned acknowledges the receipt of the prevailing wage rates for the Contract which are incorporated into the Contract Documents.
- C. The Undersigned understands that the Owner reserves the right to accept or reject any or all Proposals and to waive any informalities in the bidding.
- D. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of Section 201-G of the New York State Labor Law.

#### 1.10 TYPE OF BUSINESS

A. The Undersigned hereby represents that it is a (check one)  $\square$  Corporation,  $\square$  Partnership,  $\square$  Individual. If a Corporation, then the Undersigned further represents that it is duly qualified as a Corporation under the laws of New York State and it is authorized to do business in this State.

\

#### 1.11 PLACE OF BUSINESS

A.	The following is the name with this Proposal may be		rson to whom all notices requiellivered:	ired in connection
	Name of Contact Person:			
	Name of Business or Firm	n:		
	Address:			
	Federal ID No. or Soci Security Number:	ial		
	Telephone:	F	ax:	
	E-mail:	N	Mobile Phone:	
1.12	EXECUTION OF CON	TRACT		
A.	within forty-five (45) day	s after the opening of E	posal is mailed or delivered to ids, or anytime thereafter shou days, will execute the Form of	ld the Proposal not
1.13	ADDENDA			
A.	The Undersigned acknow by all Addenda whether o		e following Addenda, but agre	ees that it is bound
	Addendum Number	Date of Addendum	Remarks	
1.14	ASBESTOS			

A. The bidder certifies that no asbestos or asbestos-containing materials will be incorporated into the Work of this Contract.

FORM OF PROPOSAL – ELECTRICAL WORK

00 4030-5

## 1.15 AUTHORIZED SIGNATURE FOR PROPOSAL

Signature:	
Name:	
	(Typed or Printed)
Title:	
Firm:	
	(Legal Name of Person, Single Proprietorship, Partnership, or Corporation)
Date:	

(if Corporation, provide seal above)

END OF SECTION 00 4030

## SECTION 00 4510 – ASBESTOS NOTIFICATION

## PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. Attached Asbestos Notification form.
  - The attached form shall be submitted to the Architect/Engineer by each Contractor in accordance with the Contract Documents prior to performing any work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 00 4510

## **Asbestos Notification**

The Asbestos Hazard Emergency Response Act (AHERA) requires School Districts to inform all non-District employees (Contractors, vendors, etc.) who perform short term work in a school building of the locations of any known or assumed asbestos containing building materials in the school. Exposure to asbestos fibers can be hazardous to one's health and to the health of the building occupants. *Precautions must be taken to prevent the disturbance of asbestos containing building materials*.

The Owner has an asbestos management plan that indicates the specific locations where asbestos is known to exist.

Please proceed with caution and remember that the Owner's buildings *are for children*. No work may begin, until the Contractor certifies, by signature below, that they:

- 1. Have contacted the Director to inform him of the scope of work.
- 2. Have been informed by the Director of any known asbestos containing materials.
- 3. Will take adequate measures to prevent the disturbance of asbestos fibers to the largest extent possible.
- 4. Will inform any sub-contractors of the location of any asbestos containing materials and will require these sub-contractors to take adequate measures to prevent the disturbance of asbestos fibers.
- 5. Will immediate contact the Director if asbestos fibers are disturbed.

Name of Contractor (Printed)	
Title (Printed)	
Address of Contractor (Printed)	
Address of Contractor (Printed)	
Signature	Date

#### CERTIFICATION OF COMPLIANCE WITH THE IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (the "Act"), Chapter 1 of the 2012 Laws of New York, a new provision has been added to State Finance Law (SFL) § 165-a and New York General Municipal Law § 103-g, both effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law) (the "Prohibited Entities List"). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date at which time it will be posted on the OGS website.

By submitting a bid in response to this solicitation or by assuming the responsibility of a Contract awarded hereunder, each Bidder/Contractor, any person signing on behalf of any Bidder/Contractor and any assignee or subcontractor and, in the case of a joint bid, each party thereto, certifies, under penalty of perjury, that once the Prohibited Entities List is posted on the OGS website, that to the best of its knowledge and belief, that each Bidder/Contractor and any subcontractor or assignee is not identified on the Prohibited Entities List created pursuant to SFL § 165-a(3)(b).

Additionally, Bidder/Contractor is advised that once the Prohibited Entities List is posted on the OGS Website, any Bidder/Contractor seeking to renew or extend a Contract or assume the responsibility of a Contract awarded in response to this solicitation must certify at the time the Contract is renewed, extended or assigned that it is not included on the Prohibited Entities List.

During the term of the Contract, should the School District receive information that a Bidder/Contractor is in violation of the above-referenced certification, the School District will offer the person or entity an opportunity to respond. If the person or entity fails to demonstrate that he/she/it has ceased engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then the School District shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages or declaring the Bidder/Contractor in default. The School District reserves the right to reject any bid or request for assignment for a Bidder/Contractor that appears on the Prohibited Entities List prior to the award of a contract and to pursue a responsibility review with respect to any Bidder/Contractor that is awarded a contract and subsequently appears on the Prohibited Entities List.

I,	, being duly sworn, deposes and says that
he/she is the	of the
Corporation and that neither the Bidder identified on the Prohibited Entities List.	/ Contractor nor any proposed subcontractor is
SWORN to before me this day	SIGNED of 201
Notary Public:	

DECLARATION OF BIDDER'S INABILITY TO PROVIDE CERTIFICATION OF COMPLIANCE WITH THE IRAN DIVESTMENT ACT

Bidders shall complete this form if they cannot certify that the bidder /contractor or any proposed subcontractor is not identified on the Prohibited Entities List. District reserves the right to undertake any investigation into the information provided herein or to request additional information from the bidder.

Name of the Bidder:
Address of Bidder:
Has bidder been involved in investment activities in Iran?
Describe the type of activities including but not limited to the amounts and the nature of the investments (e.g. banking, energy, real estate)
If so, when did the first investment activity occur?  Have the investment activities ended?
If so, what was the date of the last investment activity?
Has the bidder adopted, publicized, or implemented a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran?
If so, provide the date of the adoption of the plan by the bidder and proof of the adopted resolution, if any and a copy of the formal plan.
In detail, state the reasons why the bidder cannot provide the Certification of Compliance with the Iran Divestment Act below (additional pages may be attached):
I, being duly sworn, deposes and says that he/she is the
of the Corporation and the foregoing is true and accurate.
SWORN to before me this
day of 201
Notary Public

14428.11

#### **BID PROPOSAL CERTIFICATIONS**

Firm Name:	
Business Address:	
Telephone Number:	
Date of Bid:	

- I. <u>General Bid Certification</u>: The bidder certifies that he will furnish, at the prices herein quoted, the materials, equipment and/or services as proposed on this bid.
- II. <u>Non-Collusive Bidding Certification</u>: By submission of this bid proposal, the bidder certifies that he is complying with Section 103-d of the General Municipal Law as follows:

Statement of non-collusion in bids and proposals to political subdivision of the state. Every bid or proposal hereafter made to a political subdivision of the state or any public department, agency or official thereof where competitive bidding is required by statute, rule, regulation, or local law, for work or services performed or to be performed or goods sold or to be sold, shall contain the following statement subscribed by the bidder and affirmed by such bidder as true under the penalties of perjury: Non-collusive bidding certification.

- "(a) By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - (1) The prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - (2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

- (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition."
- (b) A bid shall not be considered for award nor shall any award be made where (a) (1) (2) and (3) above have not been complied with; provided, however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore. Where (a) (1) (2) and (3) above have not been complied with, the bid shall not be considered for award nor shall any award be made unless the head of the purchasing unit of the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.
- (1) The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customers of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning subparagraph one (a).
- (2) Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, or local law, and where such bid contains the certification referred to in subdivision one of the section, shall be deemed to have been authorized by the board of directors of the bidder, and such authorization shall be deemed to include the signing and submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation.

Signature (Authorized)	
ritle	

## HOLD HARMLESS AGREEMENT

Contractor will be required to sign the following "Hold Harmless" Agreement with the Ossining Union Free School District. Compliance with the foregoing requirements for insurance shall not relieve the contractor from liability set forth under the Indemnity Agreement.

(Name of Company)
nereby agrees to defend, indemnify and save harmless the Ossining Union
Free School District from and against any and all liability, loss, damages,
claims for bodily injury and/or property damages, cost and expense including
counsel fees, to the extent permissible by law, arising out of the services
provided for the contractor under the contract including but not limited to
he transportation of individuals by the(Name of Company)
ts employees, agents, servants, and volunteers.
Date
Contractor/Bidder
Seal - Signature of Authorized Officer of Corporation, Partnership, etc.

#### SECTION 00 5100 - AGREEMENT FORM

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

A. The "Standard Form of Agreement Between Owner and Contractor where the Basis of Payment is a Stipulated Sum," AIA Document A101-2007, is bound with this Section. AIA Document A101 adopts by reference, and is designed for use with, AIA Document A201–2007, General Conditions of the Contract for Construction.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 00 5100



# Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the in the year (In words, indicate day, month and year.)

BETWEEN the Owner:

(Name, legal status, address and other information)

OSSINING UNION FREE SCHOOL DISTRICT 400 Executive Boulevard Ossining, New York 10562

and the Contractor: (Name, legal status, address and other information)

for the following Project: (Name, location and detailed description)

**Brookside Elementary School** Classroom Addition 30 Ryder Road Ossining, New York 10562

SED #66-14-01-03-0-001-022

The Architect: (Name, legal status, address and other information)

CPL 50 Front Street, Suite 202 Newburgh, NY 12550

The Owner and Contractor agree as follows.

#### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101®-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201®-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

#### TABLE OF ARTICLES

- THE CONTRACT DOCUMENTS
- THE WORK OF THIS CONTRACT
- DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- **CONTRACT SUM**
- **PAYMENTS**
- **DISPUTE RESOLUTION**
- **TERMINATION OR SUSPENSION**
- **MISCELLANEOUS PROVISIONS**
- **ENUMERATION OF CONTRACT DOCUMENTS**

#### EXHIBIT A INSURANCE AND BONDS

#### **ARTICLE 1** THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements. either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

#### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

#### DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be: (Check one of the following boxes.)

> [X] The date of this Agreement.

- A date set forth in a notice to proceed issued by the Owner.
- [ ]Established as follows: (Insert a date or a means to determine the date of commencement of the Work.)

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

#### § 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

(Check one of the following boxes and complete the necessary information.)

Init.

ι	j Not later than	( ) calendar days from	n the date of commencement	of the Work.
I	] By the following	ng date:		
are to be	e completed prior to St	of the Contract Time as ubstantial Completion o by the following dates:	provided in the Contract Doof f the entire Work, the Contract	cuments, if portions of the Work ctor shall achieve Substantial
	Portion of Work		Substantial Completion Date	
§ 3.3.3 I any, sha	f the Contractor fails to ll be assessed as set fo	o achieve Substantial Co orth in Section 4.5.	ompletion as provided in this S	Section 3.3, liquidated damages, if
ARTICLE § 4.1 Th Contract Docume	e Owner shall pay the t. The Contract Sum sh	Contractor the Contrac	t Sum in current funds for the o additions and deductions as	Contractor's performance of the provided in the Contract
§ 4.2 All § 4.2.1 A		uded in the Contract Sur	n:	
	Item	1	Price	
executio	n of this Agreement. U	Jpon acceptance, the Ov	owing alternates may be accept vner shall issue a Modification tust be met for the Owner to accept	n to this Agreement.
	Item		Price	Conditions for Acceptance
§ 4.3 All (Identify	owances, if any, inclu each allowance.)	ded in the Contract Sun	<b>:</b> :	
	Item		Price	
	it prices, if any: the item and state the	unit price and quantity	limitations, if any, to which th	ne unit price will be applicable.)
	Item		Units and Limitations	Price per Unit (\$0.00)
	uidated damages, if an irms and conditions for	ny: r liquidated damages, if	any.)	
§ 4.6 Oth		other incentives, if any,	that might result in a change	to the Contract Sum.)

#### ARTICLE 5 **PAYMENTS**

#### § 5.1 Progress Payments

- § 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.
- § 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:
- § 5.1.3 Provided that an Application for Payment is received by the Architect not later than the 25th day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the 15th day of the next month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than thirty (30) days after the Architect receives the Application for Payment.

(Federal, state or local laws may require payment within a certain period of time.)

- § 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.
- § 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.
- § 5.1.6 In accordance with AIA Document A201, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:
- § 5.1.6.1 The amount of each progress payment shall first include:
  - That portion of the Contract Sum properly allocable to completed Work; .1
  - .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
  - That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.
- § 5.1.6.2 The amount of each progress payment shall then be reduced by:
  - The aggregate of any amounts previously paid by the Owner;
  - .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201;
  - Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, .3 unless the Work has been performed by others the Contractor intends to pay;
  - For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201; and
  - .5 Retainage withheld pursuant to Section 5.1.7.

#### § 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

Five Percent (5%)

#### § 5.1.7.1.1 The following items are not subject to retainage:

(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

#### § 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:

(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

None.

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:

(Insert any other conditions for release of retainage upon Substantial Completion.)

- § 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201.
- § 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

#### § 5.2 Final Payment

- § 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when
  - .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201, and to satisfy other requirements, if any, which extend beyond final payment; and
  - .2 a final Certificate for Payment has been issued by the Architect.
- § 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

#### § 5.3 Interest

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located. (Insert rate of interest agreed upon, if any.)

As per Section 106-b of the General Municipal Law.

#### ARTICLE 6 DISPUTE RESOLUTION

#### § 6.1 Initial Decision Maker

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201-2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker. (If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

δ	6.2	Binding	<b>Dispute</b>	Reso	lution
3	v.=	<b>Dilliani</b>	DISPUIS	1/030	IUUVII

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201-2017, the method of binding dispute resolution shall be as follows: (Check the appropriate box.)

	Arbitration pursuant to Section 15.4 of AIA Document A201–2017
X 1	Litigation in a court of competent jurisdiction

[ ] Other (Specify)

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

#### ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201.

§ 7.1.1 If the Contract is terminated for the Owner's convenience in accordance with Article 14 of AIA Document A201, then the Owner shall pay the Contractor a termination fee as follows:

(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner's convenience.)

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201.

#### ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

#### § 8.2 The Owner's representative:

(Name, address, email address, and other information)

#### § 8.3 The Contractor's representative:

(Name, address, email address, and other information)

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

#### § 8.5 Insurance and Bonds

- § 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in the Contract Documents.
- § 8.5.2 The Contractor shall provide bonds as set forth in the Contract Documents.
- § 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A201, may be given in accordance with AIA Document E203<sup>TM</sup>—2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)

§ 8.7 Other provisions:

#### ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101, Exhibit A, Insurance and Bonds
- .3 AIA Document A201, General Conditions of the Contract for Construction
- .4 AIA Document E203, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

(Insert the date of the E203-2013 incorporated into this Agreement.)

.5	Drawings			
	Number	Title	Date	
.6	Specifications			
	Section	Title	Date	Pages
.7	Addenda, if any:			
	Number	Date	Pages	
	Doutions of Addouds relating to 1	L::4::		<b>.</b>

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

.8 Other Exhibits:

(Check all boxes that apply and include appropriate information identifying the exhibit where

[ ] AIA Document E204, Sustainable Projects Exhibit, dated as indicated below: (Insert the date of the E204-2017 incorporated into this Agreement.)

required.)

Init.

**User Notes:** 

	[ ] The S	ustainability Plan:		
	Title	Date	Pages	
	[ ] Suppl	ementary and other Conditions of the	ne Contract:	
	Document	Title	Date	Pages
.9	(List here any Document A20 forms, the Con requirements, a proposals, are documents sho  The Contract I sample forms, the Contractor	nts, if any, listed below: additional documents that are intented provides that the advertisement of tractor's bid or proposal, portions of and other information furnished by not part of the Contract Documents also include the Advertis other information furnished by the Cost information furnished by the Cost bid or proposal, and portions of A	r invitation to bid, Instruction of Addenda relating to bidding the Owner in anticipation of unless enumerated in this to be part of the Contract Document or Invitation to Bid, I owner in anticipation of receded and a relating to bidding.	ons to Bidders, sample ing or proposal freceiving bids or Agreement. Any such cuments.)  Instructions to Bidders,
This Agreen	nent entered into	as of the day and year first written a	bove.	
OWNER (Si	gnature)	COI	NTRACTOR (Signature)	
(Printed na	me and title)	(Pr	inted name and title)	

#### SECTION 00 6000 - PROJECT FORMS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. This Section lists the project forms used for administration of the project.

#### 1.2 FORMS

- A. The following forms are provided in this Section:
  - 1. FRONT END SUBMITTAL LOG
  - 2. REQUEST FOR INFORMATION (RFI) FORM
  - 3. SUBCONTRACTOR LIST
  - 4. ALLOWANCE DISBURSEMENT AUTHORIZATION FORM
  - 5. SUBSTITUTION REQUEST FORM
  - 6. SUBMITTAL COVER
  - 7. INFORMATION BULLETIN
  - 8. AIA FORMS (Forms provided are samples. Original AIA Documents shall be used):
    - a. Contractor's Qualification Statement (AIA Document A305).
    - b. Bid Bond (AIA Document A310).
    - c. Performance Bond (AIA Document A312).
    - d. Change Order (AIA Document G701).
    - e. Application and Certificate for Payment (AIA Document G702) and Continuation Sheet (AIA Document G703).
    - f. Certification of Substantial Completion (AIA Document G704).
    - g. Contractor's Affidavit of Payment of Debts and Claims (AIA Document G706).
    - h. Contractor's Affidavit of Release of Liens (AIA Document G706A).
    - i. Consent of Surety to Final Payment (AIA Document G707).
    - j. Work Changes Proposal Request (AIA Document G709).
    - k. Architect's Supplemental Instructions (AIA Document G710).
    - 1. Construction Change Directive (AIA Document G714).
    - m. Supplemental Attachment for ACORD Certificate of Insurance 25-S (AIA Document G715).

#### PART 2 - PRODUCTS (Not Used)

#### PART 3 - EXECUTION

#### 3.1 PROCEDURES

A. <u>Front End Submittal Log</u>: This document is a checklist of the general condition submittals required. Refer to Bidding Requirements, Section entitled "Instructions to Bidders" and Division 1, Specification Section entitled "SUBMITTAL PROCEDURES" for submission procedures.

- B. Request For Information (RFI) Form: This form is to be used for information requests. The forms are filled out by any party to the contract and sent to the Architect/Engineer. The Architect/Engineer shall number RFI before processing.
- C. <u>Subcontractor List</u>: This document is to be used identify subcontractors. The forms shall be filled out by each Prime Contractor for all proposed subcontractors and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"
- D. <u>Allowance Disbursement Authorization Form</u>: The Architect/Engineer shall issue this document after all parties have agreed to the conditions of change to be charged to the Allowance Amount in accordance with Division 1, section entitled "ALLOWANCES", if required.
- E. <u>Substitution Request Form</u>: This document is to be used by a Contractor to propose substitutions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, Sections entitled "SUBMITTAL PROCEDURES" and "PRODUCT REQUIREMENTS".
- F. <u>Submittal Cover</u>: This document is to be used for submittal submissions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"
- G. <u>Information Bulletin:</u> The Architect/Engineer shall issue this document for 3 actions:
  - 1. PROPOSAL REQUEST: To request a proposal from the Contractor for changes to the scope of work.
  - 2. SUPPLEMENTAL INSTRUCTIONS: Instructions for changes to the Contract Documents without additional cost or time.
  - 3. CONSTRUCTION CHANGE AUTHORIZATION: A directive to immediately proceed with changes to the work of the contract and to submit final cost for inclusion into a Change Order.

END OF SECTION 00 6000



## FRONT END SUBMITTAL LOG

## OSSINING UNION FREE SCHOOL DISTRICT BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION

Contractor Name:					
	SUBMISSIONS				
Submission	D Submitted	ate Approved		Remarks	
Contract:					
Schedule of Values:					
Bonds:					
Insurance:					
Workers Compensation:					
Automobile Insurance:					
Safety Program:					
Construction Schedule:					
Submittal Schedule:					
Emergency Contact:					
Substitution List:					
Subcontractor List:					
Project Manager:					
Superintendent:					

This log is to be used by the Contractor to monitor and complete the required front-end submissions.

# REQUEST FOR INFORMATION

RFI No:
Date:

## OSSINING UNION FREE SCHOOL DISTRICT BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION

Contract:	
To:	
From:	
Copies to:	
	NTION (OR CONFIRMATION) REGARDING THE FOLLOWING:
(Fully describe the question or type of inform	nation requested)
(List specific documents researched whe	en seeking the information requested.)
Specifications:	Drawings:
Other:	
Sender's Recommendation:	
Receiver's Reply:	
11001101 0 110929	
Note: This reply is not an authorization of a change to the Contract Documents, a Country with the Contract Documents.	to proceed with work involving additional cost, time or both. If any reply requires Change Order or a Construction Change Directive must be executed in accordance
By:	Date:



## Clark Patterson Lee

DESIGN PROFESSIONALS

## **SUBCONTRACTOR LIST**

## OSSINING UNION FREE SCHOOL DISTRICT BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION

To:	atterson Lee	From: (Contractor)
50 Front Str	reet, Suite 202 New York 12550	
Contractors No.:		
Contract For:		
	s proposed for use on this P al sheets if necessary.	Project as required by the Construction Documents.
Section No.:	Section Title:	
Firm	Section Title.	Con-
Name:		tact:
Address:		
Section No.:	Section Title:	
Firm		Con-
Name:		tact:
Address:		
Section No.:	Section Title:	
Firm		Con-
Name:		tact:
Address:		
Section No.:	Section Title:	
Firm		Con-
Name:		tact:
Address:		
Section No.:	Section Title:	
☐ Attachment(s)		
		Detai
Signed by:		Date:
Copies:   Own	ner □ Consultants	□ File







# ALLOWANCE DISBURSEMENT AUTHORIZATION

	Owner
	Architect/Engineer
	Contractor
	Field
	Other
	Other
	NING UNION FREE SCHOOL DISTRICT ROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION
Allowance Disbursement No.	Initiation Date:
Contract For:	
Contract 1 of.	
To Contractor:	
Contract Date:	
Not valid unt	il signed by Owner, Architect/Engineer, and Contractor.
The Original Contract Allo	owance
Net Allowance Disburseme	ents previously authorized
Charges to Contract Allowan	ce as a result of this authorization
Current Contract Allowand	ee Balance including this authorization
Owner:	
A 1:0 (F)	
Architect/Engineer: (Clark Patterson Lee)	
Contractor:	
·	







## SUBSTITUTION REQUEST FORM

## OSSINING UNION FREE SCHOOL DISTRICT BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION

		Substitution Req	uest Number:
Contract For:			
Specification Title:		Description:	
Section Number:	Page:	Part/Paragraph:	
Proposed Substitution:			_
Manufacturer:	Address:		Phone:
Trade Name:		Mod	del No.:
Installer: History: New product 2	Address		Phone: 10 years old
Differences between proposed substitution	on and specified produ	ect:	
Point-by-point comparative data atta	ched		
Reason for not providing specified item:			
Similar Installation:			
Project:		Architect/Engineer:	
Contractor:		Owner:	
		Date Installed:	
Proposed substitution affects other parts	∐ No		
	Yes	, explain	

## The Undersigned certifies:

• Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.

- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted By:	
Signed By:	
Firm:	
Address	
Phone:	
Attachments:	
REVIEW AND	ACTION
	bstitution approved - Make submittals in accordance with Specification Section 01330. bstitution approved as noted - Make submittals in accordance with Specification Section 01330. bstitution rejected - Use specified materials. bstitution Request received too late - Use specified materials.
Signed By:	Date:
Additional Comments:	Contractor Subcontractor Supplier Manufacturer Architect/Engineer

# SUBMITTAL COVER SHEET

(Attach to each copy of each submittal)

## **Clark Patterson Lee**

50 Front Street, Suite 202 Newburgh, New York 12550 Phone: (800) 274 - 9000



Clark Patterson Lee

Submittal No.

Contractor: Address:			Architect Project No: 14428.11 Contractor's Number: Project Name: Ossining Union Free School District
Phone / Fax:	_( )	( )	Brookside Elementary School Classroom Addition
TYPE OF SUBMITTA (Check one)	<u>1L</u>		DATE RECEIVED BY ARCHITECT:
□ Product Data	□ Color Samples	□ O&M Manual	
□ Shop Drawings	□ Product Samples	□ Record Document	DATE RETURNED TO CONTRACTOR:
□ Other			
Substitution See General Conditions	□ YES	□NO	
PRODUCT IDENTIFIES Specification Section			CONTRACTOR'S APPROVAL
Part/Par	agraph:		This submittal has been reviewed and
Contract Dwg. Num Detail Ref			approved by the Contractor in accordance with the General Conditions.
Product:			By: Date:
Manufacturer:			
DEVIATION FROM CONT	TRACT DOCUMENTS:		
CONTRACTOR COMMEN	ITS:		
FOR USE BY CPL ARCH	ITECT/ENGINEER'S S	STAMP	ARCHITECT/ENGINEER'S COMMENTS:
□ No Exception	Taken □ Revi	se & Resubmit	
□ Furnish as Co	rrected   Reje	cted	
the Contractor from com This review is only for information given and th The Contractor is respon dimensions; selecting f	s made on the submittal during pliance with the requirements of the limited purpose of check ne design concept expressed in nsible for: confirming and con abrication processes and tec- ith that of all other trades; and ner.	of the Contract Documents. ing for conformance with the Contract Documents. relating all quantities and chniques of construction;	
Clark Patterson l	Lee		
Date: By	<i>7</i> :		



## Clark Patterson Lee

# INFORMATION BULLETIN DESIGN PROFESSIONALS

PRO	JEC'	Γ:	Ossining Union Brookside Elen Classroom Add		INFORMATION I	BULLETIN NO.:	
					DATE:		
OW	NER	:			ARCHITECT'S PF	ROJECT NO.:	14428.11
CONTRACTOR:				CONTRACT NO.:			
DES	CRII	PTION:			CONTRACT DAT	Έ:	
ATTA	\CHI	MENT(S):					
				Α (	CTION		
	1.				quotation for changes in the Contract Documents.		
	2.				nent the above instructions vow and return one copy to the		Contract Sum and/or
	3.				TON: Proceed with the r change in Contract Time for		
		Methods:		Lump Sum	Unit Price	Time & Mat	erial Not-to-Exceed
		Change in	Contract Sum of				
		Change in	Contract Time of			days	
		ISSUE	D:	AC	CEPTED:	AUTHOI	RIZED:
BY:				BY:	I	BY:	
		Architect Required f	Date For Actions 1,2,3		wner Date quired for Action 3	Contract Required	tor Date d for Actions 2,3
Ow Cor	ner ntracto	or	☐ Arc	hitect	Structural Mechanical/Electri	Civ	ril ner (Roofing)

## Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO:
ADDRESS:
SUBMITTED BY:
NAME:
ADDRESS:
PRINCIPAL OFFICE:
[ ] Corporation
[ ] Partnership
[ ] Individual
[ ] Joint Venture
[ ] Other
NAME OF PROJECT (if applicable):
TYPE OF WORK (file separate form for each Classification of Work):
[ ] General Construction
[ ] HVAC
[ ] Electrical
[ ] Plumbing
[ ] Other (please specify)
§ 1. ORGANIZATION § 1.1 How many years has your organization been in business as a Contractor?
§ 1.2 How many years has your organization been in business under its present business name?

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

§ 1.3 If your organization is a corporation, answer the following:

§ 1.2.1 Under what other or former names has your organization operated?

§ 1.3.1 Date of incorporation:

- § 1.3.2 State of incorporation:
- § 1.3.3 President's name:
- § 1.3.4 Vice-president's name(s)
- § 1.3.5 Secretary's name:
- § 1.3.6 Treasurer's name:
- § 1.4 If your organization is a partnership, answer the following:
  - § 1.4.1 Date of organization:
  - § 1.4.2 Type of partnership (if applicable):
  - § 1.4.3 Name(s) of general partner(s)
- § 1.5 If your organization is individually owned, answer the following:
  - § 1.5.1 Date of organization:
  - § 1.5.2 Name of owner:
- § 1.6 If the form of your organization is other than those listed above, describe it and name the principals:
- § 2. LICENSING
- § 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.
- § 2.2 List jurisdictions in which your organization's partnership or trade name is filed.
- § 3. EXPERIENCE
- § 3.1 List the categories of work that your organization normally performs with its own forces.
- § 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.) § 3.2.1 Has your organization ever failed to complete any work awarded to it?
  - § 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?
  - § 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

§ 3.4.1 State total worth of work in progress and under contract:

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

§ 3.5.1 State average annual amount of construction work performed during the past five years:

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

#### § 4. REFERENCES

§ 4.1 Trade References:

§ 4.2 Bank References:

§ 4.3 Surety:

§ 4.3.1 Name of bonding company:

§ 4.3.2 Name and address of agent:

#### § 5. FINANCING

§ 5.1 Financial Statement.

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

- § 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:
- § 5.1.3 Is the attached financial statement for the identical organization named on page one?
- § 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).
- § 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

#### § 6. SIGNATURE

§ 6.1 Dated at this

day of

Name of Organization:

Ву:

Title:

§ 6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this

day of

20

Notary Public:

My Commission Expires:

# $\bigcirc AIA^{\circ}$ Document A310 $^{\circ}$ – 1970

## **Bid Bond**

KNOW ALL MEN BY THESE PRESENTS, that we (Here insert full name and address or legal title of Contractor)

as Principal, hereinafter called the Principal, and (Here insert full name and address or legal title of Surety)

a corporation duly organized under the laws of the State of called the Surety, are held and firmly bound unto (Here insert full name and address or legal title of Owner)

as Surety, hereinafter

as Obligee, hereinafter called the Obligee, in the sum of (\$ ), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for (Here insert full name, address and description of project)

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

#### ADDITIONS AND DELETIONS:

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This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Signed and sealed this day of	,	
	(Principal)	(Seal)
(Witness)	(Title)	
		'n n
(Witness)	(Surety)	
	(Title)	(Seal)

# $\mathbf{AIA}^{\circ}$ Document A312 $^{\circ}$ – 1984

#### Performance Bond

CONTRACTOR (Name and Address):	<b>SURETY</b> (Name and Principal Place of Business):
OWNER (Name and Address):	
CONSTRUCTION CONTRACT Date: Amount: Description (Name and Location):	
BOND  Date (Not earlier than Construction Cont.)  Amount:  Modifications to this Bond:	ract Date):  None See Last Page
CONTRACTOR AS PRINCIPAL Company: (Corporate Seal)	SURETY Company: (Corporate Seal)
Signature: Name and Title: (Any additional signatures appear on the	Signature: Name and Title: last page)
(FOR INFORMATION ONLY - Name, Add AGENT or BROKER:	dress and Telephone) OWNER'S REPRESENTATIVE (Architect, Engineer or other party):

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contract, Surety, Owner or other party shall be considered plural where applicable.

- § 1 The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.
- § 2 If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except to participate in conferences as provided in Section 3.1.
- § 3 If there is no Owner Default, the Surety's obligation under this Bond shall arise after:
- § 3.1 The Owner has notified the Contractor and the Surety at its address described in Section 10 below that the Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with the Contractor and the Surety to be held not later than fifteen days after receipt of such notice to discuss methods of performing the Construction Contract. If the Owner, the Contractor and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default; and
- § 3.2 The Owner has declared a Contractor Default and formally terminated the Contractor's right to complete the contract. Such Contractor Default shall not be declared earlier than twenty days after the Contractor and the Surety have received notice as provided in Section 3.1; and
- § 3.3 The Owner has agreed to pay the Balance of the Contract Price to the Surety in accordance with the terms of the Construction Contract or to a contractor selected to perform the Construction Contract in accordance with the terms of the contract with the Owner.
- § 4 When the Owner has satisfied the conditions of Section 3, the Surety shall promptly and at the Surety's expense take one of the following actions:
- § 4.1 Arrange for the Contractor, with consent of the Owner, to perform and complete the Construction Contract; or
- § 4.2 Undertake to perform and complete the Construction Contract itself, through its agents or through independent contractors; or
- § 4.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and the contractor selected with the Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Section 6 in excess of the Balance of the Contract Price incurred by the Owner resulting from the Contractor's default; or
- § 4.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:
  - After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, tender payment therefor to the Owner; or
  - .2 Deny liability in whole or in part and notify the Owner citing reasons therefor.
- § 5 If the Surety does not proceed as provided in Section 4 with reasonable promptness, the Surety shall be deemed to be in default on this Bond fifteen days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Section 4.4, and the Owner refuses the payment tendered or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.
- § 6 After the Owner has terminated the Contractor's right to complete the Construction Contract, and if the Surety elects to act under Section 4.1, 4.2, or 4.3 above, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. To the limit of the amount of this Bond, but subject to commitment by the Owner of the Balance of the Contract Price to mitigation of costs and damages on the Construction Contract, the Surety is obligated without duplication for:

- § 6.1 The responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
- § 6.2 Additional legal, design professional and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 4; and
- § 6.3 Liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
- § 7 The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators or successors.
- § 8 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.
- § 9 Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
- § 10 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the signature page.
- § 11 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

#### § 12 DEFINITIONS

- § 12.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made, including allowance to the Contractor of any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- § 12.2 Construction Contract: The agreement between the Owner and the Contractor identified on the signature page, including all Contract Documents and changes thereto.
- § 12.3 Contractor Default: Failure of the Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Construction Contract.
- § 12.4 Owner Default: Failure of the Owner, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract or to perform and complete or comply with the other terms thereof.

# $\S$ 13 MODIFICATIONS TO THIS BOND ARE AS FOLLOWS:

(Space is provided CONTRACTOR AS	l below for additional signatures PRINCIPAL	s of added parties, other the SURETY	an those appearing on the cover page.,
Company:	(Corporate Seal)	Company:	(Corporate Seal)
Signature: Name and Title: Address:		Signature: Name and Title: Address:	

Payment Bond			
CONTRACTOR (	Name and Address):	SURETY (Na Business):	me and Principal Place of
OWNER (Name of	and Address):		
	*		
•			
CONSTRUCTION Date: Amount: Description (Nan	CONTRACT  me and Location):		
Amount:	r than Construction Contra	act Date): None	See Last Page
Modifications to	this Bolid: A	None	_ See Last 1 age
CONTRACTOR A	S PRINCIPAL	SURETY	
Company:	(Corporate Seal)	Company:	(Corporate Seal)
Signature: Name and Title: (Any additional s	ignatures appear on the la	Signature: Name and Title: st page)	
, ,	TION ONLY - Name, Addr	ess and Telephone)	ENTATIVE (Architect, party):

- § 1 The Contractor and the Surety, jointly and severally bind themselves, their heirs, executors, administrators, successors and assigns to the Owner to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference.
- § 2 With respect to the Owner, this obligation shall be null and void if the Contractor:
- § 2.1 Promptly makes payment, directly or indirectly, for all sums due Claimants, and
- § 2.2 Defends, indemnifies and holds harmless the Owner from claims, demands, liens or suits by any person or entity whose claim, demand, lien or suit is for the payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, provided the Owner has promptly notified the Contractor and the Surety (at the address described in Section 12) of any claims, demands, liens or suits and tendered defense of such claims, demands, liens or suits to the Contractor and the Surety, and provided there is no Owner Default.
- § 3 With respect to Claimants, this obligation shall be null and void if the Contractor promptly makes payment, directly or indirectly, for all sums due.
- § 4 The Surety shall have no obligation to Claimants under this Bond until:
- § 4.1 Claimants who are employed by or have a direct contract with the Contractor have given notice to the Surety (at the address described in Section 12) and sent a copy, or notice thereof, to the Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.
- § 4.2 Claimants who do not have a direct contract with the Contractor:
  - .1 Have furnished written notice to the Contractor and sent a copy, or notice thereof, to the Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials were furnished or supplied or for whom the labor was done or performed; and
  - .2 Have either received a rejection in whole or in part from the Contractor, or not received within 30 days of furnishing the above notice any communication from the Contractor by which the Contractor has indicated the claim will be paid directly or indirectly; and
  - Not having been paid within the above 30 days, have sent a written notice to the Surety (at the address described in Section 12) and sent a copy, or notice thereof, to the Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to the Contractor.
- § 5 If a notice required by Section 4 is given by the Owner to the Contractor or to the Surety, that is sufficient compliance.
- § 6 When the Claimant has satisfied the conditions of Section 4, the Surety shall promptly and at the Surety's expense take the following actions:
- § 6.1 Send an answer to the Claimant, with a copy to the Owner, within 45 days after receipt of the claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed.
- § 6.2 Pay or arrange for payment of any undisputed amounts.
- § 7 The Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
- § 8 Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any Construction Performance Bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and the Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- § 9 The Surety shall not be liable to the Owner, Claimants or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

- § 10 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.
- § 11 No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the work or part of the work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Section 4.1 or Section 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
- § 12 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the signature page. Actual receipt of notice by Surety, the Owner or the Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.
- § 13 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
- § 14 Upon request by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

#### § 15 DEFINITIONS

- § 15.1 Claimant: An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials or equipment were furnished.
- § 15.2 Construction Contract: The agreement between the Owner and the Contractor identified on the signature page, including all Contract Documents and changes thereto.
- § 15.3 Owner Default: Failure of the Owner, which has neither been remedied nor waived, to pay the Contractor as required by the Construction Contract or to perform and complete or comply with the other terms thereof.
- § 16 MODIFICATIONS TO THIS BOND ARE AS FOLLOWS:

(Space is provided below for additional signature CONTRACTOR AS PRINCIPAL		s of added parties, other tha SURETY	n those appearing on the cover page.
Company:	(Corporate Seal)	Company:	(Corporate Seal)
Signature: Name and Title:		Signature: Name and Title: Address:	
Address:		Address:	



Change (	Order
----------	-------

PROJECT (Name and address):	CHANGE ORDER NUMBER:	OWNER: [
<u></u>	DATE:	ARCHITECT:
		CONTRACTOR:
		FIELD:
TO CONTRACTOR (Name and address):	ARCHITECT'S PROJECT NUMBER: CONTRACT DATE:	OTHER:
	CONTRACT FOR:	
THE CONTRACT IS CHANGED AS FOLLOW (Include, where applicable, any undispute	IS: d amount attributable to previously exect	uted Construction Change Directives)
The original Contract Sum was		\$
The net change by previously authorized C The Contract Sum prior to this Change Ord	Change Orders der was	• • • • • • • • • • • • • • • • • • •
The Contract Sum will be by this The new Contract Sum including this Char	s Change Order in the amount of	\$
The Contract Time will be , oy The date of Substantial Completion as of the	( ) days. he date of this Change Order therefore is	
NOTE: This Change Order does not include have been authorized by Construction Cha Contractor, in which case a Change Order	nge Directive until the cost and time have	been agreed upon by both the Owner and
NOT VALID UNTIL SIGNED BY THE ARC	CHITECT, CONTRACTOR AND OWNER	•
ARCHITECT (Firm name)	CONTRACTOR (Firm name)	OWNER (Firm name)
	ADDRESS	ADDRESS
ADDRESS	ADDRESS	ADDRESS
BY (Signature)	BY (Signature)	BY (Signature)
(Typed name)	(Typed name)	(Typed name)
DATE	DATE	DATE



# Application and Certificate for Payment

TO OWNER:	PROJECT:	APPLICATION NO: PERIOD TO:	Distribution to:
FROM CONTRACTOR:	VIA ARCHITECT:	FOR: DATE: CC OS:	ARCHITECT: CONTRACTOR: CONTRAC
CONTRACTOR'S APPLICATION FOR PAYMENT Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.  1. ORIGINAL CONTRACT SUM	PAYMENT  Onnection with the Contract.	The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.	oTHER:  ; information in accordance for Work for e Owner, and
3. CONTRACT SUM TO DATE (Line 1 $\pm$ 2)	on G703) \$	CONTRACTOR:  By:  State of:	
5. RETAINAGE:  a % of Completed Work (Column D + B on G703)  b % of Stored Material	69	County of: Subscribed and sworn to before me this day of	
(Column F on G703)  Total Retainage (Lines 5a + 5b or Total in Column I of G703)	of G703)\$	Notary Public: My Commission expires:	
6. TOTAL EARNED LESS RETAINAGE  (Linc 4 Less Line 5 Total) 7. LESS PREVIOUS CERTIFICATES FOR PAYMENT  (Line 6 from prior Certificate) 8. CURRENT PAYMENT DUE	es es es	ARCHITECT'S CERTIFICATE FOR PAYMENT In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the	ta comprising 's knowledge, e Work is in yment of the
RETAINAGE	۱ ا	AMOUNT CERTIFIED  Attach explanation if amount certified differs from the amount applied. Initial all figures on this	ures on this
CHANGE ORDER SUMMARY Total changes approved in previous months by Owner Total approved this Month	ADDITIONS DEDUCTIONS \$ \$ \$ \$	ARCHITECT:  By:	unt certified.)
TOTALS NET CHANGES by Change Order		This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract	the Contractor o any rights of

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# Continuation Sheet

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT,

containing Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO:

APPLICATION DATE:

PERIOD TO:

ARCHITECT'S PROJECT NO:

	Г	7	RETAINAGE (IF VARIABLE RATE)	Т	_	
	1	7				
	H	BALANCE TO RETAINAGE (F VARIABLE (C - G)				
ARCHITCH OF ROOMS INC.					%	
ンゴニロンとと	Ð	TOTAL	PRESENTLY COMPLETED STORED (NOT TO DATE IN D OR E) (D+E+F)			
	ц	A A TOTAL O	THIS PERIOD STORED (NOT TO DATE IN D OR E) (D+E+F)			
	E	WORK COMPLETED FROM EVIOUS LICATION (D + E)				
	D	WORK CO	FROM PREVIOUS APPLICATION (D+E)			
	C		SCHEDULED VALUE			
	В		DESCRIPTION OF WORK		- + C+ C: 4 CC	GRAND IOIAL
	А		ITEM NO.			

(2811165891)

# **Certificate of Substantial Completion**

PROJECT: (name and address	CONTRACT Contract Fo Date:	INFORMATION: r:		CATE INFORMATION: ate Number: 001
OWNER: (name and address)	ARCHITECT	: (name and address)	CONTRA	ACTOR: (name and address)
2				
complete. Substantial Compaccordance with the Contract Completion of the Project or	etion is the stage in the progr	ess of the Work when the Wo er can occupy or utilize the W he date established by this Co	ork or designa ork for its in	ation, and belief, to be substantially ated portion is sufficiently complete in tended use. The date of Substantial
ARCHITECT (Firm Name)	SIGNATURE	PRINTED NAME AND TITL	E DATE	E OF SUBSTANTIAL COMPLETION
required by the Contract Doc (Identify warranties that do n  WORK TO BE COMPLETED O	numents, except as stated belo not commence on the date of S R CORRECTED and or corrected is attached her	w: Substantial Completion, if any	v, and indicat	nmencement of applicable warranties te their date of commencement.) parties, and identified as follows:
Contract Documents. Unless the date of issuance of the fir correct the Work on the list of	otherwise agreed to in writing all Certificate of Payment or to if items attached hereto within	g, the date of commencement the date of final payment, wh	of warrantie	nplete all Work in accordance with the s for items on the attached list will be rs first. The Contractor will complete of Substantial Completion.
identified below shall be as f	wner and Contractor for secur		_	the Work, insurance, and other items s and coverage.)
The Owner and Contractor h	ereby accept the responsibiliti	ies assigned to them in this C	ertificate of S	Substantial Completion:
CONTRACTOR (Firm Name)	SIGNATURE	PRINTED NAME A	ND TITLE	DATE
OWNER (Firm Name)	SIGNATURE	PRINTED NAME A	ND TITLE	DATE



# Contractor's Affidavit of Payment of Debts and Claims

STATE OF: COUNTY OF:  The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations has otherwise been satisfied for all materials and equipment furnished, for all work, labor, and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be	
for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with	ve
held responsible or encumbered.	1
EXCEPTIONS:	
SUPPORTING DOCUMENTS ATTACHED HERETO:  1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose  Indicate Attachment	
BY:	
The following supporting documents should be attached (Signature of authorized representative) hereto if required by the Owner:	
1. Contractor's Release or Waiver of Liens, (Printed name and title) conditional upon receipt of final payment.	
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.  Subscribed and sworn to before me on this date:  Notary Public:	
3. Contractor's Affidavit of Release of Liens My Commission Expires:  (AIA Document G706A).	

OMMED.



# Contractor's Affidavit of Release of Liens

PROJE	CT: (Name and address)	ARCHITECT'S PRO	DJECT NUMBE	R: OWNER:	
				ARCHITECT:	
		CONTRACT FOR:		CONTRACTOR: [	
611	NIED (27 1 11 )	CONTRACT DATE	٦.	SURETY: [	
TOOW	NER: (Name and address)	CONTRACT DATE	J.	OTHER: [	$\exists$
				1.0	
STATE					
listed b of mate encumb	elow, the Releases or Waivers or	of Lien attached hereto rformers of Work, labous as or encumbrances aga	include the C or or services v	rledge, information and belief, except as ontractor, all Subcontractors, all supplier who have or may have liens or erty of the Owner arising in any manner	S
EXCEP'	FIONS:				
SUPPO	RTING DOCUMENTS ATTA	CHED HERETO:	CONTRAC	TOR: (Name and address)	
1.	Contractor's Release or Waive conditional upon receipt of fir	er of Liens,			
2.	Separate Releases or Waivers	of Liens from	BY:		_
	Subcontractors and material as suppliers, to the extent require accompanied by a list thereof.	nd equipment ed by the Owner,		(Signature of authorized representative)	
	2000-1			(Printed name and title)	_
			Subscribed	and sworn to before me on this date:	
			Notary Pub	olic:	
			My Comm	ission Expires:	



# Consent Of Surety to Final Payment

PROJECT: (Name and address)	ARCHITECT'S PROJECT NUMBER:	OWNER:
		ARCHITECT:
	CONTRACT FOR:	CONTRACTOR:
		SURETY: □
TO OWNER: (Name and address)	CONTRACT DATED:	OTHER:
• "		
In accordance with the provisions of the C (Insert name and address of Surety)	Contract between the Owner and the Contractor as indicate	ed above, the
(Instrument and many end of an engine		
		, SURETY,
on bond of		, ,
(Insert name and address of Contractor)		
berehy approves of the final payment to the	e Contractor, and agrees that final payment to the Contrac	, CONTRACTOR, tor shall not relieve the
Surety of any of its obligations to (Insert name and address of Owner)	, Community,	
	1	
as set forth in said Surety's bond.		, OWNER,
IN WITNESS WHEREOF, the Surety has	hereunto set its hand on this date:	
(Insert in writing the month followed by the	e numeric date and year.)	
	(Surety)	
	(Signature of authorized	representative)
Attest:		
(Seal):	(Printed name and title)	



## Work Changes Proposal Request

PROJECT (Name and address):	PROPOSAL REQUEST NUMBER:	OWNER: 🔲
0		ARCHITECT: □
	DATE OF ISSUANCE:	CONSULTANT:
		CONTRACTOR:
OWNER (Name and address):	CONTRACT FOR:	FIELD:
		OTHER:
	CONTRACT DATE:	
FROM ARCHITECT (Name and address):	ARCHITECT'S PROJECT NUMBER:	
TO CONTRACTOR (Name and address):		
to the Contract Documents described	r changes in the Contract Sum and Contract Time for therein. Within (1) days, the Contractor must so date on which proposal submission is anticipated.	or proposed modifications submit this proposal or
THIS IS NOT A CHANGE ORDER, A CO WORK DESCRIBED IN THE PROPOSED	NSTRUCTION CHANGE DIRECTIVE OR A DIRECTION MODIFICATIONS.	TO PROCEED WITH THE
DESCRIPTION (Insert a written descrip	otion of the Work):	
ATTACHMENTS (List attached docume	nts that support description):	
REQUESTED BY THE ARCHITECT:		
(Signature)	(Printed name and title)	

### Architect's Supplemental Instructions

PRO	JECT:	(name	and	add	recel

CONTRACT INFORMATION:

**ASI INFORMATION:** 

Contract For:

ASI Number: 001

Date:

Date:

**OWNER:** (name and address)

ARCHITECT: (name and address)

**CONTRACTOR**: (name and address)

The Contractor shall carry out the Work in accordance with the following supplemental instructions without change in Contract Sum or Contract Time. Proceeding with the Work in accordance with these instructions indicates your acknowledgment that there will be no change in the Contract Sum or Contract Time.

(Insert a detailed description of the Architect's supplemental instructions and, if applicable, attach or reference specific exhibits.)

SSUED BY THE ARCHITECT:
ARCHITECT (Firm name)
SIGNATURE
*
PRINTED NAME AND TITLE
DATE

# **Construction Change Directive**

PROJECT: (name and address)	CONTRACT INFORMATION: Contract For: Date:	CCD INFORMATION: Directive Number: 001 Date:
OWNER: (name and address)	ARCHITECT: (name and address)	CONTRACTOR: (name and address)
	make the following change(s) in this Cochange and, if applicable, attach or reference	
☐ Lump Sum decrease of	stment to the Contract Sum or Guarantee of \$0.00	d Maximum Price is:
_	per	
☐ Cost, as defined below (Insert a definition of,	v, plus the following fee: or method for determining, cost)	
☐ As follows:		
2. The Contract Time is propo	sed to remain unchanged. The proposed	adjustment, if any, is (0 days).
		er to supersede this Construction Change Intract Time, or Guaranteed Maximum price for
	t and received by the Contractor, this docum Construction Change Directive (CCD), and c(s) described above.	
ARCHITECT (Firm name)	OWNER (Firm name)	CONTRACTOR (Firm name)
SIGNATURE	SIGNATURE	SIGNATURE
PRINTED NAME AND TITLE	PRINTED NAME AND TITLE	PRINTED NAME AND TITLE
DATE	DATE	DATE

# Supplemental Attachment for ACORD Certificate of Insurance 25

CONTRACT INFORMATION:

**CERTIFICATE INFORMATION:** 

PROJECT: (name and address)

			ŕ	Contract For: Date:		Producer: Insured: Date:			
OW	NER:	(name	and address)	ARCHITECT: (name and address	5)	CONTRACTOR: (nan	ne and a	addres	s)
Α.	Ge	neral	Liability		_		Yes	No	N/A
	1.		es this policy include	coverage for:			103	110	1917
		a	Damages because of	of bodily injury, sickness, or diseat, and death of any person?	ase, includ	ling occupational			
		b	Personal injury and	advertising injury?					
		C		of physical damage to or destructi of use of such property?	ion of tang	gible property,			
		d	Bodily injury or pro	operty damage arising out of com	pleted op	erations?			
		6	The Contractor's in	demnity obligations included in t	the Contra	ct Documents?			
	2.	Do	es this policy contain	an exclusion or restriction of cov	erage for	:			
		а	restrictions is based	red against another insured, wher I solely on the fact that the claima coverage for the claim?					
		b	products-completed	damage to the Contractor's Worldoperations hazard where the dan mage arises was performed by a second contract of the contract	naged Wo	ork or the Work			
		С		njury other than to employees of t					
		d	Claims for the Con	tractor's indemnity obligations in out of injury to employees of the	cluded in				
		е	Claims for loss exc exclusionary langua	luded under a prior work endorse age?	ment or o	ther similar			
		f	Claims or loss due similar exclusionar	to physical damage under a prior y language?	injury end	dorsement or			
		g		sidential, multi-family, or other h	nabitationa	al projects?			
		h	Claims related to re	<b>▼</b>					
		i	exterior coatings or		synthetic s	stucco, or similar			
		j		rth subsistence or movement?					
		k	Claims related to ex	eplosion, collapse, and undergrou	nd hazard	s?			
В.	Oth	ner Ins	surance Coverage				Yes	No	N/A
	1.		cate the coverage lin		ce covera	ges and, if so,			
		a	Professional liabilit	•					
			Coverage limits	» ♦					
		þ	Pollution liability in						
			Coverage limits						
		C	Insurance for mariti	me liability risks associated with :	the opera	tion of a vessel			

a	Coverage limits:	nmanned aircraft	Ш			
e	Property insurance					
	Coverage limits:			_	_	
f	Railroad protective liability insurance					
	Coverage limits:					
g	Asbestos abatement liability insurance					
	Coverage limits:		_			
h	Insurance for physical damage to property while i the construction site	t is in storage and in transit to				
	Coverage limits:					
i	Other:					
		(Authorized Representative)				
		(Aumonizea Kepresenianve)				
		(Date of Issue)		-		

#### SECTION 00 7200 - GENERAL CONDITIONS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. The "General Conditions of the Contract for Construction," AIA Document A201-2017, is bound with this Section, which sets forth the rights, responsibilities, and relationships of the Owner, Contractor, and Architect.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 00 7200



#### General Conditions of the Contract for Construction

#### for the following PROJECT:

(Name and location or address)

**Brookside Elementary School** Classroom Addition 230 Ryder Road Ossining, New York 10562

SED #66-14-01-03-0-001-022

#### THE OWNER:

(Name, legal status and address)

Ossining Union Free School District 400 Executive Boulevard Ossining, NY 10562

#### THE ARCHITECT:

(Name, legal status and address)

50 Front Street, Suite 202 Newburgh, NY 12550

#### **TABLE OF ARTICLES**

- **GENERAL PROVISIONS**
- 2 OWNER
- CONTRACTOR
- **ARCHITECT**
- **SUBCONTRACTORS**
- **CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS**
- **CHANGES IN THE WORK**
- **PAYMENTS AND COMPLETION**
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 INSURANCE AND BONDS
- 12 UNCOVERING AND CORRECTION OF WORK

#### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AlA standard form, An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

- 13 MISCELLANEOUS PROVISIONS
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT
- 15 CLAIMS AND DISPUTES



INDEX	2.5, 3.1.3, 3.5, 3.10.2, 4.2.7
(Topics and numbers in bold are Section headings.)	Architect's Authority to Reject Work
	3.5, 4.2.6, 12.1.2, 12.2.I
	Architect's Copyright
Acceptance of Nonconforming Work	1.1.7, 1.5
9.6.6, 9.9.3, 12.3	Architect's Decisions
Acceptance of Work	3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 4.2.14, 6.3,
9.6.6, 9.8.2, 9.9.3, 9.10.1, 9.10.3, 12.3	7.3.4, 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4.1, 9.5, 9.8.4, 9.9.1,
Access to Work	
3.16, 6.2.1, 12.1	13.4.2, 15.2
Accident Prevention	Architect's Inspections
10	3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.8.3, 9.9.2, 9.10.1, 13.4
	Architect's Instructions
Acts and Omissions	3.2.4, 3.3.1, 4.2.6, 4.2.7, 13.4.2
3.2, 3.3.2, 3.12.8, 3.18, 4.2.3, 8.3.1, 9.5.1, 10.2.5,	Architect's Interpretations
10.2.8, 13.3.2, 14.1, 15.1.2, 15.2	4.2.11, 4.2.12
Addenda	Architect's Project Representative
1.1.1	4.2.10
Additional Costs, Claims for	Architect's Relationship with Contractor
3.7.4, 3.7.5, 10.3.2, 15.1.5	1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2,
Additional Inspections and Testing	3.5, 3.7.4, 3.7.5, 3.9.2, 3.9.3, 3.10, 3.11, 3.12, 3.16,
9.4.2, 9.8.3, 12.2.1, 13.4	3.18, 4.1.2, 4.2, 5.2, 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5,
Additional Time, Claims for	9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.3.2, 13.4, 15.2
3.2.4, 3.7.4, 3.7.5, 3.10.2, 8.3.2, 15.1.6	Architect's Relationship with Subcontractors
Administration of the Contract	1.1.2, 4.2.3, 4.2.4, 4.2.6, 9.6.3, 9.6.4, 11.3
3.1.3, 4.2, 9.4, 9.5	Architect's Representations
Advertisement or Invitation to Bid	9.4.2, 9.5.1, 9.10.1
1.1.1	Architect's Site Visits
Aesthetic Effect	3.7.4, 4.2.2, 4.2.9, 9.4.2, 9.5.1, 9.9.2, 9.10.1, 13.4
4.2.13	Asbestos
Allowances	10.3.1
3.8	Attorneys' Fees
Applications for Payment	3.18.1, 9.6.8, 9.10.2, 10.3.3
4.2.5, 7.3.9, 9.2, 9.3, 9.4, 9.5.1, 9.5.4, 9.6.3, 9.7, 9.10	Award of Separate Contracts
Approvals	6.1.1, 6.1.2
2.1.1, 2.3.1, 2.5, 3.1.3, 3.10.2, 3.12.8, 3.12.9,	Award of Subcontracts and Other Contracts for
3.12.10.1, 4.2.7, 9.3.2, 13.4.1	Portions of the Work
Arbitration	5.2
8.3.1, 15.3.2, 15.4	Basic Definitions
ARCHITECT	1,1
4	Bidding Requirements
Architect, Definition of	1.1.1
4.1.1	Binding Dispute Resolution
Architect, Extent of Authority	8.3.1, 9.7, 11.5, 13.1, 15.1.2, 15.1.3, 15.2.1, 15.2.5,
2.5, 3.12.7, 4.1.2, 4.2, 5.2, 6.3, 7.1.2, 7.3.4, 7.4, 9.2,	15.2.6.1, 15.3.1, 15.3.2, 15.3.3, 15.4.1
9.3.1, 9.4, 9.5, 9.6.3, 9.8, 9.10.1, 9.10.3, 12.1, 12.2.1,	Bonds, Lien
13.4.1, 13.4.2, 14.2.2, 14.2.4, 15.1.4, 15.2.1	
Architect, Limitations of Authority and	7.3.4.4, 9.6.8, 9.10.2, 9.10.3
Responsibility Respon	Bonds, Performance, and Payment
	7.3.4.4, 9.6.7, 9.10.3, 11.1.2, 11.1.3, 11.5
2.1.1, 3.12.4, 3.12.8, 3.12.10, 4.1.2, 4.2.1, 4.2.2,	Building Information Models Use and Reliance
4.2.3, 4.2.6, 4.2.7, 4.2.10, 4.2.12, 4.2.13, 5.2.1, 7.4,	1.8
9.4.2, 9.5.4, 9.6.4, 15.1.4, 15.2	Building Permit
Architect's Additional Services and Expenses	3.7.1
2.5, 12.2.1, 13.4.2, 13.4.3, 14.2.4	Capitalization
Architect's Administration of the Contract	1.3
3.1.3, 3.7.4, 15.2, 9.4.1, 9.5	Certificate of Substantial Completion
Architect's Approvals	9.8.3, 9.8.4, 9.8.5

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User Notes:

Certificates for Payment	3.7.4, 4.2.8, 8.3.1, 10.3
4.2.1, 4.2.5, 4.2.9, 9.3.3, 9.4, 9.5, 9.6.1, 9.6.6, 9.7,	Conditions of the Contract
9.10.1, 9.10.3, 14.1.1.3, 14.2.4, 15.1.4	1.1.1, 6.1.1, 6.1.4
Certificates of Inspection, Testing or Approval	Consent, Written
13.4.4	3.4.2, 3.14.2, 4.1.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3, 13.2,
Certificates of Insurance	15.4.4.2
9.10.2	Consolidation or Joinder
Change Orders	15.4.4
1.1.1, 3.4.2, 3.7.4, 3.8.2.3, 3.11, 3.12.8, 4.2.8, 5.2.3,	CONSTRUCTION BY OWNER OR BY
7.1.2, 7.1.3, 7.2, 7.3.2, 7.3.7, 7.3.9, 7.3.10, 8.3.1,	SEPARATE CONTRACTORS
9.3.1.1, 9.10.3, 10.3.2, 11.2, 11.5, 12.1.2	1.1.4, 6
Change Orders, Definition of	Construction Change Directive, Definition of
7.2.1	7.3.1
CHANGES IN THE WORK	Construction Change Directives
2.2.2, 3.11, 4.2.8, 7, 7.2.1, 7.3.1, 7.4, 8.3.1, 9.3.1.1,	1.1.1, 3.4.2, 3.11, 3.12.8, 4.2.8, 7.1.1, 7.1.2, 7.1.3,
11.5	7.3, 9.3.1.1
Claims, Definition of	Construction Schedules, Contractor's
15.1.1	3.10, 3.11, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2
Claims, Notice of	Contingent Assignment of Subcontracts
1.6.2, 15.1.3	5.4, 14.2.2.2
CLAIMS AND DISPUTES	Continuing Contract Performance
3.2.4, 6.1.1, 6.3, 7.3.9, 9.3.3, 9.10.4, 10.3.3, 15, 15.4	15.1.4
Claims and Timely Assertion of Claims	Contract, Definition of
15.4.1	1.1.2
Claims for Additional Cost	CONTRACT, TERMINATION OR SUSPENSION
3.2.4, 3.3.1, 3.7.4, 7.3.9, 9.5.2, 10.2.5, 10.3.2, 15.1.5	OF THE
Claims for Additional Time	5.4.1.1, 5.4.2, 11.5, 14
3.2.4, 3.3.1, 3.7.4, 6.1.1, 8.3.2, 9.5.2, 10.3.2, 15.1.6	Contract Administration
Concealed or Unknown Conditions, Claims for	3.1.3, 4, 9.4, 9.5
3.7.4	Contract Award and Execution, Conditions Relating
Claims for Damages	to
3.2.4, 3.18, 8.3.3, 9.5.1, 9.6.7, 10.2.5, 10.3.3, 11.3,	3.7.1, 3.10, 5.2, 6.1
11.3.2, 14.2.4, 15.1.7	Contract Documents, Copies Furnished and Use of
Claims Subject to Arbitration	1.5.2, 2.3.6, 5.3
15.4.1	Contract Documents, Definition of
Cleaning Up	1.1.1
3.15, 6.3	Contract Sum
Commencement of the Work, Conditions Relating to	2.2.2, 2.2.4, 3.7.4, 3.7.5, 3.8, 3.10.2, 5.2.3, 7.3, 7.4,
2.2.1, 3.2.2, 3.4.1, 3.7.1, 3.10.1, 3.12.6, 5.2.1, 5.2.3,	9.1, 9.2, 9.4.2, 9.5.1.4, 9.6.7, 9.7, 10.3.2, 11.5, 12.1.2,
6.2.2, 8.1.2, 8.2.2, 8.3.1, 11.1, 11.2, 15.1.5	12.3, 14.2.4, 14.3.2, 15.1.4.2, 15.1.5, 15.2.5
Commencement of the Work, Definition of	Contract Sum, Definition of
8.1.2	9.1
Communications	Contract Time
3.9.1, 4.2.4	
Completion, Conditions Relating to	1.1.4, 2.2.1, 2.2.2, 3.7.4, 3.7.5, 3.10.2, 5.2.3, 6.1.5,
	7.2.1.3, 7.3.1, 7.3.5, 7.3.6, 7, 7, 7.3.10, 7.4, 8.1.1,
3.4.1, 3.11, 3.15, 4.2.2, 4.2.9, 8.2, 9.4.2, 9.8, 9.9.1,	8.2.1, 8.2.3, 8.3.1, 9.5.1, 9.7, 10.3.2, 12.1.1, 12.1.2,
9.10, 12.2, 14.1.2, 15.1.2	14.3.2, 15.1.4.2, 15.1.6.1, 15.2.5
COMPLETION, PAYMENTS AND	Contract Time, Definition of
TO A STANDARD AND A STANDARD S	8.1.1
Completion, Substantial	CONTRACTOR
3.10.1, 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1,	3 G. A. M. D. G. M. S.
9.10.3, 12.2, 15.1.2	Contractor, Definition of
Compliance with Laws	3.1, 6.1.2
2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 10.2.2,	Contractor's Construction and Submittal Schedules
13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14.1.1, 14.2.1.3,	3.10, 3.12.1, 3.12.2, 4.2.3, 6.1.3, 15.1.6.2
15.2.8, 15.4.2, 15.4.3	Contractor's Employees
Concealed or Unknown Conditions	

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1

2.2.4, 3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, Damage to the Work 10.2, 10.3, 11.3, 14.1, 14.2.1.1 3.14.2, 9.9.1, 10.2.1.2, 10.2.5, 10.4, 12.2.4 Contractor's Liability Insurance Damages, Claims for 3.2.4, 3.18, 6.1.1, 8.3.3, 9.5.1, 9.6.7, 10.3.3, 11.3.2, Contractor's Relationship with Separate Contractors 11.3, 14.2.4, 15.1.7 and Owner's Forces Damages for Delay 3.12.5, 3.14.2, 4.2.4, 6, 11.3, 12.2.4 6.2.3, 8.3.3, 9.5.1.6, 9.7, 10.3.2, 14.3.2 Contractor's Relationship with Subcontractors Date of Commencement of the Work, Definition of 1.2.2, 2.2.4, 3.3.2, 3.18.1, 3.18.2, 4.2.4, 5, 9.6.2, 9.6.7, 9.10.2, 11.2, 11.3, 11.4 Date of Substantial Completion, Definition of Contractor's Relationship with the Architect 8.1.3 1.1.2, 1.5, 2.3.3, 3.1.3, 3.2.2, 3.2.3, 3.2.4, 3.3.1, 3.4.2, Day, Definition of 3.5.1, 3.7.4, 3.10, 3.11, 3.12, 3.16, 3.18, 4.2, 5.2, 8.1.4 Decisions of the Architect 6.2.2, 7, 8.3.1, 9.2, 9.3, 9.4, 9.5, 9.7, 9.8, 9.9, 10.2.6, 10.3, 11.3, 12, 13.4, 15.1.3, 15.2.1 3.7.4, 4.2.6, 4.2.7, 4.2.11, 4.2.12, 4.2.13, 6.3, 7.3.4, Contractor's Representations 7.3.9, 8.1.3, 8.3.1, 9.2, 9.4, 9.5.1, 9.8.4, 9.9.1, 13.4.2, 3.2.1, 3.2.2, 3.5, 3.12.6, 6.2.2, 8.2.1, 9.3.3, 9.8.2 14.2.2, 14.2.4, 15.1, 15.2 Contractor's Responsibility for Those Performing the Decisions to Withhold Certification 9.4.1, 9.5, 9.7, 14.1.1.3 3.3.2, 3.18, 5.3, 6.1.3, 6.2, 9.5.1, 10.2.8 Defective or Nonconforming Work, Acceptance, Contractor's Review of Contract Documents Rejection and Correction of 3.2 2.5, 3.5, 4.2.6, 6.2.3, 9.5.1, 9.5.3, 9.6.6, 9.8.2, 9.9.3, Contractor's Right to Stop the Work 9.10.4, 12.2.1 2.2.2, 9.7 **Definitions** Contractor's Right to Terminate the Contract 1.1, 2.1.1, 3.1.1, 3.5, 3.12.1, 3.12.2, 3.12.3, 4.1.1, 5.1, 6.1.2, 7.2.1, 7.3.1, 8.1, 9.1, 9.8.1, 15.1.1 Contractor's Submittals Delays and Extensions of Time 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 9.2, 9.3, 9.8.2, 3.2, 3.7.4, 5.2.3, 7.2.1, 7.3.1, 7.4, 8.3, 9.5.1, 9.7, 9.8.3, 9.9.1, 9.10.2, 9.10.3 10.3.2, 10.4, 14.3.2, 15.1.6, 15.2.5 Contractor's Superintendent Digital Data Use and Transmission 3.9, 10.2.6 1.7 Contractor's Supervision and Construction Disputes **Procedures** 6.3, 7.3.9, 15.1, 15.2 1.2.2, 3.3, 3.4, 3.12.10, 4.2.2, 4.2.7, 6.1.3, 6.2.4, Documents and Samples at the Site 7.1.3, 7.3.4, 7.3.6, 8.2, 10, 12, 14, 15.1.4 Coordination and Correlation Drawings, Definition of 1.2, 3.2.1, 3.3.1, 3.10, 3.12.6, 6.1.3, 6.2.1 1.1.5 Copies Furnished of Drawings and Specifications Drawings and Specifications, Use and Ownership of 1.5, 2.3.6, 3.11 Copyrights Effective Date of Insurance 1.5, 3.17 8.2.2 Correction of Work **Emergencies** 2.5, 3.7.3, 9.4.2, 9.8.2, 9.8.3, 9.9.1, 12.1.2, 12.2, 12.3, 10.4, 14.1.1.2, 15.1.5 15.1.3.1, 15.1.3.2, 15.2.1 Employees, Contractor's Correlation and Intent of the Contract Documents 3.3.2, 3.4.3, 3.8.1, 3.9, 3.18.2, 4.2.3, 4.2.6, 10.2, 1.2 10.3.3, 11.3, 14.1, 14.2.1.1 Cost, Definition of Equipment, Labor, or Materials 7.3.4 1.1.3, 1.1.6, 3.4, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1, Costs 4.2.6, 4.2.7, 5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1, 10.2.4, 14.2.1.1, 14.2.1.2 2.5, 3.2.4, 3.7.3, 3.8.2, 3.15.2, 5.4.2, 6.1.1, 6.2.3, 7.3.3.3, 7.3.4, 7.3.8, 7.3.9, 9.10.2, 10.3.2, 10.3.6, Execution and Progress of the Work 11.2, 12.1.2, 12.2.1, 12.2.4, 13.4, 14 1.1.3, 1.2.1, 1.2.2, 2.3.4, 2.3.6, 3.1, 3.3.1, 3.4.1, 3.7.1, Cutting and Patching 3.10.1, 3.12, 3.14, 4.2, 6.2.2, 7.1.3, 7.3.6, 8.2, 9.5.1, 3.14, 6.2.5 9.9.1, 10.2, 10.3, 12.1, 12.2, 14.2, 14.3.1, 15.1.4 Damage to Construction of Owner or Separate **Extensions of Time** Contractors 3.2.4, 3.7.4, 5.2.3, 7.2.1, 7.3, 7.4, 9.5.1, 9.7, 10.3.2, 3.14.2, 6.2.4, 10.2.1.2, 10.2.5, 10.4, 12.2.4 10.4, 14.3, 15.1.6, 15.2.5

Init.

Failure of Payment	INSURANCE AND BONDS
9.5.1.3, 9.7, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2	11
Faulty Work	Insurance Companies, Consent to Partial Occupancy
(See Defective or Nonconforming Work)	9.9.1
Final Completion and Final Payment	Insured loss, Adjustment and Settlement of
4.2.1, 4.2.9, 9.8.2, 9.10, 12.3, 14.2.4, 14.4.3	11.5
Financial Arrangements, Owner's	Intent of the Contract Documents
2.2.1, 13.2.2, 14.1.1.4	1.2.1, 4.2.7, 4.2.12, 4.2.13
GENERAL PROVISIONS	Interest
1	13.5
Governing Law	
13.1	Interpretation
	1.1.8, 1.2.3, 1.4, 4.1.1, 5.1, 6.1.2, 15.1.1
Guarantees (See Warranty)	Interpretations, Written
Hazardous Materials and Substances	4.2.11, 4.2.12
10.2.4, 10.3	Judgment on Final Award
Identification of Subcontractors and Suppliers	15.4.2
5.2.1	Labor and Materials, Equipment
Indemnification	1.1.3, 1.1.6, 3.4, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1,
3.17, 3.18, 9.6.8, 9.10.2, 10.3.3, 11.3	5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2, 10.2.1,
Information and Services Required of the Owner	10.2.4, 14.2.1.1, 14.2.1.2
2.1.2, 2.2, 2.3, 3.2.2, 3.12.10.1, 6.1.3, 6.1.4, 6.2.5,	Labor Disputes
9.6.1, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, 13.4.2,	8.3.1
14.1.1.4, 14.1.4, 15.1.4	Laws and Regulations
Initial Decision	1.5, 2.3.2, 3.2.3, 3.2.4, 3.6, 3.7, 3.12.10, 3.13, 9.6.4,
15.2	9.9.1, 10.2.2, 13.1, 13.3.1, 13.4.2, 13.5, 14, 15.2.8,
Initial Decision Maker, Definition of	15.4
1.1.8	Liens
Initial Decision Maker, Decisions	2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8
14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5	Limitations, Statutes of
Initial Decision Maker, Extent of Authority	12.2.5, 15.1.2, 15.4.1.1
14.2.4, 15.1.4.2, 15.2.1, 15.2.2, 15.2.3, 15.2.4, 15.2.5	Limitations of Liability
Injury or Damage to Person or Property	3.2.2, 3.5, 3.12.10, 3.12.10.1, 3.17, 3.18.1, 4.2.6,
10.2.8, 10.4	4.2.7, 6.2.2, 9.4.2, 9.6.4, 9.6.7, 9.6.8, 10.2.5, 10.3.3,
Inspections	11.3, 12.2.5, 13.3.1
3.1.3, 3.3.3, 3.7.1, 4.2.2, 4.2.6, 4.2.9, 9.4.2, 9.8.3,	Limitations of Time
9.9.2, 9.10.1, 12.2.1, 13.4	2.1.2, 2.2, 2.5, 3.2.2, 3.10, 3.11, 3.12.5, 3.15.1, 4.2.7,
Instructions to Bidders	5.2, 5.3, 5.4.1, 6.2.4, 7.3, 7.4, 8.2, 9.2, 9.3.1, 9.3.3,
1.1.1	9.4.1, 9.5, 9.6, 9.7, 9.8, 9.9, 9.10, 12.2, 13.4, 14, 15,
Instructions to the Contractor	15.1.2, 15.1.3, 15.1.5
3.2.4, 3.3.1, 3.8.1, 5.2.1, 7, 8.2.2, 12, 13.4.2	Materials, Hazardous
Instruments of Service, Definition of	10.2.4, 10.3
1.1.7	Materials, Labor, Equipment and
Insurance	1.1.3, 1.1.6, 3.4.1, 3.5, 3.8.2, 3.8.3, 3.12, 3.13, 3.15.1,
6.1.1, 7.3.4, 8.2.2, 9.3.2, 9.8.4, 9.9.1, 9.10.2, 10.2.5,	5.2.1, 6.2.1, 7.3.4, 9.3.2, 9.3.3, 9.5.1.3, 9.10.2,
11	10.2.1.2, 10.2.4, 14.2.1.1, 14.2.1.2
Insurance, Notice of Cancellation or Expiration	
11.1.4, 11.2.3	Means, Methods, Techniques, Sequences and Procedures of Construction
Insurance, Contractor's Liability	
11.1 and preserves	3.3.1, 3.12.10, 4.2.2, 4.2.7, 9.4.2
	Mechanic's Lien
Insurance, Effective Date of	2.1.2, 9.3.1, 9.3.3, 9.6.8, 9.10.2, 9.10.4, 15.2.8
8.2.2, 14.4.2	Mediation
Insurance, Owner's Liability	8.3.1, 15.1.3.2, 15.2.1, 15.2.5, 15.2.6, 15.3, 15.4.1,
11.2	15.4.1.1
Insurance, Property	Minor Changes in the Work
10.2.5, 11.2, 11.4, 11.5	1.1.1, 3.4.2, 3.12.8, 4.2.8, 7.1, 7.4
Insurance, Stored Materials	MISCELLANEOUS PROVISIONS
9.3.2	13

Init.

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User Notes:

Modifications, Definition of Separate Contracts 1.1.1 6.1 Modifications to the Contract Owner's Right to Stop the Work 1.1.1, 1.1.2, 2.5, 3.11, 4.1.2, 4.2.1, 5.2.3, 7, 8.3.1, 9.7, Owner's Right to Suspend the Work Mutual Responsibility 6.2 Owner's Right to Terminate the Contract Nonconforming Work, Acceptance of 14.2, 14.4 9.6.6, 9.9.3, 12.3 Ownership and Use of Drawings, Specifications and Nonconforming Work, Rejection and Correction of Other Instruments of Service 2.4, 2.5, 3.5, 4.2.6, 6.2.4, 9.5.1, 9.8.2, 9.9.3, 9.10.4, 1.1.1, 1.1.6, 1.1.7, 1.5, 2.3.6, 3.2.2, 3.11, 3.17, 4.2.12, 12.2 Notice Partial Occupancy or Use 1.6, 1.6.1, 1.6.2, 2.1.2, 2.2.2., 2.2.3, 2.2.4, 2.5, 3.2.4, 9.6.6, 9.9 3.3.1, 3.7.4, 3.7.5, 3.9.2, 3.12.9, 3.12.10, 5.2.1, 7.4, Patching, Cutting and 8.2.2 9.6.8, 9.7, 9.10.1, 10.2.8, 10.3.2, 11.5, 12.2.2.1, 3.14, 6.2.5 13.4.1, 13.4.2, 14.1, 14.2.2, 14.4.2, 15.1.3, 15.1.5, **Patents** 15.1.6, 15.4.1 3.17 Notice of Cancellation or Expiration of Insurance Payment, Applications for 11.1.4, 11.2.3 4.2.5, 7.3.9, 9.2, 9.3, 9.4, 9.5, 9.6.3, 9.7, 9.8.5, 9.10.1, Notice of Claims 14.2.3, 14.2.4, 14.4.3 1.6.2, 2.1.2, 3.7.4, 9.6.8, 10.2.8, 15.1.3, 15.1.5, Payment, Certificates for 15.1.6, 15.2.8, 15.3.2, 15.4.1 4.2.5, 4.2.9, 9.3.3, 9.4, 9.5, 9.6.1, 9.6.6, 9.7, 9.10.1, Notice of Testing and Inspections 9.10.3, 14.1.1.3, 14.2.4 13.4.1, 13.4.2 Payment, Failure of Observations, Contractor's 9.5.1.3, 9.7, 9.10.2, 13.5, 14.1.1.3, 14.2.1.2 3.2, 3.7.4 Payment, Final Occupancy 4.2.1, 4.2.9, 9.10, 12.3, 14.2.4, 14.4.3 2.3.1, 9.6.6, 9.8 Payment Bond, Performance Bond and 7.3.4.4, 9.6.7, 9.10.3, 11.1.2 Orders, Written 1.1.1, 2.4, 3.9.2, 7, 8.2.2, 11.5, 12.1, 12.2.2.1, 13.4.2, Payments, Progress 14.3.1 9.3, 9.6, 9.8.5, 9.10.3, 14.2.3, 15.1.4 OWNER PAYMENTS AND COMPLETION Owner, Definition of Payments to Subcontractors 2.1.1 5.4.2, 9.5.1.3, 9.6.2, 9.6.3, 9.6.4, 9.6.7, 14.2.1.2 Owner, Evidence of Financial Arrangements PCB 2.2, 13.2.2, 14.1.1.4 10.3.1 Owner, Information and Services Required of the Performance Bond and Payment Bond 2.1.2, 2.2, 2.3, 3.2.2, 3.12.10, 6.1.3, 6.1.4, 6.2.5, 7.3.4.4, 9.6.7, 9.10.3, 11.1.2 9.3.2, 9.6.1, 9.6.4, 9.9.2, 9.10.3, 10.3.3, 11.2, 13.4.1, Permits, Fees, Notices and Compliance with Laws 13.4.2, 14.1.1.4, 14.1.4, 15.1.4 2.3.1, 3.7, 3.13, 7.3.4.4, 10.2.2 Owner's Authority PERSONS AND PROPERTY, PROTECTION OF 1.5, 2.1.1, 2.3.32.4, 2.5, 3.4.2, 3.8.1, 3.12.10, 3.14.2, 4.1.2, 4.2.4, 4.2.9, 5.2.1, 5.2.4, 5.4.1, 6.1, 6.3, 7.2.1, Polychlorinated Biphenyl 7.3.1, 8.2.2, 8.3.1, 9.3.2, 9.5.1, 9.6.4, 9.9.1, 9.10.2, 10.3.1 10.3.2, 11.4, 11.5, 12.2.2, 12.3, 13.2.2, 14.3, 14.4, Product Data, Definition of 15.2.7 3.12.2 Owner's Insurance Product Data and Samples, Shop Drawings 11.2 3.11, 3.12, 4.2.7 Owner's Relationship with Subcontractors **Progress and Completion** 1.1.2, 5.2, 5.3, 5.4, 9.6.4, 9.10.2, 14.2.2 4.2.2, 8.2, 9.8, 9.9.1, 14.1.4, 15.1.4 Owner's Right to Carry Out the Work Progress Payments 2.5, 14,2,2 9.3, 9.6, 9.8.5, 9.10.3, 14.2.3, 15.1.4 Owner's Right to Clean Up Project, Definition of 1.1.4 Owner's Right to Perform Construction and to Award **Project Representatives** 

Init.

Property Insurance   1.1.1   Proposal Requirements   1.1.1   Shop Drawings, Product Data and Samples   3.11, 3.12, 4.2.3   3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4   Rejection of Work   4.2.6, 12.2.1   Releases and Waivers of Liens   9.3.1, 9.10.2   Representations   3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1   Representatives   8.3.2, 3.18.4, 2.2.4, 2.4.2, 3.5, 3.6, 6.3, 6.3, 6.5, 6.3, 9.5.1, 10   Representatives   6.3.2, 3.1.2, 3.3.2, 3.18.4, 2.2.4, 2.4.2, 9.5, 3.4, 2.4.1   Stored Materials   8.2.2, 2.4.9, 9.2, 9.10.1, 13.4   Stored Materials   8.2.2, 1.2.4, 9.9.2, 9.10.1, 13.4   Stored Materials   8.2.2, 1.2.4, 9.9.2, 9.10.1, 13.4   Stored Materials   8.2.2, 1.2.2, 1.2.2, 1.3.3, 14.1   Stored Materials   8.2.2, 2.2.4, 9.9.2, 9.10.1, 13.4   Stored Materials   8.2.2, 1.2.2,	4.2.10	6.1.1
10.2.5, 11.2 Proposal Requirements 1.1.1 PROTECTION OF PERSONS AND PROPERTY 10 Regulations and Laws 1.5, 2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.41, 13.42, 13.5, 14, 15.2.8, 15.4 Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representative for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.13, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Contract Documents and Field Conditions by Contractor 3.12 Release Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 2.4, 13.4, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2, 4.2.4, 2.7, 5.3, 10.1, 10.2, 10.4 Sanples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7, 5.3, 10.1, 10.2, 10.4 Sanples, Definition of 3.12, 3.12, 4.2.7 Sulte, Use of 3.13, 6.1.1, 6.2.1 Site Inspections 3.11, 3.12, 4.2.9, 9.2, 9.4.2, 9.10.1, 13.4 Specifications, Definition of 11.6 Specifications, Definition of 11.6 Specifications, Definition of 11.6 Subcontractors, Work by 2.2, 2.4, 9.7, 10.3, 14.1 SUBCONTRACTORS Subcontractors, Work by 3.12, 9.6.7 Subcontractors, Work by 3.12, 9.6.7 Submittal Schedule 3.10, 3.11.1, 3.12, 4.2.7, 5.2.1, 5.2.3, 5.3, 5.4, 6.1, 6.3, 7.3.1, 13.7, 4.2.2, 6.1.3, 9.2, 9.3.1 Submittal Schedule 3.10, 3.11.1, 3.12, 4.2.3, 5.2.3, 5.3, 5.4, 6.1, 6.1, 6.2, 6.3, 7.3.1, 8.3, 9.3.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2, 9.2.3, 9.3, 9.3, 9.1, 9.10.2, 9.10.3 Submittal Schedule 3.10, 3.11.3, 12, 4.2.7, 5.3, 10.1, 10.2, 10.4 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Subcontracto	Property Insurance	
1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.1 1.1.2 1.1.2 1.1.3 1.1.3 1.2, 4.2.7 1.1 1.1.3 1.2, 4.2.7 1.3 1.1.3 1.3 1.3 1.3 1.3 1.3 1.3 1.3 1	10.2.5, 11.2	
1.1.1	Proposal Requirements	Shop Drawings, Product Data and Samples
Regulations and Laws  1.5, 2.32, 3.2, 3.3, 3.7, 3.12.10, 3.13, 9.64, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4  Rejection of Work  4.2.6, 12.2.1  Releases and Waivers of Liens  9.3.1, 9.10.2  Representations  3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1  Representatives  2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1  Responsibility for Those Performing the Work  3.2.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10  Retainage  9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3  Review of Contractor Documents and Field Conditions by Contractor  3.2, 3.12.7, 6.1.3  Review of Contractor's Submittals by Owner and Architect  3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2  Review of Shop Drawings, Product Data and Samples by Contractor  3.12  Rights and Remedies  1.12, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2, 4.13.3, 14, 15.4  Rules and Notices for Arbitration 15.4, 1  Safety of Persons and Property  10.2, 10.4  Sarelyes, Definition of  3.11, 3.12, 4.2.7  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7, 8.3.10, 110.2, 10.4  Samples at the Site, Documents and 3.11  Substantial Completion, Definition of 9.8.1  Substantial Completion, Definition of 9.8.1  Substantial Completion, Definition of 5.1.2  Substitution of Subcontractors 5.2.3, 5.2.3, 5.3, 5.3, 5.3, 5.3, 5.3, 5.3, 5.3, 5	1.1.1	
10. Regulations and Laws 1.5, 2.3.2, 3.2, 3.2, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4 Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.12, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Rules and Notices for Arbitration 15.4.1 Sarples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Sarples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7, 5.2, 10.1, 10.2, 10.4 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contractor Spearate Contractors and Contractors 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contractor Spearate Contractors and Contractors 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contractor Spearate Contractors and Contractors 3.2, 3.2, 3.3, 3.7.1, 3.7.4, 4.2, 9.9.2, 9.10.1, 13.4 Special Inspections and Testing 4.2.6, 12.2, 13.4 Special Inspections and Testing 1.2.6 Spearate of Contractor 3.2, 3.12, 3.12, 3.12, 3.	PROTECTION OF PERSONS AND PROPERTY	
Regulations and Laws 1.5, 2.32, 2.32, 3.3, 6.37, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4 Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 2.1.1, 3.1.1, 3.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 9.3.10, 13.10, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Contractor's Submittals by Owner and Architect 3.101, 3.102, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.74, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 2.4, 9.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Review of Persons and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Substances, Hazardous 1.2, 3.12, 3.2, 3.2, 3.2, 3.2, 9.4, 9.9, 9.9, 9.1, 9.10.3, 12.2, 15.1.2 Substantial Completion, Definition of 9.8.1 Substitution of Architect 2.3.3 Substitution of Archit	10	
1.5, 2.3.2, 3.2.3, 3.6, 3.7, 3.12.10, 3.13, 9.6.4, 9.9.1, 10.2.2, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4 Rejection of Work Representations Representations Representatives Rejectifications, Definition of Rejection of Work Responsibility for Those Performing the Work Responsibility for Those Responsibility for Those Responsibility for Those Responsibility for Those Responsibili	Regulations and Laws	
10.22, 13.1, 13.3, 13.4.1, 13.4.2, 13.5, 14, 15.2.8, 15.4 Rejection of Work 4.2.6, 12.2.1 Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 8.2.1, 9.2.2, 9.12, 9.10.1 Representatives 8.2.1, 9.1.1, 1.1.6, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14 Statute of Limitations 1.1.1, 1.1.6, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14 Statute of Limitations 1.5.1.2, 1.3.1.1 Stored Materials 6.2.1, 9.3.2, 10.2.1, 10.2, 1.2.4 Subcontractor, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3.5, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3.5, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3.5, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3.5, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 1.1.1, 1.1.6, 1.2.2, 1.5, 1.2.2, 1.2.2, 1.2.2, 1.3.1, 1.3.1, 1.2.2, 4.2.7, 5.3.1, 1.3.1, 1.2.2, 4.2.7, 5.3.1, 9.7, 10.2.5, 10.3, 10.2.1, 10.2.1, 10.2.1 Submittals Subcadule of Values 9.2, 9.3.1 Substantial Completio		
15.4 Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.1.2, 1.3.10, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.11 Safety of Persons and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Submittal Schedule 3.0.2, 10.3, 13.1, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Substantial Completion Sactive Precautions of Materials 3.4.2, 3.5, 7.3.8 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Architect 2.3.3 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Subsubcontractor, Definition of 5.1.1 Submittal Schedule 3.10.2, 3.12, 3.12, 3.2, 9.8, 9.9.1, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.9.1, 9.10.2, 9.10.3, 9.8, 9.10.3, 9.8, 9		
Rejection of Work 4.2.6, 12.2.1 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 3.2.1, 3.11, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.2.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Definition of 3.12.3 Schedule of Values 9.2, 9.3.1 Substitution of Architect 2.3.3 Substitution of Architect 2.3.3 Substitution of Architect 2.3.3 Substitution of Materials 3.4.2, 3.5.2, 3.5, 3.8 Subsubcontractor, Definition of 5.1.2 Subsurface Conditions 3.7.4		
4.2.6, 1.2.1, 1.3.4 Releases and Waivers of Liens 9.3.1, 9.10.2 Representations 9.3.1, 9.10.2 Representatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10, 1.3.10, 2.3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Shop Drawings	Rejection of Work	
Releases and Waivers of Liens  9.3.1, 9.10.2  Representations  3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives  2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work  3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage  9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor  3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect  3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor  3.12 Rights and Remedies  1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights  3.17 Safety of Persons and Property  10.2, 10.4  Safety Precautions and Programs  3.3.1, 4.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of  3.12.3  Samples, Shop Drawings, Product Data and  3.11, 3.12, 4.2.7  Samples at the Site, Documents and  3.11, 3.12, 4.2.7  Samples at the Site, Documents and  3.11, 3.12, 4.2.7  Samples, Construction  3.10, 3.12, 1, 3.12, 2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2, 4.2.7, 6, 8.3.1, 12.1.2  Subsurface Conditions  1.1.1, 1.1.6, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14  Statute of Limitations  15.1.2, 15.4.1.1  Stopping the Work  3.2.2, 24, 9.7, 10.3, 14.1  Stopping the Work  3.1.3, 11, 3.12, 4, 2.5, 2, 6.1.3, 9.2, 9.5.1, 10  Subcontractors, Work by  1.2.2, 3.3.2, 3.12, 1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4,  9.3.12, 9.6, 9.10, 10.2.1, 14.1, 14.2.1  Submittals  3.10, 3.11, 3.12, 4.2, 7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3,  9.8, 9.9.1, 9.10.2, 9.10.3  Submittals  3.10, 3.11, 3.12, 4.2.7	4.2.6, 12.2.1	
9.3.1, 9.102 Representations 3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Representatives 2.1.1, 3.11, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect Architect 3.10, 3.10, 3.10, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Review of Shop Drawings, Product Data and Safety of Persons and Property 10.2, 10.4 Royalties, Patents and Copyrights 3.17 Samples, Definition of 3.12, 3.3, 4, 2.4, 4.2.7, 5.3, 10.1, 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Safety Precautions and Programs 3.13, 1.2, 2.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples, Definition of 3.12, 3.3, 1.3, 1.3, 1.3, 1.3, 1.3, 1.3, 1.3	Releases and Waivers of Liens	
3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Reprosentatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 1.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Property 10.2, 10.4 Samples, Definition of 3.12, 3.3, 1.4, 2.4, 2.7, 5.3, 10.1, 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7, 5.2, 1.5.2, 6.1.3 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Architect 2.22, 2.4, 9.7, 10.3, 14.1 Statute of Limitations 15.1.1, 11.16, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14 Statute of Limitations 15.1.2, 15.1.1 Stopping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.21, 9.7, 10.3, 14.1 Storping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Stopping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Stopping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Subcontractors, Work by 1.2.2, 3.1.2, 10.1.2, 10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.1, 9.10.2, 9.10.3 Sub	9.3.1, 9.10.2	- · · · · · · · · · · · · · · · · · · ·
3.2.1, 3.5, 3.12.6, 8.2.1, 9.3.3, 9.4.2, 9.5.1, 9.10.1 Reprosentatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 1.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Property 10.2, 10.4 Samples, Definition of 3.12, 3.3, 1.4, 2.4, 2.7, 5.3, 10.1, 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7, 5.2, 1.5.2, 6.1.3 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Architect 2.22, 2.4, 9.7, 10.3, 14.1 Statute of Limitations 15.1.1, 11.16, 1.2.2, 1.5, 3.12.10, 3.17, 4.2.14 Statute of Limitations 15.1.2, 15.1.1 Stopping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.21, 9.7, 10.3, 14.1 Storping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Stopping the Work 2.22, 2.4, 9.7, 10.3, 14.1 Stopping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Storping the Work 2.2.2, 2.4, 9.7, 10.3, 14.1 Subcontractors, Work by 1.2.2, 3.1.2, 10.1.2, 10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.10.2.1, 12.1, 12.2, 9.8, 9.1, 9.10.2, 9.10.3 Sub	Representations	Specifications
Representatives 2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.3.1, 9.6.2, 9.8.1, 10.1, 10.2, 10.4 Samples at the Site, Documents and 3.10, 3.11, 3.12, 4.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Statute of Limitations 15.1.2, 15.4.1.1 Stored Materials 15.10, 2, 10.3, 14.1 Stored Materials 15.10, 3, 14.1 Stored Materials 15.10, 3, 14.1 Stored Materials 15.10, 3, 14.1 Subcontractor, Definition of 5.1.1 Subcontractor, Work by 1.2, 2, 3.3, 2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.12, 9.6.7 Subcontractual Relations 5.3, 5.4, 9.3.12, 3		<del>-</del>
2.1.1, 3.1.1, 3.9, 4.1.1, 4.2.10, 13.2.1 Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Property 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		
Responsibility for Those Performing the Work 3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Safety of Persons and Property 10.2, 10.4 Safety of Persons and Property 10.2, 10.4 Samples, Definition of 3.11, 3.12, 4.2.7 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Subsurface Conditions Stopping the Work 2.2.2, 4, 9.7, 10.3, 14.1 Subcontractor, Definition of Subcontractor, Definition of Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors Subcontractors Subcontractors 1.1.1, 1.1.3 Submittals 1.2, 2.4, 2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.2, 9.10.3 Substitution of Materials 3.4.2, 3.5.7, 3.8 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Subsubsurface Conditions 3.7, 4.2.4 Subsubsurface Conditions 3.7, 4.2.4 Subsurface Conditions 3.7, 4.2.4 Subsurface Conditions 3.7, 4.2.4	THE RESERVE AND ADDRESS OF THE PROPERTY OF THE	
3.3.2, 3.18, 4.2.2, 4.2.3, 5.3, 6.1.3, 6.2, 6.3, 9.5.1, 10 Retainage 3.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Sulcand Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12, 1, 3.12, 2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		•
Retainage  9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor  3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect  3.10, 3.10, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor  3.12  8.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4  Royalties, Patents and Copyrights  3.17  Rules and Notices for Arbitration  15.4.1  Safety of Persons and Property  10.2, 10.4  Safety Precautions and Programs  3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of  3.12.3  Samples, Shop Drawings, Product Data and  3.11, 3.12, 4.2.7  Samples at the Site, Documents and  3.11  Schedule of Values  2.2, 9.3.1  Schedules, Construction  3.10, 3.12, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  Stored Materials  62.1, 9.3.2, 10.2.1.2, 10.2.4  Subcontractor, Definition of  5.1.1  SUBCONTRACTORS  Subcontractors, Work by  1.2, 3.3, 2, 3.12, 1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4,  9.3.1.2, 9.6.7  Subcontractual Relations  5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1  Submittals  3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3,  9.8, 9.9.1, 9.10.2, 9.10.3  Substitual Schedule  3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3,  9.8, 9.9.1, 9.10.2, 9.10.3  Substitution Completion  4.2, 9.8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,  12.2, 15.1.2  Substitution of Subcontractors  5.1.2  Substitutions of Materials  3.42, 3.5, 7.3.8  Sub-subcontractor, Definition of  5.1.1  Substitutions of Materials  3.42, 3.5, 7.3.8  Sub-subcontractor, Definition of  5.1.1  Substitutions of Materials  3.42, 3.5, 7.3.8  Substitutions of Materials  3.42, 3.5, 7.3.8  Subsubsurface Conditions  3.74		
9.3.1, 9.6.2, 9.8.5, 9.9.1, 9.10.2, 9.10.3 Review of Contract Documents and Field Conditions by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Subsurface Conditions 5.1.1 SUBCONTRACTORS Subcontractors, Work by 1.2.2, 3.32, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 93.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.32, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 93.1.2, 9.6.7 Subcontractual Relations 5.3, 5.4, 93.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 93.12, 9.6.7 Subcontractual Relations 3.10, 3.11, 3.12, 4.2.7, 5.2, 1, 5.2, 1, 5.2.3, 7.3.4, 9.2, 9.3, 93.12, 9.6.7 Subcontractual Relations 5.3, 5.4, 93.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 93.12, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12, 9.6.9 10.2, 1.3, 1.2, 4.2.7, 5.2, 1.5, 2.3, 5.3, 5.4, 93.12, 9.6.7 Subcontractual Relations 5.3, 5.4, 93.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittal Schedule 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 93.12, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 9.6.9 10.2, 1.1, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 93.12, 9.6.7 Subcontractual Relations 5.3, 1.4, 9.3, 9.1, 9.10.	ANNUAL PRODUCT ALCOHOLOGY OF THE PRODUCT OF THE PRO	
Review of Contract Documents and Field Conditions by Contractor 5.1.1 S.1.2, 1.2, 7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Sights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Subrogation, Waivers of 6.1.1, 11.3 Safety of Persons and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2	Depart Aurilla - Control Aurilla - A	
by Contractor 3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 24, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12, 2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		
3.2, 3.12.7, 6.1.3 Review of Contractor's Submittals by Owner and Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Programs 3.3.1, 4.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Substantial Completion, Definition of 9.8.1 Substitution of Subcontractors 5 Subcontractors, Work by 1.2.2, 3.3, 2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Work by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.4, 9.3.1, 2.1.2 1.2.2, 13.1.2, 9.6.7 Subcontractors, Open by 1.2.2, 3.3.2, 3.12.1, 3.18, 4.2.3, 5.2.3, 5.3, 5.4, 9.3.1.2, 9.6.7 Subcontractors, Open by 1.2.2, 3.12, 9.6.7 Subcontractors, Open by 1.2.2, 9.3.1 Subcontractors, Open by 1.2.2, 9.3.1 Subcontractural Relations 5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Subcontractural Relations 1.1.2, 3.1.2, 4.2.7, 5.2.3, 7.3.4, 9.2, 9.3, 9.3.1 Submittals 1.10, 3.11, 3.12, 4.2.7, 5.2.3, 7.3.4	by Contractor	
Review of Contractor's Submittals by Owner and Architect Subcontractors, Work by 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Sights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Submittals Submittals Schedule Royalties, Patents and Copyrights 3.17 Subrogation, Waivers of 6.1.1, 11.3 Substances, Hazardous 10.2, 10.4 Safety Precautions and Property 10.2, 10.4 Safety Precautions and Programs 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.3, 12.2, 15.1.2 Substantial Completion 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12		
Architect 3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Subcontractual Relations 5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 9.8, 9.9.1, 9.10.2, 9.10.3 Submittals Submittals Submittals Submittals Submittals Submittals Submittals Submittal Schedule 3.10.2, 3.12.5, 4.2.7 Subrogation, Waivers of 6.1.1, 11.3 Substances, Hazardous 16.1, 1, 11.3 Substances, Hazardous 10.3 Substantial Completion 42.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2 Substantial Completion of 3.12.3 Submittal Schedule 3.11 Substantial Completion, Definition of 3.12.3 Substantial Completion, Definition of 3.11, 3.12, 4.2.7 Substantial Completion, Definition of 3.11, 3.12, 4.2.7 Substantial Completion, Definition of 3.11, 3.12, 4.2.7 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Materials 3.4.2, 3.5, 7.3.8 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		
3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2 Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Rules and Notices for Arbitration 15.4.1 Safety of Persons and Property 10.2, 10.4 Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Sand Samples, Solubruation Site of Shop Drawings, Product Data and 3.10, 3.11, 3.12, 4.2.7 Substantial Completion, Definition of 3.12.3 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Architect 2.3.3 Substitution of Architect 3.3.3 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Sub-subcontractor, Definition of 5.1.2 Separate Contracts and Contractors 5.1.2 Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2	COM DEPOSITION OF SECURIOR CONTRACTOR CONTRA	Subcontractors, Work by
Review of Shop Drawings, Product Data and Samples by Contractor 3.12 Subcontractual Relations 5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.17 Submittal Schedule 3.10.2, 3.12.5, 4.2.7 Subrogation, Waivers of 6.1.1, 11.3 Substances, Hazardous 10.2, 10.4 Safety of Persons and Property 10.3 Substances, Hazardous 10.3 Substantial Completion Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 3.7.4	3.10.1, 3.10.2, 3.11, 3.12, 4.2, 5.2, 6.1.3, 9.2, 9.8.2	
Samples by Contractor 3.12  Subcontractual Relations 3.12  Sights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 9.8, 9.9.1, 9.10.2, 9.10.3  12.2.4, 13.3, 14, 15.4  Royalties, Patents and Copyrights 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.2, 9.10.3  Submittal Schedule 3.10.2, 3.12.5, 4.2.7  Subrogation, Waivers of 6.1.1, 11.3  Substances, Hazardous 10.3  Substances, Hazardous 10.3  Substantial Completion  Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of 3.12.3  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and 3.11  Schedule of Values 9.2, 9.3.1  Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  3.7.4		
3.12 Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4 Royalties, Patents and Copyrights 3.10, 3.12, 3.12, 9.8, 9.9.1, 9.10.2, 9.10.3 Submittal Sabedule Royalties, Patents and Copyrights 3.10, 3.12, 3.12.5, 4.2.7 Subrogation, Waivers of 6.1.1, 11.3 Substances, Hazardous 10.3 10.2, 10.4 Safety of Persons and Property 10.3 10.2, 10.4 Safety Precautions and Programs 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4 Samples, Definition of 3.12.3 Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7 Samples at the Site, Documents and Substitution of Subcontractors 3.11 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  5.3, 5.4, 9.3.1.2, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittal S 3.10, 3.12, 9.6, 9.10, 10.2.1, 14.1, 14.2.1 Submittals 3.10, 3.12, 9.6, 9.10, 10.2.1, 10.2, 10.3 Submittals 3.10, 3.12, 9.6, 9.10, 10.2, 10.1 Submittal Sample, 9.10, 2.9, 10.2, 9.10.3 Substances, Hazardous 4.2.1, 11.3 Substances, Hazardous 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3 12.2, 15.1.2 Substantial Completion 9.8.1 Substitution of Subcontractors 5.2.3, 5.2.4 Substitution of Architect 2.3.3 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Substitution of Subcontractor, Definition of 5.1.2 Separate Contracts and Contractors Subsurface Conditions 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		
Rights and Remedies 1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4  Royalties, Patents and Copyrights 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.2, 9.10.3  Submittal Schedule 3.10.2, 3.12.5, 4.2.7  Subrogation, Waivers of 6.1.1, 11.3  Substances, Hazardous 10.3  10.4  Safety of Persons and Property 10.2, 10.4  Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of 3.12.3  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and 3.11  Substitution of Subcontractors 3.11  Substitution of Architect 2.3.3  Schedule of Values 9.2, 9.3.1  Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors 1.14, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  Submittals 3.10, 3.11, 3.12, 4.2.7, 5.2.1, 5.2.3, 7.3.4, 9.2, 9.3, 9.8, 9.9.1, 9.10.3  Substantial Completion 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2  Substitution of Subcontractors 5.2.3, 5.2.4  Substitution of Architect 2.3.3  Substitutions of Materials 3.4.2, 3.5, 7.3.8  Sub-subcontractor, Definition of 5.1.2  Separate Contracts and Contractors 1.14, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2	THE STATE OF THE S	
1.1.2, 2.4, 2.5, 3.5, 3.7.4, 3.15.2, 4.2.6, 5.3, 5.4, 6.1, 6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4  Royalties, Patents and Copyrights 3.10.2, 3.12.5, 4.2.7 3.17 Subrogation, Waivers of Rules and Notices for Arbitration 6.1.1, 11.3  15.4.1 Substances, Hazardous 10.3  10.2, 10.4 Substances, Hazardous 10.3  10.2, 10.4 Substantial Completion 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2  Samples, Definition of Substantial Completion, Definition of 9.8.1  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and Substitution of Substitution of Substitution of Architect 2.3.3  Schedule of Values Substitutions of Materials 3.4.2, 3.5, 7.3.8  Schedules, Construction 5.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  3.7.4	Rights and Remedies	
6.3, 7.3.1, 8.3, 9.5.1, 9.7, 10.2.5, 10.3, 12.2.1, 12.2.2, 12.2.4, 13.3, 14, 15.4  Royalties, Patents and Copyrights 3.10.2, 3.12.5, 4.2.7 3.17  Subrogation, Waivers of Rules and Notices for Arbitration 15.4.1  Safety of Persons and Property 10.2, 10.4  Safety Precautions and Programs 3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of 3.12.3  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and 3.11  Schedule of Values 9.8, 9.9.1, 9.10.2, 9.10.3  Substantial Schedule 3.10.2, 3.12.5, 4.2.7  Substantial Completion 4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3, 12.2, 15.1.2  Substantial Completion, Definition of 3.12.3, 3.2.4, 4.2.7  Substitution of Subcontractors 5.2.3, 5.2.4  Substitution of Architect 2.3.3  Substitutions of Materials 3.4.2, 3.5, 7.3.8  Sub-subcontractor, Definition of 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  3.7.4		
12.2.4, 13.3, 14, 15.4       Submittal Schedule         Royalties, Patents and Copyrights       3.10.2, 3.12.5, 4.2.7         3.17       Subrogation, Waivers of         Rules and Notices for Arbitration       6.1.1, 11.3         15.4.1       Substances, Hazardous         Safety of Persons and Property       10.3         10.2, 10.4       Substantial Completion         Safety Precautions and Programs       4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,         3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4		
Royalties, Patents and Copyrights       3.10.2, 3.12.5, 4.2.7         3.17       Subrogation, Waivers of         Rules and Notices for Arbitration       6.1.1, 11.3         15.4.1       Substances, Hazardous         Safety of Persons and Property       10.3         10.2, 10.4       Substantial Completion         Safety Precautions and Programs       4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,         3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4		
3.17  Rules and Notices for Arbitration  15.4.1  Substances, Hazardous  10.2, 10.4  Substantial Completion  Safety Precautions and Programs  3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of  3.12.3  Samples, Shop Drawings, Product Data and  3.11, 3.12, 4.2.7  Samples at the Site, Documents and  Substitution of Architect  3.11  Schedule of Values  9.2, 9.3.1  Schedules, Construction  3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.7, 6, 8.3.1, 12.1.2  Subsurface Conditions  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2		
Rules and Notices for Arbitration       6.1.1, 11.3         15.4.1       Substances, Hazardous         Safety of Persons and Property       10.3         10.2, 10.4       Substantial Completion         Safety Precautions and Programs       4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,         3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4		
15.4.1       Substances, Hazardous         Safety of Persons and Property       10.3         10.2, 10.4       Substantial Completion         Safety Precautions and Programs       4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,         3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	Rules and Notices for Arbitration	
Safety of Persons and Property       10.3         10.2, 10.4       Substantial Completion         Safety Precautions and Programs       4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,         3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4		•
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3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4  Samples, Definition of  3.12.3  Samples, Shop Drawings, Product Data and 3.11, 3.12, 4.2.7  Samples at the Site, Documents and 3.11  Schedule of Values  9.2, 9.3.1  Schedules, Construction  3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  12.2, 15.1.2  Substantial Completion, Definition of  9.8.1  Substitution of Subcontractors  5.2.3, 5.2.4  Substitution of Architect 2.3.3  Substitutions of Materials 3.4.2, 3.5, 7.3.8  Subsubcontractor, Definition of  5.1.2  Subsurface Conditions  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  3.7.4	10.2, 10.4	Substantial Completion
3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4       12.2, 15.1.2         Samples, Definition of       Substantial Completion, Definition of         3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	Safety Precautions and Programs	4.2.9, 8.1.1, 8.1.3, 8.2.3, 9.4.2, 9.8, 9.9.1, 9.10.3,
3.12.3 Samples, Shop Drawings, Product Data and Substitution of Subcontractors 3.11, 3.12, 4.2.7 Samples at the Site, Documents and 3.11 Schedule of Values Substitutions of Materials 9.2, 9.3.1 Schedules, Construction Sub-subcontractor, Definition of 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  9.8.1 Substitution of Subcontractors Substitutions of Materials 3.4.2, 3.5, 7.3.8 Subsubcontractor, Definition of 5.1.2 Subsurface Conditions 3.7.4	3.3.1, 4.2.2, 4.2.7, 5.3, 10.1, 10.2, 10.4	
3.12.3       9.8.1         Samples, Shop Drawings, Product Data and       Substitution of Subcontractors         3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	Samples, Definition of	Substantial Completion, Definition of
3.11, 3.12, 4.2.7       5.2.3, 5.2.4         Samples at the Site, Documents and       Substitution of Architect         3.11       2.3.3         Schedule of Values       Substitutions of Materials         9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	3.12.3	
Samples at the Site, Documents and  3.11  2.3.3  Schedule of Values  9.2, 9.3.1  Schedules, Construction  3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  Substitution of Architect  2.3.3  Substitutions of Materials  3.4.2, 3.5, 7.3.8  Sub-subcontractor, Definition of  5.1.2  Subsurface Conditions  3.7.4	Samples, Shop Drawings, Product Data and	Substitution of Subcontractors
Samples at the Site, Documents and 3.11 2.3.3 Schedule of Values 9.2, 9.3.1 Schedules, Construction 3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2 Separate Contracts and Contractors 1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2 Separate Contracts and Contractors 3.7.4 Substitution of Architect 2.3.3 Substitutions of Materials 3.4.2, 3.5, 7.3.8 Sub-subcontractor, Definition of 5.1.2 Subsurface Conditions 3.7.4	3.11, 3.12, 4.2.7	5.2.3, 5.2.4
Schedule of Values         Substitutions of Materials           9.2, 9.3.1         3.4.2, 3.5, 7.3.8           Schedules, Construction         Sub-subcontractor, Definition of           3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2         5.1.2           Separate Contracts and Contractors         Subsurface Conditions           1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2         3.7.4	Samples at the Site, Documents and	Substitution of Architect
9.2, 9.3.1       3.4.2, 3.5, 7.3.8         Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	3.11	2.3.3
Schedules, Construction       Sub-subcontractor, Definition of         3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2       5.1.2         Separate Contracts and Contractors       Subsurface Conditions         1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2       3.7.4	Schedule of Values	Substitutions of Materials
3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  5.1.2  Subsurface Conditions  3.7.4	9.2, 9.3.1	3.4.2, 3.5, 7.3.8
3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2  Separate Contracts and Contractors  1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2  5.1.2  Subsurface Conditions  3.7.4	Schedules, Construction	
1.1.4, 3.12.5, 3.14.2, 4.2.4, 4.2.7, 6, 8.3.1, 12.1.2	3.10, 3.12.1, 3.12.2, 6.1.3, 15.1.6.2	
	Separate Contracts and Contractors	Subsurface Conditions
Separate Contractors, Definition of Successors and Assigns		3.7.4
	Separate Contractors, Definition of	Successors and Assigns

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Written Orders

1.1.1, 2.4, 3.9, 7, 8.2.2, 12.1, 12.2, 13.4.2, 14.3.1

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Time Limits

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#### ARTICLE 1 GENERAL PROVISIONS

#### § 1.1 Basic Definitions

#### § 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

#### § 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### § 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### § 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### § 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### § 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

The Specifications may describe (or the Drawings may show) the general placement required of materials or equipment, but the actual required placement may vary depending on the specific material or equipment used by the Contractor or the existing field conditions. The Contractor shall bear all direct and indirect costs associated with such variances.

Some Specifications may be written in a condensed outline form and omitted words shall be included by interference. If the Specifications identify a task, it shall mean the "Contractor shall furnish, install and complete" the identified task unless otherwise stated.

Reference to standard specifications, manuals or codes shall mean reference to the latest standard specification, manual or code in effect at the time of the execution of the Owner-Contractor Agreement, unless otherwise stated. When reference is made to a manufacturer, trade association, reference standard or similar source (such as ASTM, ASA, AISC, ACI, etc.) the standards or requirements of such entity shall be incorporated into the Specifications and have the force and effect as though they were set forth expressly. Upon entering into the Owner-Contractor Agreement, the Contractor acknowledges its familiarity with those references, codes, etc. The date of the referenced

standard shall be the latest edition in effect at the time of the execution of the Owner-Contractor Agreement unless otherwise stated.

#### § 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### § 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

#### § 1.2 Correlation and Intent of the Contract Documents

- § 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results. In the event of inconsistencies within or between parts of the Contract Documents, the Contractor shall (1) provide the better quality of Work or (2) comply with the more stringent requirement; either or both in accordance with the Architect's interpretation. The terms and conditions of the Subparagraph 1.2.1, however shall not relieve the Contractor of any of the obligations set forth elsewhere in this Agreement. All work shall conform to the Contract Documents. No significant change there from shall be made without prior written authorization by the Owner. Where only part of the Work is indicated, similar parts shall be considered repetition. When any detail is shown and the components therefore are fully described, similar details shall be construed to require the same materials and construction. Items required by either the Drawings or the Specifications and not mentioned in the other shall be of like effect as if shown or mentioned in both. Should the Specifications and Drawings fail to particularly describe a product or material shown to be used in any place, the Contractor shall furnish the product that would normally be used in that place.
- § 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.
- § 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed nor to limit the scope of work performed by any trade or by any Subcontractor or supplier. Such separations shall not operate to make the Architect an arbiter to establish limits of work between Subcontractors or between Contractor and Subcontractor.
- § 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.
- § 1.2.4 Reference to "match existing" in Contract Documents refer to existing finishes, materials, details, and qualities which have been used in adjacent portions of existing facilities. Material designations or details not specifically shown shall either match existing or be similar in finish, material or quality to similar adjacent conditions.

#### § 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

#### § 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

#### § 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Owner, Contractor, Subcontractors, Subsubcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Owner, Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

#### § 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

#### § 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203TM\_2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

#### § 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202TM\_2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

#### **ARTICLE 2 OWNER**

#### § 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

#### § 2.2 Evidence of the Owner's Financial Arrangements

§ 2.2.1 Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

§ 2.2.2 Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

#### § 2.2.3

Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

#### § 2.3 Information and Services Required of the Owner

- § 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements. assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities as necessary to complete the Project.
- § 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.
- § 2.3.3 If the employment of the Architect terminates, the Owner shall employ a successor whose status under the Contract Documents shall be that of the Architect.
- § 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.
- § 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.
- § 2.3.6 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

#### § 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3. Such order or stoppage by the Owner shall not constitute grounds for contract termination by the Contractor under Article 14 and shall not be the basis of Time Extensions by the Contractor under Article 8.3.

#### § 2.5 Owner's Right to Carry Out the Work

§ 2.5.1 If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

§ 2.5.2 The rights stated in this Article 2 and elsewhere in the Contract Documents are cumulative and not in limitation of any rights of the Owner or Contractor (1) granted in the Contract Documents; (2) law; or (3) in equity.

§ 2.5.3 In no event shall the Owner have control over, charge of, or any responsibility for construction means, methods, techniques, sequences, or procedures or for safety precautions and programs in connection with the Work. The owner assumes no responsibility for liability for the safety of the Project site. The Contractor shall be solely responsible for providing a safe place for the performance of the Work; provided that the Owner shall be responsible for, and the Contractor shall upon discovery notify the Owner of, any unsafe condition created by the Owner.

#### **ARTICLE 3 CONTRACTOR**

#### § 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

#### § 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

The Contractor shall rely on its own knowledge and its review and interpretation of the Contract Documents and data provided in entering into the Contract and not the representations of the Owner or other persons. The Contractor acknowledges that quantities provided in the Contract Documents are estimates only and Contractor shall not seek additional compensation or adjustment in price based on a variation in actual quantities.

Prior to execution of the Contract, the Contractor and each Subcontractor shall evaluate and satisfy themselves as to the conditions and limitations under which the Work is to be performed, including, without limitation, (i) the

location, condition, layout, and nature of the Project site and surrounding areas, (ii) generally prevailing climatic conditions, (iii) anticipated labor supply and costs, and (iv) availability and cost of materials, tools, and equipment.

The location of existing features shown on plans is intended for general information only. The Contractor, alone, is responsible for accurate determination of the location of all structures, and shall not be entitled to any extra payment for discrepancies between the Work as shown in the Contract Documents and existing conditions.

The locations, depths and data as to underground conditions have been obtained from records, surface indications and data furnished by others. Information furnished is solely for the convenience of the Contractor without any warranty, expressed or implied as to its accuracy or completeness. The Contractor shall verify all existing conditions prior to commencing the Work. The Contractor shall make no claim against the Owner or Architect with respect to the accuracy or completeness of such information if the conditions found after commencement of the Work are different from those as indicated.

The Contractor shall be solely responsible for the conditions which develop during construction and in the event any structure is dislocated, or over strained, or damaged so as to affect its usefulness, the Contractor shall correct or repair any dislocations, over strains or damages caused.

The Contractor is responsible for restoration and/or repair of utilities, private property, buildings, pavement, walkways, roads, etc. damaged by its activities during the performance of its Work.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional. unless otherwise specifically provided in the Contract Documents.

The Contractor shall assume full responsibility for accuracy of measurements obtained at the site. No extra compensation will be allowed because of differences between actual measurements and dimensions indicated on the Drawings, nor for Contractor's failure to coordinate work with actual field measurements.

- § 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.
- § 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.
- § 3.2.5 The Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and shall make no changes or relocations without the prior written approval of the Owner. The Contractor shall report to the Architect whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points by professionally qualified personnel.

## § 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

- § 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.
- § 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.
- § 3.3.4 The Contractor shall employ a licensed surveyor to locate and stake out the Work and establish necessary reference and bench marks. The contractor shall work from established bench marks and reference points, layout and correctly establish all lines, levels, grades and locations of all parts of their own Work and be responsible for their accuracy and proper correlation with Work and established data.
- § 3.3.5 Prohibitions: There shall be no use of tobacco products, alcohol or illegal drugs at the construction site. No weapons are permitted at the construction site. Contractor and its agents shall refrain from the use of profanity or dressing in any way that is disrespectful or harassing to legally protected groups, including but not limited to race, color, sex, age, disability, religion, national orientation or sexual orientation.

## § 3.4 Labor and Materials

- § 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.
- .1 All materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned in accordance with the instructions of the applicable manufacturer, fabricator, supplier or distributor, except as otherwise provided in the Contract Documents.
- 2 Contractor shall confine construction equipment, the storage of materials and equipment and the operations of all workers to areas permitted by law, ordinances, permits or the Contract Documents, and shall not disturb the premises more than required for the proper performance of the Work and/or permitted by the Owner.
- .3 Contractors and Subcontractors warrant that they have good title to all materials used in performing Work on this Contract.
- § 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

After the Contract has been executed, the Owner and Architect will consider requests for the substitution of products in place of those specified only if the Contractor satisfies the procedural requirements set forth in the General Requirements (Division 01) of the Specifications. By making requests for substitutions, the Contractor:

- .1 Represents that is has personally investigated the proposed substitute product and determined that it is equal or superior in all respects to that specified;
- .2 Represents that it will provide the same warranty for the substitution as it would have provided for the product specified;

- .3 Certifies that the cost data presented is complete and includes all related costs for the substituted product and for Work that must be changed as a result of the substitution, except for the Architect's redesign costs, and waives all claims for additional costs related to the substitution that may subsequently be incurred by the Contractor; and
- .4 Shall coordinate the installation of the accepted substitute, making such changes as may be required for the Work to be complete in all respects.
- § 3.4.2.1 The Owner shall be entitled to reimbursement from the Contractor for amounts paid to the Architect for reviewing the Contractor's proposed substitutions and making agreed upon changes in the Drawings and Specifications resulting from such substitutions. The Owner may seek reimbursement pursuant to the procedures set forth in § 9.5.1.
- § 3.4.2.2 The Contractor shall bear all expenses resulting from substitutions including the cost General Conditions as well as any structural, plumbing, mechanical and electrical trade costs made necessary by the substitution.
- § 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.
- § 3.4.4 The Owner shall have the right, but not the obligation, to require the Contractor to remove and replace, with a person acceptable to Owner, promptly after notice from Owner, any employee of Contractor or Subcontractor who:

  (1) has engaged in conduct on Owner's property that is contrary to the requirements of any applicable law, the Contract Documents, or any rule or directive of Owner relating to conduct on Owner's property; or (2) is incapable of fulfilling its responsibilities in connection with the Project.

#### § 3.5 Warranty

- § 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.
- § 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

### § 3.6 Taxes

(Paragraph Deleted)

§ 3.6.1 Owner is exempt from payment of federal, state, and local Sales and Compensation Use Taxes on all supplies and materials incorporated into and becoming an integral component part of the structures, buildings, or real property pursuant to this Contract. Such taxes are therefore not to be included in the Contractor's bid or Contract Sum. Owner shall deliver to Contractor the appropriate exemption certificate required to be supplied by the Owner, and Contractor and its Subcontractors and materialmen shall be solely responsible for obtaining and delivering any and all exemption or other certificates and for furnishing a Contractor Exempt Purchase Certificate or other appropriate certificates to all persons, firms, or corporations from whom they purchase supplies, materials, and equipment for the performance of the Work.

#### § 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

- .1 The Contractor shall promptly deliver copies of such documents to the Owner.
- .2 If in connection with the Project, the Owner has obtained certain permits, licenses or agreements for the Project, the Owner will furnish copies of these documents to the Contractor. It is the Contractor's responsibility to comply with any conditions or limitations placed on the Project by these permits. The Contractor shall fully cooperate with the Owner in meeting the permit requirements and accommodations of regulatory inspections / directives.
- § 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work. If the Contractor fails to give such notices as applicable to the performance of the Work, the Contractor shall be liable for and shall indemnify and hold harmless the Owner against any and all resulting fines, penalties, judgments or damages, including reasonable attorney fees, imposed on or incurred by the parties indemnified, as a result of such failure by the Contractor
- § 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

## § 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.7.6 Upon completion of the Work, the Contractor shall deliver to the Architect original copies of all required final certificates of inspection, the Certificate of Occupancy, the other documents evidencing that inspections required by authorities having jurisdiction over the Work have been performed

#### § 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and

- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.
- § 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

## § 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

The Contractor's superintendent shall not be removed from this Project until the Project punch list has been completed and the Project has been accepted by the Owner. Unless approved otherwise by the Owner in advance, the Contractor's superintendent shall be assigned solely to this Project and shall not perform any duties or superintendence on any other Project until completion of this Project.

- § 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.
- § 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

## § 3.10 Contractor's Construction and Submittal Schedules

- § 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.
- § 3.10.1.1 The Construction Schedule shall be a Critical Path Method (CPM) type of schedule, consisting of: (1) a single critical path delineation and other sequencing, and early and late start, float, and completion dates for each activity; and (2) milestones, interrelationships, and restraints for all activities, including Owner-awarded contracts through the date of Project completion. The Construction Schedule must show all activities necessary for Substantial and Final Completion as defined in Section 9.8, Section 9.10, and elsewhere in the Contract Documents.
- § 3.10.1.2 When the Construction Schedule is complete, the Contractor, after consultation with all Subcontractors and material suppliers, shall confirm in writing to the Architect that the Construction Schedule is reasonable and achievable by the Contractor, subject to any extensions of time as provided for elsewhere in the Contract Documents. The Contractor shall thereafter give prompt specific notice to the Owner and the Architect of any change in the logic of the Construction Schedule or any part thereof, the removal of any restraints, or the reduction of any durations.
- § 3.10.1.3 Periodic meetings will be held at least monthly or at more frequent times, as required by the Work, to assess the state of the completion of the Project and to update the Construction Schedule as necessary. In advance of each such meeting, Contractor shall provide Owner a written status report identifying whether the Work is on schedule in accordance with the Construction Schedule or whether there are anticipated or potential delays to any critical path elements in the construction of the Work (in which event Contractor shall provide notice and an analysis as reasonably requested by Owner)

- § 3.10.1.4 The Construction Schedule shall be revised at least monthly or at more frequent times as required by conditions of the Work, and shall provide for expeditious and practicable execution of the Work consistent with the Contract Time. The Architect and Owner shall be provided copies of the Construction Schedule as periodically updated and in electronic format, as maintained by the Contractor.
- § 3.10.1.5 In the event that any updated Construction Schedule indicates a projected Substantial Completion date that is more than thirty (30) days after the required Substantial Completion date (as the same may be extended by Change Order for Excusable Delay), the Owner shall have the right to direct the Contractor to take corrective measures necessary to expedite the progress of construction, including, without limitation, (1) working additional shifts or overtime, (2) supplying additional manpower, equipment, facilities, (3) rescheduling activities, and (4) other similar measures (hereinafter referred to collectively as "Recovery Measures"). Such Recovery Measures shall continue until the progress of the Work complies with the state of completion required by the Construction Schedule. The Owner's right to require Recovery Measures is solely for the purpose of ensuring the Contractor's compliance with the Construction Schedule.
  - .1 The Contractor shall not be entitled to seek and adjustment in the Contract Sum in connection with Recovery Measures required by the Owner, unless they are incurred by Contractor as directed in writing by Owner to mitigate or offset Excusable Delay.
  - .2 The Owner may exercise the rights furnished to the Owner under or pursuant to this Subparagraph 3.10.1.5 as frequently as is reasonably necessary to ensure that the Contractor's performance of the Work will comply with any milestone date or completion date set forth in the Construction Schedule.
- § 3.10.1.6 The Contractor is solely responsible for the timing, sequencing coordination, and supervision of the work in accordance with the approved Construction Schedule. Review or approval of the initial Construction Schedule and subsequent reviews of the Construction Schedule by the Architect and Owner do not operate to imply agreement by the Architect or Owner that the means and methods of planning of the Work utilized by the Contractor are adequate or will accomplish the Work in the time shown on the Construction Schedule. The Contractor shall take all actions necessary to ensure the Work's successful planning and execution within the stipulated Contract Time. Additionally, review or approval of the Construction Schedule by the Owner or its consultants shall not make the Owner or its consultants responsible for Contractor's scheduling obligations or the accuracy of the Construction Schedule prepared by the Contractor.
- § 3.10.1.7 The Contractor represents to the Owner that the initial Construction Schedule and all subsequent Construction Schedules (including updates and amendments) have been prepared in good faith and are accurate to the best of the Contractor's knowledge.
- § 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's review. The Architect's review shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.
- § 3.10.3 The Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a contract with the Contractor.
- § 3.10.4 The Owner shall have the reasonable right to direct postponement or rescheduling of any date or time for the performance of any part of the Work that may interfere with the operation of the Owner's premises or any tenants or invitees, thereof. The Contractor shall, upon the Owner's reasonable request, reschedule any portion of the Work affecting operation of the premises during hours when the premises are not in operation. Any postponement, rescheduling, or performance of the Work under this Subparagraph 3.10.5 may be grounds for an extension of the Contract Time, if permitted under Subparagraph 8.3.1, and an equitable adjustment in the Contract Sum if (1) the performance of the Work was properly scheduled by the Contractor in compliance with the requirements of the Contract Documents, and (2) such rescheduling or postponement is required by the Owner.

## § 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the Architect's reviewed Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

## § 3.12 Shop Drawings, Product Data and Samples

- § 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.
- § 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.
- § 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged. Contractor shall submit samples requiring color or finish selection in a single, coordinated submittal. The Architect will issue no color or finish schedule until all samples and other data necessary for making complete color selections for the project are received.
- § 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.
- § 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule reviewed by the Architect. The Architect shall have no responsibility to review any Shop Drawings, Product Data, Samples or similar submittals unless and until the Contractor has submitted and received back from the Architect approved reviewed submittal schedule as required under Section 3.10.2. In addition, it is not the Architect's responsibility to ensure that all required Shop Drawings, Product Data, Samples or similar submittals that are required to be submitted and reviewed under the Contract Documents are submitted by the Contractor. Submissions of Shop Drawings, Product Data, Samples or similar submittals is solely the Contractor's responsibility.
- § 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.
- § 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been reviewed and commented on by the Architect.
- § 3.12.8 The Work shall be in accordance with reviewed submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's review of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has indicted in writing that there is no exception to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's review thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's action on a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall cause such services or certifications to be provided by a properly licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor all performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review, and take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Contractor shall not be responsible for the adequacy of the performance and design criteria specified in the Contract Documents.

§ 3.12.10.1 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

§ 3.12.11 The Architect's review of the Contractor's submittals will be limited to examination of an initial submittal and one (1) resubmittal. The Owner is entitled to obtain reimbursement from the Contractor for amounts paid to the Architect for evaluation of additional resubmittals.

## § 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

- Due to the site constraints, only materials and equipment that are to be used in the Work shall be brought to and stored on the Project site by the Contractor. After materials and equipment are no longer required for the Work, they shall be promptly removed from the Project site. Protection of materials and equipment stored at the Project site from weather, theft, damage, and all other adversity is solely the responsibility of the Contractor. The Contractor shall ensure that the Work, at all times, is performed in a manner that affords reasonable access, both vehicular and pedestrian, to the site of the Work and adjacent areas.
- The Contractor shall not permit any workers to use existing facilities at the Project site, including, without limitation, lavatories, entrances and parking areas other than those designated and approved by the Owner.
- The Contractor shall comply with all rules and regulations promulgated by the Owner in connection with the use and occupancy of the Project site and the Building, as amended from time to time. The Contractor shall immediately notify the Owner in writing if during the performance of the Work, the Contractor finds compliance with any portion of such rules and regulations to be impracticable, setting forth the problems of such compliance and suggesting alternatives through which the same results intended by such portions of the rules and regulations can be achieved. The Owner may, in the Owner's sole discretion, adopt such suggestions, develop new alternatives, or require compliance with the existing requirements of the rules and regulations.

## § 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor without written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.14.3 All cutting and patching work shall be done by the Contractor (or through the appropriate Subcontractor). Patches in finish surfaces shall match the adjacent surfaces in material, finish, detail, and quality. Patches in fire rated construction or construction required to be smoke tight shall be made in conformance with assemblies designed and tested by agencies recognized by governing codes. Any UL rated fire safing materials, flanges, or other materials required by Code, the Contract Documents, or manufacturers installation instructions for devices penetrating the work affected shall be applied an installed by an approved firestop subcontractor or qualified personnel from the applicable trade.

## § 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall lawfully remove and dispose of waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, or if not specified in the Contract Documents, then within 48 hours of an Owner request, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

#### § 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

## § 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### § 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the

indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

#### **ARTICLE 4 ARCHITECT**

§ 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner and Architect. Consent shall not be unreasonably withheld.

## § 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.2.1 The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for site visits made necessary by the fault of the Contractor to maintain the Project Schedule or for defects and deficiencies in the Work. The Owner may seek reimbursement pursuant to the procedures set forth in § 9.5.1.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

## § 4.2.4 Communications

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work. All costs made

necessary by such failure, including those of repeated procedures shall be at Contractor's sole expense, including reasonable compensation for Architect's services and expenses.

- § 4.2.7 The Architect will review the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken with the most recently reviewed submittal schedule or, in the absence of a submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's review of a specific item shall not indicate approval of an assembly of which the item is a component.
- § 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.
- § 4.2.9 The Architect will conduct site visits to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.
- § 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.
- § 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.
- § 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.
- § 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.
- § 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.
  - 1 The Contractor's request for information shall be prepared and submitted in accordance with the General Requirements (Division 01 of the Specifications) on the form included therein or as otherwise approved in advance. The Architect will return requests for information that do not conform to requirements of the Contract Documents.
  - .2 The Architect's response to a request for information (RFI), or issuance of a clarification or interpretation shall be considered an interpretation, clarification, supplemental information or an order for a minor change in the Work not involving an adjustment in Contract Sum or extension of Contract Time and not inconsistent with the intent of the Contract Documents, and shall be binding, unless indicated otherwise in the Architect's response to the RFI.

#### ARTICLE 5 SUBCONTRACTORS

### § 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Subsubcontractor.

#### § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, but prior to the first Application for Payment, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

The listing required by this Section shall be submitted to the Architect no later than 30 days from the date of the Agreement. This list shall include the names of manufacturers, suppliers, and installers proposed for each of the products, equipment, and materials to be incorporated into the project.

The Contractor shall furnish upon request adequate data on any named entity on the list in order to permit the Architect and the Owner to conduct a proper evaluation. Failure to object to a manufacturer shall not constitute a waiver of any of the requirements of the Contract Documents and all products furnished by the listed manufacturer must conform to such requirements.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

## § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor

will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Subsubcontractors.

§ 5.3.1 The division of the Specifications into sections is not intended to control the Contractor in dividing the work among subcontractors nor to limit the scope of work performed by any trade under a given section. The Architect will not undertake to settle any differences between the Contractor and its Subcontractors as to the responsibility for completing all Work in the Specifications. It shall be entirely the Contractor's responsibility to properly coordinate and complete all the Work described in the Specifications whether performed by the Contractor or its Subcontractors.

## § 5.4 Contingent Assignment of Subcontracts

- § 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that
  - .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
  - .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract, provided that the Owner shall not be under any obligation to compensate the Subcontractor with respect to amounts that the Owner has already paid to the Contractor for such Subcontractor's work.

- § 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.
- § 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity.
- § 5.4.4 Nothing in the Contract Documents shall be deemed to create any contractual relationship between any Subcontractor of any tier and the Owner, or between the General Contractor or Subcontractor of any tier and the Architect.

### ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

- § 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.
- § 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.
- § 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.
- § 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate

Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

## § 6.2 Mutual Responsibility

- § 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.
- § 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.
- § 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.
- § 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.
- § 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

#### § 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

## ARTICLE 7 CHANGES IN THE WORK

#### § 7.1 General

- § 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.
- § 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.
- § 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.
- § 7.1.4 Unless otherwise agreed to in writing by the Owner and the Contractor, the combined overhead and profit that shall be included in the total cost (or credit) to the Owner for a Change in the Work shall be based on the following schedule:
  - .1 For the Contractor, for Work performed by the Contractor's own forces:
    - 1. 15% on the first \$25,000 of the change order direct cost of self-performed work,
    - 10% on the portion of the change order direct cost of self-performed work between \$25,000 and \$50,000 and
    - 7.5% on the portion of the change order direct cost of self-performed work between \$50,000 and \$200,000 and

- 4. 5% on the portion of the change order direct cost of self-performed work greater than \$200,000.
- .2 For the Contractor, for Work performed by the Contractor's Subcontractor five percent (5%) of the amount due the Subcontractor.
- .3 For each Subcontractor involved, for Work performed by that Subcontractor's own forces, fifteen percent (15%) of the cost.
- .4 For each Subcontractor involved, for Work performed by the Subcontractor's Sub-subcontractors, five percent (5%) of the amount due the Sub-subcontractor.
- .5 Cost to which overhead and profit is to be applied shall be determined in accordance with Section 7.3.7 and shall be itemized (including labor costs).

## § 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.2.2 A Change Order, when issued, shall be full compensation, or credit, for the extra Work performed, omitted, or substituted. It shall show on its face, any adjustment in time for completion of the Project as a result of the Change in the Work. Each Change Order shall include all costs related thereto, including all overhead, miscellaneous expenses, and incidentals.

## § 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.
- .5 Calculation of overhead and profit shall be consistent with Section 7.1.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in Section 7.1.4. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others:
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and

- .5 Costs of supervision and field office personnel directly attributable to the change.
- § 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.
- § 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum and/or Contract Time.
- § 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and/or Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.
- § 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured in accordance with Section 7.1.4.
- § 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.
- § 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

## § 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

### **ARTICLE 8 TIME**

## § 8.1 Definitions

- § 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.
- § 8.1.2 The date of commencement of the Work is the date established in the Agreement.
- § 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.
- § 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

## § 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

## § 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

In the event that the Owner, the Contractor or the Architect is delayed or hindered in or prevented from the performance of any act required by the Contract Documents by reason of a labor dispute, fire, failure of power, unusual delay in deliveries, adverse weather conditions not reasonably anticipatable, unavoidable casualties or other causes of a like nature beyond the Owner's, the Contractor's or the Architect's control, the Contractor (or its Subcontractors) shall not be entitled to any additional compensation.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15; however, The Contractor's Claims, if any, for any increase in Contract Time must be made in accordance with the time requirements of this Section. Claims for an increase in Contract Time must be made in writing to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims must be initiated within seven (7) days after the Contractor has notice of the delay (initial notice). Thereafter, the Contractor must provide full details and support documentation with regard to the cause of the delay within twenty-one (21) days of the initial notice of the delay. If either the initial notice or the supporting documentation is not submitted to the Initial Decision Maker with a copy to the Architect, if the Architect is not the Initial Decision maker, in writing within the time periods prescribed in this Section, the Claim for an increase in Contract Time shall be waived. If the cause for the delay is a continuing one then only one Claim is necessary. The Contractor's supporting documentation to the Initial Decision Maker and/or Architect shall include an estimate of cost, if any, and of the probable effect of the delay on the progress of the Work and the Project Schedule.

§ 8.3.3 Unless expressly provided otherwise in the Contract Documents, an extension of the Contract Time, to the extent permitted under Subparagraph 8.3.1 shall be the sole remedy of the contractor for any (1) delay in the commencement, prosecution, or completion of the Work, (2) hindrance or obstruction in the performance of the work, (3) loss of productivity, or (4) other similar claims (collectively referred to in this Subparagraph 8.3.3 as "Delays") whether or not such Delays are foreseeable unless a Delay is caused by acts of the Owner or Architect, or of an employee of either, or of a separate contractor employed by the Owner (an "Owner-Caused Delay"), in which case the Contractor shall also be entitled to an equitable adjustment of the Contract Sum provided that the Contractor provides to the Owner written notice of such Owner-Caused Delay within ten (10) days of the occurrence of the event giving rise to such Owner-Caused Delay or within ten (10) days after the Contractor first recognizes the condition giving rise to such Owner-Caused Delay, whichever is later.

## **ARTICLE 9 PAYMENTS AND COMPLETION**

## § 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

(Paragraph Deleted)

## § 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

§ 9.2.1 The Contractor and each Subcontractor shall prepare a trade payment breakdown for the work for which it is responsible, such breakdown being submitted on a uniform standardized form reasonably approved by the Architect and Owner (AIA G703). The form shall be divided in detail sufficient to exhibit area, floors, and/or sections of the Work, and/or by convenient units and shall be updated as required by either the Owner or the Architect as necessary to reflect (1) description of Work (listing labor and material separately), (2) total value, (3) percent of the work completed to date, (4) value of the work completed to date, (5) percent of previous amount billed, (6) previous amount billed, (7) current percent completed, and (8) value of Work completed to date. Any trade breakdown that unreasonably fails to include sufficient funds shall be withheld from future Applications for Payment to ensure an adequate reserve (including of normal retainage) to complete the Work.

## § 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

The form Application for Payment, duly notarized, shall be the most recent authorized edition of AIA Document G702, Application and Certificate for Payment, supported by the most recent authorized edition of AIA Document G703, Continuation Sheet.

- § 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.
- § 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.
- § 9.3.1.3 Each Application for Payment shall be submitted electronically and in four (4) hard copies and shall be accompanied by the following, in all form and substance reasonably satisfactory to the Owner; (1) a current conditional Contractor's waiver of claims and liens, and duly executed an acknowledged sworn statement showing all Subcontractors and material suppliers with whom the Contractor has entered into subcontracts, the amount of each such subcontract, the amount requested for any Subcontractor and material supplier in the requested progress payment, and the amount to be paid to the Contractor from such progress payment together with similar sworn statements from all such subcontractors and material suppliers; (2) duly executed unconditional waivers of claims and liens from all Subcontractors and, when appropriate, from material suppliers and lower tier Subcontractors establishing payment or satisfaction of payment of all amounts requested by the Contractor on behalf of such entities or information and materials required to comply with the requirements Contract Documents or reasonably requested by the Owner or the Architect or required by the Owner's title insurer.
- § 9.3.1.4 Until Substantial Completion, the Owner shall pay the Contractor ninety percent (90%) of the amount due the Contractor.

- § 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site. Such payment by the Owner for materials, equipment, fixtures and supplies stored on or off the Site shall not relieve the Contractor of its responsibility to provide reasonable protection of said materials, equipment, fixtures and supplies until their incorporation into the Work.
- § 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.
- § 9.3.3.1 The Contractor further expressly undertakes to defend the Owner, against any actions, lawsuits, or proceedings brought against the Owner as a result of liens related to the Work unless the reason for the lien is the nonpayment by the Owner to the Contractor in accordance with the Contract Documents (referred to as "liens" in this Subparagraph). The Contractor hereby agrees to indemnify and hold the Owner harmless against any such liens or claims of liens and agrees to pay any final judgment or lien if the reason for the judgment or lien is the nonpayment by the Owner to Contractor in accordance with the Contract Documents.
- § 9.3.3.2 The Owner shall release any payments withheld due to a lien or claim of lien if the Contractor obtains security acceptable to the Owner or a lien discharge bond that is (1) issued by a surety acceptable to the Owner; (2) in form and substance satisfactory to the Owner, and (3) in an amount required by law to release such lien claim. By posting a lien discharge bond or other acceptable security, however, the Contractor shall not be relieved of any responsibilities or obligations under Subparagraph 9.3.3.1 including without limitation, the duty to defend and indemnify the Owner. The cost of any premiums incurred in connection with such bonds and security shall be the responsibility of the Contractor and shall not be part of, or cause any adjustment to, the Contract Sum.

#### § 9.4 Certificates for Payment

- § 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.
- § 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

#### § 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- A reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay:
- .7 repeated failure to carry out the Work in accordance with the Contract Documents; or
- .8 any other reasonable grounds for objection or withholding as provided in the agreement or as permitted by law.
- § 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld. The Owner shall not be deemed in default by reason of withholding payment while any conditions described in 9.5.1 remain.
- § 9.5.3 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its option, issue joint checks to the Contractor and to any Subcontractor for material and/or equipment suppliers to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Architect will reflect such payment on the next Certificate for Payment.

#### § 9.6 Progress Payments

- § 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.
- § 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.
- § 9.6.2.1 The Contractor shall indemnify and hold the Owner harmless from laborers, mechanics and materialmen liens upon the Owner's properties or the premises upon which the work is located, arising out of the work performed or materials furnished by the Contractor or any of its Subcontractors or any material suppliers under the Contract.
- § 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.
- § 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

- § 9.6.5 The Contractor's payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4. The Owner shall have no obligation to pay or reimburse a Contractor for payments to material and equipment suppliers until materials and supplies have been delivered on site or to an offsite storage facility which is bonded and secured.
- § 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.
- § 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.
- § 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

## § 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately, and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and startup.

#### § 9.8 Substantial Completion

- § 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use, and shall require that: (1) the Work is operational and usable for the purposes intended; and (2) all required governmental permits, approvals and temporary or permanent certificates of occupancy have been properly and validly issued. Substantial completion shall not be withheld due to Owner's failure to occupy or use based on any reason that is not the responsibility of the Contractor under the Contract Documents or is caused by circumstances beyond Contractor's control
- § 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.
- § 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.
  - .1 The Architect will perform no more than two (2) inspections to determine whether the Work or a designated portion thereof has attained Substantial Completion in accordance with the Contract Documents. The

Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections pursuant to Section 9.5.1.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion. The Certificate of Substantial Completion will not be issued until after the Architect and Owner have determined that: (1) the Work and all systems are operational and otherwise complete and ready for unobstructed, lawful use and occupancy by the Owner; (2) the governmental agency that issued the building permit has issued a certificate of occupancy; (3) all testing (including but not limited to TAB, Envelope, Commissioning, etc.) are completed and required corrections revealed by these tests are completed; (4) the Project has been accepted by each regulatory body having jurisdiction, and (5) the only items of Work remaining to be completed are of a minor nature such as touchup, adjustments, testing, corrections, and omissions to be remedied, as may appear on the final list made during inspection by the Architect and Owner.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

## § 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

### § 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been

§ 9.10.1.1 The Architect will perform no more than two (2) inspections to determine whether the Work or a designated portion thereof has attained Final Completion in accordance with the Contract Documents. The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections. The Owner may seek reimbursement pursuant to Section 9.5.1.

- § 9.10.1.2 The final payment of retained amount due the Contractor on account of the Contract shall not become due until the Contractor has furnished to the Owner, through the Architect, completion documents as enumerated below, or as otherwise required in the Contract Documents.
  - .1 One (1) hard copy and one electronic Record Set of Drawings showing actual construction of all portions of the Work and incorporating all changes and amendments thereto, as redlined against the 100% Construction Drawings.
  - .2 Guarantees and Warranties required by specific Sections of the Specifications.
  - .3 Release and Waiver of Claims, conditioned upon Final Payment, by the General Contractor, Subcontractors, Sub-subcontractors and materials suppliers.
  - .4 All mechanical and electrical installation, operating and maintenance manuals called for under the Specifications.
  - .5 All test reports and certifications required under the mechanical and electrical specifications.
  - .6All forms required to be completed by the Contractor by regulatory governmental agencies with two copies delivered to the Architect.
  - .7 Shop Drawing submittals in accordance with Article 3.
  - .8 A copy of the unconditional Occupancy Permit or Certificate of Compliance issued by the local Building Inspection Department have Jurisdiction, unless such is not issued for any reason that is not the responsibility of the Contractor under the Contract Documents or is caused by circumstances beyond Contractor's control.
  - .9 Manufacturer's current detailed installation instructions for fire dampers, ceiling radiation dampers, smoke dampers, and duct smoke detectors as applicable to the Project
  - .10 One (1) copy of the equipment operational and maintenance manuals.
- § 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.
- § 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.
- § 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from
  - .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
  - .2 failure of the Work to comply with the requirements of the Contract Documents;
  - .3 terms of special warranties required by the Contract Documents; or
  - .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

### ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

## § 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

## § 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.
- § 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.
- § 10.2.2.1 In the event that review, inspection or other action by regulatory agencies or other parties results in the imposition of fines, fees, or other costs due to the failure of the Contractor to comply with said applicable laws, ordinance, rules, regulations and lawful orders, the Contractor shall hold harmless the Owner, owner's Consultants, the Architect, and Owner's separate contractors, if any, from all consequences arising from the Contractor's non-compliance.
- § 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.
- § 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.
- § 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.
- § 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.
- § 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

## § 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor.

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

## § 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

#### ARTICLE 11 INSURANCE AND BONDS

## § 11.1 Contractor's Insurance and Bonds

§ 11.1.1 The Contactor shall purchase from and maintain in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located such insurance as will protect the Contractor from claims set forth below (and such insurance shall be from a company that is A rated or better by A.M Best Company) which may arise out of or result from the Contractor's operations and completed operations under the Contract and for which the Contractor may be legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable:

- .1 Claims under workers' compensation, disability benefit and other similar employee benefit acts that are applicable to the Work to be performed.
- .2 Claims for damages because of bodily injury, occupational sickness or disease, or death of the Contractor's employees;
  - .3 Claims for damages because of bodily injury, sickness or disease, or death of any person other than the Contractor's employees;
- .4 Claims for damages insured by usual personal injury liability coverage;
  - .5 Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
  - .6 Claims for damages because of bodily injury, death or a person or property damage arising out of ownership, maintenance or use of a motor vehicle.
- .7 Claims for bodily injury or property damage arising out of completed operations; and
- .8 Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.
- § 11.1.2 The insurance required by Section 11.1.1 (or other corresponding Exhibit setting forth the specific insurance requirements) shall be written for not less than limits of liability specified by the Owner or required by law, whichever coverage is greater. Coverages, whether written on an occurrence or claims-made basis, shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and, with respect to the Contractor's completed operations coverage, until the expiration of the period for correction of Work or for such other period for maintenance of completed operations coverage as specified in the Contract Documents.
- § 11.1.3 Certificates of insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance. These certificates and the insurance policies required by this Section 11.1 shall contain a provision that coverages afforded under the policies will not be canceled or allowed to expire until at least 30 days prior written notice has been given to the Owner. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment as required by Section 9.10.2 and thereafter upon renewal or replacement of such coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the Contractor with reasonable promptness.
- § 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within not less than twenty (20) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.
- § 11.1.5 The Contractor shall cause the commercial liability coverage required by the Contract Documents to include (1) the Owner, the Architect and the Architect's consultants as additional insureds for claims caused in who or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations.
- § 11.1.6 See Section 00 7250 for additional requirements.

#### § 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

§ 11.2.2 Failure to Purchase Required Property Insurance. If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

#### § 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, subsubcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

(Paragraphs Deleted)

## §11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

## ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

## § 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

#### § 12.2 Correction of Work

#### § 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

## § 12.2.2 After Substantial Completion

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents. any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

- § 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.
- § 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.
- § 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.
- § 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

## § 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## ARTICLE 13 MISCELLANEOUS PROVISIONS

## § 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.1.1 In all operations under the Contract, the Contractor agrees that it will comply with provisions of all State and Federal Laws (including OSHA) and all local ordinances which may affect such operations.

## § 13.2 Successors and Assigns

- § 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.
- § 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

## § 13.3 Rights and Remedies

- § 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.
- § 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

#### § 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect

timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

(Paragraphs Deleted)

## ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

### § 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;

## (Paragraphs Deleted)

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

## § 14.2 Termination by the Owner for Cause

- § 14.2.1 The Owner may terminate the Contract if the Contractor
  - .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
  - fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
  - .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
  - .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.
  - .5 fails to implement measures that will bring the work into conformity with the approved Project Schedule.
- § 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:
  - .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
  - .2 Accept assignment of subcontracts pursuant to Section 5.4; and
  - .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.
- § 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.
- § 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

## § 14.3 Suspension by the Owner for Convenience

- § 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.
- § 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent
  - .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
  - .2 that an equitable adjustment is made or denied under another provision of the Contract.

## § 14.4 Termination by the Owner for Convenience

- § 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.
- § 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall
  - .1 cease operations as directed by the Owner in the notice;
  - .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
  - .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.
- § 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of

Subcontracts; and the termination fee, if any, set forth in the Agreement.

§ 14.4.4 The Contractor shall include in each of its subcontracts a clause, similar in effect to the provisions in Paragraph 14.4, allowing the Contractor to terminate the subcontract for its sole convenience, subject only to the payment obligations set forth in Paragraph 14.4.3.

#### **ARTICLE 15 CLAIMS AND DISPUTES**

§ 15.1 Claims

#### § 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

## § 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

## § 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

## § 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

#### § 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

### § 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary. The Contractor shall accompany the Claim with a written analysis with a proposed revision to the Schedule illustrating the claimed influence of the basis for delay on the critical path of the Work and the applicable deadlines that may be impacted. Contractor will exercise reasonable efforts to mitigate the potential impact of any delay but shall be compensated for any costs associated therewith.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction. The time for performance of this Contract, as set forth in the Construction Schedule, shall include an allowance for delays due to reasonably anticipated adverse weather for the area where the Work is located. For the purpose of establishing that abnormal adverse weather conditions have caused a delay, and determining the extent of delay attributed to such weather conditions, the Contractor shall furnish with its claim, National Oceanic and Atmospheric Administration (NOAA) National Weather Service records of climatic conditions during the same time interval for the previous five (5) years for the locality of the Work; the Contractor's daily job site logs/daily construction reports showing weather, job activities, and the effect of weather on the progress of the Work; and an impact schedule showing the effects of the weather event on the critical path of the Contractor's Construction Schedule. Time extensions for weather delays and related impact do not entitle the Contractor to extended overhead recovery or to any other monetary compensation associated with that claim unless approved in writing by the Owner.

§ 15.1.6.3 The Contractor shall not be entitled to a separate increase in the Contract Time for each one of the number of causes of delay which have concurrent or interrelated effects on the progress of the Work.

## § 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

#### § 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a

response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

- § 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.
- § 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.
- § 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.
- § 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.
- § 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

## § 15.3 Mediation

- § 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.
- § 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.
- § 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.
- § 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

# SECTION 00 7250 - INSURANCE REQUIREMENTS - CONTRACTOR

- 1. Notwithstanding any terms, conditions or provisions, in any other writing between the parties, the contractor hereby agrees to effectuate the naming of the Ossining Union Free School District (District / Owner), and CPL (Architect/Engineer) as an Additional Insured on the contractor's insurance policies, except for workers' compensation and N.Y. State Disability insurance.
- 2. The policy naming Additional Insured(s) shall:
  - Be an insurance policy from an A.M. Best A- rated or better insurer, licensed to conduct a. business in New York State.
  - State that the organization's coverage shall be primary and non-contributory coverage for b. the District, its Board, employees and volunteers, and Architect.
  - Additional insured status shall be provided by standard or other endorsements that extend c. coverage to the District and Architect for on-going operations (CG 20 38) and products and completed operations (CG 20 37). The decision to accept an endorsement rest solely with the District, and it's consultants. A completed copy of the endorsements must be attached to the Certificate of Insurance.
  - d. All insurance policies maintained by the Contractor shall include a waiver of any and all rights of subrogation of the Contractor or its insurers against the Owner and Architect, along with all other Additional Insureds / Indemnified Parties and their agents, officers, directors and employees for recovery of damages. Contractor further waives its rights of subrogation against the Owner or any Additional Insureds or Indemnified Party for any damage or loss to the Contractor's scope of work, tools, equipment, materials or any other loss within the scope of any insurance maintained by the Owner.
- The certificate of insurance must describe the services provided by the contractor (e.g., 3. a. roofing, carpentry or plumbing) that are covered by the liability policies.
  - The contractor shall provide a copy of the declaration page of the liability and b. umbrella/excess policies with a list of endorsements and forms. If requested, the contractor shall provide a copy of the policy endorsements and forms.
  - A fully completed New York Construction Certificate of Liability Insurance Addendum c. (ACORD 855 2014/15) must be included with the certificates of insurance. For any "Yes" answers on Items G through L on this Form- additional details must be provided in writing.
- The contractor agrees to indemnify the District for applicable deductibles and self-insured 4. retentions.

#### 5. Minimum Required Insurance:

## **Commercial General Liability Insurance**

\$1,000,000 per Occurrence/ \$2,000,000 Aggregate

\$2,000,000 Products and Completed Operations

\$1,000,000 Personal and Advertising Injury

\$100,000 Fire Damage

\$10,000 Medical Expense

The general aggregate shall apply on a per-project basis.

# 8. Owners Contractors Protective (OCP) Insurance

For projects less than or equal to \$1,000,000 and work on 1 story (10 feet) only; \$1 million per occurrence, \$2 million aggregate with the District as the Named Insured.

For projects greater than \$1,000,000 and work over 1 story (10 feet); \$2 million per occurrence, \$4 million aggregate with the District as the Named Insured.

The District will be the Named Insured on OCP Policies. There will be no Additional Insureds on any OCP Policies.

#### **Automobile Liability** c.

\$1,000,000 combined single limit for owned, hired, borrowed and non-owned motor vehicles.

# 8. Workers' Compensation and NYS Disability Insurance

Statutory Workers' Compensation (C-105.2 or U-26.3); and NYS Disability Insurance (DB-120.1) for all employees. Proof of coverage must be on the approved specific form, as required by the New York State Workers' Compensation Board. ACORD certificates are not acceptable. A person seeking an exemption must file a CE-200 Form with the state. The form can be completed and submitted directly to the WC Board online.

## 8. Builder's Risk

Must be purchased by the contractor to include interest of the Owner and Contractor jointly in a form satisfactory to the Owner. The limit must reflect the total completed value – all material and labor costs and provide coverage for fire, lightning, explosion, extended coverage, vandalism, malicious mischief, windstorm, hail and/or flood.

#### f. **Umbrella/Excess Insurance**

\$5 million each Occurrence and Aggregate for general construction and no work at elevation greater than 1 story or 10 feet, or project values less than or equal to \$1,000,000.

\$10 million each Occurrence and Aggregate for high risk construction, work at elevation greater than 1 story or 10 feet, or project values greater than \$1,000,000.

## INSURANCE REQUIREMENTS - CONTRACTOR

Umbrella/Excess coverage shall be on a follow-form basis.

- Contractor acknowledges that failure to obtain such insurance on behalf of the District 6. constitutes a material breach of contract and subjects it to liability for damages, indemnification and all other legal remedies available to the District. The contractor is to provide the District with a certificate of insurance, evidencing the above requirements have been met, prior to the commencement of work.
- Sub-contractors are subject to the same terms and conditions as stated above and submit 7. same to the District for approval prior to start of any work.
- In the event the Contractor fails to obtain the required certificates of insurance from the 8. Subcontractor and a claim is made or suffered, the Contractor shall indemnify, defend, and hold harmless the District, its Board, employees and volunteers, and the Architect/Engineer, from any and all claims for which the required insurance would have provided coverage. This indemnity obligation is in addition to any other indemnity obligation provided in the Contract.

# ADDITIONAL REQUIREMENTS ASBESTOS, LEAD ABATEMENT AND/OR HAZARDOUS MATERIALS

## **Asbestos/Lead Abatement Insurance**

\$2,000,000 per occurrence/\$2,000,000 aggregate, including products and completed operations. Such insurance shall include coverage for the Contractor's operations including, but not limited to, removal, replacement, enclosure, encapsulation and/or disposal of asbestos, or any other hazardous material, along with any related pollution events, including coverage for third-party liability claims for bodily injury, property damage and clean-up costs. If a retroactive date is used, it shall pre-date the inception of the Contract.

If the Contractor is using motor vehicles for transporting hazardous materials, the Contractor shall maintain pollution liability broadened coverage (ISO endorsement CA 9948), as well as proof of MCS 90. Coverage shall fulfill all requirements of these specifications and shall extend for a period of three (3) years following acceptance by the District of the Certificate of Completion.

## **Testing Company Errors and Omission Insurance**

\$1,000,000 per occurrence/\$2,000,000 aggregate for the testing and other professional acts of the Contractor performed under the Contract with the District.

END OF SECTION 00 7250

# PREVAILING WAGE AGREEMENT

# PRC NUMBER AND CERTIFIED PAYROLL

Pro	iect	Title
LIO	ICCL	11116

# Location

This is to inform you that the Prevailing Rate Case number (PRC #) issued by the DOL for the above project is **2021000942**.

With each application for payment a certified payroll must be submitted, in order to release payment for your services.

Section 220.3 of Article 8 of the New York State Labor Law requires "a provision that each laborer, workman or mechanic employed by the contractor, subcontractor or other person about or upon such public work, shall be paid not less than the prevailing rate of wages and shall be provided supplements not less than the prevailing supplements as determined by the fiscal officer."

	ACCEPTANCE	
Date	Name of Company	Authorized Officer

# SECTION 00 7343-PREVAILING WAGE RATES

### PART 1 - GENERAL

### 1.1 GENERAL

- A. Wage rates shall apply as shown in the Prevailing Wage Schedule prepared by the New York State Department of Labor for this project (the Prevailing Wage Case Number (PRC#) assigned to this project is 2021000942. The Schedule can be viewed at the following web site: on <a href="https://apps.labor.ny.gov/wpp/publicViewProject.do?method=showIt&id=1508863">https://apps.labor.ny.gov/wpp/publicViewProject.do?method=showIt&id=1508863</a>. Upon award of the Contract to the successful bidder, a hard copy of the Schedule will be provided.
- B. The Contractor shall be responsible for completing one copy of Notice of Contract Award (Form PW-16). Upon completion of the form, the Contractor shall submit the form to the Architect. Architect will forward a copy to the New York State Department of Labor.
- C. The Contractor shall submit an executed copy of the attached Prevailing Wage Rate Agreement with the bid.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

### SECTION 01 1000 - SUMMARY

# PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Work covered by the Contract Documents.
  - 2. Type of Contract.
  - 3. Use of premises.
  - 4. Owner's occupancy requirements.
  - 5. Work restrictions.
  - 6. Specification formats and conventions.

### 1.3 WORK COVERED BY CONTRACT DOCUMENTS

- A. Project Identification: Brookside Elementary School, Classroom Addition.
  - 1. Project Location:
    - a. Brookside Elementary School, 30 Ryder Road, Ossining, New York 10562.
- B. Owner: Ossining Union Free School District, 400 Executive Boulevard, Ossining, New York 10562.
  - 1. Owner's Representative: Jared Mance.
- C. Architect: CPL, 50 Front Street, Suite 202, Newburgh, New York 12550.
- D. Project Coordination: Each Contractor is responsible for coordinating their work with that of all other Contractors.
- E. The Work consists of the construction of a classroom addition and site improvements as described in the Contract Documents.

# 1.4 TYPE OF CONTRACT

- A. Project will be constructed under the following prime contracts:
  - 1. Contract 1: General Construction Work.
  - 2. Contract 2: HVAC Work.
  - 3. Contract 3: Electrical Work.

# <u>14428.11</u> SUMMARY 01 1000-2

# 1.5 USE OF PREMISES

- A. Use of Site: Limit use of premises to work in areas indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  - 1. Owner Occupancy: Allow for Owner occupancy of Project site and use by the public.
  - 2. Driveways and Entrances: Keep driveways, loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances.
    - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- B. Use of Existing Building: Maintain existing building in a weathertight condition throughout construction period. Repair damage caused by construction operations. Protect building and its occupants during construction period.

# 1.6 OWNER'S OCCUPANCY REQUIREMENTS

- A. Full Owner Occupancy: Owner will occupy site and building during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits, unless otherwise indicated.
  - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
  - 2. Provide not less than 72 hours' notice to Owner of activities that will affect Owner's operations.
- B. Owner Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed areas of building, before Substantial Completion, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and partial occupancy shall not constitute acceptance of the total Work.
  - 1. Architect will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied before Owner occupancy.
  - 2. Obtain a Certificate of Occupancy from authorities having jurisdiction before Owner occupancy.
  - 3. Before partial Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will operate and maintain mechanical and electrical systems serving occupied portions of building.
  - 4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of building.

# 1.7 WORK RESTRICTIONS

### A. On-Site Work Hours:

- 1. While school is not in session (i.e., Summer Recess), work shall be between the hours of 7:00 a.m. to 4:00 p.m., Monday through Friday.
- 2. While school is in session, work shall be between the hours of **4:00 p.m. to 11:00 p.m.**, Monday through Friday.
- 3. Weekend Hours: Coordinate work during weekend hours with the Owner.
- 4. Early Morning Hours: Coordinate work during early morning hours with the Owner.
- 5. Hours for Utility Shutdowns: Coordinate hours for utility shutdowns with the Owner.
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
  - 1. Notify Owner not less than two days in advance of proposed utility interruptions.
  - 2. Do not proceed with utility interruptions without Owner's written permission.
- C. Non-smoking Site: Smoking is not permitted on the Owner's property.
- D. See Section 01 0140 for additional information.

### 1.8 SPECIFICATION FORMATS AND CONVENTIONS

- A. Specification Format: The Specifications are organized into Divisions and Sections using the 50-division format and CSI/CSC's "MasterFormat" numbering system.
  - 1. Section Identification: The Specifications use Section numbers and titles to help cross-referencing in the Contract Documents. Sections in the Project Manual are in numeric sequence; however, the sequence is incomplete because all available Section numbers are not used. Consult the table of contents at the beginning of the Project Manual to determine numbers and names of Sections in the Contract Documents.
  - 2. Division 01: Sections in Division 01 govern the execution of the Work of all Sections in the Specifications.
- B. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Abbreviated Language: Language used in the Specifications and other Contract Documents is abbreviated. Words and meanings shall be interpreted as appropriate. Words implied, but not stated, shall be inferred as the sense requires. Singular words shall be interpreted as plural, and plural words shall be interpreted as singular where applicable as the context of the Contract Documents indicates.
  - 2. Imperative mood and streamlined language are generally used in the Specifications. Requirements expressed in the imperative mood are to be performed by Contractor. Occasionally, the indicative or subjunctive mood may be used in the Section Text for clarity to describe responsibilities that must be fulfilled indirectly by Contractor or by others when so noted.

a. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

### SECTION 01 1125 - SUMMARY OF CONTRACT

# PART 1 - GENERAL

### 1.1 **SUMMARY**

- A. This Section includes a summary of the contract, including responsibilities for coordination and temporary facilities and controls.
- B. Specific requirements of the Contract are also indicated in individual Specification Sections and on Drawings.

# 1.2 **DEFINITIONS**

A. Permanent Enclosure: As determined by Architect, permanent or temporary roofing is complete, insulated, and weathertight; exterior walls are insulated and weathertight; and all openings are closed with permanent construction or substantial temporary closures.

# 1.3 COORDINATION

A. Each Contractor is responsible for coordinating their work with that of all other Contractors.

### 1.4 CONTRACTS, GENERAL

A. Contractor understands that time is of the essence and will adequately man the job to successfully complete the Contract Work within the dates provided in the Contract Documents. The option to work extended hours and weekends at the Contractor's expense may be performed to meet the intended dates. Where work is required to be during extended hours and/or weekends, the Contractor shall provide in his Base Bid the cost of such premium time work.

# 1.5 PHASING REQUIREMENTS

- A. Dates of commencement and completion of work shall be coordinated with the Owner's educational program.
- B. Contractor shall provide isolation valves to systems as needed to accommodate their work. All existing building systems are to remain in operation during progress of the Work.
- C. Electric power, public address system, fire alarm system, and phone system to occupied areas are to remain in operation for the duration of the project. No building services shall be interrupted. If a Contractor requires shut-down of any system, that Contractor shall notify the Owner 48 hours in advance, and shall provide any temporary system if deemed necessary by Owner (such as temporary power, etc.).

### 1.6 WORK RESTRICTIONS

- A. All spaces in the school, with the exception of mechanical spaces, boiler rooms and electrical rooms shall be considered student occupied spaces.
- B. There shall be no work in the Corridors while school is in session, during normal school hours.

C. All Work performed after normal school hours shall be coordinated with the authorized Owner's representative. All occupied spaces shall be ready for Owner's use the following day. Classrooms shall be cleaned at the end of each work shift.

# 1.7 ADDITIONAL REQUIREMENTS

- A. The following procedures must be performed to allow work to progress during the school year and to allow safe entry and exiting from the building. These requirements are also meant to limit interaction of the construction project personnel with the Owner's students and staff.
  - 1. Contractor(s) shall provide all temporary facilities required for staging, delivery and access to construction areas. Temporary facilities may include removal, and subsequent repair, replacement and reconstruction, of building windows and other building elements as may be required to gain access to the construction areas. Temporary facilities may include the construction of temporary stairs, provision of scaffolding and other items necessary for the Contractor to gain access to areas of construction. The Contractor(s) are to provide for such items in their base bid.
  - 2. The Contractor is to provide and maintain temporary exits from all locations of the building affected by their work. All site exiting requirements shall be provided for and be maintained throughout the project by the Contractor. Contractor shall submit to the Owner a temporary site access and exiting plan indicating how he proposes to maintain the protection of exit ways and walkways from the building and construction areas throughout the construction of the project, as applicable to their work.

### 1.8 CONTRACT SUMMARY

- A. Unless otherwise indicated, the Work described in this Section for the Contract shall be complete systems and assemblies, including products, components, accessories, and installation required by the Contract Documents.
- B. Local custom and trade union jurisdictional settlements do not control the scope of the Work of the Contract. When a potential jurisdictional dispute or similar interruption of work is first identified or threatened, affected Contractor(s) shall negotiate a reasonable settlement to avoid or minimize interruption and delays.
- C. All OSHA safety and hazardous materials regulations shall be complied with. All Contractors are required to comply with New York State Education Department Uniform Safety Standards. See Division 01 Section "SED Regulatory Requirements". All Contractors must submit a safety program, a hazardous materials program, (all required data must be maintained at the job site) and attend safety meetings.
- D. Contractor is responsible for any debris caused by their work. A weekly clean up and disposal is required by the Contractor for the periods which that Contractor is performing work on site. Each trade will assign at least one person to the weekly clean-up; the name of this person is to be submitted to the Owner. Any Contractor not providing clean-up personnel will be charged for clean-up labor provided by the Owner on the Contractor's behalf.

# SUMMARY OF CONTRACT

- E. The Contractor is responsible for cutting/patching required to complete their work. Patching, unless otherwise noted, shall match adjacent finishes and surfaces. Note all demolition work, unless otherwise noted, shall be trimmed and finished to match the adjacent conditions.
- F. Multiple Crews: To maintain the project schedule, the Contractor is to provide multiple crews as needed. Each crew is to be furnished with its own Administrative Superintendent, foreman, labor force, materials and equipment and other means necessary to maintain the Project Schedule.
  - Supervision: The Project Manager and Field Superintendent proposed by the Contractor for the project shall have at least five years of experience in the proposed position. The successful bidder shall submit resumes for the proposed Project Manager and Field Superintendent for the project to the Owner for review. The Field Superintendent should be an administrative position to coordinate the work of the Contract and any subcontractors. Should the Project Manager(s) and/or Superintendent(s) prove unqualified for the position at any point in the project, the Owner shall issue a letter stating that the person is to be removed from involvement in the project. Action must be made within seven working days of receipt of such letter.
- The Contractor shall supply and coordinate exact locations of embedded items in G. concrete or masonry work with the Contractor responsible for concrete or masonry work. The Contractor shall monitor such items throughout concrete/masonry activities to ensure proper placement.
- Н. The Contractor shall provide shoring as may be required to execute his work.
- I. New openings in existing construction are to be neatly sawcut by the Contractor requiring the opening. All steel lintels, floor and/or roof framing, etc. required at said openings shall also be provided by the Contractor requiring the opening.
- J. Demolition for the Work of the Contract shall be provided by the Contractor, unless noted otherwise.
- K. Unless otherwise noted, the Contractor shall return areas disturbed by their work to the conditions prior to start of work.
- L. Maintain within the field office a complete and current set of Contract Documents (including any Addenda, Change Orders, etc.), reviewed Product Data, Shop Drawings, Samples, Color Schedules and other data pertinent to the Project.
- Trenching, excavation, and backfill for the Work of the Contract shall be provided by the M. Contractor, unless noted otherwise.
- N. Cutting and patching for the Work of each Contract shall be provided by each Contract for its own Work.
- O. Contractor shall be responsible for firestopping pertaining to their scope of work.
- P. The Contractor shall be responsible for de-watering all excavations pertaining to their scope of work for the duration that the excavations remain open.

- The Contractor is to survey existing work and submit to the Owner a list of damaged O. areas prior to commencing work. Any damaged areas not identified prior to the work shall be the responsibility of the Contractor(s) working in that area.
- Clean up: The Contractor is to stockpile his debris on a daily basis, and place it in the R. appropriate dumpster. Dumpsters shall be provided by the Contractor.
- S. The Contractor is responsible for cabling or roping all their openings, excavations, etc. in an OSHA approved manner, and to provide all necessary fall protection.

#### 1.9 **CONTRACT NO. 1 – ALL WORK**

- Work in the Contract includes, but is not limited to, the following: A.
  - All work indicated in the Contract Documents. 1.
- B. Temporary facilities and controls include, but are not limited to, the following:
  - Provide dumpsters for all debris resulting from work of this Contract. Remove dumpsters within 2 hours of being full and haul off site to a legal dumpsite. Pay all costs associated with providing the dumpsters and removing project debris from the job site.
  - Maintain emergency exits and means of egress to/from work areas of this Contract. 2.
  - Provide and maintain (minimum number as required by OSHA) temporary toilets 3. for Contractor use complete with periodic cleaning as required to service the project throughout construction.
  - 4. Job Signs and Safety Signage at work areas of this Contract.
  - Final Cleaning at work areas of this Contract. 5.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

# SECTION 01 2100 - ALLOWANCES

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes administrative and procedural requirements governing allowances.
  - 1. Allowances have been established in lieu of additional requirements and to defer selection of actual materials and equipment to a later date when additional information is available for evaluation. If necessary, additional requirements will be issued by Change Order.
- B. Types of allowances include the following:
  - 1. Contingency allowances.

# 1.2 SELECTION AND PURCHASE

- A. Within 15 working days after award of the Contract, advise Architect of the date when final selection and purchase of each product or system described by an allowance must be completed to avoid delaying the Work.
- B. At Architect's request, obtain proposals for each allowance for use in making final selections. Include recommendations that are relevant to performing the Work.
- C. Purchase products and systems selected by Architect from the designated supplier.

### 1.3 SUBMITTALS

- A. Submit proposals for purchase of products or systems included in allowances, in the form specified for Change Orders.
- B. Submit invoices or delivery slips to show actual quantities of materials delivered to the site for use in fulfillment of each allowance.

### 1.4 CONTINGENCY ALLOWANCES

- A. Use the contingency allowance only as directed by Architect for Owner's purposes.
- B. At Project closeout, credit unused amounts remaining in the contingency allowance to Owner via Change Order.

# 1.5 ALLOWANCE DISBURSEMENT "REQUEST FOR PROPOSALS"

- A. Submit proposals for changes in the scope in the form of the "Request for Proposal" as described in Division 1, specification section entitled "CONTRACT MODIFICATION PROCEDURES".
- B. Once all parties have agreed to the terms and methods of the change, a Change Order will be issued.

# 1.6 UNUSED MATERIALS

A. Return unused materials purchased under an allowance to manufacturer or supplier for credit to Owner, after installation has been completed and accepted.

1. If requested by Architect, prepare unused material for storage by Owner when it is not economically practical to return the material for credit. If directed by Architect, deliver unused material to Owner's storage space. Otherwise, disposal of unused material is Contractor's responsibility.

# PART 2 - PRODUCTS (Not Used)

### PART 3 - EXECUTION

# 3.1 EXAMINATION

A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

# 3.2 PREPARATION

A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

### 3.3 CONTRACT SCHEDULE OF ALLOWANCES

- A. CONTRACT NO. 1 GENERAL CONSTRUCTION WORK: Include a contingency allowance of \$45,000.00 for use according to the Owner's instructions, as part of the base bid. Unused allowance will be credited back to the Owner at the completion of the project.
- B. CONTRACT NO. 2 HVAC WORK: Include a contingency allowance of \$25,000.00 for use according to the Owner's instructions, as part of the base bid. Unused allowance will be credited back to the Owner at the completion of the project.
- C. CONTRACT NO. 3 ELECTRICAL WORK: Include a contingency allowance of \$10,000.00 for use according to the Owner's instructions, as part of the base bid. Unused allowance will be credited back to the Owner at the completion of the project.

### SECTION 01 2200 - UNIT PRICES

# PART 1 - GENERAL

### 1.1 **SUMMARY**

A. This Section includes administrative and procedural requirements for unit prices.

# 1.2 **DEFINITIONS**

A. Unit price is an amount proposed by bidders, stated on the Bid Form, as a price per unit of measurement for materials or services added to or deducted from the Contract Sum by appropriate modification, if Work required by the Contract Documents is increased or decreased.

### 1.3 PROCEDURES

- A. Unit prices include all necessary material, plus cost for delivery, installation, insurance, overhead, and profit.
- B. Measurement and Payment: Refer to individual Specification Sections for work that requires establishment of unit prices.
- C. Owner reserves the right to reject Contractor's measurement of work-in-place that involves use of established unit prices and to have this work measured, at Owner's expense, by an independent surveyor acceptable to Contractor.
- D. List of Unit Prices: Unit prices are included on the Form of Proposal.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

# CONTRACT MODIFICATION PROCEDURES

### SECTION 01 2600 - CONTRACT MODIFICATION PROCEDURES

# PART 1 - GENERAL

#### **SUMMARY** 1.1

A. This Section specifies administrative and procedural requirements for handling and processing Contract modifications.

#### 1.2 MINOR CHANGES IN THE WORK

Architect will issue supplemental instructions authorizing Minor Changes in the Work, not in-A. volving adjustment to the Contract Sum or the Contract Time, on AIA Document G710, "Architect's Supplemental Instructions."

#### PROPOSAL REQUESTS 1.3

- Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed A. changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - Proposal Requests issued by Architect are for information only. Do not consider them 1. instructions either to stop work in progress or to execute the proposed change.
  - Within time specified in Proposal Request after receipt of Proposal Request, submit a 2. quotation for cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - Indicate applicable taxes, delivery charges, equipment rental, and amounts of b. trade discounts.
    - Include an updated Contractor's Construction Schedule that indicates the effect of c. the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
- B. Contractor-Initiated Proposals: If latent or unforeseen conditions require modifications to the Contract, Contractor may propose changes by submitting a request for a change.
  - Include a statement outlining reasons for the change and the effect of the change on the 1. Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  - Include a list of quantities of products required or eliminated and unit costs, with total 2. amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  - Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade dis-3. counts.
  - 4. Include an updated Contractor's Construction Schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  - Comply with requirements in Division 1 Section "Product Requirements" if the proposed 5. change requires substitution of one product or system for product or system specified.
- C. Proposal Request Form: The form included in Specification Section entitled "PROJECT FORMS AND RELATED DOCUMENTS" shall be used for Proposal Requests.

# 14428.11

# 1.4 CHANGE ORDER PROCEDURES

A. On Owner's approval of a Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on form AIA Document G701.

# 1.5 CONSTRUCTION CHANGE DIRECTIVE

- A. Construction Change Directive: Architect may issue a Construction Change Directive on AIA Document G714. A Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
  - 1. A Construction Change Directive shall contain a complete description of change in the Work. It shall also designate methods to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
  - 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

### SECTION 01 2900 - PAYMENT PROCEDURES

# PART 1 - GENERAL

### 1.1 SUMMARY

A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

# 1.2 **DEFINITIONS**

A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment. Contractors to list unit prices and allowances as part of the schedule.

### 1.3 SCHEDULE OF VALUES

- A. Coordination: Each prime Contractor shall coordinate preparation of its Schedule of Values for its part of the Work with preparation of the Contractor's Construction Schedule.
  - 1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:
    - a. Application for Payment forms with Continuation Sheets.
    - b. Submittals Schedule.
    - c. List of Subcontractors.
    - d. Alternates Schedule.
  - 2. Submit the Schedule of Values to Architect at earliest possible date but no later than seven days before the date scheduled for submittal of initial Applications for Payment.
  - 3. Subschedules: Where the Work is separated into phases requiring separately phased payments, provide subschedules showing values correlated with each phase of payment.
- B. Format and Content: Use the Project Manual Table of Contents as a guide to establish line items for the Schedule of Values. Provide at least one line item for each Specification Section.
  - 1. Identification: Include the following Project identification on the Schedule of Values:
    - a. Project name and location.
    - b. Name of Architect.
    - c. SED Project numbers
    - d. Contractor's name and address.
    - e. Date of submittal.
  - 2. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:
    - a. Related Specification Section or Division.
    - b. Description of the Work.
    - c. Name of subcontractor.
    - d. Name of manufacturer or fabricator.
    - e. Name of supplier.
    - f. Change Orders (numbers) that affect value.
    - g. Dollar value.
      - 1) Percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.
  - 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual table of contents. Provide several line items for principal subcontract amounts, where appropriate.
  - 4. Round amounts to nearest whole dollar; total shall equal the Contract Sum.

- 5. Each site will have its own SED identification number and shall be considered a separate project.
- 6. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
  - a. Differentiate between items stored on-site and items stored off-site. Include evidence of insurance or bonded warehousing if required.
- 7. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
- 8. Allowances: Provide a separate line item in the Schedule of Values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.
- 9. Provide separate line items for Punch List, and Project Close-out.
- 10. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
  - a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at Contractor's option.
- 11. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.

# 1.4 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
  - 1. The initial Application for Payment, the Application for Payment at time of Substantial Completion, and the final Application for Payment involve additional requirements.
- B. Payment-Application Times: The date for each progress payment is the 25<sup>th</sup> day of each month (or as designated by the Owner). The period covered by each Application for Payment is the previous month.
- C. Payment-Application Forms: Use AIA Document G702 and AIA Document G703 Continuation Sheets as the form for Application for Payment.
  - 1. Separate Continuation Sheets shall be provided for work, which takes place on each building, which will detail that portion of the contract, which is attributable to the specific building. The appropriate SED project numbers shall be shown on the top of each separate project.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  - 1. Entries shall match data on the Schedule of Values and the Contractor's Construction Schedule. Use updated schedules if revisions were made.
  - 2. Include amounts of Change Orders, Allowance Disbursements and Construction Change Directives issued prior to the last day of the construction period covered by the application.
  - 3. Copies of the approved allowance disbursement forms
  - 4. Provide copies of payrolls (including subcontractors) that are signed and notarized, documenting compliance with prevailing wage laws.

- E. Transmittal: Submit (3) signed and notarized original copies of each Application for Payment, along with waivers of lien and similar attachments, to the Architect by a method ensuring receipt within 24 hours.
  - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information related to the application, in a manner acceptable to the Architect.
- F. Waivers of Mechanics Lien: With each Application for Payment after the first, submit waivers of mechanics liens from subcontractors, sub-subcontractors and suppliers for the construction period covered by the previous application.
  - 1. Submit partial waivers on each item for the amount requested, prior to deduction for retainage, on each item.
  - 2. When an application shows completion of an item, submit final or full waivers.
  - 3. The Owner reserves the right to designate which entities involved in the Work must submit waivers.
    - a. Submit final Applications for Payment with or preceded by final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  - 4. Waiver Forms: Submit waivers of lien on forms, and executed in a manner, acceptable to the Owner.
- G. Initial Application for Payment: Administrative actions and submittals, that must precede or coincide with submittal for the first Application for Payment include the following. The initial payment application will not be processed until all of these actions and submittals have been received by the Architect.
  - 1. Schedule of Values
  - 2. Performance and payment bonds List of principal suppliers and fabricators
  - 3. Worker Compensation certificates
  - 4. Auto Insurance
  - 5. Safety Program
  - 6. Contractor's Construction Schedule
  - 7. Submittal Schedule
  - 8. Emergency Contact List
  - 9. Substitution List
  - 10. List of subcontractors
  - 11. Copies of authorizations and licenses from governing authorities for performance of the Work
  - 12. Data needed to acquire the Owner's insurance
  - 13. Certified Payroll
- H. Application for Payment at Substantial Completion: Following assurance of the Certificate of Substantial Completion, submit an Application for Payment.
  - 1. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
  - 2. Administrative actions and submittals that shall precede or coincide with this application include:
    - a. Occupancy permits and similar approvals
    - b. Warranties (guarantees) and maintenance agreements
    - c. Test/adjust/balance records
    - d. Maintenance instructions
    - e. Meter readings
    - f. Start-up performance reports
    - g. Change-over information related to Owner's occupancy, use, operation and maintenance
    - h. Final cleaning

- i. Application for reduction of retainage and consent of surety
- j. Advice on shifting insurance coverages
- k. Final progress photographs
- 1. List of incomplete Work, recognized as exceptions to Architect's Certificate of Substantial Completion
- I. Final Payment Application: Administrative actions and submittals that must precede or coincide with submittal of the final Application for Payment include the following:
  - 1. Evidence of completion of Project closeout requirements.
  - 2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  - 3. Updated final statement, accounting for final changes to the Contract Sum.
  - 4. AIA Document G706, "Contractor's Affidavit of Payment of Debts and Claims."
  - 5. AIA Document G706A, "Contractor's Affidavit of Release of Liens."
  - 6. AIA Document G707, "Consent of Surety to Final Payment."
  - 7. Evidence that claims have been settled.
  - 8. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
  - 9. Final, liquidated damages settlement statement.
  - 10. Ensure that incomplete Work is not accepted and will be completed without undue delay.
  - 11. Transmittal of required Project construction records to the owner
  - 12. Certified property survey.
  - 13. Proof that taxes, fees, and similar obligations were paid
  - 14. Removal of temporary facilities and services
  - 15. Removal of surplus materials, rubbish, and similar elements
  - 16. Change of door locks to Owner's access.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

### SECTION 01 3100 - PROJECT MANAGEMENT AND COORDINATION

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. Administrative and supervisory personnel.
  - 2. Project meetings.
  - 3. Requests for Interpretation (RFIs).
- B. Related Sections include the following:
  - 1. Division 01 Section "Construction Progress Documentation" for preparing and submitting Contractor's Construction Schedule.
  - 2. Division 01 Section "Execution Requirements" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
  - 3. Division 01 Section "Closeout Procedures" for coordinating closeout of the Contract.

### 1.3 DEFINITIONS

A. RFI: Request from Contractor seeking interpretation or clarification of the Contract Documents.

### 1.4 COORDINATION

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components with other contractors to ensure maximum accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.

- 4. Where availability of space is limited, coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair of all components, including mechanical and electrical.
- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
  - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  - 1. Preparation of Contractor's Construction Schedule.
  - 2. Preparation of the Schedule of Values.
  - 3. Installation and removal of temporary facilities and controls.
  - 4. Delivery and processing of submittals.
  - 5. Progress meetings.
  - 6. Preinstallation conferences.
  - 7. Project closeout activities.
  - 8. Startup and adjustment of systems.
  - 9. Project closeout activities.
- D. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.

# 1.5 SUBMITTALS

- A. Key Personnel Names: Prior to starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel for the Project. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home and office telephone numbers. Provide names, addresses, and telephone numbers of individuals assigned as standbys in the absence of individuals assigned to Project.
  - 1. Post copies of list in Project meeting room, in temporary field office, and by each temporary telephone. Keep list current at all times.

### 1.6 PROJECT MEETINGS

A. General: Schedule and conduct meetings and conferences at Project site, unless otherwise indicated.

- 1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times.
- 2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
- 3. Minutes: Record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Architect, within three days of the meeting.
- B. Preconstruction Conference: Schedule a preconstruction conference before starting construction, at a time convenient to Owner and Architect. Hold the conference at Project site. Conduct the meeting to review responsibilities and personnel assignments.
  - 1. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. All participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 2. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Tentative construction schedule.
    - b. Critical work sequencing and long-lead items.
    - c. Designation of key personnel and their duties.
    - d. Procedures for processing field decisions and Change Orders.
    - e. Procedures for RFIs.
    - f. Procedures for testing and inspecting.
    - g. Procedures for processing Applications for Payment.
    - h. Distribution of the Contract Documents.
    - i. Submittal procedures.
    - j. Preparation of Record Documents.
    - k. Use of the premises and existing building.
    - 1. Work restrictions.
    - m. Owner's occupancy requirements.
    - n. Responsibility for temporary facilities and controls.
    - o. Construction waste management and recycling.
    - p. Parking availability.
    - q. Office, work, and storage areas.
    - r. Equipment deliveries and priorities.
    - s. First aid.
    - t. Security.
    - u. Progress cleaning.
    - v. Working hours.
  - 3. Minutes: Record and distribute meeting minutes.
- C. Progress Meetings: Conduct progress meetings at weekly intervals. Coordinate dates of meetings with preparation of payment requests.

- 01 3100-4
- 1. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
- 2. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
  - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's Construction Schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
    - 1) Review schedule for next period.
  - b. Review present and future needs of each entity present, including the following:
    - 1) Interface requirements.
    - 2) Sequence of operations.
    - 3) Status of submittals.
    - 4) Deliveries.
    - 5) Off-site fabrication.
    - 6) Access.
    - 7) Site utilization.
    - 8) Temporary facilities and controls.
    - 9) Work hours.
    - 10) Hazards and risks.
    - 11) Progress cleaning.
    - 12) Quality and work standards.
    - 13) Status of correction of deficient items.
    - 14) Field observations.
    - 15) RFIs.
    - 16) Status of proposal requests.
    - 17) Pending changes.
    - 18) Status of Change Orders.
    - 19) Pending claims and disputes.
    - 20) Documentation of information for payment requests.
- 3. Minutes: Record the meeting minutes.
- 4. Reporting: Distribute minutes of the meeting to each party present and to parties who should have been present.
  - a. Schedule Updating: Revise Contractor's Construction Schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

# 1.7 REQUESTS FOR INTERPRETATION (RFIs)

- A. Procedure: Immediately on discovery of the need for interpretation of the Contract Documents, and if not possible to request interpretation at Project meeting, prepare and submit an RFI in the form specified.
  - 1. RFIs shall originate with Contractor. RFIs submitted by entities other than Contractor will be returned with no response.
  - 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing interpretation and the following:
  - 1. Project name.
  - 2. Date.
  - 3. Name of Contractor.
  - 4. Name of Architect.
  - 5. RFI number, numbered sequentially.
  - 6. Specification Section number and title and related paragraphs, as appropriate.
  - 7. Drawing number and detail references, as appropriate.
  - 8. Field dimensions and conditions, as appropriate.
  - 9. Contractor's suggested solution(s). If Contractor's solution(s) impact the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  - 10. Contractor's signature.
  - 11. Attachments: Include drawings, descriptions, measurements, photos, Product Data, Shop Drawings, and other information necessary to fully describe items needing interpretation.
    - a. Supplementary drawings prepared by Contractor shall include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments.
- C. Hard-Copy RFIs: Use form included in Section Project Forms.
  - 1. Identify each page of attachments with the RFI number and sequential page number.
- D. Architect's Action: Architect will review each RFI, determine action required, and return it. Allow seven working days for Architect's response for each RFI. RFIs received after 1:00 p.m. will be considered as received the following working day.
  - 1. The following RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for coordination information already indicated in the Contract Documents.
    - d. Requests for adjustments in the Contract Time or the Contract Sum.
    - e. Requests for interpretation of Architect's actions on submittals.
    - f. Incomplete RFIs or RFIs with numerous errors.

- 2. Architect's action may include a request for additional information, in which case Architect's time for response will start again.
- 3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Division 01 Section "Contract Modification Procedures."
  - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 10 days of receipt of the RFI response.
- E. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within 7 days if Contractor disagrees with response.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

# SECTION 01 3150 - COVID-19 CONTRACTOR COMPLIANCE

The contents of this Section are <u>NOT</u> authored by the Owner, Architect of Record, Engineers of Record, nor the Construction Manager (if any), but are provided as guidelines published by others, including but not limited to, the CDC, OSHA, etc.

1.1 In response to the public health emergency for the COVID-19, Governor Andrew Cuomo has declared a State disaster emergency and temporarily suspended or modified laws that would prevent, hinder, or delay action necessary to cope with the disaster or emergency. The Governor has also issued directives to allow for the expansion of certain services including those relating to emergency procurement, and to facilitate the continued work of essential businesses. Under Executive Order 202.6, as amended March 27, 2020, a construction project is permitted to continue if it is essential. Please refer to Empire State Development (ESD) guidance to determine if your project is essential <a href="https://esd.ny.gov/guidance-executive-order-2026">https://esd.ny.gov/guidance-executive-order-2026</a>. The purpose of this guidance is to set forth the recommended practices for all Contractors performing work at construction sites in the context of the COVID-19 health crisis.

# A. Contractor Responsibilities:

Under standard contracting agency/authority agreements,

- 1. Contractors and their subcontractors are always required to guard the safety and health of all persons on and in the vicinity of the work site
- 2. Contractors and their subcontractors are required to comply with all applicable rules, regulations, codes, and bulletins of the New York State Department of Labor and the standards imposed under the Federal Occupational Safety and Health Act of 1970, as amended ("OSHA")
- 3. Contractors and their subcontractors are also required to comply with all Client safety requirements
- 4. Contractors and their subcontractors must comply with all City or State of New York safety requirements for projects within the City or State of New York constructed in accordance with the applicable building code, and contractors are required to provide written safety plans for the site showing how all safety requirements of applicable law will be implemented for the duration of the contract
- 5. Contractors will comply with these requirements as part of their contract, as well as any updates / revisions which are subsequently issued by the governing agencies.
- 1.2 Contractors and their subcontractors must also adhere to the following practices to help prevent exposure and spread of COVID-19. The following recommendations are based on what is currently known about COVID-19. Contractors and their subcontractors are advised to stay current and immediately implement the most up-to-date practices to protect the safety and health of your employees, clients, and the general public.

# A. Contractor Submittals

- 1. All contractors are required to submit a copy of their own company policy which confirms their compliance with these requirements and demonstrates your workers will properly comply.
- 2. Include in your submission the name of the designated individual who will be onsite.

# B. General Responsibilities:

- Contractors and their subcontractors should educate their employees on the symptoms of COVID-19, which include cough, fever, trouble breathing, and pneumonia. Contractors and their subcontractors must instruct any employee who feels they may meet the above criteria to refrain from reporting to the jobsite and immediately contact their local health department in the county in which they reside.
- 2. If the employee begins to exhibit these symptoms while in the workplace, steps should be taken to isolate the individual, place a surgical mask on the individual and inform your local health department and the contracting agency/authority.
- 3. Personnel should be advised to self-quarantine in accordance with the requirements of the New York State and local health department. Contracting agencies/authorities reserve the right to require any employee of the Contractor, and their subcontractors exhibiting symptoms, to be removed from the jobsite.
- 4. If an employee is confirmed to have COVID-19 infection, contractors and their subcontractors should inform fellow employees, who have been in contact with this employee, of their possible exposure to COVID-19 in the workplace while maintaining confidentiality as required by applicable New York State and federal law. The fellow employees should then self-monitor for symptoms (i.e., cough, fever, trouble breathing, and pneumonia) and self-quarantine in accordance with the requirements of the New York State and local health department.
- 5. If an employee tests positive for COVID-19, Contractors and their subcontractors should direct the employee to self-quarantine or remain quarantined for 14 days, following the guidance of New York State and local health department.
- 6. Contractors and their subcontractors may permit such employee to return to the jobsite when this employee produces a negative COVID-19 test or receives medical clearance to return to work.
- 7. If an employee tests negative for COVID-19, contractors and their subcontractors may direct the employee to return to work after recovery from their illness. Any direct contacts on pre-cautionary quarantine may return to the jobsite and resume their work activities.

### C. Social Distancing:

- 1. Do not host large group meetings or congregate in large groups. When meetings are necessary, maintain a distance of 6 feet between people
- 2. Perform any toolbox or other training maintaining the distance of 6 feet between people
- 3. Perform meetings online or via conference call whenever possible
- 4. Only essential personnel should be permitted on the jobsite
- 5. Discourage handshaking and other contact greetings

# D. General Jobsite Practices:

- 1. Procedures and supplies should be in place to encourage proper hand and respiratory hygiene. (General contractor is required to provide and install a self-contained temporary washing station(s) for use by all workers)
  - a. Hand Hygiene:
    - Signage with handwashing procedures should be posted in prominent locations promoting hand hygiene:

- 1. Regular handwashing with soap and water for at least 20 seconds should be done:
  - o Before and after eating.
  - o After sneezing, coughing, or nose blowing
  - o After using the restroom
  - o Before handling food
  - o After touching or cleaning surfaces that may be contaminated
  - o After using shared equipment and supplies; and also
  - O Whenever a contractor or subcontractor believes it is necessary
- 2. If soap and water are not available, use an alcohol-based hand sanitizer that contains at least 60% alcohol
- b. Respiratory Hygiene:
  - 1. ALL EMPLOYEES MUST WEAR FACE MASK PROTECTION AT ALL TIMES TO COVER MOUTH AND NOSE
  - 2. Covering coughs and sneezes with tissues or the corner of elbow
  - 3. Disposing of soiled tissues immediately after use
- 2. At the end of each work shift each Contractor will perform routine environmental cleaning and disinfecting of all frequently touched surfaces on the jobsite. This includes corridor surfaces, doorknobs, workstations, project trailers and offices, portable toilets, countertops, handles, gang boxes, tools and equipment. See OSHA Guidance on Preparing Workplaces for COVID-19. <a href="https://www.osha.gov/Publications/OSHA3990.pdf">www.osha.gov/Publications/OSHA3990.pdf</a>
- 3. Appropriate cleaning agents and directions should be utilized to perform all cleaning. Ensure all workers are trained on the hazards of cleaning chemicals used in the workplace and comply with all OSHA requirements regarding same in accordance with the Hazard Communication (Global Harmonization) Standard. Information about <a href="https://coronavirus.health.ny.gov/home">https://coronavirus.health.ny.gov/home</a>
- 4. Do not use a common water bottle
- 5. If using a common water cooler clean dispenser knob after use
- 6. Do not share tools
- 7. Utilize personal protection equipment (PPE) for the job being performed
- 8. Sanitize reusable PPE per manufacturer's recommendation prior to each use
- 9. Do not share PPE
- 10. Ensure used PPE and other trash is disposed of properly
- 11. Utilize disposable gloves where appropriate and instruct workers to wash hands after removing gloves
- 12. Disinfect reusable supplies and equipment
- 13. Stagger work schedules to minimize the number of people on a job site at any one time
- 14. Keep one contractor or subcontractor in an area at a time. Indicate an area is occupied with workers with a sign or flag indicating which contractor or subcontractor is in the area at that time. Remove the sign or flag after completion of work in that area to let others know they may then enter into that area to perform their work. The next contractor or subcontractor will then post their sign or flag to notify others that the area is occupied.
- 15. Minimize the number of workers in an area as much as possible by using indicators of an occupied area (signs or flags) scheduling work activities to stagger those required to be in any one time to a minimal number of workers.

- 16. Minimize entryways into a work area so that employees will be able to observe flagging practices described above. Do not reduce number of emergency exits.
- 17. Avoid cleaning techniques, such as pressurized air or water sprays that may result in generation of bioaerosols
- 1.3 Contracting agencies/authorities may request an updated written safety plan for the site to address practices to help prevent exposure and spread of COVID-19 at the jobsite pursuant to New York State, OSHA recommendations and Centers for Disease Control requirements, which include:
  - Assessment of potential worker exposure hazards, taking into account the specific recommendations and controls for the four levels of worker exposure risk identified in OSHA's Guidance on Preparing Workplaces for COVID-19 (i.e. very high, high, med, Low)
  - 2. Selecting, implementing, and ensuring the use of control (i.e., social distancing appropriate personal protective equipment, hygiene, and cleaning supplies);
  - 3. Minimizing the number of workers in an area as much as possible by using indicators of an occupied area (signs or flags) and scheduling work activities to stagger those required to be in any one area to a minimal number of workers.
  - 4. Minimize entryways into a work area so that employees will be able to observe flagging practices described above. Do not reduce number of emergency exists; and
  - 5. Additional criteria consistent with health and safety practices at the work site

# 1.4 Project Closure:

- 1. Where work is suspended on a project, contractors are directed to follow any additional project shut-down protocols as provided by the contracting agency/authority
- 2. For NYS Business Reopening Safety Plan Template and Construction Master Guidance Plan please refer to below links:

 $\frac{https://www.governor.ny.gov/sites/governor.ny.gov/files/atoms/files/NYS\_BusinessReopeningSafetyPlanTemplate.pdf$ 

 $\underline{https://www.governor.ny.gov/sites/governor.ny.gov/files/atoms/files/ConstructionMasterGuid ance.pdf}$ 

# E. For additional resources:

OSHA COVID-19 Resources

OSHA Guidance on Preparing Workplaces for COVID-19

**DOL COVID-19 Resources** 

Interim Guidance for Business and Employers

Centers for Disease Control - - https://www.cdc.gov/coronavirus/2019-ncov/index.html

### SECTION 01 3200 - CONSTRUCTION PROGRESS DOCUMENTATION

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Preliminary Construction Schedule.
  - 2. Contractor's Construction Schedule.
  - 3. Submittals Schedule.
  - 4. Daily construction reports.
  - 5. Material location reports.
  - 6. Field condition reports.

### 1.2 **DEFINITIONS**

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.
  - 1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
  - 2. Predecessor activity is an activity that must be completed before a given activity can be started.
- B. Event: The starting or ending point of an activity.
- C. Fragnet: A partial or fragmentary network that breaks down activities into smaller activities for greater detail.
- D. Major Area: A story of construction, a separate building, or a similar significant construction element.
- E. Milestone: A key or critical point in time for reference or measurement.

### 1.3 SUBMITTALS

- A. Qualification Data: For firms and persons specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- B. Submittals Schedule: Submit five (5) copies of schedule. Arrange the following information in a tabular format:
  - 1. Scheduled date for first submittal.
  - 2. Specification Section number and title.
  - 3. Submittal category (action or informational).
  - 4. Name of subcontractor.
  - 5. Description of the Work covered.
  - 6. Scheduled date for Architect's final release or approval.
- C. Contractor's Construction Schedule: three (3) printed copies of initial schedule, one a reproducible print and one a blue- or black-line print, large enough to show entire schedule for entire construction period.
  - 1. Submit an electronic copy of schedule, using software indicated, on compact disc, and labeled to comply with requirements for submittals. Include type of schedule (Initial or Updated), and date, on label.

### 1.4 COORDINATION

- A. Coordinate Contractor's Construction Schedule with the Schedule of Values, list of subcontracts, Submittals Schedule, progress reports, payment requests, and other required schedules and reports.
  - 1. Secure time commitments for performing critical elements of the Work from parties involved.
  - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

# PART 2 - PRODUCTS

### 2.1 SUBMITTALS SCHEDULE

- A. Preparation: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, resubmittal, ordering, manufacturing, fabrication, and delivery when establishing dates.
  - 1. Coordinate Submittals Schedule with list of subcontracts, the Schedule of Values, and Contractor's Construction Schedule.
  - 2. Within 30 days after Notice to Proceed:
    - a. Structural Steel,
    - b. Soil proctors,
    - c. Concrete mix designs,
    - d. Billet steel shop drawings,
    - e. HVAC components,
    - f. Electrical panels,
    - g. and all other submittals required to commence work and long-lead items critical to job schedule
  - 3. Balance of Submittals within 60 days after Notice to Proceed.
  - 4. Upon approval by the Architect, non-critical submittals may be transmitted later.
- B. Prepare a written schedule (or log) showing each specification item to be submitted, projected date into architect for review, lead time for procurement and required on job date.
- C. Distribution: Following response to the initial submittal, print and distribute copies for distribution to the Architect, Owner, and other parties required to comply with submittal dates indicated. Post copies in the Project meeting room and field office.
  - 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- D. Schedule Updating: Revise the submittal schedule after each meeting or activity where revisions have been recognized or made. Issue the updated schedule concurrently with the report of each meeting.

# 2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Bar-Chart Schedule: Prepare a fully developed, horizontal bar-chart-type, contractor's construction schedule. Submit within 2 weeks days after Notice to Proceed is issued.
  - 1. Provide a separate time bar for each significant construction activity. Show ordering and delivery times of all long-lead equipment and materials. Provide a continuous vertical line to identify the first working day of each week. Use the same breakdown of units of the Work as indicated in the "Schedule of Values".
  - 2. Within each time bar, indicate estimated completion percentage in 10 percent increments. As Work progresses, place a contracting mark in each bar to indicate Actual Completion.

- 3. Prepare the schedule on a sheet, or series of sheets, of stable transparency, or other reproducible media, of sufficient width to show data for the entire construction period.
- 4. Secure time commitments for performing critical elements of the Work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the Work. Show each activity in proper sequence. Indicate graphically the sequences necessary for completion of related portions of the Work.
- 5. Coordinate the Contractor's Construction Schedule with the Schedule of Values, list of subcontracts, Submittal Schedule, progress reports, payment requests, and other schedules.
- 6. Indicate completion in advance of the date established for Substantial Completion. Indicate Substantial Completion on the schedule to allow time for the Architect's procedures necessary for certification of Substantial Completion.
- 7. The General Construction Contractor shall be responsible for coordination of Prime Contractors. Each Prime Contractor is to coordinate the work of each other Prime Contractor so that the work and schedule is not impeded. The contractors shall modify schedules to the General Construction Contractor's master CPM schedule from commencement of work to completion of work.
- B. Work Stages: Indicate important stages of construction for each major portion of the Work, including submittal review, testing, and installation.
- C. Cost Correlation: At the head of the schedule, provide a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of Work performed as of the dates used for preparation of payment requests.
  - 1. Refer to Division 1 Section "APPLICATIONS FOR PAYMENT" for cost reporting and payment procedures.
- D. Distribution: Following response to the initial submittal, print and forward copies to the Architect for distribution to the Architect, Owner, subcontractors, and other parties required to comply with scheduled dates. Post copies in the Project meeting room and temporary field office.
  - 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- E. Schedule Updating: Revise the schedule after each meeting, event, or activity where revisions have been recognized or made. Issue the updated schedule concurrently with the report of each meeting.
- F. Computer Software: Prepare schedules using a program that has been developed specifically to manage construction schedules.

# 2.3 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site and submit duplicate copies to the Architect at weekly intervals:
  - 1. List of subcontractors at Project site.
  - 2. List of separate contractors at Project site.
  - 3. Approximate count of personnel at Project site.
  - 4. High and low temperatures and general weather conditions.
  - 5. Accidents.
  - 6. Meetings and significant decisions.
  - 7. Unusual events (refer to special reports).
  - 8. Stoppages, delays, shortages, and losses.

01 3200-4

- 9. Meter readings and similar recordings.
- 10. Emergency procedures.
- 11. Orders and requests of authorities having jurisdiction.
- 12. Change Orders received and implemented.
- 13. Construction Change Directives received.
- 14. Services connected and disconnected.
- 15. Equipment or system tests and startups.
- 16. Partial Completions and occupancies.
- 17. Substantial Completions authorized.
- B. Material Location Reports: At weekly intervals, prepare a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.
- C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare a detailed report. Submit with a request for information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

### 2.4 SPECIAL REPORTS

- A. General: Submit special reports directly to, Architect within one day of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, and response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

PART 3 - EXECUTION (Not Used)

# SECTION 01 3300 - SUBMITTAL PROCEDURES

# PART 1 - GENERAL

### 1.1 SUMMARY

A. This Section includes administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other miscellaneous submittals.

# 1.2 **DEFINITIONS**

- A. Action Submittals: Written and graphic information that requires Architect's responsive action.
- B. Informational Submittals: Written information that does not require Architect's approval. Submittals may be rejected for not complying with requirements.
- C. Field samples are full-size physical examples erected on site to illustrate finished, coatings, or finish materials. Field samples are used to establish the standard by which the Work will be judged.
- D. Mock-ups are full-size assemblies for review of construction, coordination, testing, or operation; they are not Samples.

# 1.3 SUBMITTAL PROCEDURES

- A. General: Electronic copies of CAD Drawings of the Contract Drawings will not be provided by Architect for Contractor's use in preparing submittals.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- C. Submittals Schedule: Comply with requirements in Division 1 Section "CONSTRUCTION PROGRESS DOCUMENTATION" for list of submittals and time requirements for scheduled performance of related construction activities.
- D. Processing Time: Allow enough time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal.
  - 1. Initial Review: Allow ten (10) working days for initial review of each submittal. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  - 2. If intermediate submittal is necessary, process it in same manner as initial submittal.
  - 3. Allow ten (10) working days for processing each resubmittal.
  - 4. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing.
- E. Identification: Place a permanent label or title block on each submittal for identification.
  - 1. Indicate name of firm or entity that prepared each submittal on label or title block.

- 2. Provide a space approximately 4 by 5 inches on label or beside title block to record Contractor's review and approval markings and action taken by Architect.
- 3. Include the following information on label for processing and recording action taken:
  - a. Project name.
  - b. Date.
  - c. Name and address of Architect.
  - d. Name and address of Contractor.
  - e. Name and address of subcontractor.
  - f. Name and address of supplier.
  - g. Name of manufacturer.
  - h. Unique identifier, including revision number.
  - i. Number and title of appropriate Specification Section.
  - j. Drawing number and detail references, as appropriate.
  - k. Other necessary identification.
- F. Deviations: Highlight, encircle, or otherwise identify deviations from the Contract Documents on submittals.
- G. Additional Copies: Submit four more copies of each submittal than the number to be returned to the Contractor (example: if Contractor needs 3 copies returned, then 7 copies shall be submitted). Unless additional copies are required for final submittal, and unless Architect observes noncompliance with provisions of the Contract Documents, initial submittal may serve as final submittal.
- H. Transmittal: Package each submittal individually and appropriately for transmittal and handling. Attach the Submittal Cover Sheet (see Section 00331) to each copy of each submittal. Architect will return submittals, without review, received from sources other than Contractor.
  - On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect on previous submittals, and deviations from requirements of the Contract Documents, including minor variations and limitations. Include the same label information as the related submittal.
  - 2. Include Contractor's certification stating that information submitted complies with requirements of the Contract Documents.
  - 3. Transmittal Form: Use sample form in Section 00 0331.
- I. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- J. Use for Construction: Use only final submittals with mark indicating action taken by Architect in connection with construction.

# PART 2 - PRODUCTS

# 2.1 ACTION SUBMITTALS

- A. General: Prepare and submit Action Submittals required by individual Specification Sections.
  - 1. Number of Copies: Submit four more copies of each submittal than the number to be returned to the Contractor (example: if Contractor needs 3 copies returned, then 7 copies shall be submitted). Retain one returned copy as a Project Record Document.
- B. Collect Product Data into a single submittal for each system or element of construction. Mark each copy to show specific product choices and options applicable to the project. Product Data shall include the following information, where applicable:
  - 1. Mark each copy of each submittal to show which products and options are applicable.

- 2. Manufacturer's written recommendations.
- 3. Manufacturer's product specifications.
- 4. Manufacturer's installation instructions.
- 5. Standard color charts.
- 6. Manufacturer's catalog cuts.
- 7. Wiring diagrams showing factory-installed wiring.
- 8. Printed performance curves.
- 9. Operational range diagrams.
- 10. Mill reports.
- 11. Standard product operating and maintenance manuals.
- 12. Compliance with recognized trade association standards.
- 13. Compliance with recognized testing agency standards.
- 14. Application of testing agency labels and seals.
- 15. Notation of coordination requirements.
- 16. Do not proceed with installation until a copy of Product Data is in the Installer's possession.
- 17. Do not permit use of unmarked copies of Product Data in connection with construction.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
  - 1. Preparation: Include the following information, as applicable:
    - a. Dimensions.
    - b. Identification of products.
    - c. Fabrication and installation drawings.
    - d. Roughing-in and setting diagrams.
    - e. Wiring diagrams showing field-installed wiring, including power, signal, and control wiring.
    - f. Shopwork manufacturing instructions.
    - g. Templates and patterns.
    - h. Schedules.
    - i. Design calculations.
    - j. Compliance with specified standards.
    - k. Notation of coordination requirements.
    - 1. Notation of dimensions established by field measurement.
  - 2. Wiring Diagrams: Differentiate between manufacturer-installed and field-installed wiring.
  - 3. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches but no larger than 30 by 40 inches.
  - 4. Number of Copies: Submit four more copies of each submittal than the number to be returned to the Contractor (example: if Contractor needs 3 copies returned, then 7 copies shall be submitted). Retain one returned copy as a Project Record Document.
  - 5. Do not use Shop Drawings without an appropriate final stamp indicating action taken.
- D. Coordination Drawings: Comply with requirements in Division 1 Section "PROJECT MANAGEMENT AND COORDINATION."
- E. Samples: Prepare physical units of materials or products, including the following:
  - 1. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.

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- 2. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from the same material to be used for the Work, cured and finished in manner specified, and physically identical with the product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
- 3. Preparation: Mount, display, or package Samples in manner specified to facilitate review of qualities indicated. Prepare Samples to match Architect's sample where so indicated. Attach label on unexposed side that includes the following:
  - a. Generic description of Sample.
  - b. Product name or name of manufacturer.
  - c. Sample source.
- 4. Additional Information: On an attached separate sheet, prepared on Contractor's letter-head, provide the following:
  - a. Size limitations.
  - b. Compliance with recognized standards.
  - c. Availability.
  - d. Delivery time.
- 5. Submit Samples for review of kind, color, pattern, and texture for a final check of these characteristics with other elements and for a comparison of these characteristics between final submittal and actual component as delivered and installed.
  - a. If variation in color, pattern, texture, or other characteristic is inherent in the product represented by a Sample, submit at least three sets of paired units that show approximate limits of the variations.
  - b. Refer to individual Specification Sections for requirements for Samples that illustrate workmanship, fabrication techniques, details of assembly, connections, operation, and similar construction characteristics.
- 6. Number of Samples for Initial Selection: Submit three full sets of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return one (1) submittal with options selected.
- 7. Number of Samples for Verification: Submit at least three sets of Samples. Architect will retain two Sample sets; remainder will be returned. Retain one returned Sample set as a Project Record Sample.
  - Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
- 8. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
  - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
  - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
- F. Product Schedule or List: Prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
  - 1. Type of product. Include unique identifier for each product.
  - 2. Number and name of room or space.
  - 3. Location within room or space.

- 01 3300-5
- G. Contractor's Construction Schedule: Comply with requirements in Division 1 Section "CONSTRUCTION PROGRESS DOCUMENTATION".
- H. Submittals Schedule: Comply with requirements in Division 1 Section "CONSTRUCTION PROGRESS DOCUMENTATION."
- I. Application for Payment: Comply with requirements in Division 1 Section "PAYMENT PROCEDURES."
- J. Schedule of Values: Comply with requirements in Division 1 Section "PAYMENT PROCEDURES."
- K. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Use form attached in Specification Section entitled "PROJECT FORMS AND RELATED DOCUMENTS". Include the following information in tabular form:
  - 1. Name, address, and telephone number of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.

## 2.2 INFORMATIONAL SUBMITTALS

- A. General: Prepare and submit Informational Submittals required by other Specification Sections.
  - 1. Number of Copies: Submit three copies of each submittal, unless otherwise indicated. Architect will not return copies.
  - 2. Certificates and Certifications: Provide a notarized statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
- B. Contractor's Construction Schedule: Comply with requirements in Division 1 Section "CONSTRUCTION PROGRESS DOCUMENTATION."
- C. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- D. Product Certificates: Prepare written statements on manufacturer's letterhead certifying that product complies with requirements.
- E. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements. Submit record of Welding Procedure Specification (WPS) and Procedure Qualification Record (PQR) on AWS forms. Include names of firms and personnel certified.
- F. Installer Certificates: Prepare written statements on manufacturer's letterhead certifying that Installer complies with requirements and, where required, is authorized for this specific Project.
- G. Manufacturer Certificates: Prepare written statements on manufacturer's letterhead certifying that manufacturer complies with requirements. Include evidence of manufacturing experience where required.
- H. Material Certificates: Prepare written statements on manufacturer's letterhead certifying that material complies with requirements.
- I. Material Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements.

- J. Preconstruction Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements.
- K. Compatibility Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- L. Field Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements.
- M. Product Test Reports: Prepare written reports indicating current product produced by manufacturer complies with requirements. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- N. Research/Evaluation Reports: Prepare written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - 1. Name of evaluation organization.
  - 2. Date of evaluation.
  - 3. Time period when report is in effect.
  - 4. Product and manufacturers' names.
  - 5. Description of product.
  - 6. Test procedures and results.
  - 7. Limitations of use.
- O. Maintenance Data: Prepare written and graphic instructions and procedures for operation and normal maintenance of products and equipment. Comply with requirements in Division 1 Section "CLOSEOUT PROCEDURES."
- P. Design Data: Prepare written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.
- Q. Manufacturer's Instructions: Prepare written or published information that documents manufacturer's recommendations, guidelines, and procedures for installing or operating a product or equipment. Include name of product and name, address, and telephone number of manufacturer. Include the following, as applicable:
  - 1. Preparation of substrates.
  - 2. Required substrate tolerances.
  - 3. Sequence of installation or erection.
  - 4. Required installation tolerances.
  - 5. Required adjustments.
  - 6. Recommendations for cleaning and protection.
- R. Manufacturer's Field Reports: Prepare written information documenting factory-authorized service representative's tests and inspections. Include the following, as applicable:
  - 1. Name, address, and telephone number of factory-authorized service representative making report.
  - 2. Statement on condition of substrates and their acceptability for installation of product.
  - 3. Statement that products at Project site comply with requirements.

- 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
- 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
- 6. Statement whether conditions, products, and installation will affect warranty.
- 7. Other required items indicated in individual Specification Sections.
- S. Insurance Certificates and Bonds: Prepare written information indicating current status of insurance or bonding coverage. Include name of entity covered by insurance or bond, limits of coverage, amounts of deductibles, if any, and term of the coverage.
- T. Material Safety Data Sheets: Submit information directly to Owner. If submitted to Architect, Architect will not review this information but will return it with no action taken.

#### PART 3 - EXECUTION

#### 3.1 CONTRACTOR'S REVIEW

- A. Review each submittal and check for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

## 3.2 ARCHITECT'S ACTION

- A. General: Architect will not review submittals that do not bear Contractor's approval stamp and will return them without action.
- B. Action Submittals: Architect will review each submittal, make marks to indicate corrections or modifications required, and return it. Architect will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action taken, as follows:
  - 1. No Exception Taken.
  - 2. Revise & Resubmit.
  - 3. Furnish as Corrected.
  - 4. Rejected.
- C. Informational Submittals: Architect will review each submittal and will not return it, or will reject and return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- D. Submittals not required by the Contract Documents will not be reviewed and may be discarded.

END OF SECTION 01 3300

# SECTION 01 4000 - QUALITY REQUIREMENTS

#### PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. This Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.

#### 1.2 **DEFINITIONS**

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect.
- C. Mockups: Full-size, physical assemblies that are constructed on-site. Mockups are used to verify selections made under sample submittals, to demonstrate aesthetic effects and, where indicated, qualities of materials and execution, and to review construction, coordination, testing, or operation; they are not Samples. Approved mockups establish the standard by which the Work will be judged.
- D. Laboratory Mockups: Full-size, physical assemblies that are constructed at testing facility to verify performance characteristics.
- E. Preconstruction Testing: Tests and inspections that are performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.
- F. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with industry standards.
- G. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.
- H. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.

- 01 4000-2
- I. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- J. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
  - 1. Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespeople of the corresponding generic name.
- K. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

# 1.3 CONFLICTING REQUIREMENTS

- A. General: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

#### 1.4 SUBMITTALS

- A. Qualification Data: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- B. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
  - 1. Specification Section number and title.
  - 2. Description of test and inspection.
  - 3. Identification of applicable standards.
  - 4. Identification of test and inspection methods.
  - 5. Number of tests and inspections required.
  - 6. Time schedule or time span for tests and inspections.
  - 7. Entity responsible for performing tests and inspections.
  - 8. Requirements for obtaining samples.
  - 9. Unique characteristics of each quality-control service.
- C. Reports: Prepare and submit certified written reports that include the following:
  - 1. Date of issue.
  - 2. Project title and number.
  - 3. Name, address, and telephone number of testing agency.
  - 4. Dates and locations of samples and tests or inspections.

- 5. Names of individuals making tests and inspections.
- 6. Description of the Work and test and inspection method.
- 7. Identification of product and Specification Section.
- 8. Complete test or inspection data.
- 9. Test and inspection results and an interpretation of test results.
- 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
- 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
- 12. Name and signature of laboratory inspector.
- 13. Recommendations on retesting and reinspecting.
- D. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

## 1.5 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this Article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- C. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar to those indicated for this Project in material, design, and extent.
- F. Specialists: Certain sections of the Specifications require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirement for specialists shall not supersede building codes and regulations governing the Work.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 548; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
  - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
  - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.

- H. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
  - 1. Contractor responsibilities include the following:
    - a. Provide test specimens representative of proposed products and construction.
    - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
    - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
    - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
    - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
    - f. When testing is complete, remove test specimens, assemblies, mockups, and laboratory mockups; do not reuse products on Project.
  - 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- J. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect
  - 2. Notify Architect seven days in advance of dates and times when mockups will be constructed.
  - 3. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 4. Obtain Architect's approval of mockups before starting work, fabrication, or construction.
    - a. Allow seven days for initial review and each re-review of each mockup.
  - 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 6. Demolish and remove mockups when directed, unless otherwise indicated.
- K. Laboratory Mockups: Comply with requirements of preconstruction testing and those specified in individual Sections in Divisions 2 through 16.

#### 1.6 QUALITY CONTROL

A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.

- 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
- 2. Costs for retesting and reinspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 1 Section "Submittal Procedures."
- C. Retesting/Reinspecting: Regardless of whether original tests or inspections were Manufacturer's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- D. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
  - 1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  - 2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
  - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  - 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
  - 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  - 6. Do not perform any duties of Contractor.
- E. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
  - 1. Access to the Work.
  - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
  - 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
  - 4. Facilities for storage and field curing of test samples.
  - 5. Delivery of samples to testing agencies.
  - 6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  - 7. Security and protection for samples and for testing and inspecting equipment at Project site.
- F. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
  - 1. Schedule times for tests, inspections, obtaining samples, and similar activities.
- G. Schedule of Tests and Inspections: Prepare a schedule of tests, inspections, and similar quality-control services required by the Contract Documents. Submit schedule within 30 days of date established for the Notice to Proceed.

01 4000-6

1. Distribution: Distribute schedule to Owner, Architect, testing agencies, and each party involved in performance of portions of the Work where tests and inspections are required.

#### 1.7 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Conducted by a qualified testing agency as required by authorities having jurisdiction, as indicated in individual Specification Sections, and as follows:
  - 1. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  - 2. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
  - 3. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
  - 4. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  - 5. Retesting and reinspecting corrected work.

## PART 2 - PRODUCTS (Not Used)

#### **PART 3 - EXECUTION**

#### 3.1 ACCEPTABLE TESTING AGENCIES

A. Any agencies which has the proper qualifications and certifications to perform the test and or inspection.

#### 3.2 TEST AND INSPECTION LOG

- A. Prepare a record of tests and inspections. Include the following:
  - 1. Date test or inspection was conducted.
  - 2. Description of the Work tested or inspected.
  - 3. Date test or inspection results were transmitted to Architect.
  - 4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and modifications as they occur. Provide access to test and inspection log for Architect's reference during normal working hours.

#### 3.3 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
  - 1. Provide materials and comply with installation requirements specified in other Specification Sections. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible.
  - 2. Comply with the Contract Document requirements for Division 1 Section "Cutting and Patching."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 01 4000

## SECTION 01 4119- SED REGULATORY REQUIREMENTS

#### PART 1 - GENERAL

#### 1.1 **SUMMARY**

A. This section includes: "Uniform Safety Standards for School Construction and Maintenance Projects" for maintaining a Certificate of Occupancy during construction.

## 1.2 REFERENCES

A. Section 155.5 of the Regulations of the New York State Commissioner of Education "Uniform Safety Standards for School Construction and Maintenance Projects".

#### PART 2 - PRODUCTS (NOT USED)

#### PART 3 - EXECUTION

## 3.1 GENERAL REQUIREMENT

A. The occupied portion of any school building shall always comply with the minimum requirements necessary to maintain a certificate of occupancy.

## 3.2 HAZARDOUS BUILDING MATERIALS

A. Surfaces that will be disturbed during renovation or demolition have been tested for lead and asbestos. Results of the testing are available, upon request, from the Owner.

# 3.3 GENERAL SAFETY AND SECURITY STANDARDS FOR CONSTRUCTION PROJECTS.

- A. General safety and security standards for construction projects include the following:
  - 1. All construction materials shall be stored in a safe and secure manner.
  - 2. Fences around construction supplies or debris shall be maintained.
  - 3. Gates shall always be locked unless a worker is in attendance to prevent unauthorized entry.
  - 4. During exterior renovation work, overhead protection shall be provided for any sidewalks or areas immediately beneath the work site or such areas shall be fenced off and provided with warning signs to prevent entry.
  - 5. Workers shall be required to wear photo-identification badges at all times for identification and security purposes while working at occupied sites.

### 3.4 SEPARATION OF CONSTRUCTION AREAS FROM OCCUPIED AREAS

- A. Construction areas which are under the control of a contractor and therefore not occupied by district staff or students shall be separated from occupied areas. Provisions shall be made to prevent the passage of dust and contaminants into occupied parts of the building. Periodic inspection and repairs of the containment barriers must be made to prevent exposure to dust or contaminants. Gypsum board must be used in exit ways or other areas that require fire rated separation. Heavy duty plastic sheeting may be used only for a vapor, fine dust or air infiltration barrier, and shall not be used to separate occupied spaces from construction areas.
  - 1. A specific stairwell and/or elevator shall be assigned for construction worker use during work hours. In general, workers may not use corridors, stairs or elevators designated for students or school staff.

- 2. Large amounts of debris must be removed by using enclosed chutes or a similar sealed system. There shall be no movement of debris through halls of occupied spaces of the building. No material shall be dropped or thrown outside the walls of the building.
- 3. All occupied parts of the building affected by renovation activity shall be cleaned at the close of each workday. School buildings occupied during a construction project shall maintain required health, safety and educational capabilities at all times that classes are in session."

## 3.5 MAINTAINING EXITING AND EGRESS DURING CONSTRUCTION

A. The Contractor will prepare a plan detailing how exiting and egress required by the applicable building code will be maintained during construction. The plan shall indicate temporary construction required to isolate construction equipment, materials, people, dust, fumes, odors, and noise during the construction period. Temporary construction details shall meet code-required fire ratings for separation and corridor enclosure. At a minimum, required exits, temporary stairs, ramps, exit signs, and door hardware shall be provided at all times.

## 3.6 MAINTAINING VENTILATION DURING CONSTRUCTION

A. The Contractor will prepare a plan detailing how adequate ventilation will be maintained during construction. The plan shall indicate ductwork that must be rerouted, disconnected, or capped in order to prevent contaminants from the construction area from entering the occupied areas of the building. The plan shall also indicate how required ventilation to occupied spaces affected by the construction will be maintained during the project.

## 3.7 NOISE ABATEMENT DURING CONSTRUCTION

- A. Construction and maintenance operations shall not produce noise in excess of 60 dba in occupied spaces or shall be scheduled for times when the building or affected building spaces are not occupied or acoustical abatement measures shall be taken
- B. Noise level measurements (dba) shall be taken with a type 2 sound level meter in the occupied space in a location closest to the source of noise.
- C. Each prime contractor shall have a type 2 sound level meter available on the project site at all times for use by the architect/engineer for the entire duration of the construction project.

# 3.8 CONTROL OF CHEMICAL FUMES, GASES AND OTHER CONTAINMENTS DURING CONSTRUCTION

- A. The contractor shall be responsible for the control of chemical fumes, gases, and other contaminates produced by, including but not limited to, welding, gasoline or diesel engines, roofing, paving, or painting, to ensure they do not enter occupied portions of the building or air intakes.
  - 1. Contractors shall provide a plan indicating how and where welding, gasoline engine, roofing, paving, painting or other fumes will be exhausted from the work site. Contractors shall provide all temporary means to assure that fresh air intakes do not draw in such fumes.
  - 2. If any portion of the work will generate toxic gases that cannot be contained in an isolated area, the work shall be done when school classes and programs are not in session. The contractor shall include costs associated with this requirement in his bid. The building shall be properly ventilated and, the material shall be given proper time, as recommended by the manufacturer, to cure "off-gas" before re-occupancy.

14428.11 SED REGULATORY R

3. The contractor shall maintain all manufacturers' Material Safety Data Sheets (MSDS) at the site for all products used in the project. Copies of the MSDS sheets shall be given to the Architect and to the School District. MSDS sheets shall be provided to anyone who requests them.

# 3.9 CONTROL OF OFF-GASSING DURING CONSTRUCTION

- A. The contractor shall be responsible to ensure that activities and materials which result in "off-gassing" of volatile organic compounds such as glues, paints, furniture, carpeting, wall covering, drapery, etc. are scheduled, cured or ventilated in accordance with manufacturers recommendations before a space can be occupied.
  - 1. Contractor shall provide, in their schedules for work of the construction, proper time for "off-gassing" or volatile organic compounds introduced during construction before occupancy is allowed. Specific attention is warranted for activities including glues, adhesives, paint, furniture, carpeting, wall coverings, and drapery. Manufacturers shall be contacted to obtain information regarding appropriate temperatures and times needed to cure or ventilate the product during use and before safe occupancy of the space can be assured. The contractor shall include the above-mentioned information and shall clearly highlight the information, as part of the shop drawing submittal.
  - 2. Building materials or furnishings which "off-gas" chemical fumes, gases, or other contaminants shall be aired out in a well ventilated heated warehouse before it is brought to the project for installation or, the manufacturer's recommended "off-gassing" periods must be scheduled between installation and use of the space.
  - 3. The contractor shall maintain all manufacturers' Material Safety Data Sheets (MSDS) at the site for all products used in the project. Copies of the MSDS sheets shall be given to the Architect and to the School District. MSDS sheets shall be provided to anyone who requests them.

### 3.10 ASBESTOS CONTAINING BUILDING MATERIALS

- A. Large and small asbestos abatement projects as defined by 12NYCRR56 shall not be performed while the building is occupied. The term "building", as referenced in this section, means a wing or major section of a building that can be completely isolated from the rest of the building with sealed non combustible construction. The isolated portion of the building must contain exits that do not pass through the occupied portion and ventilation systems must be physically separated and sealed at the isolation barrier.
- B. Exterior work such as roofing, flashing, siding, or soffit work may be performed on occupied buildings provided proper variances are in place as required, and complete isolation of ventilation systems and at windows is provided. Care must be taken to schedule work so that classes are not disrupted by noise or visual distraction.
- C. For clearance sampling, the air sampling technician shall provide aggressive air sampling per Rule 56 and as follows: First direct the exhaust of a leaf blower, against all walls, ceilings, floors, ledges, and other surfaces in the work area. Continue agitation for at least five minutes per every 1,000 sf of floor space. Following this aggressive agitation, the air-sampling technician shall use at least one 20-inch fan per 10,000 cubic feet of work area space for continuous agitation. The fan shall be operated on low speed and pointed toward the ceiling. Sampling pumps shall be started after the fans are started and stopped before the fans are stopped.
  - 1. Samples shall be logged on a permanently bound logbook at the laboratory. No whiteout will be used to make corrections.
  - 2. All lab counts, data and analysis shall be recorded on a lab summary sheet for each sample.
  - 3. Per the requirements of the New York State Education Department all Final Air Clearance Samples shall be (TEM) Transmission Electron Microscopy Methodology..

## 3.11 LEAD CONTAINING BUILDING MATERIALS

- A. Surfaces that will be disturbed by reconstruction have a determination made indicating No Lead Present.
  - 1. Projects which disturb surfaces that contain lead shall have in the specifications a plan prepared by a certified Lead Risk Assessor or Supervisor which details provisions for occupant protection, worksite preparation, work methods, cleaning and clearance testing which are in general accordance with the HUD Guidelines.

END OF SECTION 01 4119

## SECTION 01 4120 - WORK RESTRICTIONS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

## 1.2 USE OF PREMISES

- A. Use of Site: Limit use of premises to work in areas indicated. Do not disturb portions of site beyond areas in which the Work is indicated.
  - 1. Owner Occupancy: Allow for Owner occupancy of the entire site and building. The Owner's educational programs shall continue throughout the duration of construction. No work shall be done while school is in session.
  - 2. Driveways and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances.
    - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- B. Use of Existing Building: Maintain existing building in a weathertight condition throughout construction period. Repair damage caused by construction operations. Protect building and its occupants during construction period.

# 1.3 OCCUPANCY REQUIREMENTS

- A. Full Owner Occupancy: Owner will occupy site and existing buildings during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations.
- B. Partial Owner Occupancy: Owner reserves the right to occupy and to place and install equipment in completed areas of all buildings, before Substantial Completion, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and partial occupancy shall not constitute acceptance of the total Work.
  - 1. Architect will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied before Owner occupancy.
  - 2. Obtain a Certificate of Occupancy from authorities having jurisdiction before Owner occupancy.
  - 3. Before partial Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will provide, operate, and maintain mechanical and electrical systems serving occupied portions of building.
  - 4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of building.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 4120

#### SECTION 01 4200 - REFERENCES

#### PART 1 - GENERAL

## 1.1 SUMMARY

- A. This Section includes:
  - 1. Definitions of construction-related terms that are not defined in the Construction Documents
  - 2. An alphabetical listing of organizations and agencies that publish recognized industry standards referred to in the Contract Documents.

#### 1.2 **DEFINITIONS**

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. Air Handling Unit: A blower or fan used for the purpose of distributing supply air to a room, space or area.
- C. Approved: When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- D. Approved Agency: An established and recognized agency regularly engaged in conducting tests or furnishing inspection services, when such agency has been approved according to the requirements established in this Section and as required by the Code Official having jurisdiction over this project.
- E. Architect: Other terms including "Architect/Engineer" and "Engineer" have the same meaning as "Architect".
- F. Company Field Adviser: An employee of the Company which lists and markets the primary components of the system under the name who is certified in writing by the Company to be technically qualified in design, installation, and servicing of the required products or an employee of an organization certified by the foregoing Company to be technically qualified in design, installation, and serving of the required products. Personnel involved solely in sales do not qualify.
- G. Concealed Location: A location that cannot be accessed without damaging permanent parts of the building structure or finish surface. Spaces above, below or behind readily removable panels or doors shall not be considered as concealed.
- H. Concealed Piping: Piping that is located in a concealed location. (See "concealed location".)
- I. Connect: A term contraction and unless otherwise specifically noted is to mean "The labor and materials necessary to join or attach equipment, materials or systems to perform the functions intended".
- J. Directed: A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "approved," "required," and "permitted" have the same meaning as "directed."
- K. Drain: Any pipe that carries wastewater or water-borne wastes in a building drainage system.
- L. Drainage Fittings: Type of fitting or fittings utilized in the drainage system. Drainage fittings are similar to cast-iron fittings, except that instead of having a bell and spigot, drainage fittings are recessed and tapped to eliminate ridges on the inside of the installed pipe.
- M. Drainage System: Piping within a public or private premise that conveys sewage, rainwater or other liquid wastes to a point of disposal. A drainage system does not include the mains of a public sewer system or a private or public sewage treatment or disposal plant.
  - 1. Building Gravity: A drainage system that drains by gravity into the building sewer.

- 2. Sanitary: A drainage system that carries sewage and excludes storm, surface and ground water.
- 3. Storm: A drainage system that carries rainwater, surface water, condensate, cooling water or similar liquid wastes.
- N. Duct: A tube or conduit utilized for conveying air. The air passages of self-contained systems are not to be construed as air ducts.
- O. Duct System: A continuous passageway for the transmission of air that, in addition to ducts, includes duct fittings, dampers, plenums, fans and accessory air-handling equipment and appliances.
- P. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- Q. Furnish: Supply and deliver to Project site, or other designated location, all materials and equipment so specified, ready for unloading, unpacking, assembly, installation, and similar operations or other form of incorporation into the Project, and maintained ready for use. Supply `into other elements of the Project directly to the fabricator, installer or manufacturer as required.
- R. Headroom: Minimum clearance between the floor and the underside of the point of lowest installed mechanical construction above. In case of stairways and walkways, the minimum clearance between the step or surface of the walkway and the lowest installed mechanical construction above the stairway or the walkway.
- S. Include: When used in any form other than "inclusive", is non-limiting and is not intended to mean "all-inclusive."
- T. Indicated: Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- U. Inspection Certificate: An identification applied on a product by an approved agency containing the name of the manufacturer, the function and performance characteristics, and the name and identification of an approved agency that indicates that the product or material has been inspected and evaluated by an approved agency.
- V. Install: Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- W. Installer: An installer is the Contractor or another entity engaged by the Contractor, either as an employee, subcontractor, or contractor of lower tier, to perform a particular construction activity, including installation, erection, application, or similar operations. Installers are required to be experienced in the operations they are engaged to perform.
  - 1. Trades: Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespersons of the corresponding generic name.
  - 2. Assigning Specialists: Certain Sections of the Specifications require that specific construction activities shall be performed by specialists who are recognized experts in those operations. The specialists must be engaged for those activities, and their assignments are requirements over which the Contractor has no option. However, the ultimate responsibility for fulfilling contract requirements remains with the Contractor.

- 01 4200-3
- a. This requirement shall not be interpreted to conflict with enforcing building codes and similar regulations governing the Work. It is also not intended to interfere with local trade-union jurisdictional settlements and similar conventions.
- X. Label: An identification applied on a product by the manufacturer that contains the name of the manufacturer, the function and performance characteristics of the product or material, and the name and identification of an approved agency and that indicates that the representative sample of the product or material has been tested and evaluated by an approved agency.

# Y. Location:

- 1. Damp Location: Partially protected locations under canopies, marquees, roofed open porches and like locations, and interior locations subject to moderate degrees of moisture, such as some basements, some barns and some cold-storage warehouses.
- 2. Dry Location: A location not normally subject to dampness or wetness. A location classified as dry may be temporarily subject to dampness or wetness, as in the case of a building under construction.
- 3. Wet Location: Installations underground or in concrete slabs or masonry in direct contact with the earth and locations subject to saturation with water or other liquids, such as vehicle-washing areas, and locations exposed to weather and unprotected.
- Z. Manufacturer's Designation: Identification applied on a product by the manufacturer indicating that a product or material complies with a specified standard or set of rules (see also "Inspection Certificate," "Label" and "Mark").
- AA. Mark: An identification applied on a product by the manufacturer indicating the name of the manufacturer and the function of a product or material (see also "Inspection Certificate," "Label" and "Manufacturer's Designation").
- BB. Mechanical: Other terms including "HVAC", "Plumbing", "Sprinkler", "Laboratory Equipment", "Food Service Equipment", "Laundry Equipment", and "Refrigeration" have the same meaning as "Mechanical".
- CC. Owner: Ossining Union Free School District.
- DD. Piping: This term includes pipe, tube and appurtenant fittings, flanges, valves, traps, hangers and supports.
- EE. Piping, Concealed: Piping built into construction and not accessible without removal of construction Work such as masonry, plaster or other finish material, and piping installed in floors, furred spaces, suspended ceilings, non-walk-in tunnels, conduits, and behind removable panels and cabinet doors.
- FF. Piping, Distribution: Domestic water supply piping, starting with a connection to service piping, and continuing throughout the building to point of connection to equipment and fixture supply piping.
- GG. Piping, Exposed: Piping directly accessible by normal accesses without removal of any construction Work or material.
- HH. Piping, Service: Underground domestic water supply piping with a connection to a water main or supply as noted, and continuing to and into a building and terminating with the exposed fitting inside the building.
- II. Piping, Tunnel: Piping installed in walk-in or non-walk-in tunnels or conduits up to first shut-off valve inside building.

- JJ. Plumbing System: Includes the water supply and distribution pipes; plumbing fixtures and traps; water-treating or water-using equipment; soil, waste and vent pipes; and sanitary and storm sewers and building drains, in addition to their respective connections, devices and appurtenances within a structure or premises.
- KK. Product: As used includes materials, systems and equipment.
- LL. Project Site: Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.
- MM. Provide: Furnish and install, complete and ready for the intended use.
- NN. Registered Design Professional: An individual who is a registered architect (RA) in accordance with Article 147 of the New York State Education Law or a licensed professional engineer (PE) in accordance with Article 145 of the New York State Education Law.
- OO. Regulations: Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- PP. Remove: Detach items from existing construction and legally dispose of them off-site, unless indicated to be removed and salvaged or removed and reinstalled.
- QQ. Replace: Remove designated, damaged, rejected, defective, unacceptable, or non-conforming work from the Project and provide new work meeting the requirements of the Contract Documents in place thereof.
- RR. Space, Finished: A space which has a finishing material applied to walls or ceilings, such as paint, plaster, ceramic tile, enamel glazing, face brick, vinyl wall covering, etc. to provide a finished appearance or which will have such finishes applied under a related Contract.
- SS. Space, Unfinished: A space which does not meet the definition of a finished space.
- TT. Special Inspection: Inspection as herein required of the materials, installation, fabrication, erection, or placement of components and connections requiring special expertise to ensure compliance with approved construction documents and referenced standards.
- UU. Sprayed Fire-Resistant Materials: Cementitious or fibrous materials that are spray-applied to provide fire-resistant protection of the substrates.
- VV. Steam-Heating Boiler A boiler operated at pressures not exceeding 15 psi for steam.
- WW. Supplier: Any person or organization who supplies materials or equipment for the work, including that fabricated to a special design.
- XX. Utility: Any gas, steam, water, sanitary sewer, storm sewer, electrical or other such service.
- YY. Water Supply System: The water service pipe, water distribution pipes, and the necessary connecting pipes, fittings, control valves and all appurtenances in or adjacent to the structure or premises.
  - 1. Chilled: Water-cooled by refrigeration.
  - 2. Cold: Water with at temperature between 33 degrees F and 80 degrees F and which is neither cooled nor heated mechanically.
  - 3. Domestic: Water for use in buildings, except water used in connection with space heating and space cooling.
  - 4. High Temperature: Water with a supply water temperature above 350 degrees.
  - 5. Hot: Water at a temperature greater than or equal to 110°F.

#### 1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents, unless otherwise indicated.
- C. Conflicting Requirements: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
  - Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.
- D. Copies of Standards: Each entity engaged in construction on Project must be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
- E. Abbreviations and Acronyms for Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. Names, telephone numbers, and Web site addresses are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

1.	ADAAG	Americans with Disabilities Act (ADA); www.access-board.gov
		Accessibility Guidelines for Buildings and Facilities
		Available from Access Board
2.	CFR	Code of Federal Regulations; www.access.gpo.gov/nara/cfr
		Available from Government Printing Office
3.	CRD	Handbook for Concrete and Cement
		Available from Army Corps of Engineers
		Waterways Experiment Station; www.wes.army.mil
4.	FS	Federal Specification
		Available from General Services Administration;
		www.fss.gsa.gov/pub/fed-specs.cfm
5.	UFAS	Uniform Federal Accessibility Standards
		Available from Access Board: www.access-board.gov

## 1.4 ABBREVIATIONS AND ACRONYMS

A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.
 Names, telephone numbers, and Web site addresses are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

	1	
1.	AA	Aluminum Association, Inc. (The); www.aluminum.org
2.	AABC	Associated Air Balance Council; www.aabchq.com
3.	AAMA	American Architectural Manufacturers Association; www.aamanet.org
4.	ACI	American Concrete Institute/ACI International; www.aci-int.org
5.	ADC	Air Diffusion Council; www.flexibleduct.org
6.	AGA	American Gas Association; www.aga.org

7.	AIA	American Institute of Architects (The); www.aia.org
8.	AISC	American Institute of Steel Construction; www.aisc.org
9.	AISI	American Iron and Steel Institute; www.steel.org
10.	AMCA	Air Movement and Control Association International, Inc.; www.amca.org
11.	ANSI	American National Standards Institute; www.ansi.org
12.	APA	APA - The Engineered Wood Association; www.apawood.org
13.	ARI	Air-Conditioning & Refrigeration Institute; www.ari.org
14.	ASCE	American Society of Civil Engineers; www.asce.org
15.	ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers:
10.		www.ashrae.org
16.	ASME	ASME International; www.asme.org
17.	ASSE	American Society of Sanitary Engineering; www.asse-plumbing.org
18.	ASTM	American Society for Testing and Materials; www.astm.org
19.	AWI	Architectural Woodwork Institute; www.awinet.org
20.	AWCI	Association of the Wall and Ceiling Industries International; www.awci.org
21.	AWPA	American Wood-Preservers' Association; www.awpa.com
22.	AWS	American Welding Society; www.aws.org
23.	BHMA	Builders Hardware Manufacturers Association; www.buildershardware.com
24.	BIA	Brick Industry Association (The); www.bia.org
25.	CISCA	Ceilings & Interior Systems Construction Association; www.cisca.org
26.	CISPI	Cast Iron Soil Pipe Institute; www.cispi.org
27.	CRI	Carpet & Rug Institute (The); www.carpet-rug.com
28.	CRSI	Concrete Reinforcing Steel Institute; www.crsi.org
29.	CSI	Construction Specifications Institute (The); www.csinet.org
30.	DHI	Door and Hardware Institute; www.dhi.org
31.	EIA/TIA	Electronic Industries Alliance/Telecommunications Industry Association;
		www.eia.org
32.	FGMA	Flat Glass Marketing Association
33.	FMG	FM Global; www.fmglobal.com
34.	GA	Gypsum Association; www.gypsum.org
35.	GANA	Glass Association of North America; www.glasswebsite.com/gana
36.	IEEE	Institute of Electrical and Electronics Engineers, Inc.; www.ieee.org
37.	IESNA	Illuminating Engineering Society of North America; www.iesna.org
38.	IGCC	Insulating Glass Certification Council; www.igcc.org
39.	ITS	Intertek Testing Services; www.itsglobal.com
40.	LSGA	Laminated Safety Glass Association(See GANA)
41.	NAAMM	National Association of Architectural Metal Manufacturers; www.naamm.org
42.	NAIMA	North American Insulation Manufacturers Association; www.naima.org
43.	NCMA	National Concrete Masonry Association; www.ncma.org
44.	NECA	National Electrical Contractors Association; www.necanet.org
45.	NEMA	National Electrical Manufacturers Association; www.nema.org
46.	NFPA	National Fire Protection Association; www.nfpa.org
47.	NFRC	National Fenestration Rating Council; www.nfrc.org
48.	NGA	National Glass Association; www.glass.org
49.	NRCA	National Roofing Contractors Association; www.nrca.net
50.	PCI	Precast/Prestressed Concrete Institute; www.pci.org
51.	PDI	Plumbing & Drainage Institute; www.pdionline.org
52.	RFCI	Resilient Floor Covering Institute
53.	RMA	Rubber Manufacturers Association; www.rma.org
54.	SDI	Steel Deck Institute; www.sdi.org
55.	SDI	Steel Door Institute; www.steeldoor.org
		-

14428.11 REFERENCES 01 4200-7

56.	SIGMA	Sealed	Insulating	Glass	Manufacturers	Association;				
		www.sigma	online.org/sigma	a						
57.	SJI	Steel Joist I	nstitute; www.st	eeljoist.org						
58.	<b>SMACNA</b>	Sheet Metal a	nd Air Conditioning	Contractors' N	National Association; www	w.smacna.org				
59.	SSMA	Steel Stud I	Steel Stud Manufacturers Association; www.ssma.com							
60.	SSPC	SSPC: The	Society for Prot	ective Coati	ngs; www.sspc.org					
61.	SWI	Steel Windo	Steel Window Institute; www.steelwindows.com							
62.	TCA	Tile Council of America, Inc.; www.tileusa.com								
63.	UL	Underwrite	rs Laboratories I	nc.; www.ul	.com					
64.	WDMA	Window &	Door Manufactu	rers Associa	ation; www.wdma.com	n				
65.	WWPA	Western W	ood Products As	sociation; w	ww.wwpa.org					

- B. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web-site addresses are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.
  - 1. ICC International Code Council, Inc.; www.intlcode.org
    - a. Building Code of New York State
    - b. Energy Conservation Construction Code of New York State
    - c. Fire Code of New York State
    - d. Fuel Gas Code of New York State
    - e. Mechanical Code of New York State
    - f. Plumbing Code of New York State
- C. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web site addresses are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.
  - 1. CE Army Corps of Engineers; www.usace.army.mil
  - 2. CPSC Consumer Product Safety Commission; www.cpsc.gov
  - 3. DOC Department of Commerce; www.doc.gov
  - 4. EPA Environmental Protection Agency; www.epa.gov
  - 5. FAA Federal Aviation Administration; www.faa.gov
  - 6. FDA Food and Drug Administration; www.fda.gov
  - 7. GSA General Services Administration; www.gsa.gov
  - 8. HUD Department of Housing and Urban Development; www.hud.gov
  - 9. NIST National Institute of Standards and Technology; www.nist.gov
  - 10. OSHA Occupational Safety & Health Administration; www.osha.gov
- D. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web site addresses are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.
  - 1. NYSDOL New York State Department of Labor; www.labor.state.nv.us/
  - 2. NYSED New York State Education Department, www.nysed.gov
  - 3. OCFS Office of Children & Family Services; www.ocfs.state.ny.us/main/
  - 4. OMH Office of Mental Health; www.omh.state.ny.us/

PRODUCTS (Not Used)

PART 2 - EXECUTION (Not Used) END OF SECTION 01 4200

# STATEMENT OF SPECIAL INSPECTIONS AND TESTS

# SECTION 01 4533 STATEMENT OF SPECIAL INSPECTIONS AND TESTS

# PART 1 - GENERAL

# 1.1 **SUMMARY**

- A. Attached is NYS Education Department Statement of Special Inspections and Tests.
  - 1. The document is provided for the Contractor's reference.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 4533



# NYS EDUCATION DEPARTMENT Office of Facilities Planning Room 1060 EBA Albany, NY 12234

# STATEMENT OF SPECIAL INSPECTIONS AND TESTS

As required by the Building Code of NYS (BCNYS)

BCNYS § 1704.1.1 requires the project Design Professional to complete the Statement of Special Inspections and Tests. Completion of the Statement of Special Inspections & Tests and submission to the Office of Facilities Planning with the Construction Permit Application is a condition for issuance of the Building Permit.

Application is a condition for issuance of the Building	g Permit.	
School District:	Building(s):	
Ossining Union Free School District	Brookside Elementary School	
Project Title:		
Classroom Addition		
SED Project #'s:	Project Addresses:	
66-14-01-03-0-001-022	30 Ryder Road, Ossining, New York 10562	
Architect/Engineer:		
Clark Patterson Lee		
Name of Person Completing this Statement:	Phone Date	
Mark Johnson	800-274-9000	
Comments		

INSPECTION AND TESTING (Continuous & Periodic is as Defined by the BCNYS)		PERIODIC	REFERENCE STANDARD	BCNYS REFERENCE	CHECK IF REQUIRED	IDENTIFY SPEC SECTION AND PROVIDE CLARIFYING NOTES IF NECESSARY
A. Steel Construction						
Material verification of high- strength bolts, nuts and washers.		X	Applicable ASTM material specifications. AISC ASD, Section A3.4; AISC LRFD, Section A3.3	1704.3	X	
2. Inspection of high-strength bolting.	X	X	AISC LRFD, Section M2.5	1704.3, 1704.3.3	X	
3. Material verification of structural steel.			ASTM A 6 or A 568	1704.3, 1708.4	X	
4. Material verification of weld filler materials.			AISC, ASD, Section A3.6; AISC LRFD, Section A3.5	1704.3	X	
5. Inspection of welding:			AWS D1.1, D1.3, D1.4; ACI 318: 3.5.2	1704.3, 1704.3.1, 1903.5.2	X	
a. Structural steel	X	X			X	
b. Reinforcing steel	X	X				
6. Inspection of steel frame.		X		1704.3, 1704.3.2	X	
<b>B.</b> Concrete Construction						
Inspection of reinforcing steel, including prestressing tendons, and placement.		X	ACI 318: 3.5, 7.1-7.7	1704.4, 1903.5, 1907.1, 1907.7, 1914.4	X	

INSPECTION AND TESTING (Continuous & Periodic is as Defined by the BCNYS)		PERIODIC	REFERENCE STANDARD		BCNYS REFERENCE	CHECK IF REQUIRED	IDENTIFY SPEC SECTION AND PROVIDE CLARIFYING NOTES IF NECESSARY
Inspection of reinforcing steel welding.			AWS D1.4 3.5.2	; ACI 318:	1704.4, 1903.5.2		
3. Inspection of bolts to be installed in concrete prior to and during placement.	X				1704.4, 1912.5	X	
4. Verify use of required design mix.		X	ACI 318: 0 5.4	Ch. 4, 5.2-	1704.4, 1904, 1905.2- 1905.4, 1914.2, 1914.3	X	
5. Sampling fresh concrete: slump, air content, temperature, strength test specimens.	X		ASTM C 1 ACI 318: 5		1704.4, 1905.6, 1914.10	X	
6. Inspection of placement for proper application techniques.	X		ACI, 318:	5.9, 5.10	1704.4, 1905.9, 1905.10, 1914.6, 1914.7, 1914.8	X	
7. Inspection for maintenance of specified curing temperature and techniques.		X	ACI, 318:	5.11, 5.13	1704.4, 1905.11, 1905.13, 1914.9	X	
8. Inspection of prestressed concrete.	X		ACI 318: 1 18.164	8.18,	1704.4		
9. Erection of precast concrete members.		X	ACI 318: 0	Ch. 16	1704.4		
10. Verification of in-situ concrete strength prior to stressing of tendons and prior to removal of shores and forms from beams and slabs.		X	SVI 318: 6	.2	1704.4, 1906.2		
11. Inspection of formwork.		X	ACI 318:6	.1.1		X	
C. Masonry Construction L1 = Level 1 Inspection required for nonessential facilities. L2 = Level 2 Inspection required for essential facilities. In general, schools are not considered essential facilities unless they are a designated emergency shelter.			ACI 530/ ASCE 5/TMS 402, Ch. 35	ACI 530.1/ ASCE 6/TMS 602, Ch. 35		X	
Verify to ensure compliance:     a. Proportions of site prepared mortar and grout.		X L1		2.6A	1704.5	X	
b. Placement of masonry units and construction of mortar joints.		L2   X   L1   L2		3.3B	1704.5	X	
c. Location and placement of reinforcement, connectors, tendons,		X L1		3.4, 3.6A	1704.5	X	

INSPECTION AND TESTING (Continuous & Periodic is as Defined by the BCNYS)	CONTINUOUS	PERIODIC	REFERENCE STANDARD		BCNYS REFERENCE	CHECK IF REQUIRED	IDENTIFY SPEC SECTION AND PROVIDE CLARIFYING NOTES IF NECESSARY
anchorages.		L2			1-21-		
d. Prestressing technique and installation.		X L1 L2		3.6A, 3.6B	1704.5		
e. Grade and size of tendons and anchorages.		X L1 L2		2.4B, 2.4H	1704.5		
f. Grout specs and space prior to grouting.	X L2			3.2D, 3.6C	1704.5	X	
g. Placement of grout.	X L2			3.5	1704.5	X	
h. Grouting of tendons.	X L2			3.6C	1704.5		
2. Inspection shall verify:					1704.5		
a. Size and location of structural elements.		X L1 L2		3.3G	1704.5	X	
b. Type, size, and location of anchors.	X L2	X L1	1.15.4, 2.1.1		1704.5	X	
c. Specified size, grade, and type of reinforcement.		X L1 L2	1012	2.4, 3.4	1704.5	X	
d. Welding of reinforcing bars.	X L1 L2		2.1.8.6, 2.1.8.6		1704.5, 2108.9.2.11	X	
e. Cold/hot weather protection of masonry construction.		X L1 L2		108	1704.5, 2104.3, 2104.4	X	
f. Prestressing force measurement and application.	X L2	X L1		3.6B	1704.5		
3. Inspection prior to grouting.		X L1 L2	1.12	3.2D, 3.4, 2.6B, 3.3B	1704.5	X	
4. Grout placement.	X L1 L2			3.5, 3.6C	1704.5	X	
5. Preparation of grout specimens, mortar specimens, and/or prisms.	X L1 L2			1.4	1704.5	X	
Compliance with documents and submittals.		X L1 L2		1.5	1704.5	X	
<b>D. Wood Construction</b> : Fabrication of wood structured elements and assemblies.					1704.6, 1704.2		Not Applicable.
E. Soils							
1. Site preparation.					1704.7.1	X	
2. During fill placement.					1704.7.2	X	

INSPECTION AND TESTING (Continuous & Periodic is as Defined by the BCNYS)	CONTINUOUS	PERIODIC	REFERENCE STANDARD	BCNYS REFERENCE	CHECK IF REQUIRED	IDENTIFY SPEC SECTION AND PROVIDE CLARIFYING NOTES IF NECESSARY
3. Evaluation of in-place density.				1704.7.3	X	
<b>F. Pile Foundations:</b> Installation and load tests.				1704.8		Not Applicable.
<b>G. Pier Foundations:</b> Seismic Design Category C, D, E. F.				1704.9, 1616.3		Not Applicable.
H. Wall Panels and Veneers: Seismic Design Category E, F.				1704.10, 1616.3, 1704.5		Not Applicable.

INSPECTION AND TESTING (Continuous & Periodic is as Defined by the BCNYS)	CONTINUOUS	PERIODIC	REFERENCE STANDARD	BCNYS REFERENCE	CHECK IF REQUIRED	IDENTIFY SPEC SECTION AND PROVIDE CLARIFYING NOTES IF NECESSARY
I. Sprayed Fire-Resistant Materials						Not Applicable.
Structural member surface conditions.				1704.11.1		
2. Application.				1704.11.2		
3. Thickness.			ASTM E 605	1704.11.3		
4. Density.			ASTM E 605	1704.11.4		
5. Bond strength.			ASTM E 736	1704.11.5		
J. Exterior Insulation and Finish Systems (EIFS)				1704.12		Not Applicable.
K. Special Cases				1704.13		Not Applicable.
L. Smoke Control				1704.14		Not Applicable.
M. Special Inspections for Seismic Resistance: Applicable to specific structures, systems, and components.						Not Applicable.
1. Structural steel.	X		AISC Seismic	1707.2		
2. Structural wood.	X			1707.3		
3. Cold-formed steel framing.		X		1707.4		
4. Storage racks and access floors.		X		1707.5		
5. Architectural components.		X		1707.6		
Mechanical and electrical components.		X		1707.7		
7. Seismic isolation system.		X		1707.8		
N. Structural Testing for Seismic Resistance: Applicable to specific structures, systems, and components.						Not Applicable.
Testing and verification of masonry materials and assemblies.				1708.1		
2. Testing for seismic resistance.				1708.2		
3. Reinforcing and prestressing steel.			ACI 318	1708.3, 1903.5.2		
4. Structural steel.			AISC Seismic	1708.5		
5. Mechanical and electrical equipment.				1708.5		
6. Seismically isolated structures.				1708.6, 1623.8		
O. Structural Observations				1709.1		Not Applicable.
Applicable to specific structures.						
P. Test Safe Load				1712.1		Not Applicable.
Q. In-Situ Load Tests				1713.1		Not Applicable.
R. Preconstruction Load Tests				1714.1		Not Applicable.
S. Other (list)					1	Not Applicable.

# TEMPORARY FACILITIES & CONTROLS

## SECTION 01 5000 - TEMPORARY FACILITIES & CONTROLS

#### PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. This Section includes requirements for temporary facilities and controls, including temporary utilities, support facilities, security and protection.
- Temporary utilities include, but are not limited to, the following: B.
  - Water service and distribution. 1.
  - 2. Temporary electric power and light.
  - 3. Temporary heat.
  - 4. Ventilation.
  - 5. Telephone service.
  - Sanitary facilities, including drinking water. 6.
  - Storm and sanitary sewer. 7.
- C. Support facilities include, but are not limited to, the following:
  - Temporary roads, paving and staging areas. 1.
  - 2. Dewatering facilities and drains.
  - 3. Temporary enclosures.
  - 4. Hoists and temporary elevator use.
  - Temporary project identification signs and bulletin boards. 5.
  - Waste disposal services. 6.
  - Construction aids and miscellaneous services and facilities. 7.

#### D. General Requirements

- All construction materials shall be stored in a safe and secure manner. 1.
- 2. Temporary fences around construction supplies or debris shall be installed and maintained by Contractor.
- 3. Gates shall always be locked unless a worker is in attendance to prevent unauthorized en-
- 4. During exterior renovation work, overhead protection shall be provided for any sidewalks or areas immediately beneath the work site or such areas shall be fenced off and provided with warning signs to prevent entry.
- Workers shall be required to wear photo-identification badges at all times for identifica-5. tion and security purposes while working at occupied sites. Badges will be issued by Contractor for their own work force, including Sub-Contractors.
- Separation of construction areas from occupied spaces. Construction areas that are under 6. the control of a Contractor, and therefore not occupied by District staff or students, shall be separated from occupied areas. Provisions shall be made to prevent the passage of dust and contaminants into occupied parts of the building. Periodic inspection and repairs of the containment barriers must be made to prevent exposure to dust or contaminants. Gypsum board must be used in exit ways or other areas that require fire-rated separation. Heavy-duty plastic sheeting may be used only for a vapor, fine dust or air infiltration barrier, and shall not be used to separate occupied spaces from construction areas.
- A specific stairwell and/or elevator will be assigned for construction worker use during 7. work hours. In general, workers may not use corridors, stairs or elevators designated for students or school staff.
- Large amounts of debris must be removed by using enclosed chutes or a similar sealed 8. system. There shall be no movement of debris through halls of occupied spaces of the building. No material shall be dropped or thrown outside the walls of the building.

- 01 5000-2
- 9. All occupied parts of the building affected by renovation activity shall be cleaned at the close of each workday. Contractor shall ensure that School buildings occupied during a construction project shall maintain required health, safety and educational capabilities at all times that classes are in session.
- E. Security and protection facilities include, but are not limited to, the following:
  - 1. Temporary fire protection.
  - 2. Barricades, warning signs, and lights.
  - 3. Environmental protection.
  - 4. Tree and plant protection.
  - 5. Security enclosure and lockup.
  - 6. Temporary enclosures.
  - 7. Temporary partitions.

## 1.2 RESPONSIBILITIES

- A. Contractor is responsible for the following:
  - 1. Installation, operation, maintenance, and removal of each temporary facility, as well as the costs and use charges associated with each facility.
  - 2. Plug-in electric power cords and extension cords, supplementary plug-in task lighting, and special lighting necessary for the work.
  - 3. Its own field office, if required, complete with necessary furniture, utilities, and telephone service.
  - 4. Its own storage and fabrication sheds.
  - 5. Hoisting requirements.
  - 6. Collection and disposal of its own hazardous, dangerous, unsanitary, or other harmful waste material.
  - 7. Secure lockup of its own tools, materials, and equipment.
  - 8. Construction aids and miscellaneous services and facilities necessary for its own construction activities.
  - 9. Temporary Fire Protection.
  - 10. Drinking Water.
  - 11. Fall Protection including, temporary cable top & mid railings per OSHA regulations around mechanical floor openings and roping off all roof openings in an OSHA approved manner. Include fluorescent ribbons or flags to accent the ropes.
  - 12. Temporary roads and paving.
  - 13. Temporary toilets, including disposable supplies.
  - 14. Temporary wash facilities, including disposable supplies.
  - 15. Project identification and temporary signs.
  - 16. General collection and disposal of wastes.
  - 17. Temporary enclosure and building security of the building, as needed.
  - 18. Barricades, warning signs, and lights.
  - 19. Furnish and maintain per OSHA standards, a fire extinguisher at all necessary locations.
  - 20. Chain link enclosure fence.
  - 21. Environmental protection including all erosion control measures.
  - 22. Dewatering facilities and drains.
  - 23. Temporary heat, as needed.
  - 24. Temporary ventilation, as needed.
  - 25. Temporary lighting for project. Temporary service shall be maintained during all work-days, and shall comply with all codes and regulations. System shall be modified as required or as directed by the Architect as work progresses.
  - 26. Initial connections and final demolition of all temporary fixtures and wiring.

# TEMPORARY FACILITIES & CONTROLS

#### 1.3 **USE CHARGES**

- A. General: Cost or use charges for temporary facilities are not chargeable to the Owner or the Architect. The Architect will not accept Contractor's cost for use charges for temporary services or facilities as a basis of claim for an adjustment in the Contract Sum or the Contract Time.
- B. Water Service: Use water from the Owner's existing water system without metering and without payment of use charges.
- C. Electric Power Service: Use electric power from the Owner's existing system without submetering and without payment of use charges.
- D. Other entities using temporary services and facilities include, but are not limited to, the following:
  - Other nonprime contractors. 1.
  - 2. The Owner's work forces.
  - 3. The Architect.
  - 4. Testing agencies.
  - 5. Personnel of government agencies.
  - Occupants of the Project 6.

#### **SUBMITTALS** 1.4

- Temporary Utilities: Contractor shall submit reports of tests, inspections, meter readings, and A. similar procedures performed on temporary utilities.
- В. Implementation and Termination Schedule: Within 15 days of the date established for submittal of the Contractor's Construction Schedule, Contractor shall submit a schedule indicating implementation and termination of each temporary utility for which the Contractor is responsible.
- Temporary Signage: Provide shop drawings, indicating the size and layout of the signs, color C. choices for Owner selection and installation details.

#### 1.5 **QUALITY ASSURANCE**

- A. Regulations: Contractor shall comply with industry standards and with applicable laws and regulations of authorities having jurisdiction including, but not limited to, the following:
  - New York State Education Department 1.
  - Building code requirements. 2.
  - 3. Health and safety regulations.
  - 4. Utility company regulations.
  - 5. Police, fire department and rescue squad rules.
  - Environmental protection regulations.
- В. Standards: Contractor shall comply with NFPA 241 "Standard for Safeguarding Construction, Alterations, and Demolition Operations," ANSI-A10 Series standards for "Safety Requirements for Construction and Demolition," and NECA Electrical Design Library "Temporary Electrical Facilities."
  - Trade Jurisdictions: Assigned responsibilities for installation and operation of temporary 1. utilities are not intended to interfere with the normal application of trade regulations and union jurisdictions.
  - 2. Electrical Service: Comply with NEMA, NECA and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- C. Inspections: Arrange for authorities having jurisdiction to inspect and test each temporary utility before use. Obtain required certifications and permits.

#### 1.6 PROJECT CONDITIONS

- Temporary Utilities: Contractor shall prepare a schedule indicating dates for implementation and A. termination of each temporary utility for which the Contractor is responsible. At the earliest feasible time, when acceptable to the Owner, change over from use of temporary service to use of permanent service.
  - Temporary Use of Permanent Facilities: The Installer of each permanent service shall assume responsibility for its operation, maintenance, and protection during use as a construction facility prior to the Owner's acceptance, regardless of previously assigned responsibilities.
- В. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Relocate temporary services and facilities as the Work progresses. Do not overload facilities or permit them to interfere with progress. Take necessary fireprevention measures. Do not allow hazardous, dangerous, or unsanitary conditions, or public nuisances to develop or persist on-site.

## PART 2 - PRODUCTS

#### 2.1 **MATERIALS**

- General: Contractor shall provide new materials. If acceptable to the Architect, undamaged, pre-A. viously used materials in serviceable condition may be used. Provide materials suitable for use intended.
- B. Lumber and Plywood: Comply with requirements in Division 6.
  - For job-built temporary offices, shops, and sheds within the construction area, provide 1. UL-labeled, fire-treated lumber and plywood for framing, sheathing, and siding.
  - 2. For signs and directory boards, provide exterior-type, Grade B-B high-density concrete form overlay plywood of sizes and thicknesses indicated.
  - 3. For fences and vision barriers, provide minimum 3/8-inch-thick exterior plywood.
  - 4. For safety barriers, sidewalk bridges, and similar uses, provide minimum 5/8-inch-thick exterior plywood.
- C. Gypsum Wallboard: Provide gypsum wallboard on interior walls of temporary offices.
- D. Roofing Materials: Provide UL Class A standard-weight asphalt shingles or UL Class C mineralsurfaced roll roofing on roofs of job-built temporary offices, shops, and sheds.
- Paint: Comply with requirements of Division 9 Section "Painting." E.
  - For job-built temporary offices, shops, sheds, fences, and other exposed lumber and plywood, provide exterior-grade acrylic-latex emulsion over exterior primer.
  - 2. For sign panels and applied graphics, provide exterior-grade alkyd gloss enamel over exterior primer.
  - 3. For interior walls of temporary offices, provide 2 coats interior latex-flat wall paint.
- F. Tarpaulins: Provide waterproof, fire-resistant, UL-labeled tarpaulins with flame-spread rating of 15 or less. For temporary enclosures, provide translucent, nylon-reinforced, laminated polyethylene or polyvinyl chloride, fire-retardant tarpaulins.
- G. Water: Provide potable water approved by local health authorities.
- Open-Mesh Fencing: Provide 0.12-inch- thick, galvanized 2-inch chain link fabric fencing 6 feet Η. high with galvanized barbed-wire top strand and galvanized steel pipe posts, 1-1/2 inches I.D. for line posts and 2-1/2 inches I.D. for corner posts.

## 2.2 EQUIPMENT

- A. General: Contractor shall provide new equipment. If acceptable to the Architect, undamaged, previously used equipment in serviceable condition may be used. Provide equipment suitable for use intended.
- B. Water Hoses: Provide 3/4-inch heavy-duty, abrasion-resistant, flexible rubber hoses 100 feet long, with pressure rating greater than the maximum pressure of the water distribution system. Provide adjustable shutoff nozzles at hose discharge.
- C. Electrical Outlets: Provide properly configured, NEMA-polarized outlets to prevent insertion of 110- to 120-V plugs into higher voltage outlets. Provide receptacle outlets equipped with ground-fault circuit interrupters, reset button, and pilot light for connection of power tools and equipment.
- D. Electrical Power Cords: Provide grounded extension cords. Use hard-service cords where exposed to abrasion and traffic. Provide waterproof connectors to connect separate lengths of electric cords if single lengths will not reach areas where construction activities are in progress. Do not exceed safe length-voltage ratio.
- E. Lamps and Light Fixtures: Provide general service incandescent lamps of wattage required for adequate illumination. Provide guard cages or tempered-glass enclosures, where exposed to breakage. Provide exterior fixtures where exposed to moisture.
- F. Heating Units: Provide temporary heating units that have been tested and labeled by UL, FM, or another recognized trade association related to the type of fuel being consumed.
- G. Temporary Offices: Contractor shall provide its own prefabricated or mobile units or similar job-built construction with lockable entrances, operable windows, and serviceable finishes. Provide heated and air-conditioned units on foundations adequate for normal loading.
- H. Temporary Toilet Units: Provide self-contained, single-occupant toilet units of the chemical, aerated recirculation, or combustion type. Provide units properly vented and fully enclosed with a glass-fiber-reinforced polyester shell or similar nonabsorbent material.
- I. Fire Extinguishers: Provide hand-carried, portable, UL-rated, Class A fire extinguishers for temporary offices and similar spaces. In other locations, provide hand-carried, portable, UL-rated, Class ABC, dry-chemical extinguishers or a combination of extinguishers of NFPA-recommended classes for the exposures.
  - 1. Comply with NFPA 10 and NFPA 241 for classification, extinguishing agent, and size required by location and class of fire exposure.

#### PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. Use qualified personnel for installation of temporary facilities. Locate facilities where they will serve the Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required.
- B. Contractor shall provide each facility ready for use when needed to avoid delay. Maintain and modify as required. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.
- C. Contractor will be responsible for hookup of their own project trailers. Use of energy, including heat (shall be set back at night) if practical from District electric service will be available. If abused, power from temporary service will be disconnected. All installations shall conform to strictest standards. Contractor shall disconnect all items upon project completion.

# 3.2 TEMPORARY UTILITY INSTALLATION

- A. General: Engage the appropriate local utility company to install temporary service or connect to existing service. Where the company provides only part of the service, provide the remainder with matching, compatible materials and equipment. Comply with company recommendations.
  - 1. Arrange with the company and existing users for a time when service can be interrupted, if necessary, to make connections for temporary services.
  - 2. Provide adequate capacity at each stage of construction. Prior to temporary utility availability, provide trucked-in services.
  - 3. Obtain easements to bring temporary utilities to the site where the Owner's easements cannot be used for that purpose.
- B. Water Service: Install water service and distribution piping of sizes and pressures adequate for construction and hose bibs on site as to provide service to all areas of construction activities as directed by the Architect, as required throughout the construction period.
  - 1. Water service shall be potable and modified as required or as directed by the Architect, as Work progressed.
    - a. Sterilization: Sterilize temporary water piping prior to use.
  - 2. Users shall provide their own hoses to points of need, but shall practice prudent conservation.
- C. Temporary Electric Power Service: Provide weatherproof, grounded electric power service and distribution system of sufficient size, capacity, and power characteristics during construction period. Include meters, transformers, overload-protected disconnects, automatic ground-fault interrupters, and main distribution switch gear, main power hook-up and panel board and temporary lighting for each site.
  - 1. System shall be modified as required or as directed by the Architect as work progresses.
  - 2. Contractor shall provide his own extension lines, and other special equipment; welding equipment shall run from generator trucks.
  - 3. Contractor shall provide power distribution for its own use.
  - 4. Maintain critical systems within building during service switchovers. (i.e.: fire, security, heating, etc)

# D. Temporary Lighting:

- Install and operate temporary lighting that will fulfill security and protection requirements without operating the entire system. Provide temporary lighting that will provide adequate illumination for construction operations and traffic conditions.
  - a. Security lighting for building exteriors shall be continuously operational and maintained.
  - b. Temporary lighting shall be maintained in accordance with OSHA standards for power and foot candle levels in all areas while workers occupy the space.
  - c. The temporary lighting shall be energized daily at 6:50 A.M. to 4:35 P.M. as a minimum duration until permanent fixtures are installed.
- 2. Temporary lighting shall be controlled by time clocks and lighting contactors; settings to be coordinated by the Owner.
- E. Temporary Heat: Provide temporary heat required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select safe equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce the ambient condition required and minimize energy consumption.
- F. Heating Facilities: Except where the Owner authorizes use of the permanent system, provide vented, self-contained, LP-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open flame, or salamander-type heating units is prohibited.

- 2. Temporary heating plants utilizing electric power as energy source shall not be used on this project.
- 3. The Owner will not accept utilization of permanent HVAC system for temporary heat until project acceptance.
- G. Temporary Telephones: Provide temporary telephone service throughout the construction period for all personnel engaged in construction activities.
  - 1. Contractor shall be responsible for their own temporary phone service.
  - 2. Contractor shall lease or purchase a cellular telephone to be used by their site superintendent for communication relative to this project.
  - 3. Provide telephone lines for the following:
    - a. Provide a dedicated telephone line for a fax machine in Contractor's field office.
    - b. At each telephone, post a list of important telephone numbers.
- H. Sanitary facilities for each site, include temporary toilets, wash facilities, and drinking-water fixtures. Comply with regulations and health codes for the type, number, location, operation, and maintenance of fixtures and facilities. Install where facilities will best serve the Project's needs.
  - 1. Provide toilet tissue, paper towels, paper cups, and similar disposable materials for each facility. Provide covered waste containers for used material.
  - 2. Toilets: Install self-contained toilet units. Shield toilets to ensure privacy. Use of pittype privies will not be permitted.
    - a. Provide separate facilities for male and female personnel.
- I. Wash Facilities: Install wash facilities supplied with potable water at convenient locations for personnel involved in handling materials that require wash-up for a healthy and sanitary condition. Dispose of drainage properly. Supply cleaning compounds appropriate for each condition.
  - 1. Provide safety showers, eyewash fountains, and similar facilities for convenience, safety, and sanitation of personnel.
- J. Drinking-Water Facilities: Contractor shall provide containerized, tap-dispenser, bottled-water drinking-water units, including paper cup supply.
- K. Provide earthen embankments and similar barriers in and around excavations and subgrade construction, sufficient to prevent flooding by runoff of storm water from heavy rains.

# 3.3 SUPPORT FACILITIES INSTALLATION

- A. Locate field offices, storage sheds, sanitary facilities, and other temporary construction and support facilities for easy access as directed by the Owner.
  - 1. Maintain support facilities until near Substantial Completion. Remove prior to Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to the Owner.
- B. Provide incombustible construction for offices, shops, and sheds located within the construction area or within 30 feet of building lines. Comply with requirements of NFPA 241.
- C. Storage and Fabrication Sheds: Install storage and fabrication sheds sized, furnished, and equipped to accommodate materials and equipment involved, including temporary utility service. Sheds may be open shelters or fully enclosed spaces within the building or elsewhere on-site.
- D. Temporary Parking/Staging and Access Roads
  - 1. Coordinate location of construction personnel parking, staging, etc. with the Owner.
  - 2. Temporary parking by construction personnel shall be allowed only in areas so designated by the Owner.
  - 3. Traffic Regulations:
    - a. Access site via existing driveways as directed by the Owner.
    - b. Maintain, and abide by, all of Owner's traffic regulations.

# TEMPORARY FACILITIES & CONTROLS

- E. Dewatering Facilities and Drains: For temporary drainage and dewatering facilities and operations not directly associated with construction activities included under individual Sections, comply with dewatering requirements of applicable Division 2 Sections. Where feasible, use the same facilities. Maintain the site, excavations, and construction free of water.
  - 1. For temporary drainage and de-watering facilities and operations directly associated with the building additions and other construction activities, comply with Division 2; Contractor is directly responsible for de-watering of their excavations.
- F. Temporary Enclosures: Provide temporary enclosure for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities.
  - 1. Where heat is needed and the permanent building enclosure is not complete, provide temporary enclosures where there is no other provision for containment of heat. Coordinate enclosure with ventilating and material drying or curing requirements to avoid dangerous conditions and effects.
  - 2. Install tarpaulins securely, with incombustible wood framing and other materials. Close openings of 25 sq. ft. or less with plywood or similar materials.
  - 3. Close openings through floor or roof decks and horizontal surfaces with load-bearing, wood-framed construction.
  - 4. Where temporary wood or plywood enclosure exceeds 100 sq. ft. in area, use UL labeled, fire-retardant-treated material for framing and main sheathing.
  - 5. Temporary closures for openings created by the Contractor shall be installed to protect building from exterior elements.
  - 6. Temporary partitions shall be installed at all openings where additions connect to existing buildings, and where required to protect areas, spaces, property, personnel, students, and faculty; to separate and control dust, debris, noise, access, sight, fire areas, safety and security and to separate phased construction areas per the phasing plan. Construction material and methods to suit need as determined by Architect.
    - a. Temporary partitions shall be installed, maintained, and removed as directed by the Owner.
- G. Temporary Lifts and Hoists: Provide facilities for hoisting materials and employees. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- H. Project Identification and Temporary Signs: Prepare project identification and other signs of the size indicated. Install signs where indicated to inform the public and persons seeking entrance to the Project. Support on posts or framing of preservative-treated wood or steel. Do not permit installation of unauthorized signs.
  - 1. Temporary Signs: Provide directional information signs for construction personnel and visitors as needed, or directed by Owner.
    - a. For construction traffic control/flow at entrances/exits, as designated by the Owner.
    - b. To direct visitors.
    - c. For construction parking.
    - d. To direct deliveries.
    - e. For warning signs as required.
    - f. Per OSHA standards as necessary.
    - g. For trailer identification.
    - h. For "No Smoking" safe work site at multiple locations.
    - a. Temporary exit signs.
- I. Temporary Site Lighting: Install exterior yard and sign lights as needed so signs are visible when Work is being performed.

J. Collection and Disposal of Waste: Collect waste from construction areas and elsewhere daily. Comply with requirements of NFPA 241 for removal of combustible waste material and debris. Enforce requirements strictly. Do not hold materials more than 7 days during normal weather or 3 days when the temperature is expected to rise above 80 deg F. Handle hazardous, dangerous, or unsanitary waste materials separately from other waste by containerizing properly. Dispose of material lawfully.

# 3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Operations of the Contractor may not block, hinder, impede, or otherwise inhibit the safe and expeditious exiting of the building's occupants during an emergency.
- B. In the event of an emergency, (designated by the sounding of the fire alarm system) all construction activities must immediately cease. Contractor's work force will evacuate themselves from work areas and remain outside of work areas until the "all clear" is given. No work operations will be tolerated during the evacuation of the building or during an emergency.
- C. Temporary Facility Changeover: Except for using permanent fire protection as soon as available, do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion, or longer, as requested by the Architect.
- D. Temporary Fire Protection: Until fire-protection needs are supplied by permanent facilities, install and maintain temporary fire-protection facilities of the types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 10, "Standard for Portable Fire Extinguishers," and NFPA 241, "Standard for Safeguarding Construction, Alterations, and Demolition Operations."
  - 1. Locate fire extinguishers where convenient and effective for their intended purpose, but not less than one extinguisher on each floor at or near each usable stairwell.
  - 2. Store combustible materials in containers in fire-safe locations.
  - 3. Maintain unobstructed access to fire extinguishers, fire hydrants, temporary fireprotection facilities, stairways, and other access routes for fighting fires. Prohibit smoking in hazardous fire-exposure areas.
  - 4. Provide supervision of welding operations, combustion-type temporary heating units, and similar sources of fire ignition.
  - 5. There shall be no smoking allowed in construction work areas, existing District facilities, or on District grounds.
- E. Permanent Fire Protection: At the earliest feasible date in each area of the Project, complete installation of the permanent fire-protection facility, including connected services, and place into operation and use. Instruct key personnel on use of facilities.
- F. Fall Protection includes, but is not limited to; temporary cable top & mid railings per OSHA regulations around mechanical floor openings. Most of the exterior can be done by running cables from column to column, but some areas may require you to install posts as well. Include toe boards around perimeter and openings where required.
  - 1. Contractor must provide his own means for providing OSHA approved fall protection for his work persons. Temporary railings removed by a the Contractor for some reason other than constructing the permanent wall, must be immediately replaced by the Contractor. Keep all cable and railings in place until such time that the building is plumb and all floor slabs and roof slabs are in place. Do not remove such railing until directed by Architect.
    - a. Rope off all roof openings in an OSHA approved manner. Include fluorescent ribbons or flags to accent the ropes

- Barricades, Warning Signs, and Lights: Comply with standards and code requirements for erect-G. ing structurally adequate barricades. Paint with appropriate colors, graphics, and warning signs to inform personnel and the public of the hazard being protected against. Where appropriate and needed, provide lighting, including flashing red or amber lights.
- Η. Enclosure Fence: When excavation begins the Contractor install an enclosure fence with lockable entrance gates. Locate where indicated, or enclose the entire site or the portion determined sufficient to accommodate construction operations. Install in a manner that will prevent people, dogs, and other animals from easily entering the site, except by the entrance gates.
  - Provide open-mesh, 8-foot high chainlink fencing with posts at 8-feet on center, set in a compacted mixture of gravel and earth.
  - 2. Provide min. 3 double swing access gates and man gates. Each gate is to have a chain and padlock.
    - Provide (2) keys for each lock to the Architect. a.
  - Remove fence upon completion of all exterior activities or sooner if directed by Archi-3.
- I. Security Enclosure and Lockup: Install substantial temporary enclosure of partially completed areas of construction. Provide locking entrances to prevent unauthorized entrance, vandalism, theft and similar violations of security.
  - Storage: Where materials and equipment must be stored, and are of value or attractive 1. for theft, provide a secure lockup. Enforce discipline in connection with the installation and release of material to minimize the opportunity for theft and vandalism.
- J. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction in ways and by methods that comply with environmental regulations, and minimize the possibility that air, waterways, and subsoil might be contaminated or polluted or that other undesirable effects might result. Avoid using tools and equipment that produce harmful noise. Restrict use of noise-making tools and equipment to hours that will minimize complaints from persons or firms near the site.

### 3.5 OPERATION, TERMINATION, AND REMOVAL

- Supervision: Enforce strict discipline in use of temporary facilities. Limit availability of tempo-A. rary facilities to essential and intended uses to minimize waste and abuse.
- Maintenance: Maintain facilities and good operating condition until removal. Protect from dam-В. age by freezing temperatures and similar elements.
  - Maintain operation of temporary enclosures, heating, cooling, humidity control, ventila-1. tion, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
  - Protection: Prevent water-filled piping from freezing. Maintain markers for underground 2. lines. Protect from damage during excavation operations.
- C. Termination and Removal: Unless the Architect requests that it be maintained longer, remove each temporary facility when the need has ended, when replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with the temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - Materials and facilities that constitute temporary facilities are the property of Contractor. 1. The Owner reserves the right to take possession of project identification signs.

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# TEMPORARY FACILITIES & CONTROLS

- 2. Remove temporary paving not intended for or acceptable for integration into permanent paving. Where the area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil in the area. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at the temporary entrances, as required by the governing authority.
- 3. At Substantial Completion, clean and renovate permanent facilities used during the construction period including, but not limited to, the following:
  - a. Replace air filters and clean inside of ductwork and housings.
  - b. Replace significantly worn parts and parts subject to unusual operating conditions.
  - c. Replace lamps burned out or noticeably dimmed by hours of use.

END OF SECTION 01 5000

# SECTION 01 6000 - PRODUCT REQUIREMENTS

### PART 1 - GENERAL

### 1.1 SUMMARY

A. This Section includes the following administrative and procedural requirements: selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; product substitutions; and equalivant products.

# 1.2 **DEFINITIONS**

- A. Products: Items purchased for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation, shown or listed in manufacturer's published product literature, that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility, except that products consisting of recycled-content materials are allowed, unless explicitly stated otherwise. Products salvaged or recycled from other projects are not considered new products.
  - 3. Equalivant Product: Product that is demonstrated and approved through submittal process, or where indicated as a product substitution, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Substitutions: Changes in products, materials, equipment, and methods of construction required by the Contract Documents proposed by the Contractor after award of the Contract are considered to be requests for substitutions. The following are not considered to be requests for substitutions:
  - 1. Substitutions requested during the bidding period and accepted by Addendum prior to award of the Contract.
  - 2. Specified options for products and construction methods included in the Contract Documents.
  - 3. The Contractor's determination of and compliance with governing regulations and orders issued by governing authorities.
- C. Basis-of-Design Product Specification: Where a specific manufacturer's product is named and accompanied by the words "basis of design," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating equalivant products of other named manufacturers.
- D. Manufacturer's Warranty: Preprinted written warranty published by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
- E. Special Warranty: Written warranty required by or incorporated into the Contract Documents to extend time limit provided, either by manufacturer's warranty or to provide more rights for Owner.

# 1.3 SUBMITTALS

A. Submittals shall comply with the requirements of the Construction Contract Clauses, Division 1 section "SUBMITTAL PROCEDURES" and the individual sections specifying the work.

- B. Product List: Submit a list, in tabular from, showing specified products. Include generic names of products required. Include manufacturer's name and proprietary product names for each product.
  - 1. Coordinate product list with Contractor's Construction Schedule and the Submittals Schedule.
  - 2. Form: Tabulate information for each product under the following column headings:
    - a. Specification Section number and title.
    - b. Generic name used in the Contract Documents.
    - c. Proprietary name, model number, and similar designations.
    - d. Manufacturer's name and address.
    - e. Supplier's name and address.
    - f. Installer's name and address.
    - g. Projected delivery date or time span of delivery period.
    - h. Identification of items that require early submittal approval for scheduled delivery date.
  - 3. Initial Submittal: Within 15 workdays after date of commencement of the Work, submit 3 copies of initial product list. Include a written explanation for omissions of data and for variations from Contract requirements.
    - a. At Contractor's option, initial submittal may be limited to product selections and designations that must be established early in Contract period.
  - 4. Completed List: Within 30 workdays after date of commencement of the Work, submit 3 copies of completed product list. Include a written explanation for omissions of data and for variations from Contract requirements.
  - 5. Architect's Action: Architect will respond in writing to Contractor within 10 workdays of receipt of completed product list. Architect's response will include a list of unacceptable product selections and a brief explanation of reasons for this action. Architect's response, or lack of response, does not constitute a waiver of requirement that products comply with the Contract Documents.
- C. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: Use form provided in Specification Section entitles "PROJECT FORMS AND RELATED DOCUMENTS".
  - 2. Submit 3 copies of each request for substitution.
  - 3. Identify the product or the fabrication or installation method to be replaced in each request. Include related specification section and Drawing numbers.
  - 4. Provide complete documentation including but not limited to the following information, as appropriate:
    - a. Statement indicating why specified material or product cannot be provided.
    - b. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by others that will be necessary to accommodate the proposed substitution.
    - c. A detailed comparison of the significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include performance, weight, size, durability and visual effect.
    - d. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - e. Product data and drawings, including descriptions of products and fabrication and installation procedures.
    - f. Samples, where applicable or requested.

- Detailed comparison of Contractor's Construction Schedule using proposed subg. stitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating lack of availability or delays in delivery.
- Cost information, including a proposal of the net change, if any, in the Contract h. price.
- i. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners.
- Research/evaluation reports evidencing compliance with building code in effect j. for Project, from a model code organization acceptable to authorities having jurisdiction.
- Contractor's certification that proposed substitution complies with requirements k. in the Contract Documents and is appropriate for applications indicated.
- Contractor's waiver of rights to additional payment or time that may subsequently 1. become necessary because of failure of proposed substitution to produce indicated results.
- 5. If necessary, Architect will request additional information or Architect's Action: documentation for evaluation within one week of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within 10 workdays of receipt of request, or 5 workdays of receipt of additional information or documentation, whichever is later.
  - Form of Acceptance: Change Order. a.
  - Use product specified if Architect can not make a decision on use of a proposed b. substitution within time allocated.

#### 1.4 **OUALITY ASSURANCE**

- Source Limitations: To the fullest extent possible, provide products of the same kind from a A. single source. Equipment of the same function shall be manufactured by the same entity, unless otherwise indicated.
- Compatibility of Options: When the Contractor is given the option of selecting between 2 or В. more products for use on the Project, the product selected shall be compatible with products previously selected, even if previously selected products were also options.
- C. Labels and nameplates: Except for required labels and operating data, do not attach or imprint manufacturer's or producer's nameplates or trademarks on surfaces of products that will be exposed to view in occupied spaces or on the exterior.
  - Labels: Locate required product labels and stamps on concealed surfaces or, where 1. required for observation after installation, on accessible surfaces that are not conspicuous.
  - 2. Equipment Nameplates: Provide a permanent nameplate on each item of serviceconnected or power-operated equipment. Locate nameplate on an easily accessible surface that is inconspicuous in occupied spaces. The nameplate shall contain the following information:
    - Name of product manufacturer. a.
    - Model and serial numbers. b.
    - Operating data such as capacity, speed and ratings.
  - Protection: Labels and nameplates shall be protected from defacement and other damage 3. during the remainder of the Work.

# 1.5 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft. Comply with manufacturer's written instructions.
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
  - 4. Inspect products on delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.
  - 5. Store products to allow for inspection and measurement of quantity or counting of units.
  - 6. Store materials in a manner that will not endanger Project structure.
  - 7. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
  - 8. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  - 9. Protect stored products from damage.
- B. Storage: Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

### 1.6 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution. Submit a draft for approval before final execution.
  - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  - 2. Specified Form: Forms are included with the Specifications. Prepare a written document using appropriate form properly executed.
  - 3. Refer to Divisions 2 through 16 Sections for specific content requirements and particular requirements for submitting special warranties.

# PART 2 - PRODUCTS

### 2.1 PRODUCT OPTIONS

- A. General Product Requirements: Provide products that comply with the Contract Documents, that are undamaged, and unless otherwise indicated, that are new at time of installation.
  - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  - 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.

- 4. Where products are accompanied by the term "as selected," Architect will make selection.
- 5. Where products are accompanied by the term "match sample," sample to be matched is Architect's.
- 6. Descriptive, performance, and reference standard requirements in the Specifications establish "salient characteristics" of products.
- 7. Equalivant: Where products are specified by name and accompanied by the term "or equal" or "or approved equal" or "or approved," comply with provisions in "Equalivant Products" Article to obtain approval for use of an unnamed product.
- B. Product Selection Procedures: Procedures for product selection include the following:
  - 1. Available Products: Where Specification paragraphs or subparagraphs titled "Available Products" introduce a list of names of both products and manufacturers, provide one of the products listed or another product that complies with requirements. Comply with provisions in "Equalivant Products" Article to obtain approval for use of an unnamed product.
  - 2. Available Manufacturers: Where Specification paragraphs or subparagraphs titled "Available Manufacturers" introduce a list of manufacturers' names, provide a product by one of the manufacturers listed or another manufacturer that complies with requirements. Comply with provisions in "Equalivant Products" Article to obtain approval for use of an unnamed product.
  - 3. Basis-of-Design Products: Where Specification paragraphs or subparagraphs titled "Basis-of-Design Products" are included and also introduce or refer to a list of manufacturers' names, provide either the specified product or a equalivant product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with provisions in "Equalivant Products" Article to obtain approval for use of an unnamed product.
  - 4. Visual Matching Specification: Where Specifications require matching an established Sample, select a product (and manufacturer) that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches satisfactorily.
    - a. If no product available within specified category matches satisfactorily and complies with other specified requirements, comply with provisions of the Contract Documents on "substitutions" for selection of a matching product.
  - 5. Visual Selection Specification: Where Specifications include the phrase "as selected from manufacturer's colors, patterns, textures" or a similar phrase, select a product (and manufacturer) that complies with other specified requirements.
    - a. Standard Range: Where Specifications include the phrase "standard range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, or texture from manufacturer's product line that does not include premium items.
    - b. Full Range: Where Specifications include the phrase "full range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, or texture from manufacturer's product line that includes both standard and premium items.
  - 6. Descriptive Requirements: Where Specifications describe a product or assembly, listing exact characteristics required, provide a product or assembly that provides the characteristics and otherwise complies with Contract requirements.
  - 7. Performance Requirements: Where Specifications require compliance with performance requirements, provide products that comply with these requirements and are recommended by the manufacturer for the application indicated. Manufacturer's recommendations may be contained in published product literature or by the manufacturer's certification of performance.

- 8. Prescriptive Requirements: Where Specifications require products that are produced using specified ingredients and components, including specific requirements for mixing, fabricating, curing, finishing, testing and similar operations in the manufacturing process, provide products produced in accordance with the prescriptive requirements that otherwise comply with Contract requirements.
- 9. Codes, Standards and Regulations: Where Specifications require compliance with an imposed code, standard or regulation, select a product that complies with the codes, standards or regulations specified.

# 2.2 PRODUCT SUBSTITUTIONS

- A. Timing: Architect will consider requests for substitution if received within 60 days after commencement of the Work. Requests received after that time may be considered or rejected at discretion of Architect.
- B. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
  - 1. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Architect for redesign and evaluation services, increased cost of other construction by Owner, and similar considerations.
  - 2. Requested substitution does not require extensive revisions to the Contract Documents.
  - 3. Requested substitution is consistent with the Contract Documents and will produce indicated results.
  - 4. The request is timely, fully documented, and properly submitted.
  - 5. The specified product or method of construction cannot be provided within the Contract Time. The Architect will not consider the request if the product or method cannot be provided as a result of failure to pursue the Work promptly or coordinate activities properly.
  - 6. The specified product or method of construction cannot be provided in a manner that is compatible with other materials and where the Contractor certifies that, the substitution will overcome the incompatibility.
  - 7. The specified product or method of construction cannot be coordinated with other materials and where the Contractor certifies that the proposed substitution can be coordinated.
  - 8. The specified product or method of construction cannot provide a warranty required by the Contract Documents and where the Contractor certifies that the proposed substitution provides the required warranty.
  - 9. Requested substitution has received necessary approvals of authorities having jurisdiction.
  - 10. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

# 2.3 EQUALIVANT PRODUCTS

- A. Where products or manufacturers are specified by name, submit the following, in addition to other required submittals, to obtain approval of an unnamed product:
  - 1. Evidence that the proposed product does not require extensive revisions to the Contract Documents, that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.

- 2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
- 3. Evidence that proposed product provides specified warranty.
- 4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
- 5. Samples, if requested.

# PART 3 - EXECUTION

### 3.1 INSTALLATION OF PRODUCTS

- A. Comply with manufacturer's instructions and recommendations for installation of products in the applications indicated. Anchor each product securely in place, accurately located and aligned with other Work.
  - 1. Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.

END OF SECTION 01 6000

# **EXECUTION REQUIREMENTS**

# SECTION 01 7300 - EXECUTION REQUIREMENTS

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes general procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. General installation of products.
  - 4. Coordination of Owner-installed products.
  - 5. Progress cleaning.
  - 6. Starting and adjusting.
  - 7. Protection of installed construction.
  - 8. Correction of the Work.

# 1.2 SUBMITTALS

- A. Qualification Data: For land surveyor to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- B. Certificates: Submit certificate signed by land surveyor certifying that location and elevation of improvements comply with requirements.
- C. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.
- D. Certified Surveys: Submit two copies signed by land surveyor.
- E. Final Property Survey: Submit 10 copies showing the Work performed and record survey data.

# 1.3 QUALITY ASSURANCE

A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.

### PART 2 - PRODUCTS (Not Used)

# PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of site improvements, utilities, and other construction indicated as existing are not guaranteed. Before beginning work, investigate and verify the existence and location of mechanical and electrical systems and other construction affecting the Work.
  - 1. Before construction, verify the location and points of connection of utility services.
- B. Existing Utilities: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities and other construction affecting the Work.

- 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; and underground electrical services
- 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- C. Acceptance of Conditions: Examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
    - a. Description of the Work.
    - b. List of detrimental conditions, including substrates.
    - c. List of unacceptable installation tolerances.
    - d. Recommended corrections.
  - 2. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
  - 3. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 4. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 5. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

# 3.2 PREPARATION

- A. Existing Utility Information: Furnish information to Owner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
  - 1. Notify Architect and Owner not less than five days in advance of proposed utility interruptions.
  - 2. Do not proceed with utility interruptions without Architect's & Owner's written permission
- C. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- D. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- E. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Architect. Include a detailed description of problem encountered, together with recommendations for changing the Contract Documents.

### 3.3 CONSTRUCTION LAYOUT

A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.

- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
  - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  - 2. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  - 3. Inform installers of lines and levels to which they must comply.
  - 4. Check the location, level and plumb, of every major element as the Work progresses.
  - 5. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  - 6. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

# 3.4 FIELD ENGINEERING

- A. Identification: Owner will identify existing benchmarks, control points, and property corners.
- B. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
  - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect before proceeding.
  - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.
- C. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Docu-
  - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
  - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.
- D. Certified Survey: On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and sitework.
- E. Final Property Survey: Prepare a final property survey showing significant features (real property) for Project. Include on the survey a certification, signed by land surveyor, that principal metes, bounds, lines, and levels of Project are accurately positioned as shown on the survey.

- 1. Show boundary lines, monuments, streets, site improvements and utilities, existing improvements and significant vegetation, adjoining properties, acreage, grade contours, and the distance and bearing from a site corner to a legal point.
- 2. Recording: At Substantial Completion, have the final property survey recorded by or with authorities having jurisdiction as the official "property survey."

### 3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas, unless otherwise indicated.
  - 4. Maintain minimum headroom clearance of 10 feet in spaces without a suspended ceiling.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- F. Anchors and Fasteners: Provide anchors and fasteners as required to anchor each component securely in place, accurately located and aligned with other portions of the Work.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
- G. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- H. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

# 3.6 OWNER-INSTALLED PRODUCTS

- A. Site Access: Provide access to Project site for Owner's construction forces.
- B. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction forces.
  - 1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
  - 2. Preinstallation Conferences: Include Owner's construction forces at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction forces if portions of the Work depend on Owner's construction.

### 3.7 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Coordinate progress cleaning for joint-use areas where more than one installer has worked. Enforce requirements strictly. Dispose of materials lawfully.
  - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  - 2. Do not hold materials more than 7 days during normal weather or 3 days if the temperature is expected to rise above 80 deg F.
  - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regs.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  - 1. Remove liquid spills promptly.
  - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Cutting and Patching: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, and similar materials.
  - 1. Thoroughly clean piping, conduit, and similar features before applying paint or other finishing materials. Restore damaged pipe covering to its original condition.
- H. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.
- I. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- J. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- K. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

# 3.8 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust operating components for proper operation without binding. Adjust equip. for oper.

- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: If a factory-authorized service representative is required to inspect field-assembled components and equipment installation, comply with qualification requirements in Division 1 Section "Quality Requirements."

# 3.9 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

# 3.10 CORRECTION OF THE WORK

- A. Repair or remove and replace defective construction. Restore damaged substrates and finishes. Comply with requirements in Division 1 Section "Cutting and Patching."
  - 1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment.
- B. Restore permanent facilities used during construction to their specified condition.
- C. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.
- D. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.
- E. Remove and replace chipped, scratched, and broken glass or reflective surfaces.

END OF SECTION 01 7300

### SECTION 01 7310 - CUTTING AND PATCHING

### PART 1 - GENERAL

### 1.1 SUMMARY

A. This Section includes procedural requirements for cutting and patching.

# 1.2 **DEFINITIONS**

- A. Cutting: Removal of existing construction necessary to permit installation or performance of other Work, including, but not limited to; cutting, drilling, chopping, and other similar operations.
- B. Patching: Fitting and repair work required to restore surfaces to original conditions after installation or removal of other Work, including, but not limited to; patching, rebuilding, reinforcing, repairing, refurbishing, restoring, replacing, and other similar operations to match adjoining surfaces.

### 1.3 SUBMITTALS

- A. Cutting and Patching Proposal: Submit a proposal describing procedures at least 10 days before the time cutting and patching will be performed, requesting approval to proceed. Include the following information:
  - 1. Extent: Describe cutting and patching, show how they will be performed, and indicate why they cannot be avoided.
  - 2. Changes to Existing Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building's appearance and other significant visual elements.
  - 3. Products: List products to be used and firms or entities that will perform the Work.
  - 4. Dates: Indicate when cutting and patching will be performed.
  - 5. Utilities: List utilities that cutting and patching procedures will disturb or affect. List utilities that will be relocated and those that will be temporarily out of service. Indicate how long service will be disrupted.
  - 6. Structural Elements: Where cutting and patching involve adding reinforcement to structural elements, submit details and engineering calculations showing integration of reinforcement with original structure.
  - 7. Construction Manager's Approval: Obtain approval of cutting and patching proposal before cutting and patching. Approval does not waive right to later require removal and replacement of unsatisfactory work.

# 1.4 QUALITY ASSURANCE

- A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.
  - 1. Obtain approval of the cutting and patching proposal before cutting and patching the following structural elements:
    - a. Foundation construction
    - b. Bearing and retaining walls
    - c. Structural concrete
    - d. Structural steel
    - e. Lintels
    - f. Timber and primary wood framing
    - g. Structural decking
    - h. Stair systems
    - i. Miscellaneous structural metals

- j. Exterior curtain-wall construction
- k. Equipment supports
- 1. Piping, ductwork, vessels, and equipment
- m. Structural systems of special construction in Division 13 Sections.
- B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
  - 1. Obtain approval of the cutting and patching proposal before cutting and patching the following operating elements or safety related systems:
    - a. Primary operational systems and equipment
    - b. Air or smoke barriers
    - c. Water, moisture, or vapor barriers
    - d. Membranes and flashings
    - e. Fire protection systems
    - f. Noise and vibration control elements and systems
    - g. Control systems
    - h. Communication systems
    - i. Conveying systems
    - j. Electrical wiring systems
    - k. Operating systems of special construction in Division 13 Sections
- C. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
  - 1. If possible, retain the original install or fabricator to cut and patch the exposed Work listed below. If it is impossible to engage the original installer or fabricator, engage another recognized experienced and specialized firm.
    - a. Firestopping
    - b. Acoustical ceilings
    - c. Acoustical panels
    - d. Finished wood flooring
    - e. Synthetic sports flooring
    - f. Carpeting
    - g. HVAC enclosures, cabinets, or covers
    - h. Ceramic and quarry tile
    - i. Gypsum board
    - j. Masonry (exterior and interior where exposed)
    - k. Tack boards
    - 1. Casework
    - m. Finish carpentry
- D. Miscellaneous Elements: Do not cut and patch the following elements or related components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety.
  - 1. Water, moisture, or vapor barriers.
  - 2. Membranes and flashings.
  - 3. Exterior curtain-wall construction.
  - 4. Equipment supports.
  - 5. Piping, ductwork, vessels, and equipment.
  - 6. Noise- and vibration-control elements and systems.

Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged A. during cutting and patching operations, by methods and with materials so as not to void existing warranties.

# PART 2 - PRODUCTS

### 2.1 **MATERIALS**

- General: Comply with requirements specified in other Sections of these Specifications. A.
- Existing Materials: Use materials identical to existing materials. For exposed surfaces, use mate-B. rials that visually match existing adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of existing materials.

### PART 3 - EXECUTION

### **EXAMINATION** 3.1

- Examine surfaces to be cut and patched and conditions under which cutting and patching are to be A.
  - 1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
  - Proceed with installation only after unsafe or unsatisfactory conditions have been cor-2. rected.

### 3.2 **PREPARATION**

- Temporary Support: Provide temporary support of Work to be cut. A.
- Protection: Protect existing construction during cutting and patching to prevent damage. Provide B. protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.
- Existing Services: Where existing services are required to be removed, relocated, or abandoned, D. bypass such services before cutting to minimize interruption of services to occupied areas.

#### 3.3 **PERFORMANCE**

- General: Employ skilled workers to perform cutting and patching. Proceed with cutting and A. patching at the earliest feasible time, and complete without delay.
  - Cut existing construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- В. Cutting: Cut existing construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.

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  - 2. Existing Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Division 2 Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections of these Specifications.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
  - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
  - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove existing floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
    - a. Where patching occurs in a painted surface, apply primer and intermediate paint coats over the patch and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
  - 4. Ceilings: Patch, repair, or rehang existing ceilings as necessary to provide an even-plane surface of uniform appearance.
  - 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.

END OF SECTION 01 7310

### SECTION 01 7700 - CLOSEOUT PROCEDURES

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Inspection procedures.
  - 2. Project Record Documents.
  - 3. Operation and maintenance manuals.
  - 4. Warranties.
  - 5. Instruction of Owner's personnel.
  - 6. Final cleaning.

### 1.2 SUBSTANTIAL COMPLETION

- A. Preliminary Procedures: Before requesting inspection for determining date of Substantial Completion, complete the following. List items below that are incomplete in request.
  - 1. In the Application for Payment that coincides with, or first follows, the date Substantial Completion is claimed, show 100 percent completion for the portion of the Work claimed as substantially complete.
    - a. Include supporting documentation for completion as indicated in these Contract Documents and a statement showing an accounting of changes to the Contract Sum
    - b. If 100 percent completion cannot be shown, prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
  - 2. Advise Owner of pending insurance changeover requirements.
  - 3. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Obtain and submit releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 5. Prepare and submit Project Record Documents, operation and maintenance manuals, Final Completion construction photographs, damage or settlement surveys, property surveys, and similar final record information.
  - 6. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.
  - 7. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  - 8. Complete startup testing of systems.
  - 9. Submit test/adjust/balance records.
  - 10. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  - 11. Advise Owner of changeover in heat and other utilities.
  - 12. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
  - 13. Complete final cleaning requirements, including touchup painting.
  - 14. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.

- B. Inspection: Submit a written request for inspection for Substantial Completion. On receipt of request, Architect and Construction Manager will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
  - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  - 2. Results of completed inspection will form the basis of requirements for Final Completion.

### 1.3 FINAL COMPLETION

- A. Preliminary Procedures: Before requesting final inspection for determining date of Final Completion, complete the following:
  - 1. Submit a final Application for Payment according to Division 1 Section entitled "PAYMENT PROCEDURES."
    - a. Submit an updated final statement, accounting for final additional changes to the Contract Sum.
  - 2. Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  - 3. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  - 4. Submit final meter readings for utilities, a measured record of stored fuel, and similar data as of the date of Substantial Completion or when the Owner took possession of and assumed responsibility for corresponding elements of the Work.
  - 5. Submit consent of surety to final payment.
  - 6. Submit pest-control final inspection report and warranty.
  - 7. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training videotapes.
- B. Inspection: Submit a written request for final inspection for acceptance. On receipt of request, Architect and Construction Manager will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
  - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

# 1.4 PROJECT RECORD DOCUMENTS

- A. General: Do not use Project Record Documents for construction purposes. Protect Project Record Documents from deterioration and loss. Provide access to Project Record Documents for Architect's and Construction Manager's reference during normal working hours.
- B. Record Drawings: Maintain and submit one set of blue- or black-line white prints of Contract Drawings and Shop Drawings.
  - 1. Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints
    - a. Give particular attention to information on concealed elements that cannot be readily identified and recorded later.

- b. Accurately record information in an understandable drawing technique.
- c. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
- d. Mark Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. Where Shop Drawings are marked, show cross-reference on Contract Drawings.
- 2. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at the same location.
- 3. Mark important additional information that was either shown schematically or omitted from original Drawings.
- 4. Note Construction Change Directive numbers, Change Order numbers, alternate numbers, and similar identification where applicable.
- 5. Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location. Organize into manageable sets; bind each set with durable paper cover sheets. Include identification on cover sheets.
- C. Record Specifications: Submit one copy of Project's Specifications, including addenda and contract modifications. Mark copy to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Note related Change Orders, Record Drawings, and Product Data, where applicable.
- D. Record Product Data: Submit one copy of each Product Data submittal. Mark one set to indicate the actual product installation where installation varies substantially from that indicated in Product Data.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, Record Drawings, and Record Specifications, where applicable.
- E. Record Sample Submitted: Immediately prior to Substantial Completion, the Contractor shall meet with the Architect and the Owner's personnel at the Project Site to determine which Samples are to be transmitted to the Owner for record purposes. Comply with the Owner's instructions regarding delivery to the owner's Sample storage area.
- F. Miscellaneous Record Submittals: Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

# 1.5 OPERATION AND MAINTENANCE MANUALS

- A. Assemble a complete set of operation and maintenance data indicating the operation and maintenance of each system, subsystem, and piece of equipment not part of a system. Include operation and maintenance data required in individual Specification Sections and as follows:
  - 1. Operation Data:
    - a. Emergency instructions and procedures.
    - b. System, subsystem, and equipment descriptions, including operating standards.
    - c. Operating procedures, including startup, shutdown, seasonal, and weekend operations.

- d. Description of controls and sequence of operations.
- e. Piping diagrams.
- 2. Maintenance Data:
  - a. Manufacturer's information, including list of spare parts.
  - b. Name, address, and telephone number of Installer or supplier.
  - c. Maintenance procedures.
  - d. Maintenance and service schedules for preventive and routine maintenance.
  - e. Maintenance record forms.
  - f. Sources of spare parts and maintenance materials.
  - g. Copies of maintenance service agreements.
  - h. Copies of warranties and bonds.
- B. Organize operation and maintenance manuals into suitable sets of manageable size. Bind and index data in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, with pocket inside the covers to receive folded oversized sheets. Identify each binder on front and spine with the printed title "OPERATION AND MAINTENANCE MANUAL," Project name, and subject matter of contents.

### 1.6 WARRANTIES

- A. Submittal Time: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of the Project Manual.
  - 1. Bind warranties and bonds in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
- D. Provide additional copies of each warranty to include in operation and maintenance manuals.

# PART 2 - PRODUCTS

### 2.1 MATERIALS

A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

### PART 3 - EXECUTION

# 3.1 DEMONSTRATION AND TRAINING

- A. Instruction: Instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
  - 1. Provide instructors experienced in operation and maintenance procedures.

- 2. Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at the start of each season.
- 3. Schedule training with Owner, through Construction Manager, with at least seven days' advance notice.
- 4. Coordinate instructors, including providing notification of dates, times, length of instruction, and course content.
- B. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections. For each training module, develop a learning objective and teaching outline. Include instruction for the following:
  - 1. System design and operational philosophy.
  - 2. Review of documentation.
  - 3. Operations.
  - 4. Adjustments.
  - 5. Troubleshooting.
  - 6. Maintenance.
  - 7. Repair.

# 3.2 FINAL CLEANING

- A. General: Provide final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Remove snow and ice to provide safe access to building.
    - f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - h. Sweep concrete floors broom clean in unoccupied spaces.
    - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; shampoo if visible soil or stains remain.
    - j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
    - k. Remove labels that are not permanent.

- 01 7700-6
- 1. Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.
  - 1) Do not paint over "UL" and similar labels, including mechanical and electrical nameplates.
- m. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
- n. Replace parts subject to unusual operating conditions.
- o. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
- p. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
- q. Clean ducts, blowers, and coils if units were operated without filters during construction.
- r. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency. Replace burned-out bulbs, and those noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.
- s. Leave Project clean and ready for occupancy.
- C. Comply with safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on Owner's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from Project site and dispose of lawfully.

END OF SECTION 01 7700

### SECTION 01 7823 - OPERATION AND MAINTENANCE DATA

### PART 1 - GENERAL

# 1.1 **SUMMARY**

- A. This Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory.
  - 2. Emergency manuals.
  - 3. Operation manuals for systems, subsystems, and equipment.
  - 4. Maintenance manuals for the care and maintenance of systems and equipment.

# 1.2 **DEFINITIONS**

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

# 1.3 SUBMITTALS

- A. Initial Submittal: Submit 2 draft copies of each manual at least 15 days before requesting inspection for Substantial Completion. Include a complete operation and maintenance directory. Architect will return 1 copy of draft and mark whether general scope and content of manual are acceptable.
- B. Final Submittal: Submit 1 copy of each manual in final form at least 15 days before final inspection. Architect will return copy with comments within 15 days after final inspection.
  - 1. Correct or modify each manual to comply with Architect's comments. Submit 3 copies of each corrected manual within 15 days of receipt of Architect's comments.

# 1.4 COORDINATION

A. Where operation and maintenance documentation includes information on installations by more than one factory-authorized service representative, assemble and coordinate information furnished by representatives and prepare manuals.

### PART 2 - PRODUCTS

# 2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Organization: Include a section in the directory for each of the following:
  - 1. List of documents.
  - 2. List of systems.
  - 3. List of equipment.
  - 4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.

E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with the same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

# 2.2 MANUALS, GENERAL

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  - 1. Title page.
  - 2. Table of contents.
  - 3. Manual contents.
- B. Title Page: Enclose title page in transparent plastic sleeve. Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.
  - 4. Date of submittal.
  - 5. Name, address, and telephone number of Contractor.
  - 6. Name and address of Architect.
  - 7. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
  - 1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
  - 1. Binders: Heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.
    - b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.
  - 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  - 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software diskettes for computerized electronic equipment.
  - 4. Supplementary Text: Prepared on 8-1/2-by-11-inch, 20-lb/sq. ft. white bond paper.
  - 5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
  - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.

b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

# 2.3 EMERGENCY MANUALS

- A. Content: Organize manual into a separate section for each of the following:
  - 1. Type of emergency.
  - 2. Emergency instructions.
  - 3. Emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
  - 1. Fire.
  - 2. Flood.
  - 3. Gas leak.
  - 4. Water leak.
  - 5. Power failure.
  - 6. Water outage.
  - 7. System, subsystem, or equipment failure.
  - 8. Chemical release or spill.
- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include the following, as applicable:
  - 1. Instructions on stopping.
  - 2. Shutdown instructions for each type of emergency.
  - 3. Operating instructions for conditions outside normal operating limits.
  - 4. Required sequences for electric or electronic systems.
  - 5. Special operating instructions and procedures.

# 2.4 OPERATION MANUALS

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
  - 1. System, subsystem, and equipment descriptions.
  - 2. Performance and design criteria if Contractor is delegated design responsibility.
  - 3. Operating standards.
  - 4. Operating procedures.
  - 5. Operating logs.
  - 6. Wiring diagrams.
  - 7. Control diagrams.
  - 8. Piped system diagrams.
  - 9. Precautions against improper use.
  - 10. License requirements including inspection and renewal dates.
- B. Descriptions: Include the following:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Equipment identification with serial number of each component.
  - 4. Equipment function.
  - 5. Operating characteristics.

- 6. Limiting conditions.
- 7. Performance curves.
- 8. Engineering data and tests.
- 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include the following, as applicable:
  - 1. Startup procedures.
  - 2. Equipment or system break-in procedures.
  - 3. Routine and normal operating instructions.
  - 4. Regulation and control procedures.
  - 5. Instructions on stopping.
  - 6. Normal shutdown instructions.
  - 7. Seasonal and weekend operating instructions.
  - 8. Required sequences for electric or electronic systems.
  - 9. Special operating instructions and procedures.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed, and identify color-coding where required for identification.

# 2.5 PRODUCT MAINTENANCE MANUAL

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.
- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

- Content: For each system, subsystem, and piece of equipment not part of a system, include A. source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- В. Source Information: List each system, subsystem, and piece of equipment included in the manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.
- Manufacturers' Maintenance Documentation: C. Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
  - Standard printed maintenance instructions and bulletins. 1.
  - 2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
  - Identification and nomenclature of parts and components. 3.
  - 4. List of items recommended to be stocked as spare parts.
- D. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
  - Test and inspection instructions. 1.
  - 2. Troubleshooting guide.
  - 3. Precautions against improper maintenance.
  - Disassembly; component removal, repair, and replacement; and reassembly instructions. 4.
  - Aligning, adjusting, and checking instructions. 5.
  - Demonstration and training videotape, if available.
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
  - Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quar-1. terly, semiannual, and annual frequencies.
  - Maintenance and Service Record: Include manufacturers' forms for maintenance. 2.
- Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts F. identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and Η. conditions that would affect validity of warranties or bonds.
  - Include procedures to follow and required notifications for warranty claims. 1.

# **PART 3 - EXECUTION**

#### 3.1 MANUAL PREPARATION

- Operation and Maintenance Documentation Directory: Prepare a separate manual that provides A. an organized reference to emergency, operation, and maintenance manuals.
- В. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.

- Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and C. maintenance of each product, material, and finish incorporated into the Work.
- Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance D. data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.
  - Engage a factory-authorized service representative to assemble and prepare information 1. for each system, subsystem, and piece of equipment not part of a system.
  - 2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
  - Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- Prepare drawings supplementing manufacturers' printed data to illustrate the F. relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in Record Drawings to ensure correct illustration of completed installation.
  - Do not use original Project Record Documents as part of operation manuals. 1.
  - 2. Comply with requirements of newly prepared Record Drawings in Division 1 Section "Project Record Documents."
- Comply with Division 1 Section "Closeout Procedures" for the schedule for submitting operation G. and maintenance documentation.

END OF SECTION 01 7823

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### SECTION 01 7839 - PROJECT RECORD DOCUMENTS

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes administrative and procedural requirements for Project Record Documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
  - 3. Record Product Data.

### 1.2 SUBMITTALS

- A. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit one set of marked-up Record Prints.
  - 2. Number of Copies: Submit copies of Record Drawings as follows:
    - a. Initial Submittal: Submit one set of plots from corrected Record CAD Drawings and one set of marked-up Record Prints. Architect will initial and date each plot and mark whether general scope of changes, additional information recorded, and quality of drafting are acceptable. Architect will return plots and prints for organizing into sets, printing, binding, and final submittal.
    - b. Final Submittal: Submit one set of marked-up Record Prints, one set of Record CAD Drawing files, one set of Record CAD Drawing plots, and three copies printed from record plots. Plot and print each Drawing, whether or not changes and additional information were recorded.
      - 1) Electronic Media: CD-ROM.
- B. Record Specifications: Submit one copy of Project's Specifications, including addenda and contract modifications.
- C. Record Product Data: Submit one copy of each Product Data submittal.
  - 1. Where Record Product Data is required as part of operation and maintenance manuals, submit marked-up Product Data as an insert in the manual instead of submittal as Record Product Data.

# PART 2 - PRODUCTS

# 2.1 RECORD DRAWINGS

- A. Record Prints: Maintain one set of blue- or black-line white prints of the Contract Drawings and Shop Drawings.
  - 1. Preparation: Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an understandable drawing technique.
    - c. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
  - 2. Content: Types of items requiring marking include, but are not limited to, the following:
    - a. Dimensional changes to Drawings.
    - b. Revisions to details shown on Drawings.
    - c. Depths of foundations below first floor.

- d. Locations and depths of underground utilities.
- e. Revisions to routing of piping and conduits.
- f. Revisions to electrical circuitry.
- g. Actual equipment locations.
- h. Duct size and routing.
- i. Locations of concealed internal utilities.
- j. Changes made by Change Order or Construction Change Directive.
- k. Changes made following Architect's written orders.
- 1. Details not on the original Contract Drawings.
- m. Field records for variable and concealed conditions.
- n. Record information on the Work that is shown only schematically.
- 3. Mark the Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. If Shop Drawings are marked, show cross-reference on the Contract Drawings.
- 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at the same location.
- 5. Mark important additional information that was either shown schematically or omitted from original Drawings.
- 6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Record Transparencies: Immediately before inspection for Certificate of Substantial Completion, review marked-up Record Prints with Architect. When authorized, prepare a full set of corrected transparencies of the Contract Drawings and Shop Drawings.
  - 1. Incorporate changes and additional information previously marked on Record Prints. Erase, redraw, and add details and notations where applicable.
  - 2. Refer instances of uncertainty to Architect for resolution.
  - 3. Owner will furnish Contractor one set of transparencies of the Contract Drawings for use in recording information.
  - 4. Print the Contract Drawings and Shop Drawings for use as Record Transparencies. Architect will make the Contract Drawings available to Contractor's print shop.
- C. Record CAD Drawings: Immediately before inspection for Certificate of Substantial Completion, review marked-up Record Prints with Architect. When authorized, prepare a full set of corrected CAD Drawings of the Contract Drawings, as follows:
  - 1. Format: Same CAD program, version, and operating system as the original Contract Drawings.
  - 2. Format: DWG, Version Autocad 2000, operating in Windows XP operating system.
  - 3. Incorporate changes and additional information previously marked on Record Prints. Delete, redraw, and add details and notations where applicable.
  - 4. Refer instances of uncertainty to Architect for resolution.
  - 5. Architect will furnish Contractor one set of CAD Drawings of the Contract Drawings for use in recording information.
    - a. Architect makes no representations as to the accuracy or completeness of CAD Drawings as they relate to the Contract Drawings.
    - b. CAD Software Program: The Contract Drawings are available in Autocad 2000, operating in Windows XP operating system.
- D. Newly Prepared Record Drawings: Prepare new Drawings instead of preparing Record Drawings where Architect determines that neither the original Contract Drawings nor Shop Drawings are suitable to show actual installation.
  - 1. New Drawings may be required when a Change Order is issued as a result of accepting an alternate, substitution, or other modification.

- 2. Consult with Architect for proper scale and scope of detailing and notations required to record the actual physical installation and its relation to other construction. Integrate newly prepared Record Drawings into Record Drawing sets; comply with procedures for formatting, organizing, copying, binding, and submitting.
- E. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
  - 1. Record Prints: Organize Record Prints and newly prepared Record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
  - 2. Record Transparencies: Organize into unbound sets matching Record Prints. Place transparencies in durable tube-type drawing containers with end caps. Mark end cap of each container with identification. If container does not include a complete set, identify Drawings included.
  - 3. Record CAD Drawings: Organize CAD information into separate electronic files that correspond to each sheet of the Contract Drawings. Name each file with the sheet identification. Include identification in each CAD file.
  - 4. Identification: As follows:
    - a. Project name.
    - b. Date.
    - c. Designation "PROJECT RECORD DRAWINGS."
    - d. Name of Architect.
    - e. Name of Contractor.

# 2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Record the name of the manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
  - 4. For each principal product, indicate whether Record Product Data has been submitted in operation and maintenance manuals instead of submitted as Record Product Data.
  - 5. Note related Change Orders, Record Drawings, and Product Data where applicable.

# 2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, Record Drawings, and Product Data where applicable.

# 2.4 MISCELLANEOUS RECORD SUBMITTALS

A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

# PART 3 - EXECUTION

# 3.1 RECORDING AND MAINTENANCE

- A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of Project.
- B. Maintenance of Record Documents and Samples: Store Record Documents and Samples in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Architect's reference during normal working hours.

END OF SECTION 01 7839

# SECTION 01 7900 - DEMONSTRATION AND TRAINING

# PART 1 - GENERAL

#### 1.1 SUMMARY

- This Section includes administrative and procedural requirements for instructing Owner's A. personnel, including the following:
  - Demonstration of operation of systems, subsystems, and equipment. 1.
  - Training in operation and maintenance of systems, subsystems, and equipment. 2.

#### 1.2 **SUBMITTALS**

- Instruction Program: Submit two copies of outline of instructional program for demonstration A. and training, including a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module.
  - At completion of training, submit one complete training manual for Owner's use. 1.
- Qualification Data: For firms and persons specified in "Quality Assurance" Article to B. demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- Attendance Record: For each training module, submit list of participants and length of C. instruction time.
- D. Evaluations: For each participant and for each training module, submit results and documentation of performance-based test.
- E. Demonstration and Training Videotape: Submit two copies at end of each training module.

#### 1.3 **OUALITY ASSURANCE**

- A. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.
- В. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Division 1 Section "Quality Requirements," experienced in operation and maintenance procedures and training.
- Preinstruction Conference: Conduct conference at Project site to comply with requirements in C. Division 1 Section "Project Management and Coordination." Review methods and procedures related to demonstration and training including, but not limited to, the following:
  - Inspect and discuss locations and other facilities required for instruction. 1.
  - 2. Review and finalize instruction schedule and verify availability of educational materials, instructors' personnel, audiovisual equipment, and facilities needed to avoid delays.
  - 3. Review required content of instruction.
  - For instruction that must occur outside, review weather and forecasted weather conditions 4. and procedures to follow if conditions are unfavorable.

#### COORDINATION 1.4

Coordinate instruction schedule with Owner's operations. Adjust schedule as required to A. minimize disrupting Owner's operations.

- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by Architect.

# PART 2 - PRODUCTS

# 2.1 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections, and as follows:
  - 1. Motorized doors, including, overhead coiling doors, overhead coiling grilles and automatic entrance doors.
  - 2. Equipment, including stage equipment, projection screens, loading dock equipment, waste compactors, food-service equipment, residential appliances and laboratory fume hoods
  - 3. Fire-protection systems, including fire alarm, fire pumps and fire-extinguishing systems.
  - 4. Intrusion detection systems.
  - 5. Conveying systems, including elevators, wheelchair lifts and cranes.
  - 6. Gas equipment, including medical gas equipment and piping.
  - 7. Laboratory equipment, including laboratory air and vacuum equipment and piping.
  - 8. Heat generation, including, boilers, feedwater equipment, pumps, steam distribution piping and water distribution piping.
  - 9. Refrigeration systems, including chillers, cooling towers, condensers, pumps and distribution piping.
  - 10. HVAC systems, including air-handling equipment, air distribution systems and terminal equipment and devices.
  - 11. HVAC instrumentation and controls.
  - 12. Electrical service and distribution, including transformers, switchboards panelboards, uninterruptible power supplies and motor controls.
  - 13. Packaged engine generators, including transfer switches.
  - 14. Lighting equipment and controls.
  - 15. Communication systems, including intercommunication, surveillance, clocks, programming voice and data and television equipment.
  - 16. Any other equipment not specifically listed that is part of these construction documents.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
    - a. System, subsystem, and equipment descriptions.
    - b. Performance and design criteria if Contractor is delegated design responsibility.
    - c. Operating standards.
    - d. Regulatory requirements.
    - e. Equipment function.
    - f. Operating characteristics.
    - g. Limiting conditions.
    - h. Performance curves.
  - 2. Documentation: Review the following items in detail:
    - a. Emergency manuals.
    - b. Operations manuals.

- c. Maintenance manuals.
- d. Project Record Documents.
- e. Identification systems.
- f. Warranties and bonds.
- g. Maintenance service agreements and similar continuing commitments.
- 3. Emergencies: Include the following, as applicable:
  - a. Instructions on meaning of warnings, trouble indications, and error messages.
  - b. Instructions on stopping.
  - c. Shutdown instructions for each type of emergency.
  - d. Operating instructions for conditions outside of normal operating limits.
  - e. Sequences for electric or electronic systems.
  - f. Special operating instructions and procedures.
- 4. Operations: Include the following, as applicable:
  - a. Startup procedures.
  - b. Equipment or system break-in procedures.
  - c. Routine and normal operating instructions.
  - d. Regulation and control procedures.
  - e. Control sequences.
  - f. Safety procedures.
  - g. Instructions on stopping.
  - h. Normal shutdown instructions.
  - i. Operating procedures for emergencies.
  - j. Operating procedures for system, subsystem, or equipment failure.
  - k. Seasonal and weekend operating instructions.
  - 1. Required sequences for electric or electronic systems.
  - m. Special operating instructions and procedures.
- 5. Adjustments: Include the following:
  - a. Alignments.
  - b. Checking adjustments.
  - c. Noise and vibration adjustments.
  - d. Economy and efficiency adjustments.
- 6. Troubleshooting: Include the following:
  - a. Diagnostic instructions.
  - b. Test and inspection procedures.
- 7. Maintenance: Include the following:
  - a. Inspection procedures.
  - b. Types of cleaning agents to be used and methods of cleaning.
  - c. List of cleaning agents and methods of cleaning detrimental to product.
  - d. Procedures for routine cleaning
  - e. Procedures for preventive maintenance.
  - f. Procedures for routine maintenance.
  - g. Instruction on use of special tools.
- 8. Repairs: Include the following:
  - a. Diagnosis instructions.
  - b. Repair instructions.
  - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - d. Instructions for identifying parts and components.
  - e. Review of spare parts needed for operation and maintenance.

# 3.1 PREPARATION

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a combined training manual.
- B. Set up instructional equipment at instruction location.

# 3.2 INSTRUCTION

- A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
  - 1. Architect will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
  - 2. Owner will furnish an instructor to describe Owner's operational philosophy.
  - 3. Owner will furnish Contractor with names and positions of participants.
- C. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
  - 1. Schedule training with Owner, through Architect, with at least seven days' advance notice.
- D. Evaluation: At conclusion of each training module, assess and document each participant's mastery of module by use of a demonstration performance-based test.
- E. Demonstration and Training Videotape: Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.
  - 1. Comply with requirements in Division 1 Section "Photographic Documentation."
  - 2. At beginning of each training module, record each chart containing learning objective and lesson outline.
- F. Cleanup: Collect used and leftover educational materials and remove from Project site. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

END OF SECTION 01 7900

# SECTION 02 0010 – INFORMATION AVAILABLE TO BIDDERS

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Attached, for Bidder's information and reference only, are the following documents:
  - 1. Geotechnical Engineering Report, Proposed Building Addition & Fire Lane, Brookside Elementary School, 30 Ryder Road, Ossining, NY, prepared by Quality Geo Engineering, P.C. on behalf of QC/QA Laboraties, Inc., May 4, 2020, QCQA Project No. SE20-007.
  - 2. Limited Pre-Renovation Inspection for Asbestos-Containing Materials (ACM), for Ossining UFSD, at Classroom Addition Project, Brookside Elementary School, 30 Ryder Road, Ossining, NY 10562, prepared by QuES&T Quality Environmental Solutions & Technologies, Inc., Project #Q20-3302, dated June 22, 2020.
  - 3. XRF Lead-Based Paint Inspection, for Ossining UFSD, at Brookside Elementary School, Health Center Project, Entrance Addition & Site Improvements Project, Classroom Addition Project, 30 Ryder Road, Ossining, NY 10562, prepared by QuES&T Quality Environmental Solutions & Technologies, Inc., Project #'s Q20-3300, Q20-3301 & Q20-3302, dated May 1, 2020.
- B. The Owner and Architect take no responsibility for the information presented in the document(s) attached to this Section.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 02 0010

# **Quality Geo Engineering, P.C.**

877 Route 4 S - Schuylerville, NY 12871 - Phone (518) 372-4067 - Fax (518) 507-6113

# GEOTECHNICAL ENGINEERING REPORT PROPOSED BUILDING ADDITION & FIRE LANE BROOKSIDE ELEMENTARY SCHOOL 30 RYDER ROAD OSSINING, NEW YORK

# PREPARED FOR:

**CPL** 

50 Front Street, Suite 202 Newburgh, New York 12550

# PREPARED BY:

Quality Geo Engineering, P.C. 877 Route 4S Schuylerville, New York 12871 on behalf of QC/QA Laboratories, Inc.



May 4, 2020 QCQA Project No. SE20-007

# **TABLE OF CONTENTS**

1.0	INTRODUCTION	1
2.0	SUBSURFACE EXPLORATION	1
3.0	SUBSURFACE CONDITIONS	2
	3.1 Soil Profile	2
	3.2 Auger Refusal	2
	3.3 Groundwater Conditions	3
4.0	GEOTECHNICAL RECOMMENDATIONS	3
	4.1 General	3
	4.2 Site Preparation	5
	4.3 Spread Foundations	5
	4.4 Slab-on-Grade	6
	4.5 Lateral Earth Pressures	7
	4.6 Basement/Retaining Wall Drainage	8
	4.7 Seismic Design Parameters	8
	4.8 Fire Lane Pavement Section	9
5.0	CONCLUDING REMARKS	9
FIGUR	RES	
	RE No. 1 – SITE LOCATION MAP RE No. 2 – SUBSURFACE EXPLORATION LOCATION PLAN	

# **APPENDICES**

APPENDIX A – SUBSURFACE EXPLORATION LOGS

APPENDIX B - FILL MATERIAL AND PLACEMENT RECOMMENDATIONS

APPENDIX C – INFORMATION REGARDING THIS GEOTECHNICAL ENGINEERING REPORT

# 1.0 INTRODUCTION

This report presents the results of a subsurface exploration program and geotechnical engineering evaluation completed by Quality Geo Engineering, P.C. on behalf of QC/QA Laboratories, Inc., for the proposed classroom addition and fire lane planned at Brookside Elementary School located at 30 Ryder Road in Ossining, New York. The subsurface exploration was completed by QC/QA Laboratories, Inc. (QCQA Labs), and the geotechnical engineering evaluation was performed by Quality Geo Engineering, P.C., on behalf of QCQA Labs.

Based on the information provided by CPL, we understand the project will include constructing a new classroom addition adjacent to the south side of the southeast wing of the school building. We understand the proposed classroom addition is planned consist of a one-story structure with a finished floor elevation of El. 489.31 feet and a partial walk-out basement area with a finished floor elevation of El. 479.31 feet. The partial basement area is planned to be located approximately 14 feet away from the existing building, which does not have a basement area. We understand the project will also include construction of an asphalt pavement fire lane to provide access around the perimeter of the proposed building addition.

The approximate location of the project site is shown on the attached Figure No. 1. Existing site grades generally slope downward from west to east across the site with an approximate 3H:1V slope at the southeast corner of the proposed building addition. The area within the proposed building addition consisted of lawn and sidewalk areas at the time of the subsurface exploration.

# 2.0 SUBSURFACE EXPLORATION

The subsurface exploration program consisted of a total of three (3) test borings drilled at the site by QCQA Labs on March 3<sup>rd</sup> and 4<sup>th</sup>, 2020. The test borings were located in the vicinity of the proposed classroom addition and were designated as B-1 through B-3. The approximate locations of the test borings are shown on the attached Figure No. 2.

Test borings B-1 and B-2 were terminated at a depth of 26.0 feet and test boring B-3 was advanced to auger refusal, which was encountered at a depth of 21.0 feet. The test borings were made with a Central Mine Equipment (CME) model 550X all-terrain drill rig, using hollow stem auger drilling techniques. Split spoon samples and Standard Penetration Tests (SPTs) were taken in the test borings continuously to a depth of up to 12 feet and at intervals of 5 feet or less thereafter. The split spoon sampling and SPTs were completed in general accordance with ASTM D 1586 - "Standard Test Method for Penetration Test and Split-Barrel Sampling of Soils".

The test boring logs were prepared by a geotechnical engineer based on visual observation of the recovered soil samples and review of the driller's field notes. The soil samples were described based on a visual/manual estimation of the grain size

distribution, along with characteristics such as color, relative density, consistency, moisture, etc. The test boring logs are presented in Appendix A, along with general information and a key of terms and symbols used to prepare the logs.

# 3.0 SUBSURFACE CONDITIONS

# 3.1 Soil Profile

A surficial layer of topsoil was encountered in the test borings. An upper layer of existing fill type soils was encountered beneath the topsoil layer in test borings B-1 and B-2 extending to depths of approximately 2 and 6 feet, respectively. The existing fill type soils appeared to consist of re-worked on-site soils and were described as brown sand soils with varying amounts of intermixed silt and gravel. Some brick fragments were present in the fill materials recovered from the upper 2 feet in test boring B-2. A trace amount of organics were present in the fill materials recovered from depths of 4 to 6 feet in test boring B-2. The fill depths are summarized in the table below.

Test Boring	Depth of Existing Fill
No.	(feet)
B-1	2
B-2	6
B-3	No Fill

Indigenous overburden soils were encountered beneath the existing fill type soils in test borings B-1 and B-2, and beneath the surficial topsoil layer in test boring B-3. The indigenous soils were described as brown, brown-gray, and gray sand soil with varying amounts of intermixed clay, silt, and gravel.

Standard penetration test (SPT) "N" values obtained within the cohesionless fill type soils ranged from 2 to 11 indicating the relative density varied from "very loose" to "firm". SPT "N" values obtained in the cohesionless indigenous soils ranged from 4 to greater than 100 indicating the relative density of these soils varied from "loose" to "very compact". We point out that some of the SPT "N" values may be artificially high where the split spoon sampler encountered large gravel particles.

# 3.2 Auger Refusal

Auger refusal was encountered in test boring B-3 at a depth of 21.0 feet. Rock coring was not performed to confirm the nature and consistency of the auger refusal material encountered in the test boring. It is possible the refusal material was the result of encountering a large boulder, or possibly the top of bedrock.

The bedrock underlying the site is mapped as Trenton Group, Manhattan Formation schist and gneiss based on the Geologic Map of New York, Lower Hudson Sheet, Map

and Chart Series #15 prepared by the New York State Museum and Science Service, dated 1970.

# 3.3 Groundwater Conditions

Free standing water was not encountered in the bore holes upon completion of drilling. We point out that some of the soil samples recovered from the test borings were described as "wet" indicating that groundwater conditions were likely present. A zone of "wet" soils was encountered in test boring B-2 between depths of 6 and 8 feet indicating the presence of perched or trapped groundwater conditions was likely present. In addition, "wet" soil conditions were encountered at a depth of 24 feet in test borings B-1 and B-2, and below a depth of 4 feet in test boring B-3 indicating groundwater conditions were likely present.

It should be expected that perched or trapped groundwater conditions could be present at various depths and locations. Perched or trapped groundwater conditions are expected to be more prevalent following periods of wet weather. It should be expected that groundwater conditions could vary with changes in soil conditions, precipitation, and seasonal conditions.

### 4.0 GEOTECHNICAL RECOMMENDATIONS

# 4.1 General

Existing fill type soils were encountered in test borings B-1 and B-2 extending to depths ranging from approximately 2 to 6 feet. We recommend that all existing fill type soils be removed from beneath spread foundations. Undercut excavations should extend horizontally beyond each edge of the foundation a distance equal to ½ of the depth of the undercut. The resulting undercut excavation should be backfilled with compacted Structural Fill or Subbase Stone. Recommendations for Structural Fill and Subbase Stone material, along with placement and compaction requirements are presented in Appendix B.

It is possible the existing fill type soils can be left in place beneath slab-on-grade areas. We anticipate that the majority of existing fill type soils will be removed as a result of excavating the new foundations and basement area. The remaining soils should be evaluated by a geotechnical engineer during construction. Existing fill type soils may remain in place beneath slab-on-grade areas provided they are firm, stable, and are free from organics, construction debris, or other deleterious materials. Any unsuitable soils identified by the geotechnical engineer during construction should be removed and replaced with Structural Fill.

In our opinion, the proposed building addition can be supported using spread foundations. We recommend the basement foundations be directly underlain by a minimum 6-inch thick layer of Drainage Stone. This will provide a suitable surface upon which to

construct the foundations and will aid in temporary and permanent foundation drainage. The Drainage Stone must be wrapped in a non-woven geotextile fabric, such as Mirafi 140N or equivalent. Recommendations for Drainage Stone material are presented in Appendix B.

We anticipate that excavation for the new building addition basement foundations will extend below the bottom of the existing building foundations. Based on the proposed basement floor elevation and our estimate of the existing building foundation depths, we anticipate that excavations for the basement foundations will generally extend approximately 5 to 6 feet below the bottom of the existing building foundations. This depth would increase to approximately 8 to 9 feet below the existing foundations at the east end of the basement area where the basement foundation excavation is expected to extend to 4 feet below the walk-out finished grade for frost protection. The existing building foundations must be protected from undermining and loss of support due to the basement foundation excavation. The extent of the excavation for the building addition must be minimized as much as possible to reduce the risk of undermining the existing building foundations.

Based on the soil conditions encountered in the test borings, it appears the soils would be classified as Type C soils in accordance with the OSHA Technical Manual, Section V, Chapter 2 criteria. Excavations in Type C soils should be constructed with a maximum slope of 1.5H to 1V. Using this criteria, it appears the basement foundation excavation could be minimized such that the bearing soils beneath the existing foundation would not be undermined with the exception of the northeast corner of the new basement area

Based on the anticipated excavation depth required for construction of the new foundations and the maximum temporary slope required per OSHA guidance, it appears the temporary excavation slope would intersect with the existing building foundation at the southeast corner of the existing building. This would create a risk of undermining the existing foundation. In order to minimize the risk of undermining the existing foundation, we recommend the elevation of the new foundations be constructed just below the floor slab. Frost protection can be achieved by increasing the thickness of the non-frost susceptible Drainage Stone layer beneath the foundations to a depth of at least 4 feet below exterior grade.

The Drainage Stone layer should be installed by excavating a trench extending horizontally at least 1 foot on each side of the foundation and immediately backfilling the trench with Drainage Stone. The Drainage Stone layer should be tamped with a vibratory plate tamper. The Drainage Stone layer should also include a slotted or perforated under drain pipe, which discharges to a suitable outlet.

We recommend the basement excavation area be backfilled as soon as possible after construction of the foundations and basement walls are completed. The temporary basement excavation slopes will be susceptible to erosion when they are exposed to the weather. The slopes must be temporarily protected from erosion to prevent undermining the existing building foundations.

If the basement walls are backfilled before the first-floor slab is poured, the walls must be temporarily braced to prevent lateral displacement caused by the backfill and/or compaction equipment. Temporary bracing must remain in place until permanent bracing is in place.

The on-site existing fill and indigenous silty sand soils generally contained an appreciable fraction of intermixed silt. Although these soils are not necessarily unsuitable for re-use as backfill against foundations, they will likely be relatively difficult to work with and may require extended periods of drying if wet weather conditions are encountered during construction. We recommend that all fill and/or backfill placed beneath slab-on-grade areas consist of an imported Structural Fill or Subbase Stone. Recommendations for Structural Fill and Subbase Stone are presented in Appendix B.

# 4.2 Site Preparation

Existing lawn, pavement, and topsoil materials should be removed from within the proposed building footprint and firelane areas. Following removal of surface materials, the exposed soil subgrades should be evaluated by a geotechnical engineer. The exposed subgrade in pavement areas should be proofrolled using a large steel drum roller or loaded dump truck.

Any areas which appear wet, loose, soft, unstable or otherwise unsuitable, should be undercut as directed by the geotechnical engineer. Undercut excavations beneath pavement and/or slab-on-grade areas should be backfilled with compacted Structural Fill or Subbase Stone. Recommendations for Structural Fill and Subbase Stone, along with placement and compaction requirements, are presented in Appendix B.

In our opinion, the existing fill type soils and indigenous soils are not well suited for reuse as structural fill beneath building foundations and slab areas. The on-site soils generally contained a significant fraction of silt soils and will be sensitive to changes in moisture. We recommend that imported Structural Fill be used to raise existing grades within the building area. Placement of all fill and/or backfill within the building area should be observed and tested by qualified geotechnical personnel. Recommendations for fill material, placement, and compaction requirements are presented in Appendix B.

Finished grades surrounding the classroom addition should be sloped to direct surface water away from the building.

# 4.3 Spread Foundations

It is our opinion the proposed building addition and site retaining wall can be supported using spread foundations. Due to the potential for encountering perched or trapped groundwater conditions, we recommend spread foundations in the basement area be

underlain by a minimum 6-inch thick layer of Drainage Stone. The thickness of the Drainage Stone layer should be increased to afford frost protection as discussed in Section 4.1. This will protect the soil bearing grades from degradation and will aid in temporary dewatering and permanent foundation drainage. The Drainage Stone layer should be wrapped in a non-woven geotextile fabric, such as Mirafi 140 N or equivalent. Recommendations for Drainage Stone material are presented in Appendix B.

Beyond the basement area, spread foundations should bear on undisturbed indigenous soils, or they may bear on compacted Structural Fill or Subbase Stone. The exposed soil bearing grades should be observed and evaluated by a geotechnical engineer, prior to placement of the foundations.

All final bearing grades should be firm, stable and free of loose soil, mud, water, frost or other deleterious materials. Any soft or otherwise unsuitable soils identified by the geotechnical engineer should be undercut and replaced with compacted Structural Fill or Subbase Stone as directed by the geotechnical engineer. Undercut excavations should extend out horizontally beyond the edge of the foundation a distance equal to the one-half of the depth of the undercut below the foundation.

We recommend continuous wall foundations be at least 1.5 feet in width and isolated column foundations be at least 2.5 feet square. Interior foundations should be embedded at least 1.5 feet below the top of the interior floor slab in order to develop adequate bearing capacity. Exterior foundations must be embedded a minimum of 4.0 feet below finished exterior grades for frost protection or must be underlain by non-frost susceptible Drainage Stone which extends to at least 4 feet below finished grade.

Spread foundations, which are designed and constructed in accordance with our recommendations, can be sized using a maximum allowable soil bearing pressure of <u>2,500 pounds per square foot (psf)</u>. The allowable soil bearing pressure is based on a factor of safety of at least 3.0.

It is estimated that spread foundations, sized and properly constructed in accordance with our recommendations, will undergo total settlement of less than 1 inch, and differential settlements should be 1/2 inch or less.

# 4.4 Slab-on-Grade

The building at-grade floor slabs can be constructed as slab-on-grade following proper site preparation as discussed in Sections 4.1 and 4.2 above. A minimum of 6 inches of Subbase Stone, as described in Appendix B, is recommended directly beneath the floor slabs. The floor slabs can be designed in accordance with procedures recommended by the Portland Cement Association or the American Concrete Institute, using a modulus of subgrade reaction of 150 pounds per cubic inch at the top of the Subbase Stone layer.

We recommend a vapor barrier be provided beneath interior floor slabs in areas receiving moisture-sensitive flooring in accordance with the American Concrete Institute (ACI) Guide for Concrete Floor and Slab Construction.

It should be understood that exterior slabs and sidewalks constructed upon the site's soils will heave as frost seasonally penetrates the subgrades. The magnitude of frost heave will vary with many factors resulting in differential movements that could result in tripping hazards. As the ground thaws, the heaved areas may settle back down unevenly, again creating potential tripping hazards. The magnitude of frost heave in sensitive areas, such as near doors and at sidewalk/pavement transitions, can be reduced by constructing the slabs over at least 18 inches of Drainage Stone. The Drainage Stone layer must have an underdrain within it to provide positive drainage to a suitable outlet. Although this may not eliminate all movement associated with frost heave, it should provide adequate protection against excessive differential frost heave during most winters. Recommendations for Drainage Stone material are presented in Appendix B.

# 4.5 Lateral Earth Pressures

The building addition basement walls and adjoining site retaining wall should be designed to resist lateral earth pressures caused by the load of backfill against the walls and the surcharge effects from any permanent or temporary loads. The basement walls, which are restrained against lateral movement should be designed to resist "at rest" lateral earth pressures, while the cantilever site retaining wall, which is not restrained against lateral movement, can be designed using "active" lateral earth pressures.

All earth retaining walls should be backfilled with controlled Structural Fill, as described in Appendix B. In addition, foundation drains as discussed in Section 4.6 below, should be incorporated to prevent hydrostatic pressure against the walls. Lateral earth pressure can be computed using the following soil parameters:

# Recommended Soil Parameters for Retaining Wall Design:

Coefficient of At-Rest Lateral Earth Pressure – 0.47 (Structural Fill)

Coefficient of At-Rest Lateral Earth Pressure – 0.50 (On-Site Soil)

Coefficient of Active Lateral Earth Pressure – 0.31 (Structural Fill)

Coefficient of Active Lateral Earth Pressure – 0.33 (On-Site Soil)

Coefficient of Sliding Friction – 0.55 (Drainage Stone)

Coefficient of Sliding Friction – 0.40 (On-Site Soil)

Coefficient of Passive Lateral Earth Pressure – 3.00 (On-Site Soil)\*

Coefficient of Passive Lateral Earth Pressure – 3.25 (Structural Fill)\*

Angle of Internal Friction – 32 Degrees (Structural Fill)

Angle of Internal Friction – 30 Degrees (On-Site Soil)

Total Moist Unit Weight of Soil – 125 pcf (Structural Fill)

Total Moist Unit Weight of Soil – 110 pcf (On-Site Soil)

\* Horizontal wall movement of 0.06 x height of resisting soils is required to mobilize the full passive earth pressure. The height of resisting soils is equal to the embedment depth on the resisting side of the wall.

# 4.6 Basement/Retaining Wall Drainage

The building addition basement walls and the site retaining wall should be constructed with foundation drainage systems to intercept any perched or trapped groundwater and relieve potential hydrostatic pressures from acting on the walls. The foundation drainage system should consist of a footing drain and pervious media placed against the wall.

The footing drain should include a drainage/separation geotextile (i.e. Mirafi 140 N or suitable equivalent) installed around Drainage Stone, as described in Appendix B, which surrounds a slotted under drain pipe. The under drain pipes should include clean-outs to allow periodic flushing and maintenance of the system. The under drain pipes should be set at the bottom of the Drainage Stone layer beneath the foundations.

A suitable geosynthetic drainage composite should be placed against the walls, above the footing drain, to allow infiltration to the footing drain. The remaining excavated area beyond the drainage composite should be backfilled with controlled Structural Fill. The drainage composite against the wall should extend up to about 1 foot below finished grade.

# 4.7 Seismic Design Parameters

Based on the soil conditions encountered in the test borings, it is our opinion the site can be classified as **Seismic Site Class "C"** in accordance with ASCE 7-16 criteria.

The mapped spectral response accelerations in the project area for Site Class "B" were determined using the Applied Technology Council (ATC) web application with Latitude 41.1741 degrees North and Longitude -73.8338 degrees West for the project site.

The spectral response accelerations for site class "B" are as follows:

- Short Period Response (S<sub>S</sub>) 0.291g
- 1 Second Period Response (S<sub>1</sub>) 0.061g

Adjusted Spectral Response Acceleration for Site Class "C":

- Short Period Response (S<sub>MS</sub>) 0.379g
- 1 Second Period Response (S<sub>M1</sub>) 0.092g

The corresponding five percent damped design spectral response accelerations ( $S_{DS}$  and  $S_{D1}$ ) are as follows:

- $\bullet$  S<sub>DS</sub> 0.253g
- $S_{D1} 0.061g$

# 4.8 Fire Lane Pavement Section

We understand the fire lane is planned to consist of an asphalt pavement section. The pavement section recommended below is based on the assumption that the subgrades will be prepared in accordance with our recommendations in Section 4.2 above.

# Recommended Pavement Section:

- 1.5 inches Top Course
- 2.5 inches Binder Course
- 8.0 inches Subbase Course
- Woven geotextile stabilization fabric

Materials for the above pavement structure components should consist of the following:

- A. Asphalt Concrete Top Course NYSDOT Standard Specifications, 9.5 F3 Top Course (or Type 6 Top Course).
- B. Asphalt Concrete Binder Course NYSDOT Standard Specifications, 25 F9 Binder Course (or Type 3 Binder Course).
- C. Subbase Course Should comply with NYSDOT Standard Specifications, 304.12 Type 2 or 304.14 Type 4 Subbase.
- D. Woven Geotextile Stabilization Fabric Woven polypropylene stabilization geotextile (i.e., Mirafi 500X or approved equivalent).

Adjacent geotextile panels should have an overlap of at least 18 inches. Construction of the asphaltic concrete courses (i.e., binder and top) should be performed in accordance with NYSDOT Standard Specifications Section 400. The binder and top courses should be compacted to at least 92 percent of the maximum theoretical density.

# 5.0 CONCLUDING REMARKS

This report was prepared to assist in planning the design and construction of the proposed classroom addition and fire lane planned at Brookside Elementary School located at 30 Ryder Road in Ossining, New York. This report has been prepared for specific application to this site and this project only.

The recommendations were prepared based on our understanding of the proposed project, as described herein, and through the application of generally accepted soils and

foundation engineering practices. No warranties, expressed or implied, are made by the conclusions, opinions, recommendations or services provided.

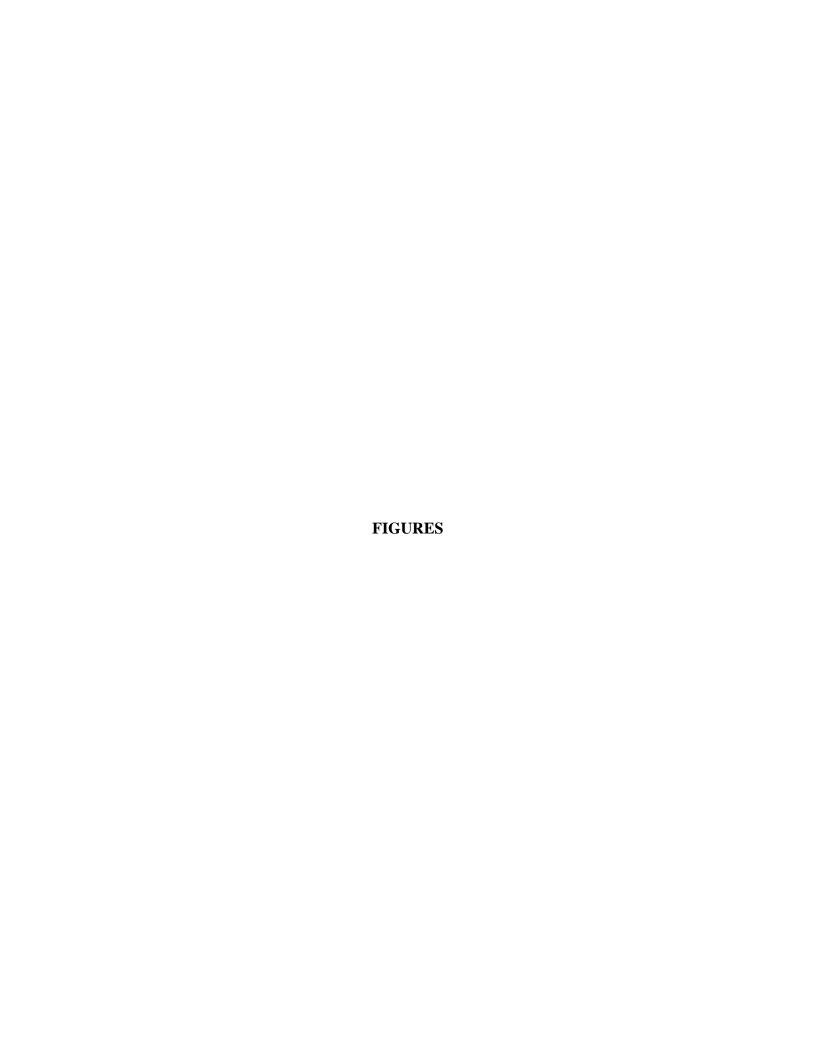
Important information regarding the use and interpretation of this report is presented in Appendix C.

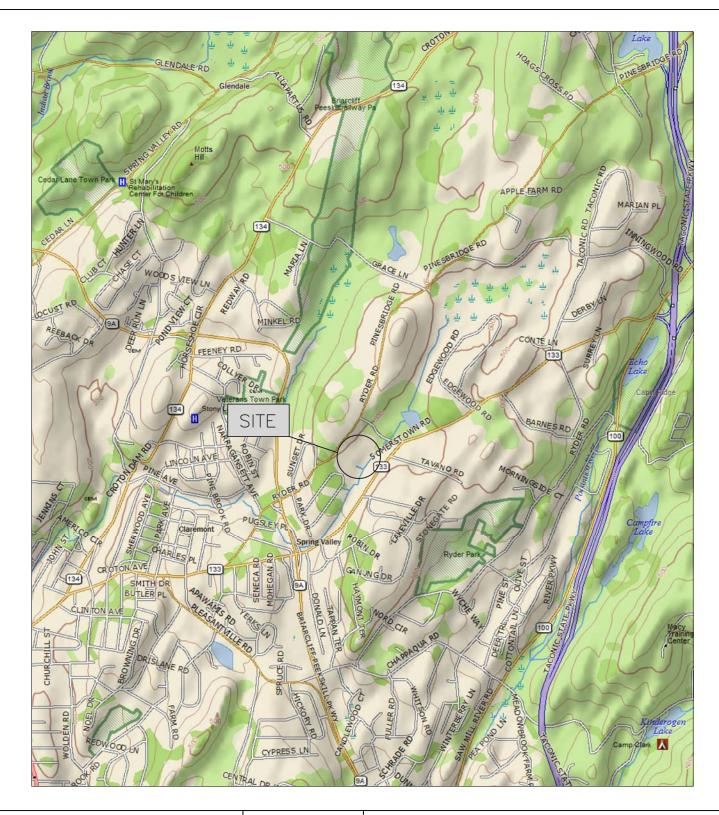
Respectfully Submitted:

Quality Geo Engineering, P.C.

Tod M. Kobik, P.E.

President





# QUALITY GEO ENGINEERING, P.C.

877 ROUTE 4 S
SCHUYLERVILLE, NEW YORK 12871
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SCALE: N.T.S.	SITE LOCATION MAP				
DRAWN BY: TMK					
DATE: 5/4/20	PROPOSED BUILDING ADDITION				
PROJECT No.: SE20-007	BROOKSIDE ELEMENTARY SCHOOL				
FIGURE No.:	30 RYDER ROAD				
1	OSSINING, NEW YORK				



NOTE: TEST BORING LOCATIONS ARE APPROXIMATE.

# QUALITY GEO ENGINEERING, P.C.

877 ROUTE 4 S SCHUYLERVILLE, NEW YORK 12871 PHONE (518) 372-4067 FAX (518) 507-6113

SCALE:	NTS	
DRAWN	BY:	TMK

DATE: 5/4/20 PROJECT No.:

FIGURE No.:

SE20-007 2

# TEST BORING LOCATION PLAN

PROPOSED BUILDING ADDITION BROOKSIDE ELEMENTARY SCHOOL 30 RYDER ROAD OSSINING, NEW YORK

# APPENDIX A SUBSURFACE EXPLORATION LOGS

DATE
------

START: 3/4/2020 FINISH: 3/4/2020

SHEET 1 OF 1



# **DRILLING & TESTING SERVICES**

SUBSURFACE EXPLORATION LOG

BORING NO.	B-1			
PROJ. NO.	SE20-007			
SURF. ELEV.	G.S.			
'-				

See Notes

G.W. DEPTH

PROJECT: Brookside Elementary School Building Addition LOCATION: 30 Ryder Road
Ossining, New York

DEPTH S H		٦ <u>.</u>	LES		BLOWS ON SAMPLER				REC.	SOIL OR ROCK	
(ft.)	SAMPLES	SAMPLE NO.	0/6	6/12	12/18	18/24	N	(ft.)	CLASSIFICATION	NOTES	
_	/	1	1	4	6	5	10	0.9	FILL: Brown Loose Fine-Medium SAND, Some Silt, Little Gravel, Dry	Driller noted a surficial topsoil	
	$\overline{}$	2	5	3	2	3	5	1.3	Brown Loose Fine-Medium SAND, Some Silt, Little Gravel, Moist	ayer at the ground surface.	
_	4	3	1	2	2	2	4	1.4	Brown-Gray		
5 —	Д				_						
		4	1	3	5	6	8	1.2	Gray		
_	Λ	5	1	3	5	4	8	1.6	Similar		
10—	$\overline{/}$	6	2	1	3	3	4	1.4	Grades to "Trace" Organics		
_	$\mathcal{A}$										
		7	11	12	8	11	20	0.5	Firm, Grades to "No" Organics		
15—	$\Delta$	,	11	12	0	11	20	0.5	rinii, Grades to No Organics		
_											
			40	40	47	40		4.4	0 1 1 1000		
20—	/	8	10	12	17	18	29	1.1	Grades to "AND" GRAVEL		
_											
25—	/	9	15	19	21	23	40	1.6	Compact, Grades to "Little" Gravel, Wet		
									Boring terminated at a depth of 26.0 feet.	Free standing water was not	
										encountered in bore hole upon completion of drilling.	
0—	ı										
-											
5—											
-											
40											

N = NO. BLOWS T	O DRIVE 2-INCH SPLIT SPOON 12-INCHES WI	ITH A 140 LB. PIN WT. FALLING 30-INC	HES PER BLOW	CLASSIFICATION: Visual by
DRILLER:	J. Leonhardt	DRILL RIG TYPE :	CME 550X	T. Kobik
METHOD OF INVE	ESTIGATION: ASTM D1586 using 2.25" I.D	. Hollow Stem Augers		

DATE
------

START: 3/3/2020 FINISH: 3/3/2020

SHEET 1 OF 1



# **DRILLING & TESTING SERVICES**

SUBSURFACE EXPLORATION LOG

BORING NO. B-2
PROJ. NO. SE20-007
SURF. ELEV. G.S.

G.W. DEPTH See Notes

PROJECT: Brookside Elementary School Build					mentar	y Schoo	ol Build	ling Ad	g Addition LOCATION: 30 Ryder Road			
									Ossining, New York			
DEPTH	, ES	픠 .		BLOWS	S ON SA	MPLER		REC.	SOIL OR ROCK			
(ft.)	SAMPLES	SAMPLE NO.	0/6	6/12	12/18	18/24	N	(ft.)	CLASSIFICATION	NOTES		
	17	1	1	1	1	3	2	1.3	FILL: Brown Very Loose Fine-Medium SAND, Little Silt, Little	Driller noted a surficial topsoil		
	$V_{\perp}$								Gravel, Trace Bricks, Moist	layer at the ground surface.		
-	4/	2	5	5	6	2	11	1.0	Firm, Grades to "Some" Gravel, "No" Bricks	_		
-	Υ,	_	4	4	4	_	-	4.0	Lacco Crades to "Trace" Ornarios			
_ 5 -	/\	3	1	1	4	2	5	1.2	Loose, Grades to "Trace" Organics	_		
-	1/	4	3	4	1	1	5	1.0	Brown Loose Fine-Medium SAND, Some Gravel, Little Silt, Wet			
_	$\sqrt{}$					-	-					
	$\rfloor/$	5	2	2	2	2	4	1.1	Brown-Gray, Grades to "Some" Silt, Moist			
10_	ν,									_		
-	-	6	1	2	2	3	4	1.3	Gray			
-	1									_		
-										_		
15-	17	7	12	15	14	16	29	1.1	Brown-Gray, Firm	<u> </u>		
	$\mathbf{Z}$									<u> </u>		
-										_		
-										_		
-	+	8	14	17	18	24	35	NR	Compact	NR = No recovery.		
20-	∜/	- 0	14	17	10	24	33	INIX	Compact	INIX = No recovery.		
-	Ť									_		
										_		
-	<b>.</b>									_		
25_	/ إ	9	20	21	16	21	37	1.4	Brown, Grades to "Fine-Coarse" SAND, "Little" Silt, Wet			
-	-								Boring terminated at a depth of 26.0 feet.	Free standing water was not		
-									Borning terminated at a depth of 26.0 feet.	encountered in bore hole upon		
-										completion of drilling.		
30_												
-	4									_		
-	$\exists$									_		
-	+									_		
<del></del> 35-										_		
-										_		
-	_									_		
40-												

N = NO. BL	OWS TO DRIVE 2-INCH SPLIT SPOON 12-INCHES V	VITH A 140 LB. PIN WT. FALLING 30-IN	ICHES PER BLOW	CLASSIFICATION: Visual by						
DRILLER:	J. Leonhardt	DRILL RIG TYPE :	CME 550X	T. Kobik						
METHOD C	METHOD OF INVESTIGATION: A STM D1596 using 2.25" I.D. Hollow Stom Augusts									

START: 3/4/2020 FINISH: 3/4/2020

SHEET 1 OF 1



# **DRILLING & TESTING SERVICES**

SUBSURFACE EXPLORATION LOG

 BORING NO.
 B-3

 PROJ. NO.
 SE20-007

 SURF. ELEV.
 G.S.

 G.W. DEPTH
 See Notes

PROJECT:	Brookside Elementary School Building Additio	n LOCATION: 30 Ryder Road	
		Ossining, New York	
DEBTH S H	BLOWS ON SAMPLER REC	SUIL OB BOOK	

DEPTH	BLOWS ON SAMPLER    S			REC.		NOTES				
(ft.)	SAME	SAN	0/6	6/12	12/18	18/24	N	(ft.)	CLASSIFICATION	
_		1	1	2	3	2	5	1.2	Brown Loose Fine-Coarse SAND, Little Clay, Moist	Driller noted a surficial topsoil
_	7	2	2	6	10	10	16	1.4	Firm, Grades to "AND" GRAVEL	layer at the ground surface.
	Z,		_							
_ 5 _		3	24	12	9	4	21	1.6	Brown-Gray, Grades to "Some" Silt, "Some" Clay, "Trace" Gravel,	_
_	7	4	100/0.2				REF	NR	Wet Very Compact	REF = Sample spoon refusal.
	Z,									NR = No recovery.
_		5	6	8	9	8	17	1.7	Firm, Grades to "Little" Silt, "No" Clay	_
10		6	7	10	10	17	20	NR		_
										_
_										
15	7	7	24	40	29	31	69	1.2	Gray, Very Compact	_
	V.									
_										
-										
_20_	$\angle$	8	33	100/0.2			REF	0.5	Contains Rock Fragments	_
_									Boring terminated with auger refusal at a depth of 21.0 feet.	Free standing water was not
									Domig to miniated miniateger routed at a dopen or 2 no root.	encountered in bore hole upon
_										completion of drilling.
25										_
										_
_										_
										_
30—										
-										_
										_
35										_
-										_
										_
40—	Щ.									

N = NO. BLOWS TO DRIVE 2-INCH SPLIT SPOON 12-INCHES WITH A 140 LB. PIN WT. FALLING 30-INCHES PER BLOW CLASSIFICATION:							
DRILLER:	J. Leonhardt	DRILL RIG TYPE :	CME 550X	Т. Ко	obik		
METHOD OF INVESTIGAT	TON: ASTM D1586 using 2.25" I.I	D. Hollow Stem Augers					

# **EXAMPLE KEY TO SUBSURFACE EXPLORATION LOGS**

										1
DATE  START:									QCQALabs  QC/QA LABORATORIES,INC.  GEOTECHNICAL ENGINEERING SERVICES	PROJ. NO.
PROJECT: PROJECT NAME PROJECT NAME									LOCATION: PROJECT LOCATION  PROJECT LOCATION	
DEPTH (ft)	SAMPLES	SAMPLE No.	0/6	BLOWS ON SAMPLER					SOIL OR ROCK CLASSIFICATION	NOTES
5 -		2	50/.5	3	4	8		1.0 NR	3" TOPSOIL  Brown Loose SILT, some Sand, trace clay,  Moist  Gray SHALE, medium hard, weathered, thin bedded, some fractures  (6)  (7) (numbered features explained on reverse)	Groundwater at 10' upon completion, and 5' 24 hrs. after completion Run#1, 3.5'-6.0' 95% Recovery 50% RQD
Τ .	ы	_				_	T . I		II	

### TABLE

# Split Spoon Sample









#### TABLE Ш

Identification of soil type is made on basis of an estimate of particle sizes, and in the case of fine grained soils also on basis of plasticity.

	•	
Soil Type	Soil Particle Size	
Boulder	>12"	
Cobble	3" - 12"	
Gravel - Coarse	3" - 3/4"	Coarse Grained
- Fine	3/4" - #4	(Granular)
Sand - Coarse	#4 - #10	
- Medium	#10 - #40	
- Fine	#40 - #200	
Silt - Non Plastic (	` / と無り()()	Fine Grained

#### TABLE Ш

The following terms are used in classifying soils consisting of mixtures of two or more soil types. The estimate is based on weight of total sample.

Term	Percent of Total Sample
"and"	35 - 50
"some"	20 - 35
"little"	10 - 20
"trace"	less than 10

(When sampling gravelly soils with a standard split spoon, the true percentage of gravel is often not recovered due to the relatively small sampler diameter.)

# **TABLE**

The relative compactness or consistency is described in accordance with the following terms:

#### **Granular Soils Cohesive Soils** Term Blows per Foot, N Term Blows per Foot, N 0 - 2 Very Soft Very Loose 0 - 4 2 - 4 Soft 4 - 10 Loose Medium 4 - 8 10 - 30 Firm Stiff 8 - 15 30 - 50 Compact Very Stiff 15 - 30 >50 Very Compact >30 Hard

(Large particles in the soils will often significantly influence the blows per foot recorded during the penetration test)

# **TABLE**

Varved	Horizontal uniform layers or seams of soil(s).
Layer	Soil deposit more than 6" thick.
Seam	Soil deposit less than 6" thick.
Parting	Soil deposit less than 1/8" thick.
Laminated	Irregular, horizontal and angled seams and partings of soil(s).

# **TABLE**

Rock Class	sification Term	Meaning	Rock Cla	ssification Term	Meaning	
Hardness	- Soft - Medium Hard - Hard - Very Hard	Scratched by fingernail Scratched easily by penknife Scratched with difficulty by penknife Cannot be scratched by penknife	Bedding	<ul><li>Laminated</li><li>Thin Bedded</li><li>Bedded</li><li>Thick Bedded</li></ul>	(<1") (1" - 4") (4" - 12") (12" - 36")	Natural breaks in Rock Layers
Weathering	<ul><li>Very Weathered</li><li>Weathered</li><li>Sound</li></ul>	Judged from the relative amounts of disintegration, iron staining, core recovery, clay seams, etc.		- Massive refers to natural brea e rock layers)	(>36") aks in the rock	oriented at some

# GENERAL INFORMATION & KEY TO SUBSURFACE LOGS

The Subsurface Logs attached to this report present the general observations and mechanical data collected by the driller at the site, supplemented by classificiation of the material removed from the borings as determined through visual identification by technicians in the laboratory. It is cautioned that the materials removed from the borings represent only a small fraction of the soils at the site and may not be representative of subusurface conditions between and/or away from the boring locations or between the sampled intervals. The data presented on the Subsurface Logs along with the recovered samples provide a basis for estimating the engineering characteristics of the soils at the site. The evaluation must consider all the recorded details and their relative significance to the project. It is common that evaluation of standard subsurface data indicates the need for additional testing and/or sampling to more accurately evaluate the subsurface conditions. Any evaluation of the data presented on the Subsurface Logs must be performed by qualified professionals. The following information defines some of the procedures and terms used on the Subsurface Logs to describe the conditions encountered. The paragraph numbers below correspond to the numbered features identified on the opposite page.

- 1. The figures in the Depth column define the scale of the Subsurface Log.
- 2. The Samples column shows a graphical representation of the depth and type of sampling performed. See Table I for descriptions of the symbols used to represent the various types of samples.
- 3. The Sample No. is used for identification on sample containers and laboratory test reports.
- 4. Blows on Sampler shows the results of the "Standard Penetration Test" (SPT), recording the number of blows required to drive a split spoon sampler into the soil. The number of blows required to drive the sampler for each six inch increment is recorded. The first six inches of penetration is considered a seating drive. The sum of the number of blows required for the second and third six inch increments is termed the penetration resistance, N. The outside diameter of the sampler, hammer weight and length of drop are noted at the bottom of the Subsurface Log.
- 5. Recovery Shows the length of the recovered sample.
- 6. All recovered soil samples are reviewed in the laboratory by an engineering technician or geotechnical engineer, unless noted otherwise. Visual descriptions are made on the basis of a combination of the driller's field descriptions and noted observations together with the sample as received in the laboratory. The method of visual classification is based primarily on the Unified Soil Classification System (ASTM D 2487) with regard to the particle size and plasticity (See Table No. II), and the Unified Soil Classification group symbols for the soil types are sometimes included with the soil classification. Additionally, the relative portion, by weight, of two or more granular soil types is described in accordance with "Suggested Methods of Test for Identification of Soils" by D.M. Burmister, ASTM Special Technical Publication 479, June 1970, (See Table No. III). Description of the relative soil density or consistency is based upon the penetration records as defined in Table No. IV. The description of the soil moisture is based upon the relative wetness of the soil as recovered and is decribed as dry, moist, wet or saturated. Water introduced into the boring either naturally or during drilling may have affected the moisture condition of the recovered samples. Special terms are used as required to describe soil deposition in greater detail; several such terms are listed in Table V. When sampling gravelly soils with a standard two inch diameter split spoon sampler, the true percentage of gravel is often not recovered due to the relatively small samper diameter. The presence of boulders and large gravel is sometimes, but not necessarily, detected by an evaluation of the sampler blows or through the action of the drill rig as reported by the driller.
- 7. Rock descriptions are based on review of the recovered rock core samples and the driller's notes. Typical rock classification terms are included in Table VI.
- 8. The stratification lines represent the approximate boundary between soil types and the transition may be gradual. Solid stratification lines delineate apparent changes in soil type, based upon review of recovered soil samples and the driller's notes. Dashed lines indicate a lesser degree of certainty with respect to either a change in soil type or where such a change may occur.
- 9. Miscellaneous observations and procedures noted by the driller are shown in this column, including water level observations. It is important to understand that the reliability of the water observations depends upon the soil type (water level does not readily stabilize in a bore hole through fine grained soils), and that any drill water used to advance the boring may have influenced the observations. Typically, the ground water level will fluctuate with seasonal changes in precipitation patterns. One or more perched or trapped water levels may exist in the ground seasonally. Generally, it is prudent to install a groundwater observation well to better define water levels.
- 10. The length of core run is defined as the length of penetration of the core barrel. Core recovery is the length of core recovered divided by the core run length. The Rock Quality Designation (RQD) is the total length of pieces of recovered core exceeding 4 inches divided by the core run length. The size of the core barrel used is also noted.

# APPENDIX B

# FILL MATERIAL AND PLACEMENT RECOMMENDATIONS

# FILL MATERIAL AND PLACEMENT RECOMMENDATIONS

# I. Fill Material Recommendations

# A. Subbase Stone

Subbase Stone should consist of a crusher run stone meeting the material and gradation requirements of New York State Department of Transportation (NYSDOT), Standard Specifications, Item 304.12 – Type 2 Subbase Course. Subbase Stone for pavement construction should consist of Item 304.12 – Type 2 or Item 304.14 – Type 4 Subbase Course.

# B. Structural Fill

Structural Fill should consist of an imported well graded crusher run stone or bankrun sand and gravel, which is free of clay, expansive shale, organics and friable or deleterious particles. Imported Structural Fill should also conform to the following gradation requirements:

Sieve Size	Percent Finer by Weight
3 inch	100
¼ inch	25-65
No. 40	5-40
No. 200	0-10

# C. Drainage Stone

Drainage Stone should consist of a blend of crusher run stone or crushed gravel meeting the material and gradation requirements of ASTM C33 size 57 Coarse Aggregate as follows:

Sieve Size	Percent Finer by Weight
1-1/2 inch	100
1 inch	95-100
1/2 inch	25-60
No. 40	0-10
No. 8	0-5

Drainage Stone must be wrapped in a non-woven geotextile fabric, such as Mirafi 140 N, or equivalent.

# D. General Fill

General Fill may be used for backfill in non-loaded areas outside of foundation, structure, slab-on-grade and paved areas. General Fill may consist of on-site or imported soils, which are free of topsoil, organics, pyritic materials, debris and deleterious materials and are of a moisture content suitable for proper compaction.

# II. Fill Placement and Compaction Recommendations

All controlled fill placed beneath foundations, structures, utilities, slab-on-grade and pavement areas should be compacted to a minimum of 95 percent of the maximum dry density as measured by the modified Proctor test (ASTM D1557), or as directed by the geotechnical engineer. Fill placed in non-loaded grass areas can be compacted to a minimum of 90 percent of the maximum dry density (ASTM D1557). Drainage Stone should be compacted with several passes of a steel drum roller or plate tamper (compaction testing is not required for Drainage Stone).

Placement of Structural Fill and Subbase Stone should not exceed a maximum loose lift thickness of 9 inches and should be reduced in conjunction with the compaction equipment used so that the required density is attained. Drainage Stone can be placed in maximum 24-inch thick loose lifts.

Fill should have a moisture content within 2 percent of the optimum moisture content prior to compaction. Subgrades should be properly drained and protected from moisture and frost. Placement of fill on frozen subgrades is not acceptable. It is recommended that all fill placement and compaction be monitored and tested by qualified geotechnical personnel.

# III. Quality Assurance Testing

The following minimum laboratory and field quality assurance testing frequencies are recommended to confirm fill material quality and post placement and compaction conditions. These minimum frequencies are based on generally uniform material properties and placement conditions. Should material properties vary or conditions at the time of placement vary (i.e. moisture content, placement and compaction, procedures or equipment, etc.), then additional testing is recommended. Additional testing, if required, should be determined by qualified geotechnical personnel based on evaluation of the actual fill material and construction conditions.

# A. Laboratory Testing of Material Properties

- Moisture content (ASTM D-2216) 1 test per 4000 cubic yards or no less than 2 tests per each material type.
- Grain Size Analysis (ASTM D-422) 1 test per 4000 cubic yards or no less than 2 tests per each material type.
- Modified Proctor Moisture Density Relationship (ASTM D-1557) 1 test per 4000 cubic yards or no less than 1 test per each material type.

# B. Field In-Place Moisture/Density Testing (ASTM D-6938)

- Backfilling along trenches and foundation walls 1 test per 50 lineal feet per lift.
- Backfilling Isolated Excavations (i.e. column foundations) 1 test per lift.
- Filling in open areas for slab-on-grade and pavement construction 1 test per 2500 square feet per lift.

# APPENDIX C

# INFORMATION REGARDING THIS GEOTECHNICAL ENGINEERING REPORT

# IMPORTANT INFORMATION REGARDING THIS GEOTECHNICAL ENGINEERING REPORT

Quality Geo Engineering, P.C. (Quality Geo), has endeavored to prepare this report in accordance with generally accepted geotechnical engineering principles and practices on behalf of QC/QA Laboratories, Inc. (QCQA Labs). Geotechnical engineering analyses and evaluations are based partly on judgment and opinion, and are therefore far less exact than other engineering disciplines. Accordingly, Quality Geo believes that providing the report user with information regarding the preparation and limitations of this report will aid in the proper interpretation and implementation of the conclusions and recommendations presented in this report. The following information is provided in an effort to reduce potential geotechnical-related delays, cost over-runs and other problems that can develop during the design and construction process.

SCOPE OF SERVICES: The scope of this report is limited to the specific items identified in QCQA Labs' Proposal for services for this project. The scope of services is limited to a geotechnical engineering evaluation of the conditions disclosed by the subsurface exploration and does not include any geoenvironmental assessment or investigation for the presence, absence or prevention of any hazardous or toxic materials or conditions (or mold) in the soil, groundwater or surface water within or beyond the project site. Unanticipated environmental problems can lead to significant project cost over-runs and QCQA Labs recommends that the Owner retain a geoenvironmental consultant to discuss risk management guidance.

PROJECT-SPECIFIC FACTORS: The conclusions and recommendations presented in this report were prepared based on project-specific factors described in the report, such as the size, loading, type of construction and intended use of the structure; the location of the structure on the site; planned structure elevation(s) and site grading; other planned or existing site improvements, such as access roads, parking lots, underground utilities; and any other pertinent project information. Changes to the project details may alter the factors considered in development of the report conclusions and recommendations. As such, neither Quality Geo nor QCQA Labs shall be responsible nor liable for problems that may develop if we are not consulted regarding any changes to the project-specific factors that were assumed during preparation of the report.

SUBSURFACE CONDITIONS: The subsurface exploration program for this project consisted of sampling only at discrete test locations. Quality Geo has used judgment to infer the subsurface conditions between the discrete test locations. The conclusions and recommendations presented in this report were based on the subsurface conditions disclosed/inferred at and between the discrete test locations at the time the subsurface exploration program was performed. We point out that surface and subsurface conditions at the site are subject to change subsequent to preparation of this report. Such changes may include floods, earthquakes, groundwater fluctuations, and construction activities at the site and/or adjoining properties. It should be understood that the actual subsurface conditions could vary from the conditions inferred by Quality Geo between and away from the discrete test locations, which could be revealed during construction. As such, QCQA Labs should be retained during construction to confirm that the subsurface conditions are consistent with the conditions disclosed by the subsurface exploration program, and to refine our conclusions and recommendations in the event that the subsurface conditions differ from those disclosed by the subsurface exploration program.

USE OF THIS GEOTECHNICAL ENGINEERING REPORT: This report has been prepared for the exclusive use of our client, and any other parties specifically identified in the report, for specific application to the site and project-specific conditions described in the report. This report should not be applied to any other site or project, or for any uses other than those originally intended without our consent.

MISINTERPRETATION OF THIS REPORT: The conclusions and recommendations presented in this report are subject to misinterpretation by the design team and contractors, which can result in costly problems. The risk of misinterpretation by the design team can be reduced by having appropriate members of the design team confer with QCQA Labs regarding the conclusions and recommendations presented in this report prior to completing the plans and specifications. In addition, QCQA Labs should be retained to review pertinent elements of the design team's final plans and specifications prior to bidding to confirm that the recommendations presented in this report have been properly interpreted and applied. The risk of misinterpretation by contractors can be reduced by retaining QCQA Labs to attend prebid and preconstruction conferences, and to provide construction observation.

COMPONENTS OF THIS REPORT: Subsurface exploration logs, figures, tables and any other report components are subject to misinterpretation if they are separated from this report. This may occur if copies of the boring logs or other report components are given to the contractors during the bid preparation process. To minimize this risk, report components should not be separated from the report and only complete copies of this report should be distributed as appropriate.

ALTERATION OF THIS REPORT: It is a violation of Section 7209 Subdivision 2 of the New York State Education Law for any person to alter this report in any way, except under the direction of a licensed professional engineer. Neither QCQA Labs nor Quality Geo shall be liable for any alterations that are made to this report without our knowledge and written consent.



# LIMITED PRE-RENOVATION INSPECTION FOR ASBESTOS-CONTAINING MATERIALS (ACM)

For

OSSINING UFSD 400 Executive Blvd Ossining, NY 10562

At

CLASSROOM ADDITION PROJECT
Brookside School
30 Ryder Rd
Ossining, NY 10562

**Project #Q20-3302** 

June 22, 2020

Ossining UFSD 400 Executive Blvd Ossining, NY 10562

**ATTN: Jared Mance** 

Via E-mail: <a href="mailto:jmance@ossiningufsd.org">jmance@ossiningufsd.org</a>

Re.: **Brookside Elementary School** 

(Classroom Addition Project)

30 Ryder Road, Ossining, NY 10562 Limited Pre-Renovation Asbestos Survey

QuES&T Project #Q20-3302

Dear Mr. Mance,

Attached is the Limited Pre-Renovation Inspection Report for Asbestos-Containing Materials (ACM) identified throughout interior & exterior building spaces included within the abovereferenced location(s) by Quality Environmental Solutions & Technologies, Inc. (QuES&T). The inspection included visual assessment and representative sampling for the detection of ACM in compliance with the requirements of Title 12 NYCRR Part 56-5.1.

The attached report summarizes the inspection protocol and inspection results for your review. QuES&T believes this report accurately reflects the material condition existing in the functional spaces at the time of our inspection.

Should you wish to discuss this matter further or require additional information concerning this submittal, please contact us at (845) 298-6031. QuES&T appreciates the opportunity to assist the Ossining UFSD in the environmental services area.

Sincerely,

Tanay Ranadive

Tanay Ranadire

Field and Technical Services **NYS AHERA Inspector** Cert. #AH 15-10696 NYS Mold Assessor



### **Table of Contents**

Introduction	Page 1
Inspection Summary	Page 2
<b>Identified Asbestos-containing Materials (ACM)</b>	Page 3
General Discussion	Page 4
<b>Abatement Required</b>	Page 5
Disclaimers	Page 6
•	rings
•	ions
	Inspection Summary  Identified Asbestos-containing Materials (ACM)  General Discussion  Abatement Required

#### I. INTRODUCTION:

Quality Environmental Solutions & Technologies, Inc. (QuES&T) performed an Asbestos Survey for Building/Structure Demolition, Renovation, Remodeling and Repair, in conformance with Title 12 NYCRR Part 56-5.1, on March 24, 2020 for the Brookside School, located 30 Ryder Rd, Ossining, NY 10562, in support of the Classroom Addition Project. The survey included a visual inspection/assessment for PACM and suspect miscellaneous ACM throughout accessible interior & exterior spaces of the building/structure or portion thereof identified to be demolished, renovated, remodeled or repaired. The scope of work for this survey was limited to the first-grade classroom wing of Brookside School, as described by Mr. Jared Mance of Ossining UFSD.

**QuES&T** established functional spaces based either on physical barriers (i.e. walls, doors, etc.) or homogeneity of material. Within each functional space identified, a visual inspection was performed using reasonable care and judgment, to identify and assess location, quantity, friability and condition of all accessible installed ACM building materials observed at the affected portion of the building/structure.

Limited localized demolition of building surfaces was performed, as part of this survey, to access concealed surfaces. No disassembly of installed equipment was conducted as part of this inspection. ACM concealed within structural components and equipment interiors or that is accessible only through extensive mechanical or structural demolition may not have been identified as part of this survey. When any construction activity, such as demolition, remodeling, renovation or repair work, reveals PACM or suspect miscellaneous ACM that has not been identified, as part of this survey, all construction activities shall cease in the affected area.

The survey included both visual inspection of accessible spaces and representative sampling of suspect building materials for ACM. Samples collected were analyzed by a laboratory approved under the New York State Department of Health Environmental Laboratory Approval Program (NYSDOH ELAP). Samples were analyzed in the laboratory by Polarized Light Microscopy (PLM), Polarized Light Microscopy-NOB (PLM-NOB) and/or Quantitative Transmission Electron Microscopy (QTEM), as required. Sample collection and laboratory analysis were conducted in compliance with the requirements of Title 12 NYCRR Part 56-5.1, 29 CFR 1926.1101 and standard EPA & OSHA accepted methods. Samples consisting of multiple layers were separated and analyzed independently in the laboratory.

Certified QuES&T personnel (Appendix B), Mr. Jonathan Mages (Cert. #AH 18-53364) & Mr. Tanay Ranadive (#AH 15-10696) performed visual assessments throughout interior & exterior locations identified. A total of seventeen (17) samples/layers of installed, and accessible, suspect building materials were analyzed by a laboratory approved under the NYSDOH ELAP. Seven (7) samples/layers were analyzed using Polarized Light Microscopy (PLM) for friable materials; six (6) samples/layers were analyzed using Polarized Light Microscopy (PLM-NOB) for non-friable organically bound materials; and four (4) samples/layers were analyzed by Confirmatory-QTEM following negative-determinations using PLM-NOB protocols.

### II. INSPECTION SUMMARY:

A visual inspection was performed, and homogenous material types were established based on appearance, color and texture. The findings presented in this report are based upon reasonably available information and observed site conditions at the time the assessment was performed. The findings and conclusions of this report are not meant to be indicative of future conditions at the site and does not warrant against conditions that were not evident from visual observations or historical information obtained from others.

Representative bulk sampling was performed on suspect building materials for laboratory analysis using PLM, PLM-NOB & QTEM. The following is a summary of installed building materials sampled:

- Flooring Materials Cementitious Slab
- Wall Materials Cement Coating
- Ceiling Materials 2'x 4' Ceiling Tile.
- Thermal System Insulation (TSI) Mudded Joint Packing
- <u>Miscellaneous Materials</u> Caulk, Waterproofing Tar, Tar.

### III. IDENTIFIED ASBESTOS-CONTAINING MATERIALS (ACM):

## IDENTIFIED ACM BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION PROJECT

KEY: **ACM** = Materials containing greater than 1% of Asbestos.

**LF** = Linear Feet; **SF** = Square Feet; **PACM** = Presumed Asbestos-containing Materials.

Friable = ACM capable of being released into air, and which can be crumbled, pulverized, powdered, crushed or exposed

by hand-pressure.

Location	Material	Approximate Quantity	Friable?	Condition		
INTERIORS						
Rear Hallway, South, Above Suspended Ceiling, on Metal Pipes, at elbow	Mudded Joint Packing	60 Elbows	Yes	Good		
Art Room 17, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	1,040 SF	Yes	Good		
Classroom 18, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	840 SF	Yes	Good		
Classroom 19, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	790 SF	Yes	Good		
Classroom 20, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	830 SF	Yes	Good		
Classroom 21, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	800 SF	Yes	Good		
Classroom 22, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	790 SF	Yes	Good		
Classroom 23, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	800 SF	Yes	Good		
Classroom 24, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	800 SF	Yes	Good		
Rear Hallway, South, Suspended 2' x 4' Dot Canyon	Ceiling Tiles	3,000 SF	Yes	Good		
Gym Storage Room	Ceiling Tiles	200 SF	Yes	Good		
Faculty Rooms	Ceiling Tiles	700 SF	Yes	Good		
Work Room	Ceiling Tiles	200 SF	Yes	Good		
EXTERIORS						
Foundation Wall, Below Grade, on Concrete	Waterproofing Tar	400 SF	No	Good		

### NOTE(S):

1. Quantities associated with Below Grade Foundation ACM Waterproofing Tar are approximate and only associated with the scope of work for the Classroom Addition Project. Material continues on outside the scope of work.

### IV. GENERAL DISCUSSION:

All construction personnel as well as individuals who have access to locations where asbestos containing materials (ACM) exists should be informed of its presence and the proper work practices in these areas. Conspicuous labeling of all ACM is suggested to ensure personnel is adequately informed. Personnel should be informed not to rest, lean or store material or equipment on or near these surfaces and not to cut, saw, drill, sand or disturb ACM. All removal, disturbance, and repair of ACM should be performed in compliance with Title 12 NYCRR Part 56 by persons properly trained to handle ACM. Facility custodial and maintenance personnel should receive training commensurate with their work activities as defined in 29 CFR 1910.1001.

The findings presented in this report are based upon reasonably available information and observed site conditions at the time the assessment was performed. Conditions may have changed since that time and the findings and conclusions of this report are not meant to be indicative of future conditions at the Site. This report does not warrant against conditions that were not evident from visual observations or historical information obtained, or conditions that could only be determined by physical sampling or other intrusive investigation techniques that are outside the proposed scope of work.

### V. ABATEMENT REQUIRED:

As specified in Title 12 NYCRR Part 56-5.1 (h) and (i), "If the building/structure asbestos survey finds that the portion of the building/structure to be demolished, renovated, remodeled, or have repair work contains ACM, PACM, suspect miscellaneous ACM assumed to be ACM, or asbestos material, which is impacted by the work, the owner or the owner's agent shall conduct, or cause to have conducted, asbestos removal performed by a licensed asbestos abatement contractor in conformance with all standards set forth in this Part. All ACM, PACM, suspect miscellaneous ACM assumed to be ACM, or asbestos material impacted by the demolition, renovation, remodeling or repair project shall be removed as per this Part, prior to access or disturbance by other uncertified trades or personnel. No demolition, renovation, remodeling or repair work shall be commenced by any owner or the owner's agent prior to the completion of the asbestos abatement in accordance with the notification requirements of this Part...All building/structure owners and asbestos abatement contractors on a demolition, renovation, remodeling, or repair project, which includes work covered by this part, shall inform all trades on the work site about PACM, ACM, asbestos material and suspect miscellaneous ACM...Bids may be advertised and contracts awarded for demolition, remodeling, renovation, or repair work, but no work on the current intermediate portion of the project shall commence on the demolition, renovation, remodeling or repair work by any owner or agent prior to completion of all necessary asbestos abatement work for the current intermediate portion of the entire project, in conformance with all standards set forth in this Part."

Prior to conducting demolition or construction work at the building, all ACM affected/impacted by such activities shall be removed utilizing a licensed asbestos abatement contractor and NYSDOL/EPA/NYC certified personnel prior to construction/demolition activities. All work conducted should be in accordance with all legal requirements, including but not limited to U.S. Environmental Protection Agency (EPA) National Emissions Standards for Hazardous Air Pollutants (NESHAP) [40 CFR Part 61], New York State Industrial Code Rule 56 Asbestos Regulations (ICR 56) and Chapter 1 of Title 15 of the Rules of the City of New York Regulations, as applicable. Advance notification of the asbestos project to the USEPA, NYSDOL, and NYCDEP may be required.

All suspect building materials not sampled during this survey should be considered ACM until these materials are sampled and analyzed for ACM in the laboratory. Concealed ACM: In addition to the ACMs identified at the site, there is a possibility that concealed ACM may exist at the subject facility. As such, if any concealed suspect ACM is encountered during future construction related activities, the work should immediately stop. Prior to resuming the work, the suspect ACM should either be 1) Sampled by an appropriately-certified asbestos professional and submitted to an Approved NYSDOH ELAP laboratory for asbestos analysis or 2) Presumed to be ACM (PACM) and removed by a licensed asbestos abatement contractor for disposal in accordance with all applicable regulations.

#### VI. DISCLAIMERS

It should be noted that the information contained within this report is based solely upon site observations and the results of laboratory analysis for samples collected by **QuES&T**. These observations and results are time dependent, subject to changing site conditions and revisions to Federal, State and Local regulations. **QuES&T** warrants that these findings have been promulgated after being prepared in general accordance with generally accepted practices in the abatement industries. **QuES&T** also recognizes that inspection laboratory data is not usually sufficient to make all abatement and management decisions.

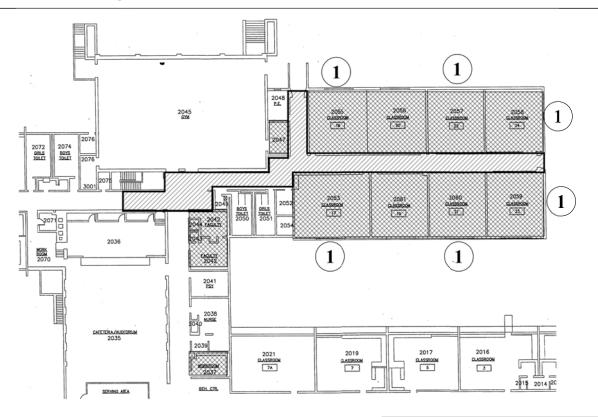
Due to the potential for concealed Asbestos-containing Materials (ACM) or other regulated materials, this report should not be construed to represent all ACM or regulated materials within the site(s). All quantities of ACM or other regulated materials identified, and all dimensions listed within this report are approximate and should be verified On-site.

This inspection report is not intended to be used as the sole basis for soliciting pricing for asbestos abatement. An abatement plan, specification, drawing and/or Variances should be developed to identify scope, timing, phasing and remediation means & methods for any asbestos project. The Linear and/or Square Footages (LF / SF) listed within this Report are only approximates. Abatement Contractor(s) are required to visit the building(s) in order to take actual field measurements within each listed location.



## Appendix A: ACM & SAMPLE LOCATION DRAWINGS

### Ossining UFSD - Brookside School (Classroom Addition)





### Classroom Addition Key Plan - ACM Locations

\*\*Drawing Not to Scale\*\*

This Drawing is not intended to be used as the sole basis for soliciting pricing for asbestos abatement. An abatement plan, specification, drawing and/or variances should be developed to identify scope, timing, phasing and remediation means & methods for any asbestos project.

ACM LEGEND: (see report for details)							
ACM Mudded Joint Packing Above ACM 2' x 4' Dot Canyor Ceiling Grid							
	ACM 2'x4' Dot Canyon Ceiling Grid						
ACM Waterproofing Tar on Below Grade Concrete Foundation							

**Ossining UFSD** 400 Executive Blvd Ossining, NY 10562

**Brookside School** 30 Ryder Rd Ossining, New York 10562

QuES&]

Issued For: Pre-Renovation Asbestos

Version #

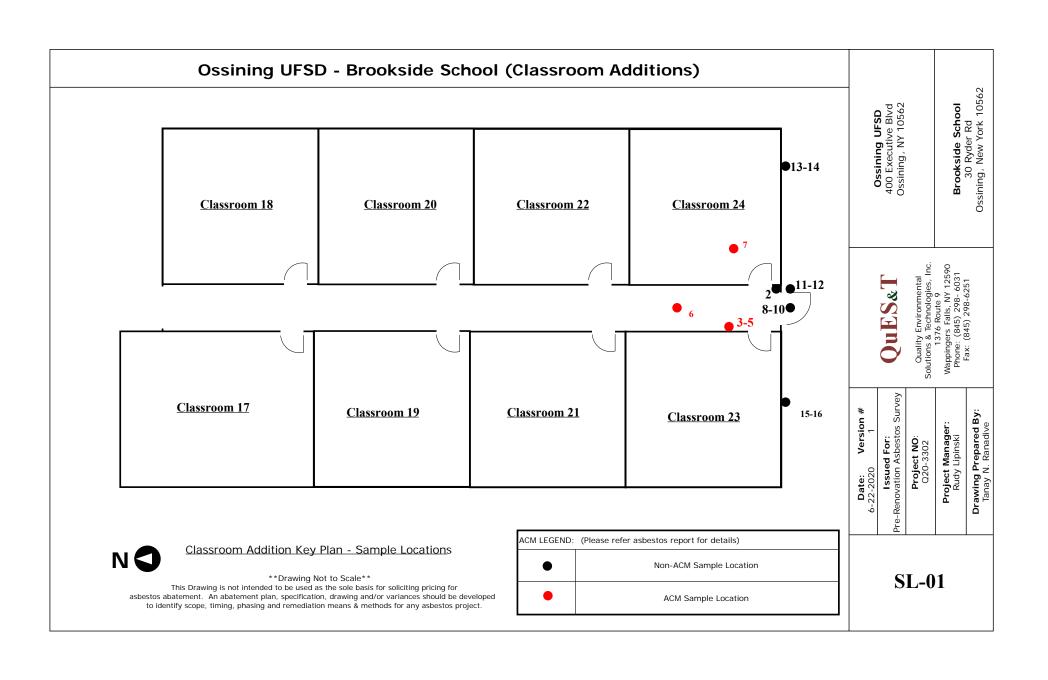
**Date:** 6-22-2020

Ouality Environmental Solutions & Technologies, Inc. 1376 Route 9 Wappingers Falls, NY 12590 Phone: (845) 298-6031 Fax: (845) 298-6251

**Drawing Prepared By:** Tanay N. Ranadive **Project Manager:** Rudy Lipinski

**ASB-01** 

**Project NO**: 020-3302





# **Appendix B: PHOTOS**



Quality Environmental Solutions & Technologies, Inc.



Picture 1.0: Shows positive ACM Mudded Joint Packing Elbows in the Hallway near the exit of the hallway wing.



<u>Picture 2.0:</u> Shows positive ACM Waterproofing Tar below grade on the cement foundation wall.



## **Appendix C: SAMPLE RESULTS**



### **EMSL** Analytical, Inc.

2975 Brighton Henrietta Town Line Rd ,100 Ste 130 Rochester, NY 14623 Tel/Fax: (585) 957-9436 / (585) 957-9437 http://www.EMSL.com / rochesterlab@EMSL.com

EMSL Order: 532000468 Customer ID: QUES51

Customer PO: Project ID:

**Attention:** Tanay Ranadive

Quality Environmental Solution & Tech

1376 Route 9

Analyzed

Wappingers Falls, NY 12590

**Fax**: (845) 298-6251

**Received Date:** 03/26/2020 3:03 PM **Analysis Date:** 03/27/2020 - 03/31/2020

Phone: (845) 298-6031

**Collected Date:** 03/26/2020

**Project:** Brookside School, Ossining NY, Addition ASB Sampling, Q20-3302

### **Test Report: Asbestos Analysis of Bulk Material**

### Non-Asbestos

Test	Date	Color	Fibrous	Non-Fibrous	Asbestos
Sample ID 3302-01		Description	Principal's Office, Floo	r - Cementitious Slab	
532000468-0	0001	Homogeneity	Homogeneous		
PLM NYS 198.1 Friable	03/27/2020	Gray		10.00% Ca Carbonate 90.00% Non-fibrous (other)	None Detected
PLM NYS 198.6 VCM					Not Analyzed
PLM NYS 198.6 NOB					Not Analyzed
EM NYS 198.4 NOB					Not Analyzed
Sample ID 3302-02		Description	Hallway by Classroom	24, Floor - Cementitious Slab	
532000468-0	0002	Homogeneity	Homogeneous		
PLM NYS 198.1 Friable	03/27/2020	Gray		10.00% Ca Carbonate 90.00% Non-fibrous (other)	None Detected
PLM NYS 198.6 VCM					Not Analyzed
LM NYS 198.6 NOB					Not Analyzed
EM NYS 198.4 NOB					Not Analyzed
Sample ID 3302-03 532000468-0	0003	Description Homogeneity	Hallway by Classroom Homogeneous	23, Above Suspended Ceiling, on Metal Elbov	v - Mudded Joint Packing
PLM NYS 198.1 Friable	03/27/2020	. ,	0% Cellulose 0% Min. Wool	10.00% Ca Carbonate 20.00% Non-fibrous (other)	None Detected
PLM NYS 198.6 VCM					Not Analyzed
PLM NYS 198.6 NOB					Not Analyzed
EM NYS 198.4 NOB					Not Analyzed
Sample ID 3302-04		Description	Hallway by Classroom	23, Above Suspended Ceiling, on Metal Elbov	v - Mudded Joint Packing
532000468-0	0004	Homogeneity	Homogeneous		
PLM NYS 198.1 Friable	03/27/2020	7.00	0% Cellulose 0% Glass 0% Synthetic	80.60% Non-fibrous (other)	7.20% Chrysotile 1.20% Crocidolite 8.4% Total
PLM NYS 198.6 VCM					Not Analyzed
PLM NYS 198.6 NOB					Not Analyzed
TEM NYS 198.4 NOB					Not Analyzed
Sample ID 3302-05 532000468-0	0005	Description Homogeneity	Hallway by Classroom	23, Above Suspended Ceiling, on Metal Elbov	v - Mudded Joint Packing
PLM NYS 198.1 Friable	03/27/2020				Positive Stop (Not Analyzed)
PLM NYS 198.6 VCM					Not Analyzed
PLM NYS 198.6 NOB					Not Analyzed
TEM NYS 198.4 NOB					Not Analyzed

Initial report from: 04/01/2020 09:48:02



EMSL Order: 532000468
Customer ID: QUES51
Customer PO:

Project ID:

### Test Report: Asbestos Analysis of Bulk Material

		Analyzed			Non-Asbestos	
Te	est	Date	Color	Fibrous	Non-Fibrous	Asbestos
Sample ID	3302-06		Description	Hallway, Suspended	d Ceiling, 2'x4', DOT Canyon, Old - Ceiling Tile	
	532000468-00	006	Homogeneity	Heterogeneous		
LM NYS 19	98.1 Friable					Not Analyzed
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB	03/30/2020	Gray/ Pink 11.00	0% Min. Wool	86.60% Other	2.40% Chrysotile
TEM NYS 19	98.4 NOB					Not Analyzed
Sample ID	3302-07		Description	Classroom 24, Susp	oended Ceiling 2'x4', DOT Canyon, Old - Ceilin	g Tile
	532000468-00	007	Homogeneity			
PLM NYS 19	98.1 Friable					Not Analyzed
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB	03/30/2020				Positive Stop (Not Analyzed)
TEM NYS 19	98.4 NOB					Not Analyzed
Sample ID	3302-08		Description	Exterior, Vestibule,	Ceiling - Cement Coating	
	532000468-00	008	Homogeneity	Homogeneous		
PLM NYS 19	98.1 Friable	03/27/2020	Gray		10.00% Ca Carbonate 90.00% Non-fibrous (other)	None Detected
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB					Not Analyzed
TEM NYS 19	98.4 NOB					Not Analyzed
Sample ID	3302-09		Description	Exterior, Vestibule,	Ceiling - Cement Coating	
	532000468-00	009	Homogeneity	Homogeneous		
PLM NYS 19	98.1 Friable	03/27/2020	Gray		20.00% Ca Carbonate 80.00% Non-fibrous (other)	None Detected
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB					Not Analyzed
TEM NYS 19	98.4 NOB					Not Analyzed
Sample ID	3302-10		Description	Exterior, Vestibule,	Ceiling - Cement Coating	
	532000468-00	010	Homogeneity	Homogeneous		
PLM NYS 19	98.1 Friable	03/27/2020	Gray		10.00% Ca Carbonate 90.00% Non-fibrous (other)	None Detected
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB					Not Analyzed
TEM NYS 19	98.4 NOB					Not Analyzed
Sample ID	3302-11		Description	Exterior, Between B	ricks, Expansion - Caulk	
	532000468-00	)11	Homogeneity	Homogeneous		
PLM NYS 19	98.1 Friable					Not Analyzed
PLM NYS 19	98.6 VCM					Not Analyzed
PLM NYS 19	98.6 NOB	03/30/2020	Gray		100.00% Other	Inconclusive: None Detected
	98.4 NOB	03/31/2020	Gray		100.00% Other	None Detected

Initial report from: 04/01/2020 09:48:02



EMSL Order: 532000468 Customer ID: QUES51

Customer PO: Project ID:

### Test Report: Asbestos Analysis of Bulk Material

	Analyzed Non-Asbestos					
Test	:	Date	Color	Fibrous	Non-Fibrous	Asbestos
Sample ID 3	3302-12		Description	Exterior, Hallway Door l	Metal Trim to Brick Façade - Caulk	
5	32000468-00	012	Homogeneity	Homogeneous		
PLM NYS 198.	1 Friable					Not Analyzed
PLM NYS 198.	6 VCM					Not Analyzed
PLM NYS 198.	6 NOB	03/30/2020	Gray		100.00% Other	Inconclusive: None Detected
TEM NYS 198.	4 NOB	03/31/2020	Gray		100.00% Other	None Detected
Sample ID 3	3302-13		Description	Exterior, Below Grade,	on Cement Foundation - Waterproofing Tar	
5	32000468-00	013	Homogeneity	Homogeneous		
PLM NYS 198.	1 Friable					Not Analyzed
PLM NYS 198.	6 VCM					Not Analyzed
PLM NYS 198.	6 NOB	03/30/2020	Black	None	94.20% Other	5.80% Chrysotile
TEM NYS 198.	4 NOB					Not Analyzed
Sample ID 3	3302-14		Description	Exterior, Below Grade,	on Cement Foundation - Waterproofing Tar	
5	32000468-00	014	Homogeneity			
PLM NYS 198.	1 Friable					Not Analyzed
PLM NYS 198.	6 VCM					Not Analyzed
PLM NYS 198.	6 NOB	03/30/2020				Positive Stop (Not Analyzed)
TEM NYS 198.	4 NOB					Not Analyzed
Sample ID 3	302-15		Description	Exterior, Façade of Clas	ssroom 23, Behind Brick, on CMU - Tar	
5	32000468-00	015	Homogeneity	Homogeneous		
PLM NYS 198.	1 Friable					Not Analyzed
PLM NYS 198.	6 VCM					Not Analyzed
PLM NYS 198.	6 NOB	03/30/2020	Tan/ Black		100.00% Other	Inconclusive: None Detected
TEM NYS 198.	4 NOB	03/31/2020	Tan/ Black		100.00% Other	None Detected
Sample ID 3	3302-16		Description	Exterior, Façade of Clas	ssroom 23, Behind Brick on CMU - Tar	
5	32000468-00	016	Homogeneity	Homogeneous		
PLM NYS 198.	1 Friable					Not Analyzed
PLM NYS 198.	6 VCM					Not Analyzed
PLM NYS 198.	6 NOB	03/30/2020	Tan/ Black		100.00% Other	Inconclusive: None Detected
TEM NYS 198.	4 NOB	03/31/2020	Tan/ Black		100.00% Other	None Detected

Initial report from: 04/01/2020 09:48:02



EMSL Order: 532000468
Customer ID: QUES51
Customer PO:

Project ID:

### **Test Report: Asbestos Analysis of Bulk Material**

The samples in this report were submitted to EMSL for analysis by Asbestos Analysis of Bulk Materials via NYS ELAP Approved Methods. The reference number for these samples is the EMSL Order ID above. Please use this reference number when calling about these samples.

#### **Report Comments:**

Sample Receipt Date: 3/26/2020 Analysis Completed Date: 3/27/2020 Sample Receipt Time: 3:03 PM
Analysis Completed Time: 12:57 PM

Analyst(s):

Melissa Hartwig PLM NYS 198.1 Friable (7)

C/W/M/

Peter Donato TEM NYS 198.4 NOB (4)

Samples reviewed and approved by:

Peter Donato, Laboratory Manager or Other Approved Signatory

Jose Sanchez PLM NYS 198.6 NOB (6)

NOB = Non Friable Organically Bound N/A = Not Applicable VCM = Vermiculite Containing Material

-In New York State, TEM is currently the only method that can be used to determine if NOB materials can be considered or treated as non-asbestos containing. All samples examined for the presence of vermiculite when analyzed via NYS 198.1.

-NYS Guidelines for Vermiculite containing samples are available at http://www.wadsworth.org/labcert/elapcert/forms/VermiculiteInterimGuidance\_Rev070913.pdf EMSL maintains liability limited to cost of analysis. This report relates only to the samples reported above and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. Interpretation and use of test results are the responsibility of the client. Samples were received in good condition unless otherwise noted.

This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST, or any agency of the federal government. This report may contain data that is not covered by the NVLAP accreditation.

Samples analyzed by EMSL Analytical, Inc. Rochester, NY NYS ELAP 12088

PROJECT#: Q20-3302

### QUALITY ENVIRONMENTAL SOLUTIONS & TECHNOLOGIES, INC.

532000468

OTHER

### **BULK SAMPLE FORM**

CLIENT: Ossining UFSO	SAMPLED BY: T. Ranadive, J. Meses
ADDRESS: 400 Executive Blod.	DATE SAMPLED: 03/24/20
Ossining, NY 10562 CONTACT: Jared Hance	ANALYSIS METHOD: PLH, PLH-MOB, QTEM
PROJECT ID: Bookside School, Ossining Jy	TURN-AROUND TIME: HOURS
Allika ASA Samalina	C DAVE

SAMPLE# LAB#	· LOCATION	SAMPLE DESCRIPTION	COMMENTS
3302-01	Principal's office, Floor	Comentitions Slab	Stop Az First
3302-02	Italiway by Classroom 24, Flour	Cementitions Slab	Positive
3362-63	Hallway by Classroom 23, Above suspended ceiling, on Metal Elbow	Mudded Joint Packing	Stop Az
3302-64	Italiway by Classroom 23, Above suspended ceiling on	Mudded Joint Packing	First
3302-05	Hallway by Classroom 23, Above suspended ceiling, on	Mudeled Joint	Positive
3302-06	Hallway, suspended	ceiling tile	Stop At First
3302-07	- Classroom 221, Suspended - Ceiling, 2'X41', Oot Canyon, old	ceiling tile	Positive
<u>3302-08</u>		Cement coating	stop Az
330Z-09	Exterior, vestibule, ceiling	cement coating	First
3302-10	Extensor, vestibule, ceiling	Cemenz coating	Positive
	3302-01 3302-03 3302-04 3302-06 3302-06 3302-08 3302-09	3302-01  Principal's office, Flour  3302-02  Itallway by Classroom 23, Above suspended ceiling, on Metal Elborn  3302-04  Hallway by Classroom 23, Above suspended ceiling, on Metal Elborn  Metal Elborn  Metal Elborn  3302-05  Hallway by Classroom 23, Above suspended ceiling, on Metal Elborn  Hallway by Classroom 23, Above suspended ceiling, on Metal Elborn  Hallway, suspended ceiling, on Metal Elborn  3302-06  Hallway, suspended ceiling, on Metal Elborn  Ceiling, 2'x4', Dot Canyon, Old  Classroom 22, suspended  Ceiling, 2'x4', Dot Canyon, Old  Exterior, vestibule, ceiling  3302-08  Exterior, vestibule, ceiling	3302-01  Principal's office, Floor  (comentitions Slab)  3302-02  Hallway by Classroom  24, Floor  Slab  Hallway by Classroom 23, Abore suspended ceiling, on Metal Elborn  Helmay by Classroom 23, Abore suspended ceiling, on Medded Joint Packing  3302-04  Hallway by Classroom 23, Abore suspended ceiling, on Medded Joint Packing  Hallway by Classroom 23, Abore suspended ceiling, on Medded Joint Packing  3302-05  Hallway, Suspended ceiling, on Mudded Joint Packing  Ceiling  Ceiling  Ceiling tile  3302-07  Classroom 221, suspended  ceiling, 2'x4', Dot Canyon, Old  3302-07  Classroom 221, suspended  ceiling, 2'x4', Dot Canyon, Old  Chassroom, vestibule, ceiling  Cement coating  Cement Coating

RECEIVED BY:

DATE: 03/25/20 DATE: 3/26/20 9:35/M

3

### QUALITY ENVIRONMENTAL SOLUTIONS & TECHNOLOGIES, INC.

532000468

	BULK SAMPLE	FORM					
CLIENT OSSINING UFSO SAMPLED BY: T. Rangedive, J. Mages							
ADDRESS: 400 Exec		DATE SAMPLED: 03/24/20	_				
CONTACT: Jared )	_	ALYSIS METHODI <u>PLM, PLM-MOB, Q</u>	[EM				
	n ASB Sampling	N-AROUND TIME: HOURS DAYS OTHER					
SAMPLE # LAB#	LOCATION	SAMPLE DESCRIPTION	COMMENTS				
3302-11	Extenor, Between Bricks, Expansion	Caulky	Stup				
3302-12	Exterior, Between Hallway Down Metal Trim to Brick Facquile	Caulk	Positive				
3302-13	Exterior, Below Grade, on cement foundation	Waterprograng Tar	Stop At				
3302-14	Exterior, Below Grade, on Cement Foundation	Waterprofing Tar	First Positive				
3302-15	Extenior, Facade of classicom 23, Behind	Tar	Styp				

2302-14 Extenior, Below Grade, on Cement Foundation Westerproofing Tar Pasitive

3302-15 Extenior, Facade of Classacium 23, tsehind Tar Att

3302-16 Extenior, Facade of Classacium 23, behind Brick Tar Positive

On CMM

CHAIN OF CUSTODY (SEE LAST PAGE)

SUBMITTED BY: Janay Tranaclese

RECEIVED BY:

DATE: 3/26/20

PAGE 2 OF 2

 $/\!/$ 

3



### ×

### Disclaimer

Use of this FedEx shipping label is subject to the following restrictions and conditions:

### IMPORTANT! Do not duplicate this label. Each package must contain a unique tracking number in order to maintain tracking and billing integrity.

- The shipment must be via FedEx packaging (FedEx envelope pack or FedEx box), this free shipping label is not valid for use on non-standard FedEx packages nor coolers or other large boxes.
- 2. Valid for shipment of the following samples only: Lead analysis of paint chips, air samples, or wipe samples; Asbestos testing of bulk material samples, wipe/dust samples, or air sample cassettes; Microbiology air samples or bulk samples, tape lift samples, and/or swab samples. This label and shipping is not valid for shipment of any sample that requires a cooler or any other sample type not specifically described herein.
- 3. The package shipment must exceed a minimum of \$100 in analytical fees. If this minimum is not met, a minimum shipping fee of \$15 will be added to the analysis invoice
- 4. Not valid for the shipping of any hazardous materials or items prohibited to be shipped by these means.
- 5. Valid for only those accounts pre-approved to use this service. This courtesy shipping service may be terminated at any time by EMSL for any customer accounts that are not in good standing due to late payment /COD Status, or any other reason in the sole determination of EMSL
- Any additional fees, including, but not limited to, custom pickup fees from FedEx, excessive weight fees, and any surcharge items added by FedEx, associated with this label/package will be back-charged to the customer that made the shipment.
- 7. This label is not valid for weekend or holiday deliveries.
- 8. Excludes Summa Canisters, Equipment Rental and Loaner Returns
- 9. Valid in the Continental United States and within Canada.
- 10. For Metals (Air, Chips & Wipes), IH Organics (Formaldehyde, Methamphetamine, Isocyanates, BTEX, etc.), Combustion By-Products (Soot)/Material Identification, and Silica, Respirable Dust/Total Dust: Based on the method you are choosing, please confirm with the lab or your sales rep to determine they can perform the specific method you require, prior to shipping samples.

3



# Appendix D: PERSONNEL LICENSES & CERTIFICATIONS

### New York State - Department of Labor

Division of Safety and Health License and Certificate Unit State Campus, Building 12 Albany, NY 12240

### ASBESTOS HANDLING LICENSE

Quality Environmental Solutions & Technologies, Inc.

1376 Route 9

Wappinger Falls, NY 12590

FILE NUMBER: 99-0018 LICENSE NUMBER: 29085

LICENSE CLASS: RESTRICTED DATE OF ISSUE: 01/17/2020 EXPIRATION DATE: 01/31/2021

Duly Authorized Representative - Lawrence J Holzapfel:

This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.

Eileen M. Franko, Director For the Commissioner of Labor

SH 432 (8/12)

3/28/2019 B2Gnow



### **NEW YORK STATE**

MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISE ("MWBE")

### **CERTIFICATION**

Empire State Development's Division of Minority and Women's Business Development grants a

### Women Business Enterprise (WBE)

pursuant to New York State Executive Law, Article 15-A to:

### Quality Environmental Solutions & Technologies Inc.

Certification Awarded on: March 28, 2019 Expiration Date: March 28, 2022 File ID#: WBE- 49952



Division of Minority and Women's Business Development

A Division of Empire State Development

3/28/2019 B2Gnow



New York State Department of Economic Development 633 Third Avenue New York New York 10017 Tel 212 803 2414 Web Site: www.esd.ny.gov/MWBE/html

March 28, 2019

File ID: 49952

Quality Environmental Solutions & Technologies Inc. will be listed in New York State's Directory of Certified Businesses with the following list of codes for products and services:

NAICS 541620: ENVIRONMENTAL CONSULTING SERVICES NIGP 91843: ENVIRONMENTAL CONSULTING

### NEW YORK STATE DEPARTMENT OF HEALTH WADSWORTH CENTER



Expires 12:01 AM April 01, 2020 Issued April 01, 2019

NY Lab Id No: 12088

### CERTIFICATE OF APPROVAL FOR LABORATORY SERVICE

Issued in accordance with and pursuant to section 502 Public Health Law of New York State

MR. J PETER DONATO EMSL ANALYTICAL, INC. 2975 BRIGHTON HENRIETTA TOWN LINE RD, BLDG. 100, SUITE 130 ROCHESTER, NY 14623

is hereby APPROVED as an Environmental Laboratory for the category ENVIRONMENTAL ANALYSES SOLID AND HAZARDOUS WASTE All approved subcategories and/or analytes are listed below:

#### Miscellaneous

Asbestos in Friable Material Item 198.1 of Manual

EPA 600/M4/82/020

Asbestos in Non-Friable Material-PLM Item 198.6 of Manual (NOB by PLM)

Asbestos in Non-Frieble Material-TEM Item 198.4 of Manual

Serial No.: 60074

Property of the New York State Department of Health. Certificates are valid only at the address shown, must be conspicuously posted; and are printed on secure paper. Continued accreditation depends on successful ongoing participation in the Program. Consumers are urged to call (518) 485-5570 to verify the laboratory's accreditation status.

### STATE OF NEW YORK - DEPARTMENT OF LABOR ASBESTOS CERTIFICATE





TANAY RANADIVE CLASS(EXPIRES) C ATEC(06/20) D INSP(06/20) H PM (06/20)

> CERT# 15-10696 DMV# 859664473

MUST BE CARRIED ON ASBESTOS PROJECTS

### 

01213 005007143 06

EYES BRO HAIR BLK HGT 5' 10" IF FOUND RETURN TO:

NYSDOL - L&C UNIT

ROOM 161A BUILDING 12

STATE OFFICE CAMPUS

ALBANY NY 12240



12-005398747

his card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

### **Tanay Ranadive**

David Veit	06/05/2015
ainer name – print or type)	(Course end date)

SHA recommends Outreach Training Courses as an orientation to occupational safety ud health for workers. Participation is voluntary. Workers must receive additional aining on specific hazards of their job. This course completion card does not expire.

se or distribution of this card for fraudulent purposes, including false claims of having ceived training, may result in prosecution under 18 U.S.C. 1001. Potential penalties clude substantial criminal fines, imprisonment up to five years, or both.

STATE OF NEW YORK - DEPARTMENT OF LABOR ASBESTOS CERTIFICATE





JONATHAN R MAGES CLASS(EXPIRES) C ATEC(01/21) D INSP(01/21) H PM (01/21)

> CERT# 18-53364 DMV# 345648492

MUST BE CARRIED ON ASBESTOS PROJECTS

### 

01213 005237340 42

EYES HAZ HAIR BRO " HGT 5' 10" IF FOUND RETURN TO:

NYSDOL - L&C UNIT

ROOM 161A BUILDING 12

STATE OFFICE CAMPUS

ALBANY NY 12240





This card acknowledges that the recipient has successfully completed:

### 10-hour Construction Safety and Health

This card issued to:

### Jonathan Mages

 Paul Rodriguez
 6/6/2018

 Trainer Name
 Date of Issue



800-449-6742 outreach.keeneosha.com

OSHA recommends Outreach Training Courses as an orientation to occupational safety and health for workers. Participation is voluntary. Workers must receive additional training on specific hazards of their job. This course completion card does not expire.

Use or distribution of this card for fraudulent purposes, including false claims of having received training, may result in prosecution under 18 U.S.C. 1001. Potential penalties include substantial criminal fines, imprisonment up to 5 years, or both.

To verify this training, scan the QR code with your mobile device.



Rev. 1/2016



### XRF LEAD-BASED PAINT INSPECTION

for

OSSINING UFSD 400 Executive Boulevard Ossining, NY 10562

at

BROOKSIDE ELEMENTARY SCHOOL
(Health Center Project)
(Entrance Addition & Site Improvements Project)
(Classroom Addition Project)
30 Ryder Road
Ossining, NY 10562

May 1, 2020

QuES&T Project #'s Q20-3300, Q20-3301 & Q20-3302

May 1, 2020

Ossining UFSD 400 Executive Blvd. Ossining, NY 10562

Via Email: jmance@ossiningufsd.org

**Brookside Elementary School** Re:

(Health Center Project)

(Entrance Addition & Site Improvements Project)

(Classroom Addition Project)

30 Ryder Road Ossining, NY 10562

XRF Lead-Based Paint Inspection

QuES&T Project #'s Q20-3300, Q20-3301 & Q20-3302

Dear Mr. Mance,

Quality Environmental Solutions & Technologies, Inc. (QuES&T) was retained to complete a Lead-Based Paint Survey, utilizing X-Ray Fluorescence Technology (XRF), throughout specific interior and exterior areas of Brookside Elementary School, located at 30 Ryder Road, Ossining, NY 10562. The survey was limited to specific accessible, representative building components & immovable objects, potentially affected by renovation activities associated with the Health Center Project, Entrance Addition & Site Improvements Project, and Classroom Addition Project.

Should you wish to discuss this matter further or require additional information concerning this submittal, please contact us at (845)-298-6031. QuES&T appreciates the opportunity to assist you in the environmental services area.

Sincerely,

Rudy Lipinski - LEED®AP

**Director of Field Operations** 

NYS/AHERA Inspector/Project Designer

Cert. #AH 05-09049



### **TABLE OF CONTENTS**

1.0	EXECUTIVE SUMMARY		
2.0	INTR	ODUCTION	Page 2-3
	2.1	Scope of Services	
	2.2	Purpose	
	2.3	Warranty	
3.0	FIND	INGS	Page 4-6
	3.1	Discussion of Findings	
		3.1.1 Identified Lead-Based Paint	
		3.1.2 Identified Lead-Based Paint in "Poor" Condition	
		3.1.3 Identified Lead-Containing Coatings	
4.0	REGU	ULATORY REQUIREMENTS	Page 7-8
	4.1	Regulatory Overview	
	4.2	Renovation Requirements	
	4.3	General Requirements	
Table	· I	Identified LBP Health Center Project	Page 4
Table		Identified LBP Entrance Addition & Site Improvements Project	Page 5
Table		Identified LBP Classroom Addition Project	Page 6
Appe	ndix A	Analytical Data	
	ndix R	Radiation, XRF Spectrum Analyzer & Personnel Certificat	tions

#### 1.0 EXECUTIVE SUMMARY

Quality Environmental Solutions & Technologies, Inc. (QuES&T) was retained to complete an inspection for Lead-Based Paint(s), and/or Lead-containing materials, utilizing X-ray Fluorescence Technology (XRF) throughout specific interior and exterior areas of Brookside Elementary School, located at 30 Ryder Road, Ossining, NY 10562.

This report should be read in its entirety, including the detailed information in the XRF data tables and appendices.

Niton-certified XRF Technician and EPA Licensed Risk Assessor/Inspector Mr. Todd McAfee, of QuES&T, conducted an XRF Lead-Based Paint Survey throughout accessible interior & exterior potentially affected by renovation activities associated with the Health Center Project, Entrance Addition & Site Improvements Project, and Classroom Addition Project on April 24, 2020. The survey included a visual assessment and representative sampling of accessible interior & exterior building components and immovable objects to identify the presence of Lead-Based Paint (LBP) and/or Lead-containing Materials in compliance with the HUD/EPA Guidelines potentially affected by scheduled renovations. No prior sampling, data or documentation was utilized as part of the survey. All surfaces were located and categorized by homogeneous painting histories and component types. A total of eighty-eight (88) samples were taken (including calibrations).

Lead-based paint(s) above the HUD/EPA thresholds <u>were</u> identified within interior and/or exterior areas. Lead-based paints were identified on the following components:

### Health Center Project

- Glazed Block Walls (Beige)
- Blazed Block Cove Base Molding (Brown)
- Metal Door Casing (Beige)
- Porcelain Sink (White)
- Metal Window Wall Casing (Beige)

### Entrance Addition & Site Improvements Project

- Glazed Block Walls (Beige)
- Glazed Block Cove Base Molding (Brown)
- Wood Vestibule Ceiling (Beige)
- Metal Door Casing (Beige)
- Wood Window Wall (Beige)
- Wood Door & Window Casing (Beige)

### Classroom Addition Project

- Glazed Block Walls (Beige)
- Glazed Block Cove Base Molding (Brown)

#### 2.0 INTRODUCTION

### 2.1 SCOPE OF SERVICES

QuES&T performed a Pre-Renovation Inspection for Lead-Based Paint(s), and/or Lead-containing Materials, utilizing X-ray Fluorescence Technology (XRF) throughout accessible interior & exterior areas of Brookside Elementary School, located at 30 Ruder Road, Ossining, NY 10562. The survey included a visual assessment and representative sampling of accessible interior & exterior building components and immovable objects to identify the presence of Lead-Based Paint (LBP) and/or Lead-containing Materials in compliance with the HUD/EPA Guidelines potentially affected by scheduled renovation work. Niton-certified XRF Technician and EPA Licensed Risk Assessor/Inspector Mr. Todd McAfee, of QuES&T, conducted the inspection on April 24, 2020.

All suspect areas were analyzed utilizing a Niton XLp-300A XRF Spectrum Analyzer in conformance with the EPA Performance Characteristics Sheet (PCS) as required by 29 CFR 1926.62 and 40 CFR Part 745. Proper use of the Niton XLp-300A XRF Spectrum Analyzer does not require substrate correction or back up paint chip testing, as there is no inconclusive range for the instrument per the Performance Characteristics Sheet. The data generated from this testing can be found in Appendix A.

The following terms are used to define the paint(s) identified in this inspection:

### - Lead-Based Paints

In 1972, the Consumer Product Safety Commission limited the concentration of lead in new residential paint to 0.5% by weight (5000ppm) and in 1978, to 0.06% by weight (600ppm). The EPA defines lead-based paint as "...paint or other surface coating that contain lead equal to or in excess of 1.0 milligram per square centimeter or 0.5% by weight." (40 CFR 745.223) August 29, 1996.

### - Lead-Containing Coatings

OSHA regulations 29 CFR 1926.62, Lead Exposure In Construction; Interim Final Rule published in the Federal Register May 4, 1993, effective June 3, 1993 do not specify a minimum concentration of lead which triggers a determination that lead is present as indicated in OSHA Instruction CPL 2-2.58, Office of Health Compliance Assistance, U.S. Department of Labor.

OSHA does not recognize a limit for the concentration of lead in paint for the purpose of disturbance.

### Section 2 – Introduction (Continued)

#### 2.2 PURPOSE

The purpose of this Lead-Based Paint inspection was to provide the client/owner with a detailed report that includes the location, condition and substrate of Lead-Based and/or Lead-containing Paint(s), materials, throughout accessible interiors & exteriors of the Brookside Elementary School located at 30 Ryder Road, Ossining, NY 10562. This objective included the following issues:

- Physical inspection of all accessible building components for suspect lead-based paint.
- Group the suspect areas by component types and similar painting histories.
- Analyze the suspect lead-based paint via X-ray Fluorescence Technology utilizing a Niton XLp-300A Serial #102273 in accordance with the EPA issued Performance Characteristics Sheet (PCS).
- Compile information into a report format.
- Summarize the applicable Federal, State and Local regulations that apply to the facility.
- Summarize the impact of these regulations on the building owner.

#### 2.3 WARRANTY

The information contained in this report is based upon observation and test results provided by QuES&T. These observations and results are time dependent, subject to changing site conditions and revisions to Federal, State, and Local regulations. QuES&T warrants that these findings have been promulgated after being prepared in general accordance with generally accepted practices in the Lead-Based Paint testing and abatement industries. QuES&T also recognizes that raw testing data is not usually sufficient to make all abatement and management decisions. No other warranties are expressed or implied

#### 3.0 FINDINGS

### 3.1 DISCUSSION OF FINDINGS

### 3.1.1 Identified Lead-Based Paint(s)

Based on review of the data generated from the Niton XLp-300A XRF Spectrum Analyzer, the following paints were identified as lead-based (equal to or in excess of 1.0 milligram per square centimeter):

## TABLE I: IDENTIFIED LEAD-BASED PAINT BROOKSIDE ELEMENTARY SCHOOL HEALTH CENTER PROJECT

Location	LBP Substrate		Color	LBP Condition
INTERIOR				
Hallway	Wall	Glazed Block	Beige	Intact
Hallway/Nurse's Office	Lower Wall Cove Base	Glazed Block	Brown	Intact
Nurse's Office Exam Room	Window Wall Casing	Metal	Beige	Intact
Nurse's Office Main Room	Door Casings	Metal	Beige	Intact
Nurse's Office Main Room	Sink	Porcelain	White	Intact

It should be noted that several components tested did in fact contain minimal lead-levels below the EPA threshold level of 1.0 mg/sq. cm for classification as Lead-Based Paint (LBP) and are considered lead-containing coatings by the OSHA Regulation, "Lead Exposure in Construction" (29CFR 1926.62). OSHA does not recognize a minimum limit for lead concentration in paint for the purpose of disturbance. Monitoring of workers performing demolition/cleaning/disturbance of painted surfaces shall be completed to document personnel occupational exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

### Section 3 – Findings (Continued)

### TABLE II: IDENTIFIED LEAD-BASED PAINT BROOKSIDE ELEMENTARY SCHOOL ENTRANCE ADDITION & SITE IMPROVEMENTS PROJECT

Location LBP Component		Substrate	Color	LBP Condition	
INTERIOR					
Hallway/Main Lobby	Wall	Glazed Block	Beige	Intact	
Hallway/Main Lobby, Main Office & Principal Office	Lower Wall Cove Base	Glazed Block	Brown	Intact	
Entrance Vestibule	Ceiling	Wood	Beige	Intact	
Main Lobby	Window Wall	Wood	Beige	Intact	
Main Office & Principal Office	Door/Window Casing	Wood	Beige	Intact	
Main Office & Principal Office	Door Casing	Metal	Beige	Intact	

It should be noted that several components tested did in fact contain minimal lead-levels below the EPA threshold level of 1.0 mg/sq. cm for classification as Lead-Based Paint (LBP) and are considered lead-containing coatings by the OSHA Regulation, "Lead Exposure in Construction" (29CFR 1926.62). OSHA does not recognize a minimum limit for lead concentration in paint for the purpose of disturbance. Monitoring of workers performing demolition/cleaning/disturbance of painted surfaces shall be completed to document personnel occupational exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

### Section 3 – Findings (Continued)

### TABLE III: IDENTIFIED LEAD-BASED PAINT BROOKSIDE ELEMENTARY SCHOOL CLASSROOM ADDITION PROJECT

Location	LBP Component	Substrate	Color	LBP Condition	
INTERIOR					
Hallway	Wall	Glazed Block	Beige	Intact	
Hallway/Classrooms	Lower Wall Cove Base	Glazed Block	Brown	Intact	

It should be noted that several components tested did in fact contain minimal lead-levels below the EPA threshold level of 1.0 mg/sq. cm for classification as Lead-Based Paint (LBP) and are considered lead-containing coatings by the OSHA Regulation, "Lead Exposure in Construction" (29CFR 1926.62). OSHA does not recognize a minimum limit for lead concentration in paint for the purpose of disturbance. Monitoring of workers performing demolition/cleaning/disturbance of painted surfaces shall be completed to document personnel occupational exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

### 3.1.2 Identified Lead-Based Paint(s) in Poor Condition:

No Lead-Based Paints identified in Poor Condition. The building owner should consider implementing a "Lead Hazard Control Plan" to address the above-referenced Lead-Based Paint(s).

When addressing the control of a lead hazard, there are two control measures that may be used: Interim Controls and Lead Abatement. Interim control measures are designed to temporarily reduce exposure to lead hazards. Abatement measures are those that eliminate the lead hazard by various means and methods. When evaluating lead hazards, a combination of interim controls and abatement measures are used, and are selected based on the individual lead hazard, potential exposures, and resources available by the building owner.

### 3.1.3 Identified Lead-Containing Coatings

As indicated above in Section 4.1 Overview, OSHA does not recognize a limit for the concentration of lead in paint for the purpose of disturbance. As almost all paint contains some amount of lead, monitoring of workers performing demolition/cleaning of the area should be completed in order to document personnel exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

### 4.0 REGULATORY REQUIREMENTS

### 4.1 REGULATORY OVERVIEW

Projects involving the disturbance of lead and/or lead-based paints must conform to the following at a minimum:

OSHA 29 CFR 1926.62 Lead Exposure in Construction: The OSHA regulation applies to all alteration, repair, or renovation projects where lead is present. Regulations establish a Permissible Exposure Level for workers, exposure assessment requirements, methods of compliance, medical monitoring and removal, training, respiratory protection and other protections.

**OSHA 29 CFR 1910.134:** Respiratory Protection Standard applies where respirators are required to reduce lead exposures below the OSHA PEL and Action Limit.

**OSHA 29 CFR 1910.1910.1025:** Lead Standard applies to workers governed by the general industry standard.

49 CFR Part 171 and 172: Regulates the transport of lead waste for disposal.

<u>40 CFR Part 261-265, RCRA:</u> Requires testing of wastes to determine whether debris is hazardous or non-hazardous and further regulates facilities which may accept or process hazardous wastes.

### 4.2 ABATEMENT REQUIREMENTS

Under the existing regulations, facility maintenance staff may perform abatement, paint stabilization and lead-based paint chip clean-up if the following criteria are met:

- Employees who disturb or contact lead-based paint must receive Lead-Based Paint Awareness Training commensurate with the type of work being performed in conformance with 29 CFR 1926.62 (OSHA Lead Exposure in Construction).
- Employees exposed above the Action Level of 30 ug/m<sup>3</sup> of air must receive medical monitoring including blood lead testing in accordance with 29 CFR 1926.62.
- Employees exposed above the Permissible Exposure Limit of 50 ug/m3 of air (8-hour work shift) must utilize respirators in accordance with 29 CFR 1910.134 (OSHA Respiratory Protection Standard) including annual fit testing and medical monitoring.
- Personnel must wear personal protective equipment including, at a minimum, a half-mask
  negative air pressure respirator, disposable coveralls, and rubber gloves until an initial
  exposure assessment is completed. Some work practices require the use of specific respirators
  until a negative exposure assessment is completed. Upon completion of the initial exposure
  assessment, personal protective equipment shall be utilized as required by the results of the
  initial exposure assessment (29 CFR 1926.62).
- Employees must establish and utilize decontamination and/or hygiene facilities in accordance with 29 CFR 1926.62 (i).

### Section 4 – Regulatory Requirements (Continued)

- Areas where lead-based paint and lead-containing coating disturbance is occurring shall be restricted to trained individuals and posted in accordance with 29 CFR 1926.62 (m) (2).
- Disturbance or abatement of lead-based paint/lead-containing coatings must be supervised by a competent person as defined by 29 CFR 1926.62.
- A written work plan shall be compiled and maintained in accordance with 29 CFR 1926.62 (e) (2).
- Containerization, testing, storage, transportation, and disposal of lead-based paint debris and lead containing waste shall be completed in accordance with all applicable Federal, State and Local regulations.

### 4.3 GENERAL REQUIREMENTS

Under the existing regulations, the following items are required for daily operations in buildings that have lead-based paint.

- All construction personnel as well as individuals who have access to locations where lead
  based paint or lead containing coatings exist should be informed of its presence and the
  proper work practices in these areas.
- Conspicuous labeling of all lead-based paint is suggested to ensure personnel are adequately informed.
- Personnel should be informed not to rest, lean or store material or equipment on or near these surfaces and not to cut, saw, drill, sand or disturb lead based paint.
- Facility custodial, maintenance and other personnel that contact lead-based paint coated surfaces should receive lead awareness training at a minimum in conformance with 29 CFR 1926.62
- All removal, disturbance and repair of lead based paint or lead containing coatings should be
  performed in compliance with 29 CFR 1926.62, Lead Exposure in Construction; by persons
  properly trained to handle lead containing paint.



### APPENDIX A: ANALYTICAL DATA

<u>Sample</u>	Building/Address	Interior/Exterior	<u>Floor</u>	Space/Room/Description	<u>Side</u>	<u>Object</u>	<u>Component</u>	<u>Substrate</u>	<u>Color</u>	<u>Condition</u>	<u>Result</u>	Pb Concentration (mg/cm2)	Pb Error (mg/cm2)
1	Shutter Calibration											N/A	N/A
2	NIST (<0.01)										Negative	0	0.02
<u>3</u>	NIST (1.04 +/- 0.06)										<b>Positive</b>	<u>1.1</u>	<u>0.1</u>
4	Brookside Elementary School	Interior		Classroom 23	Α	Wall		CMU	Beige	Intact	Negative	0	0.02
5	Brookside Elementary School	Interior		Classroom 23	В	Wall		CMU	Beige	Intact	Negative	0	0.02
6	Brookside Elementary School	Interior		Classroom 23	С	Wall		CMU	Beige	Intact	Negative	0	0.02
7	Brookside Elementary School	Interior		Classroom 23	D	Wall	Racks	Wood	Beige	Intact	Negative	0	0.02
8	Brookside Elementary School	Interior		Classroom 23	Α	Unit Vent		Metal	Red	Intact	Negative	0.8	0.2
9	Brookside Elementary School	Interior		Classroom 23	Α	Unit Vent		Metal	Brown	Intact	Negative	0.6	0.4
10	Brookside Elementary School	Interior		Classroom 23	Α	Window	Frame	Metal	White	Intact	Negative	0	0.02
<u>11</u>	Brookside Elementary School	<u>Interior</u>		Classroom 23	<u>D</u>	<u>Wall</u>	<u>Cove Base</u>	Glazed Block	<u>Brown</u>	<u>Intact</u>	<u>Positive</u>	<u>3.4</u>	<u>2.2</u>
12	Brookside Elementary School	Interior		Classroom 23	С	Door		Wood	Stained	Intact	Negative	0.04	0.1
13	Brookside Elementary School	Interior		Classroom 23	C	Door	Casing	Metal	Beige	Intact	Negative	0	0.02
14	Brookside Elementary School	Interior		Classroom 24	A	Wall		CMU	Beige	Intact	Negative	0 0	0.02 0.02
15 16	Brookside Elementary School	Interior		Classroom 24 Classroom 24	B C	Wall Wall		CMU CMU	Beige	Intact	Negative	0	0.02
17	Brookside Elementary School	Interior			D	Wall	Packs		Beige	Intact	Negative	0	0.02
17 <u>18</u>	Brookside Elementary School  Brookside Elementary School	Interior <i>Interior</i>		Classroom 24  Classroom 24	<u>A</u>	Wall	Racks <b>Cove Base</b>	Wood <b>Glazed Block</b>	Beige <b>Brown</b>	Intact Intact	Negative Positive	<u>2.5</u>	0.02 <u>1.4</u>
19	Brookside Elementary School	Interior		Classroom 24	C A	Unit Vent	COVE DUSE	Metal	Red	Intact	Negative	0.4	0.2
20	Brookside Elementary School	Interior		Classroom 24	C	Unit Vent		Metal	Brown	Intact	Negative	0.6	0.2
21	Brookside Elementary School	Interior		Classroom 24	c	Window	Frame	Metal	White	Intact	Negative	0	0.02
22	Brookside Elementary School	Interior		Classroom 24	A	Door	Trame	Wood	Stained	Intact	Negative	0.02	0.04
23	Brookside Elementary School	Interior		Classroom 24	Α	Door	Casing	Metal	Beige	Intact	Negative	0	0.02
24	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	<u>A</u>	Wall	2226	Glazed Block	<u>Beige</u>	Intact	Positive	<u>2.6</u>	<u>1.5</u>
25	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	<u>c</u>	Wall		Glazed Block	Beige	Intact	Positive	<u>2.2</u>	<u>1.1</u>
<u>25</u> <u>26</u>	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	<u>D</u>	Wall		Glazed Block	Beige	Intact	Positive	3.3	<u>2</u>
27	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	<u>A</u>	Wall	Cove Base	Glazed Block	Brown	Intact	Positive	2.9	<u>1.9</u>
28	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	D	Door		Metal	Unpainted	Intact	Negative	0	0.02
29	Brookside Elementary School	Interior		Hallway outside CR 23 and 24	D	Door	Casing	Metal	Unpainted	Intact	Negative	0	0.02
30	Brookside Elementary School	Exterior		Entrance Doorway to Hallway	D	Awning	Lintel	Metal	White	Poor	Negative	0.2	0.31
31	Brookside Elementary School	Exterior		Entrance Doorway to Hallway	D	Façade		Brick	Unpainted	Intact	Negative	0	0.02
32	Brookside Elementary School	Interior		Nurse Office - Main Room	Α	Wall		CMU	Beige	Intact	Negative	0.09	0.11
33	Brookside Elementary School	Interior		Nurse Office - Main Room	В	Wall		CMU	Beige	Intact	Negative	0.09	0.14
34	Brookside Elementary School	Interior		Nurse Office - Main Room	С	Wall		CMU	Beige	Intact	Negative	0.07	0.08
35	Brookside Elementary School	Interior		Nurse Office - Main Room	D	Wall		CMU	Beige	Intact	Negative	0.1	0.13
36	Brookside Elementary School	Interior		Nurse Office - Main Room	Α	Wall	Lower	Ceramic Tile	White	Intact	Negative	-0.11	1.11
37	Brookside Elementary School	Interior		Nurse Office - Main Room	D	Radiator Cover		Metal	Beige	Intact	Negative	0	0.02
38	Brookside Elementary School	Interior		Nurse Office - Main Room	D	Structural Column		Metal	White	Intact	Negative	0.4	0.4
39	Brookside Elementary School	Interior		Nurse Office - Main Room	D	Window		Metal	White	Intact	Negative	0	0.02
40	Brookside Elementary School	Interior		Nurse Office - Main Room	A	Door	Consissor	Metal	Gray	Intact	Negative	0.03	0.06
<u>41</u> 42	Brookside Elementary School	<u>Interior</u>		Nurse Office - Main Room  Nurse Office - Main Room	<u>А</u> В	<u>Door</u>	<u>Casing</u>	<u>Metal</u>	<u>Beige</u>	<u>Intact</u>	Positive	<u>6</u> 0.02	<u><b>4.6</b></u> 0.07
	Brookside Elementary School  Brookside Elementary School	Interior <i>Interior</i>		Nurse Office - Main Room  Nurse Office - Main Room	в <u>В</u>	Door	Casing	Wood <b>Metal</b>	Stained	Intact <b>Intact</b>	Negative Positive		
<u>43</u> <u>44</u>	Brookside Elementary School	<u>Interior</u> <u>Interior</u>		Nurse Office - Main Room  Nurse Office - Main Room	<u> </u>	<u>Door</u> <u>Sink</u>	cusing	<u>Porcelain</u>	<u>Beige</u> White	<u>Intact</u>	<u>Positive</u>	<u>5.1</u> <u>2.5</u>	<u>3.5</u> <u>1.4</u>

45	Brookside Elementary School	Interior	Nurse Office - Bathroom	Α	Wall		CMU	Beige	Intact	Negative	0.13	0.11
46	Brookside Elementary School	Interior	Nurse Office - Bathroom	В	Wall		CMU	Beige	Intact	Negative	0.12	0.1
47	Brookside Elementary School	Interior	Nurse Office - Bathroom	С	Wall		CMU	Beige	Intact	Negative	0.09	0.05
48	Brookside Elementary School	Interior	Nurse Office - Bathroom	D	Wall		CMU	Beige	Intact	Negative	0.07	0.06
49	Brookside Elementary School	Interior	Nurse Office - Bathroom	Α	Wall		Ceramic Tile	Green	Intact	Negative	0.03	0.09
50	Brookside Elementary School	Interior	Nurse Office - Bathroom	В	Wall		Ceramic Tile	Green	Intact	Negative	0	0.02
51	Brookside Elementary School	Interior	Nurse Office - Bathroom	С	Wall		Ceramic Tile	Green	Intact	Negative	0.09	0.23
52	Brookside Elementary School	Interior	Nurse Office - Bathroom	D	Wall		Ceramic Tile	Green	Intact	Negative	0.01	0.04
53	Brookside Elementary School	Interior	Nurse Office - Bathroom		Toilet		Porcelain	White	Intact	Negative	0.05	0.22
54	Brookside Elementary School	Interior	Nurse Office - Bathroom		Floor		Ceramic Tile	Gray	Intact	Negative	0	0.02
<u>55</u>	Brookside Elementary School	<u>Interior</u>	Nurse Office - Main Room	<u>B</u>	<u>Wall</u>	Cove Base	Glazed Block	Brown	<u>Intact</u>	<b>Positive</b>	<u>3.2</u>	2.2
56	Brookside Elementary School	Interior	Nurse Office - Exam Room	A	Wall	<u></u>	CMU	Beige	Intact	Negative	0.05	0.07
57	Brookside Elementary School	Interior	Nurse Office - Exam Room	В	Wall		CMU	Beige	Intact	Negative	0.13	0.16
58	Brookside Elementary School	Interior	Nurse Office - Exam Room	С	Wall		CMU	Beige	Intact	Negative	0.09	0.11
59	Brookside Elementary School	Interior	Nurse Office - Exam Room	D	Wall		CMU	Beige	Intact	Negative	0.06	0.08
<u>60</u>	Brookside Elementary School	<u>Interior</u>	Nurse Office - Exam Room	<u>D</u>	Window Wall	Casing	<u>Metal</u>	<u>Beige</u>	<u>Intact</u>	<u>Positive</u>	<u>5.6</u>	<u>4.4</u>
61	Brookside Elementary School	Interior	Nurse Office - Exam Room	_	Wall	Cove Base	Glazed Block	Brown	Intact	Positive	2.4	<u>1.4</u>
<u>62</u>	Brookside Elementary School	Interior	Hallway outside Nurse	<u>A</u>	Wall	<u> </u>	Glazed Block	Beige	Intact	Positive	2.6	1.5
63	Brookside Elementary School	Interior	Hallway outside Nurse	<u>A</u>	Wall	Cove Base	Glazed Block	Brown	Intact	Positive	1.8	<u>0.7</u>
64	Brookside Elementary School	Interior	Main Lobby	<u>A</u>	Wall	<u> </u>	Glazed Block	Beige	Intact	Positive	2.7	1.6
<u>65</u>	Brookside Elementary School	Interior	Main Lobby	<u>B</u>	Wall		Glazed Block	Beige	Intact	Positive	2.8	1.8
66	Brookside Elementary School	Interior	Main Lobby	c	Wall		Wood	Stained	Intact	Negative	0.01	0.03
<u>67</u>	Brookside Elementary School	Interior	Main Lobby	<u>c</u>	Window Wall		Wood	Beige	Intact	<u>Positive</u>	<u>1.8</u>	<u>0.6</u>
<u>68</u>	Brookside Elementary School	Interior	Main Lobby	<u>A</u>	Window Wall		Wood	Beige	Intact	Positive	<u>3</u>	2
69	Brookside Elementary School	Interior	Main Lobby	_	Reception Desk		Wood	Stained	Intact	Negative	0	0.02
70	Brookside Elementary School	Interior	, Main Lobby	Α	Door		Metal	Unpainted	Intact	Negative	0	0.02
71	Brookside Elementary School	Interior	Main Lobby	Α	Door	Casing	Metal	Unpainted	Intact	Negative	0	0.02
<u>72</u>	Brookside Elementary School	Interior	Entrance Vestibule		Ceiling	•	Wood	<u>Beige</u>	<u>Intact</u>	Positive	1.2	0.2
73	Brookside Elementary School	Interior	Main Office	Α	Wall		CMU	Gray	Intact	Negative	0.03	0.1
74	Brookside Elementary School	Interior	Main Office	В	Wall		CMU	Gray	Intact	Negative	0.06	0.12
75	Brookside Elementary School	Interior	Main Office	С	Wall		CMU	Gray	Intact	Negative	0.09	0.1
76	Brookside Elementary School	Interior	Main Office	D	Wall		CMU	Gray	Intact	Negative	0.06	0.07
77	Brookside Elementary School	Interior	Main Office	Α	Window		Metal	White	Intact	Negative	0	0.02
78	Brookside Elementary School	Interior	Main Office	С	Door		Wood	Beige	Intact	Negative	0	0.02
<u>79</u>	Brookside Elementary School	Interior	Main Office	<u>c</u>	Door / Window	Casing	Wood	<u>Beige</u>	Intact	Positive	1.8	0.7
80	Brookside Elementary School	Interior	Main Office	D	Door		Wood	Stained	Intact	Negative	0.02	0.05
<u>81</u>	Brookside Elementary School	Interior	Main Office	D	Door	Casing	Metal	Beige	Intact	Positive	<u>3.4</u>	2.4
82	Brookside Elementary School	Interior	Main Office	c	Wall	Cove Base	Glazed Block	Brown	Intact	Positive	2.6	1.5
83	Brookside Elementary School	Exterior	Main Entrance	A	Window		Metal	White	Intact	Negative	0	0.02
84	Brookside Elementary School	Exterior	Main Entrance	A	Door		Metal	Unpainted	Intact	Negative	0	0.02
85	Brookside Elementary School	Exterior	Main Entrance	A	Door	Casing	Metal	Unpainted	Intact	Negative	0	0.02
86	Brookside Elementary School	Exterior	Main Entrance	A	Façade	CCCB	Brick	Unpainted	Intact	Negative	0	0.02
87	NIST (<0.01)				3					Negative	0	0.02
<u>88</u>	NIST (1.04 +/- 0.06)									<u>Positive</u>	<u>1.1</u>	<u>0.1</u>
<u>55</u>	(2.04.)									. 0311110	<u> </u>	<u> </u>



### APPENDIX B: RADIATION, XRF SPECTRUM ANALYZER & PERSONNEL CERTIFICATIONS



### NEW YORK STATE DEPARTMENT OF HEALTH RADIOACTIVE MATERIALS LICENSE

Pursuant to the Public Health Law, Part 16 of the New York State Sanitary Code, Industrial Code Rule 38, and in reliance on statements and representations heretofore made by the licensee designated below, a license is hereby issued authorizing radioactive material(s) for the purpose(s), and at the place(s) designated below. The license is subject to all applicable rules, regulations, and orders now or hereafter in effect of all appropriate regulatory agencies and to any conditions specified below.

1. N	AME OF LICENSEE			3. LICENSE NU	MBER	
		FEIN	14-1800097	C2939		
-	uality Environmental Solut	ions		4. EXPIRATION	DATE	
	and Technologies, Inc.	Phone	(845) 298-6031	June 15, 20	026	
2. A[	DDRESS OF LICENSEE			5a. REFERENC	E	b. AMENDMENT NO.
13	76 Route 9			DH 16-1		5
W	appingers Falls, New York 12	590		DH 16-97		
6.	Radioactive Materials (elements in mass number)	7.	Chemical and/or physical form	8.		im quantity licensee ssess at any one time
Ā.	Cadmium 109	A.	Sealed source	A.	28 mill	licuries

### Authorized use.

- A. The licensee is authorized to use any sealed source or associated portable x-ray fluorescence device which has been manufactured and distributed in accordance with a specific license issued by an Agreement State or the United States Nuclear Regulatory Commission. Combinations of sources and devices must be compatible for use as stated in a Sealed Source and Device Registration Certificate (i.e., stated in the registration certificate for the source or device).
- B. No single source may exceed the maximum activity specified for that nuclide in the Sealed Source and Device Registration Certificate for any device in which the source is to be used.
- C. Only portable x-ray fluorescence devices which require continuous activation by the operator, and which incorporate a mechanism to automatically return the source to its shielded position (e.g., a "dead-man" switch) may be obtained and used under this license. Devices which rely upon positive action by the operator to shield the source, such as operation of a key switch, or which do not require continuous operator activation during exposure, are not authorized under this license.

### NEW YORK STATE DEPARTMENT OF HEALTH

### RADIOACTIVE MATERIALS LICENSE

3. License Number C2939

5a. Reference DHs 16-1 & 16-97

b. Amendment No. 5

- 10. A. The Radiation Safety Officer (RSO) for this License is Rudy Lipinski.
  - B. Licensed material shall be used by, or under the supervision of, the Radiation Safety Officer, by licensee personnel trained and certified by the manufacturer. The licensee shall maintain a complete and accurate record of the qualifications of each person permitted to use radiation sources under this license.
- 11. Except as specifically provided otherwise in this License, the licensee shall conduct its program in accordance with the statements, representation and procedures contained in the documents, including any enclosures, listed below. The Department's Regulations shall govern, unless the statements, representation and procedures in the licensee's application and correspondence are more restrictive than the Regulations.
  - License Renewal Application dated March 13, 2006, signed by Vincent R. Lander, with attachments.
  - B. License Renewal Request dated March 8, 2016, signed by Suann Lander, with attachments.
- 12. A. Licensed material shall be stored at the location indicated in Condition 2 and may be used at temporary job sites of the licensee anywhere within the State of New York, where the Department of Health exercises jurisdiction.
  - B. Overnight storage at other locations shall be in accordance with statements referenced in Condition 11 of the license, provided that such storage may not be in a residence, or in an attached garage except within a vehicle. Any vehicle used for storage shall be driven only for purposes associated with use or transport of the contained radioactive material, by a person qualified to use the material, and no passengers shall be carried unless they are also involved in work under this license. Vehicular storage shall only be allowed if no other storage is possible and shall not exceed five (5) consecutive nights unless authorization to exceed this limit is obtained from the Department.
  - C. Under no circumstances shall radioactive material authorized by this license be transferred to the custody of any person or firm other than the licensee, or be used or stored by another person or firm or its employees; unless that person or firm possesses a valid license to possess and use such radioactive material.
- Sealed sources containing radioactive materials shall not be opened or removed from devices.
- A. The licensee is not authorized to dismantle, repair or affect any changes in the source holders/devices.
  - B. The licensee shall not alter labels attached to source holders or devices, and shall maintain labels in legible condition at all times.

### NEW YORK STATE DEPARTMENT OF HEALTH

### RADIOACTIVE MATERIALS LICENSE

3. License Number C2939

5a. Reference DHs 16-1 & 16-97

b. Amendment No. 5

- 15. The licensee shall instruct persons who engage in work under the license, in accordance with 10 NYCRR 16.13(c). Such instruction shall include the licensee's operating and emergency procedures, and other information contained in documents incorporated in Condition 11.
- 16. The licensee shall conduct a physical inventory every six (6) months to account for all devices received and possessed under the License. The records of the inventories shall be maintained for three (3) years from the date of the inventory for inspection by the Department, and shall include the quantities and kinds of licensed material, manufacturer's name and model number, location of devices, the date of the inventory, and the name of the person who performed it.
- 17. A. The licensee shall maintain a utilization log containing the identification of devices used, dates removed and returned to storage, the location of use, and the identity of user.
  - B. The log shall be kept at the location of storage and shall contain sufficient detail to enable the licensee to inform the Department, at any time, of the exact location of each device.
- 18. Current copies of the following documents shall be maintained at temporary job sites for Department inspection:
  - A. The manufacturer's instruction manual and the licensee's operating and emergency procedures.
  - B. A copy of the results of the latest test for leakage and/or contamination performed on the sealed sources.
  - C. A copy of this license.
- 19. In the event that a theft, loss or other serious incident does occur, the Department shall be notified immediately by telephone and subsequent information acquired by the licensee shall be reported as it is received. All device users must carry the NYSDOH's current telephone number in their emergency procedures.
- 20. The licensee shall ensure that all persons authorized to use portable devices comply with safe use and maintenance procedures and that they do not leave a device unattended or unsecured at any time, even for a few minutes.

FOR THE NEW YORK STATE DEPARTMENT OF HEALTH

Date: JUN 1 5 2016

DJS/NAK:ks

Daniel J. Samson, CHP, Chief

Radioactive Materials Section

Bureau of Environmental Radiation Protection

3/28/2019 B2Gnow



### **NEW YORK STATE**

MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISE ("NWEE")

### CERTIFICATION

Empire State Development's Division of Minority and Women's Business Development grants a

### Women Business Enterprise (WBE)

pursuant to New York State Executive Law, Article 15-A to:

### Quality Environmental Solutions & Technologies Inc.

Certification Awarded on: March 28, 2019 Expiration Date: March 28, 2022 File ID#: WBE- 49952



Division of Minority and Women's Business Development

A Division of Empire State Development

3/28/2019 B2Gnow



New York State Department of Economic Development 633 Third Avenue New York New York 10017 Tel 212 803 2414 Web Site: www.esd.ny.gov/MWBE/html

March 28, 2019

File ID: 49952

Quality Environmental Solutions & Technologies Inc. will be listed in New York State's Directory of Certified Businesses with the following list of codes for products and services:

NAICS 541620: ENVIRONMENTAL CONSULTING SERVICES NIGP 91843: ENVIRONMENTAL CONSULTING

### United States Environmental Protection Agency This is to certify that



Todd J McAfee

has fulfilled the requirements of the Toxic Substances Control Act (TSCA) Section 402, and has received certification to conduct lead-based paint activities pursuant to 40 CFR Part 745.226 as:

Inspector

In the Jurisdiction of:

All EPA Administered Lead-based Paint Activities Program States, Tribes and Territories

This certification is valid from the date of issuance and expires

LBP-I-I151913-1

Certification #

December 20, 2016

Issued On

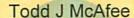


John Gorman, Chief

April 17, 2021

Pesticides & Toxic Substances Branch

### United States Environmental Protection Agency This is to certify that





has fulfilled the requirements of the Toxic Substances Control Act (TSCA) Section 402, and has received certification to conduct lead-based paint activities pursuant to 40 CFR Part 745.226 as:

Risk Assessor

### In the Jurisdiction of:

All EPA Administered Lead-based Paint Activities Program States, Tribes and Territories

This certification is valid from the date of issuance and expires

April 17, 2021

LBP-R-I151913-1

Certification #

December 20, 2016

Issued On



John Gorman, Chief

Pesticides & Toxic Substances Branch

This is to certify that

## **Todd McAfee**

Has completed the

Radiation Safety for X-ray Tube Based Instruments

Online training course

C

3/10/2017

Supervisor signature



This is to certify that

## **Todd McAfee**

Has completed the

Transport of Radioactive Sealed Sources in XRF Analyzers

Online training course

On

3/10/2017

Supervisor signature



This is to certify that

## **Todd McAfee**

Has completed the

Transport of Li Ion Batteries

Online training course

On

3/10/2017

Supervisor signature



This is to certify that

## **Todd McAfee**

Has completed the

Sealed Source XRF - Radiation Safety

Online training course

On

3/10/2017

Supervisor signature



This is to certify that

**Todd McAfee** 

Has completed

US Regulations for Handheld XRF Analyzers with Radioactive Sealed Sources

3/10/2017

Supervisor signature



### SECTION 020800 - ASBESTOS ABATEMENT PROCEDURES

### PART I – GENERAL

### 1.01 DESCRIPTION

- A. All work under this contract shall be performed in strict accordance with the specifications and all applicable laws for asbestos removal projects. The Abatement Contractor shall furnish all labor, materials, supervision, services, insurance and equipment necessary for the complete and total removal of Asbestos-containing Materials (ACM) as described herein, in attachments to the specification, Job Specific Variance(s) and/or as directed by Ossining UFSD (here-in-after the "Owner") and/or the Owners Representative(s) to support the *Ossining UFSD Brookside ES Classroom Addition*.
- B. Abatement Contractor shall provide for personnel air monitoring to satisfy OSHA regulation 29 CFR Parts 1926.1101(f). All work performed shall be in strict accordance with applicable provisions and regulations promulgated under New York State Department of Labor, Industrial Code 56 (ICR-56).
- C. The Abatement Contractor shall satisfy the requirements for asbestos projects issued by the New York State Department of Labor concerning licensing and certification; notification; equipment; removal and disposal procedures; engineering controls; work area preparation; decontamination and clean-up procedures; and personnel air monitoring.
- D. The Abatement Contractor shall be responsible for submittal of asbestos project notification(s) and applicable fees to EPA and NYSDOL concerning this project. Project notification(s) shall be made for the cumulative total of ACM to be removed as required by ICR-56-3.4. Work practices for each individual work area established shall be consistent with the quantity of ACM contained within that work area as defined in ICR-56-2.
- E. The scope of work under this contract shall include the following:
  - 1. All asbestos-containing materials (ACM) shall be removed in accordance with these specifications. The Abatement Contractor is responsible for field verification of estimated quantities, locations and other site conditions that may affect work.
  - 2. All fixed objects remaining within the work area(s) shall be protected as required by Title 12 NYCRR Section 56-7.10(b) and as described in these specifications.
  - 3. The containerization, labeling and disposal of all asbestos waste in accordance with applicable city, state and federal regulations and these specifications.
  - 4. The Abatement Contractor will be responsible for repairing all building components damaged during abatement including, but not limited to, ceiling tiles, ceiling finishes, wall finishes and/or floor finishes, etc.
  - 5. The Abatement Contractor shall be responsible for any and all demolition required to access materials identified in scope of work and on associated drawings.

- 6. Concealed conditions that are exposed and may require additional work shall be brought to the attention of the Owner(s) immediately. The Abatement Contractor shall not abate these areas without a written notice to proceed. If the Abatement Contractor removes additional asbestos prior to the order to proceed the additional work will not be acknowledged.
- 7. Permissible working hours shall be Monday through Friday 7:00 A.M. to 4:00 P.M. and/or as defined by the Owner(s) and/or Owner's Representative(s). Holidays shall be considered weekends and not included for working days. Upon written approval from the Owner, the Abatement Contractor may work past these hours. The Abatement Contractor will incur any and all costs associated for work performed beyond the defined schedule including, but not limited to: abatement activities, project/air monitoring, custodial/staffing labor, overtime, mobilizations, etc.
- 8. Buildings will be turned over to the Abatement Contractor as is. At that time, all electrical services and HVAC systems in the proposed work areas will be shut down. Electricity and water supply will be maintained in the building for use by the Abatement Contractor. The Abatement Contractor is responsible for securing all power in the work area(s) and establishing all temporary GFCI hookups necessary to complete his work.
- 9. The Abatement Contractor shall remove all identified Asbestos-containing Materials (ACM) to building substrate(s); in areas indicted. Subsequent to final air clearances, the substrate(s) shall be washed with a neutralizing agent to prepare the substrate to accept new floor covering and eliminate residual odors.
- 10. The Abatement Contractor must coordinate location of waste containers with the Facility and the Owner. Deliveries and storage of equipment must be coordinated with the Facility and the Owner.
- 11. All "Large" and "Small" asbestos abatement projects, as defined by 12 NYCRR56 shall not be performed while the building is occupied. The term "building" means a wing or major section of a building that can be completely isolated from the rest of the building with sealed non-combustible construction. The isolated portion of the building must contain exists that do not pass through the occupied portion(s) and ventilation systems must be physically separated and sealed at the isolation barriers.

### 1.02 PRE-CONTRACT SUBMITTALS

Within three (3) days after bids are opened, the three (3) apparent low bidders shall be required to submit the following documentation:

### A. Resume': Shall include the following:

- 1. Provide a list of projects of similar nature performed within the past two (2) years and include the dollar value of all projects. Provide project references to include owner, consultant, and air monitoring firms' name, contact person, address, and phone number, include location of project and date of completion.
- 2. Abatement Contractor license issued by New York State Department of Labor for asbestos work in accordance with ICR-56-3.

- 3. A list of owned equipment available to be used in the performance of the project.
- 4. The number of years engaged in asbestos removal.
- 5. An outline of the worker training courses, and medical surveillance program conducted by the Abatement Contractor.
- 6. A standard operating procedures manual describing work practices and procedures, equipment, type of decontamination facilities, respirator program, special removal techniques, etc.
- 7. Documentation to the satisfaction of the Owner pertaining to the Abatement Contractor's financial resources available to perform the project. Such data shall include, but not be limited to, the firm's balance sheet for the last fiscal year.

### B. Citations/Violations/Legal Proceedings

- Submit a notarized statement describing any citations, violations, criminal charges, or legal
  proceedings undertaken or issued by any law enforcement, regulatory agency, or consultant
  concerning performance on previous asbestos abatement contracts. Briefly describe the
  circumstances citing the project and involved persons and agencies as well as the outcome of any
  actions.
- 2. Answer the question: "Has your firm or its agents been issued a Stop Work order on any project within the last two years?" If "Yes" provide details as discussed above.
- 3. Answer the question: "Are you now, or have you been in the past, a party to any litigation or arbitrations arising out of your performance on Asbestos Abatement Contracts?" If "Yes" provide details as discussed in 1. above.
- 4. Describe any liquidated damages assessed within the last two years.

### C. Preliminary Schedule

1. Provide a detailed schedule including work dates, work shift times, estimate of manpower to be utilized and the start and completion date for completion of each major work area.

### 1.03 DOCUMENTATION

- A. The Abatement Contractor shall be required to submit the following and receive the Consultant's approval prior to commencing work on this project:
  - 1. Provide documentation of worker training for each person assigned to the project. Documentation shall include copies of each workers valid New York State asbestos handler certificates (for those employees who may perform asbestos removal), documentation of current respirator fit test and current OSHA required training and medical examination.

- 2. The attached "Asbestos Employee Medical Examination Statement" and "Asbestos Employee Training Statement" forms shall be completed, signed and submitted for each worker assigned to the project. Records of all employee training and medical surveillance shall be maintained for at least forty (40) years. Copies of the records shall be submitted to the Consultant prior to commencement.
- 3. The Abatement Contractor shall submit proof of a current, valid license issued by the New York State Department of Labor pursuant to the authority vested in the Commissioner by section 906 of the Labor Laws, and that the employees performing asbestos related work on this project are certified by the State of New York as required in Part 56 of Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York latest edition. Copies of all licenses shall be submitted prior to the commencement of the project.
- 4. The Abatement Contractor shall submit a written respiratory protection program meeting the requirements of 29 CFR 1910.134 to the Consultant.
- 5. The name, address, social security number and NYS DOL certificate number of the person(s) who will supervise the asbestos project.
- 6. The name and address of the deposit or waste disposal site or sites where the asbestos materials are to be deposited or disposed of. This site must be approved by the Owner. The manifesting procedure must also be specified.
- 7. The name, address and New York State Dept. of Environmental Conservation ID Number of any transporters that are to be used to transport waste.
- 8. A written Standard Operation Procedure (SOP) that is designed and implemented to maximize protection against human exposure to asbestos dust. The SOP shall take into consideration the workers, visitors, building employees, general public and environment. As a minimum the procedures must include the following:
  - a. Security for all work areas on an around-the-clock basis against unauthorized access.
  - b. Project organization chart including the phone numbers of at least two responsible persons who shall be authorized to dispatch men and equipment to the project in the event of an emergency; including weekends.
  - c. Description of protective clothing and NIOSH approved respirators to be used.
  - d. Description of all removal methods to be used, including HEPA air filtration and decontamination sequence with special emphasis on any procedure that may deviate from these specifications.
  - e. A list of manufacturers' certificates stating that all vacuums, negative air filtration equipment, respirators and air supply equipment meet OSHA and EPA requirements.
  - f. A list of all materials proposed to be furnished and used under this contract.
  - g. Emergency evacuation procedures in the event of fire, smoke or accidents such as injury from falling, heat exposure, electrical shock, etc.

- h. The name, address and ELAP number of the New York State Department of Health Certified Analytical Testing Laboratory the Contractor proposes to use for the OSHA monitoring.
- 9. A detailed plan, in triplicate, for the phasing of the project, division of work areas and location of decontamination facilities, waste containers and temporary office.
- 10. Work schedule, identifying firm dates and completion for actual areas. Bar chart or critical path chart indicating phases is required.
- B. The Abatement Contractor shall post their NYS DOL contractor's license and maintain a daily log documenting the dates and time of the following items within each personal decontamination unit:
  - 1. Meetings; purpose, attendants, discussion (brief)
  - 2. Sign-in and sign-out of all persons entering the work area including name, date, time, social security number, position or function and general description of daily activity.
  - 3. Testing of barriers and enclosure systems using smoke tubes prior to the beginning of abatement activities and at least once a day thereafter until satisfactory clearance air monitoring results have been achieved.
  - 4. Inspection of all plastic barriers, twice daily, by the asbestos supervisor.
  - 5. Loss of enclosure integrity; special or unusual events, barrier breaches, equipment failures, etc.
  - 6. Daily cleaning of enclosures.
  - 7. Personnel air monitoring test results for OSHA Compliance. Results shall be posted at the work site within 24 hours of testing and copies supplied to the Owner within five (5) days of testing. Abnormalities shall be supplied to the Owner immediately.
- C. Documentation with confirmation signature of Consultant's representative of the following shall be provided by the Abatement Contractor at the final closeout of the project.
  - 1. Testing of barriers and enclosure systems using smoke tubes shall be performed prior to the beginning of abatement activities and at least once a day thereafter until satisfactory clearance air monitoring results have been achieved.
  - 2. Inspection of all plastic barriers.
  - 3. Removal of all polyethylene barriers.
  - 4. Consultant's inspections prior to encapsulation.
  - 5. Removal of waste materials.
  - 6. Decontamination of equipment (list items).
  - 7. Consultant's final inspection/final air tests.

- D. The Abatement Contractor shall provide records of <u>all</u> project information, to include the following which shall be submitted upon completion of the project and prior to approval of the Abatement Contractor's payment application:
  - 1. The location and description of the abatement project.
  - 2. The name, address and social security number of the person(s) who supervised the asbestos project.
  - 3. Certified payroll documentation Pursuant to Article 8, Section 220 of the NYS Labor Law
  - 4. Copies of EPA/NYSDOL Asbestos Certificates for all Workers and Supervisors employed on the Project.
  - 5. Copies of Medical Approval and Respirator Fit Testing for all Asbestos Workers and Supervisors employed on the Project.
  - 6. Copies of Abatement Contractors Daily Sign-In Sheets & Logs for persons entering and leaving the work area. Title 12 NYCRR Part 56-7.3.
  - 7. Copies of Abatement Contractor's personal air sampling laboratory results.
  - 8. The amounts and type of asbestos materials that was removed, enclosed, encapsulated, or disturbed.
  - 9. The name and address of the deposit or waste disposal site or sites where the asbestos waste materials were deposited or disposed of and all related manifests, receipts and other documentation associated with the disposal of asbestos waste.
  - 10. The name and address of any transporters used to transport waste and all related manifests, receipts and other documentation associated with the transport of asbestos waste.
  - 11. All other information that may be required by state, federal or local regulations.
  - 12. Copy of the Supervisor's Daily Project Log of events as described in 1.03 B, above.

### 1.04 NOTIFICATIONS AND PERMITS

- A. The Abatement Contractor shall be required to prepare and submit notifications to the following agencies at least ten (10) days prior to the commencement of the project:
  - Asbestos NESHAPS Contact
     U.S. Environmental Protection Agency
     NESHAPS Coordinator, Air Facilities Branch
     26 Federal Plaza
     New York, New York 10007
     (212) 264-7307

14428.11

2. State of New York Department of Labor

Division of Safety and Health

Asbestos Control Bureau

State Office Building Campus, Building 12, Room 454

Albany, New York 12240

3. Owner(s): Ossining UFSD

400 Executive Boulevard Ossining, NY 10562

ATTN: Jared Mance, Director of School Facilities, Operations & Maintenance

Ph. (914) 762-5740 Ext. 3366 E-mail. jmance@ossiningufsd.org

4. Environmental Consultant(s): Quality Environmental Solutions & Technologies, Inc. (QuES&T)

1376 Route 9

Wappingers Falls, New York 12590

ATTN: Rudy Lipinski, Director of Field Operations

Ph. (845) 298-6031 Fx. (845) 298-6251

E-mail. rlipinski@qualityenv.com

B. The notification shall include but not be limited to the following information:

- 1. Name and address of Owner.
- 2. Name, address and asbestos handling license number of the Abatement Contractor.
- 3. Address and description of the building, including size, age, and prior use of the building or area; the amount, in square feet or linear feet of asbestos material to be removed; room designation numbers or other local information where asbestos material is found, including the type of asbestos material (friable or non-friable).
- 4. Scheduled starting and completion dates for removal.
- 5. Methods to be employed in abating asbestos containing materials.
- 6. Procedures and equipment, including ventilating/exhaust systems, that will be employed to comply with the Code of Federal Regulation (CFR) Title 40, Part 61 of the U.S. Environmental Protection Agency.
- 7. The name and address of the carting company and of the waste disposal site where the asbestos waste will be deposited.

**NOTE:** Notifications shall be submitted using standard forms as may be used by the respective agency.

For DOL (NYS) include "Asbestos Project Notification" form (DOSH-483) with proper fee, if required. For EPA include "Notification of Demolition and Renovation"; 40 CFR Part 61.

- C. The Abatement Contractor shall secure any permits required by the city, town, county, or state that may be required and the cost for obtaining the permit shall be included in his base bid.
- D. The Abatement Contractor shall erect warning signs around the work space at every point of potential entry into the work area in accordance with OSHA 1926.58k (2), (i). These signs shall bear the following information:

### **DANGER**

### CANCER AND LUNG DISEASE HAZARD AUTHORIZED PERSONNEL ONLY RESPIRATORS AND PROTECTIVE CLOTHING ARE REQUIRED IN THIS AREA

- E. The Abatement Contractor shall post at entrances to the work place and immediate adjacent areas, notifications to building occupants which include the name and license number of the contractor, project location and size, amount and type of ACM, abatement procedures, dates of expected occurrence and name and address of the air monitor and laboratory in compliance with ICR 56-3.6.
- F. The Abatement Contractor shall post a list of emergency telephone numbers at the job site which shall include the Owner's Representative, police, emergency squad, local hospital, Environmental Protection Agency, N.Y. State Department of Labor, Occupational Safety and Health Administration and the local Department of Health.

### 1.05 APPLICABLE STANDARDS

Except to the extent that more explicit or more stringent requirements are written directly into the contract documents, applicable standards of the construction industry have the same force and effects (and are made a part of contract documents by reference) as if copied directly into contract documents, or as if published copies were bound herewith. Resolution of overlapping and conflicting requirements, which result from the application of several different industry standards to the same unit of work, shall be by adherence to the most stringent requirement.

- A. Applicable standards listed in these Specifications form a part of this Specification and include, but are not necessarily limited to, standards promulgated by the following agencies and organizations:
  - 1. ANSI:

American National Standards Institute 1430 Broadway New York, New York 10018

### 2. ASHRAE:

American Society for Heating, Refrigerating and Air Conditioning Engineers 1791 Tullie Circle NE Atlanta, Georgia 30329

### 3. ASTM:

American Society for Testing and Materials 1916 Race Street Philadelphia, Pennsylvania 19103

### 4. CFR

Code of Federal Regulations Available from Government Printing Office Washington, District of Columbia 20402

### 5. CGA

Compressed Gas Association 1235 Jefferson Davis Highway Arlington, Virginia 22202

### 6. CS

Commercial Standard of NBS (US Dept. of Commerce) Government Printing Office

### 7. EPA

Environmental Protection Agency, Region II 26 Federal Plaza New York, New York 10007 Asbestos Coordinator - Room 802 (212) 264-9538 Part 61, Sub-Parts A & B National Emission Standard for Asbestos

### 8. FEDERAL SPECS

Federal Specification (General Services Administration) 7th and D Street, SW Washington, District of Columbia 20406

### 9. NBS

National Bureau of Standards (US Department of Commerce) Gaithersburg, Maryland 20234

### 10. NEC

National Electrical Code (by NFPA)

### 11. NFPA

National Fire Protection Association Batterymarch Park Quincy, Massachusetts 02269

### 12. NIOSH

National Institute for Occupational Safety and Health 26 Federal Plaza New York, New York 10007

### 13. NYSDOH

New York State Department of Health Bureau of Toxic Substance Assessment Room 359 - 3rd Floor Tower Building Empire State Plaza Albany, New York 12237

### 14. NYSDEC

New York State Department of Environmental Conservation Room 136 50 Wolf Road Albany, New York 12233-3245

### 15. NYSDOL

State of New York Department of Labor Division of Safety and Health Asbestos Control Program State Campus Building 12 Albany, New York 12240

### 16. OSHA

Occupational Safety and Health Administration (US Department of Labor) New York Regional Office - room 3445 1515 Broadway New York, New York 10036

### 17. UL

Underwriters Laboratories 333 Pfingsten Road Northbrook, Illinois 60062

- B. Federal Regulations: Those which govern asbestos abatement work or hauling and disposal of asbestos waste materials:
  - 1. U.S. Department of Labor, Occupational Safety and Health Administration, (OSHA):
    - a. Asbestos Regulations

Title 29, Part 1910, of the Code of Federal Regulations.

b. Respiratory Protection

Title 29, Part 1910, Section 134 of the Code of Federal Regulations.

c. Construction Industry

Title 29, Part 1926, of the Code of Federal Regulations.

d. Access to Employee Exposure & Medical Records
Title 29, Part 1910, Section 20 of the Code of Federal Regulations.

e. Hazard Communication

Title 29, Part 1910, Section 1200 of the Code of Federal Regulations.

- f. Specifications for Accident Prevention Signs and Tags Title 29, Part 1910, section 145 of the Code of Federal Regulations.
- 2. U.S. Environmental Protection Agency (EPA):
  - a. Asbestos Hazard Emergency Response Act (AHERA) Regulation Asbestos Containing Materials in Schools Final Rule & Notice Title 40, Part 763, Subpart E of the Code of Federal Regulations.
  - Worker Protection Rule
     40 CFR Part 763, Subpart G, CPTS 62044, FLR 2843-9
     Federal Register, Vol. 50, No. 134, 7/12/85, P28530-28540
  - c. Regulation for Asbestos Title 40, Part 61, Subpart A of the Code of Federal Regulations
  - d. National Emission Standard for Asbestos Title 40, Part 61, Subpart M (Revised Subpart B) of the Code of Federal Regulations
  - e. Resource Conservation and Recovery Act (RCRA) 1976, 1980 Hazardous and Solid Waste Amendments (HSWA) 1984 Subtitle D, Subtitle C
- 3. U.S. Department of Transportation (DOT):
  - a. Hazardous Substances: Final Rule Regulation 49 CFR, Part 171 and 172.
- C. State Regulations: Those which govern asbestos abatement work or hauling and disposal of asbestos waste materials:
  - 1. New York State Department of Environmental Conservation (DEC) Regulations regarding waste collection registration. Title 6, Part 364 of the New York State Official Compilation of Codes, Rules and Regulations 6NYCRR 364.
  - 2. New York State Right-To-Know Law
  - 3. New York State Department of Labor Asbestos Regulations Industrial Code Rule 56.
  - 4. New York State Department of Health, Title 10 Part 73 Asbestos Safety Program Requirements.
- D. Standards: Those which govern asbestos abatement work or hauling and disposal of asbestos waste materials:
  - 1. American National Standards Institute (ANSI)
    - a. Fundamentals Governing the Design and Operation of Local Exhaust Systems Publication Z9.2-79

- b. Practices for Respiratory Protection Publication Z88.2-80
- E. Guidance Documents: Those that discuss asbestos abatement work or hauling, and disposal of asbestos waste materials are listed below only for the Abatement Contractor's information. These documents do not describe the work and are not a part of the work of this contract.

### EPA:

- 1. Guidance for Controlling Asbestos Containing Materials in Buildings (Purple Book) EPA560/5-85-024.
- 2. Asbestos Waste Management Guidance EPA 530-SW-85-007.
- F. Patents and Royalties: The Abatement Contractor shall pay all royalties and/or license fees. The Abatement Contractor shall defend all suits and claims for infringement of any patent rights and save the Owner and Consultant harmless from loss including attorney fees on account thereof.

### 1.06 **DEFINITIONS**

As used in or in connection with these specifications the following are terms and definitions.

**Abatement** - Procedure to control release from asbestos material. This includes removal, encapsulation and enclosure.

**Aggressive sampling** - A method of sampling in which the person collecting the air sample creates activity by the use of mechanical equipment during the sampling period to stir up settled dust and simulate activity in that area of the building.

**AIHA** - The American Industrial Hygiene Association, 475 Wolf Ledges Parkway, Akron, Ohio 44311.

**Airlock** - A system for permitting entrance and exit while restricting air movement between a containment area and an uncontaminated area. It consists of two curtained doorways separated by a distance of at least three feet such that one passes through one doorway into the airlock, allowing the doorway sheeting to overlap and close off the opening before proceeding through the second doorway, thereby preventing flow-through contamination.

**Air sampling** - The process of measuring the content of a known volume of air collected during a specific period of time.

**Amended water** - Water to which a surfactant has been added.

**Approved asbestos safety program** - A program approved by the Commissioner of Health providing training in the various disciplines that may be involved in an asbestos project.

**Area air sampling** - Any form of air sampling or monitoring where the sampling device is placed at some stationary location.

- **Asbestos** Any naturally occurring hydrated mineral silicate separable into commercially usable fibers, including chrysotile (serpentine), amosite (cumingtonite-gunerite), crocidolite (riebeckite), tremolite, anthophyllite and actinolite.
- **Asbestos contract** An oral or written agreement contained in one or more documents for the performance of work on an asbestos project and includes all labor, goods and service.
- **Asbestos handler** An individual who installs, removes, applies, encapsulates, or encloses asbestos or asbestos material, or who disturbs friable asbestos. Only individuals certified by NYS Department of Labor shall be acceptable for work under this specification.
- **Asbestos handling certificate** A certificate issued by the Commissioner of Labor of the State of New York, to a person who has satisfactorily completed an approved asbestos safety program.
- **Asbestos project** Work undertaken by a contractor which involves the installation, removal, encapsulation, application or enclosure of any ACM or the disturbance of friable ACM.
- **Asbestos Safety Technician (AST)** Individual designated to represent the Consultant, perform third party monitoring and perform compliance monitoring at the job site during the asbestos project.
- **Asbestos waste material** Asbestos material or asbestos contaminated objects requiring disposal.
- **Authorized visitor** The building owner, his or her representative or any representative of a regulatory or other agency having jurisdiction over the project.
- **Background level monitoring** A method used to determine ambient airborne concentrations inside and outside of a building or structure prior to starting an abatement project.
- **Building owner** The person in whom legal title to the premises is vested unless the premises are held in land trust, in which instance Building Owner means the person in whom beneficial title is vested.
- **Clean room** An uncontaminated area or room that is a part of the personal decontamination enclosure with provisions for storage of persons' street clothes and protective equipment.
- **Cleanup** The utilization of HEPA vacuuming to control and eliminate accumulations of asbestos material and asbestos waste material.
- **Clearance air monitoring** The employment of aggressive sampling techniques with a volume of air collected to determine the airborne concentration of residual fibers upon conclusion of an asbestos abatement project.
- Commissioner Commissioner of the New York State Department of Labor.
- **Contractor** A company, unincorporated association, firm, partnership or corporation and any owner or operator thereof, which engages in an asbestos project or employs persons engaged in an asbestos project.

**Curtained doorway** - A device that consists of at least three overlapping sheets of plastic over an existing or temporarily framed doorway. One sheet shall be secured at the top and left side, the second sheet at the top and right side, and the third sheet at the top and the left side. All sheets shall have weights attached to the bottom to ensure that the sheets hang straight and maintain a seal over the doorway when not in use.

**Decontamination enclosure system** - A series of connected rooms, separated from the work area and from each other by air locks, for the decontamination of persons, materials, equipment, and authorized visitors.

**Encapsulant** (sealant) or encapsulating agent - A liquid material that can be applied to asbestos material and which prevents the release of asbestos from the material by creating a membrane over the surface.

**Enclosure** - The construction of airtight walls, ceilings and floors between the asbestos material and the facility environment, or around surfaces coated with asbestos materials, or any other appropriate procedure that prevents the release of asbestos materials.

**Equipment room** - A contaminated area or room that is part of the personal decontamination enclosure system with provisions for the storage of contaminated clothing and equipment.

**Fixed object** - A unit of equipment, furniture or other fixture in the work area which cannot be readily removed from the work area.

**Friable Asbestos Material** - That condition of crumbled, pulverized, powdered, crushed or exposed asbestos capable of being released into the air by hand pressure.

**Friable material containment** - The encapsulation or enclosure of any friable asbestos material.

Glovebag technique - A method for removing asbestos material from heating, ventilating, and air conditioning (HVAC) ducts, piping runs, valves, joints, elbows, and other nonplanar surfaces in a noncontained work area. The glovebag assembly is a manufactured device consisting of a glovebag constructed of at least six mil transparent plastic, two inward-projecting longsleeve gloves, which may contain an inward projecting waterwand sleeve, an internal tool pouch, and an attached, labeled receptacle or portion for asbestos waste. The glovebag is constructed and installed in such a manner that it surrounds the object or area to be decontaminated and to contain all asbestos fibers released during the abatement process.

**HEPA filter** - A high efficiency particulate air filter capable of trapping and retaining 99.97 percent of particulate greater than 0.3 microns equivalent aerodynamic diameter.

**HEPA vacuum equipment** - Vacuuming equipment with a high efficiency particulate air filtration system.

**Holding area** - A chamber in the waste decontamination enclosure located between the washroom and an adjacent uncontaminated area.

**Homogeneous work area** - A site within the abatement work area that contains one type of asbestos material and where one type of abatement is used.

**Large asbestos project** - An asbestos project involving the installation, removal, disturbance, enclosure, or encapsulation of 160 square feet or more of asbestos or asbestos material or 260 linear feet or more of asbestos or asbestos material.

**Minor asbestos project** - An asbestos project involving the installation, removal, disturbance, enclosure, or encapsulation of 10 square feet or less of asbestos or asbestos material, or 25 linear feet or less of asbestos or asbestos material.

**Movable object** - A unit of equipment, furniture or fixture in the work area that can be readily removed from the work area.

**Negative air pressure equipment** - A local exhaust system equipped with HEPA filtration. The system shall be capable of creating and maintaining a negative pressure differential between the outside and the inside of the work area.

Non-asbestos material - Any material containing one percent or less asbestos by weight.

Occupied area - Any frequented portion of the work site where abatement is not taking place.

Outside air - The air outside the building or structure.

**Personal air monitoring** - A method used to determine an individual's exposure to airborne contaminants. The sample is collected outside the respirator in the person's breathing zone.

**Plasticize** - To cover floors, walls, ceilings and other surfaces with 6 mil fire retardant plastic sheeting as herein specified.

**Project** - Any form of work performed in connection with the abatement of asbestos or alteration, renovation, modification or demolition of a building or structure that may disturb asbestos or asbestos material.

**Removal** - The stripping of any asbestos material.

**Repair** - Corrective action using required work practices to control fiber release from damaged areas.

**Respiratory protection** - Respiratory protection required of licensed asbestos workers and authorized visitors in accordance with the applicable laws.

**Satisfactory clearance air monitoring results** - For all post- abatement samples, airborne concentrations of total fibers that are less than 0.01 fibers per cubic centimeter or background levels, whichever are greater, using phase contrast microscopy (PCM).

**Shower room** - A room between the clean room and the equipment room in the personal decontamination enclosure with hot and cold running water controllable at the top and arranged for complete showering during decontamination.

**Small asbestos project** - An asbestos project involving the installation, removal, disturbances, enclosure, or encapsulation of more than 10 and less than 160 square feet of asbestos or asbestos material of more than 25 and less than 260 linear feet of asbestos or asbestos material.

**Staging area** - The area near the waste transfer airlock where containerized asbestos waste has been placed prior to removal from the work area.

**Surfactant** - A chemical wetting agent added to water to improve its penetration.

**Visible emissions** - An emission of particulate material that can be seen without the aid of instruments.

**Washroom** - A room between the work area and the holding area in the waste decontamination enclosure system, where equipment and waste containers are wet cleaned and/or HEPA vacuumed.

**Waste decontamination enclosure system** - An area, consisting of a washroom and a holding area, designated for the controlled transfer of materials and equipment.

**Wet cleaning** - The process of eliminating asbestos contamination from surfaces, equipment or other objects by using cloths, mops, or other cleaning tools.

Work area - Designated rooms, spaces, or areas where asbestos abatement takes place.

**Work site** - Premises where asbestos abatement is taking place.

Work Surface - Substrate surface from which asbestos-containing material has been removed.

### 1.07 UTILITIES, SERVICE AND TEMPORARY FACILITIES

- A. The Owner shall make available to the Abatement Contractor all reasonable amounts of water and electrical power at no charge.
- B. The Abatement Contractor shall provide, at his own expense, all electrical, water, and waste connections, extensions, and construction materials, supplies, etc. All connections must be approved in advance by the Owner and all work relative to the utilities must be in accordance with the applicable building codes.
- C. The Abatement Contractor shall provide scaffolding, ladders and staging, etc. as necessary to accomplish the work of this contract. The type, erection and use of all scaffolding, ladders and staging, etc. shall comply with all applicable OSHA provisions.
- D. All connections to the Owner's water system shall include reduced pressure backflow protection or double check and double gate valves. Valves shall be temperature and pressure rated for operation of the temperatures and pressures encountered. After completion of use, connections and fittings shall be removed without damage or alteration to existing water piping and equipment. Leaking or dripping valves shall be piped to the nearest drain or located over an existing sink or grade where water will not damage existing finishes or equipment.
- E. The Abatement Contractor shall use only heavy-duty abrasion resistant hoses with a pressure rating greater than the maximum pressure of the water distribution system to provide water to each work area and to each decontamination unit. Provide fittings as required to allow for connection to existing wall hydrants or spouts, as well as temporary water heating equipment, branch piping, showers, shut-off nozzles and equipment. All water must be shut off at the end of each shift.

- F. The Abatement Contractor shall provide service to decontamination unit electrical subpanel with minimum 60-amp, 2 pole circuit breaker or fused disconnect and ground-fault circuit interrupters (GFCI), reset button and pilot light, connected to the building's main distribution panel. Subpanel and disconnect shall be sized and equipped to accommodate all electrical equipment required for completion of the work. This electrical subpanel shall be used for hot water heater, PAPR battery recharging and air sampling pumps.
- G. The Abatement Contractor shall provide UL rated 40-gallon electric hot water heater to supply hot water for the decontamination unit shower. Activate from 30-amp circuit breaker on the electrical subpanel located within the decontamination unit. Provide with relief valve compatible with water heater operation, relief valve down to drip pan on floor with type L copper. Wiring of the hot water heater shall follow NEMA, NEC, and UL standards.
- H. The Abatement Contractor shall provide identification warning signs at power outlets, which are other than 110-120-volt power. Provide polarized outlets for plug-in type outlets, to prevent insertion of 110-120 plugs into higher voltage outlets. Dry transformers shall be provided where required to provide voltages necessary for work operations. All outlets or power supplies shall be protected by ground fault circuit interrupter (GFCI) at the power source.
- I. The Abatement Contractor shall use only grounded extension cords; use "hard-service" cords where exposed to abrasion and traffic. Use single lengths or use waterproof connectors to connect separate lengths of electric cords, if single lengths will not reach areas of work.
- J. The Abatement Contractor shall provide general service incandescent lamps of wattage indicated or required for adequate illumination; Protect lamps with guard cages or tempered glass enclosures; Provide exterior fixtures where fixtures are exposed to moisture.
- K. The Abatement Contractor shall provide temporary heat or air conditioning as necessary to maintain comfortable working temperatures inside and immediately outside the work areas. Heating and A/C equipment shall have been tested and labeled by UL, FM or another recognized trade association related to the fuel being used. Fuel burning heaters shall not be used inside containment areas. The Contractor shall also provide a comfortable working environment for occupied areas that are impacted by the asbestos removal.
- L. The Abatement Contractor shall comply with recommendations of the NFPA standard in regard to the use and application of fire extinguishers. Locate fire extinguishers where they are most convenient and effective for their intended purpose but provide not less than one extinguisher in each work area, equipment room, clean room and outside the work area.

# 1.08 REMOVAL OF FIXTURES

- A. In locations where the Abatement Contractor is directed to dispose of fixtures, he shall either decontaminate the fixtures and dispose of them as non-asbestos containing materials or he shall place them in an appropriate container and dispose of them as asbestos containing material.
- B. In locations where the Abatement Contractor is directed to remove and reinstall fixtures, the fixtures shall be removed, decontaminated, labeled, protected with plastic and stored by the contractor in a location as directed by the Owner.

C. Upon completion of the asbestos removal and upon receiving satisfactory clearance air monitoring results, all items to be replaced shall be restored to their original location and reinstalled by the Abatement Contractor.

# PART 2 – PRODUCTS

# 2.01 MATERIALS AND EQUIPMENT

# A. GENERAL REQUIREMENTS

- 1. Materials shall be stored off the ground, away from wet or damp surfaces and under protective cover to prevent damage or contamination.
- 2. Damaged or deteriorating materials shall not be used and shall be removed from the premises.
- 3. Power tools used to drill, cut into, or otherwise disturb asbestos material shall be equipped with HEPA filtered local exhaust ventilation.
- 4. The Abatement Contractor shall make available to authorized visitors, ladders and/or scaffolds of sufficient dimension and quantity so that all work surfaces can be easily and safely reached for inspection. Scaffold joints and ends shall be sealed with tape to prevent incursion of asbestos. Scaffolds and ladders shall comply with all applicable codes.

# B. PLASTIC BARRIERS (POLYETHYLENE)

- 1. In sizes and shapes to minimize the number of joints.
  - a. Six mil. (.006") fire-retardant for vertical protection (walls, entrances and openings).
  - b. Six mil. (.006") fire-retardant for horizontal protection (fixed equipment) and heating grilles.
  - c. Six mil. (.006") reinforced fire-retardant for floors of decon units.
- 2. Provide two (2) layers over all roof, wall and ceiling openings. Floor penetrations shall be sealed with a rigid material prior to plasticizing to prevent tripping and fall hazards. All seams within a layer shall be separated by a minimum distance of six feet and sealed airtight. All seams between layers shall be staggered.
- 3. Barrier Attachment Commercially available duct tape (fabric or paper) and spray-on adhesive. Duct tape shall be capable of sealing joints of adjacent sheets of plastic, facilitating attachment of plastic sheets to finished or unfinished surfaces of dissimilar materials and adhering under both dry and wet conditions.

# C. SIGNS

1. Danger signs shall be provided and shall conform to 29 CFR 1926.1101 and be 14" x 20". These signs shall bear the following information:

# DANGER ASBESTOS CANCER AND LUNG DISEASE HAZARD RESPIRATORS AND PROTECTIVE CLOTHING ARE REQUIRED IN THIS AREA

# D. DANGER LABELS AND TAPE

1. Labels shall be affixed to any asbestos contaminated material in accordance with the requirements of 29 CFR 1910.1200 (f) of OSHA's Hazard Communication Standard, and shall contain the following information:

# DANGER CONTAINS ASBESTOS FIBERS AVOID BREATHING DUST CANCER AND LUNG DISEASE HAZARD

2. A label shall be affixed on each container of asbestos waste in accordance with the requirements of 49 CFR Parts 171 and 172, Hazardous Substances; Final Rule (U.S. Department of Transportation), and shall contain the following information:

# RQ HAZARDOUS SUBSTANCE SOLID, NOS, ORM-E, NA 9188 (ASBESTOS)

3. A label shall be affixed on each container of asbestos waste in accordance with the requirements of 40 CFR Part 61.150, NESHAP; Asbestos; Final Rule (USEPA) and shall contain the name of the waste generator and the location at which the waste was generated.

NOTE: All containers marked as above (1,2 and 3) shall be disposed of as asbestos waste.

4. Provide 3" red barrier tape printed with black lettered "DANGER ASBESTOS REMOVAL". Locate barrier tape across all corridors, entrances and access routes to asbestos work area.

# E. PROTECTIVE EQUIPMENT

- 1. Respiratory Requirements
  - a. Where fiber levels permit, and in compliance with regulatory requirements, Powered Air Purifying Respirators are the minimum allowable respiratory protection permitted to be utilized during removal operations.

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b. Where not in violation of NIOSH, OSHA, and any other regulatory requirements, the Abatement Contractor shall provide the following minimum respiratory protection to the maximum use concentrations indicated:

MSHA/NIOSH Approved Respiratory Protection	Maximum Use Concentration
Half-Mask Air Purifying with HEPA Filters	10x PEL
Full-Facepiece Air Purifying HEPA Filters and Quantitative Fit Test	10x PEL
Powered Air Purifying (PAPR), Loose fitting Helmet or Hood, HEPA Filter	25x PEL
Powered Air Purifying (PAPR), Full Facepiece, HEPA Filter	50x PEL
Supplied Air, Continuous Flow Loose fitting Helmet or Hood	25x PEL
Supplied Air, Continuous Flow Full Facepiece, HEPA Filter	50x PEL
Full Facepiece-Supplied Air Pressure Demand, HEPA Filter	100x PEL
Full Facepiece-Supplied Air Pressure Demand, with Aux. SCBA, Pressure Demand or Continuous Flow	>100x PEL

- 2. Disposable Clothing -"Tyvek" manufactured by Dupont or approved equal.
- 3. NIOSH approved safety goggles to protect eyes.
- 4. Polyethylene bags, 6 mil. (.006") thick (use double bags).

NOTE: Workers must always wear disposable coveralls and respirator masks while in the work area. Contaminated coveralls or equipment must be left in work area and not worn into other parts of the building.

# F. TOOLS AND EQUIPMENT

1. Airless Sprayer - An airless sprayer, suitable for application of encapsulating material, shall be used.

- 2. Scaffolding Scaffolding, as required to accomplish the specified work, shall meet all applicable safety regulations.
- 3. Transportation Equipment Transportation equipment, as required, shall be suitable for loading, temporary storage, transport and unloading of contaminated waste without exposure to persons or property. Watertight, hard wall containers shall be provided to retain and dispose of any asbestos waste material with sharp-edged components that may tear plastic bags or sheeting. The containers shall be marked with danger labels.
- 4. Surfactant Wetting Agents "Asbestos-Wet" Aquatrols Corp. of America or approved equal and shall be non-carcinogenic.
- 5. Portable (negative air pressure) asbestos filtration system by Micro-Trap or approved equal.
- 6. Vacuum, HEPA type equal to "Nilfisk" #GA73, or "Pullman/Holt" #75 ASA.
- 7. Amended Water Sprayer The water sprayer shall be an airless or other low-pressure sprayer for amended water application.
- 8. Other Tools and Equipment The Abatement Contractor shall provide other suitable tools for the stripping, removal, encapsulation, and disposal activities including but not limited to: hand-held scrapers, nylon brushes, sponges, rounded edge shovels, brooms, and carts.

# **PART 3 – EXECUTION**

# 3.01 PRE-ABATEMENT WORK AREA PREPARATION

- A. The work area shall be vacated by the occupants prior to work area preparation and not reoccupied until satisfactory clearance air monitoring results have been achieved.
- B. Caution signs shall be posted at all locations and approaches to a location where airborne concentrations of asbestos may exceed ambient background levels. Signs shall be posted that permit a person to read the sign and take the necessary protective measures to avoid exposure.
- C. Shut down and lock out electric power to all work areas. The Abatement Contractor shall provide temporary power and lighting and ensure safe installation of temporary power sources and equipment used where high humidity and/or water shall be sprayed in accordance with all applicable codes. All power to work areas shall be brought in from outside the area through a ground-fault interrupter at the source.
- D. Isolate the work area HVAC system.
- E. The personnel decontamination enclosure system shall be installed or constructed prior to preparatory work in the work area and in particular before the disturbance of asbestos material. The waste decontamination enclosure system shall be installed or constructed prior to commencement of abatement activities.
- F. Movable objects within the work area shall be pre-cleaned using HEPA filtered vacuum equipment and/or wet cleaning and such objects shall be removed from the work area to an uncontaminated location. If disposed of as asbestos waste material, cleaning is not required.

- G. Fixed objects and other items, which are to remain within the work area, shall be pre-cleaned using HEPA filtered vacuum equipment and/or wet cleaning. Such objects shall be enclosed with two layers of at least six mil plastic sheeting and sealed with tape.
- H. The work area shall be pre-cleaned using HEPA filtered vacuum equipment and/or wet cleaning. Methods that raise dust, such as dry sweeping or vacuuming with equipment not equipped with HEPA filters, shall be prohibited. Asbestos material shall not be disturbed during pre-cleaning.
- I. Isolation barriers that seal off all openings, including windows, corridors, doorways, ducts, and any other penetrations of the work area, shall be constructed using two layers of at least six mil fire-retardant plastic sheeting sealed with tape. Also, all seams in mechanical system components that pass through the work area shall be sealed. Doorways and corridors, which shall not be used for passage during work, shall also be sealed.
- J. Removal of mounted objects. After isolation barriers are in place, objects such as light fixtures, electrical track, alarm systems, ventilation equipment and other items not previously sealed, shall be double sealed with six mil fire-retardant plastic sheeting. Localized HEPA filtered vacuum equipment shall be used during fixture removal to reduce asbestos dispersal.
- K. Individual roof and floor drains shall be sealed watertight using two layers of 6-mil fire-retardant plastic sheeting and tape prior to plasticizing. Openings in floor shall be fully covered with plywood sheeting secured to the floor in such a way as to minimize a tripping hazard prior to plasticizing.
- L. Emergency and fire exits from the work area shall be maintained or alternate exits shall be established according to all applicable codes.
- M. Adequate toilet facilities shall be supplied by the Abatement Contractor and shall be located either in the clean area of the personnel decontamination enclosure or shall be readily accessible to the personnel decontamination enclosure.

# 3.02 LARGE ASBESTOS PROJECT PERSONNEL DECONTAMINATION ENCLOSURE SYSTEM (ICR 56-7.5)

- A. The personnel decontamination enclosure shall be constructed prior to preparatory work in the work area and in particular before the disturbance of asbestos material.
  - 1. Construction and use of personnel decontamination enclosure systems shall be in accordance with ICR-56 and any Applicable or Site-Specific Variances utilized on this project. Such systems may consist of existing rooms outside of the work area, if the layout is appropriate, that can be enclosed is plastic sheeting and are accessible from the work area. When this situation does not exist, enclosure systems may be constructed out of metal, wood or plastic support.
  - 2. The personnel decontamination enclosure system shall consist of a clean room, a shower room, and an equipment room, in series, separated from each other and from the work area by three airlocks.
  - 3. There shall be one shower per six full shift abatement persons calculated on the basis of the largest shift.

- 4. The personnel decontamination enclosure system shall be fully framed, sheathed for safety and constructed to prevent unauthorized entry.
- 5. Personnel decontamination enclosure systems constructed at the work site shall utilize at least six mil fire-retardant opaque plastic sheeting. At least two layers of six mil fire-retardant reinforced plastic sheeting shall be used for the flooring of this area.
- 6. All prefabricated decontamination units shall be completely decontaminated and sealed prior to separation and removal from the work area. Mobile decontamination units shall remain in place until satisfactory clearance results have been attained.
- 7. The clean room shall be sized to accommodate all authorized persons. Benches, lockers and hooks shall be provided for street clothes. Shelves for storing respirators shall also be provided. Clean clothing, replacement filters for respirators, towels and other necessary items shall be provided. The clean room shall not be used for the storage of tools, equipment or materials. It shall not be used for office space. A lockable door shall be provided to permit access to the clean room from outside the work area or enclosure. It shall be used to secure the work area and decontamination enclosure during off-shift hours.
- 8. The shower room shall contain one or more showers. Each shower head shall be supplied with hot and cold water adjustable at the tap. The shower enclosure shall be constructed to ensure against leakage of any kind. Uncontaminated soap, shampoo and towels shall be available at all times. Shower water shall be drained, collected and filtered through a system with at least 5.0 micron particle size collection capability. A system containing a series of several filters with progressively smaller pore sizes shall be used to avoid rapid clogging of the filtration system by large particles. Filtered wastewater shall be discharged in accordance with applicable codes. Contaminated filters shall be disposed of as asbestos waste. The shower room shall be constructed in such way that travel through the decontamination unit shall be through the shower.
- 9. The equipment room shall be used for the storage of equipment and tools after decontamination using a HEPA filtered vacuum and/or wet cleaning. A one day supply of replacement filters, in sealed containers, for HEPA vacuums and negative pressure ventilation equipment, extra tools, containers of surfactant and other materials and equipment that may be required during the abatement project may also be stored here. A walk-off pan filled with water shall be located in the work area just outside the equipment room for persons to clean foot covering when leaving the work area. A drum lined with a labeled, at least six mil plastic bag is required for collection of clothing and shall be located in this room. Contaminated footwear and work clothes shall be stored in this area.

# 3.03 WASTE DECONTAMINATION ENCLOSURE SYSTEM (ICR 56-7.5)

# A. General Requirements

- 1. A waste decontamination enclosure system shall consist of the following:
  - a. A washroom/cleanup room shall be constructed with an airlock doorway to the work area and another airlock doorway to the holding area.
  - b. The holding area shall be constructed with an airlock doorway to the washroom/cleanup room and another lockable door to the outside.

- 2. Where there is only one egress from the work area, the holding area of the waste decontamination enclosure system may branch off from the equipment decontamination room, which doubles as a waste washroom, of the personnel decontamination enclosure.
- 3. The waste washroom shall be equipped with a drain installed to collect water and deliver it to the shower drain where it shall be filtered through a system with at least 5.0 micron particle size collection capability. A system containing a series of several filters with progressively smaller pore sizes shall be used to avoid rapid clogging of the filtration system by large particles. Filtered wastewater shall be discharged in accordance with applicable codes. Contaminated filters shall be disposed of as asbestos waste.
- 4. The waste washroom shall be constructed in such a way that travel through the rooms shall be through the waste washroom

# 3.04 WORK AREA ENTRY AND EXIT PROCEDURES

- A. The following procedures shall be followed throughout the asbestos abatement project until satisfactory clearance air monitoring results have been achieved:
  - 1. All persons shall enter and exit the work area through the personnel decontamination enclosure system.
  - 2. All persons who enter the work area or an enclosure shall sign the entry/exit log, located in the clean room, upon every entry and exit.
  - 3. All persons, before entering the work area, or an enclosure shall read and be familiar with all posted regulations, personal protection requirements, including work area entry and exit procedures, and emergency procedures. The entry/exit log headings shall indicate, and the signatures shall be used to acknowledge, that these have been reviewed and understood by all persons prior to entry.
  - 4. All persons shall proceed first to the clean room, remove all street clothing, store these items in clean sealable plastic bags or lockers and don coveralls, head covering, foot covering and gloves. All persons shall also don NIOSH approved respiratory protection. Clean respirators and protective clothing shall be utilized, by each person, for each separate entry into the work area. Respirators shall be inspected prior to each use and tested for proper seal using quantitative or qualitative fit checks.
  - 5. Persons wearing designated personal protective equipment shall proceed from the clean room through the shower room to the equipment room, where necessary tools are collected and any additional clothing shall be donned, before entry into the work area.
  - 6. Before leaving the work area, all persons shall remove gross contamination from the outside of respirators and protective clothing by brushing, wet cleaning, and/or HEPA vacuuming.
  - 7. Persons shall proceed to the equipment room where all coveralls, head covering, foot covering and gloves shall be removed. Disposable clothing shall be deposited into labeled containers for disposal. Reusable contaminated clothing, footwear, head gear and gloves shall be stored in the equipment room when not being used in the work area.

- 8. Still wearing respirators, persons shall proceed to the shower area, clean the outside of the respirator and the exposed face area under running water prior to removal of the respirator, and then fully and vigorously shower and shampoo to remove residual asbestos contamination. Respirators shall be washed thoroughly with soap and water. Some types of respirators will require slight modification of these procedures. An airline respirator with HEPA filtered disconnect protection shall be disconnected in the equipment room and worn into the shower. A powered air-purifying respirator facepiece shall be disconnected from the filter/power pack assembly prior to entering the shower.
- 9. After showering and drying, all persons shall proceed to the clean room and don clean personal protective equipment if returning to the work area or street clothing if exiting the enclosure.

# 3.05 EQUIPMENT AND WASTE CONTAINER DECONTAMINATION & REMOVAL PROCEDURES

- A. The following procedures shall be followed throughout the asbestos abatement project until satisfactory clearance air monitoring results have been achieved.
  - 1. External surfaces of contaminated containers and equipment shall be cleaned by wet cleaning and/or HEPA vacuuming in the work area before moving such items into the waste decontamination enclosure system airlock by persons assigned to this duty. These work area persons shall not enter the airlock.
  - These contaminated items shall be removed from the airlock by persons stationed in the
    washroom during waste removal operations. These washroom persons shall remove gross
    contamination from the exterior of their respirators and protective clothing by brushing, HEPA
    vacuuming and/or wet cleaning.
  - 3. Once in the waste decontamination enclosure system, external surfaces of contaminated containers and equipment shall be cleaned a second time by wet cleaning.
  - 4. The cleaned containers of asbestos material and equipment are to be dried of any excessive pooled or beaded liquid, placed in uncontaminated plastic bags or sheeting and sealed airtight.
  - 5. The clean recontainerized items shall be moved into the airlock that leads to the holding area. The washroom persons shall not enter this airlock or the work area until waste removal is finished for that period.
  - 6. Containers and equipment shall be moved from the airlock and into the holding area by persons dressed in clean personal protective equipment, who have entered from uncontaminated areas.
  - 7. The cleaned containers of asbestos material and equipment shall be placed in water tight carts with doors or tops that shall be closed and secured. These carts shall be held in the holding area pending removal. The carts shall be wet cleaned and/or HEPA vacuumed at least once each day.
  - 8. The exit from the decontamination enclosure system shall be secured to prevent unauthorized entry.

- 9. Where the waste removal enclosure is part of the personnel decontamination enclosure, waste removal shall not occur during shift changes or when otherwise occupied. Precautions shall be taken to prevent short circuiting and cycling of air outward through the shower and clean room.
- 10. Containers labeled with Asbestos hazard warnings shall not be used to dispose of non asbestos waste.

# 3.06 ENGINEERING CONTROLS

# A. Ventilation.

- 1. The Abatement Contractor shall employ HEPA equipped vacuums or negative air pressure equipment for ventilation as required.
- 2. All negative air pressure equipment ventilation units shall be equipped with HEPA filtration. The Contractor shall provide a manufacturer's test certificate for each unit documenting the capability of trapping and retaining 99.97 percent of asbestos fibers greater than 0.3 microns equivalent aerodynamic diameter.
- 3. A power supply shall be available to satisfy the requirements of the total of all ventilating units.
- 4. On electric power failure, abatement shall stop immediately and shall not resume until power is restored and exhaust units are operating fully. On extended power failure, longer than one hour, the decontamination facilities, after the evacuation of all persons from the work area, shall be sealed airtight.
- 5. If extending the exhaust of the ventilation units 50 feet from the building would result in an exhaust location either in the road, blocking driveway access to the facility or within 50 feet of other buildings, a second unit will be run in series with the primary unit.

# 3.07 MAINTENANCE OF DECONTAMINATION ENCLOSURE SYSTEMS AND WORK AREA BARRIERS

# A. GENERAL REQUIREMENTS

- 1. The Consultant must review and approve installation before commencement of work. Upon completion of the construction of all plastic barriers and decontamination system enclosures and prior to beginning actual abatement activities.
- 2. All plastic barriers inside the work area, in the personnel decontamination enclosure system, in the waste decontamination enclosure system and at partitions constructed to isolate the work area from occupied areas, shall be inspected by the asbestos supervisor at least twice daily. The barriers shall be inspected before the start of and following the completion of the day's abatement activities. Inspections and observations shall be documented in the project log.
- 3. Damage and defects in the barriers and/or enclosure systems shall be repaired immediately upon discovery and prior to resumption of abatement activities.

- 4. At any time during the abatement activities, if visible emissions are observed outside of the work area of if damage occurs to the barriers, work shall be stopped, repairs made and visible residue immediately cleaned up using HEPA vacuuming methods prior to the resumption of abatement activities.
- The Abatement Contractor shall HEPA vacuum and/or wet clean the waste decontamination enclosure system and the personnel decontamination enclosure system at the end of each day of abatement activities.

# 3.08 HANDLING AND REMOVAL PROCEDURES

The Abatement Contractor may utilize existing provisions of ICR-56, Applicable Variances or a Site Specific Variance, approved by the Owner's Consultant, to permit the conduct of this work.

# 3.09 ABATEMENT PROCEDURES

# A. AIR SAMPLING - By Owner

- 1. Air sampling and analysis shall be conducted according to the requirements of Subpart 56-4 before the start, during and after the completion of the asbestos removal project.
- 2. In addition to the requirements of Subpart 56-4, air monitoring shall be conducted in accordance with any approved job specific variance(s) or applicable variance utilized.
- 3. Clearance samples may be analyzed using PCM to maintain compliance with ICR-56.
- 4. If applicable, clearance samples will be analyzed using TEM to maintain compliance with ICR-56 and 40 CFR 763.90[i].
- B. The provisions of the Applicable Variances or a Job Specific Variance shall apply only in those areas where approval has been granted by the NYS DOL and the Contractor has obtained concurrence from the Owner's Consultant. All other applicable provisions of Industrial Code Rule 56-1 through 56-12 shall be complied.
- C. A copy of the NYS DOL Job Specific or Applicable Variance, if applicable, shall be conspicuously posted at the work area(s).
- D. The Abatement Contractor shall construct a decontamination unit at the work site. The Abatement Contractor shall, as a minimum, comply with the requirements of 29 CFR 1926.1101(j); Hygiene facilities and practices for employees.

# 3.10 ENCAPSULATION PROCEDURES

The following procedures shall be followed to seal in non-visible residue, after obtaining satisfactory clearance air monitoring results, while conducting lockdown encapsulation on any surfaces which were the subject of removal or other remediation activities:

A. Only encapsulants rated as acceptable or marginally acceptable on the basis of Battelle Columbus Laboratory test procedures and rating requirements developed under the 1978 USEPA contract shall be used for lockdown encapsulation.

- B. Sealants considered for use in encapsulation shall first be tested to ensure that the sealant is adequate for its intended use. A section of the work surface shall be evaluated following this initial test application of the sealant to quantitatively determine the sealant's effectiveness in terms of penetrating and locking down the asbestos fibers. The American Society of Testing and Materials (ASTM) Committee E06.21.06E on Encapsulation of Building Materials has developed a guidance document to assist in the selection of an encapsulant.
- C. The encapsulant solvent or vehicle shall not contain a volatile hydrocarbon.
- D. Encapsulants shall be applied using airless spray equipment.
  - 1. Spraying is to occur at the lowest pressure range possible to minimize fiber release from encapsulant impact at the surface. It shall be applied with a consistent horizontal or vertical motion.
- E. Encapsulation shall be utilized as a surface sealant once all asbestos containing materials have been removed in a work area. In no event shall encapsulant be applied to any surface that was the subject of removal or other remediation activities prior to obtaining satisfactory clearance air monitoring.

# 3.11 CLEANUP PROCEDURES

- A. The following cleanup procedures shall be required.
  - 1. Cleanup of accumulations of loose asbestos material shall be performed whenever enough loose asbestos materials have been removed to fill a single leak tight container of the type commensurate with the material properties. In no case shall cleanup be performed less than once prior to the close of each working day. Asbestos material shall be kept wet until cleaned up.
  - 2. Accumulations of dust shall be cleaned off all surfaces on a daily basis using HEPA vacuum cleaning methods.
  - 3. Decontamination enclosures shall be HEPA vacuumed at the end of each shift.
  - 4. Accumulations of asbestos waste material shall be containerized utilizing HEPA vacuums or rubber or plastic dust pans, squeegees or shovels. Metal shovels shall not be used to pick up or move waste.
  - 5. Excessive water accumulation or flooding in the area shall require work to stop until the water is collected and disposed of properly.
- B. The following cleanup procedures shall be required after completion of all removal activities.
  - 1. All accumulations of asbestos waste material shall be containerized utilizing HEPA vacuums or rubber or plastic dust pan, squeegees or shovels. Metal shovels shall not be used to pick up or move waste. HEPA vacuums shall be used to clean all surfaces after gross cleanup.
  - 2. Cleaning. All surfaces in the work area shall be HEPA vacuumed. To pick up excess liquid and wet debris, a wet purpose shop vacuum may be used and shall be decontaminated prior to removal from the work area.

- 3. Windows, doors, HVAC system vents and all other openings shall remain sealed. Decontamination enclosure systems shall remain in place and be utilized.
- 4. All containerized waste shall be removed from the work area and the holding area.
- 5. All tools and equipment shall be decontaminated and removed from the work area.
- 6. A final visual inspection and clearance air monitoring, as per the schedule for air sampling and analysis, shall be conducted.
- 7. The isolation barriers and decontamination unit shall be removed only after satisfactory clearance air monitoring results have been achieved.

# 3.12 SAFETY MONITORING – CONSULTANT:

The Consultant will designate an Asbestos Safety Technician (AST) to represent the Owner during the removal program. The AST must be on the job site at all times during abatement work. Absolutely no abatement or preparation work will occur without the presence of the AST.

The AST will conduct four (4) milestone inspections.

- 1. Pre-commencement inspection shall be conducted as follows:
  - a. Notification in writing to the Consultant shall be made by the Abatement Contractor to request a pre-commencement inspection at least 48 hours in advance of the desired date of inspection. This inspection shall be requested prior to beginning preparatory work in another work area.
  - b. The AST shall ensure that:
    - i. The job site is properly prepared and that all containment measures are in place:
    - ii. The designated supervisor shall present to the inspector a valid supervisor's license issued by the New York Department of Labor;
    - iii. All workers shall present to the inspector a valid handler's license issued by the New York Department of Labor;
    - iv. Measures for the disposal of removed asbestos material are in place and shall conform to the adopted standards;
    - v. The Abatement Contractor has a list of emergency telephone numbers at the job site which shall include the monitoring firm employed by the Owner and telephone numbers for fire, police, emergency squad, local hospital and health officer.
  - c. If all is in order, the AST shall issue a written notice to proceed in the field. If the job site is not in order, then any needed corrective action must be taken before any work is to commence. Conditional approvals shall not be granted.

Progress inspection shall be conducted as follows:

- a. Primary responsibility for ensuring that the abatement work progresses in accordance with these technical specifications and regulatory requirements rests with the Abatement Contractor. The AST shall continuously be present to observe the progress of work and perform required tests.
- b. If the AST observes irregularities at any time, he shall direct such corrective action as may be necessary. If the Abatement Contractor fails to take the corrective action required, or if the Abatement Contractor or any of their employees habitually and/or excessively violate the requirements of any regulation, then the AST shall inform the Owner who shall issue a Stop Work Order to the Abatement Contractor and have the work site secured until all violations are abated.

# Clean-up inspections shall be conducted as follows:

- a. Notice for clean-up inspection shall be requested by the Abatement Contractor at least 24 hours in advance of the desired date of inspection;
- b. The clean-up inspection shall be conducted prior to the removal of any isolation or critical barriers and before final air clearance monitoring;
- c. The AST shall ensure that:
  - i. The work site has been properly cleaned and is free of visible asbestos containing material and debris.
  - ii. All removed asbestos has been properly placed in a locked secure container outside of the work area.
- d. If all is in order, the AST shall issue a written notice of authorization to remove surface barriers from the work area. All isolation barriers shall remain in place until satisfactory clearance air sampling has been completed.
- 4. Clearance Visual Inspection shall be conducted after the removal of non-critical plastic sheeting. The AST shall insure that:
  - a. The work area is free of all visible asbestos or suspect asbestos debris and residue.
  - b. All waste has been properly bagged and removed from the work area.
  - c. Should clearance visual inspection identify residual debris, as determined by the AST, the Abatement Contractor is responsible for recleaning the area at his own cost and shall bear all costs of reinspection until acceptable levels are achieved.
- B. The Abatement Contractor shall be required to receive written approval before proceeding after each milestone inspection.

# **3.13 PERSONNEL AIR MONITORING – CONTRACTOR** (29 CFR 1926.1101)

- A. Personnel air monitoring shall be provided to determine both short-term (STEL) and full shift during when abatement activities occur. Personnel sampling shall be performed in each work area in order to accurately determine the concentrations of airborne asbestos to which workers may be exposed.
- B. The Abatement Contractor shall have a qualified "Competent Person" (as specified in 29 CFR 1926 OSHA) to conduct personnel air monitoring.
- C. The laboratory performing the air sample analysis shall be certified by NYS DOH ELAP and approved by the consultant.
- D. Personnel air monitoring test results for OSHA Compliance. Results shall be posted at the work site within 24 hours of testing and copies supplied to the Owner within five (5) days of testing. Abnormalities shall be supplied to the Owner immediately.

# 3.14 CLEARANCE AIR MONITORING

- A. Air samples will be collected in and around the work areas at the completion of abatement activities.
- B. Clearance samples may be analyzed using PCM to maintain compliance with ICR-56.
- C. If applicable, clearance samples will be analyzed using TEM to maintain compliance with ICR-56 and 40 CFR part 763 "Asbestos-Containing Materials in Schools; Final Rule and Notice" section 763.90.

# D. \*\*\*RETESTING\*\*\*

Should clearance air monitoring yield fiber concentrations above the "Clearance" criteria of either 0.01 fibers per CC and/or background levels (PCM) –OR- seventy (70) structures per square millimeter (TEM/AHERA), the Abatement Contractor is responsible for re-cleaning the area at his own cost and shall bear all costs associated with the retesting of the work area(s) including monitoring labor, sampling, analysis, etc. until such levels are achieved.

# 3.15 RESPIRATORY PROTECTION REQUIREMENT

- A. Respiratory protection shall be worn by all individuals inside the work area from the initiation of the asbestos project until all areas have successfully passed clearance air monitoring in accordance with these specifications. The Abatement Contractor shall keep available at all times two PAPR's with new filters and charged batteries for use by authorized visitors.
- B. All respiratory protection shall be MSHA/NIOSH approved in accordance with the provisions of 30 CFR Part II. All respiratory protection shall be provided by the Abatement Contractor, and used by workers in conjunction with the written respiratory protection program.
- C. The Abatement Contractor shall provide respirators that meet the requirements of 29 CFR Parts 1910 and 1926.

- 1. Full facepiece Type C supplied-air respirators operated in pressure demand mode equipped with an auxiliary self- contained breathing apparatus, operated in pressure demand or continuous flow, shall be worn during gross removal, demolition, renovation and/or other disturbance of ACM whenever airborne fiber concentrations inside the work area are greater than 10.0 f/cc.
- 2. Full facepiece Type C supplied-air respirators operated in pressure demand mode with HEPA filter disconnect protection shall be work during gross removal, demolition, renovation and/or other disturbance of ACM with an amphibole content and/or whenever airborne fiber concentrations inside the work area are equal to or greater than 0.5 f/cc and less than or equal to 10.0 f/cc.
- 3. Full facepiece powered air-purifying respirators (PAPR) equipped with HEPA filters shall be worn during the removal, encapsulation, enclosure, repair and/or other disturbance of friable ACM if airborne fiber concentrations inside the work area are less than 0.5 f/cc. A supply of charged replacement batteries, HEPA filters and flow test meter shall be available in the clean room for use with powered air-purifying respirators. HEPA filters shall be changed daily or as flow testing indicates change is necessary. Any Type C supplied-air respirator operated in continuous flow, with HEPA filter disconnect protection, may be substituted for a powered air-purifying respirator.
- 4. Loose fitting helmets or hoods with powered air-purifying respirators (PAPR) equipped with HEPA filters may be worn during the removal, encapsulation, enclosure, repair and/or other disturbance of friable ACM if airborne fiber concentrations inside the work area are less than 0.25 f/cc. A supply of charged replacement batteries, HEPA filters and flow test meter shall be available in the clean room for use with powered air-purifying respirators. HEPA filters shall be changed daily or as flow testing indicates change is necessary. Any Type C supplied-air respirator operated in continuous flow may be substituted for a powered air-purifying respirator.
- 5. Half-mask or full-face air-purifying respirators with HEPA filters shall be worn only during the preparation of the work area and final clean up procedures provided airborne fiber concentrations inside the work area are less than 0.1 f/cc.
- 6. Use of single use dust respirators is prohibited for the above respiratory protection.
- D. Workers shall be provided with personally issued and individually marked respirators. Respirators shall not be marked with any equipment that will alter the fit of the respirator in any way. Only waterproof identification markers shall be used.
- E. The Abatement Contractor shall ensure that the workers are qualitatively or quantitatively fit tested by an Industrial Hygienist initially and every six months thereafter with the type of respirator he/she will be using.
- F. Whenever the respirator design permits, workers shall perform the positive and negative air pressure fit test each time a respirator is worn. Powered air-purifying respirators shall be tested for adequate flow as specified by the manufacturer.
- G. No facial hair, which interferes with the face-to-mask sealing surface, shall be permitted to be worn when wearing respiratory protection that requires a mask-to-face seal.
- H. Contact lenses shall not be worn in conjunction with respiratory protection.

- I. If a worker wears glasses, a spectacle kit to fit their respirator shall be provided by the Abatement Contractor at the Abatement Contractor's expense.
- J. Respiratory protection maintenance and decontamination procedures shall meet the following requirement:
  - 1. Respiratory protection shall be inspected and decontaminated on a daily basis in accordance with OSHA 29 CFR 1910.134(b); and
  - 2. HEPA filters for negative pressure respirators shall be changed after each shower; and
  - 3. Respiratory protection shall be the last piece of worker protection equipment to be removed. Workers must wear respirators in the shower when going through decontamination procedures; and
  - 4. Airline respirators with HEPA filtered disconnect shall be disconnected in the equipment room and worn into the shower. Powered air-purifying respirator facepieces shall be worn into the shower. Filtered/power pack assemblies shall be decontaminated in accordance with manufacturers' recommendations; and
  - 5. Respirators shall be stored in a dry place and in such a manner that the facepiece and exhalation valves are not distorted; and
  - 6. Organic solvents shall not be used for washing of respirators.
- K. No visitors shall be allowed to enter the contaminated area if they do not have their medical certification and training certificate. Authorized visitors shall be provided with suitable PAPR respirators and instructions on the proper use of respirators whenever entering the work area.

# 3.16 DISPOSAL OF WASTE

# A. APPLICABLE REGULATIONS

- 1. All asbestos waste shall be stored, transported and disposed of as per, but not limited to, the following Regulations:
  - a. NYS Code Rule 56
  - U.S. Department of Transportation (DOT)
     Hazardous Substances
     Title 29, Part 171 and 172 of the code of Federal Regulations regarding waste collector registration
  - c. Regulations regarding waste collector registration Title 6, part 364 of the New York State Official Compilation of Codes, Rules and Regulations 6 NYCRR 364
  - d. USEPA NESHAPS 40 CRF 61
  - e. USEPA ASBESTOS WASTE MANAGEMENT GUIDANCE EPA/530-SW-85-007

- B. TRANSPORTER OR HAULER The Abatement Contractor shall bear full responsibility for proper characterization, transportation and disposal of all solid or liquid waste, generated during the project, in a legal manner. The Owner shall approve all transportation and disposal methods.
  - 1. The Abatement Contractor's Transporter (hauler) and disposal site shall be approved by the Owner. The Abatement Contractor shall remove within 48 hours all asbestos waste from the site after completing the clean up.
  - 2. The Transporter must possess and present to the Owner's representative a valid New York State Department of Environmental Conservation Part 364 asbestos hauler's permit to verify license plate and permit numbers. The Owner's representative will verify the authenticity of the hauler's permit with the proper authority.
  - 3. The Abatement Contractor shall give 24 hour notification prior to removing any waste from the site. All waste shall be removed from site only during normal working hours. No waste may be taken from the site without authorization from the Owner's representative.
  - 4. The Abatement Contractor shall have the Transporter give the date and time of arrival at the disposal site.
  - 5. The Transporter with the Abatement Contractor and Owner's consultant shall inspect all material in the transport container prior to taking possession and signing the Waste Manifest. The Transporter shall not have any off site transfers or be combined with any other off-site asbestos material.
  - 6. The Transporter must travel directly to the disposal site with no unauthorized stops.

# C. WASTE STORAGE CONTAINER

1. During loading and on site storage, the asbestos waste container shall be labeled with EPA Danger signage:

# DANGER CONTAINS ASBESTOS FIBERS AVOID CREATING DUST CANCER AND LUNG DISEASE HAZARD

- 2. The NYS DEC Hauler's Permit number shall be on both sides and back of the container.
- 3. The Container will not be permitted to leave the site without the proper signage.
- 4. A copy of the completed waste manifest shall be forwarded directly to the Owner's Consultant by the disposal facility.

- 5. Packaging of Non-friable Asbestos. Use of an open top container shall require written request, by the Contractor, and written approval by the Owners Representative, and be performed in compliance with all applicable regulations.
  - a) A chute, if used, shall be air/dust tight along its lateral perimeter and at the terminal connection to the dumpster at ground level (solid wall and top container). The upper end of the chute shall be furnished with a hinged lid, to be closed when the chute is not being used.
  - b) The container shall be lined with a minimum of two (2) layers of 6 mil. Fire-retardant polyethylene draped loosely over the sides so as to facilitate being wrapped over the top of the load and sealed prior to transport from the site.
  - c) Prior to transport from the work site the Dumpster will be disconnected from the chute and sealed air/dust tight utilizing six mil plastic and tape. The waste material will be transported as an asbestos containing material by appropriate legal methods.
- 6. Packaging Friable Asbestos.
  - a) The container shall be a solid wall, hard top and lockable container.
  - b) The container shall be locked upon arrival at the site to restrict access. Security shall be provided at the entrance to the container during the loading process and immediately locked upon completion.
  - c) The interior walls, floor and ceiling shall be lined with two (2) layers of 6 mil. Fire-retardant polyethylene.
  - d) The waste shall be loaded in such a manner as to protect the integrity of the individual waste packages.
  - e) Prior to transport from the work site the interior of the Dumpster will sealed air/dust tight utilizing six mil plastic and tape. The waste material will be transported as an asbestos containing material by appropriate legal methods.

# D. WASTE DISPOSAL MANIFEST

- 1. The Asbestos Waste Manifest shall be equivalent to the "Waste Shipment Record" included in 40 CFR 61. A copy of the Contractor's manifest shall be reviewed by the Owner's Consultant and shall be the only manifest used.
- 2. The Manifest shall be verified by the Owner's Consultant indicating that all the information and amounts are accurate and the proper signatures are in place.
- 3. The Manifest shall have the signatures of the Abatement Contractor and the Transporter prior to any waste being removed from the site.

- 4. The Manifest shall be signed by the Disposal Facility owner or operator to certify receipt of asbestos containing materials covered by the manifest.
- 5. A copy of the completed manifest shall be provided by the Abatement Contractor to the Owner's Consultant and remain on site for inspection.
- 6. Abatement Contractor shall maintain a waste disposal log which indicates load number, date and time left site, container size, type of waste, quantity of waste, name of hauler, NYS DES permit number, trailer and tractor license number, and date manifest was returned to Consultant.
- 7. The Disposal Facility owner or operator shall return a signed copy of the Waste Manifest directly to:

Ossining UFSD 400 Executive Boulevard Ossining, New York 10562 ATTN: Jared Mance

- 8. Copies of the completed Waste Manifest are to be sent by the disposal facility to the Hauler and Abatement Contractor.
- 9. Submit signed dump tickets and manifests with final payment request.
- 10. Final payment request will not be honored without signed dump ticket or manifests accounting for all asbestos waste removed from the site.

# E. VIOLATIONS OF SPECIFICATIONS

1. Violations of the safety, hygiene, environmental, procedures herein, any applicable federal, state of local requirement s or failure to cooperate with the Owner's representative shall be grounds for dismissal and/or termination of this contract.

# F. VIOLATIONS OF NO SMOKING POLICY

1. The Federal Pro Children Act of 1994 prohibits School District Officials from smoking in any buildings or on the grounds that is property of the School District. The District shall be considered smoke free. The School District strongly enforces its' No Smoking Policy. It is the Contractor's responsibility to inform all workers of this policy. Any worker(s) involved with this project that are found smoking or using tobacco products will be informed that they are in violation of the Federal and State Law and School Board Policy and will be removed from site.

# 3.17 LOCATION OF "ABATEMENT WORK"

(Please see attached Drawings for approximate locations)

# 1) BROOKSIDE ELEMENTARY SCHOOL (INTERIOR ABATEMENTS)

- Abatement Contractor responsible for total and complete removal and disposal of approximately 4,100 SF of friable asbestos-containing Ceiling Tiles, as detailed on the attached ACM Location Drawing. Abatement Contractor responsible for all demolition required to access material(s), as well as for providing all equipment necessary to access material(s). The Owner and/or General Contractor responsible for re-installation of non-asbestos ceiling tiles. See below for breakdown:
  - Rear Corridor, South ACM Ceiling Tiles (3,000 SF)
  - Gym Storage Room ACM Ceiling Tiles (200 SF)
  - Faculty Rooms ACM Ceiling Tiles (700 SF)
  - Work Room ACM Ceiling Tiles (200 SF)
- Abatement Contractor responsible for total and complete removal and disposal of approximately 60 LF of friable asbestos-containing Mudded Joint Packing (Elbows), as detailed on attached ACM Location Drawing. Abatement Contractor responsible for all demolition required to access material(s), as well as for providing all equipment necessary to access material(s). See below for breakdown:
  - Rear Corridor, South Above Susp. Ceil. (60 LF)

END OF LOCATION OF WORK

# 3.18 GENERAL

- A. The Abatement Contractor will be responsible for repairing all building components damaged during abatement including, but not limited to: ceiling tiles, ceiling finishes, wall finishes, floor finishes, etc.
- B. The Abatement Contractor shall be responsible for all demolition required to access materials identified in scope of work and on associated drawings.
- C. Concealed conditions that are exposed and may require additional work shall be brought to the attention of the Owner immediately. The Abatement Contractor shall not abate these areas without a written notice to proceed. Additional asbestos abatement performed prior to the order to proceed will not be acknowledged.
- D. The Abatement Contractor shall remove asbestos-containing floor covering to the building substrate beneath; in areas indicted. Subsequent to final air clearance the substrate shall be washed with a neutralizing agent to prepare the substrate to accept new floor covering and eliminate residual odors.
- E. Power tools used to drill, cut into or otherwise disturb asbestos containing material shall be equipped with HEPA filtered local exhaust ventilation.
- F. The Abatement Contractor shall provide access to GFCI electrical power, required to perform the area air monitoring for this project, within and immediately adjacent to each work area.
- G. Unwrapped or unbagged ACM shall be immediately placed in an impermeable waste bag or wrapped in plastic sheeting.
- H. Coordinate all removal operations with the Owner.

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# **Asbestos Employee Medical Examination Statement Certificate of Worker Release Asbestos Employee Training Statement** CERTIFICATE OF WORKERS'S ACKNOWLEDGEMENT

PROJECT NAME:	Ossining UFSD: Brookside ES Classroom Addition
CONTRACTOR'S NA	ME:
FIBERS. INHALING CANCER AND RES ASBESTOS FIBERS	SBESTOS INVOLVES POTENTIAL EXPOSURE TO AIRBORNE ASBESTOS G ASBESTOS FIBERS HAS BEEN LINKED WITH VARIOUS TYPES OF PIRATORY DISEASES. SMOKING CIGARETTES AND INHALATION OF INCREASES THE RISK THAT YOU WILL DEVELOP LUNG CANCER HE NON-SMOKING PUBLIC.
and training on their u the project 3) provide signature on this certif	project requires your employer to 1) supply proper respiratory protection devices se 2) provide training on safe work practices and on use of the equipment used or a medical examination meeting the requirements of 29 CFR 1926.1101. Your cate, documents that your employer has fulfilled these contractual obligations and commation presented to you.
*********DO NO? INFORMATION***	T SIGN THIS FORM UNLESS YOU FULLY UNDERSTAND THIS ****
respiratory protection protection m	TECTION: I have been trained in the proper use and limitations of the type of devices to be used on this project. I have reviewed the written respiratory anual and a copy is available for my use. Respiratory protection equipment has ontractor, at no cost to me.
breathing asbestos dus satisfactorily complete	I have been trained in the risks and dangers associated with handling asbestos it, proper work procedures, personal protection and engineering controls. I have d and Asbestos Safety Training Program for New York State and have been issued artment of Health Certificate of Asbestos Safety Training.
months that meets the	ATION: I have satisfactorily completed a medical examination within the last 12 OSHA requirement for an asbestos worker and included at least 1) medical history 3) medical examination 4) approval to wear respiratory protection devises and magnation of a chest x-ray.
Signature:	Date
Printed Name:	SS#:

Witness: \_\_\_\_\_\_ Date: \_\_\_\_\_

020800 - 40

# Ossining UFSD: Brookside ES Classroom Addition

# **ESTIMATE OF ACM QUANTITIES**

*************	*******	******	*****
********	******	**	
*** No.  The linear and square footages listed within Contractor is required to visit the work location.	DATED COPY OF BATEMENT CONT THE SOLE DISC ERED NON-RESPORT CONTRACTOR'S ************************************	THIS ACKNOWLI RACTOR'S BID I CRETION OF THE ONSIVE AND RI BID ON THIS PRO ************************************	EDGMENT FOR THIS E OWNER, ESULT IN JECT. ************************************
measurements within each listed location. The quantities determined, by them, at the site walkth for informational purposes only and shall not be	rough. Estimates pro	vided in these specif	ications are
************	******	******	******
*********	*******	**	
<b>Acknowledgment:</b> I have read and understand the and understand that estimates provided in these shall not be considered a basis for Change Orders represents to the Owner that he/she has the authorion its behalf.	specifications are for on this project. The	informational purpos Abatement Contracto	es only and r's signatory
Company Name:			
Type or Print			
BY:			
Signature	Title	Date	
Print Name:			

# ASSOCIATED ASBESTOS REMOVAL LOCATION DRAWINGS

# > Ossining UFSD: Brookside ES Entrance Addition & Site Improvements

- ❖ BES AA000 Brookside Elementary School Asbestos Notes
   ❖ BES AA101 Brookside Elementary School Main Floor Asbestos Removal Plan

# **END OF SECTION 020800**

# SECTION 02 4119 - SELECTIVE REMOVAL

# PART 1 - GENERAL

# 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

# A. Section Includes:

- 1. Removal of selected portions of building or structure.
- 2. Removal of selected site elements.
- 3. Salvage of existing items to be reused or recycled.

# 1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse.
- C. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.

# 1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, removal waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during removal remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

# 1.5 PREINSTALLATION MEETINGS

- A. Pre-removal Conference: Conduct conference at Project site.
  - 1. Inspect and discuss condition of construction to be selectively removed.
  - 2. Review structural load limitations of existing structure.

14428.11

- 3. Review and finalize selective removal schedule and verify availability of materials, personnel, equipment, and facilities needed to make progress and avoid delays.
- 4. Review requirements of work performed by other trades that rely on substrates exposed by selective removal operations.
- 5. Review areas where existing construction is to remain and requires protection.

# 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For refrigerant recovery technician.
- B. Schedule of Selective Removal Activities: Indicate the following:
  - 1. Detailed sequence of selective removal work, with starting and ending dates for each activity. Ensure Owner's on-site operations are uninterrupted.
  - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
  - 3. Coordination for shutoff, capping, and continuation of utility services.
  - 4. Use of elevator and stairs.
  - 5. Coordination of Owner's continuing occupancy of portions of existing building.
- C. Pre-removal Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by removal operations.
- D. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician responsible for recovering refrigerant, stating that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations. Include name and address of technician and date refrigerant was recovered.

# 1.7 CLOSEOUT SUBMITTALS

A. Inventory: Submit a list of items that have been removed and salvaged.

# 1.8 QUALITY ASSURANCE

A. Refrigerant Recovery Technician Qualifications: Certified by an EPA-approved certification program.

# 1.9 FIELD CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective removal area. Conduct selective removal so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
  - 1. Before selective removal, Owner will remove the following items:
    - a. Any items to be retained by the Owner will have been removed by the Owner prior to start of work.

- 02 4119-3
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: Present in buildings and structures to be selectively removed. A report on the presence of hazardous materials is on file for review and use. Examine report to become aware of locations where hazardous materials are present.
  - 1. Hazardous material remediation is specified elsewhere in the Contract Documents.
  - 2. Do not disturb hazardous materials or items suspected of containing hazardous materials except under procedures specified elsewhere in the Contract Documents.
- E. Storage or sale of removed items or materials on-site is not permitted.
- F. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective removal operations.
  - 1. Maintain fire-protection facilities in service during selective removal operations.
- G. Although care has been taken to ensure their accuracy, the locations shown for existing partitions, equipment, and structures indicated to be removed, nor their quantity, are guaranteed. It is the Contractor's responsibility to verify these conditions in the field during the bidding process before commencing work. No claims for extra payment due to incorrect locations, dimensions or quantities of items will be considered by the Owner.

# 1.10 COORDINATION

A. Arrange selective removal schedule so as not to interfere with Owner's operations.

# PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

# **PART 3 - EXECUTION**

# 3.1 EXAMINATION

- A. Disconnect and cap utilities before starting selective removal operations.
- B. Perform an engineering survey of condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building removal operations.

- 1. Perform surveys as the Work progresses to detect hazards resulting from selective removal activities.
- C. Verify that hazardous materials have been remediated before proceeding with selective removal operations.

# 3.2 PREPARATION

A. Refrigerant: Before starting removal, remove refrigerant from mechanical equipment according to 40 CFR 82 and regulations of authorities having jurisdiction.

# 3.3 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.
- B. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively removed.
  - 1. Arrange to shut off utilities with utility companies.
  - 2. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective removal and that maintain continuity of services/systems to other parts of building.
  - 3. Disconnect and remove fire-suppression systems, plumbing, and HVAC systems, equipment, and components indicated on Drawings to be removed.
    - a. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
    - b. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material and leave in place.
    - c. Equipment to Be Removed: Disconnect and cap services and remove equipment.
    - d. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
    - e. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
    - f. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material and leave in place.

# 3.4 PROTECTION

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
  - 1. Provide protection to ensure safe passage of people around selective removal area and to and from occupied portions of building.
  - 2. Provide temporary weather protection, during interval between selective removal of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.

- 3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective removal operations.
- 4. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Section 01 5000 "Temporary Facilities and Controls."
- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being removed.
  - 1. Strengthen or add new supports when required during progress of selective removal.
- C. Remove temporary barricades and protections where hazards no longer exist.

# 3.5 SELECTIVE REMOVAL, GENERAL

- A. General: Remove existing construction to the extent indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
  - 1. Proceed with selective removal systematically, from higher to lower level. Complete selective removal operations above each floor or tier before disturbing supporting members on the next lower level.
  - 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
  - 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
  - 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
  - 5. Maintain fire watch during and for at least 2 hours after flame-cutting operations.
  - 6. Maintain adequate ventilation when using cutting torches.
  - 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
  - 8. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
  - 9. Locate selective removal equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
  - 10. Dispose of demolished items and materials promptly. Comply with requirements in Section 01 7419 "Construction Waste Management and Disposal."
- B. Site Access and Temporary Controls: Conduct selective removal and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Removed and Salvaged Items:
  - 1. Clean salvaged items.

14428.11

- 2. Pack or crate items after cleaning. Identify contents of containers.
- 3. Store items in a secure area until delivery to Owner.
- 4. Transport items to Owner's storage area designated by Owner.
- 5. Protect items from damage during transport and storage.
- D. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective removal.

# 3.6 SELECTIVE REMOVAL PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete: Demolish in sections. Cut concrete full depth at junctures with construction to remain and at regular intervals using power-driven saw, and then remove concrete between saw cuts.
- B. Masonry: Demolish in small sections. Cut masonry at junctures with construction to remain, using power-driven saw, and then remove masonry between saw cuts.
- C. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.
- D. Resilient Floor Coverings: Remove floor coverings and adhesive according to recommendations in RFCI's "Recommended Work Practices for the Removal of Resilient Floor Coverings." Do not use methods requiring solvent-based adhesive strippers.
- E. Roofing: Remove no more existing roofing than what can be covered in one day by new temporary roofing and so that building interior remains watertight and weathertight.
  - 1. Remove existing roof membrane, flashings, copings, and roof accessories.
  - 2. Remove existing roofing system down to substrate.

# 3.7 DISPOSAL OF REMOVED MATERIALS

- A. Remove waste materials from Project site and recycle or dispose of them according to Section 01 7419 "Construction Waste Management and Disposal."
  - 1. Do not allow removed materials to accumulate on-site.
  - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
  - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
  - 4. Comply with requirements specified in Section 01 7419 "Construction Waste Management and Disposal."
- B. Burning: Do not burn removed materials.

# 3.8 CLEANING

A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective removal operations. Return adjacent areas to condition existing before selective removal operations began.

END OF SECTION 02 4119

# LEAD-BASED PAINT WORK PRACTICES

# SECTION 028300 – LEAD SAFE WORK PRACTICES

PART 1 - GENERAL

### 1.1 DESCRIPTION/SCOPE OF WORK

The work covered by these specifications shall consist of furnishing all labor, materials, tools, A. and equipment necessary to control and mitigate potential lead-based paint (LBP) hazards during demolition/renovation activities pertaining to the Ossining UFSD: Brookside ES Classroom Addition.

The following is a detailed listing of identified Lead-based Paint(s) and/or Lead-containing Material(s), above the EPA action level of 1.0 mg/sq. cm.:

### TABLE I: IDENTIFIED LEAD-BASED PAINT BROOKSIDE ELEMENTARY SCHOOL 30 RYDER ROAD LBP Location Substrate Color LBP Condition Component INTERIOR Hallway Wall Glazed Block Beige Intact Lower Wall Hallway/Classrooms Glazed Block Brown Intact Cove Base

It should be noted that several components tested did in fact contain minimal lead-levels below the EPA threshold level of 1.0 mg/sq. cm for classification as Lead-Based Paint (LBP) and are considered leadcontaining coatings by the OSHA Regulation, "Lead Exposure in Construction" (29CFR 1926.62). OSHA does not recognize a minimum limit for lead concentration in paint for the purpose of disturbance. Monitoring of workers performing demolition/cleaning/disturbance of painted surfaces shall be completed to document personnel occupational exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

The work of this Contractor shall include the following, and shall be as required by specific work-related tasks and disturbance(s) of above-referenced Lead-based Paint(s) and/or Leadcontaining Material(s), above the EPA action level of 1.0 mg/sq. cm:

- 1) Personnel air monitoring and analysis.
- 2) Waste characterization and classification.
- 3) Transportation/disposal off-site of LBP wastes/debris and lead-contaminated waste/debris generated from LBP disturbance(s).
- В. Manual demolition, scraping and manual sanding of lead-based paint surfaces and power tool cleaning with dust collection systems shall be performed in conjunction with engineering and work practice controls meeting the requirements of 29 CFR 1926.62(e)(1).

- C. Components with lead-based paint shall be removed intact to the extent practicable. A 6-mil polyethylene drop cloth shall be placed on either side of the component, prior to its removal, to catch any paint chips that may become dislodged. The component shall be wrapped in a layer of 6-mil polyethylene for movement to the disposal container. Follow proper disposal requirements. The area around the component removal shall be wet wiped and HEPA vacuumed, including the tent enclosure. The polyethylene sheeting shall be carefully folded in on itself and placed in a 6-mil disposal bag. Containment debris shall be properly disposed of as lead-based waste.
- D. Chemical stripping should be used for LBP removal on surfaces that will be subjected to welding, cutting or torch burning. No chemical strippers containing methylene chloride shall be used by the Contractor on this project. Abrasive blasting, heat stripping, uncontained hydroblasting, welding, cutting or torch burning shall not be performed on surfaces where LBP is present. Abrasive blasting, heat stripping, uncontained hydroblasting, welding, cutting or torch burning shall only be performed on bare metal substrate.
- E. The Contractor's use of a subcontractor shall not relieve the Contractor of full responsibility for the work to be performed.
- F. If available, the Contractor may submit exposure assessment data obtained within the last twelve (12) months from previous jobs conducted under similar conditions, control methods, work practices and environmental conditions to be used in this contract. Other objective data may be used to demonstrate that work activities in this contract will not result in occupational exposures to airborne lead that exceeds the PEL. The assessment shall include comparable lead concentrations in coating materials, work practices, engineering controls and rates of work.
- G. Until the exposure assessment is performed, the Contractor must provide to his workers the following: Respiratory protection with a minimum protection factor of 10, personal protective clothing, lead-free change areas, hand washing/shower facilities, biological monitoring and training per 29 CFR 1926.62.

This Specification shall be used as a Guideline for the use of Contractors who complete the demolition/renovation activities pertaining to the *Ossining UFSD: Brookside ES Classroom Addition* as detailed within Section #1.2 of this specification. The intent of this Specification is to remain in conformance with 29 CFR 1926.62 and to maintain an airborne concentration of lead-dust below the action level. This Specification is written in order to outline the worst-case scenario in regard to lead safe work practices. However, the work procedures section is written in a manner, which outlines the requirements that should be necessary, at a minimum, to maintain an airborne concentration of lead dust below the action level.

H. The Contractor shall ensure that any HVAC equipment intakes within and around the work areas are protected by shutting down the units and/or installing HEPA filters over the intake. The Contractor shall coordinate rebalancing of the HVAC equipment prior to installing the HEPA filters. The Contractor shall alter the size and extent of the isolation barriers as necessary due to weather conditions, functional space use and density of building occupants in the vicinity, as required.

# 1.2 REGULATIONS & REFERENCE STANDARDS

# A. General Requirements

All work of this section shall be conducted in strict accordance with all applicable Federal, State and Local regulations.

Matters of interpretations of the standards and regulations shall be submitted to the appropriate agency for resolution before starting work. Where these requirements vary the most stringent shall apply.

# B. Specific Requirements

- 1. American National Standards Institute (ANSI)
  - a. ANSI Z9.2-79 Fundamentals Governing the Design and Operation of Local Exhaust Systems.
  - b. Z88.2-80 Practice for Respiratory Protection.
- 2. Title X U.S. Department of Housing and Urban Development "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing."
- 3. Code of Federal Regulations (CFR)
  - a. 29 CFR Part 1910.120 Hazardous Waste Operations and Emergency Response.
  - b. 29 CFR Part 1910.134 Respiratory Protection.
  - c. 29 CFR Part 1910.146 Confined Space Entry Program.
  - d. 29 CFR Part 1910.1025 Lead.
  - e. 29 CFR Part 1910.1200 Hazard Communication.
  - f. 29 CFR Part 1926.55 Gases, Vapors, Fumes, Dusts and Mists.
  - g. 29 CFR Part 1926.57 Ventilation.
  - h. 29 CFR Part 1926.62 Lead (Construction Industry Standard).
  - i. 40 CFR Part 260 Hazardous Waste Management Systems: General.
  - j. 40 CFR Part 261 Identification and Listing of Hazardous Waste.
  - k. 40 CFR Part 262 Generators of Hazardous Wastes.
  - 1. 40 CFR Part 263 Transporters of Hazardous Waste.
  - m. 40 CFR Part 264 Owners and Operators of Hazardous Waste Treatment, Storage & Disposal Facilities.
  - n. 40 CFR Part 265 Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage & Disposal Facilities.
  - o. 40 CFR Part 268 Land Disposal Restrictions.
  - p. 40 CFR Part 745 Lead; Requirements for Lead-Based Paint Activities in Child Occupied Facilities
  - q. 40 CFR Part 745.90 EPA's Renovation, Repair & Painting Rule.
  - r. 49 CFR Parts 170-178 Department of Transportation Regulations.

- 4. New York Codes of Rules and Regulations (NYCRR)
  - a. 6 NYCRR Part 360 Solid Waste Regulations.
  - b. 6 NYCRR Part 364 Waste Transporter Permits.
  - c. 6 NYCRR Part 370-373 Hazardous Waste Regulations.
  - d. 8 NYCRR Part 155 Uniform Safety Standards for School Construction & Maintenance Projects.
- 5. Steel Structures Painting Council (SSPC)
  - a. SSPC-Guide 6 Guide for Containing Debris Generated During Paint Removal Operations.

SSPC-Guide 7 - Guide for the Disposal of Lead-Contaminated Surface Preparation Debris.

Preparation Debris.

- 6. Underwriters Laboratories. Inc. (UL)
  - a. UL 586 High Efficiency, Particulate Air Filter Units.

# 1.3 **DEFINITIONS**

# A. Abatement

For the purposes of this Specification, the term abatement shall refer to any procedure that impacts lead-based paint on any surface. Procedures can include: paint removal; whole removal of the surface (i.e. window replacement): demolition of painted surfaces; and clean-up of paint debris.

# B. Action Level

Employee exposure without regard to use of respirators, to an airborne concentration of lead of thirty (30) micrograms per cubic meter of air averaged over an 8-hour period. As used in this section, micrograms per cubic meter of air" refers to the action level. (Note: For longer exposure period lower action level is triggered).

# C. Area Monitoring

Sampling of lead concentrations within the lead control area (work area) and inside the physical boundaries which is representative of the airborne lead concentrations that may reach the breathing zone of personnel potentially exposed to lead.

# D. Physical Boundary

Area physically roped or partitioned off around a work area to limit unauthorized entry of personnel. As used in this section, "inside boundary" shall mean the same as "outside lead control area."

# E. Change Rooms and Shower Facilities

Rooms within the designated physical boundary around the work area equipped with separate storage facilities for clean protective work clothing and equipment and for street clothes which prevent cross-contamination.

# F. Decontamination Room

Room for removal of contaminated personal protective equipment (PPE).

G. Time Weighted Eight-Hour Average (TWA) Airborne concentration of lead averaged over an 8-hour workday to which an employee is

#### H. High Efficiency Particulate Air (HEPA) Filter Equipment

HEPA filtered vacuuming equipment with a UL 586 filter system capable of collecting and retaining lead-contaminated paint dust. A high efficiency particulate filter means 99.97 percent efficient against 0.3 micron size particles.

#### I. Lead Control Area

A work area within which engineering controls are implemented to prevent the spread of lead dust, paint chips or debris from lead-containing paint removal operations. The lead control area is isolated by physical boundaries to prevent entry of unauthorized personnel.

#### J. Lead Permissible Exposure Limit (PEL)

Fifty (50) micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR Part 1926.62. If an employee is exposed for more than 8 hours in a work day, the PEL shall be determined by the following formula:

PEL (micrograms/cubic meter of air) = 400/No. hrs worked per day

#### K. Personal Monitoring

Sampling of lead concentrations within the breathing zone of an employee to determine the 8hour time weighted average concentration in accordance with 29 CFR Part 1926.62. Samples shall be representative of the employees work tasks. Breathing zone shall be considered an area within a hemisphere, forward of the shoulders with a radius of 6 to 9 inches and the center at the nose or mouth.

#### Wipe Sampling L.

Clearance testing procedures, which determine the amount of existing lead-based paint surface dust by atomic absorption spectroscopy analysis, or inductively coupled plasma emission spectrometry expressed in micrograms of lead.

#### 1.4 **QUALITY ASSURANCE**

#### A. **Qualifications**

- 1. Contractor: Certification that the Contractor has prior experience on LBP activity projects similar in nature and extent to ensure the capability to perform the required work procedures in a satisfactory manner.
- 2. Competent Person: Certification that the Contractor's full-time onsite Competent Person meets the competent person requirements of 29 CFR Part 1926.62 and is experienced in administration and supervision of LBP activity projects, including work practices, protective measures for building and personnel, disposal procedures, etc. This person shall have completed a Contractor Supervisor LBP abatement course by an EPA Training Center or an equivalent certification course, and have had a minimum of 2 years on-the-job experience.
- 3. Testing Laboratory: The name, address, and telephone number of the independent testing laboratory selected to perform sampling and analysis for personal and area air samples and wipe samples, and TCLP analysis of LBP wastes and debris. Documentation that the laboratory performing the analysis is an EPA National Lead Laboratory Accreditation Program (NLLAP) accredited laboratory and that it is listed proficient in the NIOSH/EPA Environmental Lead Proficiency Analytical Testing Program (ELPAT), and a New York

State Department of Health (NYSDOH) Environmental Laboratory Approval Program (ELAP) certified laboratory. Certification shall include accreditation for heavy metal analysis, list of experience relevant to analysis of lead in air, and a Quality Assurance and Quality Control Program. Currently, the American Association for Laboratory Accreditation (ASLA) and the American Industrial Hygiene Association (AIHA) are the EPA recognized laboratory accreditors. Documentation shall include the date of accreditation or reaccreditation.

- 4. Blood Lead Testing Laboratory: The name, address and telephone number of the blood lead testing laboratory; the laboratory's listing by OSHA and the U.S. Public Health Service Center for Disease Control (CDC); and documentation that the laboratory certified in the state where the work site is located.
- B. Respiratory Protection Devices
  Manufacturer's certification of NIOSH for respiratory protection devices utilized on the site.
- C. Cartridges, Filters, and Vacuum Systems
  Manufacturer's certification of NIOSH approval of respirator cartridges (organic vapor, acid gas, mist, dust, high efficiency particulate); High Efficiency Particulate Air (HEPA) filtration capabilities for all cartridges, filters, and HEPA vacuum systems.
- D. Medical Examination and Records
  Certification that employees who are involved in LBP abatement work have received medical
  examinations and will receive continued medical surveillance, including biological
  monitoring, as required by 29 CFR Part 1926.62, 29 CFR Part 910.1200, 29 CFR Part
  1910.120 and by the state and local regulations pertaining to such work. Records shall be
  retained, at Contractor expense, in accordance with 29 CFR Part 1910.20.
  - 1. Provide medical surveillance to workers until exposure monitoring reveals that workers are not exposed on any day of the job to airborne lead at or above the Action Level of 30 ug/dL of blood. This consists of a blood test measuring the level of lead and zinc protoporphyrin by a licensed physician. Further testing and medical exams may be necessary depending on the results of initial blood tests and/or the initial exposure assessment.

# E. Training

Training certification shall be provided prior to the start of work involving LBP abatement, for all of the Contractors' workers, supervisors and Competent Person. Training shall meet the requirements of 29 CFR Part 1926.62, 29 CFR Part 1926.59, 29 CFR Part 1910.1200, 29 CFR Part 1910.120 and 49 CFR 172, and that required by EPA or the state LBP course for the work to be performed. Training shall be provided prior to the time of job assignment and, at least, annually. The project specific training shall at a minimum, include the following.

- 1. Specific nature of the operation, which could result in exposure to lead.
- 2. Purpose, proper selection, fitting, use and limitations of respirators.
- 3. Purpose and description of the medical surveillance program and the medical removal protection program, including information concerning the adverse health effects associated with excessive exposure to lead (with particular attention to the adverse reproductive effects on both males and females and hazards to the fetus and additional precautions for employees who are pregnant.)
- 4. Relevant engineering controls and good work practices.
- 5. The contents of any compliance plan in effect.

- 6. Instructions to employees that chelating agents should not routinely be used to remove lead from their bodies and should not be used at all except under the direction of a licensed physician.
- 7. The employee's right of access to records under 29 CFR part 1910.20.

# F. Respiratory Protection Program

- 1. Furnish each employee required to wear a negative pressure respirator or other appropriate type with a respirator fit test at the time of initial fitting and at least every 12 months thereafter as required by 29 CFR Part1910.134 and 29 CFR Part 1926.62.
- 2. Establish and implement a respiratory protection program as required by ANSI Z88.2, 29 CFR Part 1910.134 and 29 CFR Part 1926.62.
- 3. All workers are required to don an appropriate level of protection commensurate with the airborne concentrations of lead in which they are working. The level of protection will be determined by the Contractor, based on objective air monitoring data.

## G. Licenses and Permits

Copies of licenses and permits as required by applicable Federal, state and local regulations shall be obtained before the start of the LBP project.

# 1.5 SUBMITTALS

A. The submittals shall be submitted in accordance with Specification Section 01300, Submittals.

## B. Certifications

Prior to the start of work, submit required certifications, plans, programs, permits and licenses identified in Paragraph 1.5 of this specification section.

## C. Equipment List

Prior to the start of work submit list of equipment items to be used in the work, including brand names, model, capacity, performance characteristics, quantities and other pertinent information.

## D. Lead-Based Paint (LBP) Management Plan

The contractor shall prepare a detailed LBP Management Plan that identifies the work procedures, health and safety measures to be used in LBP work procedures; and that addresses spill prevention, containment and emergency response procedures. The plan shall address the methods to be undertaken to abate the lead to include the following key elements:

- 1. LBP containment methods to control employee exposure to lead at or below the permissible exposure limit and to ensure that airborne lead concentrations of 30 micrograms per cubic meter of air are not exceeded outside of the lead control area.
- 2. Training requirements as required by Federal, state and local regulations.
- 3. Unique problems associated with the LBP project.
- 4. Sketch of location, size and details of LBP control areas, decontamination rooms/areas, change rooms and shower facilities.
- 5. Eating, drinking, smoking, and rest room procedures.
- 6. Sequencing of LBP related work.

- 7. Personnel protective equipment and respiratory protection program, including controls.
- 8. Engineering controls, containment structures and safety measures.
- 9. Worker exposure assessment procedures.
- 10. Work Practice controls.
- 11. Housekeeping.
- 12. Hygiene facilities and practice.
- 13. Medical surveillance, including medical removal procedures.
- 14. Sampling, testing and analytical methods to include personnel air sampling requirements of 29 CFR Part 1926.62, wipe sampling of the surface where the LBP was removed and, when required, toxicity characteristic leaching procedure (TCLP) testing of the waste material in accordance with 40 CFR 261 and 6 NYCRR Part 371, and area air sampling required by the specifications. Procedures must include frequency, locations, sampling and analytical methods to be used.
- E. Compliance Program

Contractor's Compliance Program prepared in accordance with 29 CFR Part 1926.62 (e) (2).

- F. Waste Transporter and Disposal Facility Permits, and Disposal Documents.
  - 1. Name, address and telephone number of 6 NYCRR Part 364 transporter who will be transporting the LBP wastes and debris and a copy of the transporter's 6 NYCRR Part 364 permit.
  - 2. Name, address and telephone number of disposal facility accepting the LBP wastes and debris and a copy of the permit from the disposal facility documenting the facility is permitted to accept the wastes being delivered.
  - 3. Copy of completed waste characterization (waste profile) forms for obtaining approval to dispose of the LBP wastes and liquid wastes at the disposal facility.
  - 4. Copy of the approved waste characterization (waste profile) forms from the disposal facility indicating they are permitted to accept the wastes and will accept the wastes being delivered.
  - 5. Example of completed transportation and disposal documents (i.e., bill of lading or hazardous waste manifest and land disposal restriction notification forms, as applicable) prior to shipment of wastes.
  - 6. Copy of the completed and signed transportation and disposal documents at time of shipment for the disposal of LBP wastes and debris, liquid wastes and any other wastes generated, and copy signed by the disposal facility.
  - 7. Copy of certificate of destruction for incinerated wastes, certificate of treatment and/or certificate of disposal, as applicable and associated tracking documents from the final disposal facility for disposal of the LBP wastes and debris.

# G. Health and Safety Plan And Confined Space Entry Program

Contractor's written site specific Health and Safety Plan prepared in accordance with 29 CFR Part 1910.120 and Contractor's confined space entry program prepared in accordance with 29 CFR Part 1910.146. These documents are requested for information only and as documentation that they exist.

## H. Sampling and Laboratory Analysis Reports

Submit field sampling logs for all personal and area air samples, wipe samples and waste samples taken, and submit copy of laboratory analysis reports and chain of custody records for all sample analysis.

I. Competent person certification per Section 3.5.B.

# 1.6 POSTED WARNINGS & NOTICES

The following regulations, warnings and notices shall be posted at the work site in accordance with 29 CFR Part 1926.62.

## A. Regulations

A copy of applicable Federal, state, and local regulations shall be maintained at the work site.

# B. Warning Signs

Warning signs shall be provided at approaches to LBP control areas. Signs shall be located at a distance from the LBP control areas that will allow personnel to read the sign and take the necessary protective actions required before entering the LBP control area. The signs shall comply with the requirements of 29 CFR Part 1926.62.

## C. Worker Information

Right-to-know notices shall be placed in clearly visible areas of the work site in compliance with Federal, State and Local regulations.

## D. Air Monitoring Results

Daily air monitoring results shall be prepared in order to be easily understood by the workers and shall be placed in a clearly visible area of the work site.

# E. Emergency Telephone Numbers

A list of telephone numbers shall be posted at the site. The list shall include numbers of the local hospital, emergency squad, police and fire departments, Government and Contractor representatives who can be reached 24 hours per day and professional consultants directly involved in the project.

# 1.7 EQUIPMENT & MATERIALS

Sufficient quantities of health and safety materials required by 29 CFR Part 1926.62, and other materials and equipment needed to complete the project, shall be available and kept on the site.

## A. Respirators

Air-purifying respirators shall be approved by NIOSH for use with dust, fumes and mists having permissible exposure limits less than 0.05 milligrams per cubic meter (i.e., have highefficiency particulate air (HEPA) filters) and for other hazardous airborne contaminants that may be encountered, as determined by the Competent Person. The Contractor shall furnish, at no cost to personnel/employee, respirators to provide protection from airborne concentrations of lead. Respirators shall comply with the requirements of 29 CFR Part 1926.62 and shall be used in accordance with 29 CFR Part 1926.62, 29 CFR Part 1926.103 and 29 CFR Part 1910.134.

# 028300 - 10

# B. Respirator Cartridges

A sufficient supply of respirator cartridges shall be maintained at the work site to provide new cartridges to employees and authorized visitors, throughout the duration of the project. Cartridges shall be replaced according to the manufacturer's recommendations, when breathing becomes difficult, or if the cartridge becomes wet.

# C. Protective Clothing

- 1. The Contractor shall furnish, at no cost to personnel/employee, equipment/ clothing for protection from airborne and waterborne LBP debris. An adequate supply of these items shall be available for worker and authorized visitor use. Workers and visitors shall not take protective clothing and equipment off the work site at any time. Protective clothing includes:
  - a. Coveralls (Whole Body Protective Coverings): Full-body coveralls and head covers shall be worn by workers in the work area as necessary. Sleeves shall be secured at the wrist and pants legs at the ankle with tape. Permeable clothing shall be provided in heat-stress conditions. Where non-disposable coveralls are provided, these coveralls shall be cleaned after each wearing. Cleaning of coveralls and other non-disposable clothing shall be in accordance with the provisions for cleaning in 29 CFR Part 1926.62.
  - b. Boots: Work boots with nonskid soles or impermeable work boot covers shall be worn by workers. Where required by OSHA, safety boots (steel toe or steel toe and shank) shall be worn. Paint the uppers of boots red with waterproof enamel. Do not allow boots to be removed from the work area for any reason after being contaminated with LBP debris. Dispose of boots as LBP contaminated waste at the end of the work.
  - c. Gloves: Inner gloves, appropriate for items and hazards encountered and disposable outer work gloves shall be provided to each worker and shall be worn while the worker is in the work area. Glove material shall be appropriate for the specific chemical exposure. Gloves shall not be removed from the work area and shall be disposed of as LBP contaminated waste at the end of the work.
  - d. Hard Hats: Head protection (hard hats) shall be provided as required by OSHA for workers and authorized visitors. Protective plastic-strap suspension hats shall be used. Hard hats shall be worn at all times that work is in progress. Hats shall remain in the work area until the project is completed. Hats shall be thoroughly cleaned, decontaminated and bagged before being removed from the work area at the end of the project.
  - e. Eye Protection: Fog-proof goggles for personnel engaged in LBP operations shall be worn when the use of a full-face piece respirator is not required.

## D. Negative Air Pressure System

When a LBP control area requires the use of an airtight containment barrier, a negative air pressure system shall be used and pressure differential recordings taken. LBP shall not be removed from the LBP control area until the proper engineer controls and HEPA filtration systems are in place.

# 1. HEPA Filter Requirements

The negative air pressure system shall be equipped with approved HEPA filters per UL 586. Negative air pressure equipment shall be equipped with new HEPA filters, and shall be sufficient to maintain a minimum pressure differential of minus 5 Pa (0.02 inch) of water column relative to adjacent, unsealed areas. Negative air pressure system minimum requirements are listed below.

- a. The unit shall be capable of delivering its rated volume of air with a clean first stage filter, an intermediate filter and a primary HEPA filter in place.
- b. The HEPA filter shall be certified as being capable of removing particles as small as 0.3 micrometers at a minimum efficiency of 99.97 percent.
- c. The unit shall be capable of continuing to deliver no less than 70 percent of rated capacity when the HEPA filter is 70 percent full or measures 620 Pa (2.5 inches of water) static pressure differential on a magnehelic gauge.
- d. The unit shall be equipped with a manometer-type negative pressure differential monitor with minor scale division of 0.02 inch of water and accuracy within plus or minus 1.0 percent. The manometer shall be calibrated daily as recommended by the manufacturer. Record manually manometer readings of the pressure differential between the LBP control area and adjacent unsealed areas at the beginning of each workday and every 2 working hours thereafter.
- e. The unit shall be equipped with a means for the operator to easily interpret the readings in terms of the volumetric flow rate of air per minute moving through the machine at any given moment.
- f. The unit shall be equipped with an electronic mechanism that automatically shuts the machine off in the event of a filter breech or absence of a filter.
- g. The unit shall be equipped with an audible horn that sounds an alarm when the machine has shut itself off.
- h. The unit shall be equipped with an automatic safety mechanism that prevents a worker from improperly inserting the main HEPA filter.
- i. The unit shall be ducted through the containment barrier wall to the outside of the work area. The unit shall not be exhausted into any work area.

## 2. Number of Units Required

The air within the containment barrier shall be changed at least once every 15 minutes by a continuously operating negative air pressure system, until the LBP control area barrier is removed. Filters shall be replaced as necessary to maintain the efficiency of the system. A back-up unit shall be maintained onsite.

# 3. Auxiliary Generator

An auxiliary generator shall be provided with a capacity adequate to power a minimum of 50 percent of the negative air machines at any time during the work. When power fails, the generator controls shall automatically start the generator and switch the negative air machine to generator power. The generator shall not present a carbon monoxide hazard to workers.

# 4. Discontinuing Negative Air Pressure System

The negative air pressure system shall not be shut down during LBP work unless authorized by the Owner's Consultant. At the completion of the LBP work procedures and disposal project, units shall be run until full cleanup has been completed and wipe clearance samples have been collected, analyzed and have passed final clearance testing requirements. Dismantling of the negative air pressure systems shall conform to the written decontamination procedures. Prefilters shall be removed and properly disposed. The intake to the machines shall be sealed with polyethylene to prevent environmental contamination.

### E. **Expendable Supplies**

#### Polyethylene Sheet and Bags - General 1.

Polyethylene sheet and bags shall be minimum 6-mil thick. Bags shall have pre-printed labels, and 5-inch (minimum) long plastic ties, pointed and looped to secure the filled bags. Polyethylene sheets shall be in roll sizes to minimize seams.

### 2. Polyethylene Sheet - Flame Resistant

Where a potential for fire exists, flame-resistant polyethylene sheets shall be provided. Polyethylene film shall conform to the requirements of NFPA 701.

#### 3. Polyethylene Sheet - Reinforced

Reinforced polyethylene sheet shall be provided where high skin strength is required such as where it constitutes the only barrier between the LBP control area and the outdoor environment. The sheet stock shall consist of translucent, nylon-reinforced or woven-polyethylene thread laminated between two layers of polyethylene film. Film shall meet flame resistant standards of NFPA 701.

#### 4. Tape and Adhesive Spray

Tape and adhesive shall be capable of sealing joints between polyethylene sheets and for attachment of polyethylene sheets to adjacent surfaces. After dry application, tape or adhesive shall retain adhesion when exposed to wet conditions, including amended water. Tape shall be minimum 2 inches wide, industrial strength.

#### 5. Containers

DOT approved impermeable containers shall be used to receive and retain LBP waste and debris, and lead contaminated material until disposal. Containers shall be labeled in accordance with EPA, DOT and OSHA standards.

#### Chemicals 6.

Chemicals, including caustics and paint strippers, shall be properly labeled and stored in leak-tight containers.

#### F. Vacuum Systems

HEPA filtered vacuum systems shall be used during LBP operations which generate dust. The systems shall be suitably sized for the project, and filters shall be capable of removing particles as small as 0.3 micrometers at a minimum efficiency of 99.97 percent.

### G. **Heat Blower Guns**

Heat blower guns shall be flameless, electrical, paint-softener type with controls to limit temperature to 590 degrees C (1,100 degrees F). Heat blower shall be DI (non-grounded) 120 Vac, and shall be equipped with cone, fan, glass protector and spoon reflector nozzles.

#### Chemical Paint Strippers H.

Chemical paint strippers shall contain no methylene chloride.

#### I. Chemical Paint Stripper Neutralizer

Neutralizers for paint strippers shall be compatible with the substrate and suitable for use with the chemical stripper that has been applied to the surface.

### 1.8 STORAGE OF MATERIALS

Materials shall be stored in a place and manner, which protects them from damage and contamination. During periods of cold weather, plastic materials shall be protected from the cold. Regularly inspect materials to identify damaged or deteriorating items. Damaged or deteriorated items shall not be used and shall be removed from the site as soon as they are discovered. Stored materials shall not present a hazard or an inconvenience to workers, visitors and/or other employees.

# PART 2 – PRODUCTS

(NOT APPLICABLE)

## **PART 3 – EXECUTION**

## 3.1 WORK PROCEDURES

LBP work procedures and related work shall be performed in accordance with the U.S. Department of Housing and Urban Development "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing" and the accepted Contractor's LBP Management Plan. Procedures and equipment required to limit occupational and environmental exposures to lead during LBP removal shall be in accordance with 29 CFR Part 1926.62 and as specified herein. LBP waste and debris, lead contaminated debris and personal protective clothing and equipment shall be disposed of in compliance with Federal, state, and local regulations.

# A. Personnel Protection Procedures

Personnel shall wear and use protective clothing and equipment as specified and required by 29 CFR Part 1926.62 and 29 CFR Part 1910.120. Eating, smoking, drinking, chewing tobacco and chewing gum, and applying makeup shall not be permitted in the LBP control area. Personnel of trades not engaged in the LBP work procedures and disposal of LBP shall not be exposed at any time to airborne concentrations of lead equal to or in excess of 30 micrograms per cubic meter of air. Electrical service shall be disconnected when wet removal is performed, and temporary electrical service protected by a ground fault circuit interrupter shall be provided.

## B. Safety and Health Procedures

The Competent Person shall be present on the work site throughout the LBP project to supervise, monitor and document the project's health and safety provisions. A daily log shall be maintained showing the results of sampling tests throughout the project area. LBP work being conducted within a LBP Control area where an airtight barrier is required shall be stopped if measured airborne lead concentrations, collected during LBP work procedures, exceed the pre-LBP work procedures airborne concentration levels.

# C. Safety and Health Responsibilities

The Competent Person shall:

- 1. Verify that training meets applicable requirements.
- 2. Review and approve LBP Management Plan for conformance to the applicable referenced standards.
- 3. Inspect LBP removal work for conformance with the accepted LBP Management Plan.
- 4. Ensure that worker exposure air monitoring activities are in accordance with 29 CFR Part 1926.62.

- 028300 14
- 5. Ensure work is performed in strict accordance with specifications.
- 6. Ensure hazardous exposure to personnel and to the environment are adequately controlled.
- 7. The Contractor's Competent Person shall be responsible for directing personal air monitoring.
- 8. The Owner's Consultant shall be responsible for directing area and final air/wipe testing.

## D. Medical Surveillance Procedures

Medical surveillance shall be implemented in accordance with the accepted Contractor's LBP Management Plan, and shall comply with the requirements of 29 CFR Part 1926.62, including the provisions for biological monitoring, medical removal, protection and a physician's written opinion, signed by the physician performing the employee examination. The Contractor shall provide a copy of the written opinion for Contractor's employees prior to each employee's commencement of work.

# E. Engineering Controls and Containment Structures

Engineering and work practice controls are the primary means of maintaining exposures to lead below the PEL. Paint removal and surface preparation activities must keep dust levels at a minimum. Torch cutting of surfaces with LBP will require appropriate personal protective equipment and exposure controls. Power tools must be equipped with vacuum shrouds including a high efficiency particulate air filtered vacuum system attached.

## 1. LBP Control Area

The LBP control area is where LBP work procedures occur and as such shall be considered contaminated. The LBP control area shall be isolated to prevent LBP containing dust or debris from passing into adjacent open areas. The control area shall be decontaminated at the completion of the LBP work procedure and disposal work.

## 2. Boundary Requirements.

Physical boundaries shall be provided around exterior LBP control areas by roping off the area indicated in the LBP Management Plan.

### 3. Control Barriers

The LBP control area shall be designated and separated from other outside areas with control barriers. The polyethylene sheeting shall have all openings masked and sealed. The LBP control area shall be erected according to the Contractors LBP Management Plan. Polyethylene sheeting shall be mechanically supported, independent of duct tape or spray adhesive.

# 4. Masking and Sealing

a. Exterior LBP control area requirements: Where the construction of a contained LBP control area is impractical or not required based on the method of lead work procedures, a roped-off perimeter shall be installed 20 feet from and around the area where the LBP handling procedures are performed and other requirements for LBP control areas shall be maintained. Personal monitoring of airborne concentrations shall be conducted in adjacent areas during the work shift, in accordance with 29 CFR Part 1926.62. Area air monitoring inside and outside of the roped-off perimeter shall be conducted as specified. Airborne concentrations shall not exceed specified levels.

### 5. Personnel Decontamination Unit

Personnel decontamination units shall be provided when required for the LBP procedures. Materials fabricated or delivered to the site before the shop drawings have been returned to the Contractor will be subject to rejection by the Owner's Consultant. Specifications and drawings of portable prefab units, such as a trailer unit, if utilized, must be submitted for review and approval before start of construction. Submittal shall include, but not be limited to, a floor plan layout showing dimensions, materials, sizes, thickness, plumbing, and electrical outlets. Access between contaminated and uncontaminated areas shall be through an airlock. Access between any two rooms or room and trailer within the decontamination unit shall be through a plastic sheeting curtained doorway. A separate equipment decontamination unit shall be provided. Each work area shall have an emergency exit. The personnel decontamination unit's clean room shall be the only means of entrance and exit, except for emergencies, from the LBP control area. Materials shall exit the LBP control area through the equipment decontamination area.

## 6. Clean Room

The clean room shall have only one exit to non-contaminated areas of the site. An airtight seal shall be constructed of polyethylene between the clean room and uncontaminated areas. Surfaces of the clean room shall be protected with sheet polyethylene. A temporary unit with a separate equipment decontamination locker room and a clean locker room shall be provided for personnel who are required to wear whole body protective clothing. One locker shall be provided in each locker room for each LBP worker, and each Contractor's representative. Lead-free personal clothing and shoes shall be kept in the clean locker. Hand wash station/showers shall be located between the equipment decontamination locker room and the clean locker room, and employees shall wash or shower before changing into personal clothes. An adequate supply of clean disposable towels shall be provided. LBP contaminated work clothing shall be cleaned. Clean rooms shall be physically attached to the LBP control area for areas inside the building but may be directly adjacent to the LBP control area outside of the building. Joint use of this space for other functions, such as offices, equipment storage, etc., is prohibited.

## 7. Hand Wash Station/Shower Room

An operational shower and hand washing station shall be provided between the work area and the clean changing room. Workers shall wash and/or shower before entering the clean changing room. Shower room shall be separated from other rooms by air-tight walls fabricated from polyethylene sheeting. Water shall be hot and cold or warm. Shower heads/ controls, soap dish, continuing supply of soap, and clean towels shall be provided. The shower shall be maintained in a sanitary condition. Waste water shall be pumped to drain and through waste water filters that meet state and/or local requirements. These filters shall be located inside the shower unit and filters shall be changed regularly. Spent filters shall be discarded as LBP contaminated waste.

# 8. Equipment Decontamination

The Equipment Decontamination Unit shall be used for removal of equipment and materials from the LBP control area, and shall include a wash room, holding room, and an enclosed walkway. The unit shall be constructed from wood framing material and polyethylene sheeting. Workers shall not enter or exit the LBP control area through the Equipment Decontamination Unit. A washdown station, consisting of an enclosed shower unit, shall be located in the work area outside the Wash Room. The washdown station shall be used to clean equipment, bags and containers. Bagged or containerized LBP wastes shall be passed from the work area and cleaned in the Wash Room. The Wash Room shall be separated from the work area by a polyethylene sheet flap. Wastewater shall be filtered and filters shall be changed as required for the shower unit and the Wash Room. Filters shall be disposed of as LBP contaminated wastes. The Holding Room shall be used as a drop location for bagged LBP passed from the Wash

Room. This room shall be constructed so that bagged materials cannot be passed from the Wash Room through the Holding Room to the enclosed walkway. The walkway shall provide access to the Holding Room from outside the work area. The enclosed walkway shall be separated from the exterior by a single flap of polyethylene sheeting. The Contractor's equipment used for LBP work procedures shall be decontaminated prior to its removal outside of the lead control area. The decontamination water shall be containerized, the containers labeled, the liquid sampled and analyzed in the laboratory for lead, and properly disposed of off-site according to applicable Federal, State and Local regulations. See Paragraph 3.5.C.2.

## 9. Maintenance of Decontamination Units

Barriers and polyethylene sheeting shall be effectively sealed and taped. Containment barriers shall be visually inspected at the beginning of each work period. Damaged barriers and defects shall be immediately repaired upon discovery. Smoke testing methods shall be used to test effectiveness of barriers when directed by the Owner's Consultant.

### 10. LBP Control Area Exiting Procedures

Personnel exiting a LBP control area shall perform the following procedures and shall not leave the work place wearing any clothing or equipment worn during the work day:

- a. HEPA vacuum all protective clothing before removing.
- b. Remove protective clothing in the decontamination room and place this clothing in an approved impermeable disposal bag.
- c. Wash or shower.
- d. Change to clean clothes prior to leaving the physical boundary designated around the lead-contaminated work site.

# F. Temporary Utilities

- 1. Temporary equipment as necessary to provide adequate power, light, heat, and water shall be installed, as needed, to accomplish the LBP operations properly and safely. The Contractor shall maintain the security and maintenance of the utility system in the LBP control areas. In the event of a failure of any utility system, the Owner will not be responsible for any loss of time or other expense incurred by the Contractor. In addition to any site-specific temporary utility requirements, the Contractor shall provide:
  - a. Back-flow protection on all water connections is required. Fittings installed by the Contractor shall be removed after completion of work with no damage or alteration to existing water piping and equipment.
  - b. When applicable, heavy-duty abrasion-resistant hoses to provide water to each work area and decontamination area.
  - c. A hot water heater, if necessary, to provide warm water to the decontamination showers.
  - d. Electrical service to work areas. Electrical service shall comply with National Electric Code, State and Local requirements and UL standards. Warning signs shall be posted at power outlets, which are other than 110-120 volt power. Only grounded extension cords shall be used. Incandescent lamps and light fixtures shall be of adequate wattage to provide good illumination in LBP control areas.

- 028300 17
- e. Temporary heating units, when needed, that have been tested and labeled by UL, FM, or another recognized trade association related to the fuel being consumed. Forced air or fan type units shall not be utilized inside a work area. Units shall have tip-over protection.
- f. Sufficient quantity of single-occupant, self-contained chemical toilets, properly vented and fully enclosed.

# 3.2 LEAD-BASED PAINT WORK PRACTICES (Use methods as applicable)

## A. Component Removal:

Components shall be removed intact to the extent practicable. A 6-mil polyethylene drop cloth shall be placed on either side of the component, prior to its removal, to catch any paint chips that may become dislodged. The component shall be wrapped in a layer of 6-mil polyethylene for movement to the disposal container. Follow proper disposal requirements. The area around the component removal shall be wet wiped and HEPA vacuumed, including the tent enclosure. The polyethylene sheeting shall be carefully folded in on itself and placed in a 6-mil disposal bag. Containment debris shall be properly disposed of as lead-based waste.

Clearance will be performed as follows:

- Visual Clearance Determine that all required work has been completed.
   Look for settled dust, paint chips or debris in work area. If located, cleanings will commence until visual inspection locates no evidence of dust.
- 2. The Owner's Consultant shall perform Dust and/or Soil Sampling as outlined in the U.S. Department of Housing and Urban Development "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing".

# B. Chemical Stripping: Assumed Exposure (50 ug/m<sup>3</sup> - 500 ug/m<sup>3</sup>)

Chemical stripping, using an agent approved by the Owner's Consultant, followed by wet scraping is the preferred method of abatement for areas where torch cutting, welding and/or other hot-work will affect building components coated with lead-based paint or lead containing coatings. The specific stripping agent(s) proposed must be approved by the Owner. No chemical strippers containing methylene chloride shall be used by the Contractor on this project.

- 1. Horizontal surfaces directly below and at least 10' in a radial direction from the area where chemical stripping is to be performed shall be protected with 6-mil poly.
- 2. All LBP on specified surfaces shall be removed to the bare substrate. The job is not considered complete until the substrate is dry and free of paint, debris, and LBP residue.
- 3. LBP stripping agents shall be brushed or troweled on the designated surfaces, or otherwise applied in accordance with manufacturer's specifications. The minimum thickness of chemical stripping agent applied shall be 0.125 (1/8) inches or the manufacturer's recommendations.
- 4. Stripping agents shall not be applied to, nor be allowed to inadvertently penetrate, wood and/or other porous substrates.

- 5. The required dwell time for stripping will depend upon the ambient temperature, humidity, and thickness of LBP. If LBP is not completely removed following the initial application of stripper, a second application and wet scraping may be required.
- 6. Removed LBP shall not be deposited on the polyethylene containment surfaces, but shall be transferred directly into 6-mil polyethylene bags from the scraper. LBP shall be removed by wet scraping to the maximum extent feasible.
- 7. Any residue not removable by wet scraping shall be washed down to the bare metal substrate with a high-phosphate solution. LBP-contaminated wastewater shall be kept to a minimum using wet scrub brushes or sponges. These residues and disposable cleaning media shall also be directly transferred to the 6-mil polyethylene bags containing other LBP wastes. Free standing water shall be eliminated by use of a drying agent.
- 8. Clearance will be performed as follows:
  - a. Visual Clearance Determine that all required work has been completed.

    Look for settled dust, paint chips or debris in work area. If located, cleanings will commence until visual inspection locates no evidence of dust.
  - b. The Owner's Consultant shall perform Dust and/or Soil Sampling as outlined in the U.S. Department of Housing and Urban Development "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing".
- C. Manual Demolition/Scraping/Cleaning: Assumed Exposure (50 ug/m<sup>3</sup> 500 ug/m<sup>3</sup>)

Manual demolition, scraping, manual sanding and power tool cleaning with dust collection systems shall be performed in conjunction with engineering and work practice controls meeting the requirements of 29 CFR 1926.62(e)(1).

Seal openings of HVAC ductwork and other penetrations (doors, windows, etc.) within the Control Area with two layers of 6-mil polyethylene sheeting. For work on vertical surfaces, place a layer of 6-mil polyethylene sheeting below the area prior to manual demolition/scraping/ cleaning. The sheeting shall extend 5 ft. on either side of the work area, to catch any paint chips that may become dislodged.

Wet methods shall be used during manual scraping, manual sanding and power tool cleaning with dust collection systems. Local HEPA ventilation shall be utilized in conjunction with manual scraping, manual sanding and power tool cleaning with dust collection systems. In the case that local HEPA ventilation is not sufficient to control dust hazards, the Contractor shall be required to install engineering controls to meet requirements of Specification Section 1.8(D) "Negative Air Pressure System".

Removed LBP shall not be allowed to accumulate on surfaces within the Control Area, but shall be HEPA vacuumed or placed directly into 6-mil polyethylene bags. The Contractor shall maintain all surfaces as free as practicable of accumulated lead dust to prevent the dispersal of lead into the work place. LBP shall be removed by manual methods to the maximum extent feasible.

Debris shall be bagged in 6-mil polyethylene bags and secured in leak proof drums until TCLP testing is completed. Follow proper disposal requirements. The area around the surfaces subject to work shall be wet wiped and HEPA vacuumed, including the polyethylene sheeting. Upon clearance by the Owner's Consultant, the polyethylene sheeting shall be carefully folded in on itself and placed in a 6mil disposal bag. Containment debris shall be properly disposed of as lead-based waste.

Clearance will be performed as follows and as needed:

- a. Visual Clearance determine that all required work has been completed. Look for settled dust, paint chips or debris in work area. If located, cleanings will commence until visual inspection locates no evidence of dust.
- b. The Owner's Consultant shall perform Dust and/or Soil Sampling as outlined in the U.S. Department of Housing and Urban Development "Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing".

### D. Alternative Lead Work Procedures

1. Any Work Procedure other than the outline procedures above, shall be submitted to the Owner's Consultant for approval prior to the start of the project. As there are many different components in differing areas of the building(s), it is impractical to address every potential lead work procedure. The intent of alternative lead work procedures shall be to maintain compliance with 29 CFR 1926.62 and maintain airborne concentrations of lead dust below the Action Level of 30 ug/dL of air.

## 3.3 MONITORING & CLEARANCE SAMPLING

During the entire LBP removal and disposal operations, the Owner's Consultant shall be on-site directing the monitoring/sampling and inspecting the work to ensure that the health and safety requirements of this contract are satisfied.

- A. Personnel Air Monitoring (Provided by the Contractor, as necessary)
  - 1. Personnel air monitoring samples for airborne concentrations of lead shall be collected and analyzed in accordance with 29 CFR Part 1926.62. Results shall be reported in micrograms per cubic meter of air. The Competent Person shall use personal air monitoring results to determine the effectiveness of engineering controls, the adequacy of PPE and to determine if proper work practices are being employed. The Owner's Consultant shall be notified if any personal air monitoring result equals or exceeds 30 micrograms per cubic meter of air. The Contractor shall take steps to reduce the concentration of lead in the air.
- B. Area Air Monitoring (Provided by the Owner's Consultant, as requested)
  Airborne concentrations of lead shall be collected and analyzed in the laboratory. Results shall be reported in micrograms per cubic meter of air.
  - 1. Pre-LBP work

Pre- LBP work samples shall be collected in the following locations: I) inside the lead control area, one upwind of the LBP work and two downwind of the LBP work procedure activities; and 2) outside the physical boundary (roped off) area, one upwind of the LBP work and two downwind of the LBP work activities. A total of six (6) samples. If work is performed inside the building, similar numbers of samples are to be positioned inside and outside the LBP containment area.

### 2. LBP Work

The Competent Person shall collect area air samples on a daily basis during the duration of the LBP work. The samples shall be collected in the same location as the pre-work samples.

- 3. The area air samples shall be collected at 4 to 6 feet above grade, and using high volume air samplers.
- 4. The air samples shall be analyzed by NIOSH Method 7082 or method approved by Engineer.
- 5. Results

The Contractor shall have the results of the area air monitoring within 24 hours after completion of the sampling. Results shall be reported in micrograms per cubic meter of air.

6. Excessive Levels

Outdoor LBP work shall cease and the Owner's Consultant notified if measured airborne lead concentrations, collected during LBP activities, exceed the pre-work airborne concentration levels. The Contractor may be required to clean and re-sample the affected area, at no additional cost to the Owner, if directed by the Owner's Consultant. The Contractor shall correct the work practices and/or engineering controls and shall resume LBP work procedures at the direction of the Owner's Consultant.

C. Waste Sampling and Testing (Provided by the Contractor)

Sampling and testing of all waste, shall be in accordance with 40 CFR Part 261, 6 NYCRR Part 371 and SW-846, Chapter 9, Sampling Plan. See Paragraph 3.5.C of this specification section for waste sampling and analyses requirements.

- D. Soil Sampling (Provided by the Owner, as requested)
  - 1. If the Owner's Consultant or Owner's representative observes paint chips or LBP debris on the surface of the soil surrounding the work area during the LBP work procedures or at completion or if the Owner's Consultant or IH/ Owner's Representative suspects potential contamination to the soil based on observed procedures and conditions during the work, the contractor shall pay for composite soil samples of the surface soil where designated by the Owner's Consultant and at a frequency specified by the Owner's Consultant. Two Background surface soil samples will be collected where directed by the Owner's Consultant. The samples shall be analyzed by an independent laboratory for lead on a total basis (by EPA Method 6010) and TCLP basis (Extraction Method 1311, analysis by EPA Method 6010).
  - 2. Standard Soils Clearance samples shall be collected by the Owner's Consultant and paid for by the Owner. The samples shall be analyzed by an independent laboratory for lead on a total basis (by EPA Method 6010) and TCLP basis (Extraction Method 1311, analysis by EPA Method 6010).
  - 3. If the analyses exceed the TCLP limit, the soil shall be treated as LBP contaminated waste, excavated and disposed of as a hazardous waste by the Contractor.

# **Clearance Level:**

Soil: 400 microgram per gram

E. Dust/Wipe Sampling (Provided by the Owner, as necessary)

- 1. Dust/wipe samples shall be taken no sooner than 24 hours after abatement activities, including clean-up activities, have been completed.
- 2. Sampling for clearance criteria shall be performed as detailed in the HUD Guidance document. Appendices 13 and 14.
- 3. Failure to clear the work area and recleaning shall be the responsibility of the Contractor. The work area shall remain in place until satisfactory clearance has been achieved.
- 4. Analysis of Dust/Wipe samples for areas, which failed previous Dust/Wipe sampling, shall be reimbursed by the Contractor.

### Clearance Levels:

Floors: 10 micrograms per square foot

Window Sills: 100 micrograms per square foot

Window Wells: 400 micrograms per square foot

## 3.4 ADJACENT AREAS

Damage to adjacent areas shall be repaired to the approval of the Owner.

## 3.5 CLEAN-UP & DISPOSAL

# A. Cleanup

- 1. Daily
  - Surfaces in the LBP control area shall be maintained free of accumulations of paint chips, LBP debris, blasting debris and dust. Spread of dust and debris shall be restricted; waste shall not be distributed over the work area. Dry sweep or compressed air shall not be used for cleanup. At the end of each shift, the area shall be cleaned of visible lead paint contamination by vacuuming with a HEPA filtered vacuum cleaner and wet wiping the area. LBP work procedures work shall cease during the cleanup.
- 2. At Completion of LBP work Procedure and a satisfactory visual inspection by the Engineer, a clean-up shall be performed by the Contractor. This clean-up includes removal of any contaminated material, equipment or debris including polyethylene sheeting from the work area. The polyethylene sheeting shall be sprayed or misted with water for dust control, construction debris removed and then the sheeting removed by folding it in upon itself.
  - a. Lead-contaminated debris shall be containerized in accordance with paragraph 3.5.C.1, LBP Wastes and Lead-Contaminated Wastes. Waste bags shall not be overloaded, shall be securely sealed and stored in the designated area until disposal.
  - b. Removal of surface polyethylene sheeting shall begin from top to bottom. Removal of floor polyethylene sheeting shall begin at the corners and folded in the middle to contain the dust. Polyethylene shall be disposed of as specified in Paragraph 3.5.C.1
  - c. Cleaning Equipment. The Contractor shall decontaminate the lead abatement equipment and equipment used in the work area. The wastewater from cleaning shall be contained, sampled and disposed of as specified in Paragraph 3.5.C.2.

### B. Certification

The Contractor shall certify in writing that the inside and outside the lead control area air monitoring samples are less than 30 micrograms per cubic meter of air, the respiratory protection for the employees was adequate, the work procedures were performed in accordance with 29 CFR Part 1926.62 and that there was no visible accumulations of lead-based paint and dust on the worksite. Do not remove warning signs at the lead control area or roped-off boundary signs prior to the Owner's Consultant's receipt of the Contractor's certification. Reclean areas showing dust, residual paint chips. LBP debris and blasting debris.

Waste Storage, Sampling/Analysis and Disposal (Provided by the Contractor)

## 1. LBP Wastes and Lead-Contaminated Water

LBP waste, and lead-contaminated waste and debris shall be stored sampled and analyzed and disposed of as follows.

- a. The LBP waste and debris, lead contaminated personal protective equipment (PPE), clothing and waste polyethylene and lead-contaminated waste and debris shall be containerized in DOT approved containers (i.e., 55 gallon drums, roll-off, etc.). If the waste is placed in roll-off(s), the roll off shall be lined with a minimum of 2 layers of 6-il polyethylene prior to placing any waste in it and covered with a liquid tight cover. Each container shall be labeled to identify the type of waste as defined in 49 CFR Part 172, 6 NYCRR Part 371 and 6 NYCRR Part 360 and with the date lead contaminated wastes were first put into the container.
- b. A representative sample of the container(s) of LBP wastes and lead-contaminated wastes and debris generated by the LBP activities shall be taken in accordance with SW-. 846, Chapter 9, Sampling Plan and analyzed in the laboratory for TCLP lead by EPA Methods 1311 (extraction) and 6010 (analysis). If the wastes are placed in roll-off(s), four (4) composite samples per roll-off shall be taken for analysis. If the wastes are placed in 55 gallon drums, one composite sample for every ten (10) drums of wastes shall be taken for analysis. The laboratory analyses results shall dictate the proper method of disposal of the waste. A copy of the results shall be attached to the waste characterization (waste profile) form.
- c. A waste characterization (waste profile) form shall be completed for the LBP waste and lead-contaminated waste and debris, and lead contaminated personal protective equipment and clothing (if containerized separately) and the forms submitted to Owner's Consultant for approval The Owner shall sign the forms. The Contractor shall process the forms and forward to the disposal facility for approval. The approved waste profile forms from the disposal facility shall be submitted to the Owner and Engineer prior to shipment of the wastes off-site.
- d. The applicable waste transportation and disposal documents (i.e., hazardous waste manifest, bill of lading, non-hazardous waste manifest, land disposal restriction notification, etc.) shall be obtained and completed. An example of the completed waste transportation and disposal documents shall be submitted to Owner's Consultant for approval prior to shipment of the waste off-site.
- e. Pick-up of hazardous wastes shall be made as needed to ensure that containers do not remain on the work site longer than 90 calendar days from the date affixed to each container. The Owner will assign an area for interim storage of waste-containing containers.

- f. Lead contaminated personal protective equipment/ clothing, lead contaminated polyethylene, filters and debris, which cannot be sampled, shall be handled, stored, transported, and disposed of in the same manner as the LBP wastes and lead-contaminated wastes and debris, based on the sampling, laboratory analyses results and SW-846, Chapter 9, Sampling Plan calculations performed on the LBP wastes and lead-contaminated wastes and debris.
- g. The LBP and lead contaminated wastes/ debris shall be handled, stored, transported and disposed of in accordance with 40 CFR Parts 260 to 265, 6 NYCRR Par 370 to 373, 6 NYCRR Part 364 and 6 NYCRR Part 360, as applicable. Additionally, the disposal shall be based on the sampling, laboratory analysis results and SW-846, Chapter 9, Sampling Plan calculations. Land disposal restriction notification shall be as required by 40 CFR Part 268 and 6 NYCRR Part 376.

## 2. Wastewater and Decontamination Water

- a. Lead contaminated wastewater and decontamination water generated from the LBP work procedures shall be stored in DOT approved 55 gallon drums. Each drum shall be labeled to identify the type of waste as defined by 49 CFR Part 172, 6 NYCRR Part 371 and 6 NYCRR Part 360 and with the date lead contaminated liquid was first put into the drum.
- b. A representative sample from the drum(s) of liquid wastes shall be taken in accordance with SW-846, Chapter 9, Sampling Plan and analyzed in the laboratory for total lead and total cadmium by EPA Method 200.7/6010. One composite sample for every ten (10) drums of liquid wastes shall be taken for analysis. The laboratory analyses results shall dictate the proper method of disposal of the waste. A copy of the results shall be attached to the waste characterization (waste profile) form.
- c. A waste characterization (waste profile) form shall be completed for the liquid wastes and other wastes being generated and submitted to Owner's Consultant for approval. The Owner shall sign the form(s). The Contractor shall process the form(s) and forward the forms to the disposal facility for approval. The approved waste profile form(s) from the disposal facility shall be submitted to the Owner and Engineer prior to shipment of the wastes off-site.
- d. The applicable waste transportation and disposal documents (i.e., hazardous waste manifest, bill of lading, non-hazardous waste manifest, land disposal restriction notification, etc.) shall be obtained and completed. An example of the completed waste transportation and disposal documents shall be submitted to Owner's Consultant for approval prior to shipment of the waste off-site.
- e. The lead contaminated wastewater and decontamination water shall be handled, stored, transported and disposed of in accordance with 40 CFR Parts 260 to 265, 6 NYCRR Part 370 to 373, 6 NYCRR Part 364 and 6 NYCRR Part 360 as applicable.

# 3. Waste Pick-Up and Disposal

a. Waste pick-up cannot be performed until all required submittals have been reviewed and approved by the Owner's Consultant. The Owner must be present at waste pick-up to sign the waste transportation documents and approve pick-up. No waste shall leave the site without approval and authorization by Owner.

- b. Coordinate scheduling of waste pick-up and transportation with Owner's Consultant. Notify Engineer at least 48 hours ahead of when the waste pick-up will take place.
- c. All wastes shall be properly disposed of off-site at an approved disposal facility. The wastes shall be transported by a transporter permitted to transport wastes per 6 NYCRR Part 364. The wastes shall be disposed of at a facility permitted to accept the waste being disposed of.
- d. Submit copy of completed and signed transportation and disposal documents to Owner and Engineer at time of shipment and submit copy of document signed by the disposal facility.
- e. Return or cause to be returned all waste manifests and bills of lading signed by the disposal facility within fifteen (15) days of removal from the project site.
- f. Submit certification of destruction for all incinerated wastes and certificates of final treatment and/or final disposal, as applicable, for all wastes disposed of offsite.
- g. All waste transportation and disposal must be conducted in accordance with all applicable State, Local and Federal regulations, all generator State regulations, all the State regulations where the wastes are transported through, and the disposal State regulations.

# C. Payment for Disposal of Wastes

Payment for disposal of wastes will not be made until the following are received by the Owner:

- 1. A signed copy of the manifests
- 2. Bills of lading
- 3. Weight tickets, etc.
- 4. Certificate of final disposal, from the final treatment or disposal facility certifying the amount of lead containing wastes and debris delivered.

# **PART 4 – INSPECTION**

# 4.1 SUMMARY OF INSPECTION

Limited lead-based paint inspections were completed throughout specific Renovation Areas as detailed on CPL architectural drawings to identify suspect lead-based paints and/or lead-containing hazards potentially affected by scheduled demolition/renovation activities included within the *Ossining UFSD* – *Brookside ES Classroom Addition*, as detailed within Section #1.2 of this specification.

Inspection was completed by Niton-certified XRF Technician and EPA licensed Risk Assessor/Lead Inspector Mr. Todd McAfee of **QuES&T**, on April 24<sup>th</sup> of the year 2020. Existing documentation and/or information attained within prior inspections and/or sampling activities were reviewed and incorporated into this specification.

Paint testing was completed on-site utilizing a Niton XLp-300A XRF Spectrum Analyzer Serial # 102273 in accordance with the EPA issued Performance Characteristics Sheet (PCS). A summary of results above the EPA action level of 1.0 mg/sq. cm., has been included in order to aid prospective bidders.

028300 - 25

Surveys were completed in accordance with EPA, OSHA and/or HUD Guidelines for inspection of lead-based paint(s) and/or lead-containing material(s). Per these protocols, all suspect coated surfaces impacted by demolition/renovation activities were located and categorized by homogeneous painting histories and component types.

# 4.2 SUMMARY OF RESULTS ABOVE THE EPA ACTION LEVEL OF 1.0 mg/cm<sup>2</sup>

The following is a detailed listing of identified Lead-based Paint(s) and/or Lead-containing Materials, above the EPA action level of 1.0 mg/sq. cm. The following listing should be utilized as a guide to specific work-related tasks and is not necessarily an Abatement Scope. Specified lead-safe work practices shall be performed in accordance with the stipulations defined within this specification <u>as required</u> by specific work-related tasks and in advance of disturbance(s) of the following Lead-based Paint(s) and/or Lead-containing Material(s), above the EPA action level of 1.0 mg/sq. cm:

TABLE I: IDENTIFIED LEAD-BASED PAINT BROOKSIDE ELEMENTARY SCHOOL 30 RYDER ROAD				
Location	LBP Component	Substrate	Color	LBP Condition
INTERIOR				
Hallway	Wall	Glazed Block	Beige	Intact
Hallway/Classrooms	Lower Wall Cove Base	Glazed Block	Brown	Intact

It should be noted that several components tested did in fact contain minimal lead-levels below the EPA threshold level of 1.0 mg/sq. cm for classification as Lead-Based Paint (LBP) and are considered lead-containing coatings by the OSHA Regulation, "Lead Exposure in Construction" (29CFR 1926.62). OSHA does not recognize a minimum limit for lead concentration in paint for the purpose of disturbance. Monitoring of workers performing demolition/cleaning/disturbance of painted surfaces shall be completed to document personnel occupational exposure. Items containing any amount of lead concentration are considered lead-containing coatings per 29 CFR 1926.62, OSHA Lead Exposure in Construction.

**END OF SECTION 028300** 

## SECTION 03 3000 - CAST-IN-PLACE CONCRETE

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. This Section specifies cast-in place concrete, including formwork, reinforcement, concrete materials, mixture design, placement procedures, finishes, and testing for the following:
  - 1. Footings.
  - 2. Foundation walls.
  - 3. Slabs-on-grade.

## 1.3 **DEFINITIONS**

A. Cementitious Materials: Portland cement alone or in combination with fly ash; subject to compliance with requirements.

## 1.4 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Design Mixtures: For each concrete mixture, indicating quantity of each ingredient and admixtures proposed or required. Submit alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.
  - 1. For mix designs based on field experience, include individual strength test results, standard deviation, and required average compressive strength calculations.
  - 2. For mix designs based on trial, include proportions, test results, and graphic analysis indicating average compressive strength.
  - 3. Indicate amounts of mixing water to be withheld for later addition at Project site.
- C. Steel Reinforcement Shop Drawings: Placing drawings that detail fabrication, bending, and placement. Include bar sizes, lengths, material, grade, bar schedules, stirrup spacing, bent bar diagrams, bar arrangement, splices and laps, mechanical connections, tie spacing, hoop spacing, and supports for concrete reinforcement.
- D. Formwork Shop Drawings: Prepared by or under the supervision of a qualified professional engineer detailing fabrication, assembly, and support of formwork.
  - 1. Shoring and Reshoring: Indicate proposed schedule and sequence of stripping formwork, shoring removal, and installing and removing reshoring.
- E. Schedule for Concrete Placement: Order-of-construction schedule by location in structure.
  - 1. Include shop drawings indicating all construction joints required, including any anticipated joints due to placement schedule.
- F. Submit description of planned procedures and protective measures for cold weather or hot weather concreting.
- G. Welding certificates.
- H. Qualification Data: For Installer.
- I. Material Test Reports: For the following, from a qualified testing agency, indicating compliance with requirements:
  - 1. Aggregates. Include service record data indicating absence of deleterious expansion of concrete due to alkali aggregate reactivity.

- 14428.11
- J. Material Certificates: For each of the following, signed by manufacturers; indicate compatibility with application of surface applied flooring products where applicable:
  - Cementitious materials. 1.
  - 2. Aggregates.
  - 3. Admixtures.
  - 4. Form materials and form-release agents.
  - 5. Steel reinforcement and accessories.
  - 6. Curing compounds.
  - Bonding agents. 7.
  - 8. Adhesives.
  - 9. Vapor retarders.
  - Joint-filler strips. 10.
  - 11. Repair materials.
- K. Field quality-control test and inspection reports.
  - Include copies of delivery tickets complying with ASTM C 94 for each load of concrete 1. delivered to the site.
- L. Minutes of preinstallation conference.

#### 1.5 **QUALITY ASSURANCE**

- Installer Qualifications: A qualified installer who employs on Project personnel qualified as ACI-A. certified Flatwork Technician and Finisher and a supervisor who is an ACI-certified Concrete Flatwork Technician.
- Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete В. products and that complies with ASTM C 94 requirements for production facilities and equipment.
  - 1. Comply with requirements of the Concrete Manufacturers Association "Concrete Plant Standards."
- C. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant, obtain aggregate from one source, and obtain admixtures through one source from a single manufacturer.
- D. ACI Publications: Comply with the following unless modified by requirements in the Contract Documents:
  - ACI 301, "Specification for Structural Concrete," Sections 1 through 5, Section 7, 1. "Lightweight Concrete", and Section 8.
  - ACI 117, "Specifications for Tolerances for Concrete Construction and Materials." 2.
  - ACI 305, "Hot Weather Concreting". 3.
  - ACI 306, "Cold Weather Concreting". 4.
  - ACI 308, "Guide to Curing Concrete". 5.
  - 6. ACI 302, "Guide for Concrete Floor and Slab Construction".
  - ACI 315 "Details and Detailing of Concrete Reinforcement." 7.
  - ACI 347 "Formwork for Concrete"; and 8.
  - 9. Concrete Repair Manual, by ACI and ICRI.
- Concrete Testing Service: Engage a qualified independent testing agency to perform material E. evaluation tests and to design concrete mixtures.
- F. Preinstallation Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination."
  - Before submitting design mixtures, review concrete design mixture and examine 1. procedures for ensuring quality of concrete materials. Require representatives of each entity directly concerned with cast-in-place concrete to attend, including the following:
    - Contractor's superintendent. a.

## CAST-IN-PLACE CONCRETE

- b. Independent testing agency responsible for concrete design mixtures.
- c. Ready-mix concrete manufacturer.
- d. Concrete subcontractor.
- 2. Review the following:
  - a. Coordination with special inspection and testing and inspecting agency procedures for field quality control.
  - b. Concrete finishes and finishing.
  - c. Cold- and hot-weather concreting procedures.
  - d. Curing procedures.
  - e. Construction contraction and isolation joints and joint-filler strips.
  - f. Forms and form removal limitations.
  - g. Shoring and reshoring procedures.
  - h. Vapor-retarder installation.
  - i. Anchor rod and anchorage device installation tolerances.
  - j. Steel reinforcement installation.
  - k. Floor and slab flatness and levelness measurement.
  - 1. Concrete repair procedures.
  - m. Concrete protection.
- J. The Contractor is responsible for correction of concrete work which does not conform to the specified requirements, including strength, tolerances, and finishes. The Contractor shall correct deficient concrete as directed by the Architect.

# 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Steel Reinforcement: Deliver, store, and handle steel reinforcement to prevent bending and damage.
  - 1. Store steel reinforcement off ground, under suitable cover or enclosed.
  - 2. Maintain ease of access for inspection and identification of materials.
- B. Waterstops: Store waterstops under cover to protect from moisture, sunlight, dirt, oil, and other contaminants.

## PART 2 - PRODUCTS

## 2.1 FORM-FACING MATERIALS

- A. Smooth-Formed Finished Concrete: Form-facing panels that will provide continuous, true, and smooth concrete surfaces. Furnish in largest practicable sizes to minimize number of joints.
  - 1. Metal or other approved panel materials.
  - 2. Exterior-grade plywood panels, suitable for concrete forms, complying with DOC PS 1, and as follows:
    - a. High-density overlay, Class 1 or better.
    - b. Medium-density overlay, Class 1 or better; mill-release agent treated and edge sealed
    - c. B-B (Concrete Form), Class 1 or better; mill oiled and edge sealed.
- B. Rough-Formed Finished Concrete: Plywood, lumber, metal, or another approved material. Provide lumber dressed on at least two edges and one side for tight fit.
- C. Form-Release Agent: Commercially formulated form-release agent that will not bond with, stain, or adversely affect concrete surfaces and will not impair subsequent treatments of concrete surfaces.
  - 1. Formulate form-release agent with rust inhibitor for steel form-facing materials.

- D. Form Ties: Factory-fabricated, removable or snap-off metal or glass-fiber-reinforced plastic form ties designed to resist lateral pressure of fresh concrete on forms and to prevent spalling of concrete on removal.
  - 1. Furnish units that will leave no corrodible metal closer than 1 inch to the plane of exposed concrete surface.
  - 2. Furnish ties that, when removed, will leave holes no larger than 1 inch in diameter in concrete surface.
  - 3. Furnish ties with integral water-barrier plates to walls indicated to receive dampproofing or waterproofing.

# 2.2 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A 615, Grade 60, deformed.
  - 1. Include supplementary requirement S1.
- B. Plain-Steel Wire: ASTM A 82, as drawn.
- C. Plain-Steel Welded Wire Reinforcement: ASTM A 185, plain, fabricated from as-drawn steel wire into flat sheets.

# 2.3 REINFORCEMENT ACCESSORIES

- A. Joint Dowel Bars: ASTM A 615, Grade 60, plain-steel bars, cut bars true to length with ends square and free of burrs.
- B. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars and welded wire reinforcement in place. Manufacture bar supports from steel wire, plastic, or precast concrete according to CRSI's "Manual of Standard Practice," of greater compressive strength than concrete and as follows:
  - 1. For concrete surfaces exposed to view where legs of wire bar supports contact forms, use CRSI Class 1 plastic-protected steel wire or CRSI Class 2 stainless-steel bar supports.
  - 2. Supporting devices for slabs-on-grade shall have sand plates.
- C. Tie Wire: 16 gauge annealed type.

## 2.4 CONCRETE MATERIALS

- A. Cementitious Material: Use the following cementitious materials, of the same type, brand, and source, throughout Project:
  - 1. Portland Cement: ASTM C 150, Type I or II. Supplement with the following:
    - a. Fly Ash: ASTM C 618, Class F.
- B. Aggregates, General: Tested and passed within 6 months of use for the following:
  - 1. Gradation: ASTM C 136.
  - 2. Material Passing No. 200 Sieve: ASTM C 117.
  - 3. Organic Impurities: ASTM C 40.
  - 4. Soundness: ASTM C 88.
  - 5. Clay Lumps: ASTM C 142.
  - 6. Abrasiveness of Coarse Materials: ASTM C 131.
  - 7. Soft Particles: ASTM C 235.
  - 8. Freeze/Thaw Resistance: ASTM C 66, ASTM C 682.
- C. Normal-Weight Aggregates: ASTM C 33, Class 3S coarse aggregate or better, graded. Provide aggregates from a single source with documented service record data of at least 10 years' satisfactory service in similar applications and service conditions using similar aggregates and cementitious materials.
  - 1. Maximum Coarse-Aggregate Size:
    - a. Percentage passing No. 200 sieve shall be less than 0.7%.

- b. Nominal size 1 1/2": ASTM Size No. 467.
- c. Nominal size 1": ASTM Size No. 57.
- d. Nominal size 1/2": ASTM Size No. 7.
- 2. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.
  - a. Percentage passing No. 200 sieve shall be less than 3%.
- D. Lightweight Aggregate: ASTM C 330, 3/4-inch nominal maximum aggregate size.
- E. Water: ASTM C 94 and potable.

## 2.5 ADMIXTURES

- A. Air-Entraining Admixture: ASTM C 260.
- B. Chemical Admixtures: Provide admixtures certified by manufacturer to be compatible with other admixtures and that will not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride. Admixtures which result in more than 0.1% of soluble chloride ions by weight of cement are prohibited.
  - 1. Water-Reducing Admixture: ASTM C 494, Type A.
  - 2. Retarding Admixture: ASTM C 494, Type B.
  - 3. Water-Reducing and Retarding Admixture: ASTM C 494, Type D.
  - 4. High-Range, Water-Reducing Admixture: ASTM C 494, Type F.
  - 5. High-Range, Water-Reducing and Retarding Admixture: ASTM C 494, Type G.
  - 6. Plasticizing and Retarding Admixture: ASTM C 1017, Type II.
- C. Set-Accelerating Corrosion-Inhibiting Admixture: Commercially formulated, anodic inhibitor or mixed cathodic and anodic inhibitor; capable of forming a protective barrier and minimizing chloride reactions with steel reinforcement in concrete and complying with ASTM C 494, Type C.
  - 1. Products:
    - a. Euclid Chemical Company (The); Eucon CIA.
    - b. Grace Construction Products, W. R. Grace & Co.; DCI.
    - c. Master Builders, Inc.; MasterLife CI 30.
    - d. Sika Corporation; Sika CNI.
- D. Water vapor reducing admixture (to be added to all concrete slab pours): Basis-of-Design product for concrete water vapor reducing admixture is Vapor Lock 20/20 manufactured by Specialty Products Group (SPG); telephone (877) 957-4626, email <a href="info@spggogreen.com">info@spggogreen.com</a>. Substitutions will be considered subject to compliance with this section and appropriate substantiating data.

# 2.6 WATERSTOPS

- A. Flexible PVC Waterstops: CE CRD-C 572, for embedding in concrete to prevent passage of fluids through joints. Factory fabricate corners, intersections, and directional changes.
  - 1. Manufacturers:
    - a. Bometals, Inc.
    - b. Greenstreak.
    - c. Meadows, W. R., Inc.
    - d. Murphy, Paul Plastics Co.
    - e. Progress Unlimited, Inc.
  - 2. Profile: Ribbed with center bulb.
  - 3. Dimensions: As applicable to location; nontapered.
- B. Self-Expanding Butyl Strip Waterstops: Manufactured rectangular or trapezoidal strip, butyl rubber with sodium bentonite or other hydrophilic polymers, for adhesive bonding to concrete, 3/4 by 1 inch.
  - 1. Products:

- a. Colloid Environmental Technologies Company; Volclay Waterstop-RX.
- b. Concrete Sealants Inc.; Conseal CS-231.
- c. Greenstreak; Swellstop.
- d. Henry Company, Sealants Division; Hydro-Flex.
- e. JP Specialties, Inc.; Earthshield Type 20.
- f. Progress Unlimited, Inc.; Superstop.
- g. TCMiraDRI; Mirastop.

## 2.7 VAPOR RETARDERS

- A. Plastic Vapor Retarder: ASTM E 1745, Class B. Include manufacturer's recommended adhesive or pressure-sensitive tape.
  - 1. Products:
    - a. Fortifiber Corporation; Moistop Ultra.
    - b. Raven Industries Inc.; Vapor Block 15.
    - c. Stego Industries, LLC; Stego Wrap, 10 mils.
- B. Granular Fill: Clean mixture of crushed stone or crushed or uncrushed gravel; ASTM D 448, Size 57, with 100 percent passing a 1-1/2-inch sieve and 0 to 5 percent passing a No. 8 sieve.
- C. Fine-Graded Granular Material: Clean mixture of crushed stone, crushed gravel, and manufactured or natural sand; ASTM D 448, Size 10, with 100 percent passing a 3/8-inch sieve, 10 to 30 percent passing a No. 100 sieve, and at least 5 percent passing No. 200 sieve; complying with deleterious substance limits of ASTM C 33 for fine aggregates.

## 2.8 CURING MATERIALS

- A. Evaporation Retarder: Waterborne, monomolecular film forming, manufactured for application to fresh concrete.
  - 1. Products:
    - a. Burke by Edoco; BurkeFilm.
    - b. ChemMasters; Spray-Film.
    - c. Sika Corporation, Inc.; SikaFilm.
    - d. Symons Corporation, a Dayton Superior Company; Finishing Aid.
- B. Absorptive Cover: AASHTO M 182, Class 2, burlap cloth made from jute or kenaf, weighing approximately 9 oz./sq. yd. when dry.
- C. Moisture-Retaining Cover: ASTM C 171, polyethylene film or white burlap-polyethylene sheet.
- D. Water: Potable.
- E. Clear, Waterborne, Membrane-Forming Curing Compound: ASTM C 309, Type 1, Class B, 18 to 25 percent solids, nondissipating, certified by curing compound manufacturer to not interfere with bonding of floor covering.
  - 1. Characteristics: Acrylic polymer blend; non-yellowing from ultraviolet exposure; dustproofs concrete.
  - 2. Products:
    - a. ChemMasters; Safe-Cure Clear.
    - b. Euclid Chemical Company (The); Diamond Clear VOX.
    - c. L&M Construction Chemicals, Inc.; Dress & Seal WB.
    - d. MBT Protection and Repair, Div. of ChemRex; MasterKure-N-Seal VOC.
    - e. Meadows, W. R., Inc.; Vocomp-20.
    - f. Sonneborn, Div. of ChemRex: Kure-N-Seal.
- F. Clear, Solvent-Borne, Membrane-Forming Curing and Sealing Compound: ASTM C 1315, Type 1, Class A.
  - 1. Products:
    - a. Burke by Edoco; Cureseal 1315.

- b. ChemMasters; Spray-Cure & Seal Plus.
- c. Conspec Marketing & Manufacturing Co., Inc., a Dayton Superior Company; Sealcure 1315.
- d. Euclid Chemical Company (The); Super Diamond Clear.
- e. L&M Construction Chemicals, Inc.; Lumiseal Plus.
- f. Meadows, W. R., Inc.; CS-309/30.
- g. Sonneborn, Div. of ChemRex; Kure-N-Seal 5.
- h. Tamms Industries, Inc.; LusterSeal 300.

# G. Evaporation Retarder:

### **Products:**

a. BASF Construction Chemicals; Master Builders, Confilm.

# 2.9 RELATED MATERIALS

- A. Expansion-Joint-Filler and Isolation-Joint-Filler Strips: ASTM D 1751, asphalt-saturated cellulosic fiber for pavements and sidewalks, and ASTM D 1752, cork or self-expanding cork for slabs-on-grade.
- B. Preformed Control Joint Former for joints to receive sealant or for sawcut type joints. To be used only with approval of the Architect.
- C. Bonding Agent: ASTM C 1059, Type II, non-redispersible, acrylic emulsion or styrene butadiene.
- D. Burlap: AASHTO M 182, Class 2 jute or kenaf cloth.

## 2.10 REPAIR MATERIALS

- A. Repair Underlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/8 inch and that can be feathered at edges to match adjacent floor elevations.
  - 1. Cement Binder: ASTM C 150, portland cement or hydraulic or blended hydraulic cement as defined in ASTM C 219.
  - 2. Primer: Product of underlayment manufacturer recommended for substrate, conditions, and application.
  - 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch or coarse sand as recommended by underlayment manufacturer.
  - 4. Compressive Strength: Not less than 4100 psi at 28 days when tested according to ASTM C 109.
- B. Repair Overlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/8 inch and that can be feathered at edges to match adjacent floor elevations.
  - 1. Cement Binder: ASTM C 150, portland cement or hydraulic or blended hydraulic cement as defined in ASTM C 219.
  - 2. Primer: Product of topping manufacturer recommended for substrate, conditions, and application.
  - 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch or coarse sand as recommended by topping manufacturer.
  - 4. Compressive Strength: Not less than 5000 psi at 28 days when tested according to ASTM C 109.

# 2.11 CONCRETE MIXTURES, GENERAL

A. Prepare design mixtures for each type and strength of concrete, on the basis of laboratory trial mixture or field test data, or both, according to ACI 301. Proportion design mixes per the recommendations of ACI 211.1 for normal weight concrete and ACI 211.2 for structural lightweight concrete.

14428.11

- 1. Use a qualified independent testing agency for preparing and reporting proposed mixture designs based on laboratory trial mixtures.
- 2. Design mixes to meet or exceed each requirement specified. Adjust mix design to meet the most stringent requirement.
- B. Cementitious Materials: Limit percentage, by weight, of cementitious materials other than portland cement in concrete as follows:
  - 1. Fly Ash: 15 percent.
  - 2. Combined Fly Ash and Pozzolan: 15 percent.
- C. Limit water-soluble, chloride-ion content in hardened concrete to 0.06 percent by weight of cement.
- D. Admixtures: Use admixtures according to manufacturer's written instructions.
  - 1. Use water-reducing, high-range water-reducing or plasticizing admixture in concrete, as required, for placement and workability.
  - 2. Use water-reducing and retarding admixture when required by high temperatures, low humidity, or other adverse placement conditions.
  - 3. Use water-reducing admixture in pumped concrete, concrete for heavy-use industrial slabs and parking structure slabs, concrete required to be watertight, and concrete with a water-cementitious materials ratio below 0.50.
  - 4. Use set-accelerating corrosion-inhibiting admixture in concrete mixtures where indicated.

### 2.12 CONCRETE MIXTURES FOR BUILDING ELEMENTS

- A. Footings and Buried Foundations: Proportion normal-weight concrete mixture as follows:
  - 1. Minimum Compressive Strength: 3,500 psi at 28 days.
  - 2. Maximum Water-Cementitious Materials Ratio by Weight: 0.50.
  - 3. Minimum Cementitious Materials Content: 475 lb/cu. yd.
  - 4. Maximum Nominal Aggregate Size: 1 inch.
  - 5. Maximum Slump Limit: 3-1/2 inches, plus or minus 1 inch.
- B. Slabs-on-Grade (Interior): Proportion normal-weight concrete mixture as follows:
  - 1. Minimum Compressive Strength: 3500 psi at 28 days.
  - 2. Maximum Water-Cementitious Materials Ratio by Weight: 0.45.
  - 3. Minimum Cementitious Materials Content: 540 lb/cu. yd.
  - 4. Maximum Nominal Aggregate Size: 1 inch.
  - 5. Maximum Slump Limit: 3-1/2 inches, plus or minus 1 inch.
- C. Exposed Foundation, Exterior Walks and Retaining Walls: Proportion normal-weight concrete mixture as follows:
  - 1. Minimum Compressive Strength: 5,000 psi at 28 days.
  - 2. Maximum Water-Cementitious Materials Ratio by Weight: 0.45.
  - 3. Minimum Cementitious Materials Content: 590 lb./cu.yd.
  - 4. Minimum Nominal Aggregate Size: 1/2 inch.
  - 5. Maximum Nominal Aggregate Size: 1-1/2 inches.
  - 6. Maximum Slump Limit: 3-1/2 inches, plus or minus 1 inch.
  - 7. Air Content: 5.5 percent, plus or minus 1.0 percent.
- G. Controlled Low Strength Material (CLSM)
  - 1. Permanent Material
    - a. Material shall meet the requirements of ACI 229R with a minimum compressive strength of 400 lb./sq. in.
  - 2. Removable Material
    - a. Material shall meet the requirements of ACI 229R with a minimum compressive strength of 50 to 100 lb./sq. in.

## 2.13 FABRICATING REINFORCEMENT

- A. Fabricate steel reinforcement according to CRSI's "Manual of Standard Practice."
- B. Bend steel reinforcement in accordance with ACI 318.
  - 1. Do not heat steel reinforcement for bending. Bend or straighten bars cold.
  - 2. Do not bend partially embedded steel reinforcement, except as approved.

# 2.14 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94, paragraphs 1 to 15 and 18 only, and furnish batch ticket information.
  - 1. When air temperature is between 85 and 90 deg F, reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.
- B. Project-Site Mixing: Measure, batch, and mix concrete materials and concrete according to ASTM C 94. Mix concrete materials in appropriate drum-type batch machine mixer.
  - 1. For mixer capacity of 1 cu. yd. or smaller, continue mixing at least 1-1/2 minutes, but not more than 5 minutes after ingredients are in mixer, before any part of batch is released.
  - 2. For mixer capacity larger than 1 cu. yd., increase mixing time by 15 seconds for each additional 1 cu. yd.
  - 3. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mixture type, mixture time, quantity, and amount of water added. Record approximate location of final deposit in structure.

## **PART 3 - EXECUTION**

## 3.1 FORMWORK

- A. Design, erect, shore, brace, and maintain formwork, according to ACI 301, to support vertical, lateral, static, and dynamic loads, and construction loads that might be applied, until structure can support such loads.
- B. 1. Where elevated concrete thickness exceeds 8 inches, contractor to provide Design Plans and Calculations of formwork shoring and bracing for review.
- C. 2. Earth forms are not permitted.
- D. Construct formwork so concrete members and structures are of size, shape, alignment, elevation, and position indicated, within tolerance limits of ACI 117.
- E. Limit concrete surface irregularities, designated by ACI 347R as abrupt or gradual, as follows:
  - 1. Class A, 1/8 inch for smooth-formed finished surfaces.
  - 2. Class C, 1/2 inch for rough-formed finished surfaces.
- F. Construct forms tight enough to prevent loss of concrete mortar.
- G. Fabricate forms for easy removal without hammering or prying against concrete surfaces. Provide crush or wrecking plates where stripping may damage cast concrete surfaces. Provide top forms for inclined surfaces steeper than 1.5 horizontal to 1 vertical.
  - 1. Install keyways, reglets, recesses, and the like, for easy removal.
  - 2. Do not use rust-stained steel form-facing material.
- H. Set edge forms, bulkheads, and intermediate screed strips for slabs to achieve required elevations and slopes in finished concrete surfaces. Provide and secure units to support screed strips; use strike-off templates or compacting-type screeds.
- I. Provide temporary openings for cleanouts and inspection ports where interior area of formwork is inaccessible. Close openings with panels tightly fitted to forms and securely braced to prevent loss of concrete mortar. Locate temporary openings in forms at inconspicuous locations.

- J. Form openings, chases, offsets, sinkages, keyways, reglets, blocking, screeds, and bulkheads required in the Work. Determine sizes and locations from trades providing such items.
- K. Clean forms and adjacent surfaces to receive concrete. Remove chips, wood, sawdust, dirt, and other debris just before placing concrete.
- L. Retighten forms and bracing before placing concrete, as required, to prevent mortar leaks and maintain proper alignment.
- M. Coat contact surfaces of forms with form-release agent, according to manufacturer's written instructions, before placing reinforcement.

# 3.2 EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining work that is attached to or supported by cast-in-place concrete. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 1. Install anchor rods, accurately located, to elevations required and complying with tolerances in Section 7.5 of AISC's "Code of Standard Practice for Steel Buildings and Bridges." Misplaced or damaged anchor rods will be subject to re-engineering fees.
  - 2. Install reglets to receive waterproofing and to receive through-wall flashings in outer face of concrete frame at exterior walls, where flashing is shown at lintels, shelf angles, and other conditions.
  - 3. Installed penetrating conduits and embedded pipes in concrete shall comply with Section 6.3 of ACI 318.
    - a. No conduits or embedded pipes shall be located within supported slabs or slab-on-grade.

## 3.3 REMOVING AND REUSING FORMS

- A. General: Formwork for sides of beams, walls, columns, and similar parts of the Work that does not support weight of concrete may be removed after cumulatively curing at not less than 50 deg F for 24 hours after placing concrete, if concrete is hard enough to not be damaged by form-removal operations and curing and protection operations are maintained.
  - 1. Leave formwork for beam soffits, joists, slabs, and other structural elements that supports weight of concrete in place until concrete has achieved at least 70 percent of its 28-day design compressive strength.
  - 2. Remove forms only if shores have been arranged to permit removal of forms without loosening or disturbing shores.
- B. Clean and repair surfaces of forms to be reused in the Work. Split, frayed, delaminated, or otherwise damaged form-facing material will not be acceptable for exposed surfaces. Apply new form-release agent.
- C. When forms are reused, clean surfaces, remove fins and laitance, and tighten to close joints. Align and secure joints to avoid offsets. Do not use patched forms for exposed concrete surfaces unless approved by Architect.

## 3.4 VAPOR RETARDERS

- A. Plastic Vapor Retarders: Place, protect, and repair vapor retarders according to ASTM E 1643 and manufacturer's written instructions.
  - 1. Lap joints 6 inches and seal with manufacturer's recommended tape.

## 3.5 STEEL REINFORCEMENT

- A. General: Comply with CRSI's "Manual of Standard Practice" for placing reinforcement.
  - 1. Do not cut or puncture vapor retarder. Repair damage and reseal vapor retarder before placing concrete.

- 14428.11
  - 2. Allow six hours between completion of reinforcement installation and placement of concrete for special inspection.
- B. Clean reinforcement of dirt, grease, scale, loose rust, oil, paint and other foreign matter prior to installation.
- C. Accurately position, support, and secure reinforcement against displacement. Locate and support reinforcement with bar supports to maintain minimum concrete cover. Do not tack weld crossing reinforcing bars.
  - 1. Weld reinforcing bars according to AWS D1.4, where indicated.
- D. Splicing and Embedment of Reinforcement: Conform to ACI 318 Chapter 12 for wired lap splices and embedment lengths.
- E. Set wire ties with ends directed into concrete, not toward exposed concrete surfaces.
- F. Install welded wire reinforcement in longest practicable lengths on bar supports spaced to minimize sagging. Lap edges and ends of adjoining sheets at least one mesh spacing. Offset laps of adjoining sheet widths to prevent continuous laps in either direction. Lace overlaps with wire.
- G. 1. "Hooking-up" or "Walking-in" of any reinforcement will not be permitted.
- H. Maintain required concrete cover dimensions indicated. Coordinate placement of conduit and inserts with reinforcement. Protect installed reinforcement from damage or displacement prior to and during concrete placement.
- I. The Contractor shall repair or replace damaged, distorted, or displaced reinforcement.

# 3.6 JOINTS

- A. General: Construct joints true to line with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Architect.
  - 1. Place joints perpendicular to main reinforcement. Continue reinforcement across construction joints, unless otherwise indicated. Do not continue reinforcement through sides of strip placements of floors and slabs.
  - 2. Form keyed joints as indicated. Embed keys at least 1-1/2 inches into concrete.
  - 3. Locate joints for beams, slabs, joists, and girders in the middle third of spans. Offset joints in girders a minimum distance of twice the beam width from a beam-girder intersection.
  - 4. Locate horizontal joints in walls and columns at underside of floors, slabs, beams, and girders and at the top of footings or floor slabs.
  - 5. Space vertical joints in walls as indicated. Locate joints beside piers integral with walls, near corners, and in concealed locations where possible.
  - 6. Use a bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
  - 7. Provide waterstops as indicated, and at all construction joints below grade adjacent to usable spaces.
- C. Contraction Joints in Slabs-on-Grade: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fourth of concrete thickness or a minimum of 1-inch as follows:
  - 1. Grooved Joints: Form contraction joints after initial floating by grooving and finishing each edge of joint to a radius of 1/8 inch. Repeat grooving of contraction joints after applying surface finishes. Eliminate groover tool marks on concrete surfaces.
  - 2. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch-wide joints into concrete when cutting action will not tear, abrade, or otherwise damage surface and before concrete develops random contraction cracks.

- 3. Spacing of joints shall not exceed 30 times (24 times for exposed concrete floor surface) the thickness of the slab nor 15 feet on center. All panels should be square or nearly so. Joints shall typically isolate columns and run between columns, with intermediate joints located at equal spaces between column lines.
- 4. Joints produced using conventional processes shall be made within 4 or 12 hours after the slab in that area has been finished- within 4 hours in hot weather and within 12 hours in cold weather.
- 5. Joints produced using early-entry dry-cut saws shall be made within 1 or 4 hours after the slab in that area has been finished- within 1 hour in hot weather and within 4 hours in cold weather.
- 6. Hand tooled joints shall be done immediately following edging, or at the same time.
- 7. For floors to be covered with quarry tile, ceramic tile, or terrazzo, the joints shall be aligned with joints in the rigid floor coverings.
- D. Contraction Joints in Walls: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Provide adequate shear reinforcement as indicated or directed. Construct contraction joints as follows:
- E. 1. Joints shall be constructed to provide for the installation of watertight joint and sealant, and filled with sealant.
- F. 2. Provide waterstops as indicated, and at all joints below grade adjacent to usable spaces.
- G. 3. Spacing of joints shall be located about 4 feet from corners and intersections, and then at 25 feet on center thereafter.
- H. Isolation Joints in Slabs-on-Grade: After removing formwork, install joint-filler strips at slab junctions with vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated.
  - 1. Extend joint-filler strips full width and depth of joint, terminating flush with finished concrete surface, unless otherwise indicated.
  - 2. Terminate full-width joint-filler strips not less than 1/2 inch or more than 1 inch below finished concrete surface where joint sealants, specified in Division 07 Section "Joint Sealants," are indicated.
  - 3. Install joint-filler strips in lengths as long as practicable. Where more than one length is required, lace or clip sections together.
- I. Doweled Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or asphalt coat one-half of dowel length to prevent concrete bonding to one side of joint.

# 3.7 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, and embedded items is complete and that required inspections have been performed and corrections made.
- B. Do not add water to concrete during delivery, at Project site, or during placement unless approved by Architect.
- C. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301.
  - 1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.
- D. Deposit concrete continuously in one layer or in horizontal layers of such thickness that no new concrete will be placed on concrete that has hardened enough to cause seams or planes of weakness. If a section cannot be placed continuously, provide construction joints as indicated. Deposit concrete to avoid segregation.
  - 1. Deposit concrete in horizontal layers of depth to not exceed formwork design pressures and in a manner to avoid inclined construction joints.

- 2. Consolidate placed concrete with mechanical vibrating equipment according to ACI 301.
  - a. Do not supplement mechanical consolidation by hand, spading, rodding, or tamping unless approved by Architect.
- 3. Do not use vibrators to transport concrete inside forms. Insert and withdraw vibrators vertically at uniformly spaced locations to rapidly penetrate placed layer and at least 6 inches into preceding layer. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity. At each insertion, limit duration of vibration to time necessary to consolidate concrete and complete embedment of reinforcement and other embedded items without causing mixture constituents to segregate.
- E. Deposit and consolidate concrete for floors and slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
  - 1. Consolidate concrete during placement operations so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
  - 2. Maintain reinforcement in position on chairs during concrete placement.
  - 3. Screed slab surfaces with a straightedge and strike off to correct elevations.
  - 4. Slope surfaces uniformly to drains where required.
  - 5. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, before excess bleedwater appears on the surface. Do not further disturb slab surfaces before starting finishing operations.
- F. Provide sufficient time for excess water to evaporate prior to placement of floor coverings.
- G. 1. Refer to floor covering product manufacturer submittals for requirements.
- H. Cold-Weather Placement: Comply with ACI 306 and as follows. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
  - 1. When average high and low temperature is expected to fall below 40 deg F for three successive days, maintain delivered concrete mixture temperature within the temperature range required by ACI 301.
  - 2. Do not use frozen materials or materials containing ice or snow. Do not place concrete on frozen subgrade or on subgrade containing frozen materials.
  - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in mixture designs.
- I. Hot-Weather Placement: Comply with ACI 305 and as follows:
  - 1. Maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated to total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  - 2. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade uniformly moist without standing water, soft spots, or dry areas.

# 3.8 FINISHING FORMED SURFACES

- A. Rough-Formed Finish: As-cast concrete texture imparted by form-facing material with tie holes and defects repaired and patched. Remove fins and other projections that exceed specified limits on formed-surface irregularities.
  - 1. Apply to concrete surfaces not exposed to public view.
- B. Smooth-Formed Finish: As-cast concrete texture imparted by form-facing material, arranged in an orderly and symmetrical manner with a minimum of seams. Repair and patch tie holes and defects. Remove fins and other projections that exceed specified limits on formed-surface irregularities.
  - 1. Apply to concrete surfaces exposed to public view, to receive a rubbed finish, or to be covered with a coating or covering material applied directly to concrete.

14428.11

- C. Rubbed Finish: Apply the following to smooth-formed finished as-cast concrete where indicated:
  - 1. Smooth-Rubbed Finish: Not later than one day after form removal, moisten concrete surfaces and rub with carborundum brick or another abrasive until producing a uniform color and texture. Do not apply cement grout other than that created by the rubbing process.
  - 2. Grout-Cleaned Finish: Wet concrete surfaces and apply grout of a consistency of thick paint to coat surfaces and fill small holes. Mix one part portland cement to one and one-half parts fine sand with a 1:1 mixture of bonding admixture and water. Add white portland cement in amounts determined by trial patches so color of dry grout will match adjacent surfaces. Scrub grout into voids and remove excess grout. When grout whitens, rub surface with clean burlap and keep surface damp by fog spray for at least 36 hours.
  - 3. Cork-Floated Finish: Wet concrete surfaces and apply a stiff grout. Mix one part portland cement and one part fine sand with a 1:1 mixture of bonding agent and water. Add white portland cement in amounts determined by trial patches so color of dry grout will match adjacent surfaces. Compress grout into voids by grinding surface. In a swirling motion, finish surface with a cork float.
- D. Related Unformed Surfaces: At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a texture matching adjacent formed surfaces. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces, unless otherwise indicated.

## 3.9 FINISHING FLOORS AND SLABS

- A. General: Comply with ACI 302.1R recommendations for screeding, restraightening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.
- B. Float Finish: Consolidate surface with power-driven floats or by hand floating if area is small or inaccessible to power driven floats. Restraighten, cut down high spots, and fill low spots. Repeat float passes and restraightening until surface is left with a uniform, smooth, granular texture.
  - 1. Apply float finish to surfaces to receive trowel finish, or to be covered with fluid-applied or sheet waterproofing, built-up or membrane roofing, or sand-bed terrazzo.
- C. Trowel Finish: After applying float finish, apply first troweling and consolidate concrete by hand or power-driven trowel. Continue troweling passes and restraighten until surface is free of trowel marks and uniform in texture and appearance. Grind smooth any surface defects that would telegraph through applied coatings or floor coverings.
  - 1. Apply a trowel finish to surfaces exposed to view or to be covered with resilient flooring, carpet, ceramic or quarry tile set over a cleavage membrane, paint, or another thin-film-finish coating system.
  - 2. Finish and measure surface so gap at any point between concrete surface and an unleveled, freestanding, 10-foot-long straightedge resting on 2 high spots and placed anywhere on the surface does not exceed 1/8 inch-
- D. Broom Finish: Apply a broom finish to exposed concrete platforms, steps, and ramps, and elsewhere as indicated.
  - 1. Immediately after float finishing, slightly roughen trafficked surface by brooming with fiber-bristle broom perpendicular to main traffic route. Coordinate required final finish with Architect before application.

# 3.10 MISCELLANEOUS CONCRETE ITEMS

A. Filling In: Fill in holes and openings left in concrete structures, unless otherwise indicated, after work of other trades is in place. Mix, place, and cure concrete, as specified, to blend with inplace construction. Provide other miscellaneous concrete filling indicated or required to complete the Work.

B. Equipment Bases and Foundations: Provide machine and equipment bases and foundations as shown on Drawings. Set anchor bolts for machines and equipment at correct elevations, complying with diagrams or templates from manufacturer furnishing machines and equipment. Misplaced or damaged anchor bolts will be subject to re-engineering fees.

C. .

# 3.11 CONCRETE PROTECTING AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306 for cold-weather protection and ACI 305 for hot-weather protection during curing.
- B. Evaporation Retarder: Apply evaporation retarder to unformed concrete surfaces if hot, dry, or windy conditions cause moisture loss approaching 0.2 lb/sq. ft. x h before and during finishing operations. Apply according to manufacturer's written instructions after placing, screeding, and bull floating or darbying concrete, but before float finishing.
- C. Formed Surfaces: Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces. If forms remain during curing period, moist cure after loosening forms. If removing forms before end of curing period, continue curing for the remainder of the curing period.
- D. Unformed Surfaces: Begin curing immediately after finishing concrete. Cure unformed surfaces, including floors and slabs, concrete floor toppings, and other surfaces.
- E. Cure concrete according to ACI 308.1, by one or a combination of the following methods:
  - 1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
    - a. Water.
    - b. Continuous water-fog spray.
    - c. Absorptive cover, water saturated, and kept continuously wet. Cover concrete surfaces and edges with 12-inch lap over adjacent absorptive covers.
  - 2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides and ends lapped at least 12 inches, and sealed by waterproof tape or adhesive. Cure for not less than seven days. Immediately repair any holes or tears during curing period using cover material and waterproof tape.
    - a. Moisture cure or use moisture-retaining covers to cure concrete surfaces to receive penetrating liquid floor treatments as recommended by manufacturer.
    - b. Cure concrete surfaces to receive floor coverings with either a moisture-retaining cover or a curing compound that the manufacturer certifies will not interfere with bonding of floor coverings used on Project.
  - 3. Curing Compound: Apply uniformly in continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Maintain continuity of coating and repair damage during curing period.
    - a. After curing period has elapsed, remove curing compound without damaging concrete surfaces by method recommended by curing compound manufacturer unless manufacturer certifies curing compound will not interfere with bonding of floor covering used on Project.
    - b. Curing compound to be applied only in locations permitted or required.
  - 4. Curing and Sealing Compound: Apply uniformly to floors and slabs indicated in a continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Repeat process 24 hours later and apply a second coat. Maintain continuity of coating and repair damage during curing period.

a. Curing and sealing compound to be applied only in locations permitted or required.

## 3.12 **JOINT FILLING**

- A. Prepare, clean, and install joint filler according to manufacturer's written instructions.
  - 1. Defer joint filling until concrete has aged at least [one] [six] month(s). Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joint clean and dry.
- C. Install semirigid joint filler full depth in saw-cut joints and at least 2 inches deep in formed joints. Overfill joint and trim joint filler flush with top of joint after hardening.

## 3.13 CONCRETE SURFACE REPAIRS

- A. Defective Concrete: Repair and patch defective areas when approved by Architect. Remove and replace concrete that cannot be repaired and patched to Architect's approval.
- B. Patching Mortar: Mix dry-pack patching mortar, consisting of one part portland cement to two and one-half parts fine aggregate passing a No. 16 sieve, using only enough water for handling and placing.
- C. Repairing Formed Surfaces: Surface defects include color and texture irregularities, cracks, spalls, air bubbles, honeycombs, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
  - 1. Immediately after form removal, cut out honeycombs, rock pockets, and voids more than 1/2 inch in any dimension in solid concrete, but not less than 1 inch in depth. Make edges of cuts perpendicular to concrete surface. Clean, dampen with water, and brush-coat holes and voids with bonding agent. Fill and compact with patching mortar before bonding agent has dried. Fill form-tie voids with patching mortar or cone plugs secured in place with bonding agent.
  - 2. Repair defects on surfaces exposed to view by blending white portland cement and standard portland cement so that, when dry, patching mortar will match surrounding color. Patch a test area at inconspicuous locations to verify mixture and color match before proceeding with patching. Compact mortar in place and strike off slightly higher than surrounding surface.
  - 3. Repair defects on concealed formed surfaces that affect concrete's durability and structural performance as determined by Architect.
- D. Repairing Unformed Surfaces: Test unformed surfaces, such as floors and slabs, for finish and verify surface tolerances specified for each surface. Correct low and high areas. Test surfaces sloped to drain for trueness of slope and smoothness; use a sloped template.
  - 1. Repair finished surfaces containing defects. Surface defects include spalls, popouts, honeycombs, rock pockets, crazing and cracks in excess of 0.01 inch wide or that penetrate to reinforcement or completely through unreinforced sections regardless of width, and other objectionable conditions.
  - 2. After concrete has cured at least 14 days, correct high areas by grinding.
  - 3. Correct localized low areas during or immediately after completing surface finishing operations by cutting out low areas and replacing with patching mortar. Finish repaired areas to blend into adjacent concrete.
  - 4. Correct other low areas scheduled to receive floor coverings with a repair underlayment. Prepare, mix, and apply repair underlayment and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface. Feather edges to match adjacent floor elevations.
  - 5. Correct other low areas scheduled to remain exposed with a repair topping. Cut out low areas to ensure a minimum repair topping depth of 1/4 inch to match adjacent floor

## CAST-IN-PLACE CONCRETE

- elevations. Prepare, mix, and apply repair topping and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface.
- 6. Repair defective areas, except random cracks and single holes 1 inch or less in diameter, by cutting out and replacing with fresh concrete. Remove defective areas with clean, square cuts and expose steel reinforcement with at least a 3/4-inch clearance all around. Dampen concrete surfaces in contact with patching concrete and apply bonding agent. Mix patching concrete of same materials and mixture as original concrete except without coarse aggregate. Place, compact, and finish to blend with adjacent finished concrete. Cure in same manner as adjacent concrete.
- 7. Repair random cracks and single holes 1 inch or less in diameter with patching mortar. Groove top of cracks and cut out holes to sound concrete and clean off dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding agent. Place patching mortar before bonding agent has dried. Compact patching mortar and finish to match adjacent concrete. Keep patched area continuously moist for at least 72 hours.
- E. Exposed reinforcing steel shall be mechanically cleaned using sandblasting or waterblasting methods. Reinforcing steel shall be free from rust, grease, or other bond-inhibiting coating.
- F. Repairs of depths greater than 3 inches are not covered by this specification. Notify Architect if such conditions are discovered for further direction of repair methods and products.
- G. Perform structural repairs of concrete, subject to Architect's approval, using epoxy adhesive and patching mortar.
- H. Repair materials and installation not specified above may be used, subject to Architect's approval.

## 3.14 FIELD QUALITY CONTROL

- A. Testing and Inspecting: Owner will engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections:
  - 1. Steel reinforcement placement.
  - 2. Steel reinforcement welding.
  - 3. Headed bolts and studs.
  - 4. Verification of use of required design mixture.
  - 5. Concrete placement, including conveying and depositing.
  - 6. Curing procedures and maintenance of curing temperature.
  - 7. Verification of concrete strength before removal of shores and forms from beams and slabs.
- C. Concrete Tests: Testing of composite samples of fresh concrete obtained according to ASTM C 172 shall be performed according to the following requirements:
  - Testing Frequency: Obtain one composite sample for each day's pour of each concrete mixture exceeding 5 cu. yd., but less than 25 cu. yd., plus one set for each additional 50 cu. yd. or fraction thereof.
  - 2. Slump: ASTM C 143; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture. Perform additional tests when concrete consistency appears to change.
  - 3. Air Content: ASTM C 231, pressure method, for normal-weight concrete; ASTM C 173, volumetric method, for structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
  - 4. Concrete Temperature: ASTM C 1064; one test hourly when air temperature is 40 deg F and below and when 80 deg F and above, and one test for each composite sample.
  - 5. Unit Weight: ASTM C 567, equilibrium unit weight of structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.

- 6. Compression Test Specimens: ASTM C 31.
  - a. Cast and laboratory cure two sets of two standard cylinder specimens for each composite sample.
  - b. Cast and field cure two sets of two standard cylinder specimens for each composite sample.
- 7. Compressive-Strength Tests: ASTM C 39; test one set of two laboratory-cured specimens at 7 days and one set of two specimens at 28 days.
  - a. Test one set of two field-cured specimens at 7 days and one set of two specimens at 28 days.
  - b. A compressive-strength test shall be the average compressive strength from a set of two specimens obtained from same composite sample and tested at age indicated.
- 8. When strength of field-cured cylinders is less than 85 percent of companion laboratory-cured cylinders, Contractor shall evaluate operations and provide corrective procedures for protecting and curing in-place concrete.
- 9. Strength of each concrete mixture will be satisfactory if every average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength and no compressive-strength test value falls below specified compressive strength by more than 500 psi.
- 10. Test results shall be reported in writing to Architect, concrete manufacturer, and Contractor within 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mixture proportions and materials, compressive breaking strength, and type of break for both 7- and 28-day tests.
- 11. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Architect but will not be used as sole basis for approval or rejection of concrete.
- 12. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect. Testing and inspecting agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42 or by other methods as directed by Architect.
- 13. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
- 14. Correct deficiencies in the Work that test reports and inspections indicate dos not comply with the Contract Documents.
- D. Measure floor and slab flatness and levelness according to ASTM E 1155 within 72 hours of finishing.

END OF SECTION 033000

# UNIT MASONRY

## **SECTION 04 2000 - UNIT MASONRY**

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. Section Includes:
  - 1. Concrete masonry units.
  - 2. Concrete building brick.
  - 3. Face brick.
  - 4. Mortar and grout.
  - 5. Steel reinforcing bars.
  - 6. Masonry joint reinforcement.
  - 7. Ties and anchors.
  - 8. Embedded flashing.
  - 9. Miscellaneous masonry accessories.
  - 10. Cavity wall insulation.

## 1.3 **DEFINITIONS**

- A. CMU(s): Concrete masonry unit(s).
- B. Reinforced Masonry: Masonry containing reinforcing steel in grouted cells.

## 1.4 PERFORMANCE REQUIREMENTS

- A. Provide unit masonry that develops the following net-area compressive strengths (f'm) at 28 days:
  - 1. Determine compressive strength of masonry from net-area compressive strengths of masonry units and mortar types according to Tables 1 and 2 in ACI 530.1/ASCE 6/TMS 602.

#### 1.5 SUBMITTALS

- A. Product Data: For each different mortar mix, grout mix, masonry unit, accessory, and other manufactured product specified.
- B. Shop Drawings: Show fabrication and installation details for the following:
  - 1. Masonry Units: Show sizes, profiles, coursing, and locations of special shapes.
  - 2. Reinforcing Steel: Detail bending and placement of unit masonry reinforcing bars. Comply with ACI 315, "Details and Detailing of Concrete Reinforcement."
  - 3. Fabricated Flashing: Detail corner units, end-dam units, and other special applications.

## C. Samples:

- 1. Full-size units for each different exposed masonry unit required, showing the full range of exposed colors, textures, and dimensions to be expected in the completed construction. For face brick, submit samples in the form of straps of five or more bricks.
- 2. Weep holes/vents in color to match mortar color.
- 3. Accessories embedded in the masonry.

- 4. Colored mortar showing the full range of colors available. For mortar that is to match the color of existing mortar, provide samples using same sand and mortar ingredients to be used on Project.
- D. List of Materials Used in Constructing Mockups: List generic product names together with manufacturers, manufacturers' product names, model numbers, lot numbers, batch numbers, source of supply, and other information as required to identify materials used. Include mix proportions for mortar and grout and source of aggregates.
  - 1. Submittal is for information only. Neither receipt of list nor approval of mockup constitutes approval of deviations from the Contract Documents unless such deviations are specifically brought to the attention of Architect and approved in writing.
- E. Material Certificates: For each type and size of the following:
  - 1. Masonry units.
  - 2. Cementitious materials. Include brand, type, and name of manufacturer.
  - 3. Grout mixes. Include description of type and proportions of ingredients.
  - 4. Reinforcing bars.
  - 5. Joint reinforcement.
  - 6. Anchors, ties, and metal accessories.
- F. Mix Designs: For each type of mortar and grout. Include description of type and proportions of ingredients.
- G. Statement of Compressive Strength of Masonry: For each combination of masonry unit type and mortar type, provide statement of average net-area compressive strength of masonry units, mortar type, and resulting net-area compressive strength of masonry determined according to Tables 1 and 2 in ACI 530.1/ASCE 6/TMS 602.
- H. Cold-Weather and Hot-Weather Procedures: Detailed description of methods, materials, and equipment to be used to comply with requirements.

## 1.6 QUALITY ASSURANCE

- A. Source Limitations for Masonry Units: Obtain exposed masonry units of a uniform texture and color, or a uniform blend within the ranges accepted for these characteristics, from single source from single manufacturer for each product required.
- B. Source Limitations for Mortar Materials: Obtain mortar ingredients of a uniform quality, including color for exposed masonry, from single manufacturer for each cementitious component and from single source or producer for each aggregate.
- C. Masonry Standard: Comply with ACI 530.1/ASCE 6/TMS 602 unless modified by requirements in the Contract Documents.
- D. Installer Qualifications: The masonry installation contractor shall provide qualified installers.
  - 1. All masonry flashing assemblies shall be installed by masonry craftworkers who have successfully completed the International Masonry Institute (IMI) (1-800-IMI-0988) upgrade training course for Masonry Flashing, or equivalent.
  - 2. Grouting and Reinforcing: All masonry and grouting and reinforcing work shall be performed by masonry craftworkers who have successfully completed the International Masonry Institute (IMI) (1-800-IMI-0988) training course for Grouting and Reinforced Masonry Construction, or equivalent.

3. Construction of the rain screen system (cavity wall construction) shall be performed by masonry craftworkers who have successfully completed the International Masonry

Institute (IMI) (1-800-IMI-0988) Rain Screen Upgrade course, or its equivalent.

- E. Mockups: Build mockups to verify selections made under sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
  - Build sample panel of full thickness of the typical exterior wall from base of wall to three brick courses above the window, including the window itself (window need not be full size, but must have jamb depth/profile matching the windows to be used on the project). Sample panel shall include base flashing, weep holes, and window sill, jamb, and head construction.
  - 2. Where masonry is to match existing, erect mockups adjacent and parallel to existing surface.
  - 3. Clean one-half of exposed faces of panels with masonry cleaner indicated.
  - 4. Protect approved sample panels from the elements with weather-resistant membrane.
  - Approval of mockups is for color, texture, and blending of masonry units; relationship of
    mortar and sealant colors to masonry unit colors; tooling of joints; aesthetic qualities of
    workmanship; and other material and construction qualities specifically approved by
    Architect in writing.
    - a. Approval of sample panels does not constitute approval of deviations from the Contract Documents contained in sample panels unless such deviations are specifically approved by Architect in writing.
- F. Preinstallation Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination."

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store masonry units on elevated platforms in a dry location. If units are not stored in an enclosed location, cover tops and sides of stacks with waterproof sheeting, securely tied. If units become wet, do not install until they are dry.
- B. Store cementitious materials on elevated platforms, under cover, and in a dry location. Do not use cementitious materials that have become damp.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination avoided.
- D. Store masonry accessories, including metal items, to prevent corrosion and accumulation of dirt and oil.

## 1.8 PROJECT CONDITIONS

- A. Protection of Masonry: During construction, cover tops of walls, projections, and sills with waterproof sheeting at end of each day's work. Cover partially completed masonry when construction is not in progress.
  - 1. Extend cover a minimum of 24 inches down both sides of walls and hold cover securely in place.
  - 2. Where one wythe of multi-wythe masonry walls is completed in advance of other wythes, cover a minimum of 24 inches down face next to unconstructed wythe and hold cover securely in place.
- B. Do not apply uniform floor or roof loads for at least 12 hours and concentrated loads for at least three days after building load-bearing masonry walls or columns.

- UNIT MASONRY 04 2000 - 4
- C. Stain Prevention: Prevent grout, mortar, and soil from staining the face of masonry to be left exposed or painted. Immediately remove grout, mortar, and soil that come in contact with such masonry.
  - 1. Protect base of walls from rain-splashed mud and from mortar splatter by spreading coverings on ground and over wall surface.
  - Protect sills, ledges, and projections from mortar droppings. 2.
  - Protect surfaces of window and door frames, as well as similar products with painted and 3. integral finishes, from mortar droppings.
  - 4. Turn scaffold boards near the wall on edge at the end of each day to prevent rain from splashing mortar and dirt onto completed masonry.
- D. Cold-Weather Requirements: Do not use frozen materials or materials mixed or coated with ice or frost. Do not build on frozen substrates. Remove and replace unit masonry damaged by frost or by freezing conditions. Comply with cold-weather construction requirements contained in ACI 530.1/ASCE 6/TMS 602.
  - Cold-Weather Cleaning: Use liquid cleaning methods only when air temperature is 40 deg F and higher and will remain so until masonry has dried, but not less than seven days after completing cleaning.
- E. Hot-Weather Requirements: Comply with hot-weather construction requirements contained in ACI 530.1/ASCE 6/TMS 602.

## PART 2 - PRODUCTS

#### 2.1 **MASONRY UNITS, GENERAL**

- Defective Units: Referenced masonry unit standards may allow a certain percentage of units to A. contain chips, cracks, or other defects exceeding limits stated in the standard. Do not use units where such defects will be exposed in the completed Work.
- В. Fire-Resistance Ratings: Where indicated, provide units that comply with requirements for fireresistance ratings indicated as determined by testing according to ASTM E 119, by equivalent masonry thickness, or by other means, as acceptable to authorities having jurisdiction.

#### 2.2 CONCRETE MASONRY UNITS

- Shapes: Provide shapes indicated and as follows, with exposed surfaces matching exposed A. faces of adjacent units unless otherwise indicated.
  - Provide special shapes for lintels, corners, jambs, sashes, movement joints, headers, bonding, and other special conditions.
  - 2. Provide bullnose units for outside corners unless otherwise indicated.
- Integral Water Repellent: Provide units made with integral water repellent for exposed units, B. those in exterior walls, and where indicated.
  - Integral Water Repellent: Liquid polymeric, integral water-repellent admixture that does not reduce flexural bond strength. Units made with integral water repellent, when tested according to ASTM E 514 as a wall assembly made with mortar containing integral water-repellent manufacturer's mortar additive, with test period extended to 24 hours, shall show no visible water or leaks on the back of test specimen.
    - Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
      - ACM Chemistries; RainBloc. 1)
      - 2) BASF Aktiengesellschaft; Rheopel Plus.
      - Grace Construction Products, W. R. Grace & Co. Conn.; Dry-Block. 3)

- C. CMUs: ASTM C 90.
  - 1. Density Classification: Lightweight unless otherwise indicated.
  - 2. Size (Width): Manufactured to dimensions 3/8 inch less than nominal dimensions.
  - 3. Specified compressive strength shall be:
    - a) f'm = 1,350 psi for partially grouted construction,
    - b) f'm = 1,500 psi for fully grouted construction,
    - c) Minimum average net-area compressive strength of units shall be 1,900 psi.
- D. Concrete Building Brick: ASTM C 55.
  - 1. Density Classification: Lightweight.
  - 2. Size (Actual Dimensions): 3-5/8 inches wide by 2-1/4 inches high by 7-5/8 inches long.

## 2.3 CONCRETE AND MASONRY LINTELS

- A. Concrete Lintels: Precast or formed-in-place concrete lintels complying with requirements in Division 03 Section "Cast-in-Place Concrete" and with reinforcing bars indicated.
- B. Masonry Lintels: Prefabricated or built-in-place masonry lintels made from bond beam CMUs matching adjacent CMUs in color, texture, and density classification, with reinforcing bars placed as indicated and filled with coarse grout. Cure precast lintels before handling and installing. Temporarily support built-in-place lintels until cured.

## 2.4 BRICK

- A. General: Provide shapes indicated and as follows, with exposed surfaces matching finish and color of exposed faces of adjacent units:
  - 1. For ends of sills and caps and for similar applications that would otherwise expose unfinished brick surfaces, provide units without cores or frogs and with exposed surfaces finished.
  - 2. Provide special shapes for applications where stretcher units cannot accommodate special conditions, including those at corners, movement joints, bond beams, sashes, and lintels.
  - 3. Provide special shapes for applications where shapes produced by sawing would result in sawed surfaces being exposed to view.
- B. Face Brick: Facing brick complying with ASTM C 216.
  - 1. Grade: SW.
  - 2. Type: FBX.
  - 3. Initial Rate of Absorption: Less than 30 g/30 sq. in. per minute when tested per ASTM C 67.
  - 4. Efflorescence: Provide brick that has been tested according to ASTM C 67 and is rated "not effloresced."
  - 5. Size (Actual Dimensions): 3-5/8 inches wide by 2-1/4 inches high by 7-5/8 inches long.
  - 6. Application: Use where brick is exposed unless otherwise indicated.
  - 7. Where shown to "match existing," provide face brick matching color range, texture, and size of existing adjacent brickwork as approved by Architect.
  - 8. Coursing of new brickwork to match existing.

## 2.5 MORTAR AND GROUT MATERIALS

- A. Portland Cement: ASTM C 150, Type I or II, except Type III may be used for cold-weather construction. Provide natural color or white cement as required to produce mortar color indicated.
- B. Hydrated Lime: ASTM C 207, Type S.

04 2000 - 6

- C. Portland Cement-Lime Mix: Packaged blend of portland cement and hydrated lime containing no other ingredients.
- D. Mortar Pigments: Natural and synthetic iron oxides and chromium oxides, compounded for use in mortar mixes and complying with ASTM C 979. Use only pigments with a record of satisfactory performance in masonry mortar.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Davis Colors; True Tone Mortar Colors.
    - b. Lanxess Corporation; Bayferrox Iron Oxide Pigments.
    - c. Solomon Colors, Inc.; SGS Mortar Colors.
  - 2. Formulate blend as required to produce color indicated or, if not indicated, as selected from manufacturer's standard colors.
  - 3. Pigments shall not exceed 10 percent of portland cement by weight.
- E. Aggregate for Mortar: ASTM C 144.
  - 1. For mortar that is exposed to view, use washed aggregate consisting of natural sand or crushed stone.
  - 2. For joints less than 1/4 inch thick, use aggregate graded with 100 percent passing the No. 16 sieve.
  - 3. White-Mortar Aggregates: Natural white sand or crushed white stone.
  - 4. Colored-Mortar Aggregates: Natural sand or crushed stone of color necessary to produce required mortar color.
- F. Aggregate for Grout: ASTM C 404.
- G. Water-Repellent Admixture: Liquid water-repellent mortar admixture intended for use with CMUs containing integral water repellent by same manufacturer.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. ACM Chemistries; RainBloc for Mortar.
    - b. BASF Aktiengesellschaft; Rheopel Mortar Admixture.
    - c. Grace Construction Products, W. R. Grace & Co. Conn.; Dry-Block Mortar Admixture.
- H. Water: Potable.

## 2.6 REINFORCEMENT

- A. Uncoated Steel Reinforcing Bars: ASTM A 615 or ASTM A 996, Grade 60.
- B. Masonry Joint Reinforcement, General: ASTM A 951.
  - 1. Interior Walls: Mill- galvanized, carbon steel, ASTM A641/A641M.
  - 2. Exterior Walls: ASTM A580/ASTM A580M, Type 316 stainless steel.
  - 3. Wire Size for Side Rods: 0.148-inch diameter.
  - 4. Wire Size for Cross Rods: 0.148-inch diameter.
  - 5. Wire Size for Veneer Ties: 0.148-inch diameter.
  - 6. Spacing of Cross Rods, Tabs, and Cross Ties: Not more than 16 inches o.c.
  - 7. Provide in lengths of not less than 10 feet, with prefabricated corner and tee units.
- C. Masonry Joint Reinforcement for Single-Wythe Masonry: Either ladder or truss type with single pair of side rods:
  - 1. Wire size: 9 gauge side rods x 9 gauge cross rods.
  - 2. Type 316 stainless steel.
  - 3. Basis of Design: Hohmann & Barnard, Inc. 220 Ladder-Mesh, or 120 Truss-Mesh.

04 2000 - 7

- D. Masonry Joint Reinforcement for Multi-wythe Masonry:
  - 1. Adjustable (two-piece) type, either ladder or truss design, with one side rod at each face shell of backing wythe and with separate adjustable ties with pintle-and-eye connections having a maximum adjustment of 1-1/4 inches. Size ties to extend at least halfway through facing wythe but with at least 5/8-inch cover on outside face.

## 2.7 TIES AND ANCHORS

- A. Materials: Provide ties and anchors specified in this article that are made from materials that comply with the following unless otherwise indicated.
  - 1. Hot-Dip Galvanized, Carbon-Steel Wire: ASTM A 82; with ASTM A 153, Class B-2 coating.
  - 2. Stainless-Steel Wire: ASTM A 580/A 580M, Type 316.
  - 3. Steel Sheet, Galvanized after Fabrication: ASTM A 1008, Commercial Steel, with ASTM A 153, Class B coating.
  - 4. Steel Plates, Shapes, and Bars: ASTM A 36.
  - 5. Stainless-Steel Sheet: ASTM A 666, Type 316.
- B. Wire Ties, General: Unless otherwise indicated, size wire ties to extend at least halfway through veneer but with at least 5/8-inch (16-mm) cover on outside face. Outer ends of wires are bent 90 degrees and extend 2 inches (50 mm) parallel to face of veneer.
- C. Adjustable Anchors for Connecting to Structural Steel Framing: Provide anchors that allow vertical or horizontal adjustment but resist tension and compression forces perpendicular to plane of wall.
  - 1. Anchor Section for Welding to Steel Frame: Crimped 1/4-inch- diameter, stainless-steel wire.
    - a. Basis of Design: Hohmann & Barnard, Inc. 359-C-Weld-On Ties.
  - 2. Tie Section: Wire tie, sized to extend within 1 inch of masonry face, made from 0.187-inch-diameter, Type 316 stainless-steel wire.
    - a. Basis of Design: Hohmann & Barnard, Inc. Vee Byna-Tie or 301W Column Web Tie, as applicable.
- D. Adjustable Anchors for Connecting to Concrete: Provide anchors that allow vertical or horizontal adjustment but resist tension and compression forces perpendicular to plane of wall.
  - 1. Connector Section: Dovetail tabs for inserting into dovetail slots in concrete and attached to tie section; formed from 0.060-inch- thick, Type 316 stainless steel sheet.
  - 2. Tie Section: Triangular-shaped wire tie, sized to extend within 1 inch of masonry face, made from 0.187-inch- diameter, Type 316 stainless steel wire.
  - 3. Basis of Design: Hohmann & Barnard 305 Dovetail Slot and 315 Flexible Dovetail Brick Tie.
- E. Partition Top anchors: 0.105-inch- thick metal plate with 3/8-inch- diameter metal rod 6 inches long welded to plate and with closed-end plastic tube fitted over rod that allows rod to move in and out of tube. Fabricate from steel, hot-dip galvanized after fabrication.
- F. Rigid Anchors: Fabricate from steel bars 1-1/2 inches wide by 1/4 inch thick by 24 inches long, with ends turned up 2 inches or with cross pins unless otherwise indicated.
  - 1. Type 316 stainless steel.
  - 2. Basis of Design: Hohmann & Barnard, Inc, 305 Dovetail Slot and 315 Flexible Dovetail Brick Tie

## 2.8 MISCELLANEOUS ANCHORS

- A. Unit Type Inserts in Concrete: Cast-iron or malleable-iron wedge-type inserts.
- B. Anchor Bolts: Headed or L-shaped steel bolts complying with ASTM A 307, Grade A; with ASTM A 563 hex nuts and, where indicated, flat washers; hot-dip galvanized to comply with ASTM A 153, Class C; of dimensions indicated.
- C. Postinstalled Anchors: Chemical anchors.
  - 1. Load Capacity: Capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing according to ASTM E 488, conducted by a qualified independent testing agency.
  - 2. Material for Interior Locations: Carbon-steel components zinc plated to comply with ASTM B 633 or ASTM F 1941, Class Fe/Zn 5 unless otherwise indicated.
  - 3. Material for Exterior Locations and Where Stainless Steel Is Indicated: Alloy Group 2 stainless-steel bolts, ASTM F 593, and nuts, ASTM F 594.

## 2.9 EMBEDDED FLASHING MATERIALS

- A. Thru wall flashing system: A preassembled system that integrates a flashing membrane, drainage mat, weeps, and drip edge.
  - 1. Manufacturer: Mortar Net.
  - 2. Product: Total Flash System with the following options:
    - a. Membrane: 5 oz Copper Laminate 18".
    - b. Termination Bar: 1-1/4 x 16 gauge thick.
    - c. Drip Edge: 3 inch depth, 16 gauge thick with 3/8 inch hem.
    - d. Adhesive: As recommended by the manufacturer for the material specified.
    - e. Preformed end dams and corner boots as required for a complete installation.
- B. Metal Flashing: Provide metal flashing complying with SMACNA's "Architectural Sheet Metal Manual" and as follows:
  - 1. Stainless Steel: ASTM A 240, Type 316, 26 gauge thick.
  - 2. Copper: ASTM B 370, Temper H00, cold-rolled copper sheet, 16-oz./sq. ft. weight or 0.0216 inch thick or ASTM B 370, Temper H01, high-yield copper sheet, 12-oz./sq. ft. weight or 0.0162 inch thick.
  - 3. Fabricate continuous flashings in sections 96 inches long minimum, but not exceeding 12 feet. Provide splice plates at joints of formed, smooth metal flashing.
  - 4. Metal Drip Edge: Fabricate from Type 316 stainless steel. Extend at least 3 inches (76 mm) into wall and 1/2 inch (13 mm) out from wall, with outer edge bent down 30 degrees and hemmed.
    - a. Basis of Design: Hohmann & Barnard, Inc., Drip Plate, Standard DP.
  - 5. Fabricate through-wall metal flashing embedded in masonry from **stainless steel**, with ribs at 3-inch intervals along length of flashing to provide an integral mortar bond.
    - a. Products: Subject to compliance with requirements, provide one of the following:
      - 1) Cheney Flashing Company; Cheney 3-Way Flashing (Sawtooth).
      - 2) Keystone Flashing Company, Inc.; Keystone 3-Way Interlocking Thruwall Flashing.
      - 3) Sandell Manufacturing Co., Inc.; Mechanically Keyed Flashing.
  - 6. Fabricate through-wall flashing with snaplock receiver on exterior face where indicated to receive counterflashing.
  - 7. Fabricate through-wall flashing with drip edge unless otherwise indicated. Fabricate by extending flashing 1/2 inch out from wall, with outer edge bent down 30 degrees and hemmed.

- 04 2000 9
- 8. Fabricate through-wall flashing with sealant stop unless otherwise indicated. Fabricate by bending metal back on itself 3/4 inch at exterior face of wall and down into joint 1/4 inch to form a stop for retaining sealant backer rod.
- 9. Fabricate metal drip edges and sealant stops for ribbed metal flashing from plain metal flashing of same metal as ribbed flashing and extending at least 3 inches into wall with hemmed inner edge to receive ribbed flashing and form a hooked seam. Form hem on upper surface of metal so that completed seam will shed water.
- C. Flexible Flashing: Use the following unless otherwise indicated:
  - 1. Copper-Laminated Flashing: 5-oz./sq. ft. copper sheet bonded between 2 layers of glass-fiber cloth. Use only where flashing is fully concealed in masonry.
    - a. Basis of Design: Hohmann & Barnard, Inc., C-Fab Flashing.
- D. Application: Unless otherwise indicated, use the following:
  - 1. Where flashing is indicated to receive counterflashing, use metal flashing.
  - 2. Where flashing is indicated to be turned down at or beyond the wall face, use metal flashing.
  - 3. Where flashing is partly exposed and is indicated to terminate at the wall face, use metal flashing with a sealant stop or flexible flashing with a metal sealant stop.
  - 4. Where flashing is fully concealed, use metal flashing or flexible flashing.
- E. Solder and Sealants for Sheet Metal Flashings:
  - 1. Solder for Stainless Steel: ASTM B 32, Grade Sn60, with acid flux of type recommended by stainless-steel sheet manufacturer.
  - 2. Solder for Copper: ASTM B 32, Grade Sn50, 50 percent tin and 50 percent lead.
- F. Adhesives, Primers, and Seam Tapes for Flashings: Flashing manufacturer's standard products or products recommended by flashing manufacturer for bonding flashing sheets to each other and to substrates.

## 2.10 MISCELLANEOUS MASONRY ACCESSORIES

- A. Compressible Filler: Premolded filler strips complying with ASTM D 1056, Grade 2A1; compressible up to 35 percent; of width and thickness indicated; formulated from **neoprene**.
- B. Preformed Control-Joint Gaskets: Made from styrene-butadiene-rubber compound, complying with ASTM D 2000, Designation M2AA-805 or PVC, complying with ASTM D 2287, Type PVC-65406 and designed to fit standard sash block and to maintain lateral stability in masonry wall; size and configuration as indicated
- C. Bond-Breaker Strips: Asphalt-saturated felt complying with ASTM D 226/D 226M, Type I (No. 15 asphalt felt).
- D. Weep/Vent Products: Use one the following unless otherwise indicated:
  - 1. Round Plastic Weep/Vent Tubing: Medium-density polyethylene, 3/8-inch OD by 4 inches long, with cotton wick and stainless steel screen.
    - a. Basis of Design: Hohmann & Barnard, Inc., 341 Series Round Plastic Weep Holes, Model W/S.
  - 2. Cellular Plastic Weep/Vent: One-piece, flexible extrusion made from UV-resistant polypropylene copolymer, 1.5 inches height by 3/8 inches wide by 3.5 inches deep, with cotton wick and stainless steel screen.
    - a. Basis of Design: Hohmann & Barnard, Inc., 342 Rectangular Plastic Weep Holes, Model W/S.

14428.11

- E. Cavity Drainage Material: Free-draining mesh, made from polymer strands that will not degrade within the wall cavity.
  - 1. Basis of Design: Hohmann & Barnard, Inc., Mortar Trap.
- F. Reinforcing Bar Positioners: Wire units designed to fit into mortar bed joints spanning masonry unit cells and hold reinforcing bars in center of cells. Units are formed from 0.148-inch steel wire, Type 316 stainless steel. Provide units designed for number of bars indicated.
  - 1. Basis of Design: Hohmann & Barnard, Inc., RB or RB-Twin Rebar Positioner.

## 2.11 MASONRY-CELL INSULATION

- A. Loose-Granular Fill Insulation: Perlite complying with ASTM C 549, Type II (surface treated for water repellency and limited moisture absorption) or Type IV (surface treated for water repellency and to limit dust generation).
- B. Molded-Polystyrene Insulation Units: Rigid, cellular thermal insulation formed by the expansion of polystyrene-resin beads or granules in a closed mold to comply with ASTM C 578, Type I. Provide specially shaped units designed for installing in cores of masonry units.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Concrete Block Insulating Systems; Korfil.
    - b. Shelter Enterprises Inc.; Omni Core.

## 2.12 CAVITY-WALL INSULATION

- A. See Division 07.
- B. Adhesive: Type recommended by insulation board manufacturer for application indicated.

## 2.13 MASONRY CLEANERS

- A. Proprietary Acidic Cleaner: Manufacturer's standard-strength cleaner designed for removing mortar/grout stains, efflorescence, and other new construction stains from new masonry without discoloring or damaging masonry surfaces. Use product expressly approved for intended use by cleaner manufacturer and manufacturer of masonry units being cleaned.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Diedrich Technologies, Inc.
    - b. EaCo Chem, Inc.
    - c. ProSoCo, Inc.

## 2.14 MORTAR AND GROUT MIXES

- A. General: Do not use admixtures, including pigments, air-entraining agents, accelerators, retarders, water-repellent agents, antifreeze compounds, or other admixtures, unless otherwise indicated.
  - 1. Do not use calcium chloride in mortar or grout.
  - 2. Use portland cement-lime mortar unless otherwise indicated.
- B. Mortar for Unit Masonry: Comply with ASTM C 270, Proportion Specification. Provide the following types of mortar for applications stated unless another type is indicated.
  - 1. For masonry below grade or in contact with earth, use **Type S**.
  - 2. For reinforced masonry, use **Type S**.
  - 3. For mortar parge coats, use Type S.

14428.11

- 4. For exterior, above-grade, load-bearing and non-load-bearing walls and parapet walls; for interior load-bearing walls; for interior non-load-bearing partitions; and for other applications where another type is not indicated, use Type N.
- 5. For interior non-load-bearing partitions, Type O may be used instead of Type N.
- C. Pigmented Mortar: Select and proportion pigments with other ingredients to produce color required. Do not add pigments to colored cement products.
  - 1. Pigments shall not exceed 10 percent of portland cement by weight.
  - 2. Mix to match color of existing mortar.
  - 3. Application: Use pigmented mortar for exposed mortar joints with the following units:
    - a. Decorative CMUs.
    - b. Pre-faced CMUs.
    - c. Face brick.
    - d. Cast stone trim units.
- D. Colored-Aggregate Mortar: Produce required mortar color by using colored aggregates and natural color or white cement as necessary to produce required mortar color.
  - 1. Mix to match color of existing mortar.
  - 2. Application: Use colored aggregate mortar for exposed mortar joints with the following units:
    - a. Decorative CMUs.
    - b. Pre-faced CMUs.
    - c. Face brick.
    - d. Cast stone trim units.
- E. Grout for Unit Masonry: Comply with ASTM C 476.
  - 1. Use grout of type indicated or, if not otherwise indicated, of type (fine or coarse) that will comply with Table 1.15.1 in ACI 530.1/ASCE 6/TMS 602 for dimensions of grout spaces and pour height.
  - 2. Provide grout with a slump of 8 to 11 inches as measured according to ASTM C 143.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Before installation, examine rough-in and built-in construction for piping systems to verify actual locations of piping connections.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION, GENERAL

- A. Thickness: Build cavity and composite walls and other masonry construction to full thickness shown. Build single-wythe walls to actual widths of masonry units, using units of widths indicated.
- B. Build chases and recesses to accommodate items specified in this and other Sections.
- C. Leave openings for equipment to be installed before completing masonry. After installing equipment, complete masonry to match the construction immediately adjacent to opening.

- D. Use full-size units without cutting if possible. If cutting is required to provide a continuous pattern or to fit adjoining construction, cut units with motor-driven saws; provide clean, sharp, unchipped edges. Allow units to dry before laying unless wetting of units is specified. Install cut units with cut surfaces and, where possible, cut edges concealed.
- E. Select and arrange units for exposed unit masonry to produce a uniform blend of colors and textures.
  - 1. Mix units from several pallets or cubes as they are placed.
- F. Matching Existing Masonry: Match coursing, bonding, color, and texture of existing masonry.
- G. Wetting of Brick: Wet brick before laying if initial rate of absorption exceeds 30 g/30 sq. in. per minute when tested per ASTM C 67. Allow units to absorb water so they are damp but not wet at time of laying.

## 3.3 TOLERANCES

- A. Dimensions and Locations of Elements:
  - 1. For dimensions in cross section or elevation do not vary by more than plus 1/2 inch or minus 1/4 inch.
  - 2. For location of elements in plan do not vary from that indicated by more than plus or minus 1/2 inch.
  - 3. For location of elements in elevation do not vary from that indicated by more than plus or minus 1/4 inch in a story height or 1/2 inch total.

## B. Lines and Levels:

- 1. For bed joints and top surfaces of bearing walls do not vary from level by more than 1/4 inch in 10 feet, or 1/2 inch maximum.
- 2. For conspicuous horizontal lines, such as lintels, sills, parapets, and reveals, do not vary from level by more than 1/8 inch in 10 feet, 1/4 inch in 20 feet, or 1/2 inch maximum.
- 3. For vertical lines and surfaces do not vary from plumb by more than 1/4 inch in 10 feet, 3/8 inch in 20 feet, or 1/2 inch maximum.
- 4. For conspicuous vertical lines, such as external corners, door jambs, reveals, and expansion and control joints, do not vary from plumb by more than 1/8 inch in 10 feet, 1/4 inch in 20 feet, or 1/2 inch maximum.
- 5. For lines and surfaces do not vary from straight by more than 1/4 inch in 10 feet, 3/8 inch in 20 feet, or 1/2 inch maximum.
- 6. For vertical alignment of exposed head joints, do not vary from plumb by more than 1/4 inch in 10 feet, or 1/2 inch maximum.
- 7. For faces of adjacent exposed masonry units, do not vary from flush alignment by more than 1/16 inch except due to warpage of masonry units within tolerances specified for warpage of units.

## C. Joints:

- 1. For bed joints, do not vary from thickness indicated by more than plus or minus 1/8 inch, with a maximum thickness limited to 1/2 inch.
- 2. For exposed bed joints, do not vary from bed-joint thickness of adjacent courses by more than 1/8 inch.
- 3. For head and collar joints, do not vary from thickness indicated by more than plus 3/8 inch or minus 1/4 inch.
- 4. For exposed head joints, do not vary from thickness indicated by more than plus or minus 1/8 inch. Do not vary from adjacent bed-joint and head-joint thicknesses by more than 1/8 inch.

5. For exposed bed joints and head joints of stacked bond, do not vary from a straight line by more than 1/16 inch from one masonry unit to the next.

#### 3.4 LAYING MASONRY WALLS

- A. Lay out walls in advance for accurate spacing of surface bond patterns with uniform joint thicknesses and for accurate location of openings, movement-type joints, returns, and offsets. Avoid using less-than-half-size units, particularly at corners, jambs, and, where possible, at other locations.
- B. Bond Pattern for Exposed Masonry: Unless otherwise indicated, lay exposed masonry in running bond; do not use units with less than nominal 4-inch horizontal face dimensions at corners or jambs. Face brick at exterior wall shall match coursing of existing brick.
- C. Lay concealed masonry with all units in a wythe in running bond or bonded by lapping not less than 4-inches. Bond and interlock each course of each wythe at corners. Do not use units with less than nominal 4-inch horizontal face dimensions at corners or jambs.
- D. Stopping and Resuming Work: Stop work by racking back units in each course from those in course below; do not tooth. When resuming work, clean masonry surfaces that are to receive mortar, remove loose masonry units and mortar, and wet brick if required before laying fresh masonry.
- E. Built-in Work: As construction progresses, build in items specified in this and other Sections. Fill in solidly with masonry around built-in items.
- F. Fill space between steel frames and masonry solidly with mortar unless otherwise indicated.
- G. Where built-in items are to be embedded in cores of hollow masonry units, place a layer of metal lath, wire mesh, or plastic mesh in the joint below and rod mortar or grout into core.
- H. Fill cores in hollow CMUs with grout 24 inches under bearing plates, beams, lintels, posts, and similar items unless otherwise indicated.
- I. Build non-load-bearing interior partitions full height of story to underside of solid floor or roof structure above unless otherwise indicated.
  - 1. At fire-rated partitions, treat joint between top of partition and underside of structure above to comply with Division 07 Section "Fire-Resistive Joint Systems."

## 3.5 MORTAR BEDDING AND JOINTING

- A. Lay hollow CMUs as follows:
  - 1. With face shells fully bedded in mortar and with head joints of depth equal to bed joints.
  - 2. With webs fully bedded in mortar in all courses of piers, columns, and pilasters.
  - 3. With webs fully bedded in mortar in grouted masonry, including starting course on footings.
  - 4. With entire units, including areas under cells, fully bedded in mortar at starting course on footings where cells are not grouted.
- B. Lay solid masonry units with completely filled bed and head joints; butter ends with sufficient mortar to fill head joints and shove into place. Do not deeply furrow bed joints or slush head joints.

04 2000 - 14

- C. Set cast-stone trim units in full bed of mortar with full vertical joints. Fill dowel, anchor, and similar holes.
  - 1. Clean soiled surfaces with fiber brush and soap powder and rinse thoroughly with clear water.
  - 2. Allow cleaned surfaces to dry before setting.
  - 3. Wet joint surfaces thoroughly before applying mortar.
- D. Tool exposed joints slightly concave when thumbprint hard, using a jointer larger than joint thickness unless otherwise indicated.
- E. Cut joints flush for masonry walls to receive plaster or other direct-applied finishes (other than paint) unless otherwise indicated.

## 3.6 CAVITY WALLS

- A. Bond wythes of cavity walls together using one of the following methods:
  - 1. Masonry Veneer Anchors: Comply with requirements for anchoring masonry veneers.
- B. Keep cavities clean of mortar droppings and other materials during construction. Bevel beds away from cavity, to minimize mortar protrusions into cavity. Do not attempt to trowel or remove mortar fins protruding into cavity.
- C. Installing Cavity-Wall Insulation: Place small dabs of adhesive, spaced approximately 12 inches o.c. both ways, on inside face of insulation boards, or attach with plastic fasteners designed for this purpose. Fit courses of insulation between wall ties and other confining obstructions in cavity, with edges butted tightly both ways. Press units firmly against inside wythe of masonry or other construction as shown. See Section 07 2729 for additional information.
  - 1. Fill cracks and open gaps in insulation with crack sealer compatible with insulation and masonry.

## 3.7 MASONRY-CELL INSULATION

- A. Pour granular insulation into cavities to fill void spaces. Maintain inspection ports to show presence of insulation at extremities of each pour area. Close the ports after filling has been confirmed. Limit the fall of insulation to 1 story high, but not more than 20 feet.
- B. Install molded-polystyrene insulation units into masonry unit cells before laying units.

## 3.8 MASONRY JOINT REINFORCEMENT

- A. General: Install entire length of longitudinal side rods in mortar with a minimum cover of 5/8 inch on exterior side of walls, 1/2 inch elsewhere. Lap reinforcement a minimum of 6 inches.
  - 1. Space reinforcement not more than 16 inches o.c.
  - 2. Space reinforcement not more than 8 inches o.c. in foundation walls and parapet walls.
  - 3. Provide reinforcement not more than 8 inches above and below wall openings and extending 12 inches beyond openings.
- B. Interrupt joint reinforcement at control and expansion joints unless otherwise indicated.
- C. Provide continuity at wall intersections by using prefabricated T-shaped units.
- D. Provide continuity at corners by using prefabricated L-shaped units.

E. Cut and bend reinforcing units as directed by manufacturer for continuity at [corners,] returns, offsets, column fireproofing, pipe enclosures, and other special conditions.

## 3.9 ANCHORING MASONRY TO STRUCTURAL STEEL AND CONCRETE

- A. Anchor masonry to structural steel and concrete where masonry abuts or faces structural steel or concrete to comply with the following:
  - 1. Provide an open space not less than 1/2 inch wide between masonry and structural steel or concrete unless otherwise indicated. Keep open space free of mortar and other rigid materials.
  - 2. Anchor masonry with anchors embedded in masonry joints and attached to structure.
  - 3. Space anchors as indicated, but not more than 24 inches o.c. vertically and 36 inches o.c. horizontally.

## 3.10 ANCHORING MASONRY VENEERS

- A. Anchor masonry veneers to **concrete and masonry backup** with masonry-veneer anchors to comply with the following requirements:
  - 1. Embed **connector sections and continuous wire** in masonry joints. Provide not less than 2 inches of air space between back of masonry veneer and face of back-up wythe.
  - 2. Locate anchor sections to allow maximum vertical differential movement of ties up and down
  - 3. Space anchors as indicated, but not more than 16 inches o.c. vertically and 24 inches o.c. horizontally, with not less than 1 anchor for each 2.67 sq. ft. of wall area. Install additional anchors within 12 inches of openings and at intervals, not exceeding 36 inches, around perimeter.

# 3.11 CONTROL AND EXPANSION JOINTS

- A. General: Install control and expansion joint materials in unit masonry as masonry progresses. Do not allow materials to span control and expansion joints without provision to allow for inplane wall or partition movement.
- B. Form control joints in concrete masonry using one of the following methods:
  - 1. Fit bond-breaker strips into hollow contour in ends of CMUs on one side of control joint. Fill resultant core with grout and rake out joints in exposed faces for application of sealant
  - 2. Install preformed control-joint gaskets designed to fit standard sash block
- C. Form expansion joints in brick as follows:
  - 1. Form open joint full depth of brick wythe and of width indicated, but not less than 3/8 inch for installation of sealant and backer rod specified in Division 07 Section "Joint Sealants."
- D. Provide horizontal, pressure-relieving joints by either leaving an air space or inserting a compressible filler of width required for installing sealant and backer rod specified in Division 07 Section "Joint Sealants," but not less than 3/8 inch.
  - 1. Locate horizontal, pressure-relieving joints beneath shelf angles supporting masonry.

## 3.12 LINTELS

A. Install steel lintels where indicated.

04 2000 - 16

- B. Provide **concrete or masonry** lintels where shown and where openings of more than 12 inches for brick-size units and 24 inches for block-size units are shown without structural steel or other supporting lintels.
- C. Provide minimum bearing of 8 inches at each jamb unless otherwise indicated.

## 3.13 FLASHING, WEEP HOLES, CAVITY DRAINAGE, AND VENTS

- A. General: Install embedded flashing and weep holes in masonry at shelf angles, lintels, ledges, other obstructions to downward flow of water in wall, and where indicated. Install vents at shelf angles, ledges, and other obstructions to upward flow of air in cavities, and where indicated.
- B. Install flashing as follows unless otherwise indicated:
  - 1. Prepare masonry surfaces so they are smooth and free from projections that could puncture flashing. Where flashing is within mortar joint, place through-wall flashing on sloping bed of mortar and cover with mortar. Before covering with mortar, seal penetrations in flashing with adhesive, sealant, or tape as recommended by flashing manufacturer.
  - 2. At multi-wythe masonry walls, including cavity walls, extend flashing through outer wythe, turned up a minimum of 8 inches, and through inner wythe to within 1/2 inch of the interior face of wall in exposed masonry. Where interior face of wall is to receive furring or framing, carry flashing completely through inner wythe and turn flashing up approximately 2 inches on interior face.
  - 3. At lintels and shelf angles, extend flashing a minimum of 6 inches into masonry at each end. At heads and sills, extend flashing 6 inches at ends and turn up not less than 2 inches to form end dams.
  - 4. Interlock end joints of ribbed sheet metal flashing by overlapping ribs not less than 1-1/2 inches or as recommended by flashing manufacturer, and seal lap with elastomeric sealant complying with requirements in Division 07 Section "Joint Sealants" for application indicated.
  - 5. Install metal **sealant stops** with ribbed sheet metal flashing by interlocking hemmed edges to form hooked seam. Seal seam with elastomeric sealant complying with requirements in Division 07 Section "Joint Sealants" for application indicated.
  - 6. Install metal flashing termination beneath flexible flashing at exterior face of wall. Stop flexible flashing 1/2 inch back from outside face of wall and adhere flexible flashing to top of metal flashing termination.
  - 7. Cut flexible flashing off flush with face of wall after masonry wall construction is completed.
- C. Install single-wythe CMU flashing system in bed joints of CMU walls where indicated to comply with manufacturer's written instructions. Install CMU cell pans with upturned edges located below face shells and webs of CMUs above and with weep spouts aligned with face of wall. Install CMU web covers so that they cover upturned edges of CMU cell pans at CMU webs and extend from face shell to face shell.
- D. Install reglets and nailers for flashing and other related construction where they are shown to be built into masonry.
- E. Install weep holes in head joints in exterior wythes of first course of masonry immediately above embedded flashing and as follows:
  - 1. Use specified weep/vent products.
  - 2. Space weep holes 24 inches o.c. unless otherwise indicated.

- 04 2000 17
- F. Place cavity drainage material in cavities to comply with configuration requirements for cavity drainage material in "Miscellaneous Masonry Accessories" Article.
- G. Install vents in head joints in exterior wythes at spacing indicated. Use specified weep/vent products or open head joints to form vents.

#### REINFORCED UNIT MASONRY INSTALLATION 3.14

- Placing Reinforcement: Comply with requirements in ACI 530.1/ASCE 6/TMS 602. A.
- B. Grouting: Do not place grout until entire height of masonry to be grouted has attained enough strength to resist grout pressure.
  - Comply with requirements in ACI 530.1/ASCE 6/TMS 602 for cleanouts and for grout placement, including minimum grout space and maximum pour height.
  - 2. Limit height of vertical grout pours to not more than 60 inches.

#### 3.15 FIELD QUALITY CONTROL

- Testing and Inspecting: Owner will engage special inspectors to perform tests and inspections A. and prepare reports. Allow inspectors access to scaffolding and work areas, as needed to perform tests and inspections. Retesting of materials that fail to comply with specified requirements shall be done at Contractor's expense.
- B. Inspections: Special inspections shall be provided according to the Building Code of New York State.
  - Begin masonry construction only after inspectors have verified proportions of site-1. prepared mortar.
  - Place grout only after inspectors have verified compliance of grout spaces and of grades, 2. sizes, and locations of reinforcement.
  - Place grout only after inspectors have verified proportions of site-prepared grout. 3.
- C. Testing Frequency: One set of tests for each 5000 sq. ft. of wall area or portion thereof.
- D. Clay Masonry Unit Test: For each type of unit provided, according to ASTM C 67 for compressive strength.
- E. Concrete Masonry Unit Test: For each type of unit provided, according to ASTM C 140 for compressive strength.
- F. Mortar Aggregate Ratio Test (Proportion Specification): For each mix provided, according to ASTM C 780.
- G. Mortar Test (Property Specification): For each mix provided, according to ASTM C 780. Test mortar for mortar air content and compressive strength.
- Н. Grout Test (Compressive Strength): For each mix provided, according to ASTM C 1019.
- I. Prism Test: For each type of construction provided, according to ASTM C 1314 at 7 days and at 28 days.

## 3.16 PARGING

- A. Where indicated, parge exterior faces of below-grade masonry walls in 2 uniform coats to a total thickness of 3/4 inch. Dampen wall before applying first coat and scarify first coat to ensure full bond to subsequent coat.
- B. Use a steel-trowel finish to produce a smooth, flat, dense surface with a maximum surface variation of 1/8 inch per foot. Form a wash at top of parging and a cove at bottom.
- C. Damp-cure parging for at least 24 hours and protect parging until cured.

# 3.17 REPAIRING, POINTING, AND CLEANING

- A. Remove and replace masonry units that are loose, chipped, broken, stained, or otherwise damaged or that do not match adjoining units. Install new units to match adjoining units; install in fresh mortar, pointed to eliminate evidence of replacement.
- B. Pointing: During the tooling of joints, enlarge voids and holes, except weep holes, and completely fill with mortar. Point up joints, including corners, openings, and adjacent construction, to provide a neat, uniform appearance. Prepare joints for sealant application, where indicated.
- C. In-Progress Cleaning: Clean unit masonry as work progresses by dry brushing to remove mortar fins and smears before tooling joints.
- D. Final Cleaning: After mortar is thoroughly set and cured, clean exposed masonry as follows:
  - 1. Remove large mortar particles by hand with wooden paddles and nonmetallic scrape hoes or chisels.
  - 2. Protect adjacent stone and nonmasonry surfaces from contact with cleaner by covering them with liquid strippable masking agent or polyethylene film and waterproof masking tape.
  - 3. Wet wall surfaces with water before applying cleaners; remove cleaners promptly by rinsing surfaces thoroughly with clear water.
  - 4. Clean brick by bucket-and-brush hand-cleaning method described in BIA Technical Notes 20.
  - 5. Clean masonry with a proprietary acidic cleaner applied according to manufacturer's written instructions.
  - 6. Clean concrete masonry by cleaning method indicated in NCMA TEK 8-2A applicable to type of stain on exposed surfaces.

# 3.18 FIELD QUALITY CONTROL

- A. Inspecting: Owner will engage an inspector to perform inspections and prepare reports for thru wall flashing installation. Allow inspectors access to scaffolding and work areas, as needed to perform inspections.
- B. All thru wall flashing installed in this project shall be water tested to verify completed installations will perform as designed. These tests shall be witnessed and approved by the Owner's representative.
  - 1. Testing will require that water be run into the brick cavity for a period of one hour to verify that no leaks exist. End dams are required at the end of all continuous through wall flashings so the water is directed to the weeps.

14428.11

# 3.19 MASONRY WASTE DISPOSAL

- A. Salvageable Materials: Unless otherwise indicated, excess masonry materials are Contractor's property. At completion of unit masonry work, remove from Project site.
- B. Excess Masonry Waste: Remove masonry waste and legally dispose of off Owner's property.

END OF SECTION 04 2000

## SECTION 05 1200 - STRUCTURAL STEEL FRAMING

## PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. This Section includes the following:
  - 1. Structural steel.
  - 2. Grout.

## 1.2 **DEFINITIONS**

A. Structural Steel: Elements of structural-steel frame, as classified by AISC's "Code of Standard Practice for Steel Buildings and Bridges," that support design loads.

# 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication of structural-steel components.
  - 1. Include details of cuts, connections, splices, camber, holes, and other pertinent data.
  - 2. Include embedment drawings.
  - 3. Indicate welds by standard AWS symbols, distinguishing between shop and field welds, and show size, length, and type of each weld.
  - 4. Indicate type, size, and length of bolts, distinguishing between shop and field bolts.
  - 5. For structural-steel connections indicated to comply with design loads, include structural analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
- C. Welding certificates.
- D. Qualification Data: For Installer, fabricator, professional engineer and testing agency.
- E. Mill and Product Test Reports: Signed by manufacturers certifying that the following products comply with requirements:
  - 1. Structural steel including chemical and physical properties.
  - 2. Bolts, nuts, and washers including mechanical properties and chemical analysis.
  - 3. Direct-tension indicators.
  - 4. Tension-control, high-strength bolt-nut-washer assemblies.
  - 5. Shop primers.
  - 6. Nonshrink grout.
- F. Source quality-control test reports.

## 1.4 **QUALITY ASSURANCE**

- A. Installer Qualifications: A qualified installer who participates in the AISC Quality Certification Program and is designated an AISC-Certified Erector, Category ACSE [or CSE].
- B. Fabricator Qualifications: A qualified fabricator who participates in the AISC Quality Certification Program and is designated an AISC-Certified Plant, Category STD.
- C. Fabricators certified under the AISC Quality Certification Program in a category of structural steel work appropriate to the work defined are exempt from Special Inspection requirements for "On premises inspection of fabricated items", and "Review each Fabricator's quality control procedures" as listed in Division 01 Section "Code Required Special Inspections and Procedures." Non-AISC fabricators shall be subject to these special inspections, and shall be responsible for the inspection costs associated with these inspections.

- D. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1, "Structural Welding Code--Steel."
- E. Comply with applicable provisions of the following specifications and documents:
  - 1. AISC's "Code of Standard Practice for Steel Buildings and Bridges."
  - 2. AISC's "Seismic Provisions for Structural Steel Buildings" and "Supplement No. 2."
  - 3. AISC's "Specification for Structural Steel Buildings--Allowable Stress Design and Plastic Design."
  - 4. AISC's "Specification for the Design of Steel Hollow Structural Sections."
  - 5. AISC's "Specification for Allowable Stress Design of Single-Angle Members."
  - 6. RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."
- F. Preinstallation Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination."
- G. Survey of existing conditions,
- H. Field quality-control and special inspection reports.

# 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Store materials to permit easy access for inspection and identification. Keep steel members off ground and spaced by using pallets, dunnage, or other supports and spacers. Protect steel members and packaged materials from erosion and deterioration.
  - 1. Store fasteners in a protected place. Clean and re-lubricate bolts and nuts that become dry or rusty before use.
  - 2. Do not store materials on structure in a manner that might cause distortion, damage, or overload to members or supporting structures. Repair or replace damaged materials or structures as directed.

## 1.6 COORDINATION

- A. Furnish anchorage items to be embedded in or attached to other construction without delaying the Work. Provide setting diagrams, sheet metal templates, instructions, and directions for installation.
  - 1. Do not store materials on structure in a manner that might cause distortion, damage, or overload to members or supporting structures. Repairs or replace damaged materials or structures as directed.
- B. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- C. Coordinate installation on anchorage items to be embedded in or attached to other construction without delaying the Work. Provide setting diagrams, sheet metal templates, instructions, and directions for installation.

## **PART 2 - PRODUCTS**

# 2.1 PERFORMANCE REQUIREMENTS

- A. Connections: Provide details of connections required by the Contract Documents to be selected or completed by structural-steel fabricator to withstand ASD-service loads indicated and comply with other information and restrictions indicated.
  - 1. Select and complete connections using schematic details indicated and AISC's "Manual of Steel Construction 13<sup>th</sup> Edition, Allowable Stress Design," Part 9.

05 1200 - 3

2. Engineering Responsibility: Fabricator's responsibilities include using a qualified professional engineer to prepare structural analysis data for structural-steel connections.

## 2.2 STRUCTURAL-STEEL MATERIALS

- A. W-Shapes: ASTM A 992 [and ASTM A 572, Grade 50].
- B. Channels, Angles-Shapes: ASTM A 36 [and ASTM A 572, Grade 50].
- C. Plate and Bar: ASTM A 36 [and ASTM A 572, Grade 50].
- D. Cold-Formed Hollow Structural Sections: ASTM A 500, Grade B, structural tubing.
- E. Steel Pipe: ASTM A 53, Type E or S, Grade B.
  - 1. Weight Class: Standard.
  - 2. Finish: Black, except where indicated to be galvanized.
- F. Welding Electrodes: Comply with AWS requirements.

## 2.3 BOLTS, CONNECTORS, AND ANCHORS

- A. High-Strength Bolts, Nuts, and Washers: ASTM A 325, Type 1, heavy hex steel structural bolts; ASTM A 563, Grade C, heavy hex carbon-steel nuts; and ASTM F 436, Type 1, hardened carbon-steel washers; all with plain finish.
  - 1. Direct-Tension Indicators: ASTM F 959, Type 325 compressible-washer type with plain finish.
- B. Unheaded Anchor Rods: ASTM A 36.
  - 1. Configuration: Straight.
  - 2. Nuts: ASTM A 563 heavy-hex carbon steel.
  - 3. Plate Washers: ASTM A 36 carbon steel.
  - 4. Washers: ASTM F 436, Type 1, hardened carbon steel.
  - 5. Finish: Plain.
- C. Threaded Rods: ASTM A 36.
  - 1. Nuts: ASTM A 563 heavy-hex carbon steel.
  - 2. Washers: ASTM F 436 Type 1, hardened carbon steel.
  - 3. Finish: Plain.
- D. Clevises and Turnbuckles: Made from cold-finished carbon steel bars, ASTM A 108, Grade 1035.
- E. Eye Bolts and Nuts: Made from cold-finished carbon steel bars, ASTM A 108, Grade 1030.
- F. Sleeve Nuts: Made from cold-finished carbon steel bars, ASTM A 108, Grade 1018.

## 2.4 PRIMER

- A. Primer: Comply with Division 09 Sections "Exterior Painting," "Interior Painting," and "High Performance Painting."
- B. Primer: Fabricator's standard lead- and chromate-free, nonasphaltic, rust-inhibiting primer complying with MPI#79 and compatible topcoat.
- C. Galvanizing Repair Paint: MPI#18, MPI#19, or SSPC-Paint 20.

## 2.5 GROUT

A. Nonmetallic, Shrinkage-Resistant Grout: ASTM C 1107, factory-packaged, nonmetallic aggregate grout, noncorrosive, nonstaining, mixed with water to consistency suitable for application and a 30-minute working time.

## 2.6 FABRICATION

- A. Structural Steel: Fabricate and assemble in shop to greatest extent possible. Fabricate according to AISC's "Code of Standard Practice for Steel Buildings and Bridges" and AISC's "Specification for Structural Steel Buildings--Allowable Stress Design and Plastic Design."
  - 1. Camber structural-steel members where indicated.
  - 2. Identify high-strength structural steel according to ASTM A 6 and maintain markings until structural steel has been erected.
  - 3. Mark and match-mark materials for field assembly.
  - 4. Complete structural-steel assemblies, including welding of units, before starting shop-priming operations.
  - 5. Fabricate beam with rolling camber up.
- B. Thermal Cutting: Perform thermal cutting by machine to greatest extent possible. Plane thermally cut edges to be welded to comply with requirements in AWS D1.1.B
- C. Bolt Holes: Cut, drill, or punch standard bolt holes perpendicular to metal surfaces.
  - 1. Do not thermally cut bolt holes or enlarge holes by burning.
- D. Finishing: Accurately finish ends of columns and other members transmitting bearing loads.
- E. Cleaning: Clean and prepare steel surfaces that are to remain unpainted according to SSPC-SP 3, "Power Tool Cleaning.
- F. Holes: Provide holes required for securing other work to structural steel and for passage of other work through steel framing members.
  - 1. Cut, drill, or punch holes perpendicular to steel surfaces.
  - 2. Base-Plate Holes: Cut, drill, mechanically thermal cut, or punch holes perpendicular to steel surfaces.
  - 3. Weld threaded nuts to framing and other specialty items indicated to receive other work.

## 2.7 SHOP CONNECTIONS

- A. High-Strength Bolts: Shop install high-strength bolts according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts" for type of bolt and type of joint specified.
  - 1. Joint Type: Snug tightened, except slip critical at wind frames and moment connections.
- B. Weld Connections: Comply with AWS D1.1 for welding procedure specifications, tolerances, appearance, and quality of welds and for methods used in correcting welding work.
  - 1. Remove backing bars or runoff tabs, back gouge, and grind steel smooth.
  - 2. Assemble and weld built-up sections by methods that will maintain true alignment of axes without exceeding tolerances of AISC's "Code of Standard Practice for Steel Buildings and Bridges" for mill material.

## 2.8 SHOP PRIMING

- A. Shop prime steel surfaces except the following:
  - 1. Surfaces embedded in concrete or mortar. Extend priming of partially embedded members to a depth of 2 inches.
  - 2. Surfaces to be field welded.
  - 3. Surfaces to receive sprayed fire-resistive materials (Applied fireproofing).
  - 4. Galvanized surfaces.
  - 5. Machined or milled surfaces.
- B. Surface Preparation: Clean surfaces to be painted. Remove loose rust and mill scale and spatter, slag, or flux deposits. Prepare surfaces according to the following specifications and standards:

1. SSPC-SP 3, "Power Tool Cleaning."

14428.11

- C. Priming: Immediately after surface preparation, apply primer according to manufacturer's written instructions and at rate recommended by SSPC to provide a dry film thickness of not less than 1.5 mils and an average thickness of 2.0 mils. Use priming methods that result in full coverage of joints, corners, edges, and exposed surfaces.
  - 1. Stripe paint corners, crevices, bolts, welds, and sharp edges.
  - 2. Apply two coats of shop paint to inaccessible surfaces after assembly or erection. Change color of second coat to distinguish it from first.
- D. Painting: Prepare steel and apply a one-coat, nonasphaltic primer complying with SSPCPS Guide 7.00, "Painting System Guide 7.00: Guide for Selecting One-Coat Shop Painting Systems," to provide a dry film thickness of not less than 1.5 mils.

## 2.9 GALVANIZING

- A. Hot-Dip Galvanized Finish: Apply zinc coating by the hot-dip process to structural steel according to ASTM A 123.
  - 1. Fill vent and drain holes by plugging with zinc solder and filing off smooth.
  - 2. Galvanize lintels and shelf angles attached to structural-steel frame and located in exterior walls.

# 2.10 SOURCE QUALITY CONTROL

- A. Owner will engage an independent testing and inspecting agency to perform shop tests and inspections and prepare test reports.
  - 1. Provide testing agency with access to places where structural-steel work is being fabricated or produced to perform tests and inspections.
  - 2. AISC Quality-Certified Fabricator: Owner will waive testing and inspection.
- B. Correct deficiencies in Work that test reports and inspections indicate does not comply with the Contract Documents.
- C. Bolted Connections: Shop-bolted connections will be tested and inspected according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."

## **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Verify elevations of concrete- and masonry-bearing surfaces and locations of anchor rods, bearing plates, and other embedments, with steel erector present, for compliance with requirements.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Provide temporary shores, guys, braces, and other supports during erection to keep structural steel secure, plumb, and in alignment against temporary construction loads and loads equal in intensity to design loads. Remove temporary supports when permanent structural steel, connections, and bracing are in place, unless otherwise indicated.
  - 1. Do not remove temporary shoring supporting composite deck construction until cast-inplace concrete has attained its design compressive strength.

14428.11

## 3.3 ERECTION

- A. Set structural steel accurately in locations and to elevations indicated and according to AISC's "Code of Standard Practice for Steel Buildings and Bridges" and "Specification for Structural Steel Buildings--Allowable Stress Design and Plastic Design."
- B. Base and Bearing Plates: Clean concrete- and masonry-bearing surfaces of bond-reducing materials, and roughen surfaces prior to setting base and bearing plates. Clean bottom surface of base and bearing plates.
  - 1. Set base and bearing plates for structural members on wedges, shims, or setting nuts as required.
  - 2. Weld plate washers to top of base plate.
  - 3. Snug-tighten anchor rods after supported members have been positioned and plumbed. Do not remove wedges or shims but, if protruding, cut off flush with edge of base or bearing plate before packing with grout.
  - 4. Promptly pack grout solidly between bearing surfaces and base or bearing plates so no voids remain. Neatly finish exposed surfaces; protect grout and allow to cure. Comply with manufacturer's written installation instructions for shrinkage-resistant grouts.
- C. Maintain erection tolerances of structural steel within AISC's "Code of Standard Practice for Steel Buildings and Bridges."
- D. Align and adjust various members forming part of complete frame or structure before permanently fastening. Before assembly, clean bearing surfaces and other surfaces that will be in permanent contact with members. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
  - 1. Level and plumb individual members of structure.
  - 2. Make allowances for difference between temperature at time of erection and mean temperature when structure is completed and in service.
- E. Splice members only where indicated.
- F. Do not use thermal cutting during erection unless approved by Architect. Finish thermally cut sections within smoothness limits in AWS D1.1.
- G. Do not enlarge unfair holes in members by burning or using drift pins. Ream holes that must be enlarged to admit bolts.
- H. Shear Connectors: Prepare steel surfaces as recommended by manufacturer of shear connectors. Use automatic end welding of headed-stud shear connectors according to AWS D1.1 and manufacturer's written instructions.

## 3.4 FIELD CONNECTIONS

- A. High-Strength Bolts: Install high-strength bolts according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts" for type of bolt and type of joint specified.
  - 1. Joint Type: Snug tightened, except slip critical for wind frames and moment connections.
- B. Weld Connections: Comply with AWS D1.1 for welding procedure specifications, tolerances, appearance, and quality of welds and for methods used in correcting welding work.
  - 1. Comply with AISC's "Code of Standard Practice for Steel Buildings and Bridges" and "Specification for Structural Steel Buildings--Allowable Stress Design and Plastic Design" for bearing, adequacy of temporary connections, alignment, and removal of paint on surfaces adjacent to field welds.
  - 2. Remove backing bars or runoff tabs, back gouge, and grind steel smooth.

3. Assemble and weld built-up sections by methods that will maintain true alignment of axes without exceeding tolerances of AISC's "Code of Standard Practice for Steel Buildings and Bridges" for mill material.

# 3.5 FIELD QUALITY CONTROL

- A. Special Inspections: Owner will engage a qualified special inspector to perform the following special inspections:
  - 1. Verify structural –steel materials and inspect steel frame joint details.
  - 2. Verify weld materials and inspect welds.
  - 3. Verify connection materials and inspect high-strength bolted connections.
- B. Testing Agency: Owner will engage a qualified independent testing and inspecting agency to inspect field welds and high-strength bolted connections.
- C. Bolted Connections: Bolted connections will be tested and inspected according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."
- D. Welded Connections: Field welds will be visually inspected according to AWS D1.1.
  - 1. In addition to visual inspection, field welds will be tested according to AWS D1.1 and the following inspection procedures, at testing agency's option:
    - a. Ultrasonic Inspection: ASTM E 164.
- E. In addition to visual inspection, test and inspect field-welded shear connectors according to requirements in AWS D1.1 for stud welding and as follows:
  - 1. Perform bend tests if visual inspections reveal either a less-than- continuous 360-degree flash or welding repairs to any shear connector.
  - 2. Conduct tests on additional shear connectors if weld fracture occurs on shear connectors already tested, according to requirements in AWS D1.1.
- F. Correct deficiencies in Work that test reports and inspections indicate does not comply with the Contract Documents.

## 3.6 REPAIRS AND PROTECTION

- A. Galvanized Surfaces: Clean areas where galvanizing is damaged or missing and repair galvanizing to comply with ASTM A 780.
- B. Touchup Painting: After installation, promptly clean, prepare, and prime or reprime field connections, rust spots, and abraded surfaces of prime-painted joists and accessories, bearing plates, and abutting structural steel.
  - 1. Clean and prepare surfaces by SSPC-SP 2 hand-tool cleaning or SSPC-SP 3 power-tool cleaning.
  - 2. Apply a compatible primer of same type as shop primer used on adjacent surfaces.
- C. Touchup Painting: Cleaning and touchup painting are specified in Division 09 Sections "Exterior Painting" and "Interior Painting".
- D. Touchup Priming: Cleaning and touchup priming are specified in Division 09 Sections "High Performance Coatings," "Exterior Painting," and "Interior Painting."

**END OF SECTION 051200** 

## SECTION 05 2100 - STEEL JOIST FRAMING

## PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. This Section includes the following:
  - 1. K-series steel joists.
  - 2. Joist girders.
  - 3. Joist accessories.

## 1.2 **DEFINITIONS**

A. SJI "Specifications": Steel Joist Institute's "Standard Specifications, Load Tables and Weight Tables for Steel Joists and Joist Girders."

# 1.3 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide special joists and connections capable of withstanding design loads indicated.
- B. Design special joists to withstand design loads with live load deflections no greater than the following:
  - 1. Roof Joists: Vertical deflection of 1/240 of the span.
- C. Wind Uplift Loads:
  - 1. Eaves and Overhangs: 35 psf minimum.
  - 2. Roof Field: 20 psf minimum.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of joist, accessory, and product indicated.
- B. Shop Drawings: Show layout, designation, number, type, location, and spacing of joists. Include joining and anchorage details, bracing, bridging, joist accessories; splice and connection locations and details; and attachments to other construction.
  - 1. Indicate locations and details of bearing plates to be embedded in other construction.
  - 2. Comprehensive engineering analysis of special joists signed and sealed by the qualified professional engineer responsible for its preparation.
- C. Welding certificates.
- D. Manufacturer Certificates: Signed by manufacturers certifying that joists comply with requirements.
- E. Mill Certificates: Signed by bolt manufacturers certifying that bolts comply with requirements.
- F. Qualification Data: For manufacturer and professional engineer.
- G. Field quality-control test and inspection reports.
- H. Research/Evaluation Reports: For joists.

## 1.5 QUALITY ASSURANCE

- A. Manufacturer Qualifications: A manufacturer certified by SJI to manufacture joists complying with applicable standard specifications and load tables of SJI "Specifications."
  - 1. Manufacturer's responsibilities include providing professional engineering services for designing special joists to comply with performance requirements.
- B. SJI Specifications: Comply with standard specifications in SJI's "Specifications" that are applicable to types of joists indicated.

14428.11

05 2100 - 2

C. Welding: Qualify field-welding procedures and personnel according to AWS D1.1, "Structural Welding Code - Steel."

## 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle joists as recommended in SJI's "Specifications."
- B. Protect joists from corrosion, deformation, and other damage during delivery, storage, and handling.

## 1.7 SEQUENCING

A. Deliver steel bearing plates to be built into cast-in-place concrete and masonry construction.

## PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide special joists and connections capable of withstanding design loads indicated.
  - 1. Use ASD; data are given at service-load level.
  - 2. Design special joists to withstand design loads with live-load deflections no greater than the following:
    - a. Roof Joists: Vertical deflection of 1/360 of the span.

## 2.2 MATERIALS

- A. Steel: Comply with SJI's "Specifications" for web and steel-angle chord members.
- B. Steel Bearing Plates: ASTM A 36.
- C. Carbon-Steel Bolts and Threaded Fasteners: ASTM A 307, Grade A, carbon-steel, hex-head bolts and threaded fasteners; carbon-steel nuts; and flat, unhardened steel washers.
  - 1. Finish: Plain, uncoated.

## 2.3 K-SERIES STEEL JOISTS

- A. Manufacture steel joists of type indicated according to "Standard Specifications for Open Web Steel Joists, K-Series" in SJI's "Specifications," with steel-angle top- and bottom-chord members, underslung ends, and parallel top chord.
  - 1. Joist Type: K-series steel joists
- B. Comply with AWS requirements and procedures for shop welding, appearance, quality of welds, and methods used in correcting welding work.
- C. Provide holes in chord members for connecting and securing other construction to joists.
- D. Top-Chord Extensions: Extend top chords of joists with SJI's Type S top-chord extensions where indicated, complying with SJI's "Specifications."
- E. Extended Ends: Extend bearing ends of joists with SJI's Type R extended ends where indicated, complying with SJI's "Specifications."
- F. Do not camber joists.
- G. Camber joists according to SJI's "Specifications" where indicated.
- H. Equip bearing ends of joists with manufacturer's standard beveled ends or sloped shoes if joist slope exceeds 1/4 inch per 12 inches.

## 2.4 PRIMERS

- A. Primer: SSPC-Paint 15, or manufacturer's standard shop primer complying with performance requirements in SSPC-Paint 15.
- B. Primer: Provide shop primer that complies with Division 09 Sections "Exterior Painting", "Interior Painting", and "High-Performance Coatings".

## 2.5 **JOIST ACCESSORIES**

- A. Bridging: Provide bridging anchors and number of rows of horizontal or diagonal bridging of material, size, and type required by SJI's "Specifications" for type of joist, chord size, spacing, and span. Furnish additional erection bridging if required for stability.
- B. Fabricate steel bearing plates from ASTM A 36 steel with integral anchorages of sizes and thicknesses indicated. Shop prime paint.
- C. Supply ceiling extensions, either extended bottom-chord elements or a separate extension unit of enough strength to support ceiling construction. Extend ends to within 1/2 inch of finished wall surface, unless otherwise indicated.
- D. Supply miscellaneous accessories, including splice plates and bolts required by joist manufacturer to complete joist installation.
- E. High-Strength Bolts, Nuts, and Washers: ASTM A 325, Type 1, heavy hex steel structural bolts; ASTM A 563 heavy hex carbon-steel nuts; and ASTM F 436 hardened carbon-steel washers.

  1. Finish: Plain.
- F. Welding Electrodes: Comply with AWS standards.

## 2.6 CLEANING AND SHOP PAINTING

- A. Clean and remove loose scale, heavy rust, and other foreign materials from fabricated joists and accessories by hand-tool cleaning, SSPC-SP 2 or power-tool cleaning, SSPC-SP 3.
- B. Do not prime paint joists and accessories to receive sprayed fire-resistive materials.
- C. Apply 1 coat of shop primer to joists and joist accessories to be primed to provide a continuous, dry paint film not less than 1 mil thick.

## **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine supporting substrates, embedded bearing plates, and abutting structural framing for compliance with requirements for installation tolerances and other conditions affecting performance.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

- A. Do not install joists until supporting construction is in place and secured.
- B. Install joists and accessories plumb, square, and true to line; securely fasten to supporting construction according to SJI's "Specifications," joist manufacturer's written recommendations, and requirements in this Section.
  - 1. Before installation, splice joists delivered to Project site in more than one piece.
  - 2. Space, adjust, and align joists accurately in location before permanently fastening.
  - 3. Install temporary bracing and erection bridging, connections, and anchors to ensure that joists are stabilized during construction.

- 4. Delay rigidly connecting bottom-chord extensions to columns or supports until dead loads have been applied.
- C. Field weld joists to supporting steel bearing plates. Coordinate welding sequence and procedure with placement of joists. Comply with AWS requirements and procedures for welding, appearance and quality of welds, and methods used in correcting welding work.
  - 1. Weld and bolt joists with end movement connections as indicated.
- D. Bolt joists to supporting steel framework using carbon-steel bolts, 2-3/4-inch minimum.
- E. Bolt joists to supporting steel framework using high-strength structural bolts. Comply with RCSC's "Specification for Structural Joints Using ASTM A 325 or ASTM A 490 Bolts" for high-strength structural bolt installation and tightening requirements.
- F. Install and connect bridging concurrently with joist erection, before construction loads are applied. Anchor ends of bridging lines at top and bottom chords if terminating at walls or beams.

# 3.3 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified independent testing and inspecting agency to inspect field welds and bolted connections and to perform field tests and inspections and prepare test and inspection reports.
- B. Field welds will be visually inspected according to AWS D1.1.
- C. In addition to visual inspection, field welds will be tested according to AWS D1.1 and the following procedures, as applicable:
  - 1. Ultrasonic Testing: ASTM E 164.
- D. Bolted connections will be visually inspected.
- E. High-strength, field-bolted connections will be tested and verified according to procedures in RCSC's "Specification for Structural Joints Using ASTM A 325 or ASTM A 490 Bolts."
- F. Correct deficiencies in Work that test and inspection reports have indicated are not in compliance with specified requirements.
- G. Additional testing will be performed to determine compliance of corrected Work with specified requirements.

#### 3.4 REPAIRS AND PROTECTION

- A. Touchup Painting: After installation, promptly clean, prepare, and prime or reprime field connections, rust spots, and abraded surfaces of prime-painted joists, bearing plates, abutting structural steel, and accessories.
  - 1. Clean and prepare surfaces by hand-tool cleaning, SSPC-SP 2, or power-tool cleaning, SSPC-SP 3.
  - 2. Apply a compatible primer of same type as shop primer used on adjacent surfaces.
- B. Provide final protection and maintain conditions, in a manner acceptable to manufacturer and Installer, that ensure that joists and accessories are without damage or deterioration at time of Substantial Completion.

END OF SECTION 052100

# STEEL DECKING

## SECTION 05 3100 - STEEL DECKING

## PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. This Section includes the following:
  - 1. Roof deck.
  - 2. Composite floor deck.

## 1.2 SUBMITTALS

- A. Product Data: For each type of deck, accessory, and product indicated.
- B. Shop Drawings: Show layout and types of deck panels, anchorage details, reinforcing channels, pans, cut deck openings, special jointing, accessories, and attachments to other construction.
- C. Product Certificates: For each type of steel deck, signed by product manufacturer.
- D. Welding certificates.
- E. Field quality-control test and inspection reports.
- F. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, indicating that each of the following complies with requirements:
  - 1. Power-actuated mechanical fasteners.
- G. Evaluation Reports: For steel deck, from ICC-ES.

## 1.3 **QUALITY ASSURANCE**

- A. Testing Agency Qualifications: An independent agency qualified according to ASTM E 329 for testing indicated.
- B. Welding: Qualify procedures and personnel according to AWS D1.3, "Structural Welding Code Sheet Steel."
- C. FMG Listing: Provide steel roof deck evaluated by FMG and listed in its "Approval Guide, Building Materials" for Class 1 fire rating and Class 1-90 windstorm ratings.

## 1.4 DELIVERY, STORAGE, AND HANDLING

- A. Protect steel deck from corrosion, deformation, and other damage during delivery, storage, and handling.
- B. Stack steel deck on platforms or pallets and slope to provide drainage. Protect with a waterproof covering and ventilate to avoid condensation.
  - 1. Protect and ventilate acoustical cellular roof deck with factory-installed insulation to maintain insulation free of moisture.

# PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. AISI Specifications: Comply with calculated structural characteristics of steel deck according to AISI's "North American Specification for the Design of Cold-Formed Steel Structural Members."
- B. Fire-Resistance Ratings: Where indicated, provide steel deck units identical to those tested for fire resistance per ASTM E 119 by a testing and inspecting agency acceptable to authorities having jurisdiction.

- 1. Indicate by design designations of applicable testing and inspecting agency.
- 2. Indicate design designations from UL's "fire Resistance Directory" or from the listings of another qualified testing agency

## 2.2 MANUFACTURERS

- A. Fire-Test-Response Characteristics: Where indicated, provide steel deck units identical to those tested for fire resistance per ASTM E 119 by a testing and inspecting agency acceptable to authorities having jurisdiction.
  - 1. Fire-Resistance Ratings: Indicated by design designations of applicable testing and inspecting agency.
  - 2. Steel deck units shall be identified with appropriate markings of applicable testing and inspecting agency.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Steel Deck:
    - a. Consolidated Systems, Inc.
    - b. Epic Metals Corporation.
    - c. Nucor Corp.; Vulcraft Division.
    - d. Roof Deck, Inc.
    - e. United Steel Deck, Inc.
    - f. Verco Manufacturing Co.

## 2.3 ROOF DECK

- A. Steel Roof Deck: Fabricate panels, without top-flange stiffening grooves, to comply with "SDI Specifications and Commentary for Steel Roof Deck," in SDI Publication No. 31, and with the following:
  - 1. Galvanized Steel Sheet: ASTM A 653, Structural Steel, Grade 33, G60 zinc coating.
  - 2. Galvanized and Shop-Primed Steel Sheet: ASTM A 653, Structural Steel, Grade 33, G60 zinc coating; cleaned, pretreated, and primed with manufacturer's standard baked-on, rust-inhibitive primer.
    - a. Color: White.
  - 3. Deck Profile: Type WR, wide rib.
  - 4. Profile Depth: 1-1/2 inches, unless otherwise indicated.
  - 5. Design Uncoated-Steel Thickness: 0.0358 inch.
  - 6. Span Condition: As indicated.
  - 7. Side Laps: Overlapped.

#### 2.4 COMPOSITE FLOOR DECK

- A. Composite Steel Floor Deck: Fabricate panels, with integrally embossed or raised pattern ribs and interlocking side laps, to comply with "SDI Specifications and Commentary for Composite Steel Floor Deck," in SDI Publication No. 31, with the minimum section properties indicated, and with the following:
  - 1. Galvanized Steel Sheet: ASTM A 653, Structural Steel, Grade 33, G60 zinc coating.
  - 2. Galvanized and Shop-Primed Steel Sheet: ASTM A 653, Structural Steel, Grade 33, G60 zinc coating; with unpainted top surface and cleaned and pretreated bottom surface primed with manufacturer's standard white baked-on, rust-inhibitive primer.
  - 3. Profile Depth: As indicated.
  - 4. Design Uncoated-Steel Thickness: 0.0358 inch.
  - 5. Span Condition: As indicated.

## STEEL DECKING

## 2.5 ACCESSORIES

- A. General: Provide manufacturer's standard accessory materials for deck that comply with requirements indicated.
- B. Mechanical Fasteners: Corrosion-resistant, low-velocity, power-actuated or pneumatically driven carbon-steel fasteners; or self-drilling, self-threading screws.
- C. Side-Lap Fasteners: Corrosion-resistant, hexagonal washer head; self-drilling, carbon-steel screws, No. 10 minimum diameter.
- D. Flexible Closure Strips: Vulcanized, closed-cell, synthetic rubber.
- E. Miscellaneous Sheet Metal Deck Accessories: Steel sheet, minimum yield strength of 33,000 psi, not less than 0.0359-inch design uncoated thickness, of same material and finish as deck; of profile indicated or required for application.
- F. Pour Stops and Girder Fillers: Steel sheet, minimum yield strength of 33,000 psi, of same material and finish as deck, and of thickness and profile recommended by SDI Publication No. 31 for overhang and slab depth.
- G. Column Closures, End Closures, Z-Closures, and Cover Plates: Steel sheet, of same material, finish, and thickness as deck, unless otherwise indicated.
- H. Piercing Hanger Tabs: Piercing steel sheet hanger attachment devices for use with floor deck.
- I. Weld Washers: Uncoated steel sheet, shaped to fit deck rib, 0.0598 inch thick, with factory-punched hole of 3/8-inch minimum diameter.
- J. Recessed Sump Pans: Single-piece steel sheet, 0.0747 inch thick, of same material and finish as deck, with 3-inch- wide flanges and level recessed pans of 1-1/2-inch minimum depth. For drains, cut holes in the field.
- K. Flat Sump Plate: Single-piece steel sheet, 0.0747 inch thick, of same material and finish as deck. For drains, cut holes in the field.
- L. Galvanizing Repair Paint: SSPC-Paint 20 or MIL-P-21035B, with dry film containing a minimum of 94 percent zinc dust by weight.
- M. Repair Paint: Manufacturer's standard rust-inhibitive primer of same color as primer.

## **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine supporting frame and field conditions for compliance with requirements for installation tolerances and other conditions affecting performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION, GENERAL

- A. Install deck panels and accessories according to applicable specifications and commentary in SDI Publication No. 31, manufacturer's written instructions, and requirements in this Section.
- B. Install temporary shoring before placing deck panels, if required to meet deflection limitations.
- C. Locate deck bundles to prevent overloading of supporting members.
- D. Place deck panels on supporting frame and adjust to final position with ends accurately aligned and bearing on supporting frame before being permanently fastened. Do not stretch or contract side-lap interlocks.

- 1. Align cellular deck panels over full length of cell runs and align cells at ends of abutting panels.
- E. Place deck panels flat and square and fasten to supporting frame without warp or deflection.
- F. Cut and neatly fit deck panels and accessories around openings and other work projecting through or adjacent to deck.
- G. Provide additional reinforcement and closure pieces at openings as required for strength, continuity of deck, and support of other work.
- H. Comply with AWS requirements and procedures for manual shielded metal arc welding, appearance and quality of welds, and methods used for correcting welding work.
- I. Mechanical fasteners may be used in lieu of welding to fasten deck. Locate mechanical fasteners and install according to deck manufacturer's written instructions.

### 3.3 ROOF-DECK INSTALLATION

- A. Fasten roof-deck panels to steel supporting members by arc spot (puddle) welds of the surface diameter indicated or arc seam welds with an equal perimeter that is not less than 1-1/2 inches long, and as follows:
  - 1. Weld Diameter: 5/8 inch, nominal.
  - 2. Weld Spacing: Weld edge and interior ribs of deck units with a minimum of two welds per deck unit at each support. Space welds 12 inches apart in the field of roof and 6 inches apart in roof corners and perimeter, based on roof-area definitions in FMG Loss Prevention Data Sheet 1-28.
  - 3. Weld Washers: Install weld washers at each weld location.
- B. Side-Lap and Perimeter Edge Fastening: Fasten side laps and perimeter edges of panels between supports, at intervals not exceeding the lesser of 1/2 of the span or 36 inches, and as follows:
  - 1. Mechanically fasten with self-drilling, No. 10 diameter or larger, carbon-steel screws.
  - 2. Fasten with a minimum of 1-1/2-inch-long welds.
- C. End Bearing: Install deck ends over supporting frame with a minimum end bearing of 1-1/2 inches, with end joints as follows:
  - 1. End Joints: Lapped 2 inches minimum.
- D. Roof Sump Pans and Sump Plates: Install over openings provided in roof deck and mechanically fasten flanges to top of deck. Space mechanical fasteners not more than 12 inches apart with at least one fastener at each corner.
- E. Miscellaneous Roof-Deck Accessories: Install ridge and valley plates, finish strips, end closures, and reinforcing channels according to deck manufacturer's written instructions. Weld or mechanically fasten to substrate to provide a complete deck installation.
  - 1. Weld cover plates at changes in direction of roof-deck panels, unless otherwise indicated.
- F. Flexible Closure Strips: Install flexible closure strips over partitions, walls, and where indicated. Install with adhesive according to manufacturer's written instructions to ensure complete closure.

### 3.4 FLOOR-DECK INSTALLATION

- A. Fasten floor-deck panels to steel supporting members by arc spot (puddle) welds of the surface diameter indicated and as follows:
  - 1. Weld Diameter: 5/8 inch, nominal.
  - 2. Weld Spacing: Weld edge ribs of panels at each support. Space additional welds an average of 12 inches apart, but not more than 18 inches apart.
  - 3. Weld Spacing: Space and locate welds as indicated.
  - 4. Weld Washers: Install weld washers at each weld location.

Clark Patterson Lee 01/04/2021

14428.11

- B. Side-Lap and Perimeter Edge Fastening: Fasten side laps and perimeter edges of panels between supports, at intervals not exceeding the lesser of half of the span or 36 inches, and as follows:
  - 1. Mechanically fasten with self-drilling, No. 10 diameter or larger, carbon-steel screws.
  - 2. Fasten with a minimum of 1-1/2-inch- long welds.
- C. End Bearing: Install deck ends over supporting frame with a minimum end bearing of 1-1/2 inches, with end joints as follows:
  - 1. End Joints: Lapped.
- D. Pour Stops and Girder Fillers: Weld steel sheet pour stops and girder fillers to supporting structure according to SDI recommendations, unless otherwise indicated.
- E. Floor-Deck Closures: Weld steel sheet column closures, cell closures, and Z-closures to deck, according to SDI recommendations, to provide tight-fitting closures at open ends of ribs and sides of deck.
- F. Install piercing hanger tabs at 14 inches apart in both directions, within 9 inches of walls at ends, and not more than 12 inches from walls at sides, unless otherwise indicated.

# 3.5 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified independent testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Field welds will be subject to inspection.
- C. Testing agency will report inspection results promptly and in writing to Contractor and Architect.
- D. Remove and replace work that does not comply with specified requirements.
- E. Additional inspecting, at Contractor's expense, will be performed to determine compliance of corrected work with specified requirements.

# 3.6 REPAIRS AND PROTECTION

- A. Galvanizing Repairs: Prepare and repair damaged galvanized coatings on both surfaces of deck with galvanized repair paint according to ASTM A 780 and manufacturer's written instructions.
- B. Repair Painting: Wire brush and clean rust spots, welds, and abraded areas on both surfaces of prime-painted deck immediately after installation, and apply repair paint.
  - 1. Apply repair paint, of same color as adjacent shop-primed deck, to bottom surfaces of deck exposed to view.
  - 2. Wire brushing, cleaning, and repair painting of bottom deck surfaces are included in Division 09 Sections "Exterior Painting" and "Interior Painting."
- C. Provide final protection and maintain conditions to ensure that steel deck is without damage or deterioration at time of Substantial Completion.

END OF SECTION 053100

Clark Patterson Lee 01/04/2021

# SECTION 05 5000 - METAL FABRICATIONS

### PART 1 - GENERAL

# 1.1 SUMMARY

- A. Section Includes:
  - 1. Loose steel lintels.
  - 2. Steel framing and supports for applications where framing and supports are not specified in other Sections.

# 1.2 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of metal fabrications that are anchored to or that receive other work. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

## 1.3 ACTION SUBMITTALS

- A. Product Data: For the following:
  - 1. Paint products.
- B. Shop Drawings: Show fabrication and installation details. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items.

### 1.4 INFORMATIONAL SUBMITTALS

- A. Welding certificates.
- B. Research/Evaluation Reports: For post-installed anchors, from ICC-ES.

# 1.5 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.1/D1.1M, "Structural Welding Code Steel."
  - 2. AWS D1.2/D1.2M, "Structural Welding Code Aluminum."
  - 3. AWS D1.6/D1.6M, "Structural Welding Code Stainless Steel."

## 1.6 **QUALITY ASSURANCE**

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.1/D1.1M, "Structural Welding Code Steel."
  - 2. AWS D1.2/D1.2M, "Structural Welding Code Aluminum."

# 1.7 FIELD CONDITIONS

A. Field Measurements: Verify actual locations of walls and other construction contiguous with metal fabrications by field measurements before fabrication.

#### PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

A. Structural Performance of Aluminum Ladders: Aluminum ladders, including landings, shall withstand the effects of loads and stresses within limits and under conditions specified in ANSI A14.3.

# 2.2 METALS

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.
- B. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.
- C. Steel Plates, Shapes, and Bars: ASTM A 36/A 36M.
- D. Steel Tubing: ASTM A 500/A 500M, cold-formed steel tubing.
- E. Steel Pipe: ASTM A 53/A 53M, Standard Weight (Schedule 40) unless otherwise indicated.
- F. Slotted Channel Framing: Cold-formed metal box channels (struts) complying with MFMA-4.
  - 1. Size of Channels: 1-5/8 by 1-5/8 inches.
  - 2. Material: Cold-rolled steel, ASTM A 1008/A 1008M, commercial steel, Type B; 0.0677-inch minimum thickness; unfinished.
- G. Aluminum Plate and Sheet: ASTM B 209, Alloy 6061-T6.
- H. Aluminum Extrusions: ASTM B 221, Alloy 6063-T6.
- I. Aluminum-Alloy Rolled Tread Plate: ASTM B 632/B 632M, Alloy 6061-T6.

#### 2.3 FASTENERS

- A. Provide stainless-steel fasteners for fastening aluminum.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A 307, Grade A; with hex nuts, ASTM A 563; and, where indicated, flat washers.
- C. Anchors, General: Anchors capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing according to ASTM E 488/E 488M, conducted by a qualified independent testing agency.
- D. Post-Installed Anchors: Torque-controlled expansion anchors.
  - 1. Material for Interior Locations: Carbon-steel components zinc plated to comply with ASTM B 633 or ASTM F 1941, Class Fe/Zn 5, unless otherwise indicated.
- E. Stainless-Steel Bolts and Nuts: Regular hexagon-head annealed stainless-steel bolts, ASTM F 593; with hex nuts, ASTM F 594; and, where indicated, flat washers; Alloy Group 1.

# 2.4 MISCELLANEOUS MATERIALS

- A. Low-Emitting Materials: Paints and coatings shall comply with the testing and product requirements of the California Department of Public Health's (formerly, the California Department of Health Services') "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- B. Water-Based Primer: Emulsion type, anticorrosive primer for mildly corrosive environments that is resistant to flash rusting when applied to cleaned steel, complying with MPI#107 and compatible with topcoat.

# 2.5 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Weld corners and seams continuously to comply with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- E. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- F. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- G. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.

# 2.6 LOOSE STEEL LINTELS

- A. Fabricate loose steel lintels from steel angles and shapes of size indicated for openings and recesses in masonry walls and partitions at locations indicated. Fabricate in single lengths for each opening unless otherwise indicated. Weld adjoining members together to form a single unit where indicated.
- B. Size loose lintels to provide bearing length at each side of openings equal to 1/12 of clear span, but not less than 8 inches unless otherwise indicated.
- C. Loose lintels to be galvanized in weathered conditions.

# 2.7 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.
- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
  - 1. Fabricate units from slotted channel framing as required.
- C. Fabricate supports for operable partitions from continuous steel beams of sizes indicated or recommended by partition manufacturer with attached bearing plates, anchors, and braces as indicated or recommended by partition manufacturer. Drill or punch bottom flanges of beams to receive partition track hanger rods; locate holes where indicated on operable partition Shop Drawings.

#### 2.8 MISCELLANEOUS STEEL TRIM

- A. Unless otherwise indicated, fabricate units from steel plate and angle support framing Provide smooth exposed edges. Miter corners at concealed support framing.
- B. Provide cutouts, fittings, and anchorages as needed to coordinate assembly and installation with other work.
- C. Prime miscellaneous steel trim with water based anti-corrosion primer

# 2.9 FINISHES, GENERAL

- A. Finish metal fabrications after assembly.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

# 2.10 STEEL AND IRON FINISHES

- A. Preparation for Shop Priming Galvanized Items: After galvanizing, thoroughly clean railings of grease, dirt, oil, flux, and other foreign matter, and treat with metallic phosphate process.
- B. Shop prime all iron and steel items.
- C. Preparation for Shop Priming: Prepare surfaces to comply with SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
- D. Shop Priming: Apply shop primer to comply with SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting.

# PART 3 - EXECUTION

# 3.1 INSTALLATION, GENERAL

- A. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing metal fabrications. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Fit exposed connections accurately together to form hairline joints. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do

14428.11

not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.

- C. Field Welding: Comply with the following requirements:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide threaded fasteners for use with concrete and masonry inserts, toggle bolts, through bolts, lag screws, wood screws, and other connectors.

### 3.2 INSTALLING MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.
- B. Anchor supports for operable partitions securely to, and rigidly brace from, building structure.

### 3.3 ADJUSTING AND CLEANING

- A. Touchup Painting: Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
  - 1. Apply by brush or spray to provide a minimum 2.0-mil dry film thickness.

END OF SECTION 055000

### SECTION 05 5213 - PIPE AND TUBE RAILINGS

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Steel pipe and tube railings.

# 1.2 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design railings, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. General: In engineering railings to withstand structural loads indicated, determine allowable design working stresses of railing materials based on the following:
  - 1. Steel: 72 percent of minimum yield strength.
- C. Structural Performance: Railings shall withstand the effects of gravity loads and the following loads and stresses within limits and under conditions indicated:
  - 1. Handrails and Top Rails of Guards:
    - a. Uniform load of 50 lbf/ ft. applied in any direction.
    - b. Concentrated load of 200 lbf applied in any direction.
    - c. Uniform and concentrated loads need not be assumed to act concurrently.
  - 2. Infill of Guards:
    - a. Concentrated load of 50 lbf applied horizontally on an area of 1 sq. ft.
    - b. Infill load and other loads need not be assumed to act concurrently.
- D. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes acting on exterior metal fabrications by preventing buckling, opening of joints, overstressing of components, failure of connections, and other detrimental effects.
  - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
- E. Control of Corrosion: Prevent galvanic action and other forms of corrosion by insulating metals and other materials from direct contact with incompatible materials.

#### 1.3 SUBMITTALS

- A. Product Data: For the following:
  - 1. Manufacturer's product lines of mechanically connected railings.
  - 2. Railing brackets.
  - 3. Grout, anchoring cement, and paint products.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
- C. Delegated-Design Submittal: For installed products indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
- D. Qualification Data: For qualified professional engineer.
- E. Welding certificates.
- F. Paint Compatibility Certificates: From manufacturers of topcoats applied over shop primers certifying that shop primers are compatible with topcoats.

# 1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain each type of railing from single source from single manufacturer.
- B. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1, "Structural Welding Code Steel."
- C. Welding Qualifications: Qualify procedures and personnel according to the following:

# 1.5 PROJECT CONDITIONS

A. Field Measurements: Verify actual locations of walls and other construction contiguous with metal fabrications by field measurements before fabrication.

# 1.6 COORDINATION AND SCHEDULING

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of anchorages for railings. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- C. Schedule installation so wall attachments are made only to completed walls. Do not support railings temporarily by any means that do not satisfy structural performance requirements.

# PART 2 - PRODUCTS

# 2.1 METALS, GENERAL

- A. Metal Surfaces, General: Provide materials with smooth surfaces, without seam marks, roller marks, rolled trade names, stains, discolorations, or blemishes.
- B. Brackets, Flanges, and Anchors: Cast or formed metal of same type of material and finish as supported rails unless otherwise indicated.

# 2.2 STEEL AND IRON

- A. Pipe: ASTM A 53, Type F or Type S, Grade A, Standard Weight (Schedule 40), unless another grade and weight are required by structural loads.
  - 1. Provide galvanized finish for exterior installations and where indicated.
- B. Plates, Shapes, and Bars: ASTM A 36.

## 2.3 FASTENERS

- A. General: Provide the following:
  - 1. Hot-Dip Galvanized Railings: Type 316 stainless-steel or hot-dip zinc-coated steel fasteners complying with ASTM A 153 or ASTM F 2329 for zinc coating.
- B. Fasteners for Anchoring Railings to Other Construction: Select fasteners of type, grade, and class required to produce connections suitable for anchoring railings to other types of construction indicated and capable of withstanding design loads.
- C. Fasteners for Interconnecting Railing Components:

- 1. Provide concealed fasteners for interconnecting railing components and for attaching them to other work, unless otherwise indicated.
- D. Post-Installed Anchors: Chemical anchors capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing according to ASTM E 488, conducted by a qualified independent testing agency.
  - 1. Material for Interior Locations: Carbon-steel components zinc-plated to comply with ASTM B 633 or ASTM F 1941, Class Fe/Zn 5, unless otherwise indicated.
  - 2. Material for Exterior Locations and Where Stainless Steel is Indicated: Alloy Group 2 stainless-steel bolts, ASTM F 593, and nuts, ASTM F 594.

### 2.4 MISCELLANEOUS MATERIALS

- A. Welding Rods and Bare Electrodes: Select according to AWS specifications for metal alloy welded.
- B. Etching Cleaner for Galvanized Metal: Complying with MPI#25.
- C. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- D. Shop Primer for Galvanized Steel: Cementitious galvanized metal primer complying with MPI#26.
- E. Nonshrink, Nonmetallic Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C 1107. Provide grout specifically recommended by manufacturer for interior and exterior applications.

# 2.5 FABRICATION

- A. General: Fabricate railings to comply with requirements indicated for design, dimensions, member sizes and spacing, details, finish, and anchorage, but not less than that required to support structural loads.
- B. Assemble railings in the shop to greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Clearly mark units for reassembly and coordinated installation. Use connections that maintain structural value of joined pieces.
- C. Form work true to line and level with accurate angles and surfaces.
- D. Fabricate connections that will be exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- E. Connections: Fabricate railings with welded connections unless otherwise indicated.
- F. Welded Connections: Cope components at connections to provide close fit, or use fittings designed for this purpose. Weld all around at connections, including at fittings.
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove flux immediately.
  - 4. At exposed connections, finish exposed surfaces smooth and blended so no roughness shows after finishing and welded surface matches contours of adjoining surfaces.

G. Form changes in direction as follows:

- 1. By radius bends of radius indicated or by inserting prefabricated elbow fittings of radius indicated.
- H. Bend members in jigs to produce uniform curvature for each configuration required; maintain cross section of member throughout entire bend without buckling, twisting, cracking, or otherwise deforming exposed surfaces of components.
- I. Close exposed ends of railing members with prefabricated end fittings.
- J. Brackets, Flanges, Fittings, and Anchors: Provide wall brackets, flanges, miscellaneous fittings, and anchors to interconnect railing members to other work unless otherwise indicated.
  - 1. At brackets and fittings fastened to plaster or gypsum board partitions, provide crushresistant fillers, or other means to transfer loads through wall finishes to structural supports and prevent bracket or fitting rotation and crushing of substrate.
- K. Provide inserts and other anchorage devices for connecting railings to concrete work. Fabricate anchorage devices capable of withstanding loads imposed by railings. Coordinate anchorage devices with supporting structure.
- L. For railing posts set in concrete, provide steel sleeves not less than 6 inches long with inside dimensions not less than 1/2 inch greater than outside dimensions of post, with metal plate forming bottom closure.

# 2.6 STEEL AND IRON FINISHES

- A. Galvanized Railings:
  - 1. Hot-dip galvanize exterior steel and iron railings, including hardware, after fabrication.
  - 2. Comply with ASTM A 123 for hot-dip galvanized railings.
  - 3. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.
  - 4. Fill vent and drain holes that will be exposed in the finished Work, unless indicated to remain as weep holes, by plugging with zinc solder and filing off smooth.
- B. Preparing Galvanized Railings for Priming: After galvanizing, thoroughly clean railings of grease, dirt, oil, flux, and other foreign matter, and treat with etching cleaner.

# PART 3 - EXECUTION

# 3.1 INSTALLATION, GENERAL

- A. Perform cutting, drilling, and fitting required for installing railings. Set railings accurately in location, alignment, and elevation; measured from established lines and levels and free of rack.
  - 1. Do not weld, cut, or abrade surfaces of railing components that have been coated or finished after fabrication and that are intended for field connection by mechanical or other means without further cutting or fitting.
  - 2. Set posts plumb within a tolerance of 1/16 inch in 3 feet.
  - 3. Align rails so variations from level for horizontal members and variations from parallel with rake of steps and ramps for sloping members do not exceed 1/4 inch in 12 feet.
- B. Adjust railings before anchoring to ensure matching alignment at abutting joints.

### 3.2 RAILING CONNECTIONS

A. Welded Connections: Use fully welded joints for permanently connecting railing components. Comply with requirements for welded connections in "Fabrication" Article whether welding is performed in the shop or in the field.

B. Expansion Joints: Install expansion joints at locations indicated but not farther apart than required to accommodate thermal movement. Provide slip-joint internal sleeve extending 2 inches beyond joint on either side, fasten internal sleeve securely to one side, and locate joint within 6 inches of post.

# 3.3 ANCHORING POSTS

- A. Use metal sleeves preset and anchored into concrete for installing posts. After posts have been inserted into sleeves, fill annular space between post and sleeve with non-shrink, nonmetallic grout, mixed and placed to comply with anchoring material manufacturer's written instructions.
- B. Leave anchorage joint exposed.
  - 1. Exterior Posts: Provide with 1/8-inch buildup, sloped away from post.

# 3.4 ADJUSTING AND CLEANING

A. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A 780.

# 3.5 PROTECTION

A. Protect finishes of railings from damage during construction period with temporary protective coverings approved by railing manufacturer. Remove protective coverings at time of Substantial Completion.

END OF SECTION 055213

# MISCELLANEOUS ROUGH CARPENTRY

### SECTION 061053 - MISCELLANEOUS ROUGH CARPENTRY

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Wood blocking, and nailers.
  - 2. Plywood backing panels.
  - 3. Fire retardant blocking for wall accessories, equipment and miscellaneous hardware.

## 1.2 **DEFINITIONS**

- A. Dimension Lumber: Lumber of 2 inches nominal or greater but less than 5 inches nominal in least dimension.
- B. Lumber grading agencies, and the abbreviations used to reference them, include the following:
  - 1. NeLMA: Northeastern Lumber Manufacturers' Association.
  - 2. NHLA: National Hardwood Lumber Association.
  - 3. NLGA: National Lumber Grades Authority.
  - 4. SPIB: The Southern Pine Inspection Bureau.
  - 5. WCLIB: West Coast Lumber Inspection Bureau.
  - 6. WWPA: Western Wood Products Association.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.
  - 1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained.
  - 2. Include data for fire-retardant treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Include physical properties of treated materials based on testing by a qualified independent testing agency.
  - 3. For fire-retardant treatments, include physical properties of treated lumber both before and after exposure to elevated temperatures, based on testing by a qualified independent testing agency according to ASTM D 5664.
  - 4. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced to levels specified before shipment to Project site.
  - 5. Include copies of warranties from chemical treatment manufacturers for each type of treatment.

# 1.4 INFORMATIONAL SUBMITTALS

- A. Evaluation Reports: For the following, from ICC-ES:
  - 1. Preservative-treated wood.
  - 2. Fire-retardant-treated wood.
  - 3. Power-driven fasteners.
  - 4. Powder-actuated fasteners.
  - 5. Expansion anchors.

#### **QUALITY ASSURANCE** 1.5

Testing Agency Qualifications: For testing agency providing classification marking for fire-A. retardant treated material, an inspection agency acceptable to authorities having jurisdiction that periodically performs inspections to verify that the material bearing the classification marking is representative of the material tested.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

A. Stack lumber flat with spacers beneath and between each bundle to provide air circulation. Protect lumber from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.

# PART 2 - PRODUCTS

#### 2.1 WOOD PRODUCTS, GENERAL

- Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is A. indicated, provide lumber that complies with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Provide lumber graded by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
  - Factory mark each piece of lumber with grade stamp of grading agency. 1.
  - 2. Where nominal sizes are indicated, provide actual sizes required by DOC PS 20 for moisture content specified. Where actual sizes are indicated, they are minimum dressed sizes for dry lumber.
  - Provide dressed lumber, S4S, unless otherwise indicated. 3.
- В. Maximum Moisture Content of Lumber: 15 percent for 2-inch nominal thickness or less, 19 percent for more than 2-inch nominal thickness unless otherwise indicated.

#### WOOD-PRESERVATIVE-TREATED MATERIALS 2.2

- Preservative Treatment by Pressure Process: AWPA U1; Use Category UC2. A.
  - Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no 1. arsenic or chromium.
  - For exposed items indicated to receive a stained or natural finish, use chemical 2. formulations that do not require incising, contain colorants, bleed through, or otherwise adversely affect finishes.
- Kiln-dry lumber after treatment to a maximum moisture content of 15 percent. Do not use В. material that is warped or does not comply with requirements for untreated material.
- C. Mark lumber with treatment quality mark of an inspection agency approved by the ALSC Board of Review.
- D. Application: Treat items indicated on Drawings, and the following:
  - Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar 1. members in connection with roofing, flashing, vapor barriers, and waterproofing.

#### 2.3 FIRE-RETARDANT-TREATED MATERIALS

General: Where fire-retardant-treated materials are indicated, use materials complying with A. requirements in this article, that are acceptable to authorities having jurisdiction, and with firetest-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.

- Fire-Retardant-Treated Lumber and Plywood by Pressure Process: Products with a flame spread В. index of 25 or less when tested according to ASTM E 84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than 10.5 feet beyond the centerline of the burners at any time during the test.
  - Use treatment that does not promote corrosion of metal fasteners. 1.
  - Interior Type A: Treated materials shall have a moisture content of 28 percent or less 2. when tested according to ASTM D 3201 at 92 percent relative humidity. Use where exterior type is not indicated.
  - Design Value Adjustment Factors: Treated lumber shall be tested according 3. ASTM D 5664, and design value adjustment factors shall be calculated according to ASTM D 6841.
- C. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Kiln-dry plywood after treatment to a maximum moisture content of 15 percent.
- Identify fire-retardant-treated wood with appropriate classification marking of testing and D. inspecting agency acceptable to authorities having jurisdiction.
- E. Application: Treat items indicated on Drawings, and the following:
  - Concealed blocking. 1.
  - 2. Plywood backing panels.

#### PLYWOOD BACKING PANELS 2.4

Equipment Backing Panels: DOC PS 1, Exterior, AC, fire-retardant treated, in thickness indicated A. or, if not indicated, not less than 3/4-inch nominal thickness.

#### 2.5 **FASTENERS**

- General: Provide fasteners of size and type indicated that comply with requirements specified in A. this article for material and manufacture.
  - 1. Where carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners with hot-dip zinc coating complying with ASTM A 153/A 153M or Type 304 stainless steel.
- В. Screws for Fastening to Metal Framing: ASTM C 1002 or ASTM C 954 as required for application, length as recommended by screw manufacturer for material being fastened.
- C. Lag Bolts: ASME B18.2.1.
- D. Bolts: Steel bolts complying with ASTM A 307, Grade A; with ASTM A 563 hex nuts and, where indicated, flat washers.
- Expansion Anchors: Anchor bolt and sleeve assembly of material indicated below with capability E. to sustain, without failure, a load equal to 6 times the load imposed when installed in unit masonry assemblies and equal to 4 times the load imposed when installed in concrete as determined by testing per ASTM E 488 conducted by a qualified independent testing and inspecting agency.
  - Material: Carbon-steel components, zinc plated to comply with ASTM B 633, 1. Class Fe/Zn 5.
  - Material: Stainless steel with bolts and nuts complying with ASTM F 593 and 2. ASTM F 594, Alloy Group 1 or 2.

# 061053 - 4

### 2.6 MISCELLANEOUS MATERIALS

A. Flexible Flashing: Composite, self-adhesive, flashing product consisting of a pliable, butyl rubber or rubberized-asphalt compound, bonded to a high-density polyethylene film, aluminum foil, or spunbonded polyolefin to produce an overall thickness of not less than 0.025 inch.

### **PART 3 - EXECUTION**

### 3.1 INSTALLATION, GENERAL

- A. Set carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit carpentry to other construction; scribe and cope as needed for accurate fit. Locate nailers, blocking, and similar supports to comply with requirements for attaching other construction.
- B. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.
- C. Install plywood backing panels by fastening to studs; coordinate locations with utilities requiring backing panels. Install fire-retardant treated plywood backing panels with classification marking of testing agency exposed to view.
- D. Provide blocking and framing as indicated and as required to support facing materials, fixtures, specialty items, and trim.
- E. Sort and select lumber so that natural characteristics will not interfere with installation or with fastening other materials to lumber. Do not use materials with defects that interfere with function of member or pieces that are too small to use with minimum number of joints or optimum joint arrangement.
- F. Comply with AWPA M4 for applying field treatment to cut surfaces of preservative-treated lumber.
  - 1. Use inorganic boron for items that are continuously protected from liquid water.
  - 2. Use copper naphthenate for items not continuously protected from liquid water.
- G. Securely attach carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
  - 1. NES NER-272 for power-driven fasteners.
  - 2. Table 2304.9.1, "Fastening Schedule," in ICC's International Building Code.

# 3.2 WOOD BLOCKING, AND NAILER INSTALLATION

- A. Install where indicated and where required for attaching other work. Form to shapes indicated and cut as required for true line and level of attached work. Coordinate locations with other work involved.
- B. Attach items to substrates to support applied loading. Recess bolts and nuts flush with surfaces unless otherwise indicated.

END OF SECTION 061053

### SECTION 064023 - INTERIOR ARCHITECTURAL WOODWORK

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. This Section includes the following:
  - 1. Plastic-laminate cabinets.
  - 2. Plastic-laminate countertops.
  - 3. Window sills.
  - 4. Interior standing and running trim.

### 1.2 **DEFINITIONS**

A. Interior architectural woodwork includes wood furring, blocking, shims, and hanging strips for installing woodwork items unless concealed within other construction before woodwork installation.

# 1.3 SUBMITTALS

- A. Product Data: For high-pressure decorative laminate, adhesive for bonding plastic laminate, cabinet hardware and accessories, handrail brackets, and finishing materials and processes.
  - 1. Include data for fire-retardant materials and treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements.
- B. Shop Drawings: Show location of each item, dimensioned plans and elevations, large-scale details, attachment devices, and other components.
  - 1. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.
  - 2. Show locations and sizes of cutouts and holes for plumbing fixtures, faucets, soap dispensers and other items installed in architectural woodwork.

# C. Samples for Verification:

- 1. Lumber with transparent finish, not less than 1-1/2 inches wide by 4 inches long, for each species and cut, finished on 1 side and 1 edge.
- 2. Plastic laminates, 2 by 3 inches, for each type, color, pattern, and surface finish.
- D. Product Certificates: For each type of product, signed by product manufacturer.
- E. Qualification Data: For fabricator.

# 1.4 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom-fabricate products similar to those required for this Project and whose products have a record of successful inservice performance.
- B. Installer Qualifications: Fabricator of products.
- C. Source Limitations: Engage a qualified woodworking firm to assume undivided responsibility for production of interior architectural woodwork with sequence-matched wood veneers.
- D. Quality Standard: Unless otherwise indicated, comply with AWI's "Architectural Woodwork Quality Standards" for grades of interior architectural woodwork indicated for construction, finishes, installation, and other requirements.

- 06 4023 2
- E. Fire-Test-Response Characteristics: Where fire-retardant materials or products are indicated, provide materials and products with specified fire-test-response characteristics as determined by testing identical products per test method indicated by UL, ITS, or another testing and inspecting agency acceptable to authorities having jurisdiction. Identify with appropriate markings of applicable testing and inspecting agency in the form of separable paper label or, where required by authorities having jurisdiction, imprint on surfaces of materials that will be concealed from view after installation.
- F. Forest Certification: Provide interior architectural woodwork produced from wood obtained from forests certified by an FSC-accredited certification body to comply with FSC STD-01-001, "FSC Principles and Criteria for Forest Stewardship."

# 1.5 DELIVERY, STORAGE, AND HANDLING

A. Do not deliver woodwork until painting and similar operations that could damage woodwork have been completed in installation areas. If woodwork must be stored in other than installation areas, store only in areas where environmental conditions comply with requirements specified in "Project Conditions" Article.

### 1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not deliver or install woodwork until building is enclosed, wet work is complete, and HVAC system is operating and maintaining temperature and relative humidity at occupancy levels during the remainder of the construction period.
- B. Field Measurements: Where woodwork is indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
  - 1. Locate concealed framing, blocking, and reinforcements that support woodwork by field measurements before being enclosed, and indicate measurements on Shop Drawings.
  - 2. Established Dimensions: Where field measurements cannot be made without delaying the Work, establish dimensions and proceed with fabricating woodwork without field measurements. Provide allowance for trimming at site, and coordinate construction to ensure that actual dimensions correspond to established dimensions.

# 1.7 COORDINATION

A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of Work specified in other Sections to ensure that interior architectural woodwork can be supported and installed as indicated.

#### PART 2 - PRODUCTS

# 2.1 MATERIALS

- A. General: Provide materials that comply with requirements of AWI's quality standard for each type of woodwork and quality grade specified, unless otherwise indicated.
- B. Wood Products: Comply with the following:
  - 1. Particleboard: ANSI A208.1, Grade M-2-Exterior Glue.
  - 2. Veneer-Faced Panel Products (Hardwood Plywood): HPVA HP-1, made with adhesive containing no urea formaldehyde.
  - 3. Marine Grade Panel Products (Marine Plywood):

- 06 4023 3
- C. Thermoset Decorative Panels: Particleboard finished with thermally fused, melamine-impregnated decorative paper complying with LMA SAT-1.
  - 1. Provide PVC or polyester edge banding complying with LMA EDG-1 on components with exposed or semi-exposed edges.
- D. High-Pressure Decorative Laminate: NEMA LD 3, grades as indicated or, if not indicated, as required by woodwork quality standard.
  - 1. Manufacturer: Subject to compliance with requirements, provide high-pressure decorative laminates by one of the following:
    - a. Formica Corporation.
    - b. Lamin-Art, Inc.
    - c. Nevamar Company, LLC; Decorative Products Div.
    - d. Panolam Industries International Incorporated.
    - e. Westinghouse Electric Corp.; Specialty Products Div.
    - f. Wilsonart International; Div. of Premark International, Inc.
- E. Colors and Patterns: As selected by Architect from manufacturer's full range of standard colors.

### 2.2 FIRE-RETARDANT-TREATED MATERIALS

- A. Fire-Retardant-Treated Materials, General: Where fire-retardant-treated materials are indicated, use materials complying with requirements in this article that are acceptable to authorities having jurisdiction and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.
  - 1. Use treated materials that comply with requirements of referenced woodworking standard. Do not use materials that are warped, discolored, or otherwise defective.
  - 2. Use fire-retardant-treatment formulations that do not bleed through or otherwise adversely affect finishes. Do not use colorants to distinguish treated materials from untreated materials.
  - 3. Identify fire-retardant-treated materials with appropriate classification marking of qualified testing agency in the form of removable paper label or imprint on surfaces that will be concealed from view after installation.
- B. Fire-Retardant Particleboard: Panels complying with the following requirements, made from softwood particles and fire-retardant chemicals mixed together at time of panel manufacture to achieve flame-spread index of 25 or less and smoke-developed index of 25 or less per ASTM E 84.
  - 1. For panels 3/4 inch thick and less, comply with ANSI A208.1 for Grade M-2 except for the following minimum properties: modulus of rupture, 1600 psi; modulus of elasticity, 300,000 psi; internal bond, 80 psi; and screw-holding capacity on face and edge, 250 and 225 lbf, respectively.
  - 2. For panels 13/16 to 1-1/4 inches thick, comply with ANSI A208.1 for Grade M-1 except for the following minimum properties: modulus of rupture, 1300 psi; modulus of elasticity, 250,000 psi; linear expansion, 0.50 percent; and screw-holding capacity on face and edge, 250 and 175 lbf, respectively.
  - 3. <u>Products</u>: Subject to compliance with requirements, provide one of the following:
    - a. Flakeboard Company Limited; Duraflake FR.
    - b. SierraPine; Encore FR.

# 2.3 PLASTIC-LAMINATE-FACED ARCHITECTURAL CABINETS

- A. Quality Standard: Unless otherwise indicated, comply with the "Architectural Woodwork Standards" for grades of architectural plastic-laminate cabinets indicated for construction, finishes, installation, and other requirements.
  - 1. The Contract Documents contain selections chosen from options in the quality standard and additional requirements beyond those of the quality standard. Comply with those selections and requirements in addition to the quality standard.
- B. Grade: Premium.
- C. Type of Construction: Frameless.
- D. Cabinet, Door, and Drawer Front Interface Style: Flush overlay.
- E. High-Pressure Decorative Laminate: NEMA LD 3, grades as indicated or if not indicated, as required by woodwork quality standard.
- F. Laminate Cladding for Exposed Surfaces:
  - 1. Horizontal Surfaces: Grade HGS.
  - 2. Vertical Surfaces: Grade HGS.
  - 3. Edges: PVC edge banding, 3mm thick, matching laminate in color, pattern, and finish.
- G. Materials for Semi-exposed Surfaces:
  - 1. Surfaces Other Than Drawer Bodies: Thermoset decorative panels.
    - a. Edges of Plastic-Laminate Shelves: PVC edge banding, 3mm thick, matching laminate in color, pattern, and finish.
- H. Drawer Construction: Fabricate with exposed fronts fastened to sub-front with mounting screws from interior of body.
  - 1. Join sub-fronts, backs, and sides with dovetail joints supplemented by mechanical fasteners or glued and doweled joints.

### 2.4 INTERIOR STANDING AND RUNNING TRIM FOR TRANSPARENT FINISH

- A. Grade: Premium.
- B. Wood Species and Cut:
  - 1. Species: White Maple.
  - 2. Cut: Plain sliced/plain sawn.
  - 3. Provide split species on trim that faces areas with different wood species, matching each face of woodwork to species and cut of finish wood surfaces in areas finished.
- C. For base wider than available lumber, glue for width. Do not use veneered construction.

# 2.5 CABINET HARDWARE AND ACCESSORIES

- A. General: Provide cabinet hardware and accessory materials associated with architectural cabinets.
- B. Frameless Concealed Hinges (European Type): BHMA A156.9, B01602, 100 degrees of opening, self-closing.
- C. Steel Angle Brackets: For counter support.
  - 1. Manufacturer: Doug Mockett
  - 2. Model: Size: 18", 24" and 30" as indicated on the drawings.
  - 3. Finish: Satin Stainless

- Spring Loaded Folding Bracket: D.
  - Manufacturer: Sugatsune
  - 2. Models:
    - 38830-25 a.
    - b. 38845-25
    - 38860-25 c.
  - Finish: Anodized Aluminum 3.
- E. Back-Mounted Pulls: BHMA A156.9, B02011.
- F. Wire Pulls: Back mounted, solid metal, 4 inches long, 5/16 inch in diameter.
- Adjustable Shelf Standards and Supports: BHMA A156.9, B04071; with shelf rests, B04081 and G. BHMA A156.9, B04102; with shelf brackets, B04112.
  - Manufacturer: Knape and Vogt 1.
  - 2. Install recessed into the millwork.
- Η. Drawer Slides: BHMA A156.9, B05091.
  - Heavy Duty (Grade 1HD-100 and Grade 1HD-200): Side mounted; full-extension type; zinc-plated steel ball-bearing slides.
  - Box Drawer Slides: Grade 1HD-100; for drawers not more than 6 inches high and 24 2. inches wide.
  - File Drawer Slides: Grade 1HD-200; for drawers more than 6 inches high or 24 inches 3. wide.
  - 4. Pencil Drawer Slides: Grade 1; for drawers not more than 3 inches high and 24 inches wide.
  - Keyboard Slides: Grade 1HD-100; for computer keyboard shelves. 5.
  - 6. Trash Bin Slides: Grade 1HD-200; for trash bins not more than 20 inches high and 16 inches wide.
- I. Door Locks: BHMA A156.11, E07121.
- J. Drawer Locks: BHMA A156.11, E07041.
- K. Grommets for Cable Passage through Countertops: 2-inch OD, black, molded-plastic grommets and matching plastic caps with slot for wire passage.
- Exposed Hardware Finishes: For exposed hardware, provide finish that complies with L. BHMA A156.18 for BHMA finish number indicated.
  - Satin Stainless Steel: BHMA 630.
- For concealed hardware, provide manufacturer's standard finish that complies with product class M. requirements in BHMA A156.9.

#### 2.6 MISCELLANEOUS MATERIALS

- Furring, Blocking, Shims, and Hanging Strips: Softwood or hardwood lumber, kiln dried to less A. than 15 percent moisture content.
- B. Anchors: Select material, type, size, and finish required for each substrate for secure anchorage. Provide nonferrous-metal or hot-dip galvanized anchors and inserts on inside face of exterior walls and elsewhere as required for corrosion resistance. Provide toothed-steel or lead expansion sleeves for drilled-in-place anchors.
- C. Handrail Brackets: Cast from aluminum with wall flange drilled and tapped for concealed hanger bolt and with support arm for screwing to underside of rail. Sized to provide 1-1/2-inch clearance between handrail and wall.

- 06 4023 6
- D. Handrail/Bumper Rail Brackets: Pairs of extruded-aluminum channels; one for fastening to back of rail and one for fastening to face of wall. They are then assembled in overlapping fashion and fastened together top and bottom with self-tapping screws. Sized to provide 1-1/2-inch clearance between handrail and wall.
- E. Adhesives, General: Do not use adhesives that contain urea formaldehyde.
- F. VOC Limits for Installation Adhesives and Glues: Use installation adhesives that comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Wood Glues: 30 g/L.
  - 2. Contact Adhesive: 250 g/L.
- G. Adhesive for Bonding Plastic Laminate: Contact cement.
  - 1. Use un-pigmented contact cement with through-color laminate.

# 2.7 FABRICATION, GENERAL

- A. Wood Moisture Content: Comply with requirements of referenced quality standard for wood moisture content in relation to ambient relative humidity during fabrication and in installation areas.
- B. Sand fire-retardant-treated wood lightly to remove raised grain on exposed surfaces before fabrication.
- C. Fabricate woodwork to dimensions, profiles, and details indicated. Ease edges to radius indicated for the following:
  - 1. Corners of Cabinets and Edges of Solid-Wood (Lumber) Members and Rails: 1/16 inch.
- D. Complete fabrication, including assembly, finishing, and hardware application, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
- E. Shop-cut openings to maximum extent possible to receive hardware, appliances, plumbing fixtures, electrical work, and similar items. Locate openings accurately and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.
  - 1. Seal edges of openings in countertops with a coat of varnish.

# 2.8 SHOP FINISHING

- A. General: Shop finish transparent-finished interior architectural woodwork at fabrication shop as specified in this Section. Refer to Division 09 painting Sections for finishing opaque-finished architectural woodwork.
- B. Shop Priming: Shop apply the prime coat including backpriming for items specified to be field finished. Refer to Division 09 painting Sections for material and application requirements.
- C. Preparation for Finishing: Comply with referenced quality standard for sanding, filling countersunk fasteners, sealing concealed surfaces, and similar preparations for finishing architectural woodwork, as applicable to each unit of work.
  - 1. Backpriming: Apply one coat of sealer or primer, compatible with finish coats, to concealed surfaces of woodwork. Apply two coats to back of paneling and to end-grain surfaces. Concealed surfaces of plastic-laminate-clad woodwork do not require

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backpriming when surfaced with plastic laminate, backing paper, or thermoset decorative panels.

# D. Transparent Finish:

- 1. Grade: Premium.
- 2. AWI Finish System: Conversion varnish.
- 3. Staining: Match approved sample for color.
- 4. Open Finish for Open-Grain Woods: Do not apply filler to open-grain woods.
- 5. Sheen: Satin, 31-45 gloss units measured on 60-degree gloss meter per ASTM D 523.

### **PART 3 - EXECUTION**

# 3.1 PREPARATION

- A. Before installation, condition woodwork to average prevailing humidity conditions in installation areas.
- B. Before installing architectural woodwork, examine shop-fabricated work for completion and complete work as required, including removal of packing and backpriming.

# 3.2 INSTALLATION

- A. Assemble woodwork and complete fabrication at Project site to comply with requirements for fabrication in Part 2, to extent that it was not completed in the shop.
- B. Install woodwork level, plumb, true, and straight. Shim as required with concealed shims. Install level and plumb (including tops) to a tolerance of 1/8 inch in 96 inches.
- C. Scribe and cut woodwork to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
- D. Fire-Retardant-Treated Wood: Handle, store, and install fire-retardant-treated wood to comply with chemical treatment manufacturer's written instructions, including those for adhesives used to install woodwork.
- E. Anchor woodwork to anchors or blocking built in or directly attached to substrates. Secure with countersunk, concealed fasteners and blind nailing as required for complete installation. Use fine finishing nails or finishing screws for exposed fastening, countersunk and filled flush with woodwork and matching final finish if transparent finish is indicated.
- F. Standing and Running Trim: Install with minimum number of joints possible, using full-length pieces (from maximum length of lumber available) to greatest extent possible.
  - 1. Fill gaps, if any, between top of base and wall with plastic wood filler, sand smooth, and finish same as wood base if finished.
  - 2. Install wall railings on indicated metal brackets securely fastened to wall framing.
  - 3. Install standing and running trim with no more variation from a straight line than 1/8 inch in 96 inches.
- G. Cabinets: Install without distortion so doors and drawers fit openings properly and are accurately aligned. Adjust hardware to center doors and drawers in openings and to provide unencumbered operation. Complete installation of hardware and accessory items as indicated.
  - 1. Install cabinets with no more than 1/8 inch in 96-inch sag, bow, or other variation from a straight line.
  - 2. Maintain veneer sequence matching of cabinets with transparent finish.
  - 3. Fasten wall cabinets through back, near top and bottom, at ends and not more than 16 inches o.c.

- a. Use No. 10 wafer-head screws sized for 1-inch penetration into wood framing, blocking, or hanging strips.
- b. Use No. 10 wafer-head sheet metal screws through metal backing or metal framing behind wall finish.
- H. Countertops: Anchor securely by screwing through corner blocks of base cabinets or other supports into underside of countertop.
  - 1. Align adjacent solid-surfacing-material countertops and form seams to comply with manufacturer's written recommendations using adhesive in color to match countertop. Carefully dress joints smooth, remove surface scratches, and clean entire surface.
  - 2. Install countertops with no more than 1/8 inch in 96-inch sag, bow, or other variation from a straight line.
  - 3. Secure backsplashes to tops with concealed metal brackets at 16 inches o.c. and to walls with adhesive.
  - 4. Calk space between backsplash and wall with sealant specified in Division 07 Section "Joint Sealants."
- I. Touch up finishing work specified in this Section after installation of woodwork. Fill nail holes with matching filler where exposed.
- J. Refer to Division 09 Sections for final finishing of installed architectural woodwork not indicated to be shop finished.

# 3.3 STANDING AND RUNNING TRIM INSTALLATION

- A. Install with minimum number of joints practical, using full-length pieces from maximum lengths of lumber available. Do not use pieces less than 24 inches long, except where necessary. Stagger joints in adjacent and related standing and running trim. Cope at returns, miter at outside corners, and cope at inside corners to produce tight-fitting joints with full-surface contact throughout length of joint. Use scarf joints for end-to-end joints. Plane backs of casings to provide uniform thickness across joints where necessary for alignment.
  - 1. Match color and grain pattern of trim for transparent finish (stain or clear finish) across joints.
  - 2. Install trim after gypsum-board joint finishing operations are completed.
  - 3. Install without splitting; drill pilot holes before fastening where necessary to prevent splitting. Fasten to prevent movement or warping. Countersink fastener heads on exposed carpentry work and fill holes.

### 3.4 ADJUSTING AND CLEANING

- A. Repair damaged and defective woodwork, where possible, to eliminate functional and visual defects; where not possible to repair, replace woodwork. Adjust joinery for uniform appearance.
- B. Clean, lubricate, and adjust hardware.
- C. Clean woodwork and casework on exposed and semi-exposed surfaces. Touch up shop-applied finishes to restore damaged or soiled areas.

END OF SECTION 06 4023

# SECTION 07 1416 - COLD-FLUID APPLIED WATERPROOFING

#### PART 1 GENERAL

#### 1.01 SECTION INCLUDES

A. Single-component, cold-applied, liquid waterproofing membrane. Membrane system to be applied to the exterior of foundation walls surrounding Basement Floor Storage S-1.

### 1.02 REFERENCES

- A. ASTM D146-97 Standard Test Methods for Sampling and Testing Bitumen-Saturated Felts and Fabrics Used in Roofing and Waterproofing.
- B. ASTM D412-98a(2002)e1 Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers -Tension.
- C. ASTM E96-00e1 (Method B) Standard Test Methods for Water Vapor Transmission of Materials.
- ASTM D1228 Methods of Testing Asphalt Insulating Siding Surfaced with Mineral Granules.
- E. ASTM C836 Standard Specification for High Solids Content, Cold Liquid-Applied Elastomeric Waterproofing Membrane for Use with Separate Wearing Course.
- F. ASTM D1970-01 Standard Specification for Self-Adhering Polymer Modified Bituminous Sheet Materials Used as Steep Roofing Underlayment for Ice Dam Protection.

## 1.04 SUBMITTALS

- A. Comply with Section 01 33 00 Submittal Procedures.
- B. Submit manufacturer's product data and application instructions.

## 1.05 DELIVERY, STORAGE, AND HANDLING

- A. Deliver materials to site in manufacturer's original, unopened containers and packaging, with labels clearly identifying product name and manufacturer.
- B. Store materials in a clean, dry area in accordance with manufacturer's instructions.
- C. Store adhesives and primers at temperatures of 40° F (5° C) and above to facilitate handling.
- D. Do not store at temperatures above 90° F (32° C).
- E. Protect materials during handling and application to prevent damage or contamination.

#### 1.06 ENVIRONMENTAL REQUIREMENTS

- A. Do not apply membrane when air, material, or surface temperatures are expected to fall below 30° F (-1° C) within four hours of completed application.
- C. Do not apply membrane if rainfall is forecast or imminent within 12 hours.
- D. Do not apply to frozen concrete.

# COLD-FLUID APPLIED WATERPROOFING

E. Membrane can be applied to green concrete.

#### PART 2 PRODUCTS

#### 2.01 MANUFACTURER

A. Basis of Design: W. R. MEADOWS<sub>®</sub>, INC., PO Box 338, Hampshire, Illinois 60140-0338. (800) 342-5976. (847) 683-4500. Fax (847) 683-4544. Web Site www.wrmeadows.com.

### 2.02 MATERIALS

- A. Waterproofing Membrane: single-component, polymer-modified, cold-applied, liquid waterproofing membrane.
  - 1. Performance Based Spec: Waterproofing membrane shall have the following properties as determined by laboratory testing:
    - a. Color: Black
    - b. Solids: 70%
    - c. Total Cure Time: 16-24 hours
    - d. Shore "00" Hardness, ASTM C836: Passes
    - e. Adhesion to Concrete, ASTM C836: Exceeds
    - f. Low Temperature Flex and Crack Bridging, ASTM C836: Passes
    - g. Stability, ASTM C836: Exceeds
    - h. Elongation, ASTM D412: 1500%
    - i. Water Absorption, ASTM D1970: 0.7%
    - j. Water Vapor Transmission, ASTM E96 (Method B): 0.03 perms

## 2. Basis of Design:

a. MEL-ROL LM Waterproofing System by W. R. MEADOWS.

# 2.03 ACCESSORIES

- A. Concrete Repair Materials: MEADOW-PATCH™ 5 and 20 Concrete Repair Mortars.
- B. Rolled Matrix Drainage System: MEL-DRAIN™.

## PART 3 EXECUTION

#### 3.01 EXAMINATION

A. Examine surfaces to receive membrane. Notify Architect if surfaces are not acceptable. Do not begin surface preparation or application until unacceptable conditions have been corrected.

# 3.02 SURFACE PREPARATION

- A. Protect adjacent surfaces not designated to receive waterproofing.
- B. Clean and prepare surfaces to receive waterproofing in accordance with manufacturer's written instructions.
- C. Do not apply waterproofing to surfaces unacceptable to manufacturer.
- D. Concrete surfaces must be clean, relatively smooth, and free of standing water.
- E. Patch all holes and voids and smooth out any surface misalignments.
- F. Remove and patch all concrete form ties.

# COLD-FLUID APPLIED WATERPROOFING

- G. Apply primer coat of membrane diluted 4:1 with water if necessary, as determined by manufacturer's representative, to reduce blistering on concrete surfaces at a coverage rate of 100-150 ft.²/U.S. gal (13.9 m²/3.78 L) by spraying or rolling.
- H. Allow primer coat to dry before proceeding to membrane application.

# 3.03 APPLICATION

- A. Apply waterproofing membrane system in accordance with manufacturer's written instructions.
- B. Thoroughly mechanically mix membrane prior to application.
- C. Apply membrane by spray, roller, or brush at a minimum coverage rate of 20-25 ft.²/U.S. gal (1.9-2.3 m²/3.78L) providing a thickness of 60 wet mils.
- D. Frequently inspect surface area with a wet mil gauge to ensure consistent thickness.
- E. Work material into any fluted rib forming indentations.
- F. Cured thickness of membrane shall be 45 mils dry.
- G. Do not use products that contain tars, solvents, pitches, polysulfide polymers, or PVC materials that may come into contact with waterproofing membrane system.

### 3.04 PROTECTION

- A. Protect membrane with application of drainage board.
- B. Backfill immediately using care to avoid damaging waterproofing membrane system.

END OF SECTION 07 1416

### SECTION 07 2100 - THERMAL INSULATION

## PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Extruded polystyrene foam-plastic board.
  - 2. Glass-fiber blanket.

# 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

# 1.3 DELIVERY, STORAGE, AND HANDLING

- A. Protect insulation materials from physical damage and from deterioration due to moisture, soiling, and other sources. Store inside and in a dry location. Comply with manufacturer's written instructions for handling, storing, and protecting during installation.
- B. Protect foam-plastic board insulation as follows:
  - 1. Do not expose to sunlight except to necessary extent for period of installation and concealment.
  - 2. Protect against ignition at all times. Do not deliver foam-plastic board materials to Project site until just before installation time.
  - 3. Quickly complete installation and concealment of foam-plastic board insulation in each area of construction.

### PART 2 - PRODUCTS

### 2.1 EXTRUDED POLYSTYRENE FOAM-PLASTIC BOARD

- A. Extruded Polystyrene Board, ASTM C 578, Type V, 100-psi minimum compressive strength; unfaced; maximum flame-spread and smoke-developed indexes of 25 and 450, respectively, per ASTM E 84.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. DiversiFoam Products.
    - b. Dow Chemical Company (The).
    - c. Owens Corning. Basis of Design: FOAMULAR 1000 Extruded Polystyrene Rigid Insulation.
  - 2. Fire Propagation Characteristics: Passes NFPA 285 testing as part of an approved assembly.
  - 3. Application: Foundation insulation.

### 2.2 GLASS-FIBER BLANKET

- A. Glass-Fiber Blanket, Unfaced: ASTM C 665, Type I; with maximum flame-spread and smokedeveloped indexes of 25 and 50, respectively, per ASTM E 84; passing ASTM E 136 for combustion characteristics and made with no formaldehyde.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. CertainTeed Corporation.
    - b. Guardian Building Products, Inc.
    - c. Johns Manville; a Berkshire Hathaway company.
    - d. Knauf Insulation.
    - e. Owens Corning.
- B. Glass-Fiber Blanket, -Foil Faced: ASTM C 612, Type III (reflective faced), Class A (faced surface with a flame-spread index of 25 or less); Category 1 (membrane is a vapor barrier), faced with foil scrim, foil-scrim kraft, or foil-scrim polyethylene.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. CertainTeed Corporation.
    - b. Guardian Building Products, Inc.
    - c. <u>Johns Manville</u>; a <u>Berkshire Hathaway company</u>. Min Wool Curtain Wall (Basis of Design)
    - d. Knauf Insulation.
    - e. Owens Corning.
- C. Sound Attenuation Glass-Fiber Blanket, (SABS) ASTM C 665, Type I
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Owens Corning.(SABS)
    - b. Johns Manville (SAFB)
  - 2. Install in thickness to meet partition STC Rating.

# 2.3 MINERAL-WOOL BLANKETS

- A. <u>Insulation shall comply with the</u> requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- B. Mineral-Wool Blanket, Unfaced: ASTM C 665, Type I (blankets without membrane facing); consisting of fibers; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively, per ASTM E 84; passing ASTM E 136 for combustion characteristics.

- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Industrial Insulation Group, LLC (IIG-LLC)</u>.
  - b. Roxul Inc.
  - c. Thermafiber Inc.; an Owens Corning company.

# 2.4 SAFING INSULATION

- A. Glass-Fiber Blanket, Unfaced: ASTM C 665, Type I; with maximum flame-spread and smokedeveloped indexes of 25 and 50, respectively, per ASTM E 84; passing ASTM E 136 for combustion characteristics and made with no formeldehide.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. CertainTeed Corporation.
    - b. Guardian Building Products, Inc.
    - c. Johns Manville; a Berkshire Hathaway company. Min Wool (Basis Of Design)
    - d. <u>Knauf Insulation</u>.
    - e. Owens Corning.

# 2.5 INSULATION FASTENERS

- A. Adhesively Attached, Spindle-Type Anchors: Plate welded to projecting spindle; capable of holding insulation of specified thickness securely in position with self-locking washer in place.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. AGM Industries, Inc.
    - b. Gemco.
  - 2. Plate: Perforated, galvanized carbon-steel sheet, 0.030 inch thick by 2 inches square.
  - 3. Spindle: Copper-coated, low-carbon steel; fully annealed; 0.105 inch in diameter; length to suit depth of insulation.
- B. Anchor Adhesive: Product with demonstrated capability to bond insulation anchors securely to substrates without damaging insulation, fasteners, or substrates.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. AGM Industries, Inc.
    - b. Gemco.

# 2.6 ACCESSORIES

A. Insulation for Miscellaneous Voids:

- 1. Glass-Fiber Insulation: ASTM C 764, Type II, loose fill; with maximum flame-spread and smoke-developed indexes of 5, per ASTM E 84.
- 2. Spray Polyurethane Foam Insulation: ASTM C 1029, Type II, closed cell, with maximum flame-spread and smoke-developed indexes of 75 and 450, respectively, per ASTM E 84.
- B. Adhesive for Bonding Insulation: Product compatible with insulation and air and water barrier materials, and with demonstrated capability to bond insulation securely to substrates without damaging insulation and substrates.
  - 1. Adhesives shall have a VOC content of 70 g/L or less.
  - 2. <u>Adhesive shall comply with the</u> testing and product requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- C. Asphalt Coating for Cellular-Glass Block Insulation: Cutback asphalt or asphalt emulsion of type recommended by manufacturer of cellular-glass block insulation.
- D. Eave Ventilation Troughs: Preformed, rigid fiberboard or plastic sheets designed and sized to fit between roof framing members and to provide ventilation between insulated attic spaces and vented eaves.

#### **PART 3 - EXECUTION**

# 3.1 PREPARATION

A. Clean substrates of substances that are harmful to insulation, including removing projections capable of puncturing insulation or vapor retarders, or that interfere with insulation attachment.

# 3.2 INSTALLATION, GENERAL

- A. Comply with insulation manufacturer's written instructions applicable to products and applications.
- B. Install insulation that is undamaged, dry, and unsoiled and that has not been left exposed to ice, rain, or snow at any time.
- C. Extend insulation to envelop entire area to be insulated. Fit tightly around obstructions and fill voids with insulation. Remove projections that interfere with placement.
- D. Provide sizes to fit applications and selected from manufacturer's standard thicknesses, widths, and lengths. Apply single layer of insulation units unless multiple layers are otherwise shown or required to make up total thickness or to achieve R-value.

### 3.3 INSTALLATION OF SLAB INSULATION

A. On horizontal surfaces, loosely lay insulation units as indicated on the drawings instructions. Stagger end joints and tightly abut insulation units.

## 3.4 INSTALLATION OF FOUNDATION WALL INSULATION

- A. Butt panels together for tight fit.
- B. Anchor Installation: Install board insulation on concrete substrates by adhesively attached, spindle-type insulation anchors as follows:

- 1. Fasten insulation anchors to concrete substrates with insulation anchor adhesive according to anchor manufacturer's written instructions. Space anchors according to insulation manufacturer's written instructions for insulation type, thickness, and application.
- 2. Apply insulation standoffs to each spindle to create cavity width indicated on Drawings between concrete substrate and insulation.
- 3. After adhesive has dried, install board insulation by pressing insulation into position over spindles and securing it tightly in place with insulation-retaining washers, taking care not to compress insulation.
- 4. Where insulation will not be covered by other building materials, apply capped washers to tips of spindles.
- C. Adhesive Installation: Install with adhesive or press into tacky waterproofing or dampproofing according to manufacturer's written instructions.

## 3.5 INSTALLATION OF INSULATION IN FRAMED CONSTRUCTION

- A. Blanket Insulation: Install in cavities formed by framing members according to the following requirements:
  - 1. Use insulation widths and lengths that fill the cavities formed by framing members. If more than one length is required to fill the cavities, provide lengths that will produce a snug fit between ends.
  - 2. Place insulation in cavities formed by framing members to produce a friction fit between edges of insulation and adjoining framing members.
  - 3. For metal-framed wall cavities where cavity heights exceed 96 inches, support unfaced blankets mechanically and support faced blankets by taping flanges of insulation to flanges of metal studs.
- B. Miscellaneous Voids: Install insulation in miscellaneous voids and cavity spaces where required to prevent gaps in insulation using the following materials:
  - 1. Glass-Fiber Insulation: Compact to approximately 40 percent of normal maximum volume equaling a density of approximately 2.5 lb/cu. ft..
  - 2. Spray Polyurethane Insulation: Apply according to manufacturer's written instructions.

# 3.6 PROTECTION

A. Protect installed insulation from damage due to harmful weather exposures, physical abuse, and other causes. Provide temporary coverings or enclosures where insulation is subject to abuse and cannot be concealed and protected by permanent construction immediately after installation.

END OF SECTION 07 2100

### SECTION 07 2729 - FLUID-APPLIED MEMBRANE AIR/VAPOR BARRIERS

#### PART 1:GENERAL

#### 1.1 GENERAL REQUIREMENTS

- .1 The General Conditions, the Supplementary Conditions, the Instructions to Bidders and Division 1 General Requirements shall be read in conjunction with and govern this section.
- .2 The Specification shall be read as a whole by all parties concerned. Each Section may contain more or less than the complete work of any trade. The Contractor is solely responsible to make clear to the Subcontractors the extent of their work.

#### 1.2 SUMMARY

- .1 This Section includes requirements for supply and installation of the following, as required for complete and proper installation:
  - .1 Adhesives/Primers
  - .2 Fluid Applied, Air/Vapour Barrier Membrane
  - .3 Sheathing Joint / Transition Membranes
  - .4 Sealant
  - .5 Thru-wall flashing
  - .6 Insulation Adhesive (Optional)

#### 1.3 REFERENCES

- .1 Specification American Society for Testing and Materials (ASTM):
  - .1 ASTM C836, Standard Specification for High Solids Content, Cold Liquid-Applied Elastomeric Waterproofing Membrane for Use with Separate Wearing Course
  - .2 ASTM D412, Standard Test Method for Vulcanized Rubber and Thermoplastic Elastomers Tension
  - .3 ASTM D471, Standard Test Method for Rubber Property Effect of Liquids
  - .4 ASTM D1970, Standard Specification for Self-Adhering Polymer Modified Bituminous Sheet Materials Used as Steep Roofing Underlayment for Ice Dam Protection
  - .5 ASTM D2243, Standard Test Method for Freeze-Thaw Resistance of Water-Borne Coatings
  - .6 ASTM E84, Standard Test Method for Surface Burning Characteristics of Building Materials
  - .7 ASTM E96, Standard Test Methods for Water Vapor Transmission of Materials
  - .8 ASTM E283, Standard Test Method for Determining the Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen
  - .9 ASTM E330, Standard Test Method for Structural Performance of Exterior Windows, Doors, Skylights and Curtain Walls by Uniform Static Air Pressure Difference
  - .10 ASTM E331, Standard Test Method for Water Penetration of Exterior Windows, Skylights, Doors, and Curtain Walls by Uniform Static Air Pressure Difference
  - .11 ASTM E1354, Standard Test Method for Heat and Visible Smoke Release Rates for Materials and Products Using an Oxygen Consumption Calorimeter
  - .12 ASTM E2112, Standard Practice for Installation of Exterior Windows, Doors and Skylights

# .2 Canadian General Standards Board (CGSB):

1 CGSB 37-GP-56M, Membrane, Modified, Bituminous, Prefabricated, and Reinforced for Roofing

- .3 American Architectural Manufacturers Association (AAMA):
  - .1 AAMA 2400-02, Standard Practice for Installation of Windows with a Mounting Flange in Stud Frame Construction

# 1.4 ADMINISTRATIVE REQUIREMENTS

- .1 Coordination: Coordinate the Work of this Section with the installation of exterior substrate; Sequence work so that installation of fluid applied air/vapour barrier membrane coincides with installation of substrate preparation without causing delay to the Work.
- .2 Pre-Construction Conference: Arrange a site meeting attended by the Contractor, the Subcontractor, the architect, materials supplier(s), and other relevant personal before commencement of work for this Section.
  - .1 Review methods and procedures related to installation, including manufacturer's written instructions:
  - .2 Examine substrate conditions for compliance with manufacturers installation requirements;
  - .3 Review temporary protection measures required during and after installation.

#### 1.5 SUBMITTALS

- .1 Action Submittals: Provide the following submittals before starting any work of this Section:
  - .1 Product Data: Submit manufacturer's data sheets covering the care and recommended maintenance procedures for incorporation into maintenance manuals.
  - .2 Certifications:
    - .1 Submit documentation from an approved independent testing laboratory certifying that the air leakage rates of the fluid applied air/vapour barrier membrane, including primary membrane, adhesive, primer and sealants have been tested to meet ASTM E2357.
    - .2 Submit documentation from an approved independent testing laboratory, certifying that the effective air leakage and moisture vapour permeance rates of the fluid applied air/vapour barrier membrane, including primary membrane and transition sheets, exceed the requirements of the Massachusetts Energy Code and are in accordance with ASTM E2178. Test report submittals shall include test results on porous substrate and include sustained wind load and gust load air leakage results.
    - .3 Submit documentation from an approved independent testing laboratory certifying that the air leakage and vapour permeance rates of the fluid applied air/vapour barrier membrane, including primary membrane and transition sheets, exceed the requirements of the IBC.
    - .4 Submit copies of manufacturers' current ISO 9001 certification. Membrane, primers, sealants, adhesives and associated auxiliary materials shall be included.
  - .3 Submit references clearly indicating that the membrane manufacturer has successfully completed projects on an annual basis of similar scope and nature for a minimum of fifteen (15) years. Submit references for a minimum of ten (10) projects.
  - .4 Submit manufacturers' complete set of standard details for the fluid applied air/vapour barrier membrane showing a continuous plane of air tightness throughout the building envelope.
  - .5 Provide material checklist complete with application rates and minimum thickness of primary membranes.

## 1.6 QUALITY ASSURANCE

- .1 Qualifications: Provide proof of qualifications:
  - .1 Submit in writing, a document stating that the applicator of the fluid applied air/vapour barrier membrane specified in this section is recognized by the manufacturer as suitable for the execution of the Work.
  - .2 Perform Work in accordance with the manufacturer's written instructions of the fluid applied air/vapour barrier membrane and this specification.
  - .3 Maintain one copy of manufacturer's written instructions on site.
  - .4 At the beginning of the Work and at all times during the execution of the Work, allow access to Work site by the fluid applied air/vapour barrier membrane manufacturers' representative.
  - .5 Components used in this section shall be sourced from one manufacturer, including sheet membrane, fluid applied air/vapour barrier membrane, sealants, primers, mastics and adhesives.

# 1.7 DELIVERY, STORAGE AND HANDLING

- .1 Delivery: At the time of delivery, visually inspect all materials for damage. Note any damaged to materials on the receiving ticket and immediately report to the shipping company and the material manufacturer.
  - .1 Remove damaged materials from the site immediately.

## .2 Storage:

- .1 Store materials as recommended by manufacturer and conforming to applicable safety regulatory agencies. Refer to all applicable data including but not limited to MSDS sheets, Product Data sheets, product labels, and specific instructions for personal protection.
- .2 Store materials off the ground and cover with a weather proof flame resistant sheeting or tarpaulin.
- .3 Store role materials on end in original packaging.
- .4 Store adhesives and primers at temperatures of 41 deg F and above to facilitate handling.
- .5 Keep solvent away from open flame or excessive heat.
- .6 Protect rolls from direct sunlight until ready for use.
- .3 Handling: Material shall be handled in accordance with sound material handling practices and in accordance with manufacturer's written instructions.

#### 1.8 COORDINATION

- .1 Ensure continuity of the air seal throughout the scope of this section.
- .2 Ambient Conditions:
  - .1 Install materials outlined in this Section after completion of work by other Sections is complete; to provide adequate dry, clean, level, and plumb surfaces for installation and adhesion.
  - Apply when ambient air and substrate temperatures are above 10 deg F during time of install, and are expected to remain above that temperature for a minimum of forty-eight (48) hours after installation.
  - .3 Ensure surfaces are dry prior to and a minimum of sixteen (16) hours after time of install.

### 1.9 WARRANTY

.1 Provide manufacturer's one (1) year warranty from date of purchase to maintain the physical properties, air and water tightness and adhesion, providing the fluid applied air/vapour barrier membrane is correctly installed on an approved substrate according to the installation procedures of the manufacturer.

.2 Manufacturer's Warranty: Submit, for Owner's acceptance, manufacturer's standard warranty document executed by authorized company official. Manufacturer's warranty is in addition to, and not a limitation of, other rights Owner may have under Contract Documents.

#### PART 2:PRODUCTS

#### 2.1 MATERIALS MANUFACTURER

- .1 Components and auxiliary materials must be obtained as a single-source from the assembly manufacturer to ensure total system compatibility and integrity.
- .2 Materials and accessories specified herein are manufactured by:

Henry Company 15 Wallsend Drive, Scarborough, Ontario, Canada, M1E 3X6 (800) 387 9598 www.henry.com

#### 2.2 MATERIALS

- .1 Fluid Applied Air/Vapour Barrier Membrane:
  - .1 Synthetic, rubber based adhesive, trowel applied to a wet film thickness of 3.2 mm (120 mils) and having the following characteristics:
    - .1 Solids By Weight: 72%
    - .2 Air permeability: 0.013 L/s·m² @ 100 Pa., tested to ASTM E2178.
    - .3 Application Temperature: -12 deg C (10.4 deg F) minimum.
    - .4 Tested to ASTM E2357 for Air Leakage of Air Barrier Assemblies.
    - .5 Water Vapour Permeance: 1.7 ng/Pa.m<sup>2</sup>.s., (0.03 perms) (ASTM E96).
    - .6 Elongation (ASTM D412): 250%.
    - .7 Nail Sealability (ASTM D1970): Pass.
    - .8 Colour: Cream.
    - .9 Basis of Design Product: Air-Bloc 21 by Henry Company.

# .2 Auxiliary Materials:

- Self-Adhering Sheathing Joint / Transition Membrane: Non-vapour permeable, selfadhered water resistive air and vapour barrier membrane consisting of an SBS rubberized asphalt compound, which is integrally laminated to a blue engineered thermoplastic film', having the following properties:
  - .1 Colour: Blue
  - .2 Water Vapour Permeance (ASTM E96, Method A): 49 ng/Pa.m<sup>2</sup>.s., (0.86 perms)
  - .3 Air Leakage of Air Barrier Assemblies (ASTM E2357): Pass
  - .4 Air leakage (ASTM E2178): <0.0005 L/s/m<sup>2</sup> @ 75Pa
  - .5 Water Tightness (CAN/CGSB-37.58-M86): Pass
  - .6 Nail Sealability (ASTM D1970): Pass
  - .7 Tensile Strength:
    - .1 Membrane (ASTM D412-modified): 500 psi minimum
    - .2 Film (ASTM D828): 5000 psi minimum
  - .8 Elongation (ASTM D412-modified): 200% minimum
  - .9 Basis of Design Product: Blueskin SA by Henry Company.
- .2 Liquid-Applied Air Barrier Flashings: Moisture-curing one component elastomeric liquid

applied flashing membrane using a highly advanced STPe (Silyl-Terminated Polyether) polymer, having the following properties:

- 1 Color: Blue
- .2 Air leakage (ASTM E2178): <0.004 L/s/m<sup>2</sup> @ 75Pa
- .3 Water Vapour Permeance (ASTM E96, Method B): 21.8 perms @25 mils
- .4 Air Leakage of Air Barrier Assemblies (ASTM E2357): Pass
- .5 Water Resistance (AC212/ASTM D2247): Pass
- .6 Nail Sealability (AMMA 711): Pass
- .7 Surface Burning Characteristics (ASTM E84):
  - .1 Class A
  - .2 Flame Spread/Smoke Development (ASTM E84): 20/5
- .8 Tensile Strength (ASTM D412-modified): 132 psi
- .9 Elongation (D412): 264%
- .10 Basis of Design Product: Air-Bloc LF by Henry Company.
- .3 Joint Treatment Mesh: Open weave glass fabric yarn saturated with synthetic resins.
  - .1 Basis of Design Product: 990-06 Yellow Jacket by Henry Company.
- .4 Through-wall Flashing and Dampproof Course Membrane: Self-adhered membrane consisting of an SBS rubberized asphalt compound, complete with a cross-laminated polyethylene film, and having the following physical properties:
  - .1 Colour: Yellow.
  - .2 High Temperature Stability Flow Resistance: Pass @ 110 deg C, tested to ASTM D5147.
  - .3 Thickness: 1.0 mm (40 mils).
  - .4 Air leakage: 0.005 L/s.m<sup>2</sup> @ 75 Pa to ASTM E283,
  - .5 Water vapour permeance: 1.6 ng/Pa.m<sup>2</sup>.s (0.03 perms) to ASTM E96, Method B.
  - .6 Low temperature flexibility: -30°C to CGSB 37-GP-56M.
  - .7 Basis of Design Product: Blueskin TWF by Henry Company.
- .3 Adhesives and Primers
  - .1 Adhesive for Self-Adhering Membranes (at temperatures above -12 deg C): Synthetic rubber based adhesive type, quick setting, having the following physical properties:
    - .1 Color: Blue.
    - .2 Weight: 0.8 kg/l.
    - .3 Solids by weight: 35%.
    - .4 Drying time (initial set): 30 minutes.
    - .5 Application Temperature: between -12 deg C and 40 deg C.
    - .6 Basis of Design Product: Blueskin Adhesive by Henry Company
  - .2 Primer for Self-Adhering Membranes (at temperatures above –4 deg C): Polymer emulsion based adhesive type, quick setting, low VOC content, having the following physical properties:
    - .1 Colour: Aqua.
    - .2 Weight: 1.0 kg/l.
    - .3 Solids (by weight): 58% (approx.)
    - .4 Water based, no solvent odours.
    - .5 Drying time (initial set): 30 minutes at 50% RH and 20 deg C.
    - .6 Application Temperature: between -4 deg C and 40 deg C.
    - .7 Basis of Design Product: Aquatac Primer by Henry Company.
  - .3 Adhesive with Low VOC Content for Self-Adhering Membranes (at temperatures above 12 deg C): Synthetic rubber based adhesive, quick setting, having the following physical

## properties:

- .1 Colour: Blue.
- .2 Weight: 0.919 kg/l.
- .3 Maximum VOC: <240 g/L.
- .4 Solids by weight: 40%.
- .5 Drying time (initial set): 30 minutes.
- .6 Application Temperature: between -12 deg C and 40 deg C.
- .7 Basis of Design Product: Blueskin LVC Adhesive by Henry Company.

## .4 Mastics & Termination Sealants

- .1 Liquid air seal, insulation adhesive, through-wall flashing and dampproof coursing mastic: Synthetic rubber base compound having the following characteristics:
  - .1 Colour: Cream.
  - .2 Compatible with air/vapour barrier membrane, substrate and insulation materials.
  - .3 Long term flexibility: Pass CGSB 71-GP-24M.
  - .4 Chemical resistance: Alkalis, mild acid and salt solutions.
  - .5 Application Temperature: between -12 deg C and 40 deg C.
  - .6 Basis of Design Products: Air-Bloc 21 or 230-21 Insulation Adhesive by Henry Company.
- .2 Termination Sealant: Polymer modified sealing compound having the following characteristics:
  - .1 Colour: Black.
  - .2 Compatible with sheet waterproofing membrane and substrate.
  - .3 Solids by volume: 70%.
  - .4 Vapour permeance: 2.9 ng/Pa.m².s, ASTM E96.
  - .5 Complies with CGSB 37.29.
  - .6 Remains flexible with ageing.
  - .7 Adheres to wet surfaces.
  - .8 Chemical resistance: Alkalis, calcium chloride, mild acid and salt solutions.
  - .9 Basis of Design Product: POLYBITUME 570-05 Polymer Modified Sealing Compound by Henry Company.
- .3 Building Envelope Sealant: Moisture cure, medium modulus polymer modified sealing compound having the following physical properties:
  - .1 Compatible with sheet air barrier, roofing and waterproofing membranes and substrate.
  - .2 Complies with Fed. Spec. TT-S-00230C, Type II, Class A.
  - .3 Complies with ASTM C920, Type S, Grade NS, Class 35.
  - .4 Elongation: 450 550%.
  - .5 Remains flexible with aging.
  - .6 Seals construction joints up to 25 mm (1") wide.
  - .7 Application Temperature: between 0 deg C and 40 deg C.
  - .8 Basis of Design Product: HE925 BES Sealant by Henry Company.
- .4 Sheathing Joint Sealants: As recommended by manufacturer.

#### PART 3:EXECUTION

## 3.1 EXAMINATION

- .1 Verification of Conditions:
  - .1 Examine substrates to receive work and surrounding adjacent surfaces for conditions

affecting installation.

- .2 Sheathing panels must be securely fastened and installed flush to ensure a continuous substrate in accordance with manufacturer published literature.
- .3 Fastener penetrations must be set flush with sheathing and fastened into solid backing.
- .4 Mortar joints in concrete block and form tie holes/voids in poured concrete shall be filled flush, smooth and allowed to be cured for a minimum of twenty-four (24) hours.
- New concrete should be cured for a minimum of fourteen (14) days after forms are .5 removed.
- Cap and protect exposed back-up walls against wet weather conditions prior to application .6 of fluid applied air/vapour barrier membrane.
- Do not proceed with application of fluid applied air/vapour barrier membrane when rain is .7 expected within sixteen (16) hours.
- .2 The installing contractor shall examine and determine that surfaces and conditions are ready to accept the Work of this section in accordance with published literature. Commencement of Work or any parts thereof shall mean installers acceptance of the substrate.

#### 3.2 **PREPARATION**

- .1 All surfaces must be sound, dry, clean and free of oil, grease, dirt, excess mortar or other contaminants.
- .2 Protect adjacent surfaces not included in scope of Work to prevent spillage and overspray.

#### 3.3 INSTALLATION

- .1 Apply sealant at sharp corners, changes in substrate plane, penetrations, and edges to form a smooth transition from one plane to another.
- .2 Non-Moving Substrate Joint and Crack Treatment:
  - Gaps up to 6mm (1/4") wide:
    - Sealant Method: Apply building envelope sealant into the joint and strike smooth. Allow to dry prior to application of fluid applied air/vapour barrier
    - .2 Fluid-Applied Method: Apply a trowel application of fluid applied air/vapour barrier membrane, extending 75mm (3") onto face of substrate. Reinforce with 50mm (2") wide strip of joint treatment mesh, centered over joint, prior to application of fluid applied air/vapour barrier membrane.
    - Self-adhered Sheet Method: Apply adhesive and allow to dry. Apply self-.3 adhering sheathing joint / termination membrane and roll in place.
  - .2 Gaps between 6mm (1/4) and 13mm (1/2) wide:
    - Sealant Method: Apply building envelope sealant into the joint and strike smooth. Allow to dry prior to application of fluid applied air/vapour barrier
    - Self-adhered Sheet Method: Apply adhesive and allow to dry. Apply self-.2 adhering sheathing joint / termination membrane and roll in place.
- .3 Adhesive or Primer for Transition and Through-wall Flashing Membrane (Self-Adhering):
  - Apply adhesive or primer for self-adhering membranes at rate recommended by manufacturer.
  - .2 Apply adhesive or primer to all areas to receive transition sheet and / or through-wall flashing membrane, as indicated on drawings by roller or spray and allow minimum thirty (30) minute open time. Surfaces not covered by self-adhering transition membrane or self-

adhering through-wall flashing membrane during the same working day must be reapplied.

#### .4 Sheathing Joint / Transition Membrane (Self-Adhering):

- Align and position self-adhering sheathing joint / transition membrane, remove protective film and press firmly into place. Ensure minimum 50mm (2") overlap at all end and side laps.
- When sealing gaps and cracks, extend a minimum of 75mm (3") on each side of substrate gap and cracks. Seal exposed leading edges of self-adhered membrane with sealant.
- .3 Tie-in to window frames, aluminium screens, hollow metal doorframes, spandrel panels, roofing system and at the interface of dissimilar materials as indicated in drawings.
- .4 Promptly roll all laps and membrane with a rubber roller.
- .5 Ensure all preparatory work is complete prior to applying fluid applied air/vapour barrier membrane.

## .5 Through-wall Flashing Membrane & Dampproof Course (Self-Adhering):

- Apply through-wall flashing and dampproof coursing membrane in accordance with CSA A371 Masonry Construction for Buildings; along the base of masonry veneer walls, over window, door and other wall openings required to be protected.
- .2 Applications shall form a continuous flashing membrane and shall extend a minimum of 200mm (8") up the back-up wall.
- .3 At the end of each work day seal top edge of the membrane where it meets the substrate using liquid air seal mastic. Trowel-apply a feathered edge to seal termination and shed water.
- .4 Ensure through-wall flashing membrane extends fully to the exterior face of the exterior masonry veneer. At locations where flashing terminates or intersects wall openings including door frames, "end dam" flashing to protect openings and redirect water out. Trim off excess as directed by the consultant.
- .5 Apply dampproof coursing membrane over slabs on grade, prepare and prime surfaces, align and position membrane between slab and masonry block work.
- Align and position the leading edge of self-adhering through-wall flashing membrane with the front horizontal edge of the foundation walls, self angles and other substrates to be protected, partially remove protective film and roll membrane over surface and up vertically.
- .7 Press firmly into place. Ensure minimum 50mm (2") overlap at all end and side laps. Promptly roll all laps and membrane to affect the seal.
- .8 Ensure all preparatory work is complete prior to applying self-adhering through-wall flashing membrane.
- .9 Ensure through-wall flashing membrane extends fully to the exterior face of the exterior masonry veneer. Trim off excess as directed by the [engineer] [architect] [consultant].

## .6 Fluid Applied Air/Vapour Barrier Membrane

- .1 When flashing and transition membranes are installed and complete, apply fluid applied air/vapour barrier membrane complete and continuous over the entire wall surface as indicated, at a wet film thickness of 3.2mm.
- Apply in continuous, monolithic application without sags, runs or voids, transitioning onto flashing membrane a minimum of 25mm (1"), to create uniform drainage plane and fluid applied air/vapour barrier membrane.
- .3 Spray apply or trowel around all projections ensuring a complete and continuous coating.
- .4 Allow fluid applied air/vapour barrier membrane to fully cure prior to placement of insulation.

### .7 Insulation Adhesive

.1 Coordinate with Section 07 2100 Thermal Insulation for insulating materials.

# BROOKSIDE ES CLASSROOM ADDITION

## 14428.11 FLUID-APPLIED MEMBRANE AIR/VAPOR BARRIERS

07 2729-9

- .2 Immediately embed insulation into the adhesive and press firmly into place to ensure full contact. Apply additional adhesive if allowed to skin over.
- .3 Fully butter all joints of insulation panels with adhesive during installation, except at expansion joints.

## 3.4 FIELD QUALITY CONTROL

- .1 Final Observation and Verification:
  - .1 Final inspection of fluid applied air/vapour barrier membrane shall be carried out by the Owner's representative, and the contractor.
  - .2 Contact Manufacturer for warranty issuance requirements.
- .2 Fluid applied air/vapour barrier membrane is not designed for permanent UV exposure. Refer to manufacturer published literature for product limitations.

## 3.5 CLEANING AND PROTECTION

- .1 Progress Cleaning: Leave work area clean at the end of each work day, ensuring safe movement of passing pedestrians.
- .2 Waste Management: Legally dispose of all waste material off of Owner's property.

END OF SECTION 07 2729

## SECTION 07 5320 - EPDM ROOFING

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Adhered membrane roofing system.
  - 2. Roof insulation.

## 1.3 DEFINITIONS

- A. Roofing Terminology: Refer to ASTM D 1079 and glossary of NRCA's "The NRCA Roofing and Waterproofing Manual" for definition of terms related to roofing work in this Section.
- B. Design Uplift Pressure: The uplift pressure, calculated according to procedures in SPRI's "Wind Load Design Guide for Fully Adhered and Mechanically Fastened Roofing Systems," before multiplication by a safety factor.
- C. Factored Design Uplift Pressure: The uplift pressure, calculated according to procedures in SPRI's "Wind Load Design Guide for Fully Adhered and Mechanically Fastened Roofing Systems," after multiplication by a safety factor.

## 1.4 PERFORMANCE REQUIREMENTS

- A. General: Provide installed roofing membrane and base flashings that remain watertight; do not permit the passage of water; and resist specified uplift pressures, thermally induced movement, and exposure to weather without failure.
- B. Material Compatibility: Provide roofing materials that are compatible with one another under conditions of service and application required, as demonstrated by roofing membrane manufacturer based on testing and field experience.
- C. Roofing System Design: Provide a membrane roofing system that is identical to systems that have been successfully tested by a qualified testing and inspecting agency to resist uplift pressure calculated according to ASCE 7.
- D. FMG Listing: Provide roofing membrane, base flashings, and component materials that comply with requirements in FMG 4450 and FMG 4470 as part of a membrane roofing system and that

are listed in FMG's "Approval Guide" for Class 1 or noncombustible construction, as applicable. Identify materials with FMG markings.

- 1. Fire/Windstorm Classification: Class 1A-90.
- 2. Hail Resistance: SH Severe Hail.
- E. Roofing System Design: Provide a membrane roofing system that is identical to systems that have been successfully tested by a qualified testing and inspecting agency to resist the factored design uplift pressures calculated according to SPRI's "Wind Load Design Guide for Fully Adhered and Mechanically Fastened Roofing Systems."

### 1.5 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: For roofing system. Include plans, elevations, sections, details, and attachments to other Work.
  - 1. Base flashings and membrane terminations.
  - 2. Tapered insulation, including slopes.
  - 3. Insulation fastening patterns.
- C. Samples for Verification: For the following products:
  - 1. 12-by-12-inch (300-by-300-mm) square of sheet roofing, of color specified, including T-shaped side and end lap seam.
  - 2. 12-by-12-inch (300-by-300-mm) square of roof insulation.
  - 3. 12-by-12-inch (300-by-300-mm) square of walkway pads.
  - 4. 12-inch (300-mm) length of metal termination bars.
  - 5. Fasteners of each type, length, and finish required for attachment of insulation, roof board, etc.
- D. Installer Certificates: Signed by roofing system manufacturer certifying that Installer is approved, authorized, or licensed by manufacturer to install roofing system.
- E. Manufacturer Certificates: Signed by roofing manufacturer certifying that roofing system complies with requirements specified in "Performance Requirements" Article.
  - 1. Submit evidence of meeting performance requirements.
- F. Qualification Data: For Installer and manufacturer.
- G. Product Test Reports: Based on evaluation of comprehensive tests performed by manufacturer and witnessed by a qualified testing agency, for components of roofing system.
- H. Research/Evaluation Reports: For components of membrane roofing system.
- I. Maintenance Data: For roofing system to include in maintenance manuals.
- J. Warranties: Special warranties specified in this Section.

K. Inspection Report: Copy of roofing system manufacturer's inspection report of completed roofing installation.

## 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified firm that is approved, authorized, or licensed by roofing system manufacturer to install manufacturer's product and that is eligible to receive manufacturer's warranty.
- B. Manufacturer Qualifications: A qualified manufacturer that has UL listing and FMG approval for membrane roofing system identical to that used for this Project.
- C. Testing Agency Qualifications: An independent testing agency with the experience and capability to conduct the testing indicated, as documented according to ASTM E 548.
- D. Source Limitations: Obtain components for membrane roofing system from same manufacturer as roofing membrane and/or approved by roofing membrane manufacturer in order to meet specified warranty requirements.
- E. Fire-Test-Response Characteristics: Provide membrane roofing materials with the fire-test-response characteristics indicated as determined by testing identical products per test method below by UL, FMG, or another testing and inspecting agency acceptable to authorities having jurisdiction. Materials shall be identified with appropriate markings of applicable testing and inspecting agency.
  - 1. Exterior Fire-Test Exposure: Class A; ASTM E 108, for application and roof slopes indicated.
  - 2. Fire-Resistance Ratings: ASTM E 119, for fire-resistance-rated roof assemblies of which roofing system is a part.
- F. Pre-installation Conference: Conduct conference at Project site. Comply with requirements in Division 01 Section "Project Management and Coordination." Review methods and procedures related to roofing system including, but not limited to, the following:
  - 1. Meet with Owner; Architect; Owner's insurer if applicable; testing and inspecting agency representative; roofing Installer; roofing system manufacturer's representative; and installers whose work interfaces with or affects roofing, including installers of roof accessories and roof-mounted equipment.
  - 2. Review methods and procedures related to roofing installation, including manufacturer's written instructions.
  - 3. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
  - 4. Examine deck substrate conditions and finishes for compliance with requirements, including flatness and fastening.
  - 5. Review structural loading limitations of roof deck during and after roofing.
  - 6. Review base flashings, special roofing details, roof drainage, roof penetrations, equipment curbs, and condition of other construction that will affect roofing system.
  - 7. Review governing regulations and requirements for insurance and certificates if applicable.

- 8. Review temporary protection requirements for roofing system during and after installation.
- 9. Review roof observation and repair procedures after roofing installation.

## 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver roofing materials to Project site in original containers with seals unbroken and labeled with manufacturer's name, product brand name and type, date of manufacture, and directions for storing and mixing with other components.
- B. Store liquid materials in their original undamaged containers in a clean, dry, protected location and within the temperature range required by roofing system manufacturer. Protect stored liquid material from direct sunlight.
  - 1. Discard and legally dispose of liquid material that cannot be applied within its stated shelf life.
- C. Protect roof insulation materials from physical damage and from deterioration by sunlight, moisture, soiling, and other sources. Store in a dry location. Comply with insulation manufacturer's written instructions for handling, storing, and protecting during installation.
- D. Handle and store roofing materials and place equipment in a manner to avoid permanent deflection of deck.

## 1.8 PROJECT CONDITIONS

A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit roofing system to be installed according to manufacturer's written instructions and warranty requirements.

## 1.9 WARRANTY

- A. Special Warranty: Manufacturer's standard form, without monetary limitation, in which manufacturer agrees to repair or replace components of membrane roofing system that fail in materials or workmanship within specified warranty period. Failure includes roof leaks.
  - 1. Special warranty shall be a Total System Warranty which shall include roofing membrane, base flashings, roofing accessories, roof insulation, fasteners, cover boards, vapor retarder, walkway products, etc. and other components of membrane roofing system.
  - 2. Warranty Period: 20 years from date of Substantial Completion.

## PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the products specified.
  - 2. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the manufacturers specified.

## 2.2 EPDM ROOFING MEMBRANE

- A. EPDM Roofing Membrane: ASTM D 4637, Type I, nonreinforced uniform, flexible sheet made from EPDM, and as follows:
  - 1. Available Manufacturers:
    - a. Carlisle SynTec Incorporated.
    - b. Firestone Building Products Company.
    - c. GenFlex Roofing Systems.
    - d. Johns Manville International, Inc.
  - 2. Thickness: 60 mils (1.5 mm), nominal.
  - 3. Exposed Face Color: Black.
  - 4. Product: Sure-Seal EPDM Roofing Membrane as manufactured by Carlisle SynTec Incorporated.
  - 5. New work shall maintain all existing warranties.

## 2.3 AUXILIARY MATERIALS

- A. General: Auxiliary materials recommended by roofing system manufacturer for intended use and compatible with membrane roofing.
  - 1. Liquid-type auxiliary materials shall meet VOC limits of authorities having jurisdiction.
- B. Sheet Flashing: 60-mil- (1.5-mm-) thick EPDM, partially cured or cured, according to application.
- C. Bonding Adhesive: Manufacturer's standard bonding adhesive.
- D. Seaming Material: Manufacturer's standard synthetic-rubber polymer primer and 6-inch wide minimum, butyl splice tape with release film.
- E. Lap Sealant: Manufacturer's standard single-component sealant, color to match roofing membrane.

14428.11

- F. Water Cutoff Mastic: Manufacturer's standard butyl mastic sealant.
- G. Metal Termination Bars: Manufacturer's standard predrilled stainless-steel or aluminum bars, approximately 1 by 1/8 inch (25 by 3 mm) thick; with anchors.
- H. Metal Battens: Manufacturer's standard aluminum-zinc-alloy-coated or zinc-coated steel sheet, approximately 1 inch (25 mm) wide by 0.05 inch (1.3 mm) thick, prepunched.
- I. Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening membrane to substrate, and acceptable to membrane roofing system manufacturer.
- J. Miscellaneous Accessories: Provide pourable sealers, preformed cone and vent sheet flashings, preformed inside and outside corner sheet flashings, T-joint covers, in-seam sealants, termination reglets, cover strips, and other accessories.
- K. Liquid coating, specifically formulated for coating EPDM roofing membrane, as follows:
  - 1. Type: Acrylic emulsion.
  - 2. Color: White.

## 2.4 SUBSTRATE BOARDS

- A. Substrate Board: ASTM C 1177/C 1177M, glass-mat, water-resistant gypsum substrate, 1/2 inch (13 mm) thick.
  - 1. Product: Subject to compliance with requirements, provide "Dens-Deck" manufactured by Georgia-Pacific Corporation.
- B. Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening substrate panel to roof deck.

## 2.5 ROOF INSULATION

- A. General: Provide preformed roof insulation boards that comply with requirements and referenced standards, selected from manufacturer's standard sizes and of thicknesses indicated.
- B. Polyisocyanurate Board Insulation: ASTM C 1289, Type II, Grade II, Class 1 fiberglass facer on both major surfaces.
  - 1. Manufacturers:
    - a. Carlisle SynTec Incorporated.
- C. Provide factory-tapered insulation boards fabricated to slope of 1/4 inch per 12 inches, unless otherwise indicated.
- D. Provide preformed saddles, crickets, tapered edge strips, and other insulation shapes where indicated for sloping to drain. Fabricate to slopes indicated.

- A. General: Furnish roof insulation accessories recommended by insulation manufacturer for intended use and compatible with membrane roofing.
- B. Fasteners: Factory-coated steel fasteners and metal or plastic plates meeting corrosion-resistance provisions in FMG 4470, designed for fastening roof insulation to substrate, and acceptable to roofing system manufacturer.
- C. Cold Fluid-Applied Adhesive: Manufacturer's standard cold fluid-applied adhesive formulated to adhere roof insulation to substrate.
- D. Cover Board: ASTM C 1177/C 1177M, glass-mat, water-resistant gypsum substrate, 1/2 inch (13 mm) thick.
  - 1. Product: Subject to compliance with requirements, provided "Dens-Deck Prime" manufactured by Georgia-Pacific Corporation.

## 2.7 WALKWAYS

A. Flexible Walkways: Factory-formed, nonporous, heavy-duty, solid-rubber, slip-resisting, surface-textured walkway pads, approximately 3/16 inch (5 mm) thick, and acceptable to membrane roofing system manufacturer.

## **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with the following requirements and other conditions affecting performance of roofing system:
  - 1. Verify that roof openings and penetrations are in place and set and braced and that roof drains are securely clamped in place.
  - 2. Verify that wood blocking, curbs, and nailers are securely anchored to roof deck at penetrations and terminations and that nailers match thicknesses of insulation.
  - 3. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 PREPARATION

- A. Clean substrate of dust, debris, moisture, and other substances detrimental to roofing installation according to roofing system manufacturer's written instructions. Remove sharp projections.
- B. Prevent materials from entering and clogging roof drains and conductors and from spilling or migrating onto surfaces of other construction. Remove roof-drain plugs when no work is taking place or when rain is forecast.

07 5320-8

C. Complete terminations and base flashings and provide temporary seals to prevent water from entering completed sections of roofing system at the end of the workday or when rain is forecast. Remove and discard temporary seals before beginning work on adjoining roofing.

#### 3.3 SUBSTRATE BOARD

- A. Install substrate board with long joints in continuous straight lines, perpendicular to roof slopes with end joints staggered between rows. Tightly butt substrate boards together.
  - 1. Fasten substrate board to top flanges of steel deck to resist uplift pressure at corners, perimeter, and field of roof according to recommendations in FMG's "Approval Guide" for specified Windstorm Resistance Classification, and/or per membrane roofing system manufacturer's written instructions, whichever is more stringent.

#### 3.4 INSULATION INSTALLATION

- A. Coordinate installing membrane roofing system components so insulation is not exposed to precipitation or left exposed at the end of the workday.
- B. Comply with membrane roofing system manufacturer's written instructions for installing roof insulation.
- C. Install tapered insulation under area of roofing to conform to slopes indicated.
- D. Install one or more layers of insulation under area of roofing to achieve required thickness. Where overall insulation thickness is 2 inches (50 mm) or greater, install 2 or more layers with joints of each succeeding layer staggered from joints of previous layer a minimum of 6 inches (150 mm) in each direction.
- E. Trim surface of insulation where necessary at roof drains so completed surface is flush and does not restrict flow of water.
- F. Install insulation with long joints of insulation in a continuous straight line with end joints staggered between rows, abutting edges and ends between boards. Fill gaps exceeding 1/4 inch (6 mm) with insulation.
  - 1. Cut and fit insulation within 1/4 inch (6 mm) of nailers, projections, and penetrations.
- G. Adhered Insulation: Install each layer of insulation and adhere to substrate per roofing membrane manufacturer's written instructions.
  - 1. Set each layer of insulation in a cold fluid-applied adhesive.
- H. Mechanically Fastened Insulation: Install each layer of insulation and secure to deck using mechanical fasteners specifically designed and sized for fastening specified board-type roof insulation to deck type.
  - 1. Fasten insulation according to requirements in FMG's "Approval Guide" for specified Windstorm Resistance Classification.
  - 2. Fasten insulation to resist uplift pressure at corners, perimeter, and field of roof.

- I. Mechanically Fastened and Adhered Insulation: Install each layer of insulation and secure first layer of insulation to deck using mechanical fasteners specifically designed and sized for fastening specified board-type roof insulation to deck type.
  - 1. Fasten first layer of insulation according to requirements in FMG's "Approval Guide" for specified Windstorm Resistance Classification.
  - 2. Fasten insulation to resist uplift pressure at corners, perimeter, and field of roof.
  - 3. Install subsequent layers of insulation in a cold fluid-applied adhesive.
- J. Install cover boards over insulation with long joints in continuous straight lines with end joints staggered between rows. Loosely butt cover boards together and fasten to roof deck.
  - 1. Fasten insulation according to requirements in FMG's "Approval Guide" for specified Windstorm Resistance Classification.
  - 2. Fasten insulation to resist uplift pressure at corners, perimeter, and field of roof.

## 3.5 ADHERED ROOFING MEMBRANE INSTALLATION

- A. Install roofing membrane over area to receive roofing according to membrane roofing system manufacturer's written instructions. Unroll roofing membrane and allow to relax before installing.
- B. Accurately align roofing membrane and maintain uniform side and end laps of minimum dimensions required by manufacturer. Stagger end laps.
- C. Bonding Adhesive: Apply bonding adhesive to substrate and underside of roofing membrane at rate required by manufacturer and allow to partially dry before installing roofing membrane. Do not apply bonding adhesive to splice area of roofing membrane.
- D. Mechanically or adhesively fasten roofing membrane securely at terminations, penetrations, and perimeter of roofing.
- E. Apply roofing membrane with side laps shingled with slope of roof deck where possible.
- F. Adhesive Seam Installation: Clean both faces of splice areas, apply splicing cement, and firmly roll side and end laps of overlapping roofing membranes according to manufacturer's written instructions to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of roofing membrane terminations.
  - 1. Apply a continuous bead of in-seam sealant before closing splice if required by membrane roofing system manufacturer.
- G. Tape Seam Installation: Clean and prime both faces of splice areas, apply splice tape, and firmly roll side and end laps of overlapping roofing membranes according to manufacturer's written instructions to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of roofing membrane terminations.
- H. Repair tears, voids, and lapped seams in roofing that does not meet requirements.

- I. Spread sealant or mastic bed over deck drain flange at deck drains and securely seal roofing membrane in place with clamping ring.
- J. Install roofing membrane and auxiliary materials to tie in to existing roofing.

## 3.6 BASE FLASHING INSTALLATION

- A. Install sheet flashings and preformed flashing accessories and adhere to substrates according to membrane roofing system manufacturer's written instructions.
- B. Apply bonding adhesive to substrate and underside of sheet flashing at required rate and allow to partially dry. Do not apply bonding adhesive to seam area of flashing.
- C. Flash penetrations and field-formed inside and outside corners with cured or uncured sheet flashing.
- D. Clean splice areas, apply splicing cement, and firmly roll side and end laps of overlapping sheets to ensure a watertight seam installation. Apply lap sealant and seal exposed edges of sheet flashing terminations.
- E. Terminate and seal top of sheet flashings and mechanically anchor to substrate through termination bars.

## 3.7 COATING INSTALLATION

A. Apply coatings to roofing membrane according to manufacturer's written recommendations, by spray, roller, or other suitable application method.

## 3.8 WALKWAY INSTALLATION

A. Flexible Walkways: Install walkway products in locations indicated. Adhere walkway products to substrate with compatible adhesive according to roofing system manufacturer's written instructions.

## 3.9 FIELD QUALITY CONTROL

- A. Final Roof Inspection: Arrange for roofing system manufacturer's technical personnel to inspect roofing installation on completion and submit report to Architect.
  - 1. Notify Architect and Owner 48 hours in advance of date and time of inspection.
- B. Repair or remove and replace components of membrane roofing system where test results or inspections indicate that they do not comply with specified requirements.
- C. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.

14428.11

## 3.10 PROTECTING AND CLEANING

- A. Protect membrane roofing system from damage and wear during remainder of construction period. When remaining construction will not affect or endanger roofing, inspect roofing for deterioration and damage, describing its nature and extent in a written report, with copies to Architect and Owner.
- B. Correct deficiencies in or remove membrane roofing system that does not comply with requirements, repair substrates and repair or reinstall membrane roofing system to a condition free of damage and deterioration at time of Substantial Completion and according to warranty requirements.
- C. Clean overspray and spillage from adjacent construction using cleaning agents and procedures recommended by manufacturer of affected construction.

END OF SECTION 07 5320

## SHEET METAL FLASHING AND TRIM

#### SECTION 07 6200 - SHEET METAL FLASHING AND TRIM

## PART 1 - GENERAL

#### 1.1 **RELATED DOCUMENTS**

Drawings and general provisions of the Contract, including General and Supplementary A. Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 **SUMMARY**

- Section Includes: A.
  - 1. Flashing.

#### 1.3 PERFORMANCE REQUIREMENTS

- General: Sheet metal flashing and trim assemblies as indicated shall withstand wind loads, A. structural movement, thermally induced movement, and exposure to weather without failure due to defective manufacture, fabrication, installation, or other defects in construction. Completed sheet metal flashing and trim shall not rattle, leak, or loosen, and shall remain watertight.
- В. Thermal Movements: Provide sheet metal flashing and trim that allows for thermal movements from ambient and surface temperature changes.
  - 1. Temperature Change (Range): 120 deg F (67 deg C), ambient; 180 deg F (100 deg C), material surfaces.

#### 1.4 **SUBMITTALS**

- Product Data: For each type of product indicated. Include construction details, material A. descriptions, dimensions of individual components and profiles, and finishes for each manufactured product and accessory.
- B. Shop Drawings: Show fabrication and installation layouts of sheet metal flashing and trim, including plans, elevations, expansion-joint locations, and keyed details. Distinguish between shop- and field-assembled work. Include the following:
  - Identification of material, thickness, weight, and finish for each item and location in 1. Project.
  - 2. Details for forming sheet metal flashing and trim, including profiles, shapes, seams, and dimensions.
  - 3. Details for joining, supporting, and securing sheet metal flashing and trim, including layout of fasteners, cleats, clips, and other attachments. Include pattern of seams.
  - Details of termination points and assemblies, including fixed points. 4.

- 5. Details of expansion joints and expansion-joint covers, including showing direction of expansion and contraction.
- 6. Details of edge conditions, including eaves, ridges, valleys, rakes, crickets, and counterflashings as applicable.
- 7. Details of special conditions.
- 8. Details of connections to adjoining work.
- C. Qualification Data: For qualified fabricator.
- D. Maintenance Data: For sheet metal flashing, trim, and accessories to include in maintenance manuals.
- E. Warranty: Sample of special warranty.

## 1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom fabricate sheet metal flashing and trim similar to that required for this Project and whose products have a record of successful in-service performance.
- B. Sheet Metal Flashing and Trim Standard: Comply with SMACNA's "Architectural Sheet Metal Manual" unless more stringent requirements are specified or shown on Drawings.
- C. Copper Sheet Metal Standard: Comply with CDA's "Copper in Architecture Handbook." Conform to dimensions and profiles shown unless more stringent requirements are indicated.

## 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Do not store sheet metal flashing and trim materials in contact with other materials that might cause staining, denting, or other surface damage. Store sheet metal flashing and trim materials away from uncured concrete and masonry.
- B. Protect strippable protective covering on sheet metal flashing and trim from exposure to sunlight and high humidity, except to the extent necessary for the period of sheet metal flashing and trim installation.

## PART 2 - PRODUCTS

## 2.1 SHEET METALS

- A. General: Protect mechanical and other finishes on exposed surfaces from damage by applying a strippable, temporary protective film before shipping.
- B. Copper Sheet: ASTM B 370, cold-rolled copper sheet, H00 or H01 temper.
  - 1. Non-Patinated Exposed Finish: Mill.

- C. Aluminum Sheet: ASTM B 209 (ASTM B 209M), alloy as standard with manufacturer for finish required, with temper as required to suit forming operations and performance required.
  - 1. As-Milled Finish: Standard two-side bright finish.
  - 2. Surface: Smooth, flat.
- D. Stainless-Steel Sheet: ASTM A 240/A 240M or ASTM A 666, Type 304, dead soft, fully annealed.
  - 1. Finish: 2B (bright, cold rolled).
  - 2. Surface: Smooth, flat.

#### 2.2 **UNDERLAYMENT MATERIALS**

- Felt: ASTM D 226, Type II (No. 30), asphalt-saturated organic felt, nonperforated. A.
- Self-Adhering, High-Temperature Sheet: Minimum 30 to 40 mils (0.76 to 1.0 mm) thick, В. consisting of slip-resisting polyethylene-film top surface laminated to layer of butyl or SBSmodified asphalt adhesive, with release-paper backing; cold applied. Provide primer when recommended by underlayment manufacturer.
  - Thermal Stability: ASTM D 1970; stable after testing at 240 deg F (116 deg C). 1.
  - 2. Low-Temperature Flexibility: ASTM D 1970; passes after testing at minus 20 deg F (29) deg C).
  - 3. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - Carlisle Coatings & Waterproofing Inc.; CCW WIP 300HT. a.
    - Grace Construction Products, a unit of W. R. Grace & Co.; Ultra. b.
    - Henry Company; Blueskin PE200 HT. c.
    - Owens Corning; WeatherLock Metal High Temperature Underlayment. d.
- C. Slip Sheet: Building paper, 3-lb/100 sq. ft. (0.16-kg/sq. m) minimum, rosin sized.

#### 2.3 MISCELLANEOUS MATERIALS

- General: Provide materials and types of fasteners, solder, welding rods, protective coatings, A. separators, sealants, and other miscellaneous items as required for complete sheet metal flashing and trim installation and recommended by manufacturer of primary sheet metal or manufactured item unless otherwise indicated.
- В. Fasteners: Wood screws, annular threaded nails, self-tapping screws, self-locking rivets and bolts, and other suitable fasteners designed to withstand design loads and recommended by manufacturer of primary sheet metal or manufactured item.
  - 1. General: Blind fasteners or self-drilling screws, gasketed, with hex-washer head.
    - Exposed Fasteners: Heads matching color of sheet metal using plastic caps or a. factory-applied coating.

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- Blind Fasteners: High-strength aluminum or stainless-steel rivets suitable for b. metal being fastened.
- 2. Fasteners for Copper Sheet: Copper, hardware bronze or Series 300 stainless steel.
- Fasteners for Aluminum Sheet: Aluminum or Series 300 stainless steel. 3.
- 4. Fasteners for Stainless-Steel Sheet: Series 300 stainless steel.

#### C. Solder:

- For Copper: ASTM B 32, Grade Sn50, 50 percent tin and 50 percent lead. 1.
- For Stainless Steel: ASTM B 32, Grade Sn60, with an acid flux of type recommended by 2. stainless-steel sheet manufacturer.
- Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant D. tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape 1/2 inch (13 mm) wide and 1/8 inch (3 mm) thick.
- Elastomeric Sealant: ASTM C 920, elastomeric polymer sealant; low modulus; of type, grade, E. class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.
- F. Butyl Sealant: ASTM C 1311, single-component, solvent-release butyl rubber sealant; polyisobutylene plasticized; heavy bodied for hooked-type expansion joints with limited movement.
- G. Epoxy Seam Sealer: Two-part, noncorrosive, aluminum seam-cementing compound, recommended by aluminum manufacturer for exterior nonmoving joints, including riveted joints.
- Bituminous Coating: Cold-applied asphalt emulsion complying with ASTM D 1187. H.
- I. Asphalt Roofing Cement: ASTM D 4586, asbestos free, of consistency required for application.

#### 2.4 FABRICATION, GENERAL

- General: Custom fabricate sheet metal flashing and trim to comply with recommendations in A. SMACNA's "Architectural Sheet Metal Manual" that apply to design, dimensions, geometry, metal thickness, and other characteristics of item indicated. Fabricate items at the shop to greatest extent possible.
  - Fabricate sheet metal flashing and trim in thickness or weight needed to comply with 1. performance requirements, but not less than that specified for each application and metal.
  - 2. Obtain field measurements for accurate fit before shop fabrication.
  - Form sheet metal flashing and trim without excessive oil canning, buckling, and tool 3. marks and true to line and levels indicated, with exposed edges folded back to form hems.
  - Conceal fasteners and expansion provisions where possible. Exposed fasteners are not 4. allowed on faces exposed to view.

- Fabrication Tolerances: Fabricate sheet metal flashing and trim that is capable of installation to В. a tolerance of 1/4 inch in 20 feet (6 mm in 6 m) on slope and location lines as indicated and within 1/8-inch (3-mm) offset of adjoining faces and of alignment of matching profiles.
- Sealed Joints: Form nonexpansion but movable joints in metal to accommodate elastomeric C. sealant.
- D. Expansion Provisions: Where lapped expansion provisions cannot be used, form expansion joints of intermeshing hooked flanges, not less than 1 inch (25 mm) deep, filled with butyl sealant concealed within joints.
- E. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal.
- Fabricate cleats and attachment devices of sizes as recommended by SMACNA's "Architectural F. Sheet Metal Manual" and by FMG Loss Prevention Data Sheet 1-49 for application, but not less than thickness of metal being secured.
- Seams: Fabricate nonmoving seams with flat-lock seams. Tin edges to be seamed, form seams, G. and solder.
- Η. Do not use graphite pencils to mark metal surfaces.

#### 2.5 LOW-SLOPE ROOF SHEET METAL FABRICATIONS

- Flashing: Fabricate from the following materials: A.
  - 1. Copper: 16 oz./sq. ft. (0.55 mm thick) weight (thickness).
  - Aluminum: 0.032 inch thick. 2.
  - 3. Stainless Steel: 0.019 inch thick.

#### 2.6 MISCELLANEOUS SHEET METAL FABRICATIONS

- Equipment Support Flashing: Fabricate from the following materials: A.
  - Stainless Steel: 0.019 inch (0.48 mm) thick.

## **PART 3 - EXECUTION**

#### 3.1 **EXAMINATION**

- Examine substrates, areas, and conditions, with Installer present, to verify actual locations, A. dimensions and other conditions affecting performance of the Work.
  - Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely 1. anchored.
- Proceed with installation only after unsatisfactory conditions have been corrected. В.

- A. General: Install underlayment as indicated.
- B. Felt Underlayment: Install felt underlayment with adhesive for temporary anchorage to minimize use of mechanical fasteners under sheet metal flashing and trim. Apply in shingle fashion to shed water, with lapped joints of not less than 2 inches (50 mm).
- C. Self-Adhering Sheet Underlayment: Install self-adhering sheet underlayment, wrinkle free. Apply primer if required by underlayment manufacturer. Comply with temperature restrictions of underlayment manufacturer for installation; use primer rather than nails for installing underlayment at low temperatures. Apply in shingle fashion to shed water, with end laps of not less than 6 inches (150 mm) staggered 24 inches (600 mm) between courses. Overlap side edges not less than 3-1/2 inches (90 mm). Roll laps with roller. Cover underlayment within 14 days.

## 3.3 INSTALLATION, GENERAL

- A. General: Anchor sheet metal flashing and trim and other components of the Work securely in place, with provisions for thermal and structural movement. Use fasteners, solder, welding rods, protective coatings, separators, sealants, and other miscellaneous items as required to complete sheet metal flashing and trim system.
  - 1. Install sheet metal flashing and trim true to line and levels indicated. Provide uniform, neat seams with minimum exposure of solder, welds, and sealant.
  - 2. Install sheet metal flashing and trim to fit substrates and to result in watertight performance. Verify shapes and dimensions of surfaces to be covered before fabricating sheet metal.
  - 3. Space cleats not more than 12 inches (300 mm) apart. Anchor each cleat with two fasteners. Bend tabs over fasteners.
  - 4. Install exposed sheet metal flashing and trim without excessive oil canning, buckling, and tool marks.
  - 5. Install sealant tape where indicated.
  - 6. Torch cutting of sheet metal flashing and trim is not permitted.
  - 7. Do not use graphite pencils to mark metal surfaces.
- B. Metal Protection: Where dissimilar metals will contact each other or corrosive substrates, protect against galvanic action by painting contact surfaces with bituminous coating or by other permanent separation as recommended by SMACNA.
  - 1. Coat back side of uncoated aluminum and stainless-steel sheet metal flashing and trim with bituminous coating where flashing and trim will contact wood, ferrous metal, or cementitious construction.
  - 2. Underlayment: Where installing metal flashing directly on cementitious or wood substrates, install a course of felt underlayment and cover with a slip sheet or install a course of polyethylene sheet.
- C. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim. Space movement joints at a maximum of 10 feet (3 m) with no joints allowed within 24 inches (600 mm) of corner or intersection. Where lapped expansion provisions cannot be used or would not

be sufficiently watertight, form expansion joints of intermeshing hooked flanges, not less than 1 inch (25 mm) deep, filled with sealant concealed within joints.

- D. Fastener Sizes: Use fasteners of sizes recommended by fastener manufacturer to achieve maximum pull-out resistance.
- E. Seal joints as required for watertight construction.
  - 1. Where sealant-filled joints are used, embed hooked flanges of joint members not less than 1 inch (25 mm) into sealant. Form joints to completely conceal sealant. When ambient temperature at time of installation is moderate, between 40 and 70 deg F (4 and 21 deg C), set joint members for 50 percent movement each way. Adjust setting proportionately for installation at higher ambient temperatures. Do not install sealant-type joints at temperatures below 40 deg F (4 deg C).
  - 2. Prepare joints and apply sealants to comply with requirements in Division 07 Section "Joint Sealants."
- F. Soldered Joints: Clean surfaces to be soldered, removing oils and foreign matter. Pre-tin edges of sheets to be soldered to a width of 1-1/2 inches (38 mm), except reduce pre-tinning where pre-tinned surface would show in completed Work.
  - 1. Do not solder aluminum sheet.
  - 2. Do not use torches for soldering. Heat surfaces to receive solder and flow solder into joint. Fill joint completely. Completely remove flux and spatter from exposed surfaces.
  - 3. Stainless-Steel Soldering: Tin edges of uncoated sheets using solder recommended for stainless steel and acid flux. Promptly remove acid flux residue from metal after tinning and soldering. Comply with solder manufacturer's recommended methods for cleaning and neutralization.
  - 4. Copper Soldering: Tin edges of uncoated copper sheets using solder for copper.

## 3.4 ROOF FLASHING INSTALLATION

- A. General: Install sheet metal flashing and trim to comply with performance requirements, sheet metal manufacturer's written installation instructions, and SMACNA's "Architectural Sheet Metal Manual." Provide concealed fasteners where possible, set units true to line, and level as indicated. Install work with laps, joints, and seams that will be permanently watertight and weather resistant.
- B. Counterflashing: Coordinate installation of counterflashing with installation of base flashing. Insert counterflashing in existing mortar joints, reglets or receivers and fit tightly to base flashing. Extend counterflashing 4 inches (100 mm) over base flashing. Lap counterflashing joints a minimum of 4 inches (100 mm) and bed with sealant. Secure in a waterproof manner.
- C. Roof-Penetration Flashing: Coordinate installation of roof-penetration flashing with installation of roofing and other items penetrating roof. Seal with elastomeric sealant and clamp flashing to pipes that penetrate roof.

## 07 6200-8

## 3.5 MISCELLANEOUS FLASHING INSTALLATION

A. Equipment Support Flashing: Coordinate installation of equipment support flashing with installation of roofing and equipment. Weld or seal flashing with elastomeric sealant to equipment support member.

## 3.6 ERECTION TOLERANCES

A. Installation Tolerances: Shim and align sheet metal flashing and trim within installed tolerance of 1/4 inch in 20 feet (6 mm in 6 m) on slope and location lines as indicated and within 1/8-inch (3-mm) offset of adjoining faces and of alignment of matching profiles.

## 3.7 CLEANING AND PROTECTION

- A. Clean exposed metal surfaces of substances that interfere with uniform oxidation and weathering.
- B. Clean and neutralize flux materials. Clean off excess solder.
- C. Clean off excess sealants.
- D. Remove temporary protective coverings and strippable films as sheet metal flashing and trim are installed unless otherwise indicated in manufacturer's written installation instructions. On completion of installation, remove unused materials and clean finished surfaces. Maintain in a clean condition during construction.
- E. Replace sheet metal flashing and trim that have been damaged or that have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 07 6200

#### SECTION 07 7100 - ROOF SPECIALTIES

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. This Section includes the following manufactured roof specialties:
  - 1. Roof edge flashings and fascia.
  - 2. Counterflashings.

## 1.3 PERFORMANCE REQUIREMENTS

- A. General: Manufacture and install manufactured roof specialties to resist thermally induced movement and exposure to weather without failing, rattling, leaking, and fastener disengagement.
- B. FMG Listing: Manufacture and install roof edge flashings that are listed in FMG's "Approval Guide" and approved for Windstorm Classification, Class 1-90. Identify materials with FMG markings.
- C. Uniform Wind Load Capacity: Provide systems that, when installed, shall withstand positive and negative design wind loading pressures complying with all applicable codes and regulations having jurisdiction over this Project. Test pressures for testing purposes shall be based on scientifically obtained wind pressure coefficients. Capacity shall be determined using test procedures established by SPRI Wind Design Guide for Edge Systems Used With Low Slope Roofing Systems. Systems shall also comply with Factory Mutual Engineering & Research (FM), Loss Prevention Data 1-49, Perimeter Flashing, latest edition.
- D. Thermal Movements: Provide manufactured roof specialties that allow for thermal movements resulting from the following maximum change (range) in ambient and surface temperatures by preventing buckling, opening of joints, hole elongation, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Provide clips that resist rotation and avoid shear stress as a result of thermal movements. Base engineering calculation on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
  - 1. Temperature Change (Range): 120 deg F (67 deg C), ambient; 180 deg F (100 deg C), material surfaces.
- E. Water Infiltration: Provide manufactured roof specialties that do not allow water infiltration to building interior.
- F. Applicable Standards:

- 07 7100-2
- 1. Aluminum Association (AA), Design System for Aluminum Finishes.
- 2. American Architectural Manufacturer's Association (AAMA), standards as referenced herein
- 3. Factory Mutual Engineering & Research (FM), Loss Prevention Data 1-49, Perimeter Flashing, latest edition.
- 4. Single Ply Roofing Institute (SPRI), Wind Design Guide for Edge Systems used With Low Slope Roofing Systems, latest edition.

## 1.4 SUBMITTALS

- A. Product Data: For each type of product indicated. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: Show layouts of manufactured roof specialties, including plans and elevations. Identify factory- vs. field-assembled work. Include the following:
  - 1. Details for fastening, joining, supporting, and anchoring manufactured roof specialties including fasteners, clips, cleats, and attachments to adjoining work.
  - 2. Details for expansion and contraction.
- C. Fabrication Samples: For copings, roof edge flashings, and counterflashings made from 12-inch (300-mm) lengths of full-size components including fasteners, cover joints, accessories, and attachments.
- D. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, verifying compliance of copings and roof edge flashings with performance requirements.
- E. Intent to Warrant: Submit an intent to warrant, executed by an authorized representative of system manufacturer, indicating that manufacturer has reviewed drawings, specifications and conditions affecting the work, and proposes to provide warranties as referenced herein without further stipulation.
- F. All details shall be submitted to roofing system manufacturer and approved for use by the manufacturer as to meeting requirements necessary to obtain the total system warranty. Certification of said approval shall be submitted prior to fabrication.

## 1.5 QUALITY ASSURANCE

- A. Product Options: Information on Drawings and in Specifications establishes requirements for system's aesthetic effects and performance characteristics. Aesthetic effects are indicated by dimensions, arrangements, alignment, and profiles of components and assemblies as they relate to sightlines, to one another, and to adjoining construction. Performance characteristics are indicated by criteria subject to verification by one or more methods including preconstruction testing, field testing, and in-service performance.
  - 1. Do not modify intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If modifications are proposed, submit comprehensive explanatory data to Architect for review.

## 1.6 DELIVERY, STORAGE AND HANDLING

- A. Store materials off ground under cover. Protect from damage and deterioration.
- B. Handle materials to prevent damage to surfaces, edges and ends of sheet metal items. Reject and remove damaged material from site.

## 1.7 WARRANTIES

- A. Provide Installer's Warranty warranting system to be free of defects in materials and workmanship and to be installed in strict accordance with manufacturer's shop drawings and/or installation instructions. Warranty period shall be twenty years.
- B. Provide Manufacturer's Warranty warranting system to be free of defects in materials and workmanship, to resist blow-off and to be leak tight. Warranty period shall be twenty years.
- C. Provide manufacturer s warranty warranting anodized coatings to be free from defects including checking, peeling or fading for a period of two years.
- D. Warranties shall begin on Date of Substantial Completion.

#### 1.8 COORDINATION

A. Coordinate installation of manufactured roof specialties with interfacing and adjoining construction to provide a leakproof, secure, and noncorrosive installation.

## PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:
  - 1. Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, products specified.
  - 2. Basis-of-Design Product: The designs for roof specialties are based on the products named. Subject to compliance with requirements, provide either the named products or comparable products.

## 2.2 EXPOSED METALS

- A. Copper Sheet: ASTM B 370, Temper H00 or H01, cold rolled, mill finished.
- B. Aluminum Sheet: ASTM B 209 (ASTM B 209M), alloy and temper recommended by manufacturer for use and finish indicated, finished as follows:
  - 1. Surface: Smooth, flat finish.

- 07 7100-4
- 2. High-Performance Organic Finish (Two-Coat Fluoropolymer): AA-C12C40R1x (Chemical Finish: cleaned with inhibited chemicals; Chemical Finish: conversion coating; Organic Coating: manufacturer's standard two-coat, thermocured system consisting of specially formulated inhibitive primer and fluoropolymer color topcoat containing not less than 70 percent polyvinylidene fluoride resin by weight). Prepare, pretreat, and apply coating to exposed metal surfaces to comply with AAMA 2605 and with coating and resin manufacturers' written instructions.
  - a. Color and Gloss: As selected by Architect from full range of industry colors and color densities.
- C. Aluminum Extrusions: ASTM B 221 (ASTM B 221M), alloy and temper recommended by manufacturer for type of use and finish indicated, finished as follows:
  - 1. Anodic Finish: Apply the following finish:
    - a. Class I, Clear Anodic Finish: AA-M12C22A41 (Mechanical Finish: nonspecular as fabricated; Chemical Finish: etched, medium matte; Anodic Coating: Architectural Class I, clear coating 0.018 mm or thicker) complying with AAMA 611.
- D. Stainless-Steel Sheet: ASTM A 240/A 240M, Type 304, No. 2B (bright, reflective) finish.

## 2.3 CONCEALED METALS

- A. Aluminum Sheet: ASTM B 209 (ASTM B 209M), alloy and temper recommended by manufacturer for use and structural performance indicated, mill finished.
- B. Aluminum Extrusions: ASTM B 221 (ASTM B 221M), alloy and temper recommended by manufacturer for type of use and structural performance indicated, mill finished.
- C. Stainless-Steel Sheet: ASTM A 240/A 240M, Type 316.

## 2.4 MISCELLANEOUS MATERIALS

- A. General: Provide materials and types of fasteners, protective coatings, separators, sealants, and other miscellaneous items required by manufacturer for a complete installation.
- B. Wood Nailers: Preservative-treated wood.
- C. Fasteners: Manufacturer's recommended fasteners, suitable for application and designed to withstand design loads.
  - 1. Exposed Penetrating Fasteners: Gasketed screws with hex washer heads matching color of sheet metal.
- D. Sealing Tape: Pressure-sensitive, 100 percent solids, polyisobutylene compound sealing tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape.
- E. Elastomeric Sealant: ASTM C 920, elastomeric polymer sealant; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.

14428.11

- F. Butyl Sealant: ASTM C 1311, single-component, solvent-release butyl rubber sealant, polyisobutylene plasticized, heavy bodied for hooked-type expansion joints with limited movement.
- G. Bituminous Coating: Cold-applied asphalt mastic, SSPC-Paint 12, compounded for 15-mil (0.4-mm) dry film thickness per coat. Provide inert-type noncorrosive compound free of asbestos fibers, sulfur components, and other deleterious impurities.
- H. Asphalt Roofing Cement: ASTM D 4586, asbestos free, of consistency required for application.
- I. Polyethylene Sheet: 6-mil- (0.15-mm-) thick polyethylene sheet complying with ASTM D 4397.
- J. Felt: ASTM D 226, Type II (No. 30), asphalt-saturated organic felt, nonperforated.
  - 1. Slip Sheet: Rosin-sized paper, minimum 3 lb/100 sq. ft. (0.16 kg/sq. m).

## 2.5 ROOF EDGE FLASHINGS

- A. Canted Roof Edge Fascia: Manufactured, two-piece, roof edge fascia consisting of snap-on metal fascia cover in section lengths not exceeding 12 feet (3.6 m) and a continuous formed galvanized steel sheet cant dam, 0.028 inch (0.7 mm) thick, minimum, with integral drip edge cleat. Provide matching mitered and welded corner units.
  - 1. Available Manufacturers:
    - a. MM Systems Corporation.
  - 2. Available Products:
    - a. SLF-Series I Extruded, Extender & Soffit Closure.
  - 3. Fascia Cover: Fabricated from the following exposed metal:
    - a. Extruded Aluminum: 0.040 inch (1.0 mm) thickness (minimum).
  - 4. Fascia Cover Color: As selected by Architect.
  - 5. Splice Plates: Concealed, of same material, finish, and shape as fascia cover.
  - 6. Fascia Accessories: Fascia extenders with continuous extruded soffit trim.
  - 7. Provide extenders as needed so as to match the existing fascia profile, geometry, etc.

## 2.6 COUNTERFLASHINGS

- A. Counterflashings: Manufactured units in lengths not exceeding 12 feet (3.6 m) for insertion into existing mortar joints and compress against base flashings with joints lapped, from the following exposed metal in thickness indicated:
  - 1. Copper: 16 oz./sq. ft. (0.55 mm thick).

#### PART 3 - EXECUTION

#### 3.1 **EXAMINATION**

- A. Examine substrates, areas, and conditions, with Installer present, to verify actual locations, dimensions, and other conditions affecting performance of work.
  - Examine walls, roof edges, and parapets for suitable conditions for manufactured roof 1. specialties.
  - 2. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely anchored.
  - Proceed with installation only after unsatisfactory conditions have been corrected. 3.

#### 3.2 **INSTALLATION**

- General: Install manufactured roof specialties according to manufacturer's written instructions. A. Anchor manufactured roof specialties securely in place and capable of resisting forces specified in performance requirements. Use fasteners, separators, sealants, and other miscellaneous items as required to complete manufactured roof specialty systems.
  - Install manufactured roof specialties with provisions for thermal and structural 1. movement.
  - 2. Torch cutting of manufactured roof specialties is not permitted.
- Metal Protection: Where dissimilar metals will contact each other or corrosive substrates, B. protect against galvanic action by painting contact surfaces with bituminous coating or by other permanent separation as recommended by manufacturer.
  - 1. Coat concealed side of stainless-steel manufactured roof specialties with bituminous coating where in contact with wood, ferrous metal, or cementitious construction.
  - Underlayment: Where installing exposed-to-view components of manufactured roof 2. specialties directly on cementitious or wood substrates, install a course of felt underlayment and cover with a slip sheet, or install a course of polyethylene underlayment.
  - 3. Bed flanges in thick coat of asphalt roofing cement where required by manufacturers of roof specialties for waterproof performance.
- C. Install manufactured roof specialties level, plumb, true to line and elevation, and without warping, jogs in alignment, excessive oil-canning, buckling, or tool marks.
- D. Install manufactured roof specialties to fit substrates and to result in watertight performance. Verify shapes and dimensions of surfaces to be covered before manufacture.
- Expansion Provisions: Provide for thermal expansion of exposed manufactured roof specialties. Ε. Space movement joints at a maximum of 12 feet (3.6 m) with no unplanned joints within 18 inches (450 mm) of corners or intersections.

- F. Fasteners: Use fasteners of type and size recommended by manufacturer but of sizes that will penetrate substrate not less than 1-1/4 inches (32 mm) for nails and not less than 3/4 inch (19 mm) for wood screws.
- G. Seal joints with sealant as required by manufacturer of roofing specialties.

## 3.3 ROOF EDGE FLASHING INSTALLATION

- A. Install cleats, cant dams, and other anchoring and attachment accessories and devices with concealed fasteners.
- B. Anchor roof edgings to resist uplift and outward forces according to performance requirements.
- C. Install roof edge flashing per manufacturer's written instructions. Make weathertight fit, allowing for expansion and contraction as recommended by manufacturer's written instructions.

## 3.4 COUNTERFLASHING

A. Counterflashings: Coordinate installation of counterflashings with installation of base flashings. Insert counterflashings in existing mortar joints (after removal of mortar) and fit tightly to base flashings. Extend counterflashings 4 inches (100 mm) over base flashings. Lap counterflashing joints a minimum of 4 inches (100 mm) and bed with elastomeric sealant. Repoint mortar joints (see Section 04012).

## 3.5 CLEANING AND PROTECTION

- A. Clean exposed metal surfaces of substances that interfere with uniform oxidation and weathering.
- B. Clean and neutralize flux materials. Clean off excess solder and sealants.
- C. Remove temporary protective coverings and strippable films as manufactured roof specialties are installed. On completion of installation, clean finished surfaces, including removing unused fasteners, metal filings, pop rivet stems, and pieces of flashing. Maintain in a clean condition during construction.
- D. Replace manufactured roof specialties that have been damaged or that cannot be successfully repaired by finish touchup or similar minor repair procedures.

END OF SECTION 07 7100

## SECTION 078413 - PENETRATION FIRESTOPPING

## PART 1 - GENERAL

## 1.1 SUMMARY

- A. Section Includes:
  - 1. Penetrations in fire-resistance-rated walls.
  - 2. Penetrations in horizontal assemblies.
- B. Related Sections:
  - 1. Section 078446 "Fire-Resistive Joint Systems" for joints in or between fire-resistance-rated construction, at exterior curtain-wall/floor intersections, and in smoke barriers.

## 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Product Schedule: For each penetration firestopping system. Include location and design designation of qualified testing and inspecting agency.
  - 1. Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping condition, submit illustration, with modifications marked, approved by penetration firestopping manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

## 1.3 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Installer Certificates: From Installer indicating penetration firestopping has been installed in compliance with requirements and manufacturer's written recommendations.
- C. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for penetration firestopping.

## 1.4 QUALITY ASSURANCE

- A. Installer Qualifications: A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with its "Qualified Firestop Contractor Program Requirements."
  - 1. Be a member in good standing of the Fire Stop Contractors International Association
  - 2. Licensed by a state or local authority, where applicable
  - 3. Approved by the Universities Fire Marshal for each specific Job
  - 4. Shown to have successfully completed not less than 5 comparable size projects.
- B. Fire-Test-Response Characteristics: Penetration firestopping shall comply with the following requirements:
  - 1. Penetration firestopping tests are performed by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Penetration firestopping is identical to those tested per testing standard referenced in "Penetration Firestopping" Article. Provide rated systems complying with the following requirements:
    - a. Penetration firestopping products bear classification marking of qualified testing and inspecting agency.

- b. Classification markings on penetration firestopping correspond to designations listed by the following:
  - 1) UL in its "Fire Resistance Directory."
- C. Preinstallation Conference: Conduct conference at Project site.

## 1.5 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping when ambient or substrate temperatures are outside limits permitted by penetration firestopping manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

## 1.6 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping is installed according to specified requirements.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping.
- C. Notify Owner's testing agency at least seven days in advance of penetration firestopping installations; confirm dates and times on day preceding each series of installations.

## **PART 2 - PRODUCTS**

## 2.1 MANUFACTURERS

A. Basis-of-Design Product: Subject to compliance with requirements, provide Hilti, Inc.

## 2.2 PENETRATION FIRESTOPPING

- A. Provide penetration firestopping that is produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
- B. Penetrations in Fire-Resistance-Rated Walls: Provide penetration firestopping with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. Fire-resistance-rated walls include fire-barrier walls.
  - 2. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- C. Penetrations in Horizontal Assemblies: Provide penetration firestopping with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. Horizontal assemblies include floors.
  - 2. F-Rating: At least 1 hour, but not less than the fire-resistance rating of constructions penetrated.
  - 3. T-Rating: At least 1 hour, but not less than the fire-resistance rating of constructions penetrated except for floor penetrations within the cavity of a wall.
- D. Penetrations in Smoke Barriers: Provide penetration firestopping with ratings determined per UL 1479.

- 1. L-Rating: Not exceeding 5.0 cfm/sq. ft. of penetration opening at 0.30-inch wg at both ambient and elevated temperatures.
- E. W-Rating: Provide penetration firestopping showing no evidence of water leakage when tested according to UL 1479.
- F. Exposed Penetration Firestopping: Provide products with flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.
- G. VOC Content: Penetration firestopping sealants and sealant primers shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- H. Low-Emitting Materials: Penetration firestopping sealants and sealant primers shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- I. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping manufacturer and approved by qualified testing and inspecting agency for firestopping indicated.
  - 1. Permanent forming/damming/backing materials, including the following:
    - a. Slag-wool-fiber or rock-wool-fiber insulation.
    - b. Sealants used in combination with other forming/damming/backing materials to prevent leakage of fill materials in liquid state.
    - c. Fire-rated form board.
    - d. Fillers for sealants.
  - 2. Temporary forming materials.
  - 3. Substrate primers.
  - 4. Collars.
  - 5. Steel sleeves.

## 2.3 FILL MATERIALS

- A. Cast-in-Place Firestop Devices: Factory-assembled devices for use in cast-in-place concrete floors and consisting of an outer metallic sleeve lined with an intumescent strip, a radial extended flange attached to one end of the sleeve for fastening to concrete formwork, and a neoprene gasket.
- B. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- C. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- D. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced elastomeric sheet bonded to galvanized-steel sheet.
- E. Intumescent Putties: Nonhardening dielectric, water-resistant putties containing no solvents, inorganic fibers, or silicone compounds.

- F. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.
- G. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers, and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- H. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- I. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below:
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces, and nonsag formulation for openings in vertical and sloped surfaces, unless indicated firestopping limits use of nonsag grade for both opening conditions.

## 2.4 MIXING

A. For those products requiring mixing before application, comply with penetration firestopping manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

## **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 PREPARATION

- A. Surface Cleaning: Clean out openings immediately before installing penetration firestopping to comply with manufacturer's written instructions and with the following requirements:
  - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping.
  - 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent penetration firestopping from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing firestopping's seal with substrates.

## 3.3 INSTALLATION

- A. General: Install penetration firestopping to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of firestopping.
- C. Install fill materials for firestopping by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by openings, forming materials, accessories, and penetrating items as required to achieve fire-resistance ratings indicated.
  - 2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
  - 3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

## 3.4 **IDENTIFICATION**

- A. Identify penetration firestopping with preprinted metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of firestopping edge so labels will be visible to anyone seeking to remove penetrating items or firestopping. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
  - 1. The words "Warning Penetration Firestopping Do Not Disturb. Notify Building Management of Any Damage."
  - 2. Contractor's name, address, and phone number.
  - 3. Designation of applicable testing and inspecting agency.
  - 4. Date of installation.
  - 5. Manufacturer's name.
  - 6. Installer's name.

## 3.5 FIELD QUALITY CONTROL

- A. Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or penetration firestopping is damaged or removed because of testing, repair or replace penetration firestopping to comply with requirements.
- C. Proceed with enclosing penetration firestopping with other construction only after inspection reports are issued and installations comply with requirements.

## 3.6 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping is without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove

damaged or deteriorated penetration firestopping and install new materials to produce systems complying with specified requirements.

# 3.1 THROUGH-PENETRATION FIRESTOP SYSTEM SCHEDULE

- A. Firestop Systems with No Penetrating Items: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-0001-0999.
    - b. W-L-0001-0999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Silicone sealant.
    - c. Intumescent putty.
    - d. Mortar.
- B. Firestop Systems for Metallic Pipes, Conduit, or Tubing: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-1001-1999.
    - b. W-L-1001-1999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Silicone sealant.
    - c. Intumescent putty.
    - d. Mortar.
- C. Firestop Systems for Nonmetallic Pipe, Conduit, or Tubing: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-2001-2999.
    - b. W-L-2001-2999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Silicone sealant.
    - c. Intumescent putty.
    - d. Intumescent wrap strips.
    - e. Firestop device.
- D. Firestop Systems for Electrical Cables: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-3001-3999.
    - b. W-L-3001-3999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Silicone sealant.
    - c. Intumescent putty.
    - d. Silicone foam.
- E. Firestop Systems for Cable Trays: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-4001-4999.
    - b. W-L-4001-4999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Intumescent putty.

- c. Silicone foam.
- F. Firestop Systems for Insulated Pipes: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-5001-5999.
    - b. W-L-5001-5999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Intumescent putty.
    - c. Silicone foam.
    - d. Intumescent wrap strips.
- G. Firestop Systems for Miscellaneous Electrical Penetrants: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-6001-6999.
    - b. W-L-6001-6999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Intumescent putty.
    - c. Mortar.
- H. Firestop Systems for Miscellaneous Mechanical Penetrations: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-7001-7999.
    - b. W-L-7001-7999.
  - 2. Type of Fill Materials: One or both of the following:
    - a. Latex sealant.
    - b. Mortar.
- I. Firestop Systems for Groupings of Penetrations: Comply with the following:
  - 1. Available UL-Classified Systems:
    - a. C-AJ-8001-8999.
    - b. W-L-8001-8999.
  - 2. Type of Fill Materials: One or more of the following:
    - a. Latex sealant.
    - b. Mortar.
    - c. Intumescent wrap strips.
    - d. Firestop device.
    - e. Intumescent composite sheet.
- J. Smoke-stop Systems
  - 1. For all non-rated partitions required to control smoke only provide the following:
    - a. Mineral wool
    - b. Fire and smoke stopping sealant

END OF SECTION 078413

#### SECTION 078446 - FIRE-RESISTIVE JOINT SYSTEMS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Joints at exterior curtain-wall/floor intersections.
- B. Related Sections:
  - 1. Section 07 8413 "Penetration Firestopping" for penetrations in fire-resistance-rated walls, horizontal assemblies, and smoke barriers.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Product Schedule: For each fire-resistive joint system. Include location and design designation of qualified testing agency.
  - 1. Where Project conditions require modification to a qualified testing agency's illustration for a particular fire-resistive joint system condition, submit illustration, with modifications marked, approved by fire-resistive joint system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

# 1.3 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Installer Certificates: From Installer indicating fire-resistive joint systems have been installed in compliance with requirements and manufacturer's written recommendations.
- C. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for fire-resistive joint systems.

# 1.4 QUALITY ASSURANCE

- A. Installer Qualifications: A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with UL's "Qualified Firestop Contractor Program Requirements."
- B. Installer Qualifications: A firm experienced in installing fire-resistive joint systems similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful performance. Qualifications include having the necessary experience, staff, and training to install manufacturer's products per specified requirements. Manufacturer's willingness to sell its fire-resistive joint system products to Contractor or to Installer engaged by Contractor does not in itself confer qualification on buyer.
- C. Fire-Test-Response Characteristics: Fire-resistive joint systems shall comply with the following requirements:
  - 1. Fire-resistive joint system tests are performed by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Fire-resistive joint systems are identical to those tested per testing standard referenced in "Fire-Resistive Joint Systems" Article. Provide rated systems complying with the following requirements:

- a. Fire-resistive joint system products bear classification marking of qualified testing agency.
- b. Fire-resistive joint systems correspond to those indicated by reference to designations listed by the following:
  - 1) UL in its "Fire Resistance Directory."
- D. Preinstallation Conference: Conduct conference at Project site.

#### 1.5 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install fire-resistive joint systems when ambient or substrate temperatures are outside limits permitted by fire-resistive joint system manufacturers or when substrates are wet due to rain, frost, condensation, or other causes.
- B. Install and cure fire-resistive joint systems per manufacturer's written instructions using natural means of ventilation or, where this is inadequate, forced-air circulation.

#### 1.6 COORDINATION

- A. Coordinate construction of joints to ensure that fire-resistive joint systems are installed according to specified requirements.
- B. Coordinate sizing of joints to accommodate fire-resistive joint systems.
- C. Notify Owner's testing agency at least seven days in advance of fire-resistive joint system installations; confirm dates and times on day preceding each series of installations.

#### PART 2 - PRODUCTS

# 2.1 FIRE-RESISTIVE JOINT SYSTEMS

- A. Where required, provide fire-resistive joint systems that are produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of assemblies in or between which fire-resistive joint systems are installed. Fire-resistive joint systems shall accommodate building movements without impairing their ability to resist the passage of fire and hot gases.
- B. Joints at Exterior Curtain-Wall/Floor Intersections: Provide fire-resistive joint systems with rating determined by ASTM E 119 based on testing at a positive pressure differential of 0.01-inch wg or ASTM E 2307.
  - 1. Fire-Resistance Rating: Equal to or exceeding the fire-resistance rating of the floor assembly.
  - 2. Basis of Design Manufacturer: Hilti
    - a. Product:
- C. Exposed Fire-Resistive Joint Systems: Provide products with flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E 84.
- D. VOC Content: Fire-resistive joint system sealants shall comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Architectural Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- E. Low-Emitting Materials: Fire-resistive joint system sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the

07 8446 - 3

Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

F. Accessories: Provide components of fire-resistive joint systems, including primers and forming materials that are needed to install fill materials and to maintain ratings required. Use only components specified by fire-resistive joint system manufacturer and approved by the qualified testing agency for systems indicated.

#### **PART 3 - EXECUTION**

# 3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for joint configurations, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 PREPARATION

- A. Surface Cleaning: Clean joints immediately before installing fire-resistive joint systems to comply with fire-resistive joint system manufacturer's written instructions and the following requirements:
  - 1. Remove from surfaces of joint substrates foreign materials that could interfere with adhesion of fill materials.
  - 2. Clean joint substrates to produce clean, sound surfaces capable of developing optimum bond with fill materials. Remove loose particles remaining from cleaning operation.
  - 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by fire-resistive joint system manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- C. Masking Tape: Use masking tape to prevent fill materials of fire-resistive joint system from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing fire-resistive joint system's seal with substrates.

# 3.3 INSTALLATION

- A. General: Install fire-resistive joint systems to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of fire-resistive joint system.
- C. Install fill materials for fire-resistive joint systems by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by joints and forming materials as required to achieve fire-resistance ratings indicated.
  - 2. Apply fill materials so they contact and adhere to substrates formed by joints.

3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

# 3.4 IDENTIFICATION

- A. Identify fire-resistive joint systems with preprinted metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of joint edge so labels will be visible to anyone seeking to remove or penetrate joint system. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
  - 1. The words "Warning Fire-Resistive Joint System Do Not Disturb. Notify Building Management of Any Damage."
  - 2. Contractor's name, address, and phone number.
  - 3. Designation of applicable testing agency.
  - 4. Date of installation.
  - 5. Manufacturer's name.
  - 6. Installer's name.

# 3.5 FIELD QUALITY CONTROL

- A. Inspecting Agency: Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or fire-resistive joint systems are damaged or removed due to testing, repair or replace fire-resistive joint systems so they comply with requirements.
- C. Proceed with enclosing fire-resistive joint systems with other construction only after inspection reports are issued and installations comply with requirements.

# 3.6 CLEANING AND PROTECTING

- A. Clean off excess fill materials adjacent to joints as the Work progresses by methods and with cleaning materials that are approved in writing by fire-resistive joint system manufacturers and that do not damage materials in which joints occur.
- B. Provide final protection and maintain conditions during and after installation that ensure fire-resistive joint systems are without damage or deterioration at time of Substantial Completion. If damage or deterioration occurs despite such protection, cut out and remove damaged or deteriorated fire-resistive joint systems immediately and install new materials to produce fire-resistive joint systems complying with specified requirements.

# 3.7 FIRE-RESISTIVE JOINT SYSTEM SCHEDULE

- A. Where UL-classified systems are indicated, they refer to system numbers in UL's "Fire Resistance
- B. Directory" under product Category XHBN
  - 1. Floor-to-Floor (Concrete to Concrete Floor), Fire-Resistive Joint System:
    - a. Basis-of-Design UL-Classified Product: FF-D -0005.
      - 1) Assembly Rating: 2 hours.
      - 2) Nominal Joint Width: 1-inch
      - 3) Movement Capabilities: Class II 12.5 percent compression or extension.
  - 2. Head-of-Wall (Steel Stud/Gypsum Board Wall to Concrete Floor Slab with or without Metal Deck), Fire-Resistive Joint System:
    - a. Basis-of-Design UL-Classified Product: HW-D -0043.
      - 1) Assembly Rating: 2 hours.

- 2) Nominal Joint Width: 1-inch
- 3) Movement Capabilities: Class II 18.75 percent compression and extension.
- C. Directory" under product CategoryXHDG.
- D. Perimeter Fire-Resistive Joint Systems
  - Where UL-classified perimeter fire-containment systems are indicated, they refer to alphanumeric designations listed in UL's "Fire Resistance Directory" under product Category XHDG.
    - a. Perimeter Fire-Containment System (Steel Stud/Gypsum Board Wall to Concrete Floor Slab with or without Metal Deck), Fire-Resistive Joint System:
      - 1) Basis-of-Design UL-Classified Product: CW-S-1002.
      - 2) Integrity Rating: 2 hours.
      - 3) Insulation Rating: <sup>1</sup>/<sub>4</sub>-hour.
      - 4) Linear Opening Width: 2-1/2 inches, maximum.

END OF SECTION 078446

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# SECTION 079200 - JOINT SEALANTS

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Silicone joint sealants.
  - 2. Nonstaining silicone joint sealants.
  - 3. Latex joint sealants.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each joint-sealant product.
- B. Samples for Initial Selection: Manufacturer's color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.
- C. Samples for Verification: For each kind and color of joint sealant required, provide Samples with joint sealants in 1/2-inch- wide joints formed between two 6-inch- long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.
- D. Joint-Sealant Schedule: Include the following information:
  - 1. Joint-sealant application, joint location, and designation.
  - 2. Joint-sealant manufacturer and product name.
  - 3. Joint-sealant formulation.
  - 4. Joint-sealant color.

# 1.3 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each kind of joint sealant, for tests performed by a qualified testing agency.
- B. Sample Warranties: For special warranties.

# 1.4 QUALITY ASSURANCE

- A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.
- B. Mockups: Install sealant in mockups of assemblies specified in other Sections that are indicated to receive joint sealants specified in this Section. Use materials and installation methods specified in this Section.

#### 1.5 FIELD CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
  - 1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F.
  - 2. When joint substrates are wet.
  - 3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
  - 4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

#### 1.6 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish joint sealants to repair or replace those joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: 10 years from date of Substantial Completion.
- C. Special warranties specified in this article exclude deterioration or failure of joint sealants from the following:
  - 1. Movement of the structure caused by stresses on the sealant exceeding sealant manufacturer's written specifications for sealant elongation and compression.
  - 2. Disintegration of joint substrates from causes exceeding design specifications.
  - 3. Mechanical damage caused by individuals, tools, or other outside agents.
  - 4. Changes in sealant appearance caused by accumulation of dirt or other atmospheric contaminants.

#### PART 2 - PRODUCTS

#### 2.1 **JOINT SEALANTS, GENERAL**

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. VOC Content of Interior Sealants: Sealants and sealant primers used inside the weatherproofing system shall comply with the following:
  - 1. Architectural sealants shall have a VOC content of 250 g/L or less.
  - 2. Sealants and sealant primers for nonporous substrates shall have a VOC content of 250 g/L or less.
  - 3. Sealants and sealant primers for nonporous substrates shall have a VOC content of 775 g/L or less.
- C. Low-Emitting Interior Sealants: Sealants and sealant primers shall comply with the testing and product requirements of the California Department of Health's (formerly, the California Department of Health Services') "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- D. Colors of Exposed Joint Sealants: Match Architect's samples.

# 2.2 SILICONE JOINT SEALANTS

- A. Silicone, S, NS, 50, NT: Single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 50, Use NT.
  - 1. Basis of design Manufacturer: Dow Corning
    - a. Product: 756 SMS Building Sealant

# 2.3 MILDEW-RESISTANT JOINT SEALANTS

- A. Silicone, Mildew Resistant, Acid Curing, S, NS, 25, NT: Mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, acid-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 25, Use NT.
  - 1. Basis of design Manufacturer: Dow Corning
    - a. Product: 786 Silicone Sealant

#### 2.4 LATEX JOINT SEALANTS

- A. Acrylic Latex: Acrylic latex or siliconized acrylic latex, ASTM C 834, Type OP, Grade NF.
  - 1. Manufacturer: Pecora Corporation
    - a. AC-20 + Silicone.

# 2.5 JOINT-SEALANT BACKING

- A. Sealant Backing Material, General: Nonstaining; compatible with joint substrates, sealants, primers, and other joint fillers; and approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
  - 1. Manufacturer: BASF
- B. Cylindrical Sealant Backings: ASTM C 1330, Type C (closed-cell material with a surface skin), and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

#### 2.6 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

### PART 3 - EXECUTION

# 3.1 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
  - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  - 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
    - a. Concrete.
    - b. Masonry.
  - 3. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
    - a. Metal.
    - b. Glass.
    - c. Glazed surfaces of ceramic tile.
- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

#### 3.3 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
  - 1. Do not leave gaps between ends of sealant backings.
  - 2. Do not stretch, twist, puncture, or tear sealant backings.
  - 3. Remove absorbent sealant backings that have become wet before sealant application, and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.

- 2. Completely fill recesses in each joint configuration.
- 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
  - 1. Remove excess sealant from surfaces adjacent to joints.
  - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
  - 3. Provide concave joint profile per Figure 8A in ASTM C 1193 unless otherwise indicated.
- G. Evaluation of Field-Adhesion-Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

### 3.4 CLEANING

A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

# 3.5 PROTECTION

A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

# 3.6 JOINT-SEALANT SCHEDULE

- A. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces not subject to significant movement.
  - 1. Joint Locations:
    - a. Control joints on exposed interior surfaces of exterior walls.
    - b. Perimeter joints between interior wall surfaces and frames of interior doors, windows and elevator entrances.
    - c. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Acrylic latex.
  - 3. Joint-Sealant Color: Match Architects Sample.
- B. Joint-Sealant Application: Mildew-resistant interior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Joints between plumbing fixtures and adjoining walls, floors, and counters.
    - b. Tile control and expansion joints where indicated.
    - c. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, mildew resistant, acid curing, S, NS, 25, NT.
  - 3. Joint-Sealant Color: Match Architects Sample

# END OF SECTION 079200

### SECTION 07 9513.13 - INTERIOR EXPANSION JOINT COVER ASSEMBLIES

#### PART 1 - GENERAL

#### 1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.02 SUMMARY

- A. This Section includes the following:
  - 1. Architectural joint systems for building interiors.

# 1.03 DEFINITIONS

- A. Maximum Joint Width: Widest linear gap a joint system tolerates and in which it performs its designed function without damaging its functional capabilities.
- B. Minimum Joint Width: Narrowest linear gap a joint system tolerates and in which it performs its designed function without damaging its functional capabilities.
- C. Movement Capability: Value obtained from the difference between widest and narrowest widths of a joint.
- D. Nominal Joint Width: The width of the linear opening specified in practice and in which the joint system is installed.

#### 1.04 SUBMITTALS

- A. Shop Drawings: Provide the following for each joint system specified and obtain approval prior to fabrication and shipment of materials to the job site:
  - 1. Placement Drawings: Include line diagrams showing plans, elevations, sections, details, splices, blockout requirement, entire route of each joint system, and attachments to other work. Where joint systems change planes, provide isometric or clearly detailed drawing depicting how components interconnect.
- B. Product Data: Submit copies of manufacturer's latest published literature for materials specified herein for approval, and obtain approval before materials are fabricated and delivered to the site. Data to clearly indicate movement capability of cover assemblies and suitability of material used in exterior seal for UV exposure.
- C. Samples for Initial Selection: For each type of joint system indicated.

- 1. Include manufacturer's color charts showing the standard range of colors and finishes available for each exposed metal and elastomeric seal material.
- D. Certificates Material test reports from qualified independent testing laboratory indicating and interpreting test results relative to compliance of fire-rated expansion joint assemblies with requirements indicated.

# 1.05 QUALITY ASSURANCE

- A. Installer Qualifications: Approved by manufacturer and having experience installing joint systems that are similar in design complexity.
- B. Source Limitations: Obtain all architectural joint systems through one source from a single manufacturer.
- C. Product Options: Drawings indicate size, profiles, and dimensional requirements of architectural joint systems and are based on the specific systems indicated. Refer to Division 01 Section "Product Requirements."
  - 1. Do not modify intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If modifications are proposed, submit comprehensive explanatory data to Architect for review.
- D. Loading Characteristics: Standard loading refers to covers that are capable of withstanding up to 500 lb. point loads. Heavy duty refers to covers that are capable of withstanding up to 2000 lb. point loads.
- E. Fire-Test-Response Characteristics: Where indicated, provide architectural joint system and fire-barrier assemblies identical to those of assemblies tested for fire resistance per UL 2079 and/or ASTM E 1966 by a testing and inspecting agency acceptable to authorities having jurisdiction. Fire rating not less than the rating of adjacent construction.
- F. Manufacturer to provide 5 year warranty for all joint covers.

# PART 2 - PRODUCTS

#### 2.01 MATERIALS

- A. Aluminum: ASTM B 221, Alloy 6063-T5, 6063-T6, 6063-T52, 6061-T5, 6061-T6, 6061-T51, 6105-T5, 6105-T6, 6005-T5, 6005A-T5, 6005A-T61 for extrusions; ASTM B 209, Alloy 6061-T6, 3003-H14, 5005-H34 for sheet and plate.
  - 1. Apply manufacturer's standard protective coating on aluminum surfaces to be placed in contact with cementitious materials.
  - 2. Class II, Clear Anodic Finish: AA-M12C22A31 (Mechanical Finish: nonspecular as fabricated; Chemical Finish: etched, medium matte; Anodic Coating: Architectural Class II, clear coating 0.010 mm or thicker) complying with AAMA 611.

- B. Elastomeric Seals: Preformed elastomeric membranes or extrusions to be installed in metal frames.
- C. Compression Seals: ASTM D2000; preformed rectangular elastomeric extrusions having internal baffle system and designed to function under compression.
- D. Fire Barriers: Any material or material combination, when fire tested after cycling, designated to resist the passage of flame and hot gases through a movement joint and to meet performance criteria for required rating period.
- E. Accessories: Manufacturer's standard anchors, clips, fasteners, set screws, spacers, and other accessories compatible with material in contact, as indicated or required for complete installations.

### 2.02 ARCHITECTURAL JOINT SYSTEMS, GENERAL

- A. General: Provide architectural joint systems of design, basic profile, materials, and operation indicated. While specified joint systems establish the function and aesthetic intent, it may be necessary for the manufacturer to modify the joint systems to accommodate the movement requirements as scheduled in the contract documents. Such modifications should be made without significant changes to the aesthetic or functional intent of the joint systems. Provide units with capability to accommodate variations in adjacent surfaces.
- B. Design architectural joint systems for the following size and movement characteristics:
  - 1. Nominal Joint Width: As indicated on Drawings.

# 2.03 ARCHITECTURAL JOINT SYSTEMS FOR BUILDING INTERIORS

- A. Basis of Design Manufacturer: Construction Specialties, Inc., 6696 Route 405 Highway, Muncy, PA.
- B. Wall-to-Wall Joint Systems:
  - 1. Basis-of-Design Product: Construction Specialties, Inc. Model ASM.
  - 2. Type: Snap-on cover.
    - a. Exposed Metal: Aluminum.
      - 1) Finish: Class II, clear anodic.
  - 3. Fire-Resistance Rating: Provide joint system and fire-barrier assembly with a rating not less than that of adjacent construction.
- C. Wall Corner Joint Systems:
  - 1. Basis-of-Design Product: Construction Specialties, Inc. Model ASMC
  - 2. Type: Snap-on cover.

- a. Exposed Metal: Aluminum.
  - 1) Finish: Class II, clear anodic.
  - 3. Fire-Resistance Rating: Provide joint system and fire-barrier assembly with a rating not less than that of adjacent construction.

#### 2.04 FINISHES

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Noticeable variations in same piece are not acceptable.

### PART 3 - EXECUTION

# 3.01 EXAMINATION

- A. Examine surfaces and blockouts where architectural joint systems will be installed for installation tolerances and other conditions affecting performance of work.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.02 PREPARATION

- A. Prepare substrates according to architectural joint system manufacturer's written instructions.
- B. Repair concrete slabs and blockouts using manufacturer's recommended repair grout of compressive strength adequate for anticipated structural loadings.
- C. Coordinate and furnish anchorages, setting drawings, and instructions for installing joint systems. Provide fasteners of metal, type, and size to suit type of construction indicated and to provide for secure attachment of joint systems.
- D. Cast-In Frames: Coordinate and furnish frames to be cast into concrete.

# 3.03 INSTALLATION

A. Comply with manufacturer's written instructions for storing, handling, and installing architectural joint assemblies and materials unless more stringent requirements are indicated.

- B. Metal Frames: Perform cutting, drilling, and fitting required to install joint systems.
  - 1. Install in true alignment and proper relationship to joints and adjoining finished surfaces measured from established lines and levels.
  - 2. Adjust for differences between actual structural gap and nominal design gap due to ambient temperature at time of installation. Notify Architect where discrepancies occur that will affect proper joint installation and performance.
  - 3. Cut and fit ends to accommodate thermal expansion and contraction of metal without buckling of frames.
  - 4. Locate in continuous contact with adjacent surfaces.
  - 5. Standard-Duty Systems: Shim to level where required. Support underside of frames continuously to prevent vertical deflection when in service.
  - 6. Heavy-Duty Systems: Repair or grout blockout as required for continuous frame support and to bring frame to proper level. Shimming is not allowed.
  - 7. Locate anchors at interval recommended by manufacturer, but not less than 3 inches from each end and not more than 24 inches o.c.
- C. Seals in Metal Frames: Install elastomeric seals and membranes in frames to comply with manufacturer's written instructions. Install with minimum number of end joints.
  - 1. Provide in continuous lengths for straight sections.
  - 2. Seal transitions according to manufacturer's written instructions. Vulcanize or heat-weld field-spliced joints as recommended by manufacturer.
  - 3. Installation: Mechanically lock seals into frames or adhere to frames with adhesive or pressure-sensitive tape as recommended by manufacturer.
- D. Compression Seals: Apply adhesive or lubricant adhesive as recommended by manufacturer before installing compression seals.
- E. Terminate exposed ends of joint assemblies with field- or factory-fabricated termination devices.
- F. Fire-Resistance-Rated Assemblies: Coordinate installation of architectural joint assembly materials and associated work so complete assemblies comply with assembly performance requirements.
  - 1. Fire Barriers: Install fire barriers to provide continuous, uninterrupted fire resistance throughout length of joint, including transitions and field splices.

# 3.04 PROTECTION

- A. Do not remove protective covering until finish work in adjacent areas is complete. When protective covering is removed, clean exposed metal surfaces to comply with manufacturer's written instructions.
- B. Protect the installation from damage by work of other Sections. Where necessary due to heavy construction traffic, remove and properly store cover plates or seals and install temporary protection over joints. Reinstall cover plates or seals prior to Substantial Completion of the Work.

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END OF SECTION 07 9513.13

# EXTERIOR EXPANSION JOINT COVER ASSEMBLIES

#### SECTION 07 9513.16 - EXTERIOR EXPANSION JOINT COVER ASSEMBLIES

# PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section includes exterior building expansion joint cover assemblies.

# 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for expansion joint cover assemblies.
- B. Shop Drawings: For each expansion joint cover assembly.
  - 1. Include plans, elevations, sections, details, splices, block-out requirement, attachments to other work, and line diagrams showing entire route of each expansion joint.
  - 2. Where expansion joint cover assemblies change planes, provide isometric or clearly detailed drawing depicting how components interconnect.
- C. Samples: For each exposed expansion joint cover assembly and for each color and texture specified, full width by 6 inches long in size.
- D. Samples for Initial Selection: For each type of exposed finish.
  - 1. Include manufacturer's color charts showing the full range of colors and finishes available for each exposed metal and elastomeric seal material.
- E. Samples for Verification: For each type of expansion joint cover assembly, full width by 6 inches long in size.
- F. Expansion Joint Cover Assembly Schedule: Prepared by or under the supervision of the supplier. Include the following information in tabular form:
  - 1. Manufacturer and model number for each expansion joint cover assembly.
  - 2. Expansion joint cover assembly location cross-referenced to Drawings.
  - 3. Nominal, minimum, and maximum joint width.
  - 4. Movement direction.
  - 5. Materials, colors, and finishes.
  - 6. Product options.
  - 7. Fire-resistance ratings.

# 1.3 INFORMATIONAL SUBMITTALS

A. Product Test Reports: For each fire-resistance-rated expansion joint cover assembly, for tests performed by manufacturer and witnessed by a qualified testing agency.

# PART 2 - PRODUCTS

# 2.1 ASSEMBLY DESCRIPTION

A. Furnish units in longest practicable lengths to minimize field splicing.

B. Include factory-fabricated closure materials and transition pieces, T-joints, corners, curbs, cross-connections, and other accessories as required to provide continuous expansion joint cover assemblies.

# 2.2 PERFORMANCE REQUIREMENTS

A. Fire-Resistance Ratings: Provide expansion joint cover assemblies with fire barriers identical to those of systems tested for fire resistance according to UL 2079 by a qualified testing agency.

# 2.3 EXTERIOR EXPANSION JOINT COVERS

- A. Exterior Elastomeric-Seal Joint Cover Assembly consisting of elastomeric seal anchored to surface-mounted frames fixed to sides of joint gap.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. Balco, Inc.
    - b. Construction Specialties, Inc. (Basis of Design)
      - 1) Model: SF-200 with Fire barrier
    - c. <u>MM Systems Corporation</u>.
    - d. Watson Bowman Acme Corp.
  - 2. Application: Wall to wall.
  - 3. Installation: Recessed.
  - 4. Fire-Resistance Rating: Not less than that of adjacent construction.
  - 5. Seal: Preformed elastomeric membrane or extrusion.
    - a. Color: As selected by Architect from manufacturer's full range.

#### 2.4 MATERIALS

- A. Elastomeric Seals: Manufacturer's standard preformed elastomeric membranes or extrusions to be installed in metal frames.
- B. Fire Barriers: Any material or material combination, when fire tested after cycling, designated to resist the passage of flame and hot gases through a movement joint and to comply with performance criteria for required fire-resistance rating.
- C. Moisture Barrier: Manufacturer's standard, flexible elastomeric material.

# 2.5 ACCESSORIES

- A. Moisture Barriers: Manufacturer's standard continuous, waterproof membrane within joint and attached to substrate on sides of joint.
  - 1. Provide where indicated on Drawings.
- B. Manufacturer's standard attachment devices. Include anchors, clips, fasteners, set screws, spacers, and other accessories compatible with material in contact, as indicated or required for complete installations.

#### **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

A. Examine surfaces where expansion joint cover assemblies will be installed for installation tolerances and other conditions affecting performance of the Work.

- B. Notify Architect where discrepancies occur that will affect proper expansion joint cover assembly installation and performance.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Prepare substrates according to expansion joint cover assembly manufacturer's written instructions.
- B. Coordinate and furnish anchorages, setting drawings, and instructions for installing expansion joint cover assemblies. Provide fasteners of metal, type, and size to suit type of construction indicated and to provide for secure attachment of expansion joint cover assemblies.

# 3.3 INSTALLATION

- A. Comply with manufacturer's written instructions for storing, handling, and installing expansion joint cover assemblies and materials unless more stringent requirements are indicated.
- B. Seals: Install elastomeric seals and membranes in frames to comply with manufacturer's written instructions. Install with minimum number of end joints.
  - 1. Provide in continuous lengths for straight sections.
  - 2. Seal transitions. Vulcanize or heat-weld field-spliced joints as recommended by manufacturer.
  - 3. Installation: Mechanically lock seals into frames or adhere to frames with adhesive or pressure-sensitive tape as recommended by manufacturer.
- C. Install with hairline mitered corners where expansion joint cover assemblies change direction or abut other materials.
- D. Terminate exposed ends of expansion joint cover assemblies with field- or factory-fabricated termination devices.
- E. Fire-Resistance-Rated Assemblies: Coordinate installation of expansion joint cover assembly materials and associated work so complete assemblies comply with performance requirements.
  - 1. Fire Barriers: Install fire barriers to provide continuous, uninterrupted fire resistance throughout length of joint, including transitions and field splices.
- F. Moisture Barrier Drainage: If indicated, provide drainage fitting and connect to drains.

# 3.4 CONNECTIONS

A. Transition to Roof Expansion Joint Covers: Coordinate installation of exterior wall and soffit expansion joint covers with roof expansion joint covers specified in Section 077129 "Manufactured Roof Expansion Joints." Install factory-fabricated units at transition between exterior walls and soffits and roof expansion joint cover assemblies.

# 3.5 PROTECTION

- A. Do not remove protective covering until finish work in adjacent areas is complete. When protective covering is removed, clean exposed metal surfaces to comply with manufacturer's written instructions.
- B. Protect the installation from damage by work of other Sections.

#### SECTION 081213 - HOLLOW METAL FRAMES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. Section Includes:
  - 1. Interior hollow-metal frames.

#### 1.3 DEFINITIONS

A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or SDI A250.8.

#### 1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware, electrified door hardware, and access control and security systems.

# 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, **fire-resistance ratings**, and finishes.
- B. Shop Drawings: Include the following:
  - 1. Elevations of each frame type.
  - 2. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
  - 3. Locations of reinforcement and preparations for hardware.
  - 4. Details of each different wall opening condition.
  - 5. Details of anchorages, joints, field splices, and connections.
  - 6. Details of accessories.
- C. Samples for Verification:

- Fabrication: Prepare Samples to demonstrate compliance with requirements for quality of 1. materials and construction. Show profile, corner joint, floor and wall anchors, and silencers.
- D. Product Schedule: For hollow-metal frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

#### 1.6 INFORMATIONAL SUBMITTALS

Product Test Reports: For each type of fire-rated hollow-metal frame assembly for tests A. performed by a qualified testing agency indicating compliance with performance requirements.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

- Deliver hollow-metal frames palletized, packaged, or crated to provide protection during transit A. and Project-site storage. Do not use nonvented plastic.
  - 1. Provide additional protection to prevent damage to factory-finished units.
- В. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal frames vertically under cover at Project site with head up. Place on minimum 4-inch- (102-mm-) high wood blocking. Provide minimum 1/4-inch (6-mm) space between each stacked door to permit air circulation.

### PART 2 - PRODUCTS

#### 2.1 **MANUFACTURERS**

- A. Ceco Door.
- В. Curries Company.
- C. National Custom Hollow Metal Doors & Frames.

#### 2.2 PERFORMANCE REQUIREMENTS

Fire-Rated Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a A. qualified testing agency acceptable to authorities having jurisdiction for fire-protection ratings indicated on Drawings, based on testing at positive pressure according to NFPA 252 or UL 10C.

# 2.3 STEEL FRAMES

- A. Construct hollow-metal frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Interior Frames: SDI A250.8, Level 2.
  - 1. Materials: **Metallic-coated** steel sheet, minimum thickness of **0.053 inch** (**1.3 mm**) (nominal 16 gauge).
  - 2. Construction: **Full profile welded**.
  - 3. Exposed Finish: **Prime**.

# 2.4 FRAME ANCHORS

#### A. Jamb Anchors:

- 1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.
- 2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each 24 inches (610 mm) of frame height above 7 feet (2.1 m).
- 3. Postinstalled Expansion Anchor: Minimum 3/8-inch- (9.5-mm-) diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.
- B. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor.
- C. Material: ASTM A 879/A 879M, Commercial Steel (CS), 04Z (12G) coating designation; mill phosphatized.

# 2.5 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A 1008/A 1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A 1011/A 1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Metallic-Coated Steel Sheet: ASTM A 653/A 653M, Commercial Steel (CS), Type B.
- D. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A 153/A 153M.
- E. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hollow-metal frames of type indicated.
- F. Glazing: Comply with requirements in Section 088000 "Glazing."

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# 2.6 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
  - 1. Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by welding.
  - 2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  - 3. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
    - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping according to SDI A250.6, the Door Hardware Schedule, and templates.
  - 1. Reinforce frames to receive nontemplated, mortised, and surface-mounted door hardware.
  - 2. Comply with BHMA A156.115 for preparing hollow-metal frames for hardware.

#### 2.7 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  - 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

#### PART 3 - EXECUTION

# 3.1 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap frames to receive nontemplated, mortised, and surface-mounted door hardware.

### 3.2 INSTALLATION

A. General: Install hollow-metal frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions. Comply with **SDI A250.11**.

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- B. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.
  - 1. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.
  - 2. Install frames with removable stops located on secure side of opening.
- C. Fire-Rated Openings: Install frames according to NFPA 80.
- D. Floor Anchors: Secure with postinstalled expansion anchors.
- In-Place Concrete or Masonry Construction: Secure frames in place with postinstalled E. expansion anchors. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
- F. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
  - Squareness: Plus or minus 1/16 inch (1.6 mm), measured at door rabbet on a line 90 1. degrees from jamb perpendicular to frame head.
  - Alignment: Plus or minus 1/16 inch (1.6 mm), measured at jambs on a horizontal line 2. parallel to plane of wall.
  - Twist: Plus or minus 1/16 inch (1.6 mm), measured at opposite face corners of jambs on 3. parallel lines, and perpendicular to plane of wall.
  - 4. Plumbness: Plus or minus 1/16 inch (1.6 mm), measured at jambs at floor.

#### 3.3 **CLEANING AND TOUCHUP**

Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of A. prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.

END OF SECTION 081213

#### SECTION 081416 - FLUSH WOOD DOORS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Solid-core doors with wood-veneer faces.
  - 2. Factory finishing flush wood doors.
  - 3. Factory fitting flush wood doors to frames and factory machining for hardware.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of door. Include details of core and edge construction and trim for openings. Include factory-finishing specifications.
- B. Shop Drawings: Indicate location, size, and hand of each door; elevation of each kind of door; construction details not covered in Product Data; and the following:
  - 1. Dimensions and locations of blocking.
  - 2. Dimensions and locations of mortises and holes for hardware.
  - 3. Dimensions and locations of cutouts.
  - 4. Undercuts.
  - 5. Requirements for veneer matching.
  - 6. Doors to be factory finished and finish requirements.
  - 7. Fire-protection ratings for fire-rated doors.
- C. Samples for Initial Selection: For factory-finished doors.
- D. Samples for Verification:
  - 1. Factory finishes applied to actual door face materials, approximately 8 by 10 inches (200 by 250 mm), for each material and finish. For each wood species and transparent finish, provide set of three Samples showing typical range of color and grain to be expected in finished Work.

# 1.4 INFORMATIONAL SUBMITTALS

A. Sample Warranty: For special warranty.

# 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Comply with requirements of referenced standard and manufacturer's written instructions.
- B. Package doors individually in plastic bags or cardboard cartons.
- C. Mark each door on bottom rail with opening number used on Shop Drawings.

#### 1.6 FIELD CONDITIONS

A. Environmental Limitations: Do not deliver or install doors until spaces are enclosed and weathertight, wet work in spaces is complete and dry, and HVAC system is operating and maintaining temperature between 60 and 90 deg F (16 and 32 deg C) and relative humidity between 25 and 55 percent during remainder of construction period.

#### 1.7 WARRANTY

- A. A. Special Warranty: Manufacturer agrees to repair or replace doors that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Warping (bow, cup, or twist) more than 1/4 inch (6.4 mm) in a 42-by-84-inch (1067-by-2134-mm) section.
    - b. Telegraphing of core construction in face veneers exceeding 0.01 inch in a 3-inch (0.25 mm in a 76.2-mm) span.
  - 2. Warranty shall also include installation and finishing that may be required due to repair or replacement of defective doors.
  - 3. Warranty Period for Solid-Core Interior Doors: Life of installation.

#### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. <u>Manufacturers</u>: Subject to compliance with requirements, provide products by one of the following:
  - 1. Algoma Hardwoods, Inc.
  - 2. Eggers Industries.
  - 3. <u>Marshfield Door Systems, Inc.</u> Signature Series Basis of Design

# 2.2 FLUSH WOOD DOORS, GENERAL

- A. Quality Standard: In addition to requirements specified, comply with WDMA I.S.1-A, "Architectural Wood Flush Doors."
- B. WDMA I.S.1-A Performance Grade: Extra Heavy Duty.
- C. Fire-Rated Wood Doors: Doors complying with NFPA 80 that are listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure according to NFPA 252 or UL 10C.
  - 1. Cores: Provide core specified or mineral core as needed to provide fire-protection rating indicated.
  - 2. Edge Construction: Provide edge construction with intumescent seals concealed by outer stile. Comply with specified requirements for exposed edges.
- D. Smoke- and Draft-Control Door Assemblies: Listed and labeled for smoke and draft control, based on testing according to UL 1784.
- E. Particleboard-Core Doors:
  - 1. Particleboard: ANSI A208.1, Grade LD-2.

#### F. Mineral-Core Doors:

- 1. Core: Noncombustible mineral product complying with requirements of referenced quality standard and testing and inspecting agency for fire-protection rating indicated.
- 2. Blocking: Provide composite blocking with improved screw-holding capability approved for use in doors of fire-protection ratings indicated as needed to eliminate through-bolting hardware.
- 3. Edge Construction: At hinge stiles, provide laminated-edge construction with improved screw-holding capability and split resistance. Comply with specified requirements for exposed edges.
  - Screw-Holding Capability: 475 lbf (2110 N) per WDMA T.M.-10. a.

#### 2.3 VENEER-FACED DOORS FOR TRANSPARENT FINISH

- Interior Solid-Core Doors: A.
  - 1. Grade: Premium, with Grade A faces.
  - Species: Select white maple. 2.
  - 3. Cut: Plain sliced (flat sliced).
  - Match between Veneer Leaves: Book match. 4.
  - Assembly of Veneer Leaves on Door Faces: Center-balance match. 5.
  - Exposed Vertical Edges: Same species as faces edge Type A. 6.
  - 7. Core: Particleboard or mineral core as needed to provide fire-protection rating indicated.
  - Construction: Five plies. Stiles and rails are bonded to core, then entire unit is abrasive 8. planed before veneering.

#### 2.4 **FABRICATION**

- Factory fit doors to suit frame-opening sizes indicated. Comply with clearance requirements of A. referenced quality standard for fitting unless otherwise indicated.
  - 1. Comply with NFPA 80 requirements for fire-rated doors.
- В. Factory machine doors for hardware that is not surface applied. Locate hardware to comply with DHI-WDHS-3. Comply with final hardware schedules, door frame Shop Drawings, BHMA-156.115-W, and hardware templates.
  - Coordinate with hardware mortises in metal frames to verify dimensions and alignment 1. before factory machining.

#### 2.5 **FACTORY FINISHING**

- General: Comply with referenced quality standard for factory finishing. Complete fabrication, A. including fitting doors for openings and machining for hardware that is not surface applied, before finishing.
  - Finish faces, all four edges, edges of cutouts, and mortises. Stains and fillers may be 1. omitted on bottom edges, edges of cutouts, and mortises.
- B. Factory finish doors.

# C. Transparent Finish:

- 1. Grade: Premium.
- 2. Finish: WDMA TR-6 catalyzed polyurethane.
- 3. Staining: Match existing doors.
- 4. Sheen: Match existing doors.

# **PART 3 - EXECUTION**

# 3.1 EXAMINATION

- A. Examine doors and installed door frames, with Installer present, before hanging doors.
  - 1. Verify that installed frames comply with indicated requirements for type, size, location, and swing characteristics and have been installed with level heads and plumb jambs.
  - 2. Reject doors with defects.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Hardware: For installation, see Section 087100 "Door Hardware."
- B. Installation Instructions: Install doors to comply with manufacturer's written instructions and referenced quality standard, and as indicated.
  - 1. Install fire-rated doors according to NFPA 80.
  - 2. Install smoke- and draft-control doors according to NFPA 105.
- C. Job-Fitted Doors: Align and fit doors in frames with uniform clearances and bevels as indicated below; do not trim stiles and rails in excess of limits set by manufacturer or permitted for firerated doors. Machine doors for hardware. Seal edges of doors, edges of cutouts, and mortises after fitting and machining.
  - 1. Clearances: Provide 1/8 inch (3.2 mm) at heads, jambs, and between pairs of doors. Provide 1/8 inch (3.2 mm) from bottom of door to top of decorative floor finish or covering unless otherwise indicated. Where threshold is shown or scheduled, provide 1/4 inch (6.4 mm) from bottom of door to top of threshold unless otherwise indicated.
    - a. Comply with NFPA 80 for fire-rated doors.
    - b. Bevel non-fire-rated doors 1/8 inch in 2 inches (3-1/2 degrees) at lock and hinge edges.
  - 2. Bevel fire-rated doors 1/8 inch in 2 inches (3-1/2 degrees) at lock edge; trim stiles and rails only to extent permitted by labeling agency.
- D. Factory-Fitted Doors: Align in frames for uniform clearance at each edge.
- E. Factory-Finished Doors: Restore finish before installation if fitting or machining is required at Project site.

#### 3.3 ADJUSTING

A. Operation: Rehang or replace doors that do not swing or operate freely.

Finished Doors: Replace doors that are damaged or that do not comply with requirements. Doors may be repaired or refinished if Work complies with requirements and shows no evidence of B. repair or refinishing.

END OF SECTION 081416

#### **SECTION 08 4114 - ALUMINUM-FRAMED ENTRANCES**

#### PART 1 - GENERAL

# 1.1 Related Documents

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 Summary

- A. This Section includes Kawneer Thermally Broken Aluminum Entrances (Basis-of-Design), doors, frames, glass and glazing, and door hardware and components.
  - 1. Types of Kawneer Thermally Broken Aluminum Entrances include:
    - a. 500T Insulpour<sup>TM</sup> Thermal Entrance; Wide stile, 5" (127 mm) vertical face dimension, 2-1/4" (57 mm) depth, high traffic applications, with Trifab VG 451T Center, 2" x 4-1/2" frame.

#### 1.3 **Definitions**

A. Definitions: For fenestration industry standard terminology and definitions refer to American Architectural Manufactures Association (AAMA) – AAMA Glossary (AAMA AG).

### 1.4 Performance Requirements

- A. General Performance: Aluminum-framed entrance doors shall withstand the effects of the following performance requirements without exceeding performance criteria or failure due to defective manufacture, fabrication, installation, or other defects in construction:
- B. Aluminum-Framed Entrance Performance Requirements:
  - 1. Wind loads: Provide entrance system; include anchorage, capable of withstanding wind load design pressures required by the Building Code of New York State, latest edition.
  - 2. Air Infiltration: For single acting offset pivot or butt hung entrances in the closed and locked position, the test specimen shall be tested in accordance with ASTM E 283 at a pressure differential of 1.57 psf (75 Pa) for pairs of doors. A single 3'0" x 7'0" (915 mm x 2134 mm) entrance door and frame shall not exceed 1.0 cfm/ft<sup>2</sup>. A pair of 6'0" x 7'0" (1830 mm x 2134 mm) entrance doors and frame shall not exceed 1.0 cfm per square foot.
  - 3. Uniform Load Deflection: A static air design load of: **500T**: 70.19 psf (3360 Pa) for single doors and 60.15 psf (2880 Pa) for pairs of doors. shall be applied in the positive and negative direction in accordance with ASTM E 330. There shall be no deflection in excess of L/175 for typical application or L/180 for Small-Missile and Large-Missile impact, of the span of any framing member. At a structural test load equal to 1.5 times the specified design load, no glass breakage or permanent set in the framing members in excess of 0.2% of their clear spans shall occur.
  - 4. Windborne-Debris-Impact Resistance Performance: 500T, shall be tested in accordance with ASTM E1886, information in ASTM E1996, and TAS 201/203.

- a. Large-Missile Impact: For aluminum-framed systems located within 30 feet (9.1m) of grade.
- b. Small-Missile Impact: For aluminum-framed systems located above 30 feet (9.1 m) of
- Forced Entry: Tested in accordance with AAMA 1304.
- Energy Efficiency:
  - a. Thermal Transmittance (U-factor): 0.77 maximum (per the International Energy Conservation Construction Code of New York State, latest edition).
  - Solar Heat-Gain Coefficient (SHGC): Glazed thermally broken aluminum door and frame shall have a Solar Heat Gain Coefficient (SHGC) of no greater than 0.40 as determined according to NFRC 200.

#### 1.5 Submittals

- A. Product Data: Include construction details, material descriptions, and fabrication methods, dimensions of individual components and profiles, hardware, finishes, and installation instructions for each type of aluminum-framed entrance door indicated.
- B. Shop Drawings: Include plans, elevations, sections, details, hardware, and attachments to other work, operational clearances and installation details.
- C. Samples for Initial Selection: For units with factory-applied color finishes including samples of hardware and accessories involving color selection.
- D. Samples for Verification: For aluminum-framed door and components required.
- E. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency for each type of aluminum-framed entrance doors.
- F. Fabrication Sample: Corner sample consisting of a door stile and rail, of full-size components and showing details of the following:
  - 1. Joinery, including welds.
  - 2. Glazing.

### G. Other Action Submittals:

1. Entrance Door Hardware Schedule: Prepared by or under the supervision of supplier, detailing fabrication and assembly of entrance door hardware, as well as procedures and diagrams. Coordinate final entrance door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of entrance door hardware.

# 1.6 Quality Assurance

- A. Installer Qualifications: An installer which has had successful experience with installation of the same or similar units required for the project and other projects of similar size and scope.
- B. Manufacturer Qualifications: A manufacturer capable of fabricating thermally broken aluminumframed entrance doors and storefronts that meet or exceed performance requirements indicated and of documenting this performance by inclusion of test reports and calculations.

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  - C. Source Limitations: Obtain thermally broken aluminum-framed door through one source from a single manufacturer.
  - D. Product Options: Drawings indicate size, profiles, and dimensional requirements of aluminum-framed glass entrance doors and are based on the specific system indicated. Refer to Division 01 Section "Product Requirements". Do not modify size and dimensional requirements.
    - 1. Do not modify intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If modifications are proposed, submit comprehensive explanatory data to Architect for review.
  - E. Pre-installation Conference: Conduct conference at Project site.

# 1.7 **Project Conditions**

A. Field Measurements: Verify actual dimensions of thermally broken aluminum-framed door openings by field measurements before fabrication and indicate field measurements on Shop Drawings.

# 1.8 Warranty

- A. Manufacturer's Warranty: Submit, for Owner's acceptance, manufacturer's standard warranty.
  - 1. Warranty Period: Two (2) years from Date of Substantial Completion of the project.

## PART 2 - PRODUCTS

## 2.1 Manufacturers

- A. Basis-of-Design Product:
  - 1. Kawneer Company Inc.

The door stile and rail face dimensions of the 500T Insulpour<sup>TM</sup> Thermal Entrance will be as follows:

Door	Vertical Stile	Top Rail	Standard Bottom Rail	ADA Bottom Rail
500T:	5" (127 mm)	5" (127 mm)	6-1/2" (165.1 mm)	10" (254 mm)

- 2. Major portions of the door members to be 0.188" (4.8 mm) nominal in thickness and glazing molding to be 0.05" (1.3 mm) thick
- 3. Glazing gaskets shall be either EPDM elastomeric extrusions or a thermoplastic elastomer.
- 4. Provide adjustable glass jacks to help center the glass in the door opening.
- 5. Frame: Trifab VG 451T Center, 2" x 4-1/2".
- B. Subject to compliance with requirements, provide a comparable product by the following:
  - 1. YKK AP Corp.
  - 2. EFCO Corporation.
- C. Substitutions: Refer to Section 01 6000 for procedures and submission requirements.

#### 2.2 Materials

- A. Aluminum Extrusions: Alloy and temper recommended by aluminum-framed door manufacturer for strength, corrosion resistance, and application of required finish and not less than 0.188" (4.8 mm) wall thickness at any location for the main frame and door leaf members.
  - 1. Recycled Content: Shall have a minimum of 50% mixed pre- and post-consumer recycled content.
- B. Fasteners: Aluminum, nonmagnetic stainless steel or other materials to be non-corrosive and compatible with aluminum-framed door members, trim hardware, anchors, and other components.
- C. Anchors, Clips, and Accessories: Aluminum, nonmagnetic stainless steel, or zinc-coated steel or iron complying with ASTM B 633 for SC 3 severe service conditions or other suitable zinc coating; provide sufficient strength to withstand design pressure indicated.
- D. Reinforcing Members: Aluminum, nonmagnetic stainless steel, or nickel/chrome-plated steel complying with ASTM B 456 for Type SC 3 severe service conditions, or zinc-coated steel or iron complying with ASTM B 633 for SC 3 severe service conditions or other suitable zinc coating; provide sufficient strength to withstand design pressure indicated.
- E. Slide-In-Type Weather Stripping: Provide woven-pile weather stripping of wool, polypropylene, or nylon pile and resin-impregnated backing fabric. Comply with AAMA 701/702.
  - 1. Weather Seals: Provide weather stripping with integral barrier fin or fins of semi-rigid, polypropylene sheet or polypropylene-coated material. Comply with AAMA 701/702.
- F. Thermal Barrier: Shall be IsoPour<sup>TM</sup> utilizing two continuous rows of polypropylene with a nominal 7/32" (5.5 mm) separation consisting of a two-part, chemically curing high density

polyurethane which is mechanically and adhesively bonded to the aluminum at door rails and stiles.

# 2.3 Glazing

- A. Glazing: As specified in Division 08 Section "Glazing".
- B. Glazing Gaskets: Manufacturer's standard compression types; replaceable, extruded EPDM rubber.
- C. Spacers and Setting Blocks: Manufacturer's standard elastomeric type.

## 2.4 Hardware

- A. General: Refer to Section 087100 for hardware requirements not specified in this section.
- B. Standard Hardware:
  - 1. Weather-stripping:
    - a. Meeting stiles on pairs of doors shall be equipped with two lines of weather-stripping utilizing wool pile with polymeric fin.
    - b. The door weathering on a single acting offset pivot or butt hung door and frame (single or pairs) shall be comprised of a thermoplastic elastomer weathering on a tubular shape with a semi-rigid polymeric backing and a wool pile with polymeric fin.
  - 2. Sill Sweep Strips: EPDM blade gasket sweep strip in an aluminum extrusion applied to the interior exposed surface of the bottom rail with concealed fasteners (Necessary to meet specified performance tests).
  - 3. Threshold: Extruded aluminum, thermally broken, with ribbed surface.

# 2.5 Fabrication

- A. Fabricate thermally broken aluminum-framed entrance doors in sizes indicated. Include a complete system for assembling components and anchoring doors.
- B. Fabricate thermally broken aluminum-framed doors that are reglazable without dismantling perimeter framing.
  - 1. Door corner construction shall consist of mechanical clip fastening, SIGMA deep penetration plug welds and 1" (25.4 mm) long fillet welds inside and outside of all four corners. Glazing stops shall be hook-in type with EPDM glazing gaskets reinforced with non-stretchable cord.
  - 2. Accurately fit and secure joints and corners. Make joints hairline in appearance.
  - 3. Prepare components with internal reinforcement for door hardware.
  - 4. Arrange fasteners and attachments to conceal from view.
- C. Weather-stripping: Provide weather-stripping locked into extruded grooves in door panels or frames as indicated on manufactures drawings and details.

# 2.6 Aluminum Finishes

- A. Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.
- B. Factory Finishing:

1. Kawneer Permanodic™ AA-M10C21A41 / AA-M45C22A41, AAMA 611, Architectural Class I Clear Anodic Coating.

## PART 3 - EXECUTION

#### 3.1 Examination

- A. Examine openings, substrates, structural support, anchorage, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of work. Verify rough opening dimensions, levelness of sill plate and operational clearances. Examine wall flashings, vapor retarders, water and weather barriers, and other built-in components to ensure a coordinated installation.
  - 1. Masonry Surfaces: Visibly dry and free of excess mortar, sand, and other construction debris.
  - 2. Metal Surfaces: Dry; clean; free of grease, oil, dirt, rust, corrosion, and welding slag; without sharp edges or offsets at joints.
  - 3. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 Installation

- A. Comply with Drawings, Shop Drawings, and manufacturer's written instructions for installing thermally broken aluminum-framed entrance doors, hardware, accessories, and other components.
- B. Install thermally broken aluminum-framed entrance doors level, plumb, square, true to line, without distortion or impeding thermal movement, anchored securely in place to structural support, and in proper relation to wall flashing and other adjacent construction.
- C. Set sill threshold in bed of sealant, as indicated, for weather tight construction.
- D. Separate aluminum and other corrodible surfaces from sources of corrosion or electrolytic action at points of contact with other materials.

# 3.3 Field Quality Control

A. Manufacturer's Field Services: Provide periodic site visits by manufacturer's field service representative.

# 3.4 Adjusting, Cleaning, and Protection

- A. Clean aluminum surfaces immediately after installing aluminum-framed door and storefronts. Avoid damaging protective coatings and finishes. Remove excess sealants, glazing materials, dirt, and other substances.
- B. Clean glass immediately after installation. Comply with glass manufacturer's written recommendations for final cleaning and maintenance. Remove nonpermanent labels, and clean surfaces
- C. Remove and replace glass that has been broken, chipped, cracked, abraded, or damaged during construction period.

# **SECTION 08 5113 ALUMINUM WINDOWS**

#### PART 1 - GENERAL

## 1.1 Related Documents

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 Summary

- A. Section includes Kawneer Architectural Aluminum Windows including perimeter trims, stools, accessories, shims and anchors, and perimeter sealing of window units.
  - 1. Types of aluminum windows include:
    - a. Basis of Design: Kawneer Series AA<sup>TM</sup>6500 Windows:
      - 1) Fixed Windows:
        - a) 5" (127 mm) AA<sup>TM</sup>6500 frame depth.
        - b) AW-PG70-FW.
      - 2) Outswing Casement Windows:
        - a) 3-3/8" (85.7 mm) Outswing vent depth.
        - b) AW-PG70-C.
      - 3) Project-Out Windows:
        - a) 3-3/8" (85.7 mm) Project-Out vent depth.
        - b) AW-PG70-AP.

#### 1.3 Definitions

A. Definitions: For fenestration industry standard terminology and definitions refer to American Architectural Manufactures Association (AAMA) – AAMA Glossary (AAMA AG).

# 1.4 Performance Requirements

- A. General Performance: Aluminum-framed window system shall withstand the effects of the following performance requirements without failure due to defective manufacture, fabrication, installation, or other defects in construction.
- B. Window Performance Requirements:
  - 1. Performance Requirements: Provide aluminum windows of performance indicated that comply with AAMA/WDMA/CSA 101/I.S.2/A440 (NAFS).
    - a. Performance Class and Grade:
      - 1) Fixed Windows: AW-PG70-FW.
      - 2) Casement Windows: AW-PG70-C.
      - 3) Project-Out Windows: AW-PG70-AP.
  - 2. Wind loads: Provide window system; include anchorage, capable of withstanding wind load design pressures required per the latest edition of the Building Code of New York State.
  - Air Infiltration: The test specimen shall be tested in accordance with ASTM E283 at a minimum size of 60" x 36" (1524 x 914). Air infiltration rate shall not exceed 0.10 cfm/ft<sup>2</sup> at a static air pressure differential of 6.24 psf (300 Pa).
    - a. Project-Out and Casement Windows: The test specimen shall meet the A3 rating of less than 0.55 (m³/h)/m at 1.57 psf (75 Pa) when tested in accordance with CAN/CSA-A440-00 Windows.
    - b. Fixed Windows: The test specimen shall meet the Fixed rating of less than 0.25 (m³/h)/m at 75 Pa when tested in accordance with CAN/CSA-A440-00 Windows.

- 4. Water Resistance: The test specimen shall be tested in accordance with ASTM E547 and ASTM E331 at a minimum size of 60" x 36" (1524 x 914) (60" x 99" (1524 x 2515) for Fixed Windows). There shall be no leakage as defined in the test method at a static air pressure differential of 15 psf (720 Pa). The test specimen shall meet the B7 rating with no water leakage at 15 psf (720 Pa) when tested in accordance with CAN/CSA-A440-00 Windows.
- 5. Uniform Load Deflection: A minimum static air pressure difference of 70 psf (3352 Pa) shall be applied in the positive and negative direction in accordance with ASTM E330. There shall be no deflection in excess of L/175 of the span of any framing member. The test specimen shall meet the C5 rating when tested in accordance with CAN/CSA-A440-00 Windows.
- 6. Uniform Load Structural: A minimum static air pressure difference of 105 psf (5028 Pa) shall be applied in the positive and negative direction in accordance with ASTM E330. The unit shall be evaluated after each load with permanent set not to exceed 0.2% of span length.
- 7. Component Testing: Window components shall be tested in accordance with procedures described in AAMA/WDMA/CSA 101/I.S.2/A440 and AAMA 910.
- 8. Energy Efficiency:
  - a. Thermal Transmittance (U-Factor): When tested to AAMA Specification 1503, AAMA Specification 507 or NFRC 100 the thermal transmittance (U-Factor) shall not be more than;
    - 1) 1" insulating glass:
      - a) Project-Out & Casement: U-Factor not more than .43 BTU/hr/ft²/°F per AAMA 1503 with exterior 1/4" low-e glass, 1/2" Technoform TGI spacer, and interior 1/4" clear glass.
      - b) Fixed: U-Factor not more than .31 BTU/hr/ft²/°F per AAMA 1503 with exterior 1/4" low-e glass, 1/2" Technoform TGI spacer, and interior 1/4" clear glass.
- 9. Condensation Resistance Test (CRF): Provide aluminum windows tested for thermal performance according to AAMA 1503, the condensation resistance factor (CFR) shall not be less than;
  - a. Project-Out & Casement: CRF not less than 71 (frame) and 69 (glass).
  - b. Fixed Windows: CRF not less than 77 (frame) and 72 (glass).
- 10. Temperature Index (I): Provide aluminum windows tested for thermal performance according to CSA-A440 with a Temperature Index (I) not less than:
  - a. Project-Out & Casement: (I) not less than 62 (frame) and 64 (glass).
  - b. Fixed Windows: (I) not less than 70 (frame) and 67 (glass).
- 11. Sound Transmission Class (STC) and Outdoor-Indoor Transmission Class (OITC): When tested in accordance with AAMA Specification 1801, the STC and OITC shall not be less than;
  - a. Project-Out & Casement: STC not less than 35; OITC not less than 28.
  - b. Fixed Windows: 1" insulating glass made with exterior 3/16" clear glass, 3/8" aluminum spacer, and interior 7/16" laminated clear glass:
    - 1) STC not less than 38; OITC not less than 32.
- 12. Forced Entry Resistance: All windows shall conform to ASTM F588, Grade 10.
- 13. Thermal Barrier Test: Thermal break shall be designed in accordance with AAMA TIR-A8 and tested in accordance with AAMA 505.
- C. Environmental Product Declarations (EPD): Shall have a Type III Product-Specific EPD created from a Product Category Rule.

#### 1.5 Submittals

- A. Product Data: Include construction details, material descriptions, fabrication methods, dimensions of individual components and profiles, hardware, finishes, and operating instructions for each type of aluminum window indicated.
  - 1. Environmental Product Declaration (EPD):
    - a. Include a Type III Product-Specific EPD created from a Product Category Rule.
- B. Shop Drawings: Include plans, elevations, sections, details, hardware, attachments to other work, operational clearances and installation details.
- C. Samples for Initial Selection: For units with factory-applied color finishes including samples of hardware and accessories involving color selection.
- D. Samples for Verification: For aluminum windows and components required.
- E. Product Schedule: For aluminum windows. Use same designations indicated on Drawings.
- F. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency for each type, class, grade, and size of aluminum window. Test results based on use of downsized test units will not be accepted.

## 1.6 Quality Assurance

- A. Installer Qualifications: An installer which has had successful experiences with installation of the same or similar units required for this project and other projects of similar size and scope.
- B. Manufacturer Qualifications: A manufacturer capable of fabricating aluminum windows that meet or exceed performance requirements indicated and of documenting this performance by inclusion of test reports, and calculations.
- C. Source Limitations: Obtain aluminum windows through one source from a single manufacturer.
- D. Product Options: Drawings indicate size, profiles, and dimensional requirements of aluminum windows and are based on the specific system indicated. Refer to Division 01 Section "Product Requirements." Do not modify size and dimensional requirements.
  - Do not modify intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If modifications are proposed, submit comprehensive explanatory data to Architect for review.
- E. Pre-installation Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination."

# 1.7 Project Conditions

A. Field Measurements: Verify aluminum window openings by field measurements before fabrication and indicate measurements on Shop Drawings.

## 1.8 Warranty

- A. Manufacturer's Warranty: Submit, for Owner's acceptance, manufacturer's standard warranty.
  - 1. Warranty Period: Two (2) years from Date of Substantial Completion.

#### **PART 2 - PRODUCTS**

#### 2.1 Manufacturers

- A. Basis-of-Design Product:
  - 1. Kawneer Company Inc.
  - 2. Kawneer Series AA<sup>TM</sup>6500 Windows
  - 3. Project-Out, Outswing Casement, and Fixed Windows.
- B. Substitutions: Refer to Division 01 specifications for procedures and submission requirements.
  - 1. Pre-Contract (Bidding Period) Substitutions: Submit written requests ten (10) days prior to bid date.
  - 2. Post-Contract (Construction Period) Substitutions: Submit written request in order to avoid window installation and construction delays.
  - 3. Product Literature and Drawings: Submit product literature and drawings modified to suit specific project requirements and job conditions.
  - 4. Certificates: Submit certificate(s) certifying substitute manufacturer (1) attesting to adherence to specification requirements for window system performance criteria, and (2) has been engaged in the design, manufacturer and fabrication of aluminum windows for a period of not less than ten (10) years.
  - 5. Test Reports: Submit test reports verifying compliance with each test requirement required by the project.
  - 6. Samples: Provide samples of typical product sections and finish samples in manufacturer's standard sizes.

## 2.2 Materials

- A. Aluminum Extrusions: Alloy and temper recommended by aluminum window manufacturer for strength, corrosion resistance, and application of required finish and not less than 0.070" (1.8) wall thickness at any location for the main frame and sash members.
- B. Thermal Barrier: The thermal barrier shall consis of two parallel glass fiber-reinforced nylon strips installed continuously and mechanically bonded to the aluminum.
- C. Fasteners: Aluminum, nonmagnetic stainless steel or other materials to be non-corrosive and compatible with aluminum window members, trim, hardware, anchors, and other components.
- D. Anchors, Clips, and Accessories: Aluminum, nonmagnetic stainless steel, or zinc-coated steel or iron complying with ASTM B 633 for SC 3 severe service conditions; provide sufficient strength to withstand design pressure indicated.
- E. Reinforcing Members: Aluminum, nonmagnetic stainless steel, or nickel/chrome-plated steel complying with ASTM B 456 for Type SC 3 severe service conditions, or zinc-coated steel or iron complying with ASTM B 633 for SC 3 severe service conditions; provide sufficient strength to withstand design pressure indicated.
- F. Sealant: For sealants required within fabricated windows, provide window manufacturer's standard, permanently elastic, non-shrinking, and non-migrating type recommended by sealant manufacturer for joint size and movement.

## 2.3 Window System

A. Series AATM6500 Windows.

## 2.4 Glazing

14428.11

- A. Glass and Glazing Materials: Refer to Division 08 Section "Glazing" for glass units and glazing requirements applicable to glazed aluminum window units.
- B. Glazing System: Glazing method shall be a wet/dry type in accordance with manufacturer's standards. Exterior glazing shall be TPE gasket. Interior glazing shall be snap-in type glazing beads with an interior TPE gasket in accordance with AAMA 702 or ASTM C864.

## 2.5 Hardware

- A. General: Provide manufacturer's standard hardware fabricated from aluminum, stainless steel, or other corrosion-resistant material compatible with aluminum; designed to smoothly operate, tightly close, and securely lock aluminum windows, and sized to accommodate sash weight and dimensions.
- B. Casement Window Hardware:
  - 1. Locking:
    - a. Single Handle Multi-Point Locks (Standard).
  - 2. Hinging:
    - a. Concealed Hinges (Outswing Casement).
    - b. Friction Adjusters.
- C. Project Window Hardware:
  - 1. Locking
    - a. Dual Handle Multi-Point Locks (Standard) Project-Out
  - 2. Hinging
    - a. 4-Bar Hinges (Standard)
    - b. Limit Stop

#### 2.6 Accessories

- A. Spacers, Setting Blocks, Gaskets, and Bond Breakers: Manufacturer's standard permanent, non-migrating types in hardness recommended by manufacturer, compatible with sealants, and suitable for system performance requirements.
- B. Framing system gaskets, sealants, and joint fillers as recommended by manufacturer for joint type.
- C. Sealants and joint fillers for joints at perimeter of window system as specified in Division 7 Section "Joint Sealants".
- D. Perimeter Anchors: When steel anchors are used, provide insulation between steel material and aluminum material to prevent galvanic action.
- E. Interior Trims: Extruded aluminum, 6063-T6 alloy and temper, extruded to profiles and details indicated.
  - 1. Interior Trims: The interior face trim minimum wall thickness shall be 0.062" (1.57 mm). The face trim shall snap-fit onto concealed mounting clip. Exposed fasteners shall not be accepted. The mounting clip shall be extruded aluminum of 6063-T6 alloy and temper. The minimum wall thickness shall be 0.062" (1.57 mm). The trim clips shall be provided in 4" (101.6 mm) lengths and spaced a maximum of 18" (457.2 mm) center to center.
- F. Coupling Mullions: Shall be extruded aluminum of 6063-T6 alloy and temper of profile and dimensions indicated on drawings. Mullions shall provide structural properties to resist wind pressure required by performance criteria and standards.
- G. Insect Screens: Extruded aluminum frames, 6063-T6 alloy and temper, joined at corners: 18 x 16 mesh fiberglass screen cloth; frames finished to match aluminum windows; splines shall be extruded vinyl, removable to permit rescreening. Do not provide screens at Rescue Windows.

#### 2.7 Fabrication

- A. Framing Members, General: Fabricate components that, when assembled, have the following characteristics:
  - 1. Profiles that are sharp, straight, and free of defects or deformations.
  - 2. Accurately fit joints; make joints flush, hairline and weatherproof.
  - 3. Means to drain water passing joints, condensation within framing members, and moisture migrating within the system to exterior.
  - 4. Physical and thermal isolation of glazing from framing members.
  - 5. Accommodations for thermal and mechanical movements of glazing and framing to maintain required glazing edge clearances.
  - 6. Provisions for field replacement of glazing.
  - 7. Fasteners, anchors, and connection devices that are concealed from view to greatest extent possible.
- B. Window Framing: Fabricate components for assembly using manufacturer's standard installation instructions.
- C. Window Vent and/or Vent Frame Joinery: Mitered and Mechanically clipped and/or staked. Factory sealed vent and /or vent frame and corner joints.
- D. Fabricate aluminum windows in sizes indicated. Include a complete system for assembling components and anchoring windows.
- E. Fabricate aluminum windows that are re-glazable without dismantling sash or framing.
- F. Thermally Improved Construction: Fabricate aluminum windows with an integral, concealed, low-conductance thermal barrier; located between exterior materials and window members exposed on interior side; in a manner that eliminates direct metal-to-metal contact. Thermal barriers shall be designed in accordance with AAMA TIR A8.
  - 1. Thermal Barrier: The thermal barrier shall be Kawneer consisting of two parallel glass fiber-reinforced nylon strips installed continuously and mechanically bonded to the aluminum.
- G. Glazing Stops: Provide snap-on glazing stops coordinated with Division 08 Section "Glazing" and glazing system indicated. Provide glazing stops to match frame.

# 2.8 Aluminum Finishes

- A. Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.
- B. Factory Finishing:
  - 1. Kawneer Permafluor<sup>TM</sup> (70% PVDF), AAMA 2605, Fluoropolymer Coating (Color: match existing adjacent windows).
  - 2. Kawneer Permacoat<sup>TM</sup> AAMA 2604, Powder Coating (Color: match existing adjacent windows).

## **PART 3 - EXECUTION**

## 3.1 Examination

- A. Examine openings, substrates, structural support, anchorage, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of work. Verify rough opening dimensions, levelness of sill plate and operational clearances. Examine wall flashings, vapor retarders, water and weather barriers, and other built-in components to ensure a coordinated, weather tight window installation.
  - 1. Masonry Surfaces: Visibly dry and free of excess mortar, sand, and other construction debris.
  - 2. Wood Framing: Dry, clean, sound, well nailed, free of voids, and without offsets at joints. Ensure that nail heads are driven flush with surfaces in opening and within 3 inches (76.2 mm) of opening.

- ALUMINUM WINDOWS
- 3. Metal Surfaces: Dry; clean; free of grease, oil, dirt, rust, corrosion, and welding slag; without sharp edges or offsets at joints.
- 4. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 Installation

- A. Comply with Drawings, Shop Drawings, and manufacturer's written instructions for installing windows, hardware, accessories, and other components.
- B. Install aluminum framed window system level, plumb, square, true to line, without distortion or impeding thermal movement, anchored securely in place to structural support, and in proper relation to wall flashing and other adjacent construction.
- C. Set sill members in bed of sealant or with gaskets, as indicated, for weather tight construction.
- D. Install aluminum framed window system and components to drain condensation, water penetrating joints, and moisture migrating within system to the exterior.
- E. Separate aluminum from dissimilar materials to prevent corrosion or electrolytic action at points of contact.

# 3.3 Field Quality Control

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections and prepare test reports.
  - 1. Testing and inspecting agency will interpret tests and state in each report whether tested work complies with or deviates from requirements.
- B. Testing Services: Testing and inspecting of installed windows shall take place as follows:
  - Testing Methodology: Testing Standard shall be per AAMA 502 including reference to ASTM E 783 for Air Infiltration Test and ASTM E 1105 for Water Penetration Test.
    - a. Air Infiltration Test: Conduct test in accordance with ASTM E 783 at a minimum uniform static test pressure of 1.57 psf (75 Pa) for CW or 6.24 psf (300 Pa) for AW. The maximum allowable rates of air leakage for field testing shall not exceed 1.5 times the project specifications.
    - b. Water Infiltration Test: Water penetration resistance tests shall be conducted in accordance with ASTM E 1105 at a static test pressure equal to 2/3 the specified water test pressure.
  - 2. Testing Extent: Architect shall select window units to be tested as soon as a representative portion of the project has been installed, glazed, perimeter caulked and cured. Conduct tests for air infiltration and water penetration with manufacturer's representative present.
  - 3. Test Reports: Shall be prepared according to AAMA 502.

## 3.4 Adjusting, Cleaning, and Protection

- A. Adjust operating sashes, screens, hardware, and accessories for a tight fit at contact points and weather stripping for smooth operation and weather tight closure. Lubricate hardware and moving parts.
- B. Clean aluminum surfaces immediately after installing windows. Avoid damaging protective coatings and finishes. Remove excess sealants, glazing materials, dirt, and other substances.
- C. Clean glass immediately after installing windows. Comply with manufacturer's written recommendations for final cleaning and maintenance. Remove nonpermanent labels, and clean surfaces.
- D. Remove and replace glass that has been broken, chipped, cracked, abraded, or damaged during construction period.
- E. Protect window surfaces from contact with contaminating substances resulting from construction operations. In addition, monitor window surfaces adjacent to and below exterior concrete and masonry surfaces during construction for presence of dirt, scum, alkaline deposits, stains, or other contaminants.

If contaminating substances do contact window surfaces, remove contaminants immediately according to manufacturer's written recommendations.

**END OF SECTION 08 5113** 

## SECTION 08 71 00 – DOOR HARDWARE

# PART 1 - GENERAL

# 1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.02 SUMMARY

#### A. Section includes:

- 1. Mechanical door hardware for:
  - a. Swinging doors.

## 1.03 REFERENCES

## A. UL - Underwriters Laboratories

- 1. UL 10B Fire Test of Door Assemblies
- 2. UL 10C Positive Pressure Test of Fire Door Assemblies
- 3. UL 1784 Air Leakage Tests of Door Assemblies
- 4. UL 305 Panic Hardware

## B. DHI - Door and Hardware Institute

- 1. Sequence and Format for the Hardware Schedule
- 2. Recommended Locations for Builders Hardware
- 3. Key Systems and Nomenclature

# C. ANSI - American National Standards Institute

1. ANSI/BHMA A156.1 - A156.29, and ANSI/BHMA A156.31 - Standards for Hardware and Specialties

# 1.04 SUBMITTALS

# A. General:

- 1. Submit in accordance with Division 01 requirements.
- 2. Highlight, encircle, or otherwise specifically identify on submittals deviations from Contract Documents, issues of incompatibility or other issues which may detrimentally affect the Work.

# B. Action Submittals:

- 1. Product Data: For each item of hardware indicated furnish manufacturer's catalog sheets highlighting information pertaining specifically to product (s) submitted. Include manufacturers' technical product data for each item of door hardware, installation instructions, maintenance of operating parts and finish, and other information necessary to show compliance with requirements.
- 2. Samples for Verification: Submit production sample of each type of exposed hardware unit in finish indicated, and tagged with full description for coordination with schedule if requested.
- 3. Door Hardware Schedule: Submit schedule with hardware sets in vertical format as illustrated by Sequence of Format for the Hardware Schedule as published by the Door and Hardware Institute. Indicate complete designations of each item required for each door or opening, include:
  - a. Door and frame sizes, materials and door swings.
  - b. Door Index; include door number, heading number, and Architects hardware set number.
  - c. Opening Lock Function Spreadsheet: List locking device and function for each opening.
  - d. Type, style, function, size, and finish of each hardware item.
  - e. Name and manufacturer of each item.
  - f. Fastenings and other pertinent information.
  - g. Location of each hardware set cross-referenced to indications on Drawings, i.e., Corridor to Classroom
  - h. Explanation of all abbreviations, symbols, and codes contained in schedule.
  - i. Mounting locations for hardware.
  - j. Name and phone number for local manufacturer's representative for each product.
  - k. Submittal Sequence: Submit door hardware schedule concurrent with submissions of Product Data, Samples, and Shop Drawings. Coordinate submission of door hardware schedule with scheduling requirements of other work to facilitate fabrication of other work that is critical in Project construction schedule.

# 4. Key Schedule:

- a. After Keying Conference, provide keying schedule listing levels of keying as well as explanation of key system's function, key symbols used and door numbers controlled.
- b. Use ANSI/BHMA A156.28 "Recommended Practices for Keying Systems" as guideline for nomenclature, definitions, and approach for selecting optimal keying system.
- c. Provide 3 copies of keying schedule for review prepared and detailed in accordance with referenced DHI publication. Include schematic keying diagram and index each key to unique door designations.
- d. Index keying schedule by door number, keyset, hardware heading number, cross keying instructions, and special key stamping instructions.
- e. Provide one complete bitting list of key cuts and one key system schematic illustrating system usage and expansion.
  - 1) Forward bitting list, key cuts and key system schematic directly to Owner, by means as directed by Owner.
- f. Prepare key schedule by or under supervision of supplier, detailing Owner's final keying instructions for locks.
- 5. Templates: After final approval of hardware schedule, provide templates for doors, frames and other work specified to be factory prepared for door hardware installation.

# C. Informational Submittals:

- 1. Qualification Data: For Supplier, Installer and Architectural Hardware Consultant.
- 2. Certify that door hardware approved for use on types and sizes of labeled fire-rated doors complies with listed fire-rated door assemblies.
- 3. Certificates of Compliance:
  - a. UL listings for fire-rated hardware and installation instructions if requested by Architect or Authority Having Jurisdiction.
- 4. Warranty: Special warranty specified in this Section.

#### D. Closeout Submittals:

- 1. Operations and Maintenance Data: Provide in accordance with Division 01 and include:
  - a. Complete information on care, maintenance, and adjustment; data on repair and replacement parts, and information on preservation of finishes.
  - b. Catalog pages for each product.
  - c. Name, address, and phone number of local representative for each manufacturer.
  - d. Parts list for each product.
  - e. Final approved hardware schedule, edited to reflect conditions as-installed.
  - f. Final keying schedule
  - g. Copies of floor plans with keying nomenclature
  - h. Copy of warranties including appropriate reference numbers for manufacturers to identify project.

# 1.05 QUALITY ASSURANCE

- A. Supplier Qualifications and Responsibilities: Recognized architectural hardware supplier with record of successful in-service performance for supplying door hardware similar in quantity, type, and quality to that indicated for this Project and that provides certified Architectural Hardware Consultant (AHC) available to Owner, Architect, and Contractor, at reasonable times during the Work for consultation.
  - 1. Scheduling Responsibility: Preparation of door hardware and keying schedules.
- B. Installer Qualifications: Qualified tradesmen, skilled in application of commercial grade hardware with record of successful in-service performance for installing door hardware similar in quantity, type, and quality to that indicated for this Project.
- C. Architectural Hardware Consultant Qualifications: Person who is experienced in providing consulting services for door hardware installations that are comparable in material, design, and extent to that indicated for this Project and meets these requirements:
  - 1. For door hardware, DHI-certified, Architectural Hardware Consultant (AHC).
  - 2. Can provide installation and technical data to Architect and other related subcontractors.
  - 3. Can inspect and verify components are in working order upon completion of installation.
  - 4. Capable of producing wiring diagrams.
  - 5. Capable of coordinating installation of electrified hardware with Architect and electrical engineers.
- D. Single Source Responsibility: Obtain each type of door hardware from single manufacturer.

- Provide electrified door hardware from same manufacturer as mechanical door hardware, unless otherwise indicated.
- 2. Manufacturers that perform electrical modifications and that are listed by testing and inspecting agency acceptable to authorities having jurisdiction are acceptable.
- E. Fire-Rated Door Openings: Provide door hardware for fire-rated openings that complies with NFPA 80 and requirements of authorities having jurisdiction. Provide only items of door hardware that are listed products tested by Underwriters Laboratories, Intertek Testing Services, or other testing and inspecting organizations acceptable to authorities having jurisdiction for use on types and sizes of doors indicated, based on testing at positive pressure and according to NFPA 252 or UL 10C and in compliance with requirements of fire-rated door and door frame labels.
- F. Smoke- and Draft-Control Door Assemblies: Where smoke- and draft-control door assemblies are required, provide door hardware that meets requirements of assemblies tested according to UL 1784 and installed in compliance with NFPA 105.
  - 1. Air Leakage Rate: Maximum air leakage of 0.3 cfm/sq. ft. (3 cu. m per minute/sq. m) at tested pressure differential of 0.3-inch wg (75 Pa) of water.
- G. Electrified Door Hardware: Listed and labeled as defined in NFPA 70, Article 100, by testing agency acceptable to authorities having jurisdiction.
- H. Means of Egress Doors: Latches do not require more than 15 lbf (67 N) to release latch. Locks do not require use of key, tool, or special knowledge for operation.
- I. Accessibility Requirements: For door hardware on doors in an accessible route, comply with governing accessibility regulations cited in "REFERENCES" article, herein.
- J. Keying Conference: Conduct conference at Project site to comply with requirements in Division 01.
  - 1. Attendees: Owner, Contractor, Architect, Installer, and Supplier's Architectural Hardware Consultant.
  - 2. Incorporate keying conference decisions into final keying schedule after reviewing door hardware keying system including:
    - a. Function of building, flow of traffic, purpose of each area, degree of security required, and plans for future expansion.
    - b. Preliminary key system schematic diagram.
    - c. Requirements for key control system.
    - d. Requirements for access control.
    - e. Address for delivery of keys.
- K. Pre-installation Conference: Conduct conference at Project site
  - 1. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
  - 2. Inspect and discuss preparatory work performed by other trades.
  - 3. Review required testing, inspecting, and certifying procedures.
- L. Coordination Conferences:

- 1. Installation Coordination Conference: After delivery of, but before installation of the hardware, the General Contractor/Construction Manager shall coordinate and schedule a hardware installation seminar. The seminar will be conducted on the installation of locksets, door closers, exit devices, overhead stops and electromechanical or electromagnetic hardware. The manufacturer's representative for each of the above product categories shall conduct the meeting. The seminar shall be conducted at the job site with installers of hardware on wood, hollow metal and aluminum doors (including any installer working with low voltage wiring on electromechanical hardware) in attendance. Seminar will provide training for installation using installation instructions, hardware schedules, templates and physical product samples.
  - a. After meeting, provide letter of compliance to Architect, indicating when meeting was held and who was in attendance.
- M. Door hardware shall comply with NFPA 101, ANSI A117.1-09 and SED Manual of Planning Standards Section S105.

# 1.06 DELIVERY, STORAGE, AND HANDLING

- A. Inventory door hardware on receipt and provide secure lock-up for hardware delivered to Project site.
- B. Tag each item or package separately with identification coordinated with final door hardware schedule, and include installation instructions, templates, and necessary fasteners with each item or package.
  - 1. Deliver each article of hardware in manufacturer's original packaging.

# C. Project Conditions:

- 1. Maintain manufacturer-recommended environmental conditions throughout storage and installation periods.
- 2. Provide secure lock-up for door hardware delivered to Project, but not yet installed. Control handling and installation of hardware items so that completion of Work will not be delayed by hardware losses both before and after installation.

## D. Protection and Damage:

- 1. Promptly replace products damaged during shipping.
- 2. Handle hardware in manner to avoid damage, marring, or scratching. Correct, replace or repair products damaged during Work.
- 3. Protect products against malfunction due to paint, solvent, cleanser, or any chemical agent.
- E. Deliver keys and permanent cores to Owner by registered mail or overnight package service.

# 1.07 COORDINATION

- A. Coordinate layout and installation of floor-recessed door hardware with floor construction. Cast anchoring inserts into concrete.
- B. Installation Templates: Distribute for doors, frames, and other work specified to be factory prepared. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.

- C. Security: Coordinate installation of door hardware, keying, and access control with Owner's security consultant.
- D. No concession on the quality of material or quality of application shall be allowed due to non-timely procurement of hardware.
- E. Direct shipments not permitted, unless approved by Contractor.

#### 1.08 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: Years from date of Substantial Completion, for durations indicated.
    - a. Closers:
      - 1) Mechanical: 30 years for LCN 4000 series
    - b. Locksets:
      - Mechanical: 10 years for Schlage ND series
         Mechanical: 3 years for Schlage L9000 series
    - c. Key Blanks: Lifetime

## 1.09 MAINTENANCE

## A. Maintenance Tools:

1. Furnish complete set of special tools required for maintenance and adjustment of hardware, including changing of cylinders.

#### PART 2 - PRODUCTS

# 2.01 MANUFACTURERS

- A. Requests for material substitution of manufacturers and/or products other than those listed as "Scheduled Manufacturer" or "Acceptable Manufacturers" in the individual article for the product category must be submitted to the Architect, Owner, and/or Owner's Agent 10 days prior to bid date. Requests for substitution are to be submitted in writing and are to be accompanied by physical samples. Requests for substitution shall contain written certification from factory that proposed items meet all performance criteria delineated in this document.
- B. Approval of products from manufacturers indicated in "Acceptable Manufacturers" is contingent upon those products providing all functions and features and meeting all requirements of scheduled manufacturer's product.

C. Where specified hardware is not adaptable to finished shape or size of members requiring hardware, furnish suitable types having same operation and quality as type specified, subject to Architect's approval.

#### 2.02 MATERIALS

#### A. Fasteners

- 1. Provide hardware manufactured to conform to published templates, generally prepared for machine screw installation.
- 2. Furnish screws for installation with each hardware item. Finish exposed (exposed under any condition) screws to match hardware finish, or, if exposed in surfaces of other work, to match finish of this other work including prepared for paint surfaces to receive painted finish.
- 3. Provide concealed fasteners for hardware units exposed when door is closed except when no standard units of type specified are available with concealed fasteners. Do not use thru-bolts for installation where bolt head or nut on opposite face is exposed in other work unless thru-bolts are required to fasten hardware securely. Review door specification and advise Architect if thru-bolts are required.
- 4. Install hardware with fasteners provided by hardware manufacturer.
- B. Provide screws, bolts, expansion shields, drop plates and other devices necessary for hardware installation.
  - 1. Where fasteners are exposed to view: Finish to match adjacent door hardware material.

# 2.03 CONTINUOUS HINGES

#### A. Manufacturers and Products:

- 1. Scheduled Manufacturer and Product: Ives
- 2. Acceptable Manufacturers and Products: Roton, Select
- B. Continuous hinges: BHMA A156.26; minimum 0.120-inch- thick, hinge leaves with minimum overall width of 4 inches; fabricated to full height of door and frame and to template screw locations; with components finished after milling and drilling are complete.
- C. Continuous, gear-type hinges: extruded-aluminum, pinless, geared hinge leaves joined by a continuous extruded-aluminum channel cap; with concealed, self-lubricating thrust bearings.

# 2.04 HINGES

# A. Manufacturers and Products:

- 1. Scheduled Manufacturer and Product: Ives 5BB1HW series
- 2. Acceptable Manufacturers and Products: Stanley FBB168 series

# B. Requirements:

- 1. Provide five-knuckle, bearing hinges conforming to ANSI/BHMA A156.1.
- 2. 1-3/4 inch (44 mm) thick doors, up to and including 36 inches (914 mm) wide:

- a. Exterior: Standard weight, bronze or stainless steel, 4-1/2 inches (114 mm) high
- b. Interior: Standard weight, steel, 4-1/2 inches (114 mm) high
- 3. 1-3/4 inch (44 mm) thick doors over 36 inches (914 mm) wide:
  - a. Exterior: Heavy weight, bronze/stainless steel, 5 inches (127 mm) high
  - b. Interior: Heavy weight, steel, 5 inches (127 mm) high
- 4. Provide three hinges per door leaf for doors 90 inches (2286 mm) or less in height, and one additional hinge for each 30 inches (762 mm) of additional door height.
- 5. Where new hinges are specified for existing doors or existing frames, provide new hinges of identical size to hinge preparation present in existing door or existing frame.
- 6. Hinge Pins: Except as otherwise indicated, provide hinge pins as follows:
  - a. Steel Hinges: Steel pins
  - b. Non-Ferrous Hinges: Stainless steel pins
  - c. Out-Swinging Exterior Doors: Non-removable pins
  - d. Out-Swinging Interior Lockable Doors: Non-removable pins
  - e. Interior Non-lockable Doors: Non-rising pins
- 7. Width of hinges: 4-1/2 inches (114 mm) at 1-3/4 inch (44 mm) thick doors, and 5 inches (127 mm) at 2 inches (51 mm) or thicker doors. Adjust hinge width as required for door, frame, and wall conditions to allow proper degree of opening.
- 8. Provide hinges with electrified options as scheduled in the hardware sets. Provide with sufficient number and wire gage to accommodate electric function of specified hardware. Locate electric hinge at second hinge from bottom or nearest to electrified locking component.
- 9. Provide mortar guard for each electrified hinge specified.

## 2.05 EXIT DEVICES AND AUXILIARY ITEMS

- A. Scheduled Manufacturer and Product: Von Duprin 99 Series (No Substitution)
- B. Exit devices and auxiliary items: BHMA A156.3, Grade 1

# C. Requirements:

- 1. All exposed finishes to be Dull Chrome.
- 2. Lever handle trim to be heavy duty vandal resistance to match lock trim.
- 3. Keyed cylinder dogging at all non-rated exterior door devices unless otherwise noted.
- 4. Provide shim kits as required for door lites.

# 2.06 MORTISE LOCKS

#### A. Manufacturers and Products:

- 1. Scheduled Manufacturer and Product: Schlage L9000 series
- 2. Acceptable Manufacturers and Products: Corbin Russwin ML2000 Series

# B. Requirements:

1. Provide mortise locks conforming to ANSI/BHMA A156.13 Series 1000, Grade 1 Operational, Grade 1 Security, and manufactured from heavy gauge steel, containing components of steel with

- a zinc dichromate plating for corrosion resistance. Provide lock case that is multi-function and field reversible for handing without opening case. Cylinders: Refer to "KEYING" article, herein.
- 2. Indicators: Where specified, provide indicator window measuring a minimum 2 inch x 1/2 inch with 180 degree visibility. Provide messages color-coded with full text and/or symbols, as scheduled, for easy visibility.
  - a. Occupied Indicator: Provide indicator above cylinder for visibility while operating the lock that identifies the trim as occupied/unoccupied status of the door. Indicator in unoccupied state has a white background with black text and icon. Indicator in the occupied state has a red background with white text and icon.
- 3. Provide locks with standard 2-3/4 inches (70 mm) backset with full 3/4 inch (19 mm) throw stainless steel mechanical anti-friction latchbolt. Provide deadbolt with full 1 inch (25 mm) throw, constructed of stainless steel.
- 4. Provide standard ASA strikes unless extended lip strikes are necessary to protect trim.
- 5. Lever Trim: Solid brass, bronze, or stainless steel, cast or forged in design specified, with wrought roses and external lever spring cages. Provide thru-bolted levers with 2-piece spindles.
  - a. Lever Design: Schlage 06A.
  - b. Tactile Warning (Knurling): Where required by authority having jurisdiction. Provide on levers on exterior (secure side) of doors serving rooms considered to be hazardous.

# 2.07 MECHANICAL LOCKS AND LATCHES

- A. Manufacturers and Products: Schlage ND Series TLR (No Substitution)
- B. Bored locks BHMA A156.2; Grade 1: Series 4000
- C. Lock functions: as indicated in door hardware schedule.
- D. Lock throw: comply with testing requirements for length of bolts required for labeled fire doors and as follows:
  - 1. Bored Locks: minimum ½-inch latchbolt throw
  - 2. Mortise locks: minimum of <sup>3</sup>/<sub>4</sub>-inch latchbolt throw
- E. Lock backset: 2-3/4 inches, unless otherwise indicated
- F. Lock trim:
  - 1. Description: as indicated in door hardware schedule
  - 2. Levers: Zinc alloy
  - 3. Escutcheons (Roses): wrought
- G. Strikes: Provide manufacturer's standard strike for each lock bolt or latchbolt complying with requirements indicated for applicable lock or latch and with strike box and curved lip extended to protect frame; finished to match lock or latch.

## 2.08 CYLINDERS

A. Manufacturers:

1. Scheduled Manufacturer: Schlage (No Substitution)

# B. Requirements:

1. Provide interchangeable cylinders/cores to match Owner's existing Schlage key system, compliant with ANSI/BHMA A156.5; latest revision, Section 12, Grade 1; permanent cylinders; cylinder face finished to match lockset, manufacturer's series as indicated. Refer to "KEYING" article, herein.

## 2.09 KEYING

- A. Provide a factory registered keying system, complying with guidelines in ANSI/BHMA A156.28, incorporating decisions made at keying conference.
- B. Provide cylinders/cores keyed into Owner's existing factory registered Schlage keying system in Everest D/T and Everest Primus, complying with guidelines in ANSI/BHMA A156.28, incorporating decisions made at keying conference.

# C. Requirements:

- 1. Provide permanent cylinders/cores keyed by the manufacturer according to the following key system.
  - a. Master Keying system as directed by the Owner.
- 2. Forward bitting list and keys separately from cylinders, by means as directed by Owner. Failure to comply with forwarding requirements shall be cause for replacement of cylinders/cores involved at no additional cost to Owner.
- 3. Provide keys with the following features:
  - a. Material: Nickel silver; minimum thickness of .107-inch (2.3mm)

#### 4. Identification:

- a. Mark permanent cylinders/cores and keys with applicable blind code per DHI publication "Keying Systems and Nomenclature" for identification. Blind code marks shall not include actual key cuts.
- b. Identification stamping provisions must be approved by the Architect and Owner.
- c. Stamp cylinders/cores and keys with Owner's unique key system facility code as established by the manufacturer; key symbol and embossed or stamped with "DO NOT DUPLICATE" along with the "PATENTED" or patent number to enforce the patent protection.
- d. Failure to comply with stamping requirements shall be cause for replacement of keys involved at no additional cost to Owner.
- e. Forward permanent cylinders/cores to Owner, separately from keys, by means as directed by Owner.
- 5. Quantity: Furnish in the following quantities.
  - a. Change (Day) Keys: 3 per cylinder/core.
  - b. Permanent Control Keys: 3.
  - c. Master Keys: 6.

## 2.10 DOOR CLOSERS

#### A. Manufacturers and Products:

1. Scheduled Manufacturer and Product: LCN 4010/4110 series (No Substitution)

# B. Requirements:

- 1. Provide door closers conforming to ANSI/BHMA A156.4 Grade 1 requirements by BHMA certified independent testing laboratory. Stamp units with date of manufacture code.
- 2. Provide door closers with fully hydraulic, full rack and pinion action with high strength cast iron cylinder, and full complement bearings at shaft.
- 3. Cylinder Body: 1-1/2 inch (38 mm) diameter, with 5/8 inch (16 mm) diameter double heat-treated pinion journal.
- 4. Hydraulic Fluid: Fireproof, passing requirements of UL10C, and requiring no seasonal closer adjustment for temperatures ranging from 120 degrees F to -30 degrees F.
- 5. Spring Power: Continuously adjustable over full range of closer sizes, and providing reduced opening force as required by accessibility codes and standards.
- 6. Hydraulic Regulation: By tamper-proof, non-critical valves with separate adjustment for latch speed, general speed, and backcheck.
- 7. Provide closers with a solid forged steel main arms and factory assembled heavy-duty forged forearms for parallel arm closers.
- 8. Covers: Metal
- 9. Pressure Relief Valve (PRV) Technology: Not permitted.
- 10. Finish for Closer Cylinders, Arms, Adapter Plates, and Metal Covers: Powder coating finish which has been certified to exceed 100 hours salt spray testing as described in ANSI/BHMA Standard A156.4 and ASTM B117, or has special rust inhibitor (SRI).
- 11. Provide special templates, drop plates, mounting brackets, or adapters for arms as required for details, overhead stops, and other door hardware items interfering with closer mounting.

## 2.11 PROTECTION PLATES

# A. Manufacturers:

1. Scheduled Manufacturer: Ives

2. Acceptable Manufacturers: Rockwood

# B. Requirements:

- 1. Provide kick plates, mop plates, and armor plates minimum of 0.050 inch (1 mm) thick, beveled four edges as scheduled with countersunk screw holes. Furnish with sheet metal or wood screws, finished to match plates.
- 2. Sizes of plates:
  - a. Kick Plates: 10 inches (254 mm) high by 2 inches (51 mm) less width of door on single doors, 1 inch (25 mm) less width of door on pairs
  - b. Mop Plates: 4 inches (102 mm) high by 2 inches (51 mm) less width of door on single doors, 1 inch (25 mm) less width of door on pairs
  - c. Armor Plates: 36 inches (914 mm) high by 2 inches (51 mm) less width of door on single doors, 1 inch (25 mm) less width of door on pairs

# 2.12 OVERHEAD STOPS AND OVERHEAD STOP/HOLDERS

#### A. Manufacturers:

Scheduled Manufacturers: Glynn-Johnson
 Acceptable Manufacturers: Rixson, Sargent

# B. Requirements:

- 1. Provide heavy duty concealed mounted overhead stop or holder as specified for exterior and interior vestibule single acting doors.
- Provide heavy duty concealed mounted overhead stop or holder as specified for double acting doors.
- 3. Provide heavy or medium duty and concealed or surface mounted overhead stop or holder for interior doors as specified. Provide medium duty surface mounted overhead stop for interior doors and at any door that swings more than 140 degrees before striking wall, open against equipment, casework, sidelights, and where conditions do not allow wall stop or floor stop presents tripping hazard.
- 4. Where overhead holders are specified provide friction type at doors without closer and positive type at doors with closer.

## 2.13 DOOR STOPS AND HOLDERS

#### A. Manufacturers:

1. Scheduled Manufacturer: Ives

2. Acceptable Manufacturers: Rockwood

# B. Provide door stops at each door leaf:

- 1. Provide wall stops wherever possible. Provide convex type where mortise type locks are used and concave type where cylindrical type locks are used.
- 2. Where a wall stop cannot be used, provide universal floor stops for low or high rise options.
- 3. Where wall or floor stop cannot be used, provide medium duty surface mounted overhead stop.

# 2.14 GASKETING

## A. Manufacturers:

1. Scheduled Manufacturer: Zero International

2. Acceptable Manufacturers: National Guard, Reese

## B. Requirements:

1. Provide gasketing systems (including smoke, sound, and light) as specified and per architectural details. Match finish of other items.

## 2.15 FINISHES

## A. Finish: BHMA 626/652 (US26D); except:

1. Hinges at Exterior Doors: BHMA 630 (US32D)

- 2. Protection Plates: BHMA 630 (US32D)
- 3. Overhead Stops and Holders: BHMA 630 (US32D)
- 4. Door Closers: Powder Coat to Match5. Wall Stops: BHMA 630 (US32D)
- 6. Weatherstripping: Clear Anodized Aluminum
- 7. Thresholds: Mill Finish Aluminum

# **PART 3 - EXECUTION**

## 3.01 EXAMINATION

- A. Prior to installation of hardware, examine doors and frames, with Installer present, for compliance with requirements for installation tolerances, labeled fire-rated door assembly construction, wall and floor construction, and other conditions affecting performance.
- B. Existing Door and Frame Compatibility: Field verify existing doors and frames receiving new hardware and existing conditions receiving new openings. Verify that new hardware is compatible with existing door and frame preparation and existing conditions.
- C. Examine roughing-in for electrical power systems to verify actual locations of wiring connections before electrified door hardware installation.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.02 PREPARATION

- A. Where on-site modification of doors and frames is required:
  - 1. Carefully remove existing door hardware and components being reused. Clean, protect, tag, and store in accordance with storage and handling requirements specified herein.
  - 2. Field modify and prepare existing door and frame for new hardware being installed.
  - 3. When modifications are exposed to view, use concealed fasteners, when possible.
  - 4. Prepare hardware locations and reinstall in accordance with installation requirements for new door hardware and with:
    - a. Steel Doors and Frames: For surface applied door hardware, drill and tap doors and frames according to ANSI/SDI A250.6.
    - b. Wood Doors: DHI WDHS.5 "Recommended Hardware Reinforcement Locations for Mineral Core Wood Flush Doors."
    - c. Doors in rated assemblies: NFPA 80 for restrictions on on-site door hardware preparation.

# 3.03 INSTALLATION

- A. Mounting Heights: Mount door hardware units at heights to comply with the following, unless otherwise indicated or required to comply with governing regulations.
  - 1. Standard Steel Doors and Frames: ANSI/SDI A250.8.
  - 2. Custom Steel Doors and Frames: HMMA 831.

- 087100-14
- 3. Wood Doors: DHI WDHS.3, "Recommended Locations for Architectural Hardware for Wood Flush Doors."
- B. Install each hardware item in compliance with manufacturer's instructions and recommendations, using only fasteners provided by manufacturer.
- C. Do not install surface mounted items until finishes have been completed on substrate. Protect all installed hardware during painting.
- D. Set units level, plumb and true to line and location. Adjust and reinforce attachment substrate as necessary for proper installation and operation.
- E. Drill and countersink units that are not factory prepared for anchorage fasteners. Space fasteners and anchors according to industry standards.
- F. Install operating parts so they move freely and smoothly without binding, sticking, or excessive clearance.
- G. Lock Cylinders:
  - 1. Furnish permanent cores to Owner for installation..
- H. Key Control System: Tag keys and place them on markers and hooks in key control system cabinet, as determined by final keying schedule.
- I. Door Closers: Mount closers on room side of corridor doors, inside of exterior doors, and stair side of stairway doors from corridors. Closers shall not be visible in corridors, lobbies and other public spaces unless approved by Architect.
- J. Closer/Holders: Mount closer/holders on room side of corridor doors, inside of exterior doors, and stair side of stairway doors.
- K. Stops: Provide floor stops for doors unless wall or other type stops are indicated in door hardware schedule. Do not mount floor stops where they may impede traffic or present tripping hazard.
- L. Perimeter Gasketing: Apply to head and jamb, forming seal between door and frame.

# 3.04 FIELD QUALITY CONTROL

A. The manufacturer's representative(s) for the locking devices and closing devices shall inspect and approve the installation of the products whose manufacturer they represent. Incorrectly installed hardware must be reported to the Architect before preparation of the final punch list.

## 3.05 ADJUSTING

A. Initial Adjustment: Adjust and check each operating item of door hardware and each door to ensure proper operation or function of every unit. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.

- 1. Door Closers: Adjust sweep period to comply with accessibility requirements and requirements of authorities having jurisdiction.
- B. Occupancy Adjustment: Approximately three months after date of Substantial Completion, Installer's Architectural Hardware Consultant shall examine and readjust each item of door hardware, including adjusting operating forces, as necessary to ensure function of doors, door hardware, and electrified door hardware.

## 3.06 CLEANING AND PROTECTION

- A. Clean adjacent surfaces soiled by door hardware installation.
- B. Clean operating items as necessary to restore proper function and finish.
- C. Provide final protection and maintain conditions that ensure door hardware is without damage or deterioration at time of Substantial Completion.

#### 3.07 DEMONSTRATION

A. Provide training for Owner's maintenance personnel to adjust, operate, and maintain door hardware and door hardware finishes. Refer to Division 01 Section "Demonstration and Training."

## 3.08 DOOR HARDWARE SCHEDULE

- A. Locksets, exit devices, and other hardware items are referenced in the following hardware sets for series, type and function. Refer to the above-specifications for special features, options, cylinders/keying, and other requirements.
- B. GC to confirm the salvage of all removed hardware with the Owner prior to disposal. Turn over to Owner any hardware items identified for salvage and dispose of the balance.
- C. Hardware Codes:

001	1 ea.	continuous hinge SL-11HD (For Kawneer 500T Door) Clear Anodized
101	1 ea.	continuous hinge SL-24HD Clear Anodized
102	3 ea.	hinges 5BB1HW 4-1/2 x 4-1/2 US26D
200	1 ea.	closer (pull side) 4011 MC AL x TB
201	1 ea.	closer (push side) 4111EDA MC AL x TB
202	1 ea.	closer (push side stop arm) 4111-CUSH MC AL x TB
203	1 ea.	closer (push side stop arm hold open) 4111SH-CUSH MC AL x TB
300	1 ea.	rim exit device CD99NL-OP US26D x SNB
301	1 ea.	rim exit device CD99EO US26D x SNB
302	1 ea.	surface vertical rod exit device 9927EO-F LBR US6D SNB (Exit Only)
303	1 ea.	keyed removable mullion KR4954 SP28

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# BROOKSIDE ES CLASSROOM ADDITION DOOR HARDWARE 087100-16

14428.11	DOOR HARDWARE

400	1 ea.	LFIC rim cylinder 20-057 or mortise 20-061 626 with construction core
		Note: Provide cylinder for dogging with XQ11-948
400A	1 ea.	permanent keyed core Everest or Primus as directed by Owner 626
402	1 ea.	lockset (storeroom) ND80TD TLR 626
405	1 ea.	lockset (entrance/lockdown) ND50TD TLR 626
500	1 .	1 C O I I I EDGID HGGOD I CO II DD G HGGOD
500	1 set	combination flush bolts FB51P US32D x dust strike DP-2 US26D
504	1 ea.	wall stop WS406/407CCV US32D or floor stop FS436 US26D
505	1 ea.	overhead surface mounted overhead stop GJ90S US32D
507	1 ea.	surface mounted magnetic door holder SEM-7830 Alum
600	1	1' 1 1 4 0400 OHIL AH DW OC' 1 D OH THEDW OD' D
600	1 ea.	kick plate 8400 - 8"H x 2"LDW @ Single Drs., 8" x 1"LDW @ Pair Doors
		.050 US32D (Standard with B4E x countersunk screw holes B-CS)
601	1 ea.	door pull 8190-0 US32D (1" Diameter x 10"CTC)
700	1 ea.	smoke seal S44C for H&J
700A	1 ea.	smoke seal S77C for Meeting Stile
701	1 set	smoke seal 316AS x Tek for H&J
702	1 ea.	door sweep 315CN x Tek
703	1 ea.	mullion seal 5110BL
704	1 set	weatherstrip for H,J & Meeting Stile by Section 084114
705	1 set	weatherstrip 332CS x Tek for H&J
706	1 ea.	astragal 357SS
800	1 ea.	aluminum throshold by Saction 084114
		aluminum threshold by Section 084114
801	1 ea.	aluminum threshold 253x3AFG - 1/2"H x 6-1/8"W x MSES25SS
900	1 ea.	electric strike HES 9600 x 2005M3 24VDC Fail Secure 630
901	1 ea.	power supply Securitron BPS-24 x B-24-5
701	ı ca.	power supply securition DI 5 2 1 A D-27-5
1000	1 ea.	access control by others
		Sets (Decorated Lee)

# D. Hardware Sets (Doors/Codes):

OFF 1	
<u>SET 1</u>	
(2) 2-42	2/001-2/203-300-301-303-4/400-2/601-2/702-703-704-800-900-901-1000
SET 2	
(2) 1-S-1	2/101-2/203-402-500-2/702-705-706-801
SET 3	
(2) 1-42	2/101-2/201-2/302-2/507-2/600-700A-701
SET 4	
1-40	102-200-405-505-600-700

OSSINING	UFSD	BROOKSIDE ES CLA	ASSROOM ADDITION
14428.11		DOOR HARDWARE	087100-17
1-41	102-200-405-505-600-700		

END OF SECTION 08 7100

## SECTION 08 8000 - GLAZING

## PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. Section includes:
  - 1. Glass for exterior doors and windows.
  - 2. Glazing sealants and accessories.

# 1.2 **DEFINITIONS**

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. ASTM C 1036 also includes traditional thickness designations in IP units, but the actual thickness is based on the equivalent IP designation in millimeters.
- C. Glass Thicknesses: Indicated by thickness designations in millimeters according to ASTM C 1036.
- D. BCNYS: Building Code of New York State.
- E. Interspace: Space between lites of an insulating-glass unit.

# 1.3 COORDINATION

A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances.

# 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Group 1: Interior Adhesives and Sealants
  - 1. Adhesive Sealant and Primers must comply SCAQMD Rule #1168
  - 2. Aerosol Adhesives must comply with GS-36
  - 3. Adhesives and Sealants shall contain no carcinogen or reproductive toxicant more than 1% of total mass as defined in OEHHA
- C. Glass Samples: For each type of the following products; 12 inches square.
  - 1. Laminated glass.
  - 2. Insulating glass.
  - 3. Spandrel Glass.
  - 4. Tempered Glass.

- D. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations as indicated on Drawings.
- E. Delegated-Design Submittal: For glass indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer and manufacturers of insulating-glass units with sputter-coated, low-E coatings.
- B. Product Certificates: For glass.
- C. Product Test Reports: For insulating glass and glazing sealants, for tests performed by a qualified testing agency.
  - 1. For glazing sealants, provide test reports based on testing current sealant formulations within previous 36-month period.
- D. Sample Warranties: For special warranties.

# 1.6 QUALITY ASSURANCE

- A. Manufacturer Qualifications for Insulating-Glass Units with Sputter-Coated, Low-E Coatings: A qualified insulating-glass manufacturer who is approved and certified by coated-glass manufacturer.
- B. Installer Qualifications: A qualified installer who employs glass installers for this Project who are certified under the National Glass Association's Certified Glass Installer Program.
- C. Glass Testing Agency Qualifications: A qualified independent testing agency accredited according to the NFRC CAP 1 Certification Agency Program.
- D. Sealant Testing Agency Qualifications: An independent testing agency qualified according to ASTM C 1021 to conduct the testing indicated.
- E. All interior glazing sizes and types shall comply with NFPA 80 and/or ASTM E119.

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials according to manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.
- B. Comply with insulating-glass manufacturer's written instructions for venting and sealing units to avoid hermetic seal ruptures due to altitude change.

# 1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not proceed with glazing when ambient and substrate temperature conditions are outside limits permitted by glazing material manufacturers and when glazing channel substrates are wet from rain, frost, condensation, or other causes.
- B. Do not install glazing sealants when ambient and substrate temperature conditions are outside limits permitted by sealant manufacturer or are below 40 deg F.

# 1.9 WARRANTY

- A. Manufacturer's Special Warranty for Laminated Glass: Manufacturer agrees to replace laminated glass units that deteriorate within specified warranty period. Deterioration of laminated glass is defined as defects developed from normal use that are not attributed to glass breakage or to maintaining and cleaning laminated glass contrary to manufacturer's written instructions. Defects include edge separation, delamination materially obstructing vision through glass, and blemishes exceeding those allowed by referenced laminated-glass standard.
  - 1. Warranty Period: Ten years from date of Substantial Completion.
- B. Manufacturer's Special Warranty for Insulating Glass: Manufacturer agrees to replace insulating glass units that deteriorate within specified warranty period. Deterioration of insulating glass is defined as failure of hermetic seal under normal use that is not attributed to glass breakage or to maintaining and cleaning insulating glass contrary to manufacturer's written instructions. Evidence of failure is the obstruction of vision by dust, moisture, or film on interior surfaces of glass.
  - 1. Warranty Period: 10 years from date of Substantial Completion.

# PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
  - 1. PPG Industries, Inc.
  - 2. Guardian Industries.
  - 3. Pilkington.
- B. Source Limitations for Glass: Obtain from single source from single manufacturer for each glass type.
- C. Obtain reflective-coated glass from single source from single manufacturer.
- D. Source Limitations for Glazing Accessories: Obtain from single source from single manufacturer for each product and installation method.

# 2.2 PERFORMANCE REQUIREMENTS

A. General: Installed glazing systems shall withstand normal thermal movement and wind and impact loads (where applicable) without failure, including loss or glass breakage attributable to

the following: defective manufacture, fabrication, or installation; failure of sealants or gaskets to remain watertight and airtight; deterioration of glazing materials; or other defects in construction.

- B. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design glazing.
- C. Structural Performance: Glazing shall withstand the following design loads within limits and under conditions indicated determined according to the IBC and ASTM E 1300.
  - 1. Design Wind Pressures: per all applicable codes..
  - 2. Maximum Lateral Deflection: For glass supported on all four edges, limit center-of-glass deflection at design wind pressure to not more than 1/50 times the short-side length or 1 inch, whichever is less.
  - 3. Differential Shading: Design glass to resist thermal stresses induced by differential shading within individual glass lites.
- D. Safety Glazing: Where safety glazing is indicated, provide glazing that complies with 16 CFR 1201, Category II.
- E. Thermal and Optical Performance Properties: Provide glass with performance properties specified, as indicated in manufacturer's published test data, based on procedures indicated below:
  - 1. For monolithic-glass lites, properties are based on units with lites 6 mm thick.
  - 2. For insulating-glass units, properties are based on units of thickness indicated for overall unit and for each lite.
  - 3. U-Factors: Center-of-glazing values, according to NFRC 100 and based on LBL's WINDOW 5.2 computer program, expressed as Btu/sq. ft. x h x deg F.
  - 4. Solar Heat-Gain Coefficient and Visible Transmittance: Center-of-glazing values, according to NFRC 200 and based on LBL's WINDOW 5.2 computer program.
  - 5. Visible Reflectance: Center-of-glazing values, according to NFRC 300.

# 2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organizations below unless more stringent requirements are indicated. See these publications for glazing terms not otherwise defined in this Section or in referenced standards.
  - 1. GANA Publications: "Laminated Glazing Reference Manual" and "Glazing Manual."
  - 2. IGMA Publication for Insulating Glass: SIGMA TM-3000, "North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use."
- B. Safety Glazing Labeling: Where safety glazing is indicated, permanently mark glazing with certification label of the SGCC. Label shall indicate manufacturer's name, type of glass, thickness, and safety glazing standard with which glass complies.
- C. Insulating-Glass Certification Program: Permanently marked either on spacers or on at least one component lite of units with appropriate certification label of IGCC.
- D. Thickness: Where glass thickness is indicated, it is a minimum. Provide glass that complies with performance requirements and is not less than the thickness indicated.
  - 1. Minimum Glass Thickness for Exterior Lites: 6 mm.

- 2. Thickness of Tinted Glass: Provide same thickness for each tint color indicated throughout Project.
- E. Strength: Where heat-strengthened float glass is indicated, provide heat-strengthened float glass or fully tempered float glass as needed to comply with "Performance Requirements" Article. Where fully tempered float glass is indicated, provide fully tempered float glass.

## 2.4 GLASS PRODUCTS

- A. Clear Annealed Float Glass: ASTM C 1036, Type I, Class 1 (clear), Quality-Q3.
- B. Tinted Annealed Float Glass: ASTM C 1036, Type I, Class 2 (tinted), Quality-Q3.
- C. Fully Tempered Float Glass: ASTM C 1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
  - 1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.
- D. Reflective-Coated Vision Glass: ASTM C 1376.
- E. Ceramic-Coated Spandrel Glass: ASTM C 1048, Condition B, Type I, Quality-Q3, and complying with other requirements specified.

## 2.5 INSULATING GLASS

- A. Insulating-Glass Units: Factory-assembled units consisting of sealed lites of glass separated by a dehydrated interspace, qualified according to ASTM E 2190.
  - 1. Sealing System: Dual seal, with manufacturer's standard primary and secondary sealants.
  - 2. Spacer: Manufacturer's standard spacer material and construction.
  - 3. Desiccant: Molecular sieve or silica gel, or a blend of both.
  - 4. See Section 08 5113 for window glazing.

# 2.6 LAMINATED GLASS

- A. Laminated Glass: ASTM C 1172. Use materials that have a proven record of no tendency to bubble, discolor, or lose physical and mechanical properties after fabrication and installation.
  - 1. Construction: Laminate glass with polyvinyl butyral interlayer to comply with interlayer manufacturer's written instructions.
  - 2. Interlayer Thickness: Provide thickness not less than that indicated and as needed to comply with requirements.
  - 3. Interlayer Color: Clear unless otherwise indicated.

# 2.7 GLAZING SEALANTS

## A. General:

1. Compatibility: Compatible with one another and with other materials they contact, including glass products, seals of insulating-glass units, and glazing channel substrates,

- under conditions of service and application, as demonstrated by sealant manufacturer based on testing and field experience.
- 2. Suitability: Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated and for conditions existing at time of installation.
- 3. Field-applied sealants shall have a VOC content of not more than 250 g/L.
- 4. Colors of Exposed Glazing Sealants: Match Architect's samples.
- B. Glazing Sealant: Neutral-curing silicone glazing sealant complying with ASTM C 920, Type S, Grade NS, Class 100/50, Use NT.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by the following:
    - a. Dow Corning Corporation.

## 2.8 GLAZING TAPES

- A. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for application indicated; and complying with ASTM C 1281 and AAMA 800 for products indicated below:
  - 1. AAMA 806.3 tape, for glazing applications in which tape is subject to continuous pressure.

# 2.9 MISCELLANEOUS GLAZING MATERIALS

- A. General: Provide products of material, size, and shape complying with referenced glazing standard, with requirements of manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.
- B. Cleaners, Primers, and Sealers: Types recommended by sealant or gasket manufacturer.
- C. Setting Blocks: Elastomeric material with a Shore, Type A durometer hardness of 85, plus or minus 5.
- D. Spacers: Elastomeric blocks or continuous extrusions of hardness required by glass manufacturer to maintain glass lites in place for installation indicated.
- E. Edge Blocks: Elastomeric material of hardness needed to limit glass lateral movement (side walking).
- F. Cylindrical Glazing Sealant Backing: ASTM C 1330, Type O (open-cell material), of size and density to control glazing sealant depth and otherwise produce optimum glazing sealant performance.

# 2.10 FABRICATION OF GLAZING UNITS

- A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.
  - 1. Allow for thermal movements from ambient and surface temperature changes acting on glass framing members and glazing components.
    - a. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
- B. Clean-cut or flat-grind vertical edges of butt-glazed monolithic lites to produce square edges with slight chamfers at junctions of edges and faces.
- C. Grind smooth and polish exposed glass edges and corners.

#### **PART 3 - EXECUTION**

# 3.1 EXAMINATION

- A. Examine framing, glazing channels, and stops, with Installer present, for compliance with the following:
  - 1. Manufacturing and installation tolerances, including those for size, squareness, and offsets at corners.
  - 2. Presence and functioning of weep systems.
  - 3. Minimum required face and edge clearances.
  - 4. Effective sealing between joints of glass-framing members.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 PREPARATION

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate exterior and interior surfaces. Label or mark units as needed so that exterior and interior surfaces are readily identifiable. Do not use materials that leave visible marks in the completed Work.

# 3.3 GLAZING, GENERAL

A. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials, unless more stringent requirements are indicated, including those in referenced glazing publications.

- B. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass includes glass with edge damage or other imperfections that, when installed, could weaken glass, impair performance, or impair appearance.
- C. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- D. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications, unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- E. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- F. Provide spacers for glass lites where length plus width is larger than 50 inches.
  - 1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances, unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
  - 2. Provide 1/8-inch minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- G. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and according to requirements in referenced glazing publications.
- H. Set glass lites in each series with uniform pattern, draw, bow, and similar characteristics.
- I. Set glass lites with proper orientation so that coatings face exterior or interior as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.
- K. Square cut wedge-shaped gaskets at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended by gasket manufacturer.

# 3.4 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first, then to jambs. Cover horizontal framing joints by applying tapes to jambs, then to heads and sills.

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- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.
- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant.
- G. Center glass lites in openings on setting blocks, and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

# 3.5 GASKET GLAZING (DRY)

- A. Cut compression gaskets to lengths recommended by gasket manufacturer to fit openings exactly, with allowance for stretch during installation.
- B. Insert soft compression gasket between glass and frame or fixed stop so it is securely in place with joints miter cut and bonded together at corners.
- C. Installation with Drive-in Wedge Gaskets: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- D. Installation with Pressure-Glazing Stops: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket. Install dense compression gaskets and pressure-glazing stops, applying pressure uniformly to compression gaskets. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended by gasket manufacturer.
- E. Install gaskets so they protrude past face of glazing stops.

# 3.6 SEALANT GLAZING (WET)

- A. Install continuous spacers, or spacers combined with cylindrical sealant backing, between glass lites and glazing stops to maintain glass face clearances and to prevent sealant from extruding into glass channel and blocking weep systems until sealants cure. Secure spacers or spacers and backings in place and in position to control depth of installed sealant relative to edge clearance for optimum sealant performance.
- B. Force sealants into glazing channels to eliminate voids and to ensure complete wetting or bond of sealant to glass and channel surfaces.
- C. Tool exposed surfaces of sealants to provide a substantial wash away from glass.

## 3.7 CLEANING AND PROTECTION

- A. Immediately after installation remove nonpermanent labels and clean surfaces.
- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.
  - 1. If, despite such protection, contaminating substances do come into contact with glass, remove substances immediately as recommended in writing by glass manufacturer. Remove and replace glass that cannot be cleaned without damage to coatings.
- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces not more than four days before date scheduled for inspections that establish date of Substantial Completion. Wash glass as recommended in writing by glass manufacturer.

### 3.8 MONOLITHIC GLASS SCHEDULE

- A. Glass Type G-1: Clear fully tempered float glass.
  - 1. Minimum Thickness: 6 mm.
  - 2. Safety glazing required.

## 3.9 INSULATING GLASS SCHEDULE

A. Glass Type IG-1: See Section 08 5113.

END OF SECTION 08 8000

#### SECTION 08 8110 - FIRE-RATED GLASS

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Fire-rated glazing materials installed as vision lights in fire-rated doors.

## 1.2 REFERENCES

- A. American Society for Testing and Materials (ASTM):
  - 1. ASTM E2074-00: Standard Test Method for Fire Tests of Door Assemblies, Including Positive Pressure Testing of Side-Hinged and Pivoted Swinging Door Assemblies.
  - 2. ASTM E2010-01: Standard Test Method for Positive Pressure Fire Tests of Window Assemblies.
- B. American National Standards Institute (ANSI):
  - 1. ANSI Z97.1: Standard for Safety Glazing Materials Used in Buildings
- C. Consumer Product Safety Commission (CPSC):
  - 1. CPSC 16 CFR 1201: Safety Standard for Architectural Glazing Materials
- D. Glass Association of North America (GANA):
  - 1. GANA Glazing Manual.
  - 2. FGMA Sealant Manual.
- E. National Fire Protection Association (NFPA):
  - 1. NFPA 80: Fire Doors and Windows.
  - 2. NFPA 252 Fire Tests of Door Assemblies.
  - 3. NFPA 257 Fire Tests of Window Assemblies.
- F. Underwriters Laboratories, Inc. (UL):
  - 1. UL 9 Fire Tests of Window Assemblies.
  - 2. UL 10B Fire Tests of Door Assemblies.
  - 3. UL 10C Positive Pressure Fire Tests of Door Assemblies.

### 1.3 PERFORMANCE REQUIREMENTS

A. Fire-rated glass ceramic clear and wireless glazing material with surface-applied film listed for use in impact safety-rated locations such as doors, transoms and borrowed lites with fire rating requirements ranging from 20 minutes to 3 hours with required hose stream test.

## 1.4 SUBMITTALS

- A. Product data: Submit manufacturer's technical data for each glazing material required, including installation and maintenance instructions.
- B. Certificates of compliance from glass and glazing materials manufacturers attesting that glass and glazing materials furnished for project comply with requirements. Separate certification will not be required for

glazing materials bearing manufacturer's permanent label designating type and thickness of glass, provided labels represent a quality control program involving a recognized certification agency or independent testing laboratory acceptable to authority having jurisdiction.

- C. Product Test Listings: From UL indicating fire-rated glass complies with requirements, based on comprehensive testing of current product.
- D. Samples: Submit, for verification purposes, two 8-inch by 10-inch samples for each type of glass indicated.

### 1.5 QUALITY ASSURANCE

- A. Glazing Standards: FGMA Glazing Manual and Sealant Manual.
- B. Fire Protective Rated Glass: Each lite shall bear permanent, nonremovable label of UL certifying it for use in tested and rated fire protective assemblies.
- C. Fire Protective Glazing Products for Door Assemblies: Products identical to those tested per ASTM E 152, labeled and listed by UL or other certification agency acceptable to authorities having jurisdiction.

### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle materials per manufacturer's written instructions.
- B. Deliver materials to specified destination in manufacturer's or distributor's packaging, undamaged, complete with installation instructions.
- C. Store off ground, under cover, protected from weather and construction activities.

### 1.7 WARRANTY

- A. Provide manufacturer's warranty.
- B. Warranty Period: Three years from date of Substantial Completion.

#### PART 2 - PRODUCTS

## 2.1 FIRE-RATED GLAZING MATERIALS

A. Product: FireLite® NT as supplied by Technical Glass Products, 8107 Bracken Place SE, Snoqualmie, WA 98065, voice 1-800-426-0279, fax 1-800-451-9857, e-mail sales@fireglass.com, web site <a href="https://www.fireglass.com">www.fireglass.com</a>, or equivalent.

### B. Properties:

- 1. Thickness: 3/16 inch FireLite<sup>®</sup>.
- 2. Film: Fire-rated surface film as approved by manufacturer.
- 3. Weight: 2.4 lbs./sq. ft.
- 4. Approximate Visible Transmission: 88 percent.
- 5. Approximate Visible Reflection: 9 percent.
- 6. Hardness (Vicker's Scale): 700.

- Fire-rating: 20 minutes to 3 hours for doors; 20 minutes to 90 minutes for other applications. 7.
- 8. Impact Safety Resistance: ANSI Z97.1 and CPSC 16CFR1201 (Category II).
- 9. Positive Pressure Test: UL 10C, UBC 7-2 and 7-4; passes.
- 10. Surface Finish:
  - Premium Grade-Ground and polished on both sides.
- C. Maximum sheet sizes based on surface finish:
  - Premium: 48 inches by 96 inches.
- Labeling: Permanently label each piece of FireLite® NT with the FireLite® logo, UL logo and fire rating. D.
- E. Fire Rating: Fire rating listed and labeled by UL for fire rating scheduled at opening locations on drawings, when tested in accordance with ASTM E2074-00 and ASTM E2010-01; NPFA 252 and NFPA 257; UL 9, UL 10B and UL 10C.

#### 2.2 GLAZING COMPOUND FOR FIRE-RATED GLAZING MATERIALS

- A. Glazing Tape: Closed cell polyvinyl chloride (PVC) foam, coiled on release paper over adhesive on two sides, maximum water absorption by volume of 2 percent. Glass panels that exceed 1,393 sq. inches for 90-minute ratings must be glazed with fire-rated glazing tape supplied by manufacturer.
- В. Glazing Compound: DAP 33 putty.
- C. Silicone Sealant: One-part neutral curing silicone, medium modulus sealant, Type S; Grade NS; Class 25 with additional movement capability of 50 percent in both extension and compression (total 100 percent); Use (Exposure) NT; Uses (Substrates) G, A, and O as applicable. Available Products:
  - Dow Corning 795 Dow Corning Corp. 1.
  - Silglaze-II 2800 General Electric Co. 2.
  - 3. Spectrem 2 - Tremco Inc.
- D. Setting Blocks: Neoprene, EPDM, or silicone; tested for compatibility with glazing compound; of 70 to 90 Shore A hardness.
- Cleaners, Primers, and Sealers: Type recommended by manufacturer of glass and gaskets. E.

#### 2.3 **FABRICATION**

Fabricate glass and other glazing products in sizes required to glaze openings indicated for Project, with A. edge and face clearances, edge and surface conditions, and bite complying with recommendations of product manufacturer and referenced glazing standard as required to comply with system performance requirements.

### PART 3 - EXECUTION

#### 3.1 **EXAMINATION**

- Examine glass framing, with glazier present, for compliance with the following: A.
  - Manufacturing and installation tolerances, including those for size, squareness, offsets at corners. 1.
  - Minimum required face or edge clearances. 2.
  - 3. Observable edge damage or face imperfections.

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- C. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings that are not firmly bonded to substrates.

Do not proceed with glazing until unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION (GLAZING)

- A. Comply with referenced FGMA standards and instructions of manufacturers of glass, glazing sealants, and glazing compounds.
- B. Protect glass from edge damage during handling and installation. Inspect glass during installation and discard pieces with edge damage that could affect glass performance.
- C. Set units of glass in each series with uniformity of pattern, draw, bow, and similar characteristics.
- D. Cut glazing tape to length and set against permanent stops, flush with sight lines to fit openings exactly, with stretch allowance during installation.
- E. Place setting blocks located at quarter points of glass with edge block no more than 6 inches from corners.
- F. Glaze vertically into labeled fire-rated metal frames or partition walls with same fire rating as glass and push against tape for full contact at perimeter of pane or unit.
- G. Place glazing tape on free perimeter of glazing in same manner described above.
- H. Install removable stop and secure without displacement of tape.
- I. Use specified glazing compound, without adulteration; bed glazing material in glazing compound; entirely fill all recess and spaces. Provide visible glazing compound with smooth and straight edges.
- J. Install in vision panels in fire-rated doors to requirements of NFPA 80.
- K. Install so that appropriate UL and FireLite® NT markings remain permanently visible.

## 3.3 PROTECTION AND CLEANING

- A. Protect glass from contact with contaminating substances resulting from construction operations. Remove any such substances by method approved by glass manufacturer.
- B. Wash glass on both faces not more than four days prior to date scheduled for inspections intended to establish date of substantial completion. Wash glass by method recommended by glass manufacturer.

END OF SECTION 08 8110

### SECTION 09 2216 - NON-STRUCTURAL METAL FRAMING

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Non-load-bearing steel framing systems for interior gypsum board assemblies.
  - 2. Suspension systems for interior gypsum ceilings, soffits, and grid systems.

## 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

# 1.3 INFORMATIONAL SUBMITTALS

A. Evaluation Reports: For dimpled steel studs and runners, firestop tracks, from ICC-ES.

## PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical to those tested in assembly indicated, according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated, according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.

## 2.2 FRAMING SYSTEMS

- A. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.
- B. Framing Members, General: Comply with ASTM C 754 for conditions indicated.
  - 1. Steel Sheet Components: Comply with ASTM C 645 requirements for metal unless otherwise indicated.
  - 2. Protective Coating: ASTM A 653/A 653M, G40, hot-dip galvanized unless otherwise indicated.
- C. Studs and Runners: ASTM C 645. Use either steel studs and runners or dimpled steel studs and runners.
  - 1. Steel Studs and Runners:
    - a. Minimum Base-Metal Thickness: 0.027 inch.
    - b. Depth: As indicated on Drawings.
  - 2. Dimpled Steel Studs and Runners:
    - a. Minimum Base-Metal Thickness: 0.025 inch.
    - b. Depth: As indicated on Drawings.
- D. Slip-Type Head Joints: Where indicated, provide one of the following:
  - 1. Single Long-Leg Runner System: ASTM C 645 top runner with 2-inch deep flanges in thickness not less than indicated for studs, installed with studs friction fit into top runner and with continuous bridging located within 12 inches of the top of studs to provide lateral bracing.

- 2. Double-Runner System: ASTM C 645 top runners, inside runner with 2-inch deep flanges in thickness not less than indicated for studs and fastened to studs, and outer runner sized to friction fit inside runner.
- 3. Deflection Track: Steel sheet top runner manufactured to prevent cracking of finishes applied to interior partition framing resulting from deflection of structure above; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- E. Firestop Tracks: Top runner manufactured to allow partition heads to expand and contract with movement of the structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.
  - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Fire Trak Corp.; Fire Trak System attached to study with Fire Trak Posi Klip.
    - b. Grace Construction Products; FlameSafe FlowTrak System.
    - c. Metal-Lite, Inc.; The System.
- F. Flat Strap and Backing Plate: Steel sheet for blocking and bracing in length and width indicated.
  - 1. Minimum Base-Metal Thickness: 0.027 inch.
- G. Cold-Rolled Channel Bridging: Steel, 0.053-inch minimum base-metal thickness, with minimum 1/2-inch- wide flanges.
  - 1. Depth: As indicated on Drawings.
  - 2. Clip Angle: Not less than 1-1/2 by 1-1/2 inches, 0.068-inch- thick, galvanized steel.
- H. Hat-Shaped, Rigid Furring Channels: ASTM C 645.
  - 1. Minimum Base-Metal Thickness: 0.033 inch.
  - 2. Depth: As indicated on Drawings.
- I. Resilient Furring Channels: 1/2-inch- deep, steel sheet members designed to reduce sound transmission.
  - 1. Configuration: Asymmetrical.
- J. Cold-Rolled Furring Channels: 0.053-inch uncoated-steel thickness, with minimum 1/2-inch wide flanges.
  - 1. Depth: As indicated on Drawings.
  - 2. Furring Brackets: Adjustable, corrugated-edge type of steel sheet with minimum uncoated-steel thickness of 0.033 inch.
  - 3. Tie Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.062-inch-diameter wire, or double strand of 0.048-inch- diameter wire.
- K. Z-Shaped Furring: With slotted or nonslotted web, face flange of 1-1/4 inches, wall attachment flange of 7/8 inch, minimum uncoated-metal thickness of 0.018 inch, and depth required to fit insulation thickness indicated.

### 2.3 SUSPENSION SYSTEMS

- A. Tie Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.062-inch- diameter wire, or double strand of 0.048-inch- diameter wire.
- B. Hanger Attachments to Concrete:
  - 1. Anchors: Fabricated from corrosion-resistant materials with holes or loops for attaching wire hangers and capable of sustaining, without failure, a load equal to 5 times that imposed by construction as determined by testing according to ASTM E 488 by an independent testing agency.

- Type: Postinstalled, expansion anchor.
- 2. Powder-Actuated Fasteners: Suitable for application indicated, fabricated from corrosionresistant materials with clips or other devices for attaching hangers of type indicated, and capable of sustaining, without failure, a load equal to 10 times that imposed by construction as determined by testing according to ASTM E 1190 by an independent testing agency.
- Wire Hangers: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.16 inch in diameter. C.
- D. Carrying Channels: Cold-rolled, commercial-steel sheet with a base-metal thickness of 0.053 inch and minimum 1/2-inch- wide flanges.
  - Depth: 2 inches.
- E. Grid Suspension System for Gypsum Board Ceilings: ASTM C 645, direct-hung system composed of main beams and cross-furring members that interlock.
  - Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - Armstrong World Industries, Inc.; Drywall Grid Systems.
    - Chicago Metallic Corporation; Drywall Grid System. b.
    - USG Corporation; Drywall Suspension System. c.

#### 2.4 **AUXILIARY MATERIALS**

- A. General: Provide auxiliary materials that comply with referenced installation standards.
  - Fasteners for Metal Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.
- Isolation Strip at Exterior Walls: Provide one of the following: В.
  - Asphalt-Saturated Organic Felt: ASTM D 226, Type I (No. 15 asphalt felt), 1. nonperforated.
  - 2. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

### **PART 3 - EXECUTION**

#### 3.1 **EXAMINATION**

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- Proceed with installation only after unsatisfactory conditions have been corrected. В.

#### 3.2 **PREPARATION**

- Suspended Assemblies: Coordinate installation of suspension systems with installation of A. overhead structure to ensure that inserts and other provisions for anchorages to building structure have been installed to receive hangers at spacing required to support the Work and that hangers will develop their full strength.
  - Furnish concrete inserts and other devices indicated to other trades for installation in 1. advance of time needed for coordination and construction.
- В. Coordination with Sprayed Fire-Resistive Materials:
  - Before sprayed fire-resistive materials are applied, attach offset anchor plates or ceiling 1. runners (tracks) to surfaces indicated to receive sprayed fire-resistive materials. Where

14428.11

- offset anchor plates are required, provide continuous plates fastened to building structure not more than 24 inches o.c.
- 2. After sprayed fire-resistive materials are applied, remove them only to extent necessary for installation of non-load-bearing steel framing. Do not reduce thickness of fire-resistive materials below that required for fire-resistance ratings indicated. Protect adjacent fire-resistive materials from damage.

# 3.3 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C 754.
  - 1. Gypsum Board Assemblies: Also comply with requirements in ASTM C 840 that apply to framing installation.
- B. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- C. Install bracing at terminations in assemblies.
- D. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

## 3.4 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
  - 1. Single-Layer Application: 16 inches o.c. unless otherwise indicated.
  - 2. Multilayer Application: 16 inches o.c. unless otherwise indicated.
  - 3. Tile Backing Panels: 16 inches o.c. unless otherwise indicated.
- B. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.
- C. Install studs so flanges within framing system point in same direction.
- D. Install tracks (runners) at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts penetrating partitions above ceiling.
  - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
  - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install runner track section (for cripple studs) at head and secure to jamb studs.
    - a. Install two studs at each jamb unless otherwise indicated.
    - b. Install cripple studs at head adjacent to each jamb stud, with a minimum 1/2-inch clearance from jamb stud to allow for installation of control joint in finished assembly.
    - c. Extend jamb studs through suspended ceilings and attach to underside of overhead structure.
  - 3. Other Framed Openings: Frame openings other than door openings the same as required for door openings unless otherwise indicated. Install framing below sills of openings to match framing required above door heads.
  - 4. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.

- a. Firestop Track: Install to maintain continuity of fire-resistance-rated assembly indicated.
- 5. Sound-Rated Partitions: Install framing to comply with sound-rated assembly indicated.
- 6. Curved Partitions:
  - a. Bend track to uniform curve and locate straight lengths so they are tangent to arcs.
  - b. Begin and end each arc with a stud, and space intermediate studs equally along arcs. On straight lengths of no fewer than two studs at ends of arcs, place studs 6 inches o.c.

#### 3.5 INSTALLING SUSPENSION SYSTEMS

- A. Install suspension system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
  - 1. Hangers: 48 inches o.c.
  - 2. Carrying Channels (Main Runners): 48 inches o.c.
- B. Isolate suspension systems from building structure where they abut or are penetrated by building structure to prevent transfer of loading imposed by structural movement.
- C. Suspend hangers from building structure as follows:
  - Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structural or suspension system.
    - a. Splay hangers only where required to miss obstructions and offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
  - 2. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with locations of hangers required to support standard suspension system members, install supplemental suspension members and hangers in the form of trapezes or equivalent devices.
    - a. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced installation standards
  - 3. Wire Hangers: Secure by looping and wire tying, either directly to structures or to inserts, eye screws, or other devices and fasteners that are secure and appropriate for substrate, and in a manner that will not cause hangers to deteriorate or otherwise fail.
  - 4. Do not attach hangers to steel roof deck.
  - 5. Do not attach hangers to permanent metal forms. Furnish cast-in-place hanger inserts that extend through forms.
  - 6. Do not attach hangers to rolled-in hanger tabs of composite steel floor deck.
  - 7. Do not connect or suspend steel framing from ducts, pipes, or conduit.
- D. Fire-Resistance-Rated Assemblies: Wire tie furring channels to supports.
- E. Seismic Bracing: Sway-brace suspension systems with hangers used for support.
- F. Grid Suspension Systems: Attach perimeter wall track or angle where grid suspension systems meet vertical surfaces. Mechanically join main beam and cross-furring members to each other and butt-cut to fit into wall track.
- G. Installation Tolerances: Install suspension systems that are level to within 1/8 inch in 12 feet measured lengthwise on each member that will receive finishes and transversely between parallel members that will receive finishes.

# 14428.11

### SECTION 092900 - GYPSUM BOARD

## PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Interior gypsum board.
  - 2. Tile backing panels.

## 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For the following products:
  - 1. Trim Accessories: Full-size Sample in 12-inch long length for each trim accessory indicated.

# 1.3 QUALITY ASSURANCE

- A. Mockups: Before beginning gypsum board installation, install mockups of at least 100 sq. ft. in surface area to demonstrate aesthetic effects and set quality standards for materials and execution.
  - 1. Install mockups for the following:
    - a. Each level of gypsum board finish indicated for use in exposed locations.
    - b. Each texture finish indicated.
  - 2. Apply or install final decoration indicated, including painting and wallcoverings, on exposed surfaces for review of mockups.
  - 3. Simulate finished lighting conditions for review of mockups.
  - 4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

## 1.4 DELIVERY, STORAGE AND HANDLING

A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

## 1.5 FIELD CONDITIONS

- A. Environmental Limitations: Comply with ASTM C 840 requirements or gypsum board manufacturer's written recommendations, whichever are more stringent.
- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, those that are moisture damaged, and those that are mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

#### PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.
- C. Low-Emitting Materials: For ceiling and wall assemblies, provide materials and construction identical to those tested in assembly and complying with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- D. Food storage, preparation, and serving areas, toilet rooms, shower, and locker rooms, and similar areas shall have appropriate non-absorbent, impervious floor, ceiling and wall surfaces which will facilitate cleaning.

## 2.2 GYPSUM BOARD, GENERAL

A. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

# 2.3 INTERIOR GYPSUM BOARD

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. USG Corporation.
  - 2. Georgia Pacific
  - 3. National Gypsum
- B. Gypsum Wallboard: ASTM C 1396/C 1396M.
  - 1. Thickness: 5/8", unless noted otherwise.
- C. Gypsum Wallboard: Type X: ASTM C 1396/C 1396M.
  - 1. Thickness: 5/8", unless noted otherwise.
- D. Moisture and Mold-Resistant Abuse Resistant Gypsum Board: With moisture, mold-and impact resistant surfaces.complying with ASTM C473 and D3273
  - 1. Basis of design product: USG Mold Tough AR Firecode X Panels
  - 2. Impact Resistance: Level 2 per ASTM C1629
  - 3. Core: 5/8 inch, Type X.
  - 4. Long Edges: Tapered.
  - 5. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274
- E. Impact-Resistant Gypsum Board with polycarbonate backer per ASTM C 1396/C 1396M gypsum board, tested according to ASTM C 1629/C 1629M.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. USG Corporation.
    - b. Georgia Pacific
    - c. National Gypsum
    - d. Nudo: Secure Sheild

- e. Pinnacle Armor: Corguard
- 2. Core: 5/8 inch, Type X.
- 3. Backer; 0.080 Polycarbonate.
- 4. Surface Abrasion: ASTM C 1629/C 1629M, meets or exceeds Level 3 requirements.
- 5. Indentation: ASTM C 1629/C 1629M, meets or exceeds Level 3 requirements.
- 6. Hard-Body Impact: ASTM C 1629/C 1629M, meets or exceeds Level 3 requirements according to test in Annex A1.
- 7. Long Edges: Tapered.
- 8. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274.

### 2.4 TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 and ASTM C 1288 or 1325, with manufacturer's standard edges.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. USG Corporation; DUROCK Cement Board.
  - 2. Thickness: As indicated.
  - 3. Mold Resistance: ASTM D 3273, score of 10 as rated according to ASTM D 3274.

### 2.5 TRIM ACCESSORIES

- A. Interior Trim: ASTM C 1047.
  - 1. Material: Galvanized or aluminum-coated steel sheet or rolled zinc.
  - 2. Shapes:
    - a. Cornerbead.
    - b. Bullnose bead.
    - c. LC-Bead: J-shaped; exposed long flange receives joint compound.
    - d. L-Bead: L-shaped; exposed long flange receives joint compound.
    - e. U-Bead: J-shaped; exposed short flange does not receive joint compound.
    - f. Expansion / Reveal joints.
      - 1) Manufacturer: Gordon Interior Specialties
      - 2) Product: Series 400 Wall Trim Reveals and Series 500 Wall Reveals
      - 3) Locations : As indicated
    - g. End Cap.
      - 1) Manufacturer: Gordon Interior Specialties
      - 2) Product: Series 911 End Cap
      - 3) Location: As indicated
    - h. Mullion Mate Adjustable Partition Closure
      - 1) Manufacturer: Gordon Interior Specialties
      - 2) Product: Mullion Mate 4
        - a) Provide Series 911-EC -375
        - b) Coverage: 4 to 4-15/16"
        - c) Locations: End of wall and window framing and other locations as indicated.
      - 3) Product: Mullion Mate 5
        - a) Provide Series 911-EC -375
        - b) Coverage: 5 to 6-15/16"
        - c) Locations: End of wall and window framing and other locations as indicated.

# 2.6 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C 475/C 475M.
- B. Joint Tape:
  - 1. Interior Gypsum Board: Paper.
  - 2. Exterior Gypsum Soffit Board: Paper.
  - 3. Glass-Mat Gypsum Sheathing Board: 10-by-10 glass mesh.
  - 4. Tile Backing Panels: As recommended by panel manufacturer.
- C. Joint Compound for Interior Gypsum Board: For each coat use formulation that is compatible with other compounds applied on previous or for successive coats.
  - 1. Prefilling: At open joints, rounded or beveled panel edges, and damaged surface areas, use setting-type taping compound.
  - 2. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use setting-type taping compound.
    - a. Use setting-type compound for installing paper-faced metal trim accessories.
  - 3. Fill Coat: For second coat, use setting-type, sandable topping compound.
  - 4. Finish Coat: For third coat, use setting-type, sandable topping compound.
  - 5. Skim Coat: For final coat of Level 4 finish, use setting-type, sandable topping compound.
- D. Joint Compound for Tile Backing Panels:
  - 1. Cementitious Backer Units: As recommended by backer unit manufacturer.

### 2.7 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards and manufacturer's written recommendations.
- B. Laminating Adhesive: Adhesive or joint compound recommended for directly adhering gypsum panels to continuous substrate.
  - 1. Laminating adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 2. Laminating adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. Steel Drill Screws: ASTM C 1002, unless otherwise indicated.
  - Use screws complying with ASTM C 954 for fastening panels to steel members from 0.033 to 0.112 inch thick.
  - 2. For fastening cementitious backer units, use screws of type and size recommended by panel manufacturer.
- D. Acoustical Joint Sealant: Manufacturer's standard nonsag, paintable, nonstaining latex sealant complying with ASTM C 834. Product effectively reduces airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
  - 1. <u>Products</u>: Subject to compliance with requirements, provide one of the following:
    - a. USG Corporation; SHEETROCK Acoustical Sealant.
  - 2. Acoustical joint sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Acoustical joint sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

### PART 3 - EXECUTION

# 3.1 EXAMINATION

- A. Examine areas and substrates including welded hollow-metal frames and framing, with Installer present, for compliance with requirements and other conditions affecting performance.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 APPLYING AND FINISHING PANELS, GENERAL

- A. Comply with ASTM C 840.
- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than 1/16 inch of open space between panels. Do not force into place.
- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
  - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than 8 sq. ft. in area.
  - 2. Fit gypsum panels around ducts, pipes, and conduits.
  - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow 1/4- to 3/8-inch wide joints to install sealant.
- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments, except floors. Provide 1/4- to 1/2-inch wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. Wood Framing: Install gypsum panels over wood framing, with floating internal corner construction. Do not attach gypsum panels across the flat grain of wide-dimension lumber, including floor joists and headers. Float gypsum panels over these members or provide control joints to counteract wood shrinkage.
- J. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C 919 and with manufacturer's written recommendations for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.

K. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

## 3.3 APPLYING INTERIOR GYPSUM BOARD

- A. Install interior gypsum board in the following locations:
  - 1. Type X: As indicated on Drawings.
  - 2. Impact Resistant Type: As indicated on Drawings.
  - 3. Moisture- and Mold-Resistant Type: As indicated on Drawings.

# B. Single-Layer Application:

- On ceilings, apply gypsum panels before wall/partition board application to greatest extent possible and at right angles to framing unless otherwise indicated.
- 2. On partitions/walls, apply gypsum panels horizontally (perpendicular to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
  - a. Stagger abutting end joints not less than one framing member in alternate courses of panels.
  - b. At stairwells and other high walls, install panels horizontally unless otherwise indicated or required by fire-resistance-rated assembly.
- 3. Fastening Methods: Apply gypsum panels to supports with steel drill screws.

# C. Multilayer Application:

- 1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, 16 inches minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
- 2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
- 3. Fastening Methods: Fasten base layers and face layers separately to supports with screws.

## 3.4 APPLYING TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A108.11, at ceramic tile and where indicated.
- B. Where tile backing panels abut other types of panels in same plane, shim surfaces to produce a uniform plane across panel surfaces.

## 3.5 INSTALLING TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Control Joints: Install control joints at locations indicated on Drawings.
- C. Interior Trim: Install in the following locations:
  - 1. Cornerbead: Use at outside corners unless otherwise indicated.
  - 2. Bullnose Bead: Use at outside corners where indicated.
  - 3. LC-Bead: Use at exposed panel edges.
  - 4. L-Bead: Use where indicated.
  - 5. U-Bead: Use where indicated.

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6. Curved-Edge Cornerbead: Use at curved openings.

# 3.6 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Prefill open joints, rounded or beveled edges, and damaged surface areas.
- C. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- D. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C 840:
  - 1. Level 1: Ceiling plenum areas, concealed areas, and where indicated.
  - 2. Level 2: Panels that are substrate for tile.
  - 3. Level 4: At panel surfaces that will be exposed to view unless otherwise indicated.

## 3.7 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
  - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 092900

# SECTION 095113 - ACOUSTICAL PANEL CEILINGS

### PART 1 - GENERAL

### 1.1 SUMMARY

A. Section includes acoustical panels and exposed suspension systems for ceilings.

### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product, including proposed hanger wire anchors.
- B. Samples: For each exposed product and for each color and texture specified, 6 inches in size.

### 1.3 INFORMATIONAL SUBMITTALS

A. Evaluation Reports: For each acoustical panel ceiling suspension system, from ICC-ES.

## 1.4 CLOSEOUT SUBMITTALS

A. Maintenance Data: For finishes to include in maintenance manuals.

## 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Acoustical Ceiling Panels: Full-size panels equal to 2 percent of quantity installed.
  - 2. Suspension-System Components: Quantity of each exposed component equal to 2 percent of quantity installed.

## 1.6 QUALITY ASSURANCE

- A. Test reports: Manufacturer will provide test certification for minimum requirements as tested in accordance with applicable industry standards and/or to meet performance standards specified by various agencies.
- B. Changes from system: System performance following any substitution of materials or change in assembly design must be certified by the manufacturer.
- C. All ceiling panel cartons must contain UL label for acoustical compliance.
- D. All suspension system cartons must contain UL label for load compliance per ASTM C635.

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical panels, suspension-system components, and accessories to Project site in original, unopened packages and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical panels, permit them to reach room temperature and a stabilized moisture content.
- C. Handle acoustical panels carefully to avoid chipping edges or damaging units in any way.

### 1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical panel ceilings until spaces are enclosed and weatherproof, wet work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
  - 1. Pressurized Plenums: Operate ventilation system for not less than 48 hours before beginning acoustical panel ceiling installation.

### PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Acoustical ceiling shall withstand the effects of earthquake motions determined according to Seismic Category C.
  - 1. Provide manufacturer's approved and tested seismic assembly complying with:
    - a. American Society of Civil Engineers 7-05: Minimum Design Loads for Buildings and Other Structures.
    - b. CISCA: Guidelines for Seismic Restraint Direct Hung Suspended Ceiling Assemblies Seismic Zones 3 & 4.
- B. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame-Spread Index: Comply with ASTM E 1264 for Class A materials. Finish units not less than 5/8" thick, with flame spread of 25 or less complying with ASTM E-84. Classrooms, and meeting rooms shall be provided with an acoustical ceiling tile with a minimum Noise Reduction Coefficient (NRC) rating of 0.65.
  - 2. Smoke-Developed Index: 25 or less.
- C. Fire-Resistance Ratings: Comply with ASTM E 119; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Indicate design designations from UL's "Fire Resistance Directory" or from the listings of another qualified testing agency.

## 2.2 ACOUSTICAL PANELS, GENERAL

- A. Low-Emitting Materials: Acoustical panel ceilings shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. Source Limitations: Obtain each type of acoustical ceiling panel and supporting suspension system from single source from single manufacturer.
- C. Glass-Fiber-Based Panels: Made with binder containing no urea formaldehyde.
- D. Acoustical Panel Standard: Provide manufacturer's standard panels of configuration indicated that comply with ASTM E 1264 classifications as designated by types, patterns, acoustical ratings, and light reflectances unless otherwise indicated.
  - 1. Mounting Method for Measuring NRC: Type E-400; plenum mounting in which face of test specimen is 15-3/4 inches away from test surface according to ASTM E 795.
- E. Acoustical Panel Colors and Patterns: Match appearance characteristics indicated for each product type.

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1. Where appearance characteristics of acoustical panels are indicated by referencing pattern designations in ASTM E 1264 and not manufacturers' proprietary product designations, provide products selected by Architect from each manufacturer's full range that comply with requirements indicated for type, pattern, color, light reflectance, acoustical performance, edge detail, and size.

### 2.3 ACOUSTICAL PANELS

- A. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide "Fine Fissured" by Armstrong World Industries or comparable product by one of the following:
  - 1. USG Corp.
  - 2. CertainTeed Corp.
- B. Classification: Provide panels complying with ASTM E 1264 for type, form, and pattern as follows:
  - 1. Type and Form: Type III, mineral base with painted finish; Form 2, water felted.
  - 2. Pattern: E (lightly textured).
- C. Fire Classification: Class A.
- D. Color: White.
- E. NRC: Not less than 0.70.
- F. CAC: Not less than 35.
- G. Edge/Joint Detail: Square.
- H. Thickness: 5/8 inch.
- I. Modular Size: 24 by 24 inches.

# 2.4 METAL SUSPENSION SYSTEMS, GENERAL

- A. Metal Suspension-System Standard: Provide manufacturer's standard direct-hung metal suspension systems of types, structural classifications, and finishes indicated that comply with applicable requirements in ASTM C 635/C 635M.
  - 1. High-Humidity Finish: Comply with ASTM C 635/C 635M requirements for "Coating Classification for Severe Environment Performance" where high-humidity finishes are indicated.
- B. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Direct Hung," unless otherwise indicated. Comply with seismic design requirements.
- C. Wire Hangers, Braces, and Ties: Provide wires complying with the following requirements:
  - 1. Zinc-Coated, Carbon-Steel Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper.
  - 2. Size: Select wire diameter so its stress at three times hanger design load (ASTM C 635/C 635M, Table 1, "Direct Hung") will be less than yield stress of wire, but provide not less than 0.106-inch- diameter wire.
- D. Hanger Rods: Mild steel, zinc coated or protected with rust-inhibitive paint.
- E. Angle Hangers: Angles with legs not less than 7/8 inch wide; formed with 0.04-inch- thick, galvanized-steel sheet complying with ASTM A 653/A 653M, G90 coating designation; with bolted connections and 5/16-inch- diameter bolts.
- F. Seismic Stabilizer Bars: Manufacturer's standard perimeter stabilizers designed to accommodate seismic forces.

H. Seismic Clips: Manufacturer's standard seismic clips designed and spaced to secure acoustical panels in place.

# 2.5 METAL SUSPENSION SYSTEM

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. <u>Armstrong World Industries, Inc.</u>
  - 2. CertainTeed Corp.
  - 3. <u>Chicago Metallic Corporation</u>.
  - 4. USG Interiors, Inc.; Subsidiary of USG Corporation.
- B. Wide-Face, Capped, Double-Web, Steel Suspension System: Main and cross runners roll formed from cold-rolled steel sheet; prepainted, electrolytically zinc coated, or hot-dip galvanized according to ASTM A 653/A 653M, not less than G30 coating designation; with prefinished 15/16-inch- wide metal caps on flanges.
  - 1. Structural Classification: Heavy-duty system.
  - 2. End Condition of Cross Runners: Override (stepped) or butt-edge type.
  - 3. Face Design: Flat, flush.
  - 4. Cap Material: Steel or aluminum cold-rolled sheet.
  - 5. Cap Finish: Match panel color.
  - 6. Basis of Design: Armstrong Prelude XL 15/16" Exposed Tee.

### 2.6 METAL EDGE MOLDINGS AND TRIM

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Armstrong World Industries, Inc.
  - 2. CertainTeed Corp.
  - 3. Chicago Metallic Corporation.
  - 4. USG Interiors, Inc.; Subsidiary of USG Corporation.
- B. Extruded-Aluminum Edge Moldings and Trim: Where indicated, provide manufacturer's extruded-aluminum edge moldings and trim of profile indicated or referenced by manufacturer's designations, including splice plates, corner pieces, and attachment and other clips, complying with seismic design requirements.
  - 1. Clear Anodic Finish: AAMA 611, AA-M12C22A31, Class II, 0.010 mm or thicker.
  - 2. Baked-Enamel or Powder-Coat Finish: Minimum dry film thickness of 1.5 mils. Comply with ASTM C 635/C 635M and coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.

## 2.7 ACOUSTICAL SEALANT

- A. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Acoustical Sealant for Exposed and Concealed Joints:
    - a. <u>Pecora Corporation</u>; AC-20 FTR Acoustical and Insulation Sealant.
    - b. <u>USG Corporation</u>; SHEETROCK Acoustical Sealant.

- B. Acoustical Sealant: Manufacturer's standard sealant complying with ASTM C 834 and effective in reducing airborne sound transmission through perimeter joints and openings in building construction as demonstrated by testing representative assemblies according to ASTM E 90.
  - 1. Exposed and Concealed Joints: Nonsag, paintable, nonstaining latex sealant.
  - 2. Acoustical sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).

### **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing to which acoustical panel ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and with requirements for installation tolerances and other conditions affecting performance of acoustical panel ceilings.
- B. Examine acoustical panels before installation. Reject acoustical panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

A. Measure each ceiling area and establish layout of acoustical panels to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width panels at borders, and comply with layout shown on reflected ceiling plans.

# 3.3 INSTALLATION

- A. General: Install acoustical panel ceilings to comply with ASTM C 636/C 636M and seismic design requirements indicated, according to manufacturer's written instructions and CISCA's "Ceiling Systems Handbook."
  - 1. Fire-Rated Assembly: Install fire-rated ceiling systems according to tested fire-rated design.
- B. Suspend ceiling hangers from building's structural members and as follows:
  - 1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
  - 2. Splay hangers only where required to miss obstructions; offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
  - 3. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with location of hangers at spacings required to support standard suspension-system members, install supplemental suspension members and hangers in form of trapezes or equivalent devices.
  - 4. Secure wire hangers to ceiling-suspension members and to supports above with a minimum of three tight turns. Connect hangers directly either to structures or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
  - 5. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.

- 6. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
- 7. When steel framing does not permit installation of hanger wires at spacing required, install carrying channels or other supplemental support for attachment of hanger wires.
- 8. Do not attach hangers to steel deck tabs.
- 9. Do not attach hangers to steel roof deck. Attach hangers to structural members.
- 10. Space hangers not more than 48 inches o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than 8 inches from ends of each member.
- 11. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards and publications.
- C. Secure bracing wires to ceiling suspension members and to supports with a minimum of four tight turns. Suspend bracing from building's structural members as required for hangers, without attaching to permanent metal forms, steel deck, or steel deck tabs. Fasten bracing wires into concrete with cast-in-place or post installed anchors.
- D. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
  - 1. Apply acoustical sealant in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.
  - 2. Screw attach moldings to substrate at intervals not more than 16 inches o.c. and not more than 3 inches from ends, leveling with ceiling suspension system to a tolerance of 1/8 inch in 12 feet. Miter corners accurately and connect securely.
  - 3. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- E. Ceiling Perimeter (Seismic Considerations): Install edge moldings (7/8" minimum) and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
  - 1. Tee ends shall be tied together with manufacturer supplied Stabilizer Bars or other approved means to prevent the tees from spreading apart.
  - 2. Maintain a 3/8" clearance between the ends of the suspension members and the wall. The unattached ends of the suspension members shall rest upon and be free to slide perpendicularly to the perimeter molding.
  - 3. Alternate Perimeter Attachment: When approved by local code officials install 7/8" edge molding with grid manufacturers Seismic Clip accessory in lieu of stabilizer bars.
- F. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.
- G. Install acoustical panels with undamaged edges and fit accurately into suspension-system runners and edge moldings. Scribe and cut panels at borders and penetrations to provide a neat, precise fit.
  - 1. For square-edged panels, install panels with edges fully hidden from view by flanges of suspension-system runners and moldings.
  - 2. For reveal-edged panels on suspension-system runners, install panels with bottom of reveal in firm contact with top surface of runner flanges.
  - 3. For reveal-edged panels on suspension-system members with box-shaped flanges, install panels with reveal surfaces in firm contact with suspension-system surfaces and panel faces flush with bottom face of runners.

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  - 4. Paint cut edges of panel remaining exposed after installation; match color of exposed panel surfaces using coating recommended in writing for this purpose by acoustical panel manufacturer.
  - 5. Protect lighting fixtures and air ducts to comply with requirements indicated for fire-resistance-rated assembly.

## 3.4 CLEANING

A. Clean exposed surfaces of acoustical panel ceilings, including trim, edge moldings, and suspension-system members. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage. Remove and replace ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

END OF SECTION 095113

### SECTION 09 6513 - RESILIENT BASE AND ACCESSORIES

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Resilient base.
  - 2. Resilient molding accessories.

## 1.2 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of product indicated.

## 1.3 QUALITY ASSURANCE

- A. Fire-Test-Response Characteristics: As determined by testing identical products according to ASTM E 648 or NFPA 253 by a qualified testing agency.
  - 1. Critical Radiant Flux Classification: Class I, not less than 0.45 W/sq. cm.

## 1.4 DELIVERY, STORAGE, AND HANDLING

A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F.

### 1.5 PROJECT CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive resilient products during the following time periods:
  - 1. 48 hours before installation.
  - 2. During installation.
  - 3. 48 hours after installation.
- B. Until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Install resilient products after other finishing operations, including painting, have been completed.

### 1.6 EXTRA MATERIALS

- A. Furnish extra materials described below that match the products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Resilient Base and Moldings: provide 5% extra stock of the total installed quantity for each color, style, and size installed.

#### PART 2 - PRODUCTS

## 2.1 THERMOPLASTIC-RUBBER BASE

A. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:

- 1. <u>Armstrong World Industries, Inc.</u>
- 2. Burke Mercer Flooring Products; a division of Burke Industries Inc.
- 3. <u>Johnsonite</u>; A Tarkett Company.
- 4. Nora Systems, Inc.
- 5. Roppe Corporation, USA.
- B. <u>Products</u>: Subject to compliance with requirements, provide the basis of design product indicated by Architect or the following equivalent products matching the basis of design products, characteristics and color:
  - 1. Approved equal
- C. Colors: As selected by Architect from full range of industry colors
- D. Product Standard: ASTM F 1861, Type TP (rubber, thermoplastic).
  - 1. Group: I solid, homogeneous
  - 2. Style and Location:
    - a. Style B, Cove: Provide in areas with resilient flooring
      - 1) Profile: As indicated
- E. Thickness: 0.125 inch
- F. Height: 4".
- G. Lengths: Cut lengths 48 inches long or coils in manufacturer's standard length.
- H. Outside Corners: Job formed or preformed.
- I. Inside Corners: Job formed or preformed.

## 2.2 RESILIENT MOLDING ACCESSORY (TS)

- A. Resilient Molding Accessory:
  - 1. Basis-of-Design Product: Subject to compliance with requirements, available products that may be incorporated into the Work include, but not limited to the products indicated by Architect.
- B. Description:
  - 1. Reducer strip for resilient floor covering
  - 2. Joiner for tile
  - 3. Carpet Transition strips.
- C. Material: Vinyl
- D. Colors and Patterns: To be determined by owner.

### 2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, Portland cement based or blended hydraulic-cement-based formulation provided or approved by manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by manufacturer to suit resilient products and substrate conditions indicated.
  - 1. Use adhesives that comply with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
    - a. Cove Base Adhesives: Not more than 50 g/L.
    - b. Rubber Floor Adhesives: Not more than 60 g/L.

#### **PART 3 - EXECUTION**

#### 3.1 **EXAMINATION**

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- Verify that finishes of substrates comply with tolerances and other requirements specified in other В. Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### **PREPARATION** 3.2

- Prepare substrates according to manufacturer's written instructions to ensure adhesion of resilient A. products.
- В. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound and remove bumps and ridges to produce a uniform and smooth substrate.
- Do not install resilient products until they are same temperature as the space where they are to be C. installed.
  - Move resilient products and installation materials into spaces where they will be installed 1. at least 48 hours in advance of installation.
- Sweep and vacuum clean substrates to be covered by resilient products immediately before D. installation.

#### RESILIENT BASE INSTALLATION 3.3

- A. Comply with manufacturer's written instructions for installing resilient base.
- Apply resilient base to walls, columns, pilasters, casework and cabinets in toe spaces, and other B. permanent fixtures in rooms and areas where base is required.
- C. Install resilient base in lengths as long as practicable without gaps at seams and with tops of adjacent pieces aligned.
- D. Tightly adhere resilient base to substrate throughout length of each piece, with base in continuous contact with horizontal and vertical substrates.
- E. Do not stretch resilient base during installation.
- F. On masonry surfaces or other similar irregular substrates, fill voids along top edge of resilient base with manufacturer's recommended adhesive filler material.
- G. Preformed Corners: Install preformed corners before installing straight pieces.
- H. Job-Formed Corners:
  - Inside Corners: Use straight pieces of maximum lengths possible. 1.

#### RESILIENT ACCESSORY INSTALLATION 3.4

- Comply with manufacturer's written instructions for installing resilient accessories. A.
- Resilient Molding Accessories: Butt to adjacent materials and tightly adhere to substrates B. throughout length of each piece. Install reducer strips at edges of carpet that would otherwise be exposed.

## 3.5 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protection of resilient products.
- B. Perform the following operations immediately after completing resilient product installation:
  - 1. Remove adhesive and other blemishes from exposed surfaces.
  - 2. Sweep and vacuum surfaces thoroughly.
  - 3. Damp-mop surfaces to remove marks and soil.
- C. Protect resilient products from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.

END OF SECTION 09 65 13

## SECTION 09 6519 - RESILIENT TILE FLOORING

### PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Vinyl composition floor tile.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For each type of floor tile. Include floor tile layouts, edges, columns, doorways, enclosing partitions, built-in furniture, cabinets, and cutouts.
  - 1. Show details of special patterns.
- C. Samples: Full-size units of each color and pattern of floor tile required.
  - 1. For heat-welding bead, manufacturer's standard-size Samples, but not less than 9 inches long, of each color required.
- D. Samples for Initial Selection: For each type of floor tile indicated.
- E. Samples for Verification: Full-size units of each color and pattern of floor tile required.
  - 1. For heat-welding bead, manufacturer's standard-size Samples, but not less than 9 inches long, of each color required.

### 1.3 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Installer.

### 1.4 CLOSEOUT SUBMITTALS

A. Maintenance Data: For each type of floor tile to include in maintenance manuals.

## 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Floor Tile: Furnish one box for every **50** boxes or fraction thereof, of each type, color, and pattern of floor tile installed.

# 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified installer who employs workers for this Project who are competent in techniques required by manufacturer for floor tile installation and seaming method indicated.
  - 1. Engage an installer who employs workers for this Project who are trained or certified by floor tile manufacturer for installation techniques required.

# 1.7 DELIVERY, STORAGE, AND HANDLING

A. Store floor tile and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F. Store floor tiles on flat surfaces.

## 1.8 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 90 deg F, in spaces to receive floor tile during the following time periods:
  - 1. 48 hours before installation.
  - 2. During installation.
  - 3. 48 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 90 deg F.
- C. Close spaces to traffic during floor tile installation.
- D. Close spaces to traffic for 48 hours after floor tile installation.
- E. Install floor tile after other finishing operations, including painting, have been completed.

### PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For resilient tile flooring, as determined by testing identical products according to ASTM E 648 or NFPA 253 by a qualified testing agency.
  - 1. Critical Radiant Flux Classification: Class I, not less than 0.45 W/sq. cm.
- B. FloorScore Compliance: Resilient tile flooring shall comply with requirements of FloorScore certification.
- C. Low-Emitting Materials: Flooring system shall comply with the testing and product requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."

## 2.2 VINYL COMPOSITION FLOOR TILE

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
  - 1. Armstrong World Industries, Inc.
- B. Products: Standard Excelon Multicolor
- C. Color: As selected by Architect from manufacturer's full range of standard colors.
- D. Tile Standard: ASTM F 1066, Class 2, through-pattern tile.
- E. Wearing Surface: Smooth.
- F. Thickness: 0.125 inch
- G. Size: 12 by 12 inches.

## 2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland cement based or blended hydraulic-cement-based formulation provided or approved by floor tile manufacturer for applications indicated.
  - 1. Products:
    - a. Ardex Feather Finish
    - b. Mapei Planipatch

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- B. Adhesives: Water-resistant type recommended by floor tile and adhesive manufacturers to suit floor tile and substrate conditions indicated.
  - 1. Adhesives shall comply with the following limits for VOC content:
    - a. Vinyl Composition Tile Adhesives: 50 g/L or less.
  - 2. Adhesives shall comply with the testing and product requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- C. Floor Polish: Provide protective, liquid floor-polish products recommended by floor tile manufacturer.
- D. Moisture vapor barrier: Basis of Design: Laticrete DRYTEK Moisture Vapor Barrier.

### **PART 3 - EXECUTION**

### 3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
  - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of floor tile.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Prepare substrates according to floor tile manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates: Prepare according to ASTM F 710.
  - 1. Apply moisture vapor barrier (Basis of Design: Laticrete DRYTEK Moisture Vapor Barrier) over new concrete slabs. Install per manufacturer's written instructions, including all testing required to be done before installation of the moisture vapor barrier.
  - 2. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
    - a. Coordinate removal of existing flooring systems with the selective demolition and asbestos abatement specifications, if any.

- 3. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by floor tile manufacturer. Do not use solvents.
  - a. If solvent methods are used to remove asbestos mastics comply with the procedures outlined in the asbestos abatement specifications regarding solvent removal of mastics.
- 4. Alkalinity and Adhesion Testing: Perform tests recommended by moisture vapor barrier manufacturer and floor tile manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by the manufacturers in writing.
- 5. Moisture Testing: Perform tests recommended by moisture vapor barrier manufacturer and the floor tile manufacturer. Proceed with installation only after substrates passes testing according to the manufacturers' written recommendations, but not less stringent than the following:
  - a. Perform anhydrous calcium chloride test according to ASTM F 1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of **3 lb of water/1000 sq. ft.** in 24 hours.
  - b. Perform relative humidity test using in situ probes according to ASTM F 2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level, or less if required by either moisture vapor barrier manufacturer or the floor tile manufacturer.

# C. Floor Preparation Sequence

- 1. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a substrate surface meeting the requirements of the moisture vapor barrier manufacturer and the floor tile manufacturer.
- D. Do not install floor tiles until they are the same temperature as the space where they are to be installed.
  - 1. At least 48 hours in advance of installation, move resilient floor tile and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient floor tile.

## 3.3 FLOOR TILE INSTALLATION

- A. Comply with manufacturer's written instructions for installing floor tile.
- B. Lay out floor tiles from center marks established with principal walls, discounting minor offsets, so tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half tile at perimeter.
- C. Match floor tiles for color and pattern by selecting tiles from cartons in the same sequence as manufactured and packaged, if so numbered. Discard broken, cracked, chipped, or deformed tiles.
  - 1. Lay tiles in pattern of colors and sizes indicated.
- D. Scribe, cut, and fit floor tiles to butt neatly and tightly to vertical surfaces and permanent fixtures including built-in furniture, cabinets, pipes, outlets, and door frames.
- E. Extend floor tiles into toe spaces, door reveals, closets, and similar openings. Extend floor tiles to center of door openings.

09 6519 - 5

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- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on floor tiles as marked on substrates. Use chalk or other nonpermanent marking device.
- G. Install floor tiles on covers for telephone and electrical ducts, building expansion-joint covers, and similar items in finished floor areas. Maintain overall continuity of color and pattern between pieces of tile installed on covers and adjoining tiles. Tightly adhere tile edges to substrates that abut covers and to cover perimeters.
- Η. Adhere floor tiles to flooring substrates using a full spread of adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

#### 3.4 **CLEANING AND PROTECTION**

- Comply with manufacturer's written instructions for cleaning and protecting floor tile. A.
- B. Perform the following operations immediately after completing floor tile installation:
  - 1. Remove adhesive and other blemishes from exposed surfaces.
  - 2. Sweep and vacuum surfaces thoroughly.
  - 3. Damp-mop surfaces to remove marks and soil.
- Protect floor tile from mars, marks, indentations, and other damage from construction operations C. and placement of equipment and fixtures during remainder of construction period.
- Floor Polish: Remove soil, adhesive, and blemishes from floor tile surfaces before applying liquid D. floor polish.
  - 1. Apply three coat(s).
- E. Joint Sealant: Apply sealant to resilient terrazzo floor tile perimeter and around columns, at door frames, and at other joints and penetrations.
- F. Sealers and Finish Coats: Remove soil, visible adhesive, and surface blemishes from resilient terrazzo floor tile surfaces before applying liquid cleaners, sealers, and finish products.
  - 1. Sealer: Apply two base coats of liquid sealer.
  - 2. Finish: Apply three coats of liquid floor finish.
- G. Cover floor tile until Substantial Completion.

END OF SECTION 096519

## EXTERIOR PAINTING

## SECTION 099113 - EXTERIOR PAINTING

## PART 1 - GENERAL

#### 1.1 **SUMMARY**

A. Section includes surface preparation and the application of paint systems on exterior substrates.

#### 1.2 **DEFINITIONS**

- Gloss Level 1: Not more than 5 units at 60 degrees and 10 units at 85 degrees, according to A. ASTM D 523, a matte flat finish.
- В. Gloss Level 2: Not more than 10 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523, a high-side sheen flat, velvet-like finish.
- Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to C. ASTM D 523, an eggshell finish.
- Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to D. ASTM D 523, a satin-like finish.
- E. Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523, a semi-gloss finish.
- F. Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523, a gloss finish.

#### 1.3 ACTION SUBMITTALS

- Product Data: For each type of product. Include preparation requirements and application A. instructions.
- B. Samples for Initial Selection: For each type of topcoat product.
- C. Samples for Verification: For each type of paint system and each color and gloss of topcoat.
  - Submit Samples on rigid backing, 8 inches square. 1.
  - Step coats on Samples to show each coat required for system. 2.
  - 3. Label each coat of each Sample.
  - Label each Sample for location and application area. 4.
- Product List: For each product indicated, include the following: D.
  - 1. Cross-reference to paint system and locations of application areas. Use same designations indicated on Drawings and in schedules.
  - Printout of current "MPI Approved Products List" for each product category specified in 2. Part 2, with the proposed product highlighted.
  - 3. VOC content.

#### 1.4 **CLOSEOUT SUBMITTALS**

Coating Maintenance Manual: Provide coating maintenance manual including area summary with A. finish schedule, area detail designating location where each product/color/finish was used, product data pages, material safety data sheets, care and cleaning instructions, touch-up procedures, and color samples of each color and finish used.

## 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Delivery and Handling: Deliver products to Project site in an undamaged condition in manufacturer's original sealed containers, complete with labels and instructions for handling, storing, unpacking, protecting, and installing. Packaging shall bear the manufacture's label with the following information:
  - 1. Product name and type (description).
  - 2. Batch date.
  - 3. Color number.
  - 4. VOC content.
  - 5. Environmental handling requirements.
  - 6. Surface preparation requirements.
  - 7. Application instructions.
- B. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
  - 1. Maintain containers in clean condition, free of foreign materials and residue.
  - 2. Remove rags and waste from storage areas daily.

### 1.6 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints in snow, rain, fog, or mist; when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.
- C. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.
  - 1. If suspected hazardous materials are encountered, do not disturb; immediately notify Architect and Owner.

### **PART 2 - PRODUCTS**

#### 2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Sherwin-Williams Company products indicated or comparable product from one of the following:
  - 1. Benjamin Moore & Co.
  - 2. Devoe
  - 3. Glidden Professional, Division of PPG Architectural Finishes, Inc.
  - 4. M.A.B. Paints.
  - 5. PPG Architectural Finishes, Inc.

## 2.2 PAINT, GENERAL

- A. MPI Standards: Provide products that comply with MPI standards indicated and that are listed in its "MPI Approved Products List."
- B. Material Compatibility:

- 1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
- 2. For each coat in a paint system, provide products recommended in writing by manufacturers of topcoat for use in paint system and on substrate indicated.
- C. VOC Content: Provide materials that comply with VOC limits of authorities having jurisdiction.
- D. Colors: As selected by the architect

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers. Where acceptability of substrate conditions is in question, apply samples and perform insitu testing to verify compatibility, adhesion, and film integrity of new paint application.
  - 1. Report, in writing, conditions that may affect application, appearance, or performance of paint.
- B. Proceed with coating application only after unsatisfactory conditions have been corrected; application of coating indicates acceptance of surfaces and conditions.
  - 1. Application of coating indicates acceptance of surfaces and conditions.

# 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Manual" applicable to substrates and paint systems indicated.
- B. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
  - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- C. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.

# 3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and recommendations in "MPI Manual."
  - 1. Use applicators and techniques suited for paint and substrate indicated.
  - 2. Paint surfaces behind movable items same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed items with prime coat only.
  - 3. Paint both sides and edges of exterior doors and entire exposed surface of exterior door frames.
  - 4. Paint entire exposed surface of window frames and sashes.

- 5. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
- 6. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- C. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.

# 3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

# 3.5 EXTERIOR PAINTING SCHEDULE

- A. Ferrous Metal:
  - 1. Water-Based Light Industrial Coating System:
    - a. Prime Coat: S-W Pro Industrial Acrylic.
    - b. Intermediate Coat: Same as Topcoat.
    - c. Topcoat: Light industrial coating, exterior, water based, gloss, (Gloss Level 6), MPI #164: S-W Pro Industrial Acrylic Gloss Coating, B66-600 Series, at 2.5 to 4.0 mils dry, per coat.
- B. Galvanized-Metal:
  - 1. Water-Based Light Industrial Coating System:
    - a. Prime Coat: Same as Topcoat.
    - b. Topcoat: Light industrial coating, exterior, water based, gloss, (Gloss Level 6), MPI #164: S-W Pro Industrial Acrylic Gloss Coating, B66-600 Series, at 2.5 to 4.0 mils dry, per coat.

# C. Aluminum Substrates

- 1. Water-Based Light Industrial Coating System:
  - a. Prime Coat: S-W Pro Industrial Pro-Cryl Primer.
  - b. Intermediate Coat: Same as Topcoat.
  - c. Topcoat: Light industrial coating, exterior, water based, gloss, (Gloss Level 6), MPI #164: S-W Pro Industrial Acrylic Gloss Coating, B66-600 Series, at 2.5 to 4.0 mils dry, per coat.

END OF SECTION 099113

## SECTION 09 9123 - INTERIOR PAINTING

## PART 1 - GENERAL

#### 1.1 **SUMMARY**

A. Section includes surface preparation and the application of paint systems on interior substrates.

#### 1.2 **DEFINITIONS**

- Gloss Level 1: Not more than 5 units at 60 degrees and 10 units at 85 degrees, according to A. ASTM D 523, a matte flat finish.
- Gloss Level 2: Not more than 10 units at 60 degrees and 10 to 35 units at 85 degrees, according to В. ASTM D 523, a high-side sheen flat, velvet-like finish.
- C. Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523, an eggshell finish.
- Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to D. ASTM D 523, a satin-like finish.
- E. Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523, a semi-gloss finish.
- F. Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523, a gloss finish.

#### 1.3 **ACTION SUBMITTALS**

Product Data: For each type of product. Include preparation requirements and application A. instructions.

#### 1.4 **CLOSEOUT SUBMITTALS**

- Coating Maintenance Manual: Provide coating maintenance manual including area summary with A. finish schedule, area detail designating location where each product/color/finish was used, product data pages, material safety data sheets, care and cleaning instructions, touch-up procedures, and color samples of each color and finish used.
- В. See Division 01 sections (including, but not limited to, 01 7700, 01 7823, 01 7839, 01 8200) for additional requirements.

### 1.5 MAINTENANCE MATERIAL SUBMITTALS

- Furnish extra materials, from the same product run, that match products installed and that are A. packaged with protective covering for storage and identified with labels describing contents. Provide in unopened cans no larger than 1 gallon in size.
  - Paint: 5 percent, but not less than 1 gal. of each material and color applied. 1.

### 1.6 **QUALITY ASSURANCE**

Contractor Qualifications: Contractor and contractor's staff shall have a minimum 5 years' A. satisfactory experience in jobs similar in size and nature of the work of this contract. Upon request provide list of projects with references for work performed in the last 5 years.

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Delivery and Handling: Deliver products to Project site in an undamaged condition in manufacturer's original sealed containers, complete with labels and instructions for handling, storing, unpacking, protecting, and installing. Packaging shall bear the manufacturer's label with the following information:
  - 1. Product name and type (description).
  - 2. Batch date.
  - 3. Color number.
  - 4. VOC content.
  - 5. Environmental handling requirements.
  - 6. Surface preparation requirements.
  - 7. Application instructions.
- B. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
  - 1. Maintain containers in clean condition, free of foreign materials and residue.
  - 2. Remove rags and waste from storage areas daily.

## 1.8 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- **B.** Do not apply paints when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.
- C. Lead Paint: Lead paint may be present in buildings and structures to be painted. Refer to Division 2 for report.. Examine report to become aware of locations where lead paint is present.
  - 1. Use Lead Safe Work Practices in accordance with US Dept.of Housing and Urban Development. All employees working with Lead based paint Materials shall have HUD approved training.
  - 2. Do not disturb lead paint or items suspected of containing hazardous materials except under procedures specified.
  - 3. Perform preparation for painting of substrates known to include lead paint in accordance with EPA Renovation, Repair and Painting Rule and additional requirements of authorities having jurisdiction.
- D. Color Selections: Color selections for the work at the Courthouse and Annex shall match existing.

## **PART 2 - PRODUCTS**

# 2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Sherwin-Williams Company products indicated or comparable product from one of the following:
  - 1. Benjamin Moore & Co.
  - 2. Devoe
  - 3. Glidden Professional, Division of PPG Architectural Finishes, Inc.
  - 4. Pratt & Lambert.
- B. Colors: As selected by Architect from manufacturer's full range.

# 2.2 PAINT, GENERAL

- A. MPI Standards: Provide products that comply with MPI standards indicated and that are listed in its "MPI Approved Products List."
- B. Material Compatibility:
  - 1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
  - 2. For each coat in a paint system, provide products recommended in writing by manufacturers of topcoat for use in paint system and on substrate indicated.
- C. VOC Content: Products shall comply with VOC limits of authorities having jurisdiction.
  - 1. Flat Paints and Coatings: 50 g/L.
  - 2. Nonflat Paints and Coatings: 150 g/L.
  - 3. Dry-Fog Coatings: 400 g/L.
  - 4. Primers, Sealers, and Undercoaters: 200 g/L.
  - 5. Anticorrosive and Antirust Paints Applied to Ferrous Metals: 250 g/L.
  - 6. Zinc-Rich Industrial Maintenance Primers: 340 g/L.
  - 7. Pretreatment Wash Primers: 420 g/L.
  - 8. Floor Coatings: 100 g/L.
  - 9. Shellacs, Clear: 730 g/L.
  - 10. Shellacs, Pigmented: 550 g/L.
- D. Low-Emitting Materials: Interior paints and coatings shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

## 2.3 PATCHING MATERIALS

- A. Wood Patching Compound: 2-part polyester or epoxy-resin wood compound with a 10- to 15-minute cure at 70 deg F, in knife grade formulation and recommended by manufacturer for type of wood repair indicated. Compound shall be produced for filling damaged wood materials that have deteriorated due to weathering and exposure. Filler shall be capable of filling deep holes and capable of spreading to featheredge.
- B. Metal Patching Compound: 2-part polyester-resin metal patching compound with a 10- to 15-minute cure at 70 deg F, in knife grade formulation and recommended by manufacturer for type of metal repair indicated. Compound shall be produced for filling metal that has deteriorated due to corrosion. Filler shall be capable of filling deep holes and capable of spreading to featheredge.
- C. Interior Plaster Patching Compound: Provide spackle and plaster patching compounds and repair materials specifically manufactured for surface preparation and sanding prior to repainting.
  - 1. Existing Keene's Cement: Refer to Division 09 Section "Gypsum Plastering."

# 2.4 CLEANING MATERIALS

- A. Detergent Cleaning Solution: Mix 2 cups of tetrasodium polyphosphate, 1/2 cup of laundry detergent, 5 quarts of 5 percent sodium hypochlorite bleach, and 15 quarts of warm water for each 5 gal. of solution required.
- B. Job-Mixed Mold, Mildew, and Algae Remover: Mix 2 cups of tetrasodium polyphosphate, 5 quarts of 5 percent sodium hypochlorite bleach, and 15 quarts of hot water for every 5 gal. of solution required.

09 9123 - 4

C. Paint Deglosser: "Paint Deglosser" Item No. 42124 by Zinsser Company, Inc., or comparable product by an approved manufacturer.

### PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers. Where acceptability of substrate conditions is in question, apply samples and perform insitu testing to verify compatibility, adhesion, and film integrity of new paint application.
  - 1. Report, in writing, conditions that may affect application, appearance, or performance of paint.
- B. Substrate Conditions:
  - 1. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
    - a. Concrete: 12 percent.
    - b. Masonry (Clay and CMU): 12 percent.
    - c. Wood: 15 percent.
    - d. Gypsum Board: 12 percent.
    - e. Plaster: 12 percent.
  - 2. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
  - 3. Plaster Substrates: Verify that plaster is fully cured.
  - 4. Spray-Textured Ceiling Substrates: Verify that surfaces are dry.
- C. Proceed with coating application only after unsatisfactory conditions have been corrected; application of coating indicates acceptance of surfaces and conditions.
  - 1. Application of coating indicates acceptance of surfaces and conditions.

# 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Manual" applicable to substrates indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
  - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
  - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Glossy surfaces of old paint films shall be cleaned and dulled prior to re-painting
- E. Fill any open joints of metal walls and metal ceilings with a paintable caulk. Remove existing prior to application.
- F. Fill all joints between metal walls and wood casings with a paintable caulk. Remove existing prior to application.

09 9123 - 5

- G. Plaster surfaces: Fill and patch any cracks in plaster surfaces. Sand surfaces to minimize the surface profile of cracked and peeling areas. Eliminate defects causing abrupt surface profile differences exceeding 1/32"
- H. Cracks, holes, bulges or gouges in wall and ceiling surfaces shall be spackled and sanded smooth. Loose, peeling, blistering, chalking and scaling paint shall be removed to the refusal point by scraping. Resulting edges of all areas so scraped shall be spackled to a feathered edge and sanded smooth when dry.
- I. Concrete Substrates: Remove release agents, curing compounds, efflorescence, and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces to be painted exceeds that permitted in manufacturer's written instructions.
  - 1. Concrete Floors: Remove oil, dust, grease, dirt, and other foreign materials. Comply with SSPC-SP-13/NACE 6 or ICRI 03732.
- J. Masonry Substrates: Remove efflorescence and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces or mortar joints exceed that permitted in manufacturer's written instructions.
- K. Steel Substrates: Remove rust, loose mill scale, and shop primer, if any.
  - 1. Remove all rust with wire brushes. If areas of rust still remain, use a chemical rust remover to remove the last traces, or as much of the rust as is possible.
- L. Shop-Primed Steel Substrates: Clean field welds, bolted connections, and abraded areas of shop paint, and paint exposed areas with the same material as used for shop priming to comply with SSPC-PA 1 for touching up shop-primed surfaces.
- M. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal fabricated from coil stock by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.
- N. Aluminum Substrates: Remove loose surface oxidation.
- O. Wood Substrates:
  - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
  - 2. Sand surfaces that will be exposed to view, and dust off.
  - 3. Prime edges, ends, faces, undersides, and backsides of wood.
  - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.
  - 5. Wood surfaces scheduled to be finished with an opaque finish shall be sanded as required to produce a smooth substrate for application of the new coatings. Correct new and existing abrupt surface profile differences exceeding 1/32"
- P. Wood Floors: wood surfaces scheduled to be refinished with a transparent finish shall have existing coating stripped and sanded prior to application of new coatings
- Q. Cotton or Canvas Insulation Covering Substrates: Remove dust, dirt, and other foreign material that might impair bond of paints to substrates.

# 3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and to recommendations in "MPI Manual."
  - 1. Use applicators and techniques suited for paint and substrate indicated.
  - 2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.

- 3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
- 4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
- 5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Tint undercoats to match color of topcoat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- E. Painting Fire Suppression, Plumbing, HVAC, Electrical, Communication, and Electronic Safety and Security Work:
  - Paint the following work where exposed in equipment rooms:
    - a. Equipment, including panelboards.
    - b. Uninsulated metal piping.
    - c. Uninsulated plastic piping.
    - d. Pipe hangers and supports.
    - e. Metal conduit.
    - f. Plastic conduit.
    - g. Tanks that do not have factory-applied final finishes.
    - h. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
  - 2. Paint the following work where exposed in occupied spaces:
    - a. Equipment, including panelboards.
    - b. Uninsulated metal piping.
    - c. Uninsulated plastic piping.
    - d. Pipe hangers and supports.
    - e. Metal conduit.
    - f. Plastic conduit.
    - g. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
    - h. Other items as directed by Architect.
  - 3. Paint portions of internal surfaces of metal ducts, without liner, behind air inlets and outlets that are visible from occupied spaces.

## 3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.

- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.
- E. Do not paint over name plates or instruction labels. Keep sprinkler heads free of paint

# 3.5 INTERIOR PAINTING SCHEDULE

- A. CMU Substrates:
  - 1. Latex System:
    - a. Block Filler: Block filler, latex, interior/exterior, MPI #4 X-Green: S-W PrepRite Block Filler, B25W25, at 100 to 200 sq. ft. per gal.
    - b. Intermediate Coat: Latex, interior, matching topcoat.
    - c. Topcoat: Latex, interior, eggshell, (Gloss Level 3), MPI #52 X-Green/#145 X-Green: S-W ProMar 200 Zero VOC Latex Eg-Shel, B20-2600 Series, at 4.0 mils wet, 1.7 mils dry, per coat.
    - d. Topcoat: Latex, interior, semi-gloss, (Gloss Level 4), MPI #43 X-Green: S-W ProMar 200 Zero VOC Latex Semi-Gloss, B31-2600 Series, at 4.0 mils wet, 1.6 mils dry, per coat.
- B. Metal Substrates (Aluminum, Steel, Galvanized Steel):
  - 1. Latex System:
    - a. Prime Coat: Primer, rust-inhibitive, water based, MPI #107: S-W Pro Industrial Pro-Cryl Universal Primer, B66-310 Series, at 5.0 to 10 mils wet, 2.0 to 4.0 mils dry.
    - b. Intermediate Coat: Water-based acrylic, interior, matching topcoat.
    - Topcoat: Water-based acrylic, semi-gloss, (Gloss Level 5), MPI #147 X-Green]:
       S-W Pro Industrial Acrylic Semi-Gloss Coating, B66-650 Series, at 2.5 to 4.0 mils dry, per coat.
    - d. Topcoat: Water-based acrylic, gloss, (Gloss Level 6), MPI #148 X-Green: S-W Pro Industrial Acrylic Gloss Coating, B66-660 Series, at 2.5 to 4.0 mils dry, per coat
- C. Wood Substrates: Including exposed wood items not indicated to receive shop-applied finish.
  - 1. Latex System:
    - a. Prime Coat: Primer sealer, latex, interior, MPI #39: S-W PrepRite ProBlock Primer Sealer, B51-620 Series, at 4.0 mils wet, 1.4 mils dry.
    - b. Intermediate Coat: Latex, interior, matching topcoat.
    - c. Topcoat: Latex, interior, semi-gloss, (Gloss Level 4), MPI #43 X-Green: S-W ProMar 200 Zero VOC Latex Semi-Gloss, B31-2600 Series, at 4.0 mils wet, 1.6 mils dry, per coat.
- D. Wood Substrates, Pedestrian Traffic Surfaces:
  - 1. Wood Floor System:
    - a. First Coat: Miniwax High Build Polyurethane
    - b. Topcoat: Miniwax High Build Polyurethane.
- E. Gypsum Board Substrates:
  - 1. Latex System:
    - a. Prime Coat: Primer, latex, interior, MPI #149 X-Green: S-W ProMar 200 Zero VOC Latex Primer, B28W2600, at 4.0 mils wet, 1.5 mils dry.
    - b. Intermediate Coat: Latex, interior, matching topcoat.
    - c. Topcoat: Latex, interior, flat, (Gloss Level 1), MPI #53 X-Green/#143 X-Green: S-W ProMar 200 Zero VOC Latex Flat, B30-2600 Series, at 4.0 mils wet, 1.6 mils dry, per coat.

09 9123 - 8

d. Topcoat: Latex, interior, eggshell, (Gloss Level 3), MPI #52 X-Green/#145 X-Green: S-W ProMar 200 Zero VOC Latex Eg-Shel, B20-2600 Series, at 4.0 mils wet, 1.7 mils dry, per coat.

END OF SECTION 099123

# VISUAL DISPLAY BOARDS

## SECTION 10 1000 - VISUAL DISPLAY BOARDS

## PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. This Section includes the following:
  - 1. Porcelain enamel chalkboards.
  - 2. Porcelain enamel markerboards.
  - 3. Vinyl-fabric-faced cork tackboards.
  - Tackboard material for direct, frameless application to wall substrate. 4.

#### 1.2 **SUBMITTALS**

- Product Data: For each type of visual display board indicated. Include motor capacities and A. individual panel weights for sliding chalkboard and markerboard units.
- B. Shop Drawings: For each type of visual display board required.
  - Include dimensioned elevations. Show location of joints between individual panels 1. where unit dimensions exceed maximum panel length.
  - 2. Include sections of typical trim members.
  - Show anchors, grounds, reinforcement, accessories, layout, and installation details. 3.
  - Wiring diagrams from manufacturer for motor-operated sliding chalkboard and 4. markerboard panels.
- C. Samples for Initial Selection: Manufacturer's color charts showing the full range of colors and textures available for the following:
  - 1. Chalkboards and Markerboards: Actual sections of porcelain enamel finish for each type of chalkboard and markerboard required.
  - Vinyl-Fabric-Faced Cork Tackboards: Fabric swatches for each type of vinyl-fabric-2. faced cork tackboard indicated.
- D. Samples for Verification: Of the following products, showing color and texture or finish selected. Where finishes involve normal color and texture variations, include Sample sets showing the full range of variations expected. Prepare Samples from the same material to be used for the Work.
  - 1. Visual Display Boards: Sample panels not less than 8-1/2 by 11 inches, mounted on the substrate indicated for the final Work. Include a panel for each type, color, and texture required.
  - 2. Aluminum Trim and Accessories: Samples of each finish type and color, on 6-inch-long sections of extrusions and not less than 4-inch squares of sheet or plate. Include Sample sets showing the full range of color variations expected.
- E. Product Certificates: Signed by manufacturers of tackboards certifying that vinyl-fabric-faced cork tackboard materials furnished comply with requirements specified for flame-spread ratings.

### 1.3 **OUALITY ASSURANCE**

Installer Qualifications: Engage an experienced installer who is an authorized representative of A. chalkboard manufacturer for both installation and maintenance of the type of sliding chalkboard units required for this Project.

- <u>10 1000-2</u>
- B. Source Limitations: Obtain visual display boards through one source from a single manufacturer.
- C. Product Options: Drawings indicate size, profiles, and dimensional requirements of visual display boards and are based on the products indicated. Other manufacturers' products with equal performance characteristics may be considered. Refer to Division 1 Section "Substitutions."
  - 1. Do not modify intended aesthetic effects, as judged solely by Architect, except with Architect's approval and only to the extent needed to comply with performance requirements. Where modifications are proposed, submit comprehensive explanatory data to Architect for review.
- D. Fire-Test-Response Characteristics: Provide vinyl-fabric-faced tackboards with the following surface-burning characteristics as determined by testing assembled materials composed of facings and backings identical to those required in this Section per ASTM E 84 by a testing and inspecting agency acceptable to authorities having jurisdiction. Identify vinyl-fabric-faced tackboards with appropriate markings of applicable testing and inspecting agency.
  - 1. Flame Spread: 25 or less.
  - 2. Smoke Developed: 10 or less.

## 1.4 PROJECT CONDITIONS

- A. Field Measurements: Verify field measurements before preparation of Shop Drawings and before fabrication to ensure proper fitting. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
  - 1. Allow for trimming and fitting where taking field measurements before fabrication might delay the Work.
  - 2. Established Dimensions: Where field measurements cannot be made without delaying the Work, establish dimensions and proceed with fabricating chalkboards without field measurements. Coordinate wall construction to ensure actual dimensions correspond to established dimensions.

## 1.5 WARRANTY

- A. Porcelain Enamel Steel Markerboards Writing Surfaces: Life of the Building. Warranty shall cover replacement of defective boards.
- B. Tackboards: One year against defects in materials and workmanship. Guarantee shall cover replacement of defective material.

## PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Porcelain Enamel Markerboards:
    - a. Claridge Products and Equipment, Inc. (Product: Series 3) Basis of Design.
    - b. Greensteel, Inc.
    - c. Lemco, Inc.
  - 2. Tackboards:

- a. Claridge Products and Equipment, Inc. (Product: Series 3) Basis of Design.
- b. Greensteel, Inc.
- c. Lemco, Inc.

## 2.2 MATERIALS

- A. Porcelain Enamel Chalkboards and Markerboards: Balanced, high-pressure-laminated, porcelain enamel chalkboards of 3-ply construction consisting of face sheet, core material, and backing.
  - 1. Face Sheet: 0.024-inch-, "Vitracite," porcelain enamel clad, Type 1, stretcher-leveled aluminized-steel face sheet, as manufactured by Claridge Products and Equipment. Fuse porcelain enamel coating to steel at approximately 1000 deg F.
    - a. Cover Coat: Provide manufacturer's standard matte-finish cover coat, with color selected from manufacturer's standards.
  - 2. Core: 3/8-inch- thick, particleboard core material complying with requirements of ANSI A208.1, Grade 1-M-1.
  - 3. Backing Sheet: 0.015-inch- thick, aluminum-sheet backing.
  - 4. Laminating Adhesive: Manufacturer's standard, moisture-resistant, thermoplastic-type adhesive.
- B. Vinyl-Fabric-Faced Tackboards: Mildew-resistant, washable vinyl fabric complying with FS CCC-W-408, Type II, weighing not less than 13 oz./sq. yd., laminated to 1/4-inch- thick cork sheet. Provide fabric with a flame-spread rating of 25 or less when tested according to ASTM E 84. Provide color and texture as scheduled or as selected from manufacturer's standards.
  - 1. Backing: Factory laminate cork face sheet under pressure to 3/8-inch- thick fiberboard backing.

## 2.3 ACCESSORIES

- A. Metal Trim and Accessories: Fabricate frames and trim of not less than 0.062-inch-thick, extruded-aluminum alloy, size and shape as indicated, to suit type of installation. Provide straight, single-length units. Keep joints to a minimum. Miter corners to a neat, hairline closure.
  - 1. Where size of visual display boards or other conditions require support in addition to normal trim, provide structural supports or modify trim as indicated or as selected by Architect from manufacturer's standard structural support accessories to suit conditions indicated.
  - 2. Field-Applied Trim: Manufacturer's standard snap-on trim with no visible screws or exposed joints.
  - 3. Chalktray: Manufacturer's standard, continuous, solid, extrusion-type, aluminum chalktray with ribbed section and smoothly curved exposed ends for each chalkboard.
  - 4. Map Rail: Furnish map rail at top of each unit, complete with the following accessories:
    - a. Display Rail: Provide continuous cork display rail approximately 1 or 2 inches high, as indicated, integral with map rail.
    - b. End Stops: Provide one end stop at each end of map rail.
    - c. Map Hooks: Provide 2 map hooks with flexible metal clips for every 48 inches of map rail or fraction thereof.
    - d. Flag Holder: Provide one flag holder for each room.

## 2.4 FABRICATION

- A. Porcelain Enamel Chalkboards: Laminate facing sheet and backing sheet to core material under pressure with manufacturer's recommended flexible, waterproof adhesive.
- B. Assembly: Provide factory-assembled chalkboard/markerboard and tackboard units, unless field-assembled units are required.
  - 1. Make joints only where total length exceeds maximum manufactured length. Fabricate with minimum number of joints, balanced around center of board, as acceptable to Architect
  - 2. Provide manufacturer's standard vertical joint system between abutting sections of chalkboards.
  - 3. Provide manufacturer's standard mullion trim at joints between chalkboards and tackboards.

# 2.5 FINISHES

- A. General: Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations relative to applying and designating finishes.
- B. Finish designations prefixed by AA conform to the system established by the Aluminum Association for designating aluminum finishes.
- C. Class II, Clear Anodic Finish: AA-M12C22A31 (Mechanical Finish: nonspecular as fabricated; Chemical Finish: etched, medium matte; Anodic Coating: Architectural Class II, clear coating 0.010 mm or thicker) complying with AAMA 607.1.

# PART 3 - EXECUTION

# 3.1 EXAMINATION

- A. Examine wall surfaces, with Installer present, for compliance with requirements and other conditions affecting installation of visual display boards.
  - 1. Surfaces to receive chalkboards or markerboards shall be free of dirt, scaling paint, and projections or depressions that would affect smooth, finished surfaces of chalkboards or markerboards.
  - 2. Surfaces to receive tackboards shall be dry and free of substances that would impair the bond between tackboards and substrate.
  - 3. Do not proceed with installation until unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

- A. Deliver factory-built visual display boards completely assembled in one piece without joints, where possible. If dimensions exceed panel size, provide 2 or more pieces of equal length as acceptable to Architect. When overall dimensions require delivery in separate units, prefit components at the factory, disassemble for delivery, and make final joints at the site. Use splines at joints to maintain surface alignment.
- B. Install units in locations and at mounting heights indicated and according to manufacturer's written instructions. Keep perimeter lines straight, plumb, and level. Provide grounds, clips, backing materials, adhesives, brackets, anchors, trim, and accessories necessary for complete installation.

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10 1000-5

C. Coordinate Project-site-assembled units with grounds, trim, and accessories. Join parts with a neat, precision fit.

# 3.3 ADJUSTING AND CLEANING

- A. Verify that accessories required for each unit have been properly installed and that operating units function properly.
- B. Clean units according to manufacturer's written instructions.

END OF SECTION 10 1000

## SECTION 10 4413 - FIRE PROTECTION CABINETS

## PART 1 - GENERAL

#### 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Fire-protection cabinets for the following:
    - Portable fire extinguishers. a.
- B. Related Requirements:
  - 1. Section 104416 "Fire Extinguishers."

#### 1.2 **ACTION SUBMITTALS**

- Product Data: For each type of product. Show door hardware, cabinet type, trim style, and panel A. style. Include roughing-in dimensions and details showing recessed-, semi-recessed-, or surfacemounting method and relationships of box and trim to surrounding construction.
- Shop Drawings: For fire-protection cabinets. Include plans, elevations, sections, details, and В. attachments to other work.
- C. Product Schedule: For fire-protection cabinets. Indicate whether recessed, semi-recessed, or surface mounted. Coordinate final fire-protection cabinet schedule with fire-extinguisher schedule to ensure proper fit and function.

#### 1.3 **CLOSEOUT SUBMITTALS**

A. Maintenance Data: For fire-protection cabinets to include in maintenance manuals.

#### 1.4 COORDINATION

- Coordinate size of fire-protection cabinets to ensure that type and capacity of fire extinguishers A. indicated are accommodated.
- Coordinate sizes and locations of fire-protection cabinets with wall depths. В.

## **PART 2 - PRODUCTS**

### 2.1 PERFORMANCE REQUIREMENTS

A. Fire-Rated Fire-Protection Cabinets: Listed and labeled to comply with requirements in ASTM E 814 for fire-resistance rating of walls where they are installed.

#### 2.2 FIRE-PROTECTION CABINET

- A. Cabinet Type: Suitable for fire extinguisher.
  - 1. Products: Subject to compliance with requirements, provide one of the following:

- 10 4413 2
- a. JL Industries, Inc.; a division of the Activar Construction Products Group.
- b. Larsens Manufacturing Company (Basis-of-Design).
- c. Potter Roemer LLC (Basis-of-Design: Model FRC7220 Recessed).
- B. Cabinet Construction: 1-hour fire rated, UL Classified 7N43.
  - 1. Fire-Rated Cabinets: Construct fire-rated cabinets with double walls fabricated from 0.043-inch- thick cold-rolled steel sheet lined with minimum 5/8-inch- thick fire-barrier material. Provide factory-drilled mounting holes.
- C. Cabinet Material: Cold-rolled steel sheet.
- D. Recessed Cabinet:
  - 1. Exposed Flat Trim: One-piece combination trim and perimeter door frame overlapping surrounding wall surface with exposed trim face and wall return at outer edge (backbend).
- E. Cabinet Trim Material: **Steel sheet**
- F. Door Material: Steel sheet (duo-vertical panel with clear tempered safety glass).
- G. Door Hardware: Manufacturer's standard door-operating hardware of proper type for cabinet type, trim style, and door material and style indicated.
  - 1. Provide recessed door pull and friction latch.
  - 2. Provide manufacturer's standard hinge permitting door to open 180 degrees.
- H. Accessories:
  - 1. Identification: Lettering complying with authorities having jurisdiction for letter style, size, spacing, and location.
    - a. Identify fire extinguisher in fire-protection cabinet with the words "FIRE EXTINGUISHER."
      - 1) Location: Applied to cabinet door.
      - 2) Application Process: **Decals**.
      - 3) Lettering Color: **Red**.
      - 4) Orientation: Vertical.
- I. Materials:
  - 1. Cold-Rolled Steel: ASTM A 1008/A 1008M, Commercial Steel (CS), Type B.
    - a. Finish: Baked enamel or powder coat.
    - b. Color: As selected by Architect from full range of industry colors and color densities.

## 2.3 FABRICATION

A. Fire-Protection Cabinets: Provide manufacturer's standard box (tub) with trim, frame, door, and hardware to suit cabinet type, trim style, and door style indicated.

- 1. Weld joints and grind smooth.
- 2. Provide factory-drilled mounting holes.
- 3. Prepare doors and frames to receive locks.
- 4. Install door locks at factory.
- B. Cabinet Doors: Fabricate doors according to manufacturer's standards, from materials indicated and coordinated with cabinet types and trim styles.
  - 1. Fabricate door frames with tubular stiles and rails and hollow-metal design, minimum 1/2 inch thick.
  - 2. Fabricate door frames of one-piece construction with edges flanged.
  - 3. Miter and weld perimeter door frames.
- C. Cabinet Trim: Fabricate cabinet trim in one piece with corners mitered, welded, and ground smooth.

# 2.4 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's AMP 500, "Metal Finishes Manual for Architectural and Metal Products," for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces of fire-protection cabinets from damage by applying a strippable, temporary protective covering before shipping.
- C. Finish fire-protection cabinets after assembly.
- D. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine walls and partitions for suitable framing depth and blocking where cabinets will be installed.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 PREPARATION

A. Prepare recesses for fire-protection cabinets as required by type and size of cabinet and trim style.

# 3.3 INSTALLATION

- A. General: Install fire-protection cabinets in locations and at mounting heights indicated.
- B. Fire-Protection Cabinets: Fasten cabinets to structure, square and plumb.
  - 1. Unless otherwise indicated, provide recessed fire-protection cabinets. If wall thickness is inadequate for recessed cabinets, provide semi-recessed fire-protection cabinets.
  - 2. Provide inside latch and lock for break-glass panels.
  - 3. Fasten mounting brackets to inside surface of fire-protection cabinets, square and plumb.
- C. Identification: Apply **decals** at locations indicated.

# 3.4 ADJUSTING AND CLEANING

- A. Remove temporary protective coverings and strippable films, if any, as fire-protection cabinets are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. Adjust fire-protection cabinet doors to operate easily without binding. Verify that integral locking devices operate properly.
- C. On completion of fire-protection cabinet installation, clean interior and exterior surfaces as recommended by manufacturer.
- D. Touch up marred finishes, or replace fire-protection cabinets that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by fire-protection cabinet and mounting bracket manufacturers.
- E. Replace fire-protection cabinets that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 10 4413

# **SECTION 10 4416 - FIRE EXTINGUISHERS**

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

A. Section includes portable, hand-carried fire extinguishers.

## 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated. Include rating and classification, material descriptions, dimensions of individual components and profiles, and finishes for fire extinguisher and mounting brackets.
- B. Product Schedule: For fire extinguishers. Coordinate final fire extinguisher schedule with fire protection cabinet schedule to ensure proper fit and function.
- C. Operation and Maintenance Data: For fire extinguishers to include in maintenance manuals.
- D. Warranty: Sample of special warranty.

# 1.4 QUALITY ASSURANCE

A. NFPA Compliance: Fabricate and label fire extinguishers to comply with NFPA 10, "Portable Fire Extinguishers."

## 1.5 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace fire extinguishers that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Failure of hydrostatic test according to NFPA 10.
    - b. Faulty operation of valves or release levers.
  - 2. Warranty Period: Six years from date of Substantial Completion.

# PART 2 - PRODUCTS

# 2.1 PORTABLE, HAND-CARRIED FIRE EXTINGUISHERS

- A. Fire Extinguishers: Type, size, and capacity for each mounting bracket indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. J. L. Industries, Inc.; a division of Activar Construction Products Group.
    - b. Kidde Residential and Commercial Division; Subsidiary of Kidde plc.
    - c. Larsen's Manufacturing Company.
    - d. Potter Roemer LLC. (Basis-of-Design: Model 3010 ABC Dry Chemical).
  - 2. Valves: Manufacturer's standard.
  - 3. Handles and Levers: Manufacturer's standard.

- 4. Instruction Labels: Include pictorial marking system complying with NFPA 10, Appendix B.
- B. Multipurpose Dry-Chemical Type in Steel Container: UL-rated 4A:80-B:C, 10-lb nominal capacity, with monoammonium phosphate-based dry chemical in enameled-steel container.

### 2.2 MOUNTING BRACKETS

Mounting Brackets: Manufacturer's standard galvanized steel, designed to secure fire extinguisher A. to wall or structure, of sizes required for types and capacities of fire extinguishers indicated, with plated finish (not required for fire extinguishers housed in fire extinguisher cabinets).

## **PART 3 - EXECUTION**

#### 3.1 **EXAMINATION**

- Examine fire extinguishers for proper charging and tagging. A.
  - Remove and replace damaged, defective, or undercharged fire extinguishers.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 **INSTALLATION**

- A. General: Install fire extinguishers and mounting brackets in locations indicated and in compliance with requirements of authorities having jurisdiction.
  - Mounting Brackets: 54 inches above finished floor to top of fire extinguisher.
- В. Mounting Brackets: Fasten mounting brackets to surfaces, square and plumb, at locations indicated (not required for fire extinguishers housed in fire extinguisher cabinets).

**END OF SECTION 10 4416** 

## SECTION 12 2413 - ROLLER WINDOW SHADES

## PART 1 - GENERAL

#### 1.1 **SECTION INCLUDES**

A. Manual roll-up fabric interior window shades.

### 1.2 REFERENCES

- NFPA 701-99 Fire Tests for Flame-Resistant Textiles and Films. A.
- В. GREENGUARD Environmental Institute Children & Schools
- C. US Green Building Council.

### 1.3 **SUBMITTALS**

- A. Product Data: Manufacturer's data sheets on each product specified, including:
  - Preparation instructions and recommendations. 1.
  - Installation and maintenance instructions. 2.
  - 3. Styles, material descriptions, dimensions of individual components, profiles, features, finishes and operating instructions.
  - 4. Storage and handling requirements and recommendations.
  - 5. Mounting details and installation methods, including integration of hardware with building structure.
- Shop Drawings: Plans, elevations, sections, product details, installation details, operational В. clearances, and relationship to adjacent work.
- C. Window Treatment Schedule: For all roller shades. Use same room designations as indicated on the Drawings, field verified window dimensions, quantities, type of shade, controls, fabric, and color, and include opening sizes and key to typical mounting details.
- Selection Samples: For each finish product specified, two complete sets of shade cloth options D. and aluminum finish color samples representing manufacturer's full range of available colors and patterns.
- E. Verification Samples: For each finish product specified, two complete sets of shade components, unassembled, demonstrating compliance with specified requirements. Shade fabric sample and aluminum finish sample as selected, representing actual product, color, and patterns. Mark face of material to indicate interior faces.
- F. Maintenance Data: Methods for maintaining roller shades, precautions regarding cleaning materials and methods, instructions for operating hardware and controls.
- Manufacturer's Certificates: Certify products meet or exceed specified requirements. G.

### 1.4 **QUALITY ASSURANCE**

- Manufacturer Qualifications: Obtain roller shades through one source from a single manufacturer A. with a minimum of twenty years' experience in manufacturing products comparable to those specified in this section.
- В. NFPA Flame-Test: Passes NFPA 701. Materials tested shall be identical to products proposed for use.

# 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Do not deliver window shades until building is enclosed and construction within spaces where shades will be installed is substantially complete.
- B. Deliver products in manufacturer's original, unopened, undamaged containers with labels intact.
- C. Label containers and shades according to Window Shade Schedule.
- D. Store products in manufacturer's unopened packaging until ready for installation.

# 1.6 SEQUENCING

- A. Ensure that locating templates and other information required for installation of products of this section are furnished to affected trades in time to prevent interruption of construction progress.
- B. Ensure that products of this section are supplied to affected trades in time to prevent interruption of construction progress.

## 1.7 PROJECT CONDITIONS

A. Install roller shades after finish work and ambient temperature, humidity and ventilation conditions are maintained at levels recommended for project upon completion.

# 1.8 WARRANTY

- A. Initial warranty: Provide an initial one-year warranty on all products and services, including installations, to be free from defects. Products or services found to be defective under normal use during this initial warranty period will be repaired or replaced without charge to the customer. Refer to basis of design manufacturer Blueshade® "Best-in-the-Business" warranty. Warranty period shall begin upon completion of the installation.
- B. Extended Warranty: Provide a 5-year limited warranty on motors, controls, and data bus accessories. Provide a 25-year limited warranty on shade cloth and hardware. Refer to basis of design manufacturer BlueShade® "Best-in-the-Business" warranty.

# PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Basis of design: Blueshade by Drapery Industries Inc., Rochester, NY 14610, 844-737-2533, Web: www.blueshade.us.com
  - 1. Products: Manual Architectural-CM premium clutch roller shades.
- B. Acceptable alternate Manufacturer: provide comparable products by Mechoshade or approved equal.

# 2.2 MANUAL WINDOW SHADES

- A. Manually operated vertical roll-up shade with fabric, premium clutch, chain, ceiling pocket and bottom closure, and necessary hardware to complete installation. BlueShade manual Architectural-CM series as manufactured by Drapery Industries Inc.
  - 1. Operation: Bead chain and Galaxy-clutch operating mechanism, allowing heavy shades to be operated with reduced pull force. Designed for mid-size shades where weight and tube deflection are an issue. Clutch never needs adjustment or lubrication. Provide limit stops to prevent shade from being raised or lowered too far.

- a. CM Clutch: Premium mechanical clutch designed for smooth, durable operation over a wide variety of shade sizes and weights. Bi-directional clutch fabricated from POM thermoplastic, comprised of PA6 with glass fiber, and steel spring; Molded chain placement guides allow the chain to drop behind the fascia, eliminating the need to notch the fascia panel. Chain placement right or left. Color as selected by Architect from manufacturer's full range of standard colors.
- b. Bead chain loop: Stainless steel bead chain hanging at side of window.
- c. Bead Chain Hold Down: Spring-Loaded Tensioner (P-clip style without tensioner spring is not acceptable). Color as selected by Architect from manufacturer's full range of standard colors.
- d. Idler Assembly: Provide heavy-duty idler assembly consisting of retractable pin end with metal gear for positive placement, and level adjusting idler bracket. Idler pin designed with retractable geared pin-end to facilitate easy installation, and removal of shade for service. Level adjusting idle end bracket consist of cold rolled steel bracket which is zinc plated and mechanically fastened to a plate assembly made from Acrylonitrile-Butadiene-Styrene (ABS) which is a polymerized alloy. Idler and adapter assembly designed for 1.75" diameter (OD) keyed tube.
- 2. Roller tube: Keyed tube with a minimum 1.75" diameter (OD) fabricated from extruded aluminum, steel is not acceptable. Diameter, wall thickness, and material selected by manufacturer to accommodate shade size with minimum deflection. Keyed tube design, reduces deflection over wide spans, and the key groove can accept optional fabric spline. Standard fabric connection to roller tube with LSE (low surface energy) double sided adhesive specifically developed to attach coated textiles to metal. Welded fabric spline (optional).
- 3. Mounting: mounting brackets fabricated from cold rolled steel ASTM A1008, with zinc-plated finish.
- B. Fabric Length: Provide a minimum of 12" greater than window height.
- C. Shade slat: Aluminum slat 1/8" x 1" MF encased in welded heat seamed hem.
- D. Orientation: Regular (from back of roller).

## 2.3 FABRIC

A. Color and pattern: As selected by Architect from manufacturer's full range.

## **PART 3 - EXECUTION**

## 3.1 EXAMINATION

A. Do not begin installation until substrates have been properly prepared.

## 3.2 PREPARATION

A. Coordinate requirements for blocking and structural supports to ensure adequate means for installation of pocket enclosure brackets, and hardware for installation of manual window shades.

## 3.3 INSTALLATION

- A. Install in accordance with manufacturer's instructions.
- B. Install roller shades level, plumb, square, and true. Allow proper clearances for window operation hardware.

- C. Install after interior construction is essentially complete.
- D. Remove and properly dispose of all debris and metal shavings. Vacuum as necessary.

# 3.4 TESTING AND DEMONSTRATION

- A. Test window shades to verify that controls, limit stops, interface to other building materials, and other operating components are functional. Correct deficiencies.
- B. Demonstrate operation of shades to Owner's designated representatives.

# 3.5 PROTECTION

- A. Protect installed products until completion of project.
- B. Touch-up, repair or replace damaged products before Substantial Completion.

# 3.6 LOCATIONS

A. Roller shades shall be provided where indicated.

END OF SECTION 12 2413

## SECTION 220517 - SLEEVES AND SLEEVE SEALS FOR PLUMBING PIPING

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Sleeves.
  - 2. Stack-sleeve fittings.
  - 3. Sleeve-seal systems.
  - 4. Sleeve-seal fittings.
  - 5. Grout.

# 1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

# PART 2 - PRODUCTS

## 2.1 SLEEVES

- A. Cast-Iron Wall Pipes: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Galvanized-Steel Wall Pipes: ASTM A 53/A 53M, Schedule 40, with plain ends and welded steel collar; zinc coated.
- C. Galvanized-Steel-Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, with plain ends.
- D. PVC-Pipe Sleeves: ASTM D 1785, Schedule 40.

## 2.2 STACK-SLEEVE FITTINGS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Smith, Jay R. Mfg. Co.
  - 2. Zurn Specification Drainage Operation; Zurn Plumbing Products Group.
- B. Description: Manufactured, cast-iron sleeve with integral clamping flange. Include clamping ring, bolts, and nuts for membrane flashing.
  - 1. Underdeck Clamp: Clamping ring with setscrews.

## 2.3 SLEEVE-SEAL FITTINGS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Presealed Systems.

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B. Description: Manufactured plastic, sleeve-type, waterstop assembly made for imbedding in

concrete slab or wall. Unit has plastic or rubber waterstop collar with center opening to match piping OD.

# 2.4 GROUT

- A. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- B. Characteristics: Nonshrink; recommended for interior and exterior applications.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

## **PART 3 - EXECUTION**

## 3.1 SLEEVE INSTALLATION

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
  - 1. Sleeves are not required for core-drilled holes.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
  - 1. Permanent sleeves are not required for holes in slabs formed by molded-PE or -PP sleeves.
  - 2. Cut sleeves to length for mounting flush with both surfaces.
    - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
  - 3. Using grout, seal the space outside of sleeves in slabs and walls without sleeve-seal system.
- D. Install sleeves for pipes passing through interior partitions.
  - 1. Cut sleeves to length for mounting flush with both surfaces.
  - 2. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
  - 3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint. Comply with requirements for sealants specified in Section 079200 "Joint Sealants."
- E. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

# 3.2 STACK-SLEEVE-FITTING INSTALLATION

- A. Install stack-sleeve fittings in new slabs as slabs are constructed.
  - 1. Install fittings that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
  - 2. Secure flashing between clamping flanges for pipes penetrating floors with membrane waterproofing. Comply with requirements for flashing specified in Section 076200 "Sheet Metal Flashing and Trim."

- 3. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level.
- 4. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
- 5. Using grout, seal the space around outside of stack-sleeve fittings.
- B. Fire-Barrier Penetrations: Maintain indicated fire rating of floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

## 3.3 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

# 3.4 SLEEVE AND SLEEVE-SEAL SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
  - 1. Exterior Concrete Walls above Grade:
    - a. Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves.
  - 2. Exterior Concrete Walls below Grade:
    - a. Piping Smaller Than NPS 6: Sleeve-seal fittings.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
    - b. Piping NPS 6 and Larger: Galvanized-steel-pipe sleeves with sleeve-seal system.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
  - 3. Concrete Slabs-on-Grade:
    - a. Piping Smaller Than NPS 6: Sleeve-seal fittings.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
    - b. Piping NPS 6 and Larger: Galvanized-steel-pipe sleeves.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
  - 4. Concrete Slabs above Grade:
    - Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves or PVC-pipe sleeves.
    - b. Piping NPS 6 and Larger: Galvanized-steel-pipe sleeves or PVC-pipe sleeves.
  - 5. Interior Partitions:
    - a. Piping Smaller Than NPS 6: Galvanized-steel-pipe sleeves or PVC-pipe sleeves.
    - b. Piping NPS 6 and Larger: Galvanized-steel-sheet sleeves.

END OF SECTION 220517

# ESCUTCHEONS FOR PLUMBING PIPING

## SECTION 220518 - ESCUTCHEONS FOR PLUMBING PIPING

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Escutcheons.

## 1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

## **PART 2 - PRODUCTS**

## 2.1 ESCUTCHEONS

- A. One-Piece, Cast-Brass Type: With polished, chrome-plated finish and setscrew fastener.
- B. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped brass with chrome-plated finish and spring-clip fasteners.
- C. One-Piece, Stamped-Steel Type: With chrome-plated finish and spring-clip fasteners.
- D. Split-Casting Brass Type: With polished, chrome-plated finish and with concealed hinge and setscrew.

### **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. Install escutcheons for piping penetrations of walls, ceilings, and finished floors.
- B. Install escutcheons with ID to closely fit around pipe, tube, and insulation of insulated piping and with OD that completely covers opening.
  - 1. Escutcheons for New Piping:
    - a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep-pattern type.
    - b. Chrome-Plated Piping: One-piece, cast-brass type with polished, chrome-plated finish.
    - c. Insulated Piping: One-piece, stamped-steel type.
    - d. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece, cast-brass type with polished, chrome-plated finish.
    - e. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece, cast-brass type with polished, chrome-plated finish.
    - f. Bare Piping in Unfinished Service Spaces: One-piece, cast-brass type with polished, chrome-plated finish.

# ESCUTCHEONS FOR PLUMBING PIPING

- g. Bare Piping in Equipment Rooms: One-piece, cast-brass type with polished, chrome-plated finish.
- 2. Escutcheons for Existing Piping:
  - a. Chrome-Plated Piping: Split-casting brass type with polished, chrome-plated finish.
  - b. Insulated Piping: Split-plate, stamped-steel type with concealed or exposed-rivet hinge.
  - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-casting brass type with polished, chrome-plated finish.
  - d. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-casting brass type with polished, chrome-plated finish.
  - e. Bare Piping in Unfinished Service Spaces: Split-casting brass type with polished, chrome-plated finish.
  - f. Bare Piping in Equipment Rooms: Split-casting brass type with polished, chrome-plated finish.

# 3.2 FIELD QUALITY CONTROL

A. Replace broken and damaged escutcheons and floor plates using new materials.

END OF SECTION 220518

# SECTION 220529 - HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 **SUMMARY**

- A. Section Includes:
  - Metal pipe hangers and supports. 1.
  - 2. Trapeze pipe hangers.
  - Thermal-hanger shield inserts. 3.
  - 4. Fastener systems.
  - 5. Pipe stands.
  - Equipment supports. 6.
- В. Related Sections:
  - Section 055000 "Metal Fabrications" for structural-steel shapes and plates for trapeze hangers for pipe and equipment supports.

#### 1.3 **DEFINITIONS**

A. MSS: Manufacturers Standardization Society of The Valve and Fittings Industry Inc.

### PERFORMANCE REQUIREMENTS 1.4

- Design trapeze pipe hangers and equipment supports, including A. Delegated Design: comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- В. Structural Performance: Hangers and supports for plumbing piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
  - Design supports for multiple pipes, including pipe stands, capable of supporting 1. combined weight of supported systems, system contents, and test water.
  - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

#### 1.5 **ACTION SUBMITTALS**

- A. Product Data: For each type of product indicated.
- В. Shop Drawings: Show fabrication and installation details and include calculations for the following; include Product Data for components:
  - Trapeze pipe hangers. 1.
  - 2. Metal framing systems.
  - Pipe stands. 3.
  - Equipment supports. 4.

- C. Delegated-Design Submittal: For trapeze hangers indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
  - 1. Detail fabrication and assembly of trapeze hangers.
  - 2. Design Calculations: Calculate requirements for designing trapeze hangers.

# 1.6 INFORMATIONAL SUBMITTALS

A. Welding certificates.

# 1.7 QUALITY ASSURANCE

- A. Structural Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.

## PART 2 - PRODUCTS

# 2.1 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
  - 1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
  - 2. Galvanized Metallic Coatings: Pregalvanized or hot dipped.
  - 3. Nonmetallic Coatings: Plastic coating, jacket, or liner.
  - 4. Padded Hangers: Hanger with fiberglass or other pipe insulation pad or cushion to support bearing surface of piping.
  - 5. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel.
- B. Copper Pipe Hangers:
  - 1. Description: MSS SP-58, Types 1 through 58, copper-coated-steel, factory-fabricated components.
  - 2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-coated steel.

## 2.2 TRAPEZE PIPE HANGERS

A. Description: MSS SP-69, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

## 2.3 THERMAL-HANGER SHIELD INSERTS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Carpenter & Paterson, Inc.
  - 2. Clement Support Services.
  - 3. ERICO International Corporation.
  - 4. National Pipe Hanger Corporation.
  - 5. PHS Industries, Inc.
  - 6. Pipe Shields, Inc.; a subsidiary of Piping Technology & Products, Inc.
  - 7. Piping Technology & Products, Inc.
  - 8. Rilco Manufacturing Co., Inc.

9.

# Value Engineered Products, Inc.

- B. Insulation-Insert Material for Cold Piping: ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength and vapor barrier.
- C. Insulation-Insert Material for Hot Piping: ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength.
- D. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- E. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.
- F. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

## 2.4 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
- B. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

## 2.5 PIPE STANDS

- A. General Requirements for Pipe Stands: Shop- or field-fabricated assemblies made of manufactured corrosion-resistant components to support roof-mounted piping.
- B. Compact Pipe Stand: One-piece plastic unit with integral-rod roller, pipe clamps, or V-shaped cradle to support pipe, for roof installation without membrane penetration.
- C. Low-Type, Single-Pipe Stand: One-piece plastic base unit with plastic roller, for roof installation without membrane penetration.
- D. High-Type, Single-Pipe Stand:
  - 1. Description: Assembly of base, vertical and horizontal members, and pipe support, for roof installation without membrane penetration.
  - 2. Base: Plastic.
  - 3. Vertical Members: Two or more cadmium-plated-steel or stainless-steel, continuous-thread rods.
  - 4. Horizontal Member: Cadmium-plated-steel or stainless-steel rod with plastic or stainless-steel, roller-type pipe support.
- E. High-Type, Multiple-Pipe Stand:
  - 1. Description: Assembly of bases, vertical and horizontal members, and pipe supports, for roof installation without membrane penetration.
  - 2. Bases: One or more; plastic.
  - 3. Vertical Members: Two or more protective-coated-steel channels.
  - 4. Horizontal Member: Protective-coated-steel channel.
  - 5. Pipe Supports: Galvanized-steel, clevis-type pipe hangers.

# 2.6 EQUIPMENT SUPPORTS

A. Description: Welded, shop- or field-fabricated equipment support made from structural carbon-steel shapes.

# 2.7 MISCELLANEOUS MATERIALS

- A. Structural Steel: ASTM A 36/A 36M, carbon-steel plates, shapes, and bars; black and galvanized.
- B. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
  - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
  - 2. Design Mix: 5000-psi, 28-day compressive strength.

## PART 3 - EXECUTION

# 3.1 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.
- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
  - Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
  - 2. Field fabricate from ASTM A 36/A 36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- D. Fastener System Installation:
  - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
  - 2. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.

# E. Pipe Stand Installation:

- 1. Pipe Stand Types except Curb-Mounted Type: Assemble components and mount on smooth roof surface. Do not penetrate roof membrane.
- 2. Curb-Mounted-Type Pipe Stands: Assemble components or fabricate pipe stand and mount on permanent, stationary roof curb. See Section 077200 "Roof Accessories" for curbs.
- F. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- G. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- H. Install hangers and supports to allow controlled thermal movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- I. Install lateral bracing with pipe hangers and supports to prevent swaying.

- J. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- K. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- L. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.

## M. Insulated Piping:

- 1. Attach clamps and spacers to piping.
  - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
  - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
  - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
- 2. Install MSS SP-58, Type 39, protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
  - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
  - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 4. Shield Dimensions for Pipe: Not less than the following:
  - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
  - b. NPS 4: 12 inches long and 0.06 inch thick.
  - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
  - d. NPS 8 to NPS 14: 24 inches long and 0.075 inch thick.
  - e. NPS 16 to NPS 24: 24 inches long and 0.105 inch thick.
- 5. Pipes NPS 8 and Larger: Include wood or reinforced calcium-silicate-insulation inserts of length at least as long as protective shield.
- 6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

# 3.2 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

# 3.3 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.

- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

#### 3.4 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

#### 3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Touchup: Cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal are specified in Section 09
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

### 3.6 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-69 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finish.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- E. Use carbon-steel pipe hangers and supports, metal trapeze pipe hangers and metal framing systems and attachments for general service applications.
- F. Use copper-plated pipe hangers and copper attachments for copper piping and tubing.
- G. Use thermal-hanger shield inserts for insulated piping and tubing.
- H. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
  - 2. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes NPS 1/2 to NPS 24 if little or no insulation is required.

- 3. Clips (MSS Type 26): For support of insulated pipes not subject to expansion or contraction.
- 4. Single-Pipe Rolls (MSS Type 41): For suspension of pipes NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction might occur.
- I. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
  - 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- J. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Steel Turnbuckles (MSS Type 13): For adjustment up to 6 inches for heavy loads.
  - 2. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
  - 3. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- K. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
  - 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joist construction, to attach to top flange of structural shape.
  - 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
  - 4. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
  - 5. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel Ibeams for heavy loads.
  - 6. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- L. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
  - 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
  - 3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- M. Comply with MSS SP-69 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- N. Use powder-actuated fasteners instead of building attachments where required in concrete construction.
- O. Use pipe positioning systems in pipe spaces behind plumbing fixtures to support supply and waste piping for plumbing fixtures.

#### END OF SECTION 220529

## SECTION 220553 - IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Equipment labels.
  - 2. Warning signs and labels.
  - 3. Pipe labels.
  - 4. Stencils.
  - 5. Valve tags.
  - 6. Warning tags.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- D. Valve numbering scheme.
- E. Valve Schedules: For each piping system to include in maintenance manuals.

#### 1.4 COORDINATION

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

#### PART 2 - PRODUCTS

### 2.1 EQUIPMENT LABELS

- A. Metal Labels for Equipment:
  - 1. Material and Thickness: Brass, 0.032-inch, Aluminum, 0.032-inch or anodized aluminum, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
  - 2. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
  - 3. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering

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for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.

- 4. Fasteners: Stainless-steel rivets or self-tapping screws.
- 5. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified.
- C. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11-inch bond paper. Tabulate equipment identification number and identify Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

#### 2.2 WARNING SIGNS AND LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/16 inch thick, and having predrilled holes for attachment hardware.
- B. Letter Color: Black.
- C. Background Color: Yellow.
- D. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- E. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- F. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- G. Fasteners: Stainless-steel rivets or self-tapping screws.
- H. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- I. Label Content: Include caution and warning information, plus emergency notification instructions.

#### 2.3 PIPE LABELS

- A. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- B. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to partially cover circumference of pipe and to attach to pipe without fasteners or adhesive.
- C. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- D. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings, pipe size, and an arrow indicating flow direction.
  - 1. Flow-Direction Arrows: Integral with piping system service lettering to accommodate both directions, or as separate unit on each pipe label to indicate flow direction.
  - 2. Lettering Size: At least 1-1/2 inches high.

#### 2.4 STENCILS

- A. Stencils: Prepared with letter sizes according to ASME A13.1 for piping; and minimum letter height of 3/4 inch for access panel and door labels, equipment labels, and similar operational instructions.
  - 1. Stencil Material: Fiberboard or metal.
  - 2. Stencil Paint: Exterior, gloss, acrylic enamel black unless otherwise indicated. Paint may be in pressurized spray-can form.
  - 3. Identification Paint: Exterior, acrylic enamel in colors according to ASME A13.1 unless otherwise indicated.

#### 2.5 VALVE TAGS

- A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
  - 1. Tag Material: Brass, 0.032-inch Stainless steel, 0.025-inch Aluminum, 0.032-inch or anodized aluminum, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
  - 2. Fasteners: Brass beaded chain.
- B. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
  - 1. Valve-tag schedule shall be included in operation and maintenance data.

#### 2.6 WARNING TAGS

- A. Warning Tags: Preprinted or partially preprinted, accident-prevention tags, of plasticized card stock with matte finish suitable for writing.
  - 1. Size: 3 by 5-1/4 inches minimum.
  - 2. Fasteners: Brass grommet and wire.
  - 3. Nomenclature: Large-size primary caption such as "DANGER," "CAUTION," or "DO NOT OPERATE."
  - 4. Color: Yellow background with black lettering.

#### PART 3 - EXECUTION

#### 3.1 PREPARATION

A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

### 3.2 EQUIPMENT LABEL INSTALLATION

- A. Install or permanently fasten labels on each major item of mechanical equipment.
- B. Locate equipment labels where accessible and visible.

### 3.3 PIPE LABEL INSTALLATION

A. Piping Color-Coding: Painting of piping is specified in Section 09.

- B. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels, complying with ASME A13.1, on each piping system.
  - 1. Identification Paint: Use for contrasting background.
  - 2. Stencil Paint: Use for pipe marking.
- C. Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
  - 1. Near each valve and control device.
  - 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  - 3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
  - 4. At access doors, manholes, and similar access points that permit view of concealed piping.
  - 5. Near major equipment items and other points of origination and termination.
  - 6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.
  - 7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.
- D. Pipe Label Color Schedule:
  - 1. Low-Pressure, Compressed-Air Piping:
    - a. Background Color: Yellow.
    - b. Letter Color: Black.
  - 2. Medium-Pressure, Compressed-Air Piping:
    - a. Background Color: Yellow.
    - b. Letter Color: Black.
  - 3. Sanitary Waste and Storm Drainage Piping:
    - a. Background Color: Yellow.
    - b. Letter Color: Black.

#### 3.4 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in piping systems, except check valves; valves within factory-fabricated equipment units; shutoff valves; faucets; convenience and lawn-watering hose connections; and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in the following subparagraphs:
  - 1. Valve-Tag Size and Shape:
    - a. Cold Water: 1-1/2 inches, round.
    - b. Hot Water: 1-1/2 inches, round.
    - c. Low-Pressure Compressed Air: 1-1/2 inches, round.
    - d. High-Pressure Compressed Air: 1-1/2 inches, round.
  - 2. Valve-Tag Color:
    - a. Cold Water: Natural.
    - b. Hot Water: Natural.
    - c. Low-Pressure Compressed Air: Natural.
    - d. High-Pressure Compressed Air: Natural.
  - 3. Letter Color:
    - a. Cold Water: Black.

- b. Hot Water: Black.
- Low-Pressure Compressed Air: Black. c.
- High-Pressure Compressed Air: Black. d.

#### 3.5 WARNING-TAG INSTALLATION

Write required message on, and attach warning tags to, equipment and other items where A. required.

END OF SECTION 220553

### SECTION 220719 - PLUMBING PIPING INSULATION

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes insulating the following plumbing piping services:
  - 1. Roof drains and rainwater leaders.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory- and field-applied, if any).
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
  - 2. Detail insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
  - 3. Detail application of field-applied jackets.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Material Test Reports: From a qualified testing agency acceptable to authorities having jurisdiction indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.
- C. Field quality-control reports.

#### 1.5 **QUALITY ASSURANCE**

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84 by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
  - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
  - 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.
- B. Comply with the following applicable standards and other requirements specified for miscellaneous components:
  - 1. Supply and Drain Protective Shielding Guards: ICC A117.1.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

#### 1.7 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

#### 1.8 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.
- B. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

### PART 2 - PRODUCTS

#### 2.1 INSULATION MATERIALS

- A. Comply with requirements in "Piping Insulation Schedule, General," "Indoor Piping Insulation Schedule," "Outdoor, Aboveground Piping Insulation Schedule," and "Outdoor, Underground Piping Insulation Schedule" articles for where insulating materials shall be applied.
- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- D. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- E. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- F. Flexible Elastomeric Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C 534, Type I for tubular materials.
  - 1. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Aeroflex USA, Inc.; Aerocel.
    - b. Armacell LLC; AP Armaflex.
    - c. K-Flex USA; Insul-Lock, Insul-Tube, and K-FLEX LS.

#### G. Mineral-Fiber, Preformed Pipe Insulation:

- 1. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
  - a. Fibrex Insulations Inc.; Coreplus 1200.
  - b. Johns Manville; Micro-Lok.
  - c. <u>Knauf Insulation</u>; 1000-Degree Pipe Insulation.
  - d. Manson Insulation Inc.; Alley-K.
  - e. Owens Corning; Fiberglas Pipe Insulation.

2. Type I, 850 Deg F Materials: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 547, Type I, Grade A, with factory-applied ASJ. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

#### 2.2 INSULATING CEMENTS

- A. Mineral-Fiber Insulating Cement: Comply with ASTM C 195.
  - 1. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Ramco Insulation, Inc.; Super-Stik.</u>

#### 2.3 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated, unless otherwise indicated.
- B. Flexible Elastomeric Adhesive: Comply with MIL-A-24179A, Type II, Class I.
  - 1. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Aeroflex USA, Inc.; Aeroseal.
    - b. Armacell LLC; Armaflex 520 Adhesive.
    - c. <u>Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company;</u> 85-75.
    - d. K-Flex USA; R-373 Contact Adhesive.
  - 2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
  - 1. <u>Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller</u> Company; CP-127.
    - b. <u>Eagle Bridges Marathon Industries; 225.</u>
    - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-60/85-70.
    - d. Mon-Eco Industries, Inc.; 22-25.
  - 2. For indoor applications, adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

#### 2.4 SEALANTS

- A. Joint Sealants:
  - 1. <u>Joint Sealants for Cellular-Glass Products</u>: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.</u>
    - b. Eagle Bridges Marathon Industries; 405.

- c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-45.
- d. Mon-Eco Industries, Inc.; 44-05.
- e. Pittsburgh Corning Corporation; Pittseal 444.
- 2. Materials shall be compatible with insulation materials, jackets, and substrates.
- 3. Permanently flexible, elastomeric sealant.
- 4. Service Temperature Range: Minus 100 to plus 300 deg F.
- 5. Color: White or gray.
- 6. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- 7. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
  - 1. Verify that systems to be insulated have been tested and are free of defects.
  - 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

#### 3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping including fittings, valves, and specialties.
- B. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- C. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- D. Install multiple layers of insulation with longitudinal and end seams staggered.
- E. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- F. Keep insulation materials dry during application and finishing.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.

- 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
- 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
- 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch- wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches o.c.
    - a. For below-ambient services, apply vapor-barrier mastic over staples.
  - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
  - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.
- L. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- M. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- N. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt ioints.
- O. For above-ambient services, do not install insulation to the following:
  - 1. Vibration-control devices.
  - 2. Testing agency labels and stamps.
  - 3. Nameplates and data plates.
  - 4. Cleanouts.

#### 3.4 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
  - 4. Seal jacket to roof flashing with flashing sealant.

- B. Insulation Installation at Underground Exterior Wall Penetrations: Terminate insulation flush with sleeve seal. Seal terminations with flashing sealant.
- C. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.
- D. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.
  - 1. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping and fire-resistive joint sealers.
- E. Insulation Installation at Floor Penetrations:
  - 1. Pipe: Install insulation continuously through floor penetrations.
  - 2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

#### 3.5 GENERAL PIPE INSULATION INSTALLATION

- A. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.
- B. Insulation Installation on Fittings, Valves, Strainers, Flanges, and Unions:
  - 1. Install insulation over fittings, valves, strainers, flanges, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
  - 2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.
  - 3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
  - 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
  - 5. Insulate flanges and unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.
  - 6. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
  - 7. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.
  - 8. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.

C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.

### 3.6 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

- A. Seal longitudinal seams and end joints with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- B. Insulation Installation on Pipe Flanges:
  - 1. Install pipe insulation to outer diameter of pipe flange.
  - 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
  - 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of sheet insulation of same thickness as pipe insulation.
  - 4. Secure insulation to flanges and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- C. Insulation Installation on Pipe Fittings and Elbows:
  - 1. Install mitered sections of pipe insulation.
  - 2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

#### 3.7 INSTALLATION OF MINERAL-FIBER INSULATION

- A. Insulation Installation on Straight Pipes and Tubes:
  - 1. Secure each layer of preformed pipe insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
  - 2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
  - 3. For insulation with factory-applied jackets on above-ambient surfaces, secure laps with outward clinched staples at 6 inches o.c.
  - 4. For insulation with factory-applied jackets on below-ambient surfaces, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.
- B. Insulation Installation on Pipe Flanges:
  - 1. Install preformed pipe insulation to outer diameter of pipe flange.
  - 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
  - 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with mineral-fiber blanket insulation.
  - 4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.
- C. Insulation Installation on Pipe Fittings and Elbows:
  - 1. Install preformed sections of same material as straight segments of pipe insulation when available.
  - 2. When preformed insulation elbows and fittings are not available, install mitered sections of pipe insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire or bands.

### 3.8 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Perform tests and inspections.
- C. Tests and Inspections:
  - 1. Inspect pipe, fittings, strainers, and valves, randomly selected by Architect, by removing field-applied jacket and insulation in layers in reverse order of their installation. Extent of inspection shall be limited to three locations of straight pipe, three locations of threaded fittings, locations of threaded valves, and locations of flanged valves for each pipe service defined in the "Piping Insulation Schedule, General" Article.
- D. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

### 3.9 PIPING INSULATION SCHEDULE, GENERAL

- A. Acceptable preformed pipe and tubular insulation materials and thicknesses are identified for each piping system and pipe size range. If more than one material is listed for a piping system, selection from materials listed is Contractor's option.
- B. Items Not Insulated: Unless otherwise indicated, do not install insulation on the following:
  - 1. Drainage piping located in crawl spaces.
  - 2. Underground piping.
  - 3. Chrome-plated pipes and fittings unless there is a potential for personnel injury.

#### 3.10 INDOOR PIPING INSULATION SCHEDULE

- A. Stormwater and Overflow:
  - 1. All Pipe Sizes: Insulation shall be one of the following:
    - a. Flexible Elastomeric: 1 inch thick.
    - b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
- B. Roof Drain and Overflow Drain Bodies:
  - 1. All Pipe Sizes: Insulation shall be one of the following:
    - a. Flexible Elastomeric: 1 inch thick.
    - b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.

END OF SECTION 220719

#### SECTION 221124 - FACILITY NATURAL-GAS PIPING

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Pipes, tubes, and fittings.
  - 2. Piping specialties.
  - 3. Piping and tubing joining materials.
  - 4. Valves.
  - 5. Pressure regulators.
  - 6. Service meters.
  - 7. Concrete bases.

#### 1.3 **DEFINITIONS**

- A. Finished Spaces: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceilings, unexcavated spaces, crawlspaces, and tunnels.
- B. Exposed, Interior Installations: Exposed to view indoors. Examples include finished occupied spaces and mechanical equipment rooms.
- C. Exposed, Exterior Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions. Examples include rooftop locations.

#### 1.4 PERFORMANCE REQUIREMENTS

- A. Minimum Operating-Pressure Ratings:
  - 1. Piping and Valves: 100 psig minimum unless otherwise indicated.
  - 2. Service Regulators: 100 psig minimum unless otherwise indicated.
  - 3. Minimum Operating Pressure of Service Meter: 5 psig.
- B. Natural-Gas System Pressure within Buildings: 0.5 psig or less.

#### 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
  - 1. Piping specialties.
  - 2. Corrugated, stainless-steel tubing with associated components.
  - 3. Valves. Include pressure rating, capacity, settings, and electrical connection data of selected models.
  - 4. Pressure regulators. Indicate pressure ratings and capacities.
  - 5. Service meters. Indicate pressure ratings and capacities. Include bypass fittings.
  - 6. Dielectric fittings.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans and details, drawn to scale, on which natural-gas piping is shown and coordinated with other installations, using input from installers of the items involved.
- B. Site Survey: Plans, drawn to scale, on which natural-gas piping is shown and coordinated with other services and utilities.
- C. Qualification Data: For qualified professional engineer.
- D. Welding certificates.
- E. Field quality-control reports.

#### 1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For pressure regulators and service meters to include in emergency, operation, and maintenance manuals.

### 1.8 QUALITY ASSURANCE

- A. Steel Support Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

### 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Handling Flammable Liquids: Remove and dispose of liquids from existing natural-gas piping according to requirements of authorities having jurisdiction.
- B. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- C. Store and handle pipes and tubes having factory-applied protective coatings to avoid damaging coating, and protect from direct sunlight.
- D. Protect stored PE pipes and valves from direct sunlight.

#### 1.10 PROJECT CONDITIONS

A. Perform site survey, research public utility records, and verify existing utility locations. Contact utility-locating service for area where Project is located.

#### 1.11 COORDINATION

- A. Coordinate sizes and locations of concrete bases with actual equipment provided.
- B. Coordinate requirements for access panels and doors for valves installed concealed behind finished surfaces. Comply with requirements in Section 083113 "Access Doors and Frames."

#### **PART 2 - PRODUCTS**

### 2.1 PIPES, TUBES, AND FITTINGS

- A. Steel Pipe: ASTM A 53/A 53M, black steel, Schedule 40, Type E or S, Grade B.
  - 1. Malleable-Iron Threaded Fittings: ASME B16.3, Class 150, standard pattern.
  - 2. Wrought-Steel Welding Fittings: ASTM A 234/A 234M for butt welding and socket welding.
  - 3. Unions: ASME B16.39, Class 150, malleable iron with brass-to-iron seat, ground joint, and threaded ends.
  - 4. Forged-Steel Flanges and Flanged Fittings: ASME B16.5, minimum Class 150, including bolts, nuts, and gaskets of the following material group, end connections, and facings:
    - a. Material Group: 1.1.
    - b. End Connections: Threaded or butt welding to match pipe.
    - c. Lapped Face: Not permitted underground.
    - d. Gasket Materials: ASME B16.20, metallic, flat, asbestos free, aluminum o-rings, and spiral-wound metal gaskets.
    - e. Bolts and Nuts: ASME B18.2.1, carbon steel aboveground and stainless steel underground.
  - 5. Protective Coating for Underground Piping: Factory-applied, three-layer coating of epoxy, adhesive, and PE.
    - a. Joint Cover Kits: Epoxy paint, adhesive, and heat-shrink PE sleeves.
  - 6. Mechanical Couplings:
    - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
      - 1) Dresser Piping Specialties; Division of Dresser, Inc.
      - 2) Smith-Blair, Inc.
    - b. Stainless-steel flanges and tube with epoxy finish.
    - c. Buna-nitrile seals.
    - d. Stainless-steel bolts, washers, and nuts.
    - e. Coupling shall be capable of joining PE pipe to PE pipe, steel pipe to PE pipe, or steel pipe to steel pipe.
    - f. Steel body couplings installed underground on plastic pipe shall be factory equipped with anode.
- B. Corrugated, Stainless-Steel Tubing: Comply with ANSI/IAS LC 1.
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. OmegaFlex, Inc.
    - b. Parker Hannifin Corporation; Parflex Division.
    - c. Titeflex.
    - d. Tru-Flex Metal Hose Corp.
  - 2. Tubing: ASTM A 240/A 240M, corrugated, Series 300 stainless steel.
  - 3. Coating: PE with flame retardant.

- a. Surface-Burning Characteristics: As determined by testing identical products according to ASTM E 84 by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1) Flame-Spread Index: 25 or less.
  - 2) Smoke-Developed Index: 50 or less.
- 4. Fittings: Copper-alloy mechanical fittings with ends made to fit and listed for use with corrugated stainless-steel tubing and capable of metal-to-metal seal without gaskets. Include brazing socket or threaded ends complying with ASME B1.20.1.
- 5. Striker Plates: Steel, designed to protect tubing from penetrations.
- 6. Manifolds: Malleable iron or steel with factory-applied protective coating. Threaded connections shall comply with ASME B1.20.1 for pipe inlet and corrugated tubing outlets.
- 7. Operating-Pressure Rating: 5 psig.
- 8. Bonding and grounding per NEC and NYS Fuel Gas Code.
- C. PE Pipe: ASTM D 2513, SDR 11.
  - 1. PE Fittings: ASTM D 2683, socket-fusion type or ASTM D 3261, butt-fusion type with dimensions matching PE pipe.
  - 2. PE Transition Fittings: Factory-fabricated fittings with PE pipe complying with ASTM D 2513, SDR 11; and steel pipe complying with ASTM A 53/A 53M, black steel, Schedule 40, Type E or S, Grade B.
  - 3. Anodeless Service-Line Risers: Factory fabricated and leak tested.
    - a. Underground Portion: PE pipe complying with ASTM D 2513, SDR 11 inlet.
    - b. Casing: Steel pipe complying with ASTM A 53/A 53M, Schedule 40, black steel, Type E or S, Grade B, with corrosion-protective coating covering. Vent casing aboveground.
    - c. Aboveground Portion: PE transition fitting.
    - d. Outlet shall be threaded or flanged or suitable for welded connection.
    - e. Tracer wire connection.
    - f. Ultraviolet shield.
    - g. Stake supports with factory finish to match steel pipe casing or carrier pipe.
  - 4. Transition Service-Line Risers: Factory fabricated and leak tested.
    - a. Underground Portion: PE pipe complying with ASTM D 2513, SDR 11 inlet connected to steel pipe complying with ASTM A 53/A 53M, Schedule 40, Type E or S, Grade B, with corrosion-protective coating for aboveground outlet.
    - b. Outlet shall be threaded or flanged or suitable for welded connection.
    - c. Bridging sleeve over mechanical coupling.
    - d. Factory-connected anode.
    - e. Tracer wire connection.
    - f. Ultraviolet shield.
    - g. Stake supports with factory finish to match steel pipe casing or carrier pipe.
  - 5. Plastic Mechanical Couplings, NPS 1-1/2 and Smaller: Capable of joining PE pipe to PE pipe.
    - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
      - 1) Lyall, R. W. & Company, Inc.
      - 2) Mueller Co.; Gas Products Div.

- 3) <u>Perfection Corporation; a subsidiary of American Meter Company.</u>
- 4)
- b. PE body with molded-in, stainless-steel support ring.
- c. Buna-nitrile seals.
- d. Acetal collets.
- e. Electro-zinc-plated steel stiffener.
- 6. Plastic Mechanical Couplings, NPS 2 and Larger: Capable of joining PE pipe to PE pipe, steel pipe to PE pipe, or steel pipe to steel pipe.
  - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) Lyall, R. W. & Company, Inc.
    - 2) Mueller Co.; Gas Products Div.
    - 3) Perfection Corporation; a subsidiary of American Meter Company.
  - b. Fiber-reinforced plastic body.
  - c. PE body tube.
  - d. Buna-nitrile seals.
  - e. Acetal collets.
  - f. Stainless-steel bolts, nuts, and washers.
- 7. Steel Mechanical Couplings: Capable of joining plain-end PE pipe to PE pipe, steel pipe to PE pipe, or steel pipe to steel pipe.
  - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) Dresser Piping Specialties; Division of Dresser, Inc.
    - 2) Smith-Blair, Inc.
  - b. Stainless-steel flanges and tube with epoxy finish.
  - c. Buna-nitrile seals.
  - d. Stainless-steel bolts, washers, and nuts.
  - e. Factory-installed anode for steel-body couplings installed underground.

### 2.2 PIPING SPECIALTIES

- A. Appliance Flexible Connectors:
  - 1. Indoor, Fixed-Appliance Flexible Connectors: Comply with ANSI Z21.24.
  - 2. Indoor, Movable-Appliance Flexible Connectors: Comply with ANSI Z21.69.
  - 3. Outdoor, Appliance Flexible Connectors: Comply with ANSI Z21.75.
  - 4. Corrugated stainless-steel tubing with polymer coating.
  - 5. Operating-Pressure Rating: 0.5 psig.
  - 6. End Fittings: Zinc-coated steel.
  - 7. Threaded Ends: Comply with ASME B1.20.1.
  - 8. Maximum Length: 72 inches
- B. Y-Pattern Strainers:
  - 1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
  - 2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger
  - 3. Strainer Screen: **40**-mesh startup strainer, and perforated stainless-steel basket with 50 percent free area.
  - 4. CWP Rating: 125 psig.

C. Weatherproof Vent Cap: Cast- or malleable-iron increaser fitting with corrosion-resistant wire screen, with free area at least equal to cross-sectional area of connecting pipe and threaded-end connection.

#### 2.3 **JOINING MATERIALS**

- A. Joint Compound and Tape: Suitable for natural gas.
- B. Welding Filler Metals: Comply with AWS D10.12/D10.12M for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- C. Brazing Filler Metals: Alloy with melting point greater than 1000 deg F complying with AWS A5.8/A5.8M. Brazing alloys containing more than 0.05 percent phosphorus are prohibited.

#### 2.4 MANUAL GAS SHUTOFF VALVES

- A. See "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles for where each valve type is applied in various services.
- B. General Requirements for Metallic Valves, NPS 2 and Smaller: Comply with ASME B16.33.
  - 1. CWP Rating: 125 psig.
  - 2. Threaded Ends: Comply with ASME B1.20.1.
  - 3. Dryseal Threads on Flare Ends: Comply with ASME B1.20.3.
  - 4. Tamperproof Feature: Locking feature for valves indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 5. Listing: Listed and labeled by an NRTL acceptable to authorities having jurisdiction for valves 1 inch and smaller.
  - 6. Service Mark: Valves 1-1/4 inches to NPS 2 shall have initials "WOG" permanently marked on valve body.
- C. General Requirements for Metallic Valves, NPS 2-1/2 and Larger: Comply with ASME B16.38.
  - 1. CWP Rating: 125 psig.
  - 2. Flanged Ends: Comply with ASME B16.5 for steel flanges.
  - 3. Tamperproof Feature: Locking feature for valves indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 4. Service Mark: Initials "WOG" shall be permanently marked on valve body.
- D. Two-Piece, Full-Port, Bronze Ball Valves with Bronze Trim: MSS SP-110.S
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>BrassCraft Manufacturing Company</u>; a Masco company.
    - b. <u>Conbraco Industries, Inc.; Apollo Div.</u>
    - c. Lyall, R. W. & Company, Inc.
    - d. McDonald, A. Y. Mfg. Co.
    - e. Perfection Corporation; a subsidiary of American Meter Company.
  - 2. Body: Bronze, complying with ASTM B 584.
  - 3. Ball: Chrome-plated bronze.
  - 4. Stem: Bronze; blowout proof.
  - 5. Seats: Reinforced TFE; blowout proof.

- 6. Packing: Threaded-body packnut design with adjustable-stem packing.
- 7. Ends: Threaded, flared, or socket as indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
- 8. CWP Rating: 600 psig.
- 9. Listing: Valves NPS 1 and smaller shall be listed and labeled by an NRTL acceptable to authorities having jurisdiction.
- 10. Service: Suitable for natural-gas service with "WOG" indicated on valve body.
- E. Bronze Plug Valves: MSS SP-78.
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Lee Brass Company.
    - b. McDonald, A. Y. Mfg. Co.
  - 2. Body: Bronze, complying with ASTM B 584.
  - 3. Plug: Bronze.
  - 4. Ends: Threaded, socket, or flanged as indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 5. Operator: Square head or lug type with tamperproof feature where indicated.
  - 6. Pressure Class: 125 psig.
  - 7. Listing: Valves NPS 1 and smaller shall be listed and labeled by an NRTL acceptable to authorities having jurisdiction.
  - 8. Service: Suitable for natural-gas service with "WOG" indicated on valve body.
- F. Cast-Iron, Lubricated Plug Valves: MSS SP-78.
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Flowserve.
    - b. <u>Homestead Valve; a division of Olson Technologies, Inc.</u>
    - c. McDonald, A. Y. Mfg. Co.
    - d. <u>Milliken Valve Company</u>.
    - e. Mueller Co.; Gas Products Div.
    - f. R&M Energy Systems, A Unit of Robbins & Myers, Inc.
  - 2. Body: Cast iron, complying with ASTM A 126, Class B.
  - 3. Plug: Bronze or nickel-plated cast iron.
  - 4. Seat: Coated with thermoplastic.
  - 5. Stem Seal: Compatible with natural gas.
  - 6. Ends: Threaded or flanged as indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 7. Operator: Square head or lug type with tamperproof feature where indicated.
  - 8. Pressure Class: 125 psig.
  - 9. Listing: Valves NPS 1 and smaller shall be listed and labeled by an NRTL acceptable to authorities having jurisdiction.
  - 10. Service: Suitable for natural-gas service with "WOG" indicated on valve body.
- G. Valve Boxes:
  - 1. Cast-iron, two-section box.
  - 2. Top section with cover with "GAS" lettering.
  - 3. Bottom section with base to fit over valve and barrel a minimum of 5 inches in diameter.
  - 4. Adjustable cast-iron extensions of length required for depth of bury.

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5. Include tee-handle, steel operating wrench with socket end fitting valve nut or flat head, and with stem of length required to operate valve.

#### 2.5 PRESSURE REGULATORS

- A. General Requirements:
  - 1. Single stage and suitable for natural gas.
  - 2. Steel jacket and corrosion-resistant components.
  - 3. Elevation compensator.
  - 4. End Connections: Threaded for regulators NPS 2 and smaller; flanged for regulators NPS 2-1/2 and larger.
- B. Line Pressure Regulators: Comply with ANSI Z21.80.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Actaris.
    - b. American Meter Company.
    - c. Eclipse Combustion, Inc.
    - d. <u>Fisher Control Valves and Regulators; Division of Emerson Process</u>
      <u>Management.</u>
    - e. <u>Invensys</u>.
    - f. Maxitrol Company.
    - g. Richards Industries; Jordan Valve Div.
  - 2. Body and Diaphragm Case: Cast iron or die-cast aluminum.
  - 3. Springs: Zinc-plated steel; interchangeable.
  - 4. Diaphragm Plate: Zinc-plated steel.
  - 5. Seat Disc: Nitrile rubber resistant to gas impurities, abrasion, and deformation at the valve port.
  - 6. Orifice: Aluminum; interchangeable.
  - 7. Seal Plug: Ultraviolet-stabilized, mineral-filled nylon.
  - 8. Single-port, self-contained regulator with orifice no larger than required at maximum pressure inlet, and no pressure sensing piping external to the regulator.
  - 9. Pressure regulator shall maintain discharge pressure setting downstream, and not exceed 150 percent of design discharge pressure at shutoff.
  - 10. Overpressure Protection Device: Factory mounted on pressure regulator.
  - 11. Atmospheric Vent: Factory- or field-installed, stainless-steel screen in opening if not connected to vent piping.
  - 12. Maximum Inlet Pressure: 10 psig.
- C. Appliance Pressure Regulators: Comply with ANSI Z21.18.
  - Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Canadian Meter Company Inc.
    - b. Eaton Corporation; Controls Div.
    - c. <u>Harper Wyman Co</u>.
    - d. <u>Maxitrol Company</u>.
    - e. <u>SCP, Inc</u>.
  - 2. Body and Diaphragm Case: Die-cast aluminum.

- 3. Springs: Zinc-plated steel; interchangeable.
- 4. Diaphragm Plate: Zinc-plated steel.
- 5. Seat Disc: Nitrile rubber.
- 6. Seal Plug: Ultraviolet-stabilized, mineral-filled nylon.
- 7. Factory-Applied Finish: Minimum three-layer polyester and polyurethane paint finish.
- 8. Regulator may include vent limiting device, instead of vent connection, if approved by authorities having jurisdiction.
- 9. Maximum Inlet Pressure: 2 psig.

#### 2.6 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- B. Dielectric Unions:
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Capitol Manufacturing Company.
    - b. <u>Central Plastics Company</u>.
    - c. Hart Industries International, Inc.
    - d. Jomar International Ltd.
    - e. Matco-Norca, Inc.
    - f. McDonald, A. Y. Mfg. Co.
    - g. Watts Regulator Co.; a division of Watts Water Technologies, Inc.
    - h. Wilkins; a Zurn company.
  - 2. Description:
    - a. Standard: ASSE 1079.
    - b. Pressure Rating: 125 psig minimum at 180 deg F.
    - c. End Connections: Solder-joint copper alloy and threaded ferrous.

### C. Dielectric Flanges:

- Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Capitol Manufacturing Company</u>.
  - b. <u>Central Plastics Company</u>.
  - c. Matco-Norca, Inc.
  - d. Watts Regulator Co.; a division of Watts Water Technologies, Inc.
  - e. Wilkins; a Zurn company.
- 2. Description:
  - a. Standard: ASSE 1079.
  - b. Factory-fabricated, bolted, companion-flange assembly.
  - c. Pressure Rating: 125 psig minimum at 180 deg F.
  - d. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.
- D. Dielectric-Flange Insulating Kits:
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Advance Products & Systems, Inc.

- b. Calpico, Inc.
- c. Central Plastics Company.
- d. Pipeline Seal and Insulator, Inc.
- 2. Description:
  - a. Nonconducting materials for field assembly of companion flanges.
  - b. Pressure Rating: 150 psig.
  - c. Gasket: Neoprene or phenolic.
  - d. Bolt Sleeves: Phenolic or polyethylene.
  - e. Washers: Phenolic with steel backing washers.

#### 2.7 LABELING AND IDENTIFYING

A. Detectable Warning Tape: Acid- and alkali-resistant, PE film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored yellow.

#### **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Examine roughing-in for natural-gas piping system to verify actual locations of piping connections before equipment installation.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Close equipment shutoff valves before turning off natural gas to premises or piping section.
- B. Inspect natural-gas piping according to the New York State Fuel Gas Code to determine that natural-gas utilization devices are turned off in piping section affected.
- C. Comply with the New York State Fuel Gas Code requirements for prevention of accidental ignition.

#### 3.3 OUTDOOR PIPING INSTALLATION

- A. Comply with the New York State Fuel Gas Code for installation and purging of natural-gas piping.
- B. Steel Piping with Protective Coating:
  - 1. Apply joint cover kits to pipe after joining to cover, seal, and protect joints.
  - 2. Repair damage to PE coating on pipe as recommended in writing by protective coating manufacturer.
  - 3. Replace pipe having damaged PE coating with new pipe.
- C. Install fittings for changes in direction and branch connections.
- D. Install pressure gage upstream and downstream from each service regulator. Pressure gages are specified in Section 220519 "Meters and Gages for PLUMBING Piping."

#### 3.4 INDOOR PIPING INSTALLATION

- Comply with the New York State Fuel Gas Code for installation and purging of natural-gas A. piping.
- B. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements are used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- C. Arrange for pipe spaces, chases, slots, sleeves, and openings in building structure during progress of construction, to allow for mechanical installations.
- Install piping in concealed locations unless otherwise indicated and except in equipment rooms D. and service areas.
- E. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- F. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- G. Locate valves for easy access.
- H. Install natural-gas piping at uniform grade of 2 percent down toward drip and sediment traps.
- I. Install piping free of sags and bends.
- J. Install fittings for changes in direction and branch connections.
- K. Verify final equipment locations for roughing-in.
- Comply with requirements in Sections specifying gas-fired appliances and equipment for L. roughing-in requirements.
- Drips and Sediment Traps: Install drips at points where condensate may collect, including M. service-meter outlets. Locate where accessible to permit cleaning and emptying. Do not install where condensate is subject to freezing.
  - 1. Construct drips and sediment traps using tee fitting with bottom outlet plugged or capped. Use nipple a minimum length of 3 pipe diameters, but not less than 3 inches long and same size as connected pipe. Install with space below bottom of drip to remove plug or cap.
- N. Extend relief vent connections for service regulators, line regulators, and overpressure protection devices to outdoors and terminate with weatherproof vent cap.
- O. Conceal pipe installations in walls, pipe spaces, utility spaces, above ceilings, below grade or floors, and in floor channels unless indicated to be exposed to view.
- P. Concealed Location Installations: Except as specified below, install concealed natural-gas piping and piping installed under the building in containment conduit constructed of steel pipe with welded joints as described in Part 2. Install a vent pipe from containment conduit to outdoors and terminate with weatherproof vent cap.
  - Above Accessible Ceilings: Natural-gas piping, fittings, valves, and regulators may be 1. installed in accessible spaces without containment conduit.
  - In Floors: Install natural-gas piping with welded or brazed joints and protective coating 2. in cast-in-place concrete floors. Cover piping to be cast in concrete slabs with minimum of 1-1/2 inches of concrete. Piping may not be in physical contact with other metallic

- structures such as reinforcing rods or electrically neutral conductors. Do not embed piping in concrete slabs containing quick-set additives or cinder aggregate.
- 3. In Floor Channels: Install natural-gas piping in floor channels. Channels must have cover and be open to space above cover for ventilation.
- 4. In Walls or Partitions: Protect tubing installed inside partitions or hollow walls from physical damage using steel striker barriers at rigid supports.
  - a. Exception: Tubing passing through partitions or walls does not require striker barriers.
- 5. Prohibited Locations:
  - a. Do not install natural-gas piping in or through circulating air ducts, clothes or trash chutes, chimneys or gas vents (flues), ventilating ducts, or dumbwaiter or elevator shafts.
  - b. Do not install natural-gas piping in solid walls or partitions.
- Q. Use eccentric reducer fittings to make reductions in pipe sizes. Install fittings with level side down.
- R. Connect branch piping from top or side of horizontal piping.
- S. Install unions in pipes NPS 2 and smaller, adjacent to each valve, at final connection to each piece of equipment. Unions are not required at flanged connections.
- T. Do not use natural-gas piping as grounding electrode.
- U. Install strainer on inlet of each line-pressure regulator and automatic or electrically operated valve.
- V. Install pressure gage upstream and downstream from each line regulator. Pressure gages are specified in Section 22 "Meters and Gages for Plumbing Piping."
- W. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 22 "Sleeves and Sleeve Seals for Plumbing Piping."
- X. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 22 "Sleeves and Sleeve Seals for Plumbing Piping."
- Y. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 22 "Escutcheons for Plumbing Piping."

#### 3.5 SERVICE-METER ASSEMBLY INSTALLATION

- A. Install service-meter assemblies aboveground as directed by Gas Utility Company.
- B. Install metal shutoff valves upstream from service regulators. Shutoff valves are not required at second regulators if two regulators are installed in series.
- C. Install strainer on inlet of service-pressure regulator and meter set.
- D. Install service regulators mounted outside with vent outlet horizontal or facing down. Install screen in vent outlet if not integral with service regulator.
- E. Install metal shutoff valves upstream from service meters. Install dielectric fittings downstream from service meters.
- F. Install service meters downstream from pressure regulators.

G. Install metal bollards to protect meter assemblies. Comply with requirements in Section 055000 "Metal Fabrications" for pipe bollards.

#### 3.6 VALVE INSTALLATION

- A. Install manual gas shutoff valve for each gas appliance ahead of corrugated stainless-steel tubing, aluminum, or copper connector.
- B. Install underground valves with valve boxes.
- C. Install regulators and overpressure protection devices with maintenance access space adequate for servicing and testing.
- D. Install earthquake valves aboveground outside buildings according to listing.
- E. Install anode for metallic valves in underground PE piping.

#### 3.7 PIPING JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Threaded Joints:
  - 1. Thread pipe with tapered pipe threads complying with ASME B1.20.1.
  - 2. Cut threads full and clean using sharp dies.
  - 3. Ream threaded pipe ends to remove burrs and restore full inside diameter of pipe.
  - 4. Apply appropriate tape or thread compound to external pipe threads unless dryseal threading is specified.
  - 5. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.

#### D. Welded Joints:

- 1. Construct joints according to AWS D10.12/D10.12M, using qualified processes and welding operators.
- 2. Bevel plain ends of steel pipe.
- 3. Patch factory-applied protective coating as recommended by manufacturer at field welds and where damage to coating occurs during construction.
- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter.
- F. Flanged Joints: Install gasket material, size, type, and thickness appropriate for natural-gas service. Install gasket concentrically positioned.
- G. Flared Joints: Cut tubing with roll cutting tool. Flare tube end with tool to result in flare dimensions complying with SAE J513. Tighten finger tight, then use wrench. Do not overtighten.
- H. PE Piping Heat-Fusion Joints: Clean and dry joining surfaces by wiping with clean cloth or paper towels. Join according to ASTM D 2657.
  - 1. Plain-End Pipe and Fittings: Use butt fusion.
  - 2. Plain-End Pipe and Socket Fittings: Use socket fusion.

#### 3.8 HANGER AND SUPPORT INSTALLATION

- Comply with requirements for pipe hangers and supports specified in Section 22 "Hangers and A. Supports for Piping and Equipment."
- В. Install hangers for horizontal steel piping with the following maximum spacing and minimum rod sizes:
  - NPS 1 and Smaller: Maximum span, 96 inches; minimum rod size, 3/8 inch. 1.
  - 2. NPS 1-1/4: Maximum span, 108 inches; minimum rod size, 3/8 inch.
  - 3. NPS 1-1/2 and NPS 2: Maximum span, 108 inches; minimum rod size, 3/8 inch.
- C. spacing and minimum rod sizes:
  - 1. NPS 3/8: Maximum span, 48 inches; minimum rod size, 3/8 inch.
  - 2. NPS 1/2 and NPS 5/8: Maximum span, 72 inches; minimum rod size, 3/8 inch.
  - 3. NPS 3/4 and NPS 7/8: Maximum span, 84 inches; minimum rod size, 3/8 inch.
  - 4. NPS 1: Maximum span, 96 inches; minimum rod size, 3/8 inch.
- D. Install hangers for horizontal, corrugated stainless-steel tubing with the following maximum spacing and minimum rod sizes:
  - NPS 3/8: Maximum span, 48 inches; minimum rod size, 3/8 inch. 1.
  - 2. NPS 1/2: Maximum span, 72 inches; minimum rod size, 3/8 inch.
  - 3. NPS 3/4 and Larger: Maximum span, 96 inches; minimum rod size, 3/8 inch.

#### 3.9 **CONNECTIONS**

- A. Connect to utility's gas main according to utility's procedures and requirements.
- В. Install natural-gas piping electrically continuous, and bonded to gas appliance equipment grounding conductor of the circuit powering the appliance according to NFPA 70.
- Install piping adjacent to appliances to allow service and maintenance of appliances. C.
- D. Connect piping to appliances using manual gas shutoff valves and unions. Install valve within 72 inches of each gas-fired appliance and equipment. Install union between valve and appliances or equipment.
- E. Sediment Traps: Install tee fitting with capped nipple in bottom to form drip, as close as practical to inlet of each appliance.

#### LABELING AND IDENTIFYING 3.10

- A. Comply with requirements in Section 220553 "Identification for Plumbing Piping and Equipment" for piping and valve identification.
- В. Install detectable warning tape directly above gas piping, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

#### 3.11 **PAINTING**

- Comply with requirements in Section 099113 "Exterior Painting" and Section 099123 "Interior A. Painting" for painting interior and exterior natural-gas piping.
- Paint exposed, exterior metal piping, valves, service regulators, service meters and meter bars, B. earthquake valves, and piping specialties, except components, with factory-applied paint or protective coating.
  - 1. Alkyd System: MPI EXT 5.1D.
    - Prime Coat: Alkyd anticorrosive metal primer. a.

- b. Intermediate Coat: Exterior alkyd enamel matching topcoat.
- Topcoat: Exterior alkyd enamel (semigloss). c.
- Color: Grav. d.
- C. Paint exposed, interior metal piping, valves, service regulators, service meters and meter bars, earthquake valves, and piping specialties, except components, with factory-applied paint or protective coating.
  - Alkyd System: MPI INT 5.1E. 1.
    - Prime Coat: Alkyd anticorrosive metal primer.
    - Intermediate Coat: Interior alkyd matching topcoat. b.
    - Topcoat: Interior alkyd (eggshell). c.
    - d. Color: yellow.
- D. Damage and Touchup: Repair marred and damaged factory-applied finishes with materials and by procedures to match original factory finish.

#### 3.12 **CONCRETE BASES**

- Concrete Bases: Anchor equipment to concrete base. A.
  - Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit.
  - 2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated. install dowel rods on 18-inch centers around the full perimeter of the base.
  - Install epoxy-coated anchor bolts for supported equipment that extend through concrete 3. base, and anchor into structural concrete floor.
  - Place and secure anchorage devices. Use supported equipment manufacturer's setting 4. drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 5. Install anchor bolts to elevations required for proper attachment to supported equipment.
  - 6. Use 3000-psig, 28-day, compressive-strength concrete and reinforcement as specified in Section 033 "Cast-in-Place Concrete."

#### 3.13 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - Test, inspect, and purge natural gas according to NFPA 54 and the NYS Fuel Gas Code 1. and authorities having jurisdiction.
  - For gas piping with working pressure up to 14" WC, the completed line is to be pressure 2. tested with air or inert gas for a minimum of one hour at 50 psig.
- C. Natural-gas piping will be considered defective if it does not pass tests and inspections.
- Reports: Prepare inspection and test reports and have them signed by authorities having D. jurisdiction. Submit all reports to Architect.

#### 3.14 **DEMONSTRATION**

Engage a factory-authorized service representative to train Owner's maintenance personnel to A. adjust, operate, and maintain earthquake valves.

#### 3.15 OUTDOOR PIPING SCHEDULE

Aboveground natural-gas pipingshall be one of the following: A.

- 1. Steel pipe with malleable-iron fittings and threaded joints.
- 2. Steel pipe with wrought-steel fittings and welded joints.
- B. Containment Conduit: Steel pipe with wrought-steel fittings and welded joints. Coat pipe and fittings with protective coating for steel piping.

#### 3.16 INDOOR PIPING SCHEDULE FOR SYSTEM PRESSURES LESS THAN 0.5 PSIG

- A. Aboveground, branch piping NPS 1 and smaller shall be one of the following:
  - 1. Corrugated stainless-steel tubing with mechanical fittings having socket or threaded ends to match adjacent piping.
  - 2. Steel pipe with malleable-iron fittings and threaded joints.
- B. Aboveground, distribution piping shall be one of the following:
  - 1. Steel pipe with malleable-iron fittings and threaded joints.
  - 2. Steel pipe with wrought-steel fittings and welded joints.
- C. Underground, below building, piping shall be one of the following:
  - 1. Steel pipe with wrought-steel fittings and welded joints.
  - 2. Corrugated stainless-steel tubing with no joints, routed in vented steel conduit.
- D. Containment Conduit: Steel pipe with wrought-steel fittings and welded joints. Coat pipe and fittings with protective coating for steel piping.
- E. Containment Conduit Vent Piping: Steel pipe with malleable-iron fittings and threaded or wrought-steel fittings with welded joints. Coat underground pipe and fittings with protective coating for steel piping.

# 3.17 INDOOR PIPING SCHEDULE FOR SYSTEM PRESSURES MORE THAN 0.5 PSIG AND LESS THAN 5 PSIG

- A. Aboveground, branch piping NPS 1 and smaller shall be one of the following:
  - Corrugated stainless-steel tubing with mechanical fittings having socket or threaded ends to match adjacent piping.
  - 2. Steel pipe with malleable-iron fittings and threaded joints.
- B. Aboveground, distribution piping shall be one of the following:
  - 1. Steel pipe with malleable-iron fittings and threaded joints.
  - 2. Steel pipe with steel welding fittings and welded joints.
- C. Underground, below building, piping shall be the following:
  - 1. Steel pipe with wrought-steel fittings and welded joints.
- D. Containment Conduit: Steel pipe with wrought-steel fittings and welded joints. Coat underground pipe and fittings with protective coating for steel piping.
- E. Containment Conduit Vent Piping: Steel pipe with malleable-iron fittings and threaded or wrought-steel fittings with welded joints. Coat underground pipe and fittings with protective coating for steel piping.

### 3.18 INDOOR PIPING SCHEDULE FOR SYSTEM PRESSURES MORE THAN 5 PSIG

- A. Aboveground Piping: Maximum operating pressure more than 5 psig.
- B. Aboveground, Branch Piping: Steel pipe with steel welding fittings and welded joints.
- C. Aboveground, distribution piping shall be the following:

- 1. Steel pipe with steel welding fittings and welded joints.
- D. Underground, below building, piping shall be the following:
  - 1. Steel pipe with wrought-steel fittings and welded joints.
- E. Containment Conduit: Steel pipe with wrought-steel fittings and welded joints. Coat pipe and fittings with protective coating for steel piping.
- F. Containment Conduit Vent Piping: Steel pipe with malleable-iron fittings and threaded or wrought-steel fittings with welded joints. Coat underground pipe and fittings with protective coating for steel piping.

#### 3.19 ABOVEGROUND MANUAL GAS SHUTOFF VALVE SCHEDULE

- A. Valves for pipe sizes NPS 2 and smaller at service meter shall be one of the following:
  - 1. Two-piece, full-port, bronze ball valves with bronze trim.
  - 2. Bronze plug valve.
- B. Valves for pipe sizes NPS 2-1/2 and larger at service meter shall be one of the following:
  - 1. Two-piece, full-port, bronze ball valves with bronze trim.
  - 2. Bronze plug valve.
  - 3. Cast-iron, lubricated plug valve.
- C. Distribution piping valves for pipe sizes NPS 2 and smaller shall be one of the following:
  - 1. Two-piece, full-port, bronze ball valves with bronze trim.
  - 2. Bronze plug valve.
- D. Distribution piping valves for pipe sizes NPS 2-1/2 and larger shall be one of the following:
  - 1. Bronze plug valve.
  - 2. Cast-iron, lubricated plug valve.
- E. Valves in branch piping for single appliance shall be one of the following:
  - 1. Two-piece, full-port, bronze ball valves with bronze trim.
  - 2. Bronze plug valve.

END OF SECTION 221124

#### SECTION 221313 - FACILITY SANITARY SEWERS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Pipe and fittings.
  - 2. Nonpressure and pressure couplings.
  - 3. Expansion joints and deflection fittings.
  - 4. Cleanouts.
  - 5. Encasement for piping.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For the following:
  - 1. Expansion joints and deflection fittings.
  - 2. Backwater valves.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Show pipe sizes, locations, and elevations. Show other piping in same trench and clearances from sewer system piping. Indicate interface and spatial relationship between manholes, piping, and proximate structures.
- B. Product Certificates: For each type of cast-iron soil pipe and fitting, from manufacturer.
- C. Field quality-control reports.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

A. Protect pipe, pipe fittings, and seals from dirt and damage.

#### 1.6 PROJECT CONDITIONS

- A. Interruption of Existing Sanitary Sewerage Service: Do not interrupt service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary service according to requirements indicated:
  - 1. Notify Architect, Construction Manager, Owner no fewer than two days in advance of proposed interruption of service.
  - 2. Do not proceed with interruption of service without Owner's written permission.

#### PART 2 - PRODUCTS

### 2.1 HUB-AND-SPIGOT, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 74, Service class.
- B. Gaskets: ASTM C 564, rubber.
- C. Calking Materials: ASTM B 29, pure lead and oakum or hemp fiber.

#### 2.2 HUBLESS CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 888 or CISPI 301.
- B. CISPI-Trademark, Shielded Couplings:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ANACO-Husky.
    - b. Mission Rubber Company; a division of MCP Industries, Inc.
    - c. Tyler Pipe.
  - 2. Description: ASTM C 1277 and CISPI 310, with stainless-steel corrugated shield; stainless-steel bands and tightening devices; and ASTM C 564, rubber sleeve with integral, center pipe stop.
- C. Heavy-Duty, Shielded Couplings:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ANACO-Husky.
    - b. <u>Clamp-All Corp.</u>
    - c. <u>Tyler Pipe</u>.
  - 2. Description: ASTM C 1277 and ASTM C 1540, with stainless-steel shield; stainless-steel bands and tightening devices; and ASTM C 564, rubber sleeve with integral, center pipe stop.

### 2.3 DUCTILE-IRON, GRAVITY SEWER PIPE AND FITTINGS

- A. Pipe: ASTM A 746, for push-on joints.
- B. Standard Fittings: AWWA C110, ductile or gray iron, for push-on joints.
- C. Compact Fittings: AWWA C153, ductile iron, for push-on joints.
- D. Gaskets: AWWA C111, rubber.

#### 2.4 DUCTILE-IRON, PRESSURE PIPE AND FITTINGS

- A. Push-on-Joint Piping:
  - 1. Pipe: AWWA C151.
  - 2. Standard Fittings: AWWA C110, ductile or gray iron.
  - 3. Compact Fittings: AWWA C153.
  - 4. Gaskets: AWWA C111, rubber, of shape matching pipe and fittings.
- B. Mechanical-Joint Piping:
  - 1. Pipe: AWWA C151, with bolt holes in bell.
  - 2. Standard Fittings: AWWA C110, ductile or gray iron, with bolt holes in bell.
  - 3. Compact Fittings: AWWA C153, with bolt holes in bells.
  - 4. Glands: Cast or ductile iron; with bolt holes and high-strength, cast-iron or high-strength, low-alloy steel bolts and nuts.
  - 5. Gaskets: AWWA C111, rubber, of shape matching pipe, fittings, and glands.

#### 2.5 NONPRESSURE-TYPE TRANSITION COUPLINGS

- A. Comply with ASTM C 1173, elastomeric, sleeve-type, reducing or transition coupling, for joining underground nonpressure piping. Include ends of same sizes as piping to be joined and corrosion-resistant-metal tension band and tightening mechanism on each end.
- B. Sleeve Materials:
  - 1. For Cast-Iron Soil Pipes: ASTM C 564, rubber.
  - 2. For Dissimilar Pipes: ASTM D 5926 or other material compatible with pipe materials being joined.
- C. Unshielded, Flexible Couplings:
  - Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Fernco Inc.
    - b. Mission Rubber Company; a division of MCP Industries, Inc.
  - 2. Description: Elastomeric sleeve with stainless-steel shear ring and corrosion-resistant-metal tension band and tightening mechanism on each end.

#### 2.6 CLEANOUTS

- A. Cast-Iron Cleanouts:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Josam Company.
    - b. MIFAB, Inc.
    - c. Smith, Jay R. Mfg. Co.
    - d. Tyler Pipe.
    - e. Watts Water Technologies, Inc.
    - f. Zurn Specification Drainage Operation; Zurn Plumbing Products Group.
  - 2. Description: ASME A112.36.2M, round, gray-iron housing with clamping device and round, secured, scoriated, gray-iron cover. Include gray-iron ferrule with inside calk or spigot connection and countersunk, tapered-thread, brass closure plug.
  - 3. Top-Loading Classification(s): Medium Duty.
  - 4. Sewer Pipe Fitting and Riser to Cleanout: ASTM A 74, Service class, cast-iron soil pipe and fittings.

#### PART 3 - EXECUTION

#### 3.1 EARTHWORK

A. Excavating, trenching, and backfilling are specified in Section 312000 "Earth Moving."

#### 3.2 PIPING INSTALLATION

- A. General Locations and Arrangements: Drawing plans and details indicate general location and arrangement of underground sanitary sewer piping. Location and arrangement of piping layout take into account design considerations. Install piping as indicated, to extent practical. Where specific installation is not indicated, follow piping manufacturer's written instructions.
- B. Install piping beginning at low point, true to grades and alignment indicated with unbroken continuity of invert. Place bell ends of piping facing upstream. Install gaskets, seals, sleeves, and

couplings according to manufacturer's written instructions for using lubricants, cements, and other installation requirements.

- C. Install proper size increasers, reducers, and couplings where different sizes or materials of pipes and fittings are connected. Reducing size of piping in direction of flow is prohibited.
- D. When installing pipe under streets or other obstructions that cannot be disturbed, use pipe-jacking process of microtunneling.
- E. Install gravity-flow, nonpressure, drainage piping according to the following:
  - 1. Install piping pitched down in direction of flow, at minimum slope of 1 percent unless otherwise indicated.
  - 2. Install piping NPS 6 and larger with restrained joints at tee fittings and at changes in direction. Use corrosion-resistant rods, pipe or fitting manufacturer's proprietary restraint system, or cast-in-place-concrete supports or anchors.
  - 3. Install piping with 36-inch minimum cover.
  - 4. Install hub-and-spigot, cast-iron soil piping according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook."
  - 5. Install hubless cast-iron soil piping according to CISPI 310 and CISPI's "Cast Iron Soil Pipe and Fittings Handbook."
  - 6. Install ductile-iron, gravity sewer piping according to ASTM A 746.
- F. Clear interior of piping and manholes of dirt and superfluous material as work progresses. Maintain swab or drag in piping, and pull past each joint as it is completed. Place plug in end of incomplete piping at end of day and when work stops.

#### 3.3 PIPE JOINT CONSTRUCTION

- A. Join gravity-flow, nonpressure, drainage piping according to the following:
  - 1. Join hub-and-spigot, cast-iron soil piping with gasket joints according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for compression joints.
  - 2. Join hub-and-spigot, cast-iron soil piping with calked joints according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for lead and oakum calked joints.
  - 3. Join hubless cast-iron soil piping according to CISPI 310 and CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for hubless-coupling joints.
  - 4. Join dissimilar pipe materials with nonpressure-type, flexible couplings.
- B. Pipe couplings, expansion joints, and deflection fittings with pressure ratings at least equal to piping rating may be used in applications below unless otherwise indicated.
  - 1. Use nonpressure flexible couplings where required to join gravity-flow, nonpressure sewer piping unless otherwise indicated.
    - a. Shielded flexible couplings for pipes of same or slightly different OD.
    - b. Unshielded, increaser/reducer-pattern, flexible couplings for pipes with different OD.
  - 2. Use pressure pipe couplings for force-main joints.

#### 3.4 CLEANOUT INSTALLATION

- A. Install cleanouts and riser extensions from sewer pipes to cleanouts at grade. Use cast-iron soil pipe fittings in sewer pipes at branches for cleanouts, and use cast-iron soil pipe for riser extensions to cleanouts. Install piping so cleanouts open in direction of flow in sewer pipe.
  - 1. Use Medium-Duty, top-loading classification cleanouts in paved foot-traffic areas.
  - 2. Use Heavy-Duty, top-loading classification cleanouts in vehicle-traffic service areas.

- B. Set cleanout frames and covers in earth in cast-in-place-concrete block, 18 by 18 by 12 inches deep. Set with tops 1 inch above surrounding grade.
- C. Set cleanout frames and covers in concrete pavement and roads with tops flush with pavement surface.

## 3.5 CONNECTIONS

- A. Connect nonpressure, gravity-flow drainage piping to building's sanitary building drains specified in Section 221316 "Sanitary Waste and Vent Piping."
- B. Make connections to existing piping and underground manholes.
  - 1. Use commercially manufactured wye fittings for piping branch connections. Remove section of existing pipe, install wye fitting into existing piping, and encase entire wye fitting plus 6-inch overlap with not less than 6 inches of concrete with 28-day compressive strength of 3000 psi.
  - 2. Make branch connections from side into existing piping, NPS 4 to NPS 20. Remove section of existing pipe, install wye fitting into existing piping, and encase entire wye with not less than 6 inches of concrete with 28-day compressive strength of 3000 psi.
- C. Connect to grease interceptors specified in Section 221323 "Sanitary Waste Interceptors."
- D. Backfill to grade according to Section 312000 "Earth Moving."

## 3.6 FIELD QUALITY CONTROL

- A. Inspect interior of piping to determine whether line displacement or other damage has occurred. Inspect after approximately 24 inches of backfill is in place, and again at completion of Project.
  - 1. Submit separate report for each system inspection.
  - 2. Defects requiring correction include the following:
    - a. Alignment: Less than full diameter of inside of pipe is visible between structures.
    - b. Deflection: Flexible piping with deflection that prevents passage of ball or cylinder of size not less than 92.5 percent of piping diameter.
    - c. Damage: Crushed, broken, cracked, or otherwise damaged piping.
    - d. Infiltration: Water leakage into piping.
    - e. Exfiltration: Water leakage from or around piping.
  - 3. Replace defective piping using new materials, and repeat inspections until defects are within allowances specified.
  - 4. Reinspect and repeat procedure until results are satisfactory.
- B. Test new piping systems, and parts of existing systems that have been altered, extended, or repaired, for leaks and defects.
  - 1. Do not enclose, cover, or put into service before inspection and approval.
  - 2. Test completed piping systems according to requirements of authorities having jurisdiction.
  - 3. Schedule tests and inspections by authorities having jurisdiction with at least 24 hours' advance notice.
  - 4. Submit separate report for each test.
  - 5. Hydrostatic Tests: Test sanitary sewerage according to requirements of authorities having jurisdiction and the following:
    - a. Fill sewer piping with water. Test with pressure of at least 10-foot head of water, and maintain such pressure without leakage for at least 15 minutes.
    - b. Close openings in system and fill with water.
    - c. Purge air and refill with water.

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- d. Disconnect water supply.
- e. Test and inspect joints for leaks.
- 6. Force Main: Perform hydrostatic test after thrust blocks, supports, and anchors have hardened. Test at pressure not less than 1-1/2 times the maximum system operating pressure, but not less than [150 psig].
  - a. Ductile-Iron Piping: Test according to AWWA C600, "Hydraulic Testing" Section.
  - b. PVC Piping: Test according to AWWA M23, "Testing and Maintenance" Chapter.
- 7. Manholes: Perform hydraulic test according to ASTM C 969.
- C. Leaks and loss in test pressure constitute defects that must be repaired.
- D. Replace leaking piping using new materials, and repeat testing until leakage is within allowances specified.

## 3.7 CLEANING

A. Clean dirt and superfluous material from interior of piping.

END OF SECTION 221313

## SECTION 221319 - SANITARY WASTE PIPING SPECIALTIES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. Section Includes:
  - 1. Cleanouts.
  - 2. Through-penetration firestop assemblies.
  - 3. Miscellaneous sanitary drainage piping specialties.
  - 4. Flashing materials.

### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control test reports.

#### 1.4 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For drainage piping specialties to include in emergency, operation, and maintenance manuals.

#### 1.5 **QUALITY ASSURANCE**

- A. Drainage piping specialties shall bear label, stamp, or other markings of specified testing agency.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.

#### 1.6 COORDINATION

A. Coordinate size and location of roof penetrations.

# PART 2 - PRODUCTS

# 2.1 CLEANOUTS

- A. Exposed Metal Cleanouts CO:
  - 1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Josam Company; Josam Div</u>.
    - b. MIFAB, Inc.
    - c. Smith, Jay R. Mfg. Co.; Division of Smith Industries, Inc.
    - d. Tyler Pipe; Wade Div.
    - e. Watts Drainage Products Inc.
    - f. Zurn Plumbing Products Group; Specification Drainage Operation.
  - 2. Standard: ASME A112.36.2M for cast iron for cleanout test tee.
  - 3. Size: Same as connected drainage piping

- 4. Body Material: Hubless, cast-iron soil pipe test tee as required to match connected piping.
- 5. Closure: Countersunk or raised-head, brass plug.
- 6. Closure Plug Size: Same as or not more than one size smaller than cleanout size.
- 7. Closure: Stainless-steel plug with seal.

#### B. Metal Floor Cleanouts FCO:

- 1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Josam Company; Josam Div</u>.
  - b. Sioux Chief Manufacturing Company, Inc.
  - c. Smith, Jay R. Mfg. Co.; Division of Smith Industries, Inc.
  - d. Tyler Pipe; Wade Div.
  - e. Watts Drainage Products Inc.
  - f. Zurn Plumbing Products Group; Specification Drainage Operation.
- 2. Standard: ASME A112.36.2M for cast-iron soil pipe with cast-iron ferrule threaded, adjustable housing cleanout.
- 3. Size: Same as connected branch.
- 4. Type: Threaded, adjustable housing.
- 5. Body or Ferrule: Cast iron.
- 6. Clamping Device: Required.
- 7. Outlet Connection: Spigot.
- 8. Closure: Brass plug with straight threads and gasket.
- 9. Adjustable Housing Material: Cast iron with set-screws or other device.
- 10. Frame and Cover Material and Finish: Nickel-bronze, copper alloy.
- 11. Frame and Cover Shape: Round.
- 12. Top Loading Classification: Medium Duty.
- 13. Riser: ASTM A 74, Service class, cast-iron drainage pipe fitting and riser to cleanout.
- 14. Standard: ASME A112.3.1.
- 15. Size: Same as connected branch.

# C. Cast-Iron Wall Cleanouts WCO:

- 1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. Josam Company; Josam Div.
  - b. MIFAB, Inc.
  - c. Smith, Jay R. Mfg. Co.; d of Smith Industries, Inc.
  - d. Tyler Pipe; Wade Div.
  - e. Watts Drainage Products Inc.
  - f. Zurn Plumbing Products Group; Specification Drainage Operation.
- 2. Standard: ASME A112.36.2M. Include wall access.
- 3. Size: Same as connected drainage piping.
- 4. Body: Hub-and-spigot, cast-iron soil pipe T-branch or Hubless, cast-iron soil pipe test tee as required to match connected piping.
- 5. Closure: Countersunk, brass plug.
- 6. Closure Plug Size: Same as or not more than one size smaller than cleanout size.
- 7. Wall Access: Round, flat, chrome-plated brass or stainless-steel cover plate with screw.

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## 2.2 THROUGH-PENETRATION FIRESTOP ASSEMBLIES

- A. Through-Penetration Firestop Assemblies:
  - 1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ProSet Systems Inc.
  - 2. Standard: UL 1479 assembly of sleeve and stack fitting with firestopping plug.
  - 3. Size: Same as connected soil, waste, or vent stack.
  - 4. Sleeve: Molded PVC plastic, of length to match slab thickness and with integral nailing flange on one end for installation in cast-in-place concrete slabs.
  - 5. Stack Fitting: ASTM A 48/A 48M, gray-iron, hubless-pattern, wye branch with neoprene O-ring at base and gray-iron plug in thermal-release harness. Include PVC protective cap for plug.
  - 6. Special Coating: Corrosion resistant on interior of fittings.

#### 2.3 MISCELLANEOUS SANITARY DRAINAGE PIPING SPECIALTIES

- A. Sleeve Flashing Device:
  - 1. Description: Manufactured, cast-iron fitting, with clamping device, that forms sleeve for pipe floor penetrations of floor membrane. Include galvanized-steel pipe extension in top of fitting that will extend 2 inches above finished floor and galvanized-steel pipe extension in bottom of fitting that will extend through floor slab.
  - 2. Size: As required for close fit to riser or stack piping.
- B. Stack Flashing Fittings:
  - Description: Counterflashing-type, cast-iron fitting, with bottom recess for terminating roof membrane, and with threaded or hub top for extending vent pipe.
  - 2. Size: Same as connected stack vent or vent stack.
- C. Expansion Joints:
  - 1. Standard: ASME A112.21.2M.
  - 2. Body: Cast iron with bronze sleeve, packing, and gland.
  - 3. End Connections: Matching connected piping.
  - 4. Size: Same as connected soil, waste, or vent piping.

## 2.4 FLASHING MATERIALS

- A. Lead Sheet: ASTM B 749, Type L51121, copper bearing, with the following minimum weights and thicknesses, unless otherwise indicated:
  - 1. General Use: 4.0-lb/sq. ft., 0.0625-inch thickness.
  - 2. Vent Pipe Flashing: 3.0-lb/sq. ft., 0.0469-inch thickness.
  - 3. Burning: 6-lb/sq. ft., 0.0938-inch thickness.
- B. Copper Sheet: ASTM B 152/B 152M, of the following minimum weights and thicknesses, unless otherwise indicated:
  - 1. General Applications: 12 oz./sq. ft..
  - 2. Vent Pipe Flashing: 8 oz./sq. ft..
- C. Fasteners: Metal compatible with material and substrate being fastened.
- D. Metal Accessories: Sheet metal strips, clamps, anchoring devices, and similar accessory units required for installation; matching or compatible with material being installed.
- E. Solder: ASTM B 32, lead-free alloy.

221319 - 4

F. Bituminous Coating: SSPC-Paint 12, solvent-type, bituminous mastic.

#### **PART 3 - EXECUTION**

## 3.1 INSTALLATION

- A. Install cleanouts in aboveground piping and building drain piping according to the following, unless otherwise indicated:
  - 1. Size same as drainage piping up to NPS 4. Use NPS 4 for larger drainage piping unless larger cleanout is indicated.
  - 2. Locate at each change in direction of piping greater than 45 degrees.
  - 3. Locate at minimum intervals of 50 feet for piping NPS 4 and smaller and 100 feet for larger piping.
  - 4. Locate at base of each vertical soil and waste stack.
- B. For floor cleanouts for piping below floors, install cleanout deck plates with top flush with finished floor.
- C. For cleanouts located in concealed piping, install cleanout wall access covers, of types indicated, with frame and cover flush with finished wall.
- D. Install flashing fittings on sanitary stack vents and vent stacks that extend through roof.
- E. Install air-gap fittings on draining-type backflow preventers and on indirect-waste piping discharge into sanitary drainage system.
- F. Install sleeve flashing device with each riser and stack passing through floors with waterproof membrane.

#### 3.2 CONNECTIONS

- A. Comply with requirements in Section 221316 "Sanitary Waste and Vent Piping" for piping installation requirements. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to equipment to allow service and maintenance.
- C. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- D. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

### 3.3 FLASHING INSTALLATION

- A. Fabricate flashing from single piece unless large pans, sumps, or other drainage shapes are required. Join flashing according to the following if required:
  - 1. Lead Sheets: Burn joints of lead sheets 6.0-lb/sq. ft., 0.0938-inch thickness or thicker. Solder joints of lead sheets 4.0-lb/sq. ft., 0.0625-inch thickness or thinner.
  - 2. Copper Sheets: Solder joints of copper sheets.
- B. Install sheet flashing on pipes, sleeves, and specialties passing through or embedded in floors and roofs with waterproof membrane.
  - 1. Pipe Flashing: Sleeve type, matching pipe size, with minimum length of 10 inches, and skirt or flange extending at least 8 inches around pipe.
  - 2. Sleeve Flashing: Flat sheet, with skirt or flange extending at least 8 inches around sleeve.

- 3. Embedded Specialty Flashing: Flat sheet, with skirt or flange extending at least 8 inches around specialty.
- C. Set flashing on floors and roofs in solid coating of bituminous cement.
- D. Secure flashing into sleeve and specialty clamping ring or device.
- E. Install flashing for piping passing through roofs with counterflashing or commercially made flashing fittings, according to Section 076200 "Sheet Metal Flashing and Trim."
- F. Extend flashing up vent pipe passing through roofs and turn down into pipe, or secure flashing into cast-iron sleeve having calking recess.
- G. Fabricate and install flashing and pans, sumps, and other drainage shapes.

# 3.4 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - 1. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
  - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

## 3.5 PROTECTION

- A. Protect drains during remainder of construction period to avoid clogging with dirt or debris and to prevent damage from traffic or construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

END OF SECTION 221319

## FACILITY STORM DRAINAGE PIPING

## SECTION 221413 - FACILITY STORM DRAINAGE PIPING

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Pipe, tube, and fittings.
  - 2. Specialty pipe fittings.
  - 3. Encasement for underground metal piping.

## 1.3 PERFORMANCE REQUIREMENTS

- A. Components and installation shall be capable of withstanding the following minimum working pressure unless otherwise indicated:
  - 1. Storm Drainage Piping: 10-foot head of water.
- B. Seismic Performance: Storm drainage piping and support and installation shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
  - 1. Storm piping directly over Operating rooms shall be supported per Seismic requirements. Importance Factor 1.5.
  - 2. All other storm piping: Importance Factor 1.0 (no seismic support required).

# 1.4 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Certificates: For storm drainage piping, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- B. Field quality-control reports.

#### 1.6 QUALITY ASSURANCE

A. Piping materials shall bear label, stamp, or other markings of specified testing agency.

#### 1.7 PROJECT CONDITIONS

- A. Interruption of Existing Storm-Drainage Service: Do not interrupt service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary service according to requirements indicated:
  - 1. Notify Architect, Construction Manager, and Owner no fewer than two days in advance of proposed interruption of storm-drainage service.

221413-2

2. Do not proceed with interruption of storm-drainage service without Owner's written permission.

#### PART 2 - PRODUCTS

### 2.1 PIPING MATERIALS

A. Comply with requirements in "Piping Schedule" Article for applications of pipe, tube, fitting materials, and joining methods for specific services, service locations, and pipe sizes.

# 2.2 HUB-AND-SPIGOT, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 74, Service classes.
- B. Gaskets: ASTM C 564, rubber.
- C. Calking Materials: ASTM B 29, pure lead and oakum or hemp fiber.

# 2.3 HUBLESS, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 888 or CISPI 301.
- B. CISPI, Hubless-Piping Couplings:
  - 1. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ANACO-Husky.
    - b. Fernco Inc.
    - c. Mission Rubber Company; a division of MCP Industries, Inc.
    - d. Tyler Pipe.
  - 2. Standards: ASTM C 1277 and CISPI 310.
  - 3. Description: Stainless-steel corrugated shield with stainless-steel bands and tightening devices; and ASTM C 564, rubber sleeve with integral, center pipe stop.

# C. Heavy-Duty, Hubless-Piping Couplings:

- Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. ANACO-Husky.
  - b. <u>Clamp-All Corp.</u>
  - c. Mission Rubber Company; a division of MCP Industries, Inc.
  - d. Tyler Pipe.
- 2. Standards: ASTM C 1277 and ASTM C 1540.
- 3. Description: Stainless-steel shield with stainless-steel bands and tightening devices; and ASTM C 564, rubber sleeve with integral, center pipe stop.

## **2.4** Transition Couplings:

- General Requirements: Fitting or device for joining piping with small differences in OD's or of different materials. Include end connections same size as and compatible with pipes to be joined.
- 2. Fitting-Type Transition Couplings: Manufactured piping coupling or specified-piping-system fitting.
- 3. Shielded, Nonpressure Transition Couplings:

- a. <u>Manufacturers</u>: Subject to compliance with requirements, [provide products by one of the following] [available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following]:
  - 1) Mission Rubber Company; a division of MCP Industries, Inc.
- b. Standard: ASTM C 1460.
- c. Description: Elastomeric or rubber sleeve with full-length, corrosion-resistant outer shield and corrosion-resistant-metal tension band and tightening mechanism on each end.

# E. Dielectric Fittings:

- General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- 2. Dielectric Unions:
  - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) Capitol Manufacturing Company.
    - 2) Hart Industries International, Inc.
    - 3) Jomar International Ltd.
    - 4) Matco-Norca, Inc.
    - 5) McDonald, A. Y. Mfg. Co.
    - 6) Watts Regulator Co.; a division of Watts Water Technologies, Inc.
    - 7) Wilkins; a Zurn company.
  - b. Description:
    - 1) Standard: ASSE 1079.
    - 2) Pressure Rating: 150 psig at 180 deg F.
    - 3) End Connections: Solder-joint copper alloy and threaded ferrous.
- 3. Dielectric Flanges:
  - a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) Capitol Manufacturing Company.
    - 2) Matco-Norca, Inc.
    - 3) Watts Regulator Co.; a division of Watts Water Technologies, Inc.
    - 4) Wilkins; a Zurn company.
  - b. Description:
    - 1) Standard: ASSE 1079.
    - 2) Factory-fabricated, bolted, companion-flange assembly.
    - 3) Pressure Rating: 150 psig.
    - 4) End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.
- 4. Dielectric-Flange Insulating Kits:
  - a. M Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) Advance Products & Systems, Inc.
    - 2) Calpico, Inc.
    - 3) Pipeline Seal and Insulator, Inc.
  - b. Description:

- 1) Nonconducting materials for field assembly of companion flanges.
- 2) Pressure Rating: 150 psig.
- 3) Gasket: Neoprene or phenolic.
- 4) Bolt Sleeves: Phenolic or polyethylene.
- 5) Washers: Phenolic with steel-backing washers.

# 5. Dielectric Nipples:

- a. <u>Manufacturers</u>: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1) Elster Perfection.
  - 2) Grinnell Mechanical Products.
  - 3) Matco-Norca, Inc.
  - 4) Precision Plumbing Products, Inc.
  - 5) <u>Victaulic Company</u>.
- b. Description:
  - 1) Electroplated steel nipple complying with ASTM F 1545.
  - 2) Pressure Rating: 300 psig at 225 deg F.
  - 3) End Connections: Male threaded or grooved.
  - 4) Lining: Inert and noncorrosive, propylene.

#### **PART 3 - EXECUTION**

### 3.1 EARTH MOVING

A. Comply with requirements for excavating, trenching, and backfilling specified in Section 312000 "Earth Moving."

### 3.2 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations from layout are approved on coordination drawings.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping to permit valve servicing.
- F. Install piping at indicated slopes.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Install piping to allow application of insulation.

- 221413-5
- J. Install seismic restraints on piping. Comply with requirements for seismic-restraint devices specified in Section 220548 "Vibration and Seismic Controls for Plumbing Piping and Equipment."
- K. Make changes in direction for storm drainage piping using appropriate branches, bends, and long-sweep bends. Do not change direction of flow more than 90 degrees. Use proper size of standard increasers and reducers if pipes of different sizes are connected. Reducing size of drainage piping in direction of flow is prohibited.
- L. Lay buried building storm drainage piping beginning at low point of each system. Install true to grades and alignment indicated, with unbroken continuity of invert. Place hub ends of piping upstream. Install required gaskets according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements. Maintain swab in piping and pull past each joint as completed.
- M. Install storm drainage piping at the following minimum slopes unless otherwise indicated:
  - 1. Building Storm Drain: 2 percent downward in direction of flow for piping NPS 3 and smaller; 1 percent downward in direction of flow for piping NPS 4 and larger.
  - 2. Horizontal Storm-Drainage Piping: 2 percent downward in direction of flow.
- N. Install cast-iron soil piping according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook," Chapter IV, "Installation of Cast Iron Soil Pipe and Fittings."
  - 1. Install encasement on underground piping according to ASTM A 674 or AWWA C105.
- O. Plumbing Specialties:
  - 1. Install cleanouts at grade and extend to where building storm drains connect to building storm sewers in storm drainage gravity-flow piping. Install cleanout fitting with closure plug inside the building in storm drainage force-main piping. Comply with requirements for cleanouts specified in Section 221423 "Storm Drainage Piping Specialties."
  - 2. Install drains in storm drainage gravity-flow piping. Comply with requirements for drains specified in Section 221423 "Storm Drainage Piping Specialties."
- P. Do not enclose, cover, or put piping into operation until it is inspected and approved by authorities having jurisdiction.
- Q. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- R. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- S. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 220518 "Escutcheons for Plumbing Piping."

#### 3.3 JOINT CONSTRUCTION

- A. Hub-and-Spigot, Cast-Iron Soil Piping Gasketed Joints: Join according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for compression joints.
- B. Hubless, Cast-Iron Soil Piping Coupled Joints: Join according to CISPI 310 and CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for hubless-piping coupling joints.

## 3.4 SPECIALTY PIPE FITTING INSTALLATION

A. Transition Couplings:

- 1. Install transition couplings at joints of piping with small differences in OD's.
- 2. In Drainage Piping: Shielded, nonpressure transition couplings.
- 3. In Aboveground Force-Main Piping: Fitting-type transition couplings.
- 4. In Underground Force-Main Piping:
  - a. NPS 1-1/2 and Smaller: Fitting-type transition couplings.
  - b. NPS 2 and Larger: Pressure transition couplings.
- B. Dielectric Fittings:
  - 1. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
  - 2. Dielectric Fittings for NPS 2 and Smaller: Use dielectric nipples.
  - 3. Dielectric Fittings for NPS 2-1/2 to NPS 4: Use dielectric flange kits.
  - 4. Dielectric Fittings for NPS 5 and Larger: Use dielectric flange kits.

## 3.5 VALVE INSTALLATION

A. General valve installation requirements are specified in Section 220523 "General-Duty Valves for Plumbing Piping."

### 3.6 HANGER AND SUPPORT INSTALLATION

- A. Comply with requirements for seismic-restraint devices specified in Section 220548 "Vibration and Seismic Controls for Plumbing Piping and Equipment."
- B. Comply with requirements for pipe hanger and support devices and installation specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
  - 1. Install carbon-steel pipe hangers for horizontal piping in noncorrosive environments.
  - 2. Install carbon-steel pipe support clamps for vertical piping in noncorrosive environments.
  - 3. Vertical Piping: MSS Type 8 or Type 42, clamps.
  - 4. Individual, Straight, Horizontal Piping Runs:
    - a. 100 Feet and Less: MSS Type 1, adjustable, steel clevis hangers.
    - b. Longer Than 100 Feet: MSS Type 43, adjustable roller hangers.
    - c. Longer Than 100 Feet if Indicated: MSS Type 49, spring cushion rolls.
  - 5. Multiple, Straight, Horizontal Piping Runs 100 Feet or Longer: MSS Type 44, pipe rolls. Support pipe rolls on trapeze.
  - 6. Base of Vertical Piping: MSS Type 52, spring hangers.
- C. Support horizontal piping and tubing within 12 inches of each fitting[, valve,] and coupling.
- D. Support vertical piping and tubing at base and at each floor.
- E. Rod diameter may be reduced one size for double-rod hangers, with 3/8-inch minimum rods.
- F. Install hangers for cast-iron soil piping with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 1-1/2 and NPS 2: 60 inches with 3/8-inch rod.
  - 2. NPS 3: 60 inches with 1/2-inch rod.
  - 3. NPS 4 and NPS 5: 60 inches with 5/8-inch rod.
  - 4. NPS 6 and NPS 8: 60 inches with 3/4-inch rod.
  - 5. NPS 10 and NPS 12: 60 inches with 7/8-inch rod.
  - 6. Spacing for 10-foot pipe lengths may be increased to 10 feet. Spacing for fittings is limited to 60 inches.
- G. Install supports for vertical cast-iron soil piping every 15 feet.

H. Support piping and tubing not listed above according to MSS SP-69 and manufacturer's written instructions.

## 3.7 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Connect interior storm drainage piping to exterior storm drainage piping. Use transition fitting to join dissimilar piping materials.
- C. Connect storm drainage piping to roof drains and storm drainage specialties.
  - Install test tees (wall cleanouts) in conductors near floor, and floor cleanouts with cover flush with floor.
  - 2. Comply with requirements for cleanouts and drains specified in Section 221423 "Storm Drainage Piping Specialties."
- D. Where installing piping adjacent to equipment, allow space for service and maintenance of equipment.
- E. Make connections according to the following unless otherwise indicated:
  - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
  - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.

# 3.8 IDENTIFICATION

A. Identify exposed storm drainage piping. Comply with requirements for identification specified in Section 220553 "Identification for Plumbing Piping and Equipment."

## 3.9 FIELD QUALITY CONTROL

- A. During installation, notify authorities having jurisdiction at least 24 hours before inspection must be made. Perform tests specified below in presence of authorities having jurisdiction.
  - 1. Roughing-in Inspection: Arrange for inspection of piping before concealing or closing-in after roughing-in.
  - 2. Final Inspection: Arrange for final inspection by authorities having jurisdiction to observe tests specified below and to ensure compliance with requirements.
- B. Reinspection: If authorities having jurisdiction find that piping will not pass test or inspection, make required corrections and arrange for reinspection.
- C. Test storm drainage piping according to procedures of authorities having jurisdiction or, in absence of published procedures, as follows:
  - 1. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit separate report for each test, complete with diagram of portion of piping tested.
  - 2. Leave uncovered and unconcealed new, altered, extended, or replaced storm drainage piping until it has been tested and approved. Expose work that was covered or concealed before it was tested.
  - 3. Test Procedure: Test storm drainage piping on completion of roughing-in. Close openings in piping system and fill with water to point of overflow, but not less than 10-foot head of water. From 15 minutes before inspection starts until completion of inspection, water level must not drop. Inspect joints for leaks.

- 4. Repair leaks and defects with new materials and retest piping, or portion thereof, until satisfactory results are obtained.
- 5. Prepare reports for tests and required corrective action.
- D. Reports: Prepare inspection and test reports and have them signed by authorities having jurisdiction. Submit all reports to Architect.

## 3.10 CLEANING

- A. Clean interior of piping. Remove dirt and debris as work progresses.
- B. Protect drains during remainder of construction period to avoid clogging with dirt and debris and to prevent damage from traffic and construction work.
- C. Place plugs in ends of uncompleted piping at end of day and when work stops.

#### 3.11 PIPING SCHEDULE

- A. Flanges and unions may be used on aboveground pressure piping unless otherwise indicated.
- B. Aboveground storm drainage piping NPS 8 and smaller shall be the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Hubless, cast-iron soil pipe and fittings; heavy-duty, hubless-piping couplings; and coupled joints.
  - 3. Dissimilar Pipe-Material Couplings: Shielded, nonpressure transition couplings.
- C. Underground storm drainage piping NPS 8 and smaller shall be the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Dissimilar Pipe-Material Couplings: Shielded, nonpressure transition couplings.

**END OF SECTION 221413** 

## SECTION 221423 - STORM DRAINAGE PIPING SPECIALTIES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 **SUMMARY**

- A. Section Includes:
  - 1. Roof drains.
  - 2. Miscellaneous storm drainage piping specialties.
  - 3. Cleanouts.
  - 4. Through-penetration firestop assemblies.
  - 5. Flashing materials.

## 1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

## 1.4 QUALITY ASSURANCE

A. Drainage piping specialties shall bear label, stamp, or other markings of specified testing agency.

#### PART 2 - PRODUCTS

#### 2.1 METAL ROOF DRAINS

- A. Cast-Iron, Large-Sump, Combination Roof and Overflow Roof Drains:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Zurn Plumbing Products Group; Specification Drainage Operation</u>. Equal to Z164
    - b. <u>Josam Company</u>.
    - c. MIFAB, Inc.
    - d. Smith, Jay R. Mfg. Co.
    - e. Tyler Pipe.
    - f. Watts Water Technologies, Inc.
  - 2. Standard: ASME A112.6.4, for general-purpose roof drains.
  - 3. Body Material: Cast iron.
  - 4. Dimension of Body: Nominal 12-inch diameter.
  - 5. Combination Flashing Ring and Gravel Stop: Required.
  - 6. Outlet: Bottom.
  - 7. Extension Collars: Required.
  - 8. Underdeck Clamp: Required.
  - 9. Sump Receiver Plate: Required.
  - 10. Dome Material: Aluminum.
  - 11. Water Dam on Secondary overflow: 2 inches high.

## 2.2 MISCELLANEOUS STORM DRAINAGE PIPING SPECIALTIES

# A. Downspout Nozzles Nozzles:

- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. Zurn Plumbing Products Group; Specification Drainage Operation. Equal to Z199
  - b. Josam Company.
  - c. MIFAB, Inc.
  - d. Smith, Jay R. Mfg. Co.
  - e. Tyler Pipe.
  - f. Watts Water Technologies, Inc.
- 2. Description: Bronze body with threaded inlet and bronze wall flange with mounting holes.
- 3. Size: Same as connected conductor.
- 4. Removable Screen: Stainless steel

## 2.3 CLEANOUTS

### A. Floor Cleanouts FCO:

- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Josam Company</u>.
  - b. Smith, Jay R. Mfg. Co.
  - c. Tyler Pipe.
  - d. Watts Water Technologies, Inc.
  - e. Zurn Plumbing Products Group; Specification Drainage Operation.
- 2. Standard: ASME A112.36.2M, for adjustable housing cast-iron soil pipe with cast-iron ferrule cleanouts.
- 3. Size: Same as connected branch.
- 4. Body or Ferrule Material: Cast iron.
- 5. Clamping Device: Not required.
- 6. Outlet Connection: Spigot.
- 7. Closure: Brass plug with straight threads and gasket.
- 8. Adjustable Housing Material: Cast iron with threads.
- 9. Frame and Cover Material and Finish: Nickel-bronze, copper alloy.
- 10. Frame and Cover Shape: Round.
- 11. Top-Loading Classification: Medium Duty.
- 12. Riser: ASTM A 74, Service class, cast-iron drainage pipe fitting and riser to cleanout.

#### B. Test Tees:

- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Josam Company</u>.
  - b. MIFAB, Inc.
  - c. Smith, Jay R. Mfg. Co.
  - d. Tyler Pipe.
  - e. Watts Water Technologies, Inc.

- f. Zurn Plumbing Products Group; Specification Drainage Operation.
- 2. Standard: ASME A112.36.2M and ASTM A 74, ASTM A 888, or CISPI 301, for cleanout test tees.
- 3. Size: Same as connected drainage piping.
- 4. Body Material: Hub-and-spigot, cast-iron soil-pipe T-branch or hubless, cast-iron soil-pipe test tee as required to match connected piping.
- 5. Closure Plug: Countersunk, brass.
- 6. Closure Plug Size: Same as or not more than one size smaller than cleanout size.

## C. Wall Cleanouts WCO:

- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. Josam Company.
  - b. MIFAB, Inc.
  - c. Smith, Jay R. Mfg. Co.
  - d. Tyler Pipe.
  - e. Watts Water Technologies, Inc.
  - f. Zurn Plumbing Products Group; Specification Drainage Operation.
- 2. Standard: ASME A112.36.2M, for cleanouts. Include wall access.
- 3. Size: Same as connected drainage piping.
- 4. Body Material: Hubless, cast-iron soil-pipe test tee as required to match connected piping.
- 5. Closure: Countersunk, cast-iron plug.
- 6. Closure Plug Size: Same as or not more than one size smaller than cleanout size.
- 7. Wall Access: Round, flat, chrome-plated brass or stainless-steel cover plate with screw.
- 8. Wall Access: Round, nickel-bronze, copper-alloy, or stainless-steel wall-installation frame and cover.

#### 2.4 THROUGH-PENETRATION FIRESTOP ASSEMBLIES

- A. Through-Penetration Firestop Assemblies :
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ProSet Systems Inc.
  - 2. Standard: ASTM E 814, for through-penetration firestop assemblies.
  - 3. Certification and Listing: Intertek Testing Service NA for through-penetration firestop assemblies.
  - 4. Size: Same as connected pipe.
  - 5. Sleeve: Molded PVC plastic, of length to match slab thickness and with integral nailing flange on one end for installation in cast-in-place concrete slabs.
  - 6. Stack Fitting: ASTM A 48/A 48M, gray-iron, hubless-pattern, wye branch with neoprene O-ring at base and gray-iron plug in thermal-release harness. Include PVC protective cap for plug.
  - 7. Special Coating: Corrosion resistant on interior of fittings.

#### 2.5 FLASHING MATERIALS

A. Copper Sheet: ASTM B 152/B 152M,12 oz./sq. ft..

- B. Zinc-Coated Steel Sheet: ASTM A 653/A 653M, with 0.20 percent copper content and 0.04-inch minimum thickness unless otherwise indicated. Include G90 hot-dip galvanized, mill-phosphatized finish for painting if indicated.
- C. Elastic Membrane Sheet: ASTM D 4068, flexible, chlorinated polyethylene, 40-mil minimum thickness.
- D. Fasteners: Metal compatible with material and substrate being fastened.
- E. Metal Accessories: Sheet metal strips, clamps, anchoring devices, and similar accessory units required for installation; matching or compatible with material being installed.
- F. Solder: ASTM B 32, lead-free alloy.

#### **PART 3 - EXECUTION**

## 3.1 INSTALLATION

- A. Install roof drains at low points of roof areas according to roof membrane manufacturer's written installation instructions.
  - 1. Install flashing collar or flange of roof drain to prevent leakage between drain and adjoining roofing. Maintain integrity of waterproof membranes where penetrated.
  - 2. Install expansion joints, if indicated, in roof drain outlets.
  - 3. Position roof drains for easy access and maintenance.
- B. Install downspout boots at grade with top 12 inches above grade. Secure to building wall.
- C. Install conductor nozzles at exposed bottom of conductors where they spill onto grade.
- D. Install cleanouts in aboveground piping and building drain piping according to the following instructions unless otherwise indicated:
  - 1. Use cleanouts the same size as drainage piping up to NPS 4. Use NPS 4 for larger drainage piping unless larger cleanout is indicated.
  - 2. Locate cleanouts at each change in direction of piping greater than 45 degrees.
  - 3. Locate cleanouts at minimum intervals of 50 feet for piping NPS 4 and smaller and 100 feet for larger piping.
  - 4. Locate cleanouts at base of each vertical soil and waste stack.
- E. For floor cleanouts for piping below floors, install cleanout deck plates with top flush with finished floor.
- F. For cleanouts located in concealed piping, install cleanout wall access covers, of types indicated, with frame and cover flush with finished wall.
- G. Install test tees in vertical conductors and near floor.
- H. Install wall cleanouts in vertical conductors. Install access door in wall if indicated.
- I. Install through-penetration firestop assemblies in plastic conductors at concrete floor penetrations.
- J. Install sleeve flashing device with each conductor passing through floors with waterproof membrane.
- K. COMBINATION MAIN ROOF AND OVERFLOW DRAINS: All four sides and middle of deck plate must be supported adequately, subject to the approval of the project's structural engineer.

# STORM DRAINAGE PIPING SPECIALTIES

## 3.2 CONNECTIONS

A. Comply with requirements for piping specified in Section 221413 "Facility Storm Drainage Piping." Drawings indicate general arrangement of piping, fittings, and specialties.

# 3.3 FLASHING INSTALLATION

- A. Fabricate flashing from single piece of metal unless large pans, sumps, or other drainage shapes are required. Join flashing according to the following if required:
  - 1. Lead Sheets: Burn joints of 6.0-lb/sq. ft. lead sheets, 0.0938-inch thickness or thicker. Solder joints of 4.0-lb/sq. ft. lead sheets, 0.0625-inch thickness or thinner.
  - 2. Copper Sheets: Solder joints of copper sheets.
- B. Install sheet flashing on pipes, sleeves, and specialties passing through or embedded in floors and roofs with waterproof membrane.
  - 1. Pipe Flashing: Sleeve type, matching the pipe size, with a minimum length of 10 inches and with skirt or flange extending at least 8 inches around pipe.
  - 2. Sleeve Flashing: Flat sheet, with skirt or flange extending at least 8 inches around sleeve.
  - 3. Embedded Specialty Flashing: Flat sheet, with skirt or flange extending at least 8 inches around specialty.
- C. Set flashing on floors and roofs in solid coating of bituminous cement.
- D. Secure flashing into sleeve and specialty clamping ring or device.
- E. Fabricate and install flashing and pans, sumps, and other drainage shapes.

## 3.4 PROTECTION

- A. Protect drains during remainder of construction period to avoid clogging with dirt or debris and to prevent damage from traffic or construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

**END OF SECTION 221423** 

## GENERAL PROVISIONS FOR MECHANICAL WORK

## SECTION 230001 - GENERAL PROVISIONS FOR MECHANICAL WORK

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- Drawings and general provisions of the Contract, including General and Supplementary A. Conditions and Division 01 Specification Sections, apply to this Section.
- B. Requirements of this Section apply to work in every Section of Division 23 equally as if incorporated therein.

#### 1.2 WORK INCLUDED

Work included in Division 23 - Mechanical: Materials, equipment, fabrication, installation and A. tests in conformity with applicable codes and authorities having jurisdiction for Mechanical Work covered by all sections within this Division.

#### 1.3 **SCOPE**

- Division of the Specification into sections is for the purpose of simplification alone. A. Responsibility for the work of various trades shall rest with the Contractor. Various sections of this Division are related to each other as well as the mechanical drawings. Examine all drawings and read all applicable parts of the project manual in order to ensure complete execution of all work in this Division, coordinating where required with other trades in order to avoid conflicts.
- These specifications and accompanying drawings are intended to cover the furnishing of all labor, B. materials, equipment and services necessary for the complete installation and acceptable performance of the mechanical systems. Small items of material, equipment and appurtenances not mentioned in detail or shown on the drawings, but necessary for complete and operating systems shall be provided by this contractor without additional charge to the Owner and shall be included under this contract.
- C. In general, specifications establish the quality of material, equipment and workmanship. The contract documents are intended to secure for the Owner, a first-class installation in every respect. Labor shall be performed by skilled mechanics, and the entire facility, when delivered to the Owner, shall be ready for satisfactory and efficient operation.
- D. The Contractor shall carefully examine the drawings and specifications before accepting the contract. He shall call attention to any changes or additions which, in his opinion, are necessary to make possible the fulfillment of any guarantee called for by these specifications; failing which, it shall be deemed that he has accepted full responsibility for all such guarantees.
- The contractor shall put his work in place as fast as is reasonably possible. He shall, at all times, E. keep a competent foreman in charge of the work, to make decisions necessary for the diligent advancement of the work. The Contractor shall facilitate the inspection of the work by the Owner's Representative.

# GENERAL PROVISIONS FOR MECHANICAL WORK

- F. The Contractor shall coordinate all work in the building in order to facilitate intelligent execution of the work. He shall also remove any rubbish as expeditiously as possible.
- G. Materials or products specified herein and/or indicated on the drawings by trade's names, manufacturer's names, model number or catalog numbers establish the quality of materials or products to be furnished. Model numbers are to be confirmed by the manufacturer to provide required capacities and material to meet the specifications and design intent. In no instance shall an obsolete, incomplete or inaccurate trade name, manufacturer name, model number or catalog number indicated on the drawings, result in additional charges to the owner.
- H. Points of connection or continuation of work under this contract are so marked on drawings or herein specified. In case of any doubt as to the required exact location of such points, the Owner's Representative shall decide and direct.
- I. The plumbing contractor shall provide water services to within two (2) feet of HVAC equipment requiring same, and shall terminate service with a shutoff valve. The mechanical contractor shall make the final connection to the equipment.

# 1.4 REFERENCE STANDARDS, CODES AND REGULATIONS

- A. Requirements of Regulatory Agencies:
  - Nothing contained in these specifications or shown on the drawings shall be construed to conflict with any State or local laws, ordinances, rules and regulations, the UL and NFPA regulations. The Contractor shall make all changes required by the enforcing authorities. Where alterations to and / or deviations from the Contract Documents are required by the authorities having jurisdiction, report the requirements to the Engineer and secure acceptance before work is started. All such changes shall be made in a manner acceptable to the Engineer and shall be made without cost to the Owner.
  - 2. When drawings or specifications exceed requirements of applicable laws, ordinances, rules and regulations, comply with documents establishing the more stringent requirement. All work shall be done in full conformity with the requirements of all authorities having jurisdiction. Installation shall be made in compliance with all applicable regulations, and utility company rules, all of which shall be considered a part of this specification and shall take precedence in the order of listing.
  - 3. It is not the intent of drawings or specifications to repeat requirements of codes except where necessary for completeness in individual sections.
- B. Published specifications, standards, tests or recommended method of trade, industry or governmental organizations as listed below apply to all work in this Division, in addition to other standards which may be specified in individual sections:
  - 1. AABC Associated Air Balance Council
  - 2. ADC Air Diffuser Balance Council
  - 3. AMCA Air Moving and Conditioning Association
  - 4. AGA American Gas Association
  - 5. ANSI American National Standards Institute
  - 6. ARI Air Conditioning and Refrigeration Institute
  - 7. ASHRAE American Society of Heating, Refrigeration and Air Conditioning Engineers
  - 8. ASME American Society of Mechanical Engineers
  - 9. ASTM American Society for Testing and Materials

10.	CISPI	Cast Iron Soil Pipe Institute
11.	ETL	ETL Testing Laboratories
12.	FMS	Factory Mutual Engineering and Research Corporation
13.	NAPHCC	National Standard Plumbing Code
14.	NEMA	National Electrical Manufacturer's Association
15.	NFPA	National Fire Protection Association
16.	NBFU	National Board of Fire Underwriters
17.	NEC	National Electric Code
18.	OSHA	Occupational Safety and Health Administration
19.	PDI	Plumbing Drainage Institute
20.	<b>SMACNA</b>	Sheet Metal & Air Conditioning Contractors National Association
21.	UL	Underwriters Laboratories, Inc.

C. Furnish and file with the proper authorities, all drawings required by them in connection with the work. Contractor shall secure and obtain all approvals, permits, licenses and inspections and pay all legal and proper fees and charges in this connection, before commencing work in order to avoid delays during construction. He shall deliver the official records of the granting of the permits, etc., to the Owner's Representative.

#### 1.5 1.5 QUALITY ASSURANCE

- All equipment and accessories to be the product of a manufacturer regularly engaged in its A. manufacture.
- B. Supply all equipment and accessories new and free from defects.
- C. Supply all equipment and accessories in compliance with the applicable standards listed in Article 1.4 of this section with all applicable national, state and local codes.
- All items of a given type shall be the product of same manufacturer. D.

#### 1.6 **DESCRIPTION OF BID DOCUMENTS**

- A. Specifications:
  - Specifications, in general, describe quality and character of materials and equipment. 1.
  - Specifications are of simplified form and include incomplete sentences. 2.
  - 3. Words or phrases such as "The Contractor shall", "shall be", "furnish", "provide", "a", "an", "the", and "all" may have been omitted for brevity.
- B. Drawings: Mechanical drawings under this contract are made a part of these specifications. Deviations from these specifications as noted below must have the approval of the Engineer or Construction Manager without an increase in contract price.
  - The drawings shall be considered as being diagrammatic and for bidding purposes only. Intention is to show size, capacity, approximate location, direction and general relationship of one work phase to another, but not exact detail or arrangement. The attention of the contractor is called to the fact that while these drawings are generally to scale and are made as accurately as the scale will permit, all critical dimensions shall be determined in the field. They are not to be considered as erection drawings.

- 2. The drawings do not indicate every fitting, elbow, offset, valve, etc. which is required to complete the job. Contractor shall prepare field erection drawings as required for the use of his mechanics to insure proper installation.
- 3. Scaled and figured dimensions are approximate and are for estimating purposes only. Indicated dimensions are limiting dimensions.
- 4. Before proceeding with work check and verify all dimensions in field.
- 5. Assume all responsibility for fitting of materials and equipment to other parts of equipment and structure.
- 6. Make adjustments that may be necessary or requested in order to resolve space problems, preserve headroom, and avoid architectural openings, structural members and work of other trades.
- 7. For exact locations of building elements, refer to dimensional Architectural/Structural drawings.
- C. Description of systems: Provide all materials to provide functioning systems in compliance with performance requirements specified, and any modifications resulting from reviewed shop drawings and field coordinated drawings.
  - 1. Installation of all systems and equipment is subject to clarification as indicated in reviewed shop drawings and field coordination drawings.
- D. Do not use equipment exceeding dimensions indicated or equipment or arrangements that reduce required clearances or exceed specified maximum dimensions.
- E. If any part of Specifications or Drawings appears unclear or contradictory, apply to Architect for his interpretation and decision as early as possible, including during bidding period.
  - 1. Do not proceed with work without Engineer's decision.

# 1.7 EQUIPMENT MANUFACTURERS

- A. The first named manufacturer is used as the basis of design. Other named manufacturers are identified as equivalent manufacturers, not equivalent products. Naming other manufacturers does not necessarily imply conformance of any specific product with the written specifications.
- B. The contractor is required to verify that equipment and material to be used on the project meets the requirements of the specifications and will physically fit the available space, clearance and service requirements of the particular piece of equipment and include all pertinent information when he submits material for acceptance. Contractor shall also be responsible for and bear the cost of any modifications to openings available or anticipated as being available for rigging equipment to its final installation place. This shall include openings in exterior envelope, walls and roofs, interior walls, corridors, passage ways or door openings. Any on site dismantling and any reassembly of equipment made necessary by impediment to the rigging of said equipment shall be the sole responsibility of the contractor.
- C. Contract document indicates power and physical requirements based on the equipment manufacturer's data as first named. If equipment requiring more system capacity is furnished, the contractor shall be responsible for the cost associated with modifying the design and installation of associated services, including any redesign costs associated with the engineer's review.

## 1.8 **DEFINITIONS**

- A. "Provide": To supply, furnish, install and connect up complete and ready safe and regular operation of particular work referred to unless specifically noted.
- B. "Install": To erect, mount and connect complete with related accessories.
- C. "Supply", "Furnish": To purchase, procure, acquire and deliver complete with related accessories.
- D. "Work": Labor, materials, equipment, apparatus, controls, accessories, and other items required for proper and complete installation.
- E. "Piping": Pipe, tube, fittings, flanges, valves, controls, strainers, hangers, supports, unions, traps, drains, insulation, and related items.
- F. "Wiring": Raceway, fittings, wire, boxes and related items.
- G. "Concealed": Items referred to as hidden from normal sight, embedded in masonry or other construction, installed in furred spaces, within double partitions or hung ceilings, in trenches, in crawl spaces, or in enclosures.
- H. "Exposed": Not installed underground or "concealed" as defined above.
- I. "Indicated", "Shown", or "Noted": As indicated, shown or noted on drawings or specifications.
- J. "Directed": Directed by Engineer.
- K. "Similar" or "Equal": Of base bid manufacture, equal in materials, weight, size, design, and efficiency of specified product.
- L. "Reviewed", "Satisfactory", or "Directed": As reviewed, satisfactory, or directed by or to Engineer.
- M. "Motor Controllers": Manual or magnetic starters (with or without switches), individual pushbuttons or hand-off-automatic (HOA) switches controlling the operation of motors.
- N. "Control or Actuating Devices": Automatic sensing and switching devices such as thermostats, pressure, float, electro-pneumatic switches and electrodes controlling operation of equipment.
- O. "Remove": Dismantle, demolish and take away from the site and dispose of in accordance with all applicable rules and regulations or, should the Owner so require, deliver to a location as designated by the Owner for the use of the Owner, at no additional cons to the Owner.
- P. "Replace": Remove existing and provide an equivalent product or material as specified.
- Q. "Extract (and Reinstall)": Carefully disassemble, dismantle existing, save or store where directed by the Owner, in such a manner as to preserve the existing condition and reinstall as indicated on the drawings or as described in the specifications.

R. Where any device or piece of equipment is referred to in the singular number, such reference shall be deemed to apply to as many devices as are required to complete the installation.

## 1.9 **JOB CONDITIONS**

- A. This contractor shall investigate all conditions affecting his work and shall provide such offsets, fittings, valves, sheet metal work, etc., as may be required to meet conditions at the building.
- B. The contractor shall verify all measurements at the building site and shall be responsible for the correctness of same before ordering materials or before starting work of any Section.
  - 1. Report to Architect, in writing, conditions which will prevent proper provision of this work.
  - 2. Beginning work of any Section without reporting unsuitable conditions to Architect constitutes acceptance of conditions by Contractor.
  - 3. Perform any required removal, repair or replacement of this work caused by unsuitable conditions at no additional cost to Owner.
- C. Piping and ductwork shall be concealed or run behind furring in finished spaces unless otherwise noted to be run exposed.
- D. Horizontal piping and ductwork not run below slabs on grade shall be run as close as possible to underside of roof or floor slab above and parallel to building lines. Maintain maximum headroom in all areas.
- E. Determine possible interference between trades before the work is fabricated or installed. The contractor must coordinate his work to insure that erection will proceed without such interference. Coordination is of paramount importance and no request for additional payment will be considered where such request is based upon interference between trades.
- F. Connections to Existing Work:
  - 1. Install new work and connect to existing work with minimum of interference to existing facilities.
  - 2. Temporary shutdowns of existing services:
    - a. At no additional charges
    - b. At times not to interfere with normal operation of existing facilities.
    - c. Only with written consent of Owner.
  - 3. Maintain continuous operation of existing facilities as required with necessary temporary connections between new and existing work.
  - 4. Restore existing disturbed work to original condition.
- G. Removal, extraction and relocation of existing work.
  - The work includes demolition or removal of all construction indicated or specified. All materials resulting from demolition work, except as indicated or specified otherwise, shall become the property of the Contractor and shall be removed from the site. Rubbish and debris shall be removed from the site daily unless otherwise directed so as to not allow accumulation inside or outside the building. Materials that cannot be removed daily shall be stored in areas specified by the Owner.
  - 2. Title to all materials and equipment to be demolished, excepting Owner salvage and historical items, is vested in the Contractor upon receipt of notice to proceed. The Owner

- will not be responsible for the condition, loss or damage to such property after notice to proceed.
- 3. The Owner reserves the "Right of First Refusal" on all material for salvage. Material for salvage shall be stored as approved by the Owner. Salvage materials shall be removed from the site before completion of the Contract. Material for salvage shall not be sold on the site.
- 4. Property of the Owner: Salvaged items remaining the property of the Owner shall be removed in a manner to prevent damage and packed or crated to protect the items from damage while in storage or during shipment and relocated by the contractor at no cost, to the Owners designated storage facility on the site. Containers shall be properly identified as to contents.
- 5. Damaged Items: Items damaged during removal or storage shall be repaired or replaced to match existing.
- 6. Disconnect, remove or relocate material, equipment, plumbing fixtures, piping and other work noted and required by removal or changes in existing conditions.
- 7. Where existing pipes, conduits and/or ducts which are to remain prevent installation of new work as indicated, relocate, or arrange for relocation, of existing pipes, conduits, and/or ducts.
- 8. Provide new material and equipment required for relocated equipment.
- 9. Plug or cap active piping or ductwork behind or below finish.
- 10. Do not leave long dead-end branches.
  - a. Cap or plug as close as possible to active line.
- 11. Remove unused piping, ductwork and equipment.
- 12. Dispose of unusable piping, ductwork and material.

## 1.10 CLEARANCE FROM ELECTRICAL EQUIPMENT

- A. Piping or ductwork:
  - 1. Prohibited, except as noted, in:
    - a. Electric rooms and closets.
    - b. Telephone rooms and closets.
    - c. Elevator machine rooms.
    - d. Electric switchboard room.
  - 2. Prohibited, except as noted, over or within 5 ft. of:
    - a. Transformers.
    - b. Substations.
    - c. Switchboards.
    - d. Motor control centers.
    - e. Standby power plant.
    - f. Bus ducts.
    - g. Electrical panels.
  - 3. Drip pans under piping:
    - a. Only where unavoidable and approved.
    - b. 18 gauge galvanized steel.
      - 1) With bituminous paint coating.
    - c. Reinforced and supported.
    - d. Watertight.
    - e. With 1-1/4 inch drain outlet piped to floor drain or service sink.

## 1.11 TEMPORARY FACILITIES

A. Temporary facilities are not included within this Section.

## 1.12 SPECIAL TOOLS

- A. Furnish to Owner at completion of work:
  - 1. One set of any special tools required to operate, adjust, dismantle or repair equipment furnished under any section of the Division.
  - 2. "Special tools": those not normally found in possession of mechanics or maintenance personnel.
  - 3. One pressure grease gun for each type of grease required.
    - a. With adapters to fit all lubricating fittings on equipment.
    - b. Include lubricant for lubricated plug valves.

# 1.13 PRODUCT DELIVERY, HANDING AND STORAGE

- A. Provide adequate and secure storage facilities for materials and equipment during the progress of the work.
- B. Contractor shall be responsible for the condition of all materials and equipment employed in the mechanical installation until final acceptance by the Owner. Protect same from any cause whatsoever.
- C. Where necessary, ship in crated sections of size to permit passing through available space.
- D. Ship equipment in original packages, to prevent damaging or entrance of foreign matter.
- E. Handle and ship in accordance with manufacturer's recommendations.
- F. Provide protective coverings during construction.
- G. Replace at no expense to Owner, equipment or material damaged during storage or handling, as directed by Engineer.
- H. Tag all items with weatherproof tag, identifying equipment by name and purchase order number.
- I. Include packing and shipping lists.
- J. Adhere to special requirements as specified in individual sections.

## 1.14 PROTECTION OF MATERIALS

- A. Protect from damage, water, dust, etc., material, equipment and apparatus provided under this Division, both in storage and installed, until Notice of Completion has been filed.
- B. Provide temporary storage facilities for materials and equipment.

14428.11

- C. Material, equipment or apparatus damaged because of improper storage or protection will be rejected.
  - 1. Remove from site and provide new, duplicate, material, equipment or apparatus in replacement of that rejected.
- D. Cover motors and other moving machinery to protect from dirt and water during construction. Rotate moving equipment, shafts, bearings, motors etc. to prevent corrosion and to circulate lubricants.
- E. Protect premises and work of other Divisions from damage arising out of installation of work of this Division.
  - 1. Contractor shall be responsible for the replacement of all damaged or defective work, materials or equipment. Do not install sensitive or delicate equipment until major construction work is completed.
  - 2. Remove replaced parts from premises.
- F. Make good any damage to the work caused by floods, storms, accidents, acts of God, acts of negligence, strikes, violence or theft up to time of final acceptance by the Owner.
- G. Do not leave any mechanical work in a hazardous condition, even temporarily.

#### 1.15 REVIEW OF CONSTRUCTION

- A. Work may be reviewed at any time by representative of the Engineer.
- B. Advise Architect and Engineer that work is ready for review at following times:
  - 1. Prior to backfilling buried work.
  - 2. Prior to concealment of work in walls and above ceilings.
  - 3. When all requirements of Contract have been completed.
- C. Neither backfill nor conceal work without Engineer's consent.
- D. Maintain on job a set of Specifications and Drawings for use by Engineer's representatives.

## 1.16 SCHEDULE OF WORK

- A. Arrange work to conform to schedule of construction established or required to comply with Contract Documents.
- B. In scheduling, anticipate means of installing equipment through available openings in structure.
- C. Confirm in writing to Architect and Engineer, within 30 days of signing of contract, anticipated number of days required to perform test, balance, and acceptance testing of mechanical systems.
  - 1. This phase must occur after completion of mechanical systems, including all control calibration and adjustment, and requires substantial completion of the building, including closure, ceilings, lighting, partitioning, etc.
  - 2. Submit for approval at this time, names and qualifications of test and balancing agencies to be used.

230001-10

- D. Arrange with Owner schedule for work in each area.
- E. Unless otherwise directed by Owner, perform work during normal working hours.
- F. Work delays:
  - 1. In case noisy work interferes with Owner's operations, Owner may require work to be stopped and performed at some other time, or after normal working hours.

## 1.17 ACCESS TO MECHANICAL WORK

- A. Access doors in walls and ceilings.
- B. Access Units Fire-Resistance Ratings: Where fire-resistance rating is indicated for construction penetrated by access units, provide UL listed-and-labeled units, except for units which are smaller than minimum size requiring ratings as recognized by governing authority.
- C. Product Data, Access Units: Submit manufacturer's technical data and installation instructions for each type of access door assembly, including setting drawings, templates, instructions and directions for installation of anchorage devices.
- D. Furnish to the general contractor all access doors necessary for access through inaccessible wall or ceiling construction, for installation by the general contractor. Information on the size and location of the subject access doors is to be communicated in writing to the general contractors during the bidding period.

#### 1.18 CONCRETE FOR MECHANICAL WORK

- A. Concrete for Mechanical Work
  - 1. Basins and curbs for mechanical equipment.
  - 2. Mechanical equipment foundations and housekeeping pads.
  - 3. Inertia bases for isolation of mechanical work.
  - 4. Rough grouting in and around mechanical work.
  - 5. Patching concrete cut to accommodate mechanical work.
- B. Quality control testing for concrete is required as work of this section.
- C. Concrete Work Codes and Standards:
  - Comply with governing regulations and, where not otherwise indicated, comply with the following industry standards; whichever is the most stringent in its application to work in each instance.
    - ACI 301 "Specifications for Structural Concrete for Buildings"
    - ACI 311 "Recommended Practice for Concrete Inspection"
    - ACI 318 "Building Code Requirements for Reinforced Concrete"
    - ACI 347 "Recommended Practice for Concrete Form work"
    - ACI 304 "Recommended Practice for Measuring, Mixing, Transporting and Placing Concrete"

Concrete Reinforcing Steel Institute's, "Manual of Standard Practice"

- D. Submittals: Shop Drawings, Mechanical Concrete Work: Submit shop drawings for structural type concrete work, showing dimensions of formed shapes of concrete; bending, placement, sizes and spacing of reinforcing steel; location of anchors, isolation units, hangers and similar devices to be integrated with concrete work; and piping penetrations, access openings, inlets and other accessories and work to be accommodated by concrete work.
- E. Laboratory Test Reports, Mechanical Concrete Work: Submit laboratory test reports for concrete work materials, and for tested samples of placed concrete (where required as work of this section).

#### 1.19 NOISE REDUCTION

- A. Cooperate in reducing objectionable noise or vibration caused by mechanical systems.
  - 1. To extent of adjustments to specified and installed equipment and appurtenances.
- B. Correct noise problems caused by failure to install work in accordance with Contract Documents.
  - 1. Include labor and materials required as result of such failure.

## 1.20 CUTTING AND PATCHING

- A. Provide all carpentry, cutting and patching required for proper installation of material and equipment specified.
- B. Do not cut or drill structural members without consent of Architect.

#### 1.21 COORDINATION DRAWINGS

- A. Layout Shop Drawings Required:
  - 1. Prepare layout shop drawings for all areas; minimum 3/8 inch scale.
  - 2. Individual coordinated trade layout drawings are to be prepared for all areas.
  - 3. General Contractor is to assure that each trade has coordinated work with other trades, prior to submittal where submittal is required.
    - a. Include stamp on each submittal indicating that layout shop drawing has been coordinated.
  - 4. No layout shop drawing will be reviewed without stamped and signed coordinated assurance by General Contractor.
  - 5. All changes shall be clearly marked on each submitted layout drawing.
  - 6. Drawings shall show work of all trades including but not limited to'
    - a. Ductwork.
    - b. Piping: All Trades.
    - c. Mechanical Equipment.
    - d. Electrical Equipment.
    - e. Main Electrical conduits and bus ducts.
    - f. Equipment supports and suspension devices.
    - g. Structural and architectural constraints.
    - h. Show location of:
      - 1) 1) Valves
      - 2) 2) Piping specialties
      - 3) 3) Dampers

- 4) 4) Access Doors
- 5) Control and electrical panels
- 6) Disconnect switches
- 7. Drawings shall indicate coordination with work in other Divisions that must be incorporated in mechanical spaces, including, but not limited to:
  - a. Elevator equipment.
  - b. Cable trays not furnished under Division 16.
  - c. Computer equipment.
- 8. Submission of drawings:
  - a. Prepare reproducible drawings.
  - b. Submit to other trades for review of space allocated to all trades.
  - c. Revise drawings to compensate for requirements of existing conditions and conditions created by other trades.
  - d. Review revisions and other trades.
  - e. Submit one reproducible and one blueline print to Engineer for review.
- 9. Final prepared drawings shall show that other trades affected have made reviews and signed, by each trade, at completions of coordination.
  - a. General Contractor
  - b. Include stamp on each submittal indicating that layout shop drawing has been coordinated.
- 10. No layout shop drawing will be reviewed without stamped and signed coordination assurance by General Contractor.

## B. Shop Drawings:

- Layout drawings of mechanical equipment rooms and penthouses showing all related equipment and equipment clearances required by other trades.
- 2. Layout drawings of areas in which it may be necessary to deviate substantially from layout shown on the drawings. Minor transitions in ductwork, if required due to job conditions, need not be submitted as long as the duct area is maintained. Show major relocation of ductwork and major changes in size of ducts. Coordinate shop drawings with all trades prior to ductwork fabrication.
- 3. Details of intermediate structural steel members required to span main structural steel for the support of ductwork.
- 4. Method of attachment of duct hangers to building construction.
- 5. Duct material, gage, type of joints and duct reinforcing for each size range, including sketches or SMACNA plate numbers for joints, method of fabrication and reinforcing.

## 1.22 GUARANTEE

- A. Furnish guarantee covering all work in accordance with general requirements of the contract for minimum period of one year. This personal guarantee shall exist for a period of one (1) year from the date of final acceptance of the work and shall apply to defects in materials and to defective workmanship of any kind.
- B. For factory-assembled equipment and devices on which the manufacturers furnish standard published guarantees as regular trade practice, obtain such guarantees and replace any such equipment that proves defective during the life of these guarantees.

- C. Guarantee all work for which materials are furnished, fabricated or field erected by the contractor, all factory-assembled equipment for which no specific manufacturer's guarantee is furnished, and all work in connection with installing manufacturer's guarantee is furnished, and all work in connection with installing manufacturer's guaranteed equipment.
- D. In the event of failure of any work, equipment or device during the life of the guarantee, repair or replace the equipment or defective work. Remove, replace or restore, at no cost to the Owner, any part of the structure or building which may be damaged either as the direct result of the defective work or in the course of the contractor's making replacement of the defective work or materials. Work shall be done at a time and in a manner as to cause no undue inconvenience to the Owner. Provide new materials, equipment, apparatus and labor to replace that determined by Engineer to be defective or faulty.
- E. This guarantee also applies to services including Instructions, Adjusting, Testing, Noise, Balancing, etc.
- F. Additional equipment and material guarantees and warrantees may be indicated in other sections. In all cases, the more stringent guarantee or warrantee shall be provided.

## PART 2 - PRODUCTS

# 2.1 MATERIALS AND EQUIPMENT QUALITY

- A. Material and equipment furnished under this Division of specification shall be new. Defective or inferior materials must be replaced by contractor at no cost to Owner regardless of the stage of construction. Inferior material shall be defined as material or equipment of a quality or performance less than that specified as determined by the Owner's Representative.
- B. Provide each item of equipment with manufacturer's identification tag which is readily accessible and clearly shows model and size.

## 2.2 ACCESS TO MECHANICAL WORK

- A. Access Doors:
  - General: Where walls and ceilings must be penetrated for access to mechanical work, access doors shall be provided. Furnish adequate size for intended and necessary access. Furnish doors with UL Fire Rating to match wall or ceiling construction. Furnish manufacturer's complete units, of type recommended for application in indicated substrate construction, in each case, complete with anchorages and hardware.
- B. Access Door Construction: Refer to Section 083113 ACCESS DOORS AND FRAMES

#### PART 3 - EXECUTION

# 3.1 FIELD QUALITY CONTROL

A. Tests:

- 1. Perform as specified in individual sections, and as required by authorities having jurisdiction.
- 2. Duration as noted.
- B. Provide required labor, material, equipment, and connections.
- C. Furnish written report and certification those tests have been satisfactorily completed.
- D. Repair or replace defective work, as directed.
- E. Pay for restoring or replacing damaged work due to tests as directed.
- F. Pay for restoring or replacing damaged work of others, due to tests, as directed.

# 3.2 3.2 ACCESS TO MECHANICAL WORK

- A. Coordinate installation and placement of access doors and panels with contractor for general construction.
- B. Remove or replace panels or frames that are warped, bowed, or otherwise damaged.

END OF SECTION 230001

## SECTION 230002 - MECHANICAL AND ELECTRICAL COORDINATION

### PART 1 - GENERAL

#### 1.1 WORK INCLUDED

- Α. Work Included in This Section: Materials, equipment, fabrication, installation, and tests in conformity with applicable codes and authorities having jurisdiction for the following:
  - 1. Motors.
  - 2. Factory-wired equipment (FWE).
  - 3. Factory-wired control panels (FWCP).
  - 4. Motor controllers where provided as part of mechanical equipment.
  - Motor controllers where supplied under Division 23 Mechanical Work. 5.
  - Disconnects and safety switches for mechanical equipment. 6.
  - Fuses for equipment provided, and starters and disconnect switches. 7.
  - 8. Emergency Pushbutton Operator Station.

#### RELATED WORK SPECIFIED ELSEWHERE 1.2

- A. Division 23 - HVAC Instrumentation and Controls, Motors.
- В. Division 26 - Electrical: Installation and Power Wiring of Motor Controllers.

#### 1.3 REFERENCE STANDARDS

- Published specifications standards, tests, or recommended methods of trade, industry or A. governmental organization as apply to work in this section where cited below:
  - ANSI American National Standards Institute. 1.
  - 2. NEMA - National Electrical Manufacturer's Association.
  - IEEE Institute of Electrical and Electronic Engineers. 3.

#### 1.4 **QUALITY ASSURANCE**

- A. All equipment and accessories to be the product of a manufacturer regularly engaged in its manufacture.
- B. Supply all equipment and accessories new and free from defects.
- C. Supply all equipment and accessories in compliance with the applicable standards listed in Article 1.03 of this Section and with all applicable National, State and local codes.
- All items of a given-type shall be the products of the same manufacturer. D.

#### 1.5 DIVISION OF WORK

A. This section delineates the work required to be performed by Contractors under Divisions 23 and 26.

#### 1.6 **WORK REQUIRED UNDER DIVISION 23**

- Furnish motors, manual and combination starters, pushbutton devices, contactors, disconnect A. switches, electric thermostats, low voltage transformers, Emergency Break Glass Stations and other electrical devices required for equipment furnished.
- В. Install all items in piping and ductwork such as control valves, aquastats, ductstats, etc.

- C. All external wiring of equipment, all temperature control wiring, external wiring of control circuits of magnetic starters, interlocking wiring, boiler wiring, Emergency Break Glass Stations, and mounting of control devices, etc., shall be included under Division 23. All external wiring shall be in conduit. (Unless specifically shown to be provided by the Electrical Contractor)
- D. The Electrical Contractor, under Division 26, shall furnish and install all power wiring and conduit to junction box, to disconnect switch on unit, to motor starters and contactors, and between motor starters and contactors to motor or other load. Electrical Contractor shall be responsible for proper direction of rotation for all three phase equipment. The Electrical Contractor shall mount all starters, disconnects.
- E. Wiring required under Division 23 shall comply with the specifications as described in Division 26.
- F. The Plumbing Contractor, under Division 22, shall provide water and natural gas services to within two (2) feet of HVAC equipment requiring same and terminating with shut-off valves. The HVAC Contractor, under Division 23, shall make final connections to equipment.
- G. Provide disconnect switches or safety switches for equipment. (Unless specifically shown to be provided by the Electrical Contractor, starters and disconnects shown on the electrical drawings are for installation and do not require the Electrical Contractor to furnish units)
- H. Emergency Generator Exhaust muffler and flexible exhaust connection shall be furnished by the generator manufacturer under Division 26. Installation of the exhaust system including providing piping, insulation and accessories shall be included under Division 23.

### 1.7 SUBMITTALS

- A. Shop Drawings: Complete wiring diagrams of all power and control connections (standard diagrams will not be accepted). Deliver 2 copies of approved wiring diagrams to the Electric Contractor for installation of wiring and connections required under the Electric Contract.
- B. Product Data for Motor Controllers and Disconnect Switches: Manufacturer's catalog sheets, specifications and installation instructions. Submit enclosure type coordinated for service and location. Submit simultaneously with product data required for motors. Identify each controller for use with corresponding motor. Submit shop drawings and product data in accordance with project requirements.
- C. All warranties shall be delivered as part of the close-out submission.
- D. A receipt shall be delivered as part of the close-out submission that states all required spare parts have been delivered to the owner. This receipt must be signed and dated by the owner.

#### PART 2 - PRODUCTS

# 2.1 ACCEPTABLE MANUFACTURERS

- A. Motor Controllers and Disconnects
  - 1. Square D
  - 2. Allen-Bradley
  - 3. General Electric
  - 4. Cutler-Hammer

#### 2.2 MOTOR CONTROLLERS

- A. General: All starters shall be correctly sized to motor connected thereto. Provide one (1) additional auxiliary contact over and above that normally furnished, at least two (2) required. Provide overload heaters for each phase. Coordinate starters and controllers with the temperature control Contractor and sequence of operations.
- Minimum Size: The minimum allowable size of single or three phase magnetic motor controller В. is NEMA size 0.
- Enclosures: Unless otherwise indicated furnish NEMA 1 enclosures, except where installed C. outdoors furnish NEMA 3R enclosures.
- Control Power: Furnish control power transformer (maximum control voltage 120 volts) D. mounted within each magnetic motor controller enclosure.
- Local Control Devices: Where indicated, furnish standard duty push buttons or 3-position E. hand-off-auto selector switch mounted in the controller enclosure.
- F. Pilot Lights: Furnish pilot lights of the neon lamp type mounted in the controller enclosure, green for running, red for not running.
- Motor Controller Types: G.
  - Type A (Full Voltage, Manual, Non-Magnetic): 1.
    - Allen-Bradley Co. Bulletin 609 (or Bulletin 600 single phase, 1 HP or less
    - General Electric Co. CR-1062 (or CR-101 single phase, 1 HP or less only). b.
    - Square D Co. Class 2510, Type M (or Class 2510, Type F single phase, 1 HP or c.
    - Cutler-Hammer. B100 (or MS single phase, 1 HP or less only). d.
  - 2. Type A2 (2 Speed, 2 Winding, Full Voltage, Manual, Non-Magnetic):
    - Allen-Bradley Co. Bulletin 609TS (or Bulletin 600 single phase, 1 HP or less only).
    - b. General Electric Co. CR-1062 (or CR-101 - single phase, 1 HP or less only).
    - Square D Co. Class 2512, Type M (or Class 2512, Type F single phase, 1 HP or c. less only).
  - 3. Type B (Full Voltage Magnetic):
    - Allen-Bradley Co. Bulletin 709. a.
    - b. General Electric Co. CR-206.
    - Square D Co. Class 8536. c.
    - Cutler-Hammer. ECN05.
  - Type B-COM (Combination Full Voltage Magnetic/Safety Switch): 4.
    - Allen-Bradley Co. Bulletin 712. a.
    - General Electric Co. CR-208. b.
    - Square D Co. Class 8538. c.
    - Cutler-Hammer. ECN16.
  - Type B2 (2 Speed, 2 Winding, Full Voltage, Magnetic): 5.
    - Allen-Bradley Co. Bulletin 715. a.
    - General Electric Co. CR209. b.
    - c. Square D Co. Class 8810.
    - Cutler-Hammer, ECN33.
  - Type C (Automatic, Reduced Voltage, Magnetic): 6.
    - Allen-Bradley Co. Bulletin 746. a.
    - General Electric Co. CR-231. b.

- c. Square D Co. Class 8606.
- d. Cutler-Hammer, ECA42.
- 7. Type C-COM (Combination Automatic, Reduced Voltage, Magnetic/ Safety Switch):
  - a. Allen-Bradley Co. Bulletin 746C.
  - b. Square D Co. Class 8606.
  - c. Cutler-Hammer. ECA43.
- 8. Type D (Part Winding, Magnetic):
  - a. Allen-Bradley Co. Bulletin 736.
  - b. General Electric Co. CR-230.
  - c. Square D Co. Class 8640.
  - d. Cutler-Hammer. ECA45.

#### 2.3 REMOTE PUSH BUTTON STATIONS

- A. Start-Stop with pilot light in NEMA 1 enclosure unless otherwise indicated.
  - 1. Allen-Bradley Co. Bulletin 800S.
  - 2. General Electric Co. CR-2943.
  - 3. Square D Co. Class 9001.
  - 4. Cutler-Hammer. Class 10250.

#### 2.4 SAFETY SWITCHES

- A. General Electric Co. Type TH; Square D Co. Heavy Duty Series; Cutler-Hammer HD Series; with the following:
  - 1. Fused or unfused as required.
  - 2. Fused switches equipped with fuseholders to accept only the fuses specified in Section 16181 (U.L. Class RK-1, RK-5, L).
  - 3. NEMA 1 enclosure unless otherwise indicated on drawing or required. 3R for devices installed outdoors.
  - 4. Switch rated 240V for 120V, 208V, 240V, circuits; 600 V for 277V, 480V circuits.
  - 5. Switch rated 600V for 277V, 480V circuits.
  - 6. Solid neutral bus when neutral or grounding conductor is included with circuit.
  - 7. Current rating and number of poles as indicated on drawings.

#### 2.5 NAMEPLATES

- A. Phenolic Type: Standard phenolic nameplates with 3/8" minimum size lettering engraved thereon.
- B. Embossed Aluminum: Standard stamped or embossed aluminum tags: Tech Products, Inc., Seton Name Plate Corp.

#### 2.6 EMERGENCY PUSHBUTTON OPERATOR STATION

- A. Acceptable Manufacturer: Square D or equal.
- B. Switch Style: Class 9001, NEMA 4 rated emergency mushroom head pushbutton.
- C. Voltage: 120VAC, 60Hz as required.
- D. Contacts: 20A, 2-NO/2-NC contact.
- E. Operation: Manual.
- F. Normal position: Operator out.

- G. Activated position: Operator in.
- Η. Reset: Manual, turn to release.
- Enclosure: NEMA 4. I.
- J. Custom Legend Plate
  - 1. "EMERGENCY BOILER SHUTOFF"

#### **PART 3 - EXECUTION**

#### **GENERAL** 3.1

- Equipment shall be connected in a neat and skillful manner. Equipment deliver with terminal A. boxes that are inadequate shall be equipped with special boxes that suit the conditions by the Mechanical Contractor furnishing the equipment.
- In general, rigid conduit or tubing shall be used, but equipment that requires movement or that В. would transmit vibration to conduit shall be wired with flexible (liquid tight) steel conduit not over 18" long.
- C. All equipment shall be grounded with a green-covered ground wire run inside the conduit and connected to equipment frame on one end and to grounding system on the other end.
- D. All electrical work required in the Mechanical Contract shall conform to the applicable requirements of Division 26 of these Specifications.
- E. The Heating, Ventilating, and Air Conditioning Contractor shall assign all Electrical Work required under his contract to the approved Automatic Temperature Control Contractor, who shall perform this work with qualified electricians employed by that Contractor.
- F. The Mechanical Contractors shall cooperate with the Contractor for Electrical Work in making all necessary tests and in receiving, storing, and setting all motor-driven equipment, electrical devices, and controls furnished and/or installed under these contracts.
- G. Install heaters correlated with full load current of motors provided.
- H. Set overload devices to suit motors provided.

#### 3.2 **INSTALLATION**

- A. Control Wiring:
  - Provide control wiring and connections. 1.
  - 2. Where control circuit interlocking is required between individually mounted motor controllers, provide a single pole on-off switch in a threaded type box mounted adjacent to motor safety switches which are remote from the control transformer (to enable interlock circuit to be opened when the motor safety switch is opened).
- В. Nameplates: Rivet or bolt the nameplate on the cover of NEMA 1 enclosures. Rivet or bolt and gasket the nameplate on cover of NEMA 3R or NEMA 12 enclosures. Provide phenolic or embossed aluminum nameplates as follows:
  - On each remote control station, indicating motor controlled. 1.
  - On each interlock circuit switch, indicating purpose of switch.
- C. Emergency Pushbutton Operator Station: Wire all switches in series with boiler control branch circuits.

# 3.3 TYPES OF MOTOR CONTROLLERS REQUIRED FOR SINGLE SPEED MOTORS (SYSTEMS UNDER 250 VOLTS)

- A. Single Phase Motors Less than 5 HP Manually Operated: Type A.
- B. Single Phase Motors Less than 1/2 HP Automatically Operated: Type A.
- C. Single Phase Motors 1/2 to 5 HP Automatically Operated: Type B.
- D. Three Phase Squirrel Cage Motors Less than 7-1/2 HP: Type B (B-COM when indicated on drawings).
- E. Three Phase Squirrel Cage Motors 7-1/2 HP and Larger: Type C (C-COM when indicated on drawings).
- F. Three Phase Hermetically Sealed Compressor Motors Less than 7-1/2 HP: Type B.
- G. Three Phase Hermetically Sealed Compressor Motors 7-1/2 HP and Larger: Type D.

# 3.4 TYPES OF MOTOR CONTROLLERS REQUIRED FOR SINGLE SPEED MOTORS (277/480 VOLT SYSTEM)

- A. Single Phase Motors Less than 5 HP Manually Operated: Type A.
- B. Single Phase Motors Less than 1 HP Automatically Operated: Type A.
- C. Single Phase Motors 1 to 5 HP Automatically Operated: Type B.
- D. Three Phase Squirrel Cage Motors Less than 15 HP: Type B (B-COM when indicated on drawings).
- E. Three Phase Squirrel Cage Motors 15 HP and Larger: Type C (C-COM when indicated on drawings).
- F. Three Phase Hermetically Sealed Compressor Motors Less than 15 HP: Type B.
- G. Three Phase Hermetically Sealed Compressor Motors 15 HP and Larger: Type D.

# 3.5 TYPES OF MOTOR CONTROLLERS REQUIRED FOR 2 SPEED MOTORS (SYSTEMS UNDER 250 VOLTS)

- A. Single Phase Motors Less than 5 HP Manually Operated: Type A2.
- B. Single Phase Motors Less than 1/2 HP Automatically Operated: Type A2.
- C. Single Phase Motors 1/2 to 5 HP Automatically Operated: Type B2.
- D. Three Phase Squirrel Cage Motors Less than 7-1/2 HP: Type B2.

# 3.6 TYPES OF MOTOR CONTROLLERS REQUIRED FOR 2 SPEED MOTORS (277/480 VOLT SYSTEM)

- A. Single Phase Motors Less than 5 HP Manually Operated: Type A2.
- B. Single Phase Motors Less than 1 HP Automatically Operated: Type A2.
- C. Single Phase Motors 1 to 5 HP Automatically Operated: Type B2.
- D. Three Phase Squirrel Cage Motors Less than 15 HP: Type B2.

#### 3.7 DISCONNECTS

- A. Motor Controllers: Provide safety switch for all motor controllers. Provide combination type starter-disconnect unless otherwise noted on drawings.
- B. Motors: Provide a disconnect switch for all motors. Provide a separate safety switch for motors which are not within sight of the starter.
- C. Provide safety switches for all factory packaged equipment.
- D. Provide NEMA 3R safety switch for all rooftop and outdoor equipment.
- E. Provide unit mounted disconnect switches for all equipment such as unit heaters, fans, unit ventilators, incremental units, etc

#### 3.8 EMERGENCY PUSHBUTTON OPERATOR STATION

- A. Provide Emergency Pushbutton Operator Station at each boiler room exit to de-energize the primary control circuit and to close the main fuel valves to stop the flow of fuel to the burner during an emergency.
- B. Review plans for locations.
- C. Provide all conduit and wiring for interlock of each boiler.

END OF SECTION 230002

#### SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 **SUMMARY**

A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on ac power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

#### 1.3 COORDINATION

- A. Coordinate features of motors, installed units, and accessory devices to be compatible with the following:
  - 1. Motor controllers.
  - 2. Torque, speed, and horsepower requirements of the load.
  - 3. Ratings and characteristics of supply circuit and required control sequence.
  - 4. Ambient and environmental conditions of installation location.

#### PART 2 - PRODUCTS

#### 2.1 GENERAL MOTOR REQUIREMENTS

- A. Comply with NEMA MG 1 unless otherwise indicated.
- B. Comply with IEEE 841 for severe-duty motors.

#### 2.2 MOTOR CHARACTERISTICS

- A. Duty: Continuous duty at ambient temperature of 40 deg C and at altitude of 3300 feet above sea level.
- B. Capacity and Torque Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor.

#### 2.3 POLYPHASE MOTORS

- A. Description: NEMA MG 1, Design B, medium induction motor.
- B. Efficiency: Premium energy efficient, as defined in NEMA MG 1.
- C. Service Factor: 1.15.
- D. Multispeed Motors: Variable torque.
  - 1. For motors with 2:1 speed ratio, consequent pole, single winding.
  - 2. For motors with other than 2:1 speed ratio, separate winding for each speed.
- E. Multispeed Motors: Separate winding for each speed.

- F. Rotor: Random-wound, squirrel cage.
- G. Bearings: Regreasable, shielded, antifriction ball bearings suitable for radial and thrust loading.
- H. Temperature Rise: Match insulation rating.
- I. Insulation: Class F.
- J. Code Letter Designation:
  - 1. Motors 15 HP and Larger: NEMA starting Code F or Code G.
  - 2. Motors Smaller than 15 HP: Manufacturer's standard starting characteristic.
- K. Enclosure Material: Cast iron for motor frame sizes 324T and larger; rolled steel for motor frame sizes smaller than 324T.

#### 2.4 POLYPHASE MOTORS WITH ADDITIONAL REQUIREMENTS

- A. Motors Used with Reduced-Voltage and Multispeed Controllers: Match wiring connection requirements for controller with required motor leads. Provide terminals in motor terminal box, suited to control method.
- B. Motors Used with Variable Frequency Controllers: Ratings, characteristics, and features coordinated with and approved by controller manufacturer.
  - 1. Windings: Copper magnet wire with moisture-resistant insulation varnish, designed and tested to resist transient spikes, high frequencies, and short time rise pulses produced by pulse-width modulated inverters.
  - 2. Energy- and Premium-Efficient Motors: Class B temperature rise; Class F insulation.
  - 3. Thermal Protection: Comply with NEMA MG 1 requirements for thermally protected motors.
- C. Severe-Duty Motors: Comply with IEEE 841, with 1.15 minimum service factor.

#### 2.5 SINGLE-PHASE MOTORS

- A. Motors larger than 1/20 hp shall be one of the following, to suit starting torque and requirements of specific motor application:
  - 1. Permanent-split capacitor.
  - 2. Split phase.
  - 3. Capacitor start, inductor run.
  - 4. Capacitor start, capacitor run.
- B. Multispeed Motors: Variable-torque, permanent-split-capacitor type.
- C. Bearings: Prelubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.
- D. Motors 1/20 HP and Smaller: Shaded-pole type.
- E. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 230513

#### SECTION 230550 - WIND RESTRAINT FOR HVAC SYSTEMS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary General Conditions and other Division 1 Specification Sections, apply to this Section.

#### 1.2 SECTION INCLUDES

A. Support and brace mechanical and electrical systems, as called for, to resist directional wind forces (lateral, longitudinal and vertical).

#### 1.3 APPLICABLE CODES AND STANDARDS

- A. Provide work in compliance with the following codes and standards:
- B. 2020 New York State Building Code (Section 1609).
- C. 2020 New York State Mechanical Code (Section 301, Item 301.15).
- D. American Society of Civil Engineers (ASCE) Minimum Design Loads for Buildings and Other Structures with Supplement No. 1 Standard ASCE/SEI7-16.

#### 1.4 QUALITY ASSURANCE

#### A. General:

- 1. The contractor shall provide professional engineer stamped and signed calculations, and details of wind restraint systems to meet total design lateral force requirements for support and restraint of mechanical and electrical systems.
- 2. Systems requiring wind restraint including, but not limited to:
  - a. Exhaust fans.
  - b. Hooded intake or relief ventilators.
  - c. Ductwork.
  - d. Rooftop air handling equipment.
  - e. Condensing units.
  - f. Miscellaneous HVAC equipment.
  - g. Roof curbs and pipe/duct/equipment supports associated with any of the equipment listed above.

#### 1.5 SUBMITTALS

- A. Submit wind force level (Fp) calculations from applicable building code. Submit pre- approved restraint selections, installation details, and plans indicating locations of restraints.
- B. Calculations, plans, restraint selection, and installation details shall be stamped and signed by a professionally licensed engineer experienced in wind restraint design.
- C. Submit manufacturer's product data.
- D. For each piece of equipment that requires wind restraint as outlined in this section, include the following:
  - 1. Dimensioned Outline Drawings of Equipment Unit: Identify the center of gravity and locate and describe mounting and anchoring provisions.
  - 2. Anchorage: Provide detailed description of equipment anchorage devices on which the calculations are based and their installation requirements. Identify anchor bolts, studs and

other mounting devices. Provide information on the size, type and spacing of mounting brackets, holes and other provisions.

#### **PART 2 - PRODUCTS**

#### 2.1 CODE INFORMATION

- A. This project is subject to the wind bracing requirements of the 2018 International Building Code (Section 1609) and American Society of Civil Engineers ASCE/SEI 7-16. The following criteria are applicable to this project:
  - 1. Nominal Design Wind Speed (V) (Per ASCE 7-16): 120 mph.
  - 2. Risk Category (Per ASCE 7-16): III
  - 3. Exposure Category (Per ASCE 7-16): C
  - 4. Height and Exposure Adjustment Coefficient (Per ASCE 7-16): 1.21

#### 2.2 WIND BRACING AND SUPPORT OF SYSTEMS AND COMPONENTS

#### A. General:

- 1. Design analysis shall include calculated dead loads, wind loads, and capacity of materials utilized for the connection of the equipment or system to the structure.
- 2. Analysis shall detail anchoring methods, bolt diameter, and embedment depth.
- 3. All wind restraint devices shall be designed to accept without failure the forces calculated per the applicable building code and as summarized in Section 2.1.
- B. Friction from gravity loads shall not be considered resistance to wind forces.

#### PART 3 - EXECUTION

#### 3.1 INSTALLATION

#### A. Wind Restraint of Electrical Services:

- 1. All restraint systems shall be installed in strict accordance with the manufacturer's restraint guidelines manual and all certified data.
- 2. Installation of restraints shall not cause any change in position of equipment or piping, resulting in stresses or misalignment.
- 3. No rigid connections between equipment and the building structure shall be made that degrade the noise and vibration-isolation system specified.
- 4. Do not install any equipment, piping, duct, or conduit that makes rigid connections with the building unless isolation is not specified.
- 5. Prior to installation, bring to the Architect's/Engineer's attention any discrepancies between the specifications and the field conditions, or changes required due to specific equipment selection.
- 6. Bracing may occur from flanges of structural beams, upper truss cords of bar joists, cast in place inserts, or wedge-type concrete anchors. Consult Structural Engineer of record.
- 7. Overstressing of the building structure shall not occur from overhead support of equipment. Bracing attached to structural members may present additional stresses. The Contractor shall submit loads to the structural engineer of record for approval in this event.
- 8. Brace support rods when necessary to accept compressive loads. Welding of compressive braces to the vertical support rods is not acceptable.
- 9. Provide reinforced clevis bolts where required.
- 10. Do not brace a system to two independent structures such as a roof and wall.

### B. Wind Restraint of Ductwork and Equipment:

- 1. All restraint systems shall be installed in strict accordance with the manufacturer's restraint guidelines and all certified submittal data.
- 2. The interaction between mechanical and electrical equipment and the supporting structures shall be designed into the restraint systems.
- 3. Friction clips shall not be used for anchorage attachments.
- 4. Expansion anchors shall not be used for non-vibration isolated equipment rated over 10 HP.
- 5. Components mounted on vibration isolation systems shall have a bumper restraint or snubber in each horizontal direction and vertical restraints shall be provided to resist overturning.
- 6. Installation of restraints shall not cause any change in position of equipment or ductwork, resulting in stresses or misalignment.
- 7. Exhaust fans with hinge kits shall have wind restraint fasteners installed on the hinged side, same as the three (3) non-hinged sides.
- 8. No rigid connections between equipment and the building structure shall be made that degrade the noise and vibration-isolation system specified.
- 9. Do not install any equipment or duct that makes rigid connections with the building unless isolation is not specified.
- 10. Prior to installation, bring to the Architect's/Engineer's attention any discrepancies between the specifications and the field conditions, or changes required due to specific equipment selection.
- 11. Bracing may occur from flanges of structural beams, upper truss cords of bar joists, cast in place inserts, or wedge-type concrete anchors. Consult Structural Engineer of record.
- 12. Overstressing of the building structure shall not occur from overhead support of equipment. Bracing attached to structural members may present additional stresses. The Contractor shall submit loads to the Structural Engineer of record for approval in this event.
- 13. Brace support rods when necessary to accept compressive loads. Welding of compressive braces to the vertical support rods is not acceptable.
- 14. Provide reinforced clevis bolts where required.
- 15. Do not brace a system to two independent structures such as a roof and wall.

**END OF SECTION** 

### 230553-1

#### SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Equipment labels.
  - 2. Warning signs and labels.
  - 3. Pipe labels.
  - 4. Duct labels.
  - 5. Stencils.
  - 6. Valve tags.
  - 7. Warning tags.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- C. Valve numbering scheme.
- D. Valve Schedules: For each piping system to include in maintenance manuals.

#### 1.4 COORDINATION

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

#### **PART 2 - PRODUCTS**

#### 2.1 EQUIPMENT LABELS

- A. Metal Labels for Equipment:
  - 1. Material and Thickness: Aluminum, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
  - 2. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
  - 3. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
  - 4. Fasteners: Stainless-steel rivets or self-tapping screws.

- 5. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Plastic Labels for Equipment:
  - 1. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
  - 2. Letter Color: White.
  - 3. Background Color: Black.
  - 4. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
  - 5. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
  - 6. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
  - 7. Fasteners: Stainless-steel rivets or self-tapping screws.
  - 8. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- C. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified.
- D. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11-inch bond paper. Tabulate equipment identification number and identify Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

#### 2.2 WARNING SIGNS AND LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
- B. Letter Color: Black.
- C. Background Color: Red.
- D. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- E. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- F. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- G. Fasteners: Stainless-steel rivets or self-tapping screws.
- H. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- I. Label Content: Include caution and warning information, plus emergency notification instructions.

#### 2.3 PIPE LABELS

A. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.

- 230553-3
- B. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to cover full circumference of pipe and to attach to pipe without fasteners or adhesive.
- C. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- D. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings, pipe size, and an arrow indicating flow direction.
  - Flow-Direction Arrows: Integral with piping system service lettering to accommodate both directions, or as separate unit on each pipe label to indicate flow direction.
  - 2. Lettering Size: At least 1-1/2 incheshigh.

#### 2.4 DUCT LABELS

- A. General Requirements for Manufactured Duct Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- B. Self-Adhesive Duct Labels: Printed plastic with contact-type, permanent-adhesive backing.
- C. Duct Label Contents: Include identification of duct service using same designations or abbreviations as used on Drawings, duct size, and an arrow indicating flow direction.
  - 1. Flow-Direction Arrows: Integral with duct system service lettering to accommodate both directions, or as separate unit on each duct label to indicate flow direction.
  - 2. Lettering Size: At least 1-1/2 incheshigh.

#### 2.5 STENCILS

- A. Stencils: Prepared with letter sizes according to ASME A13.1 for piping; minimum letter height of 1-1/4 inches for ducts; and minimum letter height of 3/4 inch for access panel and door labels, equipment labels, and similar operational instructions.
  - 1. Stencil Material: Fiberboard or metal.
  - 2. Stencil Paint: Exterior, gloss, acrylic enamel black unless otherwise indicated. Paint may be in pressurized spray-can form.
  - 3. Identification Paint: Exterior, acrylic enamel in colors according to ASME A13.1 unless otherwise indicated.

#### 2.6 WARNING TAGS

- A. Warning Tags: Preprinted or partially preprinted, accident-prevention tags, of plasticized card stock with matte finish suitable for writing.
  - 1. Size: Approximately 4 by 7 inches.
  - 2. Fasteners: Brass grommet and wire.
  - 3. Nomenclature: Large-size primary caption such as "DANGER," "CAUTION," or "DO NOT OPERATE."
  - 4. Color: Yellow background with black lettering.

#### **PART 3 - EXECUTION**

#### 3.1 PREPARATION

A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

3.2

- A. Install or permanently fasten labels on each major item of mechanical equipment.
- B. Locate equipment labels where accessible and visible.

**EQUIPMENT LABEL INSTALLATION** 

#### 3.3 PIPE LABEL INSTALLATION

- A. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels with painted, color-coded bands or rectangles, complying with ASME A13.1, on each piping system.
  - 1. Identification Paint: Use for contrasting background.
  - 2. Stencil Paint: Use for pipe marking.
- B. Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
  - 1. Near each valve and control device.
  - 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  - 3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
  - 4. At access doors, manholes, and similar access points that permit view of concealed piping.
  - 5. Near major equipment items and other points of origination and termination.
  - 6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.
  - 7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.

#### 3.4 DUCT LABEL INSTALLATION

- A. Install self-adhesive duct labels with permanent adhesive on air ducts in the following color codes:
  - 1. Yellow: For supply ducts.
  - 2. Green: For exhaust-, outside-, relief-, return-, and mixed-air ducts.
  - 3. ASME A13.1 Colors and Designs: For hazardous material exhaust.
- B. Stenciled Duct Label Option: Stenciled labels, showing service and flow direction, may be provided instead of plastic-laminated duct labels, at Installer's option, if lettering larger than 1 inch high is needed for proper identification because of distance from normal location of required identification.
- C. Locate labels near points where ducts enter into concealed spaces and at maximum intervals of 50 feet in each space where ducts are exposed or concealed by removable ceiling system.

#### 3.5 WARNING-TAG INSTALLATION

A. Write required message on, and attach warning tags to, equipment and other items where required.

**END OF SECTION 230553** 

#### SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Balancing Air Systems:
    - a. Constant-volume air systems.
    - b. Dual-duct systems.
    - c. Variable-air-volume systems.
    - d. Multizone systems.
    - e. Induction-unit systems.
  - 2. Balancing Hydronic Piping Systems:
    - a. Constant-flow hydronic systems.
    - b. Variable-flow hydronic systems.
    - c. Primary-secondary hydronic systems.

#### 1.3 **DEFINITIONS**

- A. AABC: Associated Air Balance Council.
- B. NEBB: National Environmental Balancing Bureau.
- C. TAB: Testing, adjusting, and balancing.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: Within 45 days of Contractor's Notice to Proceed, submit documentation that the TAB contractor and this Project's TAB team members meet the qualifications specified in "Ouality Assurance" Article.
- B. Contract Documents Examination Report: Within 30 days of Contractor's Notice to Proceed, submit the Contract Documents review report as specified in Part 3.
- C. Certified TAB reports.
- D. Sample report forms.
- E. Instrument calibration reports, to include the following:
  - 1. Instrument type and make.
  - 2. Serial number.
  - 3. Application.
  - 4. Dates of use.
  - 5. Dates of calibration.

#### 1.5 QUALITY ASSURANCE

A. TAB Contractor Qualifications: Engage a TAB entity certified by AABC or NEBB.

- 1. TAB Field Supervisor: Employee of the TAB contractor and certified by AABC or NEBB.
- 2. TAB Technician: Employee of the TAB contractor and who is certified by AABC or NEBB as a TAB technician.
- B. Certify TAB field data reports and perform the following:
  - 1. Review field data reports to validate accuracy of data and to prepare certified TAB reports.
  - 2. Certify that the TAB team complied with the approved TAB plan and the procedures specified and referenced in this Specification.
- C. TAB Report Forms: Use standard TAB contractor's forms approved by Engineer.
- D. Instrumentation Type, Quantity, Accuracy, and Calibration: As described in ASHRAE 111, Section 5, "Instrumentation."
- E. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 7.2.2 "Air Balancing."
- F. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.7.2.3 "System Balancing."

#### 1.6 PROJECT CONDITIONS

A. Partial Owner Occupancy: Owner may occupy completed areas of building before Substantial Completion. Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.

#### 1.7 COORDINATION

A. Perform TAB after leakage and pressure tests on air and water distribution systems have been satisfactorily completed.

#### PART 2 - PRODUCTS (Not Applicable)

#### **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems' designs that may preclude proper TAB of systems and equipment.
- B. Examine systems for installed balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems' output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine ceiling plenums and underfloor air plenums used for supply, return, or relief air to verify that they meet the leakage class of connected ducts as specified in Section 233113 "Metal Ducts"

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and are properly separated from adjacent areas. Verify that penetrations in plenum walls are sealed and fire-stopped if required.

- F. Examine equipment performance data including fan and pump curves.
  - 1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
  - 2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems Duct Design." Compare results with the design data and installed conditions.
- G. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- H. Examine test reports specified in individual system and equipment Sections.
- I. Examine HVAC equipment and filters and verify that bearings are greased, belts are aligned and tight, and equipment with functioning controls is ready for operation.
- J. Examine terminal units, such as variable-air-volume boxes, and verify that they are accessible and their controls are connected and functioning.
- K. Examine strainers. Verify that startup screens are replaced by permanent screens with indicated perforations.
- L. Examine three-way valves for proper installation for their intended function of diverting or mixing fluid flows.
- M. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- N. Examine system pumps to ensure absence of entrained air in the suction piping.
- O. Examine operating safety interlocks and controls on HVAC equipment.
- P. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

#### 3.2 PREPARATION

- A. Complete system-readiness checks and prepare reports. Verify the following:
  - 1. Permanent electrical-power wiring is complete.
  - 2. Hydronic systems are filled, clean, and free of air.
  - 3. Automatic temperature-control systems are operational.
  - 4. Equipment and duct access doors are securely closed.
  - 5. Balance, smoke, and fire dampers are open.
  - 6. Isolating and balancing valves are open and control valves are operational.
  - 7. Ceilings are installed in critical areas where air-pattern adjustments are required and access to balancing devices is provided.
  - 8. Windows and doors can be closed so indicated conditions for system operations can be met.

#### 3.3 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance", ASHRAE 111, or NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems" and in this Section.
  - 1. Comply with requirements in ASHRAE 62.1, Section 7.2.2 "Air Balancing."
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.
  - 1. After testing and balancing, patch probe holes in ducts with same material and thickness as used to construct ducts.
  - 2. After testing and balancing, install test ports and duct access doors that comply with requirements in Section 233300 "Air Duct Accessories."
  - 3. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish according to Section 230713 "Duct Insulation," Section 230716 "HVAC Equipment Insulation," and Section 230719 "HVAC Piping Insulation."
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in inch-pound (IP) units.

#### 3.4 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Crosscheck the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.
- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.
- I. Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.
- K. Check for proper sealing of air-handling-unit components.
- L. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

#### 3.5 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
  - 1. Measure total airflow.

- a. Where sufficient space in ducts is unavailable for Pitot-tube traverse measurements, measure airflow at terminal outlets and inlets and calculate the total airflow.
- 2. Measure fan static pressures as follows to determine actual static pressure:
  - a. Measure outlet static pressure as far downstream from the fan as practical and upstream from restrictions in ducts such as elbows and transitions.
  - b. Measure static pressure directly at the fan outlet or through the flexible connection.
  - c. Measure inlet static pressure of single-inlet fans in the inlet duct as near the fan as possible, upstream from the flexible connection, and downstream from duct restrictions.
  - d. Measure inlet static pressure of double-inlet fans through the wall of the plenum that houses the fan.
- 3. Measure static pressure across each component that makes up an air-handling unit, rooftop unit, and other air-handling and -treating equipment.
  - a. Report the cleanliness status of filters and the time static pressures are measured.
- 4. Measure static pressures entering and leaving other devices, such as sound traps, heat-recovery equipment, and air washers, under final balanced conditions.
- 5. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
- 6. Obtain approval from Engineer for adjustment of fan speed higher or lower than indicated speed. Comply with requirements in HVAC Sections for air-handling units for adjustment of fans, belts, and pulley sizes to achieve indicated air-handling-unit performance.
- 7. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload will occur. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows within specified tolerances.
  - 1. Measure airflow of submain and branch ducts.
    - a. Where sufficient space in submain and branch ducts is unavailable for Pitot-tube traverse measurements, measure airflow at terminal outlets and inlets and calculate the total airflow for that zone.
  - 2. Measure static pressure at a point downstream from the balancing damper, and adjust volume dampers until the proper static pressure is achieved.
  - 3. Remeasure each submain and branch duct after all have been adjusted. Continue to adjust submain and branch ducts to indicated airflows within specified tolerances.
- C. Measure air outlets and inlets without making adjustments.
  - 1. Measure terminal outlets using a direct-reading hood or outlet manufacturer's written instructions and calculating factors.
- D. Adjust air outlets and inlets for each space to indicated airflows within specified tolerances of indicated values. Make adjustments using branch volume dampers rather than extractors and the dampers at air terminals.

- 1. Adjust each outlet in same room or space to within specified tolerances of indicated quantities without generating noise levels above the limitations prescribed by the Contract Documents.
- 2. Adjust patterns of adjustable outlets for proper distribution without drafts.

#### 3.6 PROCEDURES FOR DUAL-DUCT SYSTEMS

- A. Verify that the cooling coil is capable of full-system airflow, and set mixing boxes at full-cold airflow position for fan volume.
- B. Measure static pressure in both hot and cold ducts at the end of the longest duct run to determine that sufficient static pressure exists to operate controls of mixing boxes and to overcome resistance in the ducts and outlets downstream from mixing boxes.
  - 1. If insufficient static pressure exists, increase airflow at the fan.
- C. Test and adjust the constant-volume mixing boxes as follows:
  - 1. Verify both hot and cold operations by adjusting the thermostat and observing changes in air temperature and volume.
  - 2. Verify sufficient inlet static pressure before making volume adjustments.
  - 3. Adjust mixing boxes to indicated airflows within specified tolerances. Measure airflow by Pitot-tube traverse readings or by measuring static pressure at mixing-box taps if provided by mixing-box manufacturer.
- D. Do not overpressurize ducts.
- E. Remeasure static pressure in both hot and cold ducts at the end of the longest duct run to determine that sufficient static pressure exists to operate controls of mixing boxes and to overcome resistance in the ducts and outlets downstream from mixing boxes.
- F. Adjust variable-air-volume, dual-duct systems in the same way as constant-volume, dual-duct systems; adjust maximum- and minimum-airflow setting of each mixing box.

#### 3.7 PROCEDURES FOR MOTORS

- A. Motors, 1/2 HP and Larger: Test at final balanced conditions and record the following data:
  - 1. Manufacturer's name, model number, and serial number.
  - 2. Motor horsepower rating.
  - 3. Motor rpm.
  - 4. Efficiency rating.
  - 5. Nameplate and measured voltage, each phase.
  - 6. Nameplate and measured amperage, each phase.
  - 7. Starter thermal-protection-element rating.
- B. Motors Driven by Variable-Frequency Controllers: Test for proper operation at speeds varying from minimum to maximum. Test the manual bypass of the controller to prove proper operation. Record observations including name of controller manufacturer, model number, serial number, and nameplate data.

#### 3.8 PROCEDURES FOR CONDENSING UNITS

- A. Verify proper rotation of fans.
- B. Measure entering- and leaving-air temperatures.
- C. Record compressor data.

#### 3.9 PROCEDURES FOR HEAT-TRANSFER COILS

- A. Measure, adjust, and record the following data for each water coil:
  - 1. Entering- and leaving-water temperature.
  - 2. Water flow rate.
  - 3. Water pressure drop.
  - 4. Dry-bulb temperature of entering and leaving air.
  - 5. Wet-bulb temperature of entering and leaving air for cooling coils.
  - 6. Airflow.
  - 7. Air pressure drop.
- B. Measure, adjust, and record the following data for each electric heating coil:
  - 1. Nameplate data.
  - 2. Airflow.
  - 3. Entering- and leaving-air temperature at full load.
  - 4. Voltage and amperage input of each phase at full load and at each incremental stage.
  - 5. Calculated kilowatt at full load.
  - 6. Fuse or circuit-breaker rating for overload protection.
- C. Measure, adjust, and record the following data for each steam coil:
  - 1. Dry-bulb temperature of entering and leaving air.
  - 2. Airflow.
  - 3. Air pressure drop.
  - 4. Inlet steam pressure.
- D. Measure, adjust, and record the following data for each refrigerant coil:
  - 1. Dry-bulb temperature of entering and leaving air.
  - 2. Wet-bulb temperature of entering and leaving air.
  - 3. Airflow.
  - 4. Air pressure drop.
  - 5. Refrigerant suction pressure and temperature.

#### 3.10 TOLERANCES

- A. Set HVAC system's air flow rates and water flow rates within the following tolerances:
  - 1. Supply, Return, and Exhaust Fans and Equipment with Fans: Plus or minus 10 percent.
  - 2. Air Outlets and Inlets: Plus or minus 10 percent.
  - 3. Heating-Water Flow Rate: Plus or minus 10 percent.
  - 4. Cooling-Water Flow Rate: Plus or minus 10 percent.

### 3.11 REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for systems' balancing devices. Recommend changes and additions to systems' balancing devices to facilitate proper performance measuring and balancing. Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.
- B. Status Reports: Prepare biweekly progress reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

#### 3.12 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
  - 1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
  - 2. Include a list of instruments used for procedures, along with proof of calibration.
- B. Final Report Contents: In addition to certified field-report data, include the following:
  - 1. Pump curves.
  - 2. Fan curves.
  - 3. Manufacturers' test data.
  - 4. Field test reports prepared by system and equipment installers.
  - 5. Other information relative to equipment performance; do not include Shop Drawings and product data.
- C. General Report Data: In addition to form titles and entries, include the following data:
  - 1. Title page.
  - 2. Name and address of the TAB contractor.
  - 3. Project name.
  - 4. Project location.
  - 5. Architect's name and address.
  - 6. Engineer's name and address.
  - 7. Contractor's name and address.
  - 8. Report date.
  - 9. Signature of TAB supervisor who certifies the report.
  - 10. Table of Contents with the total number of pages defined for each section of the report.

    Number each page in the report.
  - 11. Summary of contents including the following:
    - a. Indicated versus final performance.
    - b. Notable characteristics of systems.
    - c. Description of system operation sequence if it varies from the Contract Documents.
  - 12. Nomenclature sheets for each item of equipment.
  - 13. Data for terminal units, including manufacturer's name, type, size, and fittings.
  - 14. Notes to explain why certain final data in the body of reports vary from indicated values.
  - 15. Test conditions for fans and pump performance forms including the following:
    - a. Settings for outdoor-, return-, and exhaust-air dampers.
    - b. Conditions of filters.
    - c. Cooling coil, wet- and dry-bulb conditions.
    - d. Face and bypass damper settings at coils.
    - e. Fan drive settings including settings and percentage of maximum pitch diameter.
    - f. Inlet vane settings for variable-air-volume systems.
    - g. Settings for supply-air, static-pressure controller.
    - h. Other system operating conditions that affect performance.
- D. System Diagrams: Include schematic layouts of air and hydronic distribution systems. Present each system with single-line diagram and include the following:
  - 1. Quantities of outdoor, supply, return, and exhaust airflows.
  - 2. Water and steam flow rates.
  - 3. Duct, outlet, and inlet sizes.

- 4. Pipe and valve sizes and locations.
- 5. Terminal units.
- 6. Balancing stations.
- 7. Position of balancing devices.
- E. Air-Handling-Unit Test Reports: For air-handling units with coils, include the following:
  - 1. Unit Data:
    - a. Unit identification.
    - b. Location.
    - c. Make and type.
    - d. Model number and unit size.
    - e. Manufacturer's serial number.
    - f. Unit arrangement and class.
    - g. Discharge arrangement.
    - h. Sheave make, size in inches, and bore.
    - i. Center-to-center dimensions of sheave, and amount of adjustments in inches.
    - j. Number, make, and size of belts.
    - k. Number, type, and size of filters.
  - 2. Motor Data:
    - a. Motor make, and frame type and size.
    - b. Horsepower and rpm.
    - c. Volts, phase, and hertz.
    - d. Full-load amperage and service factor.
    - e. Sheave make, size in inches, and bore.
    - f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
  - 3. Test Data (Indicated and Actual Values):
    - a. Total air flow rate in cfm.
    - b. Total system static pressure in inches wg.
    - c. Fan rpm
    - d. Discharge static pressure in inches wg.
    - e. Filter static-pressure differential in inches wg.
    - f. Preheat-coil static-pressure differential in inches wg.
    - g. Cooling-coil static-pressure differential in inches wg.
    - h. Heating-coil static-pressure differential in inches wg.
    - i. Outdoor airflow in cfm.
    - j. Return airflow in cfm.
    - k. Outdoor-air damper position.
    - 1. Return-air damper position.
    - m. Vortex damper position.
- F. Apparatus-Coil Test Reports:
  - Coil Data:
    - a. System identification.
    - b. Location.
    - c. Coil type.
    - d. Number of rows.
    - e. Fin spacing in fins per inch o.c.
    - f. Make and model number.
    - g. Face area in sq. ft..
    - h. Tube size in NPS.
    - i. Tube and fin materials.

- j. Circuiting arrangement.
- 2. Test Data (Indicated and Actual Values):
  - a. Air flow rate in cfm.
  - b. Average face velocity in fpm.
  - c. Air pressure drop in inches wg.
  - d. Outdoor-air, wet- and dry-bulb temperatures in deg F.
  - e. Return-air, wet- and dry-bulb temperatures in deg F.
  - f. Entering-air, wet- and dry-bulb temperatures in deg F.
  - g. Leaving-air, wet- and dry-bulb temperatures in deg F.
  - h. Water flow rate in gpm.
  - i. Water pressure differential in feet of head or psig.
  - j. Entering-water temperature in deg F.
  - k. Leaving-water temperature in deg F.
  - 1. Refrigerant expansion valve and refrigerant types.
  - m. Refrigerant suction pressure in psig.
  - n. Refrigerant suction temperature in deg F.
  - o. Inlet steam pressure in psig.
- G. Gas- and Oil-Fired Heat Apparatus Test Reports: In addition to manufacturer's factory startup equipment reports, include the following:
  - 1. Unit Data:
    - a. System identification.
    - b. Location.
    - c. Make and type.
    - d. Model number and unit size.
    - e. Manufacturer's serial number.
    - f. Fuel type in input data.
    - g. Output capacity in Btu/h.
    - h. Ignition type.
    - i. Burner-control types.
    - j. Motor horsepower and rpm.
    - k. Motor volts, phase, and hertz.
    - 1. Motor full-load amperage and service factor.
    - m. Sheave make, size in inches, and bore.
    - n. Center-to-center dimensions of sheave, and amount of adjustments in inches.
  - 2. Test Data (Indicated and Actual Values):
    - a. Total air flow rate in cfm.
    - b. Entering-air temperature in deg F.
    - c. Leaving-air temperature in deg F.
    - d. Air temperature differential in deg F.
    - e. Entering-air static pressure in inches wg.
    - f. Leaving-air static pressure in inches wg.
    - g. Air static-pressure differential in inches wg.
    - h. Low-fire fuel input in Btu/h.
    - i. High-fire fuel input in Btu/h.
    - j. Manifold pressure in psig.
    - k. High-temperature-limit setting in deg F.
    - 1. Operating set point in Btu/h.
    - m. Motor voltage at each connection.
    - n. Motor amperage for each phase.

- o. Heating value of fuel in Btu/h.
- H. Fan Test Reports: For supply, return, and exhaust fans, include the following:
  - Fan Data:
    - a. System identification.
    - b. Location.
    - c. Make and type.
    - d. Model number and size.
    - e. Manufacturer's serial number.
    - f. Arrangement and class.
    - g. Sheave make, size in inches, and bore.
    - h. Center-to-center dimensions of sheave, and amount of adjustments in inches.
  - 2. Motor Data:
    - a. Motor make, and frame type and size.
    - b. Horsepower and rpm.
    - c. Volts, phase, and hertz.
    - d. Full-load amperage and service factor.
    - e. Sheave make, size in inches, and bore.
    - f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
    - g. Number, make, and size of belts.
  - 3. Test Data (Indicated and Actual Values):
    - a. Total airflow rate in cfm.
    - b. Total system static pressure in inches wg.
    - c. Fan rpm.
    - d. Discharge static pressure in inches wg.
    - e. Suction static pressure in inches wg.
- I. Round, Flat-Oval, and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
  - 1. Report Data:
    - a. System and air-handling-unit number.
    - b. Location and zone.
    - c. Traverse air temperature in deg F.
    - d. Duct static pressure in inches wg.
    - e. Duct size in inches.
    - f. Duct area in sq. ft..
    - g. Indicated air flow rate in cfm.
    - h. Indicated velocity in fpm.
    - i. Actual air flow rate in cfm.
    - j. Actual average velocity in fpm.
    - k. Barometric pressure in psig.
- J. Air-Terminal-Device Reports:
  - 1. Unit Data:
    - a. System and air-handling unit identification.
    - b. Location and zone.
    - c. Apparatus used for test.
    - d. Area served.
    - e. Make.
    - f. Number from system diagram.
    - g. Type and model number.

- h. Size.
- i. Effective area in sq. ft..
- 2. Test Data (Indicated and Actual Values):
  - a. Air flow rate in cfm.
  - b. Air velocity in fpm.
  - c. Preliminary air flow rate as needed in cfm.
  - d. Preliminary velocity as needed in fpm.
  - e. Final air flow rate in cfm.
  - f. Final velocity in fpm.
  - g. Space temperature in deg F.
- K. Pump Test Reports: Calculate impeller size by plotting the shutoff head on pump curves and include the following:
  - 1. Unit Data:
    - a. Unit identification.
    - b. Location.
    - c. Service.
    - d. Make and size.
    - e. Model number and serial number.
    - f. Water flow rate in gpm.
    - g. Water pressure differential in feet of head or psig.
    - h. Required net positive suction head in feet of head or psig.
    - i. Pump rpm.
    - j. Impeller diameter in inches.
    - k. Motor make and frame size.
    - 1. Motor horsepower and rpm.
    - m. Voltage at each connection.
    - n. Amperage for each phase.
    - o. Full-load amperage and service factor.
    - p. Seal type.
  - 2. Test Data (Indicated and Actual Values):
    - a. Static head in feet of head or psig.
    - b. Pump shutoff pressure in feet of head or psig.
    - c. Actual impeller size in inches.
    - d. Full-open flow rate in gpm.
    - e. Full-open pressure in feet of head or psig.
    - f. Final discharge pressure in feet of head or psig.
    - g. Final suction pressure in feet of head or psig.
    - h. Final total pressure in feet of head or psig.
    - i. Final water flow rate in gpm.
    - j. Voltage at each connection.
    - k. Amperage for each phase.
- L. Instrument Calibration Reports:
  - 1. Report Data:
    - a. Instrument type and make.
    - b. Serial number.
    - c. Application.
    - d. Dates of use.
    - e. Dates of calibration.

#### 3.13 INSPECTIONS

#### A. Initial Inspection:

- 1. After testing and balancing are complete, operate each system and randomly check measurements to verify that the system is operating according to the final test and balance readings documented in the final report.
- 2. Check the following for each system:
  - a. Measure airflow of at least 10 percent of air outlets.
  - b. Measure water flow of at least 5 percent of terminals.
  - c. Measure room temperature at each thermostat/temperature sensor. Compare the reading to the set point.
  - d. Verify that balancing devices are marked with final balance position.
  - e. Note deviations from the Contract Documents in the final report.

#### B. Final Inspection:

- 1. After initial inspection is complete and documentation by random checks verifies that testing and balancing are complete and accurately documented in the final report, request that a final inspection be made by Engineer.
- 2. Engineer shall randomly select measurements, documented in the final report, to be rechecked. Rechecking shall be limited to either 10 percent of the total measurements recorded or the extent of measurements that can be accomplished in a normal 8-hour business day.
- 3. If rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
- 4. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the testing and balancing shall be considered incomplete and shall be rejected.
- C. TAB Work will be considered defective if it does not pass final inspections. If TAB Work fails, proceed as follows:
  - 1. Recheck all measurements and make adjustments. Revise the final report and balancing device settings to include all changes; resubmit the final report and request a second final inspection.
  - 2. If the second final inspection also fails, Owner may contract the services of another TAB contractor to complete TAB Work according to the Contract Documents and deduct the cost of the services from the original TAB contractor's final payment.
- D. Prepare test and inspection reports.

#### 3.14 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional TAB during near-peak summer and winter conditions.

**END OF SECTION 230593** 

### DUCT INSULATION 230713-1

#### **SECTION 230713 - DUCT INSULATION**

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section includes insulating the following duct services:
  - 1. Indoor, concealed supply and outdoor air.
  - 2. Indoor, concealed return located in unconditioned space.
  - 3. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
- B. Related Sections:
  - 1. Section 233113 "Metal Ducts" for duct liners.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory- and field-applied if any).
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
  - 2. Detail insulation application at elbows, fittings, dampers, specialties and flanges for each type of insulation.
  - 3. Detail application of field-applied jackets.
  - 4. Detail application at linkages of control devices.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Material Test Reports: From a qualified testing agency acceptable to authorities having jurisdiction indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.
- C. Field quality-control reports.

#### 1.5 OUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84, by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
  - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
  - 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

#### 1.7 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with duct Installer for duct insulation application. Before preparing ductwork Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

#### 1.8 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.
- B. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

#### PART 2 - PRODUCTS

#### 2.1 INSULATION MATERIALS

- A. Comply with requirements in "Duct Insulation Schedule, General," and "Indoor Duct and Plenum Insulation Schedule" articles for where insulating materials shall be applied.
- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- D. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- E. Mineral-Fiber Blanket Insulation: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 553, Type II and ASTM C 1290, Type III with factory-applied FSK jacket. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. CertainTeed Corp.; SoftTouch Duct Wrap.
    - b. Johns Manville; Microlite.
    - c. Knauf Insulation; Friendly Feel Duct Wrap.
    - d. Manson Insulation Inc.; Alley Wrap.
    - e. Owens Corning; SOFTR All-Service Duct Wrap.
- F. Mineral-Fiber Board Insulation: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 612, Type IA or Type IB. For duct and plenum applications, provide insulation with factory-applied FSK jacket. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

- 1. Products: Subject to compliance with requirements, provide one of the following:
  - a. CertainTeed Corp.; Commercial Board.
  - b. Fibrex Insulations Inc.; FBX.
  - c. Johns Manville; 800 Series Spin-Glas.
  - d. Knauf Insulation; Insulation Board.
  - e. Manson Insulation Inc.; AK Board.
  - f. Owens Corning; Fiberglas 700 Series.

#### 2.2 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-127.Eagle Bridges Marathon Industries; 225.
    - b. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-60/85-70.Mon-Eco Industries, Inc.; 22-25.
  - 2. For indoor applications, adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. ASJ Adhesive, and FSK Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-82.
    - b. Eagle Bridges Marathon Industries; 225.
    - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-50.Mon-Eco Industries, Inc.; 22-25.
  - 2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

#### 2.3 MASTICS

- A. Materials shall be compatible with insulation materials, jackets, and substrates; comply with MIL-PRF-19565C, Type II.
  - 1. For indoor applications, use mastics that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- B. Vapor-Barrier Mastic: Water based; suitable for indoor use on below ambient services.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-80/30-90.
    - b. Vimasco Corporation; 749.

- 2. Water-Vapor Permeance: ASTM E 96/E 96M, Procedure B, 0.013 perm at 43-mil dry film thickness.
- 3. Service Temperature Range: Minus 20 to plus 180 deg F.
- 4. Solids Content: ASTM D 1644, 58 percent by volume and 70 percent by weight.
- 5. Color: White.
- C. Vapor-Barrier Mastic: Solvent based; suitable for indoor use on below ambient services.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-30.
    - b. Eagle Bridges Marathon Industries; 501.
    - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-35.
    - d. Mon-Eco Industries, Inc.; 55-10.
  - 2. Water-Vapor Permeance: ASTM F 1249, 0.05 perm at 35-mil dry film thickness.
  - 3. Service Temperature Range: 0 to 180 deg F.
  - 4. Solids Content: ASTM D 1644, 44 percent by volume and 62 percent by weight.
  - 5. Color: White.
- D. Vapor-Barrier Mastic: Solvent based; suitable for outdoor use on below ambient services.
  - Products: Subject to compliance with requirements, provide one of the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; Encacel.
    - b. Eagle Bridges Marathon Industries; 570.
    - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 60-95/60-96.
  - 2. Water-Vapor Permeance: ASTM F 1249, 0.05 perm at 30-mil dry film thickness.
  - 3. Service Temperature Range: Minus 50 to plus 220 deg F.
  - 4. Solids Content: ASTM D 1644, 33 percent by volume and 46 percent by weight.
  - 5. Color: White.

#### 2.4 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A and shall be compatible with insulation materials, jackets, and substrates.
  - 1. For indoor applications, use lagging adhesives that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 2. Products: Subject to compliance with requirements, provide one of the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-50 AHV2.Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-36.
    - b. Vimasco Corporation; 713 and 714.
  - 3. Fire-resistant, water-based lagging adhesive and coating for use indoors to adhere fire-resistant lagging cloths over duct insulation.
  - 4. Service Temperature Range: 0 to plus 180 deg F.
  - 5. Color: White.

#### 2.5 SEALANTS

- A. FSK and Metal Jacket Flashing Sealants:
  - 1. Products: Subject to compliance with requirements, provide one of the following:

- a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.Eagle Bridges Marathon Industries; 405.
- b. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 95-44.
- c. Mon-Eco Industries, Inc.; 44-05.
- 2. Materials shall be compatible with insulation materials, jackets, and substrates.
- 3. Fire- and water-resistant, flexible, elastomeric sealant.
- 4. Service Temperature Range: Minus 40 to plus 250 deg F.
- 5. Color: Aluminum.
- 6. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- 7. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. ASJ Flashing Sealants, and Vinyl and PVC Jacket Flashing Sealants:
  - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.
  - 2. Materials shall be compatible with insulation materials, jackets, and substrates.
  - 3. Fire- and water-resistant, flexible, elastomeric sealant.
  - 4. Service Temperature Range: Minus 40 to plus 250 deg F.
  - 5. Color: White.
  - 6. For indoor applications, sealants shall have a VOC content of 420 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 7. Sealants shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

#### 2.6 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C 1136, Type I.
  - 2. ASJ-SSL: ASJ with self-sealing, pressure-sensitive, acrylic-based adhesive covered by a removable protective strip; complying with ASTM C 1136, Type I.
  - 3. FSK Jacket: Aluminum-foil, fiberglass-reinforced scrim with kraft-paper backing; complying with ASTM C 1136, Type II.
  - 4. FSP Jacket: Aluminum-foil, fiberglass-reinforced scrim with polyethylene backing; complying with ASTM C 1136, Type II.
  - 5. Vinyl Jacket: White vinyl with a permeance of 1.3 perms when tested according to ASTM E 96/E 96M, Procedure A, and complying with NFPA 90A and NFPA 90B.

#### 2.7 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.
- B. FSK Jacket: Aluminum-foil-face, fiberglass-reinforced scrim with kraft-paper backing.
- C. Metal Jacket:

- 1. Products: Subject to compliance with requirements, provide one of the following:
  - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; Metal Jacketing Systems.
  - b. ITW Insulation Systems; Aluminum and Stainless Steel Jacketing.
  - c. RPR Products, Inc.; Insul-Mate.
- 2. Aluminum Jacket: Comply with ASTM B 209, Alloy 3003, 3005, 3105, or 5005, Temper H-14.
  - a. Factory cut and rolled to size.
  - b. Finish and thickness are indicated in field-applied jacket schedules.

#### 2.8 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C 1136.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. ABI, Ideal Tape Division; 428 AWF ASJ.
    - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0836.
    - c. Compac Corporation; 104 and 105.
    - d. Venture Tape; 1540 CW Plus, 1542 CW Plus, and 1542 CW Plus/SQ.
  - 2. Width: 3 inches.
  - 3. Thickness: 11.5 mils.
  - 4. Adhesion: 90 ounces force/inch in width.
  - 5. Elongation: 2 percent.
  - 6. Tensile Strength: 40 lbf/inch in width.
  - 7. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.
- B. FSK Tape: Foil-face, vapor-retarder tape matching factory-applied jacket with acrylic adhesive; complying with ASTM C 1136.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. ABI, Ideal Tape Division; 491 AWF FSK.
    - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0827.
    - c. Compac Corporation; 110 and 111.
    - d. Venture Tape; 1525 CW NT, 1528 CW, and 1528 CW/SQ.
  - 2. Width: 3 inches.
  - 3. Thickness: 6.5 mils.
  - 4. Adhesion: 90 ounces force/inch in width.
  - 5. Elongation: 2 percent.
  - 6. Tensile Strength: 40 lbf/inch in width.
  - 7. FSK Tape Disks and Squares: Precut disks or squares of FSK tape.
- C. Aluminum-Foil Tape: Vapor-retarder tape with acrylic adhesive.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. ABI, Ideal Tape Division; 488 AWF.
    - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0800.
    - c. Compac Corporation; 120.
    - d. Venture Tape; 3520 CW.
  - 2. Width: 2 inches.
  - 3. Thickness: 3.7 mils.
  - 4. Adhesion: 100 ounces force/inch in width.
  - 5. Elongation: 5 percent.
  - 6. Tensile Strength: 34 lbf/inch in width.

#### 2.9 SECUREMENTS

#### A. Bands:

- 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
  - a. ITW Insulation Systems; Gerrard Strapping and Seals.
  - b. RPR Products, Inc.; Insul-Mate Strapping, Seals, and Springs.
- 2. Aluminum: ASTM B 209, Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 3/4 inch wide with wing or closed seal.
- 3. Springs: Twin spring set constructed of stainless steel with ends flat and slotted to accept metal bands. Spring size determined by manufacturer for application.

#### B. Insulation Pins and Hangers:

- 1. Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated.
  - a. Products: Subject to compliance with requirements, provide one of the following:
    - 1) AGM Industries, Inc.; CWP-1.
    - 2) GEMCO; CD.
    - 3) Midwest Fasteners, Inc.; CD.
    - 4) Nelson Stud Welding; TPA, TPC, and TPS.
- 2. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.
  - a. Products: Subject to compliance with requirements, provide one of the following:
    - 1) AGM Industries, Inc.; CHP-1.
    - 2) GEMCO; Cupped Head Weld Pin.
    - 3) Midwest Fasteners, Inc.; Cupped Head.
    - 4) Nelson Stud Welding; CHP.
- 3. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch- thick, aluminum sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
  - a. Products: Subject to compliance with requirements, provide one of the following:
    - 1) AGM Industries, Inc.; RC-150.
    - 2) GEMCO; R-150.
    - 3) Midwest Fasteners, Inc.; WA-150.
    - 4) Nelson Stud Welding; Speed Clips.
  - b. Protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap in exposed locations.
- 4. Nonmetal Insulation-Retaining Washers: Self-locking washers formed from 0.016-inchthick nylon sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
  - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - 1) GEMCO.
    - 2) Midwest Fasteners, Inc.

#### 2.10 CORNER ANGLES

- A. Aluminum Corner Angles: 0.040 inch thick, minimum 1 by 1 inch, aluminum according to ASTM B 209, Alloy 3003, 3005, 3105, or 5005; Temper H-14.
- B. Stainless-Steel Corner Angles: 0.024 inch thick, minimum 1 by 1 inch, stainless steel according to ASTM A 167 or ASTM A 240/A 240M, Type 304 or Type 316.

#### **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
  - 1. Verify that systems to be insulated have been tested and are free of defects.
  - 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

#### 3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of ducts and fittings.
- B. Install insulation materials, vapor barriers or retarders, jackets, and thicknesses required for each item of duct system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during application and finishing.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.

- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch- wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 4 inches o.c.
    - a. For below ambient services, apply vapor-barrier mastic over staples.
  - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
  - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to duct flanges and fittings.
- L. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- M. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- N. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

#### 3.4 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
  - 4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
  - 4. Seal jacket to wall flashing with flashing sealant.
- C. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

- D. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Terminate insulation at fire damper sleeves for fire-rated wall and partition penetrations. Externally insulate damper sleeves to match adjacent insulation and overlap duct insulation at least 2 inches.
  - 1. Comply with requirements in Section 078413 "Penetration Firestopping"irestopping and fire-resistive joint sealers.
- E. Insulation Installation at Floor Penetrations:
  - 1. Duct: For penetrations through fire-rated assemblies, terminate insulation at fire damper sleeves and externally insulate damper sleeve beyond floor to match adjacent duct insulation. Overlap damper sleeve and duct insulation at least 2 inches.
  - 2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

# 3.5 INSTALLATION OF INSULATION

- A. Blanket Insulation Installation on Ducts and Plenums: Secure with adhesive and insulation pins.
  - 1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, for 100 percent coverage of duct and plenum surfaces.
  - 2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
  - 3. Install either capacitor-discharge-weld pins and speed washers or cupped-head, capacitor-discharge-weld pins on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
    - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
    - b. On duct sides with dimensions larger than 18 inches, place pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
    - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
    - d. Do not overcompress insulation during installation.
    - e. Impale insulation over pins and attach speed washers.
    - f. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
  - 4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
    - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vaporbarrier seal.
    - b. Install vapor stops for ductwork and plenums operating below 50 deg F at 18-foot intervals. Vapor stops shall consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
  - 5. Overlap unfaced blankets a minimum of 2 inches on longitudinal seams and end joints. At end joints, secure with steel bands spaced a maximum of 18 inches o.c.

- 6. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
- 7. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6-inch- wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.
- B. Board Insulation Installation on Ducts and Plenums: Secure with adhesive and insulation pins.
  - 1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, for 100 percent coverage of duct and plenum surfaces.
  - 2. Apply adhesive to entire circumference of ducts and to all surfaces of fittings and transitions.
  - 3. Install either capacitor-discharge-weld pins and speed washers or cupped-head, capacitor-discharge-weld pins on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
    - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
    - b. On duct sides with dimensions larger than 18 inches, space pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
    - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
    - d. Do not overcompress insulation during installation.
    - e. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
  - 4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
    - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.
    - b. Install vapor stops for ductwork and plenums operating below 50 deg F at 18-foot intervals. Vapor stops shall consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
  - 5. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Groove and score insulation to fit as closely as possible to outside and inside radius of elbows. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
  - 6. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6-inch- wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.

# 3.6 FIELD-APPLIED JACKET INSTALLATION

- A. Where glass-cloth jackets are indicated, install directly over bare insulation or insulation with factory-applied jackets.
  - 1. Draw jacket smooth and tight to surface with 2-inch overlap at seams and joints.
  - 2. Embed glass cloth between two 0.062-inch- thick coats of lagging adhesive.
  - 3. Completely encapsulate insulation with coating, leaving no exposed insulation.
- B. Where FSK jackets are indicated, install as follows:
  - 1. Draw jacket material smooth and tight.
  - 2. Install lap or joint strips with same material as jacket.
  - 3. Secure jacket to insulation with manufacturer's recommended adhesive.
  - 4. Install jacket with 1-1/2-inch laps at longitudinal seams and 3-inch- wide joint strips at end joints.
  - 5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-barrier mastic.
- C. Where PVC jackets are indicated, install with 1-inch overlap at longitudinal seams and end joints; for horizontal applications, install with longitudinal seams along top and bottom of tanks and vessels. Seal with manufacturer's recommended adhesive.
  - 1. Apply two continuous beads of adhesive to seams and joints, one bead under lap and the finish bead along seam and joint edge.
- D. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.

### 3.7 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Section 099113 "Exterior Painting" and Section 099123 "Interior Painting."
  - 1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
    - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Architect. Vary first and second coats to allow visual inspection of the completed Work.
- D. Do not field paint aluminum or stainless-steel jackets.

# 3.8 FIELD QUALITY CONTROL

- A. Tests and Inspections:
  - Inspect ductwork, randomly selected by Architect, by removing field-applied jacket and insulation in layers in reverse order of their installation. Extent of inspection shall be limited to one location(s) for each duct system defined in the "Duct Insulation Schedule, General" Article.
- B. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

# 3.9 DUCT INSULATION SCHEDULE, GENERAL

- A. Plenums and Ducts Requiring Insulation:
  - 1. Indoor, concealed supply and outdoor air.
  - 2. Indoor, concealed return located in unconditioned space.
  - 3. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
- B. Items Not Insulated:
  - 1. Metal ducts with duct liner of sufficient thickness to comply with energy code and ASHRAE/IESNA 90.1.
  - 2. Factory-insulated flexible ducts.
  - 3. Factory-insulated plenums and casings.
  - 4. Flexible connectors.
  - 5. Vibration-control devices.
  - 6. Factory-insulated access panels and doors.

# 3.10 INDOOR DUCT AND PLENUM INSULATION SCHEDULE

- A. Concealed, round and flat-oval, supply-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- B. Concealed, round and flat-oval, return-air duct insulation shall be one of the following:
  - 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- C. Concealed, round and flat-oval, outdoor-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- D. Concealed, round and flat-oval, exhaust-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- E. Concealed, rectangular, supply-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- F. Concealed, rectangular, return-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 1-1/2 inches thick and 1.5-lb/cu. ft. nominal density.
- G. Concealed, rectangular, outdoor-air duct insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- H. Concealed, rectangular, exhaust-air duct insulation between isolation damper and penetration of building exterior shall be one of the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- I. Concealed, return-air plenum insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- J. Concealed, supply-air plenum insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- K. Concealed, outdoor-air plenum insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.
- L. Concealed, exhaust-air plenum insulation shall be the following:
  - 1. Mineral-Fiber Blanket: 2 inches thick and 1.5-lb/cu. ft. nominal density.

**END OF SECTION 230713** 

# SECTION 230900 - INSTRUMENTATION AND CONTROL FOR HVAC

#### PART 1 - GENERAL

# 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes control equipment for HVAC systems and components, including control components for terminal heating and cooling units not supplied with factory-wired controls.
- B. Related Sections include the following:
  - 1. Section 230519 "Meters and Gages for HVAC Piping" for measuring equipment that relates to this Section.
  - 2. Section 230993 "Sequence of Operations for HVAC Controls" for requirements that relate to this Section.

# 1.3 **DEFINITIONS**

- A. DDC: Direct digital control.
- B. I/O: Input/output.
- C. LonWorks: A control network technology platform for designing and implementing interoperable control devices and networks.
- D. MS/TP: Master slave/token passing.
- E. PC: Personal computer.
- F. PID: Proportional plus integral plus derivative.
- G. RTD: Resistance temperature detector.

# 1.4 SYSTEM PERFORMANCE

- A. Comply with the following performance requirements:
  - 1. Object Command: Reaction time of less than two seconds between operator command of a binary object and device reaction.
  - 2. Object Scan: Transmit change of state and change of analog values to control units or workstation within six seconds.
  - 3. Alarm Response Time: Annunciate alarm at workstation within 45 seconds. Multiple workstations must receive alarms within five seconds of each other.
  - 4. Program Execution Frequency: Run capability of applications as often as five seconds, but selected consistent with mechanical process under control.
  - 5. Performance: Programmable controllers shall execute DDC PID control loops, and scan and update process values and outputs at least once per second.

- 6. Reporting Accuracy and Stability of Control: Report values and maintain measured variables within tolerances as follows:
  - a. Water Temperature: Plus or minus 1 deg F.
  - b. Water Flow: Plus or minus 2 percent of full scale.
  - c. Water Pressure: Plus or minus 2 percent of full scale.
  - d. Space Temperature: Plus or minus 1 deg F.
  - e. Ducted Air Temperature: Plus or minus 1 deg F.
  - f. Outside Air Temperature: Plus or minus 2 deg F.
  - g. Dew Point Temperature: Plus or minus 3 deg F.
  - h. Temperature Differential: Plus or minus 0.25 deg F.
  - i. Relative Humidity: Plus or minus 2 percent.
  - j. Airflow (Pressurized Spaces): Plus or minus 3 percent of full scale.
  - k. Airflow (Measuring Stations): Plus or minus 5 percent of full scale.
  - 1. Airflow (Terminal): Plus or minus 10 percent of full scale.
  - m. Air Pressure (Space): Plus or minus 0.01-inch wg.
  - n. Air Pressure (Ducts): Plus or minus 0.1-inch wg.
  - o. Electrical: Plus or minus 1 percent of reading.

#### 1.5 SUBMITTALS

- A. Product Data: Include manufacturer's technical literature for each control device. Indicate dimensions, capacities, performance characteristics, electrical characteristics, finishes for materials, and installation and startup instructions for each type of product indicated.
  - 1. DDC System Hardware: Bill of materials of equipment indicating quantity, manufacturer, and model number. Include technical data for operator workstation equipment, interface equipment, control units, transducers/transmitters, sensors, actuators, valves, relays/switches, control panels, and operator interface equipment.
  - 2. Controlled Systems: Instrumentation list with element name, type of device, manufacturer, model number, and product data. Include written description of sequence of operation including schematic diagram.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 1. Bill of materials of equipment indicating quantity, manufacturer, and model number.
  - 2. Schematic flow diagrams showing fans, pumps, coils, dampers, valves, and control devices
  - 3. Wiring Diagrams: Power, signal, and control wiring.
  - 4. Details of control panel faces, including controls, instruments, and labeling.
  - 5. Written description of sequence of operation.
  - 6. Schedule of dampers including size, leakage, and flow characteristics.
  - 7. Schedule of valves including flow characteristics.
  - 8. DDC System Hardware:
    - a. Wiring diagrams for control units with termination numbers.
    - b. Schematic diagrams and floor plans for field sensors and control hardware.
    - c. Schematic diagrams for control, communication, and power wiring, showing trunk data conductors and wiring between operator workstation and control unit locations.
  - 9. Control System Software: List of color graphics indicating monitored systems, data (connected and calculated) point addresses, output schedule, and operator notations.
  - 10. Controlled Systems:

- a. Schematic diagrams of each controlled system with control points labeled and control elements graphically shown, with wiring.
- b. Scaled drawings showing mounting, routing, and wiring of elements including bases and special construction.
- c. Written description of sequence of operation including schematic diagram.
- d. Points list.

# 1.6 PROJECT RECORD DOCUMENTS

- A. Operation and Maintenance Data: For HVAC instrumentation and control system to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
  - 1. Maintenance instructions and lists of spare parts for each type of control device and compressed-air station.
  - 2. Interconnection wiring diagrams with identified and numbered system components and devices.
  - 3. Inspection period, cleaning methods, cleaning materials recommended, and calibration tolerances.
  - 4. Calibration records and list of set points.

# 1.7 QUALITY ASSURANCE

- A. Installer Qualifications: Automatic control system manufacturer's authorized representative who is trained and approved for installation of system components required for this Project.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with ASHRAE 135 for DDC system components.

# 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Factory-Mounted Components: Where control devices specified in this Section are indicated to be factory mounted on equipment, arrange for shipping of control devices to equipment manufacturer.
- B. System Software: Update to latest version of software at Project completion.

# 1.9 COORDINATION

- A. Coordinate location of thermostats, humidistats, and other exposed control sensors with plans and room details before installation.
- B. Coordinate equipment and installation with Sections 26, 27, and 28, as appropriate, for systems integration requirements.
- C. Coordinate supply of conditioned electrical branch circuits for control units and operator workstation.

- D. Coordinate equipment with Section 262416 "Panelboards" to achieve compatibility with starter coils and annunciation devices.
- E. Coordinate equipment with Section 262419 "Motor-Control Centers" to achieve compatibility with motor starters and annunciation devices.
- F. All external wiring of equipment, all temperature control wiring, external wiring of control circuits of magnetic starters, interlocking wiring, boiler wiring, Emergency Break Glass Stations, and mounting of control devices, etc., shall be included under Division 23. All external wiring shall be in conduit. Provide 120V power to all necessary control panels, controllers, etc. from nearest spare panelboard circuit breaker location. Furnish and install necessary circuit breakers. If facility contains emergency power, connect circuit(s) to emergency panelboards.

#### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the manufacturers specified.
- B. Service Requirements: The manufacturer's service representative must be located within 50 miles of the project site, and have a maximum service call response time of 24 hours. The service representative must have a minimum of 5 years experience maintaining the control system manufacturer's equipment.

# 2.2 CONTROL SYSTEM

- A. Manufacturers:
  - 1. Existing Andover.
- B. All controls shall be compatible to the existing Andover controls system.

# 2.3 DDC EQUIPMENT

- A. Control Units: Modular, comprising processor board with programmable, nonvolatile, random-access memory; local operator access and display panel; integral interface equipment; and backup power source.
  - 1. Units monitor or control each I/O point; process information; execute commands from other control units, devices, and operator stations; and download from or upload to operator workstation or diagnostic terminal unit.
  - 2. Stand-alone mode control functions operate regardless of network status. Functions include the following:
    - a. Global communications.
    - b. Discrete/digital, analog, and pulse I/O.
    - c. Monitoring, controlling, or addressing data points.
    - d. Software applications, scheduling, and alarm processing.
    - e. Testing and developing control algorithms without disrupting field hardware and controlled environment.
  - 3. Standard Application Programs:

- a. Electric Control Programs: Demand limiting, duty cycling, automatic time scheduling, start/stop time optimization, night setback/setup, on-off control with differential sequencing, staggered start, antishort cycling, PID control, DDC with fine tuning, and trend logging.
- b. HVAC Control Programs: Optimal run time, supply-air reset, and enthalpy switchover.
- c. Programming Application Features: Include trend point; alarm processing and messaging; weekly, monthly, and annual scheduling; energy calculations; runtime totalization; and security access.
- d. Remote communications.
- e. Maintenance management.
- f. Units of Measure: Inch-pound and SI (metric).
- 4. Local operator interface provides for download from or upload to operator workstation or diagnostic terminal unit.
- 5. ASHRAE 135 Compliance: Control units shall use ASHRAE 135 protocol and communicate using ISO 8802-3 (Ethernet) datalink/physical layer protocol.
- B. Local Control Units: Modular, comprising processor board with electronically programmable, nonvolatile, read-only memory; and backup power source.
  - 1. Units monitor or control each I/O point, process information, and download from or upload to operator workstation or diagnostic terminal unit.
  - 2. Stand-alone mode control functions operate regardless of network status. Functions include the following:
    - a. Global communications.
    - b. Discrete/digital, analog, and pulse I/O.
    - c. Monitoring, controlling, or addressing data points.
  - 3. Local operator interface provides for download from or upload to operator workstation or diagnostic terminal unit.
  - 4. ASHRAE 135 Compliance: Control units shall use ASHRAE 135 protocol and communicate using ISO 8802-3 (Ethernet) datalink/physical layer protocol.
- C. I/O Interface: Hardwired inputs and outputs may tie into system through controllers. Protect points so that shorting will not cause damage to controllers.
  - 1. Binary Inputs: Allow monitoring of on-off signals without external power.
  - 2. Pulse Accumulation Inputs: Accept up to 10 pulses per second.
  - 3. Analog Inputs: Allow monitoring of low-voltage (0- to 10-V dc), current (4 to 20 mA), or resistance signals.
  - 4. Binary Outputs: Provide on-off or pulsed low-voltage signal, selectable for normally open or normally closed operation.
  - 5. Analog Outputs: Provide modulating signal, either low voltage (0- to 10-V dc) or current (4 to 20 mA).
  - 6. Tri-State Outputs: Provide two coordinated binary outputs for control of three-point, floating-type electronic actuators.
  - 7. Universal I/Os: Provide software selectable binary or analog outputs.
- D. Power Supplies: Transformers with Class 2 current-limiting type or overcurrent protection; limit connected loads to 80 percent of rated capacity. DC power supply shall match output current and voltage requirements and be full-wave rectifier type with the following:
  - 1. Output ripple of 5.0 mV maximum peak to peak.
  - 2. Combined 1 percent line and load regulation with 100-mic.sec. response time for 50 percent load changes.

- 3. Built-in overvoltage and overcurrent protection and be able to withstand 150 percent overload for at least 3 seconds without failure.
- E. Power Line Filtering: Internal or external transient voltage and surge suppression for workstations or controllers with the following:
  - 1. Minimum dielectric strength of 1000 V.
  - 2. Maximum response time of 10 nanoseconds.
  - 3. Minimum transverse-mode noise attenuation of 65 dB.
  - 4. Minimum common-mode noise attenuation of 150 dB at 40 to 100 Hz.

# 2.4 UNITARY CONTROLLERS

- A. Unitized, capable of stand-alone operation with sufficient memory to support its operating system, database, and programming requirements, and with sufficient I/O capacity for the application.
  - 1. Configuration: Local keypad and display; diagnostic LEDs for power, communication, and processor; wiring termination to terminal strip or card connected with ribbon cable; memory with bios; and 72-hour battery backup.
  - 2. Operating System: Manage I/O communication to allow distributed controllers to share real and virtual object information and allow central monitoring and alarms. Perform scheduling with real-time clock. Perform automatic system diagnostics; monitor system and report failures.
  - 3. ASHRAE 135 Compliance: Communicate using read (execute and initiate) and write (execute and initiate) property services defined in ASHRAE 135. Reside on network using MS/TP datalink/physical layer protocol and have service communication port for connection to diagnostic terminal unit.
  - 4. Enclosure: Dustproof rated for operation at 32 to 120 deg F.

### 2.5 ALARM PANELS

- A. Unitized cabinet with suitable brackets for wall or floor mounting. Fabricate of 0.06-inch- thick, furniture-quality steel or extruded-aluminum alloy, totally enclosed, with hinged doors and keyed lock and with manufacturer's standard shop-painted finish. Provide common keying for all panels.
- B. Indicating light for each alarm point, single horn, acknowledge switch, and test switch, mounted on hinged cover.
  - 1. Alarm Condition: Indicating light flashes and horn sounds.
  - 2. Acknowledge Switch: Horn is silent and indicating light is steady.
  - 3. Second Alarm: Horn sounds and indicating light is steady.
  - 4. Alarm Condition Cleared: System is reset and indicating light is extinguished.
  - 5. Contacts in alarm panel allow remote monitoring by independent alarm company.

# 2.6 ANALOG CONTROLLERS

- A. Step Controllers: 6- or 10-stage type, with heavy-duty switching rated to handle loads and operated by electric motor.
- B. Electric, Outdoor-Reset Controllers: Remote-bulb or bimetal rod-and-tube type, proportioning action with adjustable throttling range, adjustable set point, scale range minus 10 to plus 70 deg F, and single- or double-pole contacts.

- C. Electronic Controllers: Wheatstone-bridge-amplifier type, in steel enclosure with provision for remote-resistance readjustment. Identify adjustments on controllers, including proportional band and authority.
  - 1. Single controllers can be integral with control motor if provided with accessible control readjustment potentiometer.
- D. Fan-Speed Controllers: Solid-state model providing field-adjustable proportional control of motor speed from maximum to minimum of 55 percent and on-off action below minimum fan speed. Controller shall briefly apply full voltage, when motor is started, to rapidly bring motor up to minimum speed. Equip with filtered circuit to eliminate radio interference.
- E. Receiver Controllers: Single- or multiple-input models with control-point adjustment, direct or reverse acting with mechanical set-point adjustment with locking device, proportional band adjustment, authority adjustment, and proportional control mode.
  - 1. Remote-control-point adjustment shall be plus or minus 20 percent of sensor span, input signal of 3 to 13 psig.
  - 2. Proportional band shall extend from 2 to 20 percent for 5 psig.
  - 3. Authority shall be 20 to 200 percent.
  - 4. Air-supply pressure of 18 psig, input signal of 3 to 15 psig, and output signal of zero to supply pressure.
  - 5. Gages: 2-1/2 inches in diameter, 2.5 percent wide-scale accuracy, and range to match transmitter input or output pressure.

# 2.7 ELECTRONIC SENSORS

- A. Description: Vibration and corrosion resistant; for wall, immersion, or duct mounting as required.
- B. Thermistor Temperature Sensors and Transmitters:
  - 1. Manufacturers:
    - a. BEC Controls Corporation.
    - b. Ebtron, Inc.
    - c. Heat-Timer Corporation.
    - d. I.T.M. Instruments Inc.
    - e. MAMAC Systems, Inc.
    - f. RDF Corporation.
  - 2. Accuracy: Plus or minus 0.5 deg F at calibration point.
  - 3. Wire: Twisted, shielded-pair cable.
  - 4. Insertion Elements in Ducts: Single point, 8 inches long; use where not affected by temperature stratification or where ducts are smaller than 9 sq. ft..
  - 5. Averaging Elements in Ducts: 60 inches in length per 10 sq. ft. of duct cross-sectional area; use where prone to temperature stratification or where ducts are larger than 10 sq. ft.
  - 6. Insertion Elements for Liquids: Brass or stainless-steel socket with minimum insertion length of 2-1/2 inches.
  - 7. Room Sensor Cover Construction: Manufacturer's standard locking covers.
    - a. Set-Point Adjustment: Concealed in public spaces and exposed in staff spaces.
    - b. Set-Point Indication: Concealed in public spaces and exposed in staff areas.
  - 8. Outside-Air Sensors: Watertight inlet fitting, shielded from direct sunlight.

# C. RTDs and Transmitters:

- 1. Manufacturers:
  - a. BEC Controls Corporation.
  - b. MAMAC Systems, Inc.
  - c. RDF Corporation.
- 2. Accuracy: Plus or minus 0.2 percent at calibration point.
- 3. Wire: Twisted, shielded-pair cable.
- 4. Insertion Elements in Ducts: Single point, 8 inches long; use where not affected by temperature stratification or where ducts are smaller than 9 sq. ft..
- 5. Averaging Elements in Ducts: 60 inches in length per 10 sq. ft. of duct cross-sectional area; use where prone to temperature stratification or where ducts are larger than 10 sq. ft.; length as required.
- 6. Insertion Elements for Liquids: Brass socket with minimum insertion length of 2-1/2 inches.
- 7. Room Sensor Cover Construction: Manufacturer's standard locking covers.
  - a. Set-Point Adjustment: Concealed in public spaces and exposed in staff spaces.
  - b. Set-Point Indication: Concealed in public spaces and exposed in staff areas.
- 8. Outside-Air Sensors: Watertight inlet fitting, shielded from direct sunlight.

# D. Humidity Sensors: Bulk polymer sensor element.

- 1. Manufacturers:
  - a. BEC Controls Corporation.
  - b. General Eastern Instruments.
  - c. MAMAC Systems, Inc.
  - d. ROTRONIC Instrument Corp.
  - e. TCS/Basys Controls.
  - f. Vaisala.
- 2. Accuracy: 2 percent full range with linear output.
- 3. Room Sensor Range: 20 to 80 percent relative humidity.
- 4. Room Sensor Cover Construction: Manufacturer's standard locking covers.
  - a. Set-Point Adjustment: Concealed in public spaces and exposed in staff spaces.
  - b. Set-Point Indication: Concealed in public spaces and exposed in staff areas.
- 5. Duct Sensor: 20 to 80 percent relative humidity range with element guard and mounting plate.
- 6. Outside-Air Sensor: 20 to 80 percent relative humidity range with mounting enclosure, suitable for operation at outdoor temperatures of minus 20 to plus 170 deg F.
- 7. Duct and Sensors: With element guard and mounting plate, range of 0 to 100 percent relative humidity.

# E. Pressure Transmitters/Transducers:

- 1. Manufacturers:
  - a. BEC Controls Corporation.
  - b. General Eastern Instruments.
  - c. MAMAC Systems, Inc.
  - d. ROTRONIC Instrument Corp.
  - e. TCS/Basys Controls.
  - f. Vaisala.
- 2. Static-Pressure Transmitter: Nondirectional sensor with suitable range for expected input, and temperature compensated.
  - a. Accuracy: 2 percent of full scale with repeatability of 0.5 percent.
  - b. Output: 4 to 20 mA.

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- c. Building Static-Pressure Range: 0- to 0.25-inch wg.
- d. Duct Static-Pressure Range: 0- to 5-inch wg.
- 3. Water Pressure Transducers: Stainless-steel diaphragm construction, suitable for service; minimum 150-psig operating pressure; linear output 4 to 20 mA.
- 4. Water Differential-Pressure Transducers: Stainless-steel diaphragm construction, suitable for service; minimum 150-psig operating pressure and tested to 300-psig; linear output 4 to 20 mA.
- 5. Differential-Pressure Switch (Air or Water): Snap acting, with pilot-duty rating and with suitable scale range and differential.
- 6. Pressure Transmitters: Direct acting for gas, liquid, or steam service; range suitable for system; linear output 4 to 20 mA.
- F. Room Sensor Cover Construction: Manufacturer's standard locking covers.
  - a. Set-Point Adjustment: Concealed in public spaces and exposed in staff spaces.
  - b. Set-Point Indication: Concealed in public spaces and exposed in staff areas.
- G. Room sensor accessories include the following:
  - 1. Insulating Bases: For sensors located on exterior walls.
  - 2. Adjusting Key: As required for calibration and cover screws.

# 2.8 STATUS SENSORS

- A. Status Inputs for Fans: Differential-pressure switch with pilot-duty rating and with adjustable range of 0- to 5-inch wg.
- B. Status Inputs for Pumps: Differential-pressure switch with pilot-duty rating and with adjustable pressure-differential range of 8 to 60 psig, piped across pump.
- C. Status Inputs for Electric Motors: Comply with ISA 50.00.01, current-sensing fixed- or split-core transformers with self-powered transmitter, adjustable and suitable for 175 percent of rated motor current.
- D. Voltage Transmitter (100- to 600-V ac): Comply with ISA 50.00.01, single-loop, self-powered transmitter, adjustable, with suitable range and 1 percent full-scale accuracy.
- E. Power Monitor: 3-phase type with disconnect/shorting switch assembly, listed voltage and current transformers, with pulse kilowatt hour output and 4- to 20-mA kW output, with maximum 2 percent error at 1.0 power factor and 2.5 percent error at 0.5 power factor.
- F. Current Switches: Self-powered, solid-state with adjustable trip current, selected to match current and system output requirements.
- G. Electronic Valve/Damper Position Indicator: Visual scale indicating percent of travel and 2- to 10-V dc, feedback signal.
- H. Water-Flow Switches: Bellows-actuated mercury or snap-acting type with pilot-duty rating, stainless-steel or bronze paddle, with appropriate range and differential adjustment, in NEMA 250, Type 1 enclosure.
  - 1. Manufacturers:
    - a. BEC Controls Corporation.
    - b. I.T.M. Instruments Inc.

#### 2.9 FLOW MEASURING STATIONS

- A. Duct Airflow Station: Combination of air straightener and multiport, self-averaging pitot tube station.
  - 1. Manufacturers:
    - Air Monitor Corporation. a.
    - Wetmaster Co., Ltd. b.
  - Casing: Galvanized-steel frame. 2.
  - 3. Flow Straightener: Aluminum honeycomb, 3/4-inch parallel cell, 3 inches deep.
  - 4. Sensing Manifold: Copper manifold with bullet-nosed static pressure sensors positioned on equal area basis.

#### 2.10 **THERMOSTATS**

- A. Manufacturers:
  - 1. Erie Controls.
  - 2. Danfoss Inc.; Air-Conditioning and Refrigeration Div.
  - 3. Heat-Timer Corporation.
  - Sauter Controls Corporation. 4.
  - 5. tekmar Control Systems, Inc.
  - Theben AG Lumilite Control Technology, Inc. 6.
- В. Low-Voltage, On-Off Thermostats: NEMA DC 3, 24-V, bimetal-operated, mercury-switch type, with adjustable or fixed anticipation heater, concealed set-point adjustment, 55 to 85 deg F setpoint range, and 2 deg F maximum differential.
- C. Bimetal-actuated, open contact or bellows-actuated, Line-Voltage, On-Off Thermostats: enclosed, snap-switch or equivalent solid-state type, with heat anticipator; listed for electrical rating; with concealed set-point adjustment, 55 to 85 deg F set-point range, and 2 deg F maximum differential.
  - Electric Heating Thermostats: Equip with off position on dial wired to break ungrounded 1. conductors.
  - 2. Selector Switch: Integral, manual on-off-auto.
- D. Remote-Bulb Thermostats: On-off or modulating type, liquid filled to compensate for changes in ambient temperature; with copper capillary and bulb, unless otherwise indicated.
  - Bulbs in water lines with separate wells of same material as bulb. 1.
  - 2. Bulbs in air ducts with flanges and shields.
  - Averaging Elements: Copper tubing with either single- or multiple-unit elements, 3. extended to cover full width of duct or unit; adequately supported.
  - 4. Scale settings and differential settings are clearly visible and adjustable from front of instrument.
  - 5. On-Off Thermostat: With precision snap switches and with electrical ratings required by application.
  - 6. Modulating Thermostats: Construct so complete potentiometer coil and wiper assembly is removable for inspection or replacement without disturbing calibration of instrument.
- E. Immersion Thermostat: Remote-bulb or bimetal rod-and-tube type, proportioning action with adjustable throttling range and adjustable set point.

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- F. Airstream Thermostats: Two-pipe, fully proportional, single-temperature type; with adjustable set point in middle of range, adjustable throttling range, plug-in test fitting or permanent pressure gage, remote bulb, bimetal rod and tube, or averaging element.
- G. Electric, Low-Limit Duct Thermostat: Snap-acting, single-pole, single-throw, automatic-reset switch that trips if temperature sensed across any 12 inches of bulb length is equal to or below set point.
  - 1. Bulb Length: Minimum 20 feet.
  - 2. Quantity: One thermostat for every 20 sq. ft. of coil surface.
- H. Electric, High-Limit Duct Thermostat: Snap-acting, single-pole, single-throw, automatic-reset switch that trips if temperature sensed across any 12 inches of bulb length is equal to or above set point.
  - 1. Bulb Length: Minimum 20 feet.
  - 2. Quantity: One thermostat for every 20 sq. ft. of coil surface.
- I. Heating/Cooling Valve-Top Thermostats: Proportional acting for proportional flow, with molded-rubber diaphragm, remote-bulb liquid-filled element, direct and reverse acting at minimum shutoff pressure of 25 psig, and cast housing with position indicator and adjusting knob.

### 2.11 HUMIDISTATS

- A. Manufacturers:
  - 1. MAMAC Systems, Inc.
  - 2. ROTRONIC Instrument Corp.
- B. Pneumatic Room Humidistats: Wall-mounting, proportioning type with adjustable throttling range, 20 to 90 percent operating range, and cover matching room thermostat cover.
- C. Duct-Mounting Humidistats: Electric insertion, 2-position type with adjustable, 2 percent throttling range, 20 to 80 percent operating range, and single- or double-pole contacts.

#### 2.12 ACTUATORS

- A. Electric Motors: Size to operate with sufficient reserve power to provide smooth modulating action or two-position action.
  - 1. Comply with requirements in Section 230513 "Common Motor Requirements for HVAC Equipment."
  - 2. Permanent Split-Capacitor or Shaded-Pole Type: Gear trains completely oil immersed and sealed. Equip spring-return motors with integral spiral-spring mechanism in housings designed for easy removal for service or adjustment of limit switches, auxiliary switches, or feedback potentiometer.
  - 3. Nonspring-Return Motors for Valves Larger Than NPS 2-1/2: Size for running torque of 150 in. x lbf and breakaway torque of 300 in. x lbf.
  - 4. Spring-Return Motors for Valves Larger Than NPS 2-1/2: Size for running and breakaway torque of 150 in. x lbf.
  - 5. Nonspring-Return Motors for Dampers Larger Than 25 Sq. Ft.: Size for running torque of 150 in. x lbf and breakaway torque of 300 in. x lbf.
  - 6. Spring-Return Motors for Dampers Larger Than 25 Sq. Ft.: Size for running and breakaway torque of 150 in. x lbf.

- Manual Positioning: Operators shall be able to manually position each actuator when the 7. actuator is not powered. Non-spring-return actuators shall have an external manual gear release. Spring-return actuators with more than 60 in.-lb. torque capacity shall have a manual crank.
- В. Electronic Actuators: Direct-coupled type designed for minimum 60,000 full-stroke cycles at rated torque.
  - Manufacturers: 1.
    - Belimo Aircontrols (USA), Inc.
  - Valves: Size for torque required for valve close off at maximum pump differential 2.
  - 3. Dampers: Size for running torque calculated as follows:
    - Parallel-Blade Damper with Edge Seals: 7 inch-lb/sq. ft. of damper.
    - b. Opposed-Blade Damper with Edge Seals: 5 inch-lb/sq. ft. of damper.
    - Parallel-Blade Damper without Edge Seals: 4 inch-lb/sq. ft of damper. c.
    - Opposed-Blade Damper without Edge Seals: 3 inch-lb/sq. ft. of damper. d.
    - Dampers with 2- to 3-Inch wg of Pressure Drop or Face Velocities of 1000 to e. 2500 fpm: Increase running torque by 1.5.
    - f. Dampers with 3- to 4-Inch wg of Pressure Drop or Face Velocities of 2500 to 3000 fpm: Increase running torque by 2.0.
  - Coupling: V-bolt and V-shaped, toothed cradle. 4.
  - 5. Overload Protection: Electronic overload or digital rotation-sensing circuitry.
  - Fail-Safe Operation: Mechanical, spring-return mechanism. Provide external, manual 6. gear release on nonspring-return actuators.
  - 7. Manual Positioning: Operators shall be able to manually position each actuator when the actuator is not powered. Non-spring-return actuators shall have an external manual gear release. Spring-return actuators with more than 60 in.-lb. torque capacity shall have a manual crank.
  - 8. Power Requirements (Two-Position Spring Return): 24-V ac.
  - 9. Power Requirements (Modulating): Maximum 10 VA at 24-V ac or 8 W at 24-V dc.
  - Proportional Signal: 2- to 10-V dc or 4 to 20 mA, and 2- to 10-V dc position feedback 10. signal.
  - 11. Temperature Rating: 40 to 104 deg F, unless used for outdoor applications.
  - 12. Temperature Rating (Smoke Dampers): Minus 22 to plus 250 deg F.
  - 13. Run Time: 12 seconds open, 5 seconds closed.

#### 2.13 CONTROL VALVES

- A. Manufacturers:
  - 1. Danfoss Inc.; Air Conditioning & Refrigeration Div.
  - 2. Erie Controls.
  - Hayward Industrial Products, Inc. 3.
  - Magnatrol Valve Corporation. 4.
  - 5. Neles-Jamesbury.
  - Parker Hannifin Corporation; Skinner Valve Division. 6.
  - 7. Pneuline Controls.
  - 8. Sauter Controls Corporation.
- Control Valves: Factory fabricated, of type, body material, and pressure class based on B. maximum pressure and temperature rating of piping system, unless otherwise indicated.

- C. Hydronic system globe valves shall have the following characteristics:
  - 1. NPS 2 and Smaller: Class 125 bronze body, bronze trim, rising stem, renewable composition disc, and screwed ends with backseating capacity repackable under pressure.
  - 2. NPS 2-1/2 and Larger: Class 125 iron body, bronze trim, rising stem, plug-type disc, flanged ends, and renewable seat and disc.
  - 3. Internal Construction: Replaceable plugs and stainless-steel or brass seats.
    - a. Single-Seated Valves: Cage trim provides seating and guiding surfaces for plug on top and bottom.
    - b. Double-Seated Valves: Balanced plug; cage trim provides seating and guiding surfaces for plugs on top and bottom.
  - 4. Sizing: 3-psig maximum pressure drop at design flow rate or the following:
    - a. Two Position: Line size.
    - b. Two-Way Modulating: Either the value specified above or twice the load pressure drop, whichever is more.
    - c. Three-Way Modulating: Twice the load pressure drop, but not more than value specified above.
  - 5. Flow Characteristics: Two-way valves shall have equal percentage characteristics; three-way valves shall have linear characteristics.
  - 6. Close-Off (Differential) Pressure Rating: Combination of actuator and trim shall provide minimum close-off pressure rating of 150 percent of total system (pump) head for two-way valves and 100 percent of pressure differential across valve or 100 percent of total system (pump) head.
- D. Steam system globe valves shall have the following characteristics:
  - 1. NPS 2 and Smaller: Class 125 bronze body, bronze trim, rising stem, renewable composition disc, and screwed ends with backseating capacity repackable under pressure.
  - 2. NPS 2-1/2 and Larger: Class 125 iron body, bronze trim, rising stem, plug-type disc, flanged ends, and renewable seat and disc.
  - 3. Internal Construction: Replaceable plugs and stainless-steel seats.
    - a. Single-Seated Valves: Cage trim provides seating and guiding surfaces for plug on top and bottom of guided plugs.
    - b. Double-Seated Valves: Balanced plug; cage trim provides seating and guiding surfaces for plugs on top and bottom of guided plugs.
  - 4. Sizing: For pressure drop based on the following services:
    - a. Two Position: 20 percent of inlet pressure.
    - b. Modulating, 15-psigam: 80 percent of inlet steam pressure.
    - c. Modulating, 16- to 50-psig Steam: 50 percent of inlet steam pressure.
    - d. Modulating, More Than 50-psig Steam: As indicated.
  - 5. Flow Characteristics: Modified linear characteristics.
  - 6. Close-Off (Differential) Pressure Rating: Combination of actuator and trim shall provide minimum close-off pressure rating of 150 percent of operating (inlet) pressure.
- E. Butterfly Valves: 200-psig, 150-psig maximum pressure differential, ASTM A 126 cast-iron or ASTM A 536 ductile-iron body and bonnet, extended neck, stainless-steel stem, field-replaceable EPDM or Buna N sleeve and stem seals.
  - 1. Body Style: Lug or Grooved.
  - 2. Disc Type: Aluminum bronze.
  - 3. Sizing: 1-psig maximum pressure drop at design flow rate.

- F. Terminal Unit Control Valves: Bronze body, bronze trim, two or three ports as indicated, replaceable plugs and seats, and union and threaded ends.
  - 1. Rating: Class 125 for service at 125 psig and 250 deg F operating conditions.
  - 2. Sizing: 3-psig maximum pressure drop at design flow rate, to close against pump shutoff head.
  - 3. Flow Characteristics: Two-way valves shall have equal percentage characteristics; three-way valves shall have linear characteristics.
- G. Self-Contained Control Valves: Bronze body, bronze trim, two or three ports as indicated, replaceable plugs and seats, and union and threaded ends.
  - 1. Rating: Class 125 for service at 125 psig and 250 deg F operating conditions.
  - 2. Thermostatic Operator: Liquid-filled remote sensor with integral adjustable dial.

#### 2.14 DAMPERS

- A. Manufacturers:
  - 1. Air Balance Inc.
  - 2. Don Park Inc.; Autodamp Div.
  - 3. TAMCO (T. A. Morrison & Co. Inc.).
  - 4. United Enertech Corp.
  - 5. Vent Products Company, Inc.
- B. Dampers: AMCA-rated, parallel and opposed-blade design; 0.108-inch- minimum thick, galvanized-steel or 0.125-inch- minimum thick, extruded-aluminum frames with holes for duct mounting; damper blades shall not be less than 0.064-inch- thick galvanized steel with maximum blade width of 8 inches and length of 48 inches. Blades shall be airfoil type suitable for wide-open face velocity of 2000 fpm.
  - 1. Provide parallel blade design for two-position applications.
  - 2. Provide opposed-blade design for modulating applications.
  - 3. Secure blades to 1/2-inch- diameter, zinc-plated axles using zinc-plated hardware, with oil-impregnated sintered bronze blade bearings, blade-linkage hardware of zinc-plated steel and brass, ends sealed against spring-stainless-steel blade bearings, and thrust bearings at each end of every blade.
  - 4. Operating Temperature Range: From minus 40 to plus 200 deg F.
  - 5. Edge Seals, Standard Pressure Applications: Closed-cell neoprene.
  - 6. Edge Seals, Low-Leakage Applications: Use inflatable blade edging or replaceable rubber blade seals and spring-loaded stainless-steel side seals, rated for leakage at less than 10 cfm per sq. ft. of damper area, at differential pressure of 4-inch wg when damper is held by torque of 50 in. x lbf; when tested according to AMCA 500D.
  - 7. Sections: Damper sections shall not exceed 48 in. x 60 in. Each section shall have at least one damper actuator.
  - 8. Linkages: Dampers shall have exposed linkages.

# 2.15 CONTROL CABLE

A. Electronic and fiber-optic cables for control wiring are specified in Section 271500 "Communications Horizontal Cabling."

#### **PART 3 - EXECUTION**

# 3.1 EXAMINATION

A. Verify that conditioned power supply is available to control units and operator workstation.

# 3.2 INSTALLATION

- A. Connect to the existing controls system.
- B. Connect and configure equipment and software to achieve sequence of operation specified.
- C. Verify location of thermostats, humidistats, and other exposed control sensors with Drawings and room details before installation. Install wall-mounted devices 60 inches above the floor.
  - 1. Install averaging elements in ducts and plenums in crossing or zigzag pattern.
- D. Install guards on thermostats in the following locations:
  - 1. Entrances.
  - 2. Public areas.
  - 3. Where indicated.
- E. Install automatic dampers according to Section 233300 "Air Duct Accessories."
- F. Install damper motors on outside of duct in warm areas, not in locations exposed to outdoor temperatures.
- G. Install labels and nameplates to identify control components according to Section 230553 "Identification for HVAC Piping and Equipment."
- H. Install hydronic instrument wells, valves, and other accessories according to Section 232116 Hydronic Piping Specialties."
- I. Install steam and condensate instrument wells, valves, and other accessories according to Section 232216 Steam and Condensate Piping Specialties."
- J. Install refrigerant instrument wells, valves, and other accessories according to Section 232300 "Refrigerant Piping."
- K. Install duct volume-control dampers according to Section 233113 "Metal Ducts".
- L. Install electronic and fiber-optic cables according to Section 271500 "Communications Horizontal Cabling."

# 3.3 ELECTRICAL WIRING AND CONNECTION INSTALLATION

- A. Install raceways, boxes, and cabinets according to Section 260533 "Raceways and Boxes for Electrical Systems."
- B. Install building wire and cable according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

- C. Install signal and communication cable according to Section 271500 "Communications Horizontal Cabling."
  - 1. Conceal cable, except in mechanical rooms and areas where other conduit and piping are exposed.
  - 2. Install exposed cable in raceway.
  - 3. Install concealed cable in raceway.
  - 4. Bundle and harness multiconductor instrument cable in place of single cables where several cables follow a common path.
  - 5. Fasten flexible conductors, bridging cabinets and doors, along hinge side; protect against abrasion. Tie and support conductors.
  - 6. Number-code or color-code conductors for future identification and service of control system, except local individual room control cables.
  - 7. Install wire and cable with sufficient slack and flexible connections to allow for vibration of piping and equipment.
- D. Connect manual-reset limit controls independent of manual-control switch positions. Automatic duct heater resets may be connected in interlock circuit of power controllers.
- E. Connect hand-off-auto selector switches to override automatic interlock controls when switch is in hand position.

# 3.4 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.
- B. Perform the following field tests and inspections and prepare test reports:
  - Operational Test: After electrical circuitry has been energized, start units to confirm proper unit operation. Remove and replace malfunctioning units and retest.
  - 2. Test and adjust controls and safeties.
  - 3. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
  - 4. Test calibration of controllers by disconnecting input sensors and stimulating operation with compatible signal generator.
  - 5. Test each point through its full operating range to verify that safety and operating control set points are as required.
  - 6. Test each control loop to verify stable mode of operation and compliance with sequence of operation. Adjust PID actions.
  - 7. Test each system for compliance with sequence of operation.
  - 8. Test software and hardware interlocks.

# C. DDC Verification:

- 1. Verify that instruments are installed before calibration, testing, and loop or leak checks.
- 2. Check instruments for proper location and accessibility.
- 3. Check instrument installation for direction of flow, elevation, orientation, insertion depth, and other applicable considerations.
- 4. Check instrument tubing for proper fittings, slope, material, and support.
- 5. Check flow instruments. Inspect tag number and line and bore size, and verify that inlet side is identified and that meters are installed correctly.

- 6. Check pressure instruments, piping slope, installation of valve manifold, and self-contained pressure regulators.
- 7. Check temperature instruments and material and length of sensing elements.
- 8. Check control valves. Verify that they are in correct direction.
- 9. Verify that pressure gages are provided and that proper blade alignment, either parallel or opposed, has been provided.
- 10. Check DDC system as follows:
  - a. Verify that DDC controller power supply is from emergency power supply, if applicable.
  - b. Verify that wires at control panels are tagged with their service designation and approved tagging system.
  - c. Verify that spare I/O capacity has been provided.
  - d. Verify that DDC controllers are protected from power supply surges.
- D. Replace damaged or malfunctioning controls and equipment and repeat testing procedures.

# 3.5 ADJUSTING

- A. Calibrating and Adjusting:
  - 1. Calibrate instruments.
  - 2. Make three-point calibration test for both linearity and accuracy for each analog instrument.
  - 3. Calibrate equipment and procedures using manufacturer's written recommendations and instruction manuals. Use test equipment with accuracy at least double that of instrument being calibrated.
  - 4. Control System Inputs and Outputs:
    - a. Check analog inputs at 0, 50, and 100 percent of span.
    - b. Check analog outputs using milliampere meter at 0, 50, and 100 percent output.
    - c. Check digital inputs using jumper wire.
    - d. Check digital outputs using ohmmeter to test for contact making or breaking.
    - e. Check resistance temperature inputs at 0, 50, and 100 percent of span using a precision-resistant source.
  - 5. Flow:
    - a. Set differential pressure flow transmitters for 0 and 100 percent values with 3-point calibration accomplished at 50, 90, and 100 percent of span.
    - b. Manually operate flow switches to verify that they make or break contact.
  - 6. Pressure:
    - a. Calibrate pressure transmitters at 0, 50, and 100 percent of span.
    - b. Calibrate pressure switches to make or break contacts, with adjustable differential set at minimum.
  - 7. Temperature:
    - a. Calibrate resistance temperature transmitters at 0, 50, and 100 percent of span using a precision-resistance source.
    - b. Calibrate temperature switches to make or break contacts.
  - 8. Stroke and adjust control valves and dampers without positioners, following the manufacturer's recommended procedure, so that valve or damper is 100 percent open and closed.
  - 9. Stroke and adjust control valves and dampers with positioners, following manufacturer's recommended procedure, so that valve and damper is 0, 50, and 100 percent closed.
  - 10. Provide diagnostic and test instruments for calibration and adjustment of system.

- 11. Provide written description of procedures and equipment for calibrating each type of instrument. Submit procedures review and approval before initiating startup procedures.
- B. Adjust initial temperature and humidity set points.
- C. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to three visits to Project during other than normal occupancy hours for this purpose.

# 3.6 TRAINING

- A. Provide training for a designated staff of Owner's representatives. Training shall be provided via classroom and on-site training. Training shall be tailored to Owner's requirements.
- B. Provide Owner training for the equivalent of 4 hours each for 2 people.
- C. Training shall enable students to accomplish the following objectives.
  - 1. Understand DDC system components
  - 2. Understand system operation, including DDC system control and optimizing routines (algorithms)
  - 3. Access graphics, point reports, and logs
  - 4. Adjust and change system setpoints, time schedules, and holiday schedules
  - 5. Recognize common HVAC system malfunctions by observing system graphics, trend graphs, and other system tools
  - 6. Understand system drawings and Operation and Maintenance manual
  - 7. Understand job layout and location of control components
  - 8. Access data from DDC controllers
  - 9. Configure and run reports
  - 10. Download firmware and advanced applications programming to a controller
  - 11. Configure and calibrate I/O points
  - 12. Maintain software and prepare backups
- D. Instructors shall be factory-trained and experienced in presenting this material.

END OF SECTION 230900

# SECTION 230993 - SEQUENCE OF OPERATIONS FOR HVAC CONTROLS

#### PART 1 - GENERAL

# 1.1 SUMMARY

A. This Section includes control sequences for HVAC systems, subsystems and equipment.

#### 1.2 **DEFINITIONS**

- A. DDC: Direct digital control.
- B. BAS: Building automation system
- C. EMS: Energy management system.

# PART 2 - PRODUCTS (Not Used)

#### **PART 3 - EXECUTION**

# 3.1 HVAC CONTROL SEQUENCES

- A. All HVAC equipment shall operate in occupied/unoccupied modes as determined by the DDC building time clock system. Obtain the building occupancy schedule from the Owner.
- B. All set points shall be adjustable.

#### 3.2 GENERAL OCCUPIED/UNOCCUPIED OPERATION

# A. Scheduling:

1. All HVAC equipment shall operate on an occupied/unoccupied schedule as provided by the Owner.

# B. Day/Night:

- 1. Day:
  - a. Normal day mode setpoints are set at variable temperatures, depending on requirements for heating and for cooling. Outside air is admitted to meet ventilation and cooling requirements as outlined in the individual unit sequences. Mechanical cooling, if equipped is utilized as outlined in the individual unit sequences.
  - b. Each space has a system operator settable setpoint bias to either raise or lower the setpoints for occupant comfort.
- 2. Night:
  - a. Heating and cooling setpoints are, again, variable based on facility requirements.
  - b. Outside air shall be equal to day time ventilation rates.

# C. Occupied/Unoccupied:

- 1. Occupied:
  - a. Units will be energized and will provide the proper ventilation as required during occupancy of the spaces. Setpoints will be as described for the individual areas for normal human comfort.
- 2. Unoccupied:
  - a. Equipment will be de-energized and outside air ventilation will be disabled. Temperature setpoints will be setback and equipment will maintain setback setpoints without ventilation.
- D. Warm-up Mode:

- 1. The heating setpoint shall be incremented up from night heating setpoint to day heating setpoint.
- 2. The increment value shall be determined by outside air temperature and a user adjustable optimal start period and the difference between the occupied and unoccupied setpoints.
- 3. Once the heating setpoint exceeds the incremented setpoint warm-up shall commence.

# 3.3 PROJECT SPECIFIC EQUIPMENT

- A. Rooftop Unit: RTU-1
  - 1. System Description
    - a. The rooftop units consist of a variable volume supply fans, a variable volume relief/exhaust fan, outside air dampers, return air dampers, exhaust air dampers, gas heat and direct expansion cooling coils. Space relief is accomplished through the return/exhaust fan. Each classroom has a space temperature sensor.
  - 2. Occupied
    - a. Supply Fan:
      - 1) Run continuously at balanced airflow.
    - b. Exhaust Fan:
      - 1) Run continuously. Modulate inversely with outdoor air damper.
    - c. Outside Air Damper:
      - 1) Open to maintain outside air quantity as scheduled, outside air damper shall never be positioned below this minimum except in case of emergency.
      - 2) Modulate outside air damper beyond scheduled minimum as required for economizer cooling (see cooling mode below).
    - d. Return air damper
      - 1) Modulate inversely with outdoor air damper.
    - e. Temperature range shall not be less than 5°F within which the supply of heating and cooling energy to the zones.
    - f. Occupied Heating Mode (OAT is above 55°F and space temperature below set point)
      - 1) Modulate heating to maintain space temperature set point and minimum discharge air set point.
      - 2) Enable energy recovery wheel. Close bypass dampers.
      - 3) Space Temperature Set Points:
        - a) Heating = 69 degrees (adjustable).
      - 4) Minimum air temperature reset schedule:
        - a) 65 degree LAT at 0 degree OAT.
        - b) 55 degree LAT at 55 degree OAT.
    - g. Occupied Cooling Mode (OAT is above 60°F and space temperature is above set point)
      - 1) Space Temperature Set Points:
        - a) Cooling = 75 degrees (adjustable).
      - 2) LAT Temperature Set Points:
        - a) Cooling = 55-degree LAT at 88-degree OAT
        - 3) Modulate the CHW coil control valve to maintain the space temperature set point.
        - 4) Unless in economizer mode, enable energy recovery wheel. Close bypass dampers.
        - 5) Modulate the gas heating to off.
        - 6) Occupied Economizer Cooling Mode when there is call for cooling and the outdoor air enthalpy is below the return air enthalpy.
          - a) Modulate outdoor air damper inversely with exhaust fan to maintain space temperature set point.

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- b) Disable energy recovery wheel.
- c) Fully open energy recovery wheel bypass dampers.
- d) Modulate dx colling only after the economizer is at its full open position.
- h. The BMS will start the unit supply fan based on a time-of-day schedule. The supply fan will be energized continuously whenever the zone is scheduled to be occupied and the outside air damper shall open to minimum position. The unit relief/exhaust fan, via the fan VFD controller, shall ramp up to match the position of the outside air damper to equalize the volume of relief air with the volume of outside air. The BMS will monitor space temperature. The gas heating shall modulate as described below to maintain setpoint.
- i. When the outside air temperature is below 60 deg F., the unit shall heat to maintain the discharge air temperature minimum set point of 60 deg F (adjustable) when the outside air dampers are in the minimum position.
- j. If the lowest space temperature (inclusive of all classrooms serviced by each unit) rises above the cooling setpoint of 76 degrees F (adjustable), and the BMS indicates that economizer operation is appropriate, the outside air damper will modulate further open to maintain setpoint, the gas heat shall be off. The outside air damper will be restricted to limit the minimum discharge air temperature to a setpoint of 55 degrees F (adjustable) while the space temperature is above the cooling setpoint.
- k. If the lowest space temperature rises above the cooling setpoint of 76 degrees F (adjustable), and the BMS indicates that economizer operation is not appropriate, the outside air dampers will modulate closed to minimum position and the DX cooling will modulate open to maintain setpoint.
- 1. Economizer operation shall use an algorithm comparing indoor air and outdoor air enthalpy to determine if cooling or assisted cooling is viable. DX cooling and economizer cooling will be allowed to operate simultaneously if the algorithm confirms assisted cooling is viable.
- m. The BMS will allow occupants to manually adjust the space temperature setpoint up or down 2 degrees F (adjustable), by moving a setpoint adjustment lever located at each space temperature sensor. Occupants will also have the ability to return the zone to the occupied mode for 2 hours (adjustable) by depressing a pushbutton override, also located at the sensor.
- n. The BMS will monitor fan status and generate an alarm whenever the fan is commanded on but the status indicates off. Alarms will also be generated if a freeze condition exists or if a low space temperature is detected.

# 3. Unoccupied

- a. All same as occupied mode with following exceptions:
  - 1) Enable and disable unit only to meet temperature set points or as described in purge mode control.
  - 2) Return air damper shall be in the full open position unless in purge mode.
  - 3) Exhaust fan shall remain disabled except as described in purge mode control.
  - 4) The outdoor air damper shall remain closed except as described in purge mode control.
- b. Space Temperature Set Points:
  - 1) Heating = 60 degrees (adjustable).
  - 2) Cooling = 85 degrees (adjustable).
- c. Purge Mode Control:
  - 1) Purge mode (fresh air changeover) shall only be permitted during an unoccupied period.

- 2) If the outside air is between 45°F and 65°F and the space temperature rises above 75°F, the supply fan shall be commanded on, the outdoor air dampers shall be fully open, the heating and cooling coil control valves shall be fully closed and the integral relief fan shall be enabled at the maximum scheduled airflow. When the space temperature drops to 70°F, the fans shall be commanded off and the dampers shall return to the normal unoccupied mode position.
- d. When the zone is scheduled to be unoccupied, the fan will be de-energized, the outside air damper will be closed and the space temperature setpoint reduced to 55 degrees F (adjustable). If the lowest space temperature falls 1 degree F below this setpoint, the unit fan will start, the outside air damper will remain closed and the heating will turn on. The unit fan will remain on until the lowest room temperature increases 2 degrees F above setpoint. When this occurs, the fan will be de-energized and the heating will be off.

#### 4. Relief air

a. The unit's relief/exhaust fan shall be energized whenever the outside air damper is open to either minimum position or operating in economizer mode. The exhaust fan speed, as adjusted via its VFD, shall ramp up to match the position of the outside air damper to equalize the volume of relief air with the volume of outside air. The relief/exhaust fan shall be energized and associated dampers shall be opened any time this zone is scheduled to be occupied.

#### 5. ALARMS

- a. Fan motor failures.
- b. Discharge Air Temperature low/high limits.
- c. Space Temperature low/high limits +/-5°F.
- d. VFD Fault.
- e. High filter static
- f. Low coil entering air temperature
- g. High water level in drain pan
- h. Fan Failure
  - 1) If status of a fan, which has been called by the BMS system to start, has not been verified as running within a period of 10 seconds (adj.), an alarm shall be sent to the operator's workstation. The fan shall be identified by a description of what it serves, (i.e., RTU-1 supply fan) and shall be tagged as a "fan failure".

### i. Low Limit Thermostat

- 1) If the air leaving the roof top unit falls below 38 degrees f (adj.) the supply fan shall be stopped via hard wire interlock and the BMS system shall be alerted by a set of dry contacts provided by the low limit thermostat. An alarm shall be sent to the operator's workstation. The unit shall be identified by its call number (i.e. RTU-1) and shall be tagged as a "low limit thermostat alarm". The unit must be manually reset before it can be restarted.
- j. Space Temperature
  - 1) If the space temperature falls below 55 deg F. (adj.) an alarm shall be sent to the operator's workstation.

# B. Energy Recovery Units: RTU-1

- 1. Occupied Mode
  - a. Enable supply and exhaust fans at all times.
- 2. Unoccupied Modes
  - a. Disable supply and exhaust fans at all times.
- 3. Alarms

- a. Fan start failure.
- b. Fan stop failure.

# C. Cabinet unit heater: EUH -1

- 1. The BMS will monitor space temperature and energize the unit heater fan to maintain a space temperature setpoint of 68 degrees F (adjustable) when the zone is scheduled to be occupied based on a time of day schedule. When the space temperature rises above setpoint, the fan will be de-energized.
- 2. When the zone is scheduled to be unoccupied, the space temperature setpoint will be reduced to 55 degrees F (adjustable).
- 3. The BMS will generate an alarm if the space temperature falls 5 degrees F (adjustable) below setpoint.
- 4. The BMS will monitor fan status and generate an alarm whenever the fan is commanded on but the status indicates off.

END OF SECTION 230993

#### 233113-1

#### **SECTION 233113 - METAL DUCTS**

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. Section Includes:
  - 1. Single-wall rectangular ducts and fittings.
  - 2. Single-wall round and flat-oval ducts and fittings.
  - 3. Sheet metal materials.
  - 4. Duct liner.
  - 5. Sealants and gaskets.
  - 6. Hangers and supports.

# B. Related Sections:

- 1. Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
- 2. Section 233300 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

# 1.3 PERFORMANCE REQUIREMENTS

A. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of the following products:
  - 1. Sealants and gaskets.
  - 2. Seismic-restraint devices.

# B. Shop Drawings:

- 1. Fabrication, assembly, and installation, including plans, elevations, sections, components, and attachments to other work.
- 2. Factory- and shop-fabricated ducts and fittings.
- 3. Duct layout indicating sizes, configuration, liner material, and static-pressure classes.
- 4. Elevation of top of ducts.
- 5. Fittings.
- 6. Reinforcement and spacing.
- 7. Seam and joint construction.
- 8. Equipment installation based on equipment being used on Project.
- 9. Locations for duct accessories, including dampers, turning vanes, and access doors and panels.
- 10. Hangers and supports, including methods for duct and building attachment, seismic restraints, and vibration isolation.

# C. Delegated-Design Submittal:

1. Design Calculations: Calculations, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation for seismic restraints.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  - 1. Duct installation in congested spaces, indicating coordination with general construction, building components, and other building services. Indicate proposed changes to duct layout.
  - 2. Suspended ceiling components.
  - 3. Structural members to which duct will be attached.
  - 4. Size and location of initial access modules for acoustical tile.
  - 5. Penetrations of smoke barriers and fire-rated construction.
  - 6. Items penetrating finished ceiling including the following:
    - a. Lighting fixtures.
    - b. Air outlets and inlets.
    - c. Speakers.
    - d. Sprinklers.
    - e. Access panels.
    - f. Perimeter moldings.
- B. Welding certificates.
- C. Field quality-control reports.

### 1.6 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.1/D1.1M, "Structural Welding Code Steel," for hangers and supports.
  - 2. AWS D1.2/D1.2M, "Structural Welding Code Aluminum," for aluminum supports.
  - 3. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- B. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 "Systems and Equipment" and Section 7 "Construction and System Start-up."
- C. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.4.4 "HVAC System Construction and Insulation."

# PART 2 - PRODUCTS

# 2.1 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.
  - 1. Minimum sheet metal thickness shall be 26 ga.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."

- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."
- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."

#### 2.2 SINGLE-WALL ROUND AND FLAT-OVAL DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Chapter 3, "Round, Oval, and Flexible Duct," based on indicated static-pressure class unless otherwise indicated.
  - 1. Minimum sheet metal thickness shall be 26 ga.
  - 2. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Lindab Inc.
    - b. McGill AirFlow LLC.
    - c. SEMCO Incorporated.
    - d. Sheet Metal Connectors, Inc.
    - e. Spiral Manufacturing Co., Inc.
- B. Flat-Oval Ducts: Indicated dimensions are the duct width (major dimension) and diameter of the round sides connecting the flat portions of the duct (minor dimension).
- C. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 3-1, "Round Duct Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."
  - 1. Transverse Joints in Ducts Larger Than 60 Inches in Diameter: Flanged.
- D. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 3-2, "Round Duct Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."
  - 1. Fabricate round ducts larger than 90 inches in diameter with butt-welded longitudinal seams
  - 2. Fabricate flat-oval ducts larger than 72 inches in width (major dimension) with butt-welded longitudinal seams.
- E. Tees and Laterals: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."

# 2.3 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
  - 1. Galvanized Coating Designation: G90.
  - 2. Finishes for Surfaces Exposed to View: Mill phosphatized.
- C. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
  - 1. Where black- and galvanized-steel shapes and plates are used to reinforce aluminum ducts, isolate the different metals with butyl rubber, neoprene, or EPDM gasket materials.
- D. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

#### 2.4 DUCT LINER

- A. Flexible Elastomeric Duct Liner: Preformed, cellular, closed-cell, sheet materials complying with ASTM C 534, Type II, Grade 1; and with NFPA 90A or NFPA 90B.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Aeroflex USA Inc.
    - b. Armacell LLC.
    - c. Rubatex International, LLC
  - 2. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
  - 3. Liner Adhesive: As recommended by insulation manufacturer and complying with NFPA 90A or NFPA 90B.
    - a. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
    - b. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. Insulation Pins and Washers:
  - 1. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.
  - 2. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch- thick galvanized steel; with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
- C. Shop Application of Duct Liner: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 7-11, "Flexible Duct Liner Installation."
  - 1. Adhere a single layer of indicated thickness of duct liner with at least 90 percent adhesive coverage at liner contact surface area. Attaining indicated thickness with multiple layers of duct liner is prohibited.

- 2. Apply adhesive to transverse edges of liner facing upstream that do not receive metal nosing.
- 3. Butt transverse joints without gaps, and coat joint with adhesive.
- 4. Fold and compress liner in corners of rectangular ducts or cut and fit to ensure butted-edge overlapping.
- 5. Do not apply liner in rectangular ducts with longitudinal joints, except at corners of ducts, unless duct size and dimensions of standard liner make longitudinal joints necessary.
- 6. Apply adhesive coating on longitudinal seams in ducts with air velocity of 2500 fpm.
- 7. Secure liner with mechanical fasteners 4 inches from corners and at intervals not exceeding 12 inches transversely; at 3 inches from transverse joints and at intervals not exceeding 18 inches longitudinally.
- 8. Secure transversely oriented liner edges facing the airstream with metal nosings that have either channel or "Z" profiles or are integrally formed from duct wall. Fabricate edge facings at the following locations:
  - a. Fan discharges.
  - b. Intervals of lined duct preceding unlined duct.
  - c. Upstream edges of transverse joints in ducts where air velocities are higher than 2500 fpm or where indicated.
- 9. Secure insulation between perforated sheet metal inner duct of same thickness as specified for outer shell. Use mechanical fasteners that maintain inner duct at uniform distance from outer shell without compressing insulation.
  - a. Sheet Metal Inner Duct Perforations: 3/32-inch diameter, with an overall open area of 23 percent.
- 10. Terminate inner ducts with buildouts attached to fire-damper sleeves, dampers, turning vane assemblies, or other devices. Fabricated buildouts (metal hat sections) or other buildout means are optional; when used, secure buildouts to duct walls with bolts, screws, rivets, or welds.

#### 2.5 SEALANT AND GASKETS

- A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
- B. Water-Based Joint and Seam Sealant:
  - 1. Application Method: Brush on.
  - 2. Solids Content: Minimum 65 percent.
  - 3. Shore A Hardness: Minimum 20.
  - 4. Water resistant.
  - 5. Mold and mildew resistant.
  - 6. VOC: Maximum 75 g/L (less water).
  - 7. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
  - 8. Service: Indoor or outdoor.
  - 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- C. Solvent-Based Joint and Seam Sealant:
  - 1. Application Method: Brush on.
  - 2. Base: Synthetic rubber resin.
  - 3. Solvent: Toluene and heptane.
  - 4. Solids Content: Minimum 60 percent.

- 5. Shore A Hardness: Minimum 60.
- 6. Water resistant.
- 7. Mold and mildew resistant.
- 8. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- 9. VOC: Maximum 395 g/L.
- 10. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- 11. Maximum Static-Pressure Class: 10-inch wg, positive or negative.
- 12. Service: Indoor or outdoor.
- 13. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- D. Flanged Joint Sealant: Comply with ASTM C 920.
  - 1. General: Single-component, acid-curing, silicone, elastomeric.
  - 2. Type: S.
  - 3. Grade: NS.
  - 4. Class: 25.
  - 5. Use: O.
  - 6. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 7. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- E. Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.
- F. Round Duct Joint O-Ring Seals:
  - 1. Seal shall provide maximum leakage class of 3 cfm/100 sq. ft. at 1-inch wg and shall be rated for 10-inch wg static-pressure class, positive or negative.
  - 2. EPDM O-ring to seal in concave bead in coupling or fitting spigot.
  - 3. Double-lipped, EPDM O-ring seal, mechanically fastened to factory-fabricated couplings and fitting spigots.

# 2.6 HANGERS AND SUPPORTS

- A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.
- B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.
- C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."
- D. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A 603.
- E. Steel Cables for Stainless-Steel Ducts: Stainless steel complying with ASTM A 492.
- F. Steel Cable End Connections: Cadmium-plated steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.
- G. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.

METAL DUCTS 233113

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- H. Trapeze and Riser Supports:
  - 1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
  - 2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
  - 3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.

#### **PART 3 - EXECUTION**

# 3.1 DUCT INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible" unless otherwise indicated.
- C. Install round and flat-oval ducts in maximum practical lengths.
- D. Install ducts with fewest possible joints.
- E. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.
- F. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- G. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- H. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.
- I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.
- J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.
- K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 233300 "Air Duct Accessories" for fire and smoke dampers.
- L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

### 3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts exposed in finished spaces from being dented, scratched, or damaged.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.

- 233113-8
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.
- D. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.

# 3.3 DUCT SEALING

A. Seal ducts for duct static-pressure, seal classes, and leakage classes specified in "Duct Schedule" Article according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

### 3.4 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Chapter 5, "Hangers and Supports."
- B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.
  - 1. Where practical, install concrete inserts before placing concrete.
  - 2. Install powder-actuated concrete fasteners after concrete is placed and completely cured.
  - 3. Use powder-actuated concrete fasteners for standard-weight aggregate concretes or for slabs more than 4 inches thick.
  - 4. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes or for slabs less than 4 inches thick.
  - 5. Do not use powder-actuated concrete fasteners for seismic restraints.
- C. Hanger Spacing: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct," for maximum hanger spacing; install hangers and supports within 24 inches of each elbow and within 48 inches of each branch intersection.
- D. Hangers Exposed to View: Threaded rod and angle or channel supports.
- E. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor and at a maximum intervals of 16 feet.
- F. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

# 3.5 CONNECTIONS

- A. Make connections to equipment with flexible connectors complying with Section 233300 "Air Duct Accessories."
- B. Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.

# 3.6 PAINTING

A. Paint interior of metal ducts that are visible through registers and grilles and that do not have duct liner. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint

materials and application requirements are specified in Section 099113 "Exterior Painting" and Section 099123 "Interior Painting."

# 3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
  - 1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
  - 2. Test the following systems:
    - a. Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Engineer from sections installed, totaling no less than 50 percent of total installed duct area for each designated pressure class.
  - 3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
  - 4. Test for leaks before applying external insulation.
  - 5. Conduct tests at static pressures equal to maximum design pressure of system or section being tested. If static-pressure classes are not indicated, test system at maximum system design pressure. Do not pressurize systems above maximum design operating pressure.
  - 6. Give seven days' advance notice for testing.
- C. Duct System Cleanliness Tests:
  - 1. Visually inspect duct system to ensure that no visible contaminants are present.
  - 2. Test sections of metal duct system, chosen randomly by Owner, for cleanliness according to "Vacuum Test" in NADCA ACR, "Assessment, Cleaning and Restoration of HVAC Systems."
    - a. Acceptable Cleanliness Level: Net weight of debris collected on the filter media shall not exceed 0.75 mg/100 sq. cm.
- D. Duct system will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

### 3.8 DUCT CLEANING

- A. Clean new duct system(s) before testing, adjusting, and balancing.
- B. Use service openings for entry and inspection.
  - 1. Create new openings and install access panels appropriate for duct static-pressure class if required for cleaning access. Provide insulated panels for insulated or lined duct. Patch insulation and liner as recommended by duct liner manufacturer. Comply with Section 233300 "Air Duct Accessories" for access panels and doors.
  - 2. Disconnect and reconnect flexible ducts as needed for cleaning and inspection.
  - 3. Remove and reinstall ceiling to gain access during the cleaning process.
- C. Particulate Collection and Odor Control:
  - 1. When venting vacuuming system inside the building, use HEPA filtration with 99.97 percent collection efficiency for 0.3-micron-size (or larger) particles.
  - 2. When venting vacuuming system to outdoors, use filter to collect debris removed from HVAC system, and locate exhaust downwind and away from air intakes and other points of entry into building.
- D. Clean the following components by removing surface contaminants and deposits:

- 1. Air outlets and inlets (registers, grilles, and diffusers).
- 2. Supply, return, and exhaust fans including fan housings, plenums (except ceiling supply and return plenums), scrolls, blades or vanes, shafts, baffles, dampers, and drive assemblies.
- 3. Air-handling unit internal surfaces and components including mixing box, coil section, air wash systems, spray eliminators, condensate drain pans, humidifiers and dehumidifiers, filters and filter sections, and condensate collectors and drains.
- 4. Coils and related components.
- 5. Return-air ducts, dampers, actuators, and turning vanes except in ceiling plenums and mechanical equipment rooms.
- 6. Supply-air ducts, dampers, actuators, and turning vanes.
- 7. Dedicated exhaust and ventilation components and makeup air systems.

## E. Mechanical Cleaning Methodology:

- 1. Clean metal duct systems using mechanical cleaning methods that extract contaminants from within duct systems and remove contaminants from building.
- 2. Use vacuum-collection devices that are operated continuously during cleaning. Connect vacuum device to downstream end of duct sections so areas being cleaned are under negative pressure.
- 3. Use mechanical agitation to dislodge debris adhered to interior duct surfaces without damaging integrity of metal ducts, duct liner, or duct accessories.
- 4. Clean fibrous-glass duct liner with HEPA vacuuming equipment; do not permit duct liner to get wet. Replace fibrous-glass duct liner that is damaged, deteriorated, or delaminated or that has friable material, mold, or fungus growth.
- 5. Clean coils and coil drain pans according to NADCA 1992. Keep drain pan operational. Rinse coils with clean water to remove latent residues and cleaning materials; comb and straighten fins.
- 6. Provide drainage and cleanup for wash-down procedures.
- 7. Antimicrobial Agents and Coatings: Apply EPA-registered antimicrobial agents if fungus is present. Apply antimicrobial agents according to manufacturer's written instructions after removal of surface deposits and debris.

# 3.9 START UP

A. Air Balance: Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC."

#### 3.10 DUCT SCHEDULE

- A. Fabricate ducts with galvanized sheet steel
- B. Retain applicable subparagraphs in this article to set criteria for pressure class, duct seal-class level, and duct-leakage class; or delete applicable subparagraphs and indicate pressure class, duct seal-class level, and duct-leakage class on Drawings. If retaining duct seal and leakage requirements in this article, retain first paragraph in "Duct Sealing" Article. Both seal class and leakage class are included in this article and either one can be deleted, or both can remain if they are consistent.

# C. Supply Ducts:

- 1. Ducts Connected to Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units:
  - a. Pressure Class: Positive 2-inch wg
  - b. Minimum SMACNA Seal Class: C.

- c. SMACNA Leakage Class for Rectangular: 12.
- d. SMACNA Leakage Class for Round and Flat Oval: 12.
- 2. Ducts Connected to Constant-Volume Air-Handling Units:
  - a. Pressure Class: Positive 3-inch wg
  - b. Minimum SMACNA Seal Class: B.
  - c. SMACNA Leakage Class for Rectangular: 6.
  - d. SMACNA Leakage Class for Round and Flat Oval: 6.
- 3. Ducts Connected to Variable-Air-Volume Air-Handling Units:
  - a. Pressure Class: Positive 3-inch wg.
  - b. Minimum SMACNA Seal Class: B.
  - c. SMACNA Leakage Class for Rectangular: 6.
  - d. SMACNA Leakage Class for Round and Flat Oval: 6.

#### D. Return Ducts:

- 1. Ducts Connected to Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units:
  - a. Pressure Class: Positive or negative 2-inch wg
  - b. Minimum SMACNA Seal Class: C.
  - c. SMACNA Leakage Class for Rectangular: 12.
  - d. SMACNA Leakage Class for Round and Flat Oval: 12.
- 2. Ducts Connected to Air-Handling Units:
  - a. Pressure Class: Positive or negative 2-inch wg.
  - b. Minimum SMACNA Seal Class: B.
  - c. SMACNA Leakage Class for Rectangular: 12.
  - d. SMACNA Leakage Class for Round and Flat Oval: 12.

## E. Exhaust Ducts:

- 1. Ducts Connected to Fans Exhausting (ASHRAE 62.1, Class 1 and 2) Air:
  - a. Pressure Class: Negative 2-inch wg.
  - b. Minimum SMACNA Seal Class: C if negative pressure, and A if positive pressure.
  - c. SMACNA Leakage Class for Rectangular: 12.
  - d. SMACNA Leakage Class for Round and Flat Oval: 12.
- 2. Ducts Connected to Air-Handling Units:
  - a. Pressure Class: Positive or negative 2-inch wg.
  - b. Minimum SMACNA Seal Class: B if negative pressure, and A if positive pressure.
  - c. SMACNA Leakage Class for Rectangular: 12.
  - d. SMACNA Leakage Class for Round and Flat Oval: 12.
- F. Outdoor-Air (Not Filtered, Heated, or Cooled) Ducts:
  - 1. Ducts Connected to Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units:
    - a. Pressure Class: Positive or negative 1-inch wg.
    - b. Minimum SMACNA Seal Class: C.
    - c. SMACNA Leakage Class for Rectangular: 12.
    - d. SMACNA Leakage Class for Round and Flat Oval: 12.
  - 2. Ducts Connected to Air-Handling Units:
    - a. Pressure Class: Positive or negative 2-inch wg.
    - b. Minimum SMACNA Seal Class: B.
    - c. SMACNA Leakage Class for Rectangular: 12.
    - d. SMACNA Leakage Class for Round and Flat Oval: 12.

233113-12

- G. Intermediate Reinforcement:
  - 1. Galvanized-Steel Ducts: Galvanized steel or carbon steel coated with zinc-chromate primer.
  - 2. Stainless-Steel Ducts:
    - a. Exposed to Airstream: Match duct material.
    - b. Not Exposed to Airstream: Match duct material.
  - 3. Aluminum Ducts: Aluminum or galvanized sheet steel coated with zinc chromate.

#### H. Liner:

- 1. Supply Air Ducts: Flexible elastomeric, 1 inch thick.
- 2. Return Air Ducts: Flexible elastomeric, 1 inch thick.
- 3. Exhaust Air Ducts: Flexible elastomeric, 1 inch thick.
- 4. Transfer Ducts: Flexible elastomeric, 1 inchthick.

## I. Elbow Configuration:

- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 4-2, "Rectangular Elbows."
  - a. Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
  - b. Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
  - c. Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
- 2. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 3-4, "Round Duct Elbows."
  - a. Minimum Radius-to-Diameter Ratio and Elbow Segments: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Table 3-1, "Mitered Elbows." Elbows with less than 90-degree change of direction have proportionately fewer segments.
    - 1) Velocity 1000 fpm or Lower: 0.5 radius-to-diameter ratio and three segments for 90-degree elbow.
    - 2) Velocity 1000 to 1500 fpm: 1.0 radius-to-diameter ratio and four segments for 90-degree elbow.
    - 3) Velocity 1500 fpm or Higher: 1.5 radius-to-diameter ratio and five segments for 90-degree elbow.
    - 4) Radius-to Diameter Ratio: 1.5.
  - b. Round Elbows, 12 Inches and Smaller in Diameter: Stamped or pleated.
  - c. Round Elbows, 14 Inches and Larger in Diameter: Standing seam.

#### J. Branch Configuration:

- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 4-6, "Branch Connection."
  - a. Rectangular Main to Rectangular Branch: 45-degree entry.
  - b. Rectangular Main to Round Branch: Spin in.
- 2. Round and Flat Oval: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees." Saddle taps are permitted in existing duct.
  - a. Velocity 1000 fpm or Lower: 90-degree tap.
  - b. Velocity 1000 to 1500 fpm: Conical tap.
  - c. Velocity 1500 fpm or Higher: 45-degree lateral.

## END OF SECTION 233113

## SECTION 233300 - AIR DUCT ACCESSORIES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. Section Includes:
  - 1. Backdraft and pressure relief dampers.
  - 2. Manual volume dampers.
  - 3. Control dampers.
  - 4. Combination fire and smoke dampers.
  - 5. Turning vanes.
  - 6. Duct-mounted access doors.
  - 7. Flexible connectors.
  - 8. Flexible ducts.
  - 9. Duct accessory hardware.

## B. Related Requirements:

1. Section 283111 "Digital, Addressable Fire-Alarm System" for duct-mounted fire and smoke detectors.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. For duct silencers, include pressure drop and dynamic insertion loss data. Include breakout noise calculations for high transmission loss casings.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from Installers of the items involved.
- B. Source quality-control reports.

# 1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

#### 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fusible Links: Furnish quantity equal to 10 percent of amount installed.

#### **PART 2 - PRODUCTS**

### 2.1 ASSEMBLY DESCRIPTION

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

## 2.2 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
  - 1. Galvanized Coating Designation: G60.
  - 2. Exposed-Surface Finish: Mill phosphatized.
- B. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304, and having a No. 2 finish for concealed ducts and for exposed ducts.
- C. Aluminum Sheets: Comply with ASTM B 209, Alloy 3003, Temper H14; with mill finish for concealed ducts and standard, 1-side bright finish for exposed ducts.
- D. Extruded Aluminum: Comply with ASTM B 221, Alloy 6063, Temper T6.
- E. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.
- F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

## 2.3 BACKDRAFT AND PRESSURE RELIEF DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. <u>Air Balance Inc.</u>; a division of Mestek, Inc.
  - 2. American Warming and Ventilating; a division of Mestek, Inc.
  - 3. Cesco Products; a division of Mestek, Inc.
  - 4. Greenheck Fan Corporation.
  - 5. Lloyd Industries, Inc.
  - 6. Nailor Industries Inc.
  - 7. NCA Manufacturing, Inc.
  - 8. Pottorff.
  - 9. Ruskin Company.
  - 10. Vent Products Company, Inc.
- B. Description: Gravity balanced.
- C. Maximum Air Velocity: 2000 fpm
- D. Frame: Hat-shaped, 0.05-inch- thick, galvanized sheet steel, with welded corners or mechanically attached and mounting flange.
- E. Blades: Multiple single-piece blades, maximum 6-inch width, 0.025-inch- thick, roll-formed aluminum with sealed edges.

- F. Blade Action: Parallel.
- G. Blade Seals: Extruded vinyl, mechanically locked.
- H. Blade Axles:
  - 1. Material: Nonferrous metal.
  - 2. Diameter: 0.20 inch.
- I. Return Spring: Adjustable tension.

## 2.4 MANUAL VOLUME DAMPERS

- A. Standard, Steel, Manual Volume Dampers:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Air Balance Inc.; a division of Mestek, Inc.
    - b. American Warming and Ventilating; a division of Mestek, Inc.
    - c. Flexmaster U.S.A., Inc.
    - d. McGill AirFlow LLC.
    - e. Nailor Industries Inc.
    - f. Pottorff.
    - g. Ruskin Company.
    - h. Trox USA Inc.
    - i. Vent Products Company, Inc.
  - 2. Standard leakage rating.
  - 3. Suitable for horizontal or vertical applications.
  - 4. Frames:
    - a. Frame: Hat-shaped, 0.094-inch- thick, galvanized sheet steel.
    - b. Mitered and welded corners.
    - c. Flanges for attaching to walls and flangeless frames for installing in ducts.
  - 5. Blades:
    - a. Multiple or single blade.
    - b. Parallel- or opposed-blade design.
    - c. Stiffen damper blades for stability.
    - d. Galvanized-steel, 0.064 inch thick.
  - 6. Blade Axles: Galvanized steel.
  - 7. Bearings:
    - a. Stainless-steel sleeve.
    - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
  - 8. Tie Bars and Brackets: Galvanized steel.
- B. Standard, Aluminum, Manual Volume Dampers:
  - Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. <u>Air Balance Inc.</u>; a division of Mestek, Inc.
    - b. American Warming and Ventilating; a division of Mestek, Inc.
    - c. McGill AirFlow LLC.
    - d. Nailor Industries Inc.
    - e. Pottorff.
    - f. Ruskin Company.
    - g. Trox USA Inc.

- h. <u>Vent Products Company, Inc.</u>
- 2. Standard leakage rating.
- 3. Suitable for horizontal or vertical applications.
- 4. Frames: Hat-shaped, 0.10-inch- thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
- 5. Blades:
  - a. Multiple or single blade.
  - b. Parallel- or opposed-blade design.
  - c. Stiffen damper blades for stability.
  - d. Roll-Formed Aluminum Blades: 0.10-inch- thick aluminum sheet.
  - e. Extruded-Aluminum Blades: 0.050-inch- thick extruded aluminum.
- 6. Blade Axles: Stainless steel.
- 7. Bearings:
  - a. Stainless-steel sleeve.
  - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
- 8. Tie Bars and Brackets: Aluminum.
- C. Low-Leakage, Steel, Manual Volume Dampers:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Air Balance Inc.; a division of Mestek, Inc.
    - b. American Warming and Ventilating; a division of Mestek, Inc.
    - c. McGill AirFlow LLC.
    - d. Nailor Industries Inc.
    - e. Pottorff.
    - f. Ruskin Company.
    - g. <u>Trox USA Inc.</u>
    - h. Vent Products Company, Inc.
  - 2. Comply with AMCA 500-D testing for damper rating.
  - 3. Low-leakage rating and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
  - 4. Suitable for horizontal or vertical applications.
  - 5. Frames:
    - a. Hat shaped.
    - b. 0.094-inch- thick, galvanized sheet steel.
    - c. Mitered and welded corners.
    - d. Flanges for attaching to walls and flangeless frames for installing in ducts.
  - 6. Blades:
    - a. Multiple or single blade.
    - b. Parallel- or opposed-blade design.
    - c. Stiffen damper blades for stability.
    - d. Galvanized, roll-formed steel, 0.064 inch thick.
  - 7. Blade Axles: Galvanized steel.
  - 8. Bearings:
    - a. Oil-impregnated stainless-steel sleeve.
    - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
  - 9. Blade Seals: Neoprene.
  - 10. Jamb Seals: Cambered stainless steel.

- 11. Tie Bars and Brackets: Galvanized steel.
- 12. Accessories:
  - a. Include locking device to hold single-blade dampers in a fixed position without vibration.
- D. Low-Leakage, Aluminum, Manual Volume Dampers:
  - Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Air Balance Inc.; a division of Mestek, Inc.
    - b. American Warming and Ventilating; a division of Mestek, Inc.
    - c. McGill AirFlow LLC.
    - d. <u>Nailor Industries Inc</u>.
    - e. Pottorff.
    - f. Ruskin Company.
    - g. <u>Trox USA Inc</u>.
    - h. Vent Products Company, Inc.
  - 2. Comply with AMCA 500-D testing for damper rating.
  - 3. Low-leakage rating and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
  - 4. Suitable for horizontal or vertical applications.
  - 5. Frames: Hat-shaped, 0.10-inch- thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
  - 6. Blades:
    - a. Multiple or single blade.
    - b. Parallel- or opposed-blade design.
    - c. Roll-Formed Aluminum Blades: 0.10-inch- thick aluminum sheet.
    - d. Extruded-Aluminum Blades: 0.050-inch- thick extruded aluminum.
  - 7. Blade Axles: Stainless steel.
  - 8. Bearings:
    - a. Oil-impregnated stainless-steel sleeve.
    - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
  - 9. Blade Seals: Neoprene.
  - 10. Jamb Seals: Cambered stainless steel.
  - 11. Tie Bars and Brackets: Aluminum.
  - 12. Accessories:
    - a. Include locking device to hold single-blade dampers in a fixed position without vibration.
- E. Jackshaft:
  - 1. Size: 0.5-inch diameter.
  - 2. Material: Galvanized-steel pipe rotating within pipe-bearing assembly mounted on supports at each mullion and at each end of multiple-damper assemblies.
  - 3. Length and Number of Mountings: As required to connect linkage of each damper in multiple-damper assembly.
- F. Damper Hardware:
  - 1. Zinc-plated, die-cast core with dial and handle made of 3/32-inch- thick zinc-plated steel, and a 3/4-inch hexagon locking nut.
  - 2. Include center hole to suit damper operating-rod size.
  - 3. Include elevated platform for insulated duct mounting.

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. American Warming and Ventilating; a division of Mestek, Inc.
  - 2. Arrow United Industries; a division of Mestek, Inc.
  - 3. Cesco Products; a division of Mestek, Inc.
  - 4. Greenheck Fan Corporation.
  - 5. Lloyd Industries, Inc.
  - 6. McGill AirFlow LLC.
  - 7. <u>Metal Form Manufacturing, Inc.</u>
  - 8. Nailor Industries Inc.
  - 9. NCA Manufacturing, Inc.
  - 10. Pottorff.
  - 11. Ruskin Company.
  - 12. Vent Products Company, Inc.
  - 13. Young Regulator Company.
- B. Low-leakage rating and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
- C. Frames:
  - 1. Hat shaped.
  - 2. 0.094-inch- thick, galvanized sheet steel.
  - 3. Mitered and welded corners.
- D. Blades:
  - 1. Multiple blade with maximum blade width of 8 inches.
  - 2. Parallel- and opposed-blade design.
  - 3. Galvanized-steel.
  - 4. 0.064 inch thick single skin.
  - 5. Blade Edging: Closed-cell neoprene.
  - 6. Blade Edging: Inflatable seal blade edging, or replaceable rubber seals.
- E. Blade Axles: 1/2-inch- diameter; galvanized steel; blade-linkage hardware of zinc-plated steel and brass; ends sealed against blade bearings.
  - 1. Operating Temperature Range: From minus 40 to plus 200 deg F.
- F. Bearings:
  - 1. Stainless-steel sleeve.
  - 2. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
  - 3. Thrust bearings at each end of every blade.

# 2.6 COMBINATION FIRE AND SMOKE DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Air Balance Inc.; a division of Mestek, Inc.
  - 2. Cesco Products; a division of Mestek, Inc.
  - 3. <u>Greenheck Fan Corporation</u>.
  - 4. Nailor Industries Inc.
  - 5. <u>Pottorff</u>.

# 6. Ruskin Company.

- B. Type: Dynamic; rated and labeled according to UL 555 and UL 555S by an NRTL.
- C. Closing rating in ducts up to 4-inch wg static pressure class and minimum 2000-fpm velocity.
- D. Fire Rating: 1-1/2 and 3 hours.
- E. Frame: Hat-shaped, 0.094-inch- thick, galvanized sheet steel, with welded corners.
- F. Heat-Responsive Device: Replaceable, 165 deg F rated, fusible links.
- G. Blades: Roll-formed, horizontal, overlapping, 0.034-inch- thick, galvanized sheet steel.
- H. Rated pressure and velocity to exceed design airflow conditions.
- I. Mounting Sleeve: Factory-installed, 0.05-inch- thick, galvanized sheet steel; length to suit wall or floor application with factory-furnished silicone calking.
- J. Master control panel for use in dynamic smoke-management systems.
- K. Damper Motors: Modulating or two-position action.
- L. Comply with NEMA designation, temperature rating, service factor, enclosure type, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."
  - 1. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.
  - 2. Controllers, Electrical Devices, and Wiring: Comply with requirements for electrical devices and connections specified in Section 230900 "Instrumentation and Control for HVAC."
  - 3. Permanent-Split-Capacitor or Shaded-Pole Motors: With oil-immersed and sealed gear trains.
  - 4. Spring-Return Motors: Equip with an integral spiral-spring mechanism where indicated. Enclose entire spring mechanism in a removable housing designed for service or adjustments. Size for running torque rating of 150 in. x lbf and breakaway torque rating of 150 in. x lbf.
  - 5. Outdoor Motors and Motors in Outdoor-Air Intakes: Equip with O-ring gaskets designed to make motors weatherproof. Equip motors with internal heaters to permit normal operation at minus 40 deg F.
  - 6. Nonspring-Return Motors: For dampers larger than 25 sq. ft., size motor for running torque rating of 150 in. x lbf and breakaway torque rating of 300 in. x lbf.
  - 7. Electrical Connection: 115 V, single phase, 60 Hz.

#### M. Accessories:

- 1. Auxiliary switches for fan control.
- 2. Test and reset switches remote mounted.

## 2.7 TURNING VANES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ductmate Industries, Inc.
  - 2. <u>Duro Dyne Inc.</u>
  - 3. Elgen Manufacturing.
  - 4. METALAIRE, Inc.

- 5. SEMCO Incorporated.
- 6. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
  - 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.
- C. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
- D. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible"; Figures 4-3, "Vanes and Vane Runners," and 4-4, "Vane Support in Elbows."
- E. Vane Construction: Double wall.
- F. Vane Construction: Single wall for ducts up to 48 inches wide and double wall for larger dimensions.

## 2.8 DUCT-MOUNTED ACCESS DOORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. <u>American Warming and Ventilating; a division of Mestek, Inc.</u>
  - 2. <u>Cesco Products; a division of Mestek, Inc.</u>
  - 3. <u>Ductmate Industries, Inc.</u>
  - 4. Elgen Manufacturing.
  - 5. Flexmaster U.S.A., Inc.
  - 6. Greenheck Fan Corporation.
  - 7. McGill AirFlow LLC.
  - 8. Nailor Industries Inc.
  - 9. Pottorff.
  - 10. Ventfabrics, Inc.
  - 11. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible"; Figures 7-2, "Duct Access Doors and Panels," and 7-3, "Access Doors Round Duct."
  - 1. Door:
    - a. Double wall, rectangular.
    - b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
    - c. Vision panel.
    - d. Hinges and Latches: 1-by-1-inchbutt or piano hinge and cam latches.
    - e. Fabricate doors airtight and suitable for duct pressure class.
  - 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
  - 3. Number of Hinges and Locks:
    - a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
    - b. Access Doors up to 18 Inches Square: Continuous and two sash locks.
    - c. Access Doors up to 24 by 48 Inches: Three hinges and two compression latches.
    - d. Access Doors Larger Than 24 by 48 Inches: Four hinges and two compression latches with outside and inside handles.

## 2.9 FLEXIBLE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ductmate Industries, Inc.
  - 2. Duro Dyne Inc.
  - 3. <u>Elgen Manufacturing</u>.
  - 4. <u>Ventfabrics, Inc.</u>
  - 5. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Materials: Flame-retardant or noncombustible fabrics.
- C. Coatings and Adhesives: Comply with UL 181, Class 1.
- D. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches wide attached to two strips of 2-3/4-inch- wide, 0.028-inch- thick, galvanized sheet steel or 0.032-inch- thick aluminum sheets. Provide metal compatible with connected ducts.
- E. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.
  - 1. Minimum Weight: 26 oz./sq. yd..
  - 2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
  - 3. Service Temperature: Minus 40 to plus 200 deg F.
- F. Outdoor System, Flexible Connector Fabric: Glass fabric double coated with weatherproof, synthetic rubber resistant to UV rays and ozone.
  - 1. Minimum Weight: 24 oz./sq. yd..
  - 2. Tensile Strength: 530 lbf/inch in the warp and 440 lbf/inch in the filling.
  - 3. Service Temperature: Minus 50 to plus 250 deg F.
- G. High-Temperature System, Flexible Connectors: Glass fabric coated with silicone rubber.
  - 1. Minimum Weight: 16 oz./sq. yd..
  - 2. Tensile Strength: 285 lbf/inch in the warp and 185 lbf/inch in the filling.
  - 3. Service Temperature: Minus 67 to plus 500 deg F.
- H. High-Corrosive-Environment System, Flexible Connectors: Glass fabric with chemical-resistant coating.
  - 1. Minimum Weight: 14 oz./sq. yd..
  - 2. Tensile Strength: 450 lbf/inch in the warp and 340 lbf/inch in the filling.
  - 3. Service Temperature: Minus 67 to plus 500 deg F.
- I. Thrust Limits: Combination coil spring and elastomeric insert with spring and insert in compression, and with a load stop. Include rod and angle-iron brackets for attaching to fan discharge and duct.
  - 1. Frame: Steel, fabricated for connection to threaded rods and to allow for a maximum of 30 degrees of angular rod misalignment without binding or reducing isolation efficiency.
  - 2. Outdoor Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
  - 3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
  - 4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
  - 5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
  - 6. Elastomeric Element: Molded, oil-resistant rubber or neoprene.
  - 7. Coil Spring: Factory set and field adjustable for a maximum of 1/4-inch movement at start and stop.

## 2.10 FLEXIBLE DUCTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Flexmaster U.S.A., Inc.
  - 2. McGill AirFlow LLC.
  - 3. Ward Industries, Inc.; a division of Hart & Cooley, Inc.
- B. Insulated, Flexible Duct: UL 181, Class 1, black polymer film supported by helically wound, spring-steel wire; fibrous-glass insulation; polyethylene vapor-barrier film.
  - 1. Pressure Rating: 4-inch wg positive and 0.5-inch wg negative.
  - 2. Maximum Air Velocity: 4000 fpm.
  - 3. Temperature Range: Minus 20 to plus 175 deg F.
  - 4. Insulation R-Value: Comply with ASHRAE/IESNA 90.1.
- C. Flexible Duct Connectors:
  - 1. Clamps: Stainless-steel band with cadmium-plated hex screw to tighten band with a worm-gear action or Nylon strap in sizes 3 through 18 inches, to suit duct size.
  - 2. Non-Clamp Connectors: Adhesive.

#### 2.11 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

#### **PART 3 - EXECUTION**

## 3.1 INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.
- B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.
- C. Install backdraft dampers at inlet of exhaust fans or exhaust ducts as close as possible to exhaust fan unless otherwise indicated.
- D. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.
  - 1. Install steel volume dampers in steel ducts.
  - 2. Install aluminum volume dampers in aluminum ducts.
- E. Set dampers to fully open position before testing, adjusting, and balancing.
- F. Install test holes at fan inlets and outlets and elsewhere as indicated.
- G. Install fire and smoke dampers according to UL listing.

- H. Install duct security bars. Construct duct security bars from 0.164-inchsteel sleeve, continuously welded at all joints and 1/2-inch- diameter steel bars, 6 inches o.c. in each direction in center of sleeve. Weld each bar to steel sleeve and each crossing bar. Weld 2-1/2-by-2-1/2-by-1/4-inch steel angle to 4 sides and both ends of sleeve. Connect duct security bars to ducts with flexible connections. Provide 12-by-12-inch hinged access panel with cam lock in duct in each side of sleeve.
- I. Connect ducts to duct silencers with flexible duct connectors.
- J. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:
  - 1. On both sides of duct coils.
  - 2. Upstream from duct filters.
  - 3. At outdoor-air intakes and mixed-air plenums.
  - 4. At drain pans and seals.
  - 5. Downstream from, control dampers, backdraft dampers, and equipment.
  - 6. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
  - 7. At each change in direction and at maximum 50-foot spacing.
  - 8. Upstream or downstream from duct silencers.
  - 9. Control devices requiring inspection.
  - 10. Elsewhere as indicated.
- K. Install access doors with swing against duct static pressure.
- L. Access Door Sizes:
  - 1. One-Hand or Inspection Access: 8 by 5 inches.
  - 2. Two-Hand Access: 12 by 6 inches.
  - 3. Head and Hand Access: 18 by 10 inches.
  - 4. Head and Shoulders Access: 21 by 14 inches.
  - 5. Body Access: 25 by 14 inches.
  - 6. Body plus Ladder Access: 25 by 17 inches.
- M. Label access doors according to Section 230553 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.
- N. Install flexible connectors to connect ducts to equipment.
- O. For fans developing static pressures of 5-inch wg and more, cover flexible connectors with loaded vinyl sheet held in place with metal straps.
- P. Connect terminal units to supply ducts directly or with maximum 12-inch lengths of flexible duct. Do not use flexible ducts to change directions.
- Q. Connect diffusers or light troffer boots to ducts directly or with maximum 60-inch lengths of flexible duct clamped or strapped in place.
- R. Connect flexible ducts to metal ducts with adhesive and draw bands.
- S. Install duct test holes where required for testing and balancing purposes.
- T. Install thrust limits at centerline of thrust, symmetrical on both sides of equipment. Attach thrust limits at centerline of thrust and adjust to a maximum of 1/4-inch movement during start and stop of fans.

# 3.2 FIELD QUALITY CONTROL

# A. Tests and Inspections:

- 1. Operate dampers to verify full range of movement.
- 2. Inspect locations of access doors and verify that purpose of access door can be performed.
- 3. Operate fire, smoke, and combination fire and smoke dampers to verify full range of movement and verify that proper heat-response device is installed.
- 4. Inspect turning vanes for proper and secure installation.
- 5. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION 233300

#### 233713-1

## SECTION 233713 - DIFFUSERS, REGISTERS, AND GRILLES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. Section Includes:
  - 1. Round ceiling diffusers.
  - 2. Rectangular and square ceiling diffusers.
  - 3. Linear bar diffusers.
  - 4. Linear slot diffusers.
  - 5. Adjustable bar registers.
  - 6. Fixed face grilles.
  - 7. Linear bar grilles.

## B. Related Sections:

1. Section 233300 "Air Duct Accessories" for fire and smoke dampers and volume-control dampers not integral to diffusers, registers, and grilles.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated, include the following:
  - 1. Data Sheet: Indicate materials of construction, finish, and mounting details; and performance data including throw and drop, static-pressure drop, and noise ratings.
  - 2. Diffuser, Register, and Grille Schedule: Indicate drawing designation, room location, quantity, model number, size, and accessories furnished.
- B. Samples for Initial Selection: For diffusers, registers, and grilles with factory-applied color finishes.
- C. Samples for Verification: For diffusers, registers, and grilles, in manufacturer's standard sizes to verify color selected.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from Installers of the items involved:
  - 1. Ceiling suspension assembly members.
  - 2. Method of attaching hangers to building structure.
  - 3. Size and location of initial access modules for acoustical tile.
  - 4. Ceiling-mounted items including lighting fixtures, diffusers, grilles, speakers, sprinklers, access panels, and special moldings.
  - 5. Duct access panels.
- B. Source quality-control reports.

#### **PART 2 - PRODUCTS**

### 2.1 CEILING DIFFUSERS

- A. Round Ceiling Diffuser:
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. <u>Anemostat Products; a Mestek company</u>.
    - b. METALAIRE, Inc.
    - c. Nailor Industries Inc.
    - d. <u>Price Industries</u>.
    - e. <u>Titus</u>.
    - f. Tuttle & Bailey.
- B. Rectangular and Square Ceiling Diffusers:
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. <u>Anemostat Products; a Mestek company</u>.
    - b. Krueger.
    - c. METALAIRE, Inc.
    - d. Nailor Industries Inc.
    - e. Price Industries.
    - f. Titus.

#### 2.2 REGISTERS AND GRILLES

- A. Adjustable Bar Register:
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. Anemostat Products; a Mestek company.
    - b. Carnes.
    - c. Krueger.
    - d. METALAIRE, Inc.
    - e. Nailor Industries Inc.
    - f. Price Industries.
    - g. <u>Titus</u>.
- B. Fixed Face Grille:
  - Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. Anemostat Products; a Mestek company.
    - b. Krueger.
    - c. Nailor Industries Inc.
    - d. Price Industries.
    - e. Titus.
- C. Linear Bar Grille:
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
    - a. Anemostat Products; a Mestek company.
    - b. Carnes.
    - c. <u>Krueger</u>.

- d. Nailor Industries Inc.
- e. <u>Price Industries</u>.
- f. <u>Titus</u>.

# 2.3 SOURCE QUALITY CONTROL

A. Verification of Performance: Rate diffusers, registers, and grilles according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

## **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Examine areas where diffusers, registers, and grilles are to be installed for compliance with requirements for installation tolerances and other conditions affecting performance of equipment.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Install diffusers, registers, and grilles level and plumb.
- B. Ceiling-Mounted Outlets and Inlets: Drawings indicate general arrangement of ducts, fittings, and accessories. Air outlet and inlet locations have been indicated to achieve design requirements for air volume, noise criteria, airflow pattern, throw, and pressure drop. Make final locations where indicated, as much as practical. For units installed in lay-in ceiling panels, locate units in the center of panel. Where architectural features or other items conflict with installation, notify Architect for a determination of final location.
- C. Install diffusers, registers, and grilles with airtight connections to ducts and to allow service and maintenance of dampers, air extractors, and fire dampers.

### 3.3 ADJUSTING

A. After installation, adjust diffusers, registers, and grilles to air patterns indicated, or as directed, before starting air balancing.

**END OF SECTION 233713** 

## **SECTION 237413**

# **ROOFTOP AIR CONDITIONERS**

## PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.
- B. The requirements of Section 230000, "Basic Mechanical Requirements" apply to work defined by this Section.
- C. The requirements of Section 230500, "Basic Mechanical Materials and Methods" apply to work defined by the Section.

#### 1.2 SUMMARY

- A. This Section includes the following rooftop air conditioners:
  - 1. Cooling and heating units 7-1/2 to 20 tons.
- B. Related Sections include the following:
  - 1. Division 23 "Direct-Fired, Makeup Air Units" for outside units providing 100 percent tempered outside air without heat exchangers.
  - 2. Division 23 "Indirect-Fired, Packaged H&V Units" for outside units providing 100 percent tempered outside air with heat exchangers.

### 1.3 DEFINITIONS

A. DDC: Direct-digital controls.

## 1.4 SUBMITTALS

- A. Product Data: Include manufacturer's technical data for each model indicated, including rated capacities, dimensions, required clearances, characteristics, furnished specialties, and accessories.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection. Prepare the following by or under the supervision of a qualified professional engineer:
  - 1. Design Calculations: Calculate requirements for selecting vibration isolators and for designing vibration isolation bases.

14428.11

- 2. Detail mounting, securing, and flashing of roof curb to roof structure. Indicate coordinating requirements with roof membrane system.
- 3. Wiring Diagrams: Power, signal, and control wiring.
- C. Manufacturer Seismic Qualification Certification: Submit certification that rooftop air conditioners, accessories, and components will withstand seismic forces defined in Division 23 Section "Mechanical Vibration and Seismic Controls." Include the following:
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
    - a. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified."
    - b. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field quality-control test reports.
- E. Operation and Maintenance Data: For rooftop air conditioners to include in emergency, operation, and maintenance manuals.
- F. Warranties: Special warranties specified in this Section.

## 1.5 QUALITY ASSURANCE

- A. Product Options: Drawings indicate size, profiles, and dimensional requirements of rooftop air conditioners and are based on the specific system indicated. Refer to Division 1 Section "Product Requirements."
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Fabricate and label refrigeration system to comply with ASHRAE 15, "Safety Code for Mechanical Refrigeration."
- D. ASHRAE Compliance:
  - 1. Comply with ASHRAE 15 for refrigeration system safety.
  - 2. Comply with ASHRAE 33 for methods of testing cooling and heating coils.
  - 3. Comply with applicable requirements in ASHRAE 62.1, Section 5 "Systems and Equipment" and Section 7 "Construction and Startup."
- E. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6 "Heating, Ventilating, and Air-Conditioning."

14428.11

- F. NFPA Compliance: Comply with NFPA 90A and NFPA 90B.
- G. UL Compliance: Comply with UL 1995.
- H. Comply with NFPA 54 for gas-fired furnace section.
- I. ARI Certification: Units shall be ARI certified and listed.
- J. ARI Compliance:
  - 1. Comply with ARI 210/240 and ARI 340/360 for testing and rating energy efficiencies for RTUs.
  - 2. Comply with ARI 270 for testing and rating sound performance for RTUs.

#### 1.6 COORDINATION

- A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 3.
- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 7 Section "Roof Accessories."
- C. Coordinate size, location, and installation of rooftop air-conditioner manufacturer's roof curbs and equipment supports with roof installer.
  - 1. Coordinate installation of restrained vibration isolation roof-curb rails.

# 1.7 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to replace components of rooftop air conditioners that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period for Compressors: Manufacturer's standard, but not less than **five** years from date of Substantial Completion.
  - 2. Warranty Period for Solid-State Ignition Modules: Manufacturer's standard, but not less than **three** years from date of Substantial Completion.
  - 3. Warranty Period for Control Boards: Manufacturer's standard, but not less than **three** years from date of Substantial Completion.
  - 4. Warranty Period for Variable-Speed Fan Motors: Manufacturer's standard, but not less than **three** years from date of Substantial Completion.
  - 5. Warranty Period for Electronic Thermostats: Manufacturer's standard, but not less than **three** years from date of Substantial Completion.

## 1.8 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fan Belts: **One set** for each belt-drive fan.
  - 2. Filters: **two set** of filters for each unit.

## **PART 2 - PRODUCTS**

#### 2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Rooftop Air Conditioners 7-1/2 Tons to 20 Tons (26 to 70 kW):
    - a. Addison Products Company.
    - b. Carrier Corporation.
    - c. Engineered Air.
    - d. Governair.
    - e. Lennox Industries Inc.
    - f. Mammoth Inc.
    - g. McQuay International.
    - h. Skymark International, Inc.
    - i. Trane; American Standard Companies, Inc.
    - j. YORK International Corporation.
    - k. Tempmaster

### 2.2 ROOFTOP AIR CONDITIONERS 7-1/2 TO 20 TONS

- A. Description: Factory assembled and tested; designed for exterior installation; consisting of compressor, indoor and outside refrigerant coils, indoor fan and outside coil fan, refrigeration and temperature controls, filters, and dampers.
- B. Casing: Galvanized-steel construction with enamel paint finish, removable panels or access doors with neoprene gaskets for inspection and access to internal parts, minimum 1/2-inch thick thermal insulation, knockouts for electrical and piping connections, exterior condensate drain connection, and lifting lugs.
- C. Indoor Fan: Forward curved, centrifugal, belt driven with **adjustable** motor sheaves, grease-lubricated ball bearings, and motor.
- D. Outside Coil Fan: Propeller type, directly driven by permanently lubricated motor.

- E. Refrigerant Coils: Aluminum-plate fin and seamless copper tube in steel casing with equalizing-type vertical distributor.
- F. Compressor(s): hermetic compressors with integral vibration isolators, internal overcurrent and overtemperature protection, internal pressure relief
- G. Refrigeration System:
  - 1. Compressor(s).
  - 2. Outside coil and fan.
  - 3. Indoor coil and fan.
  - 4. Four-way reversing valve and suction line accumulator.
  - 5. Check valves.
  - 6. Expansion valves with replaceable thermostatic elements.
  - 7. Refrigerant dryers.
  - 8. High-pressure switches.
  - 9. Low-pressure switches.
  - 10. Thermostats for coil freeze-up protection during low-ambient temperature operation or loss of air.
  - 11. Independent refrigerant circuits.
  - 12. Brass service valves installed in discharge and liquid lines.
  - 13. Charge of refrigerant.
  - 14. Hot-Gas Bypass: Factory-installed valve.
  - 15. Timed Off Control: Automatic-reset control shuts compressor off after five minutes.
- H. Filters: 2-inch- thick, fiberglass, **pleated**, throwaway filters in filter rack.
- I. Heat Exchanger: **Aluminized-steel**or **Stainless-steel** construction for **natural**-gas-fired burners with the following controls:
  - 1. Redundant dual gas valve with manual shutoff.
  - 2. Direct-spark pilot ignition.
  - 3. Electronic flame sensor.
  - 4. Induced-draft blower.
  - 5. Flame rollout switch.
- J. Electric Heat: Helix-wound, nickel-chrome, electric-resistance elements, factory wired for single-point wiring connection; with time delay for element staging, and overcurrent and overheat protective devices.
- K. Outside-Air Damper: Linked damper blades, for 0 to 25 percent outside air, with manual slide and fully modulating, spring-return damper motor and hood.
- L. Economizer: Return- and outside-air dampers with neoprene seals, outside-air filter, and hood.
  - 1. Damper Motor: Fully modulating spring return with adjustable minimum position.
  - 2. Control: Electronic-control system uses **mixed-air and outside-air temperature** to adjust mixing dampers.
  - 3. Relief Damper: Gravity actuated with bird screen and hood.

- M. Power Connection: Provide for single connection of power to unit with unit-mounted disconnect switch accessible from outside unit and control-circuit transformer with built-in circuit breaker.
- N. Unit Controls: Solid-state control board and components contain at least the following features:
  - 1. Indoor fan on/off delay.
  - 2. Default control to ensure proper operation after power interruption.
  - 3. Service relay output.
  - 4. Unit diagnostics and diagnostic code storage.
  - 5. Field-adjustable control parameters.
  - 6. Defrost control.
  - 7. Dehumidification control with dehumidistat.
  - 8. Economizer control.
  - 9. Electric heat staging.
  - 10. Gas valve delay between first- and second-stage firing.
  - 11. Indoor-air quality control with carbon dioxide sensor.
  - 12. Low-ambient control, allowing operation down to 0 deg F.
  - 13. Minimum run time.
  - 14. Night setback mode.
  - 15. Return-air temperature limit.
  - 16. Smoke alarm with smoke detector installed in **supply and return air**.
  - 17. Low-refrigerant pressure control.
  - 18. Digital display of outside temperature, supply-air temperature, return-air temperature, economizer damper position, indoor-air quality, and control parameters.
- O. DDC Temperature Control: Install stand-alone control module providing link between unit controls and DDC temperature-control system. Control module shall be compatible with temperature-control system specified in Division 23 Section "HVAC Instrumentation and Controls."
- P. Electromechanical Thermostat: Staged heating and cooling on subbase with manual system switch (on-heat-auto-cool) and fan switch (auto-on).
  - 1. Night setback operation with single-stage heating control with **seven-day** time clock with battery backup.
  - 2. Fan-proving switch to lock out unit if fan fails.
  - 3. Dirty-filter switch.
- Q. Thermostat: Programmable, electronic; with heating setback and cooling setup with seven-day programming; and the following:
  - 1. Touch sensitive keyboard.
  - 2. Automatic switching.
  - 3. **Deg F** readout.
  - 4. LED indicators.
  - 5. Hour/day programming.
  - 6. Manual override capability.
  - 7. Time and operational mode readout.
  - 8. Status indicator.
  - 9. Battery backup.

- 14428.11
  - 10. Sub-base with manual system switch (on-heat-auto-cool) and fan switch (auto-on).
  - 11. Fan-proving switch to lock out unit if fan fails.
  - 12. Dirty-filter switch.

# R. Optional Accessories:

- 1. Cold-Weather Kit: Electric heater maintains temperature in gas burner compartment.
- 2. Service Outlets: Two, 115-V, ground-fault, circuit-interrupter type.
- 3. condensate drain trap.
- 4. Dirty-filter switch.
- 5. Hail guards of steel, painted to match casing.
- 6. **Step-down** diffuser with aluminum grilles, insulated diffuser box with flanges, and interior transition.
- 7. Power exhaust fan.
- 8. Vertical vent extension.
- S. Roof Curb: Steel with corrosion-protection coating, gasketing, and factory-installed wood nailer; complying with NRCA standards; minimum height of **24** inches.
- T. Horizontal Discharge Roof Curb: Steel with corrosion-protection coating, [insulation,] gasketing, and factory-installed wood nailer, and configured to convert from downflow to horizontal airflow; complying with NRCA standards; minimum height of 26 inches.
- U. Isolation Curb: Rigid upper and lower steel structure with vibration isolation springs having [2-inch] static deflection and vertical and horizontal restraints; with elastomeric waterproof membrane.

## 2.3 MOTORS

A. Comply with requirements in Division 23, Section 230500 Basic Mechanical Materials and Methods, "Motors for Mechanical Equipment."

#### PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Install units level and plumb, maintaining manufacturer's recommended clearances. **Install according to ARI Guideline B.**
- B. Curb Support: Install roof curb on roof structure, level and secure, according to NRCA's "Low-Slope Membrane Roofing Construction Details Manual," Illustration "Raised Curb Detail for Rooftop Air Handling Units and Ducts. Install and secure rooftop air conditioners on curbs and coordinate roof penetrations and flashing with roof construction. Secure units to curb support with anchor bolts.
- C. Unit Support: Install unit level on structural curbs. Coordinate wall penetrations and flashing with wall construction. Secure units to structural support with anchor bolts.

#### 3.2 CONNECTIONS

- A. Piping installation requirements are specified in other Division 23 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to machine to allow service and maintenance.
  - 1. Gas Piping: Comply with applicable requirements in Division 23 Section "Fuel Gas Piping." Connect gas piping to burner, full size of gas train inlet, and connect with union and shutoff valve with sufficient clearance for burner removal and service.
  - 2. Hot-Water Heating Piping: Comply with applicable requirements in Division 23 Section "Hydronic Piping." Connect to supply and return coil tappings with shutoff or balancing valve and union or flange at each connection.
  - 3. Steam and Condensate Piping: Comply with applicable requirements in Division 23 Section "Steam and Condensate Piping." Connect to supply and return coil tappings with shutoff or balancing valve and union or flange at each connection.
- C. Duct installation requirements are specified in other Division 23 Sections. Drawings indicate the general arrangement of ducts. The following are specific connection requirements:
  - 1. Install ducts to termination in roof curb.
  - 2. Remove roof decking only as required for passage of ducts. Do not cut out decking under entire roof curb.
  - 3. Connect supply ducts to rooftop unit with flexible duct connectors specified in Division 23 Section "Duct Accessories."
  - 4. Terminate return-air duct through roof structure and insulate space between roof and bottom of unit with 2-inch- thick, acoustic duct liner.
  - 5. Install normal-weight, 3000 psi, compressive strength (28-day) concrete mix inside roof curb, [4 inches] <Insert thickness> thick. Concrete, formwork, and reinforcement are specified in Division 3.
- D. Electrical System Connections: Comply with applicable requirements in Division 26 Sections for power wiring, switches, and motor controls.
- E. Ground equipment according to Division 26 Section "Grounding and Bonding."
- F. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

## 3.3 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust field-assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.
- B. Perform the following field quality-control tests and inspections and prepare test reports:
  - 1. After installing rooftop air conditioners and after electrical circuitry has been energized, test units for compliance with requirements.

14428.11

- 2. Inspect for and remove shipping bolts, blocks, and tie-down straps.
- 3. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
- 4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Remove malfunctioning units, replace with new units, and retest as specified above.

## 3.4 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
- B. Complete installation and startup checks according to manufacturer's written instructions and do the following:
  - 1. Inspect for visible damage to unit casing.
  - 2. Inspect for visible damage to furnace combustion chamber.
  - 3. Inspect for visible damage to compressor, air-cooled outside coil, and fans.
  - 4. Inspect internal insulation.
  - 5. Verify that labels are clearly visible.
  - 6. Verify that clearances have been provided for servicing.
  - 7. Verify that controls are connected and operable.
  - 8. Verify that filters are installed.
  - 9. Clean outside coil and inspect for construction debris.
  - 10. Clean furnace flue and inspect for construction debris.
  - 11. Connect and purge gas line.
  - 12. Adjust vibration isolators.
  - 13. Inspect operation of barometric dampers.
  - 14. Lubricate bearings on fan.
  - 15. Inspect fan-wheel rotation for movement in correct direction without vibration and binding.
  - 16. Adjust fan belts to proper alignment and tension.
  - 17. Start unit according to manufacturer's written instructions.
    - a. Start refrigeration system in summer only.
    - b. Complete startup sheets and attach copy with Contractor's startup report.
  - 18. Inspect and record performance of interlocks and protective devices; verify sequences.
  - 19. Operate unit for an initial period as recommended or required by manufacturer.
  - 20. Perform the following operations for both minimum and maximum firing and adjust burner for peak efficiency. Adjust pilot to stable flame.
    - a. Measure gas pressure on manifold.
    - b. Measure combustion-air temperature at inlet to combustion chamber.
    - c. Measure flue-gas temperature at furnace discharge.
    - d. Perform flue-gas analysis. Measure and record flue-gas carbon dioxide and oxygen concentration.
    - e. Measure supply-air temperature and volume when burner is at maximum firing rate and when burner is off. Calculate useful heat to supply air.

- 21. Calibrate thermostats.
- 22. Adjust and inspect high-temperature limits.
- 23. Inspect outside-air dampers for proper stroke and interlock with return-air dampers.
- 24. Start refrigeration system and measure and record the following:
  - a. Coil leaving-air, dry- and wet-bulb temperatures.
  - b. Coil entering-air, dry- and wet-bulb temperatures.
  - c. Outside-air, dry-bulb temperature.
  - d. Outside-air-coil, discharge-air, dry-bulb temperature.
- 25. Inspect controls for correct sequencing of heating, mixing dampers, refrigeration, and normal and emergency shutdown.
- 26. Measure and record the following minimum and maximum airflows. Plot fan volumes on fan curve.
  - a. Supply-air volume.
  - b. Return-air volume.
  - c. Relief-air volume.
  - d. Outside-air intake volume.
- 27. Simulate maximum cooling demand and inspect the following:
  - a. Compressor refrigerant suction and hot-gas pressures.
  - b. Short circuiting of air through outside coil or from outside coil to outside-air intake.
- 28. Verify operation of remote panel, including pilot-light operation and failure modes. Inspect the following:
  - a. High-limit heat exchanger.
  - b. Warm-up for morning cycle.
  - c. Freezestat operation.
  - d. Economizer to limited outside-air changeover.
  - e. Alarms.
- 29. After startup and performance testing, change filters, vacuum heat exchanger and cooling and outside coils, lubricate bearings, adjust belt tension, and inspect operation of power vents.

#### 3.5 ADJUSTING

- A. Adjust initial temperature and humidity set points.
- B. Set field-adjustable switches and circuit-breaker trip ranges as indicated.
- C. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to site outside normal occupancy hours for this purpose, without additional cost.

#### 3.6 **DEMONSTRATION**

Engage a factory-authorized service representative to train Owner's maintenance personnel to A. adjust, operate, and maintain rooftop air conditioners. Refer to Division 1 Section "Demonstration and Training."

**END OF SECTION 237413** 

# CABINET UNIT HEATERS

#### **SECTION 238239 - CABINET UNIT HEATERS**

## PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

A. Section includes cabinet unit heaters with centrifugal fans and electric-resistance heating coils.

#### 1.3 DEFINITIONS

- A. CWP: Cold working pressure.
- B. DDC: Direct digital control.
- C. PTFE: Polytetrafluoroethylene plastic.
- D. TFE: Tetrafluoroethylene plastic.

## 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include rated capacities, operating characteristics, furnished specialties, and accessories.

# B. Shop Drawings:

- 1. Include plans, elevations, sections, and details.
- 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
- 3. Include location and size of each field connection.
- 4. Include details of anchorages and attachments to structure and to supported equipment.
- 5. Include equipment schedules to indicate rated capacities, operating characteristics, furnished specialties, and accessories.
- 6. Indicate location and arrangement of piping valves and specialties.
- 7. Indicate location and arrangement of integral controls.
- 8. Wiring Diagrams: Power, signal, and control wiring.
- C. Samples for Initial Selection: Finish colors for units with factory-applied color finishes.

D. Samples for Verification: Finish colors for each type of cabinet unit heater indicated with factory-applied color finishes.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Floor plans, reflected ceiling plans, and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  - 1. Structural members to which cabinet unit heaters will be attached.
  - 2. Method of attaching hangers to building structure.
  - 3. Size and location of initial access modules for acoustical tile.
  - 4. Perimeter moldings for exposed or partially exposed cabinets.
- B. Field quality-control reports.

### 1.6 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For cabinet unit heaters to include in emergency, operation, and maintenance manuals.

# PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. REZNOR.
- B. TRANE.
- C. Or approved equal.

## 2.2 DESCRIPTION

- A. Factory-assembled and -tested unit complying with AHRI 440.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with UL 2021.

# 2.3 PERFORMANCE REQUIREMENTS

- A. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 "Systems and Equipment" and Section 7 "Construction and Startup."
- B. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6 "Heating, Ventilating, and Air-Conditioning."

## 2.4 CABINETS

- A. Material: Steel with baked-enamel finish with manufacturer's standard paint, in color selected by Architect.
  - 1. Vertical Unit, Exposed Front Panel: Minimum .125 inch thick aluminum.
  - 2. High-limit temperature control with automatic reset.
  - 3. Recessed Flanges: Steel, finished to match cabinet.
  - 4. Control Access Door: Key operated.

# 2.5 COILS

A. Electric-Resistance Heating Coil: Nickel-chromium heating wire, free from expansion noise and hum, mounted in ceramic inserts in galvanized-steel housing; with fuses in terminal box for overcurrent protection and limit controls for high-temperature protection. Terminate elements in stainless-steel machine-staked terminals secured with stainless-steel hardware.

## 2.6 CONTROLS

- A. Fan and Motor Board: Removable.
  - 1. Fan: Closed, factory lubricated motor. 160cfm fan, fan delay purges heater of residual heat.
  - 2. Motor: Permanently lubricated, multispeed; resiliently mounted on motor board. Comply with requirements in Section 230513 "Common Motor Requirements for HVAC Equipment."
  - 3. Wiring Terminations: Connect motor to chassis wiring with plug connection.
- B. Control devices and operational sequences are specified in Section 230900 "Instrumentation and Controls", and in Section 230993 "Sequence of operations for HVAC controls".
- C. Basic Unit Controls:
  - 1. Control voltage transformer.
  - 2. Unoccupied period override push button.
- D. Interface with DDC System for HVAC Requirements:
  - 1. Interface relay for scheduled operation.
  - 2. Interface relay to provide indication of fault at central workstation.
  - 3. Interface shall be **BAC-net** compatible for central DDC system for HVAC workstation and include the following functions:
    - a. Unit-heater start, stop, and operating status.
    - b. Occupied and unoccupied schedules.
- E. Electrical Connection: Factory-wired motors and controls for a single field connection.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine areas to receive cabinet unit heaters for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical connections to verify actual locations before unit-heater installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Install wall boxes in finished wall assembly; seal and weatherproof. Joint-sealant materials and applications are specified in Section 079200 "Joint Sealants."
- B. Install cabinet unit heaters to comply with NFPA 90A.

## 3.3 CONNECTIONS

- A. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

## 3.4 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
  - 2. Operate electric heating elements through each stage to verify proper operation and electrical connections.
  - 3. Test and adjust controls and safety devices. Replace damaged and malfunctioning controls and equipment.
- B. Units will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports.

## END OF SECTION 238239

### SECTION 260000 – GENERAL PROVISIONS FOR ELECTRICAL WORK

## PART 1 - GENERAL

# 1.1 SCOPE OF WORK

A. The work included in this Contract is shown on the drawings and described in these specifications. It consists of furnishing all labor, material, services, supervision and connection of all systems shown and/or specified including the requirements of:

DIVISION	0	-	BIDDING AND CONTRACT REQUIREMENTS
DIVISION	1	-	GENERAL REQUIREMENTS
DIVISION	26	-	ELECTRICAL
DIVISION	27	-	COMMUNICATIONS
DIVISION	28	-	ELECTRONIC SAFETY AND SECURITY

- B. Contractor is responsible to review and understand all drawings and all work of all trades to ensure a complete and thorough project.
- C. Provide all labor, tools, materials, equipment, coordination, and plans necessary for installation and proper operation of the electrical systems.
- D. Contract drawings and specifications are complementary and must be so used to ascertain all requirements of the work.

#### 1.2 **DEFINITIONS**

- A. Provide, furnish, install, and furnish and install shall have the same meaning. That is, the Contractor shall purchase, transport to the site and install all required components of the work unless specifically stated otherwise in the contract documents.
- B. Wiring pertains to raceway, fittings, conductors, terminations, hangers, supports, etc. as required to form a complete system.

### 1.3 DRAWINGS AND SPECIFICATIONS

- A. The plans are diagrammatic and indicate only the sizes and general arrangement of conduit, devices, and equipment; exact locations of all elements shall be determined as work progresses, in cooperation with the work of other trades. It is not intended to show every item of work or minor piece of equipment, but every item shall be furnished and installed without additional remuneration as necessary to complete the system in accordance with the best practice of the trade.
- B. As previously stated, the exact locations of electrical devices and equipment is diagrammatic. The owner may request for any devices or equipment to be installed at different locations than what is indicated on the drawings in a specific area or room. It is the responsibility of the Electrical Contractor to coordinate the locations of devices in all areas prior to installation.

#### 1.4 APPLICABLE STANDARDS

- A. All equipment shall bear the UL label.
- B. The latest edition of the following minimum standards shall apply wherever applicable:

Clark Patterson Lee 06/18/2020

ACA	A Cton doude A
ASA	American Standards Association
ASTM	American Society for Testing Materials
ETL	Electrical Testing Laboratories, Inc.
IEEE	Institute of Electrical and Electronic Engineers
<b>IPCEA</b>	Insulated Power Cable for Engineers Association
OSHA	Occupational Safety and Health Act
NEC	National Electric Code
NEMA	National Electrical Manufacturers Association

National Electrical Safety Code **NESC** National Fire Protection Association NFPA Underwriters Laboratories, Inc.

Power company standards and regulations.

Local and state codes.

C. In the event there are conflicts between specifications and standards, standards shall govern unless specifications are in excess of standards.

#### 1.5 PERMITS AND INSPECTIONS

- Permits: The Contractor shall apply for and pay the cost for any local permits necessary for the A. work of this contract.
- В. Inspections: The Contractor shall be responsible for obtaining inspection of and the certificate by a 3<sup>rd</sup> party inspection agency for the entire electrical system. Turn over certificate of inspection to the architect.
- C. The undertaking of periodic inspections by the Owner or Engineer shall not be construed as supervision of actual construction. The Owner or Engineer is not responsible for providing a safe place of work for the Contractor, Contractor's employees, suppliers or subcontractors for access, visits, use, work, travel or occupancy by any person.

#### **CODES AND REGULATIONS** 1.6

- Comply with all applicable rules and regulations of the municipal laws and ordinances and latest A. revisions thereof. All work shall be done in full conformity with the requirements of all authorities having jurisdiction. Modifications required by the above authorities will be made without additional charges to the Owner. Where alterations to and/or deviations from the Contract Documents are required by the authorities, report the requirements to the Engineer and secure approval before work is started.
- В. Furnish and file with the proper authorities, all drawings required by them in connection with the work. Obtain all permits, licenses, and inspections and pay all legal and proper fees and charges in this connection.
- Should any work shown or specified be of lighter or smaller material than Code requires, same C. shall be executed in strict accordance with the regulations.
- Heavier or larger size material than Code requires shall be furnished and installed, if required by D. the Plans and Specifications.
- E. This Contractor shall have the electrical work inspected from time to time by authorized inspectors and shall pay all expense incurred by same. At the completion of the work, the Contractor shall furnish a Certificate of Approval, in triplicate, indicating full approval of the work furnished and installed in this Contract from the local authority having jurisdiction.

Clark Patterson Lee 06/18/2020

- F. Equipment and components parts thereof shall bear manufacturer's name-plate, giving manufacturer's name, size, type and model number or serial number, electrical characteristic to facilitate maintenance and replacements. Name plates of distributors or contractors are not acceptable.
- G. Engineer will have privilege of stopping any work or use of any material that in his opinion is not being properly installed and each Contractor shall remove all materials delivered, or work erected, which does not comply with Contract Drawings and Specifications, and replace with proper materials, or correct such work as directed by the Engineer, at no additional cost to Owner.
- H. If equipment or materials are installed before proper approvals have been obtained, each Contractor shall be liable for their removal and replacement including work of other trades affected by such work, at no additional cost to Owner, if such items do not meet intent of the Drawings and Specifications.

#### 1.7 RECORD DRAWINGS

- A. The Electrical Contractor shall keep an accurate location record of all underground and concealed piping, and of all changes from the original design. He is required to furnish this information to the Engineer prior to his application for final payment.
  - 1. Submit prior to final acceptance inspection, one complete marked-up set of reproducible engineering design drawings.
    - a. Fully illustrate all revisions made by all crafts in course of work.
    - b. Include all field changes, adjustments, variances, substitutions and deletions, including all Change Orders.
    - c. Exact location of raceways, equipment and devices.
    - d. Exact size and location of underground and under floor raceways, grounding conductors and duct banks.
  - 2. These drawings shall be for record purposes for Owner's use and are not considered shop drawings.
- B. At completion of the project, all changes and deviations from the Contract Documents shall be recorded by the Contractor.
- C. Four (4) corrected sets of all operating and maintenance instructions and complete parts lists bound in hard covers shall be furnished to the Owner.

## 1.8 CLEANING CONDUIT AND EQUIPMENT

A. Conduit and electrical equipment shall be thoroughly cleaned of dirt, cuttings, and other foreign substances.

#### 1.9 VIBRATION ISOLATION

- A. Vibration isolators shall prevent, as far as practicable, transmission of vibration, noise or hum to any part of building.
- B. Wiring and other electrical connections to equipment mounted on vibration isolators; made flexible with minimum 180 degree loop of "greenfield" in order to avoid restraining equipment and short circuiting vibration isolator.

Clark Patterson Lee 06/18/2020

#### 1.10 BALANCED LOAD

- A. It is intended that design and features of the work as indicated will provide balanced load on the feeders and main service. Contractor shall provide material and installation to provide this balance load insofar as possible.
- B. Contractor shall take current and voltage measurements at all panels of at least 1/2 hour. Reconnections of loads shall be made when deemed necessary by the Engineers.

## 1.11 **JOB CONDITIONS**

- A. Examine site related work and surfaces before starting work of any Section. Failure to do so shall in no way relieve the Contractor of the responsibility to properly install the new work.
  - 1. Report to the Engineer, in writing, conditions, which will prevent proper provision of this work ten (10) days prior to bid date, in time for an addendum to be issued.
  - 2. Beginning work of any Section without reporting unsuitable conditions to the Engineer constitutes acceptance of conditions by the Contractor.
  - 3. Perform any required removal, repair or replacement of this work caused by unsuitable conditions at no additional cost to Owner.
  - 4. The Contractor is responsible for performing routine maintenance and cleaning of any existing equipment where he is making connections to new work and to the building where his work adds debris.

## B. Connections to existing work:

- 1. Install new work and connect to existing work with minimum interference to existing facilities.
- 2. Provide temporary shutdowns of existing services only with written consent of Owner at no additional charges and at time not to interfere with normal operation of existing facilities.
- 3. Maintain continuous operation of existing facilities as required with necessary temporary connections between new and existing work.
- 4. Do not interrupt alarm and emergency systems.
- 5. Connect new work to existing work in neat and acceptable manner.
- 6. Restore existing disturbed work to original condition including maintenance of wiring and continuity as required. Replace damaged or rusted conduit to which new equipment is being installed and connected.

## C. Removal and relocation of existing work.

- 1. Disconnect, remove or relocate electrical material, equipment and other work noted and required by removal or changes in existing construction.
- 2. Provide new material and equipment required for relocated equipment.
- 3. Disconnect load and line end of conductors feeding existing equipment.
- 4. Remove conductors from existing raceways to be rewired.
- 5. Remove conductors and cap outlets on raceways to be abandoned.
- 6. Dispose of removed raceways and wire.
- 7. Dispose of removed electrical equipment as directed by Owner. The Owner shall provide a list of equipment of the Contractor of equipment to be delivered to the Owner.

## 1.12 SPECIAL TOOLS AND LOOSE ITEMS

- A. Furnish to Owner at completion of work:
  - 1. One set of any special tools required to operate, adjust, dismantle or repair equipment furnished under any section of this Division.

- 2. "Special Tools": Those not normally found in possession of maintenance personnel.
- 3. Keys
- 4. Redundant components and spare parts.
- B. Deliver items to Owner and obtain receipt prior to approval of final payment.

#### 1.13 REVIEW OF CONSTRUCTION

- A. Work may be reviewed at any time by representative of the Engineer.
- B. Advise Architect and Engineer that work is ready for review at following times:
  - 1. Prior to backfilling buried work.
  - 2. Prior to concealment of work in walls and above ceilings.
  - 3. When all requirements of contract have been completed.
- C. Neither backfill nor conceal work without Engineer's consent.

## 1.14 SHOP DRAWING SUBMITTALS

- A. Submit required shop drawings, samples and product information in accordance with Division 1, requirements and as required in the various sections of these specifications.
- B. Submittals shall show evidence of checking by the Contractor for accuracy. Product information (catalog sheets) shall indicate complete catalog number, color, accessories, etc., as well as, name of manufacturer and local distributor or manufacturer's representative.
- C. Submit for review detailed coordination drawings 3/8" or larger scale plans for all major electrical equipment and any areas of conflicts by drafting location of equipment, lighting fixtures, cable trays and conduits larger than 1-1/2" trade size. Contractor shall refer to Division 1 for preparing coordination drawings.
- D. Incomplete submittals will be rejected.
- E. Additionally, the Contractor will submit data on the following:
  - 1. All electrical equipment including all panelboards and switching devices (disconnects, switches, occupancy sensors, etc.).
  - 2. Fire stop seals used for wall penetrations.
  - 3. Any proposed variation in specified wiring plans and circuitry.
  - 4. All special items and panels, made or constructed specifically for this project, including wiring diagrams, component layout and component data or materials list.
  - 5. All settings of installed equipment, such as overcurrent protection, overload settings, temperature settings, time settings, etc. This includes equipment provided by other contractors or subcontractors and connected and tested by this Contractor.
- F. All submittals of NON SPECIFIED equipment and components will be reviewed. It is the submitting Contractor's responsibility to prove compliance and not the Architect/Engineer to prove non-compliance. The submitting Contractor will be charged the prevailing wage of the reviewing Engineer for all submittals requiring over one (1) hour to review that were not originally specified.

# 1.15 OPERATING INSTRUCTIONS

A. It shall be the Contractor's responsibility to insure that the Owner's representative is given adequate instruction on the operation of all equipment prior to final payment.

#### 1.16 TEMPORARY POWER

A. The Contractor shall provide all temporary power to all trades for all construction locations of this contract. This will include but not be limited to temporary lighting and power outlets.

#### PART 2 - PRODUCTS

## 2.1 MATERIALS

- A. All materials and equipment shall be new and as specified or of equal or better quality.
- B. Basic hardware and miscellaneous items shall meet existing trade standards of quality and shall carry UL or FM listings where applicable.
- C. All equipment supplied shall be the standard equipment of the manufacturer.
- D. Multiple items such as panelboards, wiring devices, switches, breakers, raceways, etc., shall be from the same manufacturer.
- E. Drawings and specifications are based on specific manufacturer's equipment. Therefore, the Contractor shall assume all responsibility, cost and coordination involved in making any necessary revisions to apply another manufacturer's equipment, even though it may be approved as an "equal" item by the Engineer.

#### **PART 3 - EXECUTION**

#### 3.1 COORDINATION OF WORK

- A. All work shall be executed in accordance with recognized standards of workmanship. All work shall be installed in a neat and orderly manner.
- B. The Contractor shall exchange information with other Contractors and the Owner in order to insure orderly progress of the work.
- C. The Contractor must contact the Owner's representative and schedule all work ten (10) days prior to start.
- D. The Contractor shall check for possible interference before installing any items. If any work is installed, and later develops interference with other features of the design, the Contractor will be responsible to make such changes to eliminate the interference.

## 3.2 CEILING REMOVAL

- A. Existing ceilings which must be removed for the installation of new work or demolition of existing conditions shall be done by the Contractor. No ceiling shall be removed without prior approval of the Owner. Ceilings which must be removed shall be restored to their original condition as soon as practical and prior to final payment.
- B. The removed tile of lay-in type ceilings shall be stored either in the ceiling space or at a designated space in the building. No tiles shall be stored in the occupied space.
- C. The Contractor shall take all necessary precautions to prevent damage to the existing ceilings. All damaged ceilings shall be replaced with new ceiling construction to match the existing and to the Owner's satisfaction.

# 3.3 COMMON REQUIREMENTS FOR ELECTRICAL INSTALLATION

- A. Comply with NECA 1.
- B. Measure indicated mounting heights to bottom of unit for suspended items and to center of unit for wall-mounting items.
- C. Headroom Maintenance: If mounting heights or other location criteria are not indicated, arrange and install components and equipment to provide maximum possible headroom consistent with these requirements.
- D. Equipment: Install to facilitate service, maintenance, and repair or replacement of components of both electrical equipment and other nearby installations. Connect in such a way as to facilitate future disconnecting with minimum interference with other items in the vicinity.
- E. Right of Way: Give to piping systems installed at a required slope.

## 3.4 FIRESTOPPING

A. Apply firestopping to penetrations of fire-rated floor and wall assemblies for electrical installations to restore original fire-resistance rating of assembly. Firestopping materials and installation requirements are specified in Division 7 Section "Through-Penetration Firestop Systems."

END OF SECTION 260000

#### SECTION 260519 – LOW-VOLTAGE CONDUCTORS AND CABLES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

A. This Section includes building wires and cables and associated connectors, splices, and terminations for wiring systems rated 600 V and less.

# 1.3 SUBMITTALS

A. Field Test Reports: Indicate and interpret test results for compliance with performance requirements.

# 1.4 QUALITY ASSURANCE

- A. Testing Agency Qualifications: In addition to requirements specified in Division 1, an independent testing agency shall meet OSHA criteria for accreditation of testing laboratories, Title 29, Part 1907; or shall be a full-member company of the InterNational Electrical Testing Association.
  - 1. Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association or the National Institute for Certification in Engineering Technologies, to supervise on-site testing specified in Part 3.
- B. Listing and Labeling: Provide wires and cables specified in this Section that are listed and labeled.
  - 1. The Terms "Listed" and "Labeled": As defined in NFPA 70, Article 100.
  - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- C. Comply with NFPA 70.

## 1.5 DELIVERY, STORAGE, AND HANDLING

A. Deliver wires and cables according to NEMA WC 26.

#### 1.6 COORDINATION

- A. Coordinate layout and installation of cables with other installations.
- B. Revise locations and elevations from those indicated, as required to suit field conditions and as approved by Architect.

### **PART 2 - PRODUCTS**

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Wires and Cables:

- a. Okonite
- b. American Insulated Wire Corp.; Leviton Manufacturing Co.
- c. BICC Brand-Rex Company.
- d. Southwire Company.
- 2. Connectors for Wires and Cables:
  - a. General Signal; O-Z/Gedney Unit.
  - b. Square D Co.; Anderson.
  - c. 3M Company; Electrical Products Division.

#### 2.2 BUILDING WIRES AND CABLES

- A. UL-listed building wires and cables with conductor material, insulation type, cable construction, and rating as specified in Part 3 "Wire and Insulation Applications" Article.
- B. Rubber Insulation Material: Comply with NEMA WC 3.
- C. Thermoplastic Insulation Material: Comply with NEMA WC 5.
- D. Cross-Linked Polyethylene Insulation Material: Comply with NEMA WC 7.
- E. Ethylene Propylene Rubber Insulation Material: Comply with NEMA WC 8.
- F. Conductor Material: Copper.
- G. Stranded conductors.

#### 2.3 CONNECTORS AND SPLICES

A. UL-listed, factory-fabricated wiring connectors of size, ampacity rating, material, type, and class for application and service indicated. Comply with Project's installation requirements and as specified in Part 3 "Wire and Insulation Applications" Article.

### **PART 3 - EXECUTION**

### 3.1 EXAMINATION

A. Examine raceways and building finishes to receive wires and cables for compliance with requirements for installation tolerances and other conditions affecting performance of wires and cables. Do not proceed with installation until unsatisfactory conditions have been corrected.

## 3.2 WIRE AND INSULATION APPLICATIONS

- A. Feeders: Type THHN/THWN, in raceway.
- B. Branch Circuits: Type THHN/THWN, in raceway.
- C. Fire Alarm Circuits: Power-limited, fire-protective, signaling circuit cable.
- D. Class 1 Control Circuits: Type THHN/THWN, in raceway.
- E. Class 2 Control Circuits: Type THHN/THWN, in raceway.

#### 3.3 INSTALLATION

- A. Install wires and cables as indicated, according to manufacturer's written instructions and NECA's "Standard of Installation."
- B. Remove existing wires from raceway before pulling in new wires and cables.

- C. Pull Conductors: Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- E. Install exposed cables, parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- F. Support cables according to Division 26 Section "General Provisions for Electrical Work."
- G. Seal around cables penetrating fire-rated elements according to Division 7 Section "Penetration Firestopping."
- H. Identify wires and cables according to Division 26 Section "Electrical Identification."

#### 3.4 CONNECTIONS

- A. Conductor Splices: Keep to minimum.
- B. Install splices and tapes that possess equivalent or better mechanical strength and insulation ratings than conductors being spliced.
- C. Use splice and tap connectors compatible with conductor material.
- D. Wiring at Outlets: Install conductor at each outlet, with at least 12 inches of slack.
- E. Connect outlets and components to wiring and to ground as indicated and instructed by manufacturer.
- F. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

## 3.5 FIELD QUALITY CONTROL

- A. Testing: On installation of wires and cables and before electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
  - 1. Procedures: Perform each visual and mechanical inspection and electrical test stated in NETA ATS, Section 7.3.1. Certify compliance with test parameters.
- B. Correct malfunctioning conductors and cables at Project site, where possible, and retest to demonstrate compliance; otherwise, remove and replace with new units and retest.

END OF SECTION 260519

#### SECTION 260526 - GROUNDING AND BONDING

#### PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

## 1.2 SUMMARY

A. This Section includes grounding of electrical systems and equipment. Grounding requirements specified in this Section may be supplemented by special requirements of systems described in other Sections.

#### 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Product Data: For the following:
  - 1. Ground rods.
  - 2. Chemical rods.
- C. Qualification Data: For firms and persons specified in "Quality Assurance" Article.
- D. Field Test Reports: Submit written test reports to include the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.

### 1.4 **QUALITY ASSURANCE**

- A. Testing Agency Qualifications: Testing agency as defined by OSHA in 29 CFR 1910.7 or a member company of the InterNational Electrical Testing Association and that is acceptable to authorities having jurisdiction.
  - 1. Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association to supervise on-site testing specified in Part 3.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
  - 1. Comply with UL 467.
- C. Comply with NFPA 70; for overhead-line construction and medium-voltage underground construction, comply with IEEE C2.
- D. Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system.

#### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Grounding Conductors, Cables, Connectors, and Rods:
    - a. Copperweld Corp.
    - b. Erico Inc.; Electrical Products Group.
    - c. Framatome Connectors/Burndy Electrical.
    - d. O-Z/Gedney Co.; a business of the EGS Electrical Group.
    - e. Thomas & Betts, Electrical.

# 2.2 GROUNDING CONDUCTORS

- A. For insulated conductors, comply with Division 16 Section "Conductors and Cables."
- B. Material: Copper.
- C. Equipment Grounding Conductors: Insulated with green-colored insulation.
- D. Isolated Ground Conductors: Insulated with green-colored insulation with yellow stripe. On feeders with isolated ground, use colored tape, alternating bands of green and yellow tape to provide a minimum of three bands of green and two bands of yellow.
- E. Grounding Electrode Conductors: Stranded cable.
- F. Underground Conductors: Bare, tinned, stranded, unless otherwise indicated.
- G. Bare Copper Conductors: Comply with the following:
  - 1. Solid Conductors: ASTM B 3.
  - 2. Assembly of Stranded Conductors: ASTM B 8.
  - 3. Tinned Conductors: ASTM B 33.
- H. Copper Bonding Conductors: As follows:
  - 1. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG copper conductor, 1/4 inch in diameter.
  - 2. Bonding Conductor: No. 4 or No. 6 AWG, stranded copper conductor.
  - 3. Bonding Jumper: Bare copper tape, braided bare copper conductors, terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
- I. Ground Conductor and Conductor Protector for Wood Poles: As follows:
  - 1. No. 4 AWG minimum, soft-drawn copper conductor.
- J. Grounding Bus: Bare, annealed copper bars of rectangular cross section, with insulators.

## 2.3 CONNECTOR PRODUCTS

- A. Comply with IEEE 837 and UL 467; listed for use for specific types, sizes, and combinations of conductors and connected items.
- B. Bolted Connectors: Bolted-pressure-type connectors, or compression type.
- C. Welded Connectors: Exothermic-welded type, in kit form, and selected per manufacturer's written instructions.

## 2.4 GROUNDING ELECTRODES

A. Ground Rods: Sectional type; copper-clad steel.

1. Size: 3/4 by 120 inches in diameter.

#### **PART 3 - EXECUTION**

#### 3.1 APPLICATION

- A. Use only copper conductors for both insulated and bare grounding conductors in direct contact with earth, concrete, masonry, crushed stone, and similar materials.
- B. In raceways, use insulated equipment grounding conductors.
- C. Exothermic-Welded Connections: Use for connections to structural steel and for underground connections, except those at test wells.
- D. Equipment Grounding Conductor Terminations: Use bolted pressure clamps.
- E. Underground Grounding Conductors: Use tinned-copper conductor, No. 2/0 AWG minimum. Bury at least 24 inches below grade or bury 12 inches above duct bank when installed as part of the duct bank.

# 3.2 EQUIPMENT GROUNDING CONDUCTORS

- A. Comply with NFPA 70, Article 250, for types, sizes, and quantities of equipment grounding conductors, unless specific types, larger sizes, or more conductors than required by NFPA 70 are indicated.
- B. Install equipment grounding conductors in all feeders and circuits.
- C. Install insulated equipment grounding conductor with circuit conductors for the following items, in addition to those required by NEC:
  - 1. Feeders and branch circuits.
  - 2. Lighting circuits.
  - 3. Receptacle circuits.
  - 4. Single-phase motor and appliance branch circuits.
  - 5. Three-phase motor and appliance branch circuits.
  - 6. Flexible raceway runs.
- D. Computer Outlet Circuits: Install insulated equipment grounding conductor in branch-circuit runs from computer-area power panels or power-distribution units.
- E. Isolated Grounding Receptacle Circuits: Install an insulated equipment grounding conductor connected to the receptacle grounding terminal. Isolate grounding conductor from raceway and from panelboard grounding terminals. Terminate at equipment grounding conductor terminal of the applicable derived system or service, unless otherwise indicated.
- F. Nonmetallic Raceways: Install an equipment grounding conductor in nonmetallic raceways unless they are designated for telephone or data cables.
- G. Air-Duct Equipment Circuits: Install an equipment grounding conductor to duct-mounted electrical devices operating at 120 V and more, including air cleaners and heaters. Bond conductor to each unit and to air duct.
- H. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate equipment grounding conductor to each electric water heater, heat-tracing, and antifrost heating cable. Bond conductor to heater units, piping, connected equipment, and components.

- Signal and Communication Systems: For telephone, alarm, voice and data, and other I. communication systems, provide No. 4 AWG minimum insulated grounding conductor in raceway from grounding electrode system to each service location, terminal cabinet, wiring closet, and central equipment location.
  - 1. Service and Central Equipment Locations and Wiring Closets: Terminate grounding conductor on a 1/4-by-2-by-12-inch grounding bus.
  - Terminal Cabinets: Terminate grounding conductor on cabinet grounding terminal. 2.
- Metal Poles Supporting Outdoor Lighting Fixtures: Provide a grounding electrode in addition to J. installing a separate equipment grounding conductor with supply branch-circuit conductors.
- K. Common Ground Bonding with Lightning Protection System: Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.

#### INSTALLATION 3.3

- Ground Rods: Install at least three rods spaced at least one-rod length from each other and A. located at least the same distance from other grounding electrodes.
  - 1. Drive ground rods until tops are 2 inches below finished floor or final grade, unless otherwise indicated.
  - 2. Interconnect ground rods with grounding electrode conductors. Use exothermic welds, except at test wells and as otherwise indicated. Make connections without exposing steel or damaging copper coating.
- Grounding Conductors: Route along shortest and straightest paths possible, unless otherwise В. indicated. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- C. Bonding Straps and Jumpers: Install so vibration by equipment mounted on vibration isolation hangers and supports is not transmitted to rigidly mounted equipment. Use exothermic-welded connectors for outdoor locations, unless a disconnect-type connection is required; then, use a bolted clamp. Bond straps directly to the basic structure taking care not to penetrate any adjacent parts. Install straps only in locations accessible for maintenance.
- D. Metal Water Service Pipe: Provide insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes by grounding clamp connectors. Where a dielectric main water fitting is installed, connect grounding conductor to street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
- E. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with grounding clamp connectors.
- F. Bond interior metal piping systems and metal air ducts to equipment grounding conductors of associated pumps, fans, blowers, electric heaters, and air cleaners. Use braided-type bonding straps.

06/18/2020 Clark Patterson Lee

#### 3.4 CONNECTIONS

- A. General: Make connections so galvanic action or electrolysis possibility is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact will be galvanically compatible.
  - 1. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer to order of galvanic series.
  - 2. Make connections with clean, bare metal at points of contact.
  - 3. Make aluminum-to-steel connections with stainless-steel separators and mechanical clamps.
  - 4. Make aluminum-to-galvanized steel connections with tin-plated copper jumpers and mechanical clamps.
  - 5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.
- B. Exothermic-Welded Connections: Comply with manufacturer's written instructions. Welds that are puffed up or that show convex surfaces indicating improper cleaning are not acceptable.
- C. Equipment Grounding Conductor Terminations: For No. 8 AWG and larger, use pressure-type grounding lugs. No. 10 AWG and smaller grounding conductors may be terminated with winged pressure-type connectors.
- D. Noncontact Metal Raceway Terminations: If metallic raceways terminate at metal housings without mechanical and electrical connection to housing, terminate each conduit with a grounding bushing. Connect grounding bushings with a bare grounding conductor to grounding bus or terminal in housing. Bond electrically noncontinuous conduits at entrances and exits with grounding bushings and bare grounding conductors, unless otherwise indicated.
- E. Tighten screws and bolts for grounding and bonding connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.
- F. Compression-Type Connections: Use hydraulic compression tools to provide correct circumferential pressure for compression connectors. Use tools and dies recommended by connector manufacturer. Provide embossing die code or other standard method to make a visible indication that a connector has been adequately compressed on grounding conductor.
- G. Moisture Protection: If insulated grounding conductors are connected to ground rods or grounding buses, insulate entire area of connection and seal against moisture penetration of insulation and cable.

## 3.5 UNDERGROUND DISTRIBUTION SYSTEM GROUNDING

- A. Duct Banks: Install a grounding conductor with at least 50 percent ampacity of the largest phase conductor in the duct bank.
- B. Manholes and Handholes: Install a driven ground rod close to wall and set rod depth so 4 inches will extend above finished floor. If necessary, install ground rod before manhole is placed and provide a No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive tape or heat-shrunk insulating sleeve from 2 inches above to 6 inches below concrete. Seal floor opening with waterproof, nonshrink grout.

- C. Connections to Manhole Components: Connect exposed-metal parts, such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole or handhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, stranded, hard-drawn copper conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect to cable armor and cable shields as recommended by manufacturer of splicing and termination kits.
- D. Pad-Mounted Transformers and/or Switches: Provide service transformer ground grid as shown on associated electrical drawings. Ground pad-mounted equipment and noncurrent-carrying metal items associated with transformers or substations by connecting them to underground cable and grounding electrodes. Use tinned-copper conductors for counterpoise and for taps to equipment ground pad.

# 3.6 FIELD QUALITY CONTROL

- A. Testing: Perform the following field quality-control testing:
  - 1. After installing grounding system but before permanent electrical circuitry has been energized, test for compliance with requirements.
  - 2. Test completed grounding system at each location where a maximum ground-resistance level is specified and at service disconnect enclosure grounding terminal. Measure ground resistance not less than two full days after the last trace of precipitation, and without the soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance. Perform tests, by the fall-of-potential method according to IEEE 81.
    - a. Equipment Rated 500 kVA and Less: 10 ohms.
    - b. Equipment Rated 500 to 1000 kVA: 5 ohms.
    - c. Equipment Rated More Than 1000 kVA: 3 ohms.
    - d. Substations and Pad-Mounted Switching Equipment: 5 ohms.
    - e. Manhole Grounds: 10 ohms.
  - 3. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

#### 3.7 GRADING AND PLANTING

A. Restore surface features, including vegetation, at areas disturbed by Work of this Section. Reestablish original grades, unless otherwise indicated. If sod has been removed, replace it as soon as possible after backfilling is completed. Restore areas disturbed by trenching, storing of dirt, cable laying, and other activities to their original condition. Include application of topsoil, fertilizer, lime, seed, sod, sprig, and mulch. Comply with Division 32 Section "Turfs and Grasses." Maintain restored surfaces. Restore disturbed paving as indicated.

END OF SECTION 260526

## 260529 - 1

#### SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. This Section includes the following:
  - 1. Hangers and supports for electrical equipment and systems.
  - 2. Construction requirements for concrete bases.

## 1.2 **DEFINITIONS**

- A. EMT: Electrical metallic tubing.
- B. IMC: Intermediate metal conduit.
- C. RMC: Rigid metal conduit.

# 1.3 PERFORMANCE REQUIREMENTS

- A. Design supports for multiple raceways capable of supporting combined weight of supported systems and its contents.
- B. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.
- C. Rated Strength: Adequate in tension, shear, and pullout force to resist maximum loads calculated or imposed for this Project, with a minimum structural safety factor of five times the applied force.

#### 1.4 OUALITY ASSURANCE

- A. Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Comply with NFPA 70.

## 1.5 COORDINATION

- A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 3.
- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations

# PART 2 - PRODUCTS

# 2.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Comply with MFMA-4, factory-fabricated components for field assembly.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allied Tube & Conduit.
    - b. Cooper B-Line, Inc.; a division of Cooper Industries.
    - c. ERICO International Corporation.
    - d. Thomas & Betts Corporation.

- e. Unistrut; Tyco International, Ltd.
- f. Wesanco, Inc.
- 2. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
- 3. Channel Dimensions: Selected for applicable load criteria.
- B. Raceway and Cable Supports: As described in NECA 1 and NECA 101.
- C. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- D. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for non-armored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be malleable iron.
- E. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
- F. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Hilti Inc.
      - 2) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      - 3) MKT Fastening, LLC.
      - 4) Simpson Strong-Tie Co., Inc.; Masterset Fastening Systems Unit.
  - 2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete with tension, shear, and pullout capacities appropriate for supported loads and building materials in which used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Cooper B-Line, Inc.; a division of Cooper Industries.
      - 2) Hilti Inc.
      - 3) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      - 4) MKT Fastening, LLC.
  - 3. Concrete Inserts: Steel or malleable-iron, slotted support system units similar to MSS Type 18; complying with MFMA-4 or MSS SP-58.
  - 4. Clamps for Attachment to Steel Structural Elements: MSS SP-58, type suitable for attached structural element.
  - 5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
  - 6. Toggle Bolts: All-steel springhead type.
  - 7. Hanger Rods: Threaded steel.

## 2.2 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

A. Description: Welded or bolted, structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.

#### **PART 3 - EXECUTION**

# 3.1 APPLICATION

- A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems except if requirements in this Section are stricter.
- B. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMT, IMC, and RMC as required by NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- C. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with two-bolt conduit clamps.

#### 3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this Article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, EMT, IMC, and RMC may be supported by openings through structure members, as permitted in NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
  - 6. To Steel: Beam clamps (MSS Type 19, 21, 23, 25, or 27) complying with MSS SP-69.
  - 7. To Light Steel: Sheet metal screws.
  - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid reinforcing bars.

#### 3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

- A. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- B. Field Welding: Comply with AWS D1.1/D1.1M.

#### 3.4 CONCRETE BASES

- A. Construct concrete bases of dimensions indicated but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000-psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Division 3 Section "Cast-in-Place Concrete."
- C. Anchor equipment to concrete base.
  - Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 2. Install anchor bolts to elevations required for proper attachment to supported equipment.
  - 3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

#### 3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.
- B. Touchup: Comply with requirements in Division 9 painting Sections for cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal.
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION 26 0529

#### **SECTION 260533 - RACEWAYS AND BOXES**

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes raceways, fittings, connectors and couplings, boxes, enclosures, and cabinets for electrical wiring.
  - 1. Raceways include the following:
    - a. RMC.
    - b. IMC.
    - c. PVC externally coated, rigid steel conduits.
    - d. PVC externally coated, IMC.
    - e. EMT.
    - f. FMC.
    - g. LFMC.
    - h. LFNC.
    - i. RNC.
    - j. ENT.
    - k. Wireways.
    - 1. Surface raceways.
    - m. Type MC cable
  - 2. Boxes, enclosures, and cabinets include the following:
    - a. Device boxes.
    - b. Floor boxes.
    - c. Outlet boxes.
    - d. Pull and junction boxes.
    - e. Cabinets and hinged-cover enclosures.

# 1.3 **DEFINITIONS**

- A. EMT: Electrical metallic tubing.
- B. ENT: Electrical nonmetallic tubing.
- C. FMC: Flexible metal conduit.
- D. IMC: Intermediate metal conduit.
- E. LFMC: Liquidtight flexible metal conduit.
- F. LFNC: Liquidtight flexible nonmetallic conduit.
- G. RMC: Rigid metal conduit.
- H. RNC: Rigid nonmetallic conduit.

#### 1.4 SUBMITTALS

A. Product Data: For surface raceways, wireways and fittings, hinged-cover enclosures, and cabinets.

B. Shop Drawings: Include layout drawings showing components and wiring for nonstandard boxes, enclosures, and cabinets.

## 1.5 **QUALITY ASSURANCE**

- A. Listing and Labeling: Provide raceways and boxes specified in this Section that are listed and labeled.
  - 1. The Terms "Listed" and "Labeled": As defined in NFPA 70, Article 100.
  - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NECA's "Standard of Installation."
- C. Comply with NFPA 70.

## 1.6 COORDINATION

A. Coordinate layout and installation of raceways and boxes with other construction elements to ensure adequate headroom, working clearance, and access.

## PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Metal Conduit and Tubing:
    - a. Alflex Corp.
    - b. Anamet, Inc.; Anaconda Metal Hose.
    - c. Carol Cable Co., Inc.
    - d. Cole-Flex Corp.
    - e. Electri-Flex Co.
    - f. Flexcon, Inc.; Coleman Cable Systems, Inc.
    - g. Grinnell Co.; Allied Tube and Conduit Div.
  - 2. Nonmetallic Conduit and Tubing:
    - a. Anamet, Inc.; Anaconda Metal Hose.
    - b. Arnco Corp.
    - c. Cantex Industries; Harsco Corp.
    - d. Certainteed Corp.; Pipe & Plastics Group.
    - e. Cole-Flex Corp.
    - f. Condux International; Electrical Products.
    - g. Electri-Flex Co.
    - h. Hubbell, Inc.; Raco, Inc.
    - i. Lamson & Sessions; Carlon Electrical Products.
    - j. R&G Sloan Manufacturing Co., Inc.
    - k. Thomas & Betts Corp.
  - 3. Conduit Bodies and Fittings:
    - a. American Electric; Construction Materials Group.
    - b. Crouse-Hinds; Div. of Cooper Industries.
    - c. Emerson Electric Co.; Appleton Electric Co.
    - d. Hubbell, Inc.; Killark Electric Manufacturing Co.
    - e. Lamson & Sessions; Carlon Electrical Products.
    - f. O-Z/Gedney; Unit of General Signal.

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- a. Hoffman Engineering Co.
- b. Keystone/Rees, Inc.
- c. Square D Co.
- 5. Nonmetallic Wireways:
  - a. Hoffman Engineering Co.
  - b. Lamson & Sessions; Carlon Electrical Products.
- 6. Surface Metal Raceways:
  - a. American Electric; Construction Materials Group.
  - b. Butler Manufacturing Co.; Walker Division.
  - c. Wiremold Co. (The); Electrical Sales Division.
- 7. Surface Nonmetallic Raceways:
  - a. Butler Manufacturing Co.; Walker Division.
  - b. Hubbell, Inc.; Wiring Device Division.
  - c. Lamson & Sessions; Carlon Electrical Products.
  - d. Panduit Corp.
  - e. United Telecom; Premier Telecom Products, Inc.
  - f. Wiremold Co. (The); Electrical Sales Division.
- 8. Boxes, Enclosures, and Cabinets:
  - a. American Electric; FL Industries.
  - b. Butler Manufacturing Co.; Walker Division.
  - c. Crouse-Hinds; Div. of Cooper Industries.
  - d. Electric Panelboard Co., Inc.
  - e. Hoffman Engineering Co.; Federal-Hoffman, Inc.
  - f. Hubbell Inc.; Killark Electric Manufacturing Co.
  - g. Hubbell Inc.; Raco, Inc.
  - h. Lamson & Sessions: Carlon Electrical Products.
  - i. O-Z/Gedney; Unit of General Signal.
  - j. Parker Electrical Manufacturing Co.
  - k. Robroy Industries, Inc.; Electrical Division.
  - 1. Thomas & Betts Corp.
  - m. Woodhead Industries, Inc.; Daniel Woodhead Co.

## 2.2 METAL CONDUIT AND TUBING

- A. Rigid Steel Conduit: ANSI C80.1.
- B. Rigid Aluminum Conduit: ANSI C80.5.
- C. IMC: ANSI C80.6.
- D. Plastic-Coated Steel Conduit and Fittings: NEMA RN 1.
- E. Plastic-Coated IMC and Fittings: NEMA RN 1.
- F. EMT and Fittings: ANSI C80.3.
  - 1. Fittings: Set-screw or compression type.
- G. FMC: Aluminum.
- H. FMC: Zinc-coated steel.
- I. LFMC: Flexible steel conduit with PVC jacket.
- J. Fittings: NEMA FB 1; compatible with conduit/tubing materials.

#### 2.3 NONMETALLIC CONDUIT AND TUBING

- ENT: NEMA TC 13. A.
- В. RNC: NEMA TC 2, Schedule 40 or 80 PVC.
- C. ENT and RNC Fittings: NEMA TC 3; match to conduit or conduit/tubing type and material.
- D. LFNC: UL 1660.

#### **METAL WIREWAYS** 2.4

- Material: Sheet metal sized and shaped as indicated. A.
- Fittings and Accessories: Include couplings, offsets, elbows, expansion joints, adapters, hold-B. down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- C. Select features, unless otherwise indicated, as required to complete wiring system and to comply with NFPA 70.
- D. Wireway Covers: As indicated
- E. Wireway Covers: Hinged type.
- F. Wireway Covers: Screw-cover type.
- G. Wireway Covers: Flanged-and-gasketed type.
- Finish: Manufacturer's standard enamel finish. Η.

#### 2.5 NONMETALLIC WIREWAYS

- Description: Fiberglass polyester, extruded and fabricated to size and shape indicated, with no A. holes or knockouts. Cover is gasketed with oil-resistant gasket material and fastened with captivated screws treated for corrosion resistance. Connections are flanged, with stainless-steel screws and oil-resistant gaskets.
- В. Description: PVC plastic, extruded and fabricated to size and shape indicated, with snap-on cover and mechanically coupled connections using plastic fasteners.
- C. Fittings and Accessories: Include couplings, offsets, elbows, expansion joints, adapters, holddown straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- D. Select features, unless otherwise indicated, as required to complete wiring system and to comply with NFPA 70.

#### NON-METALLIC SURFACE RACEWAY 2.6

- Wiremold 5400 two-piece surface non-metallic raceway or approved equivalent. A. Electrical Contractor is responsible for providing and installing all surface mounted raceway as indicated on associated electrical drawings. Raceway system shall include but not be limited to items listed below. Contractor is responsible for providing a complete operational surface raceway system where indicated on associated electrical drawings.
  - Provide divider plate for separation of communications and power wiring. 1.
  - 2. Size: 1-11/16" x 5-1/4"W
  - 3. Provide two section Base No. 5400TB and cover No. 5400C as required.

06/18/2020 Clark Patterson Lee

- 4. Install where indicated on the associated electrical drawings with devices as noted.
- 5. Install where ceilings are inaccessible.
- 6. Raceway color shall be ivory. Color of receptacles and connectors shall match raceway.
- 7. Provide all necessary parts including, but not limited to, the following:
  - a. Wire Clip No. 5400TWC
  - b. Cover Clip No. 5406A
  - c. Flat Elbow No. 5411
  - d. End Cap No. 5410
  - e. Internal Elbow No. 5417
  - f. External Elbow No. 5418
  - g. Device Bracket and Faceplate No. 5407
  - h. Duplex Faceplate No. 5507D

#### 2.7 CONNECTORS AND COUPLINGS

- A. Locknuts: Appleton Electric Co. BL-50 Series, Gould Inc. Efcor 151 Series, Midwest Electric Mfg. Corp. 10 Series, OZ/Gedney Co. 1-50S Series, Raco Inc. 1002 Series, or Thomas & Betts Corp. 141 Series.
- B. Grounding Wedge: Thomas & Betts Corp. 3650 Series
- C. Couplings (For Rigid and IMC Conduit): Standard threaded couplings as furnished by conduit manufacturer.
- D. Three Piece Conduit Coupling (For Rigid and IMC Conduit): Gould Inc. Efcor 165 Series, Midwest Electric Mfg. Corp. 190 Series, OZ/Gedney Co. 4-50 Series, Raco Inc. 1502 Series, or Thomas & Betts Corp. 675 Series
- E. Set Screw Type: Appleton Electric Co., Gould Inc. Efcor, Midwest Electric Mfg. Corp., Raco Inc., Tomic Electric, or Thomas & Betts Corp.
- F. Flexible Steel Conduit Connectors: Midwest Electric Mfg. Corp. 1708, 1736 Series, OZ/Gedney Co. C-8T, 24-34T, ACV-50T Series, or Thomas & Betts Corp. Nylon insulated Tite-Bite Series.
- G. Sealtite Connectors (For Liquidtight Metal Conduit): Appleton Electric Co. STB Series, Crouse-Hinds Co. LTB Series, Gould Inc Efcor 11-50B Series, Ideal Industries Inc. 75-521 Series, Midwest Electric Mfg. Corp. LTB Series, OZ/Gedney Co. 4Q-50T Series, Raco Inc. 3512 Series, or Thomas & Betts Corp. 5332 Series.

## 2.8 FLOOR BOXES

- A. Floor Boxes: metallic or nonmetallic, shallow, rectangular box.
- B. Four compartment with wiring dividers for power and communication wiring.
- C. Provide with mud cap for protection during concrete pour of floor slab.
- D. Provide with internal duplex receptacle brackets and communication brackets.
- E. Provide with brushed metal cover finish to be determined by architect at submittal time. Cover to have capability to remain closed with cables exiting box.

260533 - 6

F. Legrand RFB2 Series.

#### 2.9 OUTLET AND DEVICE BOXES

- A. Sheet Metal Boxes: NEMA OS 1.
- B. Cast-Metal Boxes: NEMA FB 1, Type FD, cast box with gasketed cover.

#### 2.10 PULL AND JUNCTION BOXES

- A. Small Sheet Metal Boxes: NEMA OS 1.
- B. Cast-Metal Boxes: NEMA FB 1, cast aluminum with gasketed cover.

## 2.11 ENCLOSURES AND CABINETS

- A. Hinged-Cover Enclosures: NEMA 250, Type 1, with continuous hinge cover and flush latch.
  - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
  - 2. Nonmetallic Enclosures: Plastic, finished inside with radio-frequency-resistant paint.
- B. Cabinets: NEMA 250, Type 1, galvanized steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel. Hinged door in front cover with flush latch and concealed hinge. Key latch to match panelboards. Include metal barriers to separate wiring of different systems and voltage, and include accessory feet where required for freestanding equipment.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

A. Examine surfaces to receive raceways, boxes, enclosures, and cabinets for compliance with installation tolerances and other conditions affecting performance of raceway installation. Do not proceed with installation until unsatisfactory conditions have been corrected.

## 3.2 WIRING METHODS

- A. Outdoors: Use the following wiring methods:
  - 1. Exposed: Rigid steel.
  - 2. Concealed: Rigid steel.
  - 3. Underground, Single Run: RNC.
  - 4. Underground, Grouped: RNC.
  - 5. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
  - 6. Boxes and Enclosures: NEMA 250, Type 3R or Type 4.
- B. Indoors: Use the following wiring methods:
  - 1. Exposed: EMT. Non-metallic and metallic surface raceways as shown on associated electrical drawings.
  - 2. Concealed: EMT
  - 3. Concealed in slab on grade: RNC (transition to 90 degree rigid steel elbow prior to exiting floor slab on grade)
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC; except in wet or damp locations, use LFMC.
  - 5. Damp or Wet Locations: Rigid steel conduit.

- 6. Boxes and Enclosures: NEMA 250, Type 1, except as follows:
  - a. Damp or Wet Locations: NEMA 250, Type 4, stainless steel.

## 3.3 INSTALLATION

- A. Install raceways, boxes, enclosures, and cabinets as indicated, according to manufacturer's written instructions.
- B. Minimum Raceway Size: 3/4-inch trade size. Unless otherwise noted
- C. Conceal conduit and EMT, unless otherwise indicated, within finished walls, ceilings, and floors.
- D. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- E. Install raceways level and square and at proper elevations. Provide adequate headroom.
- F. Complete raceway installation before starting conductor installation.
- G. Support raceways as specified in Division 16 Section "Basic Electrical Materials and Methods."
- H. Use temporary closures to prevent foreign matter from entering raceways.
- I. Protect stub-ups from damage where conduits rise through floor slabs. Arrange so curved portion of bends is not visible above the finished slab.
- J. Make bends and offsets so ID is not reduced. Keep legs of bends in the same plane and straight legs of offsets parallel, unless otherwise indicated.
- K. Use raceway fittings compatible with raceways and suitable for use and location. For intermediate steel conduit, use threaded rigid steel conduit fittings, unless otherwise indicated.
- L. Run concealed raceways, with a minimum of bends, in the shortest practical distance considering the type of building construction and obstructions, unless otherwise indicated.
- M. Raceways Embedded in Slabs: Install in middle third of slab thickness where practical, and leave at least 1-inch concrete cover.
  - 1. Secure raceways to reinforcing rods to prevent sagging or shifting during concrete placement.
  - 2. Space raceways laterally to prevent voids in concrete.
  - 3. Run conduit larger than 1-inch trade size parallel to or at right angles to main reinforcement. Where at right angles to reinforcement, place conduit close to slab support.
  - 4. Transition from nonmetallic tubing to Schedule rigid steel conduit before rising above floor.
- N. Install exposed raceways parallel to or at right angles to nearby surfaces or structural members, and follow the surface contours as much as practical.
  - 1. Run parallel or banked raceways together, on common supports where practical.
  - 2. Make bends in parallel or banked runs from same centerline to make bends parallel. Use factory elbows only where elbows can be installed parallel; otherwise, provide field bends for parallel raceways.
- O. Join raceways with fittings designed and approved for the purpose and make joints tight.
  - 1. Make raceway terminations tight. Use bonding bushings or wedges at connections subject to vibration. Use bonding jumpers where joints cannot be made tight.
  - 2. Use insulating bushings to protect conductors.

- P. Terminations: Where raceways are terminated with locknuts and bushings, align raceways to enter squarely and install locknuts with dished part against the box. Where terminations are not secure with 1 locknut, use 2 locknuts: 1 inside and 1 outside the box.
- Q. Where raceways are terminated with threaded hubs, screw raceways or fittings tightly into the hub so the end bears against the wire protection shoulder. Where chase nipples are used, align raceways so the coupling is square to the box and tighten the chase nipple so no threads are exposed.
- R. Install pull wires in empty raceways. Use No. 14 AWG zinc-coated steel or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of the pull wire.
- S. Telephone and Signal System Raceways, 2-Inch Trade Size and Smaller: In addition to the above requirements, install raceways in maximum lengths of 150 feet and with a maximum of two 90-degree bends or equivalent. Separate lengths with pull or junction boxes where necessary to comply with these requirements.
- T. Install raceway sealing fittings according to manufacturer's written instructions. Locate fittings at suitable, approved, and accessible locations and fill them with UL-listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings at the following points:
  - 1. Where conduits pass from warm to cold locations, such as the boundaries of refrigerated spaces.
  - 2. Where otherwise required by NFPA 70.
- U. Stub-up Connections: Extend conduits through concrete floor for connection to freestanding equipment. Install with an adjustable top or coupling threaded inside for plugs set flush with the finished floor. Extend conductors to equipment with rigid steel conduit; FMC may be used 6 inches above the floor. Install screwdriver-operated, threaded flush plugs flush with floor for future equipment connections.
- V. Flexible Connections: Use maximum of 6 feet of flexible conduit for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for all motors. Use liquid tight flexible conduit in wet or damp locations. Install separate ground conductor across flexible connections.
- W. Install nonferrous conduit or tubing for circuits operating above 60 Hz. Where aluminum raceways are installed for such circuits and pass through concrete, install in a nonmetallic sleeve.
- X. Do not install aluminum conduits embedded in or in contact with concrete.
- Y. PVC Externally Coated, Rigid Steel Conduits: Use only fittings approved for use with that material. Patch all nicks and scrapes in PVC coating after installing conduits.
- Z. Surface Raceways: Install a separate, green, ground conductor in raceways from junction box supplying the raceways to receptacle or fixture ground terminals.
  - 1. Select each surface raceway outlet box, to which a lighting fixture is attached, of sufficient diameter to provide a seat for the fixture canopy.
  - 2. Where a surface raceway is used to supply a fluorescent lighting fixture having centralstem suspension with a backplate and a canopy (with or without extension ring), no separate outlet box is required.
  - 3. Provide surface metal raceway outlet box, and the backplate and canopy, at the feed-in location of each fluorescent lighting fixture having end-stem suspension.

- 260533 9
- 4. Where a surface metal raceway extension is made from an existing outlet box on which a lighting fixture is installed, no additional surface-mounted outlet box is required. Provide a backplate slightly smaller than the fixture canopy.
- AA. Set floor boxes level and adjust to finished floor surface.
- BB. Install hinged-cover enclosures and cabinets plumb. Support at each corner.

#### 3.4 PROTECTION

- A. Provide final protection and maintain conditions, in a manner acceptable to manufacturer and Installer that ensure coatings, finishes, and cabinets are without damage or deterioration at the time of Substantial Completion.
  - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
  - 2. Repair damage to PVC or paint finishes with matching touchup coating recommended by manufacturer.

## 3.5 CLEANING

A. On completion of installation, including outlet fittings and devices, inspect exposed finish. Remove burrs, dirt, and construction debris and repair damaged finish, including chips, scratches, and abrasions.

END OF SECTION 260533

## SECTION 260544 - SLEEVES & SLEEVE SEALS FOR ELECTRICAL RACEWAYS & CABLING

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
  - 2. Sleeve-seal systems.
  - 3. Sleeve-seal fittings.
  - 4. Grout.
  - 5. Silicone sealants.
- B. Related Requirements:
  - 1. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fireresistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

#### 1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

## PART 2 - PRODUCTS

## 2.1 SLEEVES

- A. Wall Sleeves:
  - 1. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
  - 2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.
- C. PVC-Pipe Sleeves: ASTM D 1785, Schedule 40.
- D. Molded-PVC Sleeves: With nailing flange for attaching to wooden forms.
- E. Molded-PE or -PP Sleeves: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.
- F. Sleeves for Rectangular Openings:
  - Material: Galvanized sheet steel.

#### 2. Minimum Metal Thickness:

- a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
- b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

#### 2.2 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Advance Products & Systems, Inc.
    - b. CALPICO, Inc.
    - c. Metraflex Company (The).
    - d. Pipeline Seal and Insulator, Inc.
    - e. Proco Products, Inc.
  - 2. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  - 3. Pressure Plates: carbon steel or stainless steel.
  - 4. Connecting Bolts and Nuts: carbon steel, with corrosion-resistant coating or stainless steel of length required to secure pressure plates to sealing elements.

#### 2.3 SLEEVE-SEAL FITTINGS

A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.

## 2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

## 2.5 SILICONE SEALANTS

- A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
  - 2. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

#### **PART 3 - EXECUTION**

# 3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.
- B. Comply with NEMA VE 2 for cable tray and cable penetrations.
- C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
    - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
  - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed.
  - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
  - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level. Install sleeves during erection of floors.
- D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:
  - 1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.
- E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boottype flashing units applied in coordination with roofing work.
- F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel or cast-iron pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

#### 3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

# 3.3 SLEEVE-SEAL-FITTING INSTALLATION

A. Install sleeve-seal fittings in new walls and slabs as they are constructed.

- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

END OF SECTION 260544

#### SECTION 260553 - ELECTRICAL IDENTIFICATION

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

## 1.2 SUMMARY

A. This Section includes electrical identification materials and devices required to comply with ANSI C2, NFPA 70, OSHA standards, and authorities having jurisdiction.

# 1.3 SUBMITTALS

- A. Product Data: For each electrical identification product indicated.
- B. Schedule of Nomenclature: An index of electrical equipment and system components used in identification signs and labels.
- C. Samples: For each type of label and sign to illustrate color, lettering style, and graphic features of identification products.

## 1.4 **QUALITY ASSURANCE**

- A. Comply with ANSI C2.
- B. Comply with NFPA 70.
- C. Comply with ANSI A13.1 and NFPA 70 for color-coding.

## PART 2 - PRODUCTS

#### 2.1 RACEWAY AND CABLE LABELS

- A. Comply with ANSI A13.1, Table 3, for minimum size of letters for legend and for minimum length of color field for each raceway and cable size.
  - 1. Color: Black letters on orange field.
  - 2. Legend: Indicates voltage and service.
- B. Adhesive Labels: Preprinted, flexible, self-adhesive vinyl with legend overlaminated with a clear, weather- and chemical-resistant coating.
- C. Pretensioned, Wraparound Plastic Sleeves: Flexible, preprinted, color-coded, acrylic band sized to suit the diameter of the line it identifies and arranged to stay in place by pretensioned gripping action when placed in position.
- D. Colored Adhesive Tape: Self-adhesive vinyl tape not less than 3 mils thick by 1 to 2 inches wide.
- E. Underground-Line Warning Tape: Permanent, bright-colored, continuous-printed, vinyl tape.
  - 1. Not less than 6 inches wide by 4 mils thick.
  - 2. Compounded for permanent direct-burial service.
  - 3. Embedded continuous metallic strip or core.
  - 4. Printed legend indicating type of underground line.

- F. Tape Markers: Vinyl or vinyl-cloth, self-adhesive, wraparound type with preprinted numbers and letters.
- G. Aluminum, Wraparound Marker Bands: Bands cut from 0.014-inch- thick aluminum sheet, with stamped or embossed legend, and fitted with slots or ears for permanently securing around wire or cable jacket or around groups of conductors.
- H. Plasticized Card-Stock Tags: Vinyl cloth with preprinted and field-printed legends. Orange background, unless otherwise indicated, with evelet for fastener.
- I. Aluminum-Faced, Card-Stock Tags: Weather-resistant, 18-point minimum card stock faced on both sides with embossable aluminum sheet, 0.002 inch thick, laminated with moisture-resistant acrylic adhesive, punched for fasteners, and preprinted with legends to suit each application.
- J. Brass or Aluminum Tags: 2 by 2 by 0.05-inch metal tags with stamped legend, punched for fastener.

#### 2.2 NAMEPLATES AND SIGNS

- A. Safety Signs: Comply with 29 CFR, Chapter XVII, Part 1910.145.
- B. Engraved Plastic Nameplates and Signs: Engraving stock, melamine plastic laminate, minimum 1/16 inch thick for signs up to 20 sq. in. and 1/8 inch thick for larger sizes.
  - 1. Engraved legend with black letters on white face.
  - 2. Punched or drilled for mechanical fasteners.
- C. Baked-Enamel Signs for Interior Use: Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for the application. 1/4-inch grommets in corners for mounting.
- D. Exterior, Metal-Backed, Butyrate Signs: Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch galvanized-steel backing; and with colors, legend, and size required for the application. 1/4-inch grommets in corners for mounting.
- E. Fasteners for Nameplates and Signs: Self-tapping, stainless-steel screws or No. 10/32, stainless-steel machine screws with nuts and flat and lock washers.

## 2.3 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Cable Ties: Fungus-inert, self-extinguishing, one-piece, self-locking, Type 6/6 nylon cable ties.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength: 50 lb minimum.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: According to color-coding.
- B. Paint: Formulated for the type of surface and intended use.
  - 1. Primer for Galvanized Metal: Single-component acrylic vehicle formulated for galvanized surfaces.
  - 2. Primer for Concrete Masonry Units: Heavy-duty-resin block filler.
  - 3. Primer for Concrete: Clear, alkali-resistant, binder-type sealer.
  - 4. Enamel: Silicone-alkyd or alkyd urethane as recommended by primer manufacturer.

#### **PART 3 - EXECUTION**

#### 3.1 **INSTALLATION**

- A. Identification Materials and Devices: Install at locations for most convenient viewing without interference with operation and maintenance of equipment.
- В. Lettering, Colors, and Graphics: Coordinate names, abbreviations, colors, and other designations with corresponding designations in the Contract Documents or with those required by codes and standards. Use consistent designations throughout Project.
- C. Sequence of Work: If identification is applied to surfaces that require finish, install identification after completing finish work.
- D. Self-Adhesive Identification Products: Clean surfaces before applying.
- E. Circuits with More Than 600 V: Identify raceway and cable with "DANGER--HIGH VOLTAGE" in black letters 2 inches high, stenciled with paint at 10-foot intervals over a continuous, painted orange background. Identify the following:
  - Entire floor area directly above conduits running beneath and within 12 inches of a basement or ground floor that is in contact with earth or is framed above unexcavated space.
  - 2. Wall surfaces directly external to conduits concealed within wall.
  - All accessible surfaces of concrete envelope around conduits in vertical shafts, exposed 3. in the building, or concealed above suspended ceilings.
  - 4. Entire surface of exposed conduits.
- Install painted identification according to manufacturer's written instructions and as follows: F.
  - Clean surfaces of dust, loose material, and oily films before painting. 1.
  - 2. Prime surfaces using type of primer specified for surface.
  - Apply one intermediate and one finish coat of enamel. 3.
- Color Banding Raceways and Exposed Cables: Band exposed and accessible raceways of the G. systems listed below:
  - 1. Bands: Pretensioned, wraparound plastic sleeves; colored adhesive tape; or a combination of both. Make each color band 2 inches wide, completely encircling conduit, and place adjacent bands of two-color markings in contact, side by side.
  - Band Locations: At changes in direction, at penetrations of walls and floors, at 50-foot 2. maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
  - Apply the following colors to the systems listed below: 3.
    - Fire Alarm System: Red.
    - Fire-Suppression Supervisory and Control System: Red and yellow. b.
    - Combined Fire Alarm and Security System: Red and blue. c.
    - Security System: Blue and yellow. d.
    - Mechanical and Electrical Supervisory System: Green and blue. e.
    - Telecommunication System: Green and yellow. f.
- Η. Caution Labels for Indoor Boxes and Enclosures for Power and Lighting: Install pressuresensitive, self-adhesive labels identifying system voltage with black letters on orange background. Install on exterior of door or cover.
- I. Circuit Identification Labels on Boxes: Install labels externally.
  - Exposed Boxes: Pressure-sensitive, self-adhesive plastic label on cover. 1.
  - 2. Concealed Boxes: Plasticized card-stock tags.

06/18/2020 Clark Patterson Lee

- 3. Labeling Legend: Permanent, waterproof listing of panel and circuit number or equivalent.
- J. Paths of Underground Electrical Lines: During trench backfilling, for exterior underground power, control, signal, and communication lines, install continuous underground plastic line marker located directly above line at 6 to 8 inches below finished grade. Where width of multiple lines installed in a common trench or concrete envelope does not exceed 16 inches overall, use a single line marker. Install line marker for underground wiring, both direct-buried cables and cables in raceway.
- K. Secondary Service, Feeder, and Branch-Circuit Conductors: Color-code throughout the secondary electrical system.
  - 1. Color-code 208/120-V system as follows:
    - a. Phase A: Black.
    - b. Phase B: Red.
    - c. Phase C: Blue.
    - d. Neutral: White.
    - e. Ground: Green.
  - 2. Color-code 480/277-V system as follows:
    - a. Phase A: Yellow.
    - b. Phase B: Brown.
    - c. Phase C: Orange.
    - d. Neutral: White with a colored stripe or gray.
    - e. Ground: Green.
  - 3. Factory apply color the entire length of conductors, except the following field-applied, color-coding methods may be used instead of factory-coded wire for sizes larger than No. 10 AWG:
    - a. Colored, pressure-sensitive plastic tape in half-lapped turns for a distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Use 1-inchwide tape in colors specified. Adjust tape bands to avoid obscuring cable identification markings.
- L. Power-Circuit Identification: Metal tags or aluminum, wraparound marker bands for cables, feeders, and power circuits in vaults, pull and junction boxes, manholes, and switchboard rooms.
  - 1. Legend: 1/4-inch- steel letter and number stamping or embossing with legend corresponding to indicated circuit designations.
  - 2. Tag Fasteners: Nylon cable ties.
  - 3. Band Fasteners: Integral ears.
- M. Apply identification to conductors as follows:
  - 1. Conductors to Be Extended in the Future: Indicate source and circuit numbers.
  - 2. Multiple Power or Lighting Circuits in the Same Enclosure: Identify each conductor with source, voltage, circuit number, and phase. Use color-coding to identify circuits' voltage and phase.
  - 3. Multiple Control and Communication Circuits in the Same Enclosure: Identify each conductor by its system and circuit designation. Use a consistent system of tags, color-coding, or cable marking tape.

- N. Apply warning, caution, and instruction signs as follows:
  - 1. Warnings, Cautions, and Instructions: Install to ensure safe operation and maintenance of electrical systems and of items to which they connect. Install engraved plastic-laminated instruction signs with approved legend where instructions are needed for system or equipment operation. Install metal-backed butyrate signs for outdoor items.
  - 2. Emergency Operation: Install engraved laminated signs with white legend on red background with minimum 3/8-inch- high lettering for emergency instructions on power transfer, load shedding, and other emergency operations.
- O. Equipment Identification Labels: Engraved plastic laminate. Install on each unit of equipment, including central or master unit of each system. This includes power, lighting, communication, signal, and alarm systems, unless units are specified with their own self-explanatory identification. Unless otherwise indicated, provide a single line of text with 1/2-inch- high lettering on 1-1/2-inch- high label; where two lines of text are required, use labels 2 inches high. Use white lettering on black field. Apply labels for each unit of the following categories of equipment using mechanical fasteners:
  - 1. Panelboards, electrical cabinets, and enclosures.
  - 2. Access doors and panels for concealed electrical items.
  - 3. Electrical switchgear and switchboards.
  - 4. Electrical substations.
  - 5. Emergency system boxes and enclosures.
  - 6. Motor-control centers.
  - 7. Disconnect switches.
  - 8. Enclosed circuit breakers.
  - 9. Motor starters.
  - 10. Push-button stations.
  - 11. Power transfer equipment.
  - 12. Contactors.
  - 13. Remote-controlled switches.
  - 14. Dimmers.
  - 15. Control devices.
  - 16. Transformers.
  - 17. Inverters.
  - 18. Rectifiers.
  - 19. Frequency converters.
  - 20. Battery racks.
  - 21. Power-generating units.
  - 22. Telephone switching equipment.
  - 23. Clock/program master equipment.
  - 24. Call system master station.
  - 25. TV/audio-monitoring master station.
  - 26. Fire alarm master station or control panel.
  - 27. Security-monitoring master station or control panel.

**END OF SECTION 260553** 

#### SECTION 260923 – LIGHTING CONTROL DEVICES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Time switches.
  - 2. Photoelectric switches.
  - 3. Indoor occupancy sensors.
  - 4. Lighting contactors.
- B. Related Requirements:
  - 1. Section 262726 "Wiring Devices" for wall-box dimmers, manual light switches, and color/finish of devices.

## 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Show installation details for occupancy sensors.
  - 1. Interconnection diagrams showing field-installed wiring.
  - 2. Include diagrams for power, signal, and control wiring.

## 1.4 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For each type of lighting control device to include in emergency, operation, and maintenance manuals.

## PART 2 - PRODUCTS

# 2.1 TIME SWITCHES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Intermatic, Inc.
  - 2. Cooper Industries, Inc.
  - 3. Invensys Controls.
  - 4. Leviton Mfg. Company Inc.
  - 5. NSi Industries LLC; TORK Products.
  - 6. Tyco Electronics; ALR Brand.
- B. Electronic Time Switches: Solid state, programmable, with alphanumeric display; complying with UL 917.
  - 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
  - 2. Contact Configuration: As indicated on drawings.
  - 3. Contact Rating: As indicated on drawings.
  - 4. Programs: As indicated on drawings.
  - 5. Switch to include 2 independent outputs for separate circuit programming.

- 6. Circuitry: Allow connection of a photoelectric relay as substitute for on-off function of a program
- 7. Astronomic Time: All channels.
- 8. Automatic daylight savings time changeover.
- 9. Battery Backup: Not less than seven days reserve, to maintain schedules and time clock.
- 10. Intermatic ET270 Series.

# 2.2 OUTDOOR PHOTOELECTRIC SWITCHES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Cooper Industries, Inc.
  - 2. Intermatic, Inc.
  - 3. NSi Industries LLC; TORK Products.
  - 4. Tyco Electronics; ALR Brand.
  - 5. Paragon.
- B. Description: Solid state, with SPST or DPST dry contacts rated for 1800-VA tungsten or 1000-VA inductive, to operate connected relay, contactor coils, or microprocessor input; complying with UL 773A.
  - 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
  - 2. Light-Level Monitoring Range: 1.5 to 10 fc, with an adjustment for turn-on and turn-off levels within that range, and a directional lens in front of the photocell to prevent fixed light sources from causing turn-off.
  - 3. Time Delay: Fifteen second minimum, to prevent false operation.
  - 4. Surge Protection: Metal-oxide varistor.
  - 5. Mounting: Twist lock complies with NEMA C136.10, with base-and-stem mounting or stem-and-swivel mounting accessories as required to direct sensor to the north sky exposure.

# 2.3 DAYLIGHT-HARVESTING DIMMING CONTROLS

- A. System Description: Sensing daylight and electrical lighting levels, the system adjusts the indoor electrical lighting levels. As daylight increases, the lights are dimmed.
  - 1. Lighting control set point is based on two lighting conditions:
    - a. When no daylight is present (target level).
    - b. When significant daylight is present.
  - 2. System programming is done with two hand-held, remote-control tools.
    - a. Initial setup tool.
    - b. Tool for occupants to adjust the target levels by increasing the set point up to 25 percent, or by minimizing the electric lighting level.
- B. Ceiling-Mounted Dimming Controls: Solid-state, light-level sensor unit, with integrated or separate power pack, to detect changes in indoor lighting levels that are perceived by the eye.
- C. Electrical Components, Devices, and Accessories:

- 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- 2. Sensor Output: 0- to 10-V dc to operate luminaires. Sensor is powered by controller unit.
- 3. Light-Level Sensor Set-Point Adjustment Range: 20 to 100 fc (120 to 1080 lux).
- D. Power Pack: Dry contacts rated for 20-A load at 120- and 277-V ac. Sensor has 24-V dc, 150-mA, Class 2 power source, as defined by NFPA 70.
  - 1. LED status lights to indicate load status.
  - 2. Plenum rated.
- E. Power Pack: Digital controller capable of accepting RJ45 inputs with two outputs rated for 20-A load at 120- and 277-V ac. Sensor has 24-V dc Class 2 power source, as defined by NFPA 70.
  - 1. With integral current monitoring
    - a. Compatible with digital addressable lighting interface.
      - 1) Plenum rated.

## 2.4 INDOOR OCCUPANCY SENSORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Cooper Industries, Inc.
  - 2. Lightolier Controls.
  - 3. Lithonia Lighting; Acuity Lighting Group, Inc.
  - 4. Lutron Electronics Co., Inc.
  - 5. Sensor Switch, Inc.
  - 6. Square D; a brand of Schneider Electric.
  - 7. Watt Stopper.
- B. General Requirements for Sensors: Wall- or ceiling-mounted, solid-state indoor occupancy sensors with a separate power pack.
  - 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
  - 2. Operation: Unless otherwise indicated, turn lights on when coverage area is occupied, and turn them off when unoccupied; with a time delay for turning lights off, adjustable over a minimum range of 1 to 30 minutes.
  - 3. Sensor Output: Contacts rated to operate the connected relay, complying with UL 773A. Sensor is powered from the power pack.
  - 4. Power Pack: Dry contacts rated for 20-A ballast load at 120- and 277-V ac, for 13-A tungsten at 120-V ac, and for 1 hp at 120-V ac. Sensor has 24-V dc, 150-mA, Class 2 power source, as defined by NFPA 70.
  - 5. Mounting:
    - a. Sensor: Suitable for mounting in any position on a standard outlet box.
    - b. Relay: Externally mounted through a 1/2-inch knockout in a standard electrical enclosure.
    - c. Time-Delay and Sensitivity Adjustments: Recessed and concealed behind hinged door.
  - 6. Indicator: Digital display, to show when motion is detected during testing and normal operation of sensor.
  - 7. Bypass Switch: Override the "on" function in case of sensor failure.

- 8. Automatic Light-Level Sensor: Adjustable from 2 to 200 fc; turn lights off when selected lighting level is present.
- 9. Dual Relay Units: Shall have provisions for setting both relays to turn on when occupancy is detected. Units that allow only one relay to default to "on" are not acceptable.
- 10. Occupancy sensor to be compatible with all other lighting controls and light fixtures in room. Contractor and lighting supplier to verify coordination prior to submittal and shall be responsible to replace any devices that do not operate as intended.
- C. Dual-Technology Type: Ceiling mounted; detect occupants in coverage area using PIR and ultrasonic detection methods. The particular technology or combination of technologies that control on-off functions is selectable in the field by operating controls on unit.
  - 1. Sensitivity Adjustment: Separate for each sensing technology.
  - 2. Detector Sensitivity: Detect occurrences of 6-inch- minimum movement of any portion of a human body that presents a target of not less than 36 sq. in., and detect a person of average size and weight moving not less than 12 inches in either a horizontal or a vertical manner at an approximate speed of 12 inches/s.
  - 3. Detection Coverage (Small Room): Detect occupancy anywhere within a circular area of 600 sq. ft. when mounted on a 96-inch- high ceiling.
  - 4. Detection Coverage (Standard Room): Detect occupancy anywhere within a circular area of 1000 sq. ft. when mounted on a 96-inch- high ceiling.
  - 5. Detection Coverage (Large Room): Detect occupancy anywhere within a circular area of 2000 sq. ft. when mounted on a 96-inch- high ceiling.
  - 6. Ceiling sensor to be provided with isolated relay for integration with Building HVAC management system.
- D. Ceiling Mounted Occupancy Sensor with Integral Photocell:
  - 1. Passive Infrared Technology Type.
  - 2. Extended range (20' radius, 360 degrees), 1500 square-foot coverage pattern.
  - 3. Auto control photocell prevents lights from coming on if adequate daylight is available.
  - 4. UL and cUL Listed and labeled.
  - 5. Sensitivity adjustment 20%-100%
  - 6. Line Voltage Input.
  - 7. General Space Sensors Light-Level Monitoring Range: 5 to 200 fc, with an adjustment for turn-on and turn-off levels within that range.
  - 8. Time Delay: Adjustable from 30 seconds to 30 minutes.
  - 9. Set-Point Adjustment: Equip with deadband adjustment of 25, 50, and 75 percent above the "on" set point, or provide with separate adjustable "on" and "off" set points.
  - 10. Walk test indicator light.
  - 11. Color to be white.
  - 12. Manufacturer: Hubbell Building Automation, Inc., Model # PIR10P. .

## 2.5 SWITCHBOX-MOUNTED OCCUPANCY SENSORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Cooper Industries, Inc.
  - 2. Leviton Mfg. Company Inc.
  - 3. Lightolier Controls.
  - 4. Lithonia Lighting; Acuity Lighting Group, Inc.
  - 5. Lutron Electronics Co., Inc.
  - 6. Sensor Switch, Inc.
  - 7. Square D; a brand of Schneider Electric.
  - 8. Watt Stopper.

- B. General Requirements for Sensors: Automatic-wall-switch occupancy sensor, suitable for mounting in a single gang switchbox.
- C. Vacancy Sensor with integral 0-10v manual dimming control.
  - 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
  - 2. Operating Ambient Conditions: Dry interior conditions, 32 to 120 deg F.
  - 3. Switch Rating: Not less than 800-VA fluorescent at 120 V, 1200-VA fluorescent at 277 V, and 800-W incandescent.
  - 4. Standard Range: 180-degree field of view, field adjustable from 180 to 40 degrees; with a minimum coverage area of 900 sq. ft.
  - 5. Sensing Technology: Dual technology (PIR and ultrasonic).
  - 6. Switch Type: Single-relay or dual-relay, as indicated on drawing.
    - a. Dual-Relay Units: Shall have provisions for setting both relays to turn on when occupancy is detected. Units that allow only one relay to default to "on" are not acceptable.
  - 7. Voltage: Match the circuit voltage.
  - 8. Ambient-Light Override: Concealed, field-adjustable, light-level sensor from 10 to 150 fc. The switch prevents the lights from turning on when the light level is higher than the set point of the sensor.
  - 9. Concealed, field-adjustable, "off" time-delay selector at up to 30 minutes.
  - 10. Concealed "off" time-delay selector at 30 seconds, and 5, 10, and 20 minutes.
  - 11. Adaptive Technology: Self-adjusting circuitry detects and memorizes usage patterns of the space and helps eliminate false "off" switching.
  - 12. Color/finish shall match that of other wiring devices in the project.
  - 13. Sensor to be compatible with all other lighting controls and light fixtures in room. Contractor and lighting supplier to verify coordination prior to submittal and shall be responsible to replace any devices that do not operate as intended.

# 2.6 LIGHTING CONTACTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Allen-Bradley/Rockwell Automation.
  - 2. ASCO Power Technologies, LP: a division of Emerson Electric Co.
  - 3. Eaton Corporation.
  - 4. General Electric Company; GE Consumer & Industrial Electrical Distribution; Total Lighting Control.
  - 5. Square D; a brand of Schneider Electric.
- B. Description: Electrically operated and electrically held, combination-type lighting contactors, complying with NEMA ICS 2 and UL 508.
  - 1. Current Rating for Switching: Listing or rating consistent with type of load served, including tungsten filament, inductive, and high-inrush ballast (ballast with 15 percent or less total harmonic distortion of normal load current).
  - 2. Fault Current Withstand Rating: Equal to or exceeding the available fault current at the point of installation.
  - 3. Enclosure: Comply with NEMA 250.
  - 4. Provide with control and pilot devices as indicated on Drawings, matching the NEMA type specified for the enclosure.

# 2.7 DIMMER SWITCH

A. Description: Wall box dimmer switch.

- 1. On/Off switch with slider to raise/lower light level.
- 2. 0-10v dimming control.
- 3. Compatible with associated dimming driver in luminaire.
- 4. Finish of decorator switch to be brown.
- 5. Wall plate to be satin-finished stainless steel.
- 6. Multiple gang face plates to accommodate quantity of switches required.
- 7. Provide additional components (power supply, power booster) if required to wire system.
- 8. Manufacturers:
  - a. Wattstopper, Inc.
  - b. Lutron
  - c. Hunt Dimming

# 2.8 CONDUCTORS AND CABLES

- A. Power Wiring to Supply Side of Remote-Control Power Sources: Not smaller than No. 12 AWG. Comply with requirements in Section 260519 "Low-Voltage Conductors and Cables."
- B. Classes 2 and 3 Control Cable: Multiconductor cable with stranded-copper conductors not smaller than No. 18 AWG. Comply with requirements in Section 260519 "Low-Voltage Conductors and Cables."
- C. Class 1 Control Cable: Multiconductor cable with stranded-copper conductors not smaller than No. 14 AWG. Comply with requirements in Section 260519 "Low-Voltage Conductors and Cables."

## **PART 3 - EXECUTION**

## 3.1 SENSOR INSTALLATION

- A. Coordinate layout and installation of ceiling-mounted devices with other construction that penetrates ceilings or is supported by them, including light fixtures, HVAC equipment, smoke detectors, fire-suppression systems, and partition assemblies.
- B. Install and aim sensors in locations to achieve not less than 90 percent coverage of areas indicated. Do not exceed coverage limits specified in manufacturer's written instructions.

# 3.2 WIRING INSTALLATION

- A. Wiring Method: Comply with Section 260519 "Low-Voltage Conductors and Cables." Minimum conduit size is 1/2 inch.
- B. Wiring within Enclosures: Comply with NECA 1. Separate power-limited and nonpower-limited conductors according to conductor manufacturer's written instructions.
- C. Size conductors according to lighting control device manufacturer's written instructions unless otherwise indicated.
- D. Splices, Taps, and Terminations: Make connections only on numbered terminal strips in junction, pull, and outlet boxes; terminal cabinets; and equipment enclosures.

# 3.3 IDENTIFICATION

A. Identify components and power and control wiring according to Section 260553 "Electrical Identification."

# 3.4 FIELD QUALITY CONTROL

A. Perform the following tests and inspections:

14428.11

- 1. Operational Test: After installing and sensors, and after electrical circuitry has been energized, start units to confirm proper unit operation.
- 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- B. Lighting control devices will be considered defective if they do not pass tests and inspections.

# 3.5 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months from date of Substantial Completion, provide on-site assistance in adjusting sensors to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.
  - 1. For occupancy and motion sensors, verify operation at outer limits of detector range. Set time delay to suit Owner's operations.

## 3.6 DEMONSTRATION

A. Train Owner's maintenance personnel to adjust, operate, and maintain lighting control devices.

END OF SECTION 260923

# SECTION 262416 - PANELBOARDS

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes load centers and panelboards, overcurrent protective devices, and associated auxiliary equipment rated 600 V and less for the following types:
  - 1. Lighting and appliance branch-circuit panelboards.
  - 2. Distribution panelboards.

#### 1.3 **DEFINITIONS**

- A. EMI: Electromagnetic interference.
- B. GFCI: Ground-fault circuit interrupter.
- C. RFI: Radio-frequency interference.
- D. RMS: Root mean square.
- E. SPDT: Single pole, double throw.

## 1.4 SUBMITTALS

- A. Product Data: For each type of panelboard, overcurrent protective device, TVSS device, accessory, and component indicated. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.
- B. Shop Drawings: For each panelboard and related equipment.
  - 1. Dimensioned plans, elevations, sections, and details. Show tabulations of installed devices, equipment features, and ratings. Include the following:
    - a. Enclosure types and details for types other than NEMA 250, Type 1.
    - b. Bus configuration, current, and voltage ratings.
    - c. Short-circuit current rating of panelboards and overcurrent protective devices.
    - d. UL listing for series rating of installed devices.
    - e. Features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
  - 2. Wiring Diagrams: Diagram power, signal, and control wiring and differentiate between manufacturer-installed and field-installed wiring.
- C. Qualification Data: Submit data for testing agencies indicating that they comply with qualifications specified in "Quality Assurance" Article.
- D. Field Test Reports: Submit written test reports and include the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.
- E. Panelboard Schedules: For installation in panelboards. Submit final versions after load balancing.

- Maintenance Data: For panelboards and components to include in maintenance manuals F. specified in Division 1. In addition to requirements specified in Division 1, include the following:
  - Manufacturer's written instructions for testing and adjusting overcurrent protective 1. devices.
  - Time-current curves, including selectable ranges for each type of overcurrent protective 2.

#### 1.5 **OUALITY ASSURANCE**

- A. Testing Agency Qualifications: Testing agency that is a member company of the InterNational Electrical Testing Association and that is acceptable to authorities having jurisdiction.
  - Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association or National Institute for Certification in Engineering Technologies to supervise on-site testing specified in Part 3.
- В. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NEMA PB 1.
- D. Comply with NFPA 70.

#### 1.6 COORDINATION

A. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, and encumbrances to workspace clearance requirements.

#### 1.7 **EXTRA MATERIALS**

Keys: Six spares of each type of panelboard cabinet lock. A.

## PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Panelboards, Overcurrent Protective Devices, Controllers, Contactors, and Accessories (Panelboard dimensions on associated electrical drawings are based on Square D equipment. Electrical contractor is responsible for layout of equipment if equivalent manufacturer is accepted):
    - Square D Co. a.
    - Eaton Corp.; Cutler-Hammer Products. b.

#### 2.2 FABRICATION AND FEATURES

- A. Enclosures: Flush- and surface-mounted cabinets. NEMA PB 1, Type 1, to meet environmental conditions at installed location.
  - 1. Outdoor Locations: NEMA 250, Type 3R.
  - 2. Kitchen Areas: NEMA 250, Type 4X, stainless steel.
  - 3. Other Wet or Damp Indoor Locations: NEMA 250, Type 4.
  - Hazardous Areas Indicated on Drawings: NEMA 250, Type 7C. 4.

06/18/2020 Clark Patterson Lee

- Hinged Front Cover: Entire front trim hinged to box and with standard door within hinged trim В. cover.
- C. Finish: Manufacturer's standard enamel finish over corrosion-resistant treatment or primer coat.
- With transparent protective cover, mounted inside metal frame, inside D. Directory Card: panelboard door.
- E. Bus: Hard-drawn copper, 98 percent conductivity.
- F. Main and Neutral Lugs: Compression type suitable for use with conductor material.
- G. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment ground conductors; bonded to box.
- Η. Future Devices: Mounting brackets, bus connections, and necessary appurtenances required for future installation of devices.
- Feed-through Lugs: Compression type suitable for use with conductor material. Locate at I. opposite end of bus from incoming lugs or main device.

#### PANELBOARD SHORT-CIRCUIT RATING 2.3

Fully rated to interrupt symmetrical short-circuit current available at terminals. Series rated A. panelboards will not be accepted.

#### 2.4 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Branch Overcurrent Protective Devices: Plug-in circuit breakers, replaceable without disturbing adjacent units.
- B. Doors: Front mounted with concealed hinges; secured with flush latch with tumbler lock; keyed alike; directory frame
- C. Fronts: piano hinged cold-rolled sheet steel with gray lacquer finish, furnished with concealed latch for securing hinged front to box. Furnish piano hinged front on all panelboards, All flush finished areas shall be provided with the piano hinge attached to the back box with flush flathead screws. Include door.

#### 2.5 DISTRIBUTION PANELBOARDS

- A. Doors: Front mounted, except omit in fused-switch panelboards; secured with vault-type latch with tumbler lock; keyed alike.
- В. Main Overcurrent Protective Devices: Circuit breaker.
- C. Branch overcurrent protective devices shall be one of the following:
- For Circuit-Breaker Frame Sizes 125 A and Smaller: Plug-in circuit breakers. D.
- E. For Circuit-Breaker Frame Sizes Larger Than 125 A: Bolt-on circuit breakers; plug-in circuit breakers where individual positive-locking device requires mechanical release for removal.

#### OVERCURRENT PROTECTIVE DEVICES 2.6

- A. Molded-Case Circuit Breaker: NEMA AB 1, with interrupting capacity to meet available fault currents.
  - 1. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads, and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.

06/18/2020 Clark Patterson Lee

- 2. GFCI Circuit Breakers: Single- and two-pole configurations with 5-mA trip sensitivity.
- B. Molded-Case Circuit-Breaker Features and Accessories. Standard frame sizes, trip ratings, and number of poles.
  - 1. Lugs: Compression style, suitable for number, size, trip ratings, and material of conductors.
  - 2. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HACR for heating, air-conditioning, and refrigerating equipment.
  - 3. Shunt Trip: 120-V trip coil energized from separate circuit, set to trip at 75 percent of rated voltage.
  - 4. Undervoltage Trip: Set to operate at 35 to 75 percent of rated voltage with field-adjustable 0.1- to 0.6-second time delay.

## 2.7 ACCESSORY COMPONENTS AND FEATURES

- A. Accessory Set: Tools and miscellaneous items required for overcurrent protective device test, inspection, maintenance, and operation.
- B. Portable Test Set: To test functions of solid-state trip devices without removal from panelboard.

# PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Install panelboards and accessories according to NEMA PB 1.1.
- B. Mounting: Plumb and rigid without distortion of box. Mount recessed panelboards with fronts uniformly flush with wall finish.
- C. Circuit Directory: Create a directory to indicate installed circuit loads after balancing panelboard loads. Obtain approval before installing. Use a computer or typewriter to create directory; handwritten directories are not acceptable.
- D. Install filler plates in unused spaces.
- E. Provision for Future Circuits at Flush Panelboards: Stub four 1-inch empty conduits from panelboard into accessible ceiling space or space designated to be ceiling space in the future. Stub four 1-inch empty conduits into raised floor space or below slab not on grade.
- F. Wiring in Panelboard Gutters: Arrange conductors into groups and bundle and wrap with wire ties after completing load balancing.

# 3.2 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs as specified in Division 26 Section "Electrical Identification."
- B. Panelboard Nameplates: Label each panelboard with engraved metal or laminated-plastic nameplate mounted with corrosion-resistant screws.

# 3.3 CONNECTIONS

A. Install equipment grounding connections for panelboards with ground continuity to main electrical ground bus.

14428.11

B. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

# 3.4 FIELD QUALITY CONTROL

- A. Prepare for acceptance tests as follows:
  - 1. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- B. Testing: After installing panelboards and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
  - 1. Procedures: Perform each visual and mechanical inspection and electrical test indicated in NETA ATS, Section 7.5 for switches and Section 7.6 for molded-case circuit breakers. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- C. Balancing Loads: After Substantial Completion, but not more than 60 days after Final Acceptance, measure load balancing and make circuit changes as follows:
  - 1. Measure as directed during period of normal system loading.
  - 2. Perform load-balancing circuit changes outside normal occupancy/working schedule of the facility and at time directed. Avoid disrupting critical 24-hour services such as fax machines and on-line data-processing, computing, transmitting, and receiving equipment.
  - 3. After circuit changes, recheck loads during normal load period. Record all load readings before and after changes and submit test records.
  - 4. Tolerance: Difference exceeding 20 percent between phase loads, within a panelboard, is not acceptable. Rebalance and recheck as necessary to meet this minimum requirement.

# 3.5 ADJUSTING

A. Set field-adjustable circuit-breaker trip ranges.

# 3.6 CLEANING

A. On completion of installation, inspect interior and exterior of panelboards. Remove paint splatters and other spots. Vacuum dirt and debris; do not use compressed air to assist in cleaning. Repair exposed surfaces to match original finish.

END OF SECTION 262416

## SECTION 262616 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes individually mounted enclosed switches and circuit breakers used for the following:
  - 1. Service disconnecting means.
  - 2. Feeder and branch-circuit protection.
  - 3. Motor and equipment disconnecting means.
- B. Related Sections include the following:
  - 1. Division 26 Section "Wiring Devices" for attachment plugs, receptacles, and toggle switches used for disconnecting means.
  - 2. Division 26 Section "Fuses" for fusible devices.

# 1.3 **DEFINITIONS**

- A. GFCI: Ground-fault circuit interrupter.
- B. RMS: Root mean square.
- C. SPDT: Single pole, double throw.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of switch, circuit breaker, accessory, and component indicated. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.
- B. Shop Drawings: For each switch and circuit breaker.
  - Dimensioned plans, elevations, sections, and details, including required clearances and service space around equipment. Show tabulations of installed devices, equipment features, and ratings. Include the following:
    - a. Enclosure types and details for types other than NEMA 250, Type 1.
    - b. Current and voltage ratings.
    - c. Short-circuit current rating.
    - d. UL listing for series rating of installed devices.
    - e. Features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
  - 2. Wiring Diagrams: Power, signal, and control wiring. Differentiate between manufacturer-installed and field-installed wiring.

- C. Manufacturer Seismic Qualification Certification: Submit certification that enclosed switches and circuit breakers, accessories, and components will withstand seismic forces. Include the following:
  - 1. Basis of Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
    - a. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Qualification Data: Submit data for testing agencies indicating that they comply with qualifications specified in "Quality Assurance" Article.
- E. Field Test Reports: Submit written test reports and include the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.
- F. Manufacturer's field service report.
- G. Maintenance Data: For enclosed switches and circuit breakers and for components to include in maintenance manuals specified in Division 1. In addition to requirements specified in Division 1, include the following:
  - 1. Routine maintenance requirements for components.
  - 2. Manufacturer's written instructions for testing and adjusting switches and circuit
  - 3. Time-current curves, including selectable ranges for each type of circuit breaker.

# 1.5 **QUALITY ASSURANCE**

- A. Testing Agency Qualifications: Testing agency that is a member company of the InterNational Electrical Testing Association and that is acceptable to authorities having jurisdiction.
  - 1. Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association or National Institute for Certification in Engineering Technologies to supervise on-site testing specified in Part 3.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NEMA AB 1 and NEMA KS 1.
- D. Comply with NFPA 70.
- E. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.

## 1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Rate equipment for continuous operation under the following conditions, unless otherwise indicated:
  - 1. Ambient Temperature: Not less than minus 22 deg F and not exceeding 104 deg F.
  - 2. Altitude: Not exceeding 6600 feet.

## 1.7 COORDINATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with other construction, including conduit, piping, equipment, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- B. Verify existing panelboard KAIC ratings for installation of new breakers. New breakers to be added to existing panelboards shall be U.L. listed/labeled for use with the existing panelboards. Interrupting rating of new breakers shall match rating of existing associated panelboard.

## 1.8 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Spares: For the following:
    - a. Control-Power Fuses: 2
    - b. Fuses for Fused Switches: 2
  - 2. Spare Indicating Lights: Six of each type installed.

## **PART 2 - PRODUCTS**

## 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Square D Co.
  - 2. Eaton Corp.; Cutler-Hammer Products.

## 2.2 ENCLOSED SWITCHES

- A. Enclosed, Nonfusible Switch: NEMA KS 1, Type HD, with lockable handle.
- B. Enclosed, Fusible Switch, 800 A and Smaller: NEMA KS 1, Type HD, with clips to accommodate specified fuses, lockable handle with two padlocks, and interlocked with cover in closed position.

## 2.3 ENCLOSURES

- A. NEMA AB 1 and NEMA KS 1 to meet environmental conditions of installed location.
  - 1. Outdoor Locations: NEMA 250, Type 3R.
  - 2. Kitchen Areas: NEMA 250, Type 4X, stainless steel.
  - 3. Other Wet or Damp Indoor Locations: NEMA 250, Type 4.
  - 4. Hazardous Areas Indicated on Drawings: NEMA 250, Type 7C.

# 2.4 FACTORY FINISHES

A. Manufacturer's standard prime-coat finish ready for field painting.

262616 - 4

B. Finish: Manufacturer's standard gray paint applied to factory-assembled and -tested enclosures before shipping.

#### **PART 3 - EXECUTION**

## 3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

A. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.

## 3.3 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs as specified in Division 26 Section "Electrical Identification".
- B. Enclosure Nameplates: Label each enclosure with engraved metal or laminated-plastic nameplate mounted with corrosion-resistant screws.

# 3.4 CONNECTIONS

- A. Install equipment grounding connections for switches and circuit breakers with ground continuity to main electrical ground bus.
- B. Install power wiring. Install wiring between switches and circuit breakers, and control and indication devices.
- C. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

# 3.5 FIELD QUALITY CONTROL

- A. Prepare for acceptance tests as follows:
  - 1. Test insulation resistance for each enclosed switch, circuit breaker, component, and control circuit.
  - 2. Test continuity of each line- and load-side circuit.
- B. Testing: After installing enclosed switches and circuit breakers and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
  - 1. Procedures: Perform each visual and mechanical inspection and electrical test indicated in NETA ATS, Section 7.5 for switches. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- C. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each enclosed switch and circuit breaker. Open or remove doors or panels so connections are accessible to portable scanner.
  - 1. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each unit 11 months after date of Substantial Completion.

- 2. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
- 3. Record of Infrared Scanning: Prepare a certified report that identifies switches and circuit breakers checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken and observations after remedial action.

## 3.6 CLEANING

A. On completion of installation, inspect interior and exterior of enclosures. Remove paint splatters and other spots. Vacuum dirt and debris; do not use compressed air to assist in cleaning. Repair exposed surfaces to match original finish.

END OF SECTION 262616

# SECTION 262726 - WIRING DEVICES

# PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

Drawings and general provisions of the Contract, including General and Supplementary A. Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 **SUMMARY**

#### A. Section Includes:

- 1. Standard-grade receptacles, 125 V, 20 A.
- GFCI receptacles, 125 V, 20 A. 2.
- Twist-locking receptacles. 3.
- Pendant cord-connector devices.
- Cord and plug sets. 5.
- Toggle switches, 120/277 V, 20 A. 6.
- Occupancy sensors. 7.
- Wall-box dimmers. 8.
- 9. Wall plates.
- Floor service fittings. 10.

#### 1.3 **DEFINITIONS**

- A. AFCI: Arc-fault circuit interrupter.
- В. BAS: Building automation system.
- C. EMI: Electromagnetic interference.
- D. GFCI: Ground-fault circuit interrupter.
- E. Pigtail: Short lead used to connect a device to a branch-circuit conductor.
- RFI: Radio-frequency interference. F.
- G. SPD: Surge protective device.

#### 1.4 **ACTION SUBMITTALS**

A. Product Data: For each type of product.

06/18/2020 Clark Patterson Lee

- B. Shop Drawings: List of legends and description of materials and process used for premarking wall plates.
- C. Samples: One for each type of device and wall plate specified, in each color specified.

# 1.5 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

# 1.6 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For wiring devices to include in all manufacturers' packing-label warnings and instruction manuals that include labeling conditions.

# 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Floor Service-Outlet Assemblies: One for every 10, but no fewer than one.

# PART 2 - PRODUCTS

# 2.1 GENERAL WIRING-DEVICE REQUIREMENTS

- A. Wiring Devices, Components, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. Comply with NFPA 70.
- C. RoHS compliant.
- D. Comply with NEMA WD 1.
- E. Devices that are manufactured for use with modular plug-in connectors may be substituted under the following conditions:
  - 1. Connectors shall comply with UL 2459 and shall be made with stranding building wire.
  - 2. Devices shall comply with requirements in this Section.
- F. Devices for Owner-Furnished Equipment:
  - 1. Receptacles: Match plug configurations.
  - 2. Cord and Plug Sets: Match equipment requirements.

## G. Device Color:

- 1. Wiring Devices Connected to Normal Power System: White unless otherwise indicated or required by NFPA 70 or device listing.
- 2. Wiring Devices Connected to Essential Electrical System: Red.
- H. Wall Plate Color: For plastic covers, match device color.
- I. Source Limitations: Obtain each type of wiring device and associated wall plate from single source from single manufacturer.

# 2.2 STANDARD-GRADE RECEPTACLES, 125 V, 20 A

- A. Duplex Receptacles, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Two pole, three wire, and self-grounding.
  - 3. Configuration: NEMA WD 6, Configuration 5-20R.
  - 4. Standards: Comply with UL 498 and FS W-C-596.
- B. Tamper-Resistant Duplex Receptacles, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle.
  - 3. Configuration: NEMA WD 6, Configuration 5-20R.
  - 4. Standards: Comply with UL 498 and FS W-C-596.
  - 5. Marking: Listed and labeled as complying with NFPA 70, "Tamper-Resistant Receptacles" Article.
- C. Weather-Resistant Duplex Receptacle, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- a. Eaton (Arrow Hart).
- b. Hubbell Incorporated; Wiring Device-Kellems.
- c. <u>Leviton Manufacturing Co., Inc.</u>
- d. Pass & Seymour/Legrand (Pass & Seymour).
- 2. Description: Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle. Square face.
- 3. Configuration: NEMA WD 6, Configuration 5-20R.
- 4. Standards: Comply with UL 498.
- 5. Marking: Listed and labeled as complying with NFPA 70, "Receptacles in Damp or Wet Locations" Article.
- D. Tamper- and Weather-Resistant Duplex Receptacles, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. <u>Hubbell Incorporated; Wiring Device-Kellems</u>.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle. Square face.
  - 3. Configuration: NEMA WD 6, Configuration 5-20R.
  - 4. Standards: Comply with UL 498.
  - 5. Marking: Listed and labeled as complying with NFPA 70, "Tamper-Resistant Receptacles" and "Receptacles in Damp or Wet Locations" articles.

# 2.3 GFCI RECEPTACLES, 125 V, 20 A

- A. Duplex GFCI Receptacles, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Integral GFCI with "Test" and "Reset" buttons and LED indicator light. Two pole, three wire, and self-grounding.
  - 3. Configuration: NEMA WD 6, Configuration 5-20R.
  - 4. Type: Feed through.
  - 5. Standards: Comply with UL 498, UL 943 Class A, and FS W-C-596.
- B. Tamper-Resistant Duplex GFCI Receptacles, 125 V, 20 A:

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Hubbell Incorporated; Wiring Device-Kellems.
  - b. Pass & Seymour/Legrand (Pass & Seymour).
- 2. Description: Integral GFCI with "Test" and "Reset" buttons and LED indicator light. Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle.
- 3. Configuration: NEMA WD 6, Configuration 5-20R.
- 4. Type: Feed through.
- 5. Standards: Comply with UL 498, UL 943 Class A, and FS W-C-596.
- 6. Marking: Listed and labeled as complying with NFPA 70, "Tamper-Resistant Receptacles" Article.
- C. Tamper- and Weather-Resistant, GFCI Duplex Receptacles, 125 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Integral GFCI with "Test" and "Reset" buttons and LED indicator light. Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle. Square face.
  - 3. Configuration: NEMA WD 6, Configuration 5-15R.
  - 4. Type: Feed through.
  - 5. Standards: Comply with UL 498 and UL 943 Class A.
  - 6. Marking: Listed and labeled as complying with NFPA 70, "Tamper-Resistant Receptacles" and "Receptacles in Damp or Wet Locations" articles.

# 2.4 TWIST-LOCKING RECEPTACLES

- A. Twist-Lock, Single Receptacles, 120 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).

- 2. Configuration: NEMA WD 6, Configuration L5-20R.
- 3. Standards: Comply with UL 498.
- B. Twist-Lock, Single Receptacles, 250 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Premise Wiring.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Configuration: NEMA WD 6, Configuration L6-20R.
  - 3. Standards: Comply with UL 498.
- C. Twist-Lock, Single Receptacles, 277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Premise Wiring.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Configuration: NEMA WD 6, Configuration L7-20R.
  - 3. Standards: Comply with UL 498.

# 2.5 PENDANT CORD-CONNECTOR DEVICES

- A. Description: Matching, locking-type plug and receptacle body connector, heavy-duty grade.
- B. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Eaton (Arrow Hart).
  - 2. Hubbell Premise Wiring.
  - 3. Leviton Manufacturing Co., Inc.
  - 4. Pass & Seymour/Legrand (Pass & Seymour).
- C. Configuration: NEMA WD 6, Configurations L5-20P and L5-20R.
- D. Body: Nylon, with screw-open, cable-gripping jaws and provision for attaching external cable grip.

14428.11

- E. External Cable Grip: Woven wire-mesh type made of high-strength, galvanized-steel wire strand, matched to cable diameter, and with attachment provision designed for corresponding connector.
- F. Standards: Comply with FS W-C-596.

## 2.6 CORD AND PLUG SETS

- A. Match voltage and current ratings and number of conductors to requirements of equipment being connected.
- B. Cord: Rubber-insulated, stranded-copper conductors, with Type SOW-A jacket; with green-insulated grounding conductor and ampacity of at least 130 percent of the equipment rating.
- C. Plug: Nylon body and integral cable-clamping jaws. Match cord and receptacle type for connection.

# 2.7 TOGGLE SWITCHES, 120/277 V, 20 A

- A. Single-Pole Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Standards: Comply with UL 20 and FS W-S-896.
- B. Two-Pole Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. <u>Hubbell Incorporated; Wiring Device-Kellems</u>.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Comply with UL 20 and FS W-S-896.
- C. Three-Way Switches, 120/277 V, 20 A:

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Eaton (Arrow Hart).
  - b. <u>Hubbell Incorporated; Wiring Device-Kellems</u>.
  - c. Leviton Manufacturing Co., Inc.
  - d. Pass & Seymour/Legrand (Pass & Seymour).
- 2. Comply with UL 20 and FS W-S-896.
- D. Four-Way Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Standards: Comply with UL 20 and FS W-S-896.
- E. Pilot-Light, Single-Pole Switches: 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Illuminated when switch is on.
  - 3. Standards: Comply with UL 20 and FS W-S-896.
- F. Lighted Single-Pole Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Premise Wiring.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Handle illuminated when switch is off.
  - 3. Standards: Comply with NEMA WD 1, UL 20, and FS W-S-896.

- G. Key-Operated, Single-Pole Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Factory-supplied key in lieu of switch handle.
  - 3. Standards: Comply with UL 20 and FS W-S-896.
- H. Single-Pole, Double-Throw, Momentary-Contact, Center-off Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: For use with mechanically held lighting contactors.
  - 3. Standards: Comply with NEMA WD 1, UL 20, and FS W-S-896.
- I. Key-Operated, Single-Pole, Double-Throw, Momentary-Contact, Center-off Switches, 120/277 V, 20 A:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: For use with mechanically held lighting contactors, with factory-supplied key in lieu of switch handle.
  - 3. Standards: Comply with NEMA WD 1, UL 20, and FS W-S-896.

## 2.8 OCCUPANCY SENSORS

A. Wall Switch Sensor Light Switch, Dual Technology:

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. <u>Eaton (Arrow Hart)</u>.
  - b. <u>Hubbell Incorporated; Wiring Device-Kellems</u>.
  - c. Leviton Manufacturing Co., Inc.
  - d. Pass & Seymour/Legrand (Pass & Seymour).
- 2. Description: Switchbox-mounted, combination lighting-control sensor and conventional switch lighting-control unit using dual (ultrasonic and passive infrared) technology.
- 3. Standards: Comply with UL 20.
- 4. Rated 960 W at 120 V ac for tungsten lighting, 10 A at 120 V ac or 10 A at 277 V ac for fluorescent or LED lighting, and 1/4 hp at 120 V ac.
- 5. Adjustable time delay of five minutes.
- 6. Able to be locked to Manual-On mode.
- 7. Automatic Light-Level Sensor: Adjustable from 2 to 200 fc.
- 8. Connections: Provisions for connection to BAS.
- 9. Connections: RJ-45 communications outlet.
- 10. Connections: Integral wireless networking.
- B. Wall Sensor Light Switch, Passive Infrared:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Cooper Industries.
    - b. Hubbell Premise Wiring.
    - c. <u>Leviton Manufacturing Co., Inc.</u>
    - d. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Switchbox-mounted, combination, lighting-control sensor and conventional switch lighting-control unit using passive infrared technology.
  - 3. Standards: Comply with UL 20.
  - 4. Connections: Provisions for connection to BAS.
  - 5. Connections: Hard wired.
  - 6. Connections: Wireless.
  - 7. Rated 960 W at 120 V ac for tungsten lighting, 10 A at 120 V ac or 10 A at 277 V ac for fluorescent or LED lighting, and 1/4 hp at 120 V ac.
  - 8. Integral relay for connection to BAS.
  - 9. Adjustable time delay of five minutes.
  - 10. Able to be locked to Manual-On mode.
  - 11. Automatic Light-Level Sensor: Adjustable from 2 to 200 fc.
- C. Wall Sensor Light Switch, Ultrasonic:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

- Eaton (Arrow Hart).
- Hubbell Incorporated; Wiring Device-Kellems. b.
- Leviton Manufacturing Co., Inc. c.
- Description: Switchbox-mounted, combination, lighting-control sensor and conventional 2. switch lighting-control unit using ultrasonic technology.
- Standards: Comply with UL 20. 3.
- Connections: Provisions for connection to BAS. 4.
- Connections: RJ-45 communications outlet. 5.
- Connections: Integral wireless networking. 6.
- Rated 960 W at 120 V ac for tungsten lighting, 10 A at 120 V ac or 10 A at 277 V ac for 7. fluorescent or LED lighting, and 1/4 hp at 120 V ac.
- 8. Integral relay for connection to BAS.
- 9. Adjustable time delay of five minutes.
- 10. Able to be locked to Manual-On mode.
- Automatic Light-Level Sensor: Adjustable from 2 to 200 fc. 11.

#### 2.9 **DIMMERS**

#### Wall-Box Dimmers: A.

- Manufacturers: Subject to compliance with requirements, available manufacturers 1. offering products that may be incorporated into the Work include, but are not limited to the following:
  - Eaton (Arrow Hart). a.
  - Hubbell Incorporated; Wiring Device-Kellems. b.
  - Leviton Manufacturing Co., Inc. c.
  - Lutron Electronics Co., Inc. d.
  - Pass & Seymour/Legrand (Pass & Seymour). e.
- Description: Modular, full-wave, solid-state dimmer switch with integral, quiet on-off 2. switches, with audible frequency and EMI/RFI suppression filters.
- 3. Control: Continuously adjustable slider; with single-pole or three-way switching.
- Standards: Comply with UL 1472. 4.
- Incandescent Lamp Dimmers: 120 V; control shall follow square-law dimming curve. 5. On-off switch positions shall bypass dimmer module.
  - 600 W; dimmers shall require no derating when ganged with other a. devices. Illuminated when "off."
- 6. Fluorescent Lamp Dimmer Switches: Modular; compatible with dimmer ballasts; trim potentiometer to adjust low-end dimming; dimmer-ballast combination capable of consistent dimming with low end not greater than 20 percent of full brightness.
- 7. LED Lamp Dimmer Switches: Modular; compatible with LED lamps; trim potentiometer to adjust low-end dimming; capable of consistent dimming with low end not greater than 20 percent of full brightness.

06/18/2020 Clark Patterson Lee

# WIRING DEVICES

#### 2.10 WALL PLATES

- Single Source: Obtain wall plates from same manufacturer of wiring devices. A.
- B. Single and combination types shall match corresponding wiring devices.
  - 1. Plate-Securing Screws: Metal with head color to match plate finish.
  - Material for Finished Spaces: 0.035-inch-thick, satin-finished, Type 302 stainless steel. 2.
  - Material for Unfinished Spaces: Galvanized steel. 3.
  - 4. Material for Damp Locations: Thermoplastic with spring-loaded lift cover, and listed and labeled for use in wet and damp locations.
- Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with Type 3R, weather-C. resistant thermoplastic with lockable cover.
- Antimicrobial Cover Plates: D.
  - Contact surfaces treated with a coating that kills 99.9 percent of certain common bacteria 1. within two hours when regularly and properly cleaned.
  - 2. Tarnish resistant.

#### 2.11 FLOOR SERVICE FITTINGS

- A. Flush-Type Floor Service Fittings:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - Eaton (Arrow Hart). a.
    - Hubbell Premise Wiring. b.
    - Thomas & Betts Power Solutions; ABB Group. c.
    - Wiremold / Legrand. d.
  - Description: Type: Modular, flush-type, dual-service units suitable for wiring method 2. used, with cover flush with finished floor.
  - 3. Compartments: Barrier separates power from voice and data communication cabling.
  - Service Plate and Cover: Rectangular, solid brass with satin finish. 4.
  - 5. Power Receptacle: NEMA WD 6 Configuration 5-20R, gray finish, unless otherwise indicated.
  - Data Communication Outlet: Two modular, keyed, color-coded, RJ-45 jacks for twisted 6. pair cable, complying with requirements in Section 271513 "Communications Copper Horizontal Cabling."

#### Flap-Type Service Fittings: В.

Manufacturers: Subject to compliance with requirements, available manufacturers 1. offering products that may be incorporated into the Work include, but are not limited to the following:

06/18/2020 Clark Patterson Lee

262726 - 13

- a. Eaton (Arrow Hart).
- b. Hubbell Premise Wiring.
- c. Thomas & Betts Power Solutions; ABB Group.
- 2. Description: Type: Modular, flap-type, dual-service units suitable for wiring method used, with flaps flush with finished floor.
- 3. Compartments: Barrier separates power from voice and data communication cabling.
- 4. Flaps: Rectangular, solid brass with satin finish.
- 5. Service Plate: Same finish as flaps.
- 6. Power Receptacle: NEMA WD 6 Configuration 5-20R, gray finish, unless otherwise indicated
- 7. Data Communication Outlet: Two modular, keyed, color-coded, RJ-45 jacks for twisted pair cable, complying with requirements in Section 271513 "Communications Copper Horizontal Cabling."

# C. Above-Floor Service Fittings:

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. Eaton (Arrow Hart).
  - b. Hubbell Premise Wiring.
  - c. Thomas & Betts Corporation; A Member of the ABB Group.
  - d. Wiremold / Legrand.
- 2. Description: Type: Modular, above-floor, dual-service units suitable for wiring method used.
- 3. Compartments: Barrier separates power from voice and data communication cabling.
- 4. Service Plate: Rectangular, solid brass with satin finish.
- 5. Power Receptacle: NEMA WD 6 Configuration 5-20R, gray finish, unless otherwise indicated.
- 6. Data Communication Outlet: Two modular, keyed, color-coded, RJ-45 jacks for twisted pair cable, complying with requirements in Section 271513 "Communications Copper Horizontal Cabling."

# **PART 3 - EXECUTION**

# 3.1 INSTALLATION

A. Comply with NECA 1, including mounting heights listed in that standard, unless otherwise indicated.

# B. Coordination with Other Trades:

1. Protect installed devices and their boxes. Do not place wall finish materials over device boxes, and do not cut holes for boxes with routers that are guided by riding against outside of boxes.

- 2. Keep outlet boxes free of plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other material that may contaminate the raceway system, conductors, and cables.
- 3. Install device boxes in brick or block walls so that the cover plate does not cross a joint unless the joint is troweled flush with the face of the wall.
- 4. Install wiring devices after all wall preparation, including painting, is complete.

## C. Conductors:

- 1. Do not strip insulation from conductors until right before they are spliced or terminated on devices.
- 2. Strip insulation evenly around the conductor using tools designed for the purpose. Avoid scoring or nicking of solid wire or cutting strands from stranded wire.
- 3. The length of free conductors at outlets for devices shall comply with NFPA 70, Article 300, without pigtails.
- 4. Existing Conductors:
  - a. Cut back and pigtail, or replace all damaged conductors.
  - b. Straighten conductors that remain and remove corrosion and foreign matter.
  - c. Pigtailing existing conductors is permitted, provided the outlet box is large enough.

#### D. Device Installation:

- 1. Replace devices that have been in temporary use during construction and that were installed before building finishing operations were complete.
- 2. Keep each wiring device in its package or otherwise protected until it is time to connect conductors.
- 3. Do not remove surface protection, such as plastic film and smudge covers, until the last possible moment.
- 4. Connect devices to branch circuits using pigtails that are not less than 6 inches in length.
- 5. When there is a choice, use side wiring with binding-head screw terminals. Wrap solid conductor tightly clockwise, two-thirds to three-fourths of the way around terminal screw.
- 6. Use a torque screwdriver when a torque is recommended or required by manufacturer.
- 7. When conductors larger than No. 12 AWG are installed on 15- or 20-A circuits, splice No. 12 AWG pigtails for device connections.
- 8. Tighten unused terminal screws on the device.
- 9. When mounting into metal boxes, remove the fiber or plastic washers used to hold device-mounting screws in yokes, allowing metal-to-metal contact.

# E. Receptacle Orientation:

- 1. Install ground pin of vertically mounted receptacles up, and on horizontally mounted receptacles to the right.
- 2. Install hospital-grade receptacles in patient-care areas with the ground pin or neutral blade at the top.
- F. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.

# WIRING DEVICES

## G. Dimmers:

- 1. Install dimmers within terms of their listing.
- 2. Verify that dimmers used for fan-speed control are listed for that application.
- 3. Install unshared neutral conductors on line and load side of dimmers according to manufacturers' device, listing conditions in the written instructions.
- H. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.
- I. Adjust locations of floor service outlets and service poles to suit arrangement of partitions and furnishings.

# 3.2 GFCI RECEPTACLES

A. Install non-feed-through GFCI receptacles where protection of downstream receptacles is not required.

# 3.3 IDENTIFICATION

- A. Comply with Section 260553 "Identification for Electrical Systems."
- B. Identify each receptacle with panelboard identification and circuit number. Use hot, stamped, or engraved machine printing with white-filled lettering on face of plate, and durable wire markers or tags inside outlet boxes.
- C. Essential Electrical System: Mark receptacles supplied from the essential electrical system to allow easy identification using a self-adhesive label.

# 3.4 FIELD QUALITY CONTROL

- A. Test Instruments: Use instruments that comply with UL 1436.
- B. Test Instrument for Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.
- C. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
  - 1. In healthcare facilities, prepare reports that comply with NFPA 99.
  - 2. Test Instruments: Use instruments that comply with UL 1436.
  - 3. Test Instrument for Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.

# D. Tests for Receptacles:

1. Line Voltage: Acceptable range is 105 to 132 V.

14428.11

- 2. Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is unacceptable.
- 3. Ground Impedance: Values of up to 2 ohms are acceptable.
- 4. GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.
- 5. Using the test plug, verify that the device and its outlet box are securely mounted.
- 6. Tests shall be diagnostic, indicating damaged conductors, high resistance at the circuit breaker, poor connections, inadequate fault-current path, defective devices, or similar problems. Correct circuit conditions, remove malfunctioning units and replace with new ones, and retest as specified above.
- E. Wiring device will be considered defective if it does not pass tests and inspections.
- F. Prepare test and inspection reports.

END OF SECTION 262726

## SECTION 262813 - FUSES

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

## 1.2 **SUMMARY**

A. This Section includes cartridge fuses, rated 600 V and less, for use in switches.

## 1.3 SUBMITTALS

- A. Product Data: Include the following for each fuse type indicated:
  - 1. Dimensions and manufacturer's technical data on features, performance, electrical characteristics, and ratings.
  - 2. Let-through current curves for fuses with current-limiting characteristics.
  - 3. Time-current curves, coordination charts and tables, and related data.
  - 4. Fuse size for elevator feeders and elevator disconnect switches.
- B. Ambient Temperature Adjustment Information. If ratings of fuses have been adjusted to accommodate ambient temperatures, provide list of fuses adjusted.
  - 1. For each adjusted fuse, include location of fuse, original fuse rating, local ambient temperature, and adjusted fuse rating.
  - 2. Provide manufacturer's technical data on which ambient temperature adjustment calculations are based.
- C. Maintenance Data: For tripping devices to include in maintenance manuals specified in Division 1.

## 1.4 **QUALITY ASSURANCE**

- A. Source Limitations: Provide fuses from a single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NEMA FU 1.
- D. Comply with NFPA 70.

## 1.5 PROJECT CONDITIONS

A. Where ambient temperature to which fuses are directly exposed is less than 40 deg F or more than 100 deg F, apply manufacturer's ambient temperature adjustment factors to fuse ratings.

## 1.6 COORDINATION

A. Coordinate fuse ratings with HVAC and refrigeration equipment nameplate limitations of maximum fuse size.

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# 1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged in original cartons or containers and identified with labels describing contents.
  - 1. Fuses: Quantity equal to 10 percent of each fuse type and size, but not fewer than 1 of each type and size.

## **PART 2 - PRODUCTS**

# 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Cooper Industries, Inc.; Bussmann Div.
  - 2. General Electric Co.; Wiring Devices Div.
  - 3. Gould Shawmut.

# 2.2 CARTRIDGE FUSES

A. Characteristics: NEMA FU 1, nonrenewable cartridge fuse; class and current rating indicated; voltage rating consistent with circuit voltage.

## **PART 3 - EXECUTION**

#### 3.1 EXAMINATION

- A. Examine utilization equipment nameplates and installation instructions. Install fuses of sizes and with characteristics appropriate for each piece of equipment.
- B. Evaluate ambient temperatures to determine if fuse rating adjustment factors must be applied to fuse ratings.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 FUSE APPLICATIONS

- A. Motor Branch Circuits: Class RK1, time delay.
- B. Other Branch Circuits: Class RK1, time delay.
- C. Plug –in Bus Disconnects: Class J fuses.

# 3.3 INSTALLATION

A. Install fuses in fusible devices. Arrange fuses so rating information is readable without removing fuse.

# 3.4 IDENTIFICATION

A. Install labels indicating fuse replacement information on inside door of each fused switch.

# END OF SECTION 262813

# SECTION 265100 - INTERIOR LIGHTING

## PART 1 - GENERAL

# 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Interior lighting fixtures with LED lamps.
  - 2. Lighting fixtures mounted on exterior building surfaces.
  - 3. Exit signs.
  - 4. Accessories.
- B. Related Sections include the following:
  - 1. Division 26 Section "Lighting Control Devices" for automatic control of lighting, including time switches, photoelectric relays, occupancy sensors and manual wall-box dimmers for LED fixtures.

## 1.3 **DEFINITIONS**

- A. CRI: Color rendering index.
- B. CU: Coefficient of utilization.
- C. Luminaire: Complete lighting fixture, including ballast housing if provided.
- D. LER: Luminaire efficiency rating, which is calculated according to NEMA LE 5. This value can be estimated from photometric data using the following formula:
  - 1. LER is equal to the product of total rated lamp lumens times BF times luminaire efficiency, divided by input watts.
- E. RCR: Room cavity ratio.

## 1.4 SUBMITTALS

- A. Product Data: For each type of lighting fixture indicated, arranged in order of fixture designation. All lighting fixture types shall be submitted in a single complete brochure, at the same time, which shall be in the form of a soft cover binder with each fixture separated by an identified index tab. Information on each fixture shall include data on features, accessories, and the following:
  - 1. Physical description of fixture, including dimensions and verification of indicated parameters.
  - 2. Fluorescent and high-intensity-discharge ballasts.
  - 3. Lamps.
- B. Shop Drawings: Show details of nonstandard or custom fixtures. Indicate dimensions, weights, methods of field assembly, components, features, and accessories.
- C. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, based on input from installers of the items involved:

- 1. Lighting fixtures.
- 2. Suspended ceiling components.
- 3. Structural members to which suspension systems for lighting fixtures will be attached.
- 4. Other items in finished ceiling including the following:
  - a. Air outlets and inlets.
  - b. Speakers.
  - c. Sprinklers.
  - d. Smoke and fire detectors.
  - e. Occupancy sensors.
  - f. Access panels.
- 5. Perimeter moldings.
- B. All submittals of NON SPECIFIED fixtures must include documentation or they will be automatically rejected.
- C. Wiring Diagrams: Power, signal, and control wiring.
- D. Samples for Verification:
  - a. For interior lighting fixtures designated for sample submission in the Interior Lighting Fixture Schedule.
    - 1) Lamps: Specified units installed.
    - 2) Ballast: 120-V models of specified ballast types.
    - 3) Accessories: Cords and plugs.
  - b. Substitution fixtures as requested by the engineer at time of submittal.
    - 1) Lamps: Specified units installed.
    - 2) Ballast: 120-V models of specified ballast types.
    - 3) Accessories: Cords and plugs.
  - c. Paint sample for light poles and associated luminaires.
- E. Product Certificates: For each type of ballast for dimmer-controlled fixtures, signed by product manufacturer.
- F. Source quality-control test reports.
- G. Field quality-control test reports.
- H. Operation and Maintenance Data: For lighting equipment and fixtures to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 1 Section Closeout Procedures include the following:
  - 1. Catalog data for each fixture. Include the diffuser, ballast, and lamps installed in that fixture.
- I. Warranties: Special warranties specified in this Section.
- J. Submittals that fail to comply with the above requirements will automatically be rejected.
- K. It is the Contractor's responsibility to provide submittals in an organized and timely manner in order so as not to delay the project schedule and hamper the work of other trades.
- L. All submittals of NON SPECIFIED equipment and components will be reviewed. It is the submitting Contractor's responsibility to prove compliance and not the Architect/Engineer to prove non-compliance. The submitting Contractor will be charged the prevailing wage of the reviewing Engineer for all submittals requiring over one (1) hour to review that were not originally specified.

# 1.5 **QUALITY ASSURANCE**

- A. Testing Agency Qualifications: An independent agency, with the experience and capability to conduct the testing indicated, that is a member company of the InterNational Electrical Testing Association or is a nationally recognized testing laboratory (NRTL) as defined by OSHA in 29 CFR 1910.7, and that is acceptable to authorities having jurisdiction.
  - 1. Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association or the National Institute for Certification in Engineering Technologies to supervise on-site testing specified in Part 3.
- N. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- O. Luminaire Photometric Data Testing Laboratory Qualifications: Provided by manufacturers' laboratories that are accredited under the National Volunteer Laboratory Accreditation Program for Energy Efficient Lighting Products.
- P. Comply with NFPA 70.
- Q. NFPA 101 Compliance: Comply with visibility and luminance requirements for exit signs.

# 1.6 COORDINATION

A. Coordinate layout and installation of lighting fixtures and suspension system with other construction that penetrates ceilings or is supported by them, including HVAC equipment, fire-suppression system, and partition assemblies.

# 1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Plastic Diffusers and Lenses: 1 for every 100 of each type and rating installed. Furnish at least one of each type.

# PART 2 - PRODUCTS

## 2.1 MANUFACTURERS

- A. Refer to Luminaire Schedule for specified products and manufacturers.
  - 1. Basis-of-Design Product: The design for each lighting fixture is based on the product named. Subject to compliance with requirements, provide either the named product or a product of equal performance and construction.
  - 2. Non specified products will be subject to possible request of point by point calculations and samples for comparison.

# 2.2 FIXTURES AND COMPONENTS, GENERAL

- A. Recessed Fixtures: Comply with NEMA LE 4 for ceiling compatibility for recessed fixtures.
- B. Metal Parts: Free of burrs and sharp corners and edges.
- C. Sheet Metal Components: Steel, unless otherwise indicated. Form and support to prevent warping and sagging.

- D. Doors, Frames, and Other Internal Access: Smooth operating, free of light leakage under operating conditions, and designed to permit relamping without use of tools. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position.
- E. Reflecting surfaces shall have minimum reflectance as follows, unless otherwise indicated:
  - 1. White Surfaces: 85 percent.
  - 2. Specular Surfaces: 83 percent.
  - 3. Diffusing Specular Surfaces: 75 percent.
  - 4. Laminated Silver Metallized Film: 90 percent.
- F. Plastic Diffusers, Covers, and Globes:
  - 1. Acrylic Lighting Diffusers: 100 percent virgin acrylic plastic. High resistance to yellowing and other changes due to aging, exposure to heat, and UV radiation.
    - a. Lens Thickness: At least 0.125 inch minimum unless different thickness is scheduled.
    - b. UV stabilized.
  - 2. Glass: Annealed crystal glass, unless otherwise indicated.
  - 3. All fixture lenses shall meet ASTM E-84, maximum smoke developed of 450 and ASTM E-635, maximum burn rate of 2.5 inches per minute.
- G. Electromagnetic-Interference Filters: A component of fixture assembly. Suppress conducted electromagnetic-interference as required by MIL-STD-461D. Fabricate lighting fixtures with one filter on each ballast indicated to require a filter.

# 2.3 EXIT SIGNS

- A. General: Comply with UL 924; for sign colors and lettering size, comply with authorities having jurisdiction.
- B. Die-cast aluminum housing and canopy.
- C. Universal snap-out directional arrows as required.
- D. Single and double face housing as required.
- E. Universal mounting with canopy.
- F. Internally Lighted Signs:
  - 1. Lamps for AC Operation: White, light-emitting diodes, 70,000 hours minimum of rated lamp life.
- G. Self-Powered Exit Signs (Battery Type): Integral automatic charger in a self-contained power pack.
  - 1. Battery: Sealed, maintenance-free, nickel-cadmium type with special warranty. Battery to deliver 90 minute minimum capacity to fixture.
  - 2. Charger: Fully automatic, solid-state type with sealed transfer relay.
  - 3. Operation: Relay automatically energizes lamp from battery when circuit voltage drops to 80 percent of nominal voltage or below. When normal voltage is restored, relay disconnects lamps from battery, and battery is automatically recharged and floated on charger.

## 2.4 LED SOURCES

A. LEDs to meet LM-80 performance for 50,000 hours

- B. High efficiency driver
- C. Standard full range dimming on troffers.
- D. 5-year warranty of entire fixture including fixture construction and LED light engine driver.
- E. LED lamp minimum CRI of 82
- F. Fixture tested in accordance with IESNA LM-79.

## 2.8 FIXTURE SUPPORT COMPONENTS

- G. Comply with Division 26 Section "Hangers and Supports for Electrical Systems" for channel- and angle-iron supports and nonmetallic channel and angle supports.
- H. Single-Stem Hangers: 1/2-inch steel tubing with swivel ball fittings and ceiling canopy. Finish same as fixture.
- I. Twin-Stem Hangers: Two, 1/2-inch steel tubes with single canopy designed to mount a single fixture. Finish same as fixture.
- J. Wires: ASTM A 641/A 641M, Class 3, soft temper, zinc-coated, [12 gage].
- K. Wires For Humid Spaces: ASTM A 580/A 580M, Composition 302 or 304, annealed stainless steel, 12 gage.
- L. Rod Hangers: 3/16-inch- minimum diameter, cadmium-plated, threaded steel rod.
- M. Hook Hangers: Integrated assembly matched to fixture and line voltage and equipped with threaded attachment, cord, and locking-type plug.
- N. Aircraft Cable Support: Use cable, anchorages, and intermediate supports recommended by fixture manufacturer.

#### 2.9 FINISHES

- O. Fixtures: Manufacturers' standard, unless otherwise indicated.
  - 1. Paint Finish: Applied over corrosion-resistant treatment or primer, free of defects.
  - 2. Metallic Finish: Corrosion resistant.

# 2.10 SOURCE QUALITY CONTROL

- P. Provide services of a qualified, independent testing and inspecting agency to factory test fixtures with ballasts and lamps; certify results for electrical ratings and photometric data.
- Q. Factory test fixtures with ballasts and lamps; certify results for electrical ratings and photometric data.

## **PART 3 - EXECUTION**

## 3.1 INSTALLATION

- A. Fixtures: Set level, plumb, and square with ceilings and walls. Install lamps in each fixture.
- B. Support for Fixtures in or on Grid-Type Suspended Ceilings: Complete all of the following:
  - Install a minimum of two ceiling support system rods or wires for each fixture. Locate not more than 6 inches from fixture corners. Wire or rod shall have breaking strength of the weight of fixture at a safety factor of 3 and be supported by building steel (not ceiling system grid supports).

- 2. Support Clips: Fasten to fixtures and to ceiling grid members at or near each fixture corner with clips that are UL listed for the application.
- 3. Fixtures of Sizes Less Than Ceiling Grid: Install as indicated on reflected ceiling plans or center in acoustical panel, and support fixtures independently with at least two 3/4-inch metal channels spanning and secured to ceiling tees.
- C. Suspended Fixture Support: As follows:
  - 1. Pendants and Rods: Where longer than 48 inches, brace to limit swinging.
  - 2. Stem-Mounted, Single-Unit Fixtures: Suspend with twin-stem hangers.
  - 3. Continuous Rows: Use tubing or stem for wiring at one point and tubing or rod for suspension for each unit length of fixture chassis, including one at each end.
  - 4. Continuous Rows: Suspend from cable.
- D. Adjust aimable fixtures to provide required light intensities.

## 3.2 CONNECTIONS

A. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

# 3.3 FIELD QUALITY CONTROL

- A. Inspect each installed fixture for damage. Replace damaged fixtures and components.
- B. Verify normal operation of each fixture after installation.
- C. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify normal transfer to battery power source and retransfer to normal.
- D. Prepare a written report of tests, inspections, observations, and verifications indicating and interpreting results. If adjustments are made to lighting system, retest to demonstrate compliance with standards.
- E. Corroded Fixtures: During warranty period, replace fixtures that show any signs of corrosion.

END OF SECTION 265100

# SECTION 270000 - COMMUNICATIONS INSTALLATION OVERVIEW

## PART 1 - INSTALLATION OVERVIEW

# 1.1 SUMMARY

A. This project encompasses the installation of high capacity cabling backbone and associated hardware to support high-bandwidth communications.

## 1.2 THE COMPONENTS ASSOCIATED WITH THIS PROJECT ARE:

- A. Conduit and Wiremold will be used to provide a protected pathway for all cables routed or installed in an exposed environment. The pathways for this project are included in the Division 26000 series of specifications.
- B. CAT6, twisted pair cabling will be home run between each telephone and data drop location to the nearest associated telecom closet.

# 1.3 RELATED SECTIONS

A. Drawings and general provisions of contract, including General and Supplementary conditions and Division 1 Specifications sections, apply to work in this section.

#### PART 2 - INSTALLATION PROCESS

# 2.1 INSTALLATION OF CONDUIT AND WIREMOLD

- A. Unless otherwise stated on drawings, Electrical Contractor under Division 26 of this specification is to provide and install conduit and/or Wiremold in all situations where cabling exits ceiling cavities. All proposed cable routes and drop locations are approximate and should be verified by the contractor. Cable lengths indicated are approximate. It is the contractor responsibility to verify cable distances prior to cutting and routing of cables. It is the contractor responsibility to verify locations and quantities of drops.
- B. All vertical cable runs between floors will be routed in conduit unless installed in a designated wiring closet, existing ceiling cavity, or specified differently. Vertical conduit runs shall be floor to ceiling or terminate in drop ceiling cavities. In all locations, penetration into the corridor ceiling cavities will be continuous and require the replacement of fire stop materials.
- C. All core drills that are required shall be provided by the electrical contractor, unless otherwise noted. It is the responsibility of the contractor to verify locations with school officials prior to drilling and to fire stop in accordance with local and state codes.

## PART 3 - EXECUTION

# 3.1 CABLING

- A. All cables shall be routed in accordance with state and local codes and regulations. All cables installed and terminated shall follow the guidelines set forth by the manufacturer.
  - 1. When routing cables through ceiling cavities all cables shall be supported by bridal rings in a bundled manor and shall not be supported or rest on drop ceiling components. Cables shall be

neatly swept and bundled. The maximum allowable cable sag between supports will be 6 inches as measured vertically. All cable will be run to deck height while in ceiling cavities and fastened to roof supports or the bottom of the deck.

# B. Drop locations

1. Drop locations and types are as specified on the associated drawings. All locations are approximate and should be verified with district personnel prior to implementation.

# 3.2 LABELING

- A. All cables are to be labeled at both the origination and termination locations using as specified a permanent alpha numeric cabling system. Cables shall be labeled at all junction points where a single continuous cable is not used, such as in a splice panel or Demarc.
- B. Each faceplate shall have identification, which includes the cable number, and drop number if more than one of the same type of drop is installed in the room.
- C. Testing
  - 1. CAT6 cables will be tested as per manufacturers' criteria, EIA/TIA and test specifications identified in this design.

# PART 4 - COMPLETION

## 4.1 PROJECT COMPLETION

- A. All documentation will be completed as specified. All cabling will be neat and secure.
- B. Passing of data from each drop location will be done as specified, in conjunction with Owner. Refer to testing in the general specification section.
- C. All facilities such as walls, ceilings etc., shall be restored to as found or better condition. All fire barriers breached shall be restored / sealed as to local, state and federal codes.
- D. The removal of any construction or installation debris as a result of this project.
- E. The Owner is to be consulted on any alterations of wiring closets, riser locations, and drop locations as required. Should conflicts between this design and the actual install or should any unforeseen circumstance occur during installation the contractor shall consult immediately with an authorized agent of the Owner.

**END OF SECTION 270000** 

# SECTION 271116 - COMMUNICATIONS RACKS, FRAMES, AND ENCLOSURES

# PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

## A. Section Includes:

- 1. 19-inch equipment racks.
- 2. Power strips.
- 3. Grounding.
- 4. Labeling.

# B. Related Requirements:

- 1. Section 271323 "Communications Optical Fiber Backbone Cabling" for optical-fiber data
- 2. Section 271533 "Communications Coaxial Horizontal Cabling" for coaxial data cabling associated with system panels and devices.

# 1.3 DEFINITIONS

- A. Access Provider: An operator that provides a circuit path or facility between the service provider and user. An access provider can also be a service provider.
- B. BICSI: Building Industry Consulting Service International.
- C. LAN: Local area network.
- D. RCDD: Registered communications distribution designer.
- E. Service Provider: The operator of a telecommunications transmission service delivered through access provider facilities.
- F. TGB: Telecommunications grounding bus bar.
- G. TMGB: Telecommunications main grounding bus bar.

# 1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

- 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for equipment racks and cabinets.
- 2. Include rated capacities, operating characteristics, electrical characteristics, certifications, standards compliance, and furnished specialties and accessories.
- B. Shop Drawings: For communications racks, frames, and enclosures. Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection
  - 2. Equipment Racks and Cabinets: Include workspace requirements and access for cable connections.
  - 3. Grounding: Indicate location of TGB and its mounting detail showing standoff insulators and wall-mounting brackets.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer, qualified layout technician, installation supervisor, and field inspector.
- B. Seismic Qualification Data: Certificates, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions. Base certification on the maximum number of components capable of being mounted in each rack type. Identify components on which certification is based.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

# 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: Cabling installer must have personnel certified by BICSI on staff.
  - Layout Responsibility: Preparation of Shop Drawings shall be under direct supervision of RCDD
  - 2. Installation Supervision: Installation shall be under direct supervision of Installer 2, Copper or Fiber, who shall be present at all times when Work of this Section is performed at Project site.
  - 3. Field Inspector: Currently registered by BICSI as RCDD to perform on-site inspection.

## PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

A. UL listed.

- B. RoHS compliant.
- C. Compliant with requirements of the Payment Card Industry Data Security Standard.

# 2.2 BACKBOARDS

A. Backboards: Plywood, fire-retardant treated, 3/4 by 48 by 96 inches. Comply with requirements for plywood backing panels specified in Section 061000 "Rough Carpentry."

# 2.3 19-INCH EQUIPMENT RACKS

- A. Description: Two- and four- post racks with threaded rails designed for mounting telecommunications equipment. Width is compatible with EIA/ECIA 310-E, 19-inch equipment mounting with an opening of 17.72-inches between rails.
- B. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Black Box Corporation.
  - 2. B-line, an Eaton business.
  - 3. Hammond Mfg. Co. Inc.
  - 4. Hubbell Premise Wiring.
  - 5. Leviton Manufacturing Co., Inc.
  - 6. Middle Atlantic Products, Inc.
  - 7. <u>Panduit Corp.</u>

# C. General Requirements:

- 1. Frames: Modular units designed for telecommunications terminal support and coordinated with dimensions of units to be supported.
- 2. Material: Extruded steel.
- 3. Finish: Manufacturer's standard, baked-polyester powder coat.
- 4. Color: Black.

## D. Floor-Mounted Racks:

- 1. Overall Height: 84 inches.
- 2. Overall Depth: 29 inches.
- 3. Upright Depth: 3 inches.
- 4. Two-Post Load Rating: 400 lb.
- 5. Four-Post Load Rating: 2000 lb.
- 6. Number of Rack Units per Rack: 42.
  - a. Numbering: Every rack units, on interior of rack.
- 7. Threads: Universal square.
- 8. Vertical and horizontal cable management channels, top and bottom cable troughs, grounding lug, and a power strip.
- 9. Base shall have a minimum of four mounting holes for permanent attachment to floor.

- 10. Top shall have provisions for attaching to cable tray or ceiling.
- 11. Self-leveling.

# E. Cable Management:

- 1. Metal, with integral wire retaining fingers.
- 2. Baked-polyester powder coat finish.
- 3. Vertical cable management panels shall have front and rear channels, with covers.
- 4. Provide horizontal crossover cable manager at the top of each relay rack, with a minimum height of two rack units each.

#### 2.4 GROUNDING

- A. Comply with requirements in Section 270526 "Grounding and Bonding for Communications Systems" for grounding conductors and connectors.
- B. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Chatsworth Products, Inc.
  - 2. Harger Lightning & Grounding.
  - 3. Panduit Corp.
- C. Rack and Cabinet TGBs: Rectangular bars of hard-drawn solid copper, accepting conductors ranging from No. 14 to No. 2/0 AWG, NRTL listed as complying with UL 467, and complying with TIA-606-B. Predrilling shall be with holes for use with lugs specified in this Section.
  - 1. Cabinet-Mounted TGB: Terminal block, with stainless-steel or copper-plated hardware for attachment to cabinet.
  - 2. Rack-Mounted Horizontal TGB: Designed for mounting in 19- or 23-inch equipment racks. Include a copper splice bar for transitioning to an adjoining rack, and stainless-steel or copper-plated hardware for attachment to the rack.
  - 3. Rack-Mounted Vertical TGB: 72 or 36 inches long, with stainless-steel or copper-plated hardware for attachment to rack.

## 2.5 LABELING

A. Comply with TIA-606-B and UL 969 for a system of labeling materials, including label stocks, laminating adhesives, and inks used by label printers.

## **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Comply with BICSI TDMM for layout of communications equipment spaces.

- C. Comply with BICSI ITSIMM for installation of communications equipment spaces.
- D. Bundle, lace, and train conductors and cables to terminal points without exceeding manufacturer's limitations on bending radii. Install lacing bars and distribution spools.
- E. Coordinate layout and installation of communications equipment in racks and room. Coordinate service entrance configuration with service provider.
  - 1. Meet jointly with system providers, equipment suppliers, and Owner to exchange information and agree on details of equipment configurations and installation interfaces.
  - 2. Record agreements reached in meetings and distribute them to other participants.
  - 3. Adjust configurations and locations of distribution frames, cross-connects, and patch panels in equipment spaces to accommodate and optimize configuration and space requirements of telecommunications equipment.
  - 4. Adjust configurations and locations of equipment with distribution frames, cross-connects, and patch panels of cabling systems of other communications, electronic safety and security, and related systems that share space in equipment room.
- F. Coordinate location of power raceways and receptacles with locations of communications equipment requiring electrical power to operate.

# 3.2 GROUNDING

- A. Comply with NECA/BICSI 607.
- B. Install grounding according to BICSI ITSIMM, "Bonding, Grounding (Earthing) and Electrical Protection" Ch.
- C. Locate TGB to minimize length of bonding conductors. Fasten to wall, allowing at least 2 inches of clearance behind TGB. Connect TGB with a minimum No. 4 AWG grounding electrode conductor from TGB to suitable electrical building ground. Connect rack TGB to near TGB or the TMGB.
  - 1. Bond the shield of shielded cable to patch panel, and bond patch panel to TGB or TMGB.

# 3.3 IDENTIFICATION

- A. Coordinate system components, wiring, and cabling complying with TIA-606-B. Comply with requirements in Section 270553 "Identification for Communications Systems."
- B. Comply with requirements in Section 099123 "Interior Painting" for painting backboards. For fire-resistant plywood, do not paint over manufacturer's label.
- C. Paint and label colors for equipment identification shall comply with TIA-606-B for Class 2 level of administration.
- D. Labels shall be machine printed. Type shall be 1/4 inch in height.

## END OF SECTION 271116

## SECTION 271323 - COMMUNICATIONS OPTICAL FIBER BACKBONE CABLING

## PART 1 - GENERAL

# 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. Section Includes:
  - 1. 9/125 micrometer single-mode, inside plant optical fiber cable (OS1).
  - 2. Optical fiber cable connecting hardware, patch panels, and cross-connects.
  - 3. Cabling identification products.

## 1.3 DEFINITIONS

- A. BICSI: Building Industry Consulting Service International.
- B. Cross-Connect: A facility enabling the termination of cable elements and their interconnection or cross-connection.
- C. RCDD: Registered Communications Distribution Designer.

# 1.4 OPTICAL FIBER BACKBONE CABLING DESCRIPTION

- A. Optical fiber backbone cabling system shall provide interconnections between communications equipment rooms, main terminal space, and entrance facilities in the telecommunications cabling system structure. Cabling system consists of backbone cables, intermediate and main cross-connects, mechanical terminations, and patch cords or jumpers used for backbone-to-backbone cross-connection.
- B. Backbone cabling cross-connects may be located in communications equipment rooms or at entrance facilities. Bridged taps and splitters shall not be used as part of backbone cabling.

# 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Reviewed and stamped by RCDD.
  - 1. System Labeling Schedules: Electronic copy of labeling schedules, in software and format selected by Owner.

- 2. System Labeling Schedules: Electronic copy of labeling schedules that are part of the cabling and asset identification system of the software.
- 3. Cabling administration drawings and printouts.
- 4. Wiring diagrams to show typical wiring schematics including the following:
  - a. Telecommunications rooms plans and elevations.
  - b. Telecommunications pathways.
  - c. Telecommunications system access points.
  - d. Telecommunications grounding system.
  - e. Cross-connects.
  - f. Patch panels.
  - g. Patch cords.
- 5. Cross-connects and patch panels. Detail mounting assemblies, and show elevations and physical relationship between the installed components.
- C. Optical fiber cable testing plan.

## 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For RCDD, installation supervisor, and field inspector.
- B. Source quality-control reports.
- C. Product Certificates: For each type of product.
- D. Field quality-control reports.

# 1.7 CLOSEOUT SUBMITTALS

A. Maintenance Data: For optical fiber cable, splices, and connectors to include in maintenance manuals.

## 1.8 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Patch-Panel Units: One of each type.
  - 2. Plugs: Ten of each type.
  - 3. Jacks: Ten of each type.

# 1.9 QUALITY ASSURANCE

- A. Installer Qualifications: Cabling Installer must have personnel certified by BICSI on staff.
  - 1. Layout Responsibility: Preparation of Shop Drawings, Cabling Administration Drawings, and field testing program development by an RCDD.

- 2. Installation Supervision: Installation shall be under the direct supervision of Level 2 Installer, who shall be present at all times when Work of this Section is performed at Project site.
- 3. Testing Supervisor: Currently certified by BICSI as an RCDD to supervise on-site testing.
- B. Testing Agency Qualifications: Testing agency must have personnel certified by BICSI on staff.
  - 1. Testing Agency's Field Supervisor: Currently certified by BICSI as an RCDD.

# 1.10 DELIVERY, STORAGE, AND HANDLING

- A. Test cables upon receipt at Project site.
  - 1. Test optical fiber cable to determine the continuity of the strand end to end. Use optical fiber flashlight or optical loss test set.
  - 2. Test optical fiber cable while on reels. Use an optical time domain reflectometer to verify the cable length and locate cable defects, splices, and connector, including the loss value of each. Retain test data and include the record in maintenance data.

## 1.11 PROJECT CONDITIONS

A. Environmental Limitations: Do not deliver or install cables and connecting materials until wet work in spaces is complete and dry, and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.

#### 1.12 COORDINATION

A. Coordinate layout and installation of telecommunications pathways and cabling with Owner's telecommunications and LAN equipment and service suppliers.

## 1.13 SOFTWARE SERVICE AGREEMENT

- A. Technical Support: Beginning with Substantial Completion, provide software support for two years.
- B. Upgrade Service: Update software to latest version at Project completion. Install and program software upgrades that become available within two years from date of Substantial Completion. Upgrading software shall include operating system. Upgrade shall include new or revised licenses for use of software.
  - 1. Provide 30 days' notice to Owner to allow scheduling and access to system and to allow Owner to upgrade computer equipment if necessary.

# 271323 - 4

## PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. General Performance: Backbone cabling system shall comply with transmission standards in TIA-568-C.1, when tested according to test procedures of this standard.
- B. Surface-Burning Characteristics: As determined by testing identical products according to ASTM E84 by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame-Spread Index: 25 or less.
  - 2. Smoke-Developed Index: 50 or less.
- C. Telecommunications Pathways and Spaces: Comply with TIA-569-D.
- D. Grounding: Comply with TIA-607-B.

# 2.2 9/125 MICROMETER, SINGLE-MODE, INSIDE PLANT OPTICAL FIBER CABLE (OS1)

- A. Description: Single mode, 9/125-micrometer, 12 fibers, tight buffered, optical fiber cable.
- B. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. 3M
  - 2. Corning Cable Systems.
  - 3. General Cable; General Cable Corporation.
  - 4. Hitachi Cable America Inc.
  - 5. Mohawk; a division of Belden Networking, Inc.

# C. Standards:

- 1. Comply with TIA-492CAAA for detailed specifications.
- 2. Comply with TIA-568-C.3 for performance specifications.
- 3. Comply with ICEA S-83-596 for mechanical properties.
- D. Conductive cable shall be aluminum armored type.
- E. Maximum Attenuation: 1.0 dB/km at 1310 nm; 1.0 dB/km at 1550 nm.
- F. Jacket:
  - 1. Jacket Color: Yellow.
  - 2. Cable cordage jacket, fiber, unit, and group color shall be according to TIA-598-D.
  - 3. Imprinted with fiber count, fiber type, and aggregate length at regular intervals not to exceed 40 inches.
- G. Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with UL 444, UL 1651, and NFPA 70 for the following types:

- 1. Plenum Rated, Nonconductive: Type OFNP, complying with NFPA 262.
- 2. Plenum Rated, Nonconductive: Type OFNP in listed plenum communications raceway.
- 3. Plenum Rated, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit.
- 4. Plenum Rated, Nonconductive: Type OFNP, complying with NFPA 262; Type OFNP in listed plenum communications raceway; or Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit.
- 5. Riser Rated, Nonconductive: Type OFNR or Type OFNP, complying with UL 1666.
- 6. Riser Rated, Nonconductive: Type OFNP or Type OFNR in listed riser or plenum communications raceway.
- 7. Riser Rated, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit installed per NFPA 70, Article 300.22, "Wiring in Ducts, Plenums, and Other Air-Handling Spaces."

# 2.3 OPTICAL FIBER CABLE HARDWARE

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. Belden CDT Networking Division/NORDX.
  - 2. Berk-Tek Leviton; a Nexans/Leviton alliance.
  - 3. Corning Cable Systems.
  - 4. <u>Hubbell Premise Wiring</u>.
  - 5. Optical Cable Corporation.
  - 6. Optical Connectivity Solutions Division.

# B. Standards:

- 1. Comply with Fiber Optic Connector Intermateability Standard (FOCIS) specifications of the TIA-604 series.
- 2. Comply with TIA-568-C.3.
- C. Cross-Connects and Patch Panels: Modular panels housing multiple-numbered, duplex cable connectors.
  - 1. Number of Connectors per Field: One for each fiber of cable or cables assigned to field, plus spares and blank positions adequate to suit specified expansion criteria.
- D. Patch Cords: Factory-made, dual-fiber cables in 36-inch lengths.
- E. Connector Type: Type SC complying with TIA-604-3-B, connectors.
- F. Plugs and Plug Assemblies:
  - 1. Male; color-coded modular telecommunications connector designed for termination of a single optical fiber cable.
  - 2. Insertion loss not more than 0.25 dB.
  - 3. Marked to indicate transmission performance.
- G. Jacks and Jack Assemblies:

- 1. Female; quick-connect, simplex and duplex; fixed telecommunications connector designed for termination of a single optical fiber cable.
- 2. Insertion loss not more than 0.25 dB.
- 3. Marked to indicate transmission performance.
- 4. Designed to snap-in to a patch panel or faceplate.

# 2.4 GROUNDING

- A. Comply with requirements in Section 270526 "Grounding and Bonding for Communications Systems" for grounding conductors and connectors.
- B. Comply with TIA-607-B.

## 2.5 IDENTIFICATION PRODUCTS

A. Comply with TIA-606-B and UL 969 for a system of labeling materials, including label stocks, laminating adhesives, and inks used by label printers.

# 2.6 SOURCE QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to evaluate cables.
- B. Factory test multimode optical fiber cables according to TIA-526-14-B and TIA-568-C.3.
- C. Factory test pre-terminated optical fiber cable assemblies according to TIA-526-14-B and TIA-568-C.3.
- D. Cable will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

# PART 3 - EXECUTION

## 3.1 ENTRANCE FACILITIES

A. Coordinate backbone cabling with the protectors and demarcation point provided by communications service provider.

# 3.2 WIRING METHODS

- A. Wiring Method: Install cables in raceways and cable trays except within consoles, cabinets, desks, and counters. Conceal raceway and cables except in unfinished spaces.
  - 1. Install plenum cable in environmental air spaces, including plenum ceilings.
  - 2. Comply with requirements for pathways specified in Section 270528 "Pathways for Communications Systems."

- B. Wiring Method: Conceal conductors and cables in accessible ceilings, walls, and floors where possible.
- C. Wiring within Enclosures: Bundle, lace, and train cables within enclosures. Connect to terminal points with no excess and without exceeding manufacturer's limitations on bending radii. Provide and use lacing bars and distribution spools.

# 3.3 INSTALLATION OF OPTICAL FIBER BACKBONE CABLES

- A. Comply with NECA 1, NECA 301, and NECA/BICSI 568.
- B. General Requirements for Optical Fiber Cabling Installation:
  - 1. Comply with TIA-568-C.1 and TIA-568-C.3.
  - 2. Comply with BICSI ITSIMM, Ch. 6, "Cable Termination Practices."
  - 3. Terminate all cables; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, cross-connects, and patch panels.
  - 4. Cables may not be spliced. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
  - 5. Install lacing bars to restrain cables, to prevent straining connections, and to prevent bending cables to smaller radii than minimums recommended by manufacturer.
  - 6. Bundle, lace, and train cable to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, "Cabling Termination Practices" Chapter. Use lacing bars and distribution spools.
  - 7. Do not install bruised, kinked, scored, deformed, or abraded cable. Do not splice cable between termination, tap, or junction points. Remove and discard cable if damaged during installation and replace it with new cable.
  - 8. Cold-Weather Installation: Bring cable to room temperature before dereeling. Heat lamps shall not be used for heating.
  - 9. In the communications equipment room, provide a 10-foot-long service loop on each end of cable.
  - 10. Pulling Cable: Comply with BICSI ITSIMM, Ch. 4, "Pulling Cable." Monitor cable pull tensions.
  - 11. Cable may be terminated on connecting hardware that is rack or cabinet mounted.

# C. Open-Cable Installation:

- 1. Install cabling with horizontal and vertical cable guides in telecommunications spaces with terminating hardware and interconnection equipment.
- 2. Cable shall not be run through structural members or in contact with pipes, ducts, or other potentially damaging items.
- D. Installation of Cable Routed Exposed under Raised Floors:
  - 1. Install plenum-rated cable only.
  - 2. Install cabling after the flooring system has been installed in raised floor areas.
  - 3. Coil cable 6 feet long not less than 12 inches in diameter below each feed point.
- E. Group connecting hardware for cables into separate logical fields.

## 3.4 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI ITSIMM, "Firestopping" Chapter.

# 3.5 GROUNDING

- A. Install grounding according to BICSI ITSIMM, "Grounding (Earthing), Bonding, and Electrical Protection" Chapter.
- B. Comply with TIA-607-B and NECA/BICSI-607.
- C. Locate grounding bus bar to minimize the length of bonding conductors. Fasten to wall allowing at least 2-inch clearance behind the grounding bus bar. Connect grounding bus bar with a minimum No. 4 AWG grounding electrode conductor from grounding bus bar to suitable electrical building ground.
- D. Bond metallic equipment to the grounding bus bar, using not smaller than No. 6 AWG equipment grounding conductor.

## 3.6 IDENTIFICATION

- A. Identify system components, wiring, and cabling complying with TIA-606-B. Comply with requirements for identification specified in Section 270553 "Identification for Communications Systems."
  - 1. Administration Class: Class 1.
  - 2. Color-code cross-connect fields and apply colors to voice and data service backboards, connections, covers, and labels.
- B. Paint and label colors for equipment identification shall comply with TIA-606-B for Class 2 level of administration including optional identification requirements of this standard.
- C. Cable Schedule: Install in a prominent location in each equipment room and wiring closet. List incoming and outgoing cables and their designations, origins, and destinations. Protect with rigid frame and clear plastic cover. Furnish an electronic copy of final comprehensive schedules for Project.
- D. Cabling Administration Drawings: Show building floor plans with cabling administration-point labeling. Identify labeling convention and show labels for telecommunications closets, backbone pathways and cables, entrance pathways and cables, terminal hardware and positions, horizontal cables, work areas and workstation terminal positions, grounding buses and pathways, and equipment grounding conductors.
- E. Cable and Wire Identification:

- 1. Label each cable within 4 inches of each termination and tap, where it is accessible in a cabinet or junction or outlet box, and elsewhere as indicated.
- 2. Each wire connected to building-mounted devices is not required to be numbered at device if color of wire is consistent with associated wire connected and numbered within panel or cabinet.
- 3. Exposed Cables and Cables in Cable Trays and Wire Troughs: Label each cable at intervals not exceeding 15 feet.
- Label each unit and field within distribution racks and frames. 4.
- Identification within Connector Fields in Equipment Rooms and Wiring Closets: Label 5. each connector and each discrete unit of cable-terminating and connecting hardware. Where similar jacks and plugs are used for both voice and data communication cabling, use a different color for jacks and plugs of each service.
- Labels shall be preprinted or computer-printed type with printing area and font color that F. contrasts with cable jacket color but still complies with requirements in TIA 606-B, for the following:
  - Flexible vinyl or polyester that flexes as cables are bent. 1.

#### 3.7 FIELD QUALITY CONTROL

- Testing Agency: Owner will engage a qualified testing agency to perform tests and inspections. A.
- В. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- C. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- Perform tests and inspections with the assistance of a factory-authorized service representative. D.
- Tests and Inspections: E.
  - 1. Visually inspect optical fiber jacket materials for NRTL certification markings. Inspect cabling terminations in communications equipment rooms for compliance with colorcoding for pin assignments, and inspect cabling connections for compliance with TIA-568-C.1.
  - Visually inspect cable placement, cable termination, grounding and bonding, equipment 2. and patch cords, and labeling of all components.
  - 3. Optical Fiber Cable Tests:
    - Test instruments shall meet or exceed applicable requirements in TIA-568-C.1. a. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.
    - Link End-to-End Attenuation Tests: b.
      - 1) Horizontal and multimode backbone link measurements: Test at 850 or 1300 nm in one direction according to TIA-526-14-B, Method B, One Reference Jumper.

- 2) Attenuation test results for backbone links shall be less than 2.0 dB. Attenuation test results shall be less than those calculated according to equation in TIA-568-C.1.
- F. Data for each measurement shall be documented. Data for submittals shall be printed in a summary report that is formatted similar to Table 10.1 in BICSI TDMM, or transferred from the instrument to the computer, saved as text files, and printed and submitted.
- G. Remove and replace cabling where test results indicate that it does not comply with specified requirements.
- H. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- I. Prepare test and inspection reports.

END OF SECTION 271323

## SECTION 271500 - COMMUNICATIONS HORIZONTAL CABLING

## PART 1 - GENERAL

## 1.1 RELATED SECTIONS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and division 1 Specification Sections, apply to this section. Refer also to specification sections:
- B. Related Section: General Provisions for Electrical Work
- C. Related Section: Raceways and Boxes
- D. Related Section: Hangers and Supports for Electrical Systems
- E. Related Section: Communications Installation Overview

## 1.2 SUMMARY

- A. The Unshielded Twisted Pair (UTP) cabling system installation shall include all necessary components for a complete, certified, operational category 6 TIA/EIA-568-B.2 compliant UTP structured cabling system between various telecommunications closets and work area stations and wireless access points as specified to support LAN/Data and Voice applications.
- B. Data Outlets: For each outlet install one Category 6 UTP cable from the existing/new equipment located in the building data closet to the associated outlet box. Install cable system from end to end (no splices). Terminate on RJ45 jack in the single gang faceplate. Cabling shall consist of 4 pair Category 6 UTP cable, plenum rated and have blue jacket. Properly label ends of cabling in data closet at patch connection.
- C. The data and voice drops will be located as identified on the project electrical drawings.

## 1.3 SUBMITTALS

- A. Submittals for this section shall be sent to the Engineer for review as a single document. Multiple submittals for this section will not be accepted. The following shall be the minimum submitted for approval.
  - 1. Complete manufacturer's construction details and specifications for the cables, including physical and electrical characteristics of insulation and conductors.
  - 2. All dimension information of each product in this section.
  - 3. Maximum pulling strain allowed for each type cable.
  - 4. Catalog sheets, specification and installation instructions for all products.
  - 5. Detailed plan and drawing(s) of the labeling scheme to be used on the telecommunications outlets and the path panels in the wiring closets.
  - 6. Sample page(s) of test report documentation that will be provide at the completion of this project.

# 1.4 QUALIFICATION TESTING

- A. Qualification tests shall be performed by the manufacturer initially on each cable. These tests shall be performed in accordance with ANSI/EIA/TIA-568A prior to shipment.
- B. The completed cable, while on the shipping reel, shall be tested at room temperature to insure it meets or exceeds the design specifications.

- C. Certification shall be provided to show the results of these tests.
- D. All cable must meet or exceed the ANSI/EIA/TIA-568A Category 6 extended frequency requirements.

# 1.5 QUALIFICATIONS

- A. The contractor shall have a minimum successful track record in production and use of Category 6 cables as follows:
  - 1. CAT 6 Cable: Five (5) years.
  - 2. Terminations: Five (5) years.
  - 3. Outlets and Patch Panels: Five (5) years.
- B. Installers' Qualifications: The persons installing the Work of this Section and their supervisor, shall be personally experienced in Category 6 Cable systems and shall have been engaged in the installation of Category 6 Cable Systems for a minimum of two (2) years. Five (5) installations shall be provided and considered only if no failures have occurred.
- C. Contractor shall submit manufacturer's experience as specified above including references to projects completed. Contractor must be certified by the manufacturer that the contractor is a qualified installer of their product and that the manufacturer will warrant the contractor's work.

# 1.6 WARRANTY

- A. The connectivity manufacturer shall warrant that the cable and cable component products will be free from defects in material and workmanship provided the products are employed under conditions contemplated and covered by the design specifications, and provided further that the products are installed, terminated, maintained and operated in accordance with the manufacturers recommended standards and procedures.
- B. If a product fails while in service, and the failure is the result of defective material or workmanship, the manufacturer's responsibility under this warranty shall be to repair or replace the defective product, including all parts and labor. If the manufacturer chooses to replace the defective product, the new product will be delivered free of charge to the above noted project site.
- C. The foregoing warranty supplements all other warranties. This warranty shall be effective for a period of twenty-five (25) years from date of acceptance by Owner.
- D. This warranty shall provide a manufacturers warranty covering the components (cable, connectors and connecting hardware) and installation labor of the Category 6 (550mhz) Cabling System.
- **E.** The contractor shall provide a certificate prepared by the manufacturer describing the warranty within 45 days of final acceptance.

## PART 2 - PRODUCT

# 2.1 DESCRIPTION OF SYSTEM

A. The system to be provided will be a Local Area Network capable of supporting frequencies up to 550MHz and data transfer speeds including 1000 Mbps. Category 6 Unshielded Twisted pair cable shall be used. The basis for the system design is the Berktek Category 6 Cabling System.

1. The horizontal tel/com cables will terminate to new Category 6 patch panels in the building wiring closets.

# 2.2 MANUFACTURER

A. The CAT 6 cable specified herein shall be manufactured by Berk-Tek, using Hubbell CAT 6 compliant cabling components. Other systems and/or components of other manufacturers may be considered if equal by written approval of an authorized agent of the Owner, and shall meet all of the performance specifications identified herein.

# 2.3 UTP CABLE

- A. Manufacturers: Subject to compliance with requirements. provide products by the following:
  - 1. Belden
  - 2. CommScope, Inc.
  - 3. Superior Essex Inc.
  - 4. Mohawk
- B. Description:
  - Category 6, 100-ohm, 4-pair UTP plenum rated cable with thermoplastic jacket for Voice and Data associated cabling. Cable shall be LANmark 1000A or approved equal.
    - a. Comply with ICEA S-90-661 for mechanical properties.
    - b. Comply with TIA/EIA-568-C.1 for performance specifications.
    - c. Comply with TIA/EIA-568-C.2, Category 6 (Voice/Data)
    - d. Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with UL 444 and NFPA 70 for the following types:
      - 1) Communications, Plenum Rated: Type CMP, complying with NFPA 262.
- C. Color Designation: Data cables shall be Blue in color.

# 2.4 UTP CABLE HARDWARE

- A. Manufacturers: Subject to compliance with requirements provide products by the following:
- B. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide by Hubbell Premise Wiring or comparable product by one of the following:
  - 1. Ortronics
  - 2. Panduit Corp.
- C. General Requirements for Cable Connecting Hardware: Comply with TIA/EIA-568-B.2, IDC type, with modules designed for punch-down caps or tools. Cables shall be terminated with connecting hardware of same category or higher.
- D. Connecting Blocks: 66-style IDC for Category 6. Provide blocks for the number of cables terminated on the block, plus 25 percent spare. Integral with connector bodies, including plugs and jacks where indicated.
- E. Cross-Connect: Modular array of connecting blocks arranged to terminate building cables and permit interconnection between cables.
  - 1. Number of Terminals per Field: One for each conductor in assigned cables.
- F. Patch Panels: Hubbell UDX48E or approved equivalent I STATION Category 6, Universal, unloaded, black, 48-port
- G. Jacks and Jack Assemblies: Hubbell NEXTSPEED jacks, Category 6/10GbE, A-wired, 2-pack.

- H. Patch Cords: Berk-Tek LANmark 1000 or approved equivalent Factory-made, four-pair cables in quantity and lengths indicated; terminated with eight-position modular plug at each end.
  - 1. Patch cords shall have bend-relief-compliant boots and color-coded icons to ensure Category 6 performance. Patch cords shall have latch guards to protect against snagging.
  - 2. Patch cords shall have color-coded boots for circuit identification.

## 2.5 CABLE MANAGEMENT

- A. Cable tray: Install as noted in specification section 260536.
- B. J-Hooks; Install J-Hooks above ceilings and in the wiring closets to organize cables. Consult manufacturer for proper installation procedures.
  - 1. Mono Systems Model No. H-966-A
  - 2. Provision each J-Hook with two black rung caps, Mono Systems No. 0800-0711.

#### **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. The maximum allowable horizontal cable length is 295 feet. This maximum allowable length does not include an allowance for the length of 16 feet to the workstation equipment or in the horizontal cross-connect. All cable lengths shall be measured prior to cutting or installation of any cable. The contractor shall notify the engineer of any cable lengths over 295' prior to the cutting and installation of any cabling, cabling support hardware, and cable terminations.
- B. Prior to and during installation, refer to system layout and design drawings containing all elements of the system. Installer shall comply with all manufacturers' instruction sheets accompanying system components as well as complete system installation sheets and specifications.
- C. The contractor shall properly install and test all CAT6 550mhz cabling in and between the central wiring closets and data drop locations as per the scope of this project.
- D. The contractor shall provide and install all jacks, face plates and associated hardware to each drop location. Drawings do not reflect all associated parts required. It is the responsibility of the contractor to provide all necessary parts to support the number of devices shown.
- E. All cables shall be run in ceiling cavities or in a protected environment such as conduit or wiremold as specified by this design. All manufacturers and industry specifications relating to installation, testing and terminations should be strictly adhered to. Any exceptions to this must be obtained in writing from an authorized agent of the owner.

## 3.2 PATCH CABLES

- A. The contractor will provide and install CAT 6 RJ45 patch cables for each drop location. In addition the contractor will provide and install the required CAT 6A patch cables between the patch panel and switch. The installer will ensure that all cables are installed and secured in a neat and organized fashion.
  - 1. Provide (1) 5ft CAT 6 patch cable for each cable at the Wiring Closet.
  - 2. Confirm exact length and color patch cords with owner prior to ordering.

## 3.3 TESTING

A. The contractor shall be responsible for verifying that each cable is installed properly. The contractor will also provide to the district via the architects, documentation supporting the successful testing of each cable. The contractor will guarantee the CAT 6 (550mhz) cabling will be certified to support 1000 MBPS network applications, as well as certified by the contractor to 100 Mhz. and swept out to 550mhz. Each cable shall be tested using an Agilent WireScope tester and swept out to 550mhz. The tester shall run an auto test, pattern that tests and certifies CAT 6 performance standards are met. The contractor will provide a Penta Scanner cable test certification document as produced by the Penta Scanner to the district as proof of successful installation. This is required for each cable prior to completion of the project.

#### 3.4 LABELING

- A. Each cable shall be labeled using a permanent alpha numeric marking system at both ends.
- B. Each data and patch panel port shall be labeled in accordance with TIA/EIA 606 standards.
- C. Labeling shall include wiring closet number, patch panel number and patch panel port at the drop location (e.g. wc#-P#-#). At wiring closet label each patch panel port labeled with drop location room number (xxx) and drop number (e.g. xxx-##). This labeling should be included in the test report spreadsheet. Classroom labeling will match the other associated systems. Contractor to coordinate room number labels with new room numbers provided by the numbering and signing contractor.

# 3.5 COMPLETENESS

- A. The CAT 6 cabling system shall be installed complete including all cable connections to intelligent network components, restraint and support, documentation, identifying tags and associated hardware.
- B. The contractor will ensure that the completed installation will conform to all EIA/TIA -568A applicable codes and industry standards as required.

**END OF SECTION 271500** 

## SECTION 280153 – CONDUCTORS AND CABLES FOR ELECTRONIC SAFETY AND SECURITY

## PART 1 - GENERAL

# 1.1 SUMMARY

- A. Section Includes:
  - 1. RS-232 cabling.
  - 2. RS-485 cabling.
  - 3. Fire alarm wire and cable.

# 1.2 QUALITY ASSURANCE

- A. Testing Agency Qualifications: An NRTL.
  - 1. Testing Agency's Field Supervisor: Currently certified by BICSI as an RCDD to supervise on-site testing.
- B. Surface-Burning Characteristics: As determined by testing identical products according to ASTM E 84 by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame-Spread Index: 25 or less.
  - 2. Smoke-Developed Index: 50 or less.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

## PART 2 - PRODUCTS

# 2.1 **RS-232 CABLE**

- A. Standard Cable: NFPA 70, Type CM.
  - 1. Paired, 2 pairs, No. 22 AWG, stranded (7x30) tinned copper conductors.
  - 2. Polypropylene insulation.
  - 3. Individual aluminum foil-polyester tape shielded pairs with 100 percent shield coverage.
  - 4. PVC jacket.
  - 5. Pairs are cabled on common axis with No. 24 AWG, stranded (7x32) tinned copper drain wire.
  - 6. Flame Resistance: Comply with UL 1581.
- B. Plenum-Rated Cable: NFPA 70, Type CMP.
  - 1. Paired, 2 pairs, No. 22 AWG, stranded (7x30) tinned copper conductors.
  - 2. Plastic insulation.

# 14428.11 CONDUCTORS AND CABLES FOR ELECTRONIC SAFETY AND SECURITY 280153-2

- 3. Individual aluminum foil-polyester tape shielded pairs with 100 percent shield coverage.
- 4. Plastic jacket.
- 5. Pairs are cabled on common axis with No. 24 AWG, stranded (7x32) tinned copper drain wire.
- 6. Flame Resistance: Comply with NFPA 262.

# 2.2 **RS-485 CABLE**

- A. Standard Cable: NFPA 70, Type CM or CMG.
  - 1. Paired, 2 pairs, twisted, No. 22 AWG, stranded (7x30) tinned copper conductors.
  - 2. PVC insulation.
  - 3. Unshielded.
  - 4. PVC jacket.
  - 5. Flame Resistance: Comply with UL 1581.
- B. Plenum-Rated Cable: NFPA 70, Type CMP.
  - 1. Paired, 2 pairs, No. 22 AWG, stranded (7x30) tinned copper conductors.
  - 2. Fluorinated ethylene propylene insulation.
  - 3. Unshielded.
  - 4. Fluorinated ethylene propylene jacket.
  - 5. Flame Resistance: NFPA 262, Flame Test.

## 2.3 FIRE ALARM WIRE AND CABLE

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Comtran Corporation.
  - 2. Draka Cableteq USA.
  - 3. Genesis Cable Products; Honeywell International, Inc.
  - 4. Rockbestos-Suprenant Cable Corp.
  - 5. West Penn Wire; a brand of Belden Inc.
- B. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.
- C. Signaling Line Circuits: Twisted, shielded pair, size as recommended by system manufacturer.
  - 1. Circuit Integrity Cable: Twisted shielded pair, NFPA 70, Article 760, Classification CI, for power-limited fire alarm signal service Type FPL. NRTL listed and labeled as complying with UL 1424 and UL 2196 for a 2-hour rating.
- D. Non-Power-Limited Circuits: Solid-copper conductors with 600-V rated, 75 deg C, color-coded insulation.
  - 1. Low-Voltage Circuits: No. 16 AWG, minimum.
  - 2. Line-Voltage Circuits: No. 12 AWG, minimum.

3. Multiconductor Armored Cable: NFPA 70, Type MC, copper conductors, Type TFN/THHN conductor insulation, copper drain wire, copper armor with outer jacket with red identifier stripe, NTRL listed for fire alarm and cable tray installation, plenum rated, and complying with requirements in UL 2196 for a 2-hour rating.

# 3.0 WIRING METHOD

- A. Install wiring in metal pathways and wireways.
  - 1. Minimum conduit size shall be 3/4 inch. Control and data-transmission wiring shall not share conduits with other building wiring systems.
  - 2. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems."
- B. Install cable, concealed in accessible ceilings, walls, and floors when possible.

## 3.1 FIRE ALARM WIRING INSTALLATION

- A. Comply with NECA 1 and NFPA 72.
- B. Wiring Method: Install wiring in metal pathway according to Section 260529 "Hangers and Supports for Electrical Systems."
  - 1. Install plenum cable in environmental air spaces, including plenum ceilings.
  - 2. Fire alarm circuits and equipment control wiring associated with the fire alarm system shall be installed in a dedicated pathway system. This system shall not be used for any other wire or cable.

# C. Wiring Method:

- 1. Cables and pathways used for fire alarm circuits, and equipment control wiring associated with the fire alarm system, may not contain any other wire or cable.
- 2. Fire-Rated Cables: Use of two-hour, fire-rated fire alarm cables, NFPA 70, Types MI and CI, is not permitted.
- 3. Signaling Line Circuits: Power-limited fire alarm cables shall not be installed in the same cable or pathway as signaling line circuits.
- D. Wiring within Enclosures: Separate power-limited and non-power-limited conductors as recommended by manufacturer. Install conductors parallel with or at right angles to sides and back of the enclosure. Bundle, lace, and train conductors to terminal points with no excess. Connect conductors that are terminated, spliced, or interrupted in any enclosure associated with the fire alarm system to terminal blocks. Mark each terminal according to the system's wiring diagrams. Make all connections with approved crimp-on terminal spade lugs, pressure-type terminal blocks, or plug connectors.
- E. Cable Taps: Use numbered terminal strips in junction, pull, and outlet boxes, cabinets, or equipment enclosures where circuit connections are made.

# 14428.11 CONDUCTORS AND CABLES FOR ELECTRONIC SAFETY AND SECURITY 280153-4

- F. Color Coding: Color code fire alarm conductors differently from the normal building power wiring. Use one color code for alarm circuit wiring and another for supervisory circuits. Color code audible alarm-indicating circuits differently from alarm-initiating circuits. Use different colors for visible alarm-indicating devices. Paint fire alarm system junction boxes and covers red.
- G. Risers: Install at least two vertical cable risers to serve the fire alarm system. Separate risers in close proximity to each other with a minimum one-hour-rated wall, so the loss of one riser does not prevent the receipt or transmission of signals from other floors or zones.
- Η. Wiring to Remote Alarm Transmitting Device: 1-inch conduit between the fire alarm control panel and the transmitter. Install number of conductors and electrical supervision for connecting wiring as needed to suit monitoring function.

#### 3.2 **CONNECTIONS**

Comply with requirements in Section 283111 "Digital, Addressable Fire-Alarm System" for A. connecting, terminating, and identifying wires and cables.

#### 3.3 **FIRESTOPPING**

- Comply with requirements in Section 078413 "Penetration Firestopping." A
- В. Comply with TIA-569-D.
- C. Comply with BICSI Information Technology Systems Installation Methods Manual.

#### 3.4 **GROUNDING**

For low-voltage wiring and cabling, comply with requirements in Section 260526 "Grounding A. and Bonding."

#### 3.5 FIELD QUALITY CONTROL

- Testing Agency: Engage a qualified testing agency to perform tests and inspections. A.
- В. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- C. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
  - 1. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
- B. Prepare test and inspection reports.

# END OF SECTION 280153

## SECTION 283111 – FIRE ALARM SYSTEM

## PART 1 - GENERAL

## 1.1 SUMMARY

A. Work of this section includes the following: Modifications to the existing Simplex Fire Alarm System in the building. Modifications to include additional notification devices, initiating devices, addressable relays, one remote annunciator panel, support equipment, and all wiring, components, connections, and testing.

## 1.2 SCOPE & RELATED DOCUMENTS

- A. The work covered by this section of the specifications includes the furnishing of all labor, equipment, materials, and performance of all operations in connection with the installation of the Fire Alarm System as shown on the drawings and as herein specified.
- B. The requirements of the conditions of the Contract, Supplementary Conditions and General Requirements, apply to the work specified in this section.
- C. The complete installation is to conform to the applicable sections of NFPA-72, Local Code Requirements and National Electrical Code with particular attention to Article 760.
- D. Additionally, the entire installed system and all integrated system operations shall be within the guidelines of the SBCCI Standard Building Code.
- E. The work covered by this section of the specifications is to be coordinated with the related work as specified elsewhere under the project specifications.

# 1.3 QUALITY ASSURANCE

- A. Each and all items of the Fire Alarm System shall be listed as a product of a SINGLE fire alarm system manufacturer under the appropriate category by Underwriters' Laboratories, Inc. (UL), and shall bear the "U.L." label. All control equipment is to be listed under UL category UOJZ as a single control unit. Partial listing shall NOT be acceptable.
- B. The equipment and installation supervision furnished under this specification is to be provided by a manufacturer who has been engaged in production of this type (software driven) of equipment for at least ten (10) years, and has a fully-quipped service organization within thirty-five (35) miles of the installation.
- C. All control equipment must have transient protection devices to comply with UL864 requirements.
- D. In addition to the UL-UOJZ requirement mentioned above, the system controls shall be UL listed for Power Limited Applications per NEC 760. All circuits must be marked in accordance with NEC article 760.
- E. Supplier shall provide documentation that fire alarm technicians are NICET LEVEL 2 certified (minimum of 4)
- F. Suppliers' service organization must have been established in the local Cuba/Rushford area for a minimum of ten (10) years with ten (10) years experience on specific equipment brand supplied.

## 1.4 GENERAL

- A. Make all connections to the existing building system and leave the entire fire alarm system in first class operating condition.
- B. Add smoke detectors, heat detectors, carbon monoxide detectors, horns (A/V's), visuals, etc., all wiring, connections to devices, outlet boxes, junction boxes, and all other necessary material for a complete operating system.
- C. All peripheral devices shall be the standard product of a single manufacturer and shall display the manufacturer's name on each component.

## **PART 2 - PRODUCTS**

# 2.1 PERIPHERAL DEVICES

- A. The Contractor shall furnish and install devices that are compatible with the existing Simplex 4100ES Fire Alarm Control Panel in the building.
- B. Devices Required but not limited to:
  - 1. Manual Pull Stations
  - 2. Photoelectric Smoke Detectors
  - 3. Heat Detectors
  - 4. Smoke Duct Detectors
  - 5. Carbon Monoxide Detectors with audible base
  - 6. Remote Test Stations for Smoke Duct Detectors
  - 7. Fan Shut Down Relay Devices
  - 8. Sprinkler System Flow Monitoring Module
  - 9. Sprinkler System Tamper Switch
  - 10. Visual Alarm (Strobe) Stations
  - 11. Combination Horn/Strobe Stations
  - 12. Auxiliary contacts on devices where indicated on drawings.
  - 13. Monitor Modules
  - 14. Heat/Smoke Detector Bases
  - 15. Intelligent Relay Bases
  - 16. Control Relay Modules
  - 17. Magnetic door hold opens
  - 18. Addressable Relay Modules
  - 19. NAC panel and 120v power as required for a complete operating system.
  - 20. Flush mounted remote annunciator panel.

#### **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. Provide and install the system in accordance with the plans and specifications, all applicable codes and the manufacturer's recommendations. All wiring shall be installed in strict compliance with all the provisions of NEC Article 760 A and C, Power-Limited Fire Protective Signaling Circuits or if required may be reclassified as non-power limited and wired in accordance with NEC-Article 760 A and B. Upon completion, the contractor shall so certify in writing to the owner and general contractor.
- B. All junction boxes shall be sprayed red and labeled "Fire Alarm". Wiring color code shall be maintained throughout the installation.

- C. Installation of equipment and devices that pertain to other work in the contract shall be closely coordinated with the appropriate subcontractors.
- D. The contractor shall clean all dirt and debris from the inside and the outside of the fire alarm equipment after completion of the installation.
- E. The manufacturer's authorized representative shall provide on-site supervision of installation.

# 3.2 TESTING

A. The completed fire alarm system shall be fully tested in accordance with NFPA-72H by the contractor in the presence of the owner's representative and the Local Fire Marshal. Upon completion of a successful test, the contractor shall certify in writing to the owner and general contractor.

# 3.3 WARRANTY

A. The contractor shall warrant the completed fire alarm system wiring and equipment to be free from inherent mechanical and electrical defects for a period of one (1) year from the date of the completed and certified test or from the date of first beneficial use

END OF SECTION 283111

## SECTION 311000 - SITE CLEARING

## PART 1 - GENERAL

## 1.1 SUMMARY

- A. Section Includes:
  - 1. Removing above- and below-grade site improvements.

## 1.2 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at one of the installation sites.

## 1.3 MATERIAL OWNERSHIP

A. Cleared materials shall become Contractor's property and shall be removed from Project site.

## 1.4 INFORMATIONAL SUBMITTALS

- A. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
  - 1. Use sufficiently detailed photographs or video recordings.
  - 2. Include plans and notations to indicate specific wounds and damage conditions of each tree or other plant designated to remain.

## 1.5 FIELD CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during site-clearing operations.
  - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
  - 2. Provide alternate routes around closed or obstructed traffic ways if required by Owner or authorities having jurisdiction.
- B. Utility Locator Service: Notify utility locator service for area where Project is located before site clearing.

# PART 2 - PRODUCTS

# PART 3 - EXECUTION

# 3.1 PREPARATION

- A. Protect and maintain benchmarks and survey control points from disturbance during construction.
- B. Protect existing site improvements to remain from damage during construction.
  - 1. Restore damaged improvements to their original condition, as acceptable to Owner.

# 3.2 SITE IMPROVEMENTS

- A. Remove existing above- and below-grade improvements as indicated and necessary to facilitate new construction.
- B. Remove slabs, paving, curbs, gutters, and aggregate base as indicated.

1. Unless existing full-depth joints coincide with line of demolition, neatly saw-cut along line of existing pavement to remain before removing adjacent existing pavement. Saw-cut faces vertically.

# 3.3 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus soil material, unsuitable topsoil, obstructions, demolished materials, and waste materials including trash and debris, and legally dispose of them off Owner's property.
- B. Separate recyclable materials produced during site clearing from other nonrecyclable materials. Store or stockpile without intermixing with other materials, and transport them to recycling facilities. Do not interfere with other Project work.

END OF SECTION 311000

#### SECTION 312000 - EARTH MOVING

### PART 1 - GENERAL

### 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Preparing subgrades for slabs-on-grade, walks, pavements, turf and grasses.
  - 2. Mass excavations
  - 3. Excavating and backfilling for buildings and structures.
  - 4. Subbase course for concrete and asphalt paving.
  - 5. Subsurface drainage backfill for walls and trenches.
  - 6. Excavating and backfilling trenches for utilities and pits for buried utility structures.

## 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of the following manufactured products required:
  - 1. Geotextiles.
  - 2. Controlled low-strength material, including design mixture.
  - 3. Warning tapes.

### 1.3 INFORMATIONAL SUBMITTALS

- A. Material Test Reports: For each on-site and borrow soil material proposed for fill and backfill as follows:
  - 1. Classification according to ASTM D 2487.
  - 2. Laboratory compaction curve according to ASTM D 1557.
- B. Pre-excavation Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including finish surfaces that might be misconstrued as damage caused by earth moving operations. Submit before earth moving begins.
- C. Improvements on Adjoining Property: Authority for performing earth moving indicated on property adjoining Owner's property will be obtained by Owner before award of Contract.
  - 1. Do not proceed with work on any adjoining property until directed by Owner's Representative.

#### PART 2 - PRODUCTS

### 2.1 SOIL MATERIALS

- A. General: Provide borrow soil materials when sufficient satisfactory soil materials are not available from excavations.
- B. Satisfactory Soils: Soil Classification Groups GW, GP, GM, SW, SP, and SM according to ASTM D 2487, or a combination of these groups; free of rock or gravel larger than 3 inches in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter.
- C. Unsatisfactory Soils: Soil Classification Groups GC, SC, CL, ML, OL, CH, MH, OH, and PT according to ASTM D 2487, or a combination of these groups.
  - 1. Unsatisfactory soils also include satisfactory soils not maintained within 2 percent of optimum moisture content at time of compaction.

14428.11

- D. Subbase Material: Material shall be clean, sound, consisting of either gravel, stone, slag, and sands meeting the requirements set forth in NYSDOT Section 304. Stone sizing shall be as specified on Contract Drawings conforming to NYSDOT Gradations 1, 2, 3, or 4. Recycled concrete products are not acceptable for use as subbase under pavement.
- E. Crushed Stone / Granular Porous Engineered Fill Material shall be clean, sound, washed or unwashed, crushed stone of uniform quality. It shall be a 50-50 mixture of NYSDOT size designation #1 and #2 stone as per NYSDOT Standard Specifications.
- F. Select Granular Fill: Material shall meet the requirements for select granular fill Item 203.07 as defined in the New York State Department of Transportation "Standard Specifications".
- G. Bedding Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D 2940; except with 100 percent passing a 1-inch sieve and not more than 8 percent passing a No. 200 sieve.
- H. Drainage Course: Material shall meet the requirements of Item 605.0901, Type 1, as defined in the New York State Department of Transportation "Standard Specification".
- I. Filter Material: Narrowly graded mixture of natural or crushed gravel, or crushed stone and natural sand; ASTM D 448; coarse-aggregate grading Size 67; with 100 percent passing a 1-inch sieve and 0 to 5 percent passing a No. 4 sieve.
- J. Sand: ASTM C 33; fine aggregate.
- K. Bioretention Soil: Material shall meet the requirements of Appendix H, Section H.2, Planting Soil Bed Characteristics, as defined in the New York State Department of Environmental Conservation Stormwater Management Design Manual, Latest Edition.
- L. Dry Swale Permeable Soil: Material shall be a sandy loam, loamy sand, loam or a loam/sand mix. Clay content shall be less than 25% by volume. Permeability rate shall be 1.0 feet per day.
- M. Water Line Pipe Embedment: Material shall meet the requirements for cushion sand (NYSDOT 703-06).
- N. Rip-Rap: Material shall meet the requirements for Light Stone Fill (NYSDOT 620.03).

## 2.2 GEOTEXTILES

- A. Subsurface Drainage Geotextile: Nonwoven needle-punched geotextile, manufactured for subsurface drainage applications, made from polyolefin or polyesters; with elongation greater than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
  - 1. Grab Tensile Strength: >157 lbf; ASTM D 4632.
  - 2. Sewn Seam Strength: >142 lbf; ASTM D 4632.
  - 3. Tear Strength: >56 lbf; ASTM D 4533.
  - 4. Puncture Strength: >56 lbf; ASTM D 4833.
  - 5. Apparent Opening Size: No. 40 sieve, maximum; ASTM D 4751.
  - 6. Permittivity: 0.5 per second, minimum; ASTM D 4491.
  - 7. UV Stability: 50 percent after 500 hours' exposure; ASTM D 4355.
- B. Separation Geotextile: Woven geotextile fabric, manufactured for separation applications, made from polyolefin or polyesters; with elongation less than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
  - 1. Grab Tensile Strength: >247 lbf; ASTM D 4632.
  - 2. Sewn Seam Strength: >222 lbf; ASTM D 4632.

- 3. Tear Strength: >90 lbf; ASTM D 4533.
- 4. Puncture Strength: >90 lbf; ASTM D 4833.
- 5. Apparent Opening Size: No. 60 sieve, maximum; ASTM D 4751.
- 6. Permittivity: 0.02 per second, minimum; ASTM D 4491.
- 7. UV Stability: 50 percent after 500 hours' exposure; ASTM D 4355.

## 2.3 CONTROLLED LOW-STRENGTH MATERIAL

- A. Controlled Low-Strength Material: Self-compacting, flowable concrete material produced from the following:
  - 1. Portland Cement: ASTM C 150, Type I or Type II.
  - 2. Fly Ash: ASTM C 618, Class C or F.
  - 3. Normal-Weight Aggregate: ASTM C 33, 3/8-inch nominal maximum aggregate size.
  - 4. Foaming Agent: ASTM C 869.
  - 5. Water: ASTM C 94/C 94M.
  - 6. Air-Entraining Admixture: ASTM C 260.

## 2.4 ACCESSORIES

- A. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored as follows:
  - 1. Red: Electric.
  - 2. Yellow: Gas, oil, steam, and dangerous materials.
  - 3. Orange: Telephone and other communications.
  - 4. Blue: Water systems.
  - 5. Green: Sewer systems.

## PART 3 - EXECUTION

## 3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth moving operations.
- B. Protect and maintain erosion and sedimentation controls during earth moving operations.
- C. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials.

## 3.2 **DEWATERING**

- A. Prevent surface water and ground water from entering excavations and trenches from ponding on prepared subgrades, and from flooding work areas, Project site and surrounding areas.
- B. Protect subgrades from softening, undermining, washout, and damage by rain or water accumulation.
  - 1. Reroute surface water runoff away from excavated areas. Do not allow water to accumulate in excavations. Do not use excavated trenches as temporary drainage ditches.

- C. All water removed from the trenches or excavations by pumping, bailing, siphoning, well-points, or other means shall be disposed of in such a manner so as to avoid damage to the work, work of other Contractors, surface and ground water, persons or property. Unless otherwise permitted by the Engineer, groundwater encountered within the limits of excavation shall be depressed to an elevation not less than 12 inches below the bottom thereof before pipe laying or concreting is started, and shall be so maintained until concrete and joint material have attained adequate strength.
- D. The Contractor shall not discharge water from dewatering operations directly into any line or intermittent stream, channel, wetlands or surface water. The Contractor shall not discharge water from dewatering operations directly into the storm or sanitary sewer system without prior approval of the Engineer. If in the opinion of the Engineer, water from dewatering operations contains unacceptable amounts of sediment, the water shall be treated by filtration, sedimentation basins, or other methods to reduce the amount of sediment contained in the water to allowable levels, as acceptable to the Engineer, prior to disposal.
- E. Upon completion of the section wherein the operations have been performed, the Contractor shall remove from the catch basins, ditches, and swales, all mud, silt, debris, and other accumulations discharged to these various locations. The Contractor is responsible for leaving them in a condition similar to that which existed prior to his operations. Proper control measures shall be employed, so as to minimize siltation and erosion in and adjacent to the area of work.

## 3.3 EXCAVATION, GENERAL

- A. Unclassified Excavation: Excavate to subgrade elevations regardless of the character of surface and subsurface conditions encountered. Unclassified excavated materials may include rock, soil materials, and obstructions. No changes in the Contract Sum or the Contract Time will be authorized for rock excavation or removal of obstructions.
  - 1. If excavated materials intended for fill and backfill include unsatisfactory soil materials and rock, replace with satisfactory soil materials.
  - 2. Rock excavation includes removal and disposal of rock. Remove rock to lines and subgrade elevations indicated to permit installation of permanent construction.

## 3.4 EXCAVATION FOR STRUCTURES

- A. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 1 inch. If applicable, extend excavations a sufficient distance from structures for safely placing and removing concrete formwork, for installing services and other construction, and for inspections.
  - 1. Excavations for Footings and Foundations: Do not disturb bottom of excavation. Excavate by hand to final grade just before placing concrete reinforcement. Trim bottoms to required lines and grades to leave solid base to receive other work.

# 3.5 EXCAVATION FOR WALKS AND PAVEMENTS

A. Excavate surfaces under walks and pavements to indicated lines, cross sections, elevations, and subgrades.

# 3.6 EXCAVATION FOR UTILITY TRENCHES

- A. Excavate trenches to indicated gradients, lines, depths, and elevations.
  - 1. Beyond building perimeter, excavate trenches to allow installation of top of pipe below frost line as required.

- 312000 5
- B. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
- C. Trench Bottoms: Excavate and shape trench bottoms to provide uniform bearing and support of pipes and conduit. Shape subgrade to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits. Remove projecting stones and sharp objects along trench subgrade.
  - 1. For pipes and conduit less than 6 inches in nominal diameter, hand-excavate trench bottoms and support pipe and conduit on an undisturbed subgrade.
  - 2. For pipes and conduit 6 inches or larger in nominal diameter, shape bottom of trench to support bottom 90 degrees of pipe or conduit circumference. Fill depressions with tamped sand backfill.
  - 3. For flat-bottomed, multiple-duct conduit units, hand-excavate trench bottoms and support conduit on an undisturbed subgrade.
  - 4. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.
- D. Trench Bottoms: Excavate trenches 4 inches deeper than bottom of pipe and conduit elevations to allow for bedding course. Hand-excavate deeper for bells of pipe.
  - 1. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.
- E. Trenches in Tree- and Plant-Protection Zones:
  - 1. Hand-excavate to indicated lines, cross sections, elevations, and subgrades. Use narrowtine spading forks to comb soil and expose roots. Do not break, tear, or chop exposed roots. Do not use mechanical equipment that rips, tears, or pulls roots.
  - 2. Do not cut main lateral roots or taproots; cut only smaller roots that interfere with installation of utilities.
  - 3. Cut and protect roots according to requirements in Section 015639 "Temporary Tree and Plant Protection."

## 3.7 SUBGRADE INSPECTION

- A. Notify Owner's Representative when excavations have reached required subgrade.
- B. If Owner's Representative determines that unsatisfactory soil is present, continue excavation and replace with compacted backfill or fill material as directed.
- C. Proof-roll subgrade below the building slabs and pavements with a pneumatic-tired and loaded 10-wheel, tandem-axle dump truck weighing not less than 15 tons to identify soft pockets and areas of excess yielding. Do not proof-roll wet or saturated subgrades.
  - 1. Completely proof-roll subgrade in one direction. Limit vehicle speed to 3 mph.
  - 2. Excavate soft spots, unsatisfactory soils, and areas of excessive pumping or rutting, as determined by Owner's Representative, and replace with compacted backfill or fill as directed.
- D. Authorized additional excavation and replacement material will be paid for according to Contract provisions for unit prices or changes in the Work as applicable.
- E. Reconstruct subgrades damaged by freezing temperatures, frost, rain, accumulated water, or construction activities, as directed by Owner's Representative, without additional compensation.

## 3.8 UNAUTHORIZED EXCAVATION

- A. Fill unauthorized excavation under foundations or wall footings by extending bottom elevation of concrete foundation or footing to excavation bottom, without altering top elevation. Lean concrete fill, with 28-day compressive strength of 2,500 psi, may be used when approved by Owner's Representative.
  - 1. Fill unauthorized excavations under other construction, pipe, or conduit as directed by Owner's Representative.

### 3.9 STORAGE OF SOIL MATERIALS

- A. Stockpile borrow soil materials and excavated satisfactory soil materials without intermixing. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
  - 1. Stockpile soil materials away from edge of excavations. Do not store within drip line of remaining trees.

## 3.10 BACKFILL

- A. Place and compact backfill in excavations promptly, but not before completing the following:
  - 1. Construction below finish grade including, where applicable, subdrainage, dampproofing, waterproofing, and perimeter insulation.
  - 2. Surveying locations of underground utilities for Record Documents.
  - 3. Testing and inspecting underground utilities.
  - 4. Removing concrete formwork.
  - 5. Removing trash and debris.
  - 6. Removing temporary shoring and bracing, and sheeting.
  - 7. Installing permanent or temporary horizontal bracing on horizontally supported walls.
- B. Place backfill on subgrades free of mud, frost, snow, or ice.

### 3.11 UTILITY TRENCH BACKFILL

- A. Place backfill on subgrades free of mud, frost, snow, or ice.
- B. Place and compact bedding course on trench bottoms and where indicated. Shape bedding course to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits.
- C. Trenches under Footings: Backfill trenches excavated under footings and within 18 inches of bottom of footings with satisfactory soil; fill with concrete to elevation of bottom of footings.
- D. Backfill voids with satisfactory soil while removing shoring and bracing.
- E. Controlled Low-Strength Material: Place initial backfill of controlled low-strength material to a height of 12 inches over the pipe or conduit. Coordinate backfilling with utilities testing.
- F. Place and compact final backfill of satisfactory soil to final subgrade elevation.
- G. Controlled Low-Strength Material: Place final backfill of controlled low-strength material to final subgrade elevation.

## 3.12 SOIL FILL

- A. Plow, scarify, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so fill material will bond with existing material.
- B. Place and compact fill material in layers to required elevations as follows:

- 1. Under grass and planted areas, use satisfactory soil material.
- 2. Under walks and pavements, use satisfactory soil material.
- 3. Under steps and ramps, use engineered fill.
- 4. Under building slabs, use engineered fill.
- 5. Under footings and foundations, use engineered fill.
- C. Place soil fill on subgrades free of mud, frost, snow, or ice.

## 3.13 SOIL MOISTURE CONTROL

- A. Uniformly moisten or aerate subgrade and each subsequent fill or backfill soil layer before compaction to within 2 percent of optimum moisture content.
  - 1. Do not place backfill or fill soil material on surfaces that are muddy, frozen, or contain frost or ice.
  - 2. Remove and replace, or scarify and air dry, otherwise satisfactory soil material that exceeds optimum moisture content by 2 percent and is too wet to compact to specified dry unit weight.

### 3.14 COMPACTION OF SOIL BACKFILLS AND FILLS

- A. Place backfill and fill soil materials in layers not more than 8 inchesin loose depth for material compacted by heavy compaction equipment, and not more than 4 inches in loose depth for material compacted by hand-operated tampers.
- B. Place backfill and fill soil materials evenly on all sides of structures to required elevations, and uniformly along the full length of each structure.
- C. Compact soil materials to not less than the following percentages of maximum dry unit weight according to ASTM D 1557:
  - 1. Under structures, building slabs, steps, and pavements, scarify and recompact top 12 inches of existing subgrade and each layer of backfill or fill soil material at 95 percent.
  - 2. Under walkways, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 92 percent.
  - 3. Under turf or unpaved areas, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 90 percent.
  - 4. For utility trenches, compact each layer of initial and final backfill soil material at 90 percent for non-traffic areas and 95% for traffic areas.

### 3.15 GRADING

- A. General: Uniformly grade areas to a smooth surface, free of irregular surface changes. Comply with compaction requirements and grade to cross sections, lines, and elevations indicated.
  - 1. Provide a smooth transition between adjacent existing grades and new grades.
  - 2. Cut out soft spots, fill low spots, and trim high spots to comply with required surface tolerances.
- B. Site Rough Grading: Slope grades to direct water away from buildings and to prevent ponding. Finish subgrades to required elevations within the following tolerances:
  - 1. Turf or Unpaved Areas: Plus or minus 1 inch.
  - 2. Walks: Plus or minus 1/2 inch.
  - 3. Pavements: Plus or minus 1/2 inch.
- C. Grading inside Building Lines: Finish subgrade to a tolerance of 1/2 inch when tested with a 10-foot straightedge.

## 3.16 SUBBASE AND BASE COURSES UNDER PAVEMENTS AND WALKS

- A. Place subbase course on subgrades free of mud, frost, snow, or ice.
- B. On prepared subgrade, place subbase course under pavements and walks as follows:
  - 1. Install separation geotextile on prepared subgrade according to manufacturer's written instructions, overlapping sides and ends, if required.
  - 2. Shape subbase course to required crown elevations and cross-slope grades.
  - 3. Place subbase course 6 inches or less in compacted thickness in a single layer.
  - 4. Place subbase course that exceeds 6 inches in compacted thickness in layers of equal thickness, with no compacted layer more than 6 inches thick or less than 3 inches thick.
  - 5. Compact subbase course at optimum moisture content to required grades, lines, cross sections, and thickness to not less than 95 percent of maximum dry unit weight according to ASTM D 1557.

## 3.17 DRAINAGE COURSE UNDER CONCRETE SLABS-ON-GRADE

- A. Place drainage course on subgrades free of mud, frost, snow, or ice.
- B. On prepared subgrade, place and compact drainage course under cast-in-place concrete slabs-on-grade as follows:
  - 1. Install subdrainage geotextile on prepared subgrade according to manufacturer's written instructions, overlapping sides and ends.
  - 2. Place drainage course 6 inches or less in compacted thickness in a single layer.
  - 3. Place drainage course that exceeds 6 inches in compacted thickness in layers of equal thickness, with no compacted layer more than 6 inches thick or less than 3 inches thick.
  - 4. Compact each layer of drainage course to required cross sections and thicknesses to not less than 95 percent of maximum dry unit weight according to ASTM D 698.

# 3.18 FIELD QUALITY CONTROL

- A. Special Inspections: Owner may engage a qualified special inspector to perform the following special inspections:
  - 1. Determine prior to placement of fill that site has been prepared in compliance with requirements.
  - 2. Determine that fill material and maximum lift thickness comply with requirements.
  - 3. Determine, at the required frequency, that in-place density of compacted fill complies with requirements.
- B. Testing Agency: Owner may engage a qualified geotechnical engineering testing agency to perform tests and inspections.
- C. Allow testing agency to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
- D. Footing Subgrade: At footing subgrades, at least one test of each soil stratum will be performed to verify design bearing capacities. Subsequent verification and approval of other footing subgrades may be based on a visual comparison of subgrade with tested subgrade when approved by Owner's Representative.
- E. Testing agency will test compaction of soils in place according to ASTM D 1556, ASTM D 2167, ASTM D 2922, and ASTM D 2937, as applicable. Tests will be performed at the following locations and frequencies:

- 1. Paved and Building Slab Areas: At subgrade and at each compacted fill and backfill layer, at least one test for every 2,500 square feet or less of paved area or building slab, but in no case fewer than three tests.
- 2. Foundation Wall Backfill: At each compacted backfill layer, at least one test for every 50 lineal feet or less of wall length, but no fewer than two tests.
- 3. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 50 lineal feet or less of trench length, but no fewer than two tests.
- F. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

# 3.19 PROTECTION

- A. Protecting Graded Areas: Protect newly graded areas from traffic, freezing, and erosion. Keep free of trash and debris.
- B. Repair and reestablish grades to specified tolerances where completed or partially completed surfaces become eroded, rutted, settled, or where they lose compaction due to subsequent construction operations or weather conditions.
  - 1. Scarify or remove and replace soil material to depth as directed by Owner's Representative; reshape and recompact.
- C. Where settling occurs before Project correction period elapses, remove finished surfacing, backfill with additional soil material, compact, and reconstruct surfacing.
  - 1. Restore appearance, quality, and condition of finished surfacing to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

# 3.20 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus satisfactory liquid, soil and waste materials, including dewatering liquids, silt, unsatisfactory soil, trash, and debris, and legally dispose of them off Owner's property.
- B. Removal and transport of hazardous materials shall be legally disposed of in accordance with New York State Disposal of Hazardous Waste Requirements.

END OF SECTION 312000

# SECTION 31 2323 - SOIL COMPACTION

### PART 1 - GENERAL

#### 1.1 **RELATED DOCUMENTS**

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this section.

#### 1.2 **DESCRIPTION OF WORK**

- A. Extent of soil compaction work includes, but is not limited to the following:
  - Requirements for soils and backfill materials consolidation and compaction under buildings, structures, pavement, trench backfill, or other bearing components of the project.
- It is the Contractor's responsibility to coordinate, schedule and manage the necessary geotechnical В. inspections required for the project.
- C. The Owner will pay for necessary geotechnical testing and inspection during project operations.

#### 1.3 **OUALITY ASSURANCE**

- Testing and inspection shall be performed by a qualified independent testing laboratory, under the A. supervision of a registered professional engineer specializing in soils engineering.
- The taking of samples and the performing of field compaction density tests and laboratory В. maximum density tests shall be done for the Contractor by an approved independent testing laboratory.
- C. Determine optimum moisture content of various soil and granular materials in accordance with ASTM D1557, Modified Proctor Tests.
- D. Provide on-site at least one person who shall supervise the soil compaction operations, and who shall be thoroughly familiar with the various types of compaction equipment, proper compacting techniques and methods, and soils behavior, and who shall direct the compaction operations.
- It is the responsibility of the Contractor to select, furnish and properly maintain equipment that will E. compact the fill uniformly to the required density.
- Compacted soils not meeting compaction densities shall be re-excavated, re-compacted, and re-F. tested until all requirements are met. All costs of testing shall be borne by the Contractor.

#### 1.4 **SUBMITTALS**

- The results of the laboratory maximum density tests, certified by the testing laboratory for the A. various soil and granular materials utilized on the job.
- All laboratory and field compaction test and re-test reports. В.

#### 1.5 **JOB CONDITIONS**

- Compaction shall not take place in freezing weather or when materials to be compacted are frozen, A. too wet or moist, or too dry.
- Schedule the work to allow ample time for laboratory tests and to permit the collecting of samples В. and the performing of field density tests during the backfilling operations.

- C. Protect pipes, structures, and all other subsurface work from displacement or injury during compaction operations.
- D. All operations under this section of the specifications will be subject to continuous inspection by the Owner's Representative and a soils testing laboratory. The Owner's Representative and the testing laboratory will determine and be the sole judge of the conformance of materials, workmanship, and compaction with the requirements of the Contract Documents.

#### **PART 2 - PRODUCTS**

## 2.1 COMPACTION

A. Utilize the proper compaction methods and equipment to suit the soils and conditions encountered.

## 2.2 LABORATORY TEST REPORTS

- A. As a minimum, the laboratory maximum density testing reports shall contain the following:
  - 1. Laboratory's name.
  - 2. Date, time, and specific location from which sample was taken and name of person who collected the sample.
  - 3. Moisture Density Curve plotted on graph paper to as large a scale as is practical with all points used to derive the curve being clearly visible.
  - 4. Designation of the test method used.
  - 5. The optimum density and moisture content.
  - 6. A description of the sample.
  - 7. The date the test was performed and the person who performed the test.
  - 8. The project name, identification, and contractor's name.
  - 9. The signature of a responsible officer of the testing laboratory certifying to the information contained in the report.
- B. As a minimum, the field compaction density testing reports shall contain the following:
  - 1. Date, time, depth, and specific location at which the test was made and the person's name who performed the test.
  - 2. Designation of the test method used.
  - 3. Designation of the material being tested.
  - 4. Test number.
  - 5. In-place dry density and moisture content.
  - 6. Optimum density and moisture content.
  - 7. Percentage of optimum density achieved.
  - 8. The signature of a responsible officer of the testing laboratory certifying to the information contained in the report.

## 2.3 OTHER MATERIALS

A. All other materials which are required to achieve adequate compaction shall be as selected by Contractor subject to approval of Owner's Representative.

### **PART 3 - EXECUTION**

### 3.1 INSPECTION

A. Review geotechnical report for any recommendations regarding compaction.

- B. Verify that layers of material are no thicker than the maximum thicknesses specified in other Sections.
- C. Verify that moisture content is nearly optimum.
- D. Do not begin compaction operations until conditions are satisfactory.

### 3.2 PERFORMANCE

- A. Compaction densities shown are percentages of the maximum density obtainable at optimum moisture content as determined by ASTM D1557; Method C.
- B. Uniformly spread each layer. Moisten or dry each layer of material to achieve optimum moisture content. Unless otherwise specified or directed by Owner's Representative, compact each layer of material to the following required densities:

Location	Percentage of Modified Proctor Test Density
Pipe, Pavement and Structure Backfill	95%
All other backfill areas.	90%

## 3.3 FIELD QUALITY CONTROL

- A. Perform a laboratory maximum density test for each type of soil proposed for use or encountered in the work. Determine optimum moisture content in accordance with ASTM D1557.
- B. Field density tests may be ordered by the Owner's Representative at his discretion and at a minimum in accordance with the following average frequencies:
  - 1. <u>General</u>: One test for each type of fill and at each change in material or supplier.
  - 2. <u>Foundations, Utility Trench and Footings</u>: One test for compacted fill material at intervals of approximately 50 lineal feet along bearing walls or trenches.
  - 3. <u>Under Structures and Slabs</u>: One test for every 2,500 square feet of compacted fill or backfill, but not less than two tests per lift.
  - 4. <u>Pavement and Mass Fill Areas</u>: One test per 5,000 square feet of fill or backfill but not less than two per lift.
- C. Field density and moisture testing shall conform to the requirements of ASTM D1556 (sand core) or D2922 and ASTM D3017 (nuclear density). Soils shall be described in accordance with ASTM D2488, Visual-Manual Procedure.
- D. If materials fail to meet its specified compaction, grading, etc., the Contractor shall remove, replace and retest the material until the specified parameters are achieved.
- E. The Contractor is responsible for any re-testing costs.

## 3.4 COORDINATION

A. Provide all assistance and cooperation during testing and coordinate operations to allow ample time for the required sampling and testing. It is the Contractor's responsibility to coordinate all testing required.

### SECTION 31 2500 – EROSION AND SEDIMENTATION CONTROL

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification sections, apply to work of this section.
- B. New York State Standards and Specifications for Erosion and Sediment Control

## 1.2 WORK OF THIS SECTION

- A. Work covered in this section includes the control of erosion, siltation, and sedimentation pursuant to Section 402 of the Clean Water Act. All costs associated with the temporary or permanent erosion control measures shall be included in the Contractor's bid.
- B. All Contractors and their subcontractors must agree to implement all applicable provisions of the Erosion Control and Sediment Plan(s).

## 1.3 **QUALITY ASSURANCE**

- A. Contractor shall comply with the Erosion and Sediment Control Plans prepared for the site. All workers responsible for site work activities shall be familiar with these Plans.
- B. Contractor shall designate one individual responsible for implementing and maintaining site-wide erosion and sediment control measures who shall be thoroughly familiar with the types of materials being installed and the best methods for their installation. This individual shall conduct daily inspections of erosion and sediment control measures.
- C. Clear only what is required for immediate construction activities. Disturbed areas of the site that will not be re-disturbed for 21 days or more must be stabilized by the 14<sup>th</sup> day following the last disturbance.
- D. Upstream storm water runoff should be diverted away from disturbed areas. Contractor shall provide and maintain temporary erosion and sediment control measures, such as berms, dikes, slope drains, silt stops, and sedimentation basins, until permanent drainage facilities and erosion control features have been completed and are operative.
- E. The limits of cleared areas shall be physically delineated to protect areas designated as undisturbed.
- F. Take every reasonable precaution and do whatever is necessary to avoid erosion and to prevent silting of rivers, streams, impoundments, and drainage ditches, swales or any off-site water body.
- G. Continue erosion control measures until the permanent measures have been sufficiently established and are capable of controlling erosion on their own.
- H. The control of dust, erosion and sediment originating from construction operations is considered a critical responsibility of the Contractor. The Owner's Representative will be the final judge of the adequacy of the Contractor's dust, erosion and sedimentation control. The Owner's Representative may suspend work until adequate dust, erosion and sedimentation control is attained. The Contractor shall bear the costs of repair work and restoration of damaged items.

#### PART 2 - PRODUCTS

# 2.1 MULCHING

- A. Hay and straw mulches shall be air-dried mowings (<15% moisture content) of acceptable herbaceous growth reasonably free from swamp grass, weeds, twigs, debris, and other deleterious material, and free from rot, mold, primary noxious weed seeds, and rough or woody materials. Mulches containing mature seed of species which would volunteer and be detrimental to the permanent seeding, or would result in overseeding, or would produce growth which is aesthetically unpleasing, are not permitted. Materials may be baled, however, loose or broken bales are not acceptable.
- B. Temporary Type Mulch Nets: Lightweight, extruded photodegradable netting, with approximate openings of 1½" x ¾", with manufacturer recommended staples or anchoring method.
- C. Wood fiber mulch with tackifier (Terra Tack). Apply wood fibers at the rate of 500 lbs./acre and tackifier at the rate of 40-45 gallons/acre.
- D. Hardwood Stakes: Stakes shall be new hardwood, 1½" x 1½ ", minimum 3 feet long.

# 2.2 MATTING/BLANKETS

- A. Jute Matting: Undyed and unbleached jute yarn woven into a uniform open, plain weave mesh, furnished in rolled strip, with 78 warp ends per yard width of cloth, 41 weft ends per linear yard, weighing approximately 0.9 pounds per square yard of fabric.
- B. Erosion Control Blanket: Blanket shall be machine-produced 100% biodegradable consisting of a 70% agricultural straw / 30% coconut fiber blend having a functional longevity of 18 months. Blanket shall covered top and bottom sides with 100% biodegradable woven natural organic fiber netting, with an approximate mesh of 0.5 x 1 inch. Blanket mesh and netting shall be sewn together on 1.5-inch centers.
- C. Staples: As specified by the manufacturer of the blanket/matting, constituting a complete system.

# 2.3 SEED AND SOD FOR EROSION CONTROL

- A. For temporary seeding in spring, summer or early fall, seed the area with ryegrass, (annual or perennial) or approved equal at 30 lbs per acre. For temporary seeding in late fall or early winter, seed the area with Certified winter rye (cereal rye) or approved equal at 100 lbs per acre. Mulch area with hay or straw at 2 tons per acre. Mulch anchoring may be required where wind or areas of concentrated water are a concern.
- B. For permanent seeding on slopes, provide a seed according to the following or as shown on the Contract Drawings
  - 1. Erosion control areas are to be seeded at a rate of: 50 pounds per acre with a mix consisting of 70% Ernst Best Strip Mine Mix (ERNMX-101) and 30% Ernst Shaded Roadside Mix (ERNMX-140), as supplied by Ernst Conservation Seeds, or as approved by Owner.

## 2.4 SILT FENCES

A. Prefabricated silt fencing with UV-stabilized geotextile fabric, with hardwood or steel posts, mesh reinforced backing and appropriate fasteners. Fabric shall be 48" minimum width.

### 2.5 STABILIZED CONSTRUCTION ENTRANCE

A. Material shall be clean, sound, crushed stone of uniform quality.

B. Geotextile filter cloth (Mirafi 500X, or equal) designed for heavy-duty haul road use.

# 2.6 TEMPORARY STRUCTURAL MEASURES

- A. Temporary structural measures for erosion control include, but are not limited to, earth dikes, temporary swales, perimeter swales, sediment traps, and sediment basins.
- B. Each measure shall be designed in accordance with New York State Guidelines for Urban Erosion and Sediment Control. Materials and construction measures shall be consistent with these measures.

## PART 3 - EXECUTION

#### 3.1 HAY AND STRAW MULCHING

- A. Install hay or straw mulch immediately after each area has been properly prepared. Place at a rate of 2 tons per acre (approximately 100 to 120 bales per acre). Mulching shall be applied to a uniform thickness of 2 to 3 inches (loose, uncompacted) by hand or broadcast. No clumping, matting, bale fragments, or excessive thickness shall be permitted. The intent is to allow 20% to 40% of the ground surface to be seen in a uniform coverage.
- B. Place mulch on seeded areas within 24 hours after seeding.
- C. Where winds may blow the mulch, or when ground slopes exceed 10%, or when otherwise required to maintain the mulch firmly in place. Apply temporary netting, chemical bonding, or other anchoring devices, or use mechanical crimping, punching or rolling, to anchor the mulch. Unless otherwise directed, remove netting or other acceptable anchoring system prior to the acceptance of the work.

## 3.2 MATTING/BLANKETS - GENERAL (if required)

- A. Prepare surfaces of ditches and slopes to conform to the grades, contours and cross sections as shown on the Drawings and finish to a smooth and even condition with all debris, roots, stone, and lumps raked out and removed. Loosen the soil surface to permit bedding of the matting. Unless otherwise noted, seed prior to the placement of the matting.
- B. Unroll matting parallel to the direction of flow of water and loosely drape, without folds or stretching, so that continuous ground contact is maintained.
- C. The ditches and swales, and on slopes, each upslope and each downslope end of each piece of matting shall be placed in a 6" trench, stapled at 12" on center, backfilled, and tamped. Similarly, bury edges of matting along the edges of catch basins and other structures. Owner's Representative may require that any other edge, exposed to more than normal flow of water, be buried in a similar fashion.
- D. Tightly secure matting to the soil by staples driven approximately vertically into the ground, flush with the surface of the matting. In driving the staples, take care not to form depressions or bulges in the surface of the matting.
- E. Decrease the specified spacing of staples when varying factors, such as the season of the year or the amount of water encountered or anticipated, requires additional anchoring.

## 3.3 SEED FOR EROSION CONTROL

A. Sow seed when soils are moderately dry and when wind does not exceed five miles per hour or as directed by the Owner's Representative.

B. Areas that will be regraded or otherwise disturbed later during construction may be seeded as directed by the Owner's Representative to obtain temporary control.

## 3.4 SILT FENCES

- A. Provide silt fences, as required, for the temporary control of erosion and to stop silt and sediment from reaching surface waters, adjacent properties, or entering catch basins, or damaging the work.
- B. Erect silt fences and bury bottom edge in accordance with the manufacturer's recommended installation instructions. Provide a sufficient length of fence to accommodate runoff without causing any flooding and to adequately store any silt, sediment, and debris reaching it. Place silt fences along contours so that low areas are minimized.
- C. Maintain and leave silt fences in place until permanent erosion control measures have been established.

## 3.5 STABILIZED CONSTRUCTION ENTRANCES

- A. Stabilized pads of aggregate underlain with filter cloth shall be constructed as shown on the Contract Drawings.
- B. Geotextile fabric shall be placed over the entire area to be covered with aggregate prior to placing of the stone.

### 3.6 TEMPORARY STRUCTURAL MEASURES

- A. Temporary structural measures shall be maintained throughout the duration of the contract or until the drainage area has been properly stabilized as approved by the Owner's Representative.
- B. Temporary sediment traps must provide at least 3,600 cubic feet of storage for every acre of drainage area.
- C. Sediment shall be removed and trap restored to its original dimensions when sediment has accumulated to 1/2 the design depth of the trap.
- D. Removed sediment shall be properly disposed of.
- E. Inspect all erosion control measures following each rainfall event exceeding ½ inch in a 24-hour period. Correct all damage immediately.

## 3.7 MAINTENANCE

- A. If any staples become loosened or raised, or if any matting becomes loose, torn, or undermined, or if any temporary erosion and sediment control measures are disturbed, repair them immediately.
- B. If the seed is washed out before germination, repair any damage, refertilize, and reseed.
- C. Maintain mulched and matted areas, silt stops, and other temporary control measures until the permanent control measures are established and no further erosion is likely.
- D. All sediment spilled, dropped, or washed onto the driveway or public right-of-way shall be removed immediately.
- E. Maintain ditches and swales at all times so that they effectively drain. Refill, reshape, and recompact where ruts or erosion occurs.
- F. Maintain areas temporarily seeded including repair of all damages, re-seeding, and refertilizing.
- G. Take special precautions in the use of construction equipment to minimize erosion. Do not leave wheel tracks where erosion might begin. Prevent direct discharge from dewatering pumps and

surface runoff from the construction sites to storm sewers, culverts, streams or ditches. Intercept and conduct surface runoff and discharge from dewatering pumps to siltation ponds before discharging to natural drainage channels.

END OF SECTION 31 2500

#### SECTION 315000 - EXCAVATION SUPPORT AND PROTECTION

### PART 1 - GENERAL

#### 1.1 **SUMMARY**

A. Section includes temporary excavation support and protection systems.

#### **ACTION SUBMITTALS** 1.2

- A. Product Data: For each type of product.
  - Include construction details, material descriptions, performance properties, and dimensions of individual components and profiles, and calculations for excavation support and protection system.

#### FIELD CONDITIONS 1.3

- A. Interruption of Existing Utilities: Do not interrupt any utility serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility according to requirements indicated:
  - Notify Owner's representative no fewer than two days in advance of proposed 1. interruption of utility.
  - Do not proceed with interruption of utility without Owner's written permission. 2.
- В. Survey Work: Where necessary to complete the work, engage a qualified land surveyor or professional engineer to survey adjacent existing buildings, structures, and site improvements; establish exact elevations at fixed points to act as benchmarks. Clearly identify benchmarks and record existing elevations.

## **PART 2 - PRODUCTS**

#### PERFORMANCE REQUIREMENTS 2.1

- Provide, monitor, and maintain excavation support and protection system capable of supporting A. excavation sidewalls and of resisting earth and hydrostatic pressures and superimposed and construction loads.
  - Contractor Design: Design excavation support and protection system, including 1. comprehensive engineering analysis by a qualified professional engineer.
  - Prevent surface water from entering excavations by grading, dikes, or other means. 2.
  - 3. Install excavation support and protection systems without damaging existing buildings, structures, and site improvements adjacent to excavation.
  - Continuously monitor vibrations, settlements, and movements to ensure stability of 4. excavations and constructed slopes and to ensure that damage to permanent structures is prevented.

#### 2.2 **MATERIALS**

- A. General: Provide materials that are either new or in serviceable condition.
- Structural Steel: ASTM A 36/A 36M, ASTM A 690/A 690M, or ASTM A 992/A 992M. В.
- C. Steel Sheet Piling: ASTM A 328/A 328M, ASTM A 572/A 572M, or ASTM A 690/A 690M; with continuous interlocks.

- 1. Corners: Site-fabricated mechanical interlock or roll-formed corner shape with continuous interlock as available.
- D. Wood Lagging: Lumber, mixed hardwood, nominal rough thickness of size and strength required for application.
- E. Cast-in-Place Concrete: ACI 301, of compressive strength required for application.
- F. Reinforcing Bars: ASTM A 615/A 615M, Grade 60, deformed.
- G. Tiebacks: Steel bars, ASTM A 722/A 722M.
- H. Tiebacks: Steel strand, ASTM A 416/A 416M.

### **PART 3 - EXECUTION**

## 3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards that could develop during excavation support and protection system operations.
  - 1. Shore, support, and protect utilities encountered.
- B. Install excavation support and protection systems to ensure minimum interference with roads, streets, walks, and other adjacent occupied and used facilities.
  - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction. Provide alternate routes around closed or obstructed traffic ways if required by authorities having jurisdiction.
- C. Locate excavation support and protection systems clear of permanent construction so that construction and finishing of other work is not impeded.

## 3.2 SOLDIER PILES AND LAGGING

- A. Install steel soldier piles before starting excavation. Extend soldier piles below excavation grade level to depths adequate to prevent lateral movement. Space soldier piles at regular intervals not to exceed allowable flexural strength of wood lagging. Accurately align exposed faces of flanges to vary not more than 2 inches from a horizontal line and not more than 1:120 out of vertical alignment.
- B. Install wood lagging within flanges of soldier piles as excavation proceeds. Trim excavation as required to install lagging. Fill voids behind lagging with soil, and compact.
- C. Install wales horizontally at locations indicated on Drawings and secure to soldier piles.

# 3.3 SHEET PILING

- A. Before starting excavation, install one-piece sheet piling lengths and tightly interlock vertical edges to form a continuous barrier.
- B. Accurately place the piling, using templates and guide frames unless otherwise recommended in writing by the sheet piling manufacturer. Limit vertical offset of adjacent sheet piling to 60 inches. Accurately align exposed faces of sheet piling to vary not more than 2 inches from a horizontal line and not more than 1:120 out of vertical alignment.
- C. Cut tops of sheet piling to uniform elevation at top of excavation.

#### 3.4 **TIEBACKS**

- Drill, install, grout, and tension tiebacks. A.
- B. Test load-carrying capacity of each tieback and replace and retest deficient tiebacks.
  - Have test loading observed by a qualified professional engineer responsible for design of excavation support and protection system.
- C. Maintain tiebacks in place until permanent construction is able to withstand lateral earth and hydrostatic pressures.

#### **BRACING** 3.5

- A. Bracing: Locate bracing to clear columns, floor framing construction, and other permanent work. If necessary to move brace, install new bracing before removing original brace.
  - Do not place bracing where it will be cast into or included in permanent concrete work 1. unless otherwise approved by Architect.
  - 2. Install internal bracing if required to prevent spreading or distortion of braced frames.
  - Maintain bracing until structural elements are supported by other bracing or until 3. permanent construction is able to withstand lateral earth and hydrostatic pressures.

#### 3.6 FIELD QUALITY CONTROL

- Survey-Work Benchmarks: Resurvey benchmarks regularly during installation of excavation A. support and protection systems, excavation progress, and for as long as excavation remains open. Maintain an accurate log of surveyed elevations and positions for comparison with original elevations and positions. Promptly notify Architect if changes in elevations or positions occur or if cracks, sags, or other damage is evident in adjacent construction.
- Promptly correct detected bulges, breakage, or other evidence of movement to ensure that B. excavation support and protection system remains stable.
- C. Promptly repair damages to adjacent facilities caused by installation or faulty performance of excavation support and protection systems.

#### REMOVAL AND REPAIRS 3.7

- A. Remove excavation support and protection systems when construction has progressed sufficiently to support excavation and earth and hydrostatic pressures. Remove in stages to avoid disturbing underlying soils and rock or damaging structures, pavements, facilities, and utilities.
  - Remove excavation support and protection systems to a minimum depth of 48 inches 1. below overlying construction and abandon remainder.
  - 2. Fill voids immediately with approved backfill compacted to density specified in Section 312000 "Earth Moving."
  - Repair or replace, as approved by Architect, adjacent work damaged or displaced by 3. removing excavation support and protection systems.
- B. Leave excavation support and protection systems permanently in place, as required or necessary.

END OF SECTION 315000

#### SECTION 321216 - ASPHALT PAVING

### PART 1 - GENERAL

## 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Hot-mix asphalt patching.
  - 2. Hot-mix asphalt paving.
  - 3. Hot-mix asphalt overlay.

### 1.2 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Review requirements for protecting paving work, including restriction of traffic during installation period and for remainder of construction period.

# 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include technical data and tested physical and performance properties.
  - 2. Job-Mix Designs: Certification, by authorities having jurisdiction, of approval of each job mix proposed for the Work.
  - 3. Job-Mix Designs: For each job mix proposed for the Work.

## 1.4 INFORMATIONAL SUBMITTALS

A. Material Test Reports: For each paving material, by a qualified testing agency.

## 1.5 FIELD CONDITIONS

- A. Environmental Limitations: Do not apply asphalt materials if subgrade is wet or excessively damp, if rain is imminent or expected before time required for adequate cure, or if the following conditions are not met:
  - 1. Asphalt Base Course: Minimum surface temperature of 40 deg F and rising at time of placement.
  - 2. Asphalt Surface Course: Minimum surface temperature of 50 deg F at time of placement.

#### **PART 2 - PRODUCTS**

## 2.1 AGGREGATES

- A. General: Use materials and gradations that have performed satisfactorily in previous installations.
- B. Coarse Aggregate: Sound; angular crushed stone, crushed gravel, or cured, crushed blast-furnace slag meeting the NYSDOT requirements for Coarse Aggregate 703-02.
- C. Fine Aggregate: Sharp-edged natural sand or sand prepared from stone, gravel, cured blast-furnace slag, or combinations thereof meeting the NYSDOT requirements for Fine Aggregate 703-01.
  - 1. For hot-mix asphalt, limit natural sand to a maximum of 20 percent by weight of the total aggregate mass.
- D. Mineral Filler: ASTM D 242/D 242M, rock or slag dust, hydraulic cement, or other inert material.

## 2.2 ASPHALT MATERIALS

- A. Asphalt Binder: NYSDOT Performance Grade Binder designation PG 64S-22.
- B. Asphalt Cement: Meeting the requirements of NYSDOT Table 702-2.
- C. Tack Coat: Meeting the requirements of NYSDOT Table 702-5 cationic emulsified asphalt, slow setting, diluted in water, of suitable grade and consistency for application.
- D. Water: Potable.

### 2.3 AUXILIARY MATERIALS

- A. Paving Geotextile: Where specified on Contract Drawings. AASHTO M 288 paving fabric; nonwoven polypropylene; resistant to chemical attack, rot, and mildew; and specifically designed for paving applications.
- B. Joint Sealant: ASTM D 6690, Type II, hot-applied, single-component, polymer-modified bituminous sealant on NYSDOT-Approved List.

### 2.4 MIXES

- A. Hot-Mix Asphalt: Dense-graded, hot-laid, hot-mix asphalt plant mixes with NYSDOT mix requirements and complying with the following requirements:
  - 1. Provide mixes with a history of satisfactory performance in geographical area where Project is located.
  - 2. Base Course: NYSDOT 402.377903.
  - 3. Binder Course: NYSDOT 402.257903. NYSDOT 402.197903 may be required should pavements be left open to traffic over a Winter season. Coordinate final selection with Owner's Representative.
  - 4. Top Course: NYSDOT 402.097303.
- B. Emulsified-Asphalt Slurry: ASTM D 3910, Type 1.

### **PART 3 - EXECUTION**

### 3.1 EXAMINATION

- A. Verify that subgrade is dry and in suitable condition to begin paving.
- B. Proceed with paving only after unsatisfactory conditions have been corrected.

## 3.2 PREPARATION

- A. Protection: Provide protective materials, procedures, and worker training to prevent asphalt materials from spilling, coating, or building up on curbs, driveway aprons, manholes, and other surfaces adjacent to the Work.
- B. Proof-roll subgrade below pavements with heavy pneumatic-tired equipment to identify soft pockets and areas of excess yielding. Do not proof-roll wet or saturated subgrades.
  - 1. Completely proof-roll subgrade in one direction, repeating proof-rolling in direction perpendicular to first direction. Limit vehicle speed to 3 mph.
  - 2. Proof roll with a loaded 10-wheel, tandem-axle dump truck weighing not less than 15 tons.
  - 3. Excavate soft spots, unsatisfactory soils, and areas of excessive pumping or rutting, as determined by Architect, and replace with compacted backfill or fill as directed.

- A. Clean existing pavement surface of loose and deleterious material immediately before cold milling. Remove existing asphalt pavement by cold milling to grades and cross sections indicated.
  - 1. Mill to a depth as indicated on the Contract Documents.
  - 2. Mill to a uniform finished surface free of excessive gouges, grooves, and ridges.
  - 3. Control rate of milling to prevent tearing of existing asphalt course.
  - 4. Repair or replace curbs, driveway aprons, manholes, and other construction damaged during cold milling.
  - 5. Excavate and trim unbound-aggregate base course, if encountered, and keep material separate from milled hot-mix asphalt.
  - 6. Patch surface depressions deeper than 1 inch after milling, before wearing course is laid.
  - 7. Unless otherwise specified in the Contract Documents, remove and legally dispose of milling from the site.
  - 8. Keep milled pavement surface free of loose material and dust.
  - 9. Do not allow milled materials to accumulate on-site.

### 3.4 PATCHING

- A. Asphalt Pavement: Saw cut perimeter of patch and excavate existing pavement section to sound base. Excavate rectangular or trapezoidal patches, extending 12 inches into perimeter of adjacent sound pavement, unless otherwise indicated. Cut excavation faces vertically. Remove excavated material. Recompact existing unbound-aggregate base course to form new subgrade.
- B. Portland Cement Concrete Pavement: Break cracked slabs and roll as required to reseat concrete pieces firmly.
  - 1. Undersealing: Pump hot undersealing asphalt under rocking slab until slab is stabilized or, if necessary, crack slab into pieces and roll to reseat pieces firmly.
  - 2. Remove disintegrated or badly cracked pavement. Excavate rectangular or trapezoidal patches, extending into perimeter of adjacent sound pavement, unless otherwise indicated. Cut excavation faces vertically. Recompact existing unbound-aggregate base course to form new subgrade.
- C. Tack Coat: Before placing patch material, apply tack coat uniformly to vertical asphalt surfaces abutting the patch. Apply at a rate of 0.05 to 0.15 gal./sq. yd..
  - 1. Allow tack coat to cure undisturbed before applying hot-mix asphalt paving.
  - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.
- D. Placing Patch Material: Fill excavated pavement areas with hot-mix asphalt base mix for full thickness of patch and, while still hot, compact flush with adjacent surface.

# 3.5 REPAIRS

- A. Leveling Course: Install and compact leveling course consisting of hot-mix asphalt surface course to level sags and fill depressions deeper than 1 inch in existing pavements.
  - 1. Install leveling wedges in compacted lifts not exceeding 3 inches thick.
- B. Crack and Joint Filling: Remove existing joint filler material from cracks or joints to a depth of 1/4 inch.
  - 1. Clean cracks and joints in existing hot-mix asphalt pavement.
  - 2. Use emulsified-asphalt slurry to seal cracks and joints less than 1/4 inch wide. Fill flush with surface of existing pavement and remove excess.

3. Use hot-applied joint sealant to seal cracks and joints more than 1/4 inch wide. Fill flush with surface of existing pavement and remove excess.

## 3.6 SURFACE PREPARATION

- A. Immediately before placing asphalt materials, remove loose and deleterious material from substrate surfaces. Ensure that prepared subgrade is ready to receive paving.
- B. Tack Coat: Apply uniformly to surfaces of existing pavement at a rate of 0.05 to 0.15 gal./sq. yd..
  - 1. Allow tack coat to cure undisturbed before applying hot-mix asphalt paving.
  - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.

# 3.7 PAVING GEOTEXTILE INSTALLATION (IF REQUIRED)

- A. Apply tack coat uniformly to existing pavement surfaces at a rate of 0.20 to 0.30 gal./sq. yd..
- B. Place paving geotextile promptly according to manufacturer's written instructions. Broom or roll geotextile smooth and free of wrinkles and folds. Overlap longitudinal joints 4 inches and transverse joints 6 inches.
- C. Protect paving geotextile from traffic and other damage, and place hot-mix asphalt overlay the same day.

### 3.8 PLACING HOT-MIX ASPHALT

- A. Machine place hot-mix asphalt on prepared surface, spread uniformly, and strike off. Place asphalt mix by hand in areas inaccessible to equipment in a manner that prevents segregation of mix. Place each course to required grade, cross section, and thickness when compacted.
  - 1. Place hot-mix asphalt base and binder course in single lifts.
  - 2. Place hot-mix asphalt surface course in single lift.
  - 3. Spread mix at a minimum temperature of 250 deg F.
  - 4. Begin applying mix along centerline of crown for crowned sections and on high side of one-way slopes unless otherwise indicated.
  - 5. Regulate paver machine speed to obtain smooth, continuous surface free of pulls and tears in asphalt-paving mat.
- B. Place paving in consecutive strips not less than 10 feet wide unless infill edge strips of a lesser width are required.
  - 1. After first strip has been placed and rolled, place succeeding strips and extend rolling to overlap previous strips. Overlap mix placement about 1 to 1-1/2 inches from strip to ensure proper compaction of mix along longitudinal joints.
  - 2. Complete a section of asphalt base course before placing asphalt surface course.
- C. Promptly correct surface irregularities in paving course behind paver. Use suitable hand tools to remove excess material forming high spots. Fill depressions with hot-mix asphalt to prevent segregation of mix; use suitable hand tools to smooth surface.

# 3.9 JOINTS

- A. Construct joints to ensure a continuous bond between adjoining paving sections. Construct joints free of depressions, with same texture and smoothness as other sections of hot-mix asphalt course.
  - 1. Clean contact surfaces and apply tack coat to joints.
  - 2. Offset longitudinal joints, in successive courses, a minimum of 6 inches.
  - 3. Offset transverse joints, in successive courses, a minimum of 24 inches.

- 4. Construct transverse joints at each point where paver ends a day's work and resumes work at a subsequent time.
- 5. Compact joints as soon as hot-mix asphalt will bear roller weight without excessive displacement.
- 6. Compact asphalt at joints to a density within 2 percent of specified course density.

## 3.10 COMPACTION

- A. General: Begin compaction as soon as placed hot-mix paving will bear roller weight without excessive displacement. Compact hot-mix paving with hot, hand tampers or with vibratory-plate compactors in areas inaccessible to rollers.
  - 1. Complete compaction before mix temperature cools to 185 deg F.
- B. Breakdown Rolling: Complete breakdown or initial rolling immediately after rolling joints and outside edge. Examine surface immediately after breakdown rolling for indicated crown, grade, and smoothness. Correct laydown and rolling operations to comply with requirements.
- C. Intermediate Rolling: Begin intermediate rolling immediately after breakdown rolling while hot-mix asphalt is still hot enough to achieve specified density. Continue rolling until hot-mix asphalt course has been uniformly compacted to the following density:
  - 1. Average Density: 96 percent of reference laboratory density according to ASTM D 6927, but not less than 94 percent or greater than 100 percent.
- D. Finish Rolling: Finish roll paved surfaces to remove roller marks while hot-mix asphalt is still warm.
- E. Edge Shaping: While surface is being compacted and finished, trim edges of pavement to proper alignment. Bevel edges while asphalt is still hot; compact thoroughly.
- F. Repairs: Remove paved areas that are defective or contaminated with foreign materials and replace with fresh, hot-mix asphalt. Compact by rolling to specified density and surface smoothness.
- G. Protection: After final rolling, do not permit vehicular traffic on pavement until it has cooled and hardened.
- H. Erect barricades to protect paving from traffic until mixture has cooled enough not to become marked.

## 3.11 ASPHALT CURBS (IF REQUIRED)

- A. Construct hot-mix asphalt curbs over compacted pavement surfaces. Apply a light tack coat unless pavement surface is still tacky and free from dust. Spread mix at a minimum temperature of 250 deg F.
  - 1. Asphalt Mix: Same as pavement surface-course mix.
- B. Place hot-mix asphalt to curb cross section indicated or, if not indicated, to local standard shapes, by machine or by hand in wood or metal forms. Tamp hand-placed materials and screed to smooth finish. Remove forms after hot-mix asphalt has cooled.

# 3.12 ASPHALT TRAFFIC-CALMING DEVICES (IF REQUIRED)

- A. Construct hot-mix asphalt speed humps over compacted pavement surfaces. Apply a tack coat unless pavement surface is still tacky and free from dust. Spread mix at a minimum temperature of 250 deg F.
  - 1. Tack Coat Application: Apply uniformly to surfaces of existing pavement at a rate of 0.05 to 0.15 gal./sq. yd..

- 2. Asphalt Mix: Same as pavement surface-course mix.
- 3. Before installation, mill pavement that will be in contact with bottom of traffic-calming device. Mill to a depth of 1 inch from top of pavement to a clean, rough profile.
- B. Place and compact hot-mix asphalt to cross section indicated, by machine or by hand in wood or metal forms. Tamp hand-placed materials and screed to smooth finish. Remove forms after hot-mix asphalt has cooled.

## 3.13 INSTALLATION TOLERANCES

- A. Pavement Thickness: Compact each course to produce the thickness indicated within the following tolerances:
  - 1. Base Course: Plus or minus 1/2 inch.
  - 2. Surface Course: Plus 1/4 inch, no minus.
- B. Pavement Surface Smoothness: Compact each course to produce a surface smoothness within the following tolerances as determined by using a 10-foot straightedge applied transversely or longitudinally to paved areas:
  - 1. Base Course: 1/4 inch.
  - 2. Surface Course: 1/8 inch.
  - 3. Crowned Surfaces: Test with crowned template centered and at right angle to crown. Maximum allowable variance from template is 1/4 inch.
- C. Asphalt Traffic-Calming Devices: Compact and form asphalt to produce the contour indicated and within a tolerance of plus or minus 1/8 inch of height indicated above pavement surface.

## 3.14 SURFACE TREATMENTS (IF REQURIED)

- A. Fog Seals: Apply fog seal at a rate of 0.10 to 0.15 gal./sq. yd. to existing asphalt pavement and allow to cure. With fine sand, lightly dust areas receiving excess fog seal.
- B. Slurry Seals: Apply slurry coat in a uniform thickness according to ASTM D 3910 and allow to cure.
  - 1. Roll slurry seal to remove ridges and provide a uniform, smooth surface.

# 3.15 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified testing agency to perform tests and inspections.
- B. Thickness: In-place compacted thickness of hot-mix asphalt courses will be determined according to ASTM D 3549/D 3549M.
- C. Surface Smoothness: Finished surface of each hot-mix asphalt course will be tested for compliance with smoothness tolerances.
- D. Asphalt Traffic-Calming Devices: Finished height of traffic-calming devices above pavement will be measured for compliance with tolerances.
- E. In-Place Density: Testing agency will take samples of uncompacted paving mixtures and compacted pavement according to ASTM D 979/D 979M.
  - 1. Reference maximum theoretical density will be determined by averaging results from four samples of hot-mix asphalt-paving mixture delivered daily to site, prepared according to ASTM D 2041/D 2041M, and compacted according to job-mix specifications.
  - 2. In-place density of compacted pavement will be determined by testing core samples according to ASTM D 1188 or ASTM D 2726/D 2726M.

- 321216 7
- a. Field density of in-place compacted pavement may also be determined by nuclear method according to ASTM D 2950 and correlated with ASTM D 1188 or ASTM D 2726/D 2726M.
- F. Replace and compact hot-mix asphalt where core tests were taken, if any.
- G. Remove and replace or install additional hot-mix asphalt where test results or measurements indicate that it does not comply with specified requirements.

END OF SECTION 321216

### **SECTION 321313 - CONCRETE PAVING**

### PART 1 - GENERAL

## 1.1 SUMMARY

- A. Section Includes Concrete Paving Including the Following:
  - 1. Walks.

## 1.2 **DEFINITIONS**

- A. Cementitious Materials: Portland cement alone or in combination with one or more of blended hydraulic cement, fly ash, slag cement, and other pozzolans.
- B. W/C Ratio: The ratio by weight of water to cementitious materials.

## 1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at one of the installation sites.
  - 1. Review methods and procedures related to concrete paving, including but not limited to, the following:
    - a. Concrete mixture design.
    - b. Quality control of concrete materials and concrete paving construction practices.

## 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples for Initial Selection: For each type of product, ingredient, or admixture requiring color selection.
- C. Samples for Verification: For each type of product or exposed finish, prepared as Samples of size indicated below:
  - 1. Exposed Aggregate: 10-lb Sample of each mix.
- D. Design Mixtures: For each concrete paving mixture. Include alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified ready-mix concrete manufacturer and testing agency.
- B. Material Certificates: For the following, from manufacturer:
  - 1. Cementitious materials.
  - 2. Steel reinforcement and reinforcement accessories.
  - 3. Admixtures.
  - 4. Curing compounds.
  - 5. Applied finish materials.
  - 6. Bonding agent or epoxy adhesive.
  - 7. Joint fillers.
- C. Material Test Reports: For each of the following:
  - 1. Aggregates: Include service-record data indicating absence of deleterious expansion of concrete due to alkali-aggregate reactivity.
- D. Field quality-control reports.

## 1.6 QUALITY ASSURANCE

- A. Ready-Mix-Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C 94/C 94M requirements for production facilities and equipment.
- B. Testing Agency Qualifications: Qualified according to ASTM C 1077 and ASTM E 329 for testing indicated.
  - 1. Personnel conducting field tests shall be qualified as ACI Concrete Field Testing Technician, Grade 1, according to ACI CP-1 or an equivalent certification program.
- C. Mockups: Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
  - 1. Build full size barrier mockup including concrete paving and curbing to demonstrate typical joints; surface finish, texture, and color; curing; and standard of workmanship.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

### 1.7 PRECONSTRUCTION TESTING

A. Preconstruction Testing Service: Engage a qualified independent testing agency to perform preconstruction testing on concrete paving mixtures.

## 1.8 FIELD CONDITIONS

- A. Traffic Control: Maintain access for vehicular and pedestrian traffic as required for other construction activities.
- B. Cold-Weather Concrete Placement: Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing, or low temperatures. Comply with ACI 306.1 and the following:
  - 1. When air temperature has fallen to or is expected to fall below 40 deg F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 deg F and not more than 80 deg F at point of placement.
  - 2. Do not use frozen materials or materials containing ice or snow.
  - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in design mixtures.
- C. Hot-Weather Concrete Placement: Comply with ACI 301 and as follows when hot-weather conditions exist:
  - 1. Cool ingredients before mixing to maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated in total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  - 2. Cover steel reinforcement with water-soaked burlap, so steel temperature will not exceed ambient air temperature immediately before embedding in concrete.
  - 3. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade moisture uniform without standing water, soft spots, or dry areas.

## 321313 - 3

### PART 2 - PRODUCTS

# 2.1 CONCRETE, GENERAL

A. ACI Publications: Comply with ACI 301 unless otherwise indicated.

## 2.2 FORMS

- A. Form Materials: Plywood, metal, metal-framed plywood, or other approved panel-type materials to provide full-depth, continuous, straight, and smooth exposed surfaces.
  - 1. Use flexible or uniformly curved forms for curves with a radius of 100 feet or less. Do not use notched and bent forms.
- B. Form-Release Agent: Commercially formulated form-release agent that will not bond with, stain, or adversely affect concrete surfaces and that will not impair subsequent treatments of concrete surfaces.

## 2.3 STEEL REINFORCEMENT

- A. Plain-Steel Welded-Wire Reinforcement: ASTM A 1064/A 1064M, fabricated from galvanized-steel wire into flat sheets.
- B. Deformed-Steel Welded-Wire Reinforcement: ASTM A 1064/A 1064M, flat sheet.
- C. Reinforcing Bars: ASTM A 615/A 615M, Grade 60; deformed.
- D. Steel Bar Mats: ASTM A 184/A 184M; with ASTM A 615/A 615M, Grade 60 deformed bars; assembled with clips.
- E. Deformed-Steel Wire: ASTM A 1064/A 1064M.
- F. Tie Bars: ASTM A 615/A 615M, Grade 60; deformed.
- G. Hook Bolts: ASTM A 307, Grade A, internally and externally threaded. Design hook-bolt joint assembly to hold coupling against paving form and in position during concreting operations, and to permit removal without damage to concrete or hook bolt.
- H. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars, welded-wire reinforcement, and dowels in place. Manufacture bar supports according to CRSI's "Manual of Standard Practice" from steel wire, plastic, or precast concrete of greater compressive strength than concrete specified, and as follows:
  - 1. Equip wire bar supports with sand plates or horizontal runners where base material will not support chair legs.
  - 2. For epoxy-coated reinforcement, use epoxy-coated or other dielectric-polymer-coated wire bar supports.
- I. Tie Wire: 16 gauge annealed type.

## 2.4 CONCRETE MATERIALS

- A. Cementitious Materials: Use the following cementitious materials, of same type, brand, and source throughout Project:
  - 1. Portland Cement: ASTM C 150/C 150M, portland cement Type I or Type II.
  - 2. Fly Ash: ASTM C 618, Class F.
- B. Normal-Weight Aggregates: ASTM C 33/C 33M, Class 4S, uniformly graded. Provide aggregates from a single source with documented service-record data of at least 10 years' satisfactory service

in similar paving applications and service conditions using similar aggregates and cementitious materials.

- 1. Maximum Coarse-Aggregate Size: 1-inch nominal.
- 2. Percentage passing No. 200 sieve shall be less than 0.7%.
- 3. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.
  - Percentage passing No. 200 sieve shall be less than 3%.
- C. Air-Entraining Admixture: ASTM C 260/C 260M.
- D. Chemical Admixtures: Admixtures certified by manufacturer to be compatible with other admixtures and to contain not more than 0.1 percent water-soluble chloride ions by mass of cementitious material.
  - 1. Water-Reducing Admixture: ASTM C 494/C 494M, Type A.
  - 2. Retarding Admixture: ASTM C 494/C 494M, Type B.
  - 3. Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type D.
  - 4. High-Range, Water-Reducing Admixture: ASTM C 494/C 494M, Type F.
  - 5. High-Range, Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type G.
  - 6. Plasticizing and Retarding Admixture: ASTM C 1017/C 1017M, Type II.
- E. Water: Potable and complying with ASTM C 94/C 94M.

### 2.5 CURING MATERIALS

- A. Moisture-Retaining Cover: ASTM C 171, polyethylene film or white burlap-polyethylene sheet.
- B. Water: Potable.

## 2.6 RELATED MATERIALS

- A. Joint Fillers: ASTM D 1751, asphalt-saturated cellulosic fiber or ASTM D 1752, cork or self-expanding cork in preformed strips.
- B. Bonding Agent: ASTM C 1059/C 1059M, Type II, non-redispersible, acrylic emulsion or styrene butadiene.
- C. Chemical Surface Retarder: Water-soluble, liquid, set retarder with color dye, for horizontal concrete surface application, capable of temporarily delaying final hardening of concrete to a depth of 1/8 to 1/4 inch.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. Euclid Chemical Company (The); an RPM company.
    - b. Scofield, a Business Unit of Sika Corporation.
    - c. W.R. Meadows, Inc.

# 2.7 CONCRETE MIXTURES

- A. Prepare design mixtures, proportioned according to ACI 301, for each type and strength of normal-weight concrete, and as determined by either laboratory trial mixtures or field experience. Proportion design mixes per the recommendations of ACI 211.1.
  - 1. Use a qualified independent testing agency for preparing and reporting proposed concrete design mixtures for the trial batch method.
  - 2. Design mixes to meet or exceed each requirement specified. Adjust mix design to meet the most stringent requirement.

- B. Cementitious Materials: Limit percentage, by weight, of cementitious materials other than portland cement in concrete as follows:
  - 1. Fly Ash or Pozzolan: 15 percent.
  - 2. Combined Fly Ash and Pozzolan: 15 percent.
- C. Add air-entraining admixture at manufacturer's prescribed rate to result in normal-weight concrete at point of placement having an air content as follows:
  - 1. Air Content: 4-1/2 percent plus or minus 1-1/2 percent for 1-1/2-inch nominal maximum aggregate size.
  - 2. Air Content: 4-1/2 percent plus or minus 1-1/2 percent for 1-inch nominal maximum aggregate size.
  - 3. Air Content: 5 percent plus or minus 1-1/2 percent for 3/4-inch nominal maximum aggregate size.
- D. Limit water-soluble, chloride-ion content in hardened concrete to 0.06 percent by weight of cement.
- E. Chemical Admixtures: Use admixtures according to manufacturer's written instructions.
  - 1. Use water-reducing, high-range water-reducing or plasticizing and retarding admixture in concrete as required for placement and workability.
  - 2. Use water-reducing and retarding admixture when required by high temperatures, low humidity, or other adverse placement conditions.
- F. Color Pigment: Add color pigment to concrete mixture according to manufacturer's written instructions and to result in hardened concrete color consistent with approved mockup.
- G. Concrete Mixtures: Normal-weight concrete.
  - 1. Compressive Strength (28 Days): 4000 psi.
  - 2. Maximum W/C Ratio at Point of Placement: 0.45.
  - 3. Slump Limit: 4 inches, plus or minus 1 inch.

## 2.8 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, and mix concrete materials and concrete according to ASTM C 94/C 94M. Furnish batch certificates for each batch discharged and used in the Work.
  - 1. When air temperature is between 85 and 90 deg F, reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.
- B. Project-Site Mixing: Measure, batch, and mix concrete materials and concrete according to ASTM C 94/C 94M. Mix concrete materials in appropriate drum-type batch machine mixer.
  - 1. For concrete batches of 1 cu. yd. or smaller, continue mixing at least 1-1/2 minutes, but not more than 5 minutes after ingredients are in mixer, before any part of batch is released.
  - 2. For concrete batches larger than 1 cu. yd., increase mixing time by 15 seconds for each additional 1 cu. yd..
  - 3. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mixture type, mixing time, quantity, and amount of water added.

## PART 3 - EXECUTION

# 3.1 EXAMINATION

A. Examine exposed subgrades and subbase surfaces for compliance with requirements for dimensional, grading, and elevation tolerances.

- B. Proof-roll prepared subbase surface below concrete paving to identify soft pockets and areas of excess yielding.
  - 1. Completely proof-roll subbase in one direction and repeat in perpendicular direction. Limit vehicle speed to 3 mph.
  - 2. Proof-roll with a pneumatic-tired and loaded, 10-wheel, tandem-axle dump truck weighing not less than 15 tons.
  - 3. Correct subbase with soft spots and areas of pumping or rutting exceeding depth of 1/2 inch according to requirements in Section 312000 "Earth Moving."
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 PREPARATION

A. Remove loose material from compacted subbase surface immediately before placing concrete.

## 3.3 EDGE FORMS AND SCREED CONSTRUCTION

- A. Set, brace, and secure edge forms, bulkheads, and intermediate screed guides to required lines, grades, and elevations. Install forms to allow continuous progress of work and so forms can remain in place at least 24 hours after concrete placement.
- B. Clean forms after each use and coat with form-release agent to ensure separation from concrete without damage.

### 3.4 STEEL REINFORCEMENT INSTALLATION

- A. General: Comply with CRSI's "Manual of Standard Practice" for fabricating, placing, and supporting reinforcement.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, or other bond-reducing materials.
- C. Arrange, space, and securely tie bars and bar supports to hold reinforcement in position during concrete placement. Maintain minimum cover to reinforcement.
- D. Set wire ties with ends directed into concrete, not toward exposed concrete surfaces.
- E. Install welded-wire reinforcement in lengths as long as practicable. Lap adjoining pieces at least one full mesh, and lace splices with wire. Offset laps of adjoining widths to prevent continuous laps in either direction.
  - 1. "Hooking-up" or "Walking-in" of any reinforcement will not be permitted.
- F. Zinc-Coated Reinforcement: Use galvanized-steel wire ties to fasten zinc-coated reinforcement. Repair cut and damaged zinc coatings with zinc repair material.
- G. Install fabricated bar mats in lengths as long as practicable. Handle units to keep them flat and free of distortions. Straighten bends, kinks, and other irregularities, or replace units as required before placement. Set mats for a minimum 2-inch overlap of adjacent mats.

## 3.5 JOINTS

- A. General: Form construction, isolation, and contraction joints and tool edges true to line, with faces perpendicular to surface plane of concrete. Construct transverse joints at right angles to centerline unless otherwise indicated.
  - 1. When joining existing paving, place transverse joints to align with previously placed joints unless otherwise indicated.

- B. Construction Joints: Set construction joints at side and end terminations of paving and at locations where paving operations are stopped for more than one-half hour unless paving terminates at isolation joints.
  - 1. Continue steel reinforcement across construction joints unless otherwise indicated. Do not continue reinforcement through sides of paving strips unless otherwise indicated.
  - 2. Provide tie bars at sides of paving strips where indicated.
  - 3. Keyed Joints: Provide preformed keyway-section forms or bulkhead forms with keys unless otherwise indicated. Embed keys at least 1-1/2 inches into concrete.
- C. Isolation Joints: Form isolation joints of preformed joint-filler strips abutting concrete curbs, catch basins, manholes, inlets, structures, other fixed objects, and where indicated.
  - 1. Locate expansion joints at intervals of 50 feet unless otherwise indicated.
  - 2. Extend joint fillers full width and depth of joint.
  - 3. Terminate joint filler not less than 1/2 inch or more than 1 inch below finished surface if joint sealant is indicated.
  - 4. Place top of joint filler flush with finished concrete surface if joint sealant is not indicated.
  - 5. Furnish joint fillers in one-piece lengths. Where more than one length is required, lace or clip joint-filler sections together.
  - 6. During concrete placement, protect top edge of joint filler with metal, plastic, or other temporary preformed cap. Remove protective cap after concrete has been placed on both sides of joint.
- D. Contraction Joints: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fourth of the concrete thickness, as follows:
  - 1. Grooved Joints: Form contraction joints after initial floating by grooving and finishing each edge of joint with grooving tool to a 1/8-inch radius. Repeat grooving of contraction joints after applying surface finishes. Eliminate grooving-tool marks on concrete surfaces.
  - 2. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch-wide joints into concrete when cutting action will not tear, abrade, or otherwise damage surface and before developing random contraction cracks.
- E. Edging: After initial floating, tool edges of paving, gutters, curbs, and joints in concrete with an edging tool to a 1/8-inch radius. Repeat tooling of edges after applying surface finishes. Eliminate edging-tool marks on concrete surfaces.

## 3.6 CONCRETE PLACEMENT

- A. Before placing concrete, inspect and complete formwork installation, steel reinforcement, and items to be embedded or cast-in.
- B. Moisten subbase to provide a uniform dampened condition at time concrete is placed. Do not place concrete around manholes or other structures until they are at required finish elevation and alignment.
- C. Comply with ACI 301 requirements for measuring, mixing, transporting, and placing concrete.
- D. Do not add water to concrete during delivery or at Project site. Do not add water to fresh concrete after testing.
- E. Deposit and spread concrete in a continuous operation between transverse joints. Do not push or drag concrete into place or use vibrators to move concrete into place.

- F. Consolidate concrete according to ACI 301 by mechanical vibrating equipment supplemented by hand spading, rodding, or tamping.
  - 1. Consolidate concrete along face of forms and adjacent to transverse joints with an internal vibrator. Keep vibrator away from joint assemblies, reinforcement, or side forms. Use only square-faced shovels for hand spreading and consolidation. Consolidate with care to prevent dislocating reinforcement and joint devices.
- G. Screed paving surface with a straightedge and strike off.
- H. Commence initial floating using bull floats or darbies to impart an open-textured and uniform surface plane before excess moisture or bleedwater appears on the surface. Do not further disturb concrete surfaces before beginning finishing operations or spreading surface treatments.

## 3.7 FLOAT FINISHING

- A. General: Do not add water to concrete surfaces during finishing operations.
- B. Float Finish: Begin the second floating operation when bleedwater sheen has disappeared and concrete surface has stiffened sufficiently to permit operations. Float surface with power-driven floats or by hand floating if area is small or inaccessible to power units. Finish surfaces to true planes. Cut down high spots and fill low spots. Refloat surface immediately to uniform granular texture.
  - 1. Medium-to-Fine-Textured Broom Finish: Draw a soft-bristle broom across float-finished concrete surface, perpendicular to line of traffic, to provide a uniform, fine-line texture.

## 3.8 CONCRETE PROTECTION AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
- B. Comply with ACI 306.1 for cold-weather protection.
- C. Curing Methods: Cure concrete by moisture curing, moisture-retaining-cover curing, curing compound as follows:
  - 1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
    - a. Water.
    - b. Absorptive cover, water saturated and kept continuously wet. Cover concrete surfaces and edges with 12-inch lap over adjacent absorptive covers.

## 3.9 PAVING TOLERANCES

- A. Comply with tolerances in ACI 117 and as follows:
  - 1. Elevation: 3/4 inch.
  - 2. Thickness: Plus 3/8 inch, minus 1/4 inch.
  - 3. Surface: Gap below 10-feet-long; unleveled straightedge not to exceed 1/2 inch.
  - 4. Alignment of Tie-Bar End Relative to Line Perpendicular to Paving Edge: 1/2 inch per 12 inches of tie bar.
  - 5. Lateral Alignment and Spacing of Dowels: 1 inch.
  - 6. Vertical Alignment of Dowels: 1/4 inch.
  - 7. Alignment of Dowel-Bar End Relative to Line Perpendicular to Paving Edge: 1/4 inch per 12 inches of dowel.
  - 8. Joint Spacing: 3 inches.
  - 9. Contraction Joint Depth: Plus 1/4 inch, no minus.
  - 10. Joint Width: Plus 1/8 inch, no minus.

#### FIELD QUALITY CONTROL 3.10

- Testing Agency: Owner will engage a qualified testing agency to perform tests and inspections. A.
- В. Testing Services: Testing and inspecting of composite samples of fresh concrete obtained according to ASTM C 172/C 172M shall be performed according to the following requirements:
  - 1. Testing Frequency: Obtain at least one composite sample for each 100 cu. yd. or fraction thereof of each concrete mixture placed each day.
    - When frequency of testing will provide fewer than five compressive-strength tests a. for each concrete mixture, testing shall be conducted from at least five randomly selected batches or from each batch if fewer than five are used.
  - 2. Slump: ASTM C 143/C 143M; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture. Perform additional tests when concrete consistency appears to change.
  - 3. Air Content: ASTM C 231/C 231M, pressure method; one test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
  - 4. Concrete Temperature: ASTM C 1064/C 1064M; one test hourly when air temperature is 40 deg F and below and when it is 80 deg F and above, and one test for each composite sample.
  - 5. Compression Test Specimens: ASTM C 31/C 31M; cast and laboratory cure one set of three standard cylinder specimens for each composite sample.
  - Compressive-Strength Tests: ASTM C 39/C 39M; test one specimen at seven days and two 6. specimens at 28 days.
    - A compressive-strength test shall be the average compressive strength from two a. specimens obtained from same composite sample and tested at 28 days.
- C. Strength of each concrete mixture will be satisfactory if average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength and no compressivestrength test value falls below specified compressive strength by more than 500 psi.
- Test results shall be reported in writing to Architect, concrete manufacturer, and Contractor within D. 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mixture proportions and materials, compressive breaking strength, and type of break for both 7- and 28-day tests.
- E. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect.
- Concrete paving will be considered defective if it does not pass tests and inspections. F.
- G. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
- H. Prepare test and inspection reports.

#### REPAIR AND PROTECTION 3.11

Remove and replace concrete paving that is broken, damaged, or defective or that does not comply A. with requirements in this Section. Remove work in complete sections from joint to joint unless otherwise approved by Architect.

- 321313 10
- B. Protect concrete paving from damage. Exclude traffic from paving for at least 14 days after placement. When construction traffic is permitted, maintain paving as clean as possible by removing surface stains and spillage of materials as they occur.
- C. Maintain concrete paving free of stains, discoloration, dirt, and other foreign material. Sweep paving not more than two days before date scheduled for Substantial Completion inspections.

END OF SECTION 321313

# TURF AND GRASSES

### SECTION 329200 - TURF AND GRASSES

### PART 1 - GENERAL

# 1.1 **SUMMARY**

- A. Section Includes:
  - 1. Seeding.
  - 2. Hydroseeding.
  - 3. Sodding.

# 1.2 **DEFINITIONS**

- A. Duff Layer: The surface layer of native topsoil that is composed of mostly decayed leaves, twigs, and detritus.
- B. Finish Grade: Elevation of finished surface of planting soil.
- C. Manufactured Topsoil: Soil produced off-site by homogeneously blending mineral soils or sand with stabilized organic soil amendments to produce topsoil or planting soil.
- D. Planting Soil: Standardized topsoil; existing, native surface topsoil; existing, in-place surface soil; imported topsoil; or manufactured topsoil that is modified with soil amendments and perhaps fertilizers to produce a soil mixture best for plant growth.
- E. Subgrade: Surface or elevation of subsoil remaining after excavation is complete, or top surface of a fill or backfill before planting soil is placed.
- F. Subsoil: All soil beneath the topsoil layer of the soil profile, and typified by the lack of organic matter and soil organisms.

# 1.3 ACTION SUBMITTALS

### 1.4 INFORMATIONAL SUBMITTALS

- A. Certification of Grass Seed: From seed vendor for each grass-seed monostand or mixture stating the botanical and common name, percentage by weight of each species and variety, and percentage of purity, germination, and weed seed. Include the year of production and date of packaging.
- B. Product Certificates: For soil amendments and fertilizers, from manufacturer.
- C. Maintenance Instructions: Recommended procedures to be established by Owner for maintenance of turf during a calendar year. Submit before expiration of required initial maintenance periods.

# 1.5 DELIVERY, STORAGE, AND HANDLING

A. Seed and Other Packaged Materials: Deliver packaged materials in original, unopened containers showing weight, certified analysis, name and address of manufacturer, and indication of conformance with state and federal laws, as applicable.

### B. Bulk Materials:

- 1. Do not dump or store bulk materials near structures, utilities, walkways and pavements, or on existing turf areas or plants.
- 2. Provide erosion-control measures to prevent erosion or displacement of bulk materials, discharge of soil-bearing water runoff, and airborne dust reaching adjacent properties, water conveyance systems, or walkways.

3. Accompany each delivery of bulk fertilizers and soil amendments with appropriate certificates.

### 1.6 PROJECT CONDITIONS

- A. Planting Restrictions: Plant during one of the following periods. Coordinate planting periods with initial maintenance periods to provide required maintenance from date of planting completion.
  - 1. Spring Planting: May1 through June 15.
  - 2. Fall Planting: September 1 through October 15.
- B. Weather Limitations: Proceed with planting only when existing and forecasted weather conditions permit planting to be performed when beneficial and optimum results may be obtained. Apply products during favorable weather conditions according to manufacturer's written instructions.

### 1.7 MAINTENANCE SERVICE

- A. Initial Turf Maintenance Service: Provide full maintenance by skilled employees of landscape Installer. Maintain as required in Part 3. Begin maintenance immediately after each area is planted and continue until acceptable turf is established but for not less than the following periods:
  - 1. Seeded Turf: 60 days from date of planting completion.
    - a. When initial maintenance period has not elapsed before end of planting season, or if turf is not fully established, continue maintenance during next planting season.

### **PART 2 - PRODUCTS**

# 2.1 GRASSES

- A. Grass Seed: Fresh, clean, dry, new-crop seed complying with AOSA's "Journal of Seed Technology; Rules for Testing Seeds" for purity and germination tolerances.
- B. Seed Species: Trio Supreme mix of species by Preferred Seed, or approved equal.
  - 1. Sod Full Sun: Kentucky bluegrass (Poa pratensis), a minimum of three cultivars.

# 2.2 INORGANIC SOIL AMENDMENTS

- A. Lime: ASTM C 602, agricultural liming material containing a minimum of 80 percent calcium carbonate equivalent and as follows:
  - 1. Class: T, with a minimum of 99 percent passing through No. 8 sieve and a minimum of 75 percent passing through No. 60 sieve.
  - 2. Provide lime in form of ground dolomitic limestone or calcitic limestone.
- B. Sulfur: Granular, biodegradable, containing a minimum of 90 percent sulfur, and with a minimum of 99 percent passing through No. 6 sieve and a maximum of 10 percent passing through No. 40 sieve.
- C. Iron Sulfate: Granulated ferrous sulfate containing a minimum of 20 percent iron and 10 percent sulfur.
- D. Aluminum Sulfate: Commercial grade, unadulterated.
- E. Perlite: Horticultural perlite, soil amendment grade.
- F. Agricultural Gypsum: Minimum 90 percent calcium sulfate, finely ground with 90 percent passing through No. 50 sieve.

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G. Sand: Clean, washed, natural or manufactured, and free of toxic materials.

# 2.3 ORGANIC SOIL AMENDMENTS

- A. Compost: Well-composted, stable, and weed-free organic matter, pH range of 5.5 to 8; moisture content 35 to 55 percent by weight; 100 percent passing through 1/2-inch sieve; soluble salt content of 5 to 10 decisiemens/m; not exceeding 0.5 percent inert contaminants and free of substances toxic to plantings; and as follows:
- B. Sphagnum Peat: Partially decomposed sphagnum peat moss, finely divided or of granular texture, with a pH range of 3.4 to 4.8.
- C. Muck Peat: Partially decomposed moss peat, native peat, or reed-sedge peat, finely divided or of granular texture, with a pH range of 6 to 7.5, and having a water-absorbing capacity of 1100 to 2000 percent.
- D. Manure: Well-rotted, unleached, stable or cattle manure containing not more than 25 percent by volume of straw, sawdust, or other bedding materials; free of toxic substances, stones, sticks, soil, weed seed, and material harmful to plant growth.

# 2.4 FERTILIZERS

- A. Bonemeal: Commercial, raw or steamed, finely ground; a minimum of 1 percent nitrogen and 10 percent phosphoric acid.
- B. Superphosphate: Commercial, phosphate mixture, soluble; a minimum of 20 percent available phosphoric acid.
- C. Commercial Fertilizer: Commercial-grade complete fertilizer of neutral character, consisting of fast- and slow-release nitrogen, 50 percent derived from natural organic sources of urea formaldehyde, phosphorous, and potassium in the following composition:
  - 1. Composition: 1 lb/1000 sq. ft. of actual nitrogen, 4 percent phosphorous, and 2 percent potassium, by weight.
  - 2. Composition: Nitrogen, phosphorous, and potassium in amounts recommended in soil reports from a qualified soil-testing laboratory.
- D. Slow-Release Fertilizer: Granular or pelleted fertilizer consisting of 50 percent water-insoluble nitrogen, phosphorus, and potassium in the following composition:
  - 1. Composition: 20 percent nitrogen, 10 percent phosphorous, and 10 percent potassium, by weight.
  - 2. Composition: Nitrogen, phosphorous, and potassium in amounts recommended in soil reports from a qualified soil-testing laboratory.

## 2.5 PLANTING SOILS

A. Planting Soil: ASTM D 5268 topsoil, with pH range of 5.5 to 7, a minimum of 6 percent organic material content; free of stones 0.25 inch or larger in any dimension and other extraneous materials harmful to plant growth.

# 2.6 MULCHES

- A. Straw Mulch: Provide air-dry, clean, mildew- and seed-free, salt hay or threshed straw of wheat, rye, oats, or barley.
- B. Sphagnum Peat Mulch: Partially decomposed sphagnum peat moss, finely divided or of granular texture, and with a pH range of 3.4 to 4.8.

- C. Muck Peat Mulch: Partially decomposed moss peat, native peat, or reed-sedge peat, finely divided or of granular texture, with a pH range of 6 to 7.5, and having a water-absorbing capacity of 1100 to 2000 percent.
- D. Compost Mulch: Well-composted, stable, and weed-free organic matter, pH range of 5.5 to 8; moisture content 35 to 55 percent by weight; 100 percent passing through 1-inch sieve; soluble salt content of 2 to 5 decisiemens/m; not exceeding 0.5 percent inert contaminants and free of substances toxic to plantings; and as follows:
  - 1. Organic Matter Content: 50 to 60 percent of dry weight.
  - 2. Feedstock: Agricultural, food, or industrial residuals; biosolids; yard trimmings; or source-separated or compostable mixed solid waste.
- E. Fiber Mulch: Biodegradable, dyed-wood, cellulose-fiber mulch; nontoxic and free of plant-growth or germination inhibitors; with a maximum moisture content of 15 percent and a pH range of 4.5 to 6.5.
- F. Nonasphaltic Tackifier: Colloidal tackifier recommended by fiber-mulch manufacturer for slurry application; nontoxic and free of plant-growth or germination inhibitors.
- G. Asphalt Emulsion: ASTM D 977, Grade SS-1; nontoxic and free of plant-growth or germination inhibitors.

# 2.7 EROSION-CONTROL MATERIALS

- A. Erosion-Control Blankets: Biodegradable wood excelsior, straw, or coconut-fiber mat enclosed in a photodegradable plastic mesh. Include manufacturer's recommended steel wire staples, 6 inches long.
- B. Erosion-Control Fiber Mesh: Biodegradable burlap or spun-coir mesh, a minimum of 0.92 lb/sq. yd., with 50 to 65 percent open area. Include manufacturer's recommended steel wire staples, 6 inches long.

### **PART 3 - EXECUTION**

### 3.1 EXAMINATION

- A. Examine areas to be planted for compliance with requirements and other conditions affecting performance.
  - 1. Verify that no foreign or deleterious material or liquid such as paint, paint washout, concrete slurry, concrete layers or chunks, cement, plaster, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, or acid has been deposited in soil within a planting area.
  - 2. Do not mix or place soils and soil amendments in frozen, wet, or muddy conditions.
  - 3. Suspend soil spreading, grading, and tilling operations during periods of excessive soil moisture until the moisture content reaches acceptable levels to attain the required results.
  - 4. Uniformly moisten excessively dry soil that is not workable and which is too dusty.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
- C. If contamination by foreign or deleterious material or liquid is present in soil within a planting area, remove the soil and contamination as directed by Architect and replace with new planting soil.

# 3.2 PREPARATION

A. Protect structures, utilities, sidewalks, pavements, and other facilities, trees, shrubs, and plantings from damage caused by planting operations.

- 329200 5
- 1. Protect adjacent and adjoining areas from hydroseeding and hydromulching overspray.
- 2. Protect grade stakes set by others until directed to remove them.
- B. Install erosion-control measures to prevent erosion or displacement of soils and discharge of soilbearing water runoff or airborne dust to adjacent properties and walkways.

# 3.3 TURF AREA PREPARATION

- A. Limit turf subgrade preparation to areas to be planted.
- B. Newly Graded Subgrades: Loosen subgrade to a minimum depth of 6 inches. Remove stones larger than 1 inch in any dimension and sticks, roots, rubbish, and other extraneous matter and legally dispose of them off Owner's property.
  - 1. Apply fertilizer directly to subgrade before loosening.
  - 2. Spread planting soil to a depth of 6 inches but not less than required to meet finish grades after light rolling and natural settlement. Do not spread if planting soil or subgrade is frozen, muddy, or excessively wet.
- C. Unchanged Subgrades: If turf is to be planted in areas unaltered or undisturbed by excavating, grading, or surface-soil stripping operations, prepare surface soil as follows:
  - 1. Remove existing grass, vegetation, and turf. Do not mix into surface soil.
  - 2. Loosen surface soil to a depth of at least 6 inches. Apply soil amendments and fertilizers according to planting soil mix proportions and mix thoroughly into top 6 inches of soil. Till soil to a homogeneous mixture of fine texture.
    - a. Apply fertilizer directly to surface soil before loosening.
  - 3. Remove stones larger than 1 inch in any dimension and sticks, roots, trash, and other extraneous matter.
  - 4. Legally dispose of waste material, including grass, vegetation, and turf, off Owner's property.
- D. Finish Grading: Grade planting areas to a smooth, uniform surface plane with loose, uniformly fine texture. Grade to within plus or minus 1/2 inch of finish elevation. Roll and rake, remove ridges, and fill depressions to meet finish grades. Limit finish grading to areas that can be planted in the immediate future.
- E. Moisten prepared area before planting if soil is dry. Water thoroughly and allow surface to dry before planting. Do not create muddy soil.
- F. Before planting, obtain Architect's acceptance of finish grading; restore planting areas if eroded or otherwise disturbed after finish grading.

# 3.4 PREPARATION FOR EROSION-CONTROL MATERIALS

- A. Prepare area as specified in "Turf Area Preparation" Article.
- B. For erosion-control mats, install planting soil in two lifts, with second lift equal to thickness of erosion-control mats. Install erosion-control mat and fasten as recommended by material manufacturer.
- C. Fill cells of erosion-control mat with planting soil and compact before planting.
- D. For erosion-control blanket or mesh, install from top of slope, working downward, and as recommended by material manufacturer for site conditions. Fasten as recommended by material manufacturer.
- E. Moisten prepared area before planting if surface is dry. Water thoroughly and allow surface to dry before planting. Do not create muddy soil.

### 3.5 SEEDING

- A. Sow seed with spreader or seeding machine. Do not broadcast or drop seed when wind velocity exceeds 5 mph. Evenly distribute seed by sowing equal quantities in two directions at right angles to each other.
  - 1. Do not use wet seed or seed that is moldy or otherwise damaged.
  - 2. Do not seed against existing trees. Limit extent of seed to outside edge of planting saucer.
- B. Sow seed at a total rate of 5 lb/1000 sq. ft.
- C. Rake seed lightly into top 1/8 inch of soil, roll lightly, and water with fine spray.
- D. Protect seeded areas with slopes exceeding 1:6 with erosion-control fiber mesh installed and stapled according to manufacturer's written instructions.
- E. Protect seeded areas from hot, dry weather or drying winds by applying compost mulch within 24 hours after completing seeding operations. Soak areas, scatter mulch uniformly to a thickness of 3/16 inch, and roll surface smooth.

# 3.6 HYDROSEEDING

- A. Hydroseeding: Mix specified seed, fertilizer, and fiber mulch in water, using equipment specifically designed for hydroseed application. Continue mixing until uniformly blended into homogeneous slurry suitable for hydraulic application.
  - 1. Apply slurry uniformly to all areas to be seeded in a two-step process. Apply first slurry coat at a rate so that mulch component is deposited at not less than 500-lb/acre dry weight, and seed component is deposited at not less than the specified seed-sowing rate. Apply slurry cover coat of fiber mulch (hydromulching) at a rate of 1000 lb/acre.

# 3.7 SODDING

- A. Time limit and option in first paragraph below are requirements of TPI's "Guideline Specifications to Turfgrass Sodding."
- B. Lay sod within 24 hours of harvesting. Do not lay sod if dormant or if ground is frozen or muddy.
- C. Lay sod to form a solid mass with tightly fitted joints. Butt ends and sides of sod; do not stretch or overlap. Stagger sod strips or pads to offset joints in adjacent courses. Avoid damage to soil or sod during installation. Tamp and roll lightly to ensure contact with soil, eliminate air pockets, and form a smooth surface. Work sifted soil or fine sand into minor cracks between pieces of sod; remove excess to avoid smothering sod and adjacent grass.
  - 1. Lay sod across slopes exceeding 1:3.
  - 2. Anchor sod on slopes exceeding 1:6 with wood pegs[or steel staples] spaced as recommended by sod manufacturer but not less than two anchors per sod strip to prevent slippage.
- D. Saturate sod with fine water spray within two hours of planting. During first week after planting, water daily or more frequently as necessary to maintain moist soil to a minimum depth of 1-1/2 inches below sod.

# 3.8 TURF RENOVATION

- A. Renovate existing turf.
- B. Renovate existing turf damaged by Contractor's operations, such as storage of materials or equipment and movement of vehicles.
  - 1. Reestablish turf where settlement or washouts occur or where minor regrading is required.

329200 - 7

- 2. Install new planting soil as required.
- C. Remove sod and vegetation from diseased or unsatisfactory turf areas; do not bury in soil.
- D. Remove topsoil containing foreign materials such as oil drippings, fuel spills, stones, gravel, and other construction materials resulting from Contractor's operations, and replace with new planting soil.
- E. Mow, dethatch, core aerate, and rake existing turf.
- F. Remove weeds before seeding. Where weeds are extensive, apply selective herbicides as required. Do not use pre-emergence herbicides.
- G. Remove waste and foreign materials, including weeds, soil cores, grass, vegetation, and turf, and legally dispose of them off Owner's property.
- H. Till stripped, bare, and compacted areas thoroughly to a soil depth of 6 inches.
- I. Apply soil amendments and initial fertilizers required for establishing new turf and mix thoroughly into top 4 inches of existing soil. Install new planting soil to fill low spots and meet finish grades.
- J. Apply seed and protect with straw mulch as required for new turf.
- K. Water newly planted areas and keep moist until new turf is established.

# 3.9 TURF MAINTENANCE

- A. Watering: Install and maintain temporary piping, hoses, and turf-watering equipment to convey water from sources and to keep turf uniformly moist to a depth of 4 inches.
  - 1. Schedule watering to prevent wilting, puddling, erosion, and displacement of seed or mulch. Lay out temporary watering system to avoid walking over muddy or newly planted areas.
  - 2. Water turf with fine spray at a minimum rate of 1 inch per week unless rainfall precipitation is adequate.

### 3.10 SATISFACTORY TURF

- A. Turf installations shall meet the following criteria as determined by Owner's Representative:
  - 1. Satisfactory Seeded Turf: At end of maintenance period, a healthy, uniform, close stand of grass has been established, free of weeds and surface irregularities, with coverage exceeding 90 percent over any 10 sq. ft. and bare spots not exceeding 5 by 5 inches.
- B. Use specified materials to reestablish turf that does not comply with requirements and continue maintenance until turf is satisfactory.

# 3.11 CLEANUP AND PROTECTION

- A. Promptly remove soil and debris created by turf work from paved areas. Clean wheels of vehicles before leaving site to avoid tracking soil onto roads, walks, or other paved areas.
- B. Erect temporary fencing or barricades and warning signs as required to protect newly planted areas from traffic. Maintain fencing and barricades throughout initial maintenance period and remove after plantings are established.
- C. Remove nondegradable erosion-control measures after grass establishment period.

#### 3.12 **MAINTENANCE SERVICE**

- A. Turf Maintenance Service: Provide full maintenance by skilled employees of landscape Installer. Maintain as required in "Turf Maintenance" Article. Begin maintenance immediately after each area is planted and continue until acceptable turf is established, but for not less than the following periods:
  - Seeded Turf: One year from date of planting completion. 1.
    - When initial maintenance period has not elapsed before end of planting season, or if turf is not fully established, continue maintenance during next planting season.
  - 2. Sodded Turf: One year days from date of planting completion.

END OF SECTION 329200

#### SECTION 33 0500 – PIPE AND STRUCTURAL BACKFILL

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this section.

# 1.2 DESCRIPTION OF WORK

- A. Extent of pipe and structure backfill work includes, but is not limited to the following:
  - 1. Furnish and place backfill for pipe or utility trenches, and facility foundations, to the lines and grades shown on the Contract Drawings.
  - 2. Furnish and place general backfill, engineered backfill and porous backfill under slabs on grade and other site features shown on the Contract Documents.

# 1.3 SUBMITTALS

- A. Test results for gradation, moisture content (Proctor Tests) or other requirements on the various soil and granular items, from each approved material source, prior to their use on the project.
- B. Copies of measurements and computed volumes of unsuitable material removed shall be submitted to the Owner's Representative.

# 1.4 QUALITY ASSURANCE

- A. If trench widths and depths are exceeded, concrete cradles or other special installation procedures may be required and shall be provided where directed by Owner's Representative. All additional costs, including the cost of redesigns, shall be borne by Contractor.
- B. Moisten or dry backfill to the proper moisture content as determined in accordance with ASTM D1557 in order to obtain proper compaction.
- C. All subgrades shall be approved by Owner's Representative before pipes, structures, and facilities are installed or concrete is placed.
- D. The existing ground elevations as shown on the Contract Drawings are believed to be reasonably correct. The Contractor shall satisfy himself, however, by actual examination of the sites of the work, as to the existing elevations and the amount of work required under this section. No claim shall be made by the Contractor for additional compensation by reason of the fact that conditions are other than as shown.

# 1.5 **JOB CONDITIONS**

- A. Provide and maintain suitable temporary crossings over open trenches where necessary to maintain access for other Contractors, the Owner's Representative or general public (if applicable).
- B. Any backfill material over trenches that settle and/or erode during a period of one (1) year after the date of final acceptance shall be repaired by the Contractor upon receipt of written notice from the Owner's Representative, at no expense to the Owner.
- C. The Contractor shall take precautions to protect from harm the work of other contractors on site, existing facilities, as well as adjacent property. The Contractor shall be responsible for all damage or injury done to pipes, structures, pavement, property or persons due to improper

placing or compacting of backfill. The Contractor shall repair such damaged property or item to

- the satisfaction of the property owner, public agency having jurisdiction and/or Owner's Representative at no additional cost the Owner.
- D. When it is necessary to haul material over the streets or pavements, the Contractor shall provide suitable tight vehicles so as to prevent deposits on the streets or pavements. In all cases where any materials are dropped from the vehicles, the Contractor shall clean up the same as often as directed and keep the crosswalks, streets, and pavements clean and free from dirt, mud, stone, and other hauled material.
- E. Flagmen, guards, barricades, lights, services, and other items needed for the protection of persons and property shall be furnished and maintained by the Contractor. Costs for these items shall be included in the prices bid for the Contract.
- F. The Contractor shall remove any waste material or other debris that has accumulated as a result of the work of this section and dispose in conformance with applicable legal requirements and in a manner acceptable to the Owner's Representative.

### **PART 2 - PRODUCTS**

# 2.1 GENERAL REQUIREMENTS

- A. The various types of backfill material to be utilized on-site are specified below and shall be used as described unless specifically shown otherwise on the drawings.
- B. Mechanical, vibratory, pneumatic tampers or other method as approved by the Owner's Representative shall be required.
- C. Water in sufficient quantity may be required to assure compaction.
- D. Lift thickness, and the compactive capabilities of the equipment used, shall be continually monitored by the Contractor to obtain the required compaction.
- E. Earth materials specified for backfill, shall be in accordance with Earthwork Section or appropriate NYSDOT items, or as indicated on the Contract Drawings.
- F. Final acceptance of all fill materials shall be made by the Owner's Representative. Such acceptance or rejection of materials shall be binding upon the Contractor.

# 2.2 GRANULAR ITEMS

- A. <u>Subbase Course</u> Material shall be clean, sound, consisting of either gravel, stone, slag, and sands meeting the requirements set forth in NYSDOT Section 304. Stone sizing shall be as specified on Contract Drawings conforming to NYSDOT Gradations 1, 2, 3, or 4 as issued May 2006 (and any subsequent revisions). Recycled concrete products are not acceptable for use as subbase under pavement.
- B. <u>Crushed Stone / Granular Porous Engineered Fill</u> Material shall be clean, sound, washed or unwashed, crushed stone of uniform quality. It shall be a 50-50 mixture of NYSDOT size designation #1 and #2 stone as per NYSDOT Standard Specifications dated May 2006, Section 703-02 (and any subsequent revisions or as designated on the project plans).
- C. <u>Select Fill</u> Material shall meet the requirements for select fill Item 203.06 as defined in the New York State Department of Transportation "Standard Specifications" as issued May 2006 (and any subsequent revisions).

14428.11

### PIPE AND STRUCTURAL BACKFILL

- D. <u>Select Granular Fill</u> Material shall meet the requirements for select granular fill Item 203.07 as defined in the New York State Department of Transportation "Standard Specifications" as issued May 2006 (and any subsequent revisions).
- E. <u>Underdrain Filter Material</u> Material shall meet the requirements of Item 605.0901, Type 1, as defined in the New York State Department of Transportation "Standard Specification" as issued May 2006 (and any subsequent revisions).
- F. <u>Stone Filling (Fine)</u> Material shall meet the gradation requirements for stone filling (fine) Item 620.02 as defined in the New York State Department of Transportation "Standard Specifications" as issued May 2006 (and any subsequent revisions).
- G. <u>Stone Filling (Light)</u> Material shall meet the gradation requirements for stone filling (light) Item 620.03 as defined in the New York State Department of Transportation "Standard Specifications" as issued May 2006 (and any subsequent revisions).
- H. <u>Stone Filling (Medium)</u> Material shall meet the gradation requirements for stone filling (medium) Item 620.04 as defined in the New York State Department of Transportation "Standard Specifications" as issued May 2006 (and any subsequent revisions).
- I. <u>Stone Dust</u> Material shall consist in accordance with NYSDOT Item 12304.0701M-Crusher Run Limestone special specifications.

# **PART 3 - EXECUTION**

# 3.1 GENERAL REQUIREMENTS

- A. The Contractor shall be responsible for providing all necessary fill materials.
- B. All excavations shall be backfilled to the original surface of the ground or to the lines and grades as shown on the Contract Drawings or as otherwise specified, or directed. Backfilling shall be done with suitable excavated materials as shown on the Contract Drawings or approved by the Owner's Representative, and satisfactorily compacted.
- C. Excavated material considered by the Owner's Representative to be unsuitable for backfilling shall not be used, and shall be stockpiled or removed from the site according to the following:
  - 1. Unsuitable material shall fall into two specific categories. The first shall be that material which would be unsuitable under any circumstances. Material containing humus, spongy material, roots, stumps, muck, peat, and any other objectionable material. This material shall be disposed of in an approved off-site spoil area.
  - 2. The second category shall consist of material which is unsatisfactory for backfill because of its moisture content at the time of excavation. This material shall be stockpiled in approved areas on the Project site. This stockpiled material, when satisfactory for backfill, as determined by the Owner's Representative, shall be used in other areas lacking backfill.
- D. The Owner's Representative shall be the sole judge of what constitutes unsuitable material and into which category it falls.
- E. No extra payment shall be made for any stockpiling, rehandling, transporting or placement of any unsuitable material, the cost shall be included in the price bid. Any deficiency in backfill shall be made up in spoil, if suitable, or by imported material acceptable to the Owner's Representative. No payment shall be made for making up any deficiency; the cost of such shall be included in the price bid.

- PIPE AND STRUCTURAL BACKFILL
- F. As required, the Contractor may add sufficient water during compaction to assure a complete consolidation of the material. This work shall be at no additional cost to the Owner. Where, in the opinion of the Owner's Representative, adequate consolidation is not being obtained, density tests may be ordered at the expense of the Contractor.
- G. The Contractor shall make up any settlement of trenches or embankments with suitable material and stabilize at no additional cost to the Owner. This work shall be performed promptly and as directed by the Owner's Representative.

### 3.2 BACKFILLING OF TRENCHES

- A. All pipes shall be protected from lateral displacement and possible damage resulting from backfill operations through, impact or unbalanced loading, by maintaining the pipe adequately embedded as detailed on the Plans. Except where detailed or due to subsoil conditions that require the use of concrete cradle encasement, all pipe embedment shall be placed so as to insure adequate lateral and vertical stability of the installed pipe during pipe jointing and backfill operations. A sufficient amount of the specified pipe backfill material to hold the pipe in rigid alignment shall be uniformly deposited and thoroughly compacted below, on each side, as well as above each pipe laid in accordance with the limits as shown on the Contract Drawings.
- B. Pipe backfill materials placed any point below an elevation of 12 inches above the top of the pipe barrel shall be placed and compacted in layers not to exceed 6 inches in uncompacted depth and shall be done simultaneously and uniformly on both sides of the pipe to the limits as shown on the Contract Drawings. All such materials shall be graded in the trench with hand tools in such a manner that they will be placed uniformly alongside the pipe. The remainder of the trench shall be backfilled with material as shown on the standard details. Each layer shall be thoroughly compacted to prevent settlement.
- C. Where trenches are constructed in, near, or across roadway ditches or other water courses, the backfill shall be protected from surface erosion.
- D. Trucks or other heavy equipment shall not be operated over pipelines until a minimum of 24 inches of backfill above the crown of the pipe or appropriate pavement section has been placed and properly compacted.

# 3.3 BACKFILLING AROUND STRUCTURES

- A. Location of Fill Types:
  - 1. Select Granular Fill In all areas outside of building area to within 6" of finished grade, except at paved, concrete or graveled areas where it is to be brought to an elevation appropriate to allow all subbase, asphalt, or concrete material to be placed to grade as shown on the contract drawings.
  - 2. Subbase Course In all fill areas within the building footprint up to an elevation of 6" below the underside of the slab.
  - 3. Crushed Stone Final 6" of fill under slab.
- B. Backfilling around structures shall not be commenced until directed by the Owner's Representative.
- C. Prior to backfilling, a minimum of seven (7) days cure time shall elapse from the placing of cast-in-place concrete. The Contractor shall comply with any special requirements noted on the Contract Drawings. In no case shall backfill materials be allowed to fall directly on a structure or to damage the structure or its protective coatings.

- D. Backfill around structures shall be deposited in horizontal layers not more than 6- to 8-inches in thickness and shall be compacted by tamping to prevent settlement. Backfill shall be brought up evenly on all sides of structures so as not to subject the structure to unequal loadings. Do not backfill against unsupported walls or structures.
- E. Use least desirable material at bottom of fill, best at top. Evenly distribute stones in fill, none over 3" diameter within top 12" of subgrade. Remove rocks and compact each layer of fill before applying next layer. Slope to prevent ponding of water and to provide drainage away from buildings and roadways. Dewater as required to prevent water from setting in excavated and graded areas. No backfilling will be allowed in areas full of water.
- F. At all times the Contractor shall maintain and operate proper and adequate surface and subsurface drainage methods to the satisfaction of the Owner's Representative in order to keep the construction site dry and in such condition that placement and compaction of fill may proceed unhindered by saturation of the area. During construction, the surface of the fill area shall be left in such condition that precipitation and/or surface water will run off.
- G. Place underslab base material (crushed stone) after all underslab mechanical lines, etc. have been installed. Protect lines, etc. as required.
- H. Backfill over-excavated areas as required.

### 3.4 SETTLEMENT

A. Repair to proper grade any settlement of slab, pavement, utility structure, lawn, etc. adversely affected by settlement within one (1) year after final acceptance of building at no expense to Owner.

END OF SECTION 33 0500

### SECTION 331400 - WATER DISTRIBUTION PIPING

### PART 1 - GENERAL

#### 1.1 **SUMMARY**

This Section includes water-distribution piping and related components outside the building for A. combined water service and fire-service mains.

#### **DEFINITIONS** 1.2

- A. CLDI: Cement lined ductile iron.
- В. PE: Polyethylene plastic.
- C. PP: Polypropylene plastic.
- D. PVC: Polyvinyl chloride plastic.
- E. AWWA B300 - Standard for Hypochlorites.
- F. AWWA B301 - Standard for Liquid Chlorine.
- G. AWWA B302 - Standard for Ammonium Sulfate.
- H. AWWA C600 - Standard for Installation of Ductile Iron Water Main - Hydrostatic Testing.
- AWWA C651 Standards for Disinfecting Water Mains I.

#### 1.3 ACTION SUBMITTALS

- Product Data: For each type of product indicated. A.
- Test Reports: Show results and specified requirements. Submit test report form and obtain B. Engineer's approval prior to conducting any tests.
- C. Certificate: Certify that pressure testing, Leakage and cleanliness of water distribution system meets or exceeds requirements of the Westchester County Department Health.

#### 1.4 INFORMATIONAL SUBMITTALS

- Coordination Drawings: For piping and specialties including relation to other services in same A. area, drawn to scale. Show piping and specialty sizes and valves, meter and specialty locations, and elevations.
- В. Field quality-control test reports.

#### **CLOSEOUT SUBMITTALS** 1.5

Operation and Maintenance Data: For water valves and specialties to include in emergency, A. operation, and maintenance manuals.

# B. Pressure Report:

- 1. Hydrostatic Test Pressure.
- 2. Dates and time for start and completion of pressure testing.
- 3. Pressure results at start and finish of each section tested.
- 4. Amount of Water Used during testing.
- 5. Signature of person performing tests and signature of witness.

# C. Disinfection Report:

- 1. Type and form of disinfectant used.
- 2. Date and time of disinfectant injection start and time of completion.
- 3. Test locations.
- 4. Initial and final disinfectant residuals (quantity in treated water) in ppm for each outlet tested.
- 5. Date and time of flushing start and completion.
- 6. Disinfectant residual after flushing in ppm for each outlet tested.
- 7. Procedure for neutralizing disinfectant and for discharge of flushed water.
- 8. Signature of person performing tests and signature of witness.

# D. Bacteriological Report:

- 1. Date issued, project name, and testing laboratory name, address, and telephone number.
- 2. Time and date of water sample collection.
- 3. Name of person collecting samples.
- 4. Test locations.
- 5. Initial and final disinfectant residuals in ppm for each outlet tested.
- 6. MFT Coliform bacteria test results for each outlet tested. (Other testing methods are not acceptable).
- 7. Certification that water conforms, or fails to conform, to bacterial standards of Westchester County Department of Health.

# 1.6 QUALITY ASSURANCE

# A. Regulatory Requirements:

- 1. Conform to Westchester County and New York State Department of Health regulations as well as the contract plans for performing the work of this Section.
- 2. Comply with requirements of utility company supplying water. Include tapping of water mains and backflow prevention.
- 3. Comply with standards of authorities having jurisdiction for potable-water-service piping, including materials, installation, testing, and disinfection.
- 4. Comply with standards of authorities having jurisdiction for fire-suppression water-service piping, including materials, hose threads, installation, and testing.
- B. Piping materials shall bear label, stamp, or other markings of specified testing agency.

- C. Per new Federal Lead Free Law, any product designed for dispensing potable water meet both the NSF 61 and NSF 372 test standards via third-party testing and certification.
- D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- E. Comply with ASTM F 645 for selection, design, and installation of thermoplastic water piping.
- F. Comply with FMG's "Approval Guide" or UL's "Fire Protection Equipment Directory" for fire-service-main products.
- G. NFPA Compliance: Comply with NFPA 24 for materials, installations, tests, flushing, and valve and hydrant supervision for fire-service-main piping for fire suppression.
- H. NSF Compliance:
  - 1. Comply with NSF 61 for materials for water-service piping and specialties for domestic water.
- I. Perform Work in accordance with AWWA C651 for disinfection of the distribution system.
- J. Perform Work in accordance with AWWA C600 for pressure testing of distribution main.
- K. Water Treatment Firm: Company specializing in disinfecting potable water systems specified in this Section with minimum three years experience.
- L. Testing Firm: Company specializing in testing potable water systems, approved by the State of New York.
- M. Submit bacteriologist's signature and authority associated with testing.

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Preparation for Transport: Prepare valves, including fire hydrants, according to the following:
  - 1. Ensure that valves are dry and internally protected against rust and corrosion.
  - 2. Protect valves against damage to threaded ends and flange faces.
  - 3. Set valves in best position for handling. Set valves closed to prevent rattling.
- B. During Storage: Use precautions for valves, including fire hydrants, according to the following:
  - 1. Do not remove end protectors unless necessary for inspection; then reinstall for storage.
  - 2. Protect from weather. Store indoors and maintain temperature higher than ambient dewpoint temperature. Support off the ground or pavement in watertight enclosures when outdoor storage is necessary.
- C. Handling: Use sling to handle valves and fire hydrants if size requires handling by crane or lift. Rig valves to avoid damage to exposed parts. Do not use handwheels or stems as lifting or rigging points.
- D. Deliver piping with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe-end damage and to prevent entrance of dirt, debris, and moisture.
- E. Protect stored piping from moisture and dirt. Elevate above grade. Do not exceed structural capacity of floor when storing inside.
- F. Protect flanges, fittings, and specialties from moisture and dirt.

# 1.8 PROJECT CONDITIONS

- A. Interruption of Existing Water-Distribution Service: Do not interrupt service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary water-distribution service according to requirements indicated:
  - 1. Notify Owner no fewer than two days in advance of proposed interruption of service.
  - 2. Do not proceed with interruption of water-distribution service without Owner's permission.

### 1.9 COORDINATION

A. Coordinate connection to water main with utility company.

#### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the products specified.
  - 2. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the manufacturers specified.

# 2.2 DUCTILE-IRON PIPE AND FITTINGS

- A. Ductile Iron Pipe: AWWA C151/C104, cement lined: thickness class 52. Pipe shall be push-on (Tyton) or mechanical joint pipe as manufactured by U.S. Pipe and Foundry, American Ductile Iron Pipe Company, Atlantic States Cast Iron Pipe Company or approved equal. Mechanical joint pipe shall be provided in specific locations as indicated in the contract plans. TR-Flex Restrained Joint Piping, as manufactured by U.S. Pipe and Foundry, or approved equal, shall be used for all horizontal directional drilling and cased boring applications.
  - 1. Fittings: Ductile iron, standard thickness, AWWA C110/C104, or Compact Fittings (AWWA C153).
  - 2. Joints: AWWA C111, rubber gasket.
- B. End Caps: Ductile iron, AWWA C110 as manufactured by U.S. Pipe and Foundry, American Ductile Iron Pipe Company, Clow or approved equal.
- C. Joint Restraint: AWWA C111. Joint restraints shall be U.L. listed and Factory Mutual approved to withstand a minimum pressure of 250 psi. The joint restraints shall be provided for all mechanical joint connections with twist off indicators and shall be as manufactured by EBAA Iron Megalug for mechanical joint pipe, U.S. Pipe and Foundry FIELD LOK 350 for push on joint, or approved equal. All push on joints shall be made with restraining gaskets.

#### 2.3 **HYDRANTS**

- A. Manufacturer and Model: Mueller Super Centurion 250A-423.
- Hydrants shall comply with AWWA C502 standards and conform to Village of Ossining Water В. Department standards. Hydrant shall be of the compression type, with the main valve opening against water pressure. The hydrant shall be dry-top, the bonnet and stuffing box shall be sealed from contact with water in the upper barrel.
- Hydrant shall be furnished with two, 2 ½" national standard thread hose nozzles and one, 4 ½" C. national standard thread pumper nozzle. Nozzles shall be field replaceable.
- Hydrant shall have minimum valve opening of 5 ½" and have a 6" mechanical joint inlet. D.
- E. Hydrant shall open counter clockwise (left) and shall be so marked on the hydrant bonnet.
- F. Hydrant shall have the name monogrammed or initialed by the manufacturer.
- G. Hydrant shall be rated for a working pressure of 250 psig.
- H. Hydrant Extensions: Fabricate in multiples of 6 inches with rod and coupling to increase barrel length.
- I. Finish: Primer and two coats of enamel in color matching existing hydrants, color subject to approval by the Owner's Representative.

#### 2.4 **GATE VALVES**

#### AWWA, Cast-Iron Gate Valves: A.

- 1. Available Manufacturers:
  - Mueller, or Approved equal
- Nonrising-Stem, Resilient-Seated Gate Valves: AWWA C509, gray- or ductile-iron body 2. and bonnet; with bronze or gray- or ductile-iron gate, resilient seats, bronze stem, shall open by turning to the left (counterclockwise), shall be furnished with 2" square operating nut and wrench and shall be designed to take full pressure on either face with no pressure on the opposite face. Extension stems shall be provided with bronze bushed brackets approximately 10 feet apart if the extensions are more than 10 feet in length or height.
  - Minimum Working Pressure: 250 psig. a.
  - End Connections: Mechanical joint. b.
  - Interior Coating: Complying with AWWA C550.
- 3. Valve Box: Valve boxes shall be shall be manufactured by Bingham & Taylor, or approved equal and shall be from one manufacturer. Valve boxes shall be of the sliding adjustable telescope type with a barrel not less than 5 1/4" in diameter with a round or oval base to fit the valve on which it is to be installed. One "T" type valve wrench of proper size shall be furnished for each type of valve. Wrenches shall be long enough to reach the deepest valve on the project with "T' handle three to five feet above grade. Valve boxes shall be provided with mating cast-iron drop covers. The direction of opening of the valve shall be indicated by an arrow in the direction of opening the word "open", cast in the cover. The word "water" shall be cast in the cover.

# 2.5 SERVICE MATERIALS

- A. Copper tubing: 3/4-inch minimum nominal diameter, seamless, type K virgin copper conforming to AWWA C-800, supplied in conformance with ASTM B88, suitable for underground installation.
- B. Plastic water tubing: 1-inch minimum nominal diameter, virgin, ultrahigh molecular weight polyethylene tubing, type PE3408, SDR-9, conforming to ASTM 2737 NSF-PW, rated for 200 psi working pressure, outside diameter of 1.125-inch for 1-inch tubing, 1.625-inch for 1½-inch tubing and 2.125-inch for 2-inch tubing. Plastic water tubing shall bear permanent identification markings that will remain legible during normal handling, storage, installation and service life and that will not reduce strength nor otherwise damage product. Include the following markings applied at intervals not more than 5 feet:
  - 1. Nominal Size,
  - 2. Standard PE code designation,
  - 3. Dimension ratio, diameter base and the word "Tubing",
  - 4. AWWA pressure class,
  - 5. AWWA designation number, C901,
  - 6. Manufacturer's name or trademark and production record code,
  - 7. Seal, or mark, of the testing agency that certified that suitability of pipe or tubing material for potable water products.
- C. Tracing wire for plastic services: plastic coated 10 gauge single strand copper wire.

# 2.6 CORPORATION STOPS

- A. Material
  - 1. All service brass will comply with AWWA C-800. Components in contact with potable water will also comply with the latest requirements of the Federal Safe Drinking Water Act, with ground key, ball or cylinder plug construction, AWWA CC inlet threads and compression outlet.
- B. Manufacturers for 1-Inch
  - 1. Mueller: H-15008N
- C. Manufacturers for 1½-inch, 1½ inch x 2-inch, and 2-Inch
  - 1. Mueller: H-9996N or H-10003N

# 2.7 CURB STOPS

- A. Material
  - 1. All service brass will comply with AWWA C-800. Components in contact with potable water will also comply with the latest requirements of the Federal Safe Drinking Water Act with ground key, ball or cylinder plug valve type with compression inlet and compression or iron pipe female outlet as required.
- B. Manufacturers for <sup>3</sup>/<sub>4</sub>-Inch and 1-Inch
  - 1. Mueller: H-1504-2N, B-25209N, or B-25172N.
- C. Manufacturers for 1 ½-Inch and 2-Inch
  - 1. Mueller: H-15209N

# 331400 - 7

# 2.8 CURB BOXES: 1<sup>1</sup>/<sub>4</sub>-INCH

# A. Design

- 1. Curb box: arch pattern extension type with a 5½-foot bury, 66-inch maximum extended length and compressed minimum length of 54-inch. Bottom section arch must fit 3/4-inch and 1-inch ball valve curb stop, with a ½-inch upper section.
- 2. Lids: cast-iron with a threaded brass sleeve insert and brass pentagon head plug. "WATER" cast in raised letters on the lid.
- 3. Curb rods: 5/8-inch outside diameter by 45-inch or 48-inch length, "S" type, with stainless steel rod and voke.
- 4. Cotter Pin: brass.

# B. Manufacturers:

- 1. Mueller: H-10314, with two-hole one piece lid
- 2. Ford: EA-2, with type PL lid
- 3. Or approved equal.

### 2.9 CURB BOXES - ENLARGED BASE

# A. Design

1. Adaptable with lower section of 1½-inch arch pattern curb boxes and sized to fit over 1½-inch and 2-inch ball curb stops manufactured by A.Y. McDonald, Ford, Hays and Mueller.

# B. Manufacturers:

- 1. Mueller: H-10310, with two-hole one piece lid
- 2. Ford: CB-7
- 3. Bingham & Taylor: 4901-B
- 4. Or approved equal.

# 2.10 TAPPING SADDLES FOR SERVICES ON DUCTILE IRON, CAST IRON AND ASBESTOS CEMENT MAINS.

### A. Design

- 1. Body: metal alloy red brass 85-5-5-5 in accordance with chemical and mechanical requirements of ASTM B-62 and AWWA C800. Strap nuts fabricated of the same brass alloy, with straps made of flattened silicon bronze.
- 2. Outlet: AWWA Standard CC female thread.
- 3. Gasket: BUNA-N rubber, as per ASTM-D2000 80M5 BG506.

## B. Manufacturers:

- 1. Ford: 202B
- 2. Jones: J-979
- 3. Romac: 202B
- 4. Or approved equal.

### 2.11 TAPPING SADDLES FOR SERVICES ON PVC MAINS

### A. Design

1. Body: Ductile iron conforming to ASTM 536-71 with anti-corrosive paint, or bronze conforming to ASTM B584 and B62; with two Teflon coated 18-8 type 304 stainless steel straps, with Teflon coated stainless steel nuts.

- 2. Outlet: AWWA Standard CC female thread.
- 3. Gasket: BUNA-N rubber, as per ASTM-D2000 80M5 BG506.
- В. Manufacturers for 1-inch
  - Mueller SS series
- C. Manufacturers for 2-inch to 12-inch:
  - Cascade: CSC2 1.
  - 2. JCM: 406
  - 3. Romac: 202S
  - 4. Or approved equal.

#### 2.12 **BRASS SERVICE COUPLINGS**

- A. Design
  - 1. Fabricated from metal alloy red brass 85-5-5 in accordance with the chemical and mechanical requirements of ASTM B62, with compression inlet and outlet.
- B. Manufacturers:
  - 1. Copper to copper, plastic tubing to plastic tubing or plastic tubing to copper:
    - Mueller: H-15403N a.
    - Ford C44-NC b.
    - Or approved equal.
  - 2. Copper or plastic tubing to iron pipe:
    - Ford: Series C45 a.
    - A.Y. McDonald b.
    - Or approved equal. c.
  - Iron pipe to iron pipe: 3.
    - Ford: Series C55
    - b. A.Y. McDonald
    - c. Or approved equal.
  - Female iron pipe thread to copper or plastic tubing: 4.
    - Mueller: H-15451 a.
    - b. Or approved equal.

#### 2.13 STEEL SERVICE COUPLINGS

- A. Design
  - 1. Body: steel, useable length 5-inch, gasket-armored, painted shop coat for repairing iron or galvanized pipe.
- B. Manufacturers:
  - 1. Iron pipe to iron pipe:
    - Dresser: Style 90, with armored gaskets
    - Grinnell Mechanical 705, 716, or 772 b.
    - c. or approved equal

#### 2.14 **DEFECTIVE TAP REPAIR SLEEVE**

A. Design

- 1. Body: constructed with 18-8 (type 304) stainless steel, with all accessories made of stainless steel.
- 2. Gaskets: virgin SBR material, formulated for water use.

### B. Manufacturers:

- JCM: 131, or 132
   Ford: FS1 or FS2
- 3. Cascade: CR1 or CR2
- 4. Power Seal: 3121AS or 3122AS
- 5. Romac: SS1 or SS2
- 6. Or approved equal.

### 2.15 BRASS SERVICE FITTINGS

- A. All brass components in contact with potable water must be made from either CDA/ UNS Brass Alloys C89520 or C89833 with a maximum lead content of 0.25% by weight.
- B. All fittings shall be stamped or embossed with a mark or name indicating that the product is manufactured from the low-lead alloy.

#### 2.16 DISINFECTION CHEMICALS

A. Chemicals: AWWA B300, Hypochlorite; AWWA B301, Liquid Chlorine; AWWA B302, ammonium sulfate.

# **PART 3 - EXECUTION**

# 3.1 EARTHWORK

A. Refer to Section 312000 "Earth Moving" for excavating, trenching, and backfilling.

# 3.2 PIPING APPLICATIONS

- A. General: Use pipe, fittings, and joining methods for piping systems according to the following applications.
- B. Transition couplings and special fittings with pressure ratings at least equal to piping pressure rating may be used, unless otherwise indicated.
- C. Do not use flanges or unions for underground piping.
- D. Flanges, unions, grooved-end-pipe couplings, and special fittings may be used, instead of joints indicated, on aboveground piping and piping in vaults.
- E. Underground water-service piping NPS 3/4 to NPS 3 shall be the following:
  - 1. Soft copper tube, ASTM B 88, Type K; wrought-copper, solder-joint fittings; and brazed joints.
- F. Underground water-service piping NPS 4 to NPS 8 shall be the following:

Retain one or more of seven subparagraphs below.

- Ductile-iron, push-on-joint pipe; ductile-iron, push-on-joint fittings; and gasketed 1. mechanical-joint pipe; ductile-iron, mechanical-joint fittings; and mechanical joints. Retain or delete paragraph below to suit Project.
- G. Underground Combined Water-Service and Fire-Service-Main Piping NPS 6 to NPS 12 shall be the following:
  - Ductile-iron, push-on-joint pipe; ductile-iron, push-on-joint fittings; and gasketed 1. mechanical-joint pipe; ductile-iron, mechanical-joint fittings; and mechanical joints.

#### 3.3 VALVE APPLICATIONS

- A. General Application: Use mechanical-joint-end valves for NPS 3 and larger underground installation. Use threaded- or flanged-end valves for installation in vaults. Use UL/FMG, nonrising-stem gate valves for installation with indicator posts. Use corporation valves and curb valves with ends compatible with piping, for NPS 2 and smaller installation.
- Drawings indicate valve types to be used. Where specific valve types are not indicated, the B. following requirements apply:
  - Underground Valves, NPS 3 and Larger: AWWA, cast-iron, nonrising-stem, resilient-1. seated gate valves with valve box.
  - 2. Underground Valves, NPS 4 and Larger, for Indicator Posts: UL/FMG, cast-iron, nonrising-stem gate valves with indicator post.
    - Gate Valves, NPS 3 and Larger: AWWA, cast iron, OS&Y rising stem, resilient a.
    - Check Valves: UL/FMG, swing type. b.
  - Pressure-Reducing Valves: Use for water-service piping in vaults and aboveground to 3. control water pressure.

#### 3.4 PIPING INSTALLATION

- Water-Main Connection: Tap water main according to requirements of water utility company and A. of size and in location indicated.
- В. Make connections larger than NPS 2 with tapping machine according to the following:
  - Install tapping sleeve and tapping valve according to MSS SP-60. 1.
  - 2. Install tapping sleeve on pipe to be tapped. Position flanged outlet for gate valve.
  - 3. Use tapping machine compatible with valve and tapping sleeve; cut hole in main. Remove tapping machine and connect water-service piping.
  - Install gate valve onto tapping sleeve. Comply with MSS SP-60. Install valve with stem 4. pointing up and with valve box.
- C. Make connections NPS 2 and smaller with drilling machine according to the following:
  - Install service-saddle assemblies and corporation valves in size, quantity, and arrangement 1. required by utility company standards.
  - 2. Install service-saddle assemblies on water-service pipe to be tapped. Position outlets for corporation valves.
  - Use drilling machine compatible with service-saddle assemblies and corporation valves. 3. Drill hole in main. Remove drilling machine and connect water-service piping.
  - 4. Install corporation valves into service-saddle assemblies.
  - Install manifold for multiple taps in water main. 5.
  - Install curb valve in water-service piping with head pointing up and with service box. 6.

- D. Comply with NFPA 24 for fire-service-main piping materials and installation.
  - 1. Install PE corrosion-protection encasement according to ASTM A 674 or AWWA C105.
  - 2. Install copper tube and fittings according to CDA's "Copper Tube Handbook."
- E. Install ductile-iron, water-service piping according to AWWA C600 and AWWA M41.
  - 1. Install PE corrosion-protection encasement according to ASTM A 674 or AWWA C105.
- F. Bury piping with depth of cover over top at least 48 inches, with top at least 12 inches below level of maximum frost penetration, and according to the following:
- G. Install piping by tunneling or jacking, or combination of both, under streets and other obstructions that cannot be disturbed.
- H. Extend water-service piping and connect to water-supply source and building-water-piping systems at outside face of building wall in locations and pipe sizes indicated.
  - 1. Terminate water-service piping at building wall until building-water-piping systems are installed. Terminate piping with caps, plugs, or flanges as required for piping material. Make connections to building-water-piping systems when those systems are installed.
- I. Sleeves are specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- J. Mechanical sleeve seals are specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- K. Install underground piping with restrained joints at horizontal and vertical changes in direction. Use restrained-joint piping, thrust blocks, anchors, tie-rods and clamps, and other supports.
- L. See Section 211200 "Fire-Suppression Standpipes," Section 211313 "Wet-Pipe Sprinkler Systems," for fire-suppression-water piping inside the building.
- M. See Section 221116 "Domestic Water Piping" for potable-water piping inside the building.

# 3.5 **JOINT CONSTRUCTION**

- A. See Section 330500 "Common Work Results for Utilities" for basic piping joint construction.
- B. Make pipe joints according to the following:
  - 1. Copper-Tubing, Pressure-Sealed Joints: Use proprietary crimping tool and procedure recommended by copper, pressure-seal-fitting manufacturer.
  - 2. Ductile-Iron Piping, Gasketed Joints for Water-Service Piping: AWWA C600 and AWWA M41.
  - 3. Ductile-Iron Piping, Gasketed Joints for Fire-Service-Main Piping: UL 194.
  - 4. Ductile-Iron Piping, Grooved Joints: Cut-groove pipe. Assemble joints with grooved-end, ductile-iron-piping couplings, gaskets, lubricant, and bolts according to coupling manufacturer's written instructions.
  - 5. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
    - a. Dielectric Fittings for NPS 2 and Smaller: Use dielectric unions.
    - b. Dielectric Fittings for NPS 2-1/2 to NPS 4: Use dielectric flange kits.
    - c. Dielectric Fittings for NPS 5 and Larger: Use dielectric flange kits.

# 3.6 ANCHORAGE INSTALLATION

A. Anchorage, General: Install water-distribution piping with restrained joints. Anchorages and restrained-joint types that may be used include the following:

- 1. Concrete thrust blocks.
- 2. Locking mechanical joints.
- Set-screw mechanical retainer glands. 3.
- 4. Bolted flanged joints.
- 5. Heat-fused joints.
- Pipe clamps and tie rods. 6.
- B. Install anchorages for tees, plugs and caps, bends, crosses, valves, and hydrant branches. Include anchorages for the following piping systems:
  - Gasketed-Joint, Ductile-Iron, Water-Service Piping: According to AWWA C600.
  - Gasketed-Joint, PVC Water-Service Piping: According to AWWA M23. 2.
  - 3. Fire-Service-Main Piping: According to NFPA 24.
- C. Apply full coat of asphalt or other acceptable corrosion-resistant material to surfaces of installed ferrous anchorage devices.

#### 3.7 VALVE INSTALLATION

- A. AWWA Gate Valves: Comply with AWWA C600 and AWWA M44. Install each underground valve with stem pointing up and with valve box.
- AWWA Valves Other Than Gate Valves: Comply with AWWA C600 and AWWA M44. В.
- C. UL/FMG, Gate Valves: Comply with NFPA 24. Install each underground valve and valves in vaults with stem pointing up and with vertical cast-iron indicator post.
- UL/FMG, Valves Other Than Gate Valves: Comply with NFPA 24. D.
- E. MSS Valves: Install as component of connected piping system.
- F. Corporation Valves and Curb Valves: Install each underground curb valve with head pointed up and with service box.
- G. Pressure-Reducing Valves: Install in vault or aboveground between shutoff valves. Install fullsize valved bypass.

#### 3.8 HYDRANT INSTALLATION

- Set hydrants and valves on solid concrete block bearing on undisturbed soil or rock. A.
- В. Center and plumb valve box over valve. The boxes shall be fitted together securely and set so that the cover is flush with the surface of the ground and street. Before permanent paving or surface restoration is placed, the Contractor shall, if necessary, raise or lower the valve boxes so that the covers shall be even with the final surface of the permanent paving or surface restoration.
- C. Set hydrants plumb; locate pumper nozzle perpendicular to and facing roadway.
- D. Set hydrants to grade, with nozzles at least 20 inches above ground.
- E. Provide a drainage pit 36 inches square by 24 inches deep filled with crushed stone. Encase elbow of hydrant in crushed stone to 6 inches (150 mm) above drain opening. Do not connect drain opening to sewer. Hydrant drains shall be plugged in areas of high ground water
- F. Paint hydrants with one coat of primer and 2 coats of enamel in accordance with manufacturer's recommendations to match the existing color of installed hydrants.

#### 3.9 BACKFLOW PREVENTER INSTALLATION

- A. Install backflow preventers of type, size, and capacity indicated. Include valves and test cocks. Install according to requirements of plumbing and health department and authorities having jurisdiction.
- Do not install backflow preventers that have relief drain in vault or in other spaces subject to B. flooding.
- C. Do not install bypass piping around backflow preventers.

#### PROTECTIVE ENCLOSURE INSTALLATION 3.10

- A. Install concrete base level and with top approximately 2 inches above grade.
- В. Install protective enclosure over valves and equipment.
- C. Anchor protective enclosure to concrete base.

#### 3.11 **CONNECTIONS**

A. Connect water-distribution piping to existing water main. Use service clamp and corporation valve.

#### 3.12 FIELD QUALITY CONTROL

- A. Examination of Piping:
  - Verify that piping system has been cleaned, inspected, and pressure tested. Perform initial pressure testing for a minimum of 1 hour and confirm that p valves do not leak.
  - 2. Provide and attach required equipment to perform the work of this Section.
  - Perform scheduling, pressure testing and disinfecting activity with start-up, testing, 3. adjusting and balancing demonstration procedures, including coordination with related systems.
- B. Piping Tests: Conduct piping tests before joints are covered and after concrete thrust blocks have hardened sufficiently. Fill pipeline 24 hours before testing and apply test pressure to stabilize system. Use only potable water.
- C. Hydrostatic Tests: Test at not less than one-and-one-half times normal operating pressure of the system and in no case less than 150 psi for two hours.
  - 1. Increase pressure in 50-psig increments and inspect each joint between increments. Hold at test pressure for 1 hour; decrease to 0 psig. Slowly increase again to test pressure and hold for 1 more hour. Maximum allowable leakage is 2 quarts per hour per 100 joints. Remake leaking joints with new materials and repeat test until leakage is within allowed
- D. Test each backflow prevention device including, reduced pressure principle backflow, double check backflow and/or double-check detector assembly backflow as required by NYS Cross Connection Control Guidelines, and as required by the manufacturer.
  - Complete test reports as required by NYS DOH, including the DOH-1013 form (part A). 1.
- The tapping saddle shall be pressure tested for a period of 2 hours prior to final acceptance of the E. wet tap connection.
- F. Prepare reports of testing activities.

#### 3.13 **CLEANING**

- Clean and disinfect water-distribution piping as follows: A.
  - Purge new water-distribution piping systems and parts of existing systems that have been altered, extended, or repaired before use.
  - 2. Use purging and disinfecting procedure prescribed by authorities having jurisdiction or, if method is not prescribed by authorities having jurisdiction, use procedure described in NFPA 24 for flushing of piping. Flush piping system with clean, potable water until dirty water does not appear at points of outlet.
  - Use purging and disinfecting procedure prescribed by authorities having jurisdiction or, if 3. method is not prescribed by authorities having jurisdiction, use procedure described in AWWA C651 or do as follows:
    - Prior to disinfection, the water main shall be filled to eliminate air pockets and shall be flushed to remove particulates. A flushing velocity of at least 2.5 ft/sec shall be obtained in the water main. The Owner shall provide water for flushing purposes for the initial disinfection testing only. Any required retesting shall be at the sole expense of the Contractor. The Contractor shall be responsible for proper disposal of all flushing water.
    - Perform disinfection testing by injecting treatment disinfectant into piping system. b. Initial chlorine residual shall not be less than 50 ppm.
    - Maintain disinfectant in system for 24 hours. At the end of testing, chlorine c. residual shall not be less than 25 ppm. Should this level not be achieved, rechlorination is required.
    - After standing time and achieving required free chlorine residuals, flush system d. with clean, potable water until chlorine residual matches water coming from system.
    - In accordance with AWWA C651 standards, provide two (2) sets of bacteriological e. sample results in sterile bottles to independent laboratory with a second sample collected approximately 24 hours after the first sample.
    - Add neutralizing chemical for heavily chlorinated water, as required by f. Westchester County Department of Health.
    - Flush, circulate, and clean until required cleanliness is achieved; use existing water g. supply.
    - Replace permanent system devices removed for disinfection. h. Determine if testing meets the required disinfection and bacteriological standards. If test results fail to meet required standards, clean water main, re-disinfect and perform testing until satisfactory results are obtained at the Contractor's sole expense. The Contractor shall pay for or provide any supply water required for retesting.
- В. Prepare reports of purging and disinfecting activities. Include copies of water-sample approvals from NYS Department of Health approved lab.

END OF SECTION 331400

# 33 4100 - 1

### SECTION 334100 - STORM & SANITARY UTILITY PIPING

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Pipe and fittings.
  - 2. Cleanouts
  - 3. Inline drains and drain basins
  - 4. Catch basins

# 1.2 **DEFINITIONS**

- A. CPP: Corrugated polyethylene plastic.
- B. PVC: Polyvinyl chloride plastic.
- C. SICPP: Smooth Interior corrugated polyethylene plastic
- D. PCPP: Perforated, corrugated polyethylene plastic

# 1.3 PERFORMANCE REQUIREMENTS

A. Gravity-Flow, Non-pressure, Drainage-Piping Pressure Rating: 10-Foot head of water. Pipe joints shall be at least silt tight, unless otherwise indicated.

### 1.4 SUBMITTALS

- A. Product Data: For the following:
  - 1. Pipe
  - 2. Manholes

# 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Do not store plastic manholes, pipe, and fittings in direct sunlight.
- B. Protect pipe, pipe fittings, and seals from dirt and damage.
- C. Handle catch basins according to manufacturer's written rigging instructions.

# 1.6 PROJECT CONDITIONS

- A. Interruption of Existing Storm Drainage Service: Do not interrupt service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary service according to requirements indicated:
  - 1. Notify Owner no fewer than two days in advance of proposed interruption of service.
  - 2. Do not proceed with interruption of service without Owner's written permission.

### PART 2 - PRODUCTS

# 2.1 PE PIPE AND FITTINGS

- A. Corrugated PE Drainage Pipe and Fittings NPS 3 to NPS 10: AASHTO M 252M, Type S, with smooth waterway for coupling joints.
  - 1. Soiltight Couplings: AASHTO M 252M, corrugated, matching tube and fittings.

- 33 4100 2
- B. Corrugated PE Pipe and Fittings NPS 12 to NPS 60: AASHTO M 294M, Type S, with smooth waterway for coupling joints.
  - 1. Soiltight Couplings: AASHTO M 294M, corrugated, matching pipe and fittings.

### 2.2 SICPP AND PCPP PIPE AND FITTINGS

- A. Smooth interior corrugated polyethylene drainage pipe (SICPP) or corrugated polyethylene pipe (CPP) and Fittings: AASHTO M 252M or M 294M, Type S, with smooth waterway for coupling joints.
  - 1. Soiltight Couplings: AASHTO M 252M, corrugated, matching tube and fittings.
- B. Perforated corrugated polyethylene drainage pipe (PCPP) and fittings.

### 2.3 PVC PIPE AND FITTINGS

- A. PVC Gravity Sewer Piping:
  - 1. Pipe: ASTM D3034, SDR 35 or ASTM D2241 SDR 21, PVC sewer pipe with bell-and-spigot ends for gasketed joints.
    - a. Fittings: ASTM D3034, PVC with bell ends.
    - b. Gaskets: ASTM F477, elastomeric seals.
  - 2. Pipe and Fittings greater that 18-inches in diameter: ASTM F679, PS-46, PVC gravity sewer pipe with bell-and-spigot ends and with integral ASTM F477, elastomeric seals for gasketed joints.

# 2.4 MANHOLES

- A. Description: ASTM C478, precast, reinforced concrete, of depth indicated, with provision for sealant joints.
- B. Diameter: 48 inches minimum unless otherwise indicated.
- C. Ballast: Increase thickness of precast concrete sections or add concrete to base section, as required to prevent flotation.
- D. Base Section: 6-inch minimum thickness for floor slab and 4-inch minimum thickness for walls and base riser section; with separate base slab or base section with integral floor.
- E. Riser Sections: 4-inch minimum thickness, of length to provide depth indicated.
- F. Top Section: Eccentric-cone type unless concentric-cone or flat-slab-top type is indicated; with top of cone of size that matches grade rings.
- G. Joint Sealant: ASTM C990, bitumen or butyl rubber.
- H. Resilient Pipe Connectors: ASTM C923, cast or fitted into manhole walls, for each pipe connection.
- I. Steps: Individual Steel reinforced polypropylene ASTM A615/A615M, deformed, 1/2-inch steel reinforcing rods encased in ASTM D4101, PP wide enough to allow worker to place both feet on one step and designed to prevent lateral slippage off step. Cast or anchor steps into sidewalls at 12-to 16-inch intervals. Omit steps if total depth from floor of manhole to finished grade is less than 48 inches.
- J. Adjusting Rings: Interlocking HDPE rings, with level or sloped edge in thickness and diameter matching manhole frame and cover, and with height as required to adjust manhole frame and cover to indicated elevation and slope. Include sealant recommended by ring manufacturer.

- K. Grade Rings: Reinforced-concrete rings, 6- to 9-inch total thickness, with diameter matching manhole frame and cover, and with height as required to adjust manhole frame and cover to indicated elevation and slope.
- L. Manhole Frames and Covers:
  - a. Provide in accordance with details shown on Drawings or equivalent by one of the following acceptable manufacturers. Include indented top design with lettering cast into cover, using wording equivalent to "STORM SEWER."
  - b. Acceptable Manufacturers:
    - a. Neenah Foundry
    - b. Syracuse Castings
    - c. Jordan Iron Works
  - c. Material: ASTM A536, Grade 60-40-18 ductile or ASTM A48/A48M, Class 35 gray iron unless otherwise indicated.

### 2.5 CONCRETE

- A. General: Cast-in-place concrete in accordance with ACI 318, ACI 350, and the following:
  - 1. Cement: ASTM C150/C150M, Type II.
  - 2. Fine Aggregate: ASTM C33/C33M, sand.
  - 3. Coarse Aggregate: ASTM C33/C33M, crushed gravel.
  - Water: Potable.
- B. Portland Cement Design Mix: 4000 psi minimum, with 0.45 maximum water/cementitious materials ratio.
  - 1. Reinforcing Fabric: ASTM A1064/A1064M, steel, welded wire fabric, plain.
  - 2. Reinforcing Bars: ASTM A615/A615M, Grade 60 (420 MPa) deformed steel.
- C. Manhole Channels and Benches: Factory or field formed from concrete. Portland cement design mix, 4000 psi minimum, with 0.45 maximum water/cementitious materials ratio. Include channels and benches in manholes.
  - 1. Channels: Concrete invert, formed to same width as connected piping, with height of vertical sides to three-fourths of pipe diameter. Form curved channels with smooth, uniform radius and slope.
    - a. Invert Slope: 1-percent through manhole.
  - 2. Benches: Concrete, sloped to drain into channel.
- D. Ballast and Pipe Supports: Portland cement design mix, 3000 psi minimum, with 0.58 maximum water/cementitious materials ratio.
  - 1. Reinforcing Fabric: ASTM A1064/A1064M, steel, welded wire fabric, plain.
  - 2. Reinforcing Bars: ASTM A615/A615M, Grade 60 (420 MPa) deformed steel.

# PART 3 - EXECUTION

### 3.1 EARTHWORK

A. Excavation, trenching, and backfilling are specified in Section 312000 "Earth Moving."

### 3.2 PIPING INSTALLATION

A. General Locations and Arrangements: Drawing plans and details indicate general location and arrangement of underground storm drainage piping. Location and arrangement of piping layout take into account design considerations. Install piping as indicated, to extent practical. Where specific installation is not indicated, follow piping manufacturer's written instructions.

- B. Install piping beginning at low point, true to grades and alignment indicated with unbroken continuity of invert. Place bell ends of piping facing upstream. Install gaskets, seals, sleeves, and couplings according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
- C. Install manholes for changes in direction unless fittings are indicated. Use fittings for branch connections unless direct tap into existing sewer is indicated.
- D. Install proper size increasers, reducers, and couplings where different sizes or materials of pipes and fittings are connected. Reducing size of piping in direction of flow is prohibited.
- E. When installing pipe under streets or other obstructions that cannot be disturbed, use pipe-jacking process of microtunneling.
- F. Install gravity-flow, nonpressure drainage piping according to the following:
  - 1. Install piping pitched down in direction of flow.
  - 2. Install ABS sewer piping according to ASTM D 2321 and ASTM F 1668.
  - 3. Install PE corrugated sewer piping according to ASTM D 2321.
  - 4. Install PVC sewer piping according to ASTM D 2321 and ASTM F 1668.
  - 5. Install PVC profile gravity sewer piping according to ASTM D 2321 and ASTM F 1668.

# 3.3 FIELD QUALITY CONTROL

- A. All pipe lines and structures shall be made as watertight as possible.
- B. Inspect interior of piping to determine whether line displacement or other damage has occurred. Inspect after approximately 24 inches of backfill is in place, and again at completion of Project.
  - 1. Submit separate report for each system inspection.
  - 2. Defects requiring correction include the following:
    - a. Alignment: Less than full diameter of inside of pipe is visible between structures.
    - b. Deflection: Flexible piping with deflection that prevents passage of ball or cylinder of size not less than 92.5 percent of piping diameter.
    - c. Damage: Crushed, broken, cracked, or otherwise damaged piping.
    - d. Infiltration: Water leakage into piping.
    - e. Exfiltration: Water leakage from or around piping.
  - 3. Replace defective piping using new materials, and repeat inspections until defects are within allowances specified.
  - 4. Reinspect and repeat procedure until results are satisfactory.
- C. Sewers shall not be tested until at least 14 days after installation and backfill.
- D. Test new piping systems, and parts of existing systems that have been altered, extended, or repaired, for leaks and defects.
  - 1. Test completed piping systems according to requirements of authorities having jurisdiction and the requirements herein.
  - 2. Schedule tests and inspections by authorities having jurisdiction with at least 48 hours' advance notice.
  - 3. Submit separate report for each test.
  - 4. Deflection Tests: Test PVC piping to insure passage of ball or cylinder of size not less than 92.5 percent of piping diameter.
    - a. The test shall be conducted without the use of mechanical pulling devices.

- b. If deflection exceeds 7.5%, the failing pipe section shall be removed and replaced and the test repeated.
- 5. Air Tests: Test sanitary sewerage according to requirements of authorities having jurisdiction and the following, if groundwater levels are below the invert of the sewer being tested:
  - a. Test plastic gravity sewer piping according to ASTM F 1417.
- 6. Hydrostatic Tests: Test sanitary sewerage according to requirements of authorities having jurisdiction and the following if groundwater levels are above the invert of the sewer being tested:
  - a. Close openings in system and fill with water.
  - b. The hydrostatic test shall be performed with a minimum positive head of 2 feet above the top of the crown of the pipe, or the existing groundwater levels, whichever is higher
  - c. Purge air and refill with water.
  - d. Disconnect water supply.
  - e. Allowable leakage is maximum of 100 gal./inch of nominal pipe size per mile of pipe, during 24-hour period.
- 7. Manholes: Perform air test according to ASTM C 1244.
- E. Leaks and loss in test pressure constitute defects that must be repaired.
- F. Replace leaking piping using new materials, and repeat testing until leakage is within allowances specified.

### 3.4 CLEANING

- A. Clean interior of new piping of dirt and superfluous materials.
- B. All storm piping and structures shall be flushed clean with potable water upon completion of the work and before acceptance of work.

END OF SECTION 334100

# 33 9300 – STORM / SANITARY MANHOLES

### PART 1 - GENERAL

#### 1.1 WORK DEFINED

A. Work shall include, but not be limited to, providing all excavation, shoring, sheeting, grading, backfilling, compaction and restoration necessary to complete the work and furnishing and installing all manhole frames, grates, and covers, all drop inlet frames and grates, adjustment bricks or rings, coatings, O-rings, trash racks, anti-seepage collars, steps, benches, inverts, and testing. Shallow manholes shall be constructed-in-place wherever the depth of the proposed manhole is less than minimum for a precast manhole base.

#### 1.2 **SUBMITTALS**

- Submit manufacturer's data and shop drawings for precast manhole base, riser and precast tops, A. manhole steps (when required), manhole frames and covers or grates, coatings, pipe connectors, etc.
- В. Submit manufacturer's data on precast structures with frames and grates.
- Manufacturers certifications of compliance for precast concrete sections and castings shall be C. submitted.

#### **QUALITY ASSURANCE** 1.3

All work for structures and manholes shall be in conformance with the Owner's requirements. A.

#### HANDLING 1.4

- Store all materials so they can be easily inspected and so they will not be damaged prior to A. installation.
- B. In the event of damage, either in delivery or installation, the damaged section shall be immediately removed from the project site and replaced at no additional cost to the Owner.

## PART 2 - PRODUCTS AND MATERIALS

#### 2.1 PRECAST CIRCULAR MANHOLE SECTIONS

- Precast grade rings, riser bases, and risers shall be circular in cross section and precast tops shall A. be of the eccentric cone type unless the depth of manhole prohibits its use. In those instances flat slab tops shall be used. The flat slab shall be reinforced to withstand AASHTO H20-44 concentrated wheel loadings.
- В. All precast sections shall conform to ASTM C-478 construction and minimum dimension criteria. Riser sections shall be of maximum practicable length to attain the specified grade elevation with the minimum number of joints and the shortest possible chimney.
- C. All concrete shall be made with Type II Portland Cement.

- D. Precast reinforced bases shall be integrally cast with wall riser conforming to ASTM C-478 with a minimum 3500 psi concrete.
- E. Openings in precast riser sections to receive pipes shall be accurately cast, both vertically and circumferentially. Where openings are incorrectly cast, the riser section shall be removed from the project site and replaced with a satisfactory base. Costs for replacements, whether attributed to improper manufacturing, field changes, or for any other reason shall be borne by the Contractor.
- F. Provide adequate lifting anchors on each precast section.

### 2.2 CAST-IN-PLACE CONCRETE

A. Cast-in-place concrete shall be in accordance with Section 033000.

# 2.3 JOINTS

- A. Joints shall be formed with male and female ends so that when assembled, a continuous and uniform manhole without appreciable irregularities in interior wall surfaces will be completed.
- B. O-ring seals shall conform to ASTM C-443.
- C. Permissible variations are as follows:
  - 1. Internal dimensions not more than one percent.
  - 2. Wall thickness not more than 5% or +3/16 inch whichever is greater.
  - 3. Length of two opposites sides not more than 5/8 inch.
  - 4. Length of section not more than 1/2 inch in any one section.
- D. Seal all manhole section joints with flexible joint sealant which shall be "Kent Seal No. 2" by Hamilton-Kent, Butyl-Tite by Blue Ridge Rubber Company or approved equal.

# 2.4 MANHOLE STEPS

- A. Manhole steps shall be installed by the precast manhole manufacturer and integrally cast into the precast riser sections.
- B. Manhole steps shall be provided and shall be designed for a concentrated live load of 300 lbs. The steps shall be manufactured of a material which is resistant to highly corrosive conditions. The steps shall be constructed of a minimum 1/2" grade 60 steel reinforcement imbedded in copolymer polypropylene plastic.
- C. Steps shall be embedded in the wall sections of a minimum of 3 inches and project a minimum clear distance of 4 inches from the points of embedment.
- D. The minimum centerline width of rungs shall be 12 inches.
- E. Steps in riser and conical sections shall be aligned in each section so as to form a continuous ladder with rungs equally spaced vertically in the assembled manhole at a maximum distance of 12" apart. The lowest rung shall be within 18" of solid footing (e.g. structure bench) upon which the person descending the rungs would normally step. The uppermost rung shall be set within 18" of the rim of the structure frame to act as a handhold. If this rung must be set in the brick

33 9300 - 3

chimney, it shall set so as to extend 3" from the face of the brick to facilitate easy passage. Make every effort to locate rungs on a wall with no pipe penetrations.

# 2.5 BRICK

A. Sewer brick - ASTM C32, Grade SS, first quality sound, hard burned brick, regular and uniform in shape and size and of compact texture.

### 2.6 MORTAR

- A. Conform to ASTM C270, Type M or S.
- B. Mix in a suitable mixer or watertight mixing box. Thoroughly mix dry materials and then add sufficient water to bring the mixture to a workable consistency. The use of mortar that has begun to set, and the retempering of the mortar, are prohibited.
- C. Water shall be clean, fresh, and potable.
- D. Antifreeze compounds and liquids are not permitted in mortar.

### 2.7 HYDRAULIC CEMENT

A. "Sikaplug" by Sika Chemical Corp., "Waterplug" by Standard Dry Wall Products, Inc., or approved equal.

# 2.8 MANHOLE COVERS, GRATES, AND FRAMES

- A. All manhole frames and grates, shall be iron castings conforming to ASTM A48, Class 30. They shall be true to pattern in form and dimensions, free from pouring faults, sponginess, cracks, blow holes and other defects. Casting shall have boldly filiated angles and the airs shall be sharp and perfect. After casting and prior to shipping, smooth and clean all surfaces by sand blasting. Castings shall be factory coated with coal tar pitch varnish. Castings shall be thoroughly shop coated with one coat of Koppers Super Service Black or coal tar enamel or asphalt base bituminous material with a minimum dry thickness of 12 mils.
- B. All frames and grates must conform to these specifications as to quality, strength, thickness of metal and finish. Covers shall be furnished with lettering on face where required and as shown on the Contract Drawings. All castings shall be designed to sustain AASHTO H20-44 wheel loadings. The minimum thickness of metal at any point shall be 3/4".
- C. Fabricate all frames, grates, and covers so that covers and grates fit in any position without rocking. Mill horizontal fitting surfaces to a true and even surface to insure uniform bearing. Units and portions of units shall be interchangeable.
- D. All manhole covers shall be solid and provide a non-penetrating pickhole for lifting. The covers shall have a non-skid surface and shall have raised lettering of 2" or more in size (cast into the cover). On the covers the following shall be clearly printed: "SANITARY" or "STORM" as appropriate. Provide inlet covers where noted.

# 2.9 PIPE CONNECTIONS TO NEW MANHOLES

- A. A gasket shall be cast into the manhole wall for sanitary manholes to assure a watertight connection with the attached pipe. The gasket shall meet the requirements of ASTM C-923.
- B. Gasket shall be "A-Lok" by A-Lok, Inc., of Trenton, New Jersey, or approved equal.
- C. All storm connections shall be grouted solid with non-shrink grout. Pipes shall be cut flush with inside structure wall.

### 2.10 CONCRETE BENCH WALLS

A. Concrete for bench walls and inverts shall be Type A as specified in Section 03300.

# 2.11 CLEANOUT FRAME AND COVER

A. Frames and covers for cleanout inspection ports shall be cast iron with blind pick hole meeting ASTM A48 Class 30 or better. The top surface shall have a non-skid surface and shall bear the title "STORM" or "SANITARY".

#### **PART 3 - EXECUTION**

### 3.1 PREPARATION

A. Verify that excavation is in the proper location, that pipes have been installed at the correct elevations and that the subgrade has been properly prepared.

# 3.2 ORIENTATION OF COVERS, GRATES, AND RUNGS

- A. General The intent of this paragraph is to provide guidance in the selection of the location of the frame and cover. The location should provide for the safety of all, during and after construction of the work, provide for convenient ingress and egress, and minimize adverse visual impacts. Unless otherwise specified, shown on the Drawings, or directed by the Engineer, orient the location of covers and steps by using the following criteria, with precedence given in the order presented.
- B. Safety Give primary concern to safety considerations for providing convenient access to structure interiors.
- C. Covers and Pavements To avoid future problems with snow removal or street cleaning, orient covers to lie completely outside of paved surfaces, including walks and roadways. If this cannot be accomplished, locate cover completely in pavement. Covers partially in pavement are not permitted without the prior approval of the Engineer. When covers occur in paved areas, locate entirely within a single traffic lane and as near to the edge of pavement as is possible, but no closer than 8" from the edge of pavement.
- D. Ingress/Egress Coupled with the above, convenient and safe access to within the structure must be evaluated. Coordinate cover location with pipe openings, structure benches and inverts, safety landings and the like. Make every effort to locate steps on a wall with no pipe penetrations and, where steps are not specified to be provided, consideration shall be given to the safest means of seating the feet of ladders which will be used for access to structure interiors.

E. Non-compliance - Non-compliance with the requirements of this paragraph may result in Engineer's disapproval of the entire structure. When requested, Engineer will assist in determining the optimum location of covers, grates, and rungs.

# 3.3 CONSTRUCTION OF NEW MANHOLES AND STRUCTURES

- A. Precast Bases: Place stone bedding, level, and tamp firmly in place. When absolutely necessary, pea stone may be used for minor grade adjustments in the stone bedding, but the depth shall not exceed 3/4". Carefully lower precast base in place, taking extra care not to shift the stone bedding, and align all openings with the pipes to be connected. Leveling of the base by tamping or pounding on the top of the precast product is prohibited. If base is not level, lift it out, readjust stone bedding, and reset base. Continue this procedure until base is level.
- B. Precast Risers and Top Sections: Thoroughly clean all joints of precast sections and install jointing material. Carefully set precast sections in place, making sure that jointing material is not displaced and that a good seal is attained. Fill all lifting holes with hydraulic cement.
- C. Filling Precast Section Joints: Fill interior and exterior joints with hydraulic cement. Cover inside and outside of joint with two coats of Koppers Bitumastic Super Service Black Coal Tar or approved equal.
- D. Inverts: Inverts built upon precast base shall be constructed of concrete brick, half sections of sewer pipe or be cast in place. Care shall be exercised in forming inverts to give proper slope and shape to each channel. Bench walls shall be constructed of sewer brick and bench filled with concrete. Bench walls shall be carried a minimum of one brick course above top of highest pipe entering the manhole. Slope the top surface of the benching towards the channel as a pitch of approximately 1/2"/ft.

# E. Roof Slabs

- 1. Slab shall be formed to fit into ends of vertical pipe and shall have full bearing for its entire circumference. The slab shall be set in bed of cement mortar.
- 2. Opening diameter shall match manhole casting inside base diameter.
- 3. The location of center of opening for frame and cover shall be 1'-6" from inside of manhole wall unless otherwise noted.

# F. Chimneys

- 1. Precast grade rings set in mortar shall be used to bring manhole frames to grade, maximum 8" height for grade ring.
- G. All manhole frames shall be set in mortar and concrete fill placed around the outside and kept 2" below the top of the frame.
- H. The Contractor shall be responsible for maintaining and keeping all manholes clean and free of debris until completion of the contract.

# 3.4 CONNECTION TO EXISTING MANHOLE

A. Where noted on the Drawings or as directed by the Engineer, the Contractor shall make connection to existing manhole. The use of excessive force or blunt instruments is prohibited in installing the pipe into the existing wall. If a stub exists and matches the size of the new sewer, the Contractor shall connect to the stub. The stub to manhole connection should be checked and

repaired if damaged or in poor shape. If stub does not match the size of the new sewer, the stub shall be removed and the new sewer shall be inserted into the manhole.

- B. In making the connection to existing manhole, it shall be the Contractor's responsibility to dewater the structure in order to make the connection.
- C. Trim all pipes flush with inside wall of structure.

### 3.5 INSTALLATION OF PRECAST MANHOLES

- A. Precast Bases: Place stone bedding, level, and tamp firmly in place. When absolutely necessary, pea stone may be used for minor adjustments in final leveling, but the depth shall not exceed 3/4". Carefully lower precast base in place, taking extra care not to shift the stone bedding, and align all openings with the pipes to be connected. Leveling of the base by tamping or pounding on the top of the precast product is prohibited. If base is not level, lift it out, readjust stone bedding, and reset base. Continue this procedure until base is level.
- B. Precast Risers and Top Sections: Thoroughly clean all joints of precast sections and install jointing material. Carefully set precast sections in place, making sure that rubber gasket jointing material is not displaced and that a good seal is attained. Fill all lifting holes with hydraulic cement.

### 3.6 SANITARY MANHOLE TESTING

- A. Completed sanitary manholes only shall be subject to vacuum tests. When the sanitary sewer is tested and approved by the Engineer, the manholes shall be tested. The inlet and outlet pipe for the manholes shall be plugged with a plug that allows no leakage.
- B. The test head shall be placed inside the 24-inch opening and the seal inflated in accordance with the manufacturer's recommendations.
- C. A vacuum of 10 inches of mercury shall be drawn and the vacuum pump shut off. With the valves closed, the time shall be measured for the vacuum to drop to 9 inches. The manhole shall pass the test if the time is greater than the following:

Diameter of Manhole	Time (seconds)
48"	60
60"	75
72	90

- D. If the manhole fails the initial test, necessary repairs shall be made. Retesting shall proceed until satisfactory results are obtained.
- E. All structures, manholes, and drop inlets shall be visually inspected for tight joints and neat workmanship. The flow lines in a structure shall be smooth and neat.

### 3.7 REJECTION AND REPAIR

- A. Manhole sections shall be subject to rejection on account of failure to conform to any of the specification requirements. In addition, individual sections of manhole sections may be rejected because of any of the following:
  - 1. Fractures or cracks passing through the wall, except for a single end crack that does not exceed the depth of the joint.
  - 2. Defects that indicate imperfect proportioning, mixing, and molding.
  - 3. Surface defects indicating honeycombed or open texture.
  - 4. Damaged or cracked ends, where such damage would prevent making a satisfactory joint.
  - 5. Any continuous crack having a surface width of 0.01 inch or more and extending for a length of 12 inches or more.
- B. Manhole sections may be repaired, with prior approval of the Engineer, if necessary, because of occasional imperfections in manufacture or accidental injury during handling and will be acceptable if, in the opinion of the Engineer, the repairs are sound and properly finished and cured and the repaired manhole sections conform to the requirements of this specification.

# 3.8 MARKING AND PAINTING

- A. Name and trademark of the manufacturer shall be clearly marked on each manhole section.
- B. Marking shall be indented into the manhole bases, risers, and top sections or shall be painted thereon with waterproof paint.
- C. The precast manufacturer shall apply one coat (12 mils minimum per coat) of bitumastic coal tar to all internal areas of all precast sections used for sanitary manholes in accordance with the paint manufacturer's instructions. This material shall be Koppers Super Service Black or equal.
- D. Immediately after installation is completed, the Contractor shall touch up all marks, scars, and imperfections found and paint all interior surfaces not factory coated with two coats of coal tar, Koppers Super Service Black or equal.
- E. Cover inside and outside of joints all around with flexible joint sealer and two (2) coats of Koppers Super Service Black or equal.

END OF SECTION 339300