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NYS Office of Parks Recreation & Historic Preservation
Taconic Regional Administrative Headquarters
9 Old Post Road
PO Box 308
Staatsburg, NY 12580
Tel: 845-889-3899

ADDENDUM NO. 6

Total Pages 18

7/16/2021

TA-PM-2022-001

Philipse Manor Hall State Historic Site – Construction of Elevator/Restroom Addition, Interior and Exterior Rehabilitation and Site Enhancements

CONTRACT NUMBER

D005805 General Construction

GENERAL INFORMATION

- 1) Read all plans, specifications, and addenda carefully.
- 2) **IMPORTANT** - Acknowledge receipt of all addenda on the bid form when submitting your bid.
- 3) Confirm via email to joanne.beaulieu@parks.ny.gov your receipt of this addendum.
- 4) This Addendum is part of the Contract Documents.

IMPORTANT CHANGES

- 1) Sealed bids for Sealed proposal for Construction of Elevator/Restroom Addition, Interior and Exterior Rehabilitation and Site Enhancements at Philipse Manor Hall State Historic Site, 29 Warburton Ave. Yonkers, Westchester County, New York will be received by the **New York State Office of Parks, Recreation and Historic Preservation (OPRHP), Taconic Region at 9 Old Post Road Staatsburg, NY 12580** Attn: Garrett Jobson until **3:00 P.M. local time, July 21, 2021.**

3) **ATTACHMENTS**

The following attachments shall be incorporated into the Contract Documents as applicable:

- 1) Current Plan Holders List
- 2) Section 09 51 00 – Acoustical Ceilings
- 3) NYS Department of Taxation and Finance Letter Dated April 1, 2014
- 4) NYS Department of Taxation and Finance ST-120.1 (5/16)
- 5) E101 – Electrical Cottage Plans
- 6) E111 – Electrical Museum First Floor Plan
- 7) E112 – Electrical Museum Second Floor Plan
- 8) E113 – Electrical Museum Ceiling Plans

CLARIFICATIONS

The following items are clarifications for the drawings:

1. Reference Drawing E100, "ELECTRICAL COTTAGE ONE-LINE DIAGRAM": Extend branch circuit designation "5" to "SHED LIGHT L5".
2. Reference Drawing E110, "ELECTRICAL MUSEUM ONE-LINE DIAGRAM": DELETE "DP-1N CONT.", and SUBSTITUTE THEREFORE, "DP-3 CONT."
3. Reference Drawing E404, "ELECTRICAL SCHEDULES 2": DELETE "GENERAL NOTES, PANEL 'LP-1'", and SUBSTITUTE THEREFORE, "GENERAL NOTES, PANEL 'DP-3'".

Contractor Questions and Answers

Q1. WB -01 calls for restoration of wood (base, wainscoting, woodwork) but does not indicate where items must be replaced versus restored. What determines when wood is deteriorated, as referenced in Spec 063100-1.01? What qualifies as "minor deterioration" versus "major deterioration" as outlined in 063100-3.03? How do we quantify this work, before the Inspection as outlined in 063100-3.03 takes place? Recommend an allowance for this scope of work, as quantities of replacement and repair cannot be quantified on current drawings.

- a. **Response: Replace only missing woodwork (trim, doors, windows) all other work to be restoration.**

Q2. What is the minimum work required to existing wood items (interior and exterior), if they are in sound condition, and original planes and profiles are intact, in other words, restoration (consolidation, consolidation and patch, or replacement) is not required?

- a. **Response: Non-restoration work would generally include basic preparation for painting. Removing loose or unstable paint, surface prep (fill if necessary) and painting.**

Q3. Please provide the substrate material for the double-sided entrance sign (12/L602).

- a. **Response: Answered in Addendum #5.**

Q4. Please provide sections/details/wall thickness of the tube steel supports at the entry sign shown in detail 10/L601.

- a. **Response: Answered in Addendum #5.**

Q5. *Glazing Spec 088100 is generic, and lists heat treated glass, insulating glass units, silk screened glass, spandrel glass, laminated glass and decorative glass. Please clarify locations of each type of glass, and confirm selections required in generic specs. The only new glass on this project appears to be at new aluminum storm doors, new aluminum storefront at the new addition, two transoms above doors, new storm windows, and existing wood windows.*

a. **Response: Answered in Addendum #5.**

Q6. *Windows at the Cottage are labeled W1 thru W9 but are not shown on the Window Schedule shown on A800. What is the scope of work, if any, required at these existing windows?*

a. **Response: There is no scope of work pertaining to the cottage windows.**

Q7. *Are the allowance quantities shown in the Restoration Legend indicative of what is SHOWN on the elevations, or are these allowances ABOVE AND BEYOND what is shown on the building elevations?*

a. **Response: Yes, allowances are above what is called out specifically on the drawings.**

Q8. *Note 1 on Restoration Legend on A200 includes selective replacement/dutchman, epoxy repair on shutters. Is the full extent of shutter repair shown on the Window Schedule on A800, or is more work required than shown in the schedule (i.e., no specific replacement or repair is shown on the building elevations)? Clarify full extent of replacement/repair.*

a. **Response: Full extent is shown on the window schedule.**

Q9. *Note 1 on Restoration Legend on A200 includes selective replacement/dutchman, epoxy repairs on balustrade. Is the full extent of work shown on Detail 1/A110 for balustrade, or is more work required than shown in this detail (i.e., no specific replacement or repair is shown on the building elevations)? Clarify full extent of replacement/repair.*

a. **Response: The full extent is written on detail 1/A110. Carry labor/material needed to complete the listed items on the detail.**

Q10. *Note 1 on Restoration Legend on A200 indicates selective replacement/dutchman, epoxy repairs on porches, wood trim, and columns. No specific work is shown on the building elevations. Clarify full extent of replacement/repair.*

a. **Response: The specific work is listed on A110, the window schedule, the elevations calling out strip and repaint, and the porch column repair indicated on 2/A110.**

Q11. *What is the scope of hardware restoration, as indicated in Restoration Legend Note 2, on A200. How and what is being restored at each window, window shutter, and door opening? Spec 06400 does not clarify components that need repair, and repair or replacement requires a qualified blacksmith. Please provide pictures, dimensions, and details of each component to be replaced.*

a. **Response: All missing hardware to be replaced in kind. All hardware missing elements or non-functional to be restored.**

Q12. *Many of the windows per the building elevations have no shutters. Is it the intent that ALL exterior windows at the manner have two shutters, or are we simply repairing ones that are already present? We assume that if the window currently has no shutters than no new shutters are required. Confirm.*

a. **Response: Where no shutters are shown, no shutters are required.**

Q13. *Cottage Finish Schedule Note 5 on A700 indicates screens at openings around cottage for rodent proofing, but there are no openings at grade level at the cottage other than doors. Do the windows require screens? If so, please provide specification for the screens.*

- a. **Response: Any pipe penetration or vent that is open to the exterior is to have a mesh screen to prevent animals from entering or nesting.**

Q14. *Please provide a specification for the 2'x2' Acoustical Ceiling Tile called for on Drawing A701.*

- a. **Response: See attached specification section 09 5100 – Acoustical Ceilings**

Q15. *E402 Riser diagram shows a “new system” including a F/A Control Panel, but this panel cannot be located on Drawing E114 or E 115. Is the F/A Control Panel new, and if so, where is it located.*

- a. **Response: F/A Control Panel is contained within security system per Specification Section 281600.**

Q16. *All fire alarm wiring appears to be new, and fire alarm riser diagram indicates no surface mount conduit/wire mold/wiring is allowed. Is it the intent that existing ceilings be cut and patched, in order to route the new wiring? The same question applies to the new exit lighting at the Manor, and any other light that does not re-use an existing circuit.*

- a. **Response: All fire alarm wiring should be surface mount in existing museum areas unless otherwise coordinated with owner's preservation representative.**

Q17. *Security System Diagram on E401 references devices be provided in accordance with Spec 281600. There is no such spec. Please provide. Confirm owner is providing security equipment and devices. We assume that conduit/wiring for all the security devices will be concealed, but locations of each of the security devices are not shown, to allow us to determine existing ceiling upset. Recommend allowance for ceiling upset, since quantity cannot be determined at time of bid.*

- a. **Response: Refer to Specification Section 281600**

Q18. *Reference Local Area Network Diagram on E401. There do not appear to be dedicated notes for this Diagram. What is the LAN Scope for the bidders? None of this equipment is shown on the drawings. There is no low voltage specification for data. If conduit/wiring has to be concealed, ceiling upset cannot currently be determined. Clarify LAN Scope.*

- a. **Response: Wi-Fi provided by others. Network equipment to be provided by others. Provide connections between network equipment. Provide data ports.**

Q19. *General Ceiling Note on A120 indicates upgrade WiFi and ethernet throughout house. The only scope that shows on Electrical drawings are data ports at new receptacles, wired (via Cat5E) to a network switch whose location is not shown. Clarify scope of work, and location of network switch.*

- a. **Response: Wi-Fi provided by others. Network equipment to be provided by others. Provide connections between network equipment. Provide data ports.**

Q20. *Ref. Spec Section 028200- Removal and Disposal of Asbestos- The quantities and scope listed in the Hazardous Materials Assessment Report and the scope and quantities noted on AR100 do not match. Please confirm which scope and quantities are to be priced.*

- a. **Response: The quantities on AR100 and the specification are the quantities to be used for bidding purposes.**

Q21. *Please provide sizes/types for the UGE conduit shown on drawing E-004.*

- a. **Response: Refer to circuit designations on E100 and E110 as well as the Circuit Schedule and Conduit Schedule on E403.**

Q22. *L5 garage light on the lighting schedule (Dwg E403) does not appear on the drawings – please advise.*

- a. **Response: Refer to E100 and E004 for location of shed.**

Q23. *T1 on the drawings just shows the track but does not seem to show the track heads – should we estimate the quantity?*

- a. **Response: Refer to Light Fixture Schedule Remark 3 on E403.**

Q24. *Drawing E004 shows 2 heat mats. Are they new? Who furnishes them and what panel are they fed from?*

- a. **Response: Heat mats are new. Coordinate with site work. Provide as shown for “Concrete Heater Controller” on E100.**

Q25. *Please confirm we are to feed new 400amp service to the Museum shown on drawing E110 from existing Utility Pole shown adjoining Dock Street on drawing L100.*

- a. **Response: Refer to revisions on E004.**

Q26. *Regarding drawing #M001, Note #17 states “Contractor shall furnish all fluids required, including Glycol for filling new systems and refilling the existing system”. Spec Section 3.02 states “properly dispose of existing chilled water/glycol fluid”. It also states “replace the existing fluid with 30% propylene glycol and water mixture, refilling the existing equipment, chiller and chiller water coils”. Without having information on existing equipment see RFI below. How many gallons of Glycol @ a 30% mixture will be required?*

- a. **Response: Assume the total volume of the existing chilled water system to be approximately 250 gallons for bidding purposes. Contractor is responsible for field verifying the system volume and furnishing the required glycol volume to meet the 30% propylene glycol/water mixture.**

Q27. *Please confirm the project is tax exempt.*

- a. **Response: The project is tax-exempt. See attached NYS Department of Taxation and Finance letter and ST-120.1 form.**



BIDDERS														
#	First Name	Last Name	Bidder Company Name	MWBE (Y/N)	SDVOB (Y/N)	Address 1	Address 2	City	State	Zip	Phone	Fax	Email	Contact Confirmed (Y/N)
1	Priya	Sandhir	Pierotti Corp			84 Calvert Street	Suite 2B	Harrison	NY	10528	914-233-9990	914-259-8978	priyas@pierotticorp.com	
2	Zelmy	Velastegai	Icon Construction Group Inc			1 New King Street	Suite 108	White Plains	NY	10604	914-288-0018	914-358-1858	zvelastegui@iconcginc.com	
3	Gerald	Morgan-Brown	Wu Associates Inc			100 Gaither Drive	Suite C	Mount Laurel	NJ	08054	856-857-1639	856-857-1729	gerald.morgan-brown@wuassociates.com	
4	Evan	Paton	Consigli Construction			199 West Road		Pleasant Valley	NY	12569	845-476-2737		dlsicher@consigli.com	
5	David	Furfaro	Vinco Builders LLC			5 Veschi Lane		Mahopac	NY	10541	845-520-9566 x101		adlcob@vincobuilders.com	
6	Ethan	Schulman	Veritas Construction NY			85 East 10th Street	Suite 6E	New York	NY	10003	917-400-4980	212-731-4803	veritasconstructionny@gmail.com	
7	Andria	Sartor	JK Kokolakis			1500 Ocean Avenue	Suite A	Bohemia	NY	11716	845-230-7300		asartor@jkkokolakis.com	
8	Trish	Nevin	Stalco Construction Inc			1316 Motor Parkway		Islandia	NY	11749	631-254-6767	631-254-8015	estimating@stalcoconstruction.com	
9	Richard	Hamilton	Andron Construction Corp			21 Anderson Lane		Goldens Bridge	NY	10526	914-301-9120		rhamilton@androncc.com	
10	Greg	Shmulevich	Anjac Enterprises Inc			310 Nassau Avenue		Brooklyn	NY	11222	718-387-4881		anjac@aol.com	
11	John	Raggio	Prestige Stone & Pavers Corp			15-32 College Point Boulevard	2nd Floor	College Point	NY	11356	718-225-1212	718-225-1242	prestigestoneandpavers@gmail.com	
12	Cynthia	Kuehn	Worth Construction Company Inc			24 Taylor Avenue		Bethel	CT	06801	203-797-8788		kuehnc@worthconstruction.com	
13	Eric	Butler	Butler Construction Group			275 Union Street		Montgomery	NY	12549	845-769-7413		office@butlerconstructiongroup.com	
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NON-BIDDERS														
1	Eden	Rodriguez	Dodge Data & Analytics			2860 S State Highway 161	Suite 160 #501	Grand Prairie	TX	75052	413-893-0645		eden.rodriguez@construction.com	
2	Henri	Bradshaw	ConstructConnect			3825 Edwards Road	Suite 800	Cincinnati	OH	45209	513-458-5815		henri.bradshaw@constructconnect.com	
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SECTION 09 51 00
ACOUSTICAL CEILINGS**PART 1 GENERAL****1.01 SECTION INCLUDES**

- A. Suspended metal grid ceiling system.
- B. Acoustical units.

1.02 RELATED REQUIREMENTS

- A. Section 26 56 00 - Interior Lighting

1.03 REFERENCE STANDARDS

- A. ASTM C635/C635M - Standard Specification for the Manufacture, Performance, and Testing of Metal Suspension Systems for Acoustical Tile and Lay-in Panel Ceilings; 2017.
- B. ASTM C636/C636M - Standard Practice for Installation of Metal Ceiling Suspension Systems for Acoustical Tile and Lay-In Panels; 2013.
- C. ASTM E84 - Standard Test Method for Surface Burning Characteristics of Building Materials; 2015a.
- D. ASTM E580/E580M - Standard Practice for Installation of Ceiling Suspension Systems for Acoustical Tile and Lay-in Panels in Areas Subject to Earthquake Ground Motions; 2017.
- E. ASTM E1264 - Standard Classification for Acoustical Ceiling Products; 2014.
- F. ASCE/SEI American Society of Civil Engineers 7-10: Minimum Design Loads for Buildings and Other Structures
- G. Cisca Ceilings & Interior Systems Construction Association
 - 1. Ceiling Systems Handbook
 - 2. Seismic Construction Handbook
- H. International Code Council ICC-ES Evaluation Report ESR-1222 issued December 2016

1.04 ADMINISTRATIVE REQUIREMENTS

- A. Sequence work to ensure acoustical ceilings are not installed until building is enclosed, sufficient heat is provided, dust generating activities have terminated, and overhead work is completed, tested, and approved.
- B. Do not install acoustical units until after interior wet work is dry.

1.05 SUBMITTALS

- A. See Section 01 30 00 - Administrative Requirements, for submittal procedures.
- B. Shop Drawings: Indicate grid layout and related dimensioning.
- C. Product Data: Provide data on suspension system components and acoustical units.
- D. Manufacturer's Installation Instructions: Indicate special procedures and perimeter conditions requiring special attention.
- E. Maintenance Materials: Furnish the following for Director's Representative's use in maintenance of project.
 - 1. See Section 01 60 00 - Product Requirements, for additional provisions.

1.06 FIELD CONDITIONS

- A. Maintain uniform temperature of minimum 60 degrees F, and maximum humidity of 40 percent prior to, during, and after acoustical unit installation.

PART 2 PRODUCTS**2.01 MANUFACTURERS**

- A. Acoustic Tiles/Panels:
 - 1. USG Corporation; ____: www.usg.com/ceilings/#sle.
 - 2. Or approved equal..
- B. Suspension Systems:
 - 1. Same as for acoustical units.

2.02 ACOUSTICAL UNITS

- A. Acoustical Panels, Type 1: Mineral fiber with membrane-faced overlay, with the following characteristics: Basis of Design - USG Interiors, LLC, "Mars Healthcare". "Mars Healthcare w/ AirCare Coating"
 - 1. Application(s): Kitchen & Bathroom.
 - 2. Classification: ASTM E1264 Type IV.
 - a. Form: 1 & 2, Nodular and water felted.
 - b. Pattern: "G" - smooth.
 - 3. Size: 24 by 24 inches.
 - 4. Thickness: 3/4 inch.
 - 5. Light Reflectance: Not less than 0.90 percent, determined in accordance with ASTM E1264.
 - 6. Panel Edge: Square.
 - 7. Color: Color to be selected by Director's Representative from manufacturers full range of colors..
 - 8. Suspension System Type 1: Exposed grid.
- B. Acoustical Panels, Type 2: Ceramic-bonded mineral fiber, with the following characteristics:
 - 1. Classification: ASTM E1264 Type XX.
 - a. Pattern: "E" - lightly textured.
 - 2. Size: 24 by 24 inches.
 - 3. Thickness: 3/4 inch
 - 4. Light Reflectance: Not less than 0.77 percent, determined in accordance with ASTM E1264.
 - 5. Suspension System Type 1: Exposed grid.
 - 6. Products:
 - a. USG Corporation; Sheetrock Lay-In Gypsum Ceiling Panels: www.usg.com/ceilings/#sle.

2.03 SUSPENSION SYSTEM(S)

- A. Metal Suspension Systems - General: Complying with ASTM C635/C635M; die cut and interlocking components, with perimeter moldings, hold down clips, stabilizer bars, clips, and splices as required.
- B. Exposed Suspension System, Type 1: Hot-dipped galvanized steel grid with aluminum cap.
 - 1. Structural Classification: Intermediate-duty, when tested in accordance with ASTM C635/C635M.
 - 2. Profile: Tee; 15/16 inch face width.
 - 3. Finish: Baked enamel.
 - 4. Color: Color to be selected by the Director's Representative from the manufacturers full range of colors..
 - 5. Products:
 - a. USG Corporation; Donn Brand ZXLA 15/16 inch Acoustical Suspension System: www.usg.com/ceilings/#sle.

2.04 ACCESSORIES

- A. Support Channels and Hangers: Galvanized steel; size and type to suit application, seismic requirements, and ceiling system flatness requirement specified.
- B. Hanger Wire: 12 gauge, 0.08 inch galvanized steel wire.
- C. Perimeter Moldings: Same metal and finish as grid.
 - 1. Angle Molding: L-shaped, for mounting at same elevation as face of grid.
- D. Gypsum Board: Fire rated type; 5/8 inch thick, ends and edges square, paper faced.
- E. Touch-up Paint: Type and color to match acoustical and grid units.

PART 3 EXECUTION**3.01 EXAMINATION**

- A. Verify existing conditions before starting work.
- B. Verify that layout of hangers will not interfere with other work.

3.02 INSTALLATION - SUSPENSION SYSTEM

- A. Rigidly secure system, including integral mechanical and electrical components, for maximum deflection of 1:360.
- B. Locate system on room axis according to reflected plan.
- C. Suspension System, Non-Seismic: Hang suspension system independent of walls, columns, ducts, pipes and conduit. Where carrying members are spliced, avoid visible displacement of face plane of adjacent members.
- D. Where ducts or other equipment prevent the regular spacing of hangers, reinforce the nearest affected hangers and related carrying channels to span the extra distance.
- E. Do not support components on main runners or cross runners if weight causes total dead load to exceed deflection capability.
- F. Support fixture loads using supplementary hangers located within 6 inches of each corner, or support components independently.
- G. Do not eccentrically load system or induce rotation of runners.

3.03 INSTALLATION - ACOUSTICAL UNITS

- A. Install acoustical units in accordance with manufacturer's instructions.
- B. Fit acoustical units in place, free from damaged edges or other defects detrimental to appearance and function.
- C. Fit border trim neatly against abutting surfaces.
- D. Install acoustical units level, in uniform plane, and free from twist, warp, and dents.
- E. Cutting Acoustical Units:
 - 1. Make field cut edges of same profile as factory edges.
- F. Where round obstructions occur, provide preformed closures to match perimeter molding.

3.04 TOLERANCES

- A. Maximum Variation from Flat and Level Surface: 1/8 inch in 10 feet.
- B. Maximum Variation from Plumb of Grid Members Caused by Eccentric Loads: 2 degrees.

END OF SECTION



New York State Department of
TAXATION and FINANCE
OTPA Sales Tax Exempt Organizations Unit
Building 9 Room 154
W.A. Harriman Campus
Albany, NY 12227

April 1, 2014

New York State Office of Parks, Recreation and Historic
Preservation
625 Broadway
Albany NY 12207

Dear Sir or Madam:

The Tax Law exempts New York State governmental entities such as your organization, New York State Office of Parks, Recreation and Historic Preservation, from the payment of New York State sales and use taxes on their purchases. In order to make tax exempt purchases, a New York State governmental entity must present vendors with the entity's official purchase order or other documentation (e.g., payment voucher, contract of sale, Form AC 946, *Tax Exemption Certificate*, Form ST-129, *Exemption Certificate - Tax on occupancy of hotel rooms*, etc.) which indicates that the purchaser is a New York State governmental entity.

Tax exemption numbers and Form ST-119.1, *Exempt Organization Exempt Purchase Certificate*, are not issued to New York State governmental entities. **If a vendor requests a tax exemption number or Form ST-119.1, *Exempt Organization Exempt Purchase Certificate* from you, the New York State Office of Parks, Recreation and Historic Preservation may give the vendor a copy of this letter.** This will assure the vendor that a governmental purchase order, or other evidence that the New York State Office of Parks, Recreation and Historic Preservation is the purchaser, is the only documentation the vendor needs in order to not collect sales tax.

New York State Department of Taxation and Finance
OTPA-Taxpayer Guidance Division
Sales Tax - Exempt Organizations Unit
Building 9, Room 154
W.A. Harriman Campus
Albany, NY 12227
(518) 457-2782

**Contractor Exempt Purchase Certificate****ST-120.1**
(5/16)

To be used **only** by contractors who are registered with the Tax Department for sales tax purposes.

To vendors:

You must collect tax on any sale of taxable property or services unless the contractor gives you a properly completed exempt purchase certificate not later than 90 days after the property is sold or service is rendered. In addition, you must keep the certificate for at least three years, as explained in the instructions.

This form cannot be used to purchase motor fuel or diesel motor fuel exempt from tax.

To contractors and vendors: Read the instructions on pages 3 and 4 carefully before completing or accepting this certificate.

Name of seller	Name of purchasing contractor
Street address	Street address
City State ZIP code	City State ZIP code

1. I have been issued a New York State *Certificate of Authority*, _____, to collect
(enter your sales tax identification number)
New York State and local sales and use tax, and that certificate has not expired or been suspended or revoked.

2. The tangible personal property or service being purchased will be used on the following project:

located at _____

for and with _____

pursuant to prime contract dated _____.

3. These purchases are exempt from sales and use tax because:

(Mark an **X** in the appropriate box; for further explanation, see items A through P in the instructions on pages 3 and 4.)

- ☐ **A.** The tangible personal property will be used in the above project to create a building or structure or to improve real property or to maintain, service, or repair a building, structure, or real property, owned by an organization exempt under Tax Law section 1116(a). (For example, New York State government entities, United States governmental entities, United Nations and any international organization of which the United States is a member, certain posts or organizations of past or present members of the armed forces, and certain nonprofit organizations and Indian nations or tribes that have received New York State sales tax exempt organization status.) The tangible personal property will become an integral component part of such building, structure, or real property.

- ☐ **B.** The tangible personal property is production machinery and equipment, and it will be incorporated into real property.

- ☐ **C.** The tangible personal property will be used:
- in an Internet data center when the property is to be incorporated as part of a capital improvement; or
 - directly and predominantly in connection with telecommunications services for sale or Internet access services for sale; or
 - directly and predominantly by a television or radio broadcaster in connection with producing or transmitting live or recorded programs.
- ☐ **D.** The tangible personal property, including production machinery and equipment, is for installation in the above project and will remain tangible personal property after installation.
- ☐ **E.** The tangible personal property will become an integral component part of a building, structure, or real property, used predominantly (more than 50%) either in the production phase of farming or in a commercial horse boarding operation, or in both.

Note: This certificate is not valid unless the purchaser completes the certification on page 2.

- ☐ **F.** The machinery or equipment will be used directly and predominantly to control, prevent, or abate pollution or contaminants from manufacturing or industrial facilities.
- ☐ **G.** The tangible personal property is residential or commercial solar energy systems equipment. (**Note:** Item G purchases are exempt from the 4% New York State tax rate and from the $\frac{3}{4}$ % MCTD rate. Item G purchases may be exempt from local taxes. See instructions.)
- ☐ **H.** The tangible personal property will be used directly and exclusively in adding to, altering, or improving a qualifying tenant's leased premises for use as commercial office space in Eligible Area A or B as described in TSB-M-05(12)S, *Sales and Use Tax Exemptions on Certain Purchases of Tangible Personal Property and Services for Leased Commercial Office Space in Lower Manhattan*, provided that the tangible personal property becomes an integral component part of the building in which the leased premises are located, and where such property is purchased during the first year of the qualifying tenant's lease and delivered to the leased premises no later than 90 days after the end of that first year.
- ☐ **I.** The tangible personal property is machinery or equipment used directly and predominantly in loading, unloading, and handling cargo at a qualified marine terminal facility in New York City. This exemption does **not** apply to the local tax in New York City.
- ☐ **J.** The tangible personal property is commercial fuel cell systems equipment. (**Note:** Item J purchases are exempt from the 4% New York State tax rate and from the $\frac{3}{4}$ % MCTD rate. Item J purchases may be exempt from local taxes. See instructions.)
- ☐ **K.** The services are for the project described in line 2 on page 1 and will be resold. (This includes trash removal services in connection with repair services to real property.)
- ☐ **L.** The services are to install, maintain, service, or repair tangible personal property used in an Internet data center, for telecommunication or Internet access services, or for radio or television broadcast production or transmission.
- ☐ **M.** The services are to install, maintain, service, or repair tangible personal property that will be used predominantly either in farm production or in a commercial horse boarding operation, or in both provided such tangible personal property will become an integral component part of such structure, building, or real property.
- ☐ **N.** The services are to install residential or commercial solar energy systems equipment.
- ☐ **O.** The services are to install tangible personal property purchased during the first year of the qualifying tenant's lease and delivered to the leased premises no later than 90 days after the end of that first year, that will be used directly and exclusively in adding to, altering, or improving a qualifying tenant's leased premises for use as commercial office space in Eligible Area A or B as described in TSB-M-05(12)S.
- ☐ **P.** The services are to install or maintain commercial fuel cell systems equipment.

Caution: Contractors may **not** use this certificate to purchase services tax exempt unless the services are resold to customers in connection with a project. Construction equipment, tools, and supplies purchased or rented for use in completing a project but that do not become part of the finished project may not be purchased exempt from tax through the use of this certificate.

Certification: I certify that the above statements are true, complete, and correct, and that no material information has been omitted. I make these statements and issue this exemption certificate with the knowledge that this document provides evidence that state and local sales or use taxes do not apply to a transaction or transactions for which I tendered this document and that willfully issuing this document with the intent to evade any such tax may constitute a felony or other crime under New York State Law, punishable by a substantial fine and a possible jail sentence. I understand that this document is required to be filed with, and delivered to, the vendor as agent for the Tax Department for the purposes of Tax Law section 1838 and is deemed a document required to be filed with the Tax Department for the purpose of prosecution of offenses. I also understand that the Tax Department is authorized to investigate the validity of tax exclusions or exemptions claimed and the accuracy of any information entered on this document.

Type or print name and title of owner, partner, or authorized person of purchasing contractor	
Signature of owner, partner, or authorized person of purchasing contractor	Date prepared

Substantial penalties will result from misuse of this certificate.

Instructions

Only a contractor who has a valid *Certificate of Authority* issued by the Tax Department may use this exempt purchase certificate. The contractor must present a properly completed certificate to the vendor to purchase tangible personal property, or to a subcontractor to purchase services tax exempt. This certificate is not valid unless all entries have been completed.

The contractor may use this certificate to claim an exemption from sales or use tax on tangible personal property or services that will be used in the manner specified in items A through P below. The contractor may not use this certificate to purchase tangible personal property or services tax exempt on the basis that Form ST-124, *Certificate of Capital Improvement*, has been furnished by the project owner to the contractor.

The contractor must use a separate Form ST-120.1, *Contractor Exempt Purchase Certificate*, for each project.

Purchase orders showing an exemption from the sales or use tax based on this certificate must contain the address of the project where the property will be used, as well as the name and address of the project owners (see page 1 of this form). Invoices and sales or delivery slips must also contain this information (name and address of the project for which the exempt purchases will be used or where the exempt services will be rendered, as shown on page 1 of this form).

Use of the certificate

Note: Unless otherwise stated, the customer must furnish the contractor a properly completed Form ST-121, *Exempt Use Certificate*.

This certificate may be used by a contractor to claim exemption from tax only on purchases of **tangible personal property** that is:

- A. Incorporated into real property under the terms of a contract entered into with an exempt organization that has furnished the contractor with a copy of Form ST-119.1, *Exempt Organization Exempt Purchase Certificate*, governmental purchase order, or voucher.
- B. Production machinery or equipment that will be incorporated into real property.
- C. Used in one of the following situations:
 - Machinery, equipment, and other tangible personal property related to providing website services for sale to be installed in an Internet data center when the property is to be incorporated as part of a capital improvement. The customer must furnish the contractor a completed Form ST-121.5, *Exempt Use Certificate for Operators of Internet Data Centers (Web Hosting)*.
 - Used directly and predominantly in the receiving, initiating, amplifying, processing, transmitting, re-transmitting, switching, or monitoring of switching of telecommunications services for sale, or Internet access service for sale.
 - Machinery, equipment, and other tangible personal property (including parts, tools, and supplies) used by a television or radio broadcaster directly and predominantly in the production and post-production of live or recorded programs used by a broadcaster predominantly for broadcasting by the broadcaster either over-the-air or for transmission through a cable television or direct broadcast satellite system. (Examples of exempt machinery and equipment include cameras, lights, sets, costumes, and sound equipment.) This exemption also includes machinery, equipment, and other tangible personal property used by a broadcaster directly and predominantly to transmit live or recorded programs. (Examples of exempt machinery and equipment include amplifiers, transmitters, and antennas.)
- D. Installed or placed in the project in such a way that it remains tangible personal property after installation. The contractor must collect tax from its customer when selling such tangible personal property or related services to the customer, unless the customer gives the contractor an appropriate and properly completed exemption certificate.
- E. Going to become an integral component part of a structure, building, or real property used predominantly (more than 50%) either in the production phase of farming or in a commercial horse boarding operation, or in both, for which the customer has provided the contractor a completed Form ST-125, *Farmer's and Commercial Horse Boarding Operator's Exemption Certificate*.
- F. Machinery or equipment used directly and predominantly to control, prevent, or abate pollution or contaminants from manufacturing or industrial facilities.
- G. Residential or commercial solar energy systems equipment. *Residential solar energy systems equipment* means an arrangement or combination of components installed in a residence that utilizes solar radiation to produce energy designed to provide heating, cooling, hot water, and/or electricity. *Commercial solar energy systems equipment* means an arrangement or combination of components installed upon nonresidential premises that utilize solar radiation to produce energy designed to provide heating, cooling, hot water, or electricity. The exemption is allowed on the 4% New York State tax rate and where applicable, the 3% MCTD rate. The exemption does not apply to local taxes unless the locality specifically enacts the exemption. The customer must furnish the contractor a completed Form ST-121 by completing the box marked *Other (U.)*. For the definition of *residence* and for an exception relating to recreational equipment used for storage, as well as for other pertinent information, see TSB-M-05(11)S, *Sales and Use Tax Exemption for Residential Solar Energy Systems Equipment*. For the definition of *nonresidential premises*, as well as other pertinent information, see TSB-M-12(14)S, *Sales and Use Tax Exemption for the Sales and Installation of Commercial Solar Energy Systems Equipment*.
- H. Delivered and used directly and exclusively in adding to, altering, or improving a qualifying tenant's leased premises for use as commercial office space in Eligible Area A or B as described in TSB-M-05(12)S, *Sales and Use Tax Exemptions on Certain Purchases of Tangible Personal Property and Services for Leased Commercial Office Space in Lower Manhattan*, provided that the tangible personal property becomes an integral component part of the building in which the leased premises are located, and where such property is purchased within the first year of the qualifying tenant's lease.
- I. Machinery and equipment used at qualified marine terminal facilities located in New York City. The machinery and equipment must be used directly and predominantly in loading, unloading, and handling cargo at marine terminal facilities located in New York City that handled more than 350,000 twenty foot equivalent units (TEUs) in 2003. For purposes of this exemption, the term *TEU* means a unit of volume equivalent to the volume of a twenty-foot container. This exemption does **not** apply to the local tax in New York City.

- J. Beginning June 1, 2016**, commercial fuel cell systems equipment. *Commercial fuel cell systems equipment* means an electric generating arrangement or combination of components that is installed upon nonresidential premises and utilizes solid oxide, molten carbonate, a proton exchange membrane, phosphoric acid, or a linear generator to provide heating, cooling, hot water, or electricity. The exemption is allowed on the 4% New York State tax rate and the 3% MCTD rate, if applicable. The exemption does not apply to local taxes unless the locality specifically enacts the exemption. The customer must furnish the contractor a completed Form ST-121 by completing the box marked *Other* (U.). See TSB-M-16(3)S, *Sales and Use Tax Exemptions Related to Commercial Fuel Cell Systems Equipment*, for more information.

This certificate may also be used by a contractor to claim exemption from tax on the following **services**:

- K.** Installing tangible personal property, including production machinery and equipment, that does not become a part of the real property upon installation.
- Repairing real property, when the services are for the project named on page 1 of this form and will be resold.
- Trash removal services rendered in connection with repair services to real property, if the trash removal services will be resold.
- Note:** Purchases of services for resale can occur between prime contractors and subcontractors or between two subcontractors. The retail seller of the services, generally the prime contractor, must charge and collect tax on the contract price, unless the project owner gives the retail seller of the service a properly completed exemption certificate.
- L.** Installing, maintaining, servicing, or repairing tangible personal property used for Web hosting, telecommunication or Internet access services, or by a broadcaster (described in item C on page 3).
- M.** Installing, maintaining, servicing, or repairing tangible personal property that will be used predominantly either in farm production or in a commercial horse boarding operation, or in both (described in item E on page 3).
- N.** Installing qualifying residential or commercial solar energy systems equipment (described in item G on page 3).
- O.** Installing tangible personal property delivered to and used directly and exclusively in adding to, altering, or improving a qualifying tenant's leased premises for use as commercial office space in Eligible Area A or B as described in TSB-M-05(12)S, provided that the tangible personal property becomes an integral component part of the building in which the leased premises are located.
- P.** Installing or maintaining commercial fuel cell systems equipment (described in item J above).

Misuse of this certificate

Misuse of this exemption certificate may subject you to serious civil and criminal sanctions in addition to the payment of any tax and interest due. These include:

- a penalty equal to 100% of the tax due;
- a \$50 penalty for each fraudulent exemption certificate issued;
- criminal felony prosecution, punishable by a substantial fine and a possible jail sentence; and
- revocation of your *Certificate of Authority*, if you are required to be registered as a vendor. See TSB-M-09(17)S, *Amendments that Encourage Compliance with the Tax Law*

and Enhance the Tax Department's Enforcement Ability, for more information.

To the seller

When making purchases that qualify for exemption from sales and use tax, the contractor must provide you with this exemption certificate with all entries completed to establish the right to the exemption.

As a New York State registered vendor, you may accept an exemption certificate in lieu of collecting tax and be protected from liability for the tax if the certificate is valid. The certificate will be considered valid if it is:

- accepted in good faith;
- in your possession within 90 days of the transaction; and
- properly completed (all required entries were made).

An exemption certificate is accepted in good faith when you have no knowledge that the exemption certificate is false or is fraudulently given, and you exercise reasonable ordinary due care. If you do not receive a properly completed certificate within 90 days after the delivery of the property or service, you will share with the purchaser the burden of proving the sale was exempt.

Failure to collect sales or use tax, as a result of accepting an improperly completed exemption certificate or receiving the certificate more than 90 days after the sale, will make you personally liable for the tax plus any penalty and interest charges due.

You must maintain a method of associating an invoice (or other source document) for an exempt sale with the exemption certificate you have on file from the purchaser. You must also keep this certificate at least three years after the due date of your sales tax return to which it relates, or the date the return was filed, if later.

Caution: You will be subject to additional penalties if you sell tangible personal property or services subject to tax, or purchase or sell tangible personal property for resale, without possessing a valid *Certificate of Authority*. In addition to the criminal penalties imposed under the New York State Tax Law, you will be subject to a penalty of up to \$500 for the first day on which such a sale or purchase is made; plus up to \$200 for each subsequent day on which such a sale or purchase is made, up to the maximum allowed.

Need help?



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- get information and manage your taxes online
- check for new online services and features



Telephone assistance

(518) 485-9863

To order forms and publications:

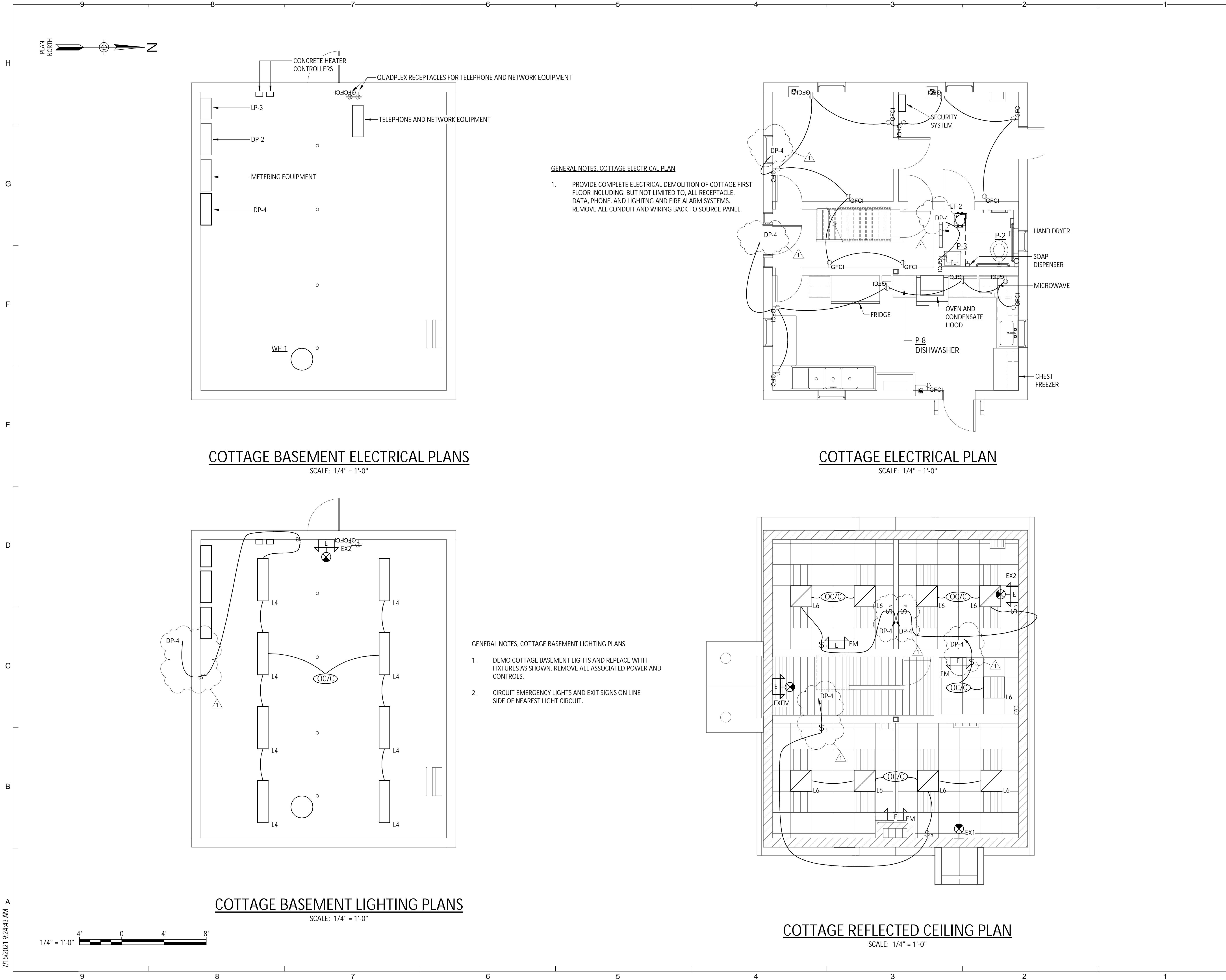
(518) 457-5431

Text Telephone (TTY) Hotline (for persons with hearing and speech disabilities using a TTY):

(518) 485-5082



Persons with disabilities: In compliance with the Americans with Disabilities Act, we will ensure that our lobbies, offices, meeting rooms, and other facilities are accessible to persons with disabilities. If you have questions about special accommodations for persons with disabilities, call the information center.



NEW YORK
STATE OF
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**Parks, Recreation
and Historic Preservation**

Governor Andrew M. Cuomo

Commissioner Erik Kuleseid

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Regional Director: Linda Cooper
District Manager: Garrett Jobson

Project Title:
Philipse Manor Hall State Historic Site
Construction of Elevator/Restroom
Addition, Interior and Exterior
Rehabilitation and Site Enhancements

Project Location:
29 Warburton Ave, Yonkers, NY, 10701

Key Plan

REVISIONS		
Rev No	Description	Date
1	Addendum No. 4	7/16/21

Drawn By:
TRL

Design By:
TRL

Checked By:
JWC

Approved By:
TWB

Date:
5/28/2021

Seal and Signature

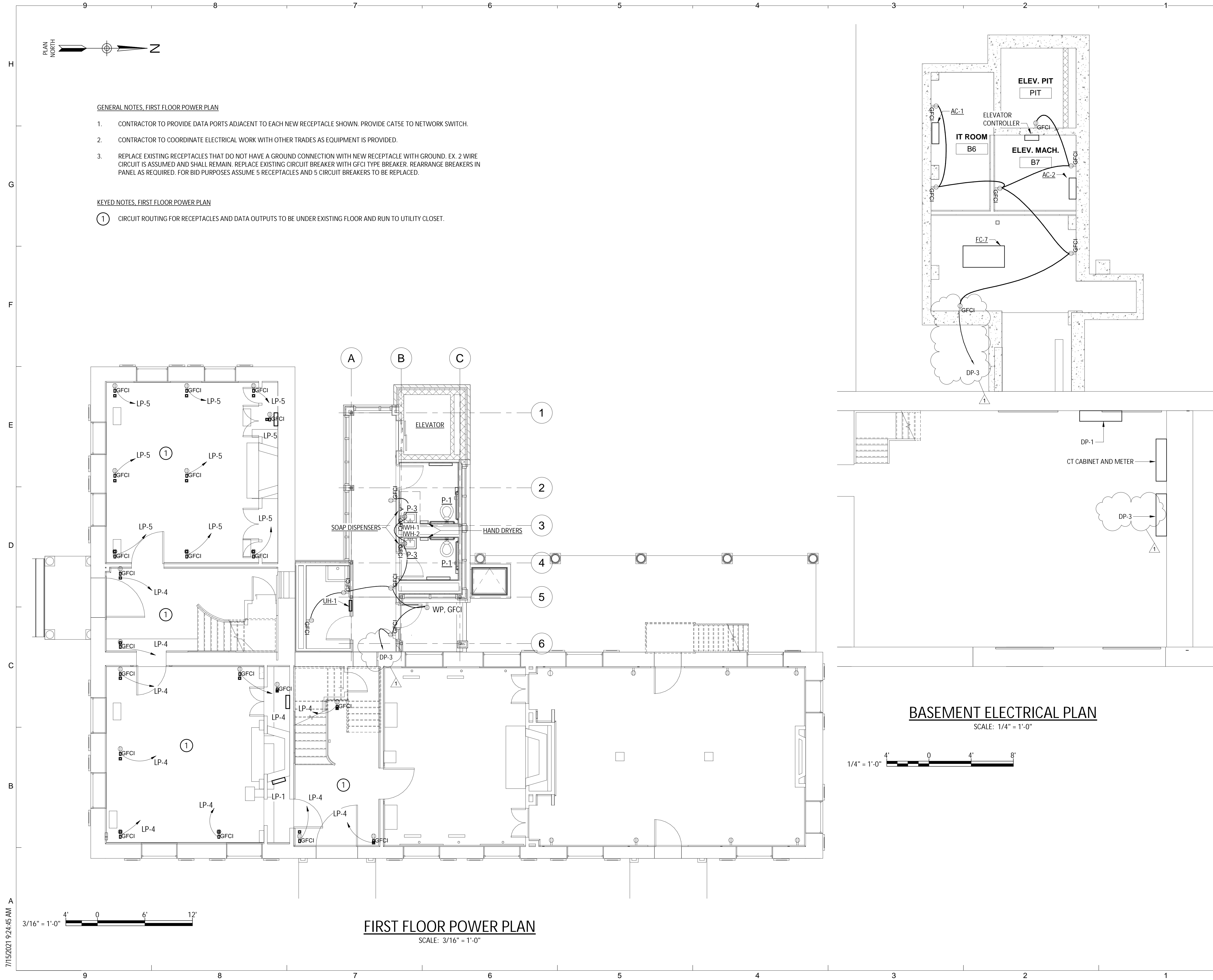
Sheet Title:
ELECTRICAL
COTTAGE
PLANS

Bid Documents

Drawing Number:
E101

Project Number:
1299.029.001

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Drawn By:
TRL

Design By:
TRL

Checked By:
JWC

Approved By:
TWB

Date:
5/28/2021

Seal and Signature



Sheet Title:

ELECTRICAL
MUSEUM FIRST
FLOOR PLAN

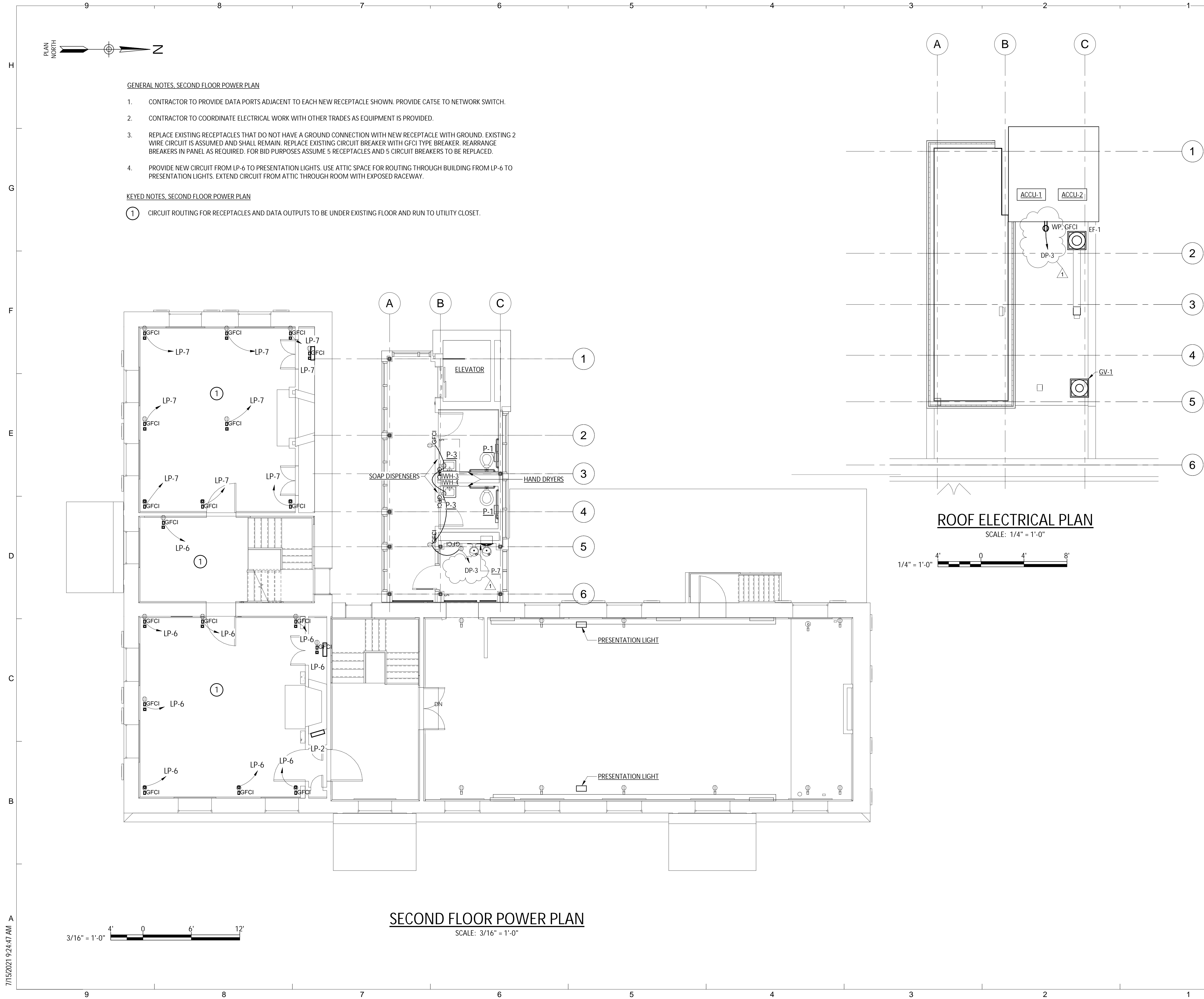
Bid Documents

Drawing Number:

E111

Project Number:
1299.029.001


Sheet:



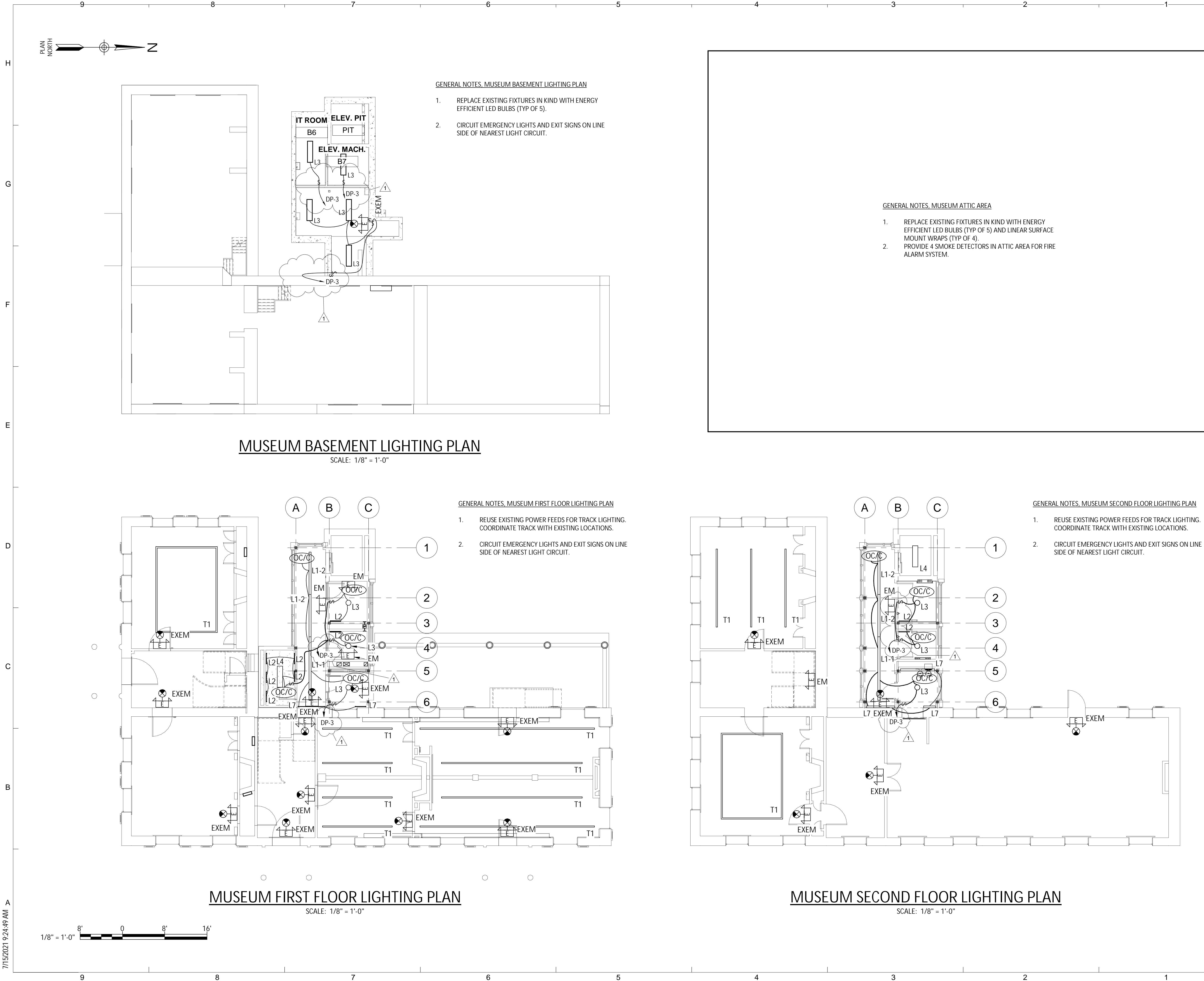
Key Plan

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Rev No	Description	Date
1	Addendum No. 4	7/16/21

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Design By: TRL	
Checked By: JWC	
Approved By: TWB	
Date: 5/28/2021	

Sheet Title: ELECTRICAL MUSEUM SECOND FLOOR PLAN	Drawing Number: E112
Bid Documents Project Number: 1299.029.001	Sheet:



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Seal and Signature

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ELECTRICAL
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CEILING PLANS

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E113

Bid Documents

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