



U.S. Army Corps of Engineers New York District

# **SPECIFICATIONS**

# Lincoln Hall Renovation & Modernization United States Military Academy

West Point, NY March 1, 2023

# **Revised RTA Submission**

Volume 3 of 3: Specifications



Contract #: W912DS-19-C-0031

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SECTION 00 00 00

# SEALS PAGE 06/15

#### PART 1 SUMMARY

1.1 SEALS AND SIGNATURES

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Architect.

Profession Architecture.

License No. VA 0401015587.

Expiration Date: 02/29/2024.

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Engineer.

Profession Structural.

License No. VA 031804.

Expiration Date: 01/31/2024.

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Certified Interior Designer.

Profession Interior Design.

License No. VA 0412000817.

Expiration Date: 10/31/2023.







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Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Engineer.

Profession Fire Protection.

License No. VA 030373.

Expiration Date: 02/28/2025.

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Engineer.

Profession Mechanical.

License No. VA 033845.

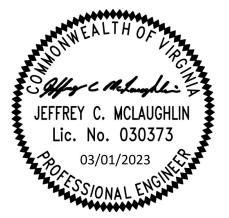
Expiration Date: 01/31/2025.

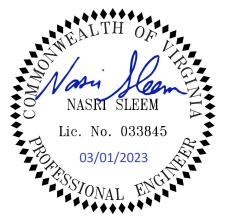
Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Engineer.

Profession Electrical.

License No. VA 0402047869.

Expiration Date: 06/30/2023.







Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Registered Communications Distribution Designer.

Profession Telecom.

License No. BICSI ID#112947.

Expiration Date: 12/31/2024.

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Engineer.

Profession Civil.

License No. NY 076240-1.

Expiration Date: 06/30/2025.

Professional Certification. I hereby certify that these documents were prepared or approved by me, and that I am a duly licensed Professional Architect.

Profession Landscape Architecture.

License No. NY 001981.

Expiration Date: 10/31/2025.

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# SECTION 21 13 13

# WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION 08/20

# PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.1	(2015) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2016) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.4	(2016) Standard for Gray Iron Threaded Fittings; Classes 125 and 250
ASME B16.21	(2016) Nonmetallic Flat Gaskets for Pipe Flanges

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C104/A21.4	(2016) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water
AWWA C110/A21.10	(2012) Ductile-Iron and Gray-Iron Fittings for Water
AWWA C111/A21.11	(2017) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
AWWA C203	(2008) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied

ASTM INTERNATIONAL (ASTM)

ASTM A47/A47M	(1999; R 2018; E 2018) Standard Specification for Ferritic Malleable Iron Castings
ASTM A53/A53M	(2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A135/A135M	(2009; R2014) Standard Specification for Electric-Resistance-Welded Steel Pipe
ASTM A153/A153M	(2016a) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel

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	Hardware
ASTM A183	(2014; R 2020) Standard Specification for Carbon Steel Track Bolts and Nuts
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
FM GLOBAL (FM)	
FM 1637	(2010) Flexible Sprinkler Hose with Threaded End Fittings
FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/
INTELLIGENCE COMMUNITY	STANDARD (ICS)
ICS 705-1	(2010) Physical and Technical Security Standard for Sensitive Compartmented Information Facilities
MANUFACTURERS STANDARD: INDUSTRY (MSS)	IZATION SOCIETY OF THE VALVE AND FITTINGS
MSS SP-71	(2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends
NATIONAL FIRE PROTECTIO	ON ASSOCIATION (NFPA)
NFPA 13	(2019; Errata 19-1; Errata 19-2; TIA 19-1; TIA 19-2; TIA 19-3; TIA 19-4; Errata 19-3; Errata 20-4; TIA 19-5; TIA 19-6) Standard for the Installation of Sprinkler Systems
NFPA 24	(2019; TIA 19-1) Standard for the Installation of Private Fire Service Mains and Their Appurtenances
NFPA 101	(2018; ERTA 18-1; ERTA 18-2; ERTA 18-3; ERTA 18-4; TIA 18-1; TIA 18-2; TIA 18-3; TIA 18-4) Life Safety Code
NFPA 291	(2016) Recommended Practice for Fire Flow Testing and Marking of Hydrants
NATIONAL INSTITUTE FOR (NICET)	CERTIFICATION IN ENGINEERING TECHNOLOGIES
NICET 1014-7	(2012) Program Detail Manual for Certification in the Field of Fire Protection Engineering Technology (Field Code 003) Subfield of Automatic Sprinkler System Layout
UNDERWRITERS LABORATOR:	IES (UL)
UL 199	(2020) UL Standard for Safety Automatic Sprinklers for Fire-Protection Service

UL 262	(2004; Reprint Oct 2011) Gate Valves for Fire-Protection Service
UL 312	(2010; Reprint Mar 2018) UL Standard for Safety Check Valves for Fire-Protection Service
UL 405	(2013; Bul. 2020) UL Standard for Safety Fire Department Connection Devices
UL 668	(2004; Reprint Jul 2016) UL Standard for Safety Hose Valves for Fire-Protection Service
UL 789	(2004; Reprint May 2017) UL Standard for Safety Indicator Posts for Fire-Protection Service
UL 2443	(2015; Reprint May 2020) UL Standard for Safety Flexible Sprinkler Hose with Fitings for Fire Protection Service
UL Fire Prot Dir	(2012) Fire Protection Equipment Directory

#### 1.2 SYSTEM DESCRIPTION

Provide wet pipe sprinkler system(s) in all areas of the building. Except as modified herein, the system must meet the requirements of NFPA 13. Pipe sizes which are not indicated on the Contract drawings must be determined by hydraulic calculations.

- 1.2.1 Hydraulic Design
- 1.2.1.1 Basis for Calculations

A waterflow test was performed on March 12, 2019 at Cullum Road and resulted in a static pressure of 60 psi with a residual pressure of 56 psi while flowing 1,130 gpm. Perform a fire hydrant flow test prior to shop drawing submittal in accordance with NFPA 291. Results must include hydrant elevations relative to the building and hydrant number/identifiers for the tested hydrants, including which were flowed, which had a gauge. This information must be presented in a tabular form if multiple hydrants were flowed. The results must be included with the hydraulic calculations. Hydraulic calculations must be based on flow test noted in this paragraph, unless approved by Contracting Officer. Hydraulic calculations must be based upon the Hazen-Williams formula with a "C" value noted in NFPA 13 for piping. Hydraulic calculations must be based on operation of the existing fire pump located in the service yard located between Cullum and Lincoln Hall. The existing fire pump is rated for 750 gpm at 75 psi.

# 1.2.1.2 Hydraulic Calculations

- a. Water supply curves and system requirements must be plotted on semi-logarithmic graph (N^1.85) paper so as to present a summary of the complete hydraulic calculation.
- b. Provide a summary sheet listing sprinklers in the design area and

their respective hydraulic reference points, elevations, minimum discharge pressures and minimum flows. Elevations of hydraulic reference points (nodes) must be indicated.

- c. Documentation must identify each pipe individually and the nodes connected thereto. Indicate the diameter, length, flow, velocity, friction loss, number and type fittings, total friction loss in the pipe, equivalent pipe length and Hazen-Williams coefficient for each pipe.
- d. Where the sprinkler system is supplied by interconnected risers, the sprinkler system must be hydraulically calculated using the hydraulically most demanding single riser. The calculations must not assume the simultaneous use of more than one riser.
- e. All calculations must include the backflow preventer manufacturer's stated friction loss at the design flow or 8 psi for double check backflow preventer, whichever is greater.
- f. All calculations must be performed back to the actual location of the flow test, taking into account the direction of flow in the service main at the test location.
- g. For gridded systems, calculations must show peaking of demand area friction loss to verify that the hydraulically most demanding area is being used. A flow diagram indicating the quantity and direction of flows must be included.

#### 1.2.1.3 Design Criteria

Hydraulically design the system to discharge a minimum density as indicated on the drawings. Hydraulic calculations must be in accordance with the Area/Density Method of NFPA 13. Add an allowance for exterior hose streams of 250 gpm to the sprinkler system demand at the fire hydrant shown on the drawings closest to the point where the water service enters the building.

#### 1.2.2 Sprinkler Coverage

Sprinklers must be uniformly spaced on branch lines. Provide coverage throughout 100 percent of the building. This includes, but is not limited to, telephone rooms, electrical equipment rooms (regardless of the fire resistance rating of the enclosure), boiler rooms, switchgear rooms, transformer rooms, attached electrical vaults and other electrical and mechanical spaces. Coverage per sprinkler must be in accordance with NFPA 13. Provide sprinklers below all obstructions in accordance with NFPA 13. Exceptions are as follows:

a. Sprinklers may be omitted from small rooms which are exempted for specific occupancies in accordance with NFPA 101.

#### 1.2.3 Qualified Fire Protection Engineer (QFPE)

An individual who is a licensed professional engineer (P.E.) who has passed the fire protection engineering written examination administered by the National Council of Examiners for Engineering and Surveying (NCEES) and has relevant fire protection engineering experience. Services of the QFPE must include:

- a. Reviewing SD-02, SD-03, and SD-05 submittal packages for completeness and compliance with the provisions of this specification. Working (shop) drawings and calculations must be prepared by, or prepared under the immediate supervision of, the QFPE. The QFPE must affix their professional engineering stamp with signature to the shop drawings, calculations, and material data sheets, indicating approval prior to submitting the shop drawings to the DFPE.
- b. Provide a letter documenting that the SD-02, SD-03, and SD-05 submittal package has been reviewed and noting all outstanding comments.
- c. Performing in-progress construction surveillance prior to installation of ceilings (rough-in inspection).
- d. Witnessing pre-Government and final Government functional performance testing and performing a final installation review.
- e. Signing applicable certificates under SD-07.

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Partial submittals and submittals not fully complying with NFPA 13 and this specification section must be returned disapproved without review. SD-02, SD-03 and SD-05 must be submitted simultaneously.

Shop drawings (SD-02), product data (SD-03) and calculations (SD-05) must be prepared by the designer and combined and submitted as one complete package. The QFPE must review the SD-02/SD-03/SD-05 submittal package for completeness and compliance with the Contract provisions prior to submission to the Government. The QFPE must provide a Letter of Confirmation that they have reviewed the submittal package for compliance with the contract provisions. This letter must include their professional engineer stamp and signature. Partial submittals and submittals not reviewed by the QFPE must be returned disapproved without review.

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualified Fire Protection Engineer (QFPE); G, RO, AE

Sprinkler System Designer; G, RO, AE

Sprinkler System Installer; G, RO, AE

SD-02 Shop Drawings

Shop Drawing; G, RO, AE

SD-03 Product Data

Pipe; G, RO, AE

Contract #W912DS19C0031 West Point, NY 1 March 2023 Lincoln Hall Revised RTA Submission Fittings; G, RO, AE Valves, including gate, check, butterfly, and globe; G, RO, AE Sprinklers; G, RO, AE Pipe Hangers and Supports; G, RO, AE Sprinkler Alarm Switch; G, RO, AE Valve Supervisory (Tamper) Switch; G, RO, AE Fire Department Connection; G, RO, AE Air Vent; G, RO, AE Hose Valve; G, RO, AE Seismic Bracing; G, RO, AE Nameplates; G, RO, AE SD-05 Design Data Seismic Bracing; G, RO, AE Load calculations for sizing of seismic bracing Hydraulic Calculations; G, RO, AE SD-06 Test Reports Test Procedures; G, RO, AE SD-07 Certificates Verification of Compliant Installation; G Request for Government Final Test; G SD-10 Operation and Maintenance Data Operating and Maintenance (O&M) Instructions; G Spare Parts Data; G, RO, AE SD-11 Closeout Submittals As-built drawings 1.4 QUALITY ASSURANCE 1.4.1 Preconstruction Submittals Within 36 days of contract award but no less than 14 days prior to commencing work on site, the prime Contractor must submit the following for review and approval. SD-02, SD-03 and SD-05 submittals received prior

to the review and approval of the qualifications will be returned

Disapproved Without Review.

1.4.1.1 Shop Drawing

Three copies of the shop drawings, no later than 28 days prior to the start of system installation. Working drawings conforming to the requirements prescribed in NFPA 13 and must be no smaller than the Contract Drawings. Each set of drawings must include the following:

1. A descriptive index with drawings listed in sequence by number. A legend sheet identifying device symbols, nomenclature, and conventions used in the package.

2. Floor plans drawn to a scale not less than 1/8-inch equals 1-foot clearly showing locations of devices, equipment, risers, and other details required to clearly describe the proposed arrangement.

3. Actual center-to-center dimensions between sprinklers on branch lines and between branch lines; from end sprinklers to adjacent walls; from walls to branch lines; from sprinkler feed mains, cross mains and branch lines to finished floor and roof or ceiling. A detail must show the dimension from the sprinkler and sprinkler deflector to the ceiling in finished areas.

4. Longitudinal and transverse building sections showing typical branch line and cross main pipe routing, elevation of each typical sprinkler above finished floor and elevation of "cloud" or false ceilings in relation to the building ceilings.

5. Plan and elevation views which establish that the equipment will fit the allotted spaces with clearance for installation and maintenance.

6. Riser layout drawings drawn to a scale of not less than 1/2-inch equals 1-foot to show details of each system component, clearances between each other and from other equipment and construction in the room.

7. Details of each type of riser assembly, pipe hanger, sway bracing for earthquake protection, and restraint of underground water main at point-of-entry into the building, and electrical devices and interconnecting wiring. The dimension from the edge of vertical piping to the nearest adjacent wall(s) must be indicated on the drawings when vertical piping is located in stairs or other portions of the means of egress.

8. Details of each type of pipe hanger, seismic bracing/restraint and related components.

9. Include fire pump curve with shop drawings and hydraulic calculations.

1.4.1.2 Product Data

Three copies of annotated catalog data to show the specific model, type, and size of each item. Catalog cuts must also indicate the NRTL listing. The data must be highlighted to show model, size, options, and other pertinent information, that are intended for consideration. Data must be adequate to demonstrate compliance with all contract requirements. Product data for all equipment must be combined into a single submittal.

# 1.4.1.3 Hydraulic Calculations

Calculations must be as outlined in NFPA 13 except that calculations must be performed by computer using software intended specifically for fire protection system design using the design data shown on the drawings. Include fire pump curve with submittal.

#### 1.4.1.4 Operating and Maintenance (O&M) Instructions

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA as supplemented and modified by this specification section.

Provide six manuals and one pdf version on electronic media. The manuals must include the manufacturer's name, model number, parts list, list of parts and tools that should be kept in stock by the owner for routine maintenance, troubleshooting guide, and recommended service organization (including address and telephone number) for each item of equipment. Each service organization submitted must be capable of providing 4-hour on-site response to a service call on an emergency basis.

Submit spare parts data for each different item of material and equipment specified. The data must include a complete list of parts and supplies, and a list of parts recommended by the manufacturer to be replaced after 1-year and 3 years of service. Include a list of special tools and test equipment required for maintenance and testing of the products supplied.

# 1.4.2 Qualifications

1.4.2.1 Sprinkler System Designer

The sprinkler system designer must be certified as a Level III Technician by National Institute for Certification in Engineering Technologies (NICET) in the Water-Based Systems Layout subfield of Fire Protection Engineering Technology in accordance with NICET 1014-7.

#### 1.4.2.2 Sprinkler System Installer

The sprinkler system installer must be regularly engaged in the installation of the type and complexity of system specified in the contract documents, and must have served in a similar capacity for at least three systems that have performed in the manner intended for a period of not less than 6 months.

#### 1.4.3 Regulatory Requirements

Equipment and material must be listed or approved. Listed or approved, as used in this Section, means listed, labeled or approved by a Nationally Recognized Testing Laboratory (NRTL) such as UL Fire Prot Dir or FM APP GUIDE. The omission of these terms under the description of an item or equipment described must not be construed as waiving this requirement. All listings or approvals by testing laboratories must be from an existing ANSI or UL published standard. The recommended practices stated in the manufacturer's literature or documentation are mandatory requirements.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from the weather, excessive humidity and temperature variations, dirt and dust, or other

contaminants. All pipes must be either capped or plugged until installed.

#### 1.6 EXTRA MATERIALS

Spare sprinklers and wrench(es) must be provided as spare parts in accordance with NFPA 13.

# PART 2 PRODUCTS

#### 2.1 MATERIALS AND EQUIPMENT

#### 2.1.1 Standard Products

Provide materials, equipment, and devices listed for fire protection service when so required by NFPA 13 or this specification. Select material from one manufacturer, where possible, and not a combination of manufacturers, for a classification of material. Material and equipment must be standard products of a manufacturer regularly engaged in the manufacture of the products for at least 2 years prior to bid.

# 2.1.2 Nameplates

Major components of equipment must have the manufacturer's name, address, type or style, model or serial number, catalog number, date of installation, installing Contractor's name and address, and the contract number provided on a new name plate permanently affixed to the item or equipment. Nameplates must be etched metal or plastic, permanently attached by screws to control units, panels or adjacent walls.

#### 2.1.3 Identification and Marking

Pipe and fitting markings must include name or identifying symbol of manufacturer and nominal size. Pipe must be marked with ASTM designation. Valves and equipment markings must have name or identifying symbol of manufacturer, specific model number, nominal size, name of device, arrow indicating direction of flow, and position of installation (horizontal or vertical), except if valve can be installed in either position. Markings must be included on the body casting or on an etched or stamped metal nameplate permanently on the valve or cover plate.

#### 2.1.4 Pressure Ratings

Valves, fittings, couplings, alarm switches, and similar devices must be rated for the maximum working pressures that can be experienced in the system, but in no case less than 175 psi.

#### 2.2 UNDERGROUND PIPING COMPONENTS

#### 2.2.1 Pipe

Pipe must comply with NFPA 24. Minimum pipe size is 6 inches. Piping more than 5 feet outside the building walls must comply with Section 33 11 00 WATER UTILITY DISTRIBUTION PIPING. A continuous section of welded stainless steel fire water service piping from a point outside the building perimeter to a flanged fitting at least 1-foot above the finished floor within the building is acceptable.

#### 2.2.2 Fittings and Gaskets

Fittings must be ductile-iron conforming to AWWA C110/A21.10 with cement mortar lining conforming to AWWA C104/A21.4. Gaskets must be suitable in design and size for the pipe with which such gaskets are to be used. Gaskets for ductile-iron pipe joints must conform to AWWA C111/A21.11.

# 2.2.3 Gate Valve and Indicator Posts

Installation must comply with NFPA 24. Gate valves for use with indicator post must conform to UL 262. Indicator posts must conform to UL 789. Provide each indicator post with one coat of primer and two coats of red enamel paint.

# 2.2.4 Buried Utility Warning and Identification Tape

Provide detectable aluminum foil plastic backed tape or detectable magnetic plastic tape manufactured specifically for warning and identification of buried piping. Tape must be detectable by an electronic detection instrument. Provide tape, 3 inches minimum width, color coded for the utility involved with warning and identification imprinted in bold block letters continuously and repeatedly over the entire tape length. Warning and identification must read "CAUTION BURIED WATER PIPING BELOW" or similar wording. Use permanent code and letter coloring unaffected by moisture and other substances contained in trench backfill material.

#### 2.3 ABOVEGROUND PIPING COMPONENTS

- 2.3.1 Steel Piping Components
- 2.3.1.1 Steel Pipe
  - Except as modified herein, steel pipe must be black as permitted by NFPA 13 and conform to the applicable provisions of ASTM A53/A53M, ASTM A135/A135M or ASTM A153/A153M.

Steel pipe must be minimum Schedule 40 for sizes 2 inches and less; and minimum Schedule 10 for sizes larger than 2 inches. Steel piping with wall thickness less than Schedule 40 must not be threaded.

#### 2.3.1.2 Fittings

Fittings must be welded, threaded, or grooved-end type. Threaded fittings must be cast-iron conforming to ASME B16.4, malleable-iron conforming to ASME B16.3 or ductile-iron conforming to ASTM A536. Plain-end fittings with mechanical couplings, fittings that use steel gripping devices to bite into the pipe, steel press fittings and field welded fittings are not permitted. Fittings, mechanical couplings, and rubber gaskets must be supplied by the same manufacturer. Threaded fittings must use Teflon tape or manufacturer's approved joint compound. Reducing couplings are not permitted except as allowed by NFPA 13.

#### 2.3.1.3 Grooved Mechanical Joints and Fittings

Joints and fittings must be designed for not less than 175 psi service and the product of the same manufacturer. Field welded fittings must not be used. Fitting and coupling housing must be malleable-iron conforming to ASTM A47/A47M, Grade 32510; ductile-iron conforming to ASTM A536, Grade 65-45-12. Rubber gasketed grooved-end pipe and fittings with mechanical

couplings are permitted in pipe sizes 2 inches and larger. Gasket must be the flush type that fills the entire cavity between the fitting and the pipe. Nuts and bolts must be heat-treated steel conforming to ASTM A183 and must be cadmium-plated or zinc-electroplated.

# 2.3.1.4 Flanges

Flanges must conform to NFPA 13 and ASME B16.1. Gaskets must be non-asbestos compressed material in accordance with ASME B16.21, 1/16-inch thick, and full face or self-centering flat ring type.

#### 2.3.2 Flexible Sprinkler Hose

The use of flexible hose is permitted. Flexible sprinkler hose must comply with UL 2443 and FM 1637.

#### 2.3.3 Pipe Hangers and Supports

Provide galvanized pipe hangers, supports and seismic bracingand supports in accordance with NFPA 13. Design and install seismic protection in accordance with the requirements of NFPA 13 section titled "Protection of Piping Against Damage Where Subject to Earthquakes for Seismic Design Category "B".

# 2.3.4 Valves

Provide valves of types approved for fire service. Valves must open by counterclockwise rotation.

#### 2.3.4.1 Control Valve

Manually operated sprinkler control/gate valve must be outside stem and yoke (OS&Y) type or butterfly type and must be listed.

# 2.3.4.2 Check Valves

Check valves must comply with UL 312. Check valves 4 inches and larger must be of the swing type, have a clear waterway and meet the requirements of MSS SP-71, for Type 3 or 4. Inspection plate must be provided on valves larger than 6 inches.

#### 2.3.4.3 Hose Valve

Valve must comply with UL 668.

#### 2.4 ALARM INITIATING AND SUPERVISORY DEVICES

#### 2.4.1 Sprinkler Alarm Switch

Vane or pressure-type flow switch(es). Connection of switch must be by the fire alarm installer. Vane type alarm actuating devices must have mechanical diaphragm controlled retard device adjustable from 10 to 60 seconds and must instantly recycle. Flow switches for elevator power shunt must not have a retard feature.

2.4.2 Valve Supervisory (Tamper) Switch

Switch must be integral to the control valve or suitable for mounting to the type of control valve to be supervised open. The switch must be

tamper resistant and contain SPDT (Form C) contacts arranged to transfer upon removal of the housing cover or closure of the valve of more than two rotations of the valve stem.

# 2.5 FIRE DEPARTMENT CONNECTION

Fire department connection must be freestanding type with cast-brass body, matching escutcheon lettered "Auto Spkr Standpipe" with a polished-brass finish. Female inlets must have 4-inch diameter Storz. Comply with UL 405.

# 2.6 SPRINKLERS

Sprinklers must comply with UL 199 and NFPA 13. Sprinklers with internal O-rings are not acceptable. Sprinklers in high heat areas including attic spaces or in close proximity to unit heaters must have temperature classification in accordance with NFPA 13. Extended coverage sprinklers are permitted for loading docks, residential occupancies and high-piled storage applications only.

#### 2.6.1 Pendent Sprinkler

Pendent sprinkler must be recessed, quick-response type with nominal K-factor of 5.6 or 8.0. Pendent sprinklers must have a polished chrome finish. Assembly must include an integral escutcheon.

#### 2.6.2 Upright Sprinkler

Upright sprinkler must be brass, quick-response type and have a nominal K-factor of 5.6 or 8.0.

# 2.6.3 Sidewall Sprinkler

Sidewall sprinkler must be the quick-response, recessed, dry sidewall type. Sidewall sprinkler must have a nominal K-factor of 5.6 or 8.0. Sidewall sprinkler must have a polished-chrome finish.

2.6.4 Concealed Sprinkler

Concealed sprinkler must be chrome-plated, quick-response type and have a nominal K-factor of 5.6 or 8.0. Coverplate must be white.

# 2.7 ACCESSORIES

#### 2.7.1 Sprinkler Cabinet

Provide spare sprinklers in accordance with NFPA 13 and must be placed in a suitable metal or plastic cabinet of sufficient size to accommodate all the spare sprinklers and wrenches in designated locations. Spare sprinklers must be representative of, and in proportion to, the number of each type and temperature rating of the sprinklers installed as required by NFPA 13. At least one wrench of each type required must be provided.

#### 2.7.2 Pendent Sprinkler Escutcheon

Escutcheon must be one-piece metallic type with a depth of less than 3/4-inch and suitable for installation on pendent sprinklers. The escutcheon must have a factory finish that matches the pendent sprinkler.

#### 2.7.3 Pipe Escutcheon

Provide split hinge metal plates for piping entering walls, floors, and ceilings in exposed spaces. Provide polished stainless steel plates or chromium-plated finish on copper alloy plates in finished spaces. Provide paint finish on metal plates in unfinished spaces.

# 2.7.4 Sprinkler Guard

Listed guard must be a steel wire cage designed to encase the sprinkler and protect it from mechanical damage. Guards must be provided on sprinklers located within 7 feet of the floor.

# 2.7.5 Air Vent

Air vents must be of the automatic type and piped to drain to the building exterior.

# 2.7.6 Identification Sign

Valve identification sign must be minimum 6 inches wide by 2 inches high with enamel baked finish on minimum 18 gage steel or 0.024-inch aluminum with red letters on a white background or white letters on red background. Wording of sign must include, but not be limited to "main drain", "auxiliary drain", "inspector's test", "alarm test", "alarm line", and similar wording as required to identify operational components. Where there is more than one sprinkler system, signage must include specific details as to the respective system.

#### PART 3 EXECUTION

# 3.1 VERIFYING ACTUAL FIELD CONDITIONS

Before commencing work, examine all adjoining work on which the contractor's work that is dependent for perfect workmanship according to the intent of this specification section, and report to the Contracting Officer's Representative a condition that prevents performance of first class work. No "waiver of responsibility" for incomplete, inadequate or defective adjoining work will be considered unless notice has been filed before submittal of a proposal.

# 3.2 INSTALLATION

The installation must be in accordance with the applicable provisions of NFPA 13, NFPA 24 and publications referenced therein. Locate sprinklers in a consistent pattern with ceiling grid, lights, and air supply diffusers. Install sprinkler system over and under ducts, piping and platforms when such equipment can negatively affect or disrupt the sprinkler discharge pattern and coverage.

- a. Piping offsets, fittings, and other accessories required must be furnished to provide a complete installation and to eliminate interference with other construction.
- b. Wherever the contractor's work interconnects with work of other trades the Contractor must coordinate with other Contractors to insure all Contractors have the information necessary so that they may properly install all necessary connections and equipment. Identify all work items needing access (dampers and similar equipment) that are

concealed above hung ceilings by permanent color coded pins/tabs in the ceiling directly below the item.

c. Provide required supports and hangers for piping, conduit, and equipment so that loading will not exceed allowable loadings of structure. Submittal of a bid must be a deemed representation that the contractor submitting such bid has ascertained allowable loadings and has included in his estimates the costs associated in furnishing required supports.

#### 3.2.1 Waste Removal

At the conclusion of each day's work, clean up and stockpile on site all waste, debris, and trash which may have accumulated during the day as a result of work by the contractor and of his presence on the job. Sidewalks and streets adjoining the property must be kept broom clean and free of waste, debris, trash and obstructions caused by work of the contractor, which will affect the condition and safety of streets, walks, utilities, and property.

# 3.3 UNDERGROUND PIPING INSTALLATION

The fire protection water main must be laid, and joints anchored, in accordance with NFPA 24. Minimum depth of cover must be 5 feet or the frost line, whichever is deeper. The supply line must terminate inside the building with a flanged piece, the bottom of which must be set not less than 1-foot above the finished floor. A blind flange must be installed temporarily on top of the flanged piece to prevent the entrance of foreign matter into the supply line. A concrete thrust block must be provided at the elbow where the pipe turns up toward the floor. In addition, joints must be anchored in accordance with NFPA 24. Buried steel components must be provided with a corrosion protective coating in accordance with AWWA C203. Piping more than 5 feet outside the building walls must meet the requirements of Section 33 11 00 WATER UTILITY DISTRIBUTION PIPING.

## 3.4 ABOVEGROUND PIPING INSTALLATION

The methods of fabrication and installation of the aboveground piping must fully comply with the requirements and recommended practices of NFPA 13 and this specification section.

#### 3.4.1 Protection of Piping Against Earthquake Damage

Seismic restraint is required.

#### 3.4.2 Piping in Exposed Areas

Install exposed piping without diminishing exit access widths, corridors or equipment access. Exposed horizontal piping, including drain piping, must be installed to provide maximum headroom.

# 3.4.3 Piping in Finished Areas

In areas with suspended or dropped ceilings and in areas with concealed spaces above the ceiling, piping must be concealed above ceilings. Piping must be inspected, hydrostatically tested and approved before being concealed. Risers and similar vertical runs of piping in finished areas must be concealed.

# 3.4.4 Pendent Sprinklers

- a. Drop nipples to pendent sprinklers must consist of minimum 1-inch pipe with a reducing coupling into which the sprinkler must be threaded.
- b. Where sprinklers are installed below suspended or dropped ceilings, drop nipples must be cut such that sprinkler ceiling plates or escutcheons are of a uniform depth throughout the finished space. The outlet of the reducing coupling must not extend below the underside of the ceiling.
- c. Recessed pendent sprinklers must be installed such that the distance from the sprinkler deflector to the underside of the ceiling must not exceed the manufacturer's listed range and must be of uniform depth throughout the finished area.
- d. Pendent sprinklers in suspended ceilings must be located in the center of the tile (+/- 2 inches).
- g. Where the maximum static or flowing pressure, whichever is greater at the sprinkler, applied other than through the fire department connection, exceeds 100 psi and a branch line above the ceiling supplies sprinklers in a pendent position below the ceiling, the cumulative horizontal length of an unsupported armover to a sprinkler or sprinkler drop must not exceed 12 inches for steel pipe.

#### 3.4.5 Upright Sprinklers

Riser nipples or "sprigs" to upright sprinklers must contain no fittings between the branch line tee and the reducing coupling at the sprinkler.

3.4.6 Pipe Joints

Pipe joints must conform to NFPA 13, except as modified herein. Not more than four threads must show after joint is made up. Welded joints will be permitted, only if welding operations are performed as required by NFPA 13 at the Contractor's fabrication shop, not at the project construction site. Flanged joints must be provided where indicated or required by NFPA 13. Grooved pipe and fittings must be prepared in accordance with the manufacturer's latest published specification according to pipe material, wall thickness and size. Grooved couplings, fittings and grooving tools must be products of the same manufacturer. For copper tubing, pipe and groove dimensions must comply with the tolerances specified by the coupling manufacturer. The diameter of grooves made in the field must be measured using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer, or other method specifically approved by the coupling manufacturer for the intended application. Groove width and dimension of groove from end of pipe must be measured and recorded for each change in grooving tool setup to verify compliance with coupling manufacturer's tolerances.

# 3.4.7 Reducers

Reductions in pipe sizes must be made with one-piece tapered reducing fittings. When standard fittings of the required size are not manufactured, single bushings of the face or hex type will be permitted. Where used, face bushings must be installed with the outer face flush with the face of the fitting opening being reduced. Bushings cannot be used in elbow fittings, in more than one outlet of a tee, in more than two outlets of a cross, or where the reduction in size is less than 1/2-inch.

#### 3.4.8 Pipe Penetrations

- a. Cutting structural members for passage of pipes or for pipe-hanger fastenings will not be permitted. Pipes that must penetrate concrete or masonry walls or concrete floors must be core-drilled and provided with pipe sleeves. Each sleeve must be Schedule 40 galvanized steel, ductile-iron or cast-iron pipe and extend through its respective wall or floor and be cut flush with each wall surface. Sleeves must provide required clearance between the pipe and the sleeve per NFPA 13. The space between the sleeve and the pipe must be firmly packed with mineral wool insulation.
- b. Where pipes and sleeves penetrate fire walls, fire partitions, or floors, pipes/sleeves must be firestopped in accordance with Section 07 84 00 FIRESTOPPING.
- c. In penetrations that are not fire-rated or not a floor penetration, the space between the sleeve and the pipe must be sealed at both ends with plastic waterproof cement that will dry to a firm but pliable mass or with a mechanically adjustable segmented elastomer seal.
- d. All penetrations through the boundary of rooms/areas identified as secure space area must meet ICS 705-1.

# 3.4.9 Escutcheons

Escutcheons must be provided for pipe penetration in finished areas of ceilings, floors and walls. Escutcheons must be securely fastened to the pipe at surfaces through which piping passes.

3.4.10 Inspector's Test Connection

Unless otherwise indicated, the test connection must consist of 1-inch pipe connected at the riser as a combination test and drain valve; a test valve located approximately 7 feet above the floor; a smooth bore brass outlet equivalent to the smallest orifice sprinkler used in the system; and a painted metal identification sign affixed to the valve with the words "Inspector's Test". All test connection piping must be inside of the building and penetrate the exterior wall at the location of the discharge orifice only. The discharge orifice must be located outside the building wall no more than 2 feet above finished grade, directed so as not to cause damage to adjacent construction or landscaping during full flow discharge, or to the sanitary sewer. Discharge to the exterior must not interfere with exiting from the facility. Water discharge or runoff must not cross the path of egress from the building. Do not discharge to the roof. Discharge to floor drains, janitor sinks or similar fixtures is not permitted.

Provide concrete splash blocks at all drain and inspector's test connection discharge locations if not discharging to a concrete surface. Splash blocks must be large enough to mitigate erosion and not become dislodged during a full flow of the drain. Ensure all discharged water drains away from the facility and does not cause property damage.

# 3.4.11 Drains

- a. Main drain piping must be provided to discharge at a safe point outside the building, no more than 2 feet above finished grade. Provide a concrete splash block at drain outlet. Discharge to the exterior must not interfere with exiting from the facility. Water discharge or runoff must not cross the path of egress from the building.
- b. Auxiliary drains must be provided as required by NFPA 13. Auxiliary drains are permitted to discharge to a floor drain if the drain is sized to accommodate full flow (min 40 gpm). Discharge to service sinks or similar plumbing fixtures is not permitted.

# 3.4.12 Installation of Fire Department Connection

Connection must be mounted adjacent to and on the sprinkler system side of the fire pump. The piping between the connection and the check valve must be provided with an automatic drip in accordance with NFPA 13 and piped to drain to the outside or a floor drain within the same room.

#### 3.4.13 Identification Signs

Signs must be affixed to each control valve, inspector test valve, main drain, auxiliary drain, test valve, and similar valves as appropriate or as required by NFPA 13. Main drain test results must be etched into main drain identification sign. Hydraulic design data must be etched into the nameplates and permanently affixed to each sprinkler riser as specified in NFPA 13. Provide labeling on the surfaces of all feed and cross mains to show the pipe function (e.g., "Sprinkler System", "Fire Department Connection", "Standpipe") and normal valve position (e.g. "Normally Open", "Normally Closed"). For pipe sizes 4-inch and larger provide white painted stenciled letters and arrows, a minimum of 2 inches in height and visible from at least two sides when viewed from the floor. For pipe sizes less than 4-inch, provide white painted stenciled letters and arrows, a minimum of 0.75-inch in height and visible from the floor. Provide properly lettered and approved metal sign to elevator flow switch stating the circuits' voltage, and identify the switch as an "Elevator Power Shunt Flow Switch".

#### 3.5 ELECTRICAL

Except as modified herein, electric equipment and wiring must be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Alarm signal wiring connected to the building fire alarm control system must be by the fire alarm installer.

3.6 PAINTING

Color code mark piping redas specified in Section 09 90 00 PAINTS AND COATINGS.

#### 3.7 FIELD QUALITY CONTROL

#### 3.7.1 Test Procedures

Submit detailed test procedures, prepared and signed by the NICET Level III Fire Sprinkler Technician, and the representative of the installing company, and reviewed by the QFPE 60 days prior to performing system

tests. Detailed test procedures must list all components of the installed system. Test procedures must include sequence of testing, time estimate for each test, and sample test data forms. The test data forms must be in a check-off format (pass/fail with space to add applicable test data; similar to the forms in NFPA 13 .) The test procedures and accompanying test data forms must be used for the pre-Government testing and the Government final testing.

- a. Provide space to identify the date and time of each test. Provide space to identify the names and signatures of the individuals conducting and witnessing each test.
- 3.7.2 Pre-Government Testing
- 3.7.2.1 Verification of Compliant Installation

Conduct inspections and tests to ensure that equipment is functioning properly. Tests must meet the requirements of paragraph entitled "Minimum System Tests" and "System Acceptance" as noted in NFPA 13. The Contractor and QFPE must be in attendance at the pre-Government testing to make necessary adjustments. After inspection and testing is complete, provide a signed Verification of Compliant Installation letter by the QFPE that the installation is complete, compliant with the specification and fully operable. The letter must include the names and titles of the witnesses to the pre-Government tests. Provide all completion documentation as required by NFPA 13 and the test reports noted below.

- a. NFPA 13 Aboveground Material and Test Certificate
- b. NFPA 13 Underground Material and Test Certificate
- 3.7.2.2 Request for Government Final Test

When the verification of compliant installation has been completed, submit a formal request for Government final test to the Designated Fire Protection Engineer (DFPE) and Contracting Officers Designated Representative (COR). Government final testing will not be scheduled until the DFPE has received copies of the request for Government final testing and Verification of Compliant Installation letter with all required reports. Government final testing will not be performed until after the connections to the building fire alarm system and installation fire alarm reporting system have been completed and tested to confirm communications are fully functional. Submit request for test at least 15 calendar days prior to the requested test date.

3.7.3 Correction of Deficiencies

If equipment was found to be defective or non-compliant with contract requirements, perform corrective actions and repeat the tests. Tests must be conducted and repeated if necessary until the system has been demonstrated to comply with all contract requirements.

3.7.4 Government Final Tests

The tests must be performed in accordance with the approved test procedures in the presence of the DFPE. Furnish instruments and personnel required for the tests. The following must be provided at the job site for Government Final Testing:

- a. The manufacturer's technical representative.
- b. The contractor's Qualified Fire Protection Engineer (QFPE).
- c. Marked-up red line drawings of the system as actually installed.

Government Final Tests will be witnessed by the Contracting Officer and Qualified Fire Protection Engineer (QFPE). At this time, all required tests noted in the paragraph "Minimum System Tests" must be repeated at their discretion.

#### 3.8 MINIMUM SYSTEM TESTS

The system, including the underground water mains, and the aboveground piping and system components, must be tested to ensure that equipment and components function as intended. The underground and aboveground interior piping systems and attached appurtenances subjected to system working pressure must be tested in accordance with NFPA 13 and NFPA 24.

3.8.1 Underground Piping

#### 3.8.1.1 Flushing

Underground piping must be flushed at a minimum of 10 fps in accordance with NFPA 24.

#### 3.8.1.2 Hydrostatic Test

New underground piping must be hydrostatically tested in accordance with NFPA 24.

# 3.8.2 Aboveground Piping

#### 3.8.2.1 Hydrostatic Test

Aboveground piping must be hydrostatically tested in accordance with NFPA 13. There must be no drop in gauge pressure or visible leakage when the system is subjected to the hydrostatic test. The test pressure must be read from a gauge located at the low elevation point of the system or portion being tested.

#### 3.8.3 Main Drain Flow Test

Following flushing of the underground piping, a main drain test must be made to verify the adequacy of the water supply. Static and residual pressures must be recorded on the certificate specified in paragraph SUBMITTALS.

#### 3.9 SYSTEM ACCEPTANCE

Following acceptance of the system, as-built drawings and O&M manuals must be delivered to the Contracting Officer for review and acceptance. Submit six sets of detailed as-built drawings. The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final acceptance test of the system. At least one set of as-built (marked-up) drawings must be provided at the time of, or prior to the final acceptance test.

- a. Provide one set of full size paper as-built drawings and schematics. The drawings must be prepared electronically and sized no less than the contract drawings. Furnish one set of CDs or DVDs containing software back-up and CAD based drawings in latest version of AutoCAD, DXF and portable document formats of as-built drawings and schematics.
- b. Provide operating and maintenance (O&M) instructions.

#### 3.10 ONSITE TRAINING

Conduct a training course for the responding fire department and operating and maintenance personnel as designated by the Contracting Officer. Training must be performed on two separate days (to accommodate different shifts of Fire Department personnel) for a period of 4 hours of normal working time and must start after the system is functionally complete and after the final acceptance test. The on-site training must cover all of the items contained in the approved Operating and Maintenance Instructions.

-- End of Section --

#### SECTION 22 00 00

# PLUMBING, GENERAL PURPOSE 11/15

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 1010 (2002) Self-Contained, Mechanically Refrigerated Drinking-Water Coolers

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- ANSI Z21.10.3/CSA 4.3 (2017) Gas-Fired Water Heaters Vol.III, Storage Water Heaters With Input Ratings Above 75,000 Btu Per Hour, Circulating and Instantaneous
- ANSI Z21.22/CSA 4.4 (2015) Relief Valves for Hot Water Supply Systems

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - IP (2013) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A112.1.2	(2012; R 2017) Air Gaps in Plumbing Systems (For Plumbing Fixtures and Water-Connected Receptors)
ASME A112.6.1M	(1997; R 2017) Floor Affixed Supports for Off-the-Floor Plumbing Fixtures for Public Use
ASME A112.6.3	(2019) Standard for Floor and Trench Drains
ASME A112.6.4	(2003: R 2012) Roof, Deck and Balcony Drains
ASME A112.19.2/CSA B45.1	(2018; ERTA 2018) Standard for Vitreous China Plumbing Fixtures and Hydraulic Requirements for Water Closets and Urinals
ASME A112.19.3/CSA B45.4	(2017; Errata 2017) Stainless Steel Plumbing Fixtures
ASME A112.19.5	(2017) Flush Valves and Spuds for Water Closets, Urinals, and Tanks

ASME A112.36.2M	(1991; R 2017) Cleanouts	
ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)	
ASME B16.4	(2016) Standard for Gray Iron Threaded Fittings; Classes 125 and 250	
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard	
ASME B16.12	(2019) Cast Iron Threaded Drainage Fittings	
ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings Classes 125 and 250	
ASME B16.18	(2018) Cast Copper Alloy Solder Joint Pressure Fittings	
ASME B16.22	(2018) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings	
ASME B16.23	(2011) Cast Copper Alloy Solder Joint Drainage Fittings - DWV	
ASME B16.29	(2017) Wrought Copper and Wrought Copper Alloy Solder-Joint Drainage Fittings - DWV	
ASME B31.1	(2020) Power Piping	
ASME B31.5	(2020) Refrigeration Piping and Heat Transfer Components	
ASME B40.100	(2013) Pressure Gauges and Gauge Attachments	
ASME BPVC SEC IV	(2017) BPVC Section IV-Rules for Construction of Heating Boilers	
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications	
AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)		
ASSE 1001	(2016) Performance Requirements for Atmospheric Type Vacuum Breakers	
ASSE 1010	(2004) Performance Requirements for Water Hammer Arresters (ANSI approved 2004)	
ASSE 1011	(2004; Errata 2004) Performance Requirements for Hose Connection Vacuum Breakers (ANSI approved 2004)	
ASSE 1012	(2009) Performance Requirements for Backflow Preventer with an Intermediate Atmospheric Vent - (ANSI approved 2009)	

ASSE 1013	(2011) Performance Requirements for Reduced Pressure Principle Backflow Preventers and Reduced Pressure Fire Protection Principle Backflow Preventers - (ANSI approved 2010)
ASSE 1018	(2001) Performance Requirements for Trap Seal Primer Valves - Potable Water Supplied (ANSI Approved 2002
ASSE 1019	(2011; R 2016) Performance Requirements for Wall Hydrant with Backflow Protection

and Freeze Resistance

ASSE 1020 (2004; Errata 2004; Errata 2004) Performance Requirements for Pressure Vacuum Breaker Assembly (ANSI Approved 2004)

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA B300	(2010; Addenda 2011) Hypochlorites	
AWWA B301	(2010) Liquid Chlorine	
AWWA C203	(2008) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied	
AWWA C606	(2015) Grooved and Shouldered Joints	
AWWA C651	(2014) Standard for Disinfecting Water Mains	
AWWA C652	(2011) Disinfection of Water-Storage Facilities	
AWWA C700	(2015) Cold-Water Meters - Displacement Type, Metal Alloy Main Case	
AWWA C701	(2015) Cold-Water Meters - Turbine Type for Customer Service	
AWWA D100	(2011) Welded Steel Tanks for Water Storage	
AMERICAN WELDING SOCIET	Y (AWS)	
AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding	
ASME INTERNATIONAL (ASME)		
ASME A17.1/CSA B44	(2019) Safety Code for Elevators and Escalators	
ASTM INTERNATIONAL (AST	'M )	
ASTM A74	(2017) Standard Specification for Cast	

West Point, NY Lincoln Hall Revised RTA Submissi	.on Contract #W912DS19C0031
	Iron Soil Pipe and Fittings
ASTM A105/A105M	(2018) Standard Specification for Carbon Steel Forgings for Piping Applications
ASTM A193/A193M	(2019) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A515/A515M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A516/A516M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM A888	(2018) Standard Specification for Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal
ASTM B42	(2015a) Standard Specification for Seamless Copper Pipe, Standard Sizes
ASTM B88	(2020) Standard Specification for Seamless Copper Water Tube
ASTM B88M	(2018) Standard Specification for Seamless Copper Water Tube (Metric)
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B306	(2013) Standard Specification for Copper Drainage Tube (DWV)
ASTM B370	(2012; R 2019) Standard Specification for Copper Sheet and Strip for Building Construction
ASTM B584	(2014) Standard Specification for Copper Alloy Sand Castings for General Applications
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM C564	(2014) Standard Specification for Rubber Gaskets for Cast Iron Soil Pipe and Fittings
ASTM C920	(2018) Standard Specification for

West Point, NY Lincoln Hall Revised RTA Submissi	On Contract #W912DS19C0031 1 March 2023	
	Elastomeric Joint Sealants	
ASTM D2822/D2822M	(2005; R 2011; E 2011) Standard Specification for Asphalt Roof Cement, Asbestos-Containing	
ASTM D3139	(1998; R 2011) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals	
ASTM D3212	(2007; R 2020) Standard Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals	
ASTM D3311	(2017) Standard Specification for Drain, Waste, and Vent (DWV) Plastic Fittings Patterns	
ASTM E1	(2014) Standard Specification for ASTM Liquid-in-Glass Thermometers	
ASTM F477	(2014) Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe	
CAST IRON SOIL PIPE INS	TITUTE (CISPI)	
CISPI 301	(2012) Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications	
CISPI 310	(2012) Coupling for Use in Connection with Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications	
COPPER DEVELOPMENT ASSC	CIATION (CDA)	
CDA A4015	(2016; 14/17) Copper Tube Handbook	
INTERNATIONAL CODE COUNCIL (ICC)		
ICC A117.1 COMM	(2017) Standard And Commentary Accessible and Usable Buildings and Facilities	
ICC IPC	(2018) International Plumbing Code	
MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)		
MSS SP-25	(2018) Standard Marking System for Valves, Fittings, Flanges and Unions	
MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation	
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends	

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 (2018) Gray Iron Swing Check Valves, MSS SP-71 Flanged and Threaded Ends MSS SP-72 (2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service MSS SP-80 (2019) Bronze Gate, Globe, Angle and Check Valves MSS SP-110 (2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends NACE INTERNATIONAL (NACE) (2015) Control of External Corrosion on NACE SP0169 Underground or Submerged Metallic Piping Systems NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA) NEMA 250 (2020) Enclosures for Electrical Equipment (1000 Volts Maximum) NEMA MG 1 (2018) Motors and Generators NEMA MG 11 (1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 90A (2018) Standard for the Installation of Air Conditioning and Ventilating Systems NSF INTERNATIONAL (NSF) NSF 372 (2016) Drinking Water System Components -Lead Content NSF/ANSI 61 (2020) Drinking Water System Components -Health Effects PLASTIC PIPE AND FITTINGS ASSOCIATION (PPFA) PPFA Fire Man (2016) Firestopping: Plastic Pipe in Fire Resistive Construction PLUMBING AND DRAINAGE INSTITUTE (PDI) PDI WH 201 (2010) Water Hammer Arresters Standard SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE) SAE J1508 (2009) Hose Clamp Specifications U.S. DEPARTMENT OF DEFENSE (DOD) UFC 4-010-01 (2018; with Change 1, 2020) DoD Minimum Antiterrorism Standards for Buildings

SECTION 22 00 00 Page 6

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 U.S. DEPARTMENT OF ENERGY (DOE) Energy Star (1992; R 2006) Energy Star Energy Efficiency Labeling System (FEMP) U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA) EPA SM 9223 (2004) Enzyme Substrate Coliform Test PL 93-523 (1974; A 1999) Safe Drinking Water Act U.S. GREEN BUILDING COUNCIL (USGBC) LEED BDC Ref Guide (2013) USGBC LEED Reference Guide for Building Design and Construction, v4 U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA) 10 CFR 430 Energy Conservation Program for Consumer Products 40 CFR 141.80 National Primary Drinking Water Regulations; Control of Lead and Copper; General Requirements UNDERWRITERS LABORATORIES (UL) UL 174 (2004; Reprint Apr 2015) Household Electric Storage Tank Water Heaters UL 499 (2014; Reprint Feb 2016) UL Standard for Safety Electric Heating Appliances 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in

accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Plumbing System; G, AE

Detail drawings consisting of schedules, performance charts, instructions, diagrams, and other information to illustrate the requirements and operations of systems that are not covered by the Plumbing Code. Detail drawings for the complete plumbing system including piping layouts and locations of connections; dimensions for roughing-in, foundation, and support points; schematic diagrams and wiring diagrams or connection and interconnection diagrams. Detail drawings shall indicate clearances required for maintenance and operation. Where piping and equipment are to be supported other than as indicated, details shall include loadings and proposed support methods. Mechanical drawing plans, West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 elevations, views, and details, shall be drawn to scale. SD-03 Product Data Recycled Content for Steel Pipe; S Recycled Content for Cast Iron Pipe; S Fixtures; S List of installed fixtures with manufacturer, model, and flow rate. Flush Valve Water Closets; G, AE WaterSense Label for Flush Valve Water Closet; S Flush Valve Urinals; G, AE WaterSense Label for Urinal; S Countertop Lavatories; G, AE Water flow rate for Lavatory Faucet; S Kitchen Sinks; G, AE Mother Room Sink Energy Star Label for Wheelchair Electric Water Cooler; S Water Heaters; G, AE Pumps; G, AE Backflow Prevention Assemblies; G, AE Welding A copy of qualified procedures and a list of names and identification symbols of qualified welders and welding operators. Vibration-Absorbing Features; G, AE Details of vibration-absorbing features, including arrangement, foundation plan, dimensions and specifications. Plumbing System Diagrams, instructions, and other sheets proposed for posting. Manufacturer's recommendations for the installation of bell and spigot and hubless joints for cast iron soil pipe. SD-06 Test Reports

Tests, Flushing and Disinfection

Test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report shall indicate the final position of controls.

Test of Backflow Prevention Assemblies; G, AE.

Certification of proper operation shall be as accomplished in accordance with state regulations by an individual certified by the state to perform such tests. If no state requirement exists, the Contractor shall have the manufacturer's representative test the device, to ensure the unit is properly installed and performing as intended. The Contractor shall provide written documentation of the tests performed and signed by the individual performing the tests. Prior to testing, submit to the Contracting Officer certification issued by the State or Local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency.

SD-07 Certificates

Materials and Equipment

Where equipment is specified to conform to requirements of the ASME Boiler and Pressure Vessel Code, the design, fabrication, and installation shall conform to the code.

Bolts

Written certification by the bolt manufacturer that the bolts furnished comply with the specified requirements.

SD-10 Operation and Maintenance Data

Plumbing System; G, AE

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

#### 1.2.1 Sustainable Design Submittals

Low-Emitting Materials - Interior adhesives and sealants applied on site:

- a. Meet the VOC emissions evaluation and meet the VOC content evaluation. The adhesives and sealants product category include all interior adhesives and sealants applied on site. Refer to 01 33 29 SUSTAINABILITY REPORTING and the LEED BDC Ref Guide v4.1 for full VOC content and emissions requirements.
- b.Provide LEED Submittal Cover Sheet in accordance with 01 33 29 SUSTAINABILITY REPORTING.
- C. Provide Environmental Product Declarations (EPD) for plumbing fixtures in accordance with 01 33 29 SUSTAINABILITY REPORTING.
- 1.3 STANDARD PRODUCTS

Specified materials and equipment shall be standard products of a manufacturer regularly engaged in the manufacture of such products.

Specified equipment shall essentially duplicate equipment that has performed satisfactorily at least two years prior to bid opening. Standard products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

## 1.3.1 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

#### 1.3.2 Service Support

The equipment items shall be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations shall be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.3.3 Manufacturer's Nameplate

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

## 1.3.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

## 1.3.4.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions shall be considered mandatory, the word "should" shall be interpreted as "shall." Reference to the "code official" shall be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" shall be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" shall be interpreted to mean the "lessor." References to the "permit holder" shall be interpreted to mean the "Contractor."

### 1.3.4.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, shall be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

### 1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

#### 1.5 PERFORMANCE REQUIREMENTS

### 1.5.1 Welding

Piping shall be welded in accordance with qualified procedures using performance-qualified welders and welding operators. Procedures and welders shall be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer, may be accepted as permitted by ASME B31.1. The Contracting Officer shall be notified 24 hours in advance of tests, and the tests shall be performed at the work site if practicable. Welders or welding operators shall apply their assigned symbols near each weld they make as a permanent record. Structural members shall be welded in accordance with these specifications.

#### 1.6 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work shall be in accordance with ICC IPC.

#### 1.7 PROJECT/SITE CONDITIONS

The Contractor shall become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

### 1.8 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work.

Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

### 1.9 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

## 1.10 WARRANTY

Provide equipment with manufacturer's standard 2 year parts and labor warranty.

## PART 2 PRODUCTS

### 2.1 GENERAL REQUIREMENTS

Bracing specified in this section must comply with UFC 4-010-01 DoD Minimum Antiterrorism Standards for Buildings, paragraph 3-20 Standard 19. Equipment Bracing. Equipment mounted overhead weighing 31 pounds (14 kilograms) or more (excluding distributed systems such as suspended ceilings that collectively exceed that weight) must be mounted using either rigid or flexible systems as described in this section. Mount all such systems so that they resist forces of 0.5 times the component weight in any horizontal direction and 1.5 times the component weight in the downward direction. This standard does not preclude the need to design architectural feature mountings for forces required by other criteria.

#### 2.2 MATERIALS

Materials for various services shall be in accordance with TABLES I and II. Pipe schedules shall be selected based on service requirements. Pipe fittings shall be compatible with the applicable pipe materials. Pipe threads (except dry seal) shall conform to ASME B1.20.1. Material or equipment containing a weighted average of greater than 0.25 percent lead shall not be used in any potable water system intended for human consumption, and shall be certified in accordance with NSF/ANSI 61, Annex G or NSF 372. In line devices such as water meters, building valves, check valves, meter stops, valves, fittings and back flow preventers shall comply with PL 93-523 and NSF/ANSI 61, Section 8. End point devices such as drinking water fountains, lavatory faucets, kitchen faucets, residential ice makers, supply stops and end point control valves used to dispense water for drinking must meet the requirements of NSF/ANSI 61, Section 9. Hubless cast-iron soil pipe shall not be installed underground, under concrete floor slabs.

#### 2.2.1 Pipe Joint Materials

Grooved pipe and hubless cast-iron soil pipe shall not be used underground. Solder containing lead shall not be used with copper pipe. Cast iron soil pipe and fittings shall be marked with the collective trademark of the Cast Iron Soil Institute. Provide Recycled Content for Steel Pipe and Recycled Content for Cast Iron Pipe. Joints and gasket materials shall conform to the following:

- a. Coupling for Cast-Iron Pipe: for hub and spigot type ASTM A74, AWWA C606. For hubless type: CISPI 310
- b. Coupling for Steel Pipe: AWWA C606.

- c. Brazing Material: Brazing material shall conform to AWS A5.8/A5.8M, BCuP-5.
- d. Brazing Flux: Flux shall be in paste or liquid form appropriate for use with brazing material. Flux shall be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides; and contain fluorides.
- e. Solder Material: Solder metal shall conform to ASTM B32.
- f. Solder Flux: Flux shall be liquid form, non-corrosive, and conform to ASTM B813, Standard Test 1.
- g. Rubber Gaskets for Cast-Iron Soil-Pipe and Fittings (hub and spigot type and hubless type): ASTM C564.
- h. Flexible Elastomeric Seals: ASTM D3139, ASTM D3212 or ASTM F477.
- i. Flanged fittings including, but not limited to, flanges, bolts, nuts and bolt patterns shall be in accordance with ASME B16.5 class 150 and shall have the manufacturer's trademark affixed in accordance with MSS SP-25. Flange material shall conform to ASTM A105/A105M. Blind flange material shall conform to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Bolts shall be high strength or intermediate strength with material conforming to ASTM A193/A193M.
- j. Copper tubing shall conform to ASTM B88, Type K, L or M.
- 2.2.2 Miscellaneous Materials

Miscellaneous materials shall conform to the following:

- a. Water Hammer Arrester: PDI WH 201. Water hammer arrester shall be piston type.
- b. Copper, Sheet and Strip for Building Construction: ASTM B370.
- c. Asphalt Roof Cement: ASTM D2822/D2822M.
- d. Hose Clamps: SAE J1508.
- e. Supports for Off-The-Floor Plumbing Fixtures: ASME A112.6.1M.
- f. Metallic Cleanouts: ASME A112.36.2M.
- g. Hypochlorites: AWWA B300.
- h. Liquid Chlorine: AWWA B301.
- i. Gauges Pressure and Vacuum Indicating Dial Type Elastic Element: ASME B40.100.
- j. Thermometers: ASTM E1. Mercury shall not be used in thermometers.

2.2.3 Pipe Insulation Material

Insulation shall be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

## 2.3 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports shall conform to MSS SP-58.

## 2.4 VALVES

Valves shall be provided on supplies to equipment and fixtures. Valves 2-1/2 inches and smaller shall be bronze with threaded bodies for pipe and solder-type connections for tubing. Valves 3 inches and larger shall have flanged iron bodies and bronze trim. Pressure ratings shall be based upon the application. Grooved end valves may be provided if the manufacturer certifies that the valves meet the performance requirements of applicable MSS standard. Valves shall conform to the following standards:

Description	Standard
Cast-Iron Gate Valves, Flanged and Threaded Ends	MSS SP-70
Cast-Iron Swing Check Valves, Flanged and Threaded Ends	MSS SP-71
Ball Valves with Flanged Butt-Welding Ends for General Service	MSS SP-72
Ball Valves Threaded Ends	MSS SP-110
Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80
Vacuum Relief Valves	ANSI Z21.22/CSA 4.4

Water Heater Drain Valves	ASME BPVC SEC IV, Part HLW-810: Requirements for Potable-Water Heaters Bottom Drain Valve
Trap Seal Primer Valves	ASSE 1018
Temperature and Pressure Relief Valves for Hot Water Supply Systems	ANSI Z21.22/CSA 4.4

#### 2.4.1 Wall Faucets

Wall faucets with vacuum-breaker backflow preventer shall be brass with 3/4 inch male inlet threads, hexagon shoulder, and 3/4 inch hose connection. Faucet handle shall be securely attached to stem.

#### 2.4.2 Wall Hydrants (Frostproof)

ASSE 1019 with vacuum-breaker backflow preventer shall have a nickel-brass or nickel-bronze wall plate or flange with a rough bronze nozzle and detachable key handle. A brass or bronze operating rod shall be provided within a galvanized iron casing of sufficient length to extend through the wall so that the valve is inside the building, and the portion of the hydrant between the outlet and valve is self-draining. A rough brass or bronze valve with coupling and union elbow having metal-to-metal seat shall be provided. Valve rod and seat washer shall be removable through the face of the hydrant. The hydrant shall have 3/4 inch exposed hose thread on spout and 3/4 inch male pipe thread on inlet.

## 2.4.3 Relief Valves

Water heaters and hot water storage tanks shall have a combination pressure and temperature (P&T) relief valve. The pressure relief element of a P&T relief valve shall have adequate capacity to prevent excessive pressure buildup in the system when the system is operating at the maximum rate of heat input. The temperature element of a P&T relief valve shall have a relieving capacity which is at least equal to the total input of the heaters when operating at their maximum capacity. Relief valves shall be rated according to ANSI Z21.22/CSA 4.4. Relief valves for systems where the maximum rate of heat input is less than 200,000 Btuh shall have 3/4 inch minimum inlets, and 3/4 inch outlets. Relief valves for systems where the maximum rate of heat input is greater than 200,000 Btuh shall have 1 inch minimum inlets, and 1 inch outlets. The discharge pipe from the relief valve shall be the size of the valve outlet.

#### 2.4.4 Thermostatic Mixing Valves

Provide thermostatic mixing valve for lavatory faucets. Mixing valves, thermostatic type, pressure-balanced or combination thermostatic and pressure-balanced shall be line size and shall be constructed with rough

or finish bodies either with or without plating. Each valve shall be constructed to control the mixing of hot and cold water and to deliver water at a desired temperature regardless of pressure or input temperature changes. The control element shall be of an approved type. The body shall be of heavy cast bronze, and interior parts shall be brass, bronze, corrosion-resisting steel or copper. The valve shall be equipped with necessary stops, check valves, unions, and sediment strainers on the inlets. Mixing valves shall maintain water temperature within 5 degrees F of any setting.

### 2.5 FIXTURES

Fixtures for use by the physically handicapped shall be in accordance with ICC All7.1 COMM. Vitreous China, nonabsorbent, hard-burned, and vitrified throughout the body shall be provided. Porcelain enameled ware shall have specially selected, clear white, acid-resisting enamel coating evenly applied on surfaces. No fixture will be accepted that shows cracks, crazes, blisters, thin spots, or other flaws. Fixtures shall be equipped with appurtenances such as traps, faucets, stop valves, and drain fittings. Each fixture and piece of equipment requiring connections to the drainage system, except grease interceptors, shall be equipped with a trap. Brass expansion or toggle bolts capped with acorn nuts shall be provided for supports, and polished chromium-plated pipe, valves, and fittings shall be provided where exposed to view. Fixtures with the supply discharge below the rim shall be equipped with backflow preventers. Internal parts of flush valves and flushometer valves, and pop-up stoppers of lavatory waste drains shall be copper alloy with all visible surfaces chrome plated.

## 2.5.1 Lavatories

Provide water flow rate for Lavatory Faucet labeled faucet with a maximum flow rate of 0.35 gpm at a flowing pressure of 60 psi. Water volume must be limited to 0.25 gal per metering cycle.

### 2.5.2 Automatic Controls

Flushing and faucet systems shall consist of solenoid-activated valves with light beam sensors. Flush valve for water closet shall include an override pushbutton. Flushing devices shall be provided as described in paragraph FIXTURES AND FIXTURE TRIMMINGS.

### 2.5.3 Flush Valve Water Closets

ASME A112.19.2/CSA B45.1, white vitreous china, siphon jet, elongated bowl, wall mounted, wall outlet. Top of toilet seat height above floor shall be 14 to 15 inches, except 17 to 19 inches for wheelchair water closets. Provide wax bowl ring including plastic sleeve. Provide white solid plastic elongated open-front seat.

Water flushing volume of the water closet and flush valve combination shall not exceed 1.1 gallons per flush, and a MaP (Maximum Performance) minimum rating of 1,000. Provide data identifying WaterSense label for flush valve water closet.

Provide large diameter flush valve including angle control-stop valve, vacuum breaker, tail pieces, slip nuts, and wall plates; exposed to view components shall be chromium-plated or polished stainless steel. Flush valves shall be nonhold-open type. Mount flush valves not less than 11

inches above the fixture. Mounted height of flush valve shall not interfere with the hand rail in ADA stalls. Provide solenoid-activated flush valves including electrical-operated light-beam-sensor to energize the solenoid.

## 2.5.4 Flush Valve Urinals

ASME A112.19.2/CSA B45.1, white vitreous china, wall-mounted, wall outlet, siphon jet, integral trap, and extended side shields. Provide urinal with the rim 24 inches above the floor. Water flushing volume of the urinal and flush valve combination shall not exceed 0.125 gallons per flush. Provide data identifying WaterSense label for urinal. Provide ASME A112.6.1M concealed chair carriers with vertical steel pipe supports. Provide large diameter flush valve including angle control-stop valve, vacuum breaker, tail pieces, slip nuts, and wall plates; exposed to view components shall be chromium-plated or polished stainless steel. Flush valves shall be nonhold-open type. Mount flush valves not less than 11 inches above the fixture. Provide hard-wired solenoid-activated flush valves including electrical-operated light-beam-sensor to energize the solenoid.

## 2.5.5 Wheelchair Flush Valve Type Urinals

ASME A112.19.2/CSA B45.1, white vitreous china, wall-mounted, wall outlet, blowout action, integral trap, elongated projecting bowl, 20 inches long from wall to front of flare, and ASME A112.19.5 trim. Provide large diaphragm (not less than 2.625 inches upper chamber inside diameter at the point where the diaphragm is sealed between the upper and lower chambers), nonhold-open flush valve of chrome plated cast brass conforming to ASTM B584, including vacuum breaker and angle (control-stop) valve with back check. The water flushing volume of the flush valve and urinal combination shall not exceed 0.125 gallon per flush. Provide data identifying WaterSense label for wheelchair flush valve urinal. Furnish urinal manufacturer's certification of conformance. Provide ASME A112.6.1M concealed chair carriers. Mount urinal with front rim a maximum of 17 inches above floor and flush valve handle a maximum of 44 inches above floor for use by handicapped on wheelchair. Provide hard-wired solenoid-activated flush valves including electrical-operated light-beam-sensor to energize the solenoid.

#### 2.5.6 Countertop Lavatories

ASME A112.19.2/CSA B45.1, white vitreous china, self-rimming, minimum dimensions of 19 inches wide by 17 inches front to rear, with supply openings for use with top mounted centerset faucets. Furnish template and mounting kit by lavatory manufacturer. Provide aerator with faucet. Provide lavatory faucets and accessories meeting the flow rate and product requirements of the paragraph LAVATORIES. Mount counter with the top surface 34 inches above floor and with 29 inches minimum clearance from bottom of the counter face to floor. Provide top-mounted hard-wired solenoid-activated lavatory faucets including electrical-operated light-beam-sensor to energize the solenoid.

## 2.5.7 Kitchen Sinks

ASME A112.19.3/CSA B45.4, 20 gage stainless steel with integral mounting rim for flush installation, minimum dimensions of 33 inches wide by 21 inches front to rear, single compartments, with undersides fully sound deadened, with supply openings for use with top mounted washerless sink

faucets. Provide aerator with faucet. Water flow rate shall not exceed 1.5 gpm when measured at a flowing water pressure of 60 psi. Provide stainless steel drain outlets and stainless steel cup strainers. Provide separate 1.5 inch P-trap and drain piping to vertical vent piping.

### 2.5.8 Mother Room Sink

ASME A112.19.3/CSA B45.4, 19"x18"x7-1/8" Single Bowl Drop-in Sink. 20 gauge 304 stainless steel with a brushed Satin finish, Center drain placement. 4" Centerset with exposed Deck faucet with 4" Gooseneck Spout 2" Lever Handles Chrome. Flow rate of 1.5 gpm.

### 2.5.9 Wheelchair Drinking Water cooler

AHRI 1010, wall-mounted bubbler style with ASME A112.6.1M concealed chair carrier, air-cooled condensing unit, 4.75 gph minimum capacity, stainless steel splash receptor, and all stainless steel cabinet, with 27 inch minimum knee clearance from front bottom of unit to floor and 36 inch maximum spout height above floor and bottle filler. Bubblers shall also be controlled by push levers, by push bars, or touch pads one on each side or one on front and both sides of the cabinet. Provide electric water cooler that is Energy Star labeled. Provide data identifying Energy Star label for wheelchair electric water cooler.

## 2.5.10 Precast Terrazzo Mop Sinks

Provide with a maximum flow rate of 2.2 at a flowing pressure of 60 psi. Terrazzo shall be made of marble chips cast in white portland cement to produce 3000 psi minimum compressive strength 7 days after casting. Provide floor or wall outlet copper alloy body drain cast integral with terrazzo, with polished stainless steel strainers.

## 2.6 BACKFLOW PREVENTERS

Backflow prevention devices must be approved by the State or local regulatory agencies. If there is no State or local regulatory agency requirements, the backflow prevention devices must be listed by the Foundation for Cross-Connection Control & Hydraulic Research, or any other approved testing laboratory having equivalent capabilities for both laboratory and field evaluation of backflow prevention devices and assemblies.

Reduced pressure principle assemblies, atmospheric (nonpressure) type vacuum breakers, dual check valves and pressure type vacuum breakers shall be meet the above requirements.

Backflow preventers with intermediate atmospheric vent shall conform to ASSE 1012. Reduced pressure principle backflow preventers shall conform to ASSE 1013. Hose connection vacuum breakers shall conform to ASSE 1011. Pipe applied atmospheric type vacuum breakers shall conform to ASSE 1001. Pressure vacuum breaker assembly shall conform to ASSE 1020. Dual check valves shall conform to ASSE 1024. Air gaps in plumbing systems shall conform to ASME A112.1.2.

Reduced Pressure Zone Assemblies shall be installed at each potential health hazard location to prevent backflow due to backsiphonage and/or backpressure. The assembly shall consist of a pressure differential relief valve located in a zone between two positive seating check valves. Seats and seat discs shall be replaceable in both check valves and the relief

valve without the use of special tools. Service of all internal check valve components shall be through top mounted access covers threaded to the main valve body. The check valve poppet assembly shall be guided via the use of a corrosion resistant plastic guide. The check valve and relief valve seats shall be push-in type. The relief valve cover shall be secured with stainless steel bolts and shall utilize a quarter-turn locking joint to capture the spring load of the relief valve. The relief valve shall have an internal sensing line to sense the inlet water supply. All rubber elastomers shall be of chloramine resistant material. The assembly shall also include two resilient seated isolation valves, four top-mounted resilient seated test cocks and an air gap drain fitting.

Dual check backflow preventers shall meet the domestic requirements of ANSI/ASSE Standard 1024, and bear the seal of approval. It shall be lead free cast copper silicon alloy bodied and include not less than one union. An identification tag indicating direction of flow shall be securely attached to the valve body. The Lead Free Dual Check Valve shall comply with state codes and standards, where applicable, requiring reduced lead content.

## 2.7 DRAINS

#### 2.7.1 Floor Drains

Floor drains shall consist of a galvanized body, integral seepage pan, and adjustable perforated or slotted chromium-plated bronze, nickel-bronze, or nickel-brass strainer, consisting of grate and threaded collar. Floor drains shall be cast iron except where metallic waterproofing membrane is installed. Drains shall be of double drainage pattern for embedding in the floor construction. The seepage pan shall have weep holes or channels for drainage to the drainpipe. The strainer shall be adjustable to floor thickness. A clamping device for attaching flashing or waterproofing membrane to the seepage pan without damaging the flashing or waterproofing membrane shall be provided when required. Drains shall be provided with threaded connection. Between the drain outlet and waste pipe, a neoprene rubber gasket conforming to ASTM C564 may be installed, provided that the drain is specifically designed for the rubber gasket compression type joint. Floor drains shall conform to ASME All2.6.3. Provide drain with trap primer connection, trap primer, and connection piping. Primer shall meet ASSE 1018.

## 2.7.2 Floor Sinks

Floor sinks shall be square, with 12 inch nominal overall width or diameter and 10 inch nominal overall depth. Floor sink shall have an acid-resistant enamel interior finish with cast-iron body, aluminum sediment bucket, and perforated grate of cast iron in industrial areas and stainless steel in finished areas. The outlet pipe size shall be as indicated or of the same size as the connecting pipe.

## 2.7.3 Roof and Overflow Drains and Expansion Joints

Roof drains shall conform to ASME A112.6.4, with dome and integral flange, and shall have a device for making a watertight connection between roofing and flashing. The whole assembly shall be galvanized heavy pattern cast iron. For aggregate surface roofing, the drain shall be provided with a gravel stop. On roofs other than concrete construction, roof drains shall be complete with underdeck clamp, sump receiver, and an extension for the insulation thickness where applicable. A clamping device for attaching

flashing or waterproofing membrane to the seepage pan without damaging the flashing or membrane shall be provided when required to suit the building construction. Strainer openings shall have a combined area equal to twice that of the drain outlet. The outlet shall be equipped to make a proper connection to threaded pipe of the same size as the downspout. An expansion joint of proper size to receive the conductor pipe shall be provided. The expansion joint shall consist of a heavy cast-iron housing, brass or bronze sleeve, brass or bronze fastening bolts and nuts, and gaskets or packing. The sleeve shall have a nominal thickness of not less than 0.134 inch. Gaskets and packing shall be close-cell neoprene, O-ring packing shall be close-cell neoprene of 70 durometer. Packing shall be held in place by a packing gland secured with bolts. OverFlow Drain is the same as roof drain but with a 2" dam around the drain.

## 2.8 TRAPS

Unless otherwise specified, traps shall be copper-alloy adjustable tube type with slip joint inlet and swivel. Traps shall be without a cleanout. Provide traps with removable access panels for easy clean-out at sinks and lavatories. Tubes shall be copper alloy with walls not less than 0.032 inch thick within commercial tolerances, except on the outside of bends where the thickness may be reduced slightly in manufacture by usual commercial methods. Inlets shall have rubber washer and copper alloy nuts for slip joints above the discharge level. Swivel joints shall be below the discharge level and shall be of metal-to-metal or metal-to-plastic type as required for the application. Nuts shall have flats for wrench grip. Outlets shall have internal pipe thread, except that when required for the application, the outlets shall have sockets for solder-joint connections. The depth of the water seal shall be not less than 2 inches. The interior diameter shall be not more than 1/8 inch over or under the nominal size, and interior surfaces shall be reasonably smooth throughout. A copper alloy "P" trap assembly consisting of an adjustable "P" trap and threaded trap wall nipple with cast brass wall flange shall be provided for lavatories. The assembly shall be a standard manufactured unit and may have a rubber-gasketed swivel joint.

### 2.9 WATER HEATERS

Water heater types and capacities shall be as indicated. Each water heater shall have replaceable anodes. Each primary water heater shall have controls with an adjustable range that includes 90 to 160 degrees F. Each gas-fired water heater and booster water heater shall have controls with an adjustable range that includes 120 to 180 degrees F. Hot water systems utilizing recirculation systems shall be tied into building off-hour controls. The thermal efficiencies and standby heat losses shall conform to TABLE III in PART 3 of this Section for each type of water heater specified. The only exception is that storage water heaters and hot water storage tanks having more than 500 gallons storage capacity need not meet the standard loss requirement if the tank surface area is insulated to R-12.5 and if a standing light is not used. Plastic materials polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases. A factory pre-charged expansion tank shall be installed on the cold water supply to each water heater. Expansion tanks shall be specifically designed for use on potable water systems and shall be rated for 200 degrees F water temperature and 150 psi working pressure. The expansion tank size and acceptance volume shall be as indicated.

#### 2.9.1 Automatic Storage Type

Heaters shall be complete with control system, temperature gauge, and pressure gauge, and shall have ASME rated combination pressure and temperature relief valve.

## 2.9.1.1 Electric Type

Electric type water heaters shall conform to UL 174 with dual heating elements. Each element shall be 4.5 KW. The elements shall be wired so that only one element can operate at a time.

2.9.2 Electric Instantaneous Water Heaters (Tankless)

UL 499 and UL listed flow switch activated, tankless electric instantaneous water heater for wall mounting below sink or lavatory.

#### 2.10 HOT-WATER HEATER STORAGE TANKS

Hot-water heater storage tanks shall be constructed by hot water heater manufacturer, ASME stamped for the working pressure, and shall have the National Board (ASME) registration. The tank shall be cement-lined or glass-lined steel type in accordance with AWWA D100. The heat loss shall conform to TABLE III in PART 3 of this Section as determined by the requirements of ASHRAE 90.1 - IP. Insulation shall be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Storage tank capacity shall be as shown.

## 2.11 PUMPS

### 2.11.1 Sump Pumps

Sump Pump located in elevator pit shall remove water in accordance with ASME A17.1/CSA B44 and provide pump shut-down an event of oily water being detected. Pump and motor include an alarm that will be activated in the event of high water or high oil condition. The discharge line from the pump shall be provided with a union or flange, a nonclog swing check valve in an accessible location above the sump pit.

#### 2.11.2 Circulating Pumps

Domestic hot water circulating pumps shall be electrically driven, single-stage, centrifugal, with mechanical seals, suitable for the intended service. Pump and motor shall be supported by the piping on which it is installed. The shaft shall be one-piece, heat-treated, corrosion-resisting steel with impeller and smooth-surfaced housing of bronze.

Motor shall be totally enclosed, fan-cooled and shall have sufficient horsepower for the service required. Each pump motor shall be equipped with an across-the-line magnetic controller in a NEMA 250, Type 1 enclosure with "START-STOP" switch in cover.

Integral size motors shall be premium efficiency type in accordance with NEMA MG 1. Pump motors smaller than 1 hp Fractional horsepower pump motors shall have integral thermal overload protection in accordance with

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Guards shall shield exposed moving parts.

### 2.11.3 Booster Pumps

## 2.11.3.1 Centrifugal Pumps

Horizontal split-case centrifugal-type booster pumps shall be furnished as part of a packaged skid water pressure booster system. The capacities shall be as shown, and the speed shall not exceed 1800 rpm. Pumps shall have a casing of close-grained iron or steel with smooth water passages. A gasket shall be provided between the upper and lower halves of the casing. Suction and discharge connections shall be flanged. Impellers shall be nonoverloading, bronze, balanced to eliminate vibration, and shall be keyed to corrosion-resisting steel shafts. The casings shall be fitted with bronze wearing or sealing rings. Bearings shall be cartridge type, enabling the entire rotating element to be removed without disturbing alignment or exposing the bearings to dirt, water, and other foreign matter. Pumps shall be provided with mechanical seals. Seal boxes shall be machined in the pump casing and at both sides of the pump, and shall be of sufficient depth to include a conventional bronze seal ring and rows of shaft packing. Bedplates shall be close-grain cast iron or steel with ribs and lugs, complete with foundation bolts, and shall have a drip lip with drain hole. Each pump shall be tested at the manufacturer's plant for operating characteristics at the rated capacity and under specified operating conditions. Test curves shall be furnished showing capacity in gpm, head in feet, efficiency, brake horsepower, and operation in parallel with similar pumps. Multiple pump installations shall have pump characteristics compatible for operation in parallel with similar pumps. The electric motor shall be sized for non-overload when operating at any point along the characteristic curve of the pump. Guards shall shield exposed belts and moving parts.

## 2.11.3.2 Controls

Each pump motor shall be provided with enclosed across-the-line-type magnetic controller complete in a NEMA 250 Type 1 enclosure with three position, "HAND-OFF-AUTOMATIC," selector switch in cover. Pumps shall be automatically started and stopped by float or pressure switches, as indicated. The pumps shall start and stop at the levels and pressures indicated. A multiposition sequence selector switch shall be provided so that any two pumps may be operated simultaneously keeping a third pump as a standby.

#### 2.11.4 Flexible Connectors

Flexible connectors shall be provided at the suction and discharge of each pump. Connectors shall be constructed of neoprene, rubber, or braided bronze, with Class 150 standard flanges. Flexible connectors shall be line size and suitable for the pressure and temperature of the intended service.

## 2.12 WATER PRESSURE BOOSTER SYSTEM

#### 2.12.1 Variable Speed Pumping System

Variable speed pumping system shall provide system pressure by varying speed and number of operating pumps. The factory prepiped and prewired assembly shall be mounted on a steel frame complete with pumps, variable speed drives, motors, and controls. Pump skid package shall use a calibrated manual balancing valve, orifice type for flow control with line size butterfly or ball isolation valves. Automatic flow control balancing

valves and triple duty valves shall not be used. The variable speed drives shall be the oil-filled type capable of power transmission throughout their complete speed range without vibration, noise, or shock loading. Each variable speed drive shall be run-tested by the manufacturer for rated performance, and the manufacturer shall furnish written performance certification. System shall have suppressors to prevent noise transmission over electric feed lines. Required electrical control circuitry and system function sensors shall be supplied by the variable speed drive manufacturer. The primary power controls and magnetic motor controllers shall be installed in the controls supplied by the drive manufacturer. The sensors shall be located in the system to control drive speed as a function of constant pump discharge pressure. Connection between the sensors and the variable speed drive controls shall be accomplished with copper wiring. Controls shall be in NEMA 250, Type 1 enclosures.

Factory packaged system shall include an ASME code constructed tank stamped for 125 psig water working pressure shall be provided. The tank shall have a flexible diaphragm made of material conforming to FDA requirements for use with potable water and shall be factory precharged to assist with meeting required low flow conditions system pressure.

#### 2.13 DOMESTIC WATER SERVICE METER

Cold water meters 2 inches and smaller shall be positive displacement type conforming to AWWA C700. Cold water meters 2-1/2 inches and larger shall be turbine type conforming to AWWA C701. Meter register may be round or straight reading type, indicating as provided by the local utility. Meter shall be provided with a pulse generator, remote readout register and all necessary wiring and accessories.

Meters must be connected to the base wide energy and utility monitoring and control system (if this system exists) using the installation's advanced metering protocols.

### 2.14 ELECTRICAL WORK

Provide electrical motor driven equipment specified complete with motors, motor starters, and controls as specified herein and in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide high efficiency type, single-phase, fractional-horsepower alternating-current motors, including motors that are part of a system, corresponding to the applications in accordance with NEMA MG 11. In addition to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, provide polyphase, squirrel-cage medium induction motors with continuous ratings, including motors that are part of a system, that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor.

Motors shall be rated for continuous duty with the enclosure specified. Motor duty requirements shall allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque shall be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings shall be fitted with grease supply fittings and grease relief to outside of the enclosure.

Controllers and contactors shall have auxiliary contacts for use with the controls provided. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, shall be provided. For packaged equipment, the manufacturer shall provide controllers, including the required monitors and timed restart.

Power wiring and conduit for field installed equipment shall be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

## 2.15 MISCELLANEOUS PIPING ITEMS

## 2.15.1 Escutcheon Plates

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Provide chromium-plated on copper alloy plates or polished stainless steel finish in finished spaces. Provide paint finish on plates in unfinished spaces.

#### 2.15.2 Pipe Sleeves

Provide where piping passes entirely through walls, ceilings, roofs, and floors. Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade, except where penetrating a membrane waterproof floor.

2.15.2.1 Sleeves in Masonry and Concrete

Provide steel pipe sleeves or schedule 40 PVC plastic pipe sleeves. Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade. Core drilling of masonry and concrete may be provided in lieu of pipe sleeves when cavities in the core-drilled hole are completely grouted smooth.

2.15.2.2 Sleeves Not in Masonry and Concrete

Provide 26 gage galvanized steel sheet pipe sleeves.

2.15.3 Pipe Hangers (Supports)

Provide MSS SP-58 Type 1 with adjustable type steel support rods, except as specified or indicated otherwise. Attach to steel joists with Type 19 or 23 clamps and retaining straps. Attach to Steel W or S beams with Type 21, 28, 29, or 30 clamps. Attach to steel angles and vertical web steel channels with Type 20 clamp with beam clamp channel adapter. Attach to horizontal web steel channel and wood with drilled hole on centerline and double nut and washer. Attach to concrete with Type 18 insert or drilled expansion anchor. Provide Type 40 insulation protection shield for insulated piping.

### 2.15.4 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates shall be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

## PART 3 EXECUTION

#### 3.1 GENERAL INSTALLATION REQUIREMENTS

Piping located in air plenums shall conform to NFPA 90A requirements. Piping located in shafts that constitute air ducts or that enclose air ducts shall be noncombustible in accordance with NFPA 90A. Installation of plastic pipe where in compliance with NFPA may be installed in accordance with PPFA Fire Man. The plumbing system shall be installed complete with necessary fixtures, fittings, traps, valves, and accessories. Water and drainage piping shall be extended 5 feet outside the building, unless otherwise indicated. A gate valve and drain shall be installed on the water service line inside the building approximately 6 inches above the floor from point of entry. Piping shall be connected to the exterior service lines or capped or plugged if the exterior service is not in place. Sewer and water pipes shall be laid in separate trenches, except when otherwise shown. Exterior underground utilities shall be at least 12 inches below the average local frost depth or as indicated on the drawings. If trenches are closed or the pipes are otherwise covered before being connected to the service lines, the location of the end of each plumbing utility shall be marked with a stake or other acceptable means. Valves shall be installed with control no lower than the valve body.

3.1.1 Water Pipe, Fittings, and Connections

## 3.1.1.1 Utilities

The piping shall be extended to fixtures, outlets, and equipment. The hot-water and cold-water piping system shall be arranged and installed to permit draining. The supply line to each item of equipment or fixture, except faucets, flush valves, or other control valves which are supplied with integral stops, shall be equipped with a shutoff valve to enable isolation of the item for repair and maintenance without interfering with operation of other equipment or fixtures. Supply piping to fixtures, faucets, hydrantsand flushing devices shall be anchored to prevent movement.

# 3.1.1.2 Cutting and Repairing

The work shall be carefully laid out in advance, and unnecessary cutting of construction shall be avoided. Damage to building, piping, wiring, or equipment as a result of cutting shall be repaired by mechanics skilled in the trade involved.

3.1.1.3 Protection of Fixtures, Materials, and Equipment

Pipe openings shall be closed with caps or plugs during installation. Fixtures and equipment shall be tightly covered and protected against dirt, water, chemicals, and mechanical injury. Upon completion of the work, the fixtures, materials, and equipment shall be thoroughly cleaned, adjusted, and operated. Safety guards shall be provided for exposed rotating equipment.

### 3.1.1.4 Mains, Branches, and Runouts

Piping shall be installed as indicated. Pipe shall be accurately cut and worked into place without springing or forcing. Structural portions of the building shall not be weakened. Aboveground piping shall run parallel with the lines of the building, unless otherwise indicated. Branch pipes from service lines may be taken from top, bottom, or side of main, using crossover fittings required by structural or installation conditions. Supply pipes, valves, and fittings shall be kept a sufficient distance from other work and other services to permit not less than 1/2 inch between finished covering on the different services. Bare and insulated water lines shall not bear directly against building structural elements so as to transmit sound to the structure or to prevent flexible movement of the lines. Water pipe shall not be buried in or under floors unless specifically indicated or approved. Changes in pipe sizes shall be made with reducing fittings. Use of bushings will not be permitted except for use in situations in which standard factory fabricated components are furnished to accommodate specific accepted installation practice. Change in direction shall be made with fittings, except that bending of pipe 4 inches and smaller will be permitted, provided a pipe bender is used and wide sweep bends are formed. The center-line radius of bends shall be not less than six diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be acceptable.

## 3.1.1.5 Pipe Drains

Pipe drains indicated shall consist of 3/4 inch hose bibb with renewable seat and full port ball valve ahead of hose bibb. At other low points, 3/4 inch brass plugs or caps shall be provided. Disconnection of the supply piping at the fixture is an acceptable drain.

#### 3.1.1.6 Expansion and Contraction of Piping

Allowance shall be made throughout for expansion and contraction of water pipe. Each hot-water and hot-water circulation riser shall have expansion loops or other provisions such as offsets and changes in direction where indicated and required. Risers shall be securely anchored as required or where indicated to force expansion to loops. Branch connections from risers shall be made with ample swing or offset to avoid undue strain on fittings or short pipe lengths. Horizontal runs of pipe over 50 feet in length shall be anchored to the wall or the supporting construction about midway on the run to force expansion, evenly divided, toward the ends. Sufficient flexibility shall be provided on branch runouts from mains and risers to provide for expansion and contraction of piping. Flexibility shall be provided by installing one or more turns in the line so that piping will spring enough to allow for expansion without straining. If mechanical grooved pipe coupling systems are provided, the deviation from design requirements for expansion and contraction may be allowed pending approval of Contracting Officer.

## 3.1.1.7 Thrust Restraint

Plugs, caps, tees, valves and bends deflecting 11.25 degrees or more, either vertically or horizontally, in waterlines 4 inches in diameter or larger shall be provided with thrust blocks, where indicated, to prevent movement. Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2000 psi after 28 days. Blocking shall be placed between solid ground and the fitting to be anchored. Unless otherwise indicated or

directed, the base and thrust bearing sides of the thrust block shall be poured against undisturbed earth. The side of the thrust block not subject to thrust shall be poured against forms. The area of bearing will be as shown. Blocking shall be placed so that the joints of the fitting are accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

#### 3.1.1.8 Commercial-Type Water Hammer Arresters

Commercial-type water hammer arresters shall be provided on hot- and cold-water supplies and shall be located as generally indicated, with precise location and sizing to be in accordance with PDI WH 201. Water hammer arresters, where concealed, shall be accessible by means of access doors or removable panels. Commercial-type water hammer arresters shall conform to ASSE 1010. Vertical capped pipe columns will not be permitted.

## 3.1.2 Joints

Installation of pipe and fittings shall be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints shall be made up with fittings of compatible material and made for the specific purpose intended.

## 3.1.2.1 Threaded

Threaded joints shall have American Standard taper pipe threads conforming to ASME B1.20.1. Only male pipe threads shall be coated with graphite or with an approved graphite compound, or with an inert filler and oil, or shall have a polytetrafluoroethylene tape applied.

## 3.1.2.2 Unions and Flanges

Unions, flanges and mechanical couplings shall not be concealed in walls, ceilings, or partitions. Unions shall be used on pipe sizes 2-1/2 inches and smaller; flanges shall be used on pipe sizes 3 inches and larger.

3.1.2.3 Cast Iron Soil, Waste and Vent Pipe

Bell and spigot compression and hubless gasketed clamp joints for soil, waste and vent piping shall be installed per the manufacturer's recommendations.

# 3.1.2.4 Copper Tube and Pipe

a. Soldered. Soldered joints shall be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints shall conform to ASME B31.5 and CDA A4015. Soldered joints shall not be used in compressed air piping between the air compressor and the receiver.

## 3.1.2.5 Other Joint Methods

#### 3.1.3 Dissimilar Pipe Materials

Connections between ferrous and non-ferrous copper water pipe shall be

made with dielectric unions or flange waterways. Dielectric waterways shall have temperature and pressure rating equal to or greater than that specified for the connecting piping. Waterways shall have metal connections on both ends suited to match connecting piping. Dielectric waterways shall be internally lined with an insulator specifically designed to prevent current flow between dissimilar metals. Dielectric flanges shall meet the performance requirements described herein for dielectric waterways. Connecting joints between plastic and metallic pipe shall be made with transition fitting for the specific purpose.

#### 3.1.4 Corrosion Protection for Buried Pipe and Fittings

Ductile iron, cast iron, and steel pipe, fittings, and joints shall have a protective coating. joint bonding. . Coatings shall be selected, applied, and inspected in accordance with NACE SP0169 and as otherwise specified. The pipe shall be cleaned and the coating system applied prior to pipe tightness testing. Joints and fittings shall be cleaned and the coating system applied after pipe tightness testing. For tape coating systems, the tape shall conform to AWWA C203 and shall be applied with a 50 percent overlap. Primer utilized with tape type coating systems shall be as recommended by the tape manufacturer.

#### 3.1.5 Pipe Sleeves and Flashing

Pipe sleeves shall be furnished and set in their proper and permanent location.

3.1.5.1 Sleeve Requirements

Unless indicated otherwise, provide pipe sleeves meeting the following requirements:

Secure sleeves in position and location during construction. Provide sleeves of sufficient length to pass through entire thickness of walls, ceilings, roofs, and floors.

A modular mechanical type sealing assembly may be installed in lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve. The seals shall consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and sleeve using galvanized steel bolts, nuts, and pressure plates. The links shall be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt shall cause the rubber sealing elements to expand and provide a watertight seal between the pipe and the sleeve. Each seal assembly shall be sized as recommended by the manufacturer to fit the pipe and sleeve involved.

Sleeves shall not be installed in structural members, except where indicated or approved. Rectangular and square openings shall be as detailed. Each sleeve shall extend through its respective floor, or roof, and shall be cut flush with each surface, except for special circumstances. Pipe sleeves passing through floors in wet areas such as mechanical equipment rooms, lavatories, kitchens, and other plumbing fixture areas shall extend a minimum of 4 inches above the finished floor.

Unless otherwise indicated, sleeves shall be of a size to provide a minimum of 1/4 inch clearance between bare pipe or insulation and inside

of sleeve or between insulation and inside of sleeve. Sleeves in bearing walls and concrete slab on grade floors shall be steel pipe or cast-iron pipe. Sleeves in nonbearing walls or ceilings may be steel pipe, cast-iron pipe, galvanized sheet metal with lock-type longitudinal seam, or plastic.

Except as otherwise specified, the annular space between pipe and sleeve, or between jacket over insulation and sleeve, shall be sealed as indicated with sealants conforming to ASTM C920 and with a primer, backstop material and surface preparation as specified in Section 07 92 00 JOINT SEALANTS. The annular space between pipe and sleeve, between bare insulation and sleeve or between jacket over insulation and sleeve shall not be sealed for interior walls which are not designated as fire rated.

Sleeves through below-grade walls in contact with earth shall be recessed 1/2 inch from wall surfaces on both sides. Annular space between pipe and sleeve shall be filled with backing material and sealants in the joint between the pipe and concreteor masonrywall as specified above. Sealant selected for the earth side of the wall shall be compatible with dampproofing/waterproofing materials that are to be applied over the joint sealant. Pipe sleeves in fire-rated walls shall conform to the requirements in Section 07 84 00 FIRESTOPPING.

#### 3.1.5.2 Flashing Requirements

Pipes passing through roof shall be installed through a 16 ounce copper flashing, each within an integral skirt or flange. Flashing shall be suitably formed, and the skirt or flange shall extend not less than 8 inches from the pipe and shall be set over the roof or floor membrane in a solid coating of bituminous cement. The flashing shall extend up the pipe a minimum of 10 inches. For cleanouts, the flashing shall be turned down into the hub and caulked after placing the ferrule. Pipes passing through pitched roofs shall be flashed, using lead or copper flashing, with an adjustable integral flange of adequate size to extend not less than 8 inches from the pipe in all directions and lapped into the roofing to provide a watertight seal. The annular space between the flashing and the bare pipe or between the flashing and the metal-jacket-covered insulation shall be sealed as indicated. Flashing for dry vents shall be turned down into the pipe to form a waterproof joint. Pipes, up to and including 10 inches in diameter, passing through roof or floor waterproofing membrane may be installed through a cast-iron sleeve with caulking recess, anchor lugs, flashing-clamp device, and pressure ring with brass bolts. Flashing shield shall be fitted into the sleeve clamping device. Pipes passing through wall waterproofing membrane shall be sleeved as described above. A waterproofing clamping flange shall be installed.

### 3.1.5.3 Waterproofing

Waterproofing at floor-mounted water closets shall be accomplished by forming a flashing guard from soft-tempered sheet copper. The center of the sheet shall be perforated and turned down approximately 1-1/2 inches to fit between the outside diameter of the drainpipe and the inside diameter of the cast-iron or steel pipe sleeve. The turned-down portion of the flashing guard shall be embedded in sealant to a depth of approximately 1-1/2 inches; then the sealant shall be finished off flush to floor level between the flashing guard and drainpipe. The flashing guard of sheet copper shall extend not less than 8 inches from the drainpipe and shall be lapped between the floor membrane in a solid coating of bituminous cement. If cast-iron water closet floor flanges are

used, the space between the pipe sleeve and drainpipe shall be sealed with sealant and the flashing guard shall be upturned approximately 1-1/2 inches to fit the outside diameter of the drainpipe and the inside diameter of the water closet floor flange. The upturned portion of the sheet fitted into the floor flange shall be sealed.

## 3.1.5.4 Optional Counterflashing

Instead of turning the flashing down into a dry vent pipe, or caulking and sealing the annular space between the pipe and flashing or metal-jacket-covered insulation and flashing, counterflashing may be accomplished by utilizing the following:

- a. A standard roof coupling for threaded pipe up to 6 inches in diameter.
- b. A tack-welded or banded-metal rain shield around the pipe.
- 3.1.5.5 Pipe Penetrations of Slab on Grade Floors

Where pipes, fixture drains, floor drains, cleanouts or similar items penetrate slab on grade floors, except at penetrations of floors with waterproofing membrane as specified in paragraphs FLASHING REQUIREMENTS and WATERPROOFING, a groove 1/4 to 1/2 inch wide by 1/4 to 3/8 inch deep shall be formed around the pipe, fitting or drain. The groove shall be filled with a sealant as specified in Section 07 92 00 JOINT SEALANTS.

## 3.1.5.6 Pipe Penetrations

Provide sealants for all pipe penetrations. All pipe penetrations shall be sealed to prevent infiltration of air, insects, and vermin.

3.1.6 Fire Seal

Where pipes pass through fire walls, fire-partitions, fire-rated pipe chase walls or floors above grade, a fire seal shall be provided as specified in Section 07 84 00 FIRESTOPPING.

3.1.7 Supports

# 3.1.7.1 General

Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors shall be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures shall be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods shall not be formed or bent.

## 3.1.7.2 Pipe Supports and Structural Bracing Requirements

Piping and attached valves shall be supported and braced to resist seismic loadsSection 23 05 48.19 BRACING FOR HVAC. Structural steel required for reinforcement to properly support piping, headers, and equipment, but not

shown, shall be provided. Material used for supports shall be as specified in Section 05 12 00 STRUCTURAL STEEL.

3.1.7.3 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports shall conform to MSS SP-58 except as modified herein.

- a. Types 5, 12, and 26 shall not be used.
- b. Type 3 shall not be used on insulated pipe.
- c. Type 18 inserts shall be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for type 18 inserts.
- d. Type 19 and 23 C-clamps shall be torqued per MSS SP-58 and shall have both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.
- e. Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.
- f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.
- g. Type 39 saddles shall be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 39 saddles shall be welded to the pipe.
- h. Type 40 shields shall:
  - (1) Be used on insulated pipe less than 4 inches.
  - (2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.
  - (3) Have a high density insert for all pipe sizes. High density inserts shall have a density of 8 pcf or greater.
- i. Horizontal pipe supports shall be spaced as specified in MSS SP-58 and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves. Operating temperatures in determining hanger spacing for PVC or CPVC pipe shall be 120 degrees F for PVC and 180 degrees F for CPVC. Horizontal pipe runs shall include allowances for expansion and contraction.
- j. Vertical pipe shall be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers shall include allowances for expansion and contraction.
- k. Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides shall be provided to allow longitudinal pipe movement. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered. Lateral restraints shall be provided as needed. Where steel slides do

not require provisions for lateral restraint the following may be used:

- (1) On pipe 4 inches and larger when the temperature of the medium is60 degrees F or higher, a Type 39 saddle, welded to the pipe, may freely rest on a steel plate.
- (2) On pipe less than 4 inches a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.
- (3) On pipe 4 inches and larger carrying medium less that 60 degrees F a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.
- 1. Pipe hangers on horizontal insulated pipe shall be the size of the outside diameter of the insulation. The insulation shall be continuous through the hanger on all pipe sizes and applications.
- m. Where there are high system temperatures and welding to piping is not desirable, the type 35 guide shall include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.

#### 3.1.7.4 Structural Attachments

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Supports shall not be attached to the underside of concrete filled floor or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications shall be constructed of ferrous materials only.

# 3.1.8 Welded Installation

Plumbing pipe weldments shall be as indicated. Changes in direction of piping shall be made with welding fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connection may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings shall be forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Beveling, alignment, heat treatment, and inspection of weld shall conform to ASME B31.1. Weld defects shall be removed and repairs made to the weld, or the weld joints shall be entirely removed and rewelded. After filler metal has been removed from its original package, it shall be protected or stored so that its characteristics or welding properties are not affected. Electrodes that have been wetted or that have lost any of their coating shall not be used.

#### 3.1.9 Pipe Cleanouts

Pipe cleanouts shall be the same size as the pipe except that cleanout plugs larger than 4 inches will not be required. A cleanout installed in connection with cast-iron soil pipe shall consist of a long-sweep 1/4 bend or one or two 1/8 bends extended to the place shown. An extra-heavy cast-brass or cast-iron ferrule with countersunk cast-brass head screw plug shall be caulked into the hub of the fitting and shall be flush with the floor. Cleanouts in connection with other pipe, where indicated, shall be T-pattern, 90-degree branch drainage fittings with cast-brass

screw plugs. Plugs shall be the same size as the pipe up to and including 4 inches. Cleanout tee branches with screw plug shall be installed at the foot of soil and waste stacks, at the foot of interior downspouts, on each connection to building storm drain where interior downspouts are indicated, and on each building drain outside the building. Cleanout tee branches may be omitted on stacks in single story buildings with slab-on-grade construction or where less than 18 inches of crawl space is provided under the floor. Cleanouts on pipe concealed in partitions shall be provided with chromium plated bronze, nickel bronze, nickel brass or stainless steel flush type access cover plates. Round access covers shall be provided and secured to plugs with securing screw. Square access covers may be provided with matching frames, anchoring lugs and cover screws. Cleanouts in finished walls shall have access covers and frames installed flush with the finished wall. Cleanouts installed in finished floors subject to foot traffic shall be provided with a chrome-plated cast brass, nickel brass, or nickel bronze cover secured to the plug or cover frame and set flush with the finished floor. Heads of fastening screws shall not project above the cover surface. Where cleanouts are provided with adjustable heads, the heads shall be cast iron .

#### 3.2 WATER HEATERS WITH STORAGE TANKS

#### 3.2.1 Relief Valves

No valves shall be installed between a relief valve and its water heater or storage tank. The P&T relief valve shall be installed where the valve actuator comes in contact with the hottest water in the heater. Whenever possible, the relief valve shall be installed directly in a tapping in the tank or heater; otherwise, the P&T valve shall be installed in the hot-water outlet piping. A vacuum relief valve shall be provided on the cold water supply line to the hot-water storage tank or water heater and mounted above and within 6 inches above the top of the water heater tank.

## 3.2.2 Heat Traps

Piping to and from each water heater and hot water storage tank shall be routed horizontally and downward a minimum of 2 feet before turning in an upward direction.

## 3.2.3 Connections to Water Heaters

Connections of metallic pipe to water heaters shall be made with dielectric unions or flanges.

### 3.2.4 Expansion Tank

A pre-charged expansion tank shall be installed on the cold water supply between the water heater inlet and the cold water supply shut-off valve. The Contractor shall adjust the expansion tank air pressure, as recommended by the tank manufacturer, to match incoming water pressure.

### 3.3 FIXTURES AND FIXTURE TRIMMINGS

Polished chromium-plated pipe, valves, and fittings shall be provided where exposed to view. Angle stops, straight stops, stops integral with the faucets, or concealed type of lock-shield, and loose-key pattern stops for supplies with threaded, sweat or solvent weld inlets shall be furnished and installed with fixtures. Where connections between copper tubing and faucets are made by rubber compression fittings, a beading tool

shall be used to mechanically deform the tubing above the compression fitting. Exposed traps and supply pipes for fixtures and equipment shall be connected to the rough piping systems at the wall, unless otherwise specified under the item. Floor and wall escutcheons shall be as specified. Drain lines and hot water lines of fixtures for handicapped personnel shall be insulated and do not require polished chrome finish. Plumbing fixtures and accessories shall be installed within the space shown.

## 3.3.1 Fixture Connections

Where space limitations prohibit standard fittings in conjunction with the cast-iron floor flange, special short-radius fittings shall be provided. Connections between earthenware fixtures and flanges on soil pipe shall be made gastight and watertight with a closet-setting compound or neoprene gasket and seal. Use of natural rubber gaskets or putty will not be permitted. Fixtures with outlet flanges shall be set the proper distance from floor or wall to make a first-class joint with the closet-setting compound or gasket and fixture used.

### 3.3.2 Flushometer Valves

Flushometer valves shall be secured to prevent movement by anchoring the long finished top spud connecting tube to wall adjacent to valve with approved metal bracket. Flushometer valves for water closets shall be installed 39 inches above the floor, except at water closets intended for use by the physically handicapped where flushometer valves shall be mounted at approximately 30 inches above the floor and arranged to avoid interference with grab bars. In addition, for water closets intended for handicap use, the flush valve handle shall be installed on the wide side of the enclosure.

## 3.3.3 Height of Fixture Rims Above Floor

Lavatories shall be mounted with rim 31 inches above finished floor. Wall-hung drinking fountains and water coolers shall be installed with rim 42 inches above floor. Wall-hung service sinks shall be mounted with rim 28 inches above the floor. Installation of fixtures for use by the physically handicapped shall be in accordance with ICC A117.1 COMM.

#### 3.3.4 Fixture Supports

Fixture supports for off-the-floor lavatories, urinals, water closets, and other fixtures of similar size, design, and use, shall be of the chair-carrier type. The carrier shall provide the necessary means of mounting the fixture, with a foot or feet to anchor the assembly to the floor slab. Adjustability shall be provided to locate the fixture at the desired height and in proper relation to the wall. Support plates, in lieu of chair carrier, shall be fastened to the wall structure only where it is not possible to anchor a floor-mounted chair carrier to the floor slab.

#### 3.3.4.1 Support for Solid Masonry Construction

Chair carrier shall be anchored to the floor slab. Where a floor-anchored chair carrier cannot be used, a suitable wall plate shall be imbedded in the masonry wall.

3.3.4.2 Support for Concrete-Masonry Wall Construction

Chair carrier shall be anchored to floor slab. Where a floor-anchored chair carrier cannot be used, a suitable wall plate shall be fastened to the concrete wall using through bolts and a back-up plate.

# 3.3.4.3 Support for Steel Stud Frame Partitions

Chair carrier shall be used. The anchor feet and tubular uprights shall be of the heavy duty design; and feet (bases) shall be steel and welded to a square or rectangular steel tube upright. Wall plates, in lieu of floor-anchored chair carriers, shall be used only if adjoining steel partition studs are suitably reinforced to support a wall plate bolted to these studs.

### 3.3.4.4 Wall-Mounted Water Closet Gaskets

Where wall-mounted water closets are provided, reinforced wax, treated felt, or neoprene gaskets shall be provided. The type of gasket furnished shall be as recommended by the chair-carrier manufacturer.

3.3.5 Backflow Prevention Devices

HVAC makeup water connections, plumbing fixtures, equipment, and pipe connections shall not cross connect or interconnect between a potable water supply and any source of nonpotable water. Backflow preventers shall be installed where indicated and in accordance with ICC IPC at all other locations necessary to preclude a cross-connect or interconnect between a potable water supply and any nonpotable substance. In addition backflow preventers shall be installed at all locations where the potable water outlet is below the flood level of the equipment, or where the potable water outlet will be located below the level of the nonpotable substance. Backflow preventers shall be located so that no part of the device will be submerged. Backflow preventers shall be of sufficient size to allow unrestricted flow of water to the equipment, and preclude the backflow of any nonpotable substance into the potable water system. Bypass piping shall not be provided around backflow preventers. Access shall be provided for maintenance and testing. Each device shall be a standard commercial unit. Installers of backflow preventers shall be licensed in backflow prevention and cross connection control.

#### 3.3.6 Access Panels

Access panels shall be provided for concealed valves and controls, or any item requiring inspection or maintenance. Access panels shall be of sufficient size and located so that the concealed items may be serviced, maintained, or replaced. Access panels shall be as specified in Section 08 31 00 ACCESS DOORS AND PANELS.

#### 3.3.7 Traps

Each trap shall be placed as near the fixture as possible, and no fixture shall be double-trapped. Traps installed on cast-iron soil pipe shall be cast iron. Traps installed on steel pipe or copper tubing shall be recess-drainage pattern, or brass-tube type. Traps installed on plastic pipe may be plastic conforming to ASTM D3311. Traps for acid-resisting waste shall be of the same material as the pipe.

#### 3.4 VIBRATION-ABSORBING FEATURES

Mechanical equipment, including packaged water boosterpumps assembly, shall be isolated from the building structure by approved vibration-absorbing features, unless otherwise shown. Each foundation shall include an adequate number of standard isolation units. Each unit shall consist of machine and floor or foundation fastening, together with intermediate isolation material, and shall be a standard product with printed load rating. Piping connected to mechanical equipment shall be provided with flexible connectors.Isolation unit installation shall limit vibration to 20 percent of the lowest equipment rpm.

## 3.5 WATER METER REMOTE READOUT REGISTER

The remote readout register shall be mounted at the location indicated or as directed by the Contracting Officer.

#### 3.6 IDENTIFICATION SYSTEMS

#### 3.6.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number shall be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags shall be 1-3/8 inch minimum diameter, and marking shall be stamped or engraved. Indentations shall be black, for reading clarity. Tags shall be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

#### 3.6.2 Pipe Color Code Marking

Color code marking of piping shall be as specified in Section 09 90 00 PAINTS AND COATINGS.

#### 3.6.3 Color Coding Scheme for Locating Hidden Utility Components

Scheme shall be provided in buildings having suspended grid ceilings. The color coding scheme shall identify points of access for maintenance and operation of operable components which are not visible from the finished space and installed in the space directly above the suspended grid ceiling. The operable components shall include valves, dampers, switches, linkages and thermostats. The color coding scheme shall consist of a color code board and colored metal disks. Each colored metal disk shall be approximately 3/8 inch in diameter and secured to removable ceiling panels with fasteners. The fasteners shall be inserted into the ceiling panels so that the fasteners will be concealed from view. The fasteners shall be manually removable without tools and shall not separate from the ceiling panels when panels are dropped from ceiling height. Installation of colored metal disks shall follow completion of the finished surface on which the disks are to be fastened. The color code board shall have the approximate dimensions of 3 foot width, 30 inches height, and 1/2 inch thickness. The board shall be made of wood fiberboard and framed under glass or 1/16 inch transparent plastic cover. Unless otherwise directed, the color code symbols shall be approximately 3/4 inch in diameter and the related lettering in 1/2 inch high capital letters. The color code board shall be mounted and located in the mechanical or equipment room. The color code system shall per facility standards.

#### 3.7 ESCUTCHEONS

Escutcheons shall be provided at finished surfaces where bare or insulated piping, exposed to view, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons shall be fastened securely to pipe or pipe covering and shall be satin-finish, corrosion-resisting steel, polished chromium-plated zinc alloy, or polished chromium-plated copper alloy. Escutcheons shall be either one-piece or split-pattern, held in place by internal spring tension or setscrew.

#### 3.8 PAINTING

Painting of pipes, hangers, supports, and other iron work, either in concealed spaces or exposed spaces, is specified in Section 09 90 00 PAINTS AND COATINGS.

#### 3.8.1 Painting of New Equipment

New equipment painting shall be factory applied or shop applied, and shall be as specified herein, and provided under each individual section.

#### 3.8.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors shall withstand 500 hours in a salt-spray fog test. Salt-spray fog test shall be in accordance with ASTM B117, and for that test the acceptance criteria shall be as follows: immediately after completion of the test, the paint shall show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen shall show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment shall not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system shall be designed for the temperature service.

#### 3.8.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F shall be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat shall be aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F shall receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.

- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F shall receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.
- c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F shall receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.
- 3.9 TESTS, FLUSHING AND DISINFECTION
- 3.9.1 Plumbing System

The following tests shall be performed on the plumbing system in accordance with ICC IPC, except that the drainage and vent system final test shall include the smoke test. The Contractor has the option to perform a peppermint test in lieu of the smoke test. If a peppermint test is chosen, the Contractor must submit a testing procedure and reasons for choosing this option in lieu of the smoke test to the Contracting Officer for approval.

- a. Drainage and Vent Systems Test. The final test shall include a smoke test.
- b. Building Sewers Tests.
- c. Water Supply Systems Tests.
- 3.9.1.1 Test of Backflow Prevention Assemblies

Backflow prevention assembly shall be tested using gauges specifically designed for the testing of backflow prevention assemblies.

Backflow prevention assembly test gauges shall be tested annually for accuracy in accordance with the requirements of State or local regulatory agencies. Prior to testing, a copy of the current test gauge calibration shall be provided to the Contracting Officer or the Cross Connection Control Program for Pax River. If there is no State or local regulatory agency requirements, gauges shall be tested annually for accuracy in accordance with the requirements of University of Southern California's Foundation of Cross Connection Control and Hydraulic Research or the American Water Works Association Manual of Cross Connection (Manual M-14), or any other approved testing laboratory having equivalent capabilities for both laboratory and field evaluation of backflow prevention assembly test gauges. Report form for each assembly shall include, as a minimum, the following:

Data on Device	Data on Testing Firm
Type of Assembly	Name
Manufacturer	Address

Model Number	Certified Tester
Serial Number	Certified Tester No.
Size	Date of Test
Location	
Test Pressure Readings	Serial Number and Test Data of Gauges

If the unit fails to meet specified requirements, the unit shall be repaired and retested.

### 3.9.2 Defective Work

If inspection or test shows defects, such defective work or material shall be replaced or repaired as necessary and inspection and tests shall be repeated. Repairs to piping shall be made with new materials. Caulking of screwed joints or holes will not be acceptable.

#### 3.9.3 System Flushing

## 3.9.3.1 During Flushing

Before operational tests or disinfection, potable water piping system shall be flushed with hotpotable water. Sufficient water shall be used to produce a water velocity that is capable of entraining and removing debris in all portions of the piping system. This requires simultaneous operation of all fixtures on a common branch or main in order to produce a flushing velocity of approximately 4 fps through all portions of the piping system. In the event that this is impossible due to size of system, the Contracting Officer shall specify the number of fixtures to be operated during flushing. Contractor shall provide adequate personnel to monitor the flushing operation and to ensure that drain lines are unobstructed in order to prevent flooding of the facility. Contractor shall be responsible for any flood damage resulting from flushing of the system. Flushing shall be continued until entrained dirt and other foreign materials have been removed and until discharge water shows no discoloration. All faucets and drinking water fountains, to include any device considered as an end point device by NSF/ANSI 61, Section 9, shall be flushed a minimum of 0.25 gallons per 24 hour period, ten times over a 14 day period.

## 3.9.3.2 After Flushing

System shall be drained at low points. Strainer screens shall be removed, cleaned, and replaced. After flushing and cleaning, systems shall be prepared for testing by immediately filling water piping with clean, fresh potable water. Any stoppage, discoloration, or other damage to the finish, furnishings, or parts of the building due to the Contractor's failure to properly clean the piping system shall be repaired by the Contractor. When the system flushing is complete, the hot-water system shall be adjusted for uniform circulation. Flushing devices and automatic control systems shall be adjusted for proper operation according to manufacturer's instructions. Flow rates on fixtures must not exceed those stated in PART 2 of this Section. Unless more stringent local requirements exist, lead levels shall not exceed limits established by 40 CFR 141.80 (c)(1). The water supply to the building shall be tested

separately to ensure that any lead contamination found during potable water system testing is due to work being performed inside the building.

### 3.9.4 Operational Test

Upon completion of flushing and prior to disinfection procedures, the Contractor shall subject the plumbing system to operating tests to demonstrate satisfactory installation, connections, adjustments, and functional and operational efficiency. Such operating tests shall cover a period of not less than 8 hours for each system and shall include the following information in a report with conclusion as to the adequacy of the system:

- a. Time, date, and duration of test.
- b. Water pressures at the most remote and the highest fixtures.
- c. Operation of each fixture and fixture trim.
- d. Operation of each valve, hydrant, and faucet.
- e. Pump suction and discharge pressures.
- f. Temperature of each domestic hot-water supply.
- g. Operation of each floor and roof drain by flooding with water.
- h. Operation of each vacuum breaker and backflow preventer.
- i. Complete operation of each water pressure booster system, including pump start pressure and stop pressure.

## 3.9.5 Disinfection

After all system components are provided and operational tests are complete, the entire domestic hot- and cold-water distribution system shall be disinfected. Before introducing disinfecting chlorination material, entire system shall be flushed with potable water until any entrained dirt and other foreign materials have been removed.

Water chlorination procedure shall be in accordance with AWWA C651 and AWWA C652 as modified and supplemented by this specification. The chlorinating material shall be hypochlorites or liquid chlorine. The chlorinating material shall be fed into the water piping system at a constant rate at a concentration of at least 50 parts per million (ppm). Feed a properly adjusted hypochlorite solution injected into the system with a hypochlorinator, or inject liquid chlorine into the system through a solution-feed chlorinator and booster pump until the entire system is completely filled.

Test the chlorine residual level in the water at 6 hour intervals for a continuous period of 24 hours. If at the end of a 6 hour interval, the chlorine residual has dropped to less than 25 ppm, flush the piping including tanks with potable water, and repeat the above chlorination procedures. During the chlorination period, each valve and faucet shall be opened and closed several times.

After the second 24 hour period, verify that no less than 25 ppm chlorine residual remains in the treated system. The 24 hour

chlorination procedure must be repeated until no less than 25 ppm chlorine residual remains in the treated system.

Upon the specified verification, the system including tanks shall then be flushed with potable water until the residual chlorine level is reduced to less than one part per million. During the flushing period, each valve and faucet shall be opened and closed several times.

Take additional samples of water in disinfected containers, for bacterial examination, at locations specified by the Contracting Officer Test these samples for total coliform organisms (coliform bacteria, fecal coliform, streptococcal, and other bacteria) in accordance with EPA SM 9223 . The testing method used shall be EPA approved for drinking water systems and shall comply with applicable local and state requirements.

Disinfection shall be repeated until bacterial tests indicate the absence of coliform organisms (zero mean coliform density per 100 milliliters) in the samples for at least 2 full days. The system will not be accepted until satisfactory bacteriological results have been obtained.

# 3.10 Testing, Adjusting, and Balancing

The requirements for testing, adjusting, and balancing (TAB) are specified in 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC. Begin TAB only when the water supply and distribution, including controls, has been completed, with the exception of operational tests and disinfection." TAB shall include domestic hot water circulating system along with DHWS.

# 3.11 POSTED INSTRUCTIONS

Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, shall be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system shall be prepared in typed form, framed as specified above for the wiring and control diagrams and posted beside the diagrams. The framed instructions shall be posted before acceptance testing of the systems.

## 3.12 PERFORMANCE OF WATER HEATING EQUIPMENT

Standard rating condition terms are as follows:

- EF = Energy factor, minimum overall efficiency.
- ET = Minimum thermal efficiency with 70 degrees F delta T.

SL = Standby loss is maximum (Btu/h) based on a 70 degrees F temperature difference between stored water and ambient requirements.

V = Rated volume in gallons

Q = Nameplate input rate in kW (Btu/h)

# 3.12.1 Storage Water Heaters

# 3.12.1.1 Electric

- a. Storage capacity of 60 gallons shall have a minimum energy factor (EF) of 0.93 or higher per FEMP requirements.
- b. Storage capacity of 60 gallons or more shall have a minimum energy factor (EF) of 0.91 or higher per FEMP requirements.

# 3.13 TABLES

	TABLE I						
	PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS						
<u>It</u> #	<u>Pipe and Fitting</u> Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE <u>F</u>
1	Cast iron soil pipe and fittings, hub and spigot, ASTM A74 with compression gaskets. Pipe and fittings shall be marked with the CISPI trademark.	X	X	X	X	X	
2	Cast iron soil pipe and fittings hubless, CISPI 301 and ASTM A888. Pipe and fittings shall be marked with the CISPI trademark.		X	X	X	X	
3	Cast iron drainage fittings, threaded, ASME B16.12	X		X	X		
4	Cast iron screwed fittings (threaded) ASME B16.4				X	X	
5	Copper drainage tube, (DWV), ASTM B306	Х*	Х	Х*	Х	Х	

TABLE I							
	IADLE I						
	PIPE AND FITTING MATERIALS	5 FOR DRAI	NAGE, WAST SYSTEMS	E, VENT AI	ND CONDENS	SATE DRAIN	PIPING
<u>It</u> #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE <u>F</u>
6	Wrought copper and wrought alloy solder-joint drainage fittings. ASME B16.29	x	x	x	x	x	
7	Cast copper alloy solder joint drainage fittings, DWV, ASME B16.23	Х	Х	Х	Х	Х	
SE	RVICE:						
A - Underground Building Soil, Waste and Storm Drain B - Aboveground Soil, Waste, Drain In Buildings C - Underground Vent D - Aboveground Vent E - Interior Rainwater Conductors Aboveground F - Condensate Drain Aboveground							
* - Hard Temper							

	TABLE	II			
	PIPE AND FITTING MATERIALS F	OR PRESSUR	E PIPING S	YSTEMS	
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
1	Seamless copper pipe, ASTM B42	Х	Х		X
2	Seamless copper water tube, ASTM B88, ASTM B88M	X**	X**	X**	X***
3	Cast bronze threaded fittings, ASME Bl6.15 for use with Items 5 and 7	X	X		X
4	Wrought copper and bronze solder-joint pressure fittings, ASME B16.22 for use with Items 5, 7 and 8	Х	X	Х	X

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TABLE II					
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS					
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
5 Cast copper alloy solder-joint X X X X X X pressure fittings, ASME B16.18 for use with Item 8					
SERVICE: A - Cold Water Service Aboveground B - Hot and Cold Water Distribution 180 degrees F Maximum Aboveground C - Compressed Air Lubricated D - Cold Water Service Belowground Indicated types are minimum wall thicknesses. ** - Type L - Hard *** - Type K - Hard temper with brazed joints only or type K-soft temper without joints in or under floors **** - In or under slab floors only brazed joints					

		Tž	ABLE III	
STANDAR	D RATING CONDIT	TIONS AND MINIMUM E	PERFORMANCE RATINGS	FOR WATER HEATING EQUIPMENT
FUEL	STORAGE CAPACITY GALLONS	INPUT RATING	TEST PROCEDURE	REQUIRED PERFORMANCE
A. STOR	AGE WATER HEATE	RS		
Elect.	60 max.		10 CFR 430	EF = 0.93
Elect.	60 min.		10 CFR 430	EF = 0.91
Elect.	20 min.	12 kW max.	10 CFR 430	EF = 0.93-0.00132V minimum
Elect.	20 min.	12 kW max.	ANSI Z21.10.3/CS (Addenda B)	$SL = 20+35x(V^{1/2})$ maximum
TERMS:				
<pre>EF = Energy factor, minimum overall efficiency. ET = Minimum thermal efficiency with 70 degrees F delta T. SL = Standby loss is maximum Btu/h based on a 70 degree F temperature difference between stored water and ambient requirements. V = Rated storage volume in gallons Q = Nameplate input rate in Btu/h</pre>				

-- End of Section --

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#### SECTION 22 13 29

# SANITARY SEWERAGE PUMPS 02/11

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 11 (	(2014)	Load	Ratings	and	Fatigue	Life	for
F	Roller	Beari	ings				

ABMA 9 (2015) Load Ratings and Fatigue Life for Ball Bearings

ASME INTERNATIONAL (ASME)

ASME B40.100	(2013)	Pressure	Gauges	and	Gauge
	Attach	ments			

ASTM INTERNATIONAL (ASTM)

ASTM A153/A153M (2016a) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 1	(2000; R 2015) Standard for Industrial	
	Control and Systems: General Requirement	nts

NEMA MG 1 (2018) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54	(2018) National Fuel Gas Code
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code

### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Equipment Installation; G, AE

SD-03 Product Data

Materials and Equipment; G, AE Framed Instructions; G, RO Spare Parts; G, RO

SD-06 Test Reports

Field Testing and Adjusting Equipment; G, RO

SD-10 Operation and Maintenance Data

Operating and Maintenance Manuals; G, AE

1.3 DELIVERY, STORAGE, AND HANDLING

Protect from the weather, excessive humidity and excessive temperature variation; and dirt, dust, or other contaminants all equipment delivered and placed in storage.

# 1.4 EXTRA MATERIALS

Submit spare parts data for each different item of material and equipment specified, after approval of the related submittals, prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply

# PART 2 PRODUCTS

#### 2.1 MATERIALS AND EQUIPMENT

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site. Pump casings shall be constructed of cast iron of uniform quality and free from blow holes, porosity, hard spots, shrinkage defects, cracks, and other injurious defects. Impellers shall be cast iron .

## 2.1.1 Nameplates

Provide each major item of equipment with the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment.

#### 2.1.2 Equipment Guards

Enclose or guard belts, pulleys, chains, gears, projecting setscrews, keys, and other rotating parts so located that any person may come in close proximity thereto.

2.1.3 Special Tools

Provide one set of special tools, calibration devices, and instruments required for operation, calibration, and maintenance of the equipment.

2.1.4 Electric Motors

Motors shall conform to NEMA MG 1.

2.1.5 Motor Controls

Controls shall conform to NEMA ICS 1.

2.1.6 Bolts, Nuts, Anchors, and Washers

Bolts, nuts, anchors, and washers shall be steel; galvanized in accordance with ASTM A153/A153M.

2.1.7 Pressure Gauges

Compound gauges shall be provided on the suction side of pumps and standard pressure gauges on the discharge side of pumps. Gauges shall comply with ASME B40.100. Gauge ranges shall be as appropriate for the particular installation.

- 2.1.8 Seal Water Systems
- 2.1.8.1 Float Valve

The float valve shall be mounted on the tank to maintain a water level below an overflow provided near the top of the tank and to maintain a 6 inch air gap between the water system and the top of the tank.

2.1.8.2 Auxiliary Equipment

Auxiliary equipment required to complete the system shall be as indicated and shall include the necessary piping, valving, pressure gauges, pressure regulators, pressure switches, solenoid valves, strainers, and accessories.

# 2.1.8.3 Controls

The solenoid valve shall open whenever the process pump motor is energized. The pressure switch shall signal an alarm and stop the process pump whenever the seal pressure is below a set point. The pressure regulating valve shall be located on a bypass line back to the seal water reservoir tank. The pressure switch and pressure regulating valve set points shall be determined by the process pump manufacturer. A valved bypass around each solenoid valve shall also be provided.

# 2.2 CENTRIFUGAL SOLIDS HANDLING PUMPS

Centrifugal solids handling pumps shall be of the nonclogging centrifugal type designed to pump solids up to 3 inches in diameter and which provide no internal interstices that catch solids and stringy materials to cause clogging.

#### 2.2.1 Pump Characteristics

Refer to drawing schedules for scheduled pump performance.

#### 2.2.2 Pump Casing

Pump casing shall be constructed with tapped and plugged holes for venting and draining the pump. The casing shall be capable of withstanding pressures 50 percent greater than the maximum operating pressure. The volute shall have smooth passages. The casing shall be such that the impeller can be removed without disturbing the suction and discharge connections. The casing shall have a handhole to permit inspection and cleaning of the pump interior. Lifting eyes shall be provided to facilitate handling of the pump.

# 2.2.3 Impeller

The impeller shall be designed with smooth passages to prevent clogging and pass stringy or fibrous materials. The impeller shall be statically, dynamically, and hydraulically balanced within the operating range and to the first critical speed at 150 percent of the maximum operating speed. The impeller shall be securely keyed to the shaft with a locking arrangement whereby the impeller cannot be loosened by torque from either forward or reverse direction.

A cutter style impeller that shall handle disposable undergarments and other large semi-fibrous debris. A submersible cutter pump designed for handling raw sewage, wastewater, and heavy-duty industrial applications, where the pump is subject to clogging from oversize material. Two alloy edge blazed on the impeller vane on the serrated suction cover. This mechanism cuts incoming fibrous material into pieces, permitting smooth passage of fibrous material. The impeller and suction cover are made of high-chromium cast iron, ensuring excellent durability and enabling the pump to maintain high performance for an extended period.

#### 2.2.4 Wearing Rings

Renewable wearing rings shall be provided on the impeller and casing and shall have wearing surfaces normal to the axis of rotation. Wearing rings shall be constructed of steel . Wearing rings shall be designed for ease of maintenance and shall be secured to prevent rotation. Replaceable steel wear plates fastened to casing may be used in lieu of wearing rings on casing and impeller.

#### 2.2.5 Pump Shaft

Pump shaft shall be of stainless or high grade alloy steel and shall be of adequate size and strength to transmit the full driver horsepower with a liberal safety factor.

#### 2.2.6 Pump Shaft Sleeve

The pump shaft shall be protected from wear by a stainless steel, high grade alloy steel, or bronze shaft sleeve. The joint between the shaft and sleeve shall be sealed to prevent leakage.

#### 2.2.7 Mechanical Seals

Double mechanical seals shall be provided to seal the pump shaft against leakage. Each seal interface shall be held in contact by its own spring system, supplemented by external liquid pressures. The seal system shall be constructed to be readily removable from the shaft. Conventional mechanical seals which require a constant pressure to effect sealing will

not be allowed.

# 2.2.8 Bearings

Pump bearings shall be ball or roller type designed to handle all thrust loads in either direction. Pumps depending only on hydraulic balance end thrust will not be acceptable. Bearings shall have an ABEMA L-10 life of 50,000 hours minimum, as specified in ABMA 9 or ABMA 11.

# 2.2.9 Lubrication

Bearings shall be grease lubricated. A grease fitting shall be provided for grease-lubricated bearings. The grease fitting shall be of the type that prevents overlubrication and the building up of pressure injurious to the bearings. If the grease fitting is not easily accessible, grease tubing shall be provided to a convenient location.

## 2.2.10 Pump Support

Horizontal centrifugal pumps shall be provided with a common base plate for the pump and motor. Vertical shaft centrifugal pumps shall be provided with separate bases for the pump and motor. Vertical dry pit centrifugal pumps shall be supported by a heavy cast iron base with adequate legs to provide maximum rigidity and balance.

#### 2.2.11 Coupling

Couplings shall be of the heavy-duty flexible type, keyed or locked to the shaft. Disconnecting of the coupling shall be possible without removing the driver half or the pump half of the coupling from the shaft. Couplings for extended shaft vertical centrifugal pumps may be of the universal type.

# 2.2.12 Motor

The pump motor shall have Class F insulation, NEMA B design, in accordance with NEMA MG 1, and shall be watertight. The motor shall be either oil filled, air filled with a water jacket, or air filled with cooling fins which encircles the stator housing.

# 2.2.13 Power Cable

The power cable shall comply with NFPA 70, Type SO, and shall be of standard construction for submersible pump applications. The power cable shall enter the pump through a heavy duty entry assembly provided with an internal grommet assembly to prevent leakage. The cable entry junction chamber and motor shall be separated by a stator lead sealing gland or terminal board which shall isolate the motor interior from foreign material gaining access through the pump top. Epoxies, silicones, or other secondary sealing systems are not acceptable.

# 2.3 ELECTRICAL WORK

Provide electrical motor driven equipment specified complete with motors, motor starters, controls and wiring in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical characteristics shall be as specified or indicated. Motor starters shall be provided complete with thermal overload protection and other appurtenances necessary for the motor control specified. Manual or automatic control and protective or

signal devices required for the operation specified, and any control wiring required for controls and devices but not shown, shall be provided.

### PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

#### 3.2 EQUIPMENT INSTALLATION

Submit Drawings containing complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Show on the Drawings proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation.

# 3.2.1 Pump Installation

Install pumping equipment and appurtenances in the position indicated and in accordance with the manufacturer's written instructions. Provide all appurtenances required for a complete and operating pumping system, including such items as piping, conduit, valves, wall sleeves, wall pipes, concrete foundations, anchors, grouting, pumps, drivers, power supply, seal water units, and controls.

#### 3.2.2 Concrete

Concrete shall conform to Section 03 30 00 CAST-IN-PLACE CONCRETE.

#### 3.3 PAINTING

Pumps and motors shall be thoroughly cleaned, primed, and given two finish coats of paint at the factory in accordance with the recommendations of the manufacturer. Field painting required for ferrous surfaces not finished at the factory is specified in Section 09 90 00 PAINTS AND COATINGS that is in compliance with NFPA 54.

#### 3.4 FRAMED INSTRUCTIONS

Post, where directed, framed instructions containing wiring and control diagrams under glass or in laminated plastic. Condensed operating instructions, prepared in typed form, shall be framed as specified above and posted beside the diagrams. Post the framed instructions before acceptance testing of the system. Submit pump characteristic curves showing capacity in gpm, net positive suction head (NPSH), head, efficiency, and pumping horsepower from 0 gpm to 110 percent of design capacity. Submit a complete list of equipment and material, including manufacturer's descriptive data and technical literature, performance charts and curves, catalog cuts, and installation instructions. Diagrams, instructions, and other sheets proposed for posting.

# 3.5 FIELD TESTING AND ADJUSTING EQUIPMENT

# 3.5.1 Operational Test

Prior to acceptance, an operational test of all pumps, drivers, and control systems shall be performed to determine if the installed equipment meets the purpose and intent of the specifications. Tests shall demonstrate that the equipment is not electrically, mechanically, structurally, or otherwise defective; is in safe and satisfactory operating condition; and conforms with the specified operating characteristics. Prior to applying electrical power to any motor driven equipment, the drive train shall be rotated by hand to demonstrate free operation of all mechanical parts. Tests shall include checks for excessive vibration, leaks in all piping and seals, correct operation of control systems and equipment, proper alignment, excessive noise levels, and power consumption.

# 3.5.2 Retesting

If any deficiencies are revealed during any test, such deficiencies shall be corrected and the tests shall be reconducted.

### 3.5.3 Performance Test Reports

Submit performance test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. In each test report indicate the final position of controls.

## 3.6 MANUFACTURER'S SERVICES

Provide the services of a manufacturer's representative who is experienced in the installation, adjustment, and operation of the equipment specified. The representative shall supervise the installation, adjustment, and testing of the equipment.

# 3.7 FIELD TRAINING

Provide a field training course for designated operating and maintenance staff members. Training shall be provided for a total period of eight hours of normal working time and shall start after the system is functionally complete but prior to final acceptance tests. Field training shall cover all of the items contained in the operating and maintenance manuals. Submit six copies of operation and six copies of maintenance manuals for the equipment furnished. One complete set prior to performance testing and the remainder upon acceptance. Operation manuals shall detail the step-by-step procedures required for system startup, operation, and shutdown. Include in the operation manuals the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. List in the maintenance manuals routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals shall include piping and equipment layout and simplified wiring and control diagrams of the system as installed. Manuals shall be approved prior to the field training course.

-- End of Section --

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# SECTION 23 05 15

# COMMON PIPING FOR HVAC 02/14

# PART 1 GENERAL

# 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325 (	(2017)	Steel	Construction	Manual

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A112.18.1/CSA B125.1	(2018) Plumbing Supply Fittings
ASME A112.19.2/CSA B45.1	(2018; ERTA 2018) Standard for Vitreous China Plumbing Fixtures and Hydraulic Requirements for Water Closets and Urinals
ASME B1.20.7	(1991; R 2013) Standard for Hose Coupling Screw Threads (Inch)
ASME B16.1	(2015) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2016) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2018) Factory-Made Wrought Buttwelding Fittings
ASME B16.22	(2018) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.25	(2017) Buttwelding Ends
ASME B16.39	(2020) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B31.3	(2016) Process Piping
ASME B40.100	(2013) Pressure Gauges and Gauge Attachments
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications

West Point, NY Lincoln Hall Revised RTA Submissi	on Contract #W912DS19C0031 1 March 2023
ASME BPVC SEC VIII D1	(2017) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1
AMERICAN WELDING SOCIET	Y (AWS)
AWS WHB-2.9	(2004) Welding Handbook; Volume 2, Welding Processes, Part 1
ASTM INTERNATIONAL (AST	'M )
ASTM A6/A6M	(2017a) Standard Specification for General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A126	(2004; R 2019) Standard Specification for Gray Iron Castings for Valves, Flanges, and Pipe Fittings
ASTM A197/A197M	(2000; R 2019) Standard Specification for Cupola Malleable Iron
ASTM A216/A216M	(2016) Standard Specification for Steel Castings, Carbon, Suitable for Fusion Welding, for High-Temperature Service
ASTM A234/A234M	(2019) Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
ASTM A276/A276M	(2017) Standard Specification for Stainless Steel Bars and Shapes
ASTM A307	(2014; E 2017) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A312/A312M	(2019) Standard Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes
ASTM A563	(2015) Standard Specification for Carbon and Alloy Steel Nuts
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B88	(2016) Standard Specification for Seamless Copper Water Tube
ASTM B117	(2016) Standard Practice for Operating Salt Spray (Fog) Apparatus

West Point, NY Lincoln Hall Revised RTA Submissio	Contract #W912DS19C0031 Dn 1 March 2023	
ASTM B370	(2012; R 2019) Standard Specification for Copper Sheet and Strip for Building Construction	
ASTM B749	(2014) Standard Specification for Lead and Lead Alloy Strip, Sheet and Plate Products	
ASTM C67/C67M	(2020) Standard Test Methods for Sampling and Testing Brick and Structural Clay Tile	
ASTM C109/C109M	(2020a) Standard Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2-in. or (50-mm) Cube Specimens)	
ASTM C404	(2018) Standard Specification for Aggregates for Masonry Grout	
ASTM C476	(2019) Standard Specification for Grout for Masonry	
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications	
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants	
ASTM D2308	(2007; R 2013) Standard Specification for Thermoplastic Polyethylene Jacket for Electrical Wire and Cable	
ASTM E1	(2014) Standard Specification for ASTM Liquid-in-Glass Thermometers	
ASTM E814	(2013a; R 2017) Standard Test Method for Fire Tests of Penetration Firestop Systems	
ASTM F104	(2011; R 2020) Standard Classification System for Nonmetallic Gasket Materials	
FLUID SEALING ASSOCIATION (FSA)		
FSA-0017	(1995e6) Standard for Non-Metallic Expansion Joints and Flexible Pipe Connectors Technical Handbook	
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)	
IEEE 515	(2017) Standard for the Testing, Design, Installation, and Maintenance of Electrical Resistance Heat Tracing for Industrial Applications	
MANUFACTURERS STANDARDI: INDUSTRY (MSS)	ZATION SOCIETY OF THE VALVE AND FITTINGS	
MSS SP-58	(2018) Pipe Hangers and Supports -	

SECTION 23 05 15 Page 3

West Point, NY Lincoln Hall Revised RTA Submissio	Contract #W912DS19C0031 1 March 2023		
	Materials, Design and Manufacture, Selection, Application, and Installation		
MSS SP-67	(2017; Errata 1 2017) Butterfly Valves		
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends		
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service		
MSS SP-80	(2019) Bronze Gate, Globe, Angle and Check Valves		
MSS SP-125	(2010) Gray Iron and Ductile Iron In-Line, Spring-Loaded, Center-Guided Check Valves		
U.S. DEPARTMENT OF DEFENSE (DOD)			
MIL-DTL-17813	(2009; Rev H; Supp 1 2009; Notice 1 2013) Expansion Joints, Pipe, Metallic Bellows, General Specification for		
U.S. GENERAL SERVICES ADMINISTRATION (GSA)			
CID A-A-1922	(Rev A; Notice 3) Shield, Expansion (Caulking Anchors, Single Lead)		
CID A-A-1923	(Rev A; Notice 3) Shield, Expansion (Lag, Machine and Externally Threaded Wedge Bolt Anchors)		
CID A-A-1924	(Rev A; Notice 3) Shield, Expansion (Self Drilling Tubular Expansion Shell Bolt Anchors		
CID A-A-1925	(Rev A; Notice 3) Shield Expansion (Nail Anchors)		
CID A-A-55614	(Basic; Notice 2) Shield, Expansion (Non-Drilling Expansion Anchors)		
CID A-A-55615	(Basic; Notice 3) Shield, Expansion (Wood Screw and Lag Bolt Self-Threading Anchors		
UNDERWRITERS LABORATORIES (UL)			
UL 1479	(2015) Fire Tests of Through-Penetration Firestops		
1.2 GENERAL REQUIREMENTS			
Section 23 30 00 HVAC AIR DISTRIBUTION applies to work specified in this			

section.

Submit Records of Existing Conditions consisting of the results of Contractor's survey of work area conditions and features of existing structures and facilities within and adjacent to the jobsite. Commencement of work constitutes acceptance of the existing conditions.

Include with Equipment Foundation Data for piping systems all plan dimensions of foundations and relative elevations, equipment weight and operating loads, horizontal and vertical loads, horizontal and vertical clearances for installation, and size and location of anchor bolts.

Submit Fabrication Drawings for pipes, valves and specialties consisting of fabrication and assembly details to be performed in the factory.

Submit Material, Equipment, and Fixture Lists for pipes, valves and specialties including manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site information. Provide a complete list of construction equipment to be used.

Submit Manufacturer's Standard Color Charts for pipes, valves and specialties showing the manufacturer's recommended color and finish selections.

Include with Listing of Product Installations for piping systems identification of at least 5 units, similar to those proposed for use, that have been in successful service for a minimum period of 5 years. Include in the list purchaser, address of installation, service organization, and date of installation.

Submit Record Drawings for pipes, valves and accessories providing current factual information including deviations and amendments to the drawings, and concealed and visible changes in the work.

Submit Connection Diagrams for pipes, valves and specialties indicating the relations and connections of devices and apparatus by showing the general physical layout of all controls, the interconnection of one system (or portion of system) with another, and internal tubing, wiring, and other devices.

Submit Coordination Drawings for pipes, valves and specialties showing coordination of work between different trades and with the structural and architectural elements of work. Detail all drawings sufficiently to show overall dimensions of related items, clearances, and relative locations of work in allotted spaces. Indicate on drawings where conflicts or clearance problems exist between various trades.

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Material, Equipment, and Fixture Lists; G, RO

SD-02 Shop Drawings

Record Drawings; G, RO

Connection Diagrams; G, RO Coordination Drawings; G, RO Fabrication Drawings; G, RO Installation Drawings; G, RO Water Temperature Mixing Valve; G, RO Water Temperature Regulating Valves; G,RO Water Pressure Reducing Valve; G, RO Pressure Relief Valve; G, RO Combination Pressure and Temperature Relief Valves; G, RO SD-03 Product Data Pipe and Fittings; G, RO

Piping Specialties; G, RO Valves; G, RO Miscellaneous Materials; G, RO Supporting Elements; G, RO Equipment Foundation Data; G, RO Water Temperature Mixing Valve; G, RO Water Temperature Regulating Valves; G,RO Water Pressure Reducing Valve; G, RO Pressure Relief Valve; G, RO Combination Pressure and Temperature Relief Valves; G, ROSD-05 Design Data Pipe and Fittings; G, RO Piping Specialties; G, RO Valves; G, RO SD-06 Test Reports Hydrostatic Tests; G, RO Air Tests; G, RO Valve-Operating Tests; G, RO, RO

Drainage Tests; G, RO, RO

Pneumatic Tests; G, RO, RO

Non-Destructive Electric Tests; G, RO, RO System Operation Tests; G, RO

SD-07 Certificates

Record of Satisfactory Field Operation; G, RO

List of Qualified Permanent Service Organizations, RO

Listing of Product Installations

Records of Existing Conditions; G, RO, RO

Surface Resistance; G, RO, RO

Shear and Tensile Strengths; G, RO, RO

Temperature Ratings; G, RO

Bending Tests; G, RO, RO

Flattening Tests; G, RO, RO

Transverse Guided Weld Bend Tests; G, ROSD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G, RO

# 1.4 QUALITY ASSURANCE

1.4.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Provide standard products in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

# 1.4.2 Alternative Qualifications

Products having less than a two-year field service record are acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

# 1.4.3 Service Support

Ensure the equipment items are supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. Select service organizations that are reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

# 1.4.4 Manufacturer's Nameplate

Provide a nameplate on each item of equipment bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable.

# 1.4.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer.

# 1.4.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions are considered mandatory, the word "should" is interpreted as "shall." Reference to the "code official" is interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" is interpreted to mean the "lessor." References to the "permit holder" are interpreted to mean the "Contractor."

# 1.4.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, are applied as appropriate by the Contracting Officer and as authorized by his administrative cognizance and the FAR.

# 1.5 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

#### 1.6 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Provide instructors thoroughly familiar with all parts of the installation and trained in operating theory as well as practical operation and maintenance work.

Give instruction during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction

furnished is as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

### 1.7 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

# PART 2 PRODUCTS

#### 2.1 ELECTRICAL HEAT TRACING

Provide heat trace systems for pipes, valves, and fittings that are in accordance with IEEE 515 and be UL listed. System include all necessary components, including heaters and controls to prevent freezing.

Provide self-regulating heaters consisting of two 16 AWG tinned-copper bus wires embedded in parallel in a self-regulating polymer core that varies its power output to respond to temperature along its length. Ensure heater is able to be crossed over itself without overheating. Obtain approval before used directly on plastic pipe. Cover heater with a radiation cross-linked modified polyolefin dielectric jacket in accordance with ASTM D2308.

Provide heater with self-regulating factor of at least 90 percent, in order to provide energy conservation and to prevent overheating.

Operate heater on line voltages of as scheduled120 volts without the use of transformers.

Size Heater according to the following table:

(Inch, Diameter)	Minus 10 degrees F	Minus 20 degrees F
3 inches or less	5 watts per foot (wpf)	5 wpf
4 inch	5 wpf	8 wpf
6 inch	8 wpf	8 wpf
8 inch	2 strips/5 wpf	2 strips/8 wpf

#### Pipe Size

Control systems by an ambient sensing thermostat set at 40 degrees F either directly or through an appropriate contactor. Heat tracing must be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

#### 2.2 PIPE AND FITTINGS

Submit equipment and performance data for pipe and fittings consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis. Submit design analysis and calculations consisting of surface resistance, rates of flow, head losses, inlet and outlet design, required radius of bend, and pressure calculations. Also include in data pipe size, shape, and dimensions, as well as temperature ratings, vibration and thrust limitations minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

# 2.2.1 Type BCS, Black Carbon Steel

Ensure pipe 1/8 through 12 inches is Schedule 40 black carbon steel, conforming to ASTM A53/A53M.

Ensure pipe 1/8 through 10 inches is Schedule 40 seamless or electric-resistance welded black carbon steel, conforming to ASTM A53/A53M, Type E, Grade B (electric-resistance welded) or Type S (seamless). Grade A should be used for permissible field bending, in both cases.

Ensure fittings 2 inches and under are 150-pounds per square inch, gage (psig) working steam pressure (wsp) banded black malleable iron screwed, conforming to ASTM A197/A197M and ASME B16.3.

Ensure unions 2 inches and under are 250 pounds per square inch, wsp female, screwed, black malleable iron with brass-to-iron seat, and ground joint, conforming to ASME B16.39.

Ensure fittings 2-1/2 inches and over are Steel butt weld, conforming to ASTM A234/A234M and ASME B16.9 to match pipe wall thickness.

Ensure flanges 2-1/2 inches and over are 150-pound forged-steel conforming to ASME B16.5, welding neck to match pipe wall thickness.

#### 2.2.2 Type CPR, Copper

2.2.2.1 Type CPR-A, Copper Above Ground

Ensure tubing 2 inches and under is seamless copper tubing, conforming to ASTM B88, Type L (hard-drawn for all horizontal and all exposed vertical lines, annealed for concealed vertical lines). Pipe threads shall conform to ASME B1.20.1.

Ensure fittings 2 inches and under are 150-psig wsp wrought-copper solder joint fittings conforming to ASME B16.22.

Ensure unions 2 inches and under are 150-psig wsp wrought-copper solder joint, conforming to ASME B16.22.

Use solder, alloy Sb-5, conforming to ASTM B32 for copper condensate drain piping only.

#### 2.3 PIPING SPECIALTIES

Submit equipment and performance data for piping specialties consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis. Submit design analysis and calculations consisting of surface resistance, rates of flow, head losses, inlet and outlet design, required

radius of bend, and pressure calculations. Also include in data pipe size, shape, and dimensions, as well as temperature ratings, vibration and thrust limitations minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

# 2.3.1 Air Vents

Provide manual air vents at water coils and where indicated using 3/8-inch globe valves. Manual air vents shall be brass or bronze valves or cocks suitable for the pressure rating of the piping system and furnished with threaded plugs or caps.

Provide automatic air vents on pumps, mains, and where indicated using ball-float construction. Ensure the vent inlet is not less than 3/4-inch ips and the outlet not less than 1/4-inch ips. Orifice size is 1/8 inch. Provide corrosion-resistant steel trim conforming to ASTM A276/A276M . Fit vent with try-cock. Ensure vent discharges air at any pressure up to 150 psi. Ensure outlet is copper tube routed.

Each automatic air vent valve shall have a large port permitting the expulsion of the air without developing excessive back pressure, a noncollapsible metal float which will close the valve and prevent the loss of water from the system, an air seal that will effectively close and prevent the re-entry of air into the system when subatmospheric pressures prevail therein, and a thermostatic member that will close the port against the passage of steam from the system. The name of the manufacturer shall be clearly stamped on the outside of each valve. The air vent valve shall be suitable for the pressure rating of the piping system.

# 2.3.2 Expansion Tank

Tank shall be welded steel, constructed for, and tested to pressure-temperature rating of 125 psi at 150 degrees F in accordance with ASME BPVC SEC VIII D1. Tank shall be equipped with all necessary fittings. Provide tanks precharged to the minimum operating pressure. Tank shall have a replaceable polypropylene or butyl lined diaphragm which keeps the air charge separated from the water; shall be the captive air type. Zinc coat the tank inside and out after fabrication by the hot dip process ASTM A123/A123M

Tanks shall accommodate expanded water of the system generated within the normal operating temperature range, limiting this pressure increase at all components in the system to the maximum allowable pressure at those components. Each tank air chamber shall be fitted with a drain, fill, an air charging valve, and system connections. Tank shall be supported by steel legs or bases for vertical installation or steel saddles for horizontal installations. The only air in the system shall be the permanent sealed-in air cushion contained within the expansion tank.

# 2.3.3 Chemical Shot Feeder

A 5 gallon shot feeder shall be provided on the hot water piping as indicated. Size and capacity of feeder shall be based on local requirements and water analysis. The feeder shall be furnished with an air vent, gauge glass, funnel, valves, fittings, and piping.

# 2.3.4 Dielectric Connections

Electrically insulate dissimlar pipe metals from each other by couplings, unions, or flanges commercially manufactured for that purpose and rated for the service pressure and temperature.

# 2.3.5 Expansion Vibration Isolation Joints

Construct single or multiple arch-flanged expansion vibration isolation joints of steel-ring reinforced chloroprene-impregnated cloth materials. Design joint to absorb the movement of the pipe sections in which installed with no detrimental effect on the pipe or connected equipment. Back flanges with ferrous-metal backing rings. Provide control rod assemblies to restrict joint movement. Coat all nonmetallic exterior surfaces of the joint with chlorosulphinated polyethylene. Provide grommets in limit bolt hole to absorb noise transmitted through the bolts.

Ensure joints are suitable for continuous-duty working temperature of at least 250 degrees F.

Fill arches with soft chloroprene.

Ensure joint, single-arch, movement limitations and size-related, pressure characteristics conform to FSA-0017.

#### 2.3.6 Flexible Metallic Pipe

Ensure flexible pipe is the bellows-type with wire braid cover and designed, constructed, and rated in accordance with the applicable requirements of ASME B31.3.

Minimum working pressure rating is 100 psi at 300 degrees F.

Ensure minimum burst pressure is four times working pressure at 300 degrees F. Bellows material is AISI Type 316L corrosion-resistant steel. Ensure braid is AISI 300 series corrosion-resistant steel wire.

Provide threaded end connections; hex-collared Schedule 40, AISI Type 316L corrosion-resistant steel, conforming to ASTM A312/A312M.

Ensure flanged end connection rating and materials conform to specifications for system primary-pressure rating.

# 2.3.7 Metallic Expansion Joints

Provide metallic-bellows expansion joints conforming to MIL-DTL-17813.

Design and construct joints to absorb all of the movements of the pipe sections in which installed, with no detrimental effect on pipe or supporting structure.

Rate, design, and construct joints for pressures to 125 psigand temperatures to 500 degrees F.

Ensure joints have a designed bursting strength in excess of four times their rated pressure.

Ensure joints are capable of withstanding a hydrostatic test of 1.5 times their rated pressure while held at their uncompressed length without

leakage or distortion that may adversely affect their life cycle.

Ensure life expectancy is not less than 10,000 cycles.

Ensure movement capability of each joint exceeds calculated movement of piping by 100 percent.

Provide bellows and internal sleeve material of AISI Type 304, 304L, or 321 corrosion-resistant steel.

End connections require no field preparation other than cleaning.

Flanges of flanged-end expansion joints conforms to the same codes and standard requirements as are applicable to companion flanges specified for the given piping system at the indicated joint location.

Provide joints, 2-1/2 inches and smaller, with internal guides and limit stops.

Provide joints, 3 inches and larger, with removable external covers, internal sleeves, and purging connection. Size sleeves to accommodate lateral clearance required, with minimum reduction of flow area, and with oversized bellows where necessary. When a sleeve requires a gasket as part of a locking arrangement, provide the gasket used by the manufacturer. Joints without purging connection may be provided; however, remove these from the line prior to, or not installed until, cleaning operations are complete.

Ensure each expansion joint has adjustable clamps or yokes provided at quarter points, straddling the bellows. Overall joint length is set by the manufacturer to maintain joints in manufacturer's recommended position during installation.

Permanently and legibly mark each joint with the manufacturer's name or trademark and serial number; the size, series, or catalog number; bellows material; and directional-flow arrow.

# 2.3.8 Hose Faucets

Construct hose faucets with 1/2 inch male inlet threads, hexagon shoulder, and 3/4 inch hose connection, conforming to ASME All2.18.1/CSA Bl25.1. Ensure hose-coupling screw threads conform to ASME Bl.20.7.

# 2.3.9 Pressure Gages

Ensure pressure gages conform to ASME B40.100 and to requirements specified herein. Pressure-gage size is 3-1/2 inches nominal diameter. Ensure case is corrosion-resistant steel, conforming to any of the AISI 300 series of ASTM A6/A6M, with an ASM No. 4 standard commercial polish or better. Equip gages with adjustable red marking pointer and damper-screw adjustment in inlet connection. Align service-pressure reading at midpoint of gage range. Ensure all gages are Grade B or better and be equipped with gage isolators.Pressure gauges shall have an indicating pressure range that is related to the operating pressure of the fluid in accordance with the following table:

Operating Pressure (psi)	Pressure Range (psi)
76-150	0-200
16-75	0-100
2-15	0-30 (retard)

Fit steam gages with black steel syphons and steam service pressure-rated gage cocks or valves.

# 2.3.10 Thermometers

Ensure thermometers conform to ASTM E1, except for being filled with a red organic liquid. Provide an industrial pattern armored glass thermometer, (well-threaded and seal-welded). Ensure thermometers installed 6 feet or higher above the floor have an adjustable angle body. Ensure scale is not less than 7 inches long and the case face is manufactured from manufacturer's standard polished aluminum or AISI 300 series polished corrosion-resistant steel. Thermometer range is suitable for system media operating temperature range. Provide thermometers with nonferrous separable wells. Provide lagging extension to accommodate insulation thickness. Provide isolation cocks for all thermometers.

# 2.3.11 Pump Suction Strainers

Provide a cast iron strainer body, rated for not less than 25 psig at 100 degrees F, with flanges conforming to ASME B16.1, Class 125. Strainer construction is such that there is a machined surface joint between body and basket that is normal to the centerline of the basket.

Ensure minimum ratio of open area of each basket to pipe area is 3 to 1. Provide a basket with AISI 300 series corrosion-resistant steel wire mesh with perforated backing.

Ensure mesh is capable of retaining all particles larger than 1,000 micrometer, with a pressure drop across the strainer body of not more than 0.5 psi when the basket is two-thirds dirty at maximum system flow rate. Provide reducing fittings from strainer-flange size to pipe size.

Provide a differential-pressure gage fitted with a two-way brass cock across the strainer.

Provide manual air vent cocks in cap of each strainer.

### 2.3.12 Line Strainers, Water Service

Install Y-type strainers with removable basket. Ensure strainers in sizes 2-inch ips and smaller have screwed ends; in sizes 2-1/2-inch ipsand larger, strainers have flanged ends. Ensure body working-pressure rating exceeds maximum service pressure of installed system by at least 50 percent. Ensure body has cast-in arrows to indicate direction of flow. Ensure all strainer bodies fitted with screwed screen retainers have straight threads and gasketed with nonferrous metal. For strainer bodies 2-1/2-inches and larger, fitted with bolted-on screen retainers, provide offset blowdown holes. Fit all strainers larger than 2-1/2-inches with manufacturer's standard ball-type blowdown valve. Ensure body material is cast bronze conforming to ASTM B62. Where system material is nonferrous, use nonferrous metal for the metal strainer body material.

Ensure minimum free-hole area of strainer element is equal to not less than 3.4 times the internal area of connecting piping. Strainer screens perforation size is not to exceed 0.045-inch. Ensure strainer screens have finished ends fitted to machined screen chamber surfaces to preclude bypass flow. Strainer element material is AISI Type 316 corrosion-resistant steel .

# 2.3.13 Line Strainers, Steam Service

Install Type Y strainers with removable strainer element.

Use flanged body end connections for all valves larger than 2 inches. Use screwed weld for sizes 2 inches and under to suit specified piping system end connection and maintenance requirements.

For strainers located in tunnels, trenches, manholes, and valve pits, use welded end connections.

Body working steam pressure rating is the same as the primary valve rating for system in which strainer is installed, except where welded end materials requirements result in higher pressure ratings. Ensure body has integral cast or forged arrows to indicate direction of flow. Provide strainer bodies with blowdown valves that have discharge end plugged with a solid metal plug. Make closure assembly with tetrafluoroethylene paste. Ensure bodies fitted with bolted-on screen retainers have offset blowdown holes.

Body materials are cast steel conforming to ASTM A216/A216M, Grade WCB .

Ensure minimum free-hole area of strainer element is equal to not less than 3.4 times the internal area of connecting piping. Strainer screens perforation size is not to exceed 0.020 inch or equivalent wire mesh. Strainer screens have finished ends fitted to machined screen chamber surfaces to preclude bypass flow. Strainer element material is AISI Type 316 corrosion-resistant steel and fitted with backup screens where necessary to prevent collapse.

# 2.3.14 Nameplates

Major equipment including pumps, pump motors, expansion tanks, and air separator tanks shall have the manufacturer's name, type or style, model or serial number on a plate secured to the item of equipment. The nameplate of the distributing agent will not be acceptable. Plates shall be durable and legible throughout equipment life and made of stainless steel. Plates shall be fixed in prominent locations with nonferrous screws or bolts.

# 2.4 VALVES

Submit equipment and performance data for valves consisting of corrosion resistance and life expectancy. Submit design analysis and calculations consisting of rates of flow, head losses, inlet and outlet design, and pressure calculations. Also include in data, pipe dimensions, as well as temperature ratings, vibration and thrust limitations, minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

## 2.4.1 Ball and Butterfly Valves

Ensure ball valves conform to MSS SP-72 for Figure 1A, 1 piece body , vertically split body full port 1C, top entry 1D, three piece body and are rated for service at not less than 175 psig at 200 degrees F. For valve bodies in sizes 2 inches and smaller, use screwed-end connection-type constructed of Class A copper alloy. For valve bodies in sizes 2-1/2 inches and larger, use flanged-end connection type, constructed of Class D material. Balls and stems of valves 2 inches and smaller are manufacturer's standard with hard chrome plating finish. Balls and stems of valves 2-1/2 inches and larger are manufacturer's standard Class C corrosion-resistant steel alloy with hard chrome plating. Balls of valves 6 inches and larger may be Class D with 900 Brinell hard chrome plating. Ensure valves are suitable for flow from either direction and seal equally tight in either direction. Valves with ball seals held in place by spring washers are not acceptable. Ensure all valves have adjustable packing glands. Seats and seals are fabricated from tetrafluoroethylene.

Ensure butterfly valves conform to MSS SP-67 and are the wafer lug type. Ensure valves are rated for 150-psig shutoff and nonshock working pressure. Select bodies of cast ferrous metal conforming to ASTM A126, Class B, and to ASME B16.1 for body wall thickness. Seats and seals are fabricated from resilient elastomer designed for field removal and replacement.

#### 2.4.2 Drain, Vent, and Gage Cocks

Provide lever handle drain, vent, and gage cocks, ground key type, with washer and screw, constructed of polished ASTM B62 bronze, and rated 125-psi wsp. Ensure end connections are rated for specified service pressure.

Ensure pump vent cocks, and where spray control is required, are UL umbrella-hood type, constructed of manufacturer's standard polished brass. Ensure cocks are 1/2-inch ips male, end threaded, and rated at not less than 125 psi at 225 degrees F.

## 2.4.3 Gate Valves (GAV)

Ensure gate valves 2 inches and smaller conform to MSS SP-80. For valves located in tunnels, equipment rooms, factory-assembled equipment, and where indicated use union-ring bonnet, screwed-end type. Make packing of non-asbestos type materials. Use rising stem type valves.

Ensure gate valves 2-1/2 inches and larger, are Type I, (solid wedge disc, tapered seats, steam rated); Class 125 (125-psig steam-working pressure at 353 degrees F saturation); and 200-psig, wog (nonshock), conforming to MSS SP-70 and to requirements specified herein. Select flanged valves, with bronze trim and outside screw and yoke (OS&Y) construction. Make packing of non-asbestos type materials.

# 2.4.4 Globe Valves (GLV)

Ensure globe valves 2 inches and smaller, are 125-pound, 125-psi conforming to MSS SP-80 and to requirements specified herein. For valves located in tunnels, equipment rooms, factory-assembled equipment, and where indicated, use union-ring bonnet, screwed-end type. Ensure disc is free to swivel on the stem in all valve sizes. Composition seating-surface disc construction may be substituted for all metal-disc

construction. Make packing of non-asbestos type materials. Ensure disk and packing are suitable for pipe service installed.

Ensure globe valves, 2-1/2 inches and larger, are cast iron with bronze trim. Ensure valve bodies are cast iron conforming to ASTM A126, Class A, as specified for Class 1 valves under MSS SP-80. Select flanged valves in conformance with ASME B16.1. Valve construction is outside screw and yoke (OS&Y) type. Make packing of non-asbestos type materials.

# 2.4.5 Plug Valve

Plug valves 2 inches and larger shall conform to MSS SP-78, have flanged or threaded ends, and have cast iron bodies with bronze trim. Valves 2 inches and smaller shall be bronze with NPT connections for black steel pipe and brazed connections for copper tubing. Valve shall be lubricated, non-lubricated, or tetrafluoroethylene resin-coated type. Valve shall be resilient, double seated, trunnion mounted with tapered lift plug capable of 2-way shutoff. Valve shall operate from fully open to fully closed by rotation of the handwheel to lift and turn the plug. Valves 8 inches or larger shall be provided with manual gear operators with position indicators.

2.4.6 Water Temperature Mixing Valve

Valve, ASSE 1017 for water service.

2.4.7 Water Temperature Regulating Valves

Provide copper alloy body, direct acting, pilot operated, for the intended service.

2.4.8 Water Pressure Reducing Valve

Valve, ASSE 1003 for water service, copper alloy body.

2.4.9 Pressure Relief Valve

Valve shall prevent excessive pressure in the piping system when the piping system reaches its maximum heat buildup. Valve, ANSI Z21.22/CSA 4.4 and shall have cast iron bodies with corrosion resistant internal working parts. The discharge pipe from the relief valve shall be the size of the valve outlet unless otherwise indicated.

2.4.10 Combination Pressure and Temperature Relief Valves

ANSI Z21.22/CSA 4.4, copper alloy body, automatic re-seating, test lever, and discharge capacity based on AGA temperature steam rating.

2.4.11 Drain Valves

Valves, MSS SP-80 gate valves. Valve shall be manually-operated, 3/4 inch pipe size and above with a threaded end connection. Provide valve with a water hose nipple adapter. Freeze-proof type valves shall be provided in installations exposed to freezing temperatures.

2.4.12 Air Venting Valves

Manually-operated general service type air venting valves, brass or bronze valves that are furnished with threaded plugs or caps. Automatic type air

venting shall be the ball-float type with brass/bronze or brass bodies, 300 series corrosion-resistant steel float, linkage and removable seat. Air venting valves on water coils shall have not less than 1/8 inch threaded end connections. Air venting valves on water mains shall have not less than 3/4 inch threaded end connections. Air venting valves on all other applications shall have not less than 1/2 inch threaded end connections.

## 2.4.13 Vacuum Relief Valves

ANSI Z21.22/CSA 4.4

#### 2.4.14 Nonslam Check Valves (NSV)

Provide check valves at pump discharges in sizes 2 inches and larger with nonslam or silent-check operation conforming to MSS SP-125. Select a valve disc or plate that closes before line flow can reverse to eliminate slam and water-hammer due to check-valve closure. Ensure valve is Class 125 rated for 200-psi maximum, nonshock pressure at 150 degrees F in sizes to 12 inches. Use valves that are fitted with flanges conforming to ASME B16.1. Valve body may be cast iron, or equivalent strength ductile iron. Select disks using manufacturer's standard bronze, aluminum bronze, or corrosion-resistant steel. Ensure pins, springs, and miscellaneous trim are manufacturer's standard corrosion-resistant steel. Disk and shaft seals are Buna-N elastomer tetrafluoroethylene.

# 2.5 Safety Valves

Safety valves shall have steel bodies and shall be equipped with corrosion-resistant trim and valve seats. The valves shall be properly guided and shall be positive closing so that no leakage can occur. Adjustment of the desired back-pressure shall cover the range between 2 and 10 psig. The adjustment shall be made externally, and any shafts extending through the valve body shall be provided with adjustable stuffing boxes having renewable packing. Boiler safety valves of proper size and of the required number, in accordance with ASME BPVC SEC IV, shall be installed so that the discharge will be through piping extended to the nearest mechanical room floor drain, or a location as indicated. Each discharge pipe for hot water service shall be pitched away from the valve seat.

#### 2.6 MISCELLANEOUS MATERIALS

Submit equipment and performance data for miscellaneous materials consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis.

#### 2.6.1 Drain Valves

Indicate the location of each drain valve on the design drawings. Indicate if a drain valve is freeze-proof. Indicate whether a manual or automatic air venting valve. Delete freeze-proof drain valve specification if not required.<

Valve shall be manually-operated, 3/4 inch pipe size and above with a threaded end connection. Provide valve with a water hose nipple adapter. Freeze-proof type valves shall be provided in installations exposed to freezing temperatures.

#### 2.6.2 Bolting

Ensure flange and general purpose bolting is hex-head and conforms to ASTM A307, Grade B (bolts, for flanged joints in piping systems where one or both flanges are cast iron). Heavy hex-nuts conform to ASTM A563. Square-head bolts and nuts are not acceptable. Ensure threads are coarse-thread series.

# 2.6.3 Elastomer Caulk

Use two-component polysulfide- or polyurethane-base elastomer caulking material, conforming to ASTM C920.

# 2.6.4 Escutcheons

Manufacture escutcheons from nonferrous metals and chrome-plated except when AISI 300 series corrosion-resistant steel is provided. Ensure metals and finish conforms to ASME A112.19.2/CSA B45.1.

Use one-piece escutcheons where mounted on chrome-plated pipe or tubing, and one-piece of split-pattern type elsewhere. Ensure all escutcheons have provisions consisting of setscrews for maintaining a fixed position against a surface.

# 2.6.5 Flashing

Ensure sheetlead conforms to ASTM B749, UNS Alloy Number L51121 (for use where lead sheet of high purity and improved structural strength is indicated).

Ensure sheet copper conforms to ASTM B370 and be not less than 16 ounces per square foot weight.

# 2.6.6 Flange Gaskets

Provide compressed non-asbestos sheets, conforming to ASTM F104, coated on both sides with graphite or similar lubricant, with nitrile composition, binder rated to 750 degrees F.

# 2.6.7 Grout

Provide shrink-resistant grout as a premixed and packaged metallic-aggregate, mortar-grouting compound conforming to ASTM C404 and ASTM C476.

Ensure shrink-resistant grout is a combination of pre-measured and packaged epoxy polyamide or amine resins and selected aggregate mortar grouting compound conforming to the following requirements:

Tensile strength		1,900 psi, minimum
Compressive strength	ASTM C109/C109M	14,000 psi, minimum
Shrinkage, linear		0.00012 inch per inch, maximum
Water absorption	ASTM C67/C67M	0.1 percent, maximum
Bond strength to		1,000 psi, minimum steel in shear minimum

# 2.6.8 Pipe Thread Compounds

Use polytetrafluoroethylene paste not less than 2 to 3 mils thick in potable and process water and in chemical systems for pipe sizes to and including 1-inch ips. Use polytetrafluoroethylene dispersions and other suitable compounds for all other applications upon approval by the Contracting Officer; however, do not use lead-containing compounds in potable water systems.

#### 2.7 SUPPORTING ELEMENTS

Submit equipment and performance data for the supporting elements consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis.

Provide all necessary piping systems and equipment supporting elements, including but not limited to: building structure attachments; supplementary steel; hanger rods, stanchions, and fixtures; vertical pipe attachments; horizontal pipe attachments; anchors; guides; and variable, or constant supports. Ensure supporting elements are suitable for stresses imposed by systems pressures and temperatures and natural and other external forces normal to this facility without damage to supporting element system or to work being supported.

Ensure supporting elements conform to requirements of ASME B31.3, and MSS SP-58, except as noted.

Ensure attachments welded to pipe are made of materials identical to that of pipe or materials accepted as permissible raw materials by referenced code or standard specification.

Ensure supporting elements exposed to weather are hot-dip galvanized or stainless steel. Select materials of such a nature that their apparent and latent-strength characteristics are not reduced due to galvanizing process. Electroplate supporting elements in contact with copper tubing with copper.

Type designations specified herein are based on MSS SP-58. Ensure masonry anchor group-, type-, and style-combination designations are in accordance with CID A-A-1922, CID A-A-1923, CID A-A-1924, CID A-A-1925, CID A-A-55614, and CID A-A-55615. Provide support elements, except for supplementary steel, that are cataloged, load rated, commercially manufactured products.

#### 2.7.1 Building Structure Attachments

Use appropriate MSS SP-58 Type anchor or support device required for each application as specified herin.

# 2.7.1.1 Anchor Devices, Concrete and Masonry

Ensure anchor devices conform to CID A-A-1922, CID A-A-1923, CID A-A-1924, CID A-A-1925 , CID A-A-55614, and CID A-A-55615

For cast-in, floor mounted, equipment anchor devices, provide adjustable positions.

Do not use powder-actuated anchoring devices to support any mechanical

systems components.

2.7.1.2 Beam Clamps

Ensure beam clamps are center-loading MSS SP-58 Type 20, 21, 28, 29 and 30.

When it is not possible to use center-loading beam clamps, eccentric-loading beam clamps, MSS SP-58 Type 19, 20, 25 and 27 may be used for piping sizes 2 inches and less and for piping sizes 2 through 10 inches provided two counterbalancing clamps are used per point of pipe support. Where more than one rod is used per point of pipe support, determine rod diameter in accordance with referenced standards.

2.7.1.3 C-Clamps

Do not use C-clamps.

2.7.1.4 Inserts, Concrete

Use concrete MSS SP-58 Type 18 inserts When applied to piping in sizes 2 inches ips and larger and where otherwise required by imposed loads, insert and wire a 1-foot length of 1/2-inch reinforcing rod through wing slots. Submit proprietary-type continuous inserts for approval.

Do not use powder actuated inserts on concrete less than 4 inches thick. Submit locations powder actuated inserts are intended for approval before use.

2.7.2 Horizontal Pipe Attachments

2.7.2.1 Single Pipes

Support piping in sizes to and including 2-inch ips by MSS SP-58 Type 6 solid malleable iron pipe rings, except that, use split-band-type rings in sizes up to 1-inch ips.

Support piping in sizes through 8-inch ips inclusive by MSS SP-58 Type 1, 3 or 4 attachments.

Use MSS SP-58 Type 1 and Type 6 assemblies on vapor-sealed insulated piping and have an inside diameter larger than pipe being supported to provide adequate clearance during pipe movement.

Where thermal movement of a point in a piping system 4 inches and larger would cause a hanger rod to deflect more than 4 degrees from the vertical or where a horizontal point movement exceeds 1/2 inch, use MSS SP-58 Type 41 or 44 through 46 pipe rolls.

Use MSS SP-58 Type 40 shields on all insulated piping. Ensure area of the supporting surface is such that compression deformation of insulated surfaces does not occur. Roll away longitudinal and transverse shield edges from the insulation.

Provide insulated piping without vapor barrier on roll supports with MSS SP-58 Type 39 saddles.

2.7.2.2 Parallel Pipes

Use trapeze hangers fabricated from structural steel shapes, with U-bolts, in congested areas and where multiple pipe runs occur. Ensure structural steel shapes be of commercially available, proprietary design, rolled steel.

#### 2.7.3 Vertical Pipe Attachments

Ensure vertical pipe attachments are MSS SP-58 Type 8.

Include complete fabrication and attachment details in shop drawings.

2.7.4 Hanger Rods and Fixtures

Use only circular cross section rod hangers to connect building structure attachments to pipe support devices. Use pipe, straps, or bars of equivalent strength for hangers only where approved by the Contracting Officer.

Provide turnbuckles, swing eyes, and clevises as required by support system to accommodate temperature change, pipe accessibility, and adjustment for load and pitch. Rod couplings are not acceptable.

#### 2.7.5 Supplementary Steel

Where it is necessary to frame structural members between existing members or where structural members are used in lieu of commercially rated supports, design and fabricate such supplementary steel in accordance with AISC 325.

## PART 3 EXECUTION

### 3.1 PIPE INSTALLATION

Install black steel schedule 40 steel pipe with threaded joints and fittings for 2 inches and smaller and with flanged or welded joints for 2 1/2 inches and larger.

Install Type K, drawn copper tubing with wrought copper fittings and solder joints for condensate drain piping, above ground, within building.

Submit certificates for pipes, valves and specialties showing conformance with test requirements as contained in the reference standards contained in this section. Provide certificates verifying Surface Resistance, Shear and Tensile Strengths, Temperature Ratings, Bending Tests, Flattening Tests and Transverse Guided Weld Bend Tests.

Provide test reports for Hydrostatic Tests, Air Tests, Valve-Operating Tests, Drainage Tests, Pneumatic Tests, Non-Destructive Electric Tests and System Operation Tests, in compliance with referenced standards contained within this section.

Fabricate and install piping systems in accordance with ASME B31.3, MSS SP-58, and AWS WHB-2.9.

Submit Installation Drawings for pipes, valves and specialties. Drawings include the manufacturer's design and construction calculations, forces required to obtain rated axial, lateral, or angular movements, installation criteria, anchor and guide requirements for equipment, and

equipment room layout and design. Ensure drawings specifically advise on procedures to be followed and provisions required to protect expansion joints during specified hydrostatic testing operations.

Ensure connections between steel piping and copper piping are electrically isolated from each other with dielectric couplings (or unions) rated for the service.

Make final connections to equipment with unions or flanges provided every 100 feet of straight run. Provide unions in the line downstream of screwed- and welded-end valves.

Ream all pipe ends before joint connections are made.

Make screwed joints with specified joint compound with not more than three threads showing after joint is made up.

Apply joint compounds to the male thread only and exercise care to prevent compound from reaching the unthreaded interior of the pipe.

Provide screwed unions, welded unions, or bolted flanges wherever required to permit convenient removal of equipment, valves, and piping accessories from the piping system for maintenance.

Securely support piping systems with due allowance for thrust forces, thermal expansion and contraction. Do not subject the system to mechanical, chemical, vibrational or other damage as specified in ASME B31.3.

Ensure field welded joints conform to the requirements of the AWS WHB-2.9, ASME B31.3, and ASME BPVC SEC IX.

Make piping systems full penetration butt weld joints with backing rings. Use compatible backing ring materials with materials being joined. Ensure joint configuration conforms to ASME B16.25.

Take all necessary precautions during installation of flexible pipe and hose including flushing and purging with water, steam, and compressed air to preclude bellows failure due to pipe line debris lodged in bellows. Ensure installation conforms to manufacturer's instructions.

# 3.2 VALVES

Provide valves in piping mains and all branches and at equipment where indicated and as specified. Provide ball valves for applications 2 inches and smaller applications, and butterfly valave for applications 2 1/2 inches and larger.

Provide valves to permit isolation of branch piping and each equipment item from the balance of the system.

Provide riser and downcomer drains above piping shutoff values in piping 2-1/2 inches and larger. Tap and fit shutoff value body with a 1/2-inch plugged globe value.

Provide valves unavoidably located in furred or other normally inaccessible places with access panels adequately sized for the location and located so that concealed items may be serviced, maintained, or replaced.

#### 3.3 AIR VENTS

Air vents shall be provided at all high points, on all unit heater water coils, and where indicated to ensure adequate venting of the piping system.

## 3.4 DRAINS

Drains shall be provided at all low points and where indicated to ensure complete drainage of the piping. Drains shall be accessible, and shall consist of nipples and caps or plugged tees unless otherwise indicated.

## 3.5 FLEXIBLE PIPE CONNECTORS

Connectors shall be attached to components in strict accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Hangers, when required to suspend the connectors, shall be of the type recommended by the flexible pipe connector manufacturer and shall be provided at the intervals recommended.

# 3.6 TEMPERATURE GAUGES

Temperature gauges shall be located on supply and return piping at each heat exchanger, on condenser water piping entering and leaving a condenser, at each automatic temperature control device without an integral thermometer, and where indicated or required for proper operation of equipment. Thermal wells for insertion thermometers and thermostats shall extend beyond thermal insulation surface not less than 1 inch.

#### 3.7 SUPPORTING ELEMENTS INSTALLATION

Provide supporting elements in accordance with the referenced codes and standards.

Support piping from building structure. Do not support piping from roof deck or from other pipe.

Run piping parallel with the lines of the building. Space and install piping and components so that a threaded pipe fitting may be removed between adjacent pipes and so that there is no less than 1/2 inch of clear space between the finished surface and other work and between the finished surface of parallel adjacent piping. Arrange hangars on different adjacent service lines running parallel with each other in line with each other and parallel to the lines of the building.

Install piping support elements at intervals specified hereinafter, at locations not more than 3 feet from the ends of each runout, and not over 1 foot from each change in direction of piping.

Base load rating for all pipe-hanger supports on insulated weight of lines filled with water and forces imposed. Deflection per span is not exceed slope gradient of pipe. Ensure supports are in accordance with the following minimum rod size and maximum allowable hanger spacing for specified pipe. For concentrated loads such as valves, reduce the allowable span proportionately:

PIPE SIZE <u>INCHES</u>	ROD SIZE <u>INCHES</u>	STEEL PIPE <u>FEET</u>	COPPER PIPE <u>FEET</u>
1 and smaller	3/8	8	б
1-1/4 to 1-1/2	3/8	10	8
2	3/8	10	8
2-1/2 to 3-1/2	1/2	12	12
4 to 5	5/8	16	14
6	3/4	16	16

Provide vibration isolation supports where needed.

Support vertical risers independently of connected horizontal piping, whenever practicable, with fixed supports at the base and at intervals to accommodate system range of thermal conditions. Ensure risers have guides for lateral stability. For risers subject to expansion, provide only one rigid support at a point approximately one-third down from the top. Place clamps under fittings unless otherwise specified. Support carbon-steel pipe at each floor and at not more than 15-foot intervals for pipe 2 inches and smaller and at not more than 20-foot intervals for pipe 2-1/2 inches and larger.

## 3.8 PENETRATIONS

Provide effective sound stopping and adequate operating clearance to prevent structure contact where piping penetrates walls, floors, or ceilings into occupied spaces adjacent to equipment rooms; where similar penetrations occur between occupied spaces; and where penetrations occur from pipe chases into occupied spaces. Occupied spaces include space above ceilings where no special acoustic treatment of ceiling is provided. Finish penetrations to be compatible with surface being penetrated.

## 3.9 SLEEVES

Provide sleeves where piping passes through roofs, masonry, concrete walls and floors.

Continuously weld sleeves passing through steel decks to the deck.

Ensure sleeves that extend through floors, roofs, load bearing walls, and fire barriers are continuous and fabricated from Schedule 40 steel pipe, with welded anchor lugs. Form all other sleeves by molded linear polyethylene liners or similar materials that are removable. Ensure diameter of sleeves is large enough to accommodate pipe, insulation, and jacketing without touching the sleeve and provides a minimum 3/8-inch clearance. Install a sleeve size to accommodate mechanical and thermal motion of pipe precluding transmission of vibration to walls and the generation of noise.

Pack the space between a pipe, bare or insulated, and the inside of a pipe sleeve or a construction surface penetration solid with a mineral fiber conforming to ASTM C553 Type V (flexible blanket), (to 1,000 degrees F).

Provide this packing wherever the piping passes through firewalls, equipment room walls, floors, and ceilings connected to occupied spaces, and other locations where sleeves or construction-surface penetrations occur between occupied spaces. Where sleeves or construction surface penetrations occur between conditioned and unconditioned spaces, fill the space between a pipe, bare or insulated, and the inside of a pipe sleeve or construction surface penetration with an elastomer caulk to a depth of 1/2 inch. Ensure all caulked surfaces are oil- and grease-free.

Ensure through-penetration fire stop materials and methods are in accordance with ASTM E814 and UL 1479.

Caulk exterior wall sleeves watertight with lead and oakum or mechanically expandable chloroprene inserts with mastic-sealed metal components.

Ensure sleeve height above roof surface is a minimum of 12 and a maximum of 18-inches.

# 3.10 ESCUTCHEONS

Provide escutcheons at all penetrations of piping into finished areas. Where finished areas are separated by partitions through which piping passes, provide escutcheons on both sides of the partition. Where suspended ceilings are installed, provide plates at the underside only of such ceilings. For insulated pipes, select plates large enough to fit around the insulation. Use chrome-plated escutcheons in all occupied spaces and of size sufficient to effectively conceal openings in building construction. Firmly attach escutcheons with setscrews.

#### 3.11 FLASHINGS

Provide flashings at penetrations of building boundaries by mechanical systems and related work.

## 3.12 HEAT TRACE CABLE INSTALLATION

Field apply heater tape and cut to fit as necessary, linearly along the length of pipe after piping has been pressure tested and approved by the Contracting Officer. Secure the heater to piping with cable ties . Label thermal insulation on the outside, "Electrical Heat Trace."

Install power connection, end seals, splice kits and tee kit components in accordance with IEEE 515 to provide a complete workable system. Terminate connection to the thermostat and ends of the heat tape in a junction box. Ensure cable and conduit connections are raintight.

#### 3.13 DISINFECTION

Disinfect water piping, including all valves, fittings, and other devices, with a solution of chlorine and water. Ensure the solution contains not less than 50 parts per million (ppm) of available chlorine. Hold solution for a period of not less than 8 hours, after which the solution contains not less than 10 ppm of available chlorine or redisinfect the piping. After successful sterilization, thoroughly flush the piping before placing into service. Flushing is complete when the flush water contains less than 0.5 ppm of available chlorine. Water for disinfected will be furnished by the Government. Approve disposal of contaminated flush water in accordance with written instructions received from the Environmental authority having jurisdiction through the Contracting Officer and all local, State and Federal Regulations.

Flush all piping with potable water until visible grease, dirt and other contaminants are removed (visual inspection).

## 3.14 HEAT TRACE CABLE TESTS

Test heat trace cable system in accordance with IEEE 515 after installation and before and after installation of the thermal insulation. Test heater cable using a 1000 vdc megger. Minimum insulation resistance is 20 to 1000 megohms regardless of cable length.

## 3.15 OPERATION AND MAINTENANCE

Provide Operation and Maintenance Manuals consistent with manufacturer's standard brochures, schematics, printed instructions, general operating procedures and safety precautions. Submit test data that is clear and readily legible.

3.16 PAINTING OF NEW EQUIPMENT

Factory or shop apply new equipment painting, as specified herein, and provided under each individual section.

# 3.16.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied withstands 125 hours in a salt-spray fog test, except that equipment located outdoors withstand 500 hours in a salt-spray fog test. Conduct salt-spray fog test is in accordance with ASTM B117, and for that test the acceptance criteria is as follows: immediately after completion of the test, the inspected paint shows no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen shows no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

Ensure the film thickness of the factory painting system applied on the equipment is not less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, design the factory painting system for the temperature service.

#### 3.16.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal, surfaces subject to temperatures in excess of 120 degrees F.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Selected color of finish coat is aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F receives one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.

- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F Receives two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.
- c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F receives two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

-- End of Section --

SECTION 23 05 48.19

# BRACING FOR HVAC 05/18, CHG 2: 08/20

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 355.2	(2007) Qualification of Post-Installed		
	Mechanical Anchors in Concrete and		
	Commentary		

ACI 355.4 (2011) Qualification of Post-Installed Adhesive Anchors in Concrete (ACI 355.4) and Commentary

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325 (2017) Steel Construction Manual

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16 (2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C105/A21.5	(2018) Polyethylene Encasement for Ductile-Iron Pipe Systems
AWWA C116/A21.16	(2015) Protective Fusion-Bonded Coatings for the Interior and Exterior Surfaces of Ductile-Iron and Gray Iron Fittings
AWWA C153/A21.53	(2019) Ductile-Iron Compact Fittings for Water Service
AWWA C213	(2015) Fusion-Bonded Epoxy Coating for the Interior and Exterior of Steel Water Pipelines

#### ASTM INTERNATIONAL (ASTM)

ASTM A153/A153M	(2016a) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A325	(2014) Standard Specification for Structural Bolts, Steel, Heat Treated,

West Point, NY Lincoln Hall Revised RTA Submissio	Contract #W912DS19C0031 1 March 2023	
	120/105 ksi Minimum Tensile Strength	
ASTM A490	(2014a) Standard Specification for Structural Bolts, Alloy Steel, Heat Treated, 150 ksi Minimum Tensile Strength	
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings	
ASTM A563	(2015) Standard Specification for Carbon and Alloy Steel Nuts	
ASTM D1785	(2015; E 2018) Standard Specification for Poly(Vinyl Chloride) (PVC), Plastic Pipe, Schedules 40, 80, and 120	
ASTM D2665	(2014) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings	
ASTM E488/E488M	(2015) Standard Test Methods for Strength of Anchors in Concrete and Masonry Elements	
ASTM F891	(2016) Standard Specification for Coextruded Poly (Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core	
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength	
FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA)		
FEMA P-414	(January 2004) Installing Seismic Restraints for Duct and Pipe	
ICC EVALUATION SERVICE,	INC. (ICC-ES)	
ICC ES AC193	(2012) Acceptance Criteria for Mechanical Anchors in Concrete Elements	
NSF INTERNATIONAL (NSF)		
NSF/ANSI 61	(2020) Drinking Water System Components - Health Effects	
SHEET METAL AND AIR CONI (SMACNA)	DITIONING CONTRACTORS' NATIONAL ASSOCIATION	
SMACNA 1981	(2008) Seismic Restraint Manual Guidelines for Mechanical Systems, 3rd Edition	
U.S. DEPARTMENT OF DEFEN	NSE (DOD)	
UFC 3-301-01	(2019) Structural Engineering	
UFC 3-301-02	(2020) Design of Risk Category V Structures, National Strategic Military Assets	

UFC 4-010-01 (2018; with Change 1, 2020) DoD Minimum Antiterrorism Standards for Buildings

VIBRATION ISOLATION AND SEISMIC CONTROL MANUFACTURERS ASSOCIATION (VISCMA)

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VISCMA 412
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(2014) Installing Seismic Restraints for Mechanical Equipment

#### 1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

Apply the requirements for bracing measures described in this section and on the drawings to the mechanical equipment and mechanical systems both inside and outside of the building along with exterior utilities and systems listed below. Where there is a conflict between the specifications and the drawings, the specifications will take precedence. Accomplish resistance to lateral forces induced by earthquakes without consideration of friction resulting from gravity loads.

1.2.2 Contractor Designed Bracing

Submit copies of the design calculations with the drawings. Calculations must be approved, certified, stamped and signed by a registered Professional Structural Engineer. Calculations must verify the capability of structural members to which bracing is attached for carrying the load from the brace. Design the bracing in accordance with UFC 3-301-01, UFC 3-301-02, UFC 4-010-01. Resistance to lateral forces induced by earthquakes must be accomplished without consideration of friction resulting from gravity loads. UFC 3-301-01 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas must be required. Loadings determined using UFC 3-301-01 are based on strength design; therefore, AISC 325 Specifications must be used for the design. The bracing for the mechanical equipment designated in paragraph 1.2.2 and systems designated in paragraph 1.2.3 must be developed by the Contractor.

#### 1.2.3 Items Not Covered By This Section

## 1.2.3.1 Fire Protection Systems

Install bracing of piping for fire protection systems as specified in 21 13 13 WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION, .

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval.for information only. When used, a code following the "G" classification identifies the Resident Office tmust review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings; G, RO

Coupling and Bracing; G, RO

Flexible Couplings or Joints; G, RO

Equipment Restraint; G, RO

Contractor Designed Bracing; G, RO

SD-03 Product Data

Coupling and Bracing; G, RO

Flexible Couplings Or Joints; G, RO

Equipment Restraint; G, RO

Contractor Designed Bracing; G, RO

Anchor Bolts; G, RO

Vibration Isolators; G, RO

SD-05 Design Data

Design Calculations; G, RO

SD-06 Test Reports

Anchor Bolts; G, RO

# PART 2 PRODUCTS

#### 2.1 GENERAL DESIGN REQUIREMENTS

Submit detailed bracing restraint drawings for mechanical equipment, duct systems, piping systems and any other mechanical systems along with calculations, catalog cuts, templates, and erection and installation details, as appropriate, for the items listed below. Indicate thickness, type, grade, class of metal, and dimensions; and show construction details, reinforcement, anchorage, and installation with relation to the building construction. Calculations must be stamped, by a registered structural engineer. Design must be based on actual equipment and system layout. Design must include calculated dead loads, static bracing loads and capacity of materials utilized for the connection of the equipment or system to the structure. Analysis must detail anchoring methods.

Bracing specified in this section must comply with UFC 4-010-01 DoD Minimum Antiterrorism Standards for Buildings, paragraph 3-20 Standard 19. Equipment BracingEquipment mounted overhead weighing 31 pounds (14 kilograms) or more (excluding distributed systems such as suspended ceilings that collectively exceed that weight) must be mounted using either rigid or flexible systems as described in this section.

Mount all such systems so that they resist forces of 0.5 times the component weight in any horizontal direction and 1.5 times the component weight in the downward direction. This standard does not reclude the need to design architectural feature mountings for forces required by other criteria.

# 2.2 EQUIPMENT RESTRAINT

Equipment must be rigidly or flexibly mounted as indicated in the specifications and/or drawings depending on vibration isolation requirements as follows below.

Roof mounted equipment such as upblast exhaust fans, both vibration isolated and nonisolated, must have support members designed and anchored to building structural steel or concrete as required for seismic restraint and wind loads.

## 2.2.1 Rigidly (Base and Suspended) Mounted Equipment

HVAC equipment furnished under this contract must be rigidly mounted using cast-in-place anchor bolts or post-installed anchors that are qualified for earthquake loading in accordance with ACI 355.2 and ACI 355.4. Anchor bolts must conform to ASTM F1554. For any rigid equipment which is rigidly anchored, provide flexible joints for piping, ductwork, electrical conduit, etc., that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions. Suspended equipment bracing attachments should be located just above the center of gravity to minimize swinging. Use the ratio of the overturning moment from seismic forces to the resisting moment due to gravity loads to determine if overturning forces need to be considered in the sizing of anchor bolts. Provide calculations to verify the adequacy of the anchor bolts for combined shear and overturning.

Roof mounted HVAC equipment roof curbs, framing and attachment to equipment and structure must be designed and braced to withstand seismic loads.  $\ .$ 

# 2.2.2 Nonrigid or Flexibly-Mounted Equipment

Select vibration isolation devices so that the maximum movement of equipment from the static deflection point is 1/4 inch. Equipment flexibly mounted on vibration isolators must have a bumper restraint or snubber in each horizontal direction and vertical restraints must be provided where required to resist overturning. Isolator housing and restraints must be constructed of ductile materials. A viscoelastic pad or similar material of appropriate thickness must be used between the bumper and components to limit the impact load. Restraints must be designed to resist the calculated horizontal lateral and vertical forces.

Spring vibration isolators must be restrained isolators for equipment subject to load variations and large external forces. The housing must be sized to meet or exceed the force requirements applicable to the project and meet the required isolation criteria. Spring vibration isolator manufacturer's will be a member of VISCMA. Design force, Fp, must be doubled for vibration isolators with an air gap greater than 0.25 inches as specified in ASCE 7-16, Chapter 13.

# 2.3 BOLTS AND NUTS

Hex head bolts, and heavy hexagon nuts must be ASTM A325 or ASTM A490 bolts and ASTM A563 nuts. Provide bolts and nuts galvanized in accordance with ASTM A153/A153M when used underground or exposed to weather.

# 2.4 FLEXIBLE JOINTS

Flexible joints must have same pressure and temperature ratings as adjoining pipe. Braided hoses must not be used where there is torsional or axial movement unless manufacturer allows it.

# 2.4.1 Braided Hose Expansion Joint

Braided hose expansion joint(s) must be installed in the locations indicated on the drawings and as required to accommodate any thermal expansion, contraction of the piping system. Joints must consist of two parallel sections of corrugated metal hose, compatible braid, and 180 degree return bend with inlet and outlet connections. Field fabricated loops are not acceptable. Braided hose expansion joint(s) must be installed in the locations indicated on the drawings and as required to accommodate any thermal expansion, contraction of the piping system. Joints must consist of two parallel sections of corrugated metal hose, compatible braid, and 180 degree return bend with inlet and outlet connections. Field fabricated loops must not be acceptable. Braided hose in a 60 degree flexible V loop arrangement must be used for small diameter pipe connections to coils in variable-air-volume (VAV)terminal units and fan coil units installed in suspended ductwork whether braced or unbraced.

All braided hose expansion joints must be manufactured in accordance with the documented manufacturers weld procedure specifications. The procedure qualification record must be used to document the execution of this procedure and must follow the general "guidelines" of ASME Section IX. Each individual welder must conform to the in-house procedure qualification record and be qualified prior to each production lot. The testing of each individual welder must be documented in a welding procedure qualification record.

# 2.4.1.1 Corrugated Hose

Corrugated hose must be Type 316 stainless steel. Braid must be Type 304 stainless steel for any series 300 stainless steel hose . Fittings materials of construction and end fitting type must be consistent with pipe material and equipment/ pipe connection fittings. Copper fittings must not be attached to stainless steel hose.

#### 2.4.1.2 Flexible Hose Expansion Loops

Flexible hose expansion loops must have a factory supplied, hanger / support lug located at the bottom of the 180deg return. Flexible hose expansion loop(s) must be furnished with a plugged FPT to be used for a drain or air release vent. Flexible hose expansion loop(s) must be rated with an operating pressure which is the same as the adjoining pipe. The operating pressure must be based on burst pressure with a 4 to 1 safety factor.

# 2.4.2 Double Ball Flexible Expansion Joint

Install flexible expansion joints manufactured of ductile iron conforming to the material requirements of ASTM A536 and AWWA C153/A21.53 in the locations indicated on the drawings. Provide foundry certification of material upon request. Each flexible expansion joint must be pressure tested prior to shipment against its own restraint to a minimum of 350 psi (250 psi for flexible expansion joints 2 inch and 30 inches diameter and larger.) A minimum 2:1 safety factor, determined from the published

pressure rating, must apply. Factory Mutual Approval for the 3 inch through 12 inch sizes is required. Each flexible expansion joint must consist of an expansion joint designed and cast as an integral part of a ball and socket type flexible joint, having a minimum per ball deflection of: 20°, 2" - 12"; 15°, 14" - 36"; 12°, 42"-48" and 4-inches minimum expansion. Additional expansion sleeves must be available and easily added or removed at the factory or in the field. Both standardized mechanical joint and flange end connections must be available.

# 2.4.2.1 Internal Surfaces

Line all internal surfaces (wetted parts) with a minimum of 15 mils of fusion bonded epoxy conforming to the applicable requirements of AWWA C213. Sealing gaskets must be constructed of EPDM. The coating must meet NSF/ANSI 61.

# 2.4.2.2 Exterior Surfaces

Coat exterior surfaces with a minimum of 6 mils of fusion bonded epoxy conforming to the applicable requirements of AWWA C116/A21.16. Include appropriately sized polyethylene sleeves, meeting AWWA C105/A21.5, for direct buried applications.

2.4.3 Double Ball Flexible Expansion Joint Gravity Drain (Non-Pressurized)

Flexible expansion joints gravity drain must be installed in the locations indicated on the drawings and must be manufactured of pvc. All connections whether solvent weld or mechanical must be restrained to allow movement to be transferred to expansion joint. Each ball must allow up to 15 degrees deflection.

End connection outside diameters must be compatible with ASTM D1785, ASTM D2665 and ASTM F891 PVC pipe and are to be solvent welded.

## PART 3 EXECUTION

## 3.1 COUPLING AND BRACING

- a. Submit detail drawings, as specified here and throughout this specification, along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals must be complete in detail; must indicate thickness, type, grade, class of metal, and dimensions; and must show construction details, reinforcement, anchorage, and installation with relation to the building construction.
- b. Provide coupling installation conforming to the details shown on the drawings. Provisions of this paragraph apply to all piping within a 5 foot line around outside of building unless buried in the ground. Piping grouped for support on trapeze-type hangers must be braced at the most frequent interval as determined by applying the requirements of this specification to each piping run on the common support.
- c. Size bracing components as required for the total load carried by the common supports. Bracing rigidly attached to pipe flanges, or similar, must not be used where it would interfere with thermal expansion of piping.
- d. Adjust isolators and restraints after piping systems has been filled

and equipment is at its operating weight, following the manufacturer's written instructions.

- e. Install cables at a 45-degree slope. Where interference is present, the slope may be minimum of 30 degrees or a maximum of 60 degrees per VISCMA 412.
- 3.2 FLEXIBLE COUPLINGS OR JOINTS

#### 3.2.1 Building Piping

Provide flexible couplings or joints in building piping at bottom of all pipe risers for pipe larger than 3-1/2 inches in diameter. Laterally brace flexible couplings or joints without interfering with the action of the flexible coupling or joint. Cast iron waste and vent piping need only comply with these provisions when caulked joints are used. Flexible bell and spigot pipe joints using rubber gaskets may be used at each branch adjacent to tees and elbows for underground waste piping inside of building to satisfy these requirements.

# 3.2.2 Underground Piping

Install flexible coupling in underground piping and 4 inch or larger conduit, except heat distribution system, where the piping enters the building. Provide couplings that accommodate 2 inches of relative movement between the pipe and the building in any direction. Provide additional flexible couplings where shown on the drawings.

# 3.3 PIPE SLEEVES

Size pipe sleeves in interior non-fire rated walls as indicated on the drawings to provide clearances that will permit differential movement of piping without the piping striking the pipe sleeve. Pipe sleeves in fire rated walls must conform to the requirements in Section 07 84 00 FIRESTOPPING.

## 3.4 BRACES FOR PIPING

Provide braces to prevent movement of the pipes . Provide braces in both the longitudinal and transverse directions, relative to the axis of the pipe. Provide sufficient braces for equipment to resist a horizontal force as specified in UFC 3-301-01 without exceeding safe working stress of bracing components. Provide bracing that does not interfere with thermal expansion requirements for the pipes as described in other sections of these specifications. For seismic analysis of horizontal pipes, the equivalent static force should be considered to act concurrently with the full dead load of the pipe, including contents.

#### 3.4.1 Vertical Runs

Run is defined as length of pipe between end joints. Do not brace vertical runs of piping no more than 10 foot vertical intervals. Braces for vertical runs must be above the center of gravity of the segment being braced. Flexible couplings should be provided at the bottoms of risers for pipes larger than 3.5 in. (89 mm) in diameter. Flexible couplings and expansion joints should be braced laterally and longitudinally unless such bracing would interfere with the action of the couplings or joints. When pipes enter buildings, flexible couplings should be provided to allow for relative movement between the soil and building. Attach sway braces to

the structural system. Do not connect to branch lines, walls, or floors.

# 3.4.2 Clamps and Hangers

Apply clamps or hangers on uninsulated pipes directly to pipe. Insulated piping must have clamps or hangers applied over insulation in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

Hanger rod stiffener angle or strut bracing must be securely attached by a series of attachment clamps manufactured from a one piece metal stamping and must include all require attachment hardware and locking nuts. Attachment clamps made from aluminum or cast iron must not be used in bracing applications. Do not weld vertical braces to hanger rods.

#### 3.5 BRACES FOR DUCTS

#### 3.5.1 Braced Ducts

Provide bracing details and spacing for rectangular and round ducts in accordance with SMACNA 1981. However, the design seismic loadings for these items must not be less than loadings obtained using the procedures in UFC 3-301-01. Bracing must not attach to duct joints. Use shortest screws possible when penetrating ductwork to minimize airflow noise inside duct.

# 3.5.2 Unbraced Ducts

Attach hangers for unbraced ducts to the duct within 2 inches of the top of the duct with a minimum of two #10 sheet metal screws in accordance with FEMA P-414. Use shortest screws possible when penetrating ductwork to minimize airflow noise inside duct. Install unbraced ducts with a 6 inch minimum clearance to vertical ceiling hanger wires.

#### 3.6 EQUIPMENT

## 3.6.1 General

Ensure housekeeping pads have adequate space to mount equipment and vibration isolation restraint devices allowing adequate edge distance and embedment depth for restraint anchor bolts. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Install neoprene grommet washers or till the gap with epoxy on equipment anchor bolts where clearance between anchor and equipment support hole exceeds 0.125 inches.

#### 3.7 ANCHOR BOLTS

## 3.7.1 Cast-in-Place Anchor Bolts

Use templates to locate cast-in-place bolts accurately and securely in formwork. Anchor bolts must have an embedded straight length equal to at least 12 times nominal diameter of the bolt. Anchor bolts that exceed the normal depth of equipment foundation piers or pads must either extend into concrete floor or the foundation or be increased in depth to accommodate bolt lengths.

# 3.7.2 Drilled-In Anchor Bolts

Drill holes with rotary impact hammer drills Drill bits must be of diameters as specified by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes must be drilled perpendicular to the concrete surface. Where anchors are permitted to be installed in cored holes, use core bits with matched tolerances as specified by the manufacturer. Properly clean cored hole per manufacturer's instructions. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Exercise care in coring or drilling to avoid damaging existing reinforcing or embedded items. Notify the COR if reinforcing steel or other embedded items are encountered during drilling. Take precautions as necessary to avoid damaging prestressing tendons, electrical and telecommunications conduit, and gas lines. Unless otherwise specified, do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Perform anchor installation in accordance with manufacturer instructions.

# 3.7.2.1 Wedge Anchors, Heavy-Duty Sleeve Anchors, and Undercut Anchors

Protect threads from damage during anchor installation. Heavy-duty sleeve anchors must be installed with sleeve fully engaged in part to be fastened. Set anchors to manufacturer's recommended torque, using a torque wrench. Following attainment of 10% of the specified torque, 100% of the specified torque must be reached within 7 or fewer complete turns of the nut. If the specified torque is not achieved within the required number of turns, the anchor must be removed and replaced unless otherwise directed by the Engineer.

# 3.7.2.2 Cartridge Injection Adhesive Anchors

Where approved for bracing and anchoring application, clean all holes per manufacturer instructions to remove loose material and drilling dust prior to installation of adhesive. Inject adhesive into holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive. Follow manufacturer recommendations to ensure proper mixing of adhesive components. Sufficient adhesive must be injected in the hole to ensure that the annular gap is filled to the surface. Remove excess adhesive from the surface. Shim anchors with suitable device to center the anchor in the hole. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

## 3.7.2.3 Capsule Anchors

Where approved for bracing and anchoring application, perform drilling and setting operations in accordance with manufacturer instructions. Clean all holes to remove loose material and drilling dust prior to installation of adhesive. Remove water from drilled holes in such a manner as to achieve a surface dry condition. Capsule anchors must be installed with equipment conforming to manufacturer recommendations. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

Observe manufacturer recommendations with respect to installation temperatures for cartridge injection adhesive anchors and capsule anchors.

# 3.8 ANCHOR BOLT TESTING

Test in place expansion and chemically bonded anchors not more than 24

hours after installation of the anchor, conducted by an independent testing agency; testing must be performed on random anchor bolts as described below.

## 3.8.1 Torque Wrench Testing

Perform torque wrench testing on not less than 50 percent of the total installed applied torque expansion anchors and at least one anchor for every piece of equipment containing more than two anchors. The test torque must equal the minimum required installation torque as required by the bolt manufacturer. Calibrate torque wrenches at the beginning of each day the torque tests are performed. Recalibrate torque wrenches for each bolt diameter whenever tests are run on bolts of various diameters. Apply torque between 20 and 100 percent of wrench capacity. Reach the test torque within one half turn of the nut, except for 3/8 inch sleeve anchors which must reach their torque by one quarter turn of the nut. If any anchor fails the test, test similar anchors not previously tested until 20 consecutive anchors pass. Failed anchors must be retightened and retested to the specified torque; if the anchor still fails the test it must be replaced.

# 3.8.2 Pullout Testing

Test expansion and chemically bonded anchors by applying a pullout load using a hydraulic ram attached to the anchor bolt. Testing must be in accordance with ASTM E488/E488M or ICC ES AC193. At least 10 percent of each type and size of anchors, but not less than 3 per day must be tested. Apply the load to the anchor without removing the nut; when that is not possible, the nut must be removed and a threaded coupler must be installed of the same tightness as the original nut. Check the test setup to verify that the anchor is not restrained from withdrawing by the baseplate, the test fixture, or any other fixtures. The support for the testing apparatus must be at least 1.5 times the embedment length away from the bolt being tested. Load each tested anchor to 1 times the design tension value for the anchor. The anchor must have no observable movement at the test load. If any anchor fails the test, similar type and size anchors not previously tested must be tested until 10 percent of those type consecutive anchors pass. Remove and replace failed anchors. Fill empty anchor holes and patch failed anchor locations with high-strength non-shrink, nonmetallic grout.

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## SECTION 23 05 93

# TESTING, ADJUSTING, AND BALANCING FOR HVAC \$11/15\$

## PART 1 GENERAL

## 1.1 RELATED REQUIREMENTS

Equipment or systems specified in this section are part of the commissioning process. Refer to Section 01 91 00.15 10, TOTAL BUILDING COMMISSIONING for additional requirements.

# 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ACOUSTICAL SOCIETY OF AMERICA (ASA)

ASA S1.4	(1983; Amendment 1985; R 2006) Specification for Sound Level Meters (ASA 47)	
ASA S1.11 PART 1	(2014) American National Standard Electroacoustics - Octave-Band and Fractional-Octave-Band Filters - Part 1: Specifications	
AIR MOVEMENT AND CONTRO	DL ASSOCIATION INTERNATIONAL, INC. (AMCA)	
AMCA 203	(1990; R 2011) Field Performance Measurements of Fan Systems	
AMERICAN SOCIETY OF HEA ENGINEERS (ASHRAE)	ATING, REFRIGERATING AND AIR-CONDITIONING	
ASHRAE 62.1	(2010) Ventilation for Acceptable Indoor Air Quality	
ASHRAE HVAC APP IP HDBK	(2016) HVAC Applications Handbook, I-P Edition	
ASSOCIATED AIR BALANCE COUNCIL (AABC)		
AABC MN-1	(2002; 6th ed) National Standards for Total System Balance	
AABC MN-4	(1996) Test and Balance Procedures	
NATIONAL ENVIRONMENTAL	BALANCING BUREAU (NEBB)	
NEBB MASV	(2006) Procedural Standards for Measurements and Assessment of Sound and Vibration	
NEBB PROCEDURAL STANDARDS	(2015) Procedural Standards for TAB	

(Testing, Adjusting and Balancing) Environmental Systems

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1780	(2002) HVAC Systems - Testing, Adjusting and Balancing, 3rd Edition
SMACNA 1858	(2004) HVAC Sound And Vibration Manual - First Edition
SMACNA 1972 CD	(2012) HVAC Air Duct Leakage Test Manual - 2nd Edition

## 1.3 DEFINITIONS

- a. AABC: Associated Air Balance Council
- b. COTR: Contracting Officer's Technical Representative
- c. DALT: Duct air leakage test
- d. DALT'd: Duct air leakage tested
- e. HVAC: Heating, ventilating, and air conditioning; or heating, ventilating, and cooling
- f. NEBB: National Environmental Balancing Bureau
- g. Out-of-tolerance data: Pertains only to field acceptance testing of Final DALT or TAB report. When applied to DALT work, this phase means "a leakage rate measured during DALT field acceptance testing which exceeds the leakage rate allowed by SMACNA Leak Test Manual for an indicated duct construction and sealant class." When applied to TAB work this phase means "a measurement taken during TAB field acceptance testing which does not fall within the range of plus 5 to minus 5 percent of the original measurement reported on the TAB Report for a specific parameter." For design air flows of less than 50 CFM, the range must be plus 10% to minus 10%.
- h. Season of maximum heating load: The time of year when the outdoor temperature at the project site remains within plus or minus 30 degrees Fahrenheit of the project site's winter outdoor design temperature, throughout the period of TAB data recording.
- Season of maximum cooling load: The time of year when the outdoor temperature at the project site remains within plus or minus 5 degrees Fahrenheit of the project site's summer outdoor design temperature, throughout the period of TAB data recording.
- j. Season 1, Season 2: Depending upon when the project HVAC is completed and ready for TAB, Season 1 is defined, thereby defining Season 2. Season 1 could be the season of maximum heating load, or the season of maximum cooling load.
- k. Sound measurements terminology: Defined in AABC MN-1, NEBB MASV, or SMACNA 1858 (TABB).

- 1. TAB: Testing, adjusting, and balancing (of HVAC systems)
- m. TAB'd: HVAC Testing/Adjusting/Balancing procedures performed
- n. TAB Agency: TAB Firm
- o. TAB team field leader: TAB team field leader
- p. TAB team supervisor: TAB team engineer
- q. TAB team technicians: TAB team assistants
- r. TABB: Testing Adjusting and Balancing Bureau

# 1.3.1 Similar Terms

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results.

The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC, NEBB, or TABB requirements where differences exist.

SIMILAR TERMS			
Contract Term	AABC Term	NEBB Term	TABB Term
TAB Standard	National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems	Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems	International Standards for Environmental Systems Balance
TAB Specialist	TAB Engineer	TAB Supervisor	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures	Field Readiness Check & Prelim. Field Procedures

#### 1.4 WORK DESCRIPTION

The work includes duct air leakage testing (DALT) and testing, adjusting, and balancing (TAB) of new heating, ventilating, and cooling (HVAC) air and water distribution systems including equipment and performance data, ducts, and piping which are located within, on, under, between, and adjacent to buildings, including records of existing conditions.

Perform TAB in accordance with the requirements of the TAB procedural standard recommended by the TAB trade association that approved the TAB Firm's qualifications. Comply with requirements of AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 (TABB) as supplemented and modified by this specification section. All recommendations and suggested

practices contained in the TAB procedural standards are considered mandatory.

Conduct DALT and TAB of the indicated existing systems and equipment and submit the specified DALT and TAB reports for approval. Conduct DALT testing in compliance with the requirements specified in SMACNA 1972 CD, except as supplemented and modified by this section. Conduct DALT and TAB work in accordance with the requirements of this section.

# 1.4.1 Air Distribution Systems

Test, adjust, and balance systems (TAB) in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to exterior of air distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

#### 1.4.2 Water Distribution Systems

TAB systems in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to water distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. At Contractor's option and with Contracting Officer's written approval, the piping systems may be insulated before systems are TAB'd.

Terminate piping insulation immediately adjacent to each flow control valve, automatic control valve, or device. Seal the ends of pipe insulation and the space between ends of pipe insulation and piping, with waterproof vapor barrier coating.

After completion of work under this section, insulate the flow control valves and devices as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

# 1.4.3 Domestic Hot Water Distribution Systems

TAB systems in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to water distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. At Contractor's option and with Contracting Officer's written approval, the piping systems may be insulated before systems are TAB'd.

Terminate piping insulation immediately adjacent to each flow control valve, automatic control valve, or device. Seal the ends of pipe insulation and the space between ends of pipe insulation and piping, with waterproof vapor barrier coating.

After completion of work under this section, insulate the flow control valves and devices as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

## 1.4.4 TAB SCHEMATIC DRAWINGS

Show the following information on TAB Schematic Drawings:

- 1. A unique number or mark for each piece of equipment or terminal.
- 2. Air quantities at air terminals.
- 3. Air quantities and temperatures in air handling unit schedules.

- 4. Water quantities and temperatures in thermal energy transfer equipment schedules.
- 5. Water quantities and heads in pump schedules.
- 6. Water flow measurement fittings and balancing fittings.
- 7. Ductwork Construction and Leakage Testing Table that defines the DALT test requirements, including each applicable HVAC duct system ID or mark, duct pressure class, duct seal class, and duct leakage test pressure. This table is included in the file for Graphics for Unified Facilities Guide Specifications: http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-grapheteres/form

The Testing, Adjusting, and Balancing (TAB) Specialist must review the Contract Plans and Specifications and advise the Contracting Officer of any deficiencies that would prevent the effective and accurate TAB of the system, including systems readiness check. The TAB Specialist must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation.

Submit three copies of the TAB Schematic Drawings and Report Forms to the Contracting Officer, no later than 21 days prior to the start of TAB field measurements.

## 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Records of Existing Conditions; G, AE

Independent TAB Agency and Personnel Qualifications; G, AE

TAB Design Review Report; G, AE

TAB Firm; G, AE

Designation of TAB Team Assistants; G, AE

Designation of TAB Team Engineer; G, AE or TAB Specialist; G, AE

Designation of TAB Team Field Leader; G, AE

SD-02 Shop Drawings

TAB Schematic Drawings and Report Forms; G, AE

SD-03 Product Data

Equipment and Performance Data; G, AE

TAB Related HVAC Submittals; G, AE

A list of the TAB Related HVAC Submittals, no later than 21 days after the approval of the TAB team engineer .

TAB Procedures; G, AE

Proposed procedures for TAB, submitted with the TAB Schematic Drawings and Report Forms.

Calibration; G, AE

Systems Readiness Check; G, AEO

TAB Execution; G, AE

TAB Verification; G, AE

SD-06 Test Reports

Completed Pre-Final DALT Report; G, AE

Certified Final DALT Report; G, AE

TAB Design Review Report; G, AE

TAB Report for Season 1; G, AE

TAB Report for Season 2; G, AE

## SD-07 Certificates

Independent TAB Agency and Personnel Qualifications; G, AEO

DALT and TAB Submittal and Work Schedule; G, AE

TAB Pre-Field Engineering Report; G, AE

TAB Firm; G, AE

Design Review Report; G, AEO Pre-field DALT Preliminary Notification; G

Advanced Notice for Season 1 TAB Field Work; G, AE

Prerequisite HVAC Work Check Out List For Season 1; G, AE

Advanced Notice for Season 2 TAB Field Work; G

Prerequisite HVAC Work Check Out List For Season 2; G,AE

#### 1.6 QUALITY ASSURANCE

1.6.1 Independent TAB Agency and Personnel Qualifications

To secure approval for the proposed agency, submit information certifying that the TAB agency is a first tier subcontractor who is not affiliated

with any other company participating in work on this contract, including design, furnishing equipment, or construction. Further, submit the following, for the agency, to Contracting Officer for approval:

a. Independent AABC or NEBB or TABB TAB agency:

TAB agency: AABC registration number and expiration date of current certification; or NEBB certification number and expiration date of current certification; or TABB certification number and expiration date of current certification.

TAB team supervisor: Name and copy of AABC or NEBB or TABB TAB supervisor certificate and expiration date of current certification.

TAB team field leader: Name and documented evidence that the team field leader has satisfactorily performed full-time supervision of TAB work in the field for not less than 3 years immediately preceding this contract's bid opening date.

TAB team field technicians: Names and documented evidence that each field technician has satisfactorily assisted a TAB team field leader in performance of TAB work in the field for not less than one year immediately preceding this contract's bid opening date.

Current certificates: Registrations and certifications are current, and valid for the duration of this contract. Renew Certifications which expire prior to completion of the TAB work, in a timely manner so that there is no lapse in registration or certification. TAB agency or TAB team personnel without a current registration or current certification are not to perform TAB work on this contract.

- b. TAB Team Members: TAB team approved to accomplish work on this contract are full-time employees of the TAB agency. No other personnel is allowed to do TAB work on this contract.
- c. Replacement of TAB team members: Replacement of members may occur if each new member complies with the applicable personnel qualifications and each is approved by the Contracting Officer.

# 1.6.2 TAB Standard

Perform TAB in accordance with the requirements of the standard under which the TAB Firm's qualifications are approved, i.e., AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 unless otherwise specified herein. All recommendations and suggested practices contained in the TAB Standard are considered mandatory. Use the provisions of the TAB Standard, including checklists, report forms, etc., as nearly as practical, to satisfy the Contract requirements. Use the TAB Standard for all aspects of TAB, including qualifications for the TAB Firm and Specialist and calibration of TAB instruments. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, adhere to the manufacturer's recommendations.

All quality assurance provisions of the TAB Standard such as performance guarantees are part of this contract. For systems or system components not covered in the TAB Standard, TAB procedures must be developed by the TAB Specialist. Where new procedures, requirements, etc., applicable to

the Contract requirements have been published or adopted by the body responsible for the TAB Standard used (AABC, NEBB, or TABB), the requirements and recommendations contained in these procedures and requirements are considered mandatory, including the latest requirements of ASHRAE 62.1.

## 1.6.3 Qualifications

## 1.6.3.1 TAB Firm

The TAB Firm must be either a member of AABC or certified by the NEBB or the TABB and certified in all categories and functions where measurements or performance are specified on the plans and specifications, including building systems commissioning and the measuring of sound and vibration in environmental systems.

Certification must be maintained for the entire duration of duties specified herein. If, for any reason, the firm loses subject certification during this period, the Contractor must immediately notify the Contracting Officer and submit another TAB Firm for approval. Any firm that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections to be performed by the TAB Firm will be considered invalid if the TAB Firm loses its certification prior to Contract completion and must be performed by an approved successor.

These TAB services are to assist the prime Contractor in performing the quality oversight for which it is responsible. The TAB Firm must be a prime subcontractor of the Contractor and be financially and corporately independent of the mechanical subcontractor, reporting directly to and paid by the Contractor.

## 1.6.3.2 TAB Specialist

The TAB Specialist must be either a member of AABC, an experienced technician of the Firm certified by the NEBB, or a Supervisor certified by the TABB. The certification must be maintained for the entire duration of duties specified herein. If, for any reason, the Specialist loses subject certification during this period, immediately notify the Contracting Officer and submit another TAB Specialist for approval. Any individual that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections performed by the TAB Specialist will be considered invalid if the TAB Specialist loses its certification prior to Contract completion and must be performed by the approved successor.

## 1.6.3.3 TAB Specialist Responsibilities

TAB Specialist responsibilities include all TAB work specified herein and in related sections under his direct guidance. The TAB specialist is required to be onsite on a daily basis to direct TAB efforts. The TAB Specialist must participate in the commissioning process specified in Section 01 91 00.15 TOTAL BUILDING COMMISSIONING.

# 1.6.3.4 TAB Related HVAC Submittals

The TAB Specialist must prepare a list of the submittals from the Contract Submittal Register that relate to the successful accomplishment of all HVAC TAB. Accompany the submittals identified on this list with a letter of approval signed and dated by the TAB Specialist when submitted to the Government. Ensure that the location and details of ports, terminals, connections, etc., necessary to perform TAB are identified on the submittals.

# 1.6.4 Responsibilities

The Contractor is responsible for ensuring compliance with the requirements of this section. The following delineation of specific work responsibilities is specified to facilitate TAB execution of the various work efforts by personnel from separate organizations. This breakdown of specific duties is specified to facilitate adherence to the schedule listed in the paragraph TAB SUBMITTAL AND WORK SCHEDULE.

# 1.6.4.1 Contractor

- a. TAB personnel: Ensure that the DALT work and the TAB work is accomplished by a group meeting the requirements specified in the paragraph TAB PERSONNEL QUALIFICATION REQUIREMENTS.
- b. Pre-DALT/TAB meeting: Attend the meeting with the TAB Supervisor, and ensure that a representative is present for the sheetmetal contractor, mechanical contractor, electrical contractor, and automatic temperature controls contractor.
- c. HVAC documentation: Furnish one complete set of the following HVAC-related documentation to the TAB agency:
  - (1) Contract drawings and specifications
  - (2) Approved submittal data for equipment
  - (3) Construction work schedule
  - (4) Up-to-date revisions and change orders for the previously listed items
- d. Submittal and work schedules: Ensure that the schedule for submittals and work required by this section and specified in the paragraph TAB SUBMITTAL AND WORK SCHEDULE is met.
- e. Coordination of supporting personnel:

Provide the technical personnel, such as factory representatives or HVAC controls installer required by the TAB field team to support the DALT and the TAB field measurement work.

Provide equipment mechanics to operate HVAC equipment and ductwork mechanics to provide the field designated test ports to enable TAB field team to accomplish the DALT and the TAB field measurement work. Ensure these support personnel are present at the times required by the TAB team, and cause no delay in the DALT and the TAB field work.

Conversely, ensure that the HVAC controls installer has required

support from the TAB team field leader to complete the controls check out.

- f. Deficiencies: Ensure that the TAB Agency supervisor submits all Design/Construction deficiency notifications directly to the Contracting officer within 3 days after the deficiency is encountered. Further, ensure that all such notification submittals are complete with explanation, including documentation, detailing deficiencies.
- g. Prerequisite HVAC work: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as prerequisite work items, the deficiencies pointed out by the TAB team supervisor in the design review report.
- h. Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's pre-field engineering report. Do not allow the TAB team to commence TAB field work until all of the following are completed.
  - (1) HVAC system installations are fully complete.
  - (2) HVAC prerequisite checkout work lists specified in the paragraph PRE-FIELD TAB ENGINEERING REPORT are completed, submitted, and approved. Ensure that the TAB Agency gets a copy of the approved prerequisite HVAC work checklist.
  - (3) DALT field checks for all systems are completed.
  - (4) HVAC system filters are clean for both Season 1 and Season 2 TAB field work.
- i. Advance notice: Furnish to the Contracting Officer with advance written notice for the commencement of the DALT field work and for the commencement of the TAB field work.
- j. Insulation work: For required DALT work , ensure that insulation is not installed on ducts to be DALT'd until DALT work on the subject ducts is complete. Later, ensure that openings in duct and machinery insulation coverings for TAB test ports are marked, closed and sealed.

# 1.6.4.2 TAB Agency

Provide the services of a TAB team which complies with the requirements of the paragraph INDEPENDENT TAB AGENCY PERSONNEL QUALIFICATIONS. The work to be performed by the TAB agency is limited to testing, adjusting, and balancing of HVAC air and water systems to satisfy the requirements of this specification section.

1.6.4.3 TAB Team Supervisor

- a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical DALT and TAB procedures and TAB team field work.
- b. Pre-DALT/TAB meeting: Attend meeting with Contractor.

- c. Design review report: Review project specifications and accompanying drawings to verify that the air systems and water systems are designed in such a way that the TAB engineer can accomplish the work in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.
- d. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the pre-field engineering report, the during the DALT or TAB field work.
- e. Pre-field DALT preliminary notification: Monitor the completion of the duct installation of each system and provide the necessary written notification to the Contracting Officer.
- f. Pre-field engineering report: Utilizing the following HVAC-related documentation; contract drawings and specifications, approved submittal data for equipment, up-to-date revisions and change orders; prepare this report.
- g. Prerequisite HVAC work checklist: Ensure the Contractor gets a copy of this checklist at the same time as the pre-field engineering report is submitted.
- h. Technical assistance for DALT work.
  - (1) Technical assistance: Provide immediate technical assistance to TAB field team.
  - (2) DALT field visit: Near the end of the DALT field work effort, visit the contract site to inspect the HVAC installation and the progress of the DALT field work. Conduct a site visit to the extent necessary to verify correct procedures are being implemented and to confirm the accuracy of the Pre-final DALT Report data which has been reported. Also, perform sufficient evaluation to allow the TAB supervisor to issue certification of the final report. Conduct the site visit full-time for a minimum of two 8 hour workdays duration.
- i. Final DALT report: Certify the DALT report. This certification includes the following work:
  - (1) Review: Review the Pre-final DALT report data. From these field reports, prepare the Certified Final DALT report.
  - (2) TAB Verification: Verify adherence, by the TAB field team, to the procedures specified in this section.
- j. Technical Assistance for TAB Work: Provide immediate technical assistance to the TAB field team for the TAB work.
  - (1) TAB field visit: At the midpoint of the Season 1 and Season 2 TAB field work effort, visit the contract site to inspect the HVAC

installation and the progress of the TAB field work. Conduct site visit full-time for a minimum of two 8 hour workdays duration.

- (2) TAB field visit: Near the end of the TAB field work effort, visit the contract site to inspect the HVAC installation and the progress of the TAB field work. Conduct site visit full-time for a minimum of one 8 hour workday duration. Review the TAB final report data and certify the TAB final report.
- k. Certified TAB report: Certify the TAB report. This certification includes the following work:
  - (1) Review: Review the TAB field data report. From this field report, prepare the certified TAB report.
  - (2) Verification: Verify adherence, by the TAB field team, to the TAB plan prescribed by the pre-field engineering report and verify adherence to the procedures specified in this section.
- 1. Design/Construction deficiencies: Within 3 working days after the TAB Agency has encountered any design or construction deficiencies, the TAB Supervisor must submit written notification directly to the Contracting Officer, with a separate copy to the Contractor, of all such deficiencies. Provide in this submittal a complete explanation, including supporting documentation, detailing deficiencies. Where deficiencies are encountered that are believed to adversely impact successful completion of TAB, the TAB Agency must issue notice and request direction in the notification submittal.
- m. TAB Field Check: The TAB team supervisor must attend and supervise Season 1 and Season 2 TAB field check.
- 1.6.4.4 TAB Team Field Leader
  - a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.
  - b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.
  - c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC Checklist, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.
- 1.6.5 Test Reports
- 1.6.5.1 Data from DALT Field Work

Report the data for the Pre-final DALT Report and Certified Final DALT Report in compliance the following requirements:

a. Report format: Submit report data on Air Duct Leakage Test Summary Report Forms as shown on Page 6-2 of SMACNA 1972 CD. In addition, submit in the report, a marked duct shop drawing which identifies each section of duct tested with assigned node numbers for each section. Include node numbers in the completed report forms to identify each duct section. The TAB supervisor must review and certify the report.

- b. The TAB supervisor must include a copy of all calculations prepared in determining the duct surface area of each duct test section. In addition, provide the ductwork air leak testing (DALT) reports with a copy(s) of the calibration curve for each of the DALT test orifices used for testing.
- c. Instruments: List the types of instruments actually used to measure the data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date. Instruments must have been calibrated within one year of the date of use in the field. Instrument calibration must be traceable to the measuring standards of the National Institute of Standards and Technology.
- d. Certification: Include the typed name of the TAB supervisor and the dated signature of the TAB supervisor.
- 1.6.5.2 Certified TAB Reports

Submit: TAB Report for Season 1 and TAB Report for Season 2 in the following manner:

- a. Report format: Submit the completed pre-field data forms approved in the pre-field TAB Engineering Report completed by TAB field team, reviewed and certified by the TAB supervisor. Bind the report with a waterproof front and back cover. Include a table of contents identifying by page number the location of each report. Report forms and report data must be typewritten. Handwritten report forms or report data are not acceptable.
- b. Temperatures: On each TAB report form reporting TAB work accomplished on HVAC thermal energy transfer equipment, include the indoor and outdoor dry bulb temperature range and indoor and outdoor wet bulb temperature range within which the TAB data was recorded. Include in the TAB report continuous time versus temperature recording data of wet and dry bulb temperatures for the rooms, or zones, as designated in the following list:
  - (1) Rooms: Television Studio, Television Studio Control Room, B220 Shared Open Office, 411 Shared Conference Room. Measure and compile data on a continuous basis for the period in which TAB work affecting those rooms is being done.
  - (2) Measure and record data only after the HVAC systems installations are complete, the systems fully balanced and the HVAC systems controls operating in fully automatic mode.
  - (3) Data may be compiled using direct digital controls trend logging where available. Otherwise, temporarily install calibrated time versus temperature/humidity recorders for this purpose. The HVAC systems and controls must be fully operational a minimum of 24 hours in advance of commencing data compilation. Include the specified data in the Season I and Season 2 TAB Report.
- c. System Diagrams: Provide updated diagrams with final installed locations of all terminals and devices, any numbering changes, and actual test locations. Use a key numbering system on the diagram which identifies each outlet contained in the outlet airflow report

sheets.

- d. Static Pressure Profiles: Report static pressure profiles for air duct systems including: ventilation, exhaust, dedicated outside air systems, ducted fan coil units and all ducted air moving equipment. Report static pressure data for all supply, return, relief, exhaust and outside air ducts for the systems listed. Include the following in the static pressure report data, in addition to AABC/NEBB/TABB required data:
  - (1) Report supply fan, return fan, relief fan, and exhaust fan inlet and discharge static pressures.
  - (2) Report static pressure drop across chilled water coils, DX coils, hot water coils, steam coils, electric resistance heating coils and heat reclaim devices installed in unit cabinetry or the system ductwork.
  - (3) Report static pressure drop across outside air, return air, and supply air automatic control dampers, both proportional and two-position, installed in unit cabinetry.
  - (4) Report static pressure drop across air filters, acoustic silencers, moisture eliminators, air flow straighteners, air flow measuring stations or other pressure drop producing specialty items installed in unit cabinetry, or in the system ductwork. Examples of these specialty items are smoke detectors, white sound generators, RF shielding, wave guides, security bars, blast valves, small pipes passing through ductwork, and duct mounted humidifiers.

Do not report static pressure drop across duct fittings provided for the sole purpose of conveying air, such as elbows, transitions, offsets, plenums, manual dampers, and branch takes-offs.

- (5) Report static pressure drop across outside air and relief/exhaust air louvers.
- (6) Report static pressure readings of supply air, return air, exhaust/relief air, and outside air in duct at the point where these ducts connect to each air moving unit.and also at the following locations:

<u>Main Duct</u>: Take readings at four locations along the full length of the main duct, 25 percent, 50 percent, 75 percent, and 100 percent of the total duct length.

Floor Branch Mains: Take readings at floor branch mains served by a main duct vertical riser.

Branch Main Ducts: Take readings at branch main ducts.

<u>CAV, VAV Terminals</u>: Take readings at inlet static pressure at CAV, VAV terminal box primary air branch ducts.

e. Duct Traverses: Report duct traverses for main and branch main supply, return, exhaust, relief and outside air ducts. This includes

all ducts, including those which lack 7 1/2 duct diameters upstream and 2 1/2 duct diameters downstream of straight duct unobstructed by duct fittings/offsets/elbows. The TAB Agency must evaluate and report findings on the duct traverses taken. Evaluate the suitability of the duct traverse measurement based on satisfying the qualifications for a pilot traverse plane as defined by AMCA 203, "Field Measurements", Section 8, paragraph 8.3, "Location of Traverse Plane."

f. Instruments: List the types of instruments actually used to measure the tab data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

Instrumentation, used for taking wet bulb temperature readings must provide accuracy of plus or minus 5 percent at the measured face velocities. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified.

- g. Certification: Include the typed name of the TAB supervisor and the dated signature of the TAB supervisor.
- h. Performance Curves: The TAB Supervisor must include, in the TAB Reports, factory pump curves and fan curves for pumps and fans TAB'd on the job.
- i. Calibration Curves: The TAB Supervisor must include, in the TAB Reports, a factory calibration curve for installed flow control balancing valves, flow venturi's and flow orifices TAB'd on the job.
- 1.7 SEQUENCING AND SCHEDULING
- 1.7.1 Projects with Phased Construction

This specification section is structured as though the HVAC construction, and thereby the TAB work, will be completed in a single phase. When the construction is completed in phases, the DALT work and TAB work must be planned, completed, and accepted for each construction phase.

# 1.7.1.1 Phasing of Work

This specification section is structured as though the HVAC construction, and thereby the TAB work, is going to be completed in a single phase in spite of the fact that there will be two seasons. All elements of the TAB work are addressed on this premise. When a contract is to be completed in construction phases, including the TAB work, and the DALT work, the TAB work and DALT work must be planned for, completed and approved by the Contracting Officer with each phase. An example of this case would be one contract that requires the rehabilitation of the HVAC in each of several separated buildings. At the completion of the final phase, compile all approved reports and submit as one document.

## 1.7.2 DALT and TAB Submittal and Work Schedule

Submit this schedule, and TAB Schematic Drawings, adapted for this particular contract, to the Contracting Officer (CO) for review and approval. Include with the submittal the planned calendar dates for each submittal or work item. Resubmit an updated version for CO approval every 90 calendar days. Compliance with the following schedule is the

Contractor's responsibility.

Qualify TAB Personnel: Within 45 calendar days after date of contract award, submit TAB agency and personnel qualifications.

Pre-DALT/TAB Meeting: Within 30 calendar days after the date of approval of the TAB agency and personnel, meet with the COTR.

Design Review Report: Within 60 calendar days after the date of the TAB agency personnel qualifications approval, submit design review report.

Pre-Field DALT Preliminary Notification: On completion of the duct installation for each system, notify the Contracting Officer in writing within 5 days after completion.

Ductwork Selected for DALT: Within 7 calendar days of Pre-Field DALT Preliminary Notification, the COTR will select which of the project ductwork must be DALT'd.

DALT Field Work: Within 48 hours of COTR's selection, complete DALT field work on selected.

Submit Pre-final DALT Report: Within one working day after completion of DALT field work, submit Pre-final DALT Report. Separate Pre-final DALT reports may be submitted to allow phased testing from system to system.

DALT Work Field Check: Upon approval of the Pre-final DALT Report, schedule the COTR's DALT field check work with the Contracting Officer.

Submit Final DALT Report: Within 15 calendar days after completion of successful DALT Work Field Check, submit Season 1 TAB report.

Pre-Field TAB Engineering Report: Within 60 calendar days after approval of the TAB agency Personnel Qualifications, submit the Pre-Field TAB Engineering Report.

Prerequisite HVAC Work Check Out List For Season 1 and Advanced Notice For Season 1 TAB Field Work: At a minimum of 115 calendar days prior to CCD, submit Season 1 prerequisite HVAC work check out list certified as complete, and submit advance notice of commencement of Season 1 TAB field work.

Season 1 TAB Field Work: At a minimum of 90 calendar days prior to CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work.

Submit Season 1 TAB Report: Within 15 calendar days after completion of Season 1 TAB field work, submit Season 1 TAB report.

Season 1 TAB Field Check: 30 calendar days after Season 1 TAB report is approved by the Contracting Officer, conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work.

Prerequisite HVAC Work Check Out List For Season 2 and Advanced Notice

For Season 2 TAB Field Work: Within 150 calendar days after date of the commencement of the Season 1 TAB field work, submit the Season 2 prerequisite HVAC work check out list certified as complete and submit advance notice of commencement of Season 2 TAB field work.

Season 2 TAB Field Work: Within 180 calendar days after date of commencement of the Season 1 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work.

Submit Season 2 TAB Report: Within 15 calendar days after completion of Season 2 TAB field work, submit Season 2 TAB report.

Season 2 TAB Field Check: 30 calendar days after the Season 2 TAB report is approved by the Contracting Officer, conduct Season 2 field check.

Complete Season 2 TAB Work: Within 15 calendar days after the completion of Season 2 TAB field data check, complete all TAB work.

## 1.7.2.1 TAB Design Review Report

Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

1.7.2.2 Pre-Field DALT Preliminary Notification

Notification: On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing within 7 calendar days after completion.

1.7.2.3 TAB Pre-Field Engineering Report

Submit report containing the following information:

- a. Step-by-step TAB procedure:
  - Strategy: Describe the method of approach to the TAB field work from start to finish. Include in this description a complete methodology for accomplishing each seasonal TAB field work session.
  - (2) Air System Diagrams: Use the contract drawings and duct fabrication drawings if available to provide air system diagrams in the report showing the location of all terminal outlet supply, return, exhaust and transfer registers, grilles and diffusers. Use a key numbering system on the diagrams which identifies each outlet contained in the outlet airflow report sheets. Show intended locations of all traverses and static pressure readings.
  - (3) Procedural steps: Delineate fully the intended procedural steps to be taken by the TAB field team to accomplish the required TAB work of each air distribution system and each water distribution system. Include intended procedural steps for TAB work for subsystems and system components.

- b. Pre-field data: Submit AABC or NEBB or SMACNA 1780 data report forms with the following pre-field information filled in:
  - (1) Design data obtained from system drawings, specifications, and approved submittals.
  - (2) Notations detailing additional data to be obtained from the contract site by the TAB field team.
  - (3) Designate the actual data to be measured in the TAB field work.
  - (4) Provide a list of the types of instruments, and the measuring range of each, which are anticipated to be used for measuring in the TAB field work. By means of a keying scheme, specify on each TAB data report form submitted, which instruments will be used for measuring each item of TAB data. If the selection of which instrument to use, is to be made in the field, specify from which instruments the choice will be made. Place the instrument key number in the blank space where the measured data would be entered.
- c. Prerequisite HVAC work checkout list: Provide a list of inspections and work items which are to be completed by the Contractor. This list must be acted upon and completed by the Contractor and then submitted and approved by the Contracting Officer prior to the TAB team coming to the contract site.

At a minimum, a list of the applicable inspections and work items listed in the NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" must be provided for each separate system to be TAB'd.

## 1.8 WARRANTY

Furnish workmanship and performance warranty for the DALT and TAB system work performed for a period not less than 1 year from the date of Government acceptance of the work; issued directly to the Government. Include provisions that if within the warranty period the system shows evidence of major performance deterioration, or is significantly out of tolerance, resulting from defective TAB or DALT workmanship, the corrective repair or replacement of the defective materials and correction of the defective workmanship is the responsibility of the TAB firm. Perform corrective action that becomes necessary because of defective materials and workmanship while system TAB and DALT is under warranty 7 days after notification, unless additional time is approved by the Contracting Officer. Failure to perform repairs within the specified period of time constitutes grounds for having the corrective action and repairs performed by others and the cost billed to the TAB firm. The Contractor must also provide a 1 year contractor installation warranty.

PART 2 PRODUCTS

Not Used

- PART 3 EXECUTION
- 3.1 WORK DESCRIPTIONS OF PARTICIPANTS

Comply with requirements of this section.

## 3.2 PRE-DALT/TAB MEETING

Meet with the Contracting Officer's technical representative (COTR) to develop a mutual understanding relative to the details of the DALT work and TAB work requirements. Ensure that the TAB supervisor is present at this meeting. Requirements to be discussed include required submittals, work schedule, and field quality control.

## 3.3 DALT PROCEDURES

#### 3.3.1 Instruments, Consumables and Personnel

Provide instruments, consumables and personnel required to accomplish the DALT field work. Follow the same basic procedure specified below for TAB Field Work, including maintenance and calibration of instruments, accuracy of measurements, preliminary procedures, field work, workmanship and treatment of deficiencies. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

## 3.3.2 Advance Notice of Pre-Final DALT Field Work

On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing prior to the COTR's duct selection field visit.

## 3.3.3 Ductwork To Be DALT'd

From each duct system indicated as subject to DALT, the COTR will randomly select sections of each completed duct system for testing by the Contractor's TAB Firm. The sections selected will not exceed 40 percent of the total measured linear footage of duct systems indicated as subject to DALT. Sections of duct systems subject to DALT will include 40 percent of main ducts, branch main ducts, branch ducts and plenums for supply, return, exhaust, and plenum ductwork.

It is acceptable for an entire duct system to be DALT'd instead of disassembling that system in order to DALT only the 40 percent portion specified above.

## 3.3.4 DALT Testing

Perform DALT on the HVAC duct sections of each system as selected by the COTR. Use the duct class, seal class, leakage class and the leak test pressure data indicated on the drawings, to comply with the procedures specified in SMACNA 1972 CD.

In spite of specifications of SMACNA 1972 CD to the contrary, DALT ductwork of construction class of 3-inch water gauge static pressure and below if indicated to be DALT'd. Complete DALT work on the COTR selected ductwork within 48 hours after the particular ductwork was selected for DALT. Separately conduct DALT work for large duct systems to enable the DALT work to be completed in 48 hours.

DALT for systems must not result in air moving equipment motors and VFDs being adjusted to operate above 85% of their rated speed without approval of the COTR. Motors or VFDs must not be configured to operate in overspeeding condition.

#### 3.3.5 Completed Pre-Final DALT Report

After completion of the DALT work, prepare a Pre-final DALT Report using the reporting forms specified. TAB team to furnish data required by those data report forms. Prepare the report neatly and legibly; the Pre-final DALT report is the basis for the Final DALT Report. TAB supervisor must review and certify the Pre-final DALT Report and submit this report within one day of completion of DALT field work. Verbally notify the COTR that the field check of the Pre-final DALT Report data can commence.

#### 3.3.6 Quality Assurance - COTR DALT Field Acceptance Testing

In the presence of the COTR and TAB team field leader, verify for accuracy Pre-final DALT Report data selected by the COTR. For each duct system, this acceptance testing shall be conducted on a maximum of 50 percent of the duct sections DALT'd.

Further, if any data on the Pre-final DALT report form for a given duct section is out-of-tolerance, then field acceptance testing shall be conducted on data for one additional duct section, preferably in the same duct system, in the presence of the COTR.

#### 3.3.7 Additional COTR Field Acceptance Testing

If any of the duct sections checked for a given system are determined to have a leakage rate measured that exceeds the leakage rate allowed by SMACNA Leak Test Manual for an indicated duct construction class and sealant class, terminate data checking for that section. The associated Pre-final DALT Report data for the given duct system will be disapproved. Make the necessary corrections and prepare a revised Pre-final DALT Report. Reschedule a field check of the revised report data with the COTR.

## 3.3.8 Certified Final DALT Report

On successful completion of all field checks of the Pre-final DALT Report data for all systems, the TAB Supervisor is to assemble, review, certify and submit the Final DALT Report to the Contracting Officer for approval.

## 3.3.9 Prerequisite for TAB Field Work

Do not commence TAB field work prior to the completion and approval, for all systems, of the Final DALT Report.

## 3.4 TAB PROCEDURES

#### 3.4.1 TAB Field Work

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents.

That is, comply with the the requirements of AABC MN-1 or SMACNA 1780 (TABB) and SMACNA 1858 (TABB), except as supplemented and modified by this section.

Provide instruments and consumables required to accomplish the TAB work. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. Conduct TAB work, including measurement accuracy, and sound measurement work in conformance with the AABC MN-1 and AABC MN-4, or NEBB TABES and NEBB MASV, or SMACNA 1780 (used by TABB) and SMACNA 1858 sound measurement procedures, except as supplemented and modified by this section. The only water flow and air flow reporting which can be deferred until the Season 2 is that data which would be affected in terms of accuracy due to outside ambient conditions.

## 3.4.2 Preliminary Procedures

Use the approved pre-field engineering report as instructions and procedures for accomplishing TAB field work. TAB engineer is to locate, in the field, test ports required for testing. It is the responsibility of the sheet metal contractor to provide and install test ports as required by the TAB engineer.

3.4.3 TAB Air Distribution Systems

## 3.4.3.1 Units With Coils

Report heating and cooling performance capacity tests for hot water, chilled water and steam coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

a. For air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

Do not determine entering and leaving wet and dry bulb temperatures by single point measurement, but by the average of multiple readings in compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in subparagraph c.).

b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units, duct mounted reheat coils associated with VAV terminal units, and unitary units, such as through-the-wall heat pumps:

Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

## 3.4.3.2 Air Handling Units

Air handling unit systems including fans (air handling unit fans, exhaust fans and winter ventilation fans), coils, ducts, plenums, mixing boxes, terminal units, variable air volume boxes, and air distribution devices for supply air, return air, outside air, mixed air relief air, and makeup

air.

3.4.3.3 Makeup Air Units

Makeup air unit systems including fans, coils, ducts, plenums, registers, diffusers, grilles, and louvers for supply air, return air, outside air, and mixed air.

3.4.3.4 Fan Coils

Fan coil unit systems including fans, coils, ducts, plenums, and air distribution devices for supply air, return air, and outside air.

3.4.3.5 Exhaust Fans

Exhaust fan systems including fans, ducts, plenums, grilles, and hoods for exhaust air.

3.4.4 Unit Heaters

All heating hot water unit heaters.

3.4.5 Cabinet Unit Heaters

All heating hot water cabinet unit heaters.

3.4.6 Convectors

All heating hot water convectors.

- 3.4.7 TAB Water Distribution Systems
- 3.4.7.1 Chilled Water

Chilled water systems including pumps, coils, system balance valves and flow measuring devices.

3.4.7.2 Heating Hot Water

Heating hot water systems including hot water converters (e.g., heat exchangers), pumps, coils, system balancing valves and flow measuring devices.

3.4.7.3 Domestic Hot Water System

Domestic hot water systems including hot water heaters, pumps, system balancing valves, and flow measuring devices.

- 3.4.8 Sound Measurement Work
- 3.4.8.1 Areas To Be Sound Measured

In the following spaces, measure and record the sound power level for each octave band listed in ASHRAE HVAC APP IP HDBK Noise Criteria:

- a. All HVAC mechanical rooms, including machinery spaces and other spaces containing HVAC power drivers and power driven equipment.
- b. All spaces sharing a common barrier with each mechanical room,

including rooms overhead, rooms on the other side of side walls, and rooms beneath the mechanical room floor.

c. Rooms:

B220 Client Services Open Office and all similar open offices
 B159 A/V Staff & Photo Editing Suite
 150 Visitor Briefing Room
 160 Cafe
 170 and 230 Retail Store
 220 Conference Room and all similar conference rooms
 224 Executive Conference Room all similar conference rooms
 311 PCR
 317 Television Studio
 333 Office and all similar single offices
 341 Photo Studio
 341 Photo Studio

- (13) 410 Printing/Laminating
- (14) 411 Shared Conference Room

### 3.4.8.2 Procedure

Measure sound levels in each room, when unoccupied except for the TAB team, with all HVAC systems that would cause sound readings in the room operating in their noisiest mode. Record the sound level in each octave band. Attempt to mitigate the sound level and bring the level to within the specified ASHRAE HVAC APP IP HDBK noise criteria goals, if such mitigation is within the TAB team's control. State in the report the ASHRAE HVAC APP IP HDBK noise criteria goals. If sound level cannot be brought into compliance, provide written notice of the deficiency to the Contractor for resolution or correction.

## 3.4.8.3 Timing

Measure sound levels at times prescribed by AABC or NEBB or TABB.

3.4.8.4 Meters

Measure sound levels with a sound meter complying with ASA S1.4, Type 1 or 2, and an octave band filter set complying with ASA S1.11 PART 1. Use measurement methods for overall sound levels and for octave band sound levels as prescribed by NEBB.

## 3.4.8.5 Calibration

Calibrate sound levels as prescribed by AABC or NEBB or TABB, except that calibrators emitting a sound pressure level tone of 94 dB at 1000 hertz (Hz) are also acceptable.

## 3.4.8.6 Background Noise Correction

Determine background noise component of room sound (noise) levels for each (of eight) octave bands as prescribed by AABC or NEBB or TABB.

## 3.4.9 TAB Work on Performance Tests With Seasonal Limitations

## 3.4.9.1 Performance Tests

Accomplish proportionate balancing TAB work on the air distribution systems and water distribution systems, in other words, accomplish adjusting and balancing of the air flows and water flows, any time during the duration of this contract, subject to the limitations specified elsewhere in this section. However, accomplish, within the following seasonal limitations, TAB work on HVAC systems which directly transfer thermal energy.

## 3.4.9.2 Season Of Maximum Load

Visit the contract site for at least two TAB work sessions for TAB field measurements. Visit the contract site during the season of maximum heating load and visit the contract site during the season of maximum cooling load, the goal being to TAB the operational performance of the heating systems and cooling systems under their respective maximum outdoor environment-caused loading. During the seasonal limitations, TAB the operational performance of the heating systems and cooling systems.

## 3.4.9.3 Ambient Temperatures

On each tab report form used for recording data, record the outdoor and indoor ambient dry bulb temperature range and the outdoor and indoor ambient wet bulb temperature range within which the report form's data was recorded. Record these temperatures at beginning and at the end of data taking.

## 3.4.9.4 Sound Measurements

Comply with the paragraph SOUND MEASUREMENT WORK, specifically, the requirement that a room must be operating in its noisiest mode at the time of sound measurements in the room. The maximum noise level measurements could depend on seasonally related heat or cooling transfer equipment.

#### 3.4.9.5 Coils

Report heating and cooling performance capacity tests for hot water and chilled water coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

a. For Central station air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

Entering and leaving wet and dry bulb temperatures are not determined by single point measurement, but by the average of multiple readings in compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in

subparagraph c.).

b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units and unit heaters:

Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

## 3.4.10 Workmanship

Conduct TAB work on the HVAC systems until measured flow rates are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. This TAB work includes adjustment of balancing valves, balancing dampers, and sheaves. Further, this TAB work includes changing out fan sheaves and pump impellers if required to obtain air and water flow rates specified or indicated. If, with these adjustments and equipment changes, the specified or indicated design flow rates cannot be attained, contact the Contracting Officer for direction.

## 3.4.11 Deficiencies

Strive to meet the intent of this section to maximize the performance of the equipment as designed and installed. However, if deficiencies in equipment design or installation prevent TAB work from being accomplished within the range of design values specified in the paragraph WORKMANSHIP, provide written notice as soon as possible to the Contractor and the Contracting Officer describing the deficiency and recommended correction.

Responsibility for correction of installation deficiencies is the Contractor's. If a deficiency is in equipment design, call the TAB team supervisor for technical assistance. Responsibility for reporting design deficiencies to Contractor is the TAB team supervisor's.

#### 3.4.12 TAB Reports

After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and certification, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms is to be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB report is considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP.

#### 3.4.13 Quality Assurance - COTR TAB Field Acceptance Testing

#### 3.4.13.1 TAB Field Acceptance Testing

During the field acceptance testing, verify, in the presence of the COTR, random selections of data (water, air quantities, air motion, sound level readings) recorded in the TAB Report. Points and areas for field acceptance testing are to be selected by the COTR. Measurement and test procedures are the same as approved for TAB work for the TAB Report.

Field acceptance testing includes verification of TAB Report data recorded for the following equipment groups:

Group 1: All chillers, boilers, return fans, computer room units, and

air handling units (rooftop and central stations).

Group 2: 25 percent of the VAV terminal boxes and associated diffusers and registers.

Group 3: 25 percent of the supply diffusers, registers, grilles associated with constant volume air handling units.

Group 4: 25 percent of the return grilles, return registers, exhaust grilles and exhaust registers.

Group 5: 25 percent of the supply fans, exhaust fans, and pumps.

Further, if any data on the TAB Report for Groups 2 through 5 is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, additional group data verification is required in the presence of the COTR. Verify TAB Report data for one additional piece of equipment in that group. Continue this additional group data verification until out-of-tolerance data ceases to be found.

## 3.4.13.2 Additional COTR TAB Field Acceptance Testing

If any of the acceptance testing measurements for a given equipment group is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, terminate data verification for all affected data for that group. The affected data for the given group will be disapproved. Make the necessary corrections and prepare a revised TAB Report. Reschedule acceptance testing of the revised report data with the COTR.

Further, if any data on the TAB Report for a given field acceptance test group is out-of-tolerance, then field test data for one additional field test group as specified herein. Continue this increase field test work until out-of-tolerance data ceases to to be found. This additional field testing is up and above the original 25 percent of the of reported data entries to be field tested.

If there are no more similar field test groups from which to choose, additional field testing from another, but different, type of field testing group must be tested.

3.4.13.3 Prerequisite for Approval

Compliance with the field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer approval of the TAB Report submitted.

#### 3.5 MARKING OF SETTINGS

Upon the final TAB work approval, permanently mark the settings of HVAC adjustment devices including valves, gauges, splitters, and dampers so that adjustment can be restored if disturbed at any time. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the submitted TAB report.

## 3.6 MARKING OF TEST PORTS

The TAB team is to permanently and legibly mark and identify the location points of the duct test ports. If the ducts have exterior insulation, make these markings on the exterior side of the duct insulation. Show the location of test ports on the as-built mechanical drawings with dimensions given where the test port is covered by exterior insulation.

-- End of Section --

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## SECTION 23 07 00

# THERMAL INSULATION FOR MECHANICAL SYSTEMS 02/13

## PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - IP	(2013) Energy Standard for Buildings
	Except Low-Rise Residential Buildings
ASHRAE 90.1 - SI	(2013) Energy Standard for Buildings
	Except Low-Rise Residential Buildings

#### ASTM INTERNATIONAL (ASTM)

ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A580/A580M	(2018) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C195	(2007; R 2013) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C533	(2017) Standard Specification for Calcium Silicate Block and Pipe Thermal Insulation
ASTM C534/C534M	(2016) Standard Specification for Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C547	(2019) Standard Specification for Mineral Fiber Pipe Insulation
ASTM C552	(2017; E 2018) Standard Specification for

SECTION 23 07 00 Page 1

West Point, NY Lincoln Hall Revised RTA Submissi	Contract #W912DS19C0031 on 1 March 2023
	Cellular Glass Thermal Insulation
ASTM C647	(2008; R 2013) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C755	(2019b) Standard Practice for Selection of Water Vapor Retarders for Thermal Insulation
ASTM C795	(2008; R 2018) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C1136	(2017a) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM D882	(2012) Tensile Properties of Thin Plastic Sheeting
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)
ASTM D5590	(2000; R 2010; E 2012) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay
ASTM E84	(2018a) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials
ASTM E2231	(2019) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics
CALTFORNIA DEPARTMENT O	F PUBLIC HEALTH (CDPH)

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2010; Version 1.1) Standard Method for
	the Testing and Evaluation of Volatile

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 Organic Chemical Emissions from Indoor Sources using Environmental Chambers FM GLOBAL (FM) FM APP GUIDE (updated on-line) Approval Guide http://www.approvalguide.com/ GREEN SEAL (GS) GS-36 (2013) Adhesives for Commercial Use INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO) ISO 2758 (2014) Paper - Determination of Bursting Strength MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS) MSS SP-58 (2018) Pipe Hangers and Supports -Materials, Design and Manufacture, Selection, Application, and Installation MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA) MICA Insulation Stds (8th Ed) National Commercial & Industrial Insulation Standards NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 90A (2018) Standard for the Installation of Air Conditioning and Ventilating Systems (2018) Standard for the Installation of NFPA 90B Warm Air Heating and Air Conditioning Systems SCIENTIFIC CERTIFICATION SYSTEMS (SCS) SCS SCS Global Services (SCS) Indoor Advantage SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD) SCAOMD Rule 1168 (2017) Adhesive and Sealant Applications U.S. DEPARTMENT OF DEFENSE (DOD) MIL-A-3316 (1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation MIL-A-24179 (1969; Rev A; Am 2 1980; Notice 1 1987) Adhesive, Flexible Unicellular-Plastic Thermal Insulation (1988; Rev C) Coating Compounds, Thermal MIL-PRF-19565 Insulation, Fire- and Water-Resistant, Vapor-Barrier

UNDERWRITERS LABORATORIES (UL)

UL 94	(2013; Reprint Sep 2017) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 723	(2018) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 2818	(2013) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

## 1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS shall be furnished and installed by the Contractor.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

MICA Plates; G, RO

Pipe Insulation Systems and Associated Accessories; G, RO

Duct Insulation Systems and Associated Accessories; G, RO

Equipment Insulation Systems and Associated Accessories; G, RO

Recycled content for insulation materials; S

SD-03 Product Data

Pipe Insulation Systems; G, RO

Duct Insulation Systems; G, RO

Equipment Insulation Systems; G, RO

SD-07 Certificates

Indoor air quality for adhesives; S

SD-08 Manufacturer's Instructions

Pipe Insulation Systems; G, RO

Duct Insulation Systems; G, RO

Equipment Insulation Systems; G, RO

#### 1.4 CERTIFICATIONS

## 1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage or provide certification and validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body.

For LEED v4 compliance, provide adhesives and sealants applied within the building interior with VOC emissions certified in compliance with California Department of Public Health CDPH SECTION 01350 Standard Method and VOC content in compliance with limits of SCAQMD Rule 1168.

#### 1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Materials shall be delivered in the manufacturer's unopened containers. Materials delivered and placed in storage shall be provided with protection from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable). Insulation packages and containers shall be asbestos free.

### PART 2 PRODUCTS

## 2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories including adhesives, sealants and

jackets for each mechanical system requiring insulation shall be included. The product data must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. Materials furnished under this section shall be submitted together in a booklet and in conjunction with the MICA plates booklet (SD-02). Annotate the product data to indicate which MICA plate is applicable.

## 2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

#### 2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flame spread, and smoke developed indexes, shall be determined by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

## 2.2 MATERIALS

Provide insulation that meets or exceed the requirements of ASHRAE 90.1 - IP. Insulation exterior shall be cleanable, grease resistant, non-flaking and non-peeling. Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Materials to be used on stainless steel surfaces shall meet ASTM C795 requirements. Calcium silicate shall not be used on chilled or cold water systems. Materials shall be asbestos free. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

## 2.2.1 Adhesives

In accordance with LEED V4, provide adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet emissions requirements of CDPH SECTION 01350 (limit requirements for office spaces regardless of space and VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

## 2.2.1.1 Mineral Fiber Insulation Cement

Cement shall be in accordance with ASTM C195.

#### 2.2.1.2 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, lagging adhesive shall meet ASTM D5590 with 0 growth rating. Lagging adhesives shall be nonflammable and fire-resistant and shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Adhesive shall be MIL-A-3316, Class 1, pigmented white and be suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Lagging adhesives shall be applied in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

## 2.2.1.3 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. The adhesive shall not adversely affect, initially or in service, the insulation to which it is applied, nor shall it cause any corrosive effect on metal to which it is applied. Any solvent dispersing medium or volatile component of the adhesive shall have no objectionable odor and shall not contain any benzene or carbon tetrachloride. The dried adhesive shall not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive shall be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

#### 2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

## 2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum shall be ASTM B209, Alloy 3003, 3105, or 5005.

## 2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, properly installed protective vapor retarder/barriers and vapor stops shall be used on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

#### 2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

## 2.2.6 Fibrous Glass Cloth and Glass Tape

Fibrous glass cloth, with 20X20 maximum mesh size, and glass tape shall have maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Tape shall be 4 inch wide rolls. Class 3 tape shall be 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

## 2.2.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

#### 2.2.8 Jackets

## 2.2.8.1 Aluminum Jackets

Aluminum jackets shall be corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Corrugated aluminum jacket shall not be used outdoors. Aluminum jacket securing bands shall be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands shall be 2 by 0.016 inch aluminum matching jacket material. Bands for insulation below ground shall be 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, the bands at the circumferential joints shall be designed by the manufacturer to seal the joints and hold the jacket in place.Provide vapor barrier between jacket and piping or ductwork.

## 2.2.8.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers shall have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch. Provide vapor barrier between jacket and piping or ductwork.

#### 2.2.8.3 Vapor Barrier/Weatherproofing Jacket

Vapor barrier/weatherproofing jacket shall be laminated self-adhesive, greater than 3 plies standard grade, silver, white, black and embossed; with 0.0000 permeability when tested in accordance with ASTM E96/E96M, using the water transmission rate test method; heavy duty, white or natural; and UV resistant. Flexible Elastomeric exterior foam with factory applied, UV Jacket made with a cold weather acrylic adhesive. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and excellent Water Vapor Transmission (WVT) rate.

#### 2.2.8.4 Vapor Barrier/Vapor Retarder

Apply the following criteria to determine which system is required.

- a. On ducts, piping and equipment operating below 60 degrees F or located outside shall be equipped with a vapor barrier.
- b. Ducts, pipes and equipment that are located inside and that always operate above 60 degrees F shall be installed with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED.

## 2.2.9 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. All non-metallic jackets shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. The product shall meet all physical property and performance requirements of ASTM C1136, Type I, except the burst strength shall be a minimum of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) shall be a minimum of 31.

In addition, neither the outer exposed surface nor the inner-most surface contacting the insulation shall be paper or other moisture-sensitive material. The outer exposed surface shall be white and have an emittance of not less than 0.80. The outer exposed surface shall be paintable.

2.2.9.2 Vapor Retarder/Vapor Barrier Mastic Coatings

#### 2.2.9.2.1 Vapor Barrier

The vapor barrier shall be self adhesive (minimum 2 mils adhesive, 3 mils embossed) greater than 3 plies standard grade, silver, white, black and embossed white jacket for use on hot/cold pipes. Permeability shall be less than 0.02 when tested in accordance with ASTM E96/E96M. Products shall meet UL 723 or ASTM E84 flame and smoke requirements and shall be UV resistant.

#### 2.2.9.2.2 Vapor Retarder

The vapor retarder coating shall be fire and water resistant and appropriately selected for either outdoor or indoor service. Color shall be white. The water vapor permeance of the compound shall be in accordance with ASTM C755, Section 7.2.2, Table 2, for insulation type and service conditions. The coating shall be nonflammable, fire resistant type. To resist mold/mildew, coating shall meet ASTM D5590 with 0 growth rating. Coating shall meet MIL-PRF-19565 Type II (if selected for indoor service) and be Qualified Products Database listed. All other application

and service properties shall be determined pursuant to ASTM C647.

2.2.9.3 Laminated Film Vapor Retarder

ASTM C1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork; where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable. Vapor retarder shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible Elastomeric exterior foam with factory applied UV Jacket. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

2.2.9.4 Polyvinylidene Chloride (PVDC) Film Vapor Retarder

The PVDC film vapor retarder shall have a maximum moisture vapor transmission of 0.02 perms, minimum puncture resistance of 150 Beach units, a minimum tensile strength in any direction of 30 lb/inch when tested in accordance with ASTM D882, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.9.5 Polyvinylidene Chloride Vapor Retarder Adhesive Tape

Requirements must meet the same as specified for Laminated Film Vapor Retarder above.

2.2.9.6 Vapor Barrier/Weather Barrier

The vapor barrier shall be greater than 3 ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000 permeability when tested in accordance with ASTM E96/E96M). Vapor barrier shall meet UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum burst strength 185 psi in accordance with ISO 2758. Tensile strength 68 lb/inch width (PSTC-1000). Tape shall be as specified for laminated film vapor barrier above.

2.2.10 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Jacket shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.11 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2.12 Insulation Bands

Insulation bands shall be 1/2 inch wide; 26 gauge stainless steel.

2.2.13 Sealants

Sealants shall be chosen from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Sealants

shall have a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

#### 2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements of ASHRAE 90.1 - IP. Limit pipe insulation materials to those listed herein and meeting the following requirements:

#### 2.3.1 Recycled Materials

Provide insulation materials containing the following minimum percentage of recycled material content by weight:

Rock Wool: 75 percent slag of weight

Provide data identifying percentage of recycled content for insulation materials.

2.3.2 Aboveground Cold Pipeline ( -30 to 60 deg. F)

Insulation for outdoor, indoor, exposed or concealed applications, shall be as follows:

## 2.3.2.1 Cellular Glass

ASTM C552, Type II, and Type III. Supply the insulation from the fabricator with (paragraph WHITE VAPOR RETARDER ALL SERVICE JACKET (ASJ)) ASJ vapor retarder and installed with all longitudinal overlaps sealed and all circumferential joints ASJ taped or supply the insulation unfaced from the fabricator and install with all longitudinal and circumferential joints sealed with vapor barrier mastic.

2.3.2.2 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II. Type I, Grade 1 for tubular materials. Type II, Grade 1, for sheet materials. Type I and II shall have vapor retarder/vapor barrier skin on one or both sides of the insulation, and require an additional exterior vapor retarder covering for high relative humidity and below ambient temperature applications.

2.3.3 Aboveground Hot Pipeline (Above 60 deg. F)

Insulation for outdoor, indoor, exposed or concealed applications shall meet the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

## 2.3.3.1 Mineral Fiber

ASTM C547, Types I, II or III, supply the insulation with manufacturer's recommended factory-applied jacket.

## 2.3.3.2 Calcium Silicate

ASTM C533, Type I indoor only, or outdoors above 250 degrees F pipe temperature. Supply insulation with the manufacturer's recommended factory-applied jacket/vapor barrier.

## 2.3.3.3 Cellular Glass

ASTM C552, Type II and Type III. Supply the insulation with manufacturer's recommended factory-applied jacket.

#### 2.3.3.4 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II to 220 degrees F service. Type I for tubular materials. Type II for sheet materials.

#### 2.4 DUCT INSULATION SYSTEMS

#### 2.4.1 Field Applied Insulation

Provide fASTM C547 formed mineral fiber insulation with integral wicking maaterial (MFIWM) insulation according to manufacturer's recommendations for insulation with insulation manufacturer's standard reinforced fire-retardant vapor barrier, with identification of installed thermal resistance (R) value and out-of-package R value.

#### 2.4.1.1 Rigid Insulation

Mineral fiber insulation must be rigid formed. Provide mineral fiber insulation with minimum thickness in accordance with contract drawings.

#### 2.4.1.2 Blanket Insulation

Provide mineral fiber insulation with minimum thickness in accordance with contract drawings.

## 2.4.2 Duct Insulation Jackets

#### 2.4.2.1 All-Purpose Jacket

Provide insulation with insulation manufacturer's standard reinforced fire-retardant jacket with or without integral vapor barrier as required by the service. In exposed locations, provide jacket with a white surface suitable for field painting.

#### 2.4.2.2 Metal Jackets

#### 2.4.2.2.1 Aluminum Jackets

ASTM B209, Temper H14, minimum thickness of 27 gauge (0.016 inch), with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide smooth surface jackets for jacket outside dimension 8 inches and larger. Provide corrugated surface jackets for jacket outside dimension 8 inches and larger. Provide stainless steel bands, minimum width of 1/2 inch.

#### 2.4.2.3 Vapor Barrier/Weatherproofing Jacket

Vapor barrier/weatherproofing jacket shall be laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, (greater than 3 ply, standard grade, white, and embossed or greater than 8 ply (minimum 2.9 mils adhesive), heavy duty white.

## 2.4.3 Weatherproof Duct Insulation

Insulate ductwork and accessories as specified in Tables 5 and 6. Provide ASTM C547 mineral fiber insulation, rigid formed with an integral wicking material designed to remove condensed water. Provide vapor barrier as specified in manufacturer's instructions.

#### 2.5 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 5 and 6. In outside locations, provide insulation 1/2 inch thicker than specified. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems in coordination with the submitted MICA Insulation Stds plates booklet. Annotate their installation instructions to indicate which product data and which MICA plate are applicable. The instructions must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. A booklet is also required by paragraphs titled: Pipe Insulation Systems and Duct Insulation Systems.

## PART 3 EXECUTION

## 3.1 APPLICATION - GENERAL

Insulation shall only be applied to unheated and uncooled piping and equipment. Flexible elastomeric cellular insulation shall not be compressed at joists, studs, columns, ducts, hangers, etc. The insulation shall not pull apart after a one hour period; any insulation found to pull apart after one hour, shall be replaced.

#### 3.1.1 Display Samples

Submit and display, after approval of materials, actual sections of installed systems, properly insulated in accordance with the specification requirements. Such actual sections must remain accessible to inspection throughout the job and will be reviewed from time to time for controlling the quality of the work throughout the construction site. Each material used shall be identified, by indicating on an attached sheet the specification requirement for the material and the material by each manufacturer intended to meet the requirement. The Contracting Officer will inspect display sample sections at the jobsite. Approved display sample sections shall remain on display at the jobsite during the construction period. Upon completion of construction, the display sample sections will be closed and sealed.

## 3.1.1.1 Pipe Insulation Display Sections

Display sample sections shall include as a minimum an elbow or tee, a valve, dielectric waterways and flanges, a hanger with protection shield

and insulation insert, or dowel as required, at support point, method of fastening and sealing insulation at longitudinal lap, circumferential lap, butt joints at fittings and on pipe runs, and terminating points for each type of pipe insulation used on the job, and for hot pipelines and cold pipelines, both interior and exterior, even when the same type of insulation is used for these services.

#### 3.1.1.2 Duct Insulation Display Sections

Display sample sections for rigid and flexible duct insulation used on the job. Use a temporary covering to enclose and protect display sections for duct insulation exposed to weather

## 3.1.2 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests and heat tracing specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Mineral fiber thermal insulating cement shall be mixed with demineralized water when used on stainless steel surfaces. Insulation, jacketing and accessories shall be installed in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

#### 3.1.3 Firestopping

Where pipes and ducts pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, the penetration shall be sealed with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING. The protection of ducts at point of passage through firewalls must be in accordance with NFPA 90A and/or NFPA 90B. All other penetrations, such as piping, conduit, and wiring, through firewalls must be protected with a material or system of the same hourly rating that is listed by UL, FM, or a NRTL.

#### 3.1.4 Painting and Finishing

Painting shall be as specified in Section 09 90 00 PAINTS AND COATINGS.

### 3.1.5 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Flexible elastomeric cellular insulation shall not be used on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather and not shown to have vapor barrier weatherproof jacketing with two coats of UV resistant finish or PVC or metal jacketing as recommended by the manufacturer after the adhesive is dry and cured.

## 3.1.5.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Insulation seals at seams and joints shall not be capable of being pulled apart one hour after application. Insulation that can be pulled apart one hour after installation shall be replaced.

## 3.1.5.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

3.1.6 Welding

No welding shall be done on piping, duct or equipment without written approval of the Contracting Officer. The capacitor discharge welding process may be used for securing metal fasteners to duct.

3.1.7 Pipes/Ducts/Equipment That Require Insulation

Insulation is required on all pipes, ducts, or equipment, except for omitted items as specified.

3.2 PIPE INSULATION SYSTEMS INSTALLATION

Install pipe insulation systems in accordance with the approved MICA Insulation Stds plates as supplemented by the manufacturer's published installation instructions.

- 3.2.1 Pipe Insulation
- 3.2.1.1 General

Cellular glass pipe insulation shall be installed on aboveground hot and cold pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Installation shall be with full length units of insulation and using a single cut piece to complete a run. Cut pieces or scraps abutting each other shall not be used. Pipe insulation shall be omitted on the following:

- a. Pipe used solely for fire protection.
- b. Chromium plated pipe to plumbing fixtures. However, fixtures for use by the physically handicapped shall have the hot water supply and drain, including the trap, insulated where exposed.
- c. Sanitary drain lines.
- d. Air chambers.
- e. Adjacent insulation.

- f. ASME stamps.
- g. Access plates of fan housings.
- h. Cleanouts or handholes.
- 3.2.1.2 Pipes Passing Through Walls, Roofs, and Floors

Pipe insulation shall be continuous through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 ply standard grade, silver, white, black and embossed with factory applied moisture retarder over the insulation wherever penetrations require sealing.

3.2.1.2.1 Penetrate Interior Walls

The aluminum jacket or vapor barrier/weatherproofing - self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 plies standard grade, silver, white, black and embossed shall extend 2 inches beyond either side of the wall and shall be secured on each end with a band.

3.2.1.2.2 Penetrating Floors

Extend the aluminum jacket from a point below the backup material to a point 10 inches above the floor with one band at the floor and one not more than 1 inch from the end of the aluminum jacket.

3.2.1.2.3 Penetrating Waterproofed Floors

Extend the aluminum jacket rom below the backup material to a point 2 inches above the flashing with a band 1 inch from the end of the aluminum jacket.

3.2.1.2.4 Penetrating Exterior Walls

Continue the aluminum jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall.

3.2.1.2.5 Penetrating Roofs

Insulate pipe as required for interior service to a point flush with the top of the flashing and sealed with flashing sealant. Tightly butt the insulation for exterior application to the top of flashing and interior insulation. Extend the exterior aluminum jacket 2 inches down beyond the end of the insulation to form a counter flashing. Seal the flashing and counter flashing underneath with metal jacketing/flashing sealant.

3.2.1.2.6 Hot Water Pipes Supplying Lavatories or Other Similar Heated Service

Terminate the insulation on the backside of the finished wall. Protect the insulation termination with two coats of vapor barrier coating with a minimum total thickness of 1/16 inch applied with glass tape embedded between coats (if applicable). Extend the coating out onto the insulation 2 inches and seal the end of the insulation. Overlap glass tape seams 1 inch. Caulk the annular space between the pipe and wall penetration with

approved fire stop material. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. The escutcheon plate shall overlap the wall penetration at least 3/8 inches.

3.2.1.2.7 Domestic Cold Water Pipes Supplying Lavatories or Other Similar Cooling Service

Terminate the insulation on the finished side of the wall (i.e., insulation must cover the pipe throughout the wall penetration). Protect the insulation with two coats of weather barrier mastic (breather emulsion type weatherproof mastic impermeable to water and permeable to air) with a minimum total thickness of 1/16 inch. Extend the mastic out onto the insulation 2 inches and shall seal the end of the insulation. The annular space between the outer surface of the pipe insulation and caulk the wall penetration with an approved fire stop material having vapor retarder properties. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. The escutcheon plate shall overlap the wall penetration by at least 3/8 inches.

3.2.1.3 Pipes Passing Through Hangers

Insulation, whether hot or cold application, shall be continuous through hangers. All horizontal pipes 2 inches and smaller shall be supported on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, insulation inserts as specified below for piping larger than 2 inches shall be installed, or factory insulated hangers (designed with a load bearing core) can be used.

3.2.1.3.1 Horizontal Pipes Larger Than 2 Inches at 60 Degrees F and Above

Supported on hangers in accordance with MSS SP-58, and Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.2.1.3.2 Horizontal Pipes Larger Than 2 Inches and Below 60 Degrees F

Supported on hangers with the addition of a Type 40 protection shield in accordance with MSS SP-58. An insulation insert of cellular glass, prefabricated insulation pipe hangers, or perlite above 80 degrees F shall be installed above each shield. The insert shall cover not less than the bottom 180-degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the weight of the pipe from crushing the insulation, as an option to installing insulation inserts. The insulation jacket shall be continuous over the wooden dowel, wooden block, or insulation insert.

## 3.2.1.3.3 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-58 covering the 360-degree arc of the insulation. An insulation insert of cellular glass or calcium silicate shall be installed between each shield and the pipe. The insert shall cover the 360-degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. When insulation inserts are required in

accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. The insulation jacket shall be continuous over the wooden dowel, wooden block, or insulation insert. The vertical weight of the pipe shall be supported with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, the weight of the pipe shall be additionally supported with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. These hangers shall be insulated and the insulation jacket sealed as indicated herein for anchors in a similar service.

## 3.2.1.3.4 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. The jacket material used to cover inserts in flexible elastomeric cellular insulation shall conform to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.4 Flexible Elastomeric Cellular Pipe Insulation

Flexible elastomeric cellular pipe insulation shall be tubular form for pipe sizes 6 inches and less. Grade 1, Type II sheet insulation used on pipes larger than 6 inches shall not be stretched around the pipe. On pipes larger than 12 inches, the insulation shall be adhered directly to the pipe on the lower 1/3 of the pipe. Seams shall be staggered when applying multiple layers of insulation. Sweat fittings shall be insulated with miter-cut pieces the same size as on adjacent piping. Screwed fittings shall be insulated with sleeved fitting covers fabricated from miter-cut pieces and shall be overlapped and sealed to the adjacent pipe insulation. Type II requires an additional exterior vapor retarder/barrier covering for high relative humidity and below ambient temperature applications.

3.2.1.5 Pipes in high abuse areas or exposed below ceilings.

In high abuse areas such as janitor closets, equipment rooms, and for any exposed, insulated piping located less than 7 feet above floor, welded PVC or stainless steel jackets shall be utilized.

3.2.1.6 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of ASHRAE 90.1 - SI.

	TABLE 1				
Insulation Material for Piping					
Serv	ice				
	Material Specification Type Class VR/VB Re				VR/VB Req'd
Chilled Water (Supply & Return, 40 F nominal)					

	In	sulation Material for P	iping		
Ser	vice				
	Material	Specification	Туре	Class	VR/VB Req'o
	Cellular Glass	ASTM C552	II	2	Yes
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		Yes
Hea	ting Hot Water Supply & Re	turn (Max 250 F)	I		-1
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No
Col	l d Domestic Water Piping, M	akeup Water & Drinking B	Fountain Dr	ain Pipi	.ng
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Hot	Domestic Water Supply & R	ecirculating Piping (Max	c 200 F)	1	
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Ste	am and Condensate Return (	201 to 250 Degrees F	ł		-
	Cellular Glass	ASTM C552	II		No
	Calcium Silicate	ASTM C533	I		No
	osed Lavatory Drains, Expo dicapped Personnel	sed Domestic Water Pipir	ng & Drains	to Area	as for
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Hor	izontal Roof Drain Leaders	(Including Underside of	Roof Drai	n Fittin	ngs)
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
	Cellular Glass	ASTM C552	III		Yes
Con	densate Drain Located Insid	de Building	·	•	•
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
		Vapor Barrier			1

TABLE 2 Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4. Service Material Tube And Pipe Size (inch) <1 1-<1.5 1.5-<4 4-<8 > or = >8 Chilled Water (Supply & Return, 40 Degrees F nominal) Cellular Glass 1.5 2 3 2 2.5 Flexible Elastomeric Cellular 1 1 N/A N/A 1 Heating Hot Water Supply & Return (Max 250 F) Cellular Glass 2 2.5 3 3 3 Flexible Elastomeric Cellular 1 1 1 N/A N/A Cold Domestic Water Piping, Makeup Water & Drinking Fountain Drain Piping Cellular Glass 1.5 1.5 1.5 1.5 1.5 Flexible Elastomeric Cellular 1 1 1 N/A N/A Hot Domestic Water Supply & Recirculating Piping (Max 200 F) Cellular Glass 1.5 1.5 1.5 2 2 Flexible Elastomeric Cellular 1 1 1 N/A N/A Steam and Condensate Return (201 to 250 Degrees F Calcium Silicate 2.5 4.5 3 4 4 Cellular Glass 2.5 3 2 3 3 Exposed Lavatory Drains, Exposed Domestic Water Piping & Drains to Areas for Handicapped Personnel Flexible Elastomeric Cellular 0.5 0.5 0.5 0.5 0.5 Horizontal Roof Drain Leaders (Including Underside of Roof Drain Fittings) Cellular Glass 1.5 1.5 1.5 1.5 1.5 Flexible Elastomeric Cellular 1 1 1 N/A N/A

	TABLE 2					
	Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.					
Ser	vice					
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Condensate Drain Located Inside Building						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A

#### 3.2.2 Aboveground Cold Pipelines

The following cold pipelines for minus 30 to plus 60 degrees F, shall be insulated in accordance with Table 2 except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted. This includes but is not limited to the following:

- a. Make-up water.
- b. Horizontal and vertical portions of interior roof drains.
- d. Chilled water.
- f. Air conditioner condensate drains.
- h. Exposed lavatory drains and domestic water lines serving plumbing fixtures for handicap persons.
- i. Domestic cold and chilled drinking water.
- Insulation Material and Thickness 3.2.2.1

Insulation thickness for cold pipelines shall be determined using Table 2.

3.2.2.2 Factory or Field applied Jacket

Insulation shall be covered with a factory applied vapor retarder jacket/vapor barrier or field applied seal welded PVC jacket or greater

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than 3 ply laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, standard grade, sliver, white, black and embossed for use with Mineral Fiber, and Cellular Glass. Insulation inside the building, to be protected with an aluminum jacket or greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, Embossed Silver, White & Black, shall have the insulation and vapor retarder jacket installed as specified herein. The aluminum jacket or greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, White & Black, shall be installed as specified for piping exposed to weather, except sealing of the laps of the aluminum jacket is not required. In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, aluminum jackets or greater than 3ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, white & black, shall be provided for pipe insulation to the 6 ft level.

Provide separate vapor barrier wherever metal jacketing is used.

3.2.2.3 Installing Insulation for Straight Runs Hot and Cold Pipe

Apply insulation to the pipe with tight butt joints. Seal all butted joints and ends with joint sealant and seal with a vapor retarder coating, greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or PVDC adhesive tape.

#### 3.2.2.3.1 Longitudinal Laps of the Jacket Material

Overlap not less than 1-1/2 inches. Provide butt strips 3 inches wide for circumferential joints.

3.2.2.3.2 Laps and Butt Strips

Secure with adhesive and staple on 4 inch centers if not factory self-sealing. If staples are used, seal in accordance with paragraph STAPLES below. Note that staples are not required with cellular glass systems.

#### 3.2.2.3.3 Factory Self-Sealing Lap Systems

May be used when the ambient temperature is between 40 and 120 degrees F during installation. Install the lap system in accordance with manufacturer's recommendations. Use a stapler only if specifically recommended by the manufacturer. Where gaps occur, replace the section or repair the gap by applying adhesive under the lap and then stapling.

## 3.2.2.3.4 Staples

Coat all staples, including those used to repair factory self-seal lap systems, with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - 0.0000 perm adhesive tape. Coat all seams, except those on factory self-seal systems, with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape. 3.2.2.3.5 Breaks and Punctures in the Jacket Material

Patch by wrapping a strip of jacket material around the pipe and secure it with adhesive, staple, and coat with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape. Extend the patch not less than 1-1/2 inches past the break.

3.2.2.3.6 Penetrations Such as Thermometers

Fill the voids in the insulation and seal with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.

3.2.2.3.7 Flexible Elastomeric Cellular Pipe Insulation

Install by slitting the tubular sections and applying them onto the piping or tubing. Alternately, whenever possible slide un-slit sections over the open ends of piping or tubing. Secure all seams and butt joints and seal with adhesive. When using self seal products only the butt joints shall be secured with adhesive. Push insulation on the pipe, never pulled. Stretching of insulation may result in open seams and joints. Clean cut all edges. Rough or jagged edges of the insulation are not be permitted. Use proper tools such as sharp knives. Do not stretch Grade 1, Type II sheet insulation around the pipe when used on pipe larger than 6 inches.

## 3.2.2.4 Insulation for Fittings and Accessories

- a. Pipe insulation shall be tightly butted to the insulation of the fittings and accessories. The butted joints and ends shall be sealed with joint sealant and sealed with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket less than 0.0000 perm adhesive tape.
- b. Precut or preformed insulation shall be placed around all fittings and accessories and shall conform to MICA plates except as modified herein: 5 for anchors; 10, 11, and 13 for fittings; 14 for valves; and 17 for flanges and unions. Insulation shall be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter. Elbows insulated using segments shall conform to MICA Tables 12.20 "Mitered Insulation Elbow'. Submit a booklet containing completed MICA Insulation Stds plates detailing each insulating system for each pipe, duct, or equipment insulation.
  - (1) The MICA plates shall detail the materials to be installed and the specific insulation application. Submit all MICA plates required showing the entire insulating system, including plates required to show insulation penetrations, vessel bottom and top heads, legs, and skirt insulation as applicable. The MICA plates shall present all variations of insulation systems including locations, materials, vaporproofing, jackets and insulation accessories.

- (2) If the Contractor elects to submit detailed drawings instead of edited MICA Plates, the detail drawings shall be technically equivalent to the edited MICA Plate submittal.
- c. Upon completion of insulation installation on flanges, unions, valves, anchors, fittings and accessories, terminations, seams, joints and insulation not protected by factory vapor retarder jackets or PVC fitting covers shall be protected with PVDC or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or two coats of vapor retarder coating with a minimum total thickness of 1/16 inch, applied with glass tape embedded between coats. Tape seams shall overlap 1 inch. The coating shall extend out onto the adjoining pipe insulation 2 inches. Fabricated insulation with a factory vapor retarder jacket shall be protected with either greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape, standard grade, silver, white, black and embossed or PVDC adhesive tape or two coats of vapor retarder coating with a minimum thickness of 1/16 inch and with a 2 inch wide glass tape embedded between coats. Where fitting insulation butts to pipe insulation, the joints shall be sealed with a vapor retarder coating and a 4 inch wide ASJ tape which matches the jacket of the pipe insulation.
- d. Anchors attached directly to the pipe shall be insulated for a sufficient distance to prevent condensation but not less than 6 inches from the insulation surface.
- e. Insulation shall be marked showing the location of unions, strainers, and check valves.

## 3.2.2.5 Optional PVC Fitting Covers

At the option of the Contractor, premolded, one or two piece PVC fitting covers may be used in lieu of the vapor retarder and embedded glass tape. Factory precut or premolded insulation segments shall be used under the fitting covers for elbows. Insulation segments shall be the same insulation as the pipe insulation including same density, thickness, and thermal conductivity. The covers shall be secured by PVC vapor retarder tape, adhesive, seal welding or with tacks made for securing PVC covers. Seams in the cover, and tacks and laps to adjoining pipe insulation jacket, shall be sealed with vapor retarder tape to ensure that the assembly has a continuous vapor seal.

## 3.2.3 Aboveground Hot Pipelines

## 3.2.3.1 General Requirements

All hot pipe lines above 60 degrees F, except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted, shall be insulated in accordance with Table 2. This includes but is not limited to the following:

- a. Domestic hot water supply & re-circulating system.
- b. Steam.
- c. Steam condensate discharge.
- d. Hot water heating.

Insulation shall be covered, in accordance with manufacturer's recommendations, with a factory applied Type I jacket or field applied aluminum where required or seal welded PVC.

## 3.2.3.2 Insulation for Fittings and Accessories

Pipe insulation shall be tightly butted to the insulation of the fittings and accessories. The butted joints and ends shall be sealed with joint sealant. Insulation shall be marked showing the location of unions, strainers, check valves and other components that would otherwise be hidden from view by the insulation.

3.2.3.2.1 Precut or Preformed

Place precut or preformed insulation around all fittings and accessories. Insulation shall be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity.

#### 3.2.3.2.2 Rigid Preformed

Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter. Elbows insulated using segments shall conform to MICA Tables 12.20 "Mitered Insulation Elbow".

#### 3.2.4 Piping Exposed to Weather

Piping exposed to weather shall be insulated and jacketed as specified for the applicable service inside the building. After this procedure, a laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability (greater than 3 ply, standard grade, white and embossed stainless steel or PVC jacket shall be applied.

Flexible elastomeric cellular insulation exposed to weather shall be treated in accordance with paragraph INSTALLATION OF FLEXIBLE ELASTOMERIC CELLULAR INSULATION in PART 3.

## 3.2.4.1 Aluminum Jacket

The jacket for hot piping may be factory applied. The jacket shall overlap not less than 2 inches at longitudinal and circumferential joints and shall be secured with bands at not more than 12 inch centers. Longitudinal joints shall be overlapped down to shed water and located at 4 or 8 o'clock positions. Joints on piping 60 degrees F and below shall be sealed with metal jacketing/flashing sealant while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an un-insulated surface, joints shall be caulked to prevent moisture penetration. Joints on piping above 60 degrees F shall be sealed with a moisture retarder.

## 3.2.4.2 Insulation for Fittings

Flanges, unions, valves, fittings, and accessories shall be insulated and finished as specified for the applicable service. Two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer shall be applied with glass tape embedded between coats. Tape overlaps shall be not less than 1 inch and the adjoining aluminum jacket not less than 2 inches. Factory preformed aluminum jackets may be used in lieu of the above. Molded PVC fitting covers shall be provided when PVC jackets are used for straight runs of pipe. PVC fitting covers shall have adhesive welded joints and shall be weatherproof laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed, and UV resistant.

## 3.3 DUCT INSULATION SYSTEMS INSTALLATION

Install duct insulation systems in accordance with the approved MICA Insulation Stds plates as supplemented by the manufacturer's published installation instructions. Duct insulation minimum thickness and insulation level must be as listed in Table 3 and must meet or exceed the requirements of ASHRAE 90.1 - SI.

Corner angles shall be installed on external corners of insulation on ductwork in exposed finished spaces before covering with jacket. Duct insulation shall be omitted on exhaust and return ducts located inside building.

#### 3.3.1 Duct Insulation Minimum Thickness

Duct insulation minimum thickness in accordance with Table 4.

Table 4 - Minimum Duc	t Insulation (inches)
All ductwork located outside building (supply, return, exhaust)	2.0
Cold Air and Ventilation Ducts	2.0
Fresh Air Intake Ducts	1.5
Warm Air Ducts	2.0

#### 3.3.2 Insulation and Vapor Retarder/Vapor Barrier for Cold Air Duct

Insulation and vapor retarder/vapor barrier shall be provided for the following cold air ducts and associated equipment.

a. Supply ducts.

- d. Flexible run-outs (field-insulated).
- e. Plenums.

n. Ducts exposed to weather.

Insulation for rectangular ducts shall be flexible type where concealed, minimum density 3/4 pcf, and rigid type where exposed, minimum density 3 pcf. Insulation for both concealed or exposed round/oval ducts shall be flexible type, minimum density 3/4 pcf or a semi rigid board, minimum density 3 pcf, formed or fabricated to a tight fit, edges beveled and joints tightly butted and staggered. Insulation for all exposed ducts shall be provided with either a white, paint-able, factory-applied Type I jacket or a field applied vapor retarder/vapor barrier jacket coating finish as specified, the total field applied dry film thickness shall be approximately 1/16 inch. Insulation on all concealed duct shall be provided with a factory-applied Type I or II vapor retarder/vapor barrier jacket. Duct insulation shall be continuous through sleeves and prepared openings except firewall penetrations. Duct insulation terminating at fire dampers, shall be continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air and which may be prone to condensate formation. Duct insulation and vapor retarder/vapor barrier shall cover the collar, neck, and any un-insulated surfaces of diffusers, registers and grills. Vapor retarder/vapor barrier materials shall be applied to form a complete unbroken vapor seal over the insulation. Sheet Metal Duct shall be sealed in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

- 3.3.2.1 Installation on Concealed Duct
  - a. For rectangular, oval or round ducts, flexible insulation shall be attached by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.
  - b. For rectangular, round and oval ducts, 24 inches and larger insulation shall be additionally secured to bottom of ducts by the use of mechanical fasteners. Fasteners shall be spaced on 16 inch centers and not more than 16 inches from duct corners.
  - c. For rectangular, oval and round ducts, mechanical fasteners shall be provided on sides of duct risers for all duct sizes. Fasteners shall be spaced on 16 inch centers and not more than 16 inches from duct corners.

- d. Insulation shall be impaled on the mechanical fasteners (self stick pins) where used and shall be pressed thoroughly into the adhesive. Care shall be taken to ensure vapor retarder/vapor barrier jacket joints overlap 2 inches. The insulation shall not be compressed to a thickness less than that specified. Insulation shall be carried over standing seams and trapeze-type duct hangers.
- e. Where mechanical fasteners are used, self-locking washers shall be installed and the pin trimmed and bent over.
- f. Jacket overlaps shall be secured with staples and tape as necessary to ensure a secure seal. Staples, tape and seams shall be coated with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- g. Breaks in the jacket material shall be covered with patches of the same material as the vapor retarder jacket. The patches shall extend not less than 2 inches beyond the break or penetration in all directions and shall be secured with tape and staples. Staples and tape joints shall be sealed with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- h. At jacket penetrations such as hangers, thermometers, and damper operating rods, voids in the insulation shall be filled and the penetration sealed with a brush coat of vapor retarder coating or PVDC adhesive tape greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- i. Insulation terminations and pin punctures shall be sealed and flashed with a reinforced vapor retarder coating finish or tape with a brush coat of vapor retarder coating.. The coating shall overlap the adjoining insulation and un-insulated surface 2 inches. Pin puncture coatings shall extend 2 inches from the puncture in all directions.
- j. Where insulation standoff brackets occur, insulation shall be extended under the bracket and the jacket terminated at the bracket.
- 3.3.2.2 Installation on Exposed Duct Work
  - a. For rectangular ducts, rigid insulation shall be secured to the duct by mechanical fasteners on all four sides of the duct, spaced not more than 12 inches apart and not more than 3 inches from the edges of the insulation joints. A minimum of two rows of fasteners shall be provided for each side of duct 12 inches and larger. One row shall be provided for each side of duct less than 12 inches. Mechanical fasteners shall be as corrosion resistant as G60 coated galvanized steel, and shall indefinitely sustain a 50 lb tensile dead load test perpendicular to the duct wall.
  - b. Form duct insulation with minimum jacket seams. Fasten each piece of rigid insulation to the duct using mechanical fasteners. When the height of projections is less than the insulation thickness, insulation shall be brought up to standing seams, reinforcing, and other vertical projections and shall not be carried over. Vapor retarder/barrier jacket shall be continuous across seams, reinforcing, and projections. When height of projections is greater than the

insulation thickness, insulation and jacket shall be carried over. Apply insulation with joints tightly butted. Neatly bevel insulation around name plates and access plates and doors.

- c. Impale insulation on the fasteners; self-locking washers shall be installed and the pin trimmed and bent over.
- d. Seal joints in the insulation jacket with a 4 inch wide strip of tape. Seal taped seams with a brush coat of vapor retarder coating.
- e. Breaks and ribs or standing seam penetrations in the jacket material shall be covered with a patch of the same material as the jacket. Patches shall extend not less than 2 inches beyond the break or penetration and shall be secured with tape and stapled. Staples and joints shall be sealed with a brush coat of vapor retarder coating.
- f. At jacket penetrations such as hangers, thermometers, and damper operating rods, the voids in the insulation shall be filled and the penetrations sealed with a flashing sealant.
- g. Insulation terminations and pin punctures shall be sealed and flashed with a reinforced vapor retarder coating finish. The coating shall overlap the adjoining insulation and un-insulated surface 2 inches. Pin puncture coatings shall extend 2 inches from the puncture in all directions.
- h. Oval and round ducts, flexible type, shall be insulated with factory Type I jacket insulation with minimum density of 3/4 pcf, attached as in accordance with MICA standards.
- 3.3.3 Insulation for Warm Air Duct

Insulation and vapor barrier shall be provided for the following warm air ducts and associated equipment:.

- a. Supply ducts.
- b. Return air ducts.
- c. Relief air ducts
- d. Exhaust air ducts conveying moisture laden air.
- e. Plenums.
- f. Duct-mounted coil casings.
- g. Coil-headers and return bends.
- h. Coil casings.
- i. Fresh air intake ducts.
- j. Filter boxes.
- k. Mixing boxes.
- 1. Supply fans.

- m. Site-erected air conditioner casings.
- n. Ducts exposed to weather.
- o. Exhaust ducts passing through concealed spaces exhausting conditioned air.

Insulation for rectangular ducts shall be flexible type where concealed, and rigid type where exposed. Insulation on exposed ducts shall be provided with a white, paint-able, factory-applied Type II jacket, or finished with adhesive finish. Flexible type insulation shall be used for round ducts, with a factory-applied Type II jacket. Insulation on concealed duct shall be provided with a factory-applied Type II jacket. Adhesive finish where indicated to be used shall be accomplished by applying two coats of adhesive with a layer of glass cloth embedded between the coats. The total dry film thickness shall be approximately 1/16 inch. Duct insulation shall be continuous through sleeves and prepared openings. Duct insulation shall terminate at fire dampers and flexible connections.

3.3.3.1 Installation on Concealed Duct

- a. For rectangular, oval and round ducts, insulation shall be attached by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.
- b. For rectangular, round and oval ducts 24 inches and larger, insulation shall be secured to the bottom of ducts by the use of mechanical fasteners. Fasteners shall be spaced on 18 inch centers and not more than 18 inches from duct corner.
- c. For rectangular, oval and round ducts, mechanical fasteners shall be provided on sides of duct risers for all duct sizes. Fasteners shall be spaced on 18 inch centers and not more than 18 inches from duct corners.
- d. The insulation shall be impaled on the mechanical fasteners where used. The insulation shall not be compressed to a thickness less than that specified. Insulation shall be carried over standing seams and trapeze-type hangers.
- e. Self-locking washers shall be installed where mechanical fasteners are used and the pin trimmed and bent over.
- f. Insulation jacket shall overlap not less than 2 inches at joints and the lap shall be secured and stapled on 4 inch centers.

# 3.3.3.2 Installation on Exposed Duct

- a. For rectangular ducts, the rigid insulation shall be secured to the duct by the use of mechanical fasteners on all four sides of the duct, spaced not more than 16 inches apart and not more than 6 inches from the edges of the insulation joints. A minimum of two rows of fasteners shall be provided for each side of duct 12 inches and larger and a minimum of one row for each side of duct less than 12 inches.
- b. Duct insulation with factory-applied jacket shall be formed with minimum jacket seams, and each piece of rigid insulation shall be fastened to the duct using mechanical fasteners. When the height of

projection is less than the insulation thickness, insulation shall be brought up to standing seams, reinforcing, and other vertical projections and shall not be carried over the projection. Jacket shall be continuous across seams, reinforcing, and projections. Where the height of projections is greater than the insulation thickness, insulation and jacket shall be carried over the projection.

- c. Insulation shall be impaled on the fasteners; self-locking washers shall be installed and pin trimmed and bent over.
- d. Joints on jacketed insulation shall be sealed with a 4 inch wide strip of tape and brushed with vapor retarder coating.
- e. Breaks and penetrations in the jacket material shall be covered with a patch of the same material as the jacket. Patches shall extend not less than 2 inches beyond the break or penetration and shall be secured with adhesive and stapled.
- f. Insulation terminations and pin punctures shall be sealed with tape and brushed with vapor retarder coating.
- g. Oval and round ducts, flexible type, shall be insulated with factory Type I jacket insulation, minimum density of 3/4 pcf attached by staples spaced not more than 16 inches and not more than 6 inches from the degrees of joints. Joints shall be sealed in accordance with item "d." above.
- 3.3.4 Ducts Handling Air for Dual Purpose

For air handling ducts for dual purpose below and above 60 degrees F, ducts shall be insulated as specified for cold air duct.

3.3.5 Duct Test Holes

After duct systems have been tested, adjusted, and balanced, breaks in the insulation and jacket shall be repaired in accordance with the applicable section of this specification for the type of duct insulation to be repaired.

3.3.6 Duct Exposed to Weather

# 3.3.6.1 Installation

Ducts exposed to weather shall be insulated and finished as specified for the applicable service for exposed duct inside the building. After the above is accomplished, the insulation shall then be further finished as detailed in the following subparagraphs.

# 3.3.6.2 Round Duct

Laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - Less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply, heavy duty, white and natural) membrane shall be applied overlapping material by 3 inches no bands or caulking needed - see manufacturer's recommended installation instructions. Aluminum jacket with factory applied moisture retarder shall be applied with the joints lapped not less than 3 inches and secured with bands located at circumferential laps and at not more than 12 inch intervals throughout.

Horizontal joints shall lap down to shed water and located at 4 or 8 o'clock position. Joints shall be sealed with metal jacketing sealant to prevent moisture penetration. Where jacketing abuts an un-insulated surface, joints shall be sealed with metal jacketing sealant.

# 3.3.6.3 Fittings

Fittings and other irregular shapes shall be finished as specified for rectangular ducts.

## 3.3.6.4 Rectangular Ducts

Two coats of weather barrier mastic reinforced with fabric or mesh for outdoor application shall be applied to the entire surface. Each coat of weatherproof mastic shall be 1/16 inch minimum thickness. The exterior shall be a metal jacketing applied for mechanical abuse and weather protection, and secured with screws or vapor barrier/weatherproofing jacket less than 0.0000 permeability greater than 3 ply, standard grade, silver, white, black, and embossed or greater than 8 ply, heavy duty white and natural. Membrane shall be applied overlapping material by 3 inches. No bands or caulking needed-see manufacturing recommend installation instructions.

#### 3.4 EQUIPMENT INSULATION SYSTEMS INSTALLATION

Install equipment insulation systems in accordance with the approved MICA Insulation Stds plates as supplemented by the manufacturer's published installation instructions.

## 3.4.1 General

Removable insulation sections shall be provided to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Equipment insulation shall be omitted on the following:

a. Hand-holes.

b.

- c. Cleanouts.
- d. ASME stamps.
- e. Manufacturer's nameplates.
- f. Duct Test/Balance Test Holes.
- 3.4.2 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Insulation shall be furnished on equipment handling media below 60 degrees F including the following:

- a. Pumps.
- c. Drip pans under chilled equipment.

## g. Cold and chilled water pumps.

- i. Roof drain bodies.
- j. Air handling equipment parts that are not factory insulated.
- k. Expansion and air separation tanks.

## 3.4.2.1 Insulation Type

Insulation shall be suitable for the temperature encountered. Material and thicknesses shall be as shown in Table 5:

TABLE 5					
Insulation Thickness for Cold Equipment (inches)					
Equipment handling media at indicated temperature					
И	Material	Thickness (inches)			
35 to 60 degrees F					
(	Cellular Glass	1.5			
E	Flexible Elastomeric Cellular	1			

#### 3.4.2.2 Pump Insulation

- a. Insulate pumps by forming a box around the pump housing. The box shall be constructed by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Joints between sides and between sides and bottom shall be joined by adhesive with lap strips for rigid mineral fiber and contact adhesive for flexible elastomeric cellular insulation. The box shall conform to the requirements of MICA Insulation Stds plate No. 49 when using flexible elastomeric cellular insulation. Joints between top cover and sides shall fit tightly forming a female shiplap joint on the side pieces and a male joint on the top cover, thus making the top cover removable.
- b. Exposed insulation corners shall be protected with corner angles.
- c. Upon completion of installation of the insulation, including removable sections, two coats of vapor retarder coating shall be applied with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. A parting line shall be provided between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. Flashing sealant shall be applied to parting line, between

equipment and removable section insulation, and at all penetrations.

- 3.4.2.3 Other Equipment
  - a. Insulation shall be formed or fabricated to fit the equipment. To ensure a tight fit on round equipment, edges shall be beveled and joints shall be tightly butted and staggered.
  - b. Insulation shall be secured in place with bands or wires at intervals as recommended by the manufacturer but not more than 12 inch centers except flexible elastomeric cellular which shall be adhered with contact adhesive. Insulation corners shall be protected under wires and bands with suitable corner angles.
  - c. Cellular glass shall be installed in accordance with manufacturer's instructions. Joints and ends shall be sealed with joint sealant, and sealed with a vapor retarder coating.
  - d. Insulation on heads of heat exchangers shall be removable. Removable section joints shall be fabricated using a male-female shiplap type joint. The entire surface of the removable section shall be finished by applying two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch.
  - e. Exposed insulation corners shall be protected with corner angles.
  - f. Insulation on equipment with ribs shall be applied over 6 by 6 inches by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot welded to the equipment over the ribs. Insulation shall be secured to the fabric with J-hooks and 2 by 2 inches washers or shall be securely banded or wired in place on 12 inch centers.
- 3.4.2.4 Vapor Retarder/Vapor Barrier

Upon completion of installation of insulation, penetrations shall be caulked. Two coats of vapor retarder coating or vapor barrier jacket shall be applied over insulation, including removable sections, with a layer of open mesh synthetic fabric embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. Flashing sealant or vapor barrier tape shall be applied to parting line between equipment and removable section insulation.

3.4.3 Insulation for Hot Equipment

Insulation shall be furnished on equipment handling media above 60 degrees F including the following:

- a. Converters.
- b. Heat exchangers.
- d. Water heaters.
- e. Pumps handling media above 130 degrees F.

h. Air separators.

#### p. Condensate receivers.

#### 3.4.3.1 Insulation

Insulation shall be suitable for the temperature encountered. Shell and tube-type heat exchangers shall be insulated for the temperature of the shell medium.

Insulation thickness for hot equipment shall be determined using Table 6:

TABLE 6         Insulation Thickness for Hot Equipment (inches)         Equipment handling steam or media at indicated pressure or temperature limit						
					Material	Thickness (inches)
					15 psig or 250 degrees F	
Rigid Mineral Fiber	2					
Flexible Mineral Fiber	2					
Calcium Silicate	4					
Cellular Glass	3					

## 3.4.3.2 Insulation of Pumps

Insulate pumps by forming a box around the pump housing. The box shall be constructed by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Bottom and sides shall be banded to form a rigid housing that does not rest on the pump. Joints between top cover and sides shall fit tightly. The top cover shall have a joint forming a female shiplap joint on the side pieces and a male joint on the top cover, making the top cover removable. Two coats of Class I adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. A parting line shall be provided

between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line of the removable sections and penetrations.

3.4.3.3 Insulation of Other Equipment

- a. Insulation shall be formed or fabricated to fit the equipment. To ensure a tight fit on round equipment, edges shall be beveled and joints shall be tightly butted and staggered.
- b. Insulation shall be secured in place with bands or wires at intervals as recommended by the manufacturer but not greater than 12 inch centers except flexible elastomeric cellular which shall be adhered. Insulation corners shall be protected under wires and bands with suitable corner angles.
- c. On high vibration equipment, cellular glass insulation shall be set in a coating of bedding compound as recommended by the manufacturer, and joints shall be sealed with bedding compound. Mineral fiber joints shall be filled with finishing cement.
- d. Insulation on heads of heat exchangers shall be removable. The removable section joint shall be fabricated using a male-female shiplap type joint. Entire surface of the removable section shall be finished as specified.
- e. Exposed insulation corners shall be protected with corner angles.
- g. Upon completion of installation of insulation, penetrations shall be caulked. Two coats of adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line between equipment and removable section insulation.
- 3.4.4 Equipment Exposed to Weather

3.4.4.1 Installation

Equipment exposed to weather shall be manufacturer's dual wall construction with sandwiched metal panel with minimum 3 PCF high density foam insulation meeting or exceeding ASHRAE 90.1 - IP requirments.

-- End of Section --

# SECTION 23 09 00

# INSTRUMENTATION AND CONTROL FOR HVAC 02/19

#### PART 1 GENERAL

#### 1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system, except for the Front End which is specified in Section 25 10 10 UTILITY MONITORING AND CONTROL (UMCS) FRONT END AND INTEGRATION, suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for Niagara LonWorks systems, and other referenced Sections.

# 1.1.1 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

- a. The system implements the control sequences of operation shown in the Contract Drawings using DDC hardware to control mechanical and electrical equipment
- b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.
- c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network.
- d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.
- e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.
- f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.
- g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor,

Vendor or Manufacturer.

h. All Niagara Framework components have an unrestricted interoperability license with a Niagara Compatibility Statement (NiCS) following the Tridium Open NiCS Specification and have a value of "ALL" for "Station Compatibility In", "Station Compatibility Out", "Tool Compatibility In" and "Tool Compatibility Out". Note that this will result in the following entries in the license file: accept.station.in="\*"

accept.station.ut="\*"
accept.wb.in="\*"
accept.wb.out="\*"

1.1.2 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.3 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

## 1.1.4 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

#### 1.2 RELATED SECTIONS

Related work specified elsewhere:

- a. Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for LonWorks Systems using Niagara Framework.
- b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
- c. Section 25 08 10 UTILITY MONITORING AND CONTROL SYSTEMS TESTING
- d. Section 25 10 10 UTILITY MONITORING AND CONTROL SYSTEMS (UMCS) FRONT END AND INTEGRATION
- f. Section 25 05 11.21 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS FIRE AND LIFE SAFETY (FLS); Section 25 05 11.23 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS UTILITY MONITORING AND CONTROL SYSTEM (UMCS); Section 25 05 11.26 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS UTILITY CONTROL SYSTEM (UCS)
- g. Section 01 91 00.15 10 TOTAL BUILDING COMMISSIONING

## 1.3 REFERENCES

The publications listed below form a part of this specification to the

West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 extent referenced. The publications are referred to within the text by the basic designation only. AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE) ASHRAE FUN IP (2017) Fundamentals Handbook, I-P Edition CONSUMER ELECTRONICS ASSOCIATION (CEA) CEA-709.1-D (2014) Control Network Protocol Specification (1999; R 2015) Free-Topology Twisted-Pair CEA-709.3 Channel Specification INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE) (1991; R 1995) Recommended Practice on IEEE C62.41 Surge Voltages in Low-Voltage AC Power Circuits NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA) NEMA 250 (2020) Enclosures for Electrical Equipment (1000 Volts Maximum) NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) (2020; ERTA 20-1 2020; ERTA 20-2 NFPA 70 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code NFPA 90A (2018) Standard for the Installation of Air Conditioning and Ventilating Systems TRIDIUM, INC (TRIDIUM) Niagara Framework (2015) Niagara 4 User's Guide Tridium Open NiCS (2005) Understanding the Niagara Compatibility Statement (NiCS) UNDERWRITERS LABORATORIES (UL) UL 5085-3 (2006; Reprint Nov 20121) Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

# 1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness.The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section. After each term the protocol related to that term is included in parenthesis.

1.4.1 Alarm Generation (All protocols)

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met. Note that this does NOT include delivery of the alarm to the final destination (such as a user interface) - see paragraph ALARM ROUTING in Section 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION.

1.4.2 Application Generic Controller (AGC) (LonWorks)

A device that is furnished with a (limited) pre-established application that also has the capability of being programmed. Further, the ProgramID and XIF file of the device are fixed. The programming capability of an AGC may be less flexible than that of a General Purpose Programmable Controller (GPPC).

1.4.3 Application Specific Controller (ASC) (LonWorks)

A device that is furnished with a pre-established built in application that is configurable but not re-programmable. An ASC has a fixed factory-installed application program (i.e Program ID) with configurable settings.

1.4.4 Binary (All protocols)

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.5 Binding (LonWorks)

The act of establishing communications between CEA-709.1-D devices by associating the output of a device to the input of another so that information is automatically (and regularly) sent.

1.4.6 Building Control Network (BCN) (All protocols)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.7 Building Point of Connection (BPOC) (All protocols)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware.)

1.4.8 Channel (LonWorks)

A portion of the control network consisting of one or more segments connected by repeaters. Channels are separated by routers. The device quantity limitation is dependent on the topology/media and device type. For example, a TP/FT-10 network with locally powered devices is limited to 128 devices per channel. 1.4.9 Commandable (All protocols)

See Overridable.

1.4.10 Configurable (All protocols)

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

1.4.11 Configuration Property (LonWorks)

Controller parameter used by the application which is usually set during installation/testing and seldom changed. For example, the P and I settings of a P-I control loop. Also see paragraph STANDARD CONFIGURATION PROPERTY TYPE (SCPT).

1.4.12 Control Logic Diagram (All protocols)

A graphical representation of control logic for multiple processes that make up a system.

1.4.13 Digital Controller (All protocols)

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.14 Direct Digital Control (DDC) (All protocols)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.15 Domain (LonWorks)

A grouping of up to 32,385 nodes that can communicate directly with each other. (Devices in different domains cannot communicate directly with each other.) See also Node Address.

1.4.16 Explicit Messaging (LonWorks)

A non-standard and often vendor (application) specific method of communication between devices where each message contains a message code that identifies the type of message and the devices use these codes to determine the action to take when the message is received.

1.4.17 External Interface File (XIF) (LonWorks)

A file which documents a device's external interface, specifically the number and types of LonMark objects, the number, types, directions, and connection attributes of network variables, and the number of message tags.

1.4.18 Field Point of Connection (FPOC) (All protocols)

The FPOC is the point of connection between the UMCS IP Network and the

field control network (either an IP network, a non-IP network, or a combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.19 Fox Protocol (Niagara Framework)

The protocol used for communication between components in the Niagara Framework. By default, Fox uses TCP port 1911.

1.4.20 Functional Profile (LonWorks)

A standard description, defined by LonMark, of one or more LonMark Objects used to classify and certify devices.

1.4.21 Gateway (All protocols)

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or Protocol Translators.

A Niagara Framework Supervisory Gateway is one type of Gateway.

1.4.22 General Purpose Programmable Controller (GPPC) (LonWorks)

Unlike an ASC or AGC, a GPPC is not furnished with a fixed application program and does not have a fixed ProgramID or XIF file. A GPPC can be (re-)programmed, usually using vendor-supplied software. When a change to the program affects the external interface (and the XIF file) the ProgramID will change.

1.4.23 IEEE 802.3 Ethernet (All protocols)

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.24 Internet Protocol (IP, TCP/IP, UDP/IP) (All protocols)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.25 Input/Output (I/O) (All protocols)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.26 I/O Expansion Unit (All protocols)

An I/O expansion unit provides additional point capacity to a digital controller

1.4.27 IP subnet (All protocols)

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.28 JACE (Niagara Framework)

Java Application Control Engine. See paragraph NIAGARA FRAMEWORK SUPERVISORY GATEWAY

1.4.29 Local-Area Network (LAN) (All protocols)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.30 Local Display Panels (LDPs) (All protocols)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.31 LonMark (LonWorks)

See paragraph LONMARK INTERNATIONAL. Also, a certification issued by LonMark International to CEA-709.1-D devices.

1.4.32 LonMark International (LonWorks)

Standards committee consisting of numerous independent product developers, system integrators and end users dedicated to determining and maintaining the interoperability guidelines for LonWorks. Maintains guidelines for the interoperability of CEA-709.1-D devices and issues the LonMark Certification for CEA-709.1-D devices.

1.4.33 LonMark Interoperability Association (LonWorks)

See paragraph LONMARK INTERNATIONAL.

1.4.34 LonMark Object (LonWorks)

A collection of network variables, configuration properties, and associated behavior defined by LonMark International and described by a Functional Profile. It defines how information is exchanged between devices on a network (inputs from and outputs to the network).

1.4.35 LonWorks (LonWorks)

The term used to refer to the overall technology related to the CEA-709.1-D protocol (sometimes called "LonTalk"), including the protocol itself, network management, interoperability guidelines and products.

1.4.36 LonWorks Network Services (LNS) (LonWorks)

A network management and database standard for CEA-709.1-D devices.

1.4.37 MAC Address (All protocols)

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.38 Monitoring and Control (M&C) Software (All protocols)

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.39 Network Variable (LonWorks)

See paragraph STANDARD NETWORK VARIABLE TYPE (SNVT).

1.4.40 Network Configuration Tool (LonWorks)

The software used to configure the control network and set device configuration properties. This software creates and modifies the control network database.

1.4.41 Niagara Framework (Niagara Framework)

A set of hardware and software specifications for building and utility control owned by Tridium Inc. and licensed to multiple vendors. The Framework consists of front end (M&C) software, web based clients, field level control hardware, and engineering tools. While the Niagara Framework is not adopted by a recognized standards body and does not use an open licensing model, it is sufficiently well-supported by multiple HVAC vendors to be considered a de-facto Open Standard.

1.4.42 Niagara Framework Supervisory Gateway (Niagara Framework)

DDC Hardware component of the Niagara Framework. A typical Niagara architecture has Niagara specific supervisory gateways at the IP level and other (non-Niagara specific) controllers on field networks (TP/FT-10, MS/TP, etc.) beneath the Niagara supervisory gateways. The Niagara specific controllers function as a gateway between the Niagara framework protocol (Fox) and the field network beneath. These supervisory gateways may also be used as general purpose controllers and also have the capability to provide a web-based user interface.

Note that different vendors refer to this component by different names. The most common name is "JACE"; other names include (but are not limited to)"EC-BOS", "FX-40", "TMN", "SLX" and "UNC".

1.4.43 Node (LonWorks)

A device that communicates using the CEA-709.1-D protocol and is connected

to a CEA-709.1-D network.

1.4.44 Node Address (LonWorks)

The logical address of a node on the network, consisting of a Domain number, Subnet number and Node number. Note that the "Node number" portion of the address is the number assigned to the device during installation and is unique within a subnet. This is not the factory-set unique Node ID (see Node ID).

1.4.45 Node ID (LonWorks)

A unique 48-bit identifier assigned (at the factory) to each CEA-709.1-D device. Sometimes called the Neuron ID.

1.4.46 Operator Configurable (All protocols)

Operator configurable values are values that can be changed from a single common front end user interface across multiple vendor systems.

For Niagara Framework Systems, a property, setting, or value is Operator Configurable when it is configurable from a Niagara Framework Front End.

1.4.47 Override (All protocols)

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.48 Packaged Equipment (All protocols)

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Disassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.49 Packaged Unit (All protocols)

See packaged equipment.

1.4.50 Performance Verification Test (PVT) (All protocols)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation,

testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.51 Polling (All protocols)

A device periodically requesting data from another device.

1.4.52 Points (All protocols)

Physical and virtual inputs and outputs. See also paragraph INPUT/OUTPUT (1/0).

1.4.53 Program ID (LonWorks)

An identifier (number) stored in the device that identifies the node manufacturer, functionality of device (application & sequence), transceiver used, and the intended device usage.

1.4.54 Proportional, Integral, and Derivative (PID) Control Loop (All protocols)

Three parameters used to control modulating equipment to maintain a setpoint. Derivative control is often not required for HVAC systems (leaving "PI" control).

1.4.55 Repeater (All protocols)

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.56 Router (All protocols)

A device that connects two CEA-709.1-D channels and controls traffic between the two by retransmitting signals received from one side onto the other based on the signal destination. Routers are used to subdivide a LonWorks control network and to limit network traffic.

1.4.57 Segment (All protocols)

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type. For example, in a LonWorks system a TP/FT-10 network with locally powered devices is limited to 64 devices per segment.

1.4.58 Service Pin (LonWorks)

A hardware push-button on a device which causes the device to broadcast a message (over the control network) containing its Node ID and Program ID.

1.4.59 Standard Configuration Property Type (SCPT) (LonWorks)

Pronounced skip-it. A standard format type (maintained by LonMark International) for Configuration Properties.

1.4.60 Standard Network Variable Type (SNVT) (LonWorks)

Pronounced snivet. A standard format type (maintained by LonMark International) used to define data information transmitted and received by

the individual nodes. The term SNVT is used in two ways. Technically it is the acronym for Standard Network Variable Type, and is sometimes used in this manner. However, it is often used to indicate the network variable itself (i.e. it can mean "a network variable of a standard network variable type"). In general, the intended meaning should be clear from the context.

1.4.61 Subnet (LonWorks)

Consists of a logical grouping of up to 127 nodes, where the logical grouping is defined by node addressing. Each subnet is assigned a number which is unique within the Domain. See also paragraph NODE ADDRESS.

1.4.62 TP/FT-10 (LonWorks)

A Free Topology Twisted Pair network defined by CEA-709.3. This is the most common media type for a CEA-709.1-D control network.

1.4.63 TP/XF-1250 (LonWorks)

A high speed (1.25 Mbps) twisted pair, doubly-terminated bus network defined by the LonMark Interoperability Guidelines. This media is typically used only as a backbone media to connect multiple TP/FT-10 networks.

1.4.64 User-defined Configuration Property Type (UCPT) (LonWorks)

Pronounced u-keep-it. A Configuration Property format type that is defined by the device manufacturer.

1.4.65 User-defined Network Variable Type (UNVT) (LonWorks)

A network variable format defined by the device manufacturer. Note that UNVTs create non-standard communications (other vendor's devices may not correctly interpret it) and may close the system and therefore are not permitted by this specification.

1.4.66 UMCS (All protocols)

UMCS stands for Utility Monitoring and Control System. The term refers to all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Control Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.67 UMCS Network (All protocols)

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.5 PROJECT SEQUENCING

TABLE II: PROJECT SEQUENCING lists the sequencing of submittals as

specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column). TABLE II does not specify overall project milestone and completion dates.

- a. Sequencing for Submittals: The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon resubmittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.
- b. Sequencing for Activities: The sequencing specified for activities indicates the earliest the activity may begin.

TABLE II. PROJECT SEQUENCING				
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR	
1	S	Existing Conditions Report		
2	S	DDC Contractor Design Drawings		
3	S	Manufacturer's Product Data		
4	S	Pre-construction QC Checklist		
5	E	Install Building Control System	AAO #1 thru #4	
б	E	Start-Up and Start-Up Testing	ACO #5	
7	S	Post-Construction QC Checklist	TBD days ACO #6	
8	S	Programming Software Configuration Software Niagara Framework Engineering Tool Niagara Framework Wizards XIF Files	TBD days ACO #6	
9	S	Draft As-Built Drawings	TBD days ACO #6	
10	S	Start-Up Testing Report	TBD days ACO #6	

c. Abbreviations: In TABLE II the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE II. PROJECT SEQUENCING					
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR		
11	S	PVT Procedures	TBD days before schedule start of #12 and AAO #10		
12	E	Execute PVT	AAO #9 and #11		
13	S	PVT Report	TBD days ACO #12		
14	S	Controller Application Programs Controller Configuration Settings Niagara Framework Supervisory Gateway Backups	TBD days AAO #13		
15	S	Final As-Built Drawings	TBD days AAO #13		
16	S	O&M Instructions	AAO #15		
17	S	Training Documentation	AAO #10 andTBD days before scheduled start of #18		
18	E	Training	AAO #16 and #17		
19	S	Closeout QC Checklist	ACO #18		

#### 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings; G,RO, AE

Draft As-Built Drawings; G, RO

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Final As-Built Drawings; G, RO SD-03 Product Data Certificate of Networthiness Documentation; G, RO Programming Software; G, RO Controller Application Programs; G, RO Configuration Software; G, RO Manufacturer's Product Data; G, RO, AE Niagara Framework Supervisory Gateway Backups; G, RO Niagara Framework Engineering Tool; G, RO Niagara Framework Wizards; G, SD-06 Test Reports Existing Conditions Report Start-Up Testing Report; G, RO PVT Procedures; G, RO PVT Report; G, RO Pre-Construction Quality Control (QC) Checklist; G, RO Post-Construction Quality Control (QC) Checklist; G, RO SD-10 Operation and Maintenance Data Operation and Maintenance (O&M) Instructions; G, RO Training Documentation; G, RO SD-11 Closeout Submittals Enclosure Keys; G, RO Password Summary Report; G, RO Closeout Quality Control (QC) Checklist; G, RO DATA PACKAGE AND SUBMITTAL REQUIREMENTS 1.7 Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are

specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All

submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

### 1.8 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programming and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

# 1.8.1 Programming Software

For each type of General Purpose Programmable Controller (GPPC), provide the programming software in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. For each type of Application Generic Controller (AGC) provided as part of without a configuration and programming Wizard, provide the programming and configuration software in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of user manuals for each software with the software submittal.

Submit Programming Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

# 1.8.2 Controller Application Programs

For each General Purpose Programmable Controller (GPPC), provide copies of the application program as source code compatible with the programming software for that GPPC in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. For each Application Generic Controller (AGC), provide copies of the application program as source code compatible with the programming and configuration tool for that AGC in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Application Programs on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which application program is associated with each device. Submit 3 copies of the Controller Application Programs CD-ROM.

## 1.8.3 Niagara Framework Wizards (for Niagara LonWorks systems)

For each Application Generic Controller with a Niagara Framework Wizard and for each Application Specific Controller provide Niagara Framework Wizards in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copy manuals, if available, for each Wizard provided as part of the Niagara Framework Wizards submittal.

Submit Niagara Framework Wizards on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which files are associated with each device. Submit 3 hard copies of the software user manual, if available, for each Wizard.

#### 1.8.4 Niagara Framework Supervisory Gateway Backups

For each Niagara Framework Supervisory Gateway, provide a backup of all software within the Niagara Framework Supervisory Gateway, including configuration settings. This backup must be sufficient to allow the restoration of the Niagara Framework Supervisory Gateway or the replacement of the Niagara Framework Supervisory Gateway.

Submit backups for each Niagara Framework Supervisory Gateway on CD-ROM as a Technical Data Package. Mark each backup indicating clearly the source Niagara Framework Supervisory Gateway.

1.8.5 Niagara Framework Engineering Tool(for all Niagara Framework system)

Provide a Niagara Framework Engineering Tool in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit software user manuals with the Niagara Framework Engineering Tool submittal.

Submit the Niagara Framework Engineering Tool on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for the Niagara Framework Engineering Tool.

1.8.6 Certificate of Networthiness Documentation

For all software provided, provide documentation that an Enterprise Certificate of Networthiness exists, that a Limited Certificate of Networthiness for the project site exists, or provide a completed Certificate of Networthiness "Application Checklist". Submit Certificate of Networthiness Documentation in PDF format on CD-ROM.

1.9 QUALITY CONTROL CHECKLISTS

The QC Checklist for Niagara Framework Based LonWorks Systems in APPENDIX A of this Section must be completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated.

The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.9.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.9.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.9.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

## PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for Niagara LonWorks systems, other referenced Sections, and this Section.

# 2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

## 2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type.

For all LonWorks hardware: for each manufacturer, model and version (revision) of DDC Hardware indicate the type or types of DDC Hardware the product is being provided as in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Manufacturer's Product Data on CD-ROM.

# 2.2.1 XIF Files

Provide External Interface Files (XIF Files) for DDC Hardware in accordance with Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

## 2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

- a. Pressure: Pressure conditions normally encountered in the installed location.
- b. Vibration: Vibration conditions normally encountered in the installed location.
- c. Temperature:

- Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.
- (2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.
- d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

## 2.4 WIRELESS CAPABILITY

For products incorporating any wireless capability (including but not limited to radio frequency (RF), infrared and optical), provide products for which wireless capability can be permanently disabled at the device. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate.

# 2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

## 2.5.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 3 requirements.

2.5.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 2 requirements.

# 2.5.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

## 2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

## 2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

- a. minimum 2 inch lay of twist
- b. 100 percent shielded pairs
- c. at least 300-volt insulation
- d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation
- e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.
- 2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

- PART 3 EXECUTION
- 3.1 EXISTING CONDITIONS
- 3.1.1 Existing Conditions Survey

Perform a field survey, including testing and inspection of the equipment to be controlled and submit an Existing Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For those items considered nonfunctional, document the deficiency in the report including explanation of the deficiencies and estimated costs to correct the deficiencies. As part of the report, define the scheduled need date for connection to existing equipment. Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

Submit four copies of the Existing Conditions Report.

3.1.2 Existing Equipment Downtime

Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

## 3.1.3 Existing Control System Devices

Inspect, calibrate, and adjust as necessary to place in proper working order all existing devices which are to be reused.

## 3.2 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for LNS LonWorks systems or Niagara LonWorks systems, and this Section.

# 3.2.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a matter that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

#### 3.2.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

# 3.2.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.2.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware. Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

- a. Label all Enclosures and DDC Hardware.
- b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.
- c. Tag duct static pressure taps at the location of the pressure tap
- 3.2.5 Surge Protection
- 3.2.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.2.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment against the following two waveforms:

- a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.
- b. A waveform with an 8-microsecond rise time, a 20-microsecond decay time and a peak current of 500 amperes.
- 3.2.6 Basic Cybersecurity Requirements

#### 3.2.6.1 Passwords

For all devices with a password, change the password from the default password. Do not use the same password for more than one device. Coordinate selection of passwords with West Point. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.2.6.2 IP Network Physical Security

Install all IP Network media in conduit. Install all IP devices including but not limited to IP-enabled DDC hardware and IP Network Hardware in lockable enclosures.

## 3.3 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on 34 by 22 inchesor 17 by 11 inches sheets, and electronic drawings in PDF. In addition, submit electronic drawings in editable Excel format for all drawings that are tabular, including but not limited to the Point Schedule and Equipment Schedule.

- a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-construction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: 3 hard copies and 2 copies on CD-ROM.
- b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: 3 hard copies and 2 copies on CD-ROM.

c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: 3 hard copies and 2 copies on CD-ROM.

#### 3.3.1 Sample Drawings

Sample drawings in electronic format are available at the Whole Building Design Guide page for this section: <a href="http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-23-09-00">http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-23-09-00</a> These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

# 3.3.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. In the Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.3.3 Thermostat and Occupancy Sensor Schedule

Provide a thermostat and occupancy sensor schedule containing each thermostat's unique identifier, room identifier and control features and functions as shown. Provide a single thermostat and occupancy sensor schedule for the entire project.

# 3.3.4 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

# 3.3.5 Damper Schedule

Provide a damper schedule containing each damper's unique identifier, type (opposed or parallel blade), nominal and actual sizes, orientation of axis and frame, direction of blade rotation, actuator size and spring ranges, operation rate, positive positioner range, location of actuators and damper end switches, arrangement of sections in multi-section dampers, and methods of connecting dampers, actuators, and linkages. Include the AMCA 511 maximum leakage rate at the operating static-pressure differential for each damper in the Damper Schedule. Provide a single damper schedule for the entire project.

# 3.3.6 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

#### 3.3.7 Equipment Schedule

Provide system equipment schedules containing the unique identifier, manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

## 3.3.8 Occupancy Schedule

Provide an occupancy schedule drawing containing the same fields as the occupancy schedule Contract Drawing with Contractor updated information. Provide a single occupancy schedule for the entire project.

## 3.3.9 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

# 3.3.9.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

# 3.3.9.2 HVAC System

The system "name" used to identify a specific system (the name used on the system schematic drawing for that system).

- 3.3.9.3 LonWorks Device Information
- 3.3.9.3.1 Network Address

The LonWorks Domain, Subnet and Node address for the device.

3.3.9.3.2 Unique Node ID

The Unique 48-bit Node ID associated with the device. (Also referred to as the Neuron ID for some devices)

## 3.3.9.4 Niagara Station ID

The Niagara Station ID for each Niagara Framework Supervisory Gateway

3.3.10 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network point or configuration point in the system.

- a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.
- b. When Point Schedules are included in the contract package, items requiring contractor verification or input have been shown in angle brackets ("<" and ">"), such as <\_\_\_> for a required entry or <value> for a value requiring confirmation. Complete all items in brackets as well as any blank cells. Do not modify values which are not in

brackets without approval.

Points Schedule Columns must include:

3.3.10.1 Point Name

The abbreviated name for the point using the indicated naming convention.

#### 3.3.10.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.3.10.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.3.10.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.3.10.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

# 3.3.10.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entries in this column:

- a. AI: The value comes from a hardware (physical) Analog Input
- b. AO: The value is output as a hardware (physical) Analog Output
- c. BI: The value comes from a hardware (physical) Binary Input
- d. BO: The value is output as a hardware (physical) Binary Output
- e. PULSE: The value comes from a hardware (physical) Pulse Accumulator Input
- f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.
- g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.3.10.7 Primary Point Information: SNVT Name

The name of the SNVT used for the point. Any point that is displayed at the front end or on an LDP, is trended, is used by another device on the network, or has an alarm condition must be documented here.

3.3.10.8 Primary Point Information: SNVT Type

The SNVT type used by the point. Provide this information whenever SNVT Name is required.

3.3.10.9 Niagara Station ID

The Niagara Station ID of the Niagara Framework Supervisory Gateway the point is mapped into.

3.3.10.10 Override Information (SNVT Name and Type)

For each point requiring an Override and not residing in a Niagara Framework Supervisory Gateway, indicate the SNVT Name and SNVT Type of the network variable used for the override.

3.3.10.11 Configuration Information

Indicate the means of configuration associated with each point. For points in a Niagara Framework Supervisory Gateway, indicate the point within the Niagara Framework Supervisory Gateway used to configure the value. For other points:

- a. Indicate "Niagara Framework Wizard" if the point is configurable via a Niagara Framework Wizard.
- b. If the point is not configurable through a Niagara Framework Wizard, indicate the network variable or configuration property used to configure the value.
- 3.3.11 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.3.12 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.3.13 Sequences of Operation Including Control Logic Diagrams

Provide HVAC control system sequence of operation in the same format as the Contract Drawings. Detailed control logic diagrams illustrating the control logic of system start-up, operation and shutdown logic shall be provided. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation and control logic diagrams for

each HVAC system.

# 3.3.14 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

# 3.4 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable, the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings, in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

#### 3.5 START-UP

#### 3.5.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

#### 3.5.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

## 3.5.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel and each M&C Client to verify that all displays indicate shutdown conditions.

#### 3.5.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

#### 3.5.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

#### 3.5.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

#### 3.5.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

#### 3.6 PERFORMANCE VERIFICATION TEST (PVT)

## 3.6.1 PVT Procedures

Prepare PVT Procedures based on Section 25 08 10 UTILITY MONITORING AND CONTROL SYSTEM TESTING explaining step-by-step, the actions and expected results that will demonstrate that the control system performs in accordance with the sequences of operation, and other contract documents. Submit 4 copies of the PVT Procedures. The PVT Procedures may be submitted as a Technical Data Package.

#### 3.6.1.1 Sensor Accuracy Checks

Include a one-point accuracy check of each sensor in the PVT procedures.

# 3.6.1.2 Endurance Test

Include a one-week endurance test as part of the PVT during which the system is operated continuously.

Use the building control system Niagara Trend Log Objects to trend all points shown as requiring a trend on the Point Schedule for the entire endurance test. If insufficient buffer capacity exists to trend the entire endurance test, upload trend logs during the course of the endurance test to ensure that no trend data is lost. The PVT must include a methodology to measure and record the network bandwidth usage on each TP/FT-10 channel during the endurance test.

## 3.6.1.3 PVT Equipment List

Include in the PVT procedures a control system performance verification test equipment list that lists the equipment to be used during performance verification testing. For each piece of equipment, include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration

#### 3.6.2 PVT Execution

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures approved by the Government, software capable of reading and writing COV Notification Subscriptions, Notification Class Recipient List Properties, event enrollments, demonstrate all physical and functional requirements of the project. Show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. Do not start the performance verification test until after receipt of written permission by the Government, based on Government approval of the PVT Plan and Draft As-Builts and completion of balancing. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. Do not conduct tests during scheduled seasonal off periods of base heating and cooling systems. If the system experiences any failures during the endurance test portion of the PVT, repair the system repeat the endurance test portion of the PVT until the system operates continuously and without failure for the specified endurance test period.

## 3.6.3 PVT Report

Prepare and submit a PVT report documenting all tests performed during the PVT and their results. Include all tests in the PVT procedures and any additional tests performed during PVT. Document test failures and repairs conducted with the test results.

Submit four copies of the PVT Report. The PVT Report may be submitted as a Technical Data Package.

#### 3.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.

- b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.
- c. HVAC control system sequences of operation formatted as indicated.
- d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.
- e. As-built HVAC control system detail drawings formatted as indicated.
- f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.
- g. Qualified service organization list, including at a minimum company name, contact name and phone number.
- h. Start-Up Testing Report.
- i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

## 3.8 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year after successful completion and acceptance of the Performance Verification Test. Minimize impacts on facility operations.

- a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.
- b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period.

#### 3.8.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

# 3.8.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

#### 3.8.3 Scheduled Inspections

Perform two inspections at six-month intervals and provide work required. Perform inspections in June and December. During each inspection perform the indicated tasks:

- a. Perform visual checks and operational tests of equipment.
- b. Clean control system equipment including interior and exterior surfaces.
- c. Check and calibrate each field device. Check and calibrate 50 percent of the total analog inputs and outputs during the first inspection. Check and calibrate the remaining 50 percent of the analog inputs and outputs during the second major inspection. Certify analog test instrumentation accuracy to be twice the specified accuracy of the device being calibrated. Randomly check at least 25 percent of all binary inputs and outputs for proper operation during the first inspection. Randomly check at least 25 percent of the remaining binary inputs and outputs during the second inspection. If more than 20 percent of checked inputs or outputs failed the calibration check during any inspection, check and recalibrate all inputs and outputs during that inspection.
- d. Run system software diagnostics and correct diagnosed problems.
- e. Resolve any previous outstanding problems.
- 3.8.4 Scheduled Work

This work must be performed during regular working hours, Monday through Friday, excluding Federal holidays.

#### 3.8.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.8.6 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

# 3.8.7 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

#### 3.8.8 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

## 3.8.9 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

## 3.9 TRAINING

Conduct a training course for 5 operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct \_16\_\_\_ hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and visual recordings (using Government supplied equipment) of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

#### 3.9.1 Training Documentation

Prepare training documentation consisting of:

- a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the Controls/HVAC shop supervisor.
- b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

## 3.9.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

# 3.9.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies of the Course Attendee List with the archival copies. Training Documentation may be submitted as a Technical Data Package.

## APPENDIX A

#### QC CHECKLIST FOR NIAGARA FRAMEWORK BASED LONWORKS SYSTEMS

This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.

Instructions: Initial each item in the space provided  $(|\___|)$  verifying that the requirement has been met.

This checklist is for (circle one:)

Pre-Construction QC Checklist Submittal

Post-Construction QC Checklist Submittal

Close-out QC Checklist Submittal

Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:

1 All DDC Hardware is numbered on Control System Schematic Drawings.

2 Signal lines on Control System Schematic are labeled with the signal type.

3 Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.

Items verified for Post-Construction and Closeout QC Checklist Submittals:

4	All sequences are performed as specified using DDC Hardware.	
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	
6	All DDC Hardware except Niagara Framework Supervisory Gateways is installed on a TP/FT-10 Channel.	
7	All Application Specific Controllers (ASCs) are LonMark certified.	
8	Except for communication between two Niagara Framework Supervisory Gateways, Communication between DDC Hardware is only via CEA-709.1-D using SNVTs. Other protocols have not been used. Network variables other than SNVTs have not been used. Communication between Niagara Framework Supervisory Gateways is via Fox Protocol.	
9	Explicit messaging has not been used.	
10	Scheduling, Alarming, and Trending have been implemented using Niagara Framework objects and services.	

QC CHECKLIST FOR NIAGARA FRAMEWORK BASED LONWORKS SYSTEMS					
Items verified for Closeout QC Checklist Submittal:					
11	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.				
12	Programming software has been submitted for all programmable controllers.				
13	All software has been licensed to the Government.				
14	O&M Instructions have been completed and submitted.				
15	Training course has been completed.				
16	The database in each Niagara Framework Supervisory Gateway is up-to-date and accurately represents the building control network beneath that Niagara Framework Supervisory Gateway.				
17	Niagara Wizards have been submitted for all Application Specific Controllers (ASCs) for which a Wizard is available and for all Application Generic Controllers (AGCs).				
18	Programming software has been submitted for all General Purpose Programmable Controllers (GPPCs) and all Application Generic Controllers (AGCs).				
	(QC Representative Signature) (Date)				

-- End of Section --

## SECTION 23 09 13

# INSTRUMENTATION AND CONTROL DEVICES FOR HVAC 11/15

## PART 1 GENERAL

## 1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

- a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.
- b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.
- c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

## 1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

## 1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

## 1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 30 00 HVAC AIR DISTRIBUTION

Section 23 05 15 COMMON PIPING FOR HVAC

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

# 1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

- AMCA 500-D (2018) Laboratory Methods of Testing Dampers for Rating
- AMCA 511 (2010; R 2016) Certified Ratings Program for Air Control Devices

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings
	Classes 125 and 250
	(2010) Grat Gran an Aller Griden Triat

- ASME B16.18 (2018) Cast Copper Alloy Solder Joint Pressure Fittings
- ASME B16.22 (2018) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
- ASME B16.26 (2018) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes
- ASME B16.34 (2017) Valves Flanged, Threaded and Welding End
- ASME B40.100 (2013) Pressure Gauges and Gauge Attachments

#### ASTM INTERNATIONAL (ASTM)

ASTM A269/A269M	(2015; R 2019) Standard Specification for Seamless and Welded Austenitic Stainless Steel Tubing for General Service
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal
ASTM B75/B75M	(2011) Standard Specification for Seamless Copper Tube
ASTM B88	(2020) Standard Specification for Seamless Copper Water Tube
ASTM D635	(2018) Standard Test Method for Rate of Burning and/or Extent and Time of Burning of Plastics in a Horizontal Position
ASTM D638	(2014) Standard Test Method for Tensile Properties of Plastics

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 ASTM D792 (2013) Density and Specific Gravity (Relative Density) of Plastics by Displacement ASTM D1238 (2013) Melt Flow Rates of Thermoplastics by Extrusion Plastometer ASTM D1693 (2015) Standard Test Method for Environmental Stress-Cracking of Ethylene Plastics FLUID CONTROLS INSTITUTE (FCI) FCI 70-2 (2013) Control Valve Seat Leakage INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE) (2007; Errata 2014) Recommended Practice IEEE 142 for Grounding of Industrial and Commercial Power Systems - IEEE Green Book NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code (2018) Standard for the Installation of NFPA 90A Air Conditioning and Ventilating Systems UNDERWRITERS LABORATORIES (UL) UL 94 (2013; Reprint Jun 2020) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances UL 555 (2006; Reprint Aug 2016) UL Standard for Safety Fire Dampers UL 555S (2014; Reprint Aug 2016) UL Standard for Safety Smoke Dampers UL 5085-3 (2006; Reprint Nov 20121) Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

# 1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

# 1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

## 1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

- PART 2 PRODUCTS
- 2.1 EQUIPMENT
- 2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

#### 2.1.2.3 Temperature

- a. Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.
- b. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

## 2.1.2.4 Humidity

10 to 95 percent relative humidity, non-condensing and also humidity conditions outside this range normally encountered at the installed location.

# 2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

#### 2.3 TUBING

#### 2.3.1 Copper

Provide ASTM B75/B75M or ASTM B88 rated tubing meeting the following requirements:

- a. For tubing 0.375 inch outside diameter and larger provide tubing with minimum wall thickness equal to ASTM B88, Type M
- b. For tubing less than 0.375 inch outside diameter provide tubing with minimum wall thickness of 0.025 inch
- c. For exposed tubing and tubing for working pressures greater than 30 psig provide hard copper tubing.
- d. Provide fittings which are ASME B16.18 or ASME B16.22 solder type using ASTM B32 95-5 tin-antimony solder, or which are ASME B16.26 compression type.

#### 2.3.2 Stainless Steel

For stainless steel tubing provide tubing conforming to ASTM A269/A269M

#### 2.3.3 Plastic

Provide plastic tubing with the burning characteristics of linear low-density polyethylene tubing which is self-extinguishing when tested in accordance with ASTM D635, has UL 94 V-2 flammability classification or better, and which withstands stress cracking when tested in accordance with ASTM D1693. Provide plastic-tubing bundles with Mylar barrier and flame-retardant polyethylene jacket.

## 2.3.4 Polyethylene Tubing

Provide flame-resistant, multiple polyethylene tubing in flame-resistant protective sheath with mylar barrier, or unsheathed polyethylene tubing in rigid metal, intermediate metal, or electrical metallic tubing conduit for areas where tubing is exposed. Single, unsheathed, flame-resistant polyethylene tubing may be used where concealed in walls or above ceilings and within control panels. Do not provide polyethylene tubing for smoke removal systems, or for systems with working pressures over 30 psig. Provide compression or brass barbed push-on type fittings. Provide extruded seamless polyethylene tubing conforming to the following:

- a. Minimum Burst Pressure Requirements: 100 psig at 75 degrees F to 25 psig at 150 degrees F.
- b. Stress Crack Resistance: ASTM D1693, 200 hours minimum.
- c. Tensile Strength (Minimum): ASTM D638, 1100 psi.
- d. Flow Rate (Average): ASTM D1238, 0.30 decigram per minute.
- e. Density (Average): ASTM D792, 57.5 pounds per cubic feet.

f. Burn rate: ASTM D635

g. Flame Propagation: UL 1820, less than 5 feet ASTM D635

h. Average Optical Density: UL 1820, less than 0.15 ASTM D635

2.4 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.4.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.4.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.4.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

- a. minimum 2 inch lay of twist
- b. 100 percent shielded pairs
- c. at least 300-volt insulation
- d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation
- e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.
- 2.4.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.4.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

2.5 AUTOMATIC CONTROL VALVES

Provide valves with stainless-steel stems and stuffing boxes with extended necks to clear the piping insulation. Provide valves with bodies meeting ASME B16.34 or ASME B16.15 pressure and temperature class ratings based on the design operating temperature and 150 percent of the system design

operating pressure. Unless otherwise specified or indicated, provide valves meeting FCI 70-2 Class III leakage rating. Provide valves rated for modulating or two-position service as indicated, which close against a differential pressure indicated as the Close-Off pressure and which are Normally-Open, Normally-Closed, or Fail-In-Last-Position as indicated.

- 2.5.1 Valve Type
- 2.5.1.1 Liquid Service 150 Degrees F or Less

Use either globe valves or ball valves except that butterfly valves may be used for sizes 4 inch and larger.

- 2.5.1.2 Liquid Service Above 150 Degrees F
  - a. Two-position valves: Use either globe valves or ball valves except that butterfly valves may be used for sizes 4 inch and larger.
  - b. Modulating valves: Use globe valves except that butterfly valves may be used for sizes 4 inch and larger.
- 2.5.1.3 Steam Service

Use globe valves only.

- 2.5.2 Valve Flow Coefficient and Flow Characteristic
- 2.5.2.1 Two-Way Modulating Valves

Provide the valve coefficient (Cv) indicated. Provide equal-percentage flow characteristic for liquid service except for butterfly valves. Provide linear flow characteristic for steam service except for butterfly valves.

2.5.2.2 Three-Way Modulating Valves

Provide the valve coefficient (Cv) indicated. Provide linear flow characteristic with constant total flow throughout full plug travel.

2.5.3 Two-Position Valves

Use full line size full port valves with maximum available (Cv).

2.5.4 Globe Valves

2.5.4.1 Liquid Service Not Exceeding 150 Degrees F

- a. Valve body and body connections:
  - (1) valves 1-1/2 inches and smaller: brass or bronze body, with threaded or union ends
  - (2) valves from 2 inches to 3 inches inclusive: brass, bronze, or iron bodies. 2 inch valves with threaded connections; 2-1/2 to 3 inches valves with flanged connections
- b. Internal valve trim: Brass or bronze.

- c. Stems: Stainless steel.
- d. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.
- 2.5.4.2 Liquid Service Not Exceeding 250 Degrees F
  - a. Valve body and body connections:

(1) values 1-1/2 inches and smaller: brass or bronze body, with threaded or union ends

(2) values from 2 inches to 3 inches inclusive: brass, bronze, or iron bodies. 2 inch values with threaded connections; 2-1/2 to 3 inches values with flanged connections

- b. Internal trim: Type 316 stainless steel including seats, seat rings, modulation plugs, valve stems, and springs.
- c. Provide valves with non-metallic parts suitable for a minimum continuous operating temperature of 250 degrees F or 50 degrees F above the system design temperature, whichever is higher.
- d. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.
- 2.5.4.3 Hot water service 250 Degrees F and above
  - a. Provide valve bodies conforming to ASME B16.34 Class 300. For valves 1 inch and larger provide valves with bodies which are carbon steel, globe type with welded ends. For valves smaller than 1 inch provide valves with socket-weld ends. Provide valves with virgin polytetrafluoroethylene (PTFE) packing. Provide valve and actuator combinations which are normally closed.
  - b. Internal trim: Type 316 stainless steel including seats, seat rings, modulation plugs, valve stems, and springs.

## 2.5.4.4 Steam Service

For steam service, provide valves meeting the following requirements:

a. Valve body and connections:

(1) values 1-1/2 inches and smaller: complete body of brass or bronze, with threaded or union ends

(2) valves from 2 inches to 3 inches inclusive: body of brass, bronze, or carbon steel

(3) values 4 inches and larger: body of carbon steel. 2 inch values with threaded connections; values 2-1/2 inches and larger with flanged connections.

- b. Internal Trim: Type 316 stainless steel including seats, seat rings, modulation plugs, valve stems, and springs.
- c. Valve sizing: sized for 15 psig inlet steam pressure with a maximum 12 psi differential through the valve at rated flow, except where

indicated otherwise.

- 2.5.5 Ball Valves
- 2.5.5.1 Liquid Service Not Exceeding 150 Degrees F
  - a. Valve body and connections:

(1) values 1-1/2 inches and smaller: bodies of brass or bronze, with threaded or union ends

(2) values from 2 inches to 3 inches inclusive: bodies of brass, bronze, or iron. 2 inch values with threaded connections; values from 2-1/2 to 3 inches with flanged connections.

- b. Ball: Stainless steel or nickel-plated brass or chrome-plated brass.
- c. Seals: Reinforced Teflon seals and EPDM O-rings.
- d. Stem: Stainless steel, blow-out proof.
- e. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.
- 2.5.6 Butterfly Valves

Provide butterfly valves which are threaded lug type suitable for dead-end service and modulation to the fully-closed position, with carbon-steel bodies or with ductile iron bodies in accordance with ASTM A536. Provide butterfly valves with non-corrosive discs, stainless steel shafts supported by bearings, and EPDM seats suitable for temperatures from -20 to +250 degrees F. Provide valves with rated Cv of the Cv at 70 percent (60 degrees) open position. Provide valves meeting FCI 70-2 Class VI leakage rating.

2.5.7 Duct-Coil and Terminal-Unit-Coil Valves

For duct or terminal-unit coils provide control valves with either screw type or solder-type ends. Provide flare nuts for each flare-type end valve.

- 2.6 DAMPERS
- 2.6.1 Damper Assembly

Provide single damper sections with blades no longer than 48 inches and which are no higher than 72 inches and damper blade width of 8 inches or less. When larger sizes are required, combine damper sections. Provide dampers made of steel, or other materials where indicated and with assembly frames constructed of 0.07 inch minimum thickness galvanized steel channels with mitered and welded corners. Steel channel frames constructed of 0.06 inch minimum thickness are acceptable provided the corners are reinforced.

a. Flat blades must be made rigid by folding the edges. Blade-operating linkages must be within the frame so that blade-connecting devices within the same damper section must not be located directly in the air stream.

- b. Damper axles must be 1/2 inch minimum, plated steel rods supported in the damper frame by stainless steel or bronze bearings. Blades mounted vertically must be supported by thrust bearings.
- c. Provide dampers which do not exceed a pressure drop through the damper of 0.04 inches water gauge at 1000 ft/min in the wide-open position. Provide dampers with frames not less than 2 inch in width. Provide dampers which have been tested in accordance with AMCA 500-D.

## 2.6.2 Operating Linkages

For operating links external to dampers, such as crank arms, connecting rods, and line shafting for transmitting motion from damper actuators to dampers, provide links able to withstand a load equal to at least 300 percent of the maximum required damper-operating force without deforming. Rod lengths must be adjustable. Links must be brass, bronze, zinc-coated steel, or stainless steel. Working parts of joints and clevises must be brass, bronze, or stainless steel. Adjustments of crank arms must control the open and closed positions of dampers.

2.6.3 Damper Types

## 2.6.3.1 Flow Control Dampers

Provide parallel-blade or opposed blade type dampers for outside air, return air, relief air, exhaust, face and bypass dampers as indicated on the Damper Schedule. Blades must have interlocking edges. The channel frames of the dampers must be provided with jamb seals to minimize air leakage. Unless otherwise indicated, dampers must meet AMCA 511 Class 1A requirements. Outside air damper seals must be suitable for an operating temperature range of -40 to +167 degrees F. Dampers must be rated at not less than 2000 ft/min air velocity.

2.6.3.2 Mechanical Rooms and Other Utility Space Ventilation Dampers

Provide utility space ventilation dampers as indicated. Unless otherwise indicated provide AMCA 511 class 3 dampers. Provide dampers rated at not less than 1500 ft/min air velocity.

#### 2.6.3.3 Smoke Dampers

Provide smoke-damper and actuator assemblies which meet the current requirements of NFPA 90A, UL 555, and UL 555S. For combination fire and smoke dampers provide dampers rated for 250 degrees F Class II leakage per UL 555S.

#### 2.7 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

#### 2.7.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

# 2.7.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a Lonworks network.

## 2.7.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of temperatures to be measured. The end to end accuracy includes the combined effect of sensitivity, hysteresis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

- 2.7.3.1 Sensor Accuracy and Stability of Control
- 2.7.3.1.1 Conditioned Space Temperature

Plus or minus 0.5 degree F over the operating range.

- 2.7.3.1.2 Unconditioned Space Temperature
  - a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND
  - b. Plus or minus 4 degrees F over the rest of the operating range.
- 2.7.3.1.3 Duct Temperature

Plus or minus 0.5 degree F

- 2.7.3.1.4 Outside Air Temperature
  - a. Plus or minus 2 degrees F over the range of -30 to +130 degrees F AND

b. Plus or minus 1 degree F over the range of 30 to 130 degrees F.

2.7.3.1.5 High Temperature Hot Water

Plus or minus 3.6 degrees F.

2.7.3.1.6 Chilled Water

Plus or minus 0.8 degrees F over the range of 35 to 65 degrees F.

2.7.3.1.7 Dual Temperature Water

Plus or minus 2 degrees F.

2.7.3.1.8 Heating Hot Water

Plus or minus 2 degrees F.

2.7.3.1.9 Condenser Water

Plus or minus 2 degrees F.

#### 2.7.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.7.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

- 2.7.3.4 Temperature Sensor Details
- 2.7.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.7.3.4.2 Duct Probe Type

Ensure the probe is long enough to properly sense the air stream temperature.

2.7.3.4.3 Duct Averaging Type

Continuous averaging sensors must be one foot in length for each 1 square foot of duct cross-sectional area, and a minimum length of 5 feet.

2.7.3.4.4 Pipe Immersion Type

Provide minimum 3 inch immersion. Provide each sensor with a corresponding pipe-mounted sensor well, unless indicated otherwise. Sensor wells must be stainless steel when used in steel piping, and brass when used in copper piping.

2.7.3.4.5 Outside Air Type

Provide the sensing element rated for outdoor use.

2.7.4 Relative Humidity Sensor

Relative humidity sensors must use bulk polymer resistive or thin film capacitive type non-saturating sensing elements capable of withstanding a saturated condition without permanently affecting calibration or sustaining damage. The sensors must include removable protective membrane filters. Where required for exterior installation, sensors must be capable of surviving below freezing temperatures and direct contact with moisture without affecting sensor calibration. When used indoors, the sensor must be capable of being exposed to a condensing air stream (100 percent relative humidity) with no adverse effect to the sensor's calibration or other harm to the instrument. The sensor must be of the wall-mounted or duct-mounted type, as required by the application, and must be provided with any required accessories. Sensors used in duct high-limit applications must have a bulk polymer resistive sensing element. Duct-mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. Relative humidity (RH) sensors must measure relative humidity

over a range of 0 percent to 100 percent with an accuracy of plus or minus 2 percent. RH sensors must function over a temperature range of 40 to 135 degrees F and must not drift more than 1 percent per year.

## 2.7.5 Carbon Dioxide (CO2) Sensors

Provide photometric type CO2 sensors with integral transducers and linear output. Carbon dioxide (CO2) sensors must measure CO2 concentrations between 0 to 2000 parts per million (ppm) using non-dispersible infrared (NDIR) technology with an accuracy of plus or minus 50 ppm and a maximum response time of 1 minute. The sensor must be rated for operation at ambient air temperatures within the range of 32 to 122 degrees F and relative humidity within the range of 20 to 95 percent (non-condensing). The sensor must have a maximum drift of 2 percent per year. The sensor chamber must be manufactured with a non-corrosive material that does not affect carbon dioxide sample concentration. Duct mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. The sensor must have a calibration interval no less than 5 years.

2.7.6 Differential Pressure Instrumentation

#### 2.7.6.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

# 2.7.6.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

- 2.7.7 Flow Sensors
- 2.7.7.1 Airflow Measurement Array (AFMA)

#### 2.7.7.1.1 Airflow Straightener

Provide AFMAs which contain an airflow straightener if required by the AFMA manufacturer's published installation instructions. The straightener must be contained inside a flanged sheet metal casing, with the AFMA located as specified according to the published recommendation of the AFMA manufacturer. In the absence of published documentation, provide airflow straighteners if there is any duct obstruction within 5 duct diameters upstream of the AFMA. Air-flow straighteners, where required, must be constructed of 0.125 inch aluminum honeycomb and the depth of the straightener must not be less than 1.5 inches.

2.7.7.1.2 Resistance to Airflow

The resistance to air flow through the AFMA, including the airflow straightener must not exceed 0.085 inch water gauge at an airflow of 2,000 fpm. AFMA construction must be suitable for operation at airflows of up to 5000 fpm over a temperature range of 40 to 120 degrees F.

# 2.7.7.1.3 Outside Air Temperature

In outside air measurement or in low-temperature air delivery applications, provide an AFMA certified by the manufacturer to be accurate as specified over a temperature range of -20 to +120 degrees F.

#### 2.7.7.1.4 Airflow Monitoring Stations

- a. Airflow monitoring stations shall utilize thermal dispersion airflow measurement devices which include one self-heated bead-in-glass thermistor and one zero power bead-in-glass thermistor at each sensing node.
  - (1) Thermal dispersion devices that indirectly heat a thermistor are not acceptable.
  - (2) The following technologies are not acceptable: Vortex shedding airflow measurement devices.Pitot tubes, pitot arrays, piezo-rings and other differential pressure measurement devices.
- b. Air flow monitoring stations shall be factory programmed and calibrated. Analog outputs shall be directly proportional to air flow and temperature and shall not require air flow calculations to be performed by the building automation system. The analog output signal range shall be a minimum of 25% above the maximum airflow set-point of the system or duct being measured. The air flow monitoring station manufacture shall provide the analog output signal ranges to the building automation system contractor and shall also document the output signal range in the product submittal. The airflow monitoring station airflow output signal shall be calculated based on the actual installed condition based on confirmed equipment and sheet metal shop drawings; the manufacturer shall include actual fan inlet sizes and duct sizes the submittal.
- c. The manufacturer's authorized representative shall review and approve placement and operating airflow rates for each measurement location indicated on the plans, prior to fabrication and installation. The manufacturer shall be responsible for providing an air flow monitoring station assembly and all required accessories to satisfy installation and operating requirements for the specific application.
- d. Listings and Certifications

(1) The airflow monitoring station shall be UL873 Listed as an assembly.
 (2) Devices claiming compliance with the UL Listing based on individual UL component listing are not acceptable.
 (3) The airflow monitoring station shall be BTL Listed.
 (4) The airflow monitoring station shall carry the CE Mark for European Union Shipments.

e. Transmitters:

(1) Each Airflow Monitoring Station shall be provided with a microprocessor-based transmitter and one or more sensor probes. Devices that have electronic signal processing components on or in the sensor probe are not acceptable. (2) Airflow measurement shall be field configurable to determine the average actual or standard mass airflow rate. (3) Actual airflow rate calculations shall have the capability of being adjusted automatically by the transmitter for altitudes other than sea level. (4) Temperature measurement shall be field configurable to determine the velocity weighted temperate or simple arithmetic average temperature. (5) The transmitter shall be provided with a 16-character, alpha-numeric, LCD display. The total airflow rate, temperature, airflow alarm, individual fan alarm and system status alarm shall be visible on the display. The transmitter shall be provided with two field selectable (0-5/0-10 VDC or 4-20 mA), scalable, isolated and over-current protected analog output signals and one isolated RS-485 (Lonworks) network connection. (6) Analog output signals shall provide the total airflow rate and be field configurable to output one of the following: Temperature Airflow alarm Individual fan alarm System status alarm (7) Network communications shall provide the total airflow rate and temperature, individual fan airflow rates, individual fan temperatures, airflow alarm, individual fan alarm, system status alarm, individual sensor node airflow rates and individual sensor node temperatures. (8) The transmitter shall be powered by 24 VAC and use a switching power supply that is over-current and over-voltage protected. (9) The transmitter shall use a "watchdog" timer circuit to ensure continuous operation in the event of brown-out and/or power failure. (10) A remotely located microprocessor-based transmitter shall be provided for each measurement location. (11) The transmitter shall be comprised of a main circuit board and interchangeable interface card. (12) All printed circuit board interconnects, edge fingers, and test points shall be gold plated. (13) All printed circuit boards shall be electroless nickel immersion gold (ENIG) plated. (14) All receptacle plug pins shall be gold plated. (15) The transmitter shall be capable of determining the average airflow rate and temperature of the sensor nodes. Separate integration buffers shall be provided for display airflow output, airflow signal output (analog and network) and individual sensor output (IR-interface). (16) The transmitter shall be capable of providing a high and/or low airflow alarm. (17) The transmitter shall be capable of identifying a malfunction via the system status alarm and ignore any sensor node that is in a fault condition. (18) The transmitter shall be provided with a 16-character, alpha-numeric, LCD display. The airflow rate, temperature, airflow alarm and system status alarm shall be visible on the display. (19) For outdoor locations, the tranmitter shall be enclosed in a

NEMA4 enclosure. (20) Fan inlet airflow monitoring station transmitters shall be capable of providing individual fan alarming on fan array configurations.

#### f. Fan Inlet Airflow Monitoring Stations

Each sensor probe shall consist of one sensor node mounted on a 304 stainless steel block with two adjustable zinc plated steel rods connected to 304 stainless steel pivoting mounting feet.
 Sensor node internal wiring connections shall be sealed and protected from the elements and suitable for direct exposure to water.

(3) Each sensor probe shall be provided with an integral, FEP jacket, plenum rated CMP/CL2P, UL/cUL Listed cable rated for exposures from -67°F to 392 °F and continuous and direct UV exposure. Plenum rated PVC jacket cables are not acceptable. (4) Each sensor probe cable shall be provided with a connector plug with gold plated pins for connection to the transmitter. (5) Sensor node airflow and temperature calibration data shall be stored in a serial memory chip in the cable connecting plug and not require matching or adjustments to the transmitter. (6) Each sensor node shall be provided with two bead-in-glass, hermetically sealed thermistors potted in a marine grade waterproof epoxy. Devices that use epoxy or glass encapsulated chip thermistors are not acceptable. (7) Each thermistor shall be individually calibrated at a minimum of 3 temperatures to NIST traceable temperature standards. (8) Each sensor node shall be individually calibrated to NIST traceable airflow standards at a minimum of 16 calibration points. The number of independent sensor nodes provided shall be as follows: SWSI and DWDI fans: 2 probes x 1 sensor node/per probe in each fan inlet. Fan Arrays (less than or equal to 4 fans): 2 probes x 1 sensor node per probe in each fan inlet or Fan Arrays (5-8 fans): 1 probe x 1 sensor node per probe in each fan inlet. (9) Performance: Each sensing node shall have an airflow accuracy of  $\pm 2\%$  of reading over an operating range of 0 to 10,000 FPM (50.8 m/s). Accuracy shall include the combined uncertainty of the sensor nodes and transmitter. Devices whose overall accuracy is based on individual accuracy specifications of the sensor probes and transmitter shall demonstrate compliance with this requirement over the entire operating range. Each sensing node shall have a temperature accuracy of  $\pm 0.15^{\circ}$  F over an operating range of  $-20^{\circ}$  F to 160° F.

## g. Duct Mounted Airflow Monitoring Stations

Sensor probes shall be constructed of anodized, 6063 aluminum alloy.
 Sensor probe mounting brackets shall be constructed of 304 stainless steel.
 Probe internal wiring between the connecting cable and sensor nodes shall be Kynar coated copper. PVC jacketed internal wiring is not acceptable.
 Probe internal wiring connections shall consist of solder joints and spot welds. Connectors of any type within the probe are not acceptable.
 Probe internal wiring connections shall be sealed and protected

from the elements and suitable for direct exposure to water. (6) Each sensor probe shall be provided with an integral, FEP jacket, plenum rated CMP/CL2P, UL/cUL Listed cable rated for exposures from -67°F to 392 °F and continuous and direct UV exposure. Plenum rated PVC jacket cables are not acceptable. (7) Each sensor probe cable shall be provided with a connector plug with gold plated pins for connection to the transmitter. (8) Each sensor probe shall contain one or more independently wired sensing nodes. (9) Sensor node airflow and temperature calibration data shall be stored in a serial memory chip in the cable connecting plug and not require matching or adjustments to the transmitter. (10) Each sensor node shall be provided with two bead-in-glass, hermetically sealed thermistors potted in a marine grade waterproof epoxy. Devices that use epoxy or glass encapsulated chip thermistors are not acceptable. (11) Each thermistor shall be individually calibrated at a minimum of 3 temperatures to NIST-traceable temperature standards. (12) Each sensor node shall be individually calibrated to NIST-traceable airflow standards at a minimum of 16 calibration points. (13) The number of independent sensor nodes provided to achieve the performance specified herein. (14) Performance: Each sensing node shall have an airflow accuracy of  $\pm 2\%$  of reading over an operating range of 0 to 5,000 FPM (25.4 m/s). Accuracy shall include the combined uncertainty of the sensor nodes and transmitter. Devices whose overall accuracy is based on individual accuracy specifications of the sensor probes and transmitter shall demonstrate compliance with this requirement over the entire operating range. Each sensing node shall have a temperature accuracy of  $\pm 0.15^{\circ}$  F over an operating range of  $-20^{\circ}$  F to 160° F. h. Airflow Monitoring Stations with Integral Modulating Damper

(1) Air flow monitoring stations be factory assembled within a prefabricated extruded aluminum sleeve together with a high performance control damper.

(2) One airflow/temperature measurement device shall be supplied for each damper section. Each airflow/temperature measurement device shall consist of one to four sensor probes and a single, remote transmitter. Each sensor probe shall consist of one to eight independent sensor nodes in a n anodized, aluminum 6063 alloy tube with 304 stainless steel mounting brackets.

(3) The outputs of multiple section-averaged data from one location shall be totaled and averaged by the Building Control System (BCS)(4) Each sensor node shall consist of two hermetically sealed bead-in-glass thermistors. Chip thermistors of any type or packaging are not acceptable.

(5) Sensor density shall be provided to achieve performance requirements specified herein.

(6) Signal processing circuitry on or in the sensor probe is not acceptable.

(7) Each sensing node shall be individually wind tunnel calibrated at 16 points to NIST traceable airflow standards.

(8) Each sensing node shall be individually calibrated in constant temperature oil baths at 3 points to NIST traceable temperature standards.

(9) All internal wiring between thermistors and probe connecting

cables shall be Kynar jacketed. (10) Manufacturer shall provide UL listed, FEP jacketed, plenum rated cable(s) between sensor probes and the remote transmitter. (11) Measurement Performance: Each sensing node shall have a temperature accuracy of ± 0.14°F (0.08°C) over the entire operating temperature range of -20°F to 160°F (-28.9°C to 71°C). Each sensing node shall have an airflow accuracy of ± 2% of reading. The airflow/temperature measurement device shall be capable of measuring airflow rates over the full range of 0 to 5,000 FPM (25.4 m/s) between -20°F to 160°F (-28.9°C to 71°C). (12) Provide one or more damper sections integrated with the airflow/temperature measurement device, for each location indicated on the plans. (13) Provide a factory assembled, extruded aluminum (6063T5) sleeve with an integral damper frame not less than 0.080" in thickness for each damper section. Sleeve depth, including damper frame, shall be 15" for ducted applications and 18" for un-ducted applications. Unducted applications shall include a 3" radius aluminum entry flair. Provide an additional 7" (10" for ducted applications) between the downstream edge of an intake louver and applications that are close coupled to intake louvers. Provide extruded aluminum (6063T5) damper blade profiles. Blade seals shall be extruded EPDM. Frame seals shall be extruded silicone. Seals shall be secured in an integral slot within the aluminum extrusions. Bearings shall be composed of a Celcon inner bearing fixed to a 7/16" aluminum hexagon blade pin, rotating within a polycarbonate outer bearing inserted in the frame, resulting in no metal-to-metal or metal-to-plastic contact. Linkage hardware shall be installed in the frame side and constructed of aluminum and corrosion resistant, zinc plated steel, complete with cup-point trunnion screws for a slip-proof grip. Leakage shall not exceed 3 cfm/ft2 face area against 1" w.g. differential static pressure. Dampers shall be available with either opposed blade action or parallel blade action and made to size without blanking off free area.

## 2.7.7.2 Insertion Turbine Flowmeter

Provide dual axial turbine flowmeter with all installation hardware necessary to enable insertion and removal of the meter without system shutdown. All parts must meet or exceed the pressure classification of the pipe system it is installed in. Insertion Turbine Flowmeter accuracy must be plus or minus 0.5 percent of rate at calibrated velocity., within plus or minus of rate over a 10:1 turndown and within plus or minus 2 percent of rate over a 50:1 turndown. Repeatability must be plus or minus 0.25 percent of reading. The meter flow sensing element must operate over a range suitable for the installed location with a pressure loss limited to 1 percent of operating pressure at maximum flow rate. The flowmeter ,must include either dry contact pulse outputs, 4-20mA, 0-10Vdc or 0-5Vdc outputs. The turbine rotor assembly must be constructed of Series 300 stainless steel and use Teflon seals.

#### 2.7.7.3 Vortex Shedding Flowmeter

Vortex Shedding Flowmeter accuracy must be within plus or minus 0.8 percent of the actual reading over the range of the meter. Steam meters must contain density compensation by direct measurement of temperature. Mass flow inferred from specified steam pressure are not acceptable. The flow meter body must be made of austenitic stainless steel and include a

weather tight NEMA 4X electronics enclosure. The vortex shedding flowmeter body must not require removal from the piping in order to replace the shedding sensor.

## 2.7.7.4 Insertion Magnetic Flow Meter

Provide insertion type magnetic flowmeters with all installation hardware necessary to enable insertion and removal of the meter without system shutdown. All parts must meet or exceed the pressure classification of the pipe system it is installed in. The flow meter shall have the DC powered electromagnetic coils and electrodes and measure the induced voltage across the electrodes as a conductive fluid flow through the magnetic fields. The voltage is proportional to the average flow velocity of the fluid and then amplified and processed digitally by the converter to produce the signal for flow rate and totalization. Locate meter to provide the most efficient reading point.

- a. Flow Range: 0.1 to 20 feet/seconds
- b. Pipe size range: 1" through 12" nominal
- c. Provide remote transmitter with mounted hardware and the necessary cable.

d. Alphanumeric LCD displays total flow, flow rate, flow direction and

- alarm conditions
  - e. Output signal: 4-20 mA or 0-10V analog output for flow rate
  - f. Accuracy: 1% of reading from 2 20 ft/s
  - g. Flow Direction: Unidirectional
  - h. Fluid temperature range: 12 to 250 deg F
  - j. Ambient temperature range: -20 to 140 deg F
  - k. Maximum operating pressure: 400PSI
  - 1. All wetted metal parts shall be constructed of 316 stainless steel
  - m. Pressure Drop: 0.1 psi @ 12 feet/seconds.
  - n. Housing: NEMA 4
  - o. Power Supply: 20 28 VAC, 60 Hz, 6 VA.

2.7.7.5 Inline Magnetic Flow Meter

Provide electromagnetic type flow meters as shown on the mechanical sheets and described hereinafter. The flow meter shall have the DC powered electromagnetic coils and electrodes and measure the induced voltage across the electrodes as a conductive fluid flows through the magnetic fields. The voltage is proportional to the average flow velocity of the fluid and then amplified and processed digitally by the converter to produce the signal for flow rate and totalization. Provide flow meters and transducers located in the pipe as shown on the drawings.

- a. Flow Range: 0.1 ~ 33 feet/seconds
- b. Pipe size range: 1" through 12" nominal

c. Provide remote transmitter with mounted hardware and the necessary cable.

d. Alphanumeric LCD displays total flow, flow rate, flow direction and alarm conditions

- e. Output signal: 4-20 mA or 0-10V analog output for flow rate
- f. Accuracy: 0.2% of reading from 1.6 33 ft/s
- g. Fluid conductivity: 5 µS/cm minimum
- h. Maximum fluid temperature: 140 deg F
- j. Ambient temperature range: -4 to 140 deg F
- k. Maximum operating pressure: 400PSI
- 1. Stainless steel electrode and tube with PTFE liner
- m. Housing: NEMA 4X.

- n. Body: Wafer to match piping style or ANSI flanged specification
- o. Power Supply: 24 VAC, 60 Hz, 12 VA.

## 2.7.8 Electrical Instruments

Provide Electrical Instruments with an input range as indicated or sized for the application. Unless otherwise specified, AC instrumentation must be suitable for 60 Hz operation.

## 2.7.8.1 Current Transducers

Current transducers must accept an AC current input and must have an accuracy of plus or minus 0.5 percent of full scale. The device must have a means for calibration. Current transducers for variable frequency applications must be rated for variable frequency operation.

#### 2.7.8.2 Current Sensing Relays (CSRs)

Current sensing relays (CSRs) must provide a normally-open contact with a voltage and amperage rating greater than its connected load. Current sensing relays must be of split-core design. The CSR must be rated for operation at 200 percent of the connected load. Voltage isolation must be a minimum of 600 volts. The CSR must auto-calibrate to the connected load or be adjustable and field calibrated. Current sensors for variable frequency applications must be rated for variable frequency operation.

#### 2.7.8.3 Energy Metering

#### 2.7.8.3.1 Steam Meters

Steam meters must be the vortex type, with integral temperature and pressure compensation, a minimum turndown ratio of 10 to 1, and an output signal compatible with the DDC system. A local keypad/display must provide instantaneous flow rate, total, and process parameters in engineering unit. Electrical power requirements shall be 14 to 24V DC, 300 mA. Operating temperature (ambient environment) shall be 32 deg F to 131 deg F. NEMA 4x water tight enclosure.

## 2.7.8.3.2 Hydronic BTU Meters

The BTU meter is to be supplied with wall mount hardware and be capable of being installed remote from the flow meter. The BTU meter must include an LCD display for local indication of energy rate and for display of parameters and settings during configuration. Each BTU meter must be factory configured for its specific application and be completely field configurable by the user via a front panel keypad (no special interface device or computer required). The unit must output Energy Rate, Energy Total, Flow Rate, Supply Temperature, and Return Temperature. An integral transmitter is to provide a linear analog or configurable pulse output signal representing the energy rate; and the signal must be compatible with building automation system DDC Hardware to which the output is connected.The BTU meter must communicate on the network using Lonworks protocol.

## 2.7.9 Floor Mounted Leak Detector

Leak detectors must use electrodes mounted at slab level with a minimum

built-in-vertical adjustment of 0.125 inches. Detector must have a binary output. The indicator must be manual reset type.

#### 2.7.10 Temperature Switch

2.7.10.1 Duct Mount Temperature Low Limit Safety Switch (Freezestat)

Duct mount temperature low limit switches (Freezestats) must be manual reset, low temperature safety switches at least 1 foot long per square foot of coverage which must respond to the coldest 18 inch segment with an accuracy of plus or minus 3.6 degrees F. The switch must have a field-adjustable setpoint with a range of at least 30 to 50 degrees F. The switch must have two sets of contacts, and each contact must have a rating greater than its connected load. Contacts must open or close upon drop of temperature below setpoint as indicated and must remain in this state until reset.

2.7.10.2 Pipe Mount Condensation Sensor

Pipe mount condensation sensor must mount on pipes 0.5" to 3". The sensor must have a fail safe feature and an isolated normally/closed solid state SP/ST contact output. Operating temperature: 40 - 140 degrees F.

2.7.11 Damper End Switches

Each end switch must be a hermetically sealed switch with a trip lever and over-travel mechanism. The switch enclosure must be suitable for mounting on the duct exterior and must permit setting the position of the trip lever that actuates the switch. The trip lever must be aligned with the damper blade.

End switches integral to an electric damper actuator are allowed as long as at least one is adjustable over the travel of the actuator.

2.7.12 Hydrogen Monitoring System

Provide Hydrogen Monitoring System as shown on the mechanical sheets and described hereinafter.

- a. Description:
  - System shall measure and display a single gas concentration, provide local audio and visual alarms when preset limits are exceeded, and send output signals of gas concentration and detected alarms.
  - 2. System shall require no periodic maintenance other than periodic checking of sensor response to a known concentration of gas.
  - 3. System shall be designed to provide for installation, setup, and start-up from outside of unit enclosure without need to open the enclosure door.
  - 4. System shall be factory calibrated and ready for operation after installation.
  - 5. Monitor shall be internally wired to accommodate a single-point field power connection.
- b. Performance:
  - 1. Range: Full scale
  - 2. Zero Drift: Within 1 percent per year
  - 3. Span Drift: Within 10 percent per year
  - 4. Repeatability: Within 1 percent of full scale
  - 5. Linearity: Within 2 percent of full scale

6. Step Change Response Time: Within 12 seconds

- c. Enclosure:
  - 1. NEMA 3X
  - Access to the inside of enclosure, as well as to controller, display, and wiring connections, shall be through full-length door on front of enclosure
  - 3. Door with shatterproof window sized to provide viewing of visual display and indicator lights
  - 4. Equip enclosure with mounting brackets for the purpose of attaching the unit to a flat surface.
- d. Controller:
  - 1. Password-protected access through full-function keypad
  - 2. Set:
    - (1) Real-time clock
    - (2) Alarm levels
    - (3) Change span-gas values
    - (4) Display date of last calibration
    - (5) Display minimum, maximum, and average gas values
    - (6) Change address, future calibration time, and date
  - 3. Automatic return-to-normal-operation feature after calibration
  - 4. Date stamps last successful calibration
  - 5. Time and date stamps events
  - 6. Selectable lockout of output signals during calibration
  - 7. Logs minimum, maximum, and average gas concentrations over selected time intervals.
- e. Visual Display
  - 1. Four-digit LED or backlight LCD display visible from front face of enclosure
  - 2. Value displayed shall be a direct reading of gas concentration
  - 3. Displays system status indicators
  - 4. Visual Alarm Indication
    - (1) Three separate alarm levels: Caution, Warning, and Alarm(2) Separate strobes for Warning (Low) and Alarm (High) conditions. Externally mount the two strobes on top of enclosure
  - 5. Indication of sensor nearing end of its useful life based on the sensor output, not on the time the sensor was in service
  - 6. Displays average, minimum, and maximum gas concentrations of the sensor over selected time
  - Malfunction Indication Alarm: Displays a separate unique character when an over range or under range condition exists, a sensor signal sensor is lost, or a set-point error or memory failure occurs
- f. Audible Alarm
  - 1. Provides an audible horn when an alarm condition occurs
  - 2. Horn shall be rated for 95 dB with selectable output tones
  - 3. Mount horn inside or on exterior of enclosure
  - 4. Activate horn through a horn relay. Horn relay shall be form "A" contacts and set as normally open and common.
- g. Operator Interface
  - 1. Door Audible Alarm Acknowledge Switch
    - (1) Push-button switch located on front door shall silence audible alarm
    - (2) Switch shall reset latched alarms if normal gas conditions exist. Visual alarms shall remain on as long as alarms are exceeded.
  - Operating Modes and Parameters Selection: Selections listed shall be accomplished by the use of switches, jumpers, or remote control not involving the use of tools
    - (1) Display range value

h.	Outr	(2) Latching or nonlatching mode for the alarm set points. put Signal
	1.	Relays
		(1) Provide one relay for each set-point level for each of the
		three alarm levels
		(2) Provide one relay for fault conditions
		(3) Alarm and fault relays shall be form "C," SPDT. Contacts shall
		be rated for 5 A resistive at 250-V ac or 30-V dc
		(4) Contacts shall be capable of being selected normally open or
		normally closed
		-
		(5) Alarm relays shall be normally de-energized. The fault relay
	-	shall be normally energized
	2.	Analog Output
		(1) Two-wire, 4- to 20-mA current source
		(2) Signal capable of operating into a 600-ohm load
	3.	Digital Communication
		(1) Bidirectional sending and receiving of digital signals
		(2) Protocol shall be ModBus
		(3) Signal speed shall be no greater than 38.4 kBs per second
i.	Sens	
	1.	Electrochemical fuel-cell type does not require periodic addition
		of reagents
	2.	Sensor shall be replaceable without the need for tools.
		Sensors shall have a minimum useful life of one year. Replace
	5.	failed sensors at no charge within first year
	1	Mount sensor externally on the side or bottom of enclosure. Where
	ч.	indicated on Drawings, mount sensor remote from enclosure
	F	Remote Mounting
	5.	(1) Provide sensor in a separate enclosure. Enclosure shall be NEMA
		250, Type 4X, except when sensor is installed in a hazardous
		location, then enclosure shall be an explosion-proof type suitable
		for the application
		(2) Provide sensor with cable for connecting to monitor
		(3) Provide sensor with mounting hardware suitable for application
j.		Sampling Pump
	1.	Where required by application, provide a pump mounted inside the
		enclosure to provide a motive force to induce flow of gas sample
		across the sensor
	2.	Signal to the sensor from the pump shall be in digital
		communication format to eliminate radio-frequency interference
		(RFI) and electromagnetic interference (EMI
	3.	A flow sensor shall activate a relay when the gas sample falls
		below the acceptable flow rate to the sensor and shall indicate a
		loss of gas flow on the display
	4	Introduction of a calibration gas to the gas sensor shall be
	1.	through an integral push-button valve. This push-button valve
		shall return to monitoring the sampled area when released.
1e	Dat	
k.		ttery Backup
	⊥.	Provide battery backup power supply to continue normal operation if
	0	normal power source is interrupted
	2.	Transfer to battery backup shall be automatic and shall be
	-	indicated on the display
		Mount battery backup power supply inside enclosure
	4.	Battery backup shall be continuously charged during normal operation

- 5. Battery life shall be at least 0.5 hours with strobes flashing,
- alarm conditions asserted, and horn sounding

1. Calibration

1. Calibrate and adjust functions through nonintrusive control without opening enclosure door

- 2. Enter calibration mode through unit monitor
- 3. The display of the monitor shall instruct the user on when to apply zero and span gas. The system shall automatically adjust its internal settings to the proper calibration values without further intervention by the user. On completion of a successful calibration, the system shall exit the calibration mode. Date stamp of last successful calibration is retained in the system internal memory, with capability to be indicated on display. If calibration is unsuccessful for any reason, the display shall show an unsuccessful calibration attempt and revert to its previous calibration settings
- m. Automatic Calibration System
  - 1. Provide automatic calibration of all hydrogen monitors installed. Number of automatic calibration systems shall be determined by supplier based on location and quantity of hydrogen monitors
  - 2. Automatic calibration system shall, without manual intervention, periodically perform a complete calibration of the sensor
  - 3. System shall exchange digital signals with sensor.
  - Automatic calibration shall be adjustable from as many as three times per day to only once every 30 days
  - 5. Sensor alarms shall be suppressed or disabled until the automatic calibration cycle is completed
  - Manual calibration of the sensor shall be initiated at any time without the need to disable or turn off the automatic calibration system
  - 7. Digital displays shall indicate zero and when span gas is being applied to the sensor, the status, and any fault condition.
- n. Calibration EquipmentText
  - 1. Provide equipment necessary to automatically and manually calibrate the system, including, but not be limited to, the following:
    - (1) Regular assembly
    - (2) Zero cap
    - (3) Calibration cap
    - (4) Two cylinders filled with calibration gas
    - (5) Instruction book
    - (6) Carrying case.

## 2.8 INDICATING DEVICES

All indicating devices must display readings in English (inch-pound) units.

## 2.8.1 Thermometers

Provide bi-metal type thermometers at locations indicated. Thermometers must have either 9 inch long scales or 3.5 inch diameter dials, with insertion, immersion, or averaging elements. Provide matching thermowells for pipe-mounted installations. Select scale ranges suitable for the intended service, with the normal operating temperature near the scale's midpoint. The thermometer's accuracy must be plus or minus 2 percent of the scale range.

# 2.8.1.1 Piping System Thermometers

Piping system thermometers must have brass, malleable iron or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale. Piping system thermometers must have an accuracy of plus or minus 1 percent of scale range. Thermometers for piping systems must have rigid stems with straight, angular, or inclined pattern. Thermometer stems must have expansion heads as required to prevent breakage at extreme temperatures. On rigid-stem thermometers, the space between bulb and stem must be filled with a heat-transfer medium.

# 2.8.1.2 Air-Duct Thermometers

Air-duct thermometers must have perforated stem guards and 45-degree adjustable duct flanges with locking mechanism.

# 2.8.2 Pressure Gauges

Provide pipe-mounted pressure gauges at the locations indicated. Gauges must conform to ASME B40.100 and have a 4 inch diameter dial and shutoff cock. Select scale ranges suitable for the intended service, with the normal operating pressure near the scale's midpoint. The gauge's accuracy must be plus or minus 2 percent of the scale range.

Gauges must be suitable for field or panel mounting as required, must have black legend on white background, and must have a pointer traveling through a 270-degree arc. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus 3 percent of scale range. Gauges must meet requirements of ASME B40.100.

# 2.8.3 Low Differential Pressure Gauges

Gauges for low differential pressure measurements must be a minimum of 3.5 inch (nominal) size with two sets of pressure taps, and must have a diaphragm-actuated pointer, white dial with black figures, and pointer zero adjustment. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus two percent of scale range.

# 2.8.4 Pressure Gauges for Pneumatic Controls

Gauges must sufficient scale to display the full range of expected pressures with 1 psi graduations.

## 2.9 OUTPUT DEVICES

#### 2.9.1 Actuators

Actuators must be electric (electronic). All actuators must be normally open (NO), normally closed (NC) or fail-in-last-position (FILP) as indicated. Normally open and normally closed actuators must be of mechanical spring return type. Electric actuators must have an electronic cut off or other means to provide burnout protection if stalled. Actuators must have a visible position indicator. Electric actuators must provide position feedback to the controller as indicated. Actuators must smoothly and fully open or close the devices to which they are applied. Electric actuators must have a full stroke response time in both directions of 90 seconds or less at rated load. Electric actuators must be of the foot-mounted type with an oil-immersed gear train or the direct-coupled type. Where multiple electric actuators operate from a common signal, the actuators must provide an output signal identical to its input signal to the additional devices. All actuators must be rated for their operating environment. Actuators used outdoors must be designed and rated for outdoor use. Actuators under continuous exposure to water, such as those used in sumps, must be submersible.

Actuators incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

# 2.9.1.1 Valve Actuators

Valve actuators must provide shutoff pressures and torques as indicated on the Valve Schedule.

## 2.9.1.2 Damper Actuators

Damper actuators must provide the torque necessary per damper manufacturer's instructions to modulate the dampers smoothly over its full range of operation and torque must be at least 6 inch-pounds/1 square foot of damper area for opposed blade dampers and 9 inch-pounds/1 square foot of damper area for parallel blade dampers.

# 2.9.1.3 Electric Actuators

Each actuator must have distinct markings indicating the full-open and full-closed position Each actuator must deliver the torque required for continuous uniform motion and must have internal end switches to limit the travel, or be capable of withstanding continuous stalling without damage. Actuators must function properly within 85 to 110 percent of rated line voltage. Provide actuators with hardened steel running shafts and gears of steel or copper alloy. Fiber or reinforced nylon gears may be used for torques less than 16 inch-pounds..

- a. Two-position actuators must be single direction, spring return, or reversing type. Two position actuator signals may either be the control power voltage or line voltage as needed for torque or appropriate interlock circuits.
- b. Modulating actuators must be capable of stopping at any point in the cycle, and starting in either direction from any point. Actuators must be equipped with a switch for reversing direction, and a button to disengage the clutch to allow manual adjustments. Provide the actuator with a hand crank for manual adjustments, as applicable. Modulating actuator input signals can either be a 4 to 20 mAdc or a 0-10 VDC signal.
- c. Floating or pulse width modulation actuators are acceptable for non-fail safe applications unless indicated otherwise provided that the floating point control (timed actuation) must have a scheduled re-calibration of span and position no more than once a day and no less than once a week. The schedule for the re-calibration should not affect occupied conditions and be staggered between equipment to prevent falsely loading or unloading central plant equipment.

# 2.9.2 Relays

Relays must have contacts rated for the intended application, indicator light, and dust proof enclosure. The indicator light must be lit when the coil is energized and off when coil is not energized.

Control relay contacts must have utilization category and ratings selected for the application. Each set of contacts must incorporate a normally

open (NO), normally closed (NC) and common contact. Relays must be rated for a minimum life of one million operations.

## 2.10 USER INPUT DEVICES

User Input Devices, including potentiometers, switches and momentary contact push-buttons. Potentiometers must be of the thumb wheel or sliding bar type. Momentary Contact Push-Buttons may include an adjustable timer for their output. User input devices must be labeled for their function.

2.10.1 Emergency Air Distribution Shutoff Switches (ESS)

Provide emergency shutdown switch assemblies with NEMA 4X rated metal or plastic enclosure suitable for attachment to a standard single gang electrical box and are ADA Compliant and UL Listed. Provide switches with a mushroom style operator, no less than 40mm diameter, that is push to activate, key to reset, and includes two (2) contact blocks rated 10 amperes continuous up to 250 V AC. Provide enclosure cover with hinged transparent lid to eliminate accidental activation of the operator. Emergency shutdown switch shall not require replacement of any portion of the device after activation or reset. Switch enclosure shall be finished in cobalt blue, or equivalent color, with molded raised lettering, no less than 3/8 inch high, of contrasting color stating "HVAC EMERGENCY SHUTOFF".

## 2.11 MULTIFUNCTION DEVICES

Multifunction devices are products which combine the functions of multiple sensor, user input or output devices into a single product. Unless otherwise specified, the multifunction device must meet all requirements of each component device. Where the requirements for the component devices conflict, the multifunction device must meet the most stringent of the requirements.

2.11.1 Current Sensing Relay Command Switch

The Current Sensing Relay portion must meet all requirements of the Current Sensing Relay input device. The Command Switch portion must meet all requirements of the Relay output device except that it must have at least one normally-open (NO) contact.

Current Sensing Relays used for Variable Frequency Drives must be rated for Variable Frequency applications unless installed on the source side of the drive. If used in this situation, the threshold for showing status must be set to allow for the VFD's control power when the drive is not enabled and provide indication of operation when the drive is enabled at minimum speed.

## 2.11.2 Space Sensor Module

Space Sensor Modules must be multifunction devices incorporating a temperature sensor and one or more of the following as specified and indicated on the Space Sensor Module Schedule:

- a. A temperature indicating device.
- b. A User Input Device which must adjust a temperature setpoint output.

- c. A User Input Momentary Contact Button and an output to the control system indicating zone occupancy.
- d. A three position User Input Switch labeled to indicate heating, cooling and off positions ('HEAT-COOL-OFF' switch) and providing corresponding outputs to the control system.
- e. A two position User Input Switch labeled with 'AUTO' and 'ON' positions and providing corresponding output to the control system..
- f. A multi-position User Input Switch with 'OFF' and at least two fan speed positions and providing corresponding outputs to the control system.

Space Sensor Modules cannot contain mercury (Hg).

PART 3 EXECUTION

## 3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

# 3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

#### 3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Tag airflow measurement arrays to show flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient. Tag duct static pressure taps at the location of the pressure tap. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may attached using adhesive, but must not be hand written.

# 3.1.2 Weathershield

Provide weathershields for sensors located outdoors. Install weathershields such that they prevent the sun from directly striking the sensor and prevent rain from directly striking or dripping onto the sensor. Install weather shields with adequate ventilation so that the sensing element responds to the ambient conditions of the surroundings. When installing weathershields near outside air intake ducts, install them such that normal outside air flow does not cause rainwater to strike the sensor.

3.1.3 Room Instrument Mounting

Mount room instruments, including but not limited to wall mounted non-adjustable space sensor modules and sensors located in occupied spaces, 60 inches above the floor unless otherwise indicated. Install adjustable devices to be ADA compliant unless otherwise indicated on the Room Sensor Schedule:

- a. Space Sensor Modules for Fan Coil Units may be either unit or wall mounted but not mounted on an exterior wall.
- b. Wall mount all other Space Sensor Modules.

#### 3.1.4 Switches

3.1.4.1 Temperature Limit Switch

Provide a temperature limit switch (freezestat) to sense the temperature at the location indicated. Provide a sufficient number of temperature limit switches (freezestats) to provide complete coverage of the duct section but no less than 1 foot in length per square foot of cross sectional area. Install manual reset limit switches in approved, accessible locations where they can be reset easily. Install temperature limit switch (freezestat) sensing elements in a side-to-side (not top-to-bottom) serpentine pattern with the relay section at the highest point and in accordance with the manufacturer's installation instructions.

3.1.4.2 Hand-Off Auto Switches

Wire safety controls such as smoke detectors and freeze protection thermostats to protect the equipment during both hand and auto operation.

3.1.4.3 Emergency Air Distribution Shutoff Switches (ESS)

Shutdown switches shall be mounted at 42 inches above finished floor, adjacent to fire alarm manual stations or as indicated on the drawings. Provide all wiring in electrical metallic conduit. Conceal conduit in finished areas of new construction and wherever practicable in existing construction. The use of flexible conduit not exceeding 6 foot length shall be permitted in device circuits. Run conduit concealed unless specifically indicated otherwise. Provide system wiring, raceways, pull boxes, installation and workmanship as required by NFPA 70 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

## 3.1.5 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer's instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.5.1 Room Temperature Sensors

Mount the sensors on interior walls to sense the average room temperature at the locations indicated. Avoid locations near heat sources such as

copy machines or locations by supply air outlet drafts. Mount all user-adjustable sensors no higher than 48 inches above the floor to meet ADA requirements. Non user-adjustable sensors can be mounted as indicated in paragraph ROOM INSTRUMENT MOUNTING.

#### 3.1.5.2 Duct Temperature Sensors

# 3.1.5.2.1 Probe Type

Place tip of the sensor in the middle of the airstream or in accordance with manufacturer's recommendations or instructions.Provide a gasket between the sensor housing and the duct wall. Seal the duct penetration air tight. When installed in insulated duct, provide enclosure or stand off fitting to accommodate the thickness of duct insulation to allow for maintenance or replacement of the sensor and wiring terminations. Seal the duct insulation penetration vapor tight.

# 3.1.5.3 Immersion Temperature Sensors

Provide thermowells for sensors measuring piping, tank, or pressure vessel temperatures. Locate wells to sense continuous flow conditions. Do not install wells using extension couplings. When installed on insulated piping, provide stand enclosure or stand off fitting to accommodate the thickness of the pipe insulation and allow for maintenance or replacement of the sensor or wiring terminations. Where piping diameters are smaller than the length of the wells, provide wells in piping at elbows to sense flow across entire area of well. Wells must not restrict flow area to less than 70 percent of pipe area. Increase piping size as required to avoid restriction. Provide the sensor well with a heat-sensitive transfer agent between the sensor and the well interior ensuring contact between the sensor and the well.

# 3.1.5.4 Outside Air Temperature Sensors

Provide outside air temperature sensors on the building's north side with a protective weather shade that does not inhibit free air flow across the sensing element, and protects the sensor from snow, ice, and rain. Location must not be near exhaust hoods and other areas such that it is not influenced by radiation or convection sources which may affect the reading. Provide a shield to shade the sensor from direct sunlight.

### 3.1.6 Air Flow Measurement Arrays (AFMA)

Locate Outside Air AFMAs downstream from the Outside Air filters.

Install AFMAs with the manufacturer's recommended minimum distances between upstream and downstream disturbances. Airflow straighteners may be used to reduce minimum distances as recommended by the AFMA manufacturer.

# 3.1.7 Duct Static Pressure Sensors

Locate the duct static pressure sensing tap at 75 percent of the distance between the first and last air terminal units as indicated on the design documents. If the transmitter output is a 0-10Vdc signal, locate the transmitter in the same enclosure as the air handling unit (AHU) controller for the AHU serving the terminal units. If a remote duct static pressure sensor is to be used, run the signal wire back to the controller for the air handling unit.

#### 3.1.8 Relative Humidity Sensors

Install relative humidity sensors in supply air ducts at least 10 feet downstream of humidity injection elements.

# 3.1.9 Meters

# 3.1.9.1 Flowmeters

Install flowmeters to ensure minimum straight unobstructed piping for at least 10 pipe diameters upstream and at least 5 pipe diameters downstream of the flowmeter, and in accordance with the manufacturer's installation instructions.

### 3.1.9.2 Energy Meters

Locate energy meters as indicated. Connect each meter output to the DDC system, to measure both instantaneous demand/energy and other variables as indicated.

#### 3.1.10 Dampers

### 3.1.10.1 Damper Actuators

Provide spring return actuators which fail to a position that protects the served equipment and space on all control dampers related to freeze protection or force protection. For all outside, makeup and relief dampers provide dampers which fail closed. Terminal fan coil units, terminal VAV units, convectors, and unit heaters nay be non-spring return unless indicated otherwise. Do not mount actuators in the air stream. Do not connect multiple actuators to a common drive shaft. Install actuators so that their action seal the damper to the extent required to maintain leakage at or below the specified rate and so that they move the blades smoothly throughout the full range of motion.

# 3.1.10.2 Damper Installation

Install dampers straight and true, level in all planes, and square in all dimensions. Dampers must move freely without undue stress due to twisting, racking (parallelogramming), bowing, or other installation error. External linkages must operate smoothly over the entire range of motion, without deformation or slipping of any connecting rods, joints or brackets that will prevent a return to it's normal position. Blades must close completely and leakage must not exceed that specified at the rated static pressure. Provide structural support for multi-section dampers. Acceptable methods of structural support include but are not limited to U-channel, angle iron, corner angles and bolts, bent galvanized steel stiffeners, sleeve attachments, braces, and building structure. Where multi-section dampers are installed in ducts or sleeves, they must not sag due to lack of support. Do not use jackshafts to link more than three damper sections. Do not use blade to blade linkages. Install outside and return air dampers such that their blades direct their respective air streams towards each other to provide for maximum mixing of air streams.

#### 3.1.11 Valves

Install the valves in accordance with the manufacturer's instructions.

# 3.1.11.1 Valve Actuators

Provide spring return actuators on all control valves where freeze protection is required. Spring return actuators for terminal fan coil units, terminal VAV units, convectors, and unit heaters are not required unless indicated otherwise.

# 3.1.12 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways, except low-voltage control and low-voltage network wiring may be installed as follows:

- a. plenum rated cable in suspended ceilings over occupied spaces may be run without raceways
- b. nonmetallic-sheathed cables or metallic-armored cables may be installed as permitted by NFPA 70.

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic tubing, covered metal raceways, or armored cable.

#### 3.1.13 Plastic Tubing

Install plastic tubing within covered raceways or conduit except when otherwise specified. Do not use plastic tubing for applications where the tubing could be subjected to a temperature exceeding 130 degrees F. For fittings, use brass or acetal resin of the compression or barbed push-on type for instrument service. Except in walls and exposed locations, plastic multitube instrument tubing bundle without conduit or raceway protection may be used where a number of air lines run to the same points, provided the multitube bundle is enclosed in a protective sheath, is run parallel to the building lines and is adequately supported as specified.

-- End of Section --

# SECTION 23 09 23.01

# LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS 02/19

# PART 1 GENERAL

#### 1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system, except for the Front End which is specified in Section 25 10 10 UTILITY MONITORING AND CONTROL (UMCS) FRONT END AND INTEGRATION, suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and shown and in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

# 1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

- a. The control system must be an open implementation of LonWorks technology using CEA-709.1-D and Fox as the communications protocols. Except for communication between Niagara Framework components (between Niagara Framework Supervisory Gateways or between a Niagara Framework Supervisory Gateway and a Niagara Framework Front End) which must use the Fox Protocol, the system must use LonMark Standard Network Variable Types as defined in LonMark SNVT List exclusively for communication over the network.
- b. Use the Niagara Framework for all network management including addressing and binding of network variables. Each Niagara Framework Supervisory Gateway must contain a database for all controllers connected to its non-IP ports.
- c. Install and configure control hardware, except as specified for Niagara Framework Supervisory Gateways, to provide all input and output Standard Network Variables (SNVTs) as indicated and as needed to meet the requirements of this specification. Points in Niagara Framework Supervisory Gateways which do not communicate with non-Niagara Framework DDC Hardware may be exposed via Fox instead.
- d. All DDC hardware installed under this specification must communicate via CEA-709.1-D, and Niagara Framework Supervisory Gateways must also communicate over the IP network via Fox. Install the control system such that a SNVT output from any node on the network can be bound to any other node in the same domain.
- e. Use Niagara Framework hardware and software exclusively for alarming, scheduling, trending, and communication with a front end (UMCS). Use the Fox protocol for all communication between Niagara Framework Supervisory Gateways; use the CEA-709.1-D protocol for all other building communication. Niagara Framework Supervisory Gateway must serve web pages as specified.

- f. Use Niagara Framework Version 4.0 or later.
- g. The controls associated with new HVAC equipment shall communicate with existing basewide UMCS via the secure Campus Academic Network.
- 1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

# 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

CONSUMER ELECTRONICS ASSOCIATION (CEA)

CEA-709.1-D	(2014) Control Network Protocol Specification
CEA-709.3	(1999; R 2015) Free-Topology Twisted-Pair Channel Specification

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3

(2018) Ethernet

INTERNET ENGINEERING TASK FORCE (IETF)

IETF RFC 7465

(2015) Prohibiting RC4 Cipher Suites

LONMARK INTERNATIONAL (LonMark)

LonMark Interoperability Guide	(2005) LonMark Application-Layer Interoperability Guide and LonMark Layer 1-6 Interoperability Guide; Version 3.4
LonMark SCPT List	(2014) LonMark SCPT Master List; Version 15
LonMark SNVT List	(2014) LonMark SNVT Master List; Version 15
LonMark XIF Guide	(2001) LonMark External Interface File Reference Guide; Revision 4.402
TRIDIUM, INC (TRIDIUM)	
Niagara Framework	(2015) Niagara 4 User's Guide

#### U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15

Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 916

(2015) Standard for Energy Management Equipment

## 1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

### 1.4 SUBMITTALS

Submittals related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

# PART 2 PRODUCTS

All products used to meet this specification must meet the specified requirements, but not all products specified here will be required by every project. Provide products which meet the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

## 2.1 NETWORK HARDWARE

2.1.1 CEA-709.1-D Routers

CEA-709.1-D Routers must meet the requirements of CEA-709.1-D and must provide connection between two or more CEA-709.3 TP/FT-10 channels, or between one or more CEA-709.3 TP/FT-10 channels and a LonMark Interoperability Guide TP/XF-1250 channel.

2.1.2 CEA-709.1-D Repeaters

CEA-709.1-D Repeaters must be CEA-709.1-D Routers configured as repeaters. Physical layer repeaters are prohibited.

2.1.3 CEA-709.1-D Gateways

In addition to the requirements for DDC Hardware, CEA-709.1-D gateways must be a Niagara Framework Supervisory Gateway or must:

- a. Allow bi-directional mapping of data between the non-CEA-709.1-D protocol and SNVTs
- b. Incorporate a network connection to a TP/FT-10 network in accordance with CEA-709.3 and a separate connection appropriate for the a non-CEA-709.1-D network

Although Gateways must meet DDC Hardware requirements, except for Niagara Framework Supervisory Gateways, they are not DDC Hardware and must not be used when DDC Hardware is required. (Niagara Framework Supervisory Gateways are both Gateways and DDC Hardware.)

#### 2.1.4 Ethernet Switch

Ethernet Switches must autoconfigure between 10,100 and 1000 megabits per second (MBPS).

- 2.2 CONTROL NETWORK WIRING
  - a. Provide TP/FT-10 control wiring in accordance with CEA-709.3.
  - b. Provide TP/XF-1250 control wiring in accordance with the LonMark Interoperability Guide.
  - c. For the Building Control Network IP Network provide media that is CAT-5e Ethernet media at a minimum and meets all requirements of IEEE 802.3 .
- 2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE
  - All DDC Hardware must meet the following general requirements:
  - a. Except for Niagara Framework Supervisory Gateways, it must incorporate a "service pin" which, when pressed will cause the DDC Hardware to broadcast its 48-bit NodeID and its ProgramID over the network. The service pin must be distinguishable and accessible.
  - b. It must incorporate a light to indicate the device is receiving power.
  - c. Except for Niagara Framework Supervisory Gateways, it must incorporate a TP/FT-10 transceiver in accordance with CEA-709.3 and connections for TP/FT-10 control network wiring. Niagara Framework Supervisory Gateways must incorporate an IP connection and at least one other transceiver. These other transceivers must be either a TP/FT-10 transceiver in accordance with CEA-709.3 or a TP/XF-1250 transceiver in accordance with LonMark Interoperability Guide. Niagara Framework Supervisory gateways must have connection of the appropriate type for each transceiver.
  - d. It must communicate on the network using only the CEA-709.1-D protocol or the Fox protocol.
  - e. It must be capable of having network communications configured via the Niagara Framework.
  - f. It must be locally powered; link powered devices are not acceptable.
  - g. LonMark external interface files (XIF files), as defined in the LonMark XIF Guide, must be submitted for each type of DDC Hardware except Niagara Framework Supervisory Gateways.
  - h. Application programs and configuration settings must be stored in a manner such that a loss of power does not result in a loss of the application program or configuration settings:
    - Loss of power must never result in the loss of application programs, regardless of the length of time power is lost.
    - (2) Loss of power for less than 2,500 hours must not result in the loss of configured settings.

- i. It must have all functionality specified and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to:
  - (1) It must provide input and output SNVTs or Niagara Framework Points as specified, as indicated on the Points Schedule, and as otherwise required to support the sequence and application in which it is used. All SNVTs and Niagara Framework Points must have meaningful names identifying the value represented by the SNVT or Niagara Framework Points. Unless a standard network variable type of an appropriate engineering type is not available, all network variables must be of a standard network variable type with engineering units appropriate to the value the variable represents.
  - (2) All settings and parameters used by the application in which the DDC hardware is used must be configurable via one of the following: standard configuration properties (SCPTs) as defined in the LonMark SCPT List, user-defined configuration properties (UCPTs), network configuration inputs (ncis) of a SNVT type as defined in the LonMark SNVT List, network configuration inputs (nci s) of a user defined network variable type, or hardware settings on the controller itself. Niagara Framework Supervisory Gateways may instead be configurable via the Niagara Framework.
- j. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.
- k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet the requirements of a Niagara Framework Supervisory Gateway, Application Specific Controller (ASC), General Purpose Programmable Controller (GPPC), or an Application Generic Controller (AGC). All pieces of DDC Hardware must have their DDC Hardware Type identified as part of the Manufacturer's Product Data submittal as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.
- 1. The user interface on all DDC Hardware with a user interface which allows for modification of a value must be password protected.
- m. Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.
- 2.3.1 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

#### 2.3.1.1 Analog Inputs

DDC Hardware analog inputs (AIs) must perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. The AI must incorporate common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode

noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

# 2.3.1.2 Analog Outputs

DDC Hardware analog outputs (AOs) must perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mAdc or 0-10 Vdc. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output to 0 percent and to 100 percent.

# 2.3.1.3 Binary Inputs

DDC Hardware binary inputs (BIs) must accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient of 50 Vac must be provided.

# 2.3.1.4 Binary Outputs

DDC Hardware binary outputs (BOs) must provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

#### 2.3.1.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

#### 2.3.1.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

# 2.3.1.5 Pulse Accumulator

DDC Hardware pulse accumulators must have the same characteristics as the BI. In addition, a buffer must be provided to totalize pulses. The pulse accumulator must accept rates of at least 20 pulses per second. The totalized value must be resettable via a configurable parameter.

#### 2.3.1.6 Integrated H-O-A Switches

Where integrated H-O-A switches are provided on hardware outputs, controller must provide means of monitoring position or status of H-O-A switch. This feedback may be provided via the Niagara Framework or via network variable.

## 2.3.2 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of Niagara Framework points or network variables as indicated on the Points Schedule and as specified. LDPs must be provided as

stand-alone DDC Hardware or as an integral part of another piece of DDC Hardware. LDPs must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.3 Application Specific Controller (ASC)

Application Specific Controllers (ASCs) have a fixed factory-installed application program (i.e. ProgramID) with configurable settings and do not have the ability to be programmed for custom applications. ASCs must meet the following requirements in addition to the General DDC Hardware and DDC Hardware Input-Output (I/O) Function requirements:

- a. ASCs must be LonMark Certified.
- b. Unless otherwise approved, all necessary Configuration Properties and network configuration inputs (ncis) for the sequence and application in which the ASC is used must be fully configurable through the Niagara Framework. Application Specific Controller configurable via a Niagara Framework Wizard is preferred. Wizards must be submitted for each type (manufacturer and model) of Application Specific Controller which has a Wizard available for configuration. Wizards distributed under a license must be licensed to the project site. (Note: configuration accomplished via hardware settings does not require configuration via Niagara Framework Wizard.)
- c. ASCs may include an integral or tethered Local Display Panel
- 2.3.4 General Purpose Programmable Controller (GPPC)

A General Purpose Programmable Controller (GPPC) must be programmed for the application. GPPCs must meet the following requirements in addition to the general DDC Hardware requirements and Hardware Input-Output (I/O) Functions:

- a. The programmed GPPC must conform to the LonMark Interoperability Guide.
- b. All programming software required to program the GPPC must be delivered to and licensed to the project site in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Submit the most recent version of the Programming software for each type (manufacturer and model) of General Purpose Programmable Controller (GPPC).
- c. Submit copies of the installed GPPC application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted GPPC application program must be the complete application necessary for the GPPC to function as installed and be sufficient to allow replacement of the installed controller with a GPPC of the same type.
- d. GPPCs may be include an integral or tethered Local Display Panel

2.3.5 Application Generic Controller (AGC)

An Application Generic Controller (AGC) has a fixed application program which includes the ability to be programmed for custom applications. AGCs must meet the following requirements in addition to the general DDC Hardware requirements and Hardware Input-Output (I/O) Functions:

- a. The programmed AGC must conform to the LonMark Interoperability Guide.
- b. The AGC must have a fixed ProgramID and fixed XIF file.
- c. Unless otherwise approved, the ACG must be fully configurable and programmable for the application using one or more Niagara Framework Wizards, all of which must be submitted as specified for each type of AGC (manufacturer and model).
- d. Submit copies of the installed AGC application programs as source code compatible with the supplied Niagara Framework Wizard used for programming the device in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted AGC application program must be the complete application program necessary for the AGC to function as installed and be sufficient to allow replacement of the installed controller with an AGC of the same type.
- e. AGCs may be include an integral or tethered Local Display Panel
- 2.3.6 Niagara Framework Supervisory Gateway

Any device implementing the Niagara Framework is a Niagara Framework Supervisory Gateway and must meet these requirements. In addition to the general requirements for all DDC Hardware, Niagara Framework Supervisory Gateway Hardware must:

- a. Be direct digital control hardware.
- b. Have an unrestricted interoperability license and its Niagara Comparability Statement (NiCS) must follow the Tridium Open NiCS Specification.
- c. Manage communications between a field control network and the Niagara Framework Monitoring and Control Software, and between itself and other Niagara Framework Supervisory Gateways. Niagara Framework Supervisory Gateway Hardware must use Fox protocol for communication with other Niagara Framework Components, regardless of the manufacturer of the other components.
- d. Be fully programmable using the Niagara Framework Engineering Tool and must support the following:
  - (1) Time synchronization, Calendar, and Scheduling using Niagara Scheduling Objects
  - (2) Alarm generation and routing using the Niagara Alarm Service
  - (3) Trending using the Niagara History Service and Niagara Trend Log Objects
  - (4) Integration of field control networks using the Niagara Framework Engineering Tool

- (5) Configuration of integrated field control system using the Niagara Framework Engineering Tool when supported by the field control system
- e. Meet the following minimum hardware requirements:

(1) One 10/100 Mbps Ethernet Port

- f. provide access to field control network data and supervisory functions via web interface and support a minimum of 16 simultaneous users. Note: implementation of this capability may not be required on this project; see requirements in PART 3, EXECUTION of this Section.
- g. Submit a backup of each Niagara Framework Supervisory Gateway as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The backup must be sufficient to restore a Niagara Framework Supervisory Gateway to the final as-built condition such that a new Niagara Framework Supervisory Gateway loaded with the backup is indistinguishable in functionality from the original.
- 2.4 NIAGARA FRAMEWORK ENGINEERING TOOL

The Niagara Framework Engineering Tool must be Niagara Workbench or an equivalent Niagara Framework engineering tool software and must:

- a. have an unrestricted interoperability license and its Niagara Compatibility Statement (NiCS) must follow the Tridium Open NiCS Specification.
- b. be capable of performing network configuration for Niagara Framework Supervisory Gateways and Niagara Framework Monitoring and Control Software.
- c. be capable of programming and configuring of Niagara Framework Supervisory Gateways and Niagara Framework Monitoring and Control Software.
- d. be capable of discovery of Niagara Framework Supervisory Gateways and all points mapped into each Niagara Framework Supervisory Gateway and making these points accessible to Niagara Framework Monitoring and Control Software.

Monitoring and Control Software is specified in Section 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION.

- PART 3 EXECUTION
- 3.1 CONTROL SYSTEM INSTALLATION
- 3.1.1 Niagara Framework Engineering Tool

The project site currently has the Niagara Framework Engineering Tool. If this software is not adequate for programming the Niagara Framework Supervisory Gateways provided under this project, provide a Niagara Framework Engineering Tool as specified. 3.1.2 Building Control Network (BCN)

Provide a Building Control Network (BCN) connecting all DDC hardware as specified. The Building Control Network (BCN) must consist of an IP Network, one or more Niagara Framework Supervisory Gateways, and one or more Non-IP Building Control Network Channels:

3.1.2.1 Building Control Network (BCN) Installation

Provide building control networks meeting the following requirements:

- a. Provide a Building Control Network IP Network, Non-IP Building Control Network Channels and Niagara Framework Supervisory Gateways to a create a single building control network connecting all DDC Hardware.
- b. In addition to the connection to the Niagara Framework Supervisory Gateway, each Non-IP Building Control Network (BCN) Channel directly connected to a Niagara Framework Supervisory Gateway must be directly connected to either DDC Hardware or to CEA-709.1-D Routers, but not to both. A channel containing only CEA-709.1-D Routers is a backbone channel and a channel containing DDC Hardware is a non-backbone channel.
- c. When only a single Niagara Framework Supervisory Gateway is required, the IP network consists of only the Niagara Framework Supervisory Gateway. When multiple Niagara Framework Supervisory Gateways are required, provide an IP Network connecting all Niagara Framework Supervisory Gateways.
- d. Connect all DDC Hardware other than Niagara Framework Supervisory Gateways to a non-backbone BCN Channel. Connect all Niagara Framework Supervisory Gateways to the Building Control Network (BCN) IP Network.
- e. Install components such that there is no more than than one CEA-709.1-D Router between any DDC Hardware and a Niagara Framework Supervisory Gateway
- f. Install the network such that the peak expected bandwidth usage for each and every channel is less than 70 percent, including device-to-device traffic and traffic to the Utility Monitoring and Control System (UMCS) as indicated on the Points Schedule.
- g. Where multiple pieces of DDC Hardware are used in the execution of a single sequence of operation, directly connect all DDC Hardware used to execute the sequence to the same channel and do not install other DDC Hardware, other than a Niagara Framework Supervisory Gateway, to that channel.
- 3.1.2.2 Non-IP Building Control Network (BCN) Channel

Provide Non-IP Building Control Network (BCN) Channels meeting the following requirements:

a. For each non-backbone channel, provide a TP/FT-10 channel in doubly terminated bus topology in accordance with CEA-709.3. For each backbone channel, provide either a TP/FT-10 channel in doubly terminated bus topology in accordance with CEA-709.3 or a TP/XF-1250 channel in accordance with the LonMark Interoperability Guide.

- b. Connect no more than 2/3 the maximum number of devices permitted by CEA-709.3 to each TP/FT-10 channel. Connect no more than 2/3 the maximum number of devices permitted by LonMark Interoperability Guide to TP/XF-1250 channel.
- c. Connect no more than 2/3 the maximum number of devices permitted by the manufacturer of the device transceivers to each channel. When more than one type of transceiver is used on the same channel, use the transceiver with the lowest maximum number of devices to calculate the 2/3 limit.

# 3.1.2.3 Building Control Network (BCN) IP Network

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP Network so that it is available at the Facility Point of Connection (FPOC) location. When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control Network (BCN) IP Network can be extended to any location in the room.

# 3.1.3 DDC Hardware

Install Niagara Framework Supervisory Gateways in lockable enclosures. Install other DDC Hardware which is not is suspended ceilings in lockable enclosures.

Configure and commission all DDC Hardware on the Building Control Network via the Niagara Framework. Use Application Specific Controllers whenever an Application Specific Controller suitable for the application exists. When an Application Specific Controller suitable for the application does not exist use a Niagara Framework Supervisory Gateway or Application Generic Controllers.

# 3.1.3.1 Hand-Off-Auto (H-O-A) Switches

Provide Hand-Off-Auto (H-O-A) switches for all DDC Hardware analog outputs and binary outputs used for control of systems other than terminal units, as specified and as indicated on the Points Schedule. H-O-A switches must be integral to the controller hardware, an external device co-located with (in the same enclosure as) the controller, integral to the controlled equipment, or an external device co-located with (in the same enclosure as) the controlled equipment.

- a. For H-O-A switches integral to DDC Hardware, meet the requirements specified in paragraph DIRECT DIGITAL CONTROL (DDC) HARDWARE.
- b. For external H-O-A switches for binary outputs, provide switches capable of overriding the output open or closed.
- c. For external H-O-A switches for analog outputs, provide switches capable of overriding to 0 percent or 100 percent.

#### 3.1.3.2 Graphics and Web Pages

Configure Niagara Framework Supervisory Gateways to use web pages to provide a graphical user interface including System Displays using the project site sample displays, including overrides, as indicated on the Points Schedule and as specified. Label all points on displays with the point name as indicated on the Points Schedule. Configure user permissions

for access to and executions of action using graphic pages. Coordinate user permissions with the Controls / HVAC shop supervisor. Configure the web server to use HTTPS based on the Transport Layer Security (TLS) protocol in accordance with IETF RFC 7465 using a Government furnished certificate.

# 3.1.3.3 Overrides for GPPCs and AGCs

Provide the capability to override points for all General Purpose Programmable Controllers and Application Generic Controllers as specified and as indicated on the Points Schedule using one of the following methods:

- a. Override SNVT of Same SNVT Type method:
  - (1) Use this method for all setpoint overrides and for overrides of inputs and outputs whenever practical.
  - (2) Provide a SNVT input to the DDC hardware containing the point to be overridden of the same SNVT type as the point to be overridden.
  - (3) Program and configure the DDC hardware such that:

(a) If the value of the SNVT on the override input is the *Invalid Value* defined for that SNVT by the LonMark SNVT List, then the point is not overridden (its value is determined from the sequence).

(b) If the value of the SNVT on the override input is not the *Invalid Value* defined for that SNVT by the LonMark SNVT List then set the value of the point to be overridden to the value of the SNVT on the override input.

- b. HVAC Override SNVT method:
  - (1) Use this method for override of inputs and outputs when the "Override SNVT Shares SNVT Type" method is impractical.
  - (2) Provide a SNVT input to the DDC hardware containing the point to be overridden of SNVT type *SNVT\_hvac\_overid.* Show on the Points Schedule how to perform the specified override using this SNVT.

### 3.1.3.4 Overrides for ASCs

Whenever possible use the methods specified for General Purpose Programmable Controllers and Application Generic Controllers to perform overrides for all Application Specific Controllers. If neither the "Override SNVT of Same SNVT Type" method or "HVAC Override SNVT" method are supported by the Application Specific Controller show this on the Points Schedule and perform overrides as follows:

- a. Provide one or more SNVT input(s) to the DDC hardware containing the point to be overridden. Document the number and type of each SNVT provided on the Points Schedule.
- b. Configure the Application Specific Controller such that:
  - (1) For some specific combination or combinations of values at the SNVT override input(s) the point is not overridden, and its value is determined from the sequence as usual. Show on the Points

Schedule the values required at the SNVT override input(s) to not override the point.

- (2) For other specific combinations of SNVT override input(s), the value of the point to be overridden is determined from the value of the override input(s). Show on the Points Schedule the correlation between the SNVT override input(s) and the resulting value of the overridden point.
- 3.1.4 Scheduling, Alarming, Trending and Overrides
- 3.1.4.1 Scheduling

Configure schedules in Niagara Framework Supervisory Gateway using Niagara Schedule Objects as indicated on the Points Schedule and as specified. When the schedule is controlling occupancy modes in DDC Hardware other than a Niagara Framework Supervisory Gateway use a network variable of type SNVT\_Occupancy.

3.1.4.1.1 Schedule Groupings

Provide a separate schedule for each AHU including it's associated Terminal Units and for each stand-alone Terminal Unit (those not dependent upon AHU service).

3.1.4.1.2 Occupancy Mode Mapping to SNVT Values

Use the following mapping between SNVT\_Occupancy enumerations and occupancy modes:

- a. OCCUPIED mode: Enumeration value of OC\_OCCUPIED
- b. UNOCCUPIED mode: Enumeration value of OC\_UNOCCUPIED
- c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: Enumeration value of OC\_STANDBY

# 3.1.4.2 Alarming

For each point not in a Niagara Framework Supervisory Gateway which is shown on the Points Schedule with an alarm condition, provide a SNVT output for the point to be used for alarm generation. For each point which is shown on the Points Schedule with an alarm condition, configure alarms in Niagara Framework Supervisory Gateway using Niagara Alarm Extensions and Alarm Services.

# 3.1.4.3 Trending

For each point not in a Niagara Framework Supervisory Gateway which is shown on the Points Schedule as requiring a trend, provide a SNVT output for the point to be used for trending. For each point which is shown on the Points Schedule as requiring a trend, configure a trend in a Niagara Framework Supervisory Gateway using Niagara Framework History Extensions and the Niagara Framework History Service.

#### 3.1.4.4 Overrides

Provide overrides for points as indicated on the Points Schedule. For overrides to points in Niagara Framework Supervisory Gateways, use the Niagara Framework. For overrides to other points, provide an override to a point in a Niagara Framework Supervisory Gateway via the Niagara Framework where the Niagara Framework Supervisory Gateway overrides the other point as specified in paragraphs "Overrides for GPPCs and AGCs" and "Overrides for ASCs"

3.1.5 Gateways

The requirements in this paragraph do not themselves permit the installation of hardware not meeting the other requirements of this section. Except for proprietary systems specifically indicated in Section 23 09 00, all control hardware installed under this project must meet the requirements of this specification, including the control hardware providing the network interface for a package unit or split system specified under this Section or another Section. Only use gateways to connect to pre-existing control devices and to proprietary systems specifically permitted by Section 23 09 00.

Provide Gateways to connect non-CEA-709.1-D control hardware in accordance with the following:

- a. Configure gateway to map writeable data points in the controlled equipment to Network Variable Inputs of Standard Network Variable Types as defined by the LonMark SNVT List, or to Niagara Framework points, as indicated in the Points Schedule and as specified.
- b. Configure gateway to map readable data points in the controlled equipment to Network Variable Outputs of Standard Network Variable Types as defined by the LonMark SNVT List, or to Niagara Framework points, as indicated in the Points Schedule and as specified.
- c. Do not use non-CEA-709.1-D control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls. (Note: A Niagara Framework Supervisory Gateway is CEA-709.1-D control hardware.)
- d. Do not use non-CEA-709.1-D control hardware for system scheduling functions.
- e. Each gateway must communicate with and perform protocol translation for non-CEA-709.1-D control hardware controlling one and only one package unit or a single non-CEA-709.1-D system specifically permitted by Section 23 09 00.
- f. Connect one network port on the gateway to the Building Control Network and the other port to the single piece of controlled equipment or the non-CEA-709.1-D network specifically permitted by Section 23 09 00.
- g. For gateways to existing package units or simple split systems, non-CEA-709.1-D network wiring connecting the gateway to the package unit or split system interface must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment or split system interface and the gateway.

# 3.1.6 Network Interface Jack

Provide standard network interface jacks such that each node on the control network is within 10 ft of an interface jack. For terminal unit controllers with hardwired thermostats this network interface jack may instead be located at the thermostat. Locating the interface jack at the thermostat is preferred. If the network interface jack is other than a

1/8 inch phone jack, provide an interface cable with a standard 1/8 inch phone jack on one end and a connector suitable for mating with installed network interface jack on the other. No more than one type of interface cable must be required to access all network interface jacks. Furnish one interface cable(s).

-- End of Section --

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#### SECTION 23 21 23

# HYDRONIC PUMPS 08/17

## PART 1 GENERAL

#### 1.1 RELATED REQUIREMENTS

Equipment or systems specified in this section are part of the commissioning process. Refer to Section 01 91 00.15, TOTAL BUILDING COMMISSIONING for additional requirements.

# 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 189.1	(2014) Standard for the Design of
	High-Performance Green Buildings Except
	Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1	(2003; R 2018)	Unified 1	Inch Screw	Threads
	(UN and UNR Th	read Form)	)	

ASME B16.1 (2015) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250

## ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M	(2003; R 2012) Standard Specification for Gray Iron Castings
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A307	(2014; E 2017) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
HYDRAULIC INSTITUTE (H)	])
HI 1.1-1.2	(2014) Rotodynamic (Centrifugal) Pump for Nomenclature and Definitions
HI 1.3	(2013) Rotodynamic (Centrifugal) Pump Applications

West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 HI ANSI/HI 2.1-2.2 (2014) Rotodynamic Vertical Pumps of Radial, Mixed, and Axial Flow Types for Nomenclature and Definitions HI ANSI/HI 9.6.3 (2017) Rotodynamic Pumps - Guideline for Operating Regions - B120 HI ANSI/HI 14.6 (2011) Rotodynamic Pumps for Hydraulic Performance Acceptance Tests - A136 NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA) NEMA MG 1 (2018) Motors and Generators NEMA Z535.4 (2011; R 2017) Product Safety Signs and Labels NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 70 (2019; TIA 19-1; TIA 19-2; TIA 19-3; TIA 19-4; ERTA 1 2019) National Electrical Code SOCIETY FOR PROTECTIVE COATINGS (SSPC) (1982; E 2004) White or Colored Silicone SSPC Paint 21 Alkyd Paint (Type I, High Gloss and Type II, Medium Gloss) (1997; E 2004) Zinc Oxide, Alkyd, Linseed SSPC Paint 25 Oil Primer for Use Over Hand Cleaned Steel, Type I and Type II U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA) 29 CFR 1910.219 Mechanical Power Transmission Apparatus UNDERWRITERS LABORATORIES (UL) UL 778 (2016; Reprint Jan 2019) UL Standard for Safety Motor-Operated Water Pumps 1.3 SUBMITTALS Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

System Coordination; G, RO

SD-03 Product Data

Instructions; G, RO

Equipment Data; G, RO

Training Period; G, RO

SD-06 Test Reports

Factory Tests

Field Quality Control

SD-07 Certificates

Manufacturer's Representative

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G, RO

Training; G, RO

# 1.4 QUALITY ASSURANCE

#### 1.4.1 Manufacturer Services

Provide the services of a manufacturer's representative experienced in the installation, adjustment, and operation of the equipment specified. The representative must supervise the installation, adjustment, testing of the equipment, and conduct training.

Submit the names and qualifications of the manufacturer's representative and training engineers and written certification from the manufacturer that the representative and trainers are technically qualified.

# 1.4.2 Standard Products

Provide material and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of such products and that essentially duplicate equipment that has been in satisfactory HVAC operation at least 2 years prior to issuance of this solicitation. Support equipment with a service organization that is reasonably convenient to the jobsite. Pumps and motors of the same types must each be the product of one manufacturer.

# 1.4.3 Conformance with Agency Requirements

Where materials or equipment are specified to be an approved type, attach the seal or label of approval from a nationally recognized testing agency, adequately equipped and competent to perform such services. A written certificate from the testing agency must accompany the materials or equipment and be submitted stating that the items have been tested and that they conform to the applicable requirements of the specifications and to the standards listed herein. The certificate must indicate the methods of testing used by the testing agency. In lieu of a certificate from a testing agency, published catalog specification data, accompanied by the manufacturer's certified statement to the effect that the items are in accordance with the applicable requirements of the specifications and the referenced standards, will be considered and may be acceptable as evidence that the items conform with agency requirements.

# 1.5 DELIVERY, STORAGE, AND HANDLING

Protect equipment, delivered and designated for storage, from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

#### 1.6 WARRANTY

Provide equipment with manufacturer's standard 2 year parts and labor warranty.

#### PART 2 PRODUCTS

#### 2.1 SYSTEM DESCRIPTION

Hydronic pumps used for heating and air conditioning applications are defined by the type of impeller, number of impellers, type of casing, method of connection to the driver, and mounting position. Provide centrifugal water pumps of the types indicated and specified. Use an electric motor driving unit for each pump as indicated and specified.

Unless otherwise indicated or scheduled on drawings, provide equipment motor selections that do not exceed 1,800 rpm.

# 2.1.1 Selection Criteria

Select pumps at a point within the maximum efficiency for a given impeller casing combination. Deviations within 3 percent of maximum efficiency are permissible, provided the lesser efficiency is not less than the scheduled efficiency in the construction design documents. Pumps having impeller diameters larger or smaller than manufacturer's published maximum and minimum impeller diameters for a given impeller casing combination will be rejected. Pump performance data, as shown in performance curves, must be based on factory tests using precision instrumentation and exacting procedures as detailed in HI ANSI/HI 14.6.

# 2.1.2 System Coordination

Submit drawings containing complete wiring and piping schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Show the proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation. Provide a complete listing of equipment, materials and miscellaneous components including mechanical seals, bearings, and couplings.

## 2.1.3 Safety Requirements

Fully enclose or guard couplings, projecting set-screws, keys, and other rotating parts, that pose an entangling hazards.

#### 2.2 MATERIALS AND EQUIPMENT

## 2.2.1 Nameplates

Securely affix a standard nameplate to pumps and motors in a conspicuous place showing the manufacturer's name, address, type or style, model, serial number, and catalog number. In addition, for each pump show the

capacity in gpm at rated speed in rpm and total head in feet of water. For each electric motor show at least the minimum information required by NEMA MG 1. Show such other information as the manufacturer may consider necessary to complete identification on the nameplate. Pumps must be listed and labeled by UL, and comply with UL 778 for pumps not using universal motors rated more than 250 volts such as circulating pumps.

# 2.2.2 Framed Instructions

Submit proposed diagrams, instructions, and other sheets, prior to posting. Post approved wiring and control diagrams showing the complete layout of the entire system, including equipment, piping valves, and control sequence, framed under glass or in approved laminated plastic, where directed. Provide condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system, framed as specified above for the wiring and control diagrams, and posted beside the diagrams. Post the framed instructions before acceptance testing of the systems.

# 2.2.3 Pump Characteristic

Construct hydronic water pumps in accordance with HI 1.1-1.2 and HI ANSI/HI 2.1-2.2. The pumps must be capable of discharging quantities at total discharge heads measured at the discharge flange, between the following limits:

Operate pumps at optimum efficiencies to produce the most economical pumping system under the conditions encountered and size to make optimum match with the system head curve.Pumps must furnish not less than 150 percent of rated capacity at a total discharge head of not less than 65 percent of total rated head. The shutoff total head must not be greater than 120 percent of total rated head. Operate pumps at specified system fluid temperatures without vapor binding and cavitation. Operate pumps to HI ANSI/HI 9.6.3 standard for Preferred Operationg Region (POR).

# 2.2.4 Pump Drivers

Provide electric motors as indicated for each pump and in compliance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM .

# 2.2.5 Equipment Data

Submit manufacturer's descriptive data and technical literature, performance charts and curves for all impeller sizes for a given casing, catalog cuts, and installation instructions. Provide spare parts data for each different item of material and equipment specified, after approval of the detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with current unit prices and local source of supply with contact information.

Submit catalog information, certified pumps curves, rated capacities, final impeller dimensions, and accessories provided for the product indicated. Indicate operating point of each pump on curves. Furnish pump curves for each pump and combination of pumps designed to operate in parallel. The pump curve must show as a minimum; bhp, flow, total dynamic head, efficiency, NPSH, impeller diameter and system curve (individually)..

#### 2.3 HYDRONIC PUMPS

Provide centrifugal, as scheduled, designed for HVAC service in the following configurations:

Configuration	Pump No.
Base-Mounted, Flexible Coupled, End Suction	CHWP-1,2 and HWP-1,2
Small In-line	CHWP-3, CP-1, CP-2
Automatic Cooling Coil Condensate Pump Units	Various terminal equipment with cooling coils

#### 2.3.1 Small In-Line

Provide pumps with capacities as indicated, suitable for 225 degrees F operation at 175 psig working pressure. The pump must be single stage, in-line design, in cast iron bronze fitted construction. The pump internals must be capable of being serviced without disturbing piping connections.

#### 2.3.1.1 Pump Shaft

The pump must have a solid steel shaft with a coupler between the pump and motor shafts. For non-stainless steel shafts, employ a non-ferrous shaft sleeve to completely cover the wetted area under the seal.

#### 2.3.1.2 Bearing

The bearing assembly must house maintenance-free permanently lubricated bearings.

# 2.3.1.3 Seal Assembly

Equip the pump with an internal self-flushing mechanical seal assembly. Seal assembly must have Buna bellows and seat gasket, stainless steel spring, and be of a carbon ceramic design with the carbon face rotating against a stationary ceramic face.

## 2.3.1.4 Impeller

Provide impeller of cast bronze or brass material. Impeller must be hydraulically and dynamically balanced to HI 9.6.4 balance grade G6.3, keyed to the shaft and secured by a locking capscrew or nut.

# 2.3.1.5 Volute

Pump volute must be of cast iron. The connection style on cast iron pumps must be flanged.

# 2.3.1.6 Motor Mount

To ensure alignment, mount the motor to the bearing assembly via a bolted

motor bracket assembly. Use a replaceable resilient rubber motor mount to assist in aligning the motor shaft with the pump shaft.

# 2.3.1.7 Motors

NEMA MG 1; premium efficiency; non-overloading at any point on the pump curve; maintenance free with permanently lubricated bearings; and resilient mounted for smaller sizes, rigid mounted otherwise.

# 2.3.2 Base-Mounted, Flexible Coupled, End suction

Provide pumps with capacities as indicated; base mounted, separately-coupled, end suction designed with volute housing mounted to the frame to allow for pump service without relocating the motor or disturbing piping connections. Bearings and seals must be serviceable without disturbing piping. Pump must be factory hydrostatically tested in accordance with Hydraulic Institute standards and thoroughly cleaned.

# 2.3.2.1 Casing

Provide radially split pump casing ASTM A48/A48M Class 30 cast iron suitable for 250 psig working pressure with integral cast iron flanges drilled for ASME B16.1 ANSI Class 250 flanges, with an integrally-cast pedestal support foot. The pump volute must include gauge tappings at suction and discharge nozzles along with vent and drain tappings at top and bottom.

# 2.3.2.2 Pump Shaft

Carbon steel pump shaft with a replaceable stainless steel shaft sleeve completely covering the wetted area of the shaft under the seal.

# 2.3.2.3 Bearing

Incorporate maintenance free, permanently lubricated and sealed bearings in the pump bearing frame. Regreasable ball bearing type with provision for purging or flushing through the bearing surface and greased while running after start-up.

## 2.3.2.4 Seal Assembly

Equip with an integrally flushed mechanical seal assembly or a positive pressure external seal flushing line. Provide a mechanical seal with ceramic seal seat and carbon seal ring. Seal assembly must be rated up to 225 degrees F.

## 2.3.2.5 Baseplate

Baseplate must be of steel construction fully enclosed at sides and ends with welded cross members and fully open grouting area for field grouting. Minimum base plate stiffness must conform to HI 1.3 for horizontal baseplate design standards.

# 2.3.2.6 Coupler

Provide a flexible-type coupler between the pump and motor, capable of absorbing torsional vibration and variable speed operation between the pump and motor. The coupler must allow replacement with no need to move the hubs. Coupler must have natural rubber or neoprene type element

materials with a maximum misalignment capability of 4 degrees angular and 0.125 inches parallel. Provide donut shaped elastomer element with preassembled flanges mechanically clamped to reinforced element and preassembled spacer center assembly. Secure flexible donut shaped element of coupler in place with radial clamp ring screws. Couplers must be rated for required maximum rpm, horsepower and torque. The coupler must be shielded by a coupler guard securely fastened to the base. Provide coupler guard in compliance with current national safety standards including 29 CFR 1910.219 and NEMA Z535.4. Guards cannot have gaps greater than 0.250 inches, must be safety orange in color, and have an NEMA Z535.4 compliant warning label.

2.3.2.7 Impeller

Hydraulically and dynamically balance to HI 9.6.4 balance grade G6.3, closed, overhung, single suction, fabricate from cast bronze, key to shaft and secured by a locking capscrew.

2.3.2.8 Motor

Electric Motors must meet NEMA MG 1 and be the horsepower, speed, and voltage indicated. Motor enclosure must be open drip proof . Motor must have heavy duty grease lubricated ball bearings completely adequate for the maximum load for which the motor is designed. Motor must be non-overloading at any point on the pump curve and premium efficiency. Open drip proof motor efficiencies must comply with ASHRAE 189.1.

# 2.3.3 Cooling Coil Condensate Pump Units

Provide pumps with capacities as indicated. Cooling Coil Condensate Pump Unit must be a packaged unit including a corrosion-resistant pump, plastic tank with cover, and automatic controls. Include factory installed check valve and a 72 inch minimum, electrical power cord with plug for 120V/1PH/60HZ electrical service.

2.3.3.1 Motor

Electric motor must comply with NEMA MG 1 and be the size, voltage and enclosure indicated. Provide heavy duty grease lubricated ball bearings completely adequate for the maximum load for which the motor is designed.

# 2.4 ELECTRICAL WORK

Provide electrical motor driven equipment specified herein complete with motors, motor starters, and controls. Provide electric equipment and wiring in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical characteristics must be as indicated. Each motor must be of sufficient capacity to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor when operating at proper electrical system voltage and frequency. Automatic control and protective or signal devices required for the operation herein specified and any control wiring required for controls and devices but not indicated must be provided under this section of the specifications.

# 2.5 ELECTRICAL EQUIPMENT

Provide electrical equipment in conformance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide electrical motor driven equipment herein specified complete with motors and controls. Motor controls, equipment,

and wiring must be in accordance with NFPA 70.

## 2.5.1 Electric Motors

Drive each electric motor-driven pump by a continuous-duty electric motor with enclosure type for specific service as defined in paragraph HYDRONIC PUMPS. Motor must be non-overloading at shutoff head. Provide squirrel-cage induction motors having normal-starting-torque and low-starting-current characteristics, and of sufficient size so that the nameplate horsepower rating will not be exceeded throughout the entire published pump characteristic curve. Integral size motors must be the premium efficiency type in accordance with NEMA MG 1. Pump electric motor efficiencies must meet or exceed the requirements of ASHRAE 189.1, Table C-13. Motor bearings must provide smooth operations under the conditions encountered for the life of the motor. Provide adequate thrust bearing in the motor to carry the weight of all rotating parts plus the hydraulic thrust and be capable of withstanding upthrust imposed during pump starting and under variable pumping head conditions specified. Motors must be rated at the electrical characteristics scheduled, 60 Hz and such rating must be stamped on the nameplate. Provide motors in conformance with NEMA MG 1.

#### 2.5.2 Control Equipment

Automatically controlled pumps must have three-position "MANUAL-OFF-AUTOMATIC" selector switch in cover. Provide additional controls or protective devices as indicated.

#### 2.5.3 Variable Speed Control

The variable speed motor controllers must meet the requirements of UFGS 26 29 23 ADJUSTABLE SPEED DRIVE SYSTEMS UNDER 600 VOLTS.

#### 2.6 EQUIPMENT APPURTENANCES

#### 2.6.1 Attachments

Furnish all necessary bolts, nuts, washers, bolt sleeves, and other types of attachments with the equipment for the installation of the equipment. Bolts conform to the requirements of ASTM A307 and hexagonal nuts of the same quality as the bolts used. Threads must be clean-cut and conform to ASME B1.1. Bolts, nuts, and washers specified to be galvanized or not otherwise indicated or specified, must be zinc coated after being threaded, by the hot-dip process conforming to ASTM A123/A123M as appropriate. Bolts, nuts, and washers specified or indicated to be stainless steel must be Type 316.

#### 2.6.2 Equipment Guards

Provide equipment driven by open shafts, belts, chains, or gears with all-metal guards enclosing the drive mechanism. Secure guards in position with steel braces or straps that permit easy removal for servicing the equipment. Coupler guards must comply with current national safety standards including 29 CFR 1910.219 and NEMA Z535.4. Provide guards with gaps no greater than 0.250 inches, safety orange in color, and have an NEMA Z535.4 compliant warning label.

2.6.3 Tools

Furnish a complete set of all special tools which may be necessary for the adjustment, operation, maintenance, and disassembly of all equipment. Special tools are considered to be those tools which because of their limited use are not normally available, but which are necessary for the particular equipment. Special tools must be high-grade, smooth, forged, alloy, tool steel. Furnish one pressure grease gun for each type of grease required. Deliver all tools at the same time as the equipment to which they pertain. Properly store and safeguard such tools until completion of the work, at which time deliver them to the Contracting Officer.

# 2.7 FINISHES

All motors, pump casings, and similar parts of equipment must be thoroughly cleaned, primed, and given two finish coats of paint at the factory in accordance with the recommendations of the manufacturer. Give ferrous surfaces not to be painted a shop coat of grease or other suitable rust-resistant coating.

#### 2.8 FACTORY TESTS

Pumps must be tested by the manufacturer or a nationally recognized testing agency in compliance with HI 1.3. Submit certified test results.

# PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field and advise the Contracting Officer of any discrepancy before performing the work.

#### 3.2 INSTALLATION

Install each pump and motor in accordance with the written instructions of the manufacturer. Provide access space around the device for servicing no less than the minimum recommended by the manufacturer.

3.2.1 Base Mounted, Long-Coupled Pumps

Set the pump baseplate as follows.

- a. Place two sets of shims or wedges for each foundation bolt. Lower baseplate onto foundation bolts and level baseplate both lengthwise and across by adding or removing shims or mount wedges. A maximum difference of 0.125 inches lengthwise and 0.059 inches across is allowable.
- b. Mount pump and driver on baseplate if not already mounted at factory. Pump and driver shafts must have initial cold (pump and driver at ambient temperature) alignment check and final hot (pump and driver at operating temperature) alignment check. Perform cold alignment check before baseplate is grouted, after baseplate is grouted, and after piping is connected. Perform final alignment check when pump and driver are at operating temperature. Move or shim only the driver to make adjustments to prevent strain on the piping installations. Initial alignment may be performed with scales, straight edges and

calipers. Final alignment must be done with dial gauges or laser alignment devices. Final alignment misalignment may not exceed coupling manufacturer's maximum parallel and angular misalignment values. When using variable frequency drives, reduce the manufacturer's misalignment values by 50 percent. Remove flexible coupling when performing alignment.

c. Support the connecting piping to ensure that there are no piping loads at the pump flange connections and connecting piping is not forced into position. Use concrete for equipment foundations as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE. Provide concrete foundations that are integral with and of the same class as that of the building floor unless otherwise indicated. Use concrete having a compressive strength of at least 2,500 psi in foundations that are entirely separated from the surrounding floor. Install a premolded filler strip between the foundation and floor slab as shown. Furnish foundation bolts, as required, for proper positioning during the placement of the concrete.

#### 3.3 FIELD QUALITY CONTROL

After installation of the pumping units and appurtenances, including coupling guard, is complete, carry out operating tests to assure that the pumping installation operates properly. Give each pumping unit a running field test in the presence of the Contracting Officer for a minimum of 2 hours. Operate each pumping unit at its rated capacity or such other point on its head-capacity curve selected by the Contracting Officer. Provide an accurate and acceptable method of measuring the discharge flow. Tests must assure that the units and appurtenances have been installed correctly, that there is no objectionable heating, vibration, or noise from any parts, and that all manual and automatic controls function properly. If any deficiencies are revealed during any tests, correct such deficiencies and reconduct the tests.

Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report must indicate the final position of controls.

# 3.4 FIELD PAINTING

Do not paint stainless steel, galvanized steel, and nonferrous surfaces.

# 3.4.1 Touch-up painting

Factory painted items requiring touching up in the field must be thoroughly cleaned of all foreign material, and primed and topcoated with the manufacturer's standard factory finish.

# 3.4.2 Exposed Ferrous Surfaces

Paint exposed ferrous surfaces with two coats of enamel paint conforming to SSPC Paint 21. Solvent clean factory primed surfaces before painting. Surfaces that have not been factory primed must be prepared and primed with one coat of SSPC Paint 25 or in accordance with the enamel paint manufacturer's recommendations.

# 3.5 CLOSEOUT ACTIVITIES

3.5.1 Operation and Maintenance Manuals

Submit one complete set at the time the tests procedure is submitted; remaining sets before the contract is completed. Permanently bind each in a hard cover. Inscribe the following identification on the covers: the words "OPERATING AND MAINTENANCE INSTRUCTIONS," name and location of the building, name of the Contractor, and contract number. Place flysheets before instructions covering each subject. Use 8-1/2 by 11 inches paper for instruction sheets, with large sheets of drawings folded in.

Include, but do not limit to, the following in the Instructions:

- a. System layout showing piping, valves, and controls.
- b. Approved wiring and control diagrams including variable frequency drives.
- c. A control sequence describing startup, operation, and shutdown.
- d. Operating and maintenance instructions for each piece of equipment, including task list for routine maintenance, routine inspections, intermediate inspections, and annual inspections; lubrication instructions; and troubleshooting guide.
- e. Manufacturer's bulletins, cuts, and descriptive data; and parts list and recommended spare parts.

# 3.5.2 Training

Upon completion of the work, and at a time designated by the Contracting Officer, provide the services of one or more competent engineers for a training period of not less than 8 hours to instruct a representative of the Government in the contents of the operation and maintenance manuals for the equipment furnished under these specifications. These field instructions must cover all the items contained in the bound instructions. Submit the training course curriculum and training instructions 14 days prior to the start of training.

-- End of Section --

# SECTION 23 30 00

# HVAC AIR DISTRIBUTION 05/20

# PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ACOUSTICAL SOCIETY OF AMERICA (ASA)

ASA S12.51	(2012; R 2017) American National Standard
	Acoustics - Determination of Sound Power
	Levels and Sound Energy Levels of Noise
	Sources using Sound Pressure - Precision
	Methods for Reverberation Test Rooms

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA	201	(2002; R 2011) Fans and Systems
AMCA	210	(2016) Laboratory Methods of Testing Fans for Aerodynamic Performance Rating
AMCA	300	(2014) Reverberant Room Method for Sound Testing of Fans
AMCA	301	(2014) Methods for Calculating Fan Sound Ratings from Laboratory Test Data
AMCA	500-D	(2018) Laboratory Methods of Testing Dampers for Rating
	AIR-CONDITIONING, HEATIN	NG AND REFRIGERATION INSTITUTE (AHRI)
AHRI	260 I-P	(2012) Sound Rating of Ducted Air Moving

AH	RI 260 I-P	(2012) Sound Rating of Ducted Air Moving and Conditioning Equipment
AH	RI 350	(2015) Sound Rating of Non-Ducted Indoor Air-Conditioning Equipment
AH	RI 410	(2001; Addendum 1 2002; Addendum 2 2005; Addendum 3 2011) Forced-Circulation Air-Cooling and Air-Heating Coils
AH	RI 430	(2009) Central-Station Air-Handling Units
AH	RI 440	(2008) Performance Rating of Room Fan-Coils
AH	RI 880 I-P	(2011) Performance Rating of Air Terminals
AH	RI 885	(2008; Addendum 2011) Procedure for Estimating Occupied Space Sound Levels in

West Point, NY Lincoln Hall Revised RTA Submissi	on Contract #W912DS19C0031 1 March 2023
	the Application of Air Terminals and Air Outlets
AHRI Guideline D	(1996) Application and Installation of Central Station Air-Handling Units
AMERICAN BEARING MANUFA	CTURERS ASSOCIATION (ABMA)
ABMA 9	(2015) Load Ratings and Fatigue Life for Ball Bearings
ABMA 11	(2014) Load Ratings and Fatigue Life for Roller Bearings
AMERICAN SOCIETY OF HEA ENGINEERS (ASHRAE)	TING, REFRIGERATING AND AIR-CONDITIONING
ASHRAE 52.2	(2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 62.1	(2010) Ventilation for Acceptable Indoor Air Quality
ASHRAE 68	(1997) Laboratory Method of Testing to Determine the Sound Power In a Duct
ASHRAE 70	(2006; R 2011) Method of Testing for Rating the Performance of Air Outlets and Inlets
ASHRAE 84	(2020) Method of Testing Air-to-Air Heat Exchangers
ASHRAE 90.1 - IP	(2013) Energy Standard for Buildings Except Low-Rise Residential Buildings
AMERICAN SOCIETY OF MEC	HANICAL ENGINEERS (ASME)
ASME A13.1	(2015) Scheme for the Identification of Piping Systems
ASTM INTERNATIONAL (AST	M )
ASTM A53/A53M	(2020) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A924/A924M	(2020) Standard Specification for General Requirements for Steel Sheet,

West Point, NY Lincoln Hall Revised RTA Submissi	Contract #W912DS19C0031 on 1 March 2023	
	Metallic-Coated by the Hot-Dip Process	
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus	
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate	
ASTM B766	(1986; R 2015) Standard Specification for Electrodeposited Coatings of Cadmium	
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications	
ASTM C1071	(2019) Standard Specification for Fibrous Glass Duct Lining Insulation (Thermal and Sound Absorbing Material)	
ASTM D520	(2000; R 2011) Zinc Dust Pigment	
ASTM D1654	(2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments	
ASTM D3359	(2017) Standard Test Methods for Rating Adhesion by Tape Test	
ASTM E2016	(2020) Standard Specification for Industrial Woven Wire Cloth	
CALIFORNIA DEPARTMENT O	F PUBLIC HEALTH (CDPH)	
CDPH SECTION 01350	(2010; Version 1.1) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers	
NATIONAL ELECTRICAL MAN	UFACTURERS ASSOCIATION (NEMA)	
NEMA MG 1	(2018) Motors and Generators	
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors	
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors	
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)		
NFPA 90A	(2018) Standard for the Installation of Air Conditioning and Ventilating Systems	
NFPA 701	(2019) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films	

West Point, NY Lincoln Hall Revised RTA Submissi	contract #W912DS19C0031 1 March 2023
SHEET METAL AND AIR CON (SMACNA)	DITIONING CONTRACTORS' NATIONAL ASSOCIATION
SMACNA 1819	(2002) Fire, Smoke and Radiation Damper Installation Guide for HVAC Systems, 5th Edition
SMACNA 1966	(2005) HVAC Duct Construction Standards Metal and Flexible, 3rd Edition
SMACNA 1972 CD	(2012) HVAC Air Duct Leakage Test Manual - 2nd Edition
SOUTH COAST AIR QUALITY	MANAGEMENT DISTRICT (SCAQMD)
SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications
U.S. NATIONAL ARCHIVES	AND RECORDS ADMINISTRATION (NARA)
40 CFR 82	Protection of Stratospheric Ozone
UNDERWRITERS LABORATORI	ES (UL)
UL 6	(2007; Reprint Sep 2019) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 94	(2013; Reprint Jun 2020) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 181	(2013; Reprint Apr 2017) UL Standard for Safety Factory-Made Air Ducts and Air Connectors
UL 555	(2006; Reprint Aug 2016) UL Standard for Safety Fire Dampers
UL 555S	(2014; Reprint Aug 2016) UL Standard for Safety Smoke Dampers
UL 586	(2009; Reprint Dec 2017) UL Standard for Safety High-Efficiency Particulate, Air Filter Units
UL 705	(2017; Reprint Oct 2018) UL Standard for Safety Power Ventilators
UL 900	(2015) Standard for Air Filter Units
UL 1995	(2015) UL Standard for Safety Heating and Cooling Equipment
UL Bld Mat Dir	(updated continuously online) Building Materials Directory
UL Electrical Construction	(2012) Electrical Construction Equipment Directory

UL Fire Resistance

(2014) Fire Resistance Directory

#### 1.2 SYSTEM DESCRIPTION

Furnish ductwork, piping offsets, fittings, and accessories as required to provide a complete installation. Coordinate the work of the different trades to avoid interference between piping, equipment, structural, and electrical work. Provide complete, in place, all necessary offsets in piping and ductwork, and all fittings, and other components, required to install the work as indicated and specified.

#### 1.2.1 Mechanical Equipment Identification

The number of charts and diagrams must be equal to or greater than the number of mechanical equipment rooms. Where more than one chart or diagram per space is required, mount these in edge pivoted, swinging leaf, extruded aluminum frame holders which open to 170 degrees.

# 1.2.1.1 Charts

Provide chart listing of equipment by designation numbers and capacities such as flow rates, pressure and temperature differences, heating and cooling capacities, horsepower, pipe sizes, and voltage and current characteristics.

#### 1.2.1.2 Diagrams

Submit proposed diagrams, at least 2 weeks prior to start of related testing. provide neat mechanical drawings provided with extruded aluminum frame under 1/8-inch glass or laminated plastic, system diagrams that show the layout of equipment, piping, and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for safely starting and stopping the system. After approval, post these items where directed.

# 1.2.2 Service Labeling

Label equipment, including fans, air handlers, terminal units, etc. with labels made of self-sticking, plastic film designed for permanent installation. Provide labels in accordance with the equipment designations in the contract documents.

Identify similar services with different temperatures or pressures. Where pressures could exceed 125 pounds per square inch, gage, include the maximum system pressure in the label. Label and arrow piping in accordance with the following:

- a. Each point of entry and exit of pipe passing through walls.
- b. Each change in direction, i.e., elbows, tees.
- c. In congested or hidden areas and at all access panels at each point required to clarify service or indicated hazard.
- d. In long straight runs, locate labels at distances within eyesight of each other not to exceed 75 feet. All labels must be visible and legible from the primary service and operating area.

For Bare or Insulated Pipes		
for Outside Diameters of	Lettering	
1/2 thru 1-3/8 inch	1/2 inch	
1-1/2 thru 2-3/8 inch	3/4 inch	
2-1/2 inch and larger	1-1/4 inch	

#### 1.2.3 Color Coding

Color coding of all piping systems must be in accordance with ASME A13.1 .

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings; G, RO

SD-03 Product Data

Insulated Nonmetallic Flexible Duct Runouts

Duct Connectors

Duct Access Doors; G, RO

Fire Dampers;G, RO

Manual Balancing Dampers; G, RO

Automatic Smoke-Fire Dampers;G, RO

Sound Attenuation Equipment; G, RO

Diffusers;G, RO

Registers and Grilles; G, RO

Louvers;G, RO

Air Vents, Penthouses, and Goosenecks; G, RO

Centrifugal Fans;G, RO

In-Line Centrifugal Fans;G, RO

Air Handling Units; G, RO

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 Room Fan-Coil Units; G, RO Coil Induction Units; G, RO Constant Volume, Single Duct Terminal Units; G, RO Variable Volume, Single Duct Terminal Units; G, RO Reheat Units; G, RO Energy Recovery Devices; G, RO Test Procedures Diagrams; G, RO Indoor Air Quality for Duct Sealants; S Indoor Air Quality for Filter Media; S SD-06 Test Reports Performance Tests; G, RO Damper Acceptance Test; G, RO SD-07 Certificates Ozone Depleting Substances Technician Certification SD-08 Manufacturer's Instructions Manufacturer's Installation Instructions;G, RO Operation and Maintenance Training;G, RO SD-10 Operation and Maintenance Data Operation and Maintenance Manuals; G, RO Fire Dampers; G, RO Manual Balancing Dampers; G, RO Automatic Smoke-Fire Dampers; G, RO Centrifugal Fans; G, RO In-Line Centrifugal Fans; G, RO Air Handling Units; G, RO Room Fan-Coil Units; G, RO Coil Induction Units; G, RO Constant Volume, Single Duct Terminal Units; G, RO Variable Volume, Single Duct Terminal Units; G, RO

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Reheat Units; G, RO

Energy Recovery Devices; G, RO

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

# 1.4 Certifications

For LEED v4 compliance, provide adhesives and sealants applied within the building interior with VOC emissions certified in compliance with California Department of Public Health CDPH SECTION 01350 Standard Method and VOC content in compliance with limits of SCAQMD Rule 1168.

#### 1.5 QUALITY ASSURANCE

Except as otherwise specified, approval of materials and equipment is based on manufacturer's published data.

- a. Where materials and equipment are specified to conform to the standards of the Underwriters Laboratories, the label of or listing with reexamination in UL Bld Mat Dir, and UL 6 is acceptable as sufficient evidence that the items conform to Underwriters Laboratories requirements. In lieu of such label or listing, submit a written certificate from any nationally recognized testing agency, adequately equipped and competent to perform such services, stating that the items have been tested and that the units conform to the specified requirements. Outline methods of testing used by the specified agencies.
- b. Where materials or equipment are specified to be constructed or tested, or both, in accordance with the standards of the ASTM International (ASTM), the ASME International (ASME), or other standards, a manufacturer's certificate of compliance of each item is acceptable as proof of compliance.
- c. Conformance to such agency requirements does not relieve the item from compliance with other requirements of these specifications.
- d. Where products are specified to meet or exceed the specified energy efficiency requirement of FEMP-designated or ENERGY STAR covered product categories, equipment selected must have as a minimum the efficiency rating identified under "Energy-Efficient Products" at http://femp.energy.gov/procurement.

## 1.5.1 Prevention of Corrosion

Protect metallic materials against corrosion. Provide rust-inhibiting treatment and standard finish for the equipment enclosures. Do not use aluminum in contact with earth, and where connected to dissimilar metal. Protect aluminum by approved fittings, barrier material, or treatment. Provide hot-dip galvanized ferrous parts such as anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous parts not of corrosion-resistant steel or nonferrous materials in accordance with ASTM A123/A123M for exterior locations and cadmium-plated in conformance with ASTM B766 for interior locations.

#### 1.5.2 Asbestos Prohibition

Do not use asbestos and asbestos-containing products.

1.5.3 Ozone Depleting Substances Technician Certification

All technicians working on equipment that contain ozone depleting refrigerants must be certified as a Section 608 Technician to meet requirements in 40 CFR 82, Subpart F. Provide copies of technician certifications to the Contracting Officer at least 14 calendar days prior to work on any equipment containing these refrigerants.

#### 1.5.4 Detail Drawings

Submit detail drawings showing equipment layout, including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications. Include any information required to demonstrate that the system has been coordinated and functions properly as a unit on the drawings and show equipment relationship to other parts of the work, including clearances required for operation and maintenance. Submit drawings showing bolt-setting information, and foundation bolts prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Submit function designation of the equipment and any other requirements specified throughout this Section with the shop drawings. Submit schematic control diagram showing equipment configuration, sequence of operation, control and field devices, power connection and control wiring.

#### 1.5.5 Test Procedures

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

## 1.6 DELIVERY, STORAGE, AND HANDLING

Protect stored equipment at the jobsite from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Additionally, cap or plug all pipes until installed.

# 1.7 ACCESSIBILITY OF EQUIPMENT

The following requirement is intended to solicit the installer's help in the prudent location of equipment when he has some control over locations. However, designer's should not rely on it at all since enforcing this requirement in the field would be difficult. Therefore, the system designer needs to layout and indicate the locations of equipment, control devices, and access doors so that most of the accessibility questions are resolved inexpensively during design.

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

#### 1.8 WARRANTY

Provide equipment with manufacturer's standard minimum 1 year parts and labor warranty.

## PART 2 PRODUCTS

#### 2.1 STANDARD PRODUCTS

Provide components and equipment that are "standard products" of a manufacturer regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. "Standard products" is defined as being in satisfactory commercial or industrial use for 2 years before bid opening, including applications of components and equipment under similar circumstances and of similar size, satisfactorily completed by a product that is sold on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record are acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Provide equipment items that are supported by a service organization. In product categories covered by ENERGY STAR or the Federal Energy Management Program, provide equipment that is listed on the ENERGY STAR Qualified Products List or that meets or exceeds the FEMP-designated Efficiency Requirements.

Unless otherwise indicated or scheduled on drawings, provide equipment motor selections that do not exceed 1,800 rpm.

## 2.2 IDENTIFICATION PLATES

In addition to standard manufacturer's identification plates, provide engraved laminated phenolic identification plates for each piece of mechanical equipment. Identification plates are to designate the function of the equipment. Submit designation with the shop drawings. Provide identification plates that are layers, black-white-black, engraved to show white letters on black background. Letters must be upper case. Identification plates that are 1-1/2-inches high and smaller must be 1/16-inch thick, with engraved lettering 1/8-inch high; identification plates larger than 1-1/2-inches high must be 1/8-inch thick, with engraved lettering of suitable height. Identification plates 1-1/2-inches high and larger must have beveled edges. Install identification plates using a compatible adhesive.

#### 2.3 EQUIPMENT GUARDS AND ACCESS

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact according to OSHA requirements. Properly guard or cover with insulation of a type specified, high temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard.

#### 2.4 ELECTRICAL WORK

 Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, include manufacturer provided controllers with the required monitors and timed restart.

- b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11. Provide premium efficiency type integral size motors in accordance with NEMA MG 1.
- c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system , and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Select premium efficiency polyphase motors in accordance with NEMA MG 10.
- d. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Provide motors rated for continuous duty with the enclosure specified. Provide motor duty that allows for maximum frequency start-stop operation and minimum encountered interval between start and stop. Provide motor torque capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Fit motor bearings with grease supply fittings and grease relief to outside of the enclosure.
- e. Provide variable frequency drives for motors as specified in Section 26 29 23 ADJUSTABLE SPEED DRIVE SYSTEMS UNDER 600 VOLTS.

## 2.5 ANCHOR BOLTS

Provide anchor bolts for equipment placed on concrete equipment pads or on concrete slabs. Bolts to be of the size and number recommended by the equipment manufacturer and located by means of suitable templates. Installation of anchor bolts must not degrade the surrounding concrete.

## 2.6 PAINTING

Paint equipment units in accordance with approved equipment manufacturer's standards unless specified otherwise. Field retouch only if approved. Otherwise, return equipment to the factory for refinishing. Paint in accordance with Section 09 96 00 HIGH-PEFORMANCE COATINGS.

# 2.7 INDOOR AIR QUALITY

Provide equipment and components that comply with the requirements of ASHRAE 62.1 unless more stringent requirements are specified herein.

## 2.8 DUCT SYSTEMS

# 2.8.1 Metal Ductwork

Provide minimum 24-gauge metal ductwork construction, including all fittings and components in accordance with West Point Engineering Planning Standards, or complies with SMACNA 1966, whichever is the more stringent

requirement and as supplemented and modified by this specification.

- a. Provide radius type elbows with a centerline radius of 1.5 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes are allowed.
- b. Provide ductwork that meets the requirements of Seal Class A. Provide ductwork in VAV and CAV systems upstream of the VAV boxes that meets the requirements of Seal Class A.
- c. Provide sealants that conform to fire hazard classification specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS and are suitable for the range of air distribution and ambient temperatures to which it is exposed. Do not use pressure sensitive tape as a sealant. Provide duct sealant products that meet emissions requirements of CDPH SECTION 01350 (limit requirements for office space type) and VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants are classified as "Other" within the SCAQMD Rule 1168 sealants table). Provide validation of indoor air quality for duct sealants.
- d. Make spiral lock seam duct, and flat oval with duct sealant and lock with not less than 3 equally spaced drive screws or other approved methods indicated in SMACNA 1966. Apply the sealant to the exposed male part of the fitting collar so that the sealer is on the inside of the joint and fully protected by the metal of the duct fitting. Apply one brush coat of the sealant over the outside of the joint to at least 2 inch band width covering all screw heads and joint gap. Dents in the male portion of the slip fitting collar are not acceptable.
- e. Provide double wall insulated ducts for ductwork located outside of the building. Construct outer duct of stainless steel or aluminum and solid inner duct with G90 galvanized steel. Provide minimum 2-inch thick mineral fiber insulation with minimum R-value of 7.1 ft2 x hr x F/BTU. Completely seal exterior ducts to prevent water intrusion into the duct.
- f. Fabricate outdoor air intake ducts and plenums with watertight soldered or brazed joints and seams.

#### 2.8.1.1 Insulated Nonmetallic Flexible Duct Runouts

Use flexible duct runouts only where indicated. Runout length is indicated on the drawings, and is not to exceed 5 feet. Provide runouts that are preinsulated, factory fabricated, and that comply with NFPA 90A and UL 181. Provide factory applied vapor barrier. Provide not less than 20 ounce glass fabric duct connectors coated on both sides with neoprene. Where coil induction or high velocity units are supplied with vertical air inlets, use a streamlined, vaned and mitered elbow transition piece for connection to the flexible duct or hose. Provide a die-stamped elbow and not a flexible connector as the last elbow to these units other than the vertical air inlet type. Insulated flexible connectors are allowed as runouts. Provide insulated material and vapor barrier that conform to the requirements of Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Do not expose the insulation material surface to the air stream.

#### 2.8.1.2 General Service Duct Connectors

Provide a flexible duct connector approximately 6 inches in width where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, secure the flexible material by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, install the flexible material locked to metal collars using normal duct construction methods. Provide a composite connector system that complies with NFPA 701 and is classified as "flame-retardent fabrics" in UL Bld Mat Dir.

# 2.8.1.3 Aluminum Ducts

ASTM B209, alloy 3003-H14 for aluminum sheet and alloy 6061-T6 or equivalent strength for aluminum connectors and bar stock.

#### 2.8.1.4 Corrosion Resisting (Stainless) Steel Sheets

ASTM A167, Type 316

# 2.8.2 Duct Access Doors

Provide hinged access doors conforming to SMACNA 1966 in ductwork and plenums where indicated and at all air flow measuring primaries, automatic dampers, fire dampers, coils, thermostats, and other apparatus requiring service and inspection in the duct system. Provide access doors upstream and downstream of air flow measuring primaries and heating and cooling coils. Provide doors that are a minimum 15 by 18 inches, unless otherwise shown. Where duct size does not accommodate this size door, make the doors as large as practicable. Equip doors 24 by 24 inches or larger with fasteners operable from inside and outside the duct. Use insulated type doors in insulated ducts.

# 2.8.3 Fire Dampers

Use 1.5 hour rated fire dampers unless otherwise indicated. Provide fire dampers that conform to the requirements of NFPA 90A and UL 555. Perform the fire damper test as outlined in NFPA 90A. Provide a pressure relief door upstream of the fire damper. If the ductwork connected to the fire damper is to be insulated then provide a factory installed pressure relief damper. Provide automatic operating fire dampers with a dynamic rating suitable for the maximum air velocity and pressure differential to which it is subjected. Provide fire dampers approved for the specific application, and install according to their listing. Equip fire dampers with a steel sleeve or adequately sized frame installed in such a manner that disruption of the attached ductwork, if any, does not impair the operation of the damper. Equip sleeves or frames with perimeter mounting angles attached on both sides of the wall or floor opening. Construct ductwork in fire-rated floor-ceiling or roof-ceiling assembly systems with air ducts that pierce the ceiling of the assemblies in conformance with UL Fire Resistance. Provide curtain type with damper blades out of the air stream fire dampers. Install dampers that do not reduce the duct or the air transfer opening cross-sectional area. Install dampers so that the centerline of the damper depth or thickness is located in the centerline of the wall, partition or floor slab depth or thickness. Unless otherwise indicated, comply with the installation details given in SMACNA 1819 and in manufacturer's instructions for fire dampers. Perform acceptance testing of fire dampers according to paragraph Fire Damper Acceptance Test and NFPA 90A.

#### 2.8.4 Manual Balancing Dampers

Furnish manual balancing dampers with accessible operating mechanisms. Use chromium plated operators (with all exposed edges rounded) in finished portions of the building. Provide manual volume control dampers that are operated by locking-type quadrant operators. Install dampers that are 2 gauges heavier than the duct in which installed. Unless otherwise indicated, provide opposed blade type multileaf dampers with maximum blade width of 12 inches. Provide access doors or panels for all concealed damper operators and locking setscrews. Provide stand-off mounting brackets, bases, or adapters not less than the thickness of the insulation when the locking-type quadrant operators for dampers are installed on ducts to be thermally insulated, to provide clearance between the duct surface and the operator. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

# 2.8.5 Air Supply And Exhaust Air Dampers

Provide outdoor air supply and exhaust air dampers that have a maximum leakage rate when tested in accordance with AMCA 500-D as required by ASHRAE 90.1 - IP, including maximum Damper Leakage for:

- a. The maximum damper leakage at 1.0 inch w.g. is 3 cfm per square foot for motorized dampers and for non-motorized dampers is 20 cfm per square foot of damper area.
- 2.8.6 Automatic Smoke-Fire Dampers

Multiple blade type, 180 degrees F fusible fire damper link; smoke damper assembly to include electric damper operator. UL 555 as a 1.5 hour rated fire damper; further qualified under UL 555S as a leakage rated damper. Provide a leakage rating under UL 555S that is no higher than Class II or III at an elevated temperature Category B ( 250 degrees F for 30 minutes). Ensure that pressure drop in the damper open position does not exceed 0.1 inch water gauge with average duct velocities of 2500 fpm.

- 2.8.7 Sound Attenuation Equipment
- 2.8.7.1 Systems with total pressure above 4 Inches Water Gauge

Provide sound attenuators on the discharge duct of each fan operating at a total pressure above 4 inch water gauge, and, when indicated, at the intake of each fan system. Provide sound attenuators elsewhere as indicated. Provide factory fabricated sound attenuators, tested by an independent laboratory for sound and performance characteristics. Provide a net sound reduction as indicated. Maximum permissible pressure drop is not to exceed 0.63 inch water gauge. Construct traps to be airtight when operating under an internal static pressure of 10 inch water gauge. Provide air-side surface capable of withstanding air velocity of 10,000 fpm. Certify that the equipment can obtain the sound reduction values specified after the equipment is installed in the system and coordinated with the sound information of the system fan to be provided. Provide sound absorbing material conforming to ASTM C1071, Type I or II. Provide sound absorbing material that meets the fire hazard rating requirements for insulation specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. For connection to ductwork, provide a duct transition section. Factory fabricated double-walled internally insulated spiral lock seam and

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round duct and fittings designed for high pressure air system can be provided if complying with requirements specified for factory fabricated sound attenuators, in lieu of factory fabricated sound attenuators. Construct the double-walled duct and fittings from an outer metal pressure shell of zinc-coated steel sheet, 1 inch thick acoustical blanket insulation, and an internal perforated zinc-coated metal liner. Provide a sufficient length of run to obtain the noise reduction coefficient specified. Certify that the sound reduction value specified can be obtained within the length of duct run provided. Provide welded or spiral lock seams on the outer sheet metal of the double-walled duct to prevent water vapor penetration. Provide duct and fittings with an outer sheet that conforms to the metal thickness of high-pressure spiral and round ducts and fittings shown in SMACNA 1966. Provide acoustical insulation with a thermal conductivity "k" of not more than 0.27 Btu/inch/square foot/hour/degree F at 75 degrees F mean temperature. Provide an internal perforated zinc-coated metal liner that is not less than 24 gauge with perforations not larger than 1/4 inch in diameter providing a net open area not less than 10 percent of the surface.

2.8.7.2 System with total pressure of 4 Inch Water Gauge and Lower

Use sound attenuators only where indicated. Provide factory fabricated sound attenuators that are constructed of galvanized steel sheets. Provide attenuator with outer casing that is not less than 22 gauge. Provide net sound reduction indicated. Obtain values on a test unit not less than 24 by 24 inches outside dimensions made by a certified nationally recognized independent acoustical laboratory. Provide air flow capacity as indicated or required. Provide pressure drop through the attenuator that does not exceed the value indicated, or that is not in excess of 15 percent of the total external static pressure of the air handling system, whichever is less. Acoustically test attenuators with metal duct inlet and outlet sections while under the rated air flow conditions. Include with the noise reduction data the effects of flanking paths and vibration transmission. Construct sound attenuators to be airtight when operating at the internal static pressure indicated or specified for the duct system, but in no case less than 2 inch water gauge.

## 2.8.8 Diffusers, Registers, and Grilles

Provide factory-fabricated units of steel or aluminum as indicated on the drawings that distribute the specified quantity of air evenly over space intended without causing noticeable drafts, air movement faster than 50 fpm in occupied zone, or dead spots anywhere in the conditioned area. Provide outlets for diffusion, spread, throw, and noise level as required for specified performance. Certify performance according to ASHRAE 70. Provide sound rated and certified inlets and outlets according to ASHRAE 70. Provide sound power level as indicated. Provide diffusers and registers with volume damper with accessible operator, unless otherwise indicated; or if standard with the manufacturer, an automatically controlled device is acceptable. Provide opposed blade type volume dampers for all diffusers and registers, except linear slot diffusers. Provide linear slot diffusers with round or elliptical balancing dampers. Where the inlet and outlet openings are located less than 7 feet above the floor, protect them by a grille or screen according to NFPA 90A.

## 2.8.8.1 Diffusers

Provide diffuser types indicated. Furnish ceiling mounted units with anti-smudge devices, unless the diffuser unit minimizes ceiling smudging

through design features. Provide diffusers with air deflectors of the type indicated. Provide air handling troffers or combination light and ceiling diffusers conforming to the requirements of UL Electrical Construction for the interchangeable use as cooled or heated air supply diffusers or return air units. Install ceiling mounted units with rims tight against ceiling. Provide sponge rubber gaskets between ceiling and surface mounted diffusers for air leakage control. Provide suitable trim for flush mounted diffusers. For connecting the duct to diffuser, provide duct collar that is airtight and does not interfere with volume controller. Provide return or exhaust units that are similar to supply diffusers.

## 2.8.8.2 Registers and Grilles

Provide units that are four-way directional-control type, except provide return and exhaust registers that are fixed horizontal or vertical louver type similar in appearance to the supply register face. Furnish registers with sponge-rubber gasket between flanges and wall or ceiling. Install wall supply registers at least 6 inches below the ceiling unless otherwise indicated. Locate return and exhaust registers 6 inches above the floor unless otherwise indicated. Achieve four-way directional control by a grille face which can be rotated in 4 positions or by adjustment of horizontal and vertical vanes. Provide grilles as specified for registers, without volume control damper.

#### 2.8.9 Louvers

Provide louvers for installation in exterior walls that are associated with the air supply and distribution system as specified in Section 07 60 00 FLASHING AND SHEET METAL .

2.8.10 Air Vents, Penthouses, and Goosenecks

Fabricate air vents, penthouses, and goosenecks from galvanized steel sheets with galvanized structural shapes. Provide sheet metal thickness, reinforcement, and fabrication that conform to SMACNA 1966. Accurately fit and secure louver blades to frames. Fold or bead edges of louver blades for rigidity and baffle these edges to exclude driving rain. Provide air vents, penthouses, and goosenecks with bird screen.

2.8.11 Bird Screens and Frames

Provide bird screens that conform to ASTM E2016, No. 2 mesh, aluminum or stainless steel. Provide "medium-light" rated aluminum screens. Provide "light" rated stainless steel screens. Provide removable type frames fabricated from either stainless steel or extruded aluminum.

#### 2.9 AIR SYSTEMS EQUIPMENT

#### 2.9.1 Fans

Test and rate fans according to AMCA 210. Calculate system effect on air moving devices in accordance with AMCA 201 where installed ductwork differs from that indicated on drawings. Install air moving devices to minimize fan system effect. Where system effect is unavoidable, determine the most effective way to accommodate the inefficiencies caused by system effect on the installed air moving device. The sound power level of the fans must not exceed 85 dBA when tested according to AMCA 300 and rated in accordance with AMCA 301. Provide all fans with an AMCA seal. Connect

fans to the motors either directly or indirectly with V-belt drive. Use V-belt drives designed for not less than 120 percent of the connected driving capacity. Provide variable pitch motor sheaves for 15 hp and below, and fixed pitch as defined by AHRI Guideline D (A fixed-pitch sheave is provided on both the fan shaft and the motor shaft. This is a non-adjustable speed drive.). Select variable pitch sheaves to drive the fan at a speed which can produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, provide a replaceable sheave when needed to achieve system air balance. Provide motors for V-belt drives with adjustable rails or bases. Provide removable metal guards for all exposed V-belt drives, and provide speed-test openings at the center of all rotating shafts. Provide fans with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Provide fan and motor assemblies with vibration-isolation supports or mountings as indicated. Use vibration-isolation units that are standard products with published loading ratings. Select each fan to produce the capacity required at the fan static pressure indicated. Provide sound power level as indicated. Obtain the sound power level values according to AMCA 300. Provide standard AMCA arrangement, rotation, and discharge as indicated. Provide power ventilators that conform to UL 705 and have a UL label.

#### 2.9.1.1 Centrifugal Fans

Provide fully enclosed, single-width single-inlet, or double-width double-inlet centrifugal fans, with AMCA Pressure Class I, II, or III as required or indicated for the design system pressure. Provide impeller wheels that are rigidly constructed and accurately balanced both statically and dynamically. Provide backward-inclined airfoil design fan blades in wheel sizes up to 30 inches. Provide backward-inclined airfoil design fan blades for wheels over 30 inches in diameter.Provide fan wheels over 36 inches in diameter with overhung pulleys and a bearing on each side of the wheel. Provide fan wheels 36 inches or less in diameter that have one or more extra long bearings between the fan wheel and the drive. Provide sleeve type, self-aligning and self-oiling bearings with oil reservoirs, or precision self-aligning roller or ball-type with accessible grease fittings or permanently lubricated type. Connect grease fittings to tubing for serviceability from a single accessible point. Provide L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide steel, accurately finished fan shafts, with key seats and keys for impeller hubs and fan pulleys. Provide fan outlets of ample proportions, designed for the attachment of angles and bolts for attaching flexible connections. Unless otherwise indicated, provide motors that do not exceed 1800 rpm and have open dripproofenclosures. Provide magnetic reduced-voltage-start type motor starters with watertight enclosure.

#### 2.9.1.2 In-Line Centrifugal Fans

Provide in-line fans with centrifugal backward inclined blades, stationary discharge conversion vanes, internal and external belt guards, and adjustable motor mounts. Mount fans in a welded tubular casing. Provide a fan that axially flows the air in and out. Streamline inlets with conversion vanes to eliminate turbulence and provide smooth discharge air flow. Enclose and isolate fan bearings and drive shafts from the air stream. Provide precision, self aligning ball or roller type fan bearings that are sealed against dust and dirt and are permanently lubricated. Provide L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide motors with opendripproof enclosure.

Provide manual motor starters across-the-line with weather-resistant enclosures. Provide remote manual switch with pilot indicating light where indicated.

# 2.9.2 Coils

Provide fin-and-tube type coils constructed of seamless copper tubes and aluminum or copper fins mechanically bonded or soldered to the tubes. Provide copper tube wall thickness that is a minimum of 0.016 inches.. Coils must be sized for a maximum air velocity to not exceed 500 feet per minute. Provide aluminum fins that are 0.0075 inch minimum thickness. Provide casing and tube support sheets that are not lighter than 16 gauge galvanized steel, formed to provide structural strength. When required, provide multiple tube supports to prevent tube sag. Mount coils for counterflow service. Rate and certify coils to meet the requirements of AHRI 410. Provide factory applied phenolic, vinyl or epoxy/electrodeposition coating for coils in dedicated outside air units.

## 2.9.2.1 Water Coils

Install water coils with a pitch of not less than 1/8 inch/foot of the tube length toward the drain end. Use headers constructed of cast iron, welded steel or copper. Furnish each coil with a plugged vent and drain connection extending through the unit casing. Provide removable water coils with drain pans. Pressure test coils in accordance with UL 1995.

## 2.9.3 Air Filters

List air filters according to requirements of UL 900, except list high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test method under the Label Service to meet the requirements of UL 586. Provide submittal for Indoor Air Quality for Filter Media.

# 2.9.3.1 Extended Surface Pleated Panel Filters

Provide 2 inch depth, sectional, disposable type filters of the size indicated with a MERV of 8 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.36 inches water gauge. Provide UL Class 2 filters, and nonwoven cotton and synthetic fiber mat media. Attach a wire support grid bonded to the media to a moisture resistant fiberboard frame. Bond all four edges of the filter media to the inside of the frame to prevent air bypass and increase rigidity.

## 2.9.3.2 Cartridge Type Filters

Provide 12 inch depth, sectional, replaceable dry media type filters of the size indicated with a MERV of 13 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.56 inches, water gauge. Provide UL class 1 filters, and pleated microglass paper media with corrugated aluminum separators, sealed inside the filter cell to form a totally rigid filter assembly. Fluctuations in filter face velocity or turbulent airflow have no effect on filter integrity or performance. Install each filter in a factory preassembled side access housing, or a factory-made sectional frame bank, as indicated.

# 2.9.3.3 Holding Frames

Fabricate frames from not lighter than 16 gauge sheet steel with rust-inhibitor coating. Equip each holding frame with suitable filter

holding devices. Provide gasketed holding frame seats. Make all joints airtight.

# 2.9.3.4 Filter Gauges

Provide dial type filter gauges, diaphragm actuated draft for all filter stations, including those filters which are furnished as integral parts of factory fabricated air handling units. Provide gauges that are at least 3-7/8 inches in diameter, with white dials with black figures, and graduated in 0.01 inch of water, with a minimum range of 1 inch of water beyond the specified final resistance for the filter bank on which each gauge is applied. Provide each gauge with a screw operated zero adjustment and two static pressure tips with integral compression fittings, two molded plastic vent valves, two 5 foot minimum lengths of 1/4 inch diameter vinyl tubing, and all hardware and accessories for gauge mounting.

## 2.10 AIR HANDLING UNITS

#### 2.10.1 Factory-Fabricated Air Handling Units

Provide single-zone draw-through type units as indicated. Units must include fans, coils, airtight insulated casing, prefilters, secondary filter sections, adjustable V-belt drives, belt guards for externally mounted motors, access sections where indicated, mixing box vibration-isolators, and appurtenances required for specified operation. Dedicated outside air system (DOAS) units must include energy recovery section with exhaust air fan. Makeup air units (MAUs)must be one hundred percent outside air without recirculated air. Provide vibration isolators as indicated. Provide energy recovery as indicated and as specified in paragraph ENERGY RECOVERY DEVICES. Units located outside must be custom construction with a full-height (minimum 84 inches tall) service vestibule. This service vestibule must be sized to house all unit components (valves, pumps, etc.) and electronics (variable speed drives, control panels, etc.). Proper clearance must be provided to meet the equipment manufacturer's recommendations and the National Electric Code. Physical dimensions of each air handling unit must be suitable to fit space allotted to the unit with the capacity indicated. Provide air handling unit that is rated in accordance with AHRI 430 and AHRI certified for cooling.

#### 2.10.1.1 Casings

Provide the following:

- a. Casing sections 2 inch double wall type , constructed of a minimum 18 gauge galvanized steel, or 18 gauge corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Design and construct casing with an integral insulated structural galvanized steel frame such that exterior panels are non-load bearing.
- b. Furnish casings with access sections, according to paragraph AIR HANDLING UNITS, inspection doors, and access doors, all capable of opening a minimum of 90 degrees, as indicated.
- c. Insulated, fully gasketed, double-wall type inspection and access doors, of a minimum 18 gauge outer and 20 gauge inner panels made of either galvanized steel or corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Provide rigid doors with heavy duty hinges

and latches. Inspection doors must be a minimum 12 inches wide by 12 inches high. Access doors must be a minimum 24 inches wide, the full height of the unit casing or a minimum of 7 foot, whichever is less. Install a minimum 8 by 8 inches sealed glass window suitable for the intended application, in all access doors.

- d. Double-wall insulated type drain pan (thickness equal to exterior casing) constructed of 16 gauge corrosion resisting sheet steel conforming to ASTM A167, Type 304, conforming to ASHRAE 62.1. Construct drain pans water tight, treated to prevent corrosion, and designed for positive condensate drainage. When 2 or more cooling coils are used, with one stacked above the other, condensate from the upper coils must not flow across the face of lower coils. Provide intermediate drain pans or condensate collection channels and downspouts, as required to carry condensate to the unit drain pan out of the air stream and without moisture carryover. Construct drain pan to allow for easy visual inspection, including underneath the coil without removal of the pan underneath the coil without removal of the coil and to allow complete and easy physical cleaning of the pan underneath the coil without removal of the coil. Provide coils that are individually removable from the casing.
- e. Casing insulation that conforms to NFPA 90A. Insulate double-wall casing sections handling conditioned air with not less than 2 inches of insulation having a thermal conductivity not greater than 0.23 Btu/hr-sf-F. Foil-faced insulation is not an acceptable substitute for use with double wall casing. Seal double wall insulation completely by inner and outer panels.
- f. Factory applied fibrous glass insulation that conforms to ASTM C1071, except that the minimum thickness and density requirements do not apply, and that meets the requirements of NFPA 90A. Make air handling unit casing insulation uniform over the entire casing. Foil-faced insulation is not an acceptable substitute for use on double-wall access doors and inspections doors and casing sections.
- g. Duct liner material, coating, and adhesive that conforms to fire-hazard requirements specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Protect exposed insulation edges and joints where insulation panels are butted with a metal nosing strip or coat to meet erosion resistance requirements of ASTM C1071.
- h. A latched and hinged inspection door, in the fan and coil sections. All inspection and access doors must open against pressure Plus additional inspection doors, access doors and access sections for the energy wheel section.
- 2.10.1.2 Heating and Cooling Coils

Provide coils as specified in paragraph AIR SYSTEMS EQUIPMENT.

2.10.1.3 Air Filters

Provide air filters as specified in paragraph AIR SYSTEMS EQUIPMENT for types and thickness indicated.

2.10.1.4 Fans

Provide the following:

- a. Fans that are centrifugal type with each fan in a separate scroll. Dynamically balance fans and shafts prior to installation into air handling unit. Mount fans on steel shafts, accurately ground and finished.
- b. Fan bearings that are sealed against dust and dirt and are precision self-aligning ball or roller type, with L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide bearings that are permanently lubricated or lubricated type with lubrication fittings readily accessible at the drive side of the unit. Support bearings by structural shapes, or die formed sheet structural members, or support plates securely attached to the unit casing. Do not fasten bearings directly to the unit sheet metal casing. Furnish fans and scrolls with coating indicated.
- e. Motor starters of magneticreduced-voltage-start type with weather-resistant enclosure. Select unit fan or fans to produce the required capacity at the fan static pressure with sound power level as indicated. Obtain the sound power level values according to AMCA 300, ASHRAE 68, or AHRI 260 I-P.

## 2.10.1.5 Access Sections and Filter/Mixing Boxes

Provide access sections where indicated and furnish with access doors as shown. Construct access sections and filter/mixing boxes in a manner identical to the remainder of the unit casing and equip with access doors. Design mixing boxes to minimize air stratification and to promote thorough mixing of the air streams. Provide each damper with separate dedicated motorized electric actuators. Damper linkages for multiple dampers must not be used.

# 2.11 TERMINAL UNITS

# 2.11.1 Room Fan-Coil Units

Provide base units that include galvanized coil casing, coil assembly drain pan valve and piping package, air filter, fans, motor, fan drive, motor switch, an enclosure for cabinet models and casing for concealed models, leveling devices integral with the unit for vertical type units, and sound power levels as indicated. Obtain sound power level data or values for these units according to test procedures based on AHRI 350. Sound power values apply to units provided with factory fabricated cabinet enclosures and standard grilles. Values obtained for the standard cabinet models are acceptable for concealed models without separate test provided there is no variation between models as to the coil configuration, blowers, motor speeds, or relative arrangement of parts. Provide automatic valves and controls as specified in paragraph SUPPLEMENTAL COMPONENTS/SERVICES, subparagraph CONTROLS. Fasten each unit securely to the building structure. Provide units with capacity indicated. Provide room fan-coil units that are certified as complying with AHRI 440, and meet the requirements of UL 1995.

# 2.11.1.1 Enclosures

Fabricate enclosures from not lighter than 18 gauge steel, reinforced and braced. Provide enclosures with front panels that are removable and have 1/4 inch closed cell insulation or 1/2 inch thick dual density foil faced fibrous glass insulation. Make the exposed side of a high density, erosion-proof material suitable for use in air streams with velocities up

to 4,500 fpm. Provide a discharge grille that is fixed and that is of such design as to properly distribute air throughout the conditioned space. Plastic discharge and return grilles are acceptable provided the plastic material is certified by the manufacturer to be classified as flame resistant according to UL 94 and the material complies with the heat deflection criteria specified in UL 1995. Provide galvanized or factory finished ferrous metal surfaces with corrosion resistant enamel, and access doors or removable panels for piping and control compartments, plus easy access for filter replacement. Provide duct discharge collar for concealed models.

#### 2.11.1.2 Fans

Provide steel or aluminum, multiblade, centrifugal type fans. In lieu of metal, fans and scrolls could be of non-metallic materials of suitably reinforced compounds with smooth surfaces. Dynamically and statically balance the fans. Provide accessible assemblies for maintenance. Disassemble and re-assemble by means of mechanical fastening devices and not by epoxies or cements.

# 2.11.1.3 Coils

Fabricate coils from not less than 3/8 inch outside diameter seamless copper tubing, with copper or aluminum fins mechanically bonded or soldered to the tubes. Provide coils with not less than 1/2 inch outside diameter flare or sweat connectors, accessory piping package with thermal connections suitable for connection to the type of control valve supplied, and manual air vent. Test coils hydrostatically at 300 psi or under water at 250 psi air pressure. Provide coils suitable for 200 psi working pressure. Make provisions for coil removal.

#### 2.11.1.4 Drain Pans

Size and locate drain and drip pans to collect all water condensed on and dripping from any item within the unit enclosure or casing. Provide condensate drain pans designed for self-drainage to preclude the buildup of microbial slime and thermally insulated to prevent condensation and constructed of not lighter than 21 gauge type 304 stainless steel. Provide insulation with a flame spread rating not over 25 without evidence of continued progressive combustion, a smoke developed rating no higher than 50, and of a waterproof type or coated with a waterproofing material. Design drain pans so as to allow no standing water and pitch to drain. Provide minimum 3/4 inch NPT or 5/8 inch OD drain connection in drain pan. Provide metal auxiliary drain pans to catch drips from control and piping packages, eliminating insulation of the packages; provide auxiliary pans that comply with the requirements specified above. Extend insulation at control and piping connections 1 inch minimum over the auxiliary drain pan.

# 2.11.1.5 Filters

Provide disposable type MERV 8 filter that complies with ASHRAE 52.2. Provide filters in each unit that are removable without the use of tools.

# 2.11.1.6 Motors

Provide motors of the permanent split-capacitor type with built-in thermal overload protection, directly connected to unit fans. Provide motor switch with two or three speeds and off, manually operated, and mounted on

an identified plate inside the unit below or behind an access door. In lieu of the above fan speed control, a solid-state variable-speed controller having a minimum speed reduction of 50 percent is allowed. Provide motors with permanently-lubricated or oilable sleeve-type or combination ball and sleeve-type bearings with vibration isolating mountings suitable for continuous duty. Provide a motor power consumption, shown in watts, at the fan operating speed selected to meet the specified capacity that does not exceed the following values:

Free Discharge Motors			
Unit Capacity (cfm)	Maximum Power Consumption (Watts)		
	115V	230V	277V
200	70	110	90
300	100	110	110
400	170	150	150
600	180	210	220
800	240	240	230
1000	310	250	270
1200	440	400	440

High Static Motors		
Maximum Power Consumption (Watts)		
145		
145		
210		
320		
320		
530		
530		

## 2.11.2 Coil Induction Units (Chilled Beams)

Provide base unit that includes air plenums, air-discharge nozzles, air discharge grilles, recirculation grilles, water coil assembly, valve and

piping package, and adjustable air-balancing dampers, plus an enclosure for cabinet models and casing for concealed models. Make each unit capable of producing not less than the capacity indicated without exceeding the indicated static pressure. Provide a sound power level as indicated with power level data or values for these units based on tests conducted according to ASA S12.51. Sound power values apply to units provided with factory fabricated cabinet enclosures and standard grilles. The values obtained for the standard cabinet models are acceptable for concealed models without separate tests, provided there is no variation between models as to coil configuration, air discharge nozzles, air balancing dampers, or relative arrangement of parts. Provide automatic valves and controls as specified in paragraph SUPPLEMENTAL COMPONENTS/SERVICES, subparagraph CONTROLS. Secure each unit to the building structure. Provide units with capacity indicated.

# 2.11.2.1 Enclosures

Fabricate enclosures from not lighter than 18 gauge steel, reinforced and braced. Provide a removable panel of enclosure and insulate when required acoustically and to prevent condensation. Provide discharge grilles that are integrally stamped and properly distribute air throughout the conditioned space. Plastic discharge and return grilles are not acceptable. Provide access doors for all piping and control compartments.

## 2.11.2.2 Air Plenums

Fabricate plenums from galvanized steel. Provide heat-resistant nozzles that are integral with or attached airtight to the plenum. Where coil induction units are supplied with vertical runouts, furnish a streamlined, vaned, mitered elbow transition piece for connection between the unit and ductwork. Provide an adjustable air-balancing damper in each unit.

# 2.11.2.3 Coils

Fabricate coils from not less than 3/8 inch outside diameter seamless copper tubing, with copper or aluminum fins, mechanically bonded or soldered to the tubes. Furnish coil connections with not less than 1/2 inch outside diameter flare or sweat connectors, accessory piping package with terminal connections suitable for connection to the type of control valve supplied, and manual air vent. Test coils hydrostatically at 300 psi or under water at 250 psi air pressure and provide coils suitable for 200 psi working pressure.

## 2.11.2.4 Screens

Provide easily accessible lint screens or throwaway filters for each unit.

#### 2.11.2.5 Drain Pan

Size and locate drain and drip pans to collect condensed water dripping from any item within the unit enclosure. Provide drain pans constructed of not lighter than 21 gauge steel, galvanized after fabrication, and thermally insulated to prevent condensation. Provide insulation that has a flame spread rating not over 25 without evidence of continued progressive combustion, a smoke developed rating no higher than 50, and that is a waterproof type or coated with a waterproofing material. In lieu of the above, drain pans constructed of die-formed 22 gauge steel are allowed, formed from a single sheet and galvanized after fabrication and insulated and coated as for the 21 gauge steel material or of die-formed 21 gauge type 304 stainless steel insulated as specified above. Pitch drain pans to drain. Provide drain connection when a condensate drain system is indicated. Make connection a minimum 3/4 inch NPT or 5/8 inch OD.

2.11.3 Variable Air Volume (VAV) Terminal Units

- a. Provide VAV terminal units that are the type, size, and capacity shown, mounted in the ceiling or wall cavity. Provide actuators and controls as specified in paragraph SUPPLEMENTAL COMPONENTS/SERVICES, subparagraph CONTROLS.
- b. Provide unit enclosures that are constructed of galvanized steel not lighter than 22 gauge or aluminum sheet not lighter than 18 gauge.
   Provide single or multiple discharge outlets as required. Units with flow limiters are not acceptable. Provide reheat coils as indicated.
- c. Attach a flow chart to each unit. Base acoustic performance of the terminal units upon units tested according to AHRI 880 I-P with the calculations prepared in accordance with AHRI 885. Provide sound power level as indicated. Show discharge sound power for minimum and 2 inches water gauge inlet static pressure.
- 2.11.3.1 Constant Volume, Single Duct Terminal Units

Provide pressure independent, constant volume, single duct, terminal units that contain within the casing, a constant volume regulator. Provide volume regulators that control air delivery to within plus or minus 5 percent of specified air flow subjected to inlet pressure from 3/4 to 6 inch water gauge. Provide reheat coils as indicated. Airflow to be resettable by the building control system.

2.11.3.2 Variable Volume, Single Duct Terminal Units

Provide variable volume, single duct, terminal units with a calibrated air volume sensing device, air valve or damper, actuator, and accessory relays. Provide units that control air volume to within plus or minus 5 percent of each air set point volume as determined by the thermostat with variations in inlet pressures from 3/4 to 6 inch water gauge. Provide units with an internal resistance not exceeding 0.4 inch water gauge at maximum flow range. Provide external differential pressure taps separate from the control pressure taps for air flow measurement with a 0 to 1 inch water gauge range.

- 2.11.3.3 Reheat Units
- 2.11.3.3.1 Hot Water Coils

Provide fin-and-tube type hot-water coils constructed of seamless copper tubes and copper or aluminum fins mechanically bonded or soldered to the tubes. Provide headers that are constructed of cast iron, welded steel or copper. Provide casing and tube support sheets that are 16 gauge, galvanized steel, formed to provide structural strength. Provide tubes that are correctly circuited for proper water velocity without excessive pressure drop and are drainable where required or indicated. At the factory, test each coil at not less than 250 psi air pressure and provide coils suitable for 200 psi working pressure. Install drainable coils in the air handling units with a pitch of not less than 1/8 inch per foot of tube length toward the drain end. Coils must conform to the provisions of

AHRI 410.

# 2.12 ENERGY RECOVERY DEVICES

#### 2.12.1 Rotary Wheel

Provide unit that is a factory fabricated and tested assembly for air-to-air energy recovery by transfer of sensible heat from exhaust air to supply air stream, with device performance according to ASHRAE 84 and that delivers an energy transfer effectiveness of not less than 80 percent with cross-contamination not in excess of 1.0 percent of exhaust airflow rate at system design differential pressure, including purging sector if provided with wheel. Provide exchange media that is chemically inert, moisture-resistant, fire-retardant, laminated, nonmetallic material which complies with NFPA 90A. Isolate exhaust and supply streams by seals which are static, field adjustable, and replaceable. Equip chain drive mechanisms with ratcheting torque limiter or slip-clutch protective device. Fabricate enclosure from galvanized steel and include provisions for maintenance access. Provide recovery control and rotation failure provisions as indicated.

#### 2.13 FACTORY PAINTING

Factory paint new equipment, which are not of galvanized construction. Paint with a corrosion resisting paint finish according to ASTM A123/A123M or ASTM A924/A924M. Clean, phosphatize and coat internal and external ferrous metal surfaces with a paint finish which has been tested according to ASTM B117, ASTM D1654, and ASTM D3359. Submit evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors. Provide rating of failure at the scribe mark that is not less than 6, average creepage not greater than 1/8 inch. Provide rating of the inscribed area that is not less than 10, no failure. On units constructed of galvanized steel that have been welded, provide a final shop docket of zinc-rich protective paint on exterior surfaces of welds or welds that have burned through from the interior according to ASTM D520 Type I.

Field paint factory painting that has been damaged prior to acceptance by the Contracting Officer in compliance with the requirements of paragraph FIELD PAINTING OF MECHANICAL EQUIPMENT.

#### 2.14 SUPPLEMENTAL COMPONENTS/SERVICES

#### 2.14.1 Chilled Water Piping

The requirements for chilled water piping and accessories are specified in Section 23 05 15 COMMON PIPING FOR HVAC.

2.14.2 Water or Steam Heating System Accessories

The requirements for water or steam heating accessories such as expansion tanks and steam traps are specified in Section 23 57 10.00 10 FORCED HOT WATER HEATING SYSTEMS USING WATER AND STEAM HEAT EXCHANGERS.

# 2.14.3 Condensate Drain Lines

Provide and install condensate drainage for each item of equipment that generates condensate. Provide type L copper condensate drain piping with wrought copper fittings.

#### 2.14.4 Backflow Preventers

The requirements for backflow preventers are specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE.

## 2.14.5 Insulation

The requirements for shop and field applied insulation are specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.14.6 Controls

The requirements for controls are specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS and Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

## 3.2 INSTALLATION

- a. Install materials and equipment in accordance with the requirements of the contract drawings and approved manufacturer's installation instructions. Accomplish installation by workers skilled in this type of work. Perform installation so that there is no degradation of the designed fire ratings of walls, partitions, ceilings, and floors.
- b. No installation is permitted to block or otherwise impede access to any existing machine or system. Install all hinged doors to swing open a minimum of 120 degrees. Provide an area in front of all access doors that clears a minimum of 3 feet. In front of all access doors to electrical circuits, clear the area the minimum distance to energized circuits as specified in OSHA Standards, part 1910.333 (Electrical-Safety Related work practices)and an additional 3 feet.
- c. Except as otherwise indicated, install emergency switches and alarms in conspicuous locations. Mount all indicators, to include gauges, meters, and alarms in order to be easily visible by people in the area.

# 3.2.1 Condensate Drain Lines

Provide water seals in the condensate drain from all units . Provide a depth of each seal of 2 inches plus the number of inches, measured in water gauge, of the total static pressure rating of the unit to which the drain is connected. Provide water seals that are constructed of 2 tees and an appropriate U-bend with the open end of each tee plugged. Provide pipe cap or plug cleanouts where indicated. Connect drains indicated to connect to the sanitary waste system using an indirect waste fitting. Insulate air conditioner drain lines as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

Indoor equipment condensate drain lines must be routed to nearest utility sink or floor drain; refer to drawings. Condensate drains must be routed

in a manner that minimizes bends and any potential tripping hazards.

## 3.2.2 Equipment and Installation

Provide frames and supports for tanks, compressors, pumps, valves, air handling units, fans, coils, dampers, and other similar items requiring supports. Floor mount or ceiling hang air handling units as indicated. Anchor and fasten as detailed. Set floor-mounted equipment on not less than 6 inch concrete pads or curbs doweled in place unless otherwise indicated. Make concrete foundations heavy enough to minimize the intensity of the vibrations transmitted to the piping, duct work and the surrounding structure, as recommended in writing by the equipment manufacturer. In lieu of a concrete pad foundation, build a concrete pedestal block with isolators placed between the pedestal block and the floor. Make the concrete foundation or concrete pedestal block a mass not less than three times the weight of the components to be supported. Provide the lines connected to the pump mounted on pedestal blocks with flexible connectors. Submit foundation drawings as specified in paragraph DETAIL DRAWINGS. Provide concrete for foundations as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

## 3.2.3 Access Panels

Install access panels for concealed valves, vents, controls, dampers, and items requiring inspection or maintenance of sufficient size, and locate them so that the concealed items are easily serviced and maintained or completely removed and replaced. Provide access panels as specified in Section 08 31 00 ACCESS DOORS AND PANELS.

## 3.2.4 Flexible Duct

Install pre-insulated flexible duct in accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Provide hangers, when required to suspend the duct, of the type recommended by the duct manufacturer and set at the intervals recommended.

### 3.2.5 Metal Ductwork

Install according to SMACNA 1966 unless otherwise indicated. Install duct supports for sheet metal ductwork according to SMACNA 1966, unless otherwise specified. Do not use friction beam clamps indicated in SMACNA 1966. Anchor risers on high velocity ducts in the center of the vertical run to allow ends of riser to move due to thermal expansion. Erect supports on the risers that allow free vertical movement of the duct. Attach supports only to structural framing members and concrete slabs. Do not anchor supports to metal decking unless a means is provided and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, provide suitable intermediate metal framing. Where C-clamps are used, provide retainer clips.

# 3.2.6 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, perform temporary dust control protection. Protect the distribution system (supply and return) with temporary seal-offs at all inlets and outlets at the end of each day's work. Keep temporary protection in place until system is ready for startup.

#### 3.2.7 Insulation

Provide thickness and application of insulation materials for ductwork, piping, and equipment according to Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Externally insulate outdoor air intake ducts and plenums up to the point where the outdoor air reaches the conditioning unit.

# 3.2.8 Duct Test Holes

Provide holes with closures or threaded holes with plugs in ducts and plenums as indicated or where necessary for the use of pitot tube in balancing the air system. Plug insulated duct at the duct surface, patched over with insulation and then marked to indicate location of test hole if needed for future use.

# 3.2.9 Power Roof Ventilator Mounting

Provide foamed 1/2 inch thick, closed-cell, flexible elastomer insulation to cover width of roof curb mounting flange. Where wood nailers are used, predrill holes for fasteners.

3.2.10 Power Transmission Components Adjustment

Test V-belts and sheaves for proper alignment and tension prior to operation and after 72 hours of operation at final speed. Uniformly load belts on drive side to prevent bouncing. Make alignment of direct driven couplings to within 50 percent of manufacturer's maximum allowable range of misalignment.

#### 3.3 ROOF CURBS AND SUPPORTS

Provide welded steel roof curbs constructed with minimum 14 gauge galvanized steel exterior panels and 18 gauge galvanized steel inner panels. Provide minimum 1-inch thick, 1.5 pound density thermal insulation. Coordinate required structural steel with indicated structural work.

#### 3.4 EQUIPMENT PADS

Provide equipment pads to the dimensions shown or, if not shown, to conform to the shape of each piece of equipment served with a minimum 3-inch margin around the equipment and supports. Allow equipment bases and foundations, when constructed of concrete or grout, to cure a minimum of 14 calendar days before being loaded.

### 3.5 CUTTING AND PATCHING

Install work in such a manner and at such time that a minimum of cutting and patching of the building structure is required. Make holes in exposed locations, in or through existing floors, by drilling and smooth by sanding. Use of a jackhammer is permitted only where specifically approved. Make holes through masonry walls to accommodate sleeves with an iron pipe masonry core saw.

### 3.6 CLEANING

Thoroughly clean surfaces of piping and equipment that have become covered with dirt, plaster, or other material during handling and construction before such surfaces are prepared for final finish painting or are

enclosed within the building structure. Before final acceptance, clean mechanical equipment, including piping, ducting, and fixtures, and free from dirt, grease, and finger marks. Incorporate housekeeping for field construction work which leaves all furniture and equipment in the affected area free of construction generated dust and debris; and, all floor surfaces vacuum-swept clean.

# 3.7 PENETRATIONS

Provide sleeves and prepared openings for duct mains, branches, and other penetrating items, and install during the construction of the surface to be penetrated. Cut sleeves flush with each surface. Place sleeves for round duct 15 inches and smaller. Build framed, prepared openings for round duct larger than 15 inches and square, rectangular or oval ducts. Sleeves and framed openings are also required where grilles, registers, and diffusers are installed at the openings. Provide one inch clearance between penetrating and penetrated surfaces except at grilles, registers, and diffusers. Pack spaces between sleeve or opening and duct or duct insulation with mineral fiber conforming with ASTM C553, Type 1, Class B-2.

## 3.7.1 Sleeves

Fabricate sleeves, except as otherwise specified or indicated, from 20 gauge thick mill galvanized sheet metal. Where sleeves are installed in bearing walls or partitions, provide black steel pipe conforming with ASTM A53/A53M, Schedule 20.

#### 3.7.2 Framed Prepared Openings

Fabricate framed prepared openings from 20 gauge galvanized steel, unless otherwise indicated.

# 3.7.3 Insulation

Provide duct insulation in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS continuous through sleeves and prepared openings except firewall penetrations. Terminate duct insulation at fire dampers and flexible connections. For duct handling air at or below 60 degrees F, provide insulation continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air.

## 3.7.4 Closure Collars

Provide closure collars of a minimum 4 inches wide, unless otherwise indicated, for exposed ducts and items on each side of penetrated surface, except where equipment is installed. Install collar tight against the surface and fit snugly around the duct or insulation. Grind sharp edges smooth to prevent damage to penetrating surface. Fabricate collars for round ducts 15 inches in diameter or less from 20 gauge galvanized steel. Fabricate collars for square and rectangular ducts, or round ducts with minimum dimension over 15 inches from 18 gauge galvanized steel. Fabricate collars for square and rectangular ducts with a maximum side of 15 inches or less from 20 gauge galvanized steel. Install collars with fasteners a maximum of 6 inches on center. Attach to collars a minimum of 4 fasteners where the opening is 12 inches in diameter or less, and a minimum of 8 fasteners where the opening is 20 inches in diameter or less.

#### 3.7.5 Firestopping

Where ducts pass through fire-rated walls, fire partitions, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING.

## 3.8 FIELD PAINTING OF MECHANICAL EQUIPMENT

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal on metal surfaces subject to temperatures in excess of 120 degrees F. Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Provide aluminum or light gray finish coat.

3.8.1 Temperatures less than 120 degrees F

Immediately after cleaning, apply one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat to metal surfaces subject to temperatures less than 120 degrees F.

3.8.2 Temperatures between 120 and 400 degrees F

Apply two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of two mils to metal surfaces subject to temperatures between 120 and 400 degrees F.

3.8.3 Temperatures greater than 400 degrees F

Apply two coats of 315 degrees C 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of two mils to metal surfaces subject to temperatures greater than 400 degrees F.

# 3.8.4 Finish Painting

The requirements for finish painting of items only primed at the factory, and surfaces not specifically noted otherwise, are specified in Section 09 90 00 PAINTS AND COATINGS.

# 3.9 IDENTIFICATION SYSTEMS

Provide identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number on all valves and dampers. Provide tags that are 1-3/8 inch minimum diameter with stamped or engraved markings. Make indentations black for reading clarity. Attach tags to valves with No. 12 AWG 0.0808-inch diameter corrosion-resistant steel wire, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

# 3.10 DUCTWORK LEAK TEST

Perform ductwork leak test for the entire air distribution and exhaust system, including fansand coils. Provide test procedure, apparatus, and report that conform to SMACNA 1972 CD. The maximum allowable leakage rate

is 5% at maximum design airflow. Complete ductwork leak test with satisfactory results prior to applying insulation to ductwork exterior or concealing ductwork.

#### 3.11 DAMPER ACCEPTANCE TEST

Submit the proposed schedule, at least 2 weeks prior to the start of test. Operate all fire dampers and smoke dampers under normal operating conditions, prior to the occupancy of a building to determine that they function properly. Test each fire damper equipped with fusible link by having the fusible link cut in place. Test dynamic fire dampers with the air handling and distribution system running. Reset all fire dampers with the fusible links replaced after acceptance testing. To ensure optimum operation and performance, install the damper so it is square and free from racking.

## 3.12 TESTING, ADJUSTING, AND BALANCING

The requirements for testing, adjusting, and balancing are specified in Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC. Begin testing, adjusting, and balancing only when the air supply and distribution, including controls, has been completed, with the exception of performance tests.

#### 3.13 PERFORMANCE TESTS

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

## 3.14 CLEANING AND ADJUSTING

Provide a temporary bypass for water coils by providing a temporary end-of-loop bypass to prevent flushing water from passing through coils. Inside of room fan-coil unitscoil-induction units, air terminal units, thoroughly clean ducts, plenums, and casing of debris and blow free of small particles of rubbish and dust and then vacuum clean before installing outlet faces. Wipe equipment clean, with no traces of oil, dust, dirt, or paint spots. Provide temporary filters prior to startup of all fans that are operated during construction, and provide new filters after all construction dirt has been removed from the building, and the ducts, plenums, casings, and other items specified have been vacuum cleaned. Perform and document that proper "Indoor Air Quality During Construction" procedures have been followed; provide documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed. Maintain system in this clean condition until final acceptance. Properly lubricate bearings with oil or grease as recommended by the manufacturer. Tighten belts to proper tension. Adjust control valves and other miscellaneous equipment requiring adjustment to setting indicated or directed. Adjust fans to the speed indicated by the manufacturer to meet specified conditions. Maintain all equipment installed under the contract until close out documentation is received, the project is completed and the building has been documented as beneficially occupied.

## 3.15 OPERATION AND MAINTENANCE

3.15.1 Operation and Maintenance Manuals

Submit six manuals at least 2 weeks prior to field training. Submit data complying with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit Data Package 3 for the items/units listed under SD-10 Operation and Maintenance Data

## 3.15.2 Operation And Maintenance Training

Conduct a training course for the members of the operating staff as designated by the Contracting Officer. Make the training period consist of a total of 40 hours of normal working time and start it after all work specified herein is functionally completed and the Performance Tests have been approved. Conduct field instruction that covers all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations. Submit the proposed On-site Training schedule concurrently with the Operation and Maintenance Manuals and at least 14 days prior to conducting the training course.

-- End of Section --

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# SECTION 23 57 10.00 10

# FORCED HOT WATER HEATING SYSTEMS USING WATER AND STEAM HEAT EXCHANGERS 11/19

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.1	(2015) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2016) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.4	(2016) Standard for Gray Iron Threaded Fittings; Classes 125 and 250
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2018) Factory-Made Wrought Buttwelding Fittings
ASME B16.11	(2016) Forged Fittings, Socket-Welding and Threaded
ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2018) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2016) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2018) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2018) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B16.39	(2020) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B31.1	(2018) Power Piping

West Point, NY Lincoln Hall Revised RTA Submissi	Contract #W912DS19C0031           on         1 March 2023			
ASME B40.100	(2013) Pressure Gauges and Gauge Attachments			
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications			
ASME BPVC SEC VIII D1	(2017) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1			
ASTM INTERNATIONAL (ASTM)				
ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless			
ASTM A105/A105M	(2018) Standard Specification for Carbon Steel Forgings for Piping Applications			
ASTM A106/A106M	(2019a) Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service			
ASTM A193/A193M	(2019) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications			
ASTM A234/A234M	(2019) Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service			
ASTM A515/A515M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service			
ASTM A516/A516M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service			
ASTM A653/A653M	(2019) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process			
ASTM A733	(2016) Standard Specification for Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples			
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings			
ASTM B75/B75M	(2011) Standard Specification for Seamless Copper Tube			
ASTM B88	(2016) Standard Specification for Seamless Copper Water Tube			

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 ASTM B88M (2018) Standard Specification for Seamless Copper Water Tube (Metric) ASTM B395/B395M (2018) Standard Specification for U-Bend Seamless Copper and Copper Alloy Heat Exchanger and Condenser Tubes ASTM B687 (1999; R 2016) Standard Specification for Brass, Copper, and Chromium-Plated Pipe Nipples ASTM B828 (2016) Standard Practice for Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings ASTM D3308 (2012; R 2017) Standard Specification for PTFE Resin Skived Tape EXPANSION JOINT MANUFACTURERS ASSOCIATION (EJMA) EJMA Stds (2015) (10th Ed) EJMA Standards MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS) MSS SP-25 (2018) Standard Marking System for Valves, Fittings, Flanges and Unions MSS SP-58 (2018) Pipe Hangers and Supports -Materials, Design and Manufacture, Selection, Application, and Installation MSS SP-70 (2011) Gray Iron Gate Valves, Flanged and Threaded Ends MSS SP-71 (2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends MSS SP-80 (2019) Bronze Gate, Globe, Angle and Check Valves MSS SP-85 (2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA) NEMA 250 (2018) Enclosures for Electrical Equipment (1000 Volts Maximum) NEMA MG 1 (2018) Motors and Generators

# 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES: SD-02 Shop Drawings Heating System; G, AE SD-03 Product Data Spare Parts; G, AE Welding; G, AE Framed Instructions; G, AE Convectors; <SUB>G, AE</SUB> Air Separator; G, AE Expansion Tank; G, AE Steam Traps; G, AE Unit Heaters; G, AE Heat Exchangers; G, AE SD-06 Test Reports Testing and Cleaning; G, AE SD-10 Operation and Maintenance Data Operation and Maintenance Manuals; G, AE

#### 1.3 QUALITY ASSURANCE

Procedures and welders must be qualified in accordance with the code under which the welding is specified to be accomplished.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from the weather, excessive humidity and excessive temperature variation; and dirt, dust, or other contaminants.

#### 1.5 EXTRA MATERIALS

Submit spare parts data for each different item of material and equipment specified, after approval of the related submittals and not later than 3 months months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply.

PART 2 PRODUCTS

## 2.1 MATERIALS AND EQUIPMENT

#### 2.1.1 Standard Products

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment must be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

# 2.1.2 Nameplates

Place a plate on each major item of equipment having the manufacturer's name, address, type or style, model or serial number, and catalog number secured to the item of equipment.

#### 2.1.3 Equipment Guards and Access

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact in accordance with OSHA requirements. High temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard must be properly guarded or covered with insulation of a type specified.

#### 2.1.4 Asbestos Prohibition

Asbestos and asbestos-containing products will not be accepted.

## 2.1.5 Electrical Work

Provide electrical motor driven equipment specified complete with motors, motor starters, and controls. Electric equipment (including motor efficiencies), and wiring must be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide integral size motors of the premium efficiency type in accordance with NEMA MG 1. Electrical characteristics must be as specified or indicated. Provide motor starters complete with thermal overload protection and other appurtenances necessary for the motor control specified. Each motor must be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Manual or automatic control and protective or signal devices required for the operation specified, and any control wiring, conduit, and connection to power required for controls and devices but not shown must be provided.

Unless otherwise indicated or scheduled on drawings, provide equipment motor selections that do not exceed 1,800 rpm.

## 2.2 PIPING, TUBING, AND FITTINGS

#### 2.2.1 General

Piping, tubing, and fittings must be as follows:

a. Low temperature water piping must be black steel or copper tubing with cast iron, malleable iron or steel, or solder-joint fittings.

b. Steam pipe must be black steel Schedule 40 with welded joints; malleable iron or steel fittings must be used only at equipment or steam specialty connections to allow for service and maintenance.

c. Condensate return piping must be black steel Schedule 80 with welded joints; cast iron or malleable iron fittings, Class 250 minimum must be used only at equipment or steam specialty connections to allow for service and maintenance.

d. Vent piping must be black steel, Schedule 40, with black malleable iron fittings.

2.2.2 Steel Pipe

Pipe must conform to ASTM A53/A53M or ASTM A106/A106M, Grade A or B, black steel, Schedule 40, unless otherwise specified. Steel pipe to be bent must be ASTM A53/A53M, Grade A, standard, or Grade B, extra strong weight. Steam pipe must be ASTM A53/A53M Grade A.

2.2.3 Gauge Piping

Piping gauge to match connected and/or adjacent piping. Black steel, ASTM A106/A106M, seamless, Grade A pipe shall be used for steam.

2.2.4 Copper Tubing

Tubing must conform to ASTM B88, ASTM B88M, Type K.

2.2.5 Malleable Iron Pipe Fittings

Fittings must conform to ASME B16.3, type required to match adjacent piping.

2.2.6 Cast Iron Pipe Fittings

Fittings must conform to ASME B16.1 or ASME B16.4 type required to match adjacent piping.

2.2.7 Steel Pipe Fittings

Fittings must have the manufacturer's trademark affixed in accordance with MSS SP-25 so as to permanently identify the manufacturer.

2.2.7.1 Welded Fittings

Welded fittings must conform to ASTM A234/A234M with WPA marking. Butt welded fittings must conform to ASME B16.9, and socket welded fittings must conform to ASME B16.11. Welded steam pipe fittings and joints must be 100% radiographically tested.

2.2.8 Joints and Fittings for Copper Tubing

Wrought copper and bronze fittings must conform to ASME B16.22 and ASTM B75/B75M. Cast copper alloy fittings must conform to ASME B16.18 and ASTM B828. Flared fittings must conform to ASME B16.26 and ASTM B62. Adaptors may be used for connecting tubing to flanges and threaded ends of valves and equipment. Cast bronze threaded fittings must conform to ASME B16.15.

#### 2.2.9 Steel Flanges

Flanged fittings including flanges, bolts, nuts, bolt patterns., etc. must be in accordance with ASME B16.5 class 150 and must have the manufacturers trademark affixed in accordance with MSS SP-25. Flange material must conform to ASTM A105/A105M. Blind flange material must conform to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Bolts must be high strength or intermediate strength with material conforming to ASTM A193/A193M.

#### 2.2.10 Pipe Threads

Pipe threads must conform to ASME B1.20.1.

## 2.2.11 Nipples

Nipples must conform to ASTM A733 or ASTM B687, standard weight.

#### 2.2.12 Unions

Unions must conform to ASME B16.39, type to match adjacent piping.

## 2.2.13 Adapters

Adapters for copper tubing must be brass or bronze for soldered fittings.

#### 2.2.14 Dielectric Waterways

Dielectric waterways must conform to the tensile strength and dimensional requirements specified in ASME B16.39. Waterways must have metal connections on both ends to match adjacent piping. Metal parts of dielectric waterways must be separated so that the electrical current is below 1 percent of the galvanic current which would exist upon metal-to-metal contact. Dielectric waterways must have temperature and pressure rating equal to or greater than that specified for the connecting piping. Dielectric waterways must be internally lined with an insulator specifically designed to prevent current flow between dissimilar metals. Dielectric flanges must meet the performance requirements described herein for dielectric waterways.

## 2.2.15 Flexible Pipe Connectors

Flexible pipe connectors must be designed for 125 psi or 150 psi service as appropriate for the static head plus the system head, and 250 degrees F. Connectors must be installed where indicated. The flexible section must be constructed of corrosion-resisting stainless steel minimum type 304 . Materials used and the configuration must be suitable for the pressure, vacuum, temperature, and circulating medium. The flexible section may have threaded, welded, soldered, flanged, or socket ends. Flanged assemblies must be equipped with limit bolts to restrict maximum travel to the manufacturer's standard limits. Unless otherwise indicated, the length of the flexible connectors must be as recommended by the manufacturer for the service intended. Internal sleeves or liners, compatible with circulating medium, must be provided when recommended by the manufacturer. Provide covers to protect the bellows where indicated.

## 2.3 MATERIALS AND ACCESSORIES

- 2.3.1 Iron and Steel Sheets
- 2.3.1.1 Galvanized Iron and Steel

Galvanized iron and steel must conform to ASTM A653/A653M, with general requirements conforming to ASTM A653/A653M. Gauge numbers specified are Manufacturer's Standard Gauge.

2.3.1.2 Uncoated (Black) Steel

Uncoated (black) steel must conform to ASTM A653/A653M, composition, condition, and finish best suited to the intended use. Gauge numbers specified refer to Manufacturer's Standard Gauge.

2.3.2 Thermometers

Mercury must not be used in thermometers. Thermometers must have brass, malleable iron, or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale, and thermometers must have rigid stems with straight, angular, or inclined pattern.

2.3.3 Gauges

Gauges shall conform to ASME B40.100.

2.3.4 Gaskets for Flanges

Composition gaskets must conform to ASME B16.21. Gaskets must be nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type. Gaskets must contain aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR). Gaskets must be suitable for pressure and temperatures of piping system.

2.3.5 Bellows-Type Joints

Joints must be flexible, guided expansion joints. Expansion element must be of stainless steel. Bellows-type expansion joints must be in accordance with the applicable requirements of EJMA Stds and ASME B31.1 with internal liners.

2.3.6 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports must conform to MSS SP-58.

- 2.4 VALVES FOR LOW TEMPERATURE WATER HEATING AND STEAM SYSTEMS
- 2.4.1 Check Valves

Sizes 2-1/2 inches and less, bronze must conform to MSS SP-80, Type 3 or 4, Class 125. Sizes 3 inches through 24 inches, cast iron must conform to MSS SP-71, Type III or IV, Class 125.

2.4.2 Globe Valves

Sizes 2-1/2 inches and less, bronze must conform to MSS SP-80, Type 1, 2

or 3, Class 125. Sizes 3 inches through 12 inches, cast iron must conform to MSS SP-85, Type III, Class 125.

# 2.4.3 Gate Valves

Sizes 2-1/2 inches and less, bronze must conform to MSS SP-80, Type 1 or 2, Class 125. Sizes 3 inches through 48 inches, cast iron must conform to MSS SP-70, Type I, Class 125, Design OT or OF (OS&Y), bronze trim.

# 2.4.4 Air Vents

Provide air vents at all piping high points in water systems, with block valve in inlet and internal check valve to allow air vent to be isolated for cleaning and inspection. Outlet connection must be piped to nearest open site or suitable drain, or terminated 12 inches above finished grade. Pressure rating of air vent must match pressure rating of piping system. Body and cover must be cast iron or semi-steel with stainless steel or copper float and stainless steel or bronze internal parts. Air vents installed in piping in chase walls or other inaccessible places must be provided with an access panel.

#### 2.4.5 Balancing Valves

Balancing valves must have meter connections with positive shutoff valves. An integral pointer must register degree of valve opening. Valves must be calibrated so that flow in gpm can be determined when valve opening in degrees and pressure differential across valve is known. Each balancing valve must be constructed with internal seals to prevent leakage and must be supplied with preformed insulation. Valves shall be suitable for 250 degrees F temperature and working pressure of the pipe in which installed. Valve bodies must be provided with tapped openings and pipe extensions with shutoff valves outside of pipe insulation. The pipe extensions must be provided with quick connecting hose fittings for a portable meter to measure the pressure differential. One portable differential meter must be furnished. The meter suitable for the operating pressure specified must be complete with hoses, vent, and shutoff valves and carrying case. In lieu of the balancing valve with integral metering connections, a ball valve or plug valve with a separately installed orifice plate or venturi tube may be used for balancing. Provide plug valves and ball valves 8 inches or larger with manual gear operators with position indicators.

## 2.5 COLD WATER CONNECTIONS

Connections must be provided which include consecutively in line a strainer, backflow prevention device, and water pressure regulator. The backflow prevention device must be provided as indicated and in compliance with Section 22 00 00 PLUMBING, GENERAL PURPOSE.

#### 2.5.1 Strainers

Basket or Y-type strainers must be the same size as the pipelines in which they are installed. Strainer bodies must be rated for 125 pound service, with bottoms drilled and plugged. Bodies must have arrows cast on the sides to indicate the direction of flow. Each strainer must be equipped with a removable cover and sediment basket. Basket must not be less than 22 gauge and must have perforations to provide a net free area through the basket of at least four times that of the entering pipe.

## 2.5.2 Pressure Regulating Valve

Valve must be a type that will not stick nor allow pressure to build up on the low side. Valve must be set to maintain a terminal pressure approximately 5 psi in excess of the static head on the system and must operate within a 20 psi variation regardless of initial pressure and without objectionable noise under any condition of operation.

# 2.6 EXPANSION TANK

Pressurization system must include a replaceable diaphragm-type captive air expansion tank which will accommodate the expanded water of the system generated within the normal operating temperature range, limiting this pressure increase at all components in the system to the maximum allowable pressure at those components. The only air in the system must be the permanent sealed-in air cushion contained in the diaphragm-type tank. Sizes must be as indicated. Expansion tank must be welded steel, constructed, tested and stamped in accordance with ASME BPVC SEC VIII D1 for a working pressure of 125 psig and precharged to the minimum operating pressure. Tank air chamber must be fitted with an air charging valve. Tank must be supported by steel legs or bases for vertical installation or steel saddles for horizontal installations.

## 2.7 AIR SEPARATOR

External air separation tank must be steel, constructed, tested, and stamped in accordance with ASME BPVC SEC VIII D1 for a working pressure of 125 psi. The capacity of the air separation tank indicated is minimum. Provide with integral factory mounted magnetic insert; insert must be accessible for cleaning and replacement..

## 2.8 STEAM TRAPS

# 2.8.1 Float-and-Thermostatic Traps

Traps must be designed for a steam working pressure of approximately 15 psig, but must operate with a supply pressure of approximately 5 psig. The capacity of the traps must be as indicated. Trap capacity must be based on a pressure differential of 1/4 psi. Provide each float-and-thermostatic trap a hard bronze, monel, or stainless steel valve seat and mechanism and brass float, all of which can be removed easily for inspection or replacement without disturbing the piping connections. Inlet to each trap must have a cast iron strainer, either an integral part of the trap or a separate item of equipment.

# 2.9 HEAT EXCHANGERS

Heat exchangers must be multiple pass shell and U-tube type or plate and frame type as indicated, to provide low temperature hot water for the heating system when supplied with steam at the temperatures and pressures indicated. Temperature and pressure for shell and U-tube exchangers must not exceed 338 degrees F and 100 psig for steam. Exchangers must be constructed in accordance with ASME BPVC SEC VIII D1 and certified with ASME stamp secured to unit. U-tube bundles must be completely removable for cleaning and tube replacement and must be free to expand with shell. Shells must be of seamless steel pipe or welded steel construction and tubes must be seamless tubing as specified below unless otherwise indicated. Tube connections to plates must be leakproof. Provide saddles or cradles to mount shell and U-tube exchangers. Frames of plate and

frame type exchangers must be fabricated of carbon steel and finished with baked epoxy enamel. Design fouling factor must be as listed on the drawings.

# 2.9.1 Steam Heat Exchangers, Shell and U-Tube Type

Exchangers must operate with steam in shell and low temperature water in tubes. Shell and tube sides must be designed for 150 psig working pressure and factory tested at 300 psig. Steam, water, condensate, and vacuum and pressure relief valve connections must be located in accordance with the manufacturer's standard practice. Connections larger than 3 inches must be ASME 150 pound flanged. Water pressure loss through clean tubes must not exceed 6 psi and water velocity must not exceed 6 fps unless otherwise indicated. Minimum water velocity in tubes must be not less than 1 fps and assure turbulent flow. Tubes must be seamless copper or copper alloy, constructed in accordance with ASTM B75/B75M or ASTM B395/B395M, suitable for the temperatures and pressures specified. Tubes must be not less than 3/4 inch unless otherwise indicated. Maximum steam inlet nozzle velocity must not exceed 6000 fpm.

# 2.10 SYSTEM EQUIPMENT AND ACCESSORIES

## 2.10.1 Circulating Pumps

Pumps for hot water must be of the single-stage centrifugal type, electrically driven. Pumps must be supported on a concrete foundation . Pumps must be either integrally mounted with the motor or direct-connected by means of a flexible-shaft coupling on a cast iron, or steel sub-base. Pump housing must be of close grained cast iron. Shaft must be carbon or alloy steel, turned and ground. Shaft seal must be mechanical-seal or stuffing-box type. Impeller, impeller wearing rings, glands, casing wear rings, and shaft sleeve must be bronze. Bearings must be ball-, roller-, or oil-lubricated, bronze-sleeve type, and must be sealed or isolated to prevent loss of oil or entrance of dirt or water. Motor must be of a type approved by the manufacturer of the pump.

## 2.10.2 Condensate Pumping Unit

Pump must have a minimum capacity, as indicated on the drawings when discharging against the specified pressure. The minimum capacity of the tank must be as indicated on the drawings. Condensate pumping unit must be of the duplex, vertical-shaft type, as indicated. Unit must consist of two pumps, two electric motors and a single receiver. Pumps must be centrifugal or turbine type, bronze-fitted throughout with impellers of bronze or other corrosion-resistant metal. Pumps must be free from air-binding when handling condensate with temperatures up to 200 degrees F. Pumps must be connected directly to dripproof enclosed motors. Receiver must be cast iron and must be provided with condensate return, vent, overflow, and pump suction connections, and water level indicator and automatic air vent. Inlet strainer must be provided in the inlet line to the tank. Vent pipe must be galvanized steel, and fittings must be galvanized malleable iron. Vent pipe must be installed as indicated or directed. Vent piping must be flashed as specified. Pump, motor, and receiving tank may be mounted on a single base with the receiver piped to the pumps suctions. Provide a gate valve and check valve in the discharge connection from each pump.

2.10.2.1 Controls

Install enclosed float switches complete with float mechanisms in the head of the receiver. The condensate pump must be controlled automatically by means of the respective float switch that will automatically start the motor when the water in the receiving tank reaches the high level and stop the motor when the water reaches the low level. Provide motors with magnetic across-the-line starters equipped with general purpose enclosure and Automatic-Manual-Off selector switch in the cover.

#### 2.10.2.2 Factory Testing

Submit a certificate of compliance from the pump manufacturer covering the actual test of the unit and certifying that the equipment complies with the indicated requirements.

#### 2.10.3 Pressure Gauges and Thermometers

Provide gauges for each heat exchanger and piping as indicated. Provide a thermometer and pressure gauge on the low temperature water supply and return mains. Thermometers must be separable socket type.

#### 2.10.4 Vacuum Relief Valve

Install a vacuum relief valve on the shell of each shell and U-tube steam heat exchanger and on the factory supplied steam inlet nozzle of each plate and frame heat exchanger. On shutoff of steam supply and condensing of steam, the vacuum relief valve must automatically admit air to the heat exchanger.

## 2.10.5 Pressure Relief Valves

Provide one or more pressure relief valves for each heat exchanger in accordance with ASME BPVC SEC VIII D1. The aggregate relieving capacity of the relief valves must be not less than that required by the above code. Discharge from the valves must be installed as indicated. Pressure relief valves for steam heat exchangers must be located on the low temperature water supply coming from near the heat exchanger as indicated.

# 2.10.6 Drains

Install a drain connection with 3/4 inch hose bib at the lowest point in the low temperature water return main near the heat exchanger. In addition, install threaded drain connections with threaded cap or plug wherever required for thorough draining of the low temperature water system.

#### 2.10.7 Strainers

Basket or Y-type strainer-body connections must be the same size as the pipe lines in which the connections are installed. The bodies must have arrows clearly cast on the sides to indicate the direction of flow. Each strainer must be equipped with an easily removable cover and sediment basket. The body or bottom opening must be equipped with nipple and gate valve for blowdown. The basket for steam systems must be of not less than 0.025 inch thick stainless steel, or monel with small perforations of sufficient number to provide a net free area through the basket of at least 2.5 times that of the entering pipe. The flow must be into the basket and out through the perforations. The strainer bodies for steam

systems must be of cast steel or gray cast iron with bottoms drilled and plugged.

## 2.11 INSULATION

Shop and field applied insulation must be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

## 2.12 FACTORY PAINTED EXPOSED SPACE HEATING EQUIPMENT

All exposed heating equipment must be painted at the factory with the manufacturer's standard primer and enamel finish.

## 2.13 CONVECTORS

The convector must be the type and size indicated. The supply and return connections must be the same size. Convectors must be tested hydrostatically at the factory and proved tight under a pressure of not less than 30 psig or 150 percent of the system operating pressure, whichever is greater. Furnish a certified report of these tests in accordance with paragraph SUBMITTALS.

#### 2.13.1 Convectors

Convectors must be constructed of cast iron or of nonferrous alloys, and must be installed where indicated. Capacity of convectors must be as indicated. Overall space requirements for convectors must not be greater than the space provided. Convectors must be complete with heating elements and enclosing cabinets having bottom recirculating opening, manual control damper and top supply grille. Convector cabinets must be constructed of black sheet steel not less than 20 gauge.

# 2.13.2 Convectors Control

Provide controls as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

# 2.14 UNIT HEATERS

Heaters must be as specified below, and must have a heating capacity not in excess of 125 percent of the capacity indicated.

# 2.14.1 Propeller Fan Heaters

Heaters must be designed for suspension and arranged for horizontal discharge of air as indicated. Casings must be not less than 20 gauge black steel and finished with lacquer or enamel. Suitable stationary deflectors must be provided to assure proper air and heat penetration capacity at floor level based on established design temperature. Suspension from heating pipes will not be permitted. Horizontal discharge type unit heaters must have discharge or face velocities not in excess of the following:

Unit Capacity, cfm	Face Velocity, fpm
Up to 1000	800

Unit Capacity, cfm	Face Velocity, fpm				
1001	900				
3001 and over	1000				

#### 2.14.2 Centrifugal Fan Heaters

Heaters must be arranged for floor or ceiling mounting as indicated. Heating elements and fans must be housed in steel cabinets of sectionalized steel plates or reinforced with angle-iron frames. Cabinets must be constructed of not lighter than 18 gauge black steel. Provide each unit heater with a means of diffusing and distributing the air. Fans must be mounted on a common shaft, with one fan to each air outlet. Fan shaft must be equipped with self-aligning ball, roller, or sleeve bearings and accessible means of lubrication. Fan shaft may be either directly connected to the driving motor or indirectly connected by adjustable V-belt drive rated at 150 percent of motor capacity. All fans in any one unit heater must be the same size.

#### 2.14.3 Heating Elements

Heating coils and radiating fins must be of suitable nonferrous alloy with threaded or brazed fittings at each end for connecting to external piping. The heating elements must be free to expand or contract without developing leaks and must be properly pitched for drainage. The elements must be tested under a hydrostatic pressure of 200 psig and a certified report of the test must be submitted to the Contracting Officer. Coils must be suitable for use with water up to 250 degrees F.

## 2.14.4 Motors

Provide motors with NEMA 250 general purpose enclosure. Motors and motor controls must otherwise be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

## 2.14.5 Motor Switches

Provide motors with manual selection switches with "Off," and "Automatic" positions and must be equipped with thermal overload protection.

## 2.14.6 Controls

Provide controls as specified in 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

# 2.15 WATER TREATMENT SYSTEM

The water treatment system must be capable of manually feeding chemicals into the heating system to prevent corrosion and scale within the heat exchanger and piping system. Submit detail drawings consisting of a complete list of equipment and material, including manufacturer's descriptive and technical literature, performance charts and curves, catalog cuts, and installation instructions. Also show on the drawings complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Show on the drawings proposed layout and anchorage of

equipment and appurtenances and equipment relationship to other parts of the work including clearances for maintenance and operation. All water treatment equipment and chemicals must be furnished and installed by a water treatment company regularly engaged in the installation of water treatment equipment and the provision of water treatment chemicals based upon water condition analyses. The water treatment company must provide a water sample analysis taken from the building site, each month for one year.

# 2.15.1 Chemical Shot Feeder

Provide a 5 gallon shot feeder indicated. The feeder must be furnished with an air vent, gauge glass, funnel, valves, fittings, and piping. All materials of construction must be compatible with the chemicals being used.

# 2.15.2 Chemicals

The chemical company must provide pretreatment chemicals that will remove and permit flushing of mill scale, oil, grease, and other foreign matter from the water heating system. The chemical company must also provide all treatment chemicals required for the initial fill of the system and for a period of one year of operation. The chemical company must determine the correct chemicals and concentrations required for the water treatment. The chemicals must not be proprietary and must meet required federal, state, and local environmental regulations for the treatment of heating water systems and discharge to the sanitary sewer. The chemicals must remain stable throughout the operating temperature range of the system, and must be compatible with pump seals and other elements of the system.

#### 2.16 Steam Flow Meter-Transmitter

- a. Steam flow meter-transmitter shall be a Vortex-Bar meter probe designed for pipe insertion type installation by means of hot tap or other non-disruptive method. A steam flow transmitter shall measure media flow by means of a vortex shedding flow element located in flow stream.
- b. Steam flow meter-transmitter shall have sliding-type stem passing through two pressure seals allowing proper positioning of sensor in flow stream and isolation valve so that transmitter can be completely removed from pipeline without disruption of process. Steam flow meter shall be supplied with a two-wire preamplifier with analog 4 to 20 mA dc output signal.
- c. Steam flow meter shall meet following performance criteria:
  - (1) Pressure Rating: To 125 psig 400 degrees F.
  - (2) Seals: Teflon.
  - (3) Wetted Parts: Type 316 stainless steel with 304 stainless steel body.
  - (4) Flow Rangeability: 10:1.
  - (5) Linearity: Plus or minus 1.0 percent (to 24 mA output).
  - (6) Repeatability: Plus or minus 0.25 percent at maximum.

- (7) Current Limit: To approximately 30 mA.
- d. Steam flow meter-transmitter shall meet following materials of construction criteria:
  - (1) Sensor: Type 316 stainless steel.
  - (2) Sensor Support: Type 304 stainless steel.
  - (3) Bushings: Stellite or stainless steel hardened with stellite.
  - (4) Stem: 300 Series stainless steel.
- e. Provide with steam flow meter-transmitter a full port gate valve with proper flanged connection that allows steam flow sensor to be inserted and removed from pipe under full pressure. Both valve and pipe tap shall have a minimum 1.875 inches internal diameter clearance.
- f. Electronics enclosure shall be NEMA 4X.
- g. Smart transmitters may be used to communicate to associated controllers on device level network LAN. Smart transmitters shall not reside on controller LAN.
- PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

Install all work as indicated and in accordance with the manufacturer's diagrams and recommendations.

3.3 COLOR CODE MARKING AND FIELD PAINTING

Color code marking must be as specified in Section 09 90 00 PAINTS AND COATINGS.

## 3.4 WELDING

Submit 6 copies of qualified procedures and list of names and identification symbols of qualified welders and welding operators, prior to welding operations. Piping must be welded in accordance with qualified procedures using performance qualified welders and welding operators. Procedures and welders must be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. The Contracting Officer must be notified 24 hours in advance of tests and the tests must be performed at the work site if practical. The welder or welding operator must apply his assigned symbol near each weld he makes as a permanent record. Structural members must be welded in accordance with Section 05 12 00 STRUCTURAL STEEL.

#### 3.5 PIPING

Unless otherwise specified, pipe and fittings installation must conform to the requirements of ASME B31.1. Pipe must be cut accurately to measurements established at the job site and worked into place without springing or forcing, completely clearing all windows, doors, and other openings. Cuttings or other weakening of the building structure to facilitate piping installation will not be permitted without written approval. Pipe or tubing must be cut square, must have burrs removed by reaming, and must be so installed as to permit free expansion and contraction without causing damage to building structure, pipe, joints, or hangers. Changes in direction must be made with factory made fittings, except that bending of pipe up to 4 inches will be permitted, provided a pipe bender is used and wide sweep bends are formed. The center line radius of bends must not be less than six diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be accepted. Vent pipes must be installed through the roof as indicated and must be flashed as specified. Horizontal mains must pitch up or down in the direction of flow as indicated. The grade must be not less than 1 inch in 40 feet. Reducing fittings must be used for changes in pipe sizes. Open ends of pipelines and equipment must be capped or plugged during installation to keep dirt or other foreign materials out of the systems. Pipe not otherwise specified must be uncoated. Unions and other components for copper pipe or tubing must be brass or bronze. Connections between ferrous and copper piping must be electrically isolated using dielectric unions.

# 3.5.1 Joints

Except as otherwise specified, joints used on steel pipe must be threaded for fittings 1 inch and smaller; threaded or welded for 1-1/4 inches up through 2-1/2 inches; and flanged or welded for3 inches and larger. Joints between sections of copper tubing or copper pipe must be flared or sweated. Pipe and fittings 1-1/4 inches and larger installed in inaccessible conduits or trenches beneath concrete floor slabs must be welded. Unless otherwise specified, connections to equipment must be made with black malleable iron unions for pipe 2-1/2 inches or smaller in diameter, and with flanges for pipe 3 inches or larger in diameter.

# 3.5.2 Low Temperature Systems

Piping may have threaded, welded, flanged or flared or sweated mechanical joints as applicable and as specified. Reducing fittings must be used for changes in pipe sizes. In horizontal lines, reducing fittings must be the eccentric type to maintain the top of the adjoining pipes at the same level.

## 3.5.3 Steam Systems

Piping may have threaded, welded, or flanged joints as applicable and as specified. Reducing fittings must be used for changes in pipe sizes. In horizontal steam lines, reducing fittings must be the eccentric type to maintain the bottom of the lines at the same level. Grooved mechanical joints must not be used.

# 3.5.4 Threaded Joints

Threaded joints must be made with tapered threads properly cut, and must be made tight with PTFE compound complying with ASTM D3308, or equivalent

thread joint compound applied to the male threads only, and in no case to the fittings.

# 3.5.5 Welded Joints

Joints must be fusion-welded unless otherwise required. Changes in direction of piping must be made with welding fittings only. Branch connection may be made with either welding tees or branch outlet fittings. Branch outlet fittings must be forged, flared for improvement of flow where attached to the run, and reinforced against external strains.

## 3.5.6 Flanged Joints or Unions

Provide flanged joints or unions in each line immediately preceding the connection to each piece of equipment or material requiring maintenance such as coils, pumps, control valves, and similar items. Flanged joints must be faced true, provided with gaskets, and made square and tight. Full-faced gaskets must be used with cast iron flanges.

## 3.5.7 Flared and Sweated Pipe and Tubing

Pipe and tubing must be cut square and burrs must be removed. Both inside of fittings and outside of tubing must be cleaned with an abrasive before sweating. Care must be taken to prevent annealing of fittings and hard drawn tubing when making connection. Installation must be made in accordance with the manufacturer's recommendations. Changes in direction of piping must be made with flared or soldered fittings only. Solder and flux must be lead free. Joints for soldered fittings must be made with silver solder or 95:5 tin-antimony solder. Cored solder must not be used. Joints for flared fittings must be of the compression pattern. Provide swing joints or offsets on all branch connections, mains, and risers to provide for expansion and contraction forces without undue stress to the fittings or to short lengths of pipe or tubing.

#### 3.6 CONNECTIONS TO EQUIPMENT

Provide supply and return connections unless otherwise indicated. Valves and traps must be installed in accordance with the manufacturer's recommendations. Unless otherwise indicated, the size of the supply and return pipes to each piece of equipment must be not smaller than the connections on the equipment. Bushed connections are not permitted. Change in sizes must be made with reducers or increasers only. Line size full port isolation ball valves must be provided for pipe 2 inches and smaller, and isolation butterfly valves must be provided for pipe 2.5 inches and larger in both supply and return pipes.

## 3.6.1 Low Temperature Water and Steam and Return Connections

Connections, unless otherwise indicated, must be made with malleable iron unions for piping 2-1/2 inches or less in diameter and with flanges for pipe 3 inches or more in diameter.

#### 3.7 BRANCH CONNECTIONS

Branches must pitch up or down as indicated, unless otherwise specified. Connection must be made to insure unrestricted circulation, eliminate air pockets, and permit drainage of the system. Line size full port isolation ball valves must be provided in both supply and return pipes.

#### 3.7.1 Low Temperature Water Branches

Branches taken from mains must pitch with a grade of not less than 1 inch in 10 feet.

3.7.2 Steam Supply and Condensate Branches

Branches taken from mains must pitch with a grade of not less than 1 inch in 10 feet, unless otherwise indicated.

3.8 RISERS

The location of risers is approximate. Exact locations of the risers must be as approved. Steam supply downfeed risers must terminate in a dirt pocket and must be dripped through a trap to the return line.

3.9 SUPPORTS

## 3.9.1 General

Hangers used to support piping 2 inches and larger must be fabricated to permit adequate adjustment after erection while supporting the load. Pipe guides and anchors must be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. All piping subjected to vertical movement when operating temperatures exceed ambient temperatures, must be supported by variable spring hangers and supports or by constant support hangers. Where threaded rods are used for support, they must not be formed or bent.

3.9.1.1 Structural Attachments

Structural steel brackets required to support piping, headers, and equipment, but not shown, must be provided under this section. Material and installation must be as specified under Section 05 12 00 STRUCTURAL STEEL.

3.9.1.2 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, a clip or clamp must be used where each pipe crosses the base support member. Spacing of the base support members must not exceed the hanger and support spacing required for any individual pipe in the multiple pipe run.

3.9.2 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts and supports must conform to MSS SP-58, except as specified as follows:

3.9.2.1 Types 5, 12, and 26

Use of these types is prohibited.

3.9.2.2 Type 3

Type 3 is prohibited on insulated pipe which has a vapor barrier. Type 3 may be used on insulated pipe that does not have a vapor barrier if clamped directly to the pipe and if the clamp bottom does not extend through the insulation and the top clamp attachment does not contact the insulation during pipe movement.

#### 3.9.2.3 Type 18 Inserts

Type 18 inserts must be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for Type 18 inserts.

## 3.9.2.4 Type 19 and 23 C-Clamps

Type 19 and 23 C-clamps must be torqued in accordance with MSS SP-58 and have both locknuts and retaining devices, furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

## 3.9.2.5 Type 20 Attachments

Provide Type 20 attachments used on angles and channels with an added malleable iron heel plate or adapter.

## 3.9.2.6 Type 24

Type 24 may be used only on trapeze hanger systems or on fabricated frames.

3.9.2.7 Type 39 Saddle or Type 40 Shield

Where Type 39 saddle or Type 40 shield are permitted for a particular pipe attachment application, the Type 39 saddle must be used on all pipe 4 inches and larger.

3.9.2.8 Horizontal Pipe Supports

Space horizontal pipe supports as specified in MSS SP-58 and install a support not over 1 foot from the pipe fitting joint at each change in direction of the piping. Do not space pipe supports over 5 feet apart at valves.

#### 3.9.2.9 Vertical Pipe Supports

Support vertical pipe at each floor, except at slab-on-grade, and at intervals of not more than 15 feet, except support pipe not more than 8 feet from end of risers, and at vent terminations.

## 3.9.2.10 Type 35 Guides

Provide Type 35 guides using steel, reinforced PTFE or graphite slides where required to allow longitudinal pipe movement. Provide lateral restraints as required. Slide materials must be suitable for the system operating temperatures, atmospheric conditions and bearing loads encountered. Where steel slides do not require provision for restraint or lateral movement, an alternate guide method may be used. On piping 4 inches and larger, a Type 39 saddle may be welded to the pipe and freely rest on a steel plate. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rest on a steel slide plate. Where there are high system temperatures and welding to piping is not desirable, then the Type 35 guide must include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe must be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, which ever is greater.

## 3.9.2.11 Pipe Hanger Size

Except for Type 3, pipe hangers on horizontal insulated pipe must be the size of the outside diameter of the insulation.

#### 3.10 PIPE SLEEVES

3.10.1 Pipe Passing Through Concrete or Masonry

Provide pipe passing through concrete or masonry walls or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Sleeves must not be installed in structural members except where indicated or approved. Rectangular and square openings must be as detailed. Each sleeve must extend through its respective wall, floor, or roof, and shall be cut flush with each surface. Unless otherwise indicated, sleeves must provide a minimum of 1/4 inch annular space between bare pipe or insulation surface and sleeves. Sleeves in bearing walls, waterproofing membrane floors, and wet areas must be steel pipe or cast iron pipe. Sleeves in nonbearing walls, floors, or ceilings may be steel pipe, cast iron pipe, or galvanized sheet metal with lock-type longitudinal seam and of the metal thickness indicated. Except in pipe chases or interior walls, the annular space between pipe and sleeve or between jacket over insulation and sleeve in nonfire rated walls and floors must be sealed as indicated and specified in Section 07 92 00 JOINT SEALANTS. Seal penetrations in fire walls and floors in accordance with Section 07 84 00 FIRESTOPPING.

#### 3.10.2 Pipes Passing Through Waterproofing Membranes

Install pipes passing through waterproofing membranes through a 4 pound lead-flashing sleeve, a 16 ounce copper sleeve, or a 0.032 inch thick aluminum sleeve, each having an integral skirt or flange. Flashing sleeve must be suitably formed, and the skirt or flange must extend 8 inches or more from the pipe and must be set over the roof or floor membrane in a troweled coating of bituminous cement. The flashing sleeve must extend up the pipe a minimum of 2 inches above the highest flood level of the roof or a minimum of 10 inches above the roof, whichever is greater, or 10 inches above the floor. The annular space between the flashing sleeve and the bare pipe or between the flashing sleeve and the metal-jacket-covered insulation must be sealed as indicated. At the Contractor's option, pipes up to and including 10 inches in diameter passing through roof or floor waterproofing membrane may be installed through a cast iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Waterproofing membrane must be clamped into place and sealant must be placed in the caulking recess.

## 3.10.3 Mechanical Seal Assembly

In lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve or conduit and sleeve, a modular mechanical type sealing assembly may be installed. The seals must consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe/conduit and sleeve with corrosion protected carbon steel bolts, nuts, and pressure plates. The links must be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolts must cause the rubber sealing elements to expand and provide a watertight seal between the pipe/conduit and the sleeve. Each seal assembly must be sized as recommended by the manufacturer to fit the pipe/conduit and sleeve involved. The Contractor electing to use the modular mechanical type seals must provide sleeves of the proper diameters.

#### 3.10.4 Counterflashing Alternate

As an alternate to caulking and sealing the annular space between the pipe and flashing sleeve or metal-jacket-covered insulation and flashing sleeve, counterflashing may be by standard roof coupling for threaded pipe up to 6 inches in diameter; lead-flashing sleeve for dry vents and turning the sleeve down into the pipe to form a waterproof joint; or tack-welded or banded-metal rain shield round the pipe and sealing as indicated.

#### 3.10.5 Waterproofing Clamping Flange

Pipe passing through wall waterproofing membrane must be sleeved as specified. In addition, a waterproofing clamping flange must be installed as indicated.

# 3.10.6 Fire Seal

Where pipes pass through fire walls, fire partitions, fire rated pipe chase walls or floors above grade, provide a fire seal as specified in Section 07 84 00 FIRESTOPPING.

## 3.10.7 Escutcheons

Provide escutcheons at all finished surfaces where exposed piping, bare or covered, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons must be fastened securely to pipe sleeves or to extensions of sleeves without any part of sleeves being visible. Where sleeves project slightly from floors, special deep-type escutcheons must be used. Escutcheons must be chromium-plated iron or chromium-plated brass, either one-piece or split pattern, held in place by internal spring tension or setscrew.

# 3.11 ANCHORS

Provide anchors where necessary or indicated to localize expansion or prevent undue strain on piping. Anchors must consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Anchor braces must be installed using turnbuckles where required. Supports, anchors, or stays must not be attached in places where construction will be damaged by installation operations or by the weight or expansion of the pipeline.

Do not use powder actuated inserts on concrete less than 4 inches thick. Submit locations powder actuated inserts are intended for approval before use.

## 3.12 PIPE EXPANSION

The expansion of supply and return pipes must be provided for by changes in the direction of the run of pipe, by expansion loops, or by expansion joints as indicated. Low temperature water and steam expansion joints may be one of the types specified.

#### 3.12.1 Expansion Loops

Expansion loops must provide adequate expansion of the main straight runs of the system within the stress limits specified in ASME B31.1. The loops must be cold-sprung and installed where indicated. Provide pipe guides as indicated.

# 3.12.2 Slip-Tube Joints

Slip-tube type expansion joints must be used for steam and low temperature water systems only and must be installed where indicated. The joints must provide for either single or double slip of the connected pipes as indicated and for the traverse indicated. The joints must be designed for a working temperature and pressure suitable for the application and in no case less than 150 psig. The joints must be in accordance with applicable requirements of EJMA Stds and ASME B31.1. End connections must be flanged. Provide anchor bases or support bases must be provided as indicated or required. Initial setting must be made in accordance with the manufacturer's recommendations to allow for ambient temperature at time of installation. Pipe alignment guides must be installed as recommended by the joint manufacturer, but in any case must be not more than 5 feet from expansion joint, except in lines 4 inches or smaller where guides must be installed not more that 2 feet from the joint.

## 3.12.3 Bellows-Type Joint

Bellows-type joint design and installation must comply with EJMA Stds standards. The joints must be designed for the working temperature and pressure suitable for the application and must be not less than 150 psig in any case.

#### 3.13 VALVES AND EQUIPMENT ACCESSORIES

#### 3.13.1 Valves and Equipment

Install valves at the locations shown or specified, and where required for the proper functioning of the system as directed. Line size full port isolation ball valves must be provided for pipe 2 inches and smaller, and isolation butterfly valves must be provided for pipe 2.5 inches and larger must be used unless otherwise indicated, specified, or directed. Valves must be installed with their stems horizontal to or above the main body of the valve. Valves used with ferrous piping must have threaded or flanged ends and sweat-type connections for copper tubing.

## 3.13.2 Thermometer Socket

Provide a thermometer well in each return line for each circuit in multicircuit systems.

# 3.13.3 Air Vents

Install vents where indicated, and on all high points and piping offsets where air can collect or pocket.

# 3.13.3.1 Steam Air Vents

Steam air vents must be a quick-acting valve that continuously removes air. Valve must be constructed of corrosion-resisting metal, must be designed to withstand the maximum piping system pressure, and must

automatically close tight to prevent escape of steam and condensate. Vent must be provided with a manual isolation valve. Provide a vent on the shell of each steam heat exchanger.

# 3.14 STEAM TRAPS

Install float Traps in the condensate line as indicated. Other steam traps must be installed where indicated.

## 3.15 HEAT EXCHANGERS

Install heat exchangersas indicated and in accordance with the manufacturer's instructions.

#### 3.16 CONVECTORS

Install convectors as indicated and in accordance with the manufacturer's instructions.

# 3.17 UNIT HEATERS

Install unit heaters as indicated and in accordance with the manufacturer's instructions.

# 3.18 Steam Flow Meter

Steam flow meter shall be installed in straight line pipe of at least 10 pipe diameters upstream and 5 pipe diameters downstream to maintain accuracy. A 3-way valve bypass must be provided for steam flow meters.

## 3.19 INSULATION

Thickness of insulation materials for piping and equipment and application must be in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

## 3.20 MANUFACTURER'S SERVICES

Provide the services of a manufacturer's representative who is experienced in the installation, adjustment, and operation of the equipment specified. The representative must supervise the installation, adjustment, and testing of the equipment.

# 3.21 TESTING AND CLEANING

Submit performance test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Indicate in each test report the final position of controls.

## 3.21.1 Pressure Testing

Notify the Contracting Officer 14 days before the tests are to be conducted. Perform the tests in the presence of the Contracting Officer. Furnish all instruments and personnel required for the tests. Electricity, steam, and water will be furnished by the Government. All test results must be accepted before thermal insulation is installed. The entire low temperature heating system, including heat exchanger and fittings, must be hydrostatically tested and proved tight under a pressure of 45 psig for a period of four hours.

# 3.21.2 Test of Backflow Prevention Assemblies

Test backflow prevention assemblies in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE.

# 3.21.3 Cleaning

After the hydrostatic and backflow prevention tests have been made and prior to the operating tests, the heat exchanger and piping must be thoroughly cleaned by filling the system with a solution of 1 pound of caustic soda or 1 pound of trisodium phosphate per 50 gallons of water. Observe the proper safety precautions in the handling and use of these chemicals. Heat the water to approximately 150 degrees F, and circulate the solution in the system for a period of 48 hours, then drain and flush the system thoroughly with fresh water. Wipe clean all equipment , and remove all traces of oil, dust, dirt, or paint spots. The Contractor will be responsible for maintaining the system in a clean condition until final acceptance. Lubricate bearings with oil or grease as recommended by the manufacturer.

#### 3.22 FRAMED INSTRUCTIONS

Submit proposed diagrams, instructions, and other sheets, prior to posting. Show in the instructions wiring and control diagrams and complete layout of the entire system. The instructions must include, in typed form, condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation and procedures for safely starting and stopping the system. Post framed instructions, containing wiring and control diagrams under glass or in laminated plastic, where directed. Condensed operating instructions, prepared in typed form, must be framed as specified above and posted beside the diagrams. Post the framed instructions before acceptance testing of the system.

## 3.23 FIELD TRAINING

Provide a field training course for designated operating and maintenance staff members. Provide training for a total period of 24 hours of normal working time starting after the system is functionally complete but prior to final acceptance tests. Field training must cover all of the items contained in the approved Operation and Maintenance Manuals. Submit 6 copies of operation and 6 copies of maintenance manuals for the equipment furnished. One complete set, prior to performance testing and the remainder upon acceptance. Operating manuals must detail the step-by-step procedures required for system startup, operation, and shutdown. Operating manuals must include the manufacturer's name, model number, parts list, and brief description of all equipment and their basic operating features. Maintenance manuals must list routine maintenance procedures, water treatment procedures, possible breakdowns and repairs, and troubleshooting guides. Maintenance manuals must include piping and equipment layout and simplified wiring and control diagrams of the system as installed. Provide manuals prior to the field training course.

## 3.24 TESTING, ADJUSTING AND BALANCING

Except as specified herein, testing, adjusting, and balancing must be in

accordance with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

-- End of Section --

## SECTION 23 81 23

# COMPUTER ROOM AIR CONDITIONING UNITS 11/20

## PART 1 GENERAL

# 1.1 RELATED REQUIREMENTS

Equipment or systems specified in this section are part of the commissioning process. Refer to Section 01 91 00.15 10, TOTAL BUILDING COMMISSIONING for additional requirements.

## 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 410	(2001; Addendum 1 2002; Addendum 2 2005; Addendum 3 2011) Forced-Circulation Air-Cooling and Air-Heating Coils
AHRI 1360	(2017) Performance Rating of Computer and

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

Data Processing Room Air Conditioners

ASHRAE 52.2	(2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 62.1	(2010) Ventilation for Acceptable Indoor Air Quality
ASHRAE 90.1 - IP	(2013) Energy Standard for Buildings Except Low-Rise Residential Buildings
ASHRAE 127	(2012) Method of Testing for Rating Computer and Data Processing Room Unitary Air-Conditioners
AMERICAN SOCIETY OF MECH	HANICAL ENGINEERS (ASME)
ASME B31.1	(2020) Power Piping

ASME B31.5 (2020) Refrigeration Piping and Heat Transfer Components

#### ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM C1071	(2019) Standard Specification for Fibrous

West Point, NY Lincoln Hall Revised RTA Submissi	.on Contract #W912DS19C0031 1 March 2023					
	Glass Duct Lining Insulation (Thermal and Sound Absorbing Material)					
ASTM C1338	(2014) Standard Test Method for Determining Fungi Resistance of Insulation Materials and Facings					
ASTM D5864	(2011) Standard Test Method for Determining Aerobic Aquatic Biodegradation of Lubricants or Their Components					
ASTM D6081	(1998; R 2014) Aquatic Toxicity Testing of Lubricants: Sample Preparation and Results Interpretation					
ASTM G21	(2015) Standard Practice for Determining Resistance of Synthetic Polymeric Materials to Fungi					
ETL TESTING LABORATORIN	ES (ETL)					
ETL DLP	(updated continuously) ETL Listed Mark Directory					
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)					
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code					
NATIONAL ELECTRICAL MAN	UUFACTURERS ASSOCIATION (NEMA)					
NEMA MG 1	(2018) Motors and Generators					
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors					
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors					
NATIONAL FIRE PROTECTIO	DN ASSOCIATION (NFPA)					
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code					
NFPA 90A	(2018) Standard for the Installation of Air Conditioning and Ventilating Systems					
U.S. ARMY CORPS OF ENG	INEERS (USACE)					
EM 1110-2-1424	(2016) Engineering and Design Lubricants and Hydraulic Fluids					
UNDERWRITERS LABORATORIES (UL)						
UL 181	(2013; Reprint Apr 2017) UL Standard for Safety Factory-Made Air Ducts and Air					

#### Connectors

UL	Elec	Equip	Dir	(2011)	Elect	rical	App]	Liance	and
				Utiliza	ation	Equipr	nent	Direct	cory

#### 1.3 DEFINITIONS

Computer Room Air Conditioner (CRAC): A single, self-contained unit or split-system unit designed and manufactured specifically for temperature and humidity control of data processing environments.

Cold Aisle: The aisle between or adjacent to rows of racks from which the computing equipment draws cool air.

Hot Aisle: The aisle between or adjacent to rows of racks to which the computing equipment ejects hot air.

Rack: Telecommunications support frame that can consist of post-and-frame or full cabinet construction. Racks are provided under Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

## 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Computer Room Air Conditioner; G, RO

Small Computer Room Air Conditioners; G, RO

Space Temperature Control System Drawings; G, RO

Filters

Leak Detection; G, RO

SD-06 Test Reports

Manufacturer's Factory Test Plans; G, RO

Factory Test Reports; G, RO

Field Test Schedule; G, RO

Manufacturer's Field Test Plans; G, RO

Field Test Reports; G, RO

SD-07 Certificates

Credentials of the Manufacturer's Field Test Representative; G, RO

Certified List Of Qualified Permanent Service Organizations

SD-08 Manufacturer's Instructions

Installation Manual for Each Type of CRAC

SD-10 Operation and Maintenance Data

Computer Room Air Conditioner Operation and Maintenance Data, Data Package 4; G, RO

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

## 1.5 QUALIFICATIONS

1.5.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacturer of such products, which are of a similar material, design, and workmanship. Standard products must have been in satisfactory commercial or industrial use for two years prior to bid opening. The two-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the two-year period.

#### 1.5.2 Alternative Equipment Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

# 1.5.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.5.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

# 1.5.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

#### 1.5.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor." References to Computer Room Air Conditioners must be interpreted to include Computer Room Air Handling Units (CRAHs) as indicated on the drawings.

#### 1.5.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

#### 1.6 PROJECT REQUIREMENTS

#### 1.6.1 Verification of Dimensions

Become familiar with the details of the work, verify all dimensions in the field, and provide adequate clearance for all connections and service access. Notify the Contracting Officer of any discrepancy before performing any work.

#### 1.6.2 Energy Efficiency

Provide equipment with minimum efficiencies as required by ASHRAE 90.1 - IP.

## 1.7 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

#### PART 2 PRODUCTS

#### 2.1 COMPUTER ROOM AIR CONDITIONER (CRAC)

Provide complete working CRACs, designed, factory assembled, and factory testedwith configurations as scheduled on drawings. Equipment must be listed in UL Elec Equip Dir or ETL DLP for computer room application. CRACs must have a minimum sensible coefficient of performance in accordance with ASHRAE 127. CRACs must include room cabinet and frame, fan section, filter section, cooling coil, and controls, as indicated on the drawings. Provide units rated in accordance with AHRI 1360.

Unless otherwise indicated or scheduled on drawings, provide equipment motor selections that do not exceed 1,800 rpm.

## 2.1.1 Unit Airflow Configuration

#### 2.1.1.1 Upflow Units

The CRAC must be freestanding vertical configuration with draw return air in at the bottom of the cabinet and discharge supply air at the top of the cabinet.

# 2.1.1.2 In-row Units

The CRAC must be designed and manufactured to be installed within the row of server cabinets where it must draw return air in at the back (from the hot aisle) and discharge supply air at the front (into the cold aisle). In-row units must match the height and depth of the adjacent racks and integrate into the row such that no gaps exist that would allow air to bypass from the cold aisle to the hot aisle.

## 2.1.1.3 Ceiling Mounted Units

The CRAC must be designed to be installed at or above the ceiling where it must draw return air in at a duct connection or integral return grille and discharge supply air at a duct connection or integral supply register.

2.1.2 Cabinet and Frame

## 2.1.2.1 Unit Frame

Unit frame must be manufactured of welded steel tubes and must be mill-galvanized or coated with an epoxy finish.

#### 2.1.2.2 Unit Cabinet

Exterior panels must be steel sheet, minimum of 20 gage, mill-galvanized or coated with a corrosion-inhibiting epoxy or powder-coat finish in manufacturer's standard color. Mill galvanized sheet metal must be coated with not less than 1.25 ounces of zinc per square foot of two-sided surface. Mill rolled structural steel must be hot-dip galvanized or primed and painted. Cut edges, burns and scratches in hot-dip galvanized surfaces must be coated with galvanizing repair coating. Manufacturer's standard cabinet materials and finishes will be acceptable if equivalent to the above requirements and approved by the Contracting Officer.

Provide removable panel for access to controls without interrupting airflow. Panels must be gasketed to prevent air leakage under system operating pressure and must be removable for service access without the use of special tools.

Provide double deflection supply and return grille integral to unit. Grilles must be factory coated the same as the unit cabinet.

# 2.1.2.3 Cabinet Interiors Sound Attenuation

Provide a factory-installed sound attenuation system in the interior of the CRAC cabinet.

CRAC cabinet panels interior must be provided with 1 inch of 1 1/2 pound per cubic foot fiber glass insulation on interior of cabinet panels. Insulation must be applied to the cabinet panels with 100 percent adhesive

coverage and both the insulation and the adhesive must conform to NFPA 90A. Insulation must be rated for 6000 fpm per UL 181 and ASTM C1071. Insulation must resist the growth of microorganisms per ASTM C1338 and ASTM G21.

Compressors located in CRAC interior cabinets must be either wrapped in a sound absorbing insulating blanket or enclosed in its' own sound absorbing insulated mini-cabinet inside of the larger CRAC interior cabinet.

Fans and compressors located in the CRAC interior cabinet must be provided with vibration isolators between their respective support frames and the cabinet framing.

CRAC manufacturer's standard interior cabinet sound attenuation materials and finishes will be acceptable if equivalent to the above requirements and approved by the Contracting Officer.

2.1.3 Fan Section

Provide fan(s) and fan motor(s) as integral, factory installed components of the CRAC.

2.1.3.1 Fan Wheel

The supply air fan must be AMCA certified. Provide aluminum, backward curved, plenum/plug type fan wheel. The fan must be statically and dynamically balanced. The fan must have self-aligning, permanently lubricated ball bearings with a minimum life span of 100,000 hours. Assess potential effects of lubricant on aquatic organisms in accordance with ASTM D6081 and submit aquatic toxicity reports. Assess biodegradation in accordance with ASTM D5864. In accordance with EM 1110-2-1424 Chapter 8, aquatic toxicity shall exceed 1,000 ppm at LL50 and biodegradation shall exceed 60 percent conversion of carbon to carbon dioxide in 28 days.

2.1.3.2 Motor and Drive

Provide fan wheel directly coupled to motor shaft.

Provide electronically commutated motor with integrated electronic control board and direct microprocessor control signaling for speed control.

2.1.4 Cooling Coil and Integral Condensate Pump

Provide AHRI 410 coil and slope for drainage. Coil must be manufactured of seamless copper tubes with plate aluminum fins. Each coil, in the production process, must be individually tested at 320 psi with compressed air under water and verified to be air tight. Factory dehydrate and seal each coil after testing and prior to evaluation and charging. Provide hydronic coils complete with drain and vent connections. Provide condensate drain pan of minimum 22 gage Type 304 stainless steel with nonferrous connections, internal trap,, and a condensate pump system complete with integral pump discharge check valve, integral float switch, reservoir, and pump and motor assembly.

2.1.5 Filters

Provide filtration media with a Minimum Efficiency Reporting Value (MERV) of 8 as determined by ASHRAE 52.2. Provide one complete spare filter bank set per unit for installation prior to final acceptance testing covered in Part 3 of this section.

2.1.6 Reheat Coil

Provide electric reheat coils with low watts density. The electric reheat coils must be enclosed in 304 stainless steel tubes and 304 stainless steel fins. Provide modulating control of the electric reheat coils by Silicon Controlled Rectifier (SCR). Provide UL or ETL listed safety switches to protect system from overheating.

#### 2.2 SMALL COMPUTER ROOM AIR CONDITIONERS

Provide complete working CRACs, designed and factory assembled. Equipment must be listed in UL Elec Equip Dir or ETL DLP for computer room application. CRACs must have a minimum sensible coefficient of performance in accordance with ASHRAE 127. CRACs must include room cabinet and frame, fan, filter, cooling coil, , and controls, as indicated on the drawings. Provide units rated in accordance with AHRI 1360.

2.2.1 System Configuration

Water Cooled: Provide an indoor unit for exposed application highwall type as indicated on drawings.

2.2.2 Cooling Coil Cabinet Construction

Provide cabinet and chassis constructed of heavy gauge galvanized steel with all service access from a single side of the unit. Mounting brackets must be integral to the cabinet. Internal cabinet insulation must meet ASHRAE 62.1 requirements for Mold Growth, Humidity & Erosion, tested per UL 181 and ASTM C1338 standards.

2.2.3 Air Distribution Components

Provide direct-drive fan assembly equipped with double-inlet blower, self-aligning ball bearings and lifetime lubrication. Fan motor must be permanent-split capacitor, high-efficiency type, equipped with two speeds for airflow modulation. The microprocessor controller must use the lower fan speed for precise dehumidification control. Fan speed must also be user selectable from the wall controller. System must be suitable for supply and return air plenum or ducted supply and return air distribution. Provide filter rack designed to accept 4 inch thick filters. Provide pleated filters with a MERV 8 rating in accordance with ASHRAE 52.2.

# 2.2.4 Chilled Water System Components

Provide a motorized, slow-close, two-position, chilled water control valve. Valve design pressure rating must be not less than 300 psig static pressure, with a maximum close-off pressure rating of not less than 60 psig.

Provide a cooling coil constructed of copper tubes and aluminum fins with integral drain and vent. The coil assembly must be mounted in a condensate drain pan with an internally trapped drain line. The

evaporator drain pan must include a factory-installed float switch to shut down the evaporator upon high water condition.

## 2.2.5 Electric Reheat

Provide factory mounted, 304/304 stainless steel, finned-tubular electric resistance heater. Reheat must be controlled by the integral unit controls to maintain room dry bulb temperature when dehumidification is required. Provide UL listed safety switch to protect the system from overheating. Provide a factory mounted ground current detector to shut-down the entire unit if a ground fault in the reheat system is detected. Provide Silicon Controlled Rectifier (SCR) controller to proportionally control the reheat elements to maintain the selected room temperature.

# 2.2.6 Controls

Provide remote mounted color touchscreen display for each unit. Provide remote mounted temperature and humidity sensor for each unit. Controls must be organized by menus with minimum menu selection of: Alarms, Event Log, Graphics, and Status Overview. The Graphics menu must display a minimum of the following: zone temperature and humidity, zone setpoints, fan status, and valve position. Controls must include a control system interface. The control system interface must meet DDC Hardware requirements of Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Integrate CRAC control into the HVAC control system defined in Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Refer to controls drawings for minimum points required to interface with the HVAC control system and EMCS.

## 2.3 INSTRUMENTATION AND CONTROLS

All controls provided under this section must comply with the requirements of Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

# 2.3.1 Unit Level Controls

Provide factory installed components and wiring to control a unit's basic functions and space ambient conditions including dehumidification at one factory installed and tested station. Controller modules must provide automatic centralized control of computer room critical equipment, simplifying emergency switching and unit testing. When the module recognizes an alarm condition, it must automatically switch to a stand-by device. User must be able to program a switching delay to allow time to correct emergency conditions. Provide modules with capability to balance the runtime of all connected air units. Provide clear, simplified instructions for programming and configuration of controllers, minimizing the chances of operator error. Provide an electronic temperature and humidity recorder, integral or external to the unit, readable to specified control accuracy, complete with supplies required for one year of operation. Controls must include a control system interface to an HVAC control system. The control system interface must meet DDC Hardware requirements of Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Unit controls must comply with the requirements of Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

#### 2.3.1.1 Display Panel

Provide color touchscreen display with graphical menu navigation. Display panel must include the following minimum data: power on, power off, unit in alarm, description of alarm, filter status, rack inlet temperature, room temperature, room relative humidity, event log, service contact information, and unit run hours. Display must have capability to set up password protection.

Provide the following minimum externally accessible controls at the unit: start and stop total system functions, silence audible alarm, main power disconnect.

# 2.3.1.2 Alarms

Display alarms on unit display panel. Alarm for the following: high and low space temperature, high and low space humidity, dirty filters, loss of airflow, loss of water flow, compressor high head pressure, custom alarms as indicated on the controls drawings, humidifier problems, and leak detection. Provide field accessible local audible alarm with silence pushbutton. Provide push-to-test lamps or all-lamp test pushbutton. CRACs must have local devices which provide signals for remote audible and visual alarming capability for the above specified alarm conditions.

## 2.3.1.3 Leak Detection

Provide drip pan below all piping in telecom and electrical rooms with rope moisture detection system. Leak detection system must interface with the associated CRAC control panel to alarm upon detection of moisture.

# 2.3.1.4 Factory Wired Components

Provide factory installed and wired chilled water valves. Valves must meet the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC.

Provide factory wired discharge air temperature sensor. Sensors must meet the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC.

2.3.2 Integration to HVAC control system and Basewide Utility Monitoring and Control System (EMCS)

Integrate CRAC control into the HVAC control system defined in Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Refer to controls drawings for minimum points required to interface with the HVAC control system and EMCS.

## 2.4 FACTORY PAINTING SYSTEMS

Provide manufacturer's standard factory painting. Certify that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the

specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. The factory painting system must be designed for the anticipated temperature service.

# 2.5 ELECTRICAL

Provide an integral electrical panel of similar construction to the unit cabinet. Within the electrical panel, provide a single point power connection terminal block and fused disconnect switch, . The electrical panel must provide at least amp Short Circuit Current Rating (SCCR). Refer to electrical drawings for Short Circuit Current Rating (SCCR).

#### 2.5.1 Electrical Motors, Controllers, Contactors, and Disconnects

Provide motors, controllers, disconnects and contactors with their respective pieces of equipment. Motors, controllers, disconnects and contactors must conform to and have electrical connections provided under Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide internal wiring for components of packaged equipment as an integral part of the equipment. Extended voltage range motors will not be permitted. Controllers and contactors must have a maximum of 120 volt control circuits, and must have auxiliary contacts for use with the controls provided. When motors and equipment provided are larger than sizes indicated, the cost of additional electrical service and related work must be included under the section that specified that motor or equipment. Power wiring and conduit for field installed equipment must be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

## 2.5.2 Electrical Installations

Conform to IEEE C2, NFPA 70, and requirements specified herein.

## 2.5.2.1 New Work

Provide electrical components of mechanical equipment, such as motors, motor starters (except starters/controllers which are indicated as part of a motor control center), control or push-button stations, float or pressure switches, solenoid valves, integral disconnects, and other devices functioning to control mechanical equipment, as well as control wiring and conduit for circuits rated 100 volts or less, to conform with the requirements of the section covering the mechanical equipment. Extended voltage range motors are not to be permitted. The interconnecting power wiring and conduit, control wiring rated 120 volts (nominal) and conduit, the motor control equipment forming a part of motor control centers, and the electrical power circuits must be provided under Division 26, except internal wiring for components of package equipment must be provided as an integral part of the equipment. When motors and equipment provided are larger than sizes indicated, provide any required changes to the electrical service as may be necessary and related work as a part of the work for the section specifying that motor or equipment.

2.5.2.2 Modifications to Existing Systems

Where existing mechanical systems and motor-operated equipment require modifications, provide electrical components under Division 26.

- 2.5.2.3 High Efficiency Motors
- 2.5.2.3.1 High Efficiency Single-Phase Motors

Unless otherwise specified, single-phase fractional-horsepower alternating-current motors must be high efficiency types corresponding to the applications listed in NEMA MG 11.

2.5.2.3.2 High Efficiency Polyphase Motors

Unless otherwise specified, polyphase motors must be selected based on high efficiency characteristics relative to the applications as listed in NEMA MG 10. Additionally, polyphase squirrel-cage medium induction motors with continuous ratings must meet or exceed energy efficient ratings in accordance with Table 12-6C of NEMA MG 1.

2.5.2.4 Three-Phase Motor Protection

Provide controllers for motors rated 1 horsepower and larger with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

2.5.3 Electrical Control Wiring

Provide control wiring under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Provide Space temperature control system drawings which include point-to-point electrical wiring diagrams.

2.6 HVAC WATER PIPING AND METAL DUCTWORK

Requirements for HVAC water piping and metal ductwork are specified in Section 23 05 15 COMMON PIPING FOR HVAC and Section 23 30 00 HVAC AIR DISTRIBUTION.

2.7 FIRE PROTECTION DEVICES

The requirements for duct smoke detectors are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

2.8 SOURCE QUALITY CONTROL

Provide factory test plans, factory test schedules, factory tests and factory test reports on each configuration of the CRACs .

2.8.1 Manufacturer's Factory Test Plans

For each configuration of the CRAC, submit a factory test plan which when followed during factory testing shall verify that the performance scheduled on the drawings is met by the produced CRAC models.

The manufacturer shall perform factory tests on the actual CRACs produced for this project. The test reports shall document the performance tests conducted on the factory assembled computer room air conditioning units.

Performance testing on the individual computer room air conditioning unit components, not factory assembled, is not acceptable.

Submit the required test plans for review and approval to the Contracting Officer at least 90 calendar days before scheduled factory test date.

# 2.8.1.1 Test Procedure

Indicate in each test plan the factory acceptance test procedures. Procedures shall be structured to test all modes of operation to confirm that the controls are performing in accordance with the intended sequence of control.

Controllers shall be verified to be properly calibrated and have the proper set point to provide stable control of their respective equipment.

Include in each test plan a detailed step-by-step procedure for testing automatic controls provided by the manufacturer.

# 2.8.1.2 Performance Variables

Each test plan shall list performance variables that are required to be measured or tested as part of the field test. Include in the performance variables list the performance indicated on the equipment schedules on the contract design drawings.

Manufacturer must provide with each test procedure a description of acceptable performance results that shall be verified. Manufacturer shall identify the acceptable limits or tolerances within which each tested performance variable shall acceptably operate.

## 2.8.1.3 Test Configuration

Plans shall indicate that tests are to be performed for a minimum of four continuous hours in a wet coil condition. If test period is interrupted, the four hour test period shall be started over. Each test plan shall be job specific and shall address the particular CRACs and particular conditions which exist with this contract. Generic or general preprinted test procedures are not acceptable. Tests shall include top air discharge configuration.

# 2.8.1.4 Tested Variables

Plans shall provide for air side testing which includes verification of the airflow, total static pressure; fan drive motor KW, amperage and RPM; and fan RPM. Provide entering air temperatures equal to those indicated on the CRAC schedules.

# 2.8.1.5 Thermal Testing

Plans shall provide thermal testing utilizing chilled water with temperatures equal to those indicated on the CRAC schedules. Thermal testing shall verify CRAC heating, sensible cooling, total cooling, and de humidifying performance scheduled on the contract drawings.

#### 2.8.1.6 Specialized Components

Include procedures for field testing and field adjusting specialized components, such as hot gas bypass control valves, or pressure valves.

#### 2.8.1.7 Factory Test For Sound Pressure Level

Determine the A-weighted sound pressure level for the indoor portion of each of the CRACs.

Each unit shall be mounted on a floor duplicating of the installation configuration indicated on the contract drawings. Unit shall be located at least 5 feet 6 inches from test room walls. No other equipment shall be operating in the test room during sound level testing of subject unit. Background sound levels shall be at least 10 dB below lowest sound pressure level measured on subject unit. Testing shall be conducted by using an ANSI Type 1 or 2 sound level meter located 3.3 feet from the unit under test and 3.3 feet above raised floor. Measure and record A-weighted sound pressure level on all four sides of unit.

#### 2.8.1.8 Factory Tests Reporting Forms

Each test plan shall include the required test reporting forms to be completed by the Contractor's testing representatives. Submit factory test reports, referencing each tested CRAC serial number, and receive approval before delivery of CRAC to the project site.

#### 2.8.2 Factory Tests

Conduct the factory testing in compliance with the Contracting Officer approved manufacturer's field test plan, and in accordance with additional field testing requirements specified herein. Record the required data using the test reporting forms approved of the approved field test plan. Conduct the test for each CRAC for the continuous test period in the approved test plan. A CRAC shutdown before the continuous test period is completed shall result in the test period being started again and run for the required duration.

#### 2.8.3 Deficiency Resolution

The test requirements shall be acceptably met; deficiencies identified during the tests shall be corrected in compliance with the manufacturer's recommendations and corrections tested as specified in the paragraph FACTORY TEST PLANS.

#### 2.8.4 Factory Test Reports

Use the test reporting forms approved in the factory test plan. Final test report forms shall be typed including data entries and remarks. Completed test report forms for each CRAC shall be reviewed, approved, and signed by the Manufacturer's test director.

# PART 3 EXECUTION

#### 3.1 INSTALLATION

#### 3.1.1 CRAC System

Installation of each CRAC system including equipment, materials, installation, workmanship, fabrication, assembly, erection, examination, inspection, and testing, must be in accordance with ASME B31.1, ASME B31.5, NFPA 70, as modified and supplemented by the requirements of this section and the CRAC manufacturer's written installation instructions. Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

# 3.1.2 Installation Instructions

Provide a manufacturer's installation manual for each type of CRAC.

#### 3.1.3 Operation and Maintenance Data

Submit Computer Room Air Conditioner Operation and Maintenance Data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

# 3.1.4 Connections to Existing Systems

Notify the Contracting Officer in writing at least 15 calendar days prior to the date the connections are required. Obtain approval before interrupting service. Provide materials required to make connections into existing systems and perform excavating, backfilling, compacting, and other incidental labor as required. Provide labor and tools for making actual connections to existing systems.

## 3.2 FIELD QUALITY CONTROL

Upon completion and before final acceptance of work, test each CRAC subsystem in service to demonstrate compliance with the contract requirements, including field testing specified below. Adjust controls and balance systems prior to final acceptance of completed systems. Test controls through every cycle of operation. Test safety controls to demonstrate performance of required function. Correct defects in work provided and repeat tests. Provide steam, fuel, water, electricity, instruments, connecting devices, and personnel for tests. Flush and clean piping before placing in operation. Clean equipment, piping, strainers, and ducts. Prior to commencement of field testing, remove all filters and provide new filters. Perform and document that proper Indoor Air Quality During Construction procedures have been followed; this includes providing documentation showing that after construction ends, and prior to occupancy, new filters were provided.

# 3.3 FIELD TESTING

Provide field test plans, field test schedule, field test and field test report on each of the CRAC. Field test each CRAC for Contracting Officer acceptance in accordance with the CRAC manufacturer's approved field test plan.

# 3.3.1 Manufacturer's Field Test Plans

Submit field test plans developed by the manufacturer for each CRAC; submit the field test plans at least 90 calendar days prior to planned date of the field test. Field test plans developed by the installing Contractor, or the equipment sales agency furnishing the CRAC, will not be acceptable.

The Contracting Officer will review and approve the field test plan for each of the listed CRACs prior to commencement of field testing of the equipment. The approved field test plans must be followed for the field

tests of the CRAC and test reporting.

# 3.3.1.1 Coordinated Testing

Indicate in each field test plan when work required by this section requires coordination with test work required by other specification sections. Provide test procedures for the simultaneous or integrated testing of: CRAC controls which interlock and interface with controls factory prewired; and external controls for the CRAC provided under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

#### 3.3.1.2 Prerequisite Testing

Each CRAC for which performance testing is dependent upon the completion of the work covered by Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC must have that work completed as a prerequisite to testing work under this section. Indicate in each field test plan when such prerequisite work is required.

# 3.3.1.3 Test Procedure

Indicate in each field test plan the CRAC manufacturer's published start-up, and field acceptance test procedures. Include in each test plan a detailed step-by-step procedure for testing automatic controls provided by the manufacturer.

Procedures must be structured to test the controls through all modes of control to confirm that the controls are performing with the intended sequence of control.

Controllers must be verified to be properly calibrated and have the proper set point to provide stable control of their respective equipment.

# 3.3.1.4 Performance Variables

Each test plan must list performance variables that are required to be measured or tested as part of the field test.

Include, in the listed performance variables, requirements indicated on the CRAC schedules on the design drawings. Manufacturer must provide, with each test procedure, a description of acceptable results that have been verified.

Manufacturer must identify the acceptable limits or tolerances within which each tested performance variable must acceptably operate.

#### 3.3.1.5 Test Configuration

Plans must indicate that tests are to be performed for a minimum of four continuous hours in a wet coil condition. If test period is interrupted, the four hour test period must be started over. Each test plan must be job specific and must address the particular CRACs and particular conditions which exist with this contract. Generic or general preprinted test procedures are not acceptable. Tests must include a top air discharge configuration

# 3.3.1.6 Tested Variables

Plans must provide for air side testing which includes verification of the

airflow, total static pressure; fan drive motor KW, amperage and RPM; and fan RPM. Provide entering air temperatures equal to those indicated on the CRAC schedules.

### 3.3.1.7 Thermal Testing

Plans must provide thermal testing utilizing chilled water with temperatures equal to those indicated on the CRAC schedules. Thermal testing must verify CRAC heating, sensible cooling, total cooling, and de humidifying performance scheduled on the contract drawings.

#### 3.3.1.8 Specialized Components

Include procedures for field testing and field adjusting specialized components, such as hot gas bypass control valves, or pressure valves.

#### 3.3.1.9 Field Test Reporting Forms

Each test plan must include the required test reporting forms to be completed by the Contractor's testing representatives.

#### 3.3.2 Field Test Schedule

Notify the Contracting Officer in writing at least 30 calendar days prior to the testing. Within 30 calendar days after acceptable completion of testing, submit each test report for the review and approval of the Contracting Officer.

### 3.3.3 Manufacturer's Test Representative

Provide a factory trained field test representative authorized by the CRAC manufacturer to oversee the complete execution of the field testing. This test representative must also review, approve, and sign the completed field test report. Signatures must be accompanied by the person's name typed.

Submit credentials of the manufacturer's field test representative proposed, including current telephone number, to the Contracting Officer for review and approval. Submit these credentials with the written advance notice of the field tests.

# 3.3.4 Field Tests

Conduct the field testing in compliance with the Contracting Officer approved manufacturer's field test plan, and in accordance with additional field testing requirements specified herein. Record the required data using the test reporting forms approved of the approved field test plan. Conduct the test for each CRAC for a continuous 24-hour test period. A CRAC shutdown before the continuous 24-hour test period is completed must result in the 24-hour test period being started again and run for the required duration.

### 3.3.5 Deficiency Resolution

The test requirements must be acceptably met; deficiencies identified during the tests must be corrected in compliance with the manufacturer's recommendations. Corrections must be tested again in compliance with the requirements specified in the paragraph FIELD TEST PLANS.

3.3.6 Field Test Reports

Use the test reporting forms approved in the field test plan. Final test report forms must be typed, including data entries and remarks. Completed test report forms for each CRAC must be reviewed, approved, and signed by the Contractor's test director and the QC manager.

# 3.4 INSTRUCTION TO GOVERNMENT PERSONNEL

Provide the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. Provide 4 hours of training for each type of CRAC specified.

-- End of Section --

### SECTION 25 05 11.21

### CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS FIRE AND LIFE SAFETY (FLS) 11/17

## PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only, and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at https://public.cyber.mil/stigs/downloads/. Not all control system components have applicable STIGs or SRGs.

#### 1.1 CONTROL SYSTEM APPLICABILITY

There are multiple versions of this Section associated with this project. Different versions have requirements applicable to different control systems. This specific Section applies only to the following control systems: Fire and Life Safety to include Fire Alarm Reporting System (FARS), Fire Suppression System (FSS) and Fire Pump Control System (FPCS).

### 1.2 RELATED REQUIREMENTS

All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

### 1.3 DEFINITIONS

### 1.3.1 Computer

As used in this Section, a computer is one of the following:

- a. a device running a non-embedded desktop or server version of Microsoft Windows
- b. a device running a non-embedded version of MacOS
- c. a device running a non-embedded version of Linux
- d. a device running a version or derivative of the Android OS, where Android is considered separate from Linux
- e. a device running a version of Apple iOS

### 1.3.2 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network

transceiver (and accompanying protocol implementation) can never be considered network connected. Note that a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

Any device that supports wireless communication is network connected, regardless of whether the device is communicating using wireless.

1.3.3 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

### 1.3.3.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts.

#### 1.3.3.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "username and password" structure).

#### 1.3.3.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

# 1.3.4 User Interface

Generally, a user interface is hardware on a device allowing user interaction with that device via input (buttons, switches, sliders, keyboard, touch screen, etc.) and a screen. There are three types of user interfaces defined in this Section: Limited Local User Interface, Full Local User Interface and Remote User Interface. In this Section, when the term "User Interface" is used without specifying which type, it refers only to Full Local User Interface and Remote User Interface (NOT to Limited Local User Interface).

# 1.3.4.1 Limited Local User Interface

A Limited Local User Interface is a user interface where the interaction is limited, fixed at the factory, and cannot be modified in the field. The user must be physically at the device to interact with it.

Examples of Limited Local User Interface include thermostats (Space Sensor Modules as defined in Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC).

## 1.3.4.2 Full Local User Interface

A Full Local User Interface is a user interface where the interaction and displays are field-configurable.

Examples of a Full Local User Interface include local applications on a

computer and user interfaces to Variable Speed Drives.

## 1.3.4.3 Remote User Interface

A Remote User Interface is a user interface on a Client device allowing user interaction with a different Server device. The user need not be physically at the Server device to interact with it.

Examples of Remote User Interfaces include web browsers and Local Display Panels as defined in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

#### 1.4 ADMINISTRATIVE REQUIREMENTS

## 1.4.1 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

- a. If requesting permission for wireless communication, the Wireless Communication Request submittal must be approved prior to control system device selection and integration.
- b. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and integration.
- c. If requesting permission for the use of a device with multiple IP connections, the Multiple IP Connection Device Request must be approved prior to control system device selection and integration.
- d. Wireless testing may be required as part of the control system testing. See requirements for the Wireless Communication Test Report submittal.
- e. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.
- f. If required to be submitted due to base-wide connectivity of the control system, the cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system functionality.
- g. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.
- e. Passwords must be coordinated with the indicated contact for the project site.
- f. If applicable, HTTP web server certificates must be obtained from the indicated contact for the project site.
- g. Contractor Computer Cybersecurity Compliance Statements for each contractor using contractor owned computers.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with and Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Wireless Communication Request; G

Device Account Lock Exception Request; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Cybersecurity Subject Matter Expert Qualifications; G

SD-02 Shop Drawings

Network Communication Report; G

Cybersecurity Riser Diagram; G

Control System Inventory Report; G

SD-03 Product Data

Control System Cybersecurity Documentation; G

SD-06 Test Reports

Wireless Communication Test Report; G

SD-07 Certificates

Software Licenses; G

SD-11 Closeout Submittals

Password Summary Report; G

Device Audit Record Upload Software; G

### 1.6 QUALITY CONTROL

1.6.1 Cybersecurity Subject Matter Expert Qualifications

For the positions listed below resumes should be submitted to the Government within 14 days after notice to proceed. All certifications must be in effect prior to being hired.

These positions may serve across the contract and provide on-site support as required.

### 1.6.2 Cybersecurity Subject Matter Expert

The individual will oversee all work within this specification. This position requires that the individual currently meets Information Assurance Manager Level II Certification in accordance with with DODD 8140 Cybersecurity Workforce Managment and DODI 8570 Information Workforce Improvement Program. Individuals for this position should have experience securing DoD Systems and with Risk Management Framework.

#### 1.7 CYBERSECURITY DOCUMENTATION

#### 1.7.1 Network Communication Report

{For Reference Only: This subpart (and its subparts) relates to CA-9; CCI-002102, CCI-002103, CCI-002104, CCI-002105 and also the submittal requirements associated with CM-6, CM-7 and SC-41}

Provide a network communication report. For each networked controller, document the communication characteristics of the controller including communication protocols, services used, and a general description of what information is communicated over the network. For each controller using IP, document all TCP and UDP ports used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication Report as an editable Microsoft Excel file.

# 1.7.2 Control System Inventory Report

{For Reference Only: This subpart (and its subparts) relates to CM-8(a), CP-12, SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398}

Provide a Control System Inventory report using the Inventory Spreadsheet listed under this Section at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic documenting all devices, including networked devices, network infrastructure devices, non-networked devices, input devices (e.g. sensors) and output devices (e.g. actuators). For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Report as an editable Microsoft Excel file.

### 1.7.3 Cybersecurity Riser Diagram

{For Reference Only: This subpart (and its subparts) relates to PL-2(a); CCI-003051, CCI-003053}

Provide a cybersecurity riser diagram of the complete control system including all network and controller hardware. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format overlayed on a facility schematic. 1.7.4 Control System Cybersecurity Documentation

This subpart (and its subparts) relates to SA-5 (a),(b),(c); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each control system device and software application.

1.7.4.1 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

- a. Documentation that describes secure configuration of the device {for reference only: relates to CCI-003124}.
- b. Documentation that describes secure installation of the device {for reference only: relates to CCI-003125}.
- c. Documentation that describes secure operation of the device {for reference only: relates to CCI-003124}.
- d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {for reference only: relates to CCI-003127}.
- e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {for reference only: relates to CCI-003128}.
- f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {for reference only: relates to CCI-003129}.
- g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.
- h. Documentation that describes user responsibilities in maintaining the security of the device {for reference only: relates to CCI-003131}.

### 1.8 SOFTWARE UPDATE LICENSING

{For Reference Only: This subpart (and its subparts) relates to SI-2
(a),(c); CCI-001227, CCI-002605}

In addition to all other licensing requirements, all software licensing must include licensing of the following software updates for a period of no less than 5 years:

- a. Security and bug-fix patches issued by the software manufacturer.
- b. Security patches to address any vulnerability identified in the National Vulnerability Database at <u>http://nvd.nist.gov</u> with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or

higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided.

#### 1.9 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

#### 1.9.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

## 1.9.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

# 1.9.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

#### 1.9.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

### 1.9.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic Each Statement must be signed by a cybersecurity representative for the relevant company.

### 1.9.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

### 1.9.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

### 1.9.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification

### 1.9.4 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.5 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

## 1.10 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 ACCESS CONTROL REQUIREMENTS

3.1.1 User Accounts

{For Reference Only: This subpart (and its subparts) relate to AC-3; CCI-000213.}

Any device supporting user accounts (either FULLY or MINIMALLY) must limit access to the device according to specified limitations for each account.

3.1.1.1 Default Requirements for Control System Devices

For control system devices where User Account requirements are not otherwise indicated in this Section:

a. Field devices with read-only full local user interfaces must at least

MINIMALLY support user accounts.

3.1.2 Permitted Actions Without Identification or Authentication

{For Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

- 3.2 CYBERSECURITY AUDITING
- 3.2.1 Time Stamps

{For Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890}

3.2.1.1 Control System Devices

Time stamp requirements for Control Systems are as indicated in the Control System specifications. Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks cannot drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

3.2.1.2 Default Requirements for Control System Devices

For control system devices where Time Stamps requirements are not otherwise indicated in this Section: Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

3.3 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Reference Only: This subpart (and its subparts), along with the network communication report submittal specified elsewhere in this section, relates to CM-6 (a), (c), CM-7, CM-7 (1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-001755, CCI-000381, CCI-000380, CCI-00382, CCI-001761, CCI-001762.}

Do not provide devices with user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

#### 3.3.1 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol, or use any protocol on ports other than those specified.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

### 3.4 IDENTIFICATION AND AUTHENTICATION

3.4.1 Authenticator Management

{For Reference Only: This subpart (and its subparts) relates to IA-5
(b),(c),(e),(g),(1),(11); CCI-001544, CCI-001989, CCI-000192, CCI-000193,
CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613,
CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000198,
CCI-000200, CCI-001618, CCI-002041}

- 3.4.1.1 Authentication Type
- 3.4.1.1.1 Default Requirements for Control System Devices

For control system devices where Authentication Type requirements are not otherwise indicated in this Section:

- a. Other devices which FULLY support accounts must use either password-based authentication or hardware token-based authentication.
- b. Devices MINIMALLY supporting accounts must use either password-based authentication or hardware token-based authentication.
- 3.4.1.2 Password-Based Authentication Requirements
- 3.4.1.2.1 Passwords for Devices Minimally Supporting Accounts

Devices minimally supporting accounts must support passwords with a minimum length of four characters.

3.4.1.2.2 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with the Government. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Do not provide the Password Summary Report in electronic format. Provide two hard copies of the Password Summary Report, each copy in its own sealed envelope.

3.5 EMERGENCY POWER

{For Reference Only: This subpart (and its subparts) relates to
PE-11,(1); CCI-02955, CCI-000961}

Emergency power is specified in the control system and equipment specifications.

# 3.6 DURABILITY TO VULNERABILITY SCANNING

3.6.1 Default Requirements for Control System Devices

Non-computer control system devices where Durability to Vulnerability Scanning requirements are not otherwise indicated in this Section are not required to respond to scans.

# 3.7 FIELD QUALITY CONTROL

# 3.7.1 Tests

In addition to testing and testing support required by other Sections, provide a minimum of 16 hours of technical support for cybersecurity testing of control systems.

-- End of Section --

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### SECTION 25 05 11.23

### CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS UTILITY MONITORING AND CONTROL SYSTEM (UMCS) 11/17

## PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only, and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at https://public.cyber.mil/stigs/downloads/. Not all control system components have applicable STIGs or SRGs.

#### 1.1 CONTROL SYSTEM APPLICABILITY

There are multiple versions of this Section associated with this project. Different versions have requirements applicable to different control systems. This specific Section applies only to the following control systems: Utility Monitoring Control System to include HVAC and Electrical Distribution Systems.

### 1.2 RELATED REQUIREMENTS

All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

### 1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. DEPARTMENT OF DEFENSE (DOD)

DODI 8551.01	(2014) Ports, Protocols, and Services Management (PPSM)
DTM 08-060	(2008) Policy on Use of Department of Defense (DoD) Information Systems - Standard Consent Banner and User Agreement

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 201-2 (2013) Personal Identity Verification (PIV) of Federal Employees and Contractors

#### 1.4 DEFINITIONS

## 1.4.1 Computer

As used in this Section, a computer is one of the following:

- a. a device running a non-embedded desktop or server version of Microsoft Windows
- b. a device running a non-embedded version of MacOS
- c. a device running a non-embedded version of Linux
- d. a device running a version or derivative of the Android OS, where Android is considered separate from Linux
- e. a device running a version of Apple iOS

### 1.4.2 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

Any device that supports wireless communication is network connected, regardless of whether the device is communicating using wireless.

1.4.3 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

#### 1.4.3.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts.

#### 1.4.3.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "user name and password" structure).

### 1.4.3.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.4.4 User Interface

Generally, a user interface is hardware on a device allowing user interaction with that device via input (buttons, switches, sliders,

keyboard, touch screen, etc.) and a screen. There are three types of user interfaces defined in this Section: Limited Local User Interface, Full Local User Interface and Remote User Interface. In this Section, when the term "User Interface" is used without specifying which type, it refers only to Full Local User Interface and Remote User Interface (NOT to Limited Local User Interface).

1.4.4.1 Limited Local User Interface

A Limited Local User Interface is a user interface where the interaction is limited, fixed at the factory, and cannot be modified in the field. The user must be physically at the device to interact with it.

Examples of Limited Local User Interface include thermostats (Space Sensor Modules as defined in Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC).

1.4.4.2 Full Local User Interface

A Full Local User Interface is a user interface where the interaction and displays are field-configurable.

Examples of a Full Local User Interface include local applications on a computer and user interfaces to Variable Speed Drives.

1.4.4.3 Remote User Interface

A Remote User Interface is a user interface on a Client device allowing user interaction with a different Server device. The user need not be physically at the Server device to interact with it.

Examples of Remote User Interfaces include web browsers and Local Display Panels as defined in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

#### 1.5 ADMINISTRATIVE REQUIREMENTS

### 1.5.1 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

- a. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and integration.
- b. If requesting permission for the use of a device with multiple IP connections, the Multiple IP Connection Device Request must be approved prior to control system device selection and integration.
- c. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.
- d. Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system

functionality.

- e. Passwords must be coordinated with the indicated contact for the project site.
- f. If applicable, HTTP web server certificates must be obtained from the indicated contact for the project site.
- g. Contractor Computer Cybersecurity Compliance Statements for each contractor using contractor owned computers.
- 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Device Account Lock Exception Request; G

Multiple IP Connection Device Request; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Cybersecurity Subject Matter Expert Qualifications; G, RO

## SD-02 Shop Drawings

User Interface Banner Schedule; G

Network Communication Report; G

Cybersecurity Riser Diagram; G

Control System Inventory Report; G

Cybersecurity Interconnection Schedule; G

SD-03 Product Data

Control System Cybersecurity Documentation; G

#### SD-07 Certificates

Software Licenses; G

#### SD-11 Closeout Submittals

Password Summary Report; G

Software Recovery And Reconstitution Images; G

Device Audit Record Upload Software; G

Completed Security Technical Implementation Guides Checklist; G, RO

Completed NESSUS/ACAS Scan Results; G, RO

#### 1.7 QUALITY CONTROL

1.7.1 Cybersecurity Subject Matter Expert Qualifications

For the positions listed below resumes should be submitted to the Government within 14 days after notice to proceed. All certifications must be in effect prior to being hired.

These positions may serve across the contract and provide on-site support as required.

1.7.2 Cybersecurity Subject Matter Expert

The individual will oversee all work within this specification. This position requires that the individual currently meets Information Assurance Manager Level II Certification in accordance with DODI 8570 Information Workforce Improvement Program.

Individuals for this position should have experience securing DoD Systems and with Risk Management Framework.

- 1.8 CYBERSECURITY DOCUMENTATION
- 1.8.1 Cybersecurity Interconnection Schedule

{For Reference Only: This subpart (and its subparts) relates to CA-3(b), CCI-00258}

Provide a completed Cybersecurity Interconnection Schedule documenting connections between the installed system and other systems. Provide the following information for each device communicating between systems: Device Identifier, Device Description, Transport layer Protocol, Network Address, Port (if applicable), MAC (Layer 2) address (if applicable), Media, Application Protocol, Service (if applicable), Descriptive Purpose of communication. For communication with other authorized systems also provide the Foreign Destination and POC for Destination. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Cybersecurity Interconnection Schedule as an editable Microsoft Excel file (a template Cybersecurity Interconnection Schedule in Excel format is available at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic

#### 1.8.2 Network Communication Report

{For Reference Only: This subpart (and its subparts) relates to CA-9; CCI-002102, CCI-002103, CCI-002104, CCI-002105 and also the submittal requirements associated with CM-6, CM-7 and SC-41}

Provide a network communication report. For each networked controller, document the communication characteristics of the controller including communication protocols, services used, and a general description of what

information is communicated over the network. For each controller using IP, document all TCP and UDP ports used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication Report as an editable Microsoft Excel file.

# 1.8.3 Control System Inventory Report

{For Reference Only: This subpart (and its subparts) relates to CM-8(a), CP-12, SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-002855, CCI-002856, CCI-002857, CCI-002773, CCI-002774, CCI-002775, CCI-000777, CCI-000778, CCI-001958}

Provide a Control System Inventory report using the Inventory Spreadsheet listed under this Section at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic documenting all devices, including networked devices, network infrastructure devices, non-networked devices, input devices (e.g.

sensors) and output devices (e.g. actuators). For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Report as an editable Microsoft Excel file.

1.8.4 Software Recovery and Reconstitution Images

{For Reference Only: This subpart (and its subparts) relates to CP-10; CCI-000550, CCI-000551, CCI-000552}

For each computer on which software is installed under this project, provide a recovery image of the final as-built computer. This image must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation of software.

1.8.5 Cybersecurity Riser Diagram

{For Reference Only: This subpart (and its subparts) relates to PL-2(a); CCI-003053}

Provide a cybersecurity riser diagram of the complete control system including all network and controller hardware. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format overlayed on a facility schematic.

1.8.6 Control System Cybersecurity Documentation

This subpart (and its subparts) relates to SA-5 (a),(b),(c); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

## 1.8.6.1 Software Applications

For all software applications running on computers provide:

- a. administrator documentation that describes secure configuration of the software {relates to CCI-003124}
- b. administrator documentation that describes secure installation of the software {relates to CCI-003125}
- c. administrator documentation that describes secure operation of the software {relates to CCI-003124}
- d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {relates to CCI-003127}
- e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {relates to CCI-003128}
- f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {relates to CCI-003129}
- g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {relates to CCI-003130}
- h. user documentation that describes user responsibilities in maintaining the security of the software {relates to CCI-003131}
- 1.8.6.2 For HVAC Control System Devices
- 1.8.6.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device {for reference only: relates to CCI-003124}.
- b. Documentation that describes secure operation of the device {for reference only: relates to CCI-003124}.
- c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {for reference only: relates to CCI-003127}.
- d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {for reference only: relates to CCI-003128}.
- e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {for reference only: relates to CCI-003129}.

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.

1.8.6.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {for reference only: relates to CCI-003124}.
- b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {for reference only: relates to CCI-003127}.
- c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.

1.8.6.3 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

- a. Documentation that describes secure configuration of the device {for reference only: relates to CCI-003124}.
- b. Documentation that describes secure installation of the device {for reference only: relates to CCI-003125}.
- c. Documentation that describes secure operation of the device {for reference only: relates to CCI-003124}.
- d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {for reference only: relates to CCI-003127}.
- e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {for reference only: relates to CCI-003128}.
- f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {for reference only: relates to CCI-003129}.
- g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.
- h. Documentation that describes user responsibilities in maintaining the security of the device {for reference only: relates to CCI-003131}.

1.8.7 Proposed Security Technical Implementation Guides

Provide a proposed list of Security Technical Implementation Guides (STIGS) matched to the corresponding hardware/software as well as a completed hardware/software list for the control system devices.

The proposed STIG list should include all operating system, application, network and other hardware devices. If there is no corresponding STIG for a device or application and there is a generic STIG that can be applied list that generic STIG.

#### 1.9 SOFTWARE UPDATE LICENSING

{For Reference Only: This subpart (and its subparts) relates to SI-2
(a),(c); CCI-001227, CCI-002605}

In addition to all other licensing requirements, all software licensing must include licensing of the following software updates for a period of no less than 5 years:

- a. Security and bug-fix patches issued by the software manufacturer.
- b. Security patches to address any vulnerability identified in the National Vulnerability Database at <u>http://nvd.nist.gov</u> with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided.

## 1.10 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

### 1.10.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

### 1.10.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

# 1.10.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

1.10.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.10.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic Each Statement must be signed by a cybersecurity representative for the relevant company.

1.10.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.10.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.10.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification

1.10.4 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.10.5 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at <a href="http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic">http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic</a> Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.11 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government. PART 2 PRODUCTS

Not Used.

- PART 3 EXECUTION
- 3.1 ACCESS CONTROL REQUIREMENTS
- 3.1.1 User Accounts

{For Reference Only: This subpart (and its subparts) relate to AC-2(a)and AC-3; CCI-002110, CCI-000213.}

Any device supporting user accounts (either FULLY or MINIMALLY) must limit access to the device according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

3.1.1.1 Computers

All computers must FULLY support user accounts.

3.1.1.2 For HVAC Control System Devices

Devices with web interfaces must either FULLY support user accounts or have their web interface disabled. Field devices with full local user interfaces allowing modification of data must at least MINIMALLY support user accounts.

## 3.1.1.3 Default Requirements for Control System Devices

{For Reference Only: This subpart (and its subparts) relate AC-7 (a), AC-7(b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237,CCI-002238}

For control system devices where User Account requirements are not otherwise indicated in this Section:

- a. Devices with web interfaces must either FULLY support user accounts or have their web interface disabled.
- b. Field devices with full local user interfaces allowing modification of data must FULLY support user accounts.
- c. Field devices with read-only full local user interfaces must at least MINIMALLY support user accounts.
- 3.1.2 Unsuccessful Logon Attempts

{For Reference Only: This subpart (and its subparts) relate AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts.

#### 3.1.2.1 Devices MINIMALLY Supporting Accounts

Devices which MINIMALLY support accounts must lock the user input after three unsuccessful logon attempts and must support unlocking of the user input when unlocked by an administrator.

### 3.1.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements. If a device cannot meet these requirements, document device capabilities to protect from subsequent unsuccessful logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures without explicit permission from the Government.

- a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.
- b. Once an account is locked, the account must stay locked until unlocked by an administrator.
- c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.1.2.3 High Availability Interfaces Exempt from Unsuccessful Logon Attempts Requirements

There are no high availability interfaces that are exempt from unsuccessful logon attempt requirements.

## 3.1.3 System Use Notification

{For Reference Only: This subpart (and its subparts) relates to AC-8; CCI-000048, CCI-002247, CCI-002243, CCI-002244, CCI-002245, CCI-002246, CCI-000050, CCI-002248}

Web interfaces must display a warning banner meeting the requirements of DTM 08-060.

Devices which are connected to a network and have a user interface must display a warning banner meeting the requirements of DTM 08-060 if capable of doing so. Devices which are connected to a network and have a user interface but are not capable of displaying a banner must have a permanently affixed label displaying an approved banner from DTM 08-060.Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contract between font and background colors.

## 3.1.3.1 User Interface Banner Schedule

Provide a User Interface Schedule using the format indicated showing each user interface provided and how the information banner requirement has been implemented for each user interface.

User Interface Schedule Format (with sample entries)			
User Interface Description	User Interface Location	Type of User Interface	Banner Implementation
Sample 1	Room 1	Remote	DTM 08-060 Banner "A" Displayed at Logon
Sample 2	Room 2	Limited Local	DTM 08-060 Banner "B" on Affixed Label
Sample 3	Room 3	Full Local	DTM 08-060 Banner "B" Displayed on Screen

#### 3.1.4 Wireless Access

{For Reference Only: This subpart (and its subparts) relates to AC-18; CCI-001438, CCI-001439, CCI-002323, CCI-001441}

Unless explicitly authorized by the Government, do not use any wireless communication. Any device with wireless communication capability is considered to be using wireless communication, regardless of whether or not the device is actively communicating wirelessly, except when wireless communication has been physically permanently disabled (such as through the removal of the wireless transceiver).

3.1.4.1 Wireless IP Communications

Do not install wireless IP networks, including: do not install a wireless access point; do not install or configure an ad-hoc wireless network; do not install or configure a WiFi Direct communication.

When explicitly authorized by the Government, wireless IP communication may be used to communicate with an existing wireless network.

3.1.4.2 Non-IP Wireless Communication

When non-IP wireless communication is explicitly authorized by the Government, use the maximum level of encryption supported by the specific protocol employed and select signal strength and radiated power to the minimum necessary for reliable communication.

### 3.2 CYBERSECURITY AUDITING

3.2.1 Audit Events, Content of Audit Records, and Audit Generation

{For Reference Only: This subpart (and its subparts) relates to
AU-2(a),(c),(d), AU-3, AU-12; CCI-000123, CCI-001571, CCI-000130,
CCI-000131, CCI-000132, CCI-00133, CCI-000134, CCI-001487, CCI-000169,
CCI-001459, CCI-000172}

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those STIG/SRGs.

3.2.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event

3.2.1.1.1 Audited Events

Configure each computer to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Privileged activities or other system level access
- d. Starting and ending time for user access to the system
- e. Concurrent logons from different workstations
- f. Successful and unsuccessful accesses to objects
- g. All program initiations
- h. All direct access to the information system
- i. All account creations, modifications, disabling, and terminations
- j. All kernel module load, unload, and restart
- 3.2.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

- a. What type of event occurred
- b. When the event occurred
- c. Where the event occurred
- d. The source of the event
- e. The outcome of the event
- f. The identity of any individuals or subjects associated with the event
- 3.2.1.2 For HVAC Control System Devices
- 3.2.1.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For devices FULLY supporting accounts, provide the capability to select audited events, and the contents of audit logs. Configure devices to audit the following events:

a. Successful and unsuccessful logon attempts to the device

- b. Starting and ending time for user access to the device
- c. All account creations, modifications, disabling, and terminations
- d. All device shutdown and startup

Configure the device to record for each event the following information (as applicable): the type of event, when the event occurred and the identity of any individuals or subjects associated with the event

3.2.1.2.2 Other HVAC Control System Devices

There are no requirements to perform auditing at HVAC field devices that do not FULLY support accounts.

3.2.1.3 Default Requirements for Control System Devices

For control system devices where Audit Events, Content of Audit Records, and Audit Generation are not otherwise indicated in this Section:

3.2.1.3.1 Devices Which FULLY Support Accounts

For each device which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure devices to audit the indicated events, and to record the indicated information for each auditable event

3.2.1.3.1.1 Audited Events

Configure each device to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Privileged activities or other system level access
- d. Starting and ending time for user access to the system
- e. Concurrent logons from different workstations
- f. All account creations, modifications, disabling, and terminations
- g. All kernel module load, unload, and restart
- 3.2.1.3.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

- a. what type of event occurred
- b. when the event occurred
- c. where the event occurred
- d. the source of the event

e. the outcome of the event

- f. the identity of any individuals or subjects associated with the event
- 3.2.1.3.2 Devices Which Do Not FULLY Support Accounts

For each Device which does not FULLY support accounts configure the device to audit all device shutdown and startup events and to record for each event the type of event and when the event occurred.

3.2.2 Audit Storage Capacity and Audit Upload

{For Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849}

- a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.
- b. For non-computer control system devices capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.
- c. For computers, provide storage for audit records in conformance with applicable STIG/SRGs.

3.2.2.1 Device Audit Record Upload Software

For each non-computer device required to audit events, provide, and license to the Government, software implementing a secure mechanism of uploading audit records from the device to a computer and of exporting the audit records as a comma separated value text file. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

Submit copies of device audit record upload software. If there are no non-computer devices requiring auditing, provide a document stating this in lieu of this submittal.

3.2.3 Response to Audit Processing Failures

{For Reference Only: This subpart (and its subparts) relates to AU-5; CCI-000139, CCI-000140}.

Front end computers associated with auditing must, in the case of a failure in the auditing system, display an error message on the system screen. In case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

3.2.4 Time Stamps

{For Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890}

3.2.4.1 Computers

Computers generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks must not drift more

than 10 seconds per day.

Configure the system so that each computer generating audit records maintains accurate time to within 1 second.

3.2.4.2 For HVAC Control System Devices

Time stamp requirements for HVAC Control Systems are as indicated in the Control System specifications.

3.2.4.3 Control System Devices

Time stamp requirements for Control Systems are as indicated in the Control System specifications.Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks cannot drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

3.2.4.4 Default Requirements for Control System Devices

For control system devices where Time Stamps requirements are not otherwise indicated in this Section: Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

3.3 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Reference Only: This subpart (and its subparts), along with the network communication report submittal specified elsewhere in this section, relates to CM-6 (a), (c), CM-7, CM-7 (1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-000381, CCI-000380, CCI-00382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546.}

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

For HVAC Control Systems: Do not provide devices with user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Other Control Systems: Do not provide devices with user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

### 3.3.1 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol, or use any protocol on ports other than those specified.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

## 3.3.2 IP Control Networks

Do not use nonsecure functions, ports, protocols and services as defined in DODI 8551.01 unless those ports, protocols and services are specifically required by the control system specifications or otherwise specifically authorized by the Government. Do not use ports, protocols and services that are not specified in the control system specifications or required for operation of the control system.

#### 3.4 SAFE MODE AND FAIL SAFE OPERATION

{For Reference Only: This subpart (and its subparts) relates to CP-12, SI-17; CCI-002855, CCI-002856, CCI-002857}

For all control system components with an applicable STIG or SRG, configure the component in accordance with all applicable STIGs and SRGs.

### 3.5 IDENTIFICATION AND AUTHENTICATION

3.5.1 User Identification and Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-2,(1),(12); CCI-000764, CCI-000765, CCI-001953, CCI-001954}

- a. Devices that FULLY support accounts must uniquely identify and authenticate organizational users.
- b. Devices which allow network access to privileged accounts must implement multifactor authentication for network access to privileged accounts.
- 3.5.1.1 HVAC Control Systems Devices

Identification and Authentication for network access to privileged accounts must be implemented by either accepting and electronically verify Personal Identity Verification (PIV) credentials or inheriting identification and authentication from the operating system.

3.5.1.2 Default Requirements for Control System Devices

For control system devices where User Identification and Authentication requirements are not otherwise indicated in this Section, User Identification and Authentication for network access to privileged accounts must be implemented by accepting and electronically verify Personal Identity Verification (PIV) credentials or inheriting identification and authentication from the operating system.

#### 3.5.2 Authenticator Management

For Reference Only: This subpart (and its subparts) relates to IA-5 (b),(c),(e),(g),(1),(11); CCI-001544, CCI-001989, CCI-000182, CCI-000192, CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000199, CCI-000198, CCI-000200, CCI-001618, CCI-002041, CCI-002002, CCI-002003

3.5.2.1 Authentication Type

3.5.2.1.1 For HVAC Control System Devices

Unless otherwise indicated:

- a. Software which FULLY supports accounts and which runs on a computer must use password-based authentication or hardware token-based authentication.
- b. Other devices which FULLY support accounts must use password-based authentication.
- c. Devices MINIMALLY supporting accounts must use password-based authentication.

3.5.2.1.2 Default Requirements for Control System Devices

For control system devices where Authentication Type requirements are not otherwise indicated in this Section:

- a. Other devices which FULLY support accounts must use either password-based authentication or hardware token-based authentication.
- b. Devices MINIMALLY supporting accounts must use either password-based authentication or hardware token-based authentication.
- 3.5.2.2 Password-Based Authentication Requirements
- 3.5.2.2.1 Passwords for Computers

All computers supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a minimum lifetime of 24 hours.
- g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.
- i. Passwords must be cryptographically protected during storage and transmission.

3.5.2.2.2 Passwords for Non-Computer Devices FULLY Supporting Accounts

All non-computer devices FULLY supporting accounts and supporting

password-based authentication must enforce the following requirements:

- a. Minimum password length of twelve (12) characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five (5) passwords, where differ is defined as changing at least fifty percent of the characters.
- h. Passwords must be cryptographically protected during storage and transmission.
- 3.5.2.2.3 Passwords for Web Interfaces

Passwords for connecting to a web interface supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.
- h. Passwords must be cryptographically protected during storage and transmission.
- 3.5.2.2.4 Passwords for Devices Minimally Supporting Accounts

Devices minimally supporting accounts must support passwords with a minimum length of four characters.

### 3.5.2.2.5 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with ISSM. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each

device.

Do not provide the Password Summary Report in electronic format. Provide two hard copies of the Password Summary Report, each copy in its own sealed envelope.

# 3.5.2.3 Hardware Token-Based Authentication Requirements

Devices supporting hardware token-based authentication must use Personal Identity Verification (PIV) credentials for the hardware token.

3.5.3 Authenticator Feedback

{For Reference Only: This subpart relates to IA-6; CCI-000206}

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback (CCI-000206), comply with the requirements of those STIGS/SRGs.

3.5.4 Device Identification and Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958}

All web servers running on computers must use HTTPS and must implement HTTPS using web server certificates obtained from the Government.

#### 3.5.4.1 Default Requirements for Control System Devices

For control system devices where Device Identification and Authentication requirements are not otherwise indicated in this Section: Devices using HTTP as a control protocol must use HTTPS using a web server certificate obtained from the Government.

3.5.5 Cryptographic Module Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-7; CCI-000803}

For devices that have STIG/SRGs related to cryptographic module authentication (CCI-000803), comply with the requirements of those STIG/SRGs.

### 3.6 EMERGENCY POWER

{For Reference Only: This subpart (and its subparts) relates to
PE-11,(1); CCI-02955, CCI-000961}

Emergency power is specified in the control system and equipment specifications.

3.7 DURABILITY TO VULNERABILITY SCANNING

{For Reference Only: This subpart (and its subparts) relates to RA-5
(a),(b),(c),(d); CCI-001054, CCI-001055, CCI-0010156, CCI-001641,
CCI-001643, CCI-001059}

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

Computers must respond to scans from Assured Compliance Assessment Solution (ACAS) by responding with a valid credentialed scan. For control system devices other than computers:

## 3.7.1 HVAC Control System Devices Other Than Computers

HVAC control system devices other than computers are not required to respond to scans.

3.7.2 Default Requirements for Control System Devices

Non-computer control system devices where Durability to Vulnerability Scanning requirements are not otherwise indicated in this Section are not required to respond to scans.

3.8 FIPS 201-2 REQUIREMENT

{For Reference Only: This subpart (and its subparts) relates to SA-4
(10); CCI-003116}

Devices in the following systems which implement PIV must be on the NIST FIPS 201-2 approved product list.

#### 3.9 DEVICES WITH CONNECTION TO MULTIPLE IP NETWORKS

Except for Ethernet switches, do not use more than one physical connection to IP networks on the same device unless doing so is both required by the project specifications and the specific application is approved. If a device with multiple IP connections is required, provide a Multiple IP Connection Device Request using the Multiple IP Connection Device Request Schedule at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic
to request approval for each device.

### 3.10 SYSTEM AND COMMUNICATION PROTECTION

3.10.1 Denial of Service Protection, Process Isolation and Boundary Protection

{For Reference Only: This subpart (and its subparts) relates to SC-5, SC-39, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-002430}

To the greatest extent practical, implement control logic in non-computer hardware and without reliance on the network.

### 3.10.2 Cryptographic Protection

{For Reference Only: This subpart (and its subparts) relates to SC-13; CCIs: CCI-002449, CCI-002450}

For devices that have STIG/SRGs related to cryptographic protection (CCI-002450), comply with the requirements of those STIG/SRGs. Ensure that all network traffic is encrypted using NSA-approved cryptography; provision of digital signatures and hashing, and FIPS-validated cryptography.

# 3.11 FIELD QUALITY CONTROL

3.11.1 Completed Security Technical Implementation Guides Checklist

Provide the completed Security Technical Implementation Guides (STIGS) Checklist that were applied to the system. For any checklist item that could not be completed provide documentation indicating the reason as to why and any remediation applied to reduce risk.

The completed check list can be provided in electronic format that is password protected. Provide 2 copies of the passwords in written format in sealed envelops or in another separate electronic means.

# 3.11.2 Completed NESSUS/ACAS Scan Results

Provide final completed NESSUS/ACAS scan results. All scans should be completed with the latest definition files. All operating system software should be the latest supported version at the installation. The vendor should remediate all findings. Any finding that cannot be remediated will need to be documented as to why along with any remediation applied to reduce the risk of the open finding.

The completed scan results should be provided in electronic format. Provide 2 copies of the passwords in written format in a sealed envelop or in another separate electronic means.

# 3.11.3 Tests

In addition to testing and testing support required by other Sections, provide a minimum of 40 hours of technical support for cybersecurity testing of control systems.

-- End of Section --

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# SECTION 25 05 11.26

# CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS UTILITY CONTROL SYSTEM (UCS) 11/17

# PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only, and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are are available online at <u>https://public.cyber.mil/stigs/downloads/</u>. Not all control system components have applicable STIGs or SRGs.

#### 1.1 CONTROL SYSTEM APPLICABILITY

There are multiple versions of this Section associated with this project. Different versions have requirements applicable to different control systems. This specific Section applies only to the following control systems: Utility Control System including Building Automation System EEDRS - Water, Electric, Steam and chilled water BTU Meters.

## 1.2 RELATED REQUIREMENTS

All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

# 1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 201-2	(2013) Personal Identity Verification (PIV) of Federal Employees and Contractors
U.S. DEPARTMENT OF DEFE	INSE (DOD)
DODI 8551.01	(2014) Ports, Protocols, and Services Management (PPSM)
DTM 08-060	(2008) Policy on Use of Department of Defense (DoD) Information Systems -

Standard Consent Banner and User Agreement

#### 1.4 DEFINITIONS

# 1.4.1 Computer

As used in this Section, a computer is one of the following:

- a. a device running a non-embedded desktop or server version of Microsoft Windows
- b. a device running a non-embedded version of MacOS
- c. a device running a non-embedded version of Linux
- d. a device running a version or derivative of the Android OS, where Android is considered separate from Linux
- e. a device running a version of Apple iOS

#### 1.4.2 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

Any device that supports wireless communication is network connected, regardless of whether the device is communicating using wireless.

1.4.3 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

#### 1.4.3.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts.

#### 1.4.3.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "user name and password" structure).

#### 1.4.3.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.4.4 User Interface

Generally, a user interface is hardware on a device allowing user interaction with that device via input (buttons, switches, sliders,

keyboard, touch screen, etc.) and a screen. There are three types of user interfaces defined in this Section: Limited Local User Interface, Full Local User Interface and Remote User Interface. In this Section, when the term "User Interface" is used without specifying which type, it refers only to Full Local User Interface and Remote User Interface (NOT to Limited Local User Interface).

1.4.4.1 Limited Local User Interface

A Limited Local User Interface is a user interface where the interaction is limited, fixed at the factory, and cannot be modified in the field. The user must be physically at the device to interact with it.

Examples of Limited Local User Interface include thermostats (Space Sensor Modules as defined in Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC).

1.4.4.2 Full Local User Interface

A Full Local User Interface is a user interface where the interaction and displays are field-configurable.

Examples of a Full Local User Interface include local applications on a computer and user interfaces to Variable Speed Drives.

1.4.4.3 Remote User Interface

A Remote User Interface is a user interface on a Client device allowing user interaction with a different Server device. The user need not be physically at the Server device to interact with it.

Examples of Remote User Interfaces include web browsers and Local Display Panels as defined in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

#### 1.5 ADMINISTRATIVE REQUIREMENTS

# 1.5.1 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

- a. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and integration.
- b. If requesting permission for the use of a device with multiple IP connections, the Multiple IP Connection Device Request must be approved prior to control system device selection and integration.
- c. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.
- d. Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system

functionality.

- e. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.
- f. Passwords must be coordinated with the indicated contact for the project site.
- g. If applicable, HTTP web server certificates must be obtained from the indicated contact for the project site.
- h. Contractor Computer Cybersecurity Compliance Statements for each contractor using contractor owned computers.

# 1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Device Account Lock Exception Request; G

Multiple IP Connection Device Request; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Cybersecurity Subject Matter Expert Qualifications; G

SD-02 Shop Drawings

User Interface Banner Schedule; G

Network Communication Report; G

Cybersecurity Riser Diagram; G

Control System Inventory Report; G

Cybersecurity Interconnection Schedule; G

SD-03 Product Data

Control System Cybersecurity Documentation; G

SD-07 Certificates

Software Licenses; G

# SD-11 Closeout Submittals

Password Summary Report; G

Software Recovery And Reconstitution Images; G

Device Audit Record Upload Software; G

Completed Security Technical Implementation Guides Checklist; G

Completed NESSUS/ACAS Scan Results; G

# 1.7 QUALITY CONTROL

1.7.1 Cybersecurity Subject Matter Expert Qualifications

For the positions listed below resumes should be submitted to the Government within 14 days after notice to proceed. All certifications must be in effect prior to being hired.

These positions may serve across the contract and provide on-site support as required.

1.7.2 Cybersecurity Subject Matter Expert

The individual will oversee all work within this specification. This position requires that the individual currently meets Information Assurance Manager Level II Certification in accordance with DODI 8570 Information Workforce Improvement Program.

Individuals for this position should have experience securing DoD Systems and with Risk Management Framework.

- 1.8 CYBERSECURITY DOCUMENTATION
- 1.8.1 Cybersecurity Interconnection Schedule

{For Reference Only: This subpart (and its subparts) relates to CA-3(b), CCI-00258}

Provide a completed Cybersecurity Interconnection Schedule documenting connections between the installed system and other systems. Provide the following information for each device communicating between systems: Device Identifier, Device Description, Transport layer Protocol, Network Address, Port (if applicable), MAC (Layer 2) address (if applicable), Media, Application Protocol, Service (if applicable), Descriptive Purpose of communication. For communication with other authorized systems also provide the Foreign Destination and POC for Destination. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Cybersecurity Interconnection Schedule as an editable Microsoft Excel file (a template Cybersecurity Interconnection Schedule in Excel format is available at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic

1.8.2 Network Communication Report

{For Reference Only: This subpart (and its subparts) relates to CA-9; CCI-002102, CCI-002103, CCI-002104, CCI-002105 and also the submittal requirements associated with CM-6, CM-7 and SC-41}

Provide a network communication report. For each networked controller, document the communication characteristics of the controller including communication protocols, services used, and a general description of what information is communicated over the network. For each controller using IP, document all TCP and UDP ports used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication Report as an editable Microsoft Excel file.

# 1.8.3 Control System Inventory Report

{For Reference Only: This subpart (and its subparts) relates to CM-8(a), CP-12, SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-002855, CCI-002856, CCI-002857, CCI-002773, CCI-002774, CCI-002775, CCI-000777, CCI-000778, CCI-001958}

Provide a Control System Inventory report using the Inventory Spreadsheet listed under this Section at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic documenting all devices, including networked devices, network infrastructure devices, non-networked devices, input devices (e.g. sensors) and output devices (e.g. actuators). For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Report as an editable Microsoft Excel file.

# 1.8.4 Software Recovery and Reconstitution Images

{For Reference Only: This subpart (and its subparts) relates to CP-10; CCI-000550, CCI-000551, CCI-000552}

For each computer on which software is installed under this project, provide a recovery image of the final as-built computer. This image must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation of software.

#### 1.8.5 Cybersecurity Riser Diagram

{For Reference Only: This subpart (and its subparts) relates to PL-2(a); CCI-003051, CCI-003053}

Provide a cybersecurity riser diagram of the complete control system including all network and controller hardware. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format overlayed on a facility schematic.

1.8.6 Control System Cybersecurity Documentation

This subpart (and its subparts) relates to SA-5 (a),(b),(c); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131} Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

1.8.6.1 Software Applications

For all software applications running on computers provide:

- a. administrator documentation that describes secure configuration of the software {relates to CCI-003124}.
- b. administrator documentation that describes secure installation of the software {relates to CCI-003125}.
- c. administrator documentation that describes secure operation of the software {.relates to CCI-003124}
- d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {relates to CCI-003127}.
- e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {relates to CCI-003128}.
- f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {relates to CCI-003129}.
- g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {relates to CCI-003130}.
- h. user documentation that describes user responsibilities in maintaining the security of the software {relates to CCI-003131}.
- 1.8.6.2 For HVAC Control System Devices
- 1.8.6.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device {for reference only: relates to CCI-003124}.
- b. Documentation that describes secure operation of the device {for reference only: relates to CCI-003124}.
- c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {for reference only: relates to CCI-003127}.
- d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {for reference only: relates to CCI-003128}.
- e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security

functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {for reference only: relates to CCI-003129}.

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.

# 1.8.6.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {for reference only: relates to CCI-003124}.
- b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {for reference only: relates to CCI-003127}.
- c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.

#### 1.8.6.3 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

- a. Documentation that describes secure configuration of the device {for reference only: relates to CCI-003124}.
- b. Documentation that describes secure installation of the device {for reference only: relates to CCI-003125}.
- c. Documentation that describes secure operation of the device {for reference only: relates to CCI-003124}.
- d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {for reference only: relates to CCI-003127}.
- e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {for reference only: relates to CCI-003128}.
- f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {for reference only: relates to CCI-003129}.
- g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {for reference only: relates to CCI-003130}.
- h. Documentation that describes user responsibilities in maintaining the

security of the device {for reference only: relates to CCI-003131}.

1.8.7 Proposed Security Technical Implementation Guides

Provide a proposed list of Security Technical Implementation Guides (STIGS) matched to the corresponding hardware/software as well as a completed hardware/software list for the control system devices.

The proposed STIG list should include all operating system, application, network and other hardware devices. If there is no corresponding STIG for a device or application and there is a generic STIG that can be applied list that generic STIG.

1.9 SOFTWARE UPDATE LICENSING

{For Reference Only: This subpart (and its subparts) relates to SI-2
(a),(c); CCI-001227, CCI-002605}

In addition to all other licensing requirements, all software licensing must include licensing of the following software updates for a period of no less than 5 years:

- a. Security and bug-fix patches issued by the software manufacturer.
- b. Security patches to address any vulnerability identified in the National Vulnerability Database at <u>http://nvd.nist.gov</u> with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided.

#### 1.10 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

1.10.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

1.10.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.10.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this

project must be scanned using the installed software at least once per day.

1.10.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

#### 1.10.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic Each Statement must be signed by a cybersecurity representative for the relevant company.

1.10.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.10.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.10.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification

1.10.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, if used, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.10.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.10.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.11 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

Not Used.

- PART 3 EXECUTION
- 3.1 ACCESS CONTROL REQUIREMENTS
- 3.1.1 User Accounts

{For Reference Only: This subpart (and its subparts) relate to AC-2(a)and AC-3; CCI-002110, CCI-000213.}

Any device supporting user accounts (either FULLY or MINIMALLY) must limit access to the device according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

3.1.1.1 Computers

All computers must FULLY support user accounts.

3.1.1.2 For HVAC Control System Devices

Devices with web interfaces must either FULLY support user accounts or have their web interface disabled. Field devices with full local user interfaces allowing modification of data must at least MINIMALLY support user accounts.

3.1.1.3 Default Requirements for Control System Devices

For control system devices where User Account requirements are not otherwise indicated in this Section:

- a. Devices with web interfaces must either FULLY support user accounts or have their web interface disabled.
- b. Field devices with full local user interfaces allowing modification of data must FULLY support user accounts.
- c. Field devices with read-only full local user interfaces must at least MINIMALLY support user accounts.
- 3.1.2 Unsuccessful Logon Attempts

{For Reference Only: This subpart (and its subparts) relate AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts.

3.1.2.1 Devices MINIMALLY Supporting Accounts

Devices which MINIMALLY support accounts must lock the user input after three unsuccessful logon attempts and must support unlocking of the user input when unlocked by an administrator.

## 3.1.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements. If a device cannot meet these requirements, document device capabilities to protect from subsequent unsuccessful logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures without explicit permission from the Government.

- a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.
- b. Once an account is locked, the account must stay locked until unlocked by an administrator.
- c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.1.2.3 High Availability Interfaces Exempt from Unsuccessful Logon Attempts Requirements

There are no high availability interfaces which are exempt from unsuccessful logon attempts requirements.

3.1.3 System Use Notification

{For Reference Only: This subpart (and its subparts) relates to AC-8; CCI-000048, CCI-002247, CCI-002243, CCI-002244, CCI-002245, CCI-002246, CCI-000050, CCI-002248}

Web interfaces must display a warning banner meeting the requirements of DTM 08-060.

Devices which are connected to a network and have a user interface must display a warning banner meeting the requirements of DTM 08-060 if capable of doing so. Devices which are connected to a network and have a user interface but are not capable of displaying a banner must have a permanently affixed label displaying an approved banner from DTM 08-060.Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contract between font and background colors.

#### 3.1.3.1 User Interface Banner Schedule

Provide a User Interface Schedule using the format indicated showing each user interface provided and how the information banner requirement has been implemented for each user interface.

User Interface Schedule Format (with sample entries)				
User Interface Description	User Interface Location	Type of User Interface	Banner Implementation	
Sample 1	Room 1	Remote	DTM 08-060 Banner "A" Displayed at Logon	
Sample 2	Room 2	Limited Local	DTM 08-060 Banner "B" on Affixed Label	
Sample 3	Room 3	Full Local	DTM 08-060 Banner "B" Displayed on Screen	

# 3.1.4 Permitted Actions Without Identification or Authentication

{For Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

#### 3.2 CYBERSECURITY AUDITING

3.2.1 Audit Events, Content of Audit Records, and Audit Generation

{For Reference Only: This subpart (and its subparts) relates to
AU-2(a),(c),(d), AU-3, AU-12; CCI-000123, CCI-001571, CCI-000130,
CCI-000131, CCI-000132, CCI-00133, CCI-000134, CCI-001487, CCI-000169,
CCI-001459, CCI-000171, CCI-000172}

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those STIG/SRGs.

#### 3.2.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event

# 3.2.1.1.1 Audited Events

Configure each computer to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Privileged activities or other system level access
- d. Starting and ending time for user access to the system
- e. Concurrent logons from different workstations

- f. Successful and unsuccessful accesses to objects
- g. All program initiations
- h. All direct access to the information system
- i. All account creations, modifications, disabling, and terminations
- j. All kernel module load, unload, and restart
- 3.2.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

- a. What type of event occurred
- b. When the event occurred
- c. Where the event occurred
- d. The source of the event
- e. The outcome of the event
- f. The identity of any individuals or subjects associated with the event
- 3.2.1.2 For HVAC Control System Devices

3.2.1.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For devices FULLY supporting accounts, provide the capability to select audited events, and the contents of audit logs. Configure devices to audit the following events:

- a. Successful and unsuccessful logon attempts to the device
- b. Starting and ending time for user access to the device
- c. All account creations, modifications, disabling, and terminations
- d. All device shutdown and startup

Configure the device to record for each event the following information (as applicable): the type of event, when the event occurred and the identity of any individuals or subjects associated with the event

3.2.1.2.2 Other HVAC Control System Devices

There are no requirements to perform auditing at HVAC field devices that do not FULLY support accounts.

3.2.1.3 Default Requirements for Control System Devices

For control system devices where Audit Events, Content of Audit Records, and Audit Generation are not otherwise indicated in this Section:

3.2.1.3.1 Devices Which FULLY Support Accounts

For each device which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure devices to audit the indicated events, and to record the indicated information for each auditable event

3.2.1.3.1.1 Audited Events

Configure each device to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Privileged activities or other system level access
- d. Starting and ending time for user access to the system
- e. Concurrent logons from different workstations
- f. All account creations, modifications, disabling, and terminations
- g. All kernel module load, unload, and restart
- 3.2.1.3.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

- a. what type of event occurred
- b. when the event occurred
- c. where the event occurred
- d. the source of the event
- e. the outcome of the event
- f. the identity of any individuals or subjects associated with the event
- 3.2.1.3.2 Devices Which Do Not FULLY Support Accounts

For each Device which does not FULLY support accounts configure the device to audit all device shutdown and startup events and to record for each event the type of event and when the event occurred.

3.2.2 Audit Storage Capacity and Audit Upload

{For Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849}

a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.

- For non-computer control system devices capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.
- c. For computers, provide storage for audit records in conformance with applicable STIG/SRGs.
- 3.2.2.1 Device Audit Record Upload Software

For each non-computer device required to audit events, provide, and license to the Government, software implementing a secure mechanism of uploading audit records from the device to a computer and of exporting the uploaded audit records as a Microsoft Excel file or comma separated value text file. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

Submit copies of device audit record upload software. If there are no non-computer devices requiring auditing, provide a document stating this in lieu of this submittal.

3.2.3 Response to Audit Processing Failures

{For Reference Only: This subpart (and its subparts) relates to AU-5; CCI-000139, CCI-000140}.

Front end computers associated with auditing must, in the case of a failure in the auditing system, provide system alarm warning on screen. In case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

3.2.4 Time Stamps

{For Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890}

3.2.4.1 Computers

Computers generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks must not drift more than 10 seconds per day.

Configure the system so that each computer generating audit records maintains accurate time to within 1 second.

3.2.4.2 For HVAC Control System Devices

Time stamp requirements for HVAC Control Systems are as indicated in the Control System specifications.

3.2.4.3 Control System Devices

Time stamp requirements for Control Systems are as indicated in the Control System specifications. Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks cannot drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

# 3.2.4.4 Default Requirements for Control System Devices

For control system devices where Time Stamps requirements are not otherwise indicated in this Section: Devices generating audit records must have internal clocks capable of providing time with a resolution of 1 second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device generating audit records maintains accurate time to within 1 second.

# 3.3 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Reference Only: This subpart (and its subparts), along with the network communication report submittal specified elsewhere in this section, relates to CM-6 (a), (c), CM-7, CM-7 (1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-000381, CCI-000380, CCI-00382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546.}

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

For HVAC Control Systems: Do not provide devices with user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Other Control Systems: Do not provide devices with user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

# 3.3.1 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol, or use any protocol on ports other than those specified.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

# 3.3.2 IP Control Networks

Do not use nonsecure functions, ports, protocols and services as defined in DODI 8551.01 unless those ports, protocols and services are specifically required by the control system specifications or otherwise specifically authorized by the Government. Do not use ports, protocols and services that are not specified in the control system specifications or required for operation of the control system.

# 3.4 SAFE MODE AND FAIL SAFE OPERATION

{For Reference Only: This subpart (and its subparts) relates to CP-12, SI-17; CCI-002855, CCI-002856, CCI-002857, CCI-002773, CCI-002774, CCI-002775}

For all control system components with an applicable STIG or SRG, configure the component in accordance with all applicable STIGs and SRGs.

# 3.5 IDENTIFICATION AND AUTHENTICATION

3.5.1 User Identification and Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-2,(1),(12); CCI-000764, CCI-000765, CCI-001953, CCI-001954}

- a. Devices that FULLY support accounts must uniquely identify and authenticate organizational users.
- b. Devices which allow network access to privileged accounts must implement multifactor authentication for network access to privileged accounts.
- 3.5.1.1 HVAC Control Systems Devices

Identification and Authentication for network access to privileged accounts must be implemented by either accepting and electronically verify Personal Identity Verification (PIV) credentials or inheriting identification and authentication from the operating system.

3.5.1.2 Default Requirements for Control System Devices

For control system devices where User Identification and Authentication requirements are not otherwise indicated in this Section, User Identification and Authentication for network access to privileged accounts must be implemented by accepting and electronically verify Personal Identity Verification (PIV) credentials or inheriting identification and authentication from the operating system.

3.5.2 Authenticator Management

{For Reference Only: This subpart (and its subparts) relates to IA-5
(b),(c),(e),(g),(1),(11); CCI-001544, CCI-001989, CCI-000182, CCI-000192,
CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612,
CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197,
CCI-000199, CCI-000198, CCI-001616, CCI-001617, CCI-000200, CCI-001618,
CCI-002041, CCI-002002, CCI-002003}

- 3.5.2.1 Authentication Type
- 3.5.2.1.1 For HVAC Control System Devices

Unless otherwise indicated:

- a. Software which FULLY supports accounts and which runs on a computer must use password-based authentication or hardware token-based authentication.
- b. Other devices which FULLY support accounts must use password-based authentication.
- c. Devices MINIMALLY supporting accounts must use password-based authentication.

3.5.2.1.2 Default Requirements for Control System Devices

For control system devices where Authentication Type requirements are not otherwise indicated in this Section:

- a. Other devices which FULLY support accounts must use either password-based authentication or hardware token-based authentication.
- b. Devices MINIMALLY supporting accounts must use either password-based authentication or hardware token-based authentication.
- 3.5.2.2 Password-Based Authentication Requirements
- 3.5.2.2.1 Passwords for Computers

All computers supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a minimum lifetime of 24 hours.
- g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.
- i. Passwords must be cryptographically protected during storage and transmission.

3.5.2.2.2 Passwords for Non-Computer Devices FULLY Supporting Accounts

All non-computer devices FULLY supporting accounts and supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of twelve (12) characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five (5) passwords, where differ is defined as changing at least fifty percent of the characters.
- h. Passwords must be cryptographically protected during storage and

transmission.

3.5.2.2.3 Passwords for Web Interfaces

Passwords for connecting to a web interface supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character.
- f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.
- h. Passwords must be cryptographically protected during storage and transmission.
- 3.5.2.2.4 Passwords for Devices Minimally Supporting Accounts

Devices minimally supporting accounts must support passwords with a minimum length of four characters.

3.5.2.2.5 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with the Government. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Do not provide the Password Summary Report in electronic format. Provide two hard copies of the Password Summary Report, each copy in its own sealed envelope.

3.5.2.3 Hardware Token-Based Authentication Requirements

Devices supporting hardware token-based authentication must use Personal Identity Verification (PIV) credentials for the hardware token.

3.5.3 Authenticator Feedback

{For Reference Only: This subpart relates to IA-6; CCI-000206}

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback (CCI-000206), comply with the requirements of those STIGS/SRGs. 3.5.4 Device Identification and Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958}

All web servers running on computers must use HTTPS and must implement HTTPS using web server certificates obtained from the Government.

3.5.4.1 Default Requirements for Control System Devices

For control system devices where Device Identification and Authentication requirements are not otherwise indicated in this Section. Devices using HTTP as a control protocol must use HTTPS using a web server certificate obtained from the Government.

3.5.5 Cryptographic Module Authentication

{For Reference Only: This subpart (and its subparts) relates to IA-7; CCI-000803}

For devices that have STIG/SRGs related to cryptographic module authentication (CCI-000803), comply with the requirements of those STIG/SRGs.

3.6 EMERGENCY POWER

{For Reference Only: This subpart (and its subparts) relates to
PE-11,(1); CCI-02955, CCI-000961}

Emergency power is specified in the control system and equipment specifications.

### 3.7 DURABILITY TO VULNERABILITY SCANNING

{For Reference Only: This subpart (and its subparts) relates to RA-5
(a),(b),(c),(d); CCI-001054, CCI-001055, CCI-0010156, CCI-001641,
CCI-001643, CCI-001058, CCI-001059}

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

Computers must respond to scans from Assured Compliance Assessment Solution (ACAS) by responding with a valid credentialed scan. For control system devices other than computers:

#### 3.7.1 HVAC Control System Devices Other Than Computers

HVAC control system devices other than computers are not required to respond to scans.

3.7.2 Default Requirements for Control System Devices

Non-computer control system devices where Durability to Vulnerability Scanning requirements are not otherwise indicated in this Section are not required to respond to scans.

# 3.8 FIPS 201-2 REQUIREMENT

{For Reference Only: This subpart (and its subparts) relates to SA-4 (10)}

Devices in the following systems which implement PIV must be on the NIST FIPS 201-2 approved product list.

# 3.9 DEVICES WITH CONNECTION TO MULTIPLE IP NETWORKS

Except for Ethernet switches, do not use more than one physical connection to IP networks on the same device unless doing so is both required by the project specifications and the specific application is approved. If a device with multiple IP connections is required, provide a Multiple IP Connection Device Request using the Multiple IP Connection Device Request Schedule at

http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic
to request approval for each device.

# 3.10 SYSTEM AND COMMUNICATION PROTECTION

3.10.1 Denial of Service Protection, Process Isolation and Boundary Protection

{For Reference Only: This subpart (and its subparts) relates to SC-5, SC-39, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-002430}

To the greatest extent practical, implement control logic in non-computer hardware and without reliance on the network.

#### 3.10.2 Cryptographic Protection

{For Reference Only: This subpart (and its subparts) relates to SC-13; CCIs: CCI-002449, CCI-002450}

For devices that have STIG/SRGs related to cryptographic protection (CCI-002450), comply with the requirements of those STIG/SRGs. Ensure that all network traffic is encrypted using NSA-approved cryptography; provision of digital signatures and hashing, and FIPS-validated cryptography.

#### 3.11 FIELD QUALITY CONTROL

3.11.1 Completed Security Technical Implementation Guides Checklist

Provide the completed Security Technical Implementation Guides (STIGS) Checklist that were applied to the system. For any checklist item that could not be completed provide documentation indicating the reason as to why and any remediation applied to reduce risk.

The completed check list can be provided in electronic format that is password protected. Provide 2 copies of the passwords in written format in sealed envelops or in another separate electronic means.

#### 3.11.2 Completed NESSUS/ACAS Scan Results

Provide final completed NESSUS/ACAS scan results. All scans should be completed with the latest definition files. All operating system software should be the latest supported version at the installation. The vendor should remediate all findings. Any finding that cannot be remediated will need to be documented as to why along with any remediation applied to reduce the risk of the open finding.

The completed scan results should be provided in electronic format. Provide 2 copies of the passwords in written format in a sealed envelop or in another separate electronic means.

3.11.3 Tests

In addition to testing and testing support required by other Sections, provide a minimum of 16 hours of technical support for cybersecurity testing of control systems.

-- End of Section --

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#### SECTION 25 08 10

# UTILITY MONITORING AND CONTROL SYSTEM TESTING 04/06

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

CONSUMER TECHNOLOGY ASSOCIATION (CTA)

CTA CTA-709.1-D	(2014) Control Network Protocol Specification
CTA CTA-709.3	(1999; R 2015) Free-Topology Twisted-Pair Channel Specification

- 1.2 DEFINITIONS
- 1.2.1 Algorithm

A set of well-defined rules or procedures for solving a problem or providing an output from a specific set of inputs.

1.2.2 Analog

A continuously varying signal value (temperature current, velocity, etc.).

1.2.3 Analog to Digital (A/D) Converter

An A/D converter is a circuit or device whose input is information in analog form and whose output is the same information in digital form.

1.2.4 CTA CTA-709.1-D

"Control Network Protocol Specification", Standard communication protocol for networked control systems that provides peer-to-peer communications.

1.2.5 Application Specific Controller

A device that is furnished with a pre-established built in application that is configurable but not re-programmable.

1.2.6 Architecture

Architecture is the general organization and structure of hardware and software.

1.2.7 Binary

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level.

# 1.2.8 Building Point of Connection (BPOC)

The point of connection between the UMCS network backbone and the building network backbone. The hardware at this location, which performs/provides the connection is referred to as the BPOC Hardware.

# 1.2.9 Control Wiring

This includes conduit, wire, and wiring devices to install complete HVAC control systems, including motor control circuits, interlocks, sensors, PE and EP switches, and like devices. This also includes all wiring from node to node, and nodes to all sensors and points defined in the I/O summary shown on drawings or specified herein, and required to execute the sequence of operation. Does not include line voltage power wiring.

# 1.2.10 Demand

The maximum rate of use of electrical energy averaged over a specific interval of time, usually expressed in kW.

#### 1.2.11 Diagnostic Program

Machine-executable instructions used to detect and isolate system and component malfunctions.

# 1.2.12 Distributed Control

A system whereby all control processing is decentralized and independent of a central computer. In regards to a LonWorks based system, it also means where the control logic for a single piece of building level control resides in more than one controller (node).

# 1.2.13 Graphical User Interface (GUI)

Human-machine interfacing allows the operator to manage, command, monitor, and program the system.

# 1.2.14 Integration

Establishing communication between two or more systems to create a single system.

# 1.2.15 Interoperable

Two devices are interoperable if installed into the same system and they communicate with each other without the use of another device (such as a gateway).

#### 1.2.16 LonTalk(r)

Open communication protocol developed by the Echelon(r) Corporation.

# 1.2.17 LONWORKS(r)

The communication technology developed by Echelon(r) Corporation for control systems developed. The technology is based on the CTA CTA-709.1-D protocol and employs interoperable devices along with the capability to openly manage these devices using a network configuration tool.

1.2.18 LONMARK(r) International (LONMARK(r) Interoperability Assoc.)

Standards committee consisting of numerous independent product developers and systems integrators dedicated to determining and maintaining the interoperability guidelines for the LONWORKS(r) industry.

1.2.19 LonMarked(r)

A device that has been certified for compliance with LonMark(r) standards by the LonMark(r) International.

1.2.20 LONWORKS(r) Application Specific Controller (ASC)

A networked device or node that contains a complete, configurable application that is specific to a particular task.

1.2.21 LONWORKS(r) General Purpose Programmable Controller

A programmable control product, that unlike an ASC, is not installed with a fixed factory-installed application program. The application in the controller is custom software produced by the integrator specifically for the project.

1.2.22 LONWORKS(r) Network Services (LNS)

The database format for addressing nodes and variable bindings node-to-node.

1.2.23 Network

A system of distributed control units that are linked together on a communication bus. A network allows sharing of point information between all control units. Additionally, a network provides central monitoring and control of the entire system from any distributed control unit location.

1.2.24 Network Configuration Tool

Software used to create and modify the control network database and configure controllers.

1.2.25 Node ID

A unique 48-bit node identification (ID) tag given to each node by Echelon Corporation.

1.2.26 Node

An intelligent LONWORKS(r) device with a node ID and communicates via CTA CTA-709.1-D and is connected to an CTA CTA-709.1-D network.

1.2.27 Operating System (OS)

Software which controls the execution of computer programs and which provides scheduling, debugging, input/output controls, accounting, compilation, storage assignment, data management, and related services.

# 1.2.28 Operator Workstation (OWS)

The OWS consists of a high-level processing desktop or laptop computer that provides a graphic user interface to network.

# 1.2.29 Peripheral

Input/Output (I/O) equipment used to communicate to and from the computer and make hard copies of system outputs and magnetic files.

1.2.30 Router

A device which routes messages destined for a node on another segment subnet or domain of the control network. The device controls message traffic based on node address and priority. Routers may also serve as communication links between powerline, twisted pair, fiber, coax, and RF media.

# 1.2.31 Standard Network Variable Type (SNVT)

A network variable of a standard format type used to define data information transmitted and receive by the individual nodes.

#### 1.2.32 UMCS Network Media

Transmission equipment including cables and interface modules (excluding MODEMs) permitting transmission of digital information.

1.2.33 XIF

"External Interface File" contains the contents of the manufacturer's product documentation.

# 1.2.34 Gateway

A device that translates from one protocol to another. Gateways are also called Communications Bridges or Protocol Translators.

## 1.3 SYSTEM DESCRIPTION

- a. The purpose of this Specification is to define generic Factory, Performance Verification, and Endurance Test procedures for Utility Monitoring and Control Systems (UMCS) and building level DDC. These tests are to be used to assure that the physical and performance requirements of UMCS and building level DDC are tested, and that the test results are adequately documented. The Government will base certain contractual decisions on the results of these tests.
- b. This document covers the factory, performance verification, and endurance test procedures for the Utility Monitoring and Control System (UMCS) and Direct Digital Control for HVAC. The system shall be comprised of the server hardware and software, IP network hardware and software, and building point of connection (BPOC) hardware and software.
- c. The contractor who provided building level DDC under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC is responsible for testing the building level DDC. All control testing and controller tuning required under Section 23 09 00 shall be completed and approved before

performing Performance Verification and Endurance Tests under this section.

- d. The following UFGS: Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC shall be part of the contract documents.
- 1.3.1 Factory Test

Conduct a factory test at a company site. Perform some of the basic functions of the UMCS and building level DDC, to assure that the performance requirements of the specifications are met.

# 1.3.2 Performance Verification and Endurance Test

- a. Shall be conducted on hardware and software installed at the jobsite to assure that the physical and performance requirements of specifications are met. Tests on network media shall include all contractor furnished media and shall include at least one type of each device installed.
- b. Shall be conducted under normal mode operation, unless otherwise indicated in the initial conditions description for each test. System normal mode describes a condition in which the system is performing its assigned tasks in accordance with the contract requirements.
- c. Shall utilize the operator workstation (OWS) to issue commands or verify status data.

# 1.3.3 Test Equipment and Setup

All test equipment calibrations shall be traceable to NIST. The accuracy of the test equipment and overall test method shall be at least twice the maximum accuracy required for the test. For example, if a temperature sensor has an accuracy of +1 degree F over the executed range, the test instrument used shall have an accuracy of at least +0.5 degree F or better. Provide all test equipment unless otherwise noted in the contract documents.

# 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Factory Test; G, RO

SD-06 Test Reports

UMCS and Building Level DDC Testing Sequence; G, RO Performance Verification Test; G, RO Endurance Testing; G, RO

PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

#### 3.1 UMCS AND BUILDING LEVEL DDC TESTING SEQUENCE

Perform a successful factory test prior to start of installation work, as described in this section. During the installation phase, perform all required field testing requirements on the 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC, to verify that systems are functioning and installed in accordance with specifications. Submit field test report prior to start of PVT and endurance testing. After completing all required field testing, perform a successful PVT and endurance test. All tests shall be successfully completed, and test reports received, prior to final acceptance of the UMCS and building level DDC. Perform and document Contractor field test on UMCS and building level DDC.

# 3.2 COORDINATION

Coordinate the testing schedule with the Government. Coordination shall include controls specified in other sections or divisions which include controls and control devices that are to be part of or interfaced to the UMCS specified in this section.

## 3.3 PROTECTION

Protect all work and material from damage by the work or workers. The Contractor is liable for any damage caused and responsible for the work and equipment until finally inspected, tested, and accepted. Protect the work against theft, and carefully store material and equipment received onsite that is not immediately installed.

#### 3.4 FACTORY TEST

#### 3.4.1 Factory Test Plan

Prior to the scheduling of the factory tests, provide the Government with a Factory Test Plan for approval, and wait to receive notification of approval of the Test Plan and Procedures before performing the tests. The plan shall include the following, as a minimum:

- a. System one-line block diagram of equipment used in the factory test model, indicating servers, workstations, peripherals, network equipment, controllers, and instrumentation.
- b. System hardware description used in the factory test.
- c. System software description used in the factory test.
- d. Listing of control and status points in the factory test model; plus a table with the following information:
  - (1) Input and output variables.
  - (2) SNVTs for each variable.
  - (3) Expected engineering units for each variable.
  - (4) Node ID.
  - (5) Domain & subnet addressing.

- e. Required passwords for each operator access level.
- f. List of other test equipment.
- 3.4.2 Test Procedures

Develop the factory test procedures from the generic test procedures in ATTACHMENT A. The test procedures shall consist of detailed instructions for test setup, execution, and evaluation of test results. Edit the generic test procedure for the provided UMCS and building level DDC. Perform a factory test on a model of the UMCS and building level DDC for the Government to verify the system will function to the requirements of the contract documents. The test architecture shall mimic a two building arrangement. There shall be a TCP/IP layer with two Internet Protocol (IP) to Lon routers. Below each of the routers shall be both programmable (GPPC) and application-specific controllers (ASC). One server and one workstation with printers shall be connected to the IP layer. There shall be simulated input devices connected to controllers to enable the creation of changing variables. If, during testing, the system fails a portion of a test, the Government will inform the Contractor if the entire test or only the portion that failed shall be re-performed. Give the Government a written report of those items which failed, what the problem was, and what was done to correct it. Provide onsite technical support to perform the PVT. ATTACHMENT A presents the generic Test Procedures with the following information:

- a. Test identification number.
- b. Test title.
- c. Objective.
- d. Initial conditions (if applicable).
- e. Test equipment (if required).
- f. Sequence of events.
- g. Expected results.

#### 3.4.3 Test Report

Submit a factory final, complete test report after completing the test, consisting of the following, as a minimum:

- a. Section one of the submittal shall be a short summary of the factory test.
- b. Section two of the submittal shall be a copy of the test plans.
- c. Section three shall be the executed test procedure and shall be divided using tabs. Each tab section shall include all pertinent information pertaining to the executed and approved test, showing date and Government representative who witnessed/approved the test.

# 3.5 FIELD TEST REQUIREMENTS

The UMCS contractor shall perform and document contractor start-up and

field tests as required by Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The field test validates that the UMCS and building level DDC are in operation without any problems or system errors prior to starting a PVT. Validate that all software along with all hardware is installed to meet or exceed the contract document requirements. This includes all LONWORKS(r) networking and monitoring hardware and all peripherals associated with the network and hardware. Start-up and field testing shall include:

3.5.1 Start-up Testing

All testing listed in Section 23 09 00 shall be completed.

3.5.2 Point-to-Point Testing

All point-to-point testing of end field devices through proper input/output to graphic and operator interface shall be completed and approved.

3.5.3 Field Calibration

All field calibration shall be completed and approved.

3.5.4 Detailed Functional Testing

Detailed functional tests, verified by the Government that the system operation adheres to the Sequences of Operation.

- 3.5.5 Alarms and InterlocksAll alarm limits and testing shall be completed.
- 3.5.6 System Schedules and Setpoints

All schedule start/stops and system setpoints shall be entered, operating, and approved.

- 3.6 PERFORMANCE VERIFICATION TEST
- 3.6.1 Test Plan

Prior to the scheduling of the performance verification tests, provide the Government with a Performance Verification and Endurance Test Plan and Procedures for approval, and receive notification of approval of the Test Plan and Procedures. The plan shall include the following, as a minimum:

- a. Installed system one-line block diagram, indicating servers, workstations, peripherals, network equipment, controllers, and instrumentation.
- b. Installed system hardware description.
- c. Installed system software description, including any software revisions made since the factory test.
- d. Listing of control and status points installed in the system; plus a table with the following information:
  - (1) Input and output variables.
  - (2) SNVTs for each variable.
  - (3) Expected engineering units for each variable.

- (4) Node ID.
- (5) Domain & subnet addressing.
- e. Required passwords for each operator access level.
- f. List of other test equipment.
- 3.6.2 Test Procedures

Develop the performance verification test procedures from the generic test procedures in ATTACHMENT A. The test procedures shall consist of detailed instructions for test setup, execution, and evaluation of test results. Edit the generic test procedure for the provided UMCS and building level DDC. Perform a performance verification test (PVT) on the completed UMCS and building level DDC for the Government to verify the system is completely functional. If, during testing, the system fails a portion of a test, the Government will inform the Contractor if the entire test or only the portion that failed shall be re-performed. Give the Government a written report of those items which failed, what the problem was, and what was done to correct it. Provide on-site technical support to perform the PVT. ATTACHMENT A presents the generic UMCS Performance Verification Test Procedures with the following information:

- a. Test identification number.
- b. Test title.
- c. Objective.
- d. Initial conditions (if applicable).
- e. Test equipment (if required).
- f. Sequence of events.
- g. Expected results.
- 3.6.3 Test Report

Submit a final, complete PVT test report, after completing the test, consisting of the following, as a minimum:

- a. Section one of the submittal shall be a short summary of the performance verification test.
- b. Section two of the submittal shall be a copy of the test plans.
- c. Section three shall be the executed test procedure and shall be divided using tabs. Each tab section shall include all pertinent information pertaining to the executed and approved test, showing date and Government representative who witnessed/approved the test.

# 3.7 ENDURANCE TESTING

# 3.7.1 General

Endurance Test shall be designed to demonstrate the specified overall system reliability requirement of the completed system. Conduct the Endurance Test in four phases as described below. The Endurance Test

shall not be started until the Government notifies the Contractor, in writing, that the Performance Verification Tests have been satisfactorily completed, training as specified has been completed, correction of all outstanding deficiencies has been satisfactorily completed, and that the Contractor has permission to start the Endurance Test. Provide an operator to man the system eight hours per day during first shift operations, including weekends and holidays, during Phase I and Phase III Endurance testing, in addition to any Government personnel that may be made available. The Government may terminate testing at any time if the system fails to perform as specified. Upon termination of testing by the Government or by the Contractor, commence an assessment period as described for Phase II and Phase IV. Upon successful completion of the Endurance Test, submit test reports to the Government explaining in detail the nature of any failures, corrective action taken, and results of tests performed, prior to acceptance of the system. Keep a record of the time and cause of each outage that takes place during the test period.

# 3.7.2 Phase I

During the Phase I testing, operate the system as specified for 24 hours per day, 7 days per week, for 15 consecutive calendar days, including holidays. Do not make repairs during this phase of testing unless authorized by the Government, in writing. If the system experiences no failures during the Phase I test, proceed directly to Phase III testing, after receiving written permission from the Government.

# 3.7.3 Phase II

In Phase II, which occurs after the conclusion of Phase I, identify all failures, determine the causes of all failures, repair all failures, and submit a test failure report to the Government. After submitting the written report, convene a test review meeting at the job site to present the results and recommendations to the Government. The meeting shall be scheduled no earlier than five business days after receipt of the report by the Government. As a part of this test review meeting, demonstrate that all failures have been corrected by performing appropriate Performance Verification Tests. Based on the Contractor's report, the test review meeting, and the Contractor's recommendation, the Government will independently determine the restart point and may require that the Phase I test be totally or partially rerun. Do not commence any required retesting until after receipt of written notification by the Government.

# 3.7.4 Phase III

After the conclusion of any retesting which the Government may require, repeat the Phase II assessment as if Phase I had just been completed. If the retest is completed without any failures, proceed directly to Phase III testing, after receiving written permission from the Government. During Phase III testing, operate the system as specified for 24 hours per day, 7 days per week, for 15 consecutive calendar days, including holidays. Do not make repairs during this phase of testing unless authorized by the Government, in writing.

# 3.7.5 Phase IV

In Phase IV, which occurs after the conclusion of Phase III, identify all failures, determine the causes of all failures, repair all failures, and submit a test failure report to the Government. After submitting the written report, convene a test review meeting at the job site to present

the results and recommendations to the Government. The meeting shall not be scheduled earlier than five business days after receipt of the report by the Government. As a part of this test review meeting, demonstrate that all failures have been corrected by performing appropriate Performance Verification Tests. Based on the Contractor's report, the test review meeting, and the Contractor's recommendation, the Government will independently determine the restart point and may require that the Phase III test be totally or partially rerun. Do not commence any required retesting until after receipt of written notification by the Government. After the conclusion of any retesting which the Government may require, the Phase IV assessment shall be repeated as if Phase III had just been completed. The Contractor will not be held responsible for failures resulting from the following:

- a. An outage of the main power supply in excess of the capability of any backup power source, provided that the automatic initiation of all backup sources was accomplished and that automatic shutdown and restart of the UMCS performed as specified.
- b. Failure of a Government-furnished communications link, provided that the LON nodes and LON routers automatically and correctly operate in the stand-alone mode as specified, and that the failure was not due to contractor furnished equipment, installation, or software.
- c. Failure of existing Government-owned equipment, provided that the failure was not due to contractor-furnished equipment, installation, or software.
- 3.7.6 Failure Reports

Provide UMCS Endurance Test Failure Reports. UMCS Test Failure Reports shall explain in detail the nature of each failure, corrective action taken, results of tests performed. If any failures occur during Phase I or Phase III testing, recommend the point at which the Phase I or Phase III testing, as applicable, should be resumed.

3.8 ATTACHMENT A

### TEST PROCEDURES

TITLE: Test Index OBJECTIVE: The following is an index of tests.

NOTES: Tests one through twenty contain specific "item(s)" that apply to Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The following index of tests provides a summary of which "items numbers" apply to which specification.

EightSpecial FunctionsItem 1Not ApplicableNineSoftware Editing ToolsItems 1 through 42Items 1 through 4TenSchedulingItems 1 through 7Items 8 through 1ElevenAlarm functionItems 1 through 15item 16TwelveTrendingItems 1 through 8Not ApplicableThirteenReport GenerationItems 1 through 6Not ApplicableFourteenCTA CTA-709.1-D to IP Router TestItems 1 through 3Not ApplicableFifteenCTA CTA-709.1-D Router and RepeaterNot ApplicableItems 1 through 4SixteenCTA CTA-709.1-D Gateway TestItems 1 through 5Items 1 through 5	No. T	Test Title	Section 23 09 00	DDC for HVAC
Equipment VerificationItems 1 through 4Items 5 and 6TwoSystem Start-upItems 1 through 4Items 5 and 6ThreeMonitor and Control SoftwareItems 1 through 5Not ApplicableFourGraphic Display of DataItems 1 through 18Not ApplicableFiveGraphic Navigation SchemeItems 1 and 2Not ApplicableSixCommand FunctionsItems 1 through 6Not ApplicableSevenCommand Input ErrorsItems 1 through 6Items 1 through 6EightSpecial FunctionsItem 1Not ApplicableNineSoftware Editing ToolsItems 1 through 42Items 1 through 4TenSchedulingItems 1 through 7Items 8 through 1ElevenAlarm functionItems 1 through 15item 16TwelveTrendingItems 1 through 8Not ApplicableThirteenReport GenerationItems 1 through 4Not ApplicableFourteenCTA CTA-709.1-D to IP Router TestItems 1 through 3 Not ApplicableNot ApplicableFifteenCTA CTA-709.1-D Router and RepeaterNot ApplicableItems 1 through 4SixteenCTA CTA-709.1-D Gateway TestItems 1 through 5Items 1 through 5				
ThreeMonitor and Control SoftwareItems 1 through 5 SoftwareNot ApplicableFourGraphic Display of DataItems 1 through 18Not ApplicableFiveGraphic Navigation SchemeItems 1 and 2Not ApplicableSixCommand FunctionsItems 1 through 6Not ApplicableSevenCommand FunctionsItems 1 through 6Items 1 through 6EightSpecial FunctionsItem 1Not ApplicableNineSoftware Editing ToolsItems 1 through 42Items 1 through 4TenSchedulingItems 1 through 7Items 8 through 1ElevenAlarm functionItems 1 through 15item 16TwelveTrendingItems 1 through 8Not ApplicableFourteenReport GenerationItems 1 through 3Not ApplicableFourteenCTA CTA-709.1-D to IP Router TestItems 1 through 3Not ApplicableFifteenCTA CTA-709.1-D Gateway TestItems 1 through 5Items 1 through 4			Items 1 through 8	Items 9 through 24
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SevenCommand Input ErrorsItems 1 through 6Items 1 through 6EightSpecial FunctionsItem 1Not ApplicableNineSoftware Editing ToolsItems 1 through 42Items 1 through 4TenSchedulingItems 1 through 7Items 8 through 1ElevenAlarm functionItems 1 through 15item 16TwelveTrendingItems 1 through 8Not ApplicableThirteenReport GenerationItems 1 through 6Not ApplicableFourteenCTA CTA-709.1-D to IP Router TestItems 1 through 3Not ApplicableFifteenCTA CTA-709.1-D Router and RepeaterNot ApplicableItems 1 through 4SixteenCTA CTA-709.1-D Gateway TestItems 1 through 5Items 1 through 5			Items 1 and 2	Not Applicable
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Router Test     Image: CTA CTA-709.1-D Router and Repeater     Not Applicable     Items 1 through 4       Sixteen     CTA CTA-709.1-D Gateway Test     Items 1 through 5     Items 1 through 5	teen R	Report Generation	Items 1 through 6	Not Applicable
and Repeater     Items 1 through 5       Sixteen     CTA CTA-709.1-D Gateway       Test     Items 1 through 5			Items 1 through 3	Not Applicable
Test			Not Applicable	Items 1 through 4
Seventeen Local Display Panel Not Applicable Items 1 through 5		-	Items 1 through 5	Items 1 through 5
	nteen L	Local Display Panel	Not Applicable	Items 1 through 5

Test No.	Test Title	Section 23 09 00	DDC for HVAC

# PVT Checklist

## **OBJECTIVE:**

1. Inspect/test/verify that building-level DDC system is compliant with Section 23 09 00 and capable of integration with UMCS  $\,$ 

## INITIAL REQUIREMENTS/CONDITIONS

1. The following tests shall be completed and documentation shall be submitted to the Government.

- 2. Date of Checklist: \_\_\_\_\_
- 3. Time of Checklist:

CHECKLIST PROCEDURES

- 4. Contractor's Representative:
- 5. Government's Representative: \_\_\_\_\_

Item	Action Item	Expected Results	Approved
	ID DDC FOR HVAC		
1	Draft or Final As-Built Drawings	Drawings submitted and approved	
		Point schedule(s) showing all required UMCS SNVTs submitted	
		Point schedules(s) showing device network addresses submitted	
		Local display panel (LDP) locations indicated on drawings submitted	
	Notes:		
2	Network Bandwidth Test Report	Test completed, accepted, and a report documenting results submitted	
	Notes:		
3	Programming software	Most recent version of the programming software for each type of GPPC has been submitted	
	Notes:		

West Point, NY Lincoln Hall Revised RTA Submission		Contract #W9 1	12DS19C0031 March 2023
$\frac{\texttt{Item}}{4}$	Action Item XIF Files	Expected <u>Results</u> External interface files (XIF files for each model of LONWORKS®-based DDC hardware has been submitted	Approved
	Notes:		
5	Start-up testing report	Start-up has been successfully completed and testing report submitted	
		Controller tuning has been completed and document on point schedule	
		Calibration accuracy check completed and documented in test report	
		Actuator range check completed and documented in test report	
		Functional test to demonstrate control sequence completed and documented in test report	
	Notes:		
б	Software License	Software licenses received for all software on the project	
	Notes:		
End of	Test		
	Specific Abbreviations: Y = Yes		
	N = NO NA = Not Applicable		

TEST NUMBER: One TITLE: Initial System Equipment Verification

## **OBJECTIVE**:

1. To verify that the hardware and software components of the system provided by the Contractor are in accordance with the contract plans and specifications and all approved submittals.

## INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submit a detailed list of all approved hardware with Manufacturer, model number and location. This list is based on the contract plans, specifications, change orders (if any) and approved submittals which shall be available for reference purposes during the test.

b. Submit a detailed list of all approved software with revision number and purpose of software. This list is based on the contract plans, specifications, change orders (if any) and approved submittals which shall be available for reference purposes during the test.

- 2. Equipment
  - a. Verify all equipment is functional.
- 3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4.	Date of Test:	
5.	Time of Test:	
6.	Contractor's Representative:	
7.	Government's Representative:	

#### TEST PROCEDURES

Item	Action Item	Expected <u>Results</u>	Approved
UMCS 1	The workstation hardware is installed and complies with specification paragraph titled "Workstation Hardware".		
	Notes:		
2	The Server hardware is installed and complies with specification paragraph titled "Server Hardware".		
	Notes:		

Item	Action Item	Expected Results	Approved
3	The fiber optic patch panel is installed and complies with specification paragraph titled "Fiber Optic Patch Panel".		
	Notes:		
4	The fiber optic media converter is installed and complies with specification paragraph titled "Fiber Optic Media Converter".		
	Notes:		
5	The Ethernet switch is installed and complies with specification paragraph titled "Ethernet Switch".		_
	Notes:		
6	The IP router is installed and complies with specification paragraph titled "IP Router".		
	Notes:		
7	The CTA CTA-709.1-D to IP router i installed and complies with specification paragraph titled "CTA CTA-709.1-D to IP Router".	.S	
	Notes:		
8	The CTA CTA-709.1-D gateway is installed and complies with specification paragraph titled "CTA CTA-709.1-D Gateway".		
	Notes:		

West Point, NY Lincoln Hall Revised RTA Submission			Contract	#W912DS19C0031 1 March 2023
Item	Action Item	Expected <u>Results</u>		Approved
DDC FOR 9	HVAC The CTA CTA-709.1-D Router is insta and complies with specification paragraph titled "CTA CTA-709.1-D Router".	alled		
	Notes:			
10	The CTA CTA-709.3 Repeater is inst and complies with specification paragraph titled "CTA CTA-709.3 Repeater".	called		
	Notes:			
11	The TP/FT-10 network is installed in accordance with CTA CTA-709.3, double-terminated bus topology.			
	Notes:			
12	Network wiring extends to the location of UMCS BPOC.			
	Notes:			
13	The Gateway is installed and complies with specification paragraph titled "Gateway".			
	Notes:			
14	All control valves are installed and comply with their associated specification paragraph under the section titled "Control Valves".			
	Notes:			
15	All dampers are installed and comply with their associated specification paragraph under the section titled "Dampers".			

em	Action Item	Expected <u>Results</u>	Approved
	Notes:		
5	All sensors are installed and comply with their associated specification paragraph under the section titled "Sensors".		
	Notes:		
,	All indicating devices are installed and comply with their associated specification paragraph under the section titled "Indicating Devices".		
	Notes:		
3	All user input devices are installed and comply with their associated specification paragraph under the section titled "User Input Devices".		
	Notes:		
)	All output devices are installed and comply with their associated specification paragraph under the section titled "Output Devices".		
	Notes:		
)	All multifunction devices are installed and comply with their associated specification paragraph under the section titled "Multifunction Devices".		
	Notes:		
	All compressed air equipment is installed and complies with their associated specification paragraph under the section titled		

Item	Action Item "Compressed Air".	Expected Results	Approved
	Notes:		
22	All ASCs are installed and comply with the specification paragraph titled "Application Specific Controller".		
	Notes:		
23	All LDPs and laptop computers are provided and comply with the specification paragraph titled "Local Display Panel".		
	Notes:		
24	All GPPCs are installed and comply with the specification paragraph titled "General Purpose Programmable Controller".		
	Notes:		
End of	Test		
	Specific Abbreviations: Y = Yes N = No NA = Not Applicable		

TEST NUMBER: Two TITLE: System Start-up

## **OBJECTIVE**:

To validate that the system properly initializes and that the GUI properly reconnects to all communicating devices.
 To validate that both application specific and programmable devices

retain all vital information upon a power cycle.

## INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide a list of all software that will be used to verify point connection at field level controllers and user interface.

b. Provide a list of all software need to verify application specific and programmable controller start-up.

2. Equipment

a. All peripherals and cables shall be connected in accordance with manufacturer's requirements.

b. The workstation shall be in the off mode.

c. All controls shall be fully functional and tested.

d. A programmable and application specific controller shall be randomly selected for the test.

3.	Date of Test:	
4.	Time of Test:	
5.	Contractor's Representative:	
6.	Government's Representative:	

#### TEST PROCEDURES

			Expected	
Item	Action Item		Results	Approved
UMCS				
1	Enei	rgize the workstation.	The workstation will power-up and perform its start-up procedure without generating any errors or problems.	
	a)	Operating system	Operating system shall be latest version of windows.	
	b)	Start Network Configuration Tool.	The Network Configuration Tool drawing will open.	
	с)	Start the System Plug-in.	The System plug-in will open.	
	d)	Start the Server.	The Server will start.	
	e)	Start the Workstation.	The Workstation will start. The operator shall now have the ability to view data from any device on the	

Item	Act	ion Item	Expected <u>Results</u> network.	Approved
	Note	- ·		
	NOLE	۵۰		
2	from	k the communication the server to the rollers.	Within the workstation software, when a device is selected, dynamic points lists become visible. Dynamic data represents success. A completion event failure message represents failure.	
	Note	s:		
3	Veri	fy on-line status.	All devices shall have on-line status indicated by the workstation software (green indicator).	
	Note	a:		
	Noce			
4		data from the hical environment.	When a graphics page is opened, the points on the page should update. Question marks in lieu of data reflect failure.	
	Note	- ·		
	NOCE	·		
DDC FOR		fy that configuration	All configuration	
	data	in application ific controllers is	parameters should be accessible.	
	writ a)	ten to EEPROM. Open the LONWORKS®	Software should open	
	b)	plug-in. Note several parameters such as temperature setpoints and flow settings.	without errors. Operator is able to view a sample of parameters (data values and setpoints).	
	с)	Remove power from the controller for a minimum of 3 minutes.	Device should go off-line in Network Configuration	
	d)	Replace power to the controller.	Tool and workstation/server. Device should return to on-line status.	
	e)	Using the plug-in, verify that the parameters have not	Parameters shall not have changed.	

Act Note	tion Item changes. es:	Expected <u>Results</u>	Approved
data cont	ify that configuration a in programmable trollers is retained er a power cycle. From the Workstation view several configuration parameters and note the values.	Values of the parameters can be viewed from the tree structure.	
b)	Remove power for a minimum of 3 minutes.	Controller will go offline in workstation software.	
C)	Replace power to the controller.	Controller will return to online status.	
d)	From the Workstation view the same configuration parameters and note the values.	Parameters values shall not have changed.	
	es:		

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Three TITLE: Monitor and Control (M&C) Software Passwords

## **OBJECTIVE**:

- 1. To validate that the system utilizes four basic password levels
- 2. To validate that each password level has the specified authority

### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of M&C user password capacity in comparison with specification.

b. Provide a complete list of all users along with their passwords and user level prior to testing.

- 2. Equipment
  - a. Server and Workstation
- 3. Reference Documentation

a. Provide user manual documentation for setting up passwords

- 4. Date of Test: \_\_\_\_\_\_
  5. Time of Test: \_\_\_\_\_\_
  6. Contractor's Representative: \_\_\_\_\_\_
- 7. Government's Representative: \_\_\_\_\_

TEST P	ROCEDURES
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Item	Act	ion Item	Expected Results	Approved
UMCS 1	Crea user a) b)	Set-up 4 users.	New users shall exist in the server Database.	
	Note	s:		
2		nstrate level 1 ority.		
	a)	Sign in as the level 1 user.	Sign in shall be successful.	
	b)	Attempt to view a system graphic.	Action shall be possible.	
	с)		Action shall be denied.	
	d)	Attempt to configure a trend.	Action shall be denied.	
	e)	Attempt to configure a report.	Action shall be denied.	

\_

		Expected	
Ac	tion Item	Results	Approved
f)	Attempt to override a	Action shall be denied.	
	point.		
g)	Attempt to configure an	Action shall be denied.	
	alarm.		
h)	Attempt to configure a	Action shall be denied.	
	schedule.		
i)	Attempt to configure a	Action shall be denied.	
	demand limiting		
	parameter.		
j)	Attempt to modify a	Action shall be denied.	
2	graphic page.		
k)	Attempt to create a	Action shall be denied.	
	custom program.		
Note	es:		
Demo	onstrate level 2		
	hority.		
a)	Sign in as the level 2	Sign in shall be successful.	
u)	user.	bigh in bhair be baccebbrai.	
b)	Attempt to view a	Action shall be possible.	
D)	system graphic.	Action shall be possible.	
C)	Attempt to acknowledge	Action shall be possible.	
C)	an alarm.	Action shall be possible.	
d)	Attempt to configure a	Action shall be possible.	
u)	trend.	Accioni shali be possible.	
<b>c</b> )		Action chall be perciple	
e)	Attempt to configure a	Action shall be possible.	
£١	report.		
f)	Attempt to override a	Action shall be denied.	
~ \	point.	Nation aboll be derited	
g)	Attempt to configure an	Action shall be denied.	
1- \	alarm.		
h)	Attempt to configure a	Action shall be denied.	
• 、	schedule.		
i)	Attempt to configure a	Action shall be denied.	
	demand limiting		
	parameter.		
j)	Attempt to modify a	Action shall be denied.	
	graphic page.		
k)	Attempt to create a	Action shall be denied.	
	custom program.		
Nc+			
Note	es		
Demo	onstrate level 3		
	hority.		
a)	Sign in as the level 3	Sign in shall be	
ω,	user.	successful.	
b)	Attempt to view a	Action shall be possible.	
,	system graphic.	HOLION PHALL DE POBELDIE.	
C)	Attempt to acknowledge	Action shall be possible.	
C /	an alarm.	neeron marr be possible.	
	an ararul.		

d) Attempt to configure a Action shall be possible.

			Expected	
Item	Act	ion Item	Results	Approved
		trend.		
	e)	Attempt to configure a report.	Action shall be possible.	
	f)	E Contraction of the second se	Action shall be possible.	
		point.		
	g)	Attempt to configure an alarm.	Action shall be possible.	
	h)	Attempt to configure a schedule.	Action shall be possible.	
	i)	Attempt to configure a demand limiting parameter.	Action shall be possible.	
	j)	Attempt to modify a graphic page.	Action shall be denied.	
	k)	Attempt to create a custom program.	Action shall be denied.	
	Note	s:		

5 Demonstrate level 4 authority. Sign in shall be a) Sign in as the level 3 successful. user. Action shall be possible. b) Attempt to view a system graphic. C) Attempt to acknowledge Action shall be possible. an alarm. d) Attempt to configure a Action shall be possible. trend. Attempt to configure a Action shall be possible. e) report. f) Attempt to override a Action shall be possible. point. Attempt to configure an Action shall be possible. g) alarm. h) Attempt to configure a Action shall be possible. schedule. i) Attempt to configure a Action shall be possible. demand limiting parameter. Action shall be possible. j) Attempt to modify a graphic page program. Attempt to create a Action shall be possible. k) custom program. Notes:

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Four TITLE: Graphic Display of Data

## **OBJECTIVE**:

1. To validate that floor plans and equipment can be graphically displayed through GUI.

2. To validate the proper display of alarms on GUI.

3. To validate the proper display of trend data on GUI.

#### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide hard copies of "snap shots" of sample graphics pages prior to testing.

2. Equipment

- a. Complete all graphics.
- 3. Reference Documentation

a.	List	user	manual	documentation	and	sections	pertaining	to	the
testing.									

4. Notes

a. Different types of data and states should be clearly distinguishable from each other.

5. 6. 7. 8.	Date of Test: Time of Test: Contractor's Representative: Government's Representative:		
TEST P	ROCEDURES		
		Expected	
Item	Action Item	Results	Approved
1	Demonstrate the use of a	Equipment shall be	
	three dimensional	represented in a three	
	representation of a	dimensional manner.	
	mechanical system.		
	Notes:		

2 Demonstrate the presentation of real time shall be presented on a data.

Dynamic real time data graphics page.

Notes:

Demonstrate the presentation of user 3

A user defined parameter such as a setpoint shall be

Lincoln	Hall Revised RTA Submission	1	March 2023
<u>Item</u>	Action Item entered data.	Expected <u>Results</u> presented on a graphics page. Different types of data and states should be clearly distinguishable from each other.	<u>Approved</u>
	Notes:		
4	Demonstrate the presentation of a point in override.	An indication of override condition shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	
	Notes:		
5	Demonstrate the presentation of a device in the alarm state.	An indication of the alarm state shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	
	Notes:		
6	Demonstrate the presentation of data that is out of range.	An indication of out of range condition shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	
	Notes:		
7	Demonstrate the presentation of missing data (controller is offline).	An indication of missing data shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	
	Notes:		

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West Point, NY

<u>Action Item</u> Demonstrate an error	Expected <u>Results</u> An error message shall be	Approv
message when the operator attempts to execute in improper command.	displayed.	
Notes:		
Demonstrate point and click access to context sensitive help.	Operator shall be able to easily access context sensitive help using the mouse.	
Notes:		
Demonstrate point and click access to an engineering diagram.	Operator shall be able to access an engineering diagram using the mouse.	
Notes:		
Demonstrate the creation of an engineering diagram.	Operator shall be able to create an engineering diagram.	
Notes:		
Demonstrate the printing of a prepared report.	Operator shall be able to print a report using the mouse.	
Notes:		
Demonstrate the display of one or more points.	Operator shall be able to request the display of one or more points.	
Notes:		
Demonstrate the operator override of a point.	Operator shall be able to override a point.	
Notes:		

West Point, NY

Lincoln Hall Revised RTA Submission

Contract	#W91	12DS190	20031
	1	March	2023

Item 15	<u>Action Item</u> Demonstrate the modification of a time schedule.	Expected <u>Results</u> Operator shall be able to modify a time schedule.	Approved
	Notes:		
16	Demonstrate the execution of a report.	Operator shall be able to initiate a report.	
	Notes:		
17	Demonstrate the presentation of an alarm to include:	Operator shall be able to view an alarm with all of the required data.	
	<ul> <li>a) Identification</li> <li>b) Date and time</li> <li>c) Alarm Type</li> <li>d) Set Points</li> <li>e) Units</li> <li>f) Current Value</li> <li>g) Priority</li> <li>h) Associated message &amp; Secondary message</li> </ul>		
	Notes:		
18	Demonstrate the presentation of real time trend data.	Operator shall be able to view real time trend data as a function of time.	
	Notes:		
End of	Test Specific Abbreviations:		

- Y = Yes N = No
- NA = Not Applicable

TEST NUMBER: Five TITLE: Graphic Navigation Scheme

## **OBJECTIVE**:

1. To validate hierarchical graphic displays from main screen to end devices.

## INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide a hierarchical block diagram of the system network prior to testing.

2. Equipment

a. Have all programming completed to demonstrate graphic display.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test:
- 5. Time of Test: \_\_\_\_\_
- 6. Contractor's Representative:

7. Government's Representative:

## TEST PROCEDURES

		Expected	
Item	Action Item	Results	Approved
UMCS	Demonstrate the creation	Operator shall be able to	
-	of a hierarchical tree	organize point data graphic	
	structure for the	display in a hierarchical	
	presentation of point	tree structure based on any	
	data with at least five levels.	organization desired.	
		A typical organization	
		could be:	
		- Installation	
		- Building	
		- Building sub area	
		- Main System-Unit - Terminal Unit	
		- Terminal Onic	
	Notes:		

2 Demonstrate the creation Operator shall be able or

of a hierarchical organize the graphical navigation structure for he graphic pages. page using any hierarchical structure desired.

em	Action Item	Results	Approve
		Examples:	
		Home page to building 1	
		Building 1 to AHU 1	
		Building 1 back to Home Page	
		Building 1 to 1st Floor Plan	
		AHU 1 back to Building 1	
		AHU 1 back to Home Page	
		AHU 1 to Terminal Unit	
		Summary	
		1st Floor Plan back to	
		Building 1	
		1st Floor Plan back to	
		Home Page	
		lst Floor Plan to Any	
		Terminal Device	
		Terminal Unit Summary back	
		to AHU 1	
		Terminal Unit Summary back	
		to Building 1	
		Terminal Unit Summary back	
		to Home Page	
		Terminal Unit Summary to	
		Individual Device	-
	Notes:		

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Six TITLE: Command Functions

## **OBJECTIVE**:

1. To demonstrate the functionality and ability to execute command to the end devices.

## INITIAL REQUIREMENTS/CONDITIONS

- 1. Submittals
  - a. Provide documentation of all command functions prior to testing.
- 2. Equipment

a. Have all command functions programmed and functional.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test:
- 5. Time of Test: \_
- 6. Contractor's Representative:
- 7. Government's Representative:

## TEST PROCEDURES

Item	Action Item	Expected Results	Approved	
umcs and 1	<b>D DDC FOR HVAC</b> From the tree structure, modify a parameter such as a set point.	The modified value shall be downloaded to the controller without delay and the controller performance shall be viewable by the monitoring of other dynamic points.		
	Notes:			
2	From a graphic page, modify a parameter such as a set point.	The modified value shall be downloaded to the controller without delay and the controller performance shall be viewable by the monitoring of dynamic points.		
	Notes:			
3	From the tree structure, place an analog output point under operator	The analog output point shall accept the assigned value and ignore changes		

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Item	Action Item override and assign a fixed value.	Expected <u>Results</u> from application logic until the point is taken out of override.	Approved
	Notes:		
4	From a graphic page, place an analog output point under operator override and assign a fixed value.	The analog output point shall accept the assigned value and ignore changes from application logic until the point is taken out of override.	
	Notes:		
5	From the tree structure, place a digital output point under operator override and assign a fixed value.	The digital output point shal accept the assigned value and ignore changes from application logic until the point is taken out of override.	on
	Notes:		
6	From a graphic page, place a digital output point under operator override and assign a fixed value.	The digital output point shall accept the assigned value and ignore changes from application logic until the point is taken out of override.	on
	Notes:		
End of 5	Fest Specific Abbreviations:		

- Y = Yes
- N = No NA = Not Applicable

TEST NUMBER: Seven TITLE: Command Input Errors

## **OBJECTIVE**:

1. To validate that the system ensures the necessary authority for command inputs

2. To validate that the system can control the range of command input values

## INITIAL REQUIREMENTS/CONDITIONS

- 1. Submittals
  - a. Provide all command input error messages prior to testing.
- 2. Equipment
  - a. UMCS and DDC hardware and software
- 3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4.	Date	of	Test:	
-		<u>_</u>		

- Time of Test:
   Contractor's Representative:
   Government's Representative:

Item	Action Item	Expected Results	Approved
UMCS AN	<b>D DDC FOR HVAC</b> Login using a password	Login occurs.	
	with point command.		
	Notes:		
2	Request a display of a SNVT.	The system displays the controllers SNVT value.	
	Notes:		
3	Override the SNVT point to a selected value.	The SNVT value override changes the value in the controller.	
	Notes:		
4	Release the override of	The SNVT value returns to	

West 1	Poi	nt, 1	YY		
Linco	ln	Hall	Revised	RTA	Submission

Item	Action Item a SNVT.	Expected <u>Results</u> normal.	Approved
	Notes:		
5	For an nvi to a controller with a limit of 50 to 80, command the nvi to a value of 90.	The value will go the maximum of 80.	
	Notes:		
6	For an nvi to a controller for which the operator only has read privileges, command the nvi to a value of 90.		
	Notes:		
End of	Test		

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Eight TITLE: Special Functions

## **OBJECTIVE**:

1. Verify system has special integration as defined.

## INITIAL REQUIREMENTS/CONDITIONS

- 1. Submittals
  - a. Provide documentation of all integrations prior to testing.
- 2. Equipment

a. Have all UMCS and DDC hardware and software programmed, integrated, and completed.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

Date of Test: \_\_\_\_\_\_
 Time of Test: \_\_\_\_\_\_
 Contractor's Representative: \_\_\_\_\_\_
 Government's Representative: \_\_\_\_\_\_

#### TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS 1	<pre>Verify that a building that uses controls from a vendor other than the one being installed can be integrated into the GUI without any loss of functionality. (A simulated building will be set up using an IP-L router and controllers from Honeywell, TAC, Trane, etc.)</pre>	Data from the other vendors controllers shall be integra into the GUI and the same functionality that would exi if the controllers were from the same manufacture shall exist.	st

Notes: \_\_\_\_\_

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Nine TITLE: Software editing tools

**OBJECTIVE**:

1. To validate the performance of the M & C application programming tool for the GPPC.

2. To validate the performance of the display editing tool.

3. To validate the performance of the report generation display tool.

#### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation and a backup softcopy of the editing tool prior to testing.

b. Provide documentation of any future software upgrade versions that pertain to the software-editing tool.

2. Equipment

a. Have working knowledge of the full capability of the software-editing tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

6. 7.	Date of Test: Time of Test: Contractor's Representative: Government's Representative:		
		Expected	
Item	Action Item	Results	Approved
UMCS an 1	nd DDC for HVAC Demonstrate the programming of an override function in a GPPC.	Operator shall be able to use the programmed function to override an output point in a GPPC.	
	Notes:		
2	Demonstrate software that enables the monitoring of data from a GPPC.	Operator shall be able to monitor points from a GPPC.	
3	Notes: Demonstrate timer	Control logic shall honor	

Item	Action Item functions within applications of GPPC. a) delay on	Expected $\frac{Results}{the built in timers.}$	Approved
	<ul><li>b) delay off</li><li>c) one second delays</li></ul>		
	c) one second delays d) interval timers		
	Notes:		
4	Demonstrate logic loops ("for" and "while") in GPPC.		
	Notes:		
5	Demonstrate if-then-else logic in GPPC.	Control logic shall properly follow the if, then, else requirements.	
	Notes:		
6	Demonstrate basic math functions in GPPC.	Control logic shall properly execute math functions.	
	Notes:		
7	Demonstrate Boolean math functions in GPPC.	Control logic shall properly execute the functions.	
	Notes:		
8	Demonstrate exponential math functions in GPPC.	Control logic shall properly execute the functions.	
	Notes:		
9	Demonstrate trigonometric math functions in GPPC.	Control logic shall properly execute the functions.	
	Notes:		
10	Demonstrate bitwise math functions in GPPC.	Control logic shall properly execute the functions.	

Action Item	Expected <u>Results</u>	Approv
Notes:		
Create a user defined subroutine/function in GPPC.	Subroutine/function shall work correctly and be easily reused.	
Notes:		
Create alarm conditions in GPPC.	Alarm variables shall be created according to the criteria.	
Notes:		
Create and save a graphic symbol at the server.	Symbol shall be reusable on a new graphic.	
Notes:		
Modify a graphic symbol at the server.	Operator shall be able to open an existing symbol and make changes.	
Notes:		
Save a graphic symbol to a library at the server.	Symbol shall be available from the library for reuse.	
Notes:		
Delete a graphic symbol at the server.	Symbol shall no longer exist for use.	
Notes:		
Place a graphic symbol on a new graphic page at server.	When the new page is opened, the symbol shall be there.	
Notes:		

Item	Action Item	Expected Results	Approved
18	Associate particular conditions with particular displays at the server.	When the conditional variable changes, the display should change.	
	Notes:		
19	Overlay alphanumeric text on a graphic at the server. Notes:	Text shall properly display.	
20	Create a new graphic from an old one at the server.	New graphic shall properly display.	
	Notes:		
21	Place dynamic data on a graphic at the server.		
	Notes:		
22	Define the background color of a new graphic at the server.	The new graphic shall show the selected background color.	
	Notes:		
23	Define a foreground color for an element on a graphic to distinguish it from the background color at the server.	The color of the dynamic data that uses the foreground color shall displa in the foreground color.	У
	Notes:		
24	Position a symbol on a graphic at the server.	The operator shall be able to place a symbol at any location on a graphic.	
	Notes:		

Item	Action Item	Expected Results	Approved
25	Position and edit alphanumeric descriptors at the server.	The alphanumeric display shal be as designed.	1
	Notes:		
26	Draw lines on a graphic at the server. Notes:	Lines shall display as drawn.	
27	Associate source of dynamic data for presentation on a graphic at the server.	Correct data shall be displayed.	
28	Notes: Display analog data on a graphic page at the server.	Correct data shall be displayed.	
	Notes:		
29	Demonstrate the movement of the curser (crosshairs) by the use of the mouse at the server.	Crosshairs shall follow the commands from the mouse.	
	Notes:		
30	Demonstrate the simultaneous use of multiple graphics (coincident graphics)at the server.	Operator shall see the use of the tile function and the use of the tab function to manage multiple graphics.	
	Notes:		
31	Associate graphic properties such as color with the values from dynamic variables at	Graphic properties shall change as the value of the dynamic variable changes.	

Action Item the server.	Expected <u>Results</u>	Approve
Notes:		
Create conditional displays based on the value of a dynamic variable at the server. Notes:	The graphic display shall change as the dynamic variable changes.	
Review the standard symbol library at the	Operator shall see how to access symbols from the standard symbol library.	
Notes:		
Demonstrate how to move data from the database to a report at the server. Notes:	The executed report shall contain data from the database.	
Add comments and headers to a report at the server.	The executed report shall contain the comments and headers.	
Notes:		
Demonstrate the time stamping of data in a report at the server. Notes:	Data presented in a report shall include the date and time the data was sampled.	
Demonstrate the time stamping of the report generation at the server.	A report shall include the date and time it executed.	
Demonstrate basic mathematical manipulation	Report shall display the results of the mathematical	

<u>tem</u>	Action Item of data within a report (daily averages, highs, lows, etc.) at the server.	Expected <u>Results</u> manipulations.	Approved
	Notes:		
39	Demonstrate the operator's ability to select either automatic or manual generation of a	Reports shall execute per the operator's instructions.	
	or manual generation of a report.	Report one shall execute per the operator's instructions.	
		Report two shall execute automatically on a time basis per operator's instructions.	
	Notes:		
40	Demonstrate the selection of either display, print to printer	Reports shall execute per the operator's instructions.	
	or print to file.	Report one is printed to prin	ter.
		Report two is printed to file.	
	Notes:		
41	Demonstrate how a modified application program is imported into the server database for presentation to the workstations.	Modified list of variables shall be available from a workstation.	
	Notes:		
42	Demonstrate how a new device is added to the server database for presentation to the workstations.	New list of variables from the new device shall be available from a workstation.	
	Notes:		

End of Test

Expected	
Item Action Item Results	Approved

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Ten TITLE: Scheduling

#### **OBJECTIVE:**

1. Verify that M&C software has ability to operate end devices off a time of day schedule utilizing defined parameters.

# INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of the minimum programmable schedules in comparison to the specification requirement prior to testing.

b. Provide documentation of all schedules programmed in the UMCS prior to testing.

c. Provide a trend or report log of all equipment on a schedule prior to testing.

- 2. Equipment
  - a. Have GPPC and ASC with all scheduling completed for testing.
- 3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test: \_\_\_\_\_
- 5. Time of Test: \_\_\_\_\_
- 6. Contractor's Representative:
- 7. Government's Representative:

#### TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS	Demonstrate the basic	The value of SNVT_occupancy	

functionality of a time shall properly track the schedule by monitoring time schedule. the value of SNVT\_occupancy as the time changes through a start time or a stop time.

Notes: \_\_\_\_\_

Setup a weekly time Scheduling software shall schedule for a demo accommodate the described system with independent requirements. 2 times for each day of the week and with up to 6 events per day.

Item	Action Item	Expected Results	Approved
	Notes:		
3	Setup a special event or date specific time schedule and verify that this schedule takes precedence over the weekly schedule.	The special event schedule shall take precedence.	
	Notes:		
4	Setup a group time schedule for a collection of systems. This group schedule shall take precedence over the individual time schedules.	The group schedule shall take precedence.	
	Notes:		
5	Demonstrate operator access to a time schedule from a graphic page. Notes:	Operator shall be able to access the time scheduling editor from a graphic page.	
6	Display the current date and time on a graphic page.	Operator shall be able to view the current date and time from a graphic page.	
	Notes:		
7	Demonstrate automatic daylight savings time adjustment.	Time of day shifts automatically.	
	Notes:		
HVAC			
8	Demonstrate the ability of GPPC to accept an occupied, unoccupied and standby command from the UMCS.	Equipment shall change modes based on the UMCS or from "system scheduler" SNVT schedule data.	

Item	Action Item	Expected <u>Results</u>	Approved
	Notes:		
9	Demonstrate the ability of ASC to accept an occupied, unoccupied and standby command from the UMCS.	modes based on the UMCS	
	Notes:		
10	Demonstrate use of the default schedule when communication is lost to the UMCS.	Equipment should use the default schedule until communication is reestablished.	
	Notes:		
End of	Test		

Specific Abbreviations Y = Yes N = No NA = Not Applicable

TEST NUMBER: Eleven TITLE: Alarm Function

#### **OBJECTIVE**:

1. Verify M&C software is capable of alarm notification and routing.

# INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of alarm managing capacity in caparison with specification.

b. Provide documentation of all alarm types and priorities utilized in the M&C prior to testing.

c. Provide documentation of the alarm routing in this particular M&C.

- 2. Equipment
  - a. Provide GPPC and ASC will alarms programmed.
- 3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test: \_\_\_\_\_
- 5. Time of Test: \_\_\_\_\_
- 6. Contractor's Representative: \_\_\_\_\_
- 7. Government's Representative:

#### TEST PROCEDURES

Item	Action Item	Expected Results	Approved
umcs 1	Initiate a basic binary alarm condition such as a fan fail to start.	The nvo (SNVT) displayed on designated server/workstation shall change from a value of 0 to a value of 1.	
		The alarm shall be presented in the alarm window.	
		The alarm shall define the source of the alarm.	
		The alarm shall define the time of the alarm.	
		The alarm shall present its assigned priority.	
		The alarm shall display a text message.	

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Item	Action Item	Expected Results	Approved
	Notes:		
2	Demonstrate the capability of associating a secondary text message with the alarm.	With a simple point and click, the operator shall have access to the secondary text message.	
	Notes:		
3	Acknowledge the alarm.	The status of the alarm shall changed to acknowledged The user that acknowledged the alarm shall be recorded along with the date and time of the action.	
	Notes:		
4	Demonstrate the "pop up" of the alarm window when an alarm occurs.	When the alarm occurs, the alarm window shall automatically open.	
	Notes:		
5	Demonstrate the capability to send a numeric page when an alarm occurs.	The numeric page is received.	
	Notes:		
6	Demonstrate the capability to send an e-mail when an alarm occurs.	The e-mail shall be received.	
	Notes:		
7	Demonstrate the printing of an alarm on the alarm printer.	The printer shall print the alarm.	
	Notes:		

Item	Action Item	Expected Results	Approved
8	Identify the file on the hard disk that contains all of the alarms.	Opening the file shall display a list of all of the alarms.	
	Notes:		
9	Execute a user sort on the alarm file.	The presentation shall follow the defined sort.	
	Notes:		
1.0	Print the alarm file.		
10		Paper copy shall be printed.	
11	Take an application specific controller off-line.	An alarm should be generated.	
	Notes:		
12	Take a programmable controller off line.	An alarm should be generated.	
	Notes:		
13	Simulate a data circuit	An alarm should be generated.	
	going off line. Notes:		
14	Simulate a point not responding to a command.	An alarm should be generated.	
	Notes:		
15	Simulate a change of state without command.	An alarm should be generated.	
	Notes:		

Item	Action Item	Expected <u>Results</u>	Approved
DDC FOR	HVAC		
16	Initiate an alarm condition such as a fan fail to start.	DDC system shall dial a pager and send a numerical alarm.	
		DDC system shall dial an e-ma server. The node shall be ab to dial and connect to a remo server and send an e-mail via Simple Mail Transfer Protocol (SMTP).	ole ote
		DDC system shall send an e-ma over IP Network. The alarm handling node shall be capabl of connecting to an IP networ and sending e-mail via Simple Mail Transfer Protocol (SMTP).	.e ·k
	Notes:		

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Twelve TITLE: Trending

#### **OBJECTIVE**:

1. To validate the capability for historical trend data collection and presentation

2. To validate the capability for real time trend data collection and presentation

### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of trending capability in comparison with specification.

2. Equipment

a. Provide GPPC or ASC and workstation/server programmed with trend data.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: \_\_\_\_\_\_
5. Time of Test: \_\_\_\_\_\_
6. Contractor's Representative: \_\_\_\_\_\_
7. Government's Representative: \_\_\_\_\_\_
TEST PROCEDURES

		Expected	
Item	Action Item	Results	Approved
UMCS 1	Set up a trend with a 1 second sample rate.	It shall be possible to collect data on a 1 second sample rate.	
	Notes:		
2	Set up a trend to start and stop at specific times.	-	
	Notes:		
3	Open a trend data display that has 8 values trended versus time. a) historical data	-	

Item	<u>Action Item</u> b) instantaneous data	Expected Results	Approved
	Notes:		
4	Open a pre-programmed trend data presentation.	Trend plot shall open without operator programming.	
	Notes:		
5	Open the trend configuration dialog box and set up a trend.	Operator shall be able to configure a trend plot.	
	Notes:		
6	Set up a trend for a randomly selected binary value and a randomly selected analog value.	Any binary or analog variable shall be trendable.	
	Notes:		
7	Verify that historical trend data is stored on the hard drive.	With the controller offline, historical trend data from that controller shall be presented in a graphical form.	
	Notes:		
8	Export trend log data to Microsoft Excel for manipulation and printing by the operator.	Data shall be presented in a ****.xls form.	
	Notes:		
End of	Test		
	Specific Abbreviations: Y = Yes		

N = NoNA = Not Applicable

TEST NUMBER: Thirteen TITLE: Report Generation

# **OBJECTIVE**:

1. To demonstrate that M&C software has ability to generate reports in a fixed format initialized by operator request

#### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of all report logs set-up and the equipment associated with the report logs.

2. Equipment

a. Provide server/workstation, GPPC, ASC and I/O to create reports.

Evportod

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test:
- 5. Time of Test: \_\_\_\_\_
- 6. Contractor's Representative:
- 7. Government's Representative:

\_\_\_\_\_

### TEST PROCEDURES

Item	Action Item	Results	Approved
UMCS 1	Manually generate a report for viewing on the workstation.	Report shall present itself for viewing without disrupti the operation of the control system.	-
	Notes:		
2	Manually generate a report and direct it to a specific printer.	Report shall print on the specified printer.	
	Notes:		
3	Verify that the report contains the date and time associated with the raw data.	Data samples listed in the report shall have the associated date and time the samples were collected.	
	Notes:		

Item	Action Item	Expected <u>Results</u>	Approved
4	Verify that the report has the date and time the report was generated.	the date and time of the	
	Notes:		
5	Save a report to a file that is compatible with Microsoft Office products.		
	Notes:		
6	Generate a comma	The comma delimited data	
0	delimited file with trend log data.	shall be produced.	
	Notes:		
End of	Test		

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Fourteen TITLE: CTA CTA-709.1-D to IP Router Test

# **OBJECTIVE**:

1. Validate CTA CTA-709.1-D to IP Router requirements

# INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submittal information on router and O&M manual on network analysis tool.

2. Equipment

a. The router needs to be on and operating.

b. Provide a LONWORKS® network analysis tool and router configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- Date of Test: \_\_\_\_\_\_
   Time of Test: \_\_\_\_\_\_
   Contractor's Representative: \_\_\_\_\_\_
   Government's Representative: \_\_\_\_\_\_

TEST	PROCEDURES
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Item	Action Item	Expected Results	Approved		
UMCS 1	Connect and open network analysis tool and verify router.	-			
	Notes:				
2	Using router configuration tool, open network properties dialog box.	a static IP address and			
	Notes:				
3	Confirm LON data is transmitted to/from LON bus to IP network.	All LONWORKS® network data is being transmitted to/from the IP network.			
	Notes:				

		Expected	
Item	Action Item	Results	Approved

End of Test

Specific Abbreviations: Y = Yes N = No NA = Not Applicable

TEST NUMBER: Fifteen TITLE: CTA CTA-709.1-D Router and Repeater

# **OBJECTIVE**:

1. Validate EIA-709.1B Router and Repeater requirements

# INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submittal information on router/repeater and  $\ensuremath{\mathsf{O}\&M}$  Manual on network analysis tool.

2. Equipment

a. The router needs to be on and operating for a minimum of one week.

b. The repeater needs to be on and operating for a minimum of one week.

c. Provide a LONWORKS  $\ensuremath{\mathbb{R}}$  network analysis tool and router/repeater configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

5. 1 6. (	Date of Test: Time of Test: Contractor's Representative: Government's Representative:		
TEST PRO	OCEDURES		
Item	Action Item	Expected Results	Approved
DDC FOR	HVAC Connect and open network analysis tool and verify router and repeater.		
	Notes:		
2	Using router configuration tool, open the properties dialog box. Verify what data is configured to pass through router.	through the router is	
	Notes:		
3	Using repeater	Dialog box opens.	

<u>Item</u>	Action Item configuration tool, open the properties dialog box.	Expected <u>Results</u>	Approved		
	Notes:				
4	Verify that repeater is configured as a repeater and that all data is being sent.	-			
	Notes:				
End of	Test				
	Specific Abbreviations:				

Y = Yes

N = NO

NA = Not Applicable

**TEST NUMBER:** Sixteen TITLE: CTA CTA-709.1-D Gateway Test

#### **OBJECTIVE:**

1. Validate CTA CTA-709.1-D Gateway requirements.

#### INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide a list of all software that will be used to verify CTA CTA-709.1-D Gateway configuration.

b. Provide a LonMark external interface file (XIF) for the gateway.

2. Equipment

a. The gateway needs to be on and operating.

b. Provide a LonWorks® network analysis tool and gateway configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test: \_\_\_\_\_ 5. Time of Test: \_\_\_\_\_

the network.

- 6. Contractor's Representative: \_\_\_\_\_
- 7. Government's Representative: \_\_\_\_\_

#### TEST PROCEDURES

Item Action Item			Ī	Results	5
UMCS	and DDC FOR HVAC				
1	Connect a LONWORKS®	a.	Tool	shall	id

Approved

identify Network Analysis Tool to function, network address, and identifier of the device.

Expected

b. All network traffic from gateway shall be utilizing the CTA CTA-709.1-D protocol.

Notes:

Use gateway a. Gateway allows binding configuration tool to of the Standard Network verify or create a Variable Types from the binding from gateway to a LONWORKS® controller on controller. 2 the network. b. Information from gateway should be bounded and

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Item	Action Item	Expected <u>Results</u> LONWORKS® controller should be receiving data.	Approved
	Notes:		
3	Using gateway or network configuration tool verify the following:		
	Open the properties dialog box for one of the configured SNVTs.	Gateway should allow the SNVT to be transmitted on "min", "max" and "delta".	
	Rename one of the SNVTs from the gateway.	Gateway should allow all variable names to be customized.	
	Check total capacity of Gateway.	Gateway shall have 50% extra capacity to map over additional points.	
	Notes:		
4	Press service pin on gateway.	Gateway should broadcast the neuron ID and Program I over the network.	D
	Notes:		
5	Remove power source from gateway for two hours. Then return power to gateway.	Gateway should retain all configuration data.	
	Notes:		
End of	Test Specific Abbreviations:		

Y = Yes N = No NA = Not Applicable

TEST NUMBER: Seventeen TITLE: Local Display Panel (LDP)

**OBJECTIVE**:

1. To demonstrate capability of the Local display panel to view and override control points

#### INITIAL REQUIREMENTS/CONDITIONS

- 1. Submittal
  - a. O & M Manual for LDP
- 2. Equipment

a. Hardware and software to connect and demo LDP configuration tool

Evportod

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

- 4. Date of Test:
- 5. Time of Test:
- 6. Contractor's Representative:
- 7. Government's Representative:

\_\_\_\_\_

TEST	PROCEDURES
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Item	Action Item	Results	Approved
DDC FOR	Connect LDP to LON bus.	LDP Controller should broadcast its neuron ID.	
	Notes:		
2	Use navigation buttons on LDP to display a status point such as a temperature or fan status.	LCP should allow user to read all status points.	
	Notes:		
3	Use navigation buttons to display a control point such as a discharge air temperature setpoint.	LCP should allow user to read all control points.	
	Notes:		

Item	Action Item	Expected <u>Results</u>	Approved		
4	Use LDP to override setpoint.	System accepts new setpoint. Verify system reacts to new setpoint.			
	Notes:				
5	Use LDP to release local	Verify system returns			
-	control override.	to normal control.			
	Notes:				
End of	Test				

Specific Abbreviations: Y = Yes N = No NA = Not Applicable -- End of Section --

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# SECTION 25 10 10

# UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION 02/19

### PART 1 GENERAL

1.1 SUMMARY

Integrate field control systems installed in Lincoln Hall per Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS into the existing Utility Monitoring and Control System (UMCS) via Niagara Framework Supervisory Gateways as specified. The new controls shall communicate with the basewide UMCS via the secure Campus Academic Network.

1.1.1 System Requirements

Provide the system as specified and indicated, and in accordance with the following characteristics:

- 1.1.1.1 General System Requirements
  - a. The system shall perform supervisory monitoring and control functions including but not limited to Scheduling, Alarm Handling, Trending, Overrides, and Report Generation as specified.
  - b. The system shall include a Graphical User Interface which allows for graphical navigation between systems, graphical representations of systems, access to real-time data for systems, ability to override points in a system, and access to all supervisory monitoring and control functions. Provide graphic displays for new HVAC equipment and match current standard graphics that have been implementated at all the recently renovated barracks. The contractor shall coordinate access to basewide UMCS server NEC with the Government.
  - c. Provide sufficient documentation and data, including rights to documentation and data, such that the Government or their agents can execute work to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor.
  - d. All necessary documentation, configuration information, configuration tools, programs, drivers, and other software is licensed to and otherwise remains with the Government such that the Government or their agents are able to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor. Software licenses must not require periodic fees and must be valid in perpetuity.
  - e. Provide sufficient documentation and data, including rights to documentation and data, such that the Government or their agents can execute work to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor.
  - f. All Niagara Framework components have an unrestricted interoperability license with a Niagara Compatibility Statement (NiCS) following the

Tridium Open NiCS Specification and have a value of "ALL" for "Station Compatibility In", "Station Compatibility Out", "Tool Compatibility In" and "Tool Compatibility Out". Note that this will result in the following entries in the license.dat file: accept.station.in="\*" accept.station.out="\*" accept.wb.in="\*"

- g. The version of Niagara Framework used on this project must be Version 4.0 or later.
- 1.1.1.2 Niagara Framework Requirements

The UMCS must use the Niagara Framework and must communicate with Niagara Framework field control systems using the Fox protocol over the Government furnished IP networkas indicated and specified.

1.1.2 General Cybersecurity Requirements

Address cybersecurity in accordance with existing accreditation requirements and DA AR 25-2.

1.1.3 Symbols, Definition and Abbreviations

Use symbols, definitions, and engineering unit abbreviations indicated in the contract drawings for displays, submittals and reports. For symbols, definitions and abbreviations not in the contract drawings use terms conforming at a minimum to IEEE Stds Dictionary and the ASHRAE FUN IP, as applicable.

1.1.4 System Units and Accuracy

Use English (inch-pound) units for displays, print-outs and calculations. Perform calculations with an accuracy of at least three significant figures. For displays and printouts present values to at least three significant figures.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

CONSUMER ELECTRONICS ASSOCIATION (CEA)

CEA-709	.1-D			(2014) Control Network Protocol Specification				
CEA-709.3				(1999; R 2015) Free-Topology Twisted-Pair Channel Specification				
CEA-852-	-C			(2014) Tunneling Device Area Network Protocols Over Internet Protocol Channels				
	INSTITUTE	OF	ELECTRICAL	L AND ELECTRONICS ENGINEERS (IEEE)				

IEEE 802.11	(2016;	AI	2017;	AJ	2018;	AK	2018;	AQ	2018)
	Inform	atio	on Tecl	hnol	logy-T	eled	commun	icat	tions

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 and Information Exchange Between Systems Local and Metropolitan Area Networks-Specific Requirements Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications IEEE C62.41 (1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits IEEE Stds Dictionary (2009) IEEE Standards Dictionary: Glossary of Terms & Definitions INTERNET ENGINEERING TASK FORCE (IETF) IETF RFC 4361 (2006) Node-specific Client Identifiers for Dynamic Host Configuration Protocol Version Four (DHCPv4) RFC 821 (2001) Simple Mail Transfer Protocol (SMTP) LONMARK INTERNATIONAL (LonMark) LonMark Interoperability Guide (2005) LonMark Application-Layer Interoperability Guide and LonMark Layer 1-6 Interoperability Guide; Version 3.4 LonMark SNVT List (2014) LonMark SNVT Master List; Version 15 (2001) LonMark External Interface File LonMark XIF Guide Reference Guide; Revision 4.402 NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 262 (2019) Standard Method of Test for Flame Travel and Smoke of Wires and Cables for Use in Air-Handling Spaces NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA) TIA-568-C.1 (2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard TIA-606 (2017c) Administration Standard for the Telecommunications Infrastructure (2015c; Addendum 1 2017) Generic TIA-607 Telecommunications Bonding and Grounding (Earthing) for Customer Premises TRIDIUM, INC (TRIDIUM) Niagara Framework (2015) Niagara 4 User's Guide

West Point, NYContract #W912DS19C0031Lincoln Hall Revised RTA Submission1 March 2023

Tridium Open NiCS (2005) Understanding the Niagara Compatibility Statement (NiCS)

#### U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC EMC(2002) FCC Electromagnetic Compliance<br/>RequirementsFCC Part 15Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 60950 (2000; Reprint Oct 2007) Safety of Information Technology Equipment

# 1.3 DEFINITIONS

The following list of definitions may contain terms not found elsewhere in this Section but are included here for completeness. Some terms are followed with a protocol reference in parenthesis indicating to which protocol the term and definition applies. Inclusion of protocol-specific definitions does not create a requirement to support that protocol, nor does it relax any requirements to support specific protocols as indicated elsewhere in this section.

# 1.3.1 Alarm Generation

The process of comparing a point value (the point being alarmed) with a pre-defined alarm condition (e.g. a High Limit) and performing some action based on the result of the comparison.

#### 1.3.2 Alarm Handling

See Alarm Routing

#### 1.3.3 Alarm Routing

Alarm routing is M&C software functionality that starts with a notification that an alarm exists (typically as the output of an Alarm Generation process) and sends a specific message to a specific alarm recipient or device.

# 1.3.4 Application Generic Controller (AGC)(LonWorks)

A device that is furnished with a (limited) pre-established application that also has the capability of being programmed. Further, the ProgramID and XIF file of the device are fixed. The programming capability of an AGC may be less flexible than that of a General Purpose Programmable Controller (GPPC).

# 1.3.5 Application Specific Controller (ASC)(LonWorks)

A device that is furnished with a pre-established built in application that is configurable but not re-programmable. An ASC has a fixed factory-installed application program (i.e Program ID) with configurable settings.

1.3.6 Binary

A two-state system or signal; for example one where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.3.7 Binding (LonWorks)

The act of establishing communications between CEA-709.1-D devices by associating the output of a device to the input of another so that information is automatically (and regularly) sent without being requested by the recipient.

1.3.8 Broadcast

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.3.9 Building Control Network (BCN)

The network used by the Building Control System. Typically the BCN is a LonWorks CEA-709.1-D network installed by the building control system contractor.

1.3.10 Building Control System (BCS)

One type of Field Control System. A control system for building electrical and mechanical systems, typically HVAC (including central plants) and lighting. A BCS generally uses Direct Digital Control (DDC) Hardware and generally does NOT include its own local front end.

1.3.11 Building Point of Connection (BPOC)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware)

1.3.12 Channel (LonWorks)

A portion of the control network consisting of one or more segments connected by repeaters. Channels are separated by routers. The device quantity limitation is dependent on the topology/media and device type. For example, a TP/FT-10 network with locally powered devices is limited to 128 devices per channel.

1.3.13 Configuration Property (LonWorks)

Controller parameter used by the application which is usually set during installation/testing and seldom changed. For example, the P and I settings of a P-I control loop. Also see 'Standard Configuration Property Type (SCPT)'

1.3.14 Control Logic Diagram

A graphical representation of control logic for multiple processes that make up a system.

1.3.15 Explicit Messaging (LonWorks)

A non-standard and often vendor (application) specific method of communication between devices.

1.3.16 External Interface File (XIF) (LonWorks)

A file which documents a device's external interface, specifically the number and types of LonMark objects, the number, types, directions, and connection attributes of network variables, and the number of message tags.

1.3.17 Field Point Of Connection (FPOC)

The FPOC is part of the UMCS IP network and acts as the point of connection between the UMCS IP Network and the field control IP network. The FPOC is an IT device such as a switch, IP router, or firewall, typically managed by the site IT staff. (Note that the field control IP network may consist of a single IP device, or that integration may require installation of a field control network IP device.)

1.3.18 Field Control Network

The network used by a field control system.

1.3.19 Field Control System (FCS)

A building control system or utility control system.

1.3.20 Fox Protocol (Niagara Framework)

The protocol used for communication between components in the Niagara Framework. By default, Fox uses TCP port 1911

1.3.21 Functional Profile (LonWorks)

A standard description, defined by LonMark International, of a LonMark Object used to classify and certify devices.

1.3.22 Gateway

A device that translates from one protocol to another. Devices that change only the transport mechanism of the protocol - "translating" from LonWorks over TP/FT-10 to LonWorks over IP for example - are not gateways as the underlying protocol (data format) does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.3.23 General Purpose Programmable Controller (GPPC) (LonWorks)

Unlike an ASC or AGC, a GPPC is not furnished with a fixed application program and does not have a fixed ProgramID or XIF file. A GPPC can be (re-)programmed, usually using vendor-supplied software. When a change to the program affects the external interface (and the XIF file) the ProgramID will change.

1.3.24 JACE (Niagara Framework)

Java Application Control Engine. See Niagara Framework Supervisory Gateway

1.3.25 LonMark Object (LonWorks)

A collection of network variables, configuration properties, and associated behavior defined by LonMark International and described by a Functional Profile. It defines how information is exchanged between devices on a network (inputs from and outputs to the network).

1.3.26 LNS Plug-in (LonWorks)

Software which runs in an LNS compatible software tool, typically a network configuration tool. Device configuration plug-ins provide a 'user friendly' method to edit a device's configuration properties.

1.3.27 LonMark (LonWorks)

See LonMark International. Also, a certification issued by LonMark International to CEA-709.1-D devices.

1.3.28 LonMark International (LonWorks)

Standards committee consisting of independent product developers, system integrators and end users dedicated to determining and maintaining the interoperability guidelines for LonWorks. Maintains guidelines for the interoperability of CEA-709.1-D devices and issues the LonMark Certification for CEA-709.1-D devices.

1.3.29 LonWorks (LonWorks)

The term used to refer to the overall technology related to the CEA-709.1-D protocol (sometimes called "LonTalk"), including the protocol itself, network management, interoperability guidelines and products.

1.3.30 LonWorks Network Services (LNS) (LonWorks)

A network management and database standard for CEA-709.1-D devices.

1.3.31 LonWorks Network Services (LNS) Database (LonWorks)

The standard database created and used by LonWorks Network Services (LNS) compatible tools, such as LNS Network Configuration tools.

1.3.32 Modbus

A basic protocol for control network communications generally used in utility control systems. The Modbus protocol standard is maintained by The Modbus Organization.

1.3.33 Monitoring and Control (M&C) Software

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.3.34 Network Variable (LonWorks)

See 'Standard Network Variable Type (SNVT)'.

# 1.3.35 Network Configuration Tool (LonWorks)

The software used to configure the control network and set device configuration properties. This software creates and modifies the control network database (LNS Database).

# 1.3.36 Niagara Framework

A set of hardware and software specifications for building and utility control owned by Tridium Inc. and licensed to multiple vendors. The Framework consists of front end (M&C) software, web based clients, field level control hardware, and engineering tools. While the Niagara Framework is not adopted by a recognized standards body and does not use an open licensing model, it is sufficiently well-supported by multiple HVAC vendors to be considered a de-facto Open Standard.

1.3.37 Niagara Framework Supervisory Gateway (Niagara Framework)

DDC Hardware component of the Niagara Framework. A typical Niagara architecture has Niagara specific supervisory gateways at the IP level and other (non-Niagara specific) controllers on field networks (TP/FT-10, MS/TP, etc.) beneath the Niagara supervisory gateways. The Niagara specific controllers function as a gateway between the Niagara framework protocol (Fox) and the field network beneath. These supervisory gateways may also be used as general purpose controllers and also have the capability to provide a web-browser based user interface.

Note that different vendors refer to this component by different names. The most common name is "JACE"; other names include "EC-BOS", "FX-40", and "UNC".

### 1.3.38 Node (LonWorks)

A device that communicates using the CEA-709.1-D protocol and is connected to a CEA-709.1-D network.

# 1.3.39 Node Address (LonWorks)

The logical address of a node on the network, consisting of a Domain number, Subnet number and Node number. Note that the "Node number" portion of the address is the number assigned to the device during installation and is unique within a subnet. This is not the factory-set unique Node ID (see Node ID).

# 1.3.40 Node ID (LonWorks)

A unique 48-bit identifier assigned (at the factory) to each CEA-709.1-D device. Sometimes called the Neuron ID.

# 1.3.41 Override

To change the value of a point outside of the normal sequence of operation where this change has priority over the sequence. An override can be accomplished in one of two ways: the point itself may be Commandable and written to with a priority or there may be a separate point on the controller for the express purpose of implementing the override.

Typically this override is from the Utility Monitoring and Control System (UMCS) Monitoring and Control (M&C) Software. Note that this definition

is not standard throughout industry.

# 1.3.42 Point, Calculated

A value within the M&C Software that is not a network point but has been calculated by logic within the software based on the value of network points or other calculated points. Calculated points are sometimes called virtual points or internal points.

# 1.3.43 Point, Network

A value that the M&C Software reads from or writes to a field control network.

# 1.3.44 Polling

A requested transmission of data between devices, rather than an unrequested transmission such as Change-Of-Value (COV) or Binding where data is automatically transmitted under certain conditions.

# 1.3.45 Program ID (LonWorks)

An identifier (number) stored in the device (usually EEPROM) that identifies the node manufacturer, functionality of device (application & sequence), transceiver used, and intended device usage.

# 1.3.46 Repeater

A device that connects two control network segments and retransmits all information received on one side onto the other.

### 1.3.47 Router (LonWorks)

A device that connects two channels and controls traffic between the channels by retransmitting signals received from one subnet onto the other based on the signal destination. Routers are used to subdivide a control network and to control bandwidth usage.

## 1.3.48 Segment

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type. For example, a TP/FT-10 segment with locally powered devices is limited to 64 devices.

#### 1.3.49 Service Pin (LonWorks)

A hardware push-button on a device which causes the device to broadcast a message containing its Node ID and Program ID. This broadcast can also be initiated via software.

1.3.50 Standard Configuration Property Type (SCPT) (LonWorks)

Pronounced 'skip-it'. A standard format type (maintained by LonMark International) for Configuration Properties.

1.3.51 Standard Network Variable Type (SNVT) (LonWorks)

Pronounced 'snivet'. A standard format type (maintained by LonMark International) used to define data information transmitted and received by the individual nodes. The term SNVT is used in two ways. Technically it is the acronym for Standard Network Variable Type, and is sometimes used in this manner. However, it is often used to indicate the network variable itself (i.e. it can mean "a network variable of a standard network variable type"). In general, the intended meaning should be clear from the context.

#### 1.3.52 Subnet (LonWorks)

Consists of a logical grouping of up to 127 nodes, where the logical grouping is defined by node addressing. Each subnet is assigned a number which is unique within the Domain. See also Node Address.

#### 1.3.53 Supervisory Controller

A controller implementing a combination of supervisory logic (global control strategies or optimization strategies), scheduling, alarming, event management, trending, web services or network management. Note this is defined by use; many supervisory controllers have the capability to also directly control equipment.

## 1.3.54 Supervisory Gateway

A device that is both a supervisory controller and a gateway, such as a Niagara Framework Supervisory Gateway.

# 1.3.55 TP/FT-10 (LonWorks)

A Free Topology Twisted Pair network (at 78 kbps) defined by CEA-709.3. This is the most common media type for a CEA-709.1-D control network.

#### 1.3.56 TP/XF-1250 (LonWorks)

A high speed (1.25 Mbps) twisted pair, doubly-terminated bus network defined by the LonMark Interoperability Guidelines. This media is typically used only as a backbone media to connect multiple TP/FT-10 networks.

# 1.3.57 UMCS Network

An IP network connecting multiple field control systems to the Monitoring and Control Software using one or more of: LonWorks (CEA-709.1-D and CEA-852-C), BACnet (ASHRAE 135 Annex J), MODBUS Protocol, MODBUS TCP/IP or OPC DA.

Pronounced 'u-keep-it'. A Configuration Property format type that is defined by the device manufacturer.

1.3.59 User-defined Network Variable Type (UNVT) (LonWorks)

A network variable format defined by the device manufacturer. Note that UNVTs create non-standard communications (other vendor's devices may not correctly interpret it) and may close the system and therefore are not

<sup>1.3.58</sup> User-defined Configuration Property Type (UCPT) (LonWorks)

permitted by this specification.

1.3.60 Utility Control System (UCS)

One type of field control system. Used for control of utility systems such as an electrical substation, sanitary sewer lift station, water pump station, etc. Building controls are excluded from a UCS, however it is possible to have a Utility Control System and a Building Control System in the same facility, and for those systems to share components such as the FPOC. A UCS may include its own local front-end.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES and TABLE 1: PROJECT SEQUENCING:

SD-02 Shop Drawings

UMCS Contractor Design Drawings; G, RO

UMCS Contractor Design Drawings as a single complete package: 3 hard copies and 3 copies on CDROM. Submit hardcopy drawings on ISO A1 34 by 22 inches or A3 17 by 11 inches sheets, and electronic drawings in both PDF and AutoCAD format.

Draft As-Built Drawings; G, RO

Draft As-Built Drawings as a single complete package: 3 hard copies and 3 copies on CDROM. Submit hardcopy drawings must on ISO A1 34 by 22 inches or A3 17 by 11 inches sheets, and electronic drawings in both PDF and AutoCAD format.

Final As-Built Drawings; G, RO

Final As-Built Drawings as a single complete package: 3 hard copies and 3 copies on CDROM. Submit hardcopy drawings on ISO Al 34 by 22 inches or A3 17 by 11 inchessheets, and electronic drawings in both PDF and AutoCAD format.

SD-03 Product Data

Product Data Sheets; G, RO

SD-06 Test Reports

Pre-Construction QC Checklist; G, RO

Four copies of the Pre-Construction QC Checklist.

Post-Construction QC Checklist; G, RO

Four copies of the Post-Construction QC Checklist.

Existing Conditions Report; G,RO

Four copies of the Existing Conditions Report.

Start-Up and Start-Up Testing Report; G, RO

Four copies of the Start-Up and Start-Up Testing Report. The Start-Up and Testing report may be submitted as a Technical Data Package.

PVT Phase I Procedures; G, RO

Four copies of the PVT Phase I Procedures. The PVT Procedures may be submitted as a Technical Data Package.

PVT Phase I Report; G, RO

Four copies of the PVT Phase I Report. The PVT Phase I Report may be submitted as a Technical Data Package.

PVT Phase II Report; G, RO

Four copies of the PVT Phase II Report. The PVT Phase II Report may be submitted as a Technical Data Package.

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G, RO

Four bound O&M Instructions and 4 copies of the Instructions in PDF format on optical disc. Index and tab bound instructions. Submit instructions in PDF form as a single PDF file, or as multiple PDF files with a PDF file table of contents containing links to the other files. O&M Instructions may be submitted as a Technical Data Package.

Basic Training Documentation; G, RO

Training manuals for Basic Training delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Basic Training Documentation may be submitted as a Technical Data Package.

Advanced Training Documentation; G, RO

One set of training manuals delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Advanced Training Documentation may be submitted as a Technical Data Package.

SD-11 Closeout Submittals

Closeout QC Checklist; G, RO

Four copies of the Closeout QC Checklist.

## 1.5 PROJECT SEQUENCING

TABLE I: PROJECT SEQUENCING specifies the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column).

## 1.5.1 Sequencing for Submittals

The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon re-submittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

1.5.2 Sequencing for Activities

The sequencing specified for activities indicates the earliest the activity may begin.

1.5.3 Abbreviations

In TABLE I the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

#### TABLE I. PROJECT SEQUENCING

ITEM	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY or DEADLINE FOR SUBMITTAL)
1		Notice to proceed	
2	S	Existing Conditions Report	15 days after AAO #1
3	S	Design Drawings	30 days after AAO #1
4	S	Product Data Sheets	15 days after AAO #1
5	S	Pre-construction QC Checklist	15 days after AAO #1
б	Е	Start-Up and Start-Up Testing Report	15 days ACO #5
7	S	Draft As-Built Drawings	15 days ACO #6

## TABLE I. PROJECT SEQUENCING

ITEM	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY or DEADLINE FOR SUBMITTAL)
8	S	PVT Phase I Procedures	15 days before scheduled start of #9 and AAO #6
9	S	PVT Phase I	AAO #7 and #8
10	S	PVT Phase I Report	15 days ACO #9
11	S	O&M Instructions	AAO #6
12	S	Basic Training Documentation	AAO #6 and 15 days before scheduled start of #8
13	Е	Basic Training (PVT Phase II)	AAO #11 and #12
14	S	PVT Phase II Report	15 days ACO #13
15	S	Final As-Built Drawings	15 days ACO #14
16	E	Advanced Training Documentation	15 days before scheduled start of #1 7 and AAO #12
17	S	Advanced Training	AAO #13, 15 days AAO #16, and no later than 30 days ACO #13
18	S	Closeout QC Checklist	ACO #17

#### 1.6 QUALITY CONTROL (QC) CHECKLISTS

The Contractor's Chief Quality Control (QC) Representative must complete the QC Checklist in APPENDIX A, and must submit the Pre-Construction QC Checklist, Post-Construction QC Checklist and Closeout QC Checklist as specified. The QC Representative must verify each item in the Checklist and initial in the provided area to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

The APPENDIX A QC Checklist is available as an editable file at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-10-10

#### 1.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide UMCS Operation and Maintenance Instructions which include:

- a. Procedures for the UMCS system start-up, operation and shut-down.
- b. Final As-Built drawings.

- c. Routine maintenance checklist, arranged in a columnar format: The first column listing all installed devices, the second column stating the maintenance activity or stating that no maintenance required, the third column stating the frequency of the maintenance activity, and the fourth column providing any additional comments or reference.
- d. Qualified service organization list including points of contact with phone numbers.
- e. Start-Up and Start-Up Testing Report.
- f. Performance Verification Test (PVT) Procedures and Reports.

## PART 2 PRODUCTS

- 2.1 EQUIPMENT REQUIREMENTS
- 2.1.1 Product Certifications

For computing devices, as defined in FCC Part 15, supplied as part of the UMCS provide devices which are certified to comply with the requirements of Class B computing devices.

2.1.2 Product Sourcing

For units of the same type of equipment, provide products of a single manufacturer. For each major component of equipment provide equipment with the manufacturer's name and the model and serial number in a conspicuous place. For materials and equipment, provide new standard unmodified products of a manufacturer regularly engaged in the manufacturing of such products.

#### 2.1.3 General Requirements

Provide components that meet the following requirements:

- a. Portions of the data communications equipment system installed in unconditioned spaces must operate properly in an environment with ambient temperatures between 32 and 120 degrees F and ambient relative humidity between 10 percent and 90 percent noncondensing.
- b. Components must accept 100 to 125 volts AC (Vac), 60 Hz, single phase, three wire with a three-pronged, dedicated circuit outlet or be provided with a transformer to meet the component's power requirements.
- c. The equipment must meet the requirements of NFPA 70, UL 60950, NFPA 262, FCC EMC, and FCC Part 15.

## 2.1.4 Nameplates

Provide nameplates of laminated plastic identifying the function, network address, if applicable, and identifier of the device. Laminated plastic must be at least 0.125 inch thick, white with black center core. Nameplates must be a minimum of 1 by 3 inch with minimum 0.25 inch high engraved block lettering.

## 2.1.5 Product Data Sheets

For all products (equipment) specified in PART 2 and supplied under this contract, submit copies of all manufacturer catalog cuts and specification sheets to indicate conformance to product requirements.

- 2.2 CONTROL HARDWARE
- 2.2.1 Control Protocol Routers
- 2.2.1.1 LonWorks/IP Router

Provide LonWorks/IP Routers which perform layer 3 routing of CEA-709.1-D packets over an IP network in accordance with CEA-852-C. The router must provide the appropriate connection to the IP network and connections to the CEA-709.3 TP/FT-10 or TP/XF-1250 network. LonWorks/IP Routers must support the Dynamic Host Configuration Protocol (DHCP; IETF RFC 4361) for IP configuration and the use of an CEA-852-C Configuration Server (for CEA-852-C configuration), but must not rely on these services for configuration. LonWorks/IP Routers must be capable of manual configuration via a console RS-232 port.

2.2.2 Monitoring and Control (M&C) Controller Hardware

Provide Monitoring and Control (M&C) Controller Hardware which is a Niagara Framework Supervisory Gateway or a microprocessor-based direct digital control hardware and which communicates over the UMCS IP network using one of:

a. CEA-709.1-D in accordance with CEA-852-C and using only Standard Network Variable Types (SNVTs) as defined by the LonMark SNVT List.

Monitoring and Control (M&C) Controller Hardware must either meet the requirements of the LonMark Interoperability Guide or be BTL Listed.

#### 2.2.3 Control Protocol Gateways

Provide Control Protocol Gateways which perform bi-directional protocol translation between one of the following protocols and another protocol: CEA-709.1-D and Fox protocol. Provide Control Protocol Gateways which also meet the following requirements.

- a. Gateways must have two or more separate network connections, each appropriate for the protocol and media used. A single network connection must not be used for both protocols.
- b. Gateways must be capable of being installed, configured and programmed through the use of instructions in the manual supplied by the Contractor.
- c. Provide and license to the Government all software required for gateway configuration.
- d. Gateways must retain their configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.
- e. Gateways must provide capacity for mapping all required points as indicated plus an additional 10 percent between the two protocols it

uses.

- f. Gateways must, in addition, meet all requirements specified (in the following subparagraphs) for each of the two protocols it translates.
- 2.2.3.1 Gateway for CEA-709.1

For a gateways using CEA-709.1-D provide gateways which meet the following requirements in addition to the requirements for all gateways:

- a. It must allow bi-directional mapping of data in the Gateway to Standard Network Variable Types (SNVTs) according to the LonMark SNVT List.
- b. Gateways communicating CEA-709.1-D over an IP network must communicate in accordance with CEA-852-C.
- c. It must allow of its standard network variables (SNVTs) and support transmitting data using the "min, max, and delta" (throttling and heartbeat) methodology.
- d. It must provide the ability to label SNVTs.
- e. It must supply a LonMark external interface file (XIF) as defined in the LonMark XIF Guide for use with LNS tools and utilities.
- f. It must have a "service pin" which, when pressed, will cause the Gateway to broadcast its 48-bit NodeID and ProgramID over the network.
- g. It must provide a configurable self-documenting string.
- 2.2.3.2 Niagara Framework Supervisory Gateway

Niagara Framework Supervisory Gateway Hardware must:

- a. be direct digital control hardware.
- b. have an unrestricted interoperability license and a Niagara Compatibility Statement (NiCS) that follows the Tridium Open NiCS Specification.
- c. manage communications between a field control network and the Niagara Framework Monitoring and Control Software and between itself and other Niagara Framework Supervisory Gateways. Niagara Framework Supervisory Gateway Hardware must use Fox protocol for communication with other Niagara Framework Components.
- d. be fully programmable using the Niagara Framework Engineering Tool and support the following:
  - (1) Time synchronization, Calendar, and Scheduling using Niagara Scheduling Objects
  - (2) Alarm generation and routing using the Niagara Alarm Service
  - (3) Trending using the Niagara History Service and Niagara Trend Log Objects
  - (4) Integration of field control networks using the Niagara Framework

Engineering Tool

- (5) Configuration of integrated field control system using the Niagara Framework Engineering Tool when supported by the field control system
- e. meet the following minimum hardware requirements:
  - (1) One 10/100 Mbps Ethernet Port
  - (2) One port compatible with the field control system to be integrated using this product.
- f. provide access to field control network data and supervisory functions via web interface and support a minimum of 16 simultaneous users

#### 2.3 COMPUTER HARDWARE

For computer hardware furnished under this specification provide standard products of a single manufacturer which advertises service in all 48 contiguous states, and provide only model currently in production. Except for PCI-E cards installed into expansion slots provided in a desktop or server computer in order to meet the requirements of this specification, do not modify computer hardware from the manufacturer configuration.

2.3.1 Workstation Hardware (Laptop)

Provide a laptop meeting the following minimum requirements for the Computer Workstation Hardware.

- 2.3.1.1 Processor
- 2.3.1.1.1 Laptop

Quad-core processor designed for laptop applications. Processor speed must be at least 50 percent of the speed of the fastest Intel laptop processor commercially available.

2.3.1.2 Random Access Memory (RAM)

300 percent of the recommended requirements of the software to be installed and no less than 8GB.

- 2.3.1.3 Communications Ports
- 2.3.1.3.1 Laptop

Two USB ports, plus a PCMCIA card slot or an additional USB port, plus an integral RS-232 serial port or an additional USB port and a USB to RS-232 serial adapter.

- 2.3.1.4 Hard Drive and Controller
- 2.3.1.4.1 Laptop

250GB or larger solid state drive.

2.3.1.5 Optical Drive

DVD-RW drive

- 2.3.1.6 Video Output
- 2.3.1.6.1 Laptop

32-bit color with a minimum resolution of 1920 by 1080 at minimum refresh rates of 70 Hz and VGA or HDMI output.

- 2.3.1.7 Network Interface
- 2.3.1.7.1 Laptop

Integrated 1000Base-T Ethernet with RJ45 connector and an integrated IEEE 802.11b/g/n wireless interface. The Laptop must have a physical switch for activation and deactivation of the wireless interface.

- 2.3.1.8 Monitor
- 2.3.1.8.1 Laptop

LCD Screen sized as indicated but no less than 325 mm 13 inch nominal with a maximum supported resolution of no less than 1600 by 900 pixels.

- 2.3.1.9 Keyboard and Smart Card Reader
- 2.3.1.9.1 Laptop

Standard laptop keyboard. Internal smart card reader compatible with a Department of Defense Common Access Card (CAC).

- 2.3.1.10 Mouse
- 2.3.1.10.1 Laptop

Integrated touch-pad plus a 2-button wired USB optical scroll mouse with a minimum resolution of 400 dots per inch.

## 2.4 COMPUTER SOFTWARE

2.4.1 Monitoring and Control (M&C) Software

Utilize the existing interface software on existing basewide Niagara UMCS blade server located in the Network Enterprise Center (NEC)and provide necessary programming modification and graphical display as required. Match current standard graphics that have been implementated at all the recently renovated buildings.

## 2.4.1.1 Graphical System Displays

Provide graphical displays consisting of building system (air handler units, VAV boxes, etc.) graphic displays. Data associated with an active display must be updated at least once every 5 seconds.

2.4.1.1.1 Navigation Scheme

System graphic displays of building systems and points must be

hierarchical displays using a building-to-equipment point-and-click navigation scheme which allows navigation from a garrison-wide display, through a building-wide display to the individual units. Each display must show the building name and number. Each display must show system wide data such as outside air temperature and humidity in the case of an HVAC system application.

- a. For each Building or Building Sub-Area display, show the building foot print and basic floor plan, and clearly show and distinguish between the individual zones and the equipment serving each zone and space. Show all space sensor and status readings, as applicable, for the individual zones such as space temperature, humidity, occupancy status, etc. Show the locations of individual pieces of monitored and controlled equipment.
- b. For each equipment display show a one-line diagram control schematic representation of the individual pieces of equipment using the symbols and M&C point data types as specified. Use different colors and textures to indicate various components and real time data. Use consistent color and texture meanings across all displays.
- c. Provide displays which clearly distinguish between the following point data types and information:
  - (1) Real-time data.
  - (2) Other user-entered data.
  - (3) Devices in alarm (unacknowledged).
  - (4) Out-of-range, bad, or missing data.
  - (5) Points which are overridden.
- 2.4.1.1.2 Navigation Commands

Provide system displays which support English language operator commands via point-and-click mouse or keyboard entry for defining and selecting points, parameters, graphics, report generation, and all other functions associated with operation. The operator commands must be usable from any operator workstation with individual operator passwords as specified.

## 2.4.1.2 Graphic Editor

Provide a fully featured graphics editor and capable of creating custom graphics and graphic symbols for use by the System Display Editor.

#### 2.4.1.3 System Display Editor

Provide a system display editor which allows the user to create, modify, and delete graphic displays. The display editor may have a separate user interface and is not required to be accessible via the web browser interface. Provide a display editor which includes the following functions:

- a. Create and save displays. Save an existing or modified display as a new display (i.e. "save as")
- b. Group and ungroup graphics, where graphics include both alphanumeric and graphic symbols, and where a grouped graphic is manipulated as a

single graphic.

- c. Place, locate, resize, move, remove, reposition, rotate and mirror a graphic on a display.
- d. Overlay graphics over other graphics and assign depths such that when there are coincident graphics the one on top is visible.
- e. Modify graphic properties based on the value of network points and create conditions governing the display of a graphics such that different graphics are visible based on the value of network points or calculated points
- f. Integrate real-time data with the display.
- g. Establish connecting lines.
- h. Establish sources of latest data and location of readouts.
- i. Display analog values as specified.
- j. Assign conditions which automatically initiate a system display.
- k. Include library of display symbols which include: Pump, Motor, Twoand Three-way Valves, Flow Sensing Element, Point and Averaging Temperature Sensors, Pressure Sensor, Humidity Sensor, Single and Double Deck Air Handling Unit, Fan, Chiller, Boiler, Air Compressor, Chilled Water Piping, Steam Piping, Hot Water Piping, Ductwork, Unit Heater, Pressure Reducing Valve, Damper, Electric Meter, Limit Switch, Flow Switch, High- and Low- Point and Averaging Temperature Switches, High- and Low- Pressure Switches, Coil, Solenoid Valve, Filter, Condensing Unit, Cooling Tower, Variable Frequency Drive (VFD), Heat Exchanger, Current Sensing Relays, Generator, Circuit Breaker, Transformer, Tank.
- 2.4.1.4 Scheduling
  - a. The M&C software must be capable of performing time synchronization and configuring Niagara Framework Schedule Objects in Niagara Framework Supervisory Gateways.
  - b. The scheduling graphic display shall be accessible via the graphical user interface, with the following fields and functions:
    - (1) Current date and time.
    - (2) System identifier(s) and name(s), including location information such as Building name(s) and number(s).
    - (3) System group. Systems grouped by the user to perform according to a common schedule.
    - (4) Weekly schedules. For each system, a weekly schedule based on a seven day per week schedule with independent schedules for each day of the week including no less than 6 value changes per day.
    - (5) Holiday and special event schedules. Support for holiday and special event calendar schedules independent of the daily schedule. Special event schedules include one-time events and

recurring events. Scheduling of one-time events include the beginning and ending dates and times of the event. Holiday and special event schedules must have precedence over device weekly schedules.

# 2.4.1.5 Alarms

- a. Alarm Data. Alarm data to be displayed and stored must include:
  - Identification of alarm including building, system (or sub-system), and device name.
  - (2) Date and time to the nearest second of occurrence.
  - (3) Alarm type:

(a) Unreliable: Indicates that the source device has failed due to the sensing device or alarm parameter being out-of-range or bad data.

- (b) High Alarm.
- (c) Low Alarm.
- (4) Current value or status of the alarm point, including engineering units
- (5) Alarm limits, including engineering units.
- (6) Alarm priority.
- (7) Alarm Message: A unique message with a field of at least 60 characters. Assignment of messages to an alarm must be an operator editable function.
- (8) Acknowledgement status of the alarm including the time, date and user of acknowledgement.
- b. Alarm Notification and Routing: Upon receipt of the M&C software must immediately perform alarm notification and routing according to an assigned routing for that alarm. The M&C software must support at least 100 alarm routes, where an alarm route is a unique combination of any of the following activities:
  - Generate a pop-up up active clients. The pop-up display must include the Alarm Data. Alarms must be capable of being acknowledged from the pop-up display by operators with sufficient permissions. Pop-up must be displayed until acknowledged.
  - (2) Send an e-mail message via simple mail transfer protocol (SMTP; RFC 821). The e-mail must contain a configurable message and all alarm data. The e-mail recipient and scripted message must be user configurable for each alarm route.
  - (3) Print alarms to designated alarm printers. The printed message must be the same as the pop-up message.
- c. Alarm Display and Acknowledgement. Alarms must be available for display at each workstation as shown, along with all associated alarm

data. Alarms must be capable of being acknowledged from this display. Multiple alarms must be capable of being acknowledged using a single command. Operator acknowledgment of one alarm must not automatically be considered as acknowledgment of any other alarm nor may it inhibit reporting of subsequent alarms.

d. Alarm Storage and Reports: The M&C software must store each alarm and its associated alarm data to hard disk and retain this information after the alarm no longer exists. The stored data must be sortable, searchable, and printable.

## 2.4.1.6 Trending

- a. The trend configuration, creation and deletion shall be accessible through the graphical user interface. Each trend must be user-configurable for:
  - (1) Point to trend.
  - (2) Sampling interval: adjustable between 1 second and 1 hour.
  - (3) Start and Stop Time of Trend: Start and stop times determined by one or more of the following methods:
    - (a) Start time and stop time
    - (b) Start time and duration
    - (c) Start time and number of samples
- b. The M&C software must be capable of displaying and printing a graphical representation of each trend, and of multiple trended points on the same graph. The software must be capable of saving trend logs to a file. If the file format is not plain ASCII text in a Comma-Separated-Value (CSV) format, provide a means to export or convert the file to plain ASCII text in a CSV format.

#### 2.4.1.7 Report Generation

Provide M&C Software capable of generating, saving and printing reports. Dynamic operation of the system must not be interrupted to generate a report. The report must contain the time and date when the samples were taken, and the time and date when the report was generated. The software must be capable of saving reports to a PDF file and to a file compatible with the provided Office Automation Software.

The software must allow for automatic and manual generation of reports. For automatic reports an operator must be able to specify the time the initial report is to be generated, the time interval between reports, end of period, and the output format for the report. Manual report generation must allow for the operator to request at any time the output of any report.

#### PART 3 EXECUTION

#### 3.1 EXISTING CONDITIONS SURVEY

Perform a field survey, including but not limited to testing and inspection of equipment to be part of the UMCS, and submit an Existing

Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For field control systems to be integrated to the UMCS which are not already connected to the UMCS IP network, verify the availability of the building network backbone at the FPOC location, and verify that FPOCs shown as existing are installed at the FPOC location.

3.2 DRAWINGS AND CALCULATIONS

#### 3.2.1 UMCS Contractor Design Drawings

Revise and update the Contract Drawings to include details of the system design and all hardware components, including contractor provided and Government furnished components. Details to be shown on the Design Drawing include:

- a. The logical structure of the network, including but not limited to the location of all Control Hardware (including but not limited to each Control Protocol Gateway, Control Protocol Router, Niagara Framework Supervisory Gateway and Monitoring and Control (M&C) Controller).
- b. Manufacturer and model number for each piece of Control Hardware.
- c. Physical location for each piece of Control Hardware.
- d. Version and service pack number for all software and for all Control Hardware firmware.

#### 3.2.2 As-Built Drawings

Prepare draft as-built drawings consisting of Points Schedule drawings for the entire UMCS, including Points Schedules for each Gateway, and an updated Design Drawing including details of the actual installed system as it is at the conclusion of Start-Up and Start-Up Testing. Provide As-Built Drawings which include details of all hardware components, including contractor provided and Government furnished components. In addition to the details shown in the design drawings, the as-built drawing must include:

- a. IP address(es) and Ethernet MAC address(es) as applicable for each piece of Control Hardware (including but not limited to each Niagara Framework Supervisory Gateway, Control Protocol Gateway, Control Protocol Router, and Monitoring and Control (M&C) Controller).
- b. IP address and Ethernet MAC address for each computer server, workstation, and networked printer.
- c. Network identifier (name) for each printer, computer server and computer workstation.
- d. List of ports, protocols and network services for each device connected to an IP network.
- e. Network Addresses: Niagara Framework Station ID for all Niagara Framework components including but not limited to Niagara Framework Supervisory Gateways and the Web Supervisor.

Prepare Draft As-Built Drawings upon the completion of Start-Up and Start-Up Testing and Final As-Built Drawings upon completion of PVT Phase

II.

## 3.3 INSTALLATION REQUIREMENTS

#### 3.3.1 General

Install system components as shown and specified and in accordance with the manufacturer's instructions and provide necessary interconnections, services, and adjustments required for a complete and operable system. Install communication equipment and cable grounding as necessary to preclude ground loops, noise, and surges from adversely affecting system operation. Install Fiber Optic cables and wiring in exposed areas, including low voltage wiring but not including network cable in telecommunication closets, in metallic raceways or EMT conduit as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Do not install equipment in any space which experiences temperatures or humidity outside of the rated operating range of the equipment.

## 3.3.2 Isolation, Building Penetrations and Equipment Clearance

Provide dielectric isolation where dissimilar metals are used for connection and support. Make all penetrations through and mounting holes in the building exteriors watertight. Drill or core drill holes in concrete, brick, steel and wood walls with proper equipment. Seal conduits installed through openings with materials which are compatible with existing materials. Seal openings with materials which meet the requirements of NFPA 70 and SECTION 07 84 00 FIRESTOPPING.

#### 3.3.3 Nameplates

Provide Nameplates for all Control Hardware and all Computer Hardware. Attach Nameplates to the device in a conspicuous location.

#### 3.4 INSTALLATION OF EQUIPMENT

#### 3.4.1 Wire and Cable Installation

Install system components and appurtenances in accordance with NFPA 70, manufacturer's instructions and as indicated. Provide necessary interconnections, services, and adjustments required for a complete and operable signal distribution system. Label components in accordance with TIA-606. Firestop Penetrations in fire-rated construction in accordance with Section 07 84 00 FIRESTOPPING. Install conduits, outlets and raceways in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Install wiring in accordance with TIA-568-C.1 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Mark wiring terminal blocks and outlets in accordance with TIA-606. Do not install non-fiber-optic cables in the same cable tray, utility pole compartment, or floor trench compartment with power cables. Properly secure and install neat in appearance cables not installed in conduit or raceways.

## 3.4.2 Grounding

Install signal distribution system ground in accordance with TIA-607 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Connect equipment racks to the electrical safety ground.

3.4.3 Power-Line Surge Protection

Protect equipment connected to ac circuits must be protected against or withstand power-line surges. Provide equipment protection which meets the requirements of IEEE C62.41. Do not use fuses for surge protection.

3.4.4 IP Addresses

For all Control Hardware requiring an IP address on the UMCS IP Network, coordinate with the NEC to obtain IP addresses.

3.4.5 Control Hardware Installation

Install Control Hardware in a lockable enclosure as specified.

3.5 INTEGRATION OF FIELD CONTROL SYSTEMS

Fully integrate the field control systems in accordance with the following three step sequence and as specified and shown.

- STEP 1: Install and configure Control Hardware as necessary to connect the field control system to the FPOC, which is part of the UMCS IP network, and to provide control protocol translation and supervisory functionality.
- STEP 2: Add Field Control System to M&C Software: Perform system discovery, system database merges, or any other actions necessary to allow M&C Software access to the field control system.
- STEP 3: Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.
- 3.5.1 Integration Step 1: Install Control Hardware

Install Control Hardware as specified at the FPOC location as shownto connect the field control system to the UMCS IP network via the FPOC and, if necessary, to provide control protocol translation and supervisory functionality. Coordinate all connections and other activities related to an FPOC with West Point COR. Depending on the field control system media and protocol this must be accomplished through one of the following:

- a. Connect the existing field control network hardware at the FPOC location to the FPOC.
- b. Install a Niagara Framework Supervisory Gateway connected to both the field control network and the FPOC.
- 3.5.1.1 Installation of Niagara Framework Supervisory Gateway

Install Niagara Framework Supervisory Gateway hardware to connect the field control network to the FPOC. Install additional field control system network media and hardware as needed to connect the Niagara Framework Supervisory Gateway to the field control system.

3.5.2 Integration Step 2: Add Field Control System to M&C Software

Perform system discovery, system database merges, or any other actions

necessary to allow M&C Software access to points and data in the field control system.

3.5.2.1 Integration of Field Control Systems Via Niagara Framework

For each Niagara Framework Supervisory Gateway installed in integration step 1 for this project do both of the following:

- a. Use the Niagara Framework Engineering Tool to fully discover the field control system and make all field control system information available to the Niagara Framework Supervisory Gateway.
- b. Create and configure points and establish network communication between the Niagara Framework Supervisory Gateway and the field control system to provide points from the field control system to the M&C software and to provide support for supervisory functions, including but not limited to schedule objects, trend logs and alarming.

For each Niagara Framework Supervisory Gateway to be integrated as part of this project, make all information in the Niagara Framework Supervisory Gateway available to the M&C Software.

3.5.3 Integration Step 3: Configure M&C Software

Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.

3.5.3.1 Configure M&C Software Communication

Create and configure points and establish network communication between M&C Software and Field Control Systems as specified to support M&C Software functionality:

- a. Update points on currently active displays via polling as necessary to meet M&C Software display refresh requirements.
- b. Send points used for overrides to the device receiving the override as shown on the Points Schedule.

#### 3.5.3.2 Configure M&C Software Functionality

Fully configure M&C Software functionality using the M&C Software capabilities specified in PART 2 of this Section.

- a. Create System Displays using the West Point sample displays, including overrides, as shown on the Points Schedule and as specified. Label all points on displays with full English language descriptions. Configure user permissions for access to and executions of action using graphic pages. Coordinate user permissions with the Controls or HVACshop supervisor.
- b. Configure alarm handling as shown on the Points Schedule, as shown on the Alarm Routing Schedule, and as specified. For alarms requiring notification via text message or e-mail, configure the alarm notification to use the specified Government furnished SMTP server to send the alarm notification.

- c. Configure scheduling as indicated and as shown on the points schedule. Create and configure displays for configuration of Schedule Objects in the field control system. Label schedules and scheduled points with full English-language descriptors. Provide a separate configuration capability for each schedule. A single configuration display may be used to configured multiple schedules, provided that each schedule is separately configurable from the display.
- Trend points at 15 minute intervals.
   Create and configure displays for creation and configuration of trends and for display of all trended points.
- e. Configure Demand Limiting as shown on the Demand Limit Schedule and Points Schedule and as specified.
- f. Configure M&C Software standard reports.
- 3.6 START-UP AND START-UP TESTING

Test all equipment and perform all other tests necessary to ensure the system is installed and functioning as specified. Prepare a Start-Up and Start-Up Testing Report documenting all tests performed and their results and certifying that the system meets the requirements specified in the contract documents.

- 3.7 PERFORMANCE VERIFICATION TEST (PVT)
- 3.7.1 PVT Phase I Procedures

Provide PVT Procedures which include:.

- Network bandwidth usage and available bandwidth (throughput) measurements. Network bandwidth usage must reference the normal usage network Bandwidth Calculations.
- b. Test System Reaction during PVT: The total system response time from initiation of a control action command from the workstation, to display of the resulting status change on the workstation must not exceed 20 seconds under system normal heavy load conditions assuming a zero response time for operation of the node's control device.
- c. Verification of IP Connectivity.
- d. Verification of configuration of M&C Software functionality.
- 3.7.2 PVT Phase I

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures previously approved by the Government, demonstrate all physical and functional requirements of the project. Upon completion of PVT Phase I and as specified, prepare and submit the PVT Phase I Report documenting all tests performed during the PVT and their results. In the PVT report, include all tests in the PVT Procedures and any other testing performed during the PVT. Document failures and repairs with test results.

3.7.3 PVT Phase II

Include Basic Training as part of PVT Phase II. Failures or deficiencies

of the UMCS during Basic Training are considered PVT failures. Upon completion of PVT Phase II, and as specified, prepare and submit the PVT Phase II Report documenting any failures which occurred and repairs performed during PVT Phase II.

## 3.8 MAINTENANCE AND SERVICE

Perform inspection, testing, cleaning, and part or component replacement as specified and as required to maintain the warranty. Work includes providing necessary preventive and unscheduled maintenance and repairs to keep the UMCS operating as specified, and accepted by the Government, and other services as specified. Perform work in compliance with manufacturer's recommendations and industry standards. Provide technical support via telephone during regular working hours.

## 3.8.1 Work Coordination

Schedule and arrange work to cause the least interference with the normal Government business and mission. In those cases where some interference may be essentially unavoidable, coordinate with the Government to minimize the impact of the interference, inconvenience, equipment downtime, interrupted service and personnel discomfort.

#### 3.8.2 Work Control

Upon completion of work on a system or piece of equipment, that system or piece of equipment must be free of missing components or defects which would prevent it from functioning as originally intended and designed. Replacements must conform to the same specifications as the original equipment. During and at completion of work, do not allow debris to spread unnecessarily into adjacent areas nor accumulate in the work area itself.

# 3.8.3 Working Hours

Working hours are from 7:30 A.M. to 4:00 P.M. local time Mondays through Fridays except Federal holidays.

3.8.4 Replacement, Modernization, Renovation

The Government may replace, renovate, or install new equipment as part of the UMCS at Government expense and by means not associated with this contract without voiding the system warranty. Replaced, improved, updated, modernized, or renovated systems and equipment interfaced to the system may be added to the Contractor's maintenance and service effort as a modification.

#### 3.8.5 Access To UMCS Equipment

Access to UMCS equipment must be in accordance with the following:

- a. Coordinate access to facilities and arrange that they be opened and closed during and after the accomplishment of the work effort. For access to a controlled facility contact the Government for assistance.
- b. The Government may provide keys for access to UMCS equipment where the Government determines such key issuance is appropriate. Establish and implement methods of ensuring that keys issued by the Government are not lost or misplaced, are not used by unauthorized persons, and are

not duplicated.

c. The Government may provide passwords or issue Common Access Cards (CAC) for access to UMCS computer equipment where the Government determines such issuance is appropriate. Establish and implement methods of ensuring that passwords and Common Access Cards issued by the Government are not used by unauthorized persons.

## 3.8.6 Records, Logs, and Progress Reports

Keep records and logs of each task, and organize cumulative chronological records for each major component, and for the complete system. Maintain a continuous log for the UMCS. Keep complete logs and be available for inspection on site, demonstrating that planned and systematic adjustments and repairs have been accomplished for the UMCS.

- 3.8.7 Service Call Reception
  - a. A Government representative will advise the Contractor by phone or in person of all maintenance and service requests, as well as the classification of each based on the definitions specified. A description of the problem or requested work, date and time notified, location, classification, and other appropriate information will be placed on a Service Call Work Authorization Form by the Government.
  - b. Submit procedures for receiving and responding to service calls 24 hours per day, seven days a week, including weekends and holidays. Provide a single telephone number for receipt of service calls during regular working hours; service calls are to be considered received at the time and date the telephone call is placed by the authorized Government representative.
  - c. Separately record each service call request, as received on the Service Call Work Authorization form and complete the Service Call Work Authorization form for each service call. Include the following information in the completed form: the serial number identifying the component involved, its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion.
  - d. Respond to each service call request within two working hours. Provide the status of any item of work within fourhours of the inquiry during regular working hours, and within 16 hours after regular working hours or as needed to meet the Equipment Repair requirements as specified.

#### 3.8.8 Service Call Work Warranty

Provide a 1 year unconditional warranty on service call work which includes labor and material necessary to restore the equipment involved in the initial service call to a fully operable condition. In the event that service call work causes damage to additional equipment, restore the system to full operation without cost to the Government. Provide response times for service call warranty work equivalent to the response times required by the initial service call.

## 3.8.9 System Modifications

Make recommendations for system modification in writing to the Government. Do not make system modifications without prior approval of the Government. Incorporate any modifications made to the system into the Operations and Maintenance Instructions, and any other documentation affected. Make available to the Government software updates for all software furnished under this specification during the life of this contract. Schedule at least one update near the end of the contract period, at which time make available the latest released version of all software provided under this specification, and install and validate it upon approval by the Government.

## 3.9 TRAINING

Conduct training courses for designated personnel in the maintenance, service, and operation of the system as specified, including specified hardware and software. The training must be oriented to the specific system provided under this contract. Provide audiovisual equipment and other training material and supplies required for the training. When training is conducted at Government facilities, the Government reserves the right to record the training sessions for later use. A training day is defined as 8 hours of classroom instruction, excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility. For guidance in planning the required instruction, the Contractor should assume that attendees will be tradesmen such as electricians or boiler operators. Obtain approval of the training schedule from the Government at least 30 days prior to the first day of training.

## 3.9.1 Training Documentation

Prepare and submit one set of Training manuals for each of Basic Training Documentation, and Advanced Training Documentation, where each set of documentation consists of.

## 3.9.1.1 Course Attendance List

Course Attendance List developed in coordination with and signed by the Controls or HVAC shop supervisor.

#### 3.9.1.2 Training Manuals

Include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson in the training manuals. Where portions of the course material are presented by audiovisuals, include copies of those audiovisuals as a part of the printed training manuals.

## 3.9.2 Basic Training

Conduct a Basic Training course at the project site on the installed system for a period of no less than 5 training days during Phase 2 of the PVT. A maximum of ten personnel will attend this course. Design training targeted towards training personnel in the day-to-day operation and basic maintenance of the system. Upon completion of this course, each student, using appropriate documentation, should be able to start the system, operate the system, recover the system after a failure, perform routine maintenance and describe the specific hardware architecture and operation

- of the system. Include the following topics at a minimum:
- a. General system architecture.
- b. Functional operation of the system, including workstations and system navigation.
- c. System start-up procedures.
- d. Failure recovery procedures.
- e. Schedule configuration.
- f. Trend configuration.
- g. Perform point overrides and override release.
- h. Reports generation.
- i. Alarm reporting and acknowledgements.
- j. Diagnostics.
- k. Historical files.
- 1. Maintenance procedures:
  - (1) Physical layout of each piece of hardware.
  - (2) Troubleshooting and diagnostic procedures.
  - (3) Preventive maintenance procedures and schedules.
- 3.9.3 Advanced Training

Conduct an Advanced Operator Training course at the project site for a period of not less then five days. A maximum of ten personnel will attend this course. Structure the course to consist of "hands-on" training under the constant monitoring of the instructor. Include training on the M&C Software, and the Niagara Framework Engineering Tool. Upon completion of this course, the students should be fully proficient in the operation and management of all system operations and must be able to perform all tasks required to integrate a field control system into the UMCS. Report the skill level of each student at the end of this course. Include the following topics at a minimum:

- a. A review of all topics in Basic Training
- b. M&C Software configuration, including but not limited to: creating and editing system displays, alarms, schedules, trends, demand limiting and calculations.

# APPENDIX A

QC CHECKLIST		
This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.		
This checklist is for (check one:)		
Pre-Construction QC Checklist Submittal (Items 1-2)	( )	
Post-Construction QC Checklist Submittal (Items 1-6)	( )	
Close-out QC Checklist Submittal (Items 1-14)	( )	
Instructions: Initial each item in the space provided (  ) verifying requirement has been met.	g that the	
Verify the following items for Pre-Construction, Post-Construction and C Checklist Submittals:	Closeout QC	
1 Contractor Design Drawing Riser Diagram includes location and types of all Control Hardware and Computer Hardware.		
2 M&C Software supports the Niagara Framework .		
Verify the following items for Post-Construction and Closeout QC Checklist Submittal:		
3 Communication between the M&C Software and Niagara Framework field control systems uses only Fox protocol.		

	QC CHECKLIST		
4	Supervisory Gateways.		
5	Computer workstations and servers are installed as shown on the UMCS Riser Diagram.		
6	Training schedule and course attendee lists have been developed and coordinated with shops and submitted.		
Ve	rify the following items for Closeout QC Checklists Submittal:		
7	All points in field control systems have been discovered using the Niagara Framework Engineering Tool and are available at the M&C Software.		
8	All software has been licensed to the Government.		
9	M&C software monitoring displays have been created for all building systems, including all override and display points indicated on Points Schedule drawings.		
10	Final As-built Drawings accurately represent the final installed system.		
11	Default trends have been set up (per Points Schedule drawings).		
12	Scheduling has been configured at the M&C Software (per Occupancy Schedule drawing).		
13	O&M Instructions have been completed and submitted.		
14	Basic Operator and Advanced Training courses have been completed.		
	· /		

2	QC CHECKLIST
(QC Representative Signature)	(Date)

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## SECTION 26 08 00

# APPARATUS INSPECTION AND TESTING 08/08

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2017; Errata 2017) Standard for
	Acceptance Testing Specifications for
	Electrical Power Equipment and Systems

#### 1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM applies to this section with additions and modifications specified herein.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Acceptance tests and inspections; G

SD-07 Certificates

Qualifications of organization, and lead engineering technician;

Acceptance test and inspections procedure;

#### 1.4 QUALITY ASSURANCE

#### 1.4.1 Qualifications

Contractor shall engage the services of a qualified testing organization to provide inspection, testing, calibration, and adjustment of the electrical distribution system and generation equipment listed in paragraph entitled "Acceptance Tests and Inspections" herein. Organization shall be independent of the supplier, manufacturer, and installer of the equipment. The organization shall be a first tier subcontractor. No work required by this section of the specification shall be performed by a second tier subcontractor.

a. Submit name and qualifications of organization. Organization shall

have been regularly engaged in the testing of electrical materials, devices, installations, and systems for a minimum of 5 years. The organization shall have a calibration program, and test instruments used shall be calibrated in accordance with NETA ATS.

- b. Submit name and qualifications of the lead engineering technician performing the required testing services. Include a list of three comparable jobs performed by the technician with specific names and telephone numbers for reference. Testing, inspection, calibration, and adjustments shall be performed by an engineering technician, certified by NETA or the National Institute for Certification in Engineering Technologies (NICET) with a minimum of 5 years' experience inspecting, testing, and calibrating electrical distribution and generation equipment, systems, and devices.
- 1.4.2 Acceptance Tests and Inspections Reports

Submit certified copies of inspection reports and test reports. Reports shall include certification of compliance with specified requirements, identify deficiencies, and recommend corrective action when appropriate. Type and neatly bind test reports to form a part of the final record. Submit test reports documenting the results of each test not more than 10 days after test is completed.

1.4.3 Acceptance Test and Inspections Procedure

Submit test procedure reports for each item of equipment to be field tested at least 45 days prior to planned testing date. Do not perform testing until after test procedure has been approved.

PART 2 PRODUCTS

Not used.

- PART 3 EXECUTION
- 3.1 ACCEPTANCE TESTS AND INSPECTIONS

Testing organization shall perform acceptance tests and inspections. Test methods, procedures, and test values shall be performed and evaluated in accordance with NETA ATS, the manufacturer's recommendations, and paragraph entitled "Field Quality Control" of each applicable specification section. Tests identified as optional in NETA ATS are not required unless otherwise specified. Equipment shall be placed in service only after completion of required tests and evaluation of the test results have been completed. Contractor shall supply to the testing organization complete sets of shop drawings, settings of adjustable devices, and other information necessary for an accurate test and inspection of the system prior to the performance of any final testing. Contracting Officer shall be notified at least 14 days in advance of when tests will be conducted by the testing organization. Perform acceptance tests and inspections on applicable equipment and systems specified in the following sections:

- a. Section 26 24 13 SWITCHBOARDS
- 3.2 SYSTEM ACCEPTANCE

Final acceptance of the system is contingent upon satisfactory completion

of acceptance tests and inspections.

# 3.3 PLACING EQUIPMENT IN SERVICE

A representative of the approved testing organization shall be present when equipment tested by the organization is initially energized and placed in service.

-- End of Section --

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## SECTION 26 20 00

# INTERIOR DISTRIBUTION SYSTEM 08/19

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	((2014; Errata 2016) Electric Meters -
	Code for Electricity Metering

ASTM INTERNATIONAL (ASTM)

- ASTM B1 (2013) Standard Specification for Hard-Drawn Copper Wire
- ASTM B8 (2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE 81 (2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
- IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
- IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2017; Errata 2017) Standard for
	Acceptance Testing Specifications for
	Electrical Power Equipment and Systems

#### NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2005) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2015) American National Standard for Electrical Metallic Tubing (EMT)
ANSI C80.5	(2015) American National Standard for Electrical Rigid Aluminum Conduit

West P	oint, 1	YV			
Lincol	n Hall	Revised	RTA	Submission	

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 4	(2015) Application Guideline for Terminal Blocks
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA MG 1	(2018) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2016) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA WD 1	(1999; R 2015) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2016) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2011; R 2017) Product Safety Signs and Labels

# NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA
	20-1; TIA 20-2; TIA 20-3; TIA 20-4)
	National Electrical Code

West Point, NY Lincoln Hall Revised RTA Submiss:	Contract #W912DS19C0031 ion 1 March 2023	
NFPA 70E	(2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace	
NFPA 780	(2017) Standard for the Installation of Lightning Protection Systems	
TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)		
TIA-568-C.1	(2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard	
TIA-569	(2016d) Commercial Building Standard for Telecommunications Pathways and Spaces	
TIA-607	(2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises	
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)		
10 CFR 431	Energy Efficiency Program for Certain Commercial and Industrial Equipment	
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)	
29 CFR 1910.303	Electrical, General	
UNDERWRITERS LABORATORIES (UL)		
UL 1	(2005; Reprint Jan 2020) UL Standard for Safety Flexible Metal Conduit	
UL 6	(2007; Reprint Sep 2019) UL Standard for Safety Electrical Rigid Metal Conduit-Steel	
UL 6A	(2008; Reprint Sep 2019) UL Standard for Safety Electrical Rigid Metal Conduit – Aluminum, Red Brass, and Stainless Steel	
UL 20	(2010; Reprint Feb 2012) General-Use Snap Switches	
UL 44	(2018) UL Standard for Safety Thermoset-Insulated Wires and Cables	
UL 50	(2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations	
UL 67	(2018; Reprint Oct 2019) UL Standard for Safety Panelboards	
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables	
UL 360	(2013; Reprint Nov 2018) UL Standard for	

West Point, NY Lincoln Hall Revised RTA Submissi	on Contract #W912DS19C0031
	Safety Liquid-Tight Flexible Metal Conduit
UL 467	(2013; Reprint Jun 2017) UL Standard for Safety Grounding and Bonding Equipment
UL 486A-486B	(2018) UL Standard for Safety Wire Connectors
UL 486C	(2019) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint Jan 2020) UL Standard for Safety Attachment Plugs and Receptacles
UL 506	(2017) UL Standard for Safety Specialty Transformers
UL 508	(2018) UL Standard for Safety Industrial Control Equipment
UL 510	(2020) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Aug 2017) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint May 2020) Conduit, Tubing and Cable Fittings
UL 651	(2011; Reprint Mar 2020) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 797	(2007; Reprint Mar 2017) UL Standard for Safety Electrical Metallic Tubing Steel
UL 854	(2020) Standard for Service-Entrance Cables
UL 869A	(2006) Reference Standard for Service Equipment
UL 943	(2016; Reprint Feb 2018) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors
UL 1063	(2017) UL Standard for Safety Machine-Tool Wires and Cables
UL 1242	(2006; Reprint Aug 2020) Standard for Electrical Intermediate Metal Conduit Steel

West Point, NY Lincoln Hall Revised RTA Submissi	Contract #W912DS19C0031 on 1 March 2023
UL 1449	(2014; Reprint Jul 2017) UL Standard for Safety Surge Protective Devices
UL 1699	(2017) UL Standard for Safety Arc-Fault Circuit-Interrupters
UL 2043	(2013) Fire Test for Heat and Visible Smoke Release for Discrete Products and Their Accessories Installed in Air-Handling Spaces

## 1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Panelboards; G
Transformers; G
Marking Strips Drawings; G
SD-03 Product Data
Receptacles; G
Circuit Breakers; G
Switches; G
Transformers; G
Motor Controllers; G
Telecommunications Grounding Busbar; G
Surge Protective Devices; G
SD-06 Test Reports

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600-volt Wiring Test; G

Grounding System Test; G

Transformer Tests; G

Ground-fault Receptacle Test; G

SD-09 Manufacturer's Field Reports

Transformer Factory Tests

#### 1.4 QUALITY ASSURANCE

1.4.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

#### 1.4.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated.

#### 1.4.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

## 1.4.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.4.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

## 1.5 MAINTENANCE

### 1.6 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

- 2.2.1 Rigid Metallic Conduit
- 2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40 in accordance with NEMA TC 2, UL 651.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40( 40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360.

- 2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.
- 2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Steel compression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC, and UL 514B.

- 2.3 OPEN TELECOMMUNICATIONS CABLE SUPPORT
- 2.3.1 Open Top Cable Supports

Provide open top cable supports in accordance with UL 2043. Provide galvanized steel open top cable supports as required.

2.3.2 Closed Ring Cable Supports

Provide closed ring cable supports in accordance with UL 2043. Provide galvanized steel closed ring cable supports as required.

2.4 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal.

2.4.1 Floor Outlet Boxes

Provide the following:

- a. Boxes: adjustable and concrete tight.
- b. Each outlet: consisting of nonmetallic or cast-metal body with threaded openings, or sheet-steel body with knockouts for conduits, and cover plate as indicated on drawings.
- c. Telecommunications outlets: consisting of flush, aluminum or stainless steel housing with a receptacle as specified and as indicated on drawings.
- d. Receptacle outlets: consisting of flush aluminum or stainless steel housing with duplex-type receptacle as specified herein.
- e. Provide gaskets where necessary to ensure watertight installation.
- 2.4.2 Outlet Boxes for Telecommunications System

Provide the following:

- a. Standard type 4 11/16 inches square by 2 1/8 inches deep with single or double gang plaster ring as required.
- 2.5 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type 3Ras indicated.

2.6 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or

indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site.

## 2.6.1 Conductors

Provide the following:

- a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.
- b. Conductors No. 8 AWG and larger diameter: stranded.
- c. Conductors No. 10 AWG and smaller diameter: solid.
- d. Conductors for remote control, alarm, and signal circuits, classes 1,2, and 3: stranded unless specifically indicated otherwise.
- e. All conductors: copper.

#### 2.6.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.6.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

- a. Grounding conductors: Green.
- b. Neutral conductors: White.
- c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.
- 2.6.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

- a. 208/120 volt, three-phase
  - (1) Phase A black
  - (2) Phase B red
  - (3) Phase C blue
- b. 480/277 volt, three-phase
  - (1) Phase A brown
  - (2) Phase B orange
  - (3) Phase C yellow
- c. 120/240 volt, single phase: Black and red

2.6.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83 or Type XHHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.6.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.6.4.1 Telecommunications Bonding Backbone (TBB)

Provide a copper conductor TBB in accordance with TIA-607 with No. 6 AWG minimum size, and sized at 2 kcmil per linear foot of conductor length up to a maximum size of 3/0 AWG. Provide insulated TBB with insulation as specified in the paragraph INSULATION and meeting the fire ratings of its pathway.

2.6.4.2 Bonding Conductor for Telecommunications

Provide a copper conductor Bonding Conductor for Telecommunications between the telecommunications primary busbar (PBB) and the electrical service ground in accordance with TIA-607. Size the bonding conductor for telecommunications the same as the TBB.

2.6.5 Service Entrance Cables

Service Entrance (SE) and Underground Service Entrance (USE) Cables, UL 854.

2.7 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

#### 2.8 DEVICE PLATES

Provide the following:

- a. UL listed, one-piece device plates for outlets to suit the devices installed.
- b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.
- c. For nonmetallic boxes and fittings, other suitable plates may be provided.
- d. Plates on finished walls: nylon or lexan, minimum 0.03 inch wall thickness and same color as receptacle or toggle switch with which they are mounted.

- e. Screws: machine-type with countersunk heads in color to match finish of plate.
- f. Sectional type device plates are not be permitted.
- g. Plates installed in wet locations: gasketed and UL listed for "wet locations."
- 2.9 SWITCHES
- 2.9.1 Toggle Switches

NEMA WD 1, UL 20, single pole, double pole, three-way, and four-way, totally enclosed with bodies of thermoplastic or thermoset plastic and mounting strap with grounding screw. Include the following:

- a. Handles: white thermoplastic.
- b. Wiring terminals: screw-type, side-wired.
- c. Contacts: silver-cadmium and contact arm one-piece copper alloy.
- d. Switches: rated quiet-type ac only, 120/277 volts, with current rating and number of poles indicated.
- 2.9.2 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 1 or 3R enclosure as indicated per NEMA ICS 6.

2.10 RECEPTACLES

Provide the following:

- a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.
- b. Ratings and configurations: as indicated.
- c. Bodies: white as per NEMA WD 1.
- d. Face and body: thermoplastic supported on a metal mounting strap.
- e. Dimensional requirements: per NEMA WD 6.
- f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.
- g. Grounding pole connected to mounting strap.
- h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

#### 2.10.1 Split Duplex Receptacles

Provide separate terminals for each ungrounded pole. One receptacle must be controlled separately.

2.10.2 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations". Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized cover plate.

2.10.3 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.10.4 Arc-Fault Circuit Interrupter Receptacles

UL 1699, duplex type for mounting in standard outlet box. Provide device capable of detecting series arcing current when the current to ground is 5 amperes or higher, and tripping per requirements of UL 1699.

2.11 PANELBOARDS

Provide panelboards in accordance with the following:

- a. UL 67 and UL 50.
- b. Panelboards for use as service disconnecting: additionally conform to UL 869A.
- c. Panelboards: circuit breaker-equipped.
- d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.
- e. Where "space only" is indicated, make provisions for future installation of breaker sized as indicated.
- f. Directories: indicate load served by each circuit of panelboard.
- g. Directories: indicate source of service (e.g. upstream panel, switchboard, motor control center) to panelboard.
- h. Type directories and mount in holder behind transparent protective covering.
- i. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.11.1 Enclosure

Provide panelboard enclosure in accordance with the following:

- a. UL 50.
- b. Cabinets mounted outdoors or flush-mounted: hot-dipped galvanized after fabrication.
- c. Cabinets: painted in accordance with paragraph PAINTING.
- d. Outdoor cabinets: NEMA 3R raintight with conduit hubs welded to the cabinet
- e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.
- f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.
- g. Holes: provided in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.
- h. Flush doors: mounted on hinges that expose only the hinge roll to view when the door is closed.
- i. Each door: fitted with a combined catch and lock latch.
- j. Keys: two provided with each lock, with all locks keyed alike.
- k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

## 2.11.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet.2.11.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

#### 2.11.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.11.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with auto-monitoring (self-test) and lockout features, "push-to-test" button, visible indication of tripped condition, and ability to detect and trip when current imbalance is 6 milliamperes or

higher per requirements of UL 943 for Class A ground-fault circuit interrupter devices.

#### 2.11.3.3 Arc-Fault Circuit Interrupters

UL 489, UL 1699 and NFPA 70. Molded case circuit breakers: rated as indicated. Provide with "push-to-test" button.

#### 2.12 TRANSFORMERS

Provide transformers in accordance with the following:

- a. NEMA ST 20, general purpose, dry-type, self-cooled, ventilated.
- b. Provide transformers in NEMA 1 enclosure.
- c. Taps for transformers 15 kVA and larger: Two 2.5 percent taps Full Capacity Above Nominal (FCAN) and four 2.5 percent taps Full Capacity Below Nominal (FCBN) .
- d. Transformer insulation system:
  - (1) 220 degrees C insulation system for transformers 15 kVA and greater, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient of 40 degrees C.
  - (2) 180 degrees C insulation for transformers rated 10 kVA and less, with temperature rise not exceeding 80 degrees C under full-rated load in maximum ambient of 40 degrees C.

## 2.12.1 Specified Transformer Efficiency

Transformers, indicated and specified with: 480V primary, 80 degrees C or 115 degrees C temperature rise, kVA ratings of 37.5 to 100 for single phase or 30 to 500 for three phase, energy efficient type. The transformer is not acceptable if the calculated transformer efficiency is less than the efficiency indicated in 10 CFR 431, Subpart K.

2.13 MOTORS

Provide motors in accordance with the following:

- a. NEMA MG 1.
- b. Hermetic-type sealed motor compressors: Also comply with UL 984.
- c. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.
- d. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.
- e. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts, and those for operation on 480-volt, 3-phase circuits with a terminal voltage rating of 460 volts.
- f. Use motors designed to operate at full capacity with voltage variation

of plus or minus 10 percent of motor voltage rating.

- g. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.
- h. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.
- i. Use Inverter-Rated motors designed to operate with adjustable speed drive (ASD).
- 2.13.1 High Efficiency Single-Phase Motors

Single-phase fractional-horsepower alternating-current motors: high efficiency types are not acceptable. In exception, for special purpose motors and motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

2.13.2 Premium Efficiency Polyphase and Single-Phase Motors

Select polyphase and continuous-duty single phase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10 and NEMA MG 11. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

# 2.13.3 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

#### 2.13.4 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment using adjustable speed drive (ASD) manufacturer required wiring type and length as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

# 2.14 MOTOR CONTROLLERS

Provide motor controllers in accordance with the following:

- a. UL 508, NEMA ICS 1, and NEMA ICS 2.
- b. Provide controllers with thermal overload protection in each phase, and one spare normally open auxiliary contact, and one spare normally closed auxiliary contact.
- c. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage.
- d. Provide protection for motors from immediate restart by a time adjustable restart relay.
- e. When used with pressure, float, or similar automatic-type or maintained-contact switch, provide a hand/off/automatic selector switch with the controller.
- f. Connections to selector switch: wired such that only normal automatic regulatory control devices are bypassed when switch is in "hand" position.
- g. Safety control devices, such as low and high pressure cutouts, high temperature cutouts, and motor overload protective devices: connected in motor control circuit in "hand" and "automatic" positions.
- h. Control circuit connections to hand/off/automatic selector switch or to more than one automatic regulatory control device: made in accordance with indicated or manufacturer's approved wiring diagram.
- i. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.
- j. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.
- k. Cover of combination motor controller and manual switch or circuit breaker: interlocked with operating handle of switch or circuit breaker so that cover cannot be opened unless handle of switch or circuit breaker is in "off" position.

## 2.14.1 Control Wiring

Provide control wiring in accordance with the following:

- a. All control wire: stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44, or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards.
- b. Hinge wire: Class K stranding.
- c. Current transformer secondary leads: not smaller than No. 10 AWG.
- d. Control wire minimum size: No. 14 AWG.

- e. Power wiring for 480-volt circuits and below: the same type as control wiring with No. 12 AWG minimum size.
- f. Provide wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

## 2.14.2 Control Circuit Terminal Blocks

Provide control circuit terminal blocks in accordance with the following:

- a. NEMA ICS 4.
- b. Control circuit terminal blocks for control wiring: molded or fabricated type with barriers, rated not less than 600 volts.
- c. Provide terminals with removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts.
- d. Terminals: not less than No. 10 in size with sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal.
- e. Terminal arrangement: subject to the approval of the Contracting Officer with not less than four spare terminals or 10 percent, whichever is greater, provided on each block or group of blocks.
- f. Modular, pull apart, terminal blocks are acceptable provided they are of the channel or rail-mounted type.
- g. Submit data showing that any proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

## 2.14.2.1 Types of Terminal Blocks

- a. Short-Circuiting Type: Short-circuiting type terminal blocks: furnished for all current transformer secondary leads with provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks: comply with the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.
- b. Load Type: Load terminal blocks rated not less than 600 volts and of adequate capacity: provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits, except those for feeder tap units. Provide terminals of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Provide each connected terminal with the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.14.3 Control Circuits

Control circuits: maximum voltage of 120 volts derived from control transformer in same enclosure. Transformers: conform to UL 506, as applicable. Transformers, other than transformers in bridge circuits: provide primaries wound for voltage available and secondaries wound for correct control circuit voltage. Size transformers so that 80 percent of rated capacity equals connected load. Provide disconnect switch on primary side. Provide one fused secondary lead with the other lead grounded.

2.14.4 Enclosures for Motor Controllers

NEMA ICS 6.

2.14.5 Multiple-Speed Motor Controllers and Reversible Motor Controllers

Across-the-line-type, electrically and mechanically interlocked. Multiple-speed controllers: include compelling relays and multiple-button, station-type with pilot lights for each speed.

2.14.6 Pushbutton Stations

Provide with "start/stop" momentary contacts having one normally open and one normally closed set of contacts, and red lights to indicate when motor is running. Stations: heavy duty, oil-tight design.

2.14.7 Pilot and Indicating Lights

Provide LED cluster lamps.

2.15 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Mechanical" for mechanical isolation of machines and other equipment.

2.16 TELECOMMUNICATIONS SYSTEM

Provide system of telecommunications wire-supporting structures (pathway), including: outlet boxes, conduits with pull wires wireways, cable trays, and other accessories for telecommunications outlets and pathway in accordance with TIA-569 and as specified herein. Additional telecommunications requirements are specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

- 2.17 COMMUNITY ANTENNA TELEVISION (CATV) SYSTEM
- 2.17.1 CATV Outlets

Provide flush mounted, 75-ohm, F-type connector outlet rated from 5 to 1000 MHz in 4 11/16" square by 2 1/8" deep box with sigle gang plaster ring.

2.17.2 CATV Faceplates

Provide modular faceplates for mounting of CATV Outlets. Faceplate:

include designation labels and label covers for circuit identification. Faceplate color: match outlet and switch coverplates.

2.17.3 Backboards

Coordinate CATV backboard requirements with telecommunications backboard requirements as specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING.

#### 2.18 GROUNDING AND BONDING EQUIPMENT

2.18.1 Ground Rods

UL 467. Ground rods: cone pointed copper-clad steel, with minimum diameter of 3/4 inch and minimum length 10 feet. Sectional ground rods are permitted.

2.18.2 Ground Bus

Copper ground bus: provided in the electrical equipment rooms as indicated.

2.18.3 Telecommunications and CATV Grounding Busbar

Provide corrosion-resistant grounding busbar suitable for indoor installation in accordance with TIA-607. Busbars: plated for reduced contact resistance. If not plated, clean the busbar prior to fastening the conductors to the busbar and apply an anti-oxidant to the contact area to control corrosion and reduce contact resistance. Provide a telecommunications primary busbar (PBB) in the telecommunications entrance facility and a secondary busbar (SBB)in all other telecommunications rooms and equipment rooms. The telecommunications main grounding busbar (TMGB) and the telecommunications secondary busbar (SBB): sized in accordance with the immediate application requirements and with consideration of future growth. Provide telecommunications grounding busbars with the following:

- a. Predrilled copper busbar provided with holes for use with standard sized lugs,
- b. Minimum dimensions of 0.25 in thick by 4 in wide for the PBB and 2 in wide for SBB with length as indicated;
- c. Listed by a nationally recognized testing laboratory.

#### 2.19 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.20 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

- a. ASTM D709.
- b. Provide laminated plastic nameplates for each equipment enclosure,

relay, switch, and device; as specified or as indicated on the drawings.

- c. Each nameplate inscription: identify the function and, when applicable, the position.
- d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.
- e. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.
- f. Minimum size of nameplates: one by 2.5 inches.
- g. Lettering size and style: a minimum of 0.25 inch high normal block style.

## 2.21 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

#### 2.22 FIRESTOPPING MATERIALS

Provide firestopping around electrical penetrations in accordance with Section 07 84 00 FIRESTOPPING.

2.23 SURGE PROTECTIVE DEVICES

Provide parallel type surge protective devices (SPD) which comply with UL 1449 at the service entrance. Provide surge protectors in a NEMA 1 enclosure per NEMA ICS 6. SPD must have the same short-circuit current rating as the protected equipment and shall not be installed at a point of system where the available fault current is in excess of that rating. Use Type 1 or Type 2 SPD and connect on the load side of a dedicated circuit breaker. Submit performance and characteristic curves.

Provide the following modes of protection:

FOR SINGLE PHASE AND THREE PHASE WYE CONNECTED SYSTEMS-Phase to phase ( L-L ) Each phase to neutral ( L-N ) Neutral to ground ( N-G ) Phase to ground ( L-G )

SPDs at the service entrance: provide with a minimum surge current rating of 80,000 amperes for L-L mode minimum and 40,000 amperes for other modes (L-N, L-G, and N-G).

Provide SPDs per NFPA 780 780 for the lightning protection system.

Maximum L-N, and N-G Voltage Protection Rating:

1,200V for 480Y/277V, three phase system

- Maximum L-G Protection Rating: 1,200V for 480Y/277V, three phase system
- Maximum L-L Voltage Protection Rating: 1,800V for 480Y/277V, three phase system

The minimum MCOV (Maximum Continuous Operating Voltage) rating for L-N and L-G modes of operation: 120 percent of nominal voltage for 240 volts and below; 115 percent of nominal voltage above 240 volts to 480 volts.

# 2.24 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

- a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.
- b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.
- c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.
- d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.
- e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.
- f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.
- g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.
- 2.25 SOURCE QUALITY CONTROL
- 2.25.1 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype" tests that were made on transformers electrically and mechanically equal to those specified.

2.26 COORDINATED POWER SYSTEM PROTECTION

Prepare analyses as specified in Section 26 28 01.00 10 COORDINATED POWER SYSTEM PROTECTION.

- PART 3 EXECUTION
- 3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations

and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

#### 3.1.1 Underground Service

Underground service conductors and associated conduit: continuous from service entrance equipment to outdoor power system connection.

# 3.1.2 Service Entrance Identification

Service entrance disconnect devices, switches, and enclosures: labeled and identified as such.

#### 3.1.3 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors in accordance with Section 07 84 00 FIRESTOPPING.

#### 3.1.3.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

#### 3.1.4 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

## 3.1.4.1 Restrictions Applicable to EMT

- a. Do not install underground.
- b. Do not encase in concrete, mortar, grout, or other cementitious materials.
- c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.
- d. Do not use in hazardous areas.
- e. Do not use outdoors.
- f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.2 Restrictions Applicable to Nonmetallic Conduit

- a. PVC Schedule 40.
  - (1) Do not use where subject to physical damage, including but not limited to, mechanical equipment rooms, electrical equipment rooms, fire pump rooms, and where restrictions are applying to both PVC Schedule 40 and PVC Schedule 80.
  - (2) Do not use above grade, except where allowed in this section for rising through floor slab or indicated otherwise.
- 3.1.4.3 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.4 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40. Plastic coating: extend minimum 6 inches above floor.

3.1.4.5 Conduit Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab. Where conduit rises through slab-on grade, seal all electrical penetrations to address radon mitigation and prevent infiltration of air, insects, and vermin.

3.1.4.6 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.4.7 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling support system. Conduit above ceiling. Do not support

independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

#### 3.1.4.8 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

#### 3.1.4.9 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

#### 3.1.4.10 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

#### 3.1.4.11 Telecommunications and Signal System Pathway

Install telecommunications pathway in accordance with TIA-569.

- a. Horizontal Pathway: Telecommunications pathways from the work area to the telecommunications room: installed and cabling length requirements in accordance with TIA-568-C.1. Size conduits, wireways, and cable trays in accordance with TIA-569 and as indicated.
- b. Backbone Pathway: Telecommunication pathways from the telecommunications entrance facility to telecommunications rooms, and, telecommunications equipment rooms (backbone cabling): installed in accordance with TIA-569. Size conduits, wireways, and cable trays for telecommunications risers in accordance with TIA-569 and as indicated.

# 3.1.4.12 Community Antenna Television (CATV) System Conduits

# 3.1.5 Cable Tray Installation

Install and ground in accordance with NFPA 70. In addition, install and ground telecommunications cable tray in accordance with TIA-569, and TIA-607. Install cable trays parallel with or at right angles to ceilings, walls, and structural members. Support in accordance with manufacturer recommendations but at not more than 6 foot intervals. Coat contact surfaces of aluminum connections with an antioxidant compound prior to assembly. Adjacent cable tray sections: bonded together by connector plates of an identical type as the cable tray sections. For grounding of cable tray system provide No. 2 AWG bare copper wire throughout cable tray system, and bond to each section, except use No. 1/0 aluminum wire if cable tray is aluminum. Terminate cable trays 10 inches from both sides of smoke and fire partitions. Install conductors run through smoke and fire partitions in 4 inch rigid steel conduits with grounding bushings, extending 12 inches beyond each side of partitions. Seal conduit on both ends to maintain smoke and fire ratings of partitions. Firestop penetrations in accordance with Section 07 84 00, FIRESTOPPING. Provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

# 3.1.6 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

# 3.1.6.1 Boxes

Boxes for use with raceway systems: minimum  $1 \ 1/2$  inches deep, except where shallower boxes required by structural conditions are approved.

Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet. Telecommunications outlets: a minimum of 4 11/16 inches square by 2 1/8 inches deep.

## 3.1.6.2 Pull Boxes

Construct of at least minimum size required by NFPA 70, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

#### 3.1.7 Mounting Heights

Mount panelboards, circuit breakers, and disconnecting switches so height of operating handle at its highest position is maximum 78 inches above floor. Mount lighting switches 48 inches above finished floor. Mount receptacles and telecommunications outlets 18 inches above finished floor, unless otherwise indicated. Mount other devices as indicated. Measure mounting heights of wiring devices and outlets in non-hazardous areas to center of device or outlet.

## 3.1.8 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Provide telecommunications system conductor identification as specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEMS.

# 3.1.8.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

- a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.
- b. Use permanent ink for the wire numbers
- c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.
- d. Size marking strips to accommodate the two sets of wire numbers.
- e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.
- f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according

to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

## 3.1.9 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

# 3.1.10 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

# 3.1.11 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings in accordance with Section 07 84 00 FIRESTOPPING.

#### 3.1.12 Grounding and Bonding

Provide in accordance with NFPA 70 and NFPA 780. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems.

Make ground connection at main service equipment, and extend grounding conductor to point of entrance of metallic water service. Make connection to water pipe by suitable ground clamp or lug connection to plugged tee. If flanged pipes are encountered, make connection with lug bolted to street side of flanged connection. Supplement metallic water service grounding system with additional made electrode in compliance with NFPA 70.

Make ground connection to driven ground rods on exterior of building. Bond additional driven rods together with a minimum of 4 AWG soft bare copper wire buried to a depth of at least 12 inches. Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems. Make interconnection to the gas line on the customer's side of the meter. Use main size lightning conductors for interconnecting these grounding systems to the lightning protection system. In addition to the requirements specified herein, provide telecommunications grounding in accordance with TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

#### 3.1.12.1 Ground Rods

Provide ground rods and measure the resistance to ground using the fall-of-potential method described in IEEE 81. Do not exceed 25 ohms under normally dry conditions for the maximum resistance of a driven ground. If this resistance cannot be obtained with a single rod, provide additional rods. Spacing for additional rods must be a minimum of 10 feet.

If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, notify the Contracting Officer who will decide on the number of ground rods to add.

#### 3.1.12.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or high compression connector.

- a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.
- b. Make high compression connections using a hydraulic or electric compression tool to provide the correct circumferential pressure. Provide tools and dies as recommended by the manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed on the ground wire.

#### 3.1.12.3 Ground Bus

Provide a copper ground bus in the electrical equipment rooms as indicated. Noncurrent-carrying metal parts of transformer neutrals and other electrical equipment: effectively grounded by bonding to the ground bus. Bond the ground bus to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Make connections and splices of the brazed, welded, bolted, or pressure-connector type, except use pressure connectors or bolted connections for connections to removable equipment.

# 3.1.12.4 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact Contracting Officer for further instructions.

#### 3.1.12.5 Telecommunications System

Provide telecommunications grounding in accordance with the following:

a. Telecommunications Grounding Busbars: Provide a telecommunications Primary Busbar (PBB) in the telecommunications entrance facility. Install the PBB as close to the electrical service entrance grounding connection as practicable. Provide a Secondary Busbar (SBB) in all other telecommunications rooms and telecommunications equipment rooms. Install the TGB as close to the telecommunications room panelboard as practicable, when equipped. Where a panelboard for telecommunications room, locate the TGB near the backbone cabling and associated terminations. In addition, locate the TGB to provide for the shortest and straightest routing of the grounding conductors. Where a panelboard for telecommunications equipment is located within the same room or space as a SBB, bond that panelboard's alternating current equipment ground (ACEG) bus (when equipped) or the panelboard enclosure to the TGB. Install telecommunications grounding busbars to maintain clearances as required by NFPA 70 and insulated from its support. A minimum of 2 inches separation from the wall is recommended to allow access to the rear of the busbar and adjust the mounting height to accommodate overhead or underfloor cable routing.

- b. Telecommunications Bonding Conductors: Provide main telecommunications service equipment ground consisting of separate bonding conductor for telecommunications, between the BPB and readily accessible grounding connection of the electrical service. Grounding and bonding conductors should not be placed in ferrous metallic conduit. If it is necessary to place grounding and bonding conductors in ferrous metallic conduit that exceeds3 feet in length, bond the conductors to each end of the conduit using a grounding bushing or a No. 6 AWG conductor, minimum. Provide a telecommunications bonding backbone (TBB) that originates at the TMGB extends throughout the building using the telecommunications backbone pathways, and connects to the TGBs in all telecommunications rooms and equipment rooms. Install the TBB conductors such that they are protected from physical and mechanical damage. The TBB conductors should be installed without splices and routed in the shortest possible straight-line path. Make the bonding conductor between a TBB and a TGB continuous. Where splices are necessary, the number of splices should be a minimum. Make the splices accessible and located in telecommunications spaces. Connect joined segments of a TBB using exothermic welding, irreversible compression-type connectors, or equivalent. Install all joints to be adequately supported and protected from damage. Whenever two or more TBBs are used within a multistory building, bond the TBBs together with a grounding equalizer (GE) at the top floor and at a minimum of every third floor in between. Do not connect the TBB and GE to the pathway ground, except at the PBB or SBB.
- c. Telecommunications Grounding Connections: Telecommunications grounding connections to the PBB or SBB: utilize listed compression two-hole lugs, exothermic welding, suitable and equivalent one hole non-twisting lugs, or other irreversible compression type connections. Bond all metallic pathways, cabinets, and racks for telecommunications cabling and interconnecting hardware located within the same room or space as the PBB or SBB to the PBB or SBB respectively.
- 3.1.13 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

# 3.1.14 Elevator

Provide circuit to line terminals of elevator controller, and disconnect switch on line side of controller, outlet for control power, outlet receptacle and work light at midheight of elevator shaft, and work light and outlet receptacle in elevator pit.

#### 3.1.15 Watthour Meters

ANSI C12.1.

#### 3.1.16 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet avoiding 90 degree bends.

#### 3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

# 3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

## 3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting: as specified in Section 09 90 00 PAINTS AND COATINGS.

#### 3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results.  $\ .$ 

#### 3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

# 3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused shall also be tested.

#### 3.5.3 Transformer Tests

Perform the standard, not optional, tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

# 3.5.4 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to

verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.5.5 Grounding System Test

Test grounding system to ensure continuity, and that resistance to ground is not excessive. Test each ground rod for resistance to ground before making connections to rod; tie grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Submit written results of each test to Contracting Officer, and indicate location of rods as well as resistance and soil conditions at time measurements were made.

## 3.5.6 Phase Rotation Test

Perform phase rotation test to ensure proper rotation of service power prior to operation of new or reinstalled equipment using a phase rotation meter. Follow the meter manual directions performing the test.

-- End of Section --

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#### SECTION 26 24 13

# SWITCHBOARDS 05/15

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	((2014; Errata 2016) Electric Meters -
	Code for Electricity Metering

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - IP (2013) Energy Standard for Buildings Except Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

ASTM A780/A780M	(2020) Standard Practice for Repair of
	Damaged and Uncoated Areas of Hot-Dip
	Galvanized Coatings

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

ASTM D1535 (2014; R 2018) Standard Practice for Specifying Color by the Munsell System

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81 (2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

IEEE C37.90.1 (2013) Standard for Surge Withstand Capability (SWC) Tests for Relays and Relay Systems Associated with Electric Power Apparatus

## INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2017; Errata 2017) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA PB 2.1	(2013) General Instructions for Proper Handling, Installation, Operation and Maintenance of Deadfront Distribution Switchboards Rated 600 V or Less
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA PB 2	(2011) Deadfront Distribution Switchboards

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 489 (2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures UL 891 (2005; Reprint Oct 2012) Switchboards

## 1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING applies to this section, with the additions and modifications specified herein.

1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29, SUSTAINABITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Switchboard Drawings; G

SD-03 Product Data

Switchboard; G

SD-06 Test Reports

Switchboard Design Tests; G

Switchboard Production Tests; G

Acceptance Checks and Tests; G

SD-10 Operation and Maintenance Data

Switchboard Operation and Maintenance, Data Package 5; G

SD-11 Closeout Submittals

Assembled Operation and Maintenance Manuals; G

Service Entrance Available Fault Current Label; G

#### 1.5 QUALITY ASSURANCE

1.5.1 Product Data

Include manufacturer's information on each submittal for each component, device and accessory provided with the switchboard including:

- a. Circuit breaker type, interrupting rating, and trip devices, including available settings.
- b. Manufacturer's instruction manuals and published time-current curves (in electronic format) of the main secondary breaker and largest secondary feeder device.

#### 1.5.2 Switchboard Drawings

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on wiring diagrams and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Indicate on the drawings adequate clearance for operation, maintenance, and replacement of operating equipment devices. Include the nameplate data, size, and capacity on submittal. Also include applicable federal, military, industry, and technical society publication references on submittals. Include the following:

- a. One-line diagram including breakers, current transformers, and meters.
- b. Outline drawings including front elevation, section views, footprint, and overall dimensions.
- c. Bus configuration including dimensions and ampere ratings of bus bars.
- d. Markings and NEMA nameplate data, .
- e. Circuit breaker type, interrupting rating, and trip devices, including available settings.
- f. Wiring diagrams and elementary diagrams with terminals identified, and indicating prewired interconnections between items of equipment and the interconnection between the items.

#### 1.5.3 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

## 1.5.4 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship, and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.
- 1.5.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.4.2 Material and Equipment Manufacturing Date

Products manufactured more than 1 year prior to date of delivery to site are not acceptable.

- 1.6 MAINTENANCE
- 1.6.1 Switchboard Operation and Maintenance Data

Submit Operation and Maintenance Manuals in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.6.2 Assembled Operation and Maintenance Manuals

Assemble and securely bind manuals in durable, hard covered, water resistant binders. Assemble and index the manuals in the following order with a table of contents:

- a. Manufacturer's O&M information required by the paragraph SD-10, OPERATION AND MAINTENANCE DATA.
- b. Catalog data required by the paragraph SD-03, PRODUCT DATA.

- c. Drawings required by the paragraph SD-02, SHOP DRAWINGS.
- d. Information on metering.
- e. Design test reports.
- f. Production test reports.
- 1.7 WARRANTY

Provide equipment items that are supported by service organizations reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

## PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

Products and materials not considered to be switchboards and related accessories are specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION, and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.2 SWITCHBOARD

NEMA PB 2 and UL 891.

2.2.1 Ratings

Provide equipment with the following ratings:

- a. Voltage rating: as indicated.
- b. Continuous current rating of the main bus: as indicated.
- c. Short-circuit current rating: as indicated.
- d. UL listed and labeled as service entrance equipment.

# 2.2.2 Construction

Provide the following:

- a. Switchboard: consisting of one or more vertical sections bolted together to form a rigid assembly and front and rear aligned.
- b. All circuit breakers: front accessible.
- c. Front and rear aligned switchboards.
- d. Where indicated, "space for future" or "space" means to include a vertical bus provided behind a blank front cover. Where indicated, "provision for future" means full hardware provided to mount a breaker suitable for the location.
- e. Completely factory engineered and assembled, including protective devices and equipment indicated with necessary interconnections, instrumentation, and control wiring.

2.2.2.1 Enclosure

Provide the following:

- a. Enclosure: NEMA ICS 6 Type 1.
- b. Enclosure: bolted together with removable bolt-on side and rear covers.
- c. Front doors: provided with padlockable vault handles with a three point catch.
- d. Paint color: ASTM D1535 light gray No. 61 or No. 49 over rust inhibitor.

# 2.2.2.2 Bus Bars

Provide the following:

- a. Bus bars: copper with silver-plated contact surfaces.
  - (1) Phase bus bars: uninsulated.
  - (2) Neutral bus: rated 100 percent of the main bus continuous current rating.
- b. Make bus connections and joints with hardened steel bolts.
- c. Main-bus (through bus): rated at the full ampacity of the main throughout the switchboard.
- d. Minimum one-quarter by 2 inch copper ground bus secured to each vertical section along the entire length of the switchboard.
- 2.2.2.3 Main Section

Provide the main section consisting of an individually mounted fixed insulated-case circuit breaker.

2.2.2.4 Distribution Sections

Provide the distribution sections consisting of molded-case circuit breakers as indicated.

# 2.2.2.5 Handles

Provide handles for individually mounted devices of the same design and method of external operation. Label handles prominently to indicate device ampere rating, color coded for device type. Identify ON-OFF indication by handle position and by prominent marking.

2.2.3 Protective Device

Provide main and branch protective devices as indicated.

2.2.3.1 Insulated-Case Breaker

Provide the following:

a. UL 489. UL listed and labeled, 100 percent rated main breaker, manually operated, low voltage, insulated-case circuit breaker, with a short-circuit current rating as indicated.

- b. Breaker frame size: as indicated.
- c. Series rated circuit breakers are unacceptable.
- 2.2.3.2 Molded-Case Circuit Breaker

Provide the following:

- a. UL 489. UL listed and labeled, standard rated branch breakers, manually operated, low voltage molded-case circuit breaker, with a short-circuit current rating as indicated.
- b. Breaker frame size: as indicated.
- c. Series rated circuit breakers are unacceptable.
- 2.2.4 Electronic Trip Units

Equip main breakers as indicated with a solid-state tripping system consisting of three current sensors and a microprocessor-based trip unit that provides true rms sensing adjustable time-current circuit protection. Include the following:

- a. Current sensors ampere rating: the same as the breaker frame rating.
- b. Trip unit ampere rating: as indicated.
- c. Ground fault protection: zero sequence sensing.
- d. Electronic trip units: provide additional features as indicated:
  - (1) Breakers: include long delay pick-up and time settings, and LED indication of cause of circuit breaker trip.
  - (2) Main breakers: include short delay pick-up and time settings and, instantaneous settings and ground fault settings.
  - (3) Main Breakers: include a digital display for phase and ground current.
  - (4) Main Breakers: include a digital display for watts, vars, VA, kWh, kvarh, and kVAh.
  - (5) Main Breakers: include a digital display for phase voltage, and percent THD voltage and current.
  - (6) Main Breakers: include provisions for communication via a network twisted pair cable for remote monitoring. Provide the following communications protocol: Modbus.

#### 2.2.5 Metering

#### 2.2.5.1 Digital Meters

IEEE C37.90.1 for surge withstand. Provide true rms, plus/minus one percent accuracy, programmable, microprocessor-based meter enclosed in a sealed case with the following features.

- a. Display capability:
  - (1) Multi-Function Meter: Display a selected phase to neutral voltage, phase to phase voltage, percent phase to neutral voltage THD, percent phase to phase voltage THD; a selected phase current, neutral current, percent phase current THD, percent neutral current; selected total PF, kW, KVA, kVAR, FREQ, kVAh, kWh. Detected alarm conditions include over/under current, over/under voltage, over/under KVA, over/under frequency, over/under selected PF/kVAR, voltage phase reversal, voltage imbalance, reverse power, over percent THD.
- b. Design meters to accept input from standard 5A secondary instrument transformers and direct voltage monitoring range to 600 volts, phase to phase.
- c. Provide programming via a front panel display and a communication interface accessible by a computer.
- d. Provide password secured programming stored in non-volatile EEPROM memory.
- e. Provide digital communications in a Modbus protocol via a RS485 serial port.
- f. Provide meter that calculates and stores average max/min demand values with time and date for all readings based on a user selectable sliding window averaging period.
- g. Provide meter with programmable hi/low set limits with two Form C dry contact relays when exceeding alarm conditions.
- 2.2.5.2 Electronic Watthour Meter

Provide as specified in Section 26 27 14.00 20 ELECTRICITY METERING.

#### 2.2.5.3 Submetering

ASHRAE 90.1 - IP. Provide submetering as indicated.

# 2.2.6 Terminal Boards

Provide with engraved plastic terminal strips and screw type terminals for external wiring between components and for internal wiring between removable assemblies. Provide short-circuiting type terminal boards associated with current transformer. Terminate conductors for current transformers with ring-tongue lugs. Provide terminal board identification that is identical in similar units. Provide color coded external wiring that is color coded consistently for similar terminal boards.

# 2.2.7 Wire Marking

Mark control and metering conductors at each end. Provide factory installed, white, plastic tubing, heat stamped with black block type letters on factory-installed wiring. On field-installed wiring, provide white, preprinted, polyvinyl chloride (PVC) sleeves, heat stamped with

black block type letters. Provide a single letter or number on each sleeve, elliptically shaped to securely grip the wire, and keyed in such a manner to ensure alignment with adjacent sleeves. Provide specific wire markings using the appropriate combination of individual sleeves. Indicate on each wire marker the device or equipment, including specific terminal number to which the remote end of the wire is attached.

# 2.3 MANUFACTURER'S NAMEPLATE

Provide a nameplate on each item of equipment bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable. This nameplate and method of attachment may be the manufacturer's standard if it contains the required information.

#### 2.4 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each switchboard, equipment enclosure, relay, switch, and device; as specified in this section or as indicated on the drawings. Identify on each nameplate inscription the function and, when applicable, the position. Provide nameplates of melamine plastic, 0.125 inch thick, white with black center core. Provide matte finish surface. Provide square corners. Accurately align lettering and engrave into the core. Provide nameplates with minimum size of one by 2.5 inches. Provide lttering that is a minimum of 0.25 inch high normal block style.

- 2.5 SOURCE QUALITY CONTROL
- 2.5.1 Switchboard Design Tests

NEMA PB 2 and UL 891.

2.5.1.1 Design Tests

Furnish documentation showing the results of design tests on a product of the same series and rating as that provided by this specification.

- a. Short-circuit current test.
- b. Enclosure tests.
- c. Dielectric test.
- 2.5.2 Switchboard Production Tests

NEMA PB 2 and UL 891. Furnish reports which include results of production tests performed on the actual equipment for this project. These tests include:

- a. 60-hertz dielectric tests.
- b. Mechanical operation tests.
- c. Electrical operation and control wiring tests.
- d. Ground fault sensing equipment test.

#### 2.6 COORDINATED POWER SYSTEM PROTECTION

Provide a power system study as specified in Section 26 28 01.00 10 COORDINATED POWER SYSTEM PROTECTION.

#### 2.7 ARC FLASH WARNING LABEL

Provide warning label for switchboards. Locate this self-adhesive warning label on the outside of the enclosure warning of potential electrical arc flash hazards and appropriate PPE required. Provide label format as indicated.

## 2.8 SERVICE ENTRANCE AVAILABLE FAULT CURRENT LABEL

Provide label on exterior of switchboards used as service equipment listing the maximum available fault current at that location. Include on the label the date that the fault calculation was performed and the contact information for the organization that completed the calculation. Locate this self-adhesive warning label on the outside of the switchboard. Provide label format as indicated.

#### PART 3 EXECUTION

#### 3.1 INSTALLATION

Conform to IEEE C2, NFPA 70, and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

# 3.2 INSTALLATION OF EQUIPMENT AND ASSEMBLIES

Install and connect equipment furnished under this section as indicated on project drawings, the approved shop drawings, and as specified herein.

#### 3.2.1 Switchboard

ANSI/NEMA PB 2.1.

3.2.2 Meters and Instrument Transformers

ANSI C12.1.

#### 3.2.3 Field Applied Painting

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

#### 3.2.4 Galvanizing Repair

Repair damage to galvanized coatings using ASTM A780/A780M, zinc rich paint, for galvanizing damaged by handling, transporting, cutting, welding, or bolting. Do not heat surfaces that repair paint has been applied to.

#### 3.2.5 Field Fabricated Nameplate Mounting

Provide number, location, and letter designation of nameplates as

indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

- 3.3 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES
- 3.3.1 Interior Location

Mount switchboard on concrete slab as follows:

- a. Unless otherwise indicated, provide the slab with dimensions at least 4 inches thick.
- b. Install slab such that the top of the concrete slab is approximately 4 inches above the finished grade.
- c. Provide edges above grade 1/2 inch chamfer.
- d. Provide slab of adequate size to project at least 8 inches beyond the equipment.
- e. Provide conduit turnups and cable entrance space required by the equipment to be mounted.
- f. Seal voids around conduit openings in slab with water- and oil-resistant caulking or sealant.
- g. Cut off and bush conduits 3 inches above slab surface.
- h. Provide concrete work as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.
- 3.4 FIELD QUALITY CONTROL
- 3.4.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

- 3.4.1.1 Switchboard Assemblies
  - a. Visual and Mechanical Inspection
    - (1) Compare equipment nameplate data with specifications and approved shop drawings.
    - (2) Inspect physical, electrical, and mechanical condition.
    - (3) Verify appropriate anchorage, required area clearances, and correct alignment.
    - (4) Clean switchboard and verify shipping bracing, loose parts, and documentation shipped inside cubicles have been removed.
    - (5) Inspect all doors, panels, and sections for paint, dents, scratches, fit, and missing hardware.
    - (6) Verify that circuit breaker sizes and types correspond to approved shop drawings as well as to the circuit breaker's address for

microprocessor-communication packages.

- (7) Verify that current transformer ratios correspond to approved shop drawings.
- (8) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
- (9) Confirm correct operation and sequencing of electrical and mechanical interlock systems.
- (10) Confirm correct application of manufacturer's recommended lubricants.
- (11) Inspect insulators for evidence of physical damage or contaminated surfaces.
- (12) Verify correct barrier installation.
- (13) Exercise all active components.
- (14) Inspect all mechanical indicating devices for correct operation.
- (15) Verify that filters are in place and vents are clear.
- (16) Test operation, alignment, and penetration of instrument transformer withdrawal disconnects.
- (17) Inspect control power transformers.
- 3.4.1.2 Circuit Breakers Low Voltage Power
  - a. Visual and Mechanical Inspection
    - (1) Compare nameplate data with specifications and approved shop drawings.
    - (2) Inspect physical and mechanical condition.
    - (3) Inspect anchorage, alignment, and grounding.
    - (4) Verify that all maintenance devices are available for servicing and operating the breaker.
    - (5) Inspect moving and stationary contacts for condition, wear, and alignment.
    - (6) Verify that primary and secondary contact wipe and other dimensions vital to satisfactory operation of the breaker are correct.
    - (7) Perform all mechanical operator and contact alignment tests on both the breaker and its operating mechanism.
    - (8) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible

bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

- (9) Verify cell fit and element alignment.
- (10) Verify racking mechanism.
- (11) Confirm correct application of manufacturer's recommended lubricants.
- b. Electrical Tests
  - (1) Perform contact-resistance tests on each breaker.
  - (2) Perform insulation-resistance tests.
  - (3) Determine long-time minimum pickup current by primary current injection.
  - (4) Determine long-time delay by primary current injection.
  - (5) Determine short-time pickup and delay by primary current injection.
  - (6) Determine ground-fault pickup and delay by primary current injection.
  - (7) Determine instantaneous pickup value by primary current injection.
  - (8) Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, and antipump function.
- 3.4.1.3 Circuit Breakers

Low Voltage Molded Case with Solid State Trips

- a. Visual and Mechanical Inspection
  - (1) Compare nameplate data with specifications and approved shop drawings.
  - (2) Inspect circuit breaker for correct mounting.
  - (3) Operate circuit breaker to ensure smooth operation.
  - (4) Inspect case for cracks or other defects.
  - (5) Inspect all bolted electrical connections for high resistance using low resistance ohmmeter, verifying tightness of accessible bolted connections and/or cable connections by calibrated torque-wrench method, or performing thermographic survey.
  - (6) Inspect mechanism contacts and arc chutes in unsealed units.
- b. Electrical Tests
  - (1) Perform contact-resistance tests.
  - (2) Perform insulation-resistance tests.

(3) Perform Breaker adjustments for final settings.

## 3.4.1.4 Metering and Instrumentation

- a. Visual and Mechanical Inspection
  - (1) Compare equipment nameplate data with specifications and approved shop drawings.
  - (2) Inspect physical and mechanical condition.
  - (3) Verify tightness of electrical connections.
- b. Electrical Tests
  - (1) Determine accuracy of meters at 25, 50, 75, and 100 percent of full scale.
  - (2) Calibrate watthour meters according to manufacturer's published data.
  - (3) Verify all instrument multipliers.
  - (4) Electrically confirm that current transformer and voltage transformer secondary circuits are intact.

## 3.4.1.5 Grounding System

- a. Visual and Mechanical Inspection
  - (1) Inspect ground system for compliance with contract plans and specifications.
- b. Electrical Tests
  - (1) IEEE 81. Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.
  - (2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

# 3.4.2 Follow-Up Verification

Upon completion of acceptance checks, settings, and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. Trip circuit

breakers by operation of each protective device. Test each item to perform its function not less than three times. As an exception to requirements stated elsewhere in the contract, provide the Contracting Officer 5 working days advance notice of the dates and times for checks, settings, and tests.

-- End of Section --

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SECTION 26 27 14.00 20

# ELECTRICITY METERING 02/11

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	((2014; Errata 2016) Electric Meters - Code for Electricity Metering
INSTITUTE OF ELECTRICAI	AND ELECTRONICS ENGINEERS (IEEE)
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE C37.90.1	(2013) Standard for Surge Withstand Capability (SWC) Tests for Relays and Relay Systems Associated with Electric Power Apparatus
IEEE C57.13	(2016) Requirements for Instrument Transformers
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions
INTERNATIONAL ELECTRICA	AL TESTING ASSOCIATION (NETA)
NETA ATS	(2017; Errata 2017) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems
NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)	
ANSI C12.18	(2006; R 2016) Protocol Specification for ANSI Type 2 Optical Port
ANSI C12.20	(2015; E 2018) Electricity Meters - 0.1, 0.2, and 0.5 Accuracy Classes
NEMA C12.19	(2012) Utility Industry End Device Data Tables
NATIONAL FIRE PROTECTIO	ON ASSOCIATION (NFPA)
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4)

National Electrical Code

#### 1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE Stds Dictionary.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval.

Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation Drawings; G

SD-03 Product Data

Electricity meters; G

Current transformer; G

External communications devices; G

Interfacing Software; G

SD-06 Test Reports

Acceptance checks and tests; G

System functional verification; G

Meter configuration report; G

SD-10 Operation and Maintenance Data

Electricity Meters and Accessories, Data Package 5; G

Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein.

SD-11 Closeout Submittals

System functional verification; G

# 1.4 QUALITY ASSURANCE

#### 1.4.1 Installation Drawings

Drawings shall be provided in hard-copy and electronic format, and shall include but not be limited to the following:

 One-line diagram, including meters, switch(es), current transformers, potential transformers, protocol modules, communications interfaces, and fuses. For each typical meter installation, provide a diagram.

## 1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 1 year prior to bid opening. The 1-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product, or an earlier release of the product, shall have been on sale on the commercial market through advertisements, manufacturers catalogs, or brochures during the prior 1-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

#### 1.4.3 Material and Equipment Manufacturing Data

Products manufactured more than 1 year prior to date of delivery to site shall not be used, unless specified otherwise.

#### 1.5 MAINTENANCE

1.5.1 Additions to Operation and Maintenance Data

In addition to requirements of Data Package 5, include the following on the actual electricity meters and accessories provided:

- a. A condensed description of how the system operates
- b. Block diagram indicating major assemblies
- c. Troubleshooting information
- d. Preventive maintenance
- e. Prices for spare parts and supply list

## 1.6 WARRANTY

The equipment items and software shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment and software on a regular and emergency basis during the warranty period of the contract.

#### 1.7 SYSTEM DESCRIPTION

#### 1.7.1 System Requirements

Electricity metering, consisting of meters and associated equipment, will be used to record the electricity consumption and other values as described in the requirements that follow and as shown on the drawings. Communication system requirements are contained in a separate specification section as identified in paragraph entitled "Communications Interfaces".

## 1.7.2 Selection Criteria

Metering components and software are part of a system that includes the physical meter, data recorder function and communications method. Every building site identified shall include sufficient metering components to measure the electrical parameters identified and to store and communicate the values as required.

Contractor shall verify that the metering system installed on any building site is compatible with the facility-wide or base-wide communication and meter reading protocol system.

## PART 2 PRODUCTS

- 2.1 ELECTRICITY METERS AND ACCESSORIES
- 2.1.1 Physical and Common Requirements
  - a. Provide metering system components in accordance with the Metering System Schedule shown on the drawings.
  - b. Surge withstand capability shall conform to IEEE C37.90.1.
  - c. Use #12 SIS (XHHW, or equivalent) wiring with ring lugs for all meter connections. Color code and mark the conductors.
- 2.1.2 Current Transformer Requirements
  - a. Current transformer shall be installed with a rating as shown in the schedule.
  - b. Current transformers shall have an Accuracy Class of 0.3 (with a maximum error of plus/minus 0.3 percent at 5.0 amperes) when operating within the specified rating factor.
  - c. Current transformers shall be solid-core, bracket-mounted for new installations using ring-tongue lugs for electrical connections. Current transformers shall be accessible and the associated wiring shall be installed in an organized and neat workmanship arrangement. Current transformers that are retrofitted onto existing switchgear busbar can be a busbar split-core design.
  - d. Current transformers shall have:
    - (1) Insulation Class: All 600 volt and below current transformers shall be rated 10 KV BIL.
    - (2) Frequency: Nominal 60 Hz.
    - (3) Burden: Burden class shall be selected for the load.
    - (4) Phase Angle Range: 0 to 60 degrees.
  - e. Meter shall accept current input from standard instrument transformers (5A secondary current transformers).
  - f. Current inputs shall have a continuous rating in accordance with IEEE C57.13.

#### 2.1.3 Meter Requirements

Electricity meters shall include the following features:

- a. Meter shall comply with ANSI C12.1, NEMA C12.19, and ANSI C12.20.
- b. Provide panel mounted meters.
- c. Meter shall be a Class 20, transformer rated design.
- d. Meter shall be rated for use at temperature from minus 40 degrees Centigrade to plus 70 degrees Centigrade.
- e. The meters shall have an electronic demand recording register and shall be secondary reading as indicated. The register shall be used to indicate maximum kilowatt demand as well as cumulative or continuously cumulative demand. Demand shall be measured on a block-interval basis and shall be capable of a 5 to 60 minute interval and initially set to a 15-minute interval. It shall have provisions to be programmed to calculate demand on a rolling interval basis. Meter readings shall be true RMS.
- f. The meter electronic register shall be of modular design with non-volatile data storage. Downloading meter stored data shall be capable via an optical port. Recording capability of data storage with a minimum capability of 89 days of 15 minute, 2 channel interval data. The meter shall be capable of providing at least 2 KYZ pulse outputs (dry contacts). Default initial configuration (unless identified otherwise by base personnel) shall be:
  - (1) First channel kWh
  - (2) Second channel kVARh
  - (3) KYZ output #1 kWh
  - (4) KYZ output #2 kVARh
- g. All meters shall have identical features available in accordance with this specification.
- h. Enable switches for Time of Use (TOU), pulse and load profile measurement module at the factory.
- i. Meter shall have an optical port on front of meter capable of speeds from 9600 to a minimum of 19.2k baud, and shall be initially set at 9600 baud. Optical device shall be compatible with ANSI C12.18.
- j. Meters shall be 120-480 volts auto ranging.
- k. Provide blank tag fixed to the meter faceplate for the addition of the meter multiplier, which will be the product of the current transformer ratio and will be filled in by base personnel on the job site. The meter's nameplate shall include:
  - Meter ID number.
     Rated voltage.
     Current class.
     Metering form.

- (5) Test amperes.
- (6) Frequency.
- (7) Catalog number.
- (8) Manufacturing date.
- 1. On switchboard style installations, provide switchboard case with disconnect means for meter removal incorporating short-circuiting of current transformer circuits.
- m. Meter covers shall be polycarbonate resins with an optical port and reset. Backup battery shall be easily accessible for change-out after removing the meter cover.
- n. The normal billing data scroll shall be fully programmable. Data scroll display shall include the following.
  - (1) Number of demand resets.
  - (2) End-of-interval indication.
  - (3) Maximum demand.
  - (4) New maximum demand indication.
  - (5) Cumulative or continuously cumulative.
  - (6) Time remaining in interval.
  - (7) Kilowatt hours.
- o. The register shall incorporate a built-in test mode that allows it to be tested without the loss of any data or parameters. The following quantities shall be available for display in the test mode:
  - (1) Present interval's accumulating demand.
  - (2) Maximum demand.
  - (3) Number of impulses being received by the register.
- p. Pulse module simple I/O board with programmable ratio selection.
- q. Self-monitoring to provide for:
  - (1) Unprogrammed register.
  - (2) RAM checksum error.
  - (3) ROM checksum error.
  - (4) Hardware failure.
  - (5) Memory failure.
  - (6) EPROM error.
  - (7) Battery status (fault, condition, or time in service).
- r. Liquid crystal alphanumeric displays, 9 digits, blinking squares confirm register operation. 6 Large digits for data and smaller digits for display identifier.
- s. Display operations, programmable sequence with display identifiers. Display identifiers shall be selectable for each item. Continually sequence with time selectable for each item.
- t. The meters shall support three modes of registers: Normal Mode, Alternate Mode, and Test Mode. The meter also shall support a "Toolbox" or "Service Information" (accessible in the field) through an optocom port to a separate computer using the supplied software to allow access to instantaneous service information such as voltage, current, power factor, load demand, and the phase angle for individual phases.

- u. Meter shall have a standard 4 -year warranty.
- 2.1.4 Installation Methods
  - a. Panel Mounted. (in Metering). Meter shall be mounted as shown.
- 2.2 COMMUNICATIONS INTERFACES

Meter shall have two-way communication with the data acquisition system (DAS). Provide a communications interface utilizing Modbus.

Provide interfacing software if a meter is used that is different than the existing meters at the Activity to ensure compatibility within the metering system.

## PART 3 EXECUTION

#### 3.1 INSTALLATION

Electrical installations shall conform to IEEE C2, NFPA 70 (National Electrical Code), and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.1.1 Configuration Software

The standard meter shall include the latest available version of firmware and software. Meter shall either be programmed at the factory or shall be programmed in the field. Meters shall have a password that shall be provided to the contracting officer upon project completion. When field programming is performed, turn field programming device over to the Contracting Officer at completion of project. When interfacing software is used for a meter that is different than the existing meters in use at the Activity, turn the software over to the Contracting Officer at completion of the project.

3.2 FIELD QUALITY CONTROL

Perform the following acceptance checks and tests on all installed meters.

3.2.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

- a. Meter Assembly
  - (1) Visual and mechanical inspection.

(a) Compare equipment nameplate data with specifications and approved shop drawings.

(b) Inspect physical and mechanical condition. Confirm the meter is firmly seated in the socket, the socket is not abnormally heated, the display is visible, and the ring and seal on the cover are intact.

(c) Inspect all electrical connections to ensure they are tight.

For Class 200 services, verify tightness of the service conductor terminations for high resistance using low-resistance ohmmeter, or by verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method.

(d) Record model number, serial number, firmware revision, software revision, and rated control voltage.

- (e) Verify operation of display and indicating devices.
- (f) Record password and user log-in for each meter.
- (g) Verify grounding of metering enclosure.

(h) Set all required parameters including instrument transformer ratios, system type, frequency, power demand methods/intervals, and communications requirements. Verify that the CT ratio and the PT ratio are properly included in the meter multiplier or the programming of the meter. Confirm that the multiplier is provided on the meter face or on the meter.

(2) Electrical tests.

(a) Apply voltage or current as appropriate to each analog input and verify correct measurement and indication.

(b) Confirm correct operation and setting of each auxiliary input/output feature including mechanical relay, digital, and analog.

(c) After initial system energization, confirm measurements and indications are consistent with loads present.

(d) Make note of, and report, any "Error-Code" or "Caution-Code" on the meter's display.

(3) Provide meter configuration report.

## b. Current Transformers

(1) Visual and mechanical inspection.

(a) Compare equipment nameplate data with specification and approved shop drawings.

- (b) Inspect physical and mechanical condition.
- (c) Verify correct connection, including polarity.
- (d) Inspect all electrical connections to ensure they are tight.

(e) Verify that required grounding and shorting connections provide good contact.

(2) Electrical Tests.

Verify proper operation by reviewing the meter configuration report.

- c. Potential Transformers
  - (1) Visual and mechanical inspection.
    - (a) Verify potential transformers are rigidly mounted.
    - (b) Verify potential transformers are the correct voltage.

(c) Verify that adequate clearances exist between the primary and secondary circuit.

(2) Electrical Tests.

(a) Verify by the meter configuration report that the polarity and phasing are correct.

3.2.2 System Functional Verification

Verify that the installed meters are working correctly in accordance with the meter configuration report:

- a. The correct meter form is installed.
- b. All voltage phases are present.
- c. Phase rotation is correct.
- d. Phase angles are correct.
- e. The new meter accurately measures power magnitude and direction, and can communicate as required by paragraph entitled "Communications Interfaces".
  - -- End of Section --

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#### SECTION 26 28 01.00 10

# COORDINATED POWER SYSTEM PROTECTION 10/07

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 242	(2001; Errata 2003) Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems - Buff Book
IEEE 399	(1997) Brown Book IEEE Recommended Practice for Power Systems Analysis

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA C37.50	(2018) SwitchgearLow-Voltage AC Power
	Circuit Breakers Used in Enclosures - Test
	Procedures

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA
	20-1; TIA 20-2; TIA 20-3; TIA 20-4)
	National Electrical Code

# NFPA 70E(2018; TIA 18-1; TIA 81-2) Standard for<br/>Electrical Safety in the Workplace

#### UNDERWRITERS LABORATORIES (UL)

UL 489 (2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures

# 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Fault Current Analysis; G Arc Flash Analysis; G Protective Device Coordination Study; G Equipment System Coordinator Installation

SD-06 Test Reports

Field Testing

SD-07 Certificates

Devices and Equipment

#### 1.3 QUALITY ASSURANCE

1.3.1 System Coordinator

System coordination, recommended ratings and settings of protective devices, and design analysis shall be accomplished by a registered professional electrical power engineer with a minimum of 3 years of current experience in the coordination of electrical power systems. Submit verification of experience and license number, of a registered Professional Engineer as specified above. Experience data shall include at least five references for work of a magnitude comparable to this contract, including points of contact, addresses and telephone numbers.

## 1.3.2 System Installer

Calibration, testing, adjustment, and placing into service of the protective devices shall be accomplished by a manufacturer's product field service engineer or independent testing company with a minimum of two years of current product experience in protective devices.

1.4 DELIVERY, STORAGE, AND HANDLING

Devices and equipment shall be visually inspected when received and prior to acceptance from conveyance. Protect stored items from the environment in accordance with the manufacturer's published instructions. Damaged items shall be replaced.

## PART 2 PRODUCTS

## 2.1 STANDARD PRODUCT

Provide protective devices andequipment which are the standard product of a manufacturer regularly engaged in the manufacture of the product and that essentially duplicate items that have been in satisfactory utility type use for at least two years prior to bid opening. Submit data consisting of manufacturer's time-current characteristic curves for individual protective devices, recommended settings of adjustable protective devices, and recommended ratings of non-adjustable protective devices.

#### 2.2 NAMEPLATES

Provide nameplates to identify all protective devices and equipment. Nameplate information shall be in accordance with UL 489.

#### 2.3 CORROSION PROTECTION

Metallic materials shall be protected against corrosion. Ferrous metal hardware shall be zinc or chrome-plated.

#### 2.4 COORDINATED POWER SYSTEM PROTECTION

Analyses shall be prepared to demonstrate that the equipment selected and system constructed meet the contract requirements for ratings, coordination, and protection. They shall include a load flow analysis, a fault current analysis, a protective device coordination study. Submit the study along with protective device equipment submittals. No time extensions or similar contact modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed will be based on recommendations of this study. The Government shall not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered and/or procured prior to approval of the study. The studies shall be performed by a registered professional engineer with demonstrated experience in power system coordination in the last 3 years.

#### 2.4.1 Scope of Analyses

The fault current analysis, and protective device coordination study shall begin at: the source bus and extend down to system buses where fault availability is 10,000 amperes (symmetrical) for building/facility 600 volt level distribution buses.

#### 2.4.2 Determination of Facts

Coordinate with the commercial power company for fault current availability at the site.

## 2.4.3 Single Line Diagram

A single line diagram shall be prepared to show the electrical system buses, devices, transformation points, and all sources of fault current (including generator and motor contributions). A fault-impedance diagram or a computer analysis diagram may be provided. Each bus, device or transformation point shall have a unique identifier. If a fault-impedance diagram is provided, impedance data shall be shown. Location of switches, breakers, and circuit interrupting devices shall be shown on the diagram together with available fault data, and the device interrupting rating.

#### 2.4.4 Fault Current Analysis

## 2.4.4.1 Method

The fault current analysis shall be performed in accordance with methods described in IEEE 242, and IEEE 399.

2.4.4.2 Data

Actual data shall be utilized in fault calculations. Bus characteristics and transformer impedance shall be those proposed. Data shall be documented in the report.

## 2.4.4.3 Fault Current Availability

Balanced three-phase fault, bolted line-to-line fault, and line-to-ground fault current values shall be provided at each voltage transformation point and at each power distribution bus. The maximum and minimum values of fault available at each location shall be shown in tabular form on the diagram or in the report.

# 2.4.5 Coordination Study

The study shall demonstrate that the maximum possible degree of selectivity has been obtained between devices specified, consistent with protection of equipment and conductors from damage from overloads and fault conditions. The study shall include a description of the coordination of the protective devices in this project. A written narrative shall be provided describing: which devices may operate in the event of a fault at each bus; the logic used to arrive at device ratings and settings; situations where system coordination is not achievable due to device limitations (an analysis of any device curves which overlap); coordination between upstream and downstream devices; and relay settings. Recommendations to improve or enhance system reliability, and detail where such changes would involve additions or modifications to the contract and cost damages (addition or reduction) shall be provided. Composite coordination plots shall be provided on log-log graph paper.

#### 2.4.6 Arc Flash Analysis

Provide Arc Flash analysis and labeling in accordance with NFPA 70E, IEEE Std 1584, and Army Corps of Engineers Standard EM 385-1-1.

## 2.4.7 Study report

- a. The report shall include a narrative describing: the analyses performed; the bases and methods used; and the desired method of coordinated protection of the power system.
- b. The study shall include descriptive and technical data for existing devices and new protective devices proposed. The data shall include manufacturers published data, nameplate data, and definition of the fixed or adjustable features of the existing or new protective devices.
- c. The report shall document utility company data including system voltages, fault MVA, system X/R ratio, time-current characteristic curves, and current transformer ratios .
- d. The report shall contain fully coordinated composite time-current characteristics curves for each bus in the system, as required to ensure coordinated power system protection between protective devices or equipment. The report shall include recommended ratings and settings of all protective devices in tabulated form.
- e. The report shall provide the calculation performed for the analyses, including computer analysis programs utilized. The name of the

software package, developer, and version number shall be provided.

## PART 3 EXECUTION

#### 3.1 EXAMINATION

After becoming familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

#### 3.2 INSTALLATION

Submit procedures including diagrams, instructions, and precautions required to properly install, adjust, calibrate, and test the devices and equipment. Install protective devices in accordance with the manufacturer's published instructions and in accordance with the requirements of NFPA 70 and IEEE C2.

## 3.3 FIELD TESTING

Prior to field tests, submit the proposed test plan consisting of complete field test procedure, tests to be performed, test equipment required, and tolerance limits, and complete testing and verification of the ground fault protection equipment, where used. Submit performance test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls.

## 3.3.1 General

Perform field testing in the presence of the Contracting Officer. Furnish all materials, labor, and equipment necessary to conduct field tests. Perform all tests and inspections recommended by the manufacturer unless specifically waived by the Contracting Officer. Maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results.

# 3.3.2 Safety

Provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. Replace any devices or equipment which are damaged due to improper test procedures or handling.

#### 3.3.3 Molded-Case Circuit Breakers

Circuit breakers shall be visually inspected, operated manually, and connections checked for tightness. Current ratings shall be verified and adjustable settings incorporated in accordance with the coordination study.

## 3.3.4 Power Circuit Breakers

## 3.3.4.1 General

Visually inspect the circuit breaker and operate the circuit breaker manually; adjust and clean primary contacts in accordance with manufacturer's published instructions; check tolerances and clearances; check for proper lubrication; and ensure that all connections are tight. For electrically operated circuit breakers, verify operating voltages on closing and tripping coils. Verify fuse ratings in control circuits; electrically operate the breaker, where applicable; and implement settings in accordance with the coordination study.

3.3.4.2 Power Circuit Breaker Tests

The following power circuit breakers shall be tested in accordance with NEMA C37.50.

-- End of Section --

## SECTION 26 29 23

# ADJUSTABLE SPEED DRIVE (ASD) SYSTEMS UNDER 600 VOLTS 02/20

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

EUROPEAN COMMITTEE FOR STANDARDIZATION (CEN/CENELEC)

EN 61800-3	(2017) Requirements for the Control of Electromagnetic Interference Characteristics of Subsystems and Equipment
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)
IEEE 519	(2014) Recommended Practices and Requirements for Harmonic Control in Electrical Power Systems
IEEE C62.41.1	(2002; R 2008) Guide on the Surges Environment in Low-Voltage (1000 V and Less) AC Power Circuits
IEEE C62.41.2	(2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits
NATIONAL ELECTRICAL MAN	UFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 3.1	(2019) Guide for the Application, Handling, Storage, Installation and Maintenance of Medium-Voltage AC Contactors, Controllers and Control Centers
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA ICS 7	(2014) Adjustable-Speed Drives
NEMA ICS 7.2	(2015) Application Guide for AC Adjustable Speed Drive Systems
NEMA MG 1	(2018) Motors and Generators

West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) NFPA 70 (2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA) 47 CFR 15 Radio Frequency Devices UNDERWRITERS LABORATORIES (UL) UL 489 (2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures UL 508C (2002; Reprint Nov 2010) Power Conversion

#### 1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM applies to this section with additions and modifications specified herein.

Equipment

- 1.3 SYSTEM DESCRIPTION
- 1.3.1 Performance Requirements
- 1.3.1.1 Electromagnetic Interference Suppression

Computing devices, as defined by 47 CFR 15 and EN 61800-3 rules and regulations, must be certified to comply with the requirements for class A computing devices and labeled.

1.3.1.2 Electromechanical and Electrical Components

Ensure electrical and electromechanical components of the Adjustable Speed Drive (ASD) do not cause electromagnetic interference to adjacent electrical or electromechanical equipment while in operation.

- 1.3.2 Electrical Requirements
- 1.3.2.1 Power Line Surge Protection

IEEE C62.41.1 and IEEE C62.41.2, IEEE 519 Control panel must have surge protection, included within the panel to protect the unit from damaging transient voltage surges. Surge protective device must be mounted near the incoming power source and properly wired to all three phases and ground. Fuses must not be used for surge protection.

1.3.2.2 Sensor and Control Wiring Surge Protection

I/O functions as specified must be protected against surges induced on control and sensor wiring installed outdoors and as shown. Test the inputs and outputs in both normal mode and common mode using the following two waveforms:

a. A 10 microsecond by 1000 microsecond waveform with a peak voltage of 1500 volts and a peak current of 60 amperes.

b. An 8 microsecond by 20 microsecond waveform with a peak voltage of 1000 volts and a peak current of 500 amperes.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Schematic Diagrams; G

SD-03 Product Data

Adjustable Speed Drives; G

Wires and Cables

Equipment Schedule

SD-06 Test Reports

ASD Test

Performance Verification Tests

Endurance Test

SD-08 Manufacturer's Instructions

Installation instructions

SD-09 Manufacturer's Field Reports

ASD Test Plan; G

Standard Products

SD-10 Operation and Maintenance Data

Adjustable Speed Drives, Data Package 4

### 1.5 QUALITY ASSURANCE

## 1.5.1 Schematic Diagrams

Submit diagrams showing circuits and device elements for each replaceable module. Schematic diagrams of printed circuit boards are permitted to group functional assemblies as devices, provided that sufficient information is provided for government maintenance personnel to verify proper operation of the functional assemblies.

#### 1.5.2 Equipment Schedule

Provide schedule of equipment supplied. Schedule must provide a cross reference between manufacturer data and identifiers indicated in shop drawings. Schedule must include the total quantity of each item of equipment supplied and data indicating compatibility with motors being driven. For complete assemblies, such as ASD's, provide the serial numbers of each assembly, and a sub-schedule of components within the assembly. Provide recommended spare parts listing for each assembly or component.

## 1.5.3 Installation Instructions

Provide installation instructions issued by the manufacturer of the equipment, including notes and recommendations, prior to shipment to the site. Provide operation instructions prior to acceptance testing.

## 1.5.4 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

#### 1.6 DELIVERY AND STORAGE

Store delivered equipment to protect from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

## 1.7 WARRANTY

The complete system must be warranted by the manufacturer for a period of one year. Repair or replace any component failing to perform its function as specified and documented at no additional cost to the Government. Items repaired or replaced must be warranted for an additional period of at least one year from the date that it becomes functional again, as specified in FAR 52.246-21 Warranty of Construction.

#### 1.8 MAINTENANCE

#### 1.8.1 Operation and Maintenance Data

Provide in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide service and maintenance information including preventive maintenance, assembly, and disassembly procedures. Include electrical drawings from electrical general sections. Provide additional information necessary to provide complete operation, repair, and maintenance information, detailed to the smallest replaceable unit. Include copies of

as-built submittals. Provide routine preventative maintenance instructions, and equipment required. Provide instructions on how to modify program settings, and modify the control program. Provide instructions on drive adjustment, trouble-shooting, and configuration. Provide instructions on process tuning and system calibration.

## 1.8.2 Maintenance Support

During the warranty period, provide on-site, on-call maintenance services by drive manufacturer's personnel on the following basis: The service must be on a per-call basis with 36 hour response. Contractor is responsible for the maintenance of all hardware and software of the system during the warranty period. Various personnel of different expertise must be sent on-site depending on the nature of the maintenance service required. Costs must include travel, local transportation, living expenses, and labor rates of the service personnel while responding to the service request. The provisions of this Section are not in lieu of, nor relieve the Contractor of, warranty responsibilities covered in this specification. Should the result of the service request be the uncovering of a system defect covered under the warranty provisions, all costs for the call, including the labor necessary to identify the defect, must be borne by the Contractor.

#### 1.8.3 Technical Support

Provide the ASDs with manufacturer's technical telephone support in English, readily available during normal working hours.

## PART 2 PRODUCTS

### 2.1 ADJUSTABLE SPEED DRIVES (ASD)

Provide adjustable speed drive to control the speed of induction motor(s). The ASD must include the following minimum functions, features and ratings.

- a. Input circuit breaker per UL 489 with a minimum of 10,000 amps symmetrical interrupting capacity and door interlocked external operator.
- b. A converter stage per UL 508C must change fixed voltage, fixed frequency, ac line power to a fixed dc voltage. The converter must utilize a full wave bridge design incorporating diode rectifiers. Silicon Controlled Rectifiers (SCR) are not acceptable. The converter must be insensitive to three phase rotation of the ac line and must not cause displacement power factor of less than .95 lagging under any speed and load condition.
- c. An inverter stage must change fixed dc voltage to variable frequency, variable ac voltage for application to a standard NEMA MG 1 Part 30 motor designed for use with adjustable frequency power supplies. Switch the inverter to produce a sine coded pulse width modulated (PWM) output waveform.
- d. The ASD shall be capable of supplying 120 percent of rated full load current for one minute at maximum ambient temperature.
- e. The ASD must be designed to operate from a 3 volt, plus or minus 10 percent, three phase, 60 Hz supply, and control motors with a

corresponding voltage rating.

f. Acceleration and deceleration time must be independently adjustable from one second to 60 seconds.

Required deceleration time may be achieved using not only dynamic braking resistor but with other methods described in NEMA ICS 7.2-2015 paragraph 5.2.5.

- g. Adjustable full-time current limiting must limit the current to a preset value which must not exceed 110 percent of the controller rated current. The current limiting action must maintain the V/Hz ratio constant so that variable torque can be maintained. Short time starting override must allow starting current to reach 175 percent of controller rated current to maximum starting torque.
- h. The controllers must be capable of producing an output frequency over the range of 3 Hz to 60 Hz (20 to one speed range), without low speed cogging. Over frequency protection must be included such that a failure in the controller electronic circuitry must not cause frequency to exceed 110 percent of the maximum controller output frequency selected.
- Minimum and maximum output frequency must be adjustable over the following ranges: 1) Minimum frequency 3 Hz to 50 percent of maximum selected frequency; 2) Maximum frequency 40 Hz to 60 Hz.
- j. The controller efficiency at any speed must not be less than 96 percent.
- k. The controllers must be capable of being restarted into a motor coasting in the forward direction without tripping.
- Protection of power semiconductor components must be accomplished without the use of fast acting semiconductor output fuses. Subjecting the controllers to any of the following conditions must not result in component failure or the need for fuse replacement:
  - (1) Short circuit at controller output
  - (2) Ground fault at controller output
  - (3) Open circuit at controller output
  - (4) Input undervoltage
  - (5) Input overvoltage
  - (6) Loss of input phase
  - (7) AC line switching transients
  - (8) Instantaneous overload
  - (9) Sustained overload exceeding 115 percent of controller rated current
  - (10) Over temperature

(11) Phase reversal

- m. Solid state motor overload protection must be included such that current exceeding an adjustable threshold must activate a 60 second timing circuit. Should current remain above the threshold continuously for the timing period, the controller will automatically shut down.have Class II ground-fault protection, with start and run delays to prevent nuisance trip on staring, NC isolated overload alarm contact.
- n. Include slip compensation circuit that will sense changing motor load conditions and adjust output frequency to provide speed regulation of NEMA MG 1 Part 30 designed for use with adjustable frequency power supplies motors to within plus or minus 0.5 percent of maximum speed without the necessity of a tachometer generator.
- o. The ASD must be factory set for manual restart after the first protective circuit trip for malfunction (overcurrent, undervoltage, overvoltage or overtemperature) or an interruption of power. The ASD must be capable of being set for automatic restart after a selected time delay. If the drive faults again within a specified time period (adjustable 0-60 seconds), a manual restart will be required.
- p. The ASD must include external fault reset capability. All the necessary logic to accept an external fault reset contact must be included.
- q. Provide critical speed lockout circuitry to prevent operating at frequencies with critical harmonics that cause resonant vibrations. The ASD must have a minimum of three user selectable bandwidths.
- r. Provide preperly sized NEMA rated by-pass and isolation contactors to enable operation of motor in the event of ASD failure. Install mechanical and electrical interlocks between the by-pass and isolation contactors. Provide a selector switch and transfer delay timer. Motor overload and short circuit protective features must remain in use during the bypass mode.
- s. Each individual ASD must meet the following Total Harmonic Distortion (THD) requirements at the input terminals to the factory assembly of the ASD or at the load disconnecting means serving the ASD and filter assembly. These measurements should be taken with the drive set at 90 percent frequency (rpms) and the motor under a minimum of 50 percent demand.
  - (1) The Voltage THD should not exceed 2.0 percent THD.
  - (2) The Current THD should not exceed 15.0 percent THD.
  - (3) If the standard factory ASD does not meet or exceed these requirements the factory must install appropriate equipment (Harmonic Traps, Filters, different Drive technology, etc.) to mitigate the distortion to assure performance of the VFD is within the limits.
  - (4) These tests should be performed at the Manufacturers Laboratory facilities and submitted as part of the Product Data Submittals, in order to prevent the necessity of adding mitigation equipment in the field. If the requirements listed above are met, IEEE 519

will also be met.

2.1.1 ASD for HVAC Application

ASDs must have the following features:

- a. A local operator control providing the following functions:
  - (1) Remote/Local operator selection with password access.
  - (2) Run/Stop and manual speed commands.
  - (3) All programming functions.
  - (4) Scrolling through all display functions.
- b. A local operator control panel with the following data displayed:
  - (1) ASD status.
  - (2) Frequency.
  - (3) Motor RPM.
  - (4) Phase current.
  - (5) Scrolling through all display functions.
  - (6) Fault diagnostics in descriptive text.
  - (7) All programmed parameters.
- c. Standard PI loop controller with input terminal for controlled variable and parameter settings.
- d. User interface terminals for remote control of ASD speed, speed feedback, and an isolated form C SPDT relay, which energizes on a drive fault condition.
- e. An isolated form C SPDT auxiliary relay which energizes on a run command.
- f. An adjustable carrier frequency with 16 KHz minimum upper limit.
- g. A built-in or external line reactor with 3 percent minimum impedance to protect the DC bus capacitors and rectifier section diodes, reduce power line transient voltage, line notching, DC bus over-voltage tripping and improve the inverter over-current and over-voltage conditions.
- h. Historical logging information and displays:
  - (1) Running log of total power versus time.
  - (2) Total run time.
  - (3) Fault log, maintaining last four faults with time and data stamp for each.

- i. The ASD must be capable of automatic control by a remote 4-20 mA0 to 10 VDC signal, by BACnet network command, or manually by the ASD control panel.
- j. ASD must have the following protective features:
  - (1) An electronic adjustable inverse time current limit with consideration for additional heating of the motor at frequencies below 45Hz, for the protection of the motor.
  - (2) An electronic adjustable soft stall feature, allowing the ASD to lower the frequency to a point where the motor will not exceed the full-load amperage when an overload ASD will automatically return to the requested frequency when load conditions permit.
  - (3) A separate electronic stall at 110 percent ASD rated current, and a separate hardware trip at 190 percent current.
  - (4) The ability to shut down if inadvertently started into a rotating load without damaging the ASD or the motor.
  - (5) The ability to keep a log of a minimum of four previous fault conditions, indicating the fault type and time of occurrence in descriptive text.
  - (6) The ability to sustain 110 percent rated current for 60 seconds.
  - (7) The ability to shutdown safely or protect against and record the following fault conditions:

(a) Over current (and an indication if the over current was during acceleration, deceleration, or running).

- (b) Over current internal to the drive.
- (c) Motor overload at start-up.
- (d) Over voltage from utility power.
- (e) Motor running overload.
- (f) Over voltage during deceleration.
- (g) ASD over heat.
- (h) Load and ground fault.
- (h) Abnormal parameters or data in ASD EEPROM.

#### 2.2 ENCLOSURES

Provide equipment enclosures conforming to NEMA 250, NEMA ICS 7, and NEMA ICS 6, with a heater if located outdoors.

#### 2.3 WIRES AND CABLES

All wires and cables must conform to NEMA 250, NEMA ICS 7, NFPA 70.

#### 2.4 NAMEPLATES

Nameplates external to NEMA enclosures must conform with the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manufacturer's standard, permanent nameplates for internal areas of enclosures.

#### 2.5 SOURCE QUALITY CONTROL

## 2.5.1 ASD Test Plan

To ensure quality, each ASD must be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities. Provide test plans.

## 2.5.2 ASD Test Report

To ensure quality, each ASD must be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities.

## PART 3 EXECUTION

#### 3.1 INSTALLATION

Per NEMA ICS 3.1, install equipment in accordance with the approved manufacturer's printed installation drawings, instructions, wiring diagrams, and as indicated on project drawings and the approved shop drawings. A field representative of the drive manufacturer must supervise the installation of all equipment, and wiring.

### 3.2 GROUNDING

Per NEMA ICS 7.2, ASD must be solidly grounded to the main distribution.

#### 3.3 FIELD QUALITY CONTROL

Specified products must be tested as a system for conformance to specification requirements prior to scheduling the acceptance tests. Conduct performance verification tests in the presence of Government representative, observing and documenting complete compliance of the system to the specifications. Submit a signed copy of the test results, certifying proper system operation before scheduling tests.

# 3.3.1 ASD Test

A proposed test plan must be submitted to the contracting officer at least 28 calendar days prior to proposed testing for approval. The tests must conform to NEMA ICS 1, NEMA ICS 7, and all manufacturer's safety regulations. The Government reserves the right to witness all tests and review any documentation. Inform the Government at least 14 working days prior to the dates of testing. Perform the ASD test with the assistance of a factory-authorized service representative.

## 3.3.2 Performance Verification Tests

"Performance Verification Test" plan must provide the step by step procedure required to establish formal verification of the performance of the ASD. Compliance with the specification requirements must be verified by inspections, review of critical data, demonstrations, and tests. The

Government reserves the right to witness all tests, review data, and request other such additional inspections and repeat tests as necessary to ensure that the system and provided services conform to the stated requirements. Inform the Government 14 calendar days prior to the date the test is to be conducted.

# 3.3.3 Endurance Test

Immediately upon completion of the performance verification test, the endurance test must commence. The system must be operated at varying rates for not less than 192 consecutive hours, at an average effectiveness level of 0.9998, to demonstrate proper functioning of the complete PCS. Continue the test on a day-to-day basis until performance standard is met. The contractor is not allowed in the building during the endurance test. The system must respond as designed.

#### 3.4 DEMONSTRATION

## 3.4.1 Training

Coordinate training requirements with the Contracting Officer. Provide video tapes, if available, of all training provided to the Government for subsequent use in training new personnel. Provide all training aids, texts, and expendable support material for a self-sufficient presentation shall be provided, the amount of which to be determined by the contracting officer.

## 3.4.1.1 Instructions to Government Personnel

Provide the services of competent instructors with minimum two-year field experience with the operation and maintenance of similar ASDs who will give full instruction to designated personnel in operation, maintenance, calibration, configuration, and programming of the complete control system. Orient the training specifically to the system installed. Instructors must be thoroughly familiar with the subject matter they are to teach. The number of training days of instruction furnished must be as specified. A training day is defined as eight hours of instruction, including two 15-minute breaks and excluding lunch time; Monday through Friday. Provide a training manual for each student at each training phase which describes in detail the material included in each training program. Provide one additional copy for archiving. Provide equipment and materials required for classroom training. Provide a list of additional related courses, and offers, noting any courses recommended. List each training course individually by name, including duration, approximate cost per person, and location of course. Unused copies of training manuals must be turned over to the Government at the end of last training session.

#### 3.4.1.2 Operating Personnel Training Program

Provide one 2-hour training session at the site at a time and place mutually agreeable between the Contractor and the Government. Provide session to train 4 operation personnel in the functional operations of the system and the procedures that personnel will follow in system operation. This training shall include:

- a. System overview
- b. General theory of operation

- c. System operation
- d. Alarm formats
- e. Failure recovery procedures
- f. Troubleshooting
- 3.4.1.3 Engineering/Maintenance Personnel Training

Accomplish the training program as specified. Training must be conducted on site at a location designated by the Government. Provide a one-day training session to train four engineering personnel in the functional operations of the system. This training must include:

- a. System overview
- b. General theory of operation
- c. System operation
- d. System configuration
- e. Alarm formats
- f. Failure recovery procedures
- g. Troubleshooting and repair
- h. Maintenance and calibration
- i. System programming and configuration
  - -- End of Section --

## SECTION 26 41 00

# LIGHTNING PROTECTION SYSTEM 11/13

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2012) Guide for Measuring Earth
	Resistivity, Ground Impedance, and Earth
	Surface Potentials of a Ground System

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code	
NED 700	(2017) Standard for the Installation of	

NFPA 780 (2017) Standard for the Installation of Lightning Protection Systems

UNDERWRITERS LABORATORIES (UL)

UL 96	(2016) UL Standard for Safety Lightning Protection Components
UL 467	(2013; Reprint Jun 2017) UL Standard for Safety Grounding and Bonding Equipment
UL Electrical Construction	(2012) Electrical Construction Equipment Directory

#### 1.2 RELATED REQUIREMENTS

## 1.2.1 Verification of Dimensions

Confirm all details of work, verify all dimensions in field, and advise Contracting Officer of any discrepancy before performing work. Obtain prior approval of Contracting Officer before making any departures from the design.

1.2.2 System Requirements

Provide a system furnished under this specification consisting of the latest UL Listed products of a manufacturer regularly engaged in production of lightning protection system components. Comply with NFPA 70, NFPA 780, and UL 96.

1.2.3 Lightning Protection System Installers Documentation

Provide documentation showing that the installer is certified with a

commercial third-party inspection company whose sole work is lightning protection, or is a UL Listed Lightning Protection Installer. In either case, the documentation must show that they have completed and passed the requirements for certification or listing, and have a minimum of 2 years documented experience installing lightning protection systems for DoD projects of similar scope and complexity.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Overall lightning protection system; G

Each major component; G

SD-06 Test Reports

Lightning Protection and Grounding System Test Plan; G

Lightning Protection and Grounding System Test; G

#### SD-07 Certificates

Lightning Protection System Installers Documentation; G

Component UL Listed and Labeled; G

Lightning protection system inspection certificate; G

Roof manufacturer's warranty; G

### 1.4 QUALITY ASSURANCE

In each standard referred to herein, consider the advisory provisions to be mandatory, as though the word "shall" or "must" has been substituted for "should" wherever it appears. Interpret references in these standards to "authority having jurisdiction," or words of similar meaning, to mean Contracting Officer.

1.4.1 Installation Drawings

### 1.4.1.1 Overall System Drawing

Submit installation shop drawing for the overall lightning protection system. Include on the drawings the physical layout of the equipment (plan view and elevations), mounting details, relationship to other parts of the work, and wiring diagrams.

# 1.4.1.2 Major Components

Submit detail drawings for each major component including manufacturer's

descriptive and technical literature, catalog cuts, and installation instructions.

1.4.2 Component UL Listed and Labeled

Submit proof of compliance that components are UL Listed and Labeled. Listing alone in UL Electrical Construction, which is the UL Electrical Construction Directory, is not acceptable evidence. In lieu of Listed and Labeled, submit written certificate from an approved, nationally recognized testing organization equipped to perform such services, stating that items have been tested and conform to requirements and testing methods of Underwriters Laboratories.

1.4.3 Lightning Protection and Grounding System Test Plan

Provide a lightning protection and grounding system test plan. Detail both the visual inspection and electrical testing of the system and components in the test plan. Identify (number) the system test points/locations along with a listing or description of the item to be tested and the type of test to be conducted. As a minimum, include a sketch of the facility and surrounding lightning protection system as part of the specific test plan for each structure. Include the requirements specified in paragraph, "Testing of Integral Lightning Protection System" in the test plan.

1.4.4 Lightning Protection System Inspection Certificate

Provide certification from a commercial third-party inspection company whose sole work is lightning protection, stating that the lightning protection system complies with NFPA 780. Third party inspection company cannot be the system installer or the system designer. Alternatively, provide a UL Lightning Protection Inspection Master Label Certificate for each facility indicating compliance to NFPA 780.

Inspection must cover every connection, air terminal, conductor, fastener, accessible grounding point and other components of the lightning protection system to ensure 100% system compliance. This includes witnessing the tests for the resistance measurements for ground rods with test wells, and for continuity measurements for bonds. It also includes verification of proper surge protective devices for power, data and telecommunication systems. Random sampling or partial inspection of a facility is not acceptable.

#### 1.5 SITE CONDITIONS

Confirm all details of work, verify all dimensions in field, and advise Contracting Officer of any discrepancy before performing work. Obtain prior approval of Contracting Officer before changing the design.

PART 2 PRODUCTS

## 2.1 MATERIALS

Do not use a combination of materials that forms an electrolytic couple of such nature that corrosion is accelerated in the presence of moisture unless moisture is permanently excluded from the junction of such metals. Where unusual conditions exist which would cause corrosion of conductors, provide conductors with protective coatings, such as tin or lead, or oversize conductors. Where a mechanical hazard is involved, increase

conductor size to compensate for the hazard or protect conductors. When metallic conduit or tubing is provided, electrically bond conductor to conduit or tubing at the upper and lower ends by clamp type connectors or welds (including exothermic). All lightning protection components, such as bonding plates, air terminals, air terminal supports and braces, chimney bands, clips, connector fittings, and fasteners are to comply with the requirements of UL 96 classes as applicable.

2.1.1 Main and Bonding Conductors

NFPA 780 and UL 96 Class I, Class II, or Class II modified materials as applicable.

- 2.2 COMPONENTS
- 2.2.1 Air Terminals

Provide solid air terminals with a blunt tip. Tubular air terminals are not permitted. Support air terminals more than 24 inches in length by suitable brace, supported at not less than one-half the height of the terminal.

2.2.2 Ground Rods

Provide ground rods made of copper-clad steel conforming to conform to UL 467. Provide ground rods that are not less than 3/4 inch in diameter and 10 feet in length. Do not mix ground rods of copper-clad steel or solid copper on the job.

2.2.3 Connections and Terminations

Provide connectors for splicing conductors that conform to UL 96, class as applicable. Conductor connections can be made by clamps or welds (including exothermic). Provide style and size connectors required for the installation.

2.2.4 Connector Fittings

Provide connector fittings for "end-to-end", "Tee", or "Y" splices that conform to NFPA 780 and UL 96.

PART 3 EXECUTION

#### 3.1 INTEGRAL SYSTEM

Provide a lightning protection system that meets the requirements of NFPA 780. Lightning protection system consists of air terminals, roof conductors, down conductors, ground connections, grounding electrodes, and ground ring electrode conductor. Bond secondary conductors with grounded metallic parts within the building. Make interconnections within side-flash distances at or below the level of the grounded metallic parts.

3.1.1 Roof-Mounted Components

Coordinate with the roofing manufacturer and provide certification that the roof manufacturer's warranty is not violated by the installation methods for air terminals and roof conductors.

## 3.1.1.1 Air Terminals

Use adhesive shoes with adhesive approved by the roof manufacturer when installing air terminals on "rubber" (EPDM) type roofs. In areas of snow or constant wind, ensure that a section of roofing material (minimum dimensional area of 1 square foot) is first glued to the roof and then the air terminal is glued to it unless the roof manufacturer recommends another solution. Use a standing seam base for installation of air terminals on a standing seam metal roof that does not produce any roof penetrations.

## 3.1.1.2 Roof Conductors

Use adhesive shoes with adhesive approved by the roof manufacturer when installing roof conductors on "rubber" (EPDM) type roofs. Use a standing seam base for installation of roof conductors on a standing seam metal roof that does not produce any roof penetrations. Roof conductors are to be concealed within the ceiling cavities as much as practicable.

# 3.1.2 Down Conductors

Protect exposed down conductors from physical damage as required by NFPA 780. Use Schedule 80 PVC to protect down conductors. Paint the Schedule 80 PVC to match the surrounding surface with paint that is approved for use on PVC.

# 3.1.3 Ground Connections

Attach each down conductor and ground ring electrode to ground rods by welding (including exothermic), brazing, or compression. All connections to ground rods below ground level must be by exothermic weld connection or with a high compression connection using a hydraulic or electric compression tool to provide the correct circumferential pressure. Accessible connections above ground level and in test wells can be accomplished by mechanical clamping.

# 3.1.4 Grounding Electrodes

Extend driven ground rods vertically into the existing undisturbed earth for a distance of not less 10 feet. Set ground rods not less than 3 feet nor more than 8 feet, from the structure foundation, and at least beyond the drip line for the facility. After the completed installation, measure the total resistance to ground using the fall-of-potential method described in IEEE 81. Maximum allowed resistance of a driven ground rod is 25 ohms, under normally dry conditions . Contact the Contracting Officer for direction on how to proceed when two of any three ground rods, driven not less than 10 feet into the ground, a minimum of 10 feet apart, and equally spaced around the perimeter, give a combined value exceeding 50 ohms immediately after having driven. For ground ring electrode, provide continuous No. 1/0 bare stranded copper cable. Lay ground ring electrode around the perimeter of the structure in a trench not less than 3 feet nor more than 8 feet from the nearest point of the structure foundation, and at least beyond the drip line for the facility. Install ground ring electrode to a minimum depth of 30 inches. Install a ground ring electrode in earth undisturbed by excavation, not earth fill, and do not locate beneath roof overhang, or wholly under paved areas or roadways where rainfall cannot penetrate to keep soil moist in the vicinity of the cable.

# 3.2 APPLICATIONS

# 3.2.1 Nonmetallic Exterior Walls with Metallic Roof

Bond metal roof sections together which are insulated from each other so that they are electrically continuous, having a surface contact of at least 3 square inches.

## 3.3 RESTORATION

Where sod has been removed, place sod as soon as possible after completing the backfilling. Restore, to original condition, the areas disturbed by trenching, storing of dirt, cable laying, and other work. Overfill to accommodate for settling. Include necessary topsoil, fertilizing, liming, seeding, sodding, sprigging or mulching in any restoration. Maintain disturbed surfaces and replacements until final acceptance.

## 3.4 FIELD QUALITY CONTROL

#### 3.4.1 Lightning Protection and Grounding System Test

Test the lightning protection and grounding system to ensure continuity is not in excess of 1 ohm and that resistance to ground is not in excess of 25 ohms. Provide documentation for the measured values at each test point. Test the ground rod for resistance to ground before making connections to the rod. Tie the grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Include in the written report: locations of test points, measured values for continuity and ground resistances, and soil conditions at the time that measurements were made. Submit results of each test to the Contracting Officer.

-- End of Section --

## SECTION 26 51 00

# INTERIOR LIGHTING 05/20

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A641/A641M	(2019) Standard Specification for Zinc-Coated (Galvanized) Carbon Steel Wire
ASTM A653/A653M	(2020) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A1008/A1008M	(2020) Standard Specification for Steel, Sheet, Cold-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, Solution Hardened, and Bake Hardenable
ASTM B633	(2019) Standard Specification for Electrodeposited Coatings of Zinc on Iron and Steel
ASTM D4674 REV A	(2002; R 2010) Standard Practice for Accelerated Testing for Color Stability of Plastics Exposed to Indoor Office Environments
EUROPEAN UNION (EU)	
Directive 2011/65/EU	(2011) Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment
ILLUMINATING ENGINEERIN	IG SOCIETY (IES)
IES HB-10	(2011; Errata 2015) IES Lighting Handbook
IES LM-79	(2008) Electrical and Photometric Measurements of Solid-State Lighting Products
IES LM-80	(2019) Measuring Lumen Maintenance of LED Light Sources
IES RP-16	(2017) Nomenclature and Definitions for Illuminating Engineering

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IES TM-15	(2011) Luminaire Classification System for Outdoor Luminaires
IES TM-21	(2019) Projecting Long Term Lumen Maintenance of LED Light Sources
IES TM-30	(2018) IES Method for Evaluating Light Source Color Rendition
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)
IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits
NATIONAL ELECTRICAL MAN	UFACTURERS ASSOCIATION (NEMA)
NEMA 77	(2017) Temporal Light Artifacts: Test Methods and Guidance for Acceptance Criteria
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ANSLG C78.377	(2017) Electric Lamps- Specifications for the Chromaticity of Solid State Lighting Products
NEMA C82.77-10	(2020) Harmonic Emission Limits - Related Power Quality Requirements
NEMA SSL 1	(2016) Electronic Drivers for LED Devices, Arrays, or Systems
NEMA SSL 3	(2011) High-Power White LED Binning for General Illumination
NEMA SSL 7A	(2015) Phase-Cut Dimming for Solid State Lighting: Basic Compatibility
NEMA WD 1	(1999; R 2015) Standard for General Color Requirements for Wiring Devices
NEMA WD 7	(2011; R 2016) Occupancy Motion Sensors Standard
NATIONAL FIRE PROTECTION	N ASSOCIATION (NFPA)
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 101	(2018; ERTA 18-1; ERTA 18-2; ERTA 18-3;

SECTION 26 51 00 Page 2

West Point, NY Lincoln Hall Revised RTA Submissio	Contract #W912DS19C0031 on 1 March 2023
	ERTA 18-4; TIA 18-1; TIA 18-2; TIA 18-3; TIA 18-4) Life Safety Code
U.S. NATIONAL ARCHIVES	AND RECORDS ADMINISTRATION (NARA)
47 CFR 15	Radio Frequency Devices
UNDERWRITERS LABORATORI	ES (UL)
UL 20	(2010; Reprint Feb 2012) General-Use Snap Switches
UL 94	(2013; Reprint Jun 2020) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 508	(2018) UL Standard for Safety Industrial Control Equipment
UL 916	(2015) Standard for Energy Management Equipment
UL 924	(2016; Reprint May 2020) UL Standard for Safety Emergency Lighting and Power Equipment
UL 1472	(2015) UL Standard for Safety Solid-State Dimming Controls
UL 1598	(2008; Reprint Oct 2012) Luminaires
UL 2043	(2013) Fire Test for Heat and Visible Smoke Release for Discrete Products and Their Accessories Installed in Air-Handling Spaces
UL 8750	(2015; Reprint Sep 2020) UL Standard for Safety Light Emitting Diode (LED) Equipment for Use in Lighting Products

# 1.2 RELATED REQUIREMENTS

Materials not considered to be luminaires, luminaire accessories, or lighting equipment are specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Luminaires and accessories that are mounted in exterior environments and not attached to the exterior of the building are specified in Section 26 56 00 EXTERIOR LIGHTING. Cybersecurity requirements are specified in Section 25 05 11.21. CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS. Commissioning requirements for Army and Air Force projects are specified in Section 01 91 00.15 10 TOTAL BUILDING COMMISSIONING.

## 1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications and on the drawings, must be as defined in IEEE 100 and IES RP-16.

- b. For LED luminaire light sources, "Useful Life" is the operating hours before reaching 70 percent of the initial rated lumen output (L70) with no catastrophic failures under normal operating conditions. This is also known as 70 percent "Rated Lumen Maintenance Life" as defined in IES LM-80.
- c. For LED luminaires, "Luminaire Efficacy" (LE) is the appropriate measure of energy efficiency, measured in lumens/watt. This is gathered from LM-79 data for the luminaire, in which absolute photometry is used to measure the lumen output of the luminaire as one entity, not the source separately and then the source and housing together.
- d. Total harmonic distortion (THD) is the root mean square (RMS) of all the harmonic components divided by the total fundamental current.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Luminaire Drawings; G

Occupancy/Vacancy Sensor Coverage Layout; G; S

Lighting Control System One-Line Diagram; G

Sequence of Operation for Lighting Control System; G

SD-03 Product Data

Luminaires; G

Light Sources; G

LED Drivers; G

Luminaire Warranty; G

Lighting Controls Warranty; G

Local Area Controller; G

Lighting Control Panel; G

Switches; G

Wall Box Dimmers; G

Scene Wallstations; G

Occupancy/Vacancy Sensors; G

Photosensors; G Time Clocks; G Power Packs; G

Exit Signs; G

SD-05 Design Data

Luminaire Design Data; G

SD-06 Test Reports

IES LM-79 Test Report; G

IES LM-80 Test Report; G

IES TM-21 Test Report; G

IES TM-30 Test Report; G

Occupancy/Vacancy Sensor Verification Test; G

Photosensor Verification Test; G

SD-07 Certificates

LED Driver and Dimming Switch Compatibility Certificate; G

SD-10 Operation and Maintenance Data

Lighting System, Data Package 5; G

Lighting Control System, Data Package 5; G

Maintenance Staff Training Plan; G

End-User Training Plan; G

#### 1.5 QUALITY ASSURANCE

Data, drawings, and reports must employ the terminology, classifications and methods prescribed by the IES HB-10 as applicable, for the lighting system specified.

## 1.5.1 Luminaire Drawings

Include dimensions, accessories installation details, and construction details. Photometric data, including CRI, CCT, LED driver type, aiming diagram, zonal lumen data, and candlepower distribution data must accompany shop drawings.

## 1.5.2 Luminaire Design Data

a. Provide safety certification and file number for the luminaire family that must be listed, labeled, or identified in accordance with the NFPA 70. Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratories).

b. Provide long term lumen maintenance projections for each LED luminaire in accordance with IES TM-21. Data used for projections must be obtained from testing in accordance with IES LM-80.

## 1.5.3 IES LM-79 Test Report

Submit test report on manufacturer's standard production model of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data in IES format as outlined under "14.0 Test Report" in IES LM-79.

## 1.5.4 IES LM-80 Test Report

Submit report on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data as outlined under "8.0 Test Report" in IES LM-80.

1.5.5 IES TM-21 Test Report

Submit test report on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data, as well as required interpolation information as outlined under "7.0 Report" in IES TM-21.

1.5.6 IES TM-30 Test Report

Submit color vector graphic in accordance with IES TM-30 on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Include spectral distribution of test LED light source.

1.5.7 LED Driver and Dimming Switch Compatibility Certificate

Submit certification from the luminaire, driver, or dimmer switch manufacturer that ensures compatibility and operability between devices without flickering and to specified dimming levels.

1.5.8 Occupancy/Vacancy Sensor Coverage Layout

Provide floor plans showing coverage layouts of all devices using manufacturer's product information.

1.5.9 Test Laboratories

Test laboratories for the IES LM-79 and IES LM-80 test reports must be one of the following:

a. National Voluntary Laboratory Accreditation Program (NVLAP) accredited for solid-state lighting testing as part of the Energy-Efficient Lighting Products laboratory accreditation program for both LM-79 and LM-80 testing.

- b. One of the qualified labs listed on the Department of Energy LED Lighting Facts Approved Testing Laboratories List for LM-79 testing.
- c. One of the EPA-Recognized Laboratories listed for LM-80 testing.
- 1.5.10 Regulatory Requirements

Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of NFPA 70, unless more stringent requirements are specified or indicated. Provide luminaires and assembled components that are approved by and bear the label of UL for the applicable location and conditions unless otherwise specified.

## 1.5.11 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design, and workmanship. Products must have been in satisfactory commercial or industrial use for six months prior to bid opening. The six-month period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the six-month period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.11.1 Alternative Qualifications

Products having less than a six-month field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.11.2 Material and Equipment Manufacturing Date

Do not use products manufactured more than six months prior to date of delivery to site, unless specified otherwise.

## 1.6 WARRANTY

Support all equipment items by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

## 1.6.1 Luminaire Warranty

Provide and transfer to the government the original LED luminaire manufacturers standard commercial warranty for each different luminaire manufacturer used in the project.

a. Provide a written five year minimum replacement warranty for material, luminaire finish, and workmanship. Provide written warranty document that contains all warranty processing information needed, including customer service point of contact, whether or not a return authorization number is required, return shipping information, and closest return location to the luminaire location.

- (1) Finish warranty must include failure and substantial deterioration such as blistering, cracking, peeling, chalking, or fading.
- (2) Material warranty must include:
  - (a) All LED drivers and integral control equipment.

(b) Replacement when more than 10 percent of LED sources in any lightbar or subassembly(s) are defective, non-starting, or operating below 70 percent of specified lumen output.

- b. Warranty period must begin in accordance with the manufacturer's standard warranty starting date.
- c. Provide replacements that are promptly shipped, without charge, to the using Government facility point of contact and that are identical to or an improvement upon the original equipment. All replacements must include testing of new components and assembly.
- 1.6.2 Lighting Controls Warranty

Provide and transfer to the government the original lighting controls manufacturers standard commercial warranty for each different lighting controls manufacturer used in the project. Warranty coverage must begin from date of final system commissioning or three months from date of delivery, whichever is the earliest. Warranty service must be performed by a factory-trained engineer or technician.

- a. Unless otherwise noted, provide a written five year minimum warranty on the complete system for all systems with factory commissioning. Provide warranty that covers 100 percent of the cost of any replacement parts and services required over the five years which are directly attributable to the product failure. Failures include, but are not limited to, the following:
  - (1) Software: Failure of input/output to execute switching or dimming commands.
  - (2) Damage of electronic components due to transient voltage surges.
  - (3) Failure of control devices, including but not limited to occupancy sensors, photosensors, and manual wall station control devices.
- b. Provide a written five year minimum warranty on all input devices against defect in workmanship or materials provided by device manufacturer.
- c. Provide a written five year minimum warranty on all control components attached to luminaires against defect in workmanship or materials.
- 1.7 OPERATION AND MAINTENANCE MANUALS
- 1.7.1 Lighting System

Provide operation and maintenance manuals for the lighting system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting system for the building. Include the following:

- a. Manufacturers' operating and maintenance manuals.
- b. Luminaire shop drawings for modified and custom luminaires.
- c. Luminaire Manufacturers' standard commercial warranty information as specified in paragraph LUMINAIRE WARRANTY.
- 1.7.2 Lighting Control System

Provide operation and maintenance manuals for the lighting control system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting control system for the building. Include the following:

- a. Lighting control system layout and wiring plan.
- b. Lighting control system one-line diagram.
- c. Product data for all devices, including installation and programming instructions.
- d. Occupancy/vacancy sensor coverage layout.
- e. Training materials, such as videos or in-depth manuals, that cover basic operation of the lighting control system and instructions on modifying the lighting control system. Training materials must include calibration, adjustment, troubleshooting, maintenance, repair, and replacement.
- f. Sequence of operation descriptions for each typical room type, including final programming, schedules, and calibration settings.
- PART 2 PRODUCTS
- 2.1 PRODUCT COORDINATION
- 2.2 LUMINAIRES

UL 1598, NEMA C82.77-10. Provide luminaires as indicated in the luminaire schedule and NL plates or details on project plans, complete with light source, wattage, and lumen output indicated. All luminaires of the same type must be provided by the same manufacturer. Luminaires must be specifically designed for use with the driver and light source provided.

2.2.1 Luminaires

UL 8750, IES LM-79, IES LM-80. For all luminaires, provide:

- a. Complete system with LED drivers and light sources.
- b. Housings constructed of non-corrosive materials. All new aluminum housings must be anodized or powder-coated. All new steel housings must be treated to be corrosion resistant.
- c. IES TM-21, IES LM-80. Minimum L70 lumen maintenance value of 50,000 hours unless otherwise indicated in the luminaire schedule. Luminaire drive current value must be identical to that provided by test data for luminaire in question.

d. Minimum efficacy as specified in the luminaire schedule. Theoretical models of initial lamp lumens per watt are not acceptable. If efficacy values are not listed in the luminaire schedule, provide luminaires that meet the following minimum values:

Luminaire Style	Minimum Luminaire Efficacy
Recessed 1 by 4, 2 by 4, and 2 by 2	100 LPW
Recessed Downlight (fixed, adjustable, wallwash)	80 LPW
Linear, Accent (undercabinet, cove)	45 LPW
Linear, Ambient (indirect wall mount, linear pendent)	100 LPW
Other (track, residential diffusers)	50 LPW
Exterior Wall Sconce	50 LPW

- e. UL listed for dry or damp location typical of interior installations. Any luminaire mounted on the exterior of the building must be UL listed for wet location typical of exterior installations.
- f. LED driver and light source package, array, or module are accessible for service or replacement without removal or destruction of luminaire.
- g. IES TM-15. Provide exterior building-mounted luminaires that do not exceed the BUG ratings as listed in the luminaire schedule. If BUG ratings are not listed in the luminaire schedule, provide luminaires that meet the following minimum values for each application and mounting conditions:

Lighting Application	Mounting Conditions	BUG Rating
Exterior Wall Sconce	Above 4 feet AFF	B1-U0-G2

#### 2.3 LIGHT SOURCES

NEMA ANSLG C78.377, NEMA SSL 3. Provide type, delivered lumen output, and wattage as indicated in the luminaire schedule on project plans.

2.3.1 LED Light Sources

Provide LED light sources that meet the following requirements:

- a. NEMA ANSLG C78.377. Emit white light and have a nominal CCT of 3500 Kelvin.
- b. Minimum Color Rendering Index (CRI) of 80.
- c. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- d. Light source color consistency by utilizing a binning tolerance within

a 3-step McAdam ellipse.

#### 2.4 LED DRIVERS

NEMA SSL 1, UL 8750. Provide LED drivers that are electronic, UL Class 1 or Class 2, constant-current type and that comply with the following requirements:

- a. The combined driver and LED light source system does not exceed the minimum luminaire efficacy values as listed in the luminaire schedule provided.
- b. Operates at a voltage of 277 volts at 50/60 hertz, with input voltage fluctuations of plus/minus 10 percent.
- c. Power Factor (PF) greater than or equal to 0.90 at full input power and across specified dimming range.
- d. Maximum Total Harmonic Distortion (THD) less than 20 percent at full input power and across specified dimming range.
- e. Operates for at least 50,000 hours at maximum case temperature and 90 percent non-condensing relative humidity.
- f. Withstands Category A surges of 4 kV without impairment of performance. Provide surge protection that is integral to the driver.
- g. Integral thermal protection that reduces the output power to protect the driver and light source from damage if the case temperature approaches or exceeds the driver's maximum operating temperature.
- h. 47 CFR 15. Complies with the requirements of the Federal Communications Commission (FCC) rules and regulations, Non-Consumer (Class A) for EMI/RFI (conducted and radiated).
- i. Class A sound rating.
- j. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- k. Provide dimming capability as indicated in the luminaire schedule on project plans. Dimmable drivers must dim down to 10 percent. Dimmable drivers must be controlled by a Class 2 low voltage 0-10VDC controller.

## 2.5 LIGHTING CONTROLS

Provide network certification for all networked lighting control systems and devices in accordance with the requirements of Section 25 05 11.21. CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS. Provide lighting control systems that do not switch off battery-operated or emergency backup luminaires or exit signs in path of egress. Provide system with override of lighting control devices controlling luminaires in path of egress with activation of fire alarm system.

2.5.1 System

Provide lighting control system that operates the lighting system as described in the lighting control strategies in the project plans. Submit

Sequence of Operation for Lighting Control System describing the operation of the proposed lighting control system and devices. Sequence of Operation must provide the strategies identified in the lighting control strategies.

2.5.1.1 Localized Control Systems

Provide room or area-wide lighting control system capable of manual control, time-based control, and receiving input from photosensors and occupancy/vacancy sensors.

2.5.1.1.1 Local Area Controller

Provide controller designed for single area or room with the following requirements:

- a. Operates at a voltage of 277 volts at 50/60 hertz.
- b. 2 zone, with 1 relays rated 20 amps each with one manual switchdimmer per zone.
- c. Provide inputs for occupancy/vacancy sensors, photosensors, and low-voltage wall switches.
- d. Provide daylight harvesting capability with full-range dimming control with input from photosensor.
- e. Provide capability for receptacle load control from occupancy sensors.
- f. Provide full 'OFF' function with input from external time clock input.
- g. Capable of 0-10V dimming.
- Provide override 'ON' function with input from Fire Alarm Control Panel for all emergency lighting. Controller must not turn off power to emergency batteries or exit signs.
- i. Capable of being networked to a central lighting control panel.

2.5.1.2 Centralized Control Systems

Provide a centralized lighting control system capable of manual control, time-based control, receiving input from photosensors and occupancy/vacancy sensors, with the capabilities of controlling, monitoring, and programming changes from one centralized on-site location, and integration with other building systems.

2.5.1.2.1 Lighting Control Panel

UL 916, 47 CFR 15. Provide an electronic, programmable lighting control panel complete with microprocessor, capable of providing lighting control with input from internal programming, digital switches, time clocks, and other control devices.

Enclose panel hardware in a surface-mounted, NEMA 1, painted, steel enclosure with lockable access door and ventilation openings. Internal low-voltage compartment must be separated from line-voltage compartment of enclosure with only low-voltage compartment accessible upon opening of door. Provide additional remote cabinets that communicate back to main

control panel as required. Provide Lighting Control Panels that meet the following criteria:

- a. Input voltage of 120 at 50/60 Hz, with internal low-voltage VDC power supply as required.
- Solid-state, microprocessor-based, internal astronomical time clock. Microprocessor must have nonvolatile memory and must reset automatically after power interruptions of up to 90 days.
- c. Interface for providing local programming and control capability, with physical key-locked cover or programmed security access code to prevent unauthorized use.
- 2.5.2 Devices
- 2.5.2.1 Switches

Provide line-voltage toggle switches as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. When used for non-digital loads, devices must be rated at 20 Amps inductive load, and be compatible with the lighting control systems.

2.5.2.2 Wall Box Dimmers

UL 1472, UL 20, IEEE C62.41, NEMA 77, NEMA SSL 7A. Dimmers must provide flicker-free, continuously variable light output throughout the dimming range of 10 percent to 100 percent. Devices must be capable of operating at their full rated capacity regardless of being single or ganged-mounted, and be compatible with three-way and four-way switching scenarios.

Provide wall-box dimmers that meet the following requirements:

- a. Device operates as part of a lighting control system.
- b. Device operates with the use of a vertical slider, paddle, rotary, button, or toggle with adjacent vertical slider.
- c. Finish of device matches switches and outlets in the same area.
- d. Back box in wall has sufficient depth to accommodate body of switch and wiring.
- e. Dimmer is capable of controlling 0-10 volt LED drivers. Dimmers and the drivers they control must be provided from the same manufacturer or tested and certified as compatible for use together.
- f. Radio frequency interference suppression is integral to device.

## 2.5.2.3 Scene Wallstations

Provide scene wallstations that are compatible with the other components of the lighting control system and capable of Class 1 or 2 wiring methods in accordance with the NEC and local codes. Provide devices that contain on/off group, preset scene functions, or dim up/dim down interface through front panel. Programming of new scenes or zone assignments must be accomplished by authorized personnel from the space being controlled. Provide labeling for each button, including laminated sheet with scene descriptions to be posted near each scene controller.

## 2.5.2.4 Occupancy/Vacancy Sensors

IEEE C62.41, NEMA WD 1, UL 94, UL 916, UL 508, ASTM D4674 REV A, NEMA WD 7. Provide occupancy/vacancy sensors with coverage patterns as indicated on manufacturer shop drawings. Provide no less quantity of sensors as shown on plans, but add additional sensors when required to fulfill coverage requirement for the specific model of sensor provided. Provide occupancy sensor operation that requires movement to activate luminaires controlled and turns luminaires off after a set time of inactivity. Provide vacancy sensor operation that requires manual control to activate luminaires and turns luminaires off after a set time of inactivity. Provide ceiling or wall-mounted occupancy/vacancy sensors that meet the following requirements:

- a. Operating voltage of 120-277 volts.
- b. Time delay of 30 seconds to 30 minutes with at least four intermediate time delay settings.
- c. Sensors are ceiling mounted.
- d. Shielded or controlled by internal logic to adjust sensitivity to avoid false triggering due to ambient temperature, air temperature variations or HVAC air movement.
- e. Sensor is equipped to automatically energize the connected load upon loss of normal power when located in a means of egress.
- f. Occupancy and vacancy operation is field-adjustable and programmable via lighting control system processor.
- g. No leakage current to load when in the off mode.
- h. Utilize zero-crossing circuitry to prevent damage from high inrush current and to promote long life operation.
- i. Allow the adding or deleting of specific luminaires or zones to the assigned sensor without the use of ladders. Provide sensors that allow for remote control adjustments of operational parameters (sensitivity, time delay), and that are be able to transmit, receive, and store system information through the lighting control system processor.

# 2.5.2.4.1 Passive Infrared Sensors

Provide Passive Infrared Sensors (PIR) sensors that detect occupancy by sensing heat and movement in the area of coverage. Provide sensors are constructed of a housing of high-impact, injection-molded thermoplastic. Provide PIR sensors that are temperature compensated, with a dual element sensor and a multi-element fresnel lens of POLY IR4 material.

# 2.5.2.4.2 Ultrasonic Sensors

Provide ultrasonic sensors that detect occupancy by sensing a change in pattern of reflected ultrasonic waves in the area of coverage. Provide sensors that are constructed of a housing of high-impact, injection-molded thermoplastic. Provide ultrasonic sensors that operate at 40 kHz.

#### 2.5.2.4.3 Dual Technology Sensors

Provide dual technology sensors that meet the requirements for PIR sensors and ultrasonic sensors indicated above. If either the PIR or ultrasonic sensing registers occupancy, the luminaires must remain on.

## 2.5.2.4.4 Integrated Sensors

Provide integrated occupancy/vacancy sensors that mount directly to the luminaires as indicated in project plans. Sensor mounts to standard junction box or directly to luminaire using a straight nipple mount.

## 2.5.2.4.5 Power Packs

UL 2043. Provide power packs to provide power to lighting control sensors as required in accordance with the manufacturer's specifications. Provide power packs that meet the following requirements:

- a. Operate at an input voltage of 120-277 VAC, with an output voltage 12-24 VDC at 225 mA.
- b. Constructed of plenum-rated, high-impact thermoplastic enclosure.
- c. Utilizes zero-crossing circuitry to prevent damage from inrush current.
- d. Maximum load rating of 16 amps for electronic lighting loads.
- e. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.

## 2.5.2.5 Photosensors

Provide photosensors that meet the following requirements:

- a. Detect changes in ambient lighting level and enable dimming as required by sequence of operation by operating in a closed-loop system.
- b. Contain a detection cone, where the base of the cone may be circular or an elongated shape, and where the smallest angle between the edge and the axis of the cone is between 20 and 50 degrees. The cone axis may be tilted to the vertical when installed to give the sensor preferred directionality.
- c. Sensors are ceiling-mounted with sensitivity, filtering, range and viewing angle to meet requirements of sequence of operation, scope of work and construction documents.
- d. Time delay that is adjustable from 1 to 30 seconds ON delay, and 1 to 30 minutes OFF delay to prevent cycling, with deadband adjustment of 25 percent to 100 percent above lower setpoint.
- e. Output dimming signal is linear to light level with less than 1 percent variation. Cadmium sulfide photo-resistors are not acceptable.
- f. Sensor is not combined in the same housing or location with occupancy or vacancy sensors if the proper location for one function compromises the successful operation of the other function, or in any way reduces the system's ability to meet the design intent.

## 2.6 EXIT AND EMERGENCY LIGHTING EQUIPMENT

#### 2.6.1 Exit Signs

UL 924, NFPA 101. Provide wattage as indicated in the luminaire schedule on project plans. Provide LED Exit Signs that meet the following criteria:

- a. Housing constructed of UV-stable, thermo-plastic.
- b. UL listed for damp location.
- c. Configured for universal mounting.
- d. 6 inch high, 3/4 inch stroke red lettering on face of sign with chevrons on either side of lettering to indicate direction.
- e. Single or double face as indicated in project plans and luminaire schedule.
- 2.6.1.1 Remote-Powered Exit Signs

Provide exit sign that contains provision for 120-277 VAC input from remote source.

2.6.2 Central Emergency Lighting System

UL 924, NFPA 101. Provide a central power system providing emergency power at 277 volts, 60 hertz, for a minimum period of 90 minutes. Design the system to handle surges during loss and recovery of the voltage, and to deliver its full rated output to the designated lamp load. Provide batteries for power.

# 2.6.2.1 Operation

Provide system such that when the lighting system loses normal supply voltage, it automatically disengages itself from the normal input line, and switches to a self-contained inverter with built-in protection when the output is shorted or overloaded. Ensure that, when normal line voltage resumes, the emergency system automatically switches back to normal operation. Size the transfer switch for this function to handle 125 percent of full load. Provide the battery system with self-contained inverters with overload protection.

## 2.6.2.2 Charger

Provide a completely automatic battery charger that maintains the batteries in a fully charged condition and recharges the batteries to full capacity within 24 hours after full discharge in accordance with UL 924.

## 2.6.2.3 Batteries

Provide sealed lead-acid batteries, maintenance-free for a period of not less than 10 years under normal operating conditions.

# 2.6.2.4 Accessories

Provide visual indicators to indicate normal power, inverter power, and battery-charger operation. Provide a low-voltage test switch to simulate power failure by interrupting the input line, voltage meter, electrolyte level detector to automatically disable the charging circuit in the event of a fault, and low-voltage cutoff to prevent extreme battery power dissipation.

# 2.6.2.5 Enclosure

Provide a free-standing cabinet with floor stand and constructed of 2.7 millimeter 12-gage sheet steel with baked-on enamel finish and a locking latch.

2.7 LUMINAIRE MOUNTING ACCESSORIES

## 2.7.1 Suspended Luminaires

- a. Provide hangers capable of supporting twice the combined weight of luminaires supported by hangers.
- Bangers must allow luminaires to swing within an angle of 45 degrees.
   Brace pendents 4 feet or longer to limit swinging.
- c. Single-unit suspended luminaires must have cable hangers. Multiple-unit or continuous row luminaires with a separate power supply cord must have a tubing or stem for wiring at one point and a tubing or rod suspension provided for each unit length of chassis, including one at each end.
- d. Provide all linear pendent and surface mounted luminaires with two supports per four-foot section or three per eight-foot section unless otherwise recommended by manufacturer.

# 2.7.2 Recess and Surface Mounted Luminaires

Provide access to light source and LED driver from bottom of luminaire. Provide trim and lenses for the exposed surface of flush-mounted luminaires as indicated on project drawings and specifications. Luminaires recessed in ceilings which have a fire resistive rating of one hour or more must be enclosed in a box which has a fire resistive rating equal to that of the ceiling. For surface mounted luminaires with brackets, provide flanged metal stem attached to outlet box, with threaded end suitable for supporting the luminaire rigidly in design position. Flanged part of luminaire stud must be of broad base type, secured to outlet box at not fewer than three points.

- 2.7.3 Luminaire Support Hardware
- 2.7.3.1 Wire

ASTM A641/A641M. Galvanized, soft tempered steel, minimum 0.11 inches in diameter, or galvanized, braided steel, minimum 0.08 inches in diameter.

## 2.7.3.2 Threaded Rods

Threaded steel rods, 3/16 inch diameter, zinc or cadmium coated.

# 2.7.3.3 Straps

Galvanized steel, one by 3/16 inch, conforming to ASTM A653/A653M, with a light commercial zinc coating or ASTM A1008/A1008M with an electrodeposited zinc coating conforming to ASTM B633, Type RS.

#### 2.7.4 Power Hook Luminaire Hangers

UL 1598. Provide an assembly consisting of through-wired power hook housing, interlocking plug and receptacle, power cord, and luminaire support loop. Power hook housing must be cast aluminum having two 3/4 inch threaded hubs. Support hook must have safety screw. Luminaire support loop must be cast aluminum with provisions for accepting 3/4 inch threaded stems. Power cord must include 16 inches of 3 conductor No. 16 Type SO cord. Assembly must be rated 120 volts or 277 volts, 15 amperes.

#### 2.8 EQUIPMENT IDENTIFICATION

2.8.1 Manufacturer's Nameplate

Each item of equipment must have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.8.2 Labels

UL 1598. All luminaires must be clearly marked for operation of specific light sources and LED drivers. The labels must be easy to read when standing next to the equipment, and durable to match the life of the equipment to which they are attached. Note the following light source characteristics in the format "Use Only \_\_\_\_\_":

- a. Correlated Color Temperature (CCT) and Color Rendering Index (CRI) for all luminaires.
- b. Driver and dimming protocol.

All markings related to light source type must be clear and located to be readily visible to service personnel, but unseen from normal viewing angles when light sources are in place. LED drivers must have clear markings indicating dimming type and indicate proper terminals for the various outputs.

2.9 FACTORY APPLIED FINISH

NEMA 250. Provide all luminaires and lighting equipment with factory-applied painting system that as a minimum, meets requirements of corrosion-resistance testing.

- PART 3 EXECUTION
- 3.1 INSTALLATION

IEEE C2, NFPA 70.

3.1.1 Light Sources

When light sources are not provided as an integral part of the luminaire, deliver light sources of the type, wattage, lumen output, color temperature (CCT), color rendering index (CRI), and voltage rating indicated to the project site and install just prior to project completion, if not already installed in the luminaires from the factory.

# 3.1.2 Luminaires

Set luminaires plumb, square, and level with ceiling and walls, in alignment with adjacent luminaires and secure in accordance with manufacturers' directions and approved drawings. Provide accessories as required for ceiling construction type indicated on Finish Schedule. Luminaire catalog numbers do not necessarily denote specific mounting accessories for type of ceiling in which a luminaire may be installed. Provide wires, straps, or rods for luminaire support in this section. Install luminaires with vent holes free of air blocking obstacles.

## 3.1.2.1 Suspended Luminaires

Measure mounting heights from the bottom of the luminaire for ceiling-mounted luminaires and to center of luminaire for wall-mounted luminaires. Obtain architect approval of the exact mounting height on the job before commencing installation and, where applicable, after coordinating with the type, style, and pattern of the ceiling being installed. Support suspended luminaires from structural framework of ceiling or from inserts cast into slab.

- a. Provide suspended luminaires with 45 degree swivel hangers so that they hang plumb and level.
- b. Locate so that there are no obstructions within the 45 degree range in all directions.
- c. The stem, canopy and luminaire must be capable of 45 degree swing.
- d. Rigid pendent stem, aircraft cable, rods, or chains 4 feet or longer excluding luminaire must be braced to prevent swaying using three cables at 120 degree separation.
- e. Suspended luminaires in continuous rows must have internal wireway systems for end to end wiring and must be properly aligned to provide a straight and continuous row without bends, gaps, light leaks or filler pieces.
- f. Utilize aligning splines on extruded aluminum luminaires to assure minimal hairline joints.
- g. Support steel luminaires to prevent "oil-canning" effects.
- h. Match supporting pendents with supported luminaire. Aircraft cable must be stainless steel.
- i. Match finish of canopies to match the ceiling, and provide low profile canopies unless otherwise shown.
- j. Maximum distance between suspension points must be 10 feet or as recommended by the manufacturer, whichever is less.
- 3.1.2.2 Recessed and Semi-Recessed Luminaires
  - a. Support recessed and semi-recessed luminaires independently from the building structure by a minimum of two wires, straps or rods per luminaire and located near opposite corners of the luminaire. Secure horizontal movement with clips provided by manufacturer. Ceiling grid clips are not allowed as an alternative to independently supported

luminaires.

- b. Support round luminaires or luminaires smaller in size than the ceiling grid independently from the building structure by a minimum of four wires, straps or rods per luminaire, spaced approximately equidistant around.
- c. Do not support luminaires by acoustical tile ceiling panels.
- d. Where luminaires of sizes less than the ceiling grid are indicated to be centered in the acoustical panel, support each independently and provide at least two 3/4 inch metal channels spanning, and secured to, the ceiling tees for centering and aligning the luminaire.
- e. Luminaires installed in suspended ceilings must also comply with the requirements of Section 09 51 00 ACOUSTICAL CEILINGS.
- f. Adjust aperture rings on all applicable ceiling recessed luminaires to accommodate various ceiling material thickness. Coordinate cut-out size in ceiling to ensure aperture covers cut-out entirely. Install aperture rings such that the bottom of the ring is flush with finished ceiling or not more than 1/16 inch above. Do not install luminaires such that the aperture ring extends below the finished ceiling surface.

## 3.1.3 LED Drivers

Provide LED drivers integral to luminaire as constructed by the manufacturer.

3.1.4 Exit Signs

NFPA 101. Wire exit signs and emergency lighting units ahead of the local switch.

3.1.5 Lighting Controls

Refer to Section 25 05 11.21. CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS for additional lighting control installation requirements.

3.1.5.1 Scene Wallstations

Submit labeling templates for all scene wallstations, ganged faceplates and other manual control cover plates. Label each scene control button with approved scene description.

## 3.1.5.2 Occupancy/Vacancy Sensors

- a. Provide quantity of sensor units indicated as a minimum. Provide additional units to give full coverage over controlled area. Full coverage must provide hand and arm motion detection for office and administration type areas and walking motion for industrial areas, warehouses, storage rooms and hallways.
- b. Locate ceiling-mounted sensors no closer than 6 feet from the nearest HVAC supply or return diffuser.
- c. Locate the sensor(s) as indicated and in accordance with the manufacturer's recommendations.

3.1.5.3 Photosensors

Locate and aim sensor as indicated and in accordance with the manufacturer's recommendations. Adjust sensor set-point in accordance with the manufacturer's recommendations and for the indicated light level of the area of coverage, measured at the work plane.

- 3.2 FIELD QUALITY CONTROL
- 3.2.1 Tests
- 3.2.1.1 Lighting Control Verification Tests

Verify lighting control system and devices operate according to approved sequence of operations. Verification tests are to be completed after commissioning.

- a. Verify occupancy/vacancy sensors operate as described in sequence of operations. Provide testing of sensor coverage, sensitivity, and time-out settings in all spaces where sensors are placed. This is to be completed only after all furnishings have been installed. Submit occupancy/vacancy sensor verification test.
- b. Verify photosensors operate as described in sequence of operations. Provide testing of sensor coverage, aiming, and calibration in all spaces where sensors are placed. This is to be completed only after all furnishings have been installed. Submit photosensor verification test.
- c. Verify wall box dimmers and scene wallstations operate as described in sequence of operations.
- 3.2.1.2 Emergency Lighting Test

Interrupt power supply to demonstrate proper operation of emergency lighting. If adjustments are made to the lighting system, re-test system to show compliance with standards.

- 3.3 CLOSEOUT ACTIVITIES
- 3.3.1 Commissioning

NFPA 101. Commission all components of the lighting system and lighting control system in accordance with Section 01 91 00.15 10 TOTAL BUILDING COMMISSIONING. Commission all components of the lighting system and lighting control system in accordance with Section 01 91 00.15 TOTAL BUILDING COMMISSIONING. Factory Trained Field Service Technician is responsible for calibration and programming sequences for input devices and systems in accordance with the requirements described in the sequence of operation.

- 3.3.2 Training
- 3.3.2.1 Maintenance Staff Training

Submit a Maintenance Staff Training Plan at least 30 calendar days prior to training session that describes training procedures for Owner's personnel in the operation and maintenance of lighting and lighting control system. Provide on-site training which demonstrates full system

functionality, assigning schedules, calibration adjustments for light levels and sensor sensitivity, integration procedures for connecting to third-party devices, and manual override including information on appropriate use. Provide protocols for troubleshooting, maintenance, repair, and replacement, and literature on available system updates and process for implementing updates.

# 3.3.2.2 End-User Training

Submit an End-User Training Plan at least 30 calendar days prior to training session that describes training procedures for end-users on the lighting control system. Provide users with a list of control devices located within user-occupied spaces, such as photosensors and occupancy and vacancy sensors, including information on the proper operation and schedule for each device. Provide demonstration for each type of interface. Provide users with the building schedule as currently commissioned, including conditional programming based on astronomic time clock functionality. Provide users with the correct contact information for maintenance personnel who will be available to address any lighting control issues.

Provide laminated instructions to the user at each scene wallstation. Provide only instructions relevant to the functionality of the specific scene wallstation. Provide a description of each labeled scene control button. If the room utilizes occupancy/vacancy sensors or photosensors, include a description of this functionality on the instruction sheet.

-- End of Section --

## SECTION 26 56 00

# EXTERIOR LIGHTING 05/20

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating
	Salt Spray (Fog) Apparatus

EUROPEAN UNION (EU)

Directive 2011/65/EU	(2011) Restriction of the Use of Certain
	Hazardous Substances in Electrical and
	Electronic Equipment

# ILLUMINATING ENGINEERING SOCIETY (IES)

IES HB-10	(2011; Errata 2015) IES Lighting Handbook
IES LM-79	(2008) Electrical and Photometric Measurements of Solid-State Lighting Products
IES LM-80	(2019) Measuring Lumen Maintenance of LED Light Sources
IES RP-8	(2018; Addenda 1 2020)Recommended Practice for Lighting Roadway and Parking Facilities
IES RP-16	(2017) Nomenclature and Definitions for Illuminating Engineering
IES TM-15	(2011) Luminaire Classification System for Outdoor Luminaires
IES TM-21	(2019) Projecting Long Term Lumen Maintenance of LED Light Sources
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)
IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE C62.41.2	(2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ANSLG C78.377	(2017) Electric Lamps- Specifications for the Chromaticity of Solid State Lighting Products
NEMA C82.77-10	(2020) Harmonic Emission Limits - Related Power Quality Requirements
NEMA C136.31	(2018) Roadway and Area Lighting Equipment - Luminaire Vibration
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA SSL 1	(2016) Electronic Drivers for LED Devices, Arrays, or Systems
NEMA SSL 3	(2011) High-Power White LED Binning for General Illumination
NATIONAL FIRE PROTECTIO	N ASSOCIATION (NFPA)
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
UNDERWRITERS LABORATORI	ES (UL)
UL 773	(2016; Reprint Jul 2020) UL Standard for Safety Plug-In, Locking Type Photocontrols for Use with Area Lighting
UL 773A	(2016; Reprint Jun 2020) UL Standard for Safety Nonindustrial Photoelectric Switches for Lighting Control
UL 1310	(2018) UL Standard for Safety Class 2 Power Units
UL 1598	(2008; Reprint Oct 2012) Luminaires
UL 8750	(2015; Reprint Sep 2020) UL Standard for Safety Light Emitting Diode (LED) Equipment for Use in Lighting Products

# 1.2 RELATED REQUIREMENTS

Luminaires and accessories installed in interior of buildings or attached to the exterior of a building are specified in Section 26 51 00 INTERIOR LIGHTING.

#### 1.3 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications and on the drawings must be as defined in IEEE 100 and IES RP-16.
- b. For LED luminaire light sources, "Useful Life" is the operating hours before reaching 70 percent of the initial rated lumen output (L70) with no catastrophic failures under normal operating conditions. This is also known as 70 percent "Rated Lumen Maintenance Life" as defined in IES LM-80.
- c. For LED luminaires, "Luminaire Efficacy" (LE) is the appropriate measure of energy efficiency, measured in lumens/watt. This is gathered from LM-79 data for the luminaire, in which absolute photometry is used to measure the lumen output of the luminaire as one entity, not the source separately and then the source and housing together.
- d. Total Harmonic Distortion (THD) is the Root Mean Square (RMS) of all the harmonic components divided by the total fundamental current.
- e. The "Groundline Section" of wood poles is that portion of the pole between one foot above, and 2 feet below the groundline.

## 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Luminaire Drawings; G

Control System One-Line Diagram; G

Sequence of Operation for Exterior Lighting Control System; G

SD-03 Product Data

```
Luminaires; G
Light Sources; G
LED Drivers; G
Luminaire Warranty; G
Lighting Controls Warranty; G
Photosensors; G
Time Clock; G
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Lighting Contactor; G

SD-05 Design Data

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SD-06 Test Reports

IES LM-79 Test Report; G

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IES TM-21 Test Report; G

SD-10 Operation and Maintenance Data

Lighting System, Data Package 5; G

Exterior Lighting Control System, Data Package 5; G

Maintenance Staff Training Plan; G

End-User Training Plan; G

## 1.5 QUALITY ASSURANCE

Data, drawings, and reports must employ the terminology, classifications and methods prescribed by the IES HB-10 as applicable, for the lighting system specified.

- 1.5.1 Drawing Requirements
- 1.5.1.1 Luminaire Drawings

Include dimensions, accessories, and installation and construction details. Photometric data, including CRI, CCT, TM-15-11 BUG rating, LED driver type, aiming diagram, zonal lumen data, and candlepower distribution data per LM-79 must accompany shop drawings.

1.5.2 Luminaire Design Data

- a. Provide distribution data according to IES classification type as defined in IES HB-10 and IES RP-8.
- b. B.U.G. rating for the installed position as defined by IES TM-15 and shielding as defined by IES RP-8.
- c. Provide safety certification and file number for the luminaire family. Include listing, labeling and identification in accordance with NFPA 70 (NEC). Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratories).
- d. Provide long term lumen maintenance projections for each LED luminaire in accordance with IES TM-21. Data used for projections must be obtained from testing in accordance with IES LM-80.

e. Provide wind loading calculations for luminaires mounted on poles. Weight and effective projected area (EPA) of luminaires and mounting brackets must not exceed maximum rating of pole as installed in particular wind zone area.

#### 1.5.3 IES LM-79 Test Report

Submit test report on manufacturer's standard production model of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data as outlined under "14.0 Test Report" in IES LM-79.

#### 1.5.4 IES LM-80 Test Report

Submit report on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data as outlined under "8.0 Test Report" in IES LM-80.

#### 1.5.5 IES TM-21 Test Report

Submit test report on manufacturer's standard production LED light source (package, array or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data, as well as required interpolation information as outlined under "7.0 Report" in IES TM-21.

#### 1.5.6 Regulatory Requirements

Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated. Provide luminaires and assembled components that are approved by and bear the label of UL for the applicable location and conditions unless otherwise specified.

# 1.5.7 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory commercial or industrial use for six months prior to bid opening. The six-month period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the six-month period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

## 1.5.7.1 Alternative Qualifications

Products having less than a six-month field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.5.7.2 Material and Equipment Manufacturing Date

Do not use products manufactured more than six months prior to date of delivery to site, unless specified otherwise.

1.6 WARRANTY

Support all equipment items by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

## 1.6.1 Luminaire Warranty

Provide and transfer to the government the original LED luminaire manufacturers standard commercial warranty for each different luminaire manufacturer used in the project.

- a. Provide a written five year minimum replacement warranty for material, luminaire finish, and workmanship. Provide written warranty document that contains all warranty processing information needed, including customer service point of contact, whether or not a return authorization number is required, return shipping information, and closest return location to the luminaire location.
  - Finish warranty must include failure and substantial deterioration such as blistering, cracking, peeling, chalking, or fading.
  - (2) Material warranty must include:
    - (a) All LED drivers and integral control equipment.

(b) Replacement when more than 10 percent of LED sources in any lightbar or subassembly(s) are defective, non-starting, or operating below 70 percent of specified lumen output.

- b. Warranty period must begin in accordance with the manufacturer's standard warranty starting date.
- c. Provide replacements that are promptly shipped, without charge, to the using Government facility point of contact and that are identical to or an improvement upon the original equipment. All replacements must include testing of new components and installation.

#### 1.6.2 Lighting Controls Warranty

Provide and transfer to the government the original lighting controls manufacturers standard commercial warranty for each different lighting controls manufacturer used in the project. Warranty coverage must begin from date of final system commissioning or three months from date of delivery, whichever is the earliest. Warranty service must be performed by a factory-trained engineer or technician.

a. Unless otherwise noted, provide a written five year minimum warranty on the complete system for all systems with factory commissioning. Provide warranty that covers 100 percent of the cost of any replacement parts and services required over the five years which are directly attributable to the product failure. Failures include, but are not limited to, the following:

- Software: Failure of input/output to execute switching or dimming commands.
- (2) Damage of electronic components due to transient voltage surges.
- (3) Failure of control devices, including but not limited to photosensors and motion sensors.
- b. Provide a written five year minimum warranty on all input devices against defect in workmanship or materials provided by device manufacturer.
- c. Provide a written five year minimum warranty on all control components attached to luminaires against defect in workmanship or materials.
- 1.7 OPERATION AND MAINTENANCE MANUALS

#### 1.7.1 Lighting System

Provide operation and maintenance manuals for the lighting system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting system. Additional O&M Manual requirements for the Army are provided in Section 01 78 24.00 10 FACILITY DATA REQUIREMENTS. Additional requirements for the Navy are provided in Section 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI). Include the following:

- a. Manufacturers' operating and maintenance manuals.
- b. Luminaire shop drawings for modified and custom luminaires.
- c. Luminaire Manufacturers' standard commercial warranty information as specified in paragraph LUMINAIRE WARRANTY.
- 1.7.2 Exterior Lighting Control System

Provide operation and maintenance manuals for the exterior lighting control system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the exterior lighting control system. Include the following:

- a. Control System One-Line Diagram
- b. Product data for all devices, including installation and programming instructions.
- c. Training materials, such as videos or in-depth manuals, that cover basic operation of the lighting control system and instructions on modifying the control system. Training materials must include calibration, adjustment, troubleshooting, maintenance, repair, and replacement.

PART 2 PRODUCTS

- 2.1 PRODUCT COORDINATION
- 2.2 LUMINAIRES

UL 1598, NEMA C82.77-10. Provide luminaires as indicated in the luminaire schedule and XL plates or details on project plans, complete with light source, wattage, and lumen output indicated. All luminaires of the same type must be provided by the same manufacturer. Luminaires must be specifically designed for use with the LED driver and light source provided.

- 2.2.1 Luminaires
  - UL 8750, IES LM-79, IES LM-80. For all luminaires, provide:
  - a. Complete system with LED drivers and light sources.
  - b. Housing constructed of non-corrosive materials. All new aluminum housings must be anodized or powder-coated. All new steel housings must be treated to be corrosion resistant.
  - c. IES TM-21, IES LM-80. Minimum L70 lumen maintenance value of 50,000hours unless otherwise indicated in the luminaire schedule. Luminaire drive current value must be identical to that provided by test data for luminaire in question.
  - d. Product rated for operation within an ambient temperature range of minus 22 degrees F to 122 degrees F.
  - e. UL listed for wet locations.
  - f. IES HB-10. Light distribution and NEMA field angle classifications as indicated in luminaire schedule on project plans.
  - g. Housing finish that is baked-on enamel, anodized, or baked-on powder coat paint. Finish must be capable of surviving ASTM B117 salt fog environment testing for 2500 hours minimum without blistering or peeling.
  - h. LED driver and light source package, array, or module are accessible for service or replacement without removal or destruction of luminaire.
  - i. IES TM-15. Does not exceed the BUG ratings as listed in the luminaire schedule.. If BUG ratings are not listed in the luminaire schedule, provide luminaires that meet the following minimum values for each application and mounting conditions:

Lighting Application	Mounting Conditions	BUG Rating
Exterior Wall Sconce	Above 4 feet AFF	B1-U0-G2

- j. Fully assembled and electrically tested prior to shipment from factory.
- k. Finish color is as indicated in the luminaire schedule or detail on the project plans.

- 1. Lenses constructed of clear tempered glass or UV-resistant acrylic.
- m. All factory electrical connections are made using crimp, locking, or latching style connectors. Twist-style wire nuts are not acceptable.
- n. NEMA C136.31. Comply with 3G vibration testing.
- 2.3 LIGHT SOURCES

NEMA ANSLG C78.377, NEMA SSL 3. Provide type, lumen rating, and wattage as indicated in luminaire schedule on project plans.

2.3.1 LED Light Sources

Provide LED light sources that meet the following requirements:

- a. NEMA ANSLG C78.377. Emit white light and have a nominal Correlated Color Temperature (CCT) as indicated.
- b. Minimum Color Rendering Index (CRI) of 80.
- c. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- d. Light source color consistency by utilizing a binning tolerance within a 4-step McAdam ellipse.

## 2.4 LED DRIVERS

NEMA SSL 1, UL 1310. Provide LED Drivers that are electronic, UL Class 1 or Class 2, constant-current type and meet the following requirements:

- a. The combined LED driver and LED light source system is greater than or equal to the minimum luminaire efficacy values as listed in the luminaire schedule provided.
- b. Operate at a voltage of 120-277 volts at 50/60 hertz, with input voltage fluctuations of plus or minus 10 percent.
- c. Power Factor (PF) greater than or equal to 0.90 at full input power and across specified dimming range.
- d. Maximum Total Harmonic Distortion (THD) less than or equal to 20 percent at full input power and across specified dimming range.
- e. Operates for at least 50,000 hours at maximum case temperature and 90 percent non-condensing relative humidity.
- f. Meets the "Elevated" (10kV/10kA) requirements per IEEE C62.41.2 -2002. Manufacturer must indicate whether failure of the electrical immunity system can possibly result in disconnect of power to luminaire. Provide surge protection that is integral to the LED driver.
- g. Contains integral thermal protection that reduces the output power to protect the driver and light source from damage if the case temperature approaches or exceeds the driver's maximum operating temperature.

- h. Complies with the requirements of the Federal Communications Commission (FCC) rules and regulations, Title 47 CFR part 15, Non-Consumer (Class A) for EMI/RFI (conducted and radiated).
- i. Class A sound rating for all drivers mounted under a covered structure, such as a canopy, or where otherwise appropriate.
- j. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- k. UL listed for wet locations typical of exterior installations.
- Dimmable, and compatible with a standard dimming control circuit of 0
   - 10V.
- m. Rated to operate between ambient temperatures of minus 22 degrees F and 104 degrees F.

#### 2.5 LIGHTING CONTROLS

# 2.5.1 System

Provide exterior lighting control system that operates the exterior lighting system as described in the exterior lighting control strategies in the project plans. Submit Sequence of Operation for Exterior Lighting Control System describing the operation of the proposed exterior lighting control system and devices. Sequence of Operation must provide the strategies identified in the exterior lighting control strategies.

2.5.1.1 Networked Lighting Control System

Provide a networked exterior lighting control system that meets the following requirements:

- a. Wired network system.
- b. Capable of 0-10V dimming.
- c. Capable of astronomic time clock functions, programmable luminaire grouping, , light source monitoring, LED driver monitoring, energy monitoring in kilowatt-hours, remote control and programming with read and write access.
- 2.5.2 Devices

2.5.2.1 Time Clock

NEMA ICS 6. House time clock in a surface-mounted, lockable NEMA 1 enclosure constructed of painted steel or plastic polymer. Provide electronic type time clock that meets the following criteria:

- a. Astronomic programming function, providing a total of 56 on/off set points.
- b. 12 hour AM/PM type digital clock display format.
- c. Power outage back-up for switch utilizing lithium battery which provides coverage for a minimum of seven days.

- d. Capable of controlling a minimum of 4 channels or loads.
- e. Contacts are rated for 30 amps at 120-277 VAC resistive load in a DPST, adjustable to be normally open (NO) or normally closed (NC) configuration.
- f. Contains function that allows automatic control to be skipped on certain selected days of the weekmanual bypass or remote override controldaylight savings time automatic adjustmentEEPROM memory module momentary function for output contactsability for photosensor input.

## 2.5.2.2 Photosensors

UL 773, UL 773A. Provide Photosensors that meet the following requirements:

- a. Hermetically sealed, cadmium sulfide light sensor type, rated at 277 volts, 50/60 Hz with single-pole, double-throw contacts.
- b. Turns ON at 1 to 3 footcandles and turns OFF at 3 to 15 footcandles.
- c. Designed to fail to the ON position.
- d. Housing is constructed of polycarbonate, rated to operate within a temperature range of minus 40 to 158 degrees F.
- e. Time delay that prevents accidental switching from transient light sources.
- f. Designed for 20-year service to match life expectancy of long-life LED fixtures and exceed 15,000 operations at full load. Provide photosensors with zero-cross technology to withstand severe in-rush current and extend relay life.

#### 2.5.2.3 Lighting Contactor

NEMA ICS 2. Provide a mechanically-held lighting contactor housed in a NEMA 1 enclosure conforming to NEMA ICS 6. Contactor must have 6 poles, configured as normally closed (NC). Contacts must be rated 600 volts, 30 amperes for a resistive load. Coil operating voltage must be 277 volts. Contactor must have silver cadmium oxide double-break contacts and coil clearing contacts for mechanically held contactors and must require no arcing contacts. Provide contactor with hand-off-automatic selector switch. Provide contactor as specified above along with disconnect switch in integral NEMA 1 enclosure with flange-mounted handle to satisfy requirement for a "combination lighting contactor" when specified.

#### 2.6 EQUIPMENT IDENTIFICATION

#### 2.6.1 Labels

UL 1598. Luminaires must be clearly marked for operation of specific light sources and drivers according to proper light source type. Note the following luminaire characteristics in the format "Use Only \_\_\_\_\_":

- a. Correlated color temperature (CCT) and color rendering index (CRI) for all luminaires.
- b. Driver and dimming protocol.

Markings related to light source type must be clear and located to be readily visible to service personnel, but unseen from normal viewing angles when light sources are in place. LED drivers must have clear markings indicating dimming type and indicate proper terminals for the various outputs.

## 2.7 FACTORY APPLIED FINISH

NEMA 250. Provide all luminaires and lighting equipment with factory-applied painting system that as a minimum meets requirements of corrosion-resistance testing.

## PART 3 EXECUTION

#### 3.1 INSTALLATION

IEEE C2, NFPA 70.

3.1.1 Luminaires

Install all luminaires in accordance with the luminaire manufacturer's written instructions. Install all luminaires at locations and heights as indicated on the project plans. Level all luminaires in accordance to manufacturer's written instructions.

## 3.1.2 LED Drivers

Provide LED drivers integral to luminaire as constructed by the manufacturer.

## 3.1.3 Lighting Controls

Refer to Section 25 05 11.21.

## 3.1.3.1 Photosensors

Aim photosensor according to manufacturer's recommendations.

# 3.1.4 Grounding

Ground noncurrent-carrying parts of equipment including luminaires, mounting arms, brackets, and metallic enclosures as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Where copper grounding conductor is connected to a metal other than copper, provide specially treated or lined connectors suitable for this purpose.

#### 3.2 FIELD QUALITY CONTROL

## 3.2.1 Tests

Upon completion of installation, verify that equipment is properly installed, connected, and adjusted. Perform initial operational test, consisting of the entire system energized for 72 consecutive hours without any failures of any kind occurring in the system. All circuits must test clear of faults, grounds, and open circuits. 3.2.1.1 Lighting Control Verification Test

Verify lighting control system and devices operate according to approved sequence of operations. Verification tests are to be completed after commissioning.

# 3.3 CLOSEOUT ACTIVITIES

# 3.3.1 Training

Provide on-site training to the Owner's personnel in the operation and maintenance of lighting and lighting control system. Provide training that includes calibration, adjustment, troubleshooting, maintenance, repair, and replacement.

# 3.3.1.1 Maintenance Staff Training

Submit a Maintenance Staff Training Plan at least 30 calendar days prior to training session that describes training procedures for Owner's personnel in the operation and maintenance of lighting and lighting control system. Provide on-site training which demonstrate full system functionality, assigning schedules, calibration adjustments for light levels and sensor sensitivity, integration procedures for connecting to third-party devices, and manual override including information on appropriate use. Provide protocols for troubleshooting, maintenance, repair, and replacement, and literature on available system updates and process for implementing updates.

#### 3.3.1.2 End-User Training

Submit a End-User Training Plan at least 30 calendar days prior to training session that describes training procedures for end-users on the lighting control system. Provide demonstration for each type of user interface. Provide users with the curfew schedule as currently commissioned, including conditional programming based on astronomic time clock functionality. Provide users with the correct contact information for maintenance personnel who will be available to address any lighting control issues.

-- End of Section --

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## SECTION 27 05 14.00 10

# DISTRIBUTED ANTENNA SYSTEM (DAS) 04/06

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70

(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code

#### 1.2 SUMMARY

Provide a DAS distribution system consisting of coaxial cables and connecting hardware to transport cellular signals throughout the building to user locations as indicated. Information in this specification and drawings is conceptual and contractor shall design a complete and functional system compatible with West Point existing headend system. Submit detail drawings including a complete list of equipment and material and containing complete wiring and schematic diagrams and other details required to demonstrate that the system has been coordinated and will function properly as a system. Drawings shall include vertical riser diagrams, equipment rack and panel details, elevation drawings of telecommunications closet walls, outlet face plate details for each outlet configuration, and descriptions and types of cables, conduits, and cable trays, if used. Drawings shall show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearance for maintenance and operation.

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DAS Distribution System Installation; G

SD-03 Product Data

Spare Parts. Test Plan; G Qualifications

SD-06 Test Reports

Testing

SD-07 Certificates

Materials and Equipment

#### SD-08 Manufacturer's Instructions

Manufacturer's Recommendations

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

#### 1.4 QUALIFICATIONS

Submit proof of the qualifications of the Contractor, Installers, and Manufacturers that will perform the work, and provide the specified products.

# 1.4.1 Minimum Contractor Qualifications

Work under this section shall be performed, and equipment shall be furnished and installed, by a qualified Contractor as defined herein. The Contractor shall have a minimum of two years of experience in the installation and testing of coaxial cable-based DAS systems and equipment. Installers assigned to the installation of this system or its components shall have a minimum of two years of experience in the installation of the specified coaxial cable and components.

# 1.4.2 Minimum Manufacturer Qualifications

The equipment and hardware provided under this contract shall be products of manufacturers that have a minimum of two years of experience in producing the types of systems and equipment specified.

# 1.5 DELIVERY, STORAGE, AND HANDLING

Protect equipment delivered and placed in storage from the weather, humidity and temperature variation, dirt and dust or other contaminants.

#### 1.6 ENVIRONMENTAL REQUIREMENTS

Connecting hardware shall be rated for operation under ambient conditions of 32 to 140 degrees F and in the range of 0 to 95 percent relative humidity, non-condensing.

# 1.7 EXTRA MATERIALS

Submit spare parts data for each different item of material and equipment specified, after approval of detail drawings, not later than 2 months prior to the date of beneficial occupancy. The data shall include a complete list of parts, tools, test equipment and supplies, with current unit prices and source of supply, and a list of spare parts recommended for stocking. Provide the following additional materials required for

facility startup:

a. 10 of each type of connector used.

# PART 2 PRODUCTS

## 2.1 MATERIALS AND EQUIPMENT

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of the products and that are the manufacturer's latest standard design that has been in satisfactory use for at least one year prior to installation. Where materials or equipment are specified to conform, be constructed or tested to meet specific requirements, submit certification that the items provided conform to such requirements. Certification by a nationally recognized testing laboratory that a representative sample has been tested to meet the requirements, or a published catalog specification statement to the effect that the item meets the referenced standard, is acceptable as evidence that the item conforms. Compliance with these requirements does not relieve the Contractor from compliance with other requirements of the specifications. Materials and equipment shall conform to the respective publications and other requirements specified below and to the applicable requirements of NFPA 70. Cables shall be labeled on both ends with circuit number, room number, or other appropriate marking allowing for correct identification of the cable and its destination. Each faceplate shall be labeled with its function and a unique number to identify the cable run.

#### 2.1.1 Coaxial Cable

Coaxial cable shall be low loss coax for DAS. Cable shall be label-verified. Cable jacket shall be factory marked at regular intervals identifying cable type. Cable shall be rated CMP in accordance with NFPA 70. Interconnecting cables or low loss shall be cable assemblies consisting of coaxial cable with male connectors at each end, provided in lengths determined by equipment locations as shown.

#### 2.1.2 Coaxial Cable Hardware

Coaxial cable connectors for DAS shall be type 4.3-10. Splitters shall be 2, 3, 4, and 8-way with up to 6 GHz performance, die cast housing, 80 db RFI shielding and built-in ground block.

#### 2.1.3 Amplifiers

Amplifiers for DAS system shall be provided as required to maintain signal strength to the furthest antenna.

### PART 3 EXECUTION

# 3.1 INSTALLATION

Install system components and appurtenances in accordance with NFPA 70, manufacturer's instructions and as shown. Submit record drawings for the installed cable system showing the locations of cable terminations, including outlets, and location and routing of cables. The identifier for each termination and cable shall appear on the drawings. Provide necessary interconnections, services, and adjustments required for a complete distribution system, ready to connect to external television

signal sources. Penetrations in fire-rated construction shall be firestopped in accordance with Section 07 84 00 FIRESTOPPING. Install conduits, outlets, raceways, and wiring in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Cables and outlets shall be individually labeled and marked. Cables shall not be installed in the same cable tray, utility pole compartment, or floor trench compartment with ac power cables. Cables not installed in conduit or wireways shall be properly secured and neat in appearance and, if installed in plenums or other spaces used for environmental air, shall comply with NFPA 70 requirements for this type of installation.

#### 3.1.1 Horizontal Cable Installation

The rated cable pulling tension shall not be exceeded. Cable shall not be stressed such that twisting, stretching or kinking occurs. Cable shall not be spliced. Cable not in a wireway shall be suspended a minimum of 12 inches above ceilings by cable supports no greater than 60 inches apart. Cable shall not be run through structural members or in contact with pipes, ducts, or other potentially damaging items. Placement of cable parallel to power conductors shall be avoided, if possible; a minimum separation of 12 inches shall be maintained when such placement cannot be avoided. Cables shall be terminated unless shown otherwise. Minimum bending radius shall not be exceeded during installation or once installed. Cable ties shall not be exceeded during system has been installed. Cable shall be installed after the flooring system has been installed. Cable feet long shall be neatly coiled not less than 12 inches in diameter below each feed point in raised floor areas.

# 3.1.2 Riser Cable Installation

The rated cable pulling tension shall not be exceeded. Riser cable support intervals shall be in accordance with manufacturer's recommendations. Where installation procedures, or any part thereof, are required to be in accordance with the recommendations of the manufacturer of the material being installed, printed copies of these recommendations shall be provided prior to installation. Installation of the item will not be allowed to proceed until the recommendations are received and approved. Cable bend radius shall not be less than ten times the outside diameter of the cable during installation and once installed. Maximum tensile strength rating of the cable shall not be exceeded. Cable shall not be spliced.

# 3.1.3 Cables

Cables shall have a minimum of 6 inches of slack cable loosely coiled into the cable television outlet boxes. Minimum manufacturer's bend radius shall not be exceeded.

#### 3.1.4 Pull Cords

Pull cords shall be installed in conduits serving the cable television premises distribution system which do not initially have cable installed.

#### 3.2 TERMINATIONS

Cables and conductors shall sweep into termination areas; cables and conductors shall not bend at right angles. Manufacturer's minimum bending radius shall not be exceeded. Coaxial cables shall be terminated with

appropriate connectors as required. Cable shield conductor shall be grounded to communications ground at only one point and shall not make electrical contact with ground anywhere else.

# 3.3 GROUNDING

The cable television distribution system ground shall be installed in the cable television entrance facility and in any auxiliary closet identified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM or otherwise indicated. Equipment racks shall be connected to the electrical safety ground.

## 3.4 TESTING

Submit test reports in booklet form with witness signatures verifying execution of tests. The cable system testing documentation shall include the physical routing and a test report for each cable (end-to-end) from the installed outlet to the main termination point. Test reports shall be submitted within 14 days after completion of testing.Materials and documentation to be furnished under this specification are subject to inspections and tests.

- a. Submit a Test Plan defining the tests required to ensure that the system meets technical, operational and performance specifications, 60 days prior to the proposed test date. The plan shall be approved before testing begins. The test plan shall identify the capabilities and functions to be tested, and include detailed instructions for the setup and execution of each test and procedures for evaluation and documentation of the results.
- b. Components shall be terminated prior to testing.
- c. Equipment and systems will not be accepted until the required inspections and tests have been made, demonstrating that the cable television premises distribution system conforms to the specified requirements, and that the required equipment, systems, and documentation have been provided.
- d. After installation of the cable and before connecting system components, each cable section shall be end-to-end tested using a time domain reflectometer (TDR) to determine shorts, opens, kinks, and other impedance discontinuities and their locations. Cable sections showing adverse impedance discontinuities (greater than 6 dB loss) shall be replaced at the Contractor's expense.
- e. There shall be no cable splices between system components unless approved by the Government.
- 3.5 OPERATION AND MAINTENANCE MANUALS

Submit commercial, off-the-shelf manuals for operation, installation, configuration, and maintenance of products provided as a part of the cable television premises distribution system.

-- End of Section --

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# SECTION 27 05 28.36 40

# CABLE TRAYS FOR COMMUNICATIONS SYSTEMS 05/17

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M	(2017) Standard Specification for Zinc
	(Hot-Dip Galvanized) Coatings on Iron and
	Steel Products

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- NEMA VE 1 (2017) Metal Cable Tray Systems
- NEMA VE 2 (2013; ERTA 2016) Cable Tray Installation Guidelines

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

TA 20-1 2020; ERTA 20-2
20-1; TIA 20-2; TIA
20-4) National
l Code

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-569-D-1 (2016) Telecommunications Pathways and Spaces, Addendum 1, Revised Temperature and Humidity Requirements for Telecommunications Spaces TIA-607 (2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

# 1.2 ADMINISTRATIVE REQUIREMENTS

#### 1.2.1 Pre-Installation Meetings

The Contracting Officer will schedule a pre-installation meeting within 30 days of contract award. Submit fabrication drawings for review and approval.

Submit manufacturer's product data for the following items:

- a. Cable Trays
- b. Supports

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fabrication Drawings; G

Installation Drawings; G

SD-03 Product Data

Cable Trays; G, AE

Supports; G

SD-08 Manufacturer's Instructions

Manufacturer's Instructions

1.4 QUALITY CONTROL

Comply with NEMA VE 1.

Comply with NEC, requirements that apply to the construction and installation of cable tray and cable channel systems (Article 392 NEC).

Provide products that are UL-classified and labeled with the UL classification mark.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide wire basket tray to conform to the following criteria:

- a. Galvanized-steel wire mesh, complying with ASTM A641 nominal mesh size of 2 inches by 4 inches.
- b. Width and height: As indicated on the drawings.
- c. Straight section lengths: 10 feet, except where shorter lengths are required to facilitate tray assembly.
- d. Splicing assemblies: Bolted type using serrated flange locknuts.
- e. Splice-plate capacity: Splices located within support span shall not diminish rated loading capacity of cable tray.
- f. Materials and finishes: Steel wires round 5 mm and 6 mm diameter wires.
- g. Finish: Zinc-coated (galvanized) before fabrication.

h. UL classified in the United States.

Provide cable runway to conform to the following criteria:

- a. 15 inches high by 0.4 inches wide high tubular steel with 0.065 inch wall thickness.
- b. Stringers: 9 feet 11 1/2 inches long.
- c. Cross Members: Welded in between stringers on 12 inch intervals/centers beginning 5 3/4 inches with 10 cross members per ladder rack. Open space of 10 1/2 inches between each cross member.
- d. Width and height: As indicated on the drawings.
- e. Finish and color: Powder coat paint in black.

# 2.2 FABRICATION

Submit fabrication drawings for basket cable trays. Ensure the drawings contain details showing the fabrication and assembly details performed in the factory.

Before assembly, use an antioxidant compound to coat the contact surfaces of trays. Ensure that the finishes of edges, fittings, and hardware are free from burrs and sharp edges. Include splice and end plates, dropouts, and miscellaneous hardware.

# 2.3 COMPONENTS

#### 2.3.1 Supports

Permit both vertical and horizontal adjustment, where possible on supports and hangers. Provide an adequate bearing surface for the tray on the horizontal and vertical tray supports, and ensure that the surface can accommodate holddown clamps or fasteners. Provide a means, other than friction, for securely fastening cable trays to supports.

Provide threaded rod center support (with protective sleeve) for basket trays and trapeze supports for cable runway at intervals of no more than 6 -foot. Place supports for horizontal-elbow tray fittings within 2-feet of each fitting extremity and as recommended by the cable tray manufacturer.

Ensure that the cable trays can carry at least 150 pounds per linear foot when supported at5 feet for cable runway and 6-foot intervals for basket tray. Ensure that the tray fittings have a load-carrying capacity that is equal to or greater than that of straight tray sections. Ensure that the radius of tray fittings is based on the minimum bending radius of the cables, as specified by the cable manufacturer.

# 2.4 MATERIALS

Provide cable trays constructed of high-strength corrosion-resistant aluminum Alloy No. 5052-H32 for cable runway tray.

Provide hot-dipped galvanized steel trays with a finish in accordance with ASTM A123/A123M.

PART 3 EXECUTION

Comply with TIA-569-D-1 and NEMA VE 2 for cable tray installation.

#### 3.1 INSTALLATION

# 3.1.1 Manufacturer's Instructions

Submit the manufacturer's instructions for cable trays, including special provisions required to install equipment components and system packages. Ensure that the instructions specify impedances, hazards and safety precautions.

#### 3.1.2 Installation Drawings

No later than 30 calendar days before shipment, submit installation drawings to the Contracting Officer for approval. Coordinate drawings with those being used for all other work in the immediate area to ensure that this other work does not conflict with the installation. Include the layout of the cable tray work and details on both horizontal and vertical supports as specified in the paragraph SUPPORTS.

#### 3.1.3 Grounding

Provide properly grounded cable trays by means that has a low-resistance conductor of sufficient capacity, and that is no smaller than No. 1/0 AWG copper. Bond the grounding conductor to cable tray sections and fittings by compatible bolted connections to meet requirements of TIA-607. Consider cable tray sections in tandem assembly as having electrical continuity when these sections are bonded with appropriate high-strength bolts. Provide permanent and continuous effective grounding with an impedance that is low enough to limit the potential above ground and to facilitate operation of overcurrent devices in the circuit. Provide grounding and bonding for cable trays in accordance with NFPA 70.

-- End of Section --

# SECTION 27 10 00

# BUILDING TELECOMMUNICATIONS CABLING SYSTEM 08/11

# PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2017) Standard Specification for
	Laminated Thermosetting Materials

ELECTRONIC COMPONENTS INDUSTRY ASSOCIATION (ECIA)

ECIA EIA/ECA 310-E (2005) Cabinets, Racks, Panels, and Associated Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)

- ICEA S-83-596 (2016) Indoor Optical Fiber Cables
- ICEA S-90-661 (2012) Category 3, 5, ,5e, & 6 Individually Unshielded Twisted Pair Indoor Cables for Use in General Purpose and LAN Communications Wiring Systems Technical Requirements

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA/BICSI 568 (2006) Standard for Installing Building Telecommunications Cabling

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA WC 66 (2013) Performance Standard for Category 6 and Category 7 100 Ohm Shielded and Unshielded Twisted Pairs

# NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2
	2020; TIA 20-1; TIA 20-2; TIA
	20-3; TIA 20-4) National
	Electrical Code

Lincoln Hall Revised RTA Submissi	on 1 March 2023	
TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)		
TIA-1152	(2009) Requirements for Field Test Instruments and Measurements for Balanced Twisted-Pair Cabling	
TIA-455-21	(1988a; R 2012) FOTP-21 - Mating Durability of Fiber Optic Interconnecting Devices	
TIA-526-14	(2015c) OFSTP-14A Optical Power Loss Measurements of Installed Multimode Fiber Cable Plant	
TIA-526-7	(2015a) OFSTP-7 Measurement of Optical Power Loss of Installed Single-Mode Fiber Cable Plant	
TIA-568-C.0	(2009; Add 1 2010; Add 2 2012) Generic Telecommunications Cabling for Customer Premises	
TIA-568-C.1	(2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard	
TIA-568-C.2	(2009; Errata 2010; Add 2 2014; Add 1 2016) Balanced Twisted-Pair Telecommunications Cabling and Components Standards	
TIA-568-C.3	(2008; Add 1 2011) Optical Fiber Cabling Components Standard	
TIA-569	(2016d) Commercial Building Standard for Telecommunications Pathways and Spaces	
TIA-570	(2012c) Residential Telecommunications Infrastructure Standard	
TIA-606	(2017c) Administration Standard for the Telecommunications Infrastructure	
TIA-607	(2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises	
TIA/EIA-598	(2014D; Add 2 2018) Optical Fiber Cable Color Coding	
TIA/EIA-604-10	(2002a) FOCIS 10 Fiber Optic Connector Intermateability Standard - Type LC	
U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)		
FCC Part 68	Connection of Terminal Equipment to the	

Contract #W912DS19C0031

West Point, NY

Telephone Network (47 CFR 68)

West Point, NY Lincoln Hall Revised RTA Submissi	Contract #W912DS19C0031 on 1 March 2023	
UNDERWRITERS LABORATORIES (UL)		
UL 1286	(2008; Reprint Jan 2018) UL Standard for Safety Office Furnishings	
UL 1666	(2007; Reprint Jun 2012) Test for Flame Propagation Height of Electrical and Optical-Fiber Cables Installed Vertically in Shafts	
UL 1863	(2004; Reprint Sep 2016) UL Standard for Safety Communication Circuit Accessories	
UL 444	(2008; Reprint Apr 2015) Communications Cables	
UL 467	(2013; Reprint Jun 2017) UL Standard for Safety Grounding and Bonding Equipment	
UL 50	(2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations	
UL 514C	(2014; Reprint Dec 2014) Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers	
UL 723	(2018) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials	
UL 969	(2017; Reprint Mar 2018) UL Standard for Safety Marking and Labeling Systems	
WEST POINT MILITARY ACADEMY INSTALLATION STANDARDS		

WPMA EPS (2016) West Point Military Academy Engineering Planning Standards

# 1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and Section 33 82 00 TELECOMMUNICATIONS, OUTSIDE PLANT (OSP), apply to this section with additions and modifications specified herein.

# 1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in this specification shall be as defined in TIA-568-C.1, TIA-568-C.2, TIA-568-C.3, TIA-569, TIA-606 and IEEE 100 and herein.

1.3.1 Campus Distributor (CD)

A distributor from which the campus backbone cabling emanates. (International expression for main cross-connect (MC).)

# 1.3.2 Building Distributor (BD)

A distributor in which the building backbone cables terminate and at which

connections to the campus backbone cables may be made. (International expression for intermediate cross-connect (IC).)

# 1.3.3 Floor Distributor (FD)

A distributor used to connect horizontal cable and cabling subsystems or equipment. (International expression for horizontal cross-connect (HC).)

1.3.4 Telecommunications Room (TR)

An enclosed space for housing telecommunications equipment, cable, terminations, and cross-connects. The room is the recognized cross-connect between the backbone cable and the horizontal cabling.

1.3.5 Entrance Facility (EF) (Telecommunications)

An entrance to the building for both private and public network service cables (including wireless) including the entrance point at the building wall and continuing to the equipment room.

1.3.6 Equipment Room (ER) (Telecommunications)

An environmentally controlled centralized space for telecommunications equipment that serves the occupants of a building. Equipment housed therein is considered distinct from a telecommunications room because of the nature of its complexity.

1.3.7 Open Cable

Cabling that is not run in a raceway as defined by NFPA 70. This refers to cabling that is "open" to the space in which the cable has been installed and is therefore exposed to the environmental conditions associated with that space.

1.3.8 Open Office

A floor space division provided by furniture, moveable partitions, or other means instead of by building walls.

# 1.3.9 Pathway

A physical infrastructure utilized for the placement and routing of telecommunications cable.

# 1.4 SYSTEM DESCRIPTION

The building telecommunications cabling and pathway system shall include permanently installed backbone and horizontal cabling, horizontal and backbone pathways, service entrance facilities, work area pathways, telecommunications outlet assemblies, conduit, raceway, and hardware for splicing, terminating, and interconnecting cabling necessary to transport telephone and data (including LAN) between equipment items in a building. The horizontal system shall be wired in a star topology from the telecommunications work area to the floor distributor or campus distributor at the center or hub of the star. The backbone cabling and pathway system includes intrabuilding and interbuilding interconnecting cabling, pathway, and terminal hardware. The intrabuilding backbone provides connectivity from the floor distributors to the building distributors or to the campus distributor and from the building

distributors to the campus distributor as required. The backbone system shall be wired in a star topology with the campus distributor at the center or hub of the star. The interbuilding backbone system provides connectivity between the campus distributors and is specified in Section 33 82 00 TELECOMMUNICATIONS OUTSIDE PLANT (OSP). Provide telecommunications pathway systems referenced herein as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. The telecommunications contractor must coordinate with the base NEC concerning access to and configuration of telecommunications spaces.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Telecommunications drawings; G

Telecommunications Space Drawings; G

In addition to Section 01 33 00 SUBMITTAL PROCEDURES, provide shop drawings in accordance with paragraph SHOP DRAWINGS.

SD-03 Product Data

Telecommunications cabling (backbone and horizontal); G

Patch panels; G

Telecommunications outlet/connector assemblies; G

Equipment support frame; G

Connector blocks; G

Spare Parts; G

Submittals shall include the manufacturer's name, trade name, place of manufacture, and catalog model or number. Include performance and characteristic curves. Submittals shall also include applicable federal, military, industry, and technical society publication references. Should manufacturer's data require supplemental information for clarification, the supplemental information shall be submitted as specified in paragraph REGULATORY REQUIREMENTS and as required in Section 01 33 00 SUBMITTAL PROCEDURES.

SD-06 Test Reports

Telecommunications cabling testing; G

SD-07 Certificates

Telecommunications Contractor Qualifications; G

Key Personnel Qualifications; G

Manufacturer Qualifications; G

Test plan; G

SD-09 Manufacturer's Field Reports

Factory reel tests; G

SD-10 Operation and Maintenance Data

Telecommunications cabling and pathway system Data Package 5; G

#### SD-11 Closeout Submittals

Record Documentation; G

# 1.6 QUALITY ASSURANCE

#### 1.6.1 Shop Drawings

In exception to Section 01 33 00 SUBMITTAL PROCEDURES, submitted plan drawings shall be a minimum of 11 by 17 inches in size using a minimum scale of 1/8 inch per foot, except as specified otherwise. Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices. Submittals shall include the nameplate data, size, and capacity. Submittals shall also include applicable federal, military, industry, and technical society publication references.

# 1.6.1.1 Telecommunications Drawings

Provide registered communications distribution designer (RCDD) approved, drawings in accordance with TIA-606. The identifier for each termination and cable shall appear on the drawings. Drawings shall depict final telecommunications installed wiring system infrastructure in accordance with TIA-606. The drawings should provide details required to prove that the distribution system shall properly support connectivity from the EF telecommunications and ER telecommunications, CD's, and FD's to the telecommunications work area outlets. Provide a plastic laminated schematic of the as-installed telecommunications cable system showing cabling, CD's, BD's, FD's, and the EF and ER for telecommunications keyed to floor plans by room number. Mount the laminated schematic in the EF telecommunications space as directed by the Contracting Officer. The following drawings shall be provided as a minimum:

a. T1 - Layout of complete building per floor - Building Area/Serving Zone Boundaries, Backbone Systems, and Horizontal Pathways. Layout of complete building per floor. The drawing indicates location of building areas, serving zones, vertical backbone diagrams, telecommunications rooms, access points, pathways, grounding system,

and other systems that need to be viewed from the complete building perspective.

- b. T2 Serving Zones/Building Area Drawings Drop Locations and Cable Identification (ID'S). Shows a building area or serving zone. These drawings show drop locations, telecommunications rooms, access points and detail call outs for common equipment rooms and other congested areas.
- c. T4 Typical Detail Drawings Faceplate Labeling, Firestopping, Americans with Disabilities Act (ADA), Safety, Department of Transportation (DOT). Detailed drawings of symbols and typicals such as faceplate labeling, faceplate types, faceplate population installation procedures, detail racking, and raceways.

# 1.6.1.2 Telecommunications Space Drawings

Provide T3 drawings in accordance with TIA-606 that include telecommunications rooms plan views, pathway layout (cable tray, racks, ladder-racks, etc.), mechanical/electrical layout, and cabinet, backboard and wall elevations. Drawings shall show layout of applicable equipment including incoming cable stub or connector blocks, building protector assembly, outgoing cable connector blocks, patch panels and equipment spaces and cabinet/racks. Drawings shall include a complete list of equipment and material, equipment rack details, proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearance for maintenance and operation. Drawings may also be an enlargement of a congested area of T1 or T2 drawings.

# 1.6.2 Telecommunications Qualifications

Work under this section shall be performed by and the equipment shall be provided by the approved telecommunications contractor and key personnel. Qualifications shall be provided for: the telecommunications system contractor, the telecommunications system installer, and the supervisor (if different from the installer). A minimum of 30 days prior to installation, submit documentation of the experience of the telecommunications contractor and of the key personnel.

# 1.6.2.1 Telecommunications Contractor

The telecommunications contractor shall be a firm which is regularly and professionally engaged in the business of the applications, installation, and testing of the specified telecommunications systems and equipment. The telecommunications contractor shall demonstrate experience in providing successful telecommunications systems within the past 3 years of similar scope and size. Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for the telecommunications contractor.

# 1.6.2.2 Key Personnel

Provide key personnel who are regularly and professionally engaged in the business of the application, installation and testing of the specified telecommunications systems and equipment. There may be one key person or more key persons proposed for this solicitation depending upon how many of the key roles each has successfully provided. Each of the key personnel shall demonstrate experience in providing successful telecommunications

systems within the past 3 years.

Supervisors and installers assigned to the installation of this system or any of its components shall be Building Industry Consulting Services International (BICSI) Registered Cabling Installers, Technician Level. Submit documentation of current BICSI certification for each of the key personnel.

In lieu of BICSI certification, supervisors and installers assigned to the installation of this system or any of its components shall have a minimum of 3 years experience in the installation of the specified copper and fiber optic cable and components. They shall have factory or factory approved certification from each equipment manufacturer indicating that they are qualified to install and test the provided products. Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for each of the key personnel. Documentation for each key person shall include at least two successful system installations provided that are equivalent in system size and in construction complexity to the telecommunications system proposed for this solicitation. Include specific experience in installing and testing telecommunications systems and provide the names and locations of at least two project installations successfully completed using optical fiber and copper telecommunications cabling systems. All of the existing telecommunications system installations offered by the key persons as successful experience shall have been in successful full-time service for at least 18 months prior to the issuance date for this solicitation. Provide the name and role of the key person, the title, location, and completed installation date of the referenced project, the referenced project owner point of contact information including name, organization, title, and telephone number, and generally, the referenced project description including system size and construction complexity.

Indicate that all key persons are currently employed by the telecommunications contractor, or have a commitment to the telecommunications contractor to work on this project. All key persons shall be employed by the telecommunications contractor at the date of issuance of this solicitation, or if not, have a commitment to the telecommunications contractor to work on this project by the date that the bid was due to the Contracting Officer.

Note that only the key personnel approved by the Contracting Officer in the successful proposal shall do work on this solicitation's telecommunications system. Key personnel shall function in the same roles in this contract, as they functioned in the offered successful experience. Any substitutions for the telecommunications contractor's key personnel requires approval from The Contracting Officer.

## 1.6.2.3 Minimum Manufacturer Qualifications

Cabling, equipment and hardware manufacturers shall have a minimum of 3 years experience in the manufacturing, assembly, and factory testing of components which comply with TIA-568-C.1, TIA-568-C.2 and TIA-568-C.3.

# 1.6.3 Test Plan

Provide a complete and detailed test plan for the telecommunications cabling system including a complete list of test equipment for the components and accessories for each cable type specified, 60 days prior to the proposed test date. Include procedures for certification, validation,

and testing.

#### 1.6.4 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

# 1.6.5 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

## 1.6.5.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.6.5.2 Material and Equipment Manufacturing Date

Products manufactured more than 1 year prior to date of delivery to site shall not be used, unless specified otherwise.

#### 1.7 DELIVERY AND STORAGE

Provide protection from weather, moisture, extreme heat and cold, dirt, dust, and other contaminants for telecommunications cabling and equipment placed in storage.

#### 1.8 ENVIRONMENTAL REQUIREMENTS

Connecting hardware shall be rated for operation under ambient conditions of 32 to 140 degrees F and in the range of 0 to 95 percent relative humidity, noncondensing.

# 1.9 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.10 MAINTENANCE

1.10.1 Operation and Maintenance Manuals

Commercial off the shelf manuals shall be furnished for operation, installation, configuration, and maintenance of products provided as a part of the telecommunications cabling and pathway system, Data Package 5. Submit operations and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein not later than 2 months prior to the date of beneficial occupancy. In addition to requirements of Data Package 5, include the requirements of paragraphs TELECOMMUNICATIONS DRAWINGS, TELECOMMUNICATIONS SPACE DRAWINGS, and RECORD DOCUMENTATION. Ensure that these drawings and documents depict the as-built configuration.

## 1.10.2 Record Documentation

Provide T5 drawings including documentation on cables and termination hardware in accordance with TIA-606. T5 drawings shall include schedules to show information for cut-overs and cable plant management, patch panel layouts and cover plate assignments, cross-connect information and connecting terminal layout as a minimum. T5 drawings shall be provided in hard copy format. Provide the following T5 drawing documentation as a minimum:

- a. Cables A record of installed cable shall be provided in accordance with TIA-606. The cable records shall include the required data fields for each cable and complete end-to-end circuit report for each complete circuit from the assigned outlet to the entry facility in accordance with TIA-606. Include manufacture date of cable with submittal.
- b. Termination Hardware A record of installed patch panels, cross-connect points, distribution frames, terminating block arrangements and type, and outlets shall be provided in accordance with TIA-606. Documentation shall include the required data fields as a minimum in accordance with TIA-606.

# 1.10.3 Spare Parts

In addition to the requirements of Section 01 78 23 OPERATION AND MAINTENANCE DATA, provide a complete list of parts and supplies, with current unit prices and source of supply, and a list of spare parts recommended for stocking.

#### PART 2 PRODUCTS

#### 2.1 COMPONENTS

Components shall be UL or third party certified. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations, submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard. Provide a complete

system of telecommunications cabling and pathway components using star topology. Provide support structures and pathways, complete with outlets, cables, connecting hardware and telecommunications cabinets/racks. Cabling and interconnecting hardware and components for telecommunications systems shall be UL listed or third party independent testing laboratory certified, and shall comply with NFPA 70 and conform to the requirements specified herein.

#### 2.2 TELECOMMUNICATIONS PATHWAY

Provide telecommunications pathways in accordance with TIA-569 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and 27 05 28.36 40 CABLE TRAYS FOR COMMUNICATION SYSTEMS. Provide system furniture pathways in accordance with UL 1286.

## 2.3 TELECOMMUNICATIONS CABLING

Cabling shall be UL listed for the application and shall comply with TIA-568-C.0, TIA-568-C.1, TIA-568-C.2, TIA-568-C.3 and NFPA 70. Provide a labeling system for cabling as required by TIA-606 and UL 969. Ship cable on reels or in boxes bearing manufacture date for for unshielded twisted pair (UTP) in accordance with ICEA S-90-661 and optical fiber cables in accordance with ICEA S-83-596 for all cable used on this project. Cabling manufactured more than 12 months prior to date of installation shall not be used.

# 2.3.1 Backbone Cabling

## 2.3.1.1 Backbone Copper

Copper backbone cable shall be solid conductor, 24 AWG, 100 ohm, Category 3, UTP, in accordance with ICEA S-90-661, TIA-568-C.1, TIA-568-C.2 and UL 444, formed into 25 pair binder groups covered with a gray thermoplastic jacket. Cable shall be imprinted with manufacturers name or identifier, flammability rating, gauge of conductor, transmission performance rating (category designation) at regular length marking intervals in accordance with ICEA S-90-661. Provide plenum (CMP), riser (CMR), or general purpose (CM or CMG)communications rated cabling in accordance with NFPA 70. Substitution of a higher rated cable shall be permitted in accordance with NFPA 70.

#### 2.3.1.2 Backbone Optical Fiber

Provide in accordance with ICEA S-83-596, TIA-568-C.3, UL 1666 and NFPA 70. Cable shall be imprinted with fiber count, fiber type and aggregate length at regular intervals not to exceed 40 inches.

Provide the number of strands indicated, (between the main telecommunication room and each of the other telecommunication rooms), of single-mode(OS1), tight buffered fiber optic cable.

Provide plenum (OFNP), riser (OFNR), or general purpose (OFN or OFNG) rated non-conductive, fiber optic cable in accordance with NFPA 70. Substitution of a higher rated cable shall be permitted in accordance with NFPA 70. The cable cordage jacket, fiber, unit, and group color shall be in accordance with TIA/EIA-598.

Provide plenum (OFNP) riser (OFNR), or general purpose (OFN or OFNG) rated non-conductive, fiber optic cable in accordance with NFPA 70.

Substitution of a higher rated cable shall be permitted in accordance with NFPA 70. The cable cordage jacket, fiber, unit, and group color shall be in accordance with TIA/EIA-598.

#### 2.3.2 Horizontal Cabling

Provide horizontal cable in compliance with NFPA 70 and performance characteristics in accordance with TIA-568-C.1.

# 2.3.2.1 Horizontal Copper

Provide horizontal copper cable, UTP, 100 ohm in accordance with TIA-568-C.2, UL 444, ANSI/NEMA WC 66, ICEA S-90-661. Provide four each individually twisted pair, minimum size 23 AWG conductors, Category 6 or 6A, with a thermoplastic jacket. Cable shall be imprinted with manufacturers name or identifier, flammability rating, gauge of conductor, transmission performance rating (category designation) and length marking at regular intervals in accordance with ICEA S-90-661. Provide plenum (CMP), riser (CMR), or general purpose (CM or CMG) communications rated cabling in accordance with NFPA 70. Cable color as indicated on drawings. Substitution of a higher rated cable shall be permitted in accordance with NFPA 70. Cables installed in conduit within and under slabs shall be UL listed and labeled for wet locations in accordance with NFPA 70.

# 2.3.2.2 Horizontal Optical Fiber

Provide optical fiber horizontal cable in accordance with ICEA S-83-596and TIA-568-C.3. Cable shall be tight buffered, single-mode (OS1). Cable shall be imprinted with manufacturer, flammability rating and fiber count at regular intervals not to exceed 40 inches.

Provide plenum (OFNP), riser (OFNR), or general purpose (OFN or OFNG) rated non-conductive, fiber optic cable in accordance with NFPA 70. Substitution of a higher rated cable shall be permitted in accordance with NFPA 70. Cables installed in conduit within and under slabs be UL listed and labeled for wet locations in accordance with NFPA 70. The cable jacket shall be of single jacket construction with color coding of cordage jacket, fiber, unit, and group in accordance with TIA/EIA-598.

#### 2.3.3 Work Area Cabling

#### 2.3.3.1 Work Area Copper

Provide work area copper cable in accordance with TIA-568-C.2, with a thermoplastic jacket.

#### 2.3.3.2 Work Area Optical Fiber

Provide optical work area cable in accordance with TIA-568-C.3.

# 2.4 TELECOMMUNICATIONS SPACES

Provide connecting hardware and termination equipment in the telecommunications entrance facility and telecommunication equipment rooms to facilitate installation as shown on design drawings for terminating and cross-connecting permanent cabling. Provide telecommunications interconnecting hardware color coding in accordance with TIA-606.

#### 2.4.1 Backboards

Provide void-free, interior grade A-C plywood 3/4 inch thick4 by 8 feet . Backboards shall be fire rated by manufacturing process. Fire stamp shall be clearly visible. Paint applied over fire retardant backboard shall be 2 coats of UL 723 fire retardant paint. Provide label including paint manufacturer, date painted, UL listing and name of Installer. When painted, paint label and fire stamp shall be clearly visible. Backboards shall be provided on a minimum of two adjacent walls in the telecommunication spaces.

#### 2.4.2 Equipment Support Frame

Provide in accordance with ECIA EIA/ECA 310-E and UL 50.

Cabinets, freestanding modular type, 16 gauge steel or 11 gauge aluminum construction, minimum, treated to resist corrosion. Cabinet shall have removable and lockable side panels, single front and double rear doors, and have adjustable feet for leveling. Cabinet shall be vented in the roof and front and rear door with a minimum of 70% perforation. Cabinet shall have cable access in the roof and base and be compatible with 19 inches panel mounting. Provide cabinet with front and back 6-inch wide vertical wire manages, top panel with four cable openings, one in each corner, grounding bar and a surge protected power strip as indicated in West Point Engineering Planning Standard. All cabinets front and rear doors shall be keyed with two point programmable access code electronic lock.

Two-post racks, 45RU with 6-inch deep threaded rails designed for mounting telecommunications equipment. Width is compatible with EIA/ECIA 310-E, 19-inch equipment mounting with an opening of 17.72 inches (450 mm) between rails. Vertical cable management panels shall have front and real channels with covers.

#### 2.4.3 Connector Blocks

Provide insulation displacement connector (IDC) Type 110 for Category 6 systems. Provide blocks for the number of horizontal and backbone cables terminated on the block plus 25 percent spare.

# 2.4.4 Cable Guides

Provide cable guides specifically manufactured for the purpose of routing cables, wires and patch cords horizontally and vertically on 19 inches equipment cabinets. Cable guides with flexible fingers type and covers mounted on rack panels for horizontal cable management and individually mounted for vertical cable management. Mount cable guides with screws, and or nuts and lockwashers.

#### 2.4.5 Patch Panels

Provide ports for the number of horizontal and backbone cables terminated on the panel plus 25 percent spare. Provide pre-connectorized optical fiber and copper patch cords for patch panels. Provide patch cords, as complete assemblies, with matching connectors as specified. Provide fiber optic patch cables with crossover orientation in accordance with TIA-568-C.3. Patch cords shall meet minimum performance requirements specified in TIA-568-C.1, TIA-568-C.2 and TIA-568-C.3 for cables, cable length and hardware specified.

# 2.4.5.1 Modular Unloaded Patch Panel

Provide in accordance with TIA-568-C.1 and TIA-568-C.2. Panels shall comply with EIA/TIACategory 6 requirements. Panel shall be constructed of 0.09 inches minimum aluminum and shall be cabinet mounted and compatible with anECIA EIA/ECA 310-E 19 inches equipment cabinet. Panel shall provide for color coded 48 non-keyed, 8-pin modular ports. Patch panels shall terminate the building cabling on CAT 6 color coded insert modules. Color coded insert modules shall be as indicated on drawings. The rear of each panel shall have incoming cable strain-relief and routing guides. Panels shall have each port factory numbered and be equipped with laminated plastic nameplates above each port.

# 2.4.5.2 Fiber Optic Patch Panel

Provide panel for maintenance and cross-connecting of optical fiber cables. Panel shall be constructed of 16 gauge steel or 11 gauge aluminum minimum and shall be cabinet mounted and compatible with a ECIA EIA/ECA 310-E 19 inches equipment rack or wall mounted. Each panel shall provide single-mode pigtail splice cassettes as duplex LC or SC/APC pigtail splice cassettes (144 SMF TV B600) in accordance with TIA/EIA-604-10 with zirconia ceramic alignment sleeves, alignment sleeves. Provide dust cover for unused adapters. The rear of each panel shall have a cable management tray a minimum of 8 inches deep with removable cover, incoming cable strain-relief and routing guides. Panels shall have each adapter factory numbered and be equipped with laminated plastic nameplates above each adapter.

#### 2.5 TELECOMMUNICATIONS OUTLET/CONNECTOR ASSEMBLIES

#### 2.5.1 Outlet/Connector Copper

Outlet/connectors shall comply with FCC Part 68, TIA-568-C.1, and TIA-568-C.2. UTP outlet/connectors shall be UL 1863 listed, color coded, non-keyed, 8-pin modular, constructed of high impact rated thermoplastic housing and shall be third party verified and shall comply with TIA-568-C.2 Category 6 or 6A requirements. Outlet/connectors provided for UTP cabling shall meet or exceed the requirements for the cable provided. Outlet/connectors shall be terminated using a Type 110 IDC PC board connector, color-coded for both T568A and T568B wiring. Each outlet/connector shall be wired T-568A pin-out. UTP outlet/connectors shall be wired T-568A pin-out. UTP outlet/connectors shall be jell-filled type containing an anti-corrosive, memory retaining compound.

## 2.5.2 Optical Fiber Adapters(Couplers)

Provide optical fiber cassettes with factory fusion spliced LC or SC/APC (as indicated on drawings) pigtails in accordance with TIA/EIA-604-10 with zirconia ceramic alignment sleeves, as indicated. Provide dust cover for adapters. Optical fiber adapters shall comply with TIA-455-21 for 500 mating cycles. Basis of Design: Corning CCH Cassettes.

# 2.5.3 Optical Fiber Connectors

Provide in accordance with TIA-455-21. Optical fiber connectors shall be duplex LC in accordance with TIA/EIA-604-10 with zirconia ceramic alignment sleeves, ferrule, epoxyless crimp style compatible with 8/125

single-mode fiber. The connectors shall provide a maximum attenuation of 0.3 dB at 1310, 1550 nm with less than a 0.2 dB change after 500 mating cycles.

# 2.5.4 Cover Plates

Telecommunications cover plates shall comply with UL 514C, and TIA-568-C.1, TIA-568-C.2, TIA-568-C.3; flush design constructed of high impact thermoplastic material to match color of receptacle/switch cover plates specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide labeling in accordance with the paragraph LABELING in this section.

### 2.6 GROUNDING AND BONDING PRODUCTS

Provide in accordance with UL 467, TIA-607, and NFPA 70. Components shall be identified as required by TIA-606. Provide ground rods, bonding conductors, and grounding busbars as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

# 2.7 FIRESTOPPING MATERIAL

Provide as specified in Section 07 84 00 FIRESTOPPING.

#### 2.8 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

# 2.9 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inches thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inches high normal block style.

# 2.10 TESTS, INSPECTIONS, AND VERIFICATIONS

#### 2.10.1 Factory Reel Tests

Provide documentation of the testing and verification actions taken by manufacturer to confirm compliance with TIA-568-C.1, TIA-568-C.2, TIA-568-C.3, TIA-526-7 for single mode optical fiber, and TIA-526-14 for multimode optical fiber cables.

# PART 3 EXECUTION

#### 3.1 INSTALLATION

Install telecommunications cabling and pathway systems, including the horizontal and backbone cable, pathway systems, telecommunications outlet/connector assemblies, and associated hardware in accordance with NECA/BICSI 568, TIA-568-C.1, TIA-568-C.2, TIA-568-C.3, TIA-569, NFPA 70, and UL standards as applicable. Provide cabling in a star topology

network. Pathways and outlet boxes shall be installed as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Install telecommunications cabling with copper media in accordance with the following criteria to avoid potential electromagnetic interference between power and telecommunications equipment. The interference ceiling shall not exceed 3.0 volts per meter measured over the usable bandwidth of the telecommunications cabling. Cabling shall be run with horizontal and vertical cable guides in telecommunications spaces with terminating hardware and interconnection equipment.

#### 3.1.1 Cabling

Install UTP, and optical fiber telecommunications cabling system as detailed in TIA-568-C.1, TIA-568-C.2, WPMA EPS, TIA-568-C.3 and TIA-570 for residential cabling. Screw terminals shall not be used except where specifically indicated on plans. Use an approved insulation displacement connection (IDC) tool kit for copper cable terminations. Do not exceed manufacturers' cable pull tensions for copper and optical fiber cables. Provide a device to monitor cable pull tensions. Do not exceed 25 pounds pull tension for four pair copper cables. Do not chafe or damage outer jacket materials. Use only lubricants approved by cable manufacturer. Do not over cinch cables, or crush cables with staples. For UTP cable, bend radii shall not be less than four times the cable diameter. Cables shall be terminated; no cable shall contain unterminated elements. Cables shall not be spliced. Label cabling in accordance with paragraph LABELING in this section.

# 3.1.1.1 Open Cable

Use only where specifically indicated on plans for use in cable trays, or below raised floors. Install in accordance with TIA-568-C.1, TIA-568-C.2 and TIA-568-C.3. Do not exceed cable pull tensions recommended by the manufacturer. Copper cable not in a wireway or pathway shall be suspended a minimum of 8 inches above ceilings by cable supports no greater than 60 inches apart. Cable shall not be run through structural members or in contact with pipes, ducts, or other potentially damaging items. Placement of cable parallel to power conductors shall be avoided, if possible; a minimum separation of 12 inches shall be maintained when such placement cannot be avoided.

Plenum cable shall be used where open cables are routed through plenum areas. Cable routed exposed under raised floors shall be plenum rated. Plenum cables shall comply with flammability plenum requirements of NFPA 70. Install cabling after the flooring system has been installed in raised floor areas. Cable 6 feet long shall be neatly coiled not less than 12 inches in diameter below each feed point in raised floor areas.

## 3.1.1.2 Backbone Cable

- a. Copper Backbone Cable. Install intrabuilding backbone copper cable, in indicated pathways, between the campus distributor, located in the telecommunications entrance facility or room, the building distributors and the floor distributors located in telecommunications rooms and telecommunications equipment rooms as indicated on drawings.
- b. Optical fiber Backbone Cable. Install intrabuilding backbone optical fiber in indicated pathways. Do not exceed manufacturer's recommended bending radii and pull tension. Prepare cable for pulling by cutting outer jacket 10 inches leaving strength members exposed for

approximately 10 inches. Twist strength members together and attach to pulling eye. Vertical cable support intervals shall be in accordance with manufacturer's recommendations.

#### 3.1.1.3 Horizontal Cabling

Install horizontal cabling as indicated on drawings Do not untwist Category 6 UTP cables more than one half inch from the point of termination to maintain cable geometry. Provide slack cable in the form of a figure eight (not a service loop) on each end of the cable, 10 feet in the telecommunications room, and 12 inches in the work area outlet..

#### 3.1.2 Pathway Installations

Provide in accordance with TIA-569 and NFPA 70. Provide building pathway as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

#### 3.1.3 Service Entrance Conduit, Underground

Provide service entrance underground as specified in Section 33 82 00 TELECOMMUNICATION OUTSIDE PLANT (OSP).

#### 3.1.4 Cable Tray Installation

Install cable tray as specified in Section 27 05 28.36 40 CABLE TRAYS FOR COMMUNICATIONS SYSTEM. Only CMP and OFNP type cable shall be installed in a plenum.

# 3.1.5 Work Area Outlets

### 3.1.5.1 Terminations

Terminate UTP cable in accordance with TIA-568-C.1, TIA-568-C.2 and wiring configuration as specified. Terminate fiber optic cables in accordance with TIA-568-C.3

# 3.1.5.2 Cover Plates

As a minimum, each outlet/connector shall be labeled as to its function and a unique number to identify cable link in accordance with the paragraph LABELING in this section.

# 3.1.5.3 Cables

Unshielded twisted pair and fiber optic cables shall have a minimum of 12 inches of slack cable loosely coiled into the telecommunications outlet boxes. Minimum manufacturer's bend radius for each type of cable shall not be exceeded.

# 3.1.5.4 Pull Cords

Pull cords shall be installed in conduit serving telecommunications outlets that do not have cable installed.

#### 3.1.6 Telecommunications Space Termination

Install termination hardware required for Category 6 and optical fiber system. An insulation displacement tool shall be used for terminating copper cable to insulation displacement connectors.

# 3.1.6.1 Connector Blocks

Connector blocks shall be wall mounted in orderly rows and columns. Adequate vertical and horizontal wire routing areas shall be provided between groups of blocks. Install in accordance with industry standard wire routing guides in accordance with TIA-569.

# 3.1.6.2 Patch Panels

Patch panels shall be mounted in equipment cabinets with sufficient ports to accommodate the installed cable plant plus 25 percent spares.

- a. Copper Patch Panel. Copper cable entering a patch panel shall be secured to the panel with velcro to prevent movement of the cable.
- b. Fiber Optic Patch Panel. Fiber optic cable loop shall be 3 feet in length. The outer jacket of each cable entering a patch panel shall be secured to the panel to prevent movement of the fibers within the panel, using clamps or brackets specifically manufactured for that purpose.
- 3.1.6.3 Equipment Support Frames

Install in accordance with TIA-569:

- a. Cabinets, freestanding modular type. When cabinets are connected together, remove adjoining side panels for cable routing between cabinets.
- 3.1.7 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated wall, partitions, floors, or ceilings as specified in Section 07 84 00 FIRESTOPPING.

3.1.8 Grounding and Bonding

Provide in accordance with TIA-607, NFPA 70 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

- 3.2 LABELING
- 3.2.1 Labels

Provide labeling in accordance with TIA-606. Handwritten labeling is unacceptable. Stenciled lettering for voice and data circuits shall be provided using thermal ink transfer process.

3.2.2 Cable

Cables shall be labeled using color labels on both ends with identifiers in accordance with TIA-606.

# 3.2.3 Termination Hardware

Workstation outlets and patch panel connections shall be labeled using color coded labels with identifiers in accordance with TIA-606. Workstation outlets shall have telecom room #, outlet #, and jack# on the

labels. Patch panels shall have location/room number, outlet #, and jack # on the labels. Verify final format with base NEC prior to installation.

# 3.3 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting shall be as specified in Section 09 90 00 PAINTS AND COATINGS.

# 3.3.1 Painting Backboards

If backboards are required to be painted, then the manufactured fire retardant backboard must be painted with fire retardant paint, so as not to increase flame spread and smoke density and must be appropriately labeled. Label and fire rating stamp must be unpainted.

#### 3.4 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

#### 3.5 TESTING

#### 3.5.1 Telecommunications Cabling Testing

Perform telecommunications cabling inspection, verification, and performance tests in accordance with TIA-568-C.1, TIA-568-C.2, TIA-568-C.3. Test equipment shall conform to TIA-1152. Perform optical fiber field inspection tests via attenuation measurements on factory reels and provide results along with manufacturer certification for factory reel tests. Remove failed cable reels from project site upon attenuation test failure.

#### 3.5.1.1 Inspection

Visually inspect UTP and optical fiber jacket materials for UL or third party certification markings. Inspect cabling terminations in telecommunications rooms and at workstations to confirm color code for T568A or T568B pin assignments, and inspect cabling connections to confirm compliance with TIA-568-C.1, TIA-568-C.2, TIA-568-C.3. Visually confirm Category 6, marking of outlets, cover plates, outlet/connectors, and patch panels.

#### 3.5.1.2 Verification Tests

UTP backbone copper cabling shall be tested for DC loop resistance, shorts, opens, intermittent faults, and polarity between conductors, and between conductors and shield, if cable has overall shield. Test operation of shorting bars in connection blocks. Test cables after termination but prior to being cross-connected.

For single-mode optical fiber, perform optical fiber end-to-end attenuation tests in accordance with TIA-568-C.3 and TIA-526-7 using Method A, Optical Power Meter and Light Source Method B, OTDR for single-mode optical fiber. Perform verification acceptance tests.

# 3.5.1.3 Performance Tests

Perform testing for each outlet as follows:

- a. Perform Category 6 or 6A permanent link tests in accordance with TIA-568-C.1 and TIA-568-C.2. Tests shall include wire map, length, insertion loss, NEXT, PSNEXT, ELFEXT, PSELFEXT, return loss, propagation delay, and delay skew.
- b. Optical fiber Links. Perform optical fiber end-to-end link tests in accordance with TIA-568-C.3.

-- End of Section --

# SECTION 27 53 19.13

# FIRST RESPONDER DISTRIBUTED ANTENNAE SYSTEMS (DAS) 05/20

# PART 1 GENERAL

1.1 RELATED SECTIONS

Section 07 84 00 FIRESTOPPING for additional work related to firestopping.

#### 1.2 SUMMARY

- 1.2.1 Scope
  - a. This work includes design and providing a new, complete, active distributed antenna system (DAS) as required and as described herein for the facility. Provide a turnkey system capable of receiving, processing, and transmitting indicated radio signals including the system wiring, raceways, pull boxes, terminal cabinets, outlet and mounting boxes, control equipment, active components, passive components, mounting hardware and other accessories and miscellaneous items required for a complete operating system even though each item is not specifically mentioned or described. Provide system complete and ready for operation. See paragraph titled SYSTEM DESCRIPTION for additional requirements.
  - b. Provide equipment, materials, installation, workmanship, inspection, and testing in strict accordance with the required provisions of NFPA 72 and NFPA 1221.
  - c. The system layout on the drawings show the intent of coverage and are shown in suggested locations. Submit plan view drawing showing all component locations, cable routing, junction boxes, other related equipment, conduit routing, and wire counts for all floors.
  - d. Provide a DAS capable of receiving, processing, and transmitting first responder, military and local emergency medical services (EMS), radio paging, and required UHF frequencies. Provide initial system capable of processing services of frequencies from UHF 380-430 MHz range with programmable channel spacing of 12.5 or 25 kHZ. Transmit and be tuned to the current frequencies of 390.325 MHz receive and 380.325 MHz. Transmit and BE capable of passing encrypted radio traffic from Motorola XTS-2500, XTS-5000 and APEX series radios. Additionally, the repeater shall pass emergency alarm calls, portable PTT ID pass-through call alert paging, talk permit/prohibit tone and AES P25 encryption. Transmitted and received audio into the West Point Motorola 7.13 trunking system. Coordinate all requirements and programming with West Point land mobile manager. Provide a system that is upgradeable to allow future additional frequencies as required by NFPA 1221.
  - e. Provide letters of permission for all used frequencies for which the Government does not already have a license.

## 1.3 REFERENCES

The publications listed below form a part of this section to the extent referenced. The publications are referred to within the text by the basic designation only.

# NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code	
NFPA 72	(2019; TIA 19-1; ERTA 1 2019) National Fire Alarm and Signaling Code	
NFPA 99	(2018; TIA 18-1; ERTA 2 2018; ERTA 3 2020) Health Care Facilities Code	
NFPA 780	(2017) Standard for the Installation of Lightning Protection Systems	
NFPA 1221	(2019) Standard for the Installation, Maintenance and Use of Emergency Services Communications Systems	
NATIONAL INSTITUTE OF ST	TANDARDS AND TECHNOLOGY (NIST)	
NIST SP 800-82	(2015; Rev 2) Guide to Industrial Control Systems (ICS) Security	
TELECOMMUNICATIONS INDUS	STRY ASSOCIATION (TIA)	
TIA-329.1-D	(2009; R 2013) Minimum Standards for Communication Antennas Base Station Antennas	
TIA-455-13	(1996a; R 2012) FOTP-13 Visual and Mechanical Inspection of Fiber Optic Components, Devices, and Assemblies	
TIA-569	(2016d) Commercial Building Standard for Telecommunications Pathways and Spaces	
U.S. DEPARTMENT OF DEFENSE (DOD)		
DOD 8510.01	(2014; Change 1-2016; Change 2-2017) Risk Management Framework (RMF) for DoD Information Technology (IT)	
UFC 4-010-06	(2016; with Change 1, 2017) Cybersecurity of Facility-Related Control Systems	
U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)		
FCC EMC	(2002) FCC Electromagnetic Compliance Requirements	
FCC Part 15	Radio Frequency Devices (47 CFR 15)	

FCC Part 95

UNDERWRITERS LABORATORIES (UL)

UL 924

(2016; Reprint May 2020) UL Standard for Safety Emergency Lighting and Power Equipment

#### 1.4 DEFINITIONS

1.4.1 System Integrator

A person or organization that specializes in distributed antenna systems in bringing together component subsystems in to a complete system and ensuring that those subsystems function together as a complete system. The system integrator is responsible for the system design, installation, testing including all warranties required by this specification.

# 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualifications; G, RO

SD-02 Shop Drawings

System Description; G, RO

System Layout; G, RO

Detail Drawings; G, RO

Coordination Drawings; G, RO

In addition to Section 01 33 00 SUBMITTAL PROCEDURES, provide shop drawings in accordance with paragraph SHOP DRAWINGS.

SD-03 Product Data

Material and Equipment; G, RO

Uninterruptible Power Supply; G, RO

Warranty; G, RO

SD-05 Design Data

Design Analysis and Calculations; G, RO

SD-06 Test Reports

Acceptance Test Plan; G, RO

Acceptance Test Procedure; G, RO

Acceptance Test Report; G, RO

SD-07 Certificates

Accreditation; G, RO

Certificates of Compliance; G, RO

SD-08 Manufacturer's Instructions

Installation; G, RO

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G, RO

Submit Data Package 5 for each component in accordance with requirements of Section 01 78 23 OPERATION AND MAINTENANCE DATA.

Training Plan; G, RO

SD-11 Closeout Submittals

As-built System Drawings; G, RO

Closeout Documentation; G, RO

# 1.6 SYSTEM DESCRIPTION

# 1.6.1 Design Requirements

Provide a Distributed Antenna System (DAS), capable of distributing first responder radio signals from equipment including communication links, antenna systems, bi-directional amplifiers, coaxial cabling, in necessary bandwidths to support desired frequencies, couplers, decouplers, battery backup, power line surge protection and all other necessary components to make a complete and operational system. Provide a complete and operational system to distribute system two-way radio service from the first response radio system. Complete coverage in accordance with NFPA 1221 will be provided for all interior spaces including mechanical areas, open stairwells, and storage areas.

Provide all headend interface, antenna interfaces and amplification components, conditioners and any other equipment necessary.

Provide system features that include, at a minimum, frequency conditioners, auto isolation detection (Uplink/Downlink) and auto turn on-off (Downlink) functions to ensure that the repeater unit is optimally positioned and safe guarded at all times. It will also have LED displays to show uplink and downlink connection, as well as LED lights for power and uplink and downlink alarms.

Provide all materials and labor needed for a complete and operational system for the services in this specification plus the additional system capabilities as indicated. This includes but not limited to all necessary

equipment, interfaces, jumpers, terminations, cabling, antennas, amplifiers, conditioners, power supplies, battery backup, software and all components required to attach access points to the system.

## 1.6.2 System Application Design

Provide the system application design required to provide a DAS that complies with and satisfies all of the requirements specified in this Section and as indicated on the Telecommunications Drawings for this application and project.

The DAS headend must be compatible with West Point's Motorola system. The distributed antenna system (DAS) indicated is conceptual only and must be designed and installed per manufacturer's recommendation to provide cellular coverage to the building floor areas for a complete and functional system. Basis of design is JMA Wireless, TEKO system or approved equal which is West Point's preferred system.

# 1.6.3 Standard Products

Provide an application design that utilizes standard system components that are the product of a Manufacturer regularly engaged in the manufacture of DAS, and that have been in satisfactory use for at least six months. The System must be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the facility.

Provide hardware, software, and installation of a complete and engineered system. Provide a submitted design that is properly engineered for the operational requirements. Include all components required to meet this specification section in the design, other than a change in, or in addition to the operational frequencies identified herein.

# 1.6.4 Local Products

Incorporate local materials to the greatest extent possible. All proposed local products must meet all applicable hardware and software requirements set forth in these specifications.

#### 1.6.5 Minimum Requirements

Specifications are minimum requirements. If the provided system requires enhanced specifications that exceed those specified herein in order to satisfy the specified design, configuration, capability, and performance requirements, then provide a system with the enhanced specifications.

#### 1.6.6 Current State-of-the-Art Technology

Provide DAS application design and products that utilize current state-of-the-art products that provide the enhanced capability and performance specified herein. Provide DAS design and products representing the latest manufacturer make and model.

## 1.6.7 Continuous Duty Design

Provide equipment designed for 24 hours per day, 365 days per year continuous 100 percent duty operation.

# 1.6.8 Design Analysis and Calculations

Provide a comprehensive design analysis and calculations to i, at a minimum, the following:

- a. Power supply requirements for each component of the system in accordance with the manufacturer's instructions and the worst-case loading conditions for power supplies that are not part of the component. Provide power consumption and dissipation data under normal and maximum operating conditions.
- b. Cable type, size, and attenuation calculations for all cables connecting the components of the system according to manufacturer's instructions.
- c. Definition of all interface protocols and specific preparation and application items including coordination issues.
- d. Signal calculations and anticipated signal strength for various areas of the buildings.
- e. Frequencies of operation and the transmission characteristics throughout the facility.
- f. Amount of concurrent users per frequency and service.
- g. Uninterruptible power supply meeting the secondary power source requirements of NFPA 1221 and UL 924.
- h. System monitoring meeting the requirements of NFPA 1221 for Two-Way Radio Communications Enhancement Systems.
- 1.6.9 Environmental Requirements

Provide equipment to be used indoors rated for continuous duty operation under ambient environmental conditions of 35 to 120 degrees F dry bulb and 10 to 95 percent reflective humidity, noncondensing. Provide all other equipment rated for continuous operation under the ambient environmental temperature, pressure, humidity, and vibration conditions specified or normally encountered for the installed location.

1.6.10 Electrical Requirements

105 VAC to 130 VAC at 60 Hz operating voltage range, plus or minus 2 percent.

1.6.11 Power supplies

Provide primary and secondary power supplies in accordance with NFPA 1221 that provide sufficient power for worst-case conditions of system operation that could occur without signal loss or perceptible degradation. Provide power supply monitoring in accordance with NFPA 1221.

1.6.12 Power Line Surge Protection

Provide power line surge protection for all equipment connected to AC power. Provide surge protection integral to the equipment or installed as an accessory item in accordance to manufacturer's recommendations. Do not use fuses for surge protection.

1.6.13 Antenna Line Surge Protection

Provide power line surge protection for all equipment connected to a radio antenna. Provide surge protection in accordance to manufacturer's recommendations. Do not use fuses for surge protection.

1.6.14 Shielding and Grounding

Provide shielding and grounded as required by the system design, Manufacturer's instructions, FCC Part 15 listing, and regulatory requirements.

- 1.6.15 System Capability and Configuration
- 1.6.15.1 System Capability

Provide a DAS to support all indicated frequencies and services.

Coordinate requirements for interfacing with the trunked radio system which supports fire and police departments and emergency personnel with the Contracting Officer.

1.6.15.2 System Configuration and Major Functional Components

Provide system of automatically controlled bi-directional amplifiers (BDA), antennas, decoupling cavities, coaxial cables, fiber optic cables, related filtering devices engineered into one tuned system that will accomplish the specified functionality on all specified frequencies.

# 1.6.16 Performance Requirements

Provide DAS coverage within the facility in accordance with NFPA 1221 and as follows:

- a. 99 percent floor area radio coverage for critical areas such as the exit passageways, elevator lobbies, standpipe cabinets, fire suppression valve locations, and other areas deemed critical by the Contracting Officer.
- b. 90 percent floor area radio coverage for general building areas.
- 1.6.16.1 System Initiation and Operation

No user controlled features are permitted on this system. System is to be active on power up and perform as specified without any form of manual control.

1.6.16.2 Signal Processing Rates

System processing rates are real time and occur without interference. Include proper engineering to remove intermodulation effects, interference within system components, echo, delay or disparity reception caused by active or passive components or by the transmission media. System must be capable of supporting a large amount of users per frequency.

1.6.16.3 Priority

All devices coexist on the system without channel control within the

system.

1.6.16.4 Signal Strength

Provide minimum signal strength in accordance with NFPA 1221 throughout the coverage areas.

1.6.16.5 Reception

Provide complete reception and transmission within the facility without shadows or dead spots.

- 1.7 QUALITY ASSURANCE
- 1.7.1 Cybersecurity
  - a. The Risk Management Framework (RMF) is the process by which information systems are accredited for operation by a designated official from the Using Military Department. It is the standard process under which all DoD information systems must achieve and maintain their Authority To Operate. The Cyber Security process is documented in DOD 8510.01 and NIST SP 800-82. Refer to UFC 4-010-06 for additional requirements.
  - b. All systems that are IP addressable or interface with the Assured Network must be certified to operate. Coordinate with the Government to initiate and complete the accreditation process.
  - c. Cybersecurity requires input from the system vendor or provider and support from the local IMD. The local IMD-IA office is the point of contact for all Cybersecurity requirements. The local CMIO is the point of contact for all clinical and functional system requirements.

## 1.7.2 Shop Drawings

In exception to Section 01 33 00 SUBMITTAL PROCEDURES, submitted plan drawings must be a minimum of 11 by 17 inches in size using a minimum scale of 1/8 inch per foot. Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on the wiring diagrams and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices. Include the nameplate data, size, and capacity. Also include applicable federal, military, industry, and technical society publication references.

## 1.7.2.1 Detail Drawings

Provide drawings certified by the qualified system integrator. Include drawings specifically prepared to indicate the work for this project. Generic drawings are not acceptable. Submit drawings to include:

a. System block diagram, riser diagrams, wiring and schematic diagrams, run sheets including number of conductors and wire number (ID), custom assembly details, installation details, location of donor antennae and maps showing donor equipment sites and signal strength at the construction site for each donor system. Provide a drawing that indicates all RF emitting elements, antennae or radiating cable within the system, differentiated from all interconnecting cables and or elements, and the location and value of any non RF emitting terminations, stubs or loads.

- b. Riser diagrams that indicate the identification number (ID) for all equipment components.
- c. Installation details that indicate layout and mounting of equipment, equipment relationship to other parts of the work, including clearances required for maintenance and operation, and plan and elevation details that indicate the exact and totally coordinated physical location and size of each individual item of equipment.
- d. Installation details for equipment and cabling that is mounted within or on the ceiling, ceiling grid, or hard ceiling structure and that includes the make and model of the surface or recess mounted boxes and wireways, and details for any mounting hardware.
- e. Details for the custom assembly of equipment that indicate the assembly configuration, elevations and dimensions. Typical custom assembly details include equipment panels, and equipment mounted in a rack or cabinet.
- f. Legend of graphic symbols used.
- g. Details that indicate anchoring and bracing provided for seismic protection.
- 1.7.2.2 Coordination Drawings

Submit coordination drawings to include:

- a. The details of all electronic and physical interfaces between the DAS System and all interfaced telecommunications systems and other systems, including the exact point and type of demarcation.
- b. The layout and mounting of all DAS equipment, and the routing and mounting of cabling in telecommunications rooms and the communications equipment room as coordinated with the layout of all other systems equipment in these rooms.
- c. The layout, routing, and mounting details of all equipment, cables and apparatus located in ceilings, passageways, or other areas not indicated as communications spaces, and to coordination of those items with ductwork, plumbing, lighting and other materials so located in those respective areas.
- 1.7.3 Qualifications
- 1.7.3.1 General Qualification Requirements
  - a. The System Integrator and Installer must each have the minimum qualifications specified, related to the type of system specified for this project. All system components must meet the minimum requirements of this specification.
  - b. The Government reserves the right to accept or reject the System Integrator or Installer based upon qualifications and ability to

conform to specified technical or licensing requirements of this Section. System Integrator, Installers that do not have the specified qualifications will not be accepted.

- c. The Government will determine the acceptability of any proposed System Integrator or Installer based on submitted and verified documentation that substantiates that the proposed System Contractor, Installer and Manufacturer have the qualifications specified in this Section.
- d. Submit documented verification of the specified qualifications as part of the Data Qualifications submittal. The Government maintains the right to request, inspect and verify references and resumes of all technical and managerial personnel assigned to the project.
- e. Include qualification documentation, but not limited to the information outlined below:
  - (1) A list of projects performed by the System Integrator and Installer during the last five years explicitly involving the type of system specified in this section, including:
    - (a) Name of facility where work was completed.

(b) Name, title, address and telephone number of a point of contact for the listed facility.

(c) The make and model of the system provided and total scope of work for the facility.

(d) Restrict list to the facilities where the same type of system was installed for the same purpose provided.

- (2) An organizational chart of the DAS System Integrator and Installer project team that will perform the work included in this specification section.
- (3) List and resumes of the principle personnel that will be assigned to the work on this project to include responsibility and relationship to the project management structure. For each individual, include education, certification of factory training, and experience relevant to work assignments for this project. Do not include unrelated experience with other systems. Include the following personnel:
  - (a) System project manager
  - (b) System Application Designer
  - (c) BIM staff that will prepare submittal drawings
  - (d) Installation technician and supervisory personnel
  - (e) Acceptance Testing Personnel
  - (f) Training Personnel
- (4) Define the work and services that will be performed at locations other than on the project site, and provide points of contact, the address and telephone number of the locations where such off-site

work is to be done. Include the following, at a minimum:

- (a) Project management
- (b) System application and design and documentation
- (c) Testing and training plans
- (d) Repair and maintenance services
- (e) Maintenance supplies warehouse
- (f) Training personnel
- 95) Telephone number that will be answered by staff 24 hours per day, 365 days per year, to obtain repair parts and maintenance service.
- (6) The System Integrator and Installer qualifications relative to the the type of system specified in this Section.
- (7) A letter from the System Integrator stating that system being provided satisfies all functional and product requirements specified in this Section.
- (8) A letter from the System Integrator guaranteeing the availability of parts as specified.
- 1.7.3.2 System Integrator Qualifications
  - a. System Integrator qualifications must include the following:
    - (1) The System Integrator is regularly engaged in the system application design, documentation, installation, testing, training, and maintenance of the type of system specified in this section.
    - (2) The System Integrator has a minimum of five years experience providing these services for systems having the same level of features and functions as the system being provided.
    - (3) The System Integrator has a minimum of five years as the manufacturer or an authorized distributor and service organization for the manufacturer of the system provided.
  - b. System Integrator personnel qualifications must:
    - (1) Be factory trained or certified for the make and model of the components used in the system provided.
    - (2) Have a minimum of five years experience performing the services specified in this specification section.
    - (3) Maintain a full compliment of spare parts for the provided system with the ability to furnish on-call maintenance 24 hours per day, 365 days per year.
- 1.7.3.3 Installer Qualifications
  - a. The installer personnel must be regularly engaged in the installation

of the type of system in this specification section.

- b. The installation supervisor must be factory trained and certified or licensed for the type of the system provided.
- c. The installation supervisor must have a minimum of five years experience providing services having the same level of features and functions for the system included in this specification section.
- d. The installation personnel must have a minimum of three years experience providing services having the same level of features and functions for the system included in this specification section.
- 1.7.3.4 Manufacturer Qualifications

The system manufacturer must:

- a. Have a minimum of five years experience in producing the products and type of system included in this specification section.
- b. Produce a system that satisfies all specified features, functions and product requirements.
- c. Guarantee the availability of the replacement parts for the designed system for a minimum of seven years from the date of final acceptance of the system by the Contracting Officer.
- 1.7.4 Regulatory Requirements
- 1.7.4.1 Products

Provide products which comply with FCC Part 15

1.7.4.2 Design and Installation Work

Provide design and installation work compliant with FCC Part 95, UL 924, NFPA 70, and TIA-569  $\,$ 

- 1.7.4.3 Electromagnetic Interference (EMI)
  - a. Comply with FCC EMC and the EMI standards specified in FCC Part 15 for EMI caused by electronics devices.
  - b. Provide a system that does not generate nor is susceptible to any harmful electromagnetic emission, radiation, or induction that degrades, obstructs, or interrupts the operation of the installed system, any computer system, or life safety system, medical equipment, or patient monitoring system.
  - c. In the event that any part of the system is certified by the FCC, and subject to CFR technical rules and standards, and are different or in addition to those set forth herein, the standard relating to these parts apply.
  - d. Comply with current CFR standards that are applicable to the system at the time of system acceptance testing.
  - e. In the event of a breach of representations or warranties, the System Integrator or Installer, and its own expense, is responsible to put

the offending system into compliance with the current applicable CFR standards or replace the offending system with an acceptable system.

- 1.8 DELIVERY, STORAGE, AND HANDLING
- 1.8.1 Protection

Store all products delivered and placed in storage protection from the weather, humidity and temperature variation, dirt and dust, or other contaminants.

1.8.2 Delivery Coordination

Coordinate deliveries with the Contracting Officer to insure a timely installation.

1.8.3 Loss Liability

The System Integrator or Installer is liable for any loss due to delivery and storage problems.

- 1.8.4 Delivery Restrictions
  - a. Do not deliver products or installation material the project site more than one month prior to commencement of its installation.
  - b. System products must not leave the factory prior to six months before the time that the facility is ready for installation of the products. Obtain prior written approval of the shipping date from the Contracting Officer.
- 1.8.5 System Integrator Responsibility

The System Integrator or Installer is responsible for all handling and control of products provided under this contract.

- 1.9 SEQUENCE AND SCHEDULING
  - a. Install each part of the system and phase into operation as required by the project schedule.
  - b. Schedule and coordinate work with all other trades and suppliers whose work is critical to the successful installation of the system.
  - c. Furnish and install all required items for a complete and operating installation so as to cause no delay in work by others, or completion of the project.
  - d. Perform final inspection and acceptance testing of each system after the system installation has been completed and all pre-testing, and commissioning have been successfully completed.

## 1.10 WARRANTY

Provide equipment items that are supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

Warranty the operational and physical integrity of the provided system, including a warranty against all defects in design, equipment, materials, software, workmanship, and improper installation and adjustments, for a period of at least one year from the date of final acceptance of the work. If the System Integrator or Manufacturer warranty is for a period longer than one year, the longest warranty period governs. Include a warranty document with the Product Data submittal.

During the warranty period any maintenance, make adjustments or repairs in accordance with the Warranty Maintenance specifications herein. Warranty repair of minor malfunctions desired by the Government at other than normal working hours may be charged at current labor rates for the premium portion of time.

#### 1.11 MAINTENANCE

1.11.1 Operation and Maintenance Manuals

Furnished commercial off the shelf manuals for operation, installation, configuration, and maintenance of products. Submit operations and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein not later than 2 months prior to the date of beneficial occupancy. In addition to requirements of Data Package 5, include the requirements of paragraphs DETAIL DRAWINGS, and COORDINATION DRAWINGS. Ensure that these drawings and documents depict the as-built configuration.

#### 1.11.2 Extra Materials

1.11.2.1 Off-The-Shelf Maintenance Parts

Provide guarantee that a stock of the subsystem component parts required for maintenance service will be available off-the-shelf from the system integrator, and can be express shipped to the Facility if not available locally within a 150 mile driving radius of the Facility. Parts that must be ordered from the Manufacturer for the repair of a major malfunction, as defined herein, must be express shipped for delivery within one day after the major malfunction has been identified. Parts that must be ordered from the Manufacturer for the repair of a minor malfunction, as defined herein, must be express shipped for delivery two days after the minor malfunction has been identified.

1.11.2.2 Installation Spare Parts

The System Integrator is required to keep an adequate quantity of installation spare parts onsite to preclude work stoppages and to meet other contingencies that might arise prior to the final inspection and acceptance of the system.

- 1.11.2.3 Post Acceptance Spare Parts
  - a. After the system has been in acceptance by the Government, recommend and provide one of the minimum quantity and type of onsite spare parts as necessary to maintain the full operation of the system in case of failure.
  - b. System Integrator is required to maintain spare parts on-site during the warranty period to facilitate quick repair through plug-in module replacement of key system components, then replenished and turned over

to the Government at the end of warranty period.

- c. Provide recommendation of any additional onsite spare parts deemed necessary by the Manufacturer and or the System Integrator. Furnish to the Contracting Officer the cost of recommended additional spare components as a separate line item.
- 1.11.2.4 Special Tools and Equipment

Provide any special maintenance tools, equipment and software are defined as those items that are uniquely required, due to Manufacturer make or model of the provided products, to install, setup, initialize, program, and maintain any component or function of the system such. Provide, after final inspection and acceptance, one set of any special tools, equipment and software necessary.

- 1.11.3 Maintenance Service
  - a. Perform warranty maintenance service on the system using qualified maintenance personnel that have been trained for the system being serviced.
  - b. System Integrator is required to offer a Service Agreement to the facility. Include a copy of the proposed Service Agreement with the Product Data Submittal.
  - c. As authorized by the facility, the contractor may utilize facility maintenance personnel that have been factory trained for maintenance of the provided system for the first level of response to a call for service.
  - d. If available at the project location, provide and on-line diagnostic maintenance support capability as specified herein.
- 1.11.4 Service Availability and Response Time
  - a. Provide maintenance service on a 24 hour per day, 7 days per week, 365 days per year basis for on-premises maintenance within 8 hours after notification of a major malfunction. Maintenance service must include a 24 hour answering service available to receive after hour maintenance requests and to dispatch on-call service personnel within the required response time.
  - b. All major repair malfunctions must be accomplished within 8 hours of the reported failure. A major malfunction is defined as failure of one of the following major functional components:
    - (1) Complete failure of any headend or remote equipment.
    - (2) Failure of a power supply, exclusive of loss of utility power feed.
    - (3) Failure of 20 percent or more of all antenna locations in the facility.
  - c. Repair of a minor malfunction is any failure other than a major malfunction. Minor malfunctions must be repaired within 48 hours.

PART 2 PRODUCTS

2.1 2.1 MATERIAL AND EQUIPMENT

#### 2.1.1 New Products

Provide products, components, and devices that are new and free of defects. Coordinate floor space requirements and electrical branch circuits for all equipment requiring 120 VAC. Any special cabinetry or shielded enclosures are the responsibility of the System Integrator or Installer and are to be provided and installed as part of this system.

# 2.1.2 Unspecified Products

If the provided system requires additional products that are not specified or indicated on the Telecommunications Drawings in order to satisfy the specified performance requirements for the system, then provide additional component.

2.1.3 Product Modifications

Modification of products that nullifies the UL listing or other agency approval is not permitted.

2.1.4 Identical Products

Provide identical make and model for products such as component equipment, modules, assemblies, parts and materials of the same classification.

- 2.1.5 Nameplates and Equipment Markings
  - a. Provide nameplate and equipment marking for each major equipment component to include the Manufacturer's name, model, and serial number on a plate secured to the equipment. Also, include all compliance with regulatory requirements, such as UL and CFR on the nameplate or on adjacent labels.
  - b. Plainly and permanently label all controls with the identification of the function served. Stick on marker tapes are not acceptable.
  - c. Markings on any exposed surfaces must be resistant to housekeeping solutions normally used in medical facilities.
- 2.1.6 Mounting Alignment Capability

Provide wall mounted components with capability for adjustable mounting alignment to compensate for improperly aligned back boxes and to insure a plumb, square, and level installation.

- 2.1.7 Model and Enhancements
  - a. Provide products and components that are the manufacturer's latest model, design, version, and quality in production at time of delivery and installation.
  - b. Notify the Contracting Officer of any product enhancements that become available after delivery and installation, and up to time of system acceptance, must be brought to the attention of the Contracting Officer upon announcement by the Manufacturer and make enhancements

available to the Government. If such enhancements customarily are provided at no additional cost, the Government must automatically be entitled to such enhancements. If such enhancements customarily are provided at additional cost, the Contracting Officer may chose to accept or reject such enhancements.

- c. Submit a letter to the Contracting Officer from the Manufacturer guaranteeing that the Manufacturer will inform the Government of, and make available to the Government, all commercially available enhancements to the System at the then current price. Include the letter with the Data Submittal.
- d. Substitutions, modifications, or improvements to a System are permissible provided that such substitution, modifications, or improvements will not reduce or degrade the performance or product requirements, nor violate regulatory requirements. No such substitutions, modifications, or improvements can be made without the written consent of the Manufacturer and Contracting Officer. Such consent must not be unreasonably withheld or delayed.

# 2.1.8 Software and License

- a. Issue to the Government a nonexclusive, fully paid perpetual license to use the software provided.
- b. Provide software maintenance that is offered to all other customers without charge to the Government without charge.

# 2.2 BIDIRECTIONAL AMPLIFIERS

- a. The primary bidirectional amplifiers will be located in the headend equipment room.
- b. Locate Any additional amplifiers required by the System Application Design in telecommunications rooms.
- 2.3 ACTIVE AND PASSIVE EQUIPMENT

Locate all active equipment in headend equipment room and telecommunications room as may be required by system application design. Coordinate all mounting locations with the Contracting Officer. Provide all mounting materials as part of this system. Install any passive or other components, excluding coaxial cables and antenna, that are installed in the facility at locations other than the telecommunications rooms or equipment rooms in locked steel cabinets, keyed alike and provided and installed as part of this system.

2.4 ENCLOSURES

Provide NEMA Type 4 enclosures in interior spaces where located in clean, dry environments. Provide NEMA Type 4X for enclosures located outdoors.

## 2.5 OPERATING FREQUENCIES

All RF emitting devices used must be certified by the radio licensing authority to achieve the required radio coverage. Any frequencies for which the facility does not have a license to retransmit must not be amplified nor retransmitted. All RF emitting devices must have the certification of the radio licensing authority and be suitable for public safety use prior to installation in accordance with NFPA 1221.

- a. Provide capability to process required communications signals from outside the facility to include transmitted frequencies of:
  - (1) Military Band First Responder Trunked Radio Frequencies
- b. Coordinate any additional frequencies with the contracting officer.
- c. Receive and transmit frequencies for military and public EMS. Re-transmit frequencies unaltered. Coordinate frequencies with the installation frequency manager via the Contracting Officer.

## 2.6 TRANSMISSION LINE

Provide all required cables and associated passive components in accordance with the system application design. Provide plenum rated feeder and riser cables in accordance with NFPA 1221 that meet all performance requirements, fire and environmental regulations as installed by the specific design for this project.

## 2.7 DONOR ANTENNAS

- a. Provide Antenna and antenna structure that conform to TIA-329.1-D. Engineer the antennas to the proper system performance for the TIA-455-13 operating frequencies indicated in this specification.
- b. Provide all required cables in accordance with the system application design and engineered to the correct performance of the system.
- c. Antennas must not interfere with medical equipment or any other system in accordance with this specification.
- d. Provide lightning protection and equipment for separate grounding of the antenna mast as required by NFPA 780.
- e. Provide isolation between donor antenna and all inside antennas to a minimum of 20dB under all operating conditions in accordance with NFPA 1221.
- 2.8 PORTABLE EQUIPMENT

No portable receivers, transmitters, or radio devices are to be provided as part of this system.

- 2.9 UNINTERRUPTIBLE POWER SUPPLY (UPS)
  - a. Volt-Amp capacity must be at least 130 percent of the total volt-amp load of the equipment connected to the UPS. UPS must provide of runtime required by NFPA 1221 under the highest system load possible. Include power requirement calculations with design data submittal to verify power requirements.
  - b. Upon an ac power line outage, the UPS must automatically transfer to battery power within 4.2 milliseconds of sensing ac power line loss, and provide at least 15 minutes of full power for operation of the equipment connected to the UPS. On-battery output voltage must be 115 VAC, plus or minus 5 percent.

- c. The UPS must use sealed, maintenance free type batteries that have an expected life of at least three years. Power batteries from a constant voltage or "float type" battery charger. Recharge time to 90 percent capacity after discharge to 50 percent capacity must not exceed 10 hours.
- d. Surge energy rating must be at least 320 joules. Surge peak current capability must be at least 26 ka.
- e. UPS visual indicators on the UPS front panel must indicate on-line operation, output overload, low battery, and replace battery. Provide network reporting of UPS functions and warnings.

## PART 3 EXECUTION

## 3.1 EXAMINATION

Perform a site survey to verify all field conditions, become familiar with the details of the work and working conditions, verify dimensions in the field, and advise the Contracting Officer of any discrepancies before performing the work.

#### 3.2 BUILDING UTILITY AND SUPPORT

Locate equipment and connect to branch circuits and grounding system and utilize pathways as indicated on the drawings. Where additional space, branch circuiting, grounding, or pathways are necessary to support the system as designed, notify the Contracting Officer of additional support needed.

## 3.3 PREPARATIONS

As part of the project planning and system application design, and prior to the submittal of documents and plans, gather the data required to design and install the system, and plan the work. Include the data listed below, at a minimum.

3.3.1 User Room Numbers and Names

Obtain from the Contracting Officer a listing of facility User room numbers and room names cross referenced to the architectural room numbers and names indicated on the contract documents. Use the facility User room numbers and room names for all system functions, applications software, and as-built documentation.

- 3.3.2 Interfaces and Interconnections
  - a. Coordinate and define the details of all interfaces and interconnections with other systems and equipment as specified herein. Include a detailed definition of all electronic and physical interface requirements, interface protocols, and physical demarcation points, donor frequencies, donor signal levels and injection levels for directly coupled systems. Provide details as part of Drawings and Data submittals.
  - b. Include the following interfaces and connections:
    - (1) First Responder Trunked Radio

3.3.3 Certificates and Authorizations

Provide certificate of authority and/or operational authority from the licensee of all individual services utilizing this System. Include the FCC station identification information, details of frequency, power, and modulation, and a specific authority to operate a distribution and/or rebroadcast system on said frequency at the project location, any restrictions in operating conditions, levels, emissions or other conditions, and issued in the name of the facility with a point of contact at the Licensee.

- 3.4 INSTALLATION
- 3.4.1 General
  - a. Provide installation as indicated and specified, and in accordance with acknowledged industry and professional standards and practices, and the Manufacturer's instructions.
  - b. Comply with the requirements of NFPA 70, NFPA 72, NFPA 1221, NFPA 99, and TIA-569.
  - c. Only the Installer as qualified in Paragraph QUALITY ASSURANCE, subparagraph Installer Qualifications, is permitted install and connect all equipment and system cabling.
  - d. Maintain onsite a supervisor during the entire installation as qualified in Paragraph QUALITY ASSURANCE, subparagraph Installer Qualifications.
  - e. Provide all tools and equipment needed to install the system.
- 3.4.2 Equipment Installation
  - a. Provide appropriate waterproof gaskets for equipment installations in exposed areas.
  - b. Locate equipment where indicated on the drawings or where indicated in this specification section. Mounting of system components in any room other than a Comm Room or above finished ceilings is not acceptable without written authorization by the Contracting Officer.
  - c. Rack mount system components. Floor mounted equipment is prohibited.
  - d. Install equipment firmly secured in place, plumb, square, and level.
  - e. Provide adequate equipment ventilation and adequate equipment accessibility for service and repair.
  - f. Provide roof conduit penetration for antenna pathways.
- 3.4.3 System Cabling Installation

Install system cabling using the approved qualified installer in accordance with NFPA 70. Install cables without kinks, sharp bends or deformations or abrasions as recommended by the cable manufacturer. Install cable in cable trays, conduits, and boxes as indicated. Coordinate the system cable routing with other cable routing of other systems to ensure that there will be no interference that will adversely

affect the performance of this system of any other specified or GFGI system. The System Integrator is responsible to notify the Contracting Officer of any routing conflicts prior to placement of cable. Provide firestopping where penetrations are required in rated floors, walls, or ceilings in accordance with Section 07 84 00 FIRESTOPPING

# 3.4.4 Grounding

Ground equipment enclosures and all other non-current carrying exposed metal parts of electric equipment.

# 3.5 AC POWER CONNECTIONS

AC power for all equipment must be circuited to the emergency system in accordance with NFPA 70 , NFPA 72, and NFPA 99.

The System Integrator is responsible for coordinating that the active system components are provided with required branch circuits. The System Integrator is responsible coordinating any other power requirements to active devices with the Contracting Officer as necessary where adequate circuits are not indicated on the contract drawings.

## 3.6 TRANSMISSION LINE

Install transmission line(s) to the roof in the indicated pathway, with the outer conductor and lighting arrestor grounded to the indicated grounding system in accordance with NFPA 70 and NFPA 780. Provide any additional grounding required.

## 3.7 INSTALLATION SETUP

Make all adjustments as necessary to setup the system to function in accordance with specific user requirements for the overall system.

#### 3.8 FIELD QUALITY CONTROL

- 3.8.1 Inspection, Testing and Validation
  - a. Prepare and submit an Acceptance Test Plan that includes the requirements of this Section. Include step-by-step procedures and the expected results to demonstrate system compliance with the requirements of this specification. Include tests defined in the Manufacturer's installation instructions; list of all test equipment to be used, including data indicating that calibration of the test equipment is current; test data sheets; and names and qualifications of the person(s) who will perform the tests.
  - b. Furnish required test equipment, tools, consumable supplies, and technically qualified and licensed personnel to perform inspections, testing and validation of the installed system. All test equipment must be in current calibration and must have a current calibration certification.
  - c. The Contracting Officer reserves the right to approve the System Contractor's choice of testing personnel, and, upon rejection of any testing personnel by the Contracting Officer at any time, the System Contractor is required to replace such testing personnel as soon as reasonably possible. Upon request, provide the Contracting Officer the opportunity to review the qualifications of each person proposed

for testing work.

- d. Conduct all inspections and testing in accordance with submitted and approved quality control, testing and commissioning plans and procedure, and requirements specified herein.
- e. Notify Contracting Officer at least 30 days prior of any planned inspection and testing, but in no case prior the System Integrator having received written Government approval of the submitted test plans, including procedures.
- e. Conduct inspection and testing during normal working hours with prior notice to the Contracting Officer so as not to interfere with orderly work processes.
- f. Allow inspection by the Contracting Officer of all work and workmanship, and witnessing of System Integrator performed inspections, system readiness checks, integrated performance testing, and acceptance testing.
- g. Expose any work that is enclosed or concealed before being inspected and tested and restore to the original condition after inspection and testing.
- h. Submit results of each inspection and test in electronic and hard copy format to the Contracting Officer.
- 3.8.2 Periodic Inspection and Testing

The Contracting Officer reserves the right to inspect and test all work and workmanship at any and all times during preparation and installation. The Contracting Officer, in his or her sole discretion, may reject defective work and workmanship and require its correction. The Government right to inspect, test, and reject, or its failure to exercise such right, as provided herein, must in no way diminish the System Integrator's duty to inspect and reject work as necessary to comply fully with the requirements of the contract documents.

Licensee's who have granted permissions are responsible for their frequencies operating within their license. Licensee's are responsible for inspections for their operating signals and have the right to revoke permissions at any time.

# 3.8.3 System Readiness Checks

Place the system into complete working order in full compliance with specified requirements, system application design, and all setup requirements, including requirements listed in the Licensee's Authority to the Facility, programming and adjustments prior to the start of system testing and validation. Perform system readiness checks to certify that the system is ready for testing and validation.

# 3.8.4 Integrated Performance Testing

Perform all necessary performance testing of interoperability of the telecommunications systems with each other, and with other building systems. Such testing is to demonstrate full integration and/or interface of systems and to demonstrate they function as a comprehensive system where necessary.

## 3.8.5 Final Inspection and Acceptance Testing

- 3.8.5.1 General Requirements
  - a. After installation has been completed, and system readiness checks and integrated performance testing have been successfully completed, notify the Contracting Officer that the system is ready for final inspection and acceptance testing. Conduct final inspection and acceptance tests in accordance with the approved Test Plan and Commissioning Plan, and the project schedule.
  - b. Draft as-built system drawings, and operating and maintenance manuals must be made available by the System Integrator for use during performance of final inspection and acceptance testing. Final inspection or acceptance testing will not be scheduled nor performed without this documentation.
  - b. Upon successful completion of final acceptance tests, and 30 calendar days of consecutive operation in accordance with specified requirements without the occurrence of any major malfunctions, submit the final acceptance test report, including certificates of compliance and certificate(s) of Licensee Authority with Licensee inspection report stating that all specified requirements and conditions have been satisfied. The effective date for completion of the final system acceptance will be the date when the system has satisfied the 30 days of operation without a major malfunction as specified above.
- 3.8.5.2 Acceptance Test Procedure
  - a. Provide final acceptance tests that demonstrate that the system operates in full accordance with all specified requirements, system application design, and user setup requirements for the system. Demonstrate that each system operating mode performs as required by operation of each individual system component under normal or simulated normal system conditions.
  - b. Include the following tests, at a minimum:
    - Operation under ac power failure conditions. Include demonstration of UPS capability under normal power loading conditions, and operation under emergency power conditions.
    - (2) Signal quality under worst-case loading conditions of low voltage power supplies.
    - (3) Operation of electronic supervision circuitry.
    - (4) Operation of 100 percent of all components.
    - (5) All interface functions.
    - (6) Place and test devices from inside the facility to other mobile devices within the facility. Place and test devices from inside the facility to other mobile devices outside the facility. Place and test other devices from outside the facility to other mobile devices inside the facility. Perform each inside/outside test a minimum of ten times from various points selected by the Contracting Officer to demonstrate complete coverage throughout

the facility.

- (7) A test will be considered as passed if the device successfully connects and message is transferred without error. A pass rate of 100 percent is required.
- (8) Sweep test all coaxial cables for all frequencies the system is capable of transmitting whether or not in service.
- c. Include testing equipment for frequency and signal strength testing.
- 3.8.6 Corrective Action for Rejected Work
  - a. Correct all deficiencies and another re-test as necessary to demonstrate compliance with all requirements to the Contracting Officer.
  - b. Complete all corrective action within a reasonable time consistent with project schedules and acceptable to the Contracting Officer.
  - c. If, after 30 calendar days from the start of acceptance testing, the system or any equipment component thereof fails to demonstrate complete and proper performance, the Government reserves the right to return the total system or any equipment component to the System Integrator. The System Integrator is required refund all costs thereof to the Government and to indemnify the Government from damages, costs, and expenses incurred in connection with such activity.
  - d. The Government retains absolute control of the actual date of return of any rejected system or equipment component. The Government reserves the right to continue to utilize such system and equipment until the actual date of removal.
- 3.8.7 Acceptance Test Report

Provide test reports in booklet form with witness signatures verifying execution of tests. Include physical routing and a test report for each cable from the installed outlet to the main termination point. Submit test report within 14 days after completion of the testing.

3.8.8 Warranty Period Inspection and Testing

Observe the system in operation at the end of the 4th and 9th months after the time of acceptance and re-conduct acceptance tests to demonstrate that system is continuing to perform as specified. Coordinate with the Contracting Officer. The Contracting Officer and a Customer Representative reserve the right to participate in this activity. Include interviews of users to determine if the system is satisfying specified requirements and that training is adequate. Provide written report of results to the Contracting Officer. During the 11th month of operation, re-inspect and retest the system to identify and correct any deficiencies prior to the end of the warranty period. A medical facility Government representative reserves the right to witness this procedure. Make all correction actions necessary. Provide written report of results to the Contracting Officer.

# 3.9 CLOSEOUT ACTIVITIES

# 3.9.1 Training Plan

- a. Develop and submit a Training Plan for approval by the Contracting Officer. The training plan must describe the training to be provided. The Training Plan must include, but not be limited to the following items:
  - (1) Describe the operation and maintenance training programs, and instructional materials to be provided.
  - (2) As coordinated with the user, define the number of staff members that will be expected to attend each training session, and the classrooms to be used for on-site training.
  - (3) Furnish the identity and qualification of training instructors, and the instructional schedules for all classes.
- b. Provide training to the Facility staff in accordance with the approved Training Plan.
- 3.9.2 General Preparations

During the week prior to the start of training for any system, check the system to assure that it has been successfully commissioned and acceptance tested, and is in full-specified operation condition.

#### 3.9.3 Training Personnel

- a. Furnish qualified factory trained or certified instructors to train designated Facility staff in the operation and maintenance of the provided system.
- b. The Contracting Officer reserves the right to approve the System Integrator's choice of training personnel, and, upon rejection of a trainer by the Contracting Officer at any time, the System Integrator must immediately replace such trainers. Upon request, the System Integrator will provide the Contracting Officer the opportunity to review the qualifications of each proposed trainer.

## 3.9.4 Training Instructions

Include all specified performance and capabilities of the system in the training instructions, and all of the items contained in the operation and maintenance manuals. In addition, include preventive maintenance, routine maintenance, repair and troubleshooting procedures.

#### 3.9.5 Training Materials and Recordings

Furnish all training materials and handouts. Provide the quantity needed for all of the Facility maintenance technicians, operations and user staff that will receive training. Provide Six copies of all standard training media, such as video recordings, CDs, and DVDs, that are available from the Manufacturer to the Contracting Officer.

3.9.6 Onsite Training Programs and Requirements

Provided all training onsite to all Facility staff as required throughout

the contract and warranty period to train operations and maintenance staff for the provided system. Coordinate number of persons to be trained with the COR. Include two training courses, one for maintenance technicians, and one for user and operations staff. Include classroom training and field training. Field training for Facility staff will take place in the area where the staff will be working. Coordinate classroom training with the Contracting Officer. Conduct multiple instructional units for each onsite course on a three shift, seven days a week basis as required to train all staff during their normal on-duty working hours. The Contracting Officer will designate qualified personnel to be instructed in the operation and maintenance of each system, schedule instructional sessions, and provide suitable onsite instruction facilities.

# 3.9.7 User and Operational Staff Training

Comments user and operational staff training at a time acceptable to the Contracting Officer and near the time the system is scheduled for operational use by the Facility staff. Provide classroom instructions for all Major Functional Components of the system, and field instructions in each area where equipment is installed. Nine months after the system is installed and accepted by the Government, provide refresher coerce to the user and operational staff.

## 3.9.8 Technician Training

Before the system is turned over to the Government for operational use, provide training for maintenance technicians designated by the Contracting Officer. Provide the number of instructional hours necessary to cover all aspects of system setup, programming, operations, preventive maintenance, routine maintenance, routine repair, and troubleshooting procedures for the system as installed. Nine months after the system is installed and accepted by the Contracting Officer, provide a comprehensive refresher course covering the final configuration for the system. Provide technician training on site and focus on failure diagnosis and field repair.

# 3.9.9 PROTECTION

Do not permanently install items that can be easily stolen, such as desktop computer and monitor equipment, until such time as the System Integrator has been notified by the Contracting Officer that the facility is secured.

## 3.9.10 CLOSEOUT DOCUMENTATION

- a. Prepare and submit all documents as required.
- b. Maintain a record of all maintenance and repair, including subsequent field strength readings, performed during the warranty period and submit the record at the end of the warranty period.
  - -- End of Section --

# SECTION 28 08 10

# ELECTRONIC SECURITY SYSTEM ACCEPTANCE TESTING 05/16

## PART 1 GENERAL

#### 1.1 SUMMARY

This specification defines the process and procedures for initial acceptance testing of electronic security systems (ESS) to include intrusion detection, access control and video as well as associated power and communications. Requirements to plan, conduct, and document all testing activities are covered along with the Government responsibility to witness testing and review and approve submittals. During the course of the acceptance test, demonstrate that, without exception, the completed and integrated ESS complies with the contract requirements.

#### 1.2 DEFINITIONS

The Government Representative is a qualified individual given specific authority to witness system acceptance testing and evaluate the results.

## 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. RO (Resident Office) shall be the reviewing offical for all submittals having a "G" designation. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-05 Design Data

Test Plan; G, RO

SD-06 Test Reports

Draft Test Report

Final Test Report; G, RO

SD-07 Certificates

Qualifications

#### 1.4 QUALITY ASSURANCE

1.4.1 Qualifications

## 1.4.1.1 General

The Test Director, Operator, and Technician must have prior experience with the specific equipment, hardware and software installed under the contract.

#### 1.4.1.2 Test Director

The Test Director must have at least five years of hands-on ESS experience to include any combination of design, installation, testing and maintenance.

# 1.4.1.3 Operator

The Operator must have at least two years of hands-on experience installing and maintaining ESS workstations to include both hardware and software. The Operator must be capable of demonstrating all workstation features and capabilities.

## 1.4.1.4 Technician

The technician must have at least two years of hands-on experience installing and maintaining ESS field equipment to include sensors, card readers, cameras, local processors, and communications equipment. The Technician must be capable of demonstrating all features and capabilities of ESS field equipment. Qualifications may be met by the individual experience of one technician or by the combined experience of a team of technicians.

## 1.4.1.5 Test Intruder

The purpose of the test intruder is to activate intrusion sensors in a realistic and repeatable manner. The test intruder must be between 70 and 72 inches tall and weigh between 175 and 190 pounds. The test intruder must possess sufficient physical strength, agility, and endurance to perform movements required for intrusion testing. These movements may include, but are not limited to, walking, running, crawling, jumping, and climbing.

# PART 2 PRODUCTS

Not Used

# PART 3 EXECUTION

## 3.1 TEST PLAN

Clearly establish the scope for ESS testing prior to beginning testing. Submit a Test Plan that addresses the following topics:

3.1.1 Personnel

Identify the Test Director, Operator, Technician, Test Intruder, and any other personnel that will be performing test activities.

## 3.1.2 Equipment

List all equipment that is required to support testing. State the purpose of each piece of equipment. Describe equipment that will be used to enable voice communications between the monitoring location and the field.

#### 3.1.3 Procedures

Provide a step-by-step procedure for conducting each functional test. Describe actions and expected results. Ensure that functional test

procedures address performance standards described in contract specifications.

Download example procedures from <u>http://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf</u> and review for applicability and completeness. Adapt example procedures to meet specific project requirements and develop additional ones as needed. Follow TEST-MASTERTP0023-005 for Air Force projects.

# 3.1.4 Special Provisions

Discuss any special test provisions such as facility access, safety, integration with existing systems, and coordination with other work.

# 3.1.5 Test Logs

Provide logs for recording all data from functional testing and burn-in testing.

Download example logs from <a href="http://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf">http://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf</a> and review for applicability and completeness. Adapt example logs to meet specific project requirements and develop additional ones as needed.

#### 3.1.6 Schedule

Provide an overall schedule that includes all testing milestones.

## 3.2 PRE-ACCEPTANCE TESTING

Conduct a complete test of all field equipment, workstations, and central system hardware and software in accordance with the approved Test Plan. The Test Director must be on site to conduct a pre-test inspection and oversee all testing activities. Prior to testing, visually inspect all ESS components and correct workmanship and neatness deficiencies as needed. During the pre-test inspection, verify the accuracy of redline drawings and update drawings as needed. Conduct testing in two phases functional testing followed by burn-in testing.

# 3.2.1 Phased Testing

## 3.2.1.1 Functional Testing Phase

During the functional testing phase, verify system performance in accordance with approved Test Plan. Record results in approved Test Logs, and provide a written explanation of each failure to include cause, corrective action, and retest result. Continue functional testing until all tests have been successfully completed with no unresolved failures.

#### 3.2.1.2 Burn-In Testing Phase

Begin burn-in testing after successful completion of all functional testing.During the burn-in testing phase, place the ESS in normal operating mode and evaluate system performance for a continuous 72-hour period. During this time, the ESS must be fully functional and programmed such that all features can be exercised and evaluated through normal use. Record all system anomalies in approved Test Logs. Include a description of each anomaly along with any actions taken in response. Immediately correct minor deficiencies observed during the course of testing and continue with burn-in testing. Determine the root cause of any failures and make necessary repairs or modifications to restore full functionality. After a failure is corrected repeat functional tests for components and features associated with the failure, and repeat the entire burn-in testing phase .

# 3.2.2 Draft Test Report

Prepare and submit a Draft Test Report detailing the results of the testing. Refer to paragraph FINAL TEST REPORT for required content. Include a cover letter signed by the Test Director stating that pre-acceptance testing has been completed and that the system is ready for acceptance testing.

## 3.3 SYSTEM ACCEPTANCE

Test the ESS in accordance with the approved Test Plan in the presence of the Government Representative to certify acceptable performance. Verify that the total system meets all requirements of the specification and complies with the specified standards.

Begin acceptance testing upon arrival of the Government Representative at the project site. Place the ESS in normal operating mode and evaluate system performance during the testing period. Immediately report any deficiencies observed during testing to the Government Representative and discuss possible causes and corrective measures. Obtain Government approval prior to making any adjustments, repairs or modifications. The Government retains the right to terminate testing at any time the ESS is found to be incomplete or fails to perform as specified. Such termination of acceptance testing constitutes a FAILED system acceptance test.

# 3.3.1 Preparation

Nofity the Contracting Officer of system readiness 15 days prior to the expected start date of acceptance testing. Prior to acceptance testing, complete all clean-up and patch work requirements. Ensure that security equipment closets and similar areas are free of accumulation of waste materials or rubbish caused by prior installation work.

# 3.3.2 Personnel

Ensure that the following personnel are on site to perform test activities: Test Director, Operator, Technician, and Test Intruder. Ensure that the Quality Control Manager is on site during acceptance testing.

## 3.3.3 Visual Inspection

Assist the Government Representative in conducting a visual inspection of ESS equipment and wiring. This inspection will focus on the general neatness and quality of workmanship and compliance with applicable codes and manufacturers' recommended installation methods. Provide a comprehensive listing of installed equipment and software along with a complete set of ESS red line drawings to be used during the inspection. Document deficiencies identified during the inspection.

# 3.3.4 Functional Testing

Comply with requests from the Government Representative to repeat functional tests performed previously during pre-acceptance testing. The

Government reserves the right to request the Contractor to repeat all functional tests or a representative sampling thereof as a means of performance verification. Add all test results to approved Test Logs.

## 3.3.5 System Activity Reports

Retrieve archived data from the system and provide activity reports as requested by the Government Representative. Reports may address any type of activity to include alarms, portal transactions, and video archives. Assist with analyzing reports to identify trends and anomalies.

# 3.3.6 Corrective Actions

Correct any deficiencies in coordination with the Government Representative. Maintain a punch list and review status at the end of each day. Work diligently to complete corrective actions the same day that deficiencies are observed. Add deficiencies not corrected on the same day to the rework items list maintained by the Quality Control Manager. Failure to resolve punch list items to the satisfaction of the Government constitutes a FAILED system acceptance test.

## 3.4 FINAL TEST REPORT

Submit a Final Test Report following the successful completion of acceptance testing to include resolution of all punch list items. Address the following topics in the Final Test Report:

# 3.4.1 Summary

Provide a chronological summary of all testing. Describe test activities and results in narrative form.

# 3.4.2 Personnel

Provide a list of all Contractor and Government personnel who participated in the testing.

## 3.4.3 Test Logs

Provide all completed test logs along with a test log verification signed by the Test Director.

-- End of Section --

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# SECTION 28 10 05

# ELECTRONIC SECURITY SYSTEMS (ESS) 05/16

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ASC/X9 X9.52	(1998) Triple Data Encryption Algorithm
	Modes of Operation

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M	(2017) Standard Specification for Zinc
	(Hot-Dip Galvanized) Coatings on Iron and
	Steel Products

ASTM B32 (2008; R 2014) Standard Specification for Solder Metal

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

## INTELLIGENCE COMMUNITY STANDARD (ICS)

ICS 705-1 (2010) Physical and Technical Security Standard for Sensitive Compartmented Information Facilities

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ANSI ISO/IEC 7816	(R 2009) Identification Cards - Integrated
	Circuit Cards

#### NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V

West Point, NY Lincoln Hall Revised RTA Submissi	.on Contract #W912DS19C0031 1 March 2023	
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures	
NATIONAL FIRE PROTECTIO	ON ASSOCIATION (NFPA)	
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code	
NATIONAL INSTITUTE OF S	STANDARDS AND TECHNOLOGY (NIST)	
NIST FIPS 140-2	(2001) Security Requirements for Cryptographic Modules	
NIST FIPS 201-2	(2013) Personal Identity Verification (PIV) of Federal Employees and Contractors	
TELECOMMUNICATIONS INDU	JSTRY ASSOCIATION (TIA)	
TIA-568-C.2	(2009; Errata 2010; Add 2 2014; Add 1 2016) Balanced Twisted-Pair Telecommunications Cabling and Components Standards	
TIA-606	(2017c) Administration Standard for the Telecommunications Infrastructure	
U.S. DEPARTMENT OF DEFENSE (DOD)		
DODI 8500.01	(2014) Cybersecurity	
U.S. NATIONAL ARCHIVES	AND RECORDS ADMINISTRATION (NARA)	
47 CFR 15	Radio Frequency Devices	
UNDERWRITERS LABORATOR	IES (UL)	
UL 1037	(2016; Reprint Sep 2017) UL Standard for Safety Antitheft Alarms and Devices	
UL 1076	(2018) UL Standard for Safety Proprietary Burglar Alarm Units and Systems	
UL 1610	(2016) UL Standard for Safety Central-Station Burglar-Alarm Units	
UL 294	(2018) Access Control System Units	
UL 437	(2013; Reprint Oct 2017) UL Standard for Safety Key Locks	
UL 681	(2014) Installation and Classification of Burglar and Holdup Alarm Systems	
UL 796	(2016) UL Standard for Safety Printed-Wiring Boards	
UL 969	(2017; Reprint Mar 2018) UL Standard for	

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Safety Marking and Labeling Systems

# 1.2 SUBMITTALS

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SD-02 Shop Drawings

ESS Components; G RO

Overall System Schematic; G RO

SD-03 Product Data

Access Control Unit; G RO

Access Control Devices; G RO

Communications Interface Devices; G RO

Uninterruptible Power Supply (UPS); G RO

Component Enclosure; G RO

Equipment Rack; G RO

SD-05 Design Data

Backup Battery Capacity Calculations; G RO

Access Control Throughput Rates; G RO

SD-07 Certificates

Contractor Qualifications; G RO

Instructor Qualifications; G RO

Data Encryption; G RO

SD-10 Operation and Maintenance Data

Training Plan; G RO

Training Content; G RO

ESS Components and ESS Software: Data Package 4; G RO

ESS Software and ESS Components: Data Package 4; G RO

Submit data package in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA

SD-11 Closeout Submittals

As-Built Drawings; G RO

- 1.3 QUALITY ASSURANCE
- 1.3.1 Regulatory Requirements

The advisory provisions in each of the publications referred to in this specification are mandatory. Interpret these publications as though the word "must" has been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer.

Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.3.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening, and have been utilized in applications of equipment and materials under similar circumstances and of similar size.
- b. Have been available on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer.
- d. Provide commercial off-the-shelf (COTS) products in which the manufacturer allows a network of qualified distributors to sell, install, integrate, maintain, and repair the hardware and software products that make up the system.

# 1.3.2.1 Alternative Qualifications

Products having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.3.2.2 Material and Equipment Manufacturing Date

Products manufactured more than one year prior to date of delivery to the site are not acceptable.

## 1.3.2.3 Product Safety

System components are to conform to applicable rules and requirements of NFPA 70. Equip system components with instruction stickers including warnings and cautions describing physical safety, and special or important procedures to be followed in operating and servicing system equipment.

## 1.3.3 Shop Drawings

# 1.3.3.1 ESS Components

Submit the ESS Components, Data Package 4 with the ESS Software submittal package in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.Submit drawings that clearly and completely indicate each ESS component function that includes:

- a. Termination device points
- b. Interconnections required for system operation
- c. Interconnections between modules and devices
- d. Proposed wireway or conduit systems to be used including:
  - (1) Locations
  - (2) Sizes
  - (3) Types
- e. Drawings showing:
  - (1) Device locations and spacing
  - (2) Mounting and positioning details
  - (3) Riser Diagrams with cable sizes and types
  - (4) Bill of Materials (Device make, model and quantities)
  - (5) Alarm and access control zones
  - (6) CCTV and sensor coverage areas
  - (7) Spare capacity
- 1.3.3.2 Overall System Schematic

Indicate the relationship of integrated components on one-line diagram and show:

- a. Power source
- b. System controls
- c. Impedance matches
- d. Interconnecting wire data including:
  - (1) Number
  - (2) Size
  - (3) Identification

(4) Maximum lengths

- 1.3.4 Evidence of Experience and Qualifications
- 1.3.4.1 Contractor Qualifications

Submit experience and certified qualifications data prior to installation. Show that specific installers who will perform the work have a minimum of 2 years of experience successfully installing ESS of the same type and similar design as specified. Include the names, locations, and points of contact of at least two installations of similar type and design as specified in this document where the installer has installed such systems. Indicate the type of each system installed. Certify that each system has performed satisfactorily in the manner intended for a period of at least 12 months.

1.3.4.2 Instructor Qualifications

Submit the instructor's experience and certified qualifications data prior to installation. Show that the instructor has received a minimum of 24 hours of ESS training from a technical organization such as the National Burglar and Fire Alarm Association, and 2 years experience in installing the specified ESS type.

- 1.4 Environmental Conditions
- 1.4.1 Interior Conditions

Equipment installed in environmentally protected interior areas must meet performance requirements specified for the following ambient conditions:

1.4.1.1 Temperature

32 to 120 degrees F. Components installed in unheated security protected areas must meet performance requirements for temperatures as low as 0 degrees F  $\,$ 

1.4.1.2 Pressure

Sea level to 15,000 feet above sea level

1.4.1.3 Relative Humidity

5 to 95 percent

1.4.1.4 Fungus

Components must be constructed of nonfungus nutrient materials or be treated to inhibit fungus growth

# 1.4.1.5 Acoustical Noise

Components must be suitable for use in high noise areas above 100 dB, without adversely affecting their performance

1.4.2 Exterior Conditions

Components in enclosures must meet performance requirements when exposed to the following ambient conditions:

1.4.2.1 Temperature

Minus 25 to 140 degrees F

1.4.2.2 Pressure

Sea level to 15,000 feet above sea level

1.4.2.3 Solar Radiation

Six hours of solar radiation per day at dry bulb temperature of 120 degrees F including 4 hours of solar radiation at 104 watts per square foot

1.4.2.4 Rain

2 inches per hour and 5 inches per hour cyclic with wind plus one period of 12 inches per hour

1.4.2.5 Humidity

5 to 95 percent

1.4.2.6 Wind

Continual velocity up to 50 mph with gusts to 66 mph, except that fence sensors must detect intrusions up to 35 mph

1.4.2.7 Acoustical Noise

Components must be suitable for use in high noise areas above 110 dB without adversely affecting their performance. Examples areas include flight lines, runup pads, and generator sites.

- 1.5 SYSTEM CALCULATIONS AND ANALYSIS
- 1.5.1 Backup Battery Capacity Calculations

Submit calculations showing that backup battery capacity exceeds sensor operation, communications supervision, and alarm annunciation power requirements for proposed equipment plus 25 percent spare capcity.

1.6 ESS SOFTWARE, DATA PACKAGE 4

Submit the ESS software, Data Package 4 with the ESS Components submittal package in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Describe the functions of all software in the software manual and include:

- a. All information necessary to enable proper loading, testing, and operation
- b. Terms and functions definitions
- c. Use of system and application software
- d. Procedures for system initialization, start-up and shutdown
- e. Alarm reports

- f. Reports generation
- g. Database format and data entry requirements
- h. Directory of all files
- i. All communication protocol descriptions, including data formats, command characters, and a sample of each type of data transfer
- j. Interface definition
- k. List of software keys
- 1.7 AS-BUILT DRAWINGS

Maintain a separate set of drawings, elementary diagrams, and wiring diagrams of the system to be used for as-built drawings. Keep this set accurately and neatly up-to-date with all changes and additions. This set is not to be used for installation purposes.

Finish the final drawings submitted with the endurance test report in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS for as-built requirements.

# PART 2 PRODUCTS

# 2.1 SYSTEM DESCRIPTION

Provide a complete and integrated electronic security system (ESS) that meet requirements of West Point. ESS must be compatible with the Installation's central monitoring system and monitored within the secure/protected area . Installation's existing central monitoring system is manufactured by Hirsch/Identiv and Hirsch Velocity Software. ESS consisting of the following subsystems and features:

a. Access Control System (ACS)

Include materials not normally furnished by the manufacturer with the ESS equipment as specified in:

c. Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

## 2.2 PERFORMANCE REQUIREMENTS

Integrate the installed and operating subsystems into the overall ESS system to detect intrusion, control access, and perform as an entity, as specified below. Provide electronic equipment that complies with 47 CFR 15 and are suitable for the environment where they will be installed.

## 2.2.1 Growth Capability

Provide capability for modular ESS expansion of inputs, outputs, card readers, and remote control stations with minimal equipment modification. Software must be able to handle design requirements plus 25 percent spare capacity. Growth capability is not to be limited by the provided products.

# 2.2.2 Network Certification

Certify all Platform Information Technology (PIT) in accordance with DODI 8500.01 and the individual service implementation policy.

## 2.2.3 Maintainability

Provide components that can be maintained using commercially available tools and equipment. Arrange and assemble components to be readily accessible to maintenance personnel without compromising system defeat resistance and with no degradation in tamper protection, structural integrity, EMI or RFI attenuation, or line supervision after maintenance when it is performed in accordance with manufacturer's instructions.

## 2.2.4 Availablity

Provide components rated for continuous operation. Provide solid-state electronic components mounted on printed circuit boards, conforming to UL 796. Provide boards that are plug-in, quick-disconnect type. Do not impede maintenance with densely packed circuitry. Provide power-dissipating components with safety margins of not less than 25 percent with respect to dissipation ratings, maximum voltages, and current-carrying capacity. Provide solid-state type or hemetically sealed electromechanical type light duty relays and similar switching devices.

## 2.2.5 Fail-Safe Capability

Provide fail-safe capability in critical elements of the ESS including, but not be limited to, the capability to monitor communication link integrity and to provide self-test. Provide fault annunciation when diminished functional capabilities are detected. Annunciate fail-safe alarms to clearly distinguish from other types of alarms.

#### 2.2.6 Line Supervision

Provide the same geographic resolution for fault isolation at the systems level as provided for intrusion detection. Provide either a static or dynanmic system with active mode for line supervision of communication links of the ESS.

- a. The static system must represent "no-alarm" always by the same signal, which is different than the originally transmitted signal.
- b. The dynamic system must represent "no-alarm" with a signal which continually changes with time.

#### 2.2.7 Power Loss Detection

Detect AC and DC power loss and generate an alarm when a critical component of the system experiences temporary or permanent loss of power. Annunciate the alarm in the Secured Area and the Security Command Center to clearly identify the component experiencing power loss.

#### 2.2.8 Controls and Designations

Provide controls and designations as specified in NEMA ICS 1.

## 2.2.9 Special Test Equipment

Provide all special test equipment, special hardware, software, tools, and programming or initialization equipment needed to start or maintain any part of the system and its components. Special test equipment is defined as any test equipment not normally used in an electronics maintenance facility.

## 2.2.10 Electromagnetic Interference (EMI)

Configure and provide ESS components employing electromagnetic radiation constructed to provide minimal vulnerability to electronic countermeasures.

2.2.11 Electromagnetic Radiation (EMR)

Provide only ESS communication components which are Federal Communications Commission (FCC) licensed and approved. Provide system components which are electromagnetically compatible.

## 2.2.12 Interchangeability

Use off-the-shelf components which are physically, electrically, and functionally interchangeable with equivalent components as complete items. Equivalent, replacement components must not require new or other component modification. Do not use custom designed or one-of-a-kind items. Interchangeable components or modules must not require trial and error matching in order to meet integrated system requirements, system accuracy, or restore complete system functionality.

#### 2.3 ACCESS CONTROL SYSTEM (ACS)

Provide an access control system based upon a modular distributed microprocessor architecture complete with access control cards and ready for operation.

- a. The ACS card credentials are required to be Common Access Cards (CAC), and CAC cards are being provided by the Government. Provide system monitoring and control for the ESS. Provide ACS that meets the communications requirements of UL 1076 and UL 294 and has the capability of controlling up to 16 card readers and keypads per card reader controller, 512 alarm inputs, or 256 relay outputs or any components combination.
- b. System is to grant or deny access or exit based upon:
  - (1) CAC card identification data
  - (2) Input through the access control devices compared to data stored within the system
  - (3) Time of day, day of week, and special day and holiday scheduling with card validation override.
- c. Decision to grant or deny access or exit is to be based upon authorization for such data to be input at a specific location for the current time period.
- d. Provide ACS that supports the configuration and simultaneous monitoring of multiple access control devices when TCP/IP

communication interfaces are used between the ESS and the primary Access Control Unit (ACU). The events of the ACS are to be viewable as separate or as a combined list of all ESS events. Provide overall control of the ACS, alarm monitoring, and photo identification through software control of the ESS.

- e. Access control, photo imaging, and programming data must reside on a single database and instantly accessible to every networked PC workstation connected to the ESS.
- f. Provide both supervised and non-supervised alarm point monitoring.
- g. Provide the capability to arm or disarm alarm points both manually and automatically by time of day, day of week or by operator command and the capability to disarm alarm points based on a valid access event.
- i. Provide programmable 'delay' setting for all alarm points. The alarm points are not to report an ENTRY type alarm until the delay setting has expired and not report a dwell type alarm condition until the alarm has been active for the full delay period.
- j. Provide the capability to place ACU(s) in an off-line mode. In the off-line mode, the ACU(s) must retain a historical summary of all ACU activity transactions, up to the maximum capacity of the ACU memory buffer. Provide the ability for manual operator control of system output relays with the manual functions to energize, de-energize, enable or disable.

#### 2.3.1 ACS Programming

Software must be compatable with existing Hirsch Velocity Software with the following programming by the Government:

# 2.3.1.1 Time Schedules

Provide up to 256 user-definable time schedules. These time schedules are to determine the day(s) and times that access will be granted or a scheduled event is to occur. Any and all of the time schedules are to be available for defining access privileges and scheduled events. Provide ALWAYS and NEVER schedules that cannot be altered or removed from the system. Each user-defined time schedule must have the option of reacting or not reacting to user-defined special days, with the ability to react uniquely to each type of special day.

# 2.3.1.2 Special Days

Provide an unlimited number of user definable special days to be used for configuring exceptions to the normal operating rules, typically for specifying holiday operating rules. Allow for each special day to be assigned to a user-defined type.

#### 2.3.1.3 ACU Daylight Savings Time Adjustment

Provide a software-configurable, user defined adjustment for Daylight Savings Time. The ACU must not need to be connected to a PC workstation in order for the adjustment to occur.

#### 2.3.1.4 Scheduled Events

Any access controlled reader is to be capable of scheduled unlock periods to allow for card-free access. The access controlled reader is to also be capable of requiring one valid access event before beginning a scheduled unlock period.

Any access control point is to be capable of requiring a valid card as well as a PIN code via keypad on a scheduled basis for high security areas. The use of PIN via keypad functions must not reduce the number of card readers or alarm points available in the ACU(s). Any designated alarm input must be able to be scheduled Secured and Accessed. Any relay output must be capable of scheduled ON and OFF periods to allow for automatic input and output system control.

#### 2.3.1.5 Maximum User Capability

Up to 64,000 individual users may be given access cards or codes and have their access controlled and recorded.

# 2.3.1.6 Access Groups

Each system user must be assignable to a maximum of 4 of 256 possible access groups. An access group is defined as one or more people who are allowed access to the same areas at the same days and time periods.

## 2.3.1.7 Active and Expire Dates

Any card or user may be configured with activation and expiration dates. The card can be assigned to any valid access group and will be activated and expired according to the specified dates.

## 2.3.1.8 Maximum Use Settings

Any card or user may be configured with maximum number of uses for that card. The card can be assigned to any valid access group and will be expired according to the specified number of card uses.

# 2.3.1.9 Door Outputs

Provide each access control reader with two dedicated relay outputs. Both relays are to provide Normally Open and Normally Closed contacts. Use the first relay for electric lock control while the second is software configurable to activate for door forced open, door left open too long, duress, passback violations, invalid access attempts and valid unlock conditions. Allow for both relays to be separately programmable for energize times from 1 second to 10 minutes. The second relay must allow a delay time to be specified, causing its activation to be delayed after an activating condition occurs.

# 2.3.1.10 User List or Who's In (Muster Reports)

Provide the capability to generate dynamic lists of users in certain access-controlled areas, based either upon selected users or selected areas. The lists must have the option of automatically refreshing after a user-selected interval of time.

#### 2.3.1.11 Crisis Mode

Provide support for a "crisis mode", in which user-selected alarm point activations cause changes to user access privileges. The changes to user access privileges must be configurable to restrict normal access to no access or limited access.

## 2.3.1.12 Door Groups

Allow up to 256 door groups to be configured. Doors belonging to the same group are be able to be locked, unlocked, disabled, and enabled on command from the ACS.

## 2.3.1.13 Reader Disable

Provide support for disabling readers in reaction to a user-selected number of invalid access attempts.

#### 2.3.1.14 Disable Event Messages

Allow users to disable user-selected event messages (Door Forced Open, Door Open Too Long, Door Closed, Request to Exit) for user-selected doors. Allow users to disable certain messages (Door Forced Open, Door Open Too Long) according to a user-selected schedule.

#### 2.3.1.15 Input and Output Groups

Allow for up to 256 user-defined (input and output) groups to be defined. Each Input device is to be able to be linked to these groups for arming, disarming, shunting and unshunting as well as output control.

### 2.3.1.16 Delays

Each alarm device must allow a delay to be specified which is either an entry type or a dwell type. An entry-type delay is to prevent the input from issuing an alarm event until the delay elapses. If unarmed during the delay period, the alarm is to be ignored. A dwell-type delay requires the input to remain in the alarm state for the full delay duration before issuing an alarm.

### 2.3.1.17 Remote Input Control

Provide the operator the capability of manually controlling any alarm, input point, alarm partition or group by issuing a simple command from the SCC on the ACS allowing the ability to shunt, unshunt, disable and restore any input in this manner. This activity must cause an entry to be logged displaying the input name and time that it was performed. The arm and disarm, shunt and unshunt any alarm partition or group from the SCC must not be permissible in ICS 705-1 applications.

#### 2.3.1.18 Output Configuration

Allow each output relay to be software configurable as:

- (1) Follows
- (2) Latch
- (3) Timeout

- (4) Scheduled
- (5) Timeout Re-triggerable
- (6) Limit
- (7) Counter

Allow for a time schedule to automatically control the activiation and de-activation of the Scheduled type with all other types configured to activate based on input and output group conditions. Additionally, a time schedule must be specified to configure when the output is to actively monitor the input and output groups.

## 2.3.1.19 Remote Output Control

Provide the operator the capability of manually controlling any output point by issuing a simple command from the SCC. Based upon the output type, provide the ESS operator the ability to ENABLE, DISABLE, turn ON and turn OFF any output in this manner. A FOLLOWS type output must not be capable of being turned OFF or ON. Log an entry when this activity is performed displaying the output name and time performed. Manual control of outputs are not permissible in ICS 705-1 applications.

#### 2.3.1.20 Remote Reset Command

Provide the capability for any ACU to reset manually or by command issued from the ACS with the option of simulating the ACU reset settings, or forcing a reset type as specified by the user. The remote reset command is not to cause the ACU to degrade its level of protection to any access points defined.

# 2.3.1.21 Time Zone

Allow the user to select the time zone in which the ACU is located, so that event times displayed for that ACU will match the local time where the ACU is located.

## 2.3.1.22 User-Selected LED Behavior

Allow the user to select different behaviors for the LEDs of each access controlled reader.

# 2.3.1.23 Traced Cards

Provide the capability of selecting any number of cardholders for the purpose of limiting reports to only traced users displaying all traced cardholder events in a user-selected alternate color.

# 2.3.2 Error and Throughput Rates

Rates must be portal to portal performance averages obtained when processing individuals one at a time. Features are not to reduce capability to meet throughput requirements when serial verification techniques or multiple attempts are required to satisfy error performance requirements.

A Type I error denies access to an authorized enrolled individual. A Type

II error grants access to an unauthorized individual. Subsystem Type I and Type II error rates must both be less than 0.1 percent. At the error rates, subsystem access throughput rate must be minimum of 12 individuals per minute through one card reader and keypad access control device.

## 2.3.3 Access Control System Central Processing

- a. Provide serial management and control of system processing. Provide a microprocessor control device able to monitor and control units and up to 32 card reader and keypad access control devices. Central processor must interrogate and receive responses from each ACU within 100 milliseconds. Failure to respond to an interrogation is to cause an alarm.
- b. Provide the central processor with a USB interface port to communicate with the printer. Provide an operator interface to control system operating functions. Provide the central processor with a facility-tailorable data base for a minimum of 1000 cardholders with by-name alphanumeric printout, and for automated subsystem monitoring, management, and control functions.
- c. Provide enrollment equipment as required in paragraph ENROLLMENT CENTER EQUIPMENT.
- d. Provide system configuration controls and electronic diagnostic aids for subsystem setup and troubleshooting with the central processor. Components are not to be accessible to operations personnel and must be tamper alarmed.
- 2.3.4 Access Control Unit(ACU)

UL 294. Provide micro-processor based ACU with all access and input and output decisions to be made by the individual ACU(s). Provide modular solution which will allow for present security requirements and the capability to expand. Configure all field ACU panels to intercommunicate via RS-422/485. Equip all field ACU(s) with a tamper contact.

Designate one ACU as "Primary", responsible for all ACS-to-ACU communications. All other ACU(s) up to a maximum of 256 are to be designated as "Secondary" and communicate with the "Primary" via an , TCP/IP network or fiber-optic configuration. Provide ACU capable of, but not limited to, the following:

- a. Built-in surge suppression circuitry on plug-in modular circuit boards with surge suppression, configured as an integral component of the system and self-sacrificing in the event of extreme surges or spikes.
- b. Capable of supporting at least 2 ports and be expandable in increments of two ports up to a maximum of 16 ports per ACU.
- c. Each port configured by ACS to support any one of the following peripheral devices:
  - (1) Card reader
  - (2) Alarm Monitoring Module
  - (3) Output Relay Module

- (4) Elevator Reader
- (5) Elevator Output Module

Any device combination can be supported on each ACU, up to a total of 16 devices per ACU.

- d. Capability of supporting multiple card reader technologies simultaneously, including:
  - (1) Keypad
  - (2) Card and Keypad
  - (3) CAC compatible

This capability must be an integral part of the ACU and will not require special external equipment.

- e. Built-in battery back-up of programmed information sustainable for a period of at least 90 days.
- f. Powered by a 24 VDC power source rated at a minimum of 2 amperes with a battery back-up for complete system operation in the event of power failure. Provide battery backup for all ACU(s) to sufficiently power the ACU for 8 hours continuous service.
- g. Electric strikes, other locking devices and ancillary peripherals on a separate power supply with battery back-up for continued operation in the event of power failure as specified in paragraph "Backup Power".
- h. A minimum of a 10,300 event log buffer per ACU to record and hold access and alarm activity information until the ACS is connected and receives the information. Provide a software-configurable warning log buffer filling notification for ACU(s) configured with network switch capabilities.
- 2.3.5 Access Control Devices

UL 294. The card, card reader, and panels must meet encryption requirements that are specified in paragraph DATA ENCRYPTION. Devices are to be tamper alarmed, tamper and vandal resistant, and solid state, containing no electronics which could compromise the access control subsystem should the subsystem be attacked.

# 2.3.5.1 Card Readers

Provide surface, semiflush, , or weatherproof mountable card readers as indicated for each individual location. Provide contactless type card readers capable of reading CAC cards type of access control cards.

Keypads must contain an alphanumeric and special symbols keyboard with symbols arranged in ascending ASCII code ordinal sequence. Provide keypad integrated into the card reader.

2.3.5.1.1 Contactless Card Readers

Provide contactless card readers that can read credential CAC cards whose characteristics of size and technology meet those defined by

ANSI ISO/IEC 7816 in close proximity to the card reader and are in compliance with NIST FIPS 201-2.

Provide readers with "flash" download capability to accommodate card format changes and the capability of reading the card data and transmitting the data, or a portion thereof, to the ESS control panel.

2.3.5.1.2 Card Reader Display

Provide card readers with an LED or other visual indicator display which indicate power ON and OFF and whether user passage requests have been accepted or rejected.

2.3.5.1.3 Card Reader Response Time

The card reader is to respond to passage requests by generating a signal to the local processor.

2.3.5.1.4 Card Reader Power

Power the card reader from the source as shown on the drawings. The card reader must not dissipate more than 5 Watts.

2.3.5.1.5 Card Reader Mounting Method

Provide card readers suitable for surface, semi-flush, , or weatherproof mounting as required.

2.3.5.2 Card Readers with Integral Keypad

Equip contact and contactless card readers with integral keypads as specified in paragraph "Keypads".

2.3.5.3 Access Control Cards

Provide cards with the capability of modification and lamination during enrollment process without readability reduction for use as a picture and identification badge. Cards must contain binary coded data arranged in a scrambled pattern as a unique identification code stored on or within the card and of the type readable by the subsystem card readers. Include a non-duplicated unique facility access control subsystem identification code common to access control cards within the card binary data.

2.3.5.3.1 Warranty

Include a minimum 3-year warranty.

2.3.5.4 Portal Control Devices

See Section 08 71 00 DOOR HARDWARE for door hardware requirements.

- 2.3.5.4.1 Push-Button Switches
  - a. Provide momentary contact, back lit push buttons and stainless steel switch enclosures for each push button. Provide switch enclosures suitable for flush mounting as required and push buttons suitable for flush mount in the switch enclosures. The push button switches are to meet the requirements of NEMA 250 for the area in which they are to be installed.

- b. Where multiple pushbuttons are housed within a single switch enclosure stack vertically with each push button switch labeled with 1/4 inch high text and symbols. The push button switches are to be connected to the local processor associated with the portal to which they are applied and operate the appropriate electric strike, electric bolt or other facility release device.
- c. The continuous current of the IDS circuit is to be no more than 50% of the continuous current rating of the device supplied. Provide push button switches with double-break silver contacts that will make 720 VA at 60 amperes and break 720 VA at 10 amperes.
- 2.3.5.4.2 Panic Bar

See door hardware Section 08 71 00 DOOR HARDWARE.

2.3.5.4.3 Electrified Mortise Lock

See door hardware Section 08 71 00 DOOR HARDWARE.

2.3.5.4.4 Electromagnetic Lock

See door hardware Section 08 71 00 DOOR HARDWARE.

2.4 CLOSED-CIRCUIT TELEVISION (CCTV) SYSTEM

CCTV cameras shall be Government Furnished and Government Installed (GFGI).

2.5 SECURITY COMMAND CENTER (SCC)

The SCC is existing on base and must integrate all subsystems and communications, and provide operator control interface to the ESS system within the building ll.

- 2.6 COMMUNICATIONS
  - a. Communications are to link together subsystems of the ESS and be in accordance with Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM. Interfaces between subsystems cannot be accomplished by use of an electro-mechanical relay assembly. Communications links must be supervised. Provide common communications interface devices throughout the ESS. Provide dry contact sensor to control unit interface that is normally OPEN or normally CLOSED, except as specified otherwise.
  - b. Use digital, asynchronous, or multiplexed data control unit for central alarm reporting and display processor interface. Group individual data bits into word format and transmit as coded messages. Implement interface with network switches which function as a communications controller, perform data acquisition and distribution, buffering message handling, error checking, and signal regeneration as required to maintain communications.
  - c. Provide totally automatic status changes communication, commands, field initiated interrupts, and any other communications required for proper system operation. Do not require system communication operator initiation or response. System communication is to return to normal after any partial or total network interruption including power loss

or transient upset. Automatically annunciate communication failures to the operator with communication link identification that has experienced a partial or total failure.

2.6.1 Link Supervision

2.6.1.1 Hardwire Direct Current Line Supervision

Provide only for the sensor to control unit links which are within the ESS protected area. Supervise circuits by monitoring changes in the current that flows through the detection circuit and a terminating resistor of at least 2.2 K ohms. Supervision circuitry is to initiate an alarm in response to opening, closing, shorting, or grounding of conductors by employing Class C standard line security. Class C circuit supervisor units are to provide an alarm response in the annunciator in not more than one second as a result of the following changes in normal transmission line current:

- a. Five percent or more in normal line signal when it consists of direct current from 0.5 through 30 milliamperes.
- b. Ten percent or more in normal line signal when it consists of direct current from 10 microamperes to 0.5 milliamperes.
- c. Five percent or more of an element or elements of a complex signal upon which security integrity of the system is dependent. This tolerance will be applied for frequencies up to 100 Hz.
- d. Fifteen percent or more of an element or elements of a complex signal upon which the security integrity of the system is dependent. This tolerance will be applicable for all frequencies above 100 Hz.

## 2.6.1.2 Hardwire Alternating Current Supervision

Supervision is not to be capable of compromise by use of resistance, voltage, or current substitution techniques. Use this method on circuits which employ a tone modulated frequency-shift keying (FSK), interrogate-and-reply communications method. Supervisory circuit are to be immune to transmission line noise, crosstalk, and transients. Terminate detection circuit by complex impedance. Maintain line supervision by monitoring current amplitude and phase. Size complex impedance so that current leads or lags the driving voltage by 45 plus or minus 5 degrees.

Alarm when rms current changes by more than 5 percent, or phase changes by more than 5 degrees for supervision current of 0.5 to 30 milliamperes rms. Alarm when rms current changes by more than 10 percent, or phase changes by more than 8 degrees for lines with supervision currents of 0.01 to 0.5 milliamperes. Identified line supervision alarm must be communicated within one second of the alarm.

# 2.6.1.3 Hardwire Digital Supervision

Local processors are to exchange digital data to indicate secure or alarm at least every 2 seconds. Alarm if data is missed for more than one second for passive supervisory circuits. Coding used for data cannot be decipherable by merely viewing data on an oscilloscope. Supervisory circuits are to asynchronously transmit bursts of digital data for transponder schemes. Data pattern is to be random in nature. Remote

detectors are to receive data and encode a response based on a proprietary coding scheme.

Provide a unique encoding scheme; an industry-wide or vendor standard is not acceptable. Transmit encoded response back to supervisory circuit. Supervisory circuit is to compare the response to an anticipated response. Alarm on failure of the detector to return a data burst or return an incorrect response.

## 2.6.2 Hardwire

# 2.6.2.1 Electrical Conductor Lines

- a. Use electrical conductor lines for hardwire that rely on current path except for electrical wires; neutral conductors of electrical distribution systems cannot be used as signal transmitters.
- b. Conductors outside the protected area are to be installed in rigid galvanized steel conduit as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Supervision circuitry is not to initiate nuisance alarms in response to normal line noise, transients, crosstalk, or in response to normal parametric changes in the line over a temperature range of minus 30 to 125 degrees F.
- c. Ambient current levels chosen for line supervision must be sufficient to detect tampering and be within the normal operating range of electrical components. Report line supervision and tamper alarms regardless of mode of operation.
- d. Provide hardwire links as specified in UL 1076 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM for interior applications with additions and modifications specified. Conductors are to be copper. Conductors for links which also carry AC voltage, are to be No. 12 AWG minimum; single conductors for low-voltage DC links are to be No. 16 AWG minimum. Conductors are to be color coded. Conceal wiring in finished areas of new construction and wherever practical in existing construction if not otherwise precluded by the Government.
- e. Identify conductors within each enclosure where a tap, splice, or termination is made. Identify conductors by plastic-coated, self-sticking, printed markers or by heat-shrink type sleeves. Connect sensors, control units, and communication devices so that removal will cause a tamper alarm to sound. Pigtail or "T" tap connections are not acceptable. Each conductor used for identical functions is to be distinctively color-coded. Each circuit color-coded wire is to remain uniform throughout circuit. Tamper switches meet requirements of paragraph TAMPER SWITCHES.

## 2.6.2.2 Communication Link

a. Provide a dedicated circuit communication link from sensor to control unit. Opening or closing a relay contact will indicate an alarm. Convert analog signals to digital values or a relay closure or opening within 250 feet of the sensing point. Communications from control unit to central alarm reporting and display processor are to operate in a continuous interrogation and response mode, using time-multiplexed digital communications techniques at a data rate of 10.24 kilobaud.

- b. Interrogation and response communications between the control unit and central processor is to be half-duplex and bidirectional on one dual twisted pair cable (one pair for interrogation and one for response), which may have one or more parallel branches. Individual control unit lines are to be at least 22 AWG wire. Connect control wires in parallel to the hardwire link. Communication system is to provide as many as 255 control unit connections.
- c. The communication system must maintain specified performance over a link length of 7500 feet when operating without line repeaters or other signal regenerating or amplifying devices. The communications system must maintain specified performance over a link length of 75,000 feet when operating with signal-regenerating line repeaters.
- d. Control unit to central alarm reporting and display processor communications link is to also be capable of operating over a maximum of two standard voice grade telephone leased or proprietary lines. Link is to be capable of operating half duplex over a Type 3002 data transmission pair and be capable of modular expansion. Telephone lines will be provided by the Government. Coordinate and check out system operation. General characteristics and telephone line service are to be as follows:

Connections	Two- or four-wire
Impedance at 1000 Hz	600 ohms
Transmitting level	0 to 12 dBm
Transmitting level adjustment	3 dB increments
Туре	Data
Direction	Two-way alternate (half duplex)
Maximum speed	10.24 kilobaud
Maximum loss at 1000 Hz	33 dB

### 2.6.3 Data Encryption

Incorporate data encryption equipment on data transmission circuits as shown on the drawings. The algorithm used for encryption must be the of TDES, ASC/X9 X9.52, as a minimum. Data encryption must be in accordance with NIST FIPS 140-2.

## 2.6.4 Network Switch

The small form-factor pluggable (SFP) is to provide full-duplex 1000/100/10-Mbps connectivity between switches over single mode (SM) infrastructures. Provide mounting accessories for a typical rack. Rack requirements as specified in paragraph EQUIPMENT RACK.

# 2.6.4.1 Inside Plant

Provide a network switch for ESS system with 48 SFP Ethernet ports. Allow

dynamic port base security and rapid spanning tree protocol with VLAN assignments for specific users regardless of where the switch is connected. The switch will use AC input voltage nominal of 120 VAC at 60 Hz. The switch is to be less than 2 Rack Units (RU) and Layer 3 capable. The switch is to have the capability of commanding a self-healing ring configuration. 1000Base-LX SFP Fast Ethernet Interface Converter is to be a hot swappable device that plugs into a Gigabit fiber SFP uplink port on the switch. The switch is to be a fully managed power over Ethernet (PoE) to all ports. Provide switch capable of using a Layer 3 (routed) port to connect to a LAN gateway port for Internet and web base access. The Mean Time Between Failure (MTBF) must be greater than 210,000 hours.

2.6.5 Wire and Cable

Provide all wire and cable not indicated as Government-furnished equipment. Wiring must meet NFPA 70 standards and as indicated in the Wire and Cable Data Sheets Attachment at the end of this section.

2.6.6 Digital Data Interconnection Wiring

Interconnecting cables carrying digital data between equipment located at the SCC or at a secondary control and monitoring site is to be optical fiber cable. Interconnecting cables conform to the industry standards in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM 33 82 00 TELECOMMUNICATIONS OUTSIDE PLANT (OSP).

2.6.7 Local Area Network (LAN) Cabling

Cabling must be in accordance with TIA-568-C.2, Category 6.

2.6.8 Cable Construction

Provide all cable components that will withstand the environment in which the cable is installed for a minimum of 20 years.

- 2.7 BACKUP POWER
  - a. Intrusion alarms are not to be generated as a result of power switching; however, Provide a power switching indication and on-line source at the alarm monitor.
  - b. The system is to automatically switch back to the primary source upon primary power restoration. Detect and report failure of an on-line battery as a fault condition. Power products must be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.
  - c. Provide backup power to the primary power by uninterruptible power supply (UPS).
- 2.7.1 Uninterruptible Power Supply (UPS)

Backup power required for uninterrupted ESS operation is to be provided by a UPS.

The UPS is to consist of a rectifier, battery and support racks, a static inverter, static switch transfer, and a manual bypass switch. Provide UPS with a continuous output to supply the maximum load requirements of the ESS. Size the battery to sustain the UPS at full rated load for 8 hours.

## 2.8 SURGE SUPPRESSION DEVICES

Comply with requirements in Section 33 82 00 TELECOMMUNICATION OUTSIDE PLANT (OSP).

#### 2.9 COMPONENT ENCLOSURE

Alarm enclosures with a tamper switch(es). Refer to paragraph "Tamper Switch". Enclosures is to be formed and assembled to be sturdy and rigid. These include:

- a. Consoles
- b. Annunciator housings
- c. Power supply enclosures
- d. Sensor control and terminal cabinets
- e. Control units
- f. Wiring gutters
- g. Other component housings
- 2.9.1 Interior Enclosures

Enclosures to house equipment in an interior environment must meet the requirements of NEMA 250 Type 1.

2.9.2 Exposed-to-Weather Enclosures

Enclosures to house equipment in an outdoor environment must meet the requirements of NEMA 250 Type 4X.

2.9.3 Corrosion-Resistant Enclosures

Enclosures to house equipment in a corrosive environment must meet the requirements of NEMA 250 Type 4X.

2.9.4 Metal Thickness

Thicknesses of metal in cast and sheet metal enclosures of all types must be not less than those listed in Tables 8.1, 8.2, and 8.3 of UL 1610 for alarm components, and NEMA ICS 2 and NEMA ICS 6 for other enclosures. Sheet steel used in enclosure fabrication is to be at least 16 gage; consoles are to be at least 18 gage.

## 2.9.5 Doors and Covers

- a. Doors and covers are to be flanged. Provide tight pin hinges or the ends of hinge pins are to be tack welded to prevent ready removal where doors are mounted on hinges with exposed pins.
- b. Provide doors having a latch edge length of less than 24 inches with a single lock. Provide the door with a three-point latching device with lock where latch edge of a hinged door is 24 inches or more in length; or alternatively with two locks, one located near each end.

c. The covers of provided junction boxes to facilitate initial system installation are to be held in place by tack welding, brazing, or one-way screws.

#### 2.9.6 Ventilation

Ventilation openings in enclosures and cabinets must conform to requirements of UL 1610.

## 2.9.7 Mounting

Sheet metal enclosures are to be rated for wall mounting with top hole slotted, unless otherwise indicated. Mounting holes are to be in positions which remain accessible when major operating components are in place and door is open, and be inaccessible when door is closed.

#### 2.9.8 Labels

Label boxes containing connections that they contain ESS connections and indicate that the box is part of the ESS system.

## 2.9.9 Test Points

Provide readily visibile and accessibile with minimum disassembly of equipment to test points, controls, and other adjustments inside enclosures. Test points and other maintenance controls must be readily accessible to operator personnel.

#### 2.10 EQUIPMENT RACK

Equipment rack must be in accordance with Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

## 2.10.1 Labels

Provide a labeling system for cabling as required by TIA-606 and UL 969. Provide stenciled lettering for voice and data circuits using thermal ink transfer process.

## 2.11 LOCKS AND KEY LOCK

#### 2.11.1 Lock

Provide locks on system enclosures for maintenance purposes that meet UL 437 and are conventional key type lock having a five cylinder pin and five-point three position side bar combination. Keys must be stamped "U.S. GOVT. DO NOT DUP.". Keys are only to be withdrawn when in the locked position. Key all maintenance locks alike and furnish only two keys for all of these locks.

## 2.11.2 Key-Lock Operated Switches

All key-lock-operated switches required to be installed on system components are to be UL 437, conventional key type lock having a five cylinder pin and five-point three position side bar combination. Keys must be stamped "U.S. GOVT. DO NOT DUP.". Key-lock-operated switches are to have two positions, with the key removable in either position. Key all key-lock-operated switches differently and furnish only two keys for each key-lock-operated-switch.

#### 2.11.3 Construction Locks

Use a set of temporary locks during installation and construction. Do not include any of the temporary locks in the final set of locks installed and delivered to the Government.

# 2.12 FIELD FABRICATED NAMEPLATES

Nameplates must comply with ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings. Each nameplate inscription is to identify the function and, when applicable, the position.

Nameplates are to be melamine plastic, 0.125 inch thick, white with black center core. Surface is to be matte finish. Corners are to be square. Accurately align lettering and engrave into the core. Minimum size of nameplates must be 1 by 2.5 inches. Provide lettering a minimum of 0.25 inch high normal block style. Nameplates are not be required for devices smaller than 1 x 3 inches.

#### 2.12.1 Manufacturer's Nameplate

Each item of equipment is to have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

#### 2.13 FACTORY APPLIED FINISH

Electrical equipment is to have factory-applied painting systems which meetsthe requirements of the NEMA 250 corrosion-resistance test as a minimum.

#### PART 3 EXECUTION

#### 3.1 INSTALLATION

Install the system in accordance with safety and technical standards NFPA 70, UL 681, UL 1037, and UL 1076. Configure components within the system with appropriate service points to pinpoint system trouble in less than 20 minutes.

Install all system components, including any equipment that is furnished by the Government, and appurtenances in accordance with the manufacturer's instructions, IEEE C2 and as shown on the drawings, and furnish all necessary connectors, terminators, interconnections, services, and adjustments required for a complete and operable system.

### 3.1.1 Software Installation

Load software as specified and required for an operational system, including databases and specified programs. Provide original and backup copies on optic discs of all accepted software, including diagnostics, upon successful endurance test completion.

# 3.1.2 Enclosure Penetrations

Enclosures are to be penetrated from the bottom unless shown otherwise.

Penetrations of interior enclosures having transitions of conduit from interior to exterior, and penetrations of exterior enclosures are to be sealed with rubber silicone sealant to preclude the entry of water. Terminate conduit risers in a hot-dipped galvanized metal cable terminator that is filled with a sealant as recommended by the cable manufacturer, and in a manner that does not damage the cable.

# 3.1.3 Cable and Wire Runs

Perform required cable and wire routings per NFPA 70 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM,, and as specified. Terminate conduits including flexible metal and armored cable in the sensor or device enclosure. Fit ends of conduit with insulated bushings. Exposed conductors at ends of conduits external to sensors and devices are not acceptable.

## 3.1.4 Soldering

Soldered electrical connections must use composition Sn60, Type AR or S, for general purposes; use composition Sn62 or Sn63, Type AR or S, for special purposes. Flux must conform to ASTM B32 when Type S solder is used for soldering electrical connections.

#### 3.1.5 Galvanizing

Ferrous metal is to be hot-dip galvanized in accordance with ASTM A123/A123M. Provide screws, bolts, nuts, and other fastenings and supports that are corrosion resistant.

Field welds or brazing on factory galvanized boxes, enclosures, conduits, and so on, are to be coated with a cold galvanized paint containing at least 95 percent zinc by weight.

# 3.1.6 Conduits

Install interior conduits in accordance with NFPA 70, Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and ICS 705-1.

# 3.1.7 Field Applied Painting

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting must be as specified in Section 09 90 00 PAINTS AND COATINGS.

## 3.2 ADJUSTMENT, ALIGNMENT, SYNCHRONIZATION, AND CLEANING

- a. Clean each system component of dust, dirt, grease, or oil incurred during and after installation or accrued subsequent to installation from other project activities subsequent to installation.
- b. Prepare for system activation by manufacturer's recommended procedures for adjustment, alignment, or synchronization.
- c. Prepare each component in accordance with appropriate provisions of component installation, operations, and maintenance manuals.
- d. Remove large vegetation that may sway in the wind and touch fencing.
- e. Adjust sensors so that coverage is overlapping and maximized without

mutual interference.

3.3 SYSTEM STARTUP

Do not apply power to the system until after:

- a. Set up system equipment items and communications in accordance with manufacturer's instructions.
- b. Conduct a system visual inspection to ensure that defective equipment items have not been installed and that there are no loose connections.
- c. Test and verify system wiring as correctly connected.
- d. Verify system grounding and transient protection systems as properly installed.
- e. Verify the correct voltage, phasing, and frequency of the system power supplies.

Satisfication of the requirements above does not relieve the contractor of responsibility for incorrect installations, defective equipment items, or collateral damage as result of Contractor work or equipment.

3.4 SUPPLEMENTAL CONTRACTOR QUALITY CONTROL

Provide the services of technical representatives who are familiar with all components and installation procedures of the installed system; and are approved by the Contracting Officer. These representatives are to be present on the job site during the preparatory and initial phases of quality control to provide technical assistance. These representatives are also to be available on an as needed basis to provide assistance with follow-up phases of quality control. These technical representatives are to participate in the system testing and validation and provide certification that their respective system portions meet the contractual requirements.

The above requirements supplement the quality control requirements specified elsewhere in the contract.

3.5 ESS SYSTEM TESTING

All ESS Testing requirements are specified in Section 28 08 10 ELECTRONIC SECURITY SYSTEM ACCEPTANCE TESTING.

## 3.6 ESS TRAINING

Conduct training courses for 10 designated personnel in system maintenance and operation. Coordinate training with the Government. The training is to be oriented to the specific system being installed. Training content is to include training manuals and audio-visual materials. Deliver training manuals for each trainee with 2 additional copies delivered for archiving at the project site. The manuals are to include an agenda, defined objectives for each lesson, and a detailed subject matter description for each lesson.

Furnish audio-visual equipment and other training materials and supplies. Deliver copies of the audio-visual materials to the Government either as a part of the printed training manuals or on the same media as that used

during the training sessions when course portions are presented using audio-visual material.

3.6.1 ESS Training Outline

Submit a training plan for the training phases, including type of training to be provided, outline of training manuals, training course agendas, and a list of reference material, for Government approval.

3.6.2 Typical Training Day

A training day is defined as:

- a. Eight hours of classroom instruction, with
  - (1) Two 15-minute breaks
  - (2) One hour lunch break
- b. Conducted:
  - (1) Monday through Friday
  - (2) During the daytime shift in effect at a Government-provided training facility

For guidance in planning the required instruction, assume that attendees will have a high school education or equivalent, and are familiar with ESS. Approval of the planned training schedule is to be obtained from the Government at least 30 days prior to the training.

- 3.6.3 ESS Administrator Training
  - a. ACS and IDS Administrator Training includes:
    - (1) Two eight-hour on-site training sessions
    - (2) Operating system procedures and configuration
    - (3) Operator functions
    - (4) Database functions and setup
    - (5) Card holder input and deletion procedures
    - (6) Report generation
    - (7) Applications programs (as applicable)
    - (8) Graphics generation and manipulation
    - (9) Items unique to the ACS and IDS interfaces with other systems
    - (10) System backup and restore
  - b. CCTV System Administrator Training includes:
    - (1) One eight-hour session on site

- (2) Training is to include all administrator and operator functions, and items unique to the installed CCTV System, and interfaces with other systems.
- 3.6.4 ESS Operator Training

Coordinate the operator training syllabus with the Government prior to conducting operator training.

- a. ACS and IDS Operator Training includes:
  - (1) Four (one-day) 8 hour on-site training sessions
  - (2) System operating procedures
  - (3) System configuration orientation
  - (4) Alarm acknowledgment
  - (5) Alarm response logging
  - (6) Graphics functionality
  - (7) Items unique to the ACS and IDS interfaces with other systems

3.6.5 Maintenance Personnel Training

The system maintenance course is to be taught at the project site after endurance test completion for a period of five training days. A maximum of five personnel, designated by the Government, will attend the course. The training includes:

- a. Physical layout of each piece of hardware.
- b. Troubleshooting and diagnostics procedures.
- c. Component repair and replacement procedures.
- d. Maintenance procedures and schedules to include system testing after repair.
- e. Calibration procedures. Upon course completion, the students are to be proficient in system maintenance.
- f. Review of site-specific drawing package, device location, communication, topology, and flow.

# 3.6.6 Follow-up Training

- a. Provide two hour training session each month for two months after initial training.
- b. Follow-up training is to begin one month after initial training.
- c. Training is to include testing for system competence.
- 3.7 NAMEPLATE MOUNTING

Provide nameplate number, location, and letter designation as indicated.

Fasten nameplates to the device with a minimum of two sheet-metal screws or rivets.

-- End of Section --

# SECTION 28 31 76

# INTERIOR FIRE ALARM AND MASS NOTIFICATION SYSTEM, ADDRESSABLE \$08/20\$

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ACOUSTICAL SOCIETY OF AMERICA (ASA)

ASA S3.2	(2009; R 2014) Method for Measuring the
	Intelligibility of Speech Over
	Communication Systems (ASA 85)

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A17.1/CSA B44 (2019) Safety Code for Elevators and Escalators

FM GLOBAL (FM)

FM APP GUIDE(updated on-line) Approval Guide<br/>http://www.approvalguide.com/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE C62.41.1 (2002; R 2008) Guide on the Surges Environment in Low-Voltage (1000 V and Less) AC Power Circuits
- IEEE C62.41.2 (2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits

#### NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 4	(2018) Standard for Integrated Fire Protection and Life Safety System Testing
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 72	(2019; TIA 19-1; ERTA 1 2019) National Fire Alarm and Signaling Code
NFPA 90A	(2018) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 170	(2018) Standard for Fire Safety and Emergency Symbols

West Point, NY Lincoln Hall Revised RTA Submissi	on Contract #W912DS19C0031	
U.S. DEPARTMENT OF DEFENSE (DOD)		
UFC 3-601-02	(2010) Operations and Maintenance: Inspection, Testing, and Maintenance of Fire Protection Systems	
UFC 4-010-06	(2016; with Change 1, 2017) Cybersecurity of Facility-Related Control Systems	
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)		
47 CFR 15	Radio Frequency Devices	
47 CFR 90	Private Land Mobile Radio Services	
UNDERWRITERS LABORATORIES (UL)		
UL 228	(2006; Reprint Nov 2008) Door Closers-Holders, With or Without Integral Smoke Detectors	
UL 268	(2016; Reprint Oct 2019) UL Standard for Safety Smoke Detectors for Fire Alarm Systems	
UL 268A	(2008; Reprint Oct 2014) Smoke Detectors for Duct Application	
UL 464	(2016; Reprint Sep 2017) UL Standard for Safety Audible Signaling Devices for Fire Alarm and Signaling Systems, Including Accessories	
UL 497B	(2004; Reprint Dec 2012) Protectors for Data Communication Circuits	
UL 521	(1999; Reprint Dec 2017) UL Standard for Safety Heat Detectors for Fire Protective Signaling Systems	
UL 864	(2014; Reprint May 2020) UL Standard for Safety Control Units and Accessories for Fire Alarm Systems	
UL 1283	(2017) UL Standard for Safety Electromagnetic Interference Filters	
UL 1449	(2014; Reprint Jul 2017) UL Standard for Safety Surge Protective Devices	
UL 1480	(2016; Reprint Sep 2017) UL Standard for Safety Speakers for Fire Alarm and Signaling Systems, Including Accessories	
UL 1638	(2016; Reprint Sep 2017) UL Standard for Safety Visible Signaling Devices for Fire Alarm and Signaling Systems, Including Accessories	

West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 UL 1971 (2002; Reprint Oct 2008) Signaling Devices for the Hearing Impaired UL 2572 (2016; Bul. 2018) UL Standard for Safety

Mass Notification Systems

UL Fire Prot Dir (2012) Fire Protection Equipment Directory

1.2 RELATED SECTIONS

Section 25 05 11.21 Cybersecurity for Facility-Related Control Systems, applies to this section, with the additions and modifications specified herein. In addition, refer to the following sections for related work and coordination:

Section 21 13 13 WET PIPE SPRINKLER SYSTEM, FIRE PROTECTION 14 21 23 ELECTRIC TRACTION PASSENGER ELEVATORS for additional work related to elevators. Section 07 84 00 FIRESTOPPING for additional work related to firestopping.

- 1.3 SUMMARY
- 1.3.1 Scope
  - a. This work includes designing and providing a new, complete, fire alarm and mass notification (MNS) system as described herein and on the contract drawings for the Lincoln Hall Building. Include system wiring, raceways, pull boxes, terminal cabinets, outlet and mounting boxes, control equipment, initiating devices, notification appliances, supervising station fire alarm transmitters/mass notification transceiver, and other accessories and miscellaneous items required for a complete operational system even though each item is not specifically mentioned or described. Provide system complete and ready for operation. Design and installation must comply with UFGS 25 05 11.21, UFC 4-010-06.
  - b. Provide equipment, materials, installation, workmanship, inspection, and testing in strict accordance with NFPA 72, except as modified herein. The system layout on the drawings show the intent of coverage and suggested locations. Final quantity, system layout, and coordination are the responsibility of the Contractor.
  - c. Each remote fire alarm control unit must be powered from a wiring riser specifically for that use or from a local emergency power panel located on the same floor as the remote fire alarm control unit. Where remote fire control units are provided, equipment for notification appliances may be located in the remote fire alarm control units.
  - d. The fire alarm and mass notification system must be independent of the building security, building management, and energy/utility monitoring systems other than for control functions.
- 1.3.2 Qualified Fire Protection Engineer (QFPE)

Services of the QFPE must include:

- a. Reviewing SD-02, SD-03, and SD-05 submittal packages for completeness and compliance with the provisions of this specification. Construction (shop) drawings and calculations must be prepared by, or prepared under the immediate supervision of, the QFPE. The QFPE must affix their professional engineering stamp with signature to the shop drawings, calculations, and material data sheets, indicating approval prior to submitting the shop drawings to the DFPE.
- b. Providing a letter documenting that the SD-02, SD-03, and SD-05 submittal package has been reviewed and noting any outstanding comments.
- c. Performing in-progress construction surveillance prior to installation of ceilings (rough-in inspection).
- d. Witnessing pre-Government and final Government functional performance testing and performing a final installation review.
- e. Signing applicable certificates under SD-07.

# 1.4 DEFINITIONS

Wherever mentioned in this specification or on the drawings, the equipment, devices, and functions must be defined as follows:

#### 1.4.1 Interface Device

An addressable device that interconnects hard wired systems or devices to an analog/addressable system.

1.4.2 Fire Alarm and Mass Notification Control Unit (FMCU)

A master control unit having the features of a fire alarm control unit (FACU) and an autonomous control unit (ACU) where these units are interconnected to function as a combined fire alarm/mass notification system. The FACU and ACU functions may be contained in a single cabinet or in independent, interconnected, and co-located cabinets.

1.4.3 Remote Fire Alarm and Mass Notification Control Unit

A control unit, physically remote from the fire alarm and mass notification control unit, that receives inputs from automatic and manual fire alarm devices; may supply power to detection devices and interface devices; may provide transfer of power to the notification appliances; may provide transfer of condition to relays or devices connected to the control unit; and reports to and receives signals from the fire alarm and mass notification control unit.

1.4.4 Local Operating Console (LOC)

A unit designed to allow emergency responders and/or building occupants to operate the MNS including delivery of recorded messages and/or live voice announcements, initiate visual, textual visual, and audible appliance operation and other relayed functions.

1.4.5 Terminal Cabinet

A steel cabinet with locking, hinge-mounted door where terminal strips are securely mounted inside the cabinet.

1.4.6 Control Module and Relay Module

Terms utilized to describe emergency control function interface devices as defined by NFPA 72.

1.4.7 Designated Fire Protection Engineer (DFPE)

The DoD fire protection engineer that oversees that Area of Responsibility for that project. This is sometimes referred to as the "cognizant" fire protection engineer. Interpret reference to "authority having jurisdiction" and/or AHJ in referenced standards to mean the Designated Fire Protection Engineer (DFPE). The DFPE may be responsible for review of the contractor submittals having a "G" designation, and for witnessing final inspection and testing.

1.4.8 Qualified Fire Protection Engineer (QFPE)

A QFPE is an individual who is a licensed professional engineer (P.E.), who has passed the fire protection engineering written examination administered by the National Council of Examiners for Engineering and Surveying (NCEES) and has relevant fire protection engineering experience.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING.

Shop drawings (SD-02), product data (SD-03) and calculations (SD-05) must be prepared by the fire alarm designer and combined and submitted as one complete package. The QFPE must review the SD-02/SD-03/SD-05 submittal package for completeness and compliance with the Contract provisions prior to submission to the Government. The QFPE must provide a Letter of Confirmation that they have reviewed the submittal package for compliance with the contract provisions. This letter must include their registered professional engineer stamp and signature. Partial submittals and submittals not reviewed by the QFPE will be returned by the Government disapproved without review.

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualified Fire Protection Engineer (QFPE); G, AE Fire alarm system designer; G, AE Supervisor; G, AE Technician; G, AE Installer; G, AE Test Technician; G, AE

Fire Alarm System Site-Specific Software Acknowledgement; G, AE SD-02 Shop Drawings Nameplates; G, AE Instructions; G, AE Wiring Diagrams; G, AE System Layout; G, AE Notification Appliances; G, AE Initiating devices; G, AE Amplifiers; G, AE Battery Power; G, AE Voltage Drop Calculations; G, AE SD-03 Product Data Fire Alarm and Mass Notification Control Unit (FMCU); G, AE Local Operating Console (LOC); G, AE Amplifiers; G, AE Tone Generators; G, AE Digitalized voice generators; G, AE LCD Annunciator; G, AE Manual Stations; G, AE Smoke Detectors; G, AE Duct Smoke Detectors; G, AE Heat Detectors; G Addressable Interface Devices; G, AE Addressable Control Modules; G, AE Notification Appliances; G, AE Batteries; G, AE Battery Chargers; G, AE Supplemental Notification Appliance Circuit Panels; G, AE Auxiliary Power Supply Panels; G, AE

Surge Protective Devices; G, AE

Alarm Wiring; G, AE

Back Boxes and Conduit; G, AE

Ceiling Bridges for Ceiling-Mounted Appliances; G

Terminal Cabinets; G, AE

Automatic Fire Alarm Transmitters (including housing); G

Radio Transmitter and Interface Panels; G, AE

Electromagnetic Door Holders; G

SD-06 Test Reports

Test Procedures; G, AE

SD-07 Certificates

Verification of Compliant Installation; G, AE

Request for Government Final Test; G, AE

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G, AE

Instruction of Government Employees; G, AE

SD-11 Closeout Submittals

As-Built Drawings

Spare Parts

# 1.6 SYSTEM OPERATION

Fire alarm system/mass notification system including textual display sign control panel(s), components requiring power, except for the FMCU(s) power supply, must operate on 24 volts DC unless noted otherwise in this section.

The interior fire alarm and mass notification system must be a complete, supervised, noncoded, analog/addressable fire alarm and mass notification system conforming to NFPA 72, UL 864, and UL 2572. Systems meeting UL 2017 only are not acceptable. The system must be activated into the alarm mode by actuation of an alarm initiating device. The system must remain in the alarm mode until the initiating device is reset and the control unit is reset and restored to normal. The system may be placed in the alarm mode by local microphones, LOC, FMCU, or remotely from authorized locations/users.

1.6.1 Alarm Initiating Devices and Notification Appliances (Visual, Voice, Textual)

a. Connect alarm initiating devices to initiating device circuits (IDC) Class "B", or to signaling line circuits (SLC) Class "A" and installed

in accordance with NFPA 72.

- b. Connect notification appliances to notification appliance circuits (NAC) Class "A.
- 1.6.2 Functions and Operating Features

The system must provide the following functions and operating features:

- a. Power, annunciation, supervision, and control for the system. Addressable systems must be microcomputer (microprocessor or microcontroller) based with a minimum word size of eight bits with sufficient memory to perform as specified.
- b. Visual alarm notification appliances must be synchronized as required by NFPA 72.
- c. Electrical supervision of the primary power (AC) supply, presence of the battery, battery voltage, and placement of system modules within the control unit.
- d. An audible and visual trouble signal to activate upon a single break or open condition, or ground fault. The trouble signal must also operate upon loss of primary power (AC) supply, absence of a battery supply, low battery voltage, or removal of alarm or supervisory control unit modules. After the system returns to normal operating conditions, the trouble signal must again sound until the trouble is acknowledged. A smoke detector in the process of being verified for the actual presence of smoke must not initiate a trouble condition.
- e. A trouble signal silence feature that must silence the audible trouble signal, without affecting the visual indicator.
- f. Program capability via switches in a locked portion of the FMCU to bypass the automatic notification appliance circuits, fire reporting system, air handler shutdown, elevator recall, and door release features. Operation of this programmed action must indicate on the FMCU display as a supervisory or trouble condition.
- g. Alarm functions must override trouble or supervisory functions. Supervisory functions must override trouble functions.
- h. The system must be capable of being programmed from the control unit keyboard. Programmed information must be stored in non-volatile memory.
- i. The system must be capable of operating, supervising, and/or monitoring non-addressable alarm and supervisory devices.
- j. There must be no limit, other than maximum system capacity, as to the number of addressable devices that may be in alarm simultaneously.
- k. Where the fire alarm/mass notification system is responsible for initiating an action in another emergency control device or system, such as HVAC, elevator recall, the addressable fire alarm relay must be located in the vicinity of the emergency control device.
- 1. An alarm signal must automatically initiate the following functions:

- (1) Transmission of an alarm signal to the West Point Fire Reporting System Control Station.
- (2) Visual indication of the device operated on the FMCU and remote annunciator.
- (3) Actuation of alarm notification appliances.
- (4) Recording of the event electronically in the history log of the FMCU.
- (5) Release of doors held open by electromagnetic devices.
- (6) Elevator recall as described in this section.
- (7) Operation of a sprinkler waterflow switch serving an elevator machinery room or elevator shaft must operate shunt trip circuit breaker(s) to shut down power to the elevators in accordance with ASME A17.1/CSA B44.
- m. A supervisory signal must automatically initiate the following functions:
  - (1) Visual indication of the device operated on the FMCU, and on the remote annunciator.
  - (2) Transmission of a supervisory signal to the West Point Fire Reporting Control Station.
  - (3) Operation of a duct smoke detector must shut down the appropriate air handler in accordance with NFPA 90A in addition to other requirements of this paragraph and as allowed by NFPA 72.
  - (4) Recording of the event electronically in the history log of the FMCU.
- n. A trouble condition must automatically initiate the following
  functions:
  - (1) Visual indication of the device operated on the FMCU, and on the remote annunciator.
  - (2) Transmission of a trouble signal to the West Point Fire Reporting Control Station.
  - (3) Recording of the event electronically in the history log of the FMCU.
- o. System control equipment must be programmed to provide a 60-minute to 180-minute delay in transmission of trouble signals resulting from primary power failure.
- p. Activation of a LOC pushbutton must activate the audible and visual alarms in the facility. The audible message must be the one associated with the pushbutton activated.

1.6.3 Elevator Recall

Provide elevator recall in accordance with ASME A17.1/CSA B44, Section 14 2

1 23 ELECTRIC TRACTION PASSENGER ELEVATORS, and as specified herein. Activation of any smoke detector in an elevator shaft, machine room, or lobby (except at designated recall level) must cause all elevators associated with that shaft, machine room, or lobby to return nonstop to the designated level. Activation of a smoke detector in the lobby or machine room at the designated level must cause all elevators associated with that lobby to return nonstop to the assigned alternate level. Activation of a detector in an elevator shaft, machine room, or lobby must also cause illumination of elevator cab warning signal (fire hat) and complete operation of fire alarm system as specified in paragraph titled "Functions and Operating Features".

## 1.7 TECHNICAL DATA AND SITE-SPECIFIC SOFTWARE

Technical data and site-specific software (meaning technical data that relates to computer software) that are specifically identified in this project, and may be required in other specifications, must be delivered, strictly in accordance with the CONTRACT CLAUSES. The fire alarm system manufacturer must submit written confirmation of this contract provision as "Fire Alarm System Site-Specific Software Acknowledgement". Identify data delivered by reference to the specification paragraph against which it is furnished. Data to be submitted must include complete system, equipment, and software descriptions. Descriptions must show how the equipment will operate as a system to meet the performance requirements of this contract. The site-specific software data package must also include the following:

- a. Items identified in NFPA 72, titled "Site-Specific Software".
- b. Identification of programmable portions of the system equipment and capabilities.
- c. Description of system revision and expansion capabilities and methods of implementation detailing both equipment and software requirements.
- d. Provision of operational software data on all modes of programmable portions for fire alarm and mass notification.
- e. Description of Fire Alarm and Mass Notification Control Unit equipment operation.
- f. Description of auxiliary and remote equipment operations.
- g. Library of application software.
- h. Operation and maintenance manuals.
- 1.8 QUALITY ASSURANCE
- 1.8.1 Submittal Documents
- 1.8.1.1 Preconstruction Submittals

Within 36 days of contract award but not less than 14 days prior to commencing any work on site, the Contractor must submit the following for review and approval. SD-02, SD-03 and SD-05 submittals received prior to the review and approval of the qualifications of the fire alarm subcontractor and QFPE must be returned disapproved without review. All resultant delays must be the sole responsibility of the Contractor.

#### 1.8.1.2 Shop Drawings

Shop drawings must not be smaller than the Contract Drawings. Drawings must comply with the requirements of NFPA 72 and NFPA 170. Minimum scale for floor plans must be 1/8"=1'.

#### 1.8.1.3 Nameplates

Nameplate illustrations and data to obtain approval by the Contracting Officer before installation.

# 1.8.1.4 Wiring Diagrams

Three copies of point-to-point wiring diagrams showing the points of connection and terminals used for electrical field connections in the system, including interconnections between the equipment or systems that are supervised or controlled by the system. Diagrams must show connections from field devices to the FMCU and remote FMCU, initiating circuits, switches, relays and terminals, including pathway diagrams between the control unit and shared communications equipment within the protected premises. Point-to-point wiring diagrams must be job specific and must not indicate connections or circuits not being utilized. Provide complete riser diagrams indicating the wiring sequence of all devices and their connections to the control equipment. Include a color-code schedule for the wiring.

#### 1.8.1.5 System Layout

Three copies of plan view drawing showing device locations, terminal cabinet locations, junction boxes, other related equipment, conduit routing, conduit sizes, wire counts, conduit fill calculations, wire color-coding, circuit identification in each conduit, and circuit layouts for all floors. Indicate candela rating of each visual notification appliance. Indicate the wattage of each speaker. Clearly identify the locations of isolation modules. Indicate the addresses of all devices, modules, relays, and similar. Show/identify all acoustically similar spaces. Indicate if the environment for the FMCU is within its environmental listing (e.g. temperature/humidity).

Provide a complete description of the system operation in matrix format similar to the "Typical Input/Output Matrix" included in the Annex of NFPA 72.

#### 1.8.1.6 Notification Appliances

Calculations and supporting data on each circuit to indicate that there is at least 25 percent spare capacity for notification appliances. Annotate data for each circuit on the drawings.

# 1.8.1.7 Initiating Devices

Calculations and supporting data on each circuit to indicate that there is at least 25 percent spare capacity for initiating devices. Annotate data for each circuit on the drawings.

#### 1.8.1.8 Amplifiers

Calculations and supporting data to indicate that amplifiers have sufficient capacity to simultaneously drive all notification speakers at tapped settings plus 25 percent spare capacity. Annotate data for each circuit on the drawings.

## 1.8.1.9 Battery Power

Calculations and supporting data as required in paragraph Battery Power Calculations for alarm, alert, and supervisory power requirements. Calculations including ampere-hour requirements for each system component and each control unit component, and the battery recharging period, must be included on the drawings.

#### 1.8.1.10 Voltage Drop Calculations

Voltage drop calculations for each notification circuit indicating that sufficient voltage is available for proper operation of the system and all components, at a minimum rated voltage of the system operating on batteries. Include the calculations on the system layout drawings.

### 1.8.1.11 Product Data

Three copies of annotated descriptive data to show the specific model, type, and size of each item. Catalog cuts must also indicate the NRTL listing. The data must be highlighted to show model, size, and options that are intended for consideration. Data must be adequate to demonstrate compliance with all contract requirements. Product data for all equipment must be combined into a single submittal.

Provide an equipment list identifying the type, quantity, make, and model number of each piece of equipment to be provided under this submittal. The equipment list must include the type, quantity, make and model of spare equipment. Types and quantities of equipment submitted must coincide with the types and quantities of equipment used in the battery calculations and those shown on the shop drawings.

# 1.8.1.12 Operation and Maintenance (O&M) Instructions

Six copies of the Operation and Maintenance Instructions. The O&M Instructions must be prepared in a single volume or in multiple volumes, with each volume indexed, and may be submitted as a Technical Data Package. Manuals must be approved prior to training. The Interior Fire Alarm And Mass Notification System Operation and Maintenance Instructions must include the following:

- a. "Manufacturer Data Package " as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA.
- b. Operating manual outlining step-by-step procedures required for system startup, operation, and shutdown. The manual must include the manufacturer's name, model number, service manual, parts list, and preliminary equipment list complete with description of equipment and their basic operating features.
- c. Maintenance manual listing routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guide. The manuals must include conduit layout, equipment layout and simplified wiring, and

control diagrams of the system as installed.

- d. Complete procedures for system revision and expansion, detailing both equipment and software requirements.
- e. Software submitted for this project on CD/DVD media utilized.
- f. Printouts of configuration settings for all devices.
- g. Routine maintenance checklist. The routine maintenance checklist must be arranged in a columnar format. The first column must list all installed devices, the second column must state the maintenance activity or state no maintenance required, the third column must state the frequency of the maintenance activity, and the fourth column provided for additional comments or reference. All data (devices, testing frequencies, and similar) must comply with UFC 3-601-02.
- h. A final Equipment List must be submitted with the Operating and Maintenance (O&M) manual.

## 1.8.1.13 As-Built Drawings

The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final Government test of the system. At least one set of the as-built (marked-up) drawings must be provided at the time of, or prior to the final Government test.

#### 1.8.2 Qualifications

1.8.2.1 Fire Alarm System Designer

The fire alarm system designer must be certified as a Level IV (minimum) Technician by National Institute for Certification in Engineering Technologies (NICET) in the Fire Alarm Systems subfield of Fire Protection Engineering Technology or meet the qualifications for a QFPE.

## 1.8.2.2 Supervisor

A NICET Level IV fire alarm technician must supervise the installation of the fire alarm/mass notification system. A fire alarm technician with a minimum of eight years of experience must supervise the installation of the fire alarm/mass notification system. The fire alarm technicians supervising the installation of equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

## 1.8.2.3 Technician

Fire alarm technicians with a minimum of four years of experience must be utilized to install and terminate fire alarm/mass notification devices, cabinets and control units. The fire alarm technicians installing the equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

# 1.8.2.4 Installer

Fire alarm installer with a minimum of two years of experience utilized to

assist in the installation of fire alarm/mass notification devices, cabinets and control units NICET Level II technician to assist in the installation of fire alarm/mass notification devices, cabinets and control units. A licensed electrician must be allowed to install wire, cable, conduit and backboxes for the fire alarm system/mass notification system. The fire alarm installer must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

# 1.8.2.5 Test Technician

Fire alarm technicians with a minimum of eight years of experience and NICET Level III utilized in testing and certification of the installation of the fire alarm/mass notification devices, cabinets and control units. The fire alarm technicians testing the equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment installed as part of this project.

# 1.8.2.6 Manufacturer

Components must be of current design and must be in regular and recurrent production at the time of installation. Provide design, materials, and devices for a protected premises fire alarm system, complete, conforming to NFPA 72, except as specified herein.

## 1.8.3 Regulatory Requirements

Equipment and material must be listed or approved. Listed or approved, as used in this Section, means listed, labeled or approved by a Nationally Recognized Testing Laboratory (NRTL) such as UL Fire Prot Dir or FM APP GUIDE. The omission of these terms under the description of any item of equipment described must not be construed as waiving this requirement. All listings or approvals by testing laboratories must be from an existing ANSI or UL published standard. The recommended practices stated in the manufacturer's literature or documentation must be considered as mandatory requirements.

#### 1.9 DELIVERY, STORAGE, AND HANDLING

Protect equipment delivered and placed in storage from the weather, humidity, and temperature variation, dirt and dust, and other contaminants.

## 1.10 MAINTENANCE

#### 1.10.1 Spare Parts

Furnish the following spare parts in the manufacturers original unopened containers:

- a. Five complete sets of system keys.
- b. Two of each type of fuse required by the system.
- c. One manual stations.
- d. Two of each type of detector installed.
- e. Two of each type of detector base and head installed.

- f. One electromagnetic door holders.
- g. One smoke detector manufacturer's test screen, card or magnet for each ten beam smoke detectors, or fraction thereof, installed in the system.
- h. Two of each type of audible and visual alarm device installed.
- i. One textual visual notification appliance.
- j. Two of each type of addressable monitor module installed.
- k. Two of each type of addressable control module installed.
- 1. Two low voltage, one ethernet, and one 120 VAC surge protective device.

## 1.10.2 Special Tools

Software, connecting cables and proprietary equipment, necessary for the maintenance, testing, and reprogramming of the equipment must be furnished to the Contracting Officer, prior to the instruction of Government employees.

#### PART 2 PRODUCTS

#### 2.1 GENERAL PRODUCT REQUIREMENT

All fire alarm and mass notification equipment must be listed for use under the applicable reference standards. Interfacing of UL 864 or similar approved industry listing with Mass Notification equipment listed to UL 2572 must be done in a laboratory listed configuration, if the software programming features cannot provide a listed interface control.

# 2.2 MATERIALS AND EQUIPMENT

#### 2.2.1 Standard Products

Provide materials, equipment, and devices that have been tested by a nationally recognized testing laboratory and listed for fire protection service when so required by NFPA 72 or this specification. Select material from one manufacturer, where possible, and not a combination of manufacturers, for any particular classification of materials. Material and equipment must be the standard products of a manufacturer regularly engaged in the manufacture of the products for at least 2 years prior to bid opening.

#### 2.2.2 Nameplates

Major components of equipment must have the manufacturer's name, address, type or style, model or serial number, catalog number, date of installation, installing Contractor's name and address, and the contract number provided on a new name plate permanently affixed to the item or equipment. Major components include, but are not limited to, the following:

#### a. FMCU

Nameplates must be etched metal or plastic, permanently attached by screws to control units or adjacent walls.

#### 2.2.3 Keys

Keys and locks for equipment, control units and devices must be identical. .

## 2.2.4 Instructions

Provide a typeset printed or typewritten instruction card mounted behind a Lexan plastic or glass cover in a stainless steel or aluminum frame. Install the instructions on the interior of the FMCU. The card must show those steps to be taken by an operator when a signal is received as well as the functional operation of the system under all conditions, normal, alarm, supervisory, and trouble. The instructions must also include procedures for operating live voice microphones. The instructions and their mounting location must be approved by the Contracting Officer before being posted.

#### 2.3 FIRE ALARM AND MASS NOTIFICATION CONTROL UNIT

Provide a complete fire alarm and mass notification control unit (FMCU) fully enclosed in a lockable steel cabinet as specified herein. Operations required for testing or for normal care, maintenance, and use of the system must be performed from the front of the enclosure. If more than a single unit is required at a location to form a complete control unit, the unit cabinets must match exactly. If more than a single unit is required, and is located in the lobby/entrance, notify the Contracting Officer's Designated Representative (COR), prior to installing the equipment. The system must be capable of defining any module as an alarm module and report alarm trouble, loss of polling, or as a supervisory module, and reporting supervisory short, supervisory switches, fire pump monitoring, independent smoke detection systems, relays for output function actuation.

- a. Each control unit must provide power, supervision, control, and logic for the entire system, utilizing solid state, modular components, internally mounted and arranged for easy access. Each control unit must be suitable for operation on a 120 volt, 60 hertz, normal building power supply. Provide each control unit with supervisory functions for power failure, internal component placement, and operation.
- b. Visual indication of alarm, supervisory, or trouble initiation on the FMCU must be by liquid crystal display or similar means with a minimum of 80 characters. The mass notification control unit must have the capability of temporarily deactivate the fire alarm audible notification appliances while delivering voice messages.
- c. Provide secure operator console for initiating recorded messages, strobes and displays; and for delivering live voice messages. Provide capacity for at least eight prerecorded messages. Provide the ability to automatically repeat prerecorded messages. Provide a secure microphone for delivering live messages. Provide adequate discrete outputs to temporarily deactivate fire alarm audible notification, initiate/synchronize strobes and initiate textual visual notification appliances. Provide a complete set of self-diagnostics for controller and appliance network. Provide local diagnostic information display and local diagnostic information and system event log file.

d. Control panel must be a single combined fire alarm and mass notification control panel and fully compatible with the existing Monaco D-21 receiving station. Provide a Monaco MAAP-X control panel to allow full communication (both transmission to and reception from) with the existing Monaco D-21 system.

## 2.3.1 Cabinet

Install control unit components in cabinets large enough to accommodate all components and also to allow ample gutter space for interconnection of control units as well as field wiring. The cabinet must be a sturdy steel housing, complete with back box, hinged steel door with cylinder lock, and semi-recessed mounting provisions. The enclosure must be identified by an engraved phenolic resin nameplate. Lettering on the nameplate must say "Fire Alarm and Mass Notification control unit" and must not be less than 1-inch high. Provide prominent rigid plastic or metal identification plates for lamps, circuits, meters, fuses, and switches.

#### 2.3.2 Silencing Switches

## 2.3.2.1 Alarm Silencing Switch

Provide an alarm silencing switch at the FMCU that must silence the audible and visual notification appliances. Subsequent activation of initiating devices must cause the notification appliances to re-activate.

## 2.3.2.2 Supervisory/Trouble Silencing Switch

Provide supervisory and trouble silencing switch(es) that must silence the audible trouble and supervisory signal(s), but not extinguish the visual indicator. This switch must be overridden upon activation of a subsequent supervisory or trouble condition. Audible trouble indication must resound automatically every 24 hours after the silencing feature has been operated if the supervisory or trouble condition still exists.

#### 2.3.3 Non-Interfering

Power and supervise each circuit such that a signal from one device does not prevent the receipt of signals from any other device. Initiating devices must be manually reset by switch from the FMCU after the initiating device or devices have been restored to normal.

## 2.3.4 Audible Notification System

The Audible Notification System must comply with the requirements of NFPA 72 for Emergency Voice/Alarm Communications System requirements, except as specified herein. The system must be a one-way, multi-channel voice notification system incorporating user selectability of a minimum eight distinct sounds for tone signaling, and the incorporation of a voice module for delivery of recorded messages. Audible appliances must produce a three-pulse temporal pattern for three cycles followed by a voice message that is repeated until the control unit is reset or silenced. Automatic messages must be broadcast through speakers throughout the building/facility but not in stairs or elevator cabs. A live voice message must override the automatic audible output through use of a microphone input at the control unit or the LOC.

a. When using the microphone, live messages must be broadcast throughout a selected floor or floors, or all call. The system must be capable

of operating all speakers at the same time. Activation of the public address microphone must not initiate activation of visual notification appliances or LED text displays.

b. The microprocessor must actively interrogate circuitry, field wiring, and digital coding necessary for the immediate and accurate rebroadcasting of the stored voice data into the appropriate amplifier input. Loss of operating power, supervisory power, or any other malfunction that could render the digitalized voice module inoperative must automatically cause the three-pulse temporal pattern to take over all functions assigned to the failed unit in the event an alarm is activated.

#### 2.3.4.1 Outputs and Operational Modules

All outputs and operational modules must be fully supervised with on-board diagnostics and trouble reporting circuits. Provide form "C" contacts for system alarm and trouble conditions. Provide circuits for operation of auxiliary appliance during trouble conditions. During a Mass Notification event, the control unit must not generate nor cause any trouble alarms to be generated with the Fire Alarm system.

#### 2.3.4.2 Mass Notification

- a. The system must have the capability of utilizing an LOC with redundant controls of the FMCU. Notification Appliance Circuits (NAC) must be provided for the activation of strobe appliances. Audio output must be selectable for line level. A hand-held microphone must be provided and, upon activation, must take priority over any tone signal, recorded message or PA microphone operation in progress, while maintaining the strobe NAC circuit activation.
- b. The Mass Notification functions must override the manual or automatic fire alarm notification. Other fire alarm functions including transmission of a signal(s) to the fire department must remain operational. When a mass notification announcement is disengaged and a fire alarm condition still exists, the audible and visual notification appliances must resume activation for alarm conditions. The fire alarm message must be of lower priority that all other messages (except any "test" messages") and must not override any other messages.
- c. At least 8 pre-recorded messages shall be included in the programming of the Fire Alarm/Mass Notification System. The West Point Fire Marshall shall determine which 8 pre-recorded messages shall be programmed.
  - (1) Fire: "May I have your attention please. May I have your attention please. A fire emergency has been reported in the building. Please leave the building by the nearest exit or exit stairway. Do not use the elevators." (Provide a second pause.) "May I have your attention please, (repeat the tones and message on a continuous loop)."
  - (2) Test: "May I have your attention please. May I have your attention please. This is a test of the building mass notification system. Please continue your normal duties. This is only a test." (Provide a 2 second pause.)

- (3) All Clear: "May I have your attention please. May I have your attention please. An all clear has been issued, resume normal activities." (Provide a 2 second pause.)
- (4) Intruder/Hostile Person: "May I have your attention please. May I have your attention please. An active shooter or hostile person has been reported in the building. Please take cover within the building and await further instructions."
- (5) Take Cover: "May I have your attention please, May I have your attention please. Please take cover in your designated shelter in place location. Remain in place and await further instructions."
- (6) Emergency Weather Conditions: "May I have your attention please. May I have your attention please. A weather emergency has been reported int eh area. Please take cover within the building."
- (7) Bomb Threat: "May I have your attention please. May I have your attention please. A bomb threat has been reported in the building. Please leave the building by the nearest exit."
- d. Auxiliary Input Module must be designed to be an outboard expansion module to either expand the number of optional LOC's, or allow a telephone interface.

#### 2.3.5 Memory

Provide each control unit with non-volatile memory and logic for all functions. The use of long life batteries, capacitors, or other age-dependent devices must not be considered as equal to non-volatile processors, PROMS, or EPROMS.

## 2.3.6 Field Programmability

Provide control units and control units that are fully field programmable for both input and output of control, initiation, notification, supervisory, and trouble functions. The system program configuration must be menu driven. System changes must be password protected. Any proprietary equipment and proprietary software needed by qualified technicians to implement future changes to the fire alarm system must be provided as part of this contract.

## 2.3.7 Input/Output Modifications

The FMCU must contain features that allow the bypassing of input devices from the system or the modification of system outputs. These control features must consist of a control unit mounted keypad. Any bypass or modification to the system must indicate a trouble condition on the FMCU.

#### 2.3.8 Resetting

Provide the necessary controls to prevent the resetting of any alarm, supervisory, or trouble signal while the alarm, supervisory or trouble condition on the system still exists.

# 2.3.9 Walk Test

The FMCU must have a walk test feature. When using this feature, operation of initiating devices must result in limited system outputs, so

that the notification appliances operate for only a few seconds and the event is indicated in the history log, but no other outputs occur.

#### 2.3.10 History Logging

The control unit must have the ability to store a minimum of 400 events in a log. These events must be stored in a battery-protected memory and must remain in the memory until the memory is downloaded or cleared manually. Resetting of the control unit must not clear the memory.

#### 2.3.11 Manual Access

An operator at the control unit, having a proper access level, must have the capability to manually access the following information for each initiating device.

- a. Primary status.
- b. Device type.
- c. Present average value.
- d. Present sensitivity selected.
- e. Detector range (normal, dirty).
- 2.3.12 Heat Detector Self-Test Routines

Automatic self-test routines must be performed on each detector that will functionally check detector sensitivity electronics and ensure the accuracy of the value being transmitted. Any detector that fails this test must indicate a trouble condition with the detector location at the control unit.

2.4 LOCAL OPERATING CONSOLES (LOC)

## 2.4.1 General

The LOC must consist of a remote microphone station incorporating a push-to-talk (PTT) hand-held microphone and system status indicators. The LOC must have the capability of being utilized to activate prerecorded messages. The unit must incorporate microphone override of any tone generation or recorded messages. The unit must be fully supervised from the FMCU. The housing for the LOC must not be lockable.

#### 2.4.2 Multiple LOCs

When an installation has more than one LOC, the LOCs must be programmed to allow only one LOC to be available for paging or messaging at a time. Once one LOC becomes active, all other LOC's will have an indication that the system is busy (Amber Busy Light) and cannot be used at that time. This is to avoid two messages being given at the same time. It must be possible to override or lockout the LOC's from the FMCU.

## 2.5 AMPLIFIERS, PREAMPLIFIERS, TONE GENERATORS

Any amplifiers, preamplifiers, tone generators, digitalized voice generators, and other hardware necessary for a complete, operational, textual audible circuit conforming to NFPA 72 must be housed in a remote

FMCU, terminal cabinet, or in the FMCU. Individual amplifiers must be 100 watts maximum.

## 2.5.1 Operation

The system must automatically operate and control all building speakers except those installed in the stairs and within elevator cabs. The speakers in the stairs and elevator cabs must operate only when the microphone is used to deliver live messages.

### 2.5.2 Construction

Amplifiers must utilize computer grade solid state components and must be provided with output protection devices sufficient to protect the amplifier against any transient up to 10 times the highest rated voltage in the system.

# 2.5.3 Inputs

Equip each system with separate inputs for the tone generator, digitalized voice driver and control unit mounted microphone . Microphone inputs must be of the low impedance, balanced line type. Both microphone and tone generator input must be operational on any amplifier.

## 2.5.4 Tone Generator

The tone generator must produce a three-pulse temporal pattern and must be constantly repeated until interrupted by either the digitalized voice message, the microphone input, or the alarm silence mode as specified. The tone generator must be single channel with an automatic backup generator per channel such that failure of the primary tone generator causes the backup generator to automatically take over the functions of the failed unit and also causes transfer of the common trouble relay. The tone generator must be provided with securely attached labels to identify the component as a tone generator and to identify the specific tone it produces.

# 2.5.5 Protection Circuits

Each amplifier must be constantly supervised for any condition that could render the amplifier inoperable at its maximum output. Failure of any component must cause illumination of a visual "amplifier trouble" indicator on the control unit, appropriate logging of the condition in the history log, and other actions for trouble conditions as specified.

#### 2.6 REMOTE ANNUNCIATOR

#### 2.6.1 LCD Annunciator

Provide a semi-recessed mounted annunciator that includes an LCD display. The display must indicate the device in trouble/alarm or any supervisory device. Display the device name, address, and actual building location. The remote annunciator must duplicate functions of the FMCU for message display, fire alarm, supervisory alarm, and trouble conditions, visual and audible notification, and system reset functions. Remote annunciator must require the use of a key for accessing the reset, control and other functions.

A building floor plan must be provided and mounted (behind Plexiglass or

similar protective material) at the annunciator location. The floor plan must indicate all rooms by name and number including the locations of stairs and elevators. The floor plan must show all devices and their programmed address to facilitate identification of their physical location from the LCD display information.

## 2.7 MANUAL STATIONS

Provide metal or plastic, semi-flush mounted, double-action, addressable manual stations, that are not subject to operation by jarring or vibration. Stations must be equipped with screw terminals for each conductor. Stations that require the replacement of any portion of the device after activation are not permitted. Stations must be finished in red with molded raised lettering operating instructions of contrasting color. The use of a key must be required to reset the station.

#### 2.8 SMOKE DETECTORS

## 2.8.1 Spot Type Detectors

Provide addressable photoelectric smoke detectors as follows:

- a. Provide analog/addressable photoelectric smoke detectors utilizing the photoelectric light scattering principle for operation in accordance with UL 268.
- b. Provide self-restoring type detectors that do not require any readjustment after actuation at the FMCU to restore them to normal operation. The detector must have a visual indicator to show actuation.
- c. Vibration must have no effect on the detector's operation. Protect the detection chamber with a fine mesh metallic screen that prevents the entrance of insects or airborne materials. The screen must not inhibit the movement of smoke particles into the chamber.
- d. Provide twist lock bases with screw terminals for each conductor. The detectors must maintain contact with their bases without the use of springs.
- e. The detector address must identify the particular unit, its location within the system, and its sensitivity setting. Detectors must be of the low voltage type rated for use on a 24 VDC system.

## 2.8.2 Duct Smoke Detectors

Duct-mounted addressable photoelectric smoke detectors must consist of a smoke detector, as specified in paragraph Spot Type Detectors, mounted in a special housing fitted with duct sampling tubes. Detector circuitry must be mounted in a metallic or plastic enclosure exterior to the duct. It is not permitted to cut the duct insulation to install the duct detector directly on the duct. Detectors must be listed for operation over the complete range of air velocities, temperature and humidity expected at the detector when the air-handling system is operating. Detectors must be powered from the FMCU.

a. Sampling tubes must run the full width of the duct. The duct detector package must conform to the requirements of NFPA 90A, UL 268A, and must be listed for use in air-handling systems. The control

functions, operation, reset, and bypass must be controlled from the FMCU.

- b. Lights to indicate the operation and alarm condition must be visible and accessible with the unit installed and the cover in place. Remote indicators must be provided where required by NFPA 72. Remote indicators as well as the affected fan units must be properly identified in etched plastic placards.
- c. Detectors must provide for control of auxiliary contacts that provide control, interlock, and shutdown functions specified in Section 23 09 00 to INSTRUMENTATION AND CONTROL FOR HVAC. Auxiliary contacts provide for this function must be located within 3 feet of the controlled circuit or appliance. The auxiliary contacts must be supplied by the fire alarm system manufacturer to ensure complete system compatibility.

#### 2.9 HEAT DETECTORS

#### 2.9.1 Heat Detectors

Heat detectors must be analog/addressable and designed for detection of fire by fixed temperature in accordance with UL 521. The alarm condition must be determined by comparing detector value with the stored values.. Detectors located in areas subject to moisture, exterior atmospheric conditions, or hazardous locations as defined by NFPA 70, must be types approved for such locations.

#### 2.9.1.1 Fixed Temperature Detectors

Detectors must be semi-flush mounted in the horizontal orientation and supported independently of wiring connections. Detectors must be self-restoring. The detectors must have a specific temperature setting of 135 degrees F.

## 2.10 ADDRESSABLE INTERFACE DEVICES

The initiating device being monitored must be configured as a Class "A" initiating device circuits. The module must be listed as compatible with the control unit. The module must provide address setting means compatible with the control unit's SLC supervision and store an internal identifying code. Monitor module must contain an integral LED that flashes each time the monitor module is polled and is visible through the device cover plate. Pull stations with a monitor module in a common backbox are not required to have an LED. Modules must be listed for the environmental conditions in which they will be installed.

#### 2.11 ADDRESSABLE CONTROL MODULES

The control module must be capable of operating as a relay (dry contact form C) for interfacing the control unit with other systems, and to control door holders or initiate elevator fire service. The module must be listed as compatible with the control unit. The indicating device or the external load being controlled must be configured as Class A notification appliance circuits. The system must be capable of supervising, audible, visual and dry contact circuits. The control module must have both an input and output address. The supervision must detect a short on the supervised circuit and must prevent power from being applied to the circuit. The control model must provide address setting means

compatible with the control unit's SLC supervision and store an internal identifying code. The control module must contain an integral LED that flashes each time the control module is polled and is visible through the device cover plate. Control Modules must be listed for the environmental conditions in which they will be installed.

## 2.12 NOTIFICATION APPLIANCES

## 2.12.1 Audible Notification Appliances

Audible appliances must conform to the applicable requirements of UL 464. Appliances must be connected into notification appliance circuits. Surface mounted audible appliances must be painted white. Recessed audible appliances must be installed with a grill that is painted white.

# 2.12.1.1 Speakers

- a. Speakers must conform to the applicable requirements of UL 1480. Speakers must have six different sound output levels and operate with audio line input levels of 70.7 VRMs and 25 VRMs, by means of selectable tap settings. Interior speaker tap settings must include taps of 1/4, 1/2, 1, and 2 watt, at a minimum. Exterior speakers must also be multi-tapped with no more than 15 watt maximum setting. Speakers must incorporate a high efficiency speaker for maximum output at minimum power across a frequency range of 400 Hz to 4,000 Hz, and must have a sealed back construction. Speakers must be capable of installation on standard 4-inch square electrical boxes. Where speakers and strobes are provided in the same location, they may be combined into a single unit. All inputs must be polarized for compatibility with standard reverse polarity supervision of circuit wiring via the FMCU.
- b. Provide speaker mounting plates constructed of cold rolled steel having a minimum thickness of 16 gage or molded high impact plastic and equipped with mounting holes and other openings as needed for a complete installation. Fabrication marks and holes must be ground and finished to provide a smooth and neat appearance for each plate. Each plate must be primed and painted.
- c. Speakers must utilize screw terminals for termination of all field wiring.

## 2.12.2 Visual Notification Appliances

Visual notification appliances must conform to the applicable requirements of UL 1638, UL 1971 and conform to the Architectural Barriers Act (ABA). Visual Notification Appliances must have clear high intensity optic lens, xenon flash tubes, or light emitting diode (LED) and be marked "Alert" in letters of contrasting color. The light pattern must be disbursed so that it is visible above and below the strobe and from a 90 degree angle on both sides of the strobe. Strobe flash rate must be 1 flash per second and a minimum of 15 candela based on the UL 1971 test. Strobe must be semi-flush mounted.

- 2.13 ELECTRIC POWER
- 2.13.1 Primary Power

Power must be 120 VAC 60 Hz service for the FMCU from the AC service to

the building in accordance with NFPA 72.

## 2.14 SECONDARY POWER SUPPLY

Provide for system operation in the event of primary power source failure. Transfer from normal to auxiliary (secondary) power or restoration from auxiliary to normal power must be automatic and must not cause transmission of a false alarm.

# 2.14.1 Batteries

Provide sealed, maintenance-free, gel cell batteries as the source for emergency power to the FMCU. Batteries must contain suspended electrolyte. The battery system must be maintained in a fully charged condition by means of a solid state battery charger. Provide an automatic transfer switch to transfer the load to the batteries in the event of the failure of primary power.

## 2.14.1.1 Capacity

Battery size must be the greater of the following two capacities. This capacity applies to every control unit associated with this system, including supplemental notification appliance circuit panels, auxiliary power supply panels, fire alarm transmitters, and Base-wide mass notification transceivers. When determining the required capacity under alarm condition, visual notification appliances must include both textual and non-textual type appliances.

- a. Sufficient capacity to operate the fire alarm system under supervisory and trouble conditions, including audible trouble signal devices for 48 hours and audible and visual signal devices under alarm conditions for an additional 15 minutes.
- b. Sufficient capacity to operate the mass notification for 60 minutes after loss of AC power.
- 2.14.1.2 Battery Power Calculations
  - a. Verify that battery capacity exceeds supervisory and alarm power requirements for the criteria noted in the paragraph "Capacity" above.
    - Substantiate the battery calculations for alarm and supervisory power requirements. Include ampere-hour requirements for each system component and each control unit component, and compliance with UL 864.
    - (2) Provide complete battery calculations for both the alarm and supervisory power requirements. Submit ampere-hour requirements for each system component with the calculations.
    - (3) Provide voltage drop calculations to indicate that sufficient voltage is available for proper operation of the system and all components. Calculations must be performed using the minimum rated voltage of each component.
  - b. For battery calculations assume a starting voltage of 24 VDC for starting the calculations to size the batteries. Calculate the required Amp-Hours for the specified standby time, and then calculate the required Amp-Hours for the specified alarm time. Using 20.4 VDC

as starting voltage, perform a voltage drop calculation for circuits containing device and/or appliances remote from the power sources.

# 2.14.2 Battery Chargers

Provide a solid state, fully automatic, variable charging rate battery charger. The charger must be capable of providing 120 percent of the connected system load and must maintain the batteries at full charge. In the event the batteries are fully discharged (20.4 Volts dc), the charger must recharge the batteries back to 95 percent of full charge within 48 hours after a single discharge cycle as described in paragraph CAPACITY above. Provide pilot light to indicate when batteries are manually placed on a high rate of charge as part of the unit assembly if a high rate switch is provided.

## 2.15 SURGE PROTECTIVE DEVICES

Surge protective devices must be provided to suppress all voltage transients which might damage fire alarm control unit components. Systems having circuits located outdoors, communications equipment must be protected against surges induced on any signaling line circuit. Cables and conductors, that serve as communications links, must have surge protection circuits installed at each end. The surge protective device must wire in series to the power supply of the protected equipment with screw terminations. Line voltage surge arrestor must be installed directly adjacent to the power panel where the FMCU breaker is located.

- a. Surge protective devices for nominal 120 VAC must be UL 1449 listed with a maximum 500 volt suppression level and have a maximum response time of 5 nanoseconds. The surge protective device must also meet IEEE C62.41.1 and IEEE C62.41.2 category B tests for surge capacity. The surge protective device must feature multi-stage construction and be provided with a long-life indicator lamp (either light emitting diode or neon) which extinguishes upon failure of protected components. Any unit fusing must be externally accessible.
- b. Surge protective devices for nominal 24 VAC, fire alarm telephone dialer, or ethernet connection must be UL 497B listed, meet IEEE C62.41.1 and have a maximum response time of 1-nanosecond. The surge protective device must feature multi-stage construction and be self-resetting. The surge protective device must be a base and plug style. The base assembly must have screw terminals for fire alarm wiring. The base assembly must accept "plug-in" surge protective module.
- c. All surge protective devices (SPD) must be the standard product of a single manufacturer and be equal or better than the following:
  - (1) For 120 VAC nominal line voltage: UL 1449 and UL 1283 listed, series connected 120 VAC, 20A rated, surge protective device in a NEMA 4x enclosure. Minimum 50,000 amp surge current rating with EMI/RFI filtering and a dry contact circuit for remote monitoring of surge protection status.
  - (2) For 24-volt nominal line voltage: UL 497B listed, series connected low voltage, 24-volt, 5A rated, loop circuit protector, base and replaceable module.

## 2.16 WIRING

Provide wiring materials under this section as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM with the additions and modifications specified herein.

## 2.16.1 Alarm Wiring

IDC and SLC wiring must be solid copper cable in accordance with the manufacturers requirements. Copper signaling line circuits and initiating device circuit field wiring must be No. 16 AWG size conductors at a minimum. Visual notification appliance circuit conductors, that contain audible alarm appliances, must be copper No. 14 AWG size conductors at a minimum. Speaker circuits must be copper No. 16 AWG size twisted and shielded conductors at a minimum. Wire size must be sufficient to prevent voltage drop problems. Circuits operating at 24 VDC must not operate at less than the listed voltages for the detectors and/or appliances. Power wiring, operating at 120 VAC minimum, must be a minimum No. 12 AWG solid copper having similar insulation. Acceptable power-limited cables are FPL, FPLR or FPLP as appropriate with red colored covering. Nonpower-limited cables must comply with NFPA 70.

- 2.17 INTERFACE TO THE BASE-WIDE MASS NOTIFICATION NETWORK
- 2.17.1 Secure Radio System
- 2.17.1.1 Communications Network

The communications network provides two-way signals between central control units and autonomous control units (in individual building systems), and should include redundant (primary and backup) communication links. The system must incorporate technology to prevent easy interruption of the radio traffic for MNS alerting.

2.17.1.2 Radio Frequency Communications

Use of radio frequency-type communications systems must comply with National Telecommunications and Information Administration (NTIA) requirements. The systems must be designed to minimize the potential for interference, jamming, eavesdropping, and spoofing.

2.17.1.3 Licensed Radio Frequency Systems

An approved DD Form 1494 for the system is required prior to operation.

- 2.18 AUTOMATIC FIRE ALARM TRANSMITTERS
- 2.18.1 Radio Transmitter and Interface Panels

Provide a Monaco MAAP-X system that is fully compatible with proprietary supervising station receiving equipment. Each radio alarm transmitter must be the manufacturer's recognized commercial product, completely assembled, wired, factory tested, and delivered ready for installation and operation. Transmitters must be provided in accordance with applicable portions of NFPA 72, Federal Communications Commission (FCC) 47 CFR 90 and Federal Communications Commission (FCC) 47 CFR 90 and federal Communications Commission (FCC) 47 CFR 15. Transmitter electronics module must be contained within the physical housing as an integral, removable assembly. The proprietary supervising station receiving equipment is Monoaco MAAP System and the transmitter must be

fully compatible with this equipment. The transmitter must be housed in the same control unit as the FMCU. The transmitter must be narrowband radio, with FCC certification for narrowband operation and meets the requirements of the NTIA (National Telecommunications and Information Administration) Manual of Regulations and Procedures for Federal Frequency Management.

## 2.18.1.1 Operation

Operate each transmitter from 120-volt ac power. In the event of 120-volt ac power loss, the transmitter must automatically switch to battery operation. Switchover must be accomplished with no interruption of protective service, and must automatically transmit a trouble message. Upon restoration of ac power, transfer back to normal ac power supply must also be automatic.

#### 2.18.1.2 Battery Power

Transmitter standby battery capacity must provide sufficient power to operate the transmitter in a normal standby status for a minimum of 72 hours and be capable of transmitting alarms during that period.

#### 2.18.1.3 Transmitter Housing

Use NEMA Type 1 for housing. The housing must contain a lock that is keyed identical to radio alarm transmitter housings on the Installation. Radio alarm transmitter housing must be factory painted with a suitable priming coat and not less than two coats of a hard, durable weatherproof enamel.

## 2.18.1.4 Antenna

Antenna must be omnidirectional, coaxial, halfwave dipole antennas for radio alarm transmitters with a driving point impedance to match transmitter output. The antenna and antenna mounts must be corrosion resistant and designed to withstand wind velocities of 100 mph. Do not mount antennas to any portion of the building roofing system. Protect the antenna from physical damage.

## 2.19 SYSTEM MONITORING

#### 2.19.1 Valves

Each valve affecting the proper operation of a fire protection system, including automatic sprinkler control valves, standpipe control valves, sprinkler service entrance valve, isolating valves for pressure type waterflow or supervision switches, and valves at backflow preventers, whether supplied under this contract or existing, must be electrically monitored to ensure its proper position. Provide each tamper switch with a separate address.

## 2.19.2 Electromagnetic Door Holders

Electromagnetic holding devices must operate on 24 VDC, and require not more than 3 watts of power to develop 25 psi of holding force. Under normal conditions, the magnets must attract and hold the doors open. Operation must be fail safe with no moving parts. Electromagnetic door hold-open devices must not be required to be held open during building power failure. The device must be listed based on UL 228 tests.

## PART 3 EXECUTION

#### 3.1 VERIFYING ACTUAL FIELD CONDITIONS

Before commencing work, examine all adjoining work on which the contractor's work is in any way dependent for perfect workmanship according to the intent of this specification section, and report to the Contracting Officer's Representative any condition which prevents performance of first class work. No "waiver of responsibility" for incomplete, inadequate or defective adjoining work will be considered unless notice has been filed before submittal of a proposal.

## 3.2 INSTALLATION

#### 3.2.1 Fire Alarm and Mass Notification Control Unit (FMCU)

Locate the FMCU where indicated on the drawings. Semi-recess the enclosure with the top of the cabinet 6 feet above the finished floor or center the cabinet at 5 feet, whichever is lower. Conductor terminations must be labeled and a drawing containing conductors, their labels, their circuits, and their interconnection must be permanently mounted in the FMCU. Locate the document storage cabinet adjacent to the FMCU unless the Contracting Officer directs otherwise.

#### 3.2.2 Battery Cabinets

When batteries will not fit in the FMCU, locate battery cabinets below or adjacent to the FMCU. Battery cabinets must be installed at an accessible location when standing at floor level. Battery cabinets must not be installed lower than 12 inches above finished floor, measured to the bottom of the cabinet, nor higher than 36 inches above the floor, measured to the top of the cabinet. Installing batteries above drop ceilings or in inaccessible locations is prohibited. Battery cabinets must be large enough to accommodate batteries and also to allow ample gutter space for interconnection of control units as well as field wiring. The cabinet must be provided in a sturdy steel housing, complete with back box, hinged steel door with cylinder lock, and surface mounting provisions.The cabinet must be identified by an engraved phenolic resin nameplate. Lettering on the nameplate must indicate the control unit(s) the batteries power and must not be less than 1-inch high.

# 3.2.3 Manual Stations

Locate manual stations as required by NFPA 72 and as indicated on the drawings. Mount stations so they are located no farther than 5 feet from the exit door they serve, measured horizontally. Manual stations must be mounted at 44 inches measured to the operating handle.

#### 3.2.4 Notification Appliances

a. Locate notification appliance devices as required by NFPA 72 and to meet the intelligibility requirements. Where more than two visual notification appliances are located in the same room or corridor or field of view, provide synchronized operation. Devices must use screw terminals for all field wiring. Audible and visual notification appliances mounted in acoustical ceiling tiles must be centered in the tiles plus or minus 2 inches..

- b. Audible and visual notification appliances mounted on the exterior of the building, within unconditioned spaces, or in the vicinity of showers must be listed weatherproof appliances installed on weatherproof backboxes.
- c. Speakers must not be located in close proximity to the FMCU or LOC so as to cause feedback when the microphone is in use.

#### 3.2.5 Smoke and Heat Detectors

Locate detectors as required by NFPA 72 and their listing on a 4-inch mounting box. Install heat detectors not less than 4 inches from a side wall to the near edge. Heat detectors located on the wall must have the top of the detector at least 4 inches below the ceiling, but not more than 12 inches below the ceiling. Smoke detectors are permitted to be on the wall no lower than 12 inches from the ceiling with no minimum distance from the ceiling. Install smoke detectors no closer than 3 feet from air handling supply diffusers. Detectors installed in acoustical ceiling tiles must be centered in the tiles plus or minus 2 inches.

## 3.2.6 LCD REMOTE Annunciator

Locate the LCD annunciator as shown on the drawings. Mount the annunciator, with the top 6 feet above the finished floor or center the annunciator at 5 feet, whichever is lower.

3.2.7 Electromagnetic Door Holder Release

Doors must be held open at a minimum of 90 degrees so as not to impede egress from the space. Mount the armature portion on the door and have an adjusting screw for seating the angle of the contact plate. Wall-mount the electromagnetic release, with a total horizontal projection not exceeding 4 inches. Ensure all doors release to close upon first stage (pre-discharge) alarm. Electrical supervision of wiring external of control unit for magnetic door holding circuits is not required.

3.2.8 Local Operating Console (LOC)

Locate the LOC(s) as required by NFPA 72 and as indicated. Mount the console so that the top message button and microphone is no higher than 4 feet above the floor and the bottom (lowest) message button and microphone is at least 3 feet above the finished floor.

## 3.2.9 Ceiling Bridges

Provide ceiling bridges for ceiling-mounted appliances. Ceiling bridges must be as recommended/required by the manufacturer of the ceiling-mounted notification appliance.

#### 3.3 SYSTEM FIELD WIRING

#### 3.3.1 Wiring within Cabinets, Enclosures, and Boxes

Provide wiring installed in a neat and workmanlike manner and installed parallel with or at right angles to the sides and back of any box, enclosure, or cabinet. Conductors that are terminated, spliced, or otherwise interrupted in any enclosure, cabinet, mounting, or junction box must be connected to screw-type terminal blocks. Mark each terminal in accordance with the wiring diagrams of the system. The use of wire nuts or similar devices is prohibited. Wiring to conform with NFPA 70.

Indicate the following in the wiring diagrams:

- a. Point-to-point wiring diagrams showing the points of connection and terminals used for electrical field connections in the system, including interconnections between the equipment or systems that are supervised or controlled by the system. Diagrams must show connections from field devices to the FMCU and remote fire alarm/mass notification control units, initiating circuits, switches, relays and terminals.
- b. Complete riser diagrams indicating the wiring sequence of devices and their connections to the control equipment. Include a color code schedule for the wiring. Include floor plans showing the locations of devices and equipment.

## 3.3.2 Terminal Cabinets

Provide a terminal cabinet at the base of any circuit riser, on each floor at each riser, and where indicated on the drawings. Terminal size must be appropriate for the size of the wiring to be connected. Conductor terminations must be labeled and a drawing containing conductors, their labels, their circuits, and their interconnection must be permanently mounted in the terminal cabinet. Minimum size is 8 inches by 8 inches. Only screw-type terminals are permitted. Provide an identification label, that displays "FIRE ALARM TERMINAL CABINET" with 2-inch lettering, on the front of the terminal cabinet.

## 3.3.3 Alarm Wiring

- a. Voltages must not be mixed in any junction box, housing or device, except those containing power supplies and control relays.
- b. Utilize shielded wiring where recommended by the manufacturer. For shielded wiring, ground the shield at only one point, in or adjacent to the FMCU.
- c. Pigtail or T-tap connections to signal line circuits, initiating device circuits, supervisory alarm circuits, and notification appliance circuits are prohibited.
- d. Color coding is required for circuits and must be maintained throughout the circuit. Conductors used for the same functions must be similarly color coded. Conform wiring to NFPA 70.
- e. Pull all conductors splice free. The use of wire nuts, crimped connectors, or twisting of conductors is prohibited. Where splices are unavoidable, the location of the junction box or pull box where they occur must be identified on the as-built drawings. The number and location of splices must be subject to approval by the Designated Fire Protection Engineer (DFPE).

## 3.3.4 Back Boxes and Conduit

In addition to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, provide all wiring in rigid metal conduit or intermediate metal conduit unless specifically indicated otherwise. Minimum conduit size must be 3/4-inch in diameter. Do not use electrical non-metallic tubing

(ENT) or flexible non-metallic tubing and associated fittings.

- a. Galvanized rigid steel (GRS) conduit must be utilized where exposed to weather, where subject to physical damage, and where exposed on exterior of buildings. Intermediate metal conduit (IMC) may be used in lieu of GRS as allowed by NFPA 70.
- b. Electrical metallic tubing (EMT) is permitted above suspended ceilings or exposed where not subject to physical damage. Do not use EMT underground, encased in concrete, mortar, or grout, in hazardous locations, where exposed to physical damage, outdoors or in fire pump rooms. Use die-cast compression connectors.
- c. For rigid metallic conduit (RMC), only threaded type fitting are permitted for wet or damp locations.
- d. Flexible metal conduit is permitted for initiating device circuits 6 feet in length or less. Flexible metal conduit is prohibited for notification appliance circuits and signaling line circuits. Use liquid tight flexible metal conduit in damp and wet locations.
- e. Schedule 40 (minimum) polyvinyl chloride (PVC) is permitted where conduit is routed underground or underground below floor slabs. Convert non-metallic conduit, other than PVC Schedule 40 or 80, to plastic-coated rigid, or IMC, steel conduit before turning up through floor slab.
- f. Exterior wall penetrations must be weathertight. Conduit must be sealed to prevent the infiltration of moisture.

## 3.3.5 Conductor Terminations

Labeling of conductors at terminal blocks in terminal cabinets, FMCU, and remote FMCU and the LOC must be provided at each conductor connection. Each conductor or cable must have a shrink-wrap label to provide a unique and specific designation. Each terminal cabinet, FMCU, and remote FMCU must contain a laminated drawing that indicates each conductor, its label, circuit, and terminal. The laminated drawing must be neat, using 12 point lettering minimum size, and mounted within each cabinet, control unit, or unit so that it does not interfere with the wiring or terminals. Maintain existing color code scheme where connecting to existing equipment.

## 3.4 FIRESTOPPING

Provide firestopping for holes at conduit penetrations through floor slabs, fire-rated walls, partitions with fire-rated doors, corridor walls, and vertical service shafts in accordance with Section 07 84 00 FIRESTOPPING.

#### 3.5 PAINTING

- a. In unfinished areas (including areas above drop ceilings), paint all exposed electrical conduit (serving fire alarm equipment), fire alarm conduit, surface metal raceway, junction boxes and covers red. In lieu of painting conduit, the contractor may utilize red conduit with a factory applied finish.
- b. In finished areas, paint exposed electrical conduit (serving fire alarm equipment), fire alarm conduit, surface metal raceways, junction

boxes, and electrical boxes to match adjacent finishes. The inside cover of the junction box must be identified as "Fire Alarm" and the conduit must have painted red bands 3/4-inch wide at 10-foot centers and at each side of a floor, wall, or ceiling penetration.

- c. Painting must comply with Section 09 90 00 PAINTS AND COATINGS.
- 3.6 FIELD QUALITY CONTROL

## 3.6.1 Test Procedures

Submit detailed test procedures, prepared and signed by the NICET Level III Fire Alarm Technician, and the representative of the installing company, and reviewed by the QFPE 60 days prior to performing system tests. Detailed test procedures must list all components of the installed system such as initiating devices and circuits, notification appliances and circuits, signaling line devices and circuits, control devices/equipment, batteries, transmitting and receiving equipment, power sources/supply, annunciators, special hazard equipment, emergency communication equipment, interface equipment, and surge protective devices. Test procedures must include sequence of testing, time estimate for each test, and sample test data forms. The test data forms must be in a check-off format (pass/fail with space to add applicable test data; similar to the forma in NFPA 72 and NFPA 4.) The test procedures and accompanying test data forms must be used for the pre-Government testing and the Government testing. The test data forms must record the test results and must:

- a. Identify the NFPA Class of all Initiating Device Circuits (IDC), and Notification Appliance Circuits (NAC), Voice Notification System Circuits (NAC Audio), and Signaling Line Circuits (SLC).
- b. Identify each test required by NFPA 72 Test Methods and required test herein to be performed on each component, and describe how these tests must be performed.
- c. Identify each component and circuit as to type, location within the facility, and unique identity within the installed system. Provide necessary floor plan sheets showing each component location, test location, and alphanumeric identity.
- d. Identify all test equipment and personnel required to perform each test (including equipment necessary for smoke detector testing. The use of magnets is not permitted.
- e. Provide space to identify the date and time of each test. Provide space to identify the names and signatures of the individuals conducting and witnessing each test.

#### 3.6.2 Pre-Government Testing

## 3.6.2.1 Verification of Compliant Installation

Conduct inspections and tests to ensure that devices and circuits are functioning properly. Tests must meet the requirements of paragraph entitled "Minimum System Tests" as required by NFPA 72. The contractor and an authorized representative from each supplier of equipment must be in attendance at the pre-Government testing to make necessary adjustments. After inspection and testing is complete, provide a signed Verification of Compliant Installation letter by the QFPE that the

installation is complete, compliant with the specification and fully operable. The letter must include the names and titles of the witnesses to the pre-Government tests. Provide all completion documentation as required by NFPA 72 including all referenced annex sections and the test reports noted below.

- a. NFPA 72 Record of Completion.
- b. NFPA 72 Record of Inspection and Testing.
- c. Fire Alarm and Emergency Communication System Inspection and Testing Form.
- d. Audibility test results with marked-up test floor plans.
- e. Intelligibility test results with marked-up floor plans.
- f. Documentation that all tests identified in the paragraph "Minimum System Tests" are complete.
- 3.6.2.2 Request for Government Final Test

When the verification of compliant installation has been completed, submit a formal request for Government final test to the Contracting Officer's Representative (COR). Government final testing will not be scheduled until the DFPE has received copies of the request for Government final testing and Verification of Compliant Installation letter with all required reports. Government final testing will not be performed until after the connections to the installation-wide fire reporting system and the installation-wide mass notification system have been completed and tested to confirm communications are fully functional. Submit request for test at least 15 calendar days prior to the requested test date.

3.6.3 Correction of Deficiencies

If equipment was found to be defective or non-compliant with contract requirements, perform corrective actions and repeat the tests. Tests must be conducted and repeated if necessary until the system has been demonstrated to comply with all contract requirements.

3.6.4 Government Final Tests

The tests must be performed in accordance with the approved test procedures in the presence of the DFPE. Furnish instruments and personnel required for the tests. The following must be provided at the job site for Government Final Testing:

- a. The manufacturer's technical representative.
- b. The contractor's Qualified Fire Protection Engineer (QFPE).
- c. Marked-up red line drawings of the system as actually installed.
- d. Loop resistance test results.
- e. Complete program printout including input/output addresses.
- f. Copy of pre-Government Test Certificate, test procedures and completed test data forms.

- g. Audibility test results with marked-up floor plans.
- h. Intelligibility test results with marked-up floor plans.

Government Final Tests will be witnessed by the Contracting Officer's Representative (COR) and Qualified Fire Protection Engineer (QFPE). At this time, any and all required tests noted in the paragraph "Minimum System Tests" must be repeated at their discretion.

#### 3.7 MINIMUM SYSTEM TESTS

### 3.7.1 System Tests

Test the system in accordance with the procedures outlined in NFPA 72. The required tests are as follows:

- a. Loop Resistance Tests: Measure and record the resistance of each circuit with each pair of conductors in the circuit short-circuited at the farthest point from the circuit origin. The tests must be witnessed by the Contracting Officer and test results recorded for use at the final Government test.
- b. Verify the absence of unwanted voltages between circuit conductors and ground. The tests must be accomplished at the pre-Government test with results available at the final system test.
- c. Verify that the control unit is in the normal condition as detailed in the manufacturer's O&M manual.
- d. Test each initiating device and notification appliance and circuit for proper operation and response at the control unit. Smoke detectors must be tested in accordance with manufacturer's recommended calibrated test method. Use of magnets is prohibited. Testing of duct smoke detectors must comply with the requirements of NFPA 72 except disconnect at least 20 percent of devices. If there is a failure at these devices, then supervision must be tested at each device.
- e. Test the system for specified functions in accordance with the contract drawings and specifications and the manufacturer's O&M manual.
- f. Test both primary power and secondary power. Verify, by test, the secondary power system is capable of operating the system for the time period and in the manner specified.
- g. Determine that the system is operable under trouble conditions as specified.
- h. Visually inspect wiring.
- i. Test the battery charger and batteries.
- j. Verify that software control and data files have been entered or programmed into the FMCU. Hard copy records of the software must be provided to the Contracting Officer.
- k. Verify that red-line drawings are accurate.
- 1. Measure the current in circuits to ensure there is the calculated

spare capacity for the circuits.

- m. Measure voltage readings for circuits to ensure that voltage drop is not excessive.
- Disconnect the verification feature for smoke detectors during tests to minimize the amount of smoke needed to activate the sensor. Testing of smoke detectors must be conducted using real smoke or the use of canned smoke which is permitted.
- o. Measure the voltage drop at the most remote appliance (based on wire length) on each notification appliance circuit.
- p. Verify the documentation cabinet is installed and contains all as-built shop drawings, product data sheets, design calculations, site-specific software data package, and all documentation required by paragraph titled "Test Reports".

## 3.7.2 Audibility Tests

Sound pressure levels from audible notification appliances must be a minimum of 15 dBa over ambient with a maximum of 110 dBa in any occupiable area. The provisions for audible notification (audibility and intelligibility) must be met with doors, fire shutters, movable partitions, and similar devices closed.

## 3.7.3 Intelligibility Tests

Intelligibility testing of the System must be accomplished in accordance with NFPA 72 for Voice Evacuation Systems, and ASA S3.2. Following are the specific requirements for intelligibility tests:

- a. Intelligibility Requirements: Verify intelligibility by measurement after installation.
- b. Ensure that a CIS value greater than the required minimum value is provided in each area where building occupants typically could be found. The minimum required value for CIS is .8. Rounding of values is permitted.
- c. Areas of the building provided with hard wall and ceiling surfaces (such as metal or concrete) that are found to cause excessive sound reflections may be permitted to have a CIS score less than the minimum required value if approved by the DFPE, and if building occupants in these areas can determine that a voice signal is being broadcast and they must walk no more than 33 feet to find a location with at least the minimum required CIS value within the same area.
- d. Areas of the building where occupants are not expected to be normally present are permitted to have a CIS score less than the minimum required value if personnel can determine that a voice signal is being broadcast and they must walk no more than 50 feet to a location with at least the minimum required CIS value within the same area.
- e. Take measurements near the head level applicable for most personnel in the space under normal conditions (e.g., standing, sitting, sleeping, as appropriate).
- f. The distance the occupant must walk to the location meeting the

minimum required CIS value must be measured on the floor or other walking surface as follows:

- Along the centerline of the natural path of travel, starting from any point subject to occupancy with less than the minimum required CIS value.
- (2) Curving around any corners or obstructions, with a 12 inches clearance there from.
- (3) Terminating directly below the location where the minimum required CIS value has been obtained.

Use commercially available test instrumentation to measure intelligibility as specified by NFPA 72 as applicable. Use the mean value of at least three readings to compute the intelligibility score at each test location.

#### 3.8 SYSTEM ACCEPTANCE

Following acceptance of the system, as-built drawings and O&M manuals must be delivered to the Contracting Officer for review and acceptance. The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final Government test of the system. At least one set of as-built (marked-up) drawings must be provided at the time of, or prior to the Final Government Test.

- a. The drawings must be prepared electronically and sized no less than the contract drawings. Furnish one set of CDs or DVDs containing software back-up and CAD based drawings in latest version of AutoCAD, DXF and portable document formats of as-built drawings and schematics.
- b. Include complete wiring diagrams showing connections between devices and equipment, both factory and field wired.
- c. Include a riser diagram and drawings showing the as-built location of devices and equipment.
- d. Provide Operation and Maintenance (O&M) Instructions.
- 3.9 INSTRUCTION OF GOVERNMENT EMPLOYEES

# 3.9.1 Instructor

Provide the services of an instructor, who has received specific training from the manufacturer for the training of other persons regarding the operation, inspection, testing, and maintenance of the system provided. The instructor must train the Government employees designated by the Contracting Officer, in the care, adjustment, maintenance, and operation of the fire alarm system. The instructor must be thoroughly familiar with all parts of this installation. The instructor must be trained in operating theory as well as in practical O&M work. Submit the instructors information and qualifications including the training history.

# 3.9.2 Required Instruction Time

Provide 8 hours of instruction after final acceptance of the system. The instruction must be given during regular working hours on such dates and times selected by the Contracting Officer. The instruction may be divided

into two or more periods at the discretion of the Contracting Officer. The training must allow for rescheduling for unforeseen maintenance and/or fire department responses.

3.9.2.1 Technical Training

Equipment manufacturer or a factory representative must provide 1 day of on site training. Training must allow for classroom instruction as well as individual hands on programming, troubleshooting and diagnostics exercises. Factory training must occur within 6 months of system acceptance.

## 3.9.3 Technical Training Manual

Provide, in manual format, lesson plans, operating instructions, maintenance procedures, and training data for the training courses. The operations training must familiarize designated government personnel with proper operation of the installed system. The maintenance training course must provide the designated government personnel adequate knowledge required to diagnose, repair, maintain, and expand functions inherent to the system.

#### 3.10 EXTRA MATERIALS

#### 3.10.1 Repair Service/Replacement Parts

Repair services and replacement parts for the system must be available for a period of 10 years after the date of final acceptance of this work by the Contracting Officer. During the warranty period, the service technician must be on-site within 24 hours after notification. All repairs must be completed within 24 hours of arrival on-site.

During the warranty period, the installing fire alarm contractor is responsible for conducting all required testing and maintenance in accordance with the requirements and recommended practices of NFPA 72 and the system manufacturer. Installing fire alarm contractor is NOT responsible for any damage resulting from abuse, misuse, or neglect of equipment by the end user.

## 3.10.2 Spare Parts

Spare parts furnished must be directly interchangeable with the corresponding components of the installed system. Spare parts must be suitably packaged and identified by nameplate, tagging, or stamping. Spare parts must be delivered to the Contracting Officer at the time of the Government testing and must be accompanied by an inventory list.

#### 3.10.3 Document Storage Cabinet

Upon completion of the project, but prior to project close-out, place in the document storage cabinet copies of the following record documentation:

- a. As-built shop drawings
- b. Product data sheets
- c. Design calculations
- d. Site-specific software data package

- e. All documentation required by SD-06.
  - -- End of Section --

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# SECTION 31 00 00

# EARTHWORK 08/08

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 180	(2017) Standard Method of Test for
	Moisture-Density Relations of Soils Using
	a 4.54-kg (10-lb) Rammer and a 457-mm
	(18-in.) Drop

AASHTO T 224 (2010) Standard Method of Test for Correction for Coarse Particles in the Soil Compaction Test

## ASTM INTERNATIONAL (ASTM)

ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C33/C33M	(2018) Standard Specification for Concrete Aggregates
ASTM D1140	(2017) Standard Test Methods for Determining the Amount of Material Finer than 75-µm (No. 200) Sieve in Soils by Washing
ASTM D1556/D1556M	(2015; E 2016) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3) (2700 kN-m/m3)
ASTM D2434	(1968; R 2006) Permeability of Granular Soils (Constant Head)
ASTM D2487	(2017) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4318	(2017; E 2018) Standard Test Methods for Liquid Limit, Plastic Limit, and

Contract #W912DS19C0031 West Point, NY Lincoln Hall Revised RTA Submission 1 March 2023 Plasticity Index of Soils ASTM C150/C150M (2020) Standard Specification for Portland Cement ASTM C618 (2019) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete ASTM D698 (2012; E 2014; E 2015) Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.)) ASTM D4832 (2016; E 2018) Standard Test Method for Preparation and Testing of Controlled Low Strength Material (CLSM) Test Cylinders ASTM D6938 (2017a) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth) U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA) (1983) Methods for Chemical Analysis of EPA 600/4-79/020

EPA SW-846.3-3 (1999, Third Edition, Update III-A) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

Water and Wastes

## 1.2 DEFINITIONS

#### 1.2.1 Satisfactory Materials

Satisfactory materials comprise any materials classified by ASTM D2487 as GW, GP, GM, GC, SW, SP, SM, and SC. Satisfactory materials for grading comprise stones less than 1.5 inches. Notify the Contracting Officer when encountering any materials that appear contaminated. Unsatisfactory trench backfill material is material which meets the definition of "rock" or are soils that includes stones greater than 1.5 inches measured in any direction or includes material that does not meet materials as defined by the pipe manufacturer, where the largest stones must be smaller than 3 inches.

1.2.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material.

1.2.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be

identified as cohesionless only when the fines are nonplastic. Perform testing, required for classifying materials, in accordance with ASTM D4318, ASTM C136/C136M and ASTM D1140.

#### 1.2.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D1557 abbreviated as a percent of laboratory maximum density. Since ASTM D1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, express the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve as a percentage of the maximum density in accordance with AASHTO T 180 and corrected with AASHTO T 224. To maintain the same percentage of coarse material, use the "remove and replace" procedure as described in NOTE 8 of Paragraph 7.2 in AASHTO T 180.

## 1.2.5 Topsoil

Material suitable for topsoils obtained from excavations is defined as: Natural, friable soil representative of productive, well-drained soils in the area, free of subsoil, stumps, rocks larger than one inch diameter, brush, weeds, toxic substances, and other material detrimental to plant growth. Amend topsoil pH range to obtain a pH of 5.5 to 7.

## 1.2.6 Hard/Unyielding Materials

Hard/Unyielding materials comprise weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" with stones greater than 6 inches in any dimension or as defined by the pipe manufacturer, whichever is smaller. These materials usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

## 1.2.7 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and requiring blasting, drilling and the use of expansion jacks or feather wedges, or requiring the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding 1/2 cubic yard in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

#### 1.2.8 Unstable Material

Unstable materials are soils that are too wet to properly support the utility pipe, conduit, or appurtenant structure.

#### 1.2.9 Select Granular Material

#### 1.2.9.1 General Requirements

Select granular material consist of materials classified as GW, GP, SW, and SP, by ASTM D2487 where indicated. The liquid limit of such material must not exceed 35 percent when tested in accordance with ASTM D4318. The

plasticity index must not be greater than 12 percent when tested in accordance with ASTM D4318, and not more than 25 percent by weight may be finer than No. 200 sieve when tested in accordance with ASTM D1140. Provide a minimum coefficient of permeability of 0.002 feet per minute when tested in accordance with ASTM D2434.

Sieve Size	Percent Passing by Weight
1-1/2 inches	100
No. 4	40 - 85
No. 10	20 - 80
No. 40	10 - 60
No. 200	5 - 25

Conform the combined material to the following sieve analysis:

#### 1.2.10 Initial Backfill Material

Initial backfill consists of select granular material or satisfactory materials free from rocks 1.5 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, free the initial backfill material of stones larger than 1.5 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

## 1.2.11 Expansive Soils

Expansive soils are defined as soils that have a plasticity index equal to or greater than 30 when tested in accordance with ASTM D4318.

1.2.12 Nonfrost Susceptible (NFS) Material

Nonfrost susceptible material are a uniformly graded washed sand having from 30 to 65 percent passing the 1/4 inch sieve and less than 5 percent passing the No. 200 size sieve, and with not more than 3 percent by weight finer than 0.02 mm grain size.

## 1.3 SYSTEM DESCRIPTION

Subsurface soil boring logs and investigation report are appended to the SP project specificaitons. These data represent the best subsurface information available; however, variations may exist in the subsurface between boring locations.

#### 1.3.1 Classification of Excavation

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

#### 1.3.1.1 Common Excavation

Include common excavation with the satisfactory removal and disposal of

all materials not classified as rock excavation.

## 1.3.1.2 Rock Excavation

Submit notification of encountering rock in the project. Include rock excavation with excavating, grading, disposing of material classified as rock, and the satisfactory removal and disposal of boulders 1/2 cubic yard or more in volume; solid rock; rock material that is in ledges, bedded deposits, and unstratified masses, which cannot be removed without systematic drilling and splitting; firmly cemented conglomerate deposits possessing the characteristics of solid rock impossible to remove without systematic drilling and splitting; and hard materials (see Definitions). Include the removal of any concrete or masonry structures, except pavements, exceeding 1/2 cubic yard in volume that may be encountered in the work in this classification. If at any time during excavation, the Contractor encounters material that may be classified as rock excavation, uncover such material and notify the Contracting Officer. Do not proceed with the excavation of this material until the Contracting Officer has classified the materials as common excavation or rock excavation and has taken cross sections as required. Failure on the part of the Contractor to uncover such material, notify the Contracting Officer, and allow ample time for classification and cross sectioning of the undisturbed surface of such material will cause the forfeiture of the Contractor's right of claim to any classification or volume of material to be paid for other than that allowed by the Contracting Officer for the areas of work in which such deposits occur.

#### 1.3.2 Blasting

Blasting will not be permitted.

1.3.3 Dewatering Work Plan

Submit procedures and calculations for accomplishing dewatering work. Calculations must include data, assumptions, and referenced used and be signed and sealed by a professional engineer licensed in the State of New York.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Shoring; G, DO Dewatering Work Plan; G, DO

SD-03 Product Data

Utilization of Excavated Materials; G, RO Rock Excavation

SD-06 Test Reports

Testing

Borrow Site Testing

Within 24 hours of conclusion of physical tests, submit 10 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing

## PART 2 PRODUCTS

#### 2.1 REQUIREMENTS FOR OFFSITE SOILS

Test offsite soils brought in for use as backfill for Total Petroleum Hydrocarbons (TPH), Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and full Toxicity Characteristic Leaching Procedure (TCLP) including ignitability, corrosivity and reactivity. Backfill shall contain a maximum of 100 parts per million (ppm) of total petroleum hydrocarbons (TPH) and a maximum of 10 ppm of the sum of Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and shall pass the TCPL test. Determine TPH concentrations by using EPA 600/4-79/020 Method 418.1. Determine BTEX concentrations by using EPA SW-846.3-3 Method 5030/8020. Perform TCLP in accordance with EPA SW-846.3-3 Method 1311. Provide Borrow Site Testing for TPH, BTEX and TCLP from a composite sample of material from the borrow site, with at least one test from each borrow site. Do not bring material onsite until tests have been approved by the Contracting Officer.

## 2.2 BURIED WARNING AND IDENTIFICATION TAPE

Provide polyethylene plastic and metallic core or metallic-faced, acidand alkali-resistant, polyethylene plastic warning tape manufactured specifically for warning and identification of buried utility lines. Provide tape on rolls, 3 inches minimum width, color coded as specified below for the intended utility with warning and identification imprinted in bold black letters continuously over the entire tape length. Warning and identification to read, "CAUTION, BURIED (intended service) LINE BELOW" or similar wording. Provide permanent color and printing, unaffected by moisture or soil.

	Warning Tape Color Codes
Red	Electric
Yellow	Gas
Orange	Telephone and Other Communications
Blue	Water Systems

Warning Tape Color Codes		
Green	Sewer Systems	
White	Steam Systems	

#### 2.2.1 Warning Tape for Metallic Piping

Provide acid and alkali-resistant polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.004 inch and a minimum strength of 1500 psi lengthwise, and 1250 psi crosswise, with a maximum 350 percent elongation.

#### 2.2.2 Detectable Warning Tape for Non-Metallic Piping

Provide polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.004 inch, and a minimum strength of 1500 psi lengthwise and 1250 psi crosswise. Manufacture tape with integral wires, foil backing, or other means of enabling detection by a metal detector when tape is buried up to 3 feet deep. Encase metallic element of the tape in a protective jacket or provide with other means of corrosion protection.

#### 2.3 DETECTION WIRE FOR NON-METALLIC PIPING

Insulate a single strand, solid copper detection wire with a minimum of 12 AWG.

## 2.4 CAPILLARY WATER BARRIER

Provide capillary water barrier of clean, poorly graded crushed rock, crushed gravel, or uncrushed gravel placed beneath a building slab with or without a vapor barrier to cut off the capillary flow of pore water to the area immediately below. Conform to ASTM C33/C33M for fine aggregate grading with a maximum of 3 percent by weight passing ASTM D1140, No. 200 sieve, or 1 inch and no more than 2 percent by weight passing the No. 4 size sieve.

#### 2.5 CONTROLLED LOW STRENGTH MATERIAL (CLSM)

Select and proportion ingredients to obtain compressive strength between 50 psi and 150 psi at 28 days in accordance with ASTM D4832.

2.5.1 Materials

Cement: ASTM C150/C150M, Type I or Type II.

Aggregate: ASTM C33/C33M, Size 7.

Fly Ash (Pozzolan): Class F or Class C fly ash in accordance with ASTM C618, except as modified herein:

ASTM C618, Table 1, Loss on Ignition: Unless permitted otherwise, maximum 3 percent.

Water: Clean, potable, containing less than 500 ppm of chlorides.

## PART 3 EXECUTION

## 3.1 GENERAL EXCAVATION

Perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Perform the grading in accordance with the typical sections shown and the tolerances specified in paragraph FINISHING. Transport satisfactory excavated materials and place in fill or embankment within the limits of the work. Excavate unsatisfactory materials encountered within the limits of the work below grade. Excavate unsatisfactory materials encountered within the limits of the work below grade and replace with select granular materials as directed. Dispose satisfactory and unsatisfactory excavated material off of the Government property at an approved location licensed to accept such material.

## 3.1.1 Ditches, Gutters, and Channel Changes

Finish excavation of ditches, gutters, and channel changes by cutting accurately to the cross sections, grades, and elevations shown on the contract drawings. Do not excavate ditches and gutters below grades shown. Backfill the excessive open ditch or gutter excavation with satisfactory, thoroughly compacted, material or with suitable stone or cobble to grades shown. Dispose excavated material as shown or as directed, except in no case allow material be deposited a maximum 4 feet from edge of a ditch. Maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

#### 3.1.2 Drainage Structures

Make excavations to the lines, grades, and elevations shown, or as directed. Provide trenches and foundation pits of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Clean rock or other hard foundation material of loose debris and cut to a firm, level, stepped, or serrated surface. Remove loose disintegrated rock and thin strata. Do not disturb the bottom of the excavation when concrete or masonry is to be placed in an excavated area. Do not excavate to the final grade level until just before the concrete or masonry is to be placed. Where pile foundations are to be used, stop the excavation of each pit at an elevation 1 foot above the base of the footing, as specified, before piles are driven. After the pile driving has been completed, remove loose and displaced material and complete excavation, leaving a smooth, solid, undisturbed surface to receive the concrete or masonry.

#### 3.1.3 Drainage

Provide for the collection and disposal of surface and subsurface water encountered during construction. Completely drain construction site during periods of construction to keep soil materials sufficiently dry. Construct storm drainage features (ponds/basins) at the earliest stages of site development, and throughout construction grade the construction area to provide positive surface water runoff away from the construction activity and provide temporary ditches, swales, and other drainage features and equipment as required to maintain dry soils. When unsuitable working platforms for equipment operation and unsuitable soil support for subsequent construction features develop, remove unsuitable material and provide new soil material as specified herein. It is the responsibility of the Contractor to assess the soil and ground water conditions presented by the plans and specifications and to employ necessary measures to permit construction to proceed.

## 3.1.4 Dewatering

Control groundwater flowing toward or into excavations to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. Do not permit French drains, sumps, ditches or trenches within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Take control measures by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, maintain the water level continuously, at least 2 feet below the working level. Operate dewatering system continuously until construction work below existing water levels is complete. Submit performance records weekly. Relieve hydrostatic head in previous zones below subgrade elevation in layered soils to prevent uplift.

#### 3.1.5 Trench Excavation Requirements

Excavate the trench as recommended by the manufacturer of the pipe to be installed. Slope trench walls below the top of the pipe, or make vertical, and of such width as recommended in the manufacturer's printed installation manual. Provide vertical trench walls where no manufacturer's printed installation manual is available. Shore trench walls more than 5 feet high, cut back to a stable slope, or provide with equivalent means of protection for employees who may be exposed to moving ground or cave in. Shore vertical trench walls more than 5 feet high. Excavate trench walls which are cut back to at least the angle of repose of the soil. Give special attention to slopes which may be adversely affected by weather or moisture content. Do not exceed the trench width below the pipe top of 24 inches plus pipe outside diameter (0.D.) for pipes of less than 24 inches inside diameter, and do not exceed 36 inches plus pipe outside diameter for sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, provide redesign, stronger pipe, or special installation procedures by the Contractor. The Contractor is responsible for the cost of redesign, stronger pipe, or special installation procedures without any additional cost to the Government.

## 3.1.5.1 Bottom Preparation

Grade the bottoms of trenches accurately to provide uniform bearing and support for the bottom quadrant of each section of the pipe. Excavate bell holes to the necessary size at each joint or coupling to eliminate point bearing. Remove stones of 1.5 inches or greater in any dimension, or as recommended by the pipe manufacturer, whichever is smaller, to avoid point bearing.

#### 3.1.5.2 Removal of Unyielding Material

Where overdepth is not indicated and unyielding material is encountered in the bottom of the trench, remove such material 6 inches below the required grade and replaced with suitable materials as provided in paragraph BACKFILLING AND COMPACTION.

# 3.1.5.3 Removal of Unstable Material

Where unstable material is encountered in the bottom of the trench, remove such material to the depth directed and replace it to the proper grade with select granular material as provided in paragraph BACKFILLING AND COMPACTION. When removal of unstable material is required due to the Contractor's fault or neglect in performing the work, the Contractor is responsible for excavating the resulting material and replacing it without additional cost to the Government.

## 3.1.5.4 Excavation for Appurtenances

Provide excavation for manholes, catch-basins, inlets, or similar structures sufficient to leave at least 12 inches clear between the outer structure surfaces and the face of the excavation or support members. Clean rock or loose debris and cut to a firm surface either level, stepped, or serrated, as shown or as directed. Remove loose disintegrated rock and thin strata. Specify removal of unstable material. When concrete or masonry is to be placed in an excavated area, take special care not to disturb the bottom of the excavation. Do not excavate to the final grade level until just before the concrete or masonry is to be placed.

# 3.1.6 Underground Utilities

The Contractor is responsible for movement of construction machinery and equipment over pipes and utilities during construction. Excavations made with power driven equipment is not permitted within 2 feet of known Government owned utility or subsurface construction. For work immediately adjacent to or for excavations exposing a utility or other buried obstruction, excavate by hand. Start hand excavation on each side of the indicated obstruction and continue until the obstruction is uncovered or until clearance for the new grade is assured. Support uncovered lines or other existing work affected by the contract excavation until approval for backfill is granted by the Contracting Officer's Representative. Report damage to utility lines or subsurface construction immediately to the Contracting Officer.

# 3.1.7 Structural Excavation

Ensure that footing subgrades have been inspected and approved by the Contracting Officer prior to concrete placement. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth-moving operations. Protect and maintain erosion and sedimentation controls during earth-moving operations. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials. Excavate to bottom of pile cap prior to placing or driving piles, unless authorized otherwise by the Contracting Officer. Backfill and compact over excavations and changes in grade due to pile driving operations to 95 percent of ASTM D1557 maximum dry density.

## 3.2 SELECTION OF BORROW MATERIAL

Select borrow material to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Obtain borrow material from approved private sources. Unless otherwise provided in the contract, the Contractor is responsible for obtaining the right to procure

material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling from the Government. The Contractor is required to provide a copy of all documents that apply to acquiring topsoil borrow and other borrow material and deliver the documents within two working days to the Contracting Officer.

### 3.3 SHORING

### 3.3.1 General Requirements

Submit a Shoring and Sheeting plan for approval 15 days prior to starting work. Submit drawings and calculations, certified by a registered professional engineer, describing the methods for shoring and sheeting of excavations. Finish shoring, including sheet piling, and install as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Remove shoring, bracing, and sheeting as excavations are backfilled, in a manner to prevent caving.

### 3.3.2 Geotechnical Engineer

Hire a Professional Geotechnical Engineer licensed in the State of New York to provide inspection of excavations and soil/groundwater conditions throughout construction. The Geotechnical Engineer is responsible for performing pre-construction and periodic site visits throughout construction to assess site conditions. The Geotechnical Engineer is responsible for updating the excavation, sheeting and dewatering plans as construction progresses to reflect changing conditions and submit an updated plan if necessary. Submit a monthly written report, informing the Contractor and Contracting Officer of the status of the plan and an accounting of the Contractor's adherence to the plan addressing any present or potential problems. The Contracting Officer is responsible for arranging meetings with the Geotechnical Engineer at any time throughout the contract duration.

### 3.4 GRADING AREAS

Where indicated, divide work into grading areas within which satisfactory excavated material will be placed in embankments, fills, and required backfills. Do not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing. Place and grade stockpiles of satisfactory as specified. Keep stockpiles in a neat and well drained condition, giving due consideration to drainage at all times. Clear, grub, and seal by rubber-tired equipment, the ground surface at stockpile locations; separately stockpile excavated satisfactory and unsatisfactory materials. Protect stockpiles of satisfactory materials from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, remove and replace such material with satisfactory material from approved sources.

### 3.5 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Do not excavate to final grade until just before concrete is to be placed. Only use excavation methods that will leave the foundation rock in a solid and unshattered condition. Roughen the level surfaces, and cut the sloped surfaces, as indicated, into rough steps or benches to provide a satisfactory bond. Protect shales from slaking and all surfaces from erosion resulting from ponding or water flow.

### 3.6 GROUND SURFACE PREPARATION

### 3.6.1 General Requirements

Remove and replace unsatisfactory material with satisfactory materials, as directed by the Contracting Officer, in surfaces to receive fill or in excavated areas. Scarify the surface to a depth of 6 inches before the fill is started. Plow, step, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so that the fill material will bond with the existing material. When subgrades are less than the specified density, break up the ground surface to a minimum depth of 6 inches, pulverizing, and compacting to the specified density. When the subgrade is part fill and part excavation or natural ground, scarify the excavated or natural ground portion to a depth of 12 inches and compact it as specified for the adjacent fill.

#### 3.6.2 Frozen Material

Do not place material on surfaces that are muddy, frozen, or contain frost. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Moisten material as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used.

### 3.7 UTILIZATION OF EXCAVATED MATERIALS

Use satisfactory material removed from excavations, insofar as practicable, in the construction of fills, subgrades, shoulders, bedding (as backfill), and for similar purposes. Dispose surplus satisfactory excavated material not required for fill and unsatisfactory excavated material as specified in paragraph DISPOSITION OF SURPLUS MATERIAL. Stockpile and use coarse rock from excavations for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. Do not dispose excavated material to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

### 3.8 BURIED TAPE AND DETECTION WIRE

### 3.8.1 Buried Warning and Identification Tape

Provide buried utility lines with utility identification tape. Bury tape 12 inches below finished grade; under pavements and slabs, bury tape 6 inches below top of subgrade.

### 3.8.2 Buried Detection Wire

Bury detection wire directly above non-metallic piping at a distance not to exceed 12 inches above the top of pipe. Extend the wire continuously and unbroken, from manhole to manhole. Terminate the ends of the wire inside the manholes at each end of the pipe, with a minimum of 3 feet of wire, coiled, remaining accessible in each manhole. Furnish insulated wire over it's entire length. Install wires at manholes between the top of the corbel and the frame, and extend up through the chimney seal between the frame and the chimney seal. For force mains, terminate the wire in the valve pit at the pump station end of the pipe. West Point, NY Lincoln Hall Revised RTA Submission

### 3.9 BACKFILLING AND COMPACTION

Place backfill adjacent to any and all types of structures, in successive horizontal layers of loose materia not more than 8 inches in depth. Compact to at least 90 percent of ASTM D1557 maximum density for cohesive materials or 95 percent of ASTM D1557 maximum density for cohesionless materials, to prevent wedging action or eccentric loading upon or against the structure. Backfill material must be within the range of -2 to +2 percent of optimum moisture content at the time of compaction.

Prepare ground surface on which backfill is to be placed and provide compaction requirements for backfill materials in conformance with the applicable portions of paragraphs GROUND SURFACE PREPARATION. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.9.1 Trench Backfill

Backfill trenches to the grade shown.

3.9.1.1 Replacement of Unyielding Material

Replace unyielding material removed from the bottom of the trench with select granular material or initial backfill material.

3.9.1.2 Replacement of Unstable Material

Replace unstable material removed from the bottom of the trench or excavation with select granular material placed in layers not exceeding 6 inches loose thickness.

3.9.1.3 Bedding and Initial Backfill

Provide bedding of the type and thickness shown. Place initial backfill material and compact it with approved tampers to a height of at least one foot above the utility pipe or conduit. Bring up the backfill evenly on both sides of the pipe for the full length of the pipe. Take care to ensure thorough compaction of the fill under the haunches of the pipe. Compact backfill to top of pipe to 95 percent of ASTM D698 maximum density. Provide plastic piping with bedding to spring line of pipe. Provide materials as follows:

3.9.1.3.1 Class I

Angular, 0.25 to 1.5 inch, graded stone, including a number of fill materials that have regional significance such as coral, slag, cinders, crushed stone, and crushed shells.

3.9.1.3.2 Class II

Coarse sands and gravels with maximum particle size of 1.5 inch, including various graded sands and gravels containing small percentages of fines, generally granular and noncohesive, either wet or dry. Soil Types GW, GP, SW, and SP are included in this class as specified in ASTM D2487.

3.9.1.3.3 Sand

Clean, coarse-grained sand classified as ASTM D2487.

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3.9.1.3.4 Gravel and Crushed Stone

Clean, coarsely graded natural gravel, crushed stone or a combination thereof or having a classification of GW GP in accordance with ASTM D2487 for bedding and backfill as indicated. Do not exceed maximum particle size of 3 inches.

### 3.9.1.4 Final Backfill

Fill the remainder of the trench, except for special materials for roadways, with satisfactory material. Place backfill material and compact as follows:

### 3.9.1.4.1 Roadways

Place backfill up to the required elevation as specified. Place backfill in layers of a maximum of 8 inches loose thickness. Compact all subgrades and fill below pavements and concrete to 95 percent modified proctor density in accordance with ASTM D1557. Do not permit water flooding or jetting methods of compaction.

3.9.1.4.2 Turfed or Seeded Areas and Miscellaneous Areas

Deposit backfill in layers of a maximum of 8 inches loose thickness, and compact it to 90 percent maximum density. Do not permit compaction by water flooding or jetting. Apply this requirement to all other areas not specifically designated above.

#### 3.9.1.4.3 Sidewalks, Site Structures and Equipment Pads

Place backfill up to the required elevation as specified. Place backfill in layers of a maximum of 8-inch loose thickness, and compact it to 95 percent maximum density. Do not permit water flooding or jetting methods of compaction. Require minimum depth of 4 inches of Dense-Graded Aggregate (DGA) Base Course on Geotextile that is constructed on Subgrade.

3.9.1.4.4 Controlled Low Strength Material

Discharge from truck mounted drum type mixer into trench. Place in lifts as necessary to prevent uplift (flotation) of new and existing facilities. In traveled areas fill entire trench section to pavement finish grade for a temporary driving surface, and screed off excess and finish with a float. In other areas fill trench section as shown.

### 3.9.2 Backfill for Appurtenances

After the manhole, catchbasin, inlet, or similar structure has been constructed , place backfill in such a manner that the structure is not be damaged by the shock of falling earth. Deposit the backfill material, compact it as specified for final backfill, and bring up the backfill evenly on all sides of the structure to prevent eccentric loading and excessive stress.

#### 3.10 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

#### 3.10.1 Water Lines

Excavate trenches to a depth that provides a minimum cover of 54 inches from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe. Excavate, backfill, and compact domestic and fire water trenches in accordance with American Water Specifications.

### 3.10.2 Electrical and Telecommunication Distribution System

Provide a minimum cover of 24 inches from the finished grade to direct burial cable and conduit or duct line, unless otherwise indicated.

### 3.11 SUBGRADE PREPARATION

### 3.11.1 Construction

Scarify the ground surface for a minimum depth of 6 inches. Compact to 90 percent or 95 percent as shown on the construction Contract Drawings. Shape subgrade to line, grade, and cross section, and compact as specified. Include plowing, disking, and any moistening or aerating required to obtain specified compaction for this operation. Remove soft or otherwise unsatisfactory material and replace with well graded sand or other approved material as directed. Excavate rock encountered in the cut section to a depth of 6 inches below finished grade for the subgrade. Bring up low areas resulting from removal of unsatisfactory material or excavation of rock to required grade with satisfactory materials, and shape the entire subgrade to line, grade, and cross section and compact as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 1/2 inch when tested with a 12-foot straightedge applied both parallel and at right angles to the centerline of the area. Do not vary the elevation of the finish subgrade more than 0.05 foot from the established grade and cross section.

#### 3.11.2 Compaction

Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas , compact each layer of the embankment to at least 90 percent of laboratory maximum density. For small areas, compaction shall be performed with hand tools or powered hand tools.

### 3.11.2.1 Subgrade for Pavements

Compact subgrade for pavements to at least 95 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, thoroughly blend, reshape, and compact the top 6 inches of subgrade.

#### 3.12 FINISHING

Finish the surface of excavations, embankments, and subgrades to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. Provide the degree of finish for graded areas within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades specified in paragraph SUBGRADE PREPARATION. Finish gutters and ditches in a manner that will result in effective drainage. Finish the surface of areas to be turfed from settlement or washing to a smoothness suitable for the application of turfing materials. Repair graded, topsoiled, or backfilled areas prior to acceptance of the work, and re-established grades to the required elevations and slopes.

3.12.1 Capillary Water Barrier

Place a capillary water barrier under concrete floor and area-way slabs grade directly on the subgrade and compact with a minimum of two passes of a hand-operated plate-type vibratory compactor.

3.12.2 Grading Around Structures

Construct areas within 5 feet outside of each building and structure line true-to-grade, shape to drain, and maintain free of trash and debris until final inspection has been completed and the work has been accepted.

3.13 PLACING TOPSOIL

On areas to receive topsoil, prepare the compacted subgrade soil to a 2 inches depth for bonding of topsoil with subsoil. Spread topsoil evenly to a thickness of 4 inch and grade to the elevations and slopes shown. Do not spread topsoil when frozen or excessively wet or dry. Obtain material required for topsoil from approved offsite areas.

### 3.14 TESTING

Perform testing by a Corps validated commercial testing laboratory or the Contractor's validated testing facility. Submit qualifications of the Corps validated commercial testing laboratory or the Contractor's validated testing facilities. If the Contractor elects to establish testing facilities, do not permit work requiring testing until the Contractor's facilities have been inspected, Corps validated and approved by the Contracting Officer.

- Determine field in-place density in accordance with ASTM D1556/D1556M. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, remove the material, replace and recompact to meet specification requirements.
- b. Perform tests on recompacted areas to determine conformance with specification requirements. Appoint a registered professional civil engineer to certify inspections and test results. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.
- c. Field in-place density also shall be measured by nuclear density gauges in accordance with ASTM D6938. Nuclear density gauges shall be calibrated and certified by organizers designated by State of New York prior to measures of compaction on-site.

### 3.14.1 Fill and Backfill Material Gradation

One test per each stockpile site and one test for the borrow site. Determine gradation of fill and backfill material in accordance with ASTM C136/C136M.

West Point, NY Lincoln Hall Revised RTA Submission

### 3.14.2 In-Place Densities

- a. One test per 1000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.
- b. One test per 1000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.

### 3.14.3 Moisture Contents

In the stockpile, excavation, or borrow areas, perform a minimum of two tests per day per type of material or source of material being placed during stable weather conditions. During unstable weather, perform tests as dictated by local conditions and approved by the Contracting Officer.

3.14.4 Optimum Moisture and Laboratory Maximum Density

Perform tests for each type material or source of material including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 10 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.14.5 Tolerance Tests for Subgrades

Perform continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION during construction of the subgrades.

3.14.6 Displacement of Sewers

After other required tests have been performed and the trench backfill compacted to the finished grade surface, inspect the pipe to determine whether significant displacement has occurred. Conduct this inspection in the presence of the Contracting Officer. Inspect pipe sizes larger than 36 inches, while inspecting smaller diameter pipe by shining a light or laser between manholes or manhole locations, or by the use of television cameras passed through the pipe. If, in the judgment of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, replace or repair the defects as directed at no additional cost to the Government.

3.15 DISPOSITION OF SURPLUS MATERIAL

Remove surplus material or other soil material not required or suitable for filling or backfilling, and brush, refuse, stumps, roots, and timber from Government property and delivered to a licensed/permitted facility or to a location approved by the Contracting Officer.

-- End of Section --

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# Revised Geotechnical Engineering Report

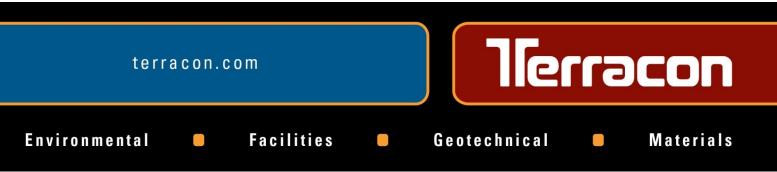
Cullum and Lincoln Hall United States Military Academy, West Point, New York June 16, 2020 Terracon Project No. JD195220



Prepared for: EwingCole-JACOBS JV Federal Reserve Bank Building 100 N. 6<sup>th</sup> Street Philadelphia, PA 19106

### Prepared by:

Terracon Consultants-GeoConcepts Engineering, Inc. Ashburn, Virginia





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A Terracon Company

June 16, 2020

Mr. John Capelli EwingCole Federal Reserve Bank Building 100 N. 6<sup>th</sup> Street Philadelphia, PA 19106

Subject:

**Revised Geotechnical Engineering Report** Cullum and Lincoln Hall (Our JD195220) United States Military Academy, West Point, New York

Dear Mr. Capelli:

GeoConcepts Engineering, Inc. (GeoConcepts) is pleased to present the following revised geotechnical engineering report prepared for Cullum and Lincoln Hall at the United States Military Academy (USMA) West Point, New York.

We appreciate the opportunity to serve as your geotechnical consultant on this project. Please do not hesitate to contact me if you have any questions or want to meet to discuss the findings and recommendations contained in the report.

Sincerely,

GEOCONCEPTS ENGINEERING, INC., A Terracon Company

Katherine N. Fordney, PE **Project Engineer** Katherine.Fordney@terracon.com



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Figure 1: Site Vicinity Map Figure 2: Foundation Subdrainage Diagram

Appendix A: Subsurface Investigation Appendix B: Soil Laboratory Test Results



# 1.0 Scope of Services

This geotechnical engineering report presents the results of the field investigation, soil laboratory testing, and engineering analysis of the geotechnical data. This report specifically addresses the following:

- An evaluation of subsurface conditions within the area of the proposed site development, including a seismic site classification per the International Building Code.
- Subdrainage recommendations for handling of groundwater during design of the mechanical pit.
- Earthwork recommendations for construction of load-bearing fills, including an assessment of on-site soils to be excavated for re-use as fill.
- · Recommendations regarding rock excavation for site development.

Services not specifically identified in the contract for this project are not included in the scope of services.

# 2.0 Site Description and Proposed Construction

The two sites are located at Cullum and Lincoln Halls on the campus of the United States Military Academy (USMA) West Point, New York. Currently, the sites are developed with the existing academic buildings, associated landscaped and parking areas, and Cullum Road to the northwest.

Cullum Hall is a four-story building with two levels above grade and two partially below-grade levels. The building was constructed in 1900 and is considered an historic building. Lincoln Hall is a seven-story building with four levels above grade and three partially below-grade levels, and is also considered an historic building. The elevation (EL) at the site is approximately EL 157 on the west side of the buildings and slope steeply towards the access road on the east side of the buildings to approximately EL 135.



Aerial imagery provided by Google Earth dated April 2016.

Based on the Request for Proposal dated August 9, 2019 provided by the Department of the Army, the proposed construction consists of the renovation and rehabilitation of historic Cullum and Lincoln Halls as part of a comprehensive Area Development Plan for the USMA.



Specifically, at Cullum Hall, we understand that the lowest level of the building, Mechanical Level B3, will be infilled with new structure to gain additional B2 and B1 floor area. To support this infill, an existing mechanical pit located approximately 4 feet below B3 will be lowered several feet to provide adequate head room below the B2 infill level. The new slab on deck infill will be supported by steel beams and columns. We understand that column loads will not exceed 175 kips. We also understand that the renovations of Cullum Hall include the installation of a new elevator.

Specifically, at Lincoln Hall, we understand that the interior of the building will be demolished and completely renovated, with generally no new foundations. A new elevator is included in the renovations.

# 3.0 Subsurface Conditions

Subsurface conditions were investigated by drilling a total of four Standard Penetration Test (SPT) borings in the building areas and three test pits inside the basement of Cullum Hall. The SPT borings were completed by Uni-Tech Drilling of Franklinville, New Jersey under our observation between November 19 and 20, 2019 utilizing 3-¼ inch inside diameter hollow stem auger with automatic hammer. The sampler was advanced by driving the spoon into undisturbed soil under the impact of a 140-lbf hammer free-falling from 30 inches height per ASTM D1586-11. The borings were staked by GeoConcepts using available site plans in the field. The test pits were hand dug by Uni-Tech and Terracon personnel. Test boring and test pit logs and location plans are presented in Appendix A of this report.

## 3.1 Geology

The site lies within the Valley and Ridge Physiographic Province of New York. According to local geologic maps, the site is mapped in rusty and gray biotite-quartz-feldspar gneiss of the Middle Proterozoic geologic period. Based on our subsurface investigation, the sediments and strata correspond favorable to the geologic publications. The underlying natural soils are residual materials derived from the physical and chemical weathering of the underlying bedrock. Rock core photographs are presented in Appendix A of this report.

# 3.2 Stratification

The subsurface materials encountered have been stratified for purposes of our discussions herein. These stratum designations do not imply that the materials encountered are continuous across the site. Stratum designations have been established to characterize similar subsurface conditions based on material gradations and parent geology. The generalized subsurface materials encountered in the test borings completed at the site have been assigned to the following strata:

Stratum A (Existing Fill)	generally loose to medium dense, SILTY SAND, SILTY CLAYEY SAND WITH GRAVEL, POORLY GRADED GRAVEL WITH SILT AND SAND, FILL, dark red, dark brown, gray
Stratum B (Residual Soil)	loose to medium dense, SILTY SAND (SM), dark brown
Stratum C (Rock)	slightly weathered, hard, moderately fractured, GNEISS, blue-gray

The two letter designations included in the strata descriptions presented above and on the test boring logs represent the Unified Soil Classification System (USCS) group symbol and group name for the samples based on laboratory testing per ASTM D2487 and visual classifications per ASTM D2488. It should be noted that visual classifications per ASTM D2488 may not match classifications determined by laboratory testing per ASTM D2487.



# 3.3 Groundwater

Groundwater level observations were made in the field during drilling and up to one day after the completion of the test borings. Longer-term groundwater level readings were obtained in a temporary observation standpipe installed in test boring B-3. Groundwater was not encountered in the soil test borings during drilling or after 24 hours.

Groundwater level observations were also observed during excavation of the interior test pits. Groundwater entered the excavation in both TP-1 and TP-2 at a depth of about 4 feet from the top of slab. Groundwater was not encountered in TP-3.

The generalized groundwater flow direction and depth can be estimated based on the topography of the landscape and the results of deeper borings previously completed by Terracon within 0.5 miles from the current project location. Review of the regional topography of the site show that two large lineaments intersect at the project locations, which imply that there may be fracture sets within the bedrock that converge underneath the existing structure. In this case, water is estimated to flow from west to east through the implied fracture sets and down the escarpment that is between the project site and the Hudson River. Based on the observed water levels in the soil test borings from other Terracon projects, the depths ranged from 25 feet to 40 feet below the surface; therefore, the primary water table below the current project site may be located in the bedrock, and is estimated to range in elevation from about EL 106 to EL 121 feet above sea level.

The groundwater observations presented herein are considered to be an indication of the groundwater levels at the dates and times indicated. Accordingly, the groundwater information presented herein should be used with caution. Also, fluctuations in groundwater levels should be expected with seasons of the year, construction activity, changes to surface grades, precipitation, or other similar factors.

# 3.4 Soil Laboratory Test Results

Selected soil samples obtained from the field investigation were tested for grain size distribution, Atterberg limits, and natural moisture contents. A summary of soil laboratory test results is presented below in Table 3.4-1, and the results of natural moisture content tests are presented on the test boring logs in Appendix A.

Teet					Sie Resi			terbe Limits		Natural
Test Boring No.	Depth (ft)	Sample Type	Stratum	Description of Soil Specimen	Percent Retained #4 Sieve	Percent Passing #200 Sieve	LL	PL	PI	Moisture Content (%)
B-3	2.0-4.0	Jar	А	SILTY SAND (SM)	12.1	29.1	NP	NP	NP	14.8
B-3	6.0-8.0	Jar	А	SILTY CLAYEY SAND WITH GRAVEL (SC-SM)	34.2	23.3	27	21	6	15.2
B-4	7.0-9.0	Jar	А	SILTY SAND (SM)	0.2	13.1	NP	NP	NP	18.4

Table 3.4-1: Summary of Soil Laboratory Test Results

Notes:

1. Soil tests are in accordance with applicable ASTM standards

2. Soil classification symbols are in accordance with Unified Soil Classification System

3. There was not enough soil encountered to perform soil laboratory testing in B-1 or B-2

4. Visual identification of samples is in accordance with ASTM D2488

5. Key to abbreviations: LL = liquid limit; PL = plastic limit; PI = plasticity index; NP = nonplastic

Table 3.4-2 below shows the results of the USDA classification testing. Soil samples from test pits where water was encountered were tested for textural analysis. Since water was not encountered during the excavation of TP-3, USDA classification testing was not performed for this test pit. Published correlations between USDA classifications and infiltration rates were used to provide estimated hydraulic conductivity



values. Since hydraulic conductivity and infiltration values are essentially equal at no head conditions, using the hydraulic conductivity values to estimate the infiltration rates provides a conservative estimate of infiltration rate. Estimated infiltration rates using the USDA soil texture classifications are also presented below in Table 3.4-2.

Test Boring No.	Sample Depth (ft)	Percent Sand	Percent Silt	Percent Clay	USDA Soil Texture Classification	Estimated Infiltration Rate (inches/hr)
TP-1	4.0-4.5	79.6	18.0	2.3	Loamy Sand	2.41
TP-2	3.0-4.0	71.6	18.0	10.3	Sandy Loam	1.02

Table 3.4-2: USDA Classification Test Results

# 3.5 Seismic Site Classification

Based on the results of the subsurface investigation and our knowledge of local geologic conditions, the site soils have been assigned to a site class C per the International Building Code (IBC).

# 3.6 Test Pit Investigation

Three test pits were excavated inside the basement of Cullum Hall to document the depth and dimension of the existing footings. Foundations were excavated to a depth of about 4 feet in TP-1 and TP-2. Foundations were not encountered in either TP-1 or TP-2. TP-3 encountered what appeared to be a rubble foundation at a depth of approximately 3.5 feet measured from the top of slab. The test pits generally indicated existing fill soils visually classified as silty sand with gravel below the existing footings. Test pit logs, a test pit location plan, and test pit photographs are presented in Appendix A of this report.

# 3.7 Metal Corrosion/Concrete Attack Test Results

In addition to standard geotechnical soil laboratory testing, two samples were submitted to an analytical laboratory for metal corrosion and concrete attack testing. Corrosion testing consisted of analysis for moisture content (EPA), pH (CA-643), resistivity (ASTM G187), sulfides (EPA 376.2), a reduction-oxidation potential (Electrode), sulfates (EPA 375.4), and chloride (CA-422). The results of these tests are presented below in Table 3.7-1:

Test Boring No.	Sample Depth (ft)	Moisture Content (%)	рН	Resistivity (ohm – cm)	Sulfides (ppm)	Red-ox Potential (mV)	Point Total
TP-1	0.0-5.0	8.1	7.6	3900	<1.2	+92	6.5
TP-3	0.0-5.0	10	8.1	6200	<1.2	+74	6.5

Table 3.7-1: Analytical Laboratory Test Results

For each test, points are assigned based on the range of the test results. If the total points from the five tests completed for a particular sample are 10 or more, the soil is considered to be corrosive. The methods described herein are based on information from the American Water Works Association (AWWA) for ductile iron pipes. Using the methods described by AWWA, the point total for the samples tested averaged 6.5. Accordingly, the site soils are considered non-corrosive to ductile iron pipes.

Sulfate (EPA 375.4) tests were performed on the soil samples to determine the severity of a sulfate attack on concrete structures. The results of the sulfate and chloride testing are presented below in Table 3.7-2.



Test Boring No.	Sample Depth (ft)	Sulfates (ppm)
TP-1	0.0-5.0	170
TP-3	0.0-5.0	320

Table 3.7-2: Analytical Laboratory Test Results

Based on the results of the sulfate and chloride tests, the severity of concrete attack is calculated to be negligible.

# 4.0 Engineering Analysis

Recommendations regarding soil design parameters, subdrainage, earthwork, and rock excavation are presented herein.

# 4.1 Soil Design Parameters

We recommend the following soil design parameters as presented below in Table 4.1-1 be used for this project:

	Total	Angle of	Lateral Earth Press Coefficients		
Stratum	Unit Weight (pcf)	Internal Friction (degrees)	Active (Ka)	At- Rest (Ko)	Passive (Kp)
New Compacted Fill	120	30	0.33	0.50	3.00
Strata A and B Coarse-grained soil	125	32	0.31	0.47	3.25
Stratum C Weathered Rock	130	36	0.26	0.41	3.85

Table 4.1-1: Soil Design Parameters

# 4.2 Foundations – Cullum Hall Infill over Mechanical Pit

The new slab on deck infill can be supported by spread footings bearing on natural soils or bedrock, or on alternate micropiles. Detailed recommendations for each foundation system are presented in the following sections of this report.

### 4.2.1 Spread Footings

Based on the B3 floor elevation for the existing mechanical pit, compact natural soils or bedrock should generally be encountered at spread footing bearing elevations. Spread footings founded in these materials are considered suitable for support of the proposed infill over the mechanical pit, and may be designed with a net allowable soil bearing pressure of 3,000 psf when bearing on natural soils and 8,000 psf when bearing on bedrock. In order to achieve the design bearing pressure, lowering or undercutting of specific footings may be required, especially if existing backfill is encountered. Temporary shoring of the existing structure may be required if undercutting of bearing soils is required. It is critical that all footing subgrades be observed and approved for the appropriate bearing pressure by the geotechnical engineer, prior to placement of steel reinforcement and concrete.

If the footings bear on bedrock, then rock excavation should be advanced to form level bearing grades at the bottom of the foundation excavation. Loose or shattered rock layers should be removed to provide a sound and unshattered base for foundations. Where the top of bedrock is uneven, it would be acceptable to use a minus <sup>3</sup>/<sub>4</sub>-inch crushed stone or lean concrete to create a level working surface for the foundation.



Detailed rock excavation recommendations can be found in Section 4.5 of this report. If both the existing footings and new footings are founded on rock, then underpinning is not required.

Individual column footings and continuous wall footings should be at least 30 inches and 18 inches wide, respectively, for local or punching shear considerations. A maximum slope of one horizontal to one vertical (1H:1V) should be maintained between the bottom edges of adjacent footings. Total and differential settlement should not exceed about 0.5 inch if footings are placed on compact natural soils or bedrock.

Footing subgrades should be observed and approved by the Geotechnical Engineer of Record or his/her representative prior to placement of concrete, to ascertain that footings are placed on suitable bearing soils as recommended herein. Footings should be excavated and concrete placed the same day in order to avoid disturbance from water or weather. Disturbance of footing subgrades by exposure to water seepage or weather conditions should be avoided. Any existing fill, disturbed, frozen, or soft subgrade soils should be removed prior to placing footing concrete. It may be desirable to place a 3- to 4-inch thick "mud mat" of lean concrete immediately on the approved footing subgrade to avoid softening of the exposed subgrade. Forms may be used if necessary, but less subgrade disturbance is anticipated if excavations are made to the required dimensions and concrete placed against the soil. If footings are formed, the forms should be removed and the excavation backfilled as soon as possible. Water should not be allowed to pond along the outside of footings for long periods of time.

A passive equivalent fluid pressure of 375D, where D represents the depth of embedment of the foundations, may be used for design of the slab infill. A subgrade modulus of 150 pci is recommended for this site.

### 4.2.2 Alternate Micropiles

Drilled 5.5-inch or 7-inch diameter micropiles may be considered to support the slab infill area. Micropiles may be designed for an allowable capacity of 60 kips per pile. Piles should extend at least 8 feet or 12 feet into bedrock for 7-inch or 5.5-inch diameter piles, respectively.

Drilled and cased borings that are pressure injected with grout should be specified for micropiles. The casing can either be left in place or replaced with a full-length, high-strength steel bar for reinforcement.

The grout should be injected from the lowest point of the drill hole. The grout may be tremied through pump tubes, casing, hollow-stem-augers or drill rods. Grouting of micropiles should be completed the same day they are drilled and no micropile should be grouted to intermediate depths. The contractor should be required to have a sufficient variety of casing lengths to allow the sealing of shafts at the most economical depths. The contractor should also be equipped to handle hard drilling in gravel and cobbles, which will be encountered during installation of the drilled micropiles.

# 4.3 Subdrainage – Cullum Hall Mechanical Pit

Groundwater was encountered at depths of about 4 feet below the existing ground surface during excavation of the test pits at Cullum Hall. Based on the groundwater observations and proposed lower floor elevations of the deepened mechanical pit, temporary and permanent subdrainage is recommended, as presented below.

### 4.3.1 Temporary Construction Dewatering

Based on the groundwater data, we recommend that the contractor be prepared to provide temporary dewatering during construction if groundwater is present during excavations for foundations. We recommend that the dewatering consist of both an aggressive system of individual sumps and pumps during excavation. To help maintain bottom stability of excavations, groundwater levels should be drawn-down a minimum of 3 feet below the lowest portion of the excavation.

It is critical that as soon as water seepage is observed, the contractor should excavate surface trenches from the observed water seepage to a sump pit and sump pump. If the water is allowed to saturate subgrades, softening of the subgrade will occur very quickly and extra costs will be incurred. However, if



the contractor can channel the water to a sump pit and keep the majority of the subgrade from getting saturated, extra costs due to water softening should be significantly reduced. The temporary dewatering system should remain in place until the floor slab subgrades are approved and the permanent underfloor subdrainage system is installed and operational.

It should be understood that the groundwater information presented herein should be used with caution. Also, fluctuations in groundwater levels should be expected with seasons of the year, construction activity, changes to surface grades, precipitation, or other similar factors. Therefore, water levels presented in this report may not be representative of those encountered at the time of construction. It should be the responsibility of the contractor to verify groundwater conditions and evaluate dewatering requirements prior to bidding and/or construction.

### 4.3.2 Permanent Subdrainage

The permanent subdrainage should consist of perimeter and underfloor subdrainage. Typical subdrainage details are presented as Figure 2 at the end of this report. Any building elements extending below the subdrainage system, including the extension of existing basements walls, should be designed for hydrostatic and uplift pressures, and be waterproofed.

Subdrainage piping should be placed below the lowered mechanical pit floor slab. Subdrainage piping should consist of 4-inch diameter corrugated polyethylene tubing per ASTM F405, with a maximum slot width of 1/8-inch. This tubing should be placed using straight sections, with standard available connections at the junctions or along continuous lines. Pipes may be placed essentially level with inverts at least 12 inches below the final slab grade. To minimize infiltration of silt-size fines into the pipes, the pipes should be placed with at least 6 inches of filter material on both sides and bottom, and 2 inches of filter material above the pipe. AASHTO No. 7 stone should be used for the aggregate filter. For added protection against siltation, the aggregate filter material should be wrapped in filter fabric with an equivalent opening size not larger than the US Standard No. 70 sieve. Cleanouts should be incorporated into the system after every second right angle bend in the subdrainage pipe, to facilitate flushing of the system. The crushed stone layer below the floor slab will also serve as part of the subdrainage system.

It is expected that collected groundwater will outlet to a sump pit installed below the lower floor level, for outlet by pumping. Pumps with capacities of about 50 gpm per pump should be initially selected. However, flow measurements of the temporary dewatering system during construction should be made by the Contractor. Using this data, the size of the pumps should be adjusted, if necessary, based on the results of field measurements during construction. Two sources of power should be used to operate the pumping system and back-up pumping capabilities should be provided in the event of a power failure.

Prefabricated drainage geocomposites should be placed against the outside of pit walls. A layer of filter fabric equivalent to the filter fabric recommended for the subdrainage system should be used between the drainage geocomposite and the soil backfill. Water that collects on the geocomposite should be collected in the perimeter subdrainage system installed at the base of the pit walls, and then be discharged to the interior sump pit by solid piping (weepholes) through the base of the wall. Newly constructed below grade walls should also be waterproofed to minimize the migration of water through below grade walls. Details regarding waterproofing should be provided by a waterproofing specialist and are beyond the scope of this report.

## 4.4 Earthwork

Fill may be required for site improvements in building and pit areas. Unsuitable existing fill, soft or loose natural soils, organic material, and rubble should be stripped to approved subgrades as determined by the geotechnical engineer.

Fill material should be placed in lifts not exceeding 8 inches loose thickness, with fill materials compacted by hand operated tampers or light compaction equipment placed in maximum 4-inch thick loose lifts. Fill



should be compacted at +/- 2% of the optimum moisture content to at least 95 percent of the maximum dry density per ASTM D698.

Materials used for compacted fill should consist of soils classifying SC, SM, SP, SW, GC, GM, GP, or GW per ASTM D2487, with a maximum dry density greater than 105 pcf. Materials used for backfill against walls below grade should consist of soils classifying SM, SP, SW, GM, GP, or GW, with a liquid limit and plasticity index less than 40 and 15, respectively. It is expected that the majority of soils excavated at the site will be suitable for re-use as fill based on classification. However, the Stratum A existing fill may not be suitable for re-use as new compacted fill due to deleterious man-made materials in the fill. In addition, drying of excavated soils by spreading and aerating may be necessary to obtain proper compaction. This may not be practical during the wet period of the year. Accordingly, earthwork operations should be planned for early spring through late fall, when drier weather conditions can be expected. Individual borrow areas, both from on-site and off-site sources, should be sampled and tested to verify classification of materials prior to their use as fill.

Fill materials should not be placed on frozen or frost-heaved soils, and/or soils that have been recently subjected to precipitation. All frozen or frost-heaved soils should be removed prior to continuation of fill operations. Borrow fill materials should not contain frozen materials at the time of placement.

Compaction equipment that is compatible with the soil type used for fill should be selected. Theoretically, any equipment type can be used as long as the required density is achieved; however, sheepsfoot roller equipment are best suited for fine-grained soils and vibratory smooth drum rollers are best suited for granular soils. Ideally, a smooth drum roller should be used for sealing the surface soils at the end of the day or prior to upcoming rain events. In addition, compaction equipment used adjacent to walls below grade should be selected so as to not impose undesirable surcharge on walls. All areas receiving fill should be graded to facilitate positive drainage of any water associated with precipitation and surface run-off.

### 4.5 Rock Excavation

The majority of excavations to reach proposed building grades should generally be feasible using normal earth moving equipment; however, rock excavation methods such as hoe-ramming may be required for some of the site development. The elevations where rock excavation methods may be required for removal of bedrock at the test boring locations are estimated below in Table 4.5-1, and are based on materials equal to or harder than an SPT resistance of 50/3":

Test Boring No.	Estimated Elevation Where Rock Excavation Methods May be Required
B-1	EL 136
B-2	EL 137
B-4	EL 136

Table 4.5-1: Estimated Elevation Where Rock Excavation Methods May be Required

The elevations given above are based upon the use of normal earth excavation equipment including up to a Caterpillar 330 hydraulic backhoe or equivalent, for mass excavation. Project specifications should include the following as a definition of rock excavation for mass excavation: "Rock is defined as any material which cannot be dislodged by a Caterpillar 330 hydraulic backhoe without the use of hoe-ramming. This classification does not include material such as loose rock, concrete or other materials that can be removed by means other than hoe-ramming, but which for reasons of economy in excavating, the contractor chooses to remove by hoe-ramming." Variations in rock conditions should be expected from the elevations presented in the table above, since the rock surface can vary over the site. Also, the extent of rock excavation will depend on Contractor's methods, rock jointing, and rock foliation/bedding.



# 5.0 General Limitations

Recommendations contained in this report are based upon the data obtained from the relatively limited number of test borings and test pits. This report does not reflect conditions that may occur between the points investigated, or between sampling intervals in test borings. The nature and extent of variations between test borings and test pits and sampling intervals may not become evident until the course of construction. Therefore, it is essential that on-site observations of subgrade conditions be performed during the construction period to determine if re-evaluation of the recommendations in this report must be made. It is critical to the successful completion of this project that GeoConcepts be retained during construction to observe the implementation of the recommendations provided herein.

This report has been prepared to aid in the evaluation of the site and to assist your office and the design professionals in the design of this project. It is intended for use with regard to the specific project as described herein. Changes in proposed construction, grading plans, structural loads, etc. should be brought to our attention so that we may determine any effect on the recommendations presented herein.

An allowance should be established for additional costs that may be required for foundation and earthwork construction as recommended in this report. Additional costs may be incurred for various reasons including wet fill materials, soft subgrade conditions, unexpected groundwater problems, rock excavation, etc.

This report should be made available to bidders prior to submitting their proposals to supply them with facts relative to the subsurface conditions revealed by our investigation and the results of analyses and studies that have been performed for this project. In addition, this report should be given to the successful contractor and subcontractors for their information only.

We recommend the project specifications contain the following statement: "A geotechnical engineering report has been prepared for this project by GeoConcepts Engineering, Inc. This report is for informational purposes only and should not be considered part of the contract documents. The opinions expressed in this report are those of the geotechnical engineer and represent their interpretation of the subsoil conditions, tests and results of analyses that they performed."

This report was prepared in accordance with generally accepted geotechnical engineering practices. No warranties, expressed or implied, are made as to the professional services included in this report.

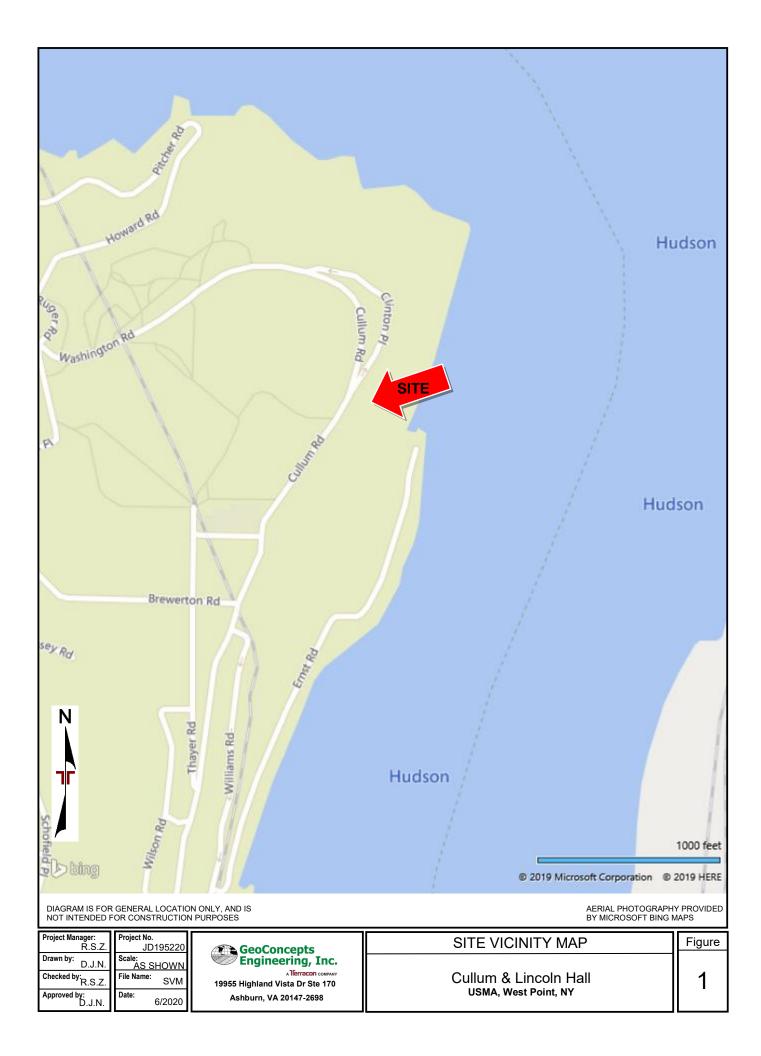
We appreciate the opportunity to be of service for this project. Please contact the undersigned if you require clarification of any aspect of this report.

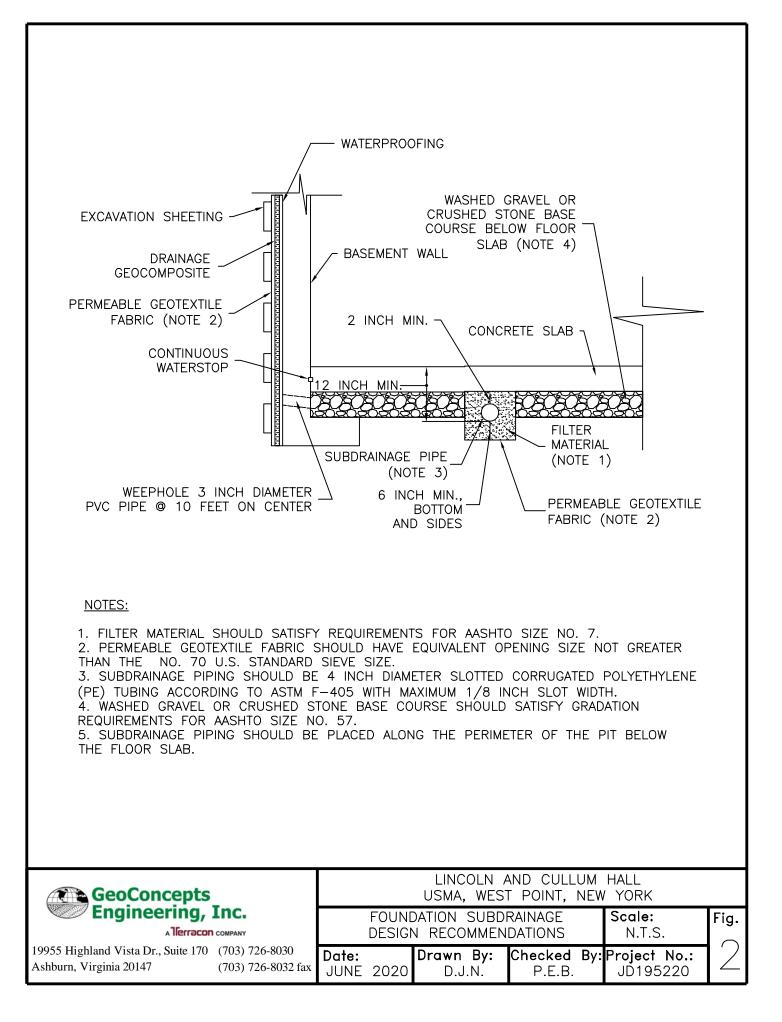
Sincerely,

TERRACON CONSULTANTS, INC.

Paul E. Burkart, PE (VA) Senior Principal Michele A. Fiorillo, PE (NY) Geotechnical Department Manager

DN/KF/PEB/clm/MF N:\Projects\2019\JD195220\PROJECT DOCUMENTS (Reports-Letters-Drafts to Clients)\GER\Cullum & Lincoln Hall GER.docx





# Terracon

# Appendix A Subsurface Investigation

Subsurface Investigation Procedures (1 page) Identification of Soil (1 page) Engineering Descriptions of Rock (1 pages) Figure 3, Boring Location Plan (1 page) Figure 4, Test Pit Location Plan (1 page) Figure 5, Subsurface Diagram A-A' (1 page) Test Boring and Test Pit Notes (1 page) Test Boring Logs (4 pages) Test Pit Logs (3 pages) Rock Core Photograph (1 page) Test Pit Photographs (3 pages)



# Subsurface Investigation Procedures

### 1. Test Borings – Hollow Stem Augers

The borings are advanced by turning an auger with a center opening of 3-1/4 inches. A plug device blocks off the center opening while augers are advanced. Cuttings are brought to the surface by the auger flights. Sampling is performed through the center opening in the hollow stem auger, by standard methods, after removal of the plug. Usually, no water is introduced into the boring using this procedure.

### 2. Standard Penetration Tests

Standard penetration tests are performed by driving a 2-inch O.D., 1-3/8 inch I.D. sampling spoon with a 140-pound hammer falling 30 inches, according to ASTM D1586. After an initial 6 inches penetration to assure the sampling spoon is in undisturbed material, the number of blows required to drive the sampler an additional 12 inches is generally taken as the N value. In the event 30 or more blows are required to drive the sampling spoon the initial 6-inch interval, the sampling spoon is driven to a total penetration resistance of 100 blows or 18 inches, whichever occurs first.

### 3. Dynamic Cone Penetration Tests

Testing is performed by driving a 1-3/4 inch diameter penetration cone with a 15-pound hammer free falling 20 inches. The number of blows required to drive the cone for an interval of 1-3/4 inches is recorded. The cone was generally driven for three intervals at each test depth, with the first interval considered a seating interval.

### 4. Rock Core Drilling

Rock is core drilled using NQ size core bits set with carbide steel or diamond, depending upon the rock texture. The bit is fitted onto a double tube swivel-type core barrel in which an exterior tube and bit rotate, and an interior barrel remains stationary to receive the rock core. Water is circulated between the barrels and across the bit face to cool the core bit and to flush away cuttings.

### 5. Test Pits

Test pits were excavated using a jackhammer and a shovel. Test pits were excavated to a maximum depth of 4 feet below the existing ground surface. On completion of the test pit observations, test pits were backfilled with excavated soil material to existing grades. It should be noted that although some effort to compact backfill soils in test pit excavations was made during the field investigation, some settlement of test pit backfill materials should be expected.

### 6. Temporary Groundwater Observation Standpipe

A temporary groundwater observation standpipe was installed in test boring B-3 to observe groundwater levels. The standpipes were installed by inserting a 1-1/4 inch diameter plastic pipe through the 3-1/4 inch center opening of the auger. Groundwater level observations were made as shown on the test boring logs. The standpipes were removed from the test borings after completion of the final water level readings.

### 7. Test Boring Stakeout

The test boring stakeout was provided by GeoConcepts personnel using available site plans. Ground surface elevations were estimated from topographic information contained on the site plan provided to us and should be considered approximate. If the risk related to using approximate boring locations and elevations is unacceptable, we recommend an as-drilled survey of boring locations and elevations be completed by a licensed surveyor.



# Identification of Soil

I. DEFINITION OF	SOIL GROUP NAMES	ASTM D2487	Symbol	Group Name
	Create	Clean Gravels	GW	WELL GRADED GRAVEL
Coarse-Grained Soils	Gravels More than 50% of coarse	Less than 5% fines	GP	POORLY GRADED GRAVEL
More than 50%	fraction	Gravels with Fines	GM	SILTY GRAVEL
retained	retained on No. 4 sieve	More than 12% fines	GC	CLAYEY GRAVEL
on No. 200 sieve		Clean Sands	SW	WELL GRADED SAND
	Sands 50% or more of coarse fraction passes No. 4 sieve	Less than 5% fines	SP	POORLY GRADED SAND
		Sands with fines More than 12% fines	SM	SILTY SAND
			SC	CLAYEY SAND
		Inorganic	CL	LEAN CLAY
	Silts and Clays Liquid Limit less than 50		ML	SILT
Fine-Grained Soils		Organic	OL	ORGANIC CLAY
50% or more passes	30			ORGANIC SILT
the No. 200 sieve		Inorganic	СН	FAT CLAY
	Silts and Clays		MH	ELASTIC SILT
	Liquid Limit 50 or more	Organic	ОН	ORGANIC CLAY
				ORGANIC SILT
Highly Organic Soils	Primarily organic matter, dark	in color, and organic odor	PT	PEAT

### II. DEFINITION OF MINOR COMPONENT PROPORTIONS

Minor Component	Approximate Percentage of Fraction by Weight
Gravelly, Sandy (adjective)	30% or more coarse grained
Sand, Gravel	15% to 29% coarse grained
Silt, Clay	5% to 12% fine grained

### III. GLOSSARY OF MISCELLANEOUS TERMS

SYMBOLS	Unified Soil Classification Symbols are shown above as group symbols. Use "A" Line Chart for laboratory identification. Dual symbols are used for borderline classification.
BOULDERS & COBBLES	Boulders are considered pieces of rock larger than 12 inches, while cobbles range from 3 to 12 inches.
WEATHERED ROCK	Residual rock material with a standard penetration test (SPT) resistance of at least 50 blows per 6 inches.
ROCK/SPOON REFUSAL	Rock material with a standard penetration test (SPT) resistance of 50 blows for 1 inch.
ROCK FRAGMENTS	Angular pieces of rock which have separated from original vein or strata and are present in a soil matrix. Only used in residual soils
QUARTZ CEMENTED SAND	A hard silicate mineral often found in residual soils. Only used when describing residual soils. Usually localized rock-like deposits within a soil stratum composed of sand grains cemented by calcium carbonate, iron oxide, or other minerals. Commonly encountered in Coastal Plain sediments, primarily in the Potomac Group sands (Kps).
MICACEOUS	A term used to describe soil that "glitters" or is shiny. Most commonly encountered in fine-grained soils.
ORGANIC MATERIALS	Topsoil - Surface soils that support plant life and contain organic matter.
(Excluding Peat)	Lignite - Hard, brittle decomposed organic matter with low fixed carbon content (a low grade of coal).
FILL	Man-made deposit containing soil, rock, and other foreign matter.
CONTAINS	This is used when a soil contains a secondary component that does not apply to a USCS classification.
WITH	This is used when a residual soil contains a secondary component that is included in the USCS classification.
PROBABLE FILL	Soils which contain no visually detected foreign matter but which are suspect with regard to origin.
LAYERS	<sup>1</sup> / <sub>2</sub> to 12 inch seam of minor soil component.
COLOR	Two most predominant colors present should be described.
MOISTURE CONDITIONS	Wet, moist, or dry to indicate visual appearance of specimen.
GRAIN SIZE	Fine-medium-coarse



# Engineering Descriptions of Rock

The terminology used by GeoConcepts to describe rock cores can also be applied to rock outcrops and hand sized specimens.

Rock Hardness	
Very Hard	Specimen cannot be scratched with a knife.
Hard	Specimen can be scratched with a knife with difficulty.
Moderately Hard	Specimen can be scratched with a knife with ease.
Soft	Specimen can be scratched with a fingernail.
Very Soft	Specimen can be deformed by hand.
Rock Weathering	
Unweathered	No evidence of chemical or mechanical alteration
Slightly Weathered	slight discoloration on surface, slight alteration along fractures, <10% of the rock volume altered
Moderately Weathered	Obvious discoloration, iron and magnesium minerals look rusty, 10-50% of the rock volume altered
Highly Weathered	Entire mass discolored, alteration through most of the rock, some pockets of slightly weathered rock, some minerals leached away
Decomposed	Rock reduced to soil with relic rock structure. Can be molded and crumbled by hand

### Fracturing (excludes mechanical breaks)

Very widely fractured:	No observed fractures. Spacing >5 ft.
Slightly Fractured:	Core recovered mostly in lengths of 2 ft. to less than 5 ft.
Moderately Fractured:	Core recovered mostly in lengths of 0.7 ft. to less than 2 ft.
Highly Fractured:	Core recovered mostly in lengths of 0.2 ft. to less than 0.7 ft.
Intensely Fractured:	Core recovered mostly in lengths of less than 0.2 ft.

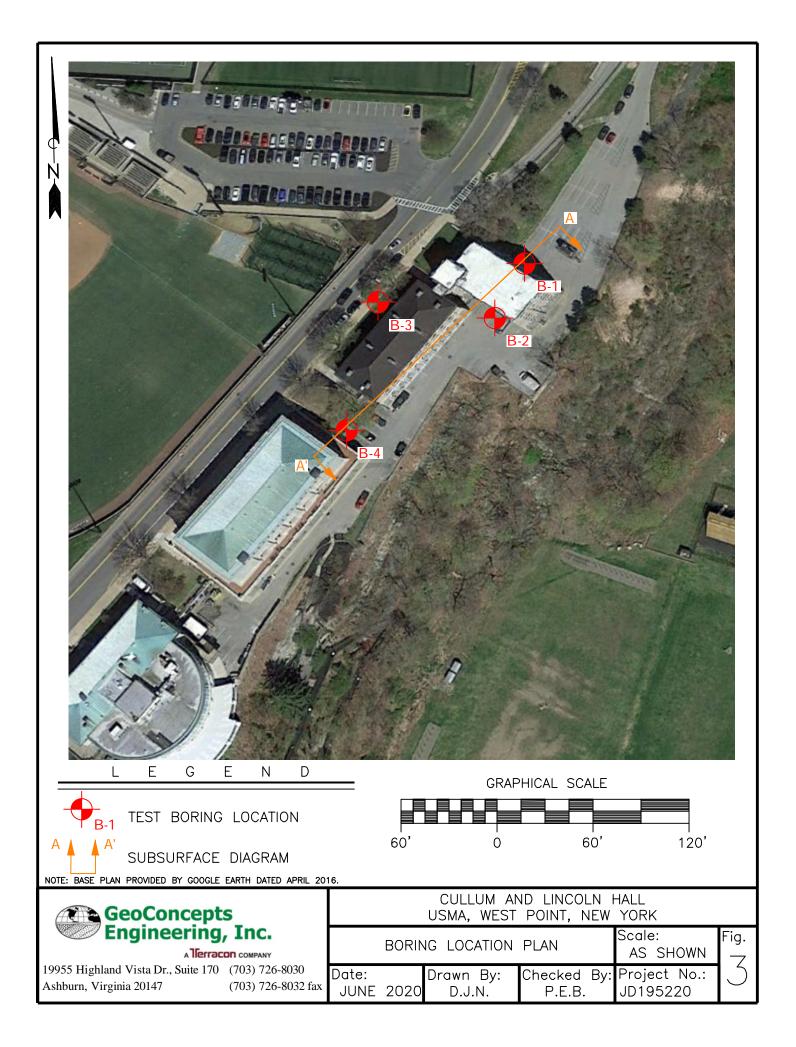
### Percent Core Recovery and Rock Quality Designation

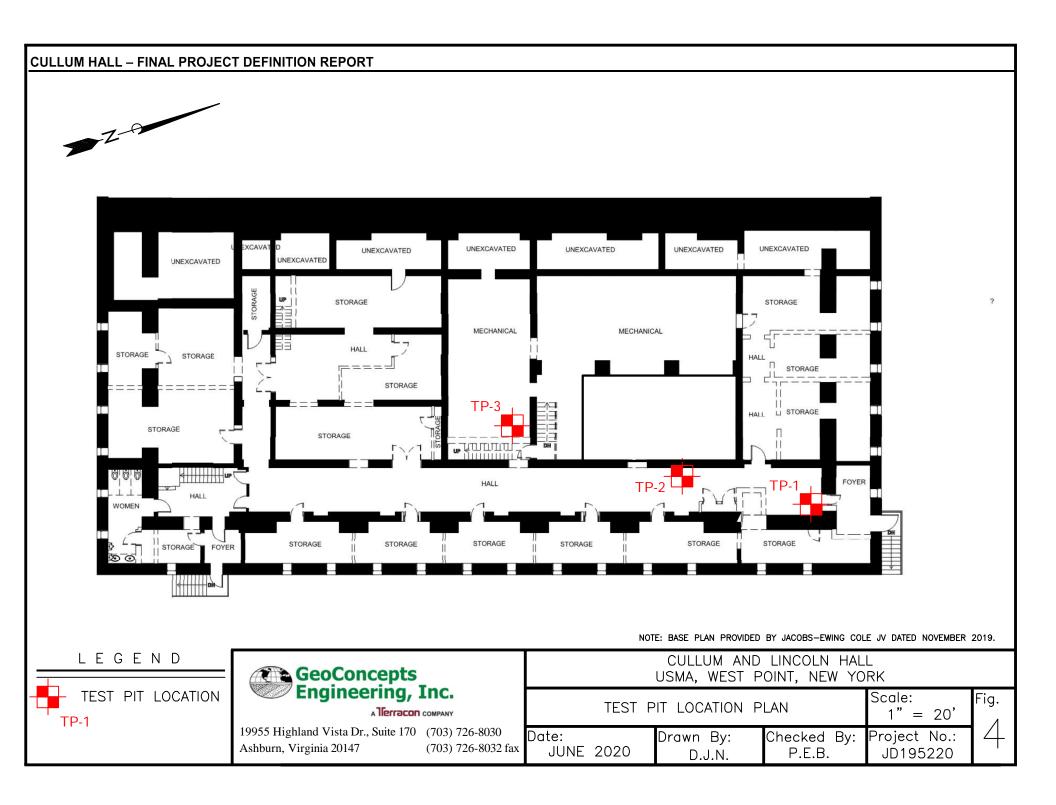
Percent Core Recovery: Percent of recovered core calculated as the length of the core recovered, divided by the length of the core run, times 100.

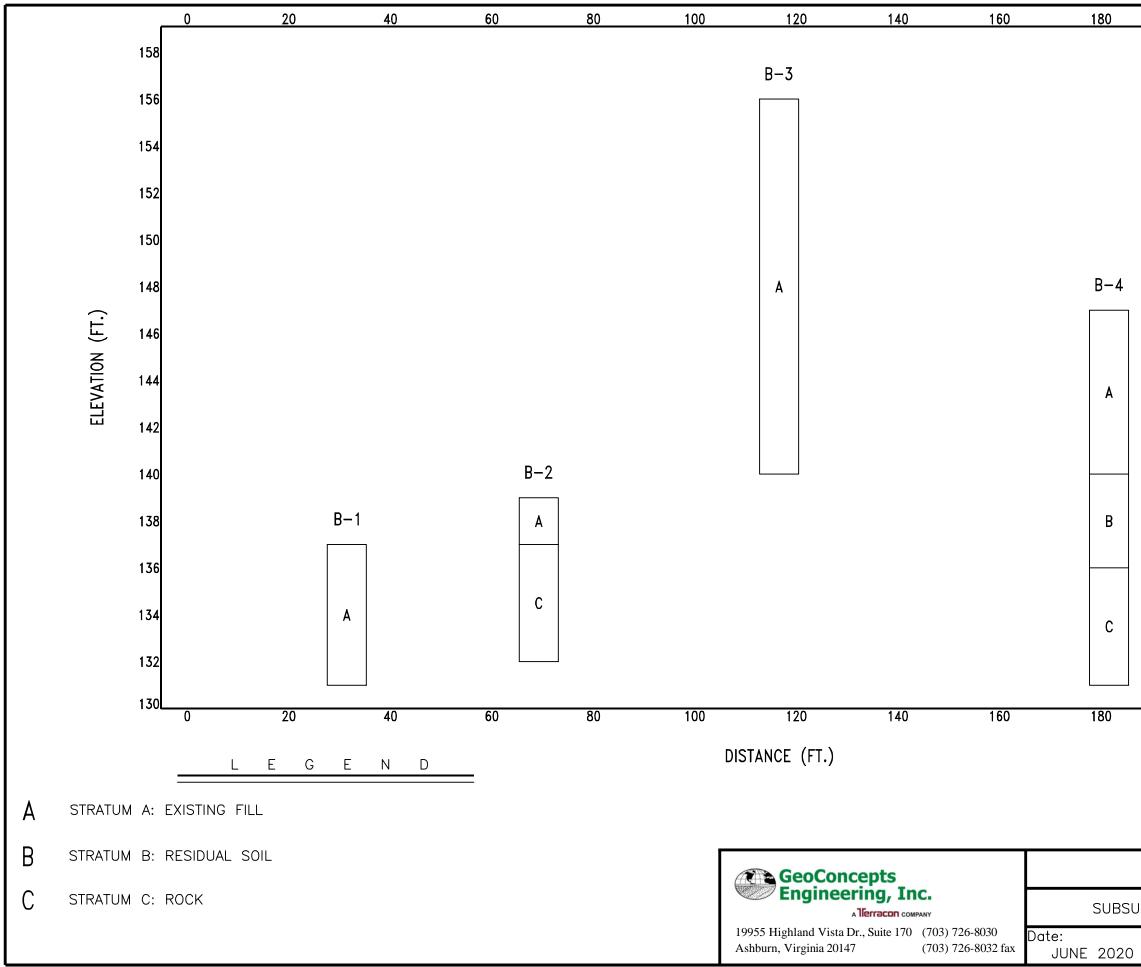
Rock Quality Designation: RQD is the summed length of individual rock core pieces 4 inches or greater divided by the length of the rock core run times 100. Based on the percent RQD the following designations are assigned:

RQD	Designation
0 to 25 %	Very Poor Rock
25 to 50 %	Poor Rock
50 to 75 %	Fair Rock
75 to 90 %	Good Rock
90 to 100 %	Excellent Rock

For example, the sum of the rock core pieces greater than 4 inches in length from a core run of 5.0 feet equals 3.5 feet, then the RQD equals 3.5 feet, divided by 5.0 feet, times 100, or 70 % - Fair Rock.







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	CULLUM AND LINCOLN HALL USMA, WEST POINT, NEW YORK							
SURF	ACE DIAGRAM	Scale: AS SHOWN	Fig.					
C	Drawn By: D.J.N.	Checked By: P.E.B.	Project No.: JD195220	C				



# Test Boring and Test Pit Notes

- 1. Classification of soil is by visual inspection and is in accordance with the Unified Soil Classification System.
- 2. Estimated groundwater levels are indicated on the logs. These are only estimates from available data and may vary with precipitation, porosity of soil, site topography, etc.
- 3. Sampling data presents standard penetrations for 6-inch intervals or as indicated with graphic representations adjacent to the sampling data.
- 4. The energy applied to the split-spoon sampler using the automatic hammer is about 33 percent greater than the applied energy using the standard safety hammer. The hammer blows shown on the boring logs are uncorrected for the higher energy.
- 5. The logs and related information depict subsurface conditions at the specific locations and at the particular time when drilled. Soil conditions at other locations may differ from conditions occurring at the test locations. Also, the passage of time may result in a change in the subsurface conditions at the test locations.
- 6. The stratification lines represent the approximate boundary between soil types as determined in the sampling operation. Some variation may be expected vertically between samples taken. The soil profile, groundwater level observations and penetration resistances presented on the logs have been made with reasonable care and accuracy and must be considered only an approximate representation of subsurface conditions to be encountered at the particular location.
- 7. Test pit excavations are logged to provide a record for geotechnical evaluation, construction inspection or other specialized purpose. Any significant features such as existing fill conditions, underground structures, groundwater or water seepage conditions, etc. are recorded.
- 8. Weathered rock is defined as residual earth material with a penetration resistance between 50 blows per 6 inches and refusal. Spoon refusal at the surface of rock, boulders, or obstructions is defined as a penetration resistance of 50 blows for 1 inch penetration. Auger refusal is taken as the depth at which further penetration of the auger is not possible without risking significant damage to the drilling equipment.
- 9. Rock Quality Designation (RQD) represents the sum of cores recovered with lengths of 4-inches or longer, divided by the total length of rock core, expressed in percentage.

19955 Highland Vista Dr., #170 Ashburn, Virginia 20147 703-726-8030 703-726-8032 fax

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19955 Highland Vista Dr., #170 Ashburn, Virginia 20147 703-726-8030 703-726-8032 fax

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19955 Highland Vista Dr., #170	
Ashburn, Virginia 20147	

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	S-EwingCole JV	Michael Shepherd		9 - 11/21/19	
PROJECT NUMBER:	GROUND SURFACE ELEVATION (ft.):	EQUIPMENT:	DRILL RIG:	5-11/21/15	
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	55 Highland Vista Dr., #170 burn, Virginia 20147
PROJECT:	LOGGED BY:
Cullum and Lincoln Hall	J. Von Erden

703-726-8030	
703-726-8032 f	ax

PROJECT: Cullum and Lincoln Hall LOCATION: USMA, West Point, New York OWNER/CLIENT:			LOGGED BY: J. Von Erden EXCAVATION CONTRACTOR: Uni-Tech Drilling OPERATOR: DATES DRILL		TEST PIT NUMBER: TP-2 SHEET 1 OF 1 LLED:									
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L THE STRATIFICATION LINES REPRESENT APPROXIMATE BOUNDARIES. THE TRANSITION MAY BE GRADUAL.

Engineering, Inc.	19955 Highland Vista Dr., #170 Ashburn, Virginia 20147
PROJECT:	LOGGED BY:
Cullum and Lincoln Hall	J. Von Erden
LOCATION:	EXCAVATION CONTRACTOR:

703-726-8030 703-726-8032 fax

PROJE	CT:					LOGGED BY:		TEST PIT NUMBER:	
				Cullum and	Lincoln Hall	J. Von Erden			
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			ι	JSMA, West P	oint, New York	Uni-Tech Drilling		SHEET 1 OF 1	
OWNE	R/CL	IENT:				OPERATOR:	DATES DRILL	ED:	
				JACOBS-Ev	wingCole JV	Michael Shepherd		21/19 - 11/21/19	
PROJE	CT N	IUMBE	R:		GROUND SURFACE ELEVATION (ft.):	EQUIPMENT:	DRILL RIG:		
JD195220 NOT SURVEYED Hand Auger							1	_	
DEPTH AND A A A A A A A A A A A A A A A A A A								DCP BLOW COUNTS	Pen. (in)
		A		debris, mois	own, SILTY SAND WITH GRAV st, <b>SM</b>	EL, contains brick fragments, with	construction		
5-	5- 6 7 7 7 7 7 7 7 7 7 7 7 7 7								
GROUND WATER LEVELS:     NOT ENCOUNTERED UPON COMPLETION     Demand(c)									
REMA	RKS:								

5

L THE STRATIFICATION LINES REPRESENT APPROXIMATE BOUNDARIES. THE TRANSITION MAY BE GRADUAL.



### Rock Core Photographs Cullum and Lincoln Hall JD195220



Test Paring No	Run	From	То	Length	Recovery	Recovery	RQD	RQD
Test Boring No.	Kuli	(ft.)	(ft.)	(ft.)	(in.)	(%)	(in.)	(%)
B-2	1	2.0	7.0	5.0	60	100	57	95
В-4	1	11.0	16.0	5.0	57	95	26	43
B-1	1	1.0	6.0	5.0	24	40	9	15



## Test Pit Photographs Cullum and Lincoln Hall JD195220



Test Pit	Depth: (ft.)	Groundwater: (ft.)
TP-1	0.0-4.0	4.0



## Test Pit Photographs Cullum and Lincoln Hall JD195220



Test Pit	Depth: (ft.)	Groundwater: (ft.)
TP-2	0.0-4.5	4.0



## Test Pit Photographs Cullum and Lincoln Hall JD195220



Test Pit	Depth: (ft.)	Depth to Footing: (ft.)	Groundwater: (ft.)
TP-3	0.0-3.5	3.5	N/A



# Appendix B Soil Laboratory Test Results

Liquid and Plastic Limit, and Grain Size Analysis Test Data (6 pages)

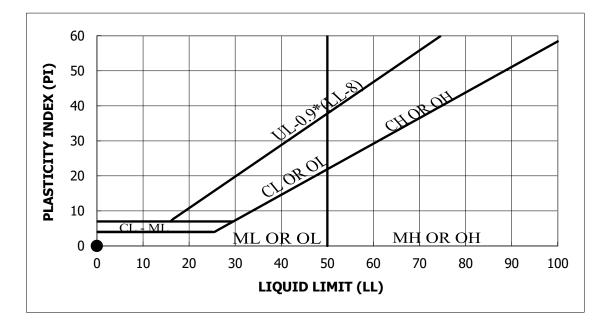
Textural Analysis Test Data (1 page)

Corrosion Series Test Results and Chain of Custody (3 pages)



Alerracon	COMPANY

LIQUID AND PLASTIC LIMIT - ASTM D4318						
Project No.	JD195220	Project Name	Cullum and Lincoln Hall			
Sample ID	B-3	Depth (Feet)	2.0-4.0			
Lab Order No.	4960-1	Date	12/11/2019			



Material Description		LL PL	DT	% Passing			w (%)
Material Description	LL PL		PI	#4	#200	USCS	
SILTY SAND	NP	NP	NP	87.9	29.1	SM	14.8
Color Dark Brown			AASHTO C	assification		A-2-4	

Test Method: ASTM D 4318 Soil Classification by ASTM D2487 and AASHTO M 145

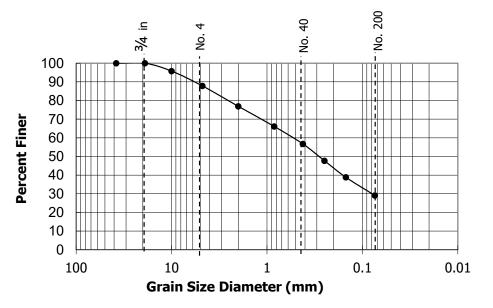
DW

Reviewed by



A Terracon Company

	GRAIN SIZE ANALYSIS - ASTM D422					
Project No.	JD195220	Project Name	Cullum and Lincoln Hall			
Sample ID	В-3	Depth (Feet)	2.0-4.0			
Lab Order No.	4960-1	Date	12/11/2019			



SIEVE	% Passing
1 1⁄2 "	100
3/4"	100
3/8"	96
#4	88
#10	77
#20	66
#40	57
#60	48
#100	39
#200	29
Pan	

USCS Group Symbol	SM
USCS Group Name	SILTY SAND
Cu	
Cc	
LL	NP
PI	NP
Gravel	12.1
Sand	58.8
Fines	29.1
AASHTO Classification	A-2-4
Color	Dark Brown

Test Method: ASTM D 422

Soil Classification by ASTM D2487 and AASHTO M 145

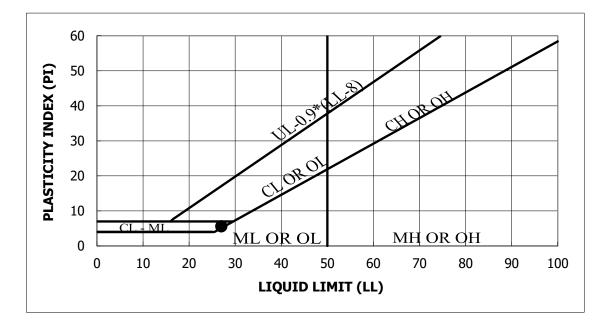
Reviewed by: DW



19955 Highland Vista Dr., Suite 170 Ashburn, Virginia 20147 (703) 726-8030 www.geoconcepts-eng.com

A Terracon Company

LIQUID AND PLASTIC LIMIT - ASTM D4318				
Project No.	JD195220	Project Name	Cullum and Lincoln Hall	
Sample ID	B-3	Depth (Feet)	6.0-8.0	
Lab Order No.	4960-2	Date	12/11/2019	



Material Description		PL PI	пт	PT % Passing			
Material Description	LL	PL	P1	#4	#200	USCS	w (%)
SILTY CLAYEY SAND with gravel	27	21	6	65.8	23.3	SC-SM	15.2
Color	Dark Brown			AASHTO C	assification	A-1-b	

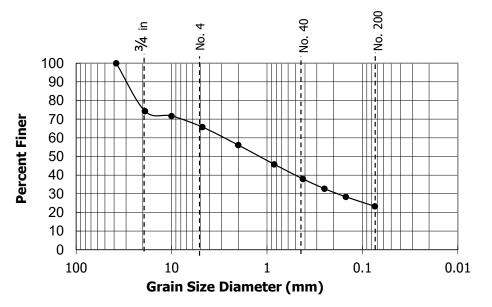
Test Method: ASTM D 4318 Soil Classification by ASTM D2487 and AASHTO M 145

Reviewed by \_\_\_\_\_ DW



A Terracon Company

GRAIN SIZE ANALYSIS - ASTM D422				
Project No.	JD195220	Project Name	Cullum and Lincoln Hall	
Sample ID	В-3	Depth (Feet)	6.0-8.0	
Lab Order No.	4960-2	Date	12/11/2019	



SIEVE	% Passing
1 1⁄2 "	100
3/4"	74
3/8"	72
#4	66
#10	56
#20	46
#40	38
#60	33
#100	28
#200	23
Pan	

USCS Group Symbol	SC-SM
USCS Group Name	SILTY CLAYEY SAND with gravel
Cu	
Cc	
LL	27
PI	6
Gravel	34.2
Sand	42.5
Fines	23.3
AASHTO Classification	А-1-Ь
Color	Dark Brown

Test Method: ASTM D 422

Soil Classification by ASTM D2487 and AASHTO M 145

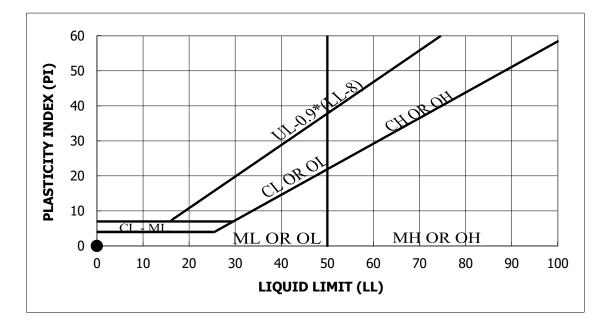
Reviewed by: DW



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A Terracon Company

LIQUID AND PLASTIC LIMIT - ASTM D4318				
Project No.	JD195220	Project Name	Cullum and Lincoln Hall	
Sample ID	B-4	Depth (Feet)	7.0-9.0	
Lab Order No.	4960-3	Date	12/11/2019	



Material Description		Ы	PL PI		% Passing			
Material Description	LL PL		PI	#4	#200	USCS	w (%)	
SILTY SAND	NP	NP	NP	99.8	13.1	SM	18.4	
Color	Dark Brown			AASHTO CI	assification		A-2-4	

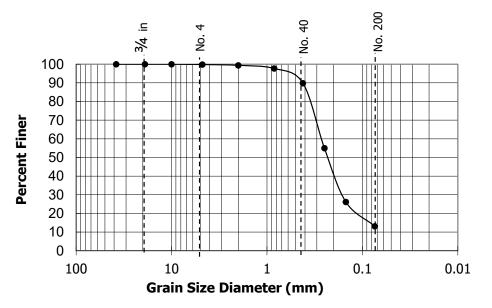
Test Method: ASTM D 4318 Soil Classification by ASTM D2487 and AASHTO M 145

Reviewed by \_\_\_\_\_ DW



A Terracon Company

GRAIN SIZE ANALYSIS - ASTM D422				
Project No.	JD195220	Project Name	Cullum and Lincoln Hall	
Sample ID	В-4	Depth (Feet)	7.0-9.0	
Lab Order No.	4960-3	Date	12/11/2019	



SIEVE	% Passing
1 1⁄2 "	100
3/4"	100
3/8"	100
#4	100
#10	99
#20	98
#40	90
#60	55
#100	26
#200	13
Pan	

USCS Group Symbol	SM	
USCS Group Name	SILTY SAND	
Cu		
Cc		
LL	NP	
PI	NP	
Gravel	0.2	
Sand	86.7	
Fines	13.1	
AASHTO Classification	A-2-4	
Color	Dark Brown	

Test Method: ASTM D 422

Soil Classification by ASTM D2487 and AASHTO M 145

Reviewed by: DW



### 7621 Whitepine Road, Richmond, VA 23237 Main 804-743-9401 ° Fax 804-271-6446 www.waypointanalytical.com TEXTURE ANALYSIS

Client :			Grower :		Rep	oort No :	19-340-0753
Geoconcep	ots Engineering		Cullum and Lincoln Hall		Cus	st No :	74328
Suite 170			JD 195220		Dat	e Printed :	12/27/2019
	nland Vista Drive				Pag	ge:	1 of 1
Ashburn		, VA 20147					
			Farm :		Dat	te Received :	12/06/2019
Lab	Field ID	Sample	Percent	Percent	Percent	-	Textural
No		Identification	Sand	Silt	Clay	Cla	ssification
25984		TP-2 3-4'	71.6	18.0	10.3	S	andy Loam
25985		TP-1 4-4.5'	79.6	18.0	2.3	Lo	oamy Sand



HP ENVIRONMENTAL INCORPORATED

## **Certificate of Laboratory Analysis**

GeoConcepts Engineering, Inc. Dylan Nixon 19955 Highland Vista Dr., Suite 170 Ashburn, VA 20147 **196251** 12/09/19 14:40 12/11/19 14:00 Cullum/Lincoln

Client Sample No:	TP-1	Lab Sample No.: 196251-01
Sample Matrix:	Soil	Collection Date/Time:
Sample Description:	0-5 ft	

### **Soil Corrosion Potential Tests**

Parameter	Method	Result	Units	Limit	Dilution	Qualifier	Cont.	Analysis Date	Analyst
Resistivity	ASTM G187	3900	ohm-cm	N/A	1		Α	12/10/19	JMP
Redox Potential	Electrode	+ 92	mV	N/A	1		А	12/10/19	JMP
рН	CA-643	7.6	pН	N/A			А	12/10/19	JMP
Chloride (Water Soluble)	CA-422	58	mg/Kg	5	2	D	А	12/11/19	JMP
Sulfate (Water Soluble)	EPA 375.4	170	mg/Kg	10	2	D	А	12/11/19	JMP
Sulfide (Water Soluble)	EPA 376.2	< 1.2	mg/Kg	1.2	1	U	А	12/11/19	JMP
Moisture (Percent)	EPA	8.1	%	N/A			А	12/10/19	JMP

Client Sample No:	TP-3
Sample Matrix:	Soil
Sample Description:	0-5 ft

Lab Sample No.: Collection Date/Time:

Report Number:

Date Received:

Date Reported:

Project Location:

196251-02

#### **Soil Corrosion Potential Tests**

Parameter	Method	Result	Units	Limit	Dilution	Qualifier	Cont.	Analysis Date	Analyst
Resistivity	ASTM G187	6200	ohm-cm	N/A	1		Α	12/10/19	JMP
Redox Potential	Electrode	+ 74	mV	N/A	1		А	12/10/19	JMP
рН	CA-643	8.1	pН	N/A			А	12/10/19	JMP
Chloride (Water Soluble)	CA-422	18	mg/Kg	2.5	1		А	12/11/19	JMP
Sulfate (Water Soluble)	EPA 375.4	320	mg/Kg	20	4	D	А	12/11/19	JMP
Sulfide (Water Soluble)	EPA 376.2	< 1.2	mg/Kg	1.2	1	U	А	12/11/19	JMP
Moisture (Percent)	EPA	10	%	N/A			А	12/10/19	JMP



HP ENVIRONMENTAL INCORPORATED

### **Certificate of Laboratory Analysis**

GeoConcepts Engineering, Inc. Dylan Nixon 19955 Highland Vista Dr., Suite 170 Ashburn, VA 20147

Report Number: 196251 Date Received: Date Reported: **Project Location:** 

12/09/19 14:40 12/11/19 14:00 Cullum/Lincoln

Page 2 of 3

Qualifier Codes:

U = Analyte was not detected at or above reporting limit

D = Analyte reported from a sample dilution

Sample Container Codes:

Plastic Bag Soil А

Notes:

Soil Results are reported on a wet weight basis (as received) unless stated as "dry".

The lab results reflect the measurement of the sample received only and may not be completely representative of the sampled site.

The Client has the responsibility for assessing risk and appropriate data interpretation of the results contained herein.

Laboratory reports issued are intended for the exclusive use by the Client and shall not be reproduced except in its entirety.

The chain-of-custody is a part of the entire analytical report.

Residual sample(s) will be disposed of in three months unless otherwise notified.

Laboratory Report Approved by:

] Pfaff

Laboratory Director, Chemistry

12/11/19

Date

(1

104 Elden St, Herndon, VA 20170 (703) 471-4200 Fax (703) 471-0020

ţ -Page

Description/Comments Corrosion series testing Date/Time Date/Time HUN COC Reference Number: 12.9.19 Received By Laboratory: Moisture Content × × Sulfates × × **Tests Requested** Received By: × Redox Potential Environmental Sample Chain-of-Custody Record 04 40 × Resistivity × 12.9.19 səpyins × × Date/Time Date/Time × Chloride × Hq × × Bottles 5 \* --<u>Routine</u> Rush (24-48hr) JD195220 Jen Von Erden Preserv. Relinguished By: Relinquished By: MULT Ha S Ŷ Matrix Soil Soil TURN-AROUND TIME: Grab Grab Emergency P.O./Job Number: Comp/ Grab Sampled by: SITE: Cullum/Lincoln Hall Sample Time Dylan Nixon Depth (ft.) Sample Client: GeoConcepts Engineering, Inc. 0-5 0-5 and a loop 19955 Highland Vista Dr., Suite 170 Ashburn, VA 20147 icon.com N Dylan.Nixon@ter Phone: (703) 726-8030 Fax: (703) 726-8032 HPE Report Number: **Received Condition:** Mode of Shipment: TP-1 TP-3 Sample ID Address: Contact:

2

#### SECTION 31 05 19

### GEOTEXTILE

#### 08/08

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D4354	(2012) Sampling of Geosynthetics for Testing
ASTM D4355/D4355M	(2014) Deterioration of Geotextiles from Exposure to Light, Moisture and Heat in a Xenon-Arc Type Apparatus
ASTM D4491/D4491M	(2017) Standard Test Methods for Water Permeability of Geotextiles by Permittivity
ASTM D4533/D4533M	(2015) Standard Test Method for Trapezoid Tearing Strength of Geotextiles
ASTM D4632/D4632M	(2015a) Standard Test Method for Grab Breaking Load and Elongation of Geotextiles
ASTM D4751	(2020) Standard Test Method for Determining Apparent Opening Size of a Geotextile
ASTM D4759	(2011; R 2018) Standard Practice for Determining the Specification Conformance of Geosynthetics
ASTM D4873/D4873M	(2017) Standard Guide for Identification, Storage, and Handling of Geosynthetic Rolls and Samples
ASTM D6241	(2014) Standard Test Method for the Static Puncture Strength of Geotextiles and Geotextile-Related Products Using a 50-mm Probe

#### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for

submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Thread Manufacturing Quality Control Sampling and Testing

SD-04 Samples

Quality Assurance Samples and Tests

SD-07 Certificates

Geotextile

#### 1.3 DELIVERY, STORAGE, AND HANDLING

Deliver, store, and handle geotextile in accordance with ASTM D4873/D4873M.

#### 1.3.1 Delivery

Notify the Contracting Officer a minimum of 24 hours prior to delivery and unloading of geotextile rolls packaged in an opaque, waterproof, protective plastic wrapping. The plastic wrapping shall not be removed until deployment. If quality assurance samples are collected, immediately rewrap rolls with the plastic wrapping. Geotextile or plastic wrapping damaged during storage or handling shall be repaired or replaced, as directed. Label each roll with the manufacturer's name, geotextile type, roll number, roll dimensions (length, width, gross weight), and date manufactured.

#### 1.3.2 Storage

Protect rolls of geotextile from construction equipment, chemicals, sparks and flames, temperatures in excess of 160 degrees F, or any other environmental condition that may damage the physical properties of the geotextile. To protect geotextile from becoming saturated, either elevate rolls off the ground or place them on a sacrificial sheet of plastic in an area where water will not accumulate.

#### 1.3.3 Handling

Handle and unload geotextile rolls with load carrying straps, a fork lift with a stinger bar, or an axial bar assembly. Rolls shall not be dragged along the ground, lifted by one end, or dropped to the ground.

#### PART 2 PRODUCTS

#### 2.1 RAW MATERIALS

A minimum of 7 days prior to scheduled use, submit manufacturer's certificate of compliance stating that the geotextile meets the requirements of this section. For needle punched geotextiles, the manufacturer shall also certify that the geotextile has been continuously inspected using permanent on-line full-width metal detectors and does not contain any needles which could damage other geosynthetic layers. The certificate of compliance shall be attested to by a person having legal authority to bind the geotextile manufacturer.

#### 2.1.1 Geotextile

Provide geotextile that is a nonwoven pervious sheet of polymeric material consisting of long-chain synthetic polymers composed of at least 95 percent by weight polyolefins, polyesters, or polyamides. The use of woven slit film geotextiles (i.e. geotextiles made from yarns of a flat, tape-like character) will not be allowed. Add stabilizers and/or inhibitors to the base polymer, as needed, to make the filaments resistant to deterioration by ultraviolet light, oxidation, and heat exposure. Regrind material, which consists of edge trimmings and other scraps that have never reached the consumer, may be used to produce the geotextile. Post-consumer recycled material shall not be used. Geotextile shall be formed into a network such that the filaments or yarns retain dimensional stability relative to each other, including the edges. Geotextiles shall meet the requirements specified in Table 1. Where applicable, Table 1 property values represent minimum average roll values (MARV) in the weakest principal direction. Values for AOS represent maximum average roll values.

MINI	NUM PHYSICAL REQUI	TABLE 1 REMENTS FOR DRAINAGE GEC	TEXTILE
PROPERTY	UNITS	ACCEPTABLE VALUES	TEST METHOD
GRAB STRENGTH	LBS	160	ASTM D4632/D4632M
SEAM STRENGTH	LBS-IN	300	ASTM D4632/D4632M
PUNCTURE	LBS	410	ASTM D6241
TRAPEZOID TEAR	LBS	60	ASTM D4533/D4533M
APPARENT OPENING SIZE	U.S. SIEVE	70	ASTM D4751
PERMITTIVITY	SEC -1	1.50	ASTM D4491/D4491M
ULTRAVIOLET DEGRADATION	PERCENT	70 AT 500 HRS	ASTM D4355/D4355M

#### 2.1.2 Thread

A minimum of 7 days prior to scheduled use, submit proposed thread type for sewn seams along with data sheets showing the physical properties of the thread. Construct sewn seams with high-strength polyester, nylon, or other approved thread type. Thread shall have ultraviolet light stability equivalent to the geotextile and the color shall contrast with the geotextile.

#### 2.2 MANUFACTURING QUALITY CONTROL SAMPLING AND TESTING

The Manufacturer is responsible for establishing and maintaining a quality

control program to assure compliance with the requirements of the specification. A minimum of 7 days prior to scheduled use, submit manufacturer's quality control manual. Documentation describing the quality control program shall be made available upon request. Perform manufacturer's approved quality control manual. As a minimum, geotextiles shall be randomly sampled for testing in accordance with ASTM D4354, Procedure A. Acceptance of geotextile shall be in accordance with ASTM D4759. Tests not meeting the specified requirements will result in the rejection of applicable rolls.

#### PART 3 EXECUTION

3.1 QUALITY ASSURANCE SAMPLES AND TESTS

#### 3.1.1 Quality Assurance Samples

Provide assistance to the Contracting Officer in the collection of quality assurance samples for quality assurance testing; assign 7 days in the schedule to allow for testing. Collect samples upon delivery to the site at the request of the Contracting Officer. Identify samples with a waterproof marker by manufacturer's name, product identification, lot number, roll number, and machine direction. The date and a unique sample number shall also be noted on the sample. Discard the outer layer of the geotextile roll prior to sampling a roll. Samples shall then be collected by cutting the full-width of the geotextile sheet a minimum of 3 feet long in the machine direction. Rolls which are sampled shall be immediately resealed in their protective covering.

#### 3.1.2 Quality Assurance Tests

Provide quality assurance samples to an Independent Laboratory. Samples will be tested to verify that geotextile meets the requirements specified in Table 1. Test method ASTM D4355/D4355M shall not be performed on the collected samples. Geotextile product acceptance shall be based on ASTM D4759. Tests not meeting the specified requirements will result in the rejection of applicable rolls.

#### 3.2 INSTALLATION

#### 3.2.1 Subgrade Preparation

The surface underlying the geotextile shall be smooth and free of ruts or protrusions which could damage the geotextile. Subgrade materials and compaction requirements shall be in accordance with Section 31 00 00 Earthwork.

#### 3.2.2 Placement

Notify the Contracting Officer a minimum of 24 hours prior to installation of geotextile. Geotextile rolls which are damaged or contain imperfections shall be repaired or replaced as directed. The geotextile shall be laid flat and smooth so that it is in direct contact with the subgrade. The geotextile shall also be free of tensile stresses, folds, and wrinkles. On slopes steeper than 10 horizontal on 1 vertical, lay the geotextile with the machine direction of the fabric parallel to the slope direction.

#### 3.3 SEAMS

#### 3.3.1 Overlap Seams

Continuously overlap geotextile panels a minimum of 12 inches at all longitudinal and transverse joints. Where seams must be oriented across the slope, lap the upper panel over the lower panel. If approved, sewn seams may be used instead of overlapped seams.

#### 3.3.2 Sewn Seams

Factory and field seams shall be continuously sewn on all slopes steeper than 1 vertical on 3 horizontal. The stitch type used shall be a 401 locking chain stitch or equal as recommended by the manufacturer. Sewn seams shall require a minimum 1-inch overlap on each side. Provide Quality Assurance seam samples to the Government at the request of the Contracting Officer. Seam strength shall meet the minimum requirements specified in Table 1. The thread at the end of each seam run shall be tied off to prevent unraveling. Skipped stitches or discontinuities shall be sewn with an extra line of stitching with a minimum of 18 inches of overlap.

#### 3.4 PROTECTION

Protect the geotextile during installation from clogging, tears, and other damage. Damaged geotextile shall be repaired or replaced as directed. Use adequate ballast (e.g. sand bags) to prevent uplift by wind. The geotextile shall not be left uncovered for more than 14 days after installation.

#### 3.5 REPAIRS

Repair torn or damaged geotextile. Clogged areas of geotextile shall be removed. Perform repairs by placing a patch of the same type of geotextile over the damaged area. The patch shall extend a minimum of 12 inches beyond the edge of the damaged area. Patches shall be continuously fastened using approved methods. The machine direction of the patch shall be aligned with the machine direction of the geotextile being repaired. Remove and replace geotextile rolls which cannot be repaired. Repairs shall be performed at no additional cost to the Government

#### 3.6 PENETRATIONS

Construct engineered penetrations of the geotextile by methods recommended by the geotextile manufacturer.

#### 3.7 COVERING

Do not cover geotextile prior to inspection and approval by the Contracting Officer. Place cover soil in a manner that prevents soil from entering the geotextile overlap zone, prevents tensile stress from being mobilized in the geotextile, and prevents wrinkles from folding over onto themselves. On side slopes, soil backfill shall be placed from the bottom of the slope upward. Cover soil shall not be dropped onto the geotextile from a height greater than 3 feet. No equipment shall be operated directly on top of the geotextile without approval of the Contracting Officer. Use equipment with ground pressures less than 7 psi to place the first lift over the geotextile. A minimum of 12 inches of soil shall be maintained between full-scale construction equipment and the geotextile.

Cover soil material type, compaction, and testing requirements are described in Section 31 00 00 EARTHWORK. Equipment placing cover soil shall not stop abruptly, make sharp turns, spin their wheels, or travel at speeds exceeding 5 mph.

-- End of Section --

#### SECTION 32 05 33

# LANDSCAPE ESTABLISHMENT 08/17

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

TREE CARE INDUSTRY ASSOCIATION (TCIA)

TCIA Z133

(2017) American National Standard for Arboricultural Operations - Pruning, Repairing, Maintaining, and Removing Trees, and Cutting Brush - Safety Requirements

#### 1.2 DEFINITIONS

#### 1.2.1 Pesticide

Any substance or mixture of substances, including biological control agents, that may prevent, destroy, repel, or mitigate pests and are specifically labeled for use by the U.S. Environmental Protection Agency (EPA). Also, any substance used as a plant regulator, defoliant, disinfectant, or biocide. Examples of pesticides include fumigants, herbicides, insecticides, fungicides, nematicides, molluscicides and rodenticides.

#### 1.2.2 Stand of Turf

95 percent ground cover of the established species.

#### 1.2.3 Planter Beds

A planter bed is defined as an area containing one or a combination of the following plant types: shrubs, vines, wildflowers, annuals, perennials, ground cover, and a mulch topdressing excluding turf. Trees may also be found in planter beds.

#### 1.3 RELATED REQUIREMENTS

Section 32 92 19 SEEDING applies to this section for installation of seed requirements, with additions and modifications herein.

Section 32 93 00 EXTERIOR PLANTS applies to this section for installation of trees, shrubs, ground cover, and wildflower, with additions and modifications herein.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office

that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Integrated Pest Management Plan; G

SD-03 Product Data

Fertilizer; G

Mulches Topdressing

Organic Mulch Materials

SD-07 Certificates

Maintenance Inspection Report

Plant Quantities; G

SD-10 Operation and Maintenance Data

Maintenance

SD-11 Closeout Submittals

Tree Staking and Guying Removal

- 1.5 DELIVERY, STORAGE AND HANDLING
- 1.5.1 Delivery

Deliver fertilizer, to the site in original containers bearing manufacturer's chemical analysis, name, trade name, or trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer, may be furnished in bulk with a certificate indicating the above information.

- 1.5.2 Storage
- 1.5.2.1 Fertilizer and Mulch Storage

Store material in designated areas. Store fertilizer in cool, dry locations away from contaminants.

1.5.2.2 Antidesiccant's Storage

Do not store with fertilizers or other landscape maintenance materials.

1.5.3 Handling

Do not drop or dump materials from vehicles.

PART 2 PRODUCTS

#### 2.1 POST-PLANT FERTILIZER

2.1.1 Granular Fertilizer

Organic, granular controlled release fertilizer containing the following minimum percentages, by weight, of plant food nutrients:

20 percent available nitrogen 5 percent available phosphorus 10 percent available potassium

#### 2.2 WATER

Source of water must be approved by the Contracting Officer, and be of suitable quality for irrigation. Use collected storm water or graywater when available.

#### 2.3 MULCHES TOPDRESSING

Free from noxious weeds, mold, pesticides, or other deleterious materials.

#### 2.3.1 Organic Mulch Materials

Provide wood cellulose fiber, wood chips, or shredded hardwood . Wood cellulose fiber must be processed to contain no growth or germination-inhibiting factors, dyed with non-toxic, biodegradable dye to an appropriate color to facilitate visual metering of materials application. Paper-based hydraulic mulch must contain a minimum of 100 percent post-consumer recycled content. Wood-based hydraulic mulch must contain a minimum of 100 percent total recovered materials content.

#### 2.3.2 Recycled Organic Mulch

Recycled mulch may include compost, tree trimmings, or pine needles with a gradation that passes through a 2-1/2 by 2-1/2 inch screen. Clean recycled mulch of all sticks a minimum one inch in diameter and plastic materials a minimum 3 inch length. The material must be treated to retard the growth of mold and fungi.

#### 2.4 PESTICIDES

Submit an Integrated Pest Management Plan, including weed and pest management strategies. Use biological pest controls as approved in the Plan.

#### PART 3 EXECUTION

#### 3.1 EXTENT OF WORK

Provide landscape construction maintenance to include mowing, edging, overseeding, aeration, fertilizing, watering, weeding, and stake and guy adjusting and for all newly installed renovated landscape areas and existing plant material, unless indicated otherwise, and at all areas inside or outside the limits of the construction that are disturbed by the Contractor's operations.

#### 3.1.1 Policing

Police all landscaped areas. Policing includes removal of leaves, branches and limbs regardless of length or diameter, dead vegetation, paper, trash, cigarette butts, garbage, rocks or other debris. Policing must extend to both sides of fencing or walls. Collected debris must be promptly removed and disposed of at an approved disposal site.

#### 3.1.2 Drainage System Maintenance

Remove all obstructions from surface and subsurface drain lines to allow water to flow unrestricted in swales, gutters, catch basins, storm drain curb inlets, and yard drains. Remove grates and clear debris in catch basins. Open drainage channels are to be maintained free of all debris and vegetation at all times. Edges of these channels must be clear of any encroachment by vegetation.

#### 3.2 GROUNDCOVER ESTABLISHMENT PERIOD

Groundcover establishment period will commence on the date that inspection by the Contracting Officer shows that the renovated turf furnished under this contract has been satisfactorily installed to a 95 percent stand of coverage. The establishment period must continue for a period of 365 days.

#### 3.2.1 Frequency of Maintenance

Begin maintenance immediately after turf has been fully renovated. Inspect area once a week during the installation and establishment period and perform needed maintenance promptly.

#### 3.2.2 Promotion of Growth

Maintain groundcover in a manner that promotes proper health, growth, natural color. Turf must have a neat uniform manicured appearance, free of bare areas, ruts, holes, weeds, pests, dead vegetation, debris, and unwanted vegetation that present an unsightly appearance. Mow, remove excess clippings, eradicate weeds, water, fertilize, overseed, and perform other operations necessary to promote growth, as approved by Contracting Officer and consistent with approved Integrated Pest Management Plan. Remove noxious weeds common to the area from planting areas by mechanical means.

#### 3.2.3 Mowing

#### 3.2.3.1 Turf

Mow turf at a uniform finished height. Mow turfed area to a minimum average height of 3 inches when average height of grass becomes 5 inches for spring/summer maintenance and to a minimum average height of 3 inches when the average height of grass reaches 5 inches for fall maintenance. The height of turf is measured from the soil. Perform mowing of turf in a manner that prevents scalping, rutting, bruising, uneven and rough cutting. Prior to mowing, all rubbish, debris, trash, leaves, rocks, paper, and limbs or branches on a turf area must be picked up and disposed. Adjacent paved areas must be swept/vacuumed clean.

#### 3.2.4 Turf Edging and Trimming

Perimeter of planter bed edges, sidewalks, driveways, curbs, and other

paved surfaces must be edged. Uniformly edge these areas to prevent encroachment of vegetation onto paved surfaces and to provide a clear cut division line between planter beds, turf, and ground cover. Edging is to be accomplished in a manner that prevents scalping, rutting, bruising, uneven and rough cutting. Perform edging on the same day that turf is mowed. Use of string line trimmers is permitted in "soft" areas such as an edge between turfgrass and a planter bed. Exercise care to avoid damage to any plant materials, structures, and other landscape features.

Trimming around trees, fences, poles, walls, and other similar objects is to be accomplished to match the height and appearance of surrounding mowed turf growth. Trimming must be performed on the same day the turf's mowed. Care must be exercised to avoid "Girdling" trees located in turf areas. The use of protective tree collars on trees in turf areas may be utilized as a temporary means to avoid injury to tree trunks. At the end of the plant establishment period Contractor will be responsible for removing all protective tree collars.

#### 3.2.5 Post-Fertilizer Application

Do not fertilize wildflowers, groundcover, and grasses. Apply turf fertilizer in a manner that promotes health, growth, vigor, color and appearance of cultivated turf areas. The method of application, fertilizer type and frequencies must be determined by the laboratory soil analysis results the requirements of the particular turf species. Organic fertilizer must be used. In the event that organic fertilizer is not producing the desired effect, the Contractor must contract the Contracting Officer for approval prior to the use of a synthetic type of fertilizer. Apply fertilizer by approved methods in accordance with the manufacturer's recommendations.

#### 3.2.6 Turf Watering

Perform irrigation in a manner that promotes the health, growth, color and appearance of cultivated vegetation and that complies with all Federal, State, and local water agencies and authorities directives. The Contractor must be responsible to prevent over watering, water run-off, erosion, and ponding due to excessive quantities or rate of application. Abide by state, local or other water conservation regulations or restrictions in force during the establishment period.

#### 3.2.7 Turf Aeration

Upon completion of weed eradication operations and Contracting Officer's approval to proceed, aerate turf areas by approved device. Core, by pulling soil plugs, to a minimum depth of 9 inches. Leave all soil plugs that are produced in the turf area. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean all soil plugs off of other paving when work is complete. This work must commence 14 days prior final acceptance of the maintenance establishment period.

#### 3.2.8 Replanting

Replant in accordance with Section 32 92 19 SEEDING and within specified planting dates areas which do not have a satisfactory stand of turf. Replant areas which do not have a satisfactory stand of other groundcover and grasses.

#### 3.2.9 Final Inspection and Acceptance

Final inspection will be make upon written request from the Contractor at least 10 days prior to the last day of the turf establishment period. Final turf acceptance will be based upon a satisfactory stand of turf. Final acceptance of wildflower and grass areas will be based upon a stand of 95 percent groundcover of established species.

#### 3.2.10 Unsatisfactory Work

When work is found to not meet design intent and specifications, maintenance period will be extended at no additional cost to the Government until work has been completed, inspected and accepted by Contracting Officer.

#### 3.3 EXTERIOR PLANT ESTABLISHMENT PERIOD

The exterior plant establishment period will commence on the date that inspection by the Contracting Officer shows that the new plants furnished under this contract have been satisfactorily installed and must continue for a period of 365 days.

#### 3.3.1 Frequency of Maintenance

Begin maintenance immediately after plants have been installed. Inspect exterior plants at least once a week during the installation and establishment period and perform needed maintenance promptly.

3.3.2 Promotion of Plant Growth and Vigor

Water, prune, fertilize, mulch, adjust stakes, guys and turnbuckles, eradicate weeds and perform other operations necessary to promote plant growth, and vigor.

#### 3.3.3 Planter Bed Maintenance

Planter beds must be weeded, fertilized, irrigated, kept pest free, turf free, pruned, and mulch levels maintained. Planter beds will not be allowed to encroach into turf areas. A definite break must be maintained between turf areas and planter beds. Fertilize exterior planting materials to promote healthy plant growth without encouraging excessive top foliar growth. Remove noxious weeds common to the area from planting areas by mechanical means.

#### 3.3.3.1 Shrub Selective Maintenance

In addition to the above requirements, shrubs must be selectively pruned, and shaped for health and safety when the following conditions exist: Remove growth in front of windows, over entrance ways or walks, and any growth which will obstruct vision at street intersections or of security personnel; Remove dead, damaged or diseased branches or limbs; where shrub growth obstructs pedestrian walkways; where shrub growth is found growing against or over structures; where shrub growth permits concealment of unauthorized persons. Dispose of all pruning debris in a proper manner.

#### 3.3.3.2 Tree Maintenance

Tree maintenance must include adjustment of stakes, ties, guy supports and turnbuckles, watering, fertilizing, pest control, mulching, pruning for

health and safety and fall leaf cleanup. Fertilize exterior trees to promote healthy plant growth without encouraging excessive top foliar growth. Inspect and adjust stakes, ties, guy supports and turnbuckles to avoid girdling and promote natural development. All trees within the project boundaries, regardless of caliper, must be selectively pruned for safety and health reasons. These include but are not limited to removal of dead and broken branches and correction of structural defects. Prune trees according to their natural growth characteristics leaving trees well shaped and balanced. Pruning of all trees including palm trees must be accomplished by or in the presence of a certified member of the International Society of Arboriculture and in accordance with TCIA Z133. All pruning debris generated must be disposed of in a proper manner.

#### 3.3.4 Slope Erosion Control Maintenance

Provide slope erosion control maintenance to prevent undermining of all slopes in newly landscaped areas. Maintenance tasks include immediate repairs to weak spots in sloped areas, and to intercept and direct water flow to prevent development of large gullies and slope erosion during periods of extended rainfall. Eroded areas must be filled with amended topsoil and replanted with the same plant species. Erosion control netting damaged due to slope erosion must be reinstalled.

#### 3.3.5 Removal of Dying or Dead Plants

Remove dead and dying plants and provide new plants immediately upon commencement of the specified planting season, and replace stakes, guys, mulch and eroded earth mound water basins. Provide an additional 90 day establishment period for replacement plants beyond the original warranty period. A tree must be considered dying or dead when the main leader has died back, or a minimum of 20 percent of the crown has died. A shrub or ground cover must be considered dying or dead when a minimum of 20 percent of the plant has died. This condition must be determined by scraping on a branch an area 1/16 inch square, maximum, to determine the cause for dying plant material and must provide recommendations for replacement. The Contractor must determine the cause for dying plant material and provide recommendations for replacement.

#### 3.3.6 Tracking of Unhealthy Plants

Note plants not in healthy growing condition, as determined by the Contracting Officer, and as soon as seasonal conditions permit, remove and replace with plants of the same species and sizes as originally specified. Install replacement plantings in accordance with Section 32 93 00 EXTERIOR PLANTS.

#### 3.3.7 Final Inspection

Final inspection will be made upon written request from the Contractor at least 10 days prior to the last day of the establishment period. Final inspection will be based upon satisfactory health and growth of plants and on the following:

#### 3.3.7.1 Total Plants on Site

Plants have been accepted and required number of replacements have been installed.

#### 3.3.7.2 Mulching and Weeding

Planter beds and earth mound water basins are properly mulched and free of weeds.

#### 3.3.7.3 Tree Supports

Stakes and turnbuckles are in good condition.

#### 3.3.7.4 Remedial Work

Remedial measures directed by the Contracting Officer to ensure plant material survival and promote healthy growth have been completed.

#### 3.3.8 Unsatisfactory Work

When work is found to not meet design intent and specifications, maintenance period will be extended at no additional cost to the Government until work has been completed, inspected and accepted by Contracting Officer.

#### 3.4 FIELD QUALITY CONTROL

#### 3.4.1 Maintenance Inspection Report

Provide maintenance inspection report to assure that landscape maintenance is being performed in accordance with the specifications and in the best interest of plant growth and survivability. Site observations must be documented at the start of the establishment period, then quarterly following the start, and at the end of establishment period. Submit results of site observation visits to the Contracting Officer within 7 calendar days of each site observation visit.

#### 3.4.2 Plant Quantities

Provide Contracting Officer with the number of plant quantities. In addition, provide total exterior area of hardscape and landscaping such as turf and total number of shrubs.

#### 3.4.3 Tree Staking and Guying Removal

Provide a certified letter that all stakes and guys are removed from all project trees at the end of the establishment period.

-- End of Section --

#### SECTION 32 11 23

# AGGREGATE BASE COURSES 08/17

#### PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 180	(2017) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop
AASHTO T 224	(2010) Standard Method of Test for Correction for Coarse Particles in the Soil Compaction Test

AASHTO T 88 (2013) Standard Method of Test for Particle Size Analysis of Soils

#### ASTM INTERNATIONAL (ASTM)

ASTM C117	(2017) Standard Test Method for Materials Finer than 75-um (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C127	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Coarse Aggregate
ASTM C128	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Fine Aggregate
ASTM C131/C131M	(2020) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates

ASTM C29/C29M (2017a) Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate

ASTM C88 (2018) Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate

ASTM D1556/D1556M	(2015; E 2016) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3) (2700 kN-m/m3)
ASTM D2167	(2015) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D2487	(2017) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4318	(2017; E 2018) Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D5821	(2013; R 2017) Standard Test Method for Determining the Percentage of Fractured Particles in Coarse Aggregate
ASTM D6938	(2017a) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
ASTM D75/D75M	(2019) Standard Practice for Sampling Aggregates
ASTM E11	(2016) Standard Specification for Woven Wire Test Sieve Cloth and Test Sieves

NEW YORK STATE DEPARTMENT OF TRANSPORTATION MATERIALS BUREAU (NYSDOT)

NYSDOT Standard Specifications (2016) Standard Specifications (US Customary Units)

#### 1.2 DEFINITIONS

For the purposes of this specification, the following definitions apply.

1.2.1 Aggregate Base Course

Aggregate base course (ABC) is well graded, durable aggregate uniformly moistened and mechanically stabilized by compaction.

#### 1.2.2 Graded-Crushed Aggregate Base Course

Graded-crushed aggregate (GCA) base course is well graded, crushed, durable aggregate uniformly moistened and mechanically stabilized by compaction.

#### 1.2.3 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum laboratory dry density obtained by the test procedure presented in ASTM D1557 abbreviated as a percent of laboratory maximum dry density. Since ASTM D1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve will be expressed as a percentage of the laboratory maximum dry density in accordance with AASHTO T 180 Method D and corrected with AASHTO T 224.

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Plant, Equipment, and Tools; G, RO

SD-06 Test Reports

Initial Tests; G, RO In-Place Tests; G, RO

#### 1.4 EQUIPMENT, TOOLS, AND MACHINES

All plant, equipment, and tools used in the performance of the work will be subject to approval by the Contracting Officer before the work is started. Maintain all plant, equipment, and tools in satisfactory working condition at all times. Submit a list of proposed equipment, including descriptive data. Use equipment capable of minimizing segregation, producing the required compaction, meeting grade controls, thickness control, and smoothness requirements as set forth herein.

#### 1.5 QUALITY ASSURANCE

Sampling and testing are the responsibility of the Contractor. Perform sampling and testing using a laboratory approved in accordance with Section 01 45 00.00 10 QUALITY CONTROL. Work requiring testing will not be permitted until the testing laboratory has been inspected and approved. Test the materials to establish compliance with the specified requirements and perform testing at the specified frequency. The Contracting Officer may specify the time and location of the tests. Furnish copies of test results to the Contracting Officer within 24 hours of completion of the tests.

1.5.1 Sampling

Take samples for laboratory testing in conformance with ASTM D75/D75M. When deemed necessary, the sampling will be observed by the Contracting

Officer.

- 1.5.2 Tests
- 1.5.2.1 Sieve Analysis

Perform sieve analysis in conformance with ASTM C117 and ASTM C136/C136M using sieves conforming to ASTM E11. Perform particle-size analysis of the soils in conformance with AASHTO T 88.

1.5.2.2 Liquid Limit and Plasticity Index

Determine liquid limit and plasticity index in accordance with ASTM D4318.

1.5.2.3 Moisture-Density Determinations

Determine the laboratory maximum dry density and optimum moisture content in accordance with paragraph DEGREE OF COMPACTION.

1.5.2.4 Field Density Tests

Measure field density in accordance with ASTM D1556/D1556M, ASTM D2167 or ASTM D6938. For the method presented in ASTM D1556/D1556M use the base plate as shown in the drawing. Nuclear gauge testing can also be used to measure field density. For the method presented in ASTM D6938 check the calibration curves and adjust them, if necessary, using only the sand cone method as described in paragraph Calibration, of the ASTM publication. Tests performed in accordance with ASTM D6938 result in a wet unit weight of soil and ASTM D6938 will be used to determine the moisture content of the soil. Also check the calibration curves furnished with the moisture gauges along with density calibration checks as described in ASTM D6938. Make the calibration checks of both the density and moisture gauges using the prepared containers of material method, as described in paragraph Calibration of ASTM D6938, on each different type of material being tested at the beginning of a job and at intervals as directed. Submit calibration curves and related test results prior to using the device or equipment being calibrated.

1.5.2.5 Weight of Slag

Determine weight per cubic foot of slag in accordance with ASTM C29/C29M on the ABC and GCA course material.

#### 1.6 ENVIRONMENTAL REQUIREMENTS

Perform construction when the atmospheric temperature is above 35 degrees F. When the temperature falls below 35 degrees F, protect all completed areas by approved methods against detrimental effects of freezing. Correct completed areas damaged by freezing, rainfall, or other weather conditions to meet specified requirements.

- PART 2 PRODUCTS
- 2.1 AGGREGATES

Provide ABC and GCA consisting of clean, sound, durable particles of crushed stone, crushed gravel, angular sand, or other approved material. Provide ABC that is free of lumps of clay, organic matter, and other objectionable materials or coatings. Provide GCA that is free of silt and

clay as defined by ASTM D2487, organic matter, and other objectionable materials or coatings. The portion retained on the No. 4 sieve is known as coarse aggregate; that portion passing the No. 4 sieve is known as fine aggregate. When the coarse and fine aggregate is supplied form more than one source, provide aggregate from each source that meets the specified requirements.

#### 2.1.1 Coarse Aggregate

Provide coarse aggregates with angular particles of uniform density. Separately stockpile coarse aggregate supplied from more than one source.

- a. Crushed Gravel: Provide crushed gravel that has been manufactured by crushing gravels and that meets all the requirements specified below.
- b. Crushed Stone: Provide crushed stone consisting of freshly mined quarry rock, meeting all the requirements specified below.
- c. Crushed Recycled Concrete: Provide crushed recycled concrete consisting of previously hardened portland cement concrete or other concrete containing pozzolanic binder material. Provide recycled concrete that is free of all reinforcing steel, bituminous concrete surfacing, and any other foreign material and that has been crushed and processed to meet the required gradations for coarse aggregate. Reject recycled concrete aggregate exceeding this value. Provide crushed recycled concrete that meets all other applicable requirements specified below.
- d. Crushed Slag: Provide crushed slag that is an air-cooled blast-furnace product having an air dry unit weight of not less than 70 pcf as determined by ASTM C29/C29M, and meets all the requirements specified below.

#### 2.1.1.1 Aggregate Base Course

The percentage of loss of ABC coarse aggregate must not exceed 50 percent when tested in accordance with ASTM Cl31/Cl31M. Provide aggregate that contains no more than 30 percent flat and elongated particles. A flat particle is one having a ratio of width to thickness greater than 3; an elongated particle is one having a ratio of length to width greater than 3. In the portion retained on each sieve specified, the crushed aggregates must contain at least 50 percent by weight of crushed pieces having two or more freshly fractured faces determined in accordance with ASTM D5821. When two fractures are contiguous, the angle between planes of the fractures must be at least 30 degrees in order to count as two fractured faces. Manufacture crushed gravel from gravel particles 50 percent of which, by weight, are retained on the maximum size sieve listed in TABLE 1.

#### 2.1.1.2 Graded-Crushed Aggregate Base Course

The percentage of loss of GCA coarse aggregate must not exceed 40 percent loss when tested in accordance with ASTM Cl31/Cl31M. Provide GCA coarse aggregate that does not exhibit a loss greater than 18 percent weighted average, at five cycles, when tested for soundness in magnesium sulfate, or 12 percent weighted average, at five cycles, when tested in sodium sulfate in accordance with ASTM C88. Provide aggregate that contains no more than 20 percent flat and elongated particles for the fraction retained on the 1/2 inch sieve nor 20 percent for the fraction passing the

1/2 inch sieve. A flat particle is one having a ratio of width to thickness greater than 3; an elongated particle is one having a ratio of length to width greater than 3. In the portion retained on each sieve specified, the crushed aggregate must contain at least 90 percent by weight of crushed pieces having two or more freshly fractured faces determined in accordance with ASTM D5821. When two fractures are contiguous, the angle between planes of the fractures must be at least 30 degrees in order to count as two fractured faces. Manufacture crushed gravel from gravel particles 90 percent of which by weight are retained on the maximum size sieve listed in TABLE 1.

#### 2.1.2 Fine Aggregate

Provide fine aggregates consisting of angular particles of uniform density.

#### 2.1.2.1 Aggregate Base Course

Provide ABC fine aggregate that consists of screenings, angular sand, crushed recycled concrete fines, or other finely divided mineral matter processed or naturally combined with the coarse aggregate.

#### 2.1.2.2 Graded-Crushed Aggregate Base Course

Provide GCA fine aggregate consisting of angular particles produced by crushing stone, slag, or gravel that meets the requirements for wear and soundness specified for GCA coarse aggregate. Produce fine aggregate by crushing only particles larger than No. 4 sieve in size. Provide fine aggregate that contains at least 90 percent by weight of particles having two or more freshly fractured faces in the portion passing the No. 4 sieve and retained on the No. 10 sieve, and in the portion passing the No. 10 sieve and retained on the No. 40 sieve.

#### 2.1.3 Gradation Requirements

Apply the gradation requirements to the completed base course in accordance with NYSDOT Standard Specifications Latest Edition. Provide aggregates that are continuously well graded within the limits specified in TABLE 1. Use sieves that conform to ASTM E11.

TABLE 1. GRADATION OF AGGREGATES

Percentage by Weight Passing Square-Mesh Sieve

No. 1	No. 2	
	100	
100	90	
90-100	0-15	
0-15		
0-1.0	0-1.0	
	  100 90-100 0-15 	 100 100 90 90-100 0-15 0-15 

NOTE 1: Particles having diameters less than 0.02 mm must not be in excess of 3 percent by weight of the total sample tested as determined in

accordance with AASHTO T 88.

NOTE 2: The values are based on aggregates of uniform specific gravity. If materials from different sources are used for the coarse and fine aggregates, test the materials in accordance with ASTM C127 and ASTM C128 to determine their specific gravities. Correct the percentages passing the various sieves as directed by the Contracting Officer if the specific gravities vary by more than 10 percent.

# 2.2 LIQUID LIMIT AND PLASTICITY INDEX

Apply liquid limit and plasticity index requirements to the completed course and to any component that is blended to meet the required gradation. The portion of any component or of the completed course passing the No. 40 sieve must be either nonplastic or have a liquid limit not greater than 25 and a plasticity index not greater than 5. The liquid limit and plasticity index requirements can also be determined by the Atterberg Limit correlations.

#### 2.3 TESTS, INSPECTIONS, AND VERIFICATIONS

2.3.1 Initial Tests

Perform one of each of the following tests, on the proposed material prior to commencing construction, to demonstrate that the proposed material meets all specified requirements when furnished. Complete this testing for each source if materials from more than one source are proposed.

- a. Sieve Analysis including 0.02 mm material.
- b. Liquid limit and plasticity index.
- c. Moisture-density relationship.
- d. Wear.

Submit certified copies of test results for approval not less than 30 days before material is required for the work.

#### 2.3.2 Approval of Material

Tentative approval of material will be based on initial test results.

## PART 3 EXECUTION

#### 3.1 GENERAL REQUIREMENTS

When the ABC or GCA is constructed in more than one layer, clean the previously constructed layer of loose and foreign matter by sweeping with power sweepers or power brooms, except that hand brooms may be used in areas where power cleaning is not practicable. Provide adequate drainage during the entire period of construction to prevent water from collecting or standing on the working area.

## 3.2 STOCKPILING MATERIAL

Clear and level storage sites prior to stockpiling of material. Stockpile all materials, including approved material available from excavation and grading, in the manner and at the locations designated. Stockpile

aggregates on the cleared and leveled areas designated by the Contracting Officer to prevent segregation. Stockpile materials obtained from different sources separately. For any and all contaminated soils, place excavated soil on minimum 30 mil plastic tarp on existing ground before stockpiling. Install socks immediately when any contaminated soil is placed on tarp. Keep contaminated and hazardous soils separated and controlled.

## 3.3 PREPARATION OF UNDERLYING COURSE OR SUBGRADE

Clean the underlying course or subgrade of all foreign substances prior to constructing the base course(s). Do not construct base course(s) on underlying course or subgrade that is frozen. Construct the surface of the underlying course or subgrade to meet specified compaction and surface tolerances. Correct ruts or soft yielding spots in the underlying courses, areas having inadequate compaction, and deviations of the surface from the specified requirements set forth herein by loosening and removing soft or unsatisfactory material and adding approved material, reshaping to line and grade, and recompacting to specified density requirements. A layer of geotextile shall be placed between aggregate base course and subgrade as a separator to prevent fines from migrating into aggregate base course. For cohesionless underlying courses or subgrades containing sands or gravels, as defined in ASTM D2487, stabilize the surface prior to placement of the base course(s). Stabilize by mixing ABC or GCA into the underlying course and compacting by approved methods. Consider the stabilized material as part of the underlying course and meet all requirements of the underlying course. Do not allow traffic or other operations to disturb the finished underlying course and maintain in a satisfactory condition until the base course is placed.

## 3.4 GRADE CONTROL

Provide a finished and completed base course conforming to the lines, grades, and cross sections shown. Place line and grade stakes as necessary for control.

## 3.5 PLACING MATERIALS

Place the mixed material on the prepared subgrade or subbase in layers of uniform thickness with an approved spreader. Place the layers so that when compacted they will be true to the grades or levels required with the least possible surface disturbance. Where the base course is placed in more than one layer, clean the previously constructed layers of loose and foreign matter by sweeping with power sweepers, power brooms, or hand brooms, as directed. Make adjustments in placing procedures or equipment as may be directed by the Contracting Officer to obtain true grades, to minimize segregation and degradation, to adjust the water content, and to insure an acceptable base course.

## 3.6 LAYER THICKNESS

Compact the completed base course to the thickness indicated. No individual layer may be thicker than 6 inches nor be thinner than 3 inches in compacted thickness. Compact the base course(s) to a total thickness that is within 1/2 inch of the thickness indicated. Where the measured thickness is more than 1/2 inch deficient, correct such areas by scarifying, adding new material of proper gradation, reblading, and recompacting as directed. Where the measured thickness is more than 1/2 inch the the measured thickness is more than 1/2 inch deficient the measured thickness is more than 1/2 inch the measured thickness is more than 1/2 inch the measured thickness is more than 1/2 inch thicker than indicated, the course will be considered as conforming

to the specified thickness requirements. The average job thickness will be the average of all thickness measurements taken for the job and must be within 1/4 inch of the thickness indicated. Measure the total thickness of the base course at intervals of one measurement for each 500 square yards of base course. Measure total thickness using 3 inch diameter test holes penetrating the base course.

#### 3.7 COMPACTION

Compact each layer of the base course, as specified, with approved compaction equipment. Maintain water content during the compaction procedure to within plus or minus 2 percent of the optimum water content determined from laboratory tests as specified in this Section. Begin rolling at the outside edge of the surface and proceed to the center, overlapping on successive trips at least one-half the width of the roller. Slightly vary the length of alternate trips of the roller. Adjust speed of the roller as needed so that displacement of the aggregate does not occur. Compact mixture with hand-operated power tampers in all places not accessible to the rollers. Continue compaction until each layer is compacted through the full depth to at least 100 percent of laboratory maximum density, ASTM D1557. Make such adjustments in compacting or finishing procedures as may be directed by the Contracting Officer to obtain true grades, to minimize segregation and degradation, to reduce or increase water content, and to ensure a satisfactory base course. Remove any materials found to be unsatisfactory and replace with satisfactory material or rework, as directed, to meet the requirements of this specification.

#### 3.8 EDGES OF BASE COURSE

Place the base course(s) so that the completed section will be a minimum of 2 feet wider, on all sides, than the next layer that will be placed above it. Place approved material along the outer edges of the base course in sufficient quantity to compact to the thickness of the course being constructed. When the course is being constructed in two or more layers, simultaneously roll and compact at least a 2 foot width of this shoulder material with the rolling and compacting of each layer of the base course, as directed.

## 3.9 FINISHING

Finish the surface of the top layer of base course after final compaction by cutting any overbuild to grade and rolling with a steel-wheeled roller. Do not add thin layers of material to the top layer of base course to meet grade. If the elevation of the top layer of base course is 1/2 inch or more below grade, scarify the top layer to a depth of at least 3 inches and blend new material in and compact to bring to grade. Make adjustments to rolling and finishing procedures as directed by the Contracting Officer to minimize segregation and degradation, obtain grades, maintain moisture content, and insure an acceptable base course. Should the surface become rough, corrugated, uneven in texture, or traffic marked prior to completion, scarify the unsatisfactory portion and rework and recompact it or replace as directed.

# 3.10 SMOOTHNESS TEST

Construct the top layer so that the surface shows no deviations in excess of 3/8 inch when tested with a 12 foot straightedge. Take measurements in successive positions parallel to the centerline of the area to be paved.

Also take measurements perpendicular to the centerline at 50 foot intervals. Correct deviations exceeding this amount by removing material and replacing with new material, or by reworking existing material and compacting it to meet these specifications.

#### 3.11 FIELD QUALITY CONTROL

3.11.1 In-Place Tests

Perform each of the following tests on samples taken from the placed and compacted ABC and GCA. Take samples and test at the rates indicated.

- a. Perform density tests on every lift of material placed and at a frequency of one set of tests for every 250 square yards, or portion thereof, of completed area.
- b. Perform sieve analysis including 0.02 mm size material on every lift of material placed and at a frequency of one sieve analysis for every 500 square yards, or portion thereof, of material placed.
- c. Perform liquid limit and plasticity index tests at the same frequency as the sieve analysis.
- d. Measure the thickness of the base course at intervals providing at least one measurement for each 500 square yards of base course or part thereof. Measure the thickness using test holes, at least 3 inch in diameter through the base course.

#### 3.11.2 Approval of Material

Final approval of the materials will be based on tests for gradation, liquid limit, and plasticity index performed on samples taken from the completed and fully compacted course(s).

# 3.12 TRAFFIC

Do not allow traffic on the completed base course.

## 3.13 MAINTENANCE

Maintain the base course in a satisfactory condition until the full pavement section is completed and accepted. Immediately repair any defects and repeat repairs as often as necessary to keep the area intact. Retest any base course that was not paved over prior to the onset of winter to verify that it still complies with the requirements of this specification. Rework or replace any area of base course that is damaged as necessary to comply with this specification.

## 3.14 DISPOSAL OF UNSATISFACTORY MATERIALS

Dispose of any unsuitable materials that have been removed outside the limits of Government-controlled land. No additional payments will be made for materials that have to be replaced.

-- End of Section --

## SECTION 32 12 13

# BITUMINOUS TACK AND PRIME COATS 05/17

## PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 102 (2009; R 2013) Standard Method of Test for Spot Test of Asphaltic Materials

ASTM INTERNATIONAL (ASTM)

ASTM D140/D140M (2016) Standard Practice for Sampling Asphalt Materials ASTM D2397/D2397M (2017) Standard Specification for Cationic Emulsified Asphalt ASTM D2995 (1999; R 2009) Determining Application Rate of Bituminous Distributors ASTM D977 (2017) Standard Specification for Emulsified Asphalt

U.S. GREEN BUILDING COUNCIL (USGBC)

LEED BD+C	(2009; R 2010) Leadership in Energy and
	Environmental Design(tm) Building Design
	and Construction (LEED-NC)

## 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Local/Regional Materials

SD-06 Test Reports

Sampling and Testing

## 1.3 QUALITY ASSURANCE

Certificates of compliance for asphalt materials delivered will be obtained and checked to ensure that specification requirements are met. Quantities of applied material will be determined. Payment will be for amount of residual asphalt applied. Tack coat materials will not be diluted. Prime coat materials when emulsions are used can be diluted on site with potable water up to 1 part emulsion to 1 part water.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

Inspect the materials delivered to the site for contamination and damage. Unload and store the materials with a minimum of handling.

## 1.5 EQUIPMENT, TOOLS AND MACHINES

#### 1.5.1 General Requirements

Equipment, tools and machines used in the work are subject to approval. Maintain in a satisfactory working condition at all times. Calibrate equipment such as asphalt distributors, scales, batching equipment, spreaders and similar equipment within 12 months of there use. If the calibration expires during project, recalibrate the equipment before work can continue.

## 1.5.2 Bituminous Distributor

Provide a self propelled distributor with pneumatic tires of such size and number to prevent rutting, shoving or otherwise damaging the surface being sprayed. Calibrate the distributer in accordance with ASTM D2995. Design and equip the distributor to spray the bituminous material in a uniform coverage at the specified temperature, at readily determined and controlled total liquid rates from 0.03 to 1.0 gallons per square yard, with a pressure range of 25 to 75 psi and with an allowable variation from the specified rate of not more than plus or minus 5 percent, and at variable widths. Include with the distributor equipment a separate power unit for the bitumen pump, full-circulation spray bars, tachometer, pressure gauges, volume-measuring devices, adequate heaters for heating of materials to the proper application temperature, a thermometer for reading the temperature of tank contents, and a hand hose attachment suitable for applying bituminous material manually to areas inaccessible to the distributor. The distributor will be capable of circulating and agitating the bituminous material during the heating process.

#### 1.5.3 Heating Equipment for Storage Tanks

Use steam, electric, or hot oil heaters for heating the bituminous material. Provide steam heaters consisting of steam coils and equipment for producing steam, so designed that the steam cannot come in contact with the bituminous material. Fix an armored thermometer to the tank with a temperature range from 40 to 400 degrees F so that the temperature of the bituminous material may be determined at all times.

# 1.5.4 Power Brooms and Power Blowers

Use power brooms and power blowers suitable for cleaning the surfaces to which the bituminous coat is to be applied.

## 1.6 ENVIRONMENTAL REQUIREMENTS

Apply bituminous coat only when the surface to receive the bituminous coat is dry. A limited amount of moisture (approximately 0.03 gallon/square yard) can be sprayed on the surface of unbound material when prime coat is used to improve coverage and penetration of asphalt material. Apply bituminous coat only when the atmospheric temperature in the shade is 50 degrees F or above and when the temperature has not been below 35 degrees F for the 12 hours prior to application, unless otherwise directed.

#### PART 2 PRODUCTS

#### 2.1 PRIME COAT

Provide asphalt conforming to one of the following grades:

#### 2.1.1 Emulsified Asphalt

Provide emulsified asphalt conforming to ASTM D2397/D2397M, Type CSS-1, CSS-1h. Asphalt emulsion can be diluted up to 1 part water to 1 part emulsion for prime coat use. Do not dilute asphalt emulsion for tack coat use.

# 2.2 TACK COAT

#### 2.2.1 Emulsified Asphalt

Provide emulsified asphalt conforming to ASTM D977, Type RS-1 . For prime coats the emulsified asphalt can be diluted with up to 1 part emulsion to 1 part water. No dilution is allowed for tack coat applications. The base asphalt used to manufacture the emulsion is required to show a negative spot when tested in accordance with AASHTO T 102 using standard naphtha.

#### 2.2.2 Local/Regional Materials

See Section 01 33 29 SUSTAINABILITY REPORTING for cumulative total local material requirements. Tack and prime coat materials may be locally available. Submit documentation indicating distance between manufacturing facility and the project site. Indicate distance of raw material origin from the project site. Indicate relative dollar value of local/regional materials to total dollar value of products included in project in accordance with LEED BD+C.

#### PART 3 EXECUTION

#### 3.1 PREPARATION OF SURFACE

Immediately before applying the bituminous coat, remove all loose material, dirt, clay, or other objectionable material from the surface to be treated by means of a power broom or blower supplemented with hand brooms. Apply treatment only when the surface is dry and clean.

# 3.2 APPLICATION RATE

The exact quantities within the range specified, which may be varied to suit field conditions, will be determined by the Contracting Officer.

3.2.1 Tack Coat

Apply bituminous material for the tack coat in quantities of not less than 0.03 gallons nor more than 0.10 gallons per square yard of residual asphalt onto the pavement surface as approved by the Contracting Officer. Do not dilute asphalt emulsion when used as a tack coat.

# 3.2.2 Prime Coat

Apply bituminous material for the prime coat in quantities of not less than 0.05 gallons nor more than 0.12 gallons per square yard of residual asphalt for asphalt emulsion up to a 1 to 1 dilution rate or for residual asphalt for cutback asphalt.

## 3.3 APPLICATION TEMPERATURE

3.3.1 Viscosity Relationship

Apply asphalt at a temperature that will provide a viscosity between 10 and 60 seconds, Saybolt Furol, or between 20 and 120 centistokes, kinematic. Furnish the temperature viscosity relation to the Contracting Officer.

## 3.3.2 Temperature Ranges

The viscosity requirements determine the application temperature to be used. The following is a normal range of application temperatures:

Asphalt Emulsion		
All Grades	70-160 degrees F	

Some of these temperatures for rapid cure cutbacks are above the flash point of the material and care should be taken in their heating.

## 3.4 APPLICATION

#### 3.4.1 General

Following preparation and subsequent inspection of the surface, apply the bituminous prime or tack coat with the bituminous distributor at the specified rate with uniform distribution over the surface to be treated. Properly treat all areas and spots, not capable of being sprayed with the distributor, with the hand spray. Until the succeeding layer of pavement is placed, maintain the surface by protecting the surface against damage and by repairing deficient areas at no additional cost to the Government. If required, spread clean dry sand to effectively blot up any excess bituminous material. No smoking, fires, or flames other than those from the heaters that are a part of the equipment are permitted within 25 feet of heating, distributing, and transferring operations of cutback materials. Prevent all traffic, except for paving equipment used in constructing the surfacing, from using the underlying material, whether primed or not, until the surfacing is completed. The bituminous coat requirements are described herein.

# 3.4.2 Prime Coat

The prime coat is required if it will be at least 7 days before the

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asphalt mixture is constructed on the underlying (base course, etc.) compacted material. The type of liquid asphalt and application rate will be as specified herein. Protect the underlying layer from any damage (water, traffic, etc.) until the surfacing is placed. If the Contractor places the surfacing within seven days, the choice of protection measures or actions to be taken is at the Contractor's option. Repair (recompact or replace) damage to the underlying material caused by lack of, or inadequate, protection by approved methods at no additional cost to the Government. If the Contractor opts to use the prime coat, apply as soon as possible after consolidation of the underlying material. Apply the bituminous material uniformly over the surface to be treated at a pressure range of 25 to 75 psi; the rate will be as specified above in paragraph APPLICATION RATE. To obtain uniform application of the prime coat on the surface treated at the junction of previous and subsequent applications, spread building paper on the surface for a sufficient distance back from the ends of each application to start and stop the prime coat on the paper and to ensure that all sprayers will operate at full force on the surface to be treated. Immediately after application remove and destroy the building paper.

# 3.4.3 Tack Coat

A tack coat should be applied to every bound surface (asphalt or concrete pavement) that is being overlaid with asphalt mixture and at transverse and longitudinal joints. Apply the tack coat when the surface to be treated is clean and dry. Immediately following the preparation of the surface for treatment, apply the bituminous material by means of the bituminous distributor, within the limits of temperature specified herein and at a rate as specified above in paragraph APPLICATION RATE. Apply the bituminous material so that uniform distribution is obtained over the entire surface to be treated. Treat lightly coated areas and spots missed by the distributor by spraying with a hand wand or using other approved method. Following the application of bituminous material, allow the surface to cure without being disturbed for period of time necessary to permit setting of the tack coat. Apply the bituminous tack coat only as far in advance of the placing of the overlying layer as required for that day's operation. Maintain and protect the treated surface from damage until the succeeding course of pavement is placed.

## 3.5 CURING PERIOD

Following application of the bituminous material and prior to application of the succeeding layer of asphalt mixture allow the bituminous coat to cure and water or volatiles to evaporate prior to overlaying. Maintain the tacked surface in good condition until the succeeding layer of pavement is placed, by protecting the surface against damage and by repairing and recoating deficient areas.

#### 3.6 FIELD QUALITY CONTROL

Obtain certificates of compliance for all asphalt material delivered to the project. Obtain samples of the bituminous material under the supervision of the Contracting Officer. The sample may be retained and tested by the Government at no cost to the Contractor.

# 3.7 SAMPLING AND TESTING

Furnish certified copies of the manufacturer's test reports indicating temperature viscosity relationship for cutback asphalt or asphalt cement,

compliance with applicable specified requirements, not less than 5 days before the material is required in the work.

3.7.1 Sampling

Unless otherwise specified, sample bituminous material in accordance with ASTM D140/D140M.

3.7.2 Calibration Test

Furnish all equipment, materials, and labor necessary to calibrate the bituminous distributor. Calibrate using the approved job material and prior to applying the bituminous coat material to the prepared surface. Calibrate the bituminous distributor in accordance with ASTM D2995.

3.7.3 Trial Applications

Before applying the spray application of tack or prime coat, apply three lengths of at least 100 feet for the full width of the distributor bar to evaluate the amount of bituminous material that can be satisfactorily applied.

3.7.3.1 Tack Coat Trial Application Rate

Unless otherwise authorized, apply the trial application rate of bituminous tack coat materials in the amount of 0.05 gallons per square yard. Make other trial applications using various amounts of material as may be deemed necessary.

3.7.3.2 Prime Coat Trial Application Rate

Unless otherwise authorized, apply the trial application rate of bituminous materials in the amount of 0.15 gallon per square yard. Make other trial applications using various amounts of material as may be deemed necessary.

3.7.4 Sampling and Testing During Construction

Perform quality control sampling and testing as required in paragraph FIELD QUALITY CONTROL.

3.8 TRAFFIC CONTROLS

Keep traffic off surfaces freshly treated with bituminous material. Provide sufficient warning signs and barricades so that traffic will not travel over freshly treated surfaces.

-- End of Section --

# SECTION 32 12 16.16

# ROAD-MIX ASPHALT PAVING 11/20

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 304	(2011; R 2015) Standard Method of Test for Uncompacted Void Content of Fine Aggregate
AASHTO T 329	(2015) Standard Test Method for Moisture Content of Hot Mix Asphalt (HMA) by Oven Method

## ASTM INTERNATIONAL (ASTM)

ASTM C29/C29M	(2017a) Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate
ASTM C88	(2018) Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C117	(2017) Standard Test Method for Materials Finer than 75-um (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C127	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Coarse Aggregate
ASTM C128	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Fine Aggregate
ASTM C131/C131M	(2020) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C142/C142M	(2017) Standard Test Method for Clay Lumps and Friable Particles in Aggregates
ASTM C566	(2013) Standard Test Method for Total

West Point, NY Lincoln Hall Revised RTA Submissi	.on Contract #W912DS19C0031 1 March 2023
	Evaporable Moisture Content of Aggregate by Drying
ASTM D75/D75M	(2019) Standard Practice for Sampling Aggregates
ASTM D242/D242M	(2009; R 2014) Mineral Filler for Bituminous Paving Mixtures
ASTM D979/D979M	(2015) Sampling Bituminous Paving Mixtures
ASTM D2041/D2041M	(2011) Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
ASTM D2172/D2172M	(2017; E 2018) Standard Test Methods for Quantitative Extraction of Asphalt Binder from Asphalt Mixtures
ASTM D2419	(2014) Sand Equivalent Value of Soils and Fine Aggregate
ASTM D2726/D2726M	(2019) Standard Test Method for Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixtures
ASTM D3203/D3203M	(2017) Standard Test Method for Percent Air Voids in Compacted Asphalt Mixtures
ASTM D3665	(2012; R 2017) Standard Practice for Random Sampling of Construction Materials
ASTM D4791	(2019) Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
ASTM D5361/D5361M	(2016) Standard Practice for Sampling Compacted Asphalt Mixtures for Laboratory Testing
ASTM D5444	(2015) Mechanical Size Analysis of Extracted Aggregate
ASTM D5821	(2013; R 2017) Standard Test Method for Determining the Percentage of Fractured Particles in Coarse Aggregate
ASTM D6307	(2019) Standard Test Method for Asphalt Content of Asphalt Mixture by Ignition Method
ASTM D6373	(2016) Standard Specification for Performance Graded Asphalt Binder
ASTM E1274	(2018) Standard Test Method for Measuring Pavement Roughness Using a Profilograph

NEW YORK STATE DEPARTMENT OF TRANSPORTATION MATERIALS BUREAU (NYSDOT)

NYSDOT Standard Specifications (2016) Standard Specifications (US Customary Units)

# 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Diamond Grinding Plan; G, RO

Mix Design; G, RO

Contractor Quality Control; G, RO

SD-06 Test Reports

Aggregates; G, RO

QC Monitoring

SD-07 Certificates

Asphalt Cement Binder; G, RO

## 1.3 ACCEPTANCE

## 1.3.1 Acceptability of Work

Acquire the services of an independent commercial laboratory to perform acceptance testing. Acceptance of the plant produced mix and in-place requirements will be on a lot to lot basis. The materials and the pavement itself will be accepted on the basis of production testing. The Government may make check tests from split samples to validate the results of the production testing. Testing performed by the Government does not reduce the required testing of the independent commercial laboratory. Split samples will be taken for Government testing to reduce the variability between the independent commercial laboratory and the Government's test results. When the difference between the independent commercial laboratory and the Government's test results for split samples exceed the acceptable range of two results for multilaboratory precision for the appropriate test method (i.e. ASTM) then at least one of the laboratories is determined to be in error. An evaluation of procedures and equipment in both laboratories will be made to determine the cause(s) for the differences. Develop steps to correct procedures and equipment to bring multilaboratory precision to within acceptable limits.

## 1.3.2 Acceptance Requirements

Provide all sampling and testing required for acceptance. Where appropriate, acceptance for individual lots of asphalt pavement will be made based on laboratory air voids, in-place density, smoothness, and grade in accordance with the following paragraphs. Surface smoothness and grade determinations will be made on the lot as a whole. Exceptions or adjustments to this will be made in situations where the mix within one lot is placed as part of both the intermediate and surface courses, thus smoothness and grade measurements for the entire lot cannot be made.

## 1.3.3 Pavement Lots

A standard lot for all requirements is equal to one day's production or 2,000 tons, whichever is smaller. Divide each lot into four equal sublots in order to evaluate laboratory air voids and in-place density. When operational conditions cause a lot to be terminated before the specified four sublots have been completed, use the following procedure to adjust the lot size and number of tests for the lot. Where three sublots have been completed, they constitute a lot. Where one or two sublots have been completed, incorporate them into the next lot and the total number of sublots (i.e. 5 or 6 sublots) is used for acceptance criteria. Include partial lots at the end of asphalt production into the previous lot. Complete and report all theoretical maximum density, laboratory air voids, and in-place density testing within 24 hours after construction of each lot.

## 1.3.4 Sublot Sampling

Take one mixture sample for each sublot in accordance with ASTM D979/D979M from a random truck or another location for determining theoretical maximum density, laboratory air voids, any additional testing the Government desires, and Contractor Quality Control. All samples will be selected randomly, using commonly recognized methods of assuring randomness conforming to ASTM D3665 and employing tables of random numbers or computer programs.

## 1.3.5 Additional Sampling and Testing

The Government reserves the right to direct additional samples and tests for any area which appears to deviate from the specification requirements. The cost of any additional testing will be paid for by the Government. Testing in these areas will be treated as a separate lot. Acceptance will be made for the quantity of asphalt pavement represented by these tests in accordance with the provisions of this section.

# 1.3.6 Theoretical Maximum Density (TMD)

Measure theoretical maximum density one time for each sublot in accordance with ASTM D2041/D2041M for purposes of calculating laboratory air voids and determining in-place density. The average TMD for each lot will be determined as the average TMD of the random sublot samples. When the TMD on both sides of a longitudinal joint is different, the average of these two TMD values will be used as the TMD needed to calculate the percent joint density.

# 1.3.7 Laboratory Air Voids

Provide three test specimens prepared from the same sample for each set of

laboratory compacted specimens. Compact the specimens within 2 hours of the time the mixture was loaded into trucks at the asphalt plant. Do not reheat samples prior to compaction. Provide insulated containers as necessary to maintain the sample temperature. Measure the bulk density of laboratory compacted specimens in accordance with ASTM D2726/D2726M. Determine laboratory air voids from one set (three laboratory compacted specimens) for each sublot sample in accordance with ASTM D3203/D3203M.

# 1.3.7.1 Tolerance

Provide laboratory air voids with a mean absolute deviation of 1.00 percent or less from the JMF for each lot. Remove and replace lots that do not meet the laboratory air voids requirement at least 4 inches into the cold (existing) lane adjacent to the longitudinal joint, at no additional cost to the Government. The mean absolute deviation of the laboratory air void contents from the JMF air void content will be evaluated as shown in the example below.

# 1.3.7.2 Calculating Laboratory Air Voids

Laboratory air void calculations for each lot will use the average theoretical maximum density values obtained for the lot. Determine the average TMD in accordance with paragraph THEORETICAL MAXIMUM DENSITY (TMD). The mean absolute deviation of the laboratory air void contents (one from each sublot) from the JMF air void content will be evaluated as in the following example:

Assume that the laboratory air voids are determined from 4 sublots where one set of laboratory compacted specimens is from a single sublot. The laboratory air voids for the 4 sublots are determined to be 3.5, 3.0, 4.0, and 3.7. Assume that the target air voids from the JMF is 4.0. The mean absolute deviation is then:

Mean Absolute Deviation = (|3.5 - 4.0| + |3.0 - 4.0| + |4.0 - 4.0| + |3.7 - 4.0|)/4

Mean Absolute Deviation = (0.5 + 1.0 + 0.0 + 0.3)/4 = (1.8)/4 = 0.45

The mean absolute deviation for laboratory air voids is determined to be 0.45. It can be seen that 0.45 is less than 1.00 percent. The lot is acceptable for laboratory air voids.

# 1.3.8 In-place Density

Obtain one random 4 inch or 6 inch diameter core from the mat and joint of each sublot in accordance with ASTM D5361/D5361M for determining in-place density. Cut samples neatly with a diamond core drill bit. Obtain random cores that are the full thickness of the layer being placed. Select core locations randomly using the procedures contained in ASTM D3665. Locate cores for mat density no closer than 12 inches from a transverse or longitudinal joint including the pavement edge. Center all cores for joint density on the joint. Discard samples that are clearly defective as a result of sampling and take an additional random core. When the random core is less than 1 inch thick, it will not be included in the analysis. In this case, obtain another random core sample. Clean and tack coat dry core holes before filling with asphalt mixture. Fill all core holes with asphalt mixture and compact using a standard Marshall hammer to the density specified. Provide all tools, labor, and materials for cutting samples, cleaning, and filling the cored pavement. Measure in-place

density in accordance with ASTM D2726/D2726M using each core obtained from the mat and joint.

# 1.3.8.1 Tolerance

Provide a minimum in-place mat density of 93.0 percent and a minimum in-place joint density of 90.0 percent for each lot. The average in-place mat and joint densities are expressed as a percentage of the average theoretical maximum density (TMD) for the lot. Determine the average TMD in accordance with paragraph THEORETICAL MAXIMUM DENSITY (TMD). Remove and replace lots that do not meet the in-place mat density requirement at least 4 inches into the cold (existing) lane adjacent to the longitudinal joint, at no additional cost to the Government. Remove and replace the longitudinal joint when the lot does not meet the in-place joint density, at no additional cost to the Government. Use a 10 feet wide paving lane that is centered over the joint.

## 1.3.9 Surface Smoothness

Use a straightedge and profilograph for measuring surface smoothness. Use the profilograph method for all longitudinal testing, except for paving lanes less than 0.25 miles in length. Use the straightedge method for transverse testing, for longitudinal testing where the length of each pavement lane is less than 0.25 miles, and at the ends of the paving limits for the project. Smoothness requirements do not apply over crowns or grade breaks. Maintain detailed notes of the testing results and provide a copy to the Government immediately after each day's testing.

#### 1.3.9.1 Smoothness Requirements

#### 1.3.9.1.1 Straightedge Testing

Provide finished surfaces of the pavements with no abrupt change of 1/4 inch or more when checked with an approved 12 foot straightedge. Remove and replace surface lift lots when the surface smoothness exceeds 3/8 inch, at no additional cost to the Government. High spots can be diamond ground as an alternative to remove and replace in order to meet surface smoothness requirements at individual locations.

#### 1.3.9.1.2 Profilograph Testing

Provide finished surfaces with a Profile Index not greater than 9 inches per mile when tested with an approved California-type profilograph. Remove and replace the lot when the Profile Index exceeds the tolerance by 4.0 inches per mile or more, at no additional cost to the Government. Correct any small individual area with surface deviation which exceeds the tolerance given above by more than 5.0 inches per mile or more by diamond grinding to meet the specification requirements above or remove and replace at no additional cost to the Government.

## 1.3.9.2 Testing Method

After the final rolling, but not later than 24 hours after placement, test the surface of the pavement in each entire lot in a manner to reveal surface irregularities exceeding the tolerances specified above. If any pavement areas are diamond ground, retest these areas immediately after diamond grinding. The maximum area allowed to be corrected by diamond grinding is 10 percent of the total area of the lot. Test the entire area of the pavement with a profilograph. Check a number of random locations along with any observed suspicious locations primarily at transverse and longitudinal joints with the straightedge.

## 1.3.9.2.1 Straightedge Testing

Use the straightedge to measure abrupt changes in surface smoothness. Hold the straightedge in contact with the pavement surface and measure the maximum distance between the straightedge and the pavement surface. Determine the amount of surface irregularity by placing the freestanding (unleveled) straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length, and measuring the maximum gap between the straightedge and the pavement surface in the area between these two high points.

#### 1.3.9.2.2 Profilograph Testing

Perform profilograph testing using an approved California profilograph and procedures described in ASTM E1274. Provide equipment that utilizes electronic recording and automatic computerized reduction of data to indicate "must-grind" bumps and the Profile Index for the pavement. Use a "blanking band" that is 0.2 inch wide and the "bump template" spanning 1 inch with an offset of 0.4 inch. Provide profilograph operated by an approved, factory-trained operator on the alignments specified above. Provide a copy of the reduced tapes to the Government at the end of each day's testing.

## 1.3.9.2.3 Bumps ("Must Grind" Areas)

Reduce any bumps ("must grind" areas) shown on the profilograph trace which exceed 0.4 inch in height by diamond grinding until they do not exceed 0.3 inch when retested. Taper diamond grinding in all directions to provide smooth transitions to areas not requiring diamond grinding. The following will not be permitted: (1) skin patching for correcting low areas, (2) planing or milling for correcting high areas.

#### 1.3.10 Plan Grade

Provide a final wearing surface of pavement conforming to the elevations and cross sections shown and not vary more than 0.05 foot from the plan grade established and approved at site of work. Within 5 working days after completion of a particular lot incorporating the final wearing course, test the final wearing surface of the pavement for conformance with specified plan grade requirements. Match finished surfaces at juncture with other pavements with finished surfaces of abutting pavements. Deviation from the plan elevation will not be permitted in areas of pavements where closer conformance with planned elevation is required for the proper functioning of drainage and other appurtenant structures involved. Diamond grinding can be used to remove high spots to meet grade requirements. Skin patching for correcting low areas or planing or milling for correcting high areas will not be permitted. Maintain detailed notes of the results of the testing and provide a copy to the Government immediately after each day's testing. Remove and replace surface lift lots when individual locations exceed 0.05 foot tolerance, at no additional cost to the Government. High spots can be diamond ground as an alternative to remove and replace in order to meet plan grade requirements at individual locations.

#### 1.4 ENVIRONMENTAL REQUIREMENTS

Do not place the asphalt mixture upon a wet surface or when the surface temperature of the underlying course is less than specified in Table 1. The temperature requirements may be waived by the Government, if requested; however, meet all other requirements including compaction.

Table 1. Surface Temperature Limitations of Underlying Course			
Mat Thickness, inches	Degrees F		
3 or greater	40		
Less than 3	45		

#### PART 2 PRODUCTS

#### 2.1 SYSTEM DESCRIPTION

Perform the work consisting of pavement courses composed of mineral aggregate and asphalt material heated and mixed in a central mixing plant and placed on a prepared course. Provide asphalt pavement designed and constructed in accordance with this section conforming to the lines, grades, thicknesses, and typical cross sections shown on the drawings. Construct each course to the depth, section, or elevation required by the drawings and rolled, finished, and approved before the placement of the next course.

## 2.1.1 Hauling Equipment

Provide trucks used for hauling asphalt mixture that have tight, clean, and smooth metal beds. To prevent the mixture from adhering to them, lightly coat the truck beds with a minimum amount of paraffin oil, lime solution, or other approved material. Do not use petroleum based products as a release agent. Provide each truck with a suitable cover to protect the mixture from adverse weather, contamination, and loss of material during hauling. When necessary due to long haul distance and cold weather, provide insulated truck beds with covers (tarps) that are securely fastened.

#### 2.1.2 Asphalt Pavers

Provide mechanical spreading and finishing equipment consisting of a self-powered paver, capable of spreading and finishing the mixture to the specified line, grade, and cross section. Provide paver screed capable of laying a uniform mixture to meet the specified thickness, smoothness, and grade without physical or temperature segregation, the full width of the material being placed. Provide a paver with a vibrating screed to be used during all placement.

#### 2.1.2.1 Receiving Hopper

Provide paver with a receiving hopper of sufficient capacity to permit a uniform spreading operation and a distribution system to place the mixture uniformly in front of the screed without segregation. Provide a screed that effectively produces a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture.

## 2.1.3 Rollers

Provide rollers in good condition and operate at slow speeds to avoid displacement of the asphalt mixture. Provide sufficient number, type, and weight of rollers to compact the mixture to the required density while it is still in a workable condition. Do not use equipment which causes excessive crushing of the aggregate.

# 2.1.4 Diamond Grinding

Those performing diamond grinding are required to have a minimum of three years experience in diamond grinding. In areas not meeting the specified limits for surface smoothness and plan grade, reduce high areas to attain the required smoothness and grade, except as depth is limited below. Reduce high areas by diamond grinding the asphalt pavement with approved equipment. Perform diamond grinding by sawing with saw blades impregnated with an industrial diamond abrasive. Assemble the saw blades in a cutting head mounted on a machine designed specifically for diamond grinding that produces the required texture and smoothness level without damage to the asphalt pavement or joint faces. Provide diamond grinding equipment with saw blades that are 1/8-inch wide, a minimum of 60 blades per 12 inches of cutting head width, and capable of cutting a path a minimum of 3 feet wide. Diamond grinding equipment that causes raveling, fracturing of aggregate, or disturbance to the underlying material will not be allowed. The maximum area corrected by diamond grinding the surface of the asphalt pavement is 10 percent of the total area of any lot. The maximum depth of diamond grinding is 1/2 inch. Provide diamond grinding machine equipped to flush and vacuum the pavement surface. Dispose of all debris from diamond grinding operations off Government property. Prior to diamond grinding, submit a Diamond Grinding Plan for review and approval. At a minimum, include the daily reports for the deficient areas, the location and extent of deficiencies, corrective actions, and equipment. Remove and replace all pavement areas requiring plan grade or surface smoothness corrections in excess of the limits specified.

Prior to production diamond grinding operations, perform a test section at the approved location, consisting of a minimum of two adjacent passes with a minimum length of 40 feet to allow evaluation of the finish and transition between adjacent passes. Production diamond grinding operations cannot be performed prior to approval.

## 2.2 AGGREGATES

Notify the Government at least 7 days before sampling aggregates. Obtain samples in accordance with ASTM D75/D75M that are representative of the materials to be used for the project. Provide aggregates consisting of crushed stone, crushed gravel, crushed slag, screenings, natural sand, and mineral filler as required. The portion of material retained on the No. 4 sieve is coarse aggregate. The portion of material passing the No. 4 sieve and retained on the No. 200 sieve is fine aggregate. The portion passing the No. 200 sieve is defined as mineral filler. Submit sufficient materials to produce 200 pounds of blended mixture for mix design verification. Submit all aggregate test results and samples to the Government at least 14 days prior to start of construction. Perform job aggregate testing no earlier than 6 months before contract award.

## 2.2.1 Coarse Aggregate

Provide coarse aggregate consisting of sound, tough, durable particles, free from films of material that would prevent thorough coating and bonding with the asphalt material and free from organic matter and other deleterious substances. Provide coarse aggregate particles meeting the following requirements:

- a. The percentage of loss not greater than 40 percent after 500 revolutions when tested in accordance with ASTM C131/C131M.
- b. The sodium sulfate soundness loss not exceeding 12 percent, or the magnesium sulfate soundness loss not exceeding 18 percent after five cycles when tested in accordance with ASTM C88.
- c. At least 75 percent by weight of coarse aggregate containing two or more fractured faces when tested in accordance with ASTM D5821 with fractured faces produced by crushing.
- d. The particle shape essentially cubical and the aggregate containing not more than 10 percent, by weight, of flat and elongated particles (5:1 ratio of length to thickness) when tested in accordance with ASTM D4791, Method B.
- e. Slag consisting of air-cooled, blast furnace slag with a compacted weight of not less than 75 lb/cu ft when tested in accordance with ASTM C29/C29M.
- f. Clay lumps and friable particles not exceeding 0.3 percent, by weight, when tested in accordance with ASTM C142/C142M.

#### 2.2.2 Fine Aggregate

Provide fine aggregate consisting of clean, sound, tough, durable particles. Provide aggregate particles that are free from coatings of clay, silt, or any objectionable material, contain no clay balls, and meet the following requirements:

- a. Quantity of natural sand (noncrushed material) added to the aggregate blend not exceeding 15 percent by weight of total aggregate.
- b. Individual fine aggregate sources with a sand equivalent value greater than 45 when tested in accordance with ASTM D2419.
- c. Fine aggregate portion of the blended aggregate with an uncompacted void content greater than 45.0 percent when tested in accordance with AASHTO T 304 Method A.
- d. Clay lumps and friable particles not exceeding 0.3 percent, by weight, when tested in accordance with ASTM C142/C142M.

# 2.2.3 Mineral Filler

Provide mineral filler consisting of a nonplastic material meeting the requirements of ASTM D242/D242M.

# 2.2.4 Aggregate Gradation

Provide a combined aggregate gradation that conforms to gradations

specified in Table 2, when tested in accordance with ASTM C136/C136M and ASTM C117, and does not vary from the low limit on one sieve to the high limit on the adjacent sieve or vice versa, but grades uniformly from coarse to fine.

	Table 2. Aggre	gate Gradations	
Sieve Size, inch	Gradation 1 Percent Passing by Mass	Gradation 2 Percent Passing by Mass	Gradation 3 Percent Passing by Mass
1	100		
3/4	90-100	100	
1/2	68-88	90-100	100
3/8	60-82	69-89	90-100
No. 4	45-67	53-73	58-78
No. 8	32-54	38-60	40-60
No. 16	22-44	26-48	28-48
No. 30	15-35	18-38	18-38
No. 50	9-25	11-27	11-27
No. 100	6-18	6-18	6-18
No. 200	3-6	3-6	3-6

## 2.3 ASPHALT CEMENT BINDER

Provide asphalt cement binder that conforms to ASTM D6373 Performance Grade (PG) 64E-22. Provide test data indicating grade certification by the supplier at the time of delivery of each load to the mix plant. When warm-mix asphalt technology involves additives, grade the asphalt binder with the asphalt binder additive included. Submit copies of these certifications to the Government. The supplier is defined as the last source of any modification to the binder. The Government may sample and test the binder at the mix plant at any time before or during mix production.

#### 2.4 MIX DESIGN

Mix design shall conform to NYSDOT Standard Specifications HMA mix and gradation requirements.

#### 2.4.1 Top Course

HMA top course shall be NYSDOT 12.5 FZ Top Course HMA.

## 2.4.2 Base Course

HMA base course shall be 25 F9 Binder Course HMA.

PART 3 EXECUTION

## 3.1 CONTRACTOR QUALITY CONTROL

3.1.1 Quality Control Testing

Perform all quality control tests applicable to these specifications and as set forth in the Quality Control Program. Use the independent commercial laboratory for acceptance testing in paragraph ACCEPTANCE. Use in-house capabilities or the independent commercial laboratory for quality control testing. Required elements of the testing program include, but are not limited to tests for the control of asphalt content, aggregate gradation, aggregate moisture, moisture in the asphalt mixture, temperatures, VMA, and in-place density. Develop a Quality Control Testing Plan as part of the Quality Control Program.

#### 3.1.1.1 Asphalt Content

Determine asphalt content a minimum of twice per lot (a lot is defined in paragraph PAVEMENT LOTS) using the ignition method in accordance with ASTM D6307. Use the extraction method in accordance with ASTM D2172/D2172M if the correction factor for the ignition method in ASTM D6307 is greater than 1.0. The asphalt content for the lot will be determined by averaging the test results.

## 3.1.1.2 Aggregate Properties

Determine aggregate gradations a minimum of twice per lot from mechanical analysis of extracted aggregate in accordance with ASTM D5444, ASTM C136/C136M, and ASTM C117. Determine the specific gravity of each aggregate size grouping for each 20,000 tons in accordance with ASTM C127 or ASTM C128. Determine fractured faces for gravel sources for each 20,000 tons in accordance with ASTM D5821. Determine the uncompacted void content of natural sand, manufactured sand, and blended aggregate for each 20,000 tons in accordance with ASHTO T 304 Method A.

#### 3.1.1.3 Moisture Content of Aggregate

Determine the moisture content of aggregate used for production a minimum of once per lot in accordance with ASTM C566.

#### 3.1.1.4 Moisture Content of Asphalt Mixture

Determine the moisture content of the asphalt mixture at least once per lot in accordance with AASHTO T 329.

#### 3.1.1.5 In-Place Density

Conduct any necessary testing to ensure the specified density is achieved. A nuclear gauge or other non-destructive testing device can be used to monitor pavement density.

## 3.1.1.6 Additional Testing

Perform any additional testing deemed necessary to control the process.

#### 3.1.1.7 QC Monitoring

Submit all QC test results to the Government on a daily basis as the tests

are performed. The Government reserves the right to monitor any of the Contractor's quality control testing and to perform duplicate testing as a check to the Contractor's quality control testing.

## 3.1.2 Sampling

When directed by the Government, sample and test any material which appears to not meet specification requirements unless such material is voluntarily removed and replaced or deficiencies corrected. Perform all sampling in accordance with standard procedures specified.

## 3.2 PREPARATION OF THE UNDERLYING SURFACE

Immediately before placing the asphalt mixture, clean the underlying course of dust and debris. Apply a prime coat or tack coat in accordance with Section 32 12 13 BITUMINOUS TACK AND PRIME COATS.

# 3.3 TRANSPORTING AND PLACING

# 3.3.1 Transporting

Transport asphalt mixture from the mixing plant to the site in clean, tight vehicles. Schedule deliveries so that placing and compacting of mixture is uniform with minimum stopping and starting of the paver. Provide adequate artificial lighting for night placements. Hauling over freshly placed material will not be permitted until the material has been compacted as specified, and allowed to cool to 140 degrees F.

# 3.3.2 Placing

Place the mix in lifts of adequate thickness and compact at a temperature suitable for obtaining density, surface smoothness, and other specified requirements. Upon arrival, place the mixture to the full width by an asphalt paver; strike off in a uniform layer of such depth that, when the work is completed, the required thickness is obtained and the surface conforms to the grade and contour indicated. Do not broadcast waste mixture onto the mat or recycle into the paver hopper. Collect waste mixture and dispose off site. Regulate the speed of the paver to eliminate pulling and tearing of the asphalt mat. Begin placement of the mixture along the centerline of a crowned section or on the high side of areas with a one-way slope. Place the mixture in consecutive adjacent strips having a minimum width of 10 feet. Offset the longitudinal joint in one course from the longitudinal joint in the course immediately below by at least 1 foot; however, locate the joint in the surface course at the centerline of the pavement. Offset transverse joints in one course by at least 10 feet from transverse joints in the previous course. Offset transverse joints in adjacent lanes a minimum of 10 feet. On isolated areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impractical, the mixture can be spread and luted by hand tools.

## 3.4 COMPACTION OF MIXTURE

# 3.4.1 General

a. After placing, thoroughly and uniformly compact the mixture to 95% density by rolling. Compact the surface as soon as possible without causing displacement, cracking, or shoving. Determine the sequence of

rolling operations and the type of rollers used with the exception that application of more than three passes with a vibratory roller in the vibrating mode is prohibited. Maintain the speed of the roller, at all times, sufficiently slow to avoid displacement of the asphalt mixture and to be effective in compaction. Correct at once any displacement occurring as a result of reversing the direction of the roller, or from any other cause.

b. Furnish sufficient rollers to handle the output of the plant. Continue rolling until the surface is of uniform texture, true to grade and cross section, and the required field density is obtained. To prevent adhesion of the mixture to the roller, keep the wheels properly moistened, but excessive water is not permitted. In areas not accessible to the roller, thoroughly compact the mixture with hand tampers or small compactors. Remove the full depth of any mixture that becomes loose and broken, mixed with dirt, contains check-cracking, or is in any way defective. Replace with fresh asphalt mixture and immediately compact to conform to the surrounding area. Perform this work at no expense to the Government. Skin patching is not allowed.

## 3.4.2 Segregation

The Government can sample and test any material that looks deficient. When the in-place material appears to be segregated, the Government has the option to sample the material and have it tested and compared to the aggregate gradation, asphalt content, and in-place density requirements. If the material fails to meet these specification requirements, remove and replace the extent of the segregated material the full depth of the layer of asphalt mixture at no additional cost to the Government. When segregation occurs in the mat, take appropriate action to correct the process so that additional segregation does not occur.

## 3.5 JOINTS

Construct joints to ensure a continuous bond between the courses and to obtain the required density. Provide all joints with the same texture as other sections of the course and meet the requirements for smoothness and grade.

#### 3.5.1 Transverse Joints

Do not pass the roller over the unprotected end of the freshly laid mixture, except when necessary to form a transverse joint. When necessary to form a transverse joint, construct by means of placing a bulkhead or by tapering the course. Utilize a dry saw cut on the transverse joint full depth and width on a straight line to expose a vertical face prior to placing the adjacent lane. Remove the cutback material from the project. In both methods, provide a light tack coat of asphalt material to all contact surfaces before placing any fresh mixture against the joint.

# 3.5.2 Longitudinal Joints

Provide a joint that meets density and smoothness requirements for joints and has uniform texture. Cut back longitudinal joints which are irregular, damaged, uncompacted, cold (less than 175 degrees F at the time of placing adjacent lanes), or otherwise defective, a maximum of 3 inches from the top of the course with a cutting wheel to expose a clean, sound, near vertical surface for the full depth of the course. Remove all cutback material from the project. Provide a light tack coat of asphalt material to all contact surfaces prior to placing any fresh mixture against the joint.

-- End of Section --

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# SECTION 32 16 19

# CONCRETE CURBS, INTEGRAL CURBS, AND SIDEWALKS 05/18

## PART 1 GENERAL

## 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 182	(2005;	R 2017	7) Sta	andaro	l Spec	cif:	ication	ı for
	Burlap	Cloth	Made	from	Jute	or	Kenaf	and
	Cotton	Mats						

ASTM INTERNATIONAL (ASTM)

ASTM A615/A615M	(2020) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A1064/A1064M	(2017) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C31/C31M	(2019a) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C94/C94M	(2020) Standard Specification for Ready-Mixed Concrete
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C171	(2016) Standard Specification for Sheet Materials for Curing Concrete
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2016) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2017a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete

ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM D1751	(2004; E 2013; R 2013) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and

ASTM D1752 (2018) Standard Specification for Preformed Sponge Rubber, Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction (2016) Standard Specification for Cold

Applied, Single Component, Chemically Curing Silicone Joint Sealant for Portland Cement Concrete Pavements

Resilient Bituminous Types)

Structural Construction (Nonextruding and

INTERNATIONAL CODE COUNCIL (ICC)

ICC A117.1 COMM	(2017) Standard And Commentary Accessible
	and Usable Buildings and Facilities

#### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Concrete

Biodegradable Form Release Agent

SD-06 Test Reports

Field Quality Control

## 1.3 EQUIPMENT, TOOLS, AND MACHINES

## 1.3.1 General Requirements

Plant, equipment, machines, and tools used in the work will be subject to approval and must be maintained in a satisfactory working condition at all times. Use equipment capable of producing the required product, meeting grade controls, thickness control and smoothness requirements as specified. Discontinue using equipment that produces unsatisfactory results. Allow the Contracting Officer access at all times to the plant and equipment to ensure proper operation and compliance with specifications.

# 1.3.2 Slip Form Equipment

Slip form paver or curb forming machines, will be approved based on trial use on the job and must be self-propelled, automatically controlled, crawler mounted, and capable of spreading, consolidating, and shaping the plastic concrete to the desired cross section in one pass.

## 1.4 ENVIRONMENTAL REQUIREMENTS

#### 1.4.1 Placing During Cold Weather

Do not place concrete when the air temperature reaches 40 degrees F and is falling, or is already below that point. Placement may begin when the air temperature reaches 35 degrees F and is rising, or is already above 40 degrees F. Make provisions to protect the concrete from freezing during the specified curing period. If necessary to place concrete when the temperature of the air, aggregates, or water is below 35 degrees F, placement and protection must be approved in writing. Approval will be contingent upon full conformance with the following provisions. Prepare and protect the underlying material so that it is entirely free of frost when the concrete is deposited. Heat mixing water and aggregates as necessary to result in the temperature of the in-place concrete being between 50 and 85 degrees F. Methods and equipment for heating must be approved. Use only aggregates that are free of ice, snow, and frozen lumps before entering the mixer. Provide covering or other means as needed to maintain the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period.

# 1.4.2 Placing During Warm Weather

The temperature of the concrete as placed must not exceed 85 degrees F except where an approved retarder is used. Cool the mixing water and aggregates as necessary to maintain a satisfactory placing temperature. The placing temperature must not exceed 95 degrees F at any time.

#### PART 2 PRODUCTS

## 2.1 CONCRETE

Provide concrete conforming to the applicable requirements of Section 03 30 00 CAST-IN-PLACE CONCRETE and ASTM C94/C94M except as otherwise specified. Concrete must have a minimum compressive strength of 3500 psi at 28 days. Size of aggregate must not exceed 1-1/2 inches. Submit copies of certified delivery tickets for all concrete used in the construction.

# 2.1.1 Air Content

Use concrete mixtures that have an air content by volume of concrete of 5 to 7 percent, based on measurements made immediately after discharge from the mixer.

# 2.1.2 Slump

Use concrete with a slump of 3 inches plus or minus 1 inch for hand placed concrete or 1 inch plus or minus 1/2 inch for slipformed concrete as determined in accordance with ASTM C143/C143M.

#### 2.1.3 Reinforcement Steel

Use reinforcement bars conforming to ASTM A615/A615M. Use wire mesh reinforcement conforming to ASTM A1064/A1064M.

#### 2.2 CONCRETE CURING MATERIALS

2.2.1 Impervious Sheet Materials

Use impervious sheet materials conforming to ASTM C171, type optional, except that polyethylene film, if used, must be white opaque.

2.2.2 Burlap

Use burlap conforming to AASHTO M 182.

2.2.3 White Pigmented Membrane-Forming Curing Compound

Use white pigmented membrane-forming curing compound conforming to ASTM C309, Type 2.

2.3 CONCRETE PROTECTION MATERIALS

Use concrete protection materials consisting of a linseed oil mixture of equal parts, by volume, of linseed oil and either mineral spirits, naphtha, or turpentine. At the option of the Contractor, commercially prepared linseed oil mixtures, formulated specifically for application to concrete to provide protection against the action of deicing chemicals may be used, except that emulsified mixtures are not acceptable.

- 2.4 JOINT FILLER STRIPS
- 2.4.1 Contraction Joint Filler for Curb

Use hard-pressed fiberboard contraction joint filler for curb.

2.4.2 Expansion Joint Filler, Premolded

Onless otherwise indicated, use 1/2 inch thick premolded expansion joint filler conforming to ASTM D1751 or ASTM D1752.

#### 2.5 JOINT SEALANTS

Use cold-applied joint sealant conforming to ASTM C920 or ASTM D5893/D5893M.

2.6 FORM WORK

Design and construct form work to ensure that the finished concrete will conform accurately to the indicated dimensions, lines, and elevations, and within the tolerances specified. Use wood or steel forms that are straight and of sufficient strength to resist springing during depositing and consolidating concrete.

# 2.6.1 Wood Forms

Use forms that are surfaced plank, 2 inches nominal thickness, straight and free from warp, twist, loose knots, splits or other defects. Use forms with a nominal length of 10 feet. Radius bends may be formed with 3/4 inch boards, laminated to the required thickness.

## 2.6.2 Steel Forms

Use channel-formed sections with a flat top surface and welded braces at each end and at not less than two intermediate points. Use forms with interlocking and self-aligning ends. Provide flexible forms for radius forming, corner forms, form spreaders, and fillers as needed. Use forms with a nominal length of 10 feet and that have a minimum of 3 welded stake pockets per form. Use stake pins consisting of solid steel rods with chamfered heads and pointed tips designed for use with steel forms.

# 2.6.3 Sidewalk Forms

Use sidewalk forms that are of a height equal to the full depth of the finished sidewalk.

#### 2.6.4 Biodegradable Form Release Agent

Use form release agent that is colorless and biodegradableand that is composed of at least 87 percent biobased material. Provide product that does not bond with, stain, or adversely affect concrete surfaces and does not impair subsequent treatments of concrete surfaces. Provide form release agent that does not contain diesel fuel, petroleum-based lubricating oils, waxes, or kerosene.

# 2.7 Detectable Warning System

Detectable Warning Systems shown on the Contract plans are to meet requirements of ICC Al17.1 COMM - Section 705.

# PART 3 EXECUTION

#### 3.1 SUBGRADE PREPARATION

Construct subgrade to the specified grade and cross section prior to concrete placement.

3.1.1 Integral Curb and Sidewalk Subgrade

Place and compact the subgrade in accordance with Section 31 00 00 EARTHWORK. Test the subgrade for grade and cross section with a template extending the full width of the integral curb and sidewalk and supported between side forms. Use subgrade materials equal in bearing quality to the subgrade under the adjacent pavement.

## 3.1.2 Maintenance of Subgrade

Maintain subgrade in a smooth, compacted condition in conformity with the required section and established grade until the concrete is placed. The subgrade must be in a moist condition when concrete is placed. Prepare and protect subgrade so that it is free from frost when the concrete is deposited.

# 3.2 FORM SETTING

Set forms to the indicated alignment, grade and dimensions. Hold forms rigidly in place by a minimum of 3 stakes per form placed at intervals not to exceed 4 feet. Use additional stakes and braces at corners, deep

sections, and radius bends, as required. Use clamps, spreaders, and braces where required to ensure rigidity in the forms. Remove forms in a manner that will not injure the concrete. Do not use bars or heavy tools against the concrete when removing the forms. Promptly and satisfactorily repair concrete found to be defective after form removal. Clean forms and coat with form oil or biodegradable form release agent each time before concrete is placed. Wood forms may, instead, be thoroughly wetted with water before concrete is placed, except that with probable freezing temperatures, oiling is mandatory.

#### 3.2.1 Integral Curb and Sidewalks

Set forms for sidewalks with the upper edge true to line and grade with an allowable tolerance of 1/8 inch in any 10 foot long section. After forms are set, grade and alignment must be checked with a 10 foot straightedge. Sidewalks must have a transverse slope as indicated. Unless otherwise indicated, construct sidewalks that are located adjacent to curbs with the low side adjacent to the curb. Do not remove side forms less than 12 hours after finishing has been completed.

Remove forms used along the front of the curb not less than 2 hours nor more than 6 hours after the concrete has been placed. Do not remove forms used along the back of curb until the face and top of the curb have been finished, as specified for concrete finishing.

## 3.3 SIDEWALK CONCRETE PLACEMENT AND FINISHING

## 3.3.1 Formed Sidewalks

Place concrete in the forms in one layer. When consolidated and finished, the sidewalks must be of the thickness indicated. Use a strike-off guided by side forms after concrete has been placed in the forms to bring the surface to proper section to be compacted. Consolidate concrete by tamping and spading or with an approved vibrator. Finish the surface to grade with a strike off.

# 3.3.2 Concrete Finishing

After straightedging, when most of the water sheen has disappeared, and just before the concrete hardens, finish the surface with a wood or magnesium float or darby to a smooth and uniformly fine granular or sandy texture free of waves, irregularities, or tool marks. Produce a scored surface by brooming with a fiber-bristle brush in a direction transverse to that of the traffic, followed by edging.

#### 3.3.3 Edge and Joint Finishing

Finish all slab edges, including those at formed joints, with an edger having a radius of 1/8 inch. Edge transverse joints before brooming. Eliminate the flat surface left by the surface face of the edger with brooming. Clean and solidly fill corners and edges which have crumbled and areas which lack sufficient mortar for proper finishing with a properly proportioned mortar mixture and then finish.

# 3.3.4 Surface and Thickness Tolerances

Finished surfaces must not vary more than 5/16 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

## 3.4 CURB CONCRETE PLACEMENT AND FINISHING

#### 3.4.1 Formed Curb

Place concrete to the required section in a single lift. Consolidate concrete using approved mechanical vibrators.

## 3.4.2 Curb Finishing

Approved slipformed curb machines may be used in lieu of hand placement.

## 3.4.3 Concrete Finishing

Float and finish exposed surfaces with a smooth wood float until true to grade and section and uniform in texture. Brush floated surfaces with a fine-hair brush using longitudinal strokes. Round the edges of the top of the curb with an edging tool to a radius of 1/2 inch. Immediately after removing the front curb form, rub the face of the curb with a wood or concrete rubbing block and water until blemishes, form marks, and tool marks have been removed. Brush the front curb surface, while still wet, in the same manner as the curb top.

## 3.4.4 Joint Finishing

Finish curb edges at formed joints as indicated.

3.4.5 Surface and Thickness Tolerances

Finished surfaces must not vary more than 1/4 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

## 3.5 INTEGRAL CURB AND SIDEWALK JOINTS

Construct sidewalk joints to divide the surface into rectangular areas. Space transverse contraction joints at a distance equal to the sidewalk width or 5 feet on centers, whichever is less, and continuous across the slab. Construct longitudinal contraction joints along the centerline of all sidewalks 10 feet or more in width. Construct transverse expansion joints at sidewalk returns and opposite expansion joints in adjoining curbs. Where the sidewalk is not in contact with the curb, install transverse expansion joints as indicated. Form expansion joints around structures and features which project through or into the sidewalk pavement, using joint filler of the type, thickness, and width indicated. Expansion joints are not required between sidewalks and curb that abut the sidewalk longitudinally.

#### 3.5.1 Contraction Joints

Form contraction joints in the fresh concrete by cutting a groove in the top portion of the slab to a depth of at least one-fourth of the sidewalk slab thickness. Unless otherwise approved or indicated, either use a jointer to cut the groove or saw a groove in the hardened concrete with a power-driven saw. Construct sawed joints by sawing a groove in the concrete with a 1/8 inch blade. Provide an ample supply of saw blades on the jobsite before concrete placement is started. Provide at least one standby sawing unit in good working order at the jobsite at all times during the sawing operations.

#### 3.5.2 Expansion Joints

Form expansion joints using 1/2 inch joint filler strips. Joint filler in expansion joints surrounding structures and features within the sidewalk may consist of preformed filler material conforming to ASTM D1752 or building paper. Hold joint filler in place with steel pins or other devices to prevent warping of the filler during floating and finishing. Immediately after finishing operations are completed, round joint edges using an edging tool having a radius of 1/8 inch. Remove any concrete over the joint filler. At the end of the curing period, clean the top of expansion joints and fill with cold-applied joint sealant. Use joint sealant that is gray or stone in color. Thoroughly clean the joint opening before the sealing material is placed. Do not spill sealing material on exposed surfaces of the concrete. Apply joint sealing material only when the concrete at the joint is surface dry and atmospheric and concrete temperatures are above 50 degrees F. Immediately remove any excess material on exposed surfaces of the concrete and clean the concrete surfaces.

## 3.5.3 Reinforcement Steel Placement

Accurately and securely fasten reinforcement steel in place with suitable supports and ties before the concrete is placed.

# 3.6 CURING AND PROTECTION

## 3.6.1 General Requirements

Protect concrete against loss of moisture and rapid temperature changes for at least 7 days from the beginning of the curing operation. Protect unhardened concrete from rain and flowing water. All equipment needed for adequate curing and protection of the concrete must be on hand and ready for use before actual concrete placement begins. Protect concrete as necessary to prevent cracking of the pavement due to temperature changes during the curing period.

#### 3.6.1.1 Mat Method

Cover the entire exposed surface with two or more layers of burlap. Overlap mats at least 6 inches. Thoroughly wet the mat with water prior to placing on concrete surface and keep the mat continuously in a saturated condition and in intimate contact with concrete for not less than 7 days.

#### 3.6.1.2 Impervious Sheeting Method

Wet the entire exposed surface with a fine spray of water and then cover with impervious sheeting material. Lay sheets directly on the concrete surface with the light-colored side up and overlapped 12 inches when a continuous sheet is not used. Use sheeting that is not less than 18-inches wider than the concrete surface to be cured. Secure sheeting using heavy wood planks or a bank of moist earth placed along edges and laps in the sheets. Satisfactorily repair or replace sheets that are torn or otherwise damaged during curing. Sheeting must remain on the concrete surface to be cured for not less than 7 days.

## 3.6.1.3 Membrane Curing Method

Apply a uniform coating of white-pigmented membrane-curing compound to the entire exposed surface of the concrete as soon after finishing as the free water has disappeared from the finished surface. Coat formed surfaces immediately after the forms are removed and in no case longer than 1 hour after the removal of forms. Do not allow concrete surface to dry before application of the membrane. If drying has occurred, moisten the surface of the concrete with a fine spray of water and apply the curing compound as soon as the free water disappears. Apply curing compound in two coats by hand-operated pressure sprayers at a coverage of approximately 200 square feet/gallon for the total of both coats. Apply the second coat in a direction approximately at right angles to the direction of application of the first coat. The compound must form a uniform, continuous, coherent film that will not check, crack, or peel and must be free from pinholes or other imperfections. If pinholes, abrasion, or other discontinuities exist, apply an additional coat to the affected areas within 30 minutes. Respray concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied by the method and at the coverage specified above. Respray areas where the curing compound is damaged by subsequent construction operations within the curing period. Take precautions necessary to ensure that the concrete is properly cured at sawed joints, and that no curing compound enters the joints. Tightly seal the top of the joint opening and the joint groove at exposed edges before the concrete in the region of the joint is resprayed with curing compound. Use a method used for sealing the joint groove that prevents loss of moisture from the joint during the entire specified curing period. Provide approved standby facilities for curing concrete pavement at a location accessible to the jobsite for use in the event of mechanical failure of the spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Adequately protect concrete surfaces to which membrane-curing compounds have been applied during the entire curing period from pedestrian and vehicular traffic, except as required for joint-sawing operations and surface tests, and from other possible damage to the continuity of the membrane.

# 3.6.2 Backfilling

After curing, remove debris and backfill, grade, and compact the area adjoining the concrete to conform to the surrounding area in accordance with lines and grades indicated.

## 3.6.3 Protection

Protect completed concrete from damage until accepted. Repair damaged concrete and clean concrete discolored during construction. Remove and reconstruct concrete that is damaged for the entire length between regularly scheduled joints. Refinishing the damaged portion will not be acceptable. Dispose of removed material as directed.

# 3.6.4 Protective Coating

Apply a protective coating of linseed oil mixture to the exposed-to-view concrete surface after the curing period, if concrete will be exposed to de-icing chemicals within 6 weeks after placement. Moist cure concrete to receive a protective coating.

#### 3.6.4.1 Application

Complete curing and backfilling operation prior to applying two coats of protective coating. Concrete must be surface dry and clean before each application. Spray apply at a rate of not more than 50 square yards/gallon for first application and not more than 70 square yards/gallon for second application, except that the number of applications and coverage for each application for commercially prepared mixture must be in accordance with the manufacturer's instructions. Protect coated surfaces from vehicular and pedestrian traffic until dry.

# 3.6.4.2 Precautions

Do not heat protective coating by direct application of flame or electrical heaters and protect the coating from exposure to open flame, sparks, and fire adjacent to open containers or applicators. Do not apply material at ambient or material temperatures lower than 50 degrees F.

## 3.7 FIELD QUALITY CONTROL

Submit copies of all test reports within 24 hours of completion of the test.

## 3.7.1 General Requirements

Perform the inspection and tests described and meet the specified requirements for inspection details and frequency of testing. Based upon the results of these inspections and tests, take the action and submit reports as required below, and additional tests to ensure that the requirements of these specifications are met.

## 3.7.2 Concrete Testing

# 3.7.2.1 Strength Testing

Take concrete samples in accordance with ASTM C172/C172M not less than once a day nor less than once for every 250 cubic yards of concrete placed. Mold cylinders in accordance with ASTM C31/C31M for strength testing by an approved laboratory. Each strength test result must be the average of 2 test cylinders from the same concrete sample tested at 28 days, unless otherwise specified or approved. Concrete specified on the basis of compressive strength will be considered satisfactory if the averages of all sets of three consecutive strength test results equal or exceed the specified strength, and no individual strength test result falls below the specified strength by more than 500 psi.

## 3.7.2.2 Air Content

Determine air content in accordance with ASTM C173/C173M or ASTM C231/C231M. Use ASTM C231/C231M with concretes and mortars made with relatively dense natural aggregates. Make two tests for air content on randomly selected batches of each class of concrete placed during each shift. Make additional tests when excessive variation in concrete workability is reported by the placing foreman or the Government inspector. Notify the placing forman if results are out of tolerance. The placing foreman must take appropriate action to have the air content corrected at the plant. Additional tests for air content will be performed on each truckload of material until such time as the air content is within the tolerance specified.

#### 3.7.2.3 Slump Test

Perform two slump tests on randomly selected batches of each class of concrete for every 250 cubic yards, or fraction thereof, of concrete placed during each shift. Perform additional tests when excessive variation in the workability of the concrete is noted or when excessive crumbling or slumping is noted along the edges of slip-formed concrete.

# 3.7.3 Thickness Evaluation

Determine the anticipated thickness of the concrete prior to placement by passing a template through the formed section or by measuring the depth of opening of the extrusion template of the curb forming machine. If a slip form paver is used for sidewalk placement, construct the subgrade true to grade prior to concrete placement. The thickness will be determined by measuring each edge of the completed slab.

# 3.7.4 Surface Evaluation

Provide finished surfaces for each category of the completed work that are uniform in color and free of blemishes and form or tool marks.

#### 3.8 SURFACE DEFICIENCIES AND CORRECTIONS

#### 3.8.1 Thickness Deficiency

When measurements indicate that the completed concrete section is deficient in thickness by more than 1/4 inch the deficient section will be removed, between regularly scheduled joints, and replaced.

# 3.8.2 High Areas

In areas not meeting surface smoothness and plan grade requirements, reduce high areas either by rubbing the freshly finished concrete with carborundum brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 36 hours old or more. The area corrected by grinding the surface of the hardened concrete must not exceed 5 percent of the area of any integral slab, and the depth of grinding must not exceed 1/4 inch. Remove and replace pavement areas requiring grade or surface smoothness corrections in excess of the limits specified.

# 3.8.3 Appearance

Exposed surfaces of the finished work will be inspected by the Contracting Officer and deficiencies in appearance will be identified. Remove and replace areas which exhibit excessive cracking, discoloration, form marks, or tool marks or which are otherwise inconsistent with the overall appearances of the work.

# 3.9 DETECTABLE WARNING SYSTEM

Install Detectable Warning Systems required by Contract plans in accordance with ICC Al17.1 COMM, Section 705, and by manufacturers' installation instructions.

-- End of Section --

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# SECTION 32 17 23

# PAVEMENT MARKINGS 08/16

PART 1 GENERAL

1.1 REFERENCES The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 249 (2012; R2016) Standard Specification for White and Yellow Reflective Thermoplastic Striping Material (Solid Form)

ASTM INTERNATIONAL (ASTM)

ASTM D4505 (2012; R 2017) Standard Specification for Preformed Retroflective Pavement Marking Tape for Extended Service Life

ASTM D6628 (2003; R 2015) Standard Specification for Color of Pavement Marking Materials

MASTER PAINTERS INSTITUTE (MPI)

MPI 97

(2012) Traffic Marking Paint, Latex

NEW YORK STATE DEPARTMENT OF TRANSPORTATION MATERIALS BUREAU (NYSDOT)

NYSDOT Standard Specifications

(2016) Standard Specifications (US Customary Units)

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD

(2015) Manual on Uniform Traffic Control Devices

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS TT-P-1952 (2015; Rev F; Notice 1) Paint, Traffic and Airfield Markings, Waterborne

# 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to West Point, NY Contract #W912DS19C0031 Lincoln Hall Revised RTA Submission 1 March 2023 the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES: SD-03 Product Data Surface Preparation Equipment List; G, RO Application Equipment List; G, RO Exterior Surface Preparation Safety Data Sheets; G, RO Waterborne Paint; G, RO Thermoplastic compound; G, RO SD-06 Test Reports Waterborne Paint; G, RO Thermoplastic Compound; G, RO Test Reports SD-07 Certificates Qualifications; G, RO Waterborne Paint Volatile Organic Compound, (VOC); G, RO Thermoplastic Compound SD-08 Manufacturer's Instructions Waterborne Paint; G, RO Thermoplastic Compound; G, RO 1.3 QUALITY ASSURANCE

#### 1.3.1 Regulatory Requirements

Submit certificate stating that the proposed pavement marking paint meets the Volatile Organic Compound, (VOC) regulations of the local Air Pollution Control District having jurisdiction over the geographical area in which the project is located. Submit Safety Data Sheets for each product.

# 1.3.2 Qualifications

Submit documentation certifying that pertinent personnel are qualified for equipment operation and handling of applicable chemicals. The documentation should include experience on five projects of similar size and scope with references for all personnel.

#### 1.4 DELIVERY AND STORAGE

Deliver paint materials, thermoplastic compound materials, and reflective media in original sealed containers that plainly show the designated name, specification number, batch number, color, date of manufacture, manufacturer's directions, and name of manufacturer.

Provide storage facilities at the job site, only in areas approved by the Contracting Officer, for maintaining materials at temperatures recommended by the manufacturer. Make available paint stored at the project site or segregated at the source for sampling not less than 30 days prior to date of required approval for use to allow sufficient time for testing. Notify the Contracting Officer when paint is available for sampling.

- 1.5 PROJECT/SITE CONDITIONS
- 1.5.1 Environmental Requirements

#### 1.5.1.1 Weather Limitations for Application

Apply pavement markings to clean, dry surfaces, and unless otherwise approved, only when the air and pavement surface temperature is at least 5 degrees F above the dew point and the air and pavement temperatures are within the limits recommended by the pavement marking manufacturer. Allow pavement surfaces to dry after water has been used for cleaning or rainfall has occurred prior to striping or marking. Test the pavement surface for moisture before beginning work each day and after cleaning. Do not commence marking until the pavement is sufficiently dry and the pavement condition has been approved by the Contracting Officer. Employ the "plastic wrap method" to test the pavement for moisture as specified in paragraph TESTING FOR MOISTURE.

1.5.1.2 Weather Limitations for Removal of Pavement Markings on Roads and Automotive Parking Areas

Pavement surface must be free of snow, ice, or slush; with a surface temperature of at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting or grinding. Cease operation during thunderstorms, or during rainfall, except for waterblasting and removal of previously applied chemicals. Cease waterblasting where surface water accumulation alters the effectiveness of material removal.

# 1.5.2 Traffic Controls

Place warning signs conforming to MUTCD near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Place small markers along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Mark painting equipment with large warning signs indicating slow-moving painting equipment in operation.

When traffic must be rerouted or controlled to accomplish the work, provide necessary warning signs, flag persons, and related equipment for the safe passage of vehicles.

1.5.3 Lighting

When night operations are necessary, provide all necessary lighting and equipment. The Government reserves the right to accept or reject night work on the day following night activities by the Contractor.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 Surface Preparation and Paint Removal

2.1.1.1 Surface Preparation Equipment for Roads and Automotive Parking Areas

Submit a surface preparation equipment list by serial number, type, model, and manufacturer. Include descriptive data indicating area of coverage per pass, pressure adjustment range, tank and flow capacities, and safety precautions required for the equipment operation. Mobile equipment must allow for removal of markings without damaging the pavement surface or joint sealant. Maintain machines, tools, and equipment used in the performance of the work in satisfactory operating condition.

#### 2.1.1.1.1 Waterblasting Equipment

Use mobile waterblasting equipment capable of producing a pressurized stream of water that effectively removes paint from the pavement surface without significantly damaging the pavement. Provide equipment, tools, and machinery which are safe and in good working order at all times.

# 2.1.2 Application Equipment

Submit application equipment list appropriate for the material(s) to be used. Include manufacturer's descriptive data and certification for the planned use that indicates area of coverage per pass, pressure adjustment range, tank and flow capacities, and all safety precautions required for operating and maintaining the equipment. Provide and maintain machines, tools, and equipment used in the performance of the work in satisfactory operating condition, or remove them from the work site. Provide mobile and maneuverable application equipment to the extent that straight lines can be followed and normal curves can be made in a true arc.

- 2.1.2.1 Paint Application Equipment
- 2.1.2.1.1 Hand-Operated, Push-Type Machines

Provide hand-operated push-type applicator machine of a type commonly used for application of water based paint or two-component, chemically curing paint, thermoplastic, or preformed tape, to pavement surfaces for small marking projects, such as legends and cross-walks, automotive parking areas, or surface painted signs. Provide applicator machine equipped with the necessary tanks and spraying nozzles capable of applying paint uniformly at coverage specified. Hand operated spray guns may be used in areas where push-type machines cannot be used.

2.1.2.1.2 Self-Propelled or Mobile-Drawn Spraying Machines

Provide self-propelled or mobile-drawn spraying machine with suitable arrangements of atomizing nozzles and controls to obtain the specified results. Provide machine having a speed during application capable of applying the stripe widths indicated at the paint coverage rate specified herein and of even uniform thickness with clear-cut edges.

# 2.1.2.1.2.1 Road Marking

Provide equipment used for marking roads capable of placing the prescribed number of lines at a single pass as solid lines, intermittent lines, or a combination of solid and intermittent lines using a maximum of three different colors of paint as specified.

2.1.2.1.2.2 Hand Application

Provide spray guns for hand application of paint in areas where the mobile paint applicator cannot be used.

- 2.1.2.2 Thermoplastic Application Equipment
- 2.1.2.2.1 Thermoplastic Material

Apply thermoplastic material with equipment that is capable of providing continuous uniformity in the dimensions and reflectorization of the marking.

- 2.1.2.2.2 Application Equipment
  - a. Provide application equipment capable of continuous mixing and agitation of the material, with conveying parts which prevent accumulation and clogging between the main material reservoir and the extrusion shoe or spray gun. All parts of the equipment which come into contact with the material must be easily accessible and exposed for cleaning and maintenance. All mixing and conveying parts up to and including the extrusion shoes and spray guns must maintain the material at the required temperature with heat-transfer oil or electrical-element-controlled heat.
  - b. Provide application equipment constructed to ensure continuous uniformity in the dimensions of the stripe. Provide an applicator with a means for cleanly cutting off stripe ends squarely. Provide equipment capable of applying varying widths of traffic markings.
  - c. Provide mobile and maneuverable application equipment allowing straight lines to be followed and normal curves to be made in a true arc. Provide equipment used for the placement of thermoplastic pavement markings of two general types: mobile applicator and portable applicator.
  - d. Equip the applicator with a pressurized or drop-on type bead dispenser capable of uniformly dispensing reflective glass spheres at controlled rates of flow. The bead dispenser must operate automatically to begin flow prior to the flow of binder to assure that the strip is fully reflectorized.

#### 2.1.2.2.3 Mobile Application Equipment

Provide a truck-mounted, self-contained pavement marking machine that is capable of hot applying thermoplastic by either the extrusion or spray method.

- a. Equip the unit to apply the thermoplastic marking material at temperatures according to the manufacturer's instructions, at widths varying from 3 to 12 inches, with an automatic pressurized or drop-on bead dispensing system, capable of operating continuously, and of installing a minimum of 20,000 lineal feet of longitudinal markings in an 8-hour day.
- b. Equip the mobile unit with a melting kettle; capable of heating the thermoplastic composition to temperatures as recommended by the manufacturer. Use a thermostatically controlled heat transfer liquid. Heating of the composition by direct flame is not allowed. Oil and material temperature gauges must be visible at both ends of the kettle.
- c. Equip mobile units for application of extruded markings, capable of marking edge line stripes; each being a closed, oil-jacketed unit; holding the molten thermoplastic at a temperature as recommended by the manufacturer; and capable of extruding a line of 3 to 8 inches in width; and at a thickness of not less than 0.120 inch nor more than 0.190 inch, of generally uniform cross section.
- d. Surround (jacket) the spray system with heating oil to maintain the molten thermoplastic at a temperature of 375 to 425 degrees F, capable of spraying a stripe of 3 to 12 inches in width, and in thicknesses varying from 0.060 inch to 0.098 inch, of generally uniform cross section.
- e. Equip the mobile unit with an automatic counting mechanism capable of recording the number of lineal feet of thermoplastic markings applied to the pavement surface with an accuracy of 0.5 percent.

# 2.1.2.2.4 Portable Application Equipment

Provide portable hand-operated equipment, specifically designed for placing special markings such as crosswalks, stop bars, legends, arrows, and short lengths of lane, edge and centerlines; and capable of applying thermoplastic pavement markings by the extrusion method. Equip the portable applicator with all the necessary components, including a materials storage reservoir, bead dispenser, extrusion shoe, and heating accessories, capable of holding the molten thermoplastic at the temperature recommended by the manufacturer, and of extruding a line of 3 to 12 inches in width, and in thickness of not less than 0.120 inch nor more than 0.190 inch and of generally uniform cross section.

# 2.2 MATERIALS

Use thermoplastic for roads. Use non-reflectorized waterborne paint for parking areas. The maximum allowable VOC content of pavement markings is 150 grams per liter. Color of markings are indicated on the drawings and must conform to ASTM D6628 for roads and parking areas. Provide materials conforming to the requirements specified herein.

2.2.1 Waterborne Paint

FS TT-P-1952, Type I or IIMPI 97.

- 2.2.2 Thermoplastic Compound
- 2.2.2.1 Composition Requirements

Thermoplastic compound must conform to AASHTO M 249 and NYSDOT Standard Specifications. Formulate the binder component as an alkyd resin.

# 2.2.2.2 Primer

- a. Asphalt concrete primer: Provide thermosetting adhesive primer with a solids content of pigment reinforced synthetic rubber and synthetic plastic resin dissolved or dispersed in a volatile organic solvent for asphaltic concrete pavements. The solids content must not be less than 10 percent by weight at 70 degrees F and 60 percent relative humidity. A wet film thickness of 0.005 inch, plus or minus 0.001 inch, must dry to a tack-free condition in less than 5 minutes.
- 2.2.3 Preformed Tape

Provide adherent reflectorized strip preformed tape in accordance with ASTM D4505 Retroreflectivity Level II, Class 1, 2 or 3, Skid Resistance Level B.

PART 3 EXECUTION

# 3.1 EXAMINATION

3.1.1 Testing for Moisture

Test the pavement surface for moisture before beginning pavement marking after each period of rainfall, fog, high humidity, or cleaning, or when the ambient temperature has fallen below the dew point. Do not commence marking until the pavement is sufficiently dry and the pavement condition has been approved by the Contracting Officer or authorized representative.

Employ the "plastic wrap method" to test the pavement for moisture as follows: Cover the pavement with a 12 inch by 12 inch section of clear plastic wrap and seal the edges with tape. After 15 minutes, examine the plastic wrap for any visible moisture accumulation inside the plastic. Do not begin marking operations until the test can be performed with no visible moisture accumulation inside the plastic wrap. Re-test surfaces when work has been stopped due to rain.

3.1.2 Surface Preparation Demonstration

Prior to surface preparation, demonstrate the proposed procedures and equipment. Prepare areas large enough to determine cleanliness, adhesion of remaining coating, and rate of cleaning.

# 3.1.3 Test Stripe Demonstration

Prior to paint application, demonstrate test stripe application within the work area using the proposed materials and equipment. Apply separate test stripes in each of the line widths and configurations required herein

using the proposed equipment. Make the test stripes long enough to determine the proper speed and operating pressures for the vehicle(s) and machinery.

3.1.4 Application Rate Demonstration

During the Test Stripe Demonstration, demonstrate compliance with the application rates specified herein. Document the equipment speed and operating pressures required to meet the specified rates in each configuration of the equipment and provide a copy of the documentation to the Contracting Officer prior to proceeding with the work.

3.1.5 Level of Performance Demonstration

The Contracting Officer will be present at the application demonstrations to observe the results obtained and to validate the operating parameters of the vehicle(s) and equipment. If accepted by the Contracting Officer, the test stripe is the measure of performance required for this project. Do not proceed with the work until the demonstration results are satisfactory to the Contracting Officer.

#### 3.2 EXTERIOR SURFACE PREPARATION

Allow new pavement surfaces to cure for a period of not less than 30 days before application of marking materials. Thoroughly clean surfaces to be marked before application of the paint. Remove dust, dirt, and other granular surface deposits by sweeping, blowing with compressed air, rinsing with water, or a combination of these methods as required. Remove rubber deposits, existing paint markings, residual curing compounds, and other coatings adhering to the pavement by water blasting or approved chemical removal method.

- a. Do not commence painting in any area until pavement surfaces are dry and clean.
- 3.2.1 Early Painting of Rigid Pavements

Pretreat rigid pavements that require early painting with an aqueous solution containing 3 percent phosphoric acid and 2 percent zinc chloride. Apply the solution to the areas to be marked.

3.2.2 Early Painting of Asphalt Pavements

For asphalt pavement systems requiring painting application at less than 30 days, apply the paint and beads at half the normal application rate, followed by a second application at the normal rate after 30 days.

3.3 APPLICATION

Apply pavement markings to dry pavements only.

3.3.1 Paint

Apply paint with approved equipment at rate of coverage specified herein. Provide guidelines and templates as necessary to control paint application. Take special precautions in marking numbers, letters, and symbols. Manually paint numbers, letters, and symbols. Sharply outline all edges of markings. The maximum drying time requirements of the paint specifications will be strictly enforced, to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a deficiency in drying of the markings, painting operations must cease until the cause of the slow drying is determined and corrected.

# 3.3.1.1 Waterborne Paint

# 3.3.1.1.1 Roads

Apply paint at a rate of 105 plus or minus 5 square feet per gallon.

#### 3.3.2 Thermoplastic Compound

Place thermoplastic pavement markings, free from dirt or tint, upon dry pavement. The temperature must be a minimum of 40 degrees F and rising at the time of installation. Apply all longitudinal type markings with a mobile applicator. Place all special markings, crosswalks, stop bars, legends, arrows, and similar patterns with a portable applicator, using the extrusion method.

# 3.3.2.1 Primer

After surface preparation has been completed, prime the asphalt or concrete pavement surface with spray equipment. Allow primer materials to "set-up" prior to applying the thermoplastic composition. Allow the asphalt concrete primer to dry to a tack-free condition, usually occurring in less than 10 minutes. Apply asphalt concrete primer to all asphalt concrete pavements at a wet film thickness of 0.005 inch, plus or minus 0.001 inch (265 to 400 square feet per gallon).

After the primer has "set-up", apply the thermoplastic at temperatures no lower than 375 degrees F nor higher than 425 degrees F at the point of deposition. Apply all extruded thermoplastic markings at the specified width and at a thickness of not less than 0.125 inch nor more than 0.190 inch. Apply all sprayed thermoplastic markings at the specified width and the thickness designated in the contract plans. If the plans do not specify a thickness, apply centerline markings at a wet thickness of 0.090 inch, plus or minus 0.005 inch, and edgeline markings at a wet thickness of 0.060 inch, plus or minus 0.005 inch.

#### 3.3.3 Cleanup and Waste Disposal

Keep the worksite clean and free of debris and waste from the removal and application operations. Dispose of debris at approved sites.

#### 3.4 FIELD QUALITY CONTROL

# 3.4.1 Sampling and Testing

As soon as the paint and thermoplastic materials and reflective media are available for sampling, obtain by random selection from the sealed containers, four quart samples of each batch in the presence of the Contracting Officer. Two quarts will be for sampling and testing by the Contractor and two quarts will be for retention by the Government. Accomplish adequate mixing prior to sampling to ensure a uniform, representative sample. A batch is defined as that quantity of material processed by the manufacturer at one time and identified by number on the label. Clearly identify samples by designated name, specification number, batch number, project contract number, intended use, and quantity involved.

Test samples by an approved laboratory. If a sample fails to meet specification, replace the material in the area represented by the samples and retest the replacement material as specified above. Submit certified copies of the test reports, prior to the use of the materials at the jobsite. Include in the report of test results a listing of any specification requirements not verified by the test laboratory. At the discretion of the Contracting Officer, samples provided may be tested by the Government for verification.

# 3.4.2 Material Inspection

Examine material at the job site to determine that it is the material referenced in the report of test results or certificate of compliance. Provide test results substantiating conformance to the specified requirements with each certificate of compliance.

# 3.4.3 Dimensional Tolerances

Apply all markings in the standard dimensions provide in the drawings. New markings may deviate a maximum of 10 percent larger than the standard dimension. The maximum deviation allowed when painting over an old marking is up to 20 percent larger than the standard dimensions.

#### 3.4.4 Bond Failure Verification

Inspect newly applied markings for signs of bond failure based on visual inspection and comparison to results from Test Stripe Demonstration paragraph.

-- End of Section --

## SECTION 32 92 19

# SEEDING 08/17

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C602	(2019) Agricultural Liming Materials	
ASTM D4427	(2018) Standard Classification of Peat Samples by Laboratory Testing	
ASTM D4972	(2018) Standard Test Methods for pH of Soils	
U.S. DEPARTMENT OI	F AGRICULTURE (USDA)	
AMC Cood Act	(1040, p. 1000, p. 1000) Endowal Good Act	

AMS Seed Act (1940; R 1988; R 1998) Federal Seed Act DOA SSIR 42 (1996) Soil Survey Investigation Report No. 42, Soil Survey Laboratory Methods

Manual, Version 3.0

#### 1.2 DEFINITIONS

# 1.2.1 Stand of Turf

95 percent ground cover of the established species.

# 1.3 RELATED REQUIREMENTS

Section 32 93 00 EXTERIOR PLANTS and Section 32 05 33 LANDSCAPE ESTABLISHMENT applies to this section for pesticide use and plant establishment requirements, with additions and modifications herein.

# 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Wood Cellulose Fiber Mulch

Fertilizer

Include physical characteristics, and recommendations.

SD-06 Test Reports

Topsoil Composition Tests (reports and recommendations).

SD-07 Certificates

State Certification and Approval for Seed

SD-08 Manufacturer's Instructions

Erosion Control Materials

- 1.5 DELIVERY, STORAGE, AND HANDLING
- 1.5.1 Delivery
- 1.5.1.1 Seed Protection

Protect from drying out and from contamination during delivery, on-site storage, and handling.

1.5.1.2 Fertilizer Delivery

Deliver to the site in original, unopened containers bearing manufacturer's chemical analysis, name, trade name, trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer may be furnished in bulk with certificate indicating the above information.

- 1.5.2 Storage
- 1.5.2.1 Seed and Fertilizer Storage

Store in cool, dry locations away from contaminants.

1.5.2.2 Topsoil

Prior to stockpiling topsoil, treat growing vegetation with application of appropriate specified non-selective herbicide. Clear and grub existing vegetation three to four weeks prior to stockpiling topsoil.

1.5.2.3 Handling

Do not drop or dump materials from vehicles.

- 1.6 TIME RESTRICTIONS AND PLANTING CONDITIONS
- 1.6.1 Restrictions

Do not plant when the ground is frozen, snow covered, muddy, or when air temperature exceeds 90 degrees Fahrenheit.

# 1.7 TIME LIMITATIONS

1.7.1 Seed

Apply seed within twenty four hours after seed bed preparation.

- PART 2 PRODUCTS
- 2.1 SEED
- 2.1.1 Classification

Provide State-certified Endophyte-enhanced seed of the latest season's crop delivered in original sealed packages, bearing producer's guaranteed analysis for percentages of mixtures, purity, germination, weedseed content, and inert material. Label in conformance with AMS Seed Act and applicable state seed laws. Wet, moldy, or otherwise damaged seed will be rejected. Field mixes will not be acceptable.

# 2.1.2 Planting Dates

Planting Season	Planting Dates
Season 1	April 1 to June 1
Season 2	Sept 1 to Oct 15

#### 2.1.3 Seed Purity

Botanical Name	Common Name	Minimum Percent Pure Seed		Maximum Percent Weed Seed
Poa Pratensis	Kentucky Bluegrass	90	75	0.5
Lolium Perenne	Perennial Ryegrass	85	25	0.5

# 2.1.4 Seed Mixture by Weight

Planting Season	Variety	Percent (by Weight)
Season 1 & 2	Kentucky Bluegrass	65
Season 2	Perennial Ryegrass	35

Proportion seed mixtures by weight.

# 2.2 TOPSOIL

# 2.2.1 On-Site Topsoil

Surface soil stripped and stockpiled on site and modified as necessary to meet the requirements specified for topsoil in paragraph COMPOSITION. When available topsoil must be existing surface soil stripped and stockpiled on-site in accordance with Section 31 00 00 EARTHWORK.

# 2.2.2 Off-Site Topsoil

Conform to requirements specified in paragraph COMPOSITION. Additional topsoil must be furnished by the Contractor.

# 2.2.3 Composition

Containing from 5 to 10 percent organic matter as determined by the topsoil composition tests of the Organic Carbon, 6A, Chemical Analysis Method described in DOA SSIR 42. Maximum particle size, 3/4 inch, with maximum 3 percent retained on 1/4 inch screen. The pH must be tested in accordance with ASTM D4972. Topsoil must be free of sticks, stones, roots, and other debris and objectionable materials. Other components must conform to the following limits:

Silt	20-30 percent
Clay	10-20 percent
Sand	50-60 percent
рН	6.0 to 7.0
Soluble Salts	600 ppm maximum

## 2.3 SOIL CONDITIONERS

Add conditioners to topsoil as required to bring into compliance with "composition" standard for topsoil as specified herein.

#### 2.3.1 Lime

Commercial grade hydrate or burnt limestone containing a calcium carbonate equivalent (C.C.E.) as specified in ASTM C602 of not less than 45 percent.

2.3.2 Aluminum Sulfate

Commercial grade.

# 2.3.3 Sulfur

100 percent elemental

- 2.3.4 Iron
  - 100 percent elemental

2.3.5 Peat

Natural product of peat moss derived from a freshwater site and conforming to ASTM D4427. Shred and granulate peat to pass a 1/2 inch mesh screen and condition in storage pile for minimum 6 months after excavation.

2.3.6 Sand

Clean and free of materials harmful to plants.

2.3.7 Perlite

Horticultural grade.

2.3.8 Composted Derivatives

Ground bark, nitrolized sawdust, humus or other green wood waste material free of stones, sticks, and soil stabilized with nitrogen and having the following properties:

2.3.8.1 Particle Size

Minimum percent by weight passing:

No.	4	mesh	screen	95
No.	8	mesh	screen	80

#### 2.3.8.2 Nitrogen Content

Minimum percent based on dry weight:

Fir	Sawdust		0.7
Fir	or Pine	Bark	1.0

2.3.9 Gypsum

Coarsely ground gypsum comprised of calcium sulfate dihydrate 80 percent, calcium 18 percent, sulfur 14 percent; minimum 96 percent passing through 20 mesh screen, 100 percent passing thru 16 mesh screen.

# 2.4 FERTILIZER

# 2.4.1 Granular Fertilizer

Organic, granular controlled release fertilizer containing the following minimum percentages, by weight, of plant food nutrients:

20 percent available nitrogen 5 percent available phosphorus 10 percent available potassium

#### 2.4.2 Hydroseeding Fertilizer

Controlled release fertilizer, to use with hydroseeding and composed of pills coated with plastic resin to provide a continuous release of nutrients for at least 6 months and containing the following minimum percentages, by weight, of plant food nutrients.

15 percent available nitrogen

30 percent available phosphorus 15 percent available potassium MULCH

2.5

Mulch must be free from noxious weeds, mold, and other deleterious materials.

2.5.1 Straw

> Stalks from oats, wheat, rye, barley, or rice. Furnish in air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Straw must contain no fertile seed.

2.5.2 Hay

> Air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Hay must be sterile, containing no fertile seed.

#### 2.5.3 Wood Cellulose Fiber Mulch

Use recovered materials of either paper-based (100 percent post-consumer content) or wood-based (100 percent total recovered content) hydraulic mulch. Processed to contain no growth or germination-inhibiting factors and dyed an appropriate color to facilitate visual metering of materials application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 5.5 to 8.2. Use with hydraulic application of grass seed and fertilizer.

2.6 WATER

> Source of water must be approved by Contracting Officer and of suitable quality for irrigation, containing no elements toxic to plant life.

#### 2.7 EROSION CONTROL MATERIALS

Erosion control material must conform to the following:

2.7.1 Erosion Control Blanket

70 percent agricultural straw/30 percent coconut fiber matrix stitched with a degradable nettings, designed to degrade within 12 months.

#### 2.7.2 Erosion Control Net

Net must be heavy, twisted jute mesh, weighing approximately 1.22 pounds per linear yard and 4 feet wide with mesh openings of approximately one inch square.

#### Hydrophilic Colloids 2.7.3

Hydrophilic colloids must be physiologically harmless to plant and animal life without phytotoxic agents. Colloids must be naturally occurring, silicate powder based, and must form a water insoluble membrane after curing. Colloids must resist mold growth.

Erosion Control Material Anchors 2.7.4

Erosion control anchors must be as recommended by the manufacturer.

PART 3 EXECUTION

# 3.1 PREPARATION

3.1.1 EXTENT OF WORK

Provide soil preparation prior to planting (including soil conditioners as required), fertilizing, seeding, and surface topdressing of all newly graded finished earth surfaces, unless indicated otherwise, and at all areas inside or outside the limits of construction that are disturbed by the Contractor's operations.

#### 3.1.1.1 Topsoil

Provide 4 inches of off-site topsoil or on-site topsoil to meet indicated finish grade. After areas have been brought to indicated finish grade, incorporate fertilizer pH adjusters and soil conditioners into soil a minimum depth of 4 inches by disking, harrowing, tilling or other method approved by the Contracting Officer. Remove debris and stones larger than 3/4 inch in any dimension remaining on the surface after finish grading. Correct irregularities in finish surfaces to eliminate depressions. Protect finished topsoil areas from damage by vehicular or pedestrian traffic.

3.1.1.2 Soil Conditioner Application Rates

Apply soil conditioners at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Lime 25 pounds per 1000 square feet.

Sulfur 10 pounds per 1000 square feet.

Iron 12 pounds per 1000 square feet.

Aluminum Sulfate 25 pounds per 1000 square feet.

Peat 200 pounds per acre

Sand 20 pounds per 1000 square feet.

Perlite 1.5 cubic yards per 1000 square feet.

Compost Derivatives 270 cubic yards per acre

# 3.1.1.3 Fertilizer Application Rates

Apply fertilizer at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

- a. Organic Granular Fertilizer 250 pounds per acre
- b. Hydroseeding Fertilizer 300 pounds per acre

#### 3.2 SEEDING

3.2.1 Seed Application Seasons and Conditions

Immediately before seeding, restore soil to proper grade. Do not seed when ground is muddy, frozen, snow covered, or in an unsatisfactory condition for seeding. If special conditions exist that may warrant a variance in the above seeding dates or conditions, submit a written request to the Contracting Officer stating the special conditions and proposed variance. Apply seed within twenty four hours after seedbed preparation. Sow seed by approved sowing equipment. Sow one-half the seed in one direction, and sow remainder at right angles to the first sowing.

#### 3.2.2 Seed Application Method

Seeding method must behydroseeding.

# 3.2.2.1 Hydroseeding

First, mix water and fiber. Wood cellulose fiber, paper fiber, or recycled paper must be applied as part of the hydroseeding operation. Fiber must be added at 1,000 pounds, dry weight, per acre. Then add and mix seed and fertilizer to produce a homogeneous slurry. Seed must be mixed to ensure broadcasting at the rate of 6 pounds per 1000 square feet. When hydraulically sprayed on the ground, material must form a blotter like cover impregnated uniformly with grass seed. Spread with one application with no second application of mulch.

## 3.2.3 Mulching

# 3.2.3.1 Hay or Straw Mulch

Hay or straw mulch must be spread uniformly at the rate of 2 tons per acre. Mulch must be spread by hand, blower-type mulch spreader, or other approved method. Mulching must be started on the windward side of relatively flat areas or on the upper part of steep slopes, and continued uniformly until the area is covered. The mulch must not be bunched or clumped. Sunlight must not be completely excluded from penetrating to the ground surface. All areas installed with seed must be mulched on the same day as the seeding. Mulch must be anchored immediately following spreading.

# 3.2.3.2 Non-Asphaltic Tackifier

Hydrophilic colloid must be applied at the rate recommended by the manufacturer, using hydraulic equipment suitable for thoroughly mixing with water. A uniform mixture must be applied over the area.

3.2.4 Rolling

Immediately after seeding, firm entire area except for slopes in excess of 3 to 1 with a roller not exceeding 90 pounds for each foot of roller width. If seeding is performed with cultipacker-type seeder or by hydroseeding, rolling may be eliminated.

# 3.2.5 Erosion Control Material

Install in accordance with manufacturer's instructions, where indicated or as directed by the Contracting Officer.

#### 3.2.6 Watering

Start watering areas seeded as required by temperature and wind conditions. Apply water at a rate sufficient to insure thorough wetting of soil to a depth of 2 inches without run off. During the germination process, seed is to be kept actively growing and not allowed to dry out.

# 3.3 PROTECTION OF TURF AREAS

Immediately after turfing, protect area against traffic and other use.

# 3.4 RENOVATION OF EXISTING TURF AREA

# 3.4.1 Aeration

Upon completion of weed eradication operations and Contracting Officer's approval to proceed, aerate turf areas indicated, by approved device. Core, by pulling soil plugs, to a minimum depth of 4 inches. Leave all soil plugs, that are produced, in the turf area. After aeration operations are complete, topdress entire area 1/2 inch depth with the following mixture:

80 percent sand

20 percent topsoil

Blend all parts of topdressing mixture to a uniform consistency throughout. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean all soil plugs off of other paving when work is complete.

# 3.4.2 Vertical Mowing

Upon completion of aerating operation and Contracting Officer's approval to proceed, vertical mow turf areas indicated, by approved device, to a depth of 1/2 inch above existing soil level, to reduce thatch build-up, grain, and surface compaction. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work is complete. Remove all debris generated during this operation off site.

# 3.4.3 Dethatching

Upon completion of aerating operation and Contracting Officer's approval to proceed, dethatch turf areas indicated, by approved device, to a depth of 1/2 inch below existing soil level, to reduce thatch build-up, grain, and surface compaction. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work is complete. Remove all debris generated during this operation off site.

# 3.4.4 Overseeding

Apply seed in accordance with and at rates indicated in applicable portions of paragraph SEED APPLICATION METHOD.

## 3.5 RESTORATION

Restore to original condition existing turf areas which have been damaged during turf installation operations at the Contractor's expense. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work in adjacent areas is complete.

-- End of Section --

#### SECTION 32 92 23

# SODDING 04/06

#### PART 1 GENERAL

#### 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C602	(2019) Agricultural Liming Materials
ASTM D4427	(2018) Standard Classification of Peat Samples by Laboratory Testing
ASTM D4972	(2018) Standard Test Methods for pH of Soils

# TURFGRASS PRODUCERS INTERNATIONAL (TPI)

TPI GSS (1995) Guideline Specifications to Turfgrass Sodding

U.S. DEPARTMENT OF AGRICULTURE (USDA)

DOA SSIR 42	(1996) Soil Survey Investigation Report
	No. 42, Soil Survey Laboratory Methods
	Manual, Version 3.0

#### 1.2 DEFINITIONS

# 1.2.1 Stand of Turf

100 percent ground cover of the established species.

#### 1.3 RELATED REQUIREMENTS

Section 31 00 00 EARTHWORK, Section 32 92 19 SEEDING, Section 32 93 00 EXTERIOR PLANTS, and Section 32 05 33 LANDSCAPE ESTABLISHMENT applies to this section for pesticide use and plant establishment requirements, with additions and modifications herein.

# 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Fertilizer; G, RO

Include physical characteristics, and recommendations.

SD-06 Test Reports

Topsoil composition tests; G, RO (reports and recommendations).

SD-07 Certificates

Nursery or Sod farm certification for sods; G, RO. Indicate type of sod in accordance with TPI GSS.

- 1.5 DELIVERY, STORAGE, AND HANDLING
- 1.5.1 Delivery
- 1.5.1.1 Sod Protection

Protect from drying out and from contamination during delivery, on-site storage, and handling.

1.5.1.2 Fertilizer and Lime Delivery

Deliver to the site in original, unopened containers bearing manufacturer's chemical analysis, name, trade name, trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer and lime may be furnished in bulk with certificate indicating the above information.

- 1.5.2 Storage
- 1.5.2.1 Sod Storage

Lightly sprinkle with water, cover with moist burlap, straw, or other approved covering; and protect from exposure to wind and direct sunlight until planted. Provide covering that will allow air to circulate so that internal heat will not develop. Do not store sod longer than 24 hours. Do not store directly on concrete or bituminous surfaces.

1.5.2.2 Topsoil

Prior to stockpiling topsoil, treat growing vegetation with application of appropriate specified non-selective herbicide. Clear and grub existing vegetation three to four weeks prior to stockpiling topsoil.

1.5.2.3 Handling

Do not drop or dump materials from vehicles.

- 1.6 TIME RESTRICTIONS AND PLANTING CONDITIONS
- 1.6.1 Restrictions

Do not plant when the ground is frozen, snow covered, muddy, or when air temperature exceeds 90 degrees Fahrenheit.

# 1.7 TIME LIMITATIONS

1.7.1 Sod

Place sod a maximum of thirty six hours after initial harvesting, in accordance with TPI GSS as modified herein.

- PART 2 PRODUCTS
- 2.1 SODS
- 2.1.1 Classification

Nursery grown, certified as classified in the TPI GSS. Machine cut sod at a uniform thickness of 3/4 inch within a tolerance of 1/4 inch, excluding top growth and thatch. Each individual sod piece shall be strong enough to support its own weight when lifted by the ends. Broken pads, irregularly shaped pieces, and torn or uneven ends will be rejected. Wood pegs and wire staples for anchorage shall be as recommended by sod supplier.

2.1.2 Purity

Sod species shall be genetically pure, free of weeds, pests, and disease.

2.1.3 Planting Dates

Lay sod from April 15 to June 15 for warm season spring planting and from Sept 1 to Oct 15 for cool season fall planting.

# 2.1.4 Composition

2.1.4.1 Proportion

Proportion grass species as follows.

Botanical Name	Common Name	Percent
Festuca spp.	Turf-type Tall Fescue	100

## 2.1.4.2 Sod Farm Overseeding

At the sod farm provide sod with overseeding of annual rye grass seed.

- 2.2 TOPSOIL
- 2.2.1 On-Site Topsoil

Surface soil stripped and stockpiled on site and modified as necessary to meet the requirements specified for topsoil in paragraph entitled "Composition." When available topsoil shall be existing surface soil stripped and stockpiled on-site in accordance with Section 31 00 00 EARTHWORK.

# 2.2.2 Off-Site Topsoil

Conform to requirements specified in paragraph entitled "Composition." Additional topsoil shall be furnished by the Contractor.

# 2.2.3 Composition

Containing from 5 to 10 percent organic matter as determined by the topsoil composition tests of the Organic Carbon, 6A, Chemical Analysis Method described in DOA SSIR 42. Maximum particle size, 3/4 inch, with maximum 3 percent retained on 1/4 inch screen. The pH shall be tested in accordance with ASTM D4972. Topsoil shall be free of sticks, stones, roots, and other debris and objectionable materials. Other components shall conform to the following limits:

Silt	20-30 percent
Clay	10-20 percent
Sand	50-60 percent
рН	6.0 to 7.0
Soluble Salts	600 ppm maximum

#### 2.3 SOIL CONDITIONERS

Add conditioners to topsoil as required to bring into compliance with "composition" standard for topsoil as specified herein.

#### 2.3.1 Lime

Commercial grade hydrate limestone containing a calcium carbonate equivalent (C.C.E.) as specified in ASTM C602 of not less than 45 percent.

# 2.3.2 Aluminum Sulfate

Commercial grade.

# 2.3.3 Sulfur

100 percent elemental

2.3.4 Iron

100 percent elemental

2.3.5 Peat

Natural product of peat moss derived from a freshwater site and conforming to ASTM D4427. Shred and granulate peat to pass a 1/2 inch mesh screen and condition in storage pile for minimum 6 months after excavation.

2.3.6 Sand

Clean and free of materials harmful to plants.

2.3.7 Perlite

Horticultural grade.

2.3.8 Composted Derivatives

Ground bark, nitrolized sawdust, humus or other green wood waste material free of stones, sticks, and soil stabilized with nitrogen and having the following properties:

2.3.8.1 Particle Size

Minimum percent by weight passing:

No.	4	mesh	screen	95
No.	8	mesh	screen	80

2.3.8.2 Nitrogen Content

Minimum percent based on dry weight:

Fir	Sawdust	0.7
Fir	or Pine Bark	1.0

2.3.9 Gypsum

Coarsely ground gypsum comprised of calcium sulfate dihydrate 91 percent, calcium 22 percent, sulfur 17 percent; minimum 96 percent passing through 20 mesh screen, 100 percent passing thru 16 mesh screen.

# 2.3.10 Calcined Clay

Calcined clay shall be granular particles produced from montmorillonite clay calcined to a minimum temperature of 1200 degrees F. Gradation: A minimum 90 percent shall pass a No. 8 sieve; a minimum 99 percent shall be retained on a No. 60 sieve; and a maximum 2 percent shall pass a No. 100 sieve. Bulk density: A maximum 40 pounds per cubic foot.

# 2.4 FERTILIZER

2.4.1 Granular Fertilizer

Organic, granular controlled release fertilizer containing the following minimum percentages, by weight, of plant food nutrients:

20 percent available nitrogen 5 percent available phosphorus 10 percent available potassium

## 2.5 WATER

Source of water shall be approved by Contracting Officer and of suitable quality for irrigation containing no element toxic to plant life.

PART 3 EXECUTION

# 3.1 PREPARATION

3.1.1 Extent Of Work

Provide soil preparation (including soil conditioners), fertilizing, and sodding of all newly graded finished earth surfaces, unless indicated otherwise, and at all areas inside or outside the limits of construction that are disturbed by the Contractor's operations.

#### 3.1.2 Soil Preparation

Provide 4 inches of off-site topsoil to meet indicated finish grade. After areas have been brought to indicated finish grade, incorporate fertilizer, pH adjusters, and soil conditioners into soil a minimum depth of 4 inches by disking, harrowing, tilling or other method approved by the Contracting Officer. Remove debris and stones larger than 3/4 inch in any dimension remaining on the surface after finish grading. Correct irregularities in finish surfaces to eliminate depressions. Protect finished topsoil areas from damage by vehicular or pedestrian traffic.

3.1.2.1 Soil Conditioner Application Rates

Apply soil conditioners at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Lime 25 pounds per 1000 square feet. Sulfur 10 pounds per 1000 square feet. Iron 12 pounds per 1000 square feet. Aluminum Sulfate 50 pounds per 1000 square feet. Peat 200 pounds per acre. Sand 20 pounds per 1000 square feet. Perlite 1.5 cubic yards per 1000 square feet.

Compost Derivatives 270 cubic yard per acre.

# 3.1.2.2 Fertilizer Application Rates

Apply fertilizer at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Organic Granular Fertilizer 250 pounds per acre.

#### 3.2 SODDING

3.2.1 Finished Grade and Topsoil

Prior to the commencement of the sodding operation, the Contractor shall verify that topsoil, smooth grading, and compaction requirements have been completed in accordance with Section 31 00 00 EARTHWORK.

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be completed with a light raking to remove from the surface debris and stones over a minimum 5/8 inch in any dimension.

# 3.2.2 Placing

Place sod a maximum of 36 hours after initial harvesting, in accordance with TPI GSS as modified herein.

#### 3.2.3 Sodding Slopes and Ditches

For slopes 2:1 and greater, lay sod with long edge perpendicular to the contour. For V-ditches and flat bottomed ditches, lay sod with long edge perpendicular to flow of water. Anchor each piece of sod with wood pegs or wire staples maximum 2 feet on center. On slope areas, start sodding at bottom of the slope.

# 3.2.4 Finishing

After completing sodding, blend edges of sodded area smoothly into surrounding area. Air pockets shall be eliminated and a true and even surface shall be provided. Frayed edges shall be trimmed and holes and missing corners shall be patched with sod.

# 3.2.5 Rolling

Immediately after sodding, firm entire area except for slopes in excess of 3 to 1 with a roller not exceeding 90 pounds for each foot of roller width.

# 3.2.6 Watering

Start watering areas sodded as required by daily temperature and wind conditions. Apply water at a rate sufficient to ensure thorough wetting of soil to minimum depth of 6 inches. Run-off, puddling, and wilting shall be prevented. Unless otherwise directed, watering trucks shall not be driven over turf areas. Watering of other adjacent areas or plant material shall be prevented.

# 3.3 PROTECTION OF TURF AREAS

Immediately after turfing, protect area against traffic and other use.

-- End of Section --

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# SECTION 32 93 00

# EXTERIOR PLANTS 08/17

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICANHORT (AH)

ANSI/ANLA Z60.1 (2004) American Standard for Nursery Stock

ASTM INTERNATIONAL (ASTM)

ASTM A580/A580M	(2018) Standard Specification for Stainless Steel Wire
ASTM C602	(2019) Agricultural Liming Materials
ASTM D4427	(2018) Standard Classification of Peat Samples by Laboratory Testing
ASTM D4972	(2018) Standard Test Methods for pH of Soils
ASTM D5268	(2019) Topsoil Used for Landscaping Purposes

L.H. BAILEY HORTORIUM (LHBH)

LHBH (1976) Hortus Third

TREE CARE INDUSTRY ASSOCIATION (TCIA)

TCIA A300P1	(2017) ANSI A300 Part1: Tree Care Operations - Trees, Shrubs and Other Woody Plant Maintenance Standard Practices - Pruning	
TCIA Z133	(2017) American National Standard for Arboricultural Operations - Pruning, Repairing, Maintaining, and Removing	

Repairing, Maintaining, and Removing Trees, and Cutting Brush - Safety Requirements

U.S. DEPARTMENT OF AGRICULTURE (USDA) DOA SSIR 42 (1996) Soil Survey Investigation Report No. 42, Soil Survey Laboratory Methods Manual, Version 3.0

# 1.2 RELATED REQUIREMENTS

Section 32 92 19 SEEDING, and Section 32 05 33 LANDSCAPE ESTABLISHMENT applies to this section for pesticide use and plant establishment requirements, with additions and modifications herein.

# 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

State Landscape Contractor's License

Time Restrictions and Planting Conditions

Indicate anticipated dates and locations for each type of planting.

SD-03 Product Data

Peat

Composted Derivatives

Rotted Manure

Organic Mulch Materials

Gypsum

Mulch; G

Ground Stakes

Fertilizer

Root Control Barrier; G

Staking Material

Metal Anchors

Antidesiccants

Erosion Control Materials

Photographs; G

SD-04 Samples

Mulch; G

Submit one pint of mulch.

SD-06 Test Reports

Topsoil Composition Tests; Soil Test of current growing area; Soil Test of proposed area;

Percolation Test

SD-07 Certificates

Nursery Certifications

SD-10 Operation and Maintenance Data

Plastic Identification

When not labeled, identify types in Operation and Maintenance Manual.

# 1.4 QUALITY ASSURANCE

1.4.1 Topsoil Composition Tests

Commercial test from an independent testing laboratory including basic soil groups (moisture and saturation percentages, Nitrogen-Phosphorus-Potassium (N-P-K) ratio, pH (ASTM D4972), soil salinity), secondary nutrient groups (calcium, magnesium, sodium, Sodium Absorption Ratio (SAR)), micronutrients (zinc, manganese, iron, copper), toxic soil elements (boron, chloride, sulfate), cation exchange and base saturation percentages, and soil amendment and fertilizer recommendations with quantities for plant material being transplanted. Soil required for each test must include a maximum depth of 18 inches of approximately one quart volume for each test. Areas sampled should not be larger than one acre and should contain at least 6-8 cores for each sample area and be thoroughly mixed. Problem areas should be sampled separately and compared with samples taken from adjacent non-problem areas. The location of the sample areas should be noted and marked on a parcel or planting map for future reference.

- 1.4.2 Nursery Certifications
  - a. Indicate on nursery letterhead the name of plants in accordance with the LHBH, including botanical common names, quality, and size.
  - b. Inspection certificate.
  - c. Mycorrhizal fungi inoculum for plant material treated
- 1.4.3 State Landscape Contractor's License

Construction company must hold a landscape contractors license in the state where the work is performed and have a minimum of five years landscape construction experience. Submit copy of license and three references for similar work completed in the last five years.

1.4.4 Plant Material Photographs

Contractor must submit nursery photographs, for government approval prior

to ordering, for each tree larger than 24-inch box/ 2-inch caliper size.

#### 1.4.5 Percolation Test

Immediately following rough grading operation, identify a typical location for one of the largest trees and or shrubs and excavate a pit per the project details. Fill the pit with water to a depth of 12 inches. The length of time required for the water to percolate into the soil, leaving the pit empty, must be measured by the project Landscape Architect and verified by the Contracting Officer. Within six hours of the time the water has drained from the pit, the Contractor, with the Contracting Officer and project Landscape Architect present, must again fill the pit with water to a depth of 12 inches. If the water does not completely percolate into the soil within 9 hours, a determination must be made whether a drainage system or a soil penetrant will be required for each tree and or shrub being transplanted.

1.5 DELIVERY, STORAGE, AND HANDLING

- 1.5.1 Delivery
- 1.5.1.1 Branched Plant Delivery

Deliver with branches tied and exposed branches covered with material which allows air circulation. Prevent damage to branches, trunks, root systems, and root balls and desiccation of leaves.

1.5.1.2 Soil Amendment Delivery

Deliver to the site in original, unopened containers bearing manufacturer's chemical analysis, name, trade name, or trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer may be furnished in bulk with a certificate indicating the above information. Store in dry locations away from contaminates.

1.5.1.3 Plant Labels

Deliver plants with durable waterproof labels in weather-resistant ink. Provide labels stating the correct botanical and common plant name and variety as applicable and size as specified in the list of required plants. Attach to plants, bundles, and containers of plants. Groups of plants may be labeled by tagging one plant. Labels must be legible for a minimum of 60 days after delivery to the planting site.

- 1.5.2 Storage
- 1.5.2.1 Plant Storage and Protection

Store and protect plants not planted on the day of arrival at the site as follows:

- a. Shade and protect plants in outside storage areas from the wind and direct sunlight until planted.
- b. Heel-in bare root plants.
- c. Protect balled and burlapped plants from freezing or drying out by covering the balls or roots with moist burlap, sawdust, wood chips,

shredded bark, peat moss, or other approved material. Provide covering which allows air circulation.

- d. Keep plants in a moist condition until planted by watering with a fine mist spray.
- e. Do not store plant material directly on concrete or bituminous surfaces.
- 1.5.2.2 Fertilizer, and Mulch Storage

Store in dry locations away from contaminants.

1.5.2.3 Topsoil

Prior to stockpiling topsoil, eradicate on site undesirable growing vegetation. Clear and grub existing vegetation three to four weeks prior to stockpiling existing topsoil.

1.5.2.4 Root Control Barrier

Store materials on site in enclosures or under protective covering in dry location. Store under cover out of direct sunlight. Do not store materials directly on ground.

1.5.3 Handling

Do not drop or dump plants from vehicles. Avoid damaging plants being moved from nursery or storage area to planting site. Handle balled and burlapped container plants carefully to avoid damaging or breaking the earth ball or root structure. Do not handle plants by the trunk or stem. Remove damaged plants from the site.

# 1.5.4 TIME LIMITATION

Except for container-grown plant material, the time limitation from digging to installing plant material must be a maximum of 90 days. The time limitation between installing the plant material and placing the mulch must be a maximum of 24 hours.

1.6 TIME RESTRICTIONS AND PLANTING CONDITIONS

Coordinate installation of planting materials during optimal planting seasons for each type of plant material required.

- 1.6.1 Planting Dates
- 1.6.1.1 Deciduous Material

Deciduous material from April to June for spring planting and from September to November for fall planting.

#### 1.6.1.2 Evergreen Material

Evergreen material from April to June for spring planting and from September to November for fall planting.

#### 1.6.2 Restrictions

Do not plant when ground is frozen, snow covered, muddy, or when air temperature exceeds 90 degrees Fahrenheit

# 1.7 GUARANTEE

All plants must be guaranteed for one year beginning on the date of inspection by the Contracting Officer to commence the plant establishment period, against defects including death and unsatisfactory growth, except for defects resulting from lack of adequate maintenance, neglect, or abuse by the Government or by weather conditions unusual for the warranty period.

Guarantee plants for one year beginning on the date of inspection by the Contracting Officer to commence the plant establishment period.

Remove and replace dead planting materials immediately unless required to plant in the succeeding planting season. Replace planting materials that die or have 25 percent or more of their branches that die during the construction operations or the guarantee period.

#### 1.8 PLASTIC IDENTIFICATION

Provide product data indicating polymeric information in Operation and Maintenance Manual.

Type 1: Polyethylene Terephthalate (PET, PETE).

Type 2: High Density Polyethylene (HDPE).

Type 3: Vinyl (Polyvinyl Chloride or PVC).

Type 4: Low Density Polyethylene (LDPE).

Type 5: Polypropylene (PP).

Type 6: Polystyrene (PS).

Type 7: Other. Use of this code indicates that the package in question is made with a resin other than the six listed above, or is made of more than one resin listed above, and used in a multi-layer combination.

PART 2 PRODUCTS

# 2.1 PLANTS

## 2.1.1 Regulations and Varieties

Existing trees and shrubs to remain must be protected and a planting plan be arranged around them. Furnish nursery stock in accordance with ANSI/ANLA Z60.1, except as otherwise specified or indicated. Each plant or group of planting must have a "key" number indicated on the nursery certifications of the plant schedule. Furnish plants, including turf grass, grown under climatic conditions similar to those in the locality of the project. Plants specified must be indigenous, or adaptive low maintenance varieties, tolerant of site's existing soils and climate without supplemental irrigation or fertilization once established. Spray plants budding into leaf or having soft growth with an antidesiccant before digging. Plants of the same specified size must be of uniform size and character of growth. Plants must be chosen with their mature size and growth habit in mind to avoid over-planting and conflict with other plants, structures or underground utility lines. All plants must comply with all Federal and State Laws requiring inspection for plant diseases and infestation.

#### 2.1.2 Shape and Condition

Well-branched, well-formed, sound, vigorous, healthy planting stock free from disease, sunscald, windburn, abrasion, and harmful insects or insect eggs and having a healthy, normal, and undamaged root system.

# 2.1.2.1 Deciduous Trees and Shrubs

Symmetrically developed and of uniform habit of growth, with straight boles or stems, and free from objectionable disfigurements.

#### 2.1.2.2 Evergreen Trees and Shrubs

Well developed symmetrical tops with typical spread of branches for each particular species or variety.

#### 2.1.2.3 Ground Covers and Vines

Number and length of runners and clump sizes indicated, and of the proper age for the grade of plants indicated, furnished in removable containers, integral containers, or formed homogeneous soil section.

#### 2.1.3 Plant Size

Minimum sizes measured after pruning and with branches in normal position, must conform to measurements indicated, based on the average width or height of the plant for the species as specified in ANSI/ANLA Z60.1. Plants larger in size than specified may be provided with approval of the Contracting Officer . When larger plants are provided, increase the ball of earth or spread of roots in accordance with ANSI/ANLA Z60.1.

# 2.1.4 Root Ball Size

All field potted, balled and burlapped, container grown, processed-balled, and in-ground fabric bag-grown root balls must conform to ANSI/ANLA Z60.1. All wrappings and ties must be biodegradable. Root growth in container grown plants must be sufficient to hold earth intact when removed from containers. Root bound plants will not be accepted.

2.1.4.1 Mycorrhizal fungi inoculum

Before shipment, root systems must contain mycorrhizal fungi inoculum.

2.1.5 Growth of Trunk and Crown

# 2.1.5.1 Deciduous Trees

A height to caliper relationship must be provided in accordance with ANSI/ANLA Z60.1. Height of branching must bear a relationship to the size and species of tree specified and with the crown in good balance with the trunk. The trees must not be "poled" or the leader removed.

- a. Single stem: The trunk must be reasonably straight and symmetrical with crown and have a persistent main leader.
- b. Multi-stem: All countable stems, in aggregate, must average the size specified. To be considered a stem, there must be no division of the trunk which branches more than 6 inches from ground level.

2.1.5.2 Deciduous Shrubs

Deciduous shrubs must have the height and number of primary stems recommended by ANSI/ANLA Z60.1. Acceptable plant material must be well shaped, with sufficient well-spaced side branches, and recognized by the trade as typical for the species grown in the region of the project.

#### 2.1.5.3 Coniferous Evergreen Plant Material

Coniferous Evergreen plant material must have the height-to-spread ratio recommended by ANSI/ANLA Z60.1. The coniferous evergreen trees must not be "poled" or the leader removed. Acceptable plant material must be exceptionally heavy, well shaped and trimmed to form a symmetrical and tightly knit plant. The form of growth desired must be as indicated.

## 2.1.5.4 Broadleaf Evergreen Plant Material

Broadleaf evergreen plant material must have the height-to-spread ratio recommended by ANSI/ANLA Z60.1. Acceptable plant material must be well shaped and recognized by the trade as typical for the variety grown in the region of the project.

2.1.5.5 Ground Cover and Vine Plant Material

Ground cover and vine plant material must have the minimum number of runners and length of runner recommended by ANSI/ANLA Z60.1. Plant material must have heavy, well developed and balanced crown with vigorous, well developed root system and must be furnished in containers.

- 2.2 TOPSOIL
- 2.2.1 Existing Soil

Modify to conform to requirements specified in paragraph COMPOSITION.

2.2.2 On-Site Topsoil

Surface soil stripped and stockpiled on site and modified as necessary to meet the requirements specified for topsoil in paragraph COMPOSITION. When available topsoil must be existing surface soil stripped and stockpiled on-site in accordance with Section 31 00 00 EARTHWORK.

# 2.2.3 Off-Site Topsoil

Conform to requirements specified in paragraph COMPOSITION. Additional topsoil must be furnished by the Contractor.

#### 2.2.4 Composition

Evaluate soil for use as topsoil in accordance with ASTM D5268. From 5 to 10 percent organic matter as determined by the topsoil composition tests of the Organic Carbon, 6A, Chemical Analysis Method described in DOA SSIR 42. Maximum particle size, 3/4 inch, with maximum 3 percent retained on 1/4 inch screen. The pH must be tested in accordance with ASTM D4972. Topsoil must be free of sticks, stones, roots, plants, and other debris and objectionable materials. Other components must conform to the following limits:

Silt	20-30 percent
Clay	10-20 percent
Sand	50-60 percent
Н	6.0 to 7.0
Soluble Salts	600 ppm maximum

#### 2.3 SOIL CONDITIONERS

Provide singly or in combination as required to meet specified requirements for topsoil. Soil conditioners must be nontoxic to plants.

2.3.1 Lime

Commercial grade hydrated or burnt limestone containing a calcium carbonate equivalent (C.C.E.) as specified in ASTM C602 of not less than 80 percent.

2.3.2 Aluminum Sulfate

Commercial grade.

2.3.3 Sulfur

100 percent elemental

2.3.4 Iron

100 percent elemental

2.3.5 Peat

Natural product of peat moss derived from a freshwater site and conforming to ASTM D4427 as modified herein. Shred and granulate peat to pass a 1/2 inch mesh screen and condition in storage pile for minimum 6 months after excavation. Peat must not contain invasive species, including seeds.

2.3.6 Sand

Clean and free of materials harmful to plants.

2.3.7 Perlite

Horticultural grade.

2.3.8 Composted Derivatives

Ground bark, nitrolized sawdust, humus or other green wood waste material free of stones, sticks, invasive species, including seeds, and soil stabilized with nitrogen and having the following properties:

2.3.8.1 Particle Size

Minimum percent by weight passing:

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No.	4	mesh	screen	95
No.	8	mesh	screen	80

## 2.3.8.2 Nitrogen Content

Minimum percent based on dry weight:

Fir Sawdust 0.7 Fir or Pine Bark 1.0

#### 2.3.9 Gypsum

Coarsely ground gypsum comprised of calcium sulfate dihydrate 80 percent, calcium 18 percent, sulfur 14 percent; minimum 96 percent passing through 20 mesh screen, 100 percent passing thru 16 mesh screen.

#### 2.3.10 Vermiculite

Horticultural grade for planters.

# 2.3.11 Rotted Manure

Well rotted horse or cattle manure containing maximum 25 percent by volume of straw, sawdust, or other bedding materials; free of seeds, stones, sticks, soil, and other invasive species.

## 2.4 PLANTING SOIL MIXTURES

100 percent topsoil as specified herein.

Sandy topsoil: one part topsoil to one part peat; clay topsoil: two parts topsoil to one part peat. Thoroughly mix all parts of planting soil mixture to a uniform blend throughout.

## 2.5 FERTILIZER

Fertilizer for groundcover, wildflowers and grasses is not permitted. Fertilizer for trees, plants, and shrubs must be as recommended by plant supplier, except synthetic chemical fertilizers are not permitted. Fertilizers containing petrochemical additives or that have been treated with pesticides or herbicides are not permitted.

# 2.5.1 Granular Fertilizer

Organic, granular controlled release fertilizer containing the following minimum percentages, by weight, of plant food nutrients:

20 percent available nitrogen 5 percent available phosphorus 10 percent available potassium

# 2.5.2 Fertilizer Tablets

Organic, plant tablets composed of tightly compressed fertilizer chips forming a tablet that is insoluble in water, is designed to provide a continuous release of nutrients for at least 24 months and contains the following minimum percentages, by weight, of plant food nutrients:

- 20 percent available nitrogen 10 percent available phosphorus
- 5 percent available potassium

# 2.6 MULCH

Free from noxious weeds, mold, pesticides, or other deleterious materials.

2.6.1 Organic Mulch Materials

Provide wood chips or shredded hardwood, . Wood cellulose fiber must be processed to contain no growth or germination-inhibiting factors, dyed with non-toxic, biodegradable dye to an appropriate color to facilitate visual metering of materials application. Paper-based hydraulic mulch must contain 100 percent post-consumer recycled content. Wood-based hydraulic mulch must contain 100 percent total recovered materials content.

2.6.2 Recycled Organic Mulch

Recycled mulch may include compost, tree trimmings, or pine needles with a gradation that passes through a 2-1/2 by 2-1/2 inch screen. It must be cleaned of all sticks a minimum one inch in diameter and plastic materials a minimum 3 inches length. The material must be treated to retard the growth of mold and fungi.

- 2.7 STAKING AND GUYING MATERIAL
- 2.7.1 Staking Material
- 2.7.1.1 Tree Support Stakes

Rough sawn hard wood free of knots, rot, cross grain, bark, long slivers, or other defects that impair strength. Stakes must be minimum 2 inches square or 2-1/2 inch diameter by 8 feet long, pointed at one end..

2.7.1.2 Ground Stakes

Rough sawn hard wood or plastic, 2 inches square are by 3 feet long, pointed at one end.

- 2.7.2 Guying Material
- 2.7.2.1 Guying Wire

12 gauge annealed galvanized steel, ASTM A580/A580M.

2.7.2.2 Guying Cable

Minimum five-strand, 3/16 inch diameter galvanized steel cable .

2.7.3 Hose Chafing Guards

New or used 2 ply 3/4 inch diameter reinforced rubber or plastic hose, black or dark green, all of same color.

2.7.4 Flags

White surveyor's plastic tape, , 6 inches long, fastened to guying wires or cables.

# 2.7.5 Turnbuckles

Galvanized or cadmium-plated steel with minimum 3 inch long openings fitted with screw eyes. Eye bolts must be galvanized or cadmium-plated steel with one inch diameter eyes and screw length 1-1/2 inches, minimum.

## 2.7.6 Deadmen

4 by 8 inch rectangular or 8 inch diameter by 36 inch long, pine wood material.

# 2.7.7 Metal Anchors

# 2.7.7.1 Driven Anchors

Malleable iron, arrow shaped, galvanized, sized as follows:

Tree Caliper	Anchor Size
2 inches and under	3 inches
3 to 6 inches	4 inches
6 to 8 inches	6 inches
8 to 10 inches	8 inches
10 to 12 inches	10 inches

#### 2.7.7.2 Screw Anchors

Steel, screw type with welded-on 3 inch round helical steel plate, minimum 3/8 inch diameter, 15 inches long.

## 2.8 ANTIDESICCANTS

Sprayable, water insoluble vinyl-vinledine complex which produce a moisture retarding barrier not removable by rain or snow. Film must form at temperatures commonly encountered out of doors during planting season and have a moisture vapor transmission rate (MVT) of the resultant film of maximum 10 grams per 24 hours at 70 percent humidity.

#### 2.9 EROSION CONTROL MATERIALS

Erosion control material must conform to the following:

#### 2.9.1 Erosion Control Blanket

100 percent agricultural straw or 70 percent agricultural straw/30 percent coconut fiber matrix stitched with a degradable nettings, designed to degrade within 12 months.

#### 2.9.2 Erosion Control Net

Net must be heavy, twisted jute mesh, weighing approximately 1.22 pounds

per linear yard and 4 feet wide with mesh openings of approximately one inch square.

#### 2.9.3 Hydrophilic Colloids

Hydrophilic colloids must be physiologically harmless to plant and animal life without phytotoxic agents. Colloids must be naturally occurring, silicate powder based, and must form a water insoluble membrane after curing. Colloids must resist mold growth.

#### 2.9.4 Erosion Control Material Anchors

Erosion control anchors must be as recommended by the manufacturer.

## 2.10 ROOT CONTROL BARRIER

Pre-formed, linear barrier with integral vertical root deflecting ribs constructed of ultraviolet resistant polypropylene material. Color to be black.

# 2.11 WATER

Source of water to be approved by Contracting Officer and suitable quality for irrigation and must not contain elements toxic to plant life, including acids, alkalis, salts, chemical pollutants, and organic matter. Use collected storm water or graywater when available.

#### 2.12 MYCORRHIZAL FUNGI INOCULUM

Mycorrhizal fungi inoculum must be composed of multiple-fungus inoculum as recommended by the manufacturer for the plant material specified.

#### 2.13 SOURCE QUALITY CONTROL

The Contracting Officer will inspect plant materials at the project site and approve them. Tag plant materials for size and quality.

#### PART 3 EXECUTION

#### 3.1 EXTENT OF WORK

Provide soil preparation, including soil conditioners and soil amendments prior to planting. Provide tree, shrub, groundcover, and seed, planting, post-planting fertilizer, staking, guying, erosion control material, root control barrier installation, mulch topdressing of all newly graded finished earth surfaces, unless indicated otherwise, and at all areas inside or outside the limits of construction that are disturbed by the Contractor's operations.

#### 3.2 ALTERNATIVE HERBICIDE TREATMENT (SOLARIZING SOIL)

Within 48 hours of subsoil preparation, saturate soil with water to a depth of 3 feet. Immediately stake polyethylene sheeting over area to be planted. Stake tightly to surface of soil. Maintain sheeting in place for a minimum of 6 weeks. Immediately after removing sheeting, cover area to be planted with topsoil. Do not till soil prior to applying topsoil.

#### 3.3 PREPARATION

# 3.3.1 Protection

Protect existing and proposed landscape features, elements, and sites from damage or contamination. Protect trees, vegetation, and other designated features by erecting high-visibility, reusable construction fencing. Locate fence no closer to trees than the drip line. Plan equipment and vehicle access to minimize and confine soil disturbance and compaction to areas indicated on Drawings.

3.3.2 Layout

Stake out approved plant material locations and planter bed outlines on the project site before digging plant pits or beds. The Contracting Officer reserves the right to adjust plant material locations to meet field conditions. Provide on-site locations for excavated rock, soil, and vegetation.

3.3.3 Erosion Control

Provide erosion control and seeding with native plant species to protect slopes.

- 3.3.4 Soil Preparation
- 3.3.4.1 pH Adjuster Application Rates

Apply pH adjuster at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Lime 25 pounds per 1000 square feet

Sulfur 10 pounds per 1000 square feet

Iron 12 pounds per 1000 square feet

Aluminum Sulfate 50 pounds per 1000 square feet

3.3.4.2 Soil Conditioner Application Rates

Apply soil conditioners at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Peat 200 cubic yard per acre Sand 29 cubic yard per acre Compost Derivatives 270 cubic yard per acre

3.3.4.3 Fertilizer Application Rates

Apply fertilizer at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Organic granular fertilizer 250 pounds per acre.

	Fertilizer Tablets	for Trees and Shru	lbs
	Container/Caliper Size	Tablet Size	No. of Tablets
Shrub:	2 gallons	21 grams	2
Tree:	3 inches	21 grams	6

#### 3.3.5 Root Control Barrier

Install linear polypropylene barrier a 1/2 inch above finish grade to prevent root growth over the barrier. Backfill the outside of the barrier per manufacturer's requirements. For linear barrier application use appropriate device to connect two pieces.

#### 3.4 PLANT BED PREPARATION

Verify location of underground utilities prior to excavation. Protect existing adjacent turf before excavations are made. Do not disturb topsoil and vegetation in areas outside those indicated on Drawings. Where planting beds occur in existing turf areas, remove turf to a depth that will ensure removal of entire root system. Measure depth of plant pits from finished grade. Depth of plant pit excavation must be as indicated and provide proper relation between top of root ball and finished grade. Install plant material as specified in paragraph PLANT INSTALLATION. Do not install trees within 10 feet of any utility lines or building walls.

#### 3.5 PLANT INSTALLATION

3.5.1 Individual Plant Pit Excavation

Excavate pits at least twice as large in diameter as the size of ball or container to depth shown.

3.5.2 Plant Beds with Multiple Plants

Excavate plant beds continuously throughout entire bed as outlined to depth shown.

## 3.5.3 Handling and Setting

Move plant materials only by supporting the root ball or container. Set plants on hand compacted layer of prepared backfill soil mixture 6 inches thick and hold plumb in the center of the pit until soil has been tamped firmly around root ball. Set plant materials, in relation to surrounding finish grade, one to 2 inches above depth at which they were grown in the nursery, collecting field or container. Replace plant material whose root balls are cracked or damaged either before or during the planting process.

Plant material must be set in plant beds according to the drawings. Backfill soil mixture must be placed on previously scarified subsoil to completely surround the root balls, and must be brought to a smooth and even surface, blending to existing areas.

3.5.3.1 Balled and Burlapped Stock

Backfill with prepared soil mixture to approximately half the depth of ball and then tamp and water. Carefully remove or fold back excess burlap and tying materials from the top a minimum 1/3 depth from the top of the rootball. Tamp and complete backfill, place mulch topdressing, and water. Remove wires and non-biodegradable materials from plant pit prior to backfill operations.

3.5.3.2 Container Grown Stock

Remove from container and prevent damage to plant or root system.

3.5.3.3 Ground Covers and Vines

Plant after placing mulch topdressing. Do not remove plant materials from flats or containers until immediately before planting. Space at intervals indicated. Plant at a depth to sufficiently cover all roots. Start watering areas planted as required by temperature and wind conditions. Apply water at a rate sufficient to ensure thorough wetting of soil to a depth of 6 inches without run off or puddling. Smooth planting areas after planting to provide even, smooth finish. Mulch as indicated.

3.5.4 Earth Mounded Watering Basin for Individual Plant Pits

Form with topsoil around each plant by placing a mound of topsoil around the edge of each plant pit. Watering basins must be 6 inches deep for trees and 4 inches deep for shrubs. Construct watering basin in a 4-1/2 foot diameter circle around specimen (not planted in a close group) trees and shrubs.

3.5.5 Erosion Control Material

Install in accordance with manufacturer's instructions.

3.5.6 Placement of Mulch Topdressing

Place specified mulch topdressing on top of weed control fabric covering total area enclosed by edging. Place mulch topdressing to a depth of 4 inches.

## 3.5.7 Mulch Topdressing

Provide mulch topdressing over entire planter bed surfaces and individual plant surfaces including earth mound watering basin around plants to a depth of 4 inches after completion of plant installation and before watering. Keep mulch out of the crowns of shrubs. Place mulch a minimum 2 to 3 inches away from trunk of shrub or tree. Place on top of any weed control fabric.

- 3.5.8 Fertilization
- 3.5.8.1 Fertilizer Tablets

Place fertilizer planting tablets evenly spaced around the plant pits to the manufacturer's recommended depth.

# 3.5.8.2 Granular Fertilizer

Apply granular fertilizer as a top coat prior to placing mulch layer and water thoroughly.

## 3.5.9 Watering

Start watering areas planted as required by temperature and wind conditions. Slow deep watering must be used. Apply water at a rate sufficient to ensure thorough wetting of soil to a depth of 12 inches without run off or puddling. Watering of other plant material or adjacent areas must be prevented.

- 3.5.10 Staking and Guying
- 3.5.10.1 Staking

Stake plants with the number of stakes as detailed. Attach guy wire half the tree height but not more than 5 feet high. Drive stakes to a depth of 2-1/2 to 3 feet into the ground outside the plant pit. Do not injure the root ball. Use hose chafer guards where guy wire comes in contact with tree trunk.

#### 3.5.10.2 Guying

Guy plants as indicated. Attach guying cable around the tree trunk at an angle of 45 degrees at approximately 1/2 of the trunk height. Protect tree trunks with chafing guards where guying contacts the tree trunk. Anchor guys to wood ground stakes. Fasten flags to each guying approximately 2/3 of the distance up from ground level. Provide turnbuckles as indicated.

# 3.5.10.3 Chafing Guards

Use hose chafing guards, as specified where guy will contact the plant.

3.5.10.4 Deadmen

Place deadmen minimum 18 inches below ground surface. Place equal distance from tree trunk and around the plant pit.

#### 3.5.10.5 Wood Ground Stakes

Drive wood ground stakes into firm ground outside of plant pit with top of stake flush with ground. Place equal distance from tree trunk and around the plant pit.

3.5.10.6 Flags

Securely fasten flags on each guy approximately two-thirds of the distance up from ground level.

# 3.5.11 Pruning

Prune in accordance with safety requirement of TCIA Z133.

3.5.11.1 Trees and Shrubs

Remove dead and broken branches. Prune to correct structural defects

only. Retain typical growth shape of individual plants with as much height and spread as practical. Do not cut central leader on trees. Make cuts with sharp instruments. Do not flush cut with trunk or adjacent branches. Collars must remain in place. Pruning must be accomplished by trained and experienced personnel and must be accordance with TCIA A300P1.

# 3.5.11.2 Wound Dressing

Do not apply tree wound dressing to cuts.

# 3.6 RESTORATION AND CLEAN UP

## 3.6.1 Restoration

Turf areas, pavements and facilities that have been damaged from the planting operation must be restored to original condition at the Contractor's expense.

# 3.6.2 Clean Up

Excess and waste material must be removed from the installed area and must be disposed offsite at an approved landfill, recycling center, or composting center. Separate and recycle or reuse the following landscape waste materials: nylon straps, wire, ball wrap, burlap, and wood stakes. Adjacent paved areas must be cleared.

-- End of Section --

## SECTION 33 11 00

# WATER UTILITY DISTRIBUTION PIPING 02/18

PART 1 GENERAL

See attached Appendix A - American Water Military Services Specifications at the end of the specifications.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

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# SECTION 33 30 00

# SANITARY SEWERAGE 05/18

PART 1 GENERAL

See attached Appendix A - American Water Military Services Specifications at the end of the specifications.PART 2 PRODUCTS

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

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# SECTION 33 40 00

# STORM DRAINAGE UTILITIES 02/10

# PART 1 GENERAL

# 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 294	(2017) Standard Specification for
	Corrugated Polyethylene Pipe, 300- to
	1500-mm (12- to 60-in.) Diameter

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M	(2003; R 2016) Standard Specification for Gray Iron Castings
ASTM C32	(2013; R 2017) Standard Specification for Sewer and Manhole Brick (Made from Clay or Shale)
ASTM C55	(2017) Standard Specification for Concrete Building Brick
ASTM C62	(2017) Standard Specification for Building Brick (Solid Masonry Units Made from Clay or Shale)
ASTM C139	(2017) Standard Specification for Concrete Masonry Units for Construction of Catch Basins and Manholes
ASTM C231/C231M	(2017a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C270	(2019) Standard Specification for Mortar for Unit Masonry
ASTM C425	(2004; R 2013) Standard Specification for Compression Joints for Vitrified Clay Pipe and Fittings
ASTM C443	(2020) Standard Specification for Joints for Concrete Pipe and Manholes, Using Rubber Gaskets
ASTM C478	(2018) Standard Specification for Circular Precast Reinforced Concrete Manhole

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	Sections
ASTM C877	(2008) External Sealing Bands for Concrete Pipe, Manholes, and Precast Box Sections
ASTM C923	(2008; R 2013; E 2016) Standard Specification for Resilient Connectors Between Reinforced Concrete Manhole Structures, Pipes and Laterals
ASTM C990	(2009; R 2014) Standard Specification for Joints for Concrete Pipe, Manholes and Precast Box Sections Using Preformed Flexible Joint Sealants
ASTM C1433	(2016b) Standard Specification for Precast Reinforced Concrete Monolithic Box Sections for Culverts, Storm Drains, and Sewers
ASTM D1056	(2014) Standard Specification for Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D1171	(2016; E 2016) Standard Test Method for Rubber Deterioration - Surface Ozone Cracking Outdoors (Triangular Specimens)
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3) (2700 kN-m/m3)
ASTM D1751	(2004; E 2013; R 2013) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D1752	(2018) Standard Specification for Preformed Sponge Rubber, Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D1784	(2020) Standard Specification for Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D2167	(2015) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D2321	(2020) Standard Practice for Underground Installation of Thermoplastic Pipe for Sewers and Other Gravity-Flow Applications
ASTM D3212	(2007; R 2020) Standard Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals

ASTM D3350	(2012) Polyethylene Plastics Pipe and Fittings Materials
ASTM D6938	(2017a) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
ASTM F477	(2014) Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe
ASTM F679	(2016) Standard Specification for Poly(Vinyl Chloride) (PVC) Large-Diameter Plastic Gravity Sewer Pipe and Fittings

# 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submittals with an "RO" are for submittal to the Resident Office. Submittals with an "AE" are for submittal to the Designer of Record. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Precast Reinforced Concrete Manholes; G, RO

Precast Reinforced Concrete Storm Inlets and Structures; G, RO

Frames, Covers, and Grates; G, RO

SD-03 Product Data

Precast Reinforced Concrete Manholes

Precast Reinforced Concrete Storm Inlets and Structures

Frames, Covers, and Grates

SD-06 Test Reports

Deflection Testing

SD-07 Certificates

Resin Certification

Oil Resistant Gasket

Leakage Test

Hydrostatic Test on Watertight Joints

Determination of Density

Frames, Covers, and Grates

Post-Installation Inspection Report

SD-11 Closeout Submittals

As-Built Drawings; G, RO

- 1.3 DELIVERY, STORAGE, AND HANDLING
- 1.3.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with a minimum of handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. Keep a copy of the manufacturer's instructions available at the construction site at all times and follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install plastic pipe shall be stored in accordance with the manufacturer's recommendations and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

1.3.2 Handling

Materials shall be handled in a manner that ensures delivery to the trench in sound, undamaged condition. Pipe shall be carried to the trench, not dragged.

1.4 AS-BUILT DRAWINGS

Provide as-built drawings as indicated.

PART 2 PRODUCTS

2.1 PIPE FOR CULVERTS AND STORM DRAINS

Pipe for culverts and storm drains shall be of the sizes indicated and shall conform to the requirements specified.

2.1.1 Poly Vinyl Chloride (PVC) Pipe

Submit the pipe manufacturer's resin certification, indicating the cell classification of PVC used to manufacture the pipe, prior to installation of the pipe.

2.1.1.1 Smooth Wall PVC Pipe

ASTM F679 produced from PVC certified by the Manufacturer as meeting the requirements of ASTM D1784, minimum cell class 12454-B.

2.1.2 High Density Polyethylene (HDPE) Pipe

Submit the pipe manufacturer's resin certification, indicating the cell classification of PE used to manufacture the pipe, prior to installation of the pipe. The minimum cell classification for polyethylene plastic shall apply to each of the seven primary properties of the cell classification limits in accordance with ASTM D3350.

# 2.1.2.1 Corrugated HD PE Pipe

AASHTO M 294, Type S. For slow crack growth resistance, acceptance of resins shall be determined by using the notched constant ligament-stress (NCLS) test meeting the requirements of AASHTO M 294. Pipe walls shall have the following properties:

Nominal Size (inch))	Minimum Wall Area (square in/ft)	Minimum Moment of Inertia of Wall Section (in. to the 4th/in.)
12	1.5	0.024
15	1.91	0.053
18	2.34	0.062
24	3.14	0.116
30	3.92	0.163
36	4.50	0.222
42	4.69	0.543
48	5.15	0.543
54	5.67	0.800
60	6.45	0.800

#### 2.2 DRAINAGE STRUCTURES

#### 2.2.1 Precast Reinforced Concrete Storm Inlets and Structures

Manufactured in accordance with and conforming to ASTM C1433. All reinforced precast stormwater structures shall be designed by the manufacturer for HS-25 loading.

2.2.2 Flared End Sections

Sections shall be high density polyethylene meeting ASTM D3350 minimum cell classification 213320C.

#### 2.3 MISCELLANEOUS MATERIALS

### 2.3.1 Concrete

Unless otherwise specified, concrete and reinforced concrete shall conform

to the requirements for 4,000 psi concrete under Section 03 30 00 CAST-IN-PLACE CONCRETE. The concrete mixture shall have air content by volume of concrete, based on measurements made immediately after discharge from the mixer, of 5 to 7 percent when maximum size of coarse aggregate exceeds 1-1/2 inches. Air content shall be determined in accordance with ASTM C231/C231M. The concrete covering over steel reinforcing shall not be less than 1 inch thick for covers and not less than 1-1/2 inches thick for walls and flooring. Concrete covering deposited directly against the ground shall have a thickness of at least 3 inches between steel and ground. Expansion-joint filler material shall conform to ASTM D1751, or ASTM D1752, or shall be resin-impregnated fiberboard conforming to the physical requirements of ASTM D1752.

# 2.3.2 Mortar

Mortar for pipe joints, connections to other drainage structures, and brick or block construction shall conform to ASTM C270, Type M, except that the maximum placement time shall be 1 hour. The quantity of water in the mixture shall be sufficient to produce a stiff workable mortar but in no case shall exceed the amount of water per sack of cement as required by the manufacturer. Water shall be clean and free of harmful acids, alkalis, and organic impurities. The mortar shall be used within 30 minutes after the ingredients are mixed with water. The inside of the joint shall be wiped clean and finished smooth. The mortar head on the outside shall be protected from air and sun with a proper covering until satisfactorily cured.

#### 2.3.3 Precast Concrete Segmental Blocks

Precast concrete segmental block shall conform to ASTM C139, not more than 8 inches thick, not less than 8 inches long, and of such shape that joints can be sealed effectively and bonded with cement mortar.

# 2.3.4 Brick

Brick shall conform to ASTM C62, Grade SW; ASTM C55, Grade S-I or S-II; or ASTM C32, Grade MS. Mortar for jointing and plastering shall consist of one part portland cement and two parts fine sand. Lime may be added to the mortar in a quantity not more than 25 percent of the volume of cement. The joints shall be filled completely and shall be smooth and free from surplus mortar on the inside of the structure. Brick structures shall be plastered with 1/2 inch of mortar over the entire outside surface of the walls. For square or rectangular structures, brick shall be laid in stretcher courses with a header course every sixth course. For round structures, brick shall be laid radially with every sixth course a stretcher course.

#### 2.3.5 Precast Reinforced Concrete Manholes

Conform to ASTM C478. Joints between precast concrete risers and tops shall be made with flexible watertight, rubber-type gaskets meeting the requirements of paragraph JOINTS. All reinforced precast stormwater manholes shall be designed by the manufacturer for HS-25 loading.

#### 2.3.6 Frames, Covers, and Grates

Submit certification on the ability of frame and cover or gratings to carry the imposed live load. Frame and cover for gratings shall be cast gray iron, ASTM A48/A48M, Class 35B. Weight, shape, size, and waterway

openings for grates and curb inlets shall be as indicated on the plans. The word "Storm Sewer" shall be stamped or cast into covers so that it is plainly visible.

Frames, covers, and grates must be designed for HS-25 loading. All stormwater inlets shall be equipped with a bicycle safe eco grate and cast with "NO-DUMPING - DRAINS TO RIVER OR WATERWAY".

- 2.3.7 Joints
- 2.3.7.1 Flexible Watertight Joints
  - a. Flexible watertight joints shall be made with plastic or rubber-type gaskets for concrete pipe and with factory-fabricated resilient materials for clay pipe. The design of joints and the physical requirements for preformed flexible joint sealants shall conform to ASTM C990, and rubber-type gaskets shall conform to ASTM C443. Factory-fabricated resilient joint materials shall conform to ASTM C425. Gaskets shall have not more than one factory-fabricated splice, except that two factory-fabricated splices of the rubber-type gasket are permitted if the nominal diameter of the pipe being gasketed exceeds 54 inches.
  - b. Rubber gaskets shall comply with the oil resistant gasket requirements of ASTM C443. Certified copies of test results shall be delivered to the Contracting Officer before gaskets or jointing materials are installed. Alternate types of watertight joint may be furnished, if specifically approved.
- 2.3.7.2 External Sealing Bands

Requirements for external sealing bands shall conform to ASTM C877.

- 2.3.7.3 Flexible Watertight, Gasketed Joints
  - a. Gaskets: When infiltration or exfiltration is a concern for pipe lines, the couplings may be required to have gaskets. The closed-cell expanded rubber gaskets shall be a continuous band approximately 7 inches wide and approximately 3/8 inch thick, meeting the requirements of ASTM D1056, Type 2 A1, and shall have a quality retention rating of not less than 70 percent when tested for weather resistance by ozone chamber exposure, Method B of ASTM D1171. Rubber O-ring gaskets shall be 13/16 inch in diameter for pipe diameters of 36 inches or smaller and 7/8 inch in diameter for larger pipe having 1/2 inch deep end corrugation. Rubber O-ring gaskets shall be 1-3/8 inches in diameter for pipe having 1 inch deep end corrugations. O-rings shall meet the requirements of ASTM C990 or ASTM C443. Preformed flexible joint sealants shall conform to ASTM C990, Type B.
  - b. Connecting Bands: Connecting bands shall be of the type, size and sheet thickness of band, and the size of angles, bolts, rods and lugs as indicated or where not indicated as specified in the applicable standards or specifications for the pipe. Exterior rivet heads in the longitudinal seam under the connecting band shall be countersunk or the rivets shall be omitted and the seam welded. Watertight joints shall be tested and shall meet the test requirements of paragraph HYDROSTATIC TEST ON WATERTIGHT JOINTS.

#### 2.3.7.4 PVC Plastic Pipes

Joints shall be solvent cement or elastomeric gasket type in accordance with the specification for the pipe and as recommended by the pipe manufacturer.

# 2.3.7.5 Corrugated PE Plastic Pipe

Pipe joints shall be water tight and shall conform to the requirements in AASHTO M 294. Water tight joints shall be made using a PE coupling and rubber gaskets as recommended by the pipe manufacturer. Rubber gaskets shall conform to ASTM F477.

# 2.4 RESILIENT CONNECTORS

Flexible, watertight connectors used for connecting pipe to manholes and inlets shall conform to ASTM C923.

## 2.5 EROSION CONTROL RIP RAP

Provide non-erodible rock in accordance with NYSOT and NYSDEC requirements and as indicated on the contract drawings.

#### PART 3 EXECUTION

# 3.1 INSTALLATION OF PIPE CULVERTS, STORM DRAINS, AND DRAINAGE STRUCTURES

Excavation of trenches, and for appurtenances and backfilling for culverts and storm drains, shall be in accordance with the applicable portions of Section 31 00 00 EARTHWORK, and the requirements specified below.

## 3.1.1 Trenching

The width of trenches at any point below the top of the pipe shall be not greater than the outside diameter of the pipe plus 24 inches to permit satisfactory jointing and thorough tamping of the bedding material under and around the pipe. Sheeting and bracing, where required, shall be placed within the trench width as specified, without any overexcavation. Where trench widths are exceeded, redesign with a resultant increase in cost of stronger pipe or special installation procedures will be necessary. Cost of this redesign and increased cost of pipe or installation shall be borne by the Contractor without additional cost to the Government.

# 3.1.2 Removal of Rock

Rock in either ledge or boulder formation shall be replaced with suitable materials to provide a compacted earth cushion having a thickness between unremoved rock and the pipe of at least 8 inches or 1/2 inch for each foot of fill over the top of the pipe, whichever is greater, but not more than three-fourths the nominal diameter of the pipe. Where bell-and-spigot pipe is used, the cushion shall be maintained under the bell as well as under the straight portion of the pipe. Rock excavation shall be as specified and defined in Section 31 00 00 EARTHWORK.

# 3.1.3 Removal of Unstable Material

Where wet or otherwise unstable soil incapable of properly supporting the pipe, as determined by the Contracting Officer, is unexpectedly

encountered in the bottom of a trench, such material shall be removed to the depth required and replaced to the proper grade with select granular material, compacted as provided in paragraph BACKFILLING. When removal of unstable material is due to the fault or neglect of the Contractor while performing shoring and sheeting, water removal, or other specified requirements, such removal and replacement shall be performed at no additional cost to the Government.

3.2 BEDDING

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of the pipe.

3.2.1 Plastic Pipe

Bedding for HDPE pipe shall be in accordance with Section 31 00 00 Earthwork and meet the requirements of ASTM D2321. Use Class IB or II material for bedding, haunching, and initial backfill. Use Class I, II, or III material for PP pipe bedding, haunching and initial backfill.

- 3.3 PLACING PIPE
- 3.3.1 HDPE Pipe

Laying shall be with the separate sections joined firmly on a bed shaped to line and grade and shall follow manufacturer's guidelines.

- 3.4 JOINTING
- 3.5 DRAINAGE STRUCTURES
- 3.5.1 Manholes and Inlets

All drainage structures, walls, lids, frames, covers, etc., shall be designed by the manufacturer for H-25 loading. Construction shall be of reinforced concrete; complete with frames and covers or gratings; and with steel reinforced polypropylene ladder rungs where indicated. Pipe studs and junction chambers of prefabricated corrugated metal manholes shall be fully bituminous-coated and paved when the connecting branch lines are so treated. Pipe connections to concrete manholes and inlets shall be made with flexible, watertight connectors.

3.5.2 Walls and Headwalls

Construction shall be as indicated.

3.6 LADDER RUNGS

Ladder rungs shall be 7/8" diameter x 7" x 12", steel reinforced polypropylene, 12 inch o.c., installed into the precast structure by the manufacturer.

- 3.7 BACKFILLING
- 3.7.1 Backfilling Pipe in Trenches

After the pipe has been properly bedded, selected material from excavation or borrow, at a moisture content that will facilitate compaction, shall be placed along both sides of pipe in layers not exceeding 8 inches in

compacted depth. The backfill shall be brought up evenly on both sides of pipe for the full length of pipe. The fill shall be thoroughly compacted under the haunches of the pipe. Each layer shall be thoroughly compacted with mechanical tampers or rammers. This method of filling and compacting shall continue until the fill has reached an elevation equal to the midpoint (spring line) of concrete pipe or has reached an elevation of at least 18 inches above the top of the pipe for flexible pipe. The remainder of the trench shall be backfilled and compacted by spreading and rolling or compacted by mechanical rammers or tampers in layers not exceeding 18 inches. Tests for density shall be made as necessary to ensure conformance to the compaction requirements specified below. Where it is necessary, in the opinion of the Contracting Officer, that sheeting or portions of bracing used be left in place, the contract will be adjusted accordingly. Untreated sheeting shall not be left in place beneath structures or pavements.

## 3.7.2 Backfilling Pipe in Fill Sections

For pipe placed in fill sections, backfill material and the placement and compaction procedures shall be as specified below. The fill material shall be uniformly spread in layers longitudinally on both sides of the pipe, not exceeding 8 inches in compacted depth, and shall be compacted by rolling parallel with pipe or by mechanical tamping or ramming. Prior to commencing normal filling operations, the crown width of the fill at a height of 12 inches above the top of the pipe shall extend a distance of not less than twice the outside pipe diameter on each side of the pipe or 12 feet, whichever is less. After the backfill has reached at least 18 inches above the top of the pipe, the remainder of the fill shall be placed and thoroughly compacted in layers not exceeding 18 inches. Use select granular material for this entire region of backfill for flexible pipe installations.

# 3.7.3 Movement of Construction Machinery

When compacting by rolling or operating heavy equipment parallel with the pipe, displacement of or injury to the pipe shall be avoided. Movement of construction machinery over a culvert or storm drain at any stage of construction shall be at the Contractor's risk. Any damaged pipe shall be repaired or replaced.

#### 3.7.4 Compaction

### 3.7.4.1 General Requirements

Cohesionless materials include gravels, gravel-sand mixtures, sands, and gravelly sands. Cohesive materials include clayey and silty gravels, gravel-silt mixtures, clayey and silty sands, sand-clay mixtures, clays, silts, and very fine sands. When results of compaction tests for moisture-density relations are recorded on graphs, cohesionless soils will show straight lines or reverse-shaped moisture-density curves, and cohesive soils will show normal moisture-density curves.

#### 3.7.4.2 Minimum Density

Backfill over and around the pipe and backfill around and adjacent to drainage structures shall be compacted at the approved moisture content to the following applicable minimum density, which will be determined as specified below.

- a. Under paved roads, streets, parking areas, and similar-use pavements including adjacent shoulder areas, the density shall be not less than 90 percent of maximum density for cohesive material and 95 percent of maximum density for cohesionless material, up to the elevation where requirements for pavement subgrade materials and compaction shall control.
- b. Under unpaved or turfed traffic areas, density shall not be less than 90 percent of maximum density for cohesive material and 95 percent of maximum density for cohesionless material.
- c. Under nontraffic areas, density shall be not less than that of the surrounding material.

#### 3.8 FIELD PAINTING

3.8.1 Cast-Iron Covers, Frames, Gratings, And Steps

After installation, clean cast-iron, not buried in masonry or concrete, of mortar, rust, grease, dirt, and other deleterious materials to bare metal and apply a coat of bituminous paint.

3.8.2 Steel Covers And Frames Or Concrete Frames

After installation, clean steel or concrete, not buried in masonry or concrete, of mortar, dirt, grease, and other deleterious materials to bare metal. Apply a coat of primer and apply a top coat, color optional. Painting must conform to Section 09 90 00 PAINTS AND COATINGS. Do not paint surfaces subject to abrasion.

- 3.9 FIELD QUALITY CONTROL
- 3.9.1 Tests

Testing is the responsibility of the Contractor. Perform all testing and retesting at no additional cost to the Government.

3.9.1.1 HYDROSTATIC TEST ON WATERTIGHT JOINTS

Watertight joints shall be tested and shall meet test requirements of paragraph HYDROSTATIC TEST ON WATERTIGHT JOINTS. Rubber gaskets shall comply with the oil resistant gasket requirements of ASTM C443. Certified copies of test results shall be delivered to the Contracting Officer before gaskets or jointing materials are installed.

#### 3.9.1.1.1 PVC, HDPE Pipe

A hydrostatic test shall be made on the watertight joint types as proposed. Only one sample joint of each type needs testing; however, if the sample joint fails because of faulty design or workmanship, an additional sample joint may be tested. During the test period, gaskets or other jointing material shall be protected from extreme temperatures which might adversely affect the performance of such materials. Performance requirements for joints in reinforced and nonreinforced concrete pipe shall conform to ASTM C990 or ASTM C443. Test requirements for joints in PVC, HDPE plastic pipe shall conform to ASTM D3212.

## 3.9.1.2 Determination of Density

Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. Tests shall be performed in sufficient number to ensure that specified density is being obtained. Laboratory tests for moisture-density relations shall be made in accordance with ASTM D1557 except that mechanical tampers may be used provided the results are correlated with those obtained with the specified hand tamper. Field density tests shall be determined in accordance with ASTM D2167 or ASTM D6938. When ASTM D6938 is used, the calibration curves shall be checked and adjusted, if necessary, using the sand cone method as described in paragraph Calibration of the referenced publications. ASTM D6938 results in a wet unit weight of soil and ASTM D6938 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall be checked along with density calibration checks as described in ASTM D6938. Test results shall be furnished the Contracting Officer. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed.

# 3.9.1.3 Deflection Testing

Conduct deflection test no sooner than 30 days after completion of final backfill and compaction testing. Clean or flush all lines prior to testing. Perform a deflection test on entire length of installed flexible pipeline upon completion of work adjacent to and over the pipeline, including backfilling, placement of fill, grading, paving, placement of concrete, and any other superimposed loads. Deflection of pipe in the installed pipeline under external loads shall not exceed limits in paragraph PLACING PIPE above as percent of the average inside diameter of pipe. Use a laser profiler to determine if allowable deflection has been exceeded.

# 3.9.1.3.1 Laser Profiler

Inspect pipe interior with laser profiling equipment. Utilize low barrel distortion video equipment for pipe sizes 48 inches or less. Use a camera with suitable lighting to allow a clear picture of the entire periphery of the pipe interior. Center the camera in the pipe both vertically and horizontally. The camera must be able to pan and tilt to a 90 degree angle with the axis of the pipe rotating 360 degrees. Use equipment to move the camera through the pipe that will not obstruct the camera's view or interfere with proper documentation of the pipe's condition. The video image shall be clear, focused, and relatively free from roll static or other image distortion qualities that would prevent the reviewer from evaluating the condition of the pipe. For initial post installation inspections for pipe sizes larger than 48 inches, a visual inspection shall be completed of the pipe interior.

## 3.9.2 Inspection

#### 3.9.2.1 Post-Installation Inspection

Visually inspect each segment of concrete pipe for alignment, settlement, joint separations, soil migration through the joint, cracks, buckling, bulging and deflection. An engineer must evaluate all defects to determine if any remediation or repair is required.

3.9.2.1.1 Flexible Pipe

Check each flexible pipe (HDPE, PVC) for rips, tears, joint separations, soil migration through the joint, cracks, localized bucking, bulges, settlement and alignment.

# 3.9.2.1.2 Post-Installation Inspection Report

The deflection results and final post installation inspection report must include: a copy of all video taken, pipe location identification, equipment used for inspection, inspector name, deviation from design, grade, deviation from line, deflection and deformation of flexible pipe, inspector notes, condition of joints, condition of pipe wall (e.g. distress, cracking, wall damage dents, bulges, creases, tears, holes, etc.).

- 3.9.3 Repair Of Defects
- 3.9.3.1 Leakage Test

When leakage exceeds the maximum amount specified, correct source of excess leakage by replacing damaged pipe and gaskets and retest.

## 3.9.3.2 Deflection Testing

When deflection readings are in excess of the allowable deflection of average inside diameter of pipe are obtained, remove pipe which has excessive deflection and replace with new pipe. Retest 30 days after completing backfill, leakage testing and compaction testing.

# 3.9.3.3 Inspection

Replace pipe or repair defects indicated in the Post-Installation Inspection Report.

#### 3.9.3.3.1 Flexible Pipe

Replace pipes having cracks or splits.

# 3.10 PROTECTION

Protect storm drainage piping and adjacent areas from superimposed and external loads during construction.

# 3.11 WARRANTY PERIOD

Pipe segments found to have defects during the warranty period must be replaced with new pipe and retested.

-- End of Section --

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# SECTION 33 71 02

# UNDERGROUND ELECTRICAL DISTRIBUTION 02/15

## PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO HB-17	(2002; Errata 2003; Errata 2005, 17th
	Edition) Standard Specifications for
	Highway Bridges

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 318M	(2014; ERTA 2015) Building Code
	Requirements for Structural Concrete &
	Commentary

ACI SP-66 (2004) ACI Detailing Manual

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B3	(2013) Standard Specification for Soft or Annealed Copper Wire
ASTM B8	(2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM C32	(2013; R 2017) Standard Specification for Sewer and Manhole Brick (Made from Clay or Shale)
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C478	(2018) Standard Specification for Circular Precast Reinforced Concrete Manhole Sections
ASTM C857	(2016) Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures
ASTM C990	(2009; R 2014) Standard Specification for

ncoln H	all Revised RTA Submissi	on 1 March 20
		Joints for Concrete Pipe, Manholes and Precast Box Sections Using Preformed Flexible Joint Sealants
	INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)
IEEE 81		(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE C2		(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE Sto	ds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions
	INTERNATIONAL ELECTRICA	L TESTING ASSOCIATION (NETA)
NETA AT:	S	(2017; Errata 2017) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems
	NATIONAL ELECTRICAL MAN	UFACTURERS ASSOCIATION (NEMA)
ANSI C1	19.1	(2016) Electric Connectors - Sealed Insulated Underground Connector Systems Rated 600 Volts
NEMA RN	1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA TC	2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC	9	(2020) Standard for Fittings for Polyviny Chloride (PVC) Plastic Utilities Duct for Underground Installation
	NATIONAL FIRE PROTECTIO	N ASSOCIATION (NFPA)
NFPA 70		(2020; ERTA 20-1 2020; ERTA 20-2 2020; TI 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
	SOCIETY OF CABLE TELECO	MMUNICATIONS ENGINEERS (SCTE)
ANSI/SC	TE 77	(2013) Specification for Underground Enclosure Integrity
	TELECOMMUNICATIONS INDU	STRY ASSOCIATION (TIA)
TIA-758		(2012b) Customer-Owned Outside Plant Telecommunications Infrastructure Standard
	U.S. DEPARTMENT OF AGRI	CULTURE (USDA)
RUS Bul	l 1751F-644	(2002) Underground Plant Construction

West Point, NYContract #W912DS19CLincoln Hall Revised RTA Submission1 March 2			
U.S. GENERAL SERVICES ADMINISTRATION (GSA)			
CID A-A-60005	(Basic; Notice 2) Frames, Covers, Gratings, Steps, Sump And Catch Basin, Manhole		
UNDERWRITERS LABORATORIES (UL)			
UL 6	(2007; Reprint Sep 2019) UL Standard for Safety Electrical Rigid Metal Conduit-Steel		
UL 44	(2018) UL Standard for Safety Thermoset-Insulated Wires and Cables		
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables		
UL 94	(2013; Reprint Jun 2020) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances		
UL 467	(2013; Reprint Jun 2017) UL Standard for Safety Grounding and Bonding Equipment		
UL 486A-486B	(2018) UL Standard for Safety Wire Connectors		
UL 510	(2020) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape		
UL 514A	(2013; Reprint Aug 2017) UL Standard for Safety Metallic Outlet Boxes		
UL 514B	(2012; Reprint May 2020) Conduit, Tubing and Cable Fittings		
UL 651	(2011; Reprint Mar 2020) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings		
UL 854	(2020) Standard for Service-Entrance Cables		
UL 1242	(2006; Reprint Aug 2020) Standard for Electrical Intermediate Metal Conduit Steel		

# 1.2 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.
- b. In the text of this section, the words conduit and duct are used interchangeably and have the same meaning.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance with Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Precast underground structures; G

SD-03 Product Data

Precast concrete structures; G

Sealing Material

Pulling-In Irons

Manhole frames and covers; G

Handhole frames and covers; G

Composite/fiberglass handholes; G

Protective Devices and Coordination Study; G

The study must be submitted with protective device equipment submittals. No time extension or similar contract modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed must be based on recommendations of this study. The Government must not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered or procured prior to approval of the study.

SD-06 Test Reports

Field Acceptance Checks and Tests

Cable Installation Plan and Procedure; G

SD-07 Certificates

Cable Installer Qualifications; G

## 1.4 QUALITY ASSURANCE

1.4.1 Precast Underground Structures

Submittal required for each type used. Provide calculations and drawings for precast manholes and handholes bearing the seal of a registered professional engineer including:

a. Material description (i.e., f'c and Fy)

- b. Manufacturer's printed assembly and installation instructions
- c. Design calculations
- d. Reinforcing shop drawings in accordance with ACI SP-66
- e. Plans and elevations showing opening and pulling-in iron locations and details
- 1.4.2 Cable Installer Qualifications

Provide at least one onsite person in a supervisory position with a documentable level of competency and experience to supervise all cable pulling operations. Provide a resume showing the cable installers' experience in the last three years, including a list of references complete with points of contact, addresses and telephone numbers. Cable installer must demonstrate experience with a minimum of three medium voltage cable installations. The Contracting Officer reserves the right to require additional proof of competency or to reject the individual and call for an alternate qualified cable installer.

#### 1.4.3 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of IEEE C2 and NFPA 70 unless more stringent requirements are specified or indicated.

# 1.4.4 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

#### 1.4.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.4.4.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable, unless specified otherwise.

PART 2 PRODUCTS

- 2.1 CONDUIT, DUCTS, AND FITTINGS
- 2.1.1 Rigid Metal Conduit

UL 6.

2.1.1.1 Rigid Metallic Conduit, PVC Coated

NEMA RN 1, Type A40, except that hardness must be nominal 85 Shore A durometer, dielectric strength must be minimum 400 volts per mil at 60 Hz, and tensile strength must be minimum 3500 psi.

2.1.2 Intermediate Metal Conduit

UL 1242.

2.1.2.1 Intermediate Metal Conduit, PVC Coated

NEMA RN 1, Type A40, except that hardness must be nominal 85 Shore A durometer, dielectric strength must be minimum 400 volts per mil at 60 Hz, and tensile strength must be minimum 3500 psi.

2.1.3 Plastic Conduit for Direct Burial and Riser Applications

UL 651 and NEMA TC 2, EPC-40 or EPC-80.

2.1.4 Plastic Duct for Concrete Encasement

Provide Type EPC-40 per UL 651 and NEMA TC 2.

2.1.5 Duct Sealant

UL 94, Class HBF. Provide high-expansion urethane foam duct sealant that expands and hardens to form a closed, chemically and water resistant, rigid structure. Sealant must be compatible with common cable and wire jackets and capable of adhering to metals, plastics and concrete. Sealant must be capable of curing in temperature ranges of 35 degrees F to 95 degrees F. Cured sealant must withstand temperature ranges of -20 degrees F to 200 degrees F without loss of function.

- 2.1.6 Fittings
- 2.1.6.1 Metal Fittings

UL 514B.

2.1.6.2 PVC Conduit Fittings

UL 514B, UL 651.

2.1.6.3 PVC Duct Fittings

NEMA TC 9.

2.1.6.4 Outlet Boxes for Steel Conduit

Outlet boxes for use with rigid or flexible steel conduit must be

cast-metal cadmium or zinc-coated if of ferrous metal with gasketed closures and must conform to UL 514A.

## 2.2 LOW VOLTAGE INSULATED CONDUCTORS AND CABLES

Insulated conductors must be rated 600 volts and conform to the requirements of NFPA 70, including listing requirements. Wires and cables manufactured more than 24 months prior to date of delivery to the site are not acceptable. Service entrance conductors must conform to UL 854, type USE.

# 2.2.1 Conductor Types

Cable and duct sizes indicated are for copper conductors and THHN/THWN unless otherwise noted. Conductors No. 10 AWG and smaller must be solid. Conductors No. 8 AWG and larger must be stranded. All conductors must be copper.

# 2.2.2 Conductor Material

Unless specified or indicated otherwise or required by NFPA 70, wires in conduit, other than service entrance, must be 600-volt, Type THWN/THHN conforming to UL 83 or Type XHHW or RHW conforming to UL 44. Copper conductors must be annealed copper complying with ASTM B3 and ASTM B8.

#### 2.2.3 Cable Marking

Insulated conductors must have the date of manufacture and other identification imprinted on the outer surface of each cable at regular intervals throughout the cable length.

Identify each cable by means of a fiber, laminated plastic, or non-ferrous metal tags in each manhole, handhole, junction box, and each terminal. Each tag must contain the following information; cable type, conductor size, circuit number, circuit voltage, cable destination and phase identification.

Conductors must be color coded. Provide conductor identification within each enclosure where a tap, splice, or termination is made. Conductor identification must be by color-coded insulated conductors, plastic-coated self-sticking printed markers, colored nylon cable ties and plates, heat shrink type sleeves, or colored electrical tape. Control circuit terminations must be properly identified. Color must be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in same raceway or box, other neutrals must be white with a different colored (not green) stripe for each. Color of ungrounded conductors in different voltage systems must be as follows:

- a. 208/120 volt, three-phase
  - (1) Phase A black
  - (2) Phase B red
  - (3) Phase C blue
- b. 480/277 volt, three-phase
  - (1) Phase A brown

- (2) Phase B orange
- (3) Phase C yellow
- c. 120/240 volt, single phase: Black and red
- 2.3 LOW VOLTAGE WIRE CONNECTORS AND TERMINALS

Must provide a uniform compression over the entire conductor contact surface. Use solderless terminal lugs on stranded conductors.

- a. For use with copper conductors: UL 486A-486B.
- 2.4 LOW VOLTAGE SPLICES

Provide splices in conductors with a compression connector on the conductor and by insulating and waterproofing using one of the following methods which are suitable for continuous submersion in water and comply with ANSI C119.1.

2.4.1 Heat Shrinkable Splice

Provide heat shrinkable splice insulation by means of a thermoplastic adhesive sealant material applied in accordance with the manufacturer's written instructions.

2.4.2 Cold Shrink Rubber Splice

Provide a cold-shrink rubber splice which consists of EPDM rubber tube which has been factory stretched onto a spiraled core which is removed during splice installation. The installation must not require heat or flame, or any additional materials such as covering or adhesive. It must be designed for use with inline compression type connectors, or indoor, outdoor, direct-burial or submerged locations.

2.5 TELECOMMUNICATIONS CABLING

Provide telecommunications cabling in accordance with Section 33 82 00 TELECOMMUNICATIONS OUTSIDE PLANT (OSP).

- 2.6 TAPE
- 2.6.1 Insulating Tape

UL 510, plastic insulating tape, capable of performing in a continuous temperature environment of 80 degrees C.

2.6.2 Buried Warning and Identification Tape

Provide detectable tape in accordance with Section 31 00 00 EARTHWORK.

2.7 PULL ROPE

Plastic or flat pull line (bull line) having a minimum tensile strength of 200 pounds.

# 2.8 GROUNDING AND BONDING

# 2.8.1 Driven Ground Rods

Provide copper-clad steel ground rods conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length. Sectional type rods may be used for rods 20 feet or longer.

# 2.8.2 Grounding Conductors

Stranded-bare copper conductors must conform to ASTM B8, Class B, soft-drawn unless otherwise indicated. Solid-bare copper conductors must conform to ASTM B1 for sizes No. 8 and smaller. Insulated conductors must be of the same material as phase conductors and green color-coded, except that conductors must be rated no more than 600 volts. Aluminum is not acceptable.

#### 2.9 CAST-IN-PLACE CONCRETE

Provide concrete in accordance with Section 03 30 00 CAST-IN-PLACE CONCRETE. In addition, provide concrete for encasement of underground ducts with 3000 psi minimum 28-day compressive strength. Concrete associated with electrical work for other than encasement of underground ducts must be 4000 psi minimum 28-day compressive strength unless specified otherwise.

# 2.10 UNDERGROUND STRUCTURES

Provide precast concrete underground structures or standard type cast-in-place manhole types as indicated, conforming to ASTM C857 and ASTM C478. Top, walls, and bottom must consist of reinforced concrete. Walls and bottom must be of monolithic concrete construction. Locate duct entrances and windows near the corners of structures to facilitate cable racking. Covers must fit the frames without undue play. Form steel and iron to shape and size with sharp lines and angles. Castings must be free from warp and blow holes that may impair strength or appearance. Exposed metal must have a smooth finish and sharp lines and arises. Provide necessary lugs, rabbets, and brackets. Set pulling-in irons and other built-in items in place before depositing concrete. Install a pulling-in iron in the wall opposite each duct line entrance. Cable racks, including rack arms and insulators, must be adequate to accommodate the cable.

## 2.10.1 Cast-In-Place Concrete Structures

Concrete must conform to Section 03 30 00 CAST-IN-PLACE CONCRETE. Construct walls on a footing of cast-in-place concrete except that precast concrete base sections may be used for precast concrete manhole risers.

#### 2.10.2 Precast Concrete Structures, Risers and Tops

Precast concrete underground structures may be provided in lieu of cast-in-place subject to the requirements specified below. Precast units must be the product of a manufacturer regularly engaged in the manufacture of precast concrete products, including precast manholes.

# 2.10.2.1 General

Precast concrete structures must have the same accessories and facilities as required for cast-in-place structures. Likewise, precast structures

must have plan area and clear heights not less than those of cast-in-place structures. Concrete materials and methods of construction must be the same as for cast-in-place concrete construction, as modified herein. Slope in floor may be omitted provided precast sections are poured in reinforced steel forms. Concrete for precast work must have a 28-day compressive strength of not less than 4000 psi. Structures may be precast to the design and details indicated for cast-in-place construction, precast monolithically and placed as a unit, or structures may be assembled sections, designed and produced by the manufacturer in accordance with the requirements specified. Structures must be identified with the manufacturer's name embedded in or otherwise permanently attached to an interior wall face.

# 2.10.2.2 Design for Precast Structures

ACI 318M. In the absence of detailed on-site soil information, design for the following soil parameters/site conditions:

- a. Angle of Internal Friction (phi) = 30 degrees
- b. Unit Weight of Soil (Dry) = 110 pcf, (Saturated) = 130 pcf
- c. Coefficient of Lateral Earth Pressure (Ka) = 0.33
- d. Ground Water Level = 3 feet below ground elevation
- e. Vertical design loads must include full dead, superimposed dead, and live loads including a 30 percent magnification factor for impact. Live loads must consider all types and magnitudes of vehicular (automotive) traffic to be encountered. The minimum design vertical load must be for H20 highway loading per AASHTO HB-17.
- f. Horizontal design loads must include full geostatic and hydrostatic pressures for the soil parameters, water table, and depth of installation to be encountered. Also, horizontal loads imposed by adjacent structure foundations, and horizontal load components of vertical design loads, including impact, must be considered, along with a pulling-in iron design load of 6000 pounds.
- g. Each structural component must be designed for the load combination and positioning resulting in the maximum shear and moment for that particular component.
- h. Design must also consider the live loads induced in the handling, installation, and backfilling of the manholes. Provide lifting devices to ensure structural integrity during handling and installation.

# 2.10.2.3 Construction

Structure top, bottom, and wall must be of a uniform thickness of not less than 6 inches. Thin-walled knock-out panels for designed or future duct bank entrances are not permitted. Provide quantity, size, and location of duct bank entrance windows as directed, and cast completely open by the precaster. Size of windows must exceed the nominal duct bank envelope dimensions by at least 12 inches vertically and horizontally to preclude in-field window modifications made necessary by duct bank misalignment. However, the sides of precast windows must be a minimum of 6 inches from

the inside surface of adjacent walls, floors, or ceilings. Form the perimeter of precast window openings to have a keyed or inward flared surface to provide a positive interlock with the mating duct bank envelope. Provide welded wire fabric reinforcing through window openings for in-field cutting and flaring into duct bank envelopes. Provide additional reinforcing steel comprised of at least two No. 4 bars around window openings. Provide drain sumps a minimum of 12 inches in diameter and 4 inches deep for precast structures.

# 2.10.2.4 Joints

Provide tongue-and-groove joints on mating edges of precast components. Shiplap joints are not allowed. Design joints to firmly interlock adjoining components and to provide waterproof junctions and adequate shear transfer. Seal joints watertight using preformed plastic strip conforming to ASTM C990. Install sealing material in strict accordance with the sealant manufacturer's printed instructions. Provide waterproofing at conduit/duct entrances into structures, and where access frame meets the top slab, provide continuous grout seal.

## 2.10.3 Manhole Frames and Covers

Provide cast iron frames and covers for manholes conforming to CID A-A-60005. Cast the words "ELECTRIC" or "TELECOMMUNICATIONS" in the top face of power and telecommunications manhole covers, respectively.

# 2.10.4 Handhole Frames and Covers

Frames and covers of steel must be welded by qualified welders in accordance with standard commercial practice. Steel covers must be rolled-steel floor plate having an approved antislip surface. Hinges must be of stainless steel with bronze hinge pin , 5 by 5 inches by approximately 3/16 inch thick, without screw holes, and must be for full surface application by fillet welding. Hinges must have nonremovable pins and five knuckles. The surfaces of plates under hinges must be true after the removal of raised antislip surface, by grinding or other approved method.

2.10.5 Brick for Manhole Collar

Provide sewer and manhole brick conforming to ASTM C32, Grade MS.

## 2.10.6 Composite/Fiberglass Handholes and Covers

ANSI/SCTE 77. Provide handholes and covers of polymer concrete, reinforced with heavy weave fiberglass with a design load (Tier rating) appropriate for or greater than the intended use. All covers are required to have the Tier level rating embossed on the surface and this rating must not exceed the design load of the box.

2.11 CABLE SUPPORTS (RACKS, ARMS, AND INSULATORS)

The metal portion of racks and arms must be zinc-coated after fabrication.

2.11.1 Cable Rack Stanchions

The wall bracket or stanchion must be 4 inches by approximately 1-1/2 inch by 3/16 inch channel steel, or 4 inches by approximately 1 inch glass-reinforced nylon with recessed bolt mounting holes, 48 inches long

(minimum) in manholes. Slots for mounting cable rack arms must be spaced at 8 inch intervals.

# 2.11.2 Rack Arms

Cable rack arms must be steel or malleable iron or glass reinforced nylon and must be of the removable type. Rack arm length must be a minimum of 8 inches and a maximum of 12 inches.

# 2.11.3 Insulators

Insulators for metal rack arms must be dry-process glazed porcelain. Insulators are not required for nylon arms.

# 2.12 CABLE TAGS IN MANHOLES

Provide tags for each power cable located in manholes. The tags must be polyethylene. Do not provide handwritten letters. The first position on the power cable tag must denote the voltage. The second through sixth positions on the tag must identify the circuit. The next to last position must denote the phase of the circuit and include the Greek "phi" symbol. The last position must denote the cable size. As an example, a tag could have the following designation: "11.5 NAS 1-8(Phase A)500," denoting that the tagged cable is on the 11.5kV system circuit number NAS 1-8, underground, Phase A, sized at 500 kcmil.

# 2.12.1 Polyethylene Cable Tags

Provide tags of polyethylene that have an average tensile strength of 3250 pounds per square inch; and that are 0.08 inch thick (minimum), non-corrosive non-conductive; resistive to acids, alkalis, organic solvents, and salt water; and distortion resistant to 170 degrees F. Provide 0.05 inch (minimum) thick black polyethylene tag holder. Provide a one-piece nylon, self-locking tie at each end of the cable tag. Ties must have a minimum loop tensile strength of 175 pounds. The cable tags must have black block letters, numbers, and symbols one inch high on a yellow background. Letters, numbers, and symbols must not fall off or change positions regardless of the cable tags' orientation.

# 2.13 PROTECTIVE DEVICES AND COORDINATION

Provide protective devices and coordination as specified in Section 26 28 01.00 10 COORDINATED POWER SYSTEM PROTECTION.

## PART 3 EXECUTION

#### 3.1 INSTALLATION

Install equipment and devices in accordance with the manufacturer's published instructions and with the requirements and recommendations of NFPA 70 and IEEE C2 as applicable. In addition to these requirements, install telecommunications in accordance with TIA-758 and RUS Bull 1751F-644.

## 3.2 CABLE INSPECTION

Inspect each cable reel for correct storage positions, signs of physical damage, and broken end seals prior to installation. If end seal is broken, remove moisture from cable prior to installation in accordance

with the cable manufacturer's recommendations.

# 3.3 CABLE INSTALLATION PLAN AND PROCEDURE

Obtain from the manufacturer an installation manual or set of instructions which addresses such aspects as cable construction, insulation type, cable diameter, bending radius, cable temperature limits for installation, lubricants, coefficient of friction, conduit cleaning, storage procedures, moisture seals, testing for and purging moisture, maximum allowable pulling tension, and maximum allowable sidewall bearing pressure. Prepare a checklist of significant requirements and submit along with the manufacturer's instructions in accordance with SUBMITTALS. Install cable strictly in accordance with the cable manufacturer's recommendations and the approved installation plan.

#### 3.4 UNDERGROUND FEEDERS SUPPLYING BUILDINGS

Terminate underground feeders supplying building at a point 5 feet outside the building and projections thereof, except that conductors must be continuous to the terminating point indicated. Coordinate connections of the feeders to the service entrance equipment with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide PVC, Type EPC-40 conduit from the supply equipment to a point 5 feet outside the building and projections thereof. Protect ends of underground conduit with plastic plugs until connections are made.

Encase the underground portion of the conduit in a concrete envelope and bury as specified for underground duct with concrete encasement.

## 3.5 UNDERGROUND STRUCTURE CONSTRUCTION

Provide standard type cast-in-place construction as specified herein and as indicated, or precast construction as specified herein. Horizontal concrete surfaces of floors must have a smooth trowel finish. Cure concrete by applying two coats of white pigmented membrane forming-curing compound in strict accordance with the manufacturer's printed instructions, except that precast concrete may be steam cured. Curing compound must conform to ASTM C309. Locate duct entrances and windows in the center of end walls (shorter) and near the corners of sidewalls (longer) to facilitate cable racking and splicing. Covers for underground structures must fit the frames without undue play. Steel and iron must be formed to shape and size with sharp lines and angles. Castings must be free from warp and blow holes that may impair strength or appearance. Exposed metal must have a smooth finish and sharp lines and arises. Provide necessary lugs, rabbets, and brackets. Set pulling-in irons and other built-in items in place before depositing concrete. Manhole locations, as indicated, are approximate. Coordinate exact manhole locations with other utilities and finished grading and paving.

#### 3.5.1 Cast-In-Place Concrete Structures

Construct walls on a footing of cast-in-place concrete except that precast concrete base sections may be used for precast concrete manhole risers.

# 3.5.2 Precast Concrete Construction

Set commercial precast structures on 6 inches of level, 90 percent compacted granular fill, 3/4 inch to 1 inch size, extending 12 inches beyond the structure on each side. Compact granular fill by a minimum of four passes with a plate type vibrator. Installation must additionally conform to the manufacturer's instructions.

# 3.5.3 Pulling-In Irons

Provide steel bars bent as indicated, and cast in the walls and floors. Alternatively, pipe sleeves may be precast into the walls and floors where required to accept U-bolts or other types of pulling-in devices possessing the strengths and clearances stated herein. The final installation of pulling-in devices must be made permanent. Cover and seal exterior projections of thru-wall type pulling-in devices with an appropriate protective coating. In the floor the irons must be a minimum of 6 inches from the edge of the sump, and in the walls the irons must be located within 6 inches of the projected center of the duct bank pattern or precast window in the opposite wall. However, the pulling-in iron must not be located within 6 inches of an adjacent interior surface, or duct or precast window located within the same wall as the iron. If a pulling-in iron cannot be located directly opposite the corresponding duct bank or precast window due to this clearance limitation, locate the iron directly above or below the projected center of the duct bank pattern or precast window the minimum distance required to preserve the 6 inch clearance previously stated. In the case of directly opposing precast windows, pulling-in irons consisting of a 3 foot length of No. 5 reinforcing bar, formed into a hairpin, may be cast-in-place within the precast windows simultaneously with the end of the corresponding duct bank envelope. Irons installed in this manner must be positioned directly in line with, or when not possible, directly above or below the projected center of the duct bank pattern entering the opposite wall, while maintaining a minimum clear distance of 3 inches from any edge of the cast-in-place duct bank envelope or any individual duct. Pulling-in irons must have a clear projection into the structure of approximately 4 inches and must be designed to withstand a minimum pulling-in load of 6000 pounds. Irons must be hot-dipped galvanized after fabrication.

#### 3.5.4 Cable Racks, Arms and Insulators

Cable racks, arms and insulators must be sufficient to accommodate the cables. Space racks in power manholes not more than 3 feet apart, and provide each manhole wall with a minimum of two racks. Space racks in signal manholes not more than 16 1/2 inches apart with the end rack being no further than 12 inches from the adjacent wall. Methods of anchoring cable racks must be as follows:

- a. Provide a 5/8 inch diameter by 5 inch long anchor bolt with 3 inch foot cast in structure wall with 2 inch protrusion of threaded portion of bolt into structure. Provide 5/8 inch steel square head nut on each anchor bolt. Coat threads of anchor bolts with suitable coating immediately prior to installing nuts.
- b. Provide concrete channel insert with a minimum load rating of 800 pounds per foot. Insert channel must be steel of the same length as "vertical rack channel;" channel insert must be cast flush in structure wall. Provide 5/8 inch steel nuts in channel insert to receive 5/8 inch diameter by 3 inch long steel, square head anchor bolts.
- c. Provide concrete "spot insert" at each anchor bolt location, cast flush in structure wall. Each insert must have minimum 800 pound load rating. Provide 5/8 inch diameter by 3 inch long steel, square head

anchor bolt at each anchor point. Coat threads of anchor bolts with suitable coating immediately prior to installing bolts.

# 3.5.5 Field Painting

Cast-iron frames and covers not buried in concrete or masonry must be cleaned of mortar, rust, grease, dirt and other deleterious materials, and given a coat of bituminous paint.

# 3.6 UNDERGROUND CONDUIT AND DUCT SYSTEMS

#### 3.6.1 Requirements

Run conduit in straight lines except where a change of direction is necessary. Provide numbers and sizes of ducts as indicated. Provide a 4/0 AWG bare copper grounding conductor below medium-voltage distribution duct banks. Bond bare copper grounding conductor to ground rings (loops) in all manholes and to ground rings (loops) at all equipment slabs (pads). Route grounding conductor into manholes with the duct bank (sleeving is not required). Ducts must have a continuous slope downward toward underground structures and away from buildings, laid with a minimum slope of 3 inches per 100 feet. Depending on the contour of the finished grade, the high-point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Provide ducts with end bells whenever duct lines terminate in structures.

Perform changes in ductbank direction as follows:

- a. Short-radius manufactured 90-degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable.
- b. The minimum manufactured bend radius must be 18 inches for ducts of less than 3 inch diameter, and 36 inches for ducts 3 inches or greater in diameter.
- c. As an exception to the bend radius required above, provide field manufactured longsweep bends having a minimum radius of 25 feet for a change of direction of more than 5 degrees, either horizontally or vertically, using a combination of curved and straight sections. Maximum manufactured curved sections: 30 degrees.

# 3.6.2 Treatment

Ducts must be kept clean of concrete, dirt, or foreign substances during construction. Field cuts requiring tapers must be made with proper tools and match factory tapers. A coupling recommended by the duct manufacturer must be used whenever an existing duct is connected to a duct of different material or shape. Ducts must be stored to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Ducts must be thoroughly cleaned before being laid. Plastic ducts must be stored on a flat surface and protected from the direct rays of the sun.

## 3.6.3 Conduit Cleaning

As each conduit run is completed, for conduit sizes 3 inches and larger, draw a flexible testing mandrel approximately 12 inches long with a diameter less than the inside diameter of the conduit through the conduit. After which, draw a stiff bristle brush through until conduit is clear of particles of earth, sand and gravel; then immediately install conduit plugs. For conduit sizes less than 3 inches, draw a stiff bristle brush through until conduit is clear of particles of earth, sand and gravel; then immediately install conduit plugs.

# 3.6.4 Jacking and Drilling Under Roads and Structures

Conduits to be installed under existing paved areas which are not to be disturbed, and under roads and railroad tracks, must be zinc-coated, rigid steel, jacked into place. Where ducts are jacked under existing pavement, rigid steel conduit must be installed because of its strength. To protect the corrosion-resistant conduit coating, predrilling or installing conduit inside a larger iron pipe sleeve (jack-and-sleeve) is required. For crossings of existing railroads and airfield pavements greater than 50 feet in length, the predrilling method or the jack-and-sleeve method will be used. Separators or spacing blocks must be made of steel, concrete, plastic, or a combination of these materials placed not farther apart than 4 feet on centers.

#### 3.6.5 Multiple Conduits

Separate multiple conduits by a minimum distance of 3 inches. Stagger the joints of the conduits by rows (horizontally) and layers (vertically) to strengthen the conduit assembly. Provide plastic duct spacers that interlock vertically and horizontally. Spacer assembly must consist of base spacers, intermediate spacers, ties, and locking device on top to provide a completely enclosed and locked-in conduit assembly. Install spacers per manufacturer's instructions, but provide a minimum of two spacer assemblies per 10 feet of conduit assembly.

# 3.6.6 Conduit Plugs and Pull Rope

New conduit indicated as being unused or empty must be provided with plugs on each end. Plugs must contain a weephole or screen to allow water drainage. Provide a plastic pull rope having 3 feet of slack at each end of unused or empty conduits.

3.6.7 Conduit and Duct Without Concrete Encasement

# 3.6.7.1 Encasement Under Roads and Structures

Under roads, paved areas, and railroad tracks, install conduits in concrete encasement of rectangular cross-section providing a minimum of 3 inch concrete cover around ducts. Concrete encasement must extend at least 5 feet beyond the edges of paved areas and roads, and 12 feet beyond the rails on each side of railroad tracks. Depths to top of the concrete envelope must be not less than 24 inches below finished grade.

# 3.6.8 Duct Encased in Concrete

Construct underground duct lines of individual conduits encased in concrete. Depths to top of the concrete envelope must be not less than 18 inches below finished grade, except under roads and pavement, concrete envelope must be not less than 24 inches below finished grade. Do not mix different kinds of conduit in any one duct bank. Concrete encasement surrounding the bank must be rectangular in cross-section and must provide at least 3 inches of concrete cover for ducts. Separate conduits by a minimum concrete thickness of 3 inches. Before pouring concrete, anchor

duct bank assemblies to prevent the assemblies from floating during concrete pouring. Anchoring must be done by driving reinforcing rods adjacent to duct spacer assemblies and attaching the rods to the spacer assembly. Provide steel reinforcing in the concrete envelope as indicated.

# 3.6.8.1 Connections to Manholes

Duct bank envelopes connecting to underground structures must be flared to have enlarged cross-section at the manhole entrance to provide additional shear strength. Dimensions of the flared cross-section must be larger than the corresponding manhole opening dimensions by no less than 12 inches in each direction. Perimeter of the duct bank opening in the underground structure must be flared toward the inside or keyed to provide a positive interlock between the duct bank and the wall of the structure. Use vibrators when this portion of the encasement is poured to assure a seal between the envelope and the wall of the structure.

#### 3.6.8.2 Connections to Existing Underground Structures

For duct bank connections to existing structures, break the structure wall out to the dimensions required and preserve steel in the structure wall. Cut steel and extend into the duct bank envelope. Chip the perimeter surface of the duct bank opening to form a key or flared surface, providing a positive connection with the duct bank envelope.

#### 3.6.8.3 Removal of Ducts

Where duct lines are removed from existing underground structures, close the openings to waterproof the structure. Chip out the wall opening to provide a key for the new section of wall.

#### 3.6.9 Duct Sealing

Seal all electrical penetrations for radon mitigation, maintaining integrity of the vapor barrier, and to prevent infiltration of air, insects, and vermin.

#### 3.7 CABLE PULLING

Pull cables down grade with the feed-in point at the manhole or buildings of the highest elevation. Use flexible cable feeds to convey cables through manhole opening and into duct runs. Do not exceed the specified cable bending radii when installing cable under any conditions, including turnups into switches, transformers, switchgear, switchboards, and other enclosures. If basket-grip type cable-pulling devices are used to pull cable in place, cut off the section of cable under the grip before splicing and terminating.

#### 3.7.1 Cable Lubricants

Use lubricants that are specifically recommended by the cable manufacturer for assisting in pulling jacketed cables.

## 3.8 CABLES IN UNDERGROUND STRUCTURES

Do not install cables utilizing the shortest path between penetrations, but route along those walls providing the longest route and the maximum spare cable lengths. Form cables to closely parallel walls, not to interfere with duct entrances, and support on brackets and cable

insulators. Support cable splices in underground structures by racks on each side of the splice. Locate splices to prevent cyclic bending in the spliced sheath. Install cables at middle and bottom of cable racks, leaving top space open for future cables, except as otherwise indicated for existing installations. Provide one spare three-insulator rack arm for each cable rack in each underground structure.

#### 3.8.1 Cable Tag Installation

Install cable tags in each manhole as specified, including each splice. Tag wire and cable provided by this contract. Install cable tags over the fireproofing, if any, and locate the tags so that they are clearly visible without disturbing any cabling or wiring in the manholes.

## 3.9 CONDUCTORS INSTALLED IN PARALLEL

Conductors must be grouped such that each conduit of a parallel run contains 1 Phase A conductor, 1 Phase B conductor, 1 Phase C conductor, and 1 neutral conductor.

## 3.10 LOW VOLTAGE CABLE SPLICING AND TERMINATING

Make terminations and splices with materials and methods as indicated or specified herein and as designated by the written instructions of the manufacturer. Do not allow the cables to be moved until after the splicing material has completely set. Make splices in underground distribution systems only in accessible locations such as manholes, handholes, or aboveground termination pedestals.

#### 3.11 MEDIUM VOLTAGE CABLE TERMINATIONS

Make terminations in accordance with the written instruction of the termination kit manufacturer.

#### 3.12 CABLE END CAPS

Cable ends must be sealed at all times with coated heat shrinkable end caps. Cables ends must be sealed when the cable is delivered to the job site, while the cable is stored and during installation of the cable. The caps must remain in place until the cable is spliced or terminated. Sealing compounds and tape are not acceptable substitutes for heat shrinkable end caps. Cable which is not sealed in the specified manner at all times will be rejected.

## 3.13 GROUNDING SYSTEMS

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

#### 3.13.1 Grounding Electrodes

Provide cone pointed driven ground rods driven full depth plus 6 inches, installed to provide an earth ground of the appropriate value for the particular equipment being grounded.

If the specified ground resistance is not met, an additional ground rod must be provided in accordance with the requirements of NFPA 70 (placed not less than 6 feet from the first rod). Should the resultant (combined) resistance exceed the specified resistance, measured not less than 48 hours after rainfall, notify the Contracting Officer immediately.

# 3.13.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or compression connector.

- a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.
- b. Make compression connections using a hydraulic compression tool to provide the correct circumferential pressure. Tools and dies must be as recommended by the manufacturer. An embossing die code or other standard method must provide visible indication that a connector has been adequately compressed on the ground wire.

# 3.13.3 Grounding Conductors

Provide bare grounding conductors, except where installed in conduit with associated phase conductors. Ground cable sheaths, cable shields, conduit, and equipment with No. 6 AWG. Ground other noncurrent-carrying metal parts and equipment frames of metal-enclosed equipment. Ground metallic frames and covers of handholes and pull boxes with a braided, copper ground strap with equivalent ampacity of No. 6 AWG.

3.13.4 Ground Cable Crossing Expansion Joints

Protect ground cables crossing expansion joints or similar separations in structures and pavements by use of approved devices or methods of installation which provide the necessary slack in the cable across the joint to permit movement. Use stranded or other approved flexible copper cable across such separations.

## 3.13.5 Manhole Grounding

Loop a 4/0 AWG grounding conductor around the interior perimeter, approximately 12 inches above finished floor. Secure the conductor to the manhole walls at intervals not exceeding 36 inches. Connect the conductor to the manhole grounding electrode with 4/0 AWG conductor. Connect all incoming 4/0 grounding conductors to the ground loop adjacent to the point of entry into the manhole. Bond the ground loop to all cable shields, metal cable racks, and other metal equipment with a minimum 6 AWG conductor.

#### 3.13.6 Fence Grounding

Provide grounding for fences with a ground rod at each fixed gate post and at each corner post. Drive ground rods until the top is 12 inches below grade. Attach a No. 4 AWG copper conductor, by exothermic weld to the ground rods and extend underground to the immediate vicinity of fence post. Lace the conductor vertically into 12 inches of fence mesh and fasten by two approved bronze compression fittings, one to bond wire to post and the other to bond wire to fence. Each gate section must be bonded to its gatepost by a 1/8 by one inch flexible braided copper strap and ground post clamps. Clamps must be of the anti-electrolysis type.

#### 3.14 EXCAVATING, BACKFILLING, AND COMPACTING

Provide in accordance with NFPA 70 and Section 31 00 00 EARTHWORK.

#### 3.14.1 Reconditioning of Surfaces

#### 3.14.1.1 Unpaved Surfaces

Restore to their original elevation and condition unpaved surfaces disturbed during installation of duct. Preserve sod and topsoil removed during excavation and reinstall after backfilling is completed. Replace sod that is damaged by sod of quality equal to that removed. When the surface is disturbed in a newly seeded area, re-seed the restored surface with the same quantity and formula of seed as that used in the original seeding, and provide topsoiling, fertilizing, liming, seeding, sodding, sprigging, or mulching.

#### 3.14.1.2 Paving Repairs

Where trenches, pits, or other excavations are made in existing roadways and other areas of pavement where surface treatment of any kind exists , restore such surface treatment or pavement the same thickness and in the same kind as previously existed, except as otherwise specified, and to match and tie into the adjacent and surrounding existing surfaces.

3.15 CAST-IN-PLACE CONCRETE

Provide concrete in accordance with Section 03 30 00 CAST-IN-PLACE CONCRETE.

- 3.16 FIELD QUALITY CONTROL
- 3.16.1 Performance of Field Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.16.1.1 Low Voltage Cables, 600-Volt

Perform tests after installation of cable, splices and terminations and before terminating to equipment or splicing to existing circuits.

- a. Visual and Mechanical Inspection
  - (1) Inspect exposed cable sections for physical damage.
  - (2) Verify that cable is supplied and connected in accordance with contract plans and specifications.
  - (3) Verify tightness of accessible bolted electrical connections.
  - (4) Inspect compression-applied connectors for correct cable match and indentation.
  - (5) Visually inspect jacket and insulation condition.
  - (6) Inspect for proper phase identification and arrangement.

- b. Electrical Tests
  - Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 1000 volts dc for one minute.
  - (2) Perform continuity tests to insure correct cable connection.
- 3.16.1.2 Grounding System
  - a. Visual and mechanical inspection

Inspect ground system for compliance with contract plans and specifications.

b. Electrical tests

Perform ground-impedance measurements utilizing the fall-of-potential method in accordance with IEEE 81. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. The instrument must be equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test. Provide site diagram indicating location of test probes with associated distances, and provide a plot of resistance vs. distance.

# 3.16.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, the Contracting Officer must be given 5 working days advance notice of the dates and times of checking and testing.

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## SECTION 33 82 00

# TELECOMMUNICATIONS OUTSIDE PLANT (OSP) 04/06

#### PART 1 GENERAL

# 1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire	
ASTM B8	(2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft	
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials	
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3) (2700 kN-m/m3)	
INSTITUTE OF ELECTRICAL	AND ELECTRONICS ENGINEERS (IEEE)	
IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms	
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code	
INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)		
ICEA S-87-640	(2016) Optical Fiber Outside Plant Communications Cable; 4th Edition	
ICEA S-98-688	(2012) Broadband Twisted Pair Telecommunication Cable, Aircore, Polyolefin Insulated, Copper Conductors Technical Requirements	
ICEA S-99-689	(2012) Broadband Twisted Pair Telecommunication Cable Filled, Polyolefin Insulated, Copper Conductors Technical Requirements	
NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)		

ANSI C62.61 (1993) American National Standard for Gas Tube Surge Arresters on Wire Line Telephone Circuits

West Point, NY Lincoln Hall Revised RTA Submissi	on Contract #W912DS19C0031 1 March 2023		
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)			
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code		
SOCIETY FOR PROTECTIVE	SOCIETY FOR PROTECTIVE COATINGS (SSPC)		
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning		
TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)			
TIA-455-46A	(1990) FOTP-46 Spectral Attenuation Measurement for Long-Length, Graded-Index Optical Fibers		
TIA-455-78-B	(2002) FOTP-78 Optical Fibres - Part 1-40: Measurement Methods and Test Procedures - Attenuation		
TIA-455-107	(1999a) FOTP-107 Determination of Component Reflectance or Link/System Return Loss using a Loss Test Set		
TIA-472D000	(2007b) Fiber Optic Communications Cable for Outside Plant Use		
TIA-492AAAA	(2009b) 62.5-um Core Diameter/125-um Cladding Diameter Class 1a Graded-Index Multimode Optical Fibers		
ТІА-492АААВ	(2009a) 50-Um Core Diameter/125-Um Cladding Diameter Class IA Graded-Index Multimode Optical Fibers		
TIA-492CAAA	(1998; R 2002) Detail Specification for Class IVa Dispersion-Unshifted Single-Mode Optical Fibers		
TIA-526-7	(2015a) OFSTP-7 Measurement of Optical Power Loss of Installed Single-Mode Fiber Cable Plant		
TIA-526-14	(2015c) OFSTP-14A Optical Power Loss Measurements of Installed Multimode Fiber Cable Plant		
TIA-568-C.1	(2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard		
TIA-568-C.2	(2009; Errata 2010; Add 2 2014; Add 1 2016) Balanced Twisted-Pair Telecommunications Cabling and Components Standards		
TIA-568-C.3	(2008; Add 1 2011) Optical Fiber Cabling Components Standard		

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TIA-569	(2016d) Commercial Building Standard for Telecommunications Pathways and Spaces
TIA-606	(2017c) Administration Standard for the Telecommunications Infrastructure
TIA-607	(2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises
TIA-758	(2012b) Customer-Owned Outside Plant Telecommunications Infrastructure Standard
TIA/EIA-455	(1998b) Standard Test Procedure for Fiber Optic Fibers, Cables, Transducers, Sensors, Connecting and Terminating Devices, and Other Fiber Optic Components
TIA/EIA-455-204	(2000) Standard for Measurement of Bandwidth on Multimode Fiber
TIA/EIA-598	(2014D; Add 2 2018) Optical Fiber Cable Color Coding
U.S. DEPARTMENT OF AGRI	CULTURE (USDA)
RUS 1755	Telecommunications Standards and Specifications for Materials, Equipment and Construction
RUS Bull 345-65	(1985) Shield Bonding Connectors (PE-65)
RUS Bull 345-72	(1985) Filled Splice Closures (PE-74)
RUS Bull 345-83	(1979; Rev Oct 1982) Gas Tube Surge Arrestors (PE-80)
RUS Bull 1751F-630	(1996) Design of Aerial Plant
RUS Bull 1751F-640	(1995) Design of Buried Plant, Physical Considerations
RUS Bull 1751F-643	(2002) Underground Plant Design
RUS Bull 1751F-815	(1979) Electrical Protection of Outside Plant
RUS Bull 1753F-201	(1997) Acceptance Tests of Telecommunications Plant (PC-4)
RUS Bull 1753F-401	(1995) Splicing Copper and Fiber Optic Cables (PC-2)
UNDERWRITERS LABORATORI	ES (UL)
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables

UL 497	(2001; Reprint Jul 2013) Protectors for Paired Conductor Communication Circuits
UL 510	(2020) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape

#### 1.2 RELATED REQUIREMENTS

Section 27 10 00, BUILDING TELECOMMUNICATIONS CABLING SYSTEM and Section 33 71 02, UNDERGROUND ELECTRICAL DISTRIBUTION apply to this section with additions and modifications specified herein.

## 1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in this specification shall be as defined in TIA-568-C.1, TIA-568-C.2, TIA-568-C.3, TIA-569, TIA-606, and IEEE 100 and herein.

1.3.1 Campus Distributor (CD)

A distributor from which the campus backbone cabling emanates. (International expression for main cross-connect - (MC).)

1.3.2 Entrance Facility (EF) (Telecommunications)

An entrance to the building for both private and public network service cables (including antennae) including the entrance point at the building wall and continuing to the entrance room or space.

1.3.3 Entrance Room (ER) (Telecommunications)

A centralized space for telecommunications equipment that serves the occupants of a building. Equipment housed therein is considered distinct from a telecommunications room because of the nature of its complexity.

1.3.4 Building Distributor (BD)

A distributor in which the building backbone cables terminate and at which connections to the campus backbone cables may be made. (International expression for intermediate cross-connect - (IC).)

1.3.5 Pathway

A physical infrastructure utilized for the placement and routing of telecommunications cable.

### 1.4 SYSTEM DESCRIPTION

The telecommunications outside plant consists of cable, conduit, manholes, poles, etc. required to provide signal paths from the closest point of presence to the new facility, including free standing frames or backboards, interconnecting hardware, terminating cables, lightning and surge protection modules at the entrance facility. The work consists of providing, testing and making operational cabling, interconnecting hardware and lightning and surge protection necessary to form a complete outside plant telecommunications system for continuous use.

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Telecommunications Outside Plant; G

Telecommunications Entrance Facility Drawings; G

In addition to Section 01 33 00 SUBMITTAL PROCEDURES, provide shop drawings in accordance with paragraph SHOP DRAWINGS.

SD-03 Product Data

Wire and cable; G

Cable splices, and connectors; G

Closures; G

Building protector assemblies; G

Protector modules; G

Spare Parts; G

Submittals shall include the manufacturer's name, trade name, place of manufacture, and catalog model or number. Submittals shall also include applicable federal, military, industry, and technical society publication references. Should manufacturer's data require supplemental information for clarification, the supplemental information shall be submitted as specified in paragraph REGULATORY REQUIREMENTS and as required for certificates in Section 01 33 00 SUBMITTAL PROCEDURES.

#### SD-06 Test Reports

Pre-installation tests; G

Acceptance tests; G

Outside Plant Test Plan; G

# SD-07 Certificates

Telecommunications Contractor Qualifications; G

Key Personnel Qualifications; G

Minimum Manufacturer's Qualifications; G

SD-08 Manufacturer's Instructions

Building protector assembly installation; G

Cable tensions; G

Fiber Optic Splices; G

Submit instructions prior to installation.

SD-09 Manufacturer's Field Reports

Factory Reel Test Data; G

SD-10 Operation and Maintenance Data

Telecommunications outside plant (OSP), Data Package 5; G

Commercial off-the-shelf manuals shall be provided for operation, installation, configuration, and maintenance of products provided as a part of the telecommunications outside plant (OSP). Submit operations and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein not later than 2 months prior to the date of beneficial occupancy. In addition to requirements of Data package 5, include the requirements of paragraphs TELECOMMUNICATIONS OUTSIDE PLANT SHOP DRAWINGS and TELECOMMUNICATIONS ENTRANCE FACILITY DRAWINGS.

SD-11 Closeout Submittals

Record Documentation; G

In addition to other requirements, provide in accordance with paragraph RECORD DOCUMENTATION.

#### 1.6 QUALITY ASSURANCE

1.6.1 Shop Drawings

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices. Submittals shall include the nameplate data, size, and capacity. Submittals shall also include applicable federal, military, industry, and technical society publication references.

#### 1.6.1.1 Telecommunications Outside Plant Shop Drawings

Provide Outside Plant Design in accordance with TIA-758, RUS Bull 1751F-630 for aerial system design, and RUS Bull 1751F-643 for underground system design. Provide T0 shop drawings that show the physical and logical connections from the perspective of an entire campus, such as actual building locations, exterior pathways and campus backbone cabling on plan view drawings, major system nodes, and related connections on the logical system drawings in accordance with TIA-606. Drawings shall include wiring and schematic diagrams for fiber optic and copper cabling and splices,

copper conductor gauge and pair count, fiber pair count and type, pathway duct and innerduct arrangement, associated construction materials, and any details required to demonstrate that cable system has been coordinated and will properly support the switching and transmission system identified in specification and drawings. Provide Registered Communications Distribution Designer (RCDD) approved drawings of the telecommunications outside plant. Update existing telecommunication Outside Plant T0 drawings to include information modified, deleted or added as a result of this installation in accordance with TIA-606. The telecommunications outside plant (OSP) shop drawings shall be included in the operation and maintenance manuals.

# 1.6.1.2 Telecommunications Entrance Facility Drawings

Provide T3 drawings for EF Telecommunications as specified in the paragraph TELECOMMUNICATIONS SPACE DRAWINGS of Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEMS. The telecommunications entrance facility shop drawings shall be included in the operation and maintenance manuals.

# 1.6.2 Telecommunications Qualifications

Work under this section shall be performed by and the equipment shall be provided by the approved telecommunications contractor and key personnel. Qualifications shall be provided for: the telecommunications system contractor, the telecommunications system installer, the supervisor (if different from the installer), and the cable splicing and terminating personnel. A minimum of 30 days prior to installation, submit documentation of the experience of the telecommunications contractor and of the key personnel.

# 1.6.2.1 Telecommunications Contractor Qualifications

The telecommunications contractor shall be a firm which is regularly and professionally engaged in the business of the applications, installation, and testing of the specified telecommunications systems and equipment. The telecommunications contractor shall demonstrate experience in providing successful telecommunications systems that include outside plant and broadband cabling within the past 3 years. Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for the telecommunications contractor. Each of the key personnel shall demonstrate experience in providing successful telecommunications systems in accordance with TIA-758 within the past 3 years.

# 1.6.2.2 Key Personnel Qualifications

Provide key personnel who are regularly and professionally engaged in the business of the application, installation and testing of the specified telecommunications systems and equipment. There may be one key person or more key persons proposed for this solicitation depending upon how many of the key roles each has successfully provided. Each of the key personnel shall demonstrate experience in providing successful telecommunications systems within the past 3 years.

Cable splicing and terminating personnel assigned to the installation of this system or any of its components shall have training in the proper techniques and have a minimum of 3 years experience in splicing and terminating the specified cables. Modular splices shall be performed by

factory certified personnel or under direct supervision of factory trained personnel for products used.

Supervisors and installers assigned to the installation of this system or any of its components shall have factory or factory approved certification from each equipment manufacturer indicating that they are qualified to install and test the provided products.

Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for each of the key personnel. Documentation for each key person shall include at least two successful system installations provided that are equivalent in system size and in construction complexity to the telecommunications system proposed for this solicitation. Include specific experience in installing and testing telecommunications outside plant systems, including broadband cabling, and provide the names and locations of at least two project installations successfully completed using optical fiber and copper telecommunications cabling systems. All of the existing telecommunications system installations offered by the key persons as successful experience shall have been in successful full-time service for at least 18 months prior to the issuance date for this solicitation. Provide the name and role of the key person, the title, location, and completed installation date of the referenced project, the referenced project owner point of contact information including name, organization, title, and telephone number, and generally, the referenced project description including system size and construction complexity.

Indicate that all key persons are currently employed by the telecommunications contractor, or have a commitment to the telecommunications contractor to work on this project. All key persons shall be employed by the telecommunications contractor at the date of issuance of this solicitation, or if not, have a commitment to the telecommunications contractor to work on this project by the date that the bid was due to the Contracting Officer.

Note that only the key personnel approved by the Contracting Officer in the successful proposal shall do work on this solicitation's telecommunications system. Key personnel shall function in the same roles in this contract, as they functioned in the offered successful experience. Any substitutions for the telecommunications contractor's key personnel requires approval from The Contracting Officer.

# 1.6.2.3 Minimum Manufacturer's Qualifications

Cabling, equipment and hardware manufacturers shall have a minimum of 3 years experience in the manufacturing, assembly, and factory testing of components which comply with, TIA-568-C.1, TIA-568-C.2 and TIA-568-C.3. In addition, cabling manufacturers shall have a minimum of 3 years experience in the manufacturing and factory testing of cabling which comply with ICEA S-87-640, ICEA S-98-688, and ICEA S-99-689.

# 1.6.3 Outside Plant Test Plan

Prepare and provide a complete and detailed test plan for field tests of the outside plant including a complete list of test equipment for the copper conductor and optical fiber cables, components, and accessories for approval by the Contracting Officer. Include a cut-over plan with procedures and schedules for relocation of facility station numbers without interrupting service to any active location. Submit the plan at

least 30 days prior to tests for Contracting Officer approval. Provide outside plant testing and performance measurement criteria in accordance with TIA-568-C.1 and RUS Bull 1753F-201. Include procedures for certification, validation, and testing that includes fiber optic link performance criteria.

# 1.6.4 Standard Products

Provide materials and equipment that are standard products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and shall be the manufacturer's latest standard design that has been in satisfactory commercial or industrial use for at least 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Products supplied shall be specifically designed and manufactured for use with outside plant telecommunications systems. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

# 1.6.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is provided.

# 1.6.4.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

# 1.6.5 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

#### 1.6.5.1 Independent Testing Organization Certificate

In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

# 1.7 DELIVERY, STORAGE, AND HANDLING

Ship cable on reels in 500 feet length with a minimum overage of 10 percent. Radius of the reel drum shall not be smaller than the minimum

bend radius of the cable. Wind cable on the reel so that unwinding can be done without kinking the cable. Two meters of cable at both ends of the cable shall be accessible for testing. Attach permanent label on each reel showing length, cable identification number, cable size, cable type, and date of manufacture. Provide water resistant label and the indelible writing on the labels. Apply end seals to each end of the cables to prevent moisture from entering the cable. Reels with cable shall be suitable for outside storage conditions when temperature ranges from minus 40 degrees C to plus 65 degrees C, with relative humidity from 0 to 100 percent. Equipment, other than cable, delivered and placed in storage shall be stored with protection from weather, humidity and temperature variation, dirt and dust, or other contaminants in accordance with manufacturer's requirements.

#### 1.8 MAINTENANCE

1.8.1 Record Documentation

Provide the activity responsible for telecommunications system maintenance and administration a single complete and accurate set of record documentation for the entire telecommunications system with respect to this project.

Provide T5 drawings including documentation on cables and termination hardware in accordance with TIA-606. T5 drawings shall include schedules to show information for cut-overs and cable plant management, patch panel layouts, cross-connect information and connecting terminal layout as a minimum. T5 drawings shall be provided in hard copy format. Update existing record documentation to reflect campus distribution T0 drawings and T3 drawing schedule information modified, deleted or added as a result of this installation. Provide the following T5 drawing documentation as a minimum:

- a. Cables A record of installed cable shall be provided in accordance with TIA-606. The cable records shall include the required data fields for each cable and complete end-to-end circuit report for each complete circuit from the assigned outlet to the entry facility in accordance with TIA-606. Include manufacture date of cable with submittal.
- b. Termination Hardware Provide a record of installed patch panels, cross-connect points, campus distributor and terminating block arrangements and type in accordance with TIA-606. Documentation shall include the required data fields as a minimum in accordance with TIA-606.

#### 1.8.2 Spare Parts

In addition to the requirements of Section 01 78 23 OPERATION AND MAINTENANCE DATA, provide a complete list of parts and supplies, with current unit prices and source of supply, and a list of spare parts recommended for stocking. Spare parts shall be provided no later than the start of field testing.

# 1.9 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

# PART 2 PRODUCTS

# 2.1 MATERIALS AND EQUIPMENT

Products supplied shall be specifically designed and manufactured for use with outside plant telecommunications systems.

# 2.2 TELECOMMUNICATIONS ENTRANCE FACILITY

#### 2.2.1 Building Protector Assemblies

Provide self-contained 5 pin unit supplied with a field cable stub factory connected to protector socket blocks to terminate and accept protector modules for indicated pairs of outside cable. Building protector assembly shall have interconnecting hardware for connection to interior cabling at full capacity. The building entrance protector must be equipped with 110 connectors, input/output cover, splice chamber, 16 AWG powder coated steel construction and an internal 26 AWG fuse link. Provide manufacturers instructions for building protector assembly installation. Provide copper cable interconnecting hardware as specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

# 2.2.2 Protector Modules

Provide in accordance with UL 497 three-electrode gas tube or solid state type 5 pin rated for the application. Provide gas tube protection modules in accordance with RUS Bull 345-83 and shall be heavy duty, A>10kA, B>400, C>65A where A is the maximum single impulse discharge current, B is the impulse life and C is the AC discharge current in accordance with ANSI C62.61. The gas modules shall shunt high voltage to ground, fail short, and be equipped with an external spark gap and heat coils in accordance with UL 497. Provide the number of surge protection modules equal to the number of pairs of exterior cable of the building protector assembly.

## 2.2.3 Fiber Optic Terminations

Provide fiber optic cable terminations as specified in 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

## 2.3 CLOSURES

#### 2.3.1 Copper Conductor Closures

#### 2.3.1.1 Underground Cable Closures

a. In vault or manhole: Provide underground closure suitable to house a straight, butt, and branch splice in a protective housing into which can be poured an encapsulating compound. Closure shall be of suitable thermoplastic, thermoset, or stainless steel material supplying structural strength necessary to pass the mechanical and electrical requirements in a vault or manhole environment. Encapsulating compound shall be reenterable and shall not alter the chemical stability of the closure. Provide filled splice cases in accordance with RUS Bull 345-72.

# 2.3.2 Fiber Optic Closures

# 2.3.2.1 In Vault or Manhole

Provide underground closure suitable to house splice organizer in a protective housing into which can be poured an encapsulating compound. Closure shall be of thermoplastic, thermoset, or stainless steel material supplying structural strength necessary to pass the mechanical and electrical requirements in a vault or manhole environment. Encapsulating compound shall be reenterable and shall not alter the chemical stability of the closure.

# 2.4 CABLE SPLICES, AND CONNECTORS

#### 2.4.1 Copper Cable Splices

Provide multipair, foldback splices of a moisture resistant, two-wire insulation displacement connector held rigidly in place to assure maximum continuity in accordance with RUS Bull 1753F-401. Cables greater than 25 pairs shall be spliced using multipair splicing connectors, which accommodate 25 pairs of conductors at a time. Provide correct connector size to accommodate the cable gauge of the supplied cable.

#### 2.4.2 Copper Cable Splice Connector

Provide splice connectors with a polycarbonate body and cap and a tin-plated brass contact element. Connector shall accommodate 22 to 26 AWG solid wire with a maximum insulation diameter of 0.065 inch. Fill connector with sealant grease to make a moisture resistant connection, in accordance with RUS Bull 1753F-401.

#### 2.4.3 Fiber Optic Cable Splices

Provide fiber optic cable splices and splicing materials for fusion methods at locations shown on the construction drawings. The splice insertion loss shall be 0.3 dB maximum when measured in accordance with TIA-455-78-B using an Optical Time Domain Reflectometer (OTDR). Splices shall be designed for a return loss of 40.0 db max for single mode fiber when tested in accordance with TIA-455-107. Physically protect each fiber optic splice by a splice kit specially designed for the splice.

#### 2.4.4 Fiber Optic Splice Organizer

Provide splice organizer suitable for housing fiber optic splices in a neat and orderly fashion. Splice organizer shall allow for a minimum of 3 feet of fiber for each fiber within the cable to be neatly stored without kinks or twists. Splice organizer shall accommodate individual strain relief for each splice and allow for future maintenance or modification, without damage to the cable or splices. Provide splice organizer hardware, such as splice trays, protective glass shelves, and shield bond connectors in a splice organizer kit.

#### 2.4.5 Shield Connectors

Provide connectors with a stable, low-impedance electrical connection between the cable shield and the bonding conductor in accordance with RUS Bull 345-65.

2.5 CONDUIT

Provide conduit as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

2.6 PLASTIC INSULATING TAPE

UL 510.

- 2.7 WIRE AND CABLE
- 2.7.1 Copper Conductor Cable

Solid copper conductors, covered with an extruded solid insulating compound. Insulated conductors shall be twisted into pairs which are then stranded or oscillated to form a cylindrical core. For special high frequency applications, the cable core shall be separated into compartments. Cable shall be completed by the application of a suitable core wrapping material, a corrugated copper or plastic coated aluminum shield, and an overall extruded jacket. Telecommunications contractor shall verify distances between splice points prior to ordering cable in specific cut lengths. Gauge of conductor shall determine the range of numbers of pairs specified; 19 gauge (6 to 400 pairs), 22 gauge (6 to 1200 pairs), 24 gauge (6 to 2100 pairs), and 26 gauge (6 to 3000 pairs). Copper conductor shall conform to the following:

2.7.1.1 Underground

Provide filled cable meeting the requirements of ICEA S-99-689 and RUS 1755.390.

2.7.1.2 Screen

Provide screen-compartmental core cable filled cable meeting the requirements of ICEA S-99-689 and RUS 1755.390.

2.7.2 Fiber Optic Cable

Provide single-mode, 8/125-um, 0.10 aperture 1310 nm fiber optic cable in accordance with TIA-492CAAA and multimode 62.5/125-um, 0.275 aperture fiber optic cable in accordance with TIA-492AAAA multimode 50/125-um, 0.275 aperture fiber optic cable in accordance with TIA-492AAAB, TIA-472D000, and ICEA S-87-640 including any special requirements made necessary by a specialized design. Provide optical fibers as indicated. Fiber optic cable shall be specifically designed for outside use with loose buffer construction. Provide fiber optic color code in accordance with TIA/EIA-598

### 2.7.2.1 Strength Members

Provide central, non-metallic strength members with sufficient tensile strength for installation and residual rated loads to meet the applicable performance requirements in accordance with ICEA S-87-640. The strength member is included to serve as a cable core foundation to reduce strain on the fibers, and shall not serve as a pulling strength member.

# 2.7.2.2 Performance Requirements

Provide fiber optic cable with optical and mechanical performance

requirements in accordance with ICEA S-87-640.

# 2.7.3 Grounding and Bonding Conductors

Provide grounding and bonding conductors in accordance with RUS 1755.200, TIA-607, IEEE C2, and NFPA 70. Solid bare copper wire meeting the requirements of ASTM B1 for sizes No. 8 AWG and smaller and stranded bare copper wire meeting the requirements of ASTM B8, for sizes No. 6 AWG and larger. Insulated conductors shall have 600-volt, Type TW insulation meeting the requirements of UL 83.

## 2.8 CABLE TAGS IN MANHOLES, HANDHOLES, AND VAULTS

Provide tags for each telecommunications cable or wire located in manholes, handholes, and vaults. Cable tags shall be polyethylene and labeled in accordance with TIA-606. Handwritten labeling is unacceptable.

#### 2.8.1 Polyethylene Cable Tags

Provide tags of polyethylene that have an average tensile strength of 3250 pounds per square inch; and that are 0.08 inch thick (minimum), non-corrosive non-conductive; resistive to acids, alkalis, organic solvents, and salt water; and distortion resistant to 170 degrees F. Provide 0.05 inch (minimum) thick black polyethylene tag holder. Provide a one-piece nylon, self-locking tie at each end of the cable tag. Ties shall have a minimum loop tensile strength of 175 pounds. The cable tags shall have black block letters, numbers, and symbols one inch high on a yellow background. Letters, numbers, and symbols shall not fall off or change positions regardless of the cable tags' orientation.

### 2.9 GROUNDING BRAID

Provide grounding braid that provides low electrical impedance connections for dependable shield bonding in accordance with RUS 1755.200. Braid shall be made from flat tin-plated copper.

## 2.10 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

# 2.11 FIELD FABRICATED NAMEPLATES

Provide laminated plastic nameplates in accordance with ASTM D709 for each patch panel, protector assembly, rack, cabinet and other equipment or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inch thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inch high normal block style.

# 2.12 TESTS, INSPECTIONS, AND VERIFICATIONS

#### 2.12.1 Factory Reel Test Data

Test 100 percent OTDR test of FO media at the factory in accordance with

TIA-568-C.1 and TIA-568-C.3. Use TIA-526-7 for single mode fiber and TIA-526-14 Method B for multi mode fiber measurements. Calibrate OTDR to show anomalies of 0.2 dB minimum. Enhanced performance filled OSP copper cables, referred to as Broadband Outside Plant (BBOSP), shall meet the requirements of ICEA S-99-689. Enhanced performance air core OSP copper cables shall meet the requirements of ICEA S-98-688. Submit test reports, including manufacture date for each cable reel and receive approval before delivery of cable to the project site.

## PART 3 EXECUTION

#### 3.1 INSTALLATION

Install all system components and appurtenances in accordance with manufacturer's instructions IEEE C2, NFPA 70, and as indicated. Provide all necessary interconnections, services, and adjustments required for a complete and operable telecommunications system.

# 3.1.1 Contractor Damage

Promptly repair indicated utility lines or systems damaged during site preparation and construction. Damages to lines or systems not indicated, which are caused by Contractor operations, shall be treated as "Changes" under the terms of the Contract Clauses. When Contractor is advised in writing of the location of a nonindicated line or system, such notice shall provide that portion of the line or system with "indicated" status in determining liability for damages. In every event, immediately notify the Contracting Officer of damage.

## 3.1.2 Cable Inspection and Repair

Handle cable and wire provided in the construction of this project with care. Inspect cable reels for cuts, nicks or other damage. Damaged cable shall be replaced or repaired to the satisfaction of the Contracting Officer. Reel wraps shall remain intact on the reel until the cable is ready for placement.

## 3.1.3 Direct Burial System

Installation shall be in accordance with RUS Bull 1751F-640. Under railroad tracks, paved areas, and roadways install cable in conduit encased in concrete. Slope ducts to drain. Excavate trenches by hand or mechanical trenching equipment. Provide a minimum cable cover of 24 inches below finished grade. Trenches shall be not less than 6 inches wide and in straight lines between cable markers. Do not use cable plows. Bends in trenches shall have a radius of not less than 36 inches. Where two or more cables are laid parallel in the same trench, space laterally at least 3 inches apart. When rock is encountered, remove it to a depth of at least 3 inches below the cable and fill the space with sand or clean earth free from particles larger than 1/4 inch. Do not unreel and pull cables into the trench from one end. Cable may be unreeled on grade and lifted into position. Provide color, type and depth of warning tape as specified in paragraph BURIED WARNING AND IDENTIFICATION TAPE in Section 31 00 00 EARTHWORK.

#### 3.1.3.1 Cable Placement

a. Separate cables crossing other cables or metal piping from the other cables or pipe by not less than 3 inches of well tamped earth. Do not

install circuits for communications under or above traffic signal loops.

- b. Cables shall be in one piece without splices between connections except where the distance exceeds the lengths in which the cable is furnished.
- c. Avoid bends in cables of small radii and twists that might cause damage. Do not bend cable and wire in a radius less than 10 times the outside diameter of the cable or wire.
- d. Leave a horizontal slack of approximately 3 feet in the ground on each end of cable runs, on each side of connection boxes, and at points where connections are brought aboveground. Where cable is brought aboveground, leave additional slack to make necessary connections.

#### 3.1.3.2 Backfill for Rocky Soil

When placing cable in a trench in rocky soil, the cable shall be cushioned by a fill of sand or selected soil at least 2 inches thick on the floor of the trench before placing the cable or wire. The backfill for at least 4 inches above the wire or cable shall be free from stones, rocks, or other hard or sharp materials which might damage the cable or wire. If the buried cable is placed less than 24 inches in depth.

#### 3.1.4 Cable Protection

Provide direct burial cable protection in accordance with NFPA 70 and as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Galvanized conduits which penetrate concrete (slabs, pavement, and walls) shall be PVC coated and shall extend from the first coupling or fitting outside either side of the concrete minimum of 6 inches per 12 inches burial depth beyond the edge of the surface where cable protection is required; all conduits shall be sealed on each end. Where additional protection is required, cable may be placed in galvanized iron pipe (GIP) sized on a maximum fill of 40 percent of cross-sectional area, or in concrete encased 4 inches PVC pipe. Conduit may be installed by jacking or trenching. Trenches shall be backfilled with earth and mechanically tamped at 6 inches lift so that the earth is restored to the same density, grade and vegetation as adjacent undisturbed material.

#### 3.1.4.1 Cable End Caps

Cable ends shall be sealed at all times with coated heat shrinkable end caps. Cables ends shall be sealed when the cable is delivered to the job site, while the cable is stored and during installation of the cable. The caps shall remain in place until the cable is spliced or terminated. Sealing compounds and tape are not acceptable substitutes for heat shrinkable end caps. Cable which is not sealed in the specified manner at all times will be rejected.

#### 3.1.5 Underground Duct

Provide underground duct and connections to existing manholes, and existing ducts as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION with any additional requirements as specified herein.

## 3.1.6 Reconditioning of Surfaces

Provide reconditioning of surfaces as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

## 3.1.7 Penetrations

Caulk and seal cable access penetrations in walls, ceilings and other parts of the building. Seal openings around electrical penetrations through fire resistance-rated wall, partitions, floors, or ceilings in accordance with Section 07 84 00 FIRESTOPPING.

# 3.1.8 Cable Pulling

Test duct lines with a mandrel and swab out to remove foreign material before the pulling of cables. Avoid damage to cables in setting up pulling apparatus or in placing tools or hardware. Do not step on cables when entering or leaving the manhole. Do not place cables in ducts other than those shown without prior written approval of the Contracting Officer. Roll cable reels in the direction indicated by the arrows painted on the reel flanges. Set up cable reels on the same side of the manhole as the conduit section in which the cable is to be placed. Level the reel and bring into proper alignment with the conduit section so that the cable pays off from the top of the reel in a long smooth bend into the duct without twisting. Under no circumstances shall the cable be paid off from the bottom of a reel. Check the equipment set up prior to beginning the cable pulling to avoid an interruption once pulling has started. Use a cable feeder guide of suitable dimensions between cable reel and face of duct to protect cable and guide cable into the duct as it is paid off the reel. As cable is paid off the reel, lubricate and inspect cable for sheath defects. When defects are noticed, stop pulling operations and notify the Contracting Officer to determine required corrective action. Cable pulling shall also be stopped when reel binds or does not pay off freely. Rectify cause of binding before resuming pulling operations. Provide cable lubricants recommended by the cable manufacturer. Avoid bends in cables of small radii and twists that might cause damage. Do not bend cable and wire in a radius less than 10 times the outside diameter of the cable or wire.

# 3.1.8.1 Cable Tensions

Obtain from the cable manufacturer and provide to the Contracting Officer, the maximum allowable pulling tension. This tension shall not be exceeded.

# 3.1.8.2 Pulling Eyes

Equip cables 1.25 inches in diameter and larger with cable manufacturer's factory installed pulling-in eyes. Provide cables with diameter smaller than 1.25 inches with heat shrinkable type end caps or seals on cable ends when using cable pulling grips. Rings to prevent grip from slipping shall not be beaten into the cable sheath. Use a swivel of 3/4 inch links between pulling-in eyes or grips and pulling strand.

# 3.1.8.3 Installation of Cables in Manholes, Handholes, and Vaults

Do not install cables utilizing the shortest route, but route along those walls providing the longest route and the maximum spare cable lengths. Form cables to closely parallel walls, not to interfere with duct entrances, and support cables on brackets and cable insulators at a

maximum of 4 feet. In existing manholes, handholes, and vaults where new ducts are to be terminated, or where new cables are to be installed, modify the existing installation of cables, cable supports, and grounding as required with cables arranged and supported as specified for new cables. Identify each cable with corrosion-resistant embossed metal tags.

#### 3.1.9 Cable Splicing

# 3.1.9.1 Copper Conductor Splices

Perform splicing in accordance with requirements of RUS Bull 1753F-401 except that direct buried splices and twisted and soldered splices are not allowed. Exception does not apply for pairs assigned for carrier application.

#### 3.1.9.2 Fiber Optic Splices

Fiber optic splicing shall be in accordance with manufacturer's recommendation and shall exhibit an insertion loss not greater than 0.2 dB for fusion splices.

#### 3.1.10 Surge Protection

All cables and conductors, except fiber optic cable, which serve as communication lines through off-premise lines, shall have surge protection installed at each end which meet the requirements of RUS Bull 1751F-815.

# 3.1.11 Grounding

Provide grounding and bonding in accordance with RUS 1755.200, TIA-607, IEEE C2, and NFPA 70. Ground exposed noncurrent carrying metallic parts of telephone equipment, cable sheaths, cable splices, and terminals.

#### 3.1.11.1 Incoming Cable Shields

Shields shall not be bonded across the splice to the cable stubs. Ground shields of incoming cables in the EF Telecommunications to the PBB.

- 3.1.11.2 Campus Distributor Grounding
  - a. Protection assemblies: Mount CD protector assemblies directly on the telecommunications backboard. Connect assemblies mounted on each vertical frame with No. 6 AWG copper conductor to provide a low resistance path to TMGB.
  - b. PBB connection: Connect PBB to SBB with copper conductor with a total resistance of less than 0.01 ohms.

## 3.1.12 Cut-Over

All necessary transfers and cut-overs, shall be accomplished by the telecommunications contractor.

- 3.2 LABELING
- 3.2.1 Labels

Provide labeling for new cabling and termination hardware located within the facility in accordance with TIA-606. Handwritten labeling is

unacceptable. Stenciled lettering for cable and termination hardware shall be provided using thermal ink transfer process.

# 3.2.2 Cable Tag Installation

Install cable tags for each telecommunications cable or wire located in manholes, handholes, and vaults including each splice. Tag new wire and cable provided under this contract and existing wire and cable which are indicated to have splices and terminations provided by this contract. The labeling of telecommunications cable tag identifiers shall be as indicated in accordance with TIA-606. Do not provide handwritten letters. Install cable tags so that they are clearly visible without disturbing any cabling or wiring in the manholes, handholes, and vaults.

# 3.2.3 Termination Hardware

Label patch panels, distribution panels, connector blocks and protection modules using color coded labels with identifiers in accordance with TIA-606.

# 3.3 FIELD APPLIED PAINTING

Provide ferrous metallic enclosure finishes in accordance with the following procedures. Ensure that surfaces are dry and clean when the coating is applied. Coat joints and crevices. Prior to assembly, paint surfaces which will be concealed or inaccessible after assembly. Apply primer and finish coat in accordance with the manufacturer's recommendations.

#### 3.3.1 Cleaning

Clean surfaces in accordance with SSPC SP 6/NACE No.3.

## 3.3.2 Priming

Prime with a two component polyamide epoxy primer which has a bisphenol-A base, a minimum of 60 percent solids by volume, and an ability to build up a minimum dry film thickness on a vertical surface of 5.0 mils. Apply in two coats to a total dry film thickness of 5 to 8 mils.

#### 3.3.3 Finish Coat

Finish with a two component urethane consisting of saturated polyester polyol resin mixed with aliphatic isocyanate which has a minimum of 50 percent solids by volume. Apply to a minimum dry film thickness of 2 to 3 mils. Color shall be the manufacturer's standard.

#### 3.4 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

#### 3.5 FIELD QUALITY CONTROL

Provide the Contracting Officer 10 working days notice prior to each test. Provide labor, equipment, and incidentals required for testing. Correct defective material and workmanship disclosed as the results of the tests. Furnish a signed copy of the test results to the Contracting

Officer within 3 working days after the tests for each segment of construction are completed. Perform testing as construction progresses and do not wait until all construction is complete before starting field tests.

## 3.5.1 Pre-Installation Tests

Perform the following tests on cable at the job site before it is removed from the cable reel. For cables with factory installed pulling eyes, these tests shall be performed at the factory and certified test results shall accompany the cable.

# 3.5.1.1 Cable Capacitance

Perform capacitance tests on at least 10 percent of the pairs within a cable to determine if cable capacitance is within the limits specified.

## 3.5.1.2 Loop Resistance

Perform DC-loop resistance on at least 10 percent of the pairs within a cable to determine if DC-loop resistance is within the manufacturer's calculated resistance.

## 3.5.1.3 Pre-Installation Test Results

Provide results of pre-installation tests to the Contracting Officer at least 5 working days before installation is to start. Results shall indicate reel number of the cable, manufacturer, size of cable, pairs tested, and recorded readings. When pre-installation tests indicate that cable does not meet specifications, remove cable from the job site.

#### 3.5.2 Acceptance Tests

Perform acceptance testing in accordance with RUS Bull 1753F-201 and as further specified in this section. Provide personnel, equipment, instrumentation, and supplies necessary to perform required testing. Notification of any planned testing shall be given to the Contracting Officer at least 14 days prior to any test unless specified otherwise. Testing shall not proceed until after the Contractor has received written Contracting Officer's approval of the test plans as specified. Test plans shall define the tests required to ensure that the system meets technical, operational, and performance specifications. The test plans shall define milestones for the tests, equipment, personnel, facilities, and supplies required. The test plans shall identify the capabilities and functions to be tested. Provide test reports in booklet form showing all field tests performed, upon completion and testing of the installed system. Measurements shall be tabulated on a pair by pair or strand by strand basis.

#### 3.5.2.1 Copper Conductor Cable

Perform the following acceptance tests in accordance with TIA-758:

- a. Wire map (pin to pin continuity)
- b. Continuity to remote end
- c. Crossed pairs

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- d. Reversed pairs
- e. Split pairs
- f. Shorts between two or more conductors

3.5.2.2 Fiber Optic Cable

Test fiber optic cable in accordance with TIA/EIA-455 and as further specified in this section. Two optical tests shall be performed on all optical fibers: Optical Time Domain Reflectometry (OTDR) Test, and Attenuation Test. In addition, a Bandwidth Test shall be performed on all multimode optical fibers. These tests shall be performed on the completed end-to-end spans which include the near-end pre-connectorized single fiber cable assembly, outside plant as specified, and the far-end pre-connectorized single fiber cable assembly.

- a. OTDR Test: The OTDR test shall be used to determine the adequacy of the cable installations by showing any irregularities, such as discontinuities, micro-bendings or improper splices for the cable span under test. Hard copy fiber signature records shall be obtained from the OTDR for each fiber in each span and shall be included in the test results. The OTDR test shall be measured in both directions. A reference length of fiber, 66 feet minimum, used as the delay line shall be placed before the new end connector and after the far end patch panel connectors for inspection of connector signature. Conduct OTDR test and provide calculation or interpretation of results in accordance with TIA-526-7 for single-mode fiber and TIA-526-14 for multimode fiber. Splice losses shall not exceed 0.3 db.
- b. Attenuation Test: End-to-end attenuation measurements shall be made on all fibers, in both directions, using a 850 or 1310 nanometer light source at one end and the optical power meter on the other end to verify that the cable system attenuation requirements are met in accordance with TIA-455-46A for multimode and TIA-526-7 for single-mode fiber optic cables. The measurement method shall be in accordance with TIA-455-78-B. Attenuation losses shall not exceed 0.5 db/km at 1310 nm and 1550 nm for single-mode fiber. Attenuation losses shall not exceed 5.0 db/km at 850 nm and 1.5 db/km at 1300 nm for multimode fiber.
- c. Bandwidth Test: The end-to-end bandwidth of all multimode fiber span links shall be measured by the frequency domain method. The bandwidth shall be measured in both directions on all fibers. The bandwidth measurements shall be in accordance with TIA/EIA-455-204.

#### 3.5.3 Soil Density Tests

- a. Determine soil-density relationships for compaction of backfill material in accordance with ASTM D1557, Method D.
- b. Determine soil-density relationships as specified for soil tests in Section 31 00 00 EARTHWORK.

-- End of Section --

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# APPENDIX A – AMERICAN WATER MILITARY SERVICES SPECIFICATIONS

#### SECTION 31 23 23

# UTILITY BACKFILL MATERIALS 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



#### SECTION 31 23 23

#### UTILITY BACKFILL MATERIALS

#### PART 1: GENERAL

- 1.01 SECTION INCLUDES
  - A. Material Classifications
  - B. Utility Backfill Materials:
    - 1. Concrete sand
    - 2. Gem sand
    - 3. Pea gravel
    - 4. Crushed stone
    - 5. Crushed concrete
    - 6. Bank run sand
    - 7. Select backfill
    - 8. Random backfill
  - C. Material Handling and Quality Control Requirements.

#### 1.02 DEFINITIONS

- A. Unsuitable Material:
  - 1. Materials classified as ML, CL-ML, MH, PT, OH, and OL according to ASTM D2487.
  - 2. Materials that cannot be compacted to required density due to gradation, plasticity, or moisture content.
  - 3. Materials containing large clods, aggregates, or stones greater than 4 inches in any dimension; debris, vegetation, or waste; or any other deleterious materials.
  - 4. Materials contaminated with hydrocarbons or other chemical contaminants.



- B. Suitable Material:
  - 1. Materials meeting specification requirements.
  - 2. Unsuitable materials meeting specification requirements for suitable soils after treatment with lime or cement.
- C. Foundation Backfill Materials: Natural soil or manufactured aggregate meeting Class I requirements and geotextile filter fabrics as required, to control drainage and material separation. Foundation backfill material is placed and compacted as backfill where needed to provide stable support for structure foundation base. Foundation backfill materials may include concrete fill and seal slabs.
- D. Foundation Base: Crushed stone aggregate with filter fabric (if required by the project). Substitutions may be approved by AW Project Manager on a case by case basis.
- E. Backfill Material: Classified soil material meeting specified quality requirements for designated application as embedment or trench zone backfill.
- F. Embedment Material: Material as specified herein and as shown on construction details; unless otherwise approved in advance by AW. Bedding materials shall be placed under controlled conditions within embedment zone extending vertically upward from top of foundation to an elevation 12 inches above top of pipe, and including pipe bedding, haunching and initial backfill.
- G. Trench Zone Backfill: Classified soil material meeting specified quality requirements and placed under controlled conditions in trench zone from top of embedment zone to base course in paved areas or to surface grading material in unpaved areas.
- H. Foundation: Either suitable soil of trench bottom or material placed as backfill of over excavation for removal and replacement of unsuitable or otherwise unstable soils.
- I. Source: Source selected by Contractor for supply of embedment or trench zone backfill material. Selected source may be project excavation, off-site borrow pits, commercial borrow pits, or sand and aggregate production or manufacturing plants.
- J. Refer to Excavation Backfill and Compaction Section for other definitions regarding utility installation by trench construction.

#### 1.03 SUBMITTALS

- A. Conform to requirements of Section Submittal Procedures.
- B. Submit description of source, material classification and product description, production method, and application of backfill materials.



- C. Submit test results for samples of off-site backfill materials. Comply with Paragraph 2.03, Material Testing.
- D. Before stockpiling materials, submit copy of approval from landowner for stockpiling backfill material on private property.
- E. Provide delivery ticket which includes source location for each delivery of material that is obtained from off site sources or is being paid as specific bid item.

#### 1.04 TESTS

- A. Perform tests of sources for backfill material in accordance with Paragraph 2.03B.
- B. Verification tests of backfill materials may be performed by AW in accordance with Paragraph 3.03.

#### PART 2: PRODUCTS

- 2.01 MATERIAL CLASSIFICATIONS
  - A. Classify materials for backfill for purpose of quality control in accordance with Unified Soil Classification Symbols as defined in ASTM D2487. Material use and application is defined in utility installation specifications and Drawings either by class, as described in Paragraph 2.01 B, or by product descriptions, as given in Paragraph 2.02.
  - B. Class Designations Based on Laboratory Testing:
    - 1. Class I: Well-graded gravels and sands, gravel-sand mixtures, crushed well-graded rock, little or no fines (GW, SW):
      - a. Plasticity index: non-plastic.
      - b. Gradation: D60/D10 greater than 4 percent; amount passing No. 200 sieve less than or equal to 5 percent.
    - 2. Class II: Poorly graded gravels and sands, silty gravels and sands, little to moderate fines (GM, GP, SP, SM):
      - a. Plasticity index: non-plastic to 4.
      - b. Gradations:
        - (1) Gradation (GP, SP): amount passing No. 200 sieve less than 5 percent.

- (2) Gradation (GM, SM): amount passing No. 200 sieve between 12 percent and 50 percent.
- (3) Borderline gradations with dual classifications (e.g., SP-SM): amount passing No. 200 sieve - between 5 percent and 12 percent.
- 3. Class III: Clayey gravels and sands, poorly graded mixtures of gravel, sand, silt, and clay (GC, SC, and dual classifications, e.g., SP-SC):
  - a. Plasticity index: greater than 7.
  - b. Gradation: amount passing No. 200 sieve between 12 percent and 50 percent.
- 4. Class IVA: Lean clays (CL).
  - a. Plasticity Indexes:
    - (1) Plasticity index: greater than 7, and above A line.
    - (2) Borderline plasticity with dual classifications (CL-ML): Pl between 4 and 7.
  - b. Liquid limit: less than 50.
  - c. Gradation: amount passing No. 200 sieve greater than 50 percent.
  - d. Inorganic.
- 5. Class IVB: Fat clays (CH)
  - a. Plasticity index: above A line.
  - b. Liquid limit: 50 or greater.
  - c. Gradation: amount passing No. 200 sieve greater than 50 percent.
  - d. Inorganic.
- 6. Use soils with dual class designation according to ASTM D2487, and which are not defined above, according to more restrictive class.

#### 2.02 PRODUCT DESCRIPTIONS

A. Soils classified as silt (ML), silty clay (CL-ML with PI of 4 to 7), elastic silt (MH), organic clay and organic silt (OL, OH), and organic matter (PT) are not acceptable as backfill materials. These soils may be used for site grading and restoration in unimproved areas as approved by AW Project Manager. Soils in Class IV B, fat clay (CH) may be used as backfill materials where allowed,

provided applicable Specification requirements specifically within Excavation Backfill and Compaction Section are satisfied.

- B. Provide backfill material that is free of stones greater than 6 inches, free of roots, waste, debris, trash, organic material, unstable material, non-soil matter, hydrocarbon or other contamination, conforming to following limits for deleterious materials:
  - 1. Clay lumps: Less than 0.5 percent for Class I, and less than 2.0 percent for Class II, when tested in accordance with ASTM C142.
  - 2. Lightweight pieces: Less than 5 percent when tested in accordance with ASTM C123.
  - 3. Organic impurities: No color darker than standard color when tested in accordance with ASTM C40.
- C. Manufactured materials, such as crushed concrete, may be substituted for natural soil or rock products where indicated in product specification, and approved by AW Project Manager, provided that physical property criteria are determined to be satisfactory by testing.
- D. Bank Run Sand: Durable bank run sand classified as SP, SW, or SM by Unified Soil Classification System (ASTM D2487) meeting following requirements:
  - 1. Less than 15 percent passing number 200 sieve when tested in accordance with ASTM D1140. Amount of clay lumps or balls may not exceed 2 percent.
  - 2. Material passing number 40 sieve shall meet the following requirements when tested in accordance with ASTM D4318: Plasticity index: not exceeding 7.
- E. Concrete Sand: Natural sand, manufactured sand, or combination of natural and manufactured sand conforming to requirements of ASTM C33 and graded within following limits when tested in accordance with ASTM C136:

<u>Sieve</u>	Percent Passing
3/8"	100
No. 4	95 to 100
No. 8	80 to 100
No. 16	50 to 85
No. 30	25 to 60
No. 50	10 to 30
No. 100	2 to 10



F. Gem Sand: Sand conforming to requirements of ASTM C33 for course aggregates specified for number 8 size and graded within the following limits when tested in accordance with ASTM C136:

<u>Sieve</u>	Percent Passing
3/8"	95 to 100
No. 4	60 to 80
No. 8	15 to 40

G. Pea Gravel: Durable particles composed of small, smooth, rounded stones or pebbles and graded within the following limits when tested in accordance with ASTM C136:

<u>Sieve</u>	Percent Passing
1/2"	100
3/8"	85 to 100
No. 4	10 to 30
No. 8	0 to10
No. 16	0 to 5

- H. Crushed Aggregates: Crushed aggregates consist of durable particles obtained from an approved source and meeting the following requirements:
  - 1. Materials of one product delivered for same construction activity from single source, unless otherwise approved by AW Project Manager.
  - 2. Non-plastic fines.
  - 3. Los Angeles abrasion test wear not exceeding 45 percent when tested in accordance with ASTM C131.
  - 4. Crushed aggregate shall have minimum of 90 percent of particles retained on No. 4 sieve with 2 or more crushed faces.
  - 5. Crushed stone: Produced from oversize plant processed stone or gravel, sized by crushing to predominantly angular particles from naturally occurring single source. Uncrushed gravel is not acceptable materials for embedment where crushed stone is shown on applicable utility embedment drawing details.
  - 6. Crushed Concrete: Crushed concrete is an acceptable substitute for crushed stone as utility backfill. Gradation and quality control test requirements are same as crushed stone. Provide crushed concrete produced from normal weight concrete of uniform quality; containing particles of aggregate and cement material, free from other substances



such as asphalt, reinforcing steel fragments, soil, waste gypsum (calcium sulfate), or debris.

7. Gradations, as follows:

	Ų	by Weight for Pip <u>s of Nominal Pip</u> e	
<u>Sieve</u>	<u> Pipe &gt;15"</u>	<u> Pipe 15" - 8"</u>	<u> Pipe &lt; 8"</u>
1"	95 - 100	100	-
3/4"	60-90	90 - 100	100
1/2"	25-60	-	90 - 100
3/8"	-	20 - 55	40 - 70
No.4	0- 5	0-10	0-15
No. 8	-	0-5	0-5

- I. Select Backfill: Class III clayey gravel or sand or Class IV lean clay with plasticity index between 7 and 20 or clayey soils treated with lime to meet plasticity criteria.
- J. Random Backfill: Any suitable soil or mixture of soils within Classes I, II, III and IV; or fat clay (CH) where allowed, provided applicable Specification requirements specifically within Excavation Backfill and Compaction Section are satisfied.

#### 2.03 MATERIAL TESTING

- A. Source Qualification. Perform testing to confirm test results provided by suppliers for selection of material sources and products not from the project site. Test samples of processed materials from current production representing material to be delivered. Use tests to verify that materials meet Specification requirements. Repeat qualification test procedures each time source characteristics change or there is planned change in source location or supplier. Include the following qualification tests, as applicable:
  - 1. Gradation: Report complete sieve analyses regardless of specified control sieves from largest particle through No. 200 sieve.
  - 2. Plasticity of material passing No. 40 sieve
  - 3. Los Angeles abrasion wear of material retained on No. 4 sieve
  - 4. Clay lumps
  - 5. Lightweight pieces

- 6. Organic impurities
- B. Production Testing: At AW's discretion, Contractor shall provide reports to AW Project Manager from an independent testing laboratory that backfill materials to be placed in Work meet applicable specification requirements.
- C. Assist AW Project Manager in obtaining material samples for verification testing at source or at production plant.

### PART 3: EXECUTION

#### 3.01 SOURCES

- A. Use of existing material in trench excavations is acceptable, provided applicable Specification requirements listed within Excavation Backfill and Compaction Section are satisfied.
- B. Identify off-site sources for backfill materials at least 14 days ahead of intended use so that AW Project Manager may obtain samples for verification testing.
- C. Materials may be subjected to inspection or additional verification testing after delivery. Materials which do not meet requirements of Specifications will be rejected. Do not use material which, after approval, has become unsuitable for use due to segregation, mixing with other materials, or by contamination. Once material is approved by AW Project Manager, expense for sampling and testing required to change to different material will be at the expense of the Contractor.
- D. Bank run sand, select backfill, and random backfill, if available in project excavation, may be obtained by selective excavation and acceptance testing. Obtain additional quantities of these materials and other materials required to complete work from off-site sources.
- E. AW does not represent or guarantee that any soil found in excavation work will be suitable and acceptable as backfill material.

#### 3.02 MATERIAL HANDLING

- A. When backfill material is obtained from either commercial or non-commercial borrow pit, the backfill material selection from a borrow pit shall be such that the selected strata will provide uniformity in the product.
- B. Establish temporary stockpile locations for practical material handling, control, and verification testing by AW Project Manager in advance of final placement. Obtain approval from landowner for storage of backfill material on adjacent private property.



- C. When stockpiling backfill material near project site, use appropriate covers to eliminate blowing of materials into adjacent areas and prevent runoff containing sediments from entering drainage system.
- D. Place stockpiles in layers to avoid segregation of processed materials. Load material by making successive vertical cuts through entire depth of stockpile.

#### 3.03 FIELD QUALITY CONTROL

- A. Quality Control
  - 1. The AW Project Manager may sample and test backfill at:
    - a. Sources including borrow pits, production plants and Contractor's designated off-site stockpiles.
    - b. On-site stockpiles
    - c. Materials placed in Work
  - 2. The AW Project Manager may re-sample material at any stage of work or location if changes in characteristics are apparent.
- B. Production Verification Testing: If requested, an independent testing laboratory will provide verification testing on backfill materials, as directed by AW Project Manager. Samples may be taken at source or at production plant, as applicable.

### END OF SECTION 31 23 23

#### SECTION 31 23 33

# EXCAVATION BACKFILL AND COMPACTION FOR UTILITIES $\mathbf{08/16}$

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



### SECTION 31 23 33

#### EXCAVATION BACKFILL AND COMPACTION FOR UTILITIES

#### PART 1: GENERAL

- 1.01 SECTION INCLUDES
  - A. Trench excavation, backfill, and compaction shall include, but not necessarily be limited to, the excavation, backfill, and compaction of trenches for water mains, and sanitary sewers, shown on the Drawings, and in accordance with the AW Standard Specifications and Details.

#### 1.02 DEFINITIONS

- A. Pipe Foundation: Suitable and stable native soils that are exposed at trench subgrade after excavation to depth of bottom of bedding as shown on Drawings, or foundation backfill material placed and compacted in over-excavations.
- B. Embedment Material/Pipe Bedding: Portion of trench backfill that extends vertically from top of foundation up to level line at bottom of pipe, and horizontally from one trench sidewall to opposite sidewall.
- C. Haunching: Material placed on either side of pipe from top of bedding up to springline of pipe and horizontally from one trench sidewall to opposite sidewall.
- D. Initial Backfill: Portion of trench backfill that extends vertically from springline of pipe (top of haunching) up to level line 12-inches above top of pipe, and horizontally from one trench sidewall to opposite sidewall.
- E. Pipe Embedment Zone: Portion of trench backfill that consists of bedding, haunching and initial backfill.
- F. Trench Zone: Portion of trench backfill that extends vertically from top of pipe embedment up to pavement subgrade or up to final grade when not beneath pavement.
- G. Unsuitable Material: Unsuitable soil materials are the following:
  - 1. Materials that are classified as ML, CL-ML, MH, PT, OH, and OL according to ASTM D2487.
  - 2. Materials that cannot be compacted to required density due to gradation, plasticity, or moisture content.
  - 3. Materials that contain large clods, aggregates, stones greater than 4inches in any dimension, debris, vegetation, waste or any other deleterious materials.



- 4. Materials that are contaminated with hydrocarbons or other chemical contaminants.
- H. Suitable Material: Suitable soil materials are those meeting specification requirements. Materials mixed with lime, fly ash, or cement that can be compacted to required density and meeting requirements for suitable materials may be considered suitable materials, unless otherwise indicated.
- I. Backfill: Suitable material meeting specified quality requirements placed and compacted under controlled conditions.
- J. Ground Water Control Systems: Installations external to trench, such as well points, eductors, or deep wells. Ground water control includes dewatering to lower ground water, intercepting seepage which would otherwise emerge from side or bottom of trench excavation, and depressurization to prevent failure or heaving of excavation bottom.
- K. Surface Water Control: Diversion and drainage of surface water runoff and rain water away from trench excavation. Rain water and surface water accidentally entering trench shall be controlled and removed as part of excavation drainage.
- L. Excavation Drainage: Removal of surface and seepage water in trench by sump pumping and using drainage layer, as defined in ASTM D2321, placed on foundation beneath pipe bedding or thickened bedding layer of Class I material.
- M. Trench Conditions are defined with regard to stability of trench bottom and trench walls of pipe embedment zone. Maintain trench conditions that provide for effective placement and compaction of embedment material directly on or against undisturbed soils or foundation backfill, except where structural trench support is necessary.
  - 1. Dry Stable Trench: Stable and substantially dry trench conditions exist in pipe embedment zone as result of typically dry soils or achieved by ground water control (dewatering or depressurization) for trenches extending below ground water level.
  - 2. Stable Trench with Seepage: Stable trench in which ground water seepage is controlled by excavation drainage.
    - a. Stable Trench with Seepage in Clayey Soils: Excavation drainage is provided in lieu of or to supplement ground water control systems to control seepage and provide stable trench subgrade in predominately clayey soils prior to bedding placement.
    - b. Stable Wet Trench in Sandy Soils: Excavation drainage is provided in embedment zone in combination with ground water control in predominately sandy or silty soils.



- 3. Unstable Trench: Unstable trench conditions exist in pipe embedment zone if ground water inflow or high water content causes soil disturbances, such as sloughing, sliding, boiling, heaving or loss of density.
- N. Sub-trench: Sub-trench is special case of benched excavation. Sub-trench excavation below trench shields or shoring installations may be used to allow placement and compaction of foundation or embedment materials directly against undisturbed soils. Depth of sub-trench depends upon trench stability and safety as determined by the Contractor.
- O. Trench Dam: Placement of low permeability material in pipe embedment zone or foundation to prohibit ground water flow along trench.
- P. Over-excavation and Backfill: Excavation of subgrade soils with unsatisfactory bearing capacity or composed of otherwise unsuitable materials below top of foundation as shown on Drawings, and backfilled with foundation backfill material.
- Q. Foundation Backfill Materials: Natural soil or manufactured aggregate of controlled gradation, and geotextile filter fabrics as required, to control drainage and material separation. Foundation backfill material is placed and compacted as backfill to provide stable support for bedding. Foundation backfill materials may include concrete seal slabs.
- R. Trench Safety Systems: Includes both protective systems and shoring systems.
- S. Trench Shield (Trench Box): Portable worker safety structure moved along trench as work proceeds, used as protective system and designed to withstand forces imposed on it by cave in, thereby protecting persons within trench. Trench shields may be stacked if so designed or placed in series depending on depth and length of excavation to be protected.
- T. Shoring System: Structure that supports sides of an excavation to maintain stable soil conditions and prevent cave-ins, or to prevent movement of ground affecting adjacent installations or improvements.
- U. Special Shoring: Shoring system meeting special shoring as specified in Paragraph 1.06, Special Shoring Design Requirements, for locations identified on Drawings.
- 1.03 SCHEDULING
  - A. Schedule work so that pipe embedment can be completed on same day that acceptable foundation has been achieved for each section of pipe installation, manhole, or other structures.
  - B. The Contractor shall not excavate more trench in any day than can be completed



(facility installed and trench backfilled) in the same day, unless by written permission of AW. AW shall be empowered at any time to require the backfilling of open trenches over completed pipe lines if, in their judgment, such action is necessary.

#### 1.04 SUBMITTALS

- A. Conform to requirements of Section 01 33 00 Submittal Procedures.
- B. Submit planned typical method of excavation, backfill placement and compaction including:
  - 1. Trench widths
  - 2. Procedures for foundation and pipe zone bedding placement, and trench backfill compaction.
  - 3. Procedures for assuring compaction against undisturbed soil when premanufactured trench safety systems are proposed.
- C. Submit backfill material sources and product quality information in accordance with requirements of Section Utility Backfill Materials.
- D. Submit trench excavation safety program. Identify by name who will be OSHA competent person for excavations. If special shoring system is to be used, include designs for special shoring meeting requirements defined in Paragraph 1.06, Special Shoring Design Requirements contained herein.
- E. Submit record of location of utilities as installed, referenced to survey control points. Include locations of utilities encountered or rerouted. Give stations, horizontal dimensions, elevations, inverts, and gradients.

#### 1.05 TESTS

- A. Geotechnical testing and analysis of backfill materials for soil classification and compaction testing during construction shall be provided by the Contractor and performed by an independent, State-certified, testing company approved by AW. The results of all failing tests shall be communicated to AW immediately. Written results of all tests performed, shall be presented to AW in a timely manner.
- B. Perform backfill material source qualification testing in accordance with requirements of Section Utility Backfill Materials.
- C. The Contractor will arrange for all in-place moisture/density testing on the project.
- D. Frequency :

Compaction testing shall be performed on random lifts a minimum of every 300 LF unpaved areas and 100 LF in paved areas unless otherwise approved by the AW Project Manager. AW reserves the right to require re-tests if the initial compaction test fails.

- 1. If ground water is present, at the discretion of the AW Project Manager, compaction testing shall be increased to every 100 LF in unpaved areas.
- 2. A minimum of one (1) compaction test per lift shall be performed for all backfill operations with less linear footage than specified in 1.05 D.
- 3. The testing agency shall determine at the time of testing the location of each compaction test within the specified testing length.
- 4. As an alternative to the compaction testing frequency specified, the Contract shall have the option to demonstrate aceptable compaction at the start of the project.
  - a. At the start of the trenching operation, the Contractor shall demonstrate to the AW representative through the results reported by the accepted testing agency that the compaction density specified can be attained by the compaction equipment and methods the Contractor intends to use.
  - b. Once the method and equipment has been approved, no substitutions will be permitted without AW's approval.
  - c. Additional demonstration of the suitability of the compaction equipment and methods will be required whenever there is a significant change in material characteristics or change in compaction equipment or method.
  - d. Should testing determine that the required density is not being met, or the material is outside the specified moisture range, the Contractor shall, without additional compensation, reexcavate, rework, and/or recompact the particular layer or section until the required density and/or moisture is attained.

### E. Compaction

1. The Contractor shall, in unimproved areas outside the public rights-ofway, compact each trench backfill layer in such a manner as to obtain a dense backfill free of voids and not susceptible to undue settlement or depression. Trench backfill extending to not less than 1-foot in depth above the top of pipe shall be compacted to at least 90% of maximum density at a moisture content within 5% of the optimum in accordance with ASTM D1556.



2. Trench backfill within all rights-of-way of improved or paved areas shall be compacted to at least 95% of maximum density at a moisture content within 5%, or local requirements which ever is more stringent, of the optimum moisture in accordance with ASTM D1556. The final 1-foot of trench backfill to pavement subgrade shall be compacted to at least 95% of maximum density at a moisture content within 5% of the optimum in accordance with ASTM D1556.

#### 1.06 SPECIAL SHORING DESIGN REQUIREMENTS

- A. Have special shoring designed or selected by Contractor's Professional Engineer registered in the State the project is being completed to provide support for sides of excavations, including soils and hydrostatic ground water pressures as applicable, and to prevent ground movements affecting adjacent installations or improvements such as structures, pavements and utilities. Special shoring may be a premanufactured system selected by Contractor's Professional Engineer to meet project site requirements based on manufacturer's standard design.
- B. The requirement for special shoring shall be determined by AW for all excavations within 10-feet of an AW owned asset.

#### PART 2: PRODUCTS

#### 2.01 EQUIPMENT

- A. Perform excavation with hydraulic excavator or other equipment suitable for achieving requirements of this Section.
- B. Heavy compaction equipment shall not be used until adequate cover is attained. in order to prevent damage to pipes, conduits, or ducts.
- C. Use trench shields or other protective systems or shoring systems, including special shoring systems as referenced in Paragraph 1.06, which are designed and operated in accordance with all Local, State, and Federal (including OSHA) standards and regulations.

#### 2.02 MATERIAL CLASSIFICATIONS

- A. Embedment and Trench Zone Backfill Materials: Conform to classifications and product descriptions of Section Utility Backfill Materials.
- B. Concrete Encasement: Concrete used for encasement or caps shall have a minimum compressive strength of 3,000 psi.
- C. Concrete Backfill: Also known as flowable fill. Flowable fill must be "excavatable" as defined by the National Ready Mixed Association as having a compressive strength not exceeding 150 psi.



D. Concrete for Trench Dams: Concrete backfill or 3 sack premixed (bag) concrete.

#### PART 3: EXECUTION

#### 3.01 STANDARD PRACTICE

- A. Install flexible pipe, including "semi-rigid" pipe, to conform to standard practice described in ASTM D2321, and as described in this Section. Where an apparent conflict occurs between standard practice and requirements of this Section, this Section governs.
- B. Install rigid pipe to conform to standard practice described in ASTM C12, and as described in this Section. Where an apparent conflict occurs between standard practice and requirements of this Section, this Section governs.

#### 3.02 PREPARATION

- A. Maintain barricades and warning lights for streets and intersections affected by Work, and that are considered hazardous to traffic movements as specified in the approved traffic control plan for the project.
- B. It is the Contractor's responsibility to obtained all required permits for excavation to include a Traffic Control Plan approved by the local governing authority.
- C. Perform work to conform to applicable safety standards and regulations, as outlined in current OHSA, State and local regulations
- D. Immediately notify agency or company owning any existing utility line which is damaged, broken, or disturbed. Obtain approval from AW Project Manager and agency for any repairs or relocations, either temporary or permanent.
- E. Remove existing pavements and structures, including sidewalks and driveways, to conform to local (local, State DOT, DPW, CE, etc.) requirements
- F. Install and operate necessary dewatering and surface-water control measures. Provide stable trench to allow installation in accordance with Specifications.
- G. Maintain permanent benchmarks, monuments, and other reference points. Unless otherwise directed in writing, at the expense of the Contractor a Licensed Surveyor shall replace those which are damaged or destroyed in accordance with the requirements of the AW and local or State requirements.

#### 3.03 CRITICAL LOCATION INVESTIGATION

A. Horizontal and vertical location of various underground lines shown on Drawings, including but not limited to water lines, gas lines, storm sewers, sanitary sewers, telecommunication lines, electric lines or power ducts, pipelines, concrete and

debris, are based on best information available but are only approximate locations.

- B. The Contractor is responsible for coordinating all utility locates within the Limits of Disturbance per the standard procedures for the project location (One call system, DPW, CE, Utility Company Coordination, etc.)
- C. The Contractor is responsible for verifying the location of existing utilities in manner that complies with all local, State and Federal regulations. Use extreme caution and care when uncovering these lines.
- D. Notify AW Project Manager in writing immediately upon identification of obstruction.
- E. Notify involved utility companies of date and time that investigation excavation will occur and request that their respective utility lines be marked in field. Comply with utility or pipeline company requirements that their representative be present during excavation. Provide AW Project Manager written 48 hours notice prior to field excavation or related work.

#### 3.04 PROTECTION

- A. Protect trees, shrubs, lawns, existing structures, and other permanent objects outside of grading limits and within grading limits as designated on Drawings.
- B. Protect and support above-grade and below-grade utilities which are to remain.
- C. Restore damaged permanent facilities to a condition equal to or better than preconstruction conditions unless replacement or abandonment of facilities is indicated on Drawings.
- D. Take measures to minimize erosion of trenches. Do not allow water to pond in trenches. Where slides, washouts, settlements, or areas with loss of density or pavement failures or potholes occur, repair, recompact, and pave those areas at no additional cost to AW.
- E. Protection of Property and Structures: The Contractor shall be responsible for all damage and assume all expense for direct or indirect injury caused by his work, to above ground facilities or below ground facilities shown on the Drawings. The Contractor shall, at his own expense, sustain in place and protect from direct or indirect injury all existing facilities in the vicinity of the excavation, whether above or below the ground, or that may appear in the trench. The Contractor shall be responsible for the implementation of protective measures associated with the presence or proximity of pipes, poles, tracks, walls, buildings, property markers, and other structures and property of every kind and description in or over his trenches or in the vicinity of his work whether above or below the surface of the ground.



#### 3.05 EXCAVATION

- A. Except as otherwise specified or shown on Drawings, install underground utilities in open cut trenches with vertical sides.
- B. Perform excavation work so that pipe, conduit, and ducts can be installed to depths and alignments shown on Drawings. Avoid disturbing surrounding ground and existing facilities and improvements.
- C. Trenches shall be wide enough to allow for compaction equipment.
- D. Use sufficient trench width or benches above embedment zone for installation of well point headers or manifolds and pumps where depth of trench makes it uneconomical or impractical to pump from surface elevation. Provide sufficient space between shoring cross braces to permit equipment operations and handling of forms, pipe, embedment and backfill, and other materials.
- E. Upon discovery of unknown utilities, badly deteriorated utilities not designated for removal, or concealed conditions notify AW Project Manager immediately
- F. Trench Support:
  - 1. The Contractor shall support the sides and ends of all excavations wherever necessary with braces, sheeting, shoring or stringers, trench boxes, or other acceptable excavation support systems. All timbering shall be installed by persons skilled in such work and shall be so arranged that it may be withdrawn as backfilling proceeds, without injury to the utility or structure constructed or to any roadbed or adjacent structure or property.
  - 2. All work shall be performed in accordance with the latest OSHA requirements.
  - 3. All timbering in excavations, trench boxes, or excavation support systems shall be withdrawn as the backfilling is being done, except where and to such extent as the AW Project Manager shall order in writing that said timbering or excavation support system be left in place or where the AW Project Manager permits the trench support to be left in place at the Contractor's expense and upon his request. The Contractor shall cut off any sheeting left in place 2 feet below finished grade and shall remove the material cut off without compensation therefore.
  - 4. The support of the trench shall be the sole responsibility of the Contractor.
  - 5. Removal or Moving of trench shoring shall be performed so that pipe, and backfill materials, after placement and compaction, are not damaged nor



disturbed, nor degree of compaction reduced. Re-compact after shoring is moved if soil is disturbed.

6. The Contractor shall coordinate and provide safe access at all times to all inspecting and testing activities for AW and AW-authorized representatives.

#### 3.06 HANDLING EXCAVATED MATERIALS

- A. Use only excavated materials, which are suitable as defined in this Section and conforming to Section Utility Backfill Materials. Place material suitable for backfilling in stockpiles per the most current OSHA standards.
- B. When required, provide additional backfill material conforming to requirements of Section Utility Backfill Materials.
- C. Stockpile locations shall be pre-approved by the AW Project Manager and the local governing authority.
- D. All excavated material not used as backfill the same day as excavated shall be removed from the site and/or stockpiled in an area pre-approved by the AW Project Manager.

#### 3.07 TRENCH FOUNDATION

- A. The Contractor shall, before any pipe or appurtenance is installed, fill all unauthorized depressions or irregularities in the bottom of the trench or tunnel with firmly compacted embankment or other approved material.
- B. It shall be the Contractor's responsibility to adequately control water that may be present in the excavation. Contractor shall provide for the disposal of water removed from excavations in such a manner not to cause damage to public or private property or to any portion of the Work completed or in progress or cause any impediment to the use of any area by the public. Nor shall the Contractor discharge any flushing or ground water or any material of any nature into existing sanitary sewer system during construction of the facilities. All water shall be discharged through an approved sediment control device and in compliance with NPDES and SPDES discharge requirements.
- C. Notify AW Project Manager immediately when unsatisfactory material is encountered on trench bottom. With AW approval, up to 12 -inches of additional undercut may be permitted to achieve suitable trench bottom. If the additional undercut does not result in a satisfactory trench bottom, the Contractor shall obtain a bedding design prepared by a Geotechnical Engineer licensed in the State in which the project is being constructed.



- D. Perform over excavation, if directed by AW Project Manager, in accordance with Paragraph 3.07.C above. Removal of material maybe required. Even though Contractor has not determined material to be unsuitable.
- E. Trench dams shall be installed as determined by the AW Project Manager when ground water is encountered.

### 3.08 PIPE EMBEDMENT, PLACEMENT, AND COMPACTION

A. The following material shall be used for the pipe embedment zone (bedding, haunching, and initial backfill) based on project location.

Location		Water Main & Sanitary Force Main					
	DIP	PVC	HDPE				
Alabama (Fort Rucker)	Native Material	Native Material	Native Material	Native Material			
Illinois (Scott AFB)	Class II, Type A						
Kansas (Fort Leavenworth)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)			
New York (West Point)	AASHTO #9 (3/8" stone/washed	AASHTO #9 (3/8" stone/washed	AASHTO #9 (3/8" stone/washed	AASHTO #9 (3/8" stone/washed			
Louisiana (Ft Polk)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)			
Maryland (Ft Meade)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)			
Oklahoma (Fort Sill)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)			
Texas (Fort Hood & Joint Base San Antonio)	AASHTO #9 (3/8" stone/washed gravel)	AASHTO #9 (3/8" stone/washed gravel)	Sand	AASHTO #9 (3/8" stone/washed gravel)			
Virginia (Fort AP Hill & Fort Belvoir)	VDOT 21a or 21b	VDOT 21a or 21b	VDOT 21a or 21b	VDOT 57 or 68			



Utah (Hill Air Force Base)	2" stone/washed gravel	3/4" stone/washed gravel	3/4" stone/washed gravel	2" stone/washed gravel
California	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"
(Vandenberg Air	stone/washed	stone/washed	stone/washed	stone/washed
Force Base)	gravel)	gravel)	gravel)	gravel)
Missouri	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"
(Fort Leonard	stone/washed	stone/washed	stone/washed	stone/washed
Wood)	gravel)	gravel)	gravel)	gravel)
New Jersey	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"
(Picatinny	stone/washed	stone/washed	stone/washed	stone/washed
Arsenal)	gravel)	gravel)	gravel)	gravel)
Ohio	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"	AASHTO #9 (3/8"
(Wright-Pat Air	stone/washed	stone/washed	stone/washed	stone/washed
Force) Base	gravel)	gravel)	gravel)	gravel)

- B. Remove loose, sloughing, caving, or otherwise unsuitable soil from bottoms and sidewalls of trenches immediately prior to placement of embedment materials.
- C. Place embedment including bedding, haunching, and initial backfill as shown on Drawings.
- D. For pipe installation, manually spread embedment materials around pipe to provide uniform bearing and side support when compacted. Protect flexible pipe from damage during placing of pipe zone bedding material. Perform placement and compaction directly against undisturbed soils in trench sidewalls, or against sheeting which is to remain in place.
- E. Do not place trench shields or shoring within height of embedment zone unless means to maintain density of compacted embedment material are used. If moveable supports are used in embedment zone, lift supports incrementally to allow placement and compaction of material against undisturbed soil.
- F. Place geotextile to prevent particle migration from in-situ soil into open-graded (Class I) embedment materials or drainage layers.
- G. Do not damage coatings or wrappings of pipes during backfilling and compacting operations. When embedding coated or wrapped pipes, do not use crushed stone or other sharp, angular aggregates.
- H. Place haunching material around pipe and compact per the pipe manufacture's recommendation to provide uniform bearing and side support. The haunching shall be installed in a manner that prevents the pipe from moving.
- I. Place electrical conduit, if used, directly on foundation without bedding.
- J. The method of compaction of the embedment zone material shall comply with the pipe manufacture's recommendation. Water tamping is not allowed.



#### 3.09 TRENCH ZONE BACKFILL PLACEMENT AND COMPACTION

- A. Place backfill for pipe or conduits and restore surface as soon as practicable. Leave only minimum length of trench open as necessary for construction.
- B. For water and sewer lines under existing pavement, use an aggregate base backfill up to the pavement base or sub grade. Aggregate base shall meet the specifications of and be installed per the Department of Transportation regulations for the State in which the project is located.
- C. Unless otherwise shown on Drawings, for trench excavations not under pavement, random backfill of suitable material may be used in trench zone.
  - 1. Clay Soils may be used as trench zone backfill outside paved areas.
  - 2. Place in maximum 8-inch thick lift.
  - 3. Compact per Paragraph 1.05 of this specification section.
  - 4. Moisture content as necessary to achieve density.
- D. For electric conduits, remove form work used for construction of conduits before placing trench zone backfill.

#### 3.10 MANHOLES, JUNCTION BOXES AND OTHER PIPELINE STRUCTURES

- A. Manholes, junction boxes and other pipeline structures shall have bedding consisting of a minimum of 1' compacted <sup>3</sup>/<sub>4</sub>" to 1" clean stone. The compacted <sup>3</sup>/<sub>4</sub>" to 1" stone shall be installed horizontally out from the base to the limits of the excavation (minimum 1'), and extend up to a minimum of 1' above the pipe or base, which ever is greater (does not include the upper connection of a drop inlet). The stone shall be installed to a uniform depth around the entire perimeter of the structure. The remainder of the backfill shall be installed per section 3.09 Trench Zone Backfill Placement and Compaction to include paved and unpaved area requirements.
- 3.11 DISPOSAL OF EXCESS MATERIAL
  - A. Dispose of excess materials in accordance with requirements of the contract documents, State and local requirements.

#### END OF SECTION 31 23 33

#### SECTION 33 01 10.13

# PRESSURE AND LEAKAGE TESTS 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



### SECTION 33 01 10.13

#### PRESSURE AND LEAKAGE TESTS

#### PART 1: GENERAL

- 1.01 SCOPE OF WORK
  - A. Test all piping, valves, and appurtenances installed under this Contract. Testing shall be performed concurrent with installation. Do not install more than 1,000 feet of pipe without being tested, unless approved by AW.
- 1.02 SUBMITTALS
  - A. Prepare and submit schedules and procedures to AW for testing of all parts of the water main installed in accordance with this Contract. Submit the schedule at least seven days prior to any testing.

#### PART 2: PRODUCTS

- 2.01 EQUIPMENT
  - A. Furnish the pump, pipe connections, and all necessary apparatus for the pressure and leakage tests including gauges and metering devices. AW reserves the option to furnish the gauges and metering devices for the tests. Excavate, backfill, and furnish all necessary assistance for conducting the tests.
  - B. Pressure gauges used for testing shall have no greater than 5 psi increment markings or shall be as directed by the AW Project Manager for the satisfactory evaluation of the required testing.

#### PART 3 EXECUTION

- 3.01 GENERAL
  - A. Leakage Tests must be in accordance with ASTM C969 and C1244. Leakage test are required for all gravity lines. Perform hydrostatic pressure tests in accordance with AWWA C600, Section 5.2 Hydrostatic Testing after the pipe or section of pipe has been laid, thrust blocking cured (min. 5 days), and the trench is completely or partially backfilled. Where practical, testing shall be performed fully isolated from the active distribution system.
  - B. Contractor may, at his option, completely backfill the trench or partially backfill the trench over the center portion of each pipe section to be tested. However, AW may direct the Contractor to completely backfill the trench if local traffic or safety conditions require.



- C. For system operating pressures of 200 psi or less, perform the hydrostatic test at a pressure of no less than 100 psi above the normal operating pressure without exceeding the rating of the pipe and appurtenances. For system operating pressures in excess of 200 psi, perform the hydrostatic test at a pressure that is 1.5 times the normal operating pressure, but no more than the design rating of the pipe and appurtenances.
- D. Valves shall not be operated in either direction at a differential pressure exceeding the rated valve working pressure. A test pressure greater than the rated valve working pressure can result in trapped test pressure between the gates of a double-disc gate valve. For tests exceeding the rated valve working pressure, the test setup should include a provision, independent of the valve, to reduce the line pressure to the rated valve working pressure on completion of the test. The valve can then be opened enough to equalize the trapped pressure with the line pressure, or the valve can be fully opened if desired.
- E. The test pressure shall not exceed the rated working pressure or differential pressure of the valves when the pressure boundary of the test section includes closed, resilient-seated gate valves or butterfly valves.
- F. Contractor shall attach a tapping sleeve and valve assembly to the main, and pressure test the assembly prior to making the tap. The required test pressure shall be determined in the same manner as for pipe. The test is acceptable if there is no pressure drop in 15 minutes at test pressure.

#### 3.02 FILLING AND TESTING

- A. Slowly fill each segregated section of pipeline with water ensuring that all air is expelled. Extreme care must be taken to ensure that all air is expelled during the filling of pipe. The line shall stand full of water for at least twenty-four hours prior to testing to allow all air to escape. If necessary, tap the main at points of highest elevation to expel air as the pipe is filled. Remove the corporation stops and plug the taps after successfully filling the pipeline and expelling all air as approved by AW.
- B. Apply the specified test pressure, measured at the point of lowest elevation, using a suitable pump connected to the pipe in a manner satisfactory to the AW Project Manager. If the elevation of the high point of the pipeline being tested is such that the pressure during testing will be below 85% of the required test pressure, AW will require a separate test to be performed on this section of pipeline. In lieu of a separate test, the test pressure measured at the lowest elevation may be increased, within the pressure rating of the pipeline material, such that the resulting pressure at the highest point exceeds 85% of the required test pressure. The test will be conducted for at least two (2) hours at the required test pressure ± 5 psi.
- C. Conduct a leakage test concurrently with the pressure test. Leakage is defined as the volume of water that must be supplied into the newly laid pipeline to

maintain pressure within ± 5 psi of the test pressure after it is filled and purged of air. Measure the volume of water using a calibrated container or meter.

D. No pipeline installation will be accepted by AW if the leakage is greater than that shown in the following table:

Avg. Test	Nominal Pipe Diameter-in.													
Pressure psi	4	6	8	10	12	14	16	18	20	24	30	36	42	48
450	0.57	0.86	1.15	1.43	1.72	2.01	2.29	2.58	2.87	3.44	4.30	5.16	6.02	6.88
400	0.54	0.81	1.08	1.35	1.62	1.89	2.16	2.43	2.70	3.24	4.05	4.86	5.68	6.49
350	0.51	0.76	1.01	1.26	1.52	1.77	2.02	2.28	2.53	3.03	3.79	4.55	5.31	6.07
300	0.47	0.70	0.94	1.17	1.40	1.64	1.87	2.11	2.34	2.81	3.51	4.21	4.92	5.62
275	0.45	0.67	0.90	1.12	1.34	1.57	1.79	2.02	2.24	2.69	3.36	4.03	4.71	5.38
250	0.43	0.64	0.85	1.07	1.28	1.50	1.71	1.92	2.14	2.56	3.21	3.85	4.49	5.13
225	0.41	0.61	0.81	1.01	1.22	1.42	1.62	1.82	2.03	2.43	3.04	3.65	4.26	4.80
200	0.38	0.57	0.76	0.96	1.15	1.34	1.53	1.72	1.91	2.29	2.87	3.44	4.01	4.55
175	0.36	0.54	0.72	0.89	1.07	1.25	1.43	1.61	1.79	2.15	2.68	3.22	3.75	4.29
150	0.33	0.50	0.66	0.83	0.99	1.16	1.32	1.49	1.66	1.99	2.48	2.98	3.48	3.97
125	0.30	0.45	0.60	0.76	0.91	1.06	1.21	1.36	1.51	1.81	2.27	2.72	3.17	3.6
100	0.27	0.41	0.54	0.68	0.81	0.95	1.08	1.22	1.35	1.62	2.03	2.43	2.84	3.24

Allowable Leakage per 1000 ft. of Pipeline\*---gph

\*If the pipeline under test contains sections of various diameters, the allowable leakage will be the sum of the computed leakage for each size.

The table has been generated from the formula:  $L = \frac{S * D\sqrt{P}}{148,000}$ 

Where:

L is the allowable leakage in gallons per hour,

S is the length of pipe in feet,

D is the nominal pipe diameter in inches, and

P is the average test pressure in psig.

E. Should any test disclose damaged or defective materials or leakage greater than that permitted, the Contractor shall, at the Contractor's expense, locate and repair and/or replace the damaged or defective materials. Materials used for repair must be approved by AW and meet the relevant specifications. Repeat the tests until the leakage is within the permitted allowance and is satisfactory to AW.

## END OF SECTION 33 01 10.13

#### SECTION 33 01 10.15

# DISINFECTING PIPELINES 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



#### SECTION 33 01 10.15

#### DISINFECTING PIPELINES

#### PART 1 GENERAL

- 1.01 SCOPE OF WORK
  - A. Flush and disinfect all pipelines installed under this Contract as indicated in the Drawings. This would include furnishing the necessary labor, tools, transportation, and other equipment for the operation of valves, hydrants, and blowoffs during chlorination. Install, and if directed by the AW Project Manager, remove all chlorination taps required for disinfection. Disinfection will be performed under the supervision of AW.
- 1.02 WORK BY AW
  - A. AW reserves the option to provide/furnish the chlorine and chlorination equipment. AW will furnish water for testing, flushing and disinfecting pipelines. AW will also reserve the right to perform bacteriological testing and may collect the sample.

#### 1.03 PROTECTION

- A. Chlorine disinfection and dechlorination shall be under the direct supervision of someone familiar with the physiological, chemical, and physical properties of the form of chlorine used. They shall be trained and equipped to handle any emergency that may arise. All personnel involved shall observe appropriate safety practices to protect working personnel and the public.
- B. The forwards of AWWA Standards B300 and B301 contain information and additional reference material regarding the safe handling of hypochlorites and liquid chlorine. The Contractor shall familiarize himself with this information prior to performing any disinfection work.
- 1.04 SUBMITTAL
  - A. Conform to the requirements of Section Submittal Procedures
- 1.05 RELATED WORK
  - A. Observe the precautions described in Section Piping General Provisions to avoid contamination during installation of the pipeline.

- 1.06 REFERENCES
  - A. Refer to current AWWA Standard C651 for Disinfecting Water Mains.

### PART 2 PRODUCTS

- 2.01 MATERIALS AND EQUIPMENT
  - A. Furnish liquid chlorine and/or calcium hypochlorite and injection equipment as needed to disinfect all pipelines and appurtenances.
  - B. Liquid chlorine contains 100% available chlorine and is packaged in steel containers, usually of 100 lb, 150 lb, or 1 ton net chlorine weight. Liquid chlorine shall be furnished in accordance with AWWA B301.
  - C. Calcium hypochlorite is available in granular form or in approximately 5-g tablets, and contains approximately 65% available chlorine by weight. The material should be stored in a cool, dry, and dark environment to minimize its deterioration. Do not use calcium hypochlorite intend for swimming pool disinfection, as this material (containing trichloroisocyanuric acid) has been sequestered and is extremely difficult to eliminate from the pipe after the desired contact time had been achieved.
  - D. Calcium hypochlorite must conform to AWWA B300.

### PART 3 EXECUTION

- 3.01 PREPARATION
  - A. All pipelines shall be pressure and leak tested, flushed, and cleaned of debris and dirt prior to application of the disinfectant. Flushing shall continue until the volume in the newly installed main has turned over at least one time unless AW determines that conditions do not permit the required volume to be safely discharged to waste.
- 3.02 APPLICATION OF DISINFECTANT
  - A. Methods to be used for disinfection are those detailed in ANSI/AWWA C651 Disinfecting Water Mains.
- 3.03 WATER MAINS

Three methods of chlorination are described below. The third method, using tablets of hypochlorite, is only permitted by expressed approval of AW and under no circumstance allowed for projects of 2000 feet or more. Otherwise,



information in the forward of AWWA Standard C651 will be helpful in determining the best method to be used.

- A. <u>Continuous Feed Method</u>
- 1. <u>Set-up</u>
  - a. The continuous feed method consists of completely filling the main with potable water to remove all air pockets, flushing the completed main to remove particulates, and then refilling the main with potable water that has been chlorinated to 25mg/l. After a 24-hour holding period in the main, there shall be a free chlorine residual of not less than 10 mg/L in collected samples.
  - b. Chlorine can be applied in advance of preliminary flushing by swabbing joints with bleach or placing calcium hypochlorite granules in the pipe in areas where contamination is suspected. In any such case, the Contractor shall make sure and take appropriate action to make sure that the flushed water is dechlorinated.
  - c. Preliminary flushing Prior to being chlorinated, fill the main to eliminate air pockets and flush to remove particulates. The flushing velocity in the main shall be not less than 3 ft/sec unless the AW Project Manager determines that conditions do not permit the required flow to be discharged to waste. Table 1 below shows the rates of flow required to produce a velocity of 3 ft/sec in pipes of various sizes.

NOTE: Flushing is no substitute for preventive measures during construction. Certain contaminants such as caked deposits resist flushing at any feasible velocity.



### <u>TABLE 1</u> <u>Required Flow and Openings to Flush Pipelines</u> (40 psi Residual Pressure in Water Main)\*

Pipe Diameter	Flow Required to Produce 3 ft/sec	Size c	of Tap, (ii	Number of 2½- inch Hydrant		
(inches)	velocity in main	1	1-1/2	2	Outlets to Use	
(	(gpm)	Number of taps on				
	(9911)	Pipe †				
4	120	1	-	-	1	
6	260	-	1	-	1	
8	470	-	2	-	1	
10	730	-	3	2	1	
12	1060	-	-	3	2	
16	1880	-	-	5	2	

\*With a 40 psi pressure in the main with the hydrant flowing to atmosphere, a  $2\frac{1}{2}$ -inch hydrant outlet will discharge approximately 1,000 gpm and a  $4\frac{1}{2}$ -inch hydrant outlet will discharge approximately 2,500 gpm.

† Number of taps on pipe based on discharging through 5 feet of galvanized iron pipe with one 90° elbow.

d. In mains of 24-inches or larger diameter, an acceptable alternative to flushing is to broom-sweep the main, carefully removing all sweepings prior to chlorinating the main.

OSHA requirements for confined space need to be addressed prior to entering a pipeline.

- 2. Chlorinating the Main
  - a. Potable water may be supplied from a temporary backflow-protected connection to the existing distribution system or other supply approved sources. The cross connection control device shall be consistent with the degree of hazard for backflow protection of the active distribution system. The flow shall be at a constant, measured rate into the newly installed water main. In the absence of a meter, approximate the rate by placing a Pitot gauge in the discharge or measuring the time to fill a container of known volume. The main should undergo hydrostatic pressure testing prior to disinfection.
  - b. At a point not more than 10 feet downstream from the beginning of the new main, dose the water entering the new main with chlorine fed at a constant



rate such that the water will have not less than 25 mg/L free chlorine. Measure the chlorine concentration at regular intervals to ensure that this concentration is provided. Measure chlorine in accordance with the procedures described in the current edition of the AWWA Manual M12 or of *Standard Methods for the Examination of Water and Wastewater*.

c. Table 2 below gives the amount of chlorine required for each 100 feet of pipe of various diameters. Solutions of 1 percent chlorine may be prepared with calcium hypochlorite. The solution requires 1 pound of calcium hypochlorite in 8 gallons of water.

Pipe Diameter (inches)	100% Chlorine (lb)	1% Chlorine Solution (gallons)
4	0.013	0.16
6	0.030	0.36
8	0.054	0.65
10	0.085	1.02
12	0.120	1.44
16	0.217	2.60

### <u>TABLE 2</u> <u>Chlorine Required to produce 25 mg/L</u> <u>Concentration in 100 feet of Pipe by Diameter</u>

- d. During the application of chlorine, position valves so that the strong chlorine solution in the main being treated will not flow into water mains in active service. Do not stop the chlorine application until the entire main is filled with heavily chlorinated water. Keep the chlorinated water in the main for at least 24 hours. During this time, operate all valves and hydrants in the section treated in order to disinfect the appurtenances. At the end of this 24-hour period, the treated water in all portions of the main shall have a residual of not less than 10 mg/L free chlorine.
- e. Hypochlorite solution may be applied to the water main with a gasoline or electrically powered chemical feed pump designed for feeding chlorine solutions. Feed lines shall be of such material and strength as to safely withstand the corrosion caused by the concentrated chlorine solutions and the maximum pressures that may be created by the pumps. Check all connections for tightness before the solution is applied to the main.
- f. If gaseous chlorine in solution is permitted by the AW Project Manager and proposed by the Contractor, the preferred equipment for the gas application employs a feed vacuum-operated chlorinator to mix the chlorine gas, in



combination with a booster pump for injecting the chlorine gas solution water into the main to be disinfected. Direct feed chlorinators cannot be used. (A direct feed chlorinator is one which operates solely from the pressure in the chlorine cylinder.)

- B. Slug Method
- 1. <u>Set-up</u>
  - a. The slug method consists of placing calcium hypochlorite granules in the main during construction; completely filling the main to eliminate all air pockets, flushing the main to remove particulates, and slowly flowing a slug of water containing 100 mg/L of free chlorine through the main so that all parts of the main and its appurtenances will be exposed to the highly chlorinated water for a period of not less than 3 hours.
- 2. Chlorinating the main.
  - a. Potable water may be supplied from a temporary backflow-protected connection to the existing distribution system or other supply approved sources. The cross connection control device shall be consistent with the degree of hazard for backflow protection of the active distribution system. The flow shall be at a constant, measured rate into the newly installed water main. In the absence of a meter, approximate the rate by placing a Pitot gauge in the discharge or measuring the time to fill a container of known volume. The main should undergo hydrostatic pressure testing prior to disinfection.
  - b. At a point not more than 10 feet downstream from the beginning of the new main, dose the water entering the new main with chlorine fed at a constant rate such that the water will have not less than 100 mg/L free chlorine. Measure the chlorine concentration at regular intervals to ensure that this concentration is provided. Measure chlorine in accordance with the procedures described in the current edition of the AWWA Manual M12 or of *Standard Methods for the Examination of Water and Wastewater*. The chlorine shall be applied continuously and for a sufficient period to develop a solid column or "slug" of chlorinated water that will, as it moves through the main, expose all interior surfaces to a concentration of approximately 100 mg/L for at least 3 hours.
  - c. The free chlorine residual shall be measured in the slug as it moves through the main. If at any time it drops below 50 mg/L, stop the flow, relocate the chlorination equipment to the head of the slug, and as flow is resumed, apply chlorine to restore the free chlorine in the slug to not less than 100 mg/L.





d. As the chlorinated water flows past fittings and valves, operate related valves and hydrants so as to disinfect appurtenances and pipe branches.

### C. Tablet Method

- 1. <u>Set-up</u>
- a. The tablet method consists of adhering calcium hypochlorite tablets in the water main as it is being installed and then filling the main with potable water when installation is completed. This method may be used only if the pipes and appurtenances are kept clean and dry during construction and with permission by AW for short main installations.
- 2. Chlorinating the Main
- a. Placing of Calcium Hypochlorite Tablets -. During construction, 5-g calcium hypochlorite tablets shall be placed in each section of pipe. Also, one such tablet shall be placed in each hydrant, hydrant branch, and other appurtenance. The number of 5-g tablets required for each pipe section shall be 0.0012 d<sup>2</sup>L rounded to the next higher integer, where d is the inside pipe diameter, in inches, and L is the length of the pipe section, in feet. Table 3 below shows the number of tablets required for commonly used sizes of pipe. The calcium hypochlorite tablets shall be attached by an adhesive meeting the NSF/ANSI 61 requirements. There shall be no adhesive on the tablet except on the broadside attached to the surface of the pipe and no adhesive applied or spilled on the pipe surface. Excess adhesive must be removed immediately using mechanical means or an NSF-approved adhesive solvent. Attach all the tablets inside and at the top of the main, with approximately equal numbers of tablets at each end of a given pipe length. If the tablets are attached before the pipe section is placed in the trench, their position shall be marked on the section so it can be readily determined that the pipe is installed with the tablets at the top.



TABLE 3	
Number of 5-g Calcium Hypochlorite Tablets required for dose of 25 mg/L *	

	Length of Pipe Section, ft						
Pipe Diameter	13 or less	18	20	30	40		
inches	Number of 5-g Calcium Hypochlorite Tablets						
4	1	1	1	1	1		
6	1	1	1	2	2		
8	1	2	2	3	4		
10	2	3	3	4	5		
12	3	4	4	6	7		
16	4	6	7	10	13		

\* Based on 3.25g available chlorine per tablet.

- b. Filling and Contact When installation has been completed, the main shall be filled with water at a rate such that water within the main will flow at a velocity no greater than 1 ft/sec. Precautions shall be taken to ensure that air pockets are eliminated. Fill rate must be carefully controlled to ensure tablets do not come loose from the pipe. This water shall remain in the pipe for at least 24 hours. If the water temperature is less than 41°F (5°C), the water shall remain in the pipe for at least 48 hours. A detectable free chlorine residual (≥0.2 mg/l) shall be found at each sampling point after the 24 or 48 hr period.
- D. Spray Disinfection For Large Transmission Main

For very large transmission mains(where equipment and personnel may safely enter the main), spray disinfection may be appropriate and efficient means of achieving disinfection. For this meathod, refer to ANSI/AWWA C652, Sec. 4.3.2 (Disinfection of Water Storage Facilities; Chlorination Meathod 2.) In general, once the pipe is cleaned, spray a 200 mg/l free chlorine solution on all surfaces. After 30 min, fill line and sample as described in Sec 3.05.

- 3.04 DISPOSAL OF HEAVILY CHLORINATED WATER
  - A. Do not keep heavily chlorinated water in contact with pipe for more than 48 hours after the applicable retention period. In order to prevent damage to the pipe lining or corrosion damage to the pipe itself, flush the heavily chlorinated water



from the main fittings, valves, and branches until chlorine measurements show that the concentration in the water leaving the main is no higher than that generally prevailing in the distribution system or is acceptable for domestic use. Take all steps necessary to dechlorinate water where required per Paragraph 3.04B and 3.04C below. Contact the local sewer department to arrange for disposal of the heavily chlorinated water to the sanitary sewer if applicable or permissible.

- B. Neutralize the chlorine residual of the water being disposed of by treating with one of the chemicals listed in Table 4 below. Select an alternative disposal site if a sanitary sewer system is unavailable for disposal of the chlorinated water.
- C. The proposed alternative disposal site shall be inspected and approved by AW. Apply a reducing agent to the chlorinated water to be wasted to completely neutralize the chlorine residual remaining in the water. (See Table 4 for neutralizing chemicals. Do not overdose neutralizing chemicals as this may result in adverse environmental impacts. Only dose the amount required to neutralize the amount of chlorine present). Contact Federal, State and local regulatory agencies, where necessary, to determine special provisions for the disposal of heavily chlorinated water.

Residual	Sulfur	Sodium	Sodium	Sodium Thiosulfate	Ascorbic
Chlorine	Dioxide	Bisulfite	Sulfite	( <u>Na₂S₂O₃ · 5H₂O)</u>	Acid
Concentration	( <u>SO</u> 2)	( <u>NaHSO</u> ₃)	( <u>Na2SO3</u> )	lb	( <u>C6O8H6</u> )
mg/L	lb	lb	lb		lb
1	0.8	1.2	1.4	1.2	2.1
2	1.7	2.5	2.9	2.4	4.2
10	8.3	12.5	14.6	12.0	20.9
50	41.7	62.6	73.0	60.0	104.0

### <u>TABLE 4</u> <u>Pounds of chemicals required to neutralize various</u> <u>residual chlorine concentrations in 100,000 g</u>allons of water

- D. Test for chlorine residual throughout the disposal process to be sure that the chlorine is neutralized.
- E. Submit a plan of disposal of flushed water to AW for approval



### 3.05 BACTERIOLOGICAL TESTING

A. Standard conditions for new mains.

It should be recognized that the primary means of ensuring the sanitary integrity of a main are the sanitary handling of materials, the practices during construction, and continual inspection of work. After disinfection and final flushing such that typical system chlorine residuals are present, if the system operates with a residual, samples shall be collected as follows:

a. For new mains, the purchaser has two options for the bacteriological testing for total coliform analysis.

Option A: Before approving a main for release, take an initial set of samples and then resample again after a minimum of 16 hr using the sampling site procedures outlined. Both sets of samples must pass for the main to be approved for release.

Option B: Before approving a main for release, let it sit for a minimum of 16 hr without any water use. Then collect, using the sampling site procedures outlined and without flushing the main, two sets of samples a minimum of 15 min apart while the sampling taps are left running. Both sets of samples must pass for the main to be approved for release.

A set of samples includes all samples collected along the length of the pipeline, as described below:

- i. For new mains, sets of samples shall be collected every 1,200 ft (370 m) of the new water main, plus one set from the end of the line and at least one from each branch greater than one pipe length.
- ii. If trench water has entered the new main during construction or if, in the opinion of the purchaser, excessive quantities of dirt or debris have entered the new main, bacteriological samples shall be taken at intervals of approximately 200 ft (61 m), and the sampling location shall be identified (see Sec. 5.1.3 for sampling location details). Samples shall be taken of water that has stood in the new main for at least 16 hr after final flushing has been completed.
- iii. A standard heterotrophic plate count (HPC) test may be required at the option of the purchaser because new mains do not typically contain coliform bacteria but often contain HPC bacteria. If sample results show HPC greater than 500 CFU/mL, flushing should resume and another set of HPC and coliform samples collected until no coliform are present and the HPC is less than 500 CFU/mL.



b. Standard conditions for repaired mains.

For repaired mains that were depressurized and/or wholly or partially dewatered, one set of samples may be required, and depending upon the sanitary conditions, the line may be reactivated prior to the completion of bacteriological testing. Samples shall be collected downstream of the repair site and at intervals of approximately 200 ft (61 m) within the length of pipe that was shut down. If direction of flow is not known, samples shall be collected on either side of the repair site.

- B. Samples shall be collected by a person knowledgeable in collecting samples for bacteriological sampling or arrange for AW to collect the sample. Coordinate with AW and submit samples to AW for testing of bacteriological (chemical and physical) quality. Testing will be in accordance with *Standard Methods of the Examination of Water and Wastewater*. Samples shall show the absence of coliform organisms; and the presence of a chlorine residual. Samples shall also be tested for turbidity, pH, and standard heterotrophic plate count (HPC). HPC levels must be consistent with levels normally found in the distribution system to which the new main is connected.
- C. Bacteriological tests must show complete absence of coliforms and acceptable HPCs. If tests show the presence of coliform or unacceptable HPCs, perform additional flushing and disinfection of the pipeline until acceptable tests are obtained, all at no cost to AW. The Contractor will not be charged for the additional testing performed by AW.
- 3.06 RETESTING AND TESTING SOURCE WATER
  - A. At the time of initial flushing the main to remove material and test for air pockets, Contractor may request AW to continue flushing until the desired chlorine residual is met at the discharge point. Notification must be provided in advance and the Contractor shall be prepared to test for chlorine at intervals of no more than five minutes as the water clears. This will provide the Contractor with some assurance that the source water is chlorinated.
  - B. If the subsequent tests for bacteriological contamination conducted by the Contractor fail, the Contractor may request AW to continue flush from the source water into the new pipe system until a chlorine residual is found at the discharge point. Notification must be provided in advance and the Contractor shall be prepared to test for chlorine at intervals of no more than five minutes as the water clears. The operation of all existing system valves shall be by AW at the Contractor's expense and the discharge point must be opened prior to opening existing valves to avoid contamination. This will provide the Contractor with some assurance that the source water is chlorinated for subsequent tests.



## 3.07 DISINFECTION PROCEDUCES FOR CUTTING INTO OR REPAIRING EXISTING MAIN

The planned, unplanned, or emergency repair of a water main or appurtenance (e.g., valve) is time sensitive—an important goal is to minimize the disruption of water service to customers. Nonetheless, the repair work needs to be accomplished using sanitary and safe procedures by well-trained crews with proper supervision and guidance.

A. Basic disinfection.

Work should follow basic disinfection and contamination prevention procedures:

- 1. Preventing contaminants from entering the existing pipe during the repair such as by maintaining positive pressure in the leaking pipe until the repair site on the pipe is fully exposed, by maintaining a dewatered trench, and by keeping all pipe materials being used in the repair in a clean and sanitary condition.
- 2. Inspecting and cleaning, followed by disinfection of spraying or swabbing with a minimum 1 percent chlorine solution:
  - Exposed portions of existing pipe interior surfaces
  - Pipe materials used in the repair
  - Handheld materials and tools used to make the repair
- 3. As appropriate, advising affected customers to adequately flush their service lines upon return to service.
- B. Selection of disinfection procedure.

The disinfection procedure selected should be determined by the conditions and severity of the main break. Many leaks or breaks can be repaired under controlled conditions without depressurizing the water main, such as when applying a clamp to a small crack or hole, thus preventing contaminants from entering the water system. In most other situations, the water main can be maintained pressurized until the break site is secured and the pipe is fully exposed. Some circumstances (e.g., severe erosion of the local environment or icing of the roadway) that impact public safety may require that water pressure be substantially reduced prior to exposing the pipe in the area of the leak. In some cases, situations become catastrophic where there is a pipe blowout and a loss of water pressure prior to shutdown, requiring disinfection procedures equivalent to those of a new main installation.

The procedures below describe the contamination risks and the associated disinfection and sampling requirements for different scenarios of pipeline repair.



Specific situations not captured below need to be evaluated and the appropriate disinfection and sampling methods followed.

I. Controlled pipe repair without depressurization.

In this situation, activities are well controlled and a full shutdown is not needed, thus maintaining positive pressure to the area of shutdown and around the break site at all times. The repair site is exposed and the trench is adequately dewatered so that the repair site can be cleaned and disinfected by spraying or swabbing with a minimum 1 percent chlorine solution. The water main is then returned to service with flushing to obtain three volumes of water turnover, making sure that the flushed water is visually clear. No bacteriological testing is necessary.

II. Controlled pipe repair with depressurization after shutdown.

In this situation, after the repair site has been exposed and secured from trench soil/ water contamination, the water main is depressurized by a shutdown to complete the repair. The repair site should be cleaned and disinfected by spraying or swabbing with a minimum 1 percent chlorine solution. The water main is then returned to service with flushing to scour the pipe and obtain three volumes of water turn-over, making sure that the flushed water is visually clear. It is advisable to check for a typical system chlorine residual, and if not found, to continue flushing until residuals are restored to levels maintained in the distribution system by the water utility-if the system operates with a disinfectant residual. When the existing pipe has to be opened and the interior surfaces of the water system exposed to the environment, additional procedures need to be followed. The existing pipe should be inspected and cleaned with the help of flushing water into the trench, where possible, until the flush water runs visually clear. The repair site should be accessible and the trench adequately dewatered so that the repair site can be cleaned and disinfected by spraying or swabbing with a minimum 1 percent chlorine solution. Additionally, any accessible upstream and downstream interior of the existing pipe should be disinfected by swabbing or spraying with a minimum 1 percent chlorine solution. If the repair requires a full pipe section replacement, the new pipe should be inspected, cleaned, and disinfected from both ends by swabbing with a minimum 1 percent chlorine solution. The water main may then be returned to service after flushing to scour the pipe and obtain three volumes of water turnover. The flushed water should run visually clear, have measurable chlorine residual if the system operates with a residual, and be checked with bacteriological testing. The pipeline may be returned to service prior to obtaining bacteriological results.



III. Uncontrolled pipe break with a likelihood of water contamination or loss of sanitary conditions during repair.

In situations in which the existing main to be repaired could not be protected and kept free of contamination and there are obvious signs of contamination (e.g., muddy trench water flowing into the broken pipe and a leaking sewer pipe in the trench, or catastrophic pipe failure where pipe is open and there is a likelihood that contamination was drawn into the active system) or when a controlled repair situation turns into a situation in which the internal pipe and water have become contaminated, the procedures outlined under Section 3.03 should be followed where practical. These methods specify chlorine doses of 25-300 mg/L; however, such levels may present greater harm if the line or services cannot be reliably isolated or shut down and exposure of customers to high concentrations of chlorine cannot be controlled. Free chlorine residuals up to 4 mg/L (based on annual averages) are allowed by federal drinking water regulations; therefore this level is suggested as a minimum to be maintained for at least 16 hr in conjunction with flushing, coliform sampling, and associated customer education.

- C. Flushing Thorough flushing is the most practical means of removing contamination introduced during repairs. If valve and hydrant location permit, flushing toward the work location from both directions is recommended. Flushing shall be started as soon as the repairs are completed and shall be continued until discolored water is eliminated.
- D. Slug Chlorination Where practical, in addition to flushing, the section of the main in which the break is located shall be isolated, all service connections shut off, and the section flushed and chlorinated as described in Paragraph 3.03B above (Slug Method). The dose may be increased to as much as 300 mg/L and the contact time reduced to as little as 15 minutes. After chlorinated and the chlorine concentration in the water exiting the main is no higher than the prevailing water in the distribution system or that which is acceptable for domestic use.
- E. Bacteriological Samples Bacteriological samples following procedures in Paragraph 3.05 above shall be taken after repairs are completed to provide a record for determining the procedure's effectiveness. If the direction of flow is unknown, then samples shall be taken on each side of the main break. If positive bacteriological samples are recorded, then the situation shall be evaluated by AW to determine corrective action. Daily sampling shall be continued until two consecutive negative samples are recorded.

### ND OF SECTION 33 01 10.15

### SECTION 33 01 30.13

## ACCEPTANCE TESTING FOR SANITARY SEWERS 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



### SECTION 33 01 30.13

### ACCEPTANCE TESTING FOR SANITARY SEWERS

### PART 1: GENERAL

### 1.01 PERFORMANCE REQUIREMENTS

- A. Gravity flow sanitary sewers are required to have straight alignment and uniform grade between manholes.
- B. All new sanitary sewers shall be Mandrel Tested. If the Mandrel Test is failed, the pipe shall also be televised in accordance with the requirements outlined in this Specification. All pipe must pass Mandrel Test before final acceptance.
- C. Laser Light Profiling in accordance with the requirements outlined in this Specification is acceptable in lieu of Mandrel Test.
- D. Flexible pipe, including "semi-rigid" pipe, is required to show no more than 5 percent deflection. Test pipe using Standard Mandrel no sooner than 30 days after backfilling and compacting of line segment but prior to final acceptance to verify that installed pipe is within specified deflection tolerances.
- E. Gravity sewer pipelines may be tested using Infiltration or Exfiltration Test method or Low Pressure Air Test.
- F. Maximum allowable leakage for Infiltration or Exfiltration
  - 1. The total exfiltration, as determined by hydrostatic head test, shall not exceed 50 gallons per inch diameter per mile of pipe per 24 hours at minimum test head of 2 feet above crown of pipe at upstream manhole or 2 feet above groundwater elevation, whichever is greater.
  - 2. When pipes are installed more than 2 feet below groundwater level, use infiltration test in lieu of exfiltration test. Total infiltration shall not exceed 50 gallons per inch diameter per mile of pipe per 24 hours. Groundwater elevation must be at least 2 feet above crown of pipe at upstream manhole.
  - 3. Refer to Table 1 at the end of this section, for water test allowable leakage in sewers. Perform leakage testing to verify that leakage criteria are met.
- G. Perform Low Pressure Air Test in accordance with requirements of this Specification and the any State or local environmental agency requirements.
  - 1. Refer to Table 2 at the end of this section, for time allowed for pressure loss from 3.5 psig to 2.5 psig;
  - 2. Refer to Table 3 at the end of this section, for minimum testing times vacuum test; and
  - 3. Refer to Table 4 at the end of this section, for Average ID and minimum Mandrel diameter sizes.



H. Lines will not be accepted until they pass all required tests.

### 1.02 SUBMITTALS

- A. Conform to requirements of Section Submittals.
- B. Test Plan: Test plan shall be submitted to the AW Project Manager for review two weeks prior to testing. Test plan shall include testing procedures, methods, equipment, and tentative schedule. Contractor shall obtain advance written approval for deviations from Drawings and Specifications.
- C. Test Reports: Submit test reports for each test on each segment of sanitary sewer.
- D. Video television records shall be provided on DVD medium.
- 1.03 GRAVITY SANITARY SEWER QUALITY ASSURANCE
  - A. Repair, correct, and retest manholes or sections of pipe which fail to meet specified requirements when tested.
  - B. Provide testing reports and/or video of television inspection as directed by AW Project Manager.
  - C. Upon completion of testing reports and/or video televising reviews by the AW Project Manager, Contractor will be notified regarding final acceptance of sewer segment.
- 1.04 SEQUENCING AND SCHEDULING
  - A. Contractor shall perform testing as work progresses.
  - B. Coordinate testing schedules with the AW Project Manager. Perform testing under observation of the AW Project Manager.

### 1.05 TELEVISION INSPECTION

Pipe shall be televised in accordance with the following requirements if it fails Mandrel Test or as directed my AW Project Manager.

- A. Quality Assurance: Submit one example video DVD of previous sewer inspection work that shows operational and structural defects in sewers, complete with audio commentary and inspection log(s).
  - 1. Video and inspection logs will be reviewed to determine if quality of CCTV image is acceptable, and if defects were properly identified and documented.
  - 2. Modify equipment and/or inspection procedures to achieve report material of acceptable quality.



- 3. Do not commence work prior to approval of report by the AW Project Manager.
- B. Inspection Logs: Unless otherwise indicated, submit inspection logs that include the following as a minimum:
  - 1. Project title
  - 2. Name of American Water
  - 3. Time of day
  - 4. System map number
  - 5. Manhole to manhole pipe section
  - 6. Pipe segment length
  - 7. Pipe material
  - 8. Line size
  - 9. Compass direction of viewing
  - 10. Direction of camera's travel
  - 11. Pipe depth
  - 12. Operator name
  - 13. Tape counter reading at beginning and end of each manhole to manhole pipe segment.
- C. Video DVD's: Submit completed video DVD's after sanitary sewer main installation, cleaning and/or rehabilitation.
- D. Maintain copy of all inspection documentation (DVD's, databases, and logs) for duration of Work and warranty period.

### PART 2: PRODUCTS

### 2.01 DEFLECTION MANDREL

- A. Mandrel Sizing. Rigid mandrel shall have OD equal to 95 percent of inside ID of pipe. ID of pipe, for purpose of determining OD of mandrel, shall be average OD minus two minimum wall thicknesses for OD controlled pipe and average ID for ID controlled pipe, dimensions shall be per appropriate standard. Statistical or other "tolerance packages" shall not be considered in mandrel sizing.
- B. Mandrel Design. Rigid mandrel shall be constructed of metal or rigid plastic material that can withstand 200 psi without being deformed. Mandrel shall have nine or more "runners" or "legs" as long as total number of legs is odd number. Barrel section of mandrel shall have length of at least 75 percent of ID of pipe. Rigid mandrel shall not have adjustable or collapsible legs which would allow reduction in mandrel diameter during testing. Provide and use proving ring for modifying each size mandrel.
- C. Proving Ring. Furnish "proving ring" with each mandrel. Fabricate ring of 1/2inch-thick, 3-inch-wide bar steel to diameter 0.02 inches larger than approved mandrel diameter.
- D. Mandrel Dimensions (5 percent allowance). Average ID and minimum mandrel diameter are specified in Table 4, Pipe vs. Mandrel Diameter, at end of this

Section. Mandrels for higher strength, thicker wall pipe or other pipe not listed in table may be used when approved by AW Project Manager.

### 2.02 EXFILTRATION TEST

- A. Test Equipment:
  - 1. Pipe plugs.
  - 2. Pipe risers where manhole cone is less than 2 feet above highest point in pipe or service lead.

### 2.03 INFILTRATION TEST

- A. Test Equipment:
  - 1. Calibrated 90 degree V-notch weir.
  - 2. Pipe plugs.

### 2.04 LOW PRESSURE AIR TEST

- A. Minimum Requirement for Equipment:
  - 1. Control panel
  - 2. Low-pressure air supply connected to control panel.
  - 3. Pneumatic plugs: Acceptable size for diameter of pipe to be tested; capable of withstanding internal test pressure without leaking or requiring external bracing.
  - 4. Air hoses from control panel to:
    - 1. Air supply.
    - 2. Pneumatic plugs.
    - 3. Sealed line for pressuring.
    - 4. Sealed line for monitoring internal pressure.
- B. Testing Pneumatic Plugs: Place pneumatic plug in each end of length of pipe on ground. Pressurize plugs to 25 psig; then pressurize sealed pipe to 5 psig. Plugs are acceptable when they remain in place against test pressure without external aids.
- C. Pressure gauges used for testing shall have no greater than 1 psi increment marking or as directed by the AW Project Manager for the satisfactory evaluation of the required testing.

### 2.05 GROUND WATER DETERMINATION

- A. Equipment: Pipe probe or small diameter casing for ground water elevation determination.
- 2.06 SMOKE TESTING



- A. Equipment:
  - 1. Pneumatic plugs.
  - 2. Smoke generator.
  - 3. Blowers producing 2500 scfm minimum.

### 2.07 TELEVISION INSPECTION MATERIALS AND EQUIPMENT

- A. DVD: Standard size medium usable in laptop and television DVD players.
  - 1. Audio portion of composite DVD shall be sufficiently free from electrical interference and background noise to provide complete intelligibility of oral report.
  - 2. Identify each tape with tape labels showing Project Name, Contractor's name, and each manhole-to-manhole pipe segment of sewer line represented on tape.
- B. Television Inspection Camera(s): Equipped with rotating head, capable of 90degree rotation from horizontal and 360-degree rotation about its centerline.
  - 1. Minimum Camera Resolution: 400 vertical lines and 460 horizontal lines.
  - 2. Camera Lens: Not less than 140 degree viewing angle, with automatic or remote focus and iris controls.
  - 3. Focal Distance: Adjustable through range of 6 inches (152 mm) to infinity.
  - 4. Camera(s) shall be intrinsically safe and operative in 100 percent humidity conditions.
  - 5. Lighting Intensity: Remote-controlled and adjusted to minimize reflective glare.
  - 6. Lighting and Camera Quality: Provide clear, in-focus picture of entire inside periphery of sewer.
- C. Footage Counter: Measures distance traveled by camera in sewer, accurate to plus or minus 2 feet in 1,000 feet.
- D. Video Titling: Video equipment shall include genlocking capabilities to extent that computer generated data (such as footage, date, and size as determined by SDR), can be overlaid onto video, and be indicated on television monitor and permanently recorded on inspection DVD.

### 2.08 FORCEMAIN TESTING

A. For system operating pressures of 200 psi or less, perform the hydrostatic test at a pressure of no less than 100 psi above the normal operating pressure without exceeding the rating of the pipe and appurtenances. For system operating

pressures in excess of 200 psi, perform the hydrostatic test at a pressure that is 1.5 times the normal operating pressure, but no more than the design rating of the pipe and appurtenances.

- B. The test pressure shall not exceed the rated working pressure or differential pressure of the valves when the pressure boundary of the test section.
- C. Comply with hydrostatic testing requirements as outlined in Specification Section 33 01 10.13 Pressure And Leakage Tests

### 2.08LASER LIGHT PROFILING

- A. Laser profiling assessment shall identify and quantify deformation, physical damage, and other pipe anomalies after installation, providing valuable means and methods for determining the quality of workmanship and compliance with project specifications.
- B. Contractors accredited as CCTV operators must demonstrate to AW Project Manager's satisfaction that they have undergone training in the use of the Laser Light profiler and are competent in its use.
- C. The laser light profiling practice shall be in accordance with all applicable ASTM Standards (ASTM F3080, ASTM F3095, etc). The Laser Light profiling equipment shall be regularly serviced and certified per ASTM standards.
- D. The viewing and analysis of outputs shall be completed to AW Project Managers' satisfaction.

### PART 3: EXECUTION

- 3.01 PREPARATION
  - A. Provide labor, equipment, tools, test plugs, risers, air compressor, air hose, pressure meters, pipe probe, calibrated weirs, or any other device necessary for proper testing and inspection.
  - B. Determine selection of test methods and pressures for gravity sanitary sewers based on ground water elevation. Determine ground water elevation using equipment and procedures conforming to Control of Ground Water and Surface Water.

### 3.02 MANDREL TESTING FOR GRAVITY SANITARY SEWERS

- Perform deflection testing on flexible and semi-rigid pipe to confirm pipe has no more than 5 percent deflection. Mandrel testing shall conform to ASTM D3034.
   Perform testing no sooner than 30 days after backfilling of line segment, but prior to final acceptance testing of line segment.
- B. Pull approved mandrel by hand through sewer sections. Replace any section of sewer not passing mandrel. Mandrel testing is not required for stubs.



C. Retest repaired, replaced, re-excavated, or re-compacted sewer sections.

### 3.03 LEAKAGE TESTING FOR GRAVITY SANITARY SEWERS

- A. Test Options:
  - 1. Test gravity sanitary sewer pipes for leakage by either exfiltration or infiltration methods, as appropriate, or with low pressure air testing.
  - 2. Test new or rehabilitated sanitary sewer manholes with water or vacuum test. Manholes tested with low pressure air shall undergo physical inspection prior to testing.
  - 3. Perform leakage testing after backfilling of line segment, and prior to tie-in of service connections.
  - 4. If no installed piezometer is within 500 feet of sewer segment, provide temporary piezometer for this purpose.
- B. Compensating for Ground Water Pressure:
  - 1. Where ground water exists, install pipe nipple at same time sewer line is placed. Use <sup>1</sup>/<sub>2</sub>-inch capped pipe nipple approximately 10-inches long. Make installation through manhole wall on top of sewer line where line enters manhole.
  - 2. Immediately before performing line acceptance test, remove cap, clear pipe nipple with air pressure, and connect clear plastic tube to nipple. Support tube vertically and allow water to rise in tube. After water stops rising, measure height in feet of water over invert of pipe. Divide this height by 2.3 ft/psi to determine ground water pressure to be used in line testing.
- C. Exfiltration test:
  - 1. Determine ground water elevation.
  - 2. Plug sewer in downstream manhole.
  - 3. Plug incoming pipes in upstream manhole.
  - 4. Install riser pipe in outgoing pipe of upstream manhole when highest point in service lead (house service) is less than 2 feet below bottom of manhole cone.
  - 5. Fill sewer pipe and manhole or pipe riser, when used, with water to point 2-1/2 feet above highest point in sewer pipe, house lead, or ground water table, whichever is highest.
  - 6. Allow water to stabilize for one to two hours. Take water level reading to determine drop of water surface, in inches, over one-hour period, and calculate water loss (1 inch of water in 4 feet diameter manhole equals 8.22 gallons) or measure quantity of water required to keep water at same level. Loss shall not exceed that calculated from allowable leakage according to Table 1.



- D. Infiltration test: Ground water elevation must be not less than 2 feet above highest point of sewer pipe or service lead (house service).
  - 1. Determine ground water elevation.
  - 2. Plug incoming pipes in upstream manhole.
  - 3. Insert calibrated 90 degree V-notch weir in pipe on downstream manhole.
  - 4. Allow water to rise and flow over weir until it stabilizes.
  - 5. Take five readings of accumulated volume over period of 2 hours and use average for infiltration. Average must not exceed that calculated for 2 hours from allowable leakage according to Table 1.
- E. Low Air Pressure Test: When using this test conform to ASTM C 828, ASTM C 924, or ASTM F1417, as applicable, with holding time not less than that listed in Table 2 below.
  - 1. Air testing for sections of pipe shall be limited to lines less than 27-inch average inside diameter. Larger pipe diameters can be low pressure air tested if the testing protocol is designed by a local P.E and if approved by AW Project Manager.
  - 2. Lines 27-inch average inside diameter and larger shall be tested at each joint. Minimum time allowable for pressure to drop from 3.5 pounds per square inch gauge to 2.5 pounds per square inch during joint test shall be 10 seconds, regardless of pipe size.
  - 3. For pipe sections less than 27-inch average inside diameter:
    - a. Determine ground water level.
    - b. Plug both ends of pipe. For concrete pipe, flood pipe and allow 2 hours to saturate concrete. Then drain and plug concrete pipe.
    - c. After manhole-to-manhole section of sanitary sewer main has been sliplined and prior to any service lines being connected to new liner, plug liner at each manhole with pneumatic plugs.
    - d. Pressurize pipe to 4.0 psig. Increase pressure 1.0 psi for each 2.3 feet of ground water over highest point in system. Allow pressure to stabilize for 2 to 4 minutes. Adjust pressure to start at 3.5 psig (plus adjustment for ground water table). See Table 2.
    - e. To determine air loss, measure time interval for pressure to drop to 2.5 psig. Time must exceed that listed in Table 2 for pipe diameter and length. For sliplining, use diameter of carrier pipe.
- F. Retest: Repair and retest any section of pipe which fails to meet requirements.

### 3.04 TEST CRITERIA TABLES

- A. Exfiltration and Infiltration Water Tests: Refer to Table 1, for water test allowable leakage.
- B. Low Pressure Air Test:



1. Time in Table 2, allowed for pressure loss from 3.5 psig to 2.5 psig, are based on equation from Texas Commission on Environmental Quality (TCEQ) Design Criteria 217.57(a)(1). If the State where the project is being completed has more stringent times, the local state's requirements will apply.

		T = 0.0850(D)(K)/(Q)
		Time for pressure to drop 1.0 pounds per square inch
		gauge in seconds
	K =	0.000419 DL, but not less than 1.0
	D =	Average inside diameter in inches
	L =	Length of line of same pipe size in feet
	Q =	Rate of loss, 0.0015 ft <sup>3</sup> /min./sq.ft. internal surface

2. Since K value of less than 1.0 shall not be used, there are minimum testing times for each pipe diameter as given in Table 2, for minimum testing times for low pressure air test.

Notes:

- 1. When two sizes of pipe are involved, compute time by ratio of lengths involved.
- 2. If joint test is used, perform visual inspection of joint immediately after testing.
- 3. Testing may be terminated if no pressure loss has occurred during the first 25% of the calculated testing time as described in this section.
- 4. For joint test, pipe is to be pressurized to 3.5 psi greater than pressure exerted by groundwater above pipe. Once pressure has stabilized, time allowable for pressure to drop from 3.5 pounds psi gauge to 2.5 psi gauge shall be a minimum of 10 seconds.

### 3.05 LEAKAGE TESTING FOR MANHOLES

- A. After completion of manhole construction, wall sealing, or rehabilitation, test manholes for water tightness using hydrostatic or vacuum testing procedures.
- B. Plug influent and effluent lines, including service lines, with suitably-sized pneumatic or mechanical plugs. Ensure plugs are properly rated for pressures required for test; follow manufacturer's safety and installation recommendations. Place plugs minimum of 6 inches outside of manhole walls. Brace inverts to prevent lines from being dislodged when lines entering manhole have not been backfilled.
- C. Vacuum testing:
  - Install vacuum tester head assembly at top access point of manhole and adjust for proper seal on straight top section of manhole structure. Following manufacturer's instructions and safety precautions, inflate sealing element to recommended maximum inflation pressure; do not over-inflate.
  - 2. Evacuate manhole with vacuum pump to 10-inches mercury (Hg),

disconnect pump, and monitor vacuum for time period specified in Table - 3, Vacuum Test Time Table.

- 3. If drop in vacuum exceeds 1- inch Hg over specified time period tabulated in Table 3, locate leaks, complete repairs necessary to seal manhole and repeat test procedure until satisfactory results are obtained.
- D. Perform hydrostatic exfiltration testing as follows:
  - 1. Seal wastewater lines coming into manhole with internal pipe plug. Then fill manhole with water and maintain it full for at least one hour.
  - 2. The maximum leakage for hydrostatic testing shall be 0.025 gallons per foot diameter per foot of manhole depth per hour.
  - 3. If water loss exceeds amount tabulated above, locate leaks, complete repairs necessary to seal manhole and repeat test procedure until satisfactory results are obtained.

### 3.06 SMOKE TEST PROCEDURE FOR POINT REPAIRS

- A. Application: Perform smoke test to:
  - 1. Locate points of line failure for point repair.
  - 2. Determine when point repairs are properly made.
  - 3. Determine when service connections have been reconnected to rehabilitated sewer.
  - 4. Check integrity of connections to newly replaced service taps to liners and to existing private service connections.
- B. Limitations: Do not backfill service taps until completion of this test. Test only those taps in single manhole section at one time. Keep number of open excavations to minimum.
- C. Preparation: Prior to smoke testing, give written notices to area residents no fewer than 2 days, nor more than 7 days, prior to proposed testing. Also give notice to local police and fire departments 24 hours prior to actual smoke testing.
- D. Isolate Section: Isolate manhole section to be tested from adjacent manhole sections to keep smoke localized. Temporarily seal annular space at manhole for sliplined sections.
- E. Smoke Introduction:
  - 1. Operate equipment according to manufacturer's recommendation and as approved by AW Project Manager.
  - 2. Conduct test by forcing smoke from smoke generators through sanitary sewer main and service connections. Operate smoke generators for minimum of 5 minutes.
  - 3. Introduce smoke into upstream and downstream manhole as appropriate. Monitor tap/connection for smoke leaks. Note sources of leaks.



- F. Repair and Retest: Repair and replace taps or connections noted as leaking and then retest. Taps and connections may be left exposed in only one manhole section at time. When repair or replacement, testing or retesting, and backfilling of excavation is not completed within one work day, properly barricade and cover each excavation as approved by AW Project Manager.
- G. Service Connections: On houses where smoke does not issue from plumbing vent stacks to confirm reconnection of sewer service to newly installed liner pipe, perform dye test to confirm reconnection. Introduce dye into service line through plumbing fixture inside structure or sewer cleanout immediately outside structure and flush with water. Observe flow at service reconnection or downstream manhole. Detection of dye confirms reconnection.

### 3.07 TELEVISION INSPECTION PROCEDURES

- A. SEWER FLOW REQUIREMENTS
  - 1. Do not exceed depth of flow shown in Table below for respective pipe sizes as measured in manhole when performing TV inspection.
  - 2. When depth of flow at upstream manhole of sewer line section being worked is above maximum allowable for TV inspection, reduce flow to level shown in table below, by plugging or blocking of flow, or by pumping and bypassing of flow as specified.

Nominal Pipe Diameter	Maximum Depth of Flow
6" - 10"	20 percent of pipe diameter
12" - 24"	25 percent of pipe diameter

### Maximum Depth of Flow for TV Inspection

### B. SEQUENCE OF WORK

Perform Work in the following sequence:

- 1. Clean sewer lines and manholes in accordance with requirements of Specifications".
- 2. Perform TV inspection to comply with requirements of this specification.

### C. INSPECTION REQUIREMENTS

- 1. Access: AW shall have access to observe monitor and other operations at all times.
- 2. DVD Commentary: Record the following information on audio track of DVD inspection tape: narrative of location, direction of view, manhole numbers, pipe diameter and material, date, time of inspection, and location of laterals and other key features



- a. DVD shall visually display this information at beginning and end of each manhole-to-manhole pipe segment.
- b. DVD between manholes shall visually display length in feet from starting point of given segment.
- 3. Sewer Identification: DVD and inspection documentation shall include sewer line and manhole identifiers shown on Drawings. After installation of liner, use upstream manhole as identifier in conjunction with distance meter.
- 4. Image Perspective: Camera image shall be down center axis of pipe when camera is in motion.
  - a. Provide 360-degree sweep of pipe interior at points of interest, to more fully document existing condition of sewer.
  - b. Points of interest may include, but are not limited to the following: defects, encrustations, mineral deposits, debris, sediment, and any location determined not to be clean or part of proper liner installation, and defects in liner that include, but are not limited to bumps, folds, tears, and dimples.
  - c. Cabling system employed to transport camera and transmit its signal shall not obstruct camera's view.
- 5. Sewer Reach Length: Physically measure and record length of each sewer reach from centerline of its terminal manholes.
- 6. Inspection Rate: Camera shall be pulled through sewer in either direction, but both inspections are to be in same direction. Maximum rate of travel shall be 30 feet (9 m) per minute when recording.
- D. FIELD QUALITY CONTROL
  - 1. AW will review DVD's and logs to ensure lines are clean and free of visible defects.
  - 2. If sewer line, in sole opinion of AW, is not adequately clean, and free of visual defects it shall be re-cleaned and CCTV-inspected by Contractor at no additional cost.



TABLE 1
WATER TEST ALLOWABLE LEAKAGE

DIAMETER OF RISER OR	VOLUME PER DEPT	-	ALLOWANCE LEAKAGE*		
STACK IN INCHES	INCH	GALLONS	PIPE SIZE IN INCHES	GALLONS/MINUTE PER 100FT.	
1	0.7854	.0034	6	0.0039	
2	3.1416	.0136	8	0.0053	
2.5	4.9087	.0212	13	0.0066	
3	7.0686	.0306	12	0.0079	
4	12.5664	.0306	15	0.0099	
5	19.6350	.0544	18	0.0118	
6	28.2743	.1224	21	0.0138	
8	50.2655	.2176	24	0.0158	
			27	0.0177	
			30	0.0197	
			36	0.0237	
			42	0.0276	
	For other diameters, multiply square of diameters by			s per inch of inside	
value of 1" diamet	er		diameter per mile per 2	24 hours	

\* Allowable leakage rate shall be reduced to 10 gallons per inch of inside diameter per mile per 24 hours, when sewer is identified as located within 25-year flood plain



# TABLE 2ACCEPTANCE TESTING FOR SANITARY SEWERSLOW PRESSURE AIR TESTTIME ALLOWED FOR PRESSURE LOSS FROM 3.5 PSIG TO 2.5 PSIG

Pipe	Specification Time for Lengths Below (Min:Sec)								Time					
(In)	100 ft	150 ft	200 ft	250 ft	300 ft	350 ft	400 ft	450 ft	500 ft	550 ft	600 ft		Longer Length (Sec)	
6	5:40	5:40	5:40	5:40	5:40	5:40	5:42	6:25	7:07	7:50	8:33	0.854	x L (ft)	
8	7:33	7:33	7:33	7:33	7:36	8:52	10:08	11:24	12:40	13:56	15:12	1.519	x L (ft)	
10	9:27	9:27	9:27	9:54	11:52	13:51	15:50	17:48	19:47	21:46	23:45	2.374	x L (ft)	
2	11:20	11:20	11:20	14:15	17:06	19:57	22:48	25:39	28:30	31:20	34:11	3.419	x L (ft)	
15	14:10	14:10	17:48	22:16	26:43	31:10	35:37	40:04	44:31	48:58	53:25	5.342	x L (ft)	
18	17:00	19:14	25:39	32:03	38:28	44:52	51:17	57:42	64:06	70:31	76:56	7.692	x L (ft)	
21	19:50	26:11	34:54	43:38	52:21	61:05	69:48	78:32	87:15	95:59	104:42	10.47	x L (ft)	
24	22:48	34:11	45:35	56:59	68:23	79:47	91:10	102:34	113:58	125:22	136:46	13.67	x L (ft)	
27	28:51	43:16	57:42	72:07	86:33	100:58	115:24	129:49	144:14	158:40	173:05	17.3	x L (ft)	
30	35:37	53:25	71:14	89:02	106:51	124:39	142:28	160:16	178:05	195:53	213:41	21.36	x L (ft)	
33	43:06	64:38	86:11	107:44	129:17	150:50	172:23	193:55	215:28	237:01	258:34	25.85	x L (ft)	



## TABLE 3 MINIMUM TESTING TIMES FOR SANITARY MANHOLES –

### VACCUM TEST TIME TABLE

DEPTH IN FEET	TIME IN SECONDS BY PIPE DIAMETER						
	48"	60"	72"				
4	10	13	16				
8	20	26	32				
12	30	39	48				
16	40	52	64				
20	50	65	80				
24	60	78	96				
*	5.0	6.5	8.0				
*Add T times for each additional 2-foot depth.							



	S. MANDREL DIAM	Average	Minimum Mandrel
Material and	Size	I.D	Diameter
Wall Construction	(Inches)	(Inches)	(Inches)
PVC-Solid (SDR 26)6	6	5.764	5.476
F VC-30110 (3D1 20)0	8	7.715	7.329
	10	9.646	9.162
	10	9.040	9.102
DVC Solid (SDD 25)12	12	11 707	11.150
PVC-Solid (SDR 35)12	12	11.737 14.374	13.655
	18	17.629	16.748
		20.783	19.744
	21	20.785	
	24		22.120
	27	26.351	25.033
PVC-Truss	8	7.750	7.363
PVC-IIuss			
	10	9.750	9.263
	12	11.790	11.201
	15	14.770	14.032
PVC-Profile (ASTM F794)	12	11.740	11.153
	12	14.370	13.652
	18	17.650	16.768
	21	20.750	19.713
	24	23.500	22.325
	27	26.500	25.175
	30	29.500	28.025
	36	35.500	33.725
	42	41.500	39.425
	48	47.500	45.125
HDPE-Profile	18	18.000	17.100
	21	21.000	19.950
	24	24.000	22.800
	27	27.000	25.650
	30	30.000	28.500
	36	36.000	34.200
	42	42.000	39.900
	48	48.000	45.600
	54	54.000	51.300
	60	60.000	57.000
Fiborglass	12	10.05	11 000
Fiberglass		12.85	11.822
(Class SN 46)	18	18.66	17.727
	20	20.68	19.646
	24	24.72	23.484
	30	30.68	29.146
	36	36.74	34.903
	42	42.70	40.565
	48	48.76	46.322
	54	54.82	52.079
		60.38	57.361

## TABLE 4PIPE VS. MANDREL DIAMETER

END OF SECTION 33 01 30.13

### SECTION 33 01 30.15

## PUMPING AND BYPASSING 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



### SECTION 33 01 30.51

Pumping and Bypassing

### PART 1: GENERAL

### 1.01 SCOPE

- A. Contractor is required to furnish all materials, labor, equipment, power, maintenance, etc. to implement a temporary pumping system for the purpose of diverting the existing flow around the work area for the duration of the Project.
- B. The design, installation, and operation of the temporary bypass pumping system shall be the Contractor's responsibility. The bypass system shall meet the requirements of all codes and regulatory agencies having jurisdiction.
- C. When directed by AW, the Contractor shall put the affected sanitary sewer line back into service at the end of each working day.
- D. All unmanned bypass pumping operations shall be fitted with an auto-dialer feature to monitor the operation of the pump and notify the Contractor in the event of a pump failure or overflow situation.

### 1.02 SUBMITTALS

- A. Conform to the requirements of Section 01 33 00 Submittal Procedures
- B. The following additional items shall be submitted for approval in accordance with Section 01 33 00:
  - Detailed Bypass Pumping Plan Contractor shall submit to AW detailed design plans and descriptions outlining all provisions and precautions to be taken by the Contractor regarding the handling of existing wastewater flows. The pumping system must be designed to provide adequate capacity for peak flows.

### PART 2: PRODUCTS

### 2.01 EQUIPMENT

- A. All pumps used shall be fully automatic self-priming units that do not require the use of foot-valves or vaccuum pumps in the priming system. The pumps may be electric or diesel powered. All pumps used must be constructed to allow dry running for long periods of time to account for the cyclical nature of effluent flows.
- B. Contractor shall provide the necessary stop/start controls for each pump.
- C. Contractor shall include one stand-by pump for each size to be maintained on site. Back-up pumps shall be on-line, isolated from the primary system by a valve.
- D. Discharge and suction piping sizing shall be determined according to flow



calculations and system operating calculations.

- E. High Density Polyethylene (HDPE) Piping shall be homogenous throughout, free of visible cracks, discoloration, pitting, varying wall thickness, holes, foreign material, or other deleterious faults. Pipe shall be assembled and joined on site using couplings, flanges or butt-fusion method to provide leak proof joint. Thread or solvent joints are not acceptable. Pipe fusion shall be carried out by personnel certified as fusion technicians by manufacturer of HDPE pipe and/or fusing equipment. Butt-fusion joints shall be true alignment and uniform roll-back beads resulting from use of proper temperature and pressure.
- F. Flexible Hoses and Associated Couplings and Connectors Flexible hose and couplings shall be abrasive resistant and suitable for the intended services (i.e., fire hoses are not permitted). They shall be rated for external and internal loads anticipated including test pressure. External load design shall incorporate anticipated traffic loadings, including traffic impact loading where applicable. When subjected to traffic loading, the system shall be composed of traffic ramps and covers maintaining a H-20 loading requirement while in use or as directed by AW.
- G. Temporary pipe supports and anchoring are required for all piping. All rigid or hard piping shall be constructed with positive restrained joints.
- H. Under no circumstance will aluminium irrigation type piping or glued PVC pipe be allowed.

### 2.02 DESIGN REQUIREMENTS

- A. Bypass pumping systems shall have sufficient capacity to pump the peak flow required. The Contractor shall provide all pipeline plugs, pumps of adequate size to handle peak flow, and temporary discharge piping to ensure that the total flow of the main can be safely diverted around the section to be repaired. Bypass pumping system may be required to be operated 24 hours a day. Contractor shall provide all necessary monitoring devices to notify the Contractor of any pump failure.
- B. The Contractor shall have adequate standby equipment available and ready for immediate operation and use in the event of an emergency or breakdown. One standby pump for each pump size utilized shall be installed at the mainline flow bypassing locations, ready for use in the event of primary pump failure.
- C. Bypass pumping system shall be capable of bypassing flow around the Work area and of releasing any amount of flow up to the full available flow into the work area as necessary for satisfactory performance of the Work.
- D. The Contractor shall make all arrangements for bypass pumping during the time when the main is shut down for any reason. The system must overcome any existing force main pressure on discharge.



### 1.03 PERFORMANCE REQUIREMENTS

- A. It is essential to the operation of the existing sewerage system that there be no interruption in the flow of sewage throughout the duration of the project. To this end, the Contractor shall provide, maintain, and operate all temporary facilities such as dams, plugs, pumping equipment (both primary and back-up units as required), conduits, all necessary power, and all other labor and equipment necessary to intercept the sewage flow before it reaches the point where it would interfere with work, carry it past the work area, and return it to the existing sewer downstream of the work area.
- B. The design, installation, and operation of the temporary pumping system shall be the Contractor's responsibility. The bypass system shall meet the requirements of all local, State, and Federal codes and regulations.
- C. Contractor shall provide all necessary means to safely convey the sewage past the work area. The Contractor will not be permitted to stop or impede the main flows under any circumstances.
- D. The Contractor shall maintain sewer flow around the work area in a manner that will not cause surcharging of sewers, damage to sewers, and that will protect public and private property from damage and flooding.
- E. The Contractor shall protect water resources, wetlands, and other natural resources.

### PART 3 EXECUTION

### 3.01 FIELD QUALITY CONTROL AND MAINTENANCE

- A. Test Contractor shall perform leakage and pressure tests of the bypass pumping discharge piping using clean water prior to actual operation. The AW Project Management will be given 24 hours notice prior to testing.
- B. Inspection Contractor shall inspect bypass pumping system every two hours to ensure that the system is working properly.
- C. Inspection All unmanned bypass pumping operations fitted with an auto-dialer feature to monitor the operation of the pumping system shall test the auto-dialers every day and confirm its completey operational and is to the satisfaction of the AW Project Manager.
- D. Maintenance Service Contractor shall insure that the temporary pumping system is properly maintained and a responsible operator shall be on hand at all times when pump(s) is operating.
- E. Extra Materials:
  - 1. Spare parts for pumps and piping shall be kept on site as required.
  - 2. Adequate hoisting equipment for each pump and accessories shall be maintained on the site.



### 3.02 REPARATION

- A. Precautions
  - 1. Contractor is responsible for locating any existing utilities in the area the Contractor selects to locate the bypass pipelines. The Contractor shall locate his bypass pipelines to minimize any disturbance to existing utilities and shall obtain approval of the pipeline locations from AW. All costs associated with relocating utilities and obtaining approvals shall be the responsibility of the Contractor.
  - 2. During all bypass pumping operation, the Contractor shall protect the pumping station and main and all local sewer lines from damage inflicted by any equipment. The Contractor shall be responsible for any physical damage to the pump station and main and all local sewer lines caused by human or mechanical failure.

### 3.03 INSTALLATION AND REMOVAL

- A. Contractor shall remove manhole sections or make connections to the existing sewer and construct temporary bypass pumping structures only at the access location indicated on the Drawings and as may be required to provide suction conduit.
- B. Plugging or blocking of sewage flows shall incorporate primary and secondary plugging devices. When plugging or blocking is no longer needed for performance and acceptance of Work, it is to be removed in a manner that permits the sewage flow to slowly return to normal without surge, to prevent surcharging, or causing other major disturbances downstream.
- C. When working inside a manhole or force main, the Contractor shall exercise caution and compy with OSHA requirements for working in the presence of sewer gases, combustible oxygen-deficient atmospheres, and confined spaces.
- D. The installation of bypass pipelines is prohibited in all saltmarsh/wetland areas. The pipeline must be located off streets sidewalks, and on shoulders of the roads. When the bypass pipeline crosses local streets and private driveways, where roadway ramps cannot be used, the Contractor must place the bypass line in trenches and cover with temporary pavement.
- E. Upon completion of the bypass pumping operations, and after the receipt of written permission from the AW Project Management, the Contractor shall remove all piping, restore all property to pre-construction condition, and restore all pavement and roadways. The Contractor is responsible for obtaining any approvals for placement of temporary pipelines from local agencies.

### END OF SECTION 33 01 30.51

### SECTION 33 11 00.15

### DUCTILE IRON PIPE AND FITTINGS 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



### SECTION 33 11 00.15

### **DUCTILE IRON PIPE AND FITTINGS**

### PART 1: GENERAL

### 1.01 COORDINATION OF WORK

Connection to existing pipelines may require shutdown of AW facilities. Closely coordinate construction work and connections with AW through AW Project Manager. The AW Project Manager, in consultation with the AW, may select the time for connection to existing pipelines, including Saturdays, Sundays, or holidays, which, in the opinion of the AW Project Manager, will cause the least inconvenience to the AW and/or its customers. Make such connections at such times as may be directed by the AW, at the Contract prices, with no claim for premium time or additional costs.

### 1.02 RELATED WORK

Section - Piping - General Provisions. Section - Polyethylene Wrap

### 1.03 SUBMITTALS

Submit shop drawings and manufacturer's literature for all Contractor supplied materials promptly to the AW Project Manager for approval in accordance with Specification Section - Submittals.

### PART 2: PRODUCTS

Research has documented that certain elastomers (such as those used in gasket material) may be subject to permeation by lower-molecular weight organic solvents or petroleum products. Products supplied under this Section assume that petroleum products or organic solvents will not be encountered. If during the course of pipeline installation the Contractor identifies, or suspects the presence of petroleum products or any unknown chemical substance, <u>notify AW</u> <u>immediately</u>. Stop installing piping in the area of suspected contamination until direction is provided by AW Project Manager.

### 2.01 REDUCTION OF LEAD IN DRINKING WATER ACT COMPLIANCE

- A. The Contractor shall comply with the requirements and standards of the Reduction of Lead in Drinking Water Act.
- B. Any pipe, fitting or fixture (e.g. corp stops, curb valves, gate valves less than 2 inches in diameter, backflow prevention devices, water meters, hose bibs, etc.), solder and flux installed or requiring replacement as of January 4, 2014 must be "lead free". The Contractor shall be responsible to comply with the State, local laws, ordinances, codes, rules, and regulations governing the Reduction of Lead in Drinking Water Act that may have additional limitations or requirements."



- C. The definition of 'lead free' is as follows:
  - 1. Not containing more than 0.2 percent lead when used with respect to solder and flux; and
  - 2. Not more than a weighted average of 0.25 percent lead when used with respect to the wetted surfaces of pipes, pipe fittings, plumbing fittings, and fixtures.
- 2.02 PIPE MATERIAL
  - A. General

Ductile iron pipe shall conform to the latest specifications as adopted by the ANSI and AWWA. Specifically, ductile iron pipe shall conform to AWWA Standard C151.

The pipe or fitting exterior shall be coated with a bituminous coating in accordance with AWWA Standard C151. The pipe or fitting interior shall be cement mortar lined and seal coated in compliance with the latest revision of AWWA Standard C104.

For wastewater systems, the pipe or fitting interior shall be lined with ceramic epoxy in accordance with ASTM Standards.

B. Quality

Pipe and fittings shall meet the following minimum quality requirements by conforming to the following:

- 1. AWWA C104 / ANSI A21.4 Cement-Mortar Lining for Ductile-Iron Pipe and Fittings
- 2. AWWA C105 / ANSI A21.5 Water Polyethylene Encasement for Ductile -Iron Pipe Systems
- 3. AWWA C110 / ANSI A21.10 Ductile Iron and Gray Iron Fittings, 3 NPS through 48 NPS for Water
- 4. AWWA C111 / ANSI A21.11 Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
- 5. AWWA C115 / ANSI A21.15 Flanged Ductile-Iron Pipe with Ductile-Iron or Gray-Iron Threaded Flanges
- 6. AWWA C116 / ANSI A21.16 Protective Fusion-Bonded Epoxy Coating for the Interior and Exterior Surfaces of Ductile-Iron and Gray-Iron Fittings for Water Supply Service
- 7. AWWA C150 / ANSI A21.50 Thickness Design of Ductile-Iron Pipe
- 8. AWWA C151 / ANSI A21.51 Ductile-Iron Pipe, Centrifugally Cast, for Water



9. AWWA C153 / ANSI A21.53 Ductile-Iron Compact Fittings, 3 NPS through 24 NPS and 54 NPS through 64 NPS, for Water Service

Ductile iron water pipe and fittings will be accepted on the basis of the Manufacturer's certification that the material conforms to this specification. The certification for iron fittings shall list a fitting description, quantity, bare fitting weight and source, (AWWA Standard C110, C153 or Manufacturer, if fitting is not listed in either standard). The certification shall accompany the material delivered to the project site. AW reserves the right to sample and test this material subsequent to delivery at the project site. If foreign manufactured fittings are provided, then the Contractor is obligated to notify AW with a submittal and provide the necessary documentation to satisfy AW that the materials provided meet the specified AWWA standards and, among other documentation that may be required, provide certificates of compliance on the component supplied.

C. Pipe Class

The pressure class of pipe to be furnished shall be in accordance with Table 1 and the notes listed below.

Table 1				
MINIMUM RATED WORKING PRESSURE FOR DUCTILE IRON PIPE MANUFACTURED IN ACCORDANCE WITH AWWA Standard C151				
Pipe Size (Inch)	Pressure Class			
6	350			
8	350			
12	350			
16	300			
20	300			
24	250			

NOTES:

- 1. Larger pipe sizes up to 54-inch can be installed as pressure Class 200 with cover up to 9 feet and an operating pressure of 200 psi, where approved by the AW Project Manager. When trench depths exceed 15 feet for pipe sizes of 16-inch or larger, AW shall direct the Contractor on the proper class pipe to use.
- 2. The noted pressure class is adequate to support 3/4 and 1-inch corporation stops. Use a full saddle for larger taps (e.g., air relief valves or larger corporations) due to limited wall thickness.
- 3. There are special conditions where a larger wall thickness is required. AW shall direct the Contractor on the proper pressure class pipe to use in

specific instances; e.g. at treatment plant or booster station sites where frequent excavation can be anticipated in the vicinity of pipe, where the pipeline is laid on a river channel bottom to prevent external damage to the pipe and minimize the potential for costly pipe replacement, etc.

D. Testing

Perform a hydrostatic test of all pipe and appurtenances as required by AWWA Standard C151 and Section - Pressure and Leakage Tests.

- E. Joints
  - 1. Mechanical and Push-On

Mechanical and push-on joints including accessories shall conform to AWWA Standard C111.

2. Flanged

Flanged joints shall conform to AWWA Standard C110 or ANSI B16.1 for fittings and AWWA Standard C115 for pipe. Do not use flanged joints in underground installations except within structures.

Furnish all flanged joints with 1/8-inch thick, red rubber or styrene butadiene rubber gaskets. The bolts shall have American Standard heavy unfinished hexagonal head and nut dimensions all as specified in American Standard for Wrench Head Bolts and Nuts and Wrench Openings (ANSI B18.2). For bolts of 1-3/4-inches in diameter and larger, bolt studs with a nut on each end are recommended. The high-strength, low-alloy steel for bolts and nuts shall have the characteristics listed in Table 6 of AWWA Standard C111.

Stainless steel nuts and bolts are required on piping within wastewater treatment plants and pump stations.

3. Restrained Joint Pipe

Restrained joints for pipes shall be of the boltless push-on type which provides joint restraint independent of the joint seal. Restrained push-on joints allowed for pipe only shall have accessories conforming to AWWA Standard C111. Restrained system shall be suitable for the following minimum working pressures:

Size	Pressure		
<u>(Inch)</u>	<u>(psi)</u>		



Less than 20	300
20	300
24	250
30 - 64	200

## 2.03 FITTINGS

#### A. Ductile Iron Fittings

Standard fittings shall be ductile iron conforming to AWWA Standard C110. Compact ductile iron fittings shall meet the requirements of AWWA Standard C153.

1. Working Pressures

Fittings shall be suitable for the following working pressures unless otherwise noted in AWWA Standard C110 or C153:

Working Pressure					
<u>Size</u>	Compact Fittings	Standard Fittings			
( <u>Inch)</u>	Ductile Iron (psi)				
3 - 24	300	250, 300 (with special gaskets)			
30 - 48	250 250				
54 - 64	150 N/A				

The use of standard ductile iron fittings having a 250 psi pressure rating with ductile iron pipe (having a rating of 350 psi) is not permitted except by the express written approval of the AW Project Manager.

2. Coating and Lining

The fittings shall be coated on the outside with a petroleum asphaltic coating in accordance with AWWA Standard C110 or fusion-bonded epoxy in accordance with AWWA Standard C116 and lined inside with cement-mortar and seal coated in accordance with AWWA Standard C104 or fusion-bonded epoxy in accordance with AWWA Standard C116.

#### B. Joints

1. Mechanical and Push-On

Mechanical and push-on joints including accessories shall conform to AWWA Standard C111. Anti-Rotation T-Bolts shall be used on mechanical joints shall be of domestic origin, high strength, low alloy steel bolts only, meeting the current provisions of ANSI/AWWA C111/A21.1 for rubber gasket joints for cast iron or ductile iron pipe and fittings. Bolt manufacturer's certification of compliance must accompany each



shipment. T-bolts shall be corrosion resistant to handle corrosive conditions on any buried bolts.

2. Flanged

Flanged joints shall meet the requirements of AWWA Standard C115 or ANSI B16.1. Do not use flanged joints in underground installations except within structures. Furnish all flanged joints with minimum 1/8-inch, thick red rubber or styrene butadiene rubber full-face gaskets. The bolts shall have heavy unfinished hexagonal head and nut dimensions all as specified in ANSI B18.2. Corrosion resistant hex bolts to handle corrosive conditions shall be used on any buried flanged bolts.

Bolts and nuts hall be threaded in accordance with ASME/ANSI B1.1, Unified Inch Screw Threads (UN and UNR Thread Form) class 2A external and class 2B internal. For bolts of 1-<sup>3</sup>/<sub>4</sub>-inches in diameter and larger, stud bolts with a nut on each end are recommended. Material for bolts and nuts shall conform to ASTM A307, 60,000 PSI Tensile Strength, Grade B, unless otherwise specified. Bolt manufacturer's certification of compliance must accompany each shipment.

3. Restrained

Restrained joints for valves and fittings shall be of the boltless push-on type which provides joint restraint independent of the joint seal. Field Lok gaskets are not permitted on valves or fittings. Restrained push-on joints allowed for pipe only shall have accessories conforming to AWWA Standard C111. Restrained system shall be suitable for the following minimum working pressures:

Size	<u>Pressure</u>
<u>(Inch)</u>	<u>(psi)</u>
Less than 20	300
20	300
24	250
30 - 64	250

Where adjacent fittings are to be placed (as in a mechanical joint hydrant tee and a mechanical joint hydrant valve), the use of a suitably sized Foster adaptor is permitted to facilitate restraint between the fittings.

## 2.04 POLYETHYLENE WRAP



Polyethylene wrap shall only be used on projects where explicitly required in the Scope of Work within the project Request for Proposal (RFP). The determination for use of polyethylene wrap shall be determined by the AW Project Manager.

## PART 3: EXECUTION

3.01 INSTALLATION

Follow the provisions of Section- Piping - General Provisions in addition to the following requirements:

A. Push-On Joints

Clean the surfaces that the gasket will contact thoroughly, just prior to assembly using a bacteria free solution (bleach, potable water or NSF approved material). Insert the gasket into the groove in the bell. Apply a liberal coating of special lubricant to the gasket and the spigot end of the pipe before assembling the joint. Center the spigot end in the bell and push home the spigot end.

B. Mechanical Joints

Clean and lubricate all components with soapy water prior to assembly. Slip the follower gland and gasket over the pipe plain end making sure that the small side of the gasket and lip of the gland face the bell socket. Insert the plain end into socket. Push gasket into position with fingers. Seat gasket evenly. Slide gland into position, insert bolts, and tighten nuts by hand. Tighten bolts alternately (across from one another) to the recommended manufacturing rating or if not provided, to the following normal torques:

	Range of Torque			
Bolt Size	In Foot-Pounds			
5/8	40 - 60			
3/4	60 - 90			
1	70 - 100			
1-1/4	90 - 120			

After field installation, all bolts shall receive petrolatum tape or petroleum wax protection or other approved coating material. Protection shall be applied before applying polywrap per Section - Polyethylene Wrap, if required.

- C. Restrained Joints
  - 1. Ball and Socket

Assemble and install the ball and socket joint according to the manufacturer's recommendations. Thoroughly clean and lubricate the joint. Check the retainer ring fastener.

2. Push-On

Assemble and install the push-on joint according to the manufacturer's recommendations. Thoroughly clean and lubricate the joint. Check the retainer ring fastener.

Protect pipe from damage from the jacking device (backhoe bucket, pipe jack, etc.) when "pushing home" any pipe by using wood or other suitable (non metallic) material.

3. Mechanical Joint

Assemble and install the mechanical joint according to the manufacturer's recommendations. Thoroughly clean and lubricate the joint. Use approved restrained joint device on fittings and valves where required and approved for use by AW.

D. Pipe Protection

Protect pipe from damage from the jacking device (backhoe bucket, pipe jack, etc.) when "pushing home" any pipe. Wood or other suitable material (non metallic) shall be used to push home the pipe.

E. Gaskets

Gaskets shall be as provided or recommended by the manufacturer and satisfy AWWA Standard C111 in all respects with the exception of requirements noted in Part 2.

## END OF SECTION 33 11 00.15

#### SECTION 33 11 00

## PIPING AND ACCESSORIES - GENERAL PROVISIONS 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION 33 11 00

## PIPING AND ACCESSORIES - GENERAL PROVISIONS

## PART 1: GENERAL

1.01 DRAWINGS

Dimensions shown on Drawings are approximate only. Verify all piping geometry in the field and to ensure proper alignment and fit of all piping consistent with the intent of the Drawings. Submit field layout drawings as required for approval.

## PART 2: PRODUCTS

- 2.01 CONTRACTOR'S RESPONSIBILITY FOR MATERIAL
  - A. Examine all material carefully for defects. Do not install material which is known, or thought to be, defective.
  - B. AW reserves the right to inspect all material and to reject all defective material shipped to the job site or stored on the site. Failure of AW to detect damaged material shall not relieve the Contractor from his total responsibility for the completed work if it leaks or breaks after installation.
  - C. Lay all defective material aside for final inspection by AW. AW will determine if corrective repairs may be made, or if the material is rejected. AW shall determine the extent of the repairs.
  - D. Classify defective pipe prior to AW's inspection as follows:
    - 1. Damage to interior and/or exterior paint seal coatings.
    - 2. Damage to interior cement-mortar or epoxy lining.
    - 3. Insufficient interior cement-mortar lining or epoxy thickness.
    - 4. Excessive pitting of pipe.
    - 5. Poor quality exterior paint seal coat.
    - 6. Pipe out of round.
    - 7. Pipe barrel area damaged to a point where pipe class thickness is reduced (all pipe).
    - 8. Denting or gouges in plain end of pipe (all pipe).
    - 9. Excessive slag on pipe affecting gasket seal (DIP).



- 10. Any visible cracks, holes.
- 11. Embedded foreign materials.
- 12. Non-uniform color, density and other physical properties along the length of the pipe.
- E. The Contractor shall be responsible for all material, equipment, fixtures, and devices furnished. These materials, equipment, fixtures and devices shall comply with the requirements and standards of all Federal, State, and local laws, ordinances, codes, rules, and regulations governing safety and health.
- F. The Contractor shall take full responsibility for the storage and handling of all material furnished until the material is incorporated in the completed project and accepted by AW. Contractor shall be solely responsible for the safe storage of all material furnished to or by him until incorporated in the completed project and accepted by AW.
- G. Load and unload pipe, fittings, valves, hydrants and accessories by lifting with hoists or skidding to avoid shock or damage. Do not drop these materials. Pipe handled on skidways shall not be skidded or rolled against other pipe. Handle this material in accordance with AWWA C600, C605 or C906 whichever is applicable.
- H. Drain and store fittings and valves prior to installation in such a manner as to protect them from damage due to freezing of trapped water.
- 2.02 REDUCTION OF LEAD IN DRINKING WATER ACT COMPLIANCE
  - A. The Contractor shall comply with the requirements and standards of the Reduction of Lead in Drinking Water Act.
  - B. Any pipe, fitting or fixture (e.g. corp stops, curb valves, gate valves less than 2 inches in diameter, backflow prevention devices, water meters, hose bibs, etc.), solder and flux installed or requiring replacement as of January 4, 2014 must be "lead free". The Contractor shall be responsible to comply with the State, local laws, ordinances, codes, rules, and regulations governing the Reduction of Lead in Drinking Water Act that may have additional limitations or requirements."
  - C. The definition of 'lead free' is as follows:
    - 1. Not containing more than 0.2 percent lead when used with respect to solder and flux; and
    - 2. Not more than a weighted average of 0.25 percent lead when used with respect to the wetted surfaces of pipes, pipe fittings, plumbing fittings, and fixtures.

## 2.03 PETROLATUM TAPE COATING



- A. The tape coating shall be a cold applied, saturant tape made from either petrolatum or petroleum wax with a noncellulosic synthetic fiber fabric. The fabric shall be encapsulated and coated on both sides with the petrolatum or petroleum wax. The thickness of the tape shall be no less than 40 mil. The petrolatum or petroleum wax shall be at least 50% of the product by weight.
- B. The tape coating shall be supplied in sheets, pads or rolls. Pads and sheets shall be sized to fit the area that is to be covered, allowing for an overlap per AWWA Standards.

## 2.04 RUBBERIZED-BITUMEN BASED SPRAY-ON UNDERCOATING

Subject to approval by AW, an alternative corrosion protection for exposed buried metal is an aerosol applied rubberized coating. The material shall be rapid dry and specifically designed for corrosion protection. 3M Rubberized Underseal Undercoating 08883 or any equivalent rubberized-bitumen based spray-on undercoating may be used. Follow manufacturer's recommendations for storage and application.

## 2.05 PRESSURE GAUGES

- A. General Use Provide liquid filled, diaphragm-isolated pressure gauges, location of gauges as shown on drawing and range suitable for the particular service.
- B. Provide 2 1/2" diameter dial white face, black lettering/markings.
- C. Minimum suggested gauge shall be:
  - a. Bronze isolation valve provided between the pipe and gauge
  - b. Gauge to read in both feet and psi
  - c. Range of gauge to be such that the design total dynamic head (tdh) of the pump should be located at about the 50% point of the gauge's range.
  - d. A pressure dampener should be provided with each gauge to moderate the vibration of the gauge needle.

## PART 3: EXECUTION

## 3.01 INSTALLATION - GENERAL REQUIREMENTS

- A. Lay and maintain all pipe to the required lines and depths. Install fittings, valves and hydrants in strict accordance with the Specifications at the required locations with joints centered, spigots home, and all valve and hydrant stems plumb. Do not deviate from the required alignment, depth or grade without the written consent of AW.
- B. Buried steel lugs, rods, brackets, and flanged joint nuts and bolts are not permitted unless specifically shown on the Drawings or approved in writing by AW. Cover any and all buried steel lugs, rods, brackets, and flanged joint nuts and bolts with approved coating in accordance with AWWA Standard C217 prior to backfilling. Encase the same in polyethylene encased if the Specifications require polyethylene encasement of the pipe, valves or fittings..



- C. Lay all pipe to the depth specified. Measure the depth from the final surface grade to the top of the pipe barrel. The minimum pipe cover shall be as shown on the Drawings or as specified in the Specifications.
- D. Do not lay pipe in a wet trench, on subgrade containing frost, or when trench conditions are unsuitable for such work. If all efforts fail to obtain a stable dry trench bottom and AW determines that the trench bottom is unsuitable for such work, AW will order the kind of stabilization to be constructed, in writing. In all cases, water levels must be at least 6" below the bottom of the pipe.
- E. Thoroughly clean the pipes and fittings before they are installed. Keep these materials clean until the acceptance of the completed Work. Lay pipe with the bell ends facing in the direction of laying, unless otherwise shown on the Drawings, or directed by AW. Exercise care to ensure that each length abuts the next in such a manner that no shoulder or unevenness of any kind occurs in the pipe line.
- F. Do not wedge or block the pipe during laying unless by written order of AW.
- G. Before joints are made, bed each section of pipe the full length of the barrel, at the required grade, and at the invert matching the previously laid pipe. Dig bell holes sufficiently large to permit proper joint making. Do not bring succeeding pipe into position until the preceding length is embedded and secure in place.
- H. Take up and relay pipe that is out of alignment or grade, or pipe having disturbed joints after laying. Take up such in-place pipe sections found to be defective and replace them with new pipe. Take up, relaying, and replacement will be at the Contractor's expense.
- I. Place enough backfill over the center sections of the pipe to prevent floating. Take all other necessary precautions to prevent the floating of the pipeline by the accumulation of water in the trench, or the collapse of the pipeline from any cause. Should floating or collapse occur, restoration will be at the Contractor's expense.
- J. Contractor shall install tracer wire along all pipelines. Tracer wire shall be placed and centered on the bottom of the trench to prevent disturbance or damage to the tracer wire during repairs.
- K. Bedding materials and concrete work for the pipe bedding and thrust restraint shall be as specified.
- L. Prevent foreign material from entering the pipe while it is being placed. Do not place debris, tools, clothing, or other materials in the pipe during laying operations. Close all openings in the pipeline with watertight plugs when pipe laying is stopped at the close of the day's work, or for other reasons such as rest breaks or meal periods.
- M. Only cut pipe with equipment specifically designed for cutting pipe such as an abrasive wheel, a rotary wheel cutter, a guillotine pipe saw, or a milling wheel saw.



Do not use chisels or hand saws. Grind cut ends and rough edges smooth. Bevel the cut end slightly for push-on connections as per manufacturer recommendations.

- N. In distributing material at the site of the Work, unload each piece opposite or near the place where it is to be laid in the trench. If the pipe is to be strung out, do so in a straight line or in a line conforming to the curvature of the street. Block each length of pipe adequately to prevent movement. Block stockpiled pipe adequately to prevent movement. Do not place pipe, material, or any other object on private property, obstructing walkways or driveways, or in any manner that interferes with the normal flow of traffic.
- O. Exercise special care to avoid damage to the bells, spigots or flanged ends of pipe during handling, temporary storage, and construction. Replace damaged pipe that cannot be repaired to AW's satisfaction, at the Contractor's expense.
- P. Remove all existing pipe, fittings, valves, pipe supports, blocking, and all other items necessary to provide space for making connections to existing pipe and installing all piping required under this Contract.
- Q. Maintain the minimum required distance between water and sewer lines and other utility lines in strict accordance with all Federal, State, and local requirements and all right-of-way limitations.
- R. Provide and install polyethylene encasement for ductile iron pipe, fittings and valves as required. See Specification Section .Polyethylene Wrap.
- S. The maximum allowable deflection at the joints for push-on joint pipe shall be the lesser of manufacturer's recommendations or as described in the DIPRA Guideline, *Ductile Iron Pipe Joints and Their Uses*, as follows:

Size of	Deflection	Maximum Deflection			
<u>Pipe</u>	<u>Angle</u>	<u>(18-ft. Length)</u>	<u>(20-ft. Length)</u>		
3"-12"	5 degrees	19"	21"		
14"-42"	3 degrees	11"	12"		
48"-64"	3 degrees	N/A	12"		

T. The maximum allowable deflection at the joints for PVC pressure pipe shall be as follows:

Size of	Deflection	Maximum Deflection
<u>Pipe</u>	<u>Angle</u>	<u>(20-ft. Length)</u>
4"-12"	2 degrees	8"
14" +	1.5 degrees	6"

U. Use short lengths of pipe (minimum length 3 feet, no more than three short sections), when approved by the AW Project Manager, to make curves that cannot be made



with full length sections of pipe without exceeding the allowable deflection. Making these curves will be at no additional cost to AW.

- V. Furnish air relief valve assemblies in accordance with Drawings provided or as specified in Specification Special Conditions section. AW Project Manager will provide standard detail for additional air release valve assemblies. Any deviation from the standard detail, proposed by Contractor must be approved in advance.
- W. Exercise particular care so that no high points are established where air can accumulate. Install an air release valve and manhole, as extra Work to the Contract, when the AW Project Manager determines that unforeseen field conditions necessitate a change in the pipe profile that requires the installation of an air release valve and manhole. If the Contractor requests a change in the pipe profile solely for ease of construction, and the requested change requires the installation of an air release valve and manhole as determined by the AW Project Manager, the cost of furnishing and installing the air release valve and manhole will be at the expense of the Contractor.
- X. All water mains 20" and greater in diameter shall be constructed using DIP only. Other construction materials, such as PVC and HDPE, are limited to water mains 16" and under in diameter. Alternate materials for larger water mains may be approved by AW on a case-by-case basis.
- Y. A minimum 3" wide marking tape to be provided along all mains and service lines installed. Marking tape to be installed 12" below grade. Foil backing is not required on marking tape. Tape shall be colored blue for water mains and green for sewer. Marking tape along pressurized force mains shall be labeled "Pressurized Wastewater".

## 3.02 CONSTRUCTION METHODS TO AVOID CONTAMINATION

- A. Heavy particulates generally contain bacteria and prevent even very high chlorine concentrations from contacting and killing such organisms. It is essential that the procedures of this Section be observed to assure that a water main and its appurtenances are thoroughly clean for the final disinfection by chlorination.
- B. Take precautions to protect the interior of pipes, fittings, and valves against contamination. String pipe delivered for construction so as to keep foreign material out of the pipe. Close all openings in the pipeline with watertight plugs when pipe laying is stopped at the close of the day's work or for other reasons, such as rest breaks or meal periods. Use rodent-proof plugs approved by AW, where it is determined that watertight plugs are not practical and where thorough cleaning will be performed.
- C. Delay in placement of delivered pipe invites contamination. The more closely the rate of delivery is correlated to the rate of pipe laying, the lower the likelihood of contamination. Complete the joints of all pipe in the trench before stopping work. If water accumulates in the trench, keep the plugs in place until the trench is dry.



- D. When encountering conditions on pre-existing pipe that requires packing, employ yarning or packing material made of molded or tubular rubber rings, or rope of treated paper or other approved materials. Do not use materials such as jute, asbestos, or hemp. Handle packing material in a manner that avoids contamination.
- E. Do not use contaminated material or any material capable of supporting prolific growth of microorganisms for sealing joints. Handle sealing material or gaskets in a manner that avoids contamination. The lubricant used in the installation of sealing gaskets shall be suitable for use in potable water. Deliver the lubricant to the job in closed containers and keep it clean.
- F. If dirt enters the pipe, and in the opinion of AW the dirt will not be removed by the flushing operation, clean the interior of the pipe by mechanical means, then swab with a 1% hypochlorite disinfecting solution. Clean using a pig, swab, or "go-devil" only when AW has specified such and has determined that such operation will not force mud or debris into pipe joint spaces.
- G. If the main is flooded during construction, the flooded section must be isolated from the remainder of the installation as soon as practical. Submit a plan to AW on correcting the condition and do not proceed until authorized by AW. Replace or fully clean and disinfect the affected pipe at no additional cost to AW.

## 3.03 VALVE INSTALLATION

- A. Prior to installation, inspect valves for direction of opening, freedom of operation, tightness of pressure containing bolting, cleanliness of valve ports and especially of seating surfaces, handling damage, and cracks. Correct defective valves or hold for inspection by the AW Project Manager.
- B. Set and join to the pipe in the manner specified in Paragraph 3.01. Provide valves with adequate support, such as crushed stone and concrete pads, so that the pipe will not be required to support the weight of the valve. Set truly vertical. If polyethylene is applied to the pipe, the entire valve shall be encased in polyethylene encasement prior to backfill. The polyethylene encasement shall be installed up to the operating nut leaving the operating nut, exposed and free to be operated.
- C. Provide a valve box for each valve. Set the top of the valve box neatly to existing grade, unless directed otherwise by AW. Do not install in a way that allows the transfer of shock or stress to the valve. Center and plumb the box over the wrench nut of the valve. Do not use valves to bring misaligned pipe into alignment during installation. Support pipe in such manner as to prevent stress on the valve.
- D. Provide extension stem for each valve, with a standard 2-inch AWWA nut. Pin the extension stem to the operating nut on the valves. Extension stem shall extend to with 12-inches of finished grade.



E. Provide valve marking posts, when authorized by AW, at locations designated by AW and in accordance with detail drawings.

## 3.04 THRUST RESTRAINT

- A. Provide all plugs, caps, tees, and bends (both horizontal and vertical) with concrete thrust blocking and/or restrained joint pipe as represented on the Drawings, or specified in the Specification Special Conditions.
- B. Place concrete thrust blocking between undisturbed solid ground and the fitting to be anchored. Install the concrete thrust blocking in accordance with Section Cast-In-Place Concrete and Standard Details provided. Locate the thrust blocking to contain the resultant thrust force while keeping the pipe and fitting joints accessible for repair, unless otherwise shown or directed.
- C. Use restrained joints for fittings and valves for a minimum distance on either side as calculated using DIPRA guidance "Thrust Restrained Design for Ductile Iron Pipe". Refer to Table 1 at the end of this section, for minimum lengths restrained for 12" 24" diameter pipe. If soil conditions other than those listed in the table are encountered, contractor shall provide engineering calculation performed by a local P.E for the minimum required restraining length.
- D. Provide temporary thrust restraint at temporary caps and plugs. Submit details of temporary restraint to AW for approval.
- E. At connections with existing water mains where there is a limit on the time the water main may be removed from service, use metal harnesses of anchor clamps, tie rods and straps; mechanical joints utilizing set-screw retainer glands; or restrained push-on joints as permitted by AW. No restraining system can be installed without the approval of AW. Submit details of the proposed installation to AW for approval. For pipe up to 12-inches in size, use a minimum of two 3/4-inch tie rods. If approved for use, install retainer glands in accordance with the manufacturer's instructions. Material for metal harnessing and tie-rods shall be ASTM A36 or A307, as a minimum requirement.
- F. Protection of Metal Harnessing: Protect ties rods, clamps and other metal components against corrosion and by encasement of the entire assembly with 8-mil thick (12 mil thick in corrosive soils) loose polyethylene film in accordance with AWWA C105. Apply tape on all exposed tie rods prior to installing polyethylene.



Dive		Bend Angle					• "	
Pipe Diameter	Type of Bend	5°-11.25°	11.25°- 22.5°	22.5°-30°	30°-45°	45°-60°	60°-90°	Soil Conditions
12	Horizontal Bend	4	9	12	16	25	43	Rock
12	Vertical Up Bend	4	9	12	16	25	43	Rock
12	Vertical Down Bend	15	31	41	64	89	155	Rock
16	Horizontal Bend	5	11	15	23	32	55	Rock
16	Vertical Up Bend	5	11	15	23	32	55	Rock
16	Vertical Down Bend	20	40	53	82	115	199	Rock
24	Horizontal Bend	7	15	20	31	44	76	Rock
24	Vertical Up Bend	7	15	20	31	44	76	Rock
24	Vertical Down Bend	28	56	75	117	183	281	Rock
12	Horizontal Bend	7	14	19	29	40	69	Clay
12	Vertical Up Bend	7	14	19	29	40	69	Clay
12	Vertical Down Bend	17	35	47	73	102	77	Clay
16	Horizontal Bend	9	18	24	37	52	77	Clay
16	Vertical Up Bend	9	18	24	37	52	77	Clay
16	Vertical Down Bend	23	46	62	97	135	233	Clay
24	Horizontal Bend	13	26	35	54	76	131	Clay
24	Vertical Up Bend	13	26	35	54	76	131	Clay
24	Vertical Down Bend	34	69	93	143	200	346	Clay

Table 1

- 1 . . . . 

The following assumptions were used in calculating required restrained lengths: 42" burial depth, 250 psi, 1.5 safety factor. In areas of multiple bands where required restrained lengths overlap,

## END OF SECTION 33 11 00

#### SECTION 33 12 16.11

## GATE VALVES 08/16

## PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION 33 12 16.11

## **GATE VALVES**

## PART 1: GENERAL

1.01 SCOPE

Furnish, install, and test all gate valves shown on the Drawings.

1.02 SUBMITTALS

Submit shop drawings and manufacturer's literature to the AW Project Manager for approval in accordance with Section 01 33 00.

1.03 APPLICATION

All valves shall be resilient-seated type gate valves.

## **PART 2: PRODUCTS**

- 2.01 REDUCTION OF LEAD IN DRINKING WATER ACT COMPLIANCE
  - A. The Contractor shall comply with the requirements and standards of the Reduction of Lead in Drinking Water Act.
  - B. Any pipe, fitting or fixture (e.g. corp stops, curb valves, gate valves less than 2 inches in diameter, backflow prevention devices, water meters, hose bibs, etc.), solder and flux installed or requiring replacement as of January 4, 2014 must be "lead free". The Contractor shall be responsible to comply with the State, local laws, ordinances, codes, rules, and regulations governing the Reduction of Lead in Drinking Water Act that may have additional limitations or requirements."
  - C. The definition of 'lead free' is as follows:
    - 1. Not containing more than 0.2 percent lead when used with respect to solder and flux; and
    - 2. Not more than a weighted average of 0.25 percent lead when used with respect to the wetted surfaces of pipes, pipe fittings, plumbing fittings, and fixtures.

## 2.02 GATE VALVES

A. All gate valves, shall be iron body, resilient-seated, nut-operated, non-rising stem gate valves suitable for buried service. The valve interior and exterior shall be epoxy coated at the factory by the valve manufacturer in accordance with AWWA Standard C550 (6-8 mil average, 4 mil minimum). The valves shall be designed for minimum differential pressure

of 250 psi and a minimum internal test pressure of 500 psi unless otherwise noted on the Drawings. Valves shall be designed to operate in the vertical position. All valves shall open left (CCW).

- B. Valves shall comply fully with AWWA Standard C509. Valve ends shall be restrained mechanical joint or as shown on the plans or approved in writing in accordance with AWWA Standard C111. Stems shall be made of a low zinc alloy in accordance with AWWA C509. Stem seals shall be double O-ring stem seals. Square operating nuts conforming to AWWA Standard C509 shall be used. Valves shall open left in accordance with AW standard. All valve materials shall meet the requirements of NSF 61.
- C. For exposed piping, valves shall be flanged joint.
- D. Valves shall have mechanical joint ends unless otherwise designated on the Drawings or approved by AW.
- E. Test valves (Operation Test and Hydrostatic Tests) at the manufacturer's plant in accordance with AWWA Standard C509. Provide AW with certified copies of all tests prior to shipment. AW reserves the right to observe all tests.
- F. The valves shall be designed for a minimum differential pressure of 150 psi and a minimum internal test pressure of 300 psi, unless otherwise noted on the Drawings. Make all valves tight under their working pressures after they have been placed and before the main is placed in operation. Defective parts shall be replaced at the Contractor's expense.

## 2.03 VALVE EXTENSIONS

A. Valve extensions will be required on any gate valve where the distance from the finished grade to the top of the operating nut exceeds 4 foot. Extension shall be of a locking type to prevent it from coming off the valve. Top of extension will be no deeper than 1 foot from finished grade.

## PART 3: EXECUTION

## 3.01 INSTALLATION

A. Install the valves in strict accordance with the requirements contained in Section 33 11 00 and detail Drawings. All valves shall be restrained.

## 3.02 PROTECTION

A. After field installation of the valve all external bolts except the operating nut shall receive a layer of tape coating or approved rubberized-bitumen based spray-on undercoating applied before backfill. All buried valves shall be encased in polyethylene encasement prior to backfill. The polyethylene encasement shall be installed up to the operating nut leaving the operating nut exposed and free to be operated. Valve box shall be installed per Specification Section 33 11 00.

## END OF SECTION 33 12 16.11

#### SECTION 33 12 16.13

## BUTTERFLY VALVES 08/16

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION 33 12 16.13

## BUTTERFLY VALVES

#### PART 1: GENERAL

1.01 SCOPE

Furnish and install all butterfly valves shown on the Drawings and/or the Contract Documents.

1.02 SUBMITTALS

Submit shop drawings and manufacturer's literature to the AW Project Manager for approval in accordance with Specification Section 01 33 00.

## PART 2: PRODUCTS

- 2.01 VALVES
  - A. Furnish and install rubber-seated butterfly valves as shown on the Drawings. Butterfly valves shall conform to Class 150B of AWWA Standard C504 and this Specification. If working pressure is greater than 150 psi, the butterfly valve shall conform to Class 250B of AWWA Standard C504. All valves furnished shall open left (CCW) in accordance with AW's standard.
  - B. Valve bodies shall be ductile iron with mechanical joint ends for buried valves. Mechanical joint ends shall conform to AWWA Standard C111. All valve materials shall meet the requirements of NSF 61. For exposed or above ground valves, use flanged ends.
  - C. Valve shafts shall consist of one-piece units extending through the discs of 18-8 stainless steel Type 303 or 304. Shaft diameter shall be in accordance with Table 3 of AWWA Standard C504.
    - 1. Valve discs shall be Ni-Resist, Type 1, or cast iron with stainless steel edges.
    - 2. Valve seats shall be hycar or natural rubber mounted in the valve body.
    - 3. Valve bearings shall be nylon or Teflon.
  - D. The valve interior and exterior shall be epoxy coated at the factory by the valve manufacturer in accordance with AWWA Standard C550 (6-8 mil average, 4 mil minimum).



- E. All elastomers used in the butterfly valves must be suitable for service in the following water conditions:
  - 1. Chlorine concentration up to 12 mg/L
  - 2. Chloramine concentrations up to 6 mg/L
  - 3. Ozone concentrations up to 2.0 mg/L (AWWA Standard says 0.5 ppm)
  - 4. pH range of 4-11
- F. Manual buried operators, if provided, shall be either worm gear or traveling nut type and shall be furnished with 2-inch AWWA nuts and extension shafts. Input required at nuts to produce specified output torque shall be less than 150 ft.-lbs. Operators shall be designed to withstand an input at the nut of 300 ft.-lb. without damage to any operator components.
- G. Exposed manual operators shall be same as for buried valves, except valve shall have operating hand wheel in lieu of AWWA nut.

## PART 3: EXECUTION

- 3.01 SETTING VALVES
  - A. Install the valves in strict accordance with the requirements of Specification Section 33 11 00 and Contract Drawings. All butterfly valves shall be restrained.
  - B. All butterfly valves (buried or exposed) shall be installed with the stem horizontal.

#### 3.02 PROTECTION

A. After field installation of the valve all external bolts except the operating nut shall receive a layer of tape coating or approved rubberized-bitumen based spray-on undercoating applied before backfill. For buried valves, the entire valve shall be encased in polyethylene prior to backfill. The polyethylene encasement shall be installed up to the operating nut leaving the operating nut exposed and free to be operated.

## END OF SECTION 33 12 16.13

#### SECTION 33 12 16.17

## TAPPING SLEEVES AND VALVES 02/18

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

## SECTION 33 12 16.17

## TAPPING SLEEVES AND VALVES

## PART 1: GENERAL

1.01 SCOPE

Furnish, install and test all tapping sleeves, tapping valves, and tapping saddles as shown on the Drawings.

1.02 RELATED WORK

Specification Section 33 11 00 - Piping - General Provisions

1.03 SUBMITTALS

Submit shop drawings and manufacturer's literature to the Engineer for approval in accordance with Specification Section 01 33 00.

## PART 2: PRODUCTS

2.01 GENERAL

All tapping sleeves, saddles and valves shall be designed for a working pressure of at least 250 psig for 12-inch and smaller. The valves shall be designed for a minimum differential pressure of 250 psi and a minimum internal test pressure of 500 psi unless otherwise noted on the plans.

For size on size tapping applications up to 12" x 12", use ductile iron or stainless steel tapping sleeves. For applications greater than 12" x 12" a tapping sleeve and valve is not permitted. The tee and valve(s) shall be cut in to the existing main on applications larger than 12" x 12".

## 2.02 DUCTILE IRON TAPPING SLEEVES

- A. Verify the type of existing pipe and the outside diameter of the pipe on which the tapping sleeve is to be installed.
- B. Tapping sleeves shall be ductile iron dual compression type unless otherwise specified on the Drawings. The Drawings may require the use of corrosion resistant tapping sleeves in addition to polywrap in areas with corrosive soils. The sleeves shall be made in two halves which can be assembled and bolted around the main. Sleeves shall meet the requirements of NSF 61. Outlet flange s shall conform to the flange requirements of AWWA C110. All valves furnished shall open left in accordance with the AW's standard.



## 2.03 TAPPING VALVES

A. The horizontal tapping valve shall conform to the applicable requirements of AWWA Standard C509. All tapping valves, 3 inches through 12 inches NPS, shall be ductile iron body, resilient-seated, nut-operated, non-rising stem gate valves suitable for buried service. The valve interior and exterior shall be epoxy coated at the factory by the valve manufacturer in accordance with AWWA Standard C550 (6-8 mil average, 4 mil minimum). The tapping valves shall have flanged inlets with mechanical joint outlets, enclosed bevel gears, scrapers. All valves furnished shall open left in accordance with the AW's standard.

### 2.04 STAINLESS STEEL TAPPING SLEEVES

- A. The stainless steel band flange shall be manufactured in compliance with AWWA C207, Class D ANSI B.16.1 drilling, recessed for tapping valve MSS-SP60. Mechanical Joint tapping sleeve outlet shall meet or exceed all material specifications as listed below and be suitable for use with standard mechanical joint by mechanical joint resilient wedge gate valves per ANSI/AWWA C509-94 and be NSF 61 approved.
- B. Tapping sleeves to be attached to 4" through 12" nominal pipe diameter shall meet the following minimum requirements.
  - 1. The entire fitting shall be stainless steel type 304 (18-8). The body, lug, and gasket armor plate shall be in compliance with ASTM A240. The Flange shall be cast stainless steel in compliance with ASTM A743. The MJ outlet shall be one-piece casting made of stainless steel. The test plug shall be <sup>3</sup>/<sub>4</sub>" NPT in compliance with ANSI B2.1 and shall be lubricated or coated to prevent galling. All metal surfaces shall be passivated after fabrication in compliance with ASTM A-380.
  - 2. The gasket shall provide a 360-sealing surface of such size and shape to provide and adequate compressive force against the pipe after assembly, to affect a positive seal under the combinations of joint and gasket tolerances. The materials used shall be vulcanized natural or vulcanized synthetic rubber with antioxidant and antiozonant ingredients to resist set after installation. No reclaimed rubber shall be used. A heavy-gauge-type 304-stainless armor plate shall be vulcanized into the gasket to span the lug area.
  - 3. The lugs shall be heliarc welded (GMAW) to the shell. The lug shall have a pass-through-bolt design to avoid alignment problems and allow tightening from either side of the main. Bolts shall NOT BE integrally welded to the sleeve. Finger Lug designs are not approved; it is the intent of these specifications to allow a tapping sleeve that has a lug design similar to the approved models.



- 4. Bolts and nuts shall be type 304 (18-8) stainless steel and Teflon coated or as specified in the bolt section below at the discretion of the Engineer. Bent or damaged units will be rejected.
- 5. Quality control procedures shall be employed to insure that the shell, Lug, (4" and Larger Nominal Pipe Diameter) armor plate, gasket and related hardware are manufactured to be free of any visible defects. Each unit, after proper installation, shall have a working-pressure rating up to 250 psi.
- 6. The sleeve construction shall provide a positive means of preventing gasket cold flow and/or extrusion.
- 7. Each sleeve shall be stenciled, coded or marked in a satisfactory manner to identify the size range. The markings shall be permanent type, water resistant, that will not smear or become illegible.
- C. Tapping sleeves attached to 16" and larger nominal pipe diameter shall meet the following minimum requirements:
  - 1. The body shall be in compliance with ASTM A285, Grade C or ASTM A36. The test plug shall be  $\frac{3}{4}$ " NPT conforming to ANSI B2.1.
  - 2. The gasket shall provide a watertight sealing surface of such size and shape to provide an adequate compressive force against the pipe. After assembly, the gasket will insure a positive seal under all combinations of joint and gasket tolerances. Gaskets shall be formed from vulcanized natural or vulcanized synthetic rubber with antioxidant ingredients to resist set after installation. No reclaimed rubber shall be used.
  - 3. Bolts and nuts shall be high strength, corrosion resistant, low alloy, pre AWWA C111, ANSI A21.11 and as specified in the subsection on bolts in this specification.
  - 4. Quality control procedures shall be employed to insure that the shell, gaskets, and related hardware area are manufactured to be free of visible defects. Each unit, after proper installation, shall have a working-pressure rating up to 200 psi.
  - 5. Unless otherwise noted, unit shall be protected by electrostatically applied baked epoxy or polyurethane.
  - 6. Units for concrete, steel cylinder pipe shall be furnished with load bearing setscrews on the gland flange to transfer loads on the outlet away from the steel cylinder and onto the sleeve. Epoxy –coated tapping sleeves do not require grout seal cavity (AWWA M-9 Manual).



7. Each sleeve shall be stenciled, coded or marked in a satisfactory manner to identify the size range. The marking shall be permanent type, water resistant, that will not smear or become illegible.

## 2.05 FABRICATED STEEL TAPPING SLEEVE

The fabricated steel tapping sleeve shall be manufactured in compliance with AWWA C207. Sleeves shall be fabricated of minimum three-eights (3/8) inch carbon steel meeting ASTM A285 Grade C. Outlet flange shall meet AWWA C-207, Class "D" ANSI 150 lb. drilling and be properly recessed for the tapping valve. Bolts and nuts shall be high strength low alloy steel to AWWA C111 (ANSI A21.11). Gasket shall be vulcanized natural or synthetic rubber. Sleeve shall have manufacturer applied fusion bonded epoxy coating, minimum 12 mil thickness, Class D ANSI B.16.1 drilling, recessed for tapping valve MSS-SP60. Mechanical Joint tapping sleeve outlet shall meet or exceed all material specifications as listed below and be suitable for use with standard mechanical joint by mechanical joint resilient wedge gate valves per ANSI/AWWA C509-94 and be NSF 61 approved.

### 2.06 TAPPING SADDLES

Unless otherwise specified by the Drawings, tapping saddles conform to the requirements of AWWA Standard C800 for the High Pressure class tapping saddles. Tapping saddles shall consist of ductile iron outlet castings, attached to the pipeline with high strength stainless steel straps. Castings shall be sealed to pipeline with O-ring seals. Saddles shall have ANSI A21.10 flanged outlets counterbored for use with tapping valves and tapping equipment.

## 2.07 BOLTS

All bolts shall have American Standard heavy unfinished hexagonal head and nut dimensions all as specified in ANSI B18.2. Bolts shall be Xylan or FluoroKote #1 suitable for direct bury in corrosive soils.

## PART 3: EXECUTION

## 3.01 INSTALLATION

Install the tapping sleeves, saddles, and valves in strict accordance with the requirements of Specification Section 33 11 00. Install the tapping sleeves, tapping saddles, and tapping valves in accordance with the manufacturer's instructions. The tapping procedure is to be in accordance with the tapping machine manufacturer's instructions.



## 3.02 PROTECTION

After field installation of the valve all external bolts except the operating nut shall receive a layer of tape coating or approved rubberized-bitumen based spray-on undercoating applied before backfill. If polyethylene is applied to the pipe, the entire sleeve and valve assembly shall be encased in polyethylene encasement prior to backfill. The polyethylene encasement shall be installed up to the operating nut leaving the operating nut of the tapping valve exposed and free to be operated.

### 3.03 PRELIMINARY TESTING

- A. Perform a hydrostatic test of the tapping sleeve and valve assembly in accordance with Specification Section 33 01 10.13 after installation of the tapping sleeve and valve, but prior to making the tap. The test shall be made with the valve open using a tapped mechanical joint cap. No leakage is acceptable. The test pressure shall be maintained for a minimum of 15 minutes.
- B. Perform hydrostatic test of tapping saddles in accordance with AWWA Standard C800.

## END OF SECTION 33 12 16.17

#### SECTION 33 31 00.11

# GRAVITY SANITARY SEWERS 05/18

PART 1 GENERAL

See attached American Water Military Services Specification after this section.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --



## SECTION 33 31 00.11

## **GRAVITY SANITARY SEWERS**

## PART 1: GENERAL

- 1.01 SCOPE
  - A. Gravity sanitary sewers and appurtenances.
- 1.02 SUBMITTALS
  - A. Conform to requirements of Section 01 33 00 Submittals.
  - B. Submit proposed methods, equipment, materials and sequence of operations for sewer construction. Plan operations to minimize disruption of utilities to occupied facilities or adjacent property.
  - C. Test Reports: Submit test reports and inspection videos as specified in Part 3 of this Section. Videos become property of AW.
- 1.03 QUALITY ASSURANCE
  - A. Qualifications. Install sanitary sewer that is watertight both in pipe-to-pipe joints and in pipe-to-manhole connections. Perform testing in accordance with Section 33 01 30.12 Acceptance Testing for Sanitary Sewers.
  - B. Regulatory Requirements.
    - 1. Install sewer lines to meet minimum State mandated separation distance from potable water lines. Separation distance is defined as distance between outside of water pipe and outside of sewer pipe. Install new sanitary sewers no closer to water lines than 10 feet in all horizontal directions. Where water and sanitary sewer lines cross, a minimum vertical separation in accordance with State and/or local standards is required when the water line passes above the sanitary sewer main. Where separation distance cannot be achieved, sanitary sewers shall be constructed of ductile iron sanitary sewer piping or encased in reinforced concrete (as detailed on the Drawings) for a minimum distance of 10 feet either side of the crossing.
    - 2. Notify AW Project Manager immediately when water lines are uncovered during sanitary sewer installation where minimum separation distance cannot be maintained.
    - 3. Lay gravity sewer lines in straight alignment and grade.



## 1.04 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Inspect pipe and fittings upon arrival of materials at job site.
- B. Handle and store pipe materials and fittings to protect them from damage due to impact, shock, shear or free fall. Do not drag pipe and fittings along ground. Do not roll pipe unrestrained from delivery trucks.
- C. Use mechanical means to move or handle pipe. Employ acceptable clamps, rope or slings around outside barrel of pipe and fittings. Do not use hooks, bars, or other devices in contact with interior surface of pipe to lift or move lined pipe.

## PART 2: PRODUCTS

- 2.01 PIPE
  - A. Provide piping materials for gravity sanitary sewers of sizes and types indicated on Drawings or as specified.
  - B. Unlined reinforced concrete pipe is not acceptable.

## 2.02 PIPE MATERIAL SCHEDULE

- A. Unless otherwise shown on Drawings, use pipe materials that conform to requirements specified in one or more of following Sections:
  - 1. Section 33 11 00.15 Ductile Iron Pipe and Fittings.
  - 2. Section 33 11 00.11 Polyvinyl Chloride Pipe.
- B. Where shown on Drawings, provide pipe meeting minimum class, dimension ratio, or other criteria indicated.
- C. Pipe materials other than those listed above shall not be used for gravity sanitary sewers.

#### 2.03 APPURTENANCES

- A. Laterals. Conform to requirements of Section 33 31 00.15 Sanitary Sewer Service Laterals.
- B. Service Connections. Conform to requirements of Section 33 31 00.15 Sanitary Sewer Service Laterals.
- C. Roof, street or other type of surface water drains shall not be connected or reconnected into sanitary sewer lines.



## 2.04 BEDDING AND BACKFILL MATERIAL

A. Bedding and Backfill: Conform to requirements of Section 31 23 33 - Excavation and Backfill for Utilities and Section 31 23 23 - Utility Backfill Materials.

## PART 3: EXECUTION

### 3.01 PREPARATION

- A. Prepare traffic control plans and set up street detours and barricades in preparation for excavation when construction will affect traffic. Conform to requirements of MUTCD, and/or local standards where applicable.
- B. Provide barricades, flashing warning lights, and warning signs for excavations. Conform to requirements MUTCD and/or local standards where applicable. Maintain barricades and warning lights where work is in progress or where traffic is affected.
- C. Perform work in accordance with OSHA standards. Employ trench safety system for excavations over 5 feet deep.
- D. Immediately notify agency or company owning utility line which is damaged, broken or disturbed. Obtain approval from AW Project Manager and agency or utility company for repairs or relocations, either temporary or permanent.
- E. Remove old pavements and structures including sidewalks and driveways in accordance with installation and DPW requirements.
- F. Install and operate dewatering and surface water control measures in accordance with Contract Document requirements.
- G. Do not allow sand, debris or runoff to enter sewer system.

#### 3.02 DIVERSION PUMPING

A. All diversion and bypass pumping shall be performed in accordance with Section 33 01 30.51 – Pumping and Bypassing.

### 3.03 EXCAVATION

- A. Earthwork. Conform to requirements of Section 31 23 33 Excavation and Backfill for Utilities. Use bedding as indicated on Drawings.
- B. Line and Grade. Establish required uniform line and grade in trench from benchmarks identified by AW Project Manager. Maintain this control for minimum of 100 feet behind and ahead of pipe-laying operation. Use laser beam equipment to establish and maintain proper line and grade of work. Use of appropriately sized grade boards which are substantially supported is also acceptable. Protect boards and location stakes from damage or dislocation.
- C. Trench Excavation. Excavate pipe trenches to depths shown on Drawings and as specified in Section 31 23 33 Excavation and Backfill for Utilities.



## 3.04 PIPE INSTALLATION BY OPEN CUT

- A. Install pipe in accordance with pipe manufacturer's recommendations and as specified in following paragraphs.
- B. Install pipe only after excavation is completed, bottom of trench fine graded, bedding material is installed, and trench has been approved by AW Project Manager.
- C. Install pipe to line and grade indicated. Place pipe so that it has continuous bearing of barrel on bedding material and is laid in trench so interior surfaces of pipe follow grades and alignment indicated. Provide bell holes where necessary.
- D. Install pipe with spigot ends toward downstream end of flow such that water flows into bell and out the spigot.
- E. Form concentric joint with each section of adjoining pipe so as to prevent offsets.
- F. Keep interior of pipe clean as installation progresses. Remove foreign material and debris from pipe
- G. Provide lubricant, place and drive home newly laid sections with come-a-long winches so as to eliminate damage to sections. Install pipe to "home" mark where provided. Use of back hoes or similar powered equipment will not be allowed unless protective measures are provided and approved in advance by Project Manager.
- H. Keep excavations free of water during construction and until final inspection.
- I. When work is not in progress, cover exposed ends of pipes with approved plug to prevent foreign material from entering pipe.
- J. Where gravity sanitary sewer is to be installed under existing water line with separation distance of less than 2 feet, construct new sewer pipe so that 20 feet of ductile iron pipe is centered on water line crossing or encase the sewer line with reinforced concrete encasement as detailed on the plans. If gravity sanitary sewer is to be installed above existing water line, construct new sewer pipe so that 20 feet of ductile iron pipe is centered on water line crossing or encase the sewer pipe so that 20 feet of ductile iron pipe is centered on water line crossing or encase the sewer pipe so that 20 feet of ductile iron pipe is centered on water line crossing or encase the sewer line with reinforced concrete encasement.
- K. Where gravity sanitary sewer is to be installed under existing water line, install new sewer using ductile iron or encased in reinforced concrete encasement as shown on Drawings. Maintain minimum 2-feet separation distance.
- L. Where the length of the stub is not indicated, install the stub to the right-of-way line and seal the free end with an approved plug.

#### 3.05 PIPE INSTALLATION OTHER THAN OPEN CUT

A. For installation of pipe by directional drilling, conform to requirements of specification sections on directional drilling as appropriate.



## 3.06 INSTALLATION OF APPURTENANCES

- A. Service Connections. Install service connections to conform to requirements of Section 33 31 00.15- Sanitary Sewer Laterals.
- B. Construct manholes to conform to requirements of Section 03 48 10 Precast Concrete Manholes.

## 3.07 INSPECTION AND TESTING

- A. Visual Inspection: Check pipe alignment in accordance with Section 33 01 30.13 - Acceptance Testing for Sanitary Sewers.
- B. Mandrel Testing. Use Mandrel Test to test flexible pipe for deflection. Refer to Section 33 01 30.13 Acceptance Testing for Sanitary Sewers.
- C. Pipe Leakage Test. After backfilling line segment and prior to tie-in of service connections, visually inspect gravity sanitary sewers where feasible, and test for leakage in accordance with Section 33 01 30.13 Acceptance Testing for Sanitary Sewers.

## 3.08 BACKFILL AND SITE CLEANUP

- A. Backfill and compact soil in accordance with Section 31 23 33 Excavation and Backfill for Utilities.
- B. Backfill trench in specified lifts only after pipe installation is approved by AW Project Manager.
- C. Repair and replace removed or damaged pavement, curbs, gutters, and sidewalks as specified by local base regulations..

## END OF SECTION 33 31 00.11