# PROJECT MANUAL NORTH ROCKLAND CSD STONY POINT ES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

7 Gurnee Drive Stony Point, New York - 10980

ENGINEERING FIRM AUTHORIZATION NO.: 0021419 CPL PROJECT NO.: R25.17697.00 DOCUMENT ISSUE DATE: May 30, 2025

NEW YORK STATE EDUCATION DEPARTMENT PROJECT CONTROL NUMBER(S): Stony Point Elementary: 50-02-01-06-0-014-015

#### DESIGN PROFESSIONAL'S CERTIFICATION:

The undersigned certifies that "the design of this project conforms to all applicable provisions of the New York State Uniform Fire Prevention and Building Code, the New York State Energy Conservation Code and the Building Standards of the New York State Education Department." Furthermore, "No new asbestos containing building materials (ACBM) shall be used in construction" and "work will not involve known (ACBM) as evidenced by bulk or destruct testing".

#### **ALTERATION WARNING STATEMENT:**

It is a violation of the New York State Education Law and the Commissioner's regulations for any person, unless acting under the direction of a licensed Architect, Engineer or Land Surveyor, to alter an item in any way. If an item bearing the seal of an Architect, Engineer or Land Surveyor is altered, the altering party shall affix to the item, their seal and the notation " ALTERED BY ", followed by their signature and the date of such alteration, and a specific description of the alteration.

ARCHITECT / ENGINEER CPL 26 IBM Road

Poughkeepsie, NY 12601 PHONE: 800-274-9000 www.cplteam.com

### CONSTRUCTION MANAGER

The Palombo Group

22 Noxon Street, Poughkeepsie, NY 12601

845-868-1239 www.thepalombogroup.com OWNER

North Rockland CSD

District Office, 65 Chapel Street, Garnerville, NY 10923

845-942-3000 www.northrockland.org



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LIST OF DRAWING SHEETS

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# SECTION 000115 LIST OF DRAWING SHEETS

#### **GENERAL**

G000 COVER

G101 LIFE SAFETY PLANS

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C-200	LAYOUT AND MATERIALS PLAN
C-300	GRADING AND DRAINAGE PLAN
C-400	CODE COMPLIANCE PLAN
C-500	DETAILS
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# **STRUCTURAL**

#### **PLUMBING**

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- E050 ELECTRICAL SITE PLAN PROPOSED NEW WORK

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# SPES - STUDENT DROP OFF LOOP & WATER HEATER NORTH ROCKLAND CSD R25.17697.00 ADVERTISEMENT FOR BIDS (N.Y. PUBLIC WORK) 001112 - 1

## SECTION 001112 ADVERTISEMENT FOR BIDS (N.Y. PUBLIC WORK)

#### THE NORTH ROCKLAND CSD

Invites bids for Stony Point ES – Student Drop Off Loop & Water Heater Replacement project Contract 03, - Site Work Construction, Contract 04 – Plumbing Construction, and Contract 05 – Electrical Construction located at 7 Gurnee Drive, Stony Point, New York, 10980.

Separate sealed bids will be received by North Rockland CSD at the District Office, 65 Chapel Street, Garnerville NY 10923, until 10:00 AM local time on June 13, 2025, at which time they will be publicly opened and read aloud. It is the sole responsibility of the bidder to ensure that the bid is received at the designated location prior to the designated time for opening bids.

Bidding Documents may be examined in the Office of the Architect/Engineer, CPL, at 26 IBM Road, Poughkeepsie, New York 12601.

- Complete sets of hard copy Bidding Documents, Drawings and Specifications, may be obtained from REVplans, 28 Church Street, Unit #7 Warwick NY 10990 Tel: 1-877-272-0216, upon depositing the sum of \$100 for each combined set of Bidding Documents. Checks or money Orders shall be made payable to North Rockland Central School District. Plan deposit is refundable in accordance with the terms in the Instructions to Bidders, to all submitting bids. Any bidder requiring documents to be shipped shall make arrangements with the printer and pay for all packaging and shipping costs.
- 2. Please note; REVplans (cplteamplanroom.com) is the designated location and means for distributing and obtaining all bid package information. Only those Contract Documents obtained in this manner will enable a prospective bidder to be identified as an official plan holder of record. The Provider takes no responsibility for the completeness of Contract Documents obtained from other sources. Contract Documents obtained from other sources may not be accurate or may not contain addenda that may be issued.
- 3. All bid addenda will be transmitted to registered plan holders via email and will be available at www.cplteamplanroom.com. Plan holders who have paid for hard copies of the bid documents will need to make the determination if hard copies of the addenda are required for their use, and coordinate directly with the printer for hard copies of addenda to be issued. There will be no charge for registered plan holders to obtain hard copies of the bid addenda.
- 4. A Bidder, upon 1) making the deposit required for the Bid Documents, 2) submitting a Proposal accompanied by a certified check or other security in accordance with the requirements contained in the plans and specifications and public advertisement for bids, and 3) returning the plans and specifications used by such Bidder in good condition within thirty (30) days following the award of the Contract, or rejection of the Bid, shall have returned to them the full amount of the deposit for one copy of the plans and specifications.

All project related questions shall be directed to Lauren Tarsio; Phone: 518-915-7456 Email: ltarsio@cplteam.com. RFI's, prior to bidding, will be accepted until 12:00 PM on June 6, 2025.

A Pre-Bid meeting/walk-thru for the Project will be held at 7 Gurnee Dr. Stony Point, NY 10980 at 3:00pm on June 6, 2025. Prospective bidders are requested to attend. Bidders may visit the sites during business hours by appointment by contacting Scott Butler (The Palombo Group) at sbutler@thepalombogroup.com or (845) 332-0202.

The North Rockland CSD hereby reserves the right to waive any informalities and reject any or all Bids or to accept the one that in its judgment will be in the best interest of North Rockland CSD.

A Bid Bond or Certified Check in the amount of five percent (5%) of Base Bid is required and must accompany proposal. Performance Bond and Labor Material Payment Bond equal to one hundred percent (100%) of Contract Sum are required to be delivered at time Contract is signed with Owner.

Attention of the Bidder is particularly called to the following:

- The Owner's sales tax exemption.
- The awarding of bidder's Contract will be subject to the approval of the New York State Education Department.
- The requirements as to conditions of employment.
- The minimum wage rates to be paid under the contract.
- The requirements pertaining to certification of Non-Collusion in preparation of proposals submitted for this Project.
- The New York State Department of Labor Contractor Registry.

No bidder may withdraw their bid within forty-five (45) days after date of bid opening.

In addition, the Bidding Documents for this project contain detailed requirements for the qualification of Bidders as follows:

- Rigid bonding and insurance requirements.
- Financial statements and bank references.
- Lists of lawsuits, arbitrations or other proceedings in which the Bidder has been named as a party.
- A statement of Surety's intent to issue Performance and Payment Bonds.
- A description of other projects of similar size and scope completed by the Bidder.

Bidders will comply with New York State prevailing wage and supplement requirements.

Board of Education

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

NORTH ROCKLAND CSD

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ADVERTISEMENT FOR BIDS (N.Y. PUBLIC WORK)

001112 - 3

North Rockland CSD Rockland County State of New York

Kris Felicello District Superintendent

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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INSTRUCTIONS TO BIDDERS

002113 - 1

# SECTION 002113 INSTRUCTIONS TO BIDDERS

### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Attached is AIA Document A701-2018, Instructions to Bidders.
  - 1. AIA Document A701-2018 defines the conditions affecting award of contract and procedures with which Bidders must comply.

# PART 2 PRODUCTS (NOT USED)

# PART 3 EXECUTION (NOT USED)

# END OF SECTION 002113

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# Instructions to Bidders

for the following Project: (Name, location, and detailed description)

Stony Point ES - Student Drop Off Loop & Water Heater Replacement Contracts 03, 04 & 05 Stony Point Elementary School 7 Gurnee Drive, Stony Point, New York 10980

#### THE OWNER:

(Name, legal status, address, and other information)

North Rockland Central School District 65 Chapel Street, Garnerville, New York 10923 Phone: 845-942-3000 Web: www.northrockland.org

#### THE ARCHITECT:

(Name, legal status, address, and other information)

CPL Architects, Engineers and Landscape Architect, D.P.C. d/b/a CPL 26 IBM Road, Poughkeepsie, New York 12601 Phone: 800-274-9000 Web: www.cplteam.com

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# ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612<sup>™</sup>–2017, Owner's Instructions to the Architect, Parts A and B will be completed prior to using this document.

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#### ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the Proposed Contract Documents. The Bidding Requirements consist of the advertisement or invitation to bid, Instructions to Bidders, supplementary instructions to bidders, the bid form, and any other bidding forms. The Proposed Contract Documents consist of the unexecuted form of Agreement between the Owner and Contractor and that Agreement's Exhibits, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, all Addenda, and all other documents enumerated in Article 8 of these Instructions.

§ 1.2 Definitions set forth in the General and Supplementary (if required) Conditions of the Contract for Construction, or in other Proposed Contract Documents apply to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect, prior to the execution of the Contract, which, by additions, deletions, clarifications, or corrections, modify or interpret the Bidding Documents.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents, to which Work may be added or deleted by sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from, or that does not change, the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment, or labor for a portion of the Work.

#### ARTICLE 2 **BIDDER'S REPRESENTATIONS**

§ 2.1 By submitting a Bid, the Bidder represents that:

- the Bidder has read and understands the Bidding Documents; .1
- .2 the Bidder understands how the Bidding Documents relate to other portions of the Project, if any, being bid concurrently or presently under construction:
- .3 the Bid complies with the Bidding Documents;
- .4 the Bidder has visited the site, become familiar with local conditions under which the Work is to be performed, and has correlated the Bidder's observations with the requirements of the Proposed Contract Documents;
- .5 the Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception; and

the Bidder has read and understands the provisions for liquidated damages, if any, set forth in the form of .6 Agreement between the Owner and Contractor.

#### **BIDDING DOCUMENTS ARTICLE 3**

#### § 3.1 Distribution

§ 3.1.1 Bidders may obtain Bidding Documents as designated in the Advertisement or Invitation to Bid, for the deposit sum and method stated therein.

§ 3.1.2 Any required deposit shall be refunded to Bidders who submit a bona fide Bid and return the paper Bidding Documents in good condition within (30) days following the award of the Contract or rejection of the Bids. The cost to replace missing or damaged paper documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the paper Bidding Documents, and the Bidder's deposit will be refunded. Good condition as used in this section

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means that the Bidding Documents must be returned bound as issued, legible, and containing only the markings necessary for bidding purposes.

§ 3.1.3 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the advertisement or invitation to bid, or in supplementary instructions to bidders.

§ 3.1.4 Bidders shall use complete Bidding Documents in preparing Bids. Neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete Bidding Documents.

§ 3.1.5 The Bidding Documents will be available for the sole purpose of obtaining Bids on the Work. No license or grant of use is conferred by distribution of the Bidding Documents.

#### § 3.2 Modification or Interpretation of Bidding Documents

§ 3.2.1 The Bidder shall carefully study the Bidding Documents, shall examine the site and local conditions, shall consider federal, state and local Laws and Regulations and shall notify the Architect of errors, inconsistencies, or ambiguities discovered and request clarification or interpretation pursuant to Section 3.2.2.

§ 3.2.2 Requests for clarification or interpretation of the Bidding Documents shall be submitted by the Bidder in writing via email and shall be received by the Architect at least seven working days prior to the date for receipt of Bids, as follows:

Lauren Tarsio, AIA ltarsio@cplteam.com

§ 3.2.3 Modifications and interpretations of the Bidding Documents shall be made by Addendum. Modifications and interpretations of the Bidding Documents made in any other manner, including phone calls, shall not be binding, and Bidders shall not rely upon them.

§ 3.2.4 In the absence of an interpretation, correction or change, should the Drawings disagree in themselves or with the Specifications, the better quality, the costlier or the greater quantity of work or materials shall be estimated upon, and unless otherwise ordered, shall be furnished.

§ 3.2.5 Communications regarding the Bidding Documents shall be directed to Ingrid Martinez, Telephone (800) 274-9000

#### § 3.2.6 EQUIVALENCY

§ 3.2.6.1 In the Specifications, if two or more kinds, types, brands, or manufacturers or materials are named, they shall be regarded as the required standard of quality, and are presumed to be equal. The Contractor may select one of these items or, if the Contractor desires to use any kind, type, brand, manufacturer or material other than those named in the Specification, he shall indicate in writing to the Architect and Owner, and prior to the award of Contract, what kind, type, brand or manufacturer is included in the Base Bid for the specified item. Refer to Specification 012519 Equivalents for Equivalent Certification Form.

#### § 3.3 Substitutions

§ 3.3.1 The materials, products, and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance, and quality to be met by any proposed substitution.

#### § 3.3.2 Substitution Process

§ 3.3.2.1 Written requests for substitutions shall be received by the Architect at least ten days prior to the date for receipt of Bids. Requests shall be submitted in the same manner as that established for submitting clarifications and interpretations in Section 3.2.2.

§ 3.3.2.2 Bidders shall submit substitution requests on a Substitution Request Form if one is provided in the Bidding Documents.

§ 3.3.2.3 If a Substitution Request Form is not provided, requests shall include (1) the name of the material or equipment specified in the Bidding Documents; (2) the reason for the requested substitution; (3) a complete description of the proposed substitution including the name of the material or equipment proposed as the substitute, performance and test data, and relevant drawings; and (4) any other information necessary for an evaluation. The request shall include a statement setting forth changes in other materials, equipment, or other portions of the Work, including changes in the

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work of other contracts or the impact on any Project Certifications (such as LEED), that will result from incorporation of the proposed substitution.

§ 3.3.3 The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

§ 3.3.4 If the Architect approves a proposed substitution prior to receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding, and Bidders shall not rely upon them.

§ 3.3.5 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents. The procedure for review and approval of Substitutions is set forth in the § 3.4.2 of the General and Supplementary (if required) Conditions of the Contract and in the General Requirements (Division 1 of the Specifications).

#### § 3.4 Addenda

§ 3.4.1 Addenda will be transmitted to Bidders known by the issuing office to have received complete Bidding Documents through the print method stated in the Advertisement or Invitation to Bid.

§ 3.4.2 Addenda will be available where Bidding Documents are on file.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids, except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Prior to submitting a Bid, each Bidder shall ascertain that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

#### ARTICLE 4 BIDDING PROCEDURES

§ 4.1 Preparation of Bids

§ 4.1.1 Bids shall be submitted on the forms included with or identified in the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed. Paper bid forms shall be executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and numbers, unless noted otherwise on the bid form. In case of discrepancy, the amount entered in words shall govern.

§ 4.1.4 Edits to entries made on paper bid forms must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change" or as required by the bid form.

§ 4.1.6 Each copy of the Bid shall state the legal name and legal status of the Bidder. As part of the documentation submitted with the Bid, the Bidder shall provide evidence of its legal authority to perform the Work in the jurisdiction where the Project is located. Each copy of the Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further name the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached, certifying the agent's authority to bind the Bidder.

§ 4.1.7 A Bidder shall incur all costs associated with the preparation of its Bid.

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§ 4.1.8 In accordance with the Wicks Reform 2008, Single Prime Contracts for projects under the monetary threshold of \$500,000 the bid shall be accompanied by a separate sealed envelope naming each subcontractor for the Plumbing, HVAC and Electrical work, with the amounts paid to each Contractor. This list may not be changed unless the public owner finds a legitimate construction need, including a change in specifications or costs. This list must be open for public inspection.

§ 4.1.9 Pursuant to New York State Department of Labor requirements for State Public Work Projects or Covered Private Projects, Contractor to submit with the bid, a copy of their Contractor Certificate of Registration. Refer to DOL for details.

#### § 4.2 Bid Security

§ 4.2.1 Each Bid shall be accompanied by the following bid security:

Bid Security of not less than five percent (5%) of the amount of the Bid, in the form of a Bid Bond or a Certified Check made payable to the Owner.

§ 4.2.2 Except as stated under § 4.4.3, the Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid, with the understanding that the Bid Security shall guarantee that the Bidder will not withdraw its Bid for a period of forty-five (45) days after the scheduled closing time for the receipt of Bids, and that if its Bid is accepted, the Bidder will enter into a formal contract with the Owner in accordance with the terms stated in the Bid and will furnish any required performance and payment bonds at the time required. In the event of the withdrawal of said Bid within the forty-five (45) day period or the failure of the successful Bidder to enter into the Contract with the Owner or the failure of the successful Bidder to furnish required performance and payment bonds at the time required, the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty, which represents the damage the Owner incurred as a result of the Bidder's default.

In the event the Owner fails to comply with Section 6.2, the amount of the bid security shall not be forfeited to the Owner.

§ 4.2.3 If a surety bond is required as bid security, it shall be written on AIA Document A310<sup>TM</sup>, Bid Bond, unless otherwise provided in the Bidding Documents. The attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of an acceptable power of attorney. The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 4.2.4 The Bid Securities shall be returned to all Bidders except the three (3) lowest Bidders within three (3) days after the formal opening of bids. The remaining Bid Securities will be returned within forty-eight (48) hours after the Owner and the successful Bidder have executed the Contract and executed performance and payment bonds have been approved by the Owner. If a Contract has not been executed or performance and payment bonds have not been approved by the Owner within forty-five (45) days after the scheduled closing time for the receipt of bids, then Bid Securities will be returned within three (3) days after the expiration of this forty-five (45) day period unless the Bid Security has been forfeited under § 4.2.2.

#### § 4.3 Submission of Bids

§ 4.3.1 A Bidder shall submit its Bid as a paper Bid, or as indicated in the Advertisement for Bid.

§ 4.3.2 Paper copies of the Bid, the bid security, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address, and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

§ 4.3.3 Bids shall be submitted by the date and time and at the place indicated in the invitation to bid. Bids submitted after the date and time for receipt of Bids, or at an incorrect place, will not be accepted.

§ 4.3.4 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

§ 4.3.5 A Bid submitted by any method other than as provided in this Section 4.3 will not be accepted.

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#### § 4.4 Modification or Withdrawal of Bid

§ 4.4.1 Prior to the date and time designated for receipt of Bids, a Bidder may submit a new Bid to replace a Bid previously submitted, or withdraw its Bid entirely, by notice to the party designated to receive the Bids. Such notice shall be received and duly recorded by the receiving party on or before the date and time set for receipt of Bids. The receiving party shall verify that replaced or withdrawn Bids are removed from the other submitted Bids and not considered. Notice of submission of a replacement Bid or withdrawal of a Bid shall be worded so as not to reveal the amount of the original Bid.

§ 4.4.2 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids in the same format as that established in Section 4.3, provided they fully conform with these Instructions to Bidders. Bid security shall be in an amount sufficient for the Bid as resubmitted.

§ 4.4.3 After the date and time designated for receipt of Bids, a Bidder who discovers that it made a clerical error in its Bid shall notify the Architect of such error within three days, or pursuant to a timeframe specified by the law of the jurisdiction where the Project is located, requesting withdrawal of its Bid. Upon providing evidence of such error to the reasonable satisfaction of the Architect, the Bid shall be withdrawn and not resubmitted. If a Bid is withdrawn pursuant to this Section 4.4.3, the bid security will be returned.

§ 4.4.4 Unless a Bid error complies with § 4.4.3, a Bid may not be modified, withdrawn or canceled by the Bidder for a period of forty-five (45) days following the time and date designated for the receipt of Bids, and each Bidder agrees to this requirement in submitting a Bid.

#### ARTICLE 5 CONSIDERATION OF BIDS

#### § 5.1 Opening of Bids

If stipulated in an advertisement or invitation to bid, or when otherwise required by law, Bids properly identified and received within the specified time limits will be publicly opened and read aloud. A summary of the Bids may be made available to Bidders.

#### § 5.2 Rejection of Bids

Unless otherwise prohibited by law, the Owner shall have the right to reject any or all Bids.

#### § 5.3 Acceptance of Bid (Award)

§ 5.3.1 It is the intent of the Owner, for Public projects, to award a Contract to the lowest responsive and responsible Bidder, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. Unless otherwise prohibited by law, the Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's best interests.

§ 5.3.2 Unless otherwise prohibited by law, the Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the lowest responsive and responsible Bidder on the basis of the sum of the Base Bid and Alternates accepted.

#### **ARTICLE 6** POST-BID INFORMATION

#### § 6.1 Contractor's Qualification Statement

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request and within the timeframe specified by the Architect, a properly executed AIA Document A305TM, Contractor's Qualification Statement, or other document included in the Project Manual, unless such a Statement has been previously required and submitted for this Bid.

#### § 6.2 Owner's Financial Capability

A Bidder to whom award of a Contract is under consideration may request in writing, fourteen days prior to the expiration of the time for withdrawal of Bids, that the Owner furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. The Owner shall then furnish such reasonable evidence to the Bidder no later than seven days prior to the expiration of the time for withdrawal of Bids. Unless such reasonable evidence is furnished within the allotted time, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

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# § 6.3 Submittals

§ 6.3.1 After notification of selection for the award of the Contract, the Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, submit in writing to the Owner through the Architect:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the principal products and systems proposed for the Work and the manufacturers and suppliers of each: and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, withdraw the Bid or submit an acceptable substitute person or entity. The Bidder may also submit any required adjustment in the Base Bid or Alternate Bid to account for the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

# ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

#### § 7.1 Bond Requirements

§ 7.1.1 The Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder.

§ 7.1.2 The cost of bonds shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 7.1.4 Unless otherwise indicated below, the Penal Sum of the Payment and Performance Bonds shall each be equal to one hundred (100) percent of the Contract Sum.

(If Payment or Performance Bonds are to be in an amount other than 100% of the Contract Sum, indicate the dollar amount or percentage of the Contract Sum.)

#### § 7.2 Time of Delivery and Form of Bonds

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than ten (10) days after the Bidder has received notice of the acceptance of its Bid but in no event shall bonds be delivered later than the date of execution of the Contract. If the Work is to commence sooner in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix to the bond a certified and current copy of the power of attorney.

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#### ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS **ARTICLE 8**

§ 8.1 Copies of the proposed Contract Documents have been made available to the Bidder and consist of the following documents:

> AIA Document A101<sup>TM</sup>–2017, Standard Form of Agreement Between Owner and Contractor, unless otherwise stated below. (Insert the complete AIA Document number, including year, and Document title.)

- .2 AIA Document A101<sup>TM</sup>–2017, Exhibit A, Insurance and Bonds, unless otherwise stated below. (Insert the complete AIA Document number, including year, and Document title.)
- AIA Document A201<sup>TM</sup>–2017, General Conditions of the Contract for Construction, unless otherwise .3 stated below. (Insert the complete AIA Document number, including year, and Document title.)
- AIA Document E203<sup>TM</sup>–2013, Building Information Modeling and Digital Data Exhibit, dated as .4 indicated below: (Insert the date of the E203-2013.)

NA

.1

.5 Drawings

.6	Number See Section 000115 Specifications	Title	Date	
	Section See Section 000110	Title	Date	Pages
.7	Addenda:			
	Number	Date	Pages	

.8 Other Exhibits:

(Check all boxes that apply and include appropriate information identifying the exhibit where required.)

AIA Document E204<sup>™</sup>–2017, Sustainable Projects Exhibit, dated as indicated below: [] (Insert the date of the E204-2017.)

[ ] The Sustainability Plan:

Title Date Pages

Supplementary and other Conditions of the Contract: [ ]

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Document	Title	Date	Pages

.9 Other documents listed below:

(List here any additional documents that are intended to form part of the Proposed Contract Documents.)

#### **ARTICLE 9: AUTODESK CONSTRUCTION CLOUD (ACC) REQUIREMENTS**

9.1 After notification of selection for the award of the Contract, the Bidder shall be required to use Autodesk Construction Cloud (ACC) for the transfer of Submittals, Shop Drawings and RFI's. There will be no exceptions to this requirement. The contractor will be given a Login and Password free of charge.

#### **ARTICLE 10: TAXES**

10.1 The Owner is an organization which is exempt from New York State and Local Sales and Use Taxes. Materials purchased for use in fulfilling this Contract will be exempt from New York Sales Tax. The Owner will provide the Contractor with a completed Form ST-119.1, Exempt Organization Certification. The Contractor shall present a copy of this Form and a completed Form ST-120.1, Contractor Exempt Purchase Certificate, to each supplier. Should sales tax be assessed, the Owner agrees that the Contract Sum shall be increased by the full amount of such assessment.

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#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00

PROCUREMENT SUBSTITUTION PROCEDURES

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# SECTION 002600 PROCUREMENT SUBSTITUTION PROCEDURES

#### PART 1 GENERAL

#### **1.1 DEFINITIONS**

- A. Procurement Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Procurement and Contracting Documents, submitted <u>prior</u> to receipt of bids.
- B. Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Contract Documents, submitted <u>after</u> Contract award. See Section 012500 Substitution Procedures, for conditions under which Substitution Requests will be considered <u>after</u> Contract award.
- C. Equivalent Products: For products proposed as an Equivalent to the specified product. Prior to award of contract use the form located in Section 012519 Equivalents, after award of bid and prior to executing contract.

### **1.2 QUALITY ASSURANCE**

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

### **1.3 PROCUREMENT SUBSTITUTIONS**

- A. Procurement Substitutions, General: By submitting a bid, the Bidder represents that its bid is based on materials and equipment described in the Procurement and Contracting Documents, including Addenda. Bidders are encouraged to request approval of qualifying substitute materials and equipment when the Specifications Sections list materials and equipment by product or manufacturer name.
- B. Procurement Substitution Requests will be received and considered by Owner when the following conditions are satisfied, as determined by Architect; otherwise requests will be returned without action:
  - 1. Extensive revisions to the Contract Documents are not required.
  - 2. Proposed changes are in keeping with the general intent of the Contract Documents, including the level of quality of the Work represented by the requirements therein.
  - 3. The request is fully documented and properly submitted.

# **1.4 SUBMITTALS**

- A. Procurement Substitution Request: Submit to Architect. Procurement Substitution Request must be made in writing by **PRIME CONTRACT BIDDER ONLY**, in compliance with the following requirements:
  - 1. Requests for substitution of materials and equipment will be considered if received no later than (10) ten days prior to date of bid opening.

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PROCUREMENT SUBSTITUTION PROCEDURES

- 2. Submittal Format: Submit electronic copies of each written Procurement Substitution Request, using form bound in section 006000 of this project manual.
- 3. Submittal Format: Submit Procurement Substitution Request, using format provided on Project Web site.
  - a. Identify the product or the fabrication or installation method to be replaced in each request. Include related Specifications Sections and drawing numbers.
  - b. Provide complete documentation on both the product specified and the proposed substitute, including the following information as appropriate:
    - 1) Point-by-point comparison of specified and proposed substitute product data, fabrication drawings, and installation procedures.
    - 2) Copies of current, independent third-party test data of salient product or system characteristics.
    - 3) Samples where applicable or when requested by Architect.
    - 4) Detailed comparison of significant qualities of the proposed substitute with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
    - 5) Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - 6) Research reports, where applicable, evidencing compliance with building code in effect for Project, from Building Code of New York State.
    - 7) Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, which will become necessary to accommodate the proposed substitute.
  - c. Provide certification by manufacturer that the substitute proposed is equal to or superior to that required by the Procurement and Contracting Documents, and that its in-place performance will be equal to or superior to the product or equipment specified in the application indicated.
  - d. Bidder, in submitting the Procurement Substitution Request, waives the right to additional payment or an extension of Contract Time because of the failure of the substitute to perform as represented in the Procurement Substitution Request.
- B. Architect's Action:
  - 1. Architect may request additional information or documentation necessary for evaluation of the Procurement Substitution Request. Architect will notify all bidders of acceptance of the proposed substitute by means of an Addendum to the Procurement and Contracting Documents.
- C. Architect's approval of a substitute during bidding does not relieve Contractor of the responsibility to submit required shop drawings and to comply with all other requirements of the Contract Documents.

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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# PART 2 PRODUCTS - (NOT USED).

PART 3 EXECUTION – (NOT USED).

#### END OF SECTION 002600

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#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

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FORM OF PROPOSAL - SITE CONSTRUCTION

004000 - 1

# SECTION 004000 FORM OF PROPOSAL - SITE CONSTRUCTION

DATED:	
-	

# BID TO:

North Rockland CSD

District Office, 65 Chapel Street, Garnerville, NY 10923

#### FROM:

(NAME OF BIDDER)

\_\_\_(ADDRESS OF BIDDER)

#### GENERAL

Pursuant to, and in compliance with, the Procurement and Contracting requirements, Conditions of the Contract, relative thereto and all of the Contract Documents, including any Addenda issued by the Architect and mailed or delivered to the undersigned prior to the opening of Bids, whether received by the undersigned or not, we, \_\_\_\_\_\_

having visited the site and being familiar with all conditions and requirements of the Work, \_\_\_\_\_\_hereby propose to furnish all plant, labor, supplies, materials and equipment incidental for all Site Construction work as required by, and in strict accord with, the applicable provisions of the Drawings and Specifications entitled STONY POINT ES - WATER HEATER REPLACEMENT & SITE WORKall to the satisfaction and approval of the Architect and the Owner in accordance with the terms and conditions of the Contract Documents for the following sum:

Base Bid (in numbers): \$	
Base Bid (in numbers): \$	

Base Bid (in words): \$\_\_\_\_\_

### ADDENDA RECEIVED

Any addenda issued by the Architect, emailed, mailed or delivered, to the undersigned prior to the Bid opening date shall become part of the Contract Documents. The Bidder shall enter on this list any addenda issued after this Form of Proposal has been received and shall fill in the addenda number and date.

Addendum No.:	_ Dated:
Addendum No.:	_ Dated:
Addendum No.:	_ Dated:

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 FORM OF PROPOSAL - SITE CONSTRUCTION

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Addendum No.: Dated:

#### **BID GUARANTEE**

The undersigned Bidder agrees to execute a contract for this Work in the above amount and to furnish surety as specified within (10) ten days after a written Notice of Award, if offered within (45) forty-five days after receipt of bids, and on failure to do so agrees to forfeit to Owner the attached cash, cashier's check, certified check, U.S. money order, or bid bond, as liquidated damages for such failure, in the following amount constituting five percent (5%) of the Base Bid.

In the event Owner does not offer Notice of Award within the time limits stated above, Owner will return to the undersigned the cash, cashier's check, certified check, U.S. money order, or bid bond.

#### TIME OF COMPLETION

It is agreed by the undersigned that after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, he or she will start work within (10) ten consecutive calendar days of the notice to proceed and fully complete the work as indicated in the Project Schedule.

### SUBCONTRACTORS AND SUPPLIERS

The following companies shall execute subcontracts for the portions of the Work indicated:

1.	Concrete Work:
2.	Masonry Work:
3.	Roofing Work:
4.	Plumbing Work:
5.	HVAC Work:
6.	Electrical Work:

#### **BID SECURITY**

Bid Security in the form of a Certified or Cashier's Check or a Bid Bond in the form required by the Contract Documents is attached to and made a part of this Proposal.

#### NEW YORK STATE DEPARTMENT OF LABOR CONTRACTOR REGISTRY

Pursuant to New York State Department of Labor requirements, Contractor to submit with the bid, a copy of their Contractor Certificate of Registration which is attached to, and made part of, this Proposal.

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

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FORM OF PROPOSAL - SITE CONSTRUCTION

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# IRAN DIVESTMENT ACT CERTIFICATION

Contractor to submit with the bid, Iran Divestment Act Certification which hereto is made a part of this Form of Proposal and is attached at the end of this Form of Proposal.

#### REPRESENTATIONS

By submitting this Proposal the Bidder represents and certifies to the Owner and the Architect that:

- 1. It has examined the Contract Documents, the site of the proposed Work, is familiar with the local conditions at the place where the Work is to be performed and fully comprehends the requirements and intent of the plans and specifications for this Project in accordance with the drawings, specifications and other Contract Documents prepared by CPL the Owners Consultant, for this Project.
- 2. It has examined and reviewed, where applicable, all information and data in the Contract Documents related to existing underground facilities at or contiguous to the site. Bidder shall require of the Owner or Architect no further investigations, explorations, tests or reports with respect to such underground facilities in order for the Bidder to perform the Work of the Proposal within the Contract Time and in accordance with the Contract Documents.
- 3. It has given notice to the Architect, as required by the Contract Documents of any and all discrepancies it has discovered and accepts the resolution of those discrepancies offered by the Architect.
- 4. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - a. The prices in this bid have been arrived at independently without collusion, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - b. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not be knowingly disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or competitor; and
  - c. No attempt has been made or will be made by bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- 5. The proposal is based upon the materials, equipment and systems required by the Contract Documents, without exception, unless otherwise set forth in this Proposal in detail.

### **CHANGE ORDERS**

We propose and agree that the above lump sum shall be adjusted for changes in the Contract Work not included in unit prices by addition of the following costs:

1. Profit and overhead as permitted in the General Conditions.

### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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FORM OF PROPOSAL - SITE CONSTRUCTION

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#### NON-COLLUSIVE BIDDING CERTIFICATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

- 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
- 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

#### ACCEPTANCE

When this Proposal is accepted, the undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

## AFFIRMS

The undersigned affirms and agrees that this Proposal is a firm one which remains in effect and will be irrevocable for a period of (45) forty-five days after opening of Bids.

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#### **TYPE OF BUSINESS**

The undersigned hereby represents that it is a [] Corporation, [] Partnership, [] Individual. If a Corporation, then the undersigned further represents that it is duly qualified as a Corporation under the laws of and it is authorized to do business in this State.

## PLACE OF BUSINESS

The following is the name and address of the person to whom all notices required in connection with this Proposal may be telephoned, mailed, or delivered:

Name of Contact Person:	
Name of Business or Firm:	
Address:	
Address:	
Telephone:	Fax:
Email Address:	
FEIN: (Federal Employer Identification No	o.) :

# **EXECUTION OF CONTRACT**

When written Notice of Acceptance of the Proposal is mailed or delivered to the undersigned within (45) forty-five days after the opening of Bids, or anytime thereafter should the Proposal not be withdrawn, the undersigned, within (10) ten days, will execute the Form of Agreement with the Owner.

#### ASBESTOS

The bidder certifies that no asbestos or asbestos-containing materials will be incorporated into the Work of this Contract.

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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FORM OF PROPOSAL - SITE CONSTRUCTION

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# AUTHORIZED SIGNATURES FOR PROPOSALS

Signature:

Name: \_\_\_\_\_

(Typed or Printed)

Title:

(Legal Name of Person, Single Proprietorship, Partnership or Corporation)

Date: \_\_\_\_\_

(if Corporation, provide seal above)

R25.17697.00 FORM OF PROPOSAL

FORM OF PROPOSAL - SITE CONSTRUCTION

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## IRAN DIVESTMENT ACT CERTIFICATION

By submission of this bid or by assuming the responsibility of a Contract awarded hereunder, each bidder and each person signing on behalf of any bidders, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief:

That each bidder/contractor/assignee is not on the "Entities Determined To Be Non-Responsive Bidders/Offerers Pursuant to The New York State Iran Divestment Act of 2012" list created pursuant to paragraph (b) subdivision 3 of section 165-a of the New York State Finance Law and posted on the OGS website at

www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf and further certifies that it will not utilize on such Contract any subcontractor that is identified on the Prohibited Entities List. Additionally, Bidder/Contractor is advised that should it seek to renew or extend a Contract awarded in response to the solicitation, it must provide the same certification at the time the Contract is renewed or extended. (See Article 10 in the Instructions to Bidders.)

NAME OF COMPANY:

(Individual or Legal Name of Firm or Corporation)

MAILING ADDRESS:		
BY:		
(Signature o	of Representative of	Firm or Corporation)
NAME: TITLE:		
Please Print		Please Print
DATED:	_	
SWORN to before me this		
	day of	20
Notary Public:		

NORTH	ROCKL	AND	CSD
-------	-------	-----	-----

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

FORM OF PROPOSAL - SITE CONSTRUCTION

004000 - 8

## SEXUAL HARASSMENT POLICY/TRAINING AFFIRMATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all its employees.

Contractor Information:

Name of Contractor:

Address:

Phone Number:	Facsimile:		
Email Address:			
Contractor Website:			
(Signature of Contractor)	(Title)	(Date)	

R25.17697.00

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

FORM OF PROPOSAL - SITE CONSTRUCTION

004000 - 9

## **CERTIFICATION UNDER NEW YORK STATE EXECUTIVE ORDER NO. 16**

- A. New York State Executive Order No. 16 provides that "all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with any entity conducting business operations in Russia."
- B. The executive order remains in effect while sanctions imposed by the Federal Government are in effect. Accordingly, vendors who may be excluded from award of contract because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during solicitations or even award of contract in the case of some solicitations.
- C. As defined in Executive Order No. 16, an "entity conducting business operations in Russia" means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment or any business partnership
- D. Is Vendor an entity conducting business operations in Russia, as defined above? Please answer by checking one of the following:
  - 1. No, Vendor does not conduct business operations in Russia within the meaning of Executive Order No. 16.
  - 2.a. Yes, Vendor conducts business operations in Russia withing the meaning of Executive Order No. 16 but has taken steps to wind down business operations in Russia or is in the process of winding down business operations in Russia. (Please provide a detailed description of the wind down process and a schedule for completion).
    - 2.b. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but only to the extent necessary to provide vital health and safety services within Russia or to comply with Federal Law, Regulations, Executive Orders or Directives. (Please provide a detailed description of the services being provided or the relevant laws, regulations, etc.
      - 3. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16.
- E. The undersigned certifies under penalties of perjury that they are knowledgeable of the Vendor's business and operations and that the answer provided herein is true to the best of their knowledge and belief.

vendor Mame	·
	(legal entity)
By:	
	(signature)
Name:	
Title:	

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 FORM OF PROPOSAL - SITE CONSTRUCTION 004000 - 10

Date:

END OF SECTION 004000

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 1

## SECTION 004001 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

<b>DATED:</b>	
	_

#### BID TO:

North Rockland CSD

District Office, 65 Chapel Street, Garnerville, NY 10923

#### FROM:

(NAME OF BIDDER)

\_\_(ADDRESS OF BIDDER)

#### GENERAL

Pursuant to, and in compliance with, the Procurement and Contracting requirements, Conditions of the Contract, relative thereto and all of the Contract Documents, including any Addenda issued by the Architect and mailed or delivered to the undersigned prior to the opening of Bids, whether received by the undersigned or not, we, \_\_\_\_\_\_

having visited the site and being familiar with all conditions and requirements of the Work, \_\_\_\_\_\_hereby propose to furnish all plant, labor, supplies, materials and equipment incidental for all Plumbing Construction work as required by, and in strict accord with, the applicable provisions of the Drawings and Specifications entitled STONY POINT ES - WATER HEATER REPLACEMENT & SITE WORKall to the satisfaction and approval of the Architect and the Owner in accordance with the terms and conditions of the Contract Documents for the following sum:

Base Bic
----------

Base Bid (in words): \$\_\_\_\_\_

#### **ADDENDA RECEIVED**

Any addenda issued by the Architect, emailed, mailed or delivered, to the undersigned prior to the Bid opening date shall become part of the Contract Documents. The Bidder shall enter on this list any addenda issued after this Form of Proposal has been received and shall fill in the addenda number and date.

Addendum No.:	_ Dated:
Addendum No.:	_ Dated:
Addendum No.:	_ Dated:

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 2

Addendum No.: Dated:

#### **BID GUARANTEE**

The undersigned Bidder agrees to execute a contract for this Work in the above amount and to furnish surety as specified within (10) ten days after a written Notice of Award, if offered within (45) forty-five days after receipt of bids, and on failure to do so agrees to forfeit to Owner the attached cash, cashier's check, certified check, U.S. money order, or bid bond, as liquidated damages for such failure, in the following amount constituting five percent (5%) of the Base Bid.

In the event Owner does not offer Notice of Award within the time limits stated above, Owner will return to the undersigned the cash, cashier's check, certified check, U.S. money order, or bid bond.

#### TIME OF COMPLETION

It is agreed by the undersigned that after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, he or she will start work within (10) ten consecutive calendar days of the notice to proceed and fully complete the work as indicated in the Project Schedule.

#### SUBCONTRACTORS AND SUPPLIERS

The following companies shall execute subcontracts for the portions of the Work indicated:

1.	Concrete Work:
2.	Masonry Work:
3.	Roofing Work:
4.	Plumbing Work:
5.	HVAC Work:
6.	Electrical Work:

#### **BID SECURITY**

Bid Security in the form of a Certified or Cashier's Check or a Bid Bond in the form required by the Contract Documents is attached to and made a part of this Proposal.

#### NEW YORK STATE DEPARTMENT OF LABOR CONTRACTOR REGISTRY

Pursuant to New York State Department of Labor requirements, Contractor to submit with the bid, a copy of their Contractor Certificate of Registration which is attached to, and made part of, this Proposal.

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 3

## IRAN DIVESTMENT ACT CERTIFICATION

Contractor to submit with the bid, Iran Divestment Act Certification which hereto is made a part of this Form of Proposal and is attached at the end of this Form of Proposal.

#### REPRESENTATIONS

By submitting this Proposal the Bidder represents and certifies to the Owner and the Architect that:

- 1. It has examined the Contract Documents, the site of the proposed Work, is familiar with the local conditions at the place where the Work is to be performed and fully comprehends the requirements and intent of the plans and specifications for this Project in accordance with the drawings, specifications and other Contract Documents prepared by CPL the Owners Consultant, for this Project.
- 2. It has examined and reviewed, where applicable, all information and data in the Contract Documents related to existing underground facilities at or contiguous to the site. Bidder shall require of the Owner or Architect no further investigations, explorations, tests or reports with respect to such underground facilities in order for the Bidder to perform the Work of the Proposal within the Contract Time and in accordance with the Contract Documents.
- 3. It has given notice to the Architect, as required by the Contract Documents of any and all discrepancies it has discovered and accepts the resolution of those discrepancies offered by the Architect.
- 4. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - a. The prices in this bid have been arrived at independently without collusion, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - b. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not be knowingly disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or competitor; and
  - c. No attempt has been made or will be made by bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- 5. The proposal is based upon the materials, equipment and systems required by the Contract Documents, without exception, unless otherwise set forth in this Proposal in detail.

#### **CHANGE ORDERS**

We propose and agree that the above lump sum shall be adjusted for changes in the Contract Work not included in unit prices by addition of the following costs:

1. Profit and overhead as permitted in the General Conditions.

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 4

#### NON-COLLUSIVE BIDDING CERTIFICATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

- 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
- 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

#### ACCEPTANCE

When this Proposal is accepted, the undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

### AFFIRMS

The undersigned affirms and agrees that this Proposal is a firm one which remains in effect and will be irrevocable for a period of (45) forty-five days after opening of Bids.

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 5

#### **TYPE OF BUSINESS**

The undersigned hereby represents that it is a [] Corporation, [] Partnership, [] Individual. If a Corporation, then the undersigned further represents that it is duly qualified as a Corporation under the laws of and it is authorized to do business in this State.

#### PLACE OF BUSINESS

The following is the name and address of the person to whom all notices required in connection with this Proposal may be telephoned, mailed, or delivered:

Name of Contact Person:	
Name of Business or Firm:	
Address:	
Address:	
Telephone:	Fax:
Email Address:	
FEIN: (Federal Employer Identification No	.):

## **EXECUTION OF CONTRACT**

When written Notice of Acceptance of the Proposal is mailed or delivered to the undersigned within (45) forty-five days after the opening of Bids, or anytime thereafter should the Proposal not be withdrawn, the undersigned, within (10) ten days, will execute the Form of Agreement with the Owner.

#### ASBESTOS

The bidder certifies that no asbestos or asbestos-containing materials will be incorporated into the Work of this Contract.

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 6

## AUTHORIZED SIGNATURES FOR PROPOSALS

Signature:

Name: \_\_\_\_\_

(Typed or Printed)

Title:

(Legal Name of Person, Single Proprietorship, Partnership or Corporation)

Date: \_\_\_\_\_

(if Corporation, provide seal above)

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 7

#### IRAN DIVESTMENT ACT CERTIFICATION

By submission of this bid or by assuming the responsibility of a Contract awarded hereunder, each bidder and each person signing on behalf of any bidders, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief:

That each bidder/contractor/assignee is not on the "Entities Determined To Be Non-Responsive Bidders/Offerers Pursuant to The New York State Iran Divestment Act of 2012" list created pursuant to paragraph (b) subdivision 3 of section 165-a of the New York State Finance Law and posted on the OGS website at

www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf and further certifies that it will not utilize on such Contract any subcontractor that is identified on the Prohibited Entities List. Additionally, Bidder/Contractor is advised that should it seek to renew or extend a Contract awarded in response to the solicitation, it must provide the same certification at the time the Contract is renewed or extended. (See Article 10 in the Instructions to Bidders.)

NAME OF COMPANY:

(Individual or Legal Name of Firm or Corporation)

MAILING ADDRESS:		
BY:		
(Signature o	of Representative of	Firm or Corporation)
NAME: TITLE:		
Please Print		Please Print
DATED:	_	
SWORN to before me this		
	day of	20
Notary Public:		

NORTH ROCKLAND CSD	SPES - STUDENT DROP OFF LOOP & WATER HEATER
	REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 8

#### SEXUAL HARASSMENT POLICY/TRAINING AFFIRMATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all its employees.

Contractor Information:

Name of Contractor:

Address:

Phone Number:

Facsimile:

Facsimile:
Contractor Website:
(Signature of Contractor)
(Title)
(Date)

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION

004001 - 9

### **CERTIFICATION UNDER NEW YORK STATE EXECUTIVE ORDER NO. 16**

- A. New York State Executive Order No. 16 provides that "all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with any entity conducting business operations in Russia."
- B. The executive order remains in effect while sanctions imposed by the Federal Government are in effect. Accordingly, vendors who may be excluded from award of contract because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during solicitations or even award of contract in the case of some solicitations.
- C. As defined in Executive Order No. 16, an "entity conducting business operations in Russia" means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment or any business partnership
- D. Is Vendor an entity conducting business operations in Russia, as defined above? Please answer by checking one of the following:
  - 1. No, Vendor does not conduct business operations in Russia within the meaning of Executive Order No. 16.
  - 2.a. Yes, Vendor conducts business operations in Russia withing the meaning of Executive Order No. 16 but has taken steps to wind down business operations in Russia or is in the process of winding down business operations in Russia. (Please provide a detailed description of the wind down process and a schedule for completion).
    - 2.b. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but only to the extent necessary to provide vital health and safety services within Russia or to comply with Federal Law, Regulations, Executive Orders or Directives. (Please provide a detailed description of the services being provided or the relevant laws, regulations, etc.
      - 3. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16.
- E. The undersigned certifies under penalties of perjury that they are knowledgeable of the Vendor's business and operations and that the answer provided herein is true to the best of their knowledge and belief.

vendor Mame	·
	(legal entity)
By:	
	(signature)
Name:	
Title:	

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 FORM OF PROPOSAL - PLUMBING CONSTRUCTION 004001 - 10

Date:

END OF SECTION 004001

R25.17697.00

FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 1

#### SECTION 004002 FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

DATED: \_\_\_\_\_

## **BID TO:**

North Rockland CSD

District Office, 65 Chapel Street, Garnerville, NY 10923

#### FROM:

\_\_\_\_\_(NAME OF BIDDER)

(ADDRESS OF BIDDER)

#### GENERAL

Pursuant to, and in compliance with, the Procurement and Contracting requirements, Conditions of the Contract, relative thereto and all of the Contract Documents, including any Addenda issued by the Architect and mailed or delivered to the undersigned prior to the opening of Bids, whether received by the undersigned or not, we,

having visited the site and being familiar with all conditions and requirements of the Work, \_\_\_\_\_\_hereby propose to furnish all plant, labor, supplies, materials and equipment incidental for all Electrical Construction work as required by, and in strict accord with, the applicable provisions of the Drawings and Specifications entitled STONY POINT ES - WATER HEATER REPLACEMENT & SITE WORKall to the satisfaction and approval of the Architect and the Owner in accordance with the terms and conditions of the Contract Documents for the following sum:

Base Bid (in numbers): \$\_\_\_\_\_

Base Bid (in words): \$\_\_\_\_\_

#### **ADDENDA RECEIVED**

Any addenda issued by the Architect, emailed, mailed or delivered, to the undersigned prior to the Bid opening date shall become part of the Contract Documents. The Bidder shall enter on this list any addenda issued after this Form of Proposal has been received and shall fill in the addenda number and date.

 Addendum No.:
 Dated:

 Addendum No.:
 Dated:

## SPES - STUDENT DROP OFF LOOP & WATER HEATER **REPLACEMENT CONTRACTS 03. 04 & 05**

R25.17697.00

## FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 2

Addendum No.:	Dated:
Addendum No.:	Dated:

#### **BID GUARANTEE**

The undersigned Bidder agrees to execute a contract for this Work in the above amount and to furnish surety as specified within (10) ten days after a written Notice of Award, if offered within (45) forty-five days after receipt of bids, and on failure to do so agrees to forfeit to Owner the attached cash, cashier's check, certified check, U.S. money order, or bid bond, as liquidated damages for such failure, in the following amount constituting five percent (5%) of the Base Bid.

In the event Owner does not offer Notice of Award within the time limits stated above, Owner will return to the undersigned the cash, cashier's check, certified check, U.S. money order, or bid bond.

#### TIME OF COMPLETION

It is agreed by the undersigned that after receipt of a Notice of Award and a consummation of a Contract Agreement in accord with the terms of the Contract Documents, he or she will start work within (10) ten consecutive calendar days of the notice to proceed and fully complete the work as indicated in the Project Schedule.

#### SUBCONTRACTORS AND SUPPLIERS

The following companies shall execute subcontracts for the portions of the Work indicated:

1.	Concrete Work:
2.	Masonry Work:
3.	Roofing Work:
4.	Plumbing Work:
5.	HVAC Work:
6.	Electrical Work:

#### **BID SECURITY**

Bid Security in the form of a Certified or Cashier's Check or a Bid Bond in the form required by the Contract Documents is attached to and made a part of this Proposal.

R25.17697.00

FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 3

#### NEW YORK STATE DEPARTMENT OF LABOR CONTRACTOR REGISTRY

Pursuant to New York State Department of Labor requirements, Contractor to submit with the bid, a copy of their Contractor Certificate of Registration which is attached to, and made part of, this Proposal.

#### **IRAN DIVESTMENT ACT CERTIFICATION**

Contractor to submit with the bid, Iran Divestment Act Certification which hereto is made a part of this Form of Proposal and is attached at the end of this Form of Proposal.

#### REPRESENTATIONS

By submitting this Proposal the Bidder represents and certifies to the Owner and the Architect that:

- 1. It has examined the Contract Documents, the site of the proposed Work, is familiar with the local conditions at the place where the Work is to be performed and fully comprehends the requirements and intent of the plans and specifications for this Project in accordance with the drawings, specifications and other Contract Documents prepared by CPL the Owners Consultant, for this Project.
- 2. It has examined and reviewed, where applicable, all information and data in the Contract Documents related to existing underground facilities at or contiguous to the site. Bidder shall require of the Owner or Architect no further investigations, explorations, tests or reports with respect to such underground facilities in order for the Bidder to perform the Work of the Proposal within the Contract Time and in accordance with the Contract Documents.
- 3. It has given notice to the Architect, as required by the Contract Documents of any and all discrepancies it has discovered and accepts the resolution of those discrepancies offered by the Architect.
- 4. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
  - a. The prices in this bid have been arrived at independently without collusion, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
  - b. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not be knowingly disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or competitor; and
  - c. No attempt has been made or will be made by bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- 5. The proposal is based upon the materials, equipment and systems required by the Contract Documents, without exception, unless otherwise set forth in this Proposal in detail.

R25.17697.00

## FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 4

#### **CHANGE ORDERS**

We propose and agree that the above lump sum shall be adjusted for changes in the Contract Work not included in unit prices by addition of the following costs:

1. Profit and overhead as permitted in the General Conditions.

#### NON-COLLUSIVE BIDDING CERTIFICATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

- 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
- 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

#### ACCEPTANCE

When this Proposal is accepted, the undersigned agrees to enter into a Contract with the Owner as provided in the Form of Agreement.

#### AFFIRMS

The undersigned affirms and agrees that this Proposal is a firm one which remains in effect and will be irrevocable for a period of (45) forty-five days after opening of Bids.

R25.17697.00

## FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 5

#### **TYPE OF BUSINESS**

The undersigned hereby represents that it is a [] Corporation, [] Partnership, []Individual. If a Corporation, then the undersigned further represents that it is duly qualified as a Corporation under the laws of and it is authorized to do business in this State.

#### PLACE OF BUSINESS

The following is the name and address of the person to whom all notices required in connection with this Proposal may be telephoned, mailed, or delivered:

Name of Contact Person:	
Name of Business or Firm:	
Address:	
Address:	
Telephone:	Fax:
Email Address:	
FEIN: (Federal Employer Identification No	o.) :

#### **EXECUTION OF CONTRACT**

When written Notice of Acceptance of the Proposal is mailed or delivered to the undersigned within (45) forty-five days after the opening of Bids, or anytime thereafter should the Proposal not be withdrawn, the undersigned, within (10) ten days, will execute the Form of Agreement with the Owner.

#### ASBESTOS

The bidder certifies that no asbestos or asbestos-containing materials will be incorporated into the Work of this Contract.

R25.17697.00

# FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 6

#### AUTHORIZED SIGNATURES FOR PROPOSALS

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

(Typed or Printed)

Title:

(Legal Name of Person, Single Proprietorship, Partnership or Corporation)

Date: \_\_\_\_\_

(if Corporation, provide seal above)

R25.17697.00

FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 7

## IRAN DIVESTMENT ACT CERTIFICATION

By submission of this bid or by assuming the responsibility of a Contract awarded hereunder, each bidder and each person signing on behalf of any bidders, certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief:

That each bidder/contractor/assignee is not on the "Entities Determined To Be Non-Responsive Bidders/Offerers Pursuant to The New York State Iran Divestment Act of 2012" list created pursuant to paragraph (b) subdivision 3 of section 165-a of the New York State Finance Law and posted on the OGS website at

www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf and further certifies that it will not utilize on such Contract any subcontractor that is identified on the Prohibited Entities List. Additionally, Bidder/Contractor is advised that should it seek to renew or extend a Contract awarded in response to the solicitation, it must provide the same certification at the time the Contract is renewed or extended. (See Article 10 in the Instructions to Bidders.)

NAME OF COMPANY: \_\_\_\_\_

(Individual	l or Legal	Name of Firm	or Corporation)
-------------	------------	--------------	-----------------

MAILING AD	DRESS:	
BY:		
	(Signature of Representative of I	Firm or Corporation)
NAME: TITLE:		
	Please Print	Please Print
DATED:		
SWORN to be	fore me this	
	day of	20
Notary Public:		

R25.17697.00

## FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 8

#### SEXUAL HARASSMENT POLICY/TRAINING AFFIRMATION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all its employees.

Contractor Information:				
Name of Contractor:				
Address:				
Phone Number:	Facsimile:			
Email Address:				
Contractor Website:				
(Signature of Contractor)	(Title)	(Date)		

R25.17697.00

## FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 9

#### **CERTIFICATION UNDER NEW YORK STATE EXECUTIVE ORDER NO. 16**

- A. New York State Executive Order No. 16 provides that "all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with any entity conducting business operations in Russia."
- B. The executive order remains in effect while sanctions imposed by the Federal Government are in effect. Accordingly, vendors who may be excluded from award of contract because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during solicitations or even award of contract in the case of some solicitations.
- C. As defined in Executive Order No. 16, an "entity conducting business operations in Russia" means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment or any business partnership
- D. Is Vendor an entity conducting business operations in Russia, as defined above? Please answer by checking one of the following:
  - 1. No, Vendor does not conduct business operations in Russia within the meaning of Executive Order No. 16.
  - 2.a. Yes, Vendor conducts business operations in Russia withing the meaning of
     Executive Order No. 16 but has taken steps to wind down business operations in
     Russia or is in the process of winding down business operations in Russia. (Please provide a detailed description of the wind down process and a schedule for completion).
    - 2.b. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but only to the extent necessary to provide vital health and safety services within Russia or to comply with Federal Law, Regulations, Executive Orders or Directives. (Please provide a detailed description of the services being provided or the relevant laws, regulations, etc.
    - 3. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16.
- E. The undersigned certifies under penalties of perjury that they are knowledgeable of the Vendor's business and operations and that the answer provided herein is true to the best of their knowledge and belief. Vendor Name:

(legal entity)

By:

(signature)

Name:

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

# FORM OF PROPOSAL - ELECTRICAL CONSTRUCTION

004002 - 10

Title:

Date:

END OF SECTION 004002

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 1

## SECTION 004500 STATEMENT OF BIDDER'S QUALIFICATIONS

NAME OF BIDDER:

FEDERAL EMPLOYER'S IDENTIFICATION NO.:

## **CONTRACT FOR:**

(CHECK ONLY THE CONTRACT THAT APPLIES)

- [\_\_] SITE
- [\_\_] PLUMBING
- [\_\_] ELECTRICAL CONTRACT

#### THIS DOCUMENT AND ALL REQUIRED ATTACHMENTS LISTED BELOW TO BE NOTARIZED AND SUBMITTED BY 3 LOW BIDDERS WITHIN 72 HOURS UPON REQUEST OF ARCHITECT

R25.17697.00

STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 2

#### **QUESTIONNAIRE AND NOTARY**

All questions must be answered, and the data given must be clear and comprehensive. If necessary, questions may be answered on separate attached page(s).

1) Name of Bidder:

2) Permanent Main Office Address:

3) When Organized:

4) If a Corporation, Where Incorporated:

5) How many years have you been engaged in the contracting business under your present firm or trade name?

6) Contracts on hand. Provide schedule showing amount of each open contract and the appropriate, anticipated completion dates:

7) General character of work performed by your company:

<sup>8)</sup> Has any construction contract to which you have been a party been terminated by the OWNER; have you ever terminated work on a project prior to its completion for any reason; has any surety which issued a performance bond on your behalf ever completed the work in its own name or financed such completion on your behalf; has any surety expended any monies in connection with a contract for which they furnished a bond on your behalf? If the answer to any portion of this question is "yes", please furnish details of all such occurrences including name of owner, architect or Architect, and surety, and name and date of project:

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STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 3

9) Has any officer, partner, member or manager of your organization ever been an officer, partner, member or manager of another organization that had any construction contract terminated by the OWNER; terminated work on a project prior to its completion for any reason; had any surety which issued a performance bond complete the work in its own name or financed such completion; or had any surety expend any monies in connection with a contract for which they furnished a bond? If the answer to any portion of this question is "yes", please furnish details of all such occurrences including name of owner, architect or Architect, and surety, and name and date of project:

10) List your experience in work similar to this project:

11) List the background and experience of the principal members of your organization, including officers:

12) List name of Project, Owner, Architect or Engineer, contract amount, percent complete and scheduled completion of the major construction projects your organization has in process on this date:

13) List name of project, owner, Architect or Engineer, contract amount, date of completion and percent of work with own forces of the major projects of the same general nature as this project which your organization has completed in the past five (5) years:

14) Will you, upon request, fill out a detailed financial statement and furnish any other information that may be required by the Owner?:

15) List name, address and telephone number of a reference for each project listed under items 12 and 13 above:

16) List names and construction experience of the principal individuals of our organization:

R25.17697.00 STATEMENT (

STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 4

17) List the states and categories of construction in which your organization is legally qualified to do business:

18) List name, address and telephone number of an individual who represents each of the following and whom OWNER may contact for a financial reference:

A) One Surety:

B) Two Banks:

C) Three Major Material Suppliers:

19) Attach a financial statement, prepared on an "accrual basis", in a form which clearly indicates assets, liabilities and net worth:

A) Date of Financial Statement:

B) Name of Firm Preparing Statement:

20) The undersigned hereby authorizes and requests any person, firm or corporation to furnish any information requested by the Owner in verification of the recitals comprising this Statement of Bidder's Qualifications:

Dated:	this		day of	20
	(name of day)	(number of day)	(name of month)	

## SPES - STUDENT DROP OFF LOOP & WATER HEATER

REPLACEMENT CONTRACTS 03, 04 & 05

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## STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 5

	(Nat	me of Bidder)	
	By:		
	Title:		
State of:		)	
County of:		) ss.	
she is		being duly sworn a	nd deposes that he or
		of	
	(Name	of Organization)	
and that the answers to the and correct.	e foregoing quest	ions and all statements thereir	n contained are true
Subscribed and swo	orn to before		
this		lay of	, 20
My Commiss	ion Expires	,	20

R25.17697.00

STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 6

#### **BIDDERS STATEMENT**

I am

(Name)

Of

(Firm or Corporation)

The Bidder making the Bid for Construction of the North Rockland CSD, STONY POINT ES - WATER HEATER REPLACEMENT & SITE WORK, certifies that I or my authorized representative has personally inspected the job site. The Bidder has relied on its own knowledge and review and interpretation of the Bidding Documents and all relevant plans and specifications, boring logs and other data in submitting his bid and not on any representation made by the Owner, Architect, or any other person, with respect to the character, quality or quantities of Work to be performed, or materials or equipment to be furnished. Bidder acknowledges that any quantities are an estimate only so that Bidder agrees not to seek additional compensation or request an adjustment in any unit price as a result of any variation in quantities or unforeseen site conditions encountered for any reason whatsoever. The Bidder represents that it has reviewed and accepts the applicable Project schedule and all revisions thereto. The Bidder agrees and understands that any such project schedule is incorporated by reference in the Contract Documents and further acknowledges that its failure to adhere to any such project schedule will expose Owner to severe financial hardship. Accordingly, Bidder agrees to exonerate, indemnify and hold Owner harmless from and against any and all losses, damages (including claims made by other Contractors performing Work at the Project) and claims arising out of Bidder's failure to adhere to any project schedule or any modifications, updates or revisions thereto. The Bidder's failure to adhere to and maintain the project schedule, including any revisions thereto, shall be grounds for termination.

By:

(Signature of Bidder)

(Title or Position)

(Seal if Bidder is a Corporation)

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 7

(Printed or Typed Name of Bidder)

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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STATEMENT OF BIDDER'S QUALIFICATIONS

004500 - 8

## PERFORMANCE BOND INFORMATION FORM

City / Town / Village:		
School District:		
Construction Contract Number:		
Name of Contract:		
Name of Contractor:		
Address:		
Entity Issuing Security Bond:		
Address:		
Bonding Agent:		
Address:		
Amount of Bid:		
Duration of Bond	From:	То:
Bond Identification Number:		

### END OF SECTION 004500

#### R25.17697.00

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

AGREEMENT FORM

005100 - 1

#### SECTION 005100 AGREEMENT FORM

#### PART 1 GENERAL

#### 1.1 SUMMARY

A. The following is the "Standard Form of Agreement Between Owner and Contractor, Construction Manager as Adviser Edition", AIA Document A132 - 2019, which is bound with this Section. AIA Document A132 – 2019 is a standard form of agreement between Owner and Contractor for use on projects where the basis of payment is a stipulated sum (fixed price), and where, in addition to the Contractor and the Architect, a Construction Manager assists the Owner in an advisory capacity during design, bidding, and construction. The document has been prepared for use with A132 – 2019, General Conditions of the Contract for Construction, Construction Manager as Adviser Edition. This integrated set of documents is for use on projects where the Construction Manager only serves in the capacity of an adviser to the Owner, rather than as constructor.

### PART 2 PRODUCTS (NOT USED)

#### **PART 3 EXECUTION (NOT USED)**

#### **END OF SECTION 005100**

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## DRAFT AIA Document A132 - 2019

## Standard Form of Agreement Between Owner and Contractor,

Construction Manager as Adviser Edition

AGREEMENT made as of the « » day of « » in the year « » (In words, indicate day, month, and year.)

**BETWEEN** the Owner: (Name, legal status, address, and other information)

North Rockland Central School District 65 Chapel Street, Garnerville, New York 10923 Phone: 845-942-3000 Web: www.northrockland.org

and the Contractor: (Name, legal status, address, and other information)

« »« » « » «»

« »

for the following Project: (Name, location, and detailed description)

Student Drop off Loop & Water Heater Replacement Stony Point Elementary School 7 Gurnee Drive, Stony Point, New York 10980

The Construction Manager: (Name, legal status, address, and other information)

The Palombo Group 22 Noxon Street Poughkeepsie NY 12601 Phone: 845-868-1239 Web: www.thepalombogroup.com

The Architect: (Name, legal status, address, and other information)

CPL Architects, Engineers and Landscape Architect, D.P.C. d/b/a CPL 26 IBM Road, Poughkeepsie, New York 12601 Phone: 800-274-9000 Web: www.cplteam.com

The Owner and Contractor agree as follows.

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Documents A232™-2019, General Conditions of the Contract for Construction, Construction Manager as Adviser Edition; B132 $\overline{M}$ -2019, Standard Form of Agreement Between Owner and Architect, Construction Manager as Adviser Edition; and C132<sup>™</sup>-2019, Standard Form of Agreement Between Owner and Construction Manager as Adviser. AIA Document A232<sup>™</sup>-2019 is adopted in this document by reference. Do not use with other general conditions unless this document is modified.



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#### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, the Notice to Bidders, Instructions to Bidders, sample forms, and the Contractor's bid, pricing proposals submitted by the Contractor and accepted by the Owner, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than Modifications, appears in Article 9.

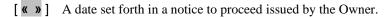
#### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

#### DATE OF COMMENCEMENT AND DATES OF SUBSTANTIAL COMPLETION ARTICLE 3

§ 3.1 The date of commencement of the Work shall be: (Check one of the following boxes.)

[ « X » ] The date of this Agreement.



[ « » ] Established as follows: (Insert a date or a means to determine the date of commencement of the Work.)

« »

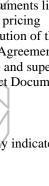
If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

#### § 3.3 Substantial Completion of the Project or Portions Thereof

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the date of Substantial Completion of the Work of all of the Contractors for the Project will be: (Insert the date of Substantial Completion of the Work of all Contractors for the Project.)

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**§ 3.3.2** Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work of all of the Contractors for the Project are to be completed prior to Substantial Completion of the entire Work of all of the Contractors for the Project, the Contractors shall achieve Substantial Completion of such portions by the following dates:

dates:		П		
Po	rtion of Work	Substantial Completion Date		
<ul> <li>§ 3.4 When the Work of this Contract, or any Portion Thereof, is Substantially Complete</li> <li>§ 3.4.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall substantially complete the entire Work of this Contract:</li> <li>(Check one of the following boxes and complete the necessary information.)</li> </ul>				
<b>[</b> «	»] Not later than « » ( « » ) calendar of	days from the date of commencement of the Work.		
[ « )	<b>( »</b> ] By the following date: « »			
this Contract		s provided in the Contract Documents, if portions of the Work of when the entire Work of this Contract shall be substantially such portions by the following dates:		
Ро	rtion of Work	Date to be substantially complete		
	Contractor fails to substantially complete liquidated damages, if any, shall be assess	e the Work of this Contract, or portions thereof, as provided in this sed as set forth in Section 4.5.		
Contract. The	<b>CONTRACT SUM</b> wher shall pay the Contractor the Contract e Contract Sum shall be one of the follow <i>ppropriate box.</i> )	et Sum in current funds for the Contractor's performance of the ving:		
[ «	X»] Stipulated Sum, in accordance with	Section 4.2 below		
[ <b>«</b>	»] Cost of the Work plus the Contracto	or's Fee, in accordance with Section 4.3 below		
[ «	) Cost of the Work plus the Contractor Section 4.4 below	or's Fee with a Guaranteed Maximum Price, in accordance with		
(Based on the	e selection above, complete Section 4.2, 4	4.3 or 4.4 below.)		
§ 4.2 Stipulat § 4.2.1 The O Documents.		ct to additions and deductions as provided in the Contract		
§ 4.2.2 Alterr § 4.2.2.1 Alte	nates ernates, if any, included in the Contract S	um:		
lte	m	Price		

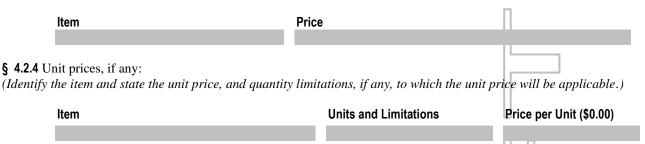
**§ 4.2.2.** Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (*Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.*)

3

« »

Item	Price	Conditions for Acceptance

**§ 4.2.3** Allowances, if any, included in the Contract Sum: *(Identify each allowance.)* 



## ARTICLE 5 PAYMENTS

#### § 5.1 Progress Payments

**§ 5.1.1** Based upon Applications for Payment submitted to the Construction Manager by the Contractor, and Certificates for Payment issued by the Construction Manager and Architect, the Owner shall make progress payments on account of the Contract Sum, to the Contractor, as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »

**§ 5.1.3** Provided that an Application for Payment is received by the Construction Manager not later than the « twentyfifth » day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the «last » day of the « following» month. If an Application for Payment is received by the Construction Manager after the application date fixed above, payment of the amount certified shall be made by the Owner not later than « forty-five » ( «45 » ) days after the Construction Manager receives the Application for Payment. (*Federal, state or local laws may require payment within a certain period of time.*)

#### § 5.1.4 Progress Payments Where the Contract Sum is Based on a Stipulated Sum

§ 5.1.4.1 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Construction Manager and Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

**§ 5.1.4.2** Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.4.3 In accordance with AIA Document A232<sup>TM</sup>–2019, General Conditions of the Contract for Construction, Construction Manager as Adviser Edition, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.4.3.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

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§ 5.1.4.3.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A232–2019;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A232-2019; and
- .5 Retainage withheld pursuant to Section 5.1.7.

#### § 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to when the Work of this Contract is substantially complete, the Owner may withhold the following amount, as retainage, from the payment otherwise due: (Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

#### «5% »

§ 5.1.7.1.1 The following items are not subject to retainage: (Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

« »

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:

(If the retainage established in Section 5.1.7.1 is to be modified prior to when the entire Work of this Contract is substantially complete, including modifications for completion of portions of the Work as provided in Section 3.4.2, insert provisions for such modifications.)

« »

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, when the Work of this Contract is substantially complete, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted when the Work of this Contract is substantially complete shall not include retainage as follows:

(Insert any other conditions for release of retainage when the Work of this Contract is substantially complete, or upon Substantial Completion of the Work of all Contractors on the Project or portions thereof.)

#### « »

#### § 5.2 Final Payment

§ 5.2.1 Final Payment Where the Contract Sum is Based on a Stipulated Sum

§ 5.2.1.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A232–2019, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment or Project Certificate for Payment has been issued by the Architect.

§ 5.2.1.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the final Certificate for Payment or Project Certificate for Payment, or as follows:

« »

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§ 5.3 Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located. (*Insert rate of interest agreed upon, if any.*)

« » % « »

# ARTICLE 6 DISPUTE RESOLUTION § 6.1 Initial Decision Maker

The Architect will serve as Initial Decision Maker pursuant to Article 15 of AIA Document A232–2019, unless the parties appoint below another individual, not a party to this Agreement, to serve as Initial Decision Maker. (If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

« »

« »

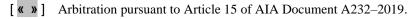
« »

« »

#### § 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A232–2019, the method of binding dispute resolution shall be as follows: *(Check the appropriate box.)* 

(Check the appropriate box.)



[ « X » ] Litigation in a court of competent jurisdiction located in County where the project is located.

[ « » ] Other: (Specify)

« »

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction located in County where the project is located.

#### ARTICLE 7 TERMINATION OR SUSPENSION

#### § 7.1 Where the Contract Sum is a Stipulated Sum

§ 7.1.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A232–2019.

§ 7.1.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A232–2019.

#### § 7.3 Suspension

The Work may be suspended by the Owner as provided in Article 14 of AIA Document A232–2019; in such case, the Contract Sum and Contract Time shall be increased as provided in Article 14 of AIA Document A232–2019, except that the term "profit" shall be understood to mean the Contractor's Fee as described in Section 4.3.2 or 4.4.2, as applicable, of this Agreement.

#### ARTICLE 8 MISCELLANEOUS PROVISIONS

**§ 8.1** Where reference is made in this Agreement to a provision of AIA Document A232–2019 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

#### § 8.2 The Owner's representative:

(Name, address, email address, and other information)

#### «Kris Felicello, Superintendent »

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«65 Chapel Street » «Garnerville, NY 10923 » «P: (845) 942-3000 » «E: kfelicello@northrockland.org » « »

§ 8.3 The Contractor's representative: (Name, address, email address, and other information)

« » « » « » « » « »

«

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

#### § 8.5 Insurance and Bonds

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A232<sup>TM</sup>-2019, General Conditions of the Contract for Construction, Construction Manager as Adviser Edition, requirements;, and elsewhere in the Contract Documents.

§ 8.5.2 The Contractor shall provide bonds as set forth in AIA Document A232<sup>TM</sup>–2019, General Conditions of the Contract for Construction, Construction Manager as Adviser Edition and elsewhere in the Contract Documents.

§ 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A232–2019, may be given in accordance with AIA Document E203<sup>TM</sup>–2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)

« »

#### § 8.7 Relationship of the Parties

§ 8.8 Other provisions:

« § 8.8.1 This agreement shall be governed by the Laws of the State of New York.

§ 8.8.2 The Owner and Contractor, respectively bind themselves, their agents, successors, assigns and legal representatives to the Agreement. Neither the Owner nor the Contractor shall assign this Agreement without the written consent of the other.

§ 8.8.3 Nothing contained in this Agreement shall create a contractual relationship with or a cause of action in favor of a third part against the Owner.

§ 8.8.4 Nothing contained in this Agreement shall be construed as creating any personal liability on the part of an officer, employee or agent of the Owner.

§ 8.8.5 Contractor agrees to comply with all New York State Laws which may be applicable to this Agreement, and to require similar compliance from its subcontractors and consultants.

§ 8.8.6 Contractor in accordance with its status as in independent contractor, covenants and agrees that it shall conduct itself in a manner consistent with such status, that it will neither hold itself nor its employees out as nor claim to be an

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officer or employee of the Owner, and that it will not by reason hereof, make any claims, demand or application for any right or privilege applicable to an officer or employee of the Owner, including but not limited to workmen's compensation coverage, unemployment insurance benefits, Social Security coverage and retirement membership and credit.

§ 8.8.7 Contractor agrees to maintain sufficient on-site records and information necessary for the documentation of any and all facets of program operation specified by this Agreement. Contractor agrees to permit on-site inspection and auditing of all records, book, papers and documents associated with this Agreement by authorized representatives of the Owner, and further agrees to provide necessary staff support in the performance of such audit Contractor agrees to maintain for a period of five (5) consecutive years following termination of this Agreement, any and all records, reports and other documentation arising from the performance of this Agreement; however, this period shall be extended beyond five years for any and all records and information pertaining to unresolved questions which have been brought to Contractor's attention by written notice. »

ARTICLE 9	ENUMERATION OF CONTRACT DOCU	MENTS						
§ 9.1 This Ag	is Agreement is comprised of the following documents:							
.1 .1	AIA Document A132 <sup>TM</sup> –2019, Standard Form of Agreement Between Owner and Contractor,							
	Construction Manager as Adviser Edition							
.2	AIA Document A232 <sup>TM</sup> –2019, General		for Construction Construction					
	Manager as Adviser Edition	conditions of the conduct i	or construction, construction					
	6	nonated into this Acrosmont						
	(Insert the date of the E203-2013 incor	pordied into inis Agreemeni.	)					
	-		1					
	« »							
4	D i							
.4	Drawings							
	Number	Title	Date					
	See Specification Section 00 0115							
.5	Specifications							
	Section	Title	Date Pages					
	See Specification Section 00 0110							
.6	Addenda, if any:							
	Number	Date	Pages					

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9/

- .7 Other Exhibits: (Check all boxes that apply and include appropriate information identifying the exhibit where required.)
  - [ « »] AIA Document A132<sup>™</sup>–2019, Exhibit B, Determination of the Cost of the Work
  - [« »] AIA Document E235<sup>TM</sup>–2019, Sustainable Projects Exhibit, Construction Manager as Adviser Edition, dated as indicated below: (Insert the date of the E235-2019 incorporated into this Agreement.)

« »

[ « » ] The Sustainability Plan:

Title	Date	Pages
AIA Document A132 - 2019. Copyright © 1975, 1980, 1992, 2009, "American Institute of Architects," "AIA," the AIA Logo, and		
Architects. This draft was produced at 16:09:38 ET on 04/26/2	2023 under Order No.3104237670 whic	h expires on 12/10/2023, is not for resale,
is licensed for one-time use only, and may only be used in ac	ccordance with the AIA Contract Doc	uments® Terms of Service. To report
copyright violations, e-mail docinfo@aiacontracts.com.		(11(2522220)

User Notes:

[ « »] Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

.9 Other documents, if any, listed below:

> (List here any additional documents that are intended to form part of the Contract Documents. AIA Document A232–2019 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)

This Agreement is entered into as of the day and year first written above.

**OWNER** (*Signature*)

«Kris Felicello, Superintendent »« »

(Printed name and title)

« »

#### **CONTRACTOR** (Signature)

(Printed name and title)

« »« »

9

#### SECTION 006000 PROJECT FORMS AND RELATED DOCUMENTS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. This Section lists the project forms used for administration of the project as well as documents used for administration and logistics.

#### 1.2 FORMS

- A. The following forms are contained within the conditions of the contract section:
  - 1. FRONT END SUBMISSION LOG
  - 2. PROJECT REQUEST FOR INFORMATION (RFI) FORM
  - 3. SUBCONTRACTOR LIST
  - 4. ALLOWANCE DISBURSEMENT FORM
  - 5. SUBSTITUTION REQUEST FORM
  - 6. SUBMITTAL COVER
  - 7. INFORMATION BULLETIN
  - 8. ELECTRONIC DOCUMENT TRANSFER AGREEMENT
  - 9. AIA DOCUMENT A310-2010 BID BOND
  - 10. AIA DOCUMENT A312-2010 PERFORMANCE BOND
  - 11. AIA DOCUMENT G706-1994 CONTRACTOR'S AFFIDAVIT OF PAYMENT OF DEBTS AND CLAIMS
  - 12. AIA DOCUMENT G706A-1994 CONTRACTOR'S AFFIDAVIT OF RELEASE OF LIENS
  - 13. AIA DOCUMENT G707-1994 CONSENT OF SURETY TO FINAL PAYMENT
  - 14. AIA DOCUMENT G901-2022 CONDITIONAL WAIVER AND RELEASE ON PROGRESS PAYMENT
  - 15. AIA DOCUMENT G907-2022 SWORN CONSTRUCTION STATEMENT

#### PART 2 - PRODUCTS (Not Used)

#### PART 3 - EXECUTION

#### **3.1 PROCEDURES**

- A. <u>Front End Submission Log</u>: This document is a checklist of the required submissions. Refer to Bidding Requirements, Section entitled "Instructions to Bidders" and Division 1, Specification Section entitled "SUBMITTAL PROCEDURES" for submission procedures.
- B. <u>**Project Request For Information (RFI) Form**</u>: This form is to be used for information requests. The forms are filled out by any party to the contract and sent to the Architect/Engineer. The Architect/Engineer shall number RFI before processing.
- C. <u>Subcontractor List</u>: This document is to be used identify subcontractors. The forms are filled out by each Prime Contractor for all proposed subcontractors and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"
- D. <u>Allowance Disbursement Form</u>: the Architect/Engineer shall issue this document after all parties have agreed to the conditions of change to be charged to the Allowance Amount in accordance with Division 1, section entitled "ALLOWANCES", if required.

- E. <u>Substitution Request Form</u>: This document is to be used for a Contractor to propose substitutions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES" and "PRODUCT REQUIREMENTS".
- F. <u>Submittal Cover</u>: This document is to be used for submittal submissions. The forms are filled out by each Prime Contractor and sent to the Architect/Engineer in accordance with. Division 1, section entitled "SUBMITTAL PROCEDURES"

#### G. **Information Bulletin:** The Architect/Engineer shall issue this document for 3 actions.

- 1. PROPOSAL REQUEST: A quotations for changes in the Contract Sum and / or proposed modifications to the Contract Documents
- 2. SUPPLEMENTAL INSTRUCTIONS: Instructions for changes to the Contract Documents without additional cost or time
- 3. CONSTRUCTION CHANGE DIRECTIVE: A directive to immediately proceed with changes to the work of the contract and to submit final cost for inclusion into a Change Order
- H. <u>Electronic Document Transfer Agreement:</u> This document is used by a Contractor to request the transfer of electronic files, other than PDF's, for purposes including, but not limited to, creating coordination drawings.

#### END OF SECTION 006000



## FRONT END SUBMISSION LOG

#### STONY POINT ES STUDENT DROP OFF LOOP & WATER HEATER REPLCEMENT R25.17697.00

Contractor Name:

SUBMISSIONS					
		ate			
Submission	Submitted	Approved	Remarks		
Contract:					
Schedule of Values:					
Bonds:					
Insurance:					
Workers Compensation:					
Automobile Insurance:					
Safety Program:					
Schedule:					
Submittal Schedule:					
Emergency Contact:					
Substitution List:					
Subcontractor List:					
Project Manager:					
Superintendent:					

This log is to be used by the contractor to monitor and complete the required front-end submissions.

CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL



### **REQUEST FOR INFORMATION**

RFI #: Date:

#### STONY POINT ES STUDENT DROP OFF LOOP & WATER HEATER REPLCEMENT R25.17697.00

Contractor Name: \_\_\_\_\_ Firm: \_\_\_\_\_ To: From: WE REQUEST YOUR ATTENTION (OR CONFIRMATION) REGARDING THE FOLLOWING: Subject: Location: Information is Requested By: MESSAGE: Contractors Name: By: Date:

CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL



### SUBCONTRACTOR LIST

#### STONY POINT ES STUDENT DROP OFF LOOP & WATER HEATER REPLCEMENT R25.17697.00

To:	<b>CPL</b> 26 IBM Road	From: (Contractor)	
	Poughkeepsie, New York 12601		
Conti	actors		
No.:			
Conti	ract For:		

List Subcontractors proposed for use on this Project as required by the Construction Documents. Attach supplemental sheets if necessary.

Section No.: Firm Name: Address:		 Section Title:		Contact:		
Section No.: Firm Name: Address:		Section Title:		_ Contact:		
Section No.: Firm Name: Address:		Section Title:		Contact:		
Section No.: Firm Name: Address:		Section Title:		Contact:		
Section No.:		Section Title:				
Attachmen Signed by:					_Date:	
Copies:	Owner	Consultants		File		
						CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL



# ALLOWANCE DISBURSEMENT AUTHORIZATION

Owner	
Architect/Engineer	
Contractor	
Field	
Other	
Other	

#### STONY POINT ES STUDENT DROP OFF LOOP & WATER HEATER REPLCEMENT

R25.17697.00

Allowance Disbursement No.	Initiation Date:
Contract For:	
To Contractor:	
Contract Date:	

Not valid until signed by Owner, Construction Manager, Architect/Engineer and Contractor.

The Original Contract Allowance

Net Allowance Disbursements previously authorized

Charges to Contract Allowance as a result of this authorization

Current Contract Allowance Balance including this authorization

Owner:

Architect/Engineer:		
(CPL)		

Contractor:

CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL



# SUBSTITUTION REQUEST FORM

STONY POINT ES ST			ATER REPI	LCEMENT
To: <b>CPL</b> 26 IBM Road Poughkeepsie, New Yo	R25.1769 From: (Contra ork 12601			
Re:		Substitution Re	equest Number:	:
Contract For:				
Specification Title: Section Number:	Page:			
Proposed Substitution:				
Manufacturer:	Address:		Phone:	
Trade Name:		M	odel No.:	
Installer: History: New product	Address:	-10 years old More that	Phone: an 10 years old	
Differences between proposed sub		t:		
Reason for not providing specified	1 item:			
Similar Installation:				
Project:	A	rchitect/Engineer:		
Contractor:	0	wner:		
	D	ate Installed:		
Proposed substitution affects othe	No	explain		
Savings to Owner for acceptin Proposed substitution changes Co	ntract Time:	es [Add] [Deduct]	<u>(</u> \$	) days
Supporting Data Attached:	Drawings Product Data	Samples Tests	Reports	]

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted By:	
Signed By:	
Firm:	
Address	
Phone:	
Attachments:	
REVIEW AND ACTION	
Substitution approved - Make submittals in accordance with Specification Section	n 01330.
Substitution approved as noted - Make submittals in accordance with Specification	on Section 01330.
Substitution rejected - Use specified materials.	
Substitution Request received too late - Use specified materials.	
Signed By: Date	:
Additional         Comments:       Contractor         Subcontractor       Supplier         Manufacturer	Architect/Engineer
	CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com

800.274.9000 TEL



## SUBMITTAL COVER

(Attach to each submittal)

Contractor:       Address:       Phone / Fax:     ( )	# Submittal No. Contracto	Architect Project Number: R25.17697.00 Contractors Number: Project Name: Stony Point ES Student Drop Off Loop & Water Heater Replacement Date returned:
TYPE OF SUBMITTAL         (Check one)         Product Data       Color Selection         Shop Drawings       Sample         Other       See General Conditions	O&M Manual Record Document	DATE OF SUBMITTAL: Resubmitted: Number of Attached:
PRODUCT IDENTIFICATIONSpecification Section No.:Contract Dwg. No.:Product Name:Part/Paragraph:Detail Reference:Manufacturer:		CONTRACTOR APPROVAL         Identify that this submittal has been reviewed and approved by the Contractor in accordance with the General Conditions         By:          Date:
Deviation from Contract Documents: Contractor Comments:		
FOR USE BY CPL SHOP DR	AWING	Architect's Comments:
No Exception Taken	vise & Resubmit ected during this review do not re- rements of the drawings and conformance with the design information given in the con- onfirming and correlating all esses and techniques of con-	RECEIVED STAMP CPL 26 IBM Road
Date: By:		Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL



# **INFORMATION BULLETIN**

PROJECT:	Stony Point ES Student Drop Off Loop & Water Heater Replacement	INFORMATION BULLETIN NO .:			
OWNER:	North Rockland Central School District	DATE:			
CONTRACTOR:		ARCHITECT'S PROJECT NO .:	R25.17697.00		
DESCRIPTION:		CONTRACT NO.:			
r		CONTRACT DATE:			
ATTACHMENT(S):					
ACTION					
1. <i>PROPOSAL REQUEST:</i> Submit an itemized quotation for changes in the Contract Sum and/or time required to implement the above proposed modifications to the Contract Documents. This is not authorization to proceed with the work.					

- 2. *SUPPLEMENTAL INSTRUCTIONS*: Implement the above instructions without change to the Contract Sum and/or Time. Prior to proceeding, indicate acceptance below and return one copy to the Architect.
- 3. *CONSTRUCTION CHANGE DIRECTIVE:* Proceed with the above described changes to the Contract Documents immediately. Submit final costs and/or change in Contract Time for inclusion in a subsequent Change Order.

	Methods:		Lump Sum		Unit Price		Πī	me & Materia	l Not-to-Exceed
	Change in Contr	ract Sum of							
	Change in Contr	ract Time of					days		
	ISSUED:			ACCEPTE	ED:			AUTHORIZ	ZED:
BY:			BY:			BY:_			
	Architect	Date		Contractor	Date			Owner	Date
Owner Contractor		Arc			Structural Mechanical/Ele	ctrical		Civil	(Roofing)
								CPL 26 IBM Roa Poughkeep CPLteam.c 800.274.90	osie, NY 12601 om



#### ELECTRONIC DOCUMENT TRANSFER AGREEMENT

DATE:		PROJECT #:	R25.17697.00		
PROJECT NAME	Stony Point ES Student Drop Off Loop & Water Heater Replacement	<b>CLIENT / LOCATION</b>	North Rockland Central		
			School District / Garner-		
			ville, New York		
COMPANY		PERSON			
<b>REQUESTING AND</b>		REQUESTING:			
<b>RECEIVING FILES:</b>					
<b>DESCRIPTION OF FILES:</b>		<b>REASON FOR FILES:</b>			

- 1. The requested electronic file(s) (the "Files") remain the property of and are owned by CPL.
- 2. The Files are not Contract Documents. The use of the Files to alter or revise the scope of work is not permitted.
- 3. CPL makes no warranties or guarantees that the Files represent or reflect the complete scope of work and/or as-built condition, and CPL assumes no responsibility for data files supplied in electronic format. Such data is provided as a courtesy only.
- 4. The Company requesting the Files and users of the Files accept full responsibility for verifying the accuracy and completeness of the Files.
- 5. Files in Revit/Building Information Model format: Unless express written consent of CPL is given through the implementation of a Project Building Information Modeling Protocol Form (AIA® Document G202<sup>TM</sup> or similar); the information contained within the Files was compiled for the purposes of creating the contract documents and are graphic representations of approximate locations of materials. Therefore, information contained within these files should not be assumed to be accurate and users of the Files accept full responsibility for verifying the accuracy and completeness of the Files with field conditions and the contract documents.
- 6. Shop drawings shall not be based on reproduction of the contract documents or standard printed data. This includes reproductions of the Files, unless express written consent is given of CPL through the implementation of a Project Building Information Modeling Protocol Form.
- 7. The Company requesting the Files agrees to defend, indemnify and hold harmless CPL, its officers, employees, consultants, and agents from any claims or damages arising from the use of the Files.
- 8. In the event that any of the Files contain electronic copies of drawings with permits or professional seals, the Company requesting the Files shall immediately notify CPL and destroy such Files.
- 9. No use shall be made of the Files for any purpose other than that for which they were originally intended without the express written consent of CPL.
- 10. No retransmission of the Files in any form to third parties is permitted unless authorized in writing by CPL.
- 11. The handling fee for the time and effort it will take CPL Lee to deliver the requested Files is \$150.00. Under no circumstances shall delivery of the Files be considered a sale by CPL.

Having read and understood the terms set forth in paragraphs 1-11 above, and in consideration of CPL providing electronic files, the undersigned agrees to be bound by these terms.

Signature of Authorized Representative

Date

Print Name and Title

The requested electronic files will only be released upon CPL's receipt of a signed Electronic Document Transfer Agreement by a duly authorized representative of the company requesting and receiving the files. CPL reserves the right to deny any request for copies of electronic files.

CPL 26 IBM Road Poughkeepsie, NY 12601 CPLteam.com 800.274.9000 TEL

NORTH ROCKLAND CSD

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

GENERAL CONDITIONS

007100 - 1

#### SECTION 007100 GENERAL CONDITIONS

#### PART 1 GENERAL

#### 1.1 SUMMARY

A. The following are the "General Conditions of the Contract for Construction, Construction Manager – Advisor Edition". AIA Document A232-2019, is bound with this Section. AIA Document A232-2019 sets forth the rights, responsibilities, and relationships of the Owner, Contractor, Architect and Construction Manager.

#### PART 2 PRODUCTS (NOT USED)

#### PART 3 EXECUTION (NOT USED)

#### END OF SECTION 007100

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# DRAFT AIA Document A232 - 2019

### General Conditions of the Contract for Construction,

Construction Manager as Adviser Edition

#### for the following PROJECT:

(Name, and location or address)

Student Drop off Loop & Water Heater Replacement «Stony Point Elementary School 7 Gurnee Drive, Stony Point, New York 10980»

#### THE CONSTRUCTION MANAGER:

(Name, legal status, and address)

#### «The Palombo Group »« » «22 Noxon Street Poughkeepsie, NY 12601 Phone: 845-868-1239 Web: www.thepalombogroup.com »

THE OWNER: (Name, legal status, and address)

«North Rockland Central School District 65 Chapel Street, Garnerville, NY 10923 Phone: 845-942-3000 Web: www.northrockland.org »

THE ARCHITECT:

(Name, legal status, and address)

« CPL Architects, Engineers and Landscape Architect, D.P.C »«d/b/a CPL » «26 IBM Road, Poughkeepsie, New York 12601 Phone: 845-274-9000 Web: www.cplteam.com»

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Documents A132<sup>TM</sup>-2019, Standard Form of Agreement Between Owner and Contractor, Construction Manager as Adviser Edition; B132 $\overline{M}$ -2019, Standard Form of Agreement Between Owner and Architect, Construction Manager as Adviser Edition; and C132<sup>™</sup>-2019, Standard Form of Agreement Between Owner and Construction Manager as Adviser.



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- 7 CHANGES IN THE WORK
- 8 TIME
- 9 PAYMENTS AND COMPLETION
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- 12 UNCOVERING AND CORRECTION OF WORK
- 13 MISCELLANEOUS PROVISIONS
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT
- 15 CLAIMS AND DISPUTES

#### ARTICLE 1 GENERAL PROVISIONS

#### § 1.1 Basic Definitions

**§ 1.1.1 The Contract Documents.** The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. The Contract Documents include the Notice to Bidders, Instructions to Bidders, sample forms, and the Contractor's bid, and pricing proposals submitted by the Contractor and accepted by the Owner. The Contract Documents executed or identified in accordance with Subparagraph 1.5.1 shall prevail in case of an inconsistency with subsequent versions made through manipulated electronic operations involving computers.

**§ 1.1.2 The Contract.** The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and the Construction Manager or the Construction Manager's consultants, (3) between the Owner and the Architect or the Architect, (4) between the Contractor and the Construction Manager or Sub-subcontractor (6) between the Construction Manager and the Architect, or (7) between any persons or entities other than the Owner and Contractor. The Construction Manager and Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of their duties.

**§ 1.1.3 The Work.** The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

**§ 1.1.4 The Project.** The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by other Contractors, and by the Owner's own forces and Separate Contractors.

**§ 1.1.5 Contractors.** Contractors are persons or entities, other than the Contractor or Separate Contractors, who perform Work under contracts with the Owner that are administered by the Architect and Construction Manager.

**§ 1.1.6 Separate Contractors.** Separate Contractors are persons or entities who perform construction under separate contracts with the Owner not administered by the Architect and Construction Manager.

**§ 1.1.7 The Drawings.** The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

**§ 1.1.8 The Specifications.** The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

**§ 1.1.9 Instruments of Service.** Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

**§ 1.1.10 Initial Decision Maker.** The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

#### § 1.2 Correlation and Intent of the Contract Documents

**§ 1.2.1** The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by

one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

**§ 1.2.1.1** The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

**§ 1.2.1.2** In the event of conflicts or discrepancies among the contract documents, interpretations will be based on the following priorities:

- 1. Modifications
- 2. The Agreement
- 3. Addenda, with those of later date having precedence over those of an earlier date.
- 4. The supplementary conditions.
- 5. The General Conditions of contract for construction.
- 6. Division 1 of the specifications.
- 7. Drawings and divisions 2-32 of the specifications.
- 8. Other documents specifically enumerated in the agreements as part of the contract documents.

In the case of conflicts or discrepancies between drawings and divisions 2-33 of the specifications or within either document not clarified by addendum, the Architect will determine which takes precedence in accordance with Subparagraph 4.2.11, 4.2.12, and 4.2.13.

B. Add the following clause to section 1.7: PDF files may be provided to contractor.

C. Delete section 1.8 and substitute to following: Building Information Models will not be provided.

**§ 1.2.2** Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

**§ 1.2.3** Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

#### § 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

#### § 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

#### § 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

**§ 1.5.1** The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, subsubcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

**§ 1.5.2** The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may

not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

#### § 1.6 Notice

**§ 1.6.1** Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

**§ 1.6.2** Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

#### § 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203<sup>TM</sup>–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

#### § 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203<sup>TM</sup>\_2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202<sup>TM</sup>\_2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

#### ARTICLE 2 OWNER

#### § 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization, except as to those matters New York State law vests the Board of Education with the power and duty to approve or authorize. Except as otherwise provided in Section 4.2.1, the Construction Manager and the Architect do not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

**§ 2.1.2** The Contractor may not rely upon the direction of any employee of the Owner who has not been designated in writing by the Owner as the Owner's representative. The Owner shall not be responsible, financially or otherwise, for actions taken by the Contractor in reliance upon direction from unauthorized persons.

#### § 2.2 Evidence of the Owner's Financial Arrangements

**§ 2.2.1** Prior to commencement of the Work, and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

**§ 2.2.2** Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within thirty (30) days of the Contractor's written request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided.

**§ 2.2.3** After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

**§ 2.2.4** Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' written notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

#### § 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities. Unless otherwise provided under the Contract Documents, the Owner, assisted by the Construction Manager, shall secure and pay for the building permit.

**§ 2.3.2** The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

**§ 2.3.3** The Owner shall retain a construction manager adviser lawfully practicing construction management in the jurisdiction where the Project is located. That person or entity is identified as the Construction Manager in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 2.3.4 If the employment of the Construction Manager or Architect terminates, the Owner shall employ a successor construction manager or architect and whose status under the Contract Documents shall be that of the Construction Manager or Architect, respectively.

**§ 2.3.5** The Owner shall furnish, upon written request, only, and as necessary to complete the work, surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to reasonably rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

**§ 2.3.6** The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

**§ 2.3.7** The Contractor will be furnished, free of charge two (2) copies of drawings and Project manuals. Additional sets will be furnished at the cost of reproduction, postage and handling.

**§ 2.3.8** The Owner shall forward all communications to the Contractor through the Construction Manager. Other communication shall be made as set forth in Section 4.2.6.

#### § 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

#### § 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a five-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to review by the Construction Manager and prior approval of the Architect, and the Construction Manager or Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including

Owner's expenses and compensation for the Construction Manager's and Architect's and their respective consultants' additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

#### ARTICLE 3 CONTRACTOR

#### § 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

**§ 3.1.3** The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Construction Manager or Architect in their administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

#### § 3.2 Review of Contract Documents and Field Conditions by Contractor

**§ 3.2.1** Execution of the Contract by the Contractor is a representation that the Contractor has carefully examined the Contract Documents and the site, and represents that the Contractor is thoroughly familiar with the nature and location of the Work, the site, the specific conditions under which the Work is to be performed, and all matters which may in any way affect the Work or its performance. The Contractor further represents that as a result of such examinations and investigations, the Contractor thoroughly understands the Contract Documents and their intent and purpose, and is familiar with all applicable codes, ordinances, laws, regulation, and rules as they apply to the Work, and that the Contractor will abide by same. Claims for additional time or additional compensation as a result of the contractor's failure to follow the forgoing procedure and to familiarize itself with all local conditions and the Contract Documents are waived and will not be permitted.

**§ 3.2.2** Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.5, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Construction Manager and Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information submitted to the Construction Manager in such form as the Contract Documents. If the Contractor performs any construction activity knowing it involves a recognized error, inconsistency or omission int eh Contract Documents, without such notice to the Construction manager and Architect, the Contractor shall assume responsibility for such performance and shall bear the attributable costs for correction.

**§ 3.2.3** The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Construction Manager and Architect any nonconformity discovered by or made known to the Contractor as a request for information submitted to Construction Manager in such form as the Construction Manager and Architect may require.

**§ 3.2.4** If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims in writing as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or

conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

**§ 3.2.4.1** The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for evaluating and responding to the Contractor's requests for information that are not prepared in accordance with the Contract Documents to where the requested information is available to the Contractor from a careful study and comparison of the Contract Documents, field conditions, other Owner-provided information, Contractor-prepared coordination drawings, or prior Project correspondence or documentation.

**§ 3.2.5** Where existing conditions are obscured or concealed from the Owner or Architect's view prior to the start of the Project's construction activities, portrayal of such conditions in the documents is base don reasonable implications and assumptions. The Owner and Architect do not imply or guarantee to the Contractor in any way the such portrayals in the Documents are accurate or true.

**§ 3.2.5.1** Physical investigations and testing of existing conditions were not undertaken by the Architect, unless so indicated in the Contract Documents.

**§ 3.2.5.2** The Contractor may submit written requests for information to the Architect to help facilitate the Contractor's performance of the contract. Prior to submitting each request for information, the Contractor shall first carefully study and compare the Contract Documents, field conditions, and Owner provided information, Contractor prepared Coordination Drawings, and prior Project correspondence and documentation to determine that the information to be requested is not reasonably obtainable from such sources.

**§ 3.2.5.3** Each request for information shall be submitted to the Architect, in writing, with a copy to the Construction Manager. Each request for information shall identify the specific sources which were reviewed by the Contractor in an effort to determine the information requested, and a statement to eh effect that the information being requested could not be determined from such sources.

§ 3.2.5.4 The Contractor shall submit ach request for information sufficiently in advance of the date by which such information is requested in order to allow the Architect sufficient time, in the Architect's professional judgement, to permit adequate review and response to permit Contractor compliance with the latest construction schedule.

**§ 3.2.5.5** The Construction manager shall maintain a log at the Project site that sequentially numbers and lists each request for information. The log shall contain the Drawings reference or Specification section to which the requests pertains, the date of the request, to whom the request was made, the nature of the request, and the Architects resolution thereof. This log shall be reviewed at each Project meeting and the status of the requests for information shall be made part of the minutes of such meetings.

**§ 3.2.5.6** The Contractor shall reimburse the Owner amounts charged to the Owner by the Architect or Construction Manager for responding to Contractor requests for information where such information is available to the Contractor from a careful study and comparison of the Contract Documents, field conditions, other Owner provided information, Contractor prepared Coordination Drawings, or prior project correspondence or documentation.

#### § 3.3 Supervision and Construction Procedures

**§ 3.3.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner, the Construction Manager, and the Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. The Construction Manager shall review the proposed alternative for sequencing, constructability, and coordination impacts on the other Contractors. Unless the Architect or the Construction Manager objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

**§ 3.3.2** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

**§ 3.3.3** The Contractor shall be responsible for inspection of portions of the Project already performed to determine that such portions are in proper condition to receive subsequent Work.

#### § 3.4 Labor and Materials

**§ 3.4.1** Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

**§ 3.4.2** Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect, in consultation with the Construction Manager, and in accordance with a Change Order or Construction Change Directive.

**§ 3.4.2.1** After the Contract has been executed, the Owner and Architect will consider requests for the substitution of products in place of those specified only under the conditions set forth in the General Requirements (Division 1 of the Specifications). By making requests for substitutions, the Contractor:

1. represents that the Contractor has personally investigated the proposed substitute product and determined that it is equal or superior in all respects to that specified;

2. represents that it will provide the same warranty for the substitution as it would have provided for the product specified.

3. certifies that the cost data presented is complete and includes all related costs for the substituted product and for Work that must be changed as a result of the substitution, except for the Architect's redesign costs, and waives all claims for additional costs related to the substitution that subsequently become apparent; and 4. shall coordinate the installation of the accepted substitute, making such changes as may be required for the Work to be complete in all respects.

§ 3.4.2.2 The Owner shall be entitled to reimbursement from the Contractor for amounts paid to the Architect for reviewing the Contractor's proposed substitutions and to make agreed-upon changes in the Drawings and Specifications resulting from such substitutions.

**§ 3.4.3** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

#### § 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner, Construction Manager, and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Construction Manager or Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment. § 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

#### § 3.6 Taxes

The Contractor shall pay sales, consumer, use and similar taxes for the Work or portions thereof provided by the Contractor, to the extent practicable that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

§ 3.6.1 The Owner is a School District, and is therefore exempt from sales tax. Sales tax is not to be included in the bids. This exemption does not, however, apply to tools, machinery, equipment, or other property leased by, or to the Contractor or a subcontractor; and the Contractor and its subcontractor shall be responsible for, and pay, any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property.

#### § 3.7 Permits, Fees, Notices, and Compliance with Laws

§ 3.7.1The Owner, through the Construction Manager, shall secure and pay for the building permits from the New York State Education Department. The Contractor shall secure and pay for all other permits, fees, licenses, and inspections by government agencies necessary for proper execution of and completion of the contract, which are legally required.

**§ 3.7.2** The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

**§ 3.7.2.1** The Contractor shall comply with all applicable New York State Department of Labor requirements, including the provision that every worker employed in performance of a public work contract shall be certified as having completed an OSHA 10-hour safety training course. The Contractor and Sub-Contractor shall be solely responsible for compliance with this requirement with respect to their employees. The Contractor's or Sub-Contractor's failure to comply with this requirement shall not transfer or in any way impose the responsibility for worker safety upon the Owner or the Architect.

**§ 3.7.2.2** In accordance with New York State Labor Law Article 8, Section 220, subd. 3-a(a), the Contractor shall submit to the Owner withing thirty (30) days after issuance of Contractor's first payroll, and every thirty (30) days thereafter, a transcript of the original payroll record, subscribed and affirmed as true under the penalties of perjury.

**§ 3.7.3** If the Contractor or Sub-Contractor performs Work which it knows or should have know was contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

**§** 3.7.4 Concealed or Unknown Conditions. If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner, Construction Manager, and the Architect in writing before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect and Construction Manager will promptly investigate such conditions and, if the Architect, in consultation with the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect, in consultation with the Construction Manager, determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner, Construction Manager, and Contractor, stating the reasons. If the Owner or Contractor disputes the Architect's determination or recommendation, either party may submit a Claim as provided in Article 15.

**§ 3.7.5** If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner, Construction Manager, and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

#### § 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents.

.1 Contingency Allowances shall cover the direct cost to the Contractor and Subcontractors for labor, materials, and equipment, including delivery, unloading, storage, handing, and installation. They do not include the Contractors overhead and profit, the cost of bonds, insurance, administration and supervision, all of which should be carried as part fo the contract sum.

.2 The Architect shall create and process Allowance Authorizations for the Construction manager and Owner's approval and execution in accordance with the Contract Documents.

§ 3.8.2 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

### § 3.9 Superintendent

**§ 3.9.1** The Contractor shall employ and designate a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor. The superintendent shall be in attendance at the Project site full time throughout the work, including the completion of the punch list.

**§ 3.9.2** The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect, through the Construction Manager, of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Construction Manager may notify the Contractor, stating whether the Owner, the Construction Manager, or the Architect (1) has reasonable objection to the proposed superintendent or (2) require additional time for review. Failure of the Construction Manager to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner, Construction Manager, or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### § 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information, and the Construction Manager's use in developing the Project schedule, a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project. The Contractor shall cooperate with the Construction Manager in scheduling and performing the Contractor's Work to avoid conflict with, and as to cause no delay in, the work or activities of other Contractors, or the construction or operations of the Owner's own forces or Separate Contractors.

**§ 3.10.2** The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Construction Manager's and Architect's approval. The Architect and Construction Manager's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Construction Manager and Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

**§ 3.10.3** The Contractor shall participate with other Contractors, the Construction Manager, and the Owner in reviewing and coordinating all schedules for incorporation into the Project schedule that is prepared by the Construction Manager. The Contractor shall make revisions to the construction schedule and submittal schedule as deemed necessary by the Construction Manager to conform to the Project schedule.

**§ 3.10.4** The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner, Construction Manager, and Architect, and incorporated into the approved Project schedule.

#### § 3.11 Documents and Samples at the Site

The Contractor shall maintain and make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals in good order and condition. These shall be in electronic form or paper copy, available to the Construction Manager, Architect, and Owner, and delivered to the Construction Manager for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

**§ 3.11.1** For additional requirements refer to Specification Section 017839 - PROJECT RECORD DOCUMENTS. Reference to 3.11 elsewhere in the Contract Documents shall read as referring to that section of the Specification.

#### § 3.12 Shop Drawings, Product Data, and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

**§ 3.12.3** Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

**§ 3.12.4** Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect and Construction Manager is subject to the limitations of Sections 4.2.10 through 4.2.12. Informational submittals upon which the Contract Documents. Submittals that are not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Construction Manager or Architect without action.

**§ 3.12.5** The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Construction Manager, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the Project submittal schedule approved by the Construction Manager and Architect or, in the absence of an approved Project submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of other Contractors, Separate Contractors, or the Owner's own forces. The Contractor shall cooperate with the Construction Manager in the coordination of the Contractor's Shop Drawings, Product Data, Samples, and similar submittals with related documents submitted by other Contractors.

**§ 3.12.6** By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner, Construction Manager, and Architect, that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been reviewed and approved by the Architect.

**§ 3.12.8** The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Construction Manager and Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

**§ 3.12.9** The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Construction Manager and Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

**§ 3.12.10** The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

**§ 3.12.10.1** If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The

Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner, the Architect, and the Construction Manager shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Construction Manager shall review submittals for sequencing, constructability, and coordination impacts on other Contractors.

§ 3.12.10.2 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Construction Manager and Architect at the time and in the form specified by the Architect.

**§ 3.12.11** The Contractor is required to provide all submittals for the Architect's review, in accordance with the submittal deadlines noted in the Contract Documents. The Architect's review of Contractor's submittals will be limited to examination of an initial submittal and one (1) resubmittal. The Owner is entitled to obtain reimbursement from the Contractor for amounts paid to the Architect for evaluation of additional resubmittals, and for evaluation of submittals received after the applicable deadline in the Contract Documents.

**§ 3.12.12** For additional requirements refer to Specification Section 013300 – SUBMITTAL PROCEDURES. Reference to 3.12 elsewhere in the Contract Documents shall read as referring to that section of the Specification.

#### § 3.13 Use of Site

**§ 3.13.1** The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.13.2 The Contractor shall coordinate the Contractor's operations with, and secure the approval of, the Construction Manager before using any portion of the site.

**§ 3.13.3** The Contractor shall be responsible for enforcing the Owner's security and access policies and procedures, the Owner's Code of Conduct, and the following rules of conduct for its own personnel and those of its subcontractors, sub-subcontractors, and suppliers at the Project site, and the Owner's Project Representative shall provide interpretations should a question arise if the rules of conduct are being adequately enforced by the Contractor.

- .1 No smoking or use of tobacco products.
- .2 No drinking of alcoholic beverages or use of controlled substances.
- .3 No working, or presence on site under the influence of alcoholic beverages or controlled substances.
- .4 No use of indecent language or display of indecent images, publications or terms.
- .5 No use of radios or other entertainment devices.
- .6 No horseplay or dangerous behavior.
- .7 No firearms or other weapons.
- .8 No communication with staff or students.

§ 3.13.4 The Contractor shall require its personnel and those of it subcontractors, sub-subcontractors and suppliers to wear visible photo-identification badges acceptable to the Owner, at all times for identification and security purposes.§ 3.14 Cutting and Patching

**§ 3.14.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

**§ 3.14.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner, Separate Contractors, or of other Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner, Separate Contractors, or by other Contractors except with written consent of the Construction Manager, Owner, and such other Contractors or Separate Contractors. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Separate Contractors, other Contractors, or the Owner, its consent to cutting or otherwise altering the Work.

**§ 3.14.3** For additional requirements refer to Specification Section 024119 – SELECTIVE REMOVAL. Reference to 3.14 elsewhere in the Contract Documents shall read as referring to that section of the Specification.

### § 3.15 Cleaning Up

**§ 3.15.1** The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner, or Construction Manager with the Owner's approval, may do so and the Owner shall be entitled to reimbursement from the Contractor.

§ 3.15.3 For additional requirements refer to Specification Section 017700 – CLOSEOUT PROCEDURES. Reference to 3.15 elsewhere in the Contract Documents shall read as referring to that section of the Specification. § 3.16 Access to Work

The Contractor shall provide the Owner, Construction Manager, and Architect with access to the Work in preparation and progress wherever located.

### § 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner, Construction Manager, and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner, Architect, or Construction Manager. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect through the Construction Manager.

#### § 3.18 Indemnification

**§** 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Construction Manager, Architect, Construction Manager's and Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

**§ 3.18.2** In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under insurance policies, workers' compensation acts, disability benefit acts, or other employee benefit acts.

## ARTICLE 4 ARCHITECT AND CONSTRUCTION MANAGER

## § 4.1 General

**§ 4.1.1** The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

**§ 4.1.2** The Construction Manager is the person or entity retained by the Owner pursuant to Section 2.3.3 and identified as such in the Agreement.

**§ 4.1.3** Duties, responsibilities, and limitations of authority of the Construction Manager and Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Construction Manager, Architect, and Contractor. Consent shall not be unreasonably withheld.

### § 4.2 Administration of the Contract

§ 4.2.1 The Construction Manager and Architect will provide administration of the Contract as described in the Contract Documents and will be the Owner's representatives during construction until the date the Architect issues the final Certificate for Payment. The Construction Manager and Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

**§ 4.2.2** The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. On the basis of the site visits, the Architect will keep the Owner and the Construction Manager reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner and Construction Manager known deviations from the Contract Documents and deficiencies observed in the Work.

§ 4.2.2.1 The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect and/or the Construction Manager for site visits made necessary by the fault or neglect of the Contractor or by defects and deficiencies in the Work.

**§ 4.2.3** The Construction Manager shall provide one or more representatives who shall be in attendance at the Project site whenever the Work is being performed. The Construction Manager will determine in general if the Work observed is being performed in accordance with the Contract Documents, will keep the Owner and Architect reasonably informed of the progress of the Work, and will promptly report to the Owner and Architect known deviations from the Contract Documents and the most recent Project schedule, and defects and deficiencies observed in the Work.

**§ 4.2.4** The Construction Manager will schedule and coordinate the activities of the Contractor and other Contractors in accordance with the latest approved Project schedule.

**§ 4.2.5** The Construction Manager, except to the extent required by Section 4.2.4, and Architect will not have control over, charge of, or responsibility for, the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents, and neither will be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. Neither the Construction Manager nor the Architect will have control over or charge of, or be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or of any other persons or entities performing portions of the Work.

**§ 4.2.6 Communications.** The Owner shall communicate with the Contractor and the Construction Manager's consultants through the Construction Manager about matters arising out of or relating to the Contract Documents. The Owner and Construction Manager shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Construction Manager otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with other Contractors shall be through the Construction Manager. Communications by and with the Owner's own forces and Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

**§ 4.2.7** The Construction Manager and Architect will review and certify all Applications for Payment by the Contractor, in accordance with the provisions of Article 9.

**§ 4.2.8** The Architect and Construction Manager have authority to reject Work that does not conform to the Contract Documents, and will notify each other, and the Owner, in writing, about the rejection. Whenever the Construction Manager considers it necessary or advisable, the Construction Manager will have authority to require inspection or

testing of the Work in accordance with Sections 13.4.2 and 13.4.3, upon written authorization of the Owner, whether or not the Work is fabricated, installed or completed. The foregoing authority of the Construction Manager will be subject to the provisions of Sections 4.2.18 through 4.2.20 inclusive, with respect to interpretations and decisions of the Architect. However, neither the Architect's nor the Construction Manager's authority to act under this Section 4.2.8 nor a decision made by either of them in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect or the Construction Manager to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons performing any of the Work.

**§ 4.2.9** Utilizing the submittal schedule provided by the Contractor, the Construction Manager shall prepare, and revise as necessary, a Project submittal schedule incorporating information from other Contractors, the Owner, Owner's consultants, Owner's Separate Contractors and vendors, governmental agencies, and participants in the Project under the management of the Construction Manager. The Project submittal schedule and any revisions shall be submitted to the Architect for approval.

**§ 4.2.10** The Construction Manager will receive and promptly review for conformance with the submittal requirements of the Contract Documents, all submittals from the Contractor such as Shop Drawings, Product Data, and Samples. Where there are other Contractors, the Construction Manager will also check and coordinate the information contained within each submittal received from the Contractor and other Contractors, and transmit to the Architect those recommended for approval. By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Construction Manager represents to the Owner and Architect that the Construction Manager has reviewed and recommended them for approval. The Construction Manager's actions will be taken in accordance with the Project submittal schedule approved by the Architect or, in the absence of an approved Project submittal schedule, with reasonable promptness while allowing sufficient time to permit adequate review by the Architect.

**§ 4.2.11** The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Upon the Architect's completed review, the Architect shall transmit its submittal review to the Construction Manager.

**§ 4.2.12** Review of the Contractor's submittals by the Construction Manager and Architect is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Construction Manager and Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Construction Manager and Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.13 The Contractor, in coordination with the Architect, will prepare Construction Change Directives.

**§ 4.2.14** The Construction Manager and the Architect will take appropriate action on Change Orders or Construction Change Directives in accordance with Article 7, and the Architect will have authority to order minor changes in the Work as provided in Section 7.4. The Architect, in consultation with the Construction Manager, will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

**§ 4.2.14.1** Contractor's requests for information shall be prepared and submitted in accordance with Division 1 "General Requirements" sections on the form included in the Contract Documents OR on AIA Document G716-2004. The Architect will return without action requests for information that do not conform to requirements for the Contract Documents.

**§ 4.2.15** Utilizing the documents provided by the Contractor, the Construction Manager will maintain at the site for the Owner one copy of all Contract Documents, approved Shop Drawings, Product Data, Samples, and similar required submittals, in good order and marked currently to record all changes and selections made during construction. These will be available to the Architect and the Contractor, and will be delivered to the Owner upon completion of the Project.

**§ 4.2.16** The Construction Manager will assist the Architect in conducting inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion in conjunction with the Architect pursuant to Section 9.8; and receive and forward to the Owner written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10. The Construction Manager will forward to the Architect a final Application and Certificate for Payment or final Project Application and Project Certificate for Payment upon the Contractor's compliance with the requirements of the Contract Documents.

§ 4.2.17 Intentionally Omitted.

**§ 4.2.18** The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of the Construction Manager, Owner, or Contractor through the Construction Manager. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 4.2.19** Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions so rendered in good faith.

**§ 4.2.20** The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

**§ 4.2.21** The Construction Manager will receive and review requests for information from the Contractor, and forward each request for information to the Architect, with the Construction Manager's recommendation. The Architect will review and respond in writing, through the Construction Manager, to requests for information about the Contract Documents. The Construction Manager's recommendation and the Architect's response to each request will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## ARTICLE 5 SUBCONTRACTORS

#### § 5.1 Definitions

**§ 5.1.1** A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include other Contractors or Separate Contractors or the subcontractors of other Contractors or Separate Contractors.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

#### § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

**§ 5.2.1** Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, but no later than fourteen (14) days prior to the start of construction, shall furnish in writing to the Construction Manager, for review by the Owner, Construction Manager and Architect, of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Construction Manager may notify the Contractor whether the Owner, the Construction Manager or the Architect (1) has reasonable objection to any such proposed person or entity or, (2) requires additional time for review. Failure of the Construction Manager to provide notice within the 14-day period shall constitute notice of no reasonable objection.

**§ 5.2.2** The Contractor shall not contract with a proposed person or entity to whom the Owner, Construction Manager or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner, Construction Manager or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner, Construction Manager or Architect has no

reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

**§ 5.2.4** The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner, Construction Manager or Architect makes reasonable objection to such substitution.

### § 5.2.5 Manufacturers and Fabricators

**§ 5.2.5.1** Not later than thirty (30) days after the date of commencement of the Work, the Contractor shall furnish in writing to the Owner through the Architect the names of the persons or entities proposed as manufactures or fabricators for certain products, equipment and systems identified in the General Requirements (Division 1 of the Specifications) and, where applicable, the name of the installing Subcontractor. The Architect may reply within 14 days to the Contractor in writing stating 1) whether the Owner or the Architect has reasonable objection to any such proposed person or entity or 2) that the Architect requires additional time to review. Failure of the Owner or Architect to reply within the 14 day period shall constitute notice of no reasonable objection.

**§ 5.2.5.2** The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

**§ 5.2.5.3** If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected manufacturer or fabricator was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute manufacturer's or fabricator's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.5.4 The Contractor shall not substitute a person or entity previously selected if the Owner or Architect makes reasonable objection to such substitution.

#### § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including, but not limited to, the responsibility for safety of the Subcontractor's Work, and obligations to defend and indemnify the Contractor, by these Contract Documents, assumes toward the Owner, Construction Manager and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner, Construction Manager and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors.

#### § 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

**§ 5.4.2** Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor Contractor or other entity. If the Owner assigns the subcontract to a successor Contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor Contractor's obligations under the subcontract.

#### ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

#### § 6.1 Owner's Right to Perform Construction with Own Forces and to Award Other Contracts

§ 6.1.1 The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When the Owner performs construction or operations with the Owner's own forces or Separate Contractors, the Owner shall provide for coordination of such forces and Separate Contractors with the Work of the Contractor, who shall cooperate with them.

**§ 6.1.3** Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

#### § 6.2 Mutual Responsibility

**§ 6.2.1** The Contractor shall afford the Owner's own forces, Separate Contractors, Construction Manager and other Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

**§ 6.2.2** If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner's own forces, Separate Contractors or other Contractors, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Construction Manager and Architect, in writing and in detail, and apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor or other Contractors that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Construction Manager and the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's or other Contractors' completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor's Work. The Contractor's or other Contractors that are not apparent.

**§ 6.2.3** The Contractor shall reimburse the Owner for costs the Owner incurs, including costs that are payable to a Separate Contractors or to other Contractors, because of the Contractor's delays, improperly timed activities or defective construction.

**§ 6.2.4** The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction, or to property of the Owner, Separate Contractors, or other Contractors as provided in Section 10.2.5.

**§ 6.2.5** The Owner, Separate Contractors, and other Contractors shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

#### § 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, other Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Construction Manager, with notice to the Architect, will allocate the cost among those responsible.

## ARTICLE 7 CHANGES IN THE WORK

# § 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

**§ 7.1.2** A Change Order shall be based upon agreement among the Owner, Construction Manager, Architect and Contractor. A Construction Change Directive requires agreement by the Owner, Construction Manager and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

**§ 7.1.3** Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.1.4 The combined overhead and profit included in the total cost to the Owner of a change in the work shall be based on the following schedule:

1. For the Contractor, for Work performed by the Contractor's own forces, 15 percent of the cost.

2. For the Contractor, for Work performed by the Contractor's Subcontractor 7 percent of the amount due to the Subcontractor.

3. For each Subcontractor involved, for work performed by that subcontractor's own forces, 7 percent of the cost.

4. for each Subcontractor, for Work performed by the Subcontractor's sub-subcontractor, 7 percent of the amount due the sub-subcontractor.

5. Cost to which overhead and profit is to be applied shall be determined in accordance with subparagraph 7.3.7.

6. In order to facilitate checking of quotations for extras or credits, all proposals, except those so minor that their propriety can be seen by inspection, shall be accompanied by a complete itemization of costs including labor, materials and Subcontracts. Labor and Materials shall be itemized in the manner prescribed above. Where major cost items are Subcontracts, they shall be itemized also. In no case will a change involving over \$500.00 be approved without such itemization.

# § 7.2 Change Orders

A Change Order is a written instrument prepared by the Contractor and signed by the Owner, Construction Manager, Architect, and Contractor, stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

## § 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Construction Manager and signed by the Owner, Construction Manager and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

**§ 7.3.2** A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

**§ 7.3.3** If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

**§ 7.3.4** If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Construction Manager shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Construction Manager may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Construction Manager and Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- **.3** Rental costs of machinery and equipment, exclusive of hand tools and equipment normally encumbered to perform the work,, whether rented from the Contractor or others; and
- .4 Costs of supervision and field office personnel directly attributable to the change.
- .5 Overhead and profit mark-up shall include, but not be limited to, the following:
  - .1 home office expenses;
  - .2 field office expenses;
  - .3 supervision;
  - .4 project management and estimation; and
  - .5 small tolls and equipment.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

**§ 7.3.6** Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Construction Manager of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

**§ 7.3.8** The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Construction Manager and Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

**§ 7.3.9** Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Construction Manager and Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Construction Manager and Architect determine to be reasonably justified. The interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

**§ 7.3.10** When the Owner and Contractor agree with a determination made by the Construction Manager and Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Construction Manager shall prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### § 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Construction Manager and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Construction Manager that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

# ARTICLE 8 TIME

### § 8.1 Definitions

**§ 8.1.1** Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

### § 8.2 Progress and Completion

**§ 8.2.1** Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner. The date of commencement of the Work shall not be changed by the effective date of such insurance.

**§ 8.2.3** The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

#### § 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the progress of the work by such causes which the Architect determines justifies the delay, the Contract time shall be extended by Change Order for such reasonable time as the Architect may determine. The Contractor agrees to make no claim against the Owner, Construction Manager or the Architect, Architect's Consultants or Architect's Subcontractors, for damages for delay in the performance of this contract occasioned by any act or omission of the Owner or any of its representatives, or the Construction Manager, Architect, Architect's Consultants or Architect's Subcontractors, and agrees that any such claim shall be fully compensated for by an extension of time to complete performance of the work as provided herein. The delays contemplated by this paragraph include, but are not limited to, loss or damage arising out of, or related to, any unforeseen obstructions or difficulties which may be encountered during the performance of the contract, including damages which may be caused or occasioned by the contractor's reliance upon such records, reports or information furnished by the Owner, Construction Manager or Architect or Architect's Consultants or Architect's Subcontractors. An extension of time to complete performance is an equitable adjustment as contemplated by paragraph 14.3.2 of the General Conditions of the contract. When the act or omission of another contractor causes delays resulting in damage to the Contractor, the Contractor damaged thereby must proceed against the offending contractor and shall make no claim against the Owner, Construction Manager or Architect or Architect's Consultants or Architect's Subcontractors."

#### IT IS EMPHASIZED THAT NO MONETARY RECOVERY MAY BE OBTAINED BY THE CONTRACTOR FOR DELAY AGAINST THE OWNER, CONSTRUCTION MANAGER, OR ARCHITECT BASED ON ANY REASON AND THAT THE CONTRACTOR'S SOLE REMEDY, IF APPROPRIATE, IS ADDITIONAL TIME.

**§ 8.3.3** This Section 8.3 does not preclude recovery of damages for delay by the Owner under other provisions of the Contract Documents.

### ARTICLE 9 PAYMENTS AND COMPLETION

#### § 9.1 Contract Sum

**§ 9.1.1** The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

**§ 9.1.2** If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

#### § 9.2 Schedule of Values

The Contractor shall submit a schedule of values to the Construction Manager, before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Construction Manager and the Architect. This schedule, unless objected to by the Construction Manager or Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. The Construction Manager shall forward to the Architect the Contractor's schedule of values. Any changes to the schedule of values shall be submitted to the Construction Manager and supported by such data to substantiate its accuracy as the Construction Manager and the Architect may require, and unless objected to by the Construction Manager or the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

#### § 9.3 Applications for Payment

**§ 9.3.1** At least fifteen days before the date established for each progress payment, the Contractor shall submit to the Construction Manager an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner, Construction Manager or Architect require, such as copies of requisitions, and releases of waivers of lien from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents. The form of Application for Payment, duly notarized, shall be current authorized edition of AIA Document G703-1992, Continuation Sheet.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Construction Manager and Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

**§ 9.3.1.3** When the work or major portions thereof as contemplated by the terms of the Contract are substantially complete, the Contractor shall submit to the Construction manager and Architect a requisition for payment of the remaining amount of the Contract balance. Upon receipt of such requisition, the Owner shall approve and promptly pay the remaining amount of the contract less two times the value of any remaining items to be completed and an amount necessary to satisfy any claims, liens, or judgments against the Contractor, which have not been suitably discharged, as determined by the Architect in conjunction with the Construction manager. Any claims, liens, or judgments referred to in this clause shall pertain to the Project and shall be filed in accordance with the terms of the Contract, and applicable laws.

**§ 9.3.1.4** Until the work is ninety (90%) percent complete, the Owner shall pay ninety (90%) percent of the amount due the Contractor on the account of progress payments. At the time of Work is 90 percent complete and thereafter, the Owner shall pay ninety-five (95%) percent of the amount due to the Contractor until punch list completion, subject however to the provisions of Article 5 of AIA Document A132-2019.

**§ 9.3.2** Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work, provided the Owner has authorized such delivery and storage of materials at the site in advance. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site. The Owner shall have the right, at any time on reasonable notice to inspect materials and equipment which have been stored off the site in accordance with this paragraph.

**§ 9.3.2.1** Proof of insurance for items stored off site and copies of invoices are to be provided with Applications for Payment requesting payment for stored materials.

**§ 9.3.3** The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials and equipment relating to the Work.

#### § 9.4 Certificates for Payment

**§ 9.4.1** Where there is only one Contractor, the Construction Manager will, within seven days after the Construction Manager's receipt of the Contractor's Application for Payment, review the Application, certify the amount the Construction Manager determines is due the Contractor, and forward the Contractor's Application and Certificate for Payment to the Architect. Within seven days after the Architect receives the Contractor's Application for Payment from the Construction Manager, the Architect will either (1) issue to the Owner a Certificate for Payment, in the full amount of the Application for Payment, with a copy to the Construction Manager; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Construction Manager and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Construction Manager will promptly forward to the Contractor the Architect's notice of withholding certification.

**§ 9.4.2** Where there is more than one Contractor performing portions of the Project, the Construction Manager will, within seven days after the Construction Manager receives all of the Contractors' Applications for Payment: (1) review the Applications and certify the amount the Construction Manager determines is due each of the Contractors; (2) prepare a Summary of Contractors' Applications for Payment by combining information from each Contractor's application with information from similar applications for progress payments from the other Contractors; (3) prepare a Project Application and Certificate for Payment; (4) certify the amount the Construction Manager determines is due all Contractors; and (5) forward the Summary of Contractors' Applications for Payment and Project Application and Certificate for Payment to the Architect.

**§ 9.4.2.1** Within seven days after the Architect receives the Project Application and Project Certificate for Payment and the Summary of Contractors' Applications for Payment from the Construction Manager, the Architect will either (1) issue to the Owner a Project Certificate for Payment, with a copy to the Construction Manager; or (2) issue to the Owner a Project Certificate for Payment for such amount as the Architect determines is properly due, and notify the Construction Manager and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Project Application for Payment, and notify the Construction Manager and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1. The Construction Manager will promptly forward the Architect's notice of withholding certification to the Contractors.

**§ 9.4.3** The Construction Manager's certification of an Application for Payment or, in the case of more than one Contractor, a Project Application and Certificate for Payment, shall be based upon the Construction Manager's evaluation of the Work and the data in the Application or Applications for Payment. The Construction Manager's certification will constitute a representation that, to the best of the Construction Manager's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is, or Contractors are, entitled to payment in the amount certified.

**§ 9.4.4** The Architect's issuance of a Certificate for Payment or, in the case of more than one Contractor, Project Application and Certificate for Payment, shall be based upon the Architect's evaluation of the Work, the recommendation of the Construction Manager, and data in the Application for Payment or Project Application for Payment. The Architect's certification will constitute a representation that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is, or Contractors are, entitled to payment in the amount certified.

**§ 9.4.5** The representations made pursuant to Sections 9.4.3 and 9.4.4 are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and

inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Construction Manager or Architect.

**§ 9.4.6** The issuance of a Certificate for Payment or a Project Certificate for Payment will not be a representation that the Construction Manager or Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

### § 9.5 Decisions to Withhold Certification

**§ 9.5.1** The Construction Manager or Architect may withhold a Certificate for Payment or Project Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Construction Manager's or Architect's opinion the representations to the Owner required by Section 9.4.3 and 9.4.4 cannot be made. If the Construction Manager or Architect is unable to certify payment in the amount of the Application, the Construction Manager and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment or a Project Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Construction Manager or Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment or Project Certificate for Payment previously issued, to such extent as may be necessary in the Construction Manager's or Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from the acts and omissions described in Section 3.3.2 because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor or other Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;
- .7 repeated failure to carry out the Work in accordance with the Contract Documents; or
- .8 failure of contractor to provide executed supplementary bid forms, performance and payment bonds, or a current certificate of insurance.

**§ 9.5.2** When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

**§ 9.5.3** When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

**§ 9.5.4** If the Architect or Construction Manager withholds certification for payment under Section 9.5.1, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Construction Manager, and both will reflect such payment on the next Certificate for Payment.

## § 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment or Project Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Construction Manager and Architect. Certificates for Payment shall be issued monthly if work is progressing satisfactorily and if application for payment has been submitted.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

**§ 9.6.3** The Construction Manager will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Owner, Construction Manager and Architect on account of portions of the Work done by such Subcontractor.

**§ 9.6.4** The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner, Construction Manager nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

**§ 9.6.5** The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

**§ 9.6.6** A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

**§ 9.6.7** Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

**§ 9.6.8** The Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

#### § 9.7 Intentionally Omitted

#### § 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so the Owner can occupy or utilize the Work for its intended use.

**§ 9.8.1.1** No later than fourteen (14) days prior to the contract scheduled date of Substantial Completion, the contractor shall issue a letter to the Architect and Construction Manager confirming their work is on schedule for substantial completion by the contract specified date. No later than seven (7) days after contract -scheduled date of substantial completion (including authorized adjustments), the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. Absent the contractor letter confirming readiness of work, the Architect may elect to postpone the substantial completion inspection. If the Architect's inspection discloses any item which is not sufficiently complete in accordance with the contract documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of Certificate of Substantial Completion, complete or correct such item upon notification by the Architect to determine the actual date of substantial completion.

**§ 9.8.2** When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall notify the Construction Manager, and the Contractor and Construction Manager shall jointly prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

**§ 9.8.3** Upon receipt of the Contractor's punch list, the Architect, assisted by the Construction Manager, will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the punch list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or

designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect, assisted by the Construction Manager, to determine Substantial Completion.

**§ 9.8.3.1** The Architect will perform no more than one (1) inspection to determine whether the Work or a designated portion thereof has attained Substantial Completion in accordance with the Contract Documents. The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections.

**§ 9.8.4** When the Architect, assisted by the Construction Manager, determines that the Work of all of the Contractors, or designated portion thereof, is substantially complete, the Construction Manager will prepare, and the Construction Manager and Architect shall execute, a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

**§ 9.8.5** The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents. In no event shall the outstanding amount be less than two hundred (200%) percent of the value of the incomplete Work and unsettled claims.

**§ 9.8.6** In the event the Contractor does not achieve final completion within sixty (60) days after the date of Substantial Completion, allowing for any approved extensions of the Contract time, Contractor shall not be entitled to any further payment and Contractor agrees that such failure to complete the work within the time set forth above shall constitute a waiver of all claims by the Contractor to any money that may be due. This provision shall not operate as a waiver by the Owner of any claims or remedies of any nature against the Contractor arising out of the Contract.

#### § 9.9 Partial Occupancy or Use

**§ 9.9.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor and Construction Manager shall jointly prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect after consultation with the Construction Manager.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Construction Manager, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

#### § 9.10 Final Completion and Final Payment

**§ 9.10.1** Upon completion of the Work, the Contractor shall forward to the Construction Manager a notice that the Work is ready for final inspection and acceptance, and shall also forward to the Construction Manager a final Contractor's Application for Payment. Upon receipt, the Construction Manager shall perform an inspection to confirm the completion of Work of the Contractor. The Construction Manager shall make recommendations to the Architect when the Work of all of the Contractors is ready for final inspection, and shall then forward the Contractors' notices and Application for Payment or Project Application for Payment, to the Architect, who will

promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Construction Manager and Architect will promptly issue a final Certificate for Payment or Project Certificate for Payment stating that to the best of their knowledge, information and belief, and on the basis of their on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Construction Manager's and Architect's final Certificate for Payment or Project Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.10.1.1 The Architect will perform no more than one (1) inspection to determine whether the Work or a designated portion thereof has attained Final Completion in accordance with the Contract Documents. The Owner is entitled to reimbursement from the Contractor for amounts paid to the Architect for any additional inspections.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect through the Construction Manager (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6), if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees. Upon demand by the Owner, Contractor shall provide and file bond for discharge of any lien, as required by Lien Law, State of New York, Section 21, Paragraph 5.

**§ 9.10.3** If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Construction Manager and Architect so confirm, the Owner shall, upon application by the Contractor and certification by the Construction Manager and Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect through the Construction Manager prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

**§ 9.10.6** If the Contractor is responsible for delays in the final completion and closeout beyond the contract specified time, the Owner shall be entitled to reimbursement from the Contractor for amounts paid by the Owner to subsequently extend the Electronic Submittal System (Newforma)

# ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

## § 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract. The Contractor shall submit the Contractor's safety program to

the Construction Manager for review and coordination with the safety programs of other Contractors. The Construction Manager's responsibilities for review and coordination of safety programs shall not extend to direct control over or charge of the acts or omissions of the Contractors, Subcontractors, agents or employees of the Contractors or Subcontractors, or any other persons performing portions of the Work and not directly employed by the Construction Manager.

### § 10.2 Safety of Persons and Property

**§ 10.2.1** The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor;
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction; and
- .4 construction or operations by the Owner, Separate Contractors, or other Contractors.

**§ 10.2.2** The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

**§ 10.2.3** The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

**§ 10.2.5** The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2, 10.2.1.3 and 10.2.1.4 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2, 10.2.1.3 and 10.2.1.4. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner, Construction Manager or Architect or anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner, Construction Manager and Architect.

**§ 10.2.7** The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

## § 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, written notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

#### § 10.3 Hazardous Materials

**§ 10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing

the condition, immediately stop Work in the affected area and notify the Owner, Construction Manager and Architect of the condition.

**§ 10.3.2** Upon receipt of the Contractor's written notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor, Construction Manager and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor, the Construction Manager and the Architect will promptly reply to the Owner in writing stating whether or not any of them has reasonable objection to the persons or entities proposed by the Owner. If the Contractor, Construction Manager or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor, the Construction Manager and the Architect area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

#### § 10.3.3 Intentionally Omitted.

**§ 10.3.4** The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

#### § 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

#### ARTICLE 11 INSURANCE AND BONDS

#### § 11.1 Contractor's Insurance and Bonds

**§ 11.1.1** The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Construction Manager and Construction Manager's consultants, and the Architect and Architect's consultants, shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents. The required insurance, will at a minimum, protect the Contractor from claims set forth below, which may arise out of or result from the Contractor; s operations and completed operations under the Contract and for which the Contractor may be held legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any one of them, or by anyone for whose acts any of them may be liable:

.1 Claims under workers' compensation, disability benefit and other similar employee benefits acts that are applicable to the Work to be performed, including private entities performing Work at the site and exempt from the coverage on account of the number of employees or occupation, such entities shall maintain voluntary compensation coverage at the same limits specified for mandatory coverage for the duration of the Project;

**.2** Claims for damages because of bodily injury occupational sickness or disease, or death of the Contractor's employees or persons or entities excluded by statute from the requirements of Clause 11.1.1.1, but required by the Clause;

**.3** Claims for damages because of bodily injury occupational sickness or disease, or death of any person other than the Contractor's employees.

.4 Claims for damages insured by usual personal injury liability coverage; which are sustained (1) by a person as a result of an offense directly or indirectly related to employment of such person by the Contractor, or (2) by another person.

**.5** Claims for damaged, other than the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;

**.6** Claims for damages because of bodily injury, death of a person or property damage arising out of ownership, maintenance or use of a motor vehicle;

.7 Claims for bodily injury or property damage arising out of completed operations;

**.8** Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.

**§ 11.1.2** The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

**§ 11.1.4** The required insurance shall meet the minimum requirements set forth in Article 11 and elsewhere in the Contract Documents.

**§ 11.1.5 Notice of Cancellation or Expiration of Contractor's Required Insurance.** Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice directly to the Owner, and separately to the Construction Manager, of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

#### § 11.1.6 Insurance Requirements

**§ 11.1.6.1** Notwithstanding any terms, conditions or provisions, in any other writing between the parties, the contractor hereby agrees to effectuate the naming of the NRCSD as an Additional Insured on the contractor's insurance policies, except for workers' compensation and N.Y. State Disability insurance.

§ 11.1.6.2 The policy naming the District as an Additional Insured shall:

.1 Be an insurance policy from an A.M. Best A- rated or better insurer, licensed to conduct business in New York State. A New York licensed and admitted insurer is strongly preferred.

**.2** State that the organization's coverage shall be primary and non-contributory coverage for the NRCSD, its Board, employees and volunteers with a waiver of subrogation in favor of the District/BOCES.

**.3** Additional insured status shall be provided by standard or other endorsements that extend coverage to the North Rockland Central School District for on-going operations (CG 20 38) and products and completed operations (CG 20 37).

The decision to accept an endorsement rests solely with the North Rockland Central School District. A completed copy of the endorsements must be attached to the Certificate of Insurance.

**§ 11.1.6.3** The certificate of insurance must describe the services provided by the contractor (e.g., roofing, carpentry or plumbing) that are covered by the liability policies.

**§ 11.1.6.4** At the District's request, the contractor shall provide a copy of the declaration page of the liability and umbrella/excess policies with a list of endorsements and forms. If requested, the contractor will provide a copy of the policy endorsements and forms.

**§ 11.1.6.5** There will be no coverage restrictions and/or exclusions involving New York State Labor Law statutes or gravity related injuries.

**§ 11.1.6.6** A fully completed New York Construction Certificate of Liability Insurance Addendum (ACORD 855 2014/15) must be included with the certificates of insurance. For any "Yes" answers on Items G through L on this Form– additional details must be provided in writing. Policy exclusions may not be accepted.

§ 11.1.6.7 The contractor agrees to indemnify the District for applicable deductibles and self-insured retentions.

### § 11.1.6.8 Minimum Required Insurance

§ 11.1.6.8.1 Commercial General Liability Insurance:

\$1,000,000 per Occurrence/\$2,000,000 Aggregate
\$2,000,000 Products and Completed Operations
\$1,000,000 Personal and Advertising Injury
\$100,000 Fire Damage
\$10,000 Medical Expense
The general aggregate shall apply on a per-project basis.

§ 11.1.6.8.2 Owners Contractors Protective (OCP) Insurance:

For projects less than or equal to \$1,000,000 and work on 1 story (10 feet) only; \$1 million per occurrence, \$2 million aggregate with the District/BOCES as the Named Insured.

For projects greater than \$1,000,000 and/or work over 1 story (10 feet); \$2 million per occurrence, \$4 million aggregate with the District/BOCES as the Named Insured.

For all projects where General Liability, Auto and Umbrella/Excess Coverage is with non-licensed and non-admitted carriers in New York State; \$2 million per occurrence, \$4 million aggregate with the District as the named Insured.

The District/BOCES will be the Named Insured on OCP Policies. There will be no Additional Insureds on any OCP Policies.

§ 11.1.6.8.3 Automobile Liability:

\$1,000,000 combined single limit for owned, hired, borrowed and non-owned motor vehicles.

§ 11.1.6.8.4 Workers' Compensation and NYS Disability Insurance:

Statutory Workers' Compensation (C-105.2 or U-26.3); and NYS Disability Insurance (DB-120.1) for all employees. Proof of coverage must be on the approved specific form, as required by the New York State Workers' Compensation Board. ACORD certificates are not acceptable. A person seeking an exemption must file a CE-200 Form with the state. The form can be completed and submitted directly to the WC Board online.

#### **§ 11.1.6.8.5** Builder's Risk

Must be purchased by the contractor to include interest of the Owner and Contractor jointly in a form satisfactory to the owner. The limit must reflect the total completed value – all material and labor costs and provide coverage for fire, lightning, explosion, extended coverage, vandalism, malicious mischief, windstorm, hail and/or flood.

§ 11.1.6.8.6 Umbrella/Excess Insurance

5 million each Occurrence and Aggregate for general construction and no work at elevation (1 story – 10 feet) or project values less than or equal to 1,000,000.

\$10 million each Occurrence and Aggregate for high-risk construction, work at elevation (>1 story or 10 feet) or project values greater than \$1,000,000.

Umbrella/Excess coverage shall be on a follow-form basis over the Auto Liability and General Liability coverages.

**§ 11.1.6.8.7** Contractor acknowledges that failure to obtain such insurance on behalf of the District constitutes a material breach of contract and subjects it to liability for damages, indemnification and all other legal remedies available to the District/BOCES. The contractor is to provide the District with a certificate of insurance, evidencing the above requirements have been met, prior to the commencement of work.

**§ 11.1.6.8.8** Subcontractors are subject to the same terms and conditions as stated above and must submit same to the District/BOCES for approval prior to the start of any work.

**§ 11.1.6.8.9** In the event the General Contractor fails to obtain the required certificates of insurance from the Subcontractor and a claim is made or suffered, the Contractor shall indemnify, defend, and hold harmless the District, its Board, employees and volunteers from any and all claims for which the required insurance would have provided coverage. This indemnity obligation is in addition to any other indemnity obligation provided in the Contract.

§ 11.1.6.8.10 Asbestos/Lead Abatement/Pollution Liability Insurance

\$2,000,000 per occurrence/\$2,000,000 aggregate, including products and completed operations. Such insurance shall include coverage for the Contractor's operations including, but not limited to, removal, replacement, enclosure, encapsulation and/or disposal of asbestos, or any other hazardous material, along with any related pollution events, including coverage for third-party liability claims for bodily injury, property damage and clean-up costs. If a retroactive date is used, it shall pre-date the inception of the Contract.

If the Contractor is using motor vehicles for transporting hazardous materials, the Contractor shall maintain pollution liability broadened coverage (ISO Endorsement CA 9948), as well as proof of MCS 90. Coverage shall fulfill all requirements of these specifications and shall extend for a period of three (3) years following acceptance by the District/BOCES of the Certificate of Completion.

§ 11.1.6.8.11 Testing Company Errors and Omission Insurance

\$1,000,000 per occurrence/\$2,000,000 aggregate for the testing and other professional acts of the Contractor performed under the Contract with the District/BOCES.

#### § 11.1.7 Performance Bond and Payment Bond

**§ 11.1.7.1** The Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder.

§ 11.1.7.2 The cost of bonds shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

**§ 11.1.7.3** The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 11.1.7.4 The Penal Sum of the Payment and Performance Bonds shall each be equal to one hundred (100) percent of the Contract Sum.

#### § 11.1.8 Time of Delivery and Form of Bonds

**§ 11.1.8.1** The Bidder shall deliver the required bonds to the Owner not later than ten (10) days after the Bidder has received notice of the acceptance of its Bid but in no event shall bonds be delivered later than the date of execution of the Contract. If the Work is to commence sooner in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section.

**§ 11.1.8.2** Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond.

§ 11.1.8.3 The bonds shall be dated on or after the date of the Contract.

**§ 11.1.8.4** The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix to the bond a certified and current copy of the power of attorney.

#### § 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

**§ 11.2.2 Failure to Purchase Required Property Insurance.** If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform both the Contractor and the Construction Manager, separately and in writing, prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted.

**§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance**. Within seven (7) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice directly to the Contractor, and separately to the Construction Manager, of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

#### § 11.3 Waivers of Subrogation

**§** 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, subsubcontractors, agents, and employees, each of the other; (2) the Construction Manager and Construction Manager's consultants; (3) the Architect and Architect's consultants; (4) other Contractors and any of their subcontractors, subsubcontractors, agents, and employees; and (5) Separate Contractors, if any, and any of their subcontractors, subsubcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Construction Manager, Construction Manager's consultants, Architect, Architect's consultants, other Contractors, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this Section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

**§ 11.3.2** If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

# § 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor, Architect, and Construction Manager for loss of use of the Owner's property, due to fire or other hazards however caused.

### § 11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Construction Manager, Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Construction Manager, Architect and Subcontractors in similar manner.

**§ 11.5.2** Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

## ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

### § 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Construction Manager's or Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by either, be uncovered for their examination and be replaced at the Contractor's expense without change in the Contract Time.

**§ 12.1.2** If a portion of the Work has been covered that the Construction Manager or Architect has not specifically requested to examine prior to its being covered, the Construction Manager or Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

#### § 12.2 Correction of Work

## § 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Construction Manager or Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion, and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Construction Manager's and Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

#### § 12.2.2 After Substantial Completion

**§** 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof, or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner, Construction Manager or Architect, the Owner may correct it in accordance with Section 2.5.

**§ 12.2.2.** The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

**§ 12.2.3** The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

**§ 12.2.3** The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**§ 12.2.4** The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner, Separate Contractors, or other Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

**§ 12.2.5** Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

#### § 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

#### ARTICLE 13 MISCELLANEOUS PROVISIONS

#### § 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located. The parities expressly agree that any claim, dispute or other controversy of any nature arising out of the Contract or performance of the Work shall be commenced and maintained in Supreme Court, Rockland County, or the United States District Court, Southern District of New York, is applicable.

**§ 13.1.2** The Contractor shall at all times observe and comply with all Federal and State Laws, and all Laws, Ordinances and Regulations of the Owner, in any manner affecting the Work, and all such orders decreed as exist at present and those which may be enacted later, by bodies or tribunals having jurisdiction or authority over the Work, and the Contractor shall defend, indemnify and save harmless the Owner, Construction manager and Architect and all their officers, agents or servants against any claim or liability arising from, or based on, a violation of any such law, ordinances, regulation or order, whether by himself or by his employee or agents.

§ 13.1.3 The Contractor specifically agrees as required by Labor Law, Section 220 and 200-d, as amended that:

.1 No laborer, workman, or mechanic in the employ of the Contractor, subcontractor, or other persons doing contracting or contracting to do the whole or any part of the work contemplated by the Contract, shall be permitted or required to work more than eight hours in one calendar day or more than five days in one week, except int eh emergencies set forth in the Labor Law.

**.2** The wages paid for a legal day's work shall not be less than the prevailing rate of wages as defined by law; and

**.3** The minimum hourly rate of wages to be paid shall not be less than that stated in the Specifications, and any re-determination of the prevailing rate of wages after the Contract is approved shall be deemed to be incorporated herein by reference as of the effective date of re-determination and shall form a part of this Contract. The Labor Law provides that the Contract may be forfeited and no sum paid for any work done thereunder on a second conviction of willfully paying less than:

- 1. the stipulated wage scale as provided in Labor Law, Section 220, Sub-division 3, as amended; or
- 2. the stipulated minimum hourly wage scale as provided in Labor Law, 220-d, as amended.

**§ 13.1.4** The Contractor specifically agrees as required by the provisions of Labor Law, Section 220-e, as amended that:

.1 In hiring of employees for the performance of Work under this Contract or any subcontract hereunder for the manufacture, sale, or distribution of materials, equipment or supplies, hereunder, no Contractor or Subcontractor nor any person acting on behalf of such Contractor or Subcontractor, shall by reason of race, cred, color, disability, sex, or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the work to which the employment relates.

**.2** No Contractor, Subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate and employee under this Contract on account of race, creed, color, disability, sex, or national origin.

**.3** There may be deducted from the amount payable to the Contractor by the Owner under this Contract, a penalty of fifty dollars (\$50) for each person for each calendar day during which such a person was discriminated against or intimidated in violation of the provisions of the Contract, and

.4 The provisions of this section covering every Contract for or on behalf of the Owner, the State or a municipality for the manufacture or sale or distribution of materials, equipment or supplies shall be limited to operations performed within the territorial limits of the State of New York.

§ 13.1.5 During the performance of this Contract, the Contractor agrees as follows:

.1 The Contractor will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, sexual orientation, military status, sex disability, predisposing genetic characteristics, marital status, or domestic violence victim status.

.2 If directed to do so by the Owner or the State Commissioner of Human Rights, the Contractor will send to each labor union or representative of workers which with the Contractor has or is bound by a collective bargaining or other agreement of understanding, a notice, to be provided by the State Commissioner of Humar Rights, advising such labor union or representative of the Contractor's agreement under clauses (1) through (6) (hereinafter called "non-discrimination clauses"). If the Contractor was directed to do so by the Owner as part of the bid or negotiation of this Contract, the Contractor shall request such labor union or representative to furnish a written statement that such a labor union or representative will not discriminate because of age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristics, or marital status, and that such labor union or representative will cooperate, within the limits of its legal contractual authority, in the implementation of the policy and provisions of these non-discrimination clauses and that it consents and agrees that the recruitment, employment and the terms and conditions of employment under this Contract shall be in accordance with the purposes and provision of these non-discrimination clauses. If such labor union or representative fails or refuses to comply with such a request that it furnish such a statement, the Contractor shall promptly notify the Owner and the State Commissioner of Human Rights of such failure or refusal.

.3 If directed to do so by the Owner or Commissioner of Human Rights, the Contractor will post and keep posted in conspicuous places, available to employees and applicants for employment, notices to be provided by the State Commissioner of Human Rights setting forth the substance of provisions of clauses (1) and (2) and such provisions of the State law against discrimination as the State Commissioner of Human Rights shall determine.

.4 The Contractor will state in all solicitations or advertisements for employees places by or on behalf of the Contract, that all qualified applicants will be afforded equal employment opportunities without discrimination because of age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristics, martial status, or domestic violence victim status.

.5 The Contractor will comply with the provisions of Section 290-299 of the Executive Law, and with the Civil Rights Law, will furnish all information and reports deemed necessary to the State Commissioner of Human Rights under these non-discrimination clauses and such section of the Executive Law, and will permit access to the Contractor's books, records, and accounts by the Owner, the State Commissioner of Human Rights, the Attorney General and the Industrial Commissioner for the purposes of investigation to

ascertain compliance with the non-discrimination clauses and such sections of the Executive Civil Rights Law.

.6 This Contract may be forthwith cancelled, terminated or suspended, in whole or in part, by the Owner upon a basis of a finding made by the State Commissioner of Human Rights that the Contractor has not complied with the non-discrimination clauses, and that the Contractor may be declared ineligible for future contracts made by or on behalf of the Owner, the State or a public authority or agency of the State, until the Contractor satisfies the State Commissioner of Human Rights that the Contractor has established and is carrying out a program in conformity of the provisions of these non-discrimination clauses. Such findings may be made by the State Commissioner of Human Rights after reconciliation efforts have failed to achieve compliance with these non-discrimination clauses and after a verified complaint has been filed with the Commissioner, notice thereof has been given to the Contractor to be heard publicly in accordance with the Executive Law. Such sanctions may be imposed and remedies invoked independently of or in addition to sanctions and remedies otherwise provided by law, and

.7 The Contractor will include the provisions of clauses .1 through .6 in every subcontract or purchase order in such a manner that such provisions will be binding upon each subcontractor or vendor as to operations to be performed within the State of New York. The Contractor will take action in enforcing such provisions of such subcontract or purchase order as the State Commissioner of Human Rights or the Owner my direct, including sanctions or remedies for non-compliance. If the Contractor becomes involved or threatened with litigation with a subcontractor or vendor as a result of such directions by the State Commissioner of Human Rights or the Owner, the Contractor shall promptly so notify the Owner and the Attorney General requesting the Attorney General to intervene and protect the interests of the State of New York.

### § 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

**§ 13.2.2** The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

#### § 13.3 Rights and Remedies

§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**§ 13.3.2** No action or failure to act by the Owner, Construction Manager, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

#### § 13.4 Tests and Inspections

**§ 13.4.1** Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Construction Manager and Architect timely notice of when and where tests and inspections are to be made so that the Construction Manager and Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

**§ 13.4.2** If the Construction Manager, Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Construction Manager and Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the

Contractor shall give timely notice to the Construction Manager and Architect of when and where tests and inspections are to be made so that the Construction Manager and Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

**§ 13.4.3** If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Construction Manager's and Architect's services and expenses, shall be at the Contractor's expense.

**§ 13.4.4** Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Construction Manager for transmittal to the Architect.

**§ 13.4.5** If the Construction Manager or Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Construction Manager or Architect will do so promptly and, where practicable, at the normal place of testing.

**§ 13.4.6** Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

## § 13.5 Interest

Payments to Contractor, including any interest, shall be consistent with this Agreement and in accordance with New York State General Municipal Law Section 106-b.

### § 13.6 Equal Opportunity

§ 13.6.1 The Contractor shall maintain policies for employment as follows:

.1 The Contractor and the Contractor's subcontractors shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, or national origin. The Contractor shall take affirmative action to ensure that applicants are employe, and that employees are treated during employment without regard to their race, religion, color, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and the selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the policies of non-discrimination.

**.2** The Contractor and the Contractor's subcontractors shall, in all solicitation or advertisement for employees placed by the or on their behalf, state that all qualified applicants will receive consideration for employment without regard to race, religion color, sex, or national origin.

#### § 13.7 Wage Rates

**§ 13.7.1** The Contractor shall comply with Prevailing Wage Rates issued and periodically updated, by the New York State Department of Labor, for the location and duration of the Project.

#### ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

#### § 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped; or
- .3 Because the Construction Manager has not certified or the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents.

**§ 14.1.2** The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, under direct or indirect contract with the Contractor, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

**§ 14.1.3** If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon thirty (30) days' written notice to the Owner with reasonable opportunity to cure, Construction Manager and Architect, terminate the Contract and recover from the Owner payment for Work properly executed.

**§ 14.1.4** If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees, or any other persons performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner, Construction Manager and Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

### § 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise breaches a material provision of the Contract Documents.
- .5 breaches any warranty made by the Contractor under or pursuant to the Contract Documents; or
- .6 fails to furnish the Owner with assurances satisfactory to the Owner evidencing the Contractor's ability to complete the Work in compliance with all the requirements of the Contract Documents.

**§ 14.2.2** When any of the reasons described in Section 14.2.1 exist, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work. The costs of finishing the Work include, without limitations, all reasonable attorney's fees incurred by the Owner, additional Architect/Engineering and Construction manager costs, insurance, additional interest because of any delay in completing the Work.

**§ 14.2.3** When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

**§ 14.2.4** If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Construction Manager's and Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner.

## § 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

**§ 14.3.2** The Contract Sum and the Contract Time may be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. No adjustment shall be made to the extent:

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of this Contract.

## § 14.4 Termination by the Owner for Convenience

**§ 14.4.1** Notwithstanding any other provision to the contrary in this Agreement, the Owner reserves the right at any time and in its absolute discretion to terminate the services of the Contractor and/or the Work for the Owner's convenience and without cause by giving written notice to the Contractor. This termination for the convenience of the Owner provision allows and authorizes the Owner to terminate this Agreement at any time and for any reason whatsoever. This right may be exercised by the Owner in its complete discretion.

**§ 14.4.2** Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

**§ 14.4.3** In the case of such termination for the Owner's convenience, the Contractor shall be entitled to , and the Owner shall reimburse the Contractor for, an equitable portion of the Contractor's fee based on the portion of the Work properly completed before the effective date of termination. Contractor's entitlement to payment for all such work shall be predicated on its performance of such work in accordance with the Contract Documents as certified by the Architect and Construction Manager. The contractor shall be entitled to no other payment and waives any claim for damages.

### ARTICLE 15 CLAIMS AND DISPUTES

### § 15.1 Claims

§ 15.1.1 Definition. A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

## § 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law.

§ 15.1.2.1 Claims by the Contractor must be made by written notice in accordance with the following procedures:

.1 the Contractor may submit a claim concerning a matter properly noticed in accordance with the time requirements of this Contract set forth in paragraph 15.1.2 and elsewhere.

**.2** failure by the Contractor to furnish the required claim documentation within the time set forth above shall constitute waiver of the Contractor's right to compensation for such claim.

**.3** Contractor shall furnish three (3) certified copies of the required claim documentation. The claim documentation shall be complete when furnished. The evaluation of the Contractor's claim will be based, among other things, upon the Owner's Project Records and the Contractor's furnished claim documentation.

.4 claim documentation shall conform to Generally Accepted Accountig Principals and shall be in the following format:

- 1. general introduction;
- 2. general background discussion;

- 3. issues
  - a. index of issues (listed numerically);
  - b. for each issue:
    - i. background
    - ii. chronology
    - iii. Contractor's position (reason for Owner's potential liability)
    - iv. Supporting documentation of merit or entitlement
    - v. Supporting documentation of damages
    - vi. Begin each issue on a new page
- 4. All critical path method schedules (as-planned, monthly updates, schedule revisions and as built, along with computer disks of all schedules related to the claim;
- 5. Productivity exhibits (if appropriate); and
- 6. Summary of issues and damages.

**.5** Supporting documentation of merit for each issue shall be cited by reference, photocopies of explanations. Supporting documentation may include, but shall not be limited to, General Conditions, General Requirements, technical specifications, drawings, correspondence, conference notes, shop drawings and submittals, shop drawing logs, survey books, inspection reports, delivery schedules, test reports, daily reports, subcontracts, fragmentary CPM schedules or time impact analysis, photographs, technical reports, requests for information, field instructions and all other relate records necessary to support the Contractor's claim.

**.6** supporting documentation of damages for each issue shall be cited, photocopies, or explained. Supporting documentation may include, but shall not be limited to, any or all documents related to the preparation and submission of the bid; certified, detailed labor records including labor cost records or rental records; subcontractor or vendor files and cost records; general ledger records; variance reports; accounting adjustment records, and any other accounting material necessary to support the Contractor's claim.

.7 each copy of the claim documentation shall be certified by a responsible officer of the Contractor in accordance with the requirements of these Contract Documents.

#### § 15.1.3 Notice of Claims

**§ 15.1.3.1** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Construction Manager and Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

**§ 15.1.3.2** Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

#### § 15.1.4 Continuing Contract Performance

**§ 15.1.4.1** Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments on non-disputed items in accordance with the Contract Documents.

**§ 15.1.4.2** The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

**§ 15.1.5 Claims for Additional Cost.** If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

#### § 15.1.6 Claims for Additional Time

**§ 15.1.6.1** If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. In the case of a continuing delay only one Claim is necessary.

**§ 15.1.6.2** If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated and had an adverse effect on the scheduled construction.

**§ 15.1.6.3** Claims for increase in the Contract Time shall set forth in detail the circumstances that form the basis for the Claim, the date upon which each cause of delay began to affect the progress of the Work, the date upon which each cause of delay ceased to affect the progress of the Work and the number of days' increase in the Contract Time claimed as a consequence of each such cause of delay. The Contractor shall provide such supporting documentation as the Owner may require including, where appropriate, a revised construction schedule indication all the activities affected by the circumstances forming the basis of the Claim.

§ 15.1.6.4 The Contractor shall not be entitled to a separate increase in the Contact Time for each one of the number of causes of delay which may have concurrent or interrelated effects on the progress of the Work, or for concurrent delays due to the fault of the Contractor.

**§ 15.1.7 Waiver of Claims for Consequential Damages.** The Contractor waive Claims for consequential damages arising out of or relating to this Contract. This waiver includes

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damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit except anticipated profit arising directly from the Work.

This waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

#### § 15.2 Initial Decision

**§ 15.2.1** Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

**§ 15.2.2** The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

**§ 15.2.3** In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

**§ 15.2.4** If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

**§ 15.2.5** If a Claim has not been resolved after consideration of the foregoing and oof further evidence presented by the parties or requested by the Architect, the Architect will render to the parties the Architect's written

recommendation relative to the Claim, including any change in the Contract Sum or Contract Time or both. If there is a surety and there appears to be a possibility of a Contractor's default, the Architect may, but is no obligated to, notify the surety and request the surety's assistance in resolving the controversy.

**§ 15.2.6** Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

**§ 15.2.6.1** Either party may, within thirty (30) days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days of receipt thereof, then both parties waive their rights to.

**§ 15.2.7** In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

**§ 15.2.8** If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

#### § 15.3 Mediation

§ 15.3.1 Intentionally Omitted

**§ 15.3.2** The parties may endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation.

**§ 15.3.3** Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

**§ 15.3.4** The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

#### NORTH ROCKLAND CSD

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

WAGE RATE REQUIREMENTS (NYS)

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# SECTION 007343 WAGE RATE REQUIREMENTS (NYS)

#### PART 1 - GENERAL

### 1.1 SUMMARY

A. Wage rates shall apply as shown in the Prevailing Rate Schedule prepared by the New York State Department of Labor. The Prevailing Wage Case Number (PRC#) assigned to this project is 2025004948, published 4/24/2025, Rockland County. The Schedule can be viewed at the following web-site:

https://apps.labor.ny.gov/wpp/publicViewProject.do?method=showIt&id=1587820.

B. The Contractor shall be responsible for completing one copy of the Notice of Contract Award, form PW-16. Upon completion of the form, the Contractor shall submit the form to the Architect for record keeping and forwarding to the New York State Department of Labor.

#### PART 2 - PRODUCTS (NOT USED)

# PART 3 - EXECUTION (NOT USED)

# **END OF SECTION**

# NORTH ROCKLAND CSD

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

# WAGE RATE REQUIREMENTS (NYS)

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R25.17697.00

## SUMMARY

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## SECTION 011000 SUMMARY

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes:
  - 1. Project Information.
  - 2. Definitions.
  - 3. Work Covered by Contract Documents.
  - 4. Access to Site.
  - 5. Work Restrictions.
  - 6. Coordination with Occupants.
  - 7. Specification and Drawing Conventions.
- B. Related Requirements:
  - 1. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Section 015000 Temporary Facilities and Controls Single Prime Contract: For limitations and procedures governing temporary use of Owner's facilities.

## **1.2 PROJECT INFORMATION**

- A. Project Identification:
  - 1. North Rockland CSD, STONY POINT ES WATER HEATER REPLACEMENT & SITE WORK.
    - a. Stony Point Elementary 50-02-01-06-0-014-015
      - Steves Drive Elementers Select 7 Commen Drive
    - Stony Point Elementary School, 7 Gurnee Drive, Stony Point, NY 10980
- B. Owner: North Rockland CSD: District Office, 65 Chapel Street, Garnerville, NY 10923.
- C. Owner's Representative: Kleo Girandola Assistant Superintendent for Business.
  - 1. Phone: 845-942-3006.
  - 2. Email: kgirandola@northrockland.org.
- D. Architect: CPL, 26 IBM Road, Poughkeepsie, New York 12601.
  - 1. Contact Person(s):
    - a. Project Manager: Lauren Tarsio PH: 518-915-7456.
- E. Construction Manager: The Palombo Group.
  - 1. Representative: Scott Butler PH: 845-868-1239.
  - 2. Construction Manager has been engaged for this Project to serve as an advisor to Owner and to provide assistance in administering the Contract for Construction between Owner and each Contractor, according to a separate contract between Owner and Construction Manager.
- F. Submittal Web Site: The Architect requires the use of Newforma Info Exchange for delivery and return of submittals, shop drawings and requests for information. There are **no exceptions** to this requirement.

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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#### SUMMARY

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## **1.3 DEFINITIONS**

A. Contract Documents: All published Specifications and Drawings, including all Addenda that modify said documents.

## 1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of this Project is defined by the Contract Documents and consists of the following:
  - 1. Scope of work includes but is not limited to the installation of retaining walls and related drainage, construction of a student drop off loop, and replacement of the water heater, storage tank, and associated piping and pumps.
- B. Type of Contract:
  - 1. Project will be constructed under a single prime contract.

#### **1.5 ACCESS TO SITE**

- A. General: Contractor shall have limited use of Project site for construction operations as indicated on Drawings and as indicated by requirements of this Section.
- B. Use of Site: Limit use of Project site to work areas indicated on drawings. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  - 1. Driveways, Walkways and Entrances: Keep driveways, walkways, entrances, parking garages, and loading areas and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
    - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weathertight condition throughout construction period. Repair damage caused by construction operations.

#### **1.6 WORK RESTRICTIONS**

- A. General Work Restrictions: Comply with restrictions of construction operations.
  - 1. Comply with limitations on use of public streets and other requirements of Authorities Having Jurisdiction.
- B. On-Site Work Hours: Limit work in the existing building and/or the existing site to normal business working hours of 7:30 am to 3:30 pm, Monday through Friday, except as otherwise indicated.
  - 1. School Vacations and Holidays: Work may occur at any times, as approved.
  - 2. Weekend Hours: Work may occur at any times, as approved.
  - 3. Hours for Utility Shutdowns: Only on weekends, holidays, and school vacations as approved.

- 4. Hours for Noisy Activity: For core drilling, powder-activated fasteners, and other disruptive activities, 3:30 p.m. to 11:00 p.m, or as otherwise approved.
- 5. Special Events: The Owner will provide dates and times of special events that will restrict construction operations.
- C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
  - 1. Notify Architect not less than (2) two days in advance of proposed utility interruptions.
  - 2. Obtain Architect's written permission before proceeding with utility interruptions.
- D. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.
  - 1. Notify Architect not less than days in advance of proposed disruptive operations.
  - 2. Obtain Architect's written permission before proceeding with disruptive operations.
- E. Nonsmoking Building: Smoking is not permitted within the building.

## 1.7 COORDINATION WITH OCCUPANTS

- A. **Full Owner Occupancy:** Owner will occupy site and existing building(s) during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits unless otherwise indicated.
  - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and approval of authorities having jurisdiction.
  - 2. Notify the Owner not less than (72) seventy-two hours in advance of activities that will affect Owner's operations.

## 1.8 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
  - 3. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
  - 4. Drawing Coordination: Requirements for materials and products identified on the Drawings are described in detail in the Specifications. One or more of the following are used on the Drawings to identify materials and products:
- B. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
  - 1. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings.

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2. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## **END OF SECTION 011000**

#### SECTION 01 12 00 MULTIPLE CONTRACT SUMMARY

#### PART 1 GENERAL

#### 01. **RELATED DOCUMENTS**

Drawings and general provisions of the Contract, including the General and Supplemental Conditions and Division 1 Specification Section, apply to this Section.

#### 02. SUMMARY

Section includes:

- 1. Project information.
- 2. Work covered by Contract Documents.
- 3. Construction schedule.
- 4. Requirements and assignments for each Contract.
- 5. Owner-furnished products.
- 6. Access to site.
- 7. Coordination with occupants.
- 8. Work restrictions.
- This Section includes a summary of each contract, including responsibilities for coordination and temporary facilities and controls.
- Each Contractor is responsible to review all Drawings and Specifications for every contract to gain a complete understanding and knowledge of the entire Project, to determine how the work of each contract is to interface with every other contract.

#### 03. **DEFINITIONS**

Project Identification: Project consists of all labor, materials, equipment, appliances, services, and incidentals necessary for layout, installing, and performing Additions and Alterations at the North Rockland School District (NRCSD) as shown on the Contract Drawings and described in the Specifications.

- 1. Phase 1 The work consists of but not limited to the following:
- 2. The Work will be performed at
  - a. Stony Point Elementary School located at 7 Gurnee Dr, Stony Point, NY 10980
- 3. Architect Identification: The Contract Documents were prepared for the Project by Architect of Record, CPL.
- 4. Construction Manager: The Palombo Group has been engaged as Construction Manager for this Project to serve as an advisor to Owner and to provide assistance in administering the Contract for Construction between Owner and Contractor, according to a separate contract between Owner and Construction Manager.
- 5. Building Code in Effect for Project: 2020 Building Code of New York State as adopted and the Energy Conservation Construction Code of New York State.
- 6. Comply with the following: New York State Energy Conservation Code and the building standards of the New York State Education Department.

#### 04. THE CONTRACT

The Project will be constructed under a multiple prime contracting arrangement with the Owner awarding and holding the separate Contracts. Each contractor shall furnish all labor,

material, tools, equipment, supervision, layout, delivery, trucking, shop drawings, submittals, closeout etc. necessary to complete the work described in the Division of Work of their respective Contracts and based upon a complete set of Contract Documents.

- Each Contractor has been given the opportunity prior to bid to inspect the entire Project site for interferences to their Contract work and agrees to accept the site as it exists on the date of the bid opening.
  - 1. It is the Owner's intention to continue to occupy the existing buildings and site for normal operations/maintenance during the Construction process. The Contractors all agree to:
    - a. Cooperate with the Owner's personnel in maintaining and facilitating access to the School buildings and its facilities by the School staff, Students, Owner's agents, service consultants and the public, throughout the construction process.
    - b. Keep driveways and entrances serving the occupied buildings clear and available to the Owner, the Owner's employees/agents, and to emergency vehicles at all times. Do not obstruct access to, or use these areas for parking, staging of equipment or materials. All access through these existing areas must be coordinated in advance and in accordance with the Owner.
    - c. Schedule construction operations so as to minimize any conflicts or interruptions to the daily Owner functions/operations. Coordinate any necessary interruptions with the designated project representative. Contractor to include in their Bid required rates for second shift tasks to coordinate with such required operations.
    - d. All existing Owner occupied areas of buildings (not turned over to the Project Contractors) need to remain operational at all times. The contractors are responsible to maintain all systems, such as but not limited to: fire alarm, electric, public address system, gas service, heat, egress points etc.
    - e. The North Rockland Central School District is currently under Contract at this location for site construction Contract-02. The intent of Contacrt-03 is to complete the remaining work (along with the Electrical Contract) and finish the site so that's its operational for the start of school. Contract-02 and Contract-03 have similar scope and defined delineation lines that are meant to complement each other. Contract-03 is the follow-up Contractor responsible for scope needed to complete the work not in Contract-02.

Each Prime Contractor shall:

- 1) Provide field-engineering services, in addition to those provided by the Site Work Prime Contract, to install site utilities included in the applicable Prime Contract.
- 2) Coordinate construction schedule information in order to formulate onemaster schedule for the entire Project. Contractor to organize, publish and update said schedule as direct by the CM, but no less than bi-monthly (twice per month). Plumbing Contractor shall be responsible for all interior scope and the Site Contractor-03 all exterior work.
- 3) Coordinate weekly construction schedules and activities. Every week Prime is to submit to the CM and other Primes a detailed plan of activities in the field to include, but not be limited work planned, crew size, hours of work, deliveries, coordinated activities with others, Owner requested coordination needs. Prime

Contractor to take responsibility for submitting this on account for all of their vendors and subcontractors.

- 4) Provide reflective vests/clothing and PPE to be worn by all on-site personnel at all times. Parties that do not abide by this requirement will be escorted off the premises.
- 5) Provide erosion and Sediment Control and dewatering as it relates to any excavation associated with its own Prime Contract.
- 6) Provide potable drinking water for its own employees.
- 7) Provide access to all concealed systems as required for system maintenance and repair for items installed in their Prime Contract. This specifically talks to access panels needed for future maintenance by the district.
- 8) Provide and maintain material lifting equipment required for the completion of their Contract requirements, and complying with NYS Labor Laws, OSHA Regulations, and other Federal, State, and local laws.
- 9) Provide and maintain additional temporary stairs, ladders, ramps, scaffolding, and platforms required specifically for completion of work of their own Contract, and as further detailed in this section. All work needs to comply with the NYS Labor Laws, OSHA regulation, and other Federal, State, and local laws.
- 10) Provide Fire Prevention materials and equipment for fire protection related to the work of their own Prime Contract. Provide fire extinguishers, fire blankets, and fire watch during all cutting and welding operations.
- 11) Provide any supplemental lighting required to install the work of its own Contract, beyond the minimum OSHA levels provided under the Electrical Work Prime Contract.
- 12) Provide traffic control for deliveries, and equipment needed to perform the work of their own Prime Contract.
- 13) Provide protection of its own finished Work, after installation, until accepted by the Owner.
- 14) Provide fire caulking for any penetration related to the work for its own Prime Contract.
- 15) Provide any office and storage trailers required to complete the work of their own Prime Contract.
- 16) Provide final cleaning of all surfaces and areas within the work areas to the satisfaction of the CM.
- 17) Project closeout requirements including As-Builts, Owner's Manual, Training, Commissioning ect.
- 18) Each Contractor shall review the facility asbestos report to become familiar with any materials that may contain asbestos. If the contractor encounters materials that have not been tested for asbestos, he shall cease work and contact the Construction Manager. The Contractor will be held responsible for clean-up costs if they continue to remove materials that have not been tested for asbestos.
- 19) Included within each Prime scope shall be any/all manufacturer's instructions, installations practices
- 20) Provide for a thorough final cleaning of the site, building, and equipment provided under their Prime Contract immediately before the final inspection. Each Prime Contractor is responsible for cleaning and dust and debris generated from the work of their own Contract.
  - a) Maintain areas in a cleaned condition until the Owner occupies the space.

b) Personnel: Experienced workman or professional cleaners approved by the Construction Manager.

## 05. SUMMARY OF WORK

The work will be constructed under multiple prime contracts. One set of contract documents is issued covering the multiple contracts. Each Prime Contract is defined as:

- 1. CONTRACT 01 (previously awarded)
- 2. CONTRACT 02 (previously bid, pending award)
- 3. CONTRACT 03 SC Site Work CONSTRUCTION WORK
- 4. CONTRACT 4 PC Plumbing CONSTRUCTION WORK
- 5. CONTRACT 5 EC Electrical CONSTRUCTION WORK

## 06. WORK UNDER SEPARATE CONTRACTS

The project will be constructed under a multiple-prime contracting arrangement.

One set of documents is issued covering all prime contracts scope of work. Each prime contractor is to review ALL drawings and specifications for complete understanding and knowledge of the work to be performed. Each prime contractor shall acknowledge and include scope called out on adjacent drawings and specifications.

The following Contract Documents are specifically included and defined as integral to each

Prime Contract.

- 1. Bidding Requirements
- 2. Performance and Payment Bonds
- 3. Conditions of the Contract, including
  - a. General Conditions & Supplementary Conditions
  - b. Insurance Requirements
  - c. NYS Prevailing Wage Rates
  - d. Project Labor Agreement
- Extent of Contract: Unless the Contract Documents contain a more specific description of the Work, names and terminology on Drawings and in Specification Sections determine which contract includes a specific element of Project.
  - Unless otherwise indicated, the Work described in this Section for each contract shall be complete systems and assemblies, including products, components, accessories, and installation required by the Contract Documents.
  - 2) Local custom and trade-union jurisdictional settlements do not control the scope of the Work of each contract. When a potential jurisdictional dispute or similar interruption of work is first identified or threatened, affected contractors shall negotiate a reasonable settlement to avoid or minimize interruption and delays.
  - 3) It is implied, unless otherwise noted, that any new work that has existing work in its place, the removal of the existing work is included in the scope of new work installer.
  - 4) All contractors are responsible for the removal and reinstallation of ceiling where work must be installed above a ceiling not scheduled for removal.
  - 5) Site Contractor shall provide excavation, SOE, backfilling material, restoration ect for all scope within the Contract, unless otherwise noted.
  - 6) Concrete Work of each contract shall be provided by each contract for its own Work, unless specifically assigned to another Contract.
  - 7) The Prime Contract shall provide all cutting and patching associated with its own Contract. All patching is to be performed by mechanics qualified and experienced with

the materials and finishes being patched. New openings requiring structural reinforcing will be the responsibility of the Prime Contract. Core drilling, fire proofing ect. shall be by each Prime Contractor.

- 8) Firestopping for the Work of each contract shall be provided by each contract for its own Work. Firestopping shall comply with Division 7 Section "Through Penetration Firestop Systems".
- 9) Access doors not shown on Architectural drawings and required for access to junction boxes, valves and similar equipment for the Work of each contract shall be furnished by each contract for its own Work to the Prime Contractor for installation.
- 10) Lead Based Paint precautions for the Work of each contract shall be provided by each contract for its own Work. Each Prime Contractor shall provide procedures for OSHA Lead precautions.
- 11) Each Prime Contractor shall designate a full-time superintendent to supervise the work of the Prime Contractor, who shall always be present on the job site when work is being performed; this person shall be familiar with Project and authorized to conclude matters relating to progress. This person shall also represent their company at weekly contractor meetings.
- 12) Termination and removal of its temporary facilities shall be provided by each contract for its own Work.
- 13) The Electrical Contractor shall provide temporary power and lighting at the areas of work for all trades within the building.
- Temporary Facilities and Controls: In addition to specific responsibilities for temporary facilities and controls indicated in this Section and in Division 1. "Temporary Facilities and Controls," each Contract is responsible for the following:
  - 1) Installation, operation, maintenance, and removal of each temporary facility usually considered as its own normal construction activity, and costs and use charges associated with each facility.
  - 2) Generators, plug-in electric power cords and extension cords, supplementary plug- in task lighting, and special lighting necessary exclusively for its own activities.
  - 3) Its own field office, complete with necessary furniture, and telephone service.
  - 4) Its own storage and fabrication sheds.
  - 5) Temporary heat for construction at isolated work areas.
  - 6) Its own dust protection to control dust where dust partition is not scheduled or shown on the drawings but are necessary to protect the building from dust contamination. Barriers such as "zip walls" plastic may need to be required in classrooms, hallways, office, to protect unmoved equipment, furniture, finishes, as required and as directed.
  - 7) Temporary enclosures for its own construction activities..
  - 8) Hoisting requirements for its own construction activities.
  - 9) Staging and scaffolding for its own construction activities.
  - 10) Collection and disposal of its own hazardous, dangerous, unsanitary, or other harmful waste material.
  - 11) Daily clean-up and disposal is required by each Contractor for the periods which that Contractor is performing work on site. Dumpsters will be provided by the Prime Construction contract in use by all prime contractors, recycling of materials will be instituted daily. Each trade will assign at least one person to the weekly clean-up. Any Contractor not providing personnel will be "back-charged" for labor provided by the Construction Manager. Progress cleaning of its own areas on a daily basis.

- 12) Secure lockup of its own tools, materials, and equipment.
- 13) Construction aids and miscellaneous services and facilities necessary exclusively for its own construction activities.
- 14) Temporary heat to protect installed work where scheduled temporary heat is not in place or not called for in the contract documents.
- 15) Safety procedures as dictated by the district, OSHA, and the NYS Department of Labor
- 16) Snow removal shall be by the Site Contractor as required for work, site safety or as directed by the CM.

Temporary Hot Water: The Plumbing Contractor is responsible for temporary hot water should the beneficial use/new equipment commissioning occur after Sept 1, 2025.

Use Charges: Comply with the following:

- a. Water Service: Water service is available at no charge.
- b. Electric Power Service: Electric Power service is available at no charge. Except when power shut down to the building occurs, the EC to provide temp power to facilitate the ongoing work of other trades.
- Storage: Each Contractor shall coordinate with the Construction Manager for locations of onsite storage for material, employee parking, material loading/loading ect. It is the intent of the Project to store approved delivered material on site. Any storage required for material, tools, and equipment outside the summer schedule is the responsibility of the Contractor. Example locations are proposed in a Project Staging Plan. Material available and not on site will not be a basis for delay.

## 07. SITE CONSTRUCTION CONTRACT

Work in the Site Construction Contract includes, but is not limited to, the following:

- 1. Includes Structural, Civil, Drainage, Utility plus other construction operations traditionally recognized as Site Work Construction. This includes, but is not limited to, work shown on the following:
  - a. Drawings:
    - a. All "title sheets, general notes, code compliance, life safety and Phasing Drawings" (General)
    - b. All "C" series Drawings (Civil)
    - c. Applicable "E" series Drawings (Electrical) for their support related needs
    - d. Applicable "S" series Drawings (Structural) for their foundation related requirements
    - e. Applicable "D" series Drawings as it applies to the series above
    - f. All "GEN" series Drawings, as it pertains to Work of this Contract
    - g. All Prefix Letter Above Drawings and any applicable information shown on the "A" "M" "P" "F", drawings, unless noted otherwise. It also includes administrative and coordination responsibilities.
    - h. All reference to other drawings from all other drawing listed above
- 2. Coordination:
  - a. Coordination of their scope of work with all other contractors, third parties, Owner activities/agents
  - b. Electrical Contractor shall provide anchor bolts for the light pole foundation. Due to scheduling requirements, the Site Contractor shall pour cast-in-place these foundations. The Electrical Contractor shall install the light poles and

energize upon delivery of this material, assumed post Sept 2, 2025. Installation shall be coordinated with the Owner if performed during the day as to not affect school operations.

- c. Site Contractor shall excavate, backfill and restore the site as needed for electrical scope installation.
- 3. Temporary Facilities
  - a. SC Provide all temporary fall protection, guardrails, handrails, ect. Include maintaining these items throughout the project as well as removal when no longer needed. Contract-02 shall rent/remove the site construction fence for the duration of the summer. Contract-03 shall maintain fence for duration of their construction schedule once on site. After Sept 1, 2025 if the fence is still required, Contract-03 will be back charged any fees Contract-02 incurs (via the Owner) due to incomplete turnover of the site work.
  - b. Provide and maintain dust protection and temporary fencing around the entirety of the work areas. The site shall be protected from any open excavations in accordance with OSHA guidelines.
  - c. Provide temporary roads/ access and continuous exits as necessary to accommodate construction activities. Reference Logistics and Phasing Plan
  - d. Provide all erosion control measures as indicated. Provide all necessary sediment and waste-water control measures specific to the delineated Site Construction Work area per the SPDES General Permit, terms of the SWPPP and contract documents.
  - e. Provide wash out area for concrete & construction vehicles as needed.
  - f. Provide proper protection to existing sidewalks / curbs to remain.
  - g. Un-piped temporary toilet fixtures, wash facilities, and drinking water facilities, including disposable supplies at the athletic field for the duration of the project
  - h. Special or unusual hoisting requirements for construction activities, including hoisting loads in excess of 2 tons, hoisting material or equipment into spaces below grade, and hoisting requirements outside building enclosure.
  - i. Project identification and temporary signs for construction
  - j. Pest control.
  - k. Barricades, warning signs, and lights.
  - 1. Security enclosure and lockup. Temporary walls/fence/partitions to separate construction to Owner-occupied areas as directed by the CM
  - m. Environmental protection.
  - n. Dust mitigation/containment and control measures Equipment sweeping of access roads required weekly, coordinated around facility parking times, to be carried out not less than weekly, daily if required and as directed by the CM and to maintain the requirements of the SWPPP plans.
  - o. Restoration of Owner's existing facilities used as temporary facilities.
  - p. Furnish and maintain temporary erosion control measures as indicated on contract documents.
  - q. Provide temporary tree protection where shown and/or as directed
  - r. Provide proper dust control and pavement sweeping in and around active site. Contractor to understand limits of work are outside active administration offices.
  - s. Provide temporary measures to properly manage surface water runoff.
  - t. Provide Temporary Facilities indicated as Work of this Contract in Section 01 50 00 "Construction Facilities and Temporary Controls"

- 4. Demolition:
  - a. All sitework prep, existing facility protection, any all sitework runoff/stabilization for the site within the work area
  - b. Removal and relocation of trees, shrubs and ground cover. Store/stockpile topsoil for the use within the project or relocation onsite as directed by the Owner/CM.
  - c. Removal of any existing curbing, stairs, paving, and sidewalks as shown or described as it relates to the scope shown. Removal to the limits called out whether it be milling, full depth excavation down to the requirements as called out for the new installation details. Sawcut adjacent areas to the limits shown and tie-in as per the details specified.
  - d. Excavate for access to remove all underground utilities and/or equipment as shown or described as it relates to the scope shown. Infill as required. Protect otherwise as required to maintain usage. OSHA regulation shall be maintained during construction. No open trenches shall be left without coordinated protection measures or as directed by the CM.
  - e. Removal and disposal of miscellaneous equipment, including equipment not, shown if impacting work to be demolished.
  - f. Salvage, Storage and Protection of work as needed as shown or described within the documents
  - g. Responsible for shoring, demolition and protection of areas associated with all excavation.
  - h. Provide protection to all installed materials.
  - i. Removal and disposal of miscellaneous equipment including all existing wall mounted specialty items and/or equipment not shown if impacting work to be demolished. Coordinate shutdown of water and /or electric with trades associated with the area of demolition. See demolition plans for additional demolition notes.
  - j. Removal and disposal of equipment and materials as indicated on the drawings.
  - k. Provide and install shoring bracing and underpinning related to the Site Construction work; excavation and structural backfill for footing, foundations, trenches and ground openings
  - 1. Dewatering excavation, manholes, catch basins ect for the progression of work during weather events or water table issue. Discharge water as per regulatory means.
  - m. Removal of curbing, roadways, bituminous paving, and concrete walks
  - n. Removal and relocation of trees, shrubs and ground cover.
  - o. Removal of all underground utilities and/or equipment as shown or described.
  - p. Removal of existing sports fields, track and associated utilities.
  - q. Removal of existing athletic surfacing and fencing.
  - r. Removal of existing associated structures and/or unused structures.
  - s. Removal of existing light pole bases
  - t. Removal and disposal of miscellaneous material and equipment including equipment not shown if impacting work to be demolished.

- **u.** Removal of unsuitable fill including rock to suitable depth
- 5. New Construction:
  - a. This Contractor shall be responsible for the construction of all exterior site work, including but not limited to all concrete/asphalt sidewalks, precast/stone/cast curbs, stairs/steps/landings/ramps, prep, finish, reinforcement/pins, railing, subgrade/base, drainage systems ect. asphalt, striping, fencing, guardrails, foundations, slabs, as per details shown
  - b. The Site Construction Work Contract shall perform all necessary trenching and excavation, backfilling, and compaction and field required concrete for all other primes. This Contractor shall be responsible for setting light pole bases, provide to them by the Electrical Contractor
  - c. Earthwork
    - a. GENERAL: All earthwork shall be confined to the construction area as shown on the plans and shall be done in an approved manner with proper equipment. Earthwork shall be suspended during rain and inclement weather, or when unsatisfactory field conditions are encountered, unless otherwise directed by the AE and CM. At all times during construction, the CONTRACTOR shall maintain proper drainage in the construction area and shall take all measures necessary for erosion and sediment control.
    - b. Existing Utilities: Contractor shall take every precaution to protect existing utility services from damage during construction operations. Contractor shall contact "Dig Safe NY" and provide private utility locating service as necessary to avoid damage to existing utilities. If damage occurs, the owner of the utility and construction manager shall be notified immediately. Repairs shall be made promptly at the contractor's expense. All repair work shall be satisfactory to the Architect, Construction Manager and the Owner of the utility. When interruptions of existing utilities occur, temporary service shall be provided as approved by the Architect, Construction Manager and Owner of the utility. A minimum 48 hour notification to the utility owner is required prior to working in and around utilities.
  - d. Dressing Off: All cuts, fills and slopes shall be neatly dressed off to the required grade or subgrade, as indicated on the plans.
    - a. Cleanup: Cleanup of the site shall be made upon completion of grading work or any major part thereof. Unless otherwise noted, excess or surplus material shall be wasted and dressed off on the site, or adjacent thereto, to the AE and CM'S satisfaction. Excess or surplus material wasted in off- site spoil areas shall be spread and leveled as directed.
    - b. Topsoil Placement: Topsoil shall consist of a natural friable loam, occurring usually in a surface layer 6 to 18 inches thick, and free of roots, grass, weeds, stone and other foreign matter. Topsoil may be obtained from the graded area, if available, and stockpiled for future use. Otherwise, the CONTRACTOR shall provide topsoil from other sources at his own expense. All topsoil shall be acceptable to the AE and CM. Topsoil shall be placed on the entire graded area as shown

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on the plans, or as directed by the AE and CM. Topsoil shall be distributed to a depth of 4 inches, measured loose, and dressed off neatly to finish grade, with all debris removed. Topsoil shall receive final dressing of seed and mulch or straw and watered until germination.

- e. Provide all access i.e. temporary driveway, parking lot paving and drainage as required.
- f. Areas modified for construction/staging/etc.. to be placed back to its natural state once construction is complete by this trade.
- g. Provide all catch basins, storm drains, underdrains, pipe, fabric, stone, tie-in to adjacent structures and patching, ect as shown
- h. Provide concrete pads as shown or called out
- i. Provide asphalt parking seal coating as shown or called out
- j. Provide all site signage and pavement markings as shown or called out
- k. Provide all site lines, stripes, arrows, handicap parking, ect as shown or called out
- 1. Site contractor to provide their own survey and layout by a NYS licensed surveyor
- m. Provide excavation, bedding and backfill for underground electric for site electrical. Installation of electrical conduit, light poles and wiring will be by the Electrical Contractor.
- n. Contractor shall obtain and pay for any permits, inspections, or certifications from governing authorities having jurisdiction over the work to be performed, or over the finished product to be installed by this Contractor. Project Building Permit is by others.
- o. Provide all labor, material, and equipment necessary for removal and disposal off site of rock. The Contractor shall include the following quantities rock removal in the Base Bid. Cost shall include removal and appropriate disposal per the Contract Documents. Measurement shall be taken unexcavated. Any rock removal above or below said quantity will be adjusted by the unit price.
  - a. 25 cubic yards of rock removal
- p. Provide all site work related to State Contractors including but not limited to the following Section "STATE CONTRACTORS SCOPE (various Contracts)"
- The Work of the Site Construction Contract includes but is not limited to the Work that is specified in the Project Manual(s) and as shown on the drawings that form the contract plans. The Contractor is directed to examine all drawings since certain details and/or notes may appear anywhere therein that apply to his/her particular work. This prime contract is defined as, and includes, all Sections in the Divisions indicated by reference, and specific Sections noted:
  - 1) Division 0 Procurement and Contracting Requirements, All Sections
  - 2) Division 1 General Requirements, All Sections, including Temporary Facilities
  - 3) Division 2 Existing Conditions As applicable to work of this contract
  - 4) Division 3 "Concrete" All Sections

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- 5) Division 11 "EQUIPMENT" All Sections
- 6) Division 13 "SPECIAL CONSTRUCTION" For Reference see STATE CONTRACTORS SCOPE within this Specification Section
- 7) Division 31 "Earth Work" All Sections
- 8) Division 32 "Exterior Improvements" All Sections
- 9) Division 33 "Utilities" All Sections

## **08.** ELECTRICAL CONTRACT

Work of the Electrical Contract includes, but is not limited to, the following:

- 1. Includes a complete working system or tie-in for system such as Electrical Distribution Service, Lighting, CATV systems, Communications, Fire Alarm, Intercom Systems, Security Systems, Emergency Lighting, and other systems traditionally recognized as Electrical work. This includes, but is not limited to, work shown on the following:
  - a. Drawings:
    - a. All "title sheets, general notes, code compliance, life safety and Phasing Drawings" (General)
    - b. All "E" series Drawings (Electrical)
    - c. All "GEN" series Drawings, as it pertains to Work of this Contract
    - d. Applicable "D" series Drawings as it applies to the series above
    - e. Applicable "XXX" series drawings (Hazardous Abatement) for their support related needs
    - f. All Prefix Letter Above Drawings and any applicable information shown on the "A" "F" "C" "P" "S", drawings, unless noted otherwise. It also includes administrative and coordination responsibilities.
    - g. All reference to other drawings from all other drawing listed above
- 2. Coordination:
  - a. Coordination of their scope of work with all other contractors, third parties, Owner activities/agents
  - b. Electrical Contractor shall provide anchor bolts for the light pole foundation. Due to scheduling requirements, the Site Contractor shall pour cast-in-place these foundations. The Electrical Contractor shall install the light poles and energize upon delivery of this material, assumed post Sept 2, 2025. Installation shall be coordinated with the Owner if performed during the day as to not affect school operations.
  - c. Site Contractor shall excavate, backfill and restore the site as needed for electrical scope installation.
  - d. Contractor shall obtain and pay for any permits, inspections, or certifications from governing authorities having jurisdiction over the work to be performed, or over the finished product to be installed by this Contractor.
- 3. Demolition
  - a. Provide demolition of all electrical equipment and conduit as shown and as required at the existing building. Include removal of any work found abandoned in place or unused adjacent to work scope. Salvage, Store, Protection of equipment for reinstallation as indicated on the drawings.
  - b. Electrical Contractor shall perform for all cutting and patching necessary for

work of this contract inside the buildings or its foundation. The Electrical Contract to include layout, sleeves, coring, debris removal, and etc. for scope associated with the Electrical system installation.

- c. Removal and disposal of miscellaneous equipment, including equipment not, shown if impacting work to be demolished.
- d. Provide protection to all materials to remain intact
- e. Coordinate with the other Primes for necessary shutdowns and disconnects.
- f. Removal of items as shown and/or required
- g. Removal and disconnections of electrical devices in walls, ceilings and floors scheduled to be removed. Conduit to be labeled and capped, with wires pulled out to source.
- 4. Temporary Facilities
  - a. Provide Temporary Facilities indicated as Work of this Contract in Division 1 Section 01 5000, "Temporary Facilities and Controls"
  - b. Fire alarm devices shall be surveyed and protected prior to work. All devices shall be returned to their existing location or adjusted to a code compliant location as required due to the scope on the ceilings/walls due to them being removed/relocated/new.
- 5. Construction:
  - a. Selective demolition in a safe and approved manner
  - b. Salvage all required equipment and re-install as applicable
  - c. Provide and install panels, equipment, disconnects, conduit, wire, grounding, terminations, tagging/labeling of new work
  - d. Provide and install electrical equipment such as transformers, junction boxes, panels, breakers, enclosures, switch gear, pull boxes, supports, ect.
  - e. Remove, salvage and re-install applicable speakers, cameras, sensors, devices, and other such existing electrical devices within the work areas. Coordinate with Owner on temp relocation, re-installation, and calibration.
  - f. Provide and install Interior and Exterior Lighting, including poles, supports, anchors for bases, junction boxes, manholes, pull boxes, fixtures, conduit, wire, sensors, controls for a complete system
  - g. Provide and install Interior and Exterior Power, including supports, bases, junction boxes, manholes, pull boxes, fixtures, conduit, wire, sensors, controls for a complete system
  - h. Provide and install Interior and Exterior Communication, including supports, bases, junction boxes, manholes, pull boxes, fixtures, conduit, wire, sensors, controls for a complete system
  - i. Provided in wall cores, openings, ect for system/equipment penetrations, firestopping
  - j. Provide all phone, CAT, and communication/networking work/systems as shown.
  - k. Provide all fees required for inspections and permits.

The Work of the Electrical Work Contract includes but is not limited to the Work that is specified in the Project Manual(s) and as shown on the drawings that form the contract plans. The

Contractor is directed to examine all drawings since certain details and/or notes may appear anywhere therein that apply to his/her particular work. This prime contract is defined as, and includes, all Sections in the Divisions indicated by reference, and specific Sections noted:

- 1) Division 0 Procurement and Contracting Requirement, All Sections.
- 2) Division 1 General Requirements All Sections, including Temporary Facilities indicated
- 3) Division 2 Existing Conditions As applicable to work of this contract
- 4) Division 3 "Concrete" As applicable to work of this contract
- 5) Division 7 "THERMAL AND MOISTURE PROTECTION" As applicable to work of this contract
- 6) Division 22 "Plumbing" As applicable to work of this contract
- 7) Division 26 "Electrical" All Sections
- 8) Division 27 "Communications" All Sections
- 9) Division 28 "Electronic Safety and Security" All Sections

## **10. PLUMBING CONTRACT**

Work of the Plumbing Contract includes, but is not limited to, the following: Includes Plumbing as a working finish system such as supply, venting drainage ductwork, housekeeping pads, plus other construction operations traditionally recognized as plumbing work. This includes, but is not limited to, work shown on the following:

- 1. Drawings:
  - a. All "title sheets, general notes, code compliance, life safety and Phasing Drawings" (General)
  - b. All "P" series Drawings (Plumbing)
  - c. All "GEN" series Drawings, as it pertains to Work of this Contract
  - d. Applicable "D" series Drawings as it applies to the series above
  - e. All Prefix Letter Above Drawings and any applicable information shown on the "E" "S" "C" "A" "M" "F", drawings, unless noted otherwise. It also includes administrative and coordination responsibilities.
  - f. All reference to other drawings from all other drawing listed above
- 2. Coordination:
  - a. Coordination of their scope of work with all other contractors, third parties, Owner activities/agents
  - b. Contractor shall become familiar with scope of all State Contractors and their support/scope coordination and interface responsibilities
  - c. Contractor shall obtain and pay for any permits, inspections, or certifications from governing authorities having jurisdiction over the work to be performed, or over the finished product to be installed by this Contractor.
- 3. Demolition
  - a. Provide demolition of all plumbing equipment and piping as shown and as required at the existing building. Included any work found abandoned in place or unused adjacent to work scope. Salvage, Store, Protection of equipment for reinstallation as indicated on the drawings.
  - b. Contractor to supply all cutting and patching necessary for work of this

contract, however the Plumbing Contract to include layout, sleeves, coring, debris removal, and etc. for scope associated with the Plumbing system installation.

- c. Removal and disposal of miscellaneous equipment, including equipment not, shown if impacting work to be demolished.
- d. Provide protection to all materials to remain intact
- 4. Temporary Facilities
  - a. Provide Temporary Facilities indicated as Work of this Contract in Division 1 "Temporary Facilities and Controls"
- 5. Construction:
  - a. Selective demolition.
  - b. Provide and install potable water supply and distribution including valves, hoses, support, insulation, and applicable accessories
  - c. Provide and install Bathroom fixtures sinks, supports, shutoffs, and applicable accessories
  - d. Provide and install all natural gas and propane lines for a complete system
  - e. Provide and install Drains, cleanouts, vents ect as required
  - f. Provide and install Hot Water Heater and accessories for a complete system
  - g. Provide and install Water Fountains for a complete system
  - h. Provide and install Water Service testing, connections, and commissioning
  - i. Provided inwall cores, openings, ect for system/equipment penetrations, firestopping
  - j. Salvage and re-install work as applicable.
  - k. Provide and install insulation on all new work. Tie into existing lines and insulate up to existing work for a unified insulation performance
  - 1. Provide all testing, inspection, permits
  - m. Provide and install all cleaning, startup, chemicals, testing, inspection, permits, balancing, commissioning
  - n. Contractor to provide and install new additional valves above and beyond what is shown on the drawings (5) five  $\frac{1}{2}$ ", (5) five 1", (2) two 1  $\frac{1}{2}$ ", (2) two 2", (1) one 3" for pricing purposes. Exact sizes and location to be determined in the field, at the direction of the construction manager.
- The Work of the Plumbing Work Contract includes but is not limited to the Work that is specified in the Project Manual(s) and as shown on the drawings that form the contract plans. The Contractor is directed to examine all drawings since certain details and/or notes may appear anywhere therein that apply to his/her particular work. This prime contract is defined as, and includes, all Sections in the Divisions indicated by reference, and specific Sections noted:
  - 1. Division 0 Procurement and Contracting Requirement, All Sections.
  - 2. Division 1 General Requirements All Sections, including Temporary Facilities indicated
  - 3. Division 2 Existing Conditions All Sections
  - 4. Division 7 "THERMAL AND MOISTURE PROTECTION" As applicable to work of this contract
  - 5. Division 22 "Plumbing" All Sections

## **13.** ADDITIONAL SCOPING

Definition of Extent of Prime Contract Work; Additional Prime Contract Work not previously described.

- a. All Prime Contractors are responsible for reviewing plans and specs in their entirety. As it pertains to their scope of work, scopes of work referenced may be found in multiple locations throughout the plans and specifications.
- b. Local custom and trade union jurisdictional settlements do not control the scope of work included in each prime contract. When a potential jurisdictional dispute or similar interruption of work is first identified or threatened, the affected prime contracts shall promptly negotiate a reasonable settlement to avoid or minimize the pending interruption and delays.
- c. All OSHA safety and hazardous materials regulations will be enforced on this project. All Contractors must submit a safety program, a hazardous materials program, (all required data must be maintained at the job site) and attend safety meetings. Toolbox talks will be required from each prime contractor weekly when any work is performed that calendar week.
- d. All Contractors are responsible for any debris caused by their work. A daily clean-up and disposal is required by each Contractor for the periods which that Contractor is performing work on site, on a day selected by the Construction Manager. Each trade will assign at least one person to the weekly clean-up; the name of this person is to be submitted to the Construction Manager. Any Contractor not providing personnel will be "back-charged" for labor provided by the Construction Manager.
- e. Multiple Crews: To maintain the project schedule, each Prime Contractor is to provide multiple crews. Each crew is to be furnished with its own supervision, equipment, access and other means necessary to maintain the Project Schedule.
- f. Supervision: The proposed project manager and field superintendent for the project is to have at least five years experience in the proposed position. Each successful bidder shall submit resumes to the Construction Manager for the proposed project manager and field superintendent for the project. This information will be reviewed with the Owner, Architect and Construction Manager for approval. Should the Project Manager and/or Superintendent/Supervisor prove unqualified for the position at any point in the project, the Construction Manager shall issue a letter stating that the person is to be removed from involvement in the project. Action by the Contractor must be made within seven working days of receipt of such letter.
- g. Each prime contractor shall return areas disturbed by their work activities to condition prior to start of work.
- h. Each prime contractor shall maintain within its field office a complete and current set of Contract Documents (including any Addenda, Change Orders, and Modifications thereto), approved shop drawings, samples, color schedules and other data pertinent to the Project.
- i. Each prime contractor is to survey existing work and submit to the Construction Manager a list of damaged areas (i.e. plaster walls, woodwork) prior to commencing work. Any damaged areas not identified prior to the work shall be the responsibility of the Contractor/ Contractors working in that area. Construction Manager shall be provided of photos of existing conditions on file for reference.
- j. Each Contract is required to submit a construction and submittal schedule based on the

milestone dates to the Construction Manager for review and comment no later than 2 weeks after a Notice to Proceed for the work is issued.

- k. Unless a specific item or material is noted as to remain the Owner's property or to become the Contractor's property (or similar words), any material having salvage or reuse value shall be inspected by the Owner. If the Owner wishes to retain this material, it shall be turned over to him on the site where directed. If the Owner designates the material as scrap, it shall become the Construction Manager's property and removed from the site. Material having salvage value shall be carefully removed. If the Construction Manager designates the material as scrap/waste, it shall become the Contractor's property and removed from the site by the contractor. Material having salvage value shall be carefully removed.
- 1. When the building is occupied and fire alarm and safety system work is in progress, the Electrical Contractor shall continuously maintain the existing building's fire alarm and detection system and exit and emergency lighting system or provisions must be made by the Electrical Contractor to provide equivalent safety. Electrical Contractor must notify the CM of any non-operating systems or areas.
- m. All personnel required to be on site shall at all times have all required personnel protective equipment on at all times.
- n. All personnel on site shall at all times have a photo ID displayed where visible. Those without will be removed from site at once. If the same individual fails to have the ID a second time they will be removed from site and not be allowed back on site.

#### 14. TESTING

Required testing and test procedures are indicated under each Division of the Technical Specifications. Other testing shall be performed per generally accepted standards.

The Architect shall reserve the right to require additional information as is deemed necessary to fully evaluate testing results.

The Owner shall employ and pay for an independent testing and inspection agency for testing requirements of their work as assigned by this scope of work. All testing shall be per technical specification requirements The Prime Contractor requiring testing will notify the Construction Manager 48 hours in advance of the required testing to allow for coordination and scheduling. Failure to give sufficient notice will require the prime contractor to pay for alternate testing to satisfy the specification.

## 15. WORK SEQUENCE

- The Work will be conducted to provide the least possible interference to the activities of the Owner's activities and personnel.
- All contract scopes of work in unoccupied areas of work can be performed weekdays from 7:00 AM to 3:30 PM unless otherwise noted. Work cannot be performed in occupied areas or adjacent to without written direction. Work shall be scheduled off-hours, vacations and weekends for occupied areas. A Construction Manager Superintendent must be on site at all times that work is being performed. Second shift is considered after 4:00PM after school is out. For the purpose of the bid, the scopes of work below are assumed to be second, shift, weekends or off shift times and are included with the bid proposal;
  - a. Any work not completed during the summer months
  - b. Punchlist

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## For the purpose of this Bid, Contractors shall include within their schedule, work to be performed on Saturdays between the following weeks with ample crews to maintain schedule.

- June 28<sup>th</sup> 2025 and August 23<sup>th</sup> 2025
- If a contractor fails to maintain the progress as indicated by the milestone schedule by no other fault but its own, and requires overtime to complete the work; the contractor shall make arrangements with the Construction Manager 24 hours in advance and pay for a Construction Manager's superintendent at \$125.00 per hour. In the event that the cause for delay is multicontract, then the costs shall be distributed evenly among contracts. Advise the Construction Manager 48 hours prior to commencing work inside the building.
- Coordination of any utility and/or power interruption must be done with the Construction Manager. Shutdowns must occur during off-hours and on days when the building is not occupied by the owner.
- Construction access to the site shall be limited to those designated for contractor's personnel, equipment and deliveries by the Owner. Contractors' staging, parking and storage shall be coordinated by the Construction Manager.
- Each Contractor shall inspect the site and review the AHERA report on file for the presence of asbestos. Unless otherwise noted, there will be asbestos containing material in place that will require work to take place in the vicinity of, around and/or next to. Each prime contractor that will be working above ceilings, demolishing, in crawl spaces, boiler rooms and all other areas that may contain asbestos per the AHERA report, shall employ "Allied Trades: certified/licensed tradesman as part of the onsite workforce".

## 16. OCCUPANCY REQUIREMENTS

The Prime Contractor shall provide indoor air quality management as specified by the Department of Labor and OSHA for the building, when the building is enclosed, as determined by the Construction Manager.

- a. Provide an exhaust air system for the project indoor areas that could produce fumes, VOC's off-gasses, gasses, dusts, mists, or other emissions.
- b. Exhaust air system for the project areas that could produce emissions listed in Paragraph 1 shall be utilized.
- c. Provide temporary partitions and air seals to prevent the migration of airborne contaminants from unoccupied areas to occupied areas when applicable.

Quality assurance:

- 1) Maintain a negative pressure between the work area and the space surrounding the
- 2) Before start of work, submit a design for the exhaust air system. Do not begin work until approval of the Owner is obtained.
  - a) The number of machines required.
- 3) Location of the machines in the work space.
- 4) Description of the methods used to test air flow and pressure differential.

System operation:

1) A sufficient quantity of exhaust fans in existing window openings or other approved locations shall be operated in accordance with the following applicable standards.

- 2) Exhaust air system shall operate for a minimum of 72 hours after work is completed, or until all materials have cured sufficiently as to stop out gassing of fumes or odors and area has been ventilated to remove all detectable traces of odors and fumes.
- 3) Maintain twenty-five (25) feet clearance from all temporary exhaust outlets to all active building outdoor air intakes.

## **17. PROJECT MILESTONE SCHEDULE**

See the milestone schedule attached

1. All Prime Contractors are required to submit a schedule based on the milestone dates to the Construction Manager for review and comment no later than 10 days after a Notice to Proceed for the work is issued.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

END OF SECTION

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EQUIVALENT PROCEDURES

012519 - 1

#### SECTION 012519 EQUIVALENT PROCEDURES

#### PART 1 GENERAL

#### 1.1 SUMMARY

A. Requirements set forth herein pertain to products specified in divisions included in project manual.

#### **1.2 DEFINITIONS**

- A. For the purpose of this contract, the words "similar", "equal to", "or equal", "equivalent" and such other words of similar content and meaning, shall be deemed to mean similar and equal to one of named and/or specified products.
- B. For the purpose of bidding documents, the word "products" shall be deemed to include the words "articles", "materials", "items", "equipment" and "methods". Whenever in contract documents one or more products are specified, words "similar, equivalent, and equal to" shall be deemed inserted.

#### **1.3 EQUIVALENTS**

- A. Where, in these specifications or on drawings, certain kinds, types, brands, or manufacturers of materials are named, they shall be regarded as the required standard of quality. Where two or more are named these are presumed to be equal, and Contractor may select one of those items.
- B. If Contractor desires to use any kind, type, brand, or manufacturer of material other than those named in specification, he or she may submit the request for approval to the Architect well in advance of the bid date.
- C. Requests for approval of proposed equivalents will be received by Architect only from a Prime Contractor.
- D. If the Architect approves a proposed equivalent prior to receipt of Bids, such approval will be set forth in an Addendum.
- E. After the bid opening the apparent low bidder or bidders will be notified by the Architect and shall submit to the Architect in writing, within (10) ten calendar days what equivalent kind, type, brand, or manufacturer is included in bid in lieu of specified items. No equivalents will be considered after this submission.
- F. Contractor shall have burden of proving, at Contractor's own cost and expense, to satisfaction of Owner/Architect, that proposed product is similar and equal to named product. In making such determination Owner/Architect will be sole judge of objective and appearance criteria that proposed product must meet in order for it to be approved.
  - 1. Supporting data on equivalency is responsibility of bidder. For each equivalent to base specification, included in products list, submit information describing in specific detail.
    - a. Wherein it differs from quality and performance required by base specification.
    - b. Changes required in other elements of work because of equivalent.
    - c. Effect on construction schedule.
    - d. Any required license fees or royalties.

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- e. Availability of maintenance service, and source of replacement materials.
- f. Such other information as may be required by Owner.
- G. Owner, through Architect, shall be judge of acceptability of proposed equivalents. Risk of whether bid equivalents will be accepted is borne by Contractor.

## **1.4 CONTRACTOR'S REPRESENTATION**

- A. Submission of an equivalent product and/or material constitutes a representation that Contractor:
  - 1. Has investigated proposed product and determined it is equal to or superior in all respects to that specified.
  - 2. Will provide same warranties or bonds for equivalent as for product specified.
  - 3. Will coordinate installation of an accepted equivalent into work and make such other changes as may be required to make work complete in all respects.
  - 4. Waives all claims for additional costs, under his responsibility, which may subsequently become apparent.
  - 5. Will provide, at own cost and expense, any different quantity and/or arrangement of ductwork, piping, wiring, conduit or any part of work from that specified, detailed or indicated in Contract Documents if required for proper installation of an approved equivalent.
  - 6. Will provide, at own cost and expense, all such revision and redesign and all new drawings and details required by Architect for approval if proposed equivalent product requires a revision or redesign of any part of work covered by this contract.
  - 7. Provide complete documentation on both the product specified and the proposed substitute, including the following information as appropriate:
    - a. Point-by-point comparison of specified and proposed substitute product data, fabrication drawings, and installation procedures.
    - b. Copies of current, independent third-party test data of salient product or system characteristics.
    - c. Samples where applicable or when requested by Architect.
    - d. Detailed comparison of significant qualities of the proposed substitute with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
    - e. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - f. Research reports, where applicable, evidencing compliance with building code in effect for Project, from Building Code of New York State.
    - g. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, which will become necessary to accommodate the proposed substitute.
  - 8. Provide certification by manufacturer that the substitute proposed is equal to or superior to that required by the Procurement and Contracting Documents, and that its in-place performance will be equal to or superior to the product or equipment specified in the application indicated.

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EQUIVALENT PROCEDURES

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9. Bidder, in submitting the Procurement Substitution Request, waives the right to additional payment or an extension of Contract Time because of the failure of the substitute to perform as represented in the Procurement Substitution Request.

## **1.5 EQUIVALENT CERTIFICATION**

A. Contractor must sign the "Equivalent Certification Form" following this specification section and deliver it to the Architect along with a complete list of proposed equivalents within ten (10) calendar days after notification from the Architect or Owner. This is mandatory and must be done prior to award of contracts.

#### PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## **END OF SECTION**

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EQUIVALENT PROCEDURES

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EQUIVALENT CERTIFICATION FORM
Project Name:
Project Address:
Project No.:
REVIEWED MATERIAL:
AIA A701-2018 Instructions to Bidders
AIA A201-2017 General Conditions of the Contract
Specification Section: 012500 - Substitutions Procedures
Specification Section: 012519 - Equivalent Procedures
Specification Section: 016000 - Product Requirements
CHECK THE FOLLOWING THAT APPLIES:
<ul> <li>No equivalents are proposed.</li> <li>Proposed equivalents are attached with supporting data as per Section 012519.</li> </ul>
Troposed equivalents are attached with supporting data as per section 012517.
ALL EQUIVALENTS ARE HEREBY PRESENTED TO ARCHITECT AND OWNER FOR APPROVAL. NO FUTURE EQUIVALENTS WILL BE CONSIDERED.
Contractor Signature:
Printed Name of Contractor:
Date:
Signature of Reviewer:
Printed Name of Reviewer:

Approved as Noted Date:

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CONTRACT MODIFICATION PROCEDURES

012600 - 1

## SECTION 012600 CONTRACT MODIFICATION PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Division 01 Section "Product Requirements" for administrative procedures for handling requests for substitutions made after Contract award.

#### 1.2 NO COST CHANGES IN THE WORK

A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on the Information Bulletin form bound in section 006000 of this Project Manual.

#### **1.3 PROPOSAL REQUESTS**

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - 1. Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
  - 2. Within time specified in Proposal Request or (10) ten days, when not otherwise specified, after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
    - c. Include costs of labor and supervision directly attributable to the change.
    - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship.
- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.
  - 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.

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CONTRACT MODIFICATION PROCEDURES

012600 - 2

- 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
- 4. Include costs of labor and supervision directly attributable to the change.
- 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times.
- 6. Comply with requirements in Division 01 Section "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.

## **1.4 ADMINISTRATIVE CHANGE ORDERS**

- A. Adjustment from Allowances: Refer to Division 01 Section "Allowances" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect actual costs of allowances.
- B. Adjustments from Unit Prices: Refer to Division 01 Section "Unit Prices" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect measured scope of unit price work.

## **1.5 CHANGE ORDER PROCEDURES**

A. On Owner's approval of a Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on the Information Bulletin form bound in section 006000 of this Project Manual.

#### **1.6 CONSTRUCTION CHANGE DIRECTIVE**

- A. Construction Change Directive: Architect may issue a Construction Change Directive on the Information Bulletin form bound ins section 006000 of this Project Manual.
  - 1. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
    - a. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
  - 2. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
    - a. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

#### PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## END OF SECTION 012600

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R25.17697.00 PROJECT MANAGEMENT AND COORDINATION

013100 - 1

## SECTION 013100 PROJECT MANAGEMENT AND COORDINATION

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. General project coordination procedures.
  - 2. Administrative and supervisory personnel.
  - 3. Coordination drawings.
  - 4. Requests for Information (RFIs).
  - 5. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.
- C. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Division 01 Section " Summary" for Project Information and phasing requirements
  - 3. Division 01 Section "Multiple Contract Summary" for a description of the division of work among separate contracts and responsibility for coordination activities not in this Section.
  - 4. Division 01 Section "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
  - 5. Division 01 Section "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
  - 6. Division 01 Section "Closeout Procedures" for coordinating closeout of the Contract.

#### **1.2 DEFINITIONS**

A. RFI: Request from Owner, Architect, or Contractor seeking information from each other during construction.

#### **1.3 INFORMATIONAL SUBMITTALS**

- A. Use the Architect's Newforma Info Exchange when uploading Submittals.
- B. Subcontractor List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Use Subcontractor List form bound into 006000 of this Project Manual. Include the following information in tabular form:
  - 1. Name, address, and telephone number of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.
  - 4. Pursuant to New York State Department of Labor requirements, submit a copy of the Contractor(s), Contractor Certificate of Registration prior to **any** work being performed.

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- C. Key Personnel Names: Within (15) fifteen days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.
- D. Emergency Telephone Numbers: Each Contractor shall, at the Contractor's own expense, furnish to the Architect and Construction Manager, an emergency phone number list for 24-hour, emergency contact, during the entire construction period. Include numbers for office phones and mobile phones as applicable.
  - 1. This list shall include, but not be limited to, the following:
    - a. Contractor's office representative.
    - b. Contractor's field superintendent.
    - c. Contractor's field foreman.
    - d. Owner's office representative.
    - e. Owner's 24-hour emergency number.
    - f. Project Engineer's office representative.
    - g. Project Architect.
    - h. Construction Manager's office representative.
    - i. Construction Manager's field superintendent.
    - j. Utility companies including, but not limited to: natural gas, water, electric, sewer, oil, telecommunications, etc.
    - k. Any other involved agencies.
  - 2. Contractor shall add names and numbers, or remove names and numbers, as Project progresses and re-submit to Architect in a timely manner.
  - 3. Emergency phone list shall be submitted and considered acceptable to Architect prior to the start of construction.
  - 4. List shall be neatly typed or word processed and submitted to the Architect on both 8-1/2" x 11" paper and as a digital PDF.

#### **1.4 COORDINATION**

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections, that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Coordination: Each contractor shall coordinate its construction operations with those of other contractors and entities to ensure efficient and orderly installation of each part of the Work. Each contractor shall coordinate its operations with operations, included in different Sections that depend on each other for proper installation, connection, and operation.

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- 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
- 2. Coordinate installation of different components with other contractors to ensure maximum performance and accessibility for required maintenance, service, and repair.
- 3. Make adequate provisions to accommodate items scheduled for later installation.
- C. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
  - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- D. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  - 1. Preparation of Contractor's construction schedule.
  - 2. Preparation of the schedule of values.
  - 3. Installation and removal of temporary facilities and controls.
  - 4. Delivery and processing of submittals.
  - 5. Progress meetings.
  - 6. Preinstallation conferences.
  - 7. Project closeout activities.
  - 8. Startup and adjustment of systems.
  - 9. Project closeout activities.
- E. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.

#### **1.5 KEY PERSONNEL**

- A. Key Personnel Names: Within (5) five days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, and cellular telephone numbers and email addresses. Provide names, addresses, and telephone numbers of individuals assigned as standbys in the absence of individuals assigned to Project.
  - 1. Post copies of list in project meeting room, or temporary office, and by field telephone.

## 1.6 REQUESTS FOR INFORMATION (RFI)

- A. General: Immediately on discovery of the need for additional information or interpretation of the Contract Documents, Contractor shall prepare and submit to the Architect, an RFI in the form specified.
  - 1. Do not submit an RFI if information is readily available in the contract documents. Verify by contacting and questioning the Architect prior to submitting an RFI.
    - a. Architect will return with no response, any RFI where information is available to the contractor and is indicated in the Contract Documents.

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- 2. Architect will return RFI's submitted to Architect by other entities controlled by Contractor with no response.
- 3. Coordinate and submit RFI's in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
  - 1. Project name.
  - 2. Project number.
  - 3. Name of Contractor.
  - 4. Name of Architect.
  - 5. RFI number, numbered sequentially.
  - 6. RFI subject.
  - 7. Specification Section number and title and related paragraphs, as appropriate.
  - 8. Drawing number and detail references, as appropriate.
  - 9. Field dimensions and conditions, as appropriate.
  - 10. Contractor's suggested resolution. If Contractor's solution(s) impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  - 11. Contractor's signature.
  - 12. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
    - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. RFI's sent without the required content information will not be considered a formal RFI and will be returned to the Contractor without comment or response.
- D. RFI Forms: Use Request For Information form bound in section 006000 of this Project Manual.
- E. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow (7) seven working days for Architect's response for each RFI. RFI's received by Architect after 1:00 p.m. will be considered as received the following working day.
  - 1. The following RFI's will be refused without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for information already indicated in the Contract Documents.
    - d. Requests for adjustments in the Contract Time or the Contract Sum.
    - e. Requests for interpretation of Architect's actions on submittals.
    - f. Incomplete RFI's or inaccurately prepared RFI's.
  - 2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt of additional information.
  - Architect's action on RFI's that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit a Change Proposal according to Division 01 Section "Contract Modification Procedures."
    - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within (10) ten days of receipt of the RFI response.

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- F. RFI Log: Prepare, maintain, and submit a tabular log of RFI's organized by the RFI number. Submit log weekly. Use CSI Log Form 13.2B. Include the following:
  - 1. Project name.
  - 2. Name and address of Contractor.
  - 3. Name and address of Architect.
  - 4. RFI number including RFI's that were dropped and not submitted.
  - 5. RFI description.
  - 6. Date the RFI was submitted.
  - 7. Date Architect's response was received.
  - 8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  - 9. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.
- G. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within (7) seven days if Contractor disagrees with response.
  - 1. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  - 2. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.

#### **1.7 ARCHITECT'S WEBSITE**

- A. The contractor will use Newforma Info Exchange for Submittals, Shop Drawings and RFI's. Project Web site shall include the following functions:
  - 1. Project directory.
  - 2. Project correspondence.
  - 3. Meeting minutes.
  - 4. Contract modifications forms and logs.
  - 5. RFI forms and logs.
  - 6. Task and issue management.
  - 7. Photo documentation.
  - 8. Schedule and calendar management.
  - 9. Submittals forms and logs.
  - 10. Payment application forms.
  - 11. Drawing and specification document hosting, viewing, and updating.
  - 12. Online document collaboration.
  - 13. Reminder and tracking functions.
  - 14. Archiving functions.
- B. Upon completion of Project, provide (1) one complete archive copy(ies) of Project Web site files to Owner and to Architect in a digital storage format acceptable to Architect.
- C. Contractor, subcontractors, and other parties granted access by Contractor to Project Web site shall execute a data licensing agreement in the form of AIA Document C106.

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## **1.8 PROJECT MEETINGS**

- A. General: Architect will schedule and conduct meetings and conferences at Project site, unless otherwise indicated.
  - 1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times. All Prime Contractors are required to attend Project Meetings.
  - 2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
  - 3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner, within (3) three days of the meeting.
- B. Preconstruction Conference: Architect will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than (15) fifteen days after execution of the Agreement.
  - 1. Conduct the conference to review responsibilities and personnel assignments.
  - 2. Attendees: Authorized representatives of Owner, Architect, and Architect's Consultants; Contractors and their superintendents; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to decide matters relating to the Work.
  - 3. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Tentative construction schedule.
    - b. Phasing.
    - c. Critical work sequencing and long-lead items.
    - d. Designation of key personnel and their duties.
    - e. Sub-Contractors and their responsibilities.
    - f. Coordination among all contractors.
    - g. All insurance requirements in force.
    - h. Procedures for project communications.
    - i. Procedures for processing field decisions and Change Orders.
    - j. Procedures for RFI's using Newforma Info Exchange.
    - k. Testing and inspecting requirements.
    - 1. Procedures for processing Applications for Payment.
    - m. Distribution of the Contract Documents.
    - n. Schedule of Values.
    - o. Schedule of submittals, including submittal log.
    - p. Submittal procedures using Newforma Info Exchange.
    - q. Preparation and updating of record documents.
    - r. Use of the premises.
    - s. Work restrictions.
    - t. Working hours.
    - u. Owner's occupancy requirements and restrictions.
    - v. Responsibility for temporary facilities and controls.
    - w. Procedures for moisture and mold control.
    - x. Procedures for disruptions and shutdowns.
    - y. Construction waste management and recycling.

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- z. Use of Site and parking availability.
- aa. Field office, work, and storage areas.
- bb. Equipment deliveries and priorities.
- cc. Safety and first aid procedures.
- dd. Security.
- ee. Progress cleaning.
- 4. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Architect shall conduct a preinstallation conference at Project site, unless otherwise indicated, before each construction activity that requires coordination with other construction.
  - 1. Attendees: Installer and representatives of manufacturers and fabricators involved in, or affected by, the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise all parties involved of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. Contract Documents.
    - b. Options.
    - c. Related RFI's.
    - d. Related Change Orders.
    - e. Purchases.
    - f. Deliveries.
    - g. Submittals.
    - h. Review of mockups.
    - i. Possible conflicts.
    - j. Compatibility problems.
    - k. Time schedules.
    - l. Weather limitations.
    - m. Manufacturer's written recommendations.
    - n. Warranty requirements.
    - o. Compatibility of materials.
    - p. Acceptability of substrates.
    - q. Temporary facilities and controls.
    - r. Space and access limitations.
    - s. Regulations of authorities having jurisdiction.
    - t. Testing and inspecting requirements.
    - u. Installation procedures.
    - v. Coordination with other work.
    - w. Required performance results.
    - x. Protection of adjacent work.
    - y. Protection of construction and personnel.
  - 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 4. Reporting: The entity recording meeting minutes shall distribute them to each party present and to other parties requiring information.

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- 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Progress Meetings: Architect will conduct progress meetings at weekly intervals.
  - 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Required Attendees: In addition to representatives of Owner and Architect, each Prime contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to decide matters relating to the Work.
  - 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
      - 1) Review schedule for next period.
    - b. Review present and future needs of each entity present, including the following:
      - 1) Interface requirements.
      - 2) Sequence of operations.
      - 3) Status of submittals.
      - 4) Deliveries.
      - 5) Off-site fabrication.
      - 6) Access.
      - 7) Site utilization.
      - 8) Temporary facilities and controls.
      - 9) Progress cleaning.
      - 10) Status of correction of deficient items.
      - 11) Field observations.
      - 12) Status of RFI's.
      - 13) Status of proposal requests.
      - 14) Pending changes.
      - 15) Status of Change Orders.
  - 4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
    - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- E. Coordination Meetings: Architect will conduct Project coordination meetings at weekly intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.

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- 1. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meetings shall be familiar with Project and authorized to conclude matters relating to the Work.
- 2. Agenda: Review and correct or approve minutes of the previous coordination meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
  - Combined Contractor's Construction Schedule: Review progress since the last coordination meeting. Determine whether each contract is on time, ahead of schedule, or behind schedule, in relation to combined Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
  - b. Schedule Updating: Revise combined Contractor's construction schedule after each coordination meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with report of each meeting.
  - c. Review present and future needs of each contractor present, including the following:
    - 1) Interface requirements.
    - 2) Sequence of operations.
    - 3) Status of submittals.
    - 4) Deliveries.
    - 5) Off-site fabrication.
    - 6) Access.
    - 7) Site utilization.
    - 8) Temporary facilities and controls.
    - 9) Work hours.
    - 10) Hazards and risks.
    - 11) Progress cleaning.
    - 12) Quality and work standards.
    - 13) Change Orders.
- 3. Reporting: Entitiv recording meeting minutes shall distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.
- F. Project Closeout Meeting: Architect will schedule and conduct a Project Closeout Meeting, at a time convenient to Owner and Architect, but no later than (30) thirty days prior to the scheduled date of Substantial Completion.
  - 1. Conduct the conference to review requirements and responsibilities related to Project closeout.
  - 2. Required Attendees: Authorized representatives of Owner and Architect; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
    - a. Preparation and completion of Contractor's punch list.

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- b. Responsibility for removing temporary facilities and controls.
- c. Owner's partial occupancy requirements.
- d. Coordination of separate contracts for owner related work prior to occupancy.
- e. Installation of Owner's furniture, fixtures, and equipment.
- f. Requirements for preparing operations and maintenance data.
- g. Requirements for the Submittal of written warranties.
- h. Requirements for demonstration and training.
- i. Requirements for submission of record documents, record specifications and record submittals.
- j. Responsibility and schedule for final cleaning
- k. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
- 4. Minutes: Entity conducting meeting will record and distribute meeting minutes.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## END OF SECTION 013100

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## SECTION 013200 CONSTRUCTION PROGRESS DOCUMENTATION

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Start-up construction schedule.
  - 2. Contractor's construction schedule.
  - 3. Daily construction reports.
  - 4. Field condition reports.
  - 5. Special reports.
- B. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Division 01 Section "Multiple Contract Summary" for preparing a combined Contractor's Construction Schedule.
  - 3. Division 01 Section "Submittal Procedures" for submitting schedules and reports.

## **1.2 INFORMATIONAL SUBMITTALS**

- A. Format for Submittals: Submit required submittals in the following format(s):1. Electronic PDF files.
- B. Start-up construction schedule.
- C. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
- D. Daily Construction Reports: Submit at weekly intervals.
- E. Field Condition Reports: Submit at time of discovery of differing conditions.
- F. Special Reports: Submit at time of unusual event.

#### **1.3 QUALITY ASSURANCE**

- A. Prescheduling Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination". Review methods and procedures related to the preliminary construction schedule and Contractor's construction schedule, including, but not limited to, the following:
  - 1. Review software limitations and content and format for reports.
  - 2. Verify availability of qualified personnel needed to develop and update schedule.
  - 3. Discuss phasing.
  - 4. Review delivery dates for Owner-furnished products.
  - 5. Review schedule for work of Owner's separate contracts.
  - 6. Review time required for review of submittals and resubmittals.

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- 7. Review requirements for tests and inspections by independent testing and inspecting agencies.
- 8. Review time required for completion and startup procedures.
- 9. Review and finalize list of construction activities to be included in schedule.
- 10. Review submittal requirements and procedures.
- 11. Review procedures for updating schedule.

## **1.4 COORDINATION**

- A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.
  - 1. Secure time commitments for performing critical elements of the Work from entities involved.
  - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

## **PART 2 PRODUCTS**

## 2.1 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Time Frame: Extend schedule from date established for commencement of the Work to date of Substantial Completion.
  - 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:
  - 1. Activity Duration: Define activities and days
  - 2. Procurement Activities: Include procurement process activities for long lead items and major items, requiring a cycle of more than (60) sixty days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
  - 3. Submittal Review Time: Include review and resubmittal times indicated in Division 01 Section "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
  - 4. Startup and Testing Time: Include not less than (15) fifteen days for startup and testing.
  - 5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion and allow time for Architect's administrative procedures necessary for certification of Substantial Completion.
  - 6. Punch List and Final Completion: Include not more than (30) thirty days for punch list and final completion.
- C. Schedule Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
  - 1. Phasing: Arrange list of activities on schedule by phase.
  - 2. Work under More Than One Contract: Include a separate activity for each contract.
  - 3. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.

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- 4. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Division 01 Section "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
- 5. Owner-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Division 01 Section "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
- 6. Work Restrictions: Show the effect of the following items on the schedule:
  - a. Coordination with existing construction.
  - b. Limitations of continued occupancies.
  - c. Uninterruptible services.
  - d. Partial occupancy before Substantial Completion.
  - e. Use of premises restrictions.
  - f. Provisions for future construction.
  - g. Seasonal variations.
  - h. Environmental control.
- 7. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
  - a. Submittals.
  - b. Purchases.
  - c. Mockups.
  - d. Sample testing.
  - e. Deliveries.
  - f. Installation.
  - g. Tests and inspections.
  - h. Adjusting.
  - i. Startup and placement into final use and operation.
- 8. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
  - a. Structural completion.
  - b. Permanent space enclosure.
  - c. Completion of mechanical installation.
  - d. Completion of electrical installation.
  - e. Substantial Completion.
- D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Substantial Completion, and Final Completion and any defined interim milestones.
- E. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
  - 1. Unresolved issues.
  - 2. Unanswered RFI's.
  - 3. Rejected or unreturned submittals.
  - 4. Notations on returned submittals.

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- F. Recovery Schedule: When periodic update indicates the Work is (14) fourteen or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance, and date by which recovery will be accomplished.
- G. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

## 2.2 START-UP CONSTRUCTION SCHEDULE

- A. Bar-Chart Schedule: Submit start-up horizontal bar-chart-type construction schedule within (7) seven days of date established for approval. Schedule to start from the Notice of Award.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Outline significant construction activities for first (90) ninety days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.

## 2.3 CONTRACTOR'S CONSTRUCTION SCHEDULE (GANTT CHART)

A. Gantt-Chart Schedule: From the approved Bar Chart Schedule submit a comprehensive, fully developed, horizontal, Gantt-chart-type, Contractor's construction schedule within (30) thirty days Base schedule on the approved startup construction schedule and additional information received since the start of Project.

#### 2.4 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
  - 1. List of Prime contractors at Project site.
  - 2. List of subcontractors at Project site.
  - 3. Approximate count of personnel at Project site.
  - 4. Equipment at Project site.
  - 5. Material deliveries.
  - 6. High and low temperatures and general weather conditions, including presence of rain or snow.
  - 7. Accidents.
  - 8. Meetings and significant decisions.
  - 9. Unusual events (refer to special reports).
  - 10. Stoppages, delays, shortages, and losses.
  - 11. Meter readings and similar recordings.
  - 12. Emergency procedures.
  - 13. Orders and requests of authorities having jurisdiction.
  - 14. Change Orders received and implemented.
  - 15. Construction Change Directives received and implemented.
  - 16. Services connected and disconnected.
  - 17. Equipment or system tests and startups.

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- 18. Partial completions and occupancies.
- 19. Substantial Completions authorized.
- B. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

## 2.5 SPECIAL REPORTS

- A. General: Submit special reports directly to Owner within (1) one day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

## PART 3 EXECUTION

## 3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE

- A. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
  - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
  - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  - 3. As the Work progresses, indicate final completion percentage for each activity.
- B. Distribution: Distribute copies of approved schedule to Owner, Architect, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
  - 1. Post copies in Project meeting rooms and temporary field offices.
  - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

## END OF SECTION 013200

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SUBMITTAL PROCEDURES

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## SECTION 013300 SUBMITTAL PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.
- B. This specification describes the procedures for submission of submittals and shop drawings using the Architect's Newforma Info Exchange.
  - 1. The Contractor will be required to use the Architect' Newforma Info Exchange for the transfer of Submittals, Shop Drawings and RFI's. There will be <u>no exceptions</u> to this requirement. The contractor will be given a login and password free of charge. For more information follow the procedure below.
    - a. Information and instructions for use are available for review by the Contractor by contacting CPL. The Contractor is to provide an email address for the file to be sent. A PDF file will be emailed to the requesting contractor.
- C. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Section 012900 Payment Procedures, for submitting Applications for Payment and the schedule of values.
  - 3. Section 013100 Project Management and Coordination, for submitting coordination drawings and subcontract list and for requirements for web-based Project software.
  - 4. Section 013200 Construction Progress Documentation, for submitting schedules and reports, including Contractor's construction schedule.
  - 5. Section 014000 Quality Requirements, for submitting test and inspection reports, and schedule of tests and inspections.
  - 6. Section 017700 Closeout Procedures, for submitting closeout submittals and maintenance material submittals.
  - 7. Section 017823 Operation and Maintenance Data, for submitting operation and maintenance manuals.
  - 8. Section 017839 Project Record Documents, for submitting record Drawings, record Specifications, and record Product Data.
  - 9. Section 017900 Demonstration and Training, for submitting video recordings of demonstration of equipment and training of Owner's personnel.

## **1.2 DEFINITIONS**

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals".
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals".

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C. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.

## **1.3 DELEGATED-DESIGN SERVICES**

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file of certificate, signed and sealed by the responsible design professional, licensed in the state of project location, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

## **1.4 SUBMITTAL GENERAL ADMINISTRATIVE REQUIREMENTS**

- A. The Contractor shall prepare a Submittal Log containing the information required to be submitted under the Submittal article from each respective Specification Section. With each item listed the Contractor shall provide anticipated dates for submission to the Architect. The Architect will review and accept or request that corrections be made for subsequent acceptance. This acceptance will constitute an approval for the submittal, shop drawings and sample submissions to commence. <u>No Submittals or Shop Drawings will be reviewed by the Architect until an approved Submittal Schedule is in place.</u>
- B. The contractor shall prepare expected submittals in Newforma Info Exchange that correspond to all submittals listed on the submittal schedule at the time of submission of the submittal log. These expected submittals are to follow the naming conventions laid out in article "1.5 Submittal Schedule" and "1.6 Submittal Identification" of this specification section.
- C. The Contractor is responsible for all costs for creating electronic files for the submittal process. The Architect will not provide this service.
  - 1. The Submittal Cover Sheet, located in Specification Section 006000 Project Forms, shall be used for all Submittals.
    - a. An electronic form of the submittal cover is available upon request from the Architect.
  - 2. The Submittal Cover sheet when scanned to a PDF shall be the first page viewed in the individual file.
    - a. Each product submitted within a specification section shall have a Submittal Cover sheet attached. Combined submittals with one cover page will not be accepted
    - b. Each Submittal Cover sheet shall be filled in completely. <u>Files that are sent with the</u> <u>Submittal Cover Sheet missing or not filled in correctly will not be reviewed.</u> The Architect will send a notice that the submittal is missing information. If the Contractor fails to correct or provide the proper submittal within (15) fifteen days, notice will be provided, and the submittal will be REJECTED.

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- 3. The Contractor(s) will be provided with a link to upload files to the Newforma Info Exchange. The site address and a "log in" will be provided to the Contractor(s) free of charge.
- 4. A read-only Record Submittal Log and RFI Log will be available from the Newforma Info Exchange for the Contractor's reference in checking the status of the submittals and shop drawings.
- D. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
  - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
  - 4. Coordinate transmittals of different types of submittals from related sections for parts of the work so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until all related submittals are received. Delays associated with the above are not the Architects responsibility and rests solely with the Contractor.
- E. Architect's Digital Data Files:
  - 1. Architect will not furnish Contractor with digital drawings for the preparation of shop drawings.
  - 2. The Architect will provide digital PDF's of Contract Drawings for the purpose of producing project record drawings.
    - a. Contract documents are graphic representations of approximate locations of materials. Therefore, information contained within these files should not be assumed to be accurate and users of the Files accept full responsibility for verifying the accuracy and completeness of the Files with field conditions and the contract documents.
  - 3. Document Transfer Agreement For Projects where Architect's work files are not a deliverable: The Contractor shall execute an Electronic Document Transfer Agreement for all electronic transfers of files, other than PDF's. The contractor must provide acknowledgement, accept the information regarding drawings, ownership and Limitations of Liability. Electronic Document Transfer Agreement form is bound into section 006000 of this Project Manual.
    - a. The following plot files will by furnished for each appropriate discipline:
      - 1) Floor plans.
      - 2) Reflected ceiling plans.

## **1.5 SUBMITTAL SCHEDULE**

A. Submittal Schedule: Submit, as an action submittal, a list of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.

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- 1. Submit a preliminary, if not final, Submittal Schedule for approval a minimum of (15) fifteen days after award of contract. Failure to submit a submittal schedule within the required time frame will result in the refusal by the Architect to review any submittals. Delays associated with failure to receive the Submittal Schedule are not the Architect's responsibility and rest solely with the Contractor.
- B. The information is required to be submitted under the Submittal article from each respective Specification Section. With each item listed, the Contractor shall provide anticipated dates for submission to the Architect. The Architect will review and accept or request that corrections be made for subsequent acceptance. This acceptance will constitute a review for the submittal, shop drawings and sample submissions may commence. No Submittals or Shop Drawings will be reviewed by the Architect until an approved Submittal Schedule is in place.
  - 1. The Submittal Schedule shall be coordinated with the overall Project Schedule to ensure that submittals are submitted and reviewed so as not to delay the Project Schedule.
  - 2. The Architect will not be responsible for ensuring that all required Shop Drawings, Product Data, Samples or similar submittals that are required to be submitted and reviewed under the Contract Documents are submitted by the Contractor. Submissions of Shop Drawings, Product Data, Samples or similar submittals are the Contractor's sole responsibility. Delays associated with the Contractor's failure to provide the required submittals are the Contractor's responsibility.
  - 3. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
  - 4. Initial Submittal Schedule: Submit concurrently with startup construction schedule. Include submittals required during the first (30) thirty days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
  - 5. Final Submittal Schedule: Submit concurrently with the first complete submittal of Contractor's construction schedule.
    - a. Submit revised submittal schedule as required to reflect changes in current status and timing for submittals.
  - 6. Format: Arrange the following information in a tabular format:
    - a. Scheduled date for first submittal.
    - b. Specification Section number and title.
    - c. Submittal Category: Action; informational.
    - d. Name of subcontractor.
    - e. Description of the Work covered.
    - f. Scheduled date for Architect's final release or approval.
    - g. Scheduled dates for purchasing.
    - h. Scheduled date of fabrication.
    - i. Scheduled dates for installation.

#### **1.6 SUBMITTAL IDENTIFICATION**

A. Submittal Cover Sheet: Attach one cover sheet for each product, shop drawing or sample.
 <u>DO NOT</u> combine submittals together with one cover sheet for multiple items. They will not be reviewed.

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- B. Submittal Information: Include the following information in each submittal. Use the submittal cover form found in specification section 006000 Project Forms. An electronic form can be sent to the contractor upon request.
  - 1. Contractor, Address, Phone/fax and or Email
  - 2. Contractors Submittal Number.
  - 3. Architects Project Number (if not filled in by the Architect).
  - 4. Project Name (if not filled in by the Architect).
  - 5. Type of submittal being sent (select box).
  - 6. Product Identification including the following: Provide one submittal cover sheet for each product within a specification section.
    - a. Specification Section Number.
    - b. Contract Drawing Number.
    - c. Product Name.
    - d. Specification Reference: Part/Paragraph.
    - e. Detail Reference.
    - f. Manufacturer.
  - 7. Contractors Approval: The contractor must acknowledge that they have reviewed the submittal for conformance with the Contract Documents and must sign and date the approval.
  - 8. Deviation from the Contract Documents: Where the submittal may not meet all of the requirements of the specified item. The contractor must indicate how the submitted item differs from the specified item.
  - 9. Contractor Comments: Any additional comments by the contractor should be indicated in this space. (Provide an attachment sheet for any other information required that will not fit on the cover sheet).
- C. Deviations and Additional Information: On each individual submittal, clearly indicate deviations from requirements in the Contract Documents, including minor variations and limitations; include relevant additional information, revisions, line by line comparison and other information requested by Architect. Indicate by highlighting on each submittal or noting on attached separate sheet. Identify options requiring selection by Architect.
- D. File Naming (for uploading to Newforma Info Exchange): Each submittal or shop drawing file uploaded to the project on the Newforma Info Exchange, shall have in the file name, the specification section number followed by the submittal number, the submittal abbreviation and the specification section name. For re-submissions an R1 would be added following submittal number. The file name must include the following information:

Example:	
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081416	001	PD	Flush	Wood Doors
Spec Section	Submitte	al No. S	Submittal Abbr	Specification Name

File to Read: 081416-001 PD - Flush Wood Doors

Re-submission to Read: 081416-001-R1 PD - Flush Wood Doors

Submittal Abbreviations required to be used in the file name on submittals are as follows:

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- CD Coordination Drawings
- CERT Certification(s)
- CLC Calculations
- DD Design Data
- EJ Engineer's Judgement
- LEED LEED or PD/LEED
- O&M Operations and Maintenance Manuals
- PD Product Data
- PHOTO Photo
- QD Qualification Data
- RPT Report
- SAMP Sample
- SCH Schedule
- SEL Make A Selection
- SD Shop Drawing(s)
- STDY Study
- TR Test Results
- WAR Warranty
- E. When uploading submittals or RFI's to the Newforma Info Exchange, complete the online transmittal. The information required is derived from the Contractor's submittal cover sheet or RFI. Instructions for using the Newforma Info Exchange are available from CPL. These instructions can be emailed to the contractor.

## 1.7 SUBMITTAL DATA AND TESTING REQUIREMENTS

- A. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment. Each product within a specification section shall have a separate submittal cover.
  - 1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
  - 2. Mark each copy of each submittal to show which products and options are applicable. Send full submittals for each product. Partial submittals will not be reviewed until all required submittal information is received. The Architect will not be responsible for project delays due to the Contractor's failure to submit the required submittal information in a complete package.
  - 3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.

- e. Testing by recognized testing agency.
- f. Application of testing agency labels and seals.
- g. Notation of coordination requirements.
- h. Availability and delivery time information.
- 4. For equipment, include the following in addition to the above, as applicable:
  - a. Wiring diagrams that show factory-installed wiring.
  - b. Printed performance curves.
  - c. Operational range diagrams.
  - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
- 5. Submit Product Data before Shop Drawings, and before or concurrently with Samples.
- B. Shop Drawings: Prepare project-specific information for each shop drawing. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data, unless submittal based on Architect's digital data drawing files is otherwise permitted.
  - 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Description any conflicts with other trades.
    - h. Seal and signature of professional engineer if specified.
- C. Samples: Submit Samples for review of type, color, pattern, and texture for a check of these characteristics with other materials.
  - 1. Transmit Samples that contain multiple, related components, such as accessories together in one submittal package. If samples are delivered with product data, only the samples will be reviewed. The Product Data must be uploaded to the Newforma Info Exchange. A duplicate submittal cover sheet is to be uploaded to the Newforma Info Exchange as a record of sample delivery.
    - a. The Product Data is to be loaded concurrent with the delivery of samples. Samples may be delivered/given to the Architect. In the remarks column of the transmittal place "given to the Architect".
  - 2. Identification: Permanently attach label on unexposed side of Samples that includes the following:
    - a. Project name and submittal number.
    - b. Generic description of Sample.
    - c. Product name and name of manufacturer.
    - d. Sample source.
    - e. Number and title of applicable Specification Section.
    - f. Specification paragraph number and generic name of each item.

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- g. In addition to all hard copy and physical samples submitted, duplicate digital submittal is to be produced for review, record and tracking purposes through Newforma Info Exchange. Include same information as above as well as a high resolution, color, digital image of all samples with labeled information clearly visible for each physical sample.
- 3. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
  - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
  - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
- 4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units, showing the full range of colors, textures, and patterns available.
  - a. Number of Samples: Submit (1) one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
- 5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
  - a. Number of Samples: Submit (3) three sets of Samples. Architect will retain (2) two Sample sets; remainder will be returned. Mark up and retain one returned Sample set as a project record Sample.
    - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
    - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least (3) three sets of paired units that show approximate limits of variations.
- D. Information requirements for each submittal: Where submittal is requiring Schedules, Product Data, Qualification Data, Design Data, Certificates and Tests use the following protocol.
  - 1. Schedules: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
  - 2. Product Data: Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
    - a. Manufacturer and product name, and model number if applicable.
    - b. Number and name of room or space.
    - c. Location within room or space.

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- 3. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- 4. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- 5. Certificates:
  - a. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
  - b. Insert definition of Contractor certificates here if required by individual Specification Sections. See the Evaluations.
  - c. Installer Certificates: Submit written statements on manufacturer's letterhead, certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
  - d. Manufacturer Certificates: Submit written statements on manufacturer's letterhead, certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
  - e. Material Certificates: Submit written statements on manufacturer's letterhead, certifying that material complies with requirements in the Contract Documents.
  - f. Product Certificates: Submit written statements on manufacturer's letterhead, certifying that product complies with requirements in the Contract Documents.
  - g. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of AWS B2.1/B2.1M on AWS forms. Include names of firms and personnel certified.
  - h. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
  - i. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
  - j. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
  - k. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- 6. Test and Research Reports:
  - a. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for substrate preparation and primers required.
  - b. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.

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- c. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- d. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- e. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- f. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - 1) Name of evaluation organization.
  - 2) Date of evaluation.
  - 3) Time period when report is in effect.
  - 4) Product and manufacturers' names.
  - 5) Description of product.
  - 6) Test procedures and results.
  - 7) Limitations of use.
- E. Submit the following submittals: Within (15) fifteen days of contract award.
  - 1. Submittal Schedule including dates of anticipated review and approval.
    - a. No submittals will be reviewed without an approved Submittal Schedule in place.
  - 2. Subcontractor List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
    - a. Name, address, telephone number and email address of entities performing subcontract or supplying products.
    - b. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Contractor's Construction Schedule: Comply with requirements specified in Section 013200 "Construction Progress Documentation".
  - 4. Schedule of Values: Comply with requirements specified in Section 012900 "Payment Procedures".
- F. Submit the following within the first (30) thirty days after Contract Award:
  - 1. Test and Inspection Reports and Schedule of Tests and Inspections Submittals: Comply with requirements specified in Section 014329 "Special Inspections".
  - 2. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
  - 3. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.

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- G. Submit Field Test Reports during construction within (15) fifteen days of the testing date and as follows:
  - 1. Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- H. Submit a minimum (30) thirty days prior to Project Closeout:
  - 1. Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Section 017700 "Closeout Procedures".
  - 2. Maintenance Data: Comply with requirements specified in Division 01 Section 017823 "Operation and Maintenance Data".

## **1.8 SUBMITTAL PROCESSING**

- A. Processing Time: Allow time for submittal review, including time for re-submittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including re-submittals.
- B. The architect will not be responsible for project delays due to the contractor's failure to submit the required submittal information in time to allow for review based on the stipulated review time and to meet the project schedule.
- C. Initial Review: Allow (10) ten Calendar days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
- D. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
- E. Re-submittal Review: Allow (10) ten Calendar days for review of each re-submittal.
- F. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow (21) twenty-one Calendar days for initial review of each submittal.
- G. Concurrent Consultant Review: Where the Contract Documents indicate that submittals may be transmitted simultaneously to Architect and to Architect's consultants, allow (15) fifteen Calendar days for review of each submittal. Submittal will be returned to Architect before being returned to Contractor.
- H. Where submittal are required to be approved that are part of an assembly or for items such as finishes where color selections are required. The submittal will be retained until all of the information related to these systems and color selections is provided and accepted.
- I. Products with multiple submittals may be held until all necessary information has been submitted for architect to make a complete review. Submittals dependent on coordinating information from related or dependent products; or products with critical interface with other products may be held until all information is submitted for architect to make a complete review and coordinate all required information. EXAMPLE: door frames will not be reviewed without door hardware.
- J. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
  - 1. Note date and content of previous submittal.

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- 2. Note date and content of revision in label or title block, and clearly indicate extent of revision.
- 3. Resubmit submittals until they are marked with reviewed notation from Architect's action stamp.
- K. Deviations and Additional Information: On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.

## **1.9 SUBMITTAL PROCEDURES**

- A. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- B. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- C. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- D. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
- E. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- F. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- G. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- H. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- I. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - 1. Name of evaluation organization.
  - 2. Date of evaluation.
  - 3. Time period when report is in effect.
  - 4. Product and manufacturers' names.
  - 5. Description of product.
  - 6. Test procedures and results.

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- 7. Limitations of use.
- J. Schedule of Tests and Inspections: Comply with requirements specified in Division 01 Section "Quality Requirements".
- K. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- L. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- M. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- N. Maintenance Data: Comply with requirements specified in Division 01 Section "Operation and Maintenance Data".
- O. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

## 1.10 CONTRACTOR'S REVIEW

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Contractors Approval: Provide Contractor's approval signature and date on the Submittal Cover sheet certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

## 1.11 ARCHITECT'S ACTION

- A. Submittals: Architect will review each submittal, make marks to indicate corrections or revisions required, and return it. Architect will respond to each submittal indicating one of the following actions required:
  - 1. NO EXCEPTIONS TAKEN (NET): Architect takes no exception to the submittal. This part of the Work covered by the submittal may proceed provided it complies with requirements of the Contract Documents; final acceptance will depend upon that compliance.
  - 2. FURNISH AS CORRECTED (FAC): No exceptions taken except what is identified by the Architect. The part of the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents; final acceptance will depend on that compliance. Furnish any additional related information as requested.

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- 3. REVISE AND RESUBMIT (RAR): Revise the submittal based on the Architects comments and resubmit the submittal. Do not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal in accordance with the notations; resubmit without delay. Repeat if necessary to obtain a different action mark.
  - a. Do not permit submittals marked "Revise and Resubmit" to be used at the Project Site, or elsewhere Work is in progress.
- 4. REJECTED (REJ): The submittal is rejected. See Architects comments on why submittal was rejected.
  - a. Submittal has not been reviewed by the Contractor and so noted.
  - b. Submittal has been prepared without due regard for information called for or logically implied by the Contract Documents.
  - c. Information is not sufficiently complete or accurate to verify that work represented is in accordance with the Contract Documents.
  - d. Do not permit submittals marked "Rejected" to be used at the Project Site, or elsewhere where Work is in progress.
- 5. NO ACTION TAKEN (NAT): The submittal is not required and will not be reviewed.
- B. Submittals by Newforma Info Exchange: Architect will indicate, on Newforma Info Exchange, the appropriate action.
- C. Informational Submittals: Architect will review each submittal and will not return it or will return it if it does not comply with requirements. The Architect's action will be noted in the Newforma Info Exchange.
- D. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect. The Architect's action will be noted in the Newforma Info Exchange and noted as a partial review until a full submittal can be received.
- E. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for re-submittal without review.
- F. Submittals not required by the Contract Documents will not be reviewed and will receive no action.

## PART 2 PRODUCTS (NOT USED)

## PART 3 EXECUTION (NOT USED)

## END OF SECTION 013300

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### QUALITY REQUIREMENTS

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## SECTION 014000 QUALITY REQUIREMENTS

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other qualityassurance and -control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect and Owner or authorities having jurisdiction are not limited by provisions of this Section.
- C. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Division 01 Section "Construction Progress Documentation" for developing a schedule of required tests and inspections.
  - 3. Divisions 02 through 49 Sections for specific test and inspection requirements.

## **1.2 DEFINITIONS**

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect or Construction Manager.
- C. Mockups: Full size physical assemblies that are constructed on-site. Mockups are constructed to verify selections made under sample submittals; to demonstrate aesthetic effects and, where indicated, qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
  - 1. Integrated Exterior Mockups: Mockups of the exterior envelope erected separately from the building but on Project site, consisting of multiple products, assemblies, and subassemblies.

- 2. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes, doors, windows, millwork, casework, specialties, furnishings and equipment, and lighting.
- D. Preconstruction Testing: Tests and inspections performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.
- E. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- F. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.
- G. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
  - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade or trades.
- J. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of (5) five Projects similar in nature, size, and extent of this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

#### **1.3 DELEGATED-DESIGN SERVICES**

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Statement: Submit a statement signed and sealed by the responsible design professional, licensed in the state of project location, for each product and system specifically assigned to Contractor to be designed or certified by a design professional, indicating that the products and systems are in compliance with performance and design criteria indicated. Include list of codes, loads, and other factors used in performing these services.
  - 1. The design professional shall be licensed to perform professional design services In the jurisdiction of the project location.

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## QUALITY REQUIREMENTS

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## **1.4 CONFLICTING REQUIREMENTS**

- A. Referenced Standards: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

## 1.5 ACTION SUBMITTALS

- A. Shop Drawings: For integrated exterior mockups, provide plans, sections, and elevations, indicating materials and size of mockup construction.
  - 1. Indicate manufacturer and model number of individual components.
  - 2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

## **1.6 INFORMATIONAL SUBMITTALS**

- A. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility sent to authorities having jurisdiction before starting work on the following systems.
  - 1. Seismic-force resisting system, designated seismic system, or component listed in the designated seismic system quality assurance plan prepared by the Architect.
  - 2. Main wind-force resisting system or a wind-resisting component listed in the wind-forceresisting system quality assurance plan prepared by the Architect.
- B. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- C. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
  - 1. Specification Section number and title.
  - 2. Entity responsible for performing tests and inspections.
  - 3. Description of test and inspection.
  - 4. Identification of applicable standards.
  - 5. Identification of test and inspection methods.
  - 6. Number of tests and inspections required.
  - 7. Time schedule or time span for tests and inspections.
  - 8. Requirements for obtaining samples.
  - 9. Unique characteristics of each quality-control service.

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## QUALITY REQUIREMENTS

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## **1.7 REPORTS AND DOCUMENTS**

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
  - 1. Date of issue.
  - 2. Project title and number.
  - 3. Name, address, and telephone number of testing agency.
  - 4. Dates and locations of samples and tests or inspections.
  - 5. Names of individuals making tests and inspections.
  - 6. Description of the Work and test and inspection method.
  - 7. Identification of product and Specification Section.
  - 8. Complete test or inspection data.
  - 9. Test and inspection results and an interpretation of test results.
  - 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
  - 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
  - 12. Name and signature of laboratory inspector.
  - 13. Recommendations on retesting and re-inspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
  - 1. Name, address, and telephone number of technical representative making report.
  - 2. Statement on condition of substrates and their acceptability for installation of product.
  - 3. Statement that products at Project site comply with requirements.
  - 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
  - 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  - 6. Statement whether conditions, products, and installation will affect warranty.
  - 7. Other required items indicated in individual Specification Sections.
- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:
  - 1. Name, address, and telephone number of factory-authorized service representative making report.
  - 2. Statement that equipment complies with requirements.
  - 3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  - 4. Statement whether conditions, products, and installation will affect warranty.
  - 5. Other required items indicated in individual Specification Sections.
- D. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

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## QUALITY REQUIREMENTS

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## 1.8 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm with (5) five years' experience in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- C. Fabricator Qualifications: A firm with (5) five years' experience in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual with (5) five years' experience in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar to those indicated for this Project in material, design, and extent.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E329; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
  - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
  - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
  - 1. Contractor responsibilities include the following:
    - a. Provide test specimens representative of proposed products and construction.

- b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
- c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
- d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
- e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
- f. When testing is complete, remove test specimens, assemblies, mockups; do not reuse products on Project.
- 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.

## **1.9 QUALITY CONTROL**

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
  - 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
  - 2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities required to verify that the Work complies with requirements, whether specified or not.
  - 1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
  - 2. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
  - 3. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  - 4. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  - 5. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
  - 6. Notify testing agencies at least (24) twenty four hours in advance of time when Work that requires testing or inspecting will be performed.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 Section "Submittal Procedures".

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- D. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- E. Retesting/Re-inspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- F. Testing Agency Responsibilities: Cooperate with Architect, Commissioning Authority, and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
  - 1. Notify Architect, Commissioning Authority, and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  - 2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
  - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  - 4. Submit a certified written report, in duplicate, of each test, inspection, and similar qualitycontrol service through Contractor.
  - 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  - 6. Do not perform any duties of Contractor.
- G. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
  - 1. Access to the Work.
  - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
  - 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
  - 4. Facilities for storage and field curing of test samples.
  - 5. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  - 6. Security and protection for samples and for testing and inspecting equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
  - 1. Schedule times for tests, inspections, obtaining samples, and similar activities.

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## QUALITY REQUIREMENTS

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## **1.10 QUALITY-CONTROL PLAN**

- A. Contractor's Quality-Control Plan, The Contractor shall submit quality-control plan within (10) ten days of Notice of Award, and not less than (5) five days prior to preconstruction conference. Submit in format acceptable to Architect. Identify personnel, procedures, controls, instructions, tests, records, and forms to be used to carry out Contractor's quality-assurance and quality-control responsibilities and to coordinate Owner's quality-assurance and quality-control activities. Coordinate with Contractor's Construction Schedule.
- B. Quality-Control Personnel Qualifications: Engage qualified personnel trained and experienced in managing and executing quality-assurance and quality-control procedures similar in nature and extent to those required for Project.
- C. Submittal Procedure: Describe procedures for ensuring compliance with requirements through review and management of submittal process. Indicate qualifications of personnel responsible for submittal review.
- D. Testing and Inspection: In quality-control plan, include a comprehensive schedule of Work requiring testing or inspection, including the following:
  - 1. Contractor-performed tests and inspections, including subcontractor-performed tests and inspections. Include required tests and inspections and Contractor-elected tests and inspections. Distinguish source quality-control tests and inspections from field quality-control tests and inspections.
  - 2. Special inspections required by authorities having jurisdiction and indicated on the Statement of Special Inspections.
  - 3. Owner-performed tests and inspections indicated in the Contract Documents.
- E. Continuous Inspection of Workmanship: Describe process for continuous inspection during construction to identify and correct deficiencies in workmanship in addition to testing and inspection specified. Indicate types of corrective actions to be required to bring the Work into compliance with standards of workmanship established by Contract requirements and approved mockups.
- F. Monitoring and Documentation: Maintain testing and inspection reports, including log of approved and rejected results. Include Work Architect has indicated as nonconforming or defective. Indicate corrective actions taken to bring nonconforming Work into compliance with requirements. Comply with requirements of authorities having jurisdiction.

## 1.11 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Owner will engage a qualified testing agency to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of the Owner and as follows:
  - 1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviews the completeness and adequacy of those procedures to perform the Work.
  - 2. Notifying Architect, Commissioning Authority, and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  - 3. Submitting a certified written report of each test, inspection, and similar quality-control service to Owner, Architect, Commissioning Authority, with a copy to Contractor, and to Authorities having Jurisdiction.

- 4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
- 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- 6. Retesting and re-inspecting corrected work.

## PART 2 PRODUCTS (NOT USED)

## **PART 3 EXECUTION**

## 3.1 TEST AND INSPECTION LOG

- A. Prepare a record of tests and inspections. Include the following:
  - 1. Date test or inspection was conducted.
  - 2. Description of the Work tested or inspected.
  - 3. Date test or inspection results were transmitted to Architect.
  - 4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and modifications as they occur. Provide access to test and inspection log for Architect's and Owner's reference during normal working hours.

## **3.2 REPAIR AND PROTECTION**

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
  - 1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Division 01 Section "Execution".
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

## END OF SECTION 014000

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# REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)

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#### SECTION 014119 REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section includes:
  - 1. "Uniform Safety Standards for School Construction and Maintenance Projects" for maintaining a Certificate of Occupancy during construction.

## **1.2 REFERENCES**

A. Section 155.5 of the Regulations of the New York State Commissioner of Education "Uniform Safety Standards for School Construction and Maintenance Projects".

## PART 2 PRODUCTS (NOT USED)

### **PART 3 EXECUTION**

## 3.1 GENERAL REQUIREMENT

A. The occupied portion of any school building shall always comply with the minimum requirements necessary to maintain a certificate of occupancy.

#### 3.2 HAZARDOUS BUILDING MATERIALS

A. Surfaces that will be disturbed during renovation or demolition have been tested for asbestos, PCB's and lead. Results of the testing are available, upon request, from the Owner.

## 3.3 GENERAL SAFETY AND SECURITY STANDARDS FOR CONSTRUCTION

- A. General safety and security standards for construction projects include the following:
  - 1. All construction materials shall be stored in a safe and secure manner.
  - 2. Fences around construction supplies or debris shall be maintained.
  - 3. Gates shall always be locked unless a worker is in attendance to prevent unauthorized entry.
  - 4. During exterior renovation work, overhead protection shall be provided for any sidewalks or areas immediately beneath the work site or such areas shall be fenced off and provided with warning signs to prevent entry.
  - 5. Workers shall be required to wear photo-identification badges at all times for identification and security purposes while working at occupied sites.

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# REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)

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## 3.4 SEPARATION OF CONSTRUCTION AREAS FROM OCCUPIED AREAS

- A. Construction areas which are under the control of a contractor and therefore not occupied by district staff or students shall be separated from occupied areas. Provisions shall be made to prevent the passage of dust and contaminants into occupied parts of the building. Periodic inspection and repairs of the containment barriers must be made to prevent exposure to dust or contaminants. Gypsum board must be used in exit ways or other areas that require fire rated separation. Heavy duty plastic sheeting may be used only for a vapor, fine dust or air infiltration barrier, and shall not be used to separate occupied spaces from construction areas.
  - 1. A specific stairwell and/or elevator should be assigned for construction worker use during work hours. In general, workers may not use corridors, stairs or elevators designated for students or school staff.
  - 2. Large amounts of debris must be removed by using enclosed chutes or a similar sealed system. There shall be no movement of debris through halls of occupied spaces of the building. No material shall be dropped or thrown outside the walls of the building.
  - 3. All occupied parts of the building affected by renovation activity shall be cleaned at the close of each workday. School buildings occupied during a construction project shall maintain required health, safety and educational capabilities at all times that classes are in session.

#### 3.5 MAINTAINING EXITING DURING CONSTRUCTION

A. The Contractor will prepare a plan detailing how exiting required by the applicable building code will be maintained during construction. The plan shall indicate temporary construction required to isolate construction equipment, materials, people, dust, fumes, odors, and noise during the construction period. Temporary construction details shall meet code-required fire ratings for separation and corridor enclosure. At a minimum, required exits, temporary stairs, ramps, exit signs, and door hardware shall be provided at all times.

## 3.6 MAINTAINING VENTILATION DURING CONSTRUCTION

A. The Contractor will prepare a plan detailing how adequate ventilation will be maintained during construction. The plan shall indicate ductwork that must be rerouted, disconnected, or capped in order to prevent contaminants from the construction area from entering the occupied areas of the building. The plan shall also indicate how required ventilation to occupied spaces affected by the construction will be maintained during the project.

## 3.7 NOISE ABATEMENT DURING CONSTRUCTION

- A. Construction and maintenance operations shall not produce noise in excess of 60 dba in occupied spaces or shall be scheduled for times when the building or affected building spaces are not occupied or acoustical abatement measures shall be taken.
- B. Noise level measurements (dba) shall be taken with a type 2 sound level meter in the occupied space in a location closest to the source of noise.

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# REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)

C. Each prime contractor shall have a type 2 sound level meter available on the project site at all times for use by the architect/engineer for the entire duration of the construction project.

## **3.8** CONTROL OF CHEMICAL FUMES, GASES AND OTHER CONTAMINANTS DURING CONSTRUCTION

- A. The contractor shall be responsible for the control of chemical fumes, gases, and other contaminates produced by, including but not limited to, welding, gasoline or diesel engines, roofing, paving, or painting, to ensure they do not enter occupied portions of the building or air intakes.
  - 1. Contractors shall provide a plan indicating how and where welding, gasoline engine, roofing, paving, painting or other fumes will be exhausted from the work site. Contractors shall provide all temporary means to assure that fresh air intakes do not draw in such fumes.
  - 2. If any portion of the work will generate toxic gases that cannot be contained in an isolated area, the work shall be done when school classes and programs are not in session. The contractor shall include costs associated with this requirement in his bid. The building shall be properly ventilated and, the material shall be given proper time, as recommended by the manufacturer, to cure "off-gas" before re-occupancy.
  - 3. The contractor shall maintain all manufacturers' Material Safety Data Sheets (MSDS) at the site for all products used in the project. Copies of the MSDS sheets shall be given to the Architect and to the School District. MSDS sheets shall be provided to anyone who requests them.

## 3.9 CONTROL OF OFF-GASSING DURING CONSTRUCTION

- A. The contractor shall be responsible to ensure that activities and materials which result in "offgassing" of volatile organic compounds such as glues, paints, furniture, carpeting, wall covering, drapery, etc. are scheduled, cured or ventilated in accordance with manufacturers recommendations before a space can be occupied.
  - 1. Contractor shall provide, in their schedules for work of the construction, proper time for "off-gassing" or volatile organic compounds introduced during construction before occupancy is allowed. Specific attention is warranted for activities including glues, adhesives, paint, furniture, carpeting, wall coverings, and drapery. Manufacturers shall be contacted to obtain information regarding appropriate temperatures and times needed to cure or ventilate the product during use and before safe occupancy of the space can be assured. The contractor shall include the above-mentioned information and shall clearly highlight the information, as part of the shop drawing submittal.
  - 2. Building materials or furnishings which "off-gas" chemical fumes, gases, or other contaminants shall be aired out in a well ventilated heated warehouse before it is brought to the project for installation or, the manufacturer's recommended "off-gassing" periods must be scheduled between installation and use of the space.
  - 3. The contractor shall maintain all manufacturers' Material Safety Data Sheets (MSDS) at the site for all products used in the project. Copies of the MSDS sheets shall be given to the Architect and to the School District. MSDS sheets shall be provided to anyone who requests them.

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## REGULATORY REQUIREMENTS (NYS EDUCATION DEPARTMENT)

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## 3.10 ASBESTOS-CONTAINING BUILDING MATERIALS

- A. Large and small asbestos abatement projects as defined by 12NYCRR56 shall not be performed while the building is occupied. The term "building", as referenced in this section, means a wing or major section of a building that can be completely isolated from the rest of the building with sealed noncombustible construction. The isolated portion of the building must contain exits that do not pass through the occupied portion and ventilation systems must be physically separated and sealed at the isolation barrier.
- B. Exterior work such as roofing, flashing, siding, or soffit work may be performed on occupied buildings provided proper variances are in place as required, and complete isolation of ventilation systems and at windows is provided. Care must be taken to schedule work so that classes are not disrupted by noise or visual distraction.
- C. For clearance sampling, the air sampling technician shall provide aggressive air sampling per Rule 56 and as follows: First direct the exhaust of a leaf blower, against all walls, ceilings, floors, ledges, and other surfaces in the work area. Continue agitation for at least five minutes per every 1,000 sf of floor space. Following this aggressive agitation, the air-sampling technician shall use at least one 20-inch fan per 10,000 cubic feet of work area space for continuous agitation. The fan shall be operated on low speed and pointed toward the ceiling. Sampling pumps shall be started after the fans are started and stopped before the fans are stopped.
  - 1. Samples shall be logged on a permanently bound logbook at the laboratory. No whiteout will be used to make corrections.
  - 2. All lab counts, data and analysis shall be recorded on a lab summary sheet for each sample.
  - 3. Per the requirements of the New York State Education Department all Final Air Clearance Samples shall be (TEM) Transmission Electron Microscopy methodology.

#### 3.11 LEAD-CONTAINING BUILDING MATERIALS

- A. Surfaces that will be disturbed by reconstruction have been tested for the present of lead based paint materials. This information is provided in order that proper measures are taken, to train and protect workers per OSHA regulations. Refer to Division 00 section "Existing Hazardous Material" Information for testing results.
- B. Projects which disturb surfaces that contain lead shall have in the specifications a plan prepared by a certified Lead Risk Assessor or Supervisor which details provisions for occupant protection, worksite preparation, work methods, cleaning and clearance testing which are in general accordance with the HUD Guidelines.

## END OF SECTION 014119

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REFERENCE STANDARDS

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#### SECTION 014200 REFERENCE STANDARDS

#### PART 1 GENERAL

#### 1.1 KEY DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

#### **1.2 DEFINITIONS**

- A. Air Handling Unit: A blower or fan used for the purpose of distributing supply air to a room, space or area.
- B. Approved Agency: An established and recognized agency regularly engaged in conducting tests or furnishing inspection services, when such agency has been approved according to the requirements established in this Section and as required by the Code Official having jurisdiction over this project.
- C. Architect: Other terms including "Architect/Engineer" and "Engineer" have the same meaning as "Architect".
- D. Company Field Adviser: An employee of the Company which lists and markets the primary components of the system under the name who is certified in writing by the Company to be technically qualified in design, installation, and servicing of the required products or an employee of an organization certified by the foregoing Company to be technically qualified in design, installation, and serving of the required products. Personnel involved solely in sales do not qualify.

- E. Concealed Location: A location that cannot be accessed without damaging permanent parts of the building structure or finish surface. Spaces above, below or behind readily removable panels or doors shall not be considered as concealed.
- F. Concealed Piping: Piping that is located in a concealed location. (See "concealed location".)
- G. Connect: A term contraction and unless otherwise specifically noted is to mean "The labor and materials necessary to join or attach equipment, materials or systems to perform the functions intended".
- H. Construction Manager: The Palombo Group.
- I. Drain: Any pipe that carries wastewater or water-borne wastes in a building drainage system.
- J. Drainage Fittings: Type of fitting or fittings utilized in the drainage system. Drainage fittings are similar to cast-iron fittings, except that instead of having a bell and spigot, drainage fittings are recessed and tapped to eliminate ridges on the inside of the installed pipe.
- K. Drainage System: Piping within a public or private premise that conveys sewage, rainwater or other liquid wastes to a point of disposal. A drainage system does not include the mains of a public sewer system or a private or public sewage treatment or disposal plant.
  - 1. Building Gravity: A drainage system that drains by gravity into the building sewer.
  - 2. Sanitary: A drainage system that carries sewage and excludes storm, surface and ground water.
  - 3. Storm: A drainage system that carries rainwater, surface water, condensate, cooling water or similar liquid wastes.
- L. Duct: A tube or conduit utilized for conveying air. The air passages of self-contained systems are not to be construed as air ducts.
- M. Duct System: A continuous passageway for the transmission of air that, in addition to ducts, includes duct fittings, dampers, plenums, fans and accessory air-handling equipment and appliances.
- N. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- O. Headroom: Minimum clearance between the floor and the underside of the point of lowest installed mechanical construction above. In case of stairways and walkways, the minimum clearance between the step or surface of the walkway and the lowest installed mechanical construction above the stairway or the walkway.
- P. Include: When used in any form other than "inclusive", is non-limiting and is not intended to mean "all-inclusive."
- Q. Indicated: Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- R. Inspection Certificate: Identification applied on a product by an approved agency containing the name of the manufacturer, the function and performance characteristics, and the name and identification of an approved agency that indicates that the product or material has been inspected and evaluated by an approved agency.

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#### **REFERENCE STANDARDS**

- S. Installer: An installer is the Contractor or another entity engaged by the Contractor, either as an employee, subcontractor, or contractor of lower tier, to perform a particular construction activity, including installation, erection, application, or similar operations. Installers are required to be experienced in the operations they are engaged to perform.
  - 1. Trades: Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespersons of the corresponding generic name.
  - 2. Assigning Specialists: Certain Sections of the Specifications require that specific construction activities shall be performed by specialists who are recognized experts in those operations. The specialists must be engaged for those activities, and their assignments are requirements over which the Contractor has no option. However, the ultimate responsibility for fulfilling contract requirements remains with the Contractor.
  - 3. This requirement shall not be interpreted to conflict with enforcing building codes and similar regulations governing the Work. It is also not intended to interfere with local trade-union jurisdictional settlements and similar conventions.
- T. Label: An identification applied on a product by the manufacturer that contains the name of the manufacturer, the function and performance characteristics of the product or material, and the name and identification of an approved agency and that indicates that the representative sample of the product or material has been tested and evaluated by an approved agency.
- U. Location:
  - 1. Damp Location: Partially protected locations under canopies, marquees, roofed open porches and like locations, and interior locations subject to moderate degrees of moisture, such as some basements, some barns and some cold-storage warehouses.
  - 2. Dry Location: A location not normally subject to dampness or wetness. A location classified as dry may be temporarily subject to dampness or wetness, as in the case of a building under construction.
  - 3. Wet Location: Installations underground or in concrete slabs or masonry in direct contact with the earth and locations subject to saturation with water or other liquids, such as vehicle-washing areas, and locations exposed to weather and unprotected.
- V. Manufacturer's Designation: Identification applied on a product by the manufacturer indicating that a product or material complies with a specified standard or set of rules (see also "Inspection Certificate," "Label" and "Mark").
- W. Mark: An identification applied on a product by the manufacturer indicating the name of the manufacturer and the function of a product or material (see also "Inspection Certificate,"
   "Label" and "Manufacturer's Designation").
- X. Mechanical: Other terms including "HVAC", "Plumbing", "Sprinkler", "Laboratory Equipment", "Food Service Equipment", "Laundry Equipment", and "Refrigeration" have the same meaning as "Mechanical".
- Y. Owner: North Rockland CSD.
- Z. Piping: This term includes pipe, tube and appurtenant fittings, flanges, valves, traps, hangers and supports.

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**REFERENCE STANDARDS** 

- AA. Piping, Concealed: Piping built into construction and not accessible without removal of construction Work such as masonry, plaster or other finish material, and piping installed in floors, furred spaces, suspended ceilings, non-walk-in tunnels, conduits, and behind removable panels and cabinet doors.
- BB. Piping, Distribution: Domestic water supply piping, starting with a connection to service piping, and continuing throughout the building to point of connection to equipment and fixture supply piping.
- CC. Piping, Exposed: Piping directly accessible by normal accesses without removal of any construction Work or material.
- DD. Piping, Service: Underground domestic water supply piping with a connection to a water main or supply as noted, and continuing to and into a building and terminating with the exposed fitting inside the building.
- EE. Piping, Tunnel: Piping installed in walk-in or non-walk-in tunnels or conduits up to first shutoff valve inside building.
- FF. Plumbing System: Includes the water supply and distribution pipes; plumbing fixtures and traps; water-treating or water-using equipment; soil, waste and vent pipes; and sanitary and storm sewers and building drains, in addition to their respective connections, devices and appurtenances within a structure or premises.
- GG. Product: As used includes materials, systems and equipment.
- HH. Registered Design Professional: An individual who is a registered architect (RA) in accordance with Article 147 of the New York State Education Law or a licensed professional engineer (PE) in accordance with Article 145 of the New York State Education Law.
- II. Space, Finished: A space which has a finishing material applied to walls or ceilings, such as paint, plaster, ceramic tile, enamel glazing, face brick, vinyl wall covering, etc. to provide a finished appearance or which will have such finishes applied under a related Contract.
- JJ. Space, Unfinished: A space which does not meet the definition of a finished space.
- KK. Special Inspection: Inspection as herein required of the materials, installation, fabrication, erection, or placement of components and connections requiring special expertise to ensure compliance with approved construction documents and referenced standards.
- LL. Steam-Heating Boiler: A boiler operated at pressures not exceeding 15 psi for steam.
- MM. Supplier: Any person or organization who supplies materials or equipment for the work, including that fabricated to a special design.
- NN. Utility: Any gas, steam, water, sanitary sewer, storm sewer, electrical or other such service.
- OO. Water Supply System: The water service pipe, water distribution pipes, and the necessary connecting pipes, fittings, control valves and all appurtenances in or adjacent to the structure or premises.
  - 1. Chilled: Water-cooled by refrigeration.
  - 2. Cold: Water with at temperature between 33 degrees F and 80 degrees F and which is neither cooled nor heated mechanically.
  - 3. Domestic: Water for use in buildings, except water used in connection with space heating and space cooling.
  - 4. High Temperature: Water with a supply water temperature above 350 degrees.
  - 5. Hot: Water at a temperature greater than or equal to  $110^{\circ}$ F.

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#### REFERENCE STANDARDS

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#### 1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Conflicting Requirements: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
  - 1. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.
- D. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
  - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

AA	Aluminum Association, Inc. (The)
AABC	Associated Air Balance Council
AAALAC	Association for Assessment and Accreditation of Laboratory Animal Care
AAMA	American Architectural Manufacturers Association
AASHTO	American Association of State Highway and Transportation Officials
ACI	ACI International (American Concrete Institute)
ACPA	American Concrete Pipe Association
AF&PA	American Forest & Paper Association
AGA	American Gas Association

#### 1.4 ABBREVIATIONS AND ACRONYMS

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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# REFERENCE STANDARDS

AGC	Associated General Contractors of America (The)
AHA	American Hardboard Association (part of CPA)
AI	Asphalt Institute
AIA	American Institute of Architects (The)
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
ALSC	American Lumber Standard Committee, Incorporated
AMCA	Air Movement and Control Association International, Inc.
ANSI	American National Standards Institute
AOSA	Association of Official Seed Analysts, Inc.
APA	Architectural Precast Association
APA	APA - The Engineered Wood Association
ARI	Air-Conditioning & Refrigeration Institute
ASCE	American Society of Civil Engineers
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
ASME	ASME International
ASSE	American Society of Sanitary Engineering
ASTM	ASTM International
AWCMA	American Window Covering Manufacturers Association (WCSC)
AWI	Architectural Woodwork Institute

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# REFERENCE STANDARDS

AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Industry Association (The)
CBM	Certified Ballast Manufacturers
CCC	Carpet Cushion Council
CDA	Copper Development Association
CISCA	Ceilings & Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
СРА	Composite Panel Association
CRI	Carpet & Rug Institute (The)
CRSI	Concrete Reinforcing Steel Institute
CSI	Cast Stone Institute
CSI	Construction Specifications Institute (The)
CTI	Cooling Technology Institute
DHI	Door and Hardware Institute
EIA	Electronic Industries Alliance
EIMA	EIFS Industry Members Association

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# REFERENCE STANDARDS

EJCDC	Engineers Joint Contract Documents Committee
EJMA	Expansion Joint Manufacturers Association, Inc.
ESD	ESD Association
FM Approv	vals Factory Mutual Approvals
FSA	Fluid Sealing Association
GA	Gypsum Association
GANA	Glass Association of North America
GSI	Geosynthetic Institute
HI	Hydraulic Institute
HI	Hydronics Institute
HMMA	Hollow Metal Manufacturers Association
HPVA	Hardwood Plywood & Veneer Association
ICEA	Insulated Cable Engineers Association, Inc
ICRI	International Concrete Repair Institute, Inc.
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers, Inc. (The)
IESNA	Illuminating Engineering Society of North America
IEST	Institute of Environmental Sciences and Technology
IGCC	Insulating Glass Certification Council
IGMA	Insulating Glass Manufacturers Alliance

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# REFERENCE STANDARDS

ILI	Indiana Limestone Institute of America, Inc.
IPCEA	Insulated Power Cable Engineer Associates
ISO	International Organization for Standardization
ISSFA	International Solid Surface Fabricators Association
ITU	International Telecommunication Union
КСМА	Kitchen Cabinet Manufacturers Association
LEED	Leadership in Energy and Environmental Design
MBMA	Metal Building Manufacturers Association
MFMA	Maple Flooring Manufacturers Association, Inc.
MFMA	Metal Framing Manufacturers Association, Inc.
MIA	Marble Institute of America
MPI	Master Painters Institute
MSS	Manufacturers Standardization Society of The Valve and Fittings Industry Inc.
NAAMM	National Association of Architectural Metal Manufacturers
NACE	NACE International
NADCA	National Air Duct Cleaners Association
NAIMA	North American Insulation Manufacturers Association
NCMA	National Concrete Masonry Association
NCPI	National Clay Pipe Institute

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# REFERENCE STANDARDS

NCTA	National Cable & Telecommunications Association
NEBB	National Environmental Balancing Bureau
NECA	National Electrical Contractors Association
NeLMA	Northeastern Lumber Manufacturers' Association
NEMA	National Electrical Manufacturers Association
NETA	National Electrical Testing Association
NFHS	National Federation of State High School Associations
NFPA	National Fire Protection Association
NFRC	National Fenestration Rating Council
NGA	National Glass Association
NHLA	National Hardwood Lumber Association
NLGA	National Lumber Grades Authority
NOFMA	NOFMA: The Wood Flooring Manufacturers Association
NRCA	National Roofing Contractors Association
NRMCA	National Ready Mixed Concrete Association
NSF	NSF International (National Sanitation Foundation International)
NSSGA	National Stone, Sand & Gravel Association
NTMA	National Terrazzo & Mosaic Association, Inc. (The)
NWWDA	National Wood Window and Door Association (WDMA)
PCI	Precast/Prestressed Concrete Institute

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# REFERENCE STANDARDS

PDCA	Painting & Decorating Contractors of America
PDI	Plumbing & Drainage Institute
PGI	PVC Geomembrane Institute
PTI	Post-Tensioning Institute
RCSC	Research Council on Structural Connections
RFCI	Resilient Floor Covering Institute
SAE	SAE International
SDI	Steel Deck Institute
SDI	Steel Door Institute
SEFA	Scientific Equipment and Furniture Association
SEI/ASCE	Structural Engineering Institute/American Society of Civil Engineers
SGCC	Safety Glazing Certification Council
SIA	Security Industry Association
SIGMA	Sealed Insulating Glass Manufacturers Association
SЛ	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SPIB	Southern Pine Inspection Bureau (The)
SPRI	Single Ply Roofing Industry
SSINA	Specialty Steel Industry of North America

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### REFERENCE STANDARDS

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SSPC	SSPC: The Society for Protective Coatings
STI	Steel Tank Institute
SWRI	Sealant, Waterproofing, & Restoration Institute
TCA	Tile Council of America, Inc.

#### **1.5 FEDERAL GOVERNMENT AGENCIES:**

A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

CE	Army Corps of Engineers
CPSC	Consumer Product Safety Commission
DOC	Department of Commerce
DOD	Department of Defense
DOE	Department of Energy
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FCC	Federal Communications Commission
FDA	Food and Drug Administration
GSA	General Services Administration
HUD	Department of Housing and Urban Development
NIST	National Institute of Standards and Technology

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#### REFERENCE STANDARDS

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OSHA	Occupational Safety & Health Administration
PHS	Office of Public Health and Science
SD	State Department
TRB	Transportation Research Board
USDA	Department of Agriculture
USPS	Postal Service

B. Codes, Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list.

ADAAG	Americans with Disabilities Act (ADA) Accessibility Guidelines
BCNYS	Building Code of New York State
CFR	Code of Federal Regulations
DOD	Department of Defense Military Specifications and Standards
FS	Federal Specification
MILSPEC	Military Specification and Standards

#### **1.6 NEW YORK STATE GOVERNMENT AGENCIES:**

A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

DASNY	Dormitory Authority of the State of New York
DEC	Department of Environmental Conservation
DHCR	Division of Housing and Community Renewal

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#### **REFERENCE STANDARDS**

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DOH	Department of Health
NYSDOL	New York State Department of Labor
DOS	Department of State
DOT	Department of Transportation
NYSPA	New York State Power Authority
OGS	Office of General Services
OCFS	Office of Children and Family Services
OMRD	Office of Mental Retardation and Developmental Disabilities
OPRHP	Office of Parks, Recreation and Historic Preservation
NYSED	New York State Education Department (Department of Education)
SHPO	State Historic Preservation Office
SUCF	State University Construction Fund
SUNY	State University of New York

# 1.7 NEW YORK STATE CODES

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.
  - 1. BCNYS: Building Code of New York State
  - 2. ECCNYS: Energy Conservation Code of New York State
  - 3. PCNYS: Plumbing Code of New York State of New York State
  - 4. MCNYS: Mechanical Code of New York State
  - 5. FGCNYS: Fuel Gas Code of New York State
  - 6. FCNYS: Fire Code of New York State
  - 7. 9-NYCRR: New York State Dept. of Labor Title 9 State Building Code

REFERENCE STANDARDS

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- 8. 10-NYCRR: New York State Dept. of Labor Title 10 State Hospital Code
- 9. 19-NYCRR: Charter XXXIII, Sub Charter A, Uniform Fire Prevention and Building Code

#### **1.8 OTHER TERMS OR ACRONYMS:**

- A. Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name in the following list.
  - 1. ACM: Asbestos Containing Materials
  - 2. ACT: Acoustical Ceiling Tile
  - 3. ICRA: Infection Control Risk Assessment
  - 4. LVT: Luxury Vinyl Tile
  - 5. SFRM: Spray on Fire Resistive Materials
  - 6. TIS: Thermal Insulation System
  - 7. VAT: Vinyl Asbestos Tile
  - 8. VCT: Vinyl Composition Tile
  - 9. VQT: Vinyl Quartz Tile

#### PART 2 PRODUCTS (NOT USED)

#### PART 3 EXECUTION (NOT USED)

#### END OF SECTION 014200

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#### SECTION 01 50 00 - TEMPORARY FACILITIES & CONTROLS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. This Section includes requirements for temporary facilities and controls, including temporary utilities, support facilities, and security and protection.
- B. Temporary utilities include, but are not limited to, the following:
  - 1. Water service and distribution.
  - 2. Temporary electric power and light.
  - 3. Temporary heat.
  - 4. Ventilation.
  - 5. Telephone service.
  - 6. Sanitary facilities, including drinking water.
  - 7. Storm and sanitary sewer.
- C. Support facilities include, but are not limited to, the following:
  - 1. Field offices and storage sheds.
  - 2. Architects/Engineers field office.
  - 3. Temporary roads and paving.
  - 4. Dewatering facilities and drains.
  - 5. Temporary enclosures.
  - 6. Hoists and temporary elevator use.
  - 7. Temporary project identification signs and bulletin boards.
  - 8. Waste disposal services.
  - 9. Rodent and pest control.
  - 10. Construction aids and miscellaneous services and facilities.
- D. Security and protection facilities include, but are not limited to, the following:
  - 1. Temporary fire protection.
  - 2. Barricades, warning signs, and lights.
  - 3. Environmental protection.
  - 4. Tree and plant protection.
  - 5. Pest control.
  - 6. Security enclosure and lockup.
  - 7. Temporary enclosures.
  - 8. Temporary partitions.

#### **1.2 DIVISION OF RESPONSIBILITIES**

A. General: Each Prime Contractor is specifically assigned certain responsibilities for temporary services and facilities to be used by other Prime Contractors, and other nonprime contractors and separate entities at the site, Owner's workforces, Construction Manager, Architect, testing agencies, personnel of governing authorities, and personnel authorized to be at project site during contract time. The General Construction Work Contractor is responsible for providing temporary facilities and controls that are not normal construction activities of other Prime Contractors' prime contractors and are not specifically assigned otherwise by the Contract Documents.

#### **1.3 USE CHARGES**

A. General: Cost or use charges for temporary facilities are not chargeable to the Owner or the Architect. The Architect will not accept a Prime Contractor's cost or use charges for temporary services or facilities as a basis of claim for an adjustment in the Contract Sum or the Contract Time.

- B. Water Service: Use water from the Owner's existing water system without metering and without payment of use charges if available. If not available contractor needing water must supply water required for the performance of their work.
- C. Electric Power Service: Temporary electric power including set-up, maintenance and potential use charges is the responsibility of the Electrical Work Contractor.
  - 1. Use of electric power from the Owner's permanent power system (when operational) will be granted to all Prime Contractors without payment of use charges.
  - 2. Electrical Work Contractor is to supply power to all job trailers including the Construction Manager's job trailer as directed.
  - 3. Subpanels and sub-feeds to ancillary panels will be provided by and connected to permanent panels by Electrical Contractor. Follow all OSHA and NFPA requirements for temporary connections. All panel penetrations shall be patched per approved NFPA regulations.

#### 1.4 SUBMITTALS

- A. Temporary Utilities: The Prime Contractor shall submit reports of tests, inspections, meter readings, and similar procedures performed on temporary utilities.
- B. Implementation and Termination Schedule: Within 15 days of the date established for submittal of the Contractor's Construction Schedule, each Prime Contractor shall submit a schedule indicating implementation and termination of each temporary utility for which the Contractor is responsible.
- C. Temporary Signage: Provide shop drawings, indicating the size and layout of the signs, color choices for Owner selection and installation details. Temporary site signage is by the General Contractor (interior) and Site Work Contractor (exterior) if included within the bidding documents, otherwise shall be the responsibility of the General Contractor. In the absence of the Site Work Contractor, General Contractor shall own.

# **1.5 QUALITY ASSURANCE**

- A. Regulations: The Prime Contractor shall comply with industry standards and with applicable laws and regulations of authorities having jurisdiction including, but not limited to, the following:
  - 1. Building code requirements.
  - 2. Health and safety regulations.
  - 3. Utility company regulations.
  - 4. Police, fire department and rescue squad rules.
  - 5. Environmental protection regulations.
- B. Standards: The Prime Contractor shall comply with NFPA 241 "Standard for Safeguarding Construction, Alterations, and Demolition Operations," ANSI-A10 Series standards for "Safety Requirements for Construction and Demolition," and NECA Electrical Design Library "Temporary Electrical Facilities."
  - 1. Trade Jurisdictions: Assigned responsibilities for installation and operation of temporary utilities are not intended to interfere with the normal application of trade regulations and union jurisdictions.
  - 2. Electrical Service: Comply with NEMA, NECA and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- C. Inspections: Arrange for authorities having jurisdiction to inspect and test each temporary utility before use. Obtain required certifications and permits.

#### **1.6 PROJECT CONDITIONS**

- A. Temporary Utilities: The Prime Contractor shall prepare a schedule indicating dates for implementation and termination of each temporary utility for which the Contractor is responsible. At the earliest feasible time, when acceptable to the Owner, change over from use of temporary service to use of permanent service.
  - 1. Temporary Use of Permanent Facilities: The Installer of each permanent service shall assume responsibility for its operation, maintenance, and protection during use as a construction facility prior to the Owner's acceptance, regardless of previously assigned responsibilities.
- B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Relocate temporary services and facilities as the Work progresses. Do not overload facilities or permit them to interfere with progress. Take necessary fire-prevention measures. Do not allow hazardous, dangerous, or unsanitary conditions, or public nuisances to develop or persist on-site.

#### PART 2 - PRODUCTS

#### 2.1 MATERIALS

- A. General: The Prime Contractor shall provide new materials. If acceptable to the Architect, undamaged, previously used materials in serviceable condition may be used. Provide materials suitable for use intended.
- B. Lumber and Plywood: Comply with requirements in Division 6 Section "Rough Carpentry."
  - 1. For job-built temporary offices, shops, and sheds within the construction area, provide ULlabeled, fire-treated lumber and plywood for framing, sheathing, and siding.
  - 2. For signs and directory boards, provide exterior-type, Grade B-B high-density concrete form overlay plywood of sizes and thicknesses indicated.
  - 3. For fences and vision barriers, provide minimum 3/8-inch- thick exterior plywood.
  - 4. For safety barriers, sidewalk bridges, and similar uses, provide minimum 5/8-inch- thick exterior plywood.
- C. Pavement: Comply with Division 2 Pavement Sections
- D. Insulation: Unfaced mineral-fiber blanket manufactured from glass, slag wool, or rock wool; with maximum flame spread and smoke developed indices of 25 and 50, respectively.
- E. Gypsum Wallboard: Provide gypsum wallboard on interior walls of temporary offices.
- F. Roofing Materials: Provide UL Class A standard-weight asphalt shingles or UL Class C mineralsurfaced roll roofing on roofs of job-built temporary offices, shops, and sheds.
- G. Paint: Comply with requirements of Division 9 Section "Painting."
  - 1. For job-built temporary offices, shops, sheds, fences, and other exposed lumber and plywood, provide exterior-grade acrylic-latex emulsion over exterior primer.
  - 2. For sign panels and applied graphics, provide exterior-grade alkyd gloss enamel over exterior primer.
  - 3. For interior walls of temporary offices, provide 2 coats interior latex-flat wall paint.
- H. Tarpaulins: Provide waterproof, fire-resistant, UL-labeled tarpaulins with flame-spread rating of 15 or less. For temporary enclosures, provide translucent, nylon-reinforced, laminated polyethylene or polyvinyl chloride, fire-retardant tarpaulins.
- I. Water: Provide potable water approved by local health authorities.

J. Open-Mesh Fencing: Provide 0.12-inch- thick, galvanized 2-inch chainlink fabric fencing 6 feet high with galvanized barbed-wire top strand and galvanized steel pipe posts, 1-1/2 inches I.D. for line posts and 2-1/2 inches I.D. for corner posts.

#### 2.2 EQUIPMENT (Each Prime Contractor)

- A. General: Prime Contractor shall provide new equipment. If acceptable to the Architect, undamaged, previously used equipment in serviceable condition may be used. Provide equipment suitable for use intended.
- B. Water Hoses: Provide 3/4-inch heavy-duty, abrasion-resistant, flexible rubber hoses 100 feet long, with pressure rating greater than the maximum pressure of the water distribution system. Provide adjustable shutoff nozzles at hose discharge.
- C. Electrical Outlets: Provide properly configured, NEMA-polarized outlets to prevent insertion of 110- to 120-V plugs into higher voltage outlets. Provide receptacle outlets equipped with ground-fault circuit interrupters, reset button, and pilot light for connection of power tools and equipment.
- D. Electrical Power Cords: Provide grounded extension cords. Use hard-service cords where exposed to abrasion and traffic. Provide waterproof connectors to connect separate lengths of electric cords if single lengths will not reach areas where construction activities are in progress. Do not exceed safe length-voltage ratio.
- E. Lamps and Light Fixtures: Provide general service led or incandescent lamps of wattage required for adequate illumination. Provide guard cages or tempered-glass enclosures, where exposed to breakage. Provide exterior fixtures where exposed to moisture.
- F. Heating Units: Provide temporary heating units that have been tested and labeled by UL, FM, or another recognized trade association related to the type of fuel being consumed.
- G. Temporary Offices: Each Prime Contractor shall provide its own prefabricated or mobile units or similar job-built construction with lockable entrances, operable windows, and serviceable finishes. Provide heated and air-conditioned units on foundations adequate for normal loading.
- H. Temporary Toilet Units: Provide self-contained, single-occupant toilet units of the chemical, aerated recirculation, or combustion type. Provide units properly vented and fully enclosed with a glass-fiber-reinforced polyester shell or similar nonabsorbent material.
- I. Fire Extinguishers: Provide hand-carried, portable, UL-rated, Class A fire extinguishers for temporary offices and similar spaces. In other locations, provide hand-carried, portable, UL-rated, Class ABC, dry-chemical extinguishers or a combination of extinguishers of NFPA-recommended classes for the exposures.
  - 1. Comply with NFPA 10 and NFPA 241 for classification, extinguishing agent, and size required by location and class of fire exposure.

# PART 3 - EXECUTION

#### 3.1 TEMPORARY UTILITY INSTALLATION

- A. General: Engage the appropriate local utility company to install temporary service or connect to existing service. Where the company provides only part of the service, provide the remainder with matching, compatible materials and equipment. Comply with company recommendations.
  - 1. Arrange with the company and existing users for a time when service can be interrupted, if necessary, to make connections for temporary services.
  - 2. Provide adequate capacity at each stage of construction. Prior to temporary utility availability, provide trucked-in services.
  - 3. Obtain easements to bring temporary utilities to the site where the Owner's easements cannot be used for that purpose.

- B. Water Service: All Prime Contractors shall provide and maintain temporary water service and distribution for the scope of their work. Piping of sizes and pressures adequate for construction and hose bibs on site as to provide service to all areas of construction activities as directed by the Architect, as required throughout the construction period.
  - 1. Water service shall be potable and modified as required or as directed by the Architect, as Work progressed.
    - a. Sterilization: Sterilize temporary water piping prior to use.
  - 2. Wash Facilities: Install wash facilities supplied with potable water at convenient locations for personnel who handle materials that require wash up. Dispose of drainage properly. Supply cleaning compounds appropriate for each type of material handled.
  - 3. Drinking Water Facilities: Provide bottled water to employees.
    - a. The Prime Contractors shall provide containerized, tap-dispenser, bottled-water drinking-water units, including paper cup supply.
  - 4. Provide safety showers, eyewash fountains, and similar facilities for convenience, safety, and sanitation of personnel where applicable by OSHA.
  - 5. Users shall provide their own hoses to points of need, but shall practice prudent conservation.
- C. Temporary Electric Power Service: The Electrical Work Contractor shall provide and maintain temporary electric service consisting of main power hook-up and panel board and temporary lighting for site and existing building. Temporary service shall be maintained during all hours incase of emergency after hour response, and shall comply with all codes and regulations. System shall be modified as required or as directed by the Architect/Construction Manager as work progresses. Each Prime shall provide power distribution for its own use from EC's panel.
  - Electrical service:
  - 1. Obtain temporary service from existing building service or local power pole. If practical, power to each location shall be tapped at transformer vault or main distribution panel, ahead of main breakers to minimize demand on service equipment from operations. Over-current protection shall be installed as required.
  - 2. Provide disconnect at connection to service.
  - 3. Provide service conductors and equipment.
  - 4. Minimum power characteristics: 240/120 volt, single phase.
  - 5. Provide distribution equipment, feeders, and branch circuit panelboards to serve:
    - a. Temporary lighting.
    - b. Temporary convenience receptacles. (4 gang outlet boxes to allow for 50' extension cord; enough to accommodate requirements of the entire building)
    - c. To accommodate construction operations requiring power, use of power tools, electric heating and start up testing of permanent electric powered equipment prior to its permanent connection to electrical system.
  - 6. Each Contractor shall provide his own extension lines, and other special equipment; welding equipment shall run from generator trucks.
  - 7. The Electrical Work Contract shall be responsible for initial connections and final demolition of all temporary fixtures and wiring at direction of the Architect/Construction Manager.
  - 8. The Electrical Work Contract Contractor shall maintain OSHA standards for power and foot candle levels in all areas while workers occupy the space. The temporary lighting shall be energized daily at 6:30 A.M. to 9:00 P.M. as a minimum duration until permanent fixtures are installed. This shall be adjusted pending off shift work or at direction of the Architect/Construction Manager.
  - 9. Not unlike other equipment in this contract, upon installation, the temporary electric system becomes the property of the Owner and shall not be controlled by any one contractor.

- 10. Temporary Site Lighting: Electrical Work Contract to maintain existing exterior Lighting to adequately light the entrances and exits of project site. Temporary lighting shall be controlled by time clocks and lighting contactors; settings to be coordinated by the Architect/Construction Manager.
- 11. Each Prime Contractor will be responsible for coordinating hookup of their own project trailers to temporary electric pedestal. If abused, power from temporary service will be disconnected. The Electric Contractor shall erect poles safely sufficient for site power and telephone service. All installations shall conform to strictest standards. The Electric Contractor shall disconnect all items upon project completion.
- 12. Provide and install temporary power to abatement contractor's equipment as required up to the Abatement Contractor provided sub/supply panel
- D. Temporary Telephones: Each Prime Contractor shall provide temporary telephone service throughout the construction period for all personnel engaged in construction activities.
  - 1. Contractors are required to lease or purchase a cellular telephone to be used by their site superintendents for communication with the other primes and the Architect.
  - 2. Provide telephone lines for the following:
    - a. Provide a dedicated telephone line for a fax machine in each prime contractor's field office.
    - b. At each telephone, post a list of important telephone numbers.
- E. Sanitary Facilities: The General Work Contractor shall provide temporary portable chemical toilet facilities for all construction personnel. Comply with regulations and health codes for the type, number, location, operation, and maintenance of fixtures and facilities. Install where facilities will best serve the Project's needs.
  - 1. Provide toilet tissue, paper towels, paper cups, and similar disposable materials for each facility. Provide covered waste containers for used material.
  - 2. Toilets: Install self-contained toilet units. Shield toilets to ensure privacy. Use of pit-type privies will not be permitted.
    - a. Provide separate facilities for male and female personnel.
- F. Temporary Construction:
  - 1. Temporary bridging, decks, hoists, lifts, scaffolding, and cranes shall be the responsibility of Contractor requiring same.
  - 2. Provide temporary partitions to separate construction area from adjacent occupied areas. Construct partitions with non-combustible materials or fire-retardant plywood and seal seams and gaps to control transmission of dust to occupied areas. After completion of work, remove partitions and restore surfaces damaged by temporary provisions. This work is the responsibility of the General Work Contractor where applicable. Install temporary walls, zip walls, partition walls to separate Construction activities as directed from the Construction Manager
  - 3. Temporary entrances and exits to the building, shall be furnished, installed and maintained under the General Work Contractor as directed by the Architect/Construction Manager. Exits shall be maintained for exiting in emergency conditions until permanent structures are in place.
  - 4. Temporary entrances and exits to the site, shall be furnished, installed and maintained under the General Contractor as directed by the Construction Manager. Barrels, cones and other visual devices shall be used at all elevation changes subject to vehicle traffic. Fences, snow fences and NOT caution tape will be used to separate public from equipment, elevation hazards
- G. Daily cleanup

- 1. Dumpsters are to be provided by each contractor for the performance of their own work. Dumpsters will be inspected to assure they are not misused and removed and hauled to a recycling center off site for processing. THE OWNER NOR THE ARCHITECT/CONSTRUCTION MANAGER will not be responsible for the removal of any hazardous materials, this will be the responsibility of the Prime Contractor performing this scope.
- 2. The maintenance of a clean work site shall be the responsibility of each Contractor.
- 3. Each Contractor shall remove own debris daily from work area to waste disposal containers (dumpsters), time lapse not acceptable.
- 4. The condition of cleanliness in which an area is found, is the condition each Contractor shall leave.
- 5. Each and every Contractor working on site shall submit manpower on Friday at 8 A.M. to work as a team to remove debris to dumpsters until complete. At discretion of Architect/Construction Manager, a Contractor not complying may be back-charged for work performed by others. The responsibility of broom cleaning and debris disposal remains with each trade for their work and shall include use of sweeping compound.
- 6. Final cleaning shall be the responsibility of each Prime Contractor for his/her own work.
- 7. The Site Contractor shall handle all construction site snow removal as needed for work area safety or as directed by the Construction Manager.
- 8. Protection of Work: Each Prime Contractor is reminded to temporarily protect work in place until accepted by the Owner per Article 10 of the General Conditions of the Contract.
- 9. Collection and Disposal of Waste: Collect waste from construction areas and elsewhere daily. Comply with requirements of NFPA 241 for removal of combustible waste material and debris. Enforce requirements strictly. Do not hold materials more than 3 days during normal weather or 1 day when the temperature is expected to rise above 80°F (27°C). Handle hazardous, dangerous, or unsanitary waste materials separately from other waste by containerizing properly. Dispose of material lawfully. First aid requirements are the responsibility of each Contractor. Retain paragraph above where potable water is accessible from permanent or temporary lines. Where potable water is not available, retain paragraph below.

#### 3.2 INSTALLATION

- A. Use qualified personnel for installation of temporary facilities. Locate facilities where they will serve the Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required.
- B. The Prime Contractor shall provide each facility ready for use when needed to avoid delay. Maintain and modify as required. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.
- C. The Prime Contractor will be responsible for hookup of their own project trailers. Use of energy, including heat (shall be set back at night) if practical from electric service will be available. If abused, power from temporary service will be disconnected. All installations shall conform to strictest standards. The Electrical Contractor shall be responsible for hooking up Construction Managers Trailers. Coordinate this installation and assume trailer to remain for a multiple phase/year construction project.

# 3.3 SUPPORT FACILITIES INSTALLATION

A. Each Prime Contractor is to have a field office. Locate field offices, storage sheds, sanitary facilities, and other temporary construction and support facilities for easy access as directed by the Architect /Construction Manager.

- 1. Maintain support facilities until near Substantial Completion. Remove prior to Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to the Owner.
- B. Provide incombustible construction for offices, shops, and sheds located within the construction area or within 30 feet of building lines. Comply with requirements of NFPA 241.
- C. Field Offices: Each Prime Contractor shall provide an insulated, weathertight temporary office of sufficient size to accommodate required office personnel at the Project Site. Keep the office clean and orderly for use for small meetings. Furnish and equip offices as follows:
  - 1. Furniture: Furnish with a desk and chairs, a 2-drawer file cabinet, plan table, plan rack, and a bookcase.
  - 2. Equip with a water cooler and private toilet complete with water closet, lavatory, and medicine cabinet unit with a mirror.
- D. Storage and Fabrication Sheds: Install storage and fabrication sheds sized, furnished, and equipped to accommodate materials and equipment involved, including temporary utility service. Sheds may be open shelters or fully enclosed spaces within the building or elsewhere on-site.
- E. Temporary Parking/Staging and Access Roads
  - 1. Temporary roads are installed and/or maintained by the Site Work Contractor where designated on site logistics plans.
  - 2. Contractors will be permitted to utilize existing roads, as designated (as segregated by the Owner if required).
  - 3. Road Cleaning: Maintain roads and walkways in an acceptably clean condition. This includes the removal of debris daily, if required, and/or a minimum of once a week due to all project traffic. Road cleaning equipment to be wet/vacuum type. The Site Work Contractor will clean the roads affected by all contract work and wet as necessary for dust mitigation/control. The Site Work Contractor will maintain roads until project completion.
  - 4. Snow and ice removal: Site Work Contractor shall maintain access for all suitable parking areas, driving areas, work scope areas. Other primes shall provide the Site Work Contractor support as required to relocate tools, supplies, equipment for the removal of snow by the Site Work Contractor. As directed by the Architect/Construction Manager, snow shall be transported off site, as required to maintain a safe and productive work area.
  - 5. Contractor Parking/ Staging Area: Site Work Contractor shall maintain access for suitable parking areas as indicated on Logistics plans. Re-grade, re-seed and restore any areas disturbed by parking/ staging.
    - a. Parking Areas: Includes contractors' employees and construction vehicle parking. Minimum of 6" reference Item. #304.3 course.
    - b. Access Roads: Includes access roads for delivery through staging area to building work areas, and to equipment and storage areas and sheds. Minimum of 9" reference Item. #304.3 course.
  - 6. Temporary parking by construction personnel shall be allowed only in areas so designated.
  - 7. Traffic Regulations:
    - a. Utilize only entrances/temporary roads as designated
    - b. Construction parking will not be allowed adjacent to residential buildings, additions or monuments.
  - 8. Traffic Controls: The Site Work Contractor provide temporary traffic controls at junction of temporary roads with public roads. Include warning signs for public traffic and "STOP" signs for entrance onto public roads. Comply with requirements of authorities having jurisdiction. A site traffic plan and protection will be submitted and approved to the Construction Manager prior to the start of construction. Plans shall include but not limited to vehicle division and protection, pedestrian division and protection, weekend plans, inclement weather plan, signage.

- 9. General Construction Contract shall provide temporary enclosure of the building's roof windows and doors prior to "Permanent Enclosure".
- 10. Temporary heat, ventilation, humidity control, and enclosure of the building prior to "Permanent Enclosure" where these facilities are necessary for its construction activity but have not yet been completed by the responsible prime contractor.
- 11. Temporary ventilation to control temperature and humidity is required by the Contractor responsible for installing the specified finish and equipment as these finishes may be damaged be excessive humidity or promote the growth of mold. The permanent HVAC system shall not be relied upon to provide the necessary ventilation or conditioning of the humidity in the building. Each Contractor is required to protect their work in place and provide the necessary ventilation and or humidity control.
- F. De-watering Facilities and Drains:
  - 1. For temporary drainage and dewatering facilities and operations not directly associated with construction activities included under individual Sections, comply with dewatering requirements of applicable Division 2 Sections. Where feasible, use the same facilities. Maintain the site, excavations, and construction free of water.
  - 2. For temporary drainage and de-watering facilities and operations directly associated with the building and other construction activities, comply with Division 2; Site Work Contractor is directly responsible for de-watering of all excavations and general site as required .
- G. Temporary Enclosures: The General Construction Work contractor shall provide temporary enclosure for protection of construction in progress and completed, from exposure, foul weather, other construction operations, and similar activities as follows unless otherwise noted:
  - 1. Install tarpaulins securely, with incombustible wood framing and other materials. Close openings of 25 sq. ft. (2.3 sq. m) or less with plywood of similar materials.
  - 2. Close openings through floor decks and horizontal surfaces with load-bearing, wood-framed construction.
  - 3. Where temporary wood or plywood enclosure exceeds 100 sq. ft. (9.2 sq. m) in area, use UL-labeled, fire-retardant treated material for framing and main sheathing.
  - 4. Generally, temporary closures for specific openings for a Prime Contractor to perform their work openings are the responsibility of Contractor creating the opening and shall be installed to protect building from exterior elements.
  - 5. Temporary partitions shall be installed at all openings where additions connect to existing buildings, and where required to protect areas, spaces, property, personnel, students, and faculty; to separate and control dust, debris, noise, access, sight, fire areas, safety and security and to separate phased construction areas per the phasing plan. Temporary partitions shall be installed and maintained. Construction material and methods to suit need as determined by Architect/Construction Manager.
  - 6. Temporary Lifts and Hoists: Provide facilities for hoisting materials and employees. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- H. Temporary Signs: Prepare signs to provide directional information to construction personnel and visitors as follows (per site): The General Construction Work Contractor shall furnish and install construction signage as required:
  - a. Engage an experienced sign painter to apply graphics. Comply with details indicated.
  - b. For construction traffic control/flow at entrances/exits, as designated by the Owner (3 required)
  - c. To direct visitors (2 required)
  - d. For construction parking (2 required)
  - e. To direct deliveries (2 required)

- f. For warning signs as required
- g. Per OSHA standards as necessary
- h. For trailer identification
- i. Temporary exit signs
- I. Collection and Disposal of Waste: Collect waste from construction areas and elsewhere daily. Comply with requirements of NFPA 241 for removal of combustible waste material and debris. Enforce requirements strictly. Do not hold materials more than 7 days during normal weather or 3 days when the temperature is expected to rise above 80 deg F. Handle hazardous, dangerous, or unsanitary waste materials separately from other waste by containerizing properly. Dispose of material lawfully.

#### **3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION**

- A. Operations of the Contractor may not block, hinder, impede, or otherwise inhibit the safe and expeditious exiting of the building's occupants during an emergency.
- B. The Site Work Contractor will maintain site access for emergency personal. In the event of an emergency, (designated by the sounding of the fire alarm system) all construction activities must immediately cease. Contractor's work force will evacuate themselves from work areas and remain outside of work areas until the "all clear" is given. No work operations will be tolerated during the evacuation of the building or during an emergency.
- C. Temporary Facility Changeover: Except for using permanent fire protection as soon as available, do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion, or longer, as requested by the Architect.
- D. Temporary Fire Protection: General Work Contractor shall provide, until fire-protection needs are supplied by permanent facilities, install and maintain temporary fire-protection facilities of the types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 10, "Standard for Portable Fire Extinguishers," and NFPA 241, "Standard for Safeguarding Construction, Alterations, and Demolition Operations."
  - 1. Locate fire extinguishers where convenient and effective for their intended purpose, but not less than one extinguisher on each floor at or near each usable stairwell.
  - 2. Store combustible materials in containers in fire-safe locations.
  - 3. Maintain unobstructed access to fire extinguishers, fire hydrants, temporary fire-protection facilities, stairways, and other access routes for fighting fires. Prohibit smoking in hazard-ous fire-exposure areas.
  - 4. Provide supervision of welding operations, combustion-type temporary heating units, and similar sources of fire ignition.
  - 5. Permanent Fire Protection: At the earliest feasible date in each area of the Project, complete installation of the permanent fire-protection facility, including connected services, and place into operation and use. Instruct key personnel on use of facilities.
- E. Fall Protection:
  - 1. The General Construction Work Contractor shall provide temporary cable/railing top & mid railings per OSHA regulations around floor openings. Most of the exterior can be done by running cables from column to column, but some areas may require you to install posts as well. Include toe boards around perimeter and openings where required. The Prime Contractor must provide his own means for providing OSHA approved fall protection for his work persons. Temporary railings removed by a Prime Contractor for some reason other than constructing the permanent wall, must be immediately replaced by that Prime Contractor.
  - 2. The General Construction Work Contractor shall rope off all roof openings in an OSHA approved manner. Include fluorescent ribbons or flags to accent the ropes

- F. Barricades, Warning Signs, and Lights: Comply with standards and code requirements for erecting structurally adequate barricades. Paint with appropriate colors, graphics, and warning signs to inform personnel and the public of the hazard being protected against. Where appropriate and needed, provide lighting, including flashing red or amber lights.
- G. Enclosure Fence: The Site Work Contractor shall before, excavation begins, install an enclosure fence with lockable entrance gates. Locate where indicated, or enclose the entire site or the portion determined sufficient to accommodate construction operations. Install in a manner that will prevent people, dogs, and other animals from easily entering the site, except by the entrance gates.
  - 1. Provide open-mesh, 8-foot high chainlink fencing with posts at 8-feet on center, set in a compacted mixture of gravel and earth. Snow fence shall not be used to protect pedestrians from the work space
  - 2. Provide min. 3 double swing access gates and man gates. Each gate is to have a chain and padlock.
    - a. Provide (2) keys for each lock to the Architect/Construction Manager.
  - 3. Remove fence upon completion of all exterior activities or sooner if directed by Architect.
- H. Security Enclosure and Lockup: The Site Work Contractor shall install substantial temporary enclosure of partially completed areas of construction. Provide locking entrances to prevent unauthorized entrance, vandalism, theft and similar violations of security.
  - 1. Storage: Where materials and equipment must be stored, and are of value or attractive for theft, provide a secure lockup. Enforce discipline in connection with the installation and release of material to minimize the opportunity for theft and vandalism.
- I. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction in ways and by methods that comply with environmental regulations, and minimize the possibility that air, waterways, and subsoil might be contaminated or polluted or that other undesirable effects might result. Avoid using tools and equipment that produce harmful noise. Restrict use of noise-making tools and equipment to hours that will minimize complaints from persons or firms near the site.

#### 3.5 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. Limit availability of temporary facilities to essential and intended uses to minimize waste and abuse.
- B. Maintenance: Maintain facilities and good operating condition until removal. Protect from damage by freezing temperatures and similar elements.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
  - 2. Protection: Prevent water-filled piping from freezing. Maintain markers for underground lines. Protect from damage during excavation operations.
- C. Termination and Removal: Unless the Architect/Construction Manager requests that it be maintained longer, remove each temporary facility when the need has ended, when replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with the temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. Materials and facilities that constitute temporary facilities are the property of each prime contractor. The Owner reserves the right to take possession of project identification signs.

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- 2. Remove temporary paving not intended for or acceptable for integration into permanent paving. Where the area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil in the area. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at the temporary entrances, as required by the governing authority.
- 3. At Substantial Completion, clean and renovate permanent facilities used during the construction period including, but not limited to, the following:
  - a. Replace air filters and clean inside of ductwork and housings.
  - b. Replace significantly worn parts and parts subject to unusual operating conditions.
  - c. Replace lamps burned out or noticeably dimmed by hours of use.

END OF SECTION 01 50 00

#### EXECUTION

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#### SECTION 017300 EXECUTION

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Construction Layout.
  - 2. Field Engineering and Surveying.
  - 3. Installation of the Work.
  - 4. Cutting and patching.
  - 5. Coordination of Owner-Installed Products.
  - 6. Progress Cleaning.
  - 7. Starting and Adjusting.
  - 8. Protection of Installed Construction.
- B. Related Requirements:
  - 1. Drawings and general provision of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Division 01 Summary" for limits on use of Project site.
  - 3. Division 01 "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.

#### **1.2 DEFINITIONS**

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

#### **1.3 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For land surveyor.
- B. Certificates: Submit certificate signed by land surveyor, certifying that location and elevation of improvements comply with requirements.
- C. Cutting and Patching Plan: Submit plan describing procedures at least (10) ten days prior to the time cutting and patching will be performed. Include the following information:
  - 1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
  - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
  - 3. Products: List products to be used for patching and firms or entities that will perform patching work.
  - 4. Dates: Indicate when cutting and patching will be performed.

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- 5. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.
  - a. Include description of provisions for temporary services and systems during interruption of permanent services and systems.
- D. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.

#### 1.4 QUALITY ASSURANCE

- A. Cutting and Patching: Comply with requirements for, and limitations on cutting and patching of construction elements.
  - 1. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection
  - 2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
    - a. Operational elements include but are not limited to the following:
      - 1) Primary operational systems and equipment.
      - 2) Fire separation assemblies.
      - 3) Air or smoke barriers.
      - 4) Fire-suppression systems.
      - 5) Mechanical systems piping and ducts.
      - 6) Control systems.
      - 7) Communication systems.
      - 8) Fire-detection and -alarm systems.
      - 9) Conveying systems.
      - 10) Electrical wiring systems.
      - 11) Operating systems of special construction.
  - 3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety.
    - a. Other construction elements include but are not limited to the following:
      - 1) Water, moisture, or vapor barriers.
      - 2) Membranes and flashings.
      - 3) Exterior curtain-wall construction.
      - 4) Spray applied fire-resistive material.
      - 5) Equipment supports.
      - 6) Piping, ductwork, vessels, and equipment.
      - 7) Noise- and vibration-control elements and systems.

EXECUTION

- 4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- B. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

#### **PART 2 PRODUCTS**

#### 2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.
- C. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

#### **PART 3 EXECUTION**

#### 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:

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- 1. Description of the Work.
- 2. List of detrimental conditions, including substrates.
- 3. List of unacceptable installation tolerances.
- 4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

#### **3.2 PREPARATION**

- A. Existing Utility Information: Furnish information to local utility that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

#### **3.3 CONSTRUCTION LAYOUT**

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.
- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
  - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  - 2. Establish limits on use of Project site.
  - 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  - 4. Inform installers of lines and levels to which they must comply.
  - 5. Check the location, level and plumb, of every major element as the Work progresses.
  - 6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  - 7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.

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- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

#### 3.4 FIELD ENGINEERING AND SURVEYING

- A. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
  - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect before proceeding.
  - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.
- B. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
  - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
  - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.
- C. Certified Survey: On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and sitework.

#### **3.5 INSTALLATION OF THE WORK**

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
  - 4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.

- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

#### **3.6 CUTTING AND PATCHING**

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.

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- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 011000 "Summary".
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
    - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
    - b. Restore damaged pipe covering to its original condition.
  - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
    - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch extending to an inside or outside corner of a wall. Provide additional coats until patch blends with adjacent surfaces.
  - 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.

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- 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

#### 3.7 COORDINATION OF OWNER-INSTALLED PRODUCTS

- A. Site Access: Provide access to Project site for Owner's construction personnel.
- B. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction personnel.
  - 1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
  - 2. Preinstallation Conferences: Include Owner's construction personnel at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction personnel if portions of the Work depend on Owner's construction.

#### 3.8 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
  - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  - 2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  - 4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  - 1. Remove liquid spills promptly.
  - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.

- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 015000 "Temporary Facilities and Controls".
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

#### 3.9 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: Comply with qualification requirements in Section 014000 "Quality Requirements".

#### 3.10 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

#### END OF SECTION 017300

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#### CLOSEOUT PROCEDURES

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#### SECTION 017700 CLOSEOUT PROCEDURES

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Substantial Completion procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
  - 5. Repair of the Work.
- B. Related Requirements:
  - 1. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Section 017300 Execution, for progress cleaning of Project site.
  - 3. Section 017823 Operation and Maintenance Data, for operation and maintenance manual requirements.
  - 4. Section 017839 Project Record Documents, for submitting record Drawings, record Specifications, and record Product Data.
  - 5. Section 017900 Demonstration and Training, for requirements for instructing Owner's personnel.
  - 6. Divisions 02 through 49 Sections for specific closeout and special cleaning requirements for the Work in those Sections.

#### **1.2 ACTION SUBMITTALS**

- A. Product Data: For cleaning agents.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- C. Certified List of Incomplete Items: Final submittal at Final Completion.

#### **1.3 CLOSEOUT SUBMITTALS**

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.

# 1.4 MAINTENANCE MATERIAL SUBMITTALS

A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

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#### 1.5 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete. <u>The Architect will not perform a punch list inspection until the contractor's punch list is received and reviewed.</u>
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of (30) thirty days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
  - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Owner. Label with manufacturer's name and model number where applicable.
    - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Owner's signature for receipt of submittals.
  - 5. Submit test/adjust/balance records.
  - 6. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of (30) thirty days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
  - 2. Complete startup and testing of systems and equipment
  - 3. Submit test/adjust/balance records.
  - 4. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  - 5. Perform preventive maintenance on equipment used prior to Substantial Completion. Complete startup testing of systems.
  - 6. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 017900 "Demonstration and Training".
  - 7. Touch up paint and otherwise repair and restore damaged finishes.
  - 8. Complete final cleaning requirements, including touchup painting

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- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of (30) thirty days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
  - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
    - a. The Architects basic services include (1) one initial punch list and (1) one follow-up punch list inspection to ensure all corrective action and or incomplete work has been finished. The Contractor is responsible to the Owner for all costs incurred by the Architect for additional services to provide multiple punch lists for the same work area. The cost for these additional services, may be deducted from the Contractors Contract by deduct Change Order.
  - 2. Results of completed inspection will form the basis of requirements for final completion.

#### **1.6 FINAL COMPLETION PROCEDURES**

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:
  - 1. Submit a final Application for Payment according to Section 012900 "Payment Procedures".
  - 2. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  - 3. Submit pest-control final inspection report.
  - 4. Obtain and submit releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 5. Advise Owner of pending insurance changeover requirements.
  - 6. Advise Owner of changeover in heat and other utilities.
  - 7. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  - 8. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
  - 9. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
  - 10. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.
  - 11. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 12. Prepare and submit Project Record Documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.

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- B. Inspection: Submit a written request for final inspection to determine acceptance, a minimum of (10) ten days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
  - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

# **1.7 LIST OF INCOMPLETE ITEMS (PUNCH LIST)**

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  - 1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
  - 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
  - 3. Include the following information at the top of each page:
    - a. Project name.
    - b. Date
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Page number.
  - 4. Submit list of incomplete items in the following format:
    - a. MS Excel electronic file. Architect will return annotated file.

# **1.8 SUBMITTAL OF PROJECT WARRANTIES**

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within (15) fifteen days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
  - 1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.

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- 4. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
- D. Provide additional copies of each warranty to include in operation and maintenance manuals.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
  - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

# **PART 3 EXECUTION**

#### **3.1 FINAL CLEANING**

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Remove snow and ice to provide safe access to building.
    - f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - h. Sweep concrete floors broom clean in unoccupied spaces.
    - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
    - j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.

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- k. Remove labels that are not permanent.
- 1. Wipe surfaces of mechanical, electrical, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
- m. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
- n. Leave Project clean and ready for occupancy.
- C. Construction Waste Disposal: Comply with waste disposal requirements in Section 015001 "Temporary Facilities and Controls - Multiple Prime Contracts"

#### **3.2 REPAIR OF THE WORK**

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.

#### **END OF SECTION 017700**

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# SECTION 017823 OPERATION AND MAINTENANCE DATA

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory.
  - 2. Emergency manuals.
  - 3. Operation manuals for systems, subsystems, and equipment.
  - 4. Product maintenance manuals.
  - 5. Systems and equipment maintenance manuals.
- B. Related Requirements:
  - 1. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
  - 2. Section 013300 Submittal Procedures, for submitting copies of submittals for operation and maintenance manuals.
  - 3. Divisions 02 through 49 Sections for any specific closeout requirements for the Work in those Sections.

#### **1.2 DEFINITIONS**

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

#### **1.3 CLOSEOUT SUBMITTALS**

- A. Manual Content: Operations and maintenance manual content is specified in individual Specification Sections to be reviewed at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect and Commissioning Authority will comment on whether content of operations and maintenance submittals are acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operations and maintenance manuals in the following format:
  - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect.
    - a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
    - b. Enable inserted reviewer comments on draft submittals.
- C. Initial Manual Submittal: Submit draft copy of each manual at least (30) thirty days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.

- D. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least (15) fifteen days before commencing demonstration and training. Architect will return copy with comments.
  - 1. Correct or revise each manual to comply with Architect's comments. Submit copies of each corrected manual within (15) fifteen days of receipt of Architect's comments and prior to commencing demonstration and training.

### **PART 2 PRODUCTS**

#### 2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:
  - 1. List of documents.
  - 2. List of systems.
  - 3. List of equipment.
  - 4. Table of contents.
- B. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
  - 1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  - 2. File Names and Bookmarks: Bookmark individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
- C. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems".

#### 2.2 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  - 1. Title page.
  - 2. Table of contents.
  - 3. Manual contents.
- B. Title Page: Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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- 4. Date of submittal.
- 5. Name and contact information for Contractor.
- 6. Name and contact information for Construction Manager.
- 7. Name and contact information for Architect.
- 8. Name and contact information for Commissioning Authority.
- 9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
- 10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
  - 1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
  - 1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  - 2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.

#### 2.3 EMERGENCY MANUALS

- A. Content: Organize manual into a separate section for each of the following:
  - 1. Type of emergency.
  - 2. Emergency instructions.
  - 3. Emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
  - 1. Fire.
  - 2. Flood.
  - 3. Gas leak.
  - 4. Water leak.
  - 5. Power failure.
  - 6. Water outage.
  - 7. System, subsystem, or equipment failure.
  - 8. Chemical release or spill.

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OPERATION AND MAINTENANCE DATA

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- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include the following, as applicable:
  - 1. Instructions on stopping.
  - 2. Shutdown instructions for each type of emergency.
  - 3. Operating instructions for conditions outside normal operating limits.
  - 4. Required sequences for electric or electronic systems.
  - 5. Special operating instructions and procedures.

#### 2.4 **OPERATION MANUALS**

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
  - 1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
  - 2. Performance and design criteria if Contractor has delegated design responsibility.
  - 3. Operating standards.
  - 4. Operating procedures.
  - 5. Operating logs.
  - 6. Wiring diagrams.
  - 7. Control diagrams.
  - 8. Piped system diagrams.
  - 9. Precautions against improper use.
  - 10. License requirements including inspection and renewal dates.
- B. Descriptions: Include the following:
  - 1. Product name and model number. Use designations for products indicated on Contract Documents.
  - 2. Manufacturer's name.
  - 3. Equipment identification with serial number of each component.
  - 4. Equipment function.
  - 5. Operating characteristics.
  - 6. Limiting conditions.
  - 7. Performance curves.
  - 8. Engineering data and tests.
  - 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include the following, as applicable:
  - 1. Startup procedures.
  - 2. Equipment or system break-in procedures.
  - 3. Routine and normal operating instructions.
  - 4. Regulation and control procedures.
  - 5. Instructions on stopping.
  - 6. Normal shutdown instructions.
  - 7. Seasonal and weekend operating instructions.
  - 8. Required sequences for electric or electronic systems.

- 9. Special operating instructions and procedures.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed and identify color-coding where required for identification.

#### 2.5 PRODUCT MAINTENANCE MANUALS

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

# 2.6 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.

OPERATION AND MAINTENANCE DATA

- C. Manufacturers' Maintenance Documentation: Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
  - 1. Standard maintenance instructions and bulletins.
  - 2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
  - 3. Identification and nomenclature of parts and components.
  - 4. List of items recommended to be stocked as spare parts.
- D. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
  - 1. Test and inspection instructions.
  - 2. Troubleshooting guide.
  - 3. Precautions against improper maintenance.
  - 4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - 5. Aligning, adjusting, and checking instructions.
  - 6. Demonstration and training video recording, if available.
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
  - 1. Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
  - 2. Maintenance and Service Record: Include manufacturers' forms for recording maintenance.
- F. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- H. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

#### **PART 3 EXECUTION**

#### 3.1 MANUAL PREPARATION

- A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.
- B. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- C. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- D. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.

OPERATION AND MAINTENANCE DATA

- 1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
- 2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
  - 1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- F. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
  - 1. Do not use original project record documents as part of operation and maintenance manuals.
  - 2. Comply with requirements of newly prepared record Drawings in Section 017839 "Project Record Documents".
- G. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

# END OF SECTION 017823

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#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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PROJECT RECORD DOCUMENTS

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# SECTION 017839 PROJECT RECORD DOCUMENTS

#### PART 1 GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
  - 3. Record Product Data.
  - 4. Miscellaneous record submittals.
- B. Related Requirements:
  - 1. Division 01 "Multiple Contract Summary" for coordinating project record documents covering the Work of multiple contracts.
  - 2. Division 01 "Execution" for final property survey.
  - 3. Division 01 "Closeout Procedures" for general closeout procedures.
  - 4. Division 01 "Operation and Maintenance Data" for operation and maintenance manual requirements.
  - 5. Divisions 02 through 49 Sections for specific requirements for project record documents of the Work in those Sections.

#### **1.2 CLOSEOUT SUBMITTALS**

- A. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit copies of record Drawings as follows:
    - a. Initial Submittal:
      - 1) Submit (2) two paper-copy set(s) of marked-up record prints.
      - 2) Submit PDF electronic files of scanned record prints and (1) one of file prints.
      - 3) Architect will indicate whether general scope of changes, additional information recorded, and quality of drafting are acceptable.
- B. Record Specifications: Submit (2) two paper copies and (1) one digital copy of Project's Specifications, including addenda and contract modifications.
- C. Record Product Data: Submit (2) two paper copies of each submittal.
  - 1. Where record Product Data are required as part of operation and maintenance manuals, submit duplicate marked-up Product Data as a component of manual.
- D. Miscellaneous Record Submittals: See other Specification Sections for miscellaneous recordkeeping requirements and submittals in connection with various construction activities. Submit (2) two paper copies of each submittal.
- E. Reports: Submit written report weekly, indicating items incorporated into project record documents concurrent with progress of the Work, including revisions, concealed conditions, field changes, product selections, and other notations incorporated.

#### NORTH ROCKLAND CSD

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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#### PROJECT RECORD DOCUMENTS

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#### **1.3 RECORD DRAWINGS**

- A. Record Drawings: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record drawings to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an acceptable drawing technique.
    - c. Record data as soon as possible after obtaining it.
    - d. Record and check the markup before enclosing concealed installations.
    - e. Cross-reference record prints to corresponding archive photographic documentation.
  - 2. Content: Types of items requiring marking include, but are not limited to, the following:
    - a. Dimensional changes to Drawings.
    - b. Revisions to details shown on Drawings.
    - c. Depths of foundations below first floor.
    - d. Locations and depths of underground utilities.
    - e. Revisions to routing of piping and conduits.
    - f. Revisions to electrical circuitry.
    - g. Actual equipment locations.
    - h. Duct size and routing.
    - i. Locations of concealed internal utilities.
    - j. Changes made by Change Order or Work Change Directive.
    - k. Changes made following Architect's written orders.
    - 1. Details not on the original Contract Drawings.
    - m. Field records for variable and concealed conditions.
    - n. Record information on the Work that is shown only schematically.
  - 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
  - 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
  - 5. Mark important additional information that was either shown schematically or omitted from original Drawings.
  - 6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
  - 7. Submit as indicated in the Article 1.2 final submittal.
- B. Record Digital Data Files: Immediately before inspection for Certificate of Substantial Completion, review marked-up record drawings with Architect. When authorized, prepare a full set of corrected digital data files of the Contract Drawings, as follows:
  - 1. Format: Same digital data software program, version, and operating system as the original Contract Drawings.
  - 2. Format: Annotated PDF electronic file. Annotated PDF electronic file with comment function enabled.

PROJECT RECORD DOCUMENTS

- 3. Incorporate changes and additional information previously marked on record prints. Delete, redraw, and add details and notations where applicable.
- 4. Refer instances of uncertainty to Architect for resolution.
- 5. Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
- 6. Architect will furnish Contractor one set of digital data PDF files of the Contract Drawings for use in recording information.
  - a. See Section 013300 "Submittal Procedures" for requirements related to use of Architect's digital data files.
- C. Newly Prepared Record Drawings: Prepare new Drawings instead of preparing record Drawings where Architect determines that neither the original Contract Drawings nor Shop Drawings are suitable to show actual installation.
  - 1. Format: Same digital data software program, version, and operating system as the original Contract Drawings.
  - 2. Consult Architect for proper scale and scope of detailing and notations required to record the actual physical installation and its relation to other construction. Integrate newly prepared record Drawings into record Drawing sets; comply with procedures for formatting, organizing, copying, binding, and submitting.
  - 3. Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
  - 4. Submit as indicated in the Article 1.2 final submittal.

#### 1.4 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
  - 4. For each principal product, indicate whether record Product Data has been submitted in operation and maintenance manuals instead of submitted as record Product Data.
  - 5. Note related Change Orders, record Product Data, and record Drawings where applicable.
  - 6. Submit as indicated in the Article 1.2 final submittal.

#### **1.5 RECORD PRODUCT DATA**

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, record Specifications, and record Drawings where applicable.

- 4. Include record Product Data directory organized by Specification Section number and title, electronically linked to each item of record Product Data.
- 5. Submit as indicated in the Article 1.2 final submittal.

# 1.6 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
  - 1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals.
  - 2. Submit as indicated in the Article 1.2 final submittal.

# PART 2 PRODUCT (NOT USED)

#### PART 3 EXECUTION

#### **3.1 RECORDING AND MAINTENANCE**

- A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project.
- B. Maintenance of Record Documents and Samples: Store record documents and Samples in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect's and Construction Manager's reference during normal working hours.

#### END OF SECTION 017839

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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REPORTS ON EXPLORATION

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#### SECTION 020500 REPORTS ON EXPLORATION

#### PART 1 GENERAL

#### 1.1 1.01 RELATED DOCUMENTS

A. A. Drawings and general provisions of the Contract including other Division 1 and Technical Specification Sections apply to this Section.

#### 1.2 1.02 SUMMARY

- A. A. Section includes reference data collected by the Owner prior to the bidding period as follows:
  - 1. 1. Geotechnical evaluation of the site.

# 1.3 1.03 INVESTIGATIONS

- A. A. Geotechnical investigations titled Geotechnical Engineering Report Stony Point Elementary School Circulation Improvements, dated May 14, 2025 were performed by Siamak Koochak, P.E. (908)456-0026.
- B. B. Bidders are encouraged to examine the data and to make their own visual investigations of the site before bidding.
- C. C. Contractors may perform additional test borings and other exploratory operations at no additional cost to the Owner upon approval of the Construction Manager and Architect.

#### 1.4 1.04 REPORTS

- A. A. Any Prime Contractor, both during bidding and after execution of the Contract, are permitted to investigate the nature, character, quality and quantity of above ground and below ground conditions apt to be encountered. Any reliance on data made available by the Owner is at the Contractor's risk.
- B. B. No claim whatsoever shall be made by any Prime Contractor against the Owner or the Project Designer for, or on account of such available data, or neglected of such data to be made available by the Owner or the Project Design team.

# 1.5 1.05 INTERPRETATIONS

A. A. Geotechnical data is provided with the Bidding Documents only for information and the convenience of Bidders. The Owner, Construction Manager and Architect disclaim any responsibility for the accuracy, true location and extent of the geotechnical investigation that has been prepared by others. They further disclaim responsibility for the interpretation of the data by Bidders, as in projecting subsurface logs and classifications.

#### **PART 2 PRODUCTS**

#### 2.1 NOT USED

#### **PART 3 EXECUTION**

#### **3.1 3.01 APPENDIX**

A. A. Geotechnical Engineering Report – Stony Point Elementary School Circulation Improvements, dated May 14, 2025, performed by Siamak Koochak, P.E. (908)456-0026.

#### END OF SECTION 020500

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#### 90 DONOVAN ROAD - OXFORD, CONN. 06478-1028

GEOTECHNICAL / ENVIRONMENTAL SUBSURFACE INVESTIGATIONS - Test Borings - Core Drilling Monitoring Wells - Recovery Wells - Direct Push/Probe Sampling UNDERPINNING - HELICAL PILES - SOIL NAILS

May 15 2025

The La Group 179 Graham Road, Suite D Ithaca NY 14850 Attn.: Kara Tedford

Re: Stony Point Elementary School 7 Gurnee Dr., Stony Point NY G95-3158-25

Dear Ms. Tedford,

Attached please find the Geotechnical Report, Test Boring logs and location plan for work in Stony Point NY along with our invoice.

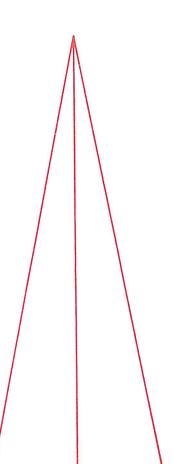
If you have any questions, please do not hesitate to contact us.

Very truly yours,

√ames A. DeAngelis President JAD:mv

# SOILTESTING, INC.

то	The La Group	DATE	15-May-25
	179 Graham Rd., Ithaca NY 14850		
SITELOCATION	7 Gurnee Dr., Stony Point NY		
BEPOBT SENT TO	Kara Tedford		
SAMPLES SENT TO			



90 Donovan Road Oxford, Connecticut 06478-1028 203-262-9328

Branch Office: White Plains, New York 10607 914-946-4850 JOB NO. G95-3158-25

# **GEOTECHNICAL ENGINEERING REPORT**

# **Stony Point Elementary School Circulation Improvements**

7 Gurnee Drive Stony Point, New York 10980

Prepared For: The LA Group 179 Graham Road, Suite D Ithaca, New York 14850

Prepared By: Siamak Koochak, P.E.

> Report Date: May 14, 2025

Project No. 25-015



Source: Google Earth – Imagery Date 05/2023

May 14, 2025

Joseph F. Kral, Jr. The LA Group 179 Graham Road, Suite D Ithaca, New York 14850

# Subject: Geotechnical Engineering Report

Stony Point Elementary School Circulation Improvements 7 Gurnee Drive Stony Point, New York 10980 Project Number: 25-015

Dear Joseph F. Kral, Jr.:

We are pleased to present this Geotechnical Engineering Report (the "report") which summarizes the results of our geotechnical investigation conducted and provides the recommendations for the circulation improvements project located at the abovementioned location. This report is intended for the use of only The LA Group (the "client") in in its entirety for the proposed project as described below. This report shall only be presented in full and may not be used to support any other objectives than those set out in the scope of work, except where written approval and consent are provided to the client.

The purpose of this geotechnical study is to investigate the subsurface conditions at the proposed site with exploratory borings, evaluate the engineering properties of the subsurface materials, and perform engineering analyses for developing design and construction recommendations for the proposed development.

Thank you for the opportunity to be of service to you in this phase of the project. If you have any questions, please contact us at (908)456-0026 and/or siamak@KoochakPE.com.

We appreciate the opportunity to be of service to you during this phase of the work.

Sincerely,



**GEOTECHNICAL ENGINEERING REPORT** Project No. 25-015 May 14, 2025

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Geologic Map

Appendix B: Boring Logs (Prepared by Soiltesting Inc.) Appendix C: Infiltration Testing Results Appendix D: Lab Testing Results

**GEOTECHNICAL ENGINEERING REPORT** Project No. 25-015 May 14, 2025

# **1.0 EXECUTIVE SUMMARY**

Engineering analyses were performed to evaluate the subsurface conditions for the proposed Stony Point Elementary School Circulation Improvement project and develop recommendations for the foundation design, construction, and utility support. The following summarizes the main findings of the exploration, particularly those that may have a cost impact on the planned development. This summary in no way replaces or overrides the detailed sections of the report. The principal conclusions are described below:

# **PROJECT INFORMATION:**

- <u>Proposed Construction</u>:
  - Proposed new pavement areas, site improvements, and a new retaining wall.

# FIELD EXPLORATION SCOPE AND SUBSURFACE CONDITIONS:

- Field Exploration:
  - Seven (7) SPT borings within the proposed development area.
  - Two (2) borehole infiltration tests.
- Subsurface Conditions:
  - Generally, Silty SAND (SM) or Clayey SAND (SC) with Gravel.
- Auger Refusal:
  - Encountered at depths ranging from about 8.5 to 9 feet below existing site grades.
- Ground Water:
  - Encountered at a depth of about 6 feet below existing site grades in Boring B-7 ONLY.

# EARTHWORK/DEWATERING CONSIDERATION:

- Removal of the remnants of the existing pavement, utilities, trees, root balls, and vegetation from within and extending up to 5 feet beyond the proposed development limits.
- Removal of possible undocumented fill that may be encountered during construction (Although it was not encountered in exploratory borings)
- Soil Reuse: Generally suitable for reuse if the fill soils are screened and meet the criteria provided in this report.

# **DESIGN & CONSTRUCTION RECOMMENDATIONS:**

- Pavement Subgrade and preparation:
  - Open graded aggregate material.
  - Installation of a drainage system.
- Retaining Wall Foundation:
  - Conventional spread foundations.
  - Min. foundation embedment (Frost): 48 inches below adjacent grades.

# 2.0 PROJECT INFORMATION

# 2.1 Geologic Setting and Hazards

The subject property is situated within the Town of Stony Point in Rockland County, which is located within the New England Province Physiographic Region of the State of New York. According to the Surficial Geologic Map of New York – Lower Hudson Sheet - 1989, surficial site soil is mapped as Till. Till typically refers to glacial till, which is unsorted sediment deposited directly by glacial ice and generally consists of variable textures including clay, silt, silt-clay, sand, and boulders.

Based on information obtained from the United States Department of Agriculture (USDA) Natural Resources Conservation Service Web Soil Survey online database, the subject property is mapped as Charlton fine sandy loam complex, with slope ranging from 2 to 15 percent The Charlton series consists of very deep, well drained fine sandy loam, gravelly loam, and very gravelly sandy loam soils formed in loamy melt-out till.

# **2.2 Project Location and Site Conditions**

The proposed development is part of the Stony Point Elementary School on an approximate 1.5-acre of land (limits of the scope of work/proposed development) located within a mainly residential area of Stony Point, New York. The portion of the site focused on for this project is currently developed as the entrance and egress to the site, lawn/wooded areas, and drive lanes. Figure 2 presents the approximate site limits. According to the elevations obtained from Google Earth, current site grades are relatively flat within the proposed redevelopment area, with elevations ranging from approximately 205 to 213 feet above Mean Sea Level (MSL). It should be noted that the elevations were obtained from Google Earth and should be considered approximate.

Adjoining Properties			
North:	Gurnee Drive followed by residential single-family homes	South:	A school building followed by wooded land
East:	Residential single-family homes	West:	Residential single-family homes

# 2.3 Historical Land Use

According to publicly available historical aerial photographs and topographic maps, the site was undeveloped vegetated land from at least 1953 until about 1964. The site was later cleared of vegetation and was developed with school buildings around 1965. The historical aerial photographs indicate that some features, such as a baseball field and drive lanes, were added on the school property between 1975 to 2006. The site has remained unchanged since. Historica aerial imaging and topographic maps prior to 1953 are not publicly available.

Historical Use Information			
Period/Date	Source	Description/Use	
Prior to 1964	Aerial Photographs, Topographic Maps	Undeveloped vegetated land	
1965	Aerial Photographs, Topographic Maps	Development of the school buildings	
1975 – 2006	Aerial Photographs, Topographic Maps	Construction of the new features	
2006 – Present	Aerial Photographs, Topographic Maps	Current configuration	

# 2.4 Proposed Construction Improvements

According to the provided plan enclosed in Request for Geotechnical Services Proposal document, the proposed development will consist of removal of the existing pavement and site features, placement of new pavement, parking stalls for the school buses, deliveries and vehicular traffic access, as well as a new retaining wall with vehicle guardrail located on the southeast corner of the school building. The planned type of surface cover for the pavement was not

selected and was not provided at the time this report was authored. Additionally, details regarding the type, length, and height of the proposed retaining wall were not provided to us. However, according to the existing site grades along the proposed location of the new retaining wall, it is anticipated that the retaining wall will have a maximum height of approximately 5 to 6 feet. Based on the plan provided in the document and using the plan scale, it is estimated that the retaining wall will be approximately 290 linear feet.

# 3.0 GEOTECHNICAL EXPLORATION AND TESTING

# 3.1 Field Exploration

As part of the geotechnical investigation for the proposed development, a total of seven (7) borings and two (2) infiltration tests were requested to be drilled and conducted at the locations provided by The LA Group. Between April 21 and April 29, 2025, the exploration borings, designated B-1 through B-7, advanced to a depth of about 8.5 to 16 feet below existing site grades. Approximate locations of the exploratory borings are shown on Figure 3.

Drilling was performed by Soiltesting, Inc. of Oxford, CT, using a track-mounted Dietrich D-50 drill rig fitted with a 140 lb. automatic hammer. The borings were advanced using Hollow-Stem Auger (HSA) drilling techniques with a 4¼ -inch inside diameter auger. Soil samples were obtained in borings in accordance with the American Society for Testing and Materials (ASTM) Standard D 1586. The Standard Penetration Test (SPT) consists of driving a sampler for a depth of 24 inches with repeated blows of a 140-pound hammer free-falling 30 inches. The standard penetration resistance, or N-value, is defined as the number of hammer blows required to drive the sampler for a 12-inch interval after an initial 6 inches of penetration and is measured in blows per foot (bpf). The soil samples obtained from the borings were visually classified using the Unified Soil Classification System and in accordance with ASTM Standard D2488.

Logs of subsurface conditions encountered in the boings were prepared in the field. Boring logs were prepared from the field logs and are presented in Appendix B.

Additionally, on April 22, 2025, two (2) infiltration tests were conducted in the designated locations, INF-1 and INF-2, to measure how quickly water infiltrates into and flows through the soil. Infiltration tests were conducted in a 4-in. O.D. casing, which is installed to a depth of 8 feet below the existing site grades in accordance with NYS Stormwater Management Design Manuel - Appendix D guidelines. Each infiltration location was set for a 24-hour presoak time prior to conducting the test. The results of the infiltration tests are provided in Appendix C.

# 3.2 Laboratory Testing

Soil samples that were retained from the test borings were transported to Skylands Testing, LLC, a geotechnical laboratory, where they were classified using the descriptive terms and particle-size criteria shown in Appendix D, and by using the Unified Soil Classification System (ASTM D 2488) as a general guide.

# 4.0 GENERALIZED SUBSURFACE CONDITIONS

The following section is a generalization of the subsurface conditions that were encountered at the time of the site investigation.

# 4.1.1 Surface Cover

An approximate 3- to 10-in. thick layer of topsoil was encountered at the surface in all the borings except Boring B-3 where an approximate 8-in. thick layer of asphaltic concrete pavement was encountered at the surface.

# 4.1.2 NATIVE: Silty SAND / Clayey SAND (USCS: SM / SC)

Beneath the surface layer, Silty Sand (SM) or Clayey SAND (SC) soils with varying amounts of Gravel were encountered to the terminal depths of the borings. Uncorrected SPT N-values ranged from 2 to 117 bpf. The native soils are characterized as very loose to very dense in terms of relative density.

# 4.1.3 Possible Bedrock

Auger refusal was encountered in Borings B-3, B-4, and B-7, at depths ranging from about 8.5 to 9 feet below existing site grades. Auger refusal is a relative term used to define material that could not be penetrated with the drilling equipment and rig used on the project. Auger refusal material may consist of large boulders, rock ledges, lenses, seams, or the top of parent bedrock. Given the geology of the site, we anticipated that the refusal material to be possible bedrock. The summary of subsurface conditions is shown in the table below:

Summary of Subsurface Condition				
Stratum	Approximate Depth to <u>Bottom</u> of Stratum	Material Description Based on SPT Borings	Relative Density / Quality	
Surface Cover	Approx. 3 to 10-in.	Topsoil / Asphalt Concrete Pavement	-	
Native	Terminal Depths of borings	Silty Sand (SM) / Clayey SAND (SC) with varying amounts of Gravel	Very loose to very dense	
Possible Bedrock	Approx. 8.5 to 9 feet (Borings B-3, B-4, and B-7)	-	-	

# 4.1.4 Groundwater

At the time of drilling, groundwater was encountered at an approximate depth of 6 feet below the existing site grade at test boring location B-7 only. Groundwater levels fluctuate over time and may be different at the time of construction and during project life.

# 5.0 CONCLUSION AND RECOMMENDATIONS

Based on the results of this study, it is our opinion that construction of the proposed development is feasible, provided the geotechnical recommendations are followed and that the standard level of care is maintained during construction. Further discussions of our geotechnical recommendations for the site redevelopment are presented in the following sections of this report.

# 5.1 Site Preparation Considerations

Site preparations should begin by removing all the existing pavement sections, trees, including root balls, topsoil, remnants of site features such as concrete curbs, as well as any roots, vegetation, or organic material within and two (2) feet beyond the proposed new pavement limits and five (5) feet beyond the proposed retaining wall foundations edges. An average pavement and topsoil thickness of approximately 10 inches was encountered in the explorations performed for this study; however, the actual stripping/removing thickness will depend on local development, construction traffic disturbance, and contractor care during clearing and stripping. Every effort should be made to minimize the disturbance of the on-site soils by construction traffic and surface water runoff. The on-site soil will likely become unsuitable if exposed to moisture and/or construction traffic. If these materials become overly wet, the on-site soil will likely require increased handling such as discing and drying during extended periods of favorable weather and/or partial over-excavation and geogrid stabilization. All existing utility lines, if there are any, should be safely disconnected and removed from within the proposed redevelopment area.

Following this work, within the proposed paved areas, extending two (2) feet beyond the limits in each direction, should be excavated to a depth of 24 inches below the planned pavement subgrade. The base of the excavation should be evaluated under the direction of a geotechnical engineer to verify that approved firm native site soils are present. The subgrade then should be scarified, and moisture conditioned to a depth of about 12 inches, and then should be densified in place using a heavy vibratory compactor having a minimum static weight of at least 20 tons, performing numerous passes in each direction to achieve a uniform subgrade. Once the compaction is completed, the exposed subgrade should be proofrolled and evaluated by the engineer with a loaded water truck (4,000 gallon) or equivalent rubber-tired equipment. Where excessive pumping and rutting, loose soils, or otherwise unsuitable materials are encountered during the evaluation, it should be over-excavated and replaced with approved compacted structural fill. In locations where proofrolling is not feasible, probing, dynamic cone penetration testing, or other methods may be employed. Any isolated loose areas that pump, deflect, or rut excessively should be over excavated and replaced with compacted structural fill.

Additionally, any particle size larger than 4 inches in greatest dimension <u>should not be used</u> directly below the pavement or retaining wall foundation. Any possible voids resulting from removing cobbles/boulders and possible construction debris, should be backfilled with approved compacted structural fill.

We recommend that the earthwork phase of the project be performed during the warmer, drier months of the year. Consideration should be given to weather conditions at the time of grading. Earthwork should be scheduled during seasonally dry periods and proper preparations should be made to deal with rain events, winter storms, frozen soil, etc.

# **5.2 Earthwork Considerations**

According to the provided document and existing site grades, we anticipate that excavations to a minimum depth of 4 feet below existing site grades will be required to prepare the proposed retaining wall foundation subgrade. The anticipated excavation depth may be higher based on the design of the wall. The wall designer should select an adequate foundation embedment depth to ensure the designed wall will be stable and overcome the potential sliding, overturning, and internal stability.

Although undocumented fill was not encountered in the exploratory borings, given the history of the project site and previous construction activities across the site, possible fill may be encountered during excavations or site preparation effort. Possible debris and deleterious material within the undocumented fill may deteriorate over time, which can cause differential settlement. As such, it would be considered unsuitable in its current state to support the new retaining wall foundation and pavement subbase support. Undocumented fill, if encountered, should be removed completely and replaced with approved structural fill or evaluated by a geotechnical engineer to verify if the fill soil is capable and suitable to support the new foundations and pavement.

We anticipated that the proposed retaining wall would retain new fill soils and would be constructed prior to the placement of the fill. Within the proposed retaining wall foundations, the subgrade should be evaluated by the geotechnical engineer to verify competent native site soils are present and that the area is free of any possible unsuitable undocumented fill, loose/soft unsuitable soils, grass, roots, or otherwise deleterious material from within the footprint of the proposed wall and extending out beyond the lowest wall limits by 5 feet. The subgrade should then be scarified, moisture conditioned to a depth of 12 inches and compacted in place using a heavy vibratory roller performing numerous passes in each direction.

The new fill areas should be compacted to 95% of maximum dry density as determined by ASTM D1557 to reduce the potential risk of differential settlement prior to placement of the new fill. The fill zone should start 5 feet from beyond the edge of the lowest proposed retaining wall and extend at a slope of 1H:1V (Horizontal: Vertical) to the base of the new fill. To achieve the recommended compaction rate, moisture conditioning, lift thickness, and appropriate compaction equipment selection will be needed.

Once the subgrade is approved, all structural fill placed below and behind the rear of the proposed retaining wall should consist of controlled compacted fill that has been approved by and installed under the observation of a representative of the geotechnical engineer. Due to the site constraints, only handheld compaction equipment or a rammex roller should be used. As such, structural fill should be placed in no more than 4 to 6 inches of loose thickness. Different recommended compaction specifications for site improvements are provided below:

All structural fill placed for the roadway subgrade and/or subbase should consist of controlled compacted fill that has been approved by and installed under the observation of a representative of the geotechnical engineer. Structural fill should be placed in layers on the order of 8 to 10 inches in loose thickness when heavy compaction equipment is used. Different recommended compaction specifications for site improvements are provided below:

Recommended Compaction Specifications		
Structure / Fill Location	Compaction / Moisture Specification	
Structural fill below the pavement subgrade	Compaction: A minimum of 95% of maximum dry density Moisture: ± 3% of optimum moisture content	
Top 1 foot of pavement subgrade	Compaction: A minimum of 95% of maximum dry density Moisture: ± 3% of optimum moisture content	
Below foundations, and within slopes steeper than 5H:1V	Compaction: A minimum of 95% of maximum dry density Moisture: ± 3% of optimum moisture content	

Compaction standards should be completed in accordance with Modified Proctor testing per ASTM D1557 specifications. These operations should be performed under the direction of a full-time geotechnical inspection and testing agency utilizing either the Sand Cone Method (ASTM D 1556), Nuclear Density Gauge (ASTM D2922 or D6938), or other industry approved moisture/density test methods at sufficient frequencies to ensure proper compaction.

# **5.3 Dewatering Considerations**

At the time of drilling, groundwater was encountered at an approximate depth of 6 feet below the existing outside grade at test boring location B-7 only. Groundwater levels fluctuate over time and may be different at the time of construction and during project life. While it is not anticipated that groundwater will pose a concern during construction/excavation, the contractor should be prepared to handle possible dewatering during utility installation

Positive drainage should be maintained during construction to prevent inundation of subgrade soils by surface water runoff. Ultimately, dewatering is the responsibility of the contractor performing the work

# 5.4 Soil Reuse and Structural Fill Considerations

On-site soils will be generally <u>suitable</u> for re-use as backfill soils below the proposed pavement and retaining wall subgrade levels, provided that the soils are free of roots, organic matter, or other deleterious material. If any possible existing undocumented fill soils are planned to be reused, they should be screened, and any if encountered, debris and/or other deleterious material should be removed from the soil matrix before reuse.

We anticipate that import off-site structural fill will be needed to achieve the proposed grading within the new retaining wall area. We recommend that off-site structural fill consists of predominantly well-graded, coarse to fine sand and/or gravel with a maximum of 15% plastic fines (material passing a No. 200 sieve), a plasticity index less than 20, and free of organics and other deleterious materials. Aggregate size should be limited to no bigger than 1 in. in the largest dimension. Off-site borrow material should meet the USCS designation SM, SP, SW, SC, GP, GM, GC, or GW and should be approved by the geotechnical engineer prior to use.

Alternatively, aggregate material such as AASHTO #57 stone may be used under the foundations in over-excavated areas.

#### 5.5 Subsurface Utilities

Contractors should provide adequate earth support and dewatering systems in any planned utility trench excavations, if applicable. Depending on the location and depths of the utility lines, the groundwater table may impact efforts. However, dewatering through the use of "sump and pump" techniques may be required in some areas to remove water seepage, especially if utility installation is performed during the wet season or after prolonged periods of inclement weather.

Utilities installed below any possible planned structural areas should be backfilled using controlled fill, compacted in accordance with the recommendations presented in the Excavation / Earthwork section of this report.

# 6.0 DESIGN RECOMMENDATIONS

The following discussion of findings for the site is based on the assumed construction, geologic review, and results of the field exploration.

# 6.1 Proposed Retaining Wall Considerations

Upon completion of site preparation and excavation of the proposed bottom of the wall foundation elevations, the base of the excavation should be prepared as previously described in the Earthwork Section of this report including an evaluation by the geotechnical engineer to ensure competent soils are present, with careful attention paid to the removal of any loose or unsuitable materials, or particles larger than 4-in. in diameter being removed from within the proposed retaining wall foundation subgrade and replaced with approved compacted structural fill.

The wall foundation should be founded with an embedment depth of at least 48 inches below adjacent grades for adequate frost protection and support. However, the wall designer may increase the foundation embedment depths during the design based on the potential of wall failures such as sliding, overturning, internal stability, and bearing capacity failure. Based on the soils encountered in our borings, an allowable bearing pressure of 2,000 psf, assuming the foundations bear on competent compacted soils, may be used for the design of the wall foundation. This value may be increased by 1/3 for wind and seismic loading. However, one of the boring explorations along the planned retaining wall areas was not advanced to sufficient depth due to the existing sloped site grades and lack of site access.

Any structural fill utilized to support the wall foundation shall extend at least 12 inches beyond the proposed limits of the foundation. The structural fill should be placed 12 inches horizontally for each 12 inches of over-excavation and replacement with structural fill placed below the base of the foundation. Alternatively, a thin layer of concrete (mud mats) at the foundation subgrade elevation to protect the subgrade may be considered.

Proper back drainage behind and at the toe of the proposed retaining wall should be considered to collect water that could potentially increase the hydrostatic pressure and should be discharged to an approved storm drain. The retaining wall foundation may be supported on a 12-inch-thick base layer of consolidated, open-graded gravel, such as ASSHTO #57 stone or similar material for free drainage. Additionally, surficial grading around the top of the walls should be considered in the design to prevent water from flowing over the wall and causing soil erosion. Weep holes should also be provided along the retaining wall. We recommend a minimum of 18 inches of open-graded gravel, such as ASTM C-33 #57 stone or similar material, be placed directly behind the walls to provide a free drainage layer. We recommend that a layer of non-woven geotextile fabric be placed between the existing soil and the newly placed structural fill. A minimum 2-ft overlap is recommended between adjoining geotextile sheets. The geofabric textile should be determined by the wall designer and manufacturer.

For new fill zones along the existing slope and a free-draining stone layer behind the foundation walls, we recommend that the backfill soils consist of free-draining, non-expansive soils. Structural fill should be placed in maximum 8 in. loose lifts and compacted to 95% of its maximum dry density and within 3% of the optimum moisture content as determined by the Modified Proctor Density Test (ASTM D 1557). Only smaller hand-held compacting equipment, such as a vibratory plate tamper or dual drum walk behind Rammex roller, should be used within 3 feet of the walls to avoid additional temporary or long-term lateral pressure and movement. Larger ride on heavy compaction equipment may be used outside of this zone. Finished site slopes should be protected from erosion as described above. The excavation and retaining wall construction should be continuously monitored in the field by a representative of the geotechnical engineer or a geologist.

## 6.2 Seismic Design Parameters

The seismic design recommendations presented in this section are in accordance with the local building code. We recommend **Site Class C**. The appropriate SO/RC should be determined by the Project Architect or the Project Structural Engineer. Based on the assumed Site Class and SO/RC, the recommended **Seismic Design Category is B**.

According to the American Society of Civil Engineers (ASCE) document 7-16 – Chapter 11 and 20; the site soil shall be classified using one of or a combination of the following: average shear wave velocity, average field standard penetration resistance, and/or average undrained shear strength in the upper 100 ft of the site profile. Where site-specific data is not available to a depth of 100 ft, appropriate soil properties can be estimated. In accordance with ASCE 7-16, earthquake design accelerations from the USGS website are provided below. The value provided below should be verified by the structural design team.

Seismic Item	Value	Seismic Item	Value
Site Classification	С	Seismic Design Category	В
Fa	1.3	Fv	1.5
Ss	0.286g	S1	0.061g
Sms	0.371g	S <sub>M1</sub>	0.091g
S <sub>DS</sub>	0.248g	S <sub>D1</sub>	0.061g
MCE <sub>G</sub> PGA	0.176g	Design PGA (2/3 of MCE <sub>G</sub> )	0.117g

## 6.3 Lateral Earth Pressure Parameters

Depending on the types of structures and soil types encountered, different lateral stress distributions may be needed. For this project, we anticipate that the information below will be used to design the retaining wall. We recommend that a specialty contractor with in-house engineering capabilities perform the design. Values provided in this table are unfactored. The designer should select appropriate safety factors for their design.

	Lateral Earth P	ressures	
Soil Type Soil Parameters	On Site Retained Soils (Sandy Soils)	Import Fill (Granular Soils)	Aggregate Backfill (Critical Zone)
Total Unit Weight (γ)	120	125	130
Friction Angle (°)	30	32	32
Cohesion (psf)	0	0	0
Coefficient of Friction (µ)	0.35	0.40	0.40
At-Rest Equivalent Fluid Pressure (psf)	60H	55H	60H
Active Equivalent Fluid Pressure (pcf)	40H	35H	40H
Passive Fluid Pressure (pcf)	350	400	400

## NOTE:

1. Groundwater was encountered at a depth of 6 feet at one test boring location only, at the time of drilling.

2. These loads should be modified by surcharge loads, if any.

3. The values provided in this table are UNFACTORED. The wall designer should select appropriate safety factors for their design.

Fluid pressures are the unit weight times lateral earth coefficients, rounded conservatively. Equations used are Ko = (1 - sin φ'), Ka = tan2(45° - φ/2); Kp = tan2(45° + φ/2).

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## 6.4 Pavement Recommendations

Upon completion of the subgrade preparation in accordance with the recommendations outlined in this report, depending on the type of pavement selected, either flexible or rigid, we recommend the new pavement section be constructed as provided in the tables below or in accordance with local requirements.

We were not given traffic information for pavement design; therefore, recommendations for the pavement sections are provided below and are based on an arbitrarily assumed traffic condition. It is critical that the project owner, developer, civil engineer, and other design professionals involved with the project confirm that the ESALs noted above are appropriate for the expected traffic conditions, vehicle types, and axle loadings.

For rigid pavement sections, the reinforced concrete should consist of 3,500 psi (or more) concrete with 3/8-inch-high yield steel reinforcement placed at 18 inches on center, each way. However, the recommended section below should be verified with local code and requirements.

Flexible (Asphalt) Pavement Se	ections	
Subgrade Preparation	Asphaltic Concrete	Aggregate Base
Proofrolled/Compacted Subgrade	3 in.	8 in.
Proofrolled/Compacted Subgrade	3.5 in.	9 in.
Proofrolled/Compacted Subgrade	4 in.	10 in.
Rigid (Concrete) Pavement Se	ctions	
Subgrade Preparation	Reinforced Concrete	Aggregate Base
Proofrolled/Compacted Subgrade	6 in.	8 in.
Proofrolled/Compacted Subgrade	6.5 in.	8 in.
	Subgrade Preparation Proofrolled/Compacted Subgrade Proofrolled/Compacted Subgrade Proofrolled/Compacted Subgrade Rigid (Concrete) Pavement Se Subgrade Preparation Proofrolled/Compacted Subgrade	Proofrolled/Compacted Subgrade     3 in.       Proofrolled/Compacted Subgrade     3.5 in.       Proofrolled/Compacted Subgrade     4 in.       Rigid (Concrete) Pavement Sections       Subgrade Preparation     Reinforced Concrete       Proofrolled/Compacted Subgrade     6 in.

Proofrolled/Compacted Subgrade

8 in.

8 in.

Bus Traffic (Heavy Duty)

## 7.0 REFERENCES

The following references were used to generate this report:

- Federal Emergency Management Agency, FEMA Flood Map Service Center Accessed on 05/09/2025.
- Google Earth Pro (Online) Accessed on 05/09/2025.
- Historic Aerials by NETR Online Accessed on 05/09/2025.
- United States Geological Survey (USGS) Cadwell, D.H., Connally, G.G., Dineen, R.J., Fleisher, P.J., Franzi, D.A., Fuller, M.L., Gurrieri, J.T., Haselton, G.M., Kelley, G.C., LaFleur, R.G., Muller, E.H., Pair, D.L., Rich, J.L., Sirkin, Les, Street, J.S., Young, R.A., and Wiles, G.C. (in alphabetical order), 1991, Surficial geologic map of New York: New York State Museum, Map and Chart Series 40, scale 1:250,000 Accessed on 05/09/2025.
- United States Geological Survey, Lower 48 States 2014 Seismic Hazard Map Accessed on 05/09/2025.
- United States Geological Survey (USGS) US Topo 7.5-minute map for Haverstraw, NY 2023: USGS National Geospatial Technical Operations Center (NGTOC) - Accessed on 05/09/2025.
- United States Geologic Survey, Earthquake Hazards Program (Online) Accessed on 05/09/2025.

We additionally reviewed the following documents:

• Plan - Titled "North Rockland CSD -Stony Point Elementary School Circulation Improvement" - Dated February 10, 2025 - Provided by Client (Enclosed in NRCSD Geotech RFP Document).

## 8.0 LIMITATIONS

The conclusions, recommendations, and opinions in this report are based upon soil samples and data obtained in widely spaced locations that were accessible at the time of exploration and collected based on project information available at that time. Our findings are subject to field confirmation that the samples we obtained were representative of site conditions. If conditions on the site are different than what was encountered in our borings, the report recommendations should be reviewed by our office, and new recommendations should be provided based on the new information and possible additional exploration if needed. It should be noted that geotechnical subsurface evaluations are not capable of predicting all subsurface conditions, and that our evaluation was performed to industry standards at the time of the study, no other warranty or guarantee is made.

Likewise, our document review and geologic research study made a good-faith effort to review readily available documents that we could access and were aware of at the time, as listed in this letter. We are not able to guarantee that we have discovered, observed, and reviewed all relevant site documents and conditions. Any changes in the design or location of the proposed structure should be assumed to invalidate the conclusions and recommendations given in this report until we have had the opportunity to review the changes and, if necessary, modify our conclusions and recommendations accordingly. If subsurface conditions different from those encountered in the explorations are observed during construction or appear to be present beneath excavations, we should be advised at once so that the conditions can be reviewed, and recommendations reconsidered where necessary.

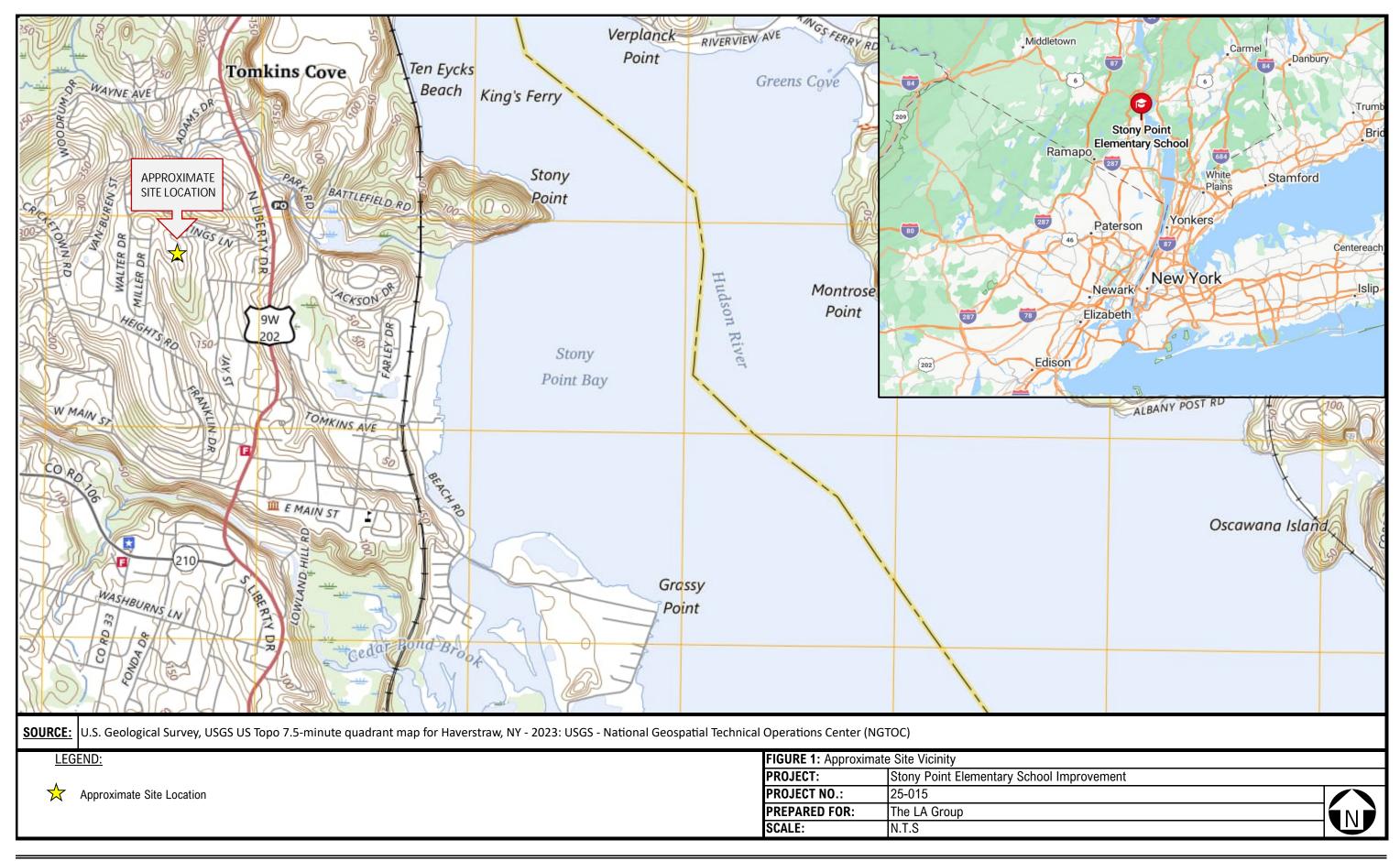
If there is a substantial lapse in time between the submission of this report and the start of construction, or if site conditions or the project layout have significantly changed (due to further development of grading plans, natural causes, or construction operations at or adjacent to the site), we recommend that this report be reviewed to determine the applicability of our previous conclusions and recommendations.

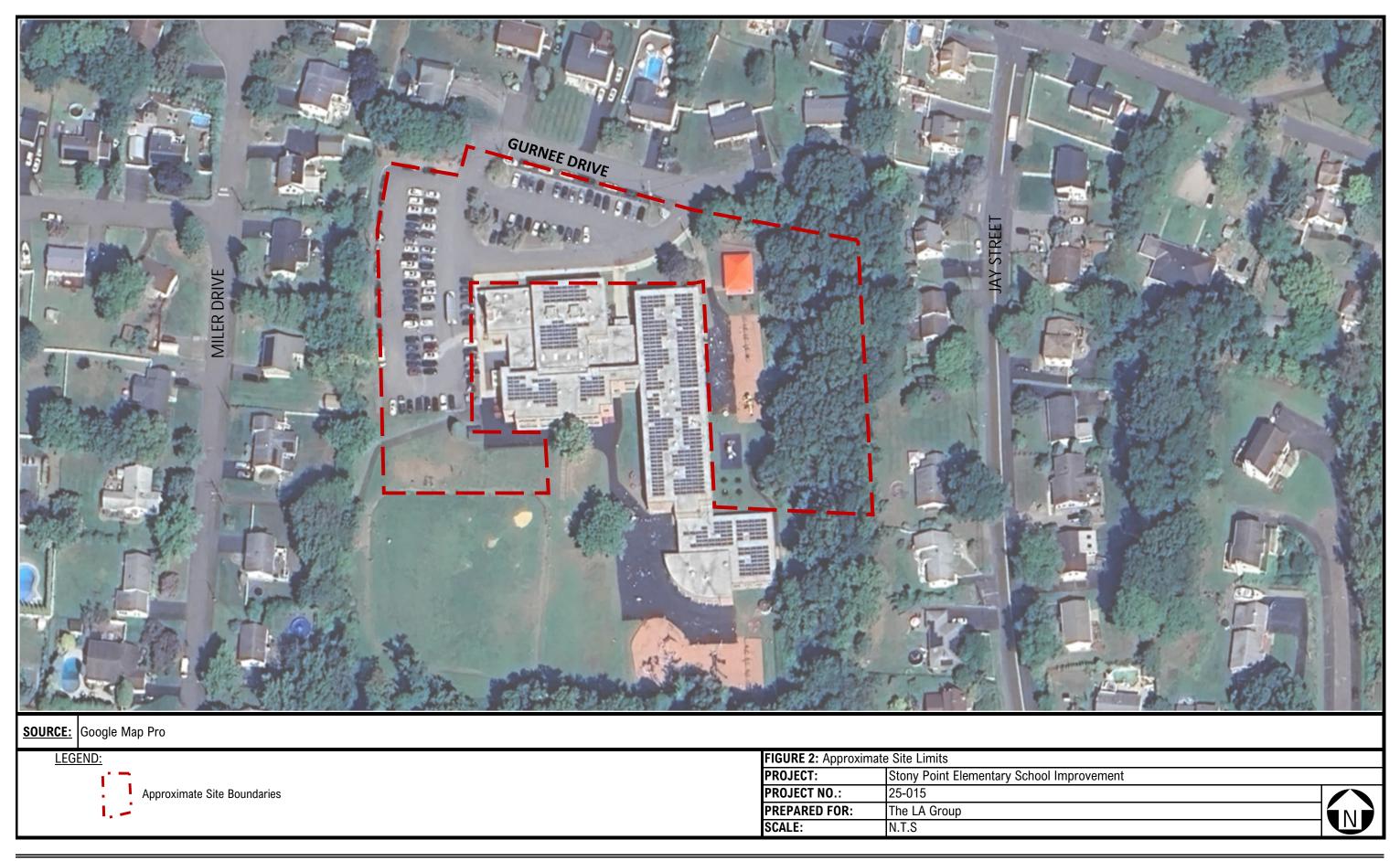
This report is intended for the use of the client (The LA Group) in its entirety for the proposed project as described in the text. Information from this report is not to be used for other projects or for other sites. All of the report must be reviewed and applied to the project or else the report recommendations may no longer apply. If pertinent changes are made in the project plans or conditions encountered during construction that appear to be different than indicated by this report, please contact this office for review. Significant variations may necessitate a re-evaluation of the recommendations presented in this report. The findings in this report are valid for one year from the date of the report. This report has been completed under limitations of liability, indemnification, dispute resolution, and other factors relevant to any reliance on this report.



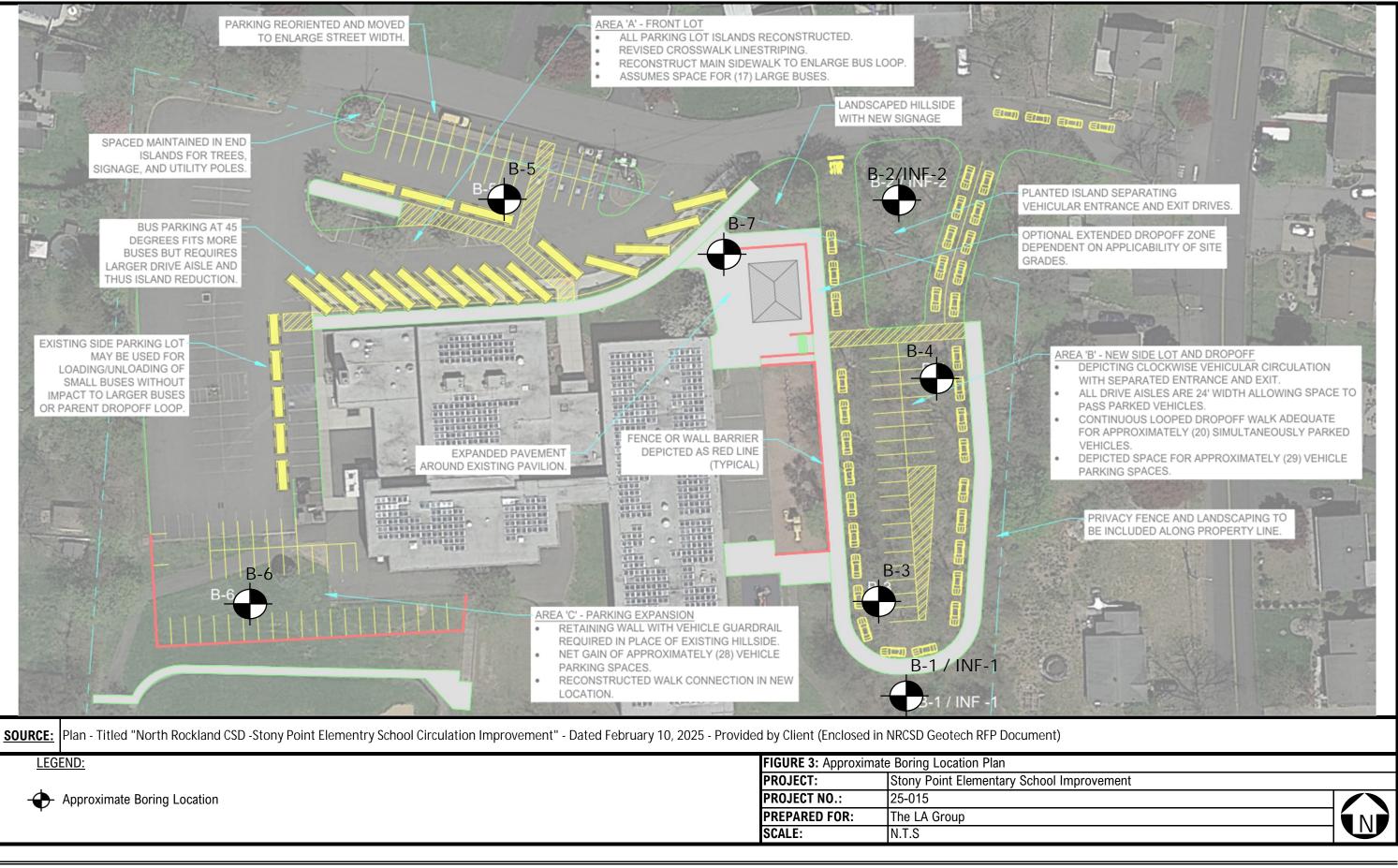
## **APPENDIX A**

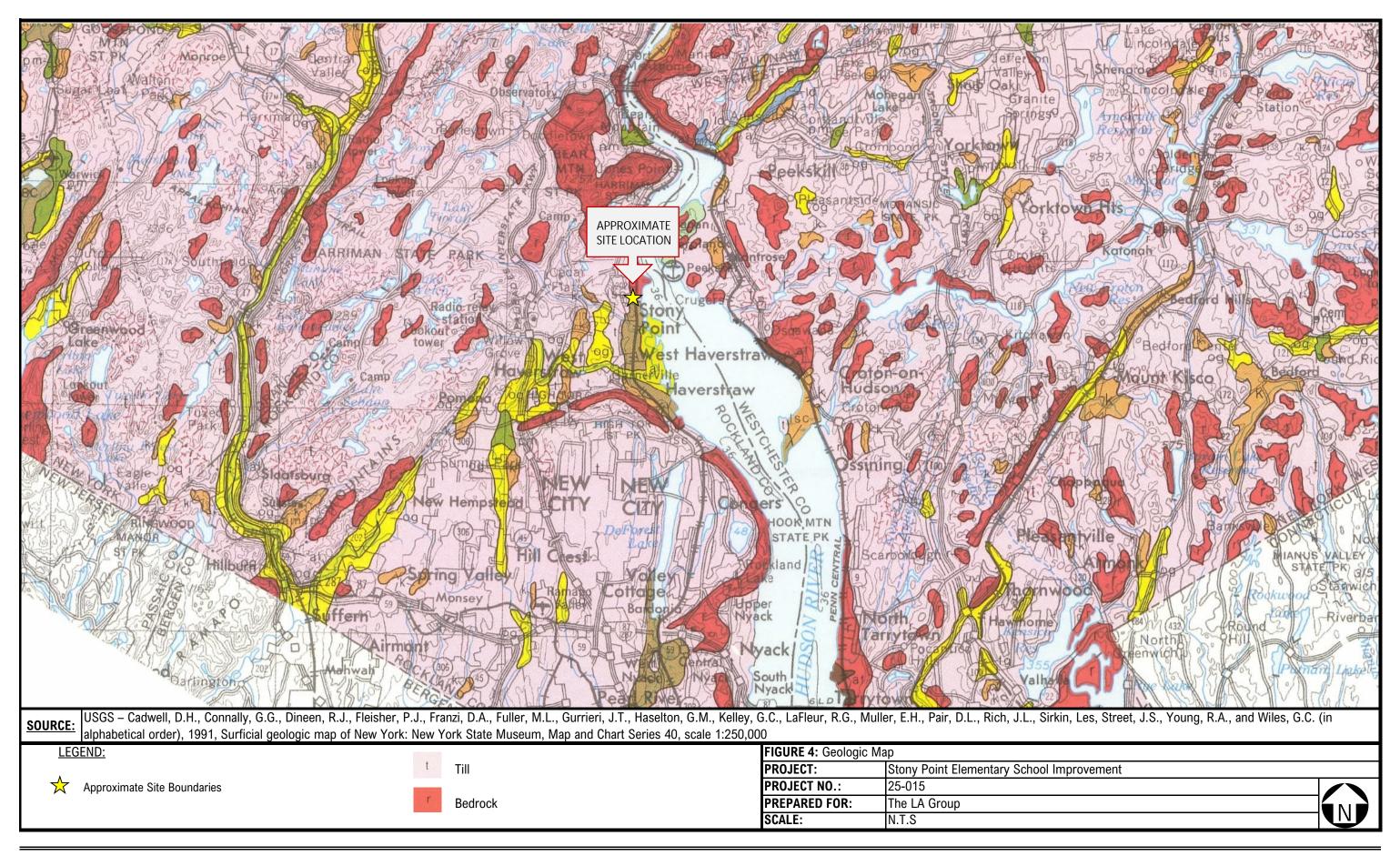
Figure 1: Site Vicinity Plan Figure 2: Approximate Site Location Figure 3: Approximate Boring Location Plan Figure 6: Geologic Map





GEOTECHNICAL ENGINEERING REPORT







# **APPENDIX B**

Boring Logs (Prepared by Soils Testing Inc.)

	SOIL						CLIEN	Τ:			<u>The</u>	e La Grou	qt	SHEET 1	
	100 00		NOV								6	95-3158-2	5	HOLE NO.	B-1
			3) 26				PROJE PROJE							BORING LOCATIONS	
			4) 94				i nost	.01 11/1		Ste	ony Point	Element	ary School	Per Plan	
	REMAN -	DRILL	ER				LOCAT	ION				urnee Dr			
_	AK/wt										CASING	SAMPLER	CORE BAR	OFFSET	
101	LOTOK							TYPE			HSA	SAWPLER	CORE DAR	DATE START	4/21/25
R		ATER	OBSE	RVA	TION	S		SIZE I.	D.		4 1⁄4"	1 3/8"		DATE FINISH	4/21/25
	<u>none</u> F				URS			HAMM	ER WI	<b>.</b>		140#	BIT	SURFACE ELEV.	
Т_	_FT AF	TER_						HAMM	ER FA			30"		GROUND WATER ELEV	
			5	SAME	PLE									IFICATION OF SOIL RE	
- -	CASING BLOWS PER	NO	Туре	PEN	REC	DEPTH	ON (FORC	VS PEF SAMPI CE ON 6 - 12	LER TUBE)	CORE TIME PER FT	DENSITY OR CONSIST	STRATA CHANGE DEPTH	The statistical statistical statistical statistics of the	DSS OF WASH WATER ROCK, ETC.	
_	FOOT			0.4	401	@ BOT		0-12	12- 10	(MIN)	MOIST	ELEV	T 11/401	4	
ł		1	SS	24"	16"	2'0"	1	1			v loose dry	8"	Topsoil / 10" subs Brn F SAND & SIL		
ļ		2	SS	24"	18"	4'0"	10	28			v dense				
5		3	SS	24"	24"	6'0"	28 15	28 18			dry dense		Brn F-C SAND & S	SILT, C sand, lit F gravel	
Ĭ		5	33			00	17	25			dry		Brn F-M SAND, lit	silt, C sand, F gravel	
		4	SS	24"	15"	8'0"	28	17			dense		Samo		
							25	20			dry		Same		
0															
		5	SS	24"	20"	12'0"	38 65	52 42			v dense dry	12'	Brn F-M SAND &	SILT, lit C sand, F gravel	
ł							0	72			uiy	14	EOB 12'	oler, ne o sana, r graver	
-													Offeret 9 installe	d 411 4	anth of Ol
15											4		Offset & Installe	d 4" temporary pipe to a de	eptn of 8°.
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CONCERNING IN CONCERNING	TE: Su	bsoi	l cor	ditio	onsi	reveale	d by t	his in	vestic	ation	represen	it .	J		
	COI	nditi	ons	at sp	ecifi	ic locat	ions a	and m	ay no	t repr	esent				
SR	OUND SU					ocation FT. U	ISED	imes.		CASIN	IG THEN	C	ASING TO	FT. HOLE N	О. В-1
4 =	AUGER	UP =	UND	ISTU							V = VANE			C = COARSE	
s	= SPLIT 1	UBE	SAMP	PLER		H.S.A. =	HOLL	OW ST	EM AL	JGER				M = MEDIUM	
R	OPORTIC	NS U	SED:	TRA	CE = (	0 - 10%	LITTLE	= 10 -	20% 8	SOME =	20-35%	AND =35 - 5	60%	F = FINE	

	SOI						CLIEN	T:			The	e La Grou	up	SHEET 1_OF	
			NOV										-	HOLE NO.	B-2
			D, C					ECT NC			G	95-3158-2	.5		
		•	3) 26 4) 94				PROJE	ECT NA	ME	Ste	ony Point	Element	ary School	BORING LOCATIONS Per Plan	
FOI	REMAN -		_				LOCAT	ΓΙΟΝ			7 G	urnee Dr	ive		
	AK/wt											ny Point			
INS	PECTOR							-			CASING	SAMPLER	CORE BAR	OFFSET	4/04/05
	OUND WA	TED	OPC		TION			TYPE SIZE I.	D		HSA 4 ¼"	SS 1 3/8"		DATE START DATE FINISH	4/21/25 4/21/25
	none_F							HAMM		F.	- 7 /4	140#	BIT	SURFACE ELEV.	4/21/20
AT .	FT	_ AF1	FER_	HOU	JRS			HAMM	ER FA	LL		30"		GROUND WATER ELEV.	
			S	SAM	PLE							[			
EPT	CASING BLOWS PER	NO	Туре	PEN	REC	DEPTH	ON (FOR	NS PEF SAMPI CE ON 6 - 12	LER TUBE)	CORE TIME PER FT	DENSITY OR CONSIST	STRATA CHANGE DEPTH		IFICATION OF SOIL REMA DSS OF WASH WATER, S ROCK, ETC.	
_	FOOT	1	SS	24"	7"	@ BOT 2'0"	4	6		(MIN)	MOIST compact	ELEV 6"	Topsoil		
						20	8	13			dry		Brn F-M SAND &	CLAY, C sand	
		2	SS	24"	16"	4'0"	13	20			dense				
5		3	SS	24"	20"	6'0"	17 15	19 17			dry dense		BIT F-C SAND & (	CLAY, lit F-C gravel	
							19	17			dry		Same		
		4	SS	24"	24"	8'0"	17 22	19 19			dense dry		Same		
						<u> </u>		19			ury		Same		
10		_		0.41											
		5	SS	24"	20"	12'0"	8	10 16			compact dry	12'	Same		
							12					12	EOB 12'		
45															
15											1		Offset & installe	d 4" temporary pipe to a depth	n of 8'.
											1			a a sanaharan <b>a</b> baharan ana ka	
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40 NC	Statement of the local division in which the local division in the local division in the local division in the	heoi		diti		evoale	d by f	hicim	Vosti	nation	represen	t			
140	CO	nditi	ons	at sp	pecifi	ic local ocation	tions a	and m	ay no	ot repr	represent	L			
	OUND SL	JRFAC	CE TO			FT. U	ISED			CASIN			ASING TO	FT. HOLE NO.	B-2
	AUGER					PISTON WOH =					V = VANE	TEST		C = COARSE	
SS	= SPLIT 1	TUBE	SAMP	PLER		H.S.A. =	= HOLL	OW ST	EM A	JGER	= 20 - 35%		50%	M = MEDIUM F = FINE	

	SOI						CLIEN	Γ:			The	e La Grou	10	SHEET <u>1</u> OF HOLE NO.	 B-3
		FOR					PROJE	CT NO			Gg	5-3158-2	5		2.0
	C	T (20 Y (91	3) 26	62-93	28		PROJE	CT NA	ME	Ste	ony Point	Element	ary School	BORING LOCATIONS Per Plan	
	REMAN - AK/wt						LOCAT	ION	4 <b>5</b> 00	- <u>)</u>		urnee Dri ny Point I			
	PECTOR							TYPE			casing HSA	SAMPLER SS	CORE BAR	OFFSET DATE START	4/21/25
R	OUND WA	ATER	OBSE	RVA	TIONS	3		SIZE I.	D.		4 1/4"	1 3/8"		DATE FINISH	4/21/25
	none_F				URS	2		НАММ		г.		140#	BIT	SURFACE ELEV.	
Т_	_FT_AF	TER_	_HOI	JRS				HAMM	ER FA	LL		30"		GROUND WATER ELEV.	
			5	SAME	PLE					2					
UEPI	CASING BLOWS PER FOOT		Туре	PEN	REC.	DEPTH	ON (FORC	VS PEF SAMPI CE ON 6 - 12	.ER TUBE)	FT	DENSITY OR CONSIST MOIST	STRATA CHANGE DEPTH ELEV		IFICATION OF SOIL REM DSS OF WASH WATER, S ROCK, ETC.	
_	F001	1	SS	24"	18"	@ BOT 2'0"	1	2		(MIN)	loose	6"	Topsoil / 8" subsoi		
							2	6			dry	and and a		SILT, C sand, leaves	
		2	SS	24"	18"	4'0"	12 17	13 18			compact dry		Brn F-C SAND, tra	ace silt lit F gravel	
5		3	SS	24"	18"	6'0"	14	14			dense			and only lift graver	
				0.4"	00"	010"	25	24			dry		Brn F-M SAND & S	SILT, some C sand	
		4	SS	24"	20"	8'0"	22 24	<u>18</u> 22			dense dry		Same		
												9'		Auger Refusal	
0											4		EOB 9'		
					-						1				
											1				
15		-									4				
15											1				
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40	the second s														
NC	CO	nditi	ons a	at sp	ecifi	eveale c locat ocatior	ions a	nd m	ay no		represen esent	t			
	OUND SL	JRFAC	CE TO	·	F	T. U	SED			CASIN			ASING TO	FT. HOLE NO.	B-3
	AUGER				RBED	PISTON WOH =					V = VANE	TEST		C = COARSE	
s	= SPLIT	TUBE	SAMF	PLER		H.S.A. =	HOLL	ow st	EM AU	JGER				M = MEDIUM	
R	OPORTIC	DNS U	SED:	TRAC	CE = C	) - 10%	LITTLE	= 10 - 2	20% 5	SOME =	20-35% A	ND =35 - 5	0%	F = FINE	

	SOII 90						CLIENT	Г:			The	e La Grou	1D	SHEET <u>1</u> OF <u>1</u> HOLE NO.
			D, C				PROJE	CT NO			Gg	5-3158-2	5	
	CI	(20	3) 26 4) 94	2-93	28		PROJE	Contraction of Contraction		Ste			ary School	BORING LOCATIONS Per Plan
	REMAN - I						LOCAT	ION				urnee Dr		
	AK/wt											ny Point		055057
15	PECTOR							TYPE			CASING HSA	SAMPLER SS	CORE BAR	OFFSET DATE START 4/21/25
R	OUND WA	TER	OBSE	RVA	TIONS	6		SIZE I.	D.		4 1⁄4"	1 3/8"		DATE FINISH 4/21/25
	none_F				URS			HAMM				140# 30"	BIT	
1_	_FT AF	IER_		_				HAMM	ER FA			30		GROUND WATER ELEV.
				Sami							DENCITY	STRATA	FIFI D IDENT	IFICATION OF SOIL REMARKS INCL
	CASING BLOWS PER	NO	Туре	PEN	REC.	DEPTH	ON (FORC	VS PEF SAMPI CE ON 6 - 12	ER TUBE)	CORE TIME PER FT		CHANGE DEPTH	The manufacture restriction of the	OSS OF WASH WATER, SEAMS IN ROCK, ETC.
-	FOOT	1	SS	24"	12"	@ BOT 2'0"	1	2		(MIN)	MOIST loose	ELEV 6"	Topsoil / 8" subsoi	1
		2		24"	15"	4'0"	3	6 12			dry		Lt brn F SAND & S	
			SS				18	16			compact dry		Brn F-C SAND, an	nd clay, lit F-C gravel
5		3	SS	24"	20"	6'0"	11 13	13 16			compact dry		Dk brn F-M SAND	, lit silt, C sand, F gravel
		4	SS	24"	18"	8'0"	26	19			dense			-
							27	36			dry	9'	Same, some C gra	avel Auger Refusal
10												9	EOB 9'	Auger Relusar
											1			
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<b>م</b> ر											-			
35											-			
											1			
											4			
40										-	1			
	DTE: Su	bsoi nditi	l con ons a	nditio at sp	ons r ecifi	eveale c locat	d by th ions a	nis in Ind m	vestig ay no	ation t repr	represen esent	t		
	CO	nditi	ons a	at ot	her l	ocatio	ns or t					(c)an (c)	000 75	
	OUND SU AUGER						SED	T = T⊦	IINWA	_CASIN	G THEN V = VANE		ASING TO	FT. HOLE NO. B-4
VC SS	OR = WEIG = SPLIT 1	GHT C	of Ro Samf	DS PLER		WOH = H.S.A. =	WEIGH HOLL	T OF H OW ST	IAMME EM AU	R & RO				C = COARSE M = MEDIUM F = FINE

	SOI						CLIEN.	Т:			The	e La Grou	qu	SHEET_1_C	
							<b>DD</b> (7	OTHO				E 2450 0	5	HOLE NO.	B-5
			D, C 3) 26					CT NO				5-3158-2		BORING LOCATIONS	
			4) 94				PROJE	CINA		St	ony Point	Element	ary School	Per Plan	
	REMAN - I	DRILL	ER				LOCAT	ION				urnee Dr			
	AK/wt											ny Point		OFFORT	
140	FECTOR							TYPE			CASING HSA	SAMPLER SS	CORE BAR	OFFSET DATE START	4/21/25
GR		TER	OBSE	RVA	TIONS	3		SIZE I.	D.		4 1⁄4"	1 3/8"		DATE FINISH	4/21/25
	none_F		20		URS			НАММ	ER WI	г.		140#	BIT	SURFACE ELEV.	
\T_	_FT_AF	TER_						HAMM	ER FA			30"		GROUND WATER ELEV.	
			5	SAM											
L L	CASING BLOWS PER	NO	Туре	PEN	REC.	DEPTH	ON (FORG	VS PEF SAMPI CE ON 6 - 12	_ER TUBE)	CORE TIME PER FT	DENSITY OR CONSIST	STRATA CHANGE DEPTH	(i) (25) (4) (4) (4) (5) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	IFICATION OF SOIL RE DSS OF WASH WATER, ROCK, ETC.	
_	FOOT					@ BOT				(MIN)	MOIST	ELEV 8"	Asphalt		
		1	SS	12"	3"	2'0"	5	12			compact/dry		Brn F-C SAND, F-	C gravel, lit silt	
		2	SS	24"	15"	4'0"	17	20			dense			•	
5		3	SS	1"	1"	4'1"	23 50/1"	30			dry dense/v dry		Brn F-C SAND, so Brn F-C SAND, co	me F-C gravel, lit silt bbles	
														and a second	
		4	SS	24"	24"	10'0"	23	28			v dense				
10		E		0.0"	0.21	441441	30	19			dry		Brn F-M SAND &	SILT, some F gravel, C sand	
		5	SS	23"	23"	11'11"	25 66	36 100/5"			v dense v dry	11'11"	Brn F-C SAND, lit	F gravel	
													EOB 11'11"		
15											-				
											1				
20											1				
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25											-				
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35											4				
											1				
											-				
40					-						1				
	TE: Su cor	nditio	ons a	at sp	ecifi	c locat	ions a	nd m	ay no	ation t repr	represen esent	t	•		
GR	COI OUND SU	RFAC	DINS a	at ot	ner l	ocatior	IS OF T	imes.		CASIN	G THEN	C.	ASING TO	FT. HOLE N	О. B-5
+ =	AUGER	UP =	UND	ISTUR		PISTON	-	T = T⊦		L	V = VANE				
	R = WEIG = SPLIT T					WOH = H.S.A. =					DS			C = COARSE M = MEDIUM	
											20 - 35% A	ND =35 - 5	0%	F = FINE	

	SOII 90			NG, AN F			CLIEN	T:			The	e La Grou	<u>an</u>	SHEET <u>1</u> OF HOLE NO.	1 B-6
	ох	FOR	D, C	T 06	478		PROJE	CT NO			Gg	5-3158-2	5		
	C	r (20	3) 26	52-93 16-48	28		PROJE	CT NA	ME	Ste	ony Point	Element	ary School	BORING LOCATIONS Per Plan	
0	REMAN -						LOCAT	TION				urnee Dri			
	AK/wt											ny Point		OFFOFT	
15	PECTOR							TYPE			CASING HSA	SAMPLER SS	CORE BAR	OFFSET DATE START	4/21/25
R	OUND WA	TER	OBSE	RVA	TIONS	3		SIZE I.	D		4 1⁄4"	1 3/8"		DATE FINISH	4/21/25
	none_F							HAMM				140#	BIT	SURFACE ELEV.	
Τ_	FTAF	TER_	_HOI	JRS				HAMM	ER FA	LL		30"		GROUND WATER ELEV.	
			5	SAM	PLE										
Ļ	CASING BLOWS PER FOOT	NO	Туре	PEN	REC.	DEPTH	ON (FORG	NS PEF SAMPI CE ON 6 - 12	LER TUBE)	CORE TIME PER FT	DENSITY OR CONSIST MOIST	STRATA CHANGE DEPTH ELEV	10 10 10 10 10 10 10 10 10 10 10 10 10 1	IFICATION OF SOIL REM/ DSS OF WASH WATER, S ROCK, ETC.	
	FUUT	1	SS	24"	18"	@ BOT 2'0"	1	2		(MIN)	compact	3"	Topsoil		
							10	15			dry	-	Control of California Society	SILT, some C sand, F gravel	
		2	SS	24"	13"	4'0"	17 25	16 20			dense dry		Brn F-C SAND, so	me silt lit E gravel	
5							20	20			dry		Cobbles 4-5'	nio on, nei graver	
		3	SS	24"	12"	7'0"	19	18			dense				
		4	SS	24"	10"	9'0"	18 10	18 8			dry compact		Same, lit silt		
					10	00	6	6			moist		Same, lit silt		
0		E		04"	101	40101		5							
		5	SS	24"	16"	12'0"	3	5			compact moist		Lt brn F SAND, lit	silt. trace C sand	
								, i							
5											4				
15		6	SS	11"	11"	15'11"	25	100/5"			v dense/dry	15'11"	Brn F-M SAND, lit	silt, C sand, gravel, cobbles	
													EOB 15'11"		
					<u> </u>						-				
20															
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25															
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40	the second se											<u> </u>			
10	CO	nditi	ons	at sp	ecifi	c locat	ions a	and m	ay no		represen esent	t			
R	COUND SL					ocatior =⊤. U	SED	mes.		CASIN	G THEN	C/	ASING TO	FT. HOLE NO.	B-6
					RBED						V = VANE	TEST			
	DR = WEI					WOH = H.S.A. =					05			C = COARSE M = MEDIUM	
											20 - 35% A	ND =35 - 5	0%	F = FINE	

	SOII 90			1.50		•	CLIEN	T:			The	e La Grou	dr	SHEET <u>1</u> OF <u>1</u> HOLE NO. B-7
		FOR					PROJE	CT NO			G9	5-3158-2	5	1
	СТ	(20) (91)	3) 26	2-93	28		PROJE	CT NA	ME	Ste			ary School	BORING LOCATIONS Per Plan
	REMAN - [						LOCAT	ION				urnee Dri		
	AK/wt											ny Point		OFFRET
13	PECTOR							TYPE			CASING HSA	SAMPLER SS	CORE BAR	OFFSET DATE START 4/29/25
GR	OUND WA	TER	OBSE	RVAT	TIONS			SIZE I.	D.		4 1⁄4"	1 3/8"		DATE FINISH 4/29/25
Т.	<u>6</u> FT /	AFTER	R_0_⊦	IOUR				НАММ		Γ.,		140#	BIT	SURFACE ELEV.
Τ_	_FT_AF	TER_	_HOL	JRS	-			HAMM	ER FA	LL		30"		GROUND WATER ELEV.
			5	SAMF	PLE									
DEPT	CASING BLOWS PER FOOT	NO	Туре	PEN	REC.	DEPTH @ BOT	ON (FORG	VS PEF SAMPI CE ON 6 - 12	_ER TUBE)	CORE TIME PER FT (MIN)	DENSITY OR CONSIST MOIST	STRATA CHANGE DEPTH ELEV		IFICATION OF SOIL REMARKS INCL OSS OF WASH WATER, SEAMS IN ROCK, ETC.
	1001	1	SS	24"	18"	2'0"	4	6		(IVIIIA)	compact	4"	Topsoil	
							8	8			dry			SILT, lit roots, lit C sand
		2	SS	24"	16"	4'0"	8 9	10 16			compact dry		Lt brn/brn F-C SAI	ND. E gravel, lit silt
5		3	SS	24"	16"	6'0"	18	22			dense			
		4		21"	19"	7'9"	25	32 61			dry v doppo			SILT literovol
		4	SS	21	19	19	52 58	50/3"			v dense wet		Brn F-M SAND & S Brn F-M SAND & S	SILT, III gravei SILT, C sand, F gravel
												8'6"		Auger Refusal
10													EOB 8'6"	
													8	
15											-			
											1			
											-			
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<u>م</u> ح											-			
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											1			
40											4			
	DTE: Su	bsoi nditi	l cor ons a	nditio at sp	ons r ecifi	eveale c locat	d by t ions a	his in and m	vestig ay no	gation ot repr	represen esent	t		
	CO	nditi	ons a	at ot	her l	ocatior	ns or t							FT. HOLE NO. B-7
	OUND SU AUGER						SED	T = TH	IINWA	_CASIN LL	G THEN V = VANE		ASING TO	FT. HOLE NO. B-7
NC SS	OR = WEIG = SPLIT	SHT C	of Ro Samf	DS PLER		WOH = H.S.A. =	WEIGH = HOLL	IT OF H	IAMME Em al	ER & RC JGER				C = COARSE M = MEDIUM F = FINE



# **APPENDIX C**

Infiltration Test Results

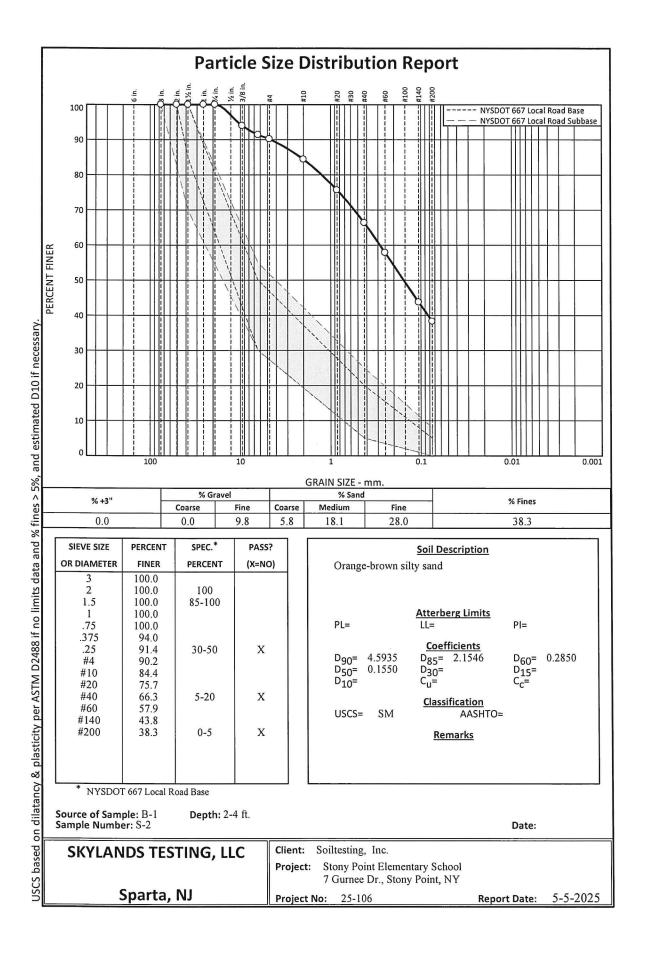
SOILTESTING, I 90 DONOVAN R		The La Group	SHEET_1_OF_1
90 DONOVAN R OXFORD, CT 064		005 2459 25	
CT (203) 262-93			
NY (914) 946-48	28 PROJECT N	Elementary School	BORING/PERCOLATION TEST LOCATION #: B-1 / INF-1
TECHNICIAN:	LOCATION	7 Gurnee Drive	
James DeAngelis	200.1101	Stony Point NY	
INSPECTOR			
		PERCOLATION TEST	Test Date: 4-22-25
CASING DIAMETER: OVERALL CASING LENGTH:	4" 120"		
CASING STICK-UP (A.G. Surfac			
ADJACENT OBSERVATION WI		EL READING: See B-1	
DEPTH TO GROUNDWATE			
PRESOAK DATE:		· · · · · · · · · · · · · · · · · · ·	
Time	Water Level from	N	otes
	Top of Casing		
8:10AM	None	After overnight presoak	
8:12AM	96"	1st Fill	
10:12AM	97 1/4"		
10:14AM	96"	2nd Fill	
12:14PM	95"		
12:18PM	96"	3rd Fill	
2:18PM	95"		
	30		
		Percolation Rate = 1/2" per hour	
	-		

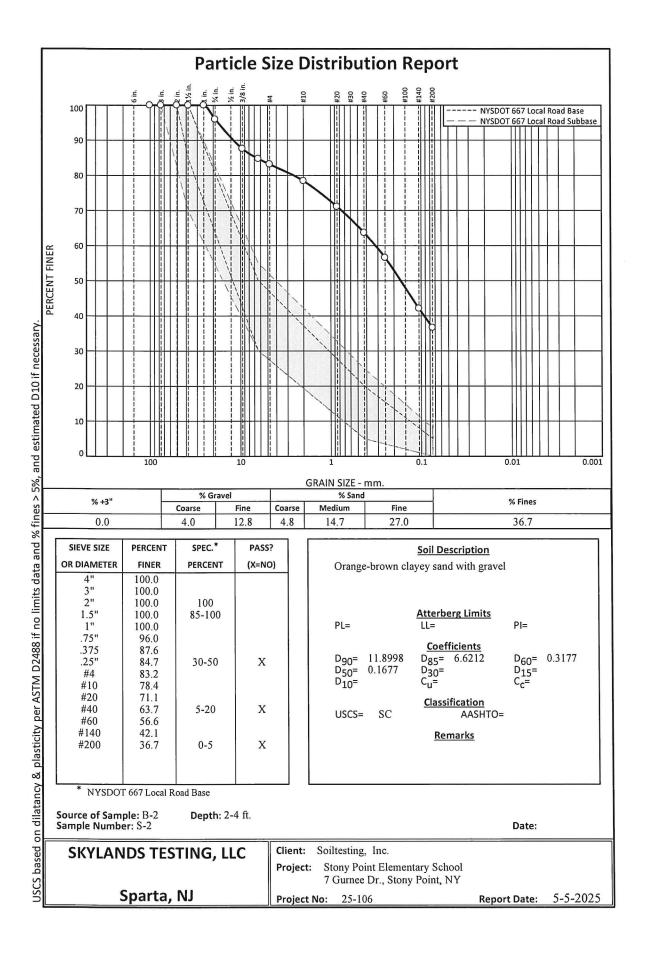
SOILTESTING,	INC.	CLIENT:	The La Group	SHEET_1_OF_1
90 DONOVAN F			<u></u>	
OXFORD, CT 06	478	PROJECT NO	O. <b>G95-3158-25</b>	
CT (203) 262-93	28	PROJECT NA		BORING/PERCOLATION TEST
NY (914) 946-48			Elementary School	LOCATION #: B-2 / INF-2
TECHNICIAN:		LOCATION	7 Gurnee Drive	
James DeAngelis			Stony Point NY	
INSPECTOR			PERCOLATION TEST	Test Date: 4-22-25
CASING DIAMETER:	4"			
OVERALL CASING LENGTH:	120"			
CASING STICK-UP (A.G. Surfa				
ADJACENT OBSERVATION W DEPTH TO GROUNDWAT			EL READING: B-2 DATE/TIME 4/21/25	
PRESOAK DATE:		none	DATE/TIME4/21/23	
Time		evel from		Notes
	I op of	Casing		
8:22AM	No	one	After overnight presoak	
8:24AM	9	6"	1st Fill	
10:24AM		1/2"		
10:26AM		6"	2nd Fill	
12:26PM		2"		
			2-4 5:0	
12:29PM		6"	3rd Fill	
2:29PM	9	2"		
			Den la line Data a Oli da l	
			Percolation Rate = 2" per hour	
			1	
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			+	

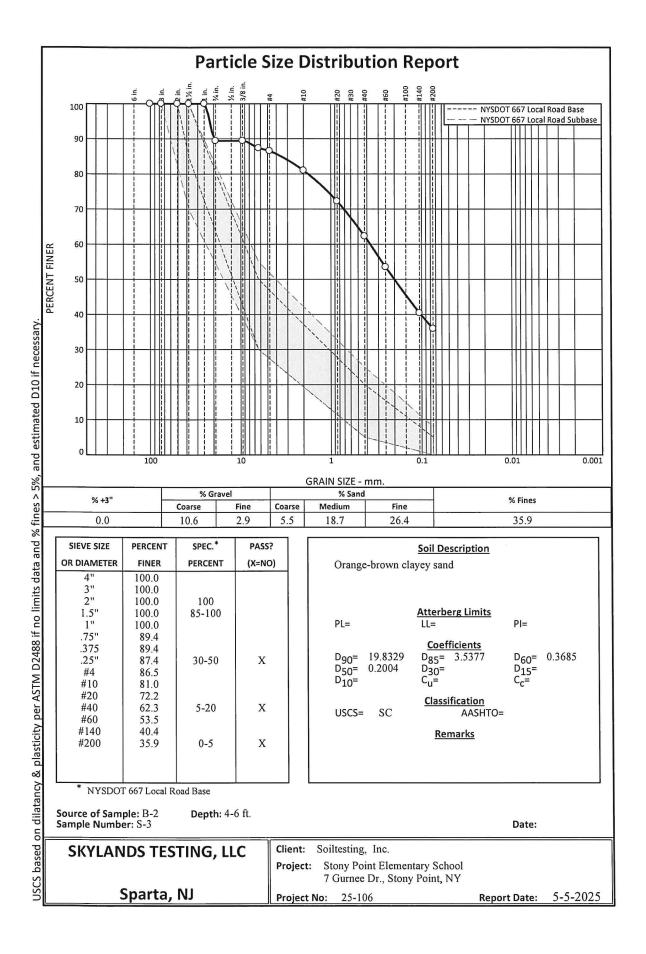


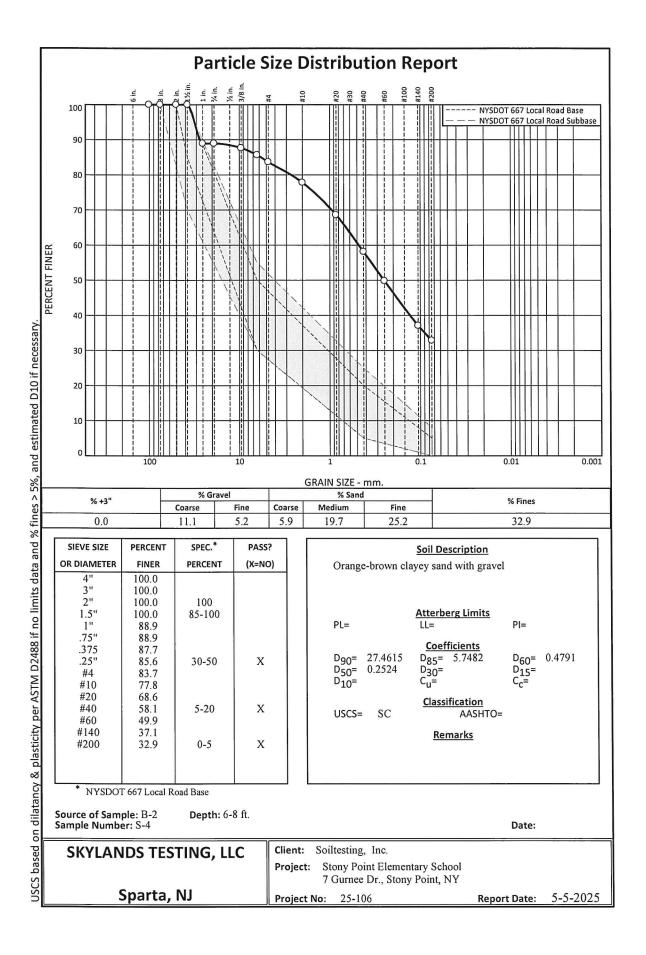
# **APPENDIX D**

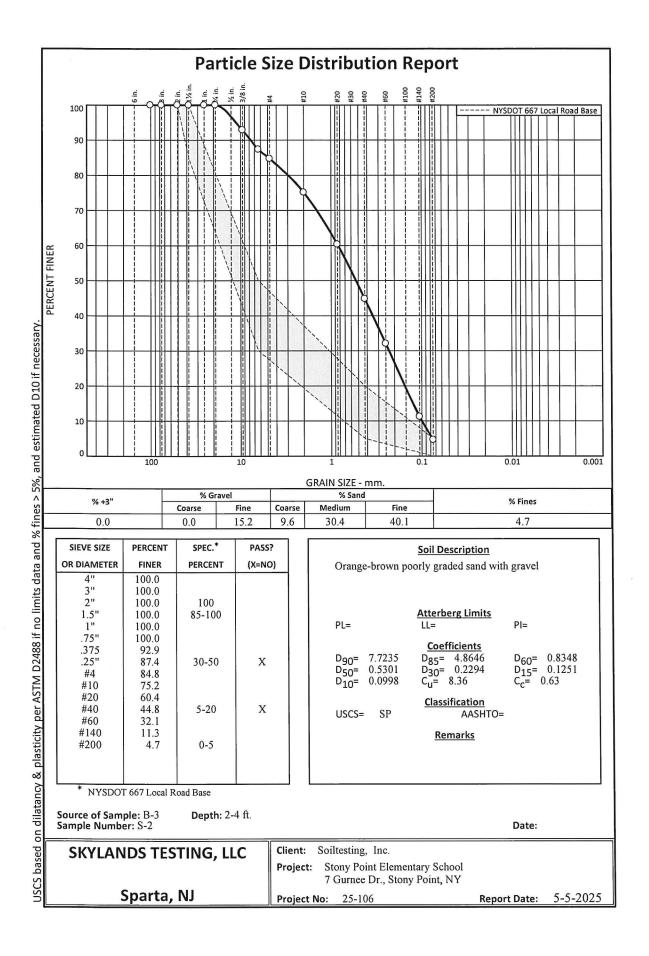
Lab Testing Results

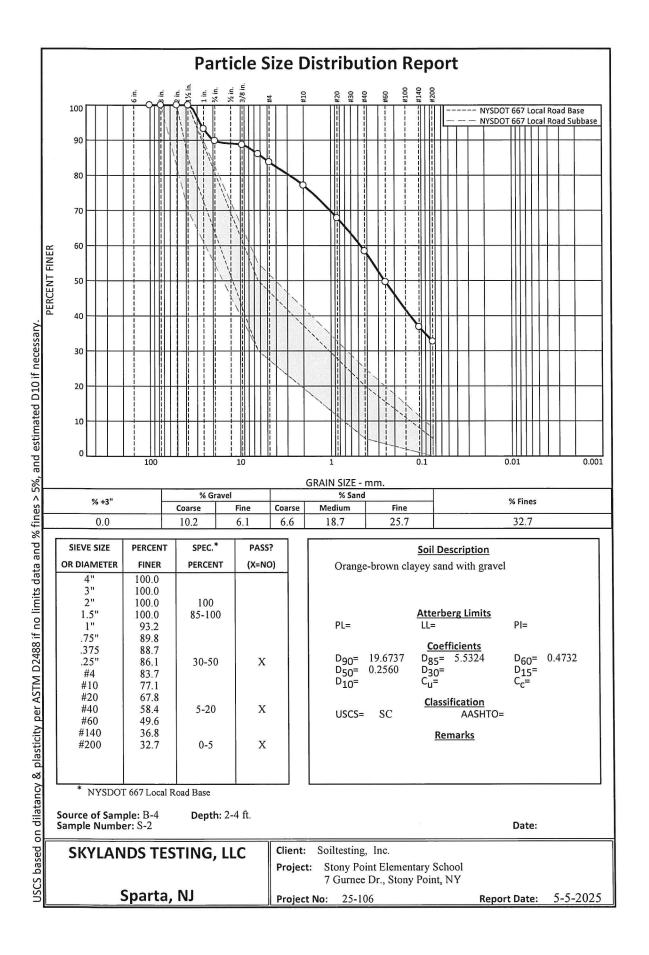


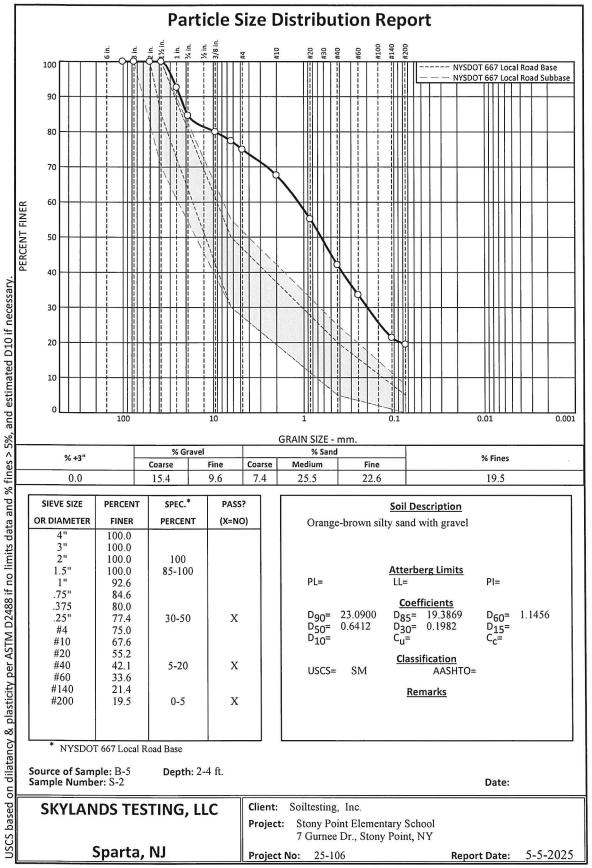


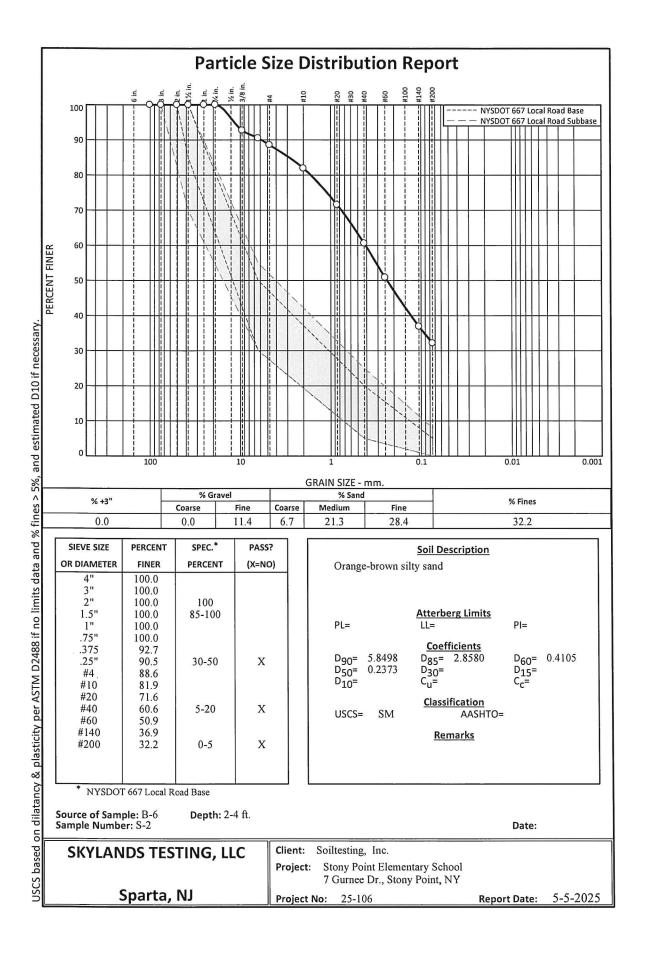












## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00

SELECTIVE SITE DEMOLITION

024113 - 1

## SECTION 024113 SELECTIVE SITE DEMOLITION

## PART 1 GENERAL

## **1.1 RELATED DOCUMENTS**

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. Section Includes:
  - 1. Removal of selected portions of building or structure.

## **1.3 DEFINITIONS**

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse.
- C. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.

## **1.4 MATERIALS OWNERSHIP**

- A. Unless otherwise indicated, removal waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during removal remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

## 1.5 PREINSTALLATION MEETINGS

- A. Pre-removal Conference: Conduct conference at Project site.
  - 1. Inspect and discuss condition of construction to be selectively removed.
  - 2. Review structural load limitations of existing structure.
  - 3. Review and finalize selective removal schedule and verify availability of materials, personnel, equipment, and facilities needed to make progress and avoid delays.
  - 4. Review requirements of work performed by other trades that rely on substrates exposed by selective removal operations.
  - 5. Review areas where existing construction is to remain and requires protection.

## **1.6 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For refrigerant recovery technician.
- B. Schedule of Selective Removal Activities: Indicate the following:
  - 1. Detailed sequence of selective removal work, with starting and ending dates for each activity. Ensure Owner's on-site operations are uninterrupted.
  - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
  - 3. Coordination for shutoff, capping, and continuation of utility services.
  - 4. Use of elevator and stairs.
  - 5. Coordination of Owner's continuing occupancy of portions of existing building.

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C. Pre-removal Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by removal operations.

## 1.7 CLOSEOUT SUBMITTALS

A. Inventory: Submit a list of items that have been removed and salvaged.

## **1.8 FIELD CONDITIONS**

- A. Owner will occupy portions of building immediately adjacent to selective removal area. Conduct selective removal so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
  - 1. Before selective removal, Owner will remove the following items:
    - a. Any items to be retained by the Owner will have been removed by the Owner prior to start of work.
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Storage or sale of removed items or materials on-site is not permitted.
- E. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective removal operations.
  - 1. Maintain fire-protection facilities in service during selective removal operations.
- F. Although care has been taken to ensure their accuracy, the locations shown for existing partitions, equipment, and structures indicated to be removed, nor their quantity, are guaranteed. It is the Contractor's responsibility to verify these conditions in the field during the bidding process before commencing work. No claims for extra payment due to incorrect locations, dimensions or quantities of items will be considered by the Owner.

## **1.9 COORDINATION**

A. Arrange selective removal schedule so as not to interfere with Owner's operations.

## PART 1 PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

## PART 1 EXECUTION

## **3.1 EXAMINATION**

- A. Disconnect and cap utilities before starting selective removal operations.
- B. Perform an engineering survey of condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building removal operations.
  - 1. Perform surveys as the Work progresses to detect hazards resulting from selective removal activities.
- C. Verify that hazardous materials have been remediated before proceeding with selective removal operations.

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## 3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.

## **3.3 PROTECTION**

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
  - 1. Provide protection to ensure safe passage of people around selective removal area and to and from occupied portions of building.
  - 2. Provide temporary weather protection, during interval between selective removal of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
  - 3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective removal operations.
  - 4. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Section 01 5000 "Temporary Facilities and Controls."
- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being removed.
  - 1. Strengthen or add new supports when required during progress of selective removal.
- C. Remove temporary barricades and protections where hazards no longer exist.

## 3.4 SELECTIVE REMOVAL, GENERAL

- A. General: Remove existing construction to the extent indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
  - 1. Proceed with selective removal systematically, from higher to lower level. Complete selective removal operations above each floor or tier before disturbing supporting members on the next lower level.
  - 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
  - 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
  - 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
  - 5. Maintain fire watch during and for at least 2 hours after flame-cutting operations.
  - 6. Maintain adequate ventilation when using cutting torches.
  - 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
  - 8. Locate selective removal equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
  - 9. Dispose of demolished items and materials promptly.

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SELECTIVE SITE DEMOLITION

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- B. Site Access and Temporary Controls: Conduct selective removal and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Removed and Salvaged Items:
  - 1. Clean salvaged items.
  - 2. Pack or crate items after cleaning. Identify contents of containers.
  - 3. Store items in a secure area until delivery to Owner.
  - 4. Transport items to Owner's storage area designated by Owner.
  - 5. Protect items from damage during transport and storage.
- D. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective removal.

## 3.5 DISPOSAL OF REMOVED MATERIALS

- A. Remove waste materials from Project site.
  - 1. Do not allow removed materials to accumulate on-site.
  - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
  - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
- B. Burning: Do not burn removed materials.

## 3.6 CLEANING

A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective removal operations. Return adjacent areas to condition existing before selective removal operations began.

## END OF SECTION 024113

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METAL RAILINGS

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## SECTION 055200 METAL RAILINGS

## **RELATED DOCUMENTS**

## **1.1 REFERENCE STANDARDS**

- A. ASTM Ameican Society for Testing and Materials; Current.
- B. ASTM A123/A123M Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products; 2017.
- C. ASTM A153/A153M Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware; 2023.
- D. ASTM A780/A780M Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings; 2020.
- E. ASTM D3359 Standard Test Method for Rating Adhesion by Tape Test; 2017.
- F. ASTM E894 Standard Test Method for Anchorage of Permanent Metal Railing Systems and Rails for Buildings; 2023.
- G. ASTM E935 Standard Test Methods for Performance of Permanent Metal Railing Systems and Rails for Buildings; 2021.
- H. AWS D1.1/D1.1M Structural Welding Code Steel; 2020, with Errata (2023).
- I. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## **1.2 SECTION INCLUDES**

A. Stair Railing – Galvanized Steel, powder coated.

## **1.3 RELATED SECTIONS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. See Section 033000 "Cast-in-place Concrete" for post foundations.

## **1.4 PERFORMANCE REQUIREMENTS**

- A. Delegated Design: Design railings, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Structural Performance: Railings shall withstand the effects of gravity loads and the following loads and stresses within limits and under conditions indicated:
- C. Handrails and Top Rails of Guards:
  - 1. Uniform load of 50 lbf/ ft. applied in any direction.
  - 2. Concentrated load of 200 lbf applied in any direction.
  - 3. Uniform and concentrated loads need not be assumed to act concurrently.
- D. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes acting on exterior metal fabrications by preventing buckling, opening of joints, overstressing of components, failure of connections, and other detrimental effects.
- E. Temperature Change: 120 degrees Fahrenheit, ambient; 180 degrees Fahrenheit, material surfaces.

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F. Control of Corrosion: Prevent galvanic action and other forms of corrosion by insulating metals and other materials from direct contact with incompatible materials.

## 1.5 SUBMITTALS - GENERAL

A. See Section 013300 - Submittal Procedures, for submittal procedures.

## **1.6 ACTION SUBMITTALS**

- A. Product Data: For the following:
  - 1. Manufacturer's product lines of mechanically connected railings.
  - 2. TGIC Polyester Powder Coating.
  - 3. Grout and anchoring cement.
  - 4. Expansion joints.
- B. Shop Drawings: Include dimensioned plans, elevations, sections, details, and attachments to other work.
- C. Samples for Initial Selection: For products involving selection of color, texture, or design.
- D. Samples for Verification: For each type of exposed finish required.
  - 1. Sections of each distinctly different linear railing member, including handrails, top rails, posts, and balusters.
  - 2. Handrail to post and handrail to handrail joint assembly.
    - a. Show method of finishing and connecting members at intersections.
    - b. Expansion Joint Assembly
- E. Delegated-Design Submittal: For installed products indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

## **1.7 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: Qualification Data: For firms and persons specified in 'Quality Assurance' Article to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addressed of architects and Director's Representative, and other information specified. Demonstrate applicable experience with the fabrication of stainless steel rail and architectural elements.
- B. Welding certificates.
- C. Paint Compatibility Certificates: From manufacturers of topcoats applied over shop primers certifying that shop primers are compatible with topcoats.
- D. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, according to ASTM E894 and ASTM E935.
- E. Engineering verification that design of railings meets or exceeds design criteria indicated.

## 1.8 QUALITY ASSURANCE

- A. Source Limitations: Obtain each type of railing from single source from single manufacturer.
- B. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."

C. Laboratory Test – TGIC-Polyester Powder Coating: Contractor shall retain a testing agency to conduct independent test for the TGIC - polyester powder coating. A sample of the TGIC - polyester powder coated handrail shall be laboratory tested for bonding of the powder coating to the metal. The test shall be the Cross Hatch test per ASTM D3359, method B. Failure to satisfactorily pass this test shall be a basis for rejection.

### **1.9 PROJECT CONDITIONS**

A. Field Measurements: Verify actual locations of stairs, walls and other construction contiguous with metal fabrications by field measurements before fabrication. Indicate measurements on shop drawings. Coordinate fabrication schedule with construction process to avoid delaying the work.

### 1.10 COORDINATION AND SCHEDULING

- A. Coordinate installation of anchorages for railings. Schedule installation so railings and posts are mounted only on completed footings and stairs. Deliver such items to Project site in time for installation.
- B. Do not support railings temporarily by any means that do not satisfy structural performance requirements.

#### **PART 2 - PRODUCTS**

### 2.1 METALS, GENERAL

A. Metal Surfaces, General: Provide materials with smooth surfaces, without seam marks, roller marks, rolled trade names, stains, discolorations, or blemishes. All materials required for a complete and proper installation shall be new, free from rust, first quality of their respective kinds. Steel pipe and tubing scheduled to be hot- dipped galvanized shall be mill ordered uncoated.

### 2.2 GALVANIZED HANDRAIL

- A. All posts and rails shall be galvanized steel pipe in accordance with ASTM Designation A501, standard specification for hot-formed welded and seamless carbon steel structural tubing.
- B. Vertical pipe posts and top rail shall be schedule 40, 2-inch nominal dimension.
- C. All materials as delivered shall be in condition for erection without field fitting or cutting.

### 2.3 MISCELLANEOUS MATERIALS

- A. Hot-Dipped Galvanizing: Hot-dip galvanize after fabrication in accordance with ASTM A 123
- B. Etching Cleaner for Galvanized Metal: Complying with MPI#25.
- C. Nonshrink, Nonmetallic Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C 1107. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- D. Anchoring Cement: Factory-packaged, nonshrink, nonstaining, hydraulic-controlled expansion cement formulation for mixing with water at Project site to create pourable anchoring, patching, and grouting compound.
- E. Water-Resistant Product: Provide formulation that is resistant to erosion from water exposure without needing protection by a sealer or waterproof coating and that is recommended by manufacturer for exterior use.

#### METAL RAILINGS

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#### 2.4 FABRICATION

- A. General: Fabricate railings to comply with requirements indicated for design, dimensions, member sizes and spacing, details, finish, and anchorage.
- B. Assemble railings in the shop to greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Clearly mark units for reassembly and coordinated installation. Use connections that maintain structural value of joined pieces.
- C. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- D. Form work true to line and level with accurate angles and surfaces.
- E. Fabricate connections that will be exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- F. Cut, reinforce, drill, and tap as indicated to receive finish hardware, screws, and similar items.
- G. Welded Connections: Cope components at connections to provide close fit, or use fittings designed for this purpose. Weld all around at connections, including at fittings.
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove flux immediately.
  - 4. At exposed connections, finish exposed surfaces smooth and blended so no roughness shows after finishing and welded surface matches contours of adjoining surfaces.
  - Form changes in direction as follows:
    - 1. As detailed.

H.

- 2. By radius bends of radius indicated.
- I. Bend members in jigs to produce uniform curvature for each configuration required; maintain cross section of member throughout entire bend without buckling, twisting, cracking, or otherwise deforming exposed surfaces of components.
- J. Close exposed ends of railing members with prefabricated end fittings.

### 2.5 FINISHES - GENERAL

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- D. Provide exposed fasteners with finish matching appearance, including color and texture, of railings.

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### METAL RAILINGS

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### 2.6 STEEL AND IRON FINISHES

- A. Galvanized Railings:
  - 1. Hot-dip galvanize steel and iron railings, including hardware, after fabrication.
  - 2. Comply with ASTM A123/A123M for hot-dip galvanized railings.
  - 3. Comply with ASTM A153/A153M for hot-dip galvanized hardware.
  - 4. Galvanizing of all components shall provide an acceptable substrate for applied powder coatings. No lacquer, urethane or other coatings which would prevent proper adhesion of powder coating shall be applied to the pipe.
  - 5. Fill vent and drain holes that will be exposed in the finished Work, unless indicated to remain as weep holes, by plugging with zinc solder and filing off smooth.
- B. Preparing Galvanized Railings for TGIC-Polyester Powder Coating: After galvanizing, thoroughly clean railings of grease, dirt, oil, flux, and other foreign matter, and apply phosphating and chromatizing treatments to improve the adhesion of the surface coating.
- C. TGIC-Polyester Powder Coating: TGIC-polyester powder coating shall be applied to the galvanized steel in such a manner that the coating will not peel off. The TGIC- polyester powder coating shall be applied at a film thickness of 3 to 6 mils by electrostatic spray process and bake finished per manufacturer's directions. The TGIC- polyester shall be applied without voids, tears or cuts that reveal the substrate and shall thoroughly adhere to the metal without peeling when scratched with a pick device or knife blade point. Remove loose rust, mill scale and spatter, and slag or flux deposits in accordance with paint manufacturer's instructions. For steel to be galvanized then primed, clean galvanized surface to remove all oxidation in accordance with SSPC-SP7"Brush-Off Blast Cleaning."
- D. All metal rail components shall be coated on the surface.
- E. Color shall be black.
- F. TGIC-Polyester Powder Coating Touch-Up and Repair: For minor damage caused by installation or transportation and field welded metal powder coated surfaces, clean welds, bolted connections and abraded areas.
  - 1. On damaged galvanized surfaces, apply organic zinc repair paint complying with ASTM A780. Galvanizing repair paint shall have 65 percent zinc by weight. Thickness of repair paint shall be not less than that required by ASTM A123.
  - 2. On damaged powder coated surfaces, apply primer and touch-up finish in conformance with manufacturer's recommendations. Provide touch-up such that repair is not visible from a distance of 6 (six) feet.

### **PART 3 - EXECUTION**

### 3.1 INSTALLATION - GENERAL

- A. Set railings accurately in location, alignment, and elevation; measured from established lines and levels and free of rack.
- B. Do not weld, cut, or abrade surfaces of railing components that have been coated or finished after fabrication and that are intended for field connection by mechanical or other means without further cutting or fitting.
- C. Set posts plumb within a tolerance of 1/16 inch in 3 feet.
- D. Align rails so variations from level for horizontal members and variations from parallel with rake of steps and ramps for sloping members do not exceed 1/4 inch in 12 feet.

- E. Posts shall be set and grouted in core drilled, cured concrete footings.
  - 1. For cored drilled concrete footings, concrete pavements, and/or stone slabs, voids within core drill shall be filled with non-shrink, non-metallic grout.
- F. Adjust railings before anchoring to ensure matching alignment at abutting joints.

### 3.2 RAILING CONNECTIONS

- A. Welded Connections: Use fully welded joints for permanently connecting railing components. Comply with requirements for welded connections in "Fabrication" Article whether welding is performed in the shop or in the field.
- B. Expansion Joints: Install expansion joints at locations indicated but not farther apart than required to accommodate thermal movement. Provide slip-joint internal sleeve extending 2 inches beyond joint on either side, fasten internal sleeve securely to one side, and locate joint within 6 inches of post.

### **3.3 ANCHORING POSTS**

- A. Form or core-drill holes not less than 5 inches deep and 3/4 inch larger than OD of post for installing posts in concrete. Clean holes of loose material, insert posts, and fill annular space between post and concrete with non-shrink, nonmetallic grout mixed and placed to comply with anchoring material manufacturer's written instructions.
- B. Leave anchorage joint exposed with 1/8-inch buildup, sloped away from post.

### 3.4 ADJUSTING AND CLEANING

- A. Clean surfaces by washing thoroughly with clean water and soap and rinsing with clean water.
- B. Galvanized Surfaces: Clean abraded areas and repair galvanizing to comply with ASTM A780/A780M.

### 3.5 PROTECTION

- A. Protect finishes of railings from damage during construction period with temporary protective coverings approved by railing manufacturer. Remove protective coverings at time of Substantial Completion.
- B. Restore finished damaged during installation and construction period so no evidence remains of correction work. Return items that cannot be refinished in the field to the shop; make required alterations and refinish entire unit or provide new units.

### END OF SECTION 055200

### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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#### PENETRATION FIRESTOPPING

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### SECTION 078413 PENETRATION FIRESTOPPING

#### PART 1 GENERAL

### **1.1 REFERENCE STANDARDS**

- A. 40 CFR 59, Subpart D National Volatile Organic Compound Emission Standards for Architectural Coatings; U.S. Environmental Protection Agency; current edition.
- B. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials; 2023d.
- C. ASTM E814 Standard Test Method for Fire Tests of Penetration Firestop Systems; 2023a.
- D. FM Factory Mutual; Current.
- E. UL 1479 Standard for Fire Tests of Penetration Firestops; Current Edition, Including All Revisions.

### 1.2 SUMMARY

- A. Section Includes:
  - 1. Penetrations in fire-resistance-rated walls.
  - 2. Penetrations in horizontal assemblies.

### **1.3 SUBMITTALS**

- A. See Section 013300 Submittal Procedures, for submittal procedures.
- B. Product Data: For each type of product indicated.
- C. Product Schedule: For each penetration firestopping system. Include location and design designation of qualified testing and inspecting agency.
- D. Qualification Data: For qualified Installer.
- E. Installer Certificates: From Installer indicating penetration firestopping has been installed in compliance with requirements and manufacturer's written recommendations.
- F. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for penetration firestopping.

### **1.4 QUALITY ASSURANCE**

- A. Installer Qualifications: A firm experienced in installing penetration firestopping similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful performance.
- B. Fire-Test-Response Characteristics: Penetration firestopping shall comply with the following requirements:
  - 1. Penetration firestopping is identical to those tested per testing standard referenced in "Penetration Firestopping" Article. Provide rated systems complying with the following requirements:
    - a. Classification markings on penetration firestopping correspond to designations listed by the following:
    - b. UL in its "Fire Resistance Directory."
    - c. FM Global in its "Building Materials Approval Guide."
- C. Preinstallation Conference: Conduct conference at Project site.

#### PENETRATION FIRESTOPPING

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### **1.5 PROJECT CONDITIONS**

- A. Environmental Limitations: Do not install penetration firestopping when ambient or substrate temperatures are outside limits permitted by penetration firestopping manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

# **1.6 COORDINATION**

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping is installed according to specified requirements.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping.

#### **PART 2 PRODUCTS**

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. A/D Fire Protection Systems Inc.
  - 2. Grace Construction Products.
  - 3. Hilti, Inc.
  - 4. Johns Manville.
  - 5. Nelson Firestop Products.
  - 6. NUCO Inc.
  - 7. Passive Fire Protection Partners.
  - 8. RectorSeal Corporation.
  - 9. Specified Technologies Inc.
  - 10. 3M Fire Protection Products.
  - 11. Tremco, Inc.; Tremco Fire Protection Systems Group.
  - 12. USG Corporation.

### 2.2 PENETRATION FIRESTOPPING

- A. Provide penetration firestopping that is produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
- B. Penetrations in Fire-Resistance-Rated Walls: Provide penetration firestopping with ratings determined per ASTM E814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
  - 1. Fire-resistance-rated walls include fire walls, fire-barrier walls, smoke-barrier walls and fire partitions.
  - 2. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- C. Penetrations in Horizontal Assemblies: Provide penetration firestopping with ratings determined per ASTM E814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.

PENETRATION FIRESTOPPING

- 1. Horizontal assemblies include floors, floor/ceiling assemblies, and ceiling membranes of roof/ceiling assemblies.
- 2. F-Rating: At least 1 hour, but not less than the fire-resistance rating of constructions penetrated.
- 3. T-Rating: At least 1 hour, but not less than the fire-resistance rating of constructions penetrated except for floor penetrations within the cavity of a wall.
- D. Exposed Penetration Firestopping: Provide products with flame-spread and smoke-developed indexes of less than 25 and 450, respectively, as determined per ASTM E84.
- E. VOC Content: Provide penetration firestopping that complies with the following limits for VOC content when calculated according to 40 CFR 59, Subpart D (EPA Method 24):
  - 1. Architectural Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- F. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping manufacturer and approved by qualified testing and inspecting agency for firestopping indicated.
  - 1. Permanent forming/damming/backing materials, including the following:
    - a. Slag-wool-fiber or rock-wool-fiber insulation.
    - b. Sealants used in combination with other forming/damming/backing materials to prevent leakage of fill materials in liquid state.
    - c. Fire-rated form board.
    - d. Fillers for sealants.
  - 2. Temporary forming materials.
  - 3. Substrate primers.
  - 4. Collars.
  - 5. Steel sleeves.

### 2.3 FILL MATERIALS

- A. Cast-in-Place Firestop Devices: Factory-assembled devices for use in cast-in-place concrete floors and consisting of an outer metallic sleeve lined with an intumescent strip, a radial extended flange attached to one end of the sleeve for fastening to concrete formwork, and a neoprene gasket.
- B. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- C. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- D. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced elastomeric sheet bonded to galvanized-steel sheet.
- E. Intumescent Putties: Nonhardening dielectric, water-resistant putties containing no solvents, inorganic fibers, or silicone compounds.
- F. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.

- G. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers, and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- H. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.
- I. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- J. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below:
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces, and nonsag formulation for openings in vertical and sloped surfaces, unless indicated firestopping limits use of nonsag grade for both opening conditions.

#### 2.4 MIXING

A. For those products requiring mixing before application, comply with penetration firestopping manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

# PART 3 EXECUTION

#### **3.1 EXAMINATION**

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 PREPARATION**

- A. Surface Cleaning: Clean out openings immediately before installing penetration firestopping to comply with manufacturer's written instructions and with the following requirements:
- B. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping.
- C. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping. Remove loose particles remaining from cleaning operation.
- D. Remove laitance and form-release agents from concrete.
- E. Priming: Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.
- F. Masking Tape: Use masking tape to prevent penetration firestopping from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing firestopping's seal with substrates.

### PENETRATION FIRESTOPPING

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### 3.3 INSTALLATION

- A. General: Install penetration firestopping to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
  - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of firestopping.
- C. Install fill materials for firestopping by proven techniques to produce the following results:
  - 1. Fill voids and cavities formed by openings, forming materials, accessories, and penetrating items as required to achieve fire-resistance ratings indicated.
  - 2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
  - 3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

### **3.4 FIELD QUALITY CONTROL**

- A. Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or penetration firestopping is damaged or removed because of testing, repair or replace penetration firestopping to comply with requirements.
- C. Proceed with enclosing penetration firestopping with other construction only after inspection reports are issued and installations comply with requirements.

# 3.5 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping is without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping and install new materials to produce systems complying with specified requirements.

# END OF SECTION 078413

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JOINT SEALANTS

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### SECTION 079200 JOINT SEALANTS

### PART 1 GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. Section Includes:
  - 1. Silicone joint sealants.
  - 2. Nonstaining silicone joint sealants.
  - 3. Urethane joint sealants.
  - 4. Immersible joint sealants.
  - 5. Silyl-terminated polyether joint sealants.
  - 6. Mildew-resistant joint sealants.
  - 7. Butyl joint sealants.
  - 8. Latex joint sealants.
- B. Related Requirements:
  - 1. Section 079219 "Acoustical Joint Sealants" for sealing joints in sound-rated construction.

# **1.3 ACTION SUBMITTALS**

- A. Product Data: For each joint-sealant product.
- B. Samples for Initial Selection: Manufacturer's color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.
- C. Joint-Sealant Schedule: Include the following information:
  - 1. Joint-sealant application, joint location, and designation.
  - 2. Joint-sealant manufacturer and product name.
  - 3. Joint-sealant formulation.
  - 4. Joint-sealant color.

# **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For qualified testing agency.
- B. Product Test Reports: For each kind of joint sealant, for tests performed by manufacturer and witnessed by a qualified testing agency or a qualified testing agency.
- C. Preconstruction Field-Adhesion-Test Reports: Indicate which sealants and joint preparation methods resulted in optimum adhesion to joint substrates based on testing specified in "Preconstruction Testing" Article.
- D. Field-Adhesion-Test Reports: For each sealant application tested.
- E. Sample Warranties: For special warranties.

# 1.5 QUALITY ASSURANCE

A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.

- B. Product Testing: Test joint sealants using a qualified testing agency.
  - 1. Testing Agency Qualifications: Qualified according to ASTM C1021 to conduct the testing indicated.
- C. Mockups: Install sealant in mockups of assemblies specified in other Sections that are indicated to receive joint sealants specified in this Section. Use materials and installation methods specified in this Section.

# **1.6 PRECONSTRUCTION TESTING**

- A. Preconstruction Field-Adhesion Testing: Before installing sealants, field test their adhesion to Project joint substrates as follows:
  - 1. Locate test joints where indicated on Project or, if not indicated, as directed by Architect.
  - 2. Conduct field tests for each kind of sealant and joint substrate.
  - 3. Notify Architect seven days in advance of dates and times when test joints will be erected.
  - 4. Arrange for tests to take place with joint-sealant manufacturer's technical representative present.
    - a. Test Method: Test joint sealants according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1.1 in ASTM C1193 or Method A, Tail Procedure, in ASTM C1521.
      - 1) For joints with dissimilar substrates, verify adhesion to each substrate separately; extend cut along one side, verifying adhesion to opposite side. Repeat procedure for opposite side.
  - 5. Report whether sealant failed to adhere to joint substrates or tore cohesively. Include data on pull distance used to test each kind of product and joint substrate. For sealants that fail adhesively, retest until satisfactory adhesion is obtained.
  - 6. Evaluation of Preconstruction Field-Adhesion-Test Results: Sealants not evidencing adhesive failure from testing, in absence of other indications of noncompliance with requirements, will be considered satisfactory. Do not use sealants that fail to adhere to joint substrates during testing.

### B. FIELD CONDITIONS

- 1. Do not proceed with installation of joint sealants under the following conditions:
  - a. When ambient and substrate temperature conditions are outside limits permitted by joint- sealant manufacturer or are below 40 deg F.
  - b. When joint substrates are wet.
  - c. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
  - d. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

### 1.7 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish joint sealants to repair or replace those joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: Five years from date of Substantial Completion.

- C. Special warranties specified in this article exclude deterioration or failure of joint sealants from the following:
  - 1. Movement of the structure caused by stresses on the sealant exceeding sealant manufacturer's written specifications for sealant elongation and compression.
  - 2. Disintegration of joint substrates from causes exceeding design specifications.
  - 3. Mechanical damage caused by individuals, tools, or other outside agents.
  - 4. Changes in sealant appearance caused by accumulation of dirt or other atmospheric contaminants.

## PART 2 PRODUCTS

### 2.1 JOINT SEALANTS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.

### 2.2 SILICONE JOINT SEALANTS

- A. Silicone, S, NS, 100/50, NT: Single-component, nonsag, plus 100 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 100/50, Use NT.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. GE Construction Sealants; Momentive Performance Materials Inc.; SCS2700 SilPruf LM.
    - b. Sika Corporation; Joint Sealants; Sikasil WS-290 or Sikasil WS-290 FPS.
- B. Silicone, S, NS, 100/50, T, NT: Single-component, nonsag, plus 100 percent and minus 50 percent movement capability, traffic- and nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 100/50, Uses T and NT.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. Pecora Corporation; 301 NS or 311 NS.
    - b. Sika Corporation; Joint Sealants; Sikasil 728 NS.
    - c. The Dow Chemical Company; DOW CORNING® NS PARKING STRUCTURE SEALANT.
- C. Silicone, S, P, 100/50, T, NT: Single-component, pourable, plus 100 percent and minus 50 percent movement capability traffic- and nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade P, Class 100/50, Uses T and NT.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. Pecora Corporation; 300 SL or 310 SL.
    - b. Sika Corporation; Joint Sealants; Sikasil 728 SL.

# 2.3 NONSTAINING SILICONE JOINT SEALANTS

- A. Nonstaining Joint Sealants: No staining of substrates when tested according to ASTM C1248.
- B. Silicone, Nonstaining, S, NS, 100/50, NT: Nonstaining, single-component, nonsag, plus 100 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 100/50, Use NT.

- 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
  - a. Pecora Corporation; Pecora 890FTS/TXTR.
- Sika Corporation; Joint Sealants; Sikasil WS-290 or Sikasil WS-290 FPS.
   a. Tremco Incorporated; Spectrem 1.

# 2.4 URETHANE JOINT SEALANTS

- A. Urethane, M, P, 50, T, NT: Multicomponent, pourable, plus 50 percent and minus 50 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C920, Type M, Grade P, Class 50, Uses T and NT.
  - 1. Products: Subject to compliance with requirements, provide the following, or a comparable product as approved by the Architect:
    - a. LymTal International Inc; Iso-Flex 888QC.

# 2.5 IMMERSIBLE JOINT SEALANTS

- A. Immersible Joint Sealants. Suitable for immersion in liquids; ASTM C1247, Class 1; tested in deionized water unless otherwise indicated
- B. Urethane, Immersible, S, P, 50, T, NT, I: Immersible, single-component, pourable, plus 50 percent and minus 50 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C920, Type S, Grade P, Class 50, Uses T, NT, and I.
  - 1. Products: Subject to compliance with requirements, provide the following, or a comparable product as approved by the Architect:
    - a. Tremco Incorporated; Vulkem 45 SSL.

### 2.6 SILYL-TERMINATED POLYETHER (STPE) JOINT SEALANTS

- A. STPE, S, NS, 50, NT: Single-component, nonsag, plus 100 percent and minus 50 percent movement capability, traffic- and nontraffic-use, silyl-terminated polyether joint sealant; ASTM C920, Type S, Grade NS, Class 50, Use NT.
  - 1. Products: Subject to compliance with requirements, provide the following, or a comparable product as approved by the Architect:
    - a. Soudal USA; SoudaSeal 50LM.

# 2.7 MILDEW-RESISTANT JOINT SEALANTS

- A. Mildew-Resistant Joint Sealants: Formulated for prolonged exposure to humidity with fungicide to prevent mold and mildew growth.
- B. Silicone, Mildew Resistant, Acid Curing, S, NS, 25, NT: Mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, acid-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 25, Use NT.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. Adfast; Adseal 4800.
    - b. GE Construction Sealants; Momentive Performance Materials Inc.; SCS1700 Sanitary.
  - 2. Pecora Corporation; Pecora 860.
    - a. Soudal USA; RTV GP.
    - b. The Dow Chemical Company; DOW CORNING® 786 SILICONE SEALANT -.
    - c. Tremco Incorporated; Tremsil 200.

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- C. STPE, Mildew Resistant, S, NS, 50, NT: Mildew-resistant, single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, silyl-terminated polyether joint sealant; ASTM C920, Type S, Grade NS, Class 50, Use NT.
  - 1. Products: Subject to compliance with requirements, provide the following, or a comparable product as approved by the Architect:
    - a. Master Builders Solutions; MasterSeal NP 150 (Pre-2014: Sonolastic 150VLM).

# 2.8 BUTYL JOINT SEALANTS

- A. Butyl-Rubber-Based Joint Sealants: ASTM C1311.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. Bostik, Inc; Chem-Calk 300.
    - b. Everkem Diversified Products, Inc.
    - c. Pecora Corporation; BC-158.

# 2.9 LATEX JOINT SEALANTS

- A. Acrylic Latex: Acrylic latex or siliconized acrylic latex, ASTM C834, Type OP, Grade NF.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
    - a. Everkem Diversified Products, Inc.; SilTex 40 Siliconized Acryllic Latex Caulk.
    - b. Franklin International.
    - c. Pecora Corporation; AC-20, AVW-920, or Tilt-Seal.
    - d. Sherwin-Williams Company (The); 850A Siliconized Acrylic Latex Caulk, 950A Siliconized Acrylic Latex Caulk, White, or PowerHouse Siliconized Acrylic Latex Sealant.
    - e. Tremco Incorporated; Tremflex 834.

# 2.10 JOINT-SEALANT BACKING

- A. Sealant Backing Material, General: Nonstaining; compatible with joint substrates, sealants, primers, and other joint fillers; and approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
  - 1. Products: Subject to compliance with requirements, provide one of the following, or a comparable product as approved by the Architect:
  - 2. Adfast; Adseal BR 2600.
  - 3. Alcot Plastics Ltd.; ALCOT Soft Type Backer Rod or ALCOT Standard Backer Rod.
  - 4. Construction Foam Products; a division of Nomaco, Inc.
  - 5. Master Builders Solutions; MasterSeal 920 & 921 (Pre-2014: Sonolastic Backer Rod).
- B. Cylindrical Sealant Backings: ASTM C1330, of the types indicated below, or as approved in writing by joint-sealant manufacturer for joint application indicated, and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
  - 1. Exterior Joints: Type B (bicellular material with a surface skin.)
  - 2. All Other Locations: Type C (closed cell materials with a surface skin.)
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

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### 2.11 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.
- D. Nonsag Sealants:

### **PART 3 EXECUTION**

#### **3.1 EXAMINATION**

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 PREPARATION**

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
  - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  - 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
    - a. Concrete.
    - b. Masonry.
    - c. Unglazed surfaces of ceramic tile.
  - 3. Remove laitance and form-release agents from concrete.
  - 4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
    - a. Metal.
    - b. Glass.
    - c. Porcelain enamel.
    - d. Glazed surfaces of ceramic tile.

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- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint- sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

### **3.3 INSTALLATION OF JOINT SEALANTS**

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
  - 1. Do not leave gaps between ends of sealant backings.
  - 2. Do not stretch, twist, puncture, or tear sealant backings.
  - 3. Remove absorbent sealant backings that have become wet before sealant application, and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.
  - 2. Completely fill recesses in each joint configuration.
  - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
  - 1. Remove excess sealant from surfaces adjacent to joints.
  - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
  - 3. Provide concave joint profile per Figure 8A in ASTM C1193 unless otherwise indicated.
  - 4. Provide flush joint profile at locations indicated on Drawings according to Figure 8B in ASTM C1193.
  - 5. Provide recessed joint configuration of recess depth and at locations indicated on Drawings according to Figure 8C in ASTM C1193.
    - a. Use masking tape to protect surfaces adjacent to recessed tooled joints.

## **3.4 FIELD QUALITY CONTROL**

- A. Field-Adhesion Testing: Field test joint-sealant adhesion to joint substrates as follows:
  - 1. Extent of Testing: Test completed and cured sealant joints as follows:

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- a. Perform 10 tests for the first 1000 feet of joint length for each kind of sealant and joint substrate.
- b. Perform one test for each 1000 feet of joint length thereafter or one test per each floor per elevation.
- 2. Test Method: Test joint sealants according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1 in ASTM C1193 or Method A, Tail Procedure, in ASTM C1521.
  - a. For joints with dissimilar substrates, verify adhesion to each substrate separately; extend cut along one side, verifying adhesion to opposite side. Repeat procedure for opposite side.
- 3. Inspect tested joints and report on the following:
  - a. Whether sealants filled joint cavities and are free of voids.
  - b. Whether sealant dimensions and configurations comply with specified requirements.
  - c. Whether sealants in joints connected to pulled-out portion failed to adhere to joint substrates or tore cohesively. Include data on pull distance used to test each kind of product and joint substrate. Compare these results to determine if adhesion complies with sealant manufacturer's field-adhesion hand-pull test criteria.
- 4. Record test results in a field-adhesion-test log. Include dates when sealants were installed, names of persons who installed sealants, test dates, test locations, whether joints were primed, adhesion results and percent elongations, sealant material, sealant configuration, and sealant dimensions.
- 5. Repair sealants pulled from test area by applying new sealants following same procedures used originally to seal joints. Ensure that original sealant surfaces are clean and that new sealant contacts original sealant.
- B. Evaluation of Field-Adhesion-Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

### 3.5 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.
- B. PROTECTION
- C. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

# **3.6 JOINT-SEALANT SCHEDULE**

- A. Joint-Sealant Application: Exterior joints in horizontal traffic surfaces.
  - 1. Joint Locations:
    - a. Isolation and contraction joints in cast-in-place concrete slabs.
    - b. Tile control and expansion joints.

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- c. Joints between different materials listed above.
- 2. Other joints as indicated on Drawings.
- 3. Joint Sealant: Silicone, S, NS, 100/50, T or Urethane, M, P, 50, T, NT.
- 4. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- B. Joint-Sealant Application: Exterior joints in horizontal traffic surfaces subject to water immersion.
  - 1. Joint Locations:
    - a. Joints in pedestrian plazas.
    - b. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Urethane, immersible, S, P, 50, T, NT, I.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- C. Joint-Sealant Application: Exterior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Construction joints in cast-in-place concrete.
    - b. Control and expansion joints in unit masonry.
    - c. Joints between metal panels.
    - d. Joints between different materials listed above.
    - e. Perimeter joints between materials listed above and frames of doors, windows, and louvers.
    - f. Control and expansion joints in ceilings and other overhead surfaces.
    - g. Other joints as indicated on Drawings.
    - h. Joint Sealant: Silicone, nonstaining, S, NS, 100/50, NT or paintable STPE, S, NS, 100/50, T, NT.
    - i. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- D. Joint-Sealant Application: Interior joints in horizontal traffic surfaces.
  - 1. Joint Locations:
  - 2. Isolation joints in cast-in-place concrete slabs.
    - a. Control and expansion joints in tile flooring.
    - b. Other joints as indicated on Drawings.
  - 3. Joint Sealant: Silicone, S, P, 100/50, T, NT or paintable STPE, S, NS, 100/50, T, NT.
  - 4. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- E. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Control and expansion joints on exposed interior surfaces of exterior walls.
    - b. Tile control and expansion joints.
  - Vertical joints on exposed surfaces of unit masonry and concrete walls and partitions.
     a. Other joints as indicated on Drawings.
  - 3. Joint Sealant: Silicone, S, NS, 100/50, NT or paintable STPE, S, NS, 100/50, T, NT.
  - 4. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- F. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces not subject to significant movement.
  - 1. Joint Locations:
    - a. Control joints on exposed interior surfaces of exterior walls.

JOINT SEALANTS

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- b. Perimeter joints between interior wall surfaces and frames of interior doors and windows.
- c. Other joints as indicated on Drawings.
- 2. Joint Sealant: Acrylic latex.
- 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- G. Joint-Sealant Application: Mildew-resistant interior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Joints between plumbing fixtures and adjoining walls, floors, and counters.
    - b. Tile control and expansion joints where indicated.
    - c. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Silicone, mildew resistant, acid curing, S, NS, 25, NT or paintable STPE, Mildew Resistant, S, NS, 50, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.
- H. Joint-Sealant Application: Concealed mastics.
- I. Joint Locations:
  - 1. Aluminum thresholds.
    - a. Sill plates.
    - b. Other joints as indicated on Drawings.
  - 2. Joint Sealant: Butyl-rubber based.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.

# END OF SECTION 079200

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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TRAFFIC SIGNAGE

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### SECTION 101453 TRAFFIC SIGNAGE

# 1.1 SECTION INCLUDES

A. Installation of metal traffic signs

### **1.2 RELATED SECTIONS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Section 312000 Earth Moving
- C. Section 321216 Asphalt Paving
- D. Section 321313 Concrete Paving

### **1.3 SUBMITTALS**

- A. Comply with the requirements of Section 013300 Submittal Procedures and as modified below.
- B. Product Data: Submit manufacturer's name, specifications and installation instructions for each item specified.

### **1.4 QUALITY CONTROL SUBMITTALS**

- A. Qualifications Certification: Submit written certification or similar documentation signed by the applicable subcontractor, prime contractor and/or manufacturer (where applicable) indicating compliance with the requirements of this specification.
- B. Experience Listing: Submit a list of completed projects using the products proposed for this project, including owner's contact information and telephone number for each project.
- C. Closeout Procedures: Comply with the requirements of Section 017700.

### 1.5 QUALITY ASSURANCE

- A. Design Requirements: Comply with the applicable requirements of New York State Department of Transportation Standard Specification, Section 645.
- B. Regulatory Requirements: Obtain written permission from applicable agencies prior to the start of construction. Submit one copy of the permit as specified in "Submittals-Quality Control Submittals" above.

### 1.6 SEQUENCING AND SCHEDULING

A. A. Proceed with and complete traffic signage installation as rapidly as portions of the site become available, working within seasonal limitations for the work required.

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

TRAFFIC SIGNAGE

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### **PART 2 - PRODUCTS**

### 2.1 MATERIALS

#### 2.2 SIGNS

- A. Comply with applicable local and state requirements. Where local or state requirements are not applicable or available, comply with the latest edition of ASSHTO M268.
- B. Provide size, shape, text, color and reflectivity as shown on the Contract Documents.

#### 2.3 POSTS

A. Heavy duty, 10'minimum 3 lb. per foot, green enamel U-channel posts.

### 2.4 HARDWARE

- A. All nuts, bolts and washers to be stainless steel.
- B. All brackets and supports to be galvanized steel.

# PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Installer Verification of Conditions: Examine conditions under which traffic signage is to be installed with materials and components specified in this Section. Affected Prime Contractors, Owner's Representative and Architect shall be notified in writing of any conditions detrimental to the proper and timely installation of work.
- B. When the Installer confirms conditions as being acceptable to ensure proper and timely installation of the work and to ensure requirements of applicable warranties or guarantees can be satisfied, submit written confirmation to the Architect. Failure to submit written confirmation and subsequent installation will be assumed to indicate conditions are acceptable to the Installer.

#### **3.2 INSTALLATION**

- A. Erect traffic signs in locations designated on the Contract Documents and in accordance with the approved shop drawings and the applicable requirements of New York State Department of Transportation Standard Specification, Section 645.
- B. Protect surfaces and finishes from abrasion and other damage during handling and installation.
- C. Mount signs at the height shown on the drawings or as directed by the Architect. Align sign with the mounting post and angle properly for traffic flow. Tighten bolts and nuts properly and bend bolts where required to prevent vandalism.

#### **3.3 ADJUSTING AND CLEANING**

A. Repairs and Protection of Traffic Signage:

TRAFFIC SIGNAGE

- B. Repair or replace broken or defective traffic signs as directed by the Architect.
- C. Protect traffic signage from damage until acceptance of the installation work.

# END OF SECTION 101453

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#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03. 04 & 05

R25.17697.00 METERS AND GAUGES FOR PLUMBING PIPING

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# SECTION 220519 METERS AND GAUGES FOR PLUMBING PIPING

#### PART 1 GENERAL

### **1.1 SECTION INCLUDES**

A. Thermometers.

### **1.2 REFERENCE STANDARDS**

- A. ASTM E1 Standard Specification for ASTM Liquid-in-Glass Thermometers; 2014 (Reapproved 2020).
- B. ASTM E77 Standard Test Method for Inspection and Verification of Thermometers; 2014 (Reapproved 2021).

#### **PART 2 PRODUCTS**

### **2.1 THERMOMETERS**

- A. Manufacturers:
  - 1. Dwyer Instruments, Inc; \_\_\_\_\_: www.dwyer-inst.com/#sle.
  - 2. Moeller Instrument Company, Inc; \_\_\_\_\_: www.moellerinstrument.com/#sle.
  - 3. Watts Water Technologies, Inc; \_\_\_\_\_: www.watts.com/#sle.
  - 4. Weiss Instruments, LLC; : www.weissinstruments.com/#sle.
  - 5. Weksler Glass Thermometer Corp; \_\_\_\_\_: www.wekslerglass.com/#sle.
  - 6. Winters Instruments; \_\_\_\_: www.winters.com/#sle.
  - 7. Substitutions: See Section 016000 Product Requirements.

### B. General:

- 1. Product Compliance: ASTM E1.
- 2. Lens: Clear glass, except where stated.
- 3. Accuracy: One percent, when tested in accordance with ASTM E77, except where stated.
- 4. Scale: Black markings depicting single scale in degrees F where expected process value falls half-span of standard temperature range.
- C. Thermometers Dial Type:
  - 1. Fixed: 5 inch diameter dial with black pointer, stainless steel case, silicone damping bimetal element, hermetically sealed lens, recalibrating screw, and 2-1/2 inch NPT stem.

# PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Verification of Conditions: Verify Utility Service Provider piping readiness to receive meter.
- B. Do not install instrumentation when areas are under construction, except for required rough-in, taps, supports, and test plugs.

# 3.2 INSTALLATION

- A. Install thermometers as follows:
  - 1. Hot Water Heaters: Place upstream and downstream of heater. Add one on the inlet end when using steam as the water heating medium.

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R25.17697.00 METERS AND GAUGES FOR PLUMBING PIPING

2. Piping: Install thermometers in branch butt weld connection fitting or socket-weld thermowell. Enlarge pipes smaller than 2-1/2 inch to accommodate sockets. Ensure sockets are above insulation clearance.

# END OF SECTION 220519

#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 GENERAL-DUTY VALVES FOR PLUMBING PIPING

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# SECTION 220523 GENERAL-DUTY VALVES FOR PLUMBING PIPING

### PART 1 GENERAL

### **1.1 SECTION INCLUDES**

- A. Ball valves.
- B. Check valves.

### **1.2 REFERENCE STANDARDS**

- A. ASME B1.20.1 Pipe Threads, General Purpose, Inch; 2013 (Reaffirmed 2018).
- B. ASME B16.5 Pipe Flanges and Flanged Fittings: NPS 1/2 through NPS 24 Metric/Inch Standard; 2020.
- C. ASME B16.10 Face-to-Face and End-to-End Dimensions of Valves; 2022, with Errata (2023).
- D. ASME B16.18 Cast Copper Alloy Solder Joint Pressure Fittings; 2021.
- E. ASME B16.34 Valves Flanged, Threaded, and Welding End; 2020.
- F. ASME B31.9 Building Services Piping; 2020.
- G. ASME BPVC-IX Boiler and Pressure Vessel Code, Section IX Qualification Standard for Welding, Brazing, and Fusing Procedures; Welders; Brazers; and Welding, Brazing, and Fusing Operators; 2023.
- H. ASTM B62 Standard Specification for Composition Bronze or Ounce Metal Castings; 2017.
- I. MSS SP-45 Drain and Bypass Connections; 2020.
- J. MSS SP-80 Bronze Gate, Globe, Angle, and Check Valves; 2019.
- K. MSS SP-110 Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends; 2010, with Errata .
- L. NSF 61 Drinking Water System Components Health Effects; 2023, with Errata.
- M. NSF 372 Drinking Water System Components Lead Content; 2024.

### **1.3 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data: Provide data on valves including manufacturers catalog information. Submit performance ratings, rough-in details, weights, support requirements, and piping connections.
- C. Warranty: Submit manufacturer warranty and ensure that forms have been completed in Owner's name and registered with manufacturer.
- D. Operation and Maintenance Data: Include manufacturer's descriptive literature, operating instructions, maintenance and repair data, and parts listings.
- E. Maintenance Materials: Furnish Owner with one wrench for every five plug valves, in each size of square plug valve head.
  - 1. See Section 016000 Product Requirements for additional provisions.

### **1.4 QUALITY ASSURANCE**

- A. Manufacturer:
  - 1. Obtain valves for each valve type from single manufacturer.

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B. Welding Materials and Procedures: Comply with ASME BPVC-IX.

### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Minimize exposure of operable surfaces by setting plug and ball valves to open position.
  - 2. Protect valve parts exposed to piped medium against rust and corrosion.
  - 3. Protect valve piping connections such as grooves, weld ends, threads, and flange faces.
  - 4. Secure check valves in either the closed position or open position.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection and protect flanges and specialties from dirt.
    - a. Provide temporary inlet and outlet caps.
    - b. Maintain caps in place until installation.
  - 2. Store valves in shipping containers and maintain in place until installation.
    - a. Store valves indoors in dry environment.
    - b. Store valves off the ground in watertight enclosures when indoor storage is not an option.

# **1.6 EXERCISE THE FOLLOWING PRECAUTIONS FOR HANDLING:**

- A. Handle large valves with sling, modified to avoid damage to exposed parts.
- B. Avoid the use of operating handles or stems as rigging or lifting points.

### PART 2 PRODUCTS

### 2.1 APPLICATIONS

- A. See drawings for specific valve locations.
- B. Listed pipe sizes shown using nominal pipe sizes (NPS) and nominal diameter (DN).
- C. Provide the following valves for the applications if not indicated on drawings:
  - 1. Shutoff: Ball, butterfly, \_\_\_\_
  - 2. Dead-End: Single-flange butterfly (lug) type.
  - 3. Swing Check (Pump Outlet):
    - a. 2 inch and Smaller: Bronze swing check valves with bronze or nonmetallic disc.
- D. Substitutions of valves with higher CWP classes or WSP ratings for same valve types are permitted when specified CWP ratings or WSP classes are not available.
- E. Domestic, Hot and Cold Water Valves:
  - 1. 2 inch and Smaller:
    - a. Bronze and Brass: Provide with solder-joint ends.
    - b. Ball: One piece, full port, brass with brass trim.
    - c. Bronze Swing Check: Class 125, bronze disc.

### 2.2 GENERAL REQUIREMENTS

- A. Valve Pressure and Temperature Ratings: No less than rating indicated; as required for system pressures and temperatures.
- B. Valve Sizes: Match upstream piping unless otherwise indicated.
- C. Valve Actuator Types:
- D. Insulated Piping Valves: With 2 inch stem extensions and the following features:

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- 1. Ball Valves: Extended operating handle of non-thermal-conductive material, and protective sleeve that allows operation of valve without breaking the vapor seal or disturbing insulation.
- E. Valve-End Connections:
  - 1. Threaded End Valves: ASME B1.20.1.
  - 2. Pipe Flanges and Flanged Fittings 1/2 inch through 24 inch: ASME B16.5.
- F. General ASME Compliance:
  - 1. Ferrous Valve Dimensions and Design Criteria: ASME B16.10 and ASME B16.34.
  - 2. Solder-joint Connections: ASME B16.18.
  - 3. Building Services Piping Valves: ASME B31.9.
- G. Potable Water Use:
  - 1. Certified: Approved for use in compliance with NSF 61 and NSF 372.
  - 2. Lead-Free Certified: Wetted surface material includes less than 0.25 percent lead content.
- H. Valve Bypass and Drain Connections: MSS SP-45.
- I. Source Limitations: Obtain each valve type from a single manufacturer.

### 2.3 BRASS, BALL VALVES

- A. One Piece, Full Port with Brass Trim and Push-to-fit or Threaded Connections:
  - 1. Comply with MSS SP-110.
  - 2. CWP Rating: 200 psi.
  - 3. Body: Forged brass.
  - 4. Seats: PTFE.
  - 5. Stem: Brass.
  - 6. Ball: Chrome-plated brass.
  - 7. Operator: Handle.

### 2.4 BRONZE, BALL VALVES

- A. General:
  - 1. Fabricate from dezincification resistant material.
  - 2. Copper alloys containing more than 15 percent zinc are not permitted.
- B. One Piece, Reduced Port with Bronze Trim:
  - 1. Comply with MSS SP-110.
  - 2. WSP Rating: 400 psi.
  - 3. CWP Rating: 600 psi.
  - 4. Body: Bronze.
  - 5. End Connections: Pipe press.
  - 6. Seats: PTFE.

### 2.5 BRONZE, SWING CHECK VALVES

- A. General:
  - 1. Fabricate from dezincification resistant material.
  - 2. Copper alloys containing more than 15 percent zinc are not permitted.
- B. Class 125:
  - 1. Pressure and Temperature Rating: MSS SP-80, Type 3.
  - 2. Design: Y-pattern, horizontal or vertical flow.

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- 3. WOG Rating: 200 psi.
- 4. Body: Bronze, ASTM B62.
- 5. End Connections: Threaded.
- 6. Disc: Bronze.

### PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Discard all packing materials and verify that valve interior, including threads and flanges are completely clean without signs of damage or degradation that could result in leakage.
- B. Verify valve parts to be fully operational in all positions from closed to fully open.
- C. Confirm gasket material to be suitable for the service, to be of correct size, and without defects that could compromise effectiveness.
- D. Should valve is determined to be defective, replace with new valve.

#### **3.2 INSTALLATION**

- A. Provide unions or flanges with valves to facilitate equipment removal and maintenance while maintaining system operation and full accessibility for servicing.
- B. Provide separate valve support as required and locate valve with stem at or above center of piping, maintaining unimpeded stem movement.
- C. Install check valves where necessary to maintain direction of flow as follows:
  - 1. Swing Check: Install horizontal maintaining hinge pin level.

# END OF SECTION 220523

# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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# HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

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### **SECTION 220529**

# HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

### PART 1 GENERAL

### **1.1 RELATED DOCUMENTS**

A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

### **1.2 SECTION INCLUDES**

#### **1.3 RELATED REQUIREMENTS**

- A. Section 033000 CAST-IN-PLACE CONCRETE: Concrete equipment pads.
- B. Section 055000 METAL FABRICATIONS.

### 1.4 REFERENCE STANDARDS

- A. ASTM A123/A123M Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products; 2017.
- B. ASTM A153/A153M Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware; 2023.
- C. ASTM B633 Standard Specification for Electrodeposited Coatings of Zinc on Iron and Steel; 2019.
- D. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials; 2023d.
- E. MSS SP-58 Pipe Hangers and Supports Materials, Design, Manufacture, Selection, Application, and Installation; 2018, with Amendment (2019).

# 1.5 ADMINISTRATIVE REQUIREMENTS

- A. Coordination:
  - 1. Coordinate sizes and arrangement of supports and bases with the actual equipment and components to be installed.
  - 2. Coordinate the work with other trades to provide additional framing and materials required for installation.
  - 3. Coordinate compatibility of support and attachment components with mounting surfaces at the installed locations.
  - 4. Coordinate the arrangement of supports with ductwork, piping, equipment and other potential conflicts installed under other sections or by others.
  - 5. Notify Architect of any conflicts with or deviations from Contract Documents. Obtain direction before proceeding with work.
- B. Sequencing:
  - 1. Do not install products on or provide attachment to concrete surfaces until concrete has fully cured in accordance with Section 033000.

### **1.6 DEFINITIONS**

A. MSS: Manufacturers Standardization Society of the Valve and Fitting Industry Inc.

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# HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

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### **1.7 PERFORMANCE REQUIREMENTS**

- A. Delegated Design: Design trapeze pipe hangers and equipment supports, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Structural Performance: Hangers and supports for plumbing piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ACSE/SEI7.
  - 1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, systems contents, and test water.
  - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

#### **1.8 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for metal channel (strut) framing systems, nonpenetrating rooftop supports, post-installed concrete and masonry anchors, and thermal insulated pipe supports.
- C. Manufacturer's Instructions: Indicate application conditions and limitations of use stipulated by product testing agency. Include instructions for storage, handling, protection, examination, preparation, and installation of product.

# **1.9 QUALITY ASSURANCE**

A. Comply with applicable building code.

### **PART 2 PRODUCTS**

### 2.1 GENERAL REQUIREMENTS

- A. Provide required hardware to hang or support piping, equipment, or fixtures with related accessories as necessary to complete installation of plumbing work.
- B. Provide hardware products listed, classified, and labeled as suitable for intended purpose.
- C. Materials for Metal Fabricated Supports: Comply with Section 055000.
  - 1. Zinc-Plated Steel: Electroplated in accordance with ASTM B633 unless stated otherwise.
  - 2. Galvanized Steel: Hot-dip galvanized in accordance with ASTM A123/A123M or ASTM A153/A153M unless stated otherwise.
- D. Corrosion Resistance: Use corrosion-resistant metal-based materials fully compatible with exposed piping materials and suitable for the environment where installed.

### **PART 3 EXECUTION**

### **3.1 EXAMINATION**

- A. Verify that field measurements are as indicated.
- B. Verify that mounting surfaces are ready to receive support and attachment components.
- C. Verify that conditions are satisfactory for installation prior to starting work.

### **3.2 INSTALLATION**

A. Install products in accordance with manufacturer's instructions.

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# HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

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- B. Provide independent support from building structure. Do not provide support from piping, ductwork, conduit, or other systems.
- C. Unless specifically indicated or approved by Architect, do not provide support from suspended ceiling support system or ceiling grid.
- D. Unless specifically indicated or approved by Architect, do not provide support from roof deck.
- E. Do not penetrate or otherwise notch or cut structural members without approval of Structural Engineer.
- F. Equipment Support and Attachment:
  - 1. Use metal fabricated supports or supports assembled from metal channel (strut) to support equipment as required.
  - 2. Use metal channel (strut) secured to studs to support equipment surface-mounted on hollow stud walls when wall strength is not sufficient to resist pull-out.
  - 3. Use metal channel (strut) to support surface-mounted equipment in wet or damp locations to provide space between equipment and mounting surface.
  - 4. Securely fasten floor-mounted equipment. Do not install equipment such that it relies on its own weight for support.
- G. Secure fasteners according to manufacturer's recommended torque settings.
- H. Remove temporary supports.

### 3.3 FIELD QUALITY CONTROL

- A. See Section 014000 Quality Requirements for additional requirements.
- B. Inspect support and attachment components for damage and defects.
- C. Repair cuts and abrasions in galvanized finishes using zinc-rich paint recommended by manufacturer. Replace components that exhibit signs of corrosion.
- D. Correct deficiencies and replace damaged or defective support and attachment components.

### END OF SECTION 220529

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# IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

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### **SECTION 220553**

# **IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT**

# PART 1 GENERAL

### **1.1 SECTION INCLUDES**

- A. Nameplates.
- B. Tags.
- C. Pipe markers.

#### **1.2 RELATED REQUIREMENTS**

A. Section 099123 - INTERIOR PAINTING: Identification painting.

#### **1.3 REFERENCE STANDARDS**

- A. ASME A13.1 Scheme for the Identification of Piping Systems; 2023.
- B. ASTM D709 Standard Specification for Laminated Thermosetting Materials; 2017.

### **1.4 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Schedules:
  - 1. Submit plumbing component identification schedule listing equipment, piping, and valves.
  - 2. Detail proposed component identification data in terms of of wording, symbols, letter size, and color coding to be applied to corresponding product.
  - 3. Valve Data Format: Include id-number, location, function, and model number.
- C. Product Data: Provide manufacturers catalog literature for each product required.
- D. Manufacturer's Installation Instructions: Indicate special procedures, and installation.
- E. Project Record Documents: Record actual locations of tagged valves.

#### **PART 2 PRODUCTS**

#### 2.1 PLUMBING COMPONENT IDENTIFICATION GUIDELINE

- A. Nameplates:
  - 1. Heat exchangers, water heaters, and other heat transfer products.
  - 2. Control panels, transducers, and other related control equipment products.
  - 3. Pumps, tanks, filters, water treatment devices, and other plumbing equipment products.
- B. Tags:
  - 1. Piping: 3/4 inch diameter and smaller.
  - 2. Manual operated and automated control valves.
  - 3. Instrumentation, relays, gauges, and other related control equipment products.
- C. Pipe Markers: 3/4 inch diameter and higher.

#### 2.2 NAMEPLATES

- A. Manufacturers:
  - 1. Brimar Industries, Inc; \_\_\_\_\_: www.pipemarker.com/#sle.
  - 2. Kolbi Pipe Marker Co; \_\_\_\_\_: www.kolbipipemarkers.com/#sle.
  - 3. Seton Identification Products; \_\_\_\_: www.seton.com/#sle.

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### IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

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- 4. Substitutions: See Section 016000 Product Requirements.
- B. Description: Laminated piece with up to three lines of text.
  - 1. Letter Color: White.
  - 2. Letter Height: 1/4 inch.
  - 3. Background Color: Black.
  - 4. Nameplate Height: 3/4 inch.
  - 5. Nameplate Material:
    - a. Flexible: Vinyl with adhesive backing per ASTM D709.
    - b. Metal: Brass with center-side holes for screw fastening.

### **2.3 TAGS**

- A. Manufacturers:
  - 1. Advanced Graphic Engraving; \_\_\_\_\_: www.advancedgraphicengraving.com/#sle.
  - 2. Brady Corporation; \_\_\_\_\_: www.bradycorp.com/#sle.
  - 3. Brimar Industries, Inc; \_\_\_\_: www.pipemarker.com/#sle.
  - 4. Craftmark Pipe Markers; \_\_\_\_\_: www.craftmarkid.com/#sle.
  - 5. Kolbi Pipe Marker Co; \_\_\_\_\_: www.kolbipipemarkers.com/#sle.
  - 6. Seton Identification Products; \_\_\_\_\_: www.seton.com/#sle.
  - 7. Substitutions: See Section 016000 Product Requirements.
- B. Flexible: Vinyl with engraved black letters on light contrasting background color with up to three lines of text. Minimum tag size 1-1/2 inch in diameter.
- C. Metal: Brass, 19 gauge 1-1/2 inch in diameter with smooth edges, blank, smooth edges, and corrosion-resistant ball chain. Up to three lines of text.
- D. Valve Tag Chart: Typewritten 12-point letter size list in anodized aluminum frame.
- E. Piping: 3/4 inch diameter and smaller. Include corrosion resistant chain. Identify service, flow direction, and pressure.

### 2.4 PIPE MARKERS

- A. Manufacturers:
  - 1. Brady Corporation; \_\_\_\_: www.bradycorp.com/#sle.
  - 2. Brimar Industries, Inc; \_\_\_\_: www.pipemarker.com/#sle.
  - 3. Craftmark Pipe Markers; \_\_\_\_\_: www.craftmarkid.com/#sle.
  - 4. Kolbi Pipe Marker Co; \_\_\_\_\_: www.kolbipipemarkers.com/#sle.
  - 5. Seton Identification Products; \_\_\_\_: www.seton.com/#sle.
  - 6. Substitutions: See Section 016000 Product Requirements.
- B. Comply with ASME A13.1.
- C. Flexible Marker: Factory fabricated, semi-rigid, preformed to fit around pipe or pipe covering; minimum information indicating flow direction arrow and identification of fluid conveyed.
- D. Flexible Tape Marker: Flexible, vinyl film tape with pressure-sensitive adhesive backing and printed markings.
- E. Underground Flexible Marker: Bright-colored continuously printed ribbon tape, minimum 6 inches wide by 4 mil, 0.004 inch thick, manufactured for direct burial service.
- F. Identification Scheme, ASME A13.1:

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# IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

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- 1. Primary: External Pipe Diameter, Uninsulated or Insulated.
  - a. 3/4 to 1-1/4 inches: Use 8 inch field-length with 1/2 inch text height.
  - b. 1-1/2 to 2 inches: Use 8 inch field-length with 3/4 inch text height.
  - c. 2-1/2 to 6 inches: Use 12 inch field-length with 1-1/4 inch text height.
- Secondary: Color scheme per fluid service.
   a. Water; Potable, Cooling, Boiler Feed, and Other: White text on green background.

## PART 3 EXECUTION

## **3.1 PREPARATION**

- A. Degrease and clean surfaces to receive identification products.
- B. Prepare surfaces for stencil painting, see Section 099123.

## 3.2 INSTALLATION

- A. Install flexible nameplates with corrosive-resistant mechanical fasteners, or adhesive. Apply with sufficient adhesive to ensure permanent adhesion and seal with clear lacquer.
- B. Install tags in clear view and align with axis of piping
- C. Install plastic pipe markers in accordance with manufacturer's instructions.
- D. Install plastic tape pipe marker around pipe in accordance with manufacturer's instructions.
- E. Apply ASME A13.1 Pipe Marking Rules:
  - 1. Place pipe marker adjacent to changes in direction.
  - 2. Place pipe marker adjacent each valve port and flange end.
  - 3. Place pipe marker at both sides of floor and wall penetrations.
  - 4. Place pipe marker every 25 to 50 feet interval of straight run.

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PLUMBING PIPING INSULATION

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## SECTION 220719 PLUMBING PIPING INSULATION

#### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Cellular glass insulation.
- B. Flexible removable and reusable blanket insulation.
- C. Glass fiber insulation.
- D. Jacketing and accessories.
- E. Supplies and drains for hand
- F. Section includes insulating the following pipe systems
  - 1. Domestic Cold Water Piping
  - 2. Domestic Hot Water Piping
  - 3. Domestic recirculating hot water piping

#### **1.2 RELATED REQUIREMENTS**

- A. Section 099123 INTERIOR PAINTING: Painting insulation jacket.
- B. Section 221005 PLUMBING PIPING: Placement of hangers and hanger inserts.

#### **1.3 REFERENCE STANDARDS**

- A. ASTM C177 Standard Test Method for Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Guarded-Hot-Plate Apparatus; 2019, with Editorial Revision (2023).
- B. ASTM C195 Standard Specification for Mineral Fiber Thermal Insulating Cement; 2007 (Reapproved 2019).
- C. ASTM C534/C534M Standard Specification for Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form; 2023.
- D. ASTM C547 Standard Specification for Mineral Fiber Pipe Insulation; 2022a.
- E. ASTM C552 Standard Specification for Cellular Glass Thermal Insulation; 2022.
- F. ASTM C585 Standard Practice for Inner and Outer Diameters of Thermal Insulation for Nominal Sizes of Pipe and Tubing; 2022.
- G. ASTM C795 Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel; 2008 (Reapproved 2023).
- H. ASTM D2842 Standard Test Method for Water Absorption of Rigid Cellular Plastics; 2019.
- I. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials; 2023d.
- J. ASTM E96/E96M Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials; 2023.
- K. UL 723 Standard for Test for Surface Burning Characteristics of Building Materials; Current Edition, Including All Revisions.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

- A. Accept materials on site, labeled with manufacturer's identification, product density, and thickness.
- B. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.
- C. Maintain ambient conditions required by manufacturers of each product.
- D. Maintain temperature before, during, and after installation for minimum of 24 hours.

#### **1.5 COORDINATION**

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

## PART 2 PRODUCTS

## 2.1 REGULATORY REQUIREMENTS

A. Surface Burning Characteristics: Flame spread index/Smoke developed index of 25/50, maximum, when tested in accordance with ASTM E84 or UL 723.

#### 2.2 GLASS FIBER INSULATION

- A. Manufacturers:
  - 1. CertainTeed Corporation; \_\_\_\_: www.certainteed.com/#sle.
  - 2. Johns Manville Corporation; \_\_\_\_: www.jm.com/#sle.
  - 3. Knauf Insulation: www.knaufinsulation.com/#sle.
  - 4. Owens Corning Corporation; Fiberglas Pipe Insulation ASJ: www.ocbuildingspec.com/#sle.
- B. Insulation: ASTM C547 and ASTM C795; rigid molded, noncombustible, with wicking material to transport condensed water to the outside of the system for evaporation to the atmosphere.
  - 1. K Value: ASTM C177, 0.23 at 75 degrees F.
  - 2. Maximum Service Temperature: 220 degrees F.
  - 3. Maximum Moisture Absorption: 0.2 percent by volume.
- C. Vapor Barrier Jacket: White Kraft paper with glass fiber yarn, bonded to aluminized film; moisture vapor transmission when tested in accordance with ASTM E96/E96M of 0.02 perm.

## 2.3 CELLULAR GLASS INSULATION

- A. Insulation: ASTM C552, Type II, Grade 6.
  - 1. K Value: 0.35 at 100 degrees F.
  - 2. Service Temperature Range: From 250 degrees F to 800 degrees F.
  - 3. Water Vapor Permeability: 0.005 perm inch maximum per inch.
  - 4. Water Absorption: 0.5 percent by volume, maximum.

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#### 2.4 JACKETING AND ACCESSORIES

- A. PVC Plastic Jacket:
  - 1. Jacket: One piece molded type fitting covers and sheet material, off-white color.
    - a. Minimum Service Temperature: 0 degrees F.
    - b. Maximum Service Temperature: 150 degrees F.
    - c. Moisture Vapor Permeability: 0.002 perm inch, maximum, when tested in accordance with ASTM E96/E96M.
    - d. Thickness: 10 mil, 0.010 inch.
    - e. Connections: Brush on welding adhesive.

#### **PART 3 EXECUTION**

#### 3.1 EXAMINATION

- A. Verify that piping has been tested before applying insulation materials.
- B. Verify that surfaces are clean and dry, with foreign material removed.

#### **3.2 INSTALLATION**

- A. Install in accordance with manufacturer's instructions.
- B. Install in accordance with North American Insulation Manufacturers Association (NAIMA) National Insulation Standards.
- C. Exposed Piping: Locate insulation and cover seams in least visible locations.
  - 1. Provide PVC jacket on all exposed piping up to 7' above finished floor (i.e. all piping at domestic hot water system and exposed vertical storm leaders).
- D. Insulated pipes conveying fluids below ambient temperature: Insulate entire system including fittings, valves, unions, flanges, strainers, flexible connections, pump bodies, and expansion joints.
- E. Install cellular melamine with factory-applied jackets with a manufacturer-approved adhesive along seams, both straight lap joints and circumferential lap joints.
  - 1. Install seal over seams with factory-approved room temperature vulcanization (RTV) silicone sealant to ensure a positive vapor barrier seal in outdoor and sanitary washdown environments.
- F. Glass fiber insulated pipes conveying fluids below ambient temperature:
  - 1. Provide vapor barrier jackets, factory-applied or field-applied. Secure with self-sealing longitudinal laps and butt strips with pressure-sensitive adhesive. Secure with outward clinch expanding staples and vapor barrier mastic.
  - 2. Insulate fittings, joints, and valves with molded insulation of like material and thickness as adjacent pipe. Finish with glass cloth and vapor barrier adhesive or PVC fitting covers.
- G. For hot piping conveying fluids 140 degrees F or less, do not insulate flanges and unions at equipment, but bevel and seal ends of insulation.
- H. Inserts and Shields:
  - 1. Application: Piping 1-1/2 inches diameter or larger.

# **3.3 INDOOR PIPING INSULATION SCHEDULE**

## A. **Domestic Cold Water**:

- 1. NPS 1 and Smaller: Insulation shall be one of the following:
  - a. Flexible Elastomeric: 1 inch Insert dimension thick.

2.

PLUMBING PIPING INSULATION

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- b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1/2 inch thick.
- NPS 1-1/4 and Larger: Insulation shall be one of the following:
  - a. Flexible Elastomeric: 1 inch thick.
  - b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
- B. Domestic Hot and Recirculated Hot Water (105-140 F):
  - 1. NPS 1-1/4 and Smaller: Insulation shall be one of the following:
    - a. Flexible Elastomeric: 1 inch thick.
    - b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
  - 2. NPS 1-1/2 and Larger: Insulation shall be one of the following:
    - a. Flexible Elastomeric: 1 inch thick.
    - b. Mineral-Fiber, Preformed Pipe Insulation, Type I: 1-1/2 inch thick.

# 3.4 INDOOR, FIELD-APPLIED JACKET SCHEDULE

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. If more than one material is listed, selection from materials listed is Contractor's option.
- C. Piping, Concealed:
- D. Piping, Exposed:
  - 1. PVC: 20 mils thick.

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PLUMBING PIPING

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#### SECTION 221005 PLUMBING PIPING

#### PART 1 GENERAL

## **1.1 SECTION INCLUDES**

- A. Domestic water piping, above grade.
- B. Natural gas piping, above grade.
- C. Pipe flanges, unions, and couplings.1. Pipe hangers and supports.

#### **1.2 RELATED REQUIREMENTS**

- A. Section 083100 ACCESS DOORS AND PANELS.
- B. Section 220529 HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT.
- C. Section 220553 IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT.
- D. Section 220719 PLUMBING PIPING INSULATION.

#### **1.3 REFERENCE STANDARDS**

- A. ANSI LC 1/CSA 6.26 Fuel Gas Piping Systems Using Corrugated Stainless Steel Tubing; 2023.
- B. ASME B16.3 Malleable Iron Threaded Fittings: Classes 150 and 300; 2021.
- C. ASME B16.4 Gray Iron Threaded Fittings: Classes 125 and 250; 2021.
- D. ASME B16.18 Cast Copper Alloy Solder Joint Pressure Fittings; 2021.
- E. ASME B16.22 Wrought Copper and Copper Alloy Solder-Joint Pressure Fittings; 2021.
- F. ASME B31.1 Power Piping; 2022.
- G. ASME B31.9 Building Services Piping; 2020.
- H. ASTM A47/A47M Standard Specification for Ferritic Malleable Iron Castings; 1999, with Editorial Revision (2022).
- I. ASTM A53/A53M Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless; 2020.
- J. ASTM A234/A234M Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service; 2023a.
- K. ASTM B32 Standard Specification for Solder Metal; 2020.
- L. ASTM B813 Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube; 2016.
- M. ASTM B828 Standard Practice for Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings; 2023.
- N. ASTM C564 Standard Specification for Rubber Gaskets for Cast Iron Soil Pipe and Fittings; 2020a.
- O. ASTM C1277 Standard Specification for Shielded Couplings Joining Hubless Cast Iron Soil Pipe and Fittings; 2020.

- P. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials; 2023d.
- Q. AWWA C606 Grooved and Shouldered Joints; 2022.
- R. AWWA C651 Disinfecting Water Mains; 2023.
- S. CISPI 310 Specification for Coupling for Use in Connection with Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications; 2020.
- T. ICC-ES AC01 Acceptance Criteria for Expansion Anchors in Masonry Elements; 2018, with Editorial Revision (2020).
- U. ICC-ES AC106 Acceptance Criteria for Predrilled Fasteners (Screw Anchors) in Masonry; 2018, with Editorial Revision (2020).
- V. ICC-ES AC193 Acceptance Criteria for Mechanical Anchors in Concrete Elements; 2017, with Editorial Revision (2020).
- W. ICC-ES AC308 Acceptance Criteria for Post-Installed Adhesive Anchors in Concrete Elements; 2016.
- X. MSS SP-58 Pipe Hangers and Supports Materials, Design, Manufacture, Selection, Application, and Installation; 2018, with Amendment (2019).
- Y. NSF 61 Drinking Water System Components Health Effects; 2024.
- Z. NSF 372 Drinking Water System Components Lead Content; 2022.
- AA. UL 723 Standard for Test for Surface Burning Characteristics of Building Materials; Current Edition, Including All Revisions.

## **1.4 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data: Provide data on pipe materials, pipe fittings, valves, and accessories. Provide manufacturers catalog information. Indicate valve data and ratings.

#### **1.5 QUALITY ASSURANCE**

- A. Perform work in accordance with applicable codes.
- B. Identify pipe with marking including size, ASTM material classification, ASTM specification, potable water certification, water pressure rating.

## 1.6 DELIVERY, STORAGE, AND HANDLING

A. Protect piping systems from entry of foreign materials by temporary covers, completing sections of the work, and isolating parts of completed system.

#### **1.7 FIELD CONDITIONS**

A. Do not install underground piping when bedding is wet or frozen.

## PART 2 PRODUCTS

#### 2.1 GENERAL REQUIREMENTS

- A. Potable Water Supply Systems: Provide piping, pipe fittings, and solder and flux (if used), that comply with NSF 61 and NSF 372 for maximum lead content; label pipe and fittings.
- B. Plenum-Installed Acid Waste Piping: Flame-spread index equal or below 25 and smokespread index equal or below 50 according to ASTM E84 or UL 723 tests.

B.

- C. All Cast Iron Soil pipe and fittings shall be marked with the collective trademark of the Cast Iron Soil Pipe Institue (CISPI) and listed by NSF International.
  - 1. Each length of pipe and each fitting shall be plainly marked with size, country of origin, and name of manufacture or manufacturer's register trademark

## 2.2 DOMESTIC WATER PIPING, ABOVE GRADE

- A. Copper Tube: ASTM B88 (ASTM B88M), Type L (B), Drawn (H).
  - 1. Fittings: ASME B16.18, cast copper alloy or ASME B16.22, wrought copper and bronze.
  - 2. Joints: ASTM B32, alloy Sn95 solder.
  - Steel Pipe: ASTM A53/A53M, Grade B, Type F, Schedule 40, galvanized.
  - 1. Manufacturers:
  - 2. Threaded Joints: ASME B16.4 cast iron fittings.
  - 3. Grooved Joints: AWWA C606 grooved pipe, cast iron fittings, and mechanical couplings.

## 2.3 NATURAL GAS PIPING, ABOVE GRADE

- A. Steel Pipe: ASTM A53/A53M Schedule 40 black.
  - 1. Fittings: ASME B16.3, malleable iron, or ASTM A234/A234M, wrought steel welding type.
  - 2. Joints: Threaded or welded to ASME B31.1.
  - 3. Paint all exposed exterior natural gas piping. Confirm color with Architect. Grey, Yellow or as otherwise prescribed.
- B. Flexible Gas Piping:
  - 1. Corrugated Stainless Steel Tubing: Comply with ANSI LC 1/CSA 6.26.
  - 2. Comply with ASTM E84.
  - 3. Fittings: Provided by piping system manufacturer.

## 2.4 PIPE FLANGES, UNIONS, AND COUPLINGS

- A. Unions for Pipe Sizes 3 inch and Under:
  - 1. Ferrous Pipe: Class 150 malleable iron threaded unions.
  - 2. Copper Tube and Pipe: Class 150 bronze unions with soldered joints.
- B. Mechanical Couplings for Grooved and Shouldered Joints: Two or more curved housing segments with continuous key to engage pipe groove, circular C-profile gasket, and bolts to secure and compress gasket.
  - 1. Dimensions and Testing: In accordance with AWWA C606.
  - 2. Housing Material: Provide ASTM A47/A47M malleable iron, ductile iron, or \_\_\_\_\_, galvanized.
  - 3. Bolts and Nuts: Hot dipped galvanized or zinc-electroplated steel.
  - 4. When pipe is field grooved, provide coupling manufacturer's grooving tools.
- C. No-Hub Couplings:
  - 1. Testing: In accordance with ASTM C1277 and CISPI 310.
  - 2. NSF Certification
  - 3. Gasket Material: Neoprene complying with ASTM C564.
  - 4. Band Material: Stainless steel.
  - 5. Eyelet Material: Stainless steel.
  - 6. Manufacturers:
    - a. MIFAB, Inc; MI-QHUB: www.mifab.com/#sle.

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- b. Charlotte Pipe and Foundry
- c. Ideal Tridon

# 2.5 PIPE HANGERS AND SUPPORTS

- A. See Section 220529 for additional requirements.
- B. Provide hangers and supports that comply with MSS SP-58.
  - 1. If type of hanger or support for a particular situation is not indicated, select appropriate type using MSS SP-58 recommendations.
  - 2. Overhead Supports: Individual steel rod hangers attached to structure or to trapeze hangers.
  - 3. Trapeze Hangers: Welded steel channel frames attached to structure.
  - 4. Vertical Pipe Support: Steel riser clamp.
- C. Plumbing Piping Water:
  - 1. Hangers for Pipe Sizes 1/2 to 1-1/2 inch: Malleable iron, adjustable swivel, split ring.
  - 2. Hangers for Cold Pipe Sizes 2 inch and Over: Carbon steel, adjustable, clevis.
  - 3. Hangers for Hot Pipe Sizes 2 to 4 inch: Carbon steel, adjustable, clevis.
  - 4. Wall Support for Pipe Sizes Up to 3 inch: Cast iron hook.
- D. Hanger Fasteners: Attach hangers to structure using appropriate fasteners, as follows:
  - 1. Concrete Wedge Expansion Anchors: Comply with ICC-ES AC193.
  - 2. Masonry Wedge Expansion Anchors: Comply with ICC-ES AC01.
  - 3. Concrete Screw Type Anchors: Comply with ICC-ES AC193.
  - 4. Masonry Screw Type Anchors: Comply with ICC-ES AC106.
  - 5. Concrete Adhesive Type Anchors: Comply with ICC-ES AC308.
  - 6. Other Types: As required.

# PART 3 EXECUTION

## **3.1 EXAMINATION**

A. Verify that excavations are to required grade, dry, and not over-excavated.

# **3.2 PREPARATION**

- A. Ream pipe and tube ends. Remove burrs. Bevel plain end ferrous pipe.
- B. Remove scale and dirt, on inside and outside, before assembly.
- C. Prepare piping connections to equipment with flanges or unions.

# 3.3 INSTALLATION

- A. Install in accordance with manufacturer's instructions.
- B. Provide non-conducting dielectric connections wherever jointing dissimilar metals.
- C. Install piping to maintain headroom, conserve space, and not interfere with use of space.
- D. Group piping whenever practical at common elevations.
- E. Install piping to allow for expansion and contraction without stressing pipe, joints, or connected equipment. See Section 220516.
- F. Provide clearance in hangers and from structure and other equipment for installation of insulation and access to valves and fittings.
- G. Provide access where valves and fittings are not exposed.

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- 1. Coordinate size and location of access doors with Section 083100.
- H. Establish elevations of buried piping outside the building to ensure not less than 5 ft of cover for pipes that require freeze protection.
- I. Install vent and gas piping penetrating roofed areas to maintain integrity of roof assembly and to comply with manufacturer warrenty.
- J. Install valves with stems upright or horizontal, not inverted. See Section 220523.
- K. Install water piping to ASME B31.9.
- L. Copper Pipe and Tube: Make soldered joints in accordance with ASTM B828, using specified solder, and flux meeting ASTM B813; in potable water systems use flux also complying with NSF 61 and NSF 372.
- M. Sleeve pipes passing through partitions, walls, and floors.
- N. Pipe Hangers and Supports:
  - 1. Prime coat exposed steel hangers and supports. Hangers and supports located in crawl spaces, pipe shafts, and suspended ceiling spaces are not considered exposed.
- O. Pipe Sleeve-Seal Systems:
  - 1. Install manufactured sleeve-seal systems in sleeves located in grade slabs and exterior concrete walls at piping entrances into building.
  - 2. Provide sealing elements of the size, quantity, and type required for the piping and sleeve inner diameter or penetration diameter.
  - 3. Locate piping in center of sleeve or penetration.
  - 4. Install field assembled sleeve-seal system components in annular space between sleeve and piping.
  - 5. Tighten bolting for a watertight seal.
  - 6. Install in accordance with manufacturer's recommendations.

## 3.4 APPLICATION

- A. Install unions downstream of valves and at equipment or apparatus connections.
- B. Install ball or butterfly valves for shut-off and to isolate equipment, part of systems, or vertical risers.
- C. Provide lug end butterfly valves adjacent to equipment when provided to isolate equipment.
- D. Provide spring-loaded check valves on discharge of water pumps.

#### 3.5 FIELD TESTS AND INSPECTIONS

- A. Verify and inspect systems according to requirements by the Authority Having Jurisdiction. In the absence of specific test and inspection procedures proceed as indicated below.
- B. Test Sanitary waste and vent piping according to procedures of AHJ or in absense of published procedures, as follows:
  - 1. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit seperate report for each test.
  - 2. Leave piping uncovered and unconcealed new, altered, extended or replaced waste and vent piping until it has been tested, inspected and approved.
  - 3. Rough-in Plumbing test Procedure:

- a. Close openings in piping system and fill with water to point of overflow, but not less then 10-foot head of water.
- b. From 15 minutes before inspection starts to completion of inspection, water level must not drop.
- c. Inspect joints for leaks.
- C. Domestic Water Systems:
  - 1. Perform hydrostatic testing for leakage prior to system disinfection.
  - 2. Test Preparation: Close each fixture valve or disconnect and cap each connected fixture.
  - 3. General:
    - a. Fill the system with water and raise static head to 10 psi above service pressure. Minimum static head of 50 to 150 psi. As an exception, certain codes allow a maximum static pressure of 80 psi.
- D. Gas Distribution Systems:
  - 1. Test Preparation: Close each appliance valve or disconnect and cap each connected appliance.
  - 2. General Systems:
    - a. Inject a minimum of 10 psi of compressed air into the piping system for a duration of 15 minutes and verify with a gauge that no perceptible pressure drop is measured.
    - b. Ensure test pressure gauge has a range of twice the specific pressure rate selected with an accuracy of 1/10 of 1 pound.
  - 3. Welded Pipes or Systems with Service Pressures Above 14 in-wc:
    - a. Inject a minimum of 60 psi of compressed air into the piping system for a duration of 30 minutes and verify with a gauge that no perceptible pressure drop is measured.
    - b. Ensure test pressure gauge has a range of twice the specific pressure rate selected with an accuracy of 1/10 of 1 pound with 1 psi increments.
- E. Test Results: Document and certify successful results, otherwise repair, document, and retest.

# **3.6 DISINFECTION OF DOMESTIC WATER PIPING SYSTEM**

- A. Prior to starting work, verify system is complete, flushed, and clean.
- B. Ensure acidity (pH) of water to be treated is between 7.4 and 7.6 by adding alkali (caustic soda or soda ash) or acid (hydrochloric).
- C. Inject disinfectant, free chlorine in liquid, powder, tablet, or gas form throughout system to obtain 50 to 80 mg/L residual.
- D. Bleed water from outlets to ensure distribution and test for disinfectant residual at minimum 15 percent of outlets.
- E. Maintain disinfectant in system for 24 hours.
- F. If final disinfectant residual tests less than 25 mg/L, repeat treatment.
- G. Flush disinfectant from system until residual equal to that of incoming water or 1.0 mg/L.
- H. Take samples no sooner than 24 hours after flushing, from 10 percent of outlets and from water entry, and analyze in accordance with AWWA C651.

# **3.7 DISINFECTION OF DOMESTIC WATER PIPING - NYS DOH**

- A. Clean and disinfect potable domestic water piping as follows:
  - 1. Purge new piping and parts of the existing piping that have been altered, extended, or repaired, before putting back into use.

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- 2. Use purging and disinfecting procedures prescribed by authorities having jurisdiction; if methods are not prescribed, use procedures described in either AWWA C651 or AWWA C652 or follow procedures described below:
  - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
  - b. Fill and isolate system according to either of the following:
    - 1) Fill system or part thereof with water/chlorine solution with at least 50 ppm of chlorine. Isolate with valves and allow to stand for 24 hours. OR
    - 2) Fill system or part thereof with water/chlorine solution with at least 200 ppm of chlorine. Isolate and allow to stand for three hours.
  - c. Flush system with clean, potable water until no chlorine (above normal potable water limites) is in water coming from system after the standing time.
  - d. Repeat procedures if biologival examination shows containination.
  - e. Submit water sampes in sterile bottles to NYS Department of Health approved lab with results sent to the DOH and to the architect/engineer of record as project submittal.
- B. Prepare and submit reports of purging and disinfecting activities. Include copies of water sample approval from NYS Department of Health approved lab.
- C. Where new fixture have been added to nYS K-12 school buildings, test samples shall be taken at all new fixtures in accordance with SED testing protocol for Lead. Submit test results to Arch/Engineer./District.

#### 3.8 SERVICE CONNECTIONS

- A. Provide new sanitary sewer services. Before commencing work, check invert elevations required for sewer connections, confirm inverts and ensure that these can be properly connected with slope for drainage and cover to avoid freezing.
- B. Provide new water service complete with approved reduced pressure backflow preventer and water meter with by-pass valves, pressure reducing valve, and sand strainer.
  - 1. Provide sleeve in wall for service main and support at wall with reinforced concrete bridge. Calk enlarged sleeve and make watertight with pliable material. Anchor service main inside to concrete wall.

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## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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PLUMBING PIPING SPECIALTIES

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## SECTION 221006 PLUMBING PIPING SPECIALTIES

#### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

A. Mixing valves.

#### **1.2 REFERENCE STANDARDS**

- A. ASME B16.29 Wrought Copper and Wrought Copper Alloy Solder-Joint Drainage Fittings—DWV; 2022.
- B. ASSE 1017 Performance Requirements for Temperature Actuated Mixing Valves for Hot Water Distribution Systems; 2023.
- C. ASSE 1019 Performance Requirements for Wall Hydrant with Backflow Protection and Freeze Resistance; 2023.
- D. NSF 61 Drinking Water System Components Health Effects; 2023, with Errata.
- E. NSF 372 Drinking Water System Components Lead Content; 2022.

## **1.3 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data: Provide component sizes, rough-in requirements, service sizes, and finishes.
- C. Shop Drawings: Indicate dimensions, weights, and placement of openings and holes.
- D. Manufacturer's Instructions: Indicate Manufacturer's Installation Instructions: Indicate assembly and support requirements.
- E. Maintenance Data: Include installation instructions, spare parts lists, exploded assembly views.
- F. Project Record Documents: Record actual locations of equipment, cleanouts, backflow preventers, and water hammer arrestors.
- G. Maintenance Materials: Furnish the following for Owner's use in maintenance of project.
  1. See Section 016000 Product Requirements for additional provisions.
  - 2. Extra Loose Keys for Outside Hose Bibbs: One.

## 1.4 QUALITY ASSURANCE

A. Manufacturer Qualifications: Company specializing in manufacturing the Products specified in this section with not less than three years documented experience.

## 1.5 DELIVERY, STORAGE, AND HANDLING

A. Accept specialties on site in original factory packaging. Inspect for damage.

## PART 2 PRODUCTS

## 2.1 GENERAL REQUIREMENTS

A. Specialties in Potable Water Supply Systems: Provide products that comply with NSF 61 and NSF 372 for maximum lead content.

## 2.2 MIXING VALVES

- A. Thermostatic Master Mixing Valves:
  - 1. Manufacturers:

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PLUMBING PIPING SPECIALTIES

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- a. Cash Acme, a brand of Reliance Worldwide Corporation; \_\_\_\_\_: www.cashacme.com/#sle.
- b. Leonard Valve Company; \_\_\_\_: www.leonardvalve.com/#sle.
- c. Resideo Technologies, Inc; \_\_\_\_: www.resideo.com/#sle.
- d. Watts Water Technologies; POWERS; \_\_\_\_: www.watts.com/#sle. Equal to LFSH1432.
- 2. Valve: ASSE 1017, bronze or brass body; thermostatic element; corrosion- and lime-resistant internal components; integral locking temperature adjustment.
- 3. Capacity: 38 gpm at 20 psi differential.
- 4. Mixed-Water Temperature Setting: 115 degrees F.
- 5. Finish: Rough bronze.
- 6. Accessories:
  - a. Strainer stop checks on inlets.
  - b. Shut-off valve on outlet.
  - c. Stem thermometer on outlet.

## PART 3 EXECUTION

## 3.1 INSTALLATION

- A. Install in accordance with manufacturer's instructions.
- B. Install approved potable water protection devices on plumbing lines where contamination of domestic water may occur; on boiler feed water lines, janitor rooms, fire sprinkler systems, premise isolation, irrigation systems, flush valves, interior and exterior hose bibbs.

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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DOMESTIC WATER PUMPS

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## SECTION 221123 DOMESTIC WATER PUMPS

## PART 1 GENERAL

## 1.1 SECTION INCLUDES

- A. Circulators.
- B. Inline pumps.

#### **1.2 RELATED REQUIREMENTS**

A. Section 220513 - Common Motor Requirements for Plumbing Equipment.

# **1.3 REFERENCE STANDARDS**

- A. ICC (IPC) International Plumbing Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- B. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- C. NSF 61 Drinking Water System Components Health Effects; 2023, with Errata.

#### **1.4 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data:
  - 1. Provide certified pump curve with duty point marked over pump and system operating conditions and NPSH curve and power requirement by pump tag.
  - 2. Manufacturer's catalog sheets for fixtures, fittings, accessories, and supplies.

#### 1.5 QUALITY ASSURANCE

A. Manufacturer Qualifications: Company specializing in manufacturing type of products specified in this section, with minimum three years of documented experience.

## PART 2 PRODUCTS

## 2.1 CIRCULATORS

- A. Manufacturers:
  - 1. Armstrong Fluid Technology; \_\_\_\_\_: www.armstrongfluidtechnology.com/#sle.
  - 2. Bell & Gossett, a Brand of Xylem, Inc; \_\_\_\_: www.xylem.com/#sle.
  - 3. Grundfos Pumps Corporation; MAGNA 3: www.grundfos.com/#sle.
  - 4. Taco, Inc; \_\_\_\_: www.tacocomfort.com/#sle.
- B. Casing: Bronze with bronze cast impeller, and stainless steel rotor assembly.
- C. Shaft: Alloy steel with integral thrust collar and two oil-lubricated bronze sleeve bearings.
- D. Mechanical Seal: Carbon rotating against a stationary ceramic seat.
- E. Pipe-End Connection: Union connection.
- F. Maximum Discharge Pressure: 145 psi.
- G. Motor: 1,750 rpm, ECM duty with flexible coupling.
- H. Service Temperature Range: Minus 30 to 250 degrees F.
- I. Controls: Provide aquastat set for high-temp cutoff, electric plug, and illuminated hand switch.

DOMESTIC WATER PUMPS

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## 2.2 INLINE PUMPS

- A. Description: Split-coupled, end-suction pump for heads up to 175 psi.
- B. Type: Vertical, base-mount, single-stage inline with Class 125 flanged pipe-end connections.
- C. Casing: Bronze with renewable bronze casing wearing rings and seal flush connection.
- D. Impeller: Bronze, fully enclosed, keyed directly to solid alloy steel with bronze sleeve shaft.
- E. Seal: Mechanical, single spring type, for 225 degrees F service.

#### F. Electrical:

- 1. Motor: 1,750 rpm, continuous duty; see Section 220513.
- 2. Motor Protection: Include overvoltage, overcurrent, and motor overload.
- 3. Wiring Terminations: Provide terminal lugs to match branch circuit conductor quantities, sizes, and materials indicated. Enclose terminal lugs in terminal box sized to NFPA 70.

## PART 3 EXECUTION

#### **3.1 INSTALLATION**

- A. Install products with related fittings, and accessories according to manufacturer instructions.
- B. Potable and Drinking Water Service: Provide NSF 61 certified; comply with ICC (IPC).
- C. Ensure that small pressure gauges are installed on both upstream and downstream ends.
- D. Hot Water Service: Ensure that small pressure-temperature gauges are installed on both upstream and downstream ends.
- E. Ensure pumps operate at specified system fluid temperatures without vapor binding and cavitation, are nonoverloading in parallel or individual operation, and operate within 25 percent of midpoint of published maximum efficiency curve.

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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PLUMBING EQUIPMENT

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## SECTION 223000 PLUMBING EQUIPMENT

#### PART 1 GENERAL

## 1.1 SECTION INCLUDES

- A. Commercial gas-fired water heaters.
- B. Diaphragm-type compression tanks.
- C. In-line circulator pumps.

## **1.2 REFERENCE STANDARDS**

- A. ASHRAE Std 90.1 I-P Energy Standard for Buildings Except Low-Rise Residential Buildings; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- B. ASME BPVC-VIII-1 Boiler and Pressure Vessel Code, Section VIII, Division 1: Rules for Construction of Pressure Vessels; 2023.
- C. UL 174 Standard for Household Electric Storage Tank Water Heaters; Current Edition, Including All Revisions.

#### **1.3 ADMINISTRATIVE REQUIREMENTS**

- A. Preinstallation Meeting: Conduct a preinstallation meeting one week prior to the start of the work of this section; require attendance by affected installers.
- B. Sequencing: Ensure that utility connections are achieved in an orderly and expeditious manner.

## **1.4 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittals procedures.
- B. Product Data:
  - 1. Provide dimension drawings of water heaters indicating components and connections to other equipment and piping.
  - 2. Provide electrical characteristics and connection requirements.
- C. Shop Drawings:
  - 1. Indicate heat exchanger dimensions, size of tappings, and performance data.
  - 2. Indicate dimensions of tanks, tank lining methods, anchors, attachments, lifting points, tappings, and drains.
- D. Project Record Documents: Record actual locations of components.
- E. Operation and Maintenance Data: Include operation, maintenance, and inspection data, replacement part numbers and availability, and service depot location and telephone number.
- F. Warranty Documentation: Submit manufacturer warranty and ensure that forms have been completed in Owner's name and registered with manufacturer.
- G. Project Record Documents: Record actual locations of components.
- H. Maintenance Materials: Furnish the following for Owner's use in maintenance of project.1. Extra Water Softener Salt: 50 pounds.

## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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## PLUMBING EQUIPMENT

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## **1.5 QUALITY ASSURANCE**

A. Manufacturer Qualifications: Company specializing in manufacturing the type of products specified in this section, with minimum three years of documented experience.

## B. Certifications:

- 1. Water Heaters: NSF approved.
- 2. Electric Water Heaters: UL listed and labeled to UL 174.
- 3. Pressure Vessels for Heat Exchangers: ASME labeled to ASME BPVC-VIII-1.
- 4. Products Requiring Electrical Connection: Listed and classified by Underwriters Laboratories Inc., as suitable for the purpose specified and indicated.

## 1.6 DELIVERY, STORAGE, AND HANDLING

A. Provide temporary inlet and outlet caps. Maintain caps in place until installation.

## 1.7 WARRANTY

A. See Section 017800 - Closeout Submittals for additional warranty requirements.

## **PART 2 PRODUCTS**

## 2.1 WATER HEATERS

- A. Manufacturers:
  - 1. A.O. Smith Water Products Co; \_\_\_\_\_: www.hotwater.com/#sle.
  - 2. Bock Water Heaters, Inc; \_\_\_\_: www.bockwaterheaters.com/#sle.
  - 3. Bradford White Corporation; \_\_\_\_: www.bradfordwhite.com/#sle.
  - 4. Rheem Manufacturing Company; : www.rheem.com/#sle.
  - 5. Substitutions: See Section 016000 Product Requirements.
- B. Commercial Gas-Fired Water Heaters:
  - 1. Type: Automatic, natural gas-fired, vertical storage.
  - 2. Minimum Efficiency Required: ASHRAE Std 90.1 I-P.
  - 3. Tank: Antimicrobial-infused, enamel-lined, welded steel, ASME labeled; multiple flue passages, 4-inch diameter inspection port, thermally insulated with minimum 2 inches glass fiber, encased in corrosion-resistant steel jacket; baked-on enamel finish; floor shield and legs.
  - 4. Accessories:
    - a. Water Connections: Brass.
    - b. Dip Tube: Brass.
    - c. Drain valve.
    - d. Anode: Magnesium.
  - 5. Applications:
    - a. Automatic storage water heater.
    - b. Automatic circulating tank water heater.
    - c. For operation at 180 degrees F.

# 2.2 DIAPHRAGM-TYPE COMPRESSION TANKS

- A. Manufacturers:
  - 1. Amtrol Inc; \_\_\_\_: www.amtrol.com/#sle.
  - 2. Bell & Gossett, a brand of Xylem, Inc; \_\_\_\_: www.bellgossett.com/#sle.
  - 3. Taco, Inc; \_\_\_\_: www.taco-hvac.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.

- B. Construction: Welded steel, tested and stamped in accordance with ASME BPVC-VIII-1; supplied with National Board Form U-1, rated for working pressure of 125 psig, with flexible EPDM diaphragm sealed into tank, and steel legs or saddles.
- C. Accessories: Pressure gauge and air-charging fitting, tank drain; precharge to 12 psig.

# 2.3 IN-LINE CIRCULATOR PUMPS

- A. Manufacturers:
  - 1. Armstrong Fluid Technology; \_\_\_\_\_: www.armstrongfluidtechnology.com/#sle.
  - 2. Bell & Gossett, a brand of Xylem, Inc; \_\_\_\_: www.bellgossett.com/#sle.
  - 3. Sterling SIHI GmbH; \_\_\_\_\_: www.sterlingsihi.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.
- B. Casing: Bronze, rated for 125 psig working pressure, with stainless steel rotor assembly.
- C. Impeller: Bronze.
- D. Shaft: Alloy steel with integral thrust collar and two oil lubricated bronze sleeve bearings.
- E. Seal: Carbon rotating against a stationary ceramic seat.
- F. Drive: Flexible coupling.

# PART 3 EXECUTION

## 3.1 INSTALLATION

- A. Install plumbing equipment in accordance with manufacturer's instructions, as required by code, and complying with conditions required for applicable certifications.
- B. Electrical Work: Provide automatic control and protective devices with associated wiring to interconnect related interfaced devices required for specified operation.
- C. Coordinate system, equipment, and piping work with applicable electrical, fuel, gas, vent, drain, and waste support interconnections as included or provided by other trades.
- D. Domestic Water Storage Tanks:
  - 1. Provide steel pipe support, independent of building structural framing members.
  - 2. Clean and flush prior to delivery to site. Seal until pipe connections are made.
- E. Pumps:
  - 1. Ensure pumps operate at specified system fluid temperatures without vapor binding and cavitation, are non-overloading in parallel or individual operation, and operate within 25 percent of midpoint of published maximum efficiency curve.
- F. Coordinate BAS, BMS, or Integrated Automation linking between unit controller(s) and remote front-end interface; see Section 251500.

# **3.2 FIELD QUALITY CONTROL**

A. See Section 014000 - Quality Requirements for additional requirements.

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#### SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

R25.17697.00 GENERAL PROVISIONS FOR ELECTRICAL WORK

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# SECTION 260010 GENERAL PROVISIONS FOR ELECTRICAL WORK

## PART 1 GENERAL

## **1.1 SCOPE OF WORK**

- A. The work included in this Contract is shown on the drawings and described in these specifications. It consists of furnishing all labor, material, services, supervision and connection of all systems shown and/or specified including the requirements of:
  - 1. DIVISION 00 BIDDING AND CONTRACT REQUIREMENTS
  - 2. DIVISION 1 GENERAL REQUIREMENT
  - 3. DIVISION 26 GENERAL REQUIREMENT
- B. Contractor is responsible to review and understand all drawings and all work of all trades to ensure a complete and thorough project.
- C. Provide all labor, tools, materials, equipment, coordination, and plans necessary for installation and proper operation of the electrical systems.
- D. Contract drawings and specifications are complementary and must be so used to ascertain all requirements of the work.

## **1.2 DEFINITIONS**

- A. Provide, furnish, install, and furnish and install shall have the same meaning. That is, the Contractor shall purchase, transport to the site and install all required components of the work unless specifically stated otherwise in the contract documents.
- B. Wiring pertains to raceway, fittings, conductors, terminations, hangers, supports, etc. as required to form a complete system.

## **1.3 DRAWINGS AND SPECIFICATIONS**

- A. The plans are diagrammatic and indicate only the sizes and general arrangement of conduit, devices, and equipment; exact locations of all elements shall be determined as work progresses, in cooperation with the work of other trades. It is not intended to show every item of work or minor piece of equipment, but every item shall be furnished and installed without additional remuneration as necessary to complete the system in accordance with the best practice of the trade.
- B. As previously stated, the exact locations of electrical devices and equipment are diagrammatic. The owner may request for any devices or equipment to be installed at different locations than what is indicated on the drawings in a specific area or room. It is the responsibility of the Electrical Contractor to coordinate the locations of devices in all areas prior to installation.

#### **1.4 PRODUCT EQUIVALENTS**

- A. Where, in these specifications or on drawings, certain kinds, types, brands, or manufacturers of materials are named, they shall be regarded as required standard of quality. Where two or more are named these are presumed to be equal, and Contractor may select one of those items.
- B. If Contractor desires to use any kind, type, brand, or manufacturer of material other than those named in specification, he may submit the request for approval to the Architect well in advance of the bid date.
- C. Requests for approval of proposed equivalents will be received by Architect only from the Contractor.

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- D. If the Architect approves a proposed equivalent prior to receipt of Bids, such approval will be set forth in an Addendum.
- E. After the bid opening the apparent low bidder or bidders will be notified by the Architect or Owner and shall submit to the Architect in writing, within ten (10) calendar days what equivalent kind, type, brand, or manufacture is included in bid in lieu of specified items. No equivalents will be considered after this submission.
- F. Contractor shall have burden of proving, at Contractor's own cost and expense, to satisfaction of Owner/Architect, that proposed product is similar and equal to named product. In making such determination Owner/Architect will be sole judge of objective and appearance criteria that proposed product must meet in order for it to be approved.
  - 1. Supporting data on equivalency is responsibility of bidder. For each equivalent to base specification, included in products list, submit information describing in specific detail:
    - a. Wherein it differs from quality and performance required by base specification.
    - b. Changes required in other elements of work because of equivalent.
    - c. Effect on construction schedule.
    - d. Any required license fees or royalties.
    - e. Availability of maintenance service, and source of replacement materials.
    - f. Such other information as may be required by Owner.
- G. Owner, through Architect, shall be judge of acceptability of proposed equivalents. Risk of whether bid equivalents will be accepted is borne by Contractor.
- H. Submission of an equivalent product and/or material constitutes a representation that Contractor:
  - 1. Has investigated proposed product and determined it is equal to or superior in all respects to that specified.
  - 2. Will provide same warranties or bonds for equivalent as for product specified.
  - 3. Will coordinate installation of an accepted equivalent into work and make such other changes as may be required to make work complete in all respects.
  - 4. Waives all claims for additional costs, under his responsibility, which may subsequently become apparent.
  - 5. Will provide, at own cost and expense, any different quantity and/or arrangement of ductwork, piping, wiring, conduit or any part of work from that specified, detailed or indicated in Contract Documents if required for proper installation of an approved equivalent.
  - 6. Will provide, at own cost and expense, all such revision and redesign and all new drawings and details required by Architect for approval if proposed equivalent product requires a revision or redesign of any part of work covered by this contract.
- I. Contractor must sign the "Equivalent Certification" following this specification section and deliver it to the Architect along with a complete list of proposed equivalents within ten (10) calendar days after notification from the Architect or Owner. This is mandatory and must be done prior to award of contracts.

## 1.5 APPLICABLE STANDARDS

- A. All equipment shall bear the UL label.
- B. The latest edition of the following minimum standards shall apply wherever applicable:
  - 1. American Standards Association
  - 2. American Society for Testing Materials

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- 3. Electrical Testing Laboratories, Inc.
- 4. Institute of Electrical and Electronic Engineers
- 5. Insulated Power Cable for Engineers Association
- 6. Occupational Safety and Health Act
- 7. National Electric Code
- 8. National Electrical Manufacturers Association
- 9. National Electrical Safety Code
- 10. National Fire Protection Association
- 11. Underwriters Laboratories, Inc.
- 12. Local and state codes.
- C. In the event there are conflicts between specifications and standards, standards shall govern unless specifications are in excess of standards.

# **1.6 PERMITS AND INSPECTIONS**

- A. Permits: The Contractor shall apply for and pay the cost for any local permits necessary for the work of this contract.
- B. Inspections: The Contractor shall be responsible for obtaining a 3rd party electrical inspection of and the certificate by the approved inspection agency for the entire electrical system.
- C. The undertaking of periodic inspections by the Owner or Engineer shall not be construed as supervision of actual construction. The Owner or Engineer is not responsible for providing a safe place of work for the Contractor, Contractor's employees, suppliers or subcontractors for access, visits, use, work, travel or occupancy by any person.

## 1.7 CODES AND REGULATIONS

- A. Comply with all applicable rules and regulations of the municipal laws and ordinances and latest revisions thereof. All work shall be done in full conformity with the requirements of all authorities having jurisdiction. Modifications required by the above authorities will be made without additional charges to the Owner. Where alterations to and/or deviations from the Contract Documents are required by the authorities, report the requirements to the Engineer and secure approval before work is started.
- B. Furnish and file with the proper authorities, all drawings required by them in connection with the work. Obtain all permits, licenses, and inspections and pay all legal and proper fees and charges in this connection.
- C. Should any work shown or specified be of lighter or smaller material than Code requires, same shall be executed in strict accordance with the regulations.
- D. Heavier or larger size material than Code requires shall be furnished and installed, if required by the Plans and Specifications.
- E. This Contractor shall have the electrical work inspected from time to time by authorized inspectors and shall pay all expense incurred by same. At the completion of the work, the Contractor shall furnish a Certificate of Approval, in triplicate, indicating full approval of the work furnished and installed in this Contract from the local authority having jurisdiction.
- F. Equipment and components parts thereof shall bear manufacturer's name-plate, giving manufacturer's name, size, type and model number or serial number, electrical characteristic to facilitate maintenance and replacements. Name plates of distributors or contractors are not acceptable.

- G. Engineer will have privilege of stopping any work or use of any material that in his opinion is not being properly installed and each Contractor shall remove all materials delivered, or work erected, which does not comply with Contract Drawings and Specifications, and replace with proper materials, or correct such work as directed by the Engineer, at no additional cost to Owner.
- H. If equipment or materials are installed before proper approvals have been obtained, each Contractor shall be liable for their removal and replacement including work of other trades affected by such work, at no additional cost to Owner, if such items do not meet intent of the Drawings and Specifications.

# 1.8 RECORD DRAWINGS

- A. The Electrical Contractor shall keep an accurate location record of all underground and concealed piping, and of all changes from the original design. He is required to furnish this information to the Engineer prior to his application for final payment.
  - 1. Submit prior to final acceptance inspection, one complete marked-up set of reproducible engineering design drawings.
    - a. Fully illustrate all revisions made by all crafts in course of work.
    - b. Include all field changes, adjustments, variances, substitutions and deletions, including all Change Orders.
    - c. Exact location of raceways, equipment and devices.
    - d. Exact size and location of underground and under floor raceways, grounding conductors and duct banks.
    - e. These drawings shall be for record purposes for Owner's use and are not considered shop drawings.
- B. At completion of the project, all changes and deviations from the Contract Documents shall be recorded by the Contractor.
- C. Four (4) corrected sets of all operating and maintenance instructions and complete parts lists bound in hard covers shall be furnished to the Owner.

## **1.9 SLEEVES**

- A. Sleeves: furnished, set in Electrical Work; built-in under General Construction Work.
- B. Sleeves shall be as follows:
  - 1. Sleeves in floors and partitions shall be galvanized steel with lock seam joints or a manufactured conduit floor seal.
  - 2. Sleeves of extra heavy cast iron pipe or galvanized steel pipe shall be used in outside walls, foundations, and footing or manufactured compression-type wall seal (waterproof).
  - 3. Conduit sleeves shall be two (2) sizes larger than the conduit passing through it.
  - 4. Terminate sleeves flush with walls, partitions, and ceilings. Sleeves in floor shall terminate 1/4" above floors.
  - 5. Fill space between sleeve and conduit in foundation walls with oakum and caulk with lead on both sides of wall. When using pipe sleeves, fill space between sleeve and pipe with fiberglass blanket insulation when sleeve does not occur in a foundation wall.
  - 6. An approved fire stop seal shall be used when conduits penetrate fire stopping walls and floors (between fire zone).
- C. Set sleeves, obtain review of their locations in ample time to permit pouring of concrete or progressing of other construction work as scheduled.

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#### 1.10 CLEANING CONDUIT, EQUIPMENT

A. Conduit, equipment: thoroughly cleaned of dirt, cuttings, other foreign substances. Should any conduit, other part of systems be stopped by any foreign matter, disconnect, clean wherever necessary for purpose of locating, removing obstructions. Repair work damaged in course of removing obstructions.

## 1.11 VIBRATION ISOLATION

- A. Vibration isolators shall prevent, as far as practicable, transmission of vibration, noise or hum to any part of building.
- B. Design isolators to suit vibration frequency to be absorbed; provide isolator units of area, distribution to obtain proper resiliency under machinery load, impact.
- C. Wiring and other electrical connections to equipment mounted on vibration isolators; made flexible with minimum 180 degree loop of "greenfield" in order to avoid restraining equipment and short circuiting vibration isolator.

#### **1.12 BALANCED LOAD**

- A. It is intended that design and features of the work as indicated will provide balanced load on the feeders and main service. Contractor shall provide material and installation to provide this balance load insofar as possible.
- B. Contractor shall take current and voltage measurements at all panels of at least 1/2 hour. Reconnections of loads shall be made when deemed necessary by the Engineers.

## **1.13 JOB CONDITIONS**

- A. Examine site related work and surfaces before starting work of any Section. Failure to do so shall in no way relieve the Contractor of the responsibility to properly install the new work.
  - 1. Report to the Engineer, in writing, conditions, which will prevent proper provision of this work ten (10) days prior to bid date, in time for an addendum to be issued .
  - 2. Beginning work of any Section without reporting unsuitable conditions to the Engineer constitutes acceptance of conditions by the Contractor.
  - 3. Perform any required removal, repair or replacement of this work caused by unsuitable conditions at no additional cost to Owner.
  - 4. The Contractor is responsible for performing routine maintenance and cleaning of any existing equipment where he is making connections to new work and to the building where his work adds debris.
- B. Connections to existing work:
  - 1. Install new work and connect to existing work with minimum interference to existing facilities.
  - 2. Provide temporary shutdowns of existing services only with written consent of Owner at no additional charges and at time not to interfere with normal operation of existing facilities.
  - 3. Maintain continuous operation of existing facilities as required with necessary temporary connections between new and existing work.
  - 4. Do not interrupt alarm and emergency systems.
  - 5. Connect new work to existing work in neat and acceptable manner.
  - 6. Restore existing disturbed work to original condition including maintenance of wiring and continuity as required. Replace damaged or rusted conduit to which new equipment is being installed and connected.

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- C. Removal and relocation of existing work.
  - 1. Disconnect, remove or relocate electrical material, equipment and other work noted and required by removal or changes in existing construction.
  - 2. Provide new material and equipment required for relocated equipment.
  - 3. Disconnect load and line end of conductors feeding existing equipment.
  - 4. Remove conductors from existing raceways to be rewired.
  - 5. Remove conductors and cap outlets on raceways to be abandoned.
  - 6. Cut and cap abandoned floor raceways flush with concrete floor or behind walls and ceilings.
  - 7. Dispose of removed raceways and wire.
  - 8. Dispose of removed electrical equipment as directed by Owner. The Owner shall provide a list of equipment of the Contractor of equipment to be delivered to the Owner.

#### 1.14 SPECIAL TOOLS AND LOOSE ITEMS

- A. Furnish to Owner at completion of work:
  - 1. One set of any special tools required to operate, adjust, dismantle or repair equipment furnished under any section of this Division.
  - 2. "Special Tools": Those not normally found in possession of mechanics or maintenance personnel.
  - 3. Keys
  - 4. Redundant components and spare parts.
- B. Deliver items to Owner and obtain receipt prior to approval of final payment.

#### **1.15 REVIEW OF CONSTRUCTION**

- A. Work may be reviewed at any time by representative of the Engineer.
- B. Advise Architect and Engineer that work is ready for review at following times:
  - 1. Prior to backfilling buried work.
  - 2. Prior to concealment of work in walls and above ceilings.
  - 3. When all requirements of contract have been completed.
- C. Neither backfill nor conceal work without Engineer's consent.

# 1.16 SHOP DRAWING SUBMITTALS

- A. Submit required shop drawings, samples and product information in accordance with Division 1, requirements and as required in the various sections of these specifications.
- B. Submittals shall show evidence of checking by the Contractor for accuracy. Product information (catalog sheets) shall indicate complete catalog number, color, accessories, etc., as well as, name of manufacturer and local distributor or manufacturer's representative.
- C. Submit for review detailed coordination drawings 3/8" or larger scale plans for all major electrical equipment and any areas of conflicts by drafting location of equipment, lighting fixtures, cable trays and conduits larger than 1-1/2" trade size. Contractor shall refer to Division 1 for preparing coordination drawings.
- D. Incomplete submittals will be rejected.
- E. Additionally, the Contractor will submit data on the following:
  - 1. All electrical equipment including all panelboards and switching devices (disconnects, switches, occupancy sensors, etc.).
  - 2. Fire stop seals used for wall penetrations.

- 3. Any proposed variation in specified wiring plans and circuitry.
- 4. All special items and panels, made or constructed specifically for this project, including wiring diagrams, component layout and component data or materials list.
- 5. All settings of installed equipment, such as overcurrent protection, overload settings, temperature settings, time settings, etc. This includes equipment provided by other contractors or subcontractors and connected and tested by this Contractor.
- F. All submittals of NON SPECIFIED equipment and components will be reviewed. It is the submitting Contractor's responsibility to prove compliance and not the Architect/Engineer to prove non-compliance. The submitting Contractor will be charged the prevailing wage of the reviewing Engineer for all submittals requiring over one (1) hour to review that were not originally specified.
- G. It is the Contractor's responsibility to provide submittals in an organized and timely manner so as not to delay the project schedule and hamper the work of other trades.

## **1.17 OPERATING INSTRUCTIONS**

A. It shall be the Contractor's responsibility to insure that the Owner's representative is given adequate instruction on the operation of all equipment prior to final payment.

## **1.18 TEMPORARY POWER**

A. The Contractor shall provide all temporary power to all trades throughout all phases of construction throughout the duration of this project. This will include but not be limited to temporary lighting, power outlets, temporary elevator operation, controls for temporary heating, and job trailers. Contractor shall be responsible for providing temporary power via adjacent building(s) and/or a temporary diesel fired generator and associated fuel costs. Contractor shall coordinate temporary power source with project manager prior to demolition. Contractor is responsible for all costs associated with temporary power.

## **PART 2 PRODUCTS**

## 2.1 MATERIALS

- A. All materials and equipment shall be new and as specified or of equal or better quality.
- B. Basic hardware and miscellaneous items shall meet existing trade standards of quality and shall carry UL or FM listings where applicable.
- C. All equipment supplied shall be the standard equipment of the manufacturer.
- D. Multiple items such as panelboards, wiring devices, switches, breakers, raceways, etc., shall be from the same manufacturer.
- E. Drawings and specifications are based on specific manufacturer's equipment. Therefore, the Contractor shall assume all responsibility, cost and coordination involved in making any necessary revisions to apply another manufacturer's equipment, even though it may be approved as an "equal" item by the Engineer.

## **PART 3 EXECUTION**

## 3.1 COORDINATION OF WORK

- A. All work shall be executed in accordance with recognized standards of workmanship. All work shall be installed in a neat and orderly manner.
- B. The Contractor shall exchange information with other Contractors and the Owner in order to insure orderly progress of the work.

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- C. The Contractor must contact the Owner's representative and schedule all work ten (10) days prior to start.
- D. The Contractor shall check for possible interference before installing any items. If any work is installed, and later develops interference with other features of the design, the Contractor will be responsible to make such changes to eliminate the interference.

## **3.2 CEILING REMOVAL**

- A. Existing ceilings which must be removed for the installation of new work or demolition of existing conditions shall be done by the Contractor. No ceiling shall be removed without prior approval of the Owner. Ceilings which must be removed shall be restored to their original condition as soon as practical and prior to final payment.
- B. The removed tile of lay-in type ceilings shall be stored either in the ceiling space or at a designated space in the building. No tiles shall be stored in the occupied space.
- C. The Contractor shall take all necessary precautions to prevent damage to the existing ceilings. All damaged ceilings shall be replaced with new ceiling construction to match the existing and to the Owner's satisfaction.

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## SECTION 260505 SELECTIVE DEMOLITION FOR ELECTRICAL

#### PART 1 GENERAL

# **1.1 SECTION INCLUDES**

A. Electrical demolition.

#### **1.2 RELATED REQUIREMENTS**

A. Section 017000 - Execution and Closeout Requirements: Additional requirements for alterations work.

## **PART 2 PRODUCTS**

#### 2.1 MATERIALS AND EQUIPMENT

A. Materials and equipment for patching and extending work: As specified in individual sections.

#### **PART 3 EXECUTION**

#### **3.1 EXAMINATION**

- A. Verify that abandoned wiring and equipment serve only abandoned facilities.
- B. Demolition drawings are based on casual field observation and existing record documents.
- C. Report discrepancies to Architect before disturbing existing installation.
- D. Beginning of demolition means installer accepts existing conditions.

## **3.2 PREPARATION**

- A. Disconnect electrical systems in walls, floors, and ceilings to be removed.
- B. Coordinate utility service outages with utility company.
- C. Provide temporary wiring and connections to maintain existing systems in service during construction. When work must be performed on energized equipment or circuits, use personnel experienced in such operations.
- D. Existing Electrical Service: Maintain existing system in service until new system is complete and ready for service. Disable system only to make switchovers and connections. Minimize outage duration.
  - 1. Obtain permission from Owner at least 48 hours before partially or completely disabling system.
  - 2. Make temporary connections to maintain service in areas adjacent to work area.
- E. Existing Fire Alarm System: Maintain existing system in service until new system is accepted. Disable system only to make switchovers and connections. Minimize outage duration.
  - 1. Notify Owner before partially or completely disabling system.
  - 2. Notify local fire service.
  - 3. Make notifications at least 48 hours in advance.
  - 4. Make temporary connections to maintain service in areas adjacent to work area.
- F. Existing Telephone System: Maintain existing system in service until new system is complete and ready for service. Disable system only to make switchovers and connections. Minimize outage duration.
  - 1. Notify Owner at least 48 hours before partially or completely disabling system.
  - 2. Make temporary connections to maintain service in areas adjacent to work area.

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#### 3.3 DEMOLITION AND EXTENSION OF EXISTING ELECTRICAL WORK

- A. Remove, relocate, and extend existing installations to accommodate new construction.
- B. Remove abandoned wiring to source of supply.
- C. Remove exposed abandoned conduit, including abandoned conduit above accessible ceiling finishes. Cut conduit flush with walls and floors, and patch surfaces.
- D. Disconnect abandoned outlets and remove devices. Remove abandoned outlets if conduit servicing them is abandoned and removed. Provide blank cover for abandoned outlets that are not removed.
- E. Disconnect and remove abandoned panelboards and distribution equipment.
- F. Disconnect and remove electrical devices and equipment serving utilization equipment that has been removed.
- G. Disconnect and remove abandoned luminaires. Remove brackets, stems, hangers, and other accessories.
- H. Repair adjacent construction and finishes damaged during demolition and extension work.
- I. Maintain access to existing electrical installations that remain active. Modify installation or provide access panel as appropriate.
- J. Extend existing installations using materials and methods compatible with existing electrical installations, or as specified.

#### 3.4 CLEANING AND REPAIR

- A. See Section 017419 Construction Waste Management and Disposal for additional requirements.
- B. Clean and repair existing materials and equipment that remain or that are to be reused.
- C. Panelboards: Clean exposed surfaces and check tightness of electrical connections. Replace damaged circuit breakers and provide closure plates for vacant positions. Provide typed circuit directory showing revised circuiting arrangement.
- D. Luminaires: Remove existing luminaires for cleaning. Use mild detergent to clean all exterior and interior surfaces; rinse with clean water and wipe dry. Replace lamps, ballasts and broken electrical parts.

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## LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

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## **SECTION 260519**

## LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

## PART 1 GENERAL

## 1.1 SECTION INCLUDES

- A. Single conductor building wire.
- B. Metal-clad cable.
- C. Wiring connectors.
- D. Electrical tape.
- E. Heat shrink tubing.
- F. Wire pulling lubricant.
- G. Cable ties.
- H. Firestop sleeves.

#### **1.2 RELATED REQUIREMENTS**

- A. Section 078400 PENETRATION FIRESTOPPING.
- B. Section 260526 GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS: Additional requirements for grounding conductors and grounding connectors.
- C. Section 260553 IDENTIFICATION FOR ELECTRICAL SYSTEMS: Identification products and requirements.

#### **1.3 REFERENCE STANDARDS**

- A. ASTM B3 Standard Specification for Soft or Annealed Copper Wire; 2013 (Reapproved 2018).
- B. ASTM B8 Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft; 2023.
- C. ASTM B33 Standard Specification for Tin-Coated Soft or Annealed Copper Wire for Electrical Purposes; 2010, with Editorial Revision (2020).
- D. ASTM B787/B787M Standard Specification for 19 Wire Combination Unilay-Stranded Copper Conductors for Subsequent Insulation; 2004 (Reapproved 2020).
- E. ASTM D3005 Standard Specification for Low-Temperature Resistant Vinyl Chloride Plastic Pressure-Sensitive Electrical Insulating Tape; 2017.
- F. ASTM D4388 Standard Specification for Nonmetallic Semi-Conducting and Electrically Insulating Rubber Tapes; 2020.
- G. NECA 1 Standard for Good Workmanship in Electrical Construction; 2023.
- H. NECA 120 Standard for Installing Armored Cable (AC) and Type Metal-Clad (MC) Cable; 2018.
- I. NEMA WC 70 Power Cables Rated 2000 Volts or Less for the Distribution of Electrical Energy; 2021.
- J. NETA ATS Standard for Acceptance Testing Specifications for Electrical Power Equipment And Systems; 2025.

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- K. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- L. UL 44 Thermoset-Insulated Wires and Cables; Current Edition, Including All Revisions.
- M. UL 83 Thermoplastic-Insulated Wires and Cables; Current Edition, Including All Revisions.
- N. UL 267 Outline of Investigation for Wire-Pulling Compounds; Current Edition, Including All Revisions.
- O. UL 486A-486B Wire Connectors; Current Edition, Including All Revisions.
- P. UL 486C Splicing Wire Connectors; Current Edition, Including All Revisions.
- Q. UL 486D Sealed Wire Connector Systems; Current Edition, Including All Revisions.
- R. UL 510 Polyvinyl Chloride, Polyethylene, and Rubber Insulating Tape; Current Edition, Including All Revisions.
- S. UL 1569 Metal-Clad Cables; Current Edition, Including All Revisions.

## **1.4 ADMINISTRATIVE REQUIREMENTS**

- A. Coordination:
  - 1. Coordinate sizes of raceways, boxes, and equipment enclosures installed under other sections with the actual conductors to be installed, including adjustments for conductor sizes increased for voltage drop.
  - 2. Coordinate with electrical equipment installed under other sections to provide terminations suitable for use with the conductors to be installed.
  - 3. Notify Architect of any conflicts with or deviations from Contract Documents. Obtain direction before proceeding with work.

## 1.5 SUBMITTALS

- A. See Section 013000 Administrative Requirements, for submittal procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for conductors and cables, including detailed information on materials, construction, ratings, listings, and available sizes, configurations, and stranding.
- C. Design Data: Indicate voltage drop and ampacity calculations for aluminum conductors substituted for copper conductors. Include proposed modifications to raceways, boxes, wiring gutters, enclosures, etc. to accommodate substituted conductors.
- D. Field Quality Control Test Reports.
- E. Project Record Documents: Record actual installed circuiting arrangements. Record actual routing for underground circuits.

## 1.6 QUALITY ASSURANCE

A. Comply with requirements of NFPA 70.

## 1.7 DELIVERY, STORAGE, AND HANDLING

A. Receive, inspect, handle, and store conductors and cables in accordance with manufacturer's instructions.

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#### **1.8 FIELD CONDITIONS**

A. Do not install or otherwise handle thermoplastic-insulated conductors at temperatures lower than 14 degrees F, unless otherwise permitted by manufacturer's instructions. When installation below this temperature is unavoidable, notify Architect and obtain direction before proceeding with work.

#### PART 2 PRODUCTS

#### 2.1 CONDUCTOR AND CABLE APPLICATIONS

- A. Do not use conductors and cables for applications other than as permitted by NFPA 70 and product listing.
- B. Provide single conductor building wire installed in suitable raceway unless otherwise indicated, permitted, or required.
- C. Metal-clad cable is permitted only as follows:
  - 1. Where not otherwise restricted, may be used:
    - a. Where concealed above accessible ceilings for final connections from junction boxes to luminaires.
    - b. Where concealed in hollow stud walls and above accessible ceilings for branch circuits up to 20 A.
  - 2. In addition to other applicable restrictions, may not be used:
    - a. Where exposed to damage.
    - b. For damp, wet, or corrosive locations, unless provided with a PVC jacket listed as suitable for those locations.

#### 2.2 CONDUCTOR AND CABLE GENERAL REQUIREMENTS

- A. Provide products that comply with requirements of NFPA 70.
- B. Provide products listed, classified, and labeled as suitable for the purpose intended.
- C. Unless specifically indicated to be excluded, provide all required conduit, boxes, wiring, connectors, etc. as required for a complete operating system.
- D. Comply with NEMA WC 70.
- E. Thermoplastic-Insulated Conductors and Cables: Listed and labeled as complying with UL 83.
- F. Thermoset-Insulated Conductors and Cables: Listed and labeled as complying with UL 44.
- G. Conductor Material:
  - 1. Provide copper conductors only. Aluminum conductors are not acceptable for this project. Conductor sizes indicated are based on copper.
  - 2. Copper Conductors: Soft drawn annealed, 98 percent conductivity, uncoated copper conductors complying with ASTM B3, ASTM B8, or ASTM B787/B787M unless otherwise indicated.
  - 3. Tinned Copper Conductors: Comply with ASTM B33.
- H. Minimum Conductor Size:
  - 1. Branch Circuits: 12 AWG.
    - a. Exceptions:
      - 1) 20 A, 120 V circuits longer than 100 feet: 10 AWG, for voltage drop.
      - 2) 20 A, 120 V circuits longer than 150 feet: 8 AWG, for voltage drop.

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- I. Where conductor size is not indicated, size to comply with NFPA 70 but not less than applicable minimum size requirements specified.
- J. Conductor Color Coding:
  - 1. Color code conductors as indicated unless otherwise required by the authority having jurisdiction. Maintain consistent color coding throughout project.
  - 2. Color Coding Method: Integrally colored insulation.
    - a. Conductors size 4 AWG and larger may have black insulation color coded using vinyl color coding electrical tape.
  - 3. Color Code:
    - a. 208Y/120 V, 3 Phase, 4 Wire System:
      - 1) Phase A: Black.
      - 2) Phase B: Red.
      - 3) Phase C: Blue.
      - 4) Neutral/Grounded: White.
    - b. Equipment Ground, All Systems: Green.

## 2.3 SINGLE CONDUCTOR BUILDING WIRE

- A. Manufacturers:
  - 1. Copper Building Wire:
    - a. Cerro Wire LLC: www.cerrowire.com/#sle.
    - b. Encore Wire Corporation: www.encorewire.com/#sle.
    - c. General Cable Technologies Corporation: www.generalcable.com/#sle.
    - d. Service Wire Co: www.servicewire.com/#sle.
    - e. Southwire Company: www.southwire.com/#sle.
    - f. Substitutions: See Section 016000 Product Requirements.
- B. Description: Single conductor insulated wire.
- C. Conductor Stranding:
  - 1. Feeders and Branch Circuits:
    - a. Size 10 AWG and Smaller: Solid.
    - b. Size 8 AWG and Larger: Stranded.
- D. Insulation Voltage Rating: 600 V.
- E. Insulation:
  - 1. Copper Building Wire: Type THHN/THWN or THHN/THWN-2.

## 2.4 METAL-CLAD CABLE

- A. Manufacturers:
  - 1. AFC Cable Systems Inc: www.afcweb.com/#sle.
  - 2. Encore Wire Corporation: www.encorewire.com/#sle.
  - 3. Service Wire Co: www.servicewire.com/#sle.
  - 4. Southwire Company: www.southwire.com/#sle.
  - 5. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type MC cable listed and labeled as complying with UL 1569, and listed for use in classified firestop systems to be used.
- C. Conductor Stranding:

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- 1. Size 10 AWG and Smaller: Solid.
- 2. Size 8 AWG and Larger: Stranded.
- D. Insulation Voltage Rating: 600 V.
- E. Insulation: Type THHN, THHN/THWN, or THHN/THWN-2.
- F. Provide dedicated neutral conductor for each phase conductor where indicated or required.
- G. Grounding: Full-size integral equipment grounding conductor.
- H. Armor: Steel, interlocked tape.
- I. Provide PVC jacket applied over cable armor where indicated or required for environment of installed location.

## 2.5 WIRING CONNECTORS

- A. Description: Wiring connectors appropriate for the application, suitable for use with the conductors to be connected, and listed as complying with UL 486A-486B or UL 486C as applicable.
- B. Connectors for Grounding and Bonding: Comply with Section 260526.
- C. Wiring Connectors for Splices and Taps:
  - 1. Copper Conductors Size 8 AWG and Smaller: Use twist-on insulated spring connectors.
  - 2. Copper Conductors Size 6 AWG and Larger: Use mechanical connectors or compression connectors.
- D. Wiring Connectors for Terminations:
  - 1. Provide terminal lugs for connecting conductors to equipment furnished with terminations designed for terminal lugs.
  - 2. Provide compression adapters for connecting conductors to equipment furnished with mechanical lugs when only compression connectors are specified.
  - 3. Where over-sized conductors are larger than the equipment terminations can accommodate, provide connectors suitable for reducing to appropriate size, but not less than required for the rating of the overcurrent protective device.
  - 4. Provide motor pigtail connectors for connecting motor leads in order to facilitate disconnection.
  - 5. Copper Conductors Size 8 AWG and Larger: Use mechanical connectors or compression connectors where connectors are required.
  - 6. Conductors for Control Circuits: Use crimped terminals for all connections.
- E. Do not use insulation-piercing or insulation-displacement connectors designed for use with conductors without stripping insulation.
- F. Twist-on Insulated Spring Connectors: Rated 600 V, 221 degrees F for standard applications and 302 degrees F for high temperature applications; pre-filled with sealant and listed as complying with UL 486D for damp and wet locations.
  - 1. Manufacturers:
    - a. 3M: www.3m.com/#sle.
    - b. Ideal Industries, Inc: www.idealindustries.com/#sle.
    - c. NSI Industries LLC: www.nsiindustries.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.

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- G. Push-in Wire Connectors: Rated 600 V, 221 degrees F.
  - 1. Manufacturers:
    - a. Ideal Industries, Inc: www.idealindustries.com/#sle.
    - b. NSI Industries LLC: www.nsiindustries.com/#sle.
    - c. Wago Corporation: www.wago.us/#sle.
- H. Mechanical Connectors: Provide bolted type.
  - 1. Manufacturers:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. Ilsco: www.ilsco.com/#sle.
    - c. Thomas & Betts Corporation: www.tnb.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.
- I. Compression Connectors: Provide circumferential type or hex type crimp configuration.
  - 1. Manufacturers:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. Ilsco: www.ilsco.com/#sle.
    - c. Thomas & Betts Corporation: www.tnb.com/#sle.
- J. Crimped Terminals: Nylon-insulated, with insulation grip and terminal configuration suitable for connection to be made.
  - 1. Manufacturers:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. Ilsco: www.ilsco.com/#sle.
    - c. Thomas & Betts Corporation: www.tnb.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.

#### 2.6 ACCESSORIES

- A. Electrical Tape:
  - 1. Manufacturers:
    - a. 3M: www.3m.com/#sle.
    - b. Plymouth Rubber Europa: www.plymouthrubber.com/#sle.
    - c. Substitutions: See Section 016000 Product Requirements.
    - 2. Vinyl Color Coding Electrical Tape: Integrally colored to match color code indicated; listed as complying with UL 510; minimum thickness of 7 mil; resistant to abrasion, corrosion, and sunlight; suitable for continuous temperature environment up to 221 degrees F.
    - 3. Vinyl Insulating Electrical Tape: Complying with ASTM D3005 and listed as complying with UL 510; minimum thickness of 7 mil; resistant to abrasion, corrosion, and sunlight; conformable for application down to 0 degrees F and suitable for continuous temperature environment up to 221 degrees F.
    - 4. Rubber Splicing Electrical Tape: Ethylene Propylene Rubber (EPR) tape, complying with ASTM D4388; minimum thickness of 30 mil; suitable for continuous temperature environment up to 194 degrees F and short-term 266 degrees F overload service.
    - 5. Electrical Filler Tape: Rubber-based insulating moldable putty, minimum thickness of 125 mil; suitable for continuous temperature environment up to 176 degrees F.
    - 6. Moisture Sealing Electrical Tape: Insulating mastic compound laminated to flexible, allweather vinyl backing; minimum thickness of 90 mil.

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- B. Heat Shrink Tubing: Heavy-wall, split-resistant, with factory-applied adhesive; rated 600 V; suitable for direct burial applications; listed as complying with UL 486D.
- C. Wire Pulling Lubricant:
  - 1. Manufacturers:
    - a. 3M: www.3m.com/#sle.
    - b. American Polywater Corporation: www.polywater.com/#sle.
    - c. Ideal Industries, Inc: www.idealindustries.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.
  - 2. Listed and labeled as complying with UL 267.
  - 3. Suitable for use with conductors/cables and associated insulation/jackets to be installed.
  - 4. Suitable for use at installation temperature.
  - 5. Products:
    - a. American Polywater Corporation; Polywater J Cable Pulling Lubricant: www.polywater.com/#sle.
    - b. American Polywater Corporation; Polywater LZ Cable Pulling Lubricant: www.polywater.com/#sle.
- D. Cable Ties: Material and tensile strength rating suitable for application.
  - 1. Manufacturers:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. Substitutions: See Section 016000 Product Requirements.
- E. Sealing Systems for Roof Penetrations: Premanufactured components and accessories as required to preserve integrity of roofing system and maintain roof warranty; suitable for cables and roofing system to be installed; designed to accommodate existing penetrations where applicable.
  - 1. Products:
    - a. Menzies Metal Products; Electrical Roof Stack and Cap: www.menziesmetal.com/#sle.
    - b. Menzies Metal Products; Electrical Retro Box: www.menzies-metal.com/#sle.
    - c. Substitutions: See Section 016000 Product Requirements.
- F. Firestop Sleeves: Listed; provide as required to preserve fire resistance rating of building elements.
  - 1. Products:
    - a. HoldRite, a brand of Reliance Worldwide Corporation; HydroFlame Pro Series/HydroFlame Custom Built: www.holdrite.com/#sle.
    - b. Substitutions: See Section 016000 Product Requirements.

## PART 3 EXECUTION

## 3.1 EXAMINATION

- A. Verify that interior of building has been protected from weather.
- B. Verify that work likely to damage wire and cable has been completed.
- C. Verify that raceways, boxes, and equipment enclosures are installed and are properly sized to accommodate conductors and cables in accordance with NFPA 70.
- D. Verify that field measurements are as indicated.

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E. Verify that conditions are satisfactory for installation prior to starting work.

## **3.2 PREPARATION**

A. Clean raceways thoroughly to remove foreign materials before installing conductors and cables.

## **3.3 INSTALLATION**

- A. Circuiting Requirements:
  - 1. Unless dimensioned, circuit routing indicated is diagrammatic.
  - 2. When circuit destination is indicated without specific routing, determine exact routing required.
  - 3. Arrange circuiting to minimize splices.
  - 4. Include circuit lengths required to install connected devices within 10 ft of location indicated.
  - 5. Circuiting Adjustments: Unless otherwise indicated, when branch circuits are indicated as separate, combining them together in a single raceway is not permitted.
  - 6. Common Neutrals: Unless otherwise indicated, sharing of neutral/grounded conductors among up to three single phase branch circuits of different phases installed in the same raceway is not permitted. Provide dedicated neutral/grounded conductor for each individual branch circuit.
- B. Install products in accordance with manufacturer's instructions.
- C. Perform work in accordance with NECA 1 (general workmanship).
- D. Install metal-clad cable (Type MC) in accordance with NECA 120.
- E. Installation in Raceway:
  - 1. Tape ends of conductors and cables to prevent infiltration of moisture and other contaminants.
  - 2. Pull all conductors and cables together into raceway at same time.
  - 3. Do not damage conductors and cables or exceed manufacturer's recommended maximum pulling tension and sidewall pressure.
  - 4. Use suitable wire pulling lubricant where necessary, except when lubricant is not recommended by the manufacturer.
- F. Paralleled Conductors: Install conductors of the same length and terminate in the same manner.
- G. Secure and support conductors and cables in accordance with NFPA 70 using suitable supports and methods approved by the authority having jurisdiction. Provide independent support from building structure. Do not provide support from raceways, piping, ductwork, or other systems.
  - 1. Installation Above Suspended Ceilings: Do not provide support from ceiling support system. Do not provide support from ceiling grid or allow conductors and cables to lay on ceiling tiles.
- H. Terminate cables using suitable fittings.
  - 1. Metal-Clad Cable (Type MC):
    - a. Use listed fittings.
    - b. Cut cable armor only using specialized tools to prevent damaging conductors or insulation. Do not use hacksaw or wire cutters to cut armor.

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- I. Install conductors with a minimum of 12 inches of slack at each outlet.
- J. Neatly train and bundle conductors inside boxes, wireways, panelboards and other equipment enclosures.
- K. Group or otherwise identify neutral/grounded conductors with associated ungrounded conductors inside enclosures in accordance with NFPA 70.
- L. Make wiring connections using specified wiring connectors.
  - 1. Make splices and taps only in accessible boxes. Do not pull splices into raceways or make splices in conduit bodies or wiring gutters.
  - 2. Remove appropriate amount of conductor insulation for making connections without cutting, nicking or damaging conductors.
  - 3. Do not remove conductor strands to facilitate insertion into connector.
  - 4. Clean contact surfaces on conductors and connectors to suitable remove corrosion, oxides, and other contaminates. Do not use wire brush on plated connector surfaces.
  - 5. Mechanical Connectors: Secure connections according to manufacturer's recommended torque settings.
  - 6. Compression Connectors: Secure connections using manufacturer's recommended tools and dies.
- M. Insulate splices and taps that are made with uninsulated connectors using methods suitable for the application, with insulation and mechanical strength at least equivalent to unspliced conductors.
  - 1. Dry Locations: Use insulating covers specifically designed for the connectors, electrical tape, or heat shrink tubing.
    - a. For taped connections, first apply adequate amount of rubber splicing electrical tape or electrical filler tape, followed by outer covering of vinyl insulating electrical tape.
  - 2. Damp Locations: Use insulating covers specifically designed for the connectors, electrical tape, or heat shrink tubing.
    - a. For connections with insulating covers, apply outer covering of moisture sealing electrical tape.
    - b. For taped connections, follow same procedure as for dry locations but apply outer covering of moisture sealing electrical tape.
- N. Insulate ends of spare conductors using vinyl insulating electrical tape.
- O. Field-Applied Color Coding: Where vinyl color coding electrical tape is used in lieu of integrally colored insulation as permitted in Part 2 under "Color Coding", apply half overlapping turns of tape at each termination and at each location conductors are accessible.
- P. Identify conductors and cables in accordance with Section 260553.
- Q. Install firestopping to preserve fire resistance rating of partitions and other elements, using materials and methods specified in Section 078400.
- R. Unless specifically indicated to be excluded, provide final connections to all equipment and devices, including those furnished by others, as required for a complete operating system.

## **3.4 FIELD QUALITY CONTROL**

- A. See Section 014000 Quality Requirements, for additional requirements.
- B. Inspect and test in accordance with NETA ATS, except Section 4.

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# LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

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- C. Perform inspections and tests listed in NETA ATS, Section 7.3.2. The insulation resistance test is required for all conductors. The resistance test for parallel conductors listed as optional is not required.
- D. Correct deficiencies and replace damaged or defective conductors and cables.

## END OF SECTION 260519

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#### GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

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## SECTION 260526

## **GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS**

## PART 1 GENERAL

## 1.1 SECTION INCLUDES

- A. Grounding and bonding requirements.
- B. Conductors for grounding and bonding.
- C. Connectors for grounding and bonding.

## **1.2 RELATED REQUIREMENTS**

- A. Section 260519 LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES: Additional requirements for conductors for grounding and bonding, including conductor color coding.
- B. Section 260553 IDENTIFICATION FOR ELECTRICAL SYSTEMS: Identification products and requirements.
- C. Section 265600 Exterior Lighting: Additional grounding and bonding requirements for polemounted luminaires.

## **1.3 REFERENCE STANDARDS**

- A. IEEE 81 IEEE Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Grounding System; 2012.
- B. NECA 1 Standard for Good Workmanship in Electrical Construction; 2023.
- C. NETA ATS Standard for Acceptance Testing Specifications for Electrical Power Equipment And Systems; 2025.
- D. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- E. UL 467 Grounding and Bonding Equipment; Current Edition, Including All Revisions.

## **1.4 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittals procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for grounding and bonding system components.

## 1.5 QUALITY ASSURANCE

A. Comply with requirements of NFPA 70.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

A. Receive, inspect, handle, and store products in accordance with manufacturer's instructions.

## PART 2 PRODUCTS

## 2.1 GROUNDING AND BONDING REQUIREMENTS

- A. Existing Work: Where existing grounding and bonding system components are indicated to be reused, they may be reused only where they are free from corrosion, integrity and continuity are verified, and where acceptable to the authority having jurisdiction.
- B. Do not use products for applications other than as permitted by NFPA 70 and product listing.

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#### GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

- C. Unless specifically indicated to be excluded, provide all required components, conductors, connectors, conduit, boxes, fittings, supports, accessories, etc. as necessary for a complete grounding and bonding system.
- D. Where conductor size is not indicated, size to comply with NFPA 70 but not less than applicable minimum size requirements specified.
- E. Grounding System Resistance:
  - 1. Achieve specified grounding system resistance under normally dry conditions unless otherwise approved by Architect. Precipitation within the previous 48 hours does not constitute normally dry conditions.
  - 2. Grounding Electrode System: Not greater than 5 ohms to ground, when tested according to IEEE 81 using "fall-of-potential" method.
  - 3. Between Grounding Electrode System and Major Electrical Equipment Frames, System Neutral, and Derived Neutral Points: Not greater than 0.5 ohms, when tested using "point-to-point" methods.
- F. Grounding Electrode System:
  - 1. Provide connection to required and supplemental grounding electrodes indicated to form grounding electrode system.
    - a. Provide continuous grounding electrode conductors without splice or joint.
    - b. Install grounding electrode conductors in raceway where exposed to physical damage. Bond grounding electrode conductor to metallic raceways at each end with bonding jumper.
  - 2. Provide additional ground electrode(s) as required to achieve specified grounding electrode system resistance.
- G. Bonding and Equipment Grounding:
  - 1. Provide bonding for equipment grounding conductors, equipment ground busses, metallic equipment enclosures, metallic raceways and boxes, device grounding terminals, and other normally non-current-carrying conductive materials enclosing electrical conductors/equipment or likely to become energized as indicated and in accordance with NFPA 70.
  - 2. Provide insulated equipment grounding conductor in each feeder and branch circuit raceway. Do not use raceways as sole equipment grounding conductor.
  - 3. Where circuit conductor sizes are increased for voltage drop, increase size of equipment grounding conductor proportionally in accordance with NFPA 70.
  - 4. Unless otherwise indicated, connect wiring device grounding terminal to branch circuit equipment grounding conductor and to outlet box with bonding jumper.
  - 5. Terminate branch circuit equipment grounding conductors on solidly bonded equipment ground bus only. Do not terminate on neutral (grounded) or isolated/insulated ground bus.
  - 6. Provide bonding jumper across expansion or expansion/deflection fittings provided to accommodate conduit movement.
  - 7. Provide bonding for interior metal piping systems in accordance with NFPA 70. This includes, but is not limited to:
    - a. Metal water piping where not already effectively bonded to metal underground water pipe used as grounding electrode.

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#### GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

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#### 2.2 GROUNDING AND BONDING COMPONENTS

- A. General Requirements:
  - 1. Provide products listed, classified, and labeled as suitable for the purpose intended.
  - 2. Provide products listed and labeled as complying with UL 467 where applicable.
- B. Conductors for Grounding and Bonding, in Addition to Requirements of Section 260526:
  - 1. Use insulated copper conductors unless otherwise indicated.
    - a. Exceptions:
      - 1) Use bare copper conductors where installed underground in direct contact with earth.
      - 2) Use bare copper conductors where directly encased in concrete (not in raceway).
- C. Connectors for Grounding and Bonding:
  - 1. Description: Connectors appropriate for the application and suitable for the conductors and items to be connected; listed and labeled as complying with UL 467.
  - 2. Unless otherwise indicated, use exothermic welded connections for underground, concealed and other inaccessible connections.
  - 3. Unless otherwise indicated, use mechanical connectors, compression connectors, or exothermic welded connections for accessible connections.
  - 4. Manufacturers Mechanical and Compression Connectors:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. Harger Lightning & Grounding: www.harger.com/#sle.
    - c. nVent ERICO: www.nvent.com/#sle.
    - d. Thomas & Betts Corporation: www.tnb.com/#sle.
  - 5. Manufacturers Exothermic Welded Connections:
    - a. Burndy LLC: www.burndy.com/#sle.
    - b. nVent ERICO; Cadweld: www.nvent.com/#sle.
    - c. thermOweld, subsidiary of Continental Industries; division of Burndy LLC: www.thermoweld.com/#sle.

## PART 3 EXECUTION

## **3.1 EXAMINATION**

- A. Verify that work likely to damage grounding and bonding system components has been completed.
- B. Verify that field measurements are as indicated.
- C. Verify that conditions are satisfactory for installation prior to starting work.

## **3.2 INSTALLATION**

- A. Install products in accordance with manufacturer's instructions.
- B. Perform work in accordance with NECA 1 (general workmanship).
- C. Make grounding and bonding connections using specified connectors.
  - 1. Remove appropriate amount of conductor insulation for making connections without cutting, nicking or damaging conductors. Do not remove conductor strands to facilitate insertion into connector.
  - 2. Remove nonconductive paint, enamel, or similar coating at threads, contact points, and contact surfaces.

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- 3. Exothermic Welds: Make connections using molds and weld material suitable for the items to be connected in accordance with manufacturer's recommendations.
- 4. Mechanical Connectors: Secure connections according to manufacturer's recommended torque settings.
- 5. Compression Connectors: Secure connections using manufacturer's recommended tools and dies.
- D. Identify grounding and bonding system components in accordance with Section 260553.

#### 3.3 FIELD QUALITY CONTROL

- A. See Section 014000 Quality Requirements, for additional requirements.
- B. Inspect and test in accordance with NETA ATS except Section 4.
- C. Perform inspections and tests listed in NETA ATS, Section 7.13.
- D. Perform ground electrode resistance tests under normally dry conditions. Precipitation within the previous 48 hours does not constitute normally dry conditions.
- E. Investigate and correct deficiencies where measured ground resistances do not comply with specified requirements.

## END OF SECTION 260526

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## HANGERS AND SUPPORTS FOR ELECTRCAL

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## SECTION 260529 HANGERS AND SUPPORTS FOR ELECTRCAL SYSTEMS

## PART 1 GENERAL

## **1.1 SECTION INCLUDES**

A. Support and attachment requirements and components for equipment, conduit, cable, boxes, and other electrical work.

## **1.2 RELATED REQUIREMENTS**

- A. Section 033000 CAST-IN-PLACE CONCRETE: Concrete equipment pads.
- B. Section 260533.13 CONDUIT FOR ELECTRICAL SYSTEMS: Additional support and attachment requirements for conduits.
- C. Section 260533.16 BOXES FOR ELECTRICAL SYSTEMS: Additional support and attachment requirements for boxes.
- D. Section 262513 Low-Voltage Busways: Additional support and attachment requirements for busway.
- E. Section 265100 Interior Lighting: Additional support and attachment requirements for interior luminaires.
- F. Section 265600 Exterior Lighting: Additional support and attachment requirements for exterior luminaires.

## **1.3 REFERENCE STANDARDS**

- A. ASTM A123/A123M Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products; 2017.
- B. ASTM A153/A153M Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware; 2023.
- C. ASTM B633 Standard Specification for Electrodeposited Coatings of Zinc on Iron and Steel; 2019.
- D. MFMA-4 Metal Framing Standards Publication; 2004.
- E. NECA 1 Standard for Good Workmanship in Electrical Construction; 2023.
- F. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.

## **1.4 ADMINISTRATIVE REQUIREMENTS**

- A. Coordination:
  - 1. Coordinate sizes and arrangement of supports and bases with actual equipment and components to be installed.
  - 2. Coordinate work to provide additional framing and materials required for installation.
  - 3. Coordinate compatibility of support and attachment components with mounting surfaces at installed locations.
  - 4. Coordinate arrangement of supports with ductwork, piping, equipment and other potential conflicts.
  - 5. Notify Architect of conflicts with or deviations from Contract Documents. Obtain direction before proceeding with work.

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#### HANGERS AND SUPPORTS FOR ELECTRCAL SYSTEMS

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- B. Sequencing:
  - 1. Do not install products on or provide attachment to concrete surfaces until concrete has cured; see Section 033000.

## **1.5 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittal procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for channel/strut framing systems, nonpenetrating rooftop supports, and post-installed concrete/masonry anchors.
- C. Shop Drawings: Include details for fabricated hangers and supports where materials or methods other than those indicated are proposed for substitution.

#### **1.6 QUALITY ASSURANCE**

## 1.7 DELIVERY, STORAGE, AND HANDLING

A. Receive, inspect, handle, and store products in accordance with manufacturer's instructions.

## **PART 2 PRODUCTS**

## 2.1 SUPPORT AND ATTACHMENT COMPONENTS

- A. General Requirements:
  - 1. Comply with the following. Where requirements differ, comply with most stringent.
    - a. NFPA 70.
    - b. Requirements of authorities having jurisdiction.
  - 2. Provide required hangers, supports, anchors, fasteners, fittings, accessories, and hardware as necessary for complete installation of electrical work.
  - 3. Provide products listed, classified, and labeled as suitable for purpose intended, where applicable.
  - 4. Where support and attachment component types and sizes are not indicated, select in accordance with manufacturer's application criteria as required for load to be supported with minimum safety factor of \_\_\_\_\_. Include consideration for vibration, equipment operation, and shock loads where applicable.
  - 5. Do not use products for applications other than as permitted by NFPA 70 and product listing.
  - 6. Do not use wire, chain, perforated pipe strap, or wood for permanent supports unless specifically indicated or permitted.
  - 7. Steel Components: Use corrosion-resistant materials suitable for environment where installed.
    - a. Indoor Dry Locations: Use zinc-plated steel or approved equivalent unless otherwise indicated.
    - b. Outdoor and Damp or Wet Indoor Locations: Use galvanized steel, stainless steel, or approved equivalent unless otherwise indicated.
    - c. Zinc-Plated Steel: Electroplated in accordance with ASTM B633.
    - d. Galvanized Steel: Hot-dip galvanized after fabrication in accordance with ASTM A123/A123M or ASTM A153/A153M.
- B. Conduit and Cable Supports: Straps and clamps suitable for conduit or cable to be supported.
  1. Manufacturers:

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## HANGERS AND SUPPORTS FOR ELECTRCAL

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- a. ABB: www.electrification.us.abb.com/#sle.
- b. Eaton Corporation: www.eaton.com/#sle.
- c. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.
- d. HoldRite, a brand of Reliance Worldwide Corporation: www.holdrite.com/#sle.
- e. nVent; Caddy: www.nvent.com/#sle.
- f. Substitutions: See Section 016000 Product Requirements.
- 2. Conduit Straps: One-hole or two-hole type; steel or malleable iron.
- 3. Conduit Clamps: Bolted type unless otherwise indicated.
- 4. Products:
  - a. Gripple, Inc; Universal Bracket: www.gripple.com/#sle.
  - b. Gripple, Inc; Fast Trak: www.gripple.com/#sle.
  - c. Gripple, Inc; Universal Clamp (Threaded): www.gripple.com/#sle.
  - d. Gripple, Inc; Low Profile Bracket Kits: www.gripple.com/#sle.
  - e. Substitutions: See Section 016000 Product Requirements.
- C. Outlet Box Supports: Hangers and brackets suitable for boxes to be supported.
  - 1. Manufacturers:
    - a. ABB: www.electrification.us.abb.com/#sle.
    - b. Eaton Corporation: www.eaton.com/#sle.
    - c. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.
    - d. HoldRite, a brand of Reliance Worldwide Corporation: www.holdrite.com/#sle.
    - e. nVent; Caddy: www.nvent.com/#sle.
    - f. Substitutions: See Section 016000 Product Requirements.
- D. Metal Channel/Strut Framing Systems:
  - 1. Manufacturers:
    - a. ABB: www.electrification.us.abb.com/#sle.
    - b. Atkore International Inc; Unistrut: www.unistrut.us/#sle.
    - c. Custom Strut and Roll Forming, LLC: www.customstrut.com/#sle.
    - d. Eaton Corporation: www.eaton.com/#sle.
    - e. Elgen Manufacturing Company, Inc: www.elgenmfg.com/#sle.
    - f. Substitutions: See Section 016000 Product Requirements.
    - g. Source Limitations: Furnish channel/strut and associated fittings, accessories, and hardware produced by single manufacturer.
  - 2. Description: Factory-fabricated, continuous-slot, metal channel/strut and associated fittings, accessories, and hardware required for field assembly of supports.
  - 3. Comply with MFMA-4.
- E. Hanger Rods: Threaded, zinc-plated steel unless otherwise indicated.
  - 1. Minimum Size, Unless Otherwise Indicated or Required:
    - a. Equipment Supports: 1/2-inch diameter.
    - b. Busway Supports: 1/2-inch diameter.
    - c. Single Conduit up to 1-inch (27 mm) Trade Size: 1/4-inch diameter.
    - d. Single Conduit Larger than 1-inch (27 mm) Trade Size: 3/8-inch diameter.
    - e. Trapeze Support for Multiple Conduits: 3/8-inch diameter.
    - f. Outlet Boxes: 1/4-inch diameter.
    - g. Luminaires: 1/4-inch diameter.

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# HANGERS AND SUPPORTS FOR ELECTRCAL

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- F. Anchors and Fasteners:
  - 1. Manufacturers Mechanical Anchors:
    - a. Dewalt: anchors.dewalt.com/#sle.
    - b. Hilti, Inc: www.hilti.com/#sle.
    - c. ITW Red Head, a division of Illinois Tool Works, Inc: www.itwredhead.com/#sle.
    - d. Simpson Strong-Tie Company Inc: www.strongtie.com/#sle.
    - e. Substitutions: See Section 016000 Product Requirements.
  - 2. Manufacturers Powder-Actuated Fastening Systems:
    - a. Dewalt: anchors.dewalt.com/#sle.
    - b. Hilti, Inc: www.hilti.com/#sle.
    - c. ITW Ramset, a division of Illinois Tool Works, Inc: www.ramset.com/#sle.
    - d. Simpson Strong-Tie Company Inc: www.strongtie.com/#sle.
    - e. Substitutions: See Section 016000 Product Requirements.
  - 3. Unless otherwise indicated and where not otherwise restricted, use anchor and fastener types indicated for specified applications.
  - 4. Concrete: Use preset concrete inserts, expansion anchors, or screw anchors.
  - 5. Solid or Grout-Filled Masonry: Use expansion anchors or screw anchors.
  - 6. Hollow Masonry: Use toggle bolts.
  - 7. Hollow Stud Walls: Use toggle bolts.
  - 8. Steel: Use beam clamps, machine bolts, or welded threaded studs.
  - 9. Sheet Metal: Use sheet metal screws.
  - 10. Preset Concrete Inserts: Continuous metal channel/strut and spot inserts specifically designed to be cast in concrete ceilings, walls, and floors.
    - a. Manufacturer: Same as manufacturer of metal channel/strut framing system.
    - b. Comply with MFMA-4.
    - c. Channel Material: Use galvanized steel.

## PART 3 EXECUTION

## 3.1 EXAMINATION

- A. Verify that field measurements are as indicated.
- B. Verify that mounting surfaces are ready to receive support and attachment components.
- C. Verify that conditions are satisfactory for installation prior to starting work.

## 3.2 INSTALLATION

- A. Install products in accordance with manufacturer's instructions.
- B. Install hangers and supports in accordance with NECA 1.
- C. Provide independent support from building structure. Do not provide support from piping, ductwork, or other systems.
- D. Unless specifically indicated or approved by Architect, do not provide support from suspended ceiling support system or ceiling grid.
- E. Unless specifically indicated or approved by Architect, do not provide support from roof deck.
- F. Do not penetrate or otherwise notch or cut structural members without approval of Structural Engineer.

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## HANGERS AND SUPPORTS FOR ELECTRCAL SYSTEMS

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- G. Equipment Support and Attachment:
  - 1. Use metal, fabricated supports or supports assembled from metal channel/strut to support equipment as required.
  - 2. Use metal channel/strut secured to studs to support equipment surface mounted on hollow stud walls when wall strength is not sufficient to resist pull-out.
  - 3. Use metal channel/strut to support surface-mounted equipment in wet or damp locations to provide space between equipment and mounting surface.
  - 4. Securely fasten floor-mounted equipment. Do not install equipment such that it relies on its own weight for support.
- H. Conduit Support and Attachment: See Section 260533.13 for additional requirements.
- I. Busway Support and Attachment: See Section 262513 for additional requirements.
- J. Interior Luminaire Support and Attachment: See Section 265100 for additional requirements.
- K. Exterior Luminaire Support and Attachment: See Section 265600 for additional requirements.
- L. Preset Concrete Inserts: Use manufacturer provided closure strips to inhibit concrete seepage during concrete pour.
- M. Secure fasteners in accordance with manufacturer's recommended torque settings.
- N. Remove temporary supports.

## **3.3 FIELD QUALITY CONTROL**

- A. See Section 014000 Quality Requirements for additional requirements.
- B. Inspect support and attachment components for damage and defects.
- C. Repair cuts and abrasions in galvanized finishes using zinc-rich paint recommended by manufacturer. Replace components that exhibit signs of corrosion.
- D. Correct deficiencies and replace damaged or defective support and attachment components.

## END OF SECTION 260529

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CONDUIT FOR ELECTRICAL SYSTEMS

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## SECTION 260533.13 CONDUIT FOR ELECTRICAL SYSTEMS

#### PART 1 GENERAL

## 1.1 SECTION INCLUDES

- A. Galvanized steel rigid metal conduit (RMC).
- B. Stainless steel rigid metal conduit (RMC).
- C. Flexible metal conduit (FMC).
- D. Liquidtight flexible metal conduit (LFMC).
- E. Galvanized steel electrical metallic tubing (EMT).
- F. Stainless steel electrical metallic tubing (EMT).

## **1.2 RELATED REQUIREMENTS**

- A. Section 078400 PENETRATION FIRESTOPPING.
- B. Section 260519 LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES: Cable assemblies consisting of conductors protected by integral metal armor.
- C. Section 260526 GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS.
  1. Includes additional requirements for fittings for grounding and bonding.
- D. Section 260529 HANGERS AND SUPPORTS FOR ELECTRCAL SYSTEMS.
- E. Section 260533.16 BOXES FOR ELECTRICAL SYSTEMS.
- F. Section 260553 IDENTIFICATION FOR ELECTRICAL SYSTEMS: Identification products and requirements.

#### **1.3 REFERENCE STANDARDS**

- A. ANSI C80.1 American National Standard for Electrical Rigid Steel Conduit (ERSC); 2020.
- B. ANSI C80.3 American National Standard for Electrical Metallic Tubing -- Steel (EMT-S); 2020.
- C. NECA 1 Standard for Good Workmanship in Electrical Construction; 2023.
- D. NECA 101 Standard for Installing Steel Conduits (Rigid, IMC, EMT); 2020.
- E. NEMA FB 1 Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable; 2014.
- F. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- G. UL 1 Flexible Metal Conduit; Current Edition, Including All Revisions.
- H. UL 6 Electrical Rigid Metal Conduit-Steel; Current Edition, Including All Revisions.
- I. UL 6A Electrical Rigid Metal Conduit-Aluminum, Red Brass, and Stainless Steel; Current Edition, Including All Revisions.
- J. UL 360 Liquid-Tight Flexible Metal Conduit; Current Edition, Including All Revisions.
- K. UL 514B Conduit, Tubing, and Cable Fittings; Current Edition, Including All Revisions.
- L. UL 746C Polymeric Materials Use in Electrical Equipment Evaluations; Current Edition, Including All Revisions.

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- M. UL 797 Electrical Metallic Tubing-Steel; Current Edition, Including All Revisions.
- N. UL 797A Electrical Metallic Tubing Aluminum and Stainless Steel; Current Edition, Including All Revisions.
- O. UL 2419 Outline of Investigation for Electrically Conductive Corrosion Resistant Compounds; Current Edition, Including All Revisions.

## **1.4 ADMINISTRATIVE REQUIREMENTS**

#### A. Coordination:

- 1. Coordinate minimum sizes of conduits with actual type and quantity of conductors to be installed, including adjustments for conductor sizes increased for voltage drop.
- 2. Coordinate arrangement of conduits with structural members, ductwork, piping, equipment, and other potential conflicts.
- 3. Verify exact conduit termination locations required for boxes, enclosures, and equipment.
- 4. Coordinate work to provide roof penetrations that preserve integrity of roofing system and do not void roof warranty.
- 5. Notify Architect of conflicts with or deviations from Contract Documents. Obtain direction before proceeding with work.
- B. Sequencing:
  - 1. Do not begin installation of conductors and cables until installation of conduit between termination points is complete.

## 1.5 SUBMITTALS

- A. See Section 013000 Administrative Requirements for submittals procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for conduits and fittings.

#### 1.6 QUALITY ASSURANCE

## 1.7 DELIVERY, STORAGE, AND HANDLING

A. Receive, inspect, handle, and store conduit and fittings in accordance with manufacturer's instructions.

## PART 2 PRODUCTS

#### 2.1 CONDUIT APPLICATIONS

- A. Do not use conduit and associated fittings for applications other than as permitted by NFPA 70, manufacturer's instructions, and product listing.
- B. Unless otherwise indicated and where not otherwise restricted, use conduit types indicated for specified applications. Where more than one listed application applies, comply with most restrictive requirements. Where conduit type for particular application is not specified, use galvanized steel rigid metal conduit.
- C. Concealed Within Hollow Stud Walls: Use galvanized steel electrical metallic tubing (EMT).
- D. Concealed Above Accessible Ceilings: Use galvanized steel electrical metallic tubing (EMT).
- E. Interior, Damp or Wet Locations: Use galvanized steel rigid metal conduit (RMC).
- F. Exposed, Interior, Not Subject to Physical Damage: Use galvanized steel electrical metallic tubing (EMT).

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- G. Exposed, Interior, Subject to Physical Damage: Use galvanized steel rigid metal conduit (RMC), galvanized steel electrical metallic tubing (EMT), or stainless steel electrical metallic tubing (EMT).
- H. Exposed, Interior, Subject to Severe Physical Damage: Use galvanized steel rigid metal conduit (RMC).
  - 1. Locations subject to severe physical damage include, but are not limited to:
    - a. High traffic industrial and warehouse areas where exposed below 8 feet, except within electrical and communication rooms or closets.
    - b. Where exposed below 20 feet in industrial manufacturing areas.
- I. Exposed, Exterior, Not Subject to Severe Physical Damage: Use galvanized steel rigid metal conduit (RMC).
- J. Exposed, Exterior, Subject to Severe Physical Damage: Use galvanized steel rigid metal conduit (RMC).

## 2.2 CONDUIT - GENERAL REQUIREMENTS

- A. Comply with NFPA 70.
- B. Existing Work: Where existing conduits are indicated to be reused, they may be reused only where they comply with specified requirements, are free from corrosion, and integrity is verified by pulling mandrel through them.
- C. Fittings for Grounding and Bonding: See Section 260526 for additional requirements.
- D. Provide conduit, fittings, supports, and accessories required for complete raceway system.
- E. Provide products listed, classified, and labeled as suitable for purpose intended.
- F. Minimum Conduit Size, Unless Otherwise Indicated:
  - 1. Branch Circuits: 3/4-inch trade size.
  - 2. Branch Circuit Homeruns: 3/4-inch trade size.
  - 3. Flexible Connections to Luminaires: 3/8-inch trade size.
- G. Where conduit size is not indicated, size to comply with NFPA 70 but not less than applicable minimum size requirements specified.

#### 2.3 GALVANIZED STEEL RIGID METAL CONDUIT (RMC)

- A. Manufacturers:
  - 1. Allied Tube & Conduit, a division of Atkore International: www.alliedeg.com/#sle.
  - 2. Nucor Tubular Products: www.nucortubular.com/#sle.
  - 3. Western Tube, a division of Zekelman Industries: www.westerntube.com/#sle.
  - 4. Wheatland Tube, a division of Zekelman Industries: www.wheatland.com/#sle.
  - 5. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type RMC galvanized steel rigid metal conduit complying with ANSI C80.1 and listed and labeled as complying with UL 6.
- C. Fittings:
  - 1. Manufacturers:
    - a. ABB; T&B: www.electrification.us.abb.com/#sle.
    - b. Allied Tube & Conduit, a division of Atkore International: www.alliedeg.us/#sle.
    - c. Bridgeport Fittings Inc: www.bptfittings.com/#sle.
    - d. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.

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- e. Substitutions: See Section 016000 Product Requirements.
- 2. Nonhazardous Locations: Use fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B or UL 6.
- 3. Material: Use steel or malleable iron.
- 4. Connectors and Couplings: Use threaded type fittings only. Threadless fittings, including set screw and compression/gland types, are not permitted.

## 2.4 STAINLESS STEEL RIGID METAL CONDUIT (RMC)

- A. Manufacturers:
  - 1. Calbrite, a division of Atkore International: www.calbrite.com/#sle.
  - 2. Gibson Stainless & Specialty Inc: www.gibsonstainless.com/#sle.
  - 3. Patriot Industries, a division of Patriot Aluminum Products LLC: www.patriotsas.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type RMC stainless steel rigid metal conduit complying with ANSI C80.1 and listed and labeled as complying with UL 6A.
  - 1. Material: Type 304 or 316 stainless steel.
- C. Fittings:
  - 1. Manufacturers:
    - a. Calbrite, a division of Atkore International: www.calbrite.com/#sle.
    - b. Eaton: www.eaton.com/#sle.
    - c. Gibson Stainless & Specialty Inc: www.gibsonstainless.com/#sle.
    - d. Patriot Industries, a division of Patriot Aluminum Products LLC: www.patriotsas.com/#sle.
    - e. Substitutions: See Section 016000 Product Requirements.
  - 2. Nonhazardous Locations: Use fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B or UL 6A.
  - 3. Material: Use stainless steel with corrosion resistance equivalent to conduit.
  - 4. Connectors and Couplings: Use threaded type fittings only. Threadless fittings, including set screw and compression/gland types, are not permitted.

## 2.5 FLEXIBLE METAL CONDUIT (FMC)

- A. Manufacturers:
  - 1. AFC Cable Systems, a division of Atkore International: www.afcweb.com/#sle.
  - 2. Electri-Flex Company: www.electriflex.com/#sle.
  - 3. International Metal Hose: www.metalhose.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type FMC standard-wall steel flexible metal conduit listed and labeled as complying with UL 1, and listed for use in classified firestop systems.
- C. Fittings:
  - 1. Manufacturers:
    - a. ABB; T&B: www.electrification.us.abb.com/#sle.
    - b. Bridgeport Fittings, LLC: www.bptfittings.com/#sle.
    - c. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.

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- 2. Description: Fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B.
- 3. Material: Use steel or malleable iron.

# 2.6 LIQUIDTIGHT FLEXIBLE METAL CONDUIT (LFMC)

# A. Manufacturers:

- 1. AFC Cable Systems, a division of Atkore International: www.afcweb.com/#sle.
- 2. Electri-Flex Company: www.electriflex.com/#sle.
- 3. International Metal Hose: www.metalhose.com/#sle.
- 4. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type LFMC polyvinyl chloride (PVC) jacketed steel flexible metal conduit listed and labeled as complying with UL 360.
- C. Fittings:
  - 1. Manufacturers:
    - a. ABB; T&B: www.electrification.us.abb.com/#sle.
    - b. Bridgeport Fittings, LLC: www.bptfittings.com/#sle.
    - c. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.
  - 2. Description: Fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B.
  - 3. Material: Use steel or malleable iron.

# 2.7 GALVANIZED STEEL ELECTRICAL METALLIC TUBING (EMT)

- A. Manufacturers:
  - 1. Allied Tube & Conduit, a division of Atkore International: www.alliedeg.com/#sle.
  - 2. Nucor Tubular Products: www.nucortubular/#sle.
  - 3. Western Tube, a division of Zekelman Industries: www.westerntube.com/#sle.
  - 4. Wheatland Tube, a division of Zekelman Industries: www.wheatland.com/#sle.
  - 5. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type EMT galvanized steel electrical metallic tubing complying with ANSI C80.3 and listed and labeled as complying with UL 797.
- C. Fittings:
  - 1. Manufacturers:
    - a. ABB; T&B: www.electrification.us.abb.com/#sle.
    - b. Allied Tube & Conduit, a division of Atkore International: www.alliedeg.us/#sle.
    - c. Bridgeport Fittings, LLC: www.bptfittings.com/#sle.
    - d. Emerson Electric Co; O-Z/Gedney: www.emerson.com/#sle.
    - e. \_\_\_\_
    - f. Substitutions: See Section 016000 Product Requirements.
  - 2. Description: Fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B.
  - 3. Material: Use steel or malleable iron.
  - 4. Connectors and Couplings: Use compression/gland type.
    - a. Do not use indenter type connectors and couplings.

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## **2.8** STAINLESS STEEL ELECTRICAL METALLIC TUBING (EMT)

- A. Manufacturers:
  - 1. Calbrite, a division of Atkore International: www.calbrite.com/#sle.
  - 2. Substitutions: See Section 016000 Product Requirements.
- B. Description: NFPA 70, Type EMT stainless steel electrical metallic tubing complying with ANSI C80.3 and listed and labeled as complying with UL 797A.

#### C. Fittings:

- 1. Manufacturers:
  - a. Calbrite, a division of Atkore International: www.calbrite.com/#sle.
  - b. Substitutions: See Section 016000 Product Requirements.
- 2. Description: Fittings complying with NEMA FB 1 and listed and labeled as complying with UL 514B.
- 3. Material: Use stainless steel with corrosion resistance equivalent to conduit.
- 4. Connectors and Couplings: Use compression/gland type.

#### 2.9 ACCESSORIES

- A. Corrosion Protection Tape: PVC-based, minimum thickness of 20 mil, 0.020 inch.
- B. Conduit Joint Compound: Corrosion-resistant, electrically conductive compound listed as complying with UL 2419; suitable for use with conduit to be installed.
- C. Solvent Cement for PVC Conduit and Fittings: As recommended by manufacturer of conduit and fittings to be installed.
- D. Epoxy Adhesive for RTRC Conduit and Fittings: As recommended by manufacturer of conduit and fittings to be installed.
- E. Adhesive for HDPE and RTRC Conduit:
  - 1. Specifically designed for bonding dissimilar materials in lieu of transition fittings, including but not limited to polyethylene, fiberglass, PVC, aluminum, and steel; UL 746C recognized.
  - 2. Approved by adhesive manufacturer for use with materials to be joined.
- F. Pull Strings: Use nylon or polyester tape with average breaking strength of not less than 1,250 lbf.
- G. Sealing Systems for Concrete Penetrations:
  - 1. Sleeves: Provide water stop ring or cement coating that bonds to concrete to prevent water infiltration.
  - 2. Rate for minimum of 40 psig; suitable for sealing around conduits to be installed.
  - 3. Products:
    - a. American Polywater Corporation; PZVR Cement-Coated Concrete Wall Sleeves: www.polywater-haufftechnik.com/#sle.
    - b. American Polywater Corporation; PHSD Mechanical Seals: www.polywater-haufftechnik.com/#sle.
    - c. American Polywater Corporation; PHSI 150 Varia Double Wall Inserts: www.polywater-haufftechnik.com/#sle.
    - d. American Polywater Corporation; PGKD Modular Seals: www.polywater-haufftechnik.com/#sle.
    - e. Substitutions: See Section 016000 Product Requirements.

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- H. Sealing Systems for Roof Penetrations: Premanufactured components and accessories as required to preserve integrity of roofing system and maintain roof warranty; suitable for conduits and roofing system to be installed; designed to accommodate existing penetrations where applicable.
  - 1. Products:
    - a. Menzies Metal Products; Electrical Roof Stack and Cap: www.menziesmetal.com/#sle.
    - b. Menzies Metal Products; Electrical Retro Box: www.menzies-metal.com/#sle.
    - c. Substitutions: See Section 016000 Product Requirements.
- I. Flashing Panels for Exterior Wall Penetrations: Premanufactured components and accessories as required to preserve integrity of building envelope; suitable for conduits and facade materials to be installed.
  - 1. Products:
    - a. Quickflash Weatherproofing Products, Inc: www.quickflashproducts.com/#sle.
    - b. Substitutions: See Section 016000 Product Requirements.
- J. Firestop Sleeves: Listed; provide as required to preserve fire resistance rating of building elements.
  - 1. Products:
    - a. HoldRite, a brand of Reliance Worldwide Corporation; HydroFlame Pro Series/HydroFlame Custom Built: www.holdrite.com/#sle.
    - b. Substitutions: See Section 016000 Product Requirements.

## PART 3 EXECUTION

## **3.1 EXAMINATION**

- A. Verify that field measurements are as indicated.
- B. Verify that mounting surfaces are ready to receive conduits.
- C. Verify that conditions are satisfactory for installation prior to starting work.

## **3.2 INSTALLATION**

- A. Install products in accordance with manufacturer's instructions.
- B. Install conduit in accordance with NECA 1.
- C. Install galvanized steel rigid metal conduit (RMC) in accordance with NECA 101.
- D. Conduit Routing:
  - 1. Unless dimensioned, conduit routing indicated is diagrammatic.
  - 2. When conduit destination is indicated without specific routing, determine exact routing required.
  - 3. Conceal conduits unless specifically indicated to be exposed.
  - 4. Conduits in the following areas may be exposed, unless otherwise indicated:
    - a. Electrical rooms.
    - b. Mechanical equipment rooms.
  - 5. Arrange conduit to maintain adequate headroom, clearances, and access.
  - 6. Arrange conduit to provide no more than equivalent of four 90-degree bends between pull points.
  - 7. Arrange conduit to provide no more than 150 feet between pull points.
  - 8. Route conduits above water and drain piping where possible.

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- 9. Arrange conduit to prevent moisture traps. Provide drain fittings at low points and at sealing fittings where moisture may collect.
- 10. Maintain minimum clearance of 6 inches between conduits and piping for other systems.
- E. Conduit Support:
  - 1. Secure and support conduits in accordance with NFPA 70 using suitable supports and methods approved by authorities having jurisdiction; see Section 260529.
  - 2. Provide independent support from building structure. Do not provide support from piping, ductwork, or other systems.
  - 3. Installation Above Suspended Ceilings: Do not provide support from ceiling support system. Do not provide support from ceiling grid or allow conduits to lay on ceiling tiles.
  - 4. Use conduit strap to support single surface-mounted conduit.
    - a. Use clamp back spacer with conduit strap for damp and wet locations to provide space between conduit and mounting surface.
  - 5. Use metal channel/strut with accessory conduit clamps to support multiple parallel surfacemounted conduits.
  - 6. Use conduit clamp to support single conduit from beam clamp or threaded rod.
  - 7. Use trapeze hangers assembled from threaded rods and metal channel/strut with accessory conduit clamps to support multiple parallel suspended conduits.
  - 8. Use nonpenetrating rooftop supports to support conduits routed across rooftops, where approved.
  - 9. Use of spring steel conduit clips for support of conduits is not permitted.
    - a. Support of electrical metallic tubing (EMT) up to 1-inch (27 mm) trade size concealed above accessible ceilings and within hollow stud walls.
  - 10. Use of wire for support of conduits is not permitted.
  - 11. Where conduit support intervals specified in NFPA 70 and NECA standards differ, comply with most stringent requirements.
- F. Connections and Terminations:
  - 1. Use approved zinc-rich paint or conduit joint compound on field-cut threads of galvanized steel conduits prior to making connections.
  - 2. Where two threaded conduits must be joined and neither can be rotated, use three-piece couplings or split couplings. Do not use running threads.
  - 3. Use suitable adapters where required to transition from one type of conduit to another.
  - 4. Provide drip loops for liquidtight flexible conduit connections to prevent drainage of liquid into connectors.
  - 5. Terminate threaded conduits in boxes and enclosures using threaded hubs or double lock nuts for dry locations and raintight hubs for wet locations.
  - 6. Where spare conduits stub up through concrete floors and are not terminated in box or enclosure, provide threaded couplings equipped with threaded plugs set flush with finished floor.
  - 7. Provide insulating bushings, insulated throats, or listed metal fittings with smooth, rounded edges at conduit terminations to protect conductors.
  - 8. Secure joints and connections to provide mechanical strength and electrical continuity.
- G. Penetrations:
  - 1. Do not penetrate or otherwise notch or cut structural members, including footings and grade beams, without approval of Structural Engineer.
  - 2. Make penetrations perpendicular to surfaces unless otherwise indicated.

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- 3. Provide sleeves for penetrations as indicated or as required to facilitate installation. Set sleeves flush with exposed surfaces unless otherwise indicated or required.
- 4. Conceal bends for conduit risers emerging above ground.
- 5. Provide suitable sealing system where conduits penetrate exterior wall below grade.
- 6. Where conduits penetrate waterproof membrane, seal as required to maintain integrity of membrane.
- 7. Make penetrations for roof-mounted equipment within associated equipment openings and curbs where possible to minimize roofing system penetrations. Where penetrations are necessary, seal as indicated or as required to preserve integrity of roofing system and maintain roof warranty.
- 8. Install firestopping to preserve fire resistance rating of partitions and other elements; see Section 078400.
- H. Conduit Movement Provisions: Where conduits are subject to movement, provide expansion and expansion/deflection fittings to prevent damage to enclosed conductors or connected equipment. This includes, but is not limited to:
  - 1. Where conduits cross structural joints intended for expansion, contraction, or deflection.
  - 2. Where conduits are subject to earth movement by settlement or frost.
- I. Conduit Sealing:
  - 1. Use foam conduit sealant to prevent entry of moisture and gases. This includes, but is not limited to:
    - a. Where conduits enter building from outside.
    - b. Where service conduits enter building from underground distribution system.
    - c. Where conduits enter building from underground.
    - d. Where conduits may transport moisture to contact live parts.
  - 2. Where conduits cross barriers between areas of potential substantial temperature differential, use foam conduit sealant at accessible point near penetration to prevent condensation. This includes, but is not limited to:
    - a. Where conduits pass from outdoors into conditioned interior spaces.
    - b. Where conduits pass from unconditioned interior spaces into conditioned interior spaces.
- J. Provide grounding and bonding; see Section 260526.
- K. Identify conduits; see Section 260553.

#### **3.3 FIELD QUALITY CONTROL**

- A. See Section 014000 Quality Requirements for additional requirements.
- B. Repair cuts and abrasions in galvanized finishes using zinc-rich paint recommended by manufacturer. Replace components that exhibit signs of corrosion.
- C. Correct deficiencies and replace damaged or defective conduits.

#### 3.4 CLEANING

A. Clean interior of conduits to remove moisture and foreign matter.

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## 3.5 PROTECTION

A. Immediately after installation of conduit, use suitable manufactured plugs to provide protection from entry of moisture and foreign material and do not remove until ready for installation of conductors.

## END OF SECTION 260533.13

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#### BOXES FOR ELECTRICAL SYSTEMS

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## SECTION 260533.16 BOXES FOR ELECTRICAL SYSTEMS

#### PART 1 GENERAL

## **1.1 SECTION INCLUDES**

- A. Outlet and device boxes up to 100 cubic inches, including those used as junction and pull boxes.
- B. Cabinets and enclosures, including junction and pull boxes larger than 100 cubic inches.
- C. Boxes and enclosures for integrated power, data, and audio/video.
- D. Boxes for hazardous (classified) locations.

#### **1.2 RELATED REQUIREMENTS**

- A. Section 078400 PENETRATION FIRESTOPPING.
- B. Section 083100 ACCESS DOORS AND PANELS: Panels for maintaining access to concealed boxes.
- C. Section 260529 HANGERS AND SUPPORTS FOR ELECTRCAL SYSTEMS.
- D. Section 260533.13 CONDUIT FOR ELECTRICAL SYSTEMS:
  - 1. Conduit bodies and other fittings.
  - 2. Additional requirements for locating boxes to limit conduit length and/or number of bends between pulling points.
- E. Section 260553 IDENTIFICATION FOR ELECTRICAL SYSTEMS: Identification products and requirements.
- F. Section 262726 Wiring Devices:
  - 1. Wall plates.
  - 2. Additional requirements for locating boxes for wiring devices.
- G. Section 271000 Structured Cabling: Additional requirements for communications systems outlet boxes.

## **1.3 REFERENCE STANDARDS**

- A. NECA 1 Standard for Good Workmanship in Electrical Construction; 2023.
- B. NECA 130 Standard for Installing and Maintaining Wiring Devices; 2016.
- C. NEMA 250 Enclosures for Electrical Equipment (1000 Volts Maximum); 2020.
- D. NEMA FB 1 Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable; 2014.
- E. NEMA OS 1 Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports; 2013 (Reaffirmed 2020).
- F. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- G. UL 50 Enclosures for Electrical Equipment, Non-Environmental Considerations; Current Edition, Including All Revisions.
- H. UL 50E Enclosures for Electrical Equipment, Environmental Considerations; Current Edition, Including All Revisions.

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- I. UL 508A Industrial Control Panels; Current Edition, Including All Revisions.
- J. UL 514A Metallic Outlet Boxes; Current Edition, Including All Revisions.
- K. UL 1203 Explosion-Proof and Dust-Ignition-Proof Electrical Equipment for Use in Hazardous (Classified) Locations; Current Edition, Including All Revisions.

#### **1.4 ADMINISTRATIVE REQUIREMENTS**

#### A. Coordination:

- 1. Coordinate the work with other trades to avoid placement of ductwork, piping, equipment, or other potential obstructions within the dedicated equipment spaces and working clearances for electrical equipment required by NFPA 70.
- 2. Coordinate arrangement of electrical equipment with the dimensions and clearance requirements of the actual equipment to be installed.
- 3. Coordinate minimum sizes of boxes with the actual installed arrangement of conductors, clamps, support fittings, and devices, calculated according to NFPA 70.
- 4. Coordinate minimum sizes of pull boxes with the actual installed arrangement of connected conduits, calculated according to NFPA 70.
- 5. Coordinate the placement of boxes with millwork, furniture, devices, equipment, etc. installed under other sections or by others.
- 6. Coordinate the work with other trades to preserve insulation integrity.
- 7. Coordinate the work with other trades to provide walls suitable for installation of flushmounted boxes where indicated.
- 8. Notify Architect of any conflicts with or deviations from Contract Documents. Obtain direction before proceeding with work.

#### **1.5 SUBMITTALS**

- A. See Section 013000 Administrative Requirements, for submittal procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for cabinets and enclosures, boxes for hazardous (classified) locations, floor boxes, and underground boxes/enclosures.
- C. Project Record Documents: Record actual locations for outlet and device boxes, pull boxes, cabinets and enclosures, floor boxes, and underground boxes/enclosures.
- D. Maintenance Materials: Furnish the following for Owner's use in maintenance of project.
  - 1. See Section 016000 Product Requirements, for additional provisions.
  - 2. Keys for Lockable Enclosures: Two of each different key.

#### 1.6 QUALITY ASSURANCE

A. Comply with requirements of NFPA 70.

## 1.7 DELIVERY, STORAGE, AND HANDLING

A. Receive, inspect, handle, and store products in accordance with manufacturer's instructions.

## **PART 2 PRODUCTS**

#### 2.1 BOXES

- A. General Requirements:
  - 1. Do not use boxes and associated accessories for applications other than as permitted by NFPA 70 and product listing.

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- 2. Provide all boxes, fittings, supports, and accessories required for a complete raceway system and to accommodate devices and equipment to be installed.
- 3. Provide products listed, classified, and labeled as suitable for the purpose intended.
- 4. Where box size is not indicated, size to comply with NFPA 70 but not less than applicable minimum size requirements specified.
- 5. Provide grounding terminals within boxes where equipment grounding conductors terminate.
- B. Outlet and Device Boxes Up to 100 cubic inches, Including Those Used as Junction and Pull Boxes:
  - 1. Use sheet-steel boxes for dry locations unless otherwise indicated or required.
  - 2. Use cast iron boxes or cast aluminum boxes for damp or wet locations unless otherwise indicated or required; furnish with compatible weatherproof gasketed covers.
  - 3. Use cast iron boxes or cast aluminum boxes where exposed galvanized steel rigid metal conduit or exposed intermediate metal conduit (IMC) is used.
  - 4. Use suitable concrete type boxes where flush-mounted in concrete.
  - 5. Use suitable masonry type boxes where flush-mounted in masonry walls.
  - 6. Use raised covers suitable for the type of wall construction and device configuration where required.
  - 7. Use shallow boxes where required by the type of wall construction.
  - 8. Do not use "through-wall" boxes designed for access from both sides of wall.
  - 9. Sheet-Steel Boxes: Comply with NEMA OS 1, and list and label as complying with UL 514A.
  - 10. Cast Metal Boxes: Comply with NEMA FB 1, and list and label as complying with UL 514A; furnish with threaded hubs.
  - 11. Boxes for Supporting Luminaires and Ceiling Fans: Listed as suitable for the type and weight of load to be supported; furnished with fixture stud to accommodate mounting of luminaire where required.
  - 12. Boxes for Ganged Devices: Use multigang boxes of single-piece construction. Do not use field-connected gangable boxes unless specifically indicated or permitted.
  - 13. Wall Plates: Comply with Section 262726.
  - 14. Manufacturers:
    - a. Cooper Crouse-Hinds, a division of Eaton Corporation: www.cooperindustries.com/#sle.
    - b. Hubbell Incorporated; Bell Products: www.hubbell-rtb.com/#sle.
    - c. Hubbell Incorporated; RACO Products: www.hubbell-rtb.com/#sle.
    - d. O-Z/Gedney, a brand of Emerson Electric Co: www.emerson.com/#sle.
    - e. Thomas & Betts Corporation: www.tnb.com/#sle.
    - f. Substitutions: See Section 016000 Product Requirements.
- C. Cabinets and Enclosures, Including Junction and Pull Boxes Larger Than 100 cubic inches:
  - 1. Comply with NEMA 250, and list and label as complying with UL 50 and UL 50E, or UL 508A.
  - 2. NEMA 250 Environment Type, Unless Otherwise Indicated:
    - a. Indoor Clean, Dry Locations: Type 1, painted steel.
    - b. Outdoor Locations: Type 3R, painted steel.
  - 3. Junction and Pull Boxes Larger Than 100 cubic inches:
    - a. Provide screw-cover or hinged-cover enclosures unless otherwise indicated.

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- b. Boxes 6 square feet and Larger: Provide sectionalized screw-cover or hinged-cover enclosures.
- 4. Cabinets and Hinged-Cover Enclosures, Other Than Junction and Pull Boxes:
  - a. Provide lockable hinged covers, all locks keyed alike unless otherwise indicated.
  - b. Back Panels: Painted steel, removable.
- 5. Manufacturers:
  - a. Cooper B-Line, a division of Eaton Corporation: www.cooperindustries.com/#sle.
  - b. Hoffman, a brand of Pentair Technical Products: www.hoffmanonline.com/#sle.
  - c. Hubbell Incorporated; Wiegmann Products: www.hubbell-wiegmann.com/#sle.
  - d. Substitutions: See Section 016000 Product Requirements.

## PART 3 EXECUTION

## 3.1 EXAMINATION

- A. Verify that field measurements are as indicated.
- B. Verify that mounting surfaces are ready to receive boxes.
- C. Verify that conditions are satisfactory for installation prior to starting work.

## 3.2 INSTALLATION

- A. Install products in accordance with manufacturer's instructions.
- B. Install boxes in accordance with NECA 1 (general workmanship) and, where applicable, NECA 130, including mounting heights specified in those standards where mounting heights are not indicated.
- C. Arrange equipment to provide minimum clearances in accordance with manufacturer's instructions and NFPA 70.
- D. Provide separate boxes for emergency power and normal power systems.
- E. Unless otherwise indicated, provide separate boxes for line voltage and low voltage systems.
- F. Flush-mount boxes in finished areas unless specifically indicated to be surface-mounted.
- G. Unless otherwise indicated, boxes may be surface-mounted where exposed conduits are indicated or permitted.
- H. Box Locations:
  - 1. Locate boxes to be accessible. Provide access panels in accordance with Section 083100 as required where approved by the Architect.
  - 2. Unless dimensioned, box locations indicated are approximate.
  - 3. Locate boxes as required for devices installed under other sections or by others.
    - a. Switches, Receptacles, and Other Wiring Devices: Comply with Section 262726.
    - b. Communications Systems Outlets: Comply with Section 271000.
  - 4. Locate boxes so that wall plates do not span different building finishes.
  - 5. Locate boxes so that wall plates do not cross masonry joints.
  - 6. Unless otherwise indicated, where multiple outlet boxes are installed at the same location at different mounting heights, install along a common vertical center line.
  - 7. Do not install flush-mounted boxes on opposite sides of walls back-to-back. Provide minimum 6 inches horizontal separation unless otherwise indicated.

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- 8. Locate junction and pull boxes as indicated, as required to facilitate installation of conductors, and to limit conduit length and/or number of bends between pulling points in accordance with Section 260533.13.
- 9. Locate junction and pull boxes in the following areas, unless otherwise indicated or approved by the Architect:
  - a. Concealed above accessible suspended ceilings.
  - b. Within joists in areas with no ceiling.
  - c. Electrical rooms.
  - d. Mechanical equipment rooms.
- I. Box Supports:
  - 1. Secure and support boxes in accordance with NFPA 70 and Section 260529 using suitable supports and methods approved by the authority having jurisdiction.
  - 2. Provide independent support from building structure except for cast metal boxes (other than boxes used for fixture support) supported by threaded conduit connections in accordance with NFPA 70. Do not provide support from piping, ductwork, or other systems.
  - 3. Installation Above Suspended Ceilings: Do not provide support from ceiling grid or ceiling support system.
- J. Install boxes plumb and level.
- K. Flush-Mounted Boxes:
  - 1. Install boxes in noncombustible materials such as concrete, tile, gypsum, plaster, etc. so that front edge of box or associated raised cover is not set back from finished surface more than 1/4 inch or does not project beyond finished surface.
  - 2. Install boxes in combustible materials such as wood so that front edge of box or associated raised cover is flush with finished surface.
  - 3. Repair rough openings around boxes in noncombustible materials such as concrete, tile, gypsum, plaster, etc. so that there are no gaps or open spaces greater than 1/8 inch at the edge of the box.
- L. Install boxes as required to preserve insulation integrity.
- M. Install permanent barrier between ganged wiring devices when voltage between adjacent devices exceeds 300 V.
- N. Install firestopping to preserve fire resistance rating of partitions and other elements, using materials and methods specified in Section 078400.
- O. Close unused box openings.
- P. Install blank wall plates on junction boxes and on outlet boxes with no devices or equipment installed or designated for future use.
- Q. Provide grounding and bonding in accordance with Section 260526.
- R. Identify boxes in accordance with Section 260553.

#### 3.3 CLEANING

A. Clean interior of boxes to remove dirt, debris, plaster and other foreign material.

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## BOXES FOR ELECTRICAL SYSTEMS

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#### **3.4 PROTECTION**

A. Immediately after installation, protect boxes from entry of moisture and foreign material until ready for installation of conductors.

## END OF SECTION 260533.16

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## SECTION 260553 IDENTIFICATION FOR ELECTRICAL SYSTEMS

## PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Electrical identification requirements.
- B. Identification nameplates and labels.
- C. Wire and cable markers.
- D. Voltage markers.
- E. Warning signs and labels.

## **1.2 RELATED REQUIREMENTS**

- A. Section 099113 EXTERIOR PAINTING.
- B. Section 099123 INTERIOR PAINTING.
- C. Section 260519 LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES: Color coding for power conductors and cables 600 V and less; vinyl color coding electrical tape.
- D. Section 262726 Wiring Devices Lutron: Device and wallplate finishes; factory pre-marked wallplates.
- E. Section 271000 Structured Cabling: Identification for communications cabling and devices.

#### **1.3 REFERENCE STANDARDS**

- A. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.
- B. UL 969 Marking and Labeling Systems; Current Edition, Including All Revisions.

## **1.4 ADMINISTRATIVE REQUIREMENTS**

- A. Coordination:
  - 1. Verify final designations for equipment, systems, and components to be identified prior to fabrication of identification products.
- B. Sequencing:
  - 1. Do not conceal items to be identified, in locations such as above suspended ceilings, until identification products have been installed.
  - 2. Do not install identification products until final surface finishes and painting are complete.

## **1.5 SUBMITTALS**

- A. See Section 013000 Administrative Requirements for submittals procedures.
- B. Product Data: Provide manufacturer's standard catalog pages and data sheets for each product.

#### 1.6 QUALITY ASSURANCE

A. Comply with requirements of NFPA 70.

#### **1.7 FIELD CONDITIONS**

A. Do not install adhesive products when ambient temperature is lower than recommended by manufacturer.

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## PART 2 PRODUCTS

## 2.1 IDENTIFICATION REQUIREMENTS

- A. Existing Work: Unless specifically excluded, identify existing elements to remain that are not already identified in accordance with specified requirements.
- B. Identification for Equipment:
  - 1. Use identification nameplate to identify each piece of electrical distribution and control equipment and associated sections, compartments, and components.
    - a. Panelboards:
      - 1) Identify ampere rating.
      - 2) Identify voltage and phase.
      - 3) Identify power source and circuit number. Include location when not within sight of equipment.
      - 4) Use typewritten circuit directory to identify load(s) served for panelboards with a door. Identify spares and spaces using pencil.
      - 5) For power panelboards without a door, use identification nameplate to identify load(s) served for each branch device. Do not identify spares and spaces.
  - 2. Use voltage marker to identify highest voltage present for each piece of electrical equipment.
  - 3. Use identification nameplate to identify equipment utilizing series ratings, where permitted, in accordance with NFPA 70.
  - 4. Use identification nameplate to identify disconnect location for equipment with remote disconnecting means.
  - 5. Use identification label or handwritten text using indelible marker on inside of door at each fused switch to identify required NEMA fuse class and size.
  - 6. Use identification label or handwritten text using indelible marker on inside of door at each motor controller to identify nameplate horsepower, full load amperes, code letter, service factor, voltage, and phase of motor(s) controlled.
  - 7. Use identification label to identify overcurrent protective devices for branch circuits serving fire alarm circuits. Identify with text "FIRE ALARM CIRCUIT".
  - 8. Use warning signs to identify electrical hazards for entrances to all rooms and other guarded locations that contain exposed live parts operating at 600 V nominal or less with the word message "DANGER; Electrical hazard; Authorized personnel only" or approved equivalent.
- C. Identification for Conductors and Cables:
  - 1. Color Coding for Power Conductors 600 V and Less: Comply with Section 260519.
  - 2. Use identification nameplate or identification label to identify color code for ungrounded and grounded power conductors inside door or enclosure at each piece of feeder or branch-circuit distribution equipment when premises has feeders or branch circuits served by more than one nominal voltage system.
  - 3. Use wire and cable markers to identify circuit number or other designation indicated for power, control, and instrumentation conductors and cables at the following locations:
    - a. At each source and load connection.
    - b. Within boxes when more than one circuit is present.
    - c. Within equipment enclosures when conductors and cables enter or leave the enclosure.
  - 4. Use wire and cable markers to identify connected grounding electrode system components for grounding electrode conductors.

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- D. Identification for Raceways:
  - 1. Use voltage markers to identify highest voltage present for accessible conduits at maximum intervals of 20 feet.
  - 2. Use voltage markers or color-coded bands to identify systems other than normal power system for accessible conduits at maximum intervals of 20 feet.
    - a. Color-Coded Bands: Use field-painting or vinyl color coding electrical tape to mark bands 3 inches wide.
      - 1) Color Code:
      - 2) Field-Painting: Comply with Section 099123 and 099113.
      - 3) Vinyl Color Coding Electrical Tape: Comply with Section 260519.
  - 3. Use identification labels or plastic marker tags to identify circuits enclosed for accessible conduits at wall penetrations, at floor penetrations, at roof penetrations, and at equipment terminations when source is not within sight.
- E. Identification for Boxes:
  - 1. Use voltage markers to identify highest voltage present.
  - 2. Use voltage markers or color coded boxes to identify systems other than normal power system.
    - a. Color-Coded Boxes: Field-painted in accordance with Section 099123 and 099113 per the same color code used for raceways.
  - 3. Use identification labels or handwritten text using indelible marker to identify circuits enclosed.
  - 4. Use warning labels to identify electrical hazards for boxes containing exposed live parts or exposed conductors operating at over 600 V nominal with the word message "DANGER; HIGH VOLTAGE; KEEP OUT".
- F. Identification for Devices:
  - 1. Identification for Communications Devices: Comply with Section 271000.
  - 2. Wiring Device and Wallplate Finishes: Comply with Section 262726.
  - 3. Factory Pre-Marked Wallplates: Comply with Section 262726.
  - 4. Use identification label to identify fire alarm system devices.
    - a. For devices concealed above suspended ceilings, provide additional identification on ceiling tile below device location.
  - 5. Use identification label or engraved wallplate to identify serving branch circuit for all receptacles.
    - a. For receptacles in public areas or in areas as directed by Architect, provide identification on inside surface of wallplate.
  - 6. Use identification label or engraved wallplate to identify load controlled for wall-mounted control devices controlling loads that are not visible from the control location and for multiple wall-mounted control devices installed at one location.
- G. Identification for Luminaires:
  - 1. Use permanent red dot on luminaire frame to identify luminaires connected to emergency power system.

## 2.2 IDENTIFICATION NAMEPLATES AND LABELS

- A. Identification Nameplates:
  - 1. Manufacturers:
    - a. Brimar Industries, Inc: www.brimar.com/#sle.

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- b. Kolbi Pipe Marker Co: www.kolbipipemarkers.com/#sle.
- c. Seton Identification Products: www.seton.com/#sle.
- d. Substitutions: See Section 016000 Product Requirements.
- 2. Materials:
  - a. Indoor Clean, Dry Locations: Use plastic nameplates.
  - b. Outdoor Locations: Use plastic, stainless steel, or aluminum nameplates suitable for exterior use.
- 3. Plastic Nameplates: Two-layer or three-layer laminated acrylic or electrically nonconductive phenolic with beveled edges; minimum thickness of 1/16 inch; engraved text.
  - a. Exception: Provide minimum thickness of 1/8 inch when any dimension is greater than 4 inches.
- 4. Stainless Steel Nameplates: Minimum thickness of 1/32 inch; engraved or laser-etched text.
- 5. Aluminum Nameplates: Anodized; minimum thickness of 1/32 inch; engraved or laseretched text.
- 6. Mounting Holes for Mechanical Fasteners: Two, centered on sides for sizes up to 1 inch high; Four, located at corners for larger sizes.
- B. Identification Labels:
  - 1. Manufacturers:
    - a. Brady Corporation: www.bradyid.com/#sle.
    - b. Brother International Corporation: www.brother-usa.com/#sle.
    - c. Panduit Corp: www.panduit.com/#sle.
    - d. Substitutions: See Section 016000 Product Requirements.
  - 2. Materials: Use self-adhesive laminated plastic labels; UV, chemical, water, heat, and abrasion resistant.
  - 3. Text: Use factory pre-printed or machine-printed text. Do not use handwritten text unless otherwise indicated.
- C. Format for Equipment Identification:
  - 1. Minimum Size: 1 inch by 2.5 inches.
  - 2. Legend:
    - a. Equipment designation or other approved description.
  - 3. Text: All capitalized unless otherwise indicated.
  - 4. Minimum Text Height:
    - a. Equipment Designation: 1/2 inch.
  - 5. Color:
    - a. Normal Power System: White text on black background.
      - 1) 208Y/120 V, 3 Phase Equipment: White text on Black background.
    - b. Emergency Power System: White text on red background.
- D. Format for Receptacle Identification:
  - 1. Minimum Size: 3/8 inch by 1.5 inches.
  - 2. Legend: Power source and circuit number or other designation indicated.
  - 3. Text: All capitalized unless otherwise indicated.
  - 4. Minimum Text Height: 3/16 inch.
  - 5. Color: Black text on clear background.
- E. Format for Fire Alarm Device Identification:

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- 1. Minimum Size: 3/8 inch by 1.5 inches.
- 2. Legend: Designation indicated and device zone or address.
- 3. Text: All capitalized unless otherwise indicated.
- 4. Minimum Text Height: 3/16 inch.
- 5. Color: Red text on white background.

# 2.3 WIRE AND CABLE MARKERS

- A. Manufacturers:
  - 1. Brady Corporation: www.bradyid.com/#sle.
  - 2. HellermannTyton: www.hellermanntyton.com/#sle.
  - 3. Panduit Corp: www.panduit.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.
- B. Markers for Conductors and Cables: Use wrap-around self-adhesive vinyl cloth, wrap-around self-adhesive vinyl self-laminating, heat-shrink sleeve, plastic sleeve, plastic clip-on, or vinyl split sleeve type markers suitable for the conductor or cable to be identified.
- C. Markers for Conductor and Cable Bundles: Use plastic marker tags secured by nylon cable ties.
- D. Legend: Power source and circuit number or other designation indicated.
- E. Text: Use factory pre-printed or machine-printed text, all capitalized unless otherwise indicated.
- F. Minimum Text Height: 1/8 inch.
- G. Color: Black text on white background unless otherwise indicated.

#### 2.4 VOLTAGE MARKERS

- A. Manufacturers:
  - 1. Brady Corporation: www.bradyid.com/#sle.
  - 2. Brimar Industries, Inc: www.brimar.com/#sle.
  - 3. Seton Identification Products: www.seton.com/#sle.
  - 4. Substitutions: See Section 016000 Product Requirements.
- B. Markers for Conduits: Use factory pre-printed self-adhesive vinyl, self-adhesive vinyl cloth, or vinyl snap-around type markers.
- C. Markers for Boxes and Equipment Enclosures: Use factory pre-printed self-adhesive vinyl or self-adhesive vinyl cloth type markers.
- D. Minimum Size:
  - 1. Markers for Equipment: 1 1/8 by 4 1/2 inches.
  - 2. Markers for Conduits: As recommended by manufacturer for conduit size to be identified.
  - 3. Markers for Pull Boxes: 1 1/8 by 4 1/2 inches.
  - 4. Markers for Junction Boxes: 1/2 by 2 1/4 inches.
- E. Legend:
  - 1. Markers for Voltage Identification: Highest voltage present.
  - 2. Markers for System Identification:
- F. Color: Black text on orange background unless otherwise indicated.

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#### 2.5 WARNING SIGNS AND LABELS

#### A. Manufacturers:

- 1. Brimar Industries, Inc: www.brimar.com/#sle.
- 2. Clarion Safety Systems, LLC: www.clarionsafety.com/#sle.
- 3. Insite Solutions, LLC: www.stop-painting.com/#sle.
- 4. Seton Identification Products: www.seton.com/#sle.
- 5. Substitutions: See Section 016000 Product Requirements.
- B. Comply with ANSI Z535.2 or ANSI Z535.4 as applicable.
- C. Warning Signs:
  - 1. Materials:
    - a. Indoor Dry, Clean Locations: Use factory pre-printed rigid plastic or self-adhesive vinyl signs.
  - 2. Rigid Signs: Provide four mounting holes at corners for mechanical fasteners.
  - 3. Minimum Size: 7 by 10 inches unless otherwise indicated.
- D. Warning Labels:
  - 1. Materials: Use factory pre-printed or machine-printed self-adhesive polyester or selfadhesive vinyl labels; UV, chemical, water, heat, and abrasion resistant; produced using materials recognized to UL 969.
  - 2. Machine-Printed Labels: Use thermal transfer process printing machines and accessories recommended by label manufacturer.
  - 3. Minimum Size: 2 by 4 inches unless otherwise indicated.

### PART 3 EXECUTION

#### **3.1 PREPARATION**

A. Clean surfaces to receive adhesive products according to manufacturer's instructions.

#### **3.2 INSTALLATION**

- A. Install products in accordance with manufacturer's instructions.
- B. Install identification products to be plainly visible for examination, adjustment, servicing, and maintenance. Unless otherwise indicated, locate products as follows:
  - 1. Surface-Mounted Equipment: Enclosure front.
  - 2. Flush-Mounted Equipment: Inside of equipment door.
  - 3. Free-Standing Equipment: Enclosure front; also enclosure rear for equipment with rear access.
  - 4. Elevated Equipment: Legible from the floor or working platform.
  - 5. Branch Devices: Adjacent to device.
  - 6. Interior Components: Legible from the point of access.
  - 7. Conduits: Legible from the floor.
  - 8. Boxes: Outside face of cover.
  - 9. Conductors and Cables: Legible from the point of access.
  - 10. Devices: Outside face of cover.
- C. Install identification products centered, level, and parallel with lines of item being identified.
- D. Secure nameplates to exterior surfaces of enclosures using stainless steel screws and to interior surfaces using self-adhesive backing or epoxy cement.

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- E. Install self-adhesive labels and markers to achieve maximum adhesion, with no bubbles or wrinkles and edges properly sealed.
- F. Secure rigid signs using stainless steel screws.
- G. Mark all handwritten text, where permitted, to be neat and legible.

## 3.3 FIELD QUALITY CONTROL

- A. See Section 014000 Quality Requirements, for additional requirements.
- B. Replace self-adhesive labels and markers that exhibit bubbles, wrinkles, curling or other signs of improper adhesion.

## END OF SECTION 260553

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WIRING CONNECTIONS

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### SECTION 260583 WIRING CONNECTIONS

### PART 1 GENERAL

### **1.1 SECTION INCLUDES**

A. Electrical connections to equipment.

#### **1.2 RELATED REQUIREMENTS**

- A. Section 260519 LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES.
- B. Section 260533.13 CONDUIT FOR ELECTRICAL SYSTEMS.
- C. Section 260533.16 BOXES FOR ELECTRICAL SYSTEMS.
- D. Section 262726 Wiring Devices.
- E. Section 262816.16 Enclosed Switches.

### **1.3 REFERENCE STANDARDS**

- A. NEMA WD 1 General Color Requirements for Wiring Devices; 1999 (Reaffirmed 2020).
- B. NEMA WD 6 Wiring Devices Dimensional Specifications; 2021.
- C. NFPA 70 National Electrical Code; Most Recent Edition Adopted by Authority Having Jurisdiction, Including All Applicable Amendments and Supplements.

## **1.4 ADMINISTRATIVE REQUIREMENTS**

- A. Coordination:
  - 1. Obtain and review shop drawings, product data, manufacturer's wiring diagrams, and manufacturer's instructions for equipment furnished under other sections.
  - 2. Determine connection locations and requirements.
- B. Sequencing:
  - 1. Install rough-in of electrical connections before installation of equipment is required.
  - 2. Make electrical connections before required start-up of equipment.

### **1.5 SUBMITTALS**

- A. See Section 013000 Administrative Requirements, for submittal procedures.
- B. Product Data: Provide wiring device manufacturer's catalog information showing dimensions, configurations, and construction.

## 1.6 QUALITY ASSURANCE

- A. Comply with requirements of NFPA 70.
- B. Products: Listed, classified, and labeled as suitable for the purpose intended.

### PART 2 PRODUCTS

### 2.1 MATERIALS

- A. Wiring Devices: As specified in Section 262726.
- B. Flexible Conduit: As specified in Section 260533.13.
- C. Wire and Cable: As specified in Section 260519.
- D. Boxes: As specified in Section 260533.16.

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### WIRING CONNECTIONS

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### 2.2 EQUIPMENT CONNECTIONS

- A. HVAC Equipment:
  - 1. Electrical Connection: Flexible conduit.

### PART 3 EXECUTION

## 3.1 EXAMINATION

A. Verify that equipment is ready for electrical connection, wiring, and energization.

## 3.2 ELECTRICAL CONNECTIONS

- A. Make electrical connections in accordance with equipment manufacturer's instructions.
- B. Make conduit connections to equipment using flexible conduit. Use liquidtight flexible conduit with watertight connectors in damp or wet locations.
- C. Connect heat producing equipment using wire and cable with insulation suitable for temperatures encountered.
- D. Provide receptacle outlet to accommodate connection with attachment plug.
- E. Provide cord and cap where field-supplied attachment plug is required.
- F. Install suitable strain-relief clamps and fittings for cord connections at outlet boxes and equipment connection boxes.
- G. Install disconnect switches, controllers, control stations, and control devices to complete equipment wiring requirements.
- H. Install terminal block jumpers to complete equipment wiring requirements.
- I. Install interconnecting conduit and wiring between devices and equipment to complete equipment wiring requirements.

# END OF SECTION 260583

### SITE CLEARING

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### SECTION 311000 SITE CLEARING

## PART 1 GENERAL

## **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Division 31, Section 312000 "Earth Moving" for soil materials, excavating, backfilling, and site grading.

## 1.2 SUMMARY

- A. Section Includes:
  - 1. Protecting existing vegetation to remain.
  - 2. Removing existing vegetation.
  - 3. Clearing and grubbing.
  - 4. Stripping and stockpiling topsoil.
  - 5. Removing above- and below-grade site improvements.
  - 6. Temporary erosion- and sedimentation-control measures.

## **1.3 DEFINITIONS**

- A. Subsoil: All soil beneath the topsoil layer of the soil profile and typified by the lack of organic matter and soil organisms.
- B. Surface Soil: Soil that is present at the top layer of the existing soil profile at the Project site. In undisturbed areas, the surface soil is typically topsoil; but in disturbed areas such as urban environments, the surface soil can be subsoil.
- C. Topsoil: Top layer of the soil profile consisting of existing native surface topsoil or existing inplace surface soil and is the zone where plant roots grow.
- D. Vegetation: Trees, shrubs, groundcovers, grass, and other plants.

## **1.4 MATERIAL OWNERSHIP**

A. Except for stripped topsoil and other materials indicated to be stockpiled or otherwise remain Owner's property, cleared materials shall become Contractor's property and shall be removed from Project site.

# 1.5 SUBMITTALS

- A. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
  - 1. Use sufficiently detailed photographs or videotape.
  - 2. Include plans and notations to indicate specific wounds and damage conditions of each tree or other plants designated to remain.
- B. Record Drawings: Identifying and accurately showing locations of capped utilities and other subsurface structural, electrical, and mechanical conditions.
- C. Product Data: For each type of the following manufactured products required:
  - 1. Geotextiles, filter fabrics, inlet protection, and silt fence.

## SITE CLEARING

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### **1.6 QUALITY ASSURANCE**

- A. Preinstallation Conference: Conduct conference at Project site.
- B. Tree Removals: Before any trees or shrubs are removed, the Contractor shall arrange a conference on-site with the Owner's Designated Representative to identify trees and shrubs which are to be removed, as well as those which are to be protected. No cutting or removal shall commence without a clear understanding of the existing trees to be preserved.

# **1.7 PROJECT CONDITIONS**

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during site-clearing operations.
  - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
  - 2. Provide alternate routes around closed or obstructed traffic ways if required by Owner or authorities having jurisdiction.
- B. Salvable Improvements: Carefully remove items indicated to be salvaged and store on Owner's premises.
- C. Utility Locator Service: Notify Dig Safely New York (1-800-962-7962) and the proper local authorities or respective utility company having jurisdiction for area where Project is located before site clearing.
- D. Do not commence site clearing operations until temporary erosion- and sedimentation- control and protection fencing measures are in place.
- E. The following practices are prohibited within protection zones:
  - 1. Storage of construction materials, debris, or excavated material.
  - 2. Parking vehicles or equipment.
  - 3. Erection of sheds or structures.
  - 4. Impoundment of water.
  - 5. Excavation or other digging unless otherwise indicated.
- F. Prohibit heat sources, flames, ignition sources, and smoking within or near protection zones.
- G. Soil Stripping, Handling, and Stockpiling: Perform only when the topsoil is dry or slightly moist.

### **PART 2 - PRODUCTS**

### 2.1 MATERIALS

- A. Satisfactory Soil Material: Requirements for
  - satisfactory soil material are specified in Section 312000 "Earth Moving." 1. Obtain approved borrow soil material off-site when satisfactory soil material is not
  - available on-site.
- B. Silt Fence: Silt fence fabric shall be on the NYSDOT Approved Material List for geotextiles, approved for use with silt fence, unsupported with 1.2m spacing. Stakes shall be 2-inch square x 36-inch long hardwood stakes.
- C. Inlet protection: Provide Silt Sack Drop Inlet Protection for paved areas and Filter Fabric Inlet Projection for non-paved areas as detailed on the Construction Drawings.
  - 1. Projection Filter Fabric shall have an equivalent opening size of 40-85.

SITE CLEARING

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- 2. Stakes shall be 2"x4"x3' minimum length composed of wood or metal and placed as detailed on Construction Drawings.
- 3. Silt Sack Drop Inlet shall be rated for 200 gpm/sqft with a removal efficiency of 82% when filtering a USDA Sandy Loam sediment load and manufactured to fit the opening of the catch basin or drop inlet. Drop inlet shall be comprised of a corrosion resistant steel frame and replaceable woven polypropylene geotextile filter bag. Silt sack fabric shall conform to following properties:
- 4. Grab Tensile: 237x294 pounds; ASTM D 4632.
- 5. Grab Elongation:
- 9x24 percent; ASTM D 4632

6. Puncture:

7.

- 716 pounds; ASTM D 4533
- Trapezoidal Tear: 79x76 pounds; ASTM D 4533
- U.V. Resistance (Strength Retained): 99 percent; ASTM D 4355 Apparent Opening Size: 20 U.S. Sieve Number; ASTM D 4751 Permittivity: 3.396 sec.-1; ASTM D 4491.
- 9. Flow Rate: 100.6 gallons per minute/S.F.; ASTM D 4491
- D. Protection Fence: Provide 4-foot high, orange polyethylene warning fence with metal posts, to serve as tree protection fence as shown on the Contract Drawings. Fences may not be moved without the specific permission of the Owner's Designated Representative.
- E. Stabilized Construction Entrance and Stabilized Access Drive: Provide as detailed on the Construction Drawings.
  - 1. Stone: 50/50 mixture of NYSDOT Standard Specifications for Item No. 3 and No. 4 stone
  - 2. Geotextile fabric: As specified in Section 312000, Earthwork-Site, installed on subbase.
- F. Erosion Control Blanket (Temporary)
  - 1. Erosion Control Fabric: Provide rolled erosion control product, biodegradable erosion control blanket, designed for use as per NYSDOT Approved Material List for rolled erosion control products, Class 1, Type C (short term).

# **PART 3 - EXECUTION**

# **3.1 PREPARATION**

# **3.2 GENERAL:**

- 1. The Contract Limit Line (Limit of Work) is to be strictly adhered to.
- 2. Demolish indicated structures and appurtenances in an orderly and careful manner.
- 3. Cease operations and notify the Owners Designated Representative immediately if adjacent structures appear to be endangered. Do not resume operations until corrective measures have been taken.
- B. Perimeter Security Fencing: Placement of fencing as indicated on the Contract Documents. Relocation of fencing as approved by Owners Designated Representative.
- C. Contractor shall implement erosion control measures as shown on the plans and as job conditions dictate. Intent is to minimize erosion and pollutants at the source, capture sediment at regular intervals and prevent sediment intrusion into storm sewer pipes, structures, and waterways. Work includes, but is not limited to, mulching, temporary silt fences, filter fabric, expeditious grading, prompt turf establishment, sediment dikes, and maintenance of same.

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#### SITE CLEARING

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- D. The Contractor shall initiate stabilization measures as soon as practicable in portions of the site where construction activities have temporarily or permanently ceased, but in no case more than 14 days after the construction activity in that portion of the site has temporarily or permanently ceased. If disturbed soils surfaces are to be left exposed for a period of greater than 14 days, stabilize the soil with temporary seeding and/or mulch to limit erosion. Where the initiation of stabilization measures by the 14th day after construction activity temporarily or permanently ceased is precluded by snow cover or frozen ground conditions, stabilization measures shall be initiated as soon as practicable. The onset of seasonally adverse weather is not intended as an excuse for not implementing the necessary erosion controls. The Contrac- tor shall use foresight in his activities to only disturb areas that he can stabilize before adverse weather conditions prevail. The Contractor is encouraged to schedule his work such that final land surface restoration closely follows initial disturbance to the maximum extent possible in order to limit bare soil exposure and dependence on the temporary systems discussed above.
- E. Protect and maintain benchmarks and survey control points from disturbance during construction.
- F. Protect existing site improvements to remain from damage during construction.1. Restore damaged improvements to their original condition, as acceptable to Owner.

### 3.3 TEMPORARY EROSION AND SEDIMENTATION CONTROL

- A. Provide temporary erosion- and sedimentation-control measures to prevent soil erosion and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways, according to erosion- and sedimentation-control Drawings, and requirements of authorities having jurisdiction.
- B. Provide Stabilized Construction Entrances at all locations where construction traffic leaving the site presents the potential for sediment track-out. Remove accumulated sediment and install new stone as required to prevent track-out.
- C. Surrounding roads shall always be kept clean of dirt and debris. Contractor shall utilize a mechanical means of sweeping road daily or as necessary to keep public roads free of soil, tracking or debris.
- D. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross protection zones.
- E. Inspect, maintain, and repair erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
- F. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.
- G. Filter fabric drop inlet protection installation (non-paved areas):
  - 1. Cut fabric from a continuous roll to eliminate joints. If joints are needed, they will be overlapped to the next stake.
  - 2. Space stakes evenly around inlet 3 feet apart and drive a minimum 18" deep spans greater than 3 feet may be bridged with the use of wire mesh behind the filler fabric for support.
  - 3. Fabric shall be embedded 1-foot minimum below ground and backfilled. It shall be securely fastened to the stakes and frame.
  - 4. A 2" x 4" wood frame shall be completed around the crest of the fabric for over flow stability.

### SITE CLEARING

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### **3.4 PROTECTION FENCING**

A. General: Protect areas depicted on the Contract Drawings. Repair or replace grassed areas to remain that are damaged by construction operations, in a manner approved by the Owner's Designated Representative.

# 3.5 EXISTING UTILITIES

- A. Locate, identify, disconnect, and seal or cap utilities indicated to be removed.
  1. Arrange with utility companies to shut off indicated utilities.
- B. Locate, identify, and disconnect utilities indicated to be abandoned in place. Plug ends of pipes with silt tight caps.
- C. Mark location of disconnected utilities. Identify and indicate capping locations of remaining utilities on Project Record Documents.
- D. Interrupting Existing Utilities: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
  - 1. Notify Owner's Designated Representative not less than three days in advance of proposed utility interruptions.
  - 2. Do not proceed with utility interruptions without Owner's Designated Representatives written permission.
- E. Excavate for and remove underground utilities indicated to be removed.

## 3.6 CLEARING AND GRUBBING

- A. Remove obstructions, trees, shrubs, and other vegetation to permit installation of new construction.
  - 1. Do not remove trees, shrubs, and other vegetation indicated to remain or to be relocated.
- B. Fill depressions caused by clearing and grubbing operations with satisfactory soil material unless further excavation or earthwork is indicated.
  - 1. Place fill material in horizontal layers not exceeding a loose depth of 8 inches and compact each layer to a density equal to adjacent original ground.

### **3.7 TOPSOIL STRIPPING**

- A. Remove sod and grass before stripping topsoil.
- B. Stockpile topsoil away from edge of excavations without intermixing with subsoil. Grade and shape stockpiles to drain surface water. Cover to prevent windblown dust and erosion by water.
  - 1. Limit height of topsoil stockpiles to 72 inches.
  - 2. Do not stockpile topsoil within protection zones.
  - 3. Dispose of surplus topsoil subject to the approval of the Owner's Designated Representative. Surplus topsoil is that which exceeds quantity indicated to be stockpiled or reused.
- C. Topsoil that meets the requirements of these specifications may be used for new landscaped areas:
  - 1. Strip topsoil to whatever depths encountered in a manner to prevent intermingling with underlying subsoil or other objectionable material. Remove heavy growths of grass from areas before stripping.

- 2. Where existing trees are indicated to remain, leave existing topsoil in place within drip lines to prevent damage to root system.
- 3. Stockpile topsoil in storage piles in areas indicated or directed. Grade and shape stockpiles to drain surface water. Cover to prevent windblown dust and erosion. Do not stockpile topsoil within protection zones. Limit stockpiles to 72 inches high.
- 4. Dispose of unsuitable or excess topsoil as specified for disposal of waste material only after approval of the Owners Designated Representative.
- 5. Some quantity of topsoil may be available on site. Contractor shall augment on site supply as necessary to meet the specified requirements for installation of topsoil without additional cost to the Owner.

## 3.8 INSTALLATION OF EROSION CONTROL BLANKET

- A. Before placing erosion control blanket, the Contractor shall certify that the subgrade has been properly compacted, graded smooth, has no depressions, voids, soft or uncompacted areas, is free from obstructions such as tree roots, protruding stones or other foreign matter, and is seeded and fertilized according to project specifications. The Contractor shall not proceed until all unsatisfactory conditions have been remedied. By beginning construction, the Contractor signifies that the preceding work is in conformance with this specification.
- B. Contractor shall fine grade the subgrade by hand dressing where necessary to remove local deviations.
- C. No vehicular traffic shall be permitted directly on the erosion control blanket.
- D. Erosion control blanket shall be installed as directed by the Owners Designated Representative in accordance with Manufacturer's Installation Guidelines, Staple Pattern Guides, and Contract Documents. The extent of erosion control blanket shall be as shown on the project drawings.
- E. Erosion control blanket shall be orientated in vertical strips and anchored with staples, as identified in the Staple Pattern Guide. Adjacent strips shall be abutted or overlapped to allow for installation of a common row of staples that anchor through the nettings of both blankets. Horizontal joints between erosion control blankets shall be sufficiently overlapped with the uphill end on top for a common row of staples so that the staples anchor through the nettings of both blankets.
- F. Where exposed to overland sheet flow, a trench shall be located at the uphill termination. Erosion control blanket shall be stapled to the bottom of the trench. The trench shall be backfilled and compacted. Where feasible, the uphill end of the blanket shall be extended three feet over the crest of the slope.

### **3.9 SITE IMPROVEMENTS**

- A. Remove existing above- and below-grade improvements as indicated and necessary to facilitate new construction.
- B. Remove slabs, paving, curbs, gutters, and aggregate base as indicated.
  - 1. Unless existing full-depth joints coincide with line of demolition, neatly saw-cut along line of existing pavement to remain before removing adjacent existing pavement. Saw- cut faces vertically.
  - 2. Paint cut ends of steel reinforcement in concrete to remain with two coats of antirust coating, following coating manufacturer's written instructions. Keep paint off surfaces that will remain exposed.

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### SITE CLEARING

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#### 3.10 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus soil material, unsuitable topsoil, obstructions, demolished materials, and waste materials including trash and debris, and legally dispose of them off Owner's property.
- B. Burning on Owner's Property: Burning is not permitted on Owner's property.

#### 3.11 FINAL STABILIZATION

A. Final stabilization is defined as all soil disturbing activities at the site have been completed, and that a uniform perennial vegetative cover with a density of at least 80% over the entire pervious surface has been established or equivalent stabilization measures (such as the use of mulches or geotextiles) have been employed on all unpaved areas and areas not covered by permanent structures.

## 3.12 REMOVAL OF TEMPORARY EROSION AND SEDIMENT CONTROL MEASURES

A. Remove erosion control devices when final stabilization has occurred for the respective areas of the site and are no longer needed.

### END OF SECTION 311000

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### SITE EARTHWORK

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#### SECTION 312000 SITE EARTHWORK

### PART 1- GENERAL

## 1.1 SECTION INCLUDES

- A. This Section includes furnishing all labor, materials, equipment and services required to complete and make fully functional, the work indicated on the Contract Drawings and as described in the Contract Documents. This Section includes, but is not limited to the following:
  - 1. Trench excavation material and labor
  - 2. Protection of adjacent work
  - 3. Backfilling with approved native or imported materials.
  - 4. Barricades and protection devices
  - 5. Storage and excavated materials
  - 6. Dewatering
  - 7. Placement and compaction
  - 8. Field quality control
  - 9. Disposal of unused materials
  - 10. Site grading
  - 11. Sub-base preparation and base course for paving.
  - 12. Geotextiles and filter fabrics
  - 13. Surface restoration
  - 14. Maintenance

# **1.2 REFERENCE STANDARDS**

- A. ASTM Ameican Society for Testing and Materials; Current.
- B. ASTM D2487 Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System); 2017, with Editorial Revision (2020).
- C. ASTM D4751 Standard Test Methods for Determining Apparent Opening Size of a Geotextile; 2021a.
- D. ASTM D6241 Standard Test Method for Static Puncture Strength of Geotextiles and Geotextile- Related Products Using a 50-mm Probe; 2014.
- E. ASTM D6938 Standard Test Methods for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth); 2023.

# **1.3 RELATED DOCUMENTS**

A. Erosion control items are specified in Division 31 Specification, Section 311000, Site Clearing.

# 1.4 SUBMITTALS

A. General: Submit manufacturers/suppliers' information for all the items included in this specification in accordance with Conditions of Contract and Division 1 Specification Sections:

### **1.5 DEFINITIONS**

A. Excavation consists of removal of material encountered to subgrade elevations indicated and subsequent disposal of materials removed.

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- B. Unauthorized excavation consists of removal of materials beyond indicated subgrade elevations or dimensions without specific direction of Owners Designated Representative. Unauthorized excavation, as well as remedial work directed by Owners Designated Representative, shall be at Contractor's expense.
- C. Backfill and compact unauthorized excavations as specified for authorized excavations of same classification, unless otherwise directed by Owners Designated Representative.
- D. Additional Excavation: When excavation has reached required subgrade elevations, notify the Owners Designated Representative, who will make inspection of conditions. If Owners
  - 1. Designated Representative determines that bearing materials at required subgrade elevations are unsuitable, continue excavation until suitable bearing materials are encountered and replace excavated material as directed by Owners Designated Representative.
- E. Subgrade: Undisturbed/unexcavated earth or compacted soil layer immediately below subbase, drainage fill, or topsoil materials.
- F. Structure: Buildings, foundations, slabs, tanks, curbs or other manmade stationary features occurring above or below ground surface.
- G. Subbase: Compacted material of required type and thickness as detailed specifically for this project and located immediately above subgrade in roadways, sidewalks, etc.
- H. Structural Fill: Site sands which are cleansed of any organic or deleterious materials and brought to near their optimum moisture content and also imported bank-run sand or sand and gravel which conforms to limits of gradation specified.

### 1.6 QUALITY ASSURANCE

- A. Codes and Standards: Perform excavation work in compliance with applicable requirements of authorities having jurisdiction.
- B. Standard Specifications: Unless otherwise noted, follow the standards for Construction and Materials (by the New York State Department of Transportation's NYSDOT Office of Engineering most recent edition).

### **1.7 COORDINATION AND PROJECT CONDITIONS**

- A. Notify Dig Safely New York (1-800-962-7962) and the proper local authorities or respective utilities companies having jurisdiction for area where Project is located before beginning earth moving operations.
- B. In addition to Dig Safely New York notifications, the Contractor shall retain the services of a utility locating service that shall be responsible for locating and documenting all underground utilities within the limits of construction. Utility locations shall include their horizontal and vertical location. Utilities shall be located via test pits, ground penetrating radar, ground fault and short detection, and time domain reflection methods. Construction shall not commence until all utilities within the limits of construction have been identified and documented.
- C. If utilities are indicated to remain in place, provide adequate means of support and protection during earthwork operations.
- D. Should uncharted, or incorrectly charted, piping or other utilities be encountered during excavation, consult Owners Designated Representative immediately for directions. Cooperate with Owner and utility companies in keeping respective services and facilities in operation.

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- E. The contractor will be responsible for repair to any existing utilities caused by earthwork operations. Repair damaged utilities in conformance to Specifications and to the satisfaction of the Owners Designated Representative.
- F. Do not interrupt existing utilities serving facilities occupied by Owner or others, except when permitted in writing by the Owners and then only after acceptable temporary utility services have been provided.
- G. Provide minimum of 48-hour notice to Owner and receive written notice to proceed before interrupting any utility.
- H. Use of Explosives: Use of explosives is not permitted under this section.
- I. Protection of Persons and Property: Barricade open excavations occurring as part of this work and post with warning lights.
- J. Operate warning lights as recommended by authorities having jurisdiction.
- K. Protect structures, utilities, sidewalks, pavements and other facilities from damage caused by settlement, lateral movement, undermining, washout and other hazards created by earthwork operations.
- L. All site grading from initial stripping activities through final construction shall be performed to assure that drainage is provided at all times. Existing soils will rapidly soften and swell if allowed to saturate through ponding.
- M. Weather Limitations: Contractor shall not perform earthwork operations during frozen subgrade conditions. For aggregate subbase courses, the Contractor shall comply with the temperature restrictions as contained in NYSDOT Specification Section 304 Subbase Course.
- N. Subsurface Information: Soil borings have been taken within the project area. Mapping and logs are included on the Contract Drawings.

## PART 2 – PRODUCT

### 2.1 MATERIALS

- A. Crushed stone at utility trenching, storm structures and drainage stone shall consist of a 50/50 mixture of material conforming to the NYSDOT Standard Specifications for No. 1 and No. 2 Stone (Table 703-4), approved by the Owners Designated Representative.
- B. Granular Sub-base: (for pavement bases) shall consist of materials conforming to the NYSDOT Standard Specifications for Item No. 304.12, Type 2, ASTM 33, approved by the Owners Designated Representative.
  - 1. E. Structural Fill shall consist of bank-run sand or sand and gravel, which conforms to the limits of gradation tabulated below and is free of recycled concrete, asphalt, bricks, glass and pyritic shale rock.
  - 2. Sieve Size Percent Finer
  - 3. 1" 100
  - 4.  $\frac{1}{4}$  30 to 65
  - 5. No. 40 5 to 40
  - 6. No. 200 0 to 15
- C. Geotextile Fabric: Manufacturer's woven geotextile fabric of high tenacity polypropylene yarn, with the following properties as follows:
  - a. Grab Tensile: 315 pounds; ASTM D 4632
  - b. Grab Elongation: 15 percent; ASTM D 4632

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- c. CBR Puncture Strength: 900 pounds; ASTM D6241
- d. Trapezoidal Tear: 113 pounds; ASTM D 4533
- e. U.V. Resistance (Strength Retained): 70 percent; ASTM D 4355 Permittivity: 0.05 sec.-1; ASTM D 4491.
- f. Flow Rate: 4 gallons per minute/s.f.; ASTM D 4491
- D. Geotextile Separation Fabric: Geotextile on the NYSDOT Approved Material list for application of separation, with the following properties:
  - a. Grab Tensile: 160 pounds; ASTM D 4632.
  - b. Grab Elongation: 50 percent; ASTM D 4632.
  - c. Puncture: 410 pounds; ASTM D6241.
  - d. Trapezoidal Tear:
  - e. U.V. Resistance (Strength Retained): Apparent Opening Size: Permittivity:
  - f. Flow Rate:

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- 1) 60 pounds; ASTM D 4533.
- 2) 70 percent ASTM D 4355
- 3) 2.76 inch. U.S. Sieve Number, ASTM D4751.
- 4) 1.5 sec.-1; ASTM D 4491.
- 5) 110 gallons per minute/s.f.; ASTM D 4491.
- E. Satisfactory soil materials are defined as those complying with ASTM D2487 soil classification groups GW, GP, GM, SM, SW and SP with less than 10% passing No. 200 sieve.
- F. Unsatisfactory soil materials are defined as those complying with ASTM D2487 soil classifications groups GC, SC, ML, MH, CL, CH, OL, OH and PT.
- G. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep, colored as follows:
  - 1. Red: Electric
  - 2. Yellow: Gas, oil, steam, and dangerous materials.
  - 3. Orange: Telephone and other communications.
  - 4. Blue: Water systems.
  - 5. Green: Sewer systems.

### **PART 3 – EXECUTION**

### **3.1 PREPARATION**

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earthwork operations.
- B. Protect subgrades and prepare soils against freezing temperatures or frost. Provide protective insulating materials as necessary.
- C. All existing storm structures in the vicinity of the work are to receive inlet sediment protection until the ground surface is stabilized.

## 3.2 STABILITY OF EXCAVATIONS

- A. General: Comply with applicable codes, ordinances and requirements of agencies having jurisdiction and in accord with 29 CFR Part 1926 of the Occupational Safety and Health Standards Excavations, Final Rule.
- B. Slope sides of excavations to comply with local codes, ordinances and requirements of agencies having jurisdiction including O.S.H.A. Shore and brace where sloping is not possible because of space restrictions or stability of material excavated. Maintain sides and slopes of excavations in safe condition until completion of backfilling.
- C. Shoring and Bracing: Provide materials for shoring and bracing, such as sheet piling, uprights, stringers and cross braces, in good serviceable condition. Provide and install as required to insure safety of persons and property and in compliance with all local, State and Federal regulations.
- D. Trenches may be widened to provide stable slopes in lieu of sheeting; however, this will not change specified pay limits. Remove sheeting as backfill progresses when safe from collapse.

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E. Excavations shall also be in accordance with recommendations of the Geotechnical Evaluation Report.

### 3.3 DEWATERING

- A. Prevent surface water and subsurface or groundwater from flowing into excavations and from flooding project site and surrounding area.
- B. Establish and maintain temporary drainage ditches and other diversions outside excavation limits to convey rainwater and water removed from excavations to collecting or runoff areas. Do not use trench excavations as temporary drainage ditches.
- C. Excavation shall be maintained in dry condition and no foundation materials, pipe or concrete shall be placed in water. Dewatering shall be done in approved manner, such that subgrade can be trimmed, foundation materials, pipe or concrete placed dry, without disturbing bearing materials and water from excavation shall be disposed of so that it will cause no injury to property or inconvenience to public.
- D. Care should be taken to shut down dewatering equipment slowly to avoid uplift and softening of materials supporting pipe, appurtenances and foundations.
- E. All excavated subgrades shall be crowned and sloped to direct water to their periphery.

## 3.4 STORAGE OF EXCAVATED MATERIALS

- A. Stockpile excavated materials acceptable for backfill and fill where directed. Place, grade and shape stockpiles for proper drainage.
- B. Locate and retain soil materials away from edge of excavations. Do not store within drip line of trees indicated to remain.
- C. Dispose of excess excavated soil material and other excavated materials in a legal manner. Remove and dispose of unsuitable backfill or fill materials when determined by Owners Designated Representative as not acceptable for use as backfill or fill.
- D. Provide proper erosion and sediment controls to contain.

# 3.5 EXCAVATION FOR WALKS, DRIVES, AND PAVEMENTS

A. Excavate trenches or areas to uniform width down to virgin soil, sufficiently wide to provide ample working room. Remove all existing material and debris, including organics.

### 3.6 TRENCH EXCAVATION FOR PIPES AND CONDUITS

- A. Excavate trenches to uniform width, sufficiently wide to provide ample working room. Minimum width to be pipe and conduit diameter plus one foot.
- B. Excavate trenches to depth required to establish indicated slope and invert elevations and to support bottom of pipe and conduit on undisturbed soil. Excavate trenches to allow installation of top of pipe and conduit below frost line or as detailed on the Contract Drawings.
- C. Shape bottom of trench to fit bottom of pipe for ninety degrees, i.e., bottom 1/4 of pipe circumference. Fill depressions with tamped sand backfill. At each pipe joint, dig bell holes to relieve pipe bell of loads to ensure continuous bearing of pipe barrel on bearing surface.
- D. New trenching will not be permitted when earlier trenches need backfilling or labor is needed to restore surfaces of areas to a safe and proper condition.

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### **3.7 COLD WEATHER PROTECTION**

A. Protect excavation bottoms and sides against freezing when atmospheric temperature is less than thirty-five degrees (350) Fahrenheit.

### 3.8 BACKFILL AND FILL

- A. Prior to placing fills or base materials beneath pavement areas, the subgrade surface should be proofrolled compacted using a steel drum roller with a static weight of at least 40,000 lbs with at least 4 passes in each of the two perpendicular directions. The Owners Designated Representative shall observe the proof rolling of the material.
- B. Reconstruct subgrades damaged by freezing temperatures, frost, rain, accumulated water, or construction activities, as directed by the Owners Designated Representative, without additional compensation.
- C. Fill in landscape areas shall be placed in lifts not more than twelve inches (12") compacted thickness or as directed by the Owners Designated Representative.
- D. Lawn Areas: fill materials shall consist of satisfactory soil materials as specified.
- E. Pipe bedding shall be as detailed on the Construction Drawings and shall be compacted to minimum 95 percent of Modified Proctor Density (((ASTM D1557))) using minimum of six passes of mechanical tamper.
- F. Trench Backfill: In all pipe trenches suitable selected material shall be filled in around pipe and to height as per Construction Drawings. This fill shall be brought up evenly on both sides of pipe in 12" lifts. Each layer shall be tamped and thoroughly consolidated to provide proper support and bearing for pipe and so as not to disturb line and grade of pipe.
- G. Pipe Crossings: Where new storm and sanitary sewers cross each other or water lines, the trench shall be excavated down to the previously laid pipe and backfilled with compacted crushed stone to insure adequate support.
- H. Backfill excavations as promptly as work permits, but not until completion of the following:
  - 1. Acceptance of construction below finish grade.
  - 2. Removal of shoring/bracing and backfilling of voids with satisfactory materials. Cut off temporary sheet piling driven below bottom of structures. Remove in manner to prevent settlement of structure or utilities or leave in place if required.
  - 3. Removal of trash and debris from excavation.

# 3.9 PLACEMENT AND COMPACTION

- A. General: Backfill material shall be placed and compacted in loose lifts per dimensions listed above.
  - 1. In pavement and curb areas, each lift of backfill material shall be thoroughly compacted with minimum of six (6) overlapping passes of approved mechanical rolling, or tamping equipment to a minimum of 95 percent of its maximum Modified Proctor Density (((ASTM D1557))).
  - 2. In general lawn areas and other areas not covered above, each lift of backfill material shall be thoroughly compacted to between 80-85 percent of its maximum Modified Proctor Density (((ASTM D1557))).

## **3.10 FIELD QUALITY CONTROL – BY OWNERS DESIGNATED REPRESENTATIVE**

- 1. Quality Control Testing During Construction: Allow testing service to inspect, test, and approve each subgrade and fill layer before further backfill or construction work is performed. Contractor shall coordinate his work with Owner Designated Representative sufficiently in advance to schedule testing services.
- 2. Testing agency shall perform field density tests in accordance with ASTM D6938 (Nuclear) for Soils and Materials, and ASTM D 3017 (Nuclear) for moisture content as applicable. Tests will be performed at the following locations and frequencies:

#### 3. Subgrade:

- a. Paved Areas: At subgrade and at each compacted backfill layer (lift), perform at least one field density test of subgrade for every 2000 sq feet of concrete pavement area, but in no case fewer than three (3) tests. Perform no less than one field density test for every 2,500 sq feet of asphalt pavement area, but in no case fewer than three (3) tests.
- b. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 150 feet or less of trench length, but no fewer than two tests.
- 4. Subbase:
  - a. Paved Areas: At each compacted subbase layer (lift), perform at least one field density test of subgrade for every 2000 sq feet of concrete pavement area, but in no case fewer than three (3) tests. Perform no less than one field density test for every 2,500 sq feet of asphalt pavement area, but in no case fewer than three (3) tests.
- 5. If, in the opinion of the Owners Designated Representative, based on testing service reports and inspection, subgrade or fills that have been placed are below specified density, perform additional compaction and testing until specified density is obtained, at no additional cost to Owner.
- 6. Contractor and Owner shall develop a testing schedule and Contractor shall insure coordination to ensure testing lab is available on-site at the times necessary for work to be performed.

# 3.11 GRADING

- A. General: Uniformly grade areas within limits of grading under this section including adjacent transition areas. Smooth finish surface within specified tolerances, compact with uniform levels or slopes between such points and existing grades or points where elevations are indicated.
- B. Grading Outside Building Lines: Grade areas adjacent to building lines to drain away from structures and to prevent ponding. Finish surfaces free from irregular surface changes and as follows:
- C. Lawn or Unpaved Areas: Finish areas to receive 4 inches minimum topsoil to within not more than 0.10 foot above or below required subgrade elevations. On-site sources to be supplemented with off site sources as necessary to meet requirements of this specification.
- D. Pavements: Shape surface of areas under pavement to line, grade, and cross-section, with finish surface not more than ½ inch above or below required subgrade elevation.
- E. Compaction: After grading, compact subgrade surfaces to the depth and indicated percentage of maximum or relative density for each area classification.

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### 3.12 PAVEMENT SUBBASE COURSE

- A. General: Subbase course consists of placing subbase material, gravel, in layers of specified thickness, over approved proof-rolled subgrade surface. Compacted subgrade to extend minimum 4 inches beyond subbase course. Subbase course to extend minimum 4 inches beyond pavement edge where no curb is present.
- B. Grade Control: During construction, maintain lines and grades including crown and crossslope of pavement wearing course.
- C. Placing: Place pavement subbase course material on prepared subgrade thickness. Maintain optimum moisture content for compacting subbase material during placement operations.
- D. When compacted pavement subbase course is indicated to be six inches (6") thick or less, place material in single layer. When indicated to be more than six inches (6") thick, place material in equal layers, except no single layer more than six inches (6") or less than three inches (3") in thickness when compacted.

# 3.13 SURFACE RESTORATION

A. Where details of surface restoration for lawns, pavement, etc., are not shown on plans or specified elsewhere, all surfaces shall be restored to original condition.

#### 3.14 EROSION CONTROL

A. Provide erosion control methods in accordance with requirements of the authorities having jurisdiction or as shown on plans and specifications to prevent erosion and sedimentation during earthwork operations.

### 3.15 MAINTENANCE

- A. Protect newly graded areas from traffic and erosion. Keep free of trash and debris.
- B. Settling: Where settling is measurable or observable at excavated areas during general project repair and re-establish grades in settled, eroded and rutted areas to specified tolerances.
- C. Where completed compacted areas are disturbed by subsequent construction operations or adverse weather, scarify surface, reshape and compact to required density prior to further construction.
- D. Warranty period, remove surface (pavement, lawn or other finish), add backfill material, compact and replace surface treatment of any defective areas found within 1 year from the date of substantial completion. Restore appearance, quality and condition of surface or finish to match adjacent work and eliminate evidence of restoration to greatest extent possible.

### END OF SECTION 312000

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EROSION AND SEDIMENT CONTROL

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### SECTION 312500 EROSION AND SEDIMENT CONTROL

#### PART 1 GENERAL

### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification sections, apply to work of this section.
- B. New York State Standards and Specifications for Erosion and Sediment Control.

### 1.2 WORK OF THIS SECTION

- A. Work covered in this section includes the control of erosion, siltation, and sedimentation pursuant to Section 402 of the Clean Water Act. All costs associated with the temporary or permanent erosion control measures shall be included in the Contractor's bid.
- B. All Contractors and their subcontractors must agree to implement all applicable provisions of the Erosion Control Plans and Stormwater Pollution Prevention Plan (SWPPP) prior to commencement of any construction activity. The SWPPP is appended to this Specification or bound separately as part of the contract documents.

#### **1.3 QUALITY ASSURANCE**

- A. Contractor shall comply with the Erosion Control Plans and Stormwater Pollution Prevention Plan prepared for the site. All workers responsible for site work activities shall be familiar with these Plans.
- B. Contractor shall designate one individual responsible for implementing and maintaining sitewide erosion and sediment control measures who shall be thoroughly familiar with the types of materials being installed and the best methods for their installation. This individual shall conduct daily inspections of erosion and sediment control measures.
- C. Clear only what is required for immediate construction activities. Disturbed areas of the site that will not be re-disturbed for 21 days or more must be stabilized by the 14th day following the last disturbance.
- D. Upstream storm water runoff should be diverted away from disturbed areas. Contractor shall provide and maintain temporary erosion and sediment control measures, such as berms, dikes, slope drains, silt stops, and sedimentation basins, until permanent drainage facilities and erosion control features have been completed and are operative.
- E. The limits of cleared areas shall be physically delineated to protect areas designated as undisturbed.
- F. Take every reasonable precaution and do whatever is necessary to avoid erosion and to prevent silting of rivers, streams, impoundments, and drainage ditches, swales or any off-site water body.
- G. Continue erosion control measures until the permanent measures have been sufficiently established and are capable of controlling erosion on their own.

H. The control of dust, erosion and sediment originating from construction operations is considered a critical responsibility ty of the Contractor. The Owner's Representative will be the final judge of the adequacy of the Contractor's dust, erosion and sedimentation control. The Owner's Representative may suspend work until adequate dust, erosion and sedimentation control is attained. The Contractor shall bear the costs of repair work and restoration of damaged items.

### **PART 1 PRODUCTS**

### 2.1 MULCHING

- A. Hay and straw mulches shall be air-dried mowings (<15% moisture content) of acceptable herbaceous growth reasonably free from swamp grass, weeds, twigs, debris, and other deleterious material, and free from rot, mold, primary noxious weed seeds, and rough or woody materials. Mulches containing mature seed of species which would volunteer and be detrimental to the permanent seeding, or would result in overseeding, or would produce growth which is aesthetically unpleasing, are not permitted. Materials may be baled, however, loose or broken bales are not acceptable.
- B. Temporary Type Mulch Nets: Lightweight, extruded photodegradable netting, with approximate openings of 1<sup>1</sup>/<sub>2</sub>" x <sup>3</sup>/<sub>4</sub>", with manufacturer recommended staples or anchoring method.
- C. Wood fiber mulch with tackifier (Terra Tack). Apply wood fibers at the rate of 500 lbs./acre and tackifier at the rate of 40-45 gallons/acre.
- D. Hardwood Stakes: Stakes shall be new hardwood, 1<sup>1</sup>/<sub>2</sub>" x 1<sup>1</sup>/<sub>2</sub>", minimum 3 feet long.

## 2.2 MATTING/BLANKETS

- A. Jute Matting: Undyed and unbleached jute yarn woven into a uniform open, plain weave mesh, furnished in rolled strip, with 78 warp ends per yard width of cloth, 41 weft ends per linear yard, weighing approximately 0.9 pounds per square yard of fabric.
- B. Erosion Control Blanket: Blanket shall be machine-produced 100% biodegradable consisting of a 70% agricultural straw / 30% coconut fiber blend having a functional longevity of 18 months. Blanket shall covered top and bottom sides with 100% biodegradable woven natural organic fiber netting, with an approximate mesh of 0.5 x 1 inch. Blanket mesh and netting shall be sewn together on 1.5-inch centers.
- C. Staples: As specified by the manufacturer of the blanket/matting, constituting a complete system.

## 2.3 SEED AND SOD FOR EROSION CONTROL

- A. For temporary seeding in spring, summer or early fall, seed the area with ryegrass, (annual or perennial) or approved equal at 30 lbs per acre. For temporary seeding in late fall or early winter, seed the area with Certified winter rye (cereal rye) or approved equal at 100 lbs per acre. Mulch area with hay or straw at 2 tons per acre. Mulch anchoring may be required where wind or areas of concentrated water are a concern.
- B. For permanent seeding on slopes, provide a seed according to the following or as shown on the Contract Drawings
  - 1. Erosion control areas are to be seeded at a rate of: 50 pounds per acre with a mix consisting of 70% Ernst Best Strip Mine Mix (ERNMX-101) and 30% Ernst Shaded Roadside Mix (ERNMX-140), as supplied by Ernst Conservation Seeds, or as approved by Owner.

### 2.4 SILT FENCES

A. Prefabricated silt fencing with UV-stabilized geotextile fabric, with hardwood or steel posts, mesh reinforced backing and appropriate fasteners. Fabric shall be 48" minimum width.

### 2.5 STABILIZED CONSTRUCTION ENTRANCE

- A. Material shall be clean, sound, crushed stone of uniform quality.
- B. Geotextile filter cloth (Mirafi 600X, or equal) designed for heavy-duty haul road use.

#### 2.6 TEMPORARY STRUCTURAL MEASURES

- A. Temporary structural measures for erosion control include, but are not limited to, earth dikes, temporary swales, perimeter swales, rip rap outlet protection, sediment traps, and sediment basins.
- B. Each measure shall be designed in accordance with New York State Guidelines for Urban Erosion and Sediment Control, as well as New York State Standards and Specifications for Erosion and Sediment Control. Materials and construction measures shall be consistent with these measures.

### **PART 1 EXECUTION**

### 3.1 HAY AND STRAW MULCHING

- A. Install hay or straw mulch immediately after each area has been properly prepared. Place at a rate of 2 tons per acre (approximately 100 to 120 bales per acre). Mulching shall be applied to a uniform thickness of 2 to 3 inches (loose, uncompacted) by hand or broadcast. No clumping, matting, bale fragments, or excessive thickness shall be permitted. The intent is to allow 20% to 40% of the ground surface to be seen in a uniform coverage.
- B. Place mulch on seeded areas within 24 hours after seeding.
- C. Where winds may blow the mulch, or when ground slopes exceed 10%, or when otherwise required to maintain the mulch firmly in place. Apply temporary netting, chemical bonding, or other anchoring devices, or use mechanical crimping, punching or rolling, to anchor the mulch. Unless otherwise directed, remove netting or other acceptable anchoring system prior to the acceptance of the work.

### **3.2 MATTING/BLANKETS - GENERAL**

- A. Prepare surfaces of ditches and slopes to conform to the grades, contours and cross sections as shown on the Drawings and finish to a smooth and even condition with all debris, roots, stone, and lumps raked out and removed. Loosen the soil surface to permit bedding of the matting. Unless otherwise noted, seed prior to the placement of the matting.
- B. Unroll matting parallel to the direction of flow of water and loosely drape, without folds or stretching, so that continuous ground contact is maintained.
- C. The ditches and swales, and on slopes, each upslope and each downslope end of each piece of matting shall be placed in a 6" trench, stapled at 12" on center, backfilled, and tamped. Similarly, bury edges of matting along the edges of catch basins and other structures. Owner's Representative may require that any other edge, exposed to more than normal flow of water, be buried in a similar fashion.
- D. Tightly secure matting to the soil by staples driven approximately vertically into the ground, flush with the surface of the matting. In driving the staples, take care not to form depressions or bulges in the surface of the matting.

EROSION AND SEDIMENT CONTROL

E. Decrease the specified spacing of staples when varying factors, such as the season of the year or the amount of water encountered or anticipated, requires additional anchoring.

### 3.3 SEED FOR EROSION CONTROL

- A. Sow seed when soils are moderately dry and when wind does not exceed five miles per hour or as directed by the Owner's Representative.
- B. Areas that will be regraded or otherwise disturbed later during construction may be seeded as directed by the Owner's Representative to obtain temporary control.

### 3.4 SILT FENCES

- A. Provide silt fences, as required, for the temporary control of erosion and to stop silt and sediment from reaching surface waters, adjacent properties, or entering catch basins, or damaging the work.
- B. Erect silt fences and bury bottom edge in accordance with the manufacturer's recommended installation instructions. Provide a sufficient length of fence to accommodate runoff without causing any flooding and to adequately store any silt, sediment, and debris reaching it. Place silt fences along contours so that low areas are minimized.
- C. Maintain and leave silt fences in place until permanent erosion control measures have been established.

### 3.5 STABILIZED CONSTRUCTION ENTRANCES

- A. Stabilized pads of aggregate underlain with filter cloth shall be constructed as shown on the Contract Drawings.
- B. Geotextile fabric shall be placed over the entire area to be covered with aggregate prior to placing of the stone.

# 3.6 TEMPORARY STRUCTURAL MEASURES

- A. Temporary structural measures shall be maintained throughout the duration of the contract or until the drainage area has been properly stabilized as approved by the Owner's Representative.
- B. Temporary sediment traps must provide at least 3,600 cubic feet of storage for every acre of drainage area.
- C. Sediment shall be removed and trap restored to its original dimensions when sediment has accumulated to 1/2 the design depth of the trap.
- D. Removed sediment shall be properly disposed of.
- E. Inspect all erosion control measures following each rainfall event exceeding ½ inch in a 24-hour period. Correct all damage immediately.

### **3.7 MAINTENANCE**

- A. If any staples become loosened or raised, or if any matting becomes loose, torn, or undermined, or if any temporary erosion and sediment control measures are disturbed, repair them immediately.
- B. If the seed is washed out before germination, repair any damage, refertilize, and reseed.
- C. Maintain mulched and matted areas, silt stops, and other temporary control measures until the permanent control measures are established and no further erosion is likely.

EROSION AND SEDIMENT CONTROL

- D. All sediment spilled, dropped, or washed onto the driveway or public right-of-way shall be removed immediately.
- E. Maintain ditches and swales at all times so that they effectively drain. Refill, reshape, and recompact where ruts or erosion occurs.
- F. Maintain areas temporarily seeded including repair of all damages, re-seeding, and refertilizing.
- G. Take special precautions in the use of construction equipment to minimize erosion. Do not leave wheel tracks where erosion might begin. Prevent direct discharge from dewatering pumps and surface runoff from the construction sites to storm sewers, culverts, streams or ditches. Intercept and conduct surface runoff and discharge from dewatering pumps to siltation ponds before discharging to natural drainage channels.

### END OF SECTION 312500

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# SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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#### ASPHALT PAVING

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#### SECTION 321216 ASPHALT PAVING

#### PART 1 GENERAL

### **1.1 RELATED DOCUMENTS**

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. Section Includes:
  - 1. Cold milling of existing asphalt pavement.
  - 2. Hot-mix asphalt patching.
  - 3. Hot-mix asphalt paving.
  - 4. Asphalt surface treatments.
  - 5. Painted markings applied to asphalt pavement.
- B. Related Requirements:
  - 1. Section 312000 "Site-Earthwork" for subgrade preparation, fill material, unbound-aggregate subbase and base courses, and aggregate pavement shoulders.

## **1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of product.
  - 1. Include technical data and tested physical and performance properties.
  - 2. Job-Mix Designs: For each job mix proposed for the Work.

## **1.4 INFORMATIONAL SUBMITTALS**

- A. Qualification Data: For manufacturer.
- B. Material Certificates: For each paving material.

### **1.5 QUALITY ASSURANCE**

- A. Manufacturer Qualifications: A paving-mix manufacturer registered with and approved by NYSDOT.
- B. All final, interim and temporary makings and patterns shall be placed as shown on the plans and in accordance with New York State Manual of Uniform Traffic Control Devices.

### **1.6 FIELD CONDITIONS**

- A. Environmental Limitations: Do not apply asphalt materials if subgrade is wet or excessively damp, if rain is imminent or expected before time required for adequate cure, or if the following conditions are not met:
  - 1. Prime Coat: Minimum surface temperature of 60 deg F.
  - 2. Tack Coat: Minimum surface temperature of 60 deg F.
  - 3. Asphalt Base Course: Minimum surface temperature of 40 deg F and rising at time of placement.
  - 4. Asphalt Surface Course: Minimum surface temperature of 60 deg F at time of placement.
- B. Environmental Limitations (markings): Proceed with pavement marking only on clean, dry surfaces and at a minimum ambient or surface temperature of 50 deg F, and not exceeding 150 deg F.

#### ASPHALT PAVING

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### PART 2 PRODUCTS

#### 2.1 AGGREGATES

- A. General: Use materials and gradations that have performed satisfactorily in previous installations.
- B. Coarse Aggregate: Sound; angular crushed stone or crushed gravel complying with ASTM D692-88 and NYSDOT 401-2.02 Coarse Aggregate Type F3 for top course and Type F9 for binder course.
- C. Fine Aggregate: Material conforming to NYSDOT 401-2.02 of standard specifications.
- D. Mineral Filler: Material conforming to NYSDOT 703-08 of standard specifications.

#### 2.2 ASPHALT MATERIALS

A. Tack Coat: Complying with NYSDOT Standard Specifications table 702-7.

### 2.3 MIXES

- A. Hot-Mix Asphalt: Dense-graded, hot-laid, hot-mix asphalt plant mixes complying with the following requirements:
  - 1. Provide mixes with a history of satisfactory performance in geographical area where Project is located.
  - 2. Top Course: NYSDOT Item 402.097303
  - 3. Binder Course: NYSDOT Item 402.198902

### 2.4 PAVEMENT MARKINGS

- A. Manufacturers: Subject to compliance with requirements, provide pavement marking paints selected from NYSDOT Approved Material List.
- B. Pavement-Marking Paint: Alkyd-resin type, lead and chromate free, ready mixed, complying with AASHTO M248, Type N; color complying with FS TT-P-1952.
  - 1. Color:
    - a. Traffic Arrows: White
    - b. Stop Bar: White
    - c. Car parking spaces: White
    - d. Crosswalk: White
    - e. Traffic lane center line: Yellow
    - f. Accessible parking spaces striping & markings, including aisle striping and symbol: Blue

## PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Verify that subgrade is dry and in suitable condition to begin paving.
- B. Proof-roll subgrade below pavements with heavy pneumatic-tired equipment to identify soft pockets and areas of excess yielding. Do not proof-roll wet or saturated subgrades.
  - 1. Completely proof-roll subgrade in one direction. Limit vehicle speed to 3 mph.
  - 2. Proof roll with a loaded 10-wheel, tandem-axle dump truck weighing not less than 15 tons.
  - 3. Excavate soft spots, unsatisfactory soils, and areas of excessive pumping or rutting, as determined by Architect, and replace with compacted backfill or fill as directed.
- C. Proceed with paving only after unsatisfactory conditions have been corrected.

### ASPHALT PAVING

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### **3.2 SURFACE PREPARATION**

- A. General: Immediately before placing asphalt materials, remove loose and deleterious material from substrate surfaces. Ensure that prepared subgrade is ready to receive paving.
- B. Tack Coat: Apply in accordance with NYSDOT Standard Specifications to contact surfaces of previously constructed asphalt, newly constructed asphalt or Portland cement concrete and surfaces abutting or projecting into hot-mixed asphalt pavement. Distribute at rate according to Table 407-1 of the NYSDOT Standard Specifications.
  - 1. Allow tack coat to cure undisturbed before applying hot-mix asphalt paving.
  - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.
- C. Existing Pavement: Saw-cut edges of existing paving clean and in a straight line prior to placement of new tack coat and asphalt pavement. Cut and remove at least 12 inches in width of existing pavement to ensure firm, clean, tight keyed joints. Apply tack coat to exposed edges of abutting existing pavement prior to the placement of new asphalt concrete pavement.

# 3.3 PLACING HOT-MIX ASPHALT

- A. Machine place hot-mix asphalt on prepared surface, spread uniformly, and strike off. Place asphalt mix by hand in areas inaccessible to equipment in a manner that prevents segregation of mix. Place each course to required grade, cross section, and thickness when compacted.
  - 1. Place hot-mix asphalt base course in number of lifts and thicknesses indicated.
  - 2. Spread mix at a minimum temperature of 250 deg F.
  - 3. Begin applying mix along centerline of crown for crowned sections and on high side of one-way slopes unless otherwise indicated.
  - 4. Regulate paver machine speed to obtain smooth, continuous surface free of pulls and tears in asphalt-paving mat.
- B. Place paving in consecutive strips not less than 10 feet wide unless infill edge strips of a lesser width are required.
  - 1. After first strip has been placed and rolled, place succeeding strips and extend rolling to overlap previous strips. Overlap mix placement about 1 to 1-1/2 inches from strip to strip to ensure proper compaction of mix along longitudinal joints.
  - 2. Complete a section of asphalt base course before placing asphalt surface course.
- C. Promptly correct surface irregularities in paving course behind paver. Use suitable hand tools to remove excess material forming high spots. Fill depressions with hot-mix asphalt to prevent segregation of mix; use suitable hand tools to smooth surface.

# 3.4 COMPACTION

- A. General: Begin compaction as soon as placed hot-mix paving will bear roller weight without excessive displacement. Compact hot-mix paving with hot, hand tampers or with vibratory-plate compactors in areas inaccessible to rollers.
  - 1. Complete compaction before mix temperature cools to 185 deg F.
- B. Breakdown Rolling: Complete breakdown or initial rolling immediately after rolling joints and outside edge. Examine surface immediately after breakdown rolling for indicated crown, grade, and smoothness. Correct laydown and rolling operations to comply with requirements.
- C. Intermediate Rolling: Begin intermediate rolling immediately after breakdown rolling while hot-mix asphalt is still hot enough to achieve specified density. Continue rolling until hot-mix asphalt course has been uniformly compacted to the following density:

- 1. Average Density: 96 percent of reference laboratory density according to ASTM D 6927 or AASHTO T 245, but not less than 94 percent or greater than 100 percent.
- D. Finish Rolling: Finish roll paved surfaces to remove roller marks while hot-mix asphalt is still warm.
- E. Edge Shaping: While surface is being compacted and finished, trim edges of pavement to proper alignment. Bevel edges while asphalt is still hot; compact thoroughly.
- F. Repairs: Remove paved areas that are defective or contaminated with foreign materials and replace with fresh, hot-mix asphalt. Compact by rolling to specified density and surface smoothness.
- G. Protection: After final rolling, do not permit vehicular traffic on pavement until it has cooled and hardened.
- H. Erect barricades to protect paving from traffic until mixture has cooled enough not to become marked.

### 3.5 INSTALLATION TOLERANCES

- A. Pavement Thickness: Compact each course to produce the thickness indicated within the following tolerances:
  - 1. Base Course: Plus or minus 1/2 inch.
  - 2. Surface Course: Plus 1/4 inch, no minus.
- B. Pavement Surface Smoothness: Compact each course to produce a surface smoothness within the following tolerances as determined by using a 10-foot straightedge applied transversely or longitudinally to paved areas:
  - 1. Base Course: 1/4 inch.
  - 2. Surface Course: 1/8 inch.
  - 3. Crowned Surfaces: Test with crowned template centered and at right angle to crown. Maximum allowable variance from template is 1/4 inch.
  - 4. All new paving will drain as shown on grading plan, if standing water is observed following a rainstorm, the areas not draining will be removed, repaired or replaced as directed by the Owners Designated Representative. The costs for such work will be born by the Contractor.

# 3.6 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified testing agency to perform tests and inspections.
- B. Thickness: In-place compacted thickness of hot-mix asphalt courses will be determined according to ASTM D 3549.
- C. Surface Smoothness: Finished surface of each hot-mix asphalt course will be tested for compliance with smoothness tolerances.
- D. Remove and replace or install additional hot-mix asphalt where test results or measurements indicate that it does not comply with specified requirements.

### 3.7 WASTE HANDLING

A. General: Remove waste material from the Project site and legally dispose of them.

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### ASPHALT PAVING

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### **3.8 PAVEMENT MARKINGS**

- A. Do not apply pavement-marking paint until layout, colors, and placement have been verified with Owner's Representative.
- B. Sweep and clean surface to eliminate loose material, dust, and debris. Pavement shall be free from dirt, dust, loose stones, debris, oil and other foreign material, which may be detrimental to the adhesion of the pavement markings.
- C. Apply paint with mechanical equipment to produce uniform straight edges. Apply at manufacturer's recommended rates to provide minimum 15 mils dry thickness (DFT).
- D. Stripes shall have clean-cut edges and be installed as straight and true lines with no deviations in alignment. Symbols shall have clean cut edges and true and smooth curves and tangents.
- E. Apply an additional coat on all pavement markings 5 days after initial application.
- F. Protecting and cleaning:
  - 1. Protect pavement marking from damage and wear during remainder of construction period.
  - 2. Clean spillage and soiling from adjacent construction using cleaning agents and procedures recommended by manufacturer of affected construction.
  - 3. Contractor shall reapply pavement markings if damage occurs as a result of Contractor negligence, failure to protect the work area, and/or the installation does not meet the specifications.

## END OF SECTION 321216

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## SPES - STUDENT DROP OFF LOOP & WATER HEATER REPLACEMENT CONTRACTS 03, 04 & 05

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PORTLAND CEMENT CONCRETE

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## SECTION 321313 PORTLAND CEMENT CONCRETE

### PART 1 GENERAL

## **1.1 RELATED DOCUMENTS:**

A. Drawings and General provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

### **1.2 SUMMARY:**

- A. Extent of Portland cement concrete work is depicted on the Contract Drawings including, but not limited to, the following:
  - 1. Curbs.
  - 2. Walkways.
  - 3. Detectable warning plate curb ramps.
  - 4. Joint materials and sealants.
- B. Prepared subbase is specified in Division 31, Section 312000, "Earthwork-Site".

## **1.3 SUBMITTALS:**

- A. Product Data: Submit data for proprietary materials and items, including reinforcement and forming accessories, admixtures, patching compounds, joint systems including sealants, curing compounds, and other materials referenced in this section.
- B. Shop Drawings: Reinforcement: Submit original shop drawings for the fabrication, bending, and placement of concrete reinforcement. Comply with ACI 315 Manual of Standard Practice for Detailing Reinforced Concrete Structures showing bar schedules, diagrams of bent bars and arrangement of concrete reinforcement. Include special reinforcement required for openings through concrete structures.
- C. Laboratory Test Reports: Submit laboratory test reports for concrete mix design and quality control testing during construction, including the following items:
  - 1. Proportions by weight of all ingredients in mix.
  - 2. Solid volume calculations of all ingredients.
  - 3. Air content (percentage).
  - 4. Mix unit weight (pounds per cubic foot).
  - 5. Water-cement ratios.
  - 6. Trial mix compressive strengths in pounds per square inch at seven (7) and twenty-eight
  - 7. (28) days.
- D. Cold Weather Concrete Placement, Cure Protection Plan and/or Frost Protection Plan.

## **1.4 QUALITY ASSURANCE:**

- A. Codes and Standards: Comply with provisions of following codes, specifications, and standards, except where more stringent requirements are shown or specified:
  - 1. ACI 301 Specifications for Structural Concrete for Buildings.
  - 2. ACI 305R Hot Weather Concreting.
  - 3. ACI 306 Cold Weather Concreting.
  - 4. ACI 309R Guide for Consolidation of Concrete.
  - 5. ACI 318 Building Code Requirements for Reinforced Concrete.
  - 6. ASTM C94 Standard Specifications for Ready-Mixed Concrete.

- 7. ASTM C150 Standard Specification for Portland Cement Concrete.
- 8. ASTM C260 Standard Specifications for Air-Entraining Admixtures for Concrete.
- 9. ASTM C494 Standard Specifications for Chemical Admixtures for Concrete.
- 10. Concrete Reinforcing Steel Institute (CRSI)- Manual of Standard Practice.
- 11. NYSDOT Standard Specification for Construction Materials.
- B. Concrete Testing Service: The Owner will engage an independent testing laboratory/agency to perform material evaluation tests. The Owner's Designated Representative will approve concrete mixes.
- C. Materials and installed work may require testing and retesting at anytime during progress of work. Tests, including retesting of rejected materials for installed work, shall be done at Contractor's expense.
- D. Verification of required design mix: provide verification as per Division 1 Specifications.
- E. Installer Qualifications, General Concrete:
  - 1. The installer shall provide a qualified foreman or supervisor who has a minimum of *ten* years experience with concrete work, and who has successfully completed at least five installations of high quality and similar in scope to that required.
- F. As outlined in the sections below, periodic review of work by the Owner's Designated Representative is required prior to advancement of the work. Inspections include, but are not limited to:
  - 1. Proof rolling of subgrade.
  - 2. Construction of formwork.
  - 3. Placement of reinforcing.
  - 4. Installation of embedded items.

## **1.5 PROJECT CONDITIONS:**

- A. Traffic Control: Maintain access for vehicular and pedestrian traffic and as required for other construction activities. Coordinate all street and sidewalk closings with the municipal Department of Public Works.
- B. Utilize flagmen, barricades, warning signs and warning lights as required.
- C. Coordinate with requirements of Division 1 Specification Sections.
- D. Protect adjacent finish materials against spatter during concrete placement.
- E. Form Coatings: Provide commercial formulation form-coating compounds that will not bond with, stain, nor adversely affect concrete surfaces, and will not impair subsequent treatments of concrete surfaces.
- F. For all work within the street right-of-ways, coordinate street and sidewalk closures and work with the municipal Department of Public Works.

# **PART 2 PRODUCTS**

# 2.1 MATERIALS:

 General: All acceptable products and materials products submitted for use and incorporation into this project must be on the New York State Department of Transportation (NYSDOT) Material Bureau's Approved Lists for Materials and Equipment for use on New York State Department of Transportation Projects, most recent publication date.

#### PORTLAND CEMENT CONCRETE

### 2.2 FORM MATERIALS:

- A. General: Steel, wood, or other suitable material of size, configuration and strength to resist movement during concrete placement and to retain horizontal and vertical alignment until removal. Use straight forms, free of distortion and defects.
  - 1. Use flexible spring steel forms or laminated boards to form radius bends as required.
  - 2. Coat forms with a nonstaining form release agent that will not discolor or deface surface of new concrete.
  - 3. Form Coatings: Provide commercial formulation form-coating compounds that will not bond with, stain, nor adversely affect concrete surfaces, and will not impair subsequent treatments of concrete surfaces.

## 2.3 REINFORCING MATERIALS:

- A. Steel Reinforcing Bars: ASTM A 615, Grade 60, deformed steel.
- B. Steel Welded Wire Fabric: ASTM A 185, welded steel wire fabric, flat sheets only.
- C. Supports for Reinforcement: Bolsters, chairs, spacers, and other devices for spacing, supporting, alignment, and fastening reinforcing bars and welded wire fabric in place. Use galvanized wire bar type supports complying with CRSI specifications.
  - 1. For slabs-on-grade including concrete pavements, use steel reinforcing supports with sand plates or horizontal runners where base material will not support chair legs. The use of concrete bricks, or stone cobbles, rock, soil, non-coated or non-galvanized ferric metals other than specified herein, aluminum metals, dissimilar metals, or similar items to support reinforcement is not acceptable and is strictly prohibited. Submit to the Owners Designated Representative for review method of reinforcing support to be used in work.
- D. Joint Dowel Bars: Plain steel bars, ASTM A 615, Grade 60. Cut bars true to length with ends square and free of burrs.

## 2.4 CONCRETE MATERIALS:

- A. Cement: Portland Cement, ASTM C150, Type I.
- B. Use one brand of cement throughout project, unless otherwise acceptable to the Owners Designated' Representative.
- C. Fly Ash: ASTM C618, Type C or Type F.
- D. Normal Weight Aggregates: ASTM C 33, and as herein specified. Provide sound, non-reactive, well graded aggregates from a single source for exposed concrete.
  - 1. For exterior exposed surfaces, do not use fine or coarse aggregates containing spallingcausing deleterious substances.
  - 2. Local aggregates not complying with ASTM C 33 but which have shown by special test or actual service to produce concrete of adequate strength and durability may be used when acceptable to the Owners Designated Representative; provide copies of certified tests to the Owners Designated Representative for review.
- E. Water (Potable): Clean and free from oil, acid and injurious materials and amounts of vegetable matter, alkalis and salts.
- F. Ready-Mix Concrete: ASTM C94.

### 2.5 ABRASIVE METAL NOSINGS

- A. Cast-Metal Units: Cast aluminum cross hatched units with an integral-abrasive, as-cast finish consisting of aluminum oxide, silicon carbide, or a combination of both. Provide units in lengths necessary to accurately fit openings or conditions.
  - 1. Length shall extend to not more than 4 inches and not less than 3 inches from end of stair tread.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Nystrom
  - 2. American Safety Tread Co., Inc.
  - 3. Wooster Products, Inc.
  - 4. Approved equivalent.
- C. Source Limitations: Obtain units from single source from single manufacturer.
- D. Type: Short Nose, 3 inches wide with 1/4-inch lip and integral anchor for embedment into concrete.
  - 1. Provide taped top to protect unit from concrete during installation.

### **2.6 JOINT MATERIALS:**

- A. Joint Fillers for Exterior Concrete Paving:
  - 1. General: Provide joint fillers of thickness and widths indicated.
  - 2. Preformed Sponge Rubber Joint Filler comprised of preformed strips of complying with ASTM D1752 and Federal Specification HH-F-431F.
- B. Joint Sealants for Exterior Concrete Paving: Provide manufacturer's standard, non-modified, two-part, urethane sealant, complying with ASTM C 920, Type M, Grade P, Class 25, uses T, M, A and, as applicable to joint substrates indicated, O.

## 2.7 CONCRETE ADMIXTURES:

- A. Air-Entraining Admixture: ASTM C 260, certified by manufacturer to be compatible with other required admixtures.
  - 1. Available Products: Subject to compliance with requirements, products, which may be incorporated in the work, include, but are not limited to, the following:
  - 2. "Air-Mix"; Euclid Chemical Co. 19213 Redwood Rd., Cleveland OH 44110
    - a. "Sika Aer"; Sika Corp. 201 Polito Ave. Lyndhurst, NJ 07071
    - b. "MB-VR or MB-AE"; BASF Construction Chemicals 23700 Chagrin Blvd, Cleveland OH 44122
- B. Water-Reducing Admixture: ASTM C 494, Type A, and containing not more than 0.1 percent chloride ions.
  - 1. Available Products: Subject to compliance with requirements, products which may be incorporated in the work include, but are not limited to, the following:
    - a. "WRDA Hycol"; W.R. Grace. 7500 Grace Dr., Columbia, MD 21044
    - b. "Eucon WR-75"; Euclid Chemical Co., 19213 Redwood Rd., Cleveland OH 44110
    - c. "Pozzolith Normal"; BASF Construction Chemicals 23700, Chagrin Blvd., Cleveland OH 44122 or approved equal.
- C. High-Range Water Reducing Admixture (Super Plasticizer): ASTM C 494, Type F or Type G and containing not more than 0.1 percent chloride ions.

- 1. Available products: Subject to compliance with requirements, products, which may be incorporated in the work, include, but are not limited to, the following:
  - a. "WRDA 19" or "Daracem"; W.R. Grace, 7500 Grace Dr., Columbia, MD 21044
  - b. "PSP"; Protex Industries Inc., 1331 West Evans Ave., Denver, CO 80223
  - c. "Super P"; Anti-Hydro., International Inc., 45 River Rd., Flemington, NJ 08822 or approved equal
- D. Water-Reducing, Non-Chloride Accelerator Admixture: ASTM C 494, Type E, and containing not more than 0.1 percent chloride ions.
  - 1. Available products: Subject to compliance with requirements, products, which may be incorporated in the work, include, but are not limited to, the following:
  - 2. "Accdequard 80"; Euclid Chemical Co., 19213 Redwood Rd., Cleveland OH 44110
    - a. "Pozzolith High Early"; BASF Construction Chemicals 23700, Chagrin Blvd., Cleveland OH 44122
    - b. "Sika Set," Sika Corp., 201 Polito and Lyndhurst, NJ 07071 or approved equal
- E. Water-Reducing, Retarding Admixture: ASTM C 494, Type D, and containing not more than 0.1 percent chloride ions.
  - 1. Available Products: Subject to compliance with requirements, products which may be incorporated include, but are not limited to, the following:
    - a. "Edoco 20006a"; Edoco by Dayton Superior, 7777 Washington Village Dr., Dayton, OH 45459
    - b. "Pozzolith Retarder"; BASF Construction Chemicals 23700, Chagrin Blvd., Cleveland, OH 44122
    - c. "Eucon Retarder 75"; Euclid Chemical Co., 19213 Redwood Rd., Cleveland, OH 44110
  - 2. or approved equal
- F. Prohibited Admixtures: Calcium chloride thyocyanates or admixtures containing more than 0.1 percent chloride ions are not permitted.

# **2.8 RELATED MATERIALS:**

- A. Moisture-Retaining Cover: Provide one or more of the following, complying with ASTM C 171.
  - 1. Waterproof paper.
  - 2. Polyethylene film.
  - 3. Polyethylene-coated burlap.
- B. Bonding Compound: Polyvinyl acetate or acrylic base.
  - 1. Available Products: Subject to compliance with requirements, products, which may be incorporated in the work, include, but are not limited to, the following:
    - a. "J-40 Bonding Agent"; Dayton Superior Corp., 7777 Washington Village Dr., Dayton, OH 45459
    - b. "Everbond"; L&M Construction Chemicals., 14851 Calhoun Rd., Omaha, NE 68152
    - c. "SBR Latex," Euclid Chemical Co., 19213 Redwood Rd., Cleveland, OH 44110
    - d. Approved equivalent
- C. Epoxy Adhesive: ASTM C 881, two component material suitable for use on dry or damp surfaces. Provide material "Type", "Grade", and "Class" to suit project requirements.
  - 1. Available Products: Subject to compliance with requirements, products, which may be incorporated in the work, include, but are not limited to, the following:

- a. "Thiopoxy"; W.R. Grace., 7500 Grace Dr., Columbia, MD 21044
- b. "Edoco 2118 Epoxy Adhesive"; Edoco by Dayton Superior, 7777 Washington Village Dr., Dayton, OH 45459
- c. "Sikadur Hi-Mod"; Sika Chemical Corp., 201 Polito Ave., Lyndhurst, NJ 07071
- D. Cure and Seal Compound: Shall be water-based, acrylic polymer, Type I, Class A (nonyellowing) compound complying with the requirements of ASTM C 1315.
  - 1. Available Products: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. "VOCOMP-25", W.R. Meadows, POB 338, Hampshire, IL 60140-0038
    - b. "Super Diamond Clear VOX", Euclide Chemical Co., 19213 Redwood Rd., Cleveland, OH 44110
    - c. "Cure & Seal 25% J22UV"; Dayton Superior, 7777 Washington Village Dr. Dayton, OH 45459

### 2.9 DETECTABLE WARNING PLATE

- A. Detectable Plate
  - 1. Specifications: glass and carbon reinforced composite detectable warning plate with fiberglass reinforced truncated domes. Truncated domes shall be in accordance with the American with Disabilities Act (ADA) Regulations for Detectable Warnings in regard to dome diameter, height and center to center spacing.
  - 2. Finish and Color: integral color uniform throughout plate. Standard manufacturers color: brick red.
  - 3. Sizes to be from manufacturer's standard sizes and shall be sized to fill drop curb as shown on Construction Drawings.
  - 4. Model "Cast-in-Place Inline Dome Pattern Tactile Warning Surface" as manufactured by ADA Solutions, Inc. PO Box 3, North Billerica, MA 01862, telephone 800-372-0519, or approved equal.
    - a. Armor-Tile, 300 International Drive Suite 100 Williamsville, NY 14221, telephone 800-682-2525.
  - 5. Ultratech International, Inc, 11542 Davis Creek Court Jacksonville, FL 32256, telephone 800-764-9563

## 2.10 PROPORTIONING AND DESIGN OF MIXES:

- A. Prepare design mixes for each type and strength of concrete by either laboratory trial batch or field experience methods as specified in ACI 301. If trial batch method used, use an independent testing facility acceptable to the Owners Designated Representative for preparing and reporting proposed mix designs.
- B. Limit use of fly ash to not exceed fifteen (15) percent of cement by weight.
- C. Submit written reports to the Owner's Designated Representative of each proposed mix for each class of concrete at least 20 business days prior to start of work. Do not begin concrete production until mixes have been reviewed and approved by the Owner's Designated Representative.
- D. Design mixes to provide normal weight concrete with the following properties, (Note: Waterto-cement ratios are given in the absence of laboratory trial batch or filed experience) as indicated on the Contract Drawings and schedules:

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- 1. Compressive strength (F'c) = 4,000 pounds minimum per square inch (PSI) 28-day compressive strength; Water-to-Cement (W/C) ratio of 0.44 maximum by absolute weight, unless otherwise noted and based on documented laboratory trial batch data and comprehensive laboratory testing.
- 2. Compressive strength (F'c), below grade athletic and play equipment, site furnishings, and fencing footings and thrust blocks = 3000 pounds minimum per square inch (PSI) at 28-days.
- 3. Minimum cement content = 650 pounds of cement or cement and fly ash combination per cubic yard (#/CY).
- 4. Required aggregate size = 1 inch for 5 inch thick slabs; 3/4 inch for 4 inch thick slabs. This aggregate size shall be used to the greatest extent possible.
- 5. Slump 6 inch maximum, when concrete mix incorporates midrange plasticizers or superplasticizers.
- E. Adjustment to Concrete Mixes: Mix design adjustments may be requested by Contractor when characteristics of materials, job conditions, weather, test results, or other circumstances warrant; at no additional cost to Owner and as accepted by the Owner's Designated Representative. Laboratory test data for revised mix design and strength results must be submitted to and accepted by the Owner's Designated Representative before using in work.
- F. Admixtures: The use of high range water-reducing admixture (superplasticizer) shall be limited to concrete to be placed in heavily reinforced members, narrow frames and pumped concrete. Superplasticizer shall not be used in concrete for slabs-on-grade.
  - 1. Use non-chloride accelerating admixture in concrete slabs placed at ambient temperatures below 50 degrees.
  - 2. Use water reducing admixture to reduce water requirement in the mixed design and to improve workability.
  - 3. Use air-entraining admixture in exterior exposed concrete, unless otherwise indicated. Add air-entraining admixture at manufacturer's prescribed rate to result in concrete at point of placement having total air content with a tolerance of plus-or minus 1.5 percent within following limits:
  - 4. Concrete slabs exposed to freezing and thawing, deicer chemicals, or subjected to hydraulic pressure:
    - a. 4.5 percent for  $1 \frac{1}{2}$  -inch maximum aggregate.
    - b. 4.5 percent for 1-inch maximum aggregate.
  - 5. 5.0 percent for 3/4 -inch maximum aggregate.
    - a. 5.5 percent for 1/2 -inch maximum aggregate.
  - 6. Use admixtures for water-reducing and set-control in strict compliance with manufacturer's directions.
- G. In the absence of trial batch data, Water-to-Cement (W/C) ratio shall not exceed 0.35 maximum by absolute weight.
- H. Use of water reducing admixtures is permitted. Provide dosage at the low to normal rate in accordance with manufacturer's requirements.
- I. On-site addition of water to the concrete, in excess of the water content required by the concrete mix design, shall be permitted only as approved by the on-site testing agency and Owner's Designated Representative.

## 2.11 CONCRETE MIXING:

- A. Provide batch ticket for each ready-mix concrete batch discharged and used in work indicating project identification, name and number, date, batch time, ready-mix manufacturer vehicle number, mix type, mix time, admixture type(s), and quantities of cement, aggregate, water and admixture used.
- B. Ready-Mix Concrete: Comply with requirements of ASTM C 94, and as herein specified.

## **PART 3 EXECUTION**

### **3.1 SURFACE PREPARATION:**

- A. Remove loose material from compacted subbase surface immediately before placing the concrete.
- B. Proof-roll in accordance to Section 312000, "Earthwork-Site," to the satisfaction of the Owner's Designated Representative, prepared subbase surfaces to check for unstable areas and need for additional compaction. Do not begin paving work until such conditions have been corrected and are ready to receive paving.
- C. Coordinate the installation of joint materials and vapor retarders with placement of forms, reinforcing steel, inserts, anchorage's, sealants and other features and items necessary for the work specified herein.
- D. Adhere to safety standards and regulations of federal, state and local regulatory agencies having jurisdiction.

### **3.2 FORM CONSTRUCTION:**

- A. Set forms to required grades and lines, braced and secured. Install forms to allow continuous progress of Work and so that forms can remain in place at least 24 hours after concrete placement.
- B. Check completed formwork for grade and alignment to following tolerances:
  - 1. Top of forms not more than 1/8 inch in 10 feet.
  - 2. Vertical face on longitudinal axis, not more than 1/4 inch in 10 feet.
- C. Clean forms after each use and coat with form release agent as required to ensure separation from concrete without damage.
- D. Design, erect, support, brace and maintain formwork to support vertical and lateral, static, and dynamic loads that might be applied until such loads can be supported by concrete structure. Construct formwork so concrete elements are of correct size, shape, alignment, elevation, slope, and position. Maintain formwork construction tolerances complying with ACI 347, when determined applicable by Owner's Designated Representative.
- E. Design formwork to be readily removal without impact, shock, or damage to cast-in-place concrete surfaces and adjacent materials.
- F. Construct forms to sizes, shapes, lines, and dimensions shown, and to obtain accurate alignment, location, grades, level and plumb work in finished elements. Provide for openings, offsets, keyways, recesses, chamfers, bulkheads, anchorage's and inserts, and other features required in work. Use selected materials to obtain required finishes. Solidly butt joints of forms and provide back-up at joints to prevent leakage of cement paste.

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- G. Fabricate form for easy removal without hammering or prying against concrete surfaces. Provide crush plates or wrecking plates where stripping may damage cast concrete surfaces. Provide top forms for inclined surfaces where slope is too steep to place concrete with bottom forms only. Kerf wood inserts for forming keyways, and the like, to prevent swelling and for easy removal.
- H. Chamfer exposed corners and edges as indicated, using wood, metal, PVC, or rubber chamfer strips fabricated to produce uniform smooth lines and tight edge joints.
- I. Provisions for Other Trades: Provide openings in concrete formwork to accommodate work of other trades. Determine size and location of opening, recesses, and chases form trade providing such items. Accurately place and securely support items built into forms.
- J. Cleaning and Tightening: Thoroughly clean forms and adjacent surfaces to receive concrete. Remove all trash, chips, wood, sawdust, dirt, discarded tie wire, ice, snow, water or other debris deemed unacceptable to the Owner's Designated Representative just before concrete is placed. Re-tightening forms and bracing after concrete placement as is required to eliminate mortar leaks and maintain proper alignment.

### **3.3 PLACING REINFORCEMENT:**

- A. Comply with Concrete Reinforcing Steel Institute's (CRSI) recommended practice for Placing Reinforcing Bars, for details and methods of reinforcement placement and supports, and as herein specified.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, snow, water and other materials which reduce or destroy bond with concrete.
- C. Gas flame cutting and bending of reinforcing steel is strictly prohibited. All cutting of reinforcing steel shall be done by acceptable equipment specifically designed for shearing method. Bending shall be done by acceptable equipment specifically designed for cold bending method. All cutting and bending of reinforcing steel shall be done in a fabrication shop. Reinforcing steel which has been cut and/or bent utilizing gas flame shall be removed and replaced with acceptable material by the Contractor at no additional expense to the Owner.
- D. Accurately position, support, and secure reinforcement against displacement operations. Locate support reinforcing by metal chairs, runners, bolster, spacers, and hangers, as required and as directed by the Owner's Designated Representative.
- E. Place reinforcement to obtain at least minimum coverage's for concrete protection as denoted on the Contract Drawings and as specified herein. Arrange, space, and securely tie bars and bar supports to hold reinforcement in position during concrete placement operations. Set wire ties so ends are directed into concrete, not toward exposed concrete surfaces; all wire ties shall have 1 1/2 -inch minimum concrete coverage.
- F. Install welded wire fabric in as long lengths as practicable. Lap adjoining pieces at least 12 inches and lace splices with tie-wire. Offset end laps in adjacent widths to prevent continuous laps in either direction. For slabs-on-grade, including all sidewalks, welded wire fabric shall be accurately positioned and supported with sand chairs or bolsters. Pulling up the wire fabric with rakes, or by any other method, in lieu of support devices will not be allowed.
- G. Install reinforcing steel with lap splices or acceptable mechanical splicing devices as indicated on the Contract Drawings and in accordance with ACI 318 provisions.

### **3.4 INSTALLATION OF EMBEDDED ITEMS:**

- A. General: Set and build into work anchorage devices and other embedded items required for other work that is attached to, or supported by, cast-in-place concrete. Use setting Drawings, diagrams, instructions, and directions provided by suppliers of items to be attached thereto and at the direction of the Owner's Designated Representative.
- B. Edge Forms and Screed Strips for Slabs: Set edge forms or bulkheads and intermediate screed strips for slabs to obtain required elevations and contours in finished slab surface. Provide and secure units sufficiently strong to support types of screed strips by use of strike-off templates or accepted compacting type screeds.

## 3.5 INSTALLATION OF NOSINGS

- A. Center nosings on tread width unless otherwise indicated. Provide a single nosing per tread unless otherwise approved on shop drawings.
- B. Install in strict accordance with manufacturer instructions. Align nosings flush with riser faces and level with tread surfaces.
- C. Finish condition shall appear smooth and seamless, with no gaps between nosing and concrete.

## **3.6 PREPARATION OF FORM SURFACES:**

- A. Clean re-used forms of concrete matrix residue, repair and patch as required to return forms to acceptable surface condition.
- B. Coat contact surfaces of forms with a form-coating compound before reinforcement is placed.
- C. Thin form-coating compounds only with thinning agent of type, amount, and under conditions of form-coating compound manufacturer's directions. Do not allow excess form-coating material to accumulate in forms or to come into contact with in-place concrete surfaces against which fresh concrete will be placed. Apply in compliance with manufacturer's instructions.
- D. Coat steel forms with a non-staining, rust-preventative form oil or otherwise protect against rusting. Rust-stained steel framework is not acceptable.

## **3.7 CONCRETE PLACEMENT:**

- A. Do not place concrete unless testing laboratory/agency and the Owner's Designated Representative has had ample time to inspect and approve reinforcing steel and formwork
- B. Preplacement Inspection: Before placing concrete, inspect and complete formwork installation, reinforcing steel, and items to be embedded or cast-in. Notify other crafts to permit installation of their work; cooperate with other trades in setting such work. Moisten wood forms immediately before placing concrete where form coatings are not used. Prior to concrete placing, permit the Owners Designated Representative full access to work with sufficient amount of time as necessary to verify workmanship of all required installations.
- C. Apply acceptable temporary protective covering to lower four feet (4') of finished walls and other improvements adjacent to poured slabs and similar conditions, and guard against spattering during placement.
- D. General: Comply with ACI 304 Recommended Practice for Measuring, Mixing, Transporting, and Placing Concrete, and as herein specified.

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- E. Deposit concrete continuously or in layer of such thickness that no concrete will be placed on concrete which has hardened sufficiently to cause the formation of seams or planes of weakness. If a section cannot be placed continuously, provide construction joints as herein specified. Deposit concrete as nearly as practicable to its final location to avoid segregation.
- F. Consolidate placed concrete by mechanical vibrating equipment of sufficient size, vibratory output and quantity, supplemented by hand-spading, rodding or tamping. Use equipment and procedures for consolidation of concrete in accordance with ACI 309.
- G. Do not use vibrators to transport concrete in vertically, horizontally and/or diagonally directions inside of forms. Insert and withdraw vibrators vertically at uniformly spaced locations not farther than visible effectiveness of machine. Place vibrators to penetrate placed layer; limit duration of vibration to time necessary to consolidate concrete and complete embedment of reinforcement and other embedded items without causing segregation of mix.
- H. Vibrate and consolidate concrete in forms to assure monolithic homogeneous concrete section within forms and to prevent voids and "bug holes" on exposed surfaces. Avoid over- vibration of fluidization of concrete to prevent aggregate segregation. Vibration shall not be used to move concrete to its final positions within forms in lieu of moving chute or placement conduit or other acceptable placement method.
- I. Placing Concrete Slabs: Deposit and consolidate concrete slabs in a continuous operation, within limits of contraction joints, until the placing of a panel or section is completed.
  - 1. Consolidate concrete during placing operations so that concrete is thoroughly worked around reinforcement and other embedded items and into corners. Prevent voids and "honeycombing" within concrete members.
  - 2. Bring slab surfaces to correct level with straightedge and strike off. Use bull floats or darbies to smooth surface, free of humps or hollows. Do not disturb slab surfaces prior to beginning finishing operations.
  - 3. Maintain reinforcing in proper position during concrete placement operations.
- J. Do not place concrete until subbase and forms have been checked for line and grade. Moisten subbase if required to provide a uniform dampened condition at time concrete is placed. Do not place concrete around manholes or other structures until they are at required finish elevation and alignment.
- K. Place concrete by methods that prevent segregation of mix. Consolidate concrete along face of forms and adjacent to transverse joints with internal vibrator. Keep vibrator away from joint assemblies, reinforcement, or side forms. Use only square-faced shovels for hand- spreading and consolidation. Consolidate with care to prevent dislocation of reinforcing, dowels, and joint devices.
- L. Use bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- M. Deposit and spread concrete in a continuous operation between transverse joints as far as possible. If interrupted for more than one-half hour, install a construction joint.
- N. Cold Weather Placing: Protect concrete work from physical damage or reduced strength which could be caused by frost, freezing actions, or low temperatures, in compliance with ACI 306 and as herein specified.
  - 1. When air temperature has fallen to or is expected to fall below 40 degrees F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 degrees F, and not more than 80 degrees F, at point of placement.

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- 2. Do not use frozen materials or materials containing ice or snow. Do not place concrete on frozen subgrade or frozen subbase or on subgrade and subbase containing frozen materials.
- 3. Do not use calcium chloride, salt, and other materials containing antifreeze agents or chemical accelerators, unless otherwise in mix designs accepted by the Owners Designated Representative.
- O. Hot Weather Placing: When hot weather conditions exist that would seriously impair quality and strength of concrete, place concrete in compliance with ACI 305 and as herein specified.
  - 1. Cool ingredients before mixing to maintain concrete temperature at time of placement below 90 degrees F. Mixing water may be chilled, or chopped ice may be used to control temperature provided water equivalent of ice is calculated to total amount of mixing water.
  - 2. Covering reinforcing steel with water-soaked burlap if it becomes too hot, so that steel temperature will not exceed the ambient air temperature.
- P. Fog spray forms, reinforcing steel, and subgrade just before concrete is placed.
  - 1. Use water-reducing retarding admixture (Type D) when required by high temperatures, low humidity, or other adverse placing conditions.
- Q. Construct and cure cast-in-place concrete curbing in accordance with minimum requirements of New York State Department of Transportation (NYSDOT) Standard Specifications. Provide raked (tooled) contraction joints at 5 feet maximum spacing and expansion joints at 20 feet maximum spacing. Raked (tooled) contraction joint depths shall be at least 3/4-inch, and installed transversely across top and vertical faces of curb. Install expansion joints at all curb corners and intersections and at locations where curb is restrained by fixed objects.

## 3.8 JOINTS (GENERAL REQUIREMENTS):

- A. General: Construct expansion, weakened-plane contraction (crack control), and construction joints true to line with face perpendicular to surface of concrete. Construct transverse joints at right angles to the curb centerline, unless otherwise indicated or specified herein.
- B. When joining existing structures, place transverse joints to align with previously placed joints, unless otherwise indicated.
- C. Weakened-Plane (Control) Joints: Provide weakened-plane (control) joints along cast-in-place curbs and sidewalks, sectioning concrete into areas as depicted on the Contract Drawings, but locating joints spaced at no more than 5 feet on-center in any direction, unless otherwise depicted. Construct weakened-plane joints in upper portion of concrete work for a depth equal to at least 1/4 concrete pavement thickness, as follows:
  - 1. Tooled Joints: Form weakened-plane joints in fresh concrete by grooving top portion with a recommended cutting tool and finishing edges with a jointer. Following the initial curing period, install specified joint sealant.
- D. Construction Joints: Place construction joints at end of placements and at locations where placement operations are stopped for more than one-half hour, except where such placements terminate at expansion joints. Provide grooved top portion and sealant in same manner as done at control joints.
  - 1. Construct joints as shown or, if not shown, use standard metal keyway-section forms.
  - 2. Where load transfer-slip dowel devices are used, install so that one end of each dowel bar is free to move.
- E. Expansion Joints: Provide specified premolded joint filler for expansion joints abutting concrete curbs, catch basins, manholes, inlets, structures, walks, and other fixed objects, unless otherwise indicated.

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- 1. Locate expansion joints at 20 feet on-center maximum spacing along cast-in-place concrete curbs, and at 20 feet on-center maximum spacing along cast-in-place concrete sidewalks and at every 200 square feet in cast-in-place concrete pavement, unless otherwise indicated on the Contract Drawings and herein specified.
- F. Extend joint fillers full width and depth of joint, not less than 1/2-inch, nor more than 1-inch below finished surface where joint sealer is indicated. If no joint sealer, place top of joint filler flush with finished concrete surface. Furnish joint fillers in one-piece lengths for full width being placed wherever possible. Where more than one length is required, lace or clip joint filler sections together.
  - 1. Protect top edge of joint filler during concrete placement with a metal cap or other temporary material. Remove protection after concrete has been placed on both sides of joint.
- G. In pavement, place construction joints at the end of concrete placements and at locations where placement operations are stopped for more that one-half hour, except where such pours terminate at expansion joints. Construct joints as shown or, if not shown, use standard metal keyway sections.
- H. For traffic bearing joints in concrete paving 2 parts polyurethane sealant complying with FS-TT-S-00227, Class A Type 1 (self leveling), unless otherwise recommended by manufacturer. Provide Sonolastic Pavement Joint Seal by Sonneborn/Contech, or approved equal. Refer to the Contract Drawings for typical locations.
- I. For joint filler in paving joints and where concrete abuts existing fixed construction, provide specified premolded sponge rubber filler and sealant. Traffic bearing joints to be constructed in strict accordance with manufacturers recommendations.
- J. Install dowel bars and support assemblies at stair-slab joints and where indicated. Lubricate or asphalt-coat one-half of dowel length to prevent concrete bonding to one side of joint.

# **3.9 JOINT SEALER PREPARATION:**

- A. Surface Cleaning of Joints: Thoroughly clean-out joints, to the satisfaction of the Owner's Designated Representative, using compressed air or other approved means immediately before installing joint sealers to comply with recommendations of joint sealer manufacturers:
- B. Remove all foreign material from joint substrates which could interfere with adhesion of joint sealer, including dust, paints, except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer; old joint sealers; oil; grease; waterproofing; water repellants; water; snow and ice; surface dirt and frost.
- C. Clean concrete, by brushing, grinding, blast cleaning, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealers. Remove loose particles remaining from above cleaning operations by vacuuming or blowing out joints with oil-free compressed air.
- D. Remove laitance and form release agents from concrete.
- E. Joint Priming: Prime joint substrates where indicated or where recommended by joint sealer manufacturer based on preconstruction joint sealer-substrate tests or prior experience. Applyprimer to comply with joint sealer manufacturer's recommendations. Confine primers to areas of joint sealer bond, do not allow spillage or migration onto adjoining surfaces.

- F. Masking Tape: Use masking tape where required to prevent contact of sealant with adjoining surfaces which otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.
- G. INSTALLATION OF JOINT SEALERS:
- H. General: Comply with joint sealer manufacturers' printed installation instructions applicable to products and applications indicated, except where more stringent requirements apply.
- I. Install bond breaker tape between sealants and joint fillers, compression seals, or back of joints where adhesion of sealant to surfaces at back of joints would result in sealant failure.

## 3.10 INSTALLATION OF SEALANTS:

- A. General: Install sealants by proven techniques that result in sealants directly contacting and fully wetting joint substrates, completely filling recesses provided for each joint configuration, and providing uniform, cross-sectional shapes and depths relative to joint widths which allow optimum sealant movement capability.
- B. Tooling of Nonsag Sealants: Immediately after sealant application and prior to time skinning or curing begins, tool sealants to form smooth, uniform beads of configuration indicated, to eliminate air pockets, and to ensure contact and adhesion of sealant with sides of joint. Remove excess sealants from surfaces adjacent to joint. Do not use tooling agents which discolor sealants or adjacent surfaces or are not approved by sealant manufacturer.
  - 1. Provide flush joint configuration per Figure 6B in ASTM C 962, where indicated.
  - 2. Use masking tape to protect adjacent surfaces of recessed tooled joints.
- C. Cleaning: Clean off excess sealants or sealant smears adjacent to joints as work progresses by methods and with cleaning materials approved by manufacturers of joint sealers and of products in which joints occur.
- D. Protection: Protect joint sealers during and after curing period from contact with contaminating substances or from damage resulting from construction operations or other causes so that they are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealers immediately and reseal joints with new materials to produce joint sealer installations with repaired areas indistinguishable from original work.

## **3.11 CONCRETE FINISHING:**

- A. After striking-off and consolidating concrete, smooth surface by screeding and floating. Use hand methods only where mechanical floating is not possible. Adjust floating to compact surface and produce uniform texture.
- B. After floating, test surface for trueness with a 10 foot long straightedge. Distribute concrete as required to remove surface irregularities, and refloat repaired areas to provide a continuous smooth finish.
- C. Surface Smoothness: Test finished surface course for smoothness, using 10-foot straightedge applied parallel with and at right angles to center line of paved area. Surfaces will not be acceptable if exceeding the following tolerances for smoothness:
  - 1. Wearing Course Surface: 1/8 inch.

- 2. All new paving will drain as shown on grading plan, if standing water is observed following a rainstorm, the areas not draining will be removed, repaired or replaced as directed by the Owners Designated Representative. The costs for such work will be born by the Contractor.
- D. Work edges of slabs, gutters, back top edge of curb, and formed joints with an edging tool, and round to 1/2-inch radius, unless otherwise indicated. Eliminate tool marks on concrete surface.
- E. After completion of floating and when excess moisture or surface sheen has disappeared, complete troweling and finish surface as follows:
- F. On inclined slab surfaces, provide a coarse, non-slip finish by scoring surface with a stiffbristled broom, perpendicular to line of traffic.
- G. Do not remove forms unless as per paragraph 3.14. After form removal, clean ends of joints and point-up any minor honeycombed areas. Remove and replace areas or sections with major defects, as directed by the Owner's Designated Representative.
- H. Finishes as follows:
  - 1. Concrete curbs: Trowel finish.
  - 2. Concrete pavements: Broom finish by drawing a fine-hair broom across concrete surface perpendicular to line of traffic.

## 3.12 CONCRETE CURING AND PROTECTION:

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
- B. Begin final curing procedures immediately following initial curing and before concrete has dried. Continue final curing for at least 14 days. Avoid rapid drying at end of final curing period.
- C. Curing Method: Perform curing of concrete by moist curing, by moisture-retaining cover curing, and by combinations thereof, as herein specified.
  - 1. Provide moisture curing by following methods at Contractor's option:
    - a. Continuous water-fog spray.
    - b. Covering concrete surface with specified absorptive cover, thoroughly saturating cover with water and keeping continuously wet. Place absorptive cover to provide coverage of concrete surfaces and edges, with minimum 12-inch lap over adjacent absorptive covers.
  - 2. Provide moisture-cover curing as follows:
    - a. Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width with sides and ends lapped at least 12-inch and sealed by waterproof tape of adhesive. Immediately repair any holes or tears during curing period using ocer material and waterproof tape.
- D. Curing of Slabs-on-Grade: After completion of finishing operations, "wet cure" the slab for a minimum of 14 days in accordance with Moisture Curing method above. Maintain water depth constant throughout moisture curing operations. Obtain water through agreement with Owner when determined acceptable by Owners Designated Representative.
- E. Curing Formed Surfaces: Cure formed concrete surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed, continue curing by methods specified above, as applicable.

- 1. Curing Unformed Surfaces: Cure unformed surfaces, such as slabs, floor topping, and other flat surfaces by application of appropriate curing method.
- F. Curing and Sealing Compound: Apply compound per the manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Repeat process 24 hours later and apply a second coat. Maintain continuity of coating and repair damage during curing period.

### **3.13 REMOVAL OF FORMS:**

A. Formwork may be removed after cumulatively curing at not less than 50 degrees F (10 degrees C) for at least 24 hours after placing concrete, provided concrete is sufficiently hard to not be damaged by form removal operations, and provided curing and protection operations are maintained.

### **3.14 RE-USE OF FORMS:**

- A. Clean and repair surfaces of forms to be re-used in work. Split, frayed, delaminated, or otherwise damaged form facing material will not be acceptable for exposed surfaces. Apply new form coating compound as specified for new formwork.
- B. When forms are extended for successive concrete placement, thoroughly clean surfaces, remove fins and laitance, and tighten forms to close joints. Align and secure joint to avoid offsets. Do not use "patched" forms for exposed concrete surfaces, except as acceptable to the Owners Designated Representative.

## 3.15 MISCELLANEOUS CONCRETE ITEMS:

A. Filling-In: Fill-in holes and openings left in concrete elements for passage of work by other trades, unless otherwise shown or directed, after work of other trades is in place. Mix, place, and cure concrete as herein specified, to blend with in-place construction. Provide other miscellaneous concrete filling shown or required to complete work.

## 3.16 DETECTABLE PANEL INSTALLATION

- A. Install detectable warning panels at curb ramps in accordance with manufacturer's specifications and these Contract Drawings.
- B. Attach panels together as per manufacturers specifications and as necessary to form required sizes.
- C. Pour concrete in accord with Specification Section "Portland Cement Concrete".
- D. Set panels in wet concrete at detailed location and orientation. Utilize lifting devices as necessary and as per manufacturers specifications.
- E. Press assembly into wet concrete to final finished elevation and detailed grade. Panels are to be set flush with adjacent concrete pavement.
- F. Finish concrete pavement around panels as specified and per details.
- G. Contractor shall take precautions to keep wet concrete from the top surface of the detectable panels. Any splatters are to be cleaned immediately prior to drying.

## 3.17 CONCRETE SURFACE REPAIRS:

A. Patching Defective Areas: Repair and patch defective areas with epoxy-based cement mortar having color, durability and strength matching or exceeding substrate concrete immediately after removal of forms, when acceptable to the Owners Designated 's Representative.

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- B. Cut out honeycomb, rock pockets, voids over 1/4 -inch in any dimension, down to solid concrete but, in no case to depth of less than 1-inch. Make edges of cuts perpendicular to the concrete surface. Thoroughly clean perpendicular to the concrete surface. Thoroughly clean area of debris and loose material, dampen with water, and brush-coat the area to be patched with specified bonding agent. Place patching mortar after bonding compound has dried.
- C. For exposed-to-view surfaces, blend specified cement and patching mortar so that, when dry, patching mortar will match color surrounding. Provide test areas at inconspicuous location to verify mixture and color match before proceeding with patching. Compact mortar in place and strike-off slightly higher than surrounding surface.
- D. Repair of Formed Surfaces: Remove and replace concrete having defective surfaces if defects cannot be repaired to satisfaction of the Owners Designated Representative. Surface defects, as such, include color and texture irregularities, cracks, spalls, air bubbles, honeycomb, rock pockets; fins and other projections on surface; and stains and other discoloration's that cannot be removed by cleaning. Flush out form ties holes, fill with dry pack mortar, or precast cement cone plugs secured in place with bonding agent.
- E. Repair concealed formed surfaces, where possible, that contain defects that affect the durability of concrete. If defects cannot be repaired, remove and replace concrete.
- F. Repair finished unformed surfaces that contain defects, which affect durability of concrete. Surface defects, as such, include crazing, cracks in excess of 0.01-inch wide or which penetrate to reinforcement or completely through non-reinforced sections regardless of width, spalling, pop-outs, honeycomb, rock pockets, and other objectionable conditions.
- G. Correct low areas in unformed surfaces during or immediately after completion of surface finishing operations by cutting out low areas and replacing with fresh concrete. Finish repaired areas to blend into adjacent concrete.
- H. Repair defective areas, except random cracks by cutting out and replacing with fresh concrete. Remove defective areas to sound concrete with clean, square cuts and expose reinforcing steel with at least 3/4-inch clearance all around. Dampen concrete surfaces in contact with specified patching concrete and apply bonding compound. Mix specified patching concrete of same materials to provide concrete of same type or class as original concrete. Cure in same manner as adjacent concrete.
- I. Repair isolated random cracks by dry-pack method. Groove top of cracks and cut-out holes to sound concrete and clean of dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding compound. Mix dry-pack, consisting of one part specified cement to 2-1/2 parts fine aggregate passing a No. 16 mesh sieve, using only enough water as required for handling and placing. Place dry pack after bonding compound has dried. Compact dry-pack mixture in place and finish to match adjacent concrete. Keep patched area continuously moist for not less than 5 days.
- J. Repair methods not specified above may be used, subject to acceptance of the Owners Designated Representative.

## 3.18 QUALITY CONTROL TESTING DURING CONSTRUCTION:

- A. The Owner shall employ an independent testing laboratory/agency to perform tests and to submit test result reports.
- B. Sampling and testing for quality control during placement of concrete shall include the following:

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- 1. Sampling Fresh Concrete: ASTM C 172, except modified for slump to comply with ASTM C 94.
- 2. Slump: ASTM C 143; minimum of one test at point of discharge for each ready mix truck load of concrete for each type of concrete; minimum of one test at the concrete pump (if employed) for each ready mix truck load for each type of concrete; additional tests when concrete consistency seems to have changed.
- 3. Yield: ASTM C 138; minimum of one test at point of discharge for each ready-mix load of concrete.
- 4. Air content: ASTM C 173, volumetric method for lightweight or normal weight concrete; ASTM C 231 pressure method for normal weight concrete; minimum of one for each ready-mix load of concrete for each type of concrete; additional tests when concrete consistency appears to have changed.
- 5. Concrete Temperature: Test hourly when air temperature is 40 degrees F and below, and when 80 degrees F and above; and each ready-mix load of concrete.
- 6. Compression Test Specimen: ASTM C 31; minimum of one set of four (4) standard cylinders for each compressive strength test. Mold and store cylinders for laboratory cured test specimens except when field-cure test specimens are required.
- 7. Compressive Strength Tests: ASTM C 39; minimum of one set for each day's concrete placement exceeding 5 cubic yards, plus additional sets for each 25 cubic yards over and above the first 25 cubic yards of each concrete class placed in any one day; one specimen tested at 7 days, two specimens tested at 28 days, and one specimen retained in reserve for later testing.
- 8. When frequency of testing will provide less than 5 strength tests for a given class of concrete, conduct testing from at least 5 randomly selected batches or from each batch if fewer than 5 are used.
- 9. When compressive strength of field-cured cylinders is less than 85 percent of compressive strength of companion laboratory-cured cylinders, evaluate current operations and provide corrective procedures for protecting and curing the in-place concrete. Compressive strength level of concrete will be considered satisfactory if averages of sets of 3 consecutive compressive strength test results equal or exceed specified compressive strength, and no individual strength test result fall below specified compressive strength by more than 500 Pounds per Square Inch.
- C. Test results will be reported in writing to the Owners Designated Representative and Contractor within 24 hours after tests. Reports of compressive strength tests shall contain the project identification name and number, date of concrete placement, name of concrete testing service, ready-mix company vehicle number, concrete type and class, location of concrete batch in structure, design compressive strength at 28 days, concrete mix proportions and materials; compressive breaking strength and type of break for both 7 day tests and 28 day tests.
- D. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by the Owners Designated Representative but shall not be used as the sole basis for acceptance or rejection.

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E. Additional Tests: The independent testing laboratory/agency will make additional tests of inplace concrete when test results indicate specified concrete compressive strengths and other characteristics have not been attained in the concrete. The testing laboratory/agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42, or by other methods as directed. Contractor shall pay for such tests, at no additional cost to Owner, when unacceptable concrete is verified or Contractor has failed to have the Owner's independent testing laboratory/agency conduct concrete testing during concrete work placement.

## **3.19 PROTECTIONS:**

- A. Protect concrete from damage until the acceptance of work. Exclude traffic from pavement for at least 14 days after placement. When construction traffic is permitted, maintain pavement as clean as possible by removing surface stains and spillage of materials as they occur.
- B. Sweep concrete pavement and wash free of stains, discolorations, dirt, and other foreign material just before final inspection.

## END OF SECTION 321313

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PAVEMENT MARKINGS

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### SECTION 321723 PAVEMENT MARKINGS

### PART 1 GENERAL

### 1.1 SECTION INCLUDES

- A. Traffic line paint
- B. Application of traffic striping and control markings

### **1.2 RELATED SECTIONS**

A. Section 321216 – Asphalt Paving

### **1.3 SUBMITTALS**

- A. Comply with the requirements of Section 013300 Submittal Procedures.
- B. Product Data Submit manufacturer's specifications and installation instructions.
- C. Shop Drawings Submit drawings and diagrams indicating stripe width of roadway divider stripes and parking stalls, configurations and dimensions of directional arrows, style and size of lettering, configuration and dimensions of international handicapped symbol, and any other traffic control markings on pavement as indicated on the Contract Documents.
- D. Quality Control Submittals
  - 1. Qualifications Certification Submit written certification or similar documentation signed by the applicable subcontractor, prime contractor and/or manufacturer (where applicable) indicating compliance with the requirements of this specification.
  - 2. Experience Listing Submit list of completed projects using products proposed for this project, including owner's contact information and telephone number for each project.
  - 3. Closeout Procedures Comply with the requirements of Section 017700.

## **1.4 QUALITY ASSURANCE**

- A. Design Requirements: Comply with the applicable requirements of New York State Department of Transportation Standard Specification, Section 640 and the "National Manual on Traffic Control Devices" – 2003 Edition and the New York State Supplement.
- B. Regulatory Requirements Obtain written permission from applicable agencies prior to start of construction. Submit copy of permit as specified in "Submittals-Quality Control" above.

### **1.5 SEQUENCING AND SCHEDULING**

- A. Proceed with and complete traffic marking installation as rapidly as portions of the site become available, working within seasonal limitations for the work required.
- B. Perform painting operations after working hours, on weekends or at such time so as not to interfere with the flow of traffic. Provide temporary barriers to prevent vehicles from driving over newly painted areas.
- C. Proceed with pavement marking only on clean, dry surfaces and at a minimum ambient or surface temperature of 40 degrees F for alkyd materials or 55 deg F for water-based materials, and not exceeding 95 deg F. The relative humidity shall not exceed 85%.

## **PART 2 PRODUCTS**

## 2.1 MATERIALS

A. Paint - Utilize paint as indicated in NYSDOT Standard Specification, 640-2.

PAVEMENT MARKINGS

- 1. White lines shall be used to delineate the separation the traffic flows in the same direction including channelizing lines, stop lines and cross walk lines.
- 2. Yellow lines shall be used to delineate the separation of flows in opposing directions such as center lines on two-way roads.
- 3. Yellow lines shall be used to delineate parking space limit lines including lines in no parking areas and associated word and symbol markings.
- 4. Blue lines shall be used to delineate handicapped parking lines, international symbols and adjacent "no parking" side aisles.
- B. Acceptable Products Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to the following:
  - 1. "Setfast Acrylic Latex Traffic Paint" as manufactured by Sherwin Williams, Co., Cleveland, OH (Tel. #216-566-2902).
- C. "Waterborne Hydrophast Traffic Paint" as manufactured by Franklin Paint Company, Inc., Franklin, MA (Tel. #508-528-0303).
- D. "Traffic and Zone Marking Paint" as manufactured by PPG Porter Paints, Pittsburgh, PA (Tel. #1-800-332-6270).

## **PART 3 EXECUTION**

## 3.1 EXAMINATION

- A. Installer Verification of Conditions Examine conditions under which pavement markings are to be installed with the materials and components specified in this section. Affected Prime Contractors, the Owner's Representative and the Architect shall be notified in writing of any conditions detrimental to the proper and timely installation of the work.
  - 1. When the Installer confirms conditions as being acceptable to ensure proper and timely installation of the work and to ensure requirements of applicable warranties or guarantees can be satisfied, submit written confirmation to the Architect. Failure to submit written confirmation and subsequent installation will be assumed to indicate conditions are acceptable to the Installer.

### **3.2 PREPARATION**

- A. Remove dust, dirt and other foreign material detrimental to paint adhesion.
- B. Mark layout of stripes and lines with chalk or paint.

### **3.3 APPLYING PAVEMENT MARKINGS**

- A. Apply paint in accordance with New York State Department of Transportation Standard Specification Section 640 3.02.
- B. Do not apply pavement marking paint until layout, colors and placement have been verified with the Owner and the Architect.
- C. Allow paving to cure for 30 days prior to starting pavement marking.
- D. Clean surfaces to eliminate loose material and dust prior to applying pavement markings.
- E. Apply paint with mechanical equipment to produce pavement markings of dimensions indicated with uniform, straight edges. Apply at the Manufacturer's recommended rates to provide a minimum wet film thickness of 15 mils.

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F. At completion of pavement marking applications, the Contractor shall check all work thoroughly and shall touchup traffic control and parking stall markings that are not distinct or thorough in coverage or are not uniform in color.

# 3.4 ADJUSTING AND CLEANING

- A. Repairs and Protection of Pavement Markings
  - 1. Repair defective pavement markings as directed by the Architect.
  - 2. Protect pavement markings from damage until acceptance of the installation work.

# END OF SECTION 321723

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#### CHAIN LINK FENCES AND GATES

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### SECTION 323113 CHAIN LINK FENCES AND GATES

### PART 1 GENERAL

### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.
- B. Examine the Contract Documents for requirements that affect the work of this section. Other specification sections that directly relate to work of this section include, but are not limited to:
   1. Section 312000 Earthwork Site
- C. Section 321313 Concrete

### **1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Galvanized (zinc) with supplemental black color coated chain link fabric with galvanized steel framework, and accessories for standard fencing and gates.

## **1.3 REFERENCES**

- A. ASTM A36 Standard Specification for Carbon Structural Steel.
- B. ASTM A392 Standard Specification for Zinc-Coated Steel Chain-Link Fabric.
- C. ASTM B221 Standard Specification for Aluminum and Aluminum Alloy Bars, Rods, Wire Profiles and Tubes.
- D. ASTM F552 Standard Terminology Relating to Chain Link Fencing.
- E. ASTM F567 Standard Practice for Installation of Chain Link Fence.
- F. ASTM F626 Standard Specification for Fence Fittings.
- G. ASTM F1083 Standard Specification for Pipe, Steel, Hot-Dipped Zinc-Coated (Galvanized) Welded, for Fence Structures.
- H. WLG2445 Chain Link Fence Manufacturers Institute, Chain Link Fence Wind Load Guide for the Selection of Line Posts and Line Post Spacing.

## **1.4 SUBMITTALS**

- A. See Section 013300 Submittal Procedures, for submittal procedures.
- B. Shop drawings: Layout of fences and gates with dimensions, details, fittings, hardware and finishes of components, accessories, and post foundations.
- C. Product data: Manufacturer's catalog cuts indicating material compliance and specified options.
- D. Samples: Provide samples of screen slats.

## **1.5 QUALITY ASSURANCE**

- A. Manufacturer: Company having manufacturing facilities in the United States with a minimum 5 years of experience specializing in manufacturing of chain link fence products.
- B. Fence contractor: Contractor having 5 years of experience installing similar projects in accordance with ASTM F567.

- C. Tolerances: ASTM current specification and tolerances apply and supersede any conflicting tolerance.
- D. Single source: To ensure system integrity obtain the chain link system, framework, fabric, fittings and accessories from a single source.

## **PART 2 PRODUCTS**

## 2.1 GENERAL

- A. Dimensions indicated for pipe, roll-formed, and H sections are outside dimensions, exclusive of coatings.
- B. Manufacturer for galvanized (zinc) coated chain link fabric with galvanized steel framework and accessories for standard fencing shall be provided one of the following or approved equal.
  - 1. Master Halco, Inc., www.masterhalco.com, 1.800.229-5615.
  - 2. Allied Tube and Conduit Corp., www.alliedeg.us, 1.800.882.5543
  - 3. American Fence Corp., www.americanfence.com, 1.877.933.6336
- C. CHAIN LINK FENCE FABRIC
- D. Selvage: all mesh shall be knuckled at both selvages.
- E. Galvanized (zinc) coated chain link fabric per ASTM A392. Comply with Chain Link Fence Manufacturers Institute (CLFMI) Product Manual. Furnish one-piece fabric widths for fencing up to 12 feet high. Wire size includes zinc or aluminum coating. In accordance with ASTM F1043, apply supplemental color coating of 0.01 inches to 0.015 inches of thermally fused PVC in black color to fabric and framing for chain link fence as indicated on contract drawing.
- F. Fence Mesh Size for fences 12' high and under: 2-inch fabric mesh, fused/bonded 9-gauge wire.
- G. Galvanized Steel Finish: ASTM A 392, Class 2, with not less than 2.0 oz. zinc per sq. ft. of uncoated wire surface on wire coated before weaving or not less than 2.0 oz. zinc per sq. ft. of uncoated wire surface on wire of fabric coated after weaving as determined from the average of two or more samples and not less than 1.8 oz. zinc per sq. ft. of uncoated wire surface for any individual sample.

## 2.2 STEEL FENCE FRAMEWORK

- A. Framework Standards
  - 1. Steel Pipe Type II: Cold rolled steel pipe meeting the requirements of ASTM A-569 with a minimum yield strength of 50,000 psi.
  - 2. Interior Coating: In line applied zinc rich coating with zinc powder loading of a minimum 90% by weight applied after fabrication conforming to ASTM B 6 high grade and Special High Grade Zinc.
  - 3. Exterior Coatings
    - a. Base Coat: Minimum .9 ounces zinc per square foot.
    - b. Intermediate Coat: Minimum 15 microgram chromate conversion per square inch.
    - c. Top Coat: Minimum 0.3 mil cross link polyurethane acrylic exterior coating.
    - d. PVC exterior coating: Fusion bonded polyvinyl chloride similar to Brighton Colorbond Fence System by Merchant Metals, Brighton, Michigan. Color to be black unless specifically noted otherwise on the Contract Documents.
  - 4. Size of Pipe: As indicated.

- 5. Similar to SS-40 Pipe with Flo-Coat by Allied Tube and Conduit Corporation, Harvey, Illinois.
- B. Framework and Footings for Fences Up To 6'-0" High
  - 1. End Posts, Corner Posts and Pull Posts
    - a. Pipe: 2.50" O.D.
    - b. Set pull posts at the midway point of all lines 500 feet or longer and at all changes of direction or grade of 15 degrees or more. Place pull posts at each radius point within the curved line where the internal angle is 30 degrees or more.
  - 2. Footing Size: 12" O.D. by 5'-0" deep.
  - 3. Line Posts
    - a. Pipe: 2.00" O.D.
    - b. Space line posts at a maximum of 10 feet on center unless specifically noted otherwise on the contract documents.
    - c. Footing Size: 12" O.D. by 5'-0" deep.
- C. Framework and Footings for 6'-0" High fence with privacy slats
  - 1. End Posts, Corner Posts and Pull Posts
    - a. Pipe: 3.0" O.D.
    - b. Set pull posts at the midway point of all lines 500 feet or longer and at all changes of direction or grade of 15 degrees or more. Place pull posts at each radius point within the curved line where the internal angle is 30 degrees or more.
    - c. Footing Size: 18" O.D. by 5'-0" deep.
  - 2. Line Posts
    - a. Pipe: 2.50" O.D.
    - b. Space line posts at a maximum of 10 feet on center unless specifically noted otherwise on the contract documents.
  - 3. Footing Size: 18" O.D. by 5'-0" deep.
- D. Post Brace: Provide manufacturer's standard adjustable brace at gate posts and at both sides of corner and pull posts, with a horizontal brace located at the mid-height of the fabric. Unless otherwise specified, provide PVC coating shall be black
- E. Top Intermediate and Bottom Rails
  - 1. 1.66" O.D. pipe, weighing 1.84 pounds per linear foot. Install rails in the manufacturer's longest lengths utilizing expansion couplings, approximately 6" long at each joint. Provide means for attaching the top rail securely to each gate post, corner post, pull post and end post.
  - 2. Provide bottom rail for all fencing.
  - 3. Unless otherwise specified, provide PVC coating shall be black.

# 2.3 VERTICAL SLAT INSERTS

- A. Bottom locking slats shall be plastic, "W" shaped, with circular, notched-out holes located near the top of the slat.
- B. Made from high density polyethylene (HDPE), color pigments and UV inhibitors.
- C. Slats shall be compatible with indicated fence height and mesh size. Slats shall be black.
- D. Slats shall have load factor and privacy factor of 85%.
- E. Provide 10-year warranty.

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- F. Physical properties:
  - 1. Melt Index: 0.6
  - 2. Density: 0.957
  - 3. Minimum Temperature: -76 degrees F
  - 4. Maximum Temperature: 250 degrees F
  - 5. Tensile Strength: 3,700 psi
  - 6. Slat Width: 1-1/4"

## 2.4 ACCESSORIES AND FITTINGS

- A. Post caps: ASTM F626 galvanized pressed steel, malleable iron, or aluminum alloy weather tight closure cap for tubular posts. Provide one cap for each post. "C" shaped line post without top rail do not require post caps. When top rail is specified provide line post loop tops to secure top rail.
- B. Rail ends: Galvanized pressed steel per ASTM F626, for connection of rails to post using a brace band.
- C. Rail sleeves: 7" (178 mm) galvanized steel sleeve per ASTM F626.
- D. Wire ties: 9 gauge (0.148") (3.76 mm) galvanized steel wire for attachment of fabric to line posts and rails.
- E. Tension (stretcher bar) bands: ASTM F626 galvanized 12 gauge (0.105") (2.67mm) pressed steel by 3/4" (19mm) formed to a minimum 300 degree profile curvature for post attachment. Secure bands using minimum 5/16" (7.94 mm) galvanized carriage bolt and nut.
- F. Tension (stretcher) bars: Galvanized steel one piece length equal to 2 inches (50 mm) less than full height of fabric with a minimum cross-section of 3/16" x 3/4" (4.76 mm x 19 mm) per ASTM F626. Provide tension (stretcher) bars where chain link fabric is secured to the terminal post.
- G. Beveled brace band, pressed steel combo rail end, pressed steel loop cap, pressed steel dome cap.
- H. Detex Exit Bar: provide exit bar suitable for use with chain link gates. Install at gates as indicated on contract drawings. Provide all components including the bar, mounting plates, guard, lock box and adjustable receiver bracket. Finish shall be powder coat over electrogalvanize steel, color black.

## 2.5 POST SETTING MATERIALS

A. Concrete: Minimum 28 day compressive strength of 3,000 psi (20 MPa).

# **PART 3 EXECUTION**

## 3.1 SITE EXAMINATION

- A. Ensure property lines and legal boundaries of work are clearly established.
- B. Verify areas to receive fencing are completed to final grade.
- C. Protect portion of posts and other site elements above grade from concrete splatter.

# 3.2 CHAIN LINK FRAMEWORK INSTALLATION

- A. Install framework, fabric, accessories and gates in accordance with ASTM F567.
- B. Install chain link fence system in accordance with ASTM F567 and manufacturer's instructions.

CHAIN LINK FENCES AND GATES

- C. Locate terminal post at each fence termination and change in horizontal or vertical direction of  $30^{\circ}$  or more.
- D. Standard Fencing: Space line posts uniformly 8-feet (2438 mm) on center or as shown on the Contract Documents.
- E. Concrete set posts: Excavate holes in firm, undisturbed or compacted soil. Excavate deeper as required for adequate support in soft and loose soils, and for posts with heavy lateral loads. Set post bottom at bottom of concrete footing. Place concrete around posts in a continuous pour. Trowel finish around post and slope to direct water away from posts.
- F. Check each post for vertical and top alignment, and maintain in position during placement and finishing operations.
- G. Bracing: Install horizontal brace and truss assembly at mid-height or as shown on the Contract Documents and over at each fabric connection to the terminal post. The diagonal truss rod shall be installed at the point where the brace rail is attached to the terminal post and diagonally down to the bottom of the adjacent line post. Place the truss rod in tension by adjusting the turnbuckle.
- H. Tension wire: Install tension wires so that it will be located 4-inches (101.6 m) up from bottom the fabric. Stretch and install tension wire before installing the chain link fabric and attach it to each post using wire ties.
- I. Top rail: Run continuously through line post caps, bending to radius for curved runs and at other posts terminating into rail end attached to posts or post caps fabricated to receive rail. Provide sleeves and/or expansion couplings to form a rigid connection and allow for expansion and contraction.
- J. Center Rails: Install mid rails between line posts and attach to post using rail end or line rail clamps.
- K. Bottom Rails: Install bottom rails between posts and attach to post using rail end or line rail clamps.

# 3.3 CHAIN LINK FABRIC INSTALLATION

- A. Fabric: Install fabric on security side, pull fabric taut; thread the tension bar through fabric and attach to terminal posts with tension bands spaced maximum of 15-inches (381 mm) on center and attach so that fabric remains in tension after pulling force is released. Install fabric so that it is 2-inches (50 mm) above finish grade.
- B. Secure fabric using wire ties to line posts at 15-inches (381 mm) on center and to rails and braces 24-inches (610 mm) on center, and to the tension wire using hog rings 24-inches (610 mm) on center. Tie wire shall be secured to the fabric by wrapping it two 360 degree turns around the chain link wire pickets. Cut off any excess wire and bend back so as not to protrude so as to avoid injury if a pedestrian may come in contact with the fence.
- C. Install vertical slats where indicated, per manufacturers recommendations.

## 3.4 SITE CLEAN UP

A. Clean up areas adjacent to fence line from debris and unused material created by fence installation.

## END OF SECTION 323113

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TURF AND GRASSES

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## SECTION 329200 TURF AND GRASSES

## **1.1 SECTION INCLUDES**

- A. Subsoil preparation
- B. Placement of topsoil
- C. Seeding and application of soil amendments and fertilizers
- D. Sodding
- E. Mulching
- F. Protection of seeded areas
- G. Turf maintenance during warranty period
- H. Cleanup and protection
- I. Inspections and final acceptance

## **1.2 REFERENCE STANDARDS**

- A. ASTM D698 Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft3 (600 kN-m/m3)); 2012 (Reapproved 2021).
- B. ASTM F1632 Standard Test Method for Particle Size Analysis and Sand Shape Grading of Golf Course Putting Green and Sports Field Rootzone Mixes; 2003 (Reapproved 2018).

## **1.3 RELATED SECTIONS**

- A. Section 312000 Site Earthwork
- B. Section 329300 Exterior Plants

# **1.4 SUBMITTALS**

A. Comply with the requirements of Section 013300 – Submittal Procedures and as modified below.

## **1.5 QUALITY CONTROL SUBMITTALS**

- A. Experience Listing: Submit a list of completed projects including owner's contact information and telephone number for each project, demonstrating compliance with applicable "Qualifications" requirements specified in the "Quality Assurance" section of this specification.
- B. Topsoil Analysis Report: Submit topsoil analysis report for on-site stockpiled or imported topsoil. Do not mix or utilize topsoil until a soil analysis report is approved by the Architect.
  - 1. Provide required representative samples of topsoil and organic or inorganic amendment materials proposed for use in the project to the independent testing agency noted below for analysis and recommended treatment. The Contractor shall pay for all costs incurred for testing and analysis of the soil material. Test reports shall be from current year.

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- a. All soil samples and proposed amendments shall be sent to the Owner's Testing Agent:
  - 1) Hummel & Company, Inc. 35 King Street
  - 2) Trumansburg, New York 14886 Telephone Number: 607-387-5694
- 2. All reports shall be sent to the Architect for approval.
- 3. Samples of imported topsoil to be brought to the site must be approved prior to delivery.
- 4. Deficiencies in the topsoil shall be corrected by the Contractor, as directed by the Architect, after review of the testing agency report.
- 5. Ensure test reports include specific recommendations regarding exact types, times and rates of application of soil additives and fertilizers based upon soil test results and type of seed mix to be planted. Follow soil additive recommendations before and during topsoil respread operations. Include the following in the topsoil analysis:
  - a. pH factor
  - b. Percent organic matter as determined by a Loss on Ignition or Walkey/Black Test (((ASTM)) F-1647.
  - c. Proctor testing per ASTM D698.
  - d. Chemical analysis testing nitrogen, phosphorus, potassium, calcium, magnesium, cation exchange capacity, base saturation percentages, micronutrients and acidity (pH).
  - e. Particle size analysis of the topsoil as determined by ASTM F1632, performed and compared to the USDA Soil Classification System.
    - 1) Include in the recommendations the type, composition, rate and means of application of soil amendments and fertilizer necessary to establish the required pH factor, organic matter content and supply of nutrients satisfactory for planting.
    - 2) All materials and procedures regarding soil amendments and fertilizers specified in this section are approximate; adjust all soil amendments to comply with the test reports.
- C. Submit seed vendor's certified statement for each grass seed mixture required, stating botanical and common name, percentage by weight, and percentages of purity, germination, and weed seed for each grass seed species.
- D. Contract Closeout Submittals: Comply with the requirements of Section 017700.

# **1.6 QUALITY ASSURANCE**

A. A. Worker's Qualifications: The person's performing the planting and their direct supervisor shall be personally experienced in the construction and caring of lawn areas. On site supervisory personnel shall have been employed by the company engaged in the installation and care of lawn areas for a minimum of five years. All other individuals on the landscape crew must have a minimum of six months experience in the landscape contracting industry.

# 1.7 DELIVERY, STORAGE AND HANDLING

A. Packing and Shipping: Ship seed and associated materials with certificates of inspection required by governing authorities.

- B. Do not make substitutions. If specified seed material is not obtainable, submit to the Architect proof of non-availability and a proposal for use of equivalent material.
- C. Store all seed at the site in a cool, dry place as approved by the Owner's Representative. Replace any seed damaged during storage.
- D. Deliver seed in vendor's unopened packages bearing labels showing the vendor's name and seed analysis by weight.
- E. Deliver fertilizer in the manufacturer's standard sized bags showing the weight, analysis, and manufacturer's name. Store all fertilizer under a waterproof cover or in a dry place as approved by the Owner's Representative.

## **1.8 PROJECT CONDITIONS**

- A. Water: If available on the site, water will be supplied for the purpose of watering newly planted lawn areas at no cost to the contractor. If water is not available on site, the contractor shall supply water at their own cost as required to maintain the health of the newly planted material.
- B. Provide irrigation materials capable of adequately watering new lawn areas until acceptance.

## **1.9 PESTICIDE APPLICATIONS**

A. A. Any contractor applying pesticides must notify the Owner's designated pesticide representative and all property neighbors not less than 48 hours in advance of any pesticide application including herbicides, insecticides and fungicides in accordance State Regulations and the School Pesticide Neighbor Notification Law, Section 409-H of the New York State Education Law and Commissioner's Regulation 155.24.

#### 1.10 SEQUENCING AND SCHEDULING

- A. Proceed with and complete lawn planting as rapidly as portions of the site become available, working within seasonal limitations for the work required.
- B. Seed lawn areas during a period between August 15 and October 1. Seeding during unseasonable conditions must be reviewed and approved with the Architect at the sole risk of Contractor.
- C. Sod: Harvest, deliver, store, and handle sod according to requirements in "Specifications for Turfgrass Sod Materials" and "Specifications for Turfgrass Sod Transplanting and Installation" sections in TPI's "Guideline Specifications to Turfgrass Sodding." Deliver sod within 24 hours of harvesting and in time for planting promptly. Protect sod from breakage and drying.
- D. The Contractor shall complete a minimum of three mowings before requesting the Architect review for acceptance of seeding work.

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## **PART 2 PRODUCTS**

## 2.1 SEED

- A. Grass seed shall be certified "Blue Tag" seed composed of a blend of varieties mixed in proportion by weight and tested for minimum percentages of purity and germination. Submit the proposed mixture to the Architect for approval.
- B. Fall Seeding: Seed blend shall consist of 100% Kentucky Bluegrass on a weight basis. The seed shall be a blend of at least three Kentucky Bluegrass varieties of which no less than 60% of the seed shall be at least two of the following cultivars; Rambo, Princeton-105, Wildwood, Allure, Coventry, Champagne, Northstar, Cardiff, Nimbus, Raven, SR2100, Misty, America, Brilliant, Limousine, Conni, Liberator, Apollo, NuGlade, Total Eclipse, Unique, Impact, Midnight, Arcadia and Serene.
- C. Spring Seeding (If approved by the Project Designer): Seed blend shall consist of 80% Kentucky Bluegrass and 20% Perennial Ryegrass on a weight basis. The seed shall be a blend of at least two Kentucky Bluegrass varieties of which no less than 60% of the seed shall be at least two of the following cultivars; Rambo, Princeton- 105, Wildwood, Allure, Coventry, Champagne, Northstar, Cardiff, Nimbus, Raven, SR2100, Misty, America, Brilliant, Limousine, Conni, Liberator, Apollo, NuGlade, Total Eclipse, Unique, Impact, Midnight, Arcadia and Serene. The Perennial Ryegrass may be any one of the following cultivars; Palmer III, Calypso II, Brightstar II, Secretariat, Monterey, Catalina, Pennant II, Premier II, Sonata, Sunshine and Ascend. The Perennial Ryegrass shall have a minimum germination percentage of 85%. The percentage of weed seed shall not exceed 1% and other crop seed shall not exceed 0.5% by weight of the mixture. Any variety substitutions or deviations from these specifications must be approved by the Architect.

## 2.2 TOPSOIL

- A. Use either approved topsoil imported to the project site or approved on-site topsoil stripped, stockpiled and amended to meet the required specifications.
- B. On-site topsoil shall be from existing stockpiles stripped from the project site and approved by the Architect.
- C. Where quantity of topsoil required exceeds that available from on-site stockpiles, provide imported topsoil from local sources or from areas having similar soil characteristics to that found on the project site which are producing or have produced fair to good yield farm crops without unusual fertilization for a minimum period of ten years or from arable or cultivable areas supplied with good natural drainage. Do not obtain topsoil from bogs or marshes or from farmland that has utilized "Atrizine" or similar herbicide within the past five years.

## 2.3 PROVIDE TOPSOIL CONFORMING TO THE FOLLOWING

A. Original loam topsoil, well drained homogeneous texture and of uniform grade, without the admixture of subsoil material and entirely free of dense material, hardpan, sod, or any other objectionable foreign material.

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- B. Containing not less than four percent nor more than 20 percent organic matter in that portion of a sample passing a <sup>1</sup>/<sub>4</sub>" sieve when determined by the wet combustion method on a sample dried at 105 degrees Fahrenheit.
- C. Containing a pH value within the range of 6.3 and 7.0 on that portion of the sample which passes a  $\frac{1}{4}$  sieve.
- D. On-site and imported topsoil shall be mechanically screened prior to respreading to comply with the following gradation:

SIEVE DESIGNATION	PERCENT PASSING
<sup>3</sup> / <sub>4</sub> inch	100
<sup>1</sup> / <sub>4</sub> inch	97 - 100
No. 200	20 - 65

## 2.4 SOD

- A. Sod Materials:
  - Sod shall be grown on mineral soil, be high quality, free of weeds, disease and insects, and of good color and density. Sod shall be machine cut at a uniform soil thickness necessary for plant viability during the harvest - transport - installation cycle. Individual pieces of sod shall be cut to the suppliers' standard width and length. Sod grass mix shall consist of the one hundred (100%) percent to be Kentucky Bluegrass, of at least three of the varieties that follow: Rambo, Princeton-105, Wildwood, Allure, Coventry, Champagne, Northstar, Cardiff, Nimbus, Raven, SR2100, Misty, America, Brilliant, Limosine, Conni, Liberator, Apollo, NuGlade, Total Eclipse, Unique, Impact, Midnight, Arcadia, and Serene.
  - 2. Sod shall be machine cut at a uniform soil thickness of one half (1/2") inch to three quarter (3/4") inch, at the time of cutting. Measurement for thickness shall exclude top growth and thatch. Individual pieces of sod shall be cut to the supplier's standard width and length. Maximum allowable deviation from standard widths and lengths shall be five (5%) percent. Broken pads and torn or uneven ends will not be acceptable. Sod shall be at least on (1) year old and no more than (2) years old from time of original seeding.
  - 3. Sod shall be harvested, delivered and installed within a period of twenty-four a. (24) hours. Soil on sod pads shall be kept moist at all times.

## 2.5 FERTILIZER

A. Mixed commercial fertilizers containing total nitrogen, available phosphoric acid and soluble potash in the ratio of 10-6-4 (50% N/UF). 50% of the total nitrogen shall be derived from a urea form furnishing a minimum of 3.5% water insoluble nitrogen (3.5% WIN). The balance of the nitrogen shall be present as methylene urea, water soluble urea, nitrate and ammoniacal compounds.

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## 2.6 LIME

- A. Dolomitic Limestone: Approved agricultural dolomitic limestone containing no less than 50% of total carbonates and 25% total magnesium with a neutralizing value of at least 100%. The material shall be ground to such a fineness that 40% will pass through a number 100 U.S. standard sieve, and 98% will pass through a number 20 U.S. standard sieve. The lime shall be uniform in composition, dry and free flowing and shall be delivered to the site in the original, unopened containers, each bearing the manufacturer's guaranteed analysis. Any lime which becomes caked or otherwise damaged making it unsuitable for use will be rejected.
- B. Calcitic Limestone: Approved agricultural calcitic limestone containing a minimum of 86% calcium carbonate expressed as CaCO3. The material shall be ground to such a fineness that 40% will pass through a number 100 U.S. standard sieve, and 98% will pass through a number 20 U.S. standard sieve. The lime shall be uniform in composition, dry and free flowing and shall be delivered to the site in the original, unopened containers, each bearing the manufacturer's guaranteed analysis. Any lime which becomes caked or otherwise damaged making it unsuitable for use will be rejected.

## 2.7 MULCH

- A. Dry Application Straw: Stalks of oats, wheat, rye or other approved crops which are free from noxious weeds. Weight shall be based on 15% moisture.
- B. Hydro-Application: Colored wood cellulose fiber product specifically designed for use as a hydro-mechanical applied mulch.
- C. For convenience, details and specifications have been based on the following manufacturers and their products:
  - 1. Conwed Hydro Mulch as manufactured by Conwed Fibers, Hickory NC.

## **PART 3 EXECUTION**

#### **3.1 EXAMINATION**

- A. Installer Verification of Conditions: Examine conditions under which lawn installation is to be completed with the materials and components specified in this section. Affected Prime Contractors, the Owner's Representative and the Architect shall be notified in writing of any conditions detrimental to the proper and timely installation of the work.
- B. When the Installer confirms conditions as being acceptable, to ensure proper and timely installation of the work and to ensure requirements of applicable warranties or guarantees can be satisfied, submit written confirmation to the Architect. Failure to submit written confirmation and subsequent installation will be assumed to indicate conditions are acceptable to the Installer.

#### **3.2 PREPARATION**

A. Strip and stockpile full depth of existing topsoil. Screen topsoil to comply with gradation specifications prior to respread of the material.

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- B. Perform earthwork operations to accomplish design elevations as indicated on the Contract Documents. Loosen subgrade of lawn areas to a minimum depth of four inches. Remove stone and any other deleterious matter encountered over 1<sup>1</sup>/<sub>2</sub>" in any dimension within the subgrade.
- C. Respread screened topsoil in general lawn areas (non playfield areas) to a minimum depth of six inches as required to meet lines, grades, and elevations shown after light rolling and settlement.
- D. Provide lime or sulfur as required to adjust pH of the screened topsoil to be 6.3 to 7.0. Apply lime or sulfur materials at a rate of 80 pounds per 1000 sq feet. Cultivate soil amendments to a four-inch depth.
- E. Grade lawn areas to a smooth even surface with loose, uniformly fine texture. Roll, rake, remove ridges and fill depressions as required to meet finish grades. Limit fine grading operations to areas which can be planted immediately after grading.
- F. Moisten prepared lawn areas before seeding if soil is dry. Water thoroughly and allow surface moisture to dry before planting lawns. Do not create a muddy soil condition.
- G. Restore lawn areas to specified condition if eroded or otherwise disturbed after fine grading and prior to seeding.
- H. Preparation of Unchanged Grades: Where lawns are to be seeded in areas that have not been altered or disturbed by excavating, grading, or stripping operations, prepare the soil bed for lawn planting as follows:
- I. Prior to preparation of unchanged grades, remove existing grass, vegetation and turf. Dispose of such material outside of the Owner's property; do not turn over into the soil being prepared for lawns unless specifically indicated to do so on the Contract Drawings.
- J. Till soil to a depth of not less than six inches.
- K. Apply soil amendments and initial fertilizers as recommended.
- L. Remove high areas and fill in depressions.
- M. Till soil to a homogeneous mixture of fine texture, free of lumps, clods, stones, roots and other extraneous matter.

### 3.3 SEEDING

- A. Do not use wet seed or seed which is moldy or otherwise damaged in transit or storage.
- B. Application Rate: Six pounds of seed per 1000 sq feet.
- C. Dry Mechanical Application of Seed: Sow seed with Brillion seeder with notched rollers in three passes, second pass at 90 degrees to the first and the third at 45 degrees to the
- D. second. Sow at a rate of two pounds per 1000 sq feet for each pass for a total of six pounds per thousand square feet. Incorporate the seed into the upper one inch of the prepared soil bed and water with a fine spray.

# **3.4 HYDROSEEDING**

A. Apply seeding material with an approved hydroseeder.

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- B. Fill tank with water and agitate while adding seeding materials. Use sufficient fertilizer, mulch and seed to obtain the specified application rate. Maintain constant agitation to keep the contents in a homogeneous suspension. Prolonged delays in application or agitation that may cause injury to the seed will be the basis for rejection of the material remaining in the tank.
- C. Distribute uniformly a slurry mixture of water, seed, fertilizer and mulch at a minimum rate of 57 gallons per 1000 sq feet. (2500 gallons per acre). The Owner's Representative may order the amount of water increased if distribution of seeding materials is not uniform.

#### 3.5 MULCHING

- A. Dry Application: Immediately following seeding operations cover seeded areas with a uniform blanket of shredded straw mulch mechanically blown at a rate of 100 pounds per 1000 sq feet of seeded area.
- B. Hydro Application: Apply approved mulch in accordance with the manufacturer's written instructions and recommended rates of application.

# 3.6 PROTECTION OF SEEDED AREAS

- A. Where grade is less than 3:1, mechanically spread mulch material and crimp into soil utilizing approved disc type machinery with rows at a 6" spacing.
- B. Where grade is 3:1 or greater, cover seeded areas with jute matting and roll matting down over the slopes without stretching or pulling.
- C. Lay the jute matting smoothly on the soil surface, burying the top end of each section in a narrow six-inch trench. Leave a 12-inch overlap from the top roll over the bottom roll. Leave a four inch overlap over the adjacent section.
- D. Staple outside edges and overlaps at 36-inch intervals.
- E. Lightly dress slopes with topsoil to ensure close contact between the matting and the soil layer below.
- F. In ditches, unroll matting in the direction of flow. Overlap ends of strips six inches with the upstream section on the top.

#### **3.7 MAINTENANCE**

- A. Begin maintenance immediately after seeding. If seeded in the fall, continue maintenance the following spring until acceptable lawn conditions are established.
- B. Water to ensure proper seed germination and to keep the surface of the seed bed damp. Continue watering new seeding until acceptance by the Owner. Apply water slowly so that the surface of the soil will not puddle or crust.
- C. Cut grass for the first time when it reaches a height of 2½" and maintain a minimum height of 2". Do not cut more than 1/3 of the blade at any one mowing. Remove clippings.
- D. Apply herbicide as soon as weeds germinate, during calm weather when the air temperature is above 50 degrees Fahrenheit. using a licensed applicator to apply the herbicide. When using herbicides, apply in accordance with the manufacturer's instructions.

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- E. Replant damaged grass areas showing root growth failure, deterioration, bare spots and eroded areas.
- F. Refertilize newly seeded areas 28 days after the initial seeding. Apply a minimum of one pound of nitrogen per 1000 sq feet of field area. Use a complete fertilizer with a 2- 1-1 ratio or as recommended by soil test results.

# 3.8 CLEANUP AND PROTECTION

- A. During landscape construction work, keep pavements clean and the project area in an orderly condition.
- B. Protect landscape construction and materials from damage due to landscape operations, operations by other contractors, trades and trespassers. Maintain protection during installation and maintenance periods. Treat, repair or replace damaged landscape construction as directed.

# **3.9 INSPECTIONS AND FINAL ACCEPTANCE**

- A. When seeding work and lawn establishment is completed, (including maintenance), request the Architect to make an inspection to determine acceptability. Final acceptance of lawn areas will be granted when a uniform stand of acceptable grass is obtained with a minimum of 95% coverage.
- B. Where inspected lawn installation does not comply with the requirements of the Contract Documents, repair rejected work. The Contractor's maintenance responsibility shall continue until reinspected by the Architect and found acceptable. Maintenance responsibilities shall include refertilization, overseeding, watering and mowing of seeded areas.

### END OF SECTION 329200

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### SECTION 329300 EXTERIOR PLANTS

#### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Inspection of plant material
- B. Preparation for planting
- C. Installation of plants
- D. Follow-up inspections and replacements of plants

# **1.2 RELATED SECTIONS**

- A. Section 312000 Site Earthwork
- B. Section 329200 Turf and Grasses

#### **1.3 REFERENCES**

- A. Plant Nomenclature: Conform to the latest edition of "Standardized Plant Names" as adopted by the American Joint Committee of Horticultural Nomenclature.
- B. Size and Grading Standards: Conform to the current edition of "American Standard for Nursery Stock" by the American Association of Nurserymen, Inc., unless otherwise specified.

#### **1.4 DEFINITIONS**

- A. Weeds: Vegetative species other than specified species to be established in given area.
- B. Plants: Living trees, shrubs, perennials, ground cover, and other plant material specified in this section.

#### **1.5 SUBMITTALS**

- A. Comply with the requirements of Section 013300 Submittal Procedures and as modified below.
- B. List of plants: Before plant material is shipped to the project site, submit a complete itemized list of all plants including the source of supply.
- C. Product Data: Furnish the following with each planting material delivery:
  - 1. Invoice indicating sizes and varieties of plant material.
  - 2. Certificates of inspection required by State and Federal agencies.
  - 3. Labels for each plant or bundles of plants indicating name and size.
- D. Quality Control Submittals
  - 1. Experience Listing: Submit a list of completed projects including owner's contact information and telephone number for each project, demonstrating compliance with applicable "Qualifications" requirements specified in the "Quality Assurance" section of this specification.
  - 2. Planting Soil Analysis Report: Submit planting soil analysis report for on-site stockpiled or imported planting soil. Do not mix or utilize planting soil until a soil analysis report is approved by the Architect.
    - a. Provide required representative samples of planting soil materials proposed for use in the project to an independent testing agency for analysis and recommended treatment. Contractor shall pay for all costs incurred for testing and analysis of the soil material.

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- 3. Ensure test reports include specific recommendations regarding exact types, times and rates of application of soil additives and fertilizers based upon soil test results and type of material to be planted. Follow soil additive recommendations during all planting operations. Include the following in the planting soil analysis:
  - 1) pH factor
  - 2) Percent organic matter
  - 3) Soluble salts
  - 4) Available macro and micronutrients
  - 5) Percent clay, sand and silt particles
  - b. Include in recommendations the type, rate and means of application of soil amendments and fertilizer necessary to establish the required pH factor, organic matter content and supply of nutrients satisfactory for planting.
  - c. All materials and procedures regarding soil amendments and fertilizers specified in this section are approximate; adjust all soil amendments to comply with the test reports.
- E. Contract Closeout Submittals: Comply with the requirements of Section 017700.

# **1.6 QUALITY ASSURANCE**

- A. Worker's Qualifications: The person's performing the planting and their direct supervisor shall be personally experienced in the planting and caring of plant material. On site supervisory personnel shall have been employed by the company engaged in the planting and caring for a minimum of two years. All other individuals on the landscape crew must have a minimum of six months experience in the landscape contracting industry.
- B. Tree Caliper
  - 1. Trees up to four inches in caliper shall be sized at a point six inches above the top of the root ball.
  - 2. Trees over four inches in caliper shall be sized at a point 12 inches above the top of the root ball.
- C. Inspection: The Architect reserves the right to inspect plant material either at the nursery or on the project site before planting for compliance with the requirements for name, variety, size and quality.

# 1.7 DELIVERY, STORAGE AND HANDLING

- A. Notify the Owner's Representative a minimum of 48 hours in advance of delivery of plant material.
- B. Do not make substitutions. If specified plant material is not obtainable, submit to the Project Designer proof of non-availability and a proposal for use of equivalent material. When authorized, adjustment of the contract amount will be made.
- C. Protect plant material against climatic and mechanical injury.
- D. Acceptance of Plant Material at the Project Site
  - 1. Provide freshly dug trees and shrubs. Do not prune prior to delivery. Do not bend or bind tie trees or shrubs in such a manner as to damage bark, break or destroy the natural shape of the plant material. Provide protective covering during delivery.
  - 2. Deliver trees and shrubs after preparations for planting have been completed and plant immediately. If planting is delayed more than six hours after delivery, set trees and shrubs in the shade, protect from weather and mechanical damage and keep roots moist.

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- 3. Label at least one tree and shrub of each variety with a securely attached waterproof tag bearing a legible description of the botanical and common name of the plant material.
- 4. Reject plants when the ball of earth surrounding the roots has cracked or broken prior to or during the planting process.
- 5. Reject plants when burlap, staves, and ropes required in connection with transplanting have been displaced prior to acceptance.
- E. Deliver fertilizer in the manufacturer's standard sized bags showing the weight, analysis, and manufacturer's name. Store all fertilizer under a waterproof cover or in a dry place.

### **1.8 PROJECT CONDITIONS**

- A. Water: If available on the site, water will be supplied for the purpose of watering newly planted material at no cost to the contractor. If water is not available on site, the contractor shall supply water at their own cost as required for to maintain the health of the newly planted material.
- B. Utilities: Determine the location of underground utilities and perform work in a manner avoiding possible damage, including required hand excavation. Maintain grade stakes set by others until removal is mutually agreed upon by all parties concerned.
- C. Excavation: When conditions detrimental to plant growth are encountered, such as rubble fill, adverse drainage conditions, or obstructions, notify Owner's Representative before planting.

### **1.9 PESTICIDE APPLICATIONS**

A. A. Any contractor applying pesticides must notify the Owner's designated pesticide representative and all property neighbors not less than 48 hours in advance of any pesticide application including herbicides, insecticides and fungicides in accordance State Regulations and the School Pesticide Neighbor Notification Law, Section 409-H of the New York State Education Law and Commissioner's Regulation 155.24.

### 1.10 1.10 PLANTING GUARANTEE

- A. The plant guarantee shall extend for a period of one full year from the date of substantial completion of the work. Substantial completion for the work of this section is the date when all planting operations or seasonal portions of the planting operations or replacement operations have been completed and are accepted by the Owner's Representative or the Project Designer.
  - 1. The Contractor shall arrange for and conduct a final inspection with the Owner or the Owner's Representative at the end of the one-year guarantee period.
  - 2. Replace plant materials found dead or in an unhealthy or unsightly growing condition and that have lost their natural shape due to dead branches or other causes due to the Contractor's negligence at the Contractor's expense.
  - 3. Replace with plant materials of the same size and species and with a new guarantee period commencing on the date of replacement.
  - 4. Provide maintenance and additional watering for an additional 12 month period.

# PART 2 PRODUCTS

### 2.1 PLANT MATERIALS

- A. Shrubs and Trees: The Contractor shall provide plant material complying with the following:
  - 1. Nursery grown stock as indicated in the itemized plant list or on the Contract Documents complying with the recommendations and requirements of ANSI Z60.1 "Standard for Nursery Stock" and as specified.

- 2. Acclimated plants true to genus and species grown in recognized nurseries in accordance with good horticultural practices.
- 3. Well developed root and branch systems. Do not prune branches before delivery.
- 4. Free of disease, insect eggs, bark abrasions, frost cracks, dead or broken branches and disfiguring knots.
- 5. Buds intact and reasonably closed at the time of planting.
- 6. Balled and burlapped from soil which will hold a natural ball. Manufactured balls are unacceptable.
- 7. Conform to size indicated or larger, or within the minimum/maximum size when so indicated. Larger plants cut back to specified dimensions will not be acceptable.
- 8. Specified trees shall have a single erect leader from ground to top, surrounded with uniformly arranged branches unless specifically noted otherwise.
- 9. Transplanted or root pruned 360 degrees at least once during the previous three years.
- B. Soil Amendments (For every 4CY of topsoil):
  - 1. Peat Moss: 7<sup>1</sup>/<sub>2</sub> CF bale (Approved compost material may be used as a substitute to peat moss).
  - 2. Fertilizer: 5lbs.

### 2.2 FERTILIZER

A. A. 10-6-4 Commercial Fertilizer: Containing not less than 10% nitrogen, 6% available phosphoric acid and 4% water soluble potash. (Existing topsoil analysis shall be utilized to verify the actual fertilizer analysis to be used in this project)

### 2.3 MULCH

A. A. Shredded Hardwood Mulch: Wood fiber produced from hardwood trees, free of tannic acid, leaves, young green growth, wood shavings, sawdust or other objectionable foreign material.

### 2.4 MISCELLANEOUS MATERIALS

- A. Stakes, Deadmen and Guy Stakes: Sound, durable white or red cedar or other approved wood, free of insect and fungus infestation.
- B. Guy Wire or Cable: No. 12 galvanized wire or cable.
- C. Tree Wrapping: 4 inch wide strips of jute burlap or waterproof paper.
- D. Protective Hose: Two-ply garden hose cut to required lengths to protect tree trunks from damage from wire.
- E. Anti-Desiccant: Emulsion type, film forming agent designed to permit transpiration but retard excessive loss of moisture from plants. Deliver in manufacturer's fully identified containers and mix in accordance with the manufacturer's instructions; similar to "Wilt- Pruf" by Wilt-Pruf products, Essex, CT.
- F. Landscape Fabric: Weather resistant, polypropylene sheeting complying with the permeability coefficient 0.0028 or 2.845 gal./sf/minute, minimum 30 mil thick; similar to "Weed Barrier" by DeWitt Co., Inc., Sikeston, MO.

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# **PART 3 EXECUTION**

# 3.1 EXAMINATION

- A. Installer Verification of Conditions: Examine conditions under which landscape planting is to be completed with the materials and components specified in this section. Affected Prime Contractors, the Owner's Representative and the Architect shall be notified in writing of any conditions detrimental to the proper and timely installation of the work.
  - 1. When the Installer confirms conditions as being acceptable, to ensure proper and timely installation of the work and to ensure requirements of applicable warranties or guarantees can be satisfied, submit written confirmation to the Architect. Failure to submit written confirmation and subsequent installation will be assumed to indicate conditions are acceptable to the Installer.

# **3.2 PREPARATION**

- A. Planting Layout:
  - 1. Stake out all tree locations and planting areas.
  - 2. Obtain layout approval from the Owner's Representative prior to excavations of plant pits and beds.
- B. Plant Pit Dimensions:
  - 1. Balled and Burlapped Plants: Pit depth should not exceed the ball depth. The pit width measured at the ground surface shall be three times the width of the ball or as indicated.
  - 2. Container Grown Plants: Two times the diameter of the container measured at the ground surface.
- C. Ground Cover Beds: Excavate the entire planting bed to a depth of 4" and replace with amended planting soil.
  - 1. Bare Root Plants: Diameter equal to the width of the roots spread to their natural position plus 24 inches, measured at the ground surface.
  - 2. Hedge Trenches: 18 inches wide and 18 Inches deep.
- D. Excavation: Excavate pits to the dimensions specified. Dispose of excavated material of the site unless otherwise directed.

# 3.3 PLANT INSTALLATION

- A. Setting Plants
- B. Backfill pits with planting soil and firm to the level upon which plants were previously growing. Set plants plumb. Plant budded or grafted plants two inches below the bud or graft line. Complete backfilling with planting soil and settle continually with water.
  - 1. Balled Plants: Set plants in position and backfill 1/3 depth of ball. Remove burlap from the top and adjust to eliminate air pockets. Remove all metal caging and synthetic twine. Complete backfill and settle with water.
  - 2. Bare-Root Plants: Set plant in position and place planting soil around roots settling with water. Use care to avoid bruising or breaking roots when firming the soil. Prune bruised or broken roots
- C. Wrapping: Wrap deciduous trees within four days after planting from the ground line to the height of the second branches. Wrap in a single layer wound spirally starting from the base and overlapping 1<sup>1</sup>/<sub>2</sub> inches. Secure wrapping in place by use of approved staples or other approved methods and materials.

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- D. Staking: Set tree stakes into solid ground below the bottom of the plant before backfilling. Place stakes at the outer edge of the roots or ball in line with the prevailing wind at a ten degree angle from the tree trunk.
- E. Anti-Desiccant: Apply anti-desiccant spray to broadleaved ericaceous plants installed in the Fall season, as directed.
- F. Landscape Fabric: Install over the planting area to the limits indicated. Cut fabric as required to avoid plants.
- G. Surface Finish: Form saucer as indicated on drawings or as directed. Grade soil to form a basin on the lower side of sloped plantings, which will catch and retain water. Topdress basins with fertilizer spread evenly at a rate of 1½ pounds per square yard of plant pit surface.
- H. Mulching: Spread a minimum of 4" of shredded hardwood mulch over the finished surface of each plant, plant bed or hedge trench. Water plants thoroughly after mulching is complete.
- I. Pruning: Prune plant material immediately after planting using sharp tools approved by the Owner's Representative. Remove approximately 1/3 of the wood of deciduous plants, maintaining the natural habit of the plant. Cut no leaders.
- J. Guying: Secure deciduous trees two inches and over in caliper, multi-stemmed trees six feet and over in height, and evergreen trees six feet and over in height with minimum three guys. Attach guy wires with protective hosing to stakes and trees as indicated. Connect multistemmed trees with protected wires maintaining each stems relationship to one another.
- K. Establishment of Planting: Maintain plantings immediately following planting operations and continue throughout the warranty period. Establishment of plantings shall consist of keeping plants in healthy growing conditions by watering, weeding, cultivating, pruning, spraying, tightening of guys, remulching and by any other necessary operations for establishment. Water all plants at least once a week between April 1 and October 31 with approximately five gallons of water per square yard (one inch layer of water) per watering unless otherwise directed by the Owner's Representative. Provide additional water during periods of dry weather when required or when directed. Treat plants with sound horticultural preventative or remedial measures to control insects, diseases and rodents.
- L. Weeding: Schedule maintenance work at least three times during the growing season of the 12 month warranty period to keep planting areas free from weeds. Coordinate maintenance work with the Owner's Representative.

# 3.4 CLEANUP AND PROTECTION

- A. During landscape construction work, keep pavements clean and the project area in an orderly condition.
- B. Protect landscape construction and materials from damage due to landscape operations, operations by other contractors, trades and trespassers. Maintain protection during installation and maintenance periods. Treat, repair or replace damaged landscape construction as directed.

## 3.5 INSPECTIONS AND REPLACEMENTS

A. Substantial Completion Inspection and Replacements: Notify the Owner's Representative in writing at least ten days prior to the requested date of planting substantial completion inspection. Remove and replace dead, unhealthy, or badly impaired plants according to the original specification, if so directed. Replace plants during the next planting season if this inspection is not within a planting season.

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B. End of Warranty Inspection and Replacements: Remove stakes, guy wires and tree wrapping at the end of the one-year warranty period unless otherwise directed. Remove and replace dead, unhealthy or impaired plants according to the original specifications, as directed. Replace plants during the next planting season if this inspection is not within a planting season.

# END OF SECTION 329300

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#### STORM DRAINAGE SYSTEM

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#### SECTION 334100 STORM DRAINAGE SYSTEM

#### PART 1 GENERAL

#### **1.1 SECTION INCLUDES**

- A. This Section includes furnishing all labor, materials, equipment and services required to complete and make fully functional, the work indicated on the Contract Drawings and as described in the Contract Documents. This Section includes but is not limited to the following:
  - 1. Storm drainage pipes.
  - 2. Catch basins.
  - 3. Trench drain.

#### **1.2 RELATED DOCUMENTS**

- A. Excavation, pipe bedding and backfill, filter fabric, underground warning tape and riprap at flared end sections are specified in Division 31 Specification Section 312000 "Earthwork-Site".
- B. Excavation, pipe bedding and backfill, filter fabric, underground warning tape and riprap at flared end sections are specified in Division 31 Specification Section 312000 "Earthwork-Site".

## **1.3 SUBMITTALS**

- A. See Section 013300 Submittal Procedures, for submittal procedures.
- B. General: Submit each item in this Article according to the Conditions of the Contract.
- C. Record Drawings: At project closeout, submit Record Drawings of installed storm drainage piping and products in accordance with requirements of General Condition.
- D. Inspection and test reports specified in the "Field Quality Control" Article specified herein.

### 1.4 QUALITY ASSURANCE

- A. Manufacturer's Qualifications: Firms regularly engaged in manufacture of drainage system products of types, materials, and sizes required, whose products have been in satisfactory use in similar service for not less than five (5) years.
- B. Installer Qualifications: Firm with at least three (3) years of successful installation experience on projects with drainage work similar to that required for Project.
- C. Codes and Standards:
  - 1. Plumbing Code Compliance: Comply with applicable portions of National Standard Plumbing Code pertaining to selection and installation of storm drainage system materials and products.
  - 2. New York State Regulation Compliance: Comply with the rules, regulations and standards of the New York State Department of Health (NYSDOH) and New York State Department of Environmental Conservation (NYSDEC) pertaining to storm drainage systems.

### **1.5 DEFINITIONS**

A. Storm Drainage Piping: System of storm sewer pipe, fittings, and appurtenances for gravity flow of storm drainage water, surface and subsurface generated.

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#### STORM DRAINAGE SYSTEM

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#### **1.6 PERFORMANCE REQUIREMENTS**

A. Gravity-Flow, Nonpressure-Piping Pressure Ratings: Silt-tight joints per ASTM F477 for HDPE Pipe.

## 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Do not store plastic structures, pipe or fittings in direct sunlight.
- B. Protect pipe, pipe fittings, and seals from dirt and damage.
- C. Handle precast concrete headwalls and any other structures according to manufacturer's rigging instructions.

## **1.8 PROJECT CONDITIONS**

- A. Refer to utility plans of Contract Drawings pertaining to existing above ground and underground utilities.
- B. Contractor shall obtain and pay for all permitting, inspection and connection fees associated with this project.

#### PART 2 PRODUCTS

# 2.1 PIPES AND FITTINGS

- A. Corrugated, High Density Polyethylene (PE) Drainage solid, double wall smooth interior pipe: AASHTO M 252 Type S, for 3 inch to 10 inch diameter, AASHTO M 294 Type S, for 12 inch to 48 inch diameter.
  - 1. Couplings: ASTM D 3350 and AASHTO M294, high density polyethylene sleeve with ASTM D 1056 Type 2, Class A, Grade 2 gasket material that mates with pipe and fitting for silt- tight joints.

### 2.2 GROUT

A. Non-shrink grout shall be an approved non-shrink, non-staining grout consisting of either a mixture of hydraulic cement, water, fine aggregate, and an approved nonferrous expansive admixture or a packaged product. Grout shall have a 28-day compressive strength of at least 3,000 psi at the desired water content for optimum placement.

# 2.3 CATCH BASINS

- A. Precast Concrete Catch Basin and Outlet Control Structures: ASTM C 478 precast, reinforced concrete, of depth indicated, with shiplap joints.
  - 1. Diameter: 48 inches minimum.
  - 2. Base Section: 8-inch minimum thickness for floor slab and 5-inch for walls and base riser section and having a base section with integral floor.
  - 3. Riser Sections: 8-inch minimum wall thickness and lengths to provide depth indicated.
  - 4. Top Section: Flat-slab-top traffic type as indicated.
  - 5. Shiplap Joint Sealant: Butyl rope joint sealant; ASTM C990-91 and AASHTO M-198B.
  - 6. Grade Rings: Include 2 or 3 pre-cast reinforced-concrete rings, of 8-inch total thickness each, that match inside diameter of top section opening.
  - 7. Steps: ASTM C 478-85a and C497-85 individual steps manufactured of copolymer polypropylene plastic. Omit steps for catch basins less than 24 inches deep.
  - 8. Pipe Connectors: ASTM C 923 cast or fitted into manhole walls, for each pipe connection.
- B. Frame and Grates: ASTM 48/A 48M, Class 35, gray iron designed to meet AASHTO M306 loading. Include flat grate with small square drainage openings.

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STORM DRAINAGE SYSTEM

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- 1. Size: 30 by 30 inches minimum unless otherwise indicated.
- 2. Frame Height: 4" minimum in traffic areas
- 3. Manufacturers:
- 4. Product 5419 from East Jordan Iron Works, Inc., Denver, CO.
- 5. Product 2816B from Campbell Foundry, Co., Harrison, NJ.
- 6. Or approved equal.

# 2.4 TRENCH DRAIN

- A. General Requirements for Polyester polymer concrete, Channel Drainage Systems:
  - 1. Modular system of polyester polymer concrete channel sections, grates, and appurtenances.
  - 2. Designed so grates fit into frames without rocking or rattling
  - 3. Number of units required to form lengths depicted on the plans.
- B. Basis-of-Design Product: Subject to compliance with requirements, provide ACO Drain K100 as manufactured by ACO Polymer Products, Inc. or comparable product by one of the following:
  - 1. MultiDrain Systems, Inc.
  - 2. NDS Inc.
  - 3. Zurn Plumbing Products Group
- C. Channel Sections
  - 1. Interlocking-joint, modular units, with built-in invert slope of approximately 0.5% and with end caps.
  - 2. Rounded or inclined inside bottom surface with outlets in quantities, sizes, and locations indicated.
  - 3. Width: 4 inches
- D. Field-attached frames that fit channel sections and grates.
  - 1. Material: Galvanized steel
- E. Grate
- F. Basis-of-Design: Subject to compliance with requirements, provide ACO Drain Type 494D longitudinal ductile iron grate manufactured by ACO Polymer Products, Inc. or comparable product by one of the following:
  - a. MultiDrain Systems, Inc.
  - 2. NDS Inc.
    - a. Zurn Plumbing Products Group
  - 3. Grates shall be ADA compliant and heel proof.
- G. Supports, Anchors, and Setting Devices: Manufacturer's standard unless otherwise indicated.
- H. Load Class: Channel and grate shall withstand loading a minimum of Load Class A as outlined by EN 1433.
- I. Channel-Section Joining and Fastening Materials: As recommended by system manufacturer.

# 2.5 CONCRETE

- A. General: Cast-in-place concrete according to ACI 318, ACI 350R, and the following:
  - 1. Cement: ASTM C 150, Type II, Portland Cement.
  - 2. Fine Aggregate: ASTM C 33, sand.
  - 3. Coarse Aggregate: ASTM C33 crushed gravel.
  - 4. Water: Potable.

STORM DRAINAGE SYSTEM

- B. Structures: Portland-cement design mix, 4000-psi minimum, with 0.35 maximum Water-to-Cement (W/C) ratio.
  - 1. Reinforcement Fabric: ASTM A 185, steel, welded wire fabric, plain.
  - 2. Reinforcement Bars: ASTM A 615, Grade 60 deformed steel.

#### **PART 3 EXECUTION**

#### **3.1 EARTHWORK**

A. Excavating, trenching and backfilling are specified in Division 31 Specification Section 312000 "Earthwork-Site."

## **3.2 DRAINAGE PIPING APPLICATIONS**

- A. General: Include silt tight joints as indicated.
- B. Refer to Part 2 of this Section for detailed specifications for pipe and fitting products listed below. Use pipe, fittings, and joining methods according to the following applications.
- C. Pipe Sizes 8 to 48 Inches: AASHTO M 294 Interim, corrugated polyethylene (PE) plastic pipe and fittings; corrugated, silt-tight couplings: silt-tight coupled joints.

## 3.3 INSTALLATION, GENERAL

- A. General: Include silt tight joints as indicated.
- B. Install piping beginning at low point, true to grades and alignment indicated with unbroken continuity of invert. Place bell ends of piping facing upstream. Install gaskets, seals, sleeves, and couplings according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
- C. Install proper size increasers, reducers, and couplings where different sizes or materials of pipes and fittings are connected. Reducing size of piping in direction of flow is prohibited.
- D. Install HDPE piping according to ASTM D 2321.

## 3.4 CATCH BASIN INSTALLATION

- A. Construct all concrete structures to sizes and shapes indicated.
- B. Set frames, grates and covers to elevations indicated.
- C. Install piping according to manufacturer's standard specifications.
- D. TRENCH DRAIN INSTALLATION
- E. Install with top surfaces of components, except piping, flush with finished surface.
- F. Assemble channel sections to form slope down toward drain outlets. Use sealants, adhesives, fasteners, and other manterials recommended by system manufacturer.
- G. Install drains as recommended by the manufacturer as depicted on the Construction Documents.
- H. CONCRETE PLACEMENT
- I. Place cast-in-place concrete according to ACI 318, ACI 350R, and as indicated.
- J. FIELD QUALITY CONTROL
- K. Clear interior of piping and structures of dirt and superfluous material as the work progresses. Maintain swab or drag in piping and pull past each joint as it is completed.
  - 1. In large, accessible piping, brushes and brooms may be used for cleaning.
  - 2. Place plug in end of incomplete piping at end of day and whenever work stops.

STORM DRAINAGE SYSTEM

- 3. Flush piping between catch basins and other structures, if required by authorities having jurisdiction, to remove collected debris.
- L. Inspect interior of piping to determine whether line displacement or other damage has occurred. Inspect after approximately 24 inches of backfill is in place, and again at completion of the Project.
  - 1. Submit separate reports for each system inspection.
- M. Defects requiring correction include the following:
  - a. Alignment: Less than full diameter of inside of pipe is visual between structures.
  - 2. Deflection: Flexible piping with deflection that prevents passage of a ball or cylinder of a size not less than 92.5 percent of piping diameter.
    - a. Crushed, broken, cracked, or otherwise damaged piping.
  - 3. Replace defective piping using new materials and repeat inspections until defects are within allowances specified.
  - 4. Reinspect and repeat procedure until results are satisfactory.
  - 5. Do not enclose, cover, or put into service before inspection and approval.
  - 6. Test completed piping systems according to authorities having jurisdiction.
  - 7. Schedule tests, and their inspections by authorities having jurisdiction, with at least 24 hours advance notice.
  - 8. Submit separate reports for each test.
  - 9. Where authorities having jurisdiction do not have published procedures, perform tests as follows:
    - a. Storm Drainage: Perform lamping of HDPE pipe sections between drainage structures.
- N. Contractor shall clean all existing and new storm drainage piping and structures prior to substantial completion. This is to include excavating accumulated sediments and debris.

# END OF SECTION 334100