

A. STATEMENT AND CERTIFICATION OF NON-COLLUSION

COMPLIANCE WITH SECTION 103-d of GENERAL MUNICIPAL LAW

1. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of their knowledge and belief:
 - a) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any bidder or with any competitor;
 - b) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
 - c) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
2. A bid shall not be considered for award nor shall any award be made where (1) (a), (b) and (c) above have not been complied with; provided, however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets further in detail the reasons therefor. Where (1) (a), (b) and (c) above have not been complied with, the bid shall not be considered for award nor shall any award be made unless the Village of Ossining Corporation Counsel, or its designee, determines that disclosure was not made for the purpose of restricting competition.
3. The fact that a bidder has published price lists, rates, or tariffs covering items being procured, has informed prospective customers of proposed or pending publication of new or revised price lists for such items, or has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph 1 above.
4. Any bid hereafter made hereunder by a corporate bidder for work or services performed or to be performed by, goods sold or to be sold, where competitive bidding is required by statute, rule regulation, or local law, and where such bid contains the certification referred

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to in paragraph 1 above, shall be deemed to have been authorized by the Board of Directors, partners, or members of the bidder, and such authorization shall be deemed to include the signing and submission of the bid and the inclusion therein of the certificate of non-collusion as the act and deed of the corporation.

Dated _____
Legal Name of Person, Firm, or Corp.

(Seal of Corporation)

Business Address of Person, Firm or Corporation

By: _____
Signature

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NON-COLLUSIVE BIDDING CERTIFICATION BIDDER INFORMATION

Bidder to provide information listed below: (Please print)

Bidder Address: _____

Federal Identification No.: _____

Name of Contact Person: _____

Phone # of Contact Person: _____

If Bidder is a Corporation: _____

President's Name & Address: _____

Secretary's Name & Address: _____

Treasurer's Name & Address: _____

If Bidder is a Partnership: _____

Partner's Name & Address: _____

Partner's Name & Address: _____

If Bidder is a Sole Proprietorship: _____

Owner's Name & Address: _____

If Bidder is a Joint Venture: _____

Names & Addresses of Each Member Must be Identified: _____

B. IRAN DIVESTMENT ACT OF 2012 CERTIFICATION

General Municipal Law section 103-g imposed requirements on local governments to ensure that public contracts are not awarded to entities invested in the Iranian energy sector. The statute also notes that, “a bid may not be considered for award nor may an award be made where the bidder has not submitted the statement of non-investment.” (Purchasing Goods and Services: A Guide to Competitive Bidding and Procurement, (NYCOM March, 2015).

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law.

Affirmation:

Legal Name of Person, Firm or Corp.

Business Address of Person, Firm or Corp.

Signature

Print Name

Print Title

Date

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C. BIDDER'S QUALIFICATIONS

The undersigned offers the following information as evidence of the facilities, ability and/or financial resources available for the fulfillment of the contract, if so awarded.

FACILITIES - That it has available for immediate use on the proposed work, the following plant and equipment:

ABILITY - That it has experience in the performance of the trades in projects of similar scope and size.

REFERENCES - Provide references from projects of similar scope and magnitude.

PROJECT NAME	LOCATION	OWNER/TEL.#	CONTRACT \$

The contractor hereby authorizes the Village to contact the above people:

SIGNED DATE

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FINANCIAL RESOURCES - That information relative to financial resources can and may be obtained from the following (give, name, business and address; at least one must be a bank):

NAME

BUSINESS

ADDRESS

Upon request, the undersigned will amplify the foregoing statements as may be required and necessary to satisfy the Village concerning the ability to successfully perform the work in a satisfactory manner within the required time.

SUBCONTRACTOR(S) - List any subcontractor(s) you plan to use for any part of this work and provide details:

SUBCONTRACTOR NAME

DETAIL OF WORK TO BE SUBCONTRACTED

The Bidder shall submit with the bid the above qualification information for each of the listed subcontractors.

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BUSINESS ORGANIZATION INFORMATION – State the bidder’s complete legal name including corporate legal status (corporation, partnership, joint venture, limited liability company, limited liability partnership, sole proprietorship) and any names under which the bidder is doing business. For a corporation identify the president and secretary, for a partnership, the general or managing partner(s), for a limited liability company, the managing member(s), and for a joint venture identify each member of the joint venture. **This information must be provided.**

Please fill-in completely and print unless signature required.

- a) Correct name of Bidder _____
- b) The business is a _____
- c) The principal place of business address is:

- d) The names of the corporate officers, or partners, or individual(s) doing business under a trade name, are as follows:

Signature of Bidder’s Representative _____

Title of Bidder’s Representative _____

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D. REGISTRATION FOR CONTRACTORS AND SUBCONTRACTORS

By submission of this bid, the bidder certifies that it has registered with the New York State Commissioner of Labor pursuant to section 220-i(6) of the Labor Law. **The bidder has provided a copy of its current certification with this bid.** The bidder further certifies that all of the subcontractors identified in section C also are registered with the New York State Commissioner of Labor. **Current registration certificates for subcontractors also are provided with this bid.** It is understood that applications for registration **shall not** be accepted as a substitute for a certificate of registration.

Affirmation:

Legal Name of Person, Firm or Corp.

Business Address of Person, Firm or Corp.

Signature

Print Name

Print Title

Date

E. MINORITY AND WOMEN-OWNED BUSINESS CERTIFICATIONS

In order to monitor minority and women-owned business enterprise (MWBE) participation in the Village of Ossining's solicitation and procurement processes, we request that you answer the questions below. If you do not answer the questions, we will assume that you do not wish to be considered a minority and/or women-owned business.

A minority-owned business is defined as a business that is 51% or more owned and controlled in a substantial and continuing manner by people who are eligible minorities or, in the case of a publicly owned business, where 51% or more of the voting shares of the corporation are owned by people who are eligible minorities.

Eligible minorities are defined as Blacks, Hispanics, Asians, American Indians, Eskimos and Aleuts.

A women-owned business is defined as a business that is 51% or more owned and controlled in a substantial and continuing manner by women, or in the case of a publicly owned business, where 51% or more of the voting shares of the corporation are owned by women.

Are you a Minority-Owned Business? Yes ☐ No ☐

Are you a Women-Owned Business? Yes ☐ No ☐

What Minority group(s) are you?

What percentage of ownership or voting power in shares of your business do Minorities and/or Women own?

Please identify, by name, Minority/Women owners of your business and ownership percentage of each:

F. BIDDER’S ACKNOWLEDGMENT OF ADDENDA

The undersigned acknowledges receipt of Addenda as listed below and represents that any additions or modifications to, or deletions from the work called for in these Addenda, are included in the Base Bid Sum, if affected thereby.

<u>ADDENDA NO.</u>	<u>DATED</u>
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Bidder Signature _____

Bidder Title _____

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VILLAGE OF OSSINING
WESTCHESTER COUNTY, NEW YORK

G. BIDDER'S PROPOSAL

**Multi-Modal Transportation Hub Construction
Contracts DPW-25-07G, DPW-25-07P, DPW-25-07M, and DPW-25-07E**

1.1 INFORMATION

- A. Bid presented to: Village of Ossining, Municipal Building, 16 Croton Avenue, Ossining, NY
- B. Bid presented by:
 - a. Legal name and address of bidder:

- C. Bid presented for: Multi-Modal Transportation Hub Construction, Village of Ossining, NY

TRADE	CONTRACT NO.	CHECK ONE
General Construction	DPW-25-07G	
Plumbing and Fire Suppression Construction	DPW-25-07P	
Mechanical (HVAC) Construction	DPW-25-07M	
Electrical Construction	DPW-25-07E	

- D. The bidder by making a bid represents that:
 - a. The bidder has read and understands the bidding documents titled Multi-Modal Transportation Hub Construction and the bid is made in accordance therewith;
 - b. The bidder has read and understands the bidding documents and contract documents, to the extent that such documentation relates to the work for which the bid is submitted, and for other portions of the project, if any, being bid concurrently or presently under construction;
 - c. The bidder has visited the site, become familiar with local conditions under which the work is to be performed and has correlated the bidder's personal observations with the requirements of the proposed contract documents;
 - d. The bid is based upon the materials, equipment, and systems required by the bidding documents without exception.
- E. Addenda:
 - a. The bidder acknowledges receiving addenda and including the addenda when preparing the bid proposal.
 - b. Indicate the addenda numbers received:

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F. Bid:

- a. The bidder proposes to perform the Work for the following lump sum amount:

BID: \$ _____ (Numerical amount)

(_____ Dollars) (Written amount)

In the event of a discrepancy or unclear bid, the price in words shall govern.

G. Complete the following applicable page(s) and the final page "Execution" paragraph:

H. General Construction Contract No. DPW-25-07G:

1. Unit Prices (only applicable unit prices are listed):

Item	Unit of Measure	Unit Price Add	Unit Price Deduct
Trench Rock Excavation	Cubic Yard		
Bulk Rock Excavation	Cubic Yard		
Exterior Bulk Excavation	Cubic Yard		
Exterior Trench and Culvert Excavation	Cubic Yard		
Replacement of Unsuitable On-Site Materials			
Utilities Excavation	Linear Foot		
Cast-In-Place Concrete	Cubic Yard		
Concrete Slab-On-Grade	Square Foot		
Structural Steel	Pounds		
Graphic Tensile Membrane	Square Foot		

2. Allowances: There are no allowances as part of this Bid.

I. Plumbing and fire suppression Contract No. DPW-25-07P:

1. Unit Prices (only applicable unit prices are listed):

Item	Unit of Measure	Unit Price Add	Unit Price Deduct
FIXTURES:			
Water Closet	Each		

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Urinal	Each		
Lavatory	Each		
Drinking Fountain	Each		
Mop Sink Basin	Each		
Floor Drain	Each		
Hose Bibb	Each		
RPZ Assembly	Each		
Pendant Exposed Sprinkler Head	Each		
Upright Sprinkler Head	Each		
Sidewall Sprinkler Head	Each		
Tamper Switch	Each		
Flow Switch	Each		

2. Allowances: There are no allowances as part of this Bid.

J. Mechanical (HVAC) Contract No. DPW-25-07M:

1. Unit Prices (only applicable unit prices are listed):

Item	Unit of Measure	Unit Price Add	Unit Price Deduct
Galvanized Ductwork	Pounds		
Volume Damper	Each		
Unit Heater	Each		
Motorized Damper	Each		
Diffuser, Grille	Each		

2. Allowances: There are no allowances as part of this Bid.

K. Electrical Contract No. DPW-25-07E:

1. Unit Prices (only applicable unit prices are listed):

Item	Unit of Measure	Unit Price Add	Unit Price Deduct
Duplex Receptacle	Each		
Line Voltage Lighting Switch	Each		
Low Voltage Lighting Switch	Each		
Vacancy Sensor	Each		

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Light Fixture (each type)	Each		
Empty Conduit & Junction Box for Telecommunications	Per Drop		
Smoke Detector	Each		
Pull Station	Each		
Tamper Switch	Each		
Horn/Strobe	Each		
Heat Detector	Each		
Fire Alarm Control Module	Each		
Branch Circuit 2#12,1#12G-¾" C	Per 20 Feet		
2#10,1#10G-¾" C	Per 20 Feet		
4#10, 1#10G-¾" C	Per 20 Feet		
5#10, 1#10G-¾" C	Per 20 Feet		
Feeder 4#4,1#8G-1 ¼ " C	Linear Foot		
3#2,1#6G-2" C	Linear Foot		
4#4/0,1#2G-2.5"C	Linear Foot		
4#500mcm,1#350mcmG-3½" C	Linear Foot		
20A-1p breaker	Each		
30A-1p breaker	Each		
20A-2p breaker	Each		
30A-2p breaker	Each		
60A-3p breaker	Each		
80A-3p breaker	Each		
100A-3p breaker	Each		
150A-3p breaker	Each		
225A-3p breaker	Each		
Fire Alarm Branch Wiring	Linear Foot		
30A-3p Fused Disconnect Switch	Each		
60A-3p Fused Disconnect Switch	Each		
100A-3p Fused Disconnect Switch	Each		
200A-3p Fused Disconnect Switch	Each		
300A-3p Fused Disconnect	Each		

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Switch			
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2. Allowances: There are no allowances as part of this Bid.

L. Execution

1. The undersigned Bidder will accomplish all work required by the Bidding Documents and will provide Substantial Completion within **335 calendar days** from the contract signing, and will provide the project, ready for final acceptance, inclusive of all punch list and project close out documents within **365 calendar days** from the contract signing.
2. The undersigned hereby certifies that he/she is able to furnish labor that can work in harmony with all other elements of labor employed or to be employed on the work.
3. Enclosed herewith, is the Bid Security in the form of:

Bid Bond() Certified Check ()

in the amount of _____ Dollars.

Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.

Dated: _____

Name of Company: _____

(Affix corporate seal here if applicable)

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Address: _____

Signature: _____ Title: _____

Print Name: _____

Phone: _____ Fax: _____

Email: _____

Federal ID Number _____

Seal
(If the bid is
by a corporation)

Acknowledgement by Contractor, if a corporation.

State of New York
County of:

On this ____ day of _____, 20____, before me personally came

_____, _____,

to me know and known and known to me, who, being sworn, did depose and say that he/she
resides in the _____:

that he/she is the _____ of the _____,

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a body corporate and the corporation described in and which erected the foregoing instruments; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; and that it was so affixed by order of said corporation; and that he/she signed thereto by like order.

Seal

NOTARY PUBLIC

H. BIDDER'S ACKNOWLEDGEMENT OF PROPOSAL

Pursuant to and in compliance with the Advertisement (Invitation for Bids) and the Information for Bidders relating thereto, the undersigned states that they have examined the Contract Documents and the site of the work, made all investigations which have been deemed necessary or desirable, and that they understand the purport and magnitude of the work intended, and the undersigned hereby offers to furnish all plant, labor, materials, equipment, supplies and other facilities and things necessary or proper for or incidental to the proper performance of the work advertised. The undersigned shall pursue said work in strict accordance with the Contract Documents of which this Proposal is a part and with such detailed directions, plans, and drawings as may be furnished from time to time during the progress of the work by the Engineer.

The undersigned hereby agrees to commence work under this Contract within five (5) calendar days following the issuance of a Notice to Proceed and to substantially complete the work in all respects, including the tentative list of items to be completed or corrected within **three hundred thirty-five (335)** calendar days from the Contract Signing in accord with the Contract Agreement. The undersigned further agrees to pay damages in accordance with the Contract Agreement, Section III, Part D, Item No. 4.

The award will be made to the lowest responsible and responsive bidder based on the Bid.

The Owner shall have the right to reject any bids and to waive any informalities and irregularities in the bid received or modify the work required by addenda. The addenda will be mailed or delivered to all whom the Owner knows to have received a complete set of Contract Documents.

Accompanying this Proposal, under separate cover, is a bid bond, cashier's check, or certified check payable to the Owner. In case this Proposal is accepted by the Owner, and the undersigned shall fail to execute the Contract with and to give a bond to the Owner according to the Information for Bidders, then the said bid bond, cashier's check, or certified check shall be forfeited to the Owner; otherwise, it shall be returned to the undersigned.

If written notice of the acceptance of this bid is mailed or delivered to the undersigned within Thirty (30) days after the date of opening of the bids, or any time thereafter before this bid is withdrawn, the undersigned shall, within ten (10) days after the date of such mailing or delivering of such notice, execute and deliver a contract in the Form of Contract attached hereto, and Bonds

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as hereinafter specified. The undersigned hereby designates the above address as the office to which such notice of acceptance may be mailed or delivered.

Name of Bidder _____

Name of Bidder's Representative _____

Signature of Bidder's Representative _____

Title of Bidder's Representative _____