



## **CONFLICT OF INTEREST**

These guidelines and policies are issued for the purpose of prescribing a mandatory code of conduct that shall be followed by all Resorts World Casino (The “Company”) employees and vendor/contractors in the business of providing goods and services to the Company. Said guidelines are effective immediately and shall include but not limited to the following situations:

1. Awarding of any and all building and construction contracts regardless of amount;
2. Awarding of any and all contracts for the providing of goods and services necessary to the operation of the company;
3. The selection of Financial institutions for the deposit of funds; and
4. Other such relationships as the Company see fit to govern.

## **STANDARDS DETERMINING CONFLICTS OF INTERESTS**

A conflict of interest situation is deemed to exist whenever any of the following situations occur:

1. When a member of the Company staff has an interest, by way of direct or indirect , investment, ownership, or employment, in the firm or company doing business with the Company;
2. When a member of the Company sits as a director, partner, member of a corporation or firm, or serves as a consultant to a corporation or firm, that does business with the Company;
3. When a member of the Company has a relationship by way of marriage or domestic partnership to an owner, officer, employee or consultant of a corporation or firm doing business with the Company;
4. When a member of the Company uses their position to foster interest that differ or are in conflict with those of the Company; and
5. When a member of the Company uses their position to do personal business with active Company vendors.

## **PROCEDURES:**

1. Whenever any of the above described situations occur, the party affected must immediately file a written disclosure setting forth the nature of the conflict.
2. Whenever an employee of (the company) has filed such a disclosure, he or she must obtain written approval from the CFO/COO in order to function as staff on any and all projects involving that business relationship. If any staff fails to file such a disclosure, he or she may be subject to discipline up to and including termination.
3. Whenever a vendor/contractor has filed such a disclosure he/she shall continue his/her participation in the business relationship unless it is determined such conflict is material, in the Company’s sole and



absolute discretion or for other reasons, it became necessary to void his/her contract. Should the vendor/contractor fail to file such a disclosure, then any contracts become voidable at the option of a simple approval from management. The vendor shall upon the request of the Company file a list of its shareholders, officers, and Board Directors with the company.

## **CONTRACTOR/VENDOR CONFLICT OF INTEREST**

Contractors and vendors will be required to sign affidavits that they are not directly or indirectly in a business relationship with any employee of Resorts World (the “Company”) and that they do not have a conflict of interest within the meaning of the Guidelines for Actual or Potential Conflicts of Interest.

When a situation occurs and is listed within the guidelines, the contractor/vendor will provide a disclosure statement. The Company will determine whether the conflict is material.

A contractor/vendor who has filed a disclosure statement may enter into or continue a business relationship with the Company unless it is material in the Company’s sole and absolute discretion for other reasons it became necessary to void the contract.

Should a contractor/vendor fail to file such disclosure, the contract becomes voidable with the approval of management.

The contractor/vendor, upon the request of the Company will file a list of its shareholders, members, partners, officers, and board of directors with the Purchasing Department.



**AFFIDAVIT FOR VENDOR/CONTRACTOR**

I, the undersigned, being first duly sworn depose and say that I have read the Guidelines for Actual or Potential Conflicts of Interest situations and understand them, and that to the best of my knowledge neither I, nor the firm I represent, nor any controlling member partners or shareholders of it, is involved, directly or indirectly, in a business relationship with any employee of Resorts World Casino (the "Company") or any staff/employee, and that, to the best of my knowledge, a conflict of interest situation, within the meaning of the GUIDELINES FOR ACTUAL OR POTENTIAL CONFLICTS OF INTEREST SITUATIONS with the Company, does not exist.

NAME \_\_\_\_\_

Type or Print

\_\_\_\_\_ Signature

\_\_\_\_\_ Position

\_\_\_\_\_ Firm

\_\_\_\_\_ Fed ID Number

Subscribed and Sworn to before me this

\_\_\_\_\_ Day of \_\_\_\_\_, 20\_\_

Signature of Notary Public

Notary Public

\_\_\_\_\_  
(County/Parish)

\_\_\_\_\_  
(State)

MY COMMISSION EXPIRES \_\_\_\_\_