



DEPARTMENT OF GENERAL SERVICES, PURCHASING DIVISION

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ADDENDUM NO. 7

RFB #: RFB-RC-SWR-2022-06
ENGINEERING DESIGN SERVICES, CONSTRUCTION ADMINISTRATION
FOR THE UNION HILL AND TALLMAN PUMP STATION UPGRADE

ROCKLAND COUNTY SEWER DISTRICT NO. 1
ROCKLAND COUNTY, NEW YORK

The information in this addendum supersedes any contradictory information set forth in the contract documents. Acknowledge receipt of this addendum in the space provided on the signature page of the bid proposal. Failure to do so, may subject the bidder to disqualification. This addendum forms a part of the contract documents.

This addendum consists of fourteen (14) attached items.

SIGNED:

Paul J. Brennan

PAUL J. BRENNAN, FNIGP, NIGP-CPP,
CPPO DIRECTOR OF PURCHASING

ADDENDUM NO. 7

CONTRACT RFP-RC-SWR 2022-06

**ENGINEERING DESIGN SERVICES, CONSTRUCTION ADMINISTRATION
FOR THE UNION HILL AND TALLMAN PUMP STATION UPGRADE**

**ROCKLAND COUNTY SEWER DISTRICT NO. 1
ROCKLAND COUNTY, NEW YORK**

September 26, 2023

The attention of all proposers is directed to the following changes, additions, and/or substitutions affecting the above-referenced project. This Addendum shall be included in and become part of the RFB for this project. Acknowledge receipt of this addendum in the space provided in this Addendum. This section must be signed and submitted with the bid proposal. Failure to do so, may subject the bidder to disqualification.

Item No. 1:

1. Delete all reference to APPENDIX A – General Terms and Conditions for Department of General Services Purchasing Division Contracts.
2. The terms and conditions for this project are outlined in the Instructions to Bidders, the General Contract Conditions, Special Contract Conditions, and the Technical Specifications sections.
3. Delete Instructions to Bidders item 16 Award of Contract and Replace with Instructions to Bidders item 16 Notice of Award of the Contract.
4. Replace Instructions to Bidders item 35 Project Labor Agreement with the following:

35. PROJECT LABOR AGREEMENT

A Project Labor Agreement is required to be entered into in connection with the construction of this project. The form of the Project Labor Agreement that the Contractor will follow is attached hereto as Appendix B. The Owner will enter into the Project Labor Agreement with the Trade Council Associates. "Each bidder on a public work contract, where the preparation of separate specifications is not required, must submit with its bid a separate sealed list that names each subcontractor that the bidder will use to perform work on the contract, and the agreed-upon amount to be paid to each, for: a) plumbing and gas fitting; b) steam heating, hot water heating, ventilating, and air conditioning apparatus, and; c) electric wiring and standard illuminating fixtures."

The following procedure will be followed at the bid opening for this solicitation.

After the low bid is announced, the sealed list of subcontractors submitted with such low bid shall be opened and the names of such subcontractors shall be announced

and, thereafter, any change of subcontractor or agreed-upon amount to be paid to each shall require the approval of the public owner, upon a showing presented to the public owner of legitimate construction need for such change, which shall be open to public inspection. Legitimate construction need shall include, but not be limited to, a change in project specifications, a change in construction material costs, a change to subcontractor status as determined pursuant to paragraph (e) of subdivision two of section two hundred twenty-two of the labor law, or the subcontractor has become otherwise unwilling, unable, or unavailable to perform the subcontract.

5. Replace Proposal Section with Addendum 7 Attachment 1 Proposal Section.

Item No. 2 - RFIs:

Below are the RFI(s) that have been submitted with responses from the Owner:

RFI 6:

How is the contractor being paid for tree and vegetation clearing and removal from the areas that require clearing for the bypass piping? Is Bid Item #3 Allowance going to be used to cover those costs?

Response: Tree and vegetation clearing is a contractor's means and methods item and all costs shall be included in your lump sum bid for Bid Item #2 Construction.

Item No. 3 – Questions:

Below are the Questions that have been submitted with Responses from the Owner:

Question #1:

Issued contract bid documents include "Front End" which included "General Contract Conditions" in addition documents include Appendix A "General Terms and Conditions for Department of General Services Purchasing Divisions Contract (Commodities and Non-Professional Services)". Which General Terms and Conditions are applicable or will take precedencies as terms and conditions vary between the two documents?

Response: Refer to Item 1 of this Addendum.

Question #2:

Instruction to Bidders item 27 for Utilization of MWBE indicates good faith effort of 10%M and 6%W. Please confirm the percentages are in accordance with NYSEFC 2022 funding requirements.

Response: Refer to Addendum No. 4, RFI 2 response.

Question #3:

Appendix F contains NYSEFC mandatory SRF Terms and Conditions Section 3 Certified Service Disabled Veteran Owned Business (SDVOB) participation opportunities. Please advise if this will be required and what the percentage of good faith goals.

Response: No SDVOB participation goal applies.

Question #4:

Please provide the contact person (name/phone and or email) for Norfolk Southern Rail, in addition contact for Amtrak noted at the pre-bid (abandon rail lines) for coordination.

Response: Refer to Addendum No. 4, RFI 5, Part 3 response.

Question #5:

Invitation to Bid item 16 states an award period of 45 days, Proposal item 4 states 140 days, Appendix A Terms and Conditions item 41 also is a 45 day duration. Please clarify which duration applies to the intended award period.

Response: The Bidder understands and agrees that the Owner reserves the right to accept bids, or reject any or all bids submitted, within forty-five (45) calendar days from the date of bid opening. The Bidder agrees that it will not withdraw its Bid should an extension be requested to the validity period to obtain Legislative approval of contract.

Question #6:

Confirm that with a Project Labor Agreement (PLA) that General Condition Article 68 compliance with Local Law 17 and 10 remains in effect for Licensed Electrical/HVAC/Plumbing.

Response: Refer to Addendum No. 5.

Question #7:

General Conditions Article 39 Boring and Testing Information is noted to be included in Appendix G for reference material. Special Contract Conditions item 4 states boring and test pits not required for this project. Please provide Appendix G for reference.

Response: Special Contract Conditions supersedes General Contract Conditions. Please refer to Special Contract Conditions item 4.

Question #8:

Contract drawings include a high level view of the proposed plan of the exiting air release/clean out and location of the bypass piping. Are there As-built drawings associated with the existing force main that can be provided.

Response: Refer to Addendum No. 4, Item No. 11.

Question #9:

Please provide a hydraulic profile/elevations associated to the existing force main for proposed line stop tie-in considerations.

Response: This information is not available. Refer to Addendum No. 4, Item No. 11 for forcemain as-built information.

Question #10:

Specification Section 02141 includes references that contractor might need to consider ground water removal associated to excavations. Part 3.01 A states to review subsurface investigation reports. Please provide any available reports for review.

Response: There are no additional reports for groundwater.

Question #11:

Special Conditions item 4 removes tests pits from the project. Please clarify as in review of the high level pipe routing/existing force main drawings that there would be potential to encounter additional underground utilities. Please clarify.

Response: It is the contractor's responsibility to note any areas with existing utilities prior to construction.

Question #12:

Please provide as-builts or additional site plan information regarding existing utilities to evaluate needs with the proposed bypass piping route.

Response: It is not expected to encounter existing utilities since the proposed bypass route is mostly aboveground. Prior to construction, contractor shall verify existing field conditions of the proposed bypass route and include all costs to navigate any existing utilities.

Question #13:

Confirm that there are existing easements and right of access for existing force main and proposed bypass route.

Response: There are no known existing easements. Right-of-way and right of access along the proposed bypass route has been obtained by the District.

Question #14:

Specification Sections 02821 and 02833 Part 1.01 references the haz material report provided in Appendix A but also states "The report is intended to be a reference and other lead or asbestos may be present at the project facilities". Please confirm that IF there is additional haz material uncovered at sites, that it would be considered a changed site condition and additional work scope for compensation of cost and time.

Response: Contract Documents cover the request for additional work to be reviewed by the Engineer.

Question #15:

Please correct the following reference in Specification Sections 02821 and 02833 part 1.02A - Appendix A - which currently references main influent pump station report of 12/31/19.

Response: Replace part 1.02.A in Specification Sections 02821 and 02833 with the following:

"Appendix A – Report of Building Survey for Lead Based Paint (LBP), Asbestos Containing Materials (ACM), and PCB's in Caulking Materials, at Various Buildings located at the Rockland County Sewage Treatment Plant, Orangeburg, New York, the Tallman Pump Station, Airmont, New York and the Union Hill Pump Station, Montbello, New York prepared by Testing Mechanics Corp. and dated July 22, 2014."

Question #16:

Contract Drawings A103 and A203 notes references cleaning of existing exterior wall per Specification Section 04300. This specification section does not appear to be included in the issued Contract Documents. Please clarify and/or provide.

Response: Replace Section 04300 callout on Drawings A103 and A203 with "Section 04900".

Question #17:

Please consider a second site visit that includes ability to further evaluate the existing force main and proposed bypass route.

Response: To request a site visit, bidders shall contact the District directly.

Question #18:

Please consider a minimum 2-week bid date extension.

Response: Refer to Addendum No. 6.

Question #19:

On the ground floor - demolition plan, there are two locations on the south exterior wall that are outlined in red, one on west wall, two on north wall and two locations on the east exterior wall that are outlined in red but give no further detail as to what need to be removed. Please clarify what need to be removed in these locations.

Response: All windows, frame, and hardware are to be demolished. The red-outlined areas are windows to be demolished.

Question #20:

On Drawings A-201 and M-201, the lower level and ground floor show several green squares with no information as to what they are or should be. Please provide clarification.

Response: Remove the green squares shown on Drawing A-201. Remove the green squares shown on Drawing M-201.

Question #21:

Specification Section 11306 part 1.04A states "pump shall be able to fit through the existing hatch....." Part 3.02C references the existing roof hatches, but states "contractor shall be prepared to have to disassemble parts of the pump to fit through existing hatches". Please clarify conflicting information and if this is applicable to this project.

Response: In Specification Section 11306 part 3.02.C, Delete "Contractor shall be prepared to have to disassemble parts of the pump, if necessary, to fit through the existing hatches as well as to move the pumps within the dry pit".

Question #22:

Specification Section 11306 part 2.03 C & D indicates new bearing supports and mesh guards to enclose shafting. Part 3.02 E states that the intent is to reused for the project - if in the opinion of the manufacturer the existing cannot be reused, than contractor to furnish and install new at no additional cost to the Owner. Please clarify the conflicting information provided in the specification and consider an allowance if the associated work scope cannot be determined pre-bid in order to establish and equal basis of bids.

Response: Bearing supports and mesh guards should be reused if they can be, but if the manufacturer determines they cannot be reused, the new bearings and supports shall follow requirements in Specification Section 11306 part 2.03.C and Part 2.03.D.

Question #23:

Specification Section 11306 part 3.03 C, D (2nd C & D page 13 of spec) description of plant for screened influent and discharge to aerated grit, number of pumps does not appear to be associated with this project. Please clarify.

Response:

In Specification Section 11306 part 3.03.C, Delete "to the aerated grit building utilizing screened plant influent".

Replace Specification Section 11306 part 3.03.D. with the following:

"Following successful completion of testing of individual pumps, multiple pumps shall be tested together, up to a maximum of 3 pumps, through the new discharge header and existing discharge pipeline. Flows shall be monitored and recorded by Contractor utilizing a flow measurement device provided by the Contractor throughout the testing period.. Number of pumps that can be operated together may be dependent on incoming flows, Contractor shall be responsible for any additional water needed to perform field test."

In Specification Section 11306 part 3.03, Replace the second C section label with "E"; Replace the second D section label with "F"; and Replace the E section label with "G".

Question #24:

Specification Section 11219 part 2.05 A and Slide Gate Schedule note #2 states Contractor to field verify existing conditions prior to fabrication. It is assumed the gates will have a substantial lead time for fabrication and prior to shipping to site along with other process equipment. Please verify if flows can be isolated for inspection or if it is the intent to set up the bypass pump system to accomplish or if there are existing as-built drawings/manufacture submittal drawings of the existing gates.

Response: This is up to the Contractor by their means and methods.

Question #25:

Does AIS apply to this project and procurement of materials associated as indicated in Appendix E and F - NYSEFC documentation.

Response: AIS does apply to this project as indicated in the NYSEFC documentation.

Question #26:

Please clarify Addendum No. 3, Section M Contract Forms Required for SFR Funded Projects - which includes the following must be submitted with bid item 2 - AIS contractors certification refer to Appendix E. The last sentence on Section M states "Please note that the CWSRF AIS contractor requirements do not apply for this project." We are still to provide the signed certification with the bid documents correct?

Response: AIS Contractor requirements do not apply for this project.

Question #27:

The holidays called out in the PLA and holidays listed in the GCC don't match. Please confirm that Contractor will be allowed to work on holidays that are called out in the GCC but not in the PLA.

Response: The PLA supersedes the General Contract Conditions.

Question #28:

Instructions to Bidders 3. gives the Owner the right to recover the greater of the entire amount of the Bid Security or ten percent of the Bid, or to exercise any and all equitable remedies it may have against the defaulting Bidder in the event of the bidder's failure to timely submit all additional information requested by Owner, or if the successful Bidder fails to timely and properly submit all required Bonds, certificates and policies of insurance, or if the successful Bidder fails to timely and properly execute the Contract, the Contractor's Certification and all other required documentation related to the Contract. It is the intention of the Bid Security to provide the Owner a means of recovery in the event of a failure on the part of the Bidder. The Owner's recovery should be limited to the amount of the Bid Security. Further it is not equitable to allow the Owner to exercise any and all equitable remedies it may have against the defaulting Bidder as again, the Bid Security should be the agreed upon remedy. Please amend to: "If the successful Bidder fails to timely submit all additional information requested by Owner, or if the successful Bidder fails to timely and properly submit all required Bonds, certificates and policies of insurance, or if the successful Bidder fails to timely and properly execute the Contract, the Contractor's Certification and all other required documentation related to the Contract, it will be difficult and impracticable to ascertain and determine the amount of damage that Owner will sustain by reason of any such failure. For such reason, every Bidder shall, by submitting its Bid, be deemed to agree that Owner shall have the right, at its option in the event of any such default, to retain or recover as reasonably estimated liquidated damages, and not as a penalty, the entire amount of the Bid Security or ten percent of the Bid, whichever is greater, or to exercise any and all equitable remedies it may have against the defaulting Bidder."

Response: There will be no change to the insurance requirements.

Question #29:

General Contract Conditions 52. requires the Contractor to maintain the sole risk of the work from commencement until final payment. Builder's risk coverage ends upon Substantial Completion or when the Work is put to its intended use, whichever occurs earlier. It is not equitable to give the Contractor the risk for loss it cannot insure. Contractor's risk of loss should end upon Substantial Completion or at the point the Work is put to its intended use by the Owner, whichever is earlier. Please amend: "The Work and everything pertaining thereto shall be provided, performed, completed, and maintained at the sole risk and cost of Contractor from the commencement of the Work until final payment Substantial Completion or at the point the Work is put to its intended use by the Owner, whichever is earlier."

Response: There will be no change to the General Contract Conditions.

Question #30:

General Contract Conditions 105. requires the Contractor to furnish a certified copy of the original policy as soon as practicable prior to payment. Contractor is a large, sophisticated entity whose corporate policies insure all operations in the United States. Providing complete copies of a corporate policy is an onerous and unnecessary requirement. Per market precedence, certificates of insurance with applicable endorsements should suffice. Please amend: "The Contractor shall furnish to the Owner, a certified copy of the original policy certificate of insurance, with an endorsement naming the Owner and the County of Rockland as Additional Insured, as soon as is practicable and as a condition prior to payment."

Response: There will be no change to the General Contract Conditions.

Question #31:

General Contract Conditions 105(a) requires the general liability insurance maintain a products-comp/op aggregate of \$2 million per location. The industry standard ISO endorsements CG 25 03 and CG 25 04 provide general aggregate limits on a per project basis only. These endorsements do not provide a designated products and completed operations aggregate limit. Contractor will have to procure a project specific general liability and products completed operations policy to meet these requirements. This project-specific policy will add significant cost to the project. Please consider the following amendment: "(a) Commercial General Liability Insurance. Unless otherwise explicitly required by individual project specifications, each insurance policy shall have general liability limits and personal & adv injury of not less than \$1,000,000 (One Million) per occurrence. A med expense any one person of not less than \$5,000 per occurrence. A damage to rented premises of not less than \$50,000 per occurrence. A general liability aggregate per location and products-comp/op aggregate of \$2,000,000 (Two Million) per location. The Owner shall be named as an Additional Insured on the policy...."

Response: There will be no change to the General Contract Conditions.

Question #32:

GCC-16 calls for as-builts to be done by a registered NY State Surveyor. Please confirm that this survey work is included in the Allowance Item 6 for As Built information.

Response: Survey work is a Contractor's means and methods item and all costs shall be included in your lump sum bid for Bid Item #2 Construction.

Question #33:

Specification Section 010110 part 1.08A.4 states to maintain operation and provide maintenance on existing pump room sump pumps throughout project duration. In review of the contract drawings, the Electrical appears to be indicating the existing sump pump are demoed and replaced. Specification Section 17095 includes reference to sump pump control panels. However, there is nothing shown on the mechanical drawings associated to sump pumps nor does there appear to be a sump pump specification section regarding. Please clarify if the existing pumps remain or to be replaced. If replaced, please provide additional drawing information and specifications.

Response: Add the following note to Drawing E-103, "Note: existing sump pumps, floats, and sump pump control panel are to remain. Maintain temporary power to sump pumps at all times during construction."

Add the following note to Drawing E-203 "Note: existing sump pumps, floats, and sump pump control panel are to remain. Maintain temporary power to sump pumps at all times during construction."

Question #34:

On Drawing A-001, a room finish schedule is provided, is it the intent to paint all interior surfaces noted PT and per note Color to be selected by owner/engineer or is this schedule provided indicating existing finishes only. Please clarify if there is any painting required.

Response: All interior surfaces are to be painted in accordance with the room finish schedule on Drawing A-001, noted PT and per note Color to be selected by Owner/Engineer.

Question #35:

Contract Drawing A-103 for Union Hill exterior building - the west side elevation above the door includes notes 3 and 4 indicating to paint ceiling at entry and replace brick at entry. The right side of the west elevation included reference to 3 and 4 also, which doesn't appear to be correct for the "noted work". Please clarify or correct 3 and 4 reference on the side of the building.

Response: Note #3 indicates to paint the recessed entry ceiling (flat ceiling). There is a sloped piece of ceiling that is pre-cast concrete panel that should be cleaned, as indicated in Note #1. Note #4 indicates brick to be repaired.

Question #36:

Specification Section 01150 Measurement and Payment. For Bid items 3 and 4 part A description of the work scope. It appears the descriptions need to be reversed to reflect the correct work scope for the bid item. Please confirm and update.

Response: In Specification Section 01150 Measurement and Payment, Replace the DESCRIPTION for Bid Item 3 – Miscellaneous Work with the following:

"Under this item, the Contractor shall furnish all labor, materials, and equipment required to accomplish miscellaneous additional work necessitated by unforeseen conditions encountered during the work, field conditions of a nature not determinable during design or for which no unit prices are applicable."

Replace the DESCRIPTION for Bid Item 4 – Concrete Crack Repair with the following:

"Under this item, the Contractor shall furnish all labor, materials, and equipment necessary and perform all work necessary to repair existing concrete cracks encountered during the course of the work or where directed by the Engineer."

Question #37:

Concrete spall repairs are mentioned in Spec Section 03732 Concrete Repair. However, spall repairs are not shown on any drawings. Please clarify if concrete spall repairs are needed, the quantity of spall repairs, and what bid item spall repairs should fall under.

Response: There is no limit for this item. If spall repairs are required, this will fall under concrete crack repair.

Question #38:

Note #5 on A-103 and Note #3 on A-203 state "Repair brick as required." There are no quantities for brick repair provided in the drawings or Spec Section 04900 Masonry Alterations and Repairs. Please consider a bid allowance to establish an equal basis of bid.

Response: There is no quantity for brick repairs. This is a Contractor means and methods item.

Question #39:

Instructions to Bidders 3. requires the Bid Bond to be signed by a Resident Agent. Kindly consider allowing signature by a Non-Resident Agent, as resident agent countersignature laws have been eradicated throughout the United States. Any bid, performance, or payment bond that requires a resident agent countersignature or a resident agent signature violates law. Please remove the requirement for a resident agent countersignature.

Response: There will be no change to the Bid Bond.

Question #40:

General Contract Conditions 89(B) requires Contractor to provide a one year warranty after substantial completion. However, Instructions to Bidders 30(C)(8) states the performance bond "shall be maintained in full force for a period of two years after date of final certificate as a guarantee that the Contractor will make good any faults or defects in the work arising from improper or defective workmanship or materials which may appear during the period." Please clarify whether the bonded warranty period is one year or two years.

Response: There will be no change to the General Contract Conditions.

Question #41:

Slide Gate Schedule provided at the end of Specification Section 11291 - notes UH-04 and 05 mounting type for flanged back anchor bolt. Yet per the drawings, these appear to be with in concrete channels and potentially with imbedded frames. Please confirm and clarify mounting type.

Response: In Specification Section 11291, Replace the type in the Slide Gate Schedule with "Imbedded Frames" for UH-04 and UH-05.

Question #42:

Issued Addendum 5 requires separate seal submission list of subcontractors for noted trades, Please advise if there is a specific form/format required to be completed, or will a paper with written name of the proposed subcontractor, trade and amount satisfy this requirement.

Response: Refer to Item 1 of this Addendum. No specific form is required.

Question #43:

What is the average number of trains per day that utilize the railroad owned by Norfolk Southern? Will Railroad Protection Insurance be required for this project?

Response: There is no information on the number of trains per day. All requirements for the railroad are included in ITB and Appendix G – Railroad Requirements and Agreement.

Question #44:

Bypass piping is shown to cross a spur of the railroad tracks near the Raymour and Flanagan building. Is this spur active and will we be allowed to lay the bypass piping over these tracks?

Response: Refer to Addendum No. 4, Item No. 10.

Question#45:

Can we use the existing monorail hoists at each station?

Response: The existing monorail hoists cannot be used for Contractor's work.

Question#46:

Where can we dispose of residual sewage that is in the bypass piping after the air relief valves are installed?

Response: It is the Contractor's responsibility to dispose of residual sewage waste in accordance with applicable regulations.

Question#47:

Are doghouse manholes or chambers required at the locations of the new linestops and wet taps, or can the tapping sleeves and valves be direct buried at the completion of the bypasses? The documents do not address this.

Response: The tapping sleeves and valves shall be direct buried.

Question#48:

In Addendum No. 4, the response to Question #2 regarding the bypass flows refers us to Specification 01540.1.04.C. That section gives the combined flows for both the forcemain and gravity systems. As stated in the question, there are two bypass systems required at each station, so separate flow data for the forcemain and the gravity pipe is needed in order to properly design each system. Please provide the requested information.

Response: Only one bypass pumping system is required for each pump station. It is expected for the bypass system to handle both the gravity and forcemain flows.

Question#49:

In Addendum No. 4, the response to Question #3 indicates that the effluent pressures of the forcemains at both Union Hill and Tallman is 0 psi. Please confirm that the data is not reversed and the influent pressures are 0 psi.

Response: In Addendum No. 4, Replace Question #3 response with the following:

"The piping for both pump station's forcemains is 24-inch Ductile Iron Pipe. Union Hill has an influent pressure of 0 psi and an effluent pressure of around 71 psi. Tallman has an influent pressure of 0 psi and an effluent pressure of around 43 psi."

Question #50:

As there is no subsurface geotechnical information available, bidders are unable to determine if dewatering is required for any excavations. Dewatering can be as simple as installing a sump pump or as complicated and expensive as installing and monitoring deep wells or well points. How will the contractor be compensated for the removal of groundwater should it be necessary?

Response: It is the Contractor's responsibility to provide groundwater removal in accordance with Specification Section 02141.

Question#51:

In Addendum No. 4, the response to Question #9 regarding the linestops and wet taps refers us to the details on G-003. Drawing G-003 does not provide material or performance requirements for the tapping saddles or tapping valves. Please provide this information so all bidders are pricing the same scope.

Response: The tapping sleeve and valves should be expected to operate at peak operation of the system. The forcemain is ductile iron and it is expected that the tapping main and valve shall conform to material requirements.

Question#52:

In Addendum No. 4, the response to Question #19 regarding the existing Asbestos Containing Material (ACM) does not answer the question. We can assume that all the caulking at the locations of window and door replacements will require abatement. We can assume that the rolled felt/flashing on the parapet walls will require abatement. However, where is the vapor

barrier that is listed on the report? In order to determine whether this material needs to be abated, we need to know its location. Please provide further clarification.

Response: Stated in Table 7.3.2.2 of the "Report of Building Survey for Lead Based Paint (LBP), Asbestos Containing Materials (ACM) and PCB's in Caulking Materials", the vapor barrier is located on the roof of the Tallman Pump Station.

Question #53:

The generator drawings provided in Addendum No. 4 do not indicate the fuel capacity of the existing sub-base fuel tank. Please provide this information so the bidders can price the removal and disposal of the remaining fuel prior to sending the generator for modification.

Response: The existing sub-base fuel tank has a design capacity of 1750 gallons, working capacity of 1570 gallons.

Question #54:

Addendum No. 4, Item No. 2 – Questions, Question #3: The response to the question on the pump station's influent and effluent pressures on the forcemains was answered this way: Response: The piping for both pump station's forcemains is 24-inch Ductile Iron Pipe. Union Hill has an influent pressure of around 71 psi and an effluent pressure of 0 psi. Tallman has an influent pressure of around 43 psi and an effluent pressure of 0 psi. Shouldn't that be the other way around? The effluent pressure of Union Hill is 71 PSI and the effluent of Tallman is 43 PSI. Influent pressure at zero for both?

Response: Refer to Question #50 response.

Question #55:

As there is no subsurface geotechnical information available, bidders are unable to determine if rock will be encountered during any excavations. How will the contractor be compensated for the removal of any rock should it be necessary?

Response: It is the Contractor's responsibility to provide rock removal in accordance with Specification Section 02222.

Question #56:

The stretch of abandoned railroad shown on drawing C-202 may require significant effort to clear a path in order to install the bypass piping and access the manholes. The contract documents do not address these unknown conditions. How will the contractor be compensated for this work?

Response: Refer to RFI 6 response.

Question #57:

Does the contractor have a full 8 hours per day access to the Norfolk Southern Railway section of railroad shown on Drawing C-102?

Response: It is expected to have access to the Norfolk Southern Railway section depending on railroad flagman availability.

Question #58:

Does the contractor have a full 8 hours per day access to the abandoned section of railroad shown on drawing C-202?

Response: It is expected to have full day access to the abandoned section of the railroad.

Question #59:

Addendum No. 5 indicates that all bidders are to provide a sealed list of subcontractors for (a) plumbing and gas fitting, (b) steam heating, hot water heating, ventilating and air conditioning apparatus and (c) electric wiring and standard illuminating fixtures. Will the bidders be provided with a form for submission?

Response: Refer to Question #43 response.

Question #60:

We respectfully request a 2-week postponement of the current bid date (9/13) and a 1-week postponement of the current question deadline (9/6) to allow for more potential MWBE subcontractors to bid the project.

Response: Refer to Addendum No. 6.

Question #61:

Addendum No. 3 attachment 'Section M' states the AIS Contractor's Certification needs to be submitted with the bid, but later says "Please note that the CWSRF AIS Contractor requirements do not apply for this project." Can you please clarify if this project has AIS requirements?

Response: This project will have AIS requirements. Refer to Question #26 response.

Question #62:

Please confirm the contractor is not responsible for providing a field office for the owner/engineer.

Response: The Contractor is not responsible for providing a field office for the Owner/Engineer.

Question #63:

Regarding Spec Section 01640 "Schedule of Equipment Testing and Manufacturer's Services", please clarify if the pump manufacturer's rep is required to be onsite for a total of 42 days per the schedule or 48 days (6 days per pump) as per note 2.

Response: The pump manufacturer's representative is expected to be onsite for 48 days, or 6 days per pump.

Question #64:

Drawing D-101, Note 12 states "Owner reserves the right to salvage demolished equipment. Please clarify if any demolished equipment or material is anticipated to be salvaged at this time.

Response: This will be determined during the demolition phase of construction based on field conditions.

Question #65:

General Conditions Article 64 - Field office states to provide a minimum 200 SF engineer office along required furniture, office equipment (including computers/printers/software, three year on site service/warranty), supplies, etc. Please confirm requirements and provide a site location (or sites) which this is to be set up for project duration.

Response: Those are the requirements of the field office. Location will be on the pump station sites. Exact location on the site will be determined through submissions of the plan that will be reviewed by the District.

Question #66:

At station 51+65 Air relief MH - new tag identification is UHA3, and its noted as previously identified or formerly UHA3, should this formerly tag of been UHA2?

Response: On Drawing C-102, the Air Relief MH at Sta. 51+65 with new tag identification UHA3 has a note already that it is formerly UHA2.

Question #67:

If the question in 45 is true, then confirm that cleanout UHC4 (new identification) previous identification should be UHA3.

Response: UHC4 at Sta. 69+75 has not changed. UHA4 at Sta. 79+55 was formerly UHA3.

Question #68:

Specification Section 01540 part 1.04 C identifies that pump peak for influent flow of 8 mgd, average daily for of 1.3 mgd for both pump stations for the bypass pump system. Contract Drawing C-101 has an influent forcemain along with and 18" RCP influent gravity sewer line into the wet wells. Contract Drawing C-201 identifies the union hill forcemain and a 10" influent sewer (assumed to be gravity). Please provide the individual flows associated to the forcemain and gravity sewer for the multiple influent source that need to be isolated prior to the wet well in order to size pumps needed for all influent flows and multiple bypass pump applications.

Response: Refer to Question #49 response.

Question #69:

Contract Drawing C-101 has an influent forcemain along with and 18" RCP influent gravity sewer line into the wet wells. Does the existing INFLUENT forcemain have a tapping sleeve or does one need to be provided? If one exists please provide location, or confirm if an additional line stop is required to isolate the influent forcemain flows.

Response: Refer to Question #49 response. It is not intended to add a tapping sleeve on the influent forcemain.

Question #70:

In discussion with equipment manufacturers regarding lead times, it has been indicated that anticipated submittal duration would be 12-14 weeks, approval time of 3 to 4 weeks, and delivery after approval anticipated 26-30 weeks. In total just under a year before equipment is onsite. A contract duration of 540 calendar days does not appear to be feasible as the majority of the site work cannot commence until equipment is delivered. Please consider increasing the contract duration to elevate cost impacts associated to acceleration of site work and expediting of long lead delivery.

Response: In Notice to Bidders, Replace "The contract shall be completed within Five Hundred Forty (540) consecutive calendar days from the date of Notice to Proceed." with "The contract shall be completed within Seven Hundred Twenty (720) consecutive calendar days from the date of Notice to Proceed."

Question #71:

In review of the As-built drawings released in Addendum No. 4, 16 G we are assuming is the notation for a 16" Gas Main, which probably is high pressure gas. We do not see any associated Orange and Rockland Utility requirements for working (excavating) in the proximity of these utilities?

Response: Contractor is expected to confirm and mark any utilities that may be encountered before construction. If a high-pressure gas main is encountered, Contractor and Owner will discuss at that time.

Question #72:

Specification Section 010101 part 1.03B. last sentence states "Contractor is also responsible for any insurance or bonding requirements as detailed in individual permits. Spec Section 01150 measurement and payment - part A. Contractor shall schedule & pay for Norfolk Southern Railroad fees as described in the agreement. Part B - does not list the agreement. Between summary of work and measurement and payment spec please provide confirmation that all of Appendix G information provided will include any & all associated costs (permit/insurance & associated execution requirements) are included costs in the allowance.

Response: Contractor to pay Bid Item 7 – Railroad Force Account which is to be used for all costs associated with the railroad including permits, flagman, and the associated execution requirements.

Question #73:

Specification Section 11291, Gate Schedule and associated drawings indicating gates does not provide any head water design conditions. Please provide.

Response: The maximum head on the isolation gates between wet wells is full wet well depth versus empty wet well on either side of the gate at both pump stations. For the gates on the influent pipes, the maximum head will be full pipe depth at both pump stations. For the gates in the screening room at Union Hill Pump Station, the maximum head will be the full channel depth.

Question #74:

Jett Industries Round 2 previous asked question regarding UH04,UH,05 - Please confirm if this also may apply to UH-06. Resubmitted question for reference: Slide gate schedule provided at the end of Specification Section 11291 - notes UH-04 and 05 mounting type for flanged back anchor bolt. Yet per the drawings these appear to be with in concrete channels and potentially with imbedded frames. Please confirm and clarify mounting type.

Response: The mounting type for UH-06 will remain as flange back anchor bolt.

Question #75:

Specification Section 11291 - Gate Schedule, Gate tags UH -1,UH-02, UH-06 Size of Opening (WxH) Column notes 24"x24" yet next column for slide gate height indicates 17.25". Please clarify/confirm or if the 17.25 is correct than these gates will be 3 sided sealing (no top seal) is that the intent?

Response: Based on field observations, the slide gate height is 17.25" for gates UH-01, UH-02, and UH-06. These gates are intended to be 3-sided sealing with no top seal. Contractor to verify.

Item No. 4

In Specification Sections 02821 and 02833, Replace part 1.02.A with the following:

"Appendix A – Report of Building Survey for Lead Based Paint (LBP), Asbestos Containing Materials (ACM), and PCB's in Caulking Materials, at Various Building Located at the Rockland County Sewage Treatment Plant, Orangeburg, New York, the Tallman Pump Station, Airmont, New York and the Union Hill Pump Station, Montbello, New York prepared by Testing Mechanics Corp. and dated July 22, 2014."

Item No. 5

On Drawings A103 and A203, Replace Section 04300 callout with "Section 04900".

Item No. 6

On Drawing A-201, Delete the green squares. On Drawing M-201, Delete the green squares.

Item No. 7

In Specification Section 11306 part 3.02.C, Delete "Contractor shall be prepared to have to disassemble parts of the pump, if necessary, to fit through the existing hatches as well as to move the pumps within the dry pit".

Item No. 8

In Specification Section 11306 part 3.03.C, Delete "to the aerated grit building utilizing screened plant influent".

In Specification Section 11306, Replace part 3.03.D. with the following:

"Following successful completion of testing of individual pumps, multiple pumps shall be tested together, up to a maximum of 3 pumps, through the new discharge header and existing discharge pipeline. Flows shall be monitored and recorded by Contractor utilizing a flow measurement device provided by the Contractor throughout the testing period. Number of pumps that can be operated together may be dependent on incoming flows, Contractor shall be responsible for any additional water needed to perform field test."

In Specification Section 11306 part 3.03, replace the second C and D section label with "E" and "F" and replace the E section label with "G".

Item No.9

On Drawing E-103, Add the following note: "Note: existing sump pumps, floats, and sump pump control panel are to remain. Maintain temporary power to sump pumps at all times during construction."

On Drawing E-203, Add the following note: "Note: existing sump pumps, floats, and sump pump control panel are to remain. Maintain temporary power to sump pumps at all times during construction."

Item No. 10

In Specification Section 01150, Measurement and Payment, Replace the DESCRIPTION for Bid Item 3 – Miscellaneous Work with the following:

"Under this Item, the Contractor shall furnish all labor, materials, and equipment required to accomplish miscellaneous additional work necessitated by unforeseen conditions encountered during the work, field conditions of a nature not determinable during design or for which no unit prices are applicable."

In Specification Section 01150, Measurement and Payment, Replace the DESCRIPTION for Bid Item 4 – Concrete Crack Repair with the following:

"Under this Item, the Contractor shall furnish all labor, materials, and equipment necessary and perform all work necessary to repair existing concrete cracks encountered during the course of the work or where directed by the Engineer."

Item No. 11

In Specification Section 11291, Replace the type in the Slide Gate Schedule with "Imbedded Frames" for UH-04 and UH-05.

Item No. 12

In Addendum No. 4, Replace Question #3 response with the following:

"The piping for both pump station's forcemains is 24-inch Ductile Iron Pipe. Union Hill has an influent pressure of 0 psi and an effluent pressure of around 71 psi. Tallman has an influent pressure of 0 psi and an effluent pressure of around 43 psi."

Item No. 13

In Notice to Bidders, Replace "The contract shall be completed within Five Hundred Forty (540) consecutive calendar days from the date of Notice to Proceed" with "The contract shall be completed within Seven Hundred Twenty (720) consecutive calendar days from the date of Notice to Proceed."

Item No. 14

In Specification Section 11306, Replace Part 1.04.E Union Hill Pumps with the following:

Union Hill Pumps:

Parameter	Value
Number of Units to be Supplied	4
Maximum Full Load Motor Speed (rpm)	1,800
Minimum Motor Horsepower (Hp)	150
Minimum Suction Size (inches)	8
Minimum Discharge Size (inches)	6
Minimum Shut-Off Head at Design Speed (feet)	242
System Static Head (feet)	115
Primary Design Point (each unit)	2,315 gpm at 166 -ft TDH
Minimum Efficiency at Primary Design Point (%)	72%
Maximum NPSHR at Primary Design Point (feet)	50
Secondary Design Point (each unit)	2,600 gpm at 154-ft TDH

ACKNOWLEDGMENT

Print Name: _____

Name of person responsible for this solicitation

I acknowledge the receipt of _____ Addendums.

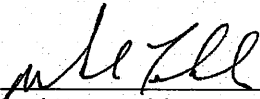
Signature: _____

Email Address: _____

Direct Phone Number: _____

Fax Number: _____

Date: _____



Michael E. Tamblin, PE
Principal

Date: September 27, 2023



ADDENDUM No. 7

ATTACHMENT 1

Proposal Section

**COUNTY OF ROCKLAND
PURCHASING**

BLDG. A, 6th FLOOR, 50 SANATORIUM RD, POMONA, NY 10970
PHONE: 845-364-3870 / FAX: 845-364-3809

Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

PROPOSAL

**UNION HILL AND TALLMAN PUMP STATION UPGRADE
CONTRACT NO. RFB-RC-SWR 2022-06
ROCKLAND COUNTY SEWER DISTRICT NO. 1**

SUBMITTED BY: _____

ADDRESS: _____

TELEPHONE: _____ FEDERAL ID NO.: _____

CONTACT PERSON: _____ TITLE: _____

SIGNATORY FOR CONTRACT: _____

TITLE: _____ E-MAIL: _____

Board of Commissioners
Rockland County Sewer District No. 1
Route 340
Orangeburg, New York 10962

Gentlemen:

1. Having carefully examined the Contract Documents and having personally investigated the site and the conditions affecting the work, the undersigned Bidder hereby proposes to furnish all labor and material, and to perform all work in strict accordance with the Contract Documents (including addenda) for the bid prices hereinafter set forth in this Bid for:

**UNION HILL AND TALLMAN PUMP STATION UPGRADE
CONTRACT NO. RFB-RC-SWR 2022-06
ROCKLAND COUNTY SEWER DISTRICT NO. 1**

2. The undersigned Bidder agrees that: a) if this bid is accepted, he will execute the Contract and furnish to the Owner the one hundred (100) percent Performance and Payment bonds and insurance certificates required, within five (5) calendar days of the date of the Notice of Award of the Contract; and b) he will begin work within ten (10) calendar days of the date of the Notice To Proceed and will prosecute the work in such manner as to complete all work within Seven Hundred Twenty (720) calendar days of the date of Notice to Proceed.
3. The undersigned Bidder acknowledges that he has included with his bid the required Bid Security for not less than five (5) percent of the total amount of his Bid.
4. The Bidder understands and agrees that the Owner reserves the right to accept bids, or reject any or all bids submitted, within forty-five (45) calendar days from the date of bid opening. The Bidder agrees that it will not withdraw its Bid should an extension be requested to validity period to obtain Legislative approval of contract.

COUNTY OF ROCKLAND

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5. The Bidder understands and agrees that if he is awarded the Contract, he will not subcontract more than thirty-five (35) percent of the dollar value of the Contract work without written authorization from the Owner.
6. The Bidder agrees to comply with Resolution 471 of 1975 and will submit with his Bid an Affirmative Action Plan if his business: 1) employs a minimum of 15 employees; and 2) does a minimum of \$50,000 per annum business with Rockland County. The Bidder further understands and agrees that if an affirmative action plan is required but not attached to the Bid, the Contract will not be awarded.
7. The undersigned Bidder acknowledges receipt of all Addenda, complete as issued by the Owner and that related costs are included in his bid price. Receipt of the said addenda is acknowledged as follows:

Addendum # _____ Dated _____
 Addendum # _____ Dated _____
 Addendum # _____ Dated _____
 Addendum # _____ Dated _____

8. The undersigned Bidder further agrees to comply with all of the requirements of the Contract Documents.
9. BID PRICES:

For furnishing all labor, materials, tools, equipment and performance of all services required to complete all work of **Contract No. RFB-RC-SWR 2022-06** in conformance with the Contract Documents.

SCHEDULE OF BID ITEMS - CONTRACT NO. RFB-RC-SWR 2022-06

Pay Item No.	Estimated Quantity	Bid Item Description Unit Price In Words	Unit Price In Figures		Extended Total In Figures	
			Dollars	Cents	Dollars	Cents
1	Lump Sum	Mobilization/Demobilization For				
		_____ Dollars				
		_____ Cts./L.S.	\$		\$	
2	Lump Sum	Construction For				
		_____ Dollars				
		_____ Cts./L.S.	\$		\$	
3	Stipulated Lump Sum	Miscellaneous Additional Work For				
		Two Hundred Fifty Thousand Dollars				
		No _____ Cts./L.S.	\$250,000.00		\$250,000.00	

COUNTY OF ROCKLAND

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4	200 Linear Feet	Concrete Crack Repair For			
			Cts./L.F.	\$	\$
5	600 Cubic Feet	Wet Well Residual Debris and Grit For			
			Cts./C.F.	\$	\$
6	Stipulated Lump Sum	Record Documents For			
		Seventy- Five Thousand Dollars			
		No	Cts./L.S.	\$75,000.00	\$75,000.00
7	Stipulated Lump Sum Allowance	Railroad Force Account For			
		Six Hundred Thousand Dollars			
		No	Cts./L.S.	\$600,000.00	\$600,000.00

CONTRACT NO. RFB-RC-SWR 2022-06 - Total Price of Bid in Words:

CONTRACT NO. RFB-RC-SWR 2022-06 - Total Price of Bid in Figures:

To be verified by extension of above items.

NOTES:

1. In case of a discrepancy between the unit prices written in words and in figures, the unit prices written in words shall govern. In case of a discrepancy between unit prices bid and extended totals, the unit prices shall govern.
2. Refer to Specification Section 01150 for Bid Item Descriptions.

**COUNTY OF ROCKLAND
PURCHASING**

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Union Hill and Tallman Pump Station Upgrade Project

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ATTACHMENTS

Attached to this Bid, duly executed by the undersigned are the following:

Section A - Statement of Participation in Contracts Subject to the Nondiscrimination Clause

Section B - Disclosure of Political Contributions Pursuant To Chapter 323 of the Rockland County Code

Section C - Disclosure of Supplier Responsibility Statement

Section D - Affirmative Action Plan Certification

Section E - Business Dealings in Northern Ireland – MacBride Principles

Section F - Certification of Compliance with the Iran Divestment Act.

Section G - Certification Regarding Boycott, Divest, and Sanctions (BDS) Activities

Section H - Certificate of Non-Collusion

Section I - Certification by Proposed Prime or Subcontractor.

Section J - References

Section K - Consent of Surety.

Section L - Certificate of Workers' Compensation Experience Modification.

Section M - Contract Forms Required for SRF Funded Projects

COUNTY OF ROCKLAND
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IN WITNESS WHEREOF the bidder has executed this bid or proposal _____

this _____ day of _____, 20____.

Bidder Sign Here:

Firm Name: _____

By: _____

Address: _____

ACKNOWLEDGEMENT OF INDIVIDUAL

STATE OF _____)

) ss:

COUNTY OF _____)

On this _____ day of _____, 2023 before me personally came _____ to me known and known to me to be the individual described in and who executed the foregoing instrument and he acknowledged to me that he executed the same.

Notary Public

**COUNTY OF ROCKLAND
PURCHASING**

BLDG. A, 6th FLOOR, 50 SANATORIUM RD, POMONA, NY 10970
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Union Hill and Tallman Pump Station Upgrade Project

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ACKNOWLEDGEMENT BY A PARTNER ON BEHALF OF A CO-PARTNERSHIP

STATE OF _____)

) ss:

COUNTY OF _____)

On this _____ day of _____, 2023, before me personally came _____ to me known and known to me to be a member of the co-partnership composed of _____ and carrying on business under the firm name and style of _____ and who executed the foregoing instrument, and the same _____ acknowledged to me that he executed the same on behalf of the said co-partnership.

Notary Public

ACKNOWLEDGEMENT BY CORPORATION

STATE OF _____)

) ss:

COUNTY OF _____)

On this _____ day of _____, 2023, before me personally came _____ to me known, who, being by me duly sworn, did depose and say that he resides in the County of _____ and that he is the _____ of _____ the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.

Notary Public

**COUNTY OF ROCKLAND
PURCHASING**

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Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

**STATEMENT OF REQUIRED DISCLOSURES,
REPRESENTATIONS AND CERTIFICATIONS**

All forms must be completed. A notary is only required for one page.

Name of the Reporting Entity:

Address:

Remit to Address (if different from above):

Federal ID No.: _____

Name of Individual Completing this form: _____

Title/Position: _____

Telephone Number: (____) _____

Telefax Number: (____) _____

Email Address: _____

Signatory First and Last Name: _____

Signatory Title: _____

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Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

SECTION A

STATEMENT OF PARTICIPATION IN CONTRACTS
SUBJECT TO NON-DISCRIMINATION CLAUSE

The Bidder shall complete the following statement by checking the appropriate boxes:

The Bidder has () has not () participated in a previous Contract subject to the nondiscrimination clause prescribed by Executive Order 10925, or Executive Order 11114, or Executive Order 11246.

The Bidder has () has not () submitted all compliance reports in connection with any such Contract, due under the applicable filing requirements; and that representations indicating submission of required compliance reports signed by proposed subcontractors will be obtained prior to award of subcontracts.

If the Bidder has participated previously in a Contract subject to the nondiscrimination clause and has not submitted compliance reports due under applicable filing requirements, the Bidder shall submit a compliance report on Standard Form 100, "Employee Information Report, EEO-1" prior to the award of the Contract.

COUNTY OF ROCKLAND**PURCHASING**

BLDG. A, 6th FLOOR, 50 SANATORIUM RD, POMONA, NY 10970

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Union Hill and Tallman Pump Station Upgrade Project

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SECTION B**DISCLOSURE OF POLITICAL CONTRIBUTIONS PURSUANT TO
CHAPTER 323 OF THE ROCKLAND COUNTY CODE**

1. The reporting Entity is (
- Check One*
-):

☐ An Individual ☐ A Partnership ☐ A Corporation

2. The reporting Entity (
- Check One*
-):

☐ Will Enter into a contract with the County of Rockland, in excess of Ten Thousand Dollars (\$10,000.00), which did not result from Public Bidding.☐ Is currently under a contract with the County of Rockland in excess of Ten Thousand Dollars (\$10,000.00)

3. The reporting entity, its members, directors, policymaking officers, or majority shareholders, have directly or indirectly made the following contributions to the persons or organizations listed below.

(Please list all contributions having a value in excess of two hundred dollars (\$200.00) per year made to any political party or any individual or any committee for an individual running for public office in Rockland county or in a district in which Rockland County is located, for a period of three (3) years prior to the date of subscribed below.):

Note: Please answer "none" or list each contribution separately (use additional sheets if necessary)

Name of Contributor	Relationship to Reporting Entity	Contribution Made To	Date of Contribution	Value and Nature of Contribution

**COUNTY OF ROCKLAND
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Union Hill and Tallman Pump Station Upgrade Project

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SECTION C

DISCLOSURE OF SUPPLIER RESPONSIBILITY STATEMENT

1. List any convictions of any person, subsidiary, or affiliate of the company, arising out of obtaining, or attempting to obtain a public or private contract, or subcontract, or in the performance of such contract or subcontract.

2. List any convictions of any person, subsidiary, or affiliate of this company for offenses such as embezzlement, theft, fraudulent schemes, etc. or any other offense indicating a lack of business integrity or business honesty which affect the responsibility of the contractor.

3. List any convictions or civil judgments under state or federal antitrust statutes.

4. List any violations of contract provisions such as knowingly (without good cause) to perform, or unsatisfactory performance, in accordance with the specifications of a contract.

5. List any prior suspensions or debarments by any government agency.

6. List any contracts not completed on time.

7. List any documented violations of federal or state labor laws, regulations or standards, or occupational safety and health rules.

**COUNTY OF ROCKLAND
PURCHASING**

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Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

SECTION D

AFFIRMATIVE ACTION PLAN CERTIFICATION

1. The subscriber below, authorized on behalf of the Reporting Entity in the title/position indicated hereinabove, states the following: *(Please check one box in both a. and b.)*
 - a. The above-named Reporting Entity ☐ DOES or ☐ DOES NOT employ fifteen (15) or more employees; AND
 - b. The above-named Reporting Entity
 - ☐ DOES transact a minimum of \$50,000 per annum business with the County of Rockland.
 - IF SO, based on this above information, a copy of the Reporting Entity's Affirmative Action Plan OR EEO (Equal Employment Opportunity) Policy is attached to this Form.
 - ☐ DOES NOT transact a minimum of \$50,000 per annum business with the County of Rockland.

**COUNTY OF ROCKLAND
PURCHASING**

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Union Hill and Tallman Pump Station Upgrade Project

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SECTION E

BUSINESS DEALINGS IN NORTHERN IRELAND-MACBRIDE PRINCIPLES

1. The subscriber below, authorized on behalf of the Reporting Entity in the title/position indicated hereinabove, certifies the following, in accordance with Article 5 of the County of Rockland Procurement Policy: (check one)
 - a. The above-named Reporting Entity and any individual or legal entity in which the Reporting Entity holds a 10% or greater ownership interest and any individual or legal entity that holds a 10% or greater ownership in the contract, either: *(check, as applicable)*
☐ Has NO business operations in Northern Ireland.

OR

- ☐ Shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to the nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their companies with such Principles.

COUNTY OF ROCKLAND

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PHONE: 845-364-3870 / FAX: 845-364-3809

Union Hill and Tallman Pump Station Upgrade Project

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SECTION F

CERTIFICATION OF COMPLIANCE WITH THE IRAN DIVESTMENT ACT

Pursuant to State Finance Law §165-a, on August 10, 2012 the Commissioner of the Office of General Services (OGS) posted a prohibited entities list of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law) on the OGS website at:

<http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf>

By submitting a bid in response to a County solicitation or by assuming the responsibility of a Contract awarded hereunder, each Bidder/Contractor, any person signing on behalf of any Bidder/Contractor and any assignee or subcontractor and, in the case of a joint bid, each party thereto, certifies, under penalty of perjury, that once the Prohibited Entities List is posted on the OGS website, that to the best of its knowledge and belief, that each Bidder/Contractor and any subcontractor or assignee is not identified on the Prohibited Entities List created pursuant to SFL § 165-a(3)(b).

Additionally, Bidder/Contractor is advised that once the Prohibited Entities List is posted on the OGS Website, any Bidder/Contractor seeking to renew or extend a Contract or assume the responsibility of a Contract awarded in response to this solicitation must certify at the time the Contract is renewed, extended or assigned that it is not included on the Prohibited Entities List.

During the term of the Contract, should the County receive information that a Bidder/Contractor is in violation of the above referenced certification, the County will offer the person or entity an opportunity to respond. If the person or entity fails to demonstrate that he/she/it has ceased engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then the County shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages or declaring the Bidder/Contractor in default.

The County reserves the right to reject any bid or request for assignment for a Bidder/Contractor that appears on the Prohibited Entities List prior to the award of a contract and to pursue a responsibility review with respect to any Bidder/Contractor that is awarded a contract and subsequently appears on the Prohibited Entities List.

(Please check box)

1. ☐ The subscriber below, authorized on behalf of the Reporting Entity in the title/position indicated hereinabove, certifies that he/she is *neither the Bidder/Contractor nor any proposed subcontractor as identified on the Prohibited Entities List.*

**COUNTY OF ROCKLAND
PURCHASING**

BLDG. A, 6th FLOOR, 50 SANATORIUM RD, POMONA, NY 10970
PHONE: 845-364-3870 / FAX: 845-364-3809

Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

SECTION G

**CERTIFICATION REGARDING BOYCOTT, DIVEST
AND SANCTIONS (BDS) ACTIVITIES**

1. The subscriber below, authorized on behalf of the Reporting Entity in the title/position indicated hereinabove, certifies the following, in accordance with Article 5 of the County of Rockland Procurement Policy: *(check box)*
 - ☐ The Reporting Entity/Contractor and any individual or legal entity in which the contractor/reporting entity holds a 10% (ten percent) or greater ownership interest and any individual or legal entity that holds a 10% (ten percent) or greater ownership in the contract does NOT engage in any Boycott, Divest and Sanctions (BDS) activities [which activities are defined as advocating for the boycott of Israel, divestment from Israel and International sanctions against Israel, and otherwise engaging in, promoting or supporting the global campaign to increase economic and political pressure in Israel to comply with the stated goals of the BDS movement.

COUNTY OF ROCKLAND

PURCHASING

BLDG. A, 6th FLOOR, 50 SANATORIUM RD, POMONA, NY 10970

PHONE: 845-364-3870 / FAX: 845-364-3809

Union Hill and Tallman Pump Station Upgrade Project

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SECTION H

CERTIFICATE OF NON-COLLUSION

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of their knowledge and belief:

1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

COUNTY OF ROCKLAND
PURCHASING

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Union Hill and Tallman Pump Station Upgrade Project

RFB-RC-SWR-2022-06

SECTION I

CERTIFICATION BY PROPOSED PRIME OR SUBCONTRACTOR
REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Name of Prime Contractor _____

Project No. _____

INSTRUCTIONS:

This certification is required pursuant to Executive Order 11246, Part II, Section 203(b), (30 F.R. 12319-25). Any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to equal the opportunity clauses; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicated that the prime or subcontractor has not filed a compliance report due under applicable instructions, such contractor shall be required to submit a compliance report.

CONTRACTOR'S CERTIFICATION

Contractor's Name: _____

Address: _____

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause.
YES _____ NO _____

2. Compliance reports were required to be filed in connection with such contract or subcontract.
YES _____ NO _____ If YES, state what reports were filed and with what agency.

3. Bidder has filed all compliance reports due under applicable instructions, including EEO-1.
YES _____ NO _____

4. If answer to Item 3 is "NO", please explain in detail on reverse side of this certification. (*Please check box*)

☐ Certification – The information above is a true and complete to the best of my knowledge and belief. A willfully false statement is punishable by law. (U.S. CODE, Title 13, Section 1001).

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SECTION J

REFERENCES

The bidder is requested to state below what work similar to that proposed in the Contract he has done and give references that will enable the Owner and the Engineer to judge his experience, skill and business standing.

1. Description: _____
Owner: _____
Contact Name: _____ Telephone: _____
Contract Amount: \$ _____

2. Description: _____
Owner: _____
Contact Name: _____ Telephone: _____
Contract Amount: \$ _____

3. Description: _____
Owner: _____
Contact Name: _____ Telephone: _____
Contract Amount: \$ _____

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SECTION K

CONSENT OF SURETY

(This Consent of Surety is Part of the Proposal)

KNOW ALL MEN BY THESE PRESENTS, that _____
a corporation of the State of _____ having its principal
office at _____ being a surety
company incorporated in or authorized to do business in the State of New York, in consideration of the
premises and of One Dollar to it in hand paid by the Owner and of other good and valuable consideration,
and the receipt whereof is hereby acknowledged, does consent and agree, that if the Contract for which
the preceding Proposal is made be awarded to the Bidder making the same, it will, upon the award of such
Contract, become surety, first for the full and faithful performance of said work, and secondly for the
protection of all persons performing or furnishing labor or materials for the performance of said Contract in
the forms required; the Performance Bond and the Labor and Materials Payment Bond, each to be in an
amount equal to one hundred (100) percent of the Contract Price, and to be conditioned so as to indemnify
the owner against loss due to the failure of said Bidder to meet the provisions of said bonds; and if the said
Bidder shall omit or refuse to execute such Contract and give the proper security for the performance and
payment of labor and material thereof as specified in the Information for Bidders within five (5) days after
written notice that award of said Contract has been made to said bidder and if the sum which said Owner
may be obliged to pay to the person or persons by whom the Contract shall be finally executed exceeds
the sum to which said Bidder making this Proposal would have been entitled, then said Surety Company
will pay, without proof of notice or demand, to said Owner the amount of any such excess, without credit
for any proposal guaranty or deposit payment which said Bidder may have furnished, said excess to be
calculated upon the estimated quantities of work, labor and materials by which the bids are tested. In
addition, the said Surety Company will pay, without proof of notice or demand to the Owner the total cost
to the Owner for any readvertising for construction of the work included in the Contract.

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SECTION L

CERTIFICATE OF WORKERS' COMPENSATION EXPERIENCE MODIFICATION

COUNTY OF ROCKLAND
CERTIFICATION OF WORKERS' COMPENSATION EXPERIENCE MODIFICATION

STATE OF _____)

) ss:

COUNTY OF _____)

Name of Reporting Entity: _____

Address: _____

Telephone No.: _____ Ext: _____ Telefax No.: _____

THE REPORTING ENTITY IS: *(Check one of the following)*

_____ AN INDIVIDUAL _____ A PARTNERSHIP _____ A CORPORATION

THE REPORTING ENTITY:

Has a Workers' Compensation Experience Modification of 1.10 or less. *(Please check box)*

☐ Certification - The information above is true and I understand that this is a requirement for participation in the Project Insurance Coverage program.

COUNTY OF ROCKLAND

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SECTION M

CONTRACT FORMS REQUIRED FOR SRF FUNDED PROJECTS

Contract No. _____ will be made possible through financial assistance from the Clean Water State Revolving Fund (CWSRF) Program. Thus, any funding requirements for construction of treatment works will be deemed requirements for this project.

The following must be submitted with the Bid:

1. Lobbying Certification
2. AIS Contractor's Certification

Refer to Appendix E, Mandatory State Revolving Fund Terms and Conditions or online at www.efc.ny.gov, in regard to completing the required forms.

Please note that the CWSRF AIS Contractor requirements do not apply for this project.

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NOTARY PAGE

STATE OF _____)

) ss:

COUNTY OF _____)

All statements, disclosures and representations stated hereinabove this **STATEMENT REQUIRED DISCLOSURES, REPRESENTATIONS AND CERTIFICATIONS**, comprised of the preceding 14 pages and this page, are based upon my personal review of the books and records of the reporting entity. I declare, under oath or affirmation that all of the foregoing information, as well as any supplemental responses and statements that may be attached hereto are true to the best of my knowledge. I make these statements under the penalty of perjury, in my position/title held at the above-named Reporting Entity.

BY: _____
(Signature)

Print Name: _____

Title: _____

Sworn to before me this

_____ day of _____, 2023

Notary Public