



George Latimer, Westchester County Executive

**General Requirements and Proposals
Information for Bidders
General and Special Clauses
Technical Specifications**

**TERMINAL BUILDING HVAC-1 AND HVAC-2 UPGRADES
WESTCHESTER COUNTY AIRPORT
TOWNS OF HARRISON AND NORTH CASTLE AND
VILLAGE OF RYE BROOK, NEW YORK**

**Contract No. 23-532-Rev.
Bid Opening: January 8, 2025**

By Bidder (Please Print)

Firm/Business Name: _____

Address: _____

For Official Use Only

DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION

Division of Engineering

SPECIAL NOTICE

County of Westchester
New York

ADDENDA TO THE BID DOCUMENTS

Addenda to the Bid Documents will be published on the Empire State Purchasing Group website at (<http://www.bidnetdirect.com/new-york>) **It is the responsibility of each potential bidder to check the website on a regular basis for further information relative to the bid documents including information relating to any and all addenda** prior to submitting its bid. All Bidders are deemed to have reviewed and considered all addendums in their Bid.

SUBMISSION OF BIDS

Bidders should not submit the entire bid document with its bid submission. Instead, each bidder is required to submit the full set of designated Proposal Pages. The Proposal Pages are denoted by a border and are titled on the bottom as “Proposal Page ____”. The Proposal Pages must be accompanied by the “Bid Bond and Consent of Surety” (as set forth in the Proposal Pages) attached to the outside of the sealed bid. A Bid Bond is NOT required for contracts of \$100,000 or less. Failure to submit in this manner may cause the bid to be rejected.

The successful bidder will be required to furnish a Performance and Payment Bond.

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PRE-BID SITE INSPECTION

- A. Superseding the first paragraph of Article “Pre-Bid Site Inspection” of the Information for Bidders, bidders will be permitted to examine the work site only under escort by the County’s representative at 10:00 a.m. on Wednesday, December 11, 2024 at a meeting at the 2nd Floor Operations Office at the Terminal Building, Westchester County Airport, New York.
- B. Bidders shall indicate their interest in the site examination by contacting Jeff Dean, Department of Public Works, Division of Engineering at (914) 995-3361.
- C. All other portions of Article “Pre-Bid Site Inspection” of the Information for Bidders shall remain in full force and effect.

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QUESTIONS DURING BIDDING

No interpretation of the meaning of the plans, specifications or other contract documents will be made to any bidder orally. Every request for such interpretation shall be in writing addressed to the Westchester County Project Manager. The inquiries shall be sent to:

Jeff Dean, P.E.
Westchester County Department of
Public Works and Transportation,
148 Martine Avenue, Suite 500
White Plains, New York 10601
(jadc@WestchesterCountyNY.gov)

To be given consideration, questions must be received by the close of business on **December 13, 2024 at 5:00 PM.** Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications.

Addenda to the Bid Documents will be published on the on the Empire State Purchasing Group website at (<http://www.bidnetdirect.com/new-york>) **It is the responsibility of each potential bidder to check the website on a regular basis for further information relative to the bid documents including information relating to any and all addenda** prior to submitting its bid. All Bidders are deemed to have reviewed and considered all addendums in their Bid. Failure of any bidder to receive any such addendum or interpretation or any other form, instrument or document shall not relieve any bidder from any obligation under its bid as submitted. All addenda so issued shall become part of the contract documents.

A bidder's failure to request a clarification, interpretation, etc. of any portion of the plans, specifications, or contract or to point out any inconsistency therein will preclude such bidder from thereafter claiming any ambiguity, inconsistency, or error which should have been discovered by a reasonably prudent bidder and from asserting any claim for damages arising directly or indirectly therefrom.

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Airport Environmental Management System Requirements

General

The Contractor is responsible for complying with all federal, state and local legal environmental requirements.

Training

A two-hour environmental training session by the Airport Environmental Staff is required for the project superintendent, project manager and responsible foreman for contractors and subcontractors.

The Contractor shall designate a staff member who will be responsible for the oversight of environmental project requirements and to work as a liaison with the Airport Environmental Department.

Erosion and Sediment Control Activities

The Contractor shall comply strictly with Erosion and Sediment Control project specifications and regulatory requirements. Erosion and Sediment Controls may include, but not limited to, the following:

- Proper installation and use of erosion and sediment capture devices i.e. silt fences and hay bales
- Protection of storm drain inlets
- Proper and timely backfilling and stabilization of trench excavation
- Inspections of discharge points
- Proper maintenance of erosion and sediment capture devices

The Contractor shall be subject to Erosion and Sediment Control Inspections by the County and/or Airport Environmental Staff.

Airfield Vehicles and Equipment

The contractor shall ensure that all vehicles and equipment going onto the airfield are cooled with propylene glycol

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Waste Management and Minimization

The Contractor shall dispose of waste in a manner that meets all applicable laws and regulations. Contractors shall make every effort to minimize waste production during construction operations.

Spills Prevention, Control and Response Procedures

The Contractors supervisory personnel will be trained in Airport Spill Prevention, Control and Response Procedures Requirements during the two-hour environmental training session. These supervisory personnel shall ensure that these requirements are complied with and that their on-site personnel are properly trained in spill prevention, control and response procedures that comply with Airport requirements. Contractor shall have a copy of these procedures available on site. The Contractor shall have appropriate spill clean-up equipment on site at all times.

In the event of a spill, the Contractor shall immediately respond to the spill in conformance with their spill procedures and as soon as possible report the spill to Airport Operations by radio or by telephone at 995-4850.

The Contractor is responsible for proper clean-up and disposal of waste materials generated by any spill resulting from their activities.

Good Housekeeping

The Contractor shall demonstrate good housekeeping practices and perform daily site clean-ups. The Contractor shall be subject to inspections by the County and/or Airport Environmental Staff.

Wetland Areas & Groundwater Monitoring Wells

The Contractor shall plan all work to avoid any disturbance, impact or destruction of wetland areas and groundwater monitoring wells. If an incident occurs, the Contractor shall be responsible for any costs associated with the restoration of wetland areas, and repair or replacement of groundwater monitoring wells.

Change to Environmental Project Design Specification

The Contractor shall receive approval from an authorized County representative prior to making any modifications that effect environmental project specifications due to field conditions.

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CONTRACTOR SECURITY ID ISSUE

Qualifications

HPN IDs are required for all personnel performing contract work in the Secured Areas of the Airport or within the AOA (Air Operations Area).

Contractors performing work in the **SIDA Area** (Security Identification Display Area) of the terminal complex or airline ramp are required to go through the following process before gaining access to the SIDA:

- ID issue request
- Point of Contact (POC) information
- Complete a SIDA access application
- 2 Hour SIDA Course
- Establish proof of Identification
- Criminal History Record Check (Fingerprint processing)
- ID Security Deposit

Contractors performing work in the **Non-SIDA Areas** of the AOA are required to go through the following process before gaining access to the AOA:

- ID issue request
- POC information
- Complete a Non-SIDA access application
- Establish proof of Identification
- Verified 10 Year Employment History Background Check
- ID Security Deposit

Access to the SIDA or Non-SIDA areas can be also granted through the issuance of a HPN SIDA or Non-SIDA **Visitors ID**. All Visitor ID holders are required to surrender a specified form of ID for deposit at Airport Operations. Visitor ID holders require a HPN ID holder to sign out each recipient and continuously escort the Visitor while in the designated area. A maximum of 8 people can be escorted by an ID card holder at any time.

Submittals

Companies must submit an **ID Issue Request** in writing to the Airport Security office for either SIDA or Non-SIDA IDs. Included must be the reason for needing access, the location of work,

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the duration of all phases of work, the names of all ID applicants, their purposes or job titles, and types of vehicles that require access to certain phases of work.

Companies must submit a point of contact (**POC**) as the responsible party for ID accountability. This person must be an ID holder and have daily interaction with planning and construction crews. The POC information must include:

- POC Name
- POC Address
- POC Telephone number
- Company telephone number

Companies requesting Non-SIDA access media must perform a full **10 Employment History Check** on each applicant. Contact of each agency must be verified, and there may be no gaps within the 10-year period.

Accountability

The company is accountable for all IDs issued, and must return all IDs within 1 week of completion of the specified work to Airport Security Personnel.

Lost or missing IDs are to be reported to Airport Operations immediately, and a lost ID processing fee of \$100 will be charged before a new ID is reissued. If an individual loses an ID for the second time, a third ID will not be issued, the security deposit will not be refunded, and access to the airfield will be denied.

Airport IDs must be surrendered to Airport Operations personnel upon request.

Security Deposit

A security deposit of \$100 (Cash or Check) will be held for each SIDA or Non-SIDA ID issued. Deposits will be returned within 2 weeks of ID return

IDs not returned upon completion of the contract will be considered lost, and a Lost ID processing fee of \$100 will be charged to the company in addition to losing the Security Deposit.

Issue

IDs will be issued within 1 week of receiving all required information, including FBI Fingerprint results posted by the TSA. Waiting periods between when printed, and when results are received may vary.

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ID Processing Information

ID Processing	Tues.-Fri.	0900-1430 or
	Tues.-Thurs.	1600-1800
	Sun.	1130-1430

Bring Proper ID (i.e. Passport or Drivers License & Social Security card)

SIDA Course	1 st and 3 rd Thurs. each Month
	1300-1500

	2 nd and 4 th Mon. each Month
	1000-1200

Fingerprints	Tues.	0900-1100
\$31.00 cash or check		1330-1430

Bring Proper ID (i.e. Passport or Drivers License & Social Security card)

Vehicle Identification

All vehicles on the AOA require a Class 1 or Class 2 permit. Class 2 permits will be issued and returned daily at Airport Operations.

Airport Conditions

Construction activities may be affected by certain conditions at the airport which may result in work delay or stoppage. Such Conditions may include the following:

- VIP Movements
- Emergency Events
- Weather
- Increased Department of Homeland Security Threat Levels
 - Alert Level Orange
 - Pre-access Checkpoints / Vehicle and equipment inspections
 - Alert Level Red
 - Pre-access Checkpoints / Vehicle and equipment inspections
 - or Construction not permitted

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CHEMICAL LEAK RESTRICTIONS AT AIRPORT

- A. It is now the County of Westchester – Department of Transportation’s policy to ban the use of ethylene glycol for deicing aircraft and its use in construction equipment/vehicles (i.e. anti-freeze) at the Airport. This is in addition to the prior prohibition of other chemicals (i.e. gasoline, diesel fuel, lubricants, etc.) that can potentially leak/spill and contaminate the environment.
- B. Passenger automobiles are excluded from this policy.
- C. Failure to comply with this policy will cause the negligent contractor to be liable for all expenses incurred by damages or delay of work or impediment of Airport operations.

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REQUIRED TRAINING FOR CONTRACTOR PERSONEL

A four hour training session by the airport manager will follow the preconstruction meeting and be required for all flaggers, project superintendent, project manager, and responsible foremen for contractor and subcontractors. All contractor's and subcontractor's personnel shall be trained regarding the importance of following the special procedures outlined in the special notes, inspection of the work for compliance with the special procedures, and safe disposal of trash. Flaggers shall be trained in the proper use of ground control radios and terminology.

An eight hour training session by the airport manager is required for all potential escort vehicle drivers. Scheduling of this training shall be arranged by the Contractor through the airport manager.

The Contract shall supply all escort vehicles with qualified drivers. Escort vehicles shall be pickup trucks or passenger vehicles in good working order. Vehicles shall be equipped with dashboard mounted radios, construction warning flags and rotary beacons. The airport manager reserves the right to reject any drivers and/or vehicles for failure to comply with these provisions.

All training for flaggers and drivers is to be completed prior to start of construction.

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MINORITY PARTICIPATION POLICY

Contractors must comply with the County's Minority Participation Policy, including, but not limited to, the requirement that contractors make a demonstrated good faith effort to utilize Minority Owned Businesses ("MOB") and Women Owned Businesses ("WOB") (see IFB Article 36). To assist contractors in this effort the County has made available a list of MOB and WOB at <http://mwbe.westchestergov.com/> Contractors are also encouraged to utilize other sources to identify potential MOB and WOB as subcontractors and suppliers.

All bidders must submit as part of their bid package the Minority/Women Owned Business Enterprise Questionnaire located in the Proposal Page section of the bid documents.

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CHANGES IN THE WICKS LAW

Effective July 1, 2008, construction contracts of one million five hundred thousand dollars or less will not require the preparation of separate contracts for plumbing and gas fitting; steam heating, hot water heating, ventilation and air conditioning apparatus; and electric wiring and standard illuminating fixtures and general construction.

Each bidder on a public work contract, where the preparation of separate contracts is not required shall, to the full extent applicable, submit with its bid a separate sealed list that names each Subcontractor that the bidder will use to perform work on the contract and the agreed upon price to be paid to each for (a) plumbing and gas fitting, (b) steam heating, hot water heating, ventilating and air conditioning apparatus and (c) electric wiring and standard illuminating fixtures and (d) general construction. The submission (Proposal Page 6) that contains the agreed upon price shall be acknowledged by both Contractor and Subcontractor. For purposes of this paragraph, the acknowledgment from the Subcontractor may contain the facsimile signature of an officer of the Subcontractor.

After the low bid is announced, the sealed list of subcontractors submitted with the bid shall be opened and the names of such subcontractors shall be announced. Thereafter, any changes of subcontractors or agreed-upon amount to be paid to each shall require the approval of the County upon a showing of legitimate construction need for such change.

The Successful low bidder, before award of the contract, must procure and provide to the County, from each of the above denoted Subcontractors, a Contract Disclosure Statement and the Required Disclosure of Relationships to County forms.

The sealed lists of Subcontractors submitted by unsuccessful bidders shall be destroyed after the contract award.

THIS PROJECT IS NOT SUBJECT TO THE REQUIREMENTS OF THE “WICKS LAW”. ACCORDINGLY, EACH BIDDER IS REQUIRED TO SUBMIT SPECIFIC INFORMATION PERTAINING TO ITS PROPOSED SUBCONTRACTORS. PLEASE SEE THE “NOTICE TO CONTRACTORS” THAT FORMS A PART OF THESE BID DOCUMENTS.

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COMPLETION OF GRANT FUNDING FORMS

The bidders are hereby notified that if this project, or any portion thereof, is funded by a grant then the contractor will be responsible to complete all appropriate forms as required by the grant agency in order to complete the application.

PROMPT EXECUTION AND RETURN OF CONTRACT

- A. The successful bidder is required to return the completed contract to the County within ten (10) days of receipt of the execution copy of the contract. The contract must be signed, notarized and returned to the County with all insurance certificates, bonds and supporting documentation, including all required Subcontractor information.
- B. The County reserves all of its rights, including, but not limited to, proceeding against the bid bond, if the successful bidder fails to submit the complete executed package within the above time frame.

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**PROOF OF PAYMENT BY CONTRACTOR TO SUBCONTRACTORS
AND MATERIALMEN.**

In addition to and without limiting any of the provisions set forth in Section 23 of the Information for Bidders, after the Contractor completes 50% of the work under the contract, the Contractor shall supplement each requisition submitted to the County with documentation that establishes that the Contractor has timely and properly paid its subcontractors and materialmen as required by Section 23 of the Information For Bidders. Such documentation shall include copies of both sides of cancelled check(s) paid to the order of the subcontractors and materialmen and such other documentation as may be reasonably requested by the Commissioner. If the Contractor fails to submit such documentation, the Commissioner may, in his sole discretion, withhold payment of the requisition until such time as the documentation is properly submitted. Nothing herein is intended or shall be construed to confer upon or give any subcontractor or materialman, or its successors and assigns, any third party beneficiary rights, remedies or basis for reliance upon, under or by reason of the contract or this Special Notice provision.

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PREVAILING WAGE

All public works contracts are subject to the payment of the prevailing wage and supplements as set forth by the laws of the State of New York, including, but not limited to, Articles 8 and 9 of the New York Labor Law (the “Prevailing Wage Laws”). Westchester County has an active Prevailing Wage Enforcement Officer who enforces the Prevailing Wage Laws within the County for public works contracts, including reviewing certified payroll records, visiting job sites, interviewing the employer and employees (See IFB Article 12) and, if necessary, requesting copies of cancelled checks.

Any Contractor who fails to comply with the Prevailing Wage Laws, including, but not limited to, failing to pay the prevailing wage rates and supplements, failing to submit certified payroll records to the County or failing to post the prevailing wage rates and supplements at the work site, will be subject to enforcement as provided for in the Contract and laws of the State of New York through the Westchester County District Attorney’s office, the Commissioner of the New York State Department of Labor, the County and/or the employee who suffered the underpayment. This enforcement could include, but is not limited to, criminal penalties, civil penalties, debarment from future bid awards, the withholding of payment under the Contract to satisfy the unpaid wages and supplements, including interest and civil penalty. In addition, such a failure shall constitute grounds for cancellation of the Contract (IFB 8(C)). Moreover, a prime contractor is responsible for its subcontractor’s failure to comply with, or evasion of, the provisions of the Prevailing Wage Laws.

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PROJECT LABOR AGREEMENT (PLA)

- A. The County of Westchester has determined that a Project Labor Agreement will be used on this Project. The successful bidder will be required as a condition of this Contract to execute the PLA with the Building and Construction Trades Council of Westchester and Putnam Counties, New York, AFL-CIO ("Council"). The PLA will be substantially in the same form as the PLA included in this contract specification book. Bidders are urged to familiarize themselves with the terms and conditions of the PLA.
- B. It should be noted that Schedule A of the PLA contains a list of the local unions affiliated with the Council. Copies of the applicable Collective Bargaining Agreements of the local unions can be obtained by writing to the Building and Construction Trades Council of Westchester and Putnam Counties, New York, AFL-CIO at 258 Saw Mill River Road, Elmsford, New York 10523, Attn.: Carol A. Boccardi.

NOTICE TO CONTRACTORS

County of Westchester
New York

Sealed proposals for the following construction work:

CONTRACT NO: 23-532-Rev.

ADVERTISING: November 22, 2024

PRE-BID INSPECTION: December 11, 2024

TERMINAL BUILDING HVAC-1 AND HVAC-2 UPGRADES WESTCHESTER COUNTY AIRPORT TOWNS OF HARRISON AND NORTH CASTLE AND VILLAGE OF RYE BROOK, NEW YORK

will be received by the Board of Acquisition and Contract in Room 528, Michaelian Office Building, 148 Martine Ave., White Plains, New York until 11:00 a.m., **Wednesday, January 8, 2025**, and immediately thereafter, the bids will be publicly opened and read aloud in Room 527 of the said building. The bid opening also will be made accessible to the public via the livestreaming service WebEx. The livestreaming of the bid opening via WebEx is in addition to and not in place of the publicly bid opening to be held in Room 527 of the Michaelian Office Building. For additional bidding information or questions call (914) 995-2274.

Instructions for livestreaming via WebEx. Attendees may join by computer browser at <https://westchestergov.webex.com/meet/bac-bidopening> or by phone 1-415-655-0001 US Toll or 1-844-621-3956 US Toll Free. The Access Code is 614 981 028.

The Bid Documents (General Requirements, Information for Bidders, Technical Specifications, etc. with Authorized Proposal Pages) **MUST BE OBTAINED from the Empire State Purchasing Group website at the following web address:**

<http://www.bidnetdirect.com/new-york>.

There is no cost to the bidder for this service. Bid documents will be available after 1:00 p.m. on the advertising date.

PLEASE TAKE NOTICE: IN ORDER TO SUBMIT A BID, BIDDERS MUST REGISTER AND DOWNLOAD THE BID DOCUMENTS FROM THE EMPIRE STATE PURCHASING GROUP WEBSITE AND MUST REGISTER USING THE NAME OF THE PERSON OR BUSINESS ENTITY THAT WILL BE SUBMITTING THE BID. IN ORDER TO ENSURE THAT COUNTY BID DOCUMENTS HAVE NOT BEEN ALTERED IN ANY WAY, THE COUNTY WILL NOT ACCEPT BIDS FROM PERSONS OR BUSINESS ENTITIES THAT HAVE NOT FOLLOWED THIS REQUIREMENT.

The Bid Documents include Contract Drawings which **MAY BE OBTAINED at no cost on the Empire State Purchasing Group website at the following web address:** <http://www.bidnetdirect.com/new-york>, after 1:00 p.m. on the advertising date.

If the bidder is unable to utilize the electronic version of the Contract Drawings that are available on the Empire State Purchasing Group Website, the bidder may purchase copies of the Contract Drawings. Contract Drawings may be obtained from the Office of the Board of Acquisition and Contract at the above address after 1:00 p.m. on the advertising date and between the hours of 9:00 a.m. to 4:00 p.m. Monday thru Friday. Copies of the Contract Drawings shall be made available upon payment of a personal check, company check or money order made payable to the County of Westchester, in the amount of **\$100.00** per set. For bidders, the deposit for each set of drawings will be refunded in full if returned in good condition within thirty days after award or rejection of bids. For non-bidders, only fifty percent of the deposit will be refunded. No refunds will be made to the successful bidder.

Each bidder is required to submit the full set of authorized Proposal Pages and all bids over **\$100,000.00** must also be accompanied by the "Bid Bond and Consent of Surety" (as set forth in the Proposal Pages) attached to the outside of the sealed bid. Failure to submit in this manner may cause the bid to be rejected. **The successful bidder, no matter the amount of its bid, will be required to furnish a Performance and Payment Bond with its signed contract.**

To the full extent applicable, each bidder shall submit with its bid a separate sealed list that names each Subcontractor that the bidder will use to perform work on the contract and the agreed upon price to be paid to each for: (a) plumbing and gas fitting, (b) steam heating, hot water heating, ventilating and air conditioning apparatus and (c) electric wiring and standard illuminating fixtures and (d) general construction. The submission (Proposal Page 41) that contains the agreed upon price shall be acknowledged by both Contractor and Subcontractor. For purposes of this paragraph, the acknowledgment from the Subcontractor may contain the facsimile signature of an officer of the Subcontractor.

The Successful low bidder, before award of the contract, must obtain and provide to the County, from each of the above denoted Subcontractors, fully completed and signed Contract Disclosure Statement (Proposal Pages 24-32) and Required Disclosure of Relationships to County (Proposal Pages 33) forms.

The sealed lists of Subcontractors submitted by unsuccessful bidders shall be destroyed, unless you request that it be returned by checking the applicable box on Proposal Page 5.

The County of Westchester reserves the right to waive any informalities in the bids, or to reject any or all bids. No bidder may withdraw its bid within forty-five (45) days after the date of the bid opening.

Pursuant to Chapter 308 of the Laws of the County of Westchester, it is the goal of the County to use its best efforts to encourage, promote, and increase the participation of business enterprises owned and controlled by persons of color or women - Minority Business Enterprise (MBE) and Women Business Enterprise (WBE).

REMINDER: All required licenses should be submitted with the Bid.

COUNTY OF WESTCHESTER, NEW YORK
DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION

BY: Hugh J. Greechan, Jr., P.E., Commissioner

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1. GENERAL REQUIREMENTS AND PROPOSALS

DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION
Division of Engineering

GENERAL REQUIREMENTS

1. DESCRIPTION OF THE WORK

Work under this Contract includes all necessary labor, materials and equipment required for:

The work under this contract consists of providing all labor, material and equipment required to replace Terminal Building HVAC-1 and HVAC-2 units with one (1) new single outdoor unit on concrete slab.

It is not intended that this description of work mention each particular item required, but that it give information concerning the general scope and areas of work for the convenience of the bidders.

THIS PROJECT IS NOT SUBJECT TO THE REQUIREMENTS OF THE “WICKS LAW”. ACCORDINGLY, EACH BIDDER IS REQUIRED TO SUBMIT SPECIFIC INFORMATION PERTAINING TO ITS PROPOSED SUBCONTRACTORS. PLEASE SEE THE “NOTICE TO CONTRACTORS” THAT FORMS A PART OF THESE BID DOCUMENTS.

GENERAL REQUIREMENTS

2. SUBCONTRACTING & DIRECT EMPLOYMENT OF LABOR

The Contractor shall not subcontract more than forty nine (49%) percent of its bid. The Contractor must directly employ at least fifty one (51%) percent of the personnel working on this contract as measured in man-days worked.

“Directly employ” shall be construed to include only workers employed and paid directly by the Contractor, usually for wages or salary.

The Contractor expressly acknowledges that any violation of this provision constitutes a default under this contract.

3. REQUIRED TIME FOR COMPLETION OF THE WORK

Notification to commence the work will require the mandatory submission of all the executed contracts and the Certificates of Insurance after receipt of authority to award.

The Contractor shall commence the work embraced in this contract within ten (10) days of the service of Notice by the County to do so and shall complete the said work within **180** consecutive calendar days computed from the date of such Notice to commence.

GENERAL REQUIREMENTS

4. SECURITY REGULATIONS

Security Regulations For all County Facilities except County Correctional Facilities:

- A. Contractor's attention is called to the fact that this work is to be performed on property which is the responsibility of the County; therefore, all personnel associated with this contract are subject to special conditions affecting security and control of the facilities operations. Every person required to enter the work site will be issued an ID card and be required to fill out appropriate applications. **There is a \$30.00 processing fee for each lost ID card**; remitted by check made payable to the County of Westchester. All ID processing will be scheduled by the Construction Administrator.
- B. The Contractor/Subcontractor shall issue a copy of the security regulations (Paragraph C) to all personnel engaged on this project.
- C. All Contractor/Subcontractor personnel shall be bound by the following security regulations for the duration of this contract.
 - 1) All personnel must conspicuously display the ID card and identify themselves upon request.
 - 2) If an ID card is misplaced or lost, report this immediately to the Inspector.
 - 3) All Contractor/Subcontractor personnel are responsible for all tools and equipment and you must report any loss immediately to the Construction Administrator.
 - 4) All personnel must observe all orders of the Owner.
 - 5) All personnel are to report any unusual incidents or problems to the Construction Administrator immediately.
 - 6) All personnel shall not possess or consume any alcoholic beverage or illegal drug or medication while on the property, or report to work under the influence of alcohol or drugs.
 - 7) Any vehicle left on the property must be locked and the ignition keys must be removed. Vehicles will not be left overnight without prior approval.
 - 8) All personnel shall not enter any other areas of the premises (except the areas agreed to) without prior approval of the Construction Administrator.

Security Regulations For County Correctional Facilities:

- A. Contractor's attention is called to the fact that this work is to be performed on property adjacent and/or within the County's Correctional Facilities; therefore, all personnel associated with this project are subject to special conditions affecting security and control of the Correctional Facility Operations. Every person required to enter the work site will be fingerprinted, processed for a photo ID card and be required to fill out appropriate applications. **There is a \$100.00 processing fee for each person**, checks made payable to the Commissioner of Finance. All ID processing will be scheduled by the Construction Administrator.
- B. All Contractors and Subcontractors shall issue a copy of the security regulations (Paragraph C) to all personnel to be engaged on this project.
- C. All Contractor's and Subcontractor's personnel shall be bound by the following security regulations for the duration of this project.
 - 1) All personnel entering the Penitentiary, Jail or Women's Unit must stop and identify themselves to the Control or Desk Officer who will issue the appropriate pass after

GENERAL REQUIREMENTS

ascertaining that they have been cleared to enter the facility. Only workers with valid ID will be permitted entry. **NO HELPERS.**

- 2) All personnel must sign in the Visitor's Book, to include the following information: **PERSON'S NAME, COMPANY NAME, REASON FOR ENTRY, WORK LOCATION IN BUILDING.**
- 3) All personnel must conspicuously display the ID card and identify themselves upon request.
- 4) If ID card is misplaced or lost, report this loss immediately to the Shift Captain or Associate Warden.
- 5) All tradesmen will be required to perform a tool inventory inspection of all tools in their possession to demonstrate to the admitting Correction Officer that the typed inventory list matches the tools each time they enter and leave the building. The tradesmen are responsible for keeping all tools and equipment locked when not in immediate use and they must report any loss of tools or equipment immediately to the Shift Captain or Associate Warden.
- 6) All tradesmen and helpers shall carry all tools in a locked and secured tool box or tool cart. A typed inventory sheet shall be carried with the tool box/cart listing all hand and power tools. A manufacturer's MSD Sheet shall be carried with the tool box/cart for any chemical compound that the tradesman has in his/her possession.
- 7) All debris (i.e. packaging, demolition, etc) shall be removed from the worksite at the end of each workday.
- 8) All personnel are subject to search at all times.
- 9) All personnel must observe all orders of Correctional Staff.
- 10) All personnel are to report any unusual incidents or problems to a Correction Officer, Shift Captain or the Associate Warden immediately.
- 11) All personnel shall not possess or consume any alcoholic beverage or illegal drug or medication while on County property, or report to work under the influence of alcohol or drugs.
- 12) Any vehicle left on County property must be locked and the ignition keys must be removed. Vehicles will not be left over-night on County property without prior approval.
- 13) All personnel shall not enter any other areas of the prison (except the areas agreed to) without prior approval of the Shift Captain or the Associate Warden.
- 14) All personnel shall not bring anything in for any inmate/detainee or staff member or take out anything for any inmate/detainee or staff member.
- 15) All personnel shall not engage in any unnecessary conversations with any inmate/detainee.
- 16) Weapons, i.e., guns, knives, blackjacks, to include any tool activated by gunpowder or other explosive charge is prohibited in the building (i.e., stud gun). Violators of this rule are subject to arrest.
- 17) All personnel must sign out when leaving and must return the ID card to the Control/Desk Officer before leaving.

GENERAL REQUIREMENTS

- 18) Failure of the contractor to follow these procedures will result in the contractor being denied access to the facility.

5. PAYMENT FOR BONDS AND INSURANCE

The amount bid for contract bonds and insurance shall not exceed 3% of the total contract price excluding the bid price for Miscellaneous Additional Work (Item W800) and Field Testing Equipment (W851), where applicable. Should the bidder exceed the foregoing three percent (3%), the Department will make the necessary adjustment to determine the total amount bid based on the arithmetically correct proposal.

The amount bid shall be payable with the first contract payment.

GENERAL REQUIREMENTS

CONTRACT DRAWINGS:

CONTRACT NUMBER 23-532-Rev.

The Design Drawings, as listed on the Contract Drawing Index, herewith made a part of these Specifications, shows in general and/or in detail the work to be done under this Contract and/or the various Contracts forming the entire work for the Project, as described herein.

After sending the executed contract to the County and prior to the first job meeting, the Contractor is responsible for obtaining from Public Works, Division of Engineering, Michaelian Office Building, White Plains, a maximum of five gratis copies of the Contract Drawings and Specifications; for the Contractor's permanent possession. Additional sets, requested by the Contractor, beyond the permitted number and time limit, will be furnished by Public Works; but at the Contractor's expense.

SHEET NO.	SHEET TITLE	DPW FILE NO.
T001	COVER SHEET	48-15-T-874-0
S-201	STRUCTURAL FLOOR PLAN	48-15-S-875-0
S-300	STRUCTURAL DETAILS AND NOTES	48-15-2-878-0
GC-201	GENERAL CONSTRUCTION DEMO AND NEW WORK PLAN	48-15-G-877-0
GC-701	GENERAL CONSTRUCTION DETAILS	48-15-G-878-0
M-001	MECHANICAL SYMBOLS, ABBREVIATIONS, AND GENERAL NOTES	48-15-M-879-0
M-101	MECHANICAL DEMOLITION FIRST FLOOR PART PLAN	48-15-M-880-0
M-201	MECHANICAL NEW WORK FIRST FLOOR PART PLAN	48-15-M-881-0
M-202	MECHANICAL NEW WORK FIRST FLOOR PLAN	48-15-M-882-0
M-801	MECHANICAL SCHEDULES	48-15-M-883-0
M-701	MECHANICAL DETAILS	48-15-M-884-0
M-702	MECHANICAL DETAILS	48-15-M-885-0
E001	ELECTRICAL SYMBOLS, ABBREVIATIONS AND GENERAL NOTES	48-15-E-886-0
E101	ELECTRICAL FIRST FLOOR PART PLAN - DEMOLITION	48-15-E-887-0
E201	ELECTRICAL FIRST FLOOR PLAN - NEW WORK	48-15-E-888-0
E301	ELECTRICAL ONE-LINE DIAGRAM AND PLANS	48-15-M-889-0
SP-001	SPRINKLER SYMBOLS, ABBREVIATIONS, AND GENERAL NOTES	48-15-SP-890-0
SP-201	SPRINKLER SYMBOLS, ABBREVIATIONS, AND GENERAL NOTES	48-15-SP-891-0
SP-701	SPRINKLER SYMBOLS, ABBREVIATIONS, AND GENERAL NOTES	48-15-SP-892-0

Submit all proposal pages in this section, including all executed and unexecuted pages and fasten with a clip at the upper left hand corner.



George Latimer, Westchester County Executive

PROPOSAL PAGES

TERMINAL BUILDING HVAC-1 AND HVAC-2 UPGRADES WESTCHESTER COUNTY AIRPORT TOWNS OF HARRISON AND NORTH CASTLE AND VILLAGE OF RYE BROOK, NEW YORK

Contract No. 23-532-Rev.

Bid Opening: January 8, 2025

By Bidder (Please Print) Firm/Business Name: _____ Address: _____ _____	For Official Use Only _____ _____
---	--

**DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION
Division of Engineering**

PROPOSAL REQUIREMENTS

BIDDER'S IDENTIFICATION

CONTRACT NO. _____

To the Commissioner of Public Works, Westchester County, New York, acting for the party of the first part.

Proposal made by _____
as party of the second part.

Whose business address is _____

Whose telephone number is _____

Whose E-mail address is _____

Whose Federal ID number is _____

Is bidder an individual,
a partnership or a corporation? _____

If a partnership or corporation,
give the names of all partners
or officers with their titles _____

If operating under a trade name or as partners, has the required Certificate been filed with a County Clerk in accordance with the General Business Law, Section 130?

Yes....[] No....[] N.A....[]

If the answer is NO, Certificate must be filed before the contract can be executed.

NOTE: the bid must be submitted using the Contractor's legal name, not just the "doing business as" (i.e. DBA) name.

COMPLETE THIS FORM USING BLACK INK ONLY

PROPOSAL REQUIREMENTS

1. The undersigned, the bidder, does hereby declare that it has carefully read the contract specifications and has carefully studied the relevant plans, profiles and other drawings (as defined in Article "Contract Drawings" of the General Requirements) relating to the contract work, and has inspected the site(s) of the work..
2. The undersigned does hereby declare that it is the only one interested in its indicated bid; that the bid is in all respects without fraud or reservations; and that no official of the County or of the participating municipalities (if any), or any person in the employ of the County of participating municipalities (if any) is directly interested in the contract bid or in the supplies, equipment or works to which it relates, or in any part of the profits resulting there-from.
3. The undersigned does hereby offer and agree to furnish all materials, to fully and faithfully construct, perform and execute all work under the contract in accordance with the plans, profiles, other drawings and specifications relating thereto, and to furnish all labor, tools, implements, machinery, forms, transportation and materials necessary and proper for said purpose at the following indicated lump sum price for the total work and/or the following indicated unit prices for the various items of the work.
4. The undersigned does hereby declare that the indicated price(s) cover all expenses of every kind incidental to the completion of the contract work, including all claims affecting the work, labor and materials, which may arise through any cause whatsoever, excepting as provided for in Article "Disputed Work-Notice Of Claims For Damages: of the General Clauses.
5. The undersigned hereby agrees that in the event that the quantities of contract work actually performed by the undersigned are less than the approximate quantities indicated in the specifications it will make no claim(s) for loss of anticipated profits.
6. The undersigned does hereby agree that it will execute a contract containing all the terms, conditions, provisions and covenants necessary to complete the work according to the appropriate plans and specifications, within ten working days after receipt by the undersigned of the contract from the County, and that if it fails to execute said contract within said period of time the County may rescind the contract award and may retain as liquidated damages and not as a penalty, any amounts submitted as the bid security accompanying the undersigned's proposal, and/or demand from the Bidder's Surety Company that executed the required Bid Bond and Consent of Surety to pay to the County the difference between the amount bid and the amount for which such contract is thereafter awarded, together with the cost to the County of reletting said contract up to the maximum aggregate amount of 25% of the amount bid.
7. The undersigned does hereby agree to commence the work encompassed under the contract within ten days after notification in writing from the Commissioner of Public Works or his authorized designee, unless a definite earlier or later start has been specified, and will complete the work fully and in every respect on or before the specified completion date; and further agrees that the County has the right to employ such combination of labor, equipment

PROPOSAL REQUIREMENTS

and materials as may be required for the proper completion of the contract work and to deduct all costs from such monies as may be due the undersigned, in the event the contract work is not completed by the specified completion date.

8. The undersigned does hereby agree to comply with all relevant provisions of the Labor Laws of the State of New York, and agrees to adhere to the provisions relating to the eight-hour day and five-day week, the payments of minimum rates for labor, and the latest laws relative to payments for wages for labor on public contracts.
9. The undersigned does hereby agree to insure all persons connected with the contract work against accident, at its own expense, as prescribed by the Workmen's Compensation Law of the State of New York; and that it will be responsible for payments by itself, its subcontractors and vendors of all taxes applicable to the work, and all other payments as may be required by various laws and rules and regulations of the Federal Government, the State of New York and its political subdivisions and agencies, such payments including but not limited to the following:
 - A. Federal Social Security Taxes on employees' wages.
 - B. Applicable Federal Excise Taxes.
 - C. New York State Unemployment Insurance and Disability Payments, based on employees' wages.
10. The undersigned does hereby agree to accept their indicated lump sum price for the total work and/or their indicated unit prices for the various items of the work as the sole basis in the determination of the value of addition to, or deletions from the specified scope of the contract work.

11. ADDENDUM RECEIPT - CONTRACT NO. _____

(The undersigned shall fill in contract number above, and the required information below.)

The undersigned does hereby acknowledge receipt of the below listed addenda to the contract specifications:

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

COMPLETE THIS FORM USING BLACK ONLY

PROPOSAL REQUIREMENTS

12. Bidders should not submit the entire Bid document with its bid submission. Instead, Bidders must submit ALL of the Proposal Pages. Proposal Pages are denoted by a border and are titled on the bottom as "Proposal Page ____".

Be sure that, where required, the forms have been completed and signed by a notary public.

Proposal Page 12 must be completed by a surety company and submitted with the bid if a Performance and Payment Bond is required in accordance with the "Notice to Contractors".

13. NON-COLLUSIVE BIDDING CERTIFICATION

Made pursuant to Section 103-d of the General Municipal Law of the State of New York as amended by the Laws of 1966.

- A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:
- 1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
 - 2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
 - 3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- B. A bid shall not be considered for award nor shall any award be made where a. (1), (2) and (3), above, have not been complied with; provided however, that if any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore. Where a. (1), (2) and (3), above, have not been complied with, the bid shall not be considered for award nor shall any award be made unless the head of the purchasing unit of the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not added for the purpose of restricting competition."
14. The undersigned and each person signing in behalf of the undersigned hereby executes the foregoing Affirmative Action Questionnaire, Proposal, Addendum Receipt and Non-Collusive Bidding Certification.
15. The undersigned and each person signing on behalf of the undersigned hereby certifies that

PROPOSAL REQUIREMENTS

the person, firm or corporation submitting this proposal as the bidder has not been found guilty of a willful violation of the New York State Labor Law for failure to pay prevailing wages and supplements, as those terms are defined by the New York State Labor Law, within the twelve (12) months immediately preceding the submission of this bid.

16. The undersigned, by submitting the Proposal Pages, acknowledges that it has read the complete bid package including any and all addenda thereto and its bid includes all of the terms and conditions set forth in the bid documents, including, but not limited to, the Notice to Contractors, General Requirements and Proposals, Contract plans/drawings (if any), Proposal Forms, Information for Bidders, General Clauses, Sample Forms and Attachments, Sample Contract and Bond, Schedule of Hourly Rates and Supplements, Technical Specifications, any Special Notices and all applicable laws, rules and regulations. The undersigned further acknowledges that by submitting this bid the above denoted items are incorporated by reference and constitute an integral part of its bid.
17. The undersigned agrees that, if it is not the Successful bidder, the Sealed List of Subcontractors submitted with its bid can be destroyed by the County. **Please check the following box if you want the Sealed List of Subcontractors returned to you.** ☐

Dated _____, 20____

Legal Name of Person, Firm or
Corporation

(Seal of Corporation)

Business Address of Person, Firm or Corporation

By _____
Signature

Title

COMPLETE THIS FORM USING BLACK INK ONLY

LUMP SUM PROPOSAL

ITEM NO.	DESCRIPTION	AMOUNT BID	
		DOLLARS	CENTS
A	For providing all labor, material and equipment necessary to complete all work as shown on the contract drawings and in accordance with the specifications for providing all labor, material and equipment necessary to complete all work as shown on the contract drawings and in accordance with the specifications for the Terminal Building HVAC-1 and HVAC-2 Upgrades at Westchester County Airport in the Towns of Harrison and North Castle and Village of Rye Brook, New York.	\$	
B	Contract Bonds and Insurance (Must not exceed 3.00% of Subtotal shown above)	\$	
W800	Necessary for Miscellaneous Additional Work per Article "Miscellaneous Additional Work (Item W-800)" of Information for Bidders, as directed	\$ 100,000	00
GROSS SUM OF TOTAL BID		DOLLARS	CENTS
		\$	

CONTRACTOR: _____

ADDRESS: _____

BY: _____

Signature/Title

CONTRACTOR'S ACKNOWLEDGMENT

(If Corporate)

STATE OF NEW YORK)

COUNTY OF WESTCHESTER) ss.:

On this _____ day of _____, 20____, before me personally came _____
_____ to me known and known to me to be the _____
_____ of _____ the corporation described in and which
executed the within instrument, who being by me duly sworn did depose and say that he the said _____
_____ resides at _____
_____ and that he is _____ of said corporation and knows the corporate
seal of the said corporation; that the seal affixed to the within instrument is such corporate seal and
that it was so affixed by order of the Board of Directors of said corporation, and that he signed his
name thereto by like order.

Notary Public

CONTRACTOR'S ACKNOWLEDGMENT

(If Individual)

STATE OF NEW YORK)

COUNTY OF WESTCHESTER) ss.:

On this _____ day of _____, 20____, before me personally came _____
_____ to me known, and known to me to be the same person described in
and who executed the within instrument and he duly acknowledged to me that he executed the same
for the purpose herein mentioned and, if operating under the trade name, that the certificate required
by the New York State General Business Law Section 130 has been filed with the County Clerk of
Westchester County.

Notary Public

CONTRACTOR'S ACKNOWLEDGMENT

(If Co-Partnership)

STATE OF NEW YORK)

COUNTY OF WESTCHESTER) ss.:

On this _____ day of _____, 20____, before me personally came _____
_____ to me known, and known to me to be a member of the firm of _____
_____ and the person described in, and who executed the
within instrument in behalf of said firm, and he acknowledged to me that he executed the same in
behalf of, and as the act of said firm for the purposes herein mentioned and that the certificate
required by the New York State General Business Law Section 130 has been filed with the County
Clerk of Westchester County.

Notary Public

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S ACKNOWLEDGMENT

(If Corporation/Sole Officer)

STATE OF NEW YORK)
) ss.:
COUNTY OF)

On this _____ day of _____, 20__, before me
personally came _____ to me known and
(Name)

known to me to be the _____
(Title)

of _____, the corporation described in and which
(Name of Corporation)

executed the within instrument, who being by me duly sworn did depose and say that he/she,
resides at _____

and that he/she signed the within instrument, on behalf of said corporation, in his/her capacity
as the _____ and sole officer and director of said corporation
(Title)

and that he/she owns all the issued and outstanding capital stock of said corporation.

Notary Public

COMPLETE THIS FORM USING BLACK INK ONLY

LIMITED LIABILITY COMPANY ACKNOWLEDGMENT

STATE OF NEW YORK)
) ss.:
COUNTY OF)

On this _____ day of _____, 20__, before me

personally came _____ to me known to be the individual
(*Name of individual who signed agreement*)

who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that

(s)he is (the)(a) _____ of _____,
(*member*)(*manager*) (*name of limited liability company*)

a _____ limited liability company, and that (s)he has authority
(*name of state*)

to sign the same, and acknowledged that (s)he executed the same as the act and deed of said limited liability company.

Sworn to before me this ____ day
of _____, 20__

Notary Public

My Commission Expires on: _____

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF AUTHORITY

I, _____
(Officer other than officer executing proposed documents)

certify that I am _____ of the
(Title)

(Name of Contractor)

(the "Contractor"), a corporation duly organized and in good standing under the

(Law under which organized, e.g., the New York Business Corporation Law)

named in the foregoing agreement; that _____
(Person executing proposal documents)

who signed said agreement on behalf of the Contractor was, at the time of execution the

_____ of the Contractor; that said agreement was
(Title of such person)

duly signed for and in behalf of said Contractor by authority of its Board of Directors, thereunto

duly organized, and that such authority is in full force and effect at the date hereof.

(Signature)

(SEAL)

STATE OF NEW YORK)
) ss.:
COUNTY OF)

On this _____ day of _____, 20____, before me personally came
_____ to me known, and known to me to be
the _____ of _____, the
Corporation described in and which executed the above certificate, who being by me duly sworn did
depose and say that he, the said _____ resides at
_____ and that he is _____
_____ of said Corporation and knows the Corporate Seal of the said
Corporation; that the seal affixed to the above certificate is such Corporate Seal and that it was so
affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto
by like order.

Notary Public

COMPLETE THIS FORM IN BLACK INK ONLY

CERTIFICATE OF AUTHORITY-LIMITED LIABILITY COMPANY

I, _____,
(member or manager other than person executing the agreement)

certify that I am a _____ of _____
(member/manager) (Name of Limited Liability Company)

(the “LLC”) duly organized under the Laws of the State of _____; that
(Name of State)

_____, who signed said agreement on behalf of the LLC.
(Person Executing Agreement)

was, at the time of execution, a manager of the LLC; that said Contract was duly signed for and on behalf of said LLC and as the act of said LLC for the purposes herein mentioned.

(Signature)

[illegible]

On this _____ day of _____, 20____, before me personally came
_____, to me known, and known to me to be the _____
(name of member/manager) (member/manager)
described in and who executed the above certificate, who being be me duly sworn did depose and say
that he resides at _____, and he is a
(member/manager) of said LLC; that he is duly authorized to execute said certificate on behalf of said
LLC, and that he signed his name thereto pursuant to such authority.

Notary Public

County

My Commission Expires on: _____

COMPLETE THIS FORM USING BLACK INK ONLY

***Required for all Bids over \$100,000 where a Performance & Payment Bond
is Required in accordance with the "Notice to Contractors"***

CONTRACT NO. _____

BID BOND AND CONSENT OF SURETY

KNOW ALL PERSONS BY THESE PRESENTS, That _____
(Name of Contractor)

(Address)
(hereinafter called the "Principal") and the _____ a
corporation created and existing under the laws of the State of _____, having its principal office
at _____ (hereinafter called the "Surety"),
(PRINT FULL ADDRESS OF SURETY)

are held and firmly bound unto the County of Westchester (hereinafter called the "Obligee"), in the full just
sum of *Twenty-Five (25%) Percent of the Attached Bid*, good and lawful money of the United States of
America, for the payment of which said sum of money, well and truly to be made and done, the said
Principal binds themselves (himself/herself, itself), their (his/her, its) heirs, executors and administrators,
successors and assigns, and the said Surety binds itself, its successors and assigns jointly and severally,
firmly by these presents:

WHEREAS, the said Principal has submitted to the County of Westchester, New York, a
proposal/bid for Contract Number: _____
Project Title: _____ and

WHEREAS, under the terms of the Laws of the State of New York as above indicated, the said
Principal has filed or intends to file this bond to guarantee that the Principal will execute all required contract
documents, furnish all required insurance and furnish such Performance and Payment Bonds or other bonds
as may be required in accordance with the terms of the Principal's said proposal/bid.

NOW, THEREFORE, the Surety agrees:

(i) if the Contract for which the preceding estimate and proposal is made, is awarded to the Bidder by
the County, the Surety shall become bound as Surety and guarantor for the faithful performance of the
Contract and shall execute and deliver a Performance & Payment Bond, in a form acceptable to the County,
in the amount of 100% of the total Contract price, or such other amount as may be specified in the Bid
documents, and shall execute the Contract as party of the third part when required to do so by the Board of
Acquisition and Contract of the County; and

(ii) if the Bidder shall, upon award of the Contract to the Bidder, fail or refuse to execute the Contract
and furnish the necessary bonds and insurance certificates, the Surety shall, on demand by the County, pay to
the County the difference between the amount bid and the amount for which such contract is thereafter
awarded, together with the cost to the County of reletting said Contract, up to the maximum aggregate
amount of this bond.

(iii) the condition of the foregoing obligation is such, that if the said Principal shall promptly execute
and submit, and the County shall accept, all required contract documents including insurance and such
Performance and Payment Bond or other bonds, all as may be required in accordance with the terms of the
Principal's said bid/proposal, then this obligation shall be null and void, otherwise to remain in full force and
virtue.

The Surety, for value received, the receipt of which is hereby acknowledged by the Surety, hereby stipulates and agrees that the obligation of the Surety and of its bond shall remain absolute and shall be in no way impaired, affected or discharged by an extension of time, mutually agreed to by the County and the Bidder, within which the County may award said Contract, and the Surety hereby waives notice of any such extension.

IN TESTIMONY WHEREOF, the said Principal has hereunto set his/her (their, its) hand and the said Surety has caused this instrument to be signed by its duly authorized officer this _____ day of _____ 200__.

Signed and delivered this ____ day of _____ 20____ in the presence of:

(Print Name of Contractor)

(Signature) Principal

(Title of Authorized Officer)

(Print Name of Surety)

By _____ Surety
(Signature)

(Title of Authorized Officer)

(The Surety Company shall append a single copy of a statement of its financial condition, a copy of the resolution authorizing the execution of Bonds by officers of the Surety Company, Power of Attorney, Surety Acknowledgment.)

AFFIRMATIVE ACTION PROGRAM REQUIREMENT

Affirmative Action Program

An approved Affirmative Action Plan shall be required in all contracts for public work where the awarded contract amount exceeds \$50,000 or more than fourteen (14) persons are employed by the Contractor and/or his subcontractors.

Does the Contractor participate in an approved Affirmative Action Program? Yes [☐] No [☐]

If Yes, give name of Program: _____

If No, how many employees (total) does the Contractor employ. Please also include in your count the number of employees the Contractor and its Subcontractors expect to use on this project: _____

An approved Affirmative Action Program shall mean a plan approved or adopted by Westchester County including but not limited to, the Home-Town Plan, the Recruitment Training Program or any other program approved or meeting the requirements of the State or Federal government.

The "Monthly Employment Utilization Report" of the Sample Forms, shall be filled out by the Contractor and/or Subcontractor(s) who are required to have an Affirmative Action Program, prior to the start of the work.

Before any subcontractor is approved for use on this contract it will have to complete and submit the "Affirmative Action Program Requirement- Subcontractors" form of the Sample Forms.

COMPLETE THIS FORM USING BLACK INK ONLY

APPRENTICESHIP TRAINING PROGRAM REQUIREMENT

Apprenticeship Training Program

An approved Apprenticeship Training Program shall be required in all contracts for public work where the awarded contract amount exceeds \$50,000. and more than fourteen (14) persons are employed by the Contractor or Subcontractor(s).

Will the Contractor utilize apprentices for this
Contract? Yes [] No []

If Contractor Yes, do the apprentices participate in an approved Apprenticeship
Training Program? Yes [] No []

If Contractor Yes, give the name of the Program: _____

Will the Subcontractor(s) utilize apprentices for this
Contract? Yes [] No []

If Subcontractor(s) Yes, do the apprentices participate in an approved Apprenticeship
Training Program? Yes [] No []

If Subcontractor(s) Yes, give the name of the Program: _____

AN APPROVED APPRENTICESHIP TRAINING PROGRAM SHALL MEAN A NEW YORK
STATE REGISTERED APPRENTICESHIP TRAINING PROGRAM AS DEFINED UNDER
THE NEW YORK STATE LABOR LAW.

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF LICENSE

(TO BE COMPLETED BY AN ELECTRICAL BIDDER ONLY)

_____, being duly sworn
(Name)

deposes and says that the following statements are true:

(1) I am the _____ of the
(Title)

_____, the bidder named on the
(Name of Contractor)

bid proposal, and I have read and am familiar with: a) the electrical license requirements contained in the Information for Bidders of the bid, b) Chapter 277 Article XVII of the Laws of Westchester County entitled Electrical Licensing Board and the Licensing of Master Electricians, and c) the Westchester County Electrical Licensing Board Rules and Regulations.

(2) I am familiar with, and this bid is being submitted in compliance with, the Westchester County Electrical Licensing Board Rules and Regulations, in particular No. 11, which states as follows:

No individual holding a Master Electrician's License shall lend such License to any person or allow any other person to carry on, engage in, or labor at the business as defined herein of installing, removing, altering, testing, replacing, or repairing electrical systems. A violation of this section by any person holding a License shall be sufficient cause for revocation of such License.

However, nothing herein shall be construed to prohibit the use of a License by the holder thereof for or on behalf of a partnership, corporation or other business association, provided that fifty-one (51) percent or more of the control of the voting capital stock of such partnership, corporation, or other business association is owned by one (1) or more holders of a Westchester County Master Electrical License and that all work performed by such partnership, corporation or other business association is performed by or under the direct supervision of such License holder or holders.

(3) That, as of this date, the bidder submitting the bid possesses the applicable valid Master/"Special" Electrician's license issued by the Westchester County Electrical Licensing Board; that this License is being used in compliance with the Laws of Westchester County and Westchester County Electrical Licensing Board Rules and Regulations; and **I have provided a copy of such license with the sealed bid proposal.**

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF LICENSE (Continued)

(TO BE COMPLETED BY AN ELECTRICAL BIDDER ONLY)

(4) That all electrical work shall be performed in accordance with the requirements of Chapter 277 Article XVII of the Laws of Westchester County entitled Electrical Licensing Board and the Licensing of Master Electricians and the Westchester County Electrical Licensing Board Rules and Regulations.

(5) That I make this statement in connection with the submission of the bid as proof of the required electrical license, knowing that this statement will be relied upon by the County in the evaluation of that bid.

Signature

Sworn to before me
this _____ day of _____

License No.

Notary Public - State of New York

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF LICENSE

(TO BE COMPLETED BY A PLUMBING BIDDER ONLY)

_____, being duly sworn
(Name)

deposes and says that the following statements are true:

(1) I am the _____ of the
(Title)

_____, the bidder named on the
(Name of Contractor)

bid proposal, and I have read and am familiar with: a) the plumbing license requirements contained in the Information for Bidders of the bid, b) Chapter 277 Article XV of the Laws of Westchester County entitled Westchester County Board of Plumbing Examiners and County-wide Plumbing License, and c) the Westchester County Board of Plumbing Examiners Rules and Regulations.

(2) I am familiar with, and this bid is being submitted in compliance with, Section 277.509A of Article XV of Chapter 277 of the Laws of Westchester County, which states as follows:

A. No holder of a license or certification issued under this article shall authorize, consent to or permit the use of his or her license or certification by or on behalf of any other person. No person who has not qualified or obtained a license or certification under this article shall represent himself or herself to the public as holder of a license or certification issued under this article, either directly, by means of signs, sign cards metal plates or stationery, or indirectly in any other manner whatsoever. However, nothing herein shall be construed to prohibit the use of a license by the holder thereof for or on behalf of a partnership, corporation or other business association, provided that 51 percent or more of the control of the voting capital stock of such partnership, corporation or other business association is owned by one or more holders of a Westchester County master plumbing license and that all work performed by such partnership, corporation or other business association is performed by or under the direct supervision of such license holder or holders.

(3) That, as of this date, the bidder submitting the bid possesses a valid Master Plumber's license issued by the Westchester County Board of Plumbing Examiners; that this License is being used in compliance with the Laws of Westchester County and the Westchester County Board of Plumbing Examiners Rules and Regulations; and **I have provided a copy of such license with the sealed bid proposal.**

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF LICENSE (Continued)

(TO BE COMPLETED BY A PLUMBING BIDDER ONLY)

(4) That all plumbing work shall be performed in accordance with the requirements of Chapter 277, Article XV of the Laws of Westchester County entitled Westchester County Board of Plumbing Examiners and County-wide Plumbing License, and the Westchester County Board of Plumbing Examiners Rules and Regulations.

(5) That I make this statement in connection with the submission of the bid as proof of the required plumbing license, knowing that this statement will be relied upon by the County in the evaluation of that bid.

Signature

Sworn to before me
this _____ day of _____

License No.

Notary Public - State of New York

COMPLETE THIS FORM USING BLACK INK ONLY

CERTIFICATE OF LICENSE

(TO BE COMPLETED BY A HAULING BIDDER OR SUBCONTRACTOR ONLY)

_____, being duly sworn
(Name)

deposes and says that the following statements are true:

(1) I am the _____ of the
(Title)

_____, the bidder/subcontractor (circle one)
(Name of Contractor)

named on the foregoing bid proposal, and I have read and am familiar with the hauling license requirements contained in the Information for Bidders of the foregoing bid.

(2) That, as of this date, the bidder submitting the foregoing bid/subcontractor of the bidder submitting the foregoing bid (circle one) possesses a valid _____ license
(License type, i.e. Class "A")
issued by the Westchester County Solid Waste Commission.

(3) That all hauling work shall be performed in accordance with the requirements of Chapter 826-a of the Laws of Westchester County.

(4) That I make this statement in connection with the submission of the foregoing bid as proof of the required hauling license, knowing that this statement will be relied upon by the County in the evaluation of that bid.

Signature

Sworn to before me
this _____ day of _____

License No.

Notary Public - State of New York

COMPLETE THIS FORM USING BLACK INK ONLY

STORMWATER POLLUTION PREVENTION CERTIFICATION

I certify under penalty of law that I understand and agree to comply with the terms and conditions of the Stormwater Pollution Prevention Plan ("SPPP") for the construction site identified in such SPPP as a condition of authorization to discharge stormwater. I also understand the operator must comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") general permit for stormwater discharges from construction activities and it is unlawful for any person to contribute to a violation of water quality standards.

Signature

Sworn to before me

This _____ day of _____, 200_.

Notary Public – State of New York, County of _____

My Commission Expires on _____.

This Certification will also have to be signed by your subcontractors. Additional copies of this form can be acquired from the Department of Public Works.

COMPLETE THIS FORM USING BLACK INK ONLY

PREVAILING WAGE RATES AND SUPPLEMENTS

Compliance with the New York State Construction (Article 1, Section 17) and the New York State Labor Law (Section 220)

Is your firm in full compliance with the New York State Labor Law?
(Please check one)

Yes _____

No _____

Are the wage supplements paid into a Federally approved program?
(Please check one)

Yes _____

No _____

If Yes, please indicate which program:

If No, please indicate how the supplements are being paid:

Yes, I have read and understand the terms of this Contract and the laws of this Agreement:

Signature

Date: _____

Notary Public

Date: _____

COMPLETE THIS FORM USING BLACK INK ONLY

MINORITY/WOMEN BUSINESS ENTERPRISE PROGRAM QUESTIONNAIRE
QUESTIONNAIRE REGARDING BUSINESS ENTERPRISES
OWNED AND CONTROLLED BY WOMEN OR PERSONS OF COLOR

As part of the County's program to encourage the meaningful and significant participation of business enterprises owned and controlled by persons of color or women in County contracts, and in furtherance of Section 308.01 of the Laws of Westchester County, completion of this form is required.

A "business enterprise owned and controlled by women or persons of color" means a business enterprise, including a sole proprietorship, limited liability partnership, partnership, limited liability corporation, or corporation, that either:

- 1.) meets the following requirements:
 - a. is at least 51% owned by one or more persons of color or women;
 - b. is an enterprise in which such ownership by persons of color or women is real, substantial and continuing;
 - c. is an enterprise in which such ownership interest by persons of color or women has and exercises the authority to control and operate, independently, the day-to-day business decisions of the enterprise; and
 - d. is an enterprise authorized to do business in this state which is independently owned and operated.
- 2.) is a business enterprise certified as a minority business enterprise ("MBE") or women business enterprise ("WBE") pursuant to Article 15-a of the New York State Executive Law and the implementing regulations, 9 New York Code of Rules and Regulations subtitle N Part 540 et seq., **OR**
- 3.) is a business enterprise certified as a small disadvantaged business concern pursuant to the Small Business Act, 15 U.S.C. 631 et seq., and the relevant provisions of the Code of Federal Regulations as amended.

Please note that the term "persons of color," as used in this form, means a United States citizen or permanent resident alien who is and can demonstrate membership of one of the following groups:

- (a) Black persons having origins in any of the Black African racial groups;
- (b) Hispanic persons of Mexican, Puerto Rican, Dominican, Cuban, Central or South American descent of either Indian or Hispanic origin regardless of race;
- (c) Native American or Alaskan native persons having origins in any of the original peoples of North America; or
- (d) Asian or Pacific Islander persons having origins in any of the Far East countries, South East Asia, the Indian subcontinent or the Pacific Islands.

1. Are you a business enterprise owned and controlled by women or persons of color in accordance with the standards listed above?

_____ No

_____ Yes

Please note: If you answered “yes” based upon certification by New York State and/or the Federal government, official documentation of the certification must be attached.

2. If you answered “Yes” above, please check off below whether your business enterprise is owned and controlled by women, persons of color, or both.

_____ Women

_____ Persons of Color (*please check off below all that apply*)

_____ Black persons having origins in any of the Black African racial groups

_____ Hispanic persons of Mexican, Puerto Rican, Dominican, Cuban, Central or South American descent of either Indian or Hispanic origin regardless of race

_____ Native American or Alaskan native persons having origins in any of the original peoples of North America

_____ Asian or Pacific Islander persons having origins in any of the Far East countries, South East Asia, the Indian sub-continent or the Pacific Islands

Name of Business Enterprise: _____

Address: _____

Name and Title of person completing questionnaire: _____

Signature: _____

Notary Public

Date

CONTRACTOR'S DISCLOSURE STATEMENT

Instructions:

The County of Westchester, in order to insure that it employs responsible contractors for its major construction projects, requires all bidders for construction contracts (which includes reconstruction and repair) with an estimated value of One Hundred Thousand (\$100,000.00) or more Dollars to answer completely and swear to the questions below. If a Contractor Disclosure Statement has been included with this bid specification, then the County has determined that it is applicable to this bid. All subcontractors whose contract has a value of One Hundred Thousand (\$100,000.00) or more Dollars must also submit a Contractor Disclosure Statement.

Please read the questions carefully and answer them completely. Before you answer these questions, please read the definitions of terms used in these questions. While you may contact the Department of Public Works if you have questions about this form, the County cannot provide you with any legal advice for which you must contact your own lawyer. **FAILURE TO COMPLETE THIS CONTRACTOR DISCLOSURE STATEMENT IN GOOD FAITH MAY RESULT IN THE REJECTION OF YOUR BID.**

If you have previously filled out a Contractor Disclosure Statement for another County bid and only some but not all of your responses have changed, attach a copy of the prior Contractor Disclosure Statement and check #2 below indicating changes only and only answer those questions which have changed since you last filled out the Contractor Disclosure Statement.

If you have previously completed a Contractor Disclosure Statement for another County bid and nothing has changed in your responses to the questions, then check #3 and fill out the attached No Change Affidavit. Attach a copy of the prior Contractor Disclosure Statement to the No Change Affidavit.

NOTE IF THE SPACES PROVIDED FOR ANSWERS ARE NOT SUFFICIENT FOR YOU TO COMPLETE YOUR ANSWER TO A PARTICULAR QUESTION, THEN ATTACH ADDITIONAL PAGES TO THIS CONTRACTOR DISCLOSURE STATEMENT WHICH INDICATE THE NUMBER OF THE QUESTION THAT YOU ARE COMPLETING THE ANSWER FOR.

ALSO DO NOT LEAVE ANY ANSWERS BLANK. IF A QUESTION IS NOT APPLICABLE, ANSWER - N/A – AND OFFER A BRIEF EXPLANATION AS TO WHY THE QUESTION DOES NOT APPLY.

Definitions:

Affiliate – is another Business Entity in which the Contractor or one or more of the Principals of the Contractor has an ownership interest of more than fifty (50%) percent. An Affiliate is also another Business Entity in which the Parent of the Contractor owns more than fifty (50%) percent of that other Business Entity.

Agency or Government Agency – is any Federal, State, City or other local agency including, but not limited to, departments, offices, quasi-public agencies, public authorities and

CONTRACTOR'S DISCLOSURE STATEMENT

corporations, boards of education and higher education, public development corporations and local development corporations.

Assignee – is a person or Business Entity to whom an assignment (e.g., a transfer to another of any property, real or personal, including a transfer of any rights in such property) is made.

Business Address – is the location of principal executive offices and is also the primary place of business in Westchester County, if different.

Business Entity – is any profit-seeking business including, but not limited to, corporations, limited and general partnerships, joint ventures and individual (sole) proprietorships.

Contract – is any binding agreement with any Government Agency or other Business Entity for the provision of goods, or services including, but not limited to, construction.

Contractor – is the Business Entity submitting this Contractor Disclosure Statement.

Contractor Disclosure Statement – is this document.

Control – A Business Entity controls another Business Entity when:

- The controlling Business Entity owns more than fifty (50%) percent of the controlled Business Entity, or
- The controlling Business Entity directs or has the right to direct daily operations of the controlled Business Entity, or
- The same person is a Principal in both businesses and directs the daily operations of the controlled Business Entity.

Investigations – is any official inquiry by any Government Agency, with the exception of background investigations for employment.

Officer – is any individual who serves in the function of chief executive officer, chief financial officer or chief operating officer of the Business Entity by whatever titles known.

Parent – is a Business Entity which owns more than fifty (50%) percent of another Business Entity.

Principal – is an individual, partnership, joint venture or corporation which holds ten (10%) percent or more ownership interest in the Business Entity.

Partner – shall mean a person or Business Entity that has a joint ownership in a particular business, but the ownership interest is not as a shareholder of a corporation.

Successor – is a person or Business Entity that takes the place that another has left. With reference to a corporation, a successor shall mean another corporation which, through amalgamation, consolidation, or other legal succession, becomes invested with the rights and assumes the burdens of the first corporation.

CONTRACTOR'S DISCLOSURE STATEMENT

CONTRACT NO.: _____

☐ Check if Subcontractor

Type Of Submission

(Put a X or √ next to the applicable type of submission)

1. **Fully Completed Contractor Disclosure Statement** _____
(Sign Oath on last page of Disclosure Statement)

2. **Changes Only Contractor Disclosure Statement** _____
(Attach copy of previously filed Contractor Disclosure Statement that you are amending. Denote any changes on the following Contractor Disclosure Statement. Sign Oath on last page of this Disclosure Statement)

3. **No Change** _____
(Fill out "No Change Affidavit" [below] and attach copy of previously filed Contractor Disclosure Statement)

NO CHANGE AFFIDAVIT

I swear that the attached Contractor Disclosure Statement was submitted to the County of Westchester on _____ and was true as signed, and that
(Date)
since the above date nothing has occurred which changes in any way the responses made to the questions contained in the attached Contractor Disclosure Statement.

Submitted by: _____
(Signature)

Name (Print): _____

Title (Print): _____

Sworn to before me this ____ day of _____, 200__

NOTARY PUBLIC

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

Questions:

1. The Business Address and taxpayer identification number of Contractor and primary telephone number for such location.

2. List the Business Addresses and primary telephone numbers for such locations, if different from answer to #1 above, where Contractor has been located over the last five (5) years.

3. List all other names and taxpayer identification numbers under which the Contractor, or the Principals and Officers of Contractor, have conducted business within the prior five (5) years.

4. For any response to #3 above, list any and all Westchester County contracts that were awarded to such "other name" Business Entity.

5. List the type of Business Entity that the Contractor is presently organized as (for example - sole proprietorship, partnership, joint venture or corporation).

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

6. If Contractor is a corporation, list the date that the Contractor was incorporated. Also list the name of the Government Agency and location of said Agency in which a certificate of incorporation, certificate of doing business or equivalent, has been filed and the date of any amendments thereto. If, however, the Contractor is a partnership, list the date that the partnership was formed and the name of the Government Agency and location of said Agency in which a business certificate for partnership or equivalent has been filed.

7. List all the names, current Business Addresses and business telephone numbers of the Principals and Officers of the Contractor. If the Contractor is a partnership, list all partners and their business telephone numbers.

8. List the names, current Business Addresses, telephone numbers and taxpayer identification numbers of all Affiliates of the Contractor.

9. List all the names, Business Addresses and telephone numbers of the Principals and Officers of the Affiliates listed in response to #7 above. If the Affiliate is a partnership, list the Business Addresses and business telephone numbers of all partners.

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

10. Is the Contractor Controlled by another Business Entity? ____ Yes ____ No. If you answered yes, please identify the name, Business Address and telephone number of that Controlling Business Entity and list any contracts that the Controlling Business Entity has had with Westchester County in the past five (5) years?

11. If the Contractor has Control of any other Business Entity that has had a Contract with the County of Westchester in the past five (5) years, please identify the name, Business Address and telephone number of that Controlled Business Entity.

12. List any and all contract sanctions imposed on the Contractor or on a Business Entity listed in response to #3 above that was imposed by a Government Agency during the prior five (5) years, including, but not limited to, all cautions, suspensions, debarments, cancellations of a contract based on business conduct, declarations of default, determinations of ineligibility to bid or whether any proceedings to determine eligibility to bid are pending.

13. List the contract sanction history for the past five (5) years, as defined in #12 above, for any Affiliate of the Contractor.

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

14. If you answered yes to #10 above, list the contract sanction history as defined in #12 above for the Controlling Business Entity during the past five (5) years.

15. List any and all prevailing wage or supplement payment violations; state labor law violations deemed willful and any other federal or state citations, notices, violation orders, pending administrative hearings or proceedings or determinations of a violation of any labor law or regulation regarding the Contractor.

16. List all Investigations of the Contractor, its Principals and Officers or, if a partnership, of the Contractor's Partners. Also list all investigations of Affiliates, their Principals and Officers or, if a partnership, of their Partners.

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

17. Have all Federal and State income tax returns, if required, been filed by Contractor during the last five (5) years? ____Yes ____No If you answered no, please explain why such returns were not filed.

18. Are there any criminal proceedings pending against the Contractor or any Principal or Officer of the Contractor or partner, if Contractor is a partnership? ____Yes ____No If you answered yes, please provide details of the pending criminal proceedings.

19. List the record of all criminal convictions of the Contractor, any Principal or Officer or partner, if Contractor is a partnership, and of any former Principal or Officer, of the Contractor or former partner, if Contractor is a partnership, for any crime related to truthfulness or business conduct and for any felony committed within the prior ten (10) years.

20. List all bankruptcy proceedings that the Contractor or its Affiliates have been the subject of within the past seven (7) years, whether pending or completed.

COMPLETE THIS FORM USING BLACK INK ONLY

CONTRACTOR'S DISCLOSURE STATEMENT

21. Is the Contractor a successor, assignee or Affiliate of a Business Entity that has ever been denied a Contract or deemed ineligible to bid on a Government Agency contract?

____ Yes No ____ If you answered yes, explain below.

OATH

I swear that all of the above answers are true based on my knowledge of the facts, or are believed by me to be true, based upon a review of records containing the facts or based upon information I obtained from someone who has knowledge of the facts; and that I have authority to sign this document; and that the answers given above have not been made in a manner intended to deceive or to defeat the purpose of the Contractor Disclosure Statement, which is to assist the County of Westchester in determining if the Contractor is a responsible bidder.

Submitted by: _____
(Signature)

Name (Print): _____

Title (Print): _____

Sworn to before me this ____ day of
_____, 20__

NOTARY PUBLIC

COMPLETE THIS FORM USING BLACK INK ONLY

REQUIRED DISCLOSURE OF RELATIONSHIPS TO COUNTY

(Prior to execution of a contract by the County, a potential County contractor must complete, sign and return this form to the County)

Contract Name and/or ID No.:

(To be filled in by County)

Name of Contractor:

(To be filled in by Contractor)

A potential County contractor must complete this form as part of the proposed County contract.

- 1.) Are any of the employees that the Contractor will use to carry out this contract also a County officer or employee, or the spouse, child, or dependent of a County officer or employee?

Yes _____ No _____

If yes, please provide details (attach extra pages, if necessary): _____

- 2.) Are any of the owners of the Contractor or their spouses a County officer or employee?

Yes _____ No _____

If yes, please provide details (attach extra pages, if necessary): _____

- 3.) Do any County officers or employees have an **interest**¹ in the Contractor or in any approved subcontractor that will be used for this contract?

Yes _____ No _____

If yes, please provide details (attach extra pages, if necessary): _____

By signing below, I hereby certify that I am authorized to complete this form for the Contractor.

Name: _____

Title: _____

Date: _____

¹ "Interest" means a direct or indirect pecuniary or material benefit accruing to a County officer or employee, his/her spouse, child or dependent, whether as the result of a contract with the County or otherwise. For the purpose of this form, a County officer or employee shall be deemed to have an "interest" in the contract of:

- 1.) His/her spouse, children and dependents, except a contract of employment with the County;
- 2.) A firm, partnership or association of which such officer or employee is a member or employee;
- 3.) A corporation of which such officer or employee is an officer, director or employee; and
- 4.) A corporation of which more than five (5) percent of the outstanding capital stock is owned by any of the aforesaid parties.

QUESTIONNAIRE REGARDING BUSINESS ENTERPRISES
OWNED AND CONTROLLED BY
SERVICE-DISABLED VETERANS

The County believes it is a laudable goal to provide business opportunities to veterans who were disabled while serving our country, and wants to encourage the participation in County contracts of certified business enterprises owned and controlled by service-disabled veterans. As part of the County's program to encourage the participation of such business enterprises in County contracts, and in furtherance of Article 17-B of the New York State Executive Law, we request that you answer the questions listed below.

The term "Certified Service-Disabled Veteran-Owned Business" shall mean a business that is a certified service-disabled veteran-owned business enterprise under the New York State Service-Disabled Veteran-Owned Business Act (Article 17-B of the Executive Law).

1. Are you a business enterprise that is owned and controlled by a service-disabled veteran in accordance with the standards listed above?

_____ No
_____ Yes

2. Are you certified with the State of New York as a Certified Service-Disabled Veteran-Owned Business?

_____ No
_____ Yes

3. If you are certified with the State of New York as a Certified Service-Disabled Veteran-Owned Business, please attach a copy of the certification.

Name of Firm/Business Enterprise: _____

Address: _____

Name/Title of Person completing Questionnaire: _____

Signature: _____

STATE OF NEW YORK)
) ss.:
COUNTY OF)

Notary Public

Date:

SCHEDULE "F"
CRIMINAL BACKGROUND DISCLOSURE
INSTRUCTIONS

Pursuant to Executive Order 1-2008, the County is required to maintain a record of criminal background disclosure from all persons providing work or services in connection with any County contract, including leases of County-owned real property and licenses:

- a.) If any of the persons providing work or services to the County in relation to a County contract are not subject to constant monitoring by County staff while performing tasks and/or while such persons are present on County property pursuant to the County contract; and
- b.) If any of the persons providing work or services to the County in relation to a County contract may, in the course of providing those services, have access to sensitive data (for example SSNs and other personal/secure data); facilities (secure facilities and/or communication equipment); and/or vulnerable populations (for example, children, seniors, and the infirm).

In those situations, the persons who must provide a criminal background disclosure ("Persons Subject to Disclosure") include the following:

- a.) Consultants, Contractors, Licensees, Lessees of County-owned real property, their principals, agents, employees, volunteers or any other person acting on behalf of said Contractor, Consultant, Licensee, or Lessee who is at least sixteen (16) years old, including but not limited to Subconsultants, subcontractors, Sublessess, or Sublicensees who are providing services to the County, and
- b.) Any family member or other person, who is at least sixteen (16) years old, residing in the household of a County employee who lives in housing provided by the County located on County property.

Under Executive Order 1-2008, it is the duty of every County Consultant, Contractor, Licensee, or Lessee to inquire of each and every Person Subject to Disclosure and disclose whether they have been convicted of a crime or whether they are subject to pending criminal charges, and to submit this form with that information.¹ Accordingly, you are required to complete the attached Criminal Background Disclosure Form and Certification.

Please note that under no circumstances shall the existence of a language barrier serve as a basis for the waiver of or an exception from the disclosure requirements of Executive Order 1-2008. If translation services are required by the Consultant, Contractor, Licensee, or Lessee to fulfill this obligation, it shall be at the sole cost and expense of the Consultant, Contractor, Licensee, or Lessee.

Please also note that the conviction of a crime(s) and/or being subject to a pending criminal charge(s) will not automatically result in a denial of a person's right to work on a County contract, right to be on County property, or license, but may, if the County determines that the prior conviction(s) or pending criminal charge(s) create an unacceptable risk. However, if a person fails to list or falsifies any part of his/her conviction history or any pending criminal charge(s) for any reason, he/she may be prohibited from working or being on County property without any risk assessment. If it is later determined that a Person Subject to Disclosure failed to disclose a criminal conviction or pending criminal charge for any reason, his/her right to work on a County contract, be on County property, or license may be terminated at any time.

Please further note that, pursuant to Executive Order 1-2008, and subject to the applicable provisions of New York Correction Law §§ 752 and 753, the County has the right to bar a Person Subject to Disclosure from providing work or services to the County or from being on County property if any such person has:

- a.) A conviction of a crime(s);
- b.) A pending criminal proceeding for a crime(s); or
- c.) Refused to answer questions concerning his/her criminal background

¹ For these disclosures, a "crime" or "pending criminal charge" includes all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State.

Please finally note that any failure by a County Consultant, Contractor, Licensee, or Lessee to comply with the disclosure requirements of Executive Order 1–2008 may be considered by the County to be a material breach and shall be grounds for immediate termination by the County of the related County contract.

Exemptions

Executive Order 1-2008 exempts from the aforementioned disclosure requirements Persons Subject to Disclosure:

- a.) for whom the County has already conducted a background check and issued a security clearance that is in full force and effect; and
- b.) for whom another state or federal agency having appropriate jurisdiction has conducted a security and/or background clearance or has implemented other protocols or criteria for this purpose that apply to the subject matter of a County contract that is in full force and effect.

If you are claiming an exemption for one or more Persons Subject to Disclosure, you must notify the Procuring Officer². The Procuring Officer will then determine whether the Person(s) Subject to Disclosure are actually exempt, and provide written notification of his/her determination. If the Procuring Officer determines that a Person Subject to Disclosure is not exempt, the Procuring Officer will notify you of that determination, and you will have to include disclosures for that person on your Criminal Background Disclosure Form and Certification.

² Procuring Officer” shall mean the head of the department or the individual or individuals authorized by the head(s) of the department(s) undertaking the procurement and with respect to those matters delegated to the Bureau of Purchase and Supply pursuant to Section 161.11(a) of the Laws of Westchester County, the Purchasing Agent.

Subconsultants, Subcontractors, Sublessees, or Sublicensees

Under Executive Order 1-2008, it is your duty to ensure that any and all approved subconsultants, subcontractors, sublessees, or sublicensees complete and submit the attached Criminal Background Disclosure Form and Certification for all of their respective Persons Subject to Disclosure. This must be done before such a subconsultant, subcontractor, sublessees, or sublicensees can be approved to perform work on a contract.

New Persons Subject to Disclosure

Under Executive Order 1-2008, you have a **CONTINUING OBLIGATION** to maintain the accuracy of the Criminal Background Disclosure Form and Certification (and any accompanying documentation) for the duration of this contract, including any amendments or extensions thereto. Accordingly, it is your duty to complete and submit an updated Criminal Background Disclosure Form and Certification whenever there is a new Person Subject to Disclosure for this contract. **NO NEW PERSON SUBJECT TO DISCLOSURE SHALL PERFORM WORK OR SERVICES OR ENTER ONTO COUNTY PREMISES UNTIL THE UPDATED CRIMINAL BACKGROUND DISCLOSURE FORM AND CERTIFICATION IS FILED WITH THE PROCURING OFFICER.** You shall also provide the County with any other updates that may be necessary to comply with the disclosures required by Executive Order 1-2008.

PLEASE CONTINUE TO THE

Criminal Background Disclosure Form and Certification

BEGINNING ON THE NEXT PAGE

CONTRACT #: _____

Name of Consultant, Contractor, Lessee, or Licensee: _____

CRIMINAL BACKGROUND DISCLOSURE
FORM AND CERTIFICATION

If this form is being completed by a subconsultant, subcontractor, sublessee, or sublicensee, please consider all references in this form to "consultant, contractor, lessee, or licensee" to mean "subconsultant, subcontractor, sublessee, or sublicensee" and check here: _____

I, _____, certify that I am a principal or a
(Name of Person Signing Below)

representative of the Consultant, Contractor, Lessee, or Licensee and I am authorized to complete and execute this Criminal Background Disclosure Form and Certification. I certify that I have asked each Person Subject to Disclosure the following questions:

- **Have you or your company ever been convicted of a crime (all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State) including, but not limited to, conviction for commission of fraud, embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property?**
- **Are you or your company subject to any pending criminal charges (all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State)?**

I certify that the names and titles of Persons Subject to Disclosure who refused to answer **either** of the questions above are:

1. _____
2. _____
3. _____
4. _____
5. _____

(If more space is needed, please attach separate pages labeled "REFUSED to Answer - Continued.")

I certify that the names and titles of Persons Subject to Disclosure who answered “Yes” to **either of the** questions above are:

1. _____
2. _____
3. _____
4. _____
5. _____

(If more space is needed, please attach separate pages labeled “YES Answers - Continued.”)

Each Person Subject to Disclosure listed above who has either **been convicted of a crime(s)** and/or **is subject to a pending criminal charge(s)** must answer additional questions. Those questions are below.

A Person Subject to Disclosure who has **been convicted of a crime(s)** must respond to the following (please attach separate pages with responses for each person, with their name and title):

- 1.) Describe the reason for being on County property if applicable, identify the specific duties and responsibilities on this project which you intend to perform for the County, including but not limited to, access to sensitive data and facilities and access to vulnerable populations.
- 2.) Please list all criminal convictions along with a brief description of the crime(s) (including all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State).
- 3.) Please provide the date and place of each conviction.
- 4.) Please provide your age at the time of each crime for which you were convicted.
- 5.) Please provide the legal disposition of each case.
- 6.) Please provide any information either produced by yourself or someone on your behalf in regards to your rehabilitation and good conduct.

A Person Subject to Disclosure who **is subject to a pending criminal charge(s)** must respond to the following (please attach separate pages with responses for each person, with their name and title):

- 1.) Describe the reason for being on County property and if applicable, identify the specific duties and responsibilities on this project which you intend to perform for the County, including but not limited to, access to sensitive data and facilities and access to vulnerable populations.
- 2.) Please identify all pending criminal charges (all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State).
- 3.) Please briefly describe the nature of the pending charges and the date upon which it is alleged that a crime was committed.

I hereby certify that all of the information provided herein (and in any and all attachments) is true and accurate and that all disclosures required by Executive Order 1-2008 and this Criminal Background Disclosure Form and Certification have been completed. By my signature below, I hereby affirm that all of the facts, statements and answers contained herein (and in any and all attachments) are true and correct. I understand that providing false or incomplete information or withholding by omission or intention pertinent information will be cause for refusing further consideration of my being utilized under this contract.

It is understood and agreed that no Person Subject to Disclosure shall perform work or services or enter onto County property until this required Criminal Background Disclosure Form and Certification is filed with the Procuring Officer.

It is understood and agreed that to the extent that new Persons Subject to Disclosure are proposed to perform work or provide services under this contract after filing of this Criminal Background Disclosure Form and Certification with the Procuring Officer, such new Persons Subject to Disclosure shall not perform work or provide services or enter into County property until an updated Criminal Background Disclosure Form and Certification has been filed with the Procuring Officer.

It is further understood and agreed that the consultant, contractor, lessee, or licensee has a continuing obligation to maintain the accuracy of the Criminal Background Disclosure Form and Certification for the duration of this contract, including any amendments or extensions thereto, and shall provide any updates to the information to the County as necessary to comply with the requirements of Executive Order 1-2008.

Name: _____
Title: _____
Date: _____

Notary Public

Date

SUBCONTRACTOR'S SEALED BID SUBMISSION

Westchester County Contract No.: _____

Name of Subcontractor: _____

Address: _____

Phone #: _____ Fax #: _____

E-mail address: _____

Name of Contractor to whom
this bid is submitted: _____

Scope of Work to be performed by Subcontractor (e.g., electrical, plumbing, HVAC):

The price agreed upon by and between Contractor and Subcontractor for the full
performance of the Subcontractor's work:

\$: _____

In words (e.g, one hundred thousand dollars and xx/100):

Subcontractor

Contractor

Signature

Signature

By _____
(print name & title)

By _____
(print name & title)

**THE SUCCESSFUL LOW BIDDER, BEFORE AWARD OF THE CONTRACT, MUST
PROCURE AND PROVIDE TO THE COUNTY, FROM EACH OF THE ABOVE
DENOTED SUBCONTRACTORS, A CONTRACT DISCLOSURE STATEMENT
(PROPOSAL PAGES 24-32) AND THE REQUIRED DISCLOSURE OF
RELATIONSHIPS TO COUNTY (PROPOSAL PAGES 33-34)**

COMPLETE THIS FORM USING BLACK INK ONLY

INFORMATION FOR BIDDERS



2. INFORMATION FOR BIDDERS

DEPARTMENT OF PUBLIC WORKS

Division of Engineering

INFORMATION FOR BIDDERS

1. ADDENDA AND INTERPRETATION

No interpretation of the meaning of the plans, specifications or other contract documents will be made to any bidder orally. Every request for such interpretation should be in writing addressed to the Westchester County Department of Public Works, Division of Engineering, Room 512, Michaelian Office Building, White Plains, New York, and to be given consideration must be received at least five (5) days prior to the date fixed for the opening of bids. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications which, if issued, will be posted on the internet not later than three (3) days prior to the date fixed for the opening of bids. Revisions to plans or drawings requiring the issuance of additional or revised drawings will be noted on the internet with instructions how to acquire copies of such revised plans or drawings. Failure of any bidder to receive any such addendum or interpretation or any other form, instrument or document shall not relieve any bidder from any obligation under its bid as submitted. All addenda so issued shall become part of the contract documents.

A bidder's failure to request a clarification, interpretation, etc. of any portion of the plans, specifications, or contract or to point out any inconsistency therein will preclude such bidder from thereafter claiming any ambiguity, inconsistency, or error which should have been discovered by a reasonably prudent bidder and from asserting any claim for damages arising directly or indirectly therefrom.

2. VOIDED CLAUSES

Wherever in this booklet any page is stamped "VOID", only the section(s) or paragraph(s) so stamped are void. All other sections(s) and paragraph(s) remain in full force and effect.

3. PRE-BID SITE INSPECTION

Unless otherwise stated, on building construction work, bidders are free and encouraged to examine the work site during normal work hours preceding the date on which bids are to be opened. For those bidders requesting further clarification of the conditions, an appointment with the County's representative, on the eighth day (Tuesday) prior to the bid opening date, can be requested, by contacting the, Department of Public Works, Division of Engineering at (914) 995-2553.

Each bidder must inform itself fully of the conditions relating to the work to be performed. Failure to do so will not relieve a successful bidder of the obligation to furnish all material and labor necessary to carry out the provisions of the contract documents and to complete the contemplated work for the consideration set forth in its Bid.

At the time of the opening of bids each bidder will be presumed to have inspected the sites and to have read and to be thoroughly familiar with the Plans and Contract Documents (including all addenda).

4. BID SECURITY

Bid Security shall be provided in accordance with the "Notice to Contractors." Where

INFORMATION FOR BIDDERS

a Performance and Payment bond is required in the Notice to Contractors, the executed “Bid Bond and Consent of Surety” of the Proposal Pages must be submitted with the Bid when the bid is more than \$100,000. The successful bidder, no matter the size of its bid, will be required to furnish a Performance and Payment Bond.

Where a Performance and Payment Bond is not specified in the Notice to Contractors, then the required Security may be furnished in the form of a Certified Check; drawn to the order of “County of Westchester, clipped to the top of the front cover and submitted with the Bid.

Certified checks submitted will be returned to all bidders submitting certified checks within three (3) days after the opening of bids unless the bidder or bidders submitting certified checks are among the two lowest bidders. At any time after the opening of bids, the second lowest bidder, if the second lowest bidder has submitted a certified check, may substitute a bid bond for the certified check by presenting the bond to the Secretary of the Board of Acquisition and Contract. This bond shall be in the form and coverage required by the County and shall be in an amount not less than the amount of the bidder's certified check. After receipt, approval and acceptance of the bond by the County, the County will forward to the bidder a County check in an amount equal to the bidder's certified check.

All certified checks submitted will be returned to the two lowest bidders within 48 hours after the successful bidder executes the required contract and furnishes the County with all necessary bonds and insurance certificates.

In the event that the successful bidder has not executed the required contract and furnished the required bonds and insurance certificates within forty-five (45) days after the opening of bids, the County, upon demand from a bidder (except for the successful bidder), will send a County check to the bidder in the amount of the bidder's certified check.

Failure of the successful bidder to execute the contract and furnish the necessary bonds and insurance certificates shall result in forfeiture of the bid security, such sum to be retained by the County as liquidated damages.

5. PERFORMANCE AND PAYMENT BOND

If required pursuant to "Notice to Contractors."

If a Performance and Payment bond is required in accordance with the “Notice to Contractors”, the “Bid Bond and Consent of Surety” of the Proposal Pages must be executed by the Contractor’s Surety Company and submitted with the Bid for all bids over \$100,000.

Simultaneously with its delivery of the executed contract, the successful bidder shall deliver to the County an executed bond in the amount of one hundred percent of the accepted bid as security for the faithful performance of its contract and in the amount of one hundred percent for the payment of all persons performing labor or furnishing materials in connection therewith, prepared in satisfactory form and having as surety thereon such bond underwriter or surety that appears on the U.S. Treasury’s listing of approved sureties (Department Circular 570), and is licensed to transact business in New York State. In the event such Surety ceases to appear on the U.S. Treasury’s listing of approved sureties (Department Circular 570) or ceases to be licensed to transact business in New York State or becomes insolvent or enters liquidation proceedings, the Contractor, at its sole cost, shall furnish a replacement bond from a surety satisfactory to the County.

INFORMATION FOR BIDDERS

The form of contract and Performance and Payment Bond to be used in connection with this Contract and to become a part of the contract documents is attached in the section entitled "Sample Contract and Bond for Construction".

6. INDEMNIFICATION AGREEMENT

The Contractor agrees:

- A. that except for the amount, if any, of damage contributed to, caused by or resulting from the negligence of the County, the Contractor agrees to indemnify and hold harmless the County of Westchester, its officers, employees, elected officials, and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly or indirectly out of the performance or failure to perform hereunder by the Contractor or third parties under the direction or control of the Contractor; and
- B. to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action directly or indirectly arising out of the Agreement and to bear all other costs and expenses related thereto.

7. INSURANCE REQUIREMENTS

The Contractor, upon award of the contract and throughout the term of the Agreement, shall obtain at its own cost and expense the required insurance as delineated below from insurance companies licensed in the State of New York, carrying a Best's financial rating of A or better. Contractor shall provide evidence of such insurance to the County of Westchester ("County"), either by providing a copy of policies and/or certificates as may be required and approved by the Director of Risk Management of the County ("Director"). The policies or certificates thereof shall provide that ten (10) days prior to cancellation or material change in the policy, notices of same shall be given to the Board of Acquisition and Contract of the County of Westchester by registered mail, return receipt requested, for all of the following stated insurance policies, with a copy also sent to the Director of Risk Management of the County. All notices shall name the Contractor and identify the Contract Number.

If at any time any of the policies required herein shall be or become unsatisfactory to the Director, as to form or substance, or if a company issuing any such policy shall be or become unsatisfactory to the Director, the Contractor shall upon notice to that effect from the County, promptly obtain a new policy, and submit the policy or the certificate as requested by the Director to the Office of Risk Management of the County for approval by the Director. Upon failure of the Contractor to furnish, deliver and maintain such insurance, the Agreement, at the election of the County, may be declared suspended, discontinued or terminated.

Failure of the Contractor to take out, maintain, or the taking out or maintenance of any required insurance, shall not relieve the Contractor from any liability under the Agreement, nor shall the insurance requirements be construed to conflict with or otherwise limit the contractual obligations of the Contractor concerning indemnification.

All property losses shall be made payable to the "County of Westchester" and adjusted with the appropriate County personnel.

In the event that claims, for which the County may be liable, in excess of the insured amounts provided herein are filed by reason of Contractor's negligent acts or omissions under the

INFORMATION FOR BIDDERS

agreement or by virtue of the provisions of the labor law or other statute or any other reason, the amount of excess of such claims or any portion thereof, may be withheld from payment due or to become due the Contractor until such time as the Contractor shall furnish such additional security covering such claims in form satisfactory to the Director.

In the event of any loss, if the Contractor maintains broader coverage and/or higher limits than the minimums identified herein, the County shall be entitled to the broader coverage and/or higher limits maintained by the Contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

The Contractor shall provide proof of the following coverage. (Other coverage may be required by the County of Westchester based on specific needs. If such other coverages are required for a specific contract, those coverages will be described in the "Special Clauses" of the contract specifications):

- a) Workers' Compensation and Employer's Liability. Certificate form C-105.2 or State Fund Insurance Company form U-26.3 is required for proof of compliance with the New York State Workers' Compensation Law. State Workers' Compensation Board form DB-120.1 is required for proof of compliance with the New York State Disability Benefits Law. Location of operation shall be "All locations in Westchester County, New York."

Where an applicant claims to not be required to carry either a Workers' Compensation Policy or Disability Benefits Policy, or both, the employer must complete NYS form CE-200, available to download at: <http://www.wcb.ny.gov>.

If the employer is self-insured for Workers' Compensation, he/she should present a certificate from the New York State Worker's Compensation Board evidencing that fact (Either SI-12, Certificate of Workers' Compensation Self-Insurance, or GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance).

- b) Commercial General Liability Insurance with a combined single limit of \$1,000,000 (c.s.1) per occurrence and a \$2,000,000 aggregate limit naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. This insurance shall include the following coverages:
 - i. Premises - Operations.
 - ii. Broad Form Contractual.
 - iii. Independent Contractor and Sub-Contractor.
 - iv. Products and Completed Operations.

NOTE: Additional insured status shall be provided by standard or other endorsement that extends coverage to the County of Westchester for both on-going and completed operations.

All Contracts involving the use of explosives, demolition and/or underground work shall provide proof that XCU is covered.

- c) Commercial Umbrella/Excess Insurance: \$2,000,000 each Occurrence and Aggregate naming the "County of Westchester" as additional insured, written on a "follow the form" basis.
- d) Owners Protective Liability Policy naming the County as insured, with a minimum limit of liability per occurrence of \$3,000,000 (where applicable, or as determined by the Director, Risk Management)
- e) Automobile Liability Insurance with a minimum limit of liability per occurrence of \$1,000,000 for bodily injury and a minimum limit of \$100,000 per occurrence for property damage or a

INFORMATION FOR BIDDERS

combined single limit of \$1,000,000 unless otherwise indicated in the contract specifications. This insurance shall include for bodily injury and property damage the following coverages and name the "County of Westchester" as additional insured:

- i. Owned automobiles.
 - ii. Hired automobiles.
 - iii. Non-owned automobiles.
- f) Construction Insurance: For the construction, renovation or repair of bridges, viaducts or similar structures, the Contractor at its own cost and expense shall provide and maintain a "Bridge Builder's Risk Form, All Risk Insurance Contract," with flat premium endorsement, until the construction contract is accepted by the Board of Acquisition and Contract of the County of Westchester. The coverage shall be written for 100% of the completed value, covering the Contractor and County of Westchester as the insureds. The Contractor shall provide the original and duplicate policy to the County (unless the County shall accept, in lieu thereof, all contained endorsements including all applicable provisions and coverages).

For the construction of (a) new buildings and (b) for additions or repairs of existing buildings or structures, the Contractor at its own cost and expense shall provide and maintain a "Builder's Risk Form, All Risk Insurance Contract," with flat premium endorsement, until the construction contract is accepted by the Board of Acquisition and Contract of the County of Westchester. The coverage shall be written for 100% of the completed value, covering the Contractor and County of Westchester as the insureds. The Contractor shall provide the original and duplicate policy to the County (unless the County shall accept, in lieu thereof, all contained endorsements including all applicable provisions and coverages).

All policies of the Contractor shall be endorsed to contain the following clauses:

(a) Insurers shall have no right to recovery or subrogation against the County (including its employees and other agents and agencies), it being the intention of the parties that the insurance policies so effected shall protect both parties and be primary coverage for any and all losses covered by the above-described insurance.

(b) The clause "other insurance provisions" in a policy in which the County is named as an insured, shall not apply to the County.

(c) The insurance companies issuing the policy or policies shall have no recourse against the County (including its agents and agencies as aforesaid) for payment of any premiums or for assessments under any form of policy.

(d) Any and all deductibles in the above described insurance policies shall be assumed by and be for the account of, and at the sole risk of, the Contractor.

INFORMATION FOR BIDDERS

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8. PREVAILING WAGE RATES AND SUPPLEMENTS

A. Wages to be Paid and Supplements to be Provided

Each laborer, workman or mechanic employed by the Contractor(s), Sub-contractor(s) or other person(s) doing or contracting to do the whole or part of the work contemplated by this Contract, shall be paid the prevailing wages and provide the supplements (including but not limited to health, welfare and pension benefits) as required by Article 8 (Section 220-223) and Article 9 (230-239) of the New York State Labor Law.

INFORMATION FOR BIDDERS

B. Schedule of Hourly Rates/Supplements

The "Schedule of Hourly Rates and Supplements" shows the prevailing hourly rates of wages to be paid and supplements to be provided. It is the County's preference that such supplements shall be paid to a Federally qualified Pension, Health and Welfare program and New York State Registered Apprentice Training Program.

Classifications not appearing on the rate sheet can be used only with the consent of the Commissioner of Public Works and then the rate to be paid will be given by the Commissioner of Public Works after advising with the State Department of Labor.

C. Grounds for Cancellation of Contract

In the event of a failure, to pay the prevailing wages and provide the supplements in accordance with the New York State Labor Law, and as described in this Contract, it shall be considered a material breach. For the breach or violation of this provision, without limiting any other rights or remedies to which the County or any individual may be entitled or any civil or criminal penalty for which any violator may be liable, the County shall have the right, in its discretion, to terminate this agreement immediately upon notice. In such event, the Contractor(s), Sub-Contractor(s), et al shall be liable to the County for any additional costs incurred by the County in the completion of the project.

In addition to any other remedies available to the County and irrespective of any applicable penalties pursuant to law, the County may deduct from the amount payable to the Contractor under this contract five hundred (\$500.00) dollars as reimbursement for the costs it incurs in investigating any violation of Section 220 of the Labor Law.

D. Records to be kept on Site

The Contractor(s), Sub-contractor(s), et al. shall certify their payrolls and keep them on site and available, in addition to the following informative records:

- 1) Record of hours worked by each workman, laborer and mechanic on each day;
- 2) Record of days worked each week by each workman, laborer and mechanic;
- 3) Schedule of occupation or occupations at which each workman, laborer and mechanic on the project is employed during each work day and week;
- 4) Schedule of hourly wage rates paid to each workman, laborer and mechanic for each occupation.
- 5) A statement or declaration signed by each workman, laborer and mechanic attesting that they have been provided with a written notice, informing them of the prevailing wage rates and supplements requirement for this contract.

E. Responsibility of the Contractor, Sub-Contractor, et al.

The Contractor(s), Sub-Contractor(s), et al. will display the posters in a conspicuous location at the site and distribute the wallet cards to the employees. These posters and wallet cards will inform the employees that they are entitled to receive the prevailing wages and supplements as determined by the Department of Labor and will list the

INFORMATION FOR BIDDERS

Department of Labor's Public Work field offices, with phone numbers for individuals to call if they believe their rights are being violated.

F. Pay for a Legal Day's Work & Use of Apprentices

The wages to be paid for a legal day's work, as hereinbefore defined, to laborers, workmen or mechanics upon such public works, shall be not less than the prevailing rate of wages as hereinafter defined. Serving laborers, helpers, assistants and apprentices shall not be classified as common labor and shall be paid not less than the prevailing rate of wages as hereinafter defined. No employee shall be deemed to be an apprentice unless he is individually registered in an apprenticeship program which is duly registered with the Industrial Commissioner in conformity with the provision of Article 23 of the Labor Law. The wages to be paid for a legal day's work, as hereinbefore defined, to laborers, workmen or mechanics upon any material to be used upon or in connection therewith shall be not less than the prevailing rate for a day's work in the same trade or occupation in the locality within the state where such public work on, about or in connection with which such labor is performed in its final or completed form is to be situated, erected or used and shall be paid in cash; provided, however, that an employer may pay his employees by check upon a Certificate of the Industrial Commissioner to be issued only after a hearing upon the application to pay by check, which hearing shall be with notice of at least five days to be served personally or by mail on all interested persons, or if not served as aforesaid, then to be published in a manner directed by the Industrial Commissioner, which shall afford interested persons the opportunity to appear and to be heard at such hearing, and after proof has been furnished satisfactorily to the Industrial Commissioner of the employer's financial responsibility and the employer gives assurance that such checks may be cashed by employees without difficulty and for the full amount for which they are drawn. Such Contracts shall contain a provision that each laborer, workman or mechanic, employed by such Contractor, Subcontractor or other person about or upon such public works, shall be paid the wages herein provided.

G. Fiscal Officer's Duty to Determine Schedule of Wages

It shall be the duty of the fiscal officer (the "New York State Commissioner of Labor"), to ascertain and determine the schedule of wages to be paid workmen, laborers and mechanics on each such public work, prior to the time of the advertisement for bids, and such schedule of wages shall be annexed to and form a part of the specifications for the work. Such fiscal officer shall file with the department having jurisdiction such schedule of wages to the time of the commencement of the advertisement for bids on all public works proposed to be constructed. The term "Contract" as used in this subdivision also shall include reconstruction and repair of any such public work.

Where Contracts are not awarded within ninety days of the date of the establishment of the prevailing rate of wages by the fiscal officer, the department of jurisdiction shall request of the fiscal officer a redetermination of a schedule of wages.

H. Penalty for Payment of Less than Prevailing Wages

Any person or corporation that willfully pays after entering into such Contract, less than such stipulated wage scale as established by the fiscal officer shall be guilty of a

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misdemeanor and upon conviction shall be punished for such first offense by a fine of five hundred dollars or by imprisonment for not more than thirty days, or both fine and imprisonment; for a second offense by a fine of one thousand dollars, and in addition thereto the Contract on which the violation has occurred shall be forfeited and no such person or corporation shall be entitled to receive any sum nor shall any officer, agent, or employee of the state, municipal corporation or commission or board appointed pursuant to law pay the same or authorize its payment from the funds under his charge or control to any person or corporation for work done upon any Contract, on which the Contractor has been convicted for a second offense in violation of the provisions of this section.

9. LABOR AND COMPLIANCE WITH LABOR LAW

A. Preference for Westchester Residents

The Contractor agrees that in the performance of the work under this Contract he will give preference, and so far as legally possible, to employ citizens and residents of Westchester County.

B. Certifications To Be Filed

It is agreed that, in accordance with Section 220-d of the Labor Law as amended before final payment by or on behalf of the County for any sum due on account of a Contract for a public improvement, the Contractor and each and every Subcontractor of the Contractor or a Subcontractor is required to file a statement in writing in form satisfactory to the Commissioner of Finance certifying to the amounts then due and owing from such Contractor or Subcontractor filing such statement to or on behalf of any and all laborers for daily or weekly wages or supplements on account of labor performed upon the work under the Contract, setting forth therein the names of the persons whose wages or supplements are unpaid and the amount due to each or on behalf of each respectively, which statement so to be filed shall be verified by the oath of the Contractor or Subcontractor as the case may be that he has read such statement subscribed by him and knows the contents thereof, and that the same is true to his own knowledge.

C. Retention of Funds

It is further agreed that in accordance with Section 220b of the Labor Law, as amended:

- 1) In case any interested person shall have previously filed a protest in writing objecting to the payment to any Contractor or Subcontractor to the extent of the amount or amounts due or become due to him/her for daily or weekly wages or supplements for labor performed on the public improvement for which such Contract was entered into, or if for any other reason it may be deemed advisable, the Commissioner of Finance may deduct from the whole amount of any payment on account thereof the sum or sums admitted by any Contractor or Subcontractor in such statement or statements so filed to be due and owing by him on account of labor performed on such public improvement before making payment of the amount certified for payment in any estimate or voucher, and may withhold the amount so deducted for the benefit of the laborers, workmen or mechanics whose

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wages or supplements are unpaid or not provided, as the case may be, as shown by the verified statements filed by any Contractor or Subcontractor, and may pay directly to any person the amount or amounts shown to be due to him or his duly authorized collective bargaining labor organization, as the case may be, for such wages or supplements by the statements filed as hereinbefore required, thereby discharging the obligation of the Contractor or Subcontractor to the person or his duly authorized collective bargaining labor organization receiving such payment to the extent of the amount thereof, or

- 2) When any interested person shall file a written complaint with the fiscal officer as defined in section 220-b of the Labor Law, alleging unpaid wages or supplements due for labor performed on a public improvement for which a Contract has been entered into, and said labor is alleged to have been performed within the two year period immediately preceding the date of the filing of said complaint, or if, on the fiscal officer's own initiative, unpaid wages or supplements appear to be due, the fiscal officer shall immediately so notify the financial officer of the civil division interested, or, if there are insufficient moneys still due to the Contractor or Subcontractor to satisfy said wages and supplements, including interest and penalty, the financial officer of another civil division which has entered or subsequently enters into a public improvement contract with the Contractor or Subcontractor, who shall withhold from any payment due or earned by the Contractor or Subcontractor executing said public improvement, sufficient moneys to satisfy said wages and supplements, including interest at the rate provided herein, and any civil penalty that may be assessed as provided herein, pending a final determination. The Commissioner of Finance shall immediately confirm in writing to the fiscal officer the amount of money withheld.
- 3) Moneys withheld pursuant to this section shall be held by the Commissioner of Finance for the sole and exclusive benefit of the workers employed on said public improvement and for payment of any civil penalty that may be assessed as provided herein and shall not be used for any other purpose except upon court order. Any person, partnership, association, corporation or governmental body who files a lien or commences a judicial proceeding with respect to any moneys withheld pursuant to this section shall notify the fiscal officer in writing of the lien or claim on or before the date of filing of the lien or commencement of the judicial proceeding. In any proceeding to obtain moneys withheld pursuant to this section by any person, partnership, association, corporation or governmental body, the Commissioner of Labor shall have the right to appear and be heard.
- 4) The fiscal officer shall then cause an investigation to be made to determine whether any amounts are due to the laborers, workmen or mechanics, or on their respective behalves, on such public improvement, for labor performed after the commencement of the three-year period immediately preceding the filing of the complaint or the commencement of the investigation on his own initiative, as the case may be, and shall order a hearing therein at a time and place to be specified and shall give notice thereof, together with a copy of such complaint, or a statement of the facts disclosed upon such investigation, which notice shall be served personally or by mail on all interested persons, including the person complained

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against and upon the financial officer of the civil division; such person complained against shall have an opportunity to be heard in respect to the matters complained of, at the time and place specified in such notice, which time shall be not less than five days from the service of said notice. The fiscal officer in such an investigation shall be deemed to be acting in a judicial capacity and shall have the rights to issue subpoenas, administer oaths and examine witnesses. The enforcement of a subpoena issued under this section shall be regulated by the Civil Practice Law and Rules. Such investigation and hearing shall be expeditiously conducted, and upon such hearing and investigation, the fiscal officer shall determine the issues raised thereon and shall make and file an order in his office stating such determination and forthwith serve a copy of such order, either personally or by mail, together with notice of filing, upon the parties to such proceedings, and if the fiscal officer be the Comptroller, upon the Commissioner of the Department of Labor. Such order shall direct payment of wages or supplements found to be due, including interest at the rate of interest then in effect as prescribed by the Superintendent of Banks pursuant to Section fourteen (a) of the Banking law per annum from the date of the underpayment to the date of payment.

- 5) In addition to directing payment of wages or supplements, including interest found to be due, the order of the fiscal officer may direct payment of a further sum as a civil penalty in an amount not exceeding twenty-five percent of the total amount found to be due. In assessing the amount of the penalty, due consideration shall be given to the size of the employer's business, the good faith of the employer, the gravity of the violation, the history of previous violations of the employer or any successor or substantially-owned affiliated entity or any of the partners if the Contractor or Subcontractor is a partnership or any of the five largest shareholders of the Contractor or Subcontractor, as determined by the fiscal officer, and any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article, and the failure to comply with record keeping or other non-wage requirements. Upon the fiscal officer's determination of the penalty, where the fiscal officer is the Commissioner of the Department of Labor, the penalty shall be paid to said Commissioner for deposit in the State Treasury.
- 6) Upon the entry and service of such order, the Commissioner of Finance shall pay to the claimant, from the moneys due to the Contractor or Subcontractor, the amount of the claim as determined by the fiscal officer and the amount of the civil penalty, if any, shall be paid as provided herein, provided that no proceeding pursuant to Article Seventy-Eight of the Civil Practice Law and Rules for review of said order is commenced by any party aggrieved thereby within thirty days from the date of said order was filed in the office of the fiscal officer. Said proceeding shall be directly in the appellate division of the Supreme Court. Where the fiscal officer is the Commissioner of the Department of Labor, the civil penalty shall be paid to said Commissioner for deposit in the State Treasury. In the event that such a proceeding for review is instituted, moneys sufficient to satisfy the claim and civil penalty shall be set aside by the Commissioner of Finance, subject to the order of the Court.

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- 7) When final determination has been made and such determination is in favor of the complainant, said complainant may in addition to any other remedy provided by this article, institute an action in any Court of appropriate jurisdiction against the person or corporation found violating this article, any substantially-owned affiliated entity or any successor of the Contractor or Subcontractor, any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article, and any of the partners if the Contractor or Subcontractor is a partnership or any of the five largest shareholders of the Contractor or Subcontractor, as determined by the fiscal officer, for the recovery of the difference between the sum, if any, actually paid to him by the Commissioner of Finance pursuant to said order and the amount found to be due him as determined by said order. Such action must be commenced, within three years from the date of the filing of said order, or if the said order is reviewed in a proceeding pursuant to Article Seventy-eight of the Civil Practice Law and Rules, within three years after the termination of such review proceeding.
- 8) When two final determinations have been rendered against a Contractor, Subcontractor, successor, or any substantially owned affiliated entity of the Contractor or Subcontractor, any of the partners if the Contractor or Subcontractor is a partnership, any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article, any of the five largest shareholders of the Contractor or Subcontractor or any successor within any consecutive six-year period determining that such Contractor, Subcontractor, successor, or any substantially-owned affiliated entity of the Contractor or Subcontractor, any of the partners or any of the five largest shareholders of the Contractor or Subcontractor, any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with this article, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public work projects are rendered simultaneously, such Contractor, Subcontractor, successor, or any substantially-owned affiliated entity of the Contractor or Subcontractor, any of the partners if the Contractor or Subcontractor is a partnership or any of the five largest shareholders of the Contractor or Subcontractor, any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article shall be ineligible to submit a bid on or be awarded any public work contract or subcontract with the State, any municipal corporation or public body for a period of five years from the second final determination, provided, however, that where any such final determination involves the falsification of payroll records or the kickback of wages or supplements, the Contractor, Subcontractor, successor, or any substantially-owned affiliated entity of the Contractor or Subcontractor, any partner if the Contractor or Subcontractor is a partnership or any of the five largest shareholders of the Contractor or Subcontractor, any officer of the Contractor or Subcontractor who knowingly participated in the violation of this article shall be ineligible to submit a bid on or be awarded any public work contract with the State, any municipal corporation or public body for a period of five years from the first final determination.

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- 9) Nothing in this subdivision shall be construed as affecting any provision of any other law or regulation relating to the awarding of public contracts.

Pursuant to Section 220-C of the Labor law, any Contractor or Subcontractor who shall upon his oath verify any statement required to be filed herein, which is known by him to be false, shall be guilty of perjury and punishable as provided by the Penal Law.

10. CONTRACTOR'S REPORT OF EMPLOYMENT AND WEEKLY AFFIDAVIT

Each week the Contractor shall furnish to the Commissioner of Public Works the "Contractor's Report Of Employment And Weekly Affidavit" of the Sample Forms.

11. LAWS/REGULATIONS AND APPROPRIATIONS

- A. The Contractor shall, at its own cost and expense, comply with all provisions of the Labor Law (i.e. prevailing rate of wages and supplements), Lien Law, Workmen's Compensation Law and all other laws and ordinances affecting this contract or order, either Federal, State or local.
- B. It is recognized and understood by the Parties that when this Agreement is subject to future appropriation by the Westchester County Board of Legislators for funds not presently appropriated to pay for this Agreement; the County shall have no liability under this agreement beyond the funds, if any, that are appropriated and available for payment of the amounts due under this Agreement. The Parties understand and intend that the obligation of the County to pay the amounts due hereunder shall constitute a current expense of the County and shall not in any way be construed to be a debt of the County in contravention of any applicable constitutional or statutory limitations or requirements concerning the creation of indebtedness by the County, nor shall anything contained in this Agreement constitute a pledge of the general tax revenues, funds or monies of the County. The County shall pay amounts due under this Agreement exclusively from legally available funds appropriated for this purpose. Notwithstanding the foregoing, the County will do all things lawfully within its power to obtain, maintain, and properly request and pursue funds from which payments under this Agreement may be made, including: (i) the County Executive making provisions for such payments to the extent necessary in the annual budget submitted to the Board of Legislators for the purpose of obtaining funding; and (ii) using its reasonable efforts to have such portion of the budget approved.

12. REFUSAL TO ANSWER QUESTIONS

It is understood and agreed by the Contractor that he/she bears an affirmative obligation to answer questions specifically or directly relating to this agreement before any official, board or agency authorized or empowered to inquire into such matters. This section shall not be construed as barring the Contractor, its directors, officers or employees from exercising their constitutional privilege against self-incrimination.

The foregoing, however, shall not be construed as limiting the rights and remedies of the County in the event of such refusal, and when such body or agency is wholly civil in nature,

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failure or refusal to fully cooperate with and diligently answer the inquiries of such official, board or agency may constitute grounds for the termination of this agreement and/or the exercise of any and all other rights or remedies which the County may have by reason of such failure or refusal.

Any and all contracts made with the State, the County of Westchester, or any public department, agency or official thereof, since July 1, 1959 by such person and by any firm, partnership or corporation of which he is a member, partner, director or officer, may be canceled or terminated by the County of Westchester, without incurring any penalty or damages on account of such cancellation or termination, but any monies owing pursuant to said transaction or contract prior to the cancellation and termination, shall be paid.

The successful bidder will be required to make all books and records concerning this contract available during business hours, upon reasonable notice, to duly authorized County personnel for the purpose of ascertaining compliance and/or performance of all provisions of this contract. This provision shall survive the termination of this agreement and for a period of six (6) years thereafter.

13. BID REQUIREMENTS

The Bid must be made on the "Proposal Pages" included in this specification or as provided with an addendum. All blank spaces on said Proposal Pages must be filled in and no change shall be made in the phraseology or in the items as contained therein.

Any bid which fails to name a price per unit of measurement for each of the items for which quantities are given, may be held to be informal and rejected. Bids submitted on Proposal Pages that contain any omissions, alterations, additions or items not called for in the bid documents, or that are illegible, unbalanced, conditional, incomplete or contain irregularities of any kind, may be rejected as informal. If the various parts of the work have been divided into classes and/or items to enable the bidder to bid for different portions of the work in accordance with its estimate of their costs, in the event of any increase or decrease in the quantity will be paid for at the price bid for that particular item. The sum of the amounts for each class or item, obtained by multiplying the approximate quantity by the unit price, shall constitute the total sum bid.

In the event of a discrepancy between the written bid amount and the numerical bid amount, the written amount will take precedence and be controlling as to the amount of the Bid. Any such discrepancy shall be corrected as set forth in Article "Correction Of Errors" of the Information for Bidders.

14. MISCELLANEOUS ADDITIONAL WORK (ITEM W-800)

A. Description - Under this item each Contractor shall furnish all labor, material and equipment required to accomplish miscellaneous additional work:

- 1) Necessitated by encountering during the course of the work field conditions of a nature not determinable during design; or
- 2) For which no unit prices are applicable.

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- B. Method of Measurement - Only that miscellaneous additional work shall be performed by the Contractor and will be paid for by the County, which has been authorized by the Commissioner or the Construction Administrator in writing, prior to its commencement.
- C. Article “Increase or Decrease of Quantities: Elimination of Items” of the Information for Bidders, will still apply relative to the percentage of the total awarded contract price that the work under the contract may be increased or decreased.
- D. Payment - The total amount paid to the Contractor will be determined in strict accordance with the provisions of Article “Extra Work: Increased Compensation/ Decreased Work: Credit to the Owner” of the General Clauses, and such payment will include only that overhead and profit that is applicable to the work performed under this item.
- E. Each Contractor shall include in its total bid the lump sum printed in the Proposal and any bid other than the specified amount will be considered informal.

15. CORRECTION OF ERRORS

Relative to dollar bid items and the required computations as submitted and performed by bidders on the proposal sheets, if there are any inconsistencies derived in multiplying unit bid prices by the stated quantities, the Commissioner reserves the right to reconcile the unit bid prices or the products of the unit bid prices and the stated quantities, when in the Commissioner's professional opinion such reconciliation(s) would concur with the apparent intent of a bidder and the Commissioner's estimated values of the respective bid items of the proposed contract work. In addition to the foregoing, the Commissioner reserves the right to correct all mathematical errors in additions or subtractions.

16. SHOWN QUANTITIES

All bids shall be submitted upon the following express conditions, which shall apply to and become a part of every bid received. The Bidders accept the quantities shown on the Proposal Pages opposite items of the work for which unit prices are to be bid as being approximate estimated quantities. Bidders shall satisfy themselves by personal examination of the location of the proposed work and surroundings thereof, and by such other means as they may prefer, as to the scope of the work and the accuracy of the approximate estimated quantities; and shall not at any time after submission of their bids dispute such approximate estimated quantities nor assert that there was any misrepresentation by the County or any misunderstanding by the Contractor in regard to the quantity or kind of materials to be furnished, or work to be done.

17. QUALIFICATION OF BIDDERS

The County may make such investigation as it deems necessary to determine the ability of the bidder to perform the work, and the bidder shall furnish all information and data for this purpose as may be requested. The County reserves the right to reject any bid if the evidence submitted by, or the investigation of such bidder fails to satisfy the County, in the County's sole discretion, that it is properly qualified to carry out the obligations of the contract and to complete the contemplated work.

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18. REQUIRED EXPERIENCE

The County requires that each contractor possess not less than five (5) year's experience in performing work substantially similar in scope and size to the work for which it is bidding. The contractor agrees that upon request of the County the contractor will furnish a detailed statement of each project that it has performed during the most recent five (5) years (including but not limited to the name and address of the project, the name of the awarding entity/owner, the name of the awarding entity's/owner's representative, a current telephone number where that representative can be reached, the description of the project, general scope of the contractor's work, contract price, dates of performance, whether the contract was terminated for cause or convenience, whether the contract was completed and whether liquidated damages were assessed against the contractor [and if so, provide a written explanation]). The County reserves the right to require additional information as it deems appropriate concerning the history of the contractor's performance of each such contract. The final determination of whether the contractor possesses the requisite experience rests in the sole discretion of the County.

19. INCREASE OR DECREASE OF QUANTITIES: ELIMINATION OF ITEMS

In entering into this contract, the Contractor agrees that quantities shown on the Proposal Pages opposite items of the work for which unit prices have been requested are approximate estimated quantities, and that during the progress of the work the County may find it advisable and shall have the right to omit portions of the work, and to increase or decrease the shown approximate estimated quantities, or the scope of the whole work; and that the County reserves the right to add to or take from the total amount of the work up to a limit of thirty percent of the total amount of the contract based upon the executed contract price for all the specified work.

The Contractor shall make no claim for anticipated profits or loss of profits, because of any difference between the quantities of the various classes of work actually done, or of the materials actually furnished, and the original specified scope of work and the shown approximate estimated quantities.

The aforesaid thirty- percent pertains to the total amount of the contract and not to any individual item. Individual items may be increased or decreased any amount or may be eliminated entirely if so ordered by the Commissioner, excepting that the total amount of the contract as adjusted shall not result in a net increase or decrease of more than thirty percent except by mutual agreement between both parties thereto.

The Contractor waives all claims of any nature due to a misunderstanding of the location, character, or other conditions surrounding the work or of the shown approximate estimated quantities of items of the work.

20. BREAKDOWN COST OF LUMP SUM ITEMS AND CONTRACTS

After award of the contract and prior to actual start of the work, the successful bidder shall submit an itemized schedule of its estimated costs of lump sum items and or lump sum total contract work, for approval by the County. The schedule shall be submitted as an outline series with minor subdivisions, in accordance with the directives of the County. As part of

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this Schedule, the Contractor will be required to include a sum sufficient, as determined in the County's sole discretion, for the preparation and submission of approved final "As-builts", record drawings, guarantees, warranties, and operations and maintenance manuals.

21. ENGINEERING CHARGES

In addition to any and all other remedies available to the County when the work embraced in the contract is not completed on or before the date specified herein, engineering and inspection expenses incurred by the County of Westchester upon the work from the completion date originally fixed in the contract to the final date of completion of the work may be charged to the Contractor and be deducted from monies due the Contractor. Consideration of any extra work or supplemental contract work added to the original contract, as well as extenuating circumstances beyond the control of the Contractor, will be given due consideration by the County before assessing engineering and inspection charges against the Contractor. Such charges will be assessed, however, in cases where in the opinion of the Commissioner, the Contractor has delayed the work.

22. ESTIMATES AND PAYMENTS

As the work progresses but not more often than once a month and then on such days as the Construction Administrator may fix, the Contractor will submit a requisition in writing of the amount and value of the work performed and the materials and equipment provided to the date of the requisition, less any amount previously paid to the Contractor. The Contractor must complete at least ten (10%) percent of the work before submitting any claims for mobilization. From each requisition, the County will retain five percent (5%) plus one hundred fifty percent (150%) of the amount necessary to satisfy any claims, liens or judgments against the Contractor that have not been suitably discharged. The Commissioner will thereupon cause the balance of the requisition therein to be paid to the Contractor. In lieu of all or part of the cash retainage the County shall only accept bonds or notes of United States of America, New York State or political subdivisions thereof. As a condition to the making of any progress payment as set forth in this paragraph, the County, in its sole discretion may require the Contractor to submit such document as may be reasonably required to establish that the Contractor (and its subcontractor(s)) have timely and properly paid their respective subcontractor(s) and materialmen of whatever tier.

VENDOR DIRECT PAYMENT: All payments made by the County to the Contractor will be made by electronic funds transfer ("EFT") pursuant to the County's Vendor Direct program. The Contractor is required to complete the Vendor Direct Payment Authorization Form, which is located in the Forms Section on page 11 and 12. Payments will be automatically credited to the Contractor's designated bank account at the Contractor's financial institution. Payments are anticipated to be deposited two business days after the voucher/invoice is processed for payment. Saturdays, Sundays, and legal holidays are not considered business days. Under the Vendor Direct program you will receive an e-mail notification two days prior to the day the payment will be credited to your designated account. The e-mail notification will come in the form of a remittance advice with the same information that currently appears on County check stubs and will contain the date that the funds will be credited to your account. If there is a discrepancy in the amount received please contact

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your Westchester County representative as you would have in the past if there were a discrepancy in a check.

In the unlikely event that you do not receive the money in your designated bank account on the date indicated in the e-mail, please contact the Westchester County Accounts Payable Department at 914-995-3748. Whenever you change your bank or change or close your account a new Vendor Direct Payment Authorization Form must be submitted. Please contact the Westchester County Accounts Payable Department at 914-995-3748 and a new form will be e-mailed to you. When completing the payment authorization form you must either supply a voided check or have it signed by a bank official to ensure the authenticity of the account being set up to receive your payments. Failure to return the completed authorization form prior to award of the contract may result in the bid being considered non-responsive and the bid may be rejected.

When the work or major portion thereof, as contemplated by the terms of the contract (see Substantial Completion Payment and Final Payment later in this article), are substantially completed in the judgment of the Commissioner, the Contractor shall submit a requisition for the remainder of the contract balance. An amount equal to two (2) times the value of the remaining items to be completed plus one hundred fifty percent (150%) of the amount that the Commissioner deems necessary to satisfy any claims, liens or judgments against the Contractor which have not been suitably discharged shall be deducted from the requisition. As the remaining items of work are satisfactorily completed or corrected, the County will, upon receipt of a requisition, pay for these items less one hundred fifty percent (150%) of the amount necessary to satisfy any claims, liens or judgments.

Contractor agrees, in the event of any withdrawal by the contractor of amounts retained from payments to the contractor pursuant to the terms hereof, that notwithstanding any contrary interpretation of Section 106 of the New York General Municipal Law, the contractor will be obliged to maintain the market value of securities deposited in an amount equal to the amount withdrawn pursuant to said Section 106. The Contractor will, within five (5) days of demand therefore by the fiscal officer of the County, deposit with such fiscal officer cash, or securities of the kind provided in Section 106, of a market value sufficient to maintain the market value of all securities on deposit at a level equal (as of the date such notice of the fiscal officer is given to the contractor) to the amount which the County shall be entitled to retain from payments to the contractor pursuant to the terms of the contract.

All estimates will be made for actual quantities for work performed and materials and equipment incorporated in the work as determined by the measurements of the Engineer, and this determination shall be accepted as final, conclusive and binding upon the Contractor. All estimates will be subject to correction in any succeeding estimate.

Payment will be made for materials pertinent to the project which have been delivered to the site or off-site by the Contractor and/or Subcontractor and suitably stored and secured in first-class condition as required by the Construction Administrator. Payment may be limited to materials in short and/or critical supply and materials specially fabricated for the project, as defined by the contract. Payment will be made only upon the written request of the contractor. The Contractor must submit certified copies of the manufacturer's or vendor's invoices or statements establishing the true purchase value of the material or equipment; freight bills, release of liens and certificate of insurance covering all equipment and materials. Then the County will include in the following monthly payment an amount not to

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exceed the lesser of the bid breakdown or the total purchase price of the stored equipment and materials less retainage provided that such equipment and materials are suitable for their intended use.

The Contractor shall be responsible for safeguarding stored equipment and materials against loss or damage of any nature whatsoever, shall retain title until incorporated into the work and acceptance by the County and in case of loss or damage, the Contractor shall replace such lost or damaged equipment and materials at no cost to the County.

After receipt of payment, the Contractor shall not remove from the site equipment and materials for which such payment was made without written authorization from the Commissioner.

No major equipment item shall be brought to the site until the following conditions are met:

- 1) The County must have received the manufacture's recommendations for on-site storage in writing.
- 2) The structure in which the equipment is to be installed is roofed (roofing must be watertight) and has such protection of doorways, windows, and other openings that will provide reasonable protection from the weather.
- 3) Prior to the County making a Partial Payment on a major equipment item the following conditions must be met:
 - a. The Contractor must certify to the County, in writing, that the equipment has been properly stored.
 - b. The Shop Drawings must be approved and the draft Operation and Maintenance Manuals must have been submitted.

The Contractor shall furnish to the Construction Administrator, prior to the making up of any Partial or Final Estimate, a copy of its and its Subcontractors' weekly payrolls for each and every preceding payroll period. The payroll submitted shall be a certified true copy and shall contain full information including but not limited to the number of hours worked, rate, classification and total sum paid each employee charged to or working on the job. With all except the first estimate, the Contractor shall furnish to the Construction Administrator a sworn statement listing all unpaid bills and liabilities incurred under the Contract.

A. Substantial Completion Payment

- 1) Within thirty (30) days after receiving written notice from the Contractor of substantial completion of the work under this Agreement, the Commissioner will cause an inspection to be made of the work done under this contract. If, upon such inspection, the Engineer determines that the work is substantially complete, a Substantial Completion Payment to the Contractor for the work done under this Contract, less any and all deductions authorized to be made by the Commissioner under this contract or by law, will be issued.
- 2) Such a Payment shall be considered a Partial and not a Final Payment.
- 3) As a condition precedent to receiving payment therefore, the Contractor must have received County approval of all Shop Drawing submittals, the Operation and Maintenance Manuals, and As-Built Drawing(s). Together with its application for substantial completion payment the Contractor shall also deliver to the

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Construction Administrator a verified statement certifying that all claims or liabilities arising from the completed work, including all charges for Extra Work, Change Orders, additional time, damages or credits (collectively referred to as "claims") have been presented to the County. All such claims shall be described in sufficient detail so as to be easily identified. The Contractor's failure to submit the verified statement shall constitute a full and final waiver of all claims against the County from the beginning of the project through the date of substantial completion as established by the County. The presentation of the verified statement to the County shall not constitute an acknowledgement by the County that any such claim is valid. The County expressly reserves its right to assert that any such claim(s) is waived or precluded by reason of other provisions of the contract documents. Only claims particularly identified on the Contractor's verified statement shall be preserved; all other claims whatever nature shall be deemed waived and released. It shall also submit proof of title of the materials and equipment covered by the contract. The Contractor shall also, prior to the issuance of said Substantial Completion Payment, supply to the County affidavits and certificates for labor, material and equipment (where applicable).

B. Final Payment

- 1) Within ten (10) days after receiving written notice from the Contractor of completion of all the work, the Engineer will make a final inspection. If upon inspection the Engineer determines that no further work is needed, the Commissioner will request that the Board of Acquisition and Contract approve the completion of the project and authorize payment of the Final Estimate. Also required prior to the Board of Acquisition and Contract approval is a Condition Report by the Contractor that any damage of public or privately owned properties resulting from the Contractor's work has been satisfactorily repaired.
- 2) As a condition precedent to receiving Final Payment therefore the Contractor shall submit a supplementary verified statement similar to that required under, "A. Substantial Completion Payment", hereof. This verified statement must include only those charges for Extra Work, Change Orders, additional time, damages or credits (collectively referred to as "claims") that accrued between substantial completion and final completion. The Contractor's failure to submit the verified statement shall constitute a full and final waiver of all claims against the County from the beginning of the project through the date of substantial completion as established by the County. The presentation of the verified statement to the County shall not constitute an acknowledgement by the County that any such claim is valid. The County expressly reserves its right to assert that any such claim is waived or precluded by reason of other provisions of the contract documents. Only claims particularly identified on the Contractor's supplementary verified statement shall be preserved; all other claims of whatever nature shall be deemed waived and released.
- 3) The Contractor shall also, prior to the issuance of Final Payment, supply to the County affidavits and certificates for labor, material and equipment (where applicable).

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- 4) The County will, not less than thirty (30) days after the Final Acceptance of the work under this contract, by the Board of Acquisition and Contract, pay the Contractor upon the receipt of all required documentation the balance of funds due thereunder after deduction of all previous payments, liens and all percentages and amounts to be kept and retained under provision of this contract.

All prior Partial Payments, being merely estimates made to enable the Contractor to prosecute the work more advantageously, shall be subject to correction in the Final Estimate and Payment

- 5) The acceptance by the Contractor or by anyone claiming by or through him of the Final Payment shall operate as and shall be a release to the County and every officer and agent thereof, from any and all claims of the Contractor for anything done or furnished in connection with this work or project and for any act or omission of the County or of any others relating to or affecting the work. No payment, however, final or otherwise, shall operate to release the Contractor or its Sureties from any obligation under this contract or the Performance and Payment Bond. Should the Contractor refuse to accept the final payment as tendered by the County, it shall constitute a waiver of any rights to interest thereon. Nor shall refusal to accept final payment extend any applicable statute of limitation.

23. PAYMENTS TO SUBCONTRACTORS AND MATERIALMEN BY CONTRACTOR

Within fifteen calendar days of the receipt of any payment from the County, the contractor shall pay each of its sub-contractors and materialmen the proceeds from the payment representing the value of the work performed and/or materials furnished by the subcontractor and/or materialmen as reflected in the payment from the owner less an amount necessary to satisfy any claims, liens or judgment against the subcontractor or materialman which have not been suitably discharged and less any retained amount as hereafter described. The contractor shall retain not more than five per centum of each payment to the subcontractor and/or materialman except that the contractor may retain in excess of five per centum but not more than ten per centum of each payment to the subcontractor provided that prior to entering into a subcontract with the contractor, the sub-contractor is unable or unwilling to provide a performance bond and a labor and material bond both in the full amount of the sub-contract at the request of the contractor. However, the contractor shall retain nothing from those payments representing proceeds owed the subcontractor and/or materialman from the County's payments to the contractor for the remaining amounts of the contract balance as provided in Article "Estimates and Payments" of the Information For Bidders. Within fifteen calendar days of the receipts of payment from the contractor, the subcontractor and/or materialman shall pay each of its subcontractors and materialmen in the same manner as the contractor has paid the subcontractor.

Nothing provided herein shall create any obligation on the part of the County to pay or to see the payment of any moneys to any subcontractor or materialman from any contractor nor shall anything provided herein serve to create any relationship in contract or otherwise, implied or expressed between the subcontractor or materialman and the County. Notwithstanding anything to the foregoing, the County may tender payments to the Contractor in the form of joint or dual payee checks.

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NOTICE: No direct payment will be made for work done or materials furnished under the General Clauses, Information for Bidders, General Clauses and Special Clauses, except where expressly stated elsewhere, but compensation shall be deemed to be included in the contract lump sum price for the total work and/or the contract unit prices for the various items of the work.

24. TIME OF STARTING

Time being of the essence, all bidders shall take notice that the timely completion of the work called for under this contract is of the greatest importance. The contractor shall commence its work within ten (10) days after "notice to proceed" has been given it by the Commissioner (unless a definite starting date is stated). Prior to commencing its work, the Contractor shall notify the Director of Project Management, Division of Engineering and Department of Public Works, at least forty-eight (48) hours prior to the planned date of its "start", so that a Construction Administrator can be assigned to the work.

25. SAFETY AND HEALTH REGULATIONS FOR CONSTRUCTION AND DEMOLITION WORK

At all times the Contractor shall use all required and necessary precautions for the safety and protection of the public, County personnel, construction employees, and private and public property on or adjacent to the work.

The Contractor shall comply fully with all the applicable provisions of the following listed governmental regulations and standards, noting that in case of conflict, the Contractor shall comply with the most stringent rule or regulation:

- 1) State of New York, Department of Labor, Bureau of Standards and Appeals, Industrial Code Rule 23 "Protection of Persons Employed in Construction and Demolition Work."
- 2) United States Department of Labor, Bureau of Labor Standards, "Safety and Health Regulations for Construction," as promulgated in accordance with the Occupational Safety and Health Act of 1970, Public Law 91-596; 84 Stat. 1590, Laws of 91st Congress - 2nd Session.

It shall be the sole responsibility of the Contractor to ascertain which of the regulations and standards contained in the foregoing listed publications effect its construction activities, and it shall be solely responsible for the penalties resulting from its failure to comply with such applicable rules and regulations. Copies of the listed publications are available for reference purposes only, in the Westchester County Department of Public Works, Division of Engineering, Design Section, Room 500, Michaelian Office Building, White Plains, New York.

The West Nile Mosquito control program:

- 1) Routinely, the work site should be inspected for potential habitats (i.e. stagnant/standing water) for mosquitoes.
- 2) Conditions that would require remediation include: improper site grading, ruts/other depressions, water in debris (i.e. containers, tires, etc.), stored or

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discarded materials, and excavations, and those cited by the Construction Administrator.

- 3) Under the direction of the Construction Administrator, the Contractor shall take all necessary preventive and/or corrective action to eliminate the potential breeding grounds.

26. ACCIDENT PREVENTION AND FIRST AID FACILITIES

In addition to conforming to the applicable governmental regulations and standards referred to in Article "Fire Prevention And Control" of the Information For Bidders, the Contractor shall conduct its work in accordance with the recommendations contained in the latest edition of the "Manual of Accident Prevention in Construction," as published by the Associated General Contractors of America, Inc. and the most recent safety codes approved by the American Standards Association. In case of the conflict with the referenced governmental regulations and standards, the most stringent regulation, standard or recommendation shall govern.

Further, and without in any way limiting the Contractor's obligations hereunder, and in accordance with the instructions of the Construction Administrator, the Contractor shall provide barricades, warning lights, danger and caution signs and other safeguards at all places where the work in any way is a hazard to the public.

The Contractor shall also provide and maintain upon the site at each location where major work is in progress, a completely equipped first aid kit that shall be readily accessible when construction activities are in progress. Posted on each first aid kit shall be the name, location and telephone number of the nearest hospital or doctor with whom the Contractor has previously made arrangements for emergency treatment in case of accident.

27. FIRE PREVENTION AND CONTROL

The Contractor shall abide by such rules and instructions as to fire prevention and control as the municipality having jurisdiction may prescribe. It shall take all necessary steps to prevent its employees from setting fires not required in the construction of the facility and shall be responsible for preventing the escape of fires set in connection with the construction.

It shall at all times provide the proper housekeeping to minimize potential fire hazards, and shall provide approved spark arresters on all steam engines, internal combustion engines and fuels.

Free access to fire hydrants and standpipe connections shall be maintained at all times during construction operations, and portable fire extinguishers shall be provided by the Contractor and made conveniently available throughout the construction site. The Contractor shall also notify its employees of the location of the nearest fire alarm box at all locations where work is in progress.

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28. STATE AND LOCAL SALES TAX EXEMPTION

The Contractor's attention is directed to Section 1115 of the Tax Law of New York State, Chapters 513 and 514 of the Laws of 1974. In connection with capital improvement contracts entered into on or after September 1, 1974, all tangible personal property which will become an integral component of a structure, building or real property of New York State, or any of its political sub-divisions, including the County of Westchester, is exempt from State and local retail sales tax and compensating use tax.

Bidders' proposals shall exclude dollar amounts for the payment of State and Local retail sales tax and compensating use tax, for tangible personal property defined above.

The successful bidder shall be obliged to file the required Contractor Exempt Purchase Certificates, which may be obtained from the New York State Department of Taxation and Finance (1-800-462-8100), in order to utilize such exemption.

29. APPRENTICES

The attention of all bidders is directed to Section 220(3-e) of the New York State Labor Law, which is hereby incorporated herein by reference, which requires, among other things, that "Apprentices who are registered under a Bona Fide New York State Registered Apprentice Training Program shall be permitted to work."

30. AFFIRMATIVE ACTION PROVISION

During the performance of this Contract, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. Contractor shall take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoffs or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. Contractor agrees to include, or require the inclusion of the above provision in any subcontract made pursuant to its contract with the County.

31. AFFIRMATIVE ACTION PROGRAM REQUIREMENT

Relative to the award of this Contract, it is required that all bidders completely answer all questions contained in the questionnaire entitled "Affirmative Action Program Requirement" of the Proposal Pages, and properly attest to same.

It is also required that all subcontractors completely answer all questions contained in the questionnaire entitled "Affirmative Action Program Requirement-Subcontractors" of the Sample Forms, and properly attest to same. This form is to be submitted with the request to utilize subcontractor(s).

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32. AUTHORITY TO DO BUSINESS IN NEW YORK

Any corporation not incorporated under the Laws of New York State, must furnish a copy of its certificate of authority, from the New York State Secretary of State, to do business in the State of New York, in accordance with Article 13 of the New York State Business Corporation Law.

33. LICENSE REQUIREMENTS (ELECTRICAL)

- A. In accordance with the requirements of Local Law No. 20-1997 of Westchester County, no person shall perform work under any contract with the County of Westchester except (i) a licensed Master Electrician; (ii) a licensed "Special Electrician"; or (iii) a Journeyman Electrician working under the direct supervision and control of a Master Electrician.

In no event shall the County incur any liability to pay for any electrical work performed in violation of the licensing requirements of Local Law No. 20-1997 of Westchester County.

- B. Contract with separate bids:

If the project is one where separate bid specifications are required pursuant to the provisions of the New York General Municipal Law, then any person, partnership, corporation, business organization or other business entity submitting a bid for the electrical portion of the project must possess, at the time of submission of the Bid, a valid Master/"Special" Electrician's license issued by the Westchester County Electrical Licensing Board in accordance with Chapter 277 Article XVII of the Laws of Westchester County and the Westchester County Electrical Licensing Board Rules & Regulations, in particular No. 11, which states as follows:

No individual holding a Master Electrician's License shall lend such License to any person or allow any other person to carry on, engage in, or labor at the business as defined herein of installing, removing, altering, testing, replacing, or repairing electrical systems. A violation of this section by any person holding a License shall be sufficient cause for revocation of such License.

However, nothing herein shall be construed to prohibit the use of a License by the holder thereof for or on behalf of a partnership, corporation or other business association, provided that fifty-one (51) percent or more of the control of the voting capital stock of such partnership, corporation, or other business association is owned by one (1) or more holders of a Westchester County Master Electrical License and that all work performed by such partnership, corporation or other business association is performed by or under the direct supervision of such License holder or holders.

- C. Contract with single bid:

Where the project does not involve separate bids pursuant to the New York General Municipal Law but where some electrical work is contemplated along with other work, the person, firm, partnership or corporation engaged to perform said electrical work

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must possess a valid Master/"Special" Electrician's license issued by the Westchester County Electrical Licensing Board.

- D. An electrical bidder must complete the "Certificate of License (Electrical)" of the Proposal Pages and will be required to furnish a copy of such license with the sealed Bid. Other bidders will be required to furnish a copy of such license for the applicable person engaged to perform the electrical work when request by the County, prior to awarding the contract.
- E. The license must be maintained at all times during the performance of the work contemplated under the contract. The suspension, revocation or the failure to maintain or renew such license shall, in addition to any other right or remedy available to the County, be grounds for immediate termination of the contract, effective immediately upon notice from the Commissioner.

34. LICENSE REQUIREMENTS (PLUMBING)

- A. In accordance with the requirements of Chapter 277, Article XV of the Laws of Westchester County, no person shall perform plumbing work under any contract with the County of Westchester except (i) a licensed Master Plumber; (ii) a certified Journey Level Plumber employed by and under the direction of a licensed Master Plumber; or (iii) an Apprentice Plumber working under the direct supervision and control of a Master Plumber or under the direct supervision and control of a certified Journey Level Plumber in the employ of a licensed Master Plumber.

In no event shall the County incur any liability to pay for any plumbing work performed in violation of the licensing requirements of Chapter 277, Article XV of the Laws of Westchester County.

- B. Contract with separate bids:

If the project is one where separate bid specifications are required pursuant to the provisions of the New York General Municipal Law, then any person, partnership, corporation, business organization or other business entity submitting a bid for the plumbing portion of the project must possess, at the time of submission of the Bid, a valid Master Plumber's license issued by the Westchester County Board of Plumbing Examiners in accordance with the Westchester County Board of Plumbing Examiners Rules and Regulations and Chapter 277 Article XV of the Laws of Westchester County, in particular Section 277.509A, which states as follows:

- A. No holder of a license or certification issued under this article shall authorize, consent to or permit the use of his or her license or certification by or on behalf of any other person. No person who has not qualified or obtained a license or certification under this article shall represent himself or herself to the public as holder of a license or certification issued under this article, either directly, by means of signs, sign cards metal plates or stationery, or indirectly in any other manner whatsoever. However, nothing herein shall be construed to prohibit the use of a license by the holder thereof for or on behalf of a partnership, corporation or other business association, provided that 51 percent or more of the control of the voting capital stock of such partnership, corporation or other business

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association is owned by one or more holders of a Westchester County master plumbing license and that all work performed by such partnership, corporation or other business association is performed by or under the direct supervision of such license holder or holders.

C. Contract with single bid:

Where the project does not involve separate bids pursuant to the New York General Municipal Law but where some plumbing work is contemplated along with other work, the person, firm, partnership or corporation engaged to perform said plumbing work must possess a valid Master Plumber's license issued by the Westchester County Board of Plumbing Examiners.

- D. A plumbing bidder must complete the "Certificate of License (Plumbing)" of the Proposal Pages and will be required to furnish a copy of such license and the County issued identity badge with the sealed Bid. Other bidders will be required to furnish a copy of such license and the County issued identity badge for the applicable person engaged to perform the plumbing work when request by the County, prior to awarding the contract.
- E. A restricted Master Plumber's license issued by the Westchester County Board of Plumbing Examiners shall satisfy the requirements of this section provided such restricted license authorizes the Master Plumber to engage in the business of plumbing within the local municipality in which the work under the contract is to be performed.
- F. The license must be maintained at all times during the performance of the work contemplated under the contract. The suspension, revocation or the failure to maintain or renew such license shall, in addition to any other right or remedy available to the County, be grounds for immediate termination of the contract, effective immediately upon notice from the Commissioner.

35. LICENSE REQUIREMENTS (HAULERS)

(Haulers Of Solid Waste; Recyclables; Construction And Demolition Debris; Garden And Yard Waste And/Or Scrap Metal)

A. DEFINITIONS:

- 1) "Class A" refers to all haulers except those whose hauling business is limited solely to Class C, Class D or Class E activities or whose recycling business is limited to Class B activities. Class A Licensees may also conduct Class B, Class C, Class D and Class E activities.
- 2) "Class B" refers to Recyclable brokers. Class B Licensees may also conduct Class C, Class D and Class E activities.
- 3) "Class C" refers to haulers who exclusively handle construction and demolition debris. Class C Licensees may also conduct Class D and Class E activities. With respect to Class C haulers, the following shall apply: a. Class "C-1" shall refer to a business or subsidiary which generates construction and demolition debris, as defined herein, and which, incidental to such business, transports, stores, processes, transfers or disposes of the construction and demolition debris generated by the

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operations of such business or subsidiary. Class "C-1" Licensees may also conduct Class E activities; b. Class "C-2" shall refer to all other businesses which otherwise transport, collect, store, transfer, process, or dispose of construction and demolition debris. Class "C-2" haulers may also conduct Class "C-1", Class D and Class E activities.

- 4) "Class D" refers to (i) haulers who collect, store, transport, transfer, process or dispose of garden and yard waste generated, originated or brought within the County where such garden and yard waste was previously generated by a person or entity other than the Licensees and/or (ii) haulers who collect, store, transport, transfer, process or dispose of garden and yard waste and which own, lease, or control one or more vehicles having three (3) or more axles which vehicles will be used in the collection, storage, transfer, transportation, processing or disposal of garden and yard waste generated, originated or brought within the County.
- 5) "Class E" refers to haulers who exclusively conduct a scrap peddler business.
- 6) "Construction and Demolition Debris" means uncontaminated Solid Waste resulting from the construction, remodeling, repair and demolition of structures and roads, and uncontaminated Solid Waste consisting of vegetation resulting from land clearing and grubbing, utility line maintenance and seasonal and storm-related cleanup. Such waste includes, but is not limited to, bricks, concrete and other masonry materials, soil, rock, wood, wall coverings, plaster, drywall, plumbing fixtures, non-asbestos insulation, roofing shingles, asphaltic pavement, glass, plastics that are not sealed in a manner that conceals other waste, electrical wiring and components containing no hazardous liquids, metals, and trees or tree limbs that are incidental to any of the above.
- 7) "Hauler" means any person excluding municipalities, the County and any County district including, but not limited to, Refuse Disposal District No. 1 and all County sewer and water districts, who, for a fee or other consideration, collects, stores, processes, transfers, transports or disposes of Solid Waste, Recyclables or construction and demolition debris that is generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer or processing.
- 8) "Recyclables" means those materials defined as "Recyclables" under Section 825.30 (8) of the Westchester County Source Separation Law.
- 9) "Scrap Peddler" shall mean any person who collects scrap materials for sale to a Recyclable broker using no more than one vehicle for collection and transportation of such materials.
- 10) "Solid Waste" means all putrescible and non-putrescible materials or substances, except as described in Paragraph 4 of 6 NYCRR Part 360-1.2(a), and/or regulated under 6 NYCRR Part 364, that are discarded or rejected as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection including, but not limited to, garbage, refuse, commercial waste, rubbish, ashes, incinerator residue and construction and demolition debris. "Solid Waste" shall not be understood to include Recyclables as defined above.

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- B. **PLEASE TAKE NOTICE** - In accordance with the requirements of Chapter 826-a, Article III of the Laws of Westchester County, it is unlawful for any person to collect, store, transfer, transport or dispose of solid waste; recyclables; construction and demolition debris; garden and yard waste and/or scrap metal, as defined herein, that is generated or originated within the County or brought within the boundaries of the County for disposal, storage, transfer or processing, or to conduct any activities defined as Class A, Class B, Class C, Class D or Class E activities under Chapter 826-a of the Laws of Westchester County, in Westchester County (hereinafter collectively referred to as "hauling") without having first obtained a license therefore from the Westchester County Solid Waste Commission.

In no event shall the County incur any liability with respect to any hauling activities conducted by the bidder or any subcontractor of the bidder in violation of Chapter 826-a of the Laws of Westchester County.

- C. Where the project necessitates that hauling be performed, either the bidder or the person, partnership, corporation, business organization or other business entity engaged to perform such hauling work on behalf of the bidder (hereinafter the "subcontractor") must possess a valid license issued by the Westchester County Solid Waste Commission at the time of submission of the bid and throughout the duration of any contract issued pursuant thereto.
- D. A hauler bidder must complete the "Certificate of License (Hauler)" of the Proposal Pages and will be required to furnish a copy of such license with the sealed bid. Other bidders will be required to furnish a copy of such license for the applicable person engaged to perform the hauling work when requested by the County, prior to awarding the contract.
- E. The suspension, revocation, or the failure to maintain or renew such license may, in addition to any other right or remedy available to the County, be grounds for termination of the contract, effective immediately upon notice from the Commissioner. The bidder which is awarded the contract hereunder shall have a continuing obligation to notify the Commissioner, within (2) business days, of any suspension, revocation or other action taken with respect to any license issued by the Westchester County Solid Waste Commission which may limit or impair the bidder's ability, or the ability of any authorized subcontractor, to perform such hauling work in the County of Westchester.

It shall be the bidder's responsibility to ensure that any subcontractor who will perform the hauling services required under any contract issued pursuant to this bid specification has a valid license for the duration of the term of any contract awarded hereunder.

- F. In the event that a license held by the bidder or its subcontractor is revoked, suspended or otherwise discontinued by the Westchester County Solid Waste Commission, or in the event that the bidder is otherwise required to obtain the services of a new or alternate subcontractor for the hauling work, the bidder shall immediately notify the Commissioner and seek the Commissioner's approval for the use of such subcontractor to provide the hauling services which are required under the contract, and shall provide the Commissioner with a copy of the license issued by the Westchester County Solid Waste Commission to such subcontractor. No bidder or subcontractor shall provide

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hauling services under the contract until a copy of its license has been provided to the Commissioner and the Commissioner has approved of such bidder or subcontractor.

36. MINORITY PARTICIPATION POLICY

- A. Pursuant to Chapter 308 of the Laws of the County of Westchester, the County encourages the meaningful and significant participation of business enterprises owned by persons of color and women - Minority Business Enterprise (MBE) and Women Business Enterprise(WBE); on County of Westchester contracts.
- B. It is the goal of the County of Westchester to use its best efforts to encourage, promote and increase participation of business enterprises owned and controlled by persons of color or women (MBE/WBE) in contracts and projects funded by all departments of the County and to develop a policy to efficiently and effectively monitor such participation.
- C. In recognition of the need to promote the development of business enterprises owned and controlled by persons of color and women to achieve a goal of equal opportunity, and overcome the existing under representation of these groups in the business community, the County of Westchester acting through its Office of Economic Development shall as a lawful public and County purpose provide technical and informational assistance to such business enterprises with a particular emphasis on education programs to encourage participation in the contract procurement process.
- D. For the purposes of this Local Law, a business enterprise owned and controlled by women or persons of color shall be construed to mean a business enterprise including a sole proprietorship, partnership or corporation that is: (a) at least 51% owned by one or more persons of color or women; (b) an enterprise in which such ownership by persons of color or women is real, substantial and continuing; (c) an enterprise in which such ownership interest by persons of color or women has and exercises the authority to control and operate, independently, the day-to-day business decisions of the enterprise; and (d) an enterprise authorized to do business in this state which is independently owned and operated. In addition, a business enterprise owned and controlled by persons of color or women shall be deemed to include any business enterprise certified as an MBE or WBE pursuant to Article 15-a of the New York State Executive Law and implementing regulations, 9 NYCRR Subtitle N Part 540 et seq., or as a small disadvantaged business concern pursuant to the Small Business Act, 15 U.S.C. 631 et seq., and the relevant provisions of the Code of Federal Regulations as amended.
- E. The Contractor hereby acknowledges and agrees:
 - 1) That in the hiring of employees for the performance of work under this contract or any subcontract hereunder, no contractor, subcontractor, nor any person acting on behalf of such contractor or subcontractor, shall be reason of race, creed, color, religion, gender, age, ethnicity, disability, sex, alienage or citizenship status, national origin, marital status, sexual orientation, familial status, genetic predisposition or carrier status discriminate against any citizen of the State of New York who is qualified and available to perform the work to which the employment relates;

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- 2) That no contractor, subcontractor, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this contract on account of race, creed, color, religion, gender, age, ethnicity, disability, sex, alienage or citizenship status, national origin, marital status, sexual orientation, familial status, genetic predisposition or carrier status;
 - 3) That there may be deducted from the amount payable to the contractor by the County under this contract a penalty of fifty (50) dollars for each person for each calendar day during which such person was discriminated against or intimidated in violation of the provisions of the contract;
 - 4) That this contract may be canceled or terminated by the County, and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this section of the contract; and
 - 5) The aforesaid provisions of this section covering every contract for or on behalf of the County for the manufacture, sale or distribution of materials, equipment or supplies shall be limited to operations performed within the territorial limits of the State of New York.
 - 6) Contractor agrees to include, or require the inclusion of the above provision in any subcontract made pursuant to its contract with the County.
- F. In furtherance of the Contractor's obligation to make documented good faith efforts to utilize Minority Business Enterprises (MBE) and Women's Business Enterprises (WBE) for the Work required by this Contract, the Contractor shall provide the Minority/Women Business Enterprise Questionnaire signed by an officer of the Contractor, and any additional information requested by the County, including but not limited to the following, which shall be delivered to the Construction Administrator and _____, Program Manager of Minority- and Women-Owned Business Program, County of Westchester, Room 911, 148 Martine Avenue, White Plains, New York 10601 coincident with the Contractor's delivery to the County of its bid and shall be provided by the Contractor with any request for approval of subcontractors:
- 1 (a) The name, address, telephone number and contact person of each MBE and WBE solicited verbally by Contractor during the applicable period for the performance of any portion of the Contractor's Work and the date(s) that each such solicitation was made;
 - 1 (b) A description of the portion of the Contractor's Work for which each such solicitation is made.
 - 1 (c) A listing of the project documents, if any, furnished to each such MBE and WBE.
 2. A copy of each written solicitation sent by the Contractor to each MBE and WBE and the name and address of each MBE and WBE to whom the solicitation was made.
 - 3) The name and address of each MBE and WBE that performs any portion of the Contractor's Work, a description of such portion of the Work and the dollar

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amount therefore.

- 4) A statement that the Contractor reviewed a list of MBE and WBE contractors in their outreach efforts. A list can be found at www.westchestergov.com/mwob.
- 5) Indicate those MBE and WBE contractors found on the list that provided the type of subcontractor services required for this project. If none were found, please indicate.
- 6) Describe other outreach efforts, including other MBE and/or WBE lists, organizations or individuals that were contacted.

The failure of the low bidder to comply with the provisions of this subparagraph F may result in the County NOT awarding this contract to your firm. Failure of the Contractor to comply with the provisions of this subparagraph F may constitute a material breach of this Contract. Failure to comply with the Minority Participation Policy may be considered by the County when awarding contracts.

37. SEXUAL HARASSMENT POLICY

- A. As with discrimination involving race, color, religion, age, sexual orientation, disability, and national origin, Westchester County also prohibits sex discrimination, including sexual harassment of its employees in any form. The County will take all steps necessary to prevent and stop the occurrence of sexual harassment in the workplace.
 - 1) **This policy applies to all County employees and all personnel in a contractual relationship with the County.** Depending on the extent of the County's exercise of control, this policy may be applied to the conduct of non-County employees with respect to sexual harassment of County employees in the workplace.
 - 2) This sexual harassment policy includes, but is not limited to, inappropriate forms of behavior described by the Equal Employment Opportunity Commission.
- B. Sexual advances that are not welcome, requests for sexual favors, and other verbal or physical conduct of a sexual nature constitutes sexual harassment when:
 - 1) Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment; -OR-
 - 2) Submission to or rejection of such conduct by an individual is used as the basis for employment decisions, such as promotion, transfer, or termination, affecting such individuals; -OR-
 - 3) Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.
- C. Sexual harassment refers to behavior that is not welcome, that is personally offensive, that fails to respect the rights of others, that lowers morale and that, therefore, interferes

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with an employee's work performance and effectiveness or creates an intimidating, hostile or offensive working environment.

38. SMOKE-FREE WORKPLACE POLICY

- A. By way of Executive Order No. 5 of 1998 and Local Law 3 of 2003, it is now the policy of the County of Westchester to institute a smoke-free “workplace”.
- B. Every indoor County “workplace”, shall become a smoke-free area. The smoking or carrying of lighted cigarettes, cigars, pipes, or any other tobacco-based products, or products that result in smoke, is hereby banned.
- C. Every indoor County “workplace” shall be covered under this Executive Order, including the County Jail in Valhalla and the Westchester County Center in White Plains. This Executive Order shall not, however, apply to County-owned facilities that are not County “workplaces”, such as employees housing or privately run restaurants on County property (e.g. at the County golf courses).
- D. The Richard J. Daronco County Courthouse shall not, for purposes of this Executive Order, be considered a County “workplace”, and therefore shall not be required to be smoke-free.
- E. This Executive Order is intended to be consistent with, and not modify, any provisions of the New York State Public Health Law.
- F. This Executive Order shall take effect immediately and remain in full force and effect until otherwise superseded or revoked.

39. COUNTY ENERGY EFFICIENT PURCHASING POLICY

- A. By way of Executive Order No. 9 of 2002, it is now the policy of the County of Westchester to institute an Energy Efficient Purchasing Policy.
- B. This policy shall apply to all purchases made by and for the County in accordance with applicable laws, rules and regulations.
- C. Wherever the price is reasonably competitive and the quality adequate for the purpose intended, purchase and utilization of products that meet Energy Star requirements for energy efficiency as determined by the United States Environmental Protection Agency and the United States Department of Energy is hereby recommended.
- D. If the Energy Star label is not available with respect to a particular product, than it is recommended that products in the upper twenty-five percent of energy efficiency as designated by the United States Federal Energy Management Program shall be purchased and utilized if the prices of those products are reasonably competitive and the quality adequate for the purpose intended.

40. RESTRICTION ON USE OF TROPICAL HARDWOODS

- A. The bidder/proposer shall not use or propose to use any tropical hardwoods or tropical hardwood products in any form, except in accordance with State Finance Law § 165 (Use of Tropical Hardwoods), as may be amended from time to time. Pursuant to the

INFORMATION FOR BIDDERS

State Finance Law § 165, any bid/proposal which proposes or calls for the use of any tropical hardwood or wood product in the performance of the contract shall be deemed non-responsive.

41. DISCLOSURE OF RELATIONSHIPS TO COUNTY

- A. The successful bidder is required to complete the form entitled “Required Disclosure of Relationships to County” on Proposal Pages 32-33 before award of the contract.
- B. In the event that any information provided on the completed Proposal Pages entitled “Required Disclosure of Relationships to County” changes during the term of this agreement, the Contractor shall notify the Commissioner in writing within ten (10) days of such event by submitting a revised “Required Disclosure of Relationships to County” form.

42. CONTRACTOR DISCLOSURE STATEMENT

The Contractor and each Major Subcontractor represents that all information provided by the Contractor and Major Subcontractor in the form entitled “Contractor Disclosure Statement” on Proposal Pages 23-31 is in all respects true and correct. In the event the information provided on that document changes during the term of this agreement or for a period of three (3) years after the date that the Contractor and/or the Major Subcontractor receives final payment under this agreement, the Contractor and/or Major Subcontractor shall notify the Commissioner in writing within ten (10) days of such event by submitting a revised “Contractor/Major Subcontractor Disclosure Statement”. Bidders must complete the Required Disclosure of Relationships to County form. The Required Disclosure of Relationships to County form is located on Proposal Pages 32-33.

43. CRIMINAL BACKGROUND INFORMATION

Pursuant to Executive Order 1-2008 and subject to the applicable provisions of New York Correction Law §§ 752 and 753, the County shall have the right to bar the following “Persons Subject to Disclosure” (Persons shall mean individuals or legal entities) from providing work or services to the County or from being on County property:

(a) Consultants, Contractors, Licensees, Lessees of County owned real property, their principals, agents, employees, volunteers or any other person acting on behalf of said Contractor, Consultant, Licensee, or Lessee who is at least sixteen (16) years old, including but not limited to Subconsultants, Subcontractors, Sublessees or Sublicensees who are providing services to the County; and

(b) Any family member or other person, who is at least sixteen (16) years old, residing in the household of a County employee who lives in housing provided by the County located on County property.

If any of the above mentioned Persons Subject to Disclosure has either one of the following:

(a) A conviction of a crime (all felonies and misdemeanors as defined under the New York State Penal Law or the equivalent under Federal law or the laws of any other State);

(b) A pending criminal proceeding for a crime(s) as defined above; or

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(c) A refusal to answer such questions.

Where the following criteria apply:

(a) If any of the Persons Subject to Disclosure providing work or services to the County in relation to a County Contract are not subject to constant monitoring by County staff while performing tasks and/or while such persons are present on County property pursuant to the County Contract; and

(b) If any of the Persons Subject to Disclosure providing work or services to the County, in relation to a County Contract may, in the course of providing those services, have access to sensitive data (for example, Social Security Numbers and other personal/secure data); facilities (secure facilities and/or communication equipment); and/or vulnerable populations (for example, children, seniors and the infirm).

Accordingly, the Contractor is required to review the Instructions found in the instructions and complete “Contractor and all persons subject to Disclosure Certification Forms” located at Forms Pages 11-13 as well as any other applicable criminal disclosure forms (i.e., Forms Pages 14 through 19,” together with Forms Pages 11-13 collectively referred to as “Disclosure Forms”).

However, the following Persons Subject to Disclosure are **exempt** from Executive Order 1-2008: (i) those persons for whom the County has already conducted a background check and issued a security clearance that is in full force and effect; or (ii) those persons for whom another state or federal agency having appropriate jurisdiction has conducted a security and/or background clearance or has implemented other protocols or criteria for this purpose that apply to the subject matter of this Contract that is in full force and effect.

If a Person Subject to Disclosure is exempt from the disclosure described in Executive Order 1-2008 because of either “i” or “ii” above, then the Contractor shall notify the Procuring Officer¹ in the respective Department of its claim of exemption and it shall be the responsibility of the Procuring Officer to verify each exemption. If the Procuring Officer determines that the Contractor is exempt under sections “i” or “ii” above, the Procuring Officer shall confirm same with the Contractor and maintain a written record including all supporting details of the verification of and acknowledgement of said exemption.

If the Procuring Officer determines that the Contractor is not exempt under sections “i” or “ii” above, the Procuring Officer shall notify the Contractor in writing, and the appropriate Disclosure Forms shall be required.

It shall be the Contractor’s duty to disclose and to inquire of each and every Person Subject to Disclosure, whether they have been convicted of a crime or whether they are currently subject to pending criminal charges. It shall be the duty of the Contractor to submit a completed Certification Form “Forms Pages 11-13”annexed hereto as ,” which certifies that the Contractor and every Person Subject to Disclosure has been asked whether they have been convicted of a crime or are currently subject to pending criminal charges.

Should the Contractor or any Person Subject to Disclosure (also referred to as “Person”)

¹ “Procuring Officer” shall mean the head of the department or the individual(s) authorized by the head(s) of the department(s) undertaking the procurement and with respect to those matters delegated to the Bureau of Purchase and Supply pursuant to Section 161.11(a) of the Laws of Westchester County, the Purchasing Agent.

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affirmatively advise that they have been convicted of a crime said Person shall be identified in Forms Page 14 entitled “Names And Titles Of Persons Subject To Disclosure That Answered Yes” to any questions on Forms Pages 11-13 and shall complete Forms Pages 15-16 entitled, “Criminal Background Disclosure Form For Persons Who Have Been Convicted of A Crime.”

Should the Contractor or any Person Subject to Disclosure advise that they are subject to pending criminal charges, said Person shall be identified in Forms Page 14 and shall complete the form annexed hereto as Forms Pages 17-18 entitled, “Criminal Background Disclosure Form For Persons Who Are Subject to Pending Criminal Charges.”

Should the Contractor or any Person Subject to Disclosure refuse to answer whether they have been convicted of a crime or are currently subject to pending criminal charges, the name and title of said Person(s) shall be listed on Forms Page 19 entitled “Persons That refused To Answer”.

It shall be the duty of the Contractor to submit to the Procuring Officer all of the attached applicable Disclosure Forms prior to the commencement of this Contract. It is the responsibility of each Contractor to assure that all of their proposed Subcontractors complete the criminal background and disclosure certification forms and submit the forms to the Procuring Officer before they will be approved to perform work on the contract.

Under no circumstances shall the existence of a language barrier serve as a basis for the waiver of or an exception to this obligation. If the Contractor needs to obtain translation services to fulfill this obligation, it shall be at the sole cost and expense of the Contractor.

The Contractor shall be required to make the same inquiry and forward updated Disclosure Forms to the Procuring Officer regarding additional Persons Subject to Disclosure in connection with this Contract during the term of this Contract. **NO NEW PERSON SUBJECT TO DISCLOSURE SHALL PERFORM WORK OR SERVICES OR ENTER ONTO COUNTY PREMISES UNTIL THE UPDATED DISCLOSURE FORMS ARE FILED WITH THE PROCURING OFFICER.**

THE CONTRACTOR HAS A CONTINUING OBLIGATION TO MAINTAIN THE ACCURACY OF THE DISCLOSURE FORMS FOR THE DURATION OF THIS CONTRACT, INCLUDING ANY AMENDMENTS OR EXTENSIONS THERETO AND SHALL PROVIDE ANY UPDATES TO THE PROCURING OFFICER AS NECESSARY TO COMPLY WITH THE DISCLOSURE REQUIREMENTS BY EXECUTIVE ORDER 1-2008.

Any failure by the Contractor to comply with the disclosure requirements of Executive Order 1-2008, absent proof of exemption deemed satisfactory by the County Procuring Officer, may be considered by the County, a material breach by the Contractor and may be grounds for immediate termination of this Agreement by the County.

44. MANDATORY OSHA CONSTRUCTION SAFETY AND HEALTH TRAINING

Pursuant to NYS Labor Law §220-h – On all public work projects of at least \$250,000 all laborers, workers and mechanics employed, in the performance of the contract on the public work site, either by the contractor, sub-contractor or other person doing or contracting to do the

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whole or a part of the work contemplated by the contract, are required to be certified as having successfully completed an OSHA construction safety and health course of at least 10 hours prior to performing any work on the project.



3. GENERAL CLAUSES

DEPARTMENT OF PUBLIC WORKS

Division of Engineering

GENERAL CLAUSES

1. MATERIAL AND WORKMANSHIP

It is the intent of these specifications to require first-class work and new and best quality materials. For any unexpected features arising during the progress of the work and not fully covered herein the specifications shall be interpreted to require first-class work and materials, and such interpretations shall be binding upon the Contractor.

- 1) Upon award of the Contract, the Contractor shall furnish in writing to the Construction Administrator the sources of supply for concrete, and other materials that it proposes to use in the work, and material shall not be furnished from other sources of supply except after written approval by the Construction Administrator. The Contractor shall, before ordering equipment verify that Suppliers of equipment will provide the required warranties, guarantees, and maintenance services.

2. DEFINITIONS

COMMISSIONER - The head of the Department of Public Works of the County of Westchester.

CONSTRUCTION ADMINISTRATOR- The representative of the Commissioner of Public Works at the project site who, unless specifically designated otherwise in the Contract, shall in the first instance, make such determinations as are necessary for the expeditious completion of the Work, except for those determinations that are reserved to the Commissioner.

CONTRACT - Shall mean each of the various parts of these documents both as a whole or severally and except for titles, subtitles, headings and table of contents, shall include the Notice to Bidders, Information for Bidders, the Proposal, the Specifications, the Performance Bond, the Plans, the Contract Form, and all addenda and provisions required by law.

CONTRACTOR - Party of the second part to the Contract acting directly or through its agents, subcontractors, or employees, and who is responsible for all debts pertaining to and for the acceptable performance of the work for which it had contracted.

COUNTY - Party of the first part to the Contract as represented by the Board of Acquisition and Contract and the Commissioner of Public Works for the County of Westchester.

ENGINEER - An Engineer or Architect that designed the project and is serving as the duly authorized representative of the Commissioner of Public Works who, in addition to the duties set forth in the Contract, shall, in the first instance, make such determinations as are necessary to ensure the Contractor's compliance with its obligations for the preparation and submission of shop drawings and all other submittals required for the Work. If there is no Engineer the duties of the Engineer shall be performed by the Construction Administrator and all references in this

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Agreement to the Engineer shall be deemed to mean the Construction Administrator.

MAJOR SUBCONTRACTOR- Subcontractors performing all or a portion of the work for Electrical; Heating, Ventilating and Air Conditioning; Fire Prevention; General Construction; and/or any Subcontractor whose subcontract price is equal to or greater than ten percent (10%) of the Contract Price.

OWNER - The County of Westchester.

PLANS - All official drawings or reproductions of drawings pertaining to the work or to any structure connected therewith.

SPECIFICATIONS - The body of directions, requirements, etc. contained in this present volume, together with all documents of any descriptions and agreements made (or to be made), pertaining to the methods(or manner) of performing the work or to the quantities and quality. Specifications shall also include the Notice to Contractors, Instructions to Bidders, Bond, Proposal and Contract Agreement.

SURETY - The corporate body, which is bound with and for the Contractor and which engages to be responsible for the faithful performance of the contract, and to indemnify the County against all claims for damages.

A.A.S.H.O. - American Association of State Highway Officials

A.R.E.A. - American Railway Engineering Association

A.S.T.M. - American Society for Testing Materials

A.W.W.A. - American Water Works Association

N.E.C. - National Electrical Code

N.E.M.A. - National Electric Manufacturers Association

3. BOUNDARIES OF WORK

The County will provide land or rights-of-way for the work specified in this Contract. Other contractors, employees or concessionaires of the county, may for all necessary purposes enter upon the work and premises used by the Contractor, and the Contractor shall give to other contractors and employees of the County all reasonable facilities and assistance for the completion of adjoining work.

4. OVERLAPPING WORK

The Contractor shall take notice that because of work on other contracts within and adjacent to the contract limits it may not have exclusive occupancy of the territory within or adjacent

GENERAL CLAUSES

to the contract limits, and that during the life of this contract the owners and operators of Public Utilities may make changes in their facilities.

The said changes may be made by utility employees or by contract within or adjacent to the contract limits and may be both temporary and permanent.

The Contractor shall cooperate with other Contractors and owners of various utilities and shall coordinate and arrange the sequence of its work to conform with the progressive operations of work already or to be put under contract. Cooperation with Contractors already or to be engaged upon the site is essential to properly coordinate the construction efforts of all Contractors, Utility Owners and Subcontractors engaged in work within and adjacent to the contract limits.

The Contractor shall coordinate the work of its various Subcontractors. Their respective operations shall be arranged and conducted so that delays are avoided. Where the work of the Contractor or Subcontractor overlaps or dovetails with that of other Contractors, materials shall be delivered and operations conducted so as to carry on the work continuously in an efficient and workmanlike manner. The Contractor shall coordinate its work to be done hereunder with the work of the other Contractor(s) and the Contractor shall fully cooperate with such other Contractor(s) and carefully fit its own work to that provided under other contracts as may be directed by the Construction Administrator. If the Construction Administrator shall determine that the Contractor is failing to coordinate its work with the work of the other Contractor(s) as the Construction Administrator has directed, then the Commissioner shall have the right, at its sole option, to withhold any payments otherwise due hereunder until the Construction Administrator's directions are complied with by the Contractor and/or deduct the costs incurred by the County due to the Contractor's failure or refusal to so cooperate. Delays or oversights on the part of the Contractor or Subcontractors or Utility Owners in performing their work in the proper manner thereby causing cutting, removing and replacing work already in place, shall not be the basis for a claim for extra compensation.

In the event of interference between operations of Utility Owners and other Contractors, or among the Contractors themselves, the Construction Administrator shall be the sole judge of the rights of each Contractor insofar as the sequence of work necessary to expedite the completion of the entire project, and in all cases its decision shall be final. The Contractor agrees that it has included in its unit prices bid for the various items of the contract the possible additional cost of performing the work under this contract because it may not have a clear site for its work and because of possible interference of roadway use, other Contractors and necessary utility work, and the necessity or desirability of opening certain sections of pavement to traffic before the entire work is completed. The County shall not be liable for any damages suffered by any Contractor by reason of another Contractor's failure to comply with the directions of the Construction Administrator, or by reason of another Contractor's default in performance or by any act or failure to act of any Utility Owner or anyone working on its behalf, it being understood that the County does not guarantee the responsibility or continued efficiency of any Contractor or Utility Owner and under no circumstances shall the County be liable to any Contractor or Utility Owner for any delays, interferences or any other impediment or hindrance to the Contractor's or Utility Owner's work .

GENERAL CLAUSES

Should the Contractor sustain any damage through any act or omission of any other contractor having a Contract with the County for the performance of work upon the site or of work which may be necessary to be performed for the proper prosecution of the work to be performed hereunder, or through any act or omission of a supplier or subcontractor of whatever tier of such contractor, the Contractor shall have no claim against the County for such damage, but shall have a right to recover such damage from the other contractor under the provision similar to the following provision that has been or will be inserted in the Contracts with such other contractors.

Should any other Contractor having or who shall hereafter have a Contract with the County for the performance of work upon the site sustain any damage through any act or omission of the Contractor hereunder or through the act or omission of any subcontractor of whatever tier of the Contractor, the Contractor agrees to reimburse such other Contractor for all such damages and to defend at his own expense any suit based upon such claim and if any judgment or claims against the County shall be allowed the Contractor shall pay or satisfy such judgment or claim and pay all costs and expenses, including attorney's fees, incurred by the County in connection therewith and to indemnify and hold the County harmless from all such claims.

The County's right to indemnification hereunder shall not be diminished or waived by its assessment against the Contractor of liquidated damages as may be provided elsewhere herein.

Delays in availability of any part of the site or any delays due to interference between the several Contractors and the Utility Owners shall be compensated for by the Construction Administrator solely through granting an extension of time in which to complete the work of the contract without assessment of Engineering charges. The Contractor in submitting its bid hereby agrees that it shall make no other claim against the County for any damages due to such delays or interference.

5. PROPER METHOD OF WORK AND PROPER MATERIALS

The Construction Administrator shall have the power in general to direct the order and sequence of the work, which will be such as to permit the entire work under this contract to be begun and to proceed as rapidly as possible, and such as to bring the several parts of the work to a successful completion at about the same time.

If at any time before the commencement or during the progress of the work the materials and appliances used or to be used appear to the Construction Administrator as insufficient or improper for securing the quality of work required, or the required rate of progress, he may order the Contractor to increase their efficiency or to improve their character, and the Contractor shall promptly conform to such order; but the failure of the Construction Administrator to demand any increase of such efficiency or improvement shall not release the Contractor from its obligation to secure the quality of work or the rate of progress specified.

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6. CONTROL OF AREA

Unloading of materials and parking of equipment shall be subject to the orders of the Construction Administrator so far as he may find necessary for the protection and safety of the traveling public and the preservation of property.

7. PERMITS, FEES, ETC.

The County will obtain at its sole cost the necessary New York State Pollutant Discharge Elimination System ("SPDES") Permit and will sign the associated Notice of Intent ("NOI"). The Contractor and its subcontractors will sign the required Certification Statement (a copy of which is contained as Proposal Page) when it signs the contract.

All necessary permits from County, State or other concerned Public Authorities shall be secured at the cost and expense of the Contractor. It shall also give all notices required by law, ordinance, or the rules and regulations of the concerned Public Bureaus or Departments, and also as a part of the Contract, comply without extra charge or compensation with all State Laws and all other Ordinances or Regulations that may be applicable to this work. Contractor, however, shall first notify the Commissioner before proceeding with securing of all necessary permits and the giving of required notices.

8. TRAFFIC

The General Contractor shall be responsible for the Maintenance and Protection of traffic at all times until the date of completion and acceptance of its work.

During the whole course of the work the Contractor shall so conduct its work and operations so as to interfere with traffic passing the work as little as possible and effect by every reasonable means the safety and comfort of pedestrians, vehicles and vehicle passengers passing the work.

9. INSPECTION

The Contractor shall at all times provide convenient access and safe and proper facilities for the inspection of all parts of the work. No work, except such shop work as may be so permitted, shall be done except in the presence of the Construction Administrator or his/her assistants. No material of any kind shall be used upon the work until it has been inspected and accepted by the Construction Administrator. All materials rejected shall be immediately removed from the work and not again offered for inspection. Any materials or workmanship found at any time to be defective shall be remedied at once, regardless of previous inspection. The inspection and supervision of the work by the Construction Administrator is intended to aid the Contractor in supplying labor and materials in accordance with the specifications, but such inspection shall not operate to release the Contractor from any of its contract obligations.

10. STOPPING WORK

The Commissioner, Construction Administrator or Engineer may stop by written order any work or any part of the work under this contract if, in his/her opinion, the methods employed

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or conditions are such that unsatisfactory work might result. When work is so stopped it shall not be resumed until the methods or conditions are revised to the satisfaction of the Commissioner, which must be signified in writing. The Contractor agrees to make no claim for increased costs arising from the issuance of any stop work order.

11. DIMENSIONS

Figured dimensions on the plans shall be given preference over scaled dimensions, but shall be checked by the Contractor before starting construction. Any errors, omissions or discrepancies shall be brought to the attention of the Engineer and his/her decision thereon shall be final.

12. PAYMENTS TO COUNTY

Wherever in the Contract Documents the Contractor is required to make a payment to the County, the Contractor agrees that the County has the option to withhold such sum(s) from payments otherwise due to the Contractor and that all such sums withheld shall be deemed not to be earned by the Contractor.

13. PROTECTION OF UTILITIES AND STRUCTURES

The Contractor shall be responsible for the preservation of all public and private underground and surface utilities/structures at or adjacent to the construction work; insofar as they may be endangered by the work. This shall hold true whether or not they are shown on the contract drawings. If they are shown on the drawings, the County does not guarantee their locations even though the information will be from the best available sources.

The Contractor shall give ample and reasonable notice to all private, corporate or municipal owners before work is done near their utility or structure; shall properly protect all utilities/structures encountered; shall at their expense repair/replace any items that are damaged; and shall proceed with caution to prevent undue interruptions to utility services.

Investigation and/or on-site mark-out, by the County, must be done prior to excavation work at the Valhalla Campus. This investigation/mark-out is to serve as a guide for the Contractor and does not absolve the Contractor from the responsibility to repair/replace identified or non-identified utilities/structures, at no cost to the County.

All excavation work performed at the Valhalla Campus requires the submission of a completed "Ground Penetration" form/sketch(es) will be distributed to the appropriate utility owners. Therefore, the Contractor should assume that no excavation work can be performed until approximately twenty (20) working days after submission of the form/sketch(es), but not prior to approval by the DPW-BO Superintendent of Buildings.

14. PROTECTION OF WATER RESOURCES & THE ENVIRONMENT

The Contractor is responsible to review the specifications and drawings as they relate to this Agreement to ascertain what procedures must be followed in order to comply with all applicable stormwater management, water quality control, erosion, and sediment control

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laws, rules, regulations and permits. If the Contractor is of the opinion that any work required, necessitated, or contained in the specifications or otherwise ordered conflicts with the applicable stormwater management, water quality control, erosion, and sediment control laws, rules, regulations, procedures, and permits, including, without limitation, all applicable provisions of the New York State Stormwater Management Design Manual, and the New York Standards and Specifications for Erosion and Sediment Control as they may be amended from time to time, it must promptly notify the First Deputy Commissioner of the Department of Public Works in writing.

In addition to all other requirements contained in this Agreement, the Contractor recognizes and understands that it is an essential element of this Agreement that the Contractor complies with the County's policies to protect water resources and the environment. The Contractor must comply with all applicable stormwater management, water quality control, erosion, and sediment control laws, rules, regulations, permits, procedures and specifications, including, without limitation, all applicable provisions of the New York State Stormwater Management Design Manual,¹ the New York Standards and Specifications for Erosion and Sediment Control as they may be amended from time to time. All of these documents should be obtained from the New York State Department of Environmental Conservation to ensure that the Contractor has the latest version. It should be noted that the standards set forth in the New York State Stormwater Management Design Manual, and the New York Standards and Specifications for Erosion and Sediment Control apply to ALL work done for the County, regardless of the size of the project. In case of a conflict among the governmental regulations and standards, the most stringent regulation, standard or recommendation shall apply to the work done under this Agreement.

The Contractor and its subcontractors shall execute the required Stormwater Pollution Prevention Certification, which is located at Proposal Page 20. In addition, the Contractor acknowledges that if the work required under this Agreement requires that a State Pollutant Discharge Elimination System ("SPDES") permit be obtained from the New York State Department of Environmental Conservation, then the Contractor must comply with the terms and conditions of the SPDES permit for stormwater discharges from construction activities and the Contractor will not take any action or fail to take any necessary action that will result in the County being held to be in violation of said permit or any other permit. The Contractor shall cooperate with the County in obtaining the permit and comply with the SPDES permit and all other applicable laws, rules, regulations and permits.

The Contractor shall provide, as the Commissioner or his designee may request, proof of compliance with the County's policies to protect water resources and the environment, and all applicable stormwater management, water quality control, erosion and sediment control laws, rules, regulations, permits, procedures and specifications.

The Contractor is responsible to ascertain which of the laws, rules, regulations, permits and standards referenced above affect its construction activities, and the Contractor shall be solely responsible for all costs and expenses, including any penalties or fines, incurred by the County, due to the Contractor's failure to comply with such applicable laws, rules,

¹ available at <http://www.dec.state.ny.us/website/dow/swmanual/swmanual.html> - The location of this reference is provided to assist the Contractor; it does not relieve the Contractor from the obligation of obtaining and complying with the latest version of the document.

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permits, regulations, standards and County policies. The Contractor shall be responsible to defend and indemnify the County from any and all claims resulting from the Contractor's failure to comply with the applicable laws, rules, regulations, permits, standards and County policies.

Failure of the Contractor to comply with the County's policies to protect water resources and the environment, and all applicable stormwater management, water quality control, erosion and sediment control laws, rules, regulations, permits, procedures and specifications may result in the withholding of progress payments to the Contractor by the County. Such withholding of progress payments shall not relieve the Contractor of any requirements of the Agreement including the completion of the work within the specified time, and any construction sequence requirement of the Agreement.

The Contractor acknowledges that its failure to comply with the County's policies to protect water resources and the environment, and all applicable stormwater management, water quality control, erosion and sediment control laws, rules, regulations, permits, procedures and specifications shall constitute a material breach under this contract. For the breach or violation of this provision, without limiting any other rights or remedies to which the County may be entitled, the County shall have the right, in its sole discretion to suspend, discontinue or terminate this Agreement immediately upon notice to the Contractor. In such event, the Contractor shall be liable to the County for any additional costs incurred by the County in the completion of the project.

The failure of the Contractor to comply with these requirements could lead to a determination that the Contractor is not a responsible bidder when the Contractor is bidding on other projects.

15. SANITARY REGULATIONS

The Contractor shall obey and enforce such sanitary regulations and orders and shall take such precautions against infectious diseases as may be deemed necessary. The building of shanties or other structures for housing the men, tools, machinery or supplies will be permitted only at approved places, and the sanitary condition of the grounds in and at such shanties or other structures must be at all times maintained in a satisfactory manner.

16. CLEANING UP

Upon completion of the work, the Contractor shall remove all equipment, rubbish, debris and surplus materials from the buildings, and grounds, and provide a suitable dumping place for such materials. The premises shall be left in a neat, clean and acceptable condition.

No litter, debris of any kind shall be allowed to accumulate for more than one day in any portion of the buildings or grounds, and must be removed from the area at the end of each workday.

17. PREVENTION OF DUST HAZARD

In accordance with the New York State Labor Law, Section 22a, in the event a silica or other harmful dust hazard is created due to construction operations under the contract, the Contractor shall install, maintain and keep in effective operation the appliances and methods

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for the elimination of such silica dust or other harmful dust as have been recommended and approved by State and local authorities.

18. REPRESENTATIVE ALWAYS PRESENT

The Contractor in case of its absence from the work shall have a competent representative **fluent in English** or foreman present, who shall obey without delay, all instructions of the Construction Administrator in the prosecution and completion of the work in conformity with this contract, and shall have full authority to supply labor and material immediately.

19. WORK IN BAD WEATHER

During freezing, stormy or inclement weather, no work shall be done except such as can be done satisfactorily and in a manner to secure first-class construction throughout.

20. PROTECTION OF WORK UNTIL COMPLETION

The Contractor shall be responsible for the protection and maintenance of its work until the same has been accepted by the Owner and shall make good any damage to the work caused by floods, storms, settlements, accidents, or acts of negligence by its employees or others so that the complete work when turned over to the Owner will be in first-class condition and in accordance with the plans and specifications.

21. REMOVAL OF TEMPORARY STRUCTURES AND CLEANING UP

On or before the completion of the work the Contractor shall, without charge therefore, tear down and remove all buildings and other structures built by him for facilitating the carrying out of the work, shall remove all rubbish of all kinds from the grounds which he has occupied, shall do any small amount of additional trimming and grading and shall leave the entire work and premises clean, neat and in good condition. The Contractor shall provide at its own expense suitable dumping places for such material. When the necessity for protecting traffic ends, the Contractor shall remove all signs, lighting devices, barricades and temporary railings from the site of the work.

22. GROSS LOADS HAULED ON HIGHWAY

The Contractor shall at no time during the construction of this contract, haul gross loads exceeding the legal limit prescribed by the Highway Law over the highways of access to, or the highway included in this contract.

23. CONCRETE BATCH PROPORTIONS - YIELD

No Construction Administrator or Engineer is authorized to instruct or inform the Contractor, or any of its agents or employees, or its concrete supplier as to the weights of the ingredients to be used to produce a cubic yard of concrete or as to the yield to be used to produce a cubic yard of concrete or as to the yield to be expected from any batch. The Contractor shall make its own determination and give its own instructions to its agents, employees and concrete supplier as to the total quantity of ingredients to be purchased as a

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cubic yard of concrete. The right is reserved to the Construction Administrator and Engineer, however, to verify yields after batch weights have been established by the Contractor and to order a reduction in total weight per load in the event his/her calculations show that the rated capacity of truck mixers, if approved for use, will be exceeded.

24. DAMAGE DUE TO CONTRACTOR'S OPERATIONS

In the event that damage is caused to structures, surfacing, pavement, shrubbery, trees or to grassed areas through trucking operations, delivery of materials, the actual performance of the work, or other causes, the Contractor shall fully restore the same to their original condition at its own expense. In the event that more than one contractor causes damages to any one area, the Director of Project Management will apportion the amount of repair work to be done by each contractor. The decision of the Director of Project Management shall be final and binding upon the Contractor(s) and may not be challenged except pursuant to a proceeding brought pursuant to Article 78 of the Civil Practice Law and Rules.

25. PROPERTY DAMAGE

The Contractor shall not enter upon nor make use of any private property along the line of work except when written permission is secured from the owner of that property. In case of any damage or injury done along the line of work in consequence of any act or omission on the part of the Contractor, or any one in its employ, in carrying out the contract, the Contractor shall at its own expense restore the same or make repairs as are necessary in consequence thereof in a manner satisfactory to the owner of the affected property; provided, however, that the obligation thus assumed by the Contractor shall not inure directly or indirectly to the benefit of any insurer of physical damage to property or loss of use, rents or profits of property regardless of whether the insurer has actually paid the claim or made only a loan to its insured, nor to the latter if it shall waive or abandon any claim against its insurer or insurers.

In case of failure on the part of the Contractor to restore or repair such property in a manner satisfactory to the owner of the affected property, the party of the first part may upon forty-eight hours notice to the Contractor proceed with such restoration or repair. The expense of such restoration or repair shall be deducted from any monies, which are due or may become due the Contractor under its contract. The Construction Administrator shall be the sole judge as to what constitutes failure to restore or repair as above stated and service of notice by mail addressed to the Contractor at the address stated in the proposal shall be sufficient.

26. CLAIMS FOR DAMAGES

The Contractor agrees that it will make no claim against the County or any of its representatives for damages for delay, interference or disruption of any kind in the performance of its Contract and further agrees that any such claim arising from acts or failure to act of the County or any of its representatives shall be fully and exclusively compensated for by an extension of time to complete the performance of the work as provided herein.

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27. EXTENSIONS OF TIME

An extension or extensions of time may be granted only by the Commissioner and only upon a verified application therefore by the Contractor. Each application for an extension of time must set forth in detail the nature of each cause of delay in the completion of the work, the date upon which each such cause of delay began and ended, and the number of days attributable to each of such causes. If the schedule for this project is based upon the Critical Path Method, the Contractor must also demonstrate that the delay for which an extension of time is sought occurred on the critical path. A formal written notice of the Contractor's intent to apply for an extension of time must be submitted to the Commissioner within seven (7) calendar days of the start of the alleged delay. The formal application for the extension of time must be submitted to the Commissioner no later than ten (10) calendar days after the end of the delay, but in no event later than the Contractor's submittal of its application for its substantial completion payment. The failure of the Contractor to timely submit either its formal written notice of its intent to apply for an extension of time or the application thereof shall be deemed a waiver of any entitlement to any extension of time.

The Contractor shall be entitled to an extension of time for delay in completion of the work caused solely (1) by the acts or omissions of the County, its officers, agents or employees; or (2) by the acts or omissions of other Contractors on this project; or (3) by supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, Acts of God, excessive inclement weather, war, or any other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the Contractor).

The Contractor shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the work as determined by the Engineer or Commissioner. If one of multiple causes of delay operating concurrently results from any act or omission of the Contractor or of its subcontractors of whatever tier, and would of itself (irrespective of concurrent causes) have delayed the work, no extension of time will be allowed for the period of delay resulting from such act or omission and the Contractor shall re-arrange his Progress Schedule and operations so as to complete the Work within the time set forth in the Contract and minimize the impact of the Work on the other Prime Contractors.

The determination made by the Commissioner or Engineer on an application for an extension of time shall be binding and conclusive on the Contractor and may not be challenged except in a proceeding commenced pursuant to Article 78 of the Civil Practice Law and Rules.

Permitting the Contractor to continue with the work after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the Contractor after such time, shall not operate as waiver on the part of the County of any of its rights or remedies under this contract nor shall it relieve the Contractor from his obligation under the Contract, including without limitations its liability to the County for liquidated damages, engineering costs, delays, damages, and/or costs incurred by the County.

If the Commissioner deems it advisable and expedient to have the Contractor complete and furnish the Work after the expiration of the time of Completion of Work (see "Required

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Time For Completion Of The Work” of the General Requirements) and in order that the County’s fiscal officers may be permitted to make payment to the Contractor for Work performed beyond that date, the Commissioner may extend the Contract solely for the purpose of enabling the Contractor to be paid for Work performed. This extension shall in no way relieve the Contractor from his obligation under the Contract, including without limitations its liability to the County for liquidated damages, engineering costs, delays, damages, attorney’s fees and/or costs incurred by the County, nor shall such extension of time be asserted by the Contractor in any action or proceeding as evidence that it completed its work in a timely manner.

The time necessary for review by the Engineer of all submittals including vendors, shop drawings, substitutions, etc., and delays incurred by normal seasonal and weather conditions should be anticipated and is neither compensatory nor eligible for Extensions of Time.

When the Work embraced in the Contract is not completed on or before the date specified herein, engineering and inspection expenses incurred by the County of Westchester upon the Work from the completion date originally fixed in the Contract to the final date of completion of the Work may be charged to the Contract and be deducted from the final monies due the Contractor.

28. REQUEST FOR APPROVAL OF EQUAL

A. GENERAL REQUIREMENTS

Wherever in the Contract Documents an article, material, apparatus, product or process is called for by trade name or catalog reference, or by the name of the patentee, manufacturer or dealer, it is understood that it constitutes the standard requirement to meet the contract specifications. Where two or more articles, materials, apparatus, products or processes are listed as acceptable by reference to trade name or otherwise, the choice of these will be optional to the bidder.

Bidders may base their bid on one of the specified items, or they may base their bid on an “equal”. However, the bidder should be aware that the County makes the final determination as to what constitutes an equal.

If the Engineer shall reject the proposed equal as not being the equal of that specifically named in the contract, the successful bidder (Contractor) shall immediately proceed to furnish the designated article, material, apparatus, product or process as specified or an approved equal without additional cost or time delay to the County.

B. REVIEW PROCESS

- 1) Within fifteen (15) days from the Notice to Proceed, requests for approval of equals must be proposed to the Commissioner on the “Request For Approval Of Equal” form of the Sample Forms. This Period for submitting requests will be strictly enforced. Such requests shall conform to the requirements of this Article.
- 2) Requests for approval of equals will be received and considered from Prime Contractors only and not from manufacturers, suppliers, Subcontractors, or other third parties.
- 3) If the materials and equipment submitted are offered as equals to the Contract

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Documents the Contractor shall advise the County and the Engineer of the requested equal and comply with the requirements hereinafter specified in this Article.

- 4) Where the acceptability of an equal is conditioned upon a record of satisfactory operation and the proposed equal does not fulfill this requirement, the Engineer, at his/her sole discretion, may accept the equal if the Contractor provides a bond or cash deposit which guarantees replacement at no cost to the County for any failure occurring within the specified time. The equal item must meet all other technical requirements contained in the Specification.
- 5) The successful bidder shall furnish such information as required by the Engineer to demonstrate that the equal article, material, apparatus, product or process is the equal of that specified in quality, finish, design, efficiency and durability and has been elsewhere demonstrated to be equally serviceable for the purpose for which it is intended. The Contractor shall set forth the reasons for desiring to utilize the proposed equal.
- 6) Contractor shall submit:
 - a. For each proposed request for approved equal sufficient details, complete descriptive literature and performance data together with samples of the materials, where feasible, to enable the Engineer to determine if the proposed request for approved equal is equal, including manufacturer's brand or trade names, model numbers, description of specification of item, performance data, test reports, samples, history of service, and other data as applicable.
 - b. Certified tests, where applicable, by an independent laboratory attesting that the proposed equal is equal.
 - c. A list of installations where the proposed equal equipment or materials is performing under similar conditions as specified.
- 7) Requests for approval of equal after the period set forth in B. REVIEW PROCESS, Paragraph 1, above will not be accepted for evaluation except in case of strikes, discontinuance of manufacturer or other reason deemed valid by the Engineer whereby the specified products or those approved are unattainable. In such case the Contractor shall provide substantial proof that the acceptable products are unavailable.
- 8) Where the approval of an equal requires revision or redesign of any part of Work, including that of other Contracts, all such revision and redesign, and all new drawings and details required therefore, shall be provided by the Contractor at its own cost and expense, and shall be subject to the approval of the Commissioner.
- 9) In the event that the Engineer is required to provide additional engineering services, then the engineer's charges for such additional services shall be promptly paid by the Contractor to the County.
- 10) Any modifications in the Work required under other Contracts to accommodate the changed design will be incorporated in the appropriate Contracts and any resulting increases in Contract prices will be paid by the Contractor who initiated the

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changed design to the County.

- 11) In all cases the Engineer shall be the judge as to whether a proposed equal is to be approved. The Contractor shall abide by his/her decision when proposed equal items are judged to be unacceptable and shall in such instances furnish the item specified or indicated. No equal items shall be used in the Work without written approval of the Engineer.
- 12) In making request for approval of equal, Contractor represents that:
 - a. Contractor has investigated proposed equal, and determined that it is equal to or superior in all respects to the product, manufacturer or method specified.
 - b. Contractor will provide the same or better warranties or bonds for proposed equal as for product, manufacturer or method specified.
 - c. Contractor waives all claims for additional costs or extension of time related to proposed equal that subsequently may become apparent.
 - d. Contractor shall have and make no claim for an extension of time or for damages by reason of the time taken by the Engineer in considering an equal proposed by the Contractor or by reason of refusal of the Engineer to approve an equal proposed by the Contractor. Any delays arising out of consideration, approval, or utilization of an equal shall be the sole responsibility of the Contractor requesting the equal and it shall arrange its operations to make up the time lost.
- 13) Proposed Equal Will Not Be Accepted If:
 - a. Acceptance will require substantial revision of Contract Documents.
 - b. They will change design concepts or Technical Specifications.
 - c. They will delay completion of the Work, or the Work of other Contractors.
 - d. They are indicated or implied on a Shop Drawing and are not accompanied by a formal request for approval of equal from Contractor.
- 14) Only those products originally specified and/or added by approved requests for equals submitted in accordance with the preceding paragraphs may be used in the Work. Whenever requests for equals are approved, it shall be understood that such approval is conditional upon strict conformance with all requirements of the Contract and further subject to the following:
 - a. Any material or article submitted for approval in accordance with the above procedure must be equal, in the sole opinion of the Engineer, to the material or article specified. It must be readily available in sufficient quantity to prevent delay of any Work; it must be available in an equivalent color, texture, dimension, gauge, type and finish as to the item or article specified; it must be equal to the specified item in strength, durability, efficiency, serviceability, compatibility with existing systems, ease and cost of maintenance; it must be compatible with the design and not necessitate substantial design modifications; it must be equal in warranties and guarantees; its use must not impose substantial additional Work, or require substantial changes in the Work of any

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other Contractor. Availability of spare parts shall be assured for the useful life of the Project.

- b. The Engineer reserves the right to disapprove, for aesthetic reasons, any material or equipment on the basis of design or color considerations alone, without prejudice to the quality of the material or equipment, if the manufacturer cannot meet the required colors or design.
 - c. All requests for approval of equals of materials or other changes from the contract requirements shall be accompanied by an itemized list of all other items affected. The Engineer shall have the right, if such is not done, to rescind any approvals for equals or changes and to order such Work removed and replaced with Work conforming to the specified requirements of the contract, all at the Contractor's expense, or to assess all additional costs resulting from the equal to the Contractor.
- 15) Approval of an equal will not relieve Contractor from the requirement to submit Shop Drawings or any of the provisions of the Contract Documents.
- 16) In the event that the Engineer is required to provide additional engineering services as a result of a request for approval of an equal of materials or equipment which are not "or equal" by the Contractor, or changes by the Contractor in dimension, weight, power requirements, etc., of the equipment and accessories furnished, or as a result of Contractor's errors, omissions or failure to conform to the requirements of the Contract Documents or if the Engineer is required to examine and evaluate any changes proposed by the Contractor solely for the convenience of the Contractor, or for evaluation of deviations from Contract Documents, then the Engineer's charges in connection with such additional services shall be paid by the Contractor to the County.
- 17) The Contractor shall respond to required submittals with complete information and with a degree of accuracy to achieve approvals within three (3) submissions. All costs to the Engineer involved with subsequent submissions requiring approval, will be paid by the Contractor to the County.

29. SUBSTITUTION

- A. Should the Contractor desire to substitute other articles, materials, apparatus, products or processes than those specified or approved as equal, the Contractor shall apply to the Engineer in writing for approval of such substitution. It should be noted that the bid shall not be based on a substituted article, material, apparatus, product or process. With the application shall be furnished such information as required by the Engineer to demonstrate that the article, material, apparatus, product or process he wishes to use is the equivalent of that specified in quality, finish, design, efficiency and durability and has been elsewhere demonstrated to be equally serviceable for the purpose for which it is intended. The Contractor shall set forth the reasons for desiring to make the substitution and shall further state what difference, if any, will be made in the construction schedule and the contract price for such substitution should it be accepted; it being the intent hereunder that any savings shall accrue to the benefit of the County.

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- B. If the Engineer shall reject any such desired substitution as not being the equivalent of that specifically named in the contract, or if it shall determine that the adjustment in price in favor of the County is insufficient, the Contractor shall immediately proceed to furnish the designated article, material, apparatus, product or process.
- C. Request for substitutes must be proposed to the Commissioner on the "Request For Approval Of Substitution" form of the Sample Forms. Such requests shall conform to the requirements of this Article.
- D. Requests for substitutions shall include full information concerning differences in cost, and any savings in cost resulting from such substitutions shall be passed on to the County.
- E. Requests for utilization of substitutes will be reviewed during the course of the project. The impact on the project and the timeliness of submission will be of key consideration.
- F. The approval of utilization of a substitute is subject to the sole and final discretion of the Engineer.
- G. REVIEW PROCESS
 - 1) Requests for approval of substitutions will be received and considered from Prime Contractors only and not from manufacturers, suppliers, Subcontractors, or other third parties.
 - 2) If the materials and equipment submitted are offered as substitutions to the Contract Documents or approved equal the Contractor shall advise the County and the Engineer of the requested substitutions and comply with the requirements hereinafter specified in this Article.
 - 3) Where the acceptability of substitution is conditioned upon a record of satisfactory operation and the proposed substitution does not fulfill this requirement, the Engineer, at his/her sole discretion, may accept the substitution if the Contractor provides a bond or cash deposit which guarantees replacement at no cost to the County for any failure occurring within the specified time. The substitution item must meet all other technical requirements contained in the Specification.
 - 4) The Contractor shall furnish such information as required by the Engineer to demonstrate that the equal article, material, apparatus, product or process is the equivalent of that specified in quality, finish, design, efficiency and durability and has been elsewhere demonstrated to be equally serviceable for the purpose for which it is intended and/or that it offers substantial benefits to the County in saving of time and/or cost. The Contractor shall set forth the reasons for desiring to make this substitution.
 - 5) Contractor shall submit:
 - a. For each proposed request for approved substitute sufficient details, complete descriptive literature and performance data together with samples of the materials, where feasible, to enable the Engineer to determine if the proposed request for approval should be granted, including manufacturer's brand or trade names, model numbers, description of specification of item, performance data, test reports, samples, history of service, and other data as applicable.

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- b. Certified tests, where applicable, by an independent laboratory attesting to the performance of the substitute.
 - c. A list of installations where the proposed substitute equipment or materials is performing under similar conditions as specified.
- 6) Where the approval of a substitute requires revision or redesign of any part of Work, including that of other Contracts, all such revision and redesign, and all new drawings and details required therefore, shall be provided by the Contractor at its own cost and expense, and shall be subject to the approval of the Engineer.
- 7) In the event that the Engineer is required to provide additional engineering services, then the engineer's charges for such additional services shall be paid by the Contractor to the County.
- 8) Any modifications in the Work required under other contracts to accommodate the changed design will be incorporated in the appropriate contracts and any resulting increases in contract prices will be charged to the Contractor by the County who initiated the changed design.
- 9) In all cases the Engineer shall be the judge as to whether a proposed substitute is to be approved. The Contractor shall be bound by his/her decision. No substitute items shall be used in the Work without written approval of the Engineer.
- 10) In making request for approval of substitute, Contractor represents that:
- a. Contractor has investigated proposed substitute, and determined that it is equal to or superior in all respects to the product, manufacturer or method specified or offers other specified advantages to the County.
 - b. Contractor will provide the same or better warranties or bonds for proposed substitute as for product, manufacturer or method specified.
 - c. Contractor waives all claims for additional costs or extension of time related to proposed substitute that subsequently may become apparent.
 - d. Contractor shall have and make no claim for an extension of time or for damages by reason of the time taken by the Engineer in considering a substitute proposed by the Contractor or by reason of failure of the Engineer to approve a substitute proposed by the Contractor. Any delays arising out of consideration, approval, or utilization of a substitute shall be the sole responsibility of the Contractor requesting the substitute and it shall arrange its operations to make up the time lost.
- 11) Proposed substitute will not be accepted if:
- a. Acceptance will require substantial revision of Contract Documents.
 - b. They will substantially change design concepts or Technical Specifications.
 - c. They will delay completion of the Work, or the Work of other Contractors.
 - d. They are indicated or implied on a Shop Drawing and are not accompanied by a formal request for approval of substitute from Contractor.
- 12) The Engineer reserves the right to disapprove, for aesthetic reasons, any material or

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equipment on the basis of design or color considerations alone, without prejudice to the quality of the material or equipment, if the manufacturer cannot meet the required colors or design.

- 13) All requests for approval of substitutes of materials or other changes from the contract requirements, shall be accompanied by an itemized list of all other items affected by such substitution or change. The Engineer shall have the right, if such is not done, to rescind any approvals for substitutions and to order such Work removed and replaced with Work conforming to the specified requirements of the contract, all at the Contractor's expense, or to assess all additional costs resulting from the substitution to the Contractor.
- 14) Approval of a substitute will not relieve Contractor from the requirement to submit Shop Drawings or any of the provisions of the Contract Documents.
- 15) In the event that the Engineer is required to provide additional engineering services as a result of a request for approval of a substitute results in changes by the Contractor in dimension, weight, power requirements, etc., of the equipment and accessories furnished, or as a result of Contractor's errors, omissions or failure to conform to the requirements of the Contract Documents or if the Engineer is required to examine and evaluate any changes proposed by the Contractor solely for the convenience of the Contractor, or for evaluation of deviations from Contract Documents, then the Engineer's charges in connection with such additional services shall be paid by the Contractor.
- 16) Structural design shown on the Drawing is based upon the configuration of and maximum loading for major items of equipment as indicated on the Drawings and as specified. If the substituted equipment furnished differs from said features, the Contractor shall pay to the County all costs of redesign and for any construction changes required to accommodate the equipment furnished, including the Engineer's charges in connection therewith.
- 17) The Contractor shall respond to required submittals with complete information and with a degree of accuracy to achieve approvals within two (2) submissions. All costs to the Engineer involved with subsequent submissions of Shop Drawings, Samples or other items requiring approval, will be paid by the Contractor to the County, by deducting such costs from payments due for Work completed. In the event an approved item is requested by the Contractor to be changed or substituted for, all costs involved in the reviewing and approval process will likewise be backcharged to the Contractor unless determined by the Engineer that the need for such substitution and/or deviation from Contract Documents is beyond the control of the Contractor.

30. EXTRA WORK: INCREASED COMPENSATION/DECREASED WORK: CREDIT TO THE OWNER

The Director of Project Management may, at any time, by a written order, and without notice to the sureties, require the performance of Extra Work or require or approve changes in the work, or Decreased Work ("work" to include but not be limited to specified methods of performing work) as he may deem necessary or desirable. The amount of compensation

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to be paid to the Contractor for any Extra Work, as so ordered, or credit to the Owner for such decreased work, as so ordered or approved, shall be determined as follows:

- 1) **First:** By such applicable unit prices, if any, as set forth in the Contract; or
- 2) **Second:** If no such prices are so set forth, then by unit prices or by a lump sum, or sums, mutually agreed upon by the Director of Project Management and the Contractor; or
- 3) **Third:** If, in the opinion of the Director of Project Management, the aforesaid unit prices, under "First" above, are not applicable, or if the two parties hereto cannot reach agreement as to new unit prices or a lump sum, or sums, under "Second" above, then by the actual net cost in money to the Contractor of the materials and of the wages of applied labor (including cost of supplements provided and premiums for Workmen's Compensation Insurance, FICA, and Federal and State Unemployment Insurance) required for such Extra Work, plus twenty (20%) percent as compensation for all items of profit and costs or expenses including administration, overhead, superintendence, insurance (other than those specifically noted above) materials used in temporary structures, allowances made by the Contractor to subcontractors, including those made for overhead and profit, additional premiums upon the performance bond of the Contractor and the use of small tools and any and all other costs and expenses not enumerated above, plus such rental for plant and equipment (other than small tools) required and approved for such extra work. Where extra work is performed by a Subcontractor, the twenty percent stipulated above shall be divided between the Contractor and the Subcontractor as per their contractual agreement, or if not defined therein, then as the Contractor sees fit.

Rental rates for any power operated machinery, trucks or equipment, which it may be found necessary to use as in "Third" above, shall be reasonable and shall be based on those prevailing in the area of the County where such work is to be done, and they shall be agreed upon in writing before the work is begun.

In no case shall the rental rates submitted exceed the rates set up in the current edition of "Equipment Watch" plus the cost of fuel and lubricants.

These rates shall include all repairs, fuel, lubricants, applicable taxes, insurance, depreciation, storage and all attachments complete, ready to operate, but excluding operators. Operators shall be paid as stated here in above for labor.

For equipment, which is already on the project, the rental period shall start when ordered to work by the Construction Administrator, and shall continue until ordered to discontinue by him. The minimum payment for any one rental period shall be four hours, unless otherwise agreed upon between the Construction Administrator and the Contractor.

For equipment which has to be brought to the project, specifically for use as in "Third" above, the County will pay all loading and unloading costs, also all transportation costs will not be paid, if the equipment is used for work other than in "Third" above while on the project. The rental period shall begin at the time the equipment has been unloaded on the

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project, and shall end on and include the day the order to discontinue the use of the equipment as in "Third" above is given to the Contractor by the Construction Administrator.

The daily rate shall apply for rental periods of four calendar days or less, the weekly rate shall apply for rental periods of more than four and not exceeding twenty-one calendar days, and the monthly rate shall apply for rental periods in excess of twenty-one calendar days. For fractional periods above the full unit rental period (day, week, month) reimbursement shall be proportioned on the basis of the applicable rental period. (Day-8 hrs.; Week-7 calendar days; Month-30 calendar days).

No percentage shall be added to the amounts of equipment rental prices agreed upon, but the price agreed upon shall be the total compensation allowed for the use of such equipment.

The provisions hereof shall not affect the power of the Contractor to act in case of emergency.

31. DISPUTED WORK - NOTICE OF CLAIMS FOR DAMAGES

If the Contractor is of the opinion that any work required, necessitated, or ordered violates or conflicts with or is not required by the terms and provisions of this Contract, it must promptly, within five (5) calendar days after being directed to perform such work, notify the Construction Administrator, in writing, of its contentions with respect thereto and request a final determination thereon. If the Construction Administrator determines that the work in question is contract and not extra work, or that the order complained of is proper, he will direct the Contractor in writing to proceed and the Contractor shall promptly comply. In order, however, to preserve its right to claim compensation for such work or damages resulting from such compliance, the Contractor must, within seven (7) calendar days after receiving notice of the Construction Administrator's determination and direction, notify the Construction Administrator, in writing that the work is being performed or that the determination and direction is being complied with, under protest. Failure of the Contractor to so notify shall be deemed as a waiver of claim for extra compensation or damages therefore.

While the Contractor is performing disputed work or complying with a determination or order under protest in accordance with this Article, in each such case the Contractor shall furnish the Construction Administrator daily with three copies of written statements signed by the Contractor's representatives at the site showing:

- 1) the name of each worker employed on such work or engaged in complying with such determination or order, the number of hours employed thereon, and the character of the work each is doing; and
- 2) the nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such work or compliance with such order, and from whom purchased or rented.

It is expressly agreed that no dispute over the scope of the Contractor's work or any portion thereof shall cause any delay or interruption to the Contractor's work.

In addition to the foregoing statements, the Contractor shall, upon notice from the Board of Acquisition and Contract, produce for examination by the duly appointed representative of

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the Board of Acquisition and Contract, all its books of accounts, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books and canceled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this contract, and submit itself, its agents, servants and employees for examination under oath by any duly appointed representative designated by the Board of Acquisition and Contract to investigate claims made against the County. Unless the aforesaid statements shall be made and filed within the time aforesaid and the aforesaid records submitted for examination and the Contractor, its agents, servants, and employees submit themselves for examination as aforesaid, the County shall be released from all claims arising under, relating to or by reason of this contract, except for the sums certified by the Construction Administrator to be due and agreed that no person has power to waive any of the foregoing provisions, and that in any action against the County to recover any sum in excess of the sums certified by the Construction Administrator to be due under or by reason of this contract, the Contractor must allege in its complaint and prove, at the trial, strict compliance with the provisions of this article.

Before final acceptance of the work by the County, all matters of dispute must be adjusted to the mutual satisfaction of the parties thereto. Determinations and decisions in case any question shall arise, shall constitute a condition precedent to the right of the Contractor to receive the money therefore, until the matter in question has been adjusted.

32. CONTRACTOR'S SUBCONTRACTS AND MATERIAL LISTS

Within fifteen (15) days after execution of the Contract, the successful bidder shall submit to the County for approval a list of the subcontractors, materialmen and materials that he/she plans to use in the performance of the work and statements of the work they are to perform. The format and content of the list shall be in accordance with directives from the Construction Administrator. He/sit shall also submit additional information regarding their qualifications as may be later requested by the County. No part of the work may be sublet until after the Contractor has received the County's approval.

The Contractor shall be fully responsible for all acts and omissions of its subcontractors and persons directly or indirectly employed by them, and the County's approval to sublet parts of the work will in no way relieve the Contractor of any of its obligations under the Contract. All dealings of the Construction Administrator with the subcontractors shall be through the Contractor, subcontractors being recognized by the County only as employees of the Contractor.

By executing the Agreement, the Contractor represents that the Contractor shall insert appropriate clauses in all subcontracts to bind the subcontractors to the Contractor by all applicable provisions of the Contract Documents executed between the Contractor and the County, but this shall not be construed as creating any contractual relationships between subcontractors and the County. Prior to approval of the subcontractors, the County has the right to review and recommend changes in the subcontracts. The County reserves the right to reject any subcontractor proposed by the Contractor if in the reasonable opinion of the County such subcontractor lacks the experience, capability or integrity to perform its subcontract work or is otherwise non-responsible.

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By executing the Agreement, the Contractor represents that the Contractor shall insert appropriate clauses in each subcontract that require that if the Contractor is terminated by the County either for default or convenience that at the sole option of the County the subcontract shall automatically attach to the County and the subcontractor shall continue without delay or interruption to fully perform all of the obligations required by its subcontract.

Where the specifications permit the Contractor a choice of different materials or manufactured products, it shall state the choice he has made in making up its bid, with the understanding that all choices must subsequently be approved by the Commissioner, after award of the contract to the successful bidder. If the bidder wishes to propose utilization of materials or manufactured products other than those specified, it shall so state and submit the required information in accordance with Article "Request For Approval Of Equal" of the General Clauses."

33. ASSIGNMENT OF CONTRACT

The Contractor shall not assign, transfer, convey or otherwise dispose of the contract or any part of it or any monies due and payable under the contract, without prior written approval of the County. If such approvals are granted by the County, they shall in no way relieve the Contractor or from any obligations under the terms of this Contract.

All documents assigning the contract or any part of it or any monies due and payable under the contract shall contain a clause stating that all monies to be paid the assignee in accordance with the terms of the Contractor's contract with the County, are subject to a prior lien for services rendered or materials and equipment supplied, in favor of all persons, firms or corporations rendering such services or supplying such materials and equipment.

34. PAYMENT FOR GENERAL PROVISIONS

No direct payment will be made for work done or materials furnished in compliance with the General Provisions of the specifications, unless otherwise noted. All compensation to the Contractor for its performance of the requirements of any general provision shall be considered to have been included in the prices he has bid for the individual items if a unit price contract and/or for a lump sum price if a lump sum contract.

In the event the Contractor fails or refuses to proceed with its work and/or correct or repair deficient or defective work then without prejudice to any and all of the County's other rights and remedies, and upon three (3) days notice to Contractor, the County may perform and/or employ any other person or persons to correct and/or repair any or all such work. All costs incurred by the County pertaining thereto shall be paid forthwith by the Contractor to the County.

35. COSTS INCURRED BY COUNTY

Wherever in these Contract Documents the County is entitled to recover costs from the Contractor or charge the Contractor for the costs incurred for the correction, supervision or for any other reason related to the Contractor's work or arising from the Contractor's failure or refusal to proceed with its work in a timely manner, such costs and/or charges shall be

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deemed to include, but not be limited to, the County's costs and fees for inspection(s), engineering, consultant(s) and attorneys.

36. GUARANTEE OF WORK

- A. Except as otherwise specified, all work performed under the Contract shall be guaranteed by the Contractor against defects resulting from the use of inferior materials, equipment or workmanship for one year from the guarantee starting date (which shall be defined as the date of the County's approval of the final Certificate for Payment or the date of actual full occupancy of the building, whichever is earlier). The building, section thereof, or item of equipment, shall be occupied or put into actual use by the Owner only after judged completed by the Construction Administrator and Owner and approved by him as ready for occupancy.
- B. If, within any guarantee period, repairs or changes are required in connection with guaranteed work, which in the opinion of the Construction Administrator or Owner is rendered necessary as a result of the materials, equipment or workmanship which are inferior, defective, or not in accordance with terms of the Contract, the Contractor shall promptly upon receipt of notice from the Construction Administrator or Owner and without expense to the Construction Administrator or Owner:
 - 1) Place in satisfactory condition, in every particular, all of such guaranteed work, correct all defects thereof, and
 - 2) Make good all damages to the building or site, or equipment or contents thereof, and
 - 3) Make good any work or material, or equipment and contents of said building or site disturbed in fulfilling any such guarantee.
- C. In any case where in fulfilling requirements of the Contract or of any guarantee embraced in or required thereby the Contractor disturbs any work, it shall restore such disturbed work to a condition satisfactory to the Construction Administrator.
- D. If the Contractor, after notice, fails to proceed promptly to comply with terms of its guarantee, the Owner may have the defects corrected and the Contractor shall be liable for all expenses incurred.
- E. All special guarantees applicable to definite parts of the work that may be stipulated in the Specifications or other papers forming a part of the Contract shall be subject to the requirements and term of this article.

37. SEPARATE CONTRACTS

- A. Contractor's attention is specifically directed to the fact that, because of the work of other contracts within and adjacent to the limits of this Contract they may not have exclusive occupancy of the territory within or adjacent to the limits of this Contract.
- B. Contractor's attention is further directed to the fact that, during the life of this Contract the owners and operators of Public Utilities may make changes in their facilities. These changes may be made by the Utility employees or by contract within the limit or adjacent to these contracts and may be both temporary and permanent.

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- C. Contractor shall be required to cooperate with other contractors and the owners of the various utilities, and to coordinate and arrange the sequence of their work to conform to the progressive operations of the work already under contract and to be put under contract.
- D. Contractor shall be responsible for the coordination of the work of their various subcontractors. Their respective operations shall be arranged and conducted so that delays will be avoided. Where the work of a subcontractor overlaps or dovetails with that of other subcontractors, materials shall be delivered and operations conducted so as to carry on the work continuously in an efficient and workmanlike manner. Delays or oversights on the part of Contractor or its subcontractors or utility owners in getting any or all of their work done in the proper way thereby causing cutting, removing and replacing work already in place, shall not be the basis for claim for extra compensation.
- E. In case of interference between the operations of the utility owners and different Contractors, the Construction Administrator will be the sole judge of the rights of each Contractor and the sequence of work necessary to expedite the completion of the entire project, and in all cases the Construction Administrator's decision shall be accepted as final and may not be challenged except in a proceeding brought pursuant to Article 78 of the Civil Practice Law and Rules.

38. COOPERATION WITH OWNER

Each Contractor shall cooperate with the Owner as to parking of vehicles, availability of storage and working areas and confining of activities and personnel to same. **NO PARKING FOR CONTRACTOR'S EMPLOYEES.**

39. JOB MEETINGS & PROJECT SUPERINTENDANT

- A. An officer of the Contractor, or its project manager or superintendent, who is fluent in English and authorized to make binding decision on behalf of the Contractor shall attend job meetings with the Commissioner and/or the Construction Administrator, and any subcontractors whom the Inspector may designate; for the purpose of discussing expedition, execution and coordination of the work.
- B. Job meetings will be scheduled periodically (the first to be prior to commencement of construction) at a time and place designated by the Construction Administrator.
- C. The Contractor shall not commence any work prior to the first (pre-construction) meeting between the Contractor, Commissioner and/or Construction Administrator, client, and other concerned governmental and utility company representatives.
- D. At the pre-construction meeting, the scheduling of the work on an arrow-flow diagram (showing chronologically and in detail the sequence and methods that will be followed) will be provided, and details for the proper execution and special requirements of the work will be explained and discussed.
- E. The Contractor shall be responsible for providing a detailed construction schedule that provides for a Critical Path Method ("CPM") and which is compatible with any of the state of the art CPM Method scheduling software.

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- F. Updated coordinated arrow-flow diagrams or CPM schedules, as the case may be, will be provided by the Contractor, as above, on a monthly basis to the County.

The Contractor shall indicate on the construction schedules noted above, time for shop drawing preparation, approvals, fabrication and delivery of materials and equipment for major items. The County may request that additional important items be included on the schedule.

- G. The Contractors shall ensure that its Project Superintendent shall be on site full time at all times when the Contractor's Work is being performed.

40. PATENT WARRANTY

- A. Contractor expressly represents, warrants and agrees that he has the legal right to furnish and install and to authorize the County to purchase and use the equipment hereby offered and each and every one of its several parts and every feature thereof, under one or the other, or partly under one and partly under the other of the following representations.
- 1) That the Contractor possesses a valid patent(s) covering the equipment to be furnished hereunder or part or features thereof or has or will obtain permit(s) and license(s) authorizing the Contractor to furnish and install same and to authorize the purchase and use thereof by the County.
 - 2) The Contractor is responsible before ordering material, equipment, parts, systems, etc, to verify that the suppliers of all such material, equipment, parts, systems, etc, will supply the required warranty, guarantee, O & P manual, and maintenance service schedule.
 - 3) That the equipment offered or certain parts or features thereof are not covered by any valid patent(s) within the knowledge of the Contractor.
- B. Contractor further warrants and agrees that if any patent(s) is hereafter issued to any person whatsoever with respect to the equipment or any part or features thereof, to be furnished and installed hereunder, the Contractor will obtain such permit(s) or license(s) from the Patentee as may be necessary to authorize the use of the equipment by the County.
- C. Contractor further represents, warrants and agrees that he and its sureties shall hold themselves responsible for and defend any claims made against the County for any infringement of patents due to the purchase and use by the County of said equipment or any part or feature thereof; that they will indemnify and save harmless the County from all costs, expenses and damages which it shall be obliged to pay by reason of any such infringement of patent(s); that in case the use of any such equipment is enjoined, they will bear the expenses of removing same and replacing same with equipment which will satisfactorily perform the function without constituting an infringement of any patent(s); and in case the use of any equipment shall be enjoined, that they shall pay to the County the sum of \$1,000.00 per day, as liquidated damages, for each and every day during which the County shall be enjoined from using the same up to the day on which such

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equipment is replaced by other equipment which will satisfactorily perform the same function but which will not constitute an infringement of any other patent(s).

- D. The Contractor further agrees in the event the use of any of the equipment is enjoined and the Contractor is unable within a reasonable time to devise other equipment which will satisfactorily perform the same functions without infringement on any patent(s), that he will remove the equipment and refund to the County the entire cost of its purchase and installation, plus the sum of \$ 1,000.00 per day as liquidated damages for each and every day until the substitute equipment has been purchased and installed by the County, excepting however that such period shall not exceed three months.
- E. The Contractor further agrees in the event that any claim or notice of claim for infringement of patent(s) are made or filed prior to the making of payment by the County for the equipment and/or material proposed to be furnished and installed hereunder, that the County may withhold any sum due to the Contractor for such equipment and/or material until such claims shall have been settled or adjudicated or until additional surety bonds or other guarantees of indemnification shall have been posted, if deemed necessary by the County for its protection.

41. MATERIALS

A. Quality

- 1) It is the intent of these Specifications to describe definitely and fully the character of materials and workmanship required with regard to all ordinary conditions of the work and to require first-class work and new and best quality materials in all particulars. For unexpected conditions arising during the progress of the work and not fully covered herein, the Specifications shall be interpreted by the Construction Administrator to require first-class work and materials and such interpretations shall be accepted by the Contractor.
- 2) The Contractor is responsible before ordering material, equipment, parts, systems, etc, to verify that the suppliers of all such material, equipment, parts, systems, etc, will supply the required warranty, guarantee, O & P manual, and maintenance service schedule.
- 3) Where materials or devices are specified in these documents by reference to government, manufacturer's association, or professional society standards, the pertinent sections of the latest edition of such standards shall have the same force and effect as if set forth in full in these Specifications. The following abbreviations shall be used as indicated for the principal societies:

AASHO	American Association of State Highway Officials
ACI	American Concrete Institute
AIA	American Institute of Architects
AISC	American Institute of Steel Construction
ANSI	American National Standards Institute

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ASHRAE	American Society of Heating, Refrigerating, and Air Conditioning Engineers
ASTM	American Society for Testing and Materials
AWWA	American Water Works Association
AWI	American Woodworking Institute
AWS	American Welding Society
BHMA	Builders Hardware Manufacturers Association
CS	Commercial Standards
FS	Federal Specifications
IEEE	Institute of Electrical and Electronic Engineers
NEC	National Electric Code
NEMA	National Electrical Manufacturer's Association
NFPA	National Fire Protection Association
SDI	Steel Deck Institute
SMACNA	Sheet Metal and Air Conditioning Contractors National Association, Incorporated
TCA	Tile Council of America, Incorporated
TMCA	Tile and Marble Contractors of America
UL	Underwriter's Laboratories, Incorporated

B. Delivery, Storage and Handling:

- 1) Materials shall be delivered in manufacturer's original sealed containers with complete identification of contents and manufacturer, and kept sealed in original containers until used. Labels shall not be removed until materials have been installed and inspected.
- 2) Materials shall be delivered, stored, and handled with proper equipment and in a manner to protect them from damage.
- 3) The Contractor shall make arrangements for the receipt of materials delivered to the construction site. No representative of the County will accept any materials ordered by the Contractor.
- 4) Finish materials shall be protected from dirt and damage, and perishable materials shall be stored within appropriate weatherproof enclosures.
- 5) Delivery of materials shall be coordinated with the Operations Schedule.
- 6) The Contractor shall confine the apparatus, the storage of materials and the operations of the workmen to the limits indicated by law, ordinances, permits, or directions of the Construction Administrator, and shall not encumber the premises beyond the contract limits.

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- 7) The Contractor shall not load or permit any part of the structure to be loaded with a weight that will endanger its safety.
- 8) Whenever the Contract Documents require delivery by the Contractor of any materials, equipment, or other items, the term delivery shall be deemed to include unloading and storing with proper protection where directed.

C. Federal Regulations

- 1) Should the Federal Government, because of Declaration of an Emergency, or other cause, establish controls over the use of certain construction materials, then the Contractor, immediately after signing the Contract or immediately after Declaration of an Emergency, shall furnish the Commissioner with an itemized list of all critical materials required for use on the project. For each item, the quantity required and the approximate date on which delivery will be required shall be indicated.

D. Name Plates

- 1) Each piece of operable equipment to be furnished and installed by a Contractor under its Contract such as motors, pumps, heaters, fans, transformers, switch and fuse racks and other similar equipment shall be provided with a substantial name plate of non-corrodible metal securely fastened in place and clearly and permanently inscribed with the manufacturer's name, the model or type designation, the serial number, the principal rated capacities, the electrical or other power characteristics and other similar and appropriate information.
- 2) Manufacturer's identification shall be inconspicuous, but where nameplates contain information relative to characteristics or maintenance, they shall be clearly visible and located for easy access.
- 3) The nameplate of a subcontractor or a distributor will not be permitted.

E. Manufacturer's Certification

- 1) Prior to the delivery of any water or sewer pipe to the construction site, the Contractor shall furnish properly attested documents certifying as to the type, class, name of manufacturer and source of supply of the pipe. One copy of each document shall be forwarded to the Construction Administrator at the construction site and to the Director of Project Management care of the Engineering Division, Michaelian Office Building, White Plains, New York.

F. Samples

- 1) The Contractor shall furnish, for approval of the Engineer, any samples required by the specifications or that may be requested by the Owner, of all materials he proposes to use, and shall pay all shipping charges for the samples. The Contractor shall send all samples to the office of the Engineer, except when directed otherwise. The sample of approved material will remain on file in the Engineer's office. A disapproved sample will be returned to the Contractor.
- 2) No samples are to be submitted with bids.
- 3) No materials or equipment of which samples are required to be submitted for

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approval shall be used on the work until such approval has been given by the Engineer or Construction Administrator, save only at the Contractor's risk and expense.

- 4) Each sample shall have a label indicating the material represented, its place of origin and the names of the producer, the Contractor and the Contract for which the material is intended.
- 5) Approval of any sample shall be only for characteristics or for uses named in such approval, and no other. No approval of a sample shall be taken in itself to change or modify any Contract requirement. When a material has been approved, no additional sample of that material will be considered and no change in brand or make will be permitted. Approved samples held by the Engineer will be returned to the Contractor upon completion of the work, if requested.
- 6) Transactions with manufacturers or subcontractors shall be through the Contractor.

G. Dissimilar Materials

- 1) Where metals are placed in contact with or fastened to dissimilar metals, concrete, masonry, wood or other absorptive materials subject to repeated wetting or wood treated with a preservative non-compatible with the metal or if drainage from dissimilar materials passes over the work; treat the contact surfaces with a heavy coat of approved alkali-resident bituminous paint.
- 2) Where one of the metals is aluminum, a coat of zinc-chromate primer shall be applied prior to the bituminous paint.

42. STANDARD OF QUALITY

Wherever in the contract documents an article, material, apparatus, device, product or process is called for by trade name or catalog reference, or by the name of the patentee, manufacturer or dealer, it shall be construed as establishing a standard of quality and not construed as limiting competition. In such instances, the Contractor may use any article, material, etc. which, in the judgment of the Engineer, expressed in writing, is equal to and acceptable for the intent specified.

43. PROPRIETARY ITEM

Whenever less than three names are used in proprietary item specifications, it has been determined that:

- A. The use of trade names is necessary for effective and workable specifications for the item.
- B. All manufacturers known by the individuals familiar with the trade involved have been listed.
- C. Equal items may be approved in accordance with Article "Request For Approval Of Equal" of the General Clauses.

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44. SHOP DRAWINGS

A. Shop Drawing Schedule

- 1) Within fifteen (15) days after the Notice to Proceed, the Contractor shall prepare and submit two (2) copies of its schedule of Shop Drawing submissions to the Engineer for review and approval. The schedule is to be submitted on the “Shop Drawing Schedule” form of the Sample Forms.
- 2) In order to maintain the construction schedule for this project the Contractor shall submit all Shop Drawings per approved schedule. The Contractor is expressly cautioned that its failure or refusal to timely submit a shop drawing schedule acceptable to the Engineer and/or any deviation from the approved shop drawing schedule shall be deemed a default under this Contract.
- 3) Shop Drawings shall be submitted without fail in time to permit correction, resubmission and final approval, as hereinafter specified, without causing any delay in the construction of any Work.
- 4) Samples and Shop Drawings, which are related to the same unit of Work or Specification Section, shall be submitted at the same time. If related Shop Drawings and Samples are submitted at different times, they cannot be reviewed until both are furnished to the Engineer.
- 5) The schedule shall be updated every four-(4) weeks or more frequently as required by the Engineer.
- 6) Two (2)-updated copies of the schedule shall be submitted to the Engineer with each application for Partial Payment.
- 7) Form of Schedule

Schedule shall be in tabular form with appropriate spaces to insert the following information for principal items of equipment and materials:

- a. Date on which Shop Drawings are requested and received from the manufacturer.
- b. Dates on which Shop Drawings are transmitted to the Engineer by the Contractor.
- c. Dates on which Shop Drawings are returned by the Engineer for revisions.
- d. Dates on which Shop Drawings are revised by manufacturer and resubmitted to the Engineer.
- e. Date on which Shop Drawings are returned by Engineer annotated either “Approved” or “Approved as Noted”.
- f. Date on which accepted Shop Drawings are transmitted to manufacturer and Contractor’s Invoice Number.
- g. Date of manufacturer’s scheduled delivery.
- h. Date on which delivery is actually made.

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- i. Sample of schedule follows on next page.

B. Shop Drawing Requirements

- 1) Shop Drawings for the Work shall include working and setting drawings, schedules, shop details, wiring diagrams, manufacturer's catalog cuts and brochures and all other drawings, schedules and diagrams necessary for the proper correlation of the Work.

Insofar as it is practicable, all drawings shall be uniform in size. They shall be dated, numbered consecutively and shall be identified with the Contract Number and Title, a description of the material or equipment and the area of the work and where it is to be installed. Shop drawings shall accurately and clearly show sizes, work, erection dimensions, arrangement and sectional views, necessary details including information for making connection with the work of other items as may be required, materials and finishes, detailed parts lists, and performance characteristics and capacities as may be required.

- 2) All detailing for structural components shall be done in accordance with the provisions for design and workmanship in the latest additions of the publications listed below except as may be modified in the Contract Documents:

- a. "Manual of Steel Construction" of the American Institute of Steel Construction.
- b. "Building Code Requirements for Reinforced Concrete" and "Manual of Standard Practice for Detailing Reinforced Concrete Structures" of American Concrete Institute.

- 3) Detailing practices for other components shall be done to conform to the best trade practices.

4) Contractor Responsibilities

- a. Before submitting Shop Drawings to the Engineer all submittals from its Subcontractors, manufacturers or suppliers shall be sent directly to the Contractor for preliminary review, coordination and checking.

Contractor shall be responsible for their submission at the proper time so as to prevent delays in delivery of material or equipment. Contractor shall thoroughly check all drawings for accuracy and conformance to the intent of the Contract Documents. Drawings found to be inaccurate or otherwise in error shall be returned to the Subcontractors, manufacturers, or suppliers by the Contractor for correction.

- b. All submittals, including Shop Drawings prepared by or under the direction of the various Contractors, shall be thoroughly checked by the Contractor for accuracy and checked by the Contractor for accuracy and conformance to the intent of the Contract Documents before being submitted to the Engineer and shall bear the Contractor's signature certifying that they have been so checked. Before submitting them to the Engineer, all submittals shall be properly labeled and consecutively numbered. In a clear space above the title block, the Contractor shall provide the "Shop Drawing ID" form of the Sample Forms, and enter the required information:

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- c. Shop Drawings shall be submitted as a single package including all associated drawings for any operating system and shall include all items of equipment and any mechanical units involved or necessary for the functioning of such system. Where applicable, the submittal shall include elementary wiring diagrams showing circuit functioning and necessary interconnecting wiring diagrams for construction.
- d. If the submittals contain any departures from the Contract Documents, specific mention thereof shall be made in the Contractor's letter of transmittal. Otherwise, the review of such submittals shall not constitute approval of the departure. The Contractor shall also call the Engineer's attention to any changes by the use of larger letters of at least 1" in height on the Shop Drawings along with a letter by the Contractor advising the Engineer to the recommended change and the reason therefore. If this is not done, even if the Work is incorporated in the construction, it will not be accepted by the Engineer even if Shop Drawings are "Approved".
- e. No materials or equipment shall be ordered, fabricated or shipped or any Work performed until the Engineer returns to the Contractor the submittals herein required, annotated "Approved".
- f. Where errors, deviations, and/or omissions are discovered at a later date in any of the submittals, the Engineer's prior review of the submittals does not relieve the Contractor of the responsibility for correcting all errors, deviations and/or omissions.
- g. Two (2) copies of Preliminary Operations and Maintenance Manuals shall be submitted with the final Shop Drawings for each item of equipment.
- h. Submittals shall be transmitted in strict compliance with Special Clause 10. A.2 and in sufficient time to allow the Engineer adequate time for review and processing so as not to delay the Project per the approved Shop Drawing Schedule.
- i. Contractor shall transmit five (5) prints of each submittal to the Engineer for review. Any submissions, which in the opinion of the Engineer, are not legible will not be reviewed and will be returned to the Contractor annotated "Disapproved".
- j. Contract drawings are for engineering and general arrangement purposes only and are not to be used as Shop Drawings.
- k. Shop Drawings shall accurately and clearly present the following:
 - All working and installation dimensions.
 - Arrangement and sectional views.
 - Units of equipment in the proposed positions for installation, details of required attachments and connections, and dimensioned locations between units and in relation to the structures.
 - Necessary details and information for making connections between the

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various trades including, but not limited to, power supplies and interconnecting wiring between units, accessories, appurtenances, etc.

- l. Structural and all other layout drawings prepared specifically for the Project shall have a plan scale of not less than 1/4-inch equal to 1 foot and they shall be not larger than the size of the Contract Drawings.
 - m. Where manufacturer's publications in the form of catalogs, brochures, illustrations, compliance certificates, or other data sheets are submitted in lieu of prepared Shop Drawings, such submissions shall specifically indicate the item for which approval is requested. Identification of items shall be made in ink, and submissions showing only general information are not acceptable.
 - n. The Contractor shall provide all required copies for the use of the various trades and at the Site, and one (1) copy of approved Shop Drawings shall be provided by the Contractor to each of the other Prime Contractors unless otherwise noted in writing by the Engineer.
 - o. The Contractor shall respond to required submittals with complete information and accuracy to achieve required approvals within three (3) submissions. All costs to the Owner involved with subsequent submissions of Shop Drawings, Samples or other items requiring approval, will be backcharged to the Contractor, at the rate of 3.0 times direct technical labor cost, by deducting such costs from payments due for Work completed. In the event an approved item is requested by the Contractor to be changed or substituted, all involved costs in the review process will likewise be paid by the Contractor to the County unless determined by the Director of Project Management or Commissioner that the need for such deviation is beyond the control of the Contractor. Contractor shall be responsible for coordinating its Work and submittals with its Subcontractors.. Should Contractor cause the need for additional submissions or reviews of previous submissions all involved costs will similarly be paid to the County.
- 5) Procedure for Review
- a. Shop Drawings will be checked for design conformance with the Contract Documents and general arrangement only.
 - b. Submittals will be annotated by the Engineer in one of the following ways:
 - "Approved" - no exceptions are taken.
 - "Approved as Noted" - minor corrections are noted and shall be made and a resubmittal is required.
 - "Disapproved because" - with specific deficiencies noted.
 - "Disapproved" - based on the information submitted, the submission is not in conformance with the Contract Documents. The deviations from the Contract Documents are too numerous to list and a completely revised submission of the proposed equipment or a submission of other equipment is required.

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c. One copy of the reviewed submittals will be returned to the Contractor. It is the Contractor's responsibility to provide copies to:

- Its Subcontractors.
- Its Materialmen and Suppliers.

unless notified otherwise in writing by the Engineer.

- 6) Disapproved drawings will be returned to the Contractor for correction and resubmission. After the Contractor has had the required corrections made on the original drawing, it shall again submit five copies for review by the Engineer.
- 7) The acceptance of Shop Drawings by the Engineer shall be only general in nature and shall not relieve the Contractor of any responsibility for the accuracy of the drawings, the proper fitting and construction of the Work or for the furnishing of materials or other Work required by the Contract Documents, but not shown on the Shop Drawings. Acceptance of Shop Drawings by the Engineer shall not be construed as approving departures from the Contract requirements unless specifically noted by the Engineer. Acceptance of Shop Drawings for one item shall not be construed as approval for other changes even if noted by the Contractor on the drawing.
- 8) Shop Drawings submitted other than in accordance with the outlined procedures will be returned to the Contractor for resubmission and the Contractor shall bear all expense and risk of all delays as if no Shop Drawings had been submitted.
- 9) No Work shall be performed until the Shop Drawings have been accepted by the Owner, and the Contractor shall be responsible for all costs and damages, which may result from proceeding prior to the approval of the Shop Drawings.

45. SEQUENCE OF CONSTRUCTION OPERATIONS

- A. It is mandatory that the premises continue to be occupied and facilities therein shall continue to function during the performance of the construction work.
- B. Detailed sequence of construction and availability of spaces in areas through which services must pass shall be coordinated between the Owner and the Contractor, before actual commencement of the Work.
 - 1) To enable the Work to be laid out and prosecuted in an orderly and expeditious manner, Contractor shall provide a proposed Progress Schedule, within fifteen (15) days after the issuance of the Notice to Proceed of this Contract unless otherwise directed in writing by the Construction Administrator. The proposed Progress Schedule shall show the anticipated time of commencement and completion of each of the various operations to be performed under this Contract; together with all necessary and appropriate information regarding the sequence and correlation of Work; and the Schedule of Shop Drawings and delivery of all materials and equipment required for the Work. The Contractor shall prepare a Master Progress Schedule (Schedule) for the Work. Contractor as directed by the Construction Administrator shall revise the proposed Schedule until each activity is properly sequenced to provide that the Work will be completed in the proper order and

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within the allotted Contract duration, without any conflicts. When the Construction Administrator has accepted the Schedule the Contractor will sign it. The Contractor shall then provide one (1) copy of such approved Schedule to each Subcontractor and two (2) copies to the Construction Administrator. Contractor shall afford its Subcontractors a reasonable opportunity for the introduction and storage of their materials and the execution of their Work and shall properly connect and coordinate its Work with others.

Contractor shall strictly adhere to the Schedule unless changed as provided for in the following paragraph.

- 2) Within five (5) days after receiving notice of any change in the Contract, or of any Extra Work to be performed, or of any suspension of the whole or any portion of the Work, or of any other conditions which are likely to cause or are actually causing delays, Contractor must notify the Construction Administrator in writing of the effect, if any, of such change or Extra Work or suspension or other condition upon the previously approved schedule, and must state in what respects, if any, the Schedule should be revised, with the reasons therefor. These proposed changes in the Schedule shall be reviewed and, if appropriate, approved, in writing, by the Construction Administrator. Contractor must strictly adhere to the revised Schedule. Distribution of the revised Schedule shall be as described in paragraph B-1 above. Contractor's compliance with the requirements of this paragraph is in addition to, and not in lieu of, compliance with other notice requirements pertaining to delays and extensions of time contained elsewhere in the contract.
 - 3) The Schedule shall be reviewed by Contractor every two (2) weeks or as directed by the Construction Administrator.
 - 4) If Contractor shall fail to adhere to the approved Schedule, or to the Schedule as revised, they must promptly adopt additional means and methods of construction with no additional cost to the County that will make up for the lost time and will assure completion in accordance with such Schedule. The proposed means and methods shall be described in writing to the County within two (2) days after the Contractor discovered or should have reasonably discovered that the Schedule would not be met as originally proposed. Failure to comply with this requirement may result in the County enforcing its rights under the Contract including, without limitation, default of the Contract.
- C. From time to time as the Work progresses and in the sequence indicated by the approved Schedule, the Contractor must submit to the Construction Administrator a specific request in writing for each item of information or approval required. These requests shall be submitted sufficiently in advance of the date upon which the information or approval is actually required by the Contractor to allow for the time the Construction Administrator may reasonably take to act upon such submissions or resubmissions. The Contractor shall not have any right to an Extension of Time on account of delays due to its failure to timely submit requests for the information or approvals.
- D. Certain construction work shall be required, which will be disruptive to the Owner's staff insofar as noise, dirt and dust is concerned. The Contractor, therefore, shall

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perform such work during other than normal working hours. Subject to the requirements of law, the Owner imposes no limitation on the Contractor's working hours and whatever overtime work may be necessary or required shall be considered by the Contractor and reflected in its Bid Proposal without the benefit of extra compensation.

46. PROTECTION

- A. The Contractor shall at all times exercise all necessary precautions for the safety of the public, employees performing the work and County personnel. The Contractor shall provide and maintain barricades, danger signals and other safeguards about the work and shall be held responsible for all accidents or damages to persons or property caused by failure to do so throughout the progress of the work, and shall comply with all applicable provisions of Federal, State and County Safety Laws.
- B. The Contractor shall during the performance of its work, protect at all times all adjacent portions of the existing surfaces and existing equipment from damage due to the performance of the construction work.
- C. The Contractor shall furnish temporary facilities and/or temporary dust-proof partitions separating all work areas and access routes from those areas not involved in active alterations, so that this work will not interfere with the Owner's access or normal use of areas not allocated to the Contractor, or any essential service to such areas, when ordered by the Construction Administrator.

47. CLEANUP AND REMOVAL OF DEBRIS

- A. At the end of each working day, the Contractor shall sweep up and collect all the rubbish and place it in appropriate containers, furnished by the Contractor. Containers shall be kept at a location on, or adjacent to the work site, as designated by the Construction Administrator. Wood or cardboard crates and other debris of a similar nature shall be broken up, securely bundled and neatly stacked alongside the containers. Once each week and at the completion of the work, the Contractor shall remove all accumulated debris and rubbish.
- B. At the completion of the work, the Contractor shall clean all equipment, fixtures, surfaces and accessories, removing all dust and other foreign matter, ready for use by the Owner.

48. TEMPORARY SERVICE

- A. Sanitary facilities will be provided by the Owner for the Contractor and its personnel.
- B. The Owner will supply and pay for the cost of all-temporary water and temporary electric power (120 volt, 60 hertz). The Contractor shall furnish and install all temporary electrical and water connections required for work under this Contract, at and to locations as designated by the Construction Administrator.

GENERAL CLAUSES

49. OPERATING TESTS

- A. Where operating tests are specified the Contractor shall test the work as it progresses and shall make satisfactory preliminary tests in all cases before applying to the Engineer for official tests.
- B. Official tests will be made in the manner specified for the different branches of the work, in the presence of the Construction Administrator or Engineer. Should defects appear they shall be corrected by the Contractor and the test repeated until the installation is acceptable to the Construction Administrator or Engineer and to any authorities having jurisdiction.
- C. No work of any kind shall be covered or enclosed before it has been tested and approved.
- D. The Contractor shall furnish all materials and apparatus, make connections and conduct tests, without extra compensation unless noted otherwise.

50. OPERATING INSTRUCTIONS AND PARTS LISTS

- A. Where the Specifications require any Contractor to supply equipment operating and maintenance instructions and spare parts lists prior to the completion of the work it shall provide three copies of the publications for each piece of equipment he has furnished and installed under the Contract, upon receipt of the approved shop drawings.
- B. Publications shall be prepared for the specific equipment furnished and installed, containing the following information, and shall not refer to other sizes, types or models of similar equipment:
 - 1) Clear and concise instructions for the operation, adjustment, lubrication and other maintenance of the equipment, including a complete lubrication chart.
 - 2) A complete listing of all parts for the equipment, with catalog numbers and other data necessary for ordering replacement parts.
- C. Advertising literature will not be acceptable.

51. CUTTING AND PATCHING

Contract with Single Bid:

- A. Where the project does not involve separate bids pursuant to the New York General Municipal Law the following will apply:
 - 1) Where walls, floors, ceilings, roofs or other items require cutting for the installation of new work, all such cutting shall be done by the Contractor with the approval of the Construction Administrator; and the Contractor shall patch the opening to make the cut portions match the adjacent finished surfaces, unless otherwise indicated.
 - 2) The Contractor shall not endanger any existing condition by its operations.
 - 3) The cost of all cutting and patching caused by the Contractor's negligence shall be

GENERAL CLAUSES

borne by the Contractor.

Contract with Separate Bids:

- B. If the project is one where separate bid specifications are required pursuant to the New York General Municipal Law the following will apply:
- 1) A sufficient time in advance of the construction of new floors, walls, ceilings, roofs, or other items, each Contractor shall be responsible for properly locating and providing in place all sleeves, inserts and forms required for their work, and shall furnish the Contractor for General Construction with complete information relative to exact locations and dimensions of all required openings in the General Contractor's work. Other Contractors shall periodically consult the Job Progress Chart of the General Contractor so that they will not be delayed by their work requirements, but the General Contractor shall be obliged to give all other Contractors at least seventy-two hours notice before commencing the previously mentioned new construction work.
 - 2) The cost shall be borne by the responsible Contractor for all cutting, patching, re-waterproofing and re-caulking of new work necessary for reception of the work of a Contractor, caused by the Contractor's failure to timely or properly locate and provide in place all sleeves, inserts and forms required for its own work, or by a Contractor's failure to inform the General Contractor of required openings. The General Contractor shall do all cutting, patching, re-waterproofing and re-caulking of all new work no matter how or by whom such work was caused and shall be reimbursed for such extra work by the responsible Contractor, in accordance with the terms of the Contract. All cutting and patching shall have prior approval of the Construction Administrator.
 - 3) Where sleeves, inserts, forms or openings are required in existing walls, floors, ceilings roofs, or other existing items, all necessary cutting, patching, re-waterproofing and re-caulking required shall be done by the individual responsible Contractor, except for finished surfaces. The responsible Contractor shall do all rough patching to bring the cut areas to the proper surface ready to receive the finished surface. All finishing work required to make the cut portions match the adjacent finished surfaces shall be performed by the General Contractor.
 - 4) Each Contractor shall be responsible for coordinating their work with the work of all other Contractors engaged on the project. If directed, Contractors shall submit coordinated shop drawings showing how the fitting of the various parts of the work will be accomplished, for the Construction Administrator's acceptance.
 - 5) All cutting and patching shall be governed by the applicable divisions of the Specifications with regard to workmanship, materials and methods.
 - 6) No Contractor shall endanger any work by unauthorized cutting, excavating, or other alteration of the work, unless previously authorized by the Construction Administrator.

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52. CONFLICTS AMONG CONTRACT DOCUMENTS

In the event of any conflict among the Contract Documents, the Contractor shall notify the Commissioner and comply with the Commissioner's interpretation, according to the following priorities:

<u>Priority Order</u>	<u>Document</u>
1.....	Modification issued after execution of Agreement
2.....	Agreement between Owner and Contractor
3.....	Addenda issued prior to the execution of the Agreement (Later date to take precedence)
4.....	Special Notices
5.....	Technical Specifications
6.....	Construction Drawings:
6A.....	Schedule on Construction Drawings
6B.....	Notes on Construction Drawings
6C.....	Large Scale Details on Construction Drawings
6D.....	Small Scale Details on Construction Drawings
7.....	General Requirements
8.....	Special Clauses
9.....	Information for Bidders and General Clauses

53. RECORD DRAWINGS

- A. The Owner shall furnish, at the first job meeting, one set of "paper" copies of the contract drawing(s) - this is in addition to the five sets of contract drawings as described in the Article "Contract Drawings" of the General Requirements; for the Contractor's use to indicate change(s) as they occur for the duration of the construction work. Upon request from the Contractor, the County will supply the Contractor a copy of the original Contract Drawings in AutoCAD format.
- B. The Contractor shall record neatly and legibly, using reasonable drafting care, all approved change(s) (including minor revisions or corrections of pipes, ducts, electric outlets, circuit panels and other features, as well as invert elevations and locations of underground lines).
- C. When all approved changes are recorded and clearly identified, the Contractor shall prepare a set of "as-built" (record) drawings, in the latest version of AutoCAD, using the approved County format and associated CAD layering guidelines, with 24" x 36" drawing sizes, showing the project as built including all changes in the work made during construction based on marked-up prints, drawings, and other data. These drawings shall be filed on a CD and submitted to the Construction Administrator.
- D. All additional "paper" or reproducible drawings are to be obtained by the Contractor at their own expense.

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54. TIME

- A. All time limits (see Article “Required Time For Completion Of The Work” of the General Requirements, and, Article “Time Of Starting” of the Information For Bidders) stated in the specifications are of the essence of the Contract.
- B. The Contractor may perform all necessary labor during other than normal working hours. The Owner imposes no limitation of the Contractor's working hours and whatever overtime work may be necessary or required shall be considered by the Contractor and reflected in its Bid Proposal without the benefit or extra compensation. The Contractor must give a minimum of four (4) hours notice to the Construction Administrator when overtime Work is necessary. The Contractor shall promptly pay to the County the additional cost of the Engineer and Construction Administrator for inspection services during the overtime Work.

55. ACCELERATION OF THE WORK

The Owner may, at its sole discretion and for any reason, require the Contractor to accelerate the schedule of performance by providing overtime, extended day, extra crews, Saturday, Sunday and/or holiday work and/or by having all or any subcontractors designated by the Owner provide overtime, extended day, extra crews, Saturday, Sunday or holiday work by the Contractor's or his subcontractor's own forces, and such requirements is independent of and not related in any way to any apparent inability of the Contractor to comply with the schedule(s), Milestone(s) and/or completion date requirements, the Owner, pursuant to a written change order as signed by the Commissioner shall reimburse the Contractor for the direct cost to the Contractor of the premium time for the labor utilized by the Contractor in such overtime, extended day, extra crews, Saturday, Sunday or holiday work (but not for the straight time costs of such labor) together with any social security and state or federal unemployment insurance taxes in connection with such premium time. However, no overhead, supervision costs, commissions, profit or other costs and expenses of any nature whatsoever, including impact costs or costs associated with lost efficiency or productivity, shall be payable in connection therewith. Anything to the foregoing notwithstanding, in the event that the Contractor has fallen behind schedule or in the Owner's judgment appears likely to fall behind schedule, Owner shall have the absolute right to direct the Contractor to accelerate the performance of its work, including that of its subcontractors, and the full costs for such acceleration shall be borne solely by the Contractor.

56. ULTRA LOW SULFUR DIESEL FUEL

- A. Contractors and Subcontractors operating onroad and nonroad vehicles to perform County work must power those vehicles with ultra low sulfur diesel fuel. Ultra low sulfur diesel fuel is any diesel fuel that has a sulfur content of no more than fifteen parts per million.
- B. In addition, all onroad and nonroad diesel vehicles used to perform County work and equipped with a model year 2003 or older engine shall utilize the best available

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technology² in accordance with the following schedule:

- a) effective September 1, 2007 - 35% of all such motor vehicles used on this project;
- b) effective September 1, 2008 - 65% of all such motor vehicles used on this project;
- c) effective September 1, 2009 - 100% of all such motor vehicles used on this project.

- C. All onroad and nonroad diesel vehicles to perform County work having a gross vehicle weight rating of more than 14,000 pounds shall utilize the best available technology or be equipped with an engine certified to the applicable 2007 United States Environmental Protection Agency ("EPA") standard for particulate matter as set forth in Section 86.007-11 of Title 40 of the Code of Federal Regulations or to any subsequent EPA standard for such pollutant that is at least as stringent, in accordance with the following schedule:
 - a) by September 1, 2007 - 35% of all such motor vehicles;
 - b) by September 1, 2008 - 65% of all such motor vehicles;
 - c) by September 1, 2009 - 100% of all such motor vehicles
- D. Any contractor who violates any provision of Section 873.1329 shall be liable for a civil penalty not to exceed ten thousand dollars plus twice the amount of money saved by such contractor for failure to comply with this section.
- E. Any contractor who makes a false claim may be liable for a civil penalty not to exceed twenty thousand dollars, in addition to twice the amount of money saved by such contractor as a result of having made such false claim.
- F. Nothing in this section shall be construed to limit the County's authority to cancel or terminate a contract, deny or withdraw approval to perform a subcontract or provide supplies, issue a non-responsibility finding, issue a non-responsiveness finding, deny a person or entity pre-qualification as a vendor, or otherwise deny a person or entity public entity business.
- G. If sufficient quantities of ultra low sulfur diesel fuel are not available to meet the needs of a contractor to fulfill the requirements of this contract, the Contractor may submit a written request to the Commissioner to use diesel fuel with a sulfur content of no more than thirty parts per million as long as the contractor shall use whatever quantity of ultra low sulfur diesel fuel that is available. Such determination shall be made in writing on a case by case basis upon written application to the Commissioner. If the Commissioner grants such authority it shall expire sixty days thereafter and may be renewed upon written request for additional periods of sixty days.

² Best Available Technology means a system for reducing the emission of pollutants which is based on technology verified by the U.S. Environmental protection Agency or the California Air Resources Board or which has been identified pursuant to NYC's Department of Environmental Protection that (1) reduces diesel particulate matter emissions by at least 85 percent, as compared to a similar engine operating on traditional diesel fuel without emission control technology, or reduces engine emissions to 0.01 grams diesel particulate matter per brake horsepower per hour or less; and 2) achieves the greatest reduction in emissions of nitrogen oxides at a reasonable cost and in no case produces a net increase in nitrogen oxides in excess of 10%.

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H. The Contractor, in order to comply with Subsections B & C above, must retrofit its vehicles to include both of the following in order to comply with the Best Available Technology Requirements:

- Diesel Oxidation Catalysts (DOC)
- Crankcase Vent Filters (CVF)

If the Contractor wants to propose an alternative technology it must submit a written request to the Commissioner with sufficient detail to enable the Commissioner to make a determination as to whether to accept the alternative technology. Any approval of alternative technology must be in writing.

57. QUALIFIED TRANSPORTATION FRINGE PROGRAM

EXECUTIVE ORDER NO. 7-2005

Requires that contractors, concessionaires and vendors doing business with the County enroll in a Qualified Transportation Fringe Program as defined in §132(f)(1) of the IRS Tax Code for all contracts for goods or services of \$100,000 or more in any twelve month period during the contract term if such contractor, concessionaire or vendor employs more than 25 individuals who utilize public transportation and/or pay for commuter parking at least 1 day per week regardless of whether those employees are engaged in work pursuant to the contract.

Bidders shall submit the signed statement on Proposal Page 34. Notwithstanding the above, a Bidder may submit a Waiver Application on Proposal Page 35 to the Commissioner.

58. USE OF FLUORESCENT LIGHT BULBS & ENERGY EFFICIENT BULBS

The use of incandescent light bulbs is prohibited in County-owned buildings and facilities. Only fluorescent light bulbs may be installed in County buildings and facilities. Exterior lights must utilize energy-efficient bulbs. For further details see Article 58 of the General Clauses.

59. COUNTY OF WESTCHESTER PHOSPHORUS-FREE LAWN FERTILIZER POLICY

Executive Order 8-2007 limits the use of lawn fertilizers containing phosphorous and other compounds containing phosphorous, such as phosphate on County owned property.

EXECUTIVE ORDER NO.8 OF 2007

WHEREAS, the New York City water supply watershed is a critical drinking water source for approximately eight million New York City consumers and approximately one million upstate consumers. Over eighty-five percent (85%) of Westchester County's residents consume water from the New York City water supply system; and

WHEREAS, eutrophication is a natural aging process of lakes or streams brought on by

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nutrient enrichment. Eutrophication can be greatly accelerated by human activities that increase the rate at which nutrients and organic substances enter aquatic ecosystems from their surrounding watersheds; and

WHEREAS, as a result of accelerated eutrophication, enhanced plant growth reduces dissolved oxygen in the water creating severely impaired water bodies with unpleasant water taste and odor, discoloration, release of toxins and increased turbidity that interferes with the health and diversity of indigenous fish, plant, and animal populations and with the recreational use of rivers, lakes and wetlands. Consequently, eutrophication restricts water use for fisheries, recreation, industry, and drinking due to the increased growth of undesirable algae and aquatic weeds and the oxygen shortages caused by their death and decomposition; and

WHEREAS, nutrient pollution due to human activities is one of the leading causes of eutrophication in the NYC Watershed, and is specifically accelerated by the introduction of excessive phosphorus into the environment. In fact, most reservoirs in the East of Hudson portion of the New York City Watershed (5 of the 7 located in Westchester County) are designated as phosphorous-restricted basins in accordance with the New York City Watershed Rules & Regulations due to excessive phosphorous volumes which have not been reduced despite phosphorous reductions mandated by the New York State Department of Environmental Conservation (NYSDEC); and

WHEREAS, one unnecessary source of phosphorus pollution in the watershed is the many pounds of lawn fertilizer applied by residents and businesses in the County of Westchester each year; and

WHEREAS, when phosphorus fertilizer is applied to phosphorus-rich lawns, much of the excess simply runs off of the lawn into the storm drainage systems where it can be carried into rivers, lakes, streams, and wetlands, causing eutrophication; and

WHEREAS, soil tests conducted pursuant to a six-year study by the Cornell Cooperative Extension, an extension of the State's designated Land-Grant University, have shown that approximately 90% of the lawns in Westchester County have medium-to-high levels of phosphorus; and

WHEREAS, the New York City Watershed Pesticide and Fertilizer Technical Working Group, established by the New York City Watershed Memorandum of Agreement, issued a report in 2000, noting the high percentage of phosphorus in regional soils and recommending that phosphorus-based lawn fertilizers be added only when a soil analysis identifies phosphorus deficiencies.

WHEREAS, the proposed Stormwater Phase II regulations recently issued by the New York State Department of Environmental Conservation, and which are expected to go into effect in January of 2008, will allow the use of phosphorus-based lawn fertilizers on municipally-owned land only where soil testing indicates that phosphorus concentrations are inadequate, in order to ensure that municipalities in the New York City Watershed are

GENERAL CLAUSES

taking satisfactory steps to achieve the above-referenced mandatory phosphorous reductions.

WHEREAS, the United States Environmental Protection Agency has also determined that a Nonpoint Source Implementation Plan was necessary in the Croton Watershed because the phosphorus reductions necessary to meet the targeted applicable water quality standards could not be achieved by wastewater treatment plant upgrades alone; and

WHEREAS, Section 110.11 of the Laws of Westchester County places the responsibility to supervise, direct and control, subject to law, the administrative services and departments of the county, upon the County Executive; and

WHEREAS, I have determined that restricting the application and use of lawn fertilizer containing phosphorus on all County-owned property will address one source of unnecessary and preventable phosphorus pollution and will improve water quality in the County; and

WHEREAS, the Department of Planning, after review of the applicable regulations under the State Environmental Quality Review Act, has advised that this Executive Order has been classified as a Type II action, pursuant to 6 N.Y.C.R.R. § 617.5(c)(20), “routine or continuing agency administration and management, not including new programs or major reordering of priorities that may affect the environment,” and 6 N.Y.C.R.R. § 617.5(c)(27), “adoption of regulations, policies, procedures and local legislative decisions in connection with any action on this list.” As such, no further environmental review is required.

GENERAL CLAUSES

NOW THEREFORE, I, _____, County Executive of the County of Westchester, in light of the aforementioned, do hereby order and direct each and every department, board, agency, and commission of the County of Westchester under my jurisdiction to ensure that the policies and procedures set forth in the following Phosphorus-Free Lawn Fertilizer Policy are complied with.

COUNTY OF WESTCHESTER PHOSPHORUS- FREE LAWN FERTILIZER POLICY

I. Definitions:

- (1) "Certified laboratory" means any laboratory certified by the New York State Department of Health pursuant to section five hundred two of the New York State Public Health Law to conduct soil analysis.
- (2) "Commercial fertilizer" means any substances containing one or more recognized plant nutrients which is used for its plant nutrient content, and which is designed for use or claimed to have value in promoting plant growth, except unmanipulated animal or vegetable manures, agricultural liming material, wood ashes, gypsum and other products exempted by regulation of the New York State Commissioner of Agriculture and Markets.
- (3) "Lawn fertilizer" means a commercial fertilizer distributed primarily for non-farm use, such as lawns, shrubbery, flowers, golf courses, municipal parks, cemeteries, greenhouses and nurseries, and such other use as the commissioner may define by regulation. Lawn fertilizer does not include fertilizer products intended primarily for garden and indoor plant application.

II. Use and Application of Lawn Fertilizer:

- (1) Any lawn fertilizer that is labeled as containing more than 0% phosphorus or other compound containing phosphorus, such as phosphate, shall not be applied upon any County-owned property, except as provided in section III. Of this Executive Order.
- (2) No lawn fertilizer shall be applied upon County-owned property when the ground is frozen.
- (3) Lawn fertilizer shall not be applied to any impervious surface upon County-owned property, including parking lots, roadways, and sidewalks. If such application occurs, the fertilizer must be immediately contained and either applied to turf in a manner consistent with this Executive Order or placed in an appropriate container.

III. Exemptions:

The prohibition against the use of lawn fertilizer under section II of this Executive Order shall not apply to:

GENERAL CLAUSES

(1) Newly established turf or lawn areas during their first growing season.

(2) Turf or lawn areas that soil tests, performed within the past three years by a certified laboratory or by the Cornell University Cooperative Extension of Westchester County, confirm the need for additional phosphorus application in accordance with the phosphorus levels established by the Cornell University Cooperative Extension of Westchester County. The lawn fertilizer application shall not contain an amount of phosphorus exceeding the amount and rate of application recommended in the soil test evaluation.

(3) Agricultural uses, vegetable and flower gardens, or application to trees or shrubs.

IV. The transition to phosphorus-free lawn fertilizer shall occur as soon as possible in a manner that avoids wasting of existing inventories; accommodates establishment of supply chains for new products; enables the training of County employees and licensees in appropriate work methods; and allows the phase-out of products and practices inconsistent with this Executive Order. However, in no event shall lawn fertilizer containing phosphorus (i.e., labeled as containing more than 0% phosphorus or other compound containing phosphorus, such as phosphate) be applied upon County-owned property after January 1, 2009, unless an exemption set forth in Section III of this Executive Order applies.

V. This Executive Order shall take effect on the date hereof, and shall remain in effect until otherwise superseded, repealed, modified or revoked.



SAMPLE FORMS

DEPARTMENT OF PUBLIC WORKS

Division of Engineering

SAMPLE FORMS

AFFIRMATIVE ACTION PROGRAM REQUIREMENT- SUBCONTRACTOR(S)

County of Westchester, Department of Public Works

(To Be Completed By Subcontractor and Submitted with Request to Utilize Subcontractor)

Affirmative Action Program

An approved Affirmative Action Plan shall be required for all Subcontractors for public work where the subcontracted work exceeds \$50,000 or more than fourteen (14) persons are employed by the Subcontractor.

Does the Subcontractor participate in an approved Affirmative Action Program? Yes [☐] No [☐]

If Yes, give name of Program: _____

If No, how many employees will the Subcontractor employ on this project? _____

An approved Affirmative Action Program shall mean a plan approved or adopted by Westchester County including but not limited to, the Home-Town Plan, the Recruitment Training Program or any other program approved or meeting the requirements of the State or Federal government.

The "Monthly Employment Utilization Report" of the Sample Forms, shall be filled out by the Contractor and/or Subcontractor(s) who are required to have an Affirmative Action Program, prior to the start of the work.

SAMPLE FORMS

CONTRACTOR'S REPORT OF EMPLOYMENT AND WEEKLY AFFIDAVIT

County of Westchester, Department of Public Works

Contract No. _____

Report No. _____

Week(s) ending _____

Title of Contract and Location _____

Contractor or Subcontractor _____

Address _____

STATE OF _____)
COUNTY OF _____) SS.:

I, _____, being duly sworn, depose and say:

1. I pay or supervise the payment of the persons employed by _____
(Contractor or Subcontractor)
in connection with the above referenced contract;

2. During the payment period commencing on the ____ day of _____,
20____ and ending on the _____ day of _____, 20____, all persons employed by
_____ in connection with such contract have been paid in full
(Contractor or Subcontractor)
weekly wages and supplements earned by such persons except the following: (strikeout, if not
applicable)

3. Such persons have been paid the prevailing rate of wages and the supplements as
determined and required by Section 220 of the New York State Labor Law.

SAMPLE FORMS

4. No rebates or deductions have been deducted from such wages and supplements except as authorized or required by applicable statutes or regulations of the Federal, State and County Governments.

5. The following is a true and accurate summary of wages and supplements paid:

_____ During the week _____ Total to date

Number of names on payroll _____

Hours worked _____

Total wages earned _____

6. I have read the foregoing statement of wages and supplement, know the contents thereof, and the same is true to my own knowledge.

(Signature)

STATE OF NEW YORK)
COUNTY OF WESTCHESTER) ss.:

On this _____ day of _____, 20____, before me personally came _____ to me known, and known to me to be the person who executed the above instrument, and who being duly sworn did say that he executed the same.

Sworn to before me
this _____ day of _____

License No.

Notary Public - State of New York

SAMPLE FORMS

MONTHLY EMPLOYMENT UTILIZATION REPORT
County of Westchester, Department of Public Works

<u>MONTHLY EMPLOYMENT UTILIZATION REPORT</u>										JOB TITLE:		CONTRACT NO.:					
WESTCHESTER COUNTY DEPARTMENT OF PUBLIC WORKS DIVISION OF ENGINEERING										NAME AND LOCATION OF CONTRACTOR:		REPORTING PERIOD: FROM: _____ TO: _____					
CONSTRUCTION TRADE	CLASSIFICATION	TOTAL ALL EMPLOYEES BY TRADE				BLACK (NOT HISPANIC ORIGINAL)		WORK HOURS OF EMPLOYMENT				MINORITY PERCENTAGE %	FEMALE PERCENTAGE %	TOTAL NUMBER OF EMPLOYEES		TOTAL NUMBER OF MINORITY EMPLOYEES	
		M	HRS	F	HRS	M	F	M	F	HISPANIC	ASIAN OR PACIFIC ISLANDERS			AMERICAN INDIAN OR ALASKAN NATIVE	M	F	M
	JOURNEY WORKER																
	APPRENTICE																
	TRAINEE																
	SUB-TOTAL																
	JOURNEY WORKER																
	APPRENTICE																
	TRAINEE																
	SUB-TOTAL																
	JOURNEY WORKER																
	APPRENTICE																
	TRAINEE																
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	TRAINEE																
	SUB-TOTAL																
	JOURNEY WORKER																
	APPRENTICE																
	TRAINEE																
	SUB-TOTAL																
	TOTAL JOURNEY WORKER																
TOTAL APPRENTICES																	
TOTAL TRAINEES																	
GRAND TOTAL (#HRS & #EMPL)																	
COMPANY OFFICIAL'S SIGNATURE AND TITLE:										TELEPHONE NUMBER (Include Area Code):				DATE SIGNED:		PAGE: _____ OF _____	

This report must be filled out by all contractors (both prime and sub) who are required to have an Affirmative Action Program, and must be filed with the Engineer by the 5th day of each month during the term of the Contract, and shall include the total work hours of each employee classification in each trade in the covered area for the Monthly Reporting Period. The Prime Contractor shall submit a report for its Aggregate Work Force and collect and submit reports for each subcontractor's Aggregate Work Force to the Engineer.

SHOP DRAWING SCHEDULE
County of Westchester, Department of Public Works

Forms Page 5

SAMPLE FORMS

SHOP DRAWING ID

County of Westchester, Department of Public Works

WESTCHESTER COUNTY DRAWING _____ OF _____

NAME OF PROJECT

Date _____

Contract No. _____

Item/Model No. _____

Manufacturer _____

Contract Drawing No. _____

Specification Section _____

This document has been reviewed, coordinated and checked for accuracy of content and for compliance with the Contract Documents. The information contained herein has been coordinated with all other Contract Work.

Contractor _____

Signed _____

REQUEST FOR APPROVAL OF EQUAL
County of Westchester, Department of Public Works

EQUAL

County of Westchester, Department of Public Works

Attach a separate sheet here if more space is required.

SAMPLE FORMS

CONTRACTOR'S ULTRA LOW SULFUR DIESEL FUEL AFFIDAVIT

County of Westchester, Department of Public Works

Contract No. _____ Period Included in this Report: _____, 20__ to _____, 20__

Title of Contract and Location _____

Contractor _____

Address _____

Subcontractor _____

Address _____

STATE OF _____) ss.:
COUNTY OF _____)

I, _____ being duly sworn, depose and say:
(print name) (print title)

1. I certify under penalty of perjury that I agree to comply with the requirements of Chapter 878, Article XIII, Section 873.13.29 of the Laws of Westchester County.
2. During the period _____ through _____, all diesel-powered vehicles, used in the performance of Contract No. _____, were powered by ultra low sulfur diesel fuel (15 ppm Sulfur Maximum).
3. No fuel other than Ultra Low Sulfur Diesel Fuel (15 ppm Sulfur Maximum) was utilized on this project for the above described vehicles.
4. The annexed Ultra Low Sulfur Diesel Fuel Log is a true and accurate summary of the low sulfur diesel fuel (15 ppm Sulfur Maximum) purchased and utilized in the performance of this project.
5. I have read the foregoing statement, have full knowledge of the contents thereof, and it is my intent that the County of Westchester will rely on the statements contained herein.

(Signature)

STATE OF _____) ss.:
COUNTY OF _____)

On this _____ day of _____, 20__, before me personally came _____ to me known, and known to me to be the person who executed the above instrument, and who being duly sworn did say that he/she executed the same.

Sworn to before me this

_____ day of _____, 20__.

Notary Public

The Ultra Low Sulfur Diesel Fuel-Log must be attached.

This Certification also has to be submitted by your subcontractor(s). *Additional copies of this form can be acquired from the Department of Public Work.*

SAMPLE FORMS

ULTRA LOW SULFUR DIESEL FUEL (15 ppm Sulfur Maximum) – LOG

Period of Log: _____ through _____

Contract No. _____

Title of Contract and Location _____

Contractor or Subcontractor _____

Address _____

Date of Purchase	Name and Address of Vendor (Print)	Gallons Purchased

A Separate Copy of this Certification will also have to be signed by each of your subcontractors that utilize diesel powered vehicles, fifty horsepower or greater, on the above project. Additional copies of this form can be acquired from the Department of Public Works.

- ☐ New
☐ Change
☐ No Change

Electronic Funds Transfer (EFT) Vendor Direct Payment Authorization Form

INSTRUCTIONS: Please complete both sections of this Authorization form and attach a voided check. See the reverse for more information and instructions (Forms Page 21). If you previously submitted this form and there is no change to the information previously submitted, ONLY complete lines 1 through 6 of section 1.

Section I - Vendor Information

1. Vendor Name:

2. Taxpayer ID Number or Social Security Number:

--	--	--	--	--	--	--	--	--	--

3. Vendor Primary Address

4. Contact Person Name:

Contact Person Telephone Number:

5. Vendor E-Mail Addresses for Remittance Notification:

6. Vendor Certification: *I have read and understand the Vendor Direct Payment Program and hereby authorize payments to be received by electronic funds transfer into the bank that I designate in Section II. I further understand that in the event that an erroneous electronic payment is sent, Westchester County reserves the right to reverse the electronic payment. In the event that a reversal cannot be implemented, Westchester County will utilize any other lawful means to retrieve payments to which the payee was not entitled.*

Authorized Signature

Print Name/Title

Date

Section II- Financial Institution Information

7. Bank Name:

8. Bank Address:

9. Routing Transit Number:

--	--	--	--	--	--	--	--	--	--

10. Account Type:
(check one)

☐ Checking

☐ Savings

11. Bank Account Number:

12. Bank Account Title:

13. Bank Contact Person Name:

Telephone Number:

14. FINANCIAL INSTITUTION CERTIFICATION (required **ONLY** if directing funds into a Savings Account **OR** if a voided check is not attached to this form): *I certify that the account number and type of account is maintained in the name of the vendor named above. As a representative of the named financial Institution, I certify that this financial Institution is ACH capable and agrees to receive and deposit payments to the account shown.*

Authorized Signature

Print Name / Title

Date

**(Leave Blank - to be completed by
Westchester County) - Vendor number assigned**

(WC DPW E Version 11/3/08)

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Forms Page 11

Electronic Funds Transfer (EFT) Vendor Direct Payment Authorization Form

GENERAL INSTRUCTIONS

Please complete both sections of the Vendor Direct Payment Authorization Form and forward the completed form (along with a voided check for the account to which you want your payments credited) to: Westchester County Board of Acquisition and Contract, 148 Martine Ave, Room 104, White Plains, NY 10601, Attention: Vendor Direct. Please see item 14 below regarding attachment of a voided check.

Section I - VENDOR INFORMATION

1. Provide the name of the vendor as it appears on the W-9 form.
2. Enter the vendor's Taxpayer ID number or Social Security Number as it appears on the W-9 form.
3. Enter the vendor's complete primary address (not a P.O. Box).
4. Provide the name and telephone number of the vendor's contact person.
5. Enter the business e-mail address for the remittance notification. THIS IS VERY IMPORTANT. This is the e-mail address that we will use to send you notification and remittance information two days prior to the payment being credited to your bank account. We suggest that you provide a group mailbox (if applicable) for your e-mail address. You may also designate multiple e-mail addresses.
6. Please have an authorized Payee/Company official sign and date the form and include his/her title.

Section II - FINANCIAL INSTITUTION INFORMATION

7. Provide bank's name.
8. Provide the complete address of your bank.
9. Enter your bank's 9 digit routing transit number.
10. Indicate the type of account (check one box only).
11. Enter the vendor's bank account number.
12. Enter the title of the vendor's account.
13. Provide the name and telephone number of your bank contact person.
14. If you are directing your payments to a Savings Account OR you can not attach a voided check for your checking account, this line needs to be completed and signed by an authorized bank official. IF YOU DO ATTACH A VOIDED CHECK FOR A CHECKING ACCOUNT, YOU MAY LEAVE THIS LINE BLANK.



SAMPLE CONTRACT AND BOND
FOR CONSTRUCTION

DEPARTMENT OF PUBLIC WORKS

Division of Engineering

WESTCHESTERGOV . COM

**DEPARTMENT OF PUBLIC WORKS
OFFICE OF THE COMMISSIONER**

CONTRACT AND BOND

FOR CONTRACT

NOTE: ONLY PROVIDED AS A SAMPLE IN THESE SPECIFICATIONS FOR INFORMATIONAL PURPOSES AND NOT TO BE EXECUTED WHEN SUBMITTING THE BID PROPOSAL. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO EXECUTE THESE DOCUMENTS, AS MORE FULLY DESCRIBED IN THE PROPOSAL REQUIREMENTS.

CONTRACT NO.:

Amount of Contract: \$

THIS AGREEMENT made this **th** day of , **2022**, by and between the **COUNTY OF WESTCHESTER**, a municipal corporation of the State of New York, hereinafter, "County", and

hereinafter called the "Contractor", WITNESSETH as follows:

WHEREAS, the Commissioner of Public Works and Transportation, hereinafter called "Commissioner", by virtue of the power and authority in him vested did advertise for proposals and bids for:

Westchester County, New York, to furnish all labor, tools, implements and materials that may be requisite and necessary to the execution and completion of the work according to the plans, specifications, profiles and other drawings relating to such work, as approved by the County of Westchester and now on file in the Office of the Commissioner, and

WHEREAS, the Contractor did bid for said work in the manner and form as required by said plans and specifications and, being the lowest responsible bidder therefore, was duly awarded the Contract for such work at prices named in the itemized proposal by a resolution of the Board of Acquisition and Contract of the said County of Westchester.

NOW THEREFORE, the Contractor, in consideration of the prices so named for the various items of work to be paid for as hereinafter provided, does for itself, its representatives, agents, executors, administrators, successors or assigns, covenant and agree with the County that it, the said Contractor, shall and will at its own proper costs and charges and in conformity with said plans and specifications which are made a part of this Contract without setting forth same herein, provide all manner and kind of materials, molds, models, cartage, appliances and appurtenances required and of every description necessary for the due and proper performance of this Contract and the completion of said work to be done under the supervision and direction of the Commissioner, in a good workmanlike manner and in conformity with said plans and specifications without any alteration, deviation, additions, or omissions therefrom except upon due request and under the written direction of said Commissioner.

The Contractor acknowledges receipt of the "Information for Bidders, General and Special Clauses, Specification, Proposal and Plans" relating to this Contract, as well as all issued Addenda thereto, all of which are expressly incorporated in this Contract as if fully set forth herein.

IT IS FURTHER UNDERSTOOD AND AGREED by and between the parties to this Contract that if in the opinion of the said Commissioner of the County of Westchester it shall become necessary to make any change in the work called by the plans and specifications which are a part of this Contract, whereby, consistent with the Information for Bidders, the work contemplated by said plans and specifications is modified and reduced and the costs and expenses of such work lessened, that then and in that event the Contractor will do the work as changed and modified and the said Commissioner shall estimate the difference between the original estimate of quantities therefor and the amount that should be paid by reason of the modification and change and the difference shall be deducted from the original estimate of quantities therefore of said Contract and said Contractor shall be paid accordingly. The estimate of said Commissioner shall be final and conclusive upon the parties hereto and may not be challenged except in a proceeding commenced pursuant to Article 78 of the Civil Practice Law and Rules. Any changes, modifications or deductions shall in no way invalidate this Contract and said Contractor agrees that in the event of any such change or modification reducing the original, estimated quantities therefore, it will not make any claim for any profit, or loss of profit by reason thereof. Notwithstanding any dispute or disagreement arising hereunder, Contractor agrees that the Work shall not be delayed nor disrupted by reason thereof.

The County hereby covenants and agrees with the said Contractor, in consideration of the covenants and agreements herein being strictly and in all respects complied with by the said Contractor as specified, that it will well and truly pay unto the said Contractor the unit prices set forth in the Proposal for the various items included in the Contract.

All partial payments will be made in accordance with the provisions set forth in the "Information for Bidders" and especially that part thereof which relates to "Estimates and Payments".

Furthermore, all partial payments will be made on the claim voucher and verified certificate of the Commissioner, both of which shall be filed in the Office of the Commissioner of Finance of the County of Westchester. The said claim voucher shall show the value of the work completed and the verified certificate shall show the said work was done in accordance with the plans and specifications.

With the final estimate the Contractor shall furnish to the Construction Administrator a sworn statement listing all unpaid bills and liabilities incurred under this Contract up to and including the date of the estimate. Where there are any bills or liabilities in excess of moneys due under any estimate under this Contract, the Construction Administrator may withhold payment of the estimate pending a satisfactory proof of settlement or adjustment of any excess claims. No final estimate will be approved or passed for payment unless and until the Contractor furnishes satisfactory proof that all bills and liabilities incurred under the Contract are paid in full and complies with the requirements of Section 220-a of the Labor Law.

Acceptance shall be effected as follows: whenever, in the opinion of the Commissioner, the Contractor shall have completely performed the Contract on his part to be performed, the Commissioner shall so certify in writing to the Board of Acquisition and Contract of the County and file such certificate with the said Board, stating therein, in substance that the work has been duly examined by him and that the same has been fully performed and completed in accordance with the terms of the Contract therefor, and recommending the acceptance thereof. When the Board of Acquisition and Contract by resolution duly adopts, approves and ratifies, the said acceptance shall be complete. No final payment shall be made under this Contract until such certificate of completion and recommendation of acceptance have been approved and ratified by a resolution of said Board of Acquisition and Contract.

Unless otherwise provided for in the contract documents, the Commissioner may take over, use, occupy or operate any part of the Work at any time prior to Final Acceptance upon written notification to the Contractor. The Engineer shall inspect the part of the Work to be taken over, used, occupied or operated, and will furnish the Contractor with a written statement of the Work, if any, that remains to be performed on such part. The Contractor shall not object to, nor interfere with, the Commissioner's decision to exercise the rights granted herein. In the event the Commissioner takes over, uses, occupies or operates any part of the work: (i) the Commissioner shall issue a written determination of Substantial Completion with respect to such part of the Work; and (ii) the Contractor shall be relieved of its absolute obligation to protect such part of the unfinished work in accordance with Article 19 of the General Clauses.

The Commissioner will approve a final estimate for final payment consistent with the authorization of final acceptance from the Board of Acquisition and Contract less previous payments and any and all deductions authorized to be made by the Commissioner under the Contract or law. Payment pursuant to such final estimate less any additional deductions authorized to be made by the Commissioner of Finance under the Contract or law shall constitute the final payment and shall be made by the Commissioner of Finance. If the contract is terminated prior to final acceptance the Commissioner is authorized to prepare a final payment as otherwise authorized by the Board of Acquisition and Contract subject to the above noted adjustments.

Upon the completion and acceptance of this Contract by the Board of Acquisition and Contract, as aforesaid, the Commissioner shall proceed with all reasonable diligence to ascertain from actual measurements the whole amount of work done by the Contractor, and also the value of such work under and according to the terms of this Contract, and thereupon make out in writing a final estimate therefor.

After the completion and acceptance as herein above-mentioned, the Commissioner of Public Works and Transportation shall file with the Commissioner of Finance of the County of Westchester the original verified certificate, claim voucher and the certification required by Section 220-a of the Labor Law, together with a certified copy of the resolution of approval and ratification of the Board of Acquisition and Contract of the said verified certificate and claim voucher and the resolution of acceptance of completion.

IT IS FURTHER UNDERSTOOD AND AGREED by and between the parties to this Contract that the Contractor will accept the unit prices named in the proposal for all additions to or deductions from the original quantities as given in the specifications. It is agreed that the Commissioner will make estimates of the value for the work completed as provided in the specifications and the final estimate will be made accordingly.

The Contractor further agrees that if at any time before or within thirty days after the whole of the work herein agreed to be performed has been completed and accepted any person or persons claiming to have performed any labor or furnished any material towards the performance and completion of this contract shall file with the proper officials any such notice as is described in the Lien Law, or any other act of the Legislature of the State of New York, the Contractor shall cause such Lien to be discharged of record. Otherwise and in every case and until the Lien is discharge of record the County shall retain, anything herein to the contrary notwithstanding, from the moneys under its control and due or to grow due under this Contract the sum of one hundred fifty (150%) percent of the amount of such Lien, unless otherwise authorized to withhold a larger amount. The Contractor further agrees to pay the County upon demand the costs, including but not limited to attorney's fees, incurred by the County in any action(s) brought to foreclose or otherwise enforce said Lien.

The term of this Agreement shall commence on **August 25, 2022** and shall terminate on **August 20, 2025**. It is recognized and understood by the parties that the above Agreement termination date is solely for accounting purposes to allow for final closeout of this Agreement. Accordingly, the Contractor covenants and agrees to commence the work embraced in this Agreement on the Agreement commencement date and to complete said work in all respects on or before the work completion date set forth the General Requirements section of this Agreement.

It is further understood and agreed by the parties hereto that the time of completion is of the essence of this Contract.

It is further understood and agreed by the Contractor that before entering upon the performance of this Contract it shall have approved by the County Attorney the Bond required to be furnished by it in the sum of --- **FOUR MILLION ONE HUNDRED FIFTY THOUSAND NINE HUNDRED DOLLARS-00/100--- [\$4,150,900.00]**-conditioned for the faithful performance of the work.

It is further understood and agreed by the Contractor that, in addition to, and not in limitation of the insurance requirements contained in Schedule "A" entitled "Standard Insurance Provisions", attached hereto and made a part hereof, the Contractor agrees:

(a) that except for the amount, if any, of damage contributed to, caused by or resulting from the sole negligence of the County, the Contractor shall indemnify and hold harmless the County, its officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly or indirectly out of the acts or omissions hereunder by the Contractor or third parties under the direction or control of the Contractor; and

(b) to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action directly or indirectly arising out of this Agreement and to bear all other costs and expenses related thereto.

(c) In the event the Contractor does not provide the above defense and indemnification to the County, and such refusal or denial to provide the above defense and indemnification is found to be in breach of this provision, then the Contractor shall reimburse the County's reasonable attorney's fees incurred in connection with the defense of any action, and in connection with enforcing this provision of the Agreement.

The Contractor hereby covenants and agrees to observe the plans, specifications and directions of the Commissioner in the doing of the work provided for under this Contract and to furnish the necessary materials and implements required therefore and to remove condemned material and rubbish as provided by plans and specifications and to employ a competent and sufficient force of workmen to complete the work of this improvement within the time specified. Should the Contractor at any time become insolvent, make an assignment for the benefit of creditors, abandon the Work, reduce its working force to a number which, if maintained, would be insufficient, in the sole opinion of the Commissioner, to complete the Work in accordance with the approved progress schedule; sublet, assign or otherwise dispose of this Contract other than as permitted elsewhere herein, refuse or neglect to supply a sufficiency of properly skilled workmen, or of material of the proper quantity or fail in any respect to prosecute the work with promptness and diligence, or fail in any other way in the performance of any of the agreements herein contained; all the foregoing being deemed acts of default, and such default being certified by the Commissioner, the County of Westchester, acting by the Board of Acquisition and Contract, shall be at liberty after five days written notice to the Contractor to provide any such labor or materials, use any and all sums due or to become due to the Contractor under this Contract, to pay for such labor and material, and if the Commissioner shall certify that such default is sufficient ground for such action, the County of Westchester acting by the Board of Acquisition and Contract, shall also be at liberty to terminate the employment of the Contractor for the said work and to enter upon the premises and take possession for the purpose of completing the work included under this Contract of all materials, tools and appliances thereon and to employ any other person or persons to finish the work and provide the materials therefore. Upon the Contractor's receipt of a notice from the County the Contractor shall immediately discontinue all further operations under this Contract. In case of such termination, the Contractor shall not be entitled to receive any further payment under this Contract until the said work shall be wholly finished, at which time if the unpaid balance of the amount to be paid under this Contract shall exceed the reasonable value of the work performed and the material furnished or the total costs therefor, whichever is greater, in finishing the work, such excess shall be paid by the County of Westchester to the Contractor, but if such expense shall exceed such unpaid balance, the Contractor shall pay the difference to the County.

The expense incurred by the County and the total costs as herein provided either for furnishing materials or for finishing the work and any damage incurred through such default shall be certified by the Commissioner whose certificate thereof shall be final and conclusive

upon the parties and may not be challenged except in a proceeding commenced pursuant to Article 78 of the Civil Practice Law and Rules.

In case the County shall declare the Contractor in default as to a part of the work only, the Contractor shall immediately discontinue such part, shall continue performing the remainder of the Work in strict conformity with the terms of the Contract.

In completing the whole or any part of the Work under the provisions of this Contract, the Commissioner shall have the power to depart from or change or vary the terms and provisions of this Contract. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the Commissioner's certification of the cost of completion referred to above, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the Contractor hereunder but for his default or partial default.

In addition to termination as provided for above, the County may terminate this Contract for the convenience of the County by written notice to the Contractor from the Commissioner. In such event and upon receipt of such notice the Contractor shall stop work on the date specified in the notice; take such actions as may be necessary to protect and preserve the County's materials and property; cancel all cancelable orders for material and equipment; assign to the County and deliver to the jobsite or any other location designated by the Commissioner any non-cancelable orders for material and equipment that is not capable of use except in the performance of this Contract and which has been specifically fabricated for the sole purpose of this Contract and not incorporated in the Work; and take no action that will increase the amounts payable by the County under this Contract.

In the event the contract is cancelled for the convenience of the County the following provisions shall apply:

(a) For Work completed prior to the notice of termination, the Contractor shall be paid the fair and reasonable value of its work determined by the pro rata portion of the lump sum bid amount based upon the percent completion of the Work as of the date of termination as determined by the Commissioner, plus work completed pursuant to approved change orders, less amounts previously paid. For purposes of determining the pro rata portion of the lump sum bid amount to which the Contractor is entitled, the Contractor's approved bid breakdown pursuant to Article 21 of the Information for Bidders shall be considered but shall not be dispositive as to the fair and reasonable value.

(b) For non-cancelable material and equipment that is not capable of use except in the performance of this Contract and which has been specifically fabricated for the sole purpose of this Contract, but not yet incorporated in the Work, the Contractor shall be paid the fair and reasonable value thereof as determined by the Commissioner, but not more than the Contractor's cost for such material and equipment, plus an additional sum of two (2%) percent of such fair and reasonable value.

(c) In the event the County terminates a lump sum Contract for convenience within thirty (30) days after the Contractor has received the Notice of Award from the County, the Contractor shall be paid one (1%) percent of the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to (a) and (b).

(d) On all unit price Contracts, or on unit price items in a Contract, the County will pay the Contractor the sum of (e) and (f) below, less all payments previously made pursuant to this Contract:

(e) For all completed units, the unit price stated in the Contract, and

(f) For units that have been ordered but are only partially completed, the Contractor will be paid (i) a pro rata portion of the unit price as stated in the Contract based upon the percent completion of the unit as determined by the Commissioner and (ii) for non-cancelable material and equipment, payment will be made pursuant to (b), above.

(g) The Commissioner's determination(s) hereunder shall be final, binding and conclusive and subject to review only pursuant to Article 78 of the New York Civil Practice Law and Rules.

(h) The County shall not be liable to the Contractor for any payment or claim if the termination for convenience results in a reduction of thirty (30%) percent or less of the original contract price as bid.

On all Contracts or items in a Contract where time and material records are specified as the basis for payment of the Work, the Contractor shall be paid in accordance with Article 29 of the General Clauses, less all payments previously made pursuant to this Contract.

In no event shall any payments made pursuant to a termination for convenience exceed the Contract price for such items, either individually or collectively.

All payments made pursuant to a termination for convenience shall be in the nature of liquidated damages and shall be accepted by the Contractor in full satisfaction of all claims against the County.

The County may deduct or set off against any sums due and payable arising from a termination for convenience, any claims it may have against the Contractor.

In the event the County terminates the Contractor for default and it is subsequently determined that the Contractor was not in default, said termination shall automatically be converted for all purposes into a termination for convenience.

It is further understood and agreed between the parties hereto that no certificate given or payment made under this Contract, except the final certificate or final payment shall be conclusive evidence of the performance of this Contract either wholly or in part and that no payment shall be construed to be an acceptance of defective work or improper materials. If the Contractor shall fail to replace any defective work or materials, the County may cause such defective materials to be removed and defective work to be replaced and the expense thereof shall be deducted from the amount to be paid the Contractor.

Anything to the contrary in the preceding paragraph notwithstanding, the Contractor is responsible for the repair of defects in materials and workmanship for a period of one year from the date of final acceptance of the work by the Board of Acquisition and Contract, unless a longer term is specified in the specifications.

The Contractor further agrees not to assign, transfer, convey, sublet or otherwise dispose of this Contract, or its right, title or interest in or to the same, or any part hereof without the previous consent in writing of the Board of Acquisition and Contract of the County. Before a Subcontractor shall proceed with any work, the Commissioner must first recommend and the Board of Acquisition and Contract must approve the use of the Subcontractor on this Contract. If a Subcontractor is not approved it may not work on this Contract. The Contractor specifically waives any claim due to the failure or refusal of the Commissioner or the Board of Acquisition and Contract to approve said Subcontractor.

The Contractor agrees to hold himself responsible for any claims made against the County for any infringement of patents by the use of patented articles in the construction and completion of the work or any process connected with the work agreed to be performed under this Contract or of any material used upon the said work, and shall indemnify and save harmless the County for the costs, expenses and damages which the County may be obligated to pay by reason of any infringement of patents used in the construction and completion of the work.

The parties hereto agree that no laborer, workman or mechanic in the employ of the Contractor, Subcontractor or other person doing or contracting to do the whole or part of the work contemplated by the Contract shall be permitted or required to work more than eight hours in any one calendar day or more than five days in any one week except in cases of extraordinary emergency including fire, flood or danger to life or property. No such person shall be so employed more than eight hours in any day or more than five days in any one week except in such emergency. Time lost in any week because of inclement weather by employees engaged in the construction, reconstruction and maintenance of highways outside of the limits of cities and villages may be made up during that week and/or the succeeding three weeks.

The Contractor further agrees to erect and maintain during construction all necessary guards, rails and signals to prevent accidents to persons, vehicles or to the adjoining property and also agrees to use all necessary precautions in blasting and that he will indemnify and save the County of Westchester harmless from all suits and actions of any kind and nature whatsoever from or on account of the construction of said work.

It is further understood and agreed by the parties hereto that should any dispute arise respecting the true construction, interpretation or meaning of the Contract plans, specifications or conditions herein, or the measurements for the payment thereunder, same shall be referred to and decided by the said Commissioner and his decision thereon shall be final and conclusive upon the parties thereto and may not be challenged except in a proceeding commenced pursuant to Article 78 of the Civil Practice Law and Rules. This provision shall also apply to the true value of and duly authorized extra work or any work permitted by agreement in case any work shall be ordered performed, or any work called for shall be so omitted under and upon the direction of said Commissioner.

The Contractor by the submitting of bids and execution of this Contract hereby covenants and agrees that he has examined the plans, specifications and the site work, as to local conditions, difficulties and accuracy of approximate estimate of quantities and does hereby further covenant and agree that he will not make any claim for damages by reason of any such local conditions, difficulties or variation of approximate estimate of quantities.

The Contractor represents and warrants to the County with the knowledge and expectation that this warranty will be relied upon by the County that it is not now participating and has not at any time participated, either directly or through any substantially owned or affiliated person, firm, partnership or corporation, in an international boycott in violation of the provisions of United States Export Administration Act of 1969, 50 USC 2401 et seq. or the regulations promulgated thereunder.

The Contractor further warrants and represents that it is financially solvent, and sufficiently experienced and competent to perform the work and that the facts provided by it to the County in its bid and supporting documents, and contract documents are true and correct in all respects.

This Contract shall become void and any rights of the Contractor hereunder shall be forfeited if, subsequent to the execution hereof, the Contractor is convicted of a violation of the provision of the United States Export Administration Act of 1969, 50 USC 2401 et seq. as amended or has been found upon the final determination of the United States Commerce Department or any other appropriate agency of the United States or the State of New York to have violated such act or regulations.

If the Contractor, any officer, director, or any party holding a controlling interest (defined as five (5%) percent or more, or in the case of a corporation, any stockholder owning five (5%) percent or more of the outstanding shares) is convicted of a crime (excluding Class B and Unclassified Misdemeanors as defined under the New York State Penal Law and their equivalent in any city, state or under Federal law related to the type of services or activities which are the subject matter of this Contract) or if a related or affiliated company, partnership or corporation is convicted of a crime (excluding Class B and Unclassified Misdemeanors as defined above) after this Contract is fully executed, the County shall have the right to terminate this Agreement immediately and without penalty. An "affiliated company" as used herein means any affiliate which is a partnership, corporation, proprietorship, association or other entity (i) in which a 50% or greater ownership interest (as defined below) is directly or indirectly held by the Contractor or

any of its management personnel (as defined below) or directors, (ii) which directly or indirectly holds 50% or more of the ownership interest in the Contractor, (iii) in which an aggregate 20% or greater ownership interest is directly or indirectly held by one or more shareholders (or partners or proprietors, in the case of a partnership or proprietorship) which or who in the aggregate hold a 20% or greater ownership interest in the Contractor, or (iv) which, whether by Contract or otherwise, directly or indirectly controls, is controlled by or is under common control with the Contractor. An "ownership interest" means the ownership, whether legally or beneficially, of the stock of or assets employed by a corporation, of a partnership interest in or assets employed by a partnership or of a similar interest in or assets employed by any other entity. "Management personnel" means executive officers and all other persons, whether or not officers or employees, who perform policy-making functions similar to those of executive officers.

The Contractor represents that at the time of execution of this Contract, no individual or entity, as described above, has been convicted of a crime during the five (5) year period preceding the execution of this Contract.

Pursuant to Chapter 308 of the Laws of Westchester County (Local Law 18-1997), it is the goal of the County to use its best efforts to encourage, promote and increase participation of business enterprises that are owned and controlled by persons of color or women in contracts and projects funded by the County, and to monitor such participation. The parties agree that the Contractor has completed the questionnaire contained in the bid specifications attached hereto as part of this Agreement.

The County believes it is a laudable goal to provide business opportunities to veterans who were disabled while serving our country, and wants to encourage the participation in County contracts of certified business enterprises owned and controlled by service-disabled veterans. As part of the County's program to encourage the participation of such business enterprises in County contracts, and in furtherance of Article 17-B of the New York State Executive Law, the parties agree that the Contractor has completed the questionnaire entitled Questionnaire Regarding Business Enterprises Owned and Controlled by Service-Disabled Veterans contained in the bid specifications attached hereto as part of this Agreement.

It is recognized and understood by the parties that this Contract is subject to appropriation by the Westchester County Board of Legislators. The County shall have no liability under this Contract beyond the funds, if any, that are appropriated and available for payment of the amounts due under this Contract. Notwithstanding the foregoing, the County will do all things lawfully within its power to obtain, maintain and properly request and pursue funds from which payments under this Contract may be made.

The parties hereto for themselves, their legal representatives, successors and assigns, expressly agree that any legal action or proceeding that may arise out of or relating to this Contract shall be brought and maintained only in the courts of the State of New York ("New York State Court") located in the County of Westchester. With respect to any action between the County and Contractor in New York State Court, the Contractor hereby expressly waives and relinquishes any rights it may otherwise have (i) to move to dismiss on grounds of forum *non*

conveniens; (ii) to remove to Federal Court; and (iii) to move for a change of venue to a New York State Court outside of Westchester County.

The Contractor for itself, its legal representatives, successors or assigns expressly agrees that no legal action or proceeding shall lie or be maintained against the County upon any claims based upon or arising out of this Contract unless such action or proceeding shall be commenced within six (6) months of final acceptance of the work by the Board of Acquisition and Contract, or within six (6) months after the termination of this Contract, whichever first occurs.

This Contract and its terms, covenants, obligations, conditions and provisions shall be binding upon all the parties hereto, their legal representatives, successors and assigns.

This Contract shall not be enforceable until it is signed by all parties and approved by the Office of the County Attorney.

[Intentionally Left Blank.
Signatures to Follow.]

IN WITNESS WHEREOF, the parties hereto have executed this agreement, THE COUNTY OF WESTCHESTER pursuant to law by:

_____ its Commissioner

and the **CONTRACTOR:**

BY _____ its _____
(Type or Print Name) (Type or Print Title)

THE COUNTY OF WESTCHESTER:

BY: _____
Commissioner

CONTRACTOR:

(SEAL)

ELQ INDUSTRIES, INC.

BY: _____
(Signature)

ATTEST
BY: _____
(Signature)

Recommended:

Department of Public Works and Transportation

Approved as to form and manner of execution this _____ day of _____, 2022

County Attorney

STATE OF NEW YORK)
COUNTY OF) ss:

NOTARY

STATE OF NEW YORK)
COUNTY OF) ss:

NOTARY

STATE OF NEW YORK)
COUNTY OF) ss:

NOTARY

LIMITED LIABILITY COMPANY ACKNOWLEDGEMENT

STATE OF NEW YORK)
COUNTY OF) ss:

On this _____ day of _____, 2022, before me personally
came _____ to me known to be the individual who executed
the foregoing instrument, and who being duly sworn by me, did depose and say that he/she is
(are) _____ of _____
(Member/Manager) (Limited Liability Company)

a Limited Liability Company, and that he/she has authority to sign the same, and acknowledge
that he/she executed the same as the act and deed of said Limited Liability Company.

(Signature)

Sworn to before me this _____ day
of _____, 2022

Notary

**CERTIFICATE OF AUTHORITY
(CORPORATION)**

I, _____
(Officer OTHER THAN officer signing contract)

certify that I am _____ of
(Title)

(Name of Corporation)

a corporation duly organized and in good standing under the _____

(Law under which organized, e.g., the New York Business Corporation Law) named in the foregoing agreement; that

(Person executing Agreement)

who signed said Agreement on behalf of the _____
(Name of Corporation)

was at the time of execution _____ of the Corporation and
(Title of such person)

that said agreement was duly signed for and on behalf of said Corporation by authority of its Board of Directors, thereunto duly authorized and that such authority is in full force and effect at the date of hereof.

(Signature)

STATE OF NEW YORK)

)ss:

COUNTY OF)

On the _____ day of _____, in the year 2022, before me, the undersigned, a Notary Public in and for said State, _____ personally appeared, personally known to me or proved to me on the basis of satisfactory evidence to be the officer described in and who executed the above certificate, who being by me duly sworn did depose and say that he/she resides at _____ and he/she is an officer of said corporation; that he/she is duly authorized to execute said certificate on behalf of said corporation, and that he/she signed his/her name thereto pursuant to such authority.

Notary

Date: _____

CERTIFICATE OF AUTHORITY
LIMITED LIABILITY COMPANY

I _____
(Member/Manager OTHER THAN person executing Agreement)

certify that I am a _____ of the
Member/Manager
_____ (the "LLC") duly
(Limited Liability Company)

organized under the Laws of the State of _____; that
_____ who signed said agreement on behalf
(Person Executing Agreement)

of the LLC was, at the time of execution, a Member/Manager of the LLC; that said Agreement was duly signed for and on behalf of said LLC and as the act of said LLC for the purposes therein mentioned.

(Signature)

STATE OF NEW YORK)

COUNTY OF _____)ss:
_____)

On the _____ day of _____, 2022, before me the undersigned, a Notary Public in and for said State, _____ personally appeared, personally known to me or proved to me on the basis of satisfactory evidence to be the Member/Manager described in and who executed the above certificate, who being by me duly sworn did depose and say that he/she resides at _____

and he/she is a Member/Manager of LLC; that he/she is duly authorized to execute said certificate on behalf of said LLC, and that he/she signed his/her name thereto pursuant to such authority.

Notary

Date: _____

STATE OF NEW YORK)
)ss:
COUNTY OF)

Notary

SAMPLE

PERFORMANCE AND PAYMENT BOND

Bond No. _____

KNOW ALL BY THESE PRESENT,

that we, _____,
(Insert legal name and address of Contractor)

as Principal (hereinafter, together with its successors, assigns, subcontractors, administrators, executors or any other designees or transferees, collectively the "Principal"), and

_____,
(Insert legal name and address of Surety)

as Surety (hereinafter, together with its successors, assigns, subcontractors, administrators, executors or any other designees or transferees, collectively the "Surety"), are held and firmly bound along with our heirs, executors, administrators, successors and assigns, jointly and severally, unto **THE COUNTY OF WESTCHESTER, 148 Martine Avenue, White Plains, New York 10601**, as Obligee, (hereinafter "Obligee") for payment of the penal sum of _____.

(hereinafter the "Penal Sum"), in lawful money of the United States, as more particularly set forth herein.

Said Penal Sum shall apply separately and independently, in its total amount, to the payment provision and the performance provision of this bond and shall not reduce or limit the right of the Obligee or any other claimant to recover under the other said provision.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

WHEREAS, the Obligee, by resolution of its Board of Acquisition and Contract, has authorized the award of an agreement to the Principal for the work (the "Work") commonly described as:

Contract # _____.

WHEREAS, the Principal has entered into an agreement with the Obligee for performance of the Work in strict accordance with the agreement, its attachments and specifications contained therein; (the agreement with all attachments is hereinafter collectively referred to as the "Contract" and are incorporated herein and made a part hereof by reference); and

WHEREAS, by the terms of the Contract, the Principal is required to furnish a bond ensuring the Principal's prompt, full and faithful performance of the Contract.

NOW THEREFORE, if the Principal shall

(1) promptly, fully and faithfully perform the Work and each and all of the terms and obligations to be carried out and performed by the Principal in strict accordance with the terms, conditions and covenants of the Contract as it may be modified or amended from time to time; and if the Principal shall indemnify and save harmless the Obligee and all of its officers, agents and employees from any and all losses, liability and damages, claims, judgments, liens, costs, and fees of every description, which may be incurred by the Obligee by reason of a default or failure on the part of the Principal in the strict performance of any or all of the terms or obligations of the Contract, including all modifications, and amendments, thereto, and any warranties or guarantees required thereunder; and

(2) also promptly make payment of all wages, labor, services, supplies and material rendered or reasonably required for use in the performance of the Contract, of all persons and firms engaged in the Work provided for in the Contract, whether such persons are agents, servants or employees of the Principal, or any subcontractor or of any assignee or designee thereof, regardless of any contractual relationship between the Principal, or any subcontractor or any designee thereof, and further, shall pay or cause to be paid all lawful claims of subcontractors, materialmen and other third persons in connection with the work, labor, services, supplies and material furnished in and about the performance of the Contract, then this obligation shall be void; otherwise, it shall be, and remain, in full force and effect.

PROVIDED, however, that this bond is subject to the following additional terms and conditions:

The Surety, for value received, hereby stipulates and agrees that no change, adjustment of the time for performance of the Contract, any extension of time, adjustment of the Contract's not-to-exceed amount, any payment whether or not before the time required, any waiver of any provision, or by an assignment, subletting or other transfer of any of the Work, or of payment or non-payment of any moneys due or to become due under the Contract, any alterations, deletions, additions, or any other modifications to the terms of the Contract, the Work to be performed, or to the Contract specifications shall limit, restrict or otherwise impair Surety's obligations or Obligee's rights hereunder; The Surety hereby waives notice of any and all of such changes, modifications to the Contract, including but not limited to extensions of time for performance, adjustments of the Contract not-to-exceed amount, modifications, changes in the Work to be performed, alterations, deletions, omissions, additions, changes, payments, waivers, any changes in time, assignments, subcontracts and transfers; And the Surety hereby stipulates and agrees that any and all actions performed or omitted by and in relation to executors, administrators, successors, assigns, Subcontractors, and other designees, shall have the

same effect as to said Surety as though done or omitted to be done by and in relation to said Principal.

In the event of a failure of strict performance of the Contract by the Principal, which shall include, but not be limited to, any breach or default of the Contract by the Principal, and within fifteen (15) days after written notice from the Obligor to the Surety of the Principal's breach or default of the Contract, the Surety shall provide Obligor with written notice of its assumption of all obligations hereunder and request Obligor's approval of its proposed election ("Notice of Assumption and Election") to either: a) remedy or cause to be remedied the default or breach of the Principal Contract and cause the Principal to immediately commence and timely complete the Contract; or b) to take charge of the Work of the Contract and immediately commence and timely complete the Work at its own expense itself, through its agents or independent qualified contractors proposed by the Surety and acceptable to Obligor; provided, however, that the Surety hereby stipulates and agrees that both its proposed remedy procedure ("a" and "b" above) and proposed independent contractor, if any, in Surety's Notice of Assumption and Election shall be subject to the prior written approval of the Obligor, which approval shall be granted or withheld in the Obligor's sole discretion, and subject to Obligor's receipt of any and all necessary legal approvals. Surety shall, within five (5) days after written approval from the Obligor of Surety's Notice of Assumption and Election, commence or cause to be commenced the completion of the Work in strict accordance with its Notice of Assumption and Election and the terms, conditions and covenants of the Contract as they may be modified or amended from time to time, time being of the essence for the performance of the Work and this bond. The Surety shall not assert solvency/insolvency of the Principal or the Principal's denial of default as justification for its failure to give the Notice of Assumption and Election, or for its failure to promptly remedy the failure of performance or default of the Principal, or to complete the Work.

In the event the Surety shall fail to issue the Notice of Assumption and Election to Obligor and/or Surety fails to commence completion of the Work within the time periods provided above, the Obligor may thereafter cause the cure or remedy of the Principal's failure of performance or default, or complete the Work. The Principal and the Surety shall be each jointly and severally liable to the Obligor for all damages and costs sustained by the Obligor as a result of the Principal's failure of performance under the Contract or default in its performance of obligations thereunder, including without limitation the costs of cure or completion exceeding the then remaining balance of the Contract Price, and any other remedy available to Obligor; provided that the Surety's liability hereunder for the costs of performance, damages and other costs sustained by the Obligor upon the Principal's failure of performance under or default under the Contract shall be limited to the Penal Sum hereof, which shall be deemed to include the costs or value of any modifications to the Work which increases the Contract Price, plus the amount of costs, expenses and fees, including reasonable attorneys' fees in connection with any suit or other proceeding brought upon this bond by the Obligor, as more particularly set forth herein.

All persons who have performed labor or rendered services, as aforesaid, all subcontractors, and all persons, firms, corporations, including materialmen and third persons, as aforesaid, furnishing work, labor, services, supplies and material under or in connection with said Contract or in or about the performance and completion thereof, shall have a direct right of action (subject to the prior right of the Obligee under any claim which it may assert against the Principal and/or the Surety) against the Principal on this bond, upon first furnishing the Obligee with a Bond of Indemnity for costs in an amount satisfactory to the Obligee, which right of action shall be asserted in proceedings instituted in the State in which such work, labor, services, supplies or material was performed, rendered or furnished or where work, labor, services, supplies or material has been performed, rendered or furnished, as aforesaid, in more than one State, than in any such State, no later than one (1) year after the complete performance of said Contract and final settlement thereof.

The Surety shall not be liable hereunder for any damages or compensation recoverable under any worker's compensation or employer's liability statute.

In no event shall the Surety be liable under the foregoing clauses for a greater sum than the Penal Sum of this bond, plus the amount of costs, expenses and fees, including reasonable attorneys' fees in connection with any suit or other proceeding brought upon this bond by the Obligee, as more particularly set forth herein, provided; however, that said Penal Sum is separately and independently applicable, in its total amount to the payment provision and the performance provision of this bond, and shall not reduce or limit the right of the Obligee to recover under the other said provision, or reduce or limit any suit, action or proceeding hereon that is instituted by any person, firm or corporation under the provisions of the payment provision of this bond. The Principal and the Surety do hereby expressly waive any objections that might be interposed as to the right of the Obligee to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including Subcontractors, materialmen, and third persons, for work, labor, services, supplies or material performed, rendered or furnished as aforesaid, upon the ground that there is no law authorizing the said Obligee to require the foregoing provision to be placed in this Bond.

Notices to the Surety, Principal and Obligee shall be mailed via certified mail, return receipt requested, or delivered to the addresses shown in the preamble. Notice shall be effective on the date of receipt.

The Penal Sum of this bond is in addition to any other bond furnished by the Principal to the Obligee and in no way shall be impaired or affected by any other bond.

In the event that any suit or other proceeding is brought upon this bond by the Obligee, the Surety shall pay to the Obligee all costs, expenses and fees incurred by the Obligee in connection therewith, including without limitation, attorneys' fees.

[NO FURTHER TEXT ON THIS PAGE. SIGNATURE PAGE FOLLOWS.]

SAMPLE

IN WITNESS WHEREOF, the Principal and Surety have executed this Performance and Payment Bond this ____ day of _____, 20__, by their duly authorized agents or representatives.

PRINCIPAL:

(Corporate Seal)

Principal Name and Title

Principal Signature

SURETY:

(Corporate Seal)

Surety Name

Surety Signature

(Attach Attorney-in-Fact Certificate)

If the Contractor (Principal) is a partnership, the Bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a Corporation, the Bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the Bond corresponding to the number of counterparts of the Contract.

Each executed Bond should be accompanied by:

- (a) appropriate acknowledgments of the respective parties;
- (b) appropriate duly certified copy of Power of Attorney or other Certificate of Authority where the Bond is executed by agent, officer or other representative of Principal or Surety;
- (c) a duly certified extract from By-laws or resolutions of Surety under which Power of Attorney or other Certificate of Authority of its agent, officer or representative was issued, and
- (d) duly certified copy of latest published financial statement of assets and liabilities of Surety.

SCHEDULE "A"

STANDARD INSURANCE PROVISIONS **(Contractor)**

1. Prior to commencing work, and throughout the term of the Agreement, the Contractor shall obtain at its own cost and expense the required insurance as delineated below from insurance companies licensed in the State of New York, carrying a Best's financial rating of A or better. Contractor shall provide evidence of such insurance to the County of Westchester ("County"), either by providing a copy of policies and/or certificates as may be required and approved by the Director of Risk Management of the County ("Director"). The policies or certificates thereof shall provide that ten (10) days prior to cancellation or material change in the policy, notices of same shall be given to the Director either by overnight mail or personal delivery for all of the following stated insurance policies. All notices shall name the Contractor and identify the Agreement.

If at any time any of the policies required herein shall be or become unsatisfactory to the Director, as to form or substance, or if a company issuing any such policy shall be or become unsatisfactory to the Director, the Contractor shall upon notice to that effect from the County, promptly obtain a new policy, and submit the policy or the certificate as requested by the Director to the Office of Risk Management of the County for approval by the Director. Upon failure of the Contractor to furnish, deliver and maintain such insurance, the Agreement, at the election of the County, may be declared suspended, discontinued or terminated.

Failure of the Contractor to take out, maintain, or the taking out or maintenance of any required insurance, shall not relieve the Contractor from any liability under the Agreement, nor shall the insurance requirements be construed to conflict with or otherwise limit the contractual obligations of the Contractor concerning indemnification.

All property losses shall be made payable to the "County of Westchester" and adjusted with the appropriate County personnel.

In the event that claims, for which the County may be liable, in excess of the insured amounts provided herein are filed by reason of Contractor's negligent acts or omissions under the Agreement or by virtue of the provisions of the labor law or other statute or any other reason, the amount of excess of such claims or any portion thereof, may be withheld from payment due or to become due the Contractor until such time as the Contractor shall furnish such additional security covering such claims in form satisfactory to the Director.

In the event of any loss, if the Contractor maintains broader coverage and/or higher limits than the minimums identified herein, the County shall be entitled to the broader coverage and/or higher limits maintained by the Contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the County.

2. The Contractor shall provide proof of the following coverage (if additional coverage is required for a specific agreement, those requirements will be described in the Agreement):

- a) Workers' Compensation and Employer's Liability. Certificate form C-105.2 or State Fund Insurance Company form U-26.3 is required for proof of compliance with the New York State Workers' Compensation Law. State Workers' Compensation Board form DB-120.1 is required for proof of compliance with the New York State Disability Benefits Law. Location of operation shall be "All locations in Westchester County, New York."

Where an applicant claims to not be required to carry either a Workers' Compensation Policy or Disability Benefits Policy, or both, the employer must complete NYS form CE-200, available to download at: <http://www.wcb.ny.gov>.

If the employer is self-insured for Workers' Compensation, he/she should present a certificate from the New York State Worker's Compensation Board evidencing that fact (Either SI-12, Certificate of Workers' Compensation Self-Insurance, or GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance).

- b) Commercial General Liability Insurance with a combined single limit of \$1,000,000 (c.s.1) per occurrence and a \$2,000,000 aggregate limit naming the "County of Westchester" as an additional insured on a primary and non-contributory basis. This insurance shall include the following coverages:

- i. Premises - Operations.
- ii. Broad Form Contractual.
- iii. Independent Contractor and Sub-Contractor.
- iv. Products and Completed Operations.

- c) Commercial Umbrella/Excess Insurance: \$2,000,000 each Occurrence and Aggregate naming the "County of Westchester" as additional insured, written on a "follow the form" basis.

NOTE: Additional insured status shall be provided by standard or other endorsement that extends coverage to the County for both on-going and completed operations.

All Contracts involving the use of explosives, demolition and/or underground work shall provide proof that XCU is covered.

- d) Automobile Liability Insurance with a minimum limit of liability per occurrence of \$1,000,000 for bodily injury and a minimum limit of \$100,000 per occurrence for property damage or a combined single limit of \$1,000,000 unless otherwise indicated in the contract specifications. This insurance shall include for bodily injury and property damage the following coverages and name the "County of Westchester" as additional insured:

- (i) Owned automobiles.
- (ii) Hired automobiles.
- (iii) Non-owned automobiles.

- e) With regard to the insurance coverage provided for in Section 2, subsections b), c) and d) above, in addition to naming the "County of Westchester" as an additional insured, the Contractor shall also name "Standard Amusements LLC" as an additional insured with regard to any contract, work or project to be performed at Playland Park in Rye, New York, on the same terms and conditions as provided for the benefit of the County of Westchester.

3. All policies of the Contractor shall be endorsed to contain the following clauses:

(a) Insurers shall have no right to recovery or subrogation against the County (including its employees and other agents and agencies), it being the intention of the parties that the insurance policies so effected shall protect both parties and be primary coverage for any and all losses covered by the above-described insurance.

(b) The clause "other insurance provisions" in a policy in which the County is named as an insured, shall not apply to the County.

(c) The insurance companies issuing the policy or policies shall have no recourse against the County (including its agents and agencies as aforesaid) for payment of any premiums or for assessments under any form of policy.

(d) Any and all deductibles in the above described insurance policies shall be assumed by and be for the account of, and at the sole risk of, the Contractor.

Certificate Holder should only read: The County of Westchester, 148 Martine Avenue, White Plains, New York 10601

PLEASE NOTE: A printed copy of your full insurance policy is required



SCHEDULE OF HOURLY RATES
AND SUPPLEMENTS

DEPARTMENT OF PUBLIC WORKS

Division of Engineering



Kathy Hochul, Governor

Roberta Reardon, Commissioner

Westchester County DPWT

Yolanda Spraggins, Secretary II
148 Martine Ave. RM. 518
White Plains NY 10601

Schedule Year 2024 through 2025
Date Requested 05/28/2024
PRC# 2024006285

Location Westchester County Airport
Project ID# 23-532 - *Rev*
Project Type replace AC units and existing duct work, as well as all associated architectural, structural, controls, plumbing and electrical work required

PREVAILING WAGE SCHEDULE FOR ARTICLE 8 PUBLIC WORK PROJECT

Attached is the current schedule(s) of the prevailing wage rates and prevailing hourly supplements for the project referenced above. A unique Prevailing Rate Case Number (PRC#) has been assigned to the schedule(s) for your project.

The schedule is effective from July 2024 through June 2025. All updates, corrections, posted on the 1st business day of each month, and future copies of the annual determination are available on the Department's website www.labor.ny.gov. Updated PDF copies of your schedule can be accessed by entering your assigned PRC# at the proper location on the website.

It is the responsibility of the contracting agency or its agent to annex and make part, the attached schedule, to the specifications for this project, when it is advertised for bids and /or to forward said schedules to the successful bidder(s), immediately upon receipt, in order to insure the proper payment of wages.

Please refer to the "General Provisions of Laws Covering Workers on Public Work Contracts" provided with this schedule, for the specific details relating to other responsibilities of the Department of Jurisdiction.

Upon completion or cancellation of this project, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice, **OR** fill out the electronic version via the NYSDOL website.

NOTICE OF COMPLETION / CANCELLATION OF PROJECT

Date Completed: _____ Date Cancelled: _____

Name & Title of Representative: _____

Phone: (518) 457-5589 Fax: (518) 485-1870
W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12240

General Provisions of Laws Covering Workers on Article 8 Public Work Contracts

Introduction

The Labor Law requires public work contractors and subcontractors to pay laborers, workers, or mechanics employed in the performance of a public work contract not less than the prevailing rate of wage and supplements (fringe benefits) in the locality where the work is performed.

Responsibilities of the Department of Jurisdiction

A Department of Jurisdiction (Contracting Agency) includes a state department, agency, board or commission; a county, city, town or village; a school district, board of education or board of cooperative educational services; a sewer, water, fire, improvement and other district corporation; a public benefit corporation; and a public authority awarding a public work contract.

The Department of Jurisdiction (Contracting Agency) awarding a public work contract **MUST** obtain a Prevailing Rate Schedule listing the hourly rates of wages and supplements due the workers to be employed on a public work project. This schedule may be obtained by completing and forwarding a "Request for wage and Supplement Information" form (PW 39) to the Bureau of Public Work. The Prevailing Rate Schedule **MUST** be included in the specifications for the contract to be awarded and is deemed part of the public work contract.

Upon the awarding of the contract, the law requires that the Department of Jurisdiction (Contracting Agency) furnish the following information to the Bureau: the name and address of the contractor, the date the contract was let and the approximate dollar value of the contract. To facilitate compliance with this provision of the Labor Law, a copy of the Department's "Notice of Contract Award" form (PW 16) is provided with the original Prevailing Rate Schedule.

The Department of Jurisdiction (Contracting Agency) is required to notify the Bureau of the completion or cancellation of any public work project. The Department's PW 200 form is provided for that purpose.

Both the PW 16 and PW 200 forms are available for completion [online](#).

Hours

No laborer, worker, or mechanic in the employ of a contractor or subcontractor engaged in the performance of any public work project shall be permitted to work more than eight hours in any day or more than five days in any week, except in cases of extraordinary emergency. The contractor and the Department of Jurisdiction (Contracting Agency) may apply to the Bureau of Public Work for a dispensation permitting workers to work additional hours or days per week on a particular public work project.

Wages and Supplements

The wages and supplements to be paid and/or provided to laborers, workers, and mechanics employed on a public work project shall be not less than those listed in the current Prevailing Rate Schedule for the locality where the work is performed. If a prime contractor on a public work project has not been provided with a Prevailing Rate Schedule, the contractor must notify the Department of Jurisdiction (Contracting Agency) who in turn must request an original Prevailing Rate Schedule from the Bureau of Public Work. Requests may be submitted by: mail to NYSDOL, Bureau of Public Work, State Office Bldg. Campus, Bldg. 12, Rm. 130, Albany, NY 12226; Fax to Bureau of Public Work (518) 485-1870; or electronically at the NYSDOL website www.labor.ny.gov.

Upon receiving the original schedule, the Department of Jurisdiction (Contracting Agency) is **REQUIRED** to provide complete copies to all prime contractors who in turn **MUST**, by law, provide copies of all applicable county schedules to each subcontractor and obtain from each subcontractor, an affidavit certifying such schedules were received. If the original schedule expired, the contractor may obtain a copy of the new annual determination from the NYSDOL website www.labor.ny.gov.

The Commissioner of Labor makes an annual determination of the prevailing rates. This determination is in effect from July 1st through June 30th of the following year. The annual determination is available on the NYSDOL website www.labor.ny.gov.

Payrolls and Payroll Records

Every contractor and subcontractor **MUST** keep original payrolls or transcripts subscribed and affirmed as true under penalty of perjury. As per Article 6 of the Labor law, contractors and subcontractors are required to establish, maintain, and preserve for not less than six (6) years, contemporaneous, true, and accurate payroll records. At a minimum, payrolls must show the following information for each person employed on a public work project: Name, Address, Last 4 Digits of Social Security Number, Classification(s) in which the worker was employed, Hourly wage rate(s) paid, Supplements paid or provided, and Daily and weekly number of hours worked in each classification.

The filing of payrolls to the Department of Jurisdiction is a condition of payment. Every contractor and subcontractor shall submit to the Department of Jurisdiction (Contracting Agency), within thirty (30) days after issuance of its first payroll and every thirty (30) days thereafter, a transcript of the original payrolls, subscribed and affirmed as true under penalty of perjury. The Department of Jurisdiction (Contracting Agency) shall collect, review for facial validity, and maintain such payrolls.

In addition, the Commissioner of Labor may require contractors to furnish, with ten (10) days of a request, payroll records sworn to as their validity and accuracy for public work and private work. Payroll records include, but are not limited to time cards, work description sheets, proof that supplements were provided, cancelled payroll checks and payrolls. Failure to provide the requested information within the allotted ten (10) days will result in the withholding of up to 25% of the contract, not to exceed \$100,000.00. If the contractor or subcontractor does not maintain a place of business in New York State and the amount of the contract exceeds \$25,000.00, payroll records and certifications must be kept on the project worksite.

The prime contractor is responsible for any underpayments of prevailing wages or supplements by any subcontractor.

All contractors or their subcontractors shall provide to their subcontractors a copy of the Prevailing Rate Schedule specified in the public work contract as well as any subsequently issued schedules. A failure to provide these schedules by a contractor or subcontractor is a violation of Article 8, Section 220-a of the Labor Law.

All subcontractors engaged by a public work project contractor or its subcontractor, upon receipt of the original schedule and any subsequently issued schedules, shall provide to such contractor a verified statement attesting that the subcontractor has received the Prevailing Rate Schedule and will pay or provide the applicable rates of wages and supplements specified therein. (See NYS Labor Laws, Article 8 . Section 220-a).

Determination of Prevailing Wage and Supplement Rate Updates Applicable to All Counties

The wages and supplements contained in the annual determination become effective July 1st whether or not the new determination has been received by a given contractor. Care should be taken to review the rates for obvious errors. Any corrections should be brought to the Department's attention immediately. It is the responsibility of the public work contractor to use the proper rates. If there is a question on the proper classification to be used, please call the district office located nearest the project. Any errors in the annual determination will be corrected and posted to the NYSDOL website on the first business day of each month. Contractors are responsible for paying these updated rates as well, retroactive to July 1st.

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. To the extent possible, the Department posts rates in its possession that cover periods of time beyond the July 1st to June 30th time frame covered by a particular annual determination. Rates that extend beyond that instant time period are informational ONLY and may be updated in future annual determinations that actually cover the then appropriate July 1st to June 30th time period.

Withholding of Payments

When a complaint is filed with the Commissioner of Labor alleging the failure of a contractor or subcontractor to pay or provide the prevailing wages or supplements, or when the Commissioner of Labor believes that unpaid wages or supplements may be due, payments on the public work contract shall be withheld from the prime contractor in a sufficient amount to satisfy the alleged unpaid wages and supplements, including interest and civil penalty, pending a final determination.

When the Bureau of Public Work finds that a contractor or subcontractor on a public work project failed to pay or provide the requisite prevailing wages or supplements, the Bureau is authorized by Sections 220-b and 235.2 of the Labor Law to so notify the financial officer of the Department of Jurisdiction (Contracting Agency) that awarded the public work contract. Such officer MUST then withhold or cause to be withheld from any payment due the prime contractor on account of such contract the amount indicated by the Bureau as sufficient to satisfy the unpaid wages and supplements, including interest and any civil penalty that may be assessed by the Commissioner of Labor. The withholding continues until there is a final determination of the underpayment by the Commissioner of Labor or by the court in the event a legal proceeding is instituted for review of the determination of the Commissioner of Labor.

The Department of Jurisdiction (Contracting Agency) shall comply with this order of the Commissioner of Labor or of the court with respect to the release of the funds so withheld.

Summary of Notice Posting Requirements

The current Prevailing Rate Schedule must be posted in a prominent and accessible place on the site of the public work project. The prevailing wage schedule must be encased in, or constructed of, materials capable of withstanding adverse weather conditions and be titled "PREVAILING RATE OF WAGES" in letters no smaller than two (2) inches by two (2) inches.

The "[Public Work Project](#)" notice must be posted at the beginning of the performance of every public work contract, on each job site.

Every employer providing workers. compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers. Compensation Board in a conspicuous place on the jobsite.

Every employer subject to the NYS Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers, notices furnished by the State Division of Human Rights.

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the NYS Department of Labor.

Apprentices

Employees cannot be paid apprentice rates unless they are individually registered in a program registered with the NYS Commissioner of Labor. The allowable ratio of apprentices to journeyworkers in any craft classification can be no greater than the statewide building trade ratios promulgated by the Department of Labor and included with the Prevailing Rate Schedule. An employee listed on a payroll as an apprentice who is not registered as above or is performing work outside the classification of work for which the apprentice is indentured, must be paid the prevailing journeyworker's wage rate for the classification of work the employee is actually performing.

NYSDOL Labor Law, Article 8, Section 220-3, require that only apprentices individually registered with the NYS Department of Labor may be paid apprenticeship rates on a public work project. No other Federal or State Agency of office registers apprentices in New York State.

Persons wishing to verify the apprentice registration of any person must do so in writing by mail, to the NYSDOL Office of Employability Development / Apprenticeship Training, State Office Bldg. Campus, Bldg. 12, Albany, NY 12226 or by Fax to NYSDOL Apprenticeship Training (518) 457-7154. All requests for verification must include the name and social security number of the person for whom the information is requested.

The only conclusive proof of individual apprentice registration is written verification from the NYSDOL Apprenticeship Training Albany Central office. Neither Federal nor State Apprenticeship Training offices outside of Albany can provide conclusive registration information.

It should be noted that the existence of a registered apprenticeship program is not conclusive proof that any person is registered in that program. Furthermore, the existence or possession of wallet cards, identification cards, or copies of state forms is not conclusive proof of the registration of any person as an apprentice.

Interest and Penalties

In the event that an underpayment of wages and/or supplements is found:

- Interest shall be assessed at the rate then in effect as prescribed by the Superintendent of Banks pursuant to section 14-a of the Banking Law, per annum from the date of underpayment to the date restitution is made.
- A Civil Penalty may also be assessed, not to exceed 25% of the total of wages, supplements, and interest due.

Debarment

Any contractor or subcontractor and/or its successor shall be ineligible to submit a bid on or be awarded any public work contract or subcontract with any state, municipal corporation or public body for a period of five (5) years when:

- Two (2) willful determinations have been rendered against that contractor or subcontractor and/or its successor within any consecutive six (6) year period.
- There is any willful determination that involves the falsification of payroll records or the kickback of wages or supplements.

Criminal Sanctions

Willful violations of the Prevailing Wage Law (Article 8 of the Labor Law) may be a felony punishable by fine or imprisonment of up to 15 years, or both.

Discrimination

No employee or applicant for employment may be discriminated against on account of age, race, creed, color, national origin, sex, disability or marital status.

No contractor, subcontractor nor any person acting on its behalf, shall by reason of race, creed, color, disability, sex or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the work to which the employment relates (NYS Labor Law, Article 8, Section 220-e(a)).

No contractor, subcontractor, nor any person acting on its behalf, shall in any manner, discriminate against or intimidate any employee on account of race, creed, color, disability, sex, or national origin (NYS Labor Law, Article 8, Section 220-e(b)).

The Human Rights Law also prohibits discrimination in employment because of age, marital status, or religion.

There may be deducted from the amount payable to the contractor under the contract a penalty of \$50.00 for each calendar day during which such person was discriminated against or intimidated in violation of the provision of the contract (NYS Labor Law, Article 8, Section 220-e(c)).

The contract may be cancelled or terminated by the State or municipality. All monies due or to become due thereunder may be forfeited for a second or any subsequent violation of the terms or conditions of the anti-discrimination sections of the contract (NYS Labor Law, Article 8, Section 220-e(d)).

Every employer subject to the New York State Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers notices furnished by the State Division of Human Rights.

Workers' Compensation

In accordance with Section 142 of the State Finance Law, the contractor shall maintain coverage during the life of the contract for the benefit of such employees as required by the provisions of the New York State Workers' Compensation Law.

A contractor who is awarded a public work contract must provide proof of workers' compensation coverage prior to being allowed to begin work.

The insurance policy must be issued by a company authorized to provide workers' compensation coverage in New York State. Proof of coverage must be on form C-105.2 (Certificate of Workers' Compensation Insurance) and must name this agency as a certificate holder.

If New York State coverage is added to an existing out-of-state policy, it can only be added to a policy from a company authorized to write workers' compensation coverage in this state. The coverage must be listed under item 3A of the information page.

The contractor must maintain proof that subcontractors doing work covered under this contract secured and maintained a workers' compensation policy for all employees working in New York State.

Every employer providing worker's compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers' Compensation Board in a conspicuous place on the jobsite.

Unemployment Insurance

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the New York State Department of Labor.



Kathy Hochul, Governor

Roberta Reardon, Commissioner

Westchester County DPWT

Yolanda Spraggins, Secretary II
148 Martine Ave. RM. 518
White Plains NY 10601

Schedule Year 2024 through 2025
Date Requested 05/28/2024
PRC# 2024006285

Location Westchester County Airport
Project ID# 23-532 - *Rev*
Project Type replace AC units and existing duct work, as well as all associated architectural, structural, controls, plumbing and electrical work required

Notice of Contract Award

New York State Labor Law, Article 8, Section 220.3a requires that certain information regarding the awarding of public work contracts, be furnished to the Commissioner of Labor. One "Notice of Contract Award" (PW 16, which may be photocopied), **MUST** be completed for **EACH** prime contractor on the above referenced project.

Upon notifying the successful bidder(s) of this contract, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice, **OR** fill out the electronic version via the NYSDOL website.

Contractor Information

All information must be supplied

Federal Employer Identification Number: _____		
Name: _____		
Address: _____ _____		
City: _____	State: _____	Zip: _____
Amount of Contract: \$ _____	Contract Type:	
Approximate Starting Date: ____/____/____	<input type="checkbox"/> (01) General Construction	
Approximate Completion Date: ____/____/____	<input type="checkbox"/> (02) Heating/Ventilation	
	<input type="checkbox"/> (03) Electrical	
	<input type="checkbox"/> (04) Plumbing	
	<input type="checkbox"/> (05) Other : _____	

Phone: (518) 457-5589 Fax: (518) 485-1870
W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12226

Social Security Numbers on Certified Payrolls:

The Department of Labor is cognizant of the concerns of the potential for misuse or inadvertent disclosure of social security numbers. Identity theft is a growing problem and we are sympathetic to contractors' concern regarding inclusion of this information on payrolls if another identifier will suffice.

For these reasons, the substitution of the use of the last four digits of the social security number on certified payrolls submitted to contracting agencies on public work projects is now acceptable to the Department of Labor. This change does not affect the Department's ability to request and receive the entire social security number from employers during its public work/ prevailing wage investigations.

Construction Industry Fair Play Act: Required Posting for Labor Law Article 25-B § 861-d

Construction industry employers must post the "Construction Industry Fair Play Act" notice in a prominent and accessible place on the job site. Failure to post the notice can result in penalties of up to \$1,500 for a first offense and up to \$5,000 for a second offense. The posting is included as part of this wage schedule. Additional copies may be obtained from the NYS DOL website, <https://dol.ny.gov/public-work-and-prevailing-wage>

If you have any questions concerning the Fair Play Act, please call the State Labor Department toll-free at 1-866-435-1499 or email us at: dol.misclassified@labor.ny.gov .

Worker Notification: (Labor Law §220, paragraph a of subdivision 3-a)

Effective June 23, 2020

This provision is an addition to the existing wage rate law, Labor Law §220, paragraph a of subdivision 3-a. It requires contractors and subcontractors to provide written notice to all laborers, workers or mechanics of the *prevailing wage and supplement rate* for their particular job classification *on each pay stub**. It also requires contractors and subcontractors to *post a notice* at the beginning of the performance of every public work contract *on each job site* that includes the telephone number and address for the Department of Labor and a statement informing laborers, workers or mechanics of their right to contact the Department of Labor if he/she is not receiving the proper prevailing rate of wages and/or supplements for his/her job classification. The required notification will be provided with each wage schedule, may be downloaded from our website www.labor.ny.gov or be made available upon request by contacting the Bureau of Public Work at 518-457-5589. *In the event the required information will not fit on the pay stub, an accompanying sheet or attachment of the information will suffice.

**To all State Departments, Agency Heads and Public Benefit Corporations
IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND**

Budget Policy & Reporting Manual

B-610

Public Work Enforcement Fund

effective date December 7, 2005

1. Purpose and Scope:

This Item describes the Public Work Enforcement Fund (the Fund, PWEF) and its relevance to State agencies and public benefit corporations engaged in construction or reconstruction contracts, maintenance and repair, and announces the recently-enacted increase to the percentage of the dollar value of such contracts that must be deposited into the Fund. This item also describes the roles of the following entities with respect to the Fund:

- New York State Department of Labor (DOL),
- The Office of the State of Comptroller (OSC), and
- State agencies and public benefit corporations.

2. Background and Statutory References:

DOL uses the Fund to enforce the State's Labor Law as it relates to contracts for construction or reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law. State agencies and public benefit corporations participating in such contracts are required to make payments to the Fund.

Chapter 511 of the Laws of 1995 (as amended by Chapter 513 of the Laws of 1997, Chapter 655 of the Laws of 1999, Chapter 376 of the Laws of 2003 and Chapter 407 of the Laws of 2005) established the Fund.

3. Procedures and Agency Responsibilities:

The Fund is supported by transfers and deposits based on the value of contracts for construction and reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law, into which all State agencies and public benefit corporations enter.

Chapter 407 of the Laws of 2005 increased the amount required to be provided to this fund to .10 of one-percent of the total cost of each such contract, to be calculated at the time agencies or public benefit corporations enter into a new contract or if a contract is amended. The provisions of this bill became effective August 2, 2005.

To all State Departments, Agency Heads and Public Benefit Corporations
IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND

OSC will report to DOL on all construction-related ("D") contracts approved during the month, including contract amendments, and then DOL will bill agencies the appropriate assessment monthly. An agency may then make a determination if any of the billed contracts are exempt and so note on the bill submitted back to DOL. For any instance where an agency is unsure if a contract is or is not exempt, they can call the Bureau of Public Work at the number noted below for a determination. Payment by check or journal voucher is due to DOL within thirty days from the date of the billing. DOL will verify the amounts and forward them to OSC for processing.

For those contracts which are not approved or administered by the Comptroller, monthly reports and payments for deposit into the Public Work Enforcement Fund must be provided to the Administrative Finance Bureau at the DOL within 30 days of the end of each month or on a payment schedule mutually agreed upon with DOL.

Reports should contain the following information:

- Name and billing address of State agency or public benefit corporation;
- State agency or public benefit corporation contact and phone number;
- Name and address of contractor receiving the award;
- Contract number and effective dates;
- Contract amount and PWEF assessment charge (if contract amount has been amended, reflect increase or decrease to original contract and the adjustment in the PWEF charge); and
- Brief description of the work to be performed under each contract.

Checks and Journal Vouchers, payable to the "New York State Department of Labor" should be sent to:

Department of Labor
Administrative Finance Bureau-PWEF Unit
Building 12, Room 464
State Office Campus
Albany, NY 12226

Any questions regarding billing should be directed to NYSDOL's Administrative Finance Bureau-PWEF Unit at (518) 457-3624 and any questions regarding Public Work Contracts should be directed to the Bureau of Public Work at (518) 457-5589.



Required Notice under Article 25-B of the Labor Law

Attention All Employees, Contractors and Subcontractors: You are Covered by the Construction Industry Fair Play Act

The law says that you are an employee unless:

- You are free from direction and control in performing your job, **and**
- You perform work that is not part of the usual work done by the business that hired you, **and**
- You have an independently established business.

Your employer cannot consider you to be an independent contractor unless all three of these facts apply to your work.

It is against the law for an employer to misclassify employees as independent contractors or pay employees off the books.

Employee Rights: If you are an employee, you are entitled to state and federal worker protections. These include:

- Unemployment Insurance benefits, if you are unemployed through no fault of your own, able to work, and otherwise qualified,
- Workers' compensation benefits for on-the-job injuries,
- Payment for wages earned, minimum wage, and overtime (under certain conditions),
- Prevailing wages on public work projects,
- The provisions of the National Labor Relations Act, and
- A safe work environment.

It is a violation of this law for employers to retaliate against anyone who asserts their rights under the law. Retaliation subjects an employer to civil penalties, a private lawsuit or both.

Independent Contractors: If you are an independent contractor, **you must pay all taxes and Unemployment Insurance contributions required by New York State and Federal Law.**

Penalties for paying workers off the books or improperly treating employees as independent contractors:

- **Civil Penalty**
 - First offense: Up to \$2,500 per employee
 - Subsequent offense(s): Up to \$5,000 per employee
- **Criminal Penalty**
 - First offense: Misdemeanor - up to 30 days in jail, up to a \$25,000 fine and debarment from performing public work for up to one year.
 - Subsequent offense(s): Misdemeanor - up to 60 days in jail or up to a \$50,000 fine and debarment from performing public work for up to 5 years.

If you have questions about your employment status or believe that your employer may have violated your rights and you want to file a complaint, call the Department of Labor at (866) 435-1499 or send an email to dol.misclassified@labor.ny.gov. All complaints of fraud and violations are taken seriously. You can remain anonymous.

Employer Name:

IA 999 (09/16)



Attention Employees

THIS IS A: **PUBLIC WORK PROJECT**

If you are employed on this project as a **worker, laborer, or mechanic** you are entitled to receive the **prevailing wage and supplements rate** for the classification at which you are working.

Your pay stub and wage notice received upon hire must clearly state your wage rate and supplement rate.

Chapter 629 of
the Labor Laws
of 2007:

These wages are set by law and must be posted at the work site. They can also be found at:
<https://dol.ny.gov/bureau-public-work>



If you feel that you have not received proper wages or benefits, please call our nearest office.*

Albany	(518) 457-2744	Patchogue	(631) 687-4882
Binghamton	(607) 721-8005	Rochester	(585) 258-4505
Buffalo	(716) 847-7159	Syracuse	(315) 428-4056
Garden City	(516) 228-3915	Utica	(315) 793-2314
New York City	(212) 932-2419	White Plains	(914) 997-9507
Newburgh	(845) 568-5287		

* For New York City government agency construction projects, please contact the Office of the NYC Comptroller at (212) 669-4443, or www.comptroller.nyc.gov – click on Bureau of Labor Law.

Contractor Name: _____

Project Location: _____

Requirements for OSHA 10 Compliance

Article 8 §220-h requires that when the advertised specifications, for every contract for public work, is \$250,000.00 or more the contract must contain a provision requiring that every worker employed in the performance of a public work contract shall be certified as having completed an OSHA 10 safety training course. The clear intent of this provision is to require that all employees of public work contractors, required to be paid prevailing rates, receive such training "prior to the performing any work on the project."

The Bureau will enforce the statute as follows:

All contractors and sub contractors must attach a copy of proof of completion of the OSHA 10 course to the first certified payroll submitted to the contracting agency and on each succeeding payroll where any new or additional employee is first listed.

Proof of completion may include but is not limited to:

- Copies of bona fide course completion card (*Note: Completion cards do not have an expiration date.*)
- Training roster, attendance record or other documentation from the certified trainer pending the issuance of the card.
- Other valid proof

**A certification by the employer attesting that all employees have completed such a course is not sufficient proof that the course has been completed.

Any questions regarding this statute may be directed to the New York State Department of Labor, Bureau of Public Work at 518-457-5589.

WICKS

Public work projects are subject to the Wicks Law requiring separate specifications and bidding for the plumbing, heating and electrical work, when the total project's threshold is \$3 million in Bronx, Kings, New York, Queens and, Richmond counties; \$1.5 million in Nassau, Suffolk and Westchester counties; and \$500,000 in all other counties.

For projects below the monetary threshold, bidders must submit a sealed list naming each subcontractor for the plumbing, HVAC and electrical and the amount to be paid to each. The list may not be changed unless the public owner finds a legitimate construction need, including a change in specifications or costs or the use of a Project Labor Agreement (PLA), and must be open to public inspection.

Allows the state and local agencies and authorities to waive the Wicks Law and use a PLA if it will provide the best work at the lowest possible price. If a PLA is used, all contractors shall participate in apprentice training programs in the trades of work it employs that have been approved by the Department of Labor (DOL) for not less than three years. They shall also have at least one graduate in the last three years and use affirmative efforts to retain minority apprentices. PLA's would be exempt from Wicks, but deemed to be public work subject to prevailing wage enforcement.

The Commissioner of Labor shall have the power to enforce separate specification requirements on projects, and may issue stop-bid orders against public owners for non-compliance.

Other new monetary thresholds, and similar sealed bidding for non-Wicks projects, would apply to certain public authorities including municipal housing authorities, NYC Construction Fund, Yonkers Educational Construction Fund, NYC Municipal Water Finance Authority, Buffalo Municipal Water Finance Authority, Westchester County Health Care Association, Nassau County Health Care Corp., Clifton-Fine Health Care Corp., Erie County Medical Center Corp., NYC Solid Waste Management Facilities, and the Dormitory Authority.

Contractors must pay subcontractors within a 7 days period.

(07.19)

Introduction to the Prevailing Rate Schedule

Information About Prevailing Rate Schedule

This information is provided to assist you in the interpretation of particular requirements for each classification of worker contained in the attached Schedule of Prevailing Rates.

Classification

It is the duty of the Commissioner of Labor to make the proper classification of workers taking into account whether the work is heavy and highway, building, sewer and water, tunnel work, or residential, and to make a determination of wages and supplements to be paid or provided. It is the responsibility of the public work contractor to use the proper rate. If there is a question on the proper classification to be used, please call the district office located nearest the project. District office locations and phone numbers are listed below.

Prevailing Wage Schedules are issued separately for "General Construction Projects" and "Residential Construction Projects" on a county-by-county basis.

General Construction Rates apply to projects such as: Buildings, Heavy & Highway, and Tunnel and Water & Sewer rates.

Residential Construction Rates generally apply to construction, reconstruction, repair, alteration, or demolition of one family, two family, row housing, or rental type units intended for residential use.

Some rates listed in the Residential Construction Rate Schedule have a very limited applicability listed along with the rate. Rates for occupations or locations not shown on the residential schedule must be obtained from the General Construction Rate Schedule. Please contact the local Bureau of Public Work office before using Residential Rate Schedules, to ensure that the project meets the required criteria.

Payrolls and Payroll Records

Contractors and subcontractors are required to establish, maintain, and preserve for not less than six (6) years, contemporaneous, true, and accurate payroll records.

Every contractor and subcontractor shall submit to the Department of Jurisdiction (Contracting Agency), within thirty (30) days after issuance of its first payroll and every thirty (30) days thereafter, a transcript of the original payrolls, subscribed and affirmed as true under penalty of perjury.

Paid Holidays

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

Overtime

At a minimum, all work performed on a public work project in excess of eight hours in any one day or more than five days in any workweek is overtime. However, the specific overtime requirements for each trade or occupation on a public work project may differ. Specific overtime requirements for each trade or occupation are contained in the prevailing rate schedules.

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays.

The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

Supplemental Benefits

Particular attention should be given to the supplemental benefit requirements. Although in most cases the payment or provision of supplements is straight time for all hours worked, some classifications require the payment or provision of supplements, or a portion of the supplements, to be paid or provided at a premium rate for premium hours worked. Supplements may also be required to be paid or provided on paid holidays, regardless of whether the day is worked. The Overtime Codes and Notes listed on the particular wage classification will indicate these conditions as required.

Effective Dates

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. The rate listed is valid until the next effective rate change or until the new annual determination which takes effect on July 1 of each year. All contractors and subcontractors are required to pay the current prevailing rates of wages and supplements. If you have any questions please contact the Bureau of Public Work or visit the New York State Department of Labor website (www.labor.ny.gov) for current wage rate information.

Apprentice Training Ratios

The following are the allowable ratios of registered Apprentices to Journey-workers.

For example, the ratio 1:1,1:3 indicates the allowable initial ratio is one Apprentice to one Journeyworker. The Journeyworker must be in place on the project before an Apprentice is allowed. Then three additional Journeyworkers are needed before a second Apprentice is allowed. The last ratio repeats indefinitely. Therefore, three more Journeyworkers must be present before a third Apprentice can be hired, and so on.

Please call Apprentice Training Central Office at (518) 457-6820 if you have any questions.

Title (Trade)	Ratio
Boilermaker (Construction)	1:1,1:4
Boilermaker (Shop)	1:1,1:3
Carpenter (Bldg.,H&H, Pile Driver/Dockbuilder)	1:1,1:4
Carpenter (Residential)	1:1,1:3
Electrical (Outside) Lineman	1:1,1:2
Electrician (Inside)	1:1,1:3
Elevator/Escalator Construction & Modernizer	1:1,1:2
Glazier	1:1,1:3
Insulation & Asbestos Worker	1:1,1:3
Iron Worker	1:1,1:4
Laborer	1:1,1:3
Mason	1:1,1:4
Millwright	1:1,1:4
Op Engineer	1:1,1:5
Painter	1:1,1:3
Plumber & Steamfitter	1:1,1:3
Roofer	1:1,1:2
Sheet Metal Worker	1:1,1:3
Sprinkler Fitter	1:1,1:2

If you have any questions concerning the attached schedule or would like additional information, please contact the nearest BUREAU of PUBLIC WORK District Office or write to:

New York State Department of Labor
Bureau of Public Work
State Office Campus, Bldg. 12
Albany, NY 12226

District Office Locations:	Telephone #	FAX #
Bureau of Public Work - Albany	518-457-2744	518-485-0240
Bureau of Public Work - Binghamton	607-721-8005	607-721-8004
Bureau of Public Work - Buffalo	716-847-7159	716-847-7650
Bureau of Public Work - Garden City	516-228-3915	516-794-3518
Bureau of Public Work - Newburgh	845-568-5287	845-568-5332
Bureau of Public Work - New York City	212-932-2419	212-775-3579
Bureau of Public Work - Patchogue	631-687-4882	631-687-4902
Bureau of Public Work - Rochester	585-258-4505	585-258-4708
Bureau of Public Work - Syracuse	315-428-4056	315-428-4671
Bureau of Public Work - Utica	315-793-2314	315-793-2514
Bureau of Public Work - White Plains	914-997-9507	914-997-9523
Bureau of Public Work - Central Office	518-457-5589	518-485-1870

Westchester County General Construction

Boilermaker	11/01/2024
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JOB DESCRIPTION BoilermakerDISTRICT 4

ENTIRE COUNTIES
Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Sullivan, Ulster, Westchester

WAGES		
Per Hour:	07/01/2024	01/01/2025
Boilermaker	\$ 67.38	\$ 68.88
Repairs & Renovations	67.38	68.88

Repairs & Renovation: Includes Repairing, Renovating replacement of parts to an existing unit(s).

SUPPLEMENTAL BENEFITS		
Per Hour:		
Boilermaker	33.5% of hourly	33.5% of Hourly
Repair & Renovations	Wage Paid	Wage Paid
	+ \$ 26.85	+ \$26.85

NOTE: "Hourly Wage Paid" shall include any and all premium(s) pay.

Repairs & Renovation Includes replacement of parts and repairs & renovation of existing unit.

OVERTIME PAY
See (*B, O, **U) on OVERTIME PAGE
Note:* Includes 9th & 10th hours, double for 11th or more.
** Labor Day ONLY, if worked.

Repairs & Renovation see (B,E,Q) on OT Page

HOLIDAY
Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 11, 12, 15, 25, 26, 29) on HOLIDAY PAGE

REGISTERED APPRENTICES
Wage per hour:
(1/2) Year Terms at the following percentage of Boilermaker's Wage

1st	2nd	3rd	4th	5th	6th	7th
65%	70%	75%	80%	85%	90%	95%

Supplemental Benefits Per Hour:		
	33.5% of Hourly Wage Paid Plus Amount Below	33.5% of Hourly Wage Paid Plus Amount Below
1st Term	\$ 20.36	\$ 20.36
2nd Term	21.28	21.28
3rd Term	22.22	22.22
4th Term	23.12	23.12
5th Term	24.07	24.07
6th Term	25.00	25.00
7th Term	25.93	25.93

NOTE: "Hourly Wage Paid" shall include any and all premium(s)

Carpenter	11/01/2024
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JOB DESCRIPTION CarpenterDISTRICT 8

ENTIRE COUNTIES
Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

WAGES	
Per hour:	07/01/2024

Piledriver \$ 60.59
+ 10.00*

Dockbuilder \$ 60.59
+ 10.00*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 45.79

OVERTIME PAY

See (B, E2, O) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE.

Paid: for 1st & 2nd yr.

Apprentices See (5,6,11,13,25)

Overtime: See (5,6,11,13,25) on HOLIDAY PAGE.

REGISTERED APPRENTICES

Wages per hour

(1)year terms:

1st	2nd	3rd	4th
\$26.98	\$32.58	\$40.96	\$49.35
+ 5.50*	+ 5.50*	+ 5.50*	+ 5.50*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental benefits per hour:

All Terms: \$ 32.34

8-1556 Db

Carpenter

11/01/2024

JOB DESCRIPTION Carpenter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Suffolk, Westchester

WAGES

Per hour: 07/01/2024

Carpet/Resilient

Floor Coverer \$ 55.05
+ 8.25*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

INCLUDES HANDLING & INSTALLATION OF ARTIFICIAL TURF AND SIMILAR TURF INDOORS/OUTDOORS.

SUPPLEMENTAL BENEFITS

Per hour:

\$ 39.45

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (18, 19) on HOLIDAY PAGE.

Paid for 1st & 2nd yr.

Apprentices See (5,6,11,13,16,18,19,25)

Overtime: See (5,6,11,13,16,18,19,25) on HOLIDAY PAGE.

REGISTERED APPRENTICES

Wage per hour - (1) year terms:

1st	2nd	3rd	4th
\$ 25.20	\$ 28.20	\$ 32.45	\$ 40.33

+ 1.85* + 2.35* + 2.85* + 3.85*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental benefits per hour:

1st	2nd	3rd	4th
\$ 15.22	\$ 16.22	\$ 19.32	\$ 20.32

8-2287

Carpenter**11/01/2024**

JOB DESCRIPTION Carpenter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

WAGES

Per Hour: 07/01/2024

Marine Construction:

Marine Diver \$ 75.46
 + 10.00*

Marine Tender \$ 55.00
 + 10.00*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime

SUPPLEMENTAL BENEFITS

Per Hour:

Journeyworker \$ 45.65

OVERTIME PAY

See (B, E, E2, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (18, 19) on HOLIDAY PAGE

Overtime: See (5, 6, 11, 13, 16, 18, 19, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wages per hour:

One (1) year terms.

1st year	\$ 26.98 + 5.50*
2nd year	32.58 + 5.50*
3rd year	40.96 + 5.50*
4th year	49.35 + 5.50*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental Benefits

Per Hour:

All terms \$ 32.20

8-1456MC

Carpenter**11/01/2024**

JOB DESCRIPTION Carpenter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

WAGES

Per hour: 07/01/2024

Building
Millwright \$ 59.35
+ 13.12*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SUPPLEMENTAL BENEFITS

Per hour:

Millwright \$ 45.41

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (18, 19) on HOLIDAY PAGE

Paid: See (18,19) on HOLIDAY PAGE.

Overtime See (5,6,8,11,13,18,19,25) on HOLIDAY PAGE.

REGISTERED APPRENTICES

Wages per hour:

One (1) year terms:

1st.	2nd.	3rd.	4th.
\$ 32.16	\$ 37.61	\$ 43.06	\$ 53.96
+ 7.08*	+ 8.25*	+ 9.42*	+ 11.76*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental benefits per hour:

One (1) year terms:

1st.	2nd.	3rd.	4th.
\$ 30.56	\$ 33.09	\$ 36.27	\$ 40.69

8-740.1

Carpenter

11/01/2024

JOB DESCRIPTION Carpenter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per Hour:

07/01/2024

Timberman \$ 55.59
+ 10.26*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SUPPLEMENTAL BENEFITS

Per Hour:

07/01/2024

\$ 44.96

OVERTIME PAY

See (B, E, E2, Q) on OVERTIME PAGE

HOLIDAY

Overtime: See (5, 6, 11, 13, 25) on HOLIDAY PAGE

Paid: See (1) on HOLIDAY PAGE.

Paid: for 1st & 2nd yr.

Apprentices See (5,6,11,13,25)

Overtime: See (5,6,11,13,25) on HOLIDAY PAGE.

REGISTERED APPRENTICES

Wages per hour:

One (1) year terms:

1st	2nd	3rd	4th
-----	-----	-----	-----

\$24.96	\$30.07	\$37.72	\$45.38
+ 5.55*	+ 5.55*	+ 5.55*	+ 5.55*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental benefits per hour:

All terms \$ 31.95

8-1556 Tm

Carpenter

11/01/2024

JOB DESCRIPTION Carpenter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Westchester

PARTIAL COUNTIES

Orange: South of but including the following, Waterloo Mills, Slate Hill, New Hampton, Goshen, Blooming Grove, Mountainville, east to the Hudson River.

Putnam: South of but including the following, Cold Spring, TompkinsCorner, Mahopac, Croton Falls, east to Connecticut border.

Suffolk: West of Port Jefferson and Patchogue Road to Route 112 to the Atlantic Ocean.

WAGES

Per hour: 07/01/2024

Core Drilling:

Driller \$ 46.25
+ 3.25*

Driller Helper \$ 36.28
+ 3.25*

Note: Hazardous Waste Pay Differential:

For Level C, an additional 15% above wage rate per hour

For Level B, an additional 15% above wage rate per hour

For Level A, an additional 15% above wage rate per hour

Note: When required to work on water: an additional \$ 3.00 per hour.

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SUPPLEMENTAL BENEFITS

Per hour:

Driller and Helper \$ 30.24

OVERTIME PAY

See (B, G, P) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6) on HOLIDAY PAGE

Overtime: See (5, 6) on HOLIDAY PAGE

8-1536-CoreDriller

Carpenter - Building / Heavy&Highway

11/01/2024

JOB DESCRIPTION Carpenter - Building / Heavy&Highway

DISTRICT 11

ENTIRE COUNTIES

Putnam, Rockland, Westchester

WAGES

WAGES:(per hour)

Applies to CAPRENTER BUILDING/HEAVY & HIGHWAY/TUNNEL:

	07/01/2024	07/01/2025	07/01/2026
		Additional	Additional
Base Wage	\$ 42.76	\$ 1.25**	\$ 1.25**
	+\$6.62*		

*For all hours paid straight or premium.

**To be allocated at a later date.

SHIFT WORK

SHIFT DIFFERENTIAL: When it is mandated by a Government Agency irregular or off shift can be worked. The Carpenter shall receive an additional fifteen percent (15%) of wage plus applicable benefits.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 31.60

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

BUILDING:

Paid: See (1) on HOLIDAY PAGE.

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE.

- Holidays that fall on Sunday will be observed Monday.

HEAVY&HIGHWAY/TUNNEL:

Paid: See (5, 6, 25) on HOLIDAY PAGE

Overtime: See (5, 6) on HOLIDAY PAGE

- Holidays that fall on Sunday will be observed Monday

- Must be employed during the five (5) work days immediately preceding a holiday or during the five (5) work days following the paid holiday to receive holiday pay

- If Employee is entitled to a paid holiday, the Employee is paid the Holiday wage and supplemental benefits whether they work or not. If Employee works the Holiday, the Employee will receive holiday pay (including supplemental benefits), plus the applicable premium wage for working the Holiday. If Employee works in excess of 8 hours on Holiday, then benefits will be paid for any hours in excess of 8 hours.

REGISTERED APPRENTICES

1 year terms at the following wage rates:

1st	2nd	3rd	4th
\$ 21.38	\$ 25.66	\$ 29.93	\$ 34.21
+3.84*	+3.84*	+3.84*	+3.84*

*For all hours paid straight or premium

SUPPLEMENTAL BENEFITS per hour:

All terms \$ 16.25

11-279.1B/HH

Electrician

11/01/2024

JOB DESCRIPTION Electrician

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, New York, Queens, Richmond, Westchester

WAGES

Per hour: 07/01/2024

Service Technician \$ 37.40

Service and Maintenance on Alarm and Security Systems.

Maintenance, repair and /or replacement of defective (or damaged) equipment on, but not limited to, Burglar - Fire - Security - CCTV - Card Access - Life Safety Systems and associated devices. (Whether by service contract of T&M by customer request.)

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker: \$ 21.85

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 11, 15, 16, 17, 25, 26) on HOLIDAY PAGE

Overtime: See (5, 6, 11, 15, 16, 17, 25, 26) on HOLIDAY PAGE

9-3H

Electrician

11/01/2024

JOB DESCRIPTION Electrician

DISTRICT 8

ENTIRE COUNTIES

Westchester

WAGES

Per hour:	07/01/2024	04/17/2025
*Electrician/A-Technician	\$ 56.75	\$ 58.75
Teledata	56.75	58.75

*All new installations of wiring, conduit, junction boxes and light fixtures for projects with a base bid of more than \$325,000. For projects with a base bid of \$325,000 or less, see Maintenance and Repair rates.

Note: On a job where employees are required to work on bridges over navigable waters, transmission towers, light poles, bosun chairs, swinging scaffolds, etc. 40 feet or more above the water or ground or under compressed air, or tunnel projects under construction or where assisted breathing apparatus is required, they will be paid at the rate of time and one-half for such work except on normal pole line or building construction work.

SUPPLEMENTAL BENEFITS

Per hour:		
Journeyworker	\$ 59.39	\$61.09

OVERTIME PAY

See (A, G, *J, P) on OVERTIME PAGE

*NOTE: Emergency work on Sunday and Holidays is at the time and one-half overtime rate.

HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

(1) year terms at the following wage rates:

	07/01/2024	04/17/2025
1st term	\$ 16.00	\$16.00
2nd term	17.00	17.00
3rd term	19.00	19.00
4th term	21.00	21.00
MIJ 1-12 months	26.50	26.50
MIJ 13-18 months	30.00	30.00

Supplemental Benefits per hour:

	07/01/2024	04/17/2025
1st term	\$ 12.40	\$ 12.72
2nd term	15.07	15.89
3rd term	16.40	17.23
4th term	17.73	18.57
MIJ 1-12 months	15.72	15.89
MIJ 13-18 months	16.17	16.29

8-3/W

Electrician

11/01/2024

JOB DESCRIPTION Electrician

DISTRICT 8

ENTIRE COUNTIES

Westchester

WAGES

Per hour	07/01/2024	04/17/2025
Electrician -M	\$ 30.00	\$ 30.00
H - Telephone	30.00	30.00

All work with a base bid amount of \$325,000 or less. Including repairs and /or replacement of defective electrical and teledata equipment, all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls, and washing and cleaning of foregoing fixtures.

*If the project exceeds \$375,000 due to changes in the scope of work, an Electrician/A Technician must be part of the labor ratio.

SUPPLEMENTAL BENEFITS

	07/01/2024	04/17/2025
Electrician &		
H - Telephone	\$ 16.17	\$ 16.29

OVERTIME PAY

See (B, G, *J, P) on OVERTIME PAGE

*Note: Emergency work on Sunday and Holidays is at the time and one-half overtime rate.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

8-3m

Elevator Constructor

11/01/2024

JOB DESCRIPTION Elevator Constructor

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk

PARTIAL COUNTIES

Rockland: Entire County except for the Township of Stony Point

Westchester: Entire County except for the Townships of Bedford, Lewisboro, Cortland, Mt. Kisco, North Salem, Pound Ridge, Somers and Yorktown.

WAGES

Per hour:

	07/01/2024	03/17/2025
Elevator Constructor	\$ 80.35	\$ 83.37
Modernization & Service/Repair	63.16	65.54

SUPPLEMENTAL BENEFITS

Per Hour:

Elevator Constructor	\$ 46.367	\$ 47.654
Modernization & Service/Repairs	45.217	46.470

OVERTIME PAY

Constructor See (D, M, T) on OVERTIME PAGE.

Modern/Service See (B, F, S) on OVERTIME PAGE.

HOLIDAY

Paid: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

WAGES PER HOUR:

6 MONTH TERMS:

1st Term*	2nd & 3rd Term*	4th & 5th Term	6th & 7th Term	8th & 9th Term
50%	50%	55%	65%	75%

* Note: 1st, 2nd, 3rd Terms are based on Average of the Constructor, the Modernization and the Service/Repair wage.
Terms 4 thru 9 Based on Journeyman's wage of classification Working in.

SUPPLEMENTAL BENEFITS:

	07/01/2024	03/17/2025
Elevator Constructor		
1st Term	\$ 0.00	\$ 0.00
2nd & 3rd Term	36.15	36.90
4th & 5th Term	37.19	37.99
6th & 7th Term	38.80	39.70
8th & 9th Term	40.41	41.40
Modernization & Service/Repair		
1st Term	\$ 0.00	\$ 0.00
2nd & 3rd Term	36.15	36.90
4th & 5th Term	37.19	37.99
6th & 7th Term	38.80	39.70

8th & 9th Term	40.41	41.40	4-1
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Elevator Constructor	11/01/2024
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JOB DESCRIPTION Elevator Constructor

DISTRICT 1

ENTIRE COUNTIES

Columbia, Dutchess, Greene, Orange, Putnam, Sullivan, Ulster

PARTIAL COUNTIES

Delaware: Towns of Andes, Bovina, Colchester, Davenport, Delhi, Harpersfield, Hemdon, Kortright, Meredith, Middletown, Roxbury, Hancock & Stamford

Rockland: Only the Township of Stony Point.

Westchester: Only the Townships of Bedford, Lewisboro, Cortland, Mt. Kisco, North Salem, Pound Ridge, Somers and Yorktown.

WAGES

Per Hour	07/01/2024	01/01/2025
Mechanic	\$ 70.15	\$ 73.07
Helper	70% of Mechanic Wage Rate	70% of Mechanic Wage Rate

SUPPLEMENTAL BENEFITS

Per hour	07/01/2024	01/01/2025
Journeyworker/Helper	\$ 37.885*	\$ 38.435*

(*)Plus 6% of regular hourly if less than 5 years of service. Plus 8% of regular hourly rate if more than 5 years of service.

OVERTIME PAY

See (D, O) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 15, 16) on HOLIDAY PAGE

Overtime: See (5, 6, 15, 16) on HOLIDAY PAGE

Note: When a paid holiday falls on Saturday, it shall be observed on Friday. When a paid holiday falls on Sunday, it shall be observed on Monday.

REGISTERED APPRENTICES

Wages per hour:

0-6 mo*	6-12 mo	2nd yr	3rd yr	4th yr
50 %	55 %	65 %	70 %	80 %

(*)Plus 6% of the hourly rate, no additional supplemental benefits.

Supplemental Benefits per hour worked:

Same as Journeyperson/Helper

1-138

Glazier	11/01/2024
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JOB DESCRIPTION Glazier

DISTRICT 8

ENTIRE COUNTIES

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Sullivan, Ulster, Westchester

WAGES

Per hour:	07/01/2024	05/01/2025
		Additional
Glazier, Glass Tinting and Window Film	\$ 63.28	\$ 1.11***
Scaffolding, including swing scaffold	67.28	
*Mechanical Equipment	64.28	
**Repair & Maintenance	30.76	

*Mechanical equipment, scissor jacks, man lifts, booms & buckets 30' or more, but not pipe scaffolding.

**Repair & Maintenance- All repair & maintenance work on a particular building whenever performed, where the total cumulative Repair & Maintenance contract value is under \$193,000.

***To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour: 7/01/2024

Glazier, Glass Tinting \$ 42.13

Window Film, Scaffolding
and Mechanical Equipment

Repair & Maintenance 24.62

OVERTIME PAY

See (B, E, Q, V) on OVERTIME PAGE

For 'Repair & Maintenance' see (B, B2, I, S) on overtime page.

HOLIDAY

Paid: See (5, 6, 16, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

For 'Repair & Maintenance'

Paid: See(5, 6, 16, 25)

Overtime: See(5, 6, 16, 25)

REGISTERED APPRENTICES

Wage per hour:

(1) year terms at the following wage rates:

7/01/2024

1st term \$ 22.34

2nd term 30.64

3rd term 40.87

4th term 50.14

Supplemental Benefits:

(Per hour)

1st term \$ 19.27

2nd term 27.34

3rd term 32.85

4th term 36.01

8-1087 (DC9 NYC)

Insulator - Heat & Frost

11/01/2024

JOB DESCRIPTION Insulator - Heat & Frost

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per Hour: 07/01/2024

Insulators

Heat & Frost \$ 71.01

SUPPLEMENTAL BENEFITS

Per Hour:

Insulators \$ 36.76

Heat & Frost

OVERTIME PAY

See (B, E, *Q, V) on OVERTIME PAGE

* Triple time for Labor Day (If worked)

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 11, 15, 16, 25, 26) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wages:

1 year terms.

Wages Per Hour:

1st	2nd	3rd	4th
\$ 31.96	\$ 39.06	\$ 46.16	\$ 53.26

Supplemental Benefits:

\$ 16.56 \$ 20.23 \$ 23.91 \$ 27.06

4-12

Insulator - Heat & Frost

11/01/2024

JOB DESCRIPTION Insulator - Heat & Frost

DISTRICT 8

ENTIRE COUNTIES

Dutchess, Orange, Putnam, Rockland, Westchester

WAGES

Per hour: 07/01/2024

Insulator \$ 60.85

Discomfort &
Additional Training** 63.92

Fire Stop Work* 32.97

* Applies on all exclusive Fire Stop Work (When contract is for Fire Stop work only). No apprentices on these contracts only.

**Applies to work requiring: garb or equipment worn against the body not customarily worn by insulators; psychological evaluation ;special training, including but not limited to "Yellow Badge" radiation training

Note: Additional \$0.50 per hour for work 30 feet or more above floor or ground level.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 38.25

Discomfort &
Additional Training 40.32

Fire Stop Work:
Journeyworker 19.48

OVERTIME PAY

See (B, E, E2, Q, *T) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Note: Last working day preceding Christmas and New Years day, workers shall work no later than 12:00 noon and shall receive 8 hrs pay.

Overtime: See (2*, 4, 6, 16, 25) on HOLIDAY PAGE.

*Note: Labor Day triple time if worked.

REGISTERED APPRENTICES

(1) year terms:

Insulator Apprentices:

1st	2nd	3rd	4th
\$ 32.97	\$ 38.54	\$ 44.12	\$ 49.70

Discomfort & Additional Training Apprentices:

1st	2nd	3rd	4th
\$ 34.51	\$ 40.38	\$ 46.27	\$ 52.16

Supplemental Benefits paid per hour:

Insulator Apprentices:

1st term	\$ 19.48
2nd term	23.23
3rd term	26.98
4th term	30.74

Discomfort & Additional Training Apprentices:

1st term	\$ 20.50
2nd term	24.47

3rd term	28.43
4th term	32.39

8-91

Ironworker	11/01/2024
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JOB DESCRIPTION Ironworker

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per Hour:	07/01/2024	01/01/2025 Additional
Stone Derrickmen Rigger	\$ 75.40	\$ 1.64*
Stone Handset Derrickman	72.55	1.11*

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour:

Stone Derrickmen Rigger	\$ 45.52
Stone Handset Derrickman	44.76

OVERTIME PAY

See (B, D1, *E, Q, **V) on OVERTIME PAGE

*Time and one-half shall be paid for all work on Saturday up to eight (8) hours and double time shall be paid for all work thereafter.

** Benefits same premium as wages on Holidays only

HOLIDAY

Paid: See (18) on HOLIDAY PAGE
Overtime: See (5, 6, 8, 25) on HOLIDAY PAGE
Work stops at schedule lunch break with full day's pay.

REGISTERED APPRENTICES

Wage per hour:

Stone Derrickmen Rigger:	1st	2nd	3rd	4th
07/01/2024	\$ 37.20	\$ 53.28	\$ 59.32	\$ 65.36

Supplemental Benefits:

Per hour:				
07/01/2024	23.27	34.39	34.39	34.39

Stone Handset:

1/2 year terms at the following hourly wage rate:

	1st	2nd	3rd	4th
07/01/2024	\$ 35.78	\$ 51.04	\$ 56.79	\$ 62.55

Supplemental Benefits:

Per hour:				
07/01/2024	22.95	34.08	34.08	34.08

9-197D/R

Ironworker	11/01/2024
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JOB DESCRIPTION Ironworker

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per Hour:	07/01/2024	01/01/2025
Ornamental Chain Link Fence	\$ 47.65 47.65	Additional \$ 1.25/hr*

Guide Rail 47.65

(*)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker: \$ 66.29

OVERTIME PAY

See (B, B1, Q, V) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

1 year terms

07/01/2024

1st Term \$ 25.98

2nd Term 28.45

3rd Term 30.80

4th Term 34.39

Supplemental Benefits per hour:

1st Term \$ 16.29

2nd Term 18.29

3rd Term 19.29

4th Term 20.29

4-580-Or

Ironworker

11/01/2024

JOB DESCRIPTION Ironworker

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

PER HOUR:

07/01/2024

01/01/2025

Ironworker:

Structural \$ 57.20

Bridges

Machinery

Additional

\$ 1.75/Hr.*

(*)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

PER HOUR PAID:

Journeyman \$ 89.85

OVERTIME PAY

See (B, B1, Q, *V) on OVERTIME PAGE

*NOTE: Benefits are calculated for every hour paid.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 18, 19) on HOLIDAY PAGE

REGISTERED APPRENTICES

WAGES PER HOUR:

6 month terms at the following rate:

1st \$ 30.23

2nd 30.83

3rd - 6th 31.44

Supplemental Benefits

PER HOUR PAID: 62.47

4-40/361-Str

Ironworker

11/01/2024

JOB DESCRIPTION Ironworker

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

PARTIAL COUNTIES

Rockland: Southern section - south of Convent Road and east of Blue Hills Road.

WAGES

Per hour: 07/01/2024

Reinforcing &
Metal Lathing \$ 56.95

"Base" Wage 55.20
plus \$ 1.75

"Base" Wage is used to calculate overtime hours only.

SUPPLEMENTAL BENEFITS

Per hour:
Reinforcing & Metal Lathing \$ 44.63

OVERTIME PAY

See (B, E, Q, *X) on OVERTIME PAGE

*Only \$23.50 per Hour for non worked hours

Supplemental Benefit Premiums for Overtime Hours worked:

Time & One Half \$ 51.13
Double Time 57.63

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 11, 13, *18, **19, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

(1) year terms at the following wage rates:

Prior to 01/01/2020:

1st term	2nd term	3rd term	4th Term
Wage Per Hour: \$ 22.55	\$ 28.38	\$ 34.68	\$ 37.18
"Base" Wage \$21.00	\$26.80	\$33.10	\$35.60
plus \$1.55	plus \$1.58	plus \$1.58	plus \$1.58

"Base" Wage is used to calculate overtime hours ONLY.

SUPPLEMENTAL BENIFITS

Per Hour:

1st term	2nd term	3rd term	4th Term
\$18.17	\$21.34	\$22.00	\$22.50

After 01/01/2020:

1st term	2nd term	3rd term	4th Term
Wage Per Hour: \$ 22.55	\$ 23.60	\$ 24.60	\$ 25.65
"Base" Wage \$21.00	\$22.00	\$23.00	\$24.00
plus \$1.55	plus \$1.60	plus \$1.60	plus \$1.65

"Base" Wage is used to calculate overtime hours ONLY.

SUPPLEMENTAL BENIFITS

Per Hour:

1st term	2nd term	3rd term	4th Term
\$18.40	\$17.40	\$16.45	\$15.45

Laborer - Building

11/01/2024

JOB DESCRIPTION Laborer - Building

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

WAGES

Per hour 07/01/2024

Laborer \$ 37.95
plus \$5.45**

Laborer/Asbestos & Hazardous
Materials Removal \$ 39.60*
plus \$5.45**

* Abatement/Removal of:

- Lead based or lead containing paint on materials to be repainted is classified as Painter.
- Asbestos containing roofs and roofing material is classified as Roofer.

** This portion is not subject to overtime premium.

NOTE: Upgrade/Material condition work plan for work performed during non-outage under a wage formula of 90% wage/100% fringe benefits at nuclear power plants.

SUPPLEMENTAL BENEFITS

Per hour: 07/01/2024

Journeyworker \$ 31.95

OVERTIME PAY

See (B, E, E2, Q, *V) on OVERTIME PAGE

*Note: For Sundays and Holidays worked benefits are at the same premium as wages.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

LABORER ONLY

Hourly terms at the following wage:

Level A	Level B	Level C	Level D
0-1000	1001-2000	2001-3000	3001-4000
\$ 28.08	\$ 31.90	\$ 35.72	\$ 39.54

Supplemental Benefits per hour:

Apprentices
All terms \$ 23.60

8-235/B

Laborer - Heavy&Highway

11/01/2024

JOB DESCRIPTION Laborer - Heavy&Highway

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

WAGES

PUTNAM: APPLIES TO ALL HEAVY & HIGHWAY WORK EXCLUDING HIGHWAYS, STREETS, AND BRIDGES

GROUP I: Blaster, Quarry Master, Curbs/Asphalt Screedman, Pipe Jacking and Boring Operations Operator, Qualified Dead Condition Pipe Fuser (B Mechanic)

GROUP II: Burner, Drillers(jumbo, joy, wagon, air track, hydraulic), Drill Operator, Self Contained Rotary Drill, Curbs, Raker, Bar Person, Concrete Finisher.

GROUP III: Pavement Breakers, Jeep Operator, Jack Hammer, Pneumatic Tools (all), Gas Driller, Guniting, Railroad Spike Puller, Pipelayer, Chain Saw, Deck winches on scows, Power Buggy Operator, Power Wheelbarrow Operator, Bar Person Helper, Compressed Airline, Water Jet Lance.

GROUP IV: Concrete Laborers, Asph. Worker, Rock Scaler, Vibrator Oper., Bit Grinder, Air Tamper, Pumps, Epoxy (adhesives, fillers and troweled on), Barco Rammer, Concrete Grinder, Crack Router Operator, Guide Rail-digging holes and placing concrete and demolition when not to be replaced, distribution of materials and tightening of bolts.

GROUP V: Drillers Helpers, Common Laborer, Mason Tenders, Signal Person, Pit Person, Truck Spotter, Powder Person, Landscape/Nursery Person, Dump Person, Temp. Heat.

GROUP VIA: Asbestos/Toxic Waste Laborer-All removal (Roads, Tunnels, Landfills, etc.) Confined space laborer, Bio-remediation, Phyto-remediation, Lead or Hazardous material, Abatement Laborer.

Wages:(per hour) 07/01/2024

GROUP I	\$ 50.62*
GROUP II	49.27*
GROUP III	48.87*
GROUP IV	48.52*
GROUP V	48.17*
GROUP VIA	50.17*
Operator Qualified	
Gas Mechanic(A Mech)	60.62*
Flagperson	41.82*

*NOTE: To calculate overtime premiums, deduct \$0.10 from above wages

SHIFT WORK

A shift premium will be paid on Public Work contracts for off-shift or irregular shift work when mandated by the NYS D.O.T. or other Governmental Agency contracts. Employees shall receive an additional 15% per hour above current rate for all regular and irregular shift work. Premium pay shall be calculated using the 15% per hour differential as base rate.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker:

First 40 Hours	
Per Hour	\$ 27.78
Over 40 Hours	
Per Hour	21.03

OVERTIME PAY

See (B, E, P, R, S) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

NOTE: For Holiday Overtime: 5, 6 - Code 'S' applies

For Holiday Overtime: 8, 15, 25, 26 - Code 'R' applies

REGISTERED APPRENTICES

	1st term	2nd term	3rd term	4th term
	1-1000hrs	1001-2000hrs	2001-3000hrs	3001-4000hrs
07/01/2024	\$ 28.07	\$ 33.12	\$ 37.94	\$ 42.76

Supplemental Benefits per hour:

1st term	\$ 3.85 - After 40 hours: \$ 3.50
2nd term	\$ 3.95 - After 40 hours: 3.50
3rd term	\$ 4.45 - After 40 hours: 3.90
4th term	\$ 5.00 - After 40 hours: 4.40

8-60H/H

Laborer - Tunnel

11/01/2024

JOB DESCRIPTION Laborer - Tunnel

DISTRICT 11

ENTIRE COUNTIES

Columbia, Dutchess, Greene, Orange, Otsego, Putnam, Rockland, Sullivan, Ulster, Westchester

PARTIAL COUNTIES

Chenango: Townships of Columbus, Sherburne and New Berlin.

Delaware: Townships of Andes, Bovina, Middletown, Roxbury, Franklin, Hamden, Stamford, Delhi, Kortright, Harpersfield, Merideth and Davenport.

WAGES

Class 1: All support laborers/sandhogs working above the shaft or tunnel.

Class 2: All laborers/sandhogs working in the shaft or tunnel.

Class 4: Safety Miners

Class 5: Site work related to Shaft/Tunnel

WAGES: (per hour)

	07/01/2024	06/01/2025
Class 1	\$ 57.05	\$ 58.55
Class 2	59.20	60.70
Class 4	65.60	67.10
Class 5	49.90	51.40

Toxic and hazardous waste, lead abatement and asbestos abatement work will be paid an additional \$ 3.00 an hour.

SHIFT WORK

SHIFT DIFFERENTIAL...On all Government mandated irregular shift work:

- Employee shall be paid at time and one half the regular rate Monday through Friday.
- Saturday shall be paid at 1.65 times the regular rate.
- Sunday shall be paid at 2.15 times the regular rate.

SUPPLEMENTAL BENEFITS

Per hour:

Benefit 1	\$ 36.98	\$ 38.23
Benefit 2	55.39	59.99
Benefit 3	74.58	76.73

Benefit 1 applies to straight time hours, paid holidays not worked.

Benefit 2 applies to over 8 hours in a day (M-F), irregular shift work hours worked, and Saturday hours worked.

Benefit 3 applies to Sunday and Holiday hours worked.

OVERTIME PAY

See (B, E, Q, X) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 15, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 15, 16, 25) on HOLIDAY PAGE

When a recognized Holidays falls on Saturday or Sunday, holidays falling on Saturday shall be recognized or observed on Friday and holidays falling on Sunday shall be recognized or observed on Monday. Employees ordered to work on the Saturday or Sunday of the holiday or on the recognized or the observed Friday or Monday for those holidays falling on Saturday or Sunday shall receive double time the established rate and benefits for the holiday.

REGISTERED APPRENTICES

FOR APPRENTICE RATES, refer to the appropriate Laborer Heavy & Highway wage rate contained in the wage schedule for the County and location where the work is to be performed.

11-17/60/235/754Tun

Lineman Electrician

11/01/2024

JOB DESCRIPTION Lineman Electrician

DISTRICT 6

ENTIRE COUNTIES

Westchester

WAGES

A Lineman/Technician shall perform all overhead aerial work. A Lineman/Technician on the ground will install all electrical panels, connect all grounds, install and connect all electrical conductors, assembly of all electrical materials, conduit, pipe or raceway; placing of fish wire; pulling of cables, wires or fiber optic cable through such raceways; splicing of conductors; dismantling of such structures, lines or equipment.

Crane Operators: Operation of any type of crane on line projects.

Crawler Backhoe: Operation of tracked excavator/crawler backhoe with 1/2 yard bucket or larger on line projects.

Digging Machine Operator: All other digging equipment and augering on line projects.

A Groundman/Truck Driver shall: Build and set concrete forms, handle steel mesh, set footer cages, transport concrete in a wheelbarrow, hand or machine concrete vibrator, finish concrete footers, mix mortar, grout pole bases, cover and maintain footers while curing in cold weather, operate jack hammer, operate hand pavement breaker, tamper, concrete and other motorized saws, as a drill helper, operate and maintain generators, water pumps, chainsaws, sand blasting, operate mulching and seeding machine, air tools, electric tools, gas tools, load and unload materials, hand shovel and/or broom, prepare and pour mastic and other fillers, assist digger operator equipment/operator in ground excavation and restoration, landscape work and painting. Only when assisting a lineman technician, a groundman/truck driver may assist in installing conduit, pipe, cables and equipment.

NOTE: Includes Teledata Work within ten (10) feet of High Voltage Transmission Lines. Also includes digging of holes for poles, anchors, footer, and foundations for electrical equipment.

Below rates apply to electrical overhead and underground distribution and maintenance work and overhead and underground transmission line work, electrical substations, switching structures, continuous pipe-type underground fluid or gas filled transmission conduit and cable installations, maintenance jobs or projects, railroad catenary installations and maintenance, third rail installations, the bonding of rails and the installation of fiber optic cable. Includes access matting for line work.

Per hour: 07/01/2024

Group A:

Lineman, Tech, Welder	\$ 61.91
Crane, Crawler Backhoe	61.91
Cable Splicer-Pipe Type	68.10
Cert. Welder-Pipe Type	65.01

Group B:

Digging Mach Operator	55.72
Tractor Trailer Driver	52.62
Groundman, Truck Driver	49.53
Equipment Mechanic	49.53
Flagman	37.15

Additional \$1.00 per hour for entire crew when a helicopter is used.

SHIFT WORK

THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

1ST SHIFT	8:00 AM TO 4:30 PM REGULAR RATE
2ND SHIFT	4:30 PM TO 1:00 AM REGULAR RATE PLUS 17.3%
3RD SHIFT	12:30 AM TO 9:00 AM REGULAR RATE PLUS 31.4%

SUPPLEMENTAL BENEFITS

Per hour worked (but also required on non-worked holidays):

07/01/2024

Group A	\$ 30.90
	*plus 7% of the hourly wage paid

Group B	\$ 26.90
	*plus 7% of the hourly wage paid

*The 7% is based on the hourly wage paid, straight time or premium time.

OVERTIME PAY

See (B, E, Q, X) on OVERTIME PAGE. NOTE: Double time for emergency work designated by the Dept. of Jurisdiction.

WAGE CAP - Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

HOLIDAY

Paid	See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day.
Overtime	See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day.

NOTE: All paid holidays falling on Saturday shall be observed on the preceding Friday. All paid holidays falling on Sunday shall be observed on the following Monday. Supplements for holidays paid at straight time.

REGISTERED APPRENTICES

WAGES per hour: 1000 hour terms at the following percentage of the applicable Journeyworker's Lineman wage.

1st	2nd	3rd	4th	5th	6th	7th
60%	65%	70%	75%	80%	85%	90%

SUPPLEMENTAL BENEFITS per hour:

07/01/2024

\$ 26.90

*plus 7% of
the hourly
wage paid

*The 7% is based on the hourly wage paid, straight time or premium time.

6-1249aWest

Lineman Electrician - Teledata

11/01/2024

JOB DESCRIPTION Lineman Electrician - Teledata

DISTRICT 6

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

Per hour:

For outside work, stopping at first point of attachment (demarcation).

07/01/2024

01/01/2025

Cable Splicer	\$ 39.24	\$ 40.81
Installer, Repairman	\$ 37.24	\$ 38.73
Teledata Lineman	\$ 37.24	\$ 38.73
Tech., Equip. Operator	\$ 37.24	\$ 38.73
Groundman	\$ 19.74	\$ 20.53

NOTE: EXCLUDES Teledata work within ten (10) feet of High Voltage (600 volts and over) transmission lines. For this work please see LINEMAN.

SHIFT WORK

THE FOLLOWING RATES APPLY WHEN THE CONTRACTING AGENCY MANDATES MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION ARE WORKED. WHEN TWO (2) OR THREE (3) SHIFTS ARE WORKED THE FOLLOWING RATES APPLY:

1ST SHIFT	REGULAR RATE
2ND SHIFT	REGULAR RATE PLUS 10%
3RD SHIFT	REGULAR RATE PLUS 15%

SUPPLEMENTAL BENEFITS

Per hour:

07/01/2024

01/01/2025

Journeyworker	\$ 5.70	\$ 5.70
	*plus 3% of the hour wage paid	*plus 3% of the hour wage paid

*The 3% is based on the hourly wage paid, straight time rate or premium rate.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

WAGE CAP - Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 16) on HOLIDAY PAGE

6-1249LT - Teledata

Lineman Electrician - Traffic Signal, Lighting

11/01/2024

JOB DESCRIPTION Lineman Electrician - Traffic Signal, Lighting

DISTRICT 6

ENTIRE COUNTIES

Westchester

WAGES

Lineman/Technician shall perform all overhead aerial work. A Lineman/Technician on the ground will install all electrical panels, connect all grounds, install and connect all electrical conductors which includes, but is not limited to road loop wires; conduit and plastic or other type pipes that carry conductors, flex cables and connectors, and to oversee the encasement or burial of such conduits or pipes.

Crane Operators: Operation of any type of crane on Traffic Signal/Lighting projects.

Crawler Backhoe: Operation of tracked excavator/crawler backhoe with 1/2 yard bucket or larger on Traffic Signal/Lighting projects.

Digging Machine Operator: All other digging equipment and augering on Traffic Signal/Lighting projects.

A Groundman/Groundman Truck Driver shall: Build and set concrete forms, handle steel mesh, set footer cages, transport concrete in a wheelbarrow, hand or machine concrete vibrator, finish concrete footers, mix mortar, grout pole bases, cover and maintain footers while curing in cold weather, operate jack hammer, operate hand pavement breaker, tamper, concrete and other motorized saws, as a drill helper, operate and maintain generators, water pumps, chainsaws, sand blasting, operate mulching and seeding machine, air tools, electric tools, gas tools, load and unload materials, hand shovel and/or broom, prepare and pour mastic and other fillers, assist digger operator/equipment operator in ground excavation and restoration, landscape work and painting. Only when assisting a lineman technician, a groundman/truck driver may assist in installing conduit, pipe, cables and equipment.

A flagger's duties shall consist of traffic control only.

Per hour: 07/01/2024

Group A:

Lineman, Technician	\$ 55.95
Crane, Crawler Backhoe	55.95
Certified Welder	58.75

Group B:

Digging Machine	50.36
Tractor Trailer Driver	47.56
Groundman, Truck Driver	44.76
Equipment Mechanic	44.76
Flagman	33.57

Above rates are applicable for installation, testing, operation, maintenance and repair on all Traffic Control (Signal) and Illumination (Lighting) projects, Traffic Monitoring Systems, and Road Weather Information Systems. Includes digging of holes for poles, anchors, footer foundations for electrical equipment; assembly of all electrical materials or raceway; placing of fish wire; pulling of cables, wires or fiber optic cable through such raceways; splicing of conductors; dismantling of such structures, lines or equipment.

SHIFT WORK

THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

1ST SHIFT	8:00 AM TO 4:30 PM REGULAR RATE
2ND SHIFT	4:30 PM TO 1:00 AM REGULAR RATE PLUS 17.3%
3RD SHIFT	12:30 AM TO 9:00 AM REGULAR RATE PLUS 31.4%

SUPPLEMENTAL BENEFITS

Per hour worked:

07/01/2024

Group A

\$ 30.90
*plus 7% of
the hourly
wage paid

Group B

\$ 26.90
*plus 7% of
the hourly
wage paid

*The 7% is based on the hourly wage paid, straight time or premium time.

OVERTIME PAY

See (B, E, Q, X) on OVERTIME PAGE. *Note* Double time for emergency work designated by the Dept. of Jurisdiction.

WAGE CAP - Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

HOLIDAY

Paid: See (5, 6, 8, 13, 25) on HOLIDAY PAGE and Governor of NYS Election Day.
Overtime: See (5, 6, 8, 13, 25) on HOLIDAY PAGE and Governor of NYS Election Day.

NOTE: All paid holidays falling on Saturday shall be observed on the preceding Friday. All paid holidays falling on Sunday shall be observed on the following Monday. Supplements for holidays paid at straight time.

REGISTERED APPRENTICES

WAGES per hour: 1000 hour terms at the following percentage of the applicable Journeyworker's Lineman wage.

1st	2nd	3rd	4th	5th	6th	7th
60%	65%	70%	75%	80%	85%	90%

SUPPLEMENTAL BENEFITS per hour:

07/01/2024

\$ 26.90
*plus 7% of
the hourly
wage paid

*The 7% is based on the hourly wage paid, straight time or premium time.

6-1249aWestLT

Mason - Building

11/01/2024

JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES

Nassau, Rockland, Suffolk, Westchester

WAGES

Per hour:	07/01/2024	12/02/2024
Tile Setters	\$ 63.91	Additional \$ 0.71*

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per Hour:	\$ 27.66* + \$8.50
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* This portion of benefits subject to same premium rate as shown for overtime wages.

OVERTIME PAY

See (B, E, Q, V) on OVERTIME PAGE
Work beyond 10 hours on Saturday shall be paid at double the hourly wage rate.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 11, 15, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wage per hour:

(750 hour) term at the following wage rate:

Term:									
1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
1-750	751-1500	1501-2250	2251-3000	3001-3750	3751-4500	4501-5250	5251-6000	6001-6750	6501-7000
07/01/2024									
\$22.19	\$27.21	\$34.45	\$39.46	\$43.07	\$46.58	\$50.23	\$55.24	\$57.71	\$62.00

Supplemental Benefits per hour:

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
07/01/2024									

\$12.55*	\$12.55*	\$15.36*	\$15.36*	\$16.36*	\$17.86*	\$18.86*	\$18.86*	\$18.86*	\$24.11*
+\$.76	+\$.81	+\$.91	+\$.96	+\$ 1.43	+\$ 1.48	+\$ 1.91	+\$ 1.97	+\$ 4.57	+\$ 5.18

* This portion of benefits subject to same premium rate as shown for overtime wages.

9-7/52A

Mason - Building	11/01/2024
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JOB DESCRIPTION Mason - Building

DISTRICT 11

ENTIRE COUNTIES

Putnam, Rockland, Westchester

PARTIAL COUNTIES

Orange: Only the Township of Tuxedo.

WAGES

Per hour:

07/01/2024

Bricklayer	\$ 47.44
Cement Mason	47.44
Plasterer/Stone Mason	47.44
Pointer/Caulker	47.44

Additional \$1.00 per hour for power saw work

Additional \$0.50 per hour for swing scaffold or staging work

SHIFT WORK

SHIFT WORK: When shift work or an irregular workday is mandated or required by state, federal, county, local or other governmental agency contracts, the following premiums apply:

Irregular workday requires 15% premium

Second shift an additional 15% of wage plus benefits to be paid

Third shift an additional 25% of wage plus benefits to be paid

SUPPLEMENTAL BENEFITS

Per hour:

Journeyman	\$ 38.50
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OVERTIME PAY

OVERTIME:

Cement Mason See (B, E, Q, W) on OVERTIME PAGE.

All Others See (B, E, Q) on OVERTIME PAGE.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

Whenever any of the above holidays fall on Sunday, they will be observed on Monday. Whenever any of the above holidays fall on Saturday, they will be observed on Friday.

REGISTERED APPRENTICES

Wages per hour:

750 hour terms at the following percentage of Journeyman's wage

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Supplemental Benefits per hour

750 hour terms at the following percentage of journeyman supplements

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Apprentices indentured before June 1st, 2011 receive full journeyman benefits

11-5wp-b

Mason - Building	11/01/2024
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JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Building	07/01/2024	01/01/2025
Wages per hour:		Additional
Mosaic & Terrazzo Mechanic	\$ 60.98	\$ 1.06*
Mosaic & Terrazzo Finisher	58.96	

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour:	
Mosaic & Terrazzo Mechanic	\$ 31.36* + \$9.78
Mosaic & Terrazzo Finisher	\$ 31.36* + \$9.77

*This portion of benefits subject to same premium rate as shown for overtime wages.

OVERTIME PAY

See (A, E, Q) on OVERTIME PAGE
07/01/2024- Deduct \$7.00 from hourly wages before calculating overtime.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE
Easter Sunday is an observed holiday.Holidays falling on a Saturday will be observed on that Saturday. Holidays falling on a Sunday will be celebrated on the Monday.

REGISTERED APPRENTICES

Wages Per hour:	1st	2nd	3rd	4th	5th	6th
	0- 1500	1501- 3000	3001- 3750	3751- 4500	4501- 5250	5251- 6000
07/01/2024	\$ 25.19	\$ 32.39	\$ 38.18	\$ 40.78	\$ 49.00	\$ 55.75
Supplemental Benefits per hour:						
07/01/2024	\$7.12* + 3.43	\$9.16* + 4.40	\$17.22* + 5.87	\$23.86* + 6.84	\$24.86* + 7.83	\$27.36* + 8.80

*This portion of benefits subject to same premium rate as shown for overtime wages.

9-7/3

Mason - Building	11/01/2024
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JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES
Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per hour:	07/01/2024	01/06/2025
		Additional
Building-Marble Restoration:		
Marble, Stone &	\$ 47.72	\$ 0.57*
Terrazzo Polisher		

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per Hour:
Journeyworker:

Building-Marble Restoration:

Marble, Stone &

Polisher \$ 31.50

OVERTIME PAY

See (B, *E, Q, V) on OVERTIME PAGE

* On Saturdays, 8th hour and successive hours paid at double hourly rate.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 11, 15, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

WAGES per hour:

900 hour term at the following wage:

1st	2nd	3rd	4th
1- 900	901- 1800	1801- 2700	2701
\$ 33.40	\$ 38.18	\$ 42.94	\$ 47.72

Supplemental Benefits Per Hour:

29.06	29.87	30.69	31.50
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9-7/24-MP

Mason - Building

11/01/2024

JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Sullivan, Ulster, Westchester

WAGES

Per Hour:

	07/01/2024	01/06/2025 Additional \$ 0.75*
Marble Cutters & Setters	\$ 63.92	

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per Hour:

Journeyworker	\$ 40.05
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OVERTIME PAY

See (B, E, Q, V) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wage Per Hour:

07/01/2024

750 hour terms at the following wage

1st	2nd	3rd	4th	5th	6th	7th	8th
0- 3000	3001- 3750	3751- 4500	4501- 5250	5251- 6000	6001- 6750	6751- 7500	7500+
\$ 27.01	\$ 40.52	\$ 43.88	\$ 47.26	\$ 50.64	\$ 54.32	\$ 60.71	\$ 63.92

Supplemental Benefits per hour:

07/01/2024

1st	2nd	3rd	4th	5th	6th	7th	8th
\$ 26.42	\$ 29.76	\$ 30.61	\$ 31.44	\$ 32.28	\$ 37.55	\$ 39.23	\$ 40.05

Mason - Building	11/01/2024
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JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES

Nassau, Rockland, Suffolk, Westchester

WAGES

Per hour:	07/01/2024	12/02/2024
		Additional
Tile Finisher	\$ 49.08	\$ 0.59*

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per Hour:	\$ 24.56*
	+ 8.32

*This portion of benefits is subjected to same premium rate as shown for overtime wages

OVERTIME PAY

See (B, E, Q, *V) on OVERTIME PAGE

*Work beyond 10 hours on a Saturday shall be paid at double the hourly wage rate.

HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 11, 15, 16, 25) on HOLIDAY PAGE

9-7/88A-tf

Mason - Building	11/01/2024
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JOB DESCRIPTION Mason - Building

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per hour:	07/01/2024	01/06/2025
Marble, Stone,		Additional
Maintenance Finishers:	\$ 27.72	\$ 0.41*

Note 1: An additional \$2.00 per hour
for time spent grinding floor using
"60 grit" and below.

Note 2: Flaming equipment operator
shall be paid an additional \$25.00 per day.

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per Hour:

Marble, Stone	
Maintenance Finishers:	\$ 15.74

OVERTIME PAY

See (B, *E, Q, V) on OVERTIME PAGE

*Double hourly rate after 8 hours on Saturday

HOLIDAY

Paid:	See (5, 6, 8, 11, 15, 25) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 11, 15, 25) on HOLIDAY PAGE
1st term apprentice gets paid for all observed holidays.	

REGISTERED APPRENTICES

WAGES per hour:	07/01/2024
0-750	\$ 22.32
751-1500	23.04
1501-2250	23.75
2251-3000	24.48

3001-3750	25.56
3751-4500	27.00
4501+	27.72

Supplemental Benefits:
Per hour:

0-750	12.69
751-1500	13.10
1501-2250	13.51
2251-3000	13.91
3001-3750	14.52
3751-4500	15.33
4501+	15.74

9-7/24M-MF

Mason - Building / Heavy&Highway

11/01/2024

JOB DESCRIPTION Mason - Building / Heavy&Highway

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

WAGES

Per hour: 07/01/2024 01/06/2025
Additional

Marble-Finisher \$ 49.99 \$ 0.53*

*To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Journeyworker:
Per hour

Marble- Finisher \$ 37.39

OVERTIME PAY

See (B, E, Q, V) on OVERTIME PAGE

Work beyond 8 hours on a Saturday shall be paid at double the rate.

HOLIDAY

Overtime: See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

When an observed holiday falls on a Sunday, it will be observed the next day.

9-7/20-MF

Mason - Heavy&Highway

11/01/2024

JOB DESCRIPTION Mason - Heavy&Highway

DISTRICT 11

ENTIRE COUNTIES

Putnam, Rockland, Westchester

PARTIAL COUNTIES

Orange: Only the Township of Tuxedo.

WAGES

Per hour: 07/01/2024

Bricklayer \$ 47.94
Cement Mason 47.94
Marble/Stone Mason 47.94
Plasterer 47.94
Pointer/Caulker 47.94

Additional \$1.00 per hour for power saw work

Additional \$0.50 per hour for swing scaffold or staging work

SHIFT WORK

When shift work or an irregular workday is mandated or required by state, federal, county, local or other governmental contracts, the following rates apply:

Irregular workday requires 15% premium

Second shift an additional 15% of wage plus benefits to be paid

Third shift an additional 25% of wage plus benefits to be paid

SUPPLEMENTAL BENEFITS

Per hour:

Journeyman \$ 38.50

OVERTIME PAY

Cement Mason See (B, E, Q, W)

All Others See (B, E, Q,)

HOLIDAY

Paid: See (5, 6, 16, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

- Whenever any of the above holidays fall on Sunday, they will be observed on Monday. Whenever any of the above holidays fall on Saturday, they will be observed on Friday.

- Supplemental Benefits are not paid for paid Holiday

- If Holiday is worked, Supplemental Benefits are paid for hours worked.

- Whenever an Employee works within three (3) calendar days before a holiday, the Employee shall be paid for the Holiday.

REGISTERED APPRENTICES

Wages per hour:

750 hour terms at the following percentage of Journeyman's wage

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Supplemental Benefits per hour

750 hour terms at the following percentage of journeyman supplements

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Apprentices indentured before June 1st, 2011 receive full journeyman benefits

11-5WP-H/H

Operating Engineer - Building

11/01/2024

JOB DESCRIPTION Operating Engineer - Building

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, New York, Putnam, Queens, Richmond, Westchester

PARTIAL COUNTIES

Dutchess: that part of Dutchess County lying south of the North City Line of the City of Poughkeepsie.

WAGES

NOTE: Construction surveying

Party Chief--One who directs a survey party

Instrument Man--One who runs the instrument and assists Party Chief.

Rodman--One who holds the rod and assists the Survey Crew

Wages:(Per Hour) 07/01/2024

Building Construction:

Party Chief	\$ 79.99
Instrument Man	60.36
Rodman	40.45

Steel Erection:

Party Chief	83.13
Instrument Man	64.21
Rodman	44.33

Heavy Construction-NYC counties only:
(Foundation, Excavation.)

Party Chief	88.06
Instrument man	65.66
Rodman	55.70

SUPPLEMENTAL BENEFITS

Per Hour:	07/01/2024
Building Construction	\$ 28.63* +\$ 7.65
Steel Erection	29.23* + 7.65
Heavy Construction	30.04* + 7.64

* This portion subject to SAME premium as wages

Non-Worked Holiday Supplemental Benefit:	21.83
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OVERTIME PAY

See (A, B, E, Q) on OVERTIME PAGE

Code "A" applies to Building Construction and has double the rate after 7 hours on Saturdays.

Code "B" applies to Heavy Construction and Steel Erection and had double the rate after 8 hours on Saturdays.

HOLIDAY

Paid:	See (5, 6, 9, 11, 15, 16, 25) on HOLIDAY PAGE
Overtime:	See (5, 6, 9, 11, 15, 16, 25) on HOLIDAY PAGE

9-15Db

Operating Engineer - Building

11/01/2024

JOB DESCRIPTION Operating Engineer - Building

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

PARTIAL COUNTIES

Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

WAGES

GROUP I:

Cranes (All Types up to 49 tons), Boom Trucks, Cherry Pickers (All Types), Clamshell Crane, Derrick (Stone and Steel), Dragline, Franki Pile Rig or similar, High Lift (Lull or similar) with crane attachment and winch used for hoisting or lifting, Hydraulic Cranes, Pile Drivers, Potain and similar.

Cranes (All types 50-99 tons), Drill Rig Casa Grande (CAT or similar), Franki Pile Rig or similar, Hydraulic Cranes (All types including Crawler Cranes- No specific boom length).

Cranes (All types 100 tons and over), All Tower Cranes, All Climbing Cranes irrespective of manufacturer and regardless of how the same is rigged, Franki Pile Rig or similar, Conventional Cranes (All types including Crawler Cranes-No specific boom length), Hydraulic Cranes.

GROUP I-A: Barber Green Loader-Euclid Loader, Bulldozer, Carrier-Trailer Horse, Concrete Cleaning Decontamination Machine Operator, Concrete-Portable Hoist, Conway or Similar Mucking Machines, Elevator & Cage, Excavators all types, Front End Loaders, Gradall, Shovel, Backhoe, etc.(Crawler or Truck), Heavy Equipment Robotics Operator/Mechanic, Hoist Engineer-Material, Hoist Portable Mobile Unit, Hoist(Single, Double or Triple Drum), Horizontal Directional Drill Locator, Horizontal Directional Drill Operator and Jersey Spreader, Letourneau or Tournapull(Scrapers over 20 yards Struck), Lift Slab Console, etc., Lull HiLift or Similar, Master Environmental Maintenance Mechanics, Mucking Machines Operator/Mechanic or Similar Type, Overhead Crane, Pavement Breaker(Air Ram), Paver(Concrete), Post Hole Digger, Power House Plant, Road Boring Machine, Road Mix Machine, Ross Carrier and Similar Machines, Rubber tire double end backhoes and similar machines, Scoopmobile Tractor-Shovel Over 1.5 yards, Shovel (Tunnels), Spreader (Asphalt) Telephie(Cableway), Tractor Type Demolition Equipment, Trenching Machines-Vermeer Concrete Saw Trencher and Similar, Ultra High Pressure Waterjet Cutting Tool System, Vacuum Blasting Machine operator/mechanic, Winch Truck A Frame.

GROUP I-B: Compressor (Steel Erection), Mechanic (Outside All Types), Negative Air Machine (Asbestos Removal), Push Button (Buzz Box) Elevator.

GROUP II: Compactor Self-Propelled, Concrete Pump, Crane Operator in Training (Over 100 Tons), Grader, Machines Pulling Sheep's Foot Roller, Roller (4 ton and over), Scrapers (20 yards Struck and Under), Vibratory Rollers, Welder.

GROUP III-A: Asphalt Plant, Concrete Mixing Plants, Forklift (All power sources), Joy Drill or similar, Tractor Drilling Machine, Loader (1 1/2 yards and under), Portable Asphalt Plant, Portable Batch Plant, Portable Crusher, Skid Steer (Bobcat or similar), Stone Crusher, Well Drilling Machine, Well Point System.

GROUP III-B: Compressor Over 125 cu. Feet, Conveyor Belt Machine regardless of size, Compressor Plant, Ladder Hoist, Stud Machine.

GROUP IV-A: Batch Plant, Concrete Breaker, Concrete Spreader, Curb Cutter Machine, Finishing Machine-Concrete, Fine Grading Machine, Hepa Vac Clean Air Machine, Material Hopper(sand, stone, cement), Mulching Grass Spreader, Pump Gypsum etc, Pump-Plaster-Grout-Fireproofing. Roller(Under 4 Ton),Spreading and Fine Grading Machine, Steel Cutting Machine, Siphon Pump, Tar Joint Machine, Television Cameras for Water, Sewer, Gas etc. Turbo Jet Burner or Similar Equipment, Vibrator (1 to 5).

GROUP IV-B: Compressor (all types), Heater (All Types), Fire Watchman, Lighting Unit (Portable & Generator) Pump, Pump Station(Water, Sewer, Portable, Temporary), Welding Machine (Steel Erection & Excavation).

GROUP V: Mechanics Helper, Motorized Roller (walk behind), Stock Attendant, Welder's Helper, Maintenance Engineer Crane(75 ton and over).

Group VI-A: Welder Certified

GROUP VI-B: Utility Man, Warehouse Man.

WAGES: (per hour)

07/01/2024

GROUP I

Cranes- up to 49 tons \$ 67.43

Cranes- 50 tons to 99 tons 69.77

Cranes- 100 tons and over 79.64

GROUP I-A 59.04

GROUP I-B 54.41

GROUP II 56.97

GROUP III-A 54.88

GROUP III-B 52.25

GROUP IV-A 54.33

GROUP IV-B 45.94

GROUP V 49.53

Group VI-A 57.96

GROUP VI-B

Utility Man 47.00

Warehouse Man 49.26

An additional 20% to wage when required to wear protective equipment on hazardous/toxic waste projects.

Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour.

Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour.

Loader operators over 5 cubic yard capacity additional .50 per hour.

Shovel operators over 4 cubic yard capacity additional \$1.00 per hour.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 32.32

OVERTIME PAY

See (B, E, Q, V) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

8-137B

Operating Engineer - Heavy&Highway

11/01/2024

JOB DESCRIPTION Operating Engineer - Heavy&Highway

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

PARTIAL COUNTIES

Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

WAGES

GROUP I: Boom Truck, Cherry Picker, Clamshell, Crane, (Crawler, Truck), Dragline, Drill Rig (Casa Grande, Cat, or Similar), Floating Crane (Crane on Barges) under 100 tons, Gin Pole, Hoist Engineer-Concrete (Crane-Derrick-Mine Hoist), Knuckle Boom Crane, Rough Terrain Crane.

GROUP I-A: Auger (Truck or Truck Mounted), Boat Captain, Bulldozer-All Sizes, Central Mix Plant Operator, Chipper (all types), Close Circuit T.V., Combination Loader/Backhoe, Compactor with Blade, Concrete Finishing Machine, Gradall, Grader (Motor Grader), Elevator & Cage (Materials or Passenger), Excavator (and all attachments), Front End Loaders (1 1/2 yards and over), High Lift Lull and similar, Hoist (Single, Double, Triple Drum), Hoist Portable Mobile Unit, Hoist Engineer (Material), Jack and Bore Machine, Log Skidders, Mill Machines, Mucking Machines, Overhead Crane, Paver (concrete), Post Pounder (of any type), Push Cats, Road Reclaimer, Robot Hammer (Brokk or similar), Robotic Equipment (Scope of Engineer Schedule), Ross Carrier and similar, Scrapers (20 yard struck and over), Side Boom, Slip Form Machine, Spreader (Asphalt), Trenching Machines (Telephies-Vermeer Concrete Saw), Tractor Type Demolition Equipment, Vacuum Truck. Vibratory Roller(Riding) or Roller used in mainline paving operations.

GROUP I-B: Asphalt Mobile Conveyor/Transfer Machine, Road Paver (Asphalt).

GROUP II-A: Ballast Regulators, Compactor Self Propelled, Fusion Machine, Rail Anchor Machines, Roller (4 ton and over), Scrapers (20 yard struck and under).

GROUP II-B: Mechanic (Outside) All Types, Shop Mechanic.

GROUP III: Air Tractor Drill, Asphalt Plant, Batch Plant, Boiler (High Pressure), Concrete Breaker (Track or Rubber Tire), Concrete Pump, Concrete Spreader, Excavator Drill, Farm Tractor, Forklift (all types), Gas Tapping (Live), Hydroseeder, Loader (1 1/2 yards and under), Locomotive (all sizes), Machine Pulling Sheeps Foot Roller, Portable Asphalt Plant, Portable Batch Plant, Portable Crusher (Apprentice), Powerhouse Plant, Roller (under 4 ton), Sheer Excavator, Skid Steer/Bobcat, Stone Crusher, Sweeper (with seat), Well Drilling Machine.

GROUP IV: Service Person (Grease Truck), Deckhand.

GROUP IV-B: Conveyor Belt Machine (Truck Mounted), Heater (all types), Lighting Unit (Portable), Maintenance Engineer (For Crane Only), Mechanics Helper, Pump (Fireproofing), Pumps-Pump Station/Water/Sewer/Gypsum/Plaster, etc., Pump Truck (Sewer Jet or Similar), Welders Helper, Welding Machine (Steel Erection), Well Point System.

GROUP V: All Tower Cranes-All Climbing Cranes and all cranes of 100-ton capacity or greater (3900 Manitowac or similar) irrespective of manufacturer and regardless of how the same is rigged, Hoist Engineer (Steel), Engineer-Pile Driver, Jersey Spreader, Pavement Breaker/Post Hole Digger.

WAGES: Per hour: 07/01/2024

Group I	\$ 68.63
Group I-A	60.42
Group I-B	63.70
Group II-A	57.84
Group II-B	59.67
Group III	56.81
Group IV	51.57
Group IV-B	44.19
Group V	
Engineer All Tower, Climbing and	
Cranes of 100 Tons	77.82
Hoist Engineer(Steel)	70.41
Engineer(Pile Driver)	75.13
Jersey Spreader, Pavement Breaker (Air	
Ram)Post Hole Digger	59.19

Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour over the rate listed in the Wage Schedule. Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour over the rate listed in the Wage Schedule. Loader and Excavator Operators: over 5 cubic yards capacity \$0.50 per hour over the rate listed in the Wage Schedule. Shovel Operators: over 4 cubic yards capacity \$1.00 per hour over the rate listed in the Wage Schedule.

SHIFT WORK

A 15% premium on all hours paid, including overtime hours for 2nd, 3rd shifts on all government mandated off-shift work

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker: \$ 34.85 up
to 40 Hours

After 40 hours
\$ 25.55* PLUS

\$ 1.25 on all
hours worked

*This amount is subject to premium

OVERTIME PAY

See (B, E, P, *R, **U) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

Overtime..... See (5, 6, 8, 15, 25, 26) on OVERTIME PAGE

* For Holiday codes 8,15,25,26 code R applies

** For Holiday Codes 5 & 6 code U applies

Note: If employees are required to work on Easter Sunday they shall be paid at the rate of triple time.

REGISTERED APPRENTICES

(1)year terms at the following rate.

1st term	\$ 30.21
2nd term	36.25
3rd term	42.30
4th term	48.34
Supplemental Benefits per hour:	

26.85

8-137HH

Operating Engineer - Heavy&Highway

11/01/2024

JOB DESCRIPTION Operating Engineer - Heavy&Highway

DISTRICT 9

ENTIRE COUNTIES

Putnam, Westchester

PARTIAL COUNTIES

Dutchess: South of the North city line of Poughkeepsie

WAGES

Party Chief - One who directs a survey party

Instrument Man - One who runs the instrument and assists Party Chief

Rodman - One who holds the rod and in general, assists the Survey Crew

Categories cover GPS & Underground Surveying

Per Hour: 07/01/2024

Party Chief	\$ 84.94
Instrument Man	63.15
Rodman	53.43

SUPPLEMENTAL BENEFITS

Per Hour: 07/01/2024

All Categories
Straight Time: \$ 30.04* + \$7.64

Premium:
Time & 1/2 \$ 45.06* + \$7.64

Double Time \$ 60.08* + \$7.64

Non-Worked Holiday Supplemental Benefits:
\$ 21.83

OVERTIME PAY

See (B, *E, Q) on OVERTIME PAGE

* Doubletime paid on all hours in excess of 8 hours on Saturday

HOLIDAY

Paid: See (5, 6, 7, 11, 12) on HOLIDAY PAGE

Overtime: See (5, 6, 7, 11, 12) on HOLIDAY PAGE

9-15Dh

Operating Engineer - Heavy&Highway - Tunnel

11/01/2024

JOB DESCRIPTION Operating Engineer - Heavy&Highway - Tunnel

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

PARTIAL COUNTIES

Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

WAGES

GROUP I: Boom Truck, Cherry Picker, Clamshell, Crane(Crawler, Truck), Dragline, Drill Rig Casa Grande(Cat or Similar), Floating Crane(Crane on Barge-Under 100 Tons), Hoist Engineer(Concrete/Crane-Derrick-Mine Hoist), Knuckle Boom Crane, Rough Terrain Crane.

GROUP I-A: Auger(Truck or Truck Mounted), Boat Captain, Bull Dozer-all sizes, Central Mix Plant Operator, Chipper-all types, Close Circuit T.V., Combination Loader/Backhoe, Compactor with Blade, Concrete Finishing Machine, Gradall, Grader(Motor Grader), Elevator & Cage(Materials or Passengers), Excavator(and all attachments), Front End Loaders(1 1/2 yards and over), High Lift Lull, Hoist(Single, Double, Triple Drum), Hoist Portable Mobile Unit, Hoist Engineer(Material), Jack and Bore Machine, Log Skidder, Milling Machine, Moveable Concrete Barrier Transfer & Transport Vehicle, Mucking Machines. Overhead Crane, Paver(Concrete), Post Pounder of any type, Push Cats, Road Reclaimer, Robot Hammer(Brokk or similar), Robotic Equipment(Scope of Engineer Schedule), Ross Carrier and similar machines, Scrapers(20 yards struck and over), Side Boom, Slip Form Machine, Spreader(Asphalt), Trenching Machines, Telephies-Vermeer Concrete Saw, Tractor type demolition equipment, Vacuum Truck, Vibratory Roller (Riding) used in mainline paving operations.

GROUP I-B: Asphalt Mobile Conveyor/Transfer Machine, Road Paver(Asphalt).

GROUP II-A: Ballast Regulators, Compactor(Self-propelled), Fusion Machine, Rail Anchor Machines, Roller(4 ton and over), Scrapers(20 yard struck and under).

GROUP II-B: Mechanic(outside)all types, Shop Mechanic.

GROUP III: Air Tractor Drill, Asphalt Plant, Batch Plant, Boiler(High Pressure), Concrete Breaker(Track or Rubber Tire), Concrete Pump, Concrete Spreader, Excavator Drill, Farm Tractor, Forklift(all types of power), Gas Tapping(Live), Hydroseeder, Loader(1 1/2 yards and under), Locomotive(all sizes), Machine Pulling Sheeps Foot Roller, Portable Asphalt Plant, Portable Batch Plant, Portable Crusher(Apprentice), Powerhouse Plant, Roller(under 4 ton), Sheer Excavator, Skidsteer/Bobcat, Stone Crusher, Sweeper(with seat), Well Drilling Machine.

GROUP IV-A: Service Person(Grease Truck), Deckhand.

GROUP IV-B: Conveyor Belt Machine(Truck Mounted), Heater(all types), Lighting Unit(Portable), Maintenance Engineer(for Crane only), Mechanics Helper, Pump(Fireproofing), Pumps-Pump Station/Water/Sewer/Gypsum/Plaster, etc., Pump Truck(Sewer Jet or similar), Welding Machine(Steel Erection), Welders Helper.

GROUP V-A: Engineer(all Tower Cranes, all Climbing Cranes & all Cranes of 100 ton capacity or greater),Hoist Engineer(Steel-Sub Structure), Engineer-Pile Driver, Jersey-Spreader, Pavement breaker, Post Hole Digger

WAGES: (per hour)

07/01/2024

GROUP I	\$ 68.63
GROUP I-A	60.42
GROUP I-B	63.70
GROUP II-A	57.84
GROUP II-B	59.67
GROUP III	56.81
GROUP IV-A	51.57
GROUP IV-B	44.19
GROUP V-A	
Engineer-Cranes	77.82
Engineer-Pile Driver	75.13
Hoist Engineer	70.41
Jersey Spreader/Post Hole Digger	59.19

An additional 20% to wage when required to wear protective equipment on hazardous/toxic waste projects. Operators required to use two buckets pouring concrete on other than road pavement shall receive \$0.50 per hour over scale. Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour. Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour. Operators of shovels with a capacity over (4) cubic yards shall be paid an additional \$1.00 per hour. Operators of loaders with a capacity over (5) cubic yards shall be paid an additional \$0.50 per hour.

SHIFT WORK

A 15% premium on all hours paid, including overtime hours for 2nd, 3rd shifts
on all government mandated off-shift work

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker:

\$ 34.85 up to
40 hours
After 40 hours
\$25.55 plus
\$1.25 on all
hours worked

OVERTIME PAY

See (D, O, *U, V) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 15, 25, 26) on HOLIDAY PAGE

* Note: For Holiday codes 5 & 6, code U applies. For Holiday codes 8, 15, 25, 26, code R applies.

Note: If employees are required to work on Easter Sunday, they shall be paid at the rate of triple time.

REGISTERED APPRENTICES

(1)year terms at the following rates:

1st term	\$ 30.21
2nd term	36.25
3rd term	42.30
4th term	48.34

Supplemental Benefits per hour:

All terms	\$ 26.85
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8-137Tun

Operating Engineer - Marine Dredging

11/01/2024

JOB DESCRIPTION Operating Engineer - Marine Dredging

DISTRICT 4

ENTIRE COUNTIES

Albany, Bronx, Cayuga, Clinton, Columbia, Dutchess, Essex, Franklin, Greene, Jefferson, Kings, Monroe, Nassau, New York, Orange, Oswego, Putnam, Queens, Rensselaer, Richmond, Rockland, St. Lawrence, Suffolk, Ulster, Washington, Wayne, Westchester

WAGES

These wages do not apply to Operating Engineers on land based construction projects. For those projects, please see the Operating Engineer Heavy/Highway Rates. The wage rates below for all equipment and operators are only for marine dredging work in navigable waters found in the counties listed above.

Per Hour: 07/01/2024

CLASS A1	\$ 45.26
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Deck Captain, Leverman,
Mechanical Dredge Operator,
Licensed Tug Operator 1000HP or more.

CLASS A2	40.33
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Crane Operator (360 swing)

CLASS B	To conform to Operating Engineer Prevailing Wage in locality where work is being performed including benefits.
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Dozer, Front Loader
Operator on Land

CLASS B1	39.14
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Derrick Operator (180 swing)
Spider/Spill Barge Operator

Operator II, Fill Placer, Engineer
Chief Mate, Electrician, Chief Welder,
Maintenance Engineer, Licensed Boat, Crew Boat Operator

CLASS B2 36.84
Certified Welder

CLASS C1 35.83
Drag Barge Operator,
Steward, Mate,
Assistant Fill Placer

CLASS C2 34.68
Boat Operator

CLASS D 28.81
Shoreman, Deckhand, Oiler,
Rodman, Scowman, Cook,
Messman, Porter/Janitor

SUPPLEMENTAL BENEFITS

Per Hour:

THE FOLLOWING SUPPLEMENTAL BENEFITS APPLY TO ALL CATEGORIES

All Classes A & B \$ 12.00 plus 7%
of straight time
wage, Overtime hours
add \$ 0.63

All Class C & D \$ 11.75 plus 7%
of straight time
wage, Overtime hours
add \$ 0.50

OVERTIME PAY

See (B2, F, R) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 15, 26) on HOLIDAY PAGE

4-25a-MarDredge

Operating Engineer - Survey Crew - Consulting Engineer

11/01/2024

JOB DESCRIPTION Operating Engineer - Survey Crew - Consulting Engineer

DISTRICT 9

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Suffolk, Westchester

PARTIAL COUNTIES

Dutchess: That part in Dutchess County lying South of the North City line of Poughkeepsie.

WAGES

Feasibility and preliminary design surveying, any line and grade surveying for inspection or supervision of construction.

Per hour: 07/01/2024
Survey Classifications

Party Chief \$ 49.39
Instrument Man 40.96
Rodman 35.63

SUPPLEMENTAL BENEFITS

Per Hour:

All Crew Members: \$ 23.75

OVERTIME PAY

OVERTIME:.... See (B, E*, Q, V) ON OVERTIME PAGE.

*Double-time paid on the 9th hour on Saturday.

HOLIDAY

Paid: See (5, 6, 7, 11, 16) on HOLIDAY PAGE

Overtime: See (5, 6, 7, 11, 16) on HOLIDAY PAGE

Painter

11/01/2024

JOB DESCRIPTION Painter

DISTRICT 8

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Suffolk, Westchester

WAGES

Per hour:	07/01/2024	05/01/2025 Additional
Brush	52.86*	\$ 2.62**
Abatement/Removal of lead based or lead containing paint on materials to be repainted.	52.86*	
Spray & Scaffold	\$ 55.86*	
Fire Escape	55.86*	
Decorator	55.86*	
Paperhanger/Wall Coverer	55.09*	

*Subtract \$ 0.10 to calculate premium rate.

** To be allocated at a later date.

SHIFT WORK

Counties of Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Suffolk, and Westchester; Agency/Government mandated off-shift work to be paid at time and one-half the hourly wage.

SUPPLEMENTAL BENEFITS

Per hour:

Paperhanger	\$ 36.73
All others	34.31
Premium	38.28**

**Applies only to "All others" category, not paperhanger journeyworker.

OVERTIME PAY

See (A, E, R) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

One (1) year terms at the following wage rate.

Per hour:	07/01/2024
Appr 1st term...	\$ 20.22*
Appr 2nd term...	25.93*
Appr 3rd term...	31.61*
Appr 4th term...	42.40*

*Subtract \$ 0.10 to calculate premium rate.

Supplemental benefits:

Per Hour:	
Appr 1st term...	\$ 16.89
Appr 2nd term...	20.95
Appr 3rd term...	24.10
Appr 4th term...	30.57

8-NYDC9-B/S

Painter

11/01/2024

JOB DESCRIPTION Painter

DISTRICT 8

ENTIRE COUNTIES

Putnam, Suffolk, Westchester

PARTIAL COUNTIES

Nassau: All of Nassau except the areas described below: Atlantic Beach, Ceaderhurst, East Rockaway, Gibson, Hewlett, Hewlett Bay, Hewlett Neck, Hewlett Park, Inwood, Lawrence, Lido Beach, Long Beach, parts of Lynbrook, parts of Oceanside, parts of Valley Stream, and Woodmere. Starting on the South side of Sunrise Hwy in Valley Stream running east to Windsor and Rockaway Ave., Rockville Centre is the boundary line up to Lawson Blvd. turn right going west all the above territory. Starting at Union Turnpike and Lakeville Rd. going north to Northern Blvd. the west side of Lakeville road to Northern blvd. At Northern blvd. going east the district north of Northern blvd. to Port Washington Blvd. West of Port Washington blvd. to St. Francis Hospital then north of first traffic light to Port Washington and Sands Point, Manor HAVen, Harbour Acres.

WAGES

Per hour:	07/01/2024	05/01/2025
Drywall Taper:	\$ 52.86*	Additional
Scaffold:	\$ 55.86*	\$ 2.62**

*Subtract \$ 0.10 to calculate premium rate.

** To be allocated a later date.

SHIFT WORK

Agency/Government mandated off-shift work to be paid at time and one-half hourly wage

SUPPLEMENTAL BENEFITS

Per hour:	
Journeyman	\$ 34.31

OVERTIME PAY

See (A, E, R) on OVERTIME PAGE

HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wages - Per Hour:

1500 hour terms at the following wage rate:

1st term	\$ 20.22*
2nd term	25.93*
3rd term	31.61*
4th term	42.40*

*Subtract \$ 0.10 to calculate premium rate.

Supplemental Benefits - Per hour:

One year term (1500 hours) at the following dollar amount.

1st year	\$ 16.89
2nd year	20.95
3rd year	24.10
4th year	30.57

8-NYDCT9-DWT

Painter - Bridge & Structural Steel

11/01/2024

JOB DESCRIPTION Painter - Bridge & Structural Steel

DISTRICT 8

ENTIRE COUNTIES

Albany, Bronx, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Kings, Montgomery, Nassau, New York, Orange, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, Westchester

WAGES

Per Hour:	
STEEL:	
Bridge Painting:	07/01/2024
	\$ 56.00
	+ 10.35*

ADDITIONAL \$7.00 per hour for POWER TOOL/SPRAY, whether straight time or overtime.

NOTE: All premium wages are to be calculated on base rate per hour only.

* For the period of May 1st to November 15th, this amount is payable up to 40 hours. For the period of Nov 16th to April 30th, this amount is payable up to 50 hours. EXCEPTION: First and last week of employment, and for the weeks of Memorial Day, Independence Day and Labor Day, where the amount is paid for the actual number of hours worked (50 hour cap).

NOTE: Generally, for Bridge Painting Contracts, ALL WORKERS on and off the bridge (including Flagmen) are to be paid Painter's Rate; the contract must be ONLY for Bridge Painting.

SHIFT WORK

When directly specified in public agency or authority contract documents for an employer to work a second shift and works the second shift with employees other than from the first shift, all employees who work the second shift will be paid 10% of the base wage shift differential in lieu of overtime for the first eight (8) hours worked after which the employees shall be paid at time and one half of the regular wage rate. When a single irregular work shift is mandated in the job specifications or by the contracting agency, wages shall be paid at time and one half for single shifts between the hours of 3pm-11pm or 11pm-7am.

SUPPLEMENTAL BENEFITS

Per Hour:

Journeyworker:

\$ 12.43
+ 31.55*

* For the period of May 1st to November 15th, this amount is payable up to 40 hours. For the period of Nov 16th to April 30th, this amount is payable up to 50 hours. EXCEPTION: First and last week of employment, and for the weeks of Memorial Day, Independence Day and Labor Day, where the amount is paid for the actual number of hours worked (50 hour cap).

OVERTIME PAY

See (B, F, R) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (4, 6) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wage - Per hour:

Apprentices: (1) year terms.

1st year	\$ 22.40 + 4.14
2nd year	\$ 33.60 + 6.21
3rd year	\$ 44.80 + 8.28
Supplemental Benefits - Per hour:	
1st year	\$ 1.16 + 12.62
2nd year	\$ 7.46 + 18.93
3rd year	\$ 9.94 + 25.24

NOTE: All premium wages are to be calculated on base rate per hour only.

8-DC-9/806/155-BrSS

Painter - Line Striping

11/01/2024

JOB DESCRIPTION Painter - Line Striping

DISTRICT 8

ENTIRE COUNTIES

Albany, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Nassau, Orange, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, Westchester

WAGES

Per hour:

Painter (Striping-Highway):	07/01/2024	04/01/2025	04/01/2026
Striping-Machine Operator*	\$ 34.12	\$ 35.49	\$ 36.93

Linerman Thermoplastic	41.12	42.74	44.44
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Note: * Includes but is not limited to: Positioning of cones and directing of traffic using hand held devices. Excludes the Driver/Operator of equipment used in the maintenance and protection of traffic safety.

SHIFT WORK

When directly specified in public agency or authority contract documents there shall be a 30% night shift premium pay differential for all work performed after 9:00pm and before 5:00am.

SUPPLEMENTAL BENEFITS

Per hour paid:

Journeyworker:

Striping Machine Operator: \$23.65 \$ 24.30 \$ 24.95

Linerman Thermoplastic: 23.65 24.30 24.95

OVERTIME PAY

See (B, B2, E2, F, S) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 20) on HOLIDAY PAGE

Overtime: See (5, 20) on HOLIDAY PAGE

REGISTERED APPRENTICES

One (1) year terms at the following wage rates:

1st Term:	\$ 16.00	\$ 16.00	\$ 16.00
2nd Term:	20.47	21.29	22.16
3rd Term:	27.30	28.39	29.54

Supplemental Benefits per hour:

All terms:	\$ 23.65	\$ 24.30	\$ 24.95
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8-1456-LS

Painter - Metal Polisher

11/01/2024

JOB DESCRIPTION Painter - Metal Polisher

DISTRICT 8

ENTIRE COUNTIES

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

07/01/2024

Metal Polisher \$ 39.33

Metal Polisher* 40.43

Metal Polisher** 43.33

*Note: Applies on New Construction & complete renovation

** Note: Applies when working on scaffolds over 34 feet.

SUPPLEMENTAL BENEFITS

Per Hour: 07/01/2024

Journeyworker:

All classification \$ 12.79

OVERTIME PAY

See (B, E, P, T) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 11, 15, 16, 25, 26) on HOLIDAY PAGE

Overtime: See (5, 6, 11, 15, 16, 25, 26) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wages per hour:

One (1) year term at the following wage rates:

07/01/2024

1st year \$ 19.67

2nd year 21.63

3rd year	23.60
1st year*	\$ 22.06
2nd year*	22.07
3rd year*	24.14
1st year**	\$ 22.17
2nd year**	24.13
3rd year**	26.10

*Note: Applies on New Construction & complete renovation

** Note: Applies when working on scaffolds over 34 feet.

Supplemental benefits:

Per hour:

1st year	\$ 8.69
2nd year	8.69
3rd year	8.69

8-8A/28A-MP

Plumber

11/01/2024

JOB DESCRIPTION Plumber

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

WAGES

Per hour:

07/01/2024

Plumber and

Steamfitter

\$ 63.76

SHIFT WORK

SHIFT WORK:

When directly specified in public agency or authority contract documents, shift work outside the regular hours of work shall be comprised of eight (8) hours per shift not including Saturday, Sundays and holidays. One half (1/2) hour shall be allowed for lunch after the first four (4) hours of each shift. Wage and Fringes for shift work shall be straight time plus a shift premium of twenty-five (25%) percent. A minimum of five days Monday through Friday must be worked to establish shift work.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 43.61

OVERTIME PAY

See (B, E, E2, Q, V) on OVERTIME PAGE

OVERTIME:.... See on OVERTIME PAGE.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

(1)year terms at the following wages:

1st Term	\$ 23.75
2nd Term	27.23
3rd Term	31.47
4th Term	44.80
5th Term	48.05

Supplemental Benefits per hour:

1st term	\$ 17.94
2nd term	20.05
3rd term	23.82
4th term	31.51
5th term	33.42

8-21.1-ST

Plumber - HVAC / Service**11/01/2024**

JOB DESCRIPTION Plumber - HVAC / Service**DISTRICT** 8**ENTIRE COUNTIES**

Dutchess, Putnam, Westchester

PARTIAL COUNTIES

Delaware: Only the townships of Middletown and Roxbury

Ulster: Entire County(including Wallkill and Shawangunk Prisons) except for remainder of Town of Shawangunk and Towns of Plattekill, Marlboro, and Wawarsing.

WAGES

Per hour: 07/01/2024

HVAC Service \$ 43.43
+ \$ 4.47*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker HVAC Service
\$ 30.39**OVERTIME PAY**

See (B, F, R) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 16, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

HVAC SERVICE

(1)year terms at the following wages:

1st yr.	2nd yr.	3rd yr.	4th yr.	5th yr.
\$ 19.66	\$ 23.32	\$ 29.05	\$ 35.73	\$ 38.83
+\$2.43*	+\$2.76*	+\$3.31*	+\$3.96*	+\$4.21*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental Benefits per hour:

Apprentices 07/01/2024

1st term	\$ 21.47
2nd term	23.05
3rd term	24.76
4th term	27.13
5th term	28.81

8-21.1&2-SF/Re/AC

Plumber - Jobbing & Alterations**11/01/2024**

JOB DESCRIPTION Plumber - Jobbing & Alterations**DISTRICT** 8**ENTIRE COUNTIES**

Dutchess, Putnam, Westchester

PARTIAL COUNTIES

Ulster: Entire county (including Wallkill and Shawangunk Prisons in Town of Shawangunk) EXCEPT for remainder of Town of Shawangunk, and Towns of Plattekill, Marlboro, and Wawarsing.

WAGES

Per hour: 07/01/2024

Journeyworker: \$ 49.63

Repairs, replacements and alteration work is any repair or replacement of a present plumbing system that does not change existing roughing or water supply lines.

SHIFT WORK

When directly specified in public agency or authority contract documents, shift work outside the regular hours of work shall be comprised of eight (8) hours per shift not including Saturday, Sundays and holidays. One half (1/2) hour shall be allowed for lunch after the first four (4) hours of each shift. Wage and Fringes for shift work shall be straight time plus a shift premium of twenty-five (25%) percent. A minimum of five days Monday through Friday must be worked to establish shift work.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker

\$ 36.44

OVERTIME PAY

See (B, *E, E2, Q, V) on OVERTIME PAGE

*When used as a make-up day, hours after 8 on Saturday shall be paid at time and one half.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

(1) year terms at the following wages:

1st year	\$ 21.35
2nd year	23.73
3rd year	25.87
4th year	36.28
5th year	38.34

Supplemental Benefits per hour:

1st year	\$ 12.11
2nd year	14.21
3rd year	18.38
4th year	24.86
5th year	26.96

8-21.3-J&A

Roofer

11/01/2024

JOB DESCRIPTION Roofer

DISTRICT 9

ENTIRE COUNTIES

Bronx, Dutchess, Kings, New York, Orange, Putnam, Queens, Richmond, Rockland, Sullivan, Ulster, Westchester

WAGES

Per Hour: 07/01/2024

Roofer/Waterproofer \$ 48.50
+ \$7.00*

* This portion is not subjected to overtime premiums.

Note: Abatement/Removal of Asbestos containing roofs and roofing material is classified as Roofer.

SUPPLEMENTAL BENEFITS

Per Hour: \$ 31.87

OVERTIME PAY

See (B, H) on OVERTIME PAGE

Note: An observed holiday that falls on a Sunday will be observed the following Monday.

HOLIDAY

Overtime: See (5, 6) on HOLIDAY PAGE

REGISTERED APPRENTICES

(1) year term apprentices indentured prior to 01/01/2023

1st	2nd	3rd	4th
\$ 16.97	\$ 24.25	\$ 29.10	\$ 36.37
	+ 3.50*	+ 4.20*	+ 5.26*

Supplements:

1st	2nd	3rd	4th
\$ 4.10	\$ 16.17	\$ 19.31	\$ 24.02

* This portion is not subjected to overtime premiums.

(1) year term apprentices indentured after 01/01/2023

	1st	2nd	3rd	4th	5th
	\$ 18.43	\$ 21.82	\$ 24.25	\$ 29.10	\$ 36.37
		+ 3.16*	+ 3.50*	+ 4.20*	+ 5.26
Supplements:					
	1st	2nd	3rd	4th	5th
	\$ 7.73	\$ 14.59	\$ 16.17	\$ 19.31	\$ 24.02

* This portion is not subjected to overtime premiums.

9-8R

Sheetmetal Worker	11/01/2024
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JOB DESCRIPTION Sheetmetal Worker

DISTRICT 8

ENTIRE COUNTIES

Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster, Westchester

WAGES

	07/01/2024
SheetMetal Worker	\$ 49.51
	+ 3.71*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

SHIFT WORK

For all NYS D.O.T. and other Governmental mandated off-shift work:
10% increase for additional shifts for a minimum of five (5) days

SUPPLEMENTAL BENEFITS

Journeyworker \$ 46.20

OVERTIME PAY

OVERTIME:.. See (B, E, Q,) on OVERTIME PAGE.

HOLIDAY

Paid: See (1) on HOLIDAY PAGE
Overtime: See (5, 6, 8, 15, 16, 23) on HOLIDAY PAGE

REGISTERED APPRENTICES

1st	2nd	3rd	4th	5th	6th	7th	8th
\$ 20.20	\$ 20.81	\$ 23.12	\$ 25.42	\$ 27.74	\$ 30.08	\$ 32.86	\$ 35.63
+ 1.48*	+ 1.67*	+ 1.86*	+ 2.04*	+ 2.23*	+ 2.41*	+ 2.60*	+ 2.78*

*This portion of the benefit is NOT subject to the SAME PREMIUM as shown for overtime.

Supplemental Benefits per hour:

Apprentices	
1st term	\$ 18.07
2nd term	22.24
3rd term	24.71
4th term	27.21
5th term	29.67
6th term	32.12
7th term	34.12
8th term	36.15

8-38

Sheetmetal Worker	11/01/2024
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JOB DESCRIPTION Sheetmetal Worker

DISTRICT 4

ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Suffolk, Westchester

WAGES

Per Hour:	07/01/2024	08/01/2024
Sign Erector	\$ 58.00	\$ 60.00

NOTE: Structurally Supported Overhead Highway Signs(See STRUCTURAL IRON WORKER CLASS)

SUPPLEMENTAL BENEFITS

Per Hour:	07/01/2024	08/01/2024
Sign Erector	\$ 57.12	\$ 58.31

OVERTIME PAY

See (B, F, S) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 10, 11, 12, 16, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 10, 11, 12, 16, 25) on HOLIDAY PAGE

REGISTERED APPRENTICES

Per Hour:

6 month Terms at the following percentage of Sign Erectors wage rate:

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
35%	40%	45%	50%	55%	60%	65%	70%	75%	80%

SUPPLEMENTAL BENEFITS

Per Hour:

07/01/2024

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
\$ 18.27	\$ 20.75	\$ 25.22	\$ 25.70	\$ 34.66	\$ 37.74	\$ 41.65	\$ 44.78	\$ 47.93	\$ 51.04

08/01/2024

\$ 18.65	\$ 21.16	\$ 23.69	\$ 26.22	\$35.39	\$ 38.52	\$ 42.55	\$ 45.75	\$ 48.96	\$ 52.15 4-137-SE
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Sprinkler Fitter	11/01/2024
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JOB DESCRIPTION Sprinkler Fitter

DISTRICT 1

ENTIRE COUNTIES

Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster, Westchester

WAGES

Per hour 07/01/2024

Sprinkler \$ 53.34
Fitter

SUPPLEMENTAL BENEFITS

Per hour

Journeyworker \$ 30.77

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE

Overtime: See (5, 6) on HOLIDAY PAGE

Note: When a holiday falls on Sunday, the following Monday shall be considered a holiday and all work performed on either day shall be at the double time rate. When a holiday falls on Saturday, the preceding Friday shall be considered a holiday and all work performed on either day shall be at the double time rate.

REGISTERED APPRENTICES

Wages per hour

One Half Year terms at the following wage.

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
\$ 25.89	\$ 28.77	\$ 31.39	\$ 34.27	\$ 37.14	\$ 40.02	\$ 42.90	\$ 45.77	\$ 48.65	\$ 51.53

Supplemental Benefits per hour

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
\$ 9.18	\$ 9.18	\$ 20.90	\$ 20.90	\$ 21.15	\$ 21.15	\$ 21.15	\$ 21.15	\$ 21.15	\$ 21.15 1-669.2

Teamster - Building / Heavy&Highway	11/01/2024
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JOB DESCRIPTION Teamster - Building / Heavy&Highway

DISTRICT 8

ENTIRE COUNTIES

Putnam, Westchester

WAGES

GROUP A: Straight Trucks (6-wheeler and 10-wheeler), A-frame, Winch, Dynamite Seeding, Mulching, Agitator, Water, Attenuator, Light Towers, Cement (all types), Suburban, Station Wagons, Cars, Pick Ups, any vehicle carrying materials of any kind.

GROUP AA: Tack Coat

GROUP B: Tractor & Trailers (all types).

GROUP BB: Tri-Axle, 14 Wheeler

GROUP C: Low Boy (carrying equipment).

GROUP D: Fuel Trucks, Tire Trucks.

GROUP E: Off-road Equipment (over 40 tons): Athey Wagons, Belly Dumps, Articulated Dumps, Trailer Wagons.

GROUP F: Off-road Equipment (over 40 tons) Euclid, DJB.

GROUP G: Off-road Equipment (under 40 tons) Athey Wagons, Belly Articulated Dumps, Trailer Wagons.

GROUP H: Off-road Equipment (under 40 tons), Euclid.

GROUP HH: Off-road Equipment (under 40 tons) D.J.B.

GROUP I: Off-road Equipment (under 40 tons) Darts.

GROUP II: Off-road Equipment (under 40 tons) RXS.

WAGES: (per hour)

07/01/2024

GROUP A	\$ 47.86*
GROUP AA	50.86*
GROUP B	48.48*
GROUP BB	47.98*
GROUP C	50.61*
GROUP D	48.31*
GROUP E	48.86*
GROUP F	49.86*
GROUP G	48.61*
GROUP H	49.23*
GROUP HH	49.61*
GROUP I	49.36*
GROUP II	49.73*

* To calculate premium wage, subtract \$.10 from the hourly wage.

Note: Fuel truck operators on construction sites addit. \$5.00 per day.

For work on hazardous/toxic waste site addit. 20% of hourly rate.

SHIFT WORK

When mandated by the contracting agency, DOT, or any governmental agency contracts shall receive a shift differential of fifteen (15%) above the wage rate.

SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker

First 40 hours	\$ 37.33
41st-45th hours	16.73
Over 45 hours	1.60

OVERTIME PAY

See (B, E, P, R) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6, 8, 15, 25) on HOLIDAY PAGE

Overtime: See (5, 6, 8, 15, 25) on HOLIDAY PAGE

8-456

Welder

11/01/2024

JOB DESCRIPTION Welder

DISTRICT 1

ENTIRE COUNTIES

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

Per hour 07/01/2024

Welder: To be paid the same rate of the mechanic performing the work.*

*EXCEPTION: If a specific welder certification is required, then the 'Certified Welder' rate in that trade tag will be paid.

OVERTIME PAY

HOLIDAY

1-As Per Trade

Overtime Codes

Following is an explanation of the code(s) listed in the OVERTIME section of each classification contained in the attached schedule. Additional requirements may also be listed in the HOLIDAY section.

NOTE: Supplemental Benefits are 'Per hour worked' (for each hour worked) unless otherwise noted

- (AA) Time and one half of the hourly rate after 7 and one half hours per day
- (A) Time and one half of the hourly rate after 7 hours per day
- (B) Time and one half of the hourly rate after 8 hours per day
- (B1) Time and one half of the hourly rate for the 9th & 10th hours week days and the 1st 8 hours on Saturday.
Double the hourly rate for all additional hours
- (B2) Time and one half of the hourly rate after 40 hours per week
- (C) Double the hourly rate after 7 hours per day
- (C1) Double the hourly rate after 7 and one half hours per day
- (D) Double the hourly rate after 8 hours per day
- (D1) Double the hourly rate after 9 hours per day
- (E) Time and one half of the hourly rate on Saturday
- (E1) Time and one half 1st 4 hours on Saturday; Double the hourly rate all additional Saturday hours
- (E2) Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (E3) Between November 1st and March 3rd Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather, provided a given employee has worked between 16 and 32 hours that week
- (E4) Saturday and Sunday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (E5) Double time after 8 hours on Saturdays
- (F) Time and one half of the hourly rate on Saturday and Sunday
- (G) Time and one half of the hourly rate on Saturday and Holidays
- (H) Time and one half of the hourly rate on Saturday, Sunday, and Holidays
- (I) Time and one half of the hourly rate on Sunday
- (J) Time and one half of the hourly rate on Sunday and Holidays
- (K) Time and one half of the hourly rate on Holidays
- (L) Double the hourly rate on Saturday
- (M) Double the hourly rate on Saturday and Sunday
- (N) Double the hourly rate on Saturday and Holidays
- (O) Double the hourly rate on Saturday, Sunday, and Holidays
- (P) Double the hourly rate on Sunday
- (Q) Double the hourly rate on Sunday and Holidays
- (R) Double the hourly rate on Holidays
- (S) Two and one half times the hourly rate for Holidays

- (S1) Two and one half times the hourly rate the first 8 hours on Sunday or Holidays One and one half times the hourly rate all additional hours.
- (T) Triple the hourly rate for Holidays
- (U) Four times the hourly rate for Holidays
- (V) Including benefits at SAME PREMIUM as shown for overtime
- (W) Time and one half for benefits on all overtime hours.
- (X) Benefits payable on Paid Holiday at straight time. If worked, additional benefit amount will be required for worked hours. (Refer to other codes listed.)

Holiday Codes

PAID Holidays:

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

OVERTIME Holiday Pay:

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays. The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

Following is an explanation of the code(s) listed in the HOLIDAY section of each classification contained in the attached schedule. The Holidays as listed below are to be paid at the wage rates at which the employee is normally classified.

- | | |
|--------|---|
| (1) | None |
| (2) | Labor Day |
| (3) | Memorial Day and Labor Day |
| (4) | Memorial Day and July 4th |
| (5) | Memorial Day, July 4th, and Labor Day |
| (6) | New Year's, Thanksgiving, and Christmas |
| (7) | Lincoln's Birthday, Washington's Birthday, and Veterans Day |
| (8) | Good Friday |
| (9) | Lincoln's Birthday |
| (10) | Washington's Birthday |
| (11) | Columbus Day |
| (12) | Election Day |
| (13) | Presidential Election Day |
| (14) | 1/2 Day on Presidential Election Day |
| (15) | Veterans Day |
| (16) | Day after Thanksgiving |
| (17) | July 4th |
| (18) | 1/2 Day before Christmas |
| (19) | 1/2 Day before New Years |
| (20) | Thanksgiving |
| (21) | New Year's Day |
| (22) | Christmas |
| (23) | Day before Christmas |
| (24) | Day before New Year's |
| (25) | Presidents' Day |
| (26) | Martin Luther King, Jr. Day |
| (27) | Memorial Day |
| (28) | Easter Sunday |

(29) Juneteenth

New York State Department of Labor - Bureau of Public Work
State Office Building Campus
Building 12 - Room 130
Albany, New York 12226

REQUEST FOR WAGE AND SUPPLEMENT INFORMATION

As Required by Articles 8 and 9 of the NYS Labor Law

Fax (518) 485-1870 or mail this form for new schedules or for determination for additional occupations.

This Form Must Be Typed

Submitted By:

(Check Only One) ☐ Contracting Agency ☐ Architect or Engineering Firm ☐ Public Work District Office Date:

A. Public Work Contract to be let by: (Enter Data Pertaining to Contracting/Public Agency)

<p>1. Name and complete address <input type="checkbox"/> (Check if new or change)</p> <p>Telephone _____ Fax _____</p> <p>E-Mail: _____</p>	<p>2. NY State Units (see Item 5).</p> <table style="width: 100%;"><tr><td><input type="checkbox"/> 01 DOT</td><td><input type="checkbox"/> 07 City</td></tr><tr><td><input type="checkbox"/> 02 OGS</td><td><input type="checkbox"/> 08 Local School District</td></tr><tr><td><input type="checkbox"/> 03 Dormitory Authority</td><td><input type="checkbox"/> 09 Special Local District, i.e., Fire, Sewer, Water District</td></tr><tr><td><input type="checkbox"/> 04 State University Construction Fund</td><td><input type="checkbox"/> 10 Village</td></tr><tr><td><input type="checkbox"/> 05 Mental Hygiene Facilities Corp.</td><td><input type="checkbox"/> 11 Town</td></tr><tr><td><input type="checkbox"/> 06 OTHER N.Y. STATE UNIT</td><td><input type="checkbox"/> 12 County</td></tr><tr><td></td><td><input type="checkbox"/> 13 Other Non-N.Y. State (Describe)</td></tr></table>	<input type="checkbox"/> 01 DOT	<input type="checkbox"/> 07 City	<input type="checkbox"/> 02 OGS	<input type="checkbox"/> 08 Local School District	<input type="checkbox"/> 03 Dormitory Authority	<input type="checkbox"/> 09 Special Local District, i.e., Fire, Sewer, Water District	<input type="checkbox"/> 04 State University Construction Fund	<input type="checkbox"/> 10 Village	<input type="checkbox"/> 05 Mental Hygiene Facilities Corp.	<input type="checkbox"/> 11 Town	<input type="checkbox"/> 06 OTHER N.Y. STATE UNIT	<input type="checkbox"/> 12 County		<input type="checkbox"/> 13 Other Non-N.Y. State (Describe)
<input type="checkbox"/> 01 DOT	<input type="checkbox"/> 07 City														
<input type="checkbox"/> 02 OGS	<input type="checkbox"/> 08 Local School District														
<input type="checkbox"/> 03 Dormitory Authority	<input type="checkbox"/> 09 Special Local District, i.e., Fire, Sewer, Water District														
<input type="checkbox"/> 04 State University Construction Fund	<input type="checkbox"/> 10 Village														
<input type="checkbox"/> 05 Mental Hygiene Facilities Corp.	<input type="checkbox"/> 11 Town														
<input type="checkbox"/> 06 OTHER N.Y. STATE UNIT	<input type="checkbox"/> 12 County														
	<input type="checkbox"/> 13 Other Non-N.Y. State (Describe)														
<p>3. SEND REPLY TO <input type="checkbox"/> (check if new or change) Name and complete address:</p> <p>Telephone _____ Fax _____</p> <p>E-Mail: _____</p>	<p>4. SERVICE REQUIRED. Check appropriate box and provide project information.</p> <p><input type="checkbox"/> New Schedule of Wages and Supplements. APPROXIMATE BID DATE : _____</p> <p><input type="checkbox"/> Additional Occupation and/or Redetermination</p> <table style="width: 100%;"><tr><td style="border: 1px solid black; padding: 5px; width: 50%;">PRC NUMBER ISSUED PREVIOUSLY FOR THIS PROJECT :</td><td style="border: 1px solid black; padding: 5px; width: 50%;">OFFICE USE ONLY</td></tr></table>	PRC NUMBER ISSUED PREVIOUSLY FOR THIS PROJECT :	OFFICE USE ONLY												
PRC NUMBER ISSUED PREVIOUSLY FOR THIS PROJECT :	OFFICE USE ONLY														

B. PROJECT PARTICULARS

<p>5. <u>Project Title</u> _____</p> <p><u>Description of Work</u> _____</p> <p>_____</p> <p><u>Contract Identification Number</u> _____</p> <p><u>Note: For NYS units, the OSC Contract No.</u> _____</p>	<p>6. Location of Project: <u>Location on Site</u> _____</p> <p><u>Route No/Street Address</u> _____</p> <p><u>Village or City</u> _____</p> <p><u>Town</u> _____</p> <p><u>County</u> _____</p>																						
<p>7. Nature of Project - Check One:</p> <table style="width: 100%;"><tr><td><input type="checkbox"/> 1. New Building</td></tr><tr><td><input type="checkbox"/> 2. Addition to Existing Structure</td></tr><tr><td><input type="checkbox"/> 3. Heavy and Highway Construction (New and Repair)</td></tr><tr><td><input type="checkbox"/> 4. New Sewer or Waterline</td></tr><tr><td><input type="checkbox"/> 5. Other New Construction (Explain)</td></tr><tr><td><input type="checkbox"/> 6. Other Reconstruction, Maintenance, Repair or Alteration</td></tr><tr><td><input type="checkbox"/> 7. Demolition</td></tr><tr><td><input type="checkbox"/> 8. Building Service Contract</td></tr></table>	<input type="checkbox"/> 1. New Building	<input type="checkbox"/> 2. Addition to Existing Structure	<input type="checkbox"/> 3. Heavy and Highway Construction (New and Repair)	<input type="checkbox"/> 4. New Sewer or Waterline	<input type="checkbox"/> 5. Other New Construction (Explain)	<input type="checkbox"/> 6. Other Reconstruction, Maintenance, Repair or Alteration	<input type="checkbox"/> 7. Demolition	<input type="checkbox"/> 8. Building Service Contract	<p>8. OCCUPATION FOR PROJECT :</p> <table style="width: 100%;"><tr><td><input type="checkbox"/> Construction (Building, Heavy Highway/Sewer/Water)</td><td><input type="checkbox"/> Fuel Delivery</td></tr><tr><td><input type="checkbox"/> Tunnel</td><td><input type="checkbox"/> Guards, Watchmen</td></tr><tr><td><input type="checkbox"/> Residential</td><td><input type="checkbox"/> Janitors, Porters, Cleaners, Elevator Operators</td></tr><tr><td><input type="checkbox"/> Landscape Maintenance</td><td><input type="checkbox"/> Moving furniture and equipment</td></tr><tr><td><input type="checkbox"/> Elevator maintenance</td><td><input type="checkbox"/> Trash and refuse removal</td></tr><tr><td><input type="checkbox"/> Exterminators, Fumigators</td><td><input type="checkbox"/> Window cleaners</td></tr><tr><td><input type="checkbox"/> Fire Safety Director, NYC Only</td><td><input type="checkbox"/> Other (Describe)</td></tr></table>	<input type="checkbox"/> Construction (Building, Heavy Highway/Sewer/Water)	<input type="checkbox"/> Fuel Delivery	<input type="checkbox"/> Tunnel	<input type="checkbox"/> Guards, Watchmen	<input type="checkbox"/> Residential	<input type="checkbox"/> Janitors, Porters, Cleaners, Elevator Operators	<input type="checkbox"/> Landscape Maintenance	<input type="checkbox"/> Moving furniture and equipment	<input type="checkbox"/> Elevator maintenance	<input type="checkbox"/> Trash and refuse removal	<input type="checkbox"/> Exterminators, Fumigators	<input type="checkbox"/> Window cleaners	<input type="checkbox"/> Fire Safety Director, NYC Only	<input type="checkbox"/> Other (Describe)
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9. Does this project comply with the Wicks Law involving separate bidding? YES ☐ NO ☐

10. Name and Title of Requester

Signature



NEW YORK STATE DEPARTMENT OF LABOR
Bureau of Public Work - Debarment List

**LIST OF EMPLOYERS INELIGIBLE TO BID ON OR BE
AWARDED ANY PUBLIC WORK CONTRACT**

Under Article 8 and Article 9 of the NYS Labor Law, a contractor, sub-contractor and/or its successor shall be debarred and ineligible to submit a bid on or be awarded any public work or public building service contract/sub-contract with the state, any municipal corporation or public body for a period of five (5) years from the date of debarment when:

- Two (2) final determinations have been rendered within any consecutive six-year (6) period determining that such contractor, sub-contractor and/or its successor has WILLFULLY failed to pay the prevailing wage and/or supplements;
- One (1) final determination involves falsification of payroll records or the kickback of wages and/or supplements.

The agency issuing the determination and providing the information, is denoted under the heading 'Fiscal Officer'. DOL = New York State Department of Labor; NYC = New York City Comptroller's Office; AG = New York State Attorney General's Office; DA = County District Attorney's Office.

Debarment Database: To search for contractors, sub-contractors and/or their successors debarred from bidding or being awarded any public work contract or subcontract under NYS Labor Law Articles 8 and 9, or under NYS Workers' Compensation Law Section 141-b, access the database at this link: <https://apps.labor.ny.gov/EDList/searchPage.do>

For inquiries please call 518-457-5589.

NYSDOL Bureau of Public Work Debarment List 11/18/2024

Article 8

AGENCY	Fiscal Officer	FEIN	EMPLOYER NAME	EMPLOYER DBA NAME	ADDRESS	DEBARMENT START DATE	DEBARMENT END DATE
DOL	DOL	*****5754	0369 CONTRACTORS, LLC		515 WEST AVE UNIT PH 13NORWALK CT 06850	05/12/2021	05/12/2026
DOL	DOL	*****5784	A.J.M. TRUCKING, INC.		PO BOX 2064 MONROE NY 10950	02/12/2024	02/12/2029
DOL	DOL		AKHLAQ OULAKH		4307 28TH AVE ASTORIA NY 11103	10/11/2024	10/11/2029
DOL	NYC		ALL COUNTY SEWER & DRAIN, INC.		7 GREENFIELD DR WARWICK NY 10990	03/25/2022	03/25/2027
DOL	DOL	*****8387	AMERICAN PAVING & MASONRY, CORP.		8 FOREST AVE GLEN COVE NY 11542	05/24/2024	05/24/2029
DOL	DOL	*****8654	AMERICAN PAVING, INC.		8 FORREST AVE. GLEN COVE NY 11542	05/24/2024	05/24/2029
DOL	NYC		AMJED PARVEZ		401 HANOVER AVENUE STATEN ISLAND NY 10304	01/11/2021	01/11/2026
DOL	DOL		ANGELO F COKER		2610 SOUTH SALINA STREET SUITE 14SYRACUSE NY 13205	09/17/2020	09/17/2025
DOL	DOL		ANGELO GARCIA		515 WEST AVE UNIT PH 13NORWALK CT 06850	05/12/2021	05/12/2026
DOL	DOL		ANGELO STANCO		8 FOREST AVE. GLEN COVE NY 11542	05/24/2024	05/24/2029
DOL	DOL		ANGELO TONDO		449 WEST MOMBSHA ROAD MONROE NY 10950	06/06/2022	06/06/2027
DOL	DOL	*****4231	ANKER'S ELECTRIC SERVICE, INC.		10 SOUTH 5TH ST LOCUST VALLEY NY 11560	09/26/2022	09/26/2027
DOL	DOL		ANTHONY MONGELLI		PO BOX 2064 MONROE NY 10950	02/12/2024	02/12/2029
DOL	NYC		ARADCO CONSTRUCTION CORP		115-46 132RD ST SOUTH OZONE PARK NY 11420	09/17/2020	09/17/2025
DOL	DOL		ARNOLD A. PAOLINI		1250 BROADWAY ST BUFFALO NY 14212	02/03/2020	02/03/2025
DOL	NYC		ARSHAD MEHMOOD		168-42 88TH AVENUE JAMAICA NY 11432	11/20/2019	11/20/2024
DOL	NYC		AVM CONSTRUCTION CORP		117-72 123RD ST SOUTH OZONE PARK NY 11420	09/17/2020	09/17/2025
DOL	NYC		AZIDABEGUM		524 MCDONALD AVENUE BROOKLYN NY 11218	09/17/2020	09/17/2025
DOL	DOL	*****8421	B & B DRYWALL, INC		206 WARREN AVE APT 1WHITE PLAINS NY 10603	12/14/2021	12/14/2026
DOL	DOL		B&L RENOVATION CO.		618 OCEAN PARKWAY APT A6BROOKLYN NY 11230	09/17/2020	09/17/2025
DOL	DOL		BERNARD BEGLEY		38 LONG RIDGE ROAD BEDFORD NY 10506	12/18/2019	12/18/2024
DOL	NYC	*****2113	BHW CONTRACTING, INC.		401 HANOVER AVENUE STATEN ISLAND NY 10304	01/11/2021	01/11/2026
DOL	DOL	*****3627	BJB CONSTRUCTION CORP.		38 LONG RIDGE ROAD BEDFORD NY 10506	12/18/2019	12/18/2024
DOL	DOL	*****5078	BLACK RIVER TREE REMOVAL, LLC		29807 ANDREWS ROAD BLACK RIVER NY 13032	10/17/2023	10/17/2028
DOL	DOL		BRADLEY J SCHUKA		4 BROTHERS ROAD WAPPINGERS FALLS NY 12590	10/20/2020	10/20/2025
DOL	DOL	*****9383	C.C. PAVING AND EXCAVATING, INC.		2610 SOUTH SALINA ST SUITE 12SYRACUSE NY 13205	09/17/2020	09/17/2025
DOL	DOL	*****4083	C.P.D. ENTERPRISES, INC		P.O BOX 281 WALDEN NY 12586	03/03/2020	03/03/2025
DOL	DOL	*****5161	CALADRI DEVELOPMENT CORP.		1223 PARK ST. PEEKSKILL NY 10566	05/17/2021	05/17/2026
DOL	DOL	*****3391	CALI ENTERPRISES, INC.		1223 PARK STREET PEEKSKILL NY 10566	05/17/2021	05/17/2026
DOL	DOL	*****4155	CASA BUILDERS, INC.	FRIEDLANDER CONSTRUCTI ON	64 N PUTT CONNERS ROAD NEW PALTZ NY 12561	05/10/2023	05/10/2028
DOL	AG	*****7247	CENTURY CONCRETE CORP		2375 RAYNOR ST RONKONKOMA NY 11779	08/04/2021	08/04/2026
DOL	DOL	*****0026	CHANTICLEER CONSTRUCTION LLC		4 BROTHERS ROAD WAPPINGERS FALLS NY 12590	10/20/2020	10/20/2025
DOL	NYC	*****2117	CHARAN ELECTRICAL ENTERPRISES		9-11 40TH AVENUE LONG ISLAND CITY NY 11101	09/26/2023	09/26/2028
DOL	NYC		CHARLES ZAHRADKA		863 WASHINGTON STREET	03/10/2020	03/10/2025

NYSDOL Bureau of Public Work Debarment List 11/18/2024

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DOL	DOL		CHRISTOPHER GRECO		26 NORTH MYRTLE AVENUE SPRING VALLEY NY 10956	02/18/2021	02/18/2026
DOL	DOL	*****2281	CORRAO TRUCKING, INC.		PO BOX 393 NANUET NY 10954	09/17/2024	09/17/2029
DOL	DOL		CRAIG JOHANSEN		10 SOUTH 5TH ST LOCUST VALLEY NY 11560	09/26/2022	09/26/2027
DOL	DOL	*****3228	CROSS-COUNTY LANDSCAPING AND TREE SERVICE, INC.	ROCKLAND TREE SERVICE	26 NORTH MYRTLE AVENUE SPRING VALLEY NY 10956	02/18/2021	02/18/2026
DOL	DOL	*****7619	DANCO CONSTRUCTION UNLIMITED INC.		485 RAFT AVENUE HOLBROOK NY 11741	10/19/2021	10/19/2026
DOL	DOL		DANIEL ROBERT MCNALLY		7 GREENFIELD DRIVE WARWICK NY 10990	03/25/2022	03/25/2027
DOL	DOL		DARIAN L COKER		2610 SOUTH SALINA ST SUITE 2CSYRACUSE NY 13205	09/17/2020	09/17/2025
DOL	DOL		DARWIN PEGUESE		6400 BALTIMORE NATIONAL SUITE 602CANTONSVILLE NY 21228	10/24/2024	10/24/2029
DOL	DOL		DAVID FRIEDLANDER		64 NORTH PUTT CORNERS RD NEW PALTZ NY 12561	05/10/2023	05/10/2028
DOL	DOL		DINA TAYLOR		64 N PUTT CONNERS RD NEW PALTZ NY 12561	05/10/2023	05/10/2028
DOL	DOL	*****5175	EAGLE MECHANICAL AND GENERAL CONSTRUCTION LLC		11371 RIDGE RD WOLCOTT NY 14590	02/03/2020	02/03/2025
DOL	AG		EDWIN HUTZLER		23 NORTH HOWELLS RD BELLPORT NY 11713	08/04/2021	08/04/2026
DOL	DA		EDWIN HUTZLER		2375 RAYNOR STREET RONKONKOMA NY 11779	08/04/2021	08/04/2026
DOL	DOL	*****0780	EMES HEATING & PLUMBING CONTR		5 EMES LANE MONSEY NY 10952	01/20/2002	01/20/3002
DOL	DOL		EMIL KISZKO		84 DIAMOND ST BROOKLYN NY 11222	07/18/2024	07/18/2029
DOL	DOL	*****3298	EMJACK CONSTRUCTION CORP.		84 DIAMOND ST BROOKLYN NY 11222	07/18/2024	07/18/2029
DOL	DOL	*****3298	EMJACK CONSTRUCTION LLC		4192 SIR ANDREW CIRCLE DOYLESTOWN PA 18902	07/18/2024	07/18/2029
DOL	DOL		EUGENIUSZ "GINO" KUCHAR		195 KINGSLAND AVE BROOKLYN NY 11222	12/22/2023	12/22/2028
DOL	DA		FREDERICK HUTZLER		2375 RAYNOR STREET RONKONKOMA NY 11779	08/04/2021	08/04/2026
DOL	NYC	*****6616	G & G MECHANICAL ENTERPRISES, LLC.		1936 HEMPSTEAD TURNPIKE EAST MEDOW NY 11554	11/29/2019	11/29/2024
DOL	DOL	*****2998	G.E.M. AMERICAN CONSTRUCTION CORP.		195 KINGSLAND AVE BROOKLYN NY 11222	12/22/2023	12/22/2028
DOL	NYC		GAYATRI MANGRU		21 DAREWOOD LANE VALLEY STREAM NY 11581	09/17/2020	09/17/2025
DOL	DA		GEORGE LUCEY		150 KINGS STREET BROOKLYN NY 11231	01/19/1998	01/19/2998
DOL	DA		GIOVANNA TRAVALJA		3735 9TH ST LONG ISLAND CITY NY 11101	01/05/2023	01/05/2028
DOL	DA		GIOVANNI NAPOLITANO		2501 BAYVIEW AVENUE WANTAGH NY 11793	02/21/2024	02/21/2029
DOL	DA	*****0213	GORILLA CONTRACTING GROUP, LLC		505 MANHATTAN AVE WEST BABYLON NY 11704	10/05/2023	10/05/2028
DOL	DA	*****4760	GTX CONSTRUCTION ASSOCIATES, CORP		2501 BAYVIEW AVE WANTAGH NY 11793	02/21/2024	02/21/2029
DOL	DOL		HANS RATH		24 ELDOR AVENUE NEW CITY NY 10956	02/03/2020	02/03/2025
DOL	DOL		HERBERT CLEMEN		42 FOWLER AVENUE CORTLAND MANOR NY 10567	01/24/2023	01/24/2028
DOL	DOL		HERBERT CLEMEN		42 FOWLER AVENUE CORTLAND MANOR NY 10567	10/25/2022	10/25/2027
DOL	DOL	*****2397	ISLAND BREEZE MARINE, INC.		6400 BALTIMORE NATIONAL CANTONSVILLE MD 21228	10/24/2024	10/24/2029
DOL	DOL	*****9211	J. WASE CONSTRUCTION CORP.		8545 RT 9W ATHENS NY 12015	03/09/2021	03/09/2026
DOL	DOL		J.M.J CONSTRUCTION		151 OSTRANDER AVENUE SYRACUSE NY 13205	11/21/2022	11/21/2027
DOL	DOL		J.R. NELSON CONSTRUCTION		531 THIRD STREET ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL		J.R. NELSON CONSTRUCTION		531 THIRD STREET ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL		J.R. NELSON CONSTRUCTION		531 THIRD STREET	10/25/2022	10/25/2027

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DOL	DOL		J.R. NELSON, LLC		531 THIRD STREET ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL		J.R. NELSON, LLC		531 THIRD STREET ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL		J.R. NELSON, LLC		531 THIRD STREET ALBANY NY 12206	10/25/2022	10/25/2027
DOL	DOL		J.R.N COMPANIES, LLC		531 THIRD STREET ALBANY NY 12206	12/12/2022	12/12/2027
DOL	DOL		J.R.N COMPANIES, LLC		531 THIRD STREET ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL		J.R.N COMPANIES, LLC		531 THIRD STREET ALBANY NY 12206	10/25/2022	10/25/2027
DOL	DOL	*****1147	J.R.N. CONSTRUCTION, LLC		531 THIRD ST ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL	*****1147	J.R.N. CONSTRUCTION, LLC		531 THIRD ST ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL	*****1147	J.R.N. CONSTRUCTION, LLC		531 THIRD ST ALBANY NY 12206	10/25/2022	10/25/2027
DOL	DOL		JAMES J. BAKER		7901 GEE ROAD CANASTOTA NY 13032	08/17/2021	08/17/2026
DOL	DOL		JASON P. RACE		3469 STATE RT. 69 PERISH NY 13131	09/29/2021	09/29/2026
DOL	DOL		JASON P. RACE		3469 STATE RT. 69 PERISH NY 13131	02/09/2022	02/09/2027
DOL	DOL		JASON P. RACE		3469 STATE RT. 69 PERISH NY 13131	11/15/2022	11/15/2027
DOL	DOL		JASON P. RACE		3469 STATE RT. 69 PERISH NY 13131	03/01/2022	03/01/2027
DOL	DOL	*****7993	JBS DIRT, INC.		7901 GEE ROAD CANASTOTA NY 13032	08/17/2021	08/17/2026
DOL	DOL	*****2435	JEFFEL D. JOHNSON	JMJ7 AND SON	5553 CAIRNSTRAIL CLAY NY 13041	11/21/2022	11/21/2027
DOL	DOL		JEFFEL JOHNSON ELITE CARPENTER REMODEL AND CONSTRUCTION		C2 EVERGREEN CIRCLE LIVERPOOL NY 13090	11/21/2022	11/21/2027
DOL	DOL	*****2435	JEFFREY M. JOHNSON	JMJ7 AND SON	5553 CAIRNS TRAIL CLAY NY 13041	11/21/2022	11/21/2027
DOL	NYC		JENNIFER GUERRERO		1936 HEMPSTEAD TURNPIKE EAST MEADOW NY 11554	11/29/2019	11/29/2024
DOL	DOL		JIM PLAUGHER		17613 SANTE FE LINE ROAD WAYNEFIELD OH 45896	07/16/2021	07/16/2026
DOL	DOL		JMJ7 & SON CONSTRUCTION, LLC		5553 CAIRNS TRAIL LIVERPOOL NY 13041	11/21/2022	11/21/2027
DOL	DOL		JMJ7 AND SONS CONTRACTORS		5553 CAIRNS TRAIL CLAY NY 13041	11/21/2022	11/21/2027
DOL	DOL		JMJ7 CONTRACTORS		7014 13TH AVENUE BROOKLYN NY 11228	11/21/2022	11/21/2027
DOL	DOL		JMJ7 CONTRACTORS AND SONS		5553 CAIRNS TRAIL CLAY NY 13041	11/21/2022	11/21/2027
DOL	DOL		JMJ7 CONTRACTORS, LLC		5553 CAIRNS TRAIL CLAY NY 13041	11/21/2022	11/21/2027
DOL	DOL		JOHN MARKOVIC		47 MANDON TERRACE HAWTHORN NJ 07506	03/29/2021	03/29/2026
DOL	DOL		JOHN WASE		8545 RT 9W ATHENS NY 12015	03/09/2021	03/09/2026
DOL	DOL		JORGE RAMOS		8970 MIKE GARCIA DR MANASSAS VA 20109	07/16/2021	07/16/2026
DOL	DOL		JOSEPH K. SALERNO		1010 TILDEN AVE UTICA NY 13501	07/24/2023	07/24/2028
DOL	DOL		JOSEPH K. SALERNO II		1010 TILDEN AVE UTICA NY 13501	07/24/2023	07/24/2028
DOL	DOL	*****5116	JP RACE PAINTING, INC. T/A RACE PAINTING		3469 STATE RT. 69 PERISH NY 13131	02/09/2022	02/09/2027
DOL	DOL	*****5116	JP RACE PAINTING, INC. T/A RACE PAINTING		3469 STATE RT. 69 PERISH NY 13131	11/15/2022	11/15/2027
DOL	DOL	*****5116	JP RACE PAINTING, INC. T/A RACE PAINTING		3469 STATE RT. 69 PERISH NY 13131	09/29/2021	09/29/2026
DOL	DOL	*****5116	JP RACE PAINTING, INC. T/A RACE PAINTING		3469 STATE RT. 69 PERISH NY 13131	03/01/2022	03/01/2027
DOL	DOL	*****5116	JP RACE PAINTING, INC. T/A RACE PAINTING		3469 STATE RT. 69 PERISH NY 13131	03/01/2022	03/01/2027
DOL	DOL		JRN CONSTRUCTION CO, LLC		1024 BROADWAY ALBANY NY 12204	11/07/2023	11/07/2028

NYSDOL Bureau of Public Work Debarment List 11/18/2024

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DOL	DOL	*****1147	JRN CONSTRUCTION, LLC		531 THIRD STREET ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL	*****1147	JRN CONSTRUCTION, LLC		531 THIRD STREET ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL		JRN PAVING, LLC		531 THIRD STREET ALBANY NY 12206	10/25/2022	10/25/2027
DOL	DOL		JRN PAVING, LLC		531 THIRD STREET ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL		JRN PAVING, LLC		531 THIRD STREET ALBANY NY 12206	11/07/2023	11/07/2028
DOL	DOL		JULIUS AND GITA BEHREND		5 EMES LANE MONSEY NY 10952	11/20/2002	11/20/3002
DOL	DOL		KARIN MANGIN		796 PHELPS ROAD FRANKLIN LAKES NJ 07417	12/01/2020	12/01/2025
DOL	DOL		KATE E. CONNOR		7088 INTERSTATE ISLAND RD SYRACUSE NY 13209	03/31/2021	03/31/2026
DOL	DOL		KEAN INDUSTRIES, LLC		2345 RT. 52 SUITE 2NHOPEWELL JUNCTION NY 12533	12/18/2023	12/18/2028
DOL	DOL	*****2959	KELC DEVELOPMENT, INC		7088 INTERSTATE ISLAND RD SYRACUSE NY 13209	03/31/2021	03/31/2026
DOL	DOL		KIMBERLY F. BAKER		7901 GEE ROAD CANASTOTA NY 13032	08/17/2021	08/17/2026
DOL	DOL		KMA GROUP II, INC.		29-10 38TH AVENUE LONG ISLAND CITY NY 11101	10/11/2023	10/11/2028
DOL	DOL	*****1833	KMA GROUP INC.		29-10 38TH AVENUE LONG ISLAND CITY NY 11101	10/11/2023	10/11/2028
DOL	DOL		KMA INSULATION, INC.		29-10 38TH AVENUE LONG ISLAND CITY NY 11101	10/11/2023	10/11/2028
DOL	DOL		KRIN HEINEMANN		2345 ROUTE 52, SUITE 2N HOPEWELL JUNCTION NY 12533	12/18/2023	12/18/2028
DOL	NYC		KULWANT S. DEOL		9-11 40TH AVENUE LONG ISLAND CITY NY 11101	09/26/2023	09/26/2028
DOL	DA	*****8816	LAKE CONSTRUCTION AND DEVELOPMENT CORPORATION		150 KINGS STREET BROOKLYN NY 11231	08/19/1998	08/19/2998
DOL	DOL		LEROY E. NELSON JR		531 THIRD ST ALBANY NY 12206	10/25/2022	10/25/2027
DOL	DOL		LEROY E. NELSON JR		531 THIRD ST ALBANY NY 12206	12/22/2022	12/22/2027
DOL	DOL		LEROY E. NELSON JR		531 THIRD ST ALBANY NY 12206	11/07/2023	11/07/2028
DOL	AG	*****3291	LINTECH ELECTRIC, INC.		3006 TILDEN AVE BROOKLYN NY 11226	02/16/2022	02/16/2027
DOL	DOL		LOUIS A. CALICCHIA		1223 PARK ST. PEEKSKILL NY 10566	05/17/2021	05/17/2026
DOL	NYC		LUBOMIR PETER SVOBODA		27 HOUSMAN AVE STATEN ISLAND NY 10303	12/26/2019	12/26/2024
DOL	NYC		M & L STEEL & ORNAMENTAL IRON CORP.		27 HOUSMAN AVE STATEN ISLAND NY 10303	12/26/2019	12/26/2024
DOL	DOL	*****2196	MAINSTREAM SPECIALTIES, INC.		11 OLD TOWN RD SELKIRK NY 12158	02/02/2021	02/02/2026
DOL	DA		MANUEL P TOBIO		150 KINGS STREET BROOKLYN NY 14444	08/19/1998	08/19/2998
DOL	DA		MANUEL TOBIO		150 KINGS STREET BROOKLYN NY 11231	08/19/1998	08/19/2998
DOL	DOL		MAQSOOD AHMAD		618 OCEAN PKWY BROOKLYN NY 11230	09/17/2020	09/17/2025
DOL	NYC		MARIA NUBILE		84-22 GRAND AVENUE ELMHURST NY 11373	03/10/2020	03/10/2025
DOL	DOL	*****1320	MJC MASON CONTRACTING, INC.		42 FOWLER AVENUE CORTLAND MANOR NY 10567	10/25/2022	10/25/2027
DOL	DOL	*****1320	MJC MASON CONTRACTING, INC.		42 FOWLER AVENUE CORTLAND MANOR NY 10567	01/24/2023	01/24/2028
DOL	NYC		MUHAMMED A. HASHEM		524 MCDONALD AVENUE BROOKLYN NY 11218	09/17/2020	09/17/2025
DOL	NYC		NAMOW, INC.		84-22 GRAND AVENUE ELMHURST NY 11373	03/10/2020	03/10/2025
DOL	DOL	*****7790	NATIONAL BUILDING & RESTORATION CORP		1010 TILDEN AVE UTICA NY 13501	07/24/2023	07/24/2028
DOL	DOL	*****1797	NATIONAL CONSTRUCTION SERVICES, INC		1010 TILDEN AVE UTICA NY 13501	07/24/2023	07/24/2028
DOL	NYC		NAVIT SINGH		402 JERICHO TURNPIKE	08/10/2022	08/10/2027

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DOL	DOL		NELCO CONTRACTING, LLC		1024 BROADWAY ALBANY NY 12204	11/07/2023	11/07/2028
DOL	DA		NICHOLAS T. ANALITIS		505 MANHATTAN AVE WEST BABYLON NY 11704	10/05/2023	10/05/2028
DOL	DOL		NICHOLE E. FRASER A/K/A NICHOLE RACE		3469 STATE RT. 69 PERISH NY 13131	03/01/2022	03/01/2027
DOL	DOL		NICHOLE E. FRASER A/K/A NICHOLE RACE		3469 STATE RT. 69 PERISH NY 13131	11/15/2022	11/15/2027
DOL	DOL		NICHOLE E. FRASER A/K/A NICHOLE RACE		3469 STATE RT. 69 PERISH NY 13131	09/29/2021	09/29/2026
DOL	DOL		NICHOLE E. FRASER A/K/A NICHOLE RACE		3469 STATE RT. 69 PERISH NY 13131	02/09/2022	02/09/2027
DOL	DOL	*****7429	NICOLAE I. BARBIR	BESTUCCO CONSTRUCTI ON, INC.	444 SCHANTZ ROAD ALLETOWN PA 18104	09/17/2020	09/17/2025
DOL	NYC	*****5643	NYC LINE CONTRACTORS, INC.		402 JERICO TURNPIKE NEW HYDE PARK NY 11040	08/10/2022	08/10/2027
DOL	DOL		PATRICK PENNACCHIO		2345 RT. 52 SUITE 2NHOPEWELL JUNCTION NY 12533	12/18/2023	12/18/2028
DOL	DOL		PATRICK PENNACCHIO		2345 RT. 52 SUITE 2NHOPEWELL JUNCTION NY 12533	12/18/2023	12/18/2028
DOL	DOL		PAULINE CHAHALES		935 S LAKE BLVD MAHOPAC NY 10541	03/02/2021	03/02/2026
DOL	DOL		PETER STEVENS		11 OLD TOWN ROAD SELKIRK NY 12158	02/02/2021	02/02/2026
DOL	DOL		PETER STEVENS		8269 21ST ST BELLEROSE NY 11426	12/22/2022	12/22/2027
DOL	DOL	*****4168	PHANTOM CONSTRUCTION CORP.		95-27 116TH STREET QUEENS NY 11419	07/12/2024	07/12/2029
DOL	DOL	*****4168	PHANTOM CONSTRUCTION CORP.		95-27 116TH STREET QUEENS NY 11419	05/28/2024	05/28/2029
DOL	DOL	*****0466	PRECISION BUILT FENCES, INC.		1617 MAIN ST PEEKSKILL NY 10566	03/03/2020	03/03/2025
DOL	NYC		RASHEL CONSTRUCTION CORP		524 MCDONALD AVENUE BROOKLYN NY 11218	09/17/2020	09/17/2025
DOL	DOL	*****1068	RATH MECHANICAL CONTRACTORS, INC.		24 ELDOR AVENUE NEW CITY NY 10956	02/03/2020	02/03/2025
DOL	DOL	*****2633	RAW POWER ELECTRIC CORP.		3 PARK CIRCLE MIDDLETOWN NY 10940	07/11/2022	07/11/2027
DOL	DA	*****7559	REGAL CONTRACTING INC.		24 WOODBINE AVE NORTHPORT NY 11768	10/01/2020	10/01/2025
DOL	DOL		RICHARD REGGIO		1617 MAIN ST PEEKSKILL NY 10566	03/03/2020	03/03/2025
DOL	DOL		ROBBYE BISSESAR		89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427	01/11/2003	01/11/3003
DOL	DOL		ROMEO WARREN		161 ROBYN RD MONROE NY 10950	07/11/2022	07/11/2027
DOL	DOL	*****7172	RZ & AL INC.		198 RIDGE AVENUE VALLEY STREAM NY 11581	06/06/2022	06/06/2027
DOL	DOL		SAL FRESINA MASONRY CONTRACTORS, INC.		1935 TEALL AVENUE SYRACUSE NY 13206	07/16/2021	07/16/2026
DOL	DOL		SAL MASONRY CONTRACTORS, INC.		(SEE COMMENTS) SYRACUSE NY 13202	07/16/2021	07/16/2026
DOL	DOL	*****9874	SALFREE ENTERPRISES INC		P.O BOX 14 2821 GARDNER RDPOMPEI NY 13138	07/16/2021	07/16/2026
DOL	DOL		SALVATORE A FRESINA A/K/A SAM FRESINA		107 FACTORY AVE P.O BOX 11070SYRACUSE NY 13218	07/16/2021	07/16/2026
DOL	DOL		SAM FRESINA		107 FACTORY AVE P.O BOX 11070SYRACUSE NY 13218	07/16/2021	07/16/2026
DOL	NYC	*****0349	SAM WATERPROOFING INC		168-42 88TH AVENUE APT.1 AJAMAICA NY 11432	11/20/2019	11/20/2024
DOL	DA	*****0476	SAMCO ELECTRIC CORP.		3735 9TH ST LONG ISLAND CITY NY 11101	01/05/2023	01/05/2028
DOL	NYC	*****1130	SCANA CONSTRUCTION CORP.		863 WASHINGTON STREET FRANKLIN SQUARE NY 11010	03/10/2020	03/10/2025
DOL	DOL	*****2045	SCOTT DUFFIE	DUFFIE'S ELECTRIC, INC.	P.O BOX 111 CORNWALL NY 12518	03/03/2020	03/03/2025
DOL	DOL		SCOTT DUFFIE		P.O BOX 111 CORNWALL NY 12518	03/03/2020	03/03/2025

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DOL	DA		SILVANO TRAVALJA		3735 9TH ST LONG ISLAND CITY NY 11101	01/05/2023	01/05/2028
DOL	DOL	*****0440	SOLAR GUYS INC.		8970 MIKE GARCIA DR MANASSAS VA 20109	07/16/2021	07/16/2026
DOL	NYC		SOMATIE RAMSUNAHAI		115-46 132ND ST SOUTH OZONE PARK NY 11420	09/17/2020	09/17/2025
DOL	DOL	*****2221	SOUTH BUFFALO ELECTRIC, INC.		1250 BROADWAY ST BUFFALO NY 14212	02/03/2020	02/03/2025
DOL	NYC	*****3661	SPANIER BUILDING MAINTENANCE CORP		200 OAK DRIVE SYOSSET NY 11791	03/14/2022	03/14/2027
DOL	DOL		STANADOS KALOGELAS		485 RAFT AVENUE HOLBROOK NY 11741	10/19/2021	10/19/2026
DOL	DOL	*****3496	STAR INTERNATIONAL INC		89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427	08/11/2003	08/11/3003
DOL	DOL	*****9528	STEEL-IT, LLC.		17613 SANTE FE LINE ROAD WAYNESFIELD OH 45896	07/16/2021	07/16/2026
DOL	DOL	*****3800	SUBURBAN RESTORATION CO. INC.		5-10 BANTA PLACE FAIR LAWN PLACE NJ 07410	03/29/2021	03/29/2026
DOL	DOL	*****9150	SURGE INC.		8269 21ST STREET BELLEROSE NY 11426	12/22/2022	12/22/2027
DOL	DOL		SYED MUHAMMAD S. JAFRI A/K/A SHARRUKH JAFRI		4307 28TH AVE ASTORIA NY 11103	10/11/2024	10/11/2029
DOL	DOL		SYED RAZA		198 RIDGE AVENUE NY 11581	06/06/2022	06/06/2027
DOL	DOL		TARLOK SINGH		95-27 116TH STREET QUEENS NY 11419	05/28/2024	05/28/2029
DOL	DOL		TARLOK SINGH		95-27 116TH STREET QUEENS NY 11419	07/12/2024	07/12/2029
DOL	DOL		TERRY THOMPSON		11371 RIDGE RD WOLCOTT NY 14590	02/03/2020	02/03/2025
DOL	DOL	*****9733	TERSAL CONSTRUCTION SERVICES INC		107 FACTORY AVE P.O BOX 11070SYRACUSE NY 13208	07/16/2021	07/16/2026
DOL	DOL		TERSAL CONTRACTORS, INC.		221 GARDNER RD P.O BOX 14POMPEI NY 13138	07/16/2021	07/16/2026
DOL	DOL		TERSAL DEVELOPMENT CORP.		1935 TEALL AVENUE SYRACUSE NY 13206	07/16/2021	07/16/2026
DOL	DOL	*****5766	THE COKER CORPORATION	COKER CORPORATIO N	2610 SOUTH SALINA ST SUITE 14SYRACUSE NY 13205	09/17/2020	09/17/2025
DOL	DOL	*****2426	THE MATRUKH GROUP, INC.		4307 28TH AVE PO BOX 9082ASTORIA NY 11103	10/11/2024	10/11/2029
DOL	DOL		TIMOTHY PERCY		29807 ANDREWS ROAD BLACK RIVER NY 13612	10/17/2023	10/17/2028
DOL	DA	*****1050	TRI STATE CONSTRUCTION OF NY CORP.		50-39 175TH PLACE FRESH MEADOWS NY 11365	03/28/2022	03/28/2027
DOL	DA	*****4106	TRIPLE H CONCRETE CORP		2375 RAYNOR STREET RONKONKOMA NY 11779	08/04/2021	08/04/2026
DOL	DOL	*****8210	UPSTATE CONCRETE & MASONRY CONTRACTING CO INC		449 WEST MOMBSHA ROAD MONROE NY 10950	06/06/2022	06/06/2027
DOL	DOL	*****6418	VALHALLA CONSTRUCTION, LLC.		796 PHELEPS ROAD FRANKLIN LAKES NJ 07417	12/01/2020	12/01/2025
DOL	NYC	*****2426	VICKRAM MANGRU	VICK CONSTRUCTI ON	21 DAREWOOD LANE VALLEY STREAM NY 11581	09/17/2020	09/17/2025
DOL	NYC		VICKRAM MANGRU		21 DAREWOOD LANE VALLEY STREAM NY 11581	09/17/2020	09/17/2025
DOL	DOL		VIKTORIA RATH		24 ELDOR AVENUE NEW CITY NY 10956	02/03/2020	02/03/2025
DOL	DOL		VINCENT CORRAO		PO BOX 393 NANUET NY 10954	09/17/2024	09/17/2029
DOL	DOL	*****8266	WILLIAM CHRIS MCCLENDON	MCCLENDON ASPHALT PAVING	1646 FALLS STREET NIAGARA FALLS NY 14303	05/01/2023	05/01/2028
DOL	DOL		WILLIAM CHRIS MCCLENDON		1646 FALLS STREET NIAGARA FALLS NY 14303	05/01/2023	05/01/2028
DOL	DOL		WILLIAM G. PROERFRIEDT		85 SPRUCEWOOD ROAD WEST BABYLON NY 11704	01/19/2021	01/19/2026
DOL	DOL	*****5924	WILLIAM G. PROPHY, LLC	WGP CONTRACTIN G, INC.	54 PENTAQUIT AVE BAYSHORE NY 11706	01/19/2021	01/19/2026
DOL	DOL		WILLIAM SCRIVENS		4192 SIR ANDREW CIRCLE	07/18/2024	07/18/2029

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DOL	DOL		XENOFON EFTHIMIADIS		29-10 38TH AVENUE LONG ISLAND CITY NY 11101	10/11/2023	10/11/2028
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TECHNICAL SPECIFICATIONS

DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION
Division of Engineering

SECTION 134714 - AIRPORT SOIL TESTING AND DISPOSAL REQUIREMENTS

As part of a Division of Environmental Remediation (DER-10), the Airport requires soil excavated and removed contained in a drum, placed in roll off placed or on six mil plastic and covered and secured to prevent wind and rain from impacting stockpiled soil for testing.

Soil excavated and removed at the Airport for reuse off the Airport property or moved to another Airport location by its contractors or Airport personnel must follow DER- 10/Technical Guidance when choosing soil for reuse. The Airport soil and testing requirements consistent those described herein unless the contractor or Airport chooses to transport and dispose soil leaving the Airport at a minimum to an approved Class B Landfill. Soil transported off the Airport as property for reuse or to be moved and reused on the Airport property must meet the following.

Soil originating from the site may be reused on the site or exported for reuse offsite provided sampling demonstrates compliance with Soil Clean up Guidelines as detailed in Table 5.4(e)4. Soil which is not going off-site for reuse will be disposed in a permitted treatment, storage, or disposal facility, unless paragraph A below provides for such export.

Sampling soil proposed for exported from a site. The contractor shall collect soil for analysis in accordance with this subdivision and Table 5.4(e)10 shown below. The analysis typically includes standard Target Analyte List/Target Compound List (TAL/TCL), plus EPA method 1633 for PFAS.

Table 5.4(e)10			
Recommended Number of Soil Samples for Soil Imported To or Exported From a Site			
Contaminant	VOCs	SVOCs, Inorganics & PCBs/Pesticides	
Soil Quantity (cubic yards)	Discrete Samples	Composite	Discrete Samples/Composite
0-50	1	1	3-5 discrete samples from different locations in the fill being provided will comprise a composite sample for analysis
50-100	2	1	
100-200	3	1	
200-300	4	1	
300-400	4	2	
400-500	5	2	
500-800	6	2	
800-1000	7	2	
➤ 1000	Add an additional 2 VOC and 1 composite for each additional 1000 Cubic yards or consult with DER		

- A. Samples of the soil will be collected based on the soil quantity and type of constituents identified in the table shown above and will be a combination of discrete and composite samples, managed as follows:

1. for volatile organic compounds (VOCs) only, grab samples are allowed. These grab samples are one or more discrete samples taken from the fill, with the number as specified in the volatile column of Table 5.4(e)10 for the soil quantity in question, and analyzed for the VOCs identified in Appendix A;
2. for Semi-volatile organic compounds (SVOCs), inorganics and PCBs/pesticides, including PFAS
 - a. one or more composite samples are collected from the volume of soil identified in Table 5.4(e)10 for analysis, with each composite from a different location in the soil volume;
 - b. each composite is prepared by collecting discrete samples from 3 to 5 random locations from the volume of soil to be tested; and,
 - c. the discrete samples are mixed, and after mixing, a sample of the mixture is analyzed for the SVOCs, inorganic and PCBs/pesticide constituents identified in Appendix A. including testing for PFAS using EPA method 1633.

Because the potential exists for soil to be relocated at the Airport or removed and disposed of off the Airport property, the NYSDEC has provided sampling and testing guidelines to protect third parties accepting potentially contaminated soil. This testing also helps insure and reduce liability to the Airport. It is important to note that before soil can be transported off or moved from to other locations at the Airport the laboratory analysis meets the necessary criteria described herein.

If the laboratory results exceed the unrestricted use soil clean up objective (SCO) the soil cannot leave the Airport for reuse and would need to be handled as described in Table 5.4(e)4. Soil sampling is also designed to protect the exporter since the exporter will have analytical documentation that supports the position the soil is below an acceptable level before it leaves the Site.

Table 5.4(e)4 Reuse of Soil [for Paragraph 5.4(e)4]		
Soil on the Site Meets:	Reuse on the Site:	Off-site Export & Reuse:
Unrestricted Soil SCGs	Without restrictions	Without restrictions
Meets the Applicable Use-based and Groundwater Protection SCG and where Appropriate Protection of Ecological Resources Soil SCGs for a Site w/ an IC & SMP.	In the soil cover/cap or as backfill within the area of the site subject to the IC.	Not Allowed, unless going to a site with IC subject to a 6 NYCRR Part 360 Beneficial Use Determination (BUD).
Meets Site-Specific Background Soil Levels.	Without restrictions. (Does not apply to sites in the BCP.)	Not Allowed, unless going to a site with IC subject to a 6 NYCRR Part 360 BUD.
Site-specific cleanup goals for subsurface soil	Placement below the soil cover/cap within the area of the site subject to the IC.	Not Allowed, unless going to a site with IC subject to a 6 NYCRR Part 360 BUD.

Based on analytical data previously collected at the Airport, soil and groundwater has been identified at detectable levels of PFAS across the majority of the Airport property, and at a number of Airport locations above the NYSDEC guidance values with the highest PFOS and PFOA concentrations being identified at or near the former and current burn pit locations where Aqueous Film Forming Foam (AFFF) was, or is currently, being used for fire training or testing fire equipment. If soil laboratory results exceed NYSDEC unrestricted use SCO for chemical constituents identified in Appendix A or above NYSDEC unrestricted use SCO guidance values for PFOS or PFOA above 0.88 ppb or 0.66 ppb, respectively. The soil will need to be disposed of at a minimum to Class B Landfill or alternatively managed as described in Table 5.4(e)4.

APPENDIX A

Appendix A

Allowable Constituent Levels for Imported Fill or Soil Subdivision 5.4(e)

Source: This table is derived from soil cleanup objective (SCO) tables in 6 NYCRR 375. Table 375-6.8(a) is the source for unrestricted use and Table 375-6.8(b) is the source for restricted use.

Note: For constituents not included in this table, refer to the contaminant for supplemental soil cleanup objectives (SSCOs) in the Commissioner Policy on [Soil Cleanup Guidance](#). If an SSCO is not provided for a constituent, contact the DER PM to determine a site-specific level.

Constituent Use are	Unrestricted	Residential Use	Restricted Residential	Commercial or	If Ecological Resources
Metals					
Arsenic	13	16	16	16	13
Barium	350	350	400	400	433
Beryllium	7.2	14	47	47	10
Cadmium	2.5	2.5	4.3	7.5	4
Chromium, Hexavalent ¹	3	19	19	19	3
Chromium, Trivalent ¹	30	36	180	1500	41
Copper	50	270	270	270	50
Cyanide	27	27	27	27	NS
Lead	63	400	400	450	63
Manganese	1600	2000	2000	2000	1600
Mercury (total)	0.18	0.73	0.73	0.73	0.18
Nickel	30	130	130	130	30
Selenium	3.9	4	4	4	3.9
Silver	2	8.3	8.3	8.3	2
Zinc	109	2200	2480	2480	109
PCBs/Pesticides					
2,4,5-TP Acid (Silvex)	3.8	3.8	3.8	3.8	NS
4,4'-DDE	0.0033 ³	1.8	8.9	17	0.0033 ³
4,4'-DDT	0.0033 ³	1.7	7.9	47	0.0033 ³
4,4'-DDD	0.0033 ³	2.6	13	14	0.0033 ³
Aldrin	0.005	0.019	0.097	0.19	0.14
Alpha-BHC	0.02	0.02	0.02	0.02	0.04 ⁴
Beta-BHC	0.036	0.072	0.09	0.09	0.6
Chlordane (alpha)	0.094	0.91	2.9	2.9	1.3
Delta-BHC	0.04	0.25	0.25	0.25	0.04 ⁴
Dibenzofuran	7	14	59	210	NS
Dieldrin	0.005	0.039	0.1	0.1	0.006
Endosulfan I	2.4 ²	4.8	24	102	NS
Endosulfan II	2.4 ²	4.8	24	102	NS
Endosulfan sulfate	2.4 ²	4.8	24	200	NS
Endrin	0.014	0.06	0.06	0.06	0.014
Heptachlor	0.042	0.38	0.38	0.38	0.14
Lindane	0.1	0.1	0.1	0.1	6

Polychlorinated byphenyls	0.1	1	1	1	1
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Constituent	Restricted Use	Confidential Use	Restricted Residential Use	Commercial or Industrial Use	If Ecological Resources are Present
Semi-volatile Organic Compounds					
Acenaphthene	20	98	98	98	20
Acenaphthylene	100	100	100	107	NS
Anthracene	100	100	100	500	NS
Benzo(a)anthracene	1	1	1	1	NS
Benzo(a)pyrene	1	1	1	1	2.6
Benzo(b)fluoranthene	1	1	1	1.7	NS
Benzo(g,h,i)perylene	100	100	100	500	NS
Benzo(k)fluoranthene	0.8	1	1.7	1.7	NS
Chrysene	1	1	1	1	NS
Dibenz(a,h)anthracene	0.33 ³	0.33 ³	0.33 ³	0.56	NS
Fluoranthene	100	100	100	500	NS
Fluorene	30	100	100	386	30
Indeno(1,2,3-cd)pyrene	0.5	0.5	0.5	5.6	NS
m-Cresol(s)	0.33 ³	0.33 ³	0.33 ³	0.33 ³	NS
Naphthalene	12	12	12	12	NS
o-Cresol(s)	0.33 ³	0.33 ³	0.33 ³	0.33 ³	NS
p-Cresol(s)	0.33	0.33	0.33	0.33	NS
Pentachlorophenol	0.8 ³	0.8 ³	0.8 ³	0.8 ³	0.8 ³
Phenanthrene	100	100	100	500	NS
Phenol	0.33 ³	0.33 ³	0.33 ³	0.33 ³	30
Pyrene	100	100	100	500	NS
Volatile Organic Compounds					
1,1,1-Trichloroethane	0.68	0.68	0.68	0.68	NS
1,1-Dichloroethane	0.27	0.27	0.27	0.27	NS
1,1-Dichloroethene	0.33	0.33	0.33	0.33	NS
1,2-Dichlorobenzene	1.1	1.1	1.1	1.1	NS
1,2-Dichloroethane	0.02	0.02	0.02	0.02	10
1,2-Dichloroethene(cis)	0.25	0.25	0.25	0.25	NS
1,2-Dichloroethene(trans)	0.19	0.19	0.19	0.19	NS
1,3-Dichlorobenzene	2.4	2.4	2.4	2.4	NS
1,4-Dichlorobenzene	1.8	1.8	1.8	1.8	20
1,4-Dioxane	0.1 ³	0.1 ³	0.1 ³	0.1 ³	0.1
Acetone	0.05	0.05	0.05	0.05	2.2
Benzene	0.06	0.06	0.06	0.06	70
Butylbenzene	12	12	12	12	NS
Carbon tetrachloride	0.76	0.76	0.76	0.76	NS
Chlorobenzene	1.1	1.1	1.1	1.1	40
Chloroform	0.37	0.37	0.37	0.37	12
Ethylbenzene	1	1	1	1	NS
Hexachlorobenzene	0.33 ³	0.33 ³	1.2	3.2	NS
Methyl ethyl ketone	0.12	0.12	0.12	0.12	100

Methyl tert-butyl ether	0.93	0.93	0.93	0.93	NS
Methylene chloride	0.05	0.05	0.05	0.05	12

Volatile Organic Compounds (continued)					
Propylbenzene-n	3.9	3.9	3.9	3.9	NS
Sec-Butylbenzene	11	11	11	11	NS
Tert-Butylbenzene	5.9	5.9	5.9	5.9	NS
Tetrachloroethene	1.3	1.3	1.3	1.3	2
Toluene	0.7	0.7	0.7	0.7	36
Trichloroethene	0.47	0.47	0.47	0.47	2
Trimethylbenzene-1,2,4	3.6	3.6	3.6	3.6	NS
Trimethylbenzene-1,3,5	8.4	8.4	8.4	8.4	NS
Vinyl chloride	0.02	0.02	0.02	0.02	NS
Xylene (mixed)	0.26	1.6	1.6	1.6	0.26

All concentrations are in parts per million (ppm)

NS = Not Specified

Footnotes:

- ¹ The SCO for Hexavalent or Trivalent Chromium is considered to be met if the analysis for the total species of this contaminant is below the specific SCO for Hexavalent Chromium.
- ² The SCO is the sum of endosulfan I, endosulfan II and endosulfan sulfate.
- ³ For constituents where the calculated SCO was lower than the contract required quantitation limit (CRQL), the CRQL is used as the Track 1 SCO value.
- ⁴ This SCO is derived from data on mixed isomers of BHC.

SECTION 210529 - HANGERS AND SUPPORTS FOR FIRE SUPPRESSION PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Metal pipe hangers and supports.
- 2. Fastener systems.
- 3. Equipment supports.

- B. Related Requirements:

- 1. Section 055000 "Metal Fabrications" for structural-steel shapes and plates for trapeze hangers for pipe and equipment supports.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- B. Shop Drawings: Show fabrication and installation details and include calculations for the following:

- 1. Metal framing systems.
- 2. Equipment supports.

- C. Delegated-Design Submittal: For trapeze hangers indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

- 1. Detail fabrication and assembly of trapeze hangers.
- 2. Include design calculations for designing trapeze hangers.

1.4 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.5 QUALITY ASSURANCE

- A. Structural-Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M.
- B. Pipe Welding Qualifications: Qualify procedures and operators according to 2015 ASME Boiler and Pressure Vessel Code, Section IX.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design trapeze pipe hangers and equipment supports.
- B. Structural Performance: Hangers and supports for fire-suppression piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
 - 1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, system contents, and test water.
 - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.
 - 3. Design seismic-restraint hangers and supports for piping and equipment.
- C. NFPA Compliance: Comply with NFPA 13.
- D. UL Compliance: Comply with UL 203.

2.2 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
 - 1. Description: Factory-fabricated components, NFPA approved, UL listed, or FM approved for fire-suppression piping support.
 - 2. Galvanized Metallic Coatings: Pregalvanized or hot-dip galvanized.
 - 3. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel.
- B. Copper Pipe and Tube Hangers:
 - 1. Description: Copper-coated-steel, factory-fabricated components, NFPA approved, UL listed, or FM approved for fire-suppression piping support.
 - 2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-coated steel.

2.3 TRAPEZE PIPE HANGERS

- A. Description: MSS SP-58, Type 59, shop- or field-fabricated pipe-support assembly, made from structural-carbon-steel shapes, with NFPA-approved, UL-listed, or FM-approved carbon-steel hanger rods, nuts, saddles, and U-bolts.

2.4 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: NFPA-approved, UL-listed, or FM-approved threaded-steel stud, for use in hardened portland cement concrete, with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Hilti, Inc.
 - b. ITW Ramset/Red Head; Illinois Tool Works, Inc.
 - c. MKT Fastening, LLC.
 - d. Simpson Strong-Tie Co., Inc.
- B. Mechanical-Expansion Anchors: NFPA-approved, UL-listed, or FM-approved, insert-wedge-type anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. B-line, an Eaton business.
 - b. Hilti, Inc.
 - c. ITW Ramset/Red Head; Illinois Tool Works, Inc.
 - 2. Indoor Applications: Zinc-coated or Stainless steel.
 - 3. Outdoor Applications: Stainless steel.

2.5 EQUIPMENT SUPPORTS

- A. Description: NFPA-approved, UL-listed, or FM-approved, welded, shop- or field-fabricated equipment support, made from structural-carbon-steel shapes.

2.6 MATERIALS

- A. Aluminum: ASTM B221.
- B. Carbon Steel: ASTM A1011/A1011M.
- C. Structural Steel: ASTM A36/A36M, carbon-steel plates, shapes, and bars; black and galvanized.

- D. Stainless Steel: ASTM A240/A240M.
- E. Grout: ASTM C1107/C1107M, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout, suitable for interior and exterior applications.
 - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
 - 2. Design Mix: 5000-psi, 28-day compressive strength.

PART 3 - EXECUTION

3.1 APPLICATION

- A. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation, for penetrations through fire-rated walls, ceilings, and assemblies.
- B. Strength of Support Assemblies: Where not indicated, select sizes of components, so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.

3.2 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with installation requirements of approvals and listings. Install hangers, supports, clamps, and attachments as required to properly support piping from building structure.
- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-58. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
 - 1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size, or install intermediate supports for smaller-diameter pipes as specified for individual pipe hangers.
 - 2. Field fabricate from ASTM A36/A36M carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Metal Framing System Installation: Arrange for grouping of parallel runs of piping, and support together on field-assembled metal strut systems.
- D. Thermal Hanger-Shield Installation: Install in pipe hanger or shield for insulated piping.
- E. Fastener System Installation:
 - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete, after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners

- according to powder-actuated tool manufacturer's operating manual. Install in accordance with approvals and listings.
2. Install mechanical-expansion anchors in concrete, after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions. Install in accordance with approvals and listings.
- F. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- G. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- H. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- I. Install lateral bracing with pipe hangers and supports to prevent swaying.
- J. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms, and install reinforcing bars through openings at top of inserts.
- K. Load Distribution: Install hangers and supports, so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- L. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- M. Insulated Piping:
1. Attach clamps and spacers to piping.
 - a. Piping Operating Above Ambient Air Temperature: Clamp may project through insulation.
 - b. Piping Operating Below Ambient Air Temperature: Use thermal hanger-shield insert with clamp sized to match OD of insert.
 - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
 2. Install MSS SP-58, Type 39 protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
 - a. Option: Thermal hanger-shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
 3. Install MSS SP-58, Type 40 protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.

- a. Option: Thermal hanger-shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 4. Shield Dimensions for Pipe: Not less than the following:
 - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
 - b. NPS 4: 12 inches long and 0.06 inch thick.
 - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
 - d. NPS 8 to NPS 14: 24 inches long and 0.075 inch thick.
 - e. NPS 16 to NPS 24: 24 inches long and 0.105 inch thick.
- 5. Pipes NPS 8 and Larger: Include wood or reinforced calcium-silicate-insulation inserts of length at least as long as protective shield.
- 6. Thermal Hanger Shields: Install with insulation of same thickness as piping insulation.

3.3 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment, and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

3.4 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. Finish welds at exposed connections, so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.5 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1" inches.

3.6 PAINTING

- A. Touchup: Clean field welds and abraded, shop-painted areas. Paint exposed areas immediately after erecting hangers and supports. Use same materials as those used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas, and apply galvanizing-repair paint to comply with ASTM A780/A780M.

3.7 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with NFPA requirements for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finishes.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- E. Use carbon-steel pipe hangers and supports, metal trapeze pipe hangers and metal framing systems and attachments for general service applications.
- F. Use stainless-steel pipe hangers and stainless-steel or corrosion-resistant attachments for hostile environment applications. (this includes all outdoor and garage applications)
- G. Use copper-plated pipe hangers and copper or stainless-steel attachments for copper piping and tubing.
- H. Use thermal hanger-shield inserts for insulated piping and tubing.
- I. Horizontal-Piping Hangers and Supports: Comply with NFPA requirements. Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.

2. Steel Pipe Clamps (MSS Type 4): For suspension of NPS 1/2 to NPS 24 if little or no insulation is required.
 3. Adjustable, Swivel-Ring Band Hangers (MSS Type 10): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 4. Split Pipe Ring with or without Turnbuckle Hangers (MSS Type 11): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 8.
 5. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 3.
 6. U-Bolts (MSS Type 24): For support of heavy pipes NPS 1/2 to NPS 30.
 7. Pipe Saddle Supports (MSS Type 36): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate.
 8. Pipe Stanchion Saddles (MSS Type 37): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate, and with U-bolt to retain pipe.
 9. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes NPS 2-1/2 to NPS 36 if vertical adjustment is required, with steel-pipe base stanchion support and cast-iron floor flange.
- J. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- K. Hanger-Rod Attachments: Comply with NFPA requirements.
- L. Building Attachments: Comply with NFPA requirements. Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable-Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
 2. C-Clamps (MSS Type 23): For structural shapes.
 3. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
- M. Saddles and Shields: Comply with NFPA requirements. Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
 3. Thermal Hanger-Shield Inserts: For supporting insulated pipe.
- N. Comply with NFPA requirements for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.

- O. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.
- P. Use powder-actuated fasteners or mechanical-expansion anchors instead of building attachments where required in concrete construction.

END OF SECTION 210529

SECTION 211313 - WET-PIPE SPRINKLER SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Pipes, fittings, and specialties.
 - 2. Specialty valves.
 - 3. Sprinklers.
 - 4. Alarm devices.
 - 5. Pressure gages.

- B. Related Requirements:

- 1. Section 211119 "Fire Department Connections" for exposed-, flush-, and yard-type fire department connections.
 - 2. Section 230523 "General-Duty Valves for Water-Based Fire-Suppression Piping" for ball, butterfly, check, gate, post-indicator, and trim and drain valves.

1.3 DEFINITIONS

- A. Standard-Pressure Sprinkler Piping: Wet-pipe sprinkler system piping designed to operate at working pressure of 175-psig maximum.
- B. High Pressure Sprinkler Piping: Wet-pipe sprinkler system piping designed to operate at working pressure higher than standard 175-psig but not higher than of 300-psig maximum.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- 1. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.

- B. Shop Drawings: For wet-pipe sprinkler systems.

- 1. Include plans, elevations, sections, and attachment details.
 - 2. Include diagrams for power, signal, and control wiring.

- C. **DELEGATED-DESIGN SUBMITTAL:** For wet-pipe sprinkler systems indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified Licensed Professional Engineer, (in the state where work is being performed), responsible for their preparation. This shall include fire hydrant flow test results and data, sprinkler system and standpipe system hydraulic calculations.

1.5 INFORMATIONAL SUBMITTALS

- A. **Coordination Drawings:** Sprinkler systems, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
 - 1. Domestic water, sanitary and storm piping.
 - 2. Compressed air and medical gas piping.
 - 3. HVAC ductwork and piping.
 - 4. Items penetrating finished ceiling include the following:
 - a. Lighting fixtures and ceiling mounted controllers.
 - b. Air outlets and inlets.
 - c. Fire Alarm initiating and signaling devices.
- B. **Qualification Data:** For qualified Installer and professional engineer.
- C. **Approved Sprinkler Piping Drawings:** Working plans, prepared according to NFPA 13, that have been approved by authorities having jurisdiction, including hydraulic calculations and seismic calculations.
- D. **Welding certificates.**
- E. **Fire-hydrant flow test report,** recent within one (1) year.
- F. **Field Test Reports and Certificates:** Indicate and interpret test results for compliance with performance requirements and as described in NFPA 13. Include "Contractor's Material and Test Certificate for Aboveground Piping" and fire pump test reports.
- G. **Field quality-control reports.**

1.6 CLOSEOUT SUBMITTALS

- A. **Operation and Maintenance Data:** For wet-pipe sprinkler systems and specialties to include in emergency, operation, and maintenance manuals.

1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. **Furnish extra materials** that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Sprinkler Cabinets: Finished, wall-mounted, steel cabinet with hinged cover, and with space for minimum of six spare sprinklers plus sprinkler wrench. Include number of sprinklers required by NFPA 13 and sprinkler wrench. Include separate cabinet with sprinklers and wrench for each type of sprinkler used on Project.

1.8 QUALITY ASSURANCE

A. Installer Qualifications:

1. Installer's responsibilities include designing, fabricating, and installing sprinkler systems and providing professional engineering services needed to assume engineering responsibility. Base calculations on results of fire-hydrant flow test.
 - a. Engineering Responsibility: Preparation of working plans, calculations, and field test reports by a qualified professional engineer.

B. Welding Qualifications: Qualify procedures and operators according to 2010 ASME Boiler and Pressure Vessel Code.

1.9 FIELD CONDITIONS

- ### A. Interruption of Existing Sprinkler Service: Do not interrupt sprinkler service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary sprinkler service according to requirements indicated:
1. Notify Architect, Construction Manager, Owner and Engineer no fewer than two days in advance of proposed interruption of sprinkler service.
 2. Do not proceed with interruption of sprinkler service without Architect's, Construction Manager's, Owner's and Engineer's written permission.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- #### A. Sprinkler system equipment, specialties, accessories, installation, and testing shall comply with the following:
1. NFPA 13 as amended by Appendix Q (BCNYC).
- #### B. Standard-Pressure Piping System Component: Listed for 175-psig minimum working pressure.
- #### C. High-Pressure Piping System Component: Listed for 300-psig working pressure.
- #### D. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design wet-pipe sprinkler systems.
1. Available fire-hydrant flow test records: Refer to Fire Protection contract drawings.

2. Sprinkler system design shall be approved by authorities having jurisdiction.
 - a. Margin of Safety for Available Water Flow and Pressure: 10 percent, including losses through water-service piping, valves, and backflow preventers.
 - b. Sprinkler Occupancy Hazard Classifications: According to NFPA 13 recommendations unless otherwise indicated.
 3. Minimum Density for Automatic-Sprinkler Piping Design: According to NFPA 13 recommendations unless otherwise indicated.
 4. Maximum Protection Area per Sprinkler: According to UL listing.
 5. Maximum Protection Area per Sprinkler: According to NFPA 13 recommendations unless otherwise noted.
- E. Total combined Hose-Stream Demand Requirement: According to NFPA 13 unless otherwise indicated.
- F. Seismic Performance: Sprinkler piping shall withstand the effects of earthquake motions determined according to NFPA 13 and ASCE/SEI 7.

2.2 STEEL PIPE AND FITTINGS

- A. Standard-Weight, [Schedule 40](#), Black-Steel Pipe: ASTM A 53/A 53M, Type E, Grade B. Pipe ends may be factory or field formed to match joining method.
- B. Schedule 10, Black-Steel Pipe: ASTM A 135/A 135M or ASTM A 795/A 795M, Schedule 10 in NPS 5 and smaller; and NFPA 13-specified wall thickness in NPS 6 to NPS 10, plain end.
- C. Black-Steel Pipe Nipples: ASTM A 733, made of ASTM A 53/A 53M, standard-weight, seamless steel pipe with threaded ends.
- D. Uncoated-Steel Couplings: ASTM A 865/A 865M, threaded.
- E. Uncoated, Gray-Iron Threaded Fittings: ASME B16.4, Class 125, standard pattern.
- F. Malleable- or Ductile-Iron Unions: UL 860.
- G. Cast-Iron Flanges: ASME 16.1, Class 125.
- H. Steel Flanges and Flanged Fittings: ASME B16.5, Class 150.
 1. Pipe-Flange Gasket Materials: AWWA C110, rubber, flat face, 1/8-inch thick or ASME B16.21, nonmetallic and asbestos free or EPDM rubber gasket.
 - a. Class 125 and Class 250, Cast-Iron, Flat-Face Flanges: Full-face gaskets.
 - b. Class 150 and Class 300, Ductile-Iron or -Steel, Raised-Face Flanges: Ring-type gaskets.
 2. Metal, Pipe-Flange Bolts and Nuts: Carbon steel unless otherwise indicated.

- I. Steel Welding Fittings: ASTM A 234/A 234M and ASME B16.9.
 - 1. Welding Filler Metals: Comply with AWS D10.12M/D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- J. Grooved-Joint, Steel-Pipe Appurtenances:
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Anvil International.
 - b. Tyco Fire Products LP.
 - c. Victaulic Company.
 - 2. Pressure Rating: 175-psig minimum or 300-psig where exposed to higher system pressures.
 - 3. Uncoated Grooved-End Fittings for Steel Piping: ASTM A 47/A 47M, malleable-iron casting or ASTM A 536, ductile-iron casting, with dimensions matching steel pipe.
 - 4. Grooved-End-Pipe Couplings for Steel Piping: AWWA C606 and UL 213 rigid pattern, unless otherwise indicated, for steel-pipe dimensions. Include ferrous housing sections, EPDM-rubber gasket, and bolts and nuts.

2.3 SPECIALTY VALVES

- A. Listed in UL's "Fire Protection Equipment Directory" or FM Global's "Approval Guide."
- B. Pressure Rating:
 - 1. Standard-Pressure Piping Specialty Valves: 175-psig minimum.
 - 2. High-Pressure Piping Specialty Valves: 300-psig.
- C. Body Material: Cast or ductile iron.
- D. Size: Same as connected piping.
- E. End Connections: Flanged or grooved.
- F. Automatic (Ball Drip) Drain Valves:
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Reliable Automatic Sprinkler Co., Inc. (The).
 - b. Tyco Fire Products LP.
 - 2. Standard: UL 1726.

3. Pressure Rating: 175-psig minimum.
4. Type: Automatic draining, ball check.
5. Size: NPS 3/4.
6. End Connections: Threaded.

2.4 SPRINKLER PIPING SPECIALTIES

A. Branch Outlet Fittings:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Anvil International.
 - b. Tyco Fire Products LP.
 - c. Victaulic Company.
2. Standard: UL 213.
3. Pressure Rating: 175-psig minimum or 300 psig.
4. Body Material: Ductile-iron housing with EPDM seals and bolts and nuts.
5. Type: Mechanical-tee and -cross fittings.
6. Configurations: Snap-on and strapless, ductile-iron housing with branch outlets.
7. Size: Of dimension to fit onto sprinkler main and with outlet connections as required to match connected branch piping.
8. Branch Outlets: Grooved, plain-end pipe, or threaded.

B. Flow Detection and Test Assemblies:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Reliable Automatic Sprinkler Co., Inc. (The).
 - b. Tyco Fire Products LP.
 - c. Victaulic Company.
2. Standard: UL's "Fire Protection Equipment Directory" or FM Global's "Approval Guide."
3. Pressure Rating: 175-psig minimum or 300 psig.
4. Body Material: Cast- or ductile-iron housing with orifice, sight glass, and integral test valve.
5. Size: Same as connected piping.
6. Inlet and Outlet: Threaded or grooved.

C. Branch Line Testers:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- a. Elkhart Brass Mfg. Co., Inc.
 - b. Fire-End & Croker Corporation.
 - c. Potter Roemer LLC.
2. Standard: UL 199.
 3. Pressure Rating: 175 psig.
 4. Body Material: Brass.
 5. Size: Same as connected piping.
 6. Inlet: Threaded.
 7. Drain Outlet: Threaded and capped.
 8. Branch Outlet: Threaded, for sprinkler.

D. Sprinkler Inspector's Test Fittings:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Tyco Fire Products LP.
 - b. Victaulic Company.
 - c. Viking Corporation.
2. Standard: UL's "Fire Protection Equipment Directory" or FM Global's "Approval Guide."
3. Pressure Rating: 175-psig minimum or 300 psig.
4. Body Material: Cast- or ductile-iron housing with sight glass.
5. Size: Same as connected piping.
6. Inlet and Outlet: Threaded.

E. Adjustable Drop Nipples:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. CECA, LLC.
 - b. Corcoran Piping System Co.
 - c. Merit Manufacturing.
2. Standard: UL 1474.
3. Pressure Rating: 250-psig minimum or 300 psig.
4. Body Material: Steel pipe with EPDM-rubber O-ring seals.
5. Size: Same as connected piping.
6. Length: Adjustable.
7. Inlet and Outlet: Threaded.

F. Flexible Sprinkler Hose Fittings: Not permitted in NYC

1. Manufacturers: Subject to compliance with requirements, provide products by the following:

- a. FlexHead Industries, Inc.
2. Standard: UL 1474.
3. Type: Flexible hose for connection to sprinkler, and with bracket for connection to ceiling grid.
4. Pressure Rating: 175-psig minimum or 300 psig.
5. Size: Same as connected piping, for sprinkler.

2.5 SPRINKLERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 1. Reliable Automatic Sprinkler Co., Inc. (The).
 2. Tyco Fire Products LP.
 3. Victaulic Company.
 4. Viking Corporation.
- B. Listed in UL's "Fire Protection Equipment Directory" or FM Global's "Approval Guide."
- C. Pressure Rating for Residential Sprinklers: 175-psig maximum.
- D. Pressure Rating for Automatic Sprinklers: 175-psig minimum.
- E. Pressure Rating for High-Pressure Automatic Sprinklers: 300 psig.
- F. Automatic Sprinklers with Heat-Responsive Element:
 1. Early-Suppression, Fast-Response Applications: UL 1767.
 2. Nonresidential Applications: UL 199.
 3. Residential Applications: UL 1626.
 4. Characteristics: Nominal 1/2-inch orifice with Discharge Coefficient K of 5.6, and for "Ordinary" temperature classification rating unless otherwise indicated or required by application.
- G. Sprinkler Escutcheons: Materials, types, and finishes for the following sprinkler mounting applications. Escutcheons for concealed, flush, and recessed-type sprinklers are specified with sprinklers.
 1. Ceiling Mounting: Chrome-plated steel, one piece, flat or Chrome-plated steel, two piece, with 1-inch vertical adjustment.
 2. Sidewall Mounting: Chrome-plated steel, one piece, flat.
- H. Sprinkler Guards:
 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- a. Reliable Automatic Sprinkler Co., Inc. (The).
 - b. Tyco Fire Products LP.
 - c. Victaulic Company.
 - d. Viking Corporation.
2. Standard: UL 199.
3. Type: Wire cage with fastening device for attaching to sprinkler.

2.6 ALARM DEVICES

A. Alarm-device types shall match piping and equipment connections.

B. Electrically Operated Alarm Bell:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Fire-Lite Alarms, Inc.; a Honeywell International company.
 - b. Notifier.
 - c. Potter Electric Signal Company, LLC.
2. Standard: UL 464.
3. Type: Vibrating, metal alarm bell.
4. Size: 8-inch minimum diameter.
5. Finish: Red-enamel factory finish, suitable for outdoor use.
6. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Water-Flow Indicators:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Potter Electric Signal Company, LLC.
 - b. System Sensor.
 - c. Viking Corporation.
 - d. Watts; a Watts Water Technologies company.
2. Standard: UL 346.
3. Water-Flow Detector: Electrically supervised.
4. Components: Two single-pole, double-throw circuit switches for isolated alarm and auxiliary contacts, 7 A, 125-V ac and 0.25 A, 24-V dc; complete with factory-set, field-adjustable retard element to prevent false signals and tamperproof cover that sends signal if removed.
5. Type: Paddle operated.

6. Pressure Rating: 250 psig.
7. Design Installation: Horizontal or vertical.

D. Pressure Switches:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Potter Electric Signal Company, LLC.
 - b. System Sensor.
 - c. Tyco Fire Products LP.
 - d. Viking Corporation.
2. Standard: UL 346.
3. Type: Electrically supervised water-flow switch with retard feature.
4. Components: Single-pole, double-throw switch with normally closed contacts.
5. Design Operation: Rising pressure signals water flow.

E. Valve Supervisory Switches:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Fire-Lite Alarms, Inc.; a Honeywell International company.
 - b. Potter Electric Signal Company, LLC.
 - c. System Sensor.
2. Standard: UL 346.
3. Type: Electrically supervised.
4. Components: Single-pole, double-throw switch with normally closed contacts.
5. Design: Signals that controlled valve is in other than fully open position.
6. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.7 PRESSURE GAGES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 1. AGF Manufacturing Inc.
 2. AMETEK, Inc.
 3. Brecco Corporation.
- B. Standard: UL 393.
- C. Dial Size: 3-1/2- to 4-1/2-inch diameter.
- D. Pressure Gage Range: 0 to 300 psig.

- E. Label: Include "WATER" label on dial face.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Perform fire-hydrant flow test according to NFPA 13 and NFPA 291. Use results for system design calculations required in "Quality Assurance" Article. Flow test utilized for calculations shall be recent within one (1) year.
- B. Report test results promptly and in writing.

3.2 PIPING INSTALLATION

- A. Locations and Arrangements: Drawing plans, schematics, and diagrams indicate general location and arrangement of piping. Install piping as indicated on approved working plans.
 - 1. Deviations from approved working plans for piping require written approval from authorities having jurisdiction. File written approval with Engineer before deviating from approved working plans.
 - 2. Coordinate layout and installation of sprinklers with other construction that penetrates ceilings, including light fixtures, HVAC equipment, and partition assemblies.
- B. Piping Standard: Comply with NFPA 13 requirements for installation of sprinkler piping.
- C. Install seismic restraints on piping. Comply with NFPA 13 requirements for seismic-restraint device materials and installation.
- D. Use listed fittings to make changes in direction, branch takeoffs from mains, and reductions in pipe sizes.
- E. Install unions adjacent to each valve in pipes NPS 2 and smaller.
- F. Install flanges, flange adapters, or couplings for grooved-end piping on valves, apparatus, and equipment having NPS 2-1/2 and larger end connections.
- G. Install "Inspector's Test Connections" in sprinkler system piping, complete with shutoff valve, and sized and located according to NFPA 13.
- H. Install sprinkler piping with drains for complete system drainage.
- I. Install sprinkler control valves, test assemblies, and drain risers adjacent to standpipes when sprinkler piping is connected to standpipes.
- J. Install automatic (ball drip) drain valve at each check valve for fire-department connection, to drain piping between fire-department connection and check valve. Install drain piping to and spill over floor drain or to outside building.

- K. Install alarm devices in piping systems.
- L. Install hangers and supports for sprinkler system piping according to NFPA 13. Comply with requirements for hanger materials in NFPA 13. [In seismic-rated areas, refer to Section 210548 "Vibration and Seismic Controls for Fire-Suppression Piping and Equipment."](#)
- M. Install pressure gages on riser or feed main, at each sprinkler test connection, and at top of each standpipe. Include pressure gages with connection not less than NPS 1/4 and with soft-metal seated globe valve, arranged for draining pipe between gage and valve. Install gages to permit removal, and install where they are not subject to freezing.
- N. Fill sprinkler system piping with water.
- O. Install electric heating cables and pipe insulation on sprinkler piping in areas subject to freezing. Comply with requirements for heating cables in Section 210533 "Heat Tracing for Fire-Suppression Piping" and for piping insulation in Section 210700 "Fire-Suppression Systems Insulation."
- P. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 210517 "Sleeves and Sleeve Seals for Fire-Suppression Piping." [Sleeves for building service shall be one nominal line size greater than the service pipe.](#)
- Q. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 210517 "Sleeves and Sleeve Seals for Fire-Suppression Piping."
- R. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 210518 "Escutcheons for Fire-Suppression Piping."
- S. [Paint all new and existing sprinkler piping valves and accessories, \(that are not brass or chrome\), with red enamel in the area work](#)

3.3 JOINT CONSTRUCTION

- A. Install couplings, flanges, flanged fittings, unions, nipples, and transition and special fittings that have finish and pressure ratings same as or higher than system's pressure rating for aboveground applications unless otherwise indicated.
- B. Install unions adjacent to each valve in pipes NPS 2 and smaller.
- C. Install flanges, flange adapters, or couplings for grooved-end piping on valves, apparatus, and equipment having NPS 2-1/2 and larger end connections.
- D. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- E. Remove scale, slag, dirt, and debris from inside and outside of pipes, tubes, and fittings before assembly.

- F. Flanged Joints: Select appropriate gasket material in size, type, and thickness suitable for water service. Join flanges with gasket and bolts according to ASME B31.9.
- G. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged.
- H. Welded Joints: Construct joints according to AWS D10.12M/D10.12, using qualified processes and welding operators according to "Quality Assurance" Article.
 - 1. Shop weld pipe joints where welded piping is indicated. Do not use welded joints for galvanized-steel pipe.
- I. Steel-Piping, Cut-Grooved Joints: Cut square-edge groove in end of pipe according to AWWA C606. Assemble coupling with housing, gasket, lubricant, and bolts. Join steel pipe and grooved-end fittings according to AWWA C606 for steel-pipe joints.
- J. Steel-Piping, Roll-Grooved Joints: Roll rounded-edge groove in end of pipe according to AWWA C606. Assemble coupling with housing, gasket, lubricant, and bolts. Join steel pipe and grooved-end fittings according to AWWA C606 for steel-pipe grooved joints.
- K. Dissimilar-Material Piping Joints: Make joints using adapters compatible with materials of both piping systems.

3.4 VALVE AND SPECIALTIES INSTALLATION

- A. Install listed fire-protection valves, trim and drain valves, specialty valves and trim, controls, and specialties according to NFPA 13 and authorities having jurisdiction.
- B. Install listed fire-protection shutoff valves supervised open, located to control sources of water supply except from fire-department connections. Install permanent identification signs indicating portion of system controlled by each valve.
- C. Install check valve in each water-supply connection. Install backflow preventers instead of check valves in potable-water-supply sources.
- D. Specialty Valves:
 - 1. Install valves in vertical position for proper direction of flow, in main supply to system.
 - 2. Install alarm valves with bypass check valve and retarding chamber drain-line connection.
 - 3. Install deluge valves in vertical position, in proper direction of flow, and in main supply to deluge system. Install trim sets for drain, priming level, alarm connections, ball drip valves, pressure gages, priming chamber attachment, and fill-line attachment.

3.5 SPRINKLER INSTALLATION

- A. Install sprinklers in suspended ceilings in center of acoustical ceiling panels.
- B. Install dry-type sprinklers with water supply from heated space. Do not install pendent or sidewall, wet-type sprinklers in areas subject to freezing.
- C. Install sprinklers into flexible, sprinkler hose fittings, and install hose into bracket on ceiling grid.

3.6 IDENTIFICATION

- A. Install labeling and pipe markers on equipment and piping according to requirements in NFPA 13. Comply with requirements for identification specified in Section 210553 "Identification for Fire-Suppression Piping and Equipment".
- B. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.7 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
 - 1. Leak Test: After installation, charge systems and test for leaks. Repair leaks and retest until no leaks exist.
 - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
 - 3. Flush, test, and inspect sprinkler systems according to NFPA 13, "Systems Acceptance" Chapter.
 - 4. Energize circuits to electrical equipment and devices.
 - 5. Coordinate with fire-alarm tests. Operate as required.
 - 6. Coordinate with fire-pump tests. Operate as required.
 - 7. Verify that equipment hose threads are same as local fire department equipment.
- B. Sprinkler piping system will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

3.8 CLEANING

- A. Clean dirt and debris from sprinklers.
- B. Only sprinklers with their original factory finish are acceptable. Remove and replace any sprinklers that are painted or have any other finish than their original factory finish.

3.9 DEMONSTRATION

- A. Train Owner's maintenance personnel to adjust, operate, and maintain sprinkler system and components.

3.10 PIPING SCHEDULE

- A. Piping between Fire Department Connections and Check Valves: Galvanized, standard-weight steel pipe with threaded ends, cast-iron threaded fittings, and threaded joints or grooved ends, grooved-end fittings, grooved-end-pipe couplings, and grooved joints. Where piping between fire department connection and check valve is routed below grade, piping and fittings shall also be externally coated and wrapped per AWWA C203 or C105.
- B. Sprinkler specialty fittings may be used, downstream of control valves, instead of specified fittings.
- C. Standard-pressure, wet-pipe sprinkler system, NPS 1-1/2 and smaller, shall be one of the following:
 - 1. Standard-weight, black-steel pipe with threaded ends; uncoated, gray-iron threaded fittings; and threaded joints.
 - 2. Standard-weight, black-steel pipe with plain ends; steel welding fittings; and welded joints.
- D. Standard-pressure, wet-pipe sprinkler system, NPS 2 and Larger, shall be one of the following:
 - 1. Standard Weight black-steel pipe with threaded ends; uncoated, gray-iron threaded fittings; and threaded joints.
 - 2. Standard-weight, black-steel pipe with cut- or roll-grooved ends; uncoated, grooved-end fittings for steel piping; grooved-end-pipe couplings for steel piping; and grooved joints.
 - 3. Standard-weight, black-steel pipe with plain ends; steel welding fittings; and welded joints.
 - 4. Schedule 10 black-steel pipe with roll-grooved ends; uncoated, grooved-end fittings for steel piping; grooved-end-pipe couplings for steel piping; and grooved joints.
 - 5. Schedule 10 black-steel pipe with plain ends; welding fittings; and welded joints.
- E. High-pressure, wet-pipe sprinkler system, [All Sizes], shall be one of the following:
 - 1. Standard-weight, black-steel pipe with plain ends; steel welding fittings; and welded joints.

3.11 SPRINKLER SCHEDULE

- A. Use sprinkler types as indicated on Fire Protection contract drawings.

END OF SECTION 211313

SECTION 210500 - COMMON WORK RESULTS FOR FIRE PROTECTION

PART 1 - GENERAL

1.1 SECTION INCLUDES

- A. These basic Requirements apply to all Division 21 Sections.
- B. The work of this Section consists of providing all materials, labor and equipment, and the like necessary and/or required for the complete execution of all Fire Protection and related work for this project, as required by the contract documents.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
 - 1. Steel pipe and fitting and specialties
 - 2. Joining material.
 - 3. Equipment
 - 4. Sprinkler heads and specialties
- B. Delegated-Design Submittal:
 - 1. DELEGATED-DESIGN SUBMITTAL: Sprinkler systems under this project are to comply with performance requirements and design criteria outlined in the contract documents. It shall be the contractor's responsibility to perform a delegated design of the sprinkler system.
 - a. Perform a current hydrant flow test. Submit all data and test results.
 - b. Hydraulic analysis of the system. Signed and sealed by the qualified Licensed Professional Engineer, (in the state where work is being performed), responsible for their preparation. This shall include sprinkler system and standpipe system hydraulic calculations, pipe size, and equipment selections, piping, sprinkler, and equipment layout.

1.4 REFERENCES

- A. ASME - American Society of Mechanical Engineers.
- B. UL - Underwriters Laboratory.
- C. NFPA - National Fire Protection Association.

1.5 REGULATORY REQUIREMENTS

- A. All equipment and installation methods shall conform to the applicable standards and/or recommendations set forth in the New York State Building Code, Local Codes as well as all Codes and Standards listed in the General and Supplemental Conditions sections of the specification, as well as the following specific codes
 - 1. NFPA 13, 13R
 - 2. NY State Building Code
 - 3. NY State Fire Prevention Code
 - 4. All Local Codes
 - 5. Factory Mutual

1.6 FEES & PERMITS

- A. The Contractor shall be responsible to file drawings, pay necessary fees and obtain all permits related to the scope of work.

1.7 QUALITY ASSURANCE

- A. The Contractor shall have the work indicated on the drawings and/or specified in each section performed by vendors or mechanics experienced and skilled in its implantation or by a "Specialist", "Specialty Contractor" or "Specialty Subcontractor" under contractual agreement with the Contractor. These terms mean an individual or firm of established reputation, or, if newly organized, whose personnel have previously established a reputation in the same field, which is regularly engaged in, and which maintains a regular force of workmen skilled in either manufacturing or fabricating items required by the Contract, installing items required by the Contract, or otherwise performing work required by the Contract.
- B. Where the Contract Specifications require installation by a "Specialist," that term shall also be deemed to mean either the manufacturer of the item, an individual or firm licensed by the manufacturer, or an individual or firm who will perform such work under the manufacturer's direct supervision.

1.8 PROJECT/SITE CONDITIONS

- A. Install Work in locations shown on Drawings, unless prevented by Project conditions.
- B. Prepare drawings showing the proposed arrangement of Work to meet Project conditions, including changes to Work specified in other Sections.

1.9 SCOPE OF WORK

- A. This Contractor shall be responsible for coordinating his work with all other trades.
- B. The Contractor shall provide all materials, labor, equipment, tools, appliances, services, hoisting, scaffolding, supervision, and overhead for the furnishing and installing of all mechanical work and related work including but not limited to the following:
 - 1. Demolition of existing work including, piping, sprinkler heads, and miscellaneous equipment.

2. Sprinkler piping valves and specialties
3. Sprinkler Heads
4. Hydraulic calculations
5. Filing
6. Pipe and Equipment Supports
7. Automatic controls.
8. Protection.
9. Identification.
10. Coordination.
11. Phasing.
12. Rigging.
13. Shop Drawings.
14. As-Built Drawings and Maintenance Manuals.
15. Warranties.
16. Commissioning

PART 2 - PRODUCTS – NOT USED

PART 3 - EXECUTION

3.1 GENERAL

- A. Construct all apparatus of materials and pressure ratings suitable for the conditions encountered during continuous operation.
- B. Construct all equipment in accordance with the requirements of all applicable codes. All pressure vessels and safety devices that fall within the scope of the ASME Code shall conform to the Code and bear the ASME label or stamp.
- C. Match and balance all system components to achieve compatibility of equipment or satisfactory operation and performance throughout the entire operating temperature and control ranges. All installations shall be in accordance with the manufacturer's recommendations.
- D. Provide all controls, wiring, piping, valves, accessories, and other components necessary to make all systems complete and operable.
- E. The contractor shall warranty all work, including labor and materials, and equipment furnished and installed as part of this contract for a minimum period of a year from the date of acceptance by the owner, in writing. Certain equipment, such as underground fuel tanks, may have longer warranties as indicated in the specifications. In such cases, the longer of the two warranties shall prevail.

3.2 SHOP DRAWINGS AND SUBMITTALS (COORDINATE WITH DIVISION 1 and 2)

- A. Shop drawings and samples shall be prepared and submitted in accordance with the requirements established in the contract documents and shall consist of all items listed in the following paragraphs.

- B. Manufacturer's data or shop drawings giving full information as to dimensions, materials, and all information pertinent to the adequacy of the submitted equipment shall be submitted for review. Shop drawings shall include, but not be limited to the following:
- C. Submit all Fire Suppression equipment noted and scheduled on plans including but not limited to the following:
 - 1. Sprinkler piping valves and specialties
 - 2. Sprinkler Heads
 - 3. Hydraulic calculations
 - 4. Pipe and Equipment Supports
 - 5. Fully Coordinated Piping and sprinkler head layout and floor plans
 - 6. Sprinkler riser diagrams
 - 7. Automatic controls.
 - 8. Identification.
 - 9. Coordination.
 - 10. Phasing plan
 - 11. Rigging plan
 - 12. As-Built Drawings and Maintenance Manuals.
 - 13. Warrantees.
- D. The contractor shall, upon award, submit a schedule for the engineer's review indicating when each of the above shop drawings shall be submitted. Submittals shall be made promptly as the project progresses in accordance with the Construction Manager or General contractor's work schedules. The contractor shall allow sufficient time for the engineers to perform his review. A minimum of 10 business days shall be required. Untimely submittals shall be cause for the owner to make a delay against the contractor.
- E. Demolition, purchase, and or installation shall not begin until shop drawings pertaining to the equipment associated with any related portion of the work have been approved.
- F. Piping shop drawings shall indicate all existing and/or new lights, walls, piping, structural elements, existing work, etc., and dimension locations of ductwork including elevations in relation to these items.
- G. Where shop drawings have been reviewed by the Engineer, such review shall not be considered as a guarantee of measurements or building conditions. Where drawings have been reviewed, said the review does not mean that drawings have been checked in detail; said review does not substantiate any quantities and in any way relieve the Contractor from his responsibility nor the necessity of furnishing materials or performing work required by the Contract Drawings and Specifications. It does not relieve the contractor of the responsibility to perform all work to accepted industry standards and in a code-compliant manner. Approval of shop drawings containing errors does not relieve the contractor from making corrections at his expense.
- H. Where substitutions are submitted for approval, the review shall be for general performance compared to the specified product. Products shall not be reviewed for size, clearance, or coordination with other trades. Coordination with other trades shall be the responsibility of the contractor. And changes to existing conditions or changes required to the work of other trades such as a result of substituted material or equipment approved or not shall be the responsibility of this contractor.
- I. Approval of shop drawings

1. The Contractor shall be specifically responsible for checking equipment dimensions and clearances and confirming that equipment will fit into the designated space and connect properly to adjoining equipment and/or materials.
2. Submittals marked "Make Corrections Noted" give authority to proceed in accordance with the notes. However, if drawings are also marked "Amend and Resubmit", corrected drawings must be resubmitted for final review.
3. Submittals marked "Rejected" do not give authority to proceed with any portion of the work shown there-on. Drawings must be resubmitted.
4. Submittals marked "Rejected" or "Amend and Resubmit" shall include a specific written response to the engineer's comments. Resubmission of a submittal without a written response to the engineer's comments will be considered incomplete and shall be returned un-reviewed.

3.3 CHARTS AND TAGS

- A. The Contractor shall provide single line diagrams and plans of all piping systems indicating the number and location of valves, controls, and equipment etc.
- B. All valves, and controls shall be designated with brass tags. Refer to section 21 05 23 Identification for Fire Protection Piping and equipment.

3.4 FEES & PERMITS

- A. The Contractor shall obtain all permits and pay all fees required related to this scope of work.

3.5 PAINTING

- A. All motors, equipment, and pumps, and all other factory manufactured and assembled apparatus shall be factory coated with one coat of primer and one coat of machinery enamel standard color at the factory and after installation, all finishes shall be cleaned and touched up to repair any damage incurred during construction.
- B. All piping valves and new and existing fittings in the area of work shall be painted in colors conforming to OSHA Standards. All new and existing exposed iron and supplementary dunnage steel shall be finished according to specifications.
- C. All supports, nuts, bolts, and hanger fasteners located outside shall be galvanized or nickel-plated.

3.6 RIGGING

- A. Furnish all labor, materials, and equipment required to rig equipment and materials.
- B. The rigger shall secure any necessary permits and comply with all applicable Federal, State, and local safety regulations. A copy of permits to be kept at both the project site and the Engineer's Office.
- C. The rigger shall have a minimum of five (5) years of practical experience and hold a master riggers license if required.

- D. The procedure for rigging shall be submitted to the Engineer for review. All possible precautions should be taken to prevent damage to the structure, streets, sidewalks, curbs, lawns, etc.

3.7 CUTTING AND PATCHING

- A. All cutting and patching required for piping, control conduits, etc., passing through walls, floors, and roof shall be provided by this Contractor under this contract unless otherwise noted. This Contractor shall be responsible for any damage done to the structure due to his negligence.
- B. Patching materials and application shall match existing construction.
- C. Where applicable, new holes for piping installation shall be core drilled.
- D. Pipe Sleeves & Fire-stopping:
 - 1. Provide for all pipes, conduits ducts, and other elements passing through floors, walls, partitions and structural elements, sleeves as specified. Sleeves shall be of adequate diameter to allow for a minimum of 3/4 inches clear all around the sleeve and pipe. When pipe, conduit ducts, or other such element penetrates other than fire-rated assembly and is insulated, insulation shall pass continuously through sleeves with 1/2-inch clearance between insulation and sleeve.
 - 2. Where pipes, conduits, and other such elements penetrate fire-rated assemblies, or where holes or voids are created to extend mechanical systems through fire-rated assemblies (walls, floors, ceilings, structure, etc.); sleeves and fire-stopping systems shall be installed.
- E. Furnish access doors, to the General Contractor for installation where required in finished walls, partitions, and the like for access to junction boxes, controls, valves, etc, concealed behind finished construction.
- F. Submit location drawings and sizes for review before installation.

3.8 PROTECTION-COORDINATE WITH DIVISION 1

- A. Special protection is required for the installation of a Derrick or other device for rigging purposes. This Contractor shall coordinate with the rigger to facilitate rigging work.
- B. Recommendations and Provisions of ANSI Bulletin A10.2 and OSHA shall be complied with in so far as applicable to the work.
- C. The Contractor shall provide temporary partitions or tarpaulins to protect adjacent spaces and/or equipment. He shall be responsible for any damage or injury to person or property of any character resulting from any act, omission, neglect, or misconduct in his manner or method of executing his work.
- D. The Contractor shall restore at his own expense such property to a condition similar or equal to that existing before such damage or injury acceptably.
- E. The Contractor, furthermore, shall conduct his operations in such a manner as to prevent dust and debris from transferring on to the adjoining property or into existing spaces.
- F. All openings cut in walls, floors, roof, or ceilings of the building, for conduit, pipe,

- G. etc., shall be closed off with box-type temporary protective enclosures of ¼" tempered hardboard, except when mechanics are working at the particular opening. Enclosures shall be constructed of fireproof 2x4 frame, four (4) sides covered, and made completely dust and watertight.
- H. All finished floor areas through which the contractor must pass with materials or equipment shall be protected with a layer of ¼" hardboard, "Masonite", "Ramboard" laid with joints taped together.

3.9 EQUIPMENT SUPPORTS

- A. A. Provide supplementary steel dunnage, curbs, angle iron stands, etc., to properly set and install all equipment, including supports necessary to properly pitch piping.
- B. Existing dunnage shall be supplemented with angle iron supports and cross members as required to support new equipment at all supports point or continuously as recommended by the manufacture. Provide spring isolators under all-new dunnage-mounted equipment.
- C. Concrete Bases: Anchor equipment to concrete base according to equipment manufacturer's written instructions and according to seismic codes at Project.
 - 1. Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit.
 - 2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of the base.
 - 3. Install epoxy-coated anchor bolts for supported equipment that extend through concrete base, and anchor into structural concrete floor.
 - 4. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - 5. Install anchor bolts to elevations required for proper attachment to supported equipment.
 - 6. Install anchor bolts according to anchor-bolt manufacturer's written instructions.
 - 7. Use 4000-psi, 28-day compressive-strength concrete and reinforcement.

3.10 WELDING

- A. A. Welding and equipment shall conform to the American Welding Society's Code for Welding in Building Construction, latest edition as well as state and local laws and ordinances.
- B. The handling and storage of all welding materials, acetylene and oxygen tanks, burners, and other equipment required for the execution of welding and cutting work shall be subject at all times to the approval of the Owner and/or Architect. All welding materials and gas tanks shall be promptly removed from the premises upon completion of each day's work or stored in a manner satisfactory to the owner. Welding and equipment shall conform to the American Welding Society's Code for Welding in Building Construction, latest edition as well as state and local laws and ordinances.
- C. Provide all temporary ventilation, and ventilation air systems required during welding operations as required by OSHA.

3.11 AS-BUILT DRAWINGS

- A. The Contractor shall provide a complete set of As-Built drawings showing actual installation and locations of all new and existing equipment, piping, and ductwork in the entire building. Schedules shall be revised to indicate actual equipment installed.
- B. As-Built drawings shall be submitted as per contract requirements in accordance with Division 1 and shall be submitted in paper format for review. Accepted as-builts shall then be submitted in PDF format electronically.

3.12 CONDITIONS

- A. Inspection: Before all work of this Section, carefully inspect the installed work of all other trades and verify that all such work is complete to the point where this installation may properly commence. Verify that the work of this Section may be completed in strict accordance with all pertinent codes and regulations, the approved Shop Drawings, and the Manufacturers' recommendations.
- B. Discrepancies: In the event of a discrepancy, immediately notify the Engineer. Do not proceed in areas of the discrepancy until all such discrepancies have been fully resolved.

3.13 INSTALLATION OF EQUIPMENT

- A. Locations: Install all equipment in the locations shown on the approved Shop Drawings except where specifically otherwise approved on the job by the Owner and/or Engineer.
- B. Interferences: Avoid interference with structure, and with work of other trades, preserving adequate headroom and clearing all doors and passageways to the approval of the Engineer.
- C. Inspection: Check each piece of equipment in the system for defects, verify that all parts are properly furnished and installed, and that all items function properly, and that all adjustments have been made.

3.14 CLOSING-IN OF UNINSPECTED WORK

- A. General: Do not allow or cause any of the work to be covered up or enclosed until it has been inspected, tested, and accepted by the Engineer and by all other authorities having jurisdiction.
- B. Uncovering: Should any of the work of this Section be covered up or enclosed before it has been completely inspected, tested, and approved, do all things necessary to uncover all such work. After the work has been completely inspected, tested, and approved, provide all materials and labor necessary and make all repairs necessary to restore the work to its original and proper condition at no additional cost to the owner.

3.15 BUILDING ACCESS

- A. The Contractor shall inform himself fully regarding peculiarities and limitations of space available for the passage and installation of all equipment and materials under the Contract.

- B. Verify and coordinate the removal of existing construction and/or knock-down of equipment to suit conditions. Special attention should be given to equipment installation. Provide all labor and material to facilitate installation.

3.16 COOPERATION WITH OTHER TRADES PHASING

- A. Cooperate with other trades so that all systems in the work may be installed in the best arrangements.
- B. Coordinate as required with all other trades to share space in common areas and to provide the maximum of access to each system.
- C. This Contractor shall submit fully coordinated shop drawings showing all piping, ductwork, and equipment, as well as relevant work of all other trades such as light, conduits, structural, and steel, which may impact the final size or placement of piping, ductwork, equipment, diffusers, and grilles.
- D. The work shall be scheduled and phased in accordance with the requirements of the contract and the client. Before the commencement of work, the contractor shall submit a schedule in writing to the Engineer and owner for approval. There shall be no shutdowns of any systems without prior written approval from the owner.

3.17 CLEANING

- A. It is the intent of the contract documents that all work, including the inside of equipment, be left in a clean condition. All construction dirt shall be removed from material and equipment.
- B. All removed items shall be taken off the premises and discarded in a manner satisfactory to the Owner.

3.18 COMPLETENESS

- A. It is the intent of the contract documents to provide complete systems. Completeness shall mean not only that all material and equipment has been installed properly, but that all material and equipment is installed, adjusted, and operating as per the design intent in the opinion of the Engineer and in accordance with generally accepted industry good practice.

3.19 FIRE PREVENTION DURING HOT WORK

- A. Before starting operations, the Contractor shall furnish trained personnel to provide fire watches for locations where hot work is to be performed. One fire watcher may observe several locations in a relatively small contiguous area. The contractor shall furnish a suitable type, fully-charged, operable portable fire extinguisher to each fire watcher.
- B. The Contractor shall provide fire watchers who know how to operate the fire extinguisher, how to turn on a fire alarm and how to summon the fire department.
- C. Before starting operations, take suitable precautions to minimize the hazard of a fire communicating to the opposite side of walls, floors, ceilings, and roofs from the operations.

3.20 SAFETY MEASURES

- A. Hot work shall not be done in or near rooms or areas where flammable liquids or explosive vapors are present or thought to be present. A combustible gas indicator (explosimeter) test shall be conducted to assure that each area is safe. The Contractor is responsible for arranging and paying for each test.
- B. Insofar as possible, the Contractor shall remove and keep the area free from all combustibles, including rubbish, paper, and waste within a radius of 25 feet from hot operations.
- C. If combustible material cannot be removed, the Contractor shall furnish fireproof blankets to cover such materials. At the direction of the owner floors, walls, and ceilings of combustible material shall be wetted thoroughly with water before, during, and after operations sufficiently to afford adequate protection.
- D. Where possible, the Contractor shall furnish and use baffles of metal or gypsum board to prevent the spraying of sparks, hot slag, and other hot particles into surrounding combustible material.
- E. The Contractor shall prevent the spread of sparks and particles of hot metal through open windows, doors, and holes and cracks in floors, walls, ceilings, and roofs.
- F. Cylinders of gas used in hot work shall be placed at a safe distance from the work. The Contractor shall provide hoses and equipment free of deterioration, malfunction, and leaks. Suitable supports shall be provided to prevent accidental overturning of cylinders. All cylinder control valves shall be shut off while in use with the gas pressure regulator set at 15 psi or less.
- G. When hot work operations are completed or ended for the day, each location of the day's work shall be inspected by the Contractor 30 to 60 minutes after completion of operations to detect hidden or smoldering fires and to ensure that proper housekeeping is maintained. The contractor shall clean up the area of work at the end of each shift or workday.
- H. Where sprinkler protection exists, the sprinkler system shall be maintained without interruption while operations are being performed. If operations are performed close to automatic sprinkler heads, gypsum board sheets or damp cloth guards may be used to shield the individual heads temporarily. The heads shall be inspected by the Contractor immediately after hot work operations cease, to ensure all materials have been removed from the heads and that the heads have not been damaged.
- I. Suitable type, fully charged, operable portable fire extinguisher shall be available at all times during hot work operations.
- J. If any of the above safeguards are not employed or are violated, the Contracting owners Representative may, by written notice, stop the work until compliance is obtained. Such stoppage shall not relieve the Contractor from performing his work within the Contract period for the Contract price.

3.21 USE OF OWNERS EQUIPMENT

- A. The contractor shall not use any of the owner's HVAC system or equipment, new or existing, for any purpose. The contractor shall provide temporary HVAC equipment, ductwork, power, and controls for use during construction for ventilation, or heating during the construction process. All such equipment, ductwork, power, and controls shall be removed and the completion of work.

END OF SECTION

SECTION 230130.52 - EXISTING HVAC AIR DISTRIBUTION SYSTEM CLEANING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes cleaning existing HVAC air-distribution equipment, ducts, plenums, and system components.
- B. Related Requirements:
 - 1. Section 233113.00 "Metal Ducts" for cleaning newly installed metal ducts.
 - 2. Section 233116.00 "Nonmetal Ducts" for cleaning newly installed nonmetal ducts.
 - 3. Section 230593.00 "Testing, Adjusting, Balancing for HVAC" for system flow documentation before cleaning and balancing and following cleaning and restoration.
 - 4. Section 233300.00 "Air Duct Accessories" for restoration of opened ducts and plenums with access doors.

1.3 DEFINITIONS

- A. ACAC: American Council for Accredited Certification.
- B. AIHA-LAP: American Industrial Hygiene Association Lab Accreditation Program
- C. ASCS: Air systems cleaning specialist.
- D. CESB: Council of Engineering and Scientific Specialty Boards.
- E. CMI: Certified Microbial Investigator.
- F. CMC: Certified Microbial Consultant.
- G. CMR: Certified Microbial Remediator.
- H. CMRS: Certified Microbial Remediation Supervisor.
- I. EMLAP: Environmental Microbiology Laboratory Accreditation Program.
- J. IEP: Indoor Environmental Professional.

- K. IICRC: Institute of Inspection, Cleaning, and Restoration Certification.
- L. NADCA: National Air Duct Cleaners Association.

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Cleaning agents

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data:
 - 1. For an ASCS.
 - 2. For an IEP.
 - 3. For a CMR and a CMRS.
- B. Field Quality-Control Reports:
 - 1. Project's existing conditions.
 - 2. Evaluations and recommendations, including cleanliness verification.
 - 3. Strategies and procedures plan.

1.6 CLOSEOUT SUBMITTALS

- A. Post-Project report.

1.7 QUALITY ASSURANCE

- A. ASCS Qualifications: A certified member of NADCA.
 - 1. Certification: Employ an ASCS certified by NADCA on a full-time basis.
 - 2. Supervisor Qualifications: Certified as an ASCS by NADCA.
- B. IEP Qualifications: CMI who is certified by ACAC and accredited by CESB.
- C. IEP Qualifications: CMC who is certified by ACAC and accredited by CESB.
- D. CMR Qualifications: Certified by ACAC and accredited by CESB.
- E. CMRS Qualifications: Certified by ACAC and accredited by CESB.
- F. UL Compliance: Comply with UL 181 and UL 181A for fibrous-glass ducts.
- G. Cleaning Conference: Conduct conference at Project site.

1. Review methods and procedures related to HVAC air-distribution system cleaning, including, but not limited to, review of the cleaning strategies and procedures plan.

PART 2 - PRODUCTS

2.1 HVAC CLEANING AGENTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Apex Engineering Products Corporation.
 2. BBJ Environmental Solutions.
 3. Goodway Technologies Corporation.
 4. Nu-Calgon.
 5. QuestVapco Corporation.
- B. Description:
 1. Formulated for each specific soiled coil condition that needs remedy.
 2. Will not corrode or tarnish aluminum, copper, or other metals.

2.2 ANTIMICROBIAL SURFACE TREATMENT

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Bio-Cide International, Inc.
 2. Contec, Inc.
 3. Ecolab, Inc.
- B. Description: Specific product selected shall be as recommended by the IEP based on the specific antimicrobial needs of the specific Project conditions.
 1. Formulated to kill and inhibit growth of microorganisms.
 2. EPA-registered for use in HVAC systems and for the specific application in which it will be used.
 3. Have no residual action after drying, with zero VOC off-gassing.
 4. OSHA compliant.
 5. Treatment shall dry clear to allow continued visual observation of the treated surface.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Inspect HVAC air-distribution equipment, ducts, plenums, and system components to determine appropriate methods, tools, and equipment required for performance of the Work.
- B. Perform "Project Evaluation and Recommendation" according to NADCA ACR.
- C. Cleaning Plan: Prepare a written plan for air-distribution system cleaning that includes strategies and step-by-step procedures. At a minimum, include the following:
 - 1. Supervisor contact information.
 - 2. Work schedule, including location, times, and impact on occupied areas.
 - 3. Methods and materials planned for each HVAC component type.
 - 4. Required support from other trades.
 - 5. Equipment and material storage requirements.
 - 6. Exhaust equipment setup locations.
- D. Existing Conditions Report: Prepare a written report that documents existing conditions of the systems and equipment. Include documentation of existing conditions, including inspection results, photo images, laboratory results, and interpretations of the laboratory results by an IEP.
 - 1. Prepare written report listing conditions detrimental to performance of the Work.
- E. Proceed with work only after conditions detrimental to performance of the Work have been corrected.
- F. Use the existing service openings, as required for proper cleaning, at various points of the HVAC system for physical and mechanical entry and for inspection.
- G. Comply with NADCA ACR, "Guidelines for Constructing Service Openings in HVAC Systems" Section.
- H. Mark the position of manual volume dampers and air-directional mechanical devices inside the system prior to cleaning.

3.2 CLEANING

- A. Comply with NADCA ACR, including items identified as "recommended," "advised," and "suggested."
- B. Perform electrical lockout and tagout according to Owner's standards or authorities having jurisdiction.
- C. Remove non-adhered substances and deposits from within the HVAC system.

- D. Complete cleaning in accordance with Owner-Contractor agreed-upon scope of work.
- E. Systems and Components to Be Cleaned: All air-moving and -distribution equipment.
- F. Systems and Components to Be Cleaned:
 - 1. Air devices for supply and return air.
 - a. Diffusers
 - b. Registers
 - c. grilles
 - 2. Ductwork:
 - a. Supply-air ducts, including turning vanes and reheat coils, to the air-handling unit.
 - b. Return-air ducts to the air-handling unit.
 - c. Exhaust-air ducts.
 - d. Transfer ducts.
 - 3. Casings.
 - 4. Air-Handling Units:
 - a. Interior surfaces of the unit casing.
 - b. Coil surfaces compartment.
 - c. Condensate drain pans.
 - d. Fans, fan blades, and fan housings.
 - 5. Filters and filter housings.
- G. Collect debris removed during cleaning. Ensure that debris is not dispersed outside the HVAC system during the cleaning process.
- H. Particulate Collection:
 - 1. For particulate collection equipment, include adequate filtration to contain debris removed. Locate equipment downwind and away from all air intakes and other points of entry into the building.
 - 2. HEPA filtration with 99.97 percent collection efficiency for particles sized 0.3 micrometer or larger shall be used where the particulate collection equipment is exhausting inside the building,
- I. Control odors and mist vapors during the cleaning and restoration process.
- J. Mark the position of manual volume dampers and air-directional mechanical devices inside the system prior to cleaning. Restore them to their marked position on completion of cleaning.
- K. System components shall be cleaned so that all HVAC system components are visibly clean. On completion, all components must be returned to those settings recorded just prior to cleaning operations.
- L. Clean all air-distribution devices, registers, grilles, and diffusers.

- M. Clean non-adhered substance deposits according to NADCA ACR and the following:
1. Clean air-handling units, airstream surfaces, components, condensate collectors, and drains.
 2. Ensure that a suitable operative drainage system is in place prior to beginning wash-down procedures.
 3. Clean evaporator coils, reheat coils, and other airstream components.
- N. Air-Distribution Systems:
1. Create service openings in the HVAC system as necessary to accommodate cleaning.
 2. Mechanically clean air-distribution systems specified to remove all visible contaminants, so that the systems are capable of passing the HVAC System Cleanliness Tests (see NADCA ACR).
- O. Debris removed from the HVAC system shall be disposed of according to applicable Federal, state, and local requirements.
- P. Mechanical Cleaning Methodology:
1. Source-Removal Cleaning Methods: The HVAC system shall be cleaned using source-removal mechanical cleaning methods designed to extract contaminants from within the HVAC system and to safely remove these contaminants from the facility. No cleaning method, or combination of methods, shall be used that could potentially damage components of the HVAC system or negatively alter the integrity of the system.
 - a. Use continuously operating vacuum-collection devices to keep each section being cleaned under negative pressure.
 - b. Cleaning methods that require mechanical agitation devices to dislodge debris that is adhered to interior surfaces of HVAC system components shall be equipped to safely remove these devices. Cleaning methods shall not damage the integrity of HVAC system components or damage porous surface materials, such as duct and plenum liners.
 2. Cleaning Mineral-Fiber Insulation Components:
 - a. Fibrous-glass thermal or acoustical insulation elements present in equipment or ductwork shall be thoroughly cleaned with HEPA vacuuming equipment while the HVAC system is under constant negative pressure and shall not be permitted to get wet according to NADCA ACR.
 - b. Cleaning methods used shall not cause damage to fibrous-glass components and will render the system capable of passing the HVAC System Cleanliness Tests (see NADCA ACR).
 - c. Fibrous materials that become wet shall be discarded and replaced.
- Q. Coil Cleaning:

1. See NADCA ACR, "Coil Surface Cleaning" Section. Type 1, or Type 1 and Type 2, cleaning methods shall be used to render the coil visibly clean and capable of passing coil cleaning verification.
2. Coil drain pans shall be subject to NADCA ACR, "Non-Porous Surfaces Cleaning Verification." Ensure that condensate drain pans are operational.
3. Electric-resistance coils shall be de-energized, locked out, and tagged before cleaning.
4. Cleaning methods shall not cause any appreciable damage to, cause displacement of, inhibit heat transfer, or cause erosion of the coil surface or fins, and shall comply with coil manufacturer's written recommendations.
5. Rinse thoroughly with clean water to remove any latent residues.

R. Application of Antimicrobial Treatment:

1. Apply antimicrobial agents and coatings if active fungal growth is determined by the IEP to be at Condition 2 or Condition 3 status according to IICRC S520, as analyzed by a laboratory accredited by AIHA-LAP with an EMLAP certificate, and with results interpreted by an IEP. Apply antimicrobial agents and coatings according to manufacturer's written recommendations and EPA registration listing after the removal of surface deposits and debris.
2. Apply antimicrobial treatments and coatings after the system is rendered clean.
3. Apply antimicrobial agents and coatings directly onto surfaces of interior ductwork.
4. Microbial remediation shall be performed by a qualified CMR and CMRS.

3.3 CLEANLINESS VERIFICATION

- A. Verify cleanliness according to NADCA ACR, "Verification of HVAC System Cleanliness" Section.
- B. Verify HVAC system cleanliness after mechanical cleaning and before applying any treatment or introducing any treatment-related substance to the HVAC system, including biocidal agents and coatings.
- C. Surface-Cleaning Verification: Perform visual inspection for cleanliness. If no contaminants are evident through visual inspection, the HVAC system shall be considered clean. If visible contaminants are evident through visual inspection, those portions of the system where contaminants are visible shall be re-cleaned and subjected to re-inspection for cleanliness.
- D. Verification of Coil Cleaning:
 1. Measure static-pressure differential across each coil.
 2. Coil will be considered clean if cleaning restored the coil static-pressure differential within 10 percent of, the differential measured when the coil was first installed.
- E. Verification of Coil Cleaning: Coil will be considered clean if the coil is free of foreign matter and chemical residue, based on a thorough visual inspection.
- F. Additional Verification:

1. Perform surface comparison testing or NADCA vacuum test.
 2. Conduct NADCA vacuum gravimetric test analysis for nonporous surfaces.
- G. Prepare a written cleanliness verification report. At a minimum, include the following:
1. Written documentation of the success of the cleaning.
 2. Site inspection reports, initialed by supervisor, including notation on areas of inspection, as verified through visual inspection.
 3. Surface comparison test results if required.
 4. Gravimetric analysis (nonporous surfaces only).
 5. System areas found to be damaged.
- H. Photographic Documentation: Comply with requirements in Section 013233 "Photographic Documentation."

3.4 RESTORATION

- A. Restore and repair HVAC air-distribution equipment, ducts, plenums, and components according to NADCA ACR, "Restoration and Repair of Mechanical Systems" Section.
- B. Restore service openings capable of future reopening. Comply with requirements in Section 233113 "Metal Ducts or Section 233116 "Nonmetal Ducts." As applicable.
- C. Reseal fibrous-glass ducts. Comply with requirements in Section 233116 "Nonmetal Ducts."
- D. Replace fibrous-glass materials that cannot be restored by cleaning or resurfacing. Comply with requirements in Section 233113 "Metal Ducts" and Section 233116 "Nonmetal Ducts."
- E. Replace damaged insulation according to Section 230713 "Duct Insulation."
- F. Ensure that closures do not hinder or alter airflow.
- G. New closure materials, including insulation, shall match opened materials and shall have removable closure panels fitted with gaskets and fasteners.
- H. Restore manual volume dampers and air-directional mechanical devices inside the system to their marked position on completion of cleaning.
- I. Measure air flows through air-distribution system.
- J. Measure static-pressure differential across each coil.

3.5 PROJECT CLOSEOUT

- A. Post-Project Report:
 1. Precleaning photo images – distribution mains supply and return

2. Post-cleaning laboratory results if any.
3. Post-cleaning photo images.
4. Post-cleaning verification summary.

B. Drawings:

1. Deviations of existing system from Owner's record drawings.
2. Location of service openings.

END OF SECTION 230130.52

SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Division 24 - Equipment Wiring Systems: Electrical characteristics and wiring connections.

1.2 SUMMARY

- A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on alternating-current power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

1.3 COORDINATION

- A. Coordinate features of motors, installed units, and accessory devices to be compatible with the following:
 - 1. Motor controllers.
 - 2. Torque, speed, and horsepower requirements of the load.
 - 3. Ratings and characteristics of supply circuit and required control sequence.
 - 4. Ambient and environmental conditions of installation location.

1.4 REFERENCES

- A. AFBMA 9 - Load Ratings and Fatigue Life for Ball Bearings.
- B. AFBMA 11 - Load Ratings and Fatigue Life for Roller Bearings.
- C. NEMA MG 1 - Motors and Generators.
- D. NFPA 70 - National Electrical Code.

1.5 REGULATORY REQUIREMENTS

- A. Conform to UL Component Recognition for appropriate sizes.
- B. Conform to NFPA 70 applicable electrical code, Underwriters Laboratories, Inc., and NEMA

- C. Conform to I ECC 2015

1.6 DELIVERY, STORAGE, AND PROTECTION

- A. Protect motors stored on site from weather and moisture by maintaining factory covers and suitable weatherproof covering. For extended outdoor storage, remove motors from equipment and store separately.

1.7 WARRANTY

- A. Provide five year manufacturer warranty for all motors larger than ½ horsepower.

PART 2 - PRODUCTS

MANUFACTURERS

- A. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:

1. Gould.
2. Century.
3. General Electric.
4. Square D

2.2 GENERAL MOTOR REQUIREMENTS

- A. Comply with NEMA MG 1 unless otherwise indicated.
- B. Comply with IEEE 841 for severe-duty motors.
- C. All electric motors of sizes and types as specified for driving mechanical equipment shall be provided under this section.
- D. Electrical Service: All motors shall be 60 Hertz unless otherwise noted. Refer to Electrical Specifications for required electrical characteristics.
- E. Motors: Design for continuous operation in 40° C environment, and for temperature rise in accordance with ANSI/NEMA MG limits for insulation class, Service Factor, and motor enclosure type. Motors shall be of sufficient size for duty to be performed.
- F. Visible Nameplate: Indicating manufacturer's name and model number, motor horsepower, RPM, frame size, voltage, phase, cycles, full load amps, insulation system class, service factor, maximum ambient temperature, temperature rise at rated horsepower, minimum efficiency, power factor.

- G. Electrical Connection: Conduit connection boxes, threaded for conduit. For fractional horsepower motors where connection is made directly, provide screwed conduit connection in end frame. Size motor boxes to receive motor feeders and ground cable indicated on electrical drawing schedules.
- H. Duty: Continuous duty at ambient temperature of 40 deg C and at altitude of 3300 feet above sea level.
- I. Capacity and Torque Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor.

2.3 MOTOR EFFICIENCY

- A. Electric motors shall meet the minimum efficiency requirement of the following tables in accordance with International Energy conservation code when tested in accordance with DOE CFR 431. Performance data shall be certified by approved testing agency.
- B. Subtype I motors – NEMA premium efficiency as per table NEMA MG 1 table 12-12 and Energy Conservation code table 405.8(1). This shall apply to general purpose, T-frame, single speed, squirrel cage, induction type; 230/460-V, NEMA Designs A or B, continuous rated, 60 Hz, from 1 to 200 hp, 2-, 4- and 6-pole (3600-, 1800- and 1200-rpm), open and enclosed. Subtype I motors 250 hp to 500 hp motor efficiency shall be able NEMA MG 1 table 12-11 and Energy Conservation Code table 405.8(1).
- C. Subtype II motors – NEMA efficiency as per table NEMA MG 1 table 12-11 and Energy Conservation code table 405.8(2). This shall apply to general purpose motors but can configured as U-frame motors; NEMA Design C motors; close-coupled pump motors; footless motors; vertical solid shaft normal thrust motors (as tested in a horizontal position); eight-pole (900 rpm) motors, and polyphase motors with a voltage of not more than 600 V (other than 230 or 460 V).
- D. Minimum average full load efficiency of polyphase small electric motors up to 3 hp shall be in accordance with Table C405.8(3) of the International Energy Conservation Code
- E. Minimum average full load efficiency for capacitor-start, capacitor-run and capacitor-start induction-run small electric motors up to 3 hp shall be in accordance with Table C405.8(4) of the International Energy Conservation Code.

2.4 POLYPHASE MOTORS

- A. Description: NEMA MG 1, Design B, medium induction motor.
- B. Service Factor: 1.15.
- C. Multispeed Motors: Variable torque.
 - 1. For motors with 2:1 speed ratio, consequent pole, single winding.

2. For motors with other than 2:1 speed ratio, separate winding for each speed.
- D. Multispeed Motors: Separate winding for each speed.
 - E. Rotor: Random-wound, squirrel cage.
 - F. Bearings: Regreasable, shielded, antifriction ball bearings suitable for radial and thrust loading. Grease lubricated anti-friction ball bearings with housings equipped with plugged provision for relubrication, rated for minimum AFBMA 9, L-10 life of 200,000 hours. Calculate bearing load with NEMA minimum V-belt pulley with belt centre line at end of NEMA standard shaft extension. Stamp bearing sizes on nameplate.
 - G. Thermistor System (Motor Frame Sizes 254T and Larger): Three PTC thermistors embedded in motor windings and epoxy encapsulated solid state control relay with wiring to terminal box.
 - H. Sound Power Levels: To NEMA MG 1.
 - I. Temperature Rise: Match insulation rating.
 - J. Insulation: Class B or better.
 - K. Code Letter Designation:
 1. Motors [15] HP and Larger: NEMA starting Code F or Code G.
 2. Motors Smaller Than 15 HP: Manufacturer's standard starting characteristic.
 - L. Enclosure Material: Cast iron for motor frame sizes 324T and larger; rolled steel for motor frame sizes smaller than 324T.

2.5 ADDITIONAL REQUIREMENTS FOR POLYPHASE MOTORS

- A. Motors Used with Reduced-Voltage and Multispeed Controllers: Match wiring connection requirements for controller with required motor leads. Provide terminals in motor terminal box, suited to control method.
- B. Use part winding Start above 254T Frame Size: Use part of winding to reduce locked rotor starting current to approximately 60 percent of full winding locked rotor current while providing approximately 50 percent of full winding locked rotor torque.
- C. **Motors Used with Variable-Frequency Controllers: Ratings, characteristics, and features coordinated with and approved by controller manufacturer.**
 1. **Windings: Copper magnet wire with moisture-resistant insulation varnish, designed and tested to resist transient spikes, high frequencies, and short time rise pulses produced by pulse-width-modulated inverters.**
 2. **Premium-Efficient Motors: Class B temperature rise; Class F insulation.**
 3. **Inverter-Duty Motors: Class F temperature rise; Class H insulation.**
 4. **Thermal Protection: Comply with NEMA MG 1 requirements for thermally protected motors.**

- D. Severe-Duty Motors: Comply with IEEE 841, with 1.15 minimum service factor.

2.6 SINGLE-PHASE MOTORS

- A. Motors larger than 1/20 hp shall be one of the following, to suit starting torque and requirements of specific motor application:
 - 1. Permanent-split capacitor.
 - 2. Split phase.
 - 3. Capacitor start, inductor run.
 - 4. Capacitor start, capacitor run.
- B. Multispeed Motors: Variable-torque, permanent-split-capacitor type.
- C. Bearings: Prelubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.
- D. Motors 1/20 HP and Smaller: Shaded-pole type.
- E. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.
- F. Drip-proof Enclosure: Class A (50 degrees C temperature rise) insulation, NEMA Service Factor, pre-lubricated sleeve ball bearings.

2.7 POWER FACTOR CORRECTION

- A. Provide a capacitor for each three phase, single speed motor rated 3 HP or larger shall be provided to correct the full load power factor to 95%. The capacitor shall be mounted at the motor for connection across the motor terminals by Electrical Contractor
- B. Capacitors;
 - 1. Capacitors shall be totally enclosed, fused and with discharge resistors.
 - 2. Capacitors based on nominal motor RPM shall be provided in accordance with the following table to correct power factor to 95% and verify sizes with motor manufacturer.

Motor HP	Capacitor KVAR 3600 RPM Motor	Capacitor KVAR 1800 RPM Motor
3	1.5	1.5
5	2	2
7.5	2.5	2.5
10	3	3
15	4	4
20	5	5
25	6	6
30	7	7
40	9	9
50	12	12
60	14	14

2.8 STARTERS

A. GENERAL

1. See specification Section 16485 and Division 1 for additional information.
2. Starters for motors operating at 120 volts shall be manual starters unless otherwise indicated. Starters for motors operating at other than 120 volts shall be magnetic starters.
3. All starters shall be enclosed. Enclosures shall be surface mounted NEMA 1 unless otherwise indicated.
4. Where weatherproof starters are required, the enclosure shall be NEMA 4.
5. It shall be verified that the correct overload heaters have been installed in the starter before energizing any motor. Sizing shall be based on motor nameplate current and taking into account any reduction in current due to power factor correction.
6. Alternate Manufacturers –
 - a. Allen-Bradley
 - b. Crouse-Hinds Co.
 - c. Cutler-Hammer, Inc.
 - d. General Electric Co.
 - e. Square D Co.
 - f. Westinghouse Electric Corp.

B. MANUAL STARTERS

1. Two-pole, toggle operated, thermal overload device in each phase leg, handle guard for padlocking toggle handle and with indicated control and signal devices.
2. Where a motor is controlled automatically by an interlock or pilot device, a “HAND-OFF-AUTO” switch shall be provided in the starter cover. Where the rating of the interlock or pilot device is inadequate to control the motor currents directly, a properly rated contactor shall be provided between the controlling device and the motor.

3. An "ON" pilot light shall be provided in the starter cover.

C. MAGNETIC STARTERS

1. Starters shall be sized in accordance with NEMA standards and the following table except that starters shall not be smaller than NEMA size 0. Starters shall be provided with one N.O. electrical holding interlock, under voltage protection and two additional auxiliary contacts within the same enclosure. NEMA size starters shall be provided as follows

STARTER SIZE	MAX HP AT 460 VOLTS
0	5
1	10
2	25

2. All starters shall be combination type with the starter and disconnect in the same enclosure. All starters shall be Type 2 coordination protected. Fuses shall be Bussman "Low Peak" type or equal sized at 125% of motor nameplate rating. Verify and coordinate requirements for fused disconnect switches with the Electrical Contractor prior to ordering starters.
3. Provide S.S.P.B. or H-O-A switches and pilot light in covers as required to facilitate control operation sequences.

D. CRITICAL FAULT

1. Where starters are not integral to equipment and are furnished and installed separately from equipment by the contractor, provide a 3 phase line voltage monitor by ICM Controls model 450 or approved equal. Unit shall be installed in the motor starter or in a separate enclosure with the same rating as the starter. It shall be arranged to monitor critical faults including phase loss or reversal, and when detected, de-energize the load. It shall monitor non-critical faults including high/low voltage, voltage unbalance and when detected, after a time delay de-energize the load.

PART 3 - EXECUTION

- A. Suitable starting and controlling equipment and devices shall be furnished and installed as specified hereinafter and as shown on the Drawings. The starting equipment shall be arranged, generally, in control groups, or in certain cases, as isolated combination starters as specified or indicated. The Heating Ventilating and Air Conditioning Sequences of Operation, drawings and specifications shall be referred to for the manner of control, operation and monitoring of motors and the electrically operated equipment.

- B. A starter and disconnect switch or combination motor starter disconnect shall be provided for every motor and each and every electrically operated piece of equipment by this contractor except where complete starters and controls are furnished by the manufacturer of the motor or piece of equipment. Starters shall be internally wired to provide the required control operation and monitoring. All control devices such as push buttons, break-glass stations, alternators, relays, pilot lights, etc., shall be provided as required for the operation of mechanical equipment. All roof top and remotely located equipment shall have remote starters as located on plan and shall have local disconnect switches. All equipment located in equipment rooms can use combination starters/disconnects located in line of site of controlled equipment. All starters and disconnect switches shall be in enclosures suitable for the environment in which they are installed. Starters and disconnect switches located in machine rooms shall use NEMA 1. Starters and disconnect switches located outdoors shall use NEMA 4x. Starters and disconnect switches located in machine rooms which are subject to potential water damage shall use NEMA 2
- C. Starting equipment and devices specified in this section (and section 23 29 13 Variable Frequency Controllers), shall be furnished by the mechanical subcontractor and shall be installed by the Electrical subcontractor. In general, the mechanical subcontractor shall furnish all motor starters and disconnect switches except where they are an integral part of a motor control center, in this case starters and disconnects shall be provided, (furnished and installed), by the electrical contractor. The Electrical subcontractor shall also provide all wiring necessary to supply power to the electric motors specified under this section, including connections from the starters to the motors. Starters and disconnects shall also include variable frequency drives.
- D. The mechanical Contractor shall furnish and install all wiring between control devices and controlled equipment furnished under this Section, including interlock control wiring between motor starters, and all automatic temperature control wiring. All wiring shall be installed in conformance with applicable codes and the requirements of the Electrical Division of the Specifications.
- E. The Electrical Contractor shall furnish a 120 volt power source to temperature control panels and equipment requiring a separate 120 volt control power source. Power for control circuits for all devices connecting to motor starters shall be obtained from 120-volt control transformers provided in each starter operating at other than 120 volts. Provide transformers for all low voltage control systems as required.
- F. Furnish detailed composite wiring diagrams and such other information necessary to assure the proper connection, operation and control of motorized equipment, including interlocks, automatic controls, safety controls and all auxiliary circuits.
- G. All control units shall be furnished with a nameplate indicating which device or equipment controls, the voltage. Additional nameplates on each push button, selector switch and pilot light indicating their functions shall be provided. Nameplates shall be laminated phenolic with white letters on black background, minimum 2" high.
- H. All motors supplied either with equipment or installed separately that are to be used in conjunction with variable frequency drive shall be inverter duty motors.

END OF SECTION 230513

SECTION 230517 - SLEEVES AND ESCUTCHEONS FOR HVAC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Sleeves.
 - 2. Stack-sleeve fittings.
 - 3. Sleeve-seal systems.
 - 4. Sleeve-seal fittings.
 - 5. Grout.
 - 6. Escutcheons.
 - 7. Floor plates.
 - 8. Acoustic split seal rings

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

PART 2 - PRODUCTS

2.1 SLEEVES

- A. Galvanized-Steel-Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, with plain ends.
- B. Galvanized-Steel-Sheet Sleeves: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.

2.2 GROUT

- A. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- B. Characteristics: Nonshrink; recommended for interior and exterior applications.

- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

PART 3 - EXECUTION

3.1 SLEEVE INSTALLATION

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
 - 1. Cut sleeves to length for mounting flush with both surfaces.
 - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
 - 2. Using grout, seal the space outside of sleeves in slabs and walls.
- C. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

3.2 FIELD QUALITY CONTROL

- A. Replace broken and damaged escutcheons and floor plates using new materials.

END OF SECTION 230517.5

SECTION 230519 - METERS AND GAGES FOR HVAC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Bimetallic-actuated thermometers.
 - 2. Filled-system thermometers.
 - 3. Liquid-in-glass thermometers.
 - 4. Thermowells.
 - 5. Dial-type pressure gages.
 - 6. Gage attachments.
 - 7. Test plugs.
 - 8. Test-plug kits.

- B. Related Sections:

- 1. Section 231123 "Facility Natural-Gas Piping" for gas meters.
 - 2. Section 232216 "Steam and Condensate Piping Specialties" for steam and condensate meters.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Wiring Diagrams: For power, signal, and control wiring.

1.4 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of meter and gage, from manufacturer.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For meters and gages to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 Thermometer Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Terice, H. O. Co.
2. Watts; a Watts Water Technologies company.
3. Weiss Instruments, Inc.
4. Weksler Glass Thermometer Corp.

2.2 BIMETALLIC-ACTUATED THERMOMETERS

- A. Standard: ASME B40.200.
- B. Case: Liquid-filled and sealed type(s); stainless steel with 5-inch nominal diameter.
- C. Dial: Nonreflective aluminum with permanently etched scale markings and scales in deg F and deg C.
- D. Connector Type(s): Union joint, adjustable angle, with unified-inch screw threads.
- E. Connector Size: 1/2 inch, with ASME B1.1 screw threads.
- F. Stem: 0.25 or 0.375 inch in diameter; stainless steel.
- G. Window: Plain glass.
- H. Ring: Stainless steel.
- I. Element: Bimetal coil.
- J. Pointer: Dark-colored metal.
- K. Accuracy: Plus or minus 1 percent of scale range.

2.3 LIQUID-IN-GLASS THERMOMETERS

- A. Metal-Case, Compact-Style, Liquid-in-Glass Thermometers:
 1. Standard: ASME B40.200.
 2. Case: Cast aluminum; 6-inch nominal size.
 3. Case Form: Back angle unless otherwise indicated.
 4. Tube: Glass with magnifying lens and blue or red organic liquid.
 5. Tube Background: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
 6. Window: Glass
 7. Stem: Aluminum or brass and of length to suit installation.
 - a. Design for Air-Duct Installation: With ventilated shroud.

- b. Design for Thermowell Installation: Bare stem.
- 8. Connector: 3/4 inch, with ASME B1.1 screw threads.
- 9. Accuracy: Plus or minus 1 percent of scale range or one scale division, to a maximum of 1.5 percent of scale range.
- B. Metal-Case, Industrial-Style, Liquid-in-Glass Thermometers:
 - 1. Standard: ASME B40.200.
 - 2. Case: Cast aluminum; 9-inch nominal size unless otherwise indicated.
 - 3. Case Form: Adjustable angle Back angle unless otherwise indicated.
 - 4. Tube: Glass with magnifying lens and blue or red organic liquid.
 - 5. Tube Background: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
 - 6. Window: Glass.
 - 7. Stem: Aluminum and of length to suit installation.
 - a. Design for Air-Duct Installation: With ventilated shroud.
 - b. Design for Thermowell Installation: Bare stem.
 - 8. Connector: 1-1/4 inches, with ASME B1.1 screw threads.
 - 9. Accuracy: Plus or minus 1 percent of scale range or one scale division, to a maximum of 1.5 percent of scale range.

2.4 DUCT-THERMOMETER MOUNTING BRACKETS

- A. Description: Flanged bracket with screw holes, for attachment to air duct and made to hold thermometer stem.

2.5 THERMOWELLS

- A. Thermowells:
 - 1. Standard: ASME B40.200.
 - 2. Description: Pressure-tight, socket-type fitting made for insertion into piping tee fitting.
 - 3. Material for Use with Copper Tubing: CNR or CUNI.
 - 4. Material for Use with Steel Piping: CRES CSA.
 - 5. Type: Stepped shank unless straight or tapered shank is indicated.
 - 6. External Threads: NPS 1/2, NPS 3/4, or NPS 1, ASME B1.20.1 pipe threads.
 - 7. Internal Threads: 1/2, 3/4, and 1 inch, with ASME B1.1 screw threads.
 - 8. Bore: Diameter required to match thermometer bulb or stem.
 - 9. Insertion Length: Length required to match thermometer bulb or stem.
 - 10. Lagging Extension: Include on thermowells for insulated piping and tubing.
 - 11. Bushings: For converting size of thermowell's internal screw thread to size of thermometer connection.
- B. Heat-Transfer Medium: Mixture of graphite and glycerin.

2.6 PRESSURE GAGES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
- a. Terice, H. O. Co.
 - b. Watts; a Watts Water Technologies company.
 - c. Weiss Instruments, Inc.
 - d. Weksler Glass Thermometer Corp.
- B. Direct-Mounted, Metal-Case, Dial-Type Pressure Gages:
1. Standard: ASME B40.100.
 2. Case: Liquid-filled Sealed Solid-front, pressure relief type(s); cast aluminum or drawn steel; 4-1/2-inch nominal diameter.
 3. Pressure-Element Assembly: Bourdon tube unless otherwise indicated.
 4. Pressure Connection: Brass, with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads and bottom-outlet type unless back-outlet type is indicated.
 5. Movement: Mechanical, with link to pressure element and connection to pointer.
 6. Dial: Nonreflective aluminum with permanently etched scale markings graduated in psi and kPa.
 7. Pointer: Dark-colored metal.
 8. Window: Glass.
 9. Ring: Metal.
 10. Accuracy: Grade A, plus or minus 1 percent of middle half of scale range.

2.7 GAGE ATTACHMENTS

- A. Snubbers: ASME B40.100, brass; with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads and piston-type surge-dampening device. Include extension for use on insulated piping.
- B. Siphons: Loop-shaped section of brass or stainless-steel pipe with NPS 1/4 or NPS 1/2 pipe threads.
- C. Valves: Brass or stainless-steel needle, with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads.

2.8 TEST PLUGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Terice, H. O. Co.
 2. Watts; a Watts Water Technologies company.
 3. Weiss Instruments, Inc.
 4. Weksler Glass Thermometer Corp.
- B. Description: Test-station fitting made for insertion into piping tee fitting.

- C. Body: Brass or stainless steel with core inserts and gasketed and threaded cap. Include extended stem on units to be installed in insulated piping.
- D. Thread Size: NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe thread.
- E. Minimum Pressure and Temperature Rating: 500 psig at 200 deg F.
- F. Core Inserts: EPDM self-sealing rubber.

2.9 TEST-PLUG KITS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Sisco Manufacturing Company, Inc.
 - 2. Trerice, H. O. Co.
 - 3. Watts; a Watts Water Technologies company.
 - 4. Weiss Instruments, Inc.
- B. Furnish one test-plug kit(s) containing two thermometer(s), one pressure gage and adapter, and carrying case. Thermometer sensing elements, pressure gage, and adapter probes shall be of diameter to fit test plugs and of length to project into piping.
- C. Low-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch- diameter dial and tapered-end sensing element. Dial range shall be at least 25 to 125 deg F.
- D. High-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch- diameter dial and tapered-end sensing element. Dial range shall be at least 0 to 220 deg F.
- E. Pressure Gage: Small, Bourdon-tube insertion type with 2- to 3-inch- diameter dial and probe. Dial range shall be at least 0 to 200 psig.
- F. Carrying Case: Metal or plastic, with formed instrument padding.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install thermowells with socket extending one-third of pipe diameter and in vertical position in piping tees.
- B. Install thermowells of sizes required to match thermometer connectors. Include bushings if required to match sizes.
- C. Install thermowells with extension on insulated piping.
- D. Fill thermowells with heat-transfer medium.

- E. Install direct-mounted thermometers in thermowells and adjust vertical and tilted positions.
- F. Install remote-mounted thermometer bulbs in thermowells and install cases on panels; connect cases with tubing and support tubing to prevent kinks. Use minimum tubing length.
- G. Install direct-mounted pressure gages in piping tees with pressure gage located on pipe at the most readable position.
- H. Install valve and snubber in piping for each pressure gage for fluids (except steam).
- I. Install valve and syphon fitting in piping for each pressure gage for steam.
- J. Install test plugs in piping tees.
- K. Assemble and install connections, tubing, and accessories between flow-measuring elements and flowmeters according to manufacturer's written instructions.
- L. Install permanent indicators on walls or brackets in accessible and readable positions.
- M. Install connection fittings in accessible locations for attachment to portable indicators.
- N. Install thermometers in the following locations:
 - 1. Inlet and outlet of each hydronic coil in air-handling units.
- O. Install pressure gages in the following locations:
 - 1. Inlet and outlet of each hydronic coil in air-handling units.

3.2 ADJUSTING

- A. After installation, calibrate meters according to manufacturer's written instructions.
- B. Adjust faces of meters and gages to proper angle for best visibility.

3.3 THERMOMETER SCALE-RANGE SCHEDULE

- A. Scale Range for Chilled-Water Piping: 0 to 150 deg F.
- B. Scale Range for Heating, Hot-Water Piping: 0 to 250 deg F.

3.4 PRESSURE-GAGE SCALE-RANGE SCHEDULE

- A. Scale Range for Chilled-Water Piping: 0 to 200 psi.
- B. Scale Range for Heating, Hot-Water Piping: 0 to 160 psi.

END OF SECTION 230519

SECTION 230523.11 - VALVES FOR HVAC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Ball Valves
 - 2. Butterfly Valves.
 - 3. Gate Valves

1.3 DEFINITIONS

- A. CWP: Cold working pressure.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of valve.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
 - 2. Protect threads, flange faces, grooves, and weld ends.
 - 3. Set angle and globe valves closed to prevent rattling.
- B. Use the following precautions during storage:
 - 1. Maintain valve end protection.
 - 2. Store valves indoors and maintain at higher-than-ambient dew point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use handwheels or stems as lifting or rigging points.

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
 - 1. ASME B1.20.1 for threads for threaded-end valves.
 - 2. ASME B16.1 for flanges on iron valves.
 - 3. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
 - 4. ASME B16.18 for solder joint.
 - 5. ASME B31.1 for power piping valves.
 - 6. ASME B31.9 for building services piping valves.
- C. Refer to HVAC valve schedule articles for applications of valves.
- D. Valve Pressure and Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.
- F. Valves in Insulated Piping:
 - 1. Include 2-inch stem extensions.
 - 2. Extended operating handle of nonthermal-conductive material, and protective sleeves that allow operation of valves without breaking the vapor seals or disturbing insulation.
 - 3. Memory stops that are fully adjustable after insulation is applied.
- G. Valve Actuator Types:
 - 1. Gear Actuator: For valves NPS 8 and larger.
 - 2. Handlever: For valves NPS 6 and smaller.
 - 3. Chainwheel: Device for attachment to gear, stem, or other actuator of size and with chain for mounting height, according to "Valve Installation" Article.
- H. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Apollo Valves; Conbraco Industries, Inc.
 - b. Crane; Crane Energy Flow Solutions.
 - c. Milwaukee Valve Company.
 - d. NIBCO INC.
 - e. Stockham; Crane Energy Flow Solutions.
 - f. Watts; a Watts Water Technologies company.
 - g. Keystone

BALL VALVES

2.2 BRASS BALL VALVES

A. One-Piece Brass Ball Valves:

1. Description:
 - a. Standard: MSS SP-110.
 - b. CWP Rating: 400 psig.
 - c. Body Design: One piece.
 - d. Body Material: Forged brass.
 - e. Ends: Threaded.
 - f. Seats: PTFE.
 - g. Stem: Brass.
 - h. Ball: Chrome-plated brass.
 - i. **Port: Reduced.**

B. Two-Piece Brass Ball Valves with Full Port and Stainless-Steel Trim:

1. Description:
 - a. Standard: MSS SP-110.
 - b. SWP Rating: 150 psig.
 - c. CWP Rating: 600 psig.
 - d. Body Design: Two piece.
 - e. Body Material: Forged brass.
 - f. Ends: Threaded.
 - g. Seats: PTFE.
 - h. Stem: Stainless steel.
 - i. Ball: Stainless steel, vented.
 - j. Port: Full.

2.3 BRONZE BALL VALVES

A. Two-Piece Bronze Ball Valves with Full Port and Bronze or Brass Trim:

1. Description:
 - a. Standard: MSS SP-110.
 - b. SWP Rating: 150 psig.
 - c. CWP Rating: 600 psig.
 - d. Body Design: Two piece.
 - e. Body Material: Bronze.
 - f. Ends: Threaded.
 - g. Seats: PTFE.
 - h. Stem: Bronze.
 - i. Ball: Chrome-plated brass.
 - j. Port: Full.

B. Two-Piece Bronze Ball Valves with Full Port and Stainless-Steel Trim:

1. Description:
 - a. Standard: MSS SP-110.
 - b. SWP Rating: 150 psig.
 - c. CWP Rating: 600 psig.
 - d. Body Design: Two piece.
 - e. Body Material: Bronze.
 - f. Ends: Threaded.
 - g. Seats: PTFE.
 - h. Stem: Stainless steel.
 - i. Ball: Stainless steel, vented.
 - j. Port: Full.

2.4 HIGH-PERFORMANCE BUTTERFLY VALVES

- A. Class 150, Single-Flange, High-Performance Butterfly Valves:
 1. Description:
 - a. Standard: MSS SP-68.
 - b. CWP Rating: 285 psig at 100 deg F.
 - c. Body Design: Lug type; suitable for bidirectional dead-end service at rated pressure without use of downstream flange.
 - d. Body Material: Carbon steel, **cast iron, ductile iron, or stainless steel. Match piping system**
 - e. Seat: Reinforced PTFE or metal.
 - f. Stem: Stainless steel; offset from seat plane.
 - g. Disc: Carbon steel.
 - h. Service: Bidirectional.

GATE VALVES

- A. Class 150, RS, Bronze Gate Valves:
 1. Description:
 - a. Standard: MSS SP-80, Type 2.
 - b. CWP Rating: 300 psig.
 - c. Body Material: ASTM B 62, bronze with integral seat and union-ring bonnet.
 - d. Ends: Threaded.
 - e. Stem: Bronze.
 - f. Disc: Solid wedge; bronze.
 - g. Packing: Asbestos free.
 - h. Handwheel: Malleable iron, bronze, or aluminum.
- B. Class 125, OS&Y, Iron Gate Valves:
 1. Description:
 - a. Standard: MSS SP-70, Type I.
 - b. NPS 2-1/2 to NPS 12, CWP Rating: 200 psig.

- c. NPS 14 to NPS 24, CWP Rating: 150 psig.
 - d. Body Material: ASTM A 126, gray iron with bolted bonnet.
 - e. Ends: Flanged.
 - f. Trim: Bronze.
 - g. Disc: Solid wedge.
 - h. Packing and Gasket: Asbestos free.
- C. Class 250, OS&Y, Iron Gate Valves:
 - 1. Description:
 - a. Standard: MSS SP-70, Type I.
 - b. NPS 2-1/2 to NPS 12, CWP Rating: 500 psig.
 - c. NPS 14 to NPS 24, CWP Rating: 300 psig.
 - d. Body Material: ASTM A 126, gray iron with bolted bonnet.
 - e. Ends: Flanged.
 - f. Trim: Bronze.
 - g. Disc: Solid wedge.
 - h. Packing and Gasket: Asbestos free.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves.

3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.

- D. Install valves in position to allow full stem movement.
- E. Install valve tags. Comply with requirements in Section 230553 "Identification for HVAC Piping and Equipment" for valve tags and schedules.

3.3 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

3.4 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valve applications are not indicated, use the following:
 - 1. Throttling Service except Steam: Globe valves.
 - 2. Throttling Service, Steam: Globe valves.
- B. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- C. Select valves with the following end connections:
 - 1. For Copper Tubing, NPS 2 and Smaller: Threaded ends except where solder-joint valve-end option is indicated in valve schedules.
 - 2. For Copper Tubing, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules.
 - 3. For Copper Tubing, NPS 5 and Larger: Flanged ends.
 - 4. For Steel Piping, NPS 2 and Smaller: Threaded ends.
 - 5. For Steel Piping, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules.
 - 6. For Steel Piping, NPS 5 and Larger: Flanged ends.
 - 7. For valves exposed to fuel, oil gasoline or other hydrocarbons use NBR nitrile-butadiene rubber, gaskets and seats.
 - 8. For valves exposed to glycol, UV and hydronic applications EPDM gaskets and seats.

3.5 CHILLED and HOT WATER VALVE SCHEDULE

- A. Pipe NPS 2 and Smaller: Use bronze or brass body ball valves, Class 250, with threaded ends.
- B. Pipe NPS 2-1/2 and Larger: Iron gate valves, or high-performance butterfly valves Class 250 with flanged ends.

END OF SECTION 230523.11

SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

1. Metal pipe hangers and supports.
2. Trapeze pipe hangers.
3. Metal framing systems.
4. Thermal-hanger shield inserts.
5. Fastener systems.
6. Pipe stands.
7. Equipment supports.

- B. Related Sections:

1. Section 055000 "Metal Fabrications" for structural-steel shapes and plates for trapeze hangers for pipe and equipment supports.
2. Section 230516 "Expansion Fittings and Loops for HVAC Piping" for pipe guides and anchors.
3. Section 233113 "Metal Ducts" for duct hangers and supports.

1.3 DEFINITIONS

- A. MSS: Manufacturers Standardization Society of The Valve and Fittings Industry Inc.

1.4 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design trapeze pipe hangers and equipment support, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. StrueffectPerformance: Hangers and supports for HVAC piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
 1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, system contents, and test water.

2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.
3. Design seismic-restraint hangers and supports for piping and equipment.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details and include calculations for the following: include Product Data for components:
 1. Trapeze pipe hangers.
 2. Metal framing systems.
 3. Pipe stands.
 4. Equipment supports.
- C. Delegated-Design Submittal: For trapeze hangers indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
 1. Detailed fabrication and assembly of trapeze hangers.
 2. Design Calculations: Calculate requirements for designing trapeze hangers.

1.6 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.7 QUALITY ASSURANCE

- A. Structural Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.

PART 2 - PRODUCTS

2.1 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
 1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
 2. Galvanized Metallic Coatings: Pregalvanized or hot dipped.
 3. Nonmetallic Coatings: Plastic coating, jacket, or liner.
 4. Padded Hangers: Hanger with fiberglass or other pipe insulation pad or cushion to support bearing surface of piping.

5. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel.

B. Stainless-Steel Pipe Hangers and Supports:

1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
2. Padded Hangers: Hanger with fiberglass or other pipe insulation pad or cushion to support bearing surface of piping.
3. Hanger Rods: Continuous-thread rod, nuts, and washer made of stainless steel.

C. Copper Pipe Hangers:

1. Description: MSS SP-58, Types 1 through 58, copper-coated-steel, factory-fabricated components.
2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-coated steel or stainless steel.

2.2 TRAPEZE PIPE HANGERS

- A. Description: MSS SP-69, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

2.3 METAL FRAMING SYSTEMS

A. MFMA Manufacturer Metal Framing Systems:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. B-line, an Eaton business.
 - b. Flex-Strut Inc.
 - c. Thomas & Betts Corporation; A Member of the ABB Group.
 - d. Unistrut; Part of Atkore International.
 - e. Wesanco, Inc.
2. Description: Shop- or field-fabricated pipe-support assembly for supporting multiple parallel pipes.
3. Standard: MFMA-4.
4. Channels: Continuous slotted steel channel with intumed lips.
5. Channel Nuts: Formed or stamped steel nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
6. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel or stainless steel.
7. Metallic Coating: Electroplated zinc, Hot-dipped galvanized, Mill galvanized, In-line, hot galvanized, or Mechanically-deposited zinc.
8. Paint Coating: Epoxy or Alkyd.

9. Plastic Coating: PVC or Polyurethane.
10. Combination Coating: .

B. Non-MFMA Manufacturer Metal Framing Systems:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Anvil International.
 - b. ERICO International Corporation.
 - c. PHD Manufacturing, Inc.
2. Description: Shop- or field-fabricated pipe-support assembly made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
3. Standard: Comply with MFMA-4.
4. Channels: Continuous slotted steel channel with interned lips.
5. Channel Nuts: Formed or stamped steel nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
6. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel or stainless steel.
7. Coating: Zinc, Paint or PVC.

2.4 THERMAL-HANGER SHIELD INSERTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Clement Support Services.
 2. ERICO International Corporation.
 3. National Pipe Hanger Corporation.
 4. Pipe Shields Inc.
 5. Piping Technology & Products, Inc.
 6. Rilco Manufacturing Co., Inc.
- B. Insulation-Insert Material for Hot and cold Piping: Water-repellent treated, ASTM C 533, Type I calcium silicate with 100-psig minimum compressive strength.
- C. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- D. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.
- E. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

2.5 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened Portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
- B. Mechanical-Expansion Anchors: Insert-wedge-type, stainless- steel anchors, for use in hardened Portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

2.6 PIPE STANDS

- A. General Requirements for Pipe Stands: Shop- or field-fabricated assemblies made of manufactured corrosion-resistant components to support roof-mounted piping.
- B. Compact Pipe Stand: One-piece plastic unit with integral-rod roller, pipe clamps, or V-shaped cradle to support pipe, for roof installation without membrane penetration.
- C. Low-Type, Single-Pipe Stand: One-piece stainless-steel base unit with plastic roller, for roof installation without membrane penetration.
- D. High-Type, Single-Pipe Stand:
 - 1. Description: Assembly of base, vertical and horizontal members, and pipe support, for roof installation without membrane penetration.
 - 2. Base: Stainless steel.
 - 3. Vertical Members: Two or more cadmium-plated-steel or stainless-steel, continuous-thread rods.
 - 4. Horizontal Member: Cadmium-plated-steel or stainless-steel rod with plastic or stainless-steel, roller-type pipe support.
- E. High-Type, Multiple-Pipe Stand:
 - 1. Description: Assembly of bases, vertical and horizontal members, and pipe supports, for roof installation without membrane penetration.
 - 2. Bases: One or more; plastic.
 - 3. Vertical Members: Two or more protective-coated-steel channels.
 - 4. Horizontal Member: Protective-coated-steel channel.
 - 5. Pipe Supports: Galvanized-steel, clevis-type pipe hangers.
- F. Curb-Mounted-Type Pipe Stands: Shop- or field-fabricated pipe supports made from structural-steel shapes, continuous-thread rods, and rollers, for mounting on permanent stationary roof curb.

2.7 EQUIPMENT SUPPORTS

- A. Description: Welded, shop- or field-fabricated equipment support made from structural carbon-steel shapes.

2.8 MISCELLANEOUS MATERIALS

- A. Structural Steel: ASTM A 36/A 36M, carbon-steel plates, shapes, and bars; black and galvanized.
- B. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, non-shrink and nonmetallic grout; suitable for interior and exterior applications.
1. Properties: Non staining, noncorrosive, and nongaseous.
 2. Design Mix: 5000-psi, 28-day compressive strength.
- C. Flashing;
Metal Flashing: 26gage galvanized steel.
1. Metal Counter-flashing: 22 gage thick galvanized steel.
 2. Flexible Flashing: 47 mil thick sheet butyl or other material compatible with roofing. Verify with roofing manufacturer.
 3. Caps: Steel, 22-gage minimum; 16 gage at fire resistant elements.
 4. Provide and install sleeves for all penetrations in accordance with Division 1.
- D. Escutcheons;
1. Chrome plated cast brass escutcheons with set screws on all exposed piping at wall penetrations in finished spaces.
- E. Hanger Rods:
1. Hanger Rods: Hot rolled steel threaded both ends, threaded one end, or continuous threaded. In accordance with the following schedule.

HANGER ROD SIZE SCHEDULE	
Pipe Size (in)	Min Rod Dia (in)
3/4" to 2"	3/8"
1/2" to 3-1/2"	1/2"
4" to 5"	5/8"
6"	3/4"
8" to 12"	7/8"
14"	1"
16" to 18"	1-1/8"
20"	1-1/4"
24"	1-1/2"
30"	1-7/8"

2. Hanger spacing shall be in accordance with the following schedule for maximum allowable distance. Provide hanger all changes in direction.

PIPE SUPPORT SPACING SCHEDULE		
Pipe Material/ Size (in)	Maximum	Maximum Vertical

	Horizontal Spacing (ft)	Spacing (ft)
Steel		
Up to 1 ¼"	8	15
1 ½" to 2 ½"	10	15
3" and over	12	15
Copper Pipe	8	10
Copper Tubing		
Up to 1 ¼"	6	10
1 ½" and over	8	10
PVC / HDPE		
Up to 1"	3	10
1 1/4" and over	4	10

3. Fiberglass piping supports spacing shall be in accordance with the manufactures guidelines.

2.9 VIBRATION ISOLATION HANGERS

- A. Vibration isolation pipe hangers; pre-compressed and locked at the rated deflection by means of a resilient up-stop to keep the piping or equipment at a fixed elevation during installation. The hangers shall be designed with a release mechanism to free the spring after the installation is complete and the hanger is subjected to its full load. Deflection shall be clearly indicated by means of a scale. Submittals shall include a drawing of the hanger showing the 30° capability. Hangers shall be type PC30N as manufactured by Mason Industries, Inc

PART 3 - EXECUTION

3.1 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.
- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
 2. Field fabricate from ASTM A 36/A 36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.

- C. Metal Framing System Installation: Arrange for grouping of parallel runs of piping, and support together on field-assembled metal framing systems.
- D. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- E. Fastener System Installation:
 - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
 - 2. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- F. Pipe Stand Installation:
 - 1. Pipe Stand Types except Curb-Mounted Type: Assemble components and mount on smooth roof surface. Do not penetrate roof membrane.
 - 2. Curb-Mounted-Type Pipe Stands: Assemble components or fabricate pipe stand and mount on permanent, stationary roof curb. See Section 077200 "Roof Accessories" for curbs.
- G. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- H. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- I. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- J. Install lateral bracing with pipe hangers and supports to prevent swaying.
- K. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- L. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- M. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- N. Insulated Piping:
 - 1. Attach clamps and spacers to piping.
 - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.

- b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
 - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
- 2. Install MSS SP-58, Type 39, protection saddles on all piping with roller hangers installed outside of insulation. Fill interior voids with insulation that matches adjoining insulation.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier not on roller hangers. Shields shall span an arc of 180 degrees.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 4. Shield Dimensions for Pipe: Not less than the following:
 - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
 - b. NPS 4: 12 inches long and 0.06 inch thick.
 - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
 - d. NPS 8 to NPS 14: 24 inches long and 0.075 inch thick.
 - e. NPS 16 to NPS 24: 24 inches long and 0.105 inch thick.
- 5. Pipes NPS 8 and Larger: Include wood or reinforced calcium-silicate-insulation inserts of length at least as long as protective shield.
- 6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

3.2 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.
- D. All outdoor fasteners, nuts, bolts, washers, etc. and supports shall be galvanized steel.

3.3 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field weld connections cannot be shop welded because of shipping size limitations.

- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.4 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply cold galvanizing-repair paint to comply with ASTM A 780. ZRC cold galvanizing compound

3.6 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-69 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finish.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- E. Use carbon-steel pipe hangers and supports or metal trapeze pipe hangers and metal framing systems and attachments for general service applications.

- F. Use stainless-steel pipe hangers and stainless-steel attachments for hostile environment applications.
- G. Use copper-plated pipe hangers and copper or stainless-steel attachments for copper piping and tubing.
- H. Use padded hangers for piping that is subject to scratching.
- I. Use thermal-hanger shield inserts for insulated piping and tubing.
- J. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
 - 2. Yoke-Type Pipe Clamps (MSS Type 2): For suspension of up to 1050 deg F, pipes NPS 4 to NPS 24, requiring up to 4 inches of insulation.
 - 3. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes NPS 3/4 to NPS 36, requiring clamp flexibility and up to 4 inches of insulation.
 - 4. Pipe Hangers (MSS Type 5): For suspension of pipes NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
 - 5. Adjustable, Steel Band Hangers (MSS Type 7): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 6. Adjustable, Swivel-Ring Band Hangers (MSS Type 10): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 7. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 3.
 - 8. Pipe Saddle Supports (MSS Type 36): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate.
 - 9. Pipe Stanchion Saddles (MSS Type 37): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate, and with U-bolt to retain pipe.
 - 10. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes NPS 2-1/2 to NPS 36 if vertical adjustment is required, with steel-pipe base stanchion support and cast-iron floor flange.
 - 11. Single-Pipe Rolls (MSS Type 41): For suspension of pipes NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction might occur.
 - 12. Adjustable Roller Hangers (MSS Type 43): For suspension of pipes NPS 2-1/2 to NPS 24, from single rod if horizontal movement caused by expansion and contraction might occur.
 - 13. Complete Pipe Rolls (MSS Type 44): For support of pipes NPS 2 to NPS 42 if longitudinal movement caused by expansion and contraction might occur but vertical adjustment is not necessary.
 - 14. Pipe Roll and Plate Units (MSS Type 45): For support of pipes NPS 2 to NPS 24 if small horizontal movement caused by expansion and contraction might occur and vertical adjustment is not necessary.
 - 15. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes NPS 2 to NPS 30 if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.

- K. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- L. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel Turnbuckles (MSS Type 13): For adjustment up to 6 inches for heavy loads.
 2. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
 3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
 4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
 5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- M. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joist construction, to attach to top flange of structural shape.
 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
 4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
 5. Welded Beam Attachments (MSS Type 22): For attaching to bottom of beams if loads are considerable and rod sizes are large.
 6. C-Clamps (MSS Type 23): For structural shapes.
 7. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
 8. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
 9. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
 10. Malleable-Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
 11. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
 - a. Light (MSS Type 31): 750 lb.
 - b. Medium (MSS Type 32): 1500 lb.
 - c. Heavy (MSS Type 33): 3000 lb.
 12. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
 13. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.

- N. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
 3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- O. Spring Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Restraint-Control Devices (MSS Type 47): Where indicated to control piping movement.
 2. Spring Cushions (MSS Type 48): For light loads if vertical movement does not exceed 1-1/4 inches.
 3. Spring-Cushion Roll Hangers (MSS Type 49): For equipping Type 41, roll hanger with springs.
 4. Spring Sway Braces (MSS Type 50): To retard sway, shock, vibration, or thermal expansion in piping systems.
 5. Variable-Spring Hangers (MSS Type 51): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from hanger.
 6. Variable-Spring Base Supports (MSS Type 52): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from base support.
 7. Variable-Spring Trapeze Hangers (MSS Type 53): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from trapeze support.
 8. Constant Supports: For critical piping stress and if necessary to avoid transfer of stress from one support to another support, critical terminal, or connected equipment. Include auxiliary stops for erection, hydrostatic test, and load-adjustment capability. These supports include the following types:
 - a. Horizontal (MSS Type 54): Mounted horizontally.
 - b. Vertical (MSS Type 55): Mounted vertically.
 - c. Trapeze (MSS Type 56): Two vertical-type supports and one trapeze member.
 9. [Install vibration isolation hangers or supports on all piping connected to motor driven equipment for a distance of 20' or the first two hangers.](#)
- P. Comply with MSS SP-69 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- Q. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.
- R. Use powder-actuated fasteners or mechanical-expansion anchors instead of building attachments where required in concrete construction.

3.7 MISCELLANEOUS:

- A. Equipment bases and supports.
 - 1. Provide housekeeping pads of concrete, minimum 4 inches thick and extending 6 inches beyond supported equipment. Changers edges all four side. Provide dowels into concrete floor for equipment that is seismically braced.
 - 2. Provide templates, anchor bolts, and accessories for mounting and anchoring equipment. Provide for all equipment, pumps, air handling units, etc.
 - 3. Refer to 23 0548 Vibration controls for HVAC piping and piping and equipment for vibration inertia bases.
 - 4. Construct supports of steel members. Brace and fasten with flanges bolted to structure. Provide rigid anchors for pipes after vibration isolation components are installed.
- B. Flashing;
 - 1. Provide flexible flashing and metal counter-flashing where piping and ductwork penetrate weather or waterproofed walls, floors, and roofs.
 - 2. Flash piping projecting above finished roof surface with prefabricated steel reinforced boot and counter flashing sleeve.
- C. Sleeves;
 - 1. Sleeves are required for all piping passing through walls and/or slabs. Sleeve diameter to be large enough to accommodate insulated piping.
 - 2. Sleeves through interior non-fire rated walls are to have annular space between pipe and sleeve filled with materials specified in Division 1.
 - 3. Sleeves thru fire rated walls to have annular space filled with fire stopping wrapping strips and expanding caulking applied with a caulking gun for a minimum depth of 3" or in another manner suitable for the application as recommended by the manufacturer. See Division 1.
- D. Escutcheons:
 - 1. Provide escutcheons on all wall pipe penetrations that are visible outside MER spaces. All escutcheons shall be chrome plated.

END OF SECTION 230529

SECTION 230553 - IDENTIFICATION FOR HVAC PIPING EQUIPMENT

PART 1 GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Equipment labels
 - 2. Warning signs and labels.
 - 3. Pipe labels.
 - 4. Stencils.
 - 5. Valve tags.
 - 6. Warning tags.

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- D. Valve numbering scheme.
- E. Valve Schedules: For each piping system to include in maintenance manuals.

1.4 COORDINATION

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

PART 2 PRODUCTS

2.1 NAMEPLATES, TAGS, MARKERS, ETC

- A. Manufacturer: W.H. Brady Co., Signmark Div
- B. Acceptable manufacturers offering equivalent products
 - 1. Atlantic Engraving Company.
 - 2. Seton Name Plate Co.
 - 3. MSI Services
 - 4. Substitutions as per Contract Requirements.
- C. Description: Nameplates should be as specified in Division 1.

2.3 EQUIPMENT LABELS

- A. Metal Labels for Equipment:
 - 1. Material and Thickness: Brass 0.032-inch, stainless steel 0.025-inch, or aluminum, 0.032-inch or anodized aluminum, and having predrilled or stamped holes for attachment hardware.
 - 2. Letter Color: Black. As per ANSI depending on service.
 - 3. Background Color: White as per ANSI depending on service.
 - 4. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
 - 5. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
 - 6. Fasteners: Stainless-steel rivets or self-tapping screws.
 - 7. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), and the Specification Section number and title where equipment is specified.

2.4 PIPE AND LABELS

- A. General Requirements for Manufactured Pipe and duct Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- B. Pretensioned Pipe Labels: Pre-coiled, semirigid plastic formed to partially cover circumference of pipe and to attach to pipe without fasteners or adhesive.
- C. Self-Adhesive Labels: Printed plastic with contact-type, permanent-adhesive backing.
- D. Label Contents: Include identification of piping/duct service using same designations or abbreviations as used on drawings, pipe size, and an arrow indicating flow direction.

- E. Flow-Direction Arrows: Integral with piping/duct system service lettering to accommodate both directions, or as separate unit on each pipe label to indicate flow direction.
- F. Lettering Size: At least 1-1/2 inches high.

2.5 VALVE TAGS

- A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
 - 1. Tag Material: Brass, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
 - 2. Fasteners: Brass wire-link or beaded chain; or S-hook.

PART 3 EXECUTION

3.1 PREPARATION

- A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants

3.2 PIPE / DUCT LABEL INSTALLATION

- A. Painting of Pipe: all piping that does not receive insulation shall be painted with rust inhibiting machine enamel.
 - 1. Clean piping in accordance with paint manufactures recommendations. Remove all grease oil and surface rust before painting.
 - 2. Label piping as per ANSI color code
- B. Locate pipe/duct labels where piping and ducts are exposed and above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
 - 1. Near each valve and control device.
 - 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
 - 3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
 - 4. At access doors, manholes, and similar access points that permit view of concealed piping.
 - 5. Near major equipment items and other points of origination and termination.
 - 6. Spaced at maximum intervals of 25' along each run. Reduce intervals to 15 feet in areas of congested piping and equipment.
 - 7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.
- C. Directional Flow Arrows: provide directional flow arrows spaced at maximum intervals of 25' along each run. Reduce intervals to 15 feet in areas of congested piping and equipment.

3.3 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in all piping systems, except check valves; valves within factory-fabricated equipment units. List tagged valves in a valve schedule.

3.4 INSTALLATION

- A. Install tags, markers, etc. in conformance with Division 1.
- B. Unless otherwise specified, color shall conform with ANSI/ASME A13.1
- C. Install identifying devices after completion of coverings and painting.
- E. Install labels with sufficient adhesive to ensure permanent adhesion and seal with clear lacquer. For unfinished cloth covering, apply paint primer before applying labels.
- F. Install tags using corrosion resistant chain. Number tags consecutively by location.
- H. Identify all equipment, including pumps, air handlers, with nameplates. Small devices, such as in-line pumps, may be identified with metal tags. Identify service of all air handling units, ac units split and packaged units. I.E. Ground floor offices.
- I. Identify control panels and major control components outside panels with nameplates.
- J. Identify valves in main and branch piping with brass tags. Main shutoff valves for boilers shall be furnished with special wording as required by ASME IV HG 710.5 "Supply or Return Valve No. X - Do Not Close Without Also Closing Supply or Return Valve No. Y".
- K. Tag automatic controls, instruments, and relays. Key to control schematic.
- L. Identify piping, concealed or exposed, with markers. Use tags on piping 3/4 inch diameter and smaller. Identify service, flow direction, and pressure. Install in clear view and align with axis of piping. Locate identification not to exceed 20 feet on straight runs including risers and drops, adjacent to each valve and tee, at each side of penetration of structure or enclosure, and at each obstruction. Labeling shall be in conformance with OSHA and ANSI A13.1.
- M. Identify all ductwork every 20' with flow arrows and unit or air handler served as well as service, such as SUPPLY AIR, RETURN AIR, EXHAUST AIR. Etc
- N. All smoke purge system components (including supply and exhaust ductwork) shall be clearly identified as such by stenciling the function and zone on the components, e.g. Smoke Purge Supply - Zone 2; Smoke Purge Exhaust - Zone 3; Smoke Damper No. 5; etc. Stenciling shall be 6" high red letters located (every 10 feet along duct).
- O. Identify all Smoke Dampers and Fire Dampers. All dampers shall be sequentially numbered by floor. For example fire damper - FD-1-1 (Fire damper #1, floor 1) Tag

shall be 1" high red letters located on damper. Provide red dot stencil on ceiling below damper.

- P. Provide permanent labels for all controls and limits which state function of each control and control set-points.

END OF SECTION 230523

SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Balancing Air Systems:
 - a. Constant-volume air systems.
- 2. Balancing Hydronic Piping Systems:
 - a. Constant-flow hydronic systems.
 - b. Testing, Adjusting, and Balancing Equipment:
 - c. Air handling unit coils and fans
 - d. Duct leakage tests.
- 3. Control system verification.

1.3 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. BAS: Building automation systems.
- C. NEBB: National Environmental Balancing Bureau.
- D. TAB: Testing, adjusting, and balancing.
- E. TABB: Testing, Adjusting, and Balancing Bureau.
- F. TAB Specialist: An independent entity meeting qualifications to perform TAB work.
- G. TDH: Total dynamic head.

1.4 PREINSTALLATION MEETINGS

- A. TAB Conference: If requested by the engineer, conduct a TAB conference at Project site after approval of the TAB strategies and procedures plan to develop a mutual understanding of the details. Provide a minimum of 14 days' advance notice of scheduled meeting time and location.
 - 1. Minimum Agenda Items:
 - a. The Contract Documents examination report.
 - b. The TAB plan.
 - c. Needs for coordination and cooperation of trades and subcontractors.
 - d. Proposed procedures for documentation and communication flow.

1.5 ACTION SUBMITTALS

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: Within 30 days of Contractor's Notice to Proceed, submit documentation that the TAB specialist and this Project's TAB team members meet the qualifications specified in "Quality Assurance" Article.
- B. Contract Documents Examination Report: Within 30 days of Contractor's Notice to Proceed, submit the Contract Documents review report as specified in Part 3.
- C. Certified TAB reports.
- D. Sample report forms.
- E. Instrument calibration reports, to include the following:
 - 1. Instrument type and make.
 - 2. Serial number.
 - 3. Application.
 - 4. Dates of use.
 - 5. Dates of calibration.

1.7 QUALITY ASSURANCE

- A. TAB Specialists Qualifications: Certified by AABC NEBB or TABB.
 - 1. TAB Field Supervisor: Employee of the TAB specialist and certified by AABC or NEBB
 - 2. TAB Technician: Employee of the TAB specialist and certified by AABC or NEBB as a TAB technician.
- B. Instrumentation Type, Quantity, Accuracy, and Calibration: Comply with requirements in ASHRAE 111, Section 4, "Instrumentation."

1.8 FIELD CONDITIONS

- A. Full Owner Occupancy: Owner will occupy the site and existing building during entire TAB period. Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.
- B. Partial Owner Occupancy: Owner may occupy completed areas of building before Substantial Completion. Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems designs that may preclude proper TAB of systems and equipment.
- B. Examine installed systems for balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are applicable for intended purpose and are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine ceiling plenums and underfloor air plenums used for supply, return, or relief air to verify that they are properly separated from adjacent areas. Verify that penetrations in plenum walls are sealed and fire-stopped if required.
- F. Examine equipment performance data including fan and pump curves.
 - 1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
 - 2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems - Duct Design." Compare results with the design data and installed conditions.
- G. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.

- H. Examine test reports specified in individual system and equipment Sections.
- I. Examine HVAC equipment and verify that bearings are greased, belts are aligned and tight, filters are clean, and equipment with functioning controls is ready for operation.
- J. Examine strainers. Verify that startup screens have been replaced by permanent screens with indicated perforations.
- K. Examine control valves for proper installation for their intended function of throttling, diverting, or mixing fluid flows.
- L. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- M. Examine system pumps to ensure absence of entrained air in the suction piping.
- N. Examine operating safety interlocks and controls on HVAC equipment.
- O. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

3.2 PREPARATION

- A. Prepare a TAB plan that includes the following:
 - 1. Equipment and systems to be tested.
 - 2. Strategies and step-by-step procedures for balancing the systems.
 - 3. Instrumentation to be used.
 - 4. Sample forms with specific identification for all equipment.
- B. Perform system-readiness checks of HVAC systems and equipment to verify system readiness for TAB work. Include, at a minimum, the following:
 - 1. Airside:
 - a. Verify that leakage and pressure tests on air distribution systems have been satisfactorily completed.
 - b. Duct systems are complete with terminals installed.
 - c. Volume, smoke, and fire dampers are open and functional.
 - d. Clean filters are installed.
 - e. Fans are operating, free of vibration, and rotating in correct direction.
 - f. Variable-frequency controllers' startup is complete and safeties are verified.
 - g. Automatic temperature-control systems are operational.
 - h. Ceilings are installed.
 - i. Windows and doors are installed.
 - j. Suitable access to balancing devices and equipment is provided.
 - 2. Hydronics:

- a. Verify leakage and pressure tests on water distribution systems have been satisfactorily completed.
- b. Piping is complete with terminals installed.
- c. Water treatment is complete.
- d. Systems are flushed, filled, and air purged.
- e. Strainers are pulled and cleaned.
- f. Control valves are functioning per the sequence of operation.
- g. Shutoff and balance valves have been verified to be 100 percent open.
- h. Pumps are started and proper rotation is verified.
- i. Pump gage connections are installed directly at pump inlet and outlet flanges or in discharge and suction pipe prior to valves or strainers.
- j. Variable-frequency controllers' startup is complete and safeties are verified.
- k. Suitable access to balancing devices and equipment is provided.

3.3 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance" ASHRAE 111 NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems" SMACNA's "HVAC Systems - Testing, Adjusting, and Balancing" and in this Section.
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.
 1. After testing and balancing, install test ports and duct access doors that comply with requirements in Section 233300 "Air Duct Accessories."
 2. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish according to Section 230713 "Duct Insulation," Section 230716 "HVAC Equipment Insulation," and Section 230719 "HVAC Piping Insulation."
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in inch-pound (IP) units.

3.4 GENERAL PROCEDURES FOR BALANCING AIR ALL SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Cross-check the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.

- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.
- I. Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.
- K. Check for proper sealing of air-handling-unit components.
- L. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

3.5 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
 - 1. Measure total airflow.
 - a. Set outside-air, return-air, and relief-air dampers for proper position that simulates minimum outdoor-air conditions.
 - b. Where duct conditions allow, measure airflow by Pitot-tube traverse. If necessary, perform multiple Pitot-tube traverses to obtain total airflow.
 - c. Where duct conditions are not suitable for Pitot-tube traverse measurements, a coil traverse may be acceptable.
 - d. If a reliable Pitot-tube traverse or coil traverse is not possible, measure airflow at terminals and calculate the total airflow.
 - 2. Measure fan static pressures as follows:
 - a. Measure static pressure directly at the fan outlet or through the flexible connection.
 - b. Measure static pressure directly at the fan inlet or through the flexible connection.
 - c. Measure static pressure across each component that makes up the air-handling system.
 - d. Report artificial loading of filters at the time static pressures are measured.
 - 3. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
 - 4. Obtain approval from engineer for adjustment of fan speed higher or lower than indicated speed. Comply with requirements in HVAC Sections for air-handling units for adjustment of fans, belts, and pulley sizes to achieve indicated air-handling-unit performance.

5. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload occurs. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows.
1. Measure airflow of submain and branch ducts.
 2. Adjust submain and branch duct volume dampers for specified airflow.
 3. Re-measure each submain and branch duct after all have been adjusted.
- C. Adjust air inlets and outlets for each space to indicated airflows.
1. Set airflow patterns of adjustable outlets for proper distribution without drafts.
 2. Measure inlets and outlets airflow.
 3. Adjust each inlet and outlet for specified airflow.
 4. Re-measure each inlet and outlet after they have been adjusted.
- D. Verify final system conditions.
1. Re-measure and confirm that minimum outdoor, return, and relief airflows are within design. Readjust to design if necessary.
 2. Re-measure and confirm that total airflow is within design.
 3. Re-measure all final fan operating data, rpms, volts, amps, and static profile.
 4. Mark all final settings.
 5. Test system in economizer mode. Verify proper operation and adjust if necessary.
 6. Measure and record all operating data.
 7. Record final fan-performance data.

3.6 GENERAL PROCEDURES FOR ALL HYDRONIC SYSTEMS

- A. Prepare test reports for pumps, coils, and heat exchangers. Obtain approved submittals and manufacturer-recommended testing procedures. Crosscheck the summation of required coil and heat exchanger flow rates with pump design flow rate.
- B. Prepare schematic diagrams of systems' "as-built" piping layouts.
- C. In addition to requirements in "Preparation" Article, prepare hydronic systems for testing and balancing as follows:
1. Check liquid level in expansion tank.
 2. Check highest vent for adequate pressure.
 3. Check flow-control valves for proper position.
 4. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
 5. Verify that motor starters are equipped with properly sized thermal protection.
 6. Check that air has been purged from the system.

3.7 PROCEDURES FOR CONSTANT-FLOW HYDRONIC SYSTEMS

- A. Adjust balance valves to deliver total design gpm.
 - 1. Measure total water flow.
 - a. Position valves for full flow through coils.
 - b. Measure flow by main flow meter, if installed.
 - c. Determine flow by pump TDH or exchanger pressure drop.
 - 2. Monitor motor performance during procedures and do not operate motor in an overloaded condition.
- B. Adjust flow-measuring devices installed in mains and branches to design water flows.
 - 1. Measure flow in main and branch pipes.
 - 2. Adjust main and branch balance valves for design flow.
 - 3. Re-measure each main and branch after all have been adjusted.
- C. For systems with pressure-independent valves at terminals:
 - 1. Measure differential pressure and verify that it is within manufacturer's specified range.
 - 2. Perform temperature tests after flows have been verified.
 - 3. rature tests after flows have been verified.
- D. Verify final system conditions as follows:
 - 1. Re-measure and confirm that total water flow is within design.
 - 2. Re-measure final pumps' operating data, TDH, volts, amps, and static profile.
 - 3. Mark final settings.
- E. Verify that memory stops have been set.

3.8 PROCEDURES FOR MOTORS

- A. Motors 1/2 HP and Larger: Test at final balanced conditions and record the following data:
 - 1. Manufacturer's name, model number, and serial number.
 - 2. Motor horsepower rating.
 - 3. Motor rpm.
 - 4. Phase and hertz.
 - 5. Nameplate and measured voltage, each phase.
 - 6. Nameplate and measured amperage, each phase.
 - 7. Starter size and thermal-protection-element rating.
 - 8. Service factor and frame size.
- B. Motors Driven by Variable-Frequency Controllers: Test manual bypass of controller to prove proper operation.

3.9 PROCEDURES FOR HEAT-TRANSFER COILS

- A. Measure, adjust, and record the following data for each water coil:
 - 1. Entering- and leaving-water temperature.
 - 2. Water flow rate.
 - 3. Water pressure drop for major (more than 20 gpm) equipment coils, excluding unitary equipment such as reheat coils, unit heaters, and fan-coil units.
 - 4. Dry-bulb temperature of entering and leaving air.
 - 5. Wet-bulb temperature of entering and leaving air for cooling coils.
 - 6. Airflow.
- B. Measure, adjust, and record the following data for each electric heating coil:
 - 1. Nameplate data.
 - 2. Airflow.
 - 3. Entering- and leaving-air temperature at full load.
 - 4. Voltage and amperage input of each phase at full load.
 - 5. Calculated kilowatt at full load.
 - 6. Fuse or circuit-breaker rating for overload protection.
- C. Measure, adjust, and record the following data for each steam coil:
 - 1. Dry-bulb temperature of entering and leaving air.
 - 2. Airflow.
 - 3. Inlet steam pressure.
- D. Measure, adjust, and record the following data for each refrigerant coil:
 - 1. Dry-bulb temperature of entering and leaving air.
 - 2. Wet-bulb temperature of entering and leaving air.
 - 3. Airflow.

3.10 DUCT LEAKAGE TESTS

- A. All Ducts shall be sealed in accordance with specifications Section 23 31 13 Metal Ducts. All duct systems designed to operate at static pressures in excess of 3 inches w.g. or ductwork that is part of a life safety system, including smoke control, smoke purge, stair pressurization and all ductwork that is located outdoor shall be leak tested in accordance with the procedures given SMACNA HVAC Air Duct Leakage Test Manual.

Leakage and Seal Classification Table					
System operating pressure in wc			<2" low	2"≥med<3"	High≥3"
Seal Class			C	B	A
Sealing			Transverse joints	Transverse joints and seams	Transverse joints and seams and all wall penetrations
Leakage class CL factor - Rectangular me			24	12	4
Leakage class CL factor - round metal			12	6	3

- B. Air leakage rates must (*CL*) less than or equal to 4.0 as determined in accordance with Equations below
1. $CL = F/P^{0.65}$ where:
 2. *F* -The measured leakage rate in cfm per 100 square feet of duct surface.
 3. *P* -The static pressure of the test.
- C. **Documentation shall be furnished by the test and balancing contractor demonstrating that representative sections totaling at least 25 percent of the duct area have been tested and that all tested sections meet the requirements of this section.**
- D. Perform duct pressure testing in coordination with Installer.
- E. Verify that proper test methods are used and that leakage rates are within specified tolerances.
- F. Report; submit a report indicating which ductwork sections were tested. Provide single line or double line duct submittal drawing. The drawing shall indicate all systems in their entirety to 3/8" = 1'-0" scale. Sections that air leakage tested shall be clearly indicated.
- G. The report shall include full test procedure including how the ductwork was isolated for pressure testing, the pressure of the test and the duration of the test.
- H. Submit duct pressure test procedures used for this project.
- I. Submit summary of test results on a section by section basis, to include all CL, F,P and time.
- J. Witness the duct pressure testing performed by Installer.
- K. Verify that proper test methods are used and that leakage rates are within specified tolerances.
- L. Report deficiencies observed.

3.11 CONTROLS VERIFICATION

- A. In conjunction with system balancing, perform the following:
 - 1. Verify temperature control system is operating within the design limitations.
 - 2. Confirm that the sequences of operation are in compliance with Contract Documents.
 - 3. Verify that controllers are calibrated and function as intended.
 - 4. Verify that controller set points are as indicated.
 - 5. Verify the operation of lockout or interlock systems.
 - 6. Verify the operation of valve and damper actuators.
 - 7. Verify that controlled devices are properly installed and connected to correct controller.
 - 8. Verify that controlled devices travel freely and are in position indicated by controller: open, closed, or modulating.
 - 9. Verify location and installation of sensors to ensure that they sense only intended temperature, humidity, or pressure.
- B. Reporting: Include a summary of verifications performed, remaining deficiencies, and variations from indicated conditions.

3.12 PROCEDURES FOR TESTING, ADJUSTING, AND BALANCING EXISTING SYSTEMS

- A. Perform a preconstruction inspection of existing equipment that is to remain and be reused.
 - 1. Measure and record the operating speed, airflow, and static pressure of each fan.
 - 2. Measure motor voltage and amperage. Compare the values to motor nameplate information.
 - 3. Check the refrigerant charge.
 - 4. Check the condition of filters.
 - 5. Check the condition of coils.
 - 6. Check the operation of the drain pan and condensate-drain trap.
 - 7. Check bearings and other lubricated parts for proper lubrication.
 - 8. Report on the operating condition of the equipment and the results of the measurements taken. Report deficiencies.
- B. Before performing testing and balancing of existing systems, inspect existing equipment that is to remain and be reused to verify that existing equipment has been cleaned and refurbished. Verify the following:
 - 1. New filters are installed.
 - 2. Coils are clean and fins combed.
 - 3. Drain pans are clean.
 - 4. Fans are clean.
 - 5. Bearings and other parts are properly lubricated.
 - 6. Deficiencies noted in the preconstruction report are corrected.
- C. Perform testing and balancing of existing systems to the extent that existing systems are affected by the renovation work.

1. Compare the indicated airflow of the renovated work to the measured fan airflows, and determine the new fan speed and the face velocity of filters and coils.
2. Verify that the indicated airflows of the renovated work result in filter and coil face velocities and fan speeds that are within the acceptable limits defined by equipment manufacturer.
3. If calculations increase or decrease the airflow rates and water flow rates by more than 5 percent, make equipment adjustments to achieve the calculated rates. If increase or decrease is 5 percent or less, equipment adjustments are not required.
4. Balance each air outlet.

3.13 TOLERANCES

- A. Set HVAC system's airflow rates and water flow rates within the following tolerances:
 1. Supply, Return, and Exhaust Fans and Equipment with Fans: Plus or minus 10 percent.
 2. Air Outlets and Inlets: Plus or minus 10 percent.
 3. Heating-Water Flow Rate: Plus or minus 10 percent.
 4. Cooling-Water Flow Rate: Plus or minus 10 percent.
- B. Maintaining pressure relationships as designed shall have priority over the tolerances specified above.

3.14 PROGRESS REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for systems balancing devices. Recommend changes and additions to systems balancing devices to facilitate proper performance measuring and balancing. Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.
- B. Status Reports: Prepare progress reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

3.15 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
 1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
 2. Include a list of instruments used for procedures, along with proof of calibration.
 3. Certify validity and accuracy of field data.
- B. Final Report Contents: In addition to certified field-report data, include the following:

1. Pump curves.
2. Fan curves.
3. Manufacturers' test data.
4. Field test reports prepared by system and equipment installers.
5. Other information relative to equipment performance; do not include Shop Drawings and Product Data.

C. General Report Data: In addition to form titles and entries, include the following data:

1. Title page.
2. Name and address of the TAB specialist.
3. Project name.
4. Project location.
5. Architect's name and address.
6. Engineer's name and address.
7. Contractor's name and address.
8. Report date.
9. Signature of TAB supervisor who certifies the report.
10. Table of Contents with the total number of pages defined for each section of the report. Number each page in the report.
11. Summary of contents including the following:
 - a. Indicated versus final performance.
 - b. Notable characteristics of systems.
 - c. Description of system operation sequence if it varies from the Contract Documents.
12. Nomenclature sheets for each item of equipment.
13. Data for terminal units, including manufacturer's name, type, size, and fittings.
14. Notes to explain why certain final data in the body of reports vary from indicated values.
15. Test conditions for fans and pump performance forms including the following:
 - a. Settings for outdoor-, return-, and exhaust-air dampers.
 - b. Conditions of filters.
 - c. Cooling coil, wet- and dry-bulb conditions.
 - d. Face and bypass damper settings at coils.
 - e. Fan drive settings including settings and percentage of maximum pitch diameter.
 - f. Inlet vane settings for variable-air-volume systems.
 - g. Settings for supply-air, static-pressure controller.
 - h. Other system operating conditions that affect performance.

D. System Diagrams: Include schematic layouts of air and hydronic distribution systems. Present each system with single-line diagram and include the following:

1. Quantities of outdoor, supply, return, and exhaust airflows.
2. Water and steam flow rates.
3. Duct, outlet, and inlet sizes.
4. Pipe and valve sizes and locations.
5. Terminal units.
6. Balancing stations.

7. Position of balancing devices.

E. Air-Handling-Unit Test Reports: For air-handling units with coils, include the following:

1. Unit Data:

- a. Unit identification.
- b. Location.
- c. Make and type.
- d. Model number and unit size.
- e. Manufacturer's serial number.
- f. Unit arrangement and class.
- g. Discharge arrangement.
- h. Sheave make, size in inches, and bore.
- i. Center-to-center dimensions of sheave and amount of adjustments in inches.
- j. Number, make, and size of belts.
- k. Number, type, and size of filters.

2. Motor Data:

- a. Motor make, and frame type and size.
- b. Horsepower and rpm.
- c. Volts, phase, and hertz.
- d. Full-load amperage and service factor.
- e. Sheave make, size in inches, and bore.
- f. Center-to-center dimensions of sheave and amount of adjustments in inches.

3. Test Data (Indicated and Actual Values):

- a. Total airflow rate in cfm.
- b. Total system static pressure in inches wg.
- c. Fan rpm.
- d. Discharge static pressure in inches wg.
- e. Filter static-pressure differential in inches wg.
- f. Preheat-coil static-pressure differential in inches wg.
- g. Cooling-coil static-pressure differential in inches wg.
- h. Heating-coil static-pressure differential in inches wg.
- i. Outdoor airflow in cfm.
- j. Return airflow in cfm.
- k. Outdoor-air damper position.
- l. Return-air damper position.
- m. Vortex damper position.

F. Fan Test Reports: For supply, return, and exhaust fans, include the following:

1. Fan Data:

- a. System identification.
- b. Location.
- c. Make and type.

- d. Model number and size.
 - e. Manufacturer's serial number.
 - f. Arrangement and class.
 - g. Sheave make, size in inches, and bore.
 - h. Center-to-center dimensions of sheave and amount of adjustments in inches.
 - 2. Motor Data:
 - a. Motor make, and frame type and size.
 - b. Horsepower and rpm.
 - c. Volts, phase, and hertz.
 - d. Full-load amperage and service factor.
 - e. Sheave make, size in inches, and bore.
 - f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 - g. Number, make, and size of belts.
 - 3. Test Data (Indicated and Actual Values):
 - a. Total airflow rate in cfm.
 - b. Total system static pressure in inches wg.
 - c. Fan rpm.
 - d. Discharge static pressure in inches wg.
 - e. Suction static pressure in inches wg.
- G. Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
- 1. Report Data:
 - a. System and air-handling-unit number.
 - b. Location and zone.
 - c. Traverse air temperature in deg F.
 - d. Duct static pressure in inches wg.
 - e. Duct size in inches.
 - f. Duct area in sq. ft..
 - g. Indicated airflow rate in cfm.
 - h. Indicated velocity in fpm.
 - i. Actual airflow rate in cfm.
 - j. Actual average velocity in fpm.
 - k. Barometric pressure in psig.
- H. System-Coil Reports: For reheat coils and water coils of terminal units, include the following:
- 1. Unit Data:
 - a. System and air-handling-unit identification.
 - b. Location and zone.
 - c. Room or riser served.
 - d. Coil make and size.
 - e. Flowmeter type.

2. Test Data (Indicated and Actual Values):
 - a. Airflow rate in cfm.
 - b. Entering-water temperature in deg F.
 - c. Leaving-water temperature in deg F.
 - d. Water pressure drop in feet of head or psig.
 - e. Entering-air temperature in deg F.
 - f. Leaving-air temperature in deg F.

I. Instrument Calibration Reports:

1. Report Data:
 - a. Instrument type and make.
 - b. Serial number.
 - c. Application.
 - d. Dates of use.
 - e. Dates of calibration.

3.16 VERIFICATION OF TAB REPORT

- A. The TAB specialist's test and balance engineer shall conduct the inspection in the presence of commissioning authority.
- B. Commissioning authority shall randomly select measurements, documented in the final report, to be rechecked. Rechecking shall be limited to either 10 percent of the total measurements recorded or the extent of measurements that can be accomplished in a normal 8-hour business day.
- C. If rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
- D. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the testing and balancing shall be considered incomplete and shall be rejected.
- E. If TAB work fails, proceed as follows:
 1. TAB specialists shall recheck all measurements and make adjustments. Revise the final report and balancing device settings to include all changes; resubmit the final report and request a second final inspection.
 2. If the second final inspection also fails, Owner may contract the services of another TAB specialist to complete TAB work according to the Contract Documents and deduct the cost of the services from the original TAB specialist's final payment.
- F. Prepare test and inspection reports.

3.17 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional TAB during near-peak summer and winter conditions.

END OF SECTION 230593

SECTION 230713 - HVAC DUCTWORK INSULATION

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Ductwork insulation.
- B. Duct Liner.
- C. Insulation jackets.

1.02 RELATED SECTIONS

- A. Section 23 05 53 - Identification for HVAC Piping and Equipment.
- B. Section 23 31 13 - Ductwork.

1.03 REFERENCES

- A. ASTM C553 - Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications.
- B. ASTM C612 - Standard Specification for Mineral Fiber Block and Board Thermal Insulation.
- C. ASTM E84 - Standard Test Method for Surface Burning Characteristics of Building Materials.
- D. NAIMA National Insulation Standards.
- E. NFPA 255 - Standard Method of Test of Surface Burning Characteristics of Building Materials.
- F. SMACNA - HVAC Duct Construction Standards - Metal and Flexible.
- G. UL 723 - Standard for Test for Surface Burning Characteristics of Building Materials.
- H. ASHRAE 90-75 – Insulation Standards

1.04 SUBMITTALS

- A. Division 1 - Submittals: Procedures for submittals.
- B. Product Data: Provide product description, thermal characteristics, list of materials and thickness for each service, and locations.
- C. Submit manufacturers' insulation instructions under provisions of Division 1.

1.05 QUALITY ASSURANCE

- A. Applicator Qualifications: Company specializing in performing the work of this section with minimum three years experience approved by manufacturer.

1.06 REGULATORY REQUIREMENTS

- A. Materials: Flame spread/fuel contributed/smoke developed rating of 25/50/50 in accordance with NFPA 255.
- B. Insulation thickness shall comply with all applicable energy conservation codes.

1.07 ENVIRONMENTAL REQUIREMENTS

- A. Maintain ambient temperatures and conditions required by manufacturers of adhesives, mastics, and insulation cements.
- B. Maintain temperature during and after installation for minimum period of 24 hours.

PART 2 PRODUCTS

2.01 ACCEPTABLE MANUFACTURERS - INSULATION

- A. Owen Corning Fiberglass Corp.
- B. Manville Industrial Products
- C. Certain Teed Corporation
- D. 3M Corporation "Firemaster" for Kitchen Exhaust
- E. AEROFLEX
- F. AP ARMAFLEX

2.02 GLASS FIBER, RIGID

- A. **Type A:** Flexible glass fiber duct insulation; ANSI/ASTM C612; commercial grade; "K" value of 0.25 at 75° F; minimum density of 1-1/2 pounds per cu. ft.; factory applied vapor barrier jacket of 0.7 mil minimum aluminum foil laminated to glass fiber reinforced Kraft paper. Similar to Owens-Corning type FRK-25-ED Type 150 commercial grade.
- B. **Type B:** Rigid glass fiber board insulation with resin binder; ANSI/ASTM C612, Class 1; "K" value of 0.23 at 75° F minimum density of 6 pounds per cu. ft; factory applied white Kraft faced flame retardant vapor barrier jacket of aluminum laminated to heavy Kraft paper with a flame retardant snuffer type adhesive and reinforced with glass fibers; permeability of 0.2. Similar to Owens-Corning type 705 with AST jacket.

- C. **Type C:** Molded block or board insulation made of asbestos free hydrous calcium silicate; “K” value of 0.42 at 200° F; minimum density of 14 pounds per cubic foot; temperature range up to 1200° F.

D. **Type D: Flexible Glass Duct Liner:**

Flexible Glass Duct Liner ANSI/ASTM C553; “K” value of 0.23 at 75° F; minimum density of 1.5 pounds per cu. ft.; surface finish of black pigmented fire resistant resilient mastic coated on air side for maximum velocity of 4000 feet per minute.

- a. Maximum Thermal Conductivity
 - 1) Type I, Flexible: 0.27 Btu x in./h x sq. ft. x deg F at 75 deg F mean temperature.
 - 2) Type II, Rigid: 0.23 Btu x in./h x sq. ft. x deg F at 75 deg F mean temperature.
- b. Antimicrobial Erosion-Resistant Coating: Apply to the surface of the liner that will form the interior surface of the duct to act as a moisture repellent and erosion-resistant coating. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.
- c. Solvent Water-Based Liner Adhesive: Comply with NFPA 90A or NFPA 90B and with ASTM C 916.

E **Type E: Flexible Elastomeric Insulation:**

1. Elastomeric Duct Insulation with Pressure-Sensitive Adhesive (PSA): “AEROFLEX Breathe-EZ Duct Insulation PSA”.
 - a. Description: Listed & labeled, ULS Technology, EPDM-rubber-based, fiber-free, microbial-resistant, acoustic, flexible, closed-cell, lightweight, elastomeric duct liner with PSA.
 - b. Thicknesses: 2 inches.
 - c. Joint Closure: Apply “AEROFLEX Protape” over seams sealed with “AEROFLEX Aeroseal LVOC Black” adhesive.
 - d. Approval/Conformance:
 - 1) ASTM C 1534, Type I.
 - 2) ASTM G 21, fungal resistance.
 - 3) CDPH v1.2-2017, VOC emissions.
 - 4) International Mechanical Code, listed & labeled within plenums.
 - 5) NFPA 90A.
 - 6) NFPA 90B.
 - 7) UL 181, Section 12 mold growth/humidity.
 - 8) UL 181, Section 17 air erosion.
 - 9) NY City MEA 171-04-M.
 - 10) Energy code requirements of IECC and ASHRAE for R-8 duct insulation at 2-inch wall thickness.
 - e. Thermal Conductivity, ASTM C 177 and C 518:
 - 1) Mean Temperature 75 Degrees F 0.255 BTU-in/hr-ft²-degree F.
 - f. Service Temperature, Continuous, Self-Adhering Insulation, ASTM C 411:
 - 1) Upper: 248 degrees F
 - 2) Lower: Minus 22 degrees F
 - g. Fire Safety Characteristics, Through 2-Inch Thickness:
 - 1) UL 94: Class V-0.

- 2) Flame Spread Index, ASTM E 84, UL 723, CAN/ULC-S102: Maximum 25.
 - 3) Smoke Developed Index, ASTM E 84, UL 723, CAN/ULC-S102: Maximum 50.
 - 4) ASTM D 635: Self-extinguishing.
 - h. Water Absorption, Maximum, ASTM C 209: 0.2 percent by volume.
 - i. Water Vapor Permeability, Maximum, ASTM E 96: 0.08 perm-inch.
 - j. Dimensional Stability, Maximum, ASTM C 356: 7 percent.
 - k. Odor Emission, ASTM C 1304: Pass.
 - l. Corrosiveness, ASTM C 665 and C 692, DIN 1988: Pass.
 - m. Fungi/Mold Resistance, ASTM C 1338 and G 21, UL 181: No growth.
 - n. VOC Emissions, CDPH v1.2-2017: less than or equal to 0.5 mg/m³.
 - o. Erosion Resistance, ASTM C 1071, UL 181: Pass.
 - p. Noise Reduction Coefficient, ASTM C 423:
 - 1) 1-Inch Thickness: 0.45.
 - 2) 1-1/2-Inch Thickness: 0.50.
 - 3) 2-Inch Thickness: 0.55.
 - q. UV Resistance, ASTM G 7: Minimal cracking.
 - r. Ozone Resistance, ASTM D 1171: No cracking.
 - s. Nitrosamine Content, US FDA CPG 7117.11, BS EN 12868: None detected.
 - t. R-Value:
 - 1) 1-Inch Thickness: 4.2.
 - 2) 1-1/2-Inch Thickness: 6.3.
 - 3) 2-Inch Thickness: 8.3.
 - u. Pressure-Sensitive Adhesive (PSA):
 - 1) Adhesive: Scrim-reinforced, acrylic, pressure-sensitive adhesive.
 - 2) Adhesive Thickness, PSTC-133: 3.0 mils.
 - 3) Peel Adhesion, PSTC-101: 116 oz/inch.
 - 4) Shear Strength, PSTC-107: Greater than 6 hours.
 - 5) Application Temperature, Minimum: Minus 15 degrees F.
 - 6) Service Temperature, Maximum, Continuous: 248 degrees F.
- F. **Type F:** – Fire resistant duct wrap consisting of light weight, non-asbestos high temperature non-organic ceramic fiber blanket encapsulated in foil/scrim having a service temperature rating of 2300° F. Wrap shall be applied in two temperature layers to provide a two-hour rated enclosure assembly. Bonding material shall be 304 stainless steel, 3/4" wide and .015" thick.
- G. Adhesives: Waterproof fire-retardant type. Smoke and flame spread rating less than 50.
- H. Indoor Jacket: Pre-sized glass cloth, minimum 7.8 oz/sq. yd unless otherwise specified above.
- I. Outdoor Jackets: All exterior ductwork shall be jacketed as per the specification and jacket with Alumaguard Cool Wrap by Polyguard. For watertight insulation jacket install as per manufacturers recommendations. Furnish all mastics and adhesives as per manufacture system.

2.3 SEALANT AND GASKETS

- A General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.

B Two-Part Tape Sealing System:

1. Tape: Woven cotton fiber impregnated with mineral gypsum and modified acrylic/silicone activator to react exothermically with tape to form hard, durable, airtight seal.
2. Tape Width: 4 inches.
3. Sealant: Modified styrene acrylic.
4. Water resistant.
5. Mold and mildew resistant.
6. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
7. Service: Indoor and outdoor.
8. Service Temperature: Minus 40 to plus 200 deg F.
9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum.

C Water-Based Joint and Seam Sealant:

Application Method: Brush on.

Solids Content: Minimum 65 percent.

Shore A Hardness: Minimum 20.

Water resistant.

Mold and mildew resistant.

VOC: Maximum 75 g/L (less water).

Maximum Static-Pressure Class: 10-inch wg, positive and negative.

Service: Indoor or outdoor.

Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.

D Solvent-Based Joint and Seam Sealant:

Application Method: Brush on.

Base: Synthetic rubber resin.

Solvent: Toluene and heptane.

Solids Content: Minimum 60 percent.

Shore A Hardness: Minimum 60.

Water resistant.

Mold and mildew resistant.

Maximum Static-Pressure Class: 10-inch wg, positive or negative.

Service: Indoor or outdoor.

Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.

E Flanged Joint Sealant: Comply with ASTM C 920.

General: Single-component, acid-curing, silicone, elastomeric.

Type: S.
Grade: NS.
Class: 25.
Use: O.

Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Install insulation materials only after ductwork has been sealed, tested and approved.
- B. All insulated surfaces are to be cleaned and dried of any foreign material. This includes but is not limited to oil, water, dirt, rust and scale. Completely cover the entire surface to present a tight, smooth appearance.

3.02 INSTALLATION

- A. Division 1 - Quality Control: Install materials in accordance with manufacturer's instructions, specification requirements and in compliance with local code
 - B. Install in accordance with NAIMA National Insulation Standards.
 - C. Apply insulation in such a way as to permit expansion and/or contraction of metal without causing damage to insulation, joints, seams or finish.
 - D. Do not apply additional coats of mastic, adhesive, or sealers until previous coats have thoroughly dried.
 - E. Fill in all surface imperfections such as chipped edges, small joints, cracks, holes and small voids with materials to match insulation. Make smooth with a skim coat of insulation cement. Extend surface finish to protect all surfaces and leave no exposed edges.
 - F. Provide flashing for insulation installed outdoors to enclose all exposed edges or ends.
 - G. Repair existing insulation where damaged by new work. Use materials to match existing.
 - H. Cut, score or miter insulation to fit the slope and contour of surface to be covered. Insulation up to 3 inches thick to be applied in single layer. Over 3 inches apply in multiple layers, with joints staggered.
- A. Insulation Pins and Washers:
- 1. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.

2. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick galvanized steel, aluminum, or stainless steel to match ductwork; with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
- B. Shop Application of Duct Liner: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 7-11, "Flexible Duct Liner Installation."
1. Adhere a single layer of indicated thickness of duct liner with at least 90 percent adhesive coverage at liner contact surface area. Attaining indicated thickness with multiple layers of duct liner is prohibited.
 2. Apply adhesive to transverse edges of liner facing upstream that do not receive metal nosing.
 3. Butt transverse joints without gaps, and coat joint with adhesive.
 4. Fold and compress liner in corners of rectangular ducts or cut and fit to ensure butted-edge overlapping.
 5. Do not apply liner in rectangular ducts with longitudinal joints, except at corners of ducts, unless duct size and dimensions of standard liner make longitudinal joints necessary.
 6. Apply adhesive coating on longitudinal seams in ducts with air velocity of 2500 fpm.
 7. Secure liner with mechanical fasteners 4 inches from corners and at intervals not exceeding 12 inches transversely; at 3 inches from transverse joints and at intervals not exceeding 18 inches longitudinally.
 8. Secure transversely oriented liner edges facing the airstream with metal nosings that have either channel or "Z" profiles or are integrally formed from duct wall. Fabricate edge facings at the following locations:
 - a. Fan discharges.
 - b. Intervals of lined duct preceding unlined duct.
 - c. Upstream edges of transverse joints in ducts where air velocities are higher than 2500 fpm or where indicated.
 9. Terminate inner ducts with buildouts attached to fire-damper sleeves, dampers, turning vane assemblies, or other devices. Fabricated buildouts (metal hat sections) or other buildout means are optional; when used, secure buildouts to duct walls with bolts, screws, rivets, or welds.

3.03 HVAC DUCT INSULATION SCHEDULE

<u>Service</u>	<u>Type</u>	<u>Insulation Thickness</u>
Interior AC systems; SA, RA ductwork that is exposed in <u>equip rooms</u> .	B	2"
Exterior AC systems; SA, RA ductwork that that is exposed outdoors.	E	2"
Acoustically line all SA & RA ductwork As indicated on plan	D	1/2"
Smoke purge exhaust and supply	E	2"

1. Insulation Thickness shall be no less than the size indicated or the height of standing seams or angle bracing.
2. Smoke purge system supply and exhaust ducts passing through a rated Exit-way or within a fire-rated suspended ceiling assembly and all Kitchen Hood exhaust ducts shall be wrapped with thermal fiber - two (2) hour or encased in a two (2) hour rated enclosure. Trapeze hangers to be outside of thermal wrapping.
3. All ductwork shall be insulated and jacketed.

END OF SECTION 230713

SECTION 230719 - HVAC PIPING INSULATION

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Piping insulation
- B. Jackets and accessories

1.02 RELATED SECTIONS

- A. Section 23 05 53 – Identification for HVAC Piping and Equipment.
- B. Section 23 21 13 - Hydronic Piping.

1.03 REFERENCES

- A. ASTM C177 - Standard Test Method for Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Guarded Hot Plate Apparatus
- B. ASTM C195 - Standard Specification for Mineral Fiber Thermal Insulating Cement.
- C. ASTM C449/C449M - Standard Specification for Mineral Fiber Hydraulic-Setting Thermal Insulating and Finishing Cement
- D. ASTM C518 - Standard Test Method for Steady-State Heat Flux Measurements and Thermal Transmission Properties by Means of the Heat Flow Meter Apparatus
- E. ASTM C533 - Standard Specification for Calcium Silicate Block and Pipe Thermal Insulation.
- F. ASTM C547 - Standard Specification for Mineral Fiber Preformed Pipe Insulation
- G. ASTM C552 - Standard Specification for Cellular Glass Thermal Insulation.
- H. ASTM C578 - Standard Specification for Preformed, Cellular Polystyrene Thermal Insulation
- I. ASTM C610 - Standard Specification for Expanded Perlite Block and Pipe Thermal Insulation
- J. ASTM E84 - Standard Test Method for Surface Burning Characteristics of Building Materials
- K. NAIMA National Insulation Standards

- L. NFPA 255 - Standard Method of Test of Surface Burning Characteristics of Building Materials
- M. UL 723 - Standard for Test for Surface Burning Characteristics of Building Materials.
- N. ASHRAE 90-75 - Insulation Standards

1.04 SUBMITTALS FOR REVIEW

- A. Division 1 – Submittal Requirements.
- B. Product Data: Provide product description, thermal characteristics, list of materials and thickness for each service, and locations.
- C. Manufacturer's Instructions: Indicate installation procedures that ensure acceptable workmanship and installation standards will be achieved.

1.05 QUALITY ASSURANCE

- A. Manufacturer Qualifications: Company specializing in manufacturing the Products specified in this section with minimum three years experience.

1.06 REGULATORY REQUIREMENTS

- A. Conform to maximum flame spread/smoke developed rating of 25/50 in accordance with NFPA 255
- B. Insulation thickness shall comply with applicable Energy Conservation Codes.

PART 2 PRODUCTS

2.01 ACCEPTABLE MANUFACTURERS

- A. Pittsburgh Corning Corporation
- B. Certainteed Corporation.
- C. Armstrong Corporation.
- D. Manville Industrial Products.
- E. Owens-Corning Fiberglass Corporation
- F. Aeroflex
- G. Polyguard

2.02 INSULATION

- A. Type A: Molded glass fiber insulation; ANSI/ASTM C547; 'k' value of 0.23 at 75° F; noncombustible. Minimum density of 3.5 lbs./cu. Ft.; temperature range 35° F to 450° F.
- B. Type B: Cellular glass insulation; ASTM C552; 'K' Value of 0.53 at 75°F; non-combustible. Minimum density of 8.0 lbs./cu. ft. similar to Pittsburgh Corning Foamglas.
- C. Type C: Elastomeric insulation; ASTM C518, C177; 'K' Value of 0.27 at 75°F; non-combustible. Similar to Armstrong “AP Armaflex”; temperature range -40° F to 220° F or Aeroflex self seal
- D. Elastomeric Tube Insulation: “AEROFLEX Self-Seal”.
 - 1. Description: Factory-split tube, flexible, closed-cell, lightweight, EPDM-rubber-based, elastomeric pipe insulation with factory-applied, double-closure, sealing system.
 - 2. Wall Thicknesses: 3/8 inch, 1/2 inch, 3/4 inch, 1 inch, 1-1/2 inches, 2 inches.
 - 3. Joint Closure: Apply “AEROFLEX Protape” over seams sealed with “AEROFLEX Cel-Link II”, “AEROFLEX Aero seal”, “AEROFLEX REF 1520”, or “AEROFLEX Aero seal LVOC Black” adhesives.
 - 4. Colors: Black and White/Gray.
 - 5. Approval/Conformance:
 - a. ASTM C 534, Type I, Grade 1. b. ASTM E 84/UL 723, 25/50.
 - b. CDPH Standard Method v1.2-2017, VOC Emissions.
 - c. HPDC, HPD Open Standard v2.3.
 - d. ISO 14025, Product-Specific Type III Environmental Product Declaration.
 - e. NFPA 90A.
 - f. NFPA 90B.
 - g. UL 181, Section 13 mold growth/humidity.
 - h. UL 181, Section 18 air erosion.
 - i. NY City MEA 171-04-M.
 - j. Energy code requirements of IECC and ASHRAE for R-4 refrigeration piping at 1-inch wall thickness.
 - 6. Thermal Conductivity, ASTM C 177 and C 518:
 - a. Mean Temperature Minus 4 Degrees F (Minus 20 Degrees C): 0.22 BTU-in/hr-ft²-degree F.
 - b. Mean Temperature 32 Degrees F (0 Degrees C): 0.23 BTU-in/hr-ft²-degree F.
 - c. Mean Temperature 75 Degrees F (24 Degrees C): 0.245 BTU-in/hr-ft²-degree F.
 - d. Mean Temperature 90 Degrees F (32 Degrees C): 0.25 BTU-in/hr-ft²-degree F.
 - e. Mean Temperature 104 Degrees F (40 Degrees C): 0.265 BTU-in/hr-ft²-degree F.
 - 7. Service Temperature, Continuous, ASTM C 411:
 - a. Upper: 257 degrees F (125 degrees C).
 - b. Lower: Minus 297 degrees F (Minus 183 degrees C).
 - 8. VOC Emissions, CDPH v1.2-2017: less than or equal to 0.5 mg/m3.
 - 9. UV Resistance, ASTM G 7: Minimal cracking.
 - 10. Ozone Resistance, ASTM D 1171: No cracking.
 - 11. Water Vapor Permeability, Maximum, ASTM E 96: 0.02 perm-inch.
 - 12. Water Absorption, Maximum, ASTM C 209: 0.2 percent by volume.
 - 13. Fire Safety Characteristics, Through 2-Inch Thickness:
 - a. UL 94: Class V-0.
 - b. Flame Spread Index, ASTM E 84/UL 723: Less than 25.
 - c. Smoke Developed Index, ASTM E 84/UL 723: Less than 50.
 - d. ASTM D 635: Self-extinguishing.

14. Corrosion of Stainless Steel, ASTM C 692, DIN 1988: Non-corrosive.
15. Nitrosamine Content, US FDA CPG 7117.11, BS EN 12868: None detected.
16. Flexibility, ASTM C 534: Pass.

2.03 JACKETS

A. Interior Applications:

1. Insulation Type A - Factory applied, white, flame retardant, all service (ASJ) vapor barrier jacket of .001" aluminum foil laminated to Kraft paper with a flame-retardant snuffer type adhesive reinforced with glass fibers and having a self sealing lap. Provide 2" longitudinal lap and 4" circumferential sealing strips. Permeability .02 perm.

B. Exterior Applications:

1. Insulation thickness as scheduled, [jacket with Alumaguard Cool Wrap by Polyguard](#), rubberized bitumen membrane designed specifically to be installed over insulation on exterior piping, tanks, vessels, and equipment. The membrane shall be 'peel and stick', self-healing if punctured, UV stable, and will expand and contract with the mechanical system. All seams shall be sealed watertight. Permeability .0053 perm.

C. All exposed piping in the baggage handling area or any space:

1. All exposed piping and fittings shall be completely covered with white Zeston 2000 PVC insulated piping and fitting covers. Apply as per manufacturer with perma weld adhesive.

2.04 ACCESSORIES

- A. Insulation Bands: ¾" wide; 0.007 inch thick aluminum.
- B. Metal Jacket Bands: 3/8" wide; 0.015 inch thick aluminum.
- C. Insulating Cement: ANSI/ASTM C195; hydraulic setting mineral wool.
- D. Finishing Cement: ASTM C449
- E. Fibrous Glass Cloth: Untreated; 9 oz/sq. yd weight.
- F. Adhesives: Compatible with insulation and fire retardant.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Verify that piping has been tested before applying insulation materials.
- B. Verify that surfaces are clean and dry, with all foreign material removed. This includes but is not limited to water, oil, dirt, scale and rust.
- D. Only insulation and finish materials including adhesive cements and mastic which conform to the requirements of all-governing codes and ordinances shall be used.

3.02 INSTALLATION

- A. Division 1 – Quality Control: Install materials in accordance with manufacturer's instructions and the best practice of the trade.
- B. Install in accordance with NAIMA National Insulation Standards.
- C. Insulation on all piping shall be vapor sealed. On insulated piping with vapor barrier, insulate all fittings, valves, unions, flanges, strainers, flexible connections, and expansion joints. Vapor seal all exposed edges with jacket material and vapor barrier type adhesive.
- D. Repair or replace any existing insulation and surface finish disturbed or damaged by installation of new work using materials to match existing.
- E. Apply insulation to completely cover metal surface. Surface shall be applied to present a tight, smooth appearance.
- F. Exposed Piping: Locate insulation and cover seams in least visible locations.
- G. For hot piping conveying fluids 140 degrees F or less, and on insulated piping without vapor barrier, do not insulate flanges and unions at equipment, but bevel and seal ends of insulation.
- H. For hot piping conveying fluids over 140 degrees F, insulate flanges and unions at equipment.
- I. Neatly finish insulation at supports, protrusions, and interruptions.
- J. Do not use staples on vapor barrier insulation.
- L. Inserts and Shields:
 - 1. Application: All insulated Piping 2 inches diameter or larger shall be installed with inserts and shields as follows.
 - 2. Shields: Galvanized steel between pipe hangers or pipe hanger rolls and inserts.
 - 3. Insert location: Between support shield and piping and under the finish jacket.
 - 4. Insert configuration: Minimum 6 inches long, of same thickness and contour as adjoining insulation; may be factory fabricated.
 - 5. Insert material: Hydrous calcium silicate insulation or other heavy density insulating material suitable for the planned temperature range.

- M. Continue insulation through walls, sleeves, pipe hangers, and other pipe penetrations. Finish at supports, protrusions, and interruptions.

N PENETRATIONS

- E. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.

1. Seal penetrations with flashing sealant.
2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
4. Seal jacket to wall flashing with flashing sealant.

- F. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

- G. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.

1. Comply with requirements in Division 7 Section "Through-Penetration Firestop Systems" for firestopping and fire-resistive joint sealers.

- H. Insulation Installation at Floor Penetrations:

1. Pipe: Install insulation continuously through floor penetrations.
2. Seal penetrations through fire-rated assemblies. Comply with requirements in Division 7 Section "Through-Penetration Firestop Systems."

3.4 GENERAL PIPE INSULATION INSTALLATION

- D. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.

- E. Insulation Installation on Fittings, Valves, Strainers, Flanges, and Unions:

1. Install insulation over fittings, valves, strainers, flanges, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.

3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
 5. Insulate strainers using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
 6. Insulate flanges and unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.
 7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
 8. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.
 9. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.
- F. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.
- G. Install removable insulation covers at locations indicated. Installation shall conform to the following:
1. Make removable flange and union insulation from sectional pipe insulation of same thickness as that on adjoining pipe. Install same insulation jacket as adjoining pipe insulation.
 2. When flange and union covers are made from sectional pipe insulation, extend insulation from flanges or union long at least two times the insulation thickness over adjacent pipe insulation on each side of flange or union. Secure flange cover in place with stainless-steel or aluminum bands. Select band material compatible with insulation and jacket.
 3. Construct removable valve insulation covers in same manner as for flanges, except divide the two-part section on the vertical center line of valve body.

4. When covers are made from block insulation, make two halves, each consisting of mitered blocks wired to stainless-steel fabric. Secure this wire frame, with its attached insulation, to flanges with tie wire. Extend insulation at least 2 inches (50 mm) over adjacent pipe insulation on each side of valve. Fill space between flange or union cover and pipe insulation with insulating cement. Finish cover assembly with insulating cement applied in two coats. After first coat is dry, apply and trowel second coat to a smooth finish.

3.05 INSULATION SCHEDULE

Chilled and hot water piping

Indoor piping; Type -A.	2"
Outdoor Piping Type C.	2"

Cold water piping and Make up

Indoor piping; Type -A.	1"
Outdoor Piping Type C.	1"

END OF SECTION 230719

SECTION 230923 – DIRECT DIGITAL CONTROL SYSTEM

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Part 1 – General

1.A General Requirements

1. The BMS Contractor shall thoroughly review all aspects of the BMS and shall furnish, install, program, and test all products and functionality as listed within this specification without exception. If any deviations or variances are encountered during the review, Contractor shall submit them 30 days before the bid to the engineer in the form of a full specification compliance statement. indicating in the left margin the following:
2. **The BMS system all parts hardware and software must be Johnsons Metasys system.**

3. **The controls contract is a Delegated design. That means that the controls contractor for all points, alarms, hardware software and wiring to achieve the sequence operation in the air handling unit section.**

1.B Related Documents

1. All work of this Division shall be coordinated and provided by the single BMS Contractor.
2. The work of this Division shall be scheduled, coordinated, and interfaced with the associated work of other trades. Reference the applicable sections for details.
3. The work of this Division shall be as required by the Specifications, Point Schedules and Drawings.
4. If the BMS Contractor believes there are conflicts or missing information in the project documents, the Contractor shall promptly request clarification and instruction from the design team.

1.C BMS System Description

1. The BMS shall be a complete system designed for use with the enterprise IT systems. This functionality shall extend into the equipment rooms. Devices residing on the automation network located in equipment rooms and similar shall be fully IT compatible devices that mount and communicate directly on the Owner's IT infrastructure in the facility. Contractor shall be responsible for coordination with the owner's IT staff to ensure that the BMS will perform in the owner's environment without disruption to any of the other activities taking place on that LAN.
2. The BMS automation network shall have a means of remotely communicating via a wireless internet connection with an application data server on a temporary basis until Owner's IT infrastructure is installed and commissioned. This allows the BMS work and testing to be executed prior to permanent internet service is established in the building. Further details of this functionality are specified in Part 2.
3. Any and all components of the BMS that are connected via field buses or Owner's IT network, including the network controllers, field controllers, application specific controllers, server and user interface software, system and controller programming tools and software applications shall be designed, engineered, and tested to work together as a complete building management system, and shall all be developed, supported and manufactured by the same BMS manufacturer. **Systems that use or require network controllers, field controllers, application specific controllers, server and user interface software, programming tools and software from more than one BMS manufacturer are not permitted.**
4. All points of user interface shall be on standard computing devices that do not require the purchase of any special software from the BMS manufacturer for use as a building operations terminal. The primary point of interface on these devices will be a standard Web Browser.
5. The work of the single BMS Contractor shall be as defined individually and collectively in all Sections of this Division specification together with the associated Point Sheets and Drawings and the associated interfacing work as referenced in the related documents.
6. The BMS work shall consist of the provision of all labor, programming, hardware, materials, tools, equipment, software, software licenses, software configurations and database entries, interfaces, wiring, tubing, installation, labeling, engineering, calibration, documentation, samples, submittals, testing, commissioning, training services, permits and licenses, transportation, shipping, handling, administration, supervision, management, insurance, temporary protection, cleaning, cutting and patching, warranties, services, and items, even

though these may not be specifically mentioned in these Division documents which are required for the complete, fully functional and commissioned BMS.

7. Provide a complete, neat and workmanlike installation. Use only manufacturer employees or subcontractors who are skilled, experienced, trained, and familiar with the specific equipment, software, standards and configurations to be provided for this Project.
8. Manage and coordinate the BMS work in a timely manner in consideration of the Project schedules. Coordinate with the associated work of other trades so as not to impede or delay the work of associated trades.
9. The BMS as provided shall incorporate, at minimum, the following integrated features, functions and services:
 - a. Operator information, alarm management and control functions
 - b. Information management including monitoring, transmission, archiving, retrieval, and reporting functions
 - c. Diagnostic monitoring and reporting of BMS functions
 - d. Energy management
 - e. Enterprise-wide information and control access
 - f. Offsite monitoring and management access
 - g. Quality Assurance

1. General

- a. The BMS Contractor shall be the primary manufacturer-owned branch office that is regularly engaged in the engineering, programming, installation and service of total integrated BMS.
- b. The BMS Contractor shall be a recognized national manufacturer, installer and service provider of BMS.
- c. The BMS installer shall be a BMS manufacturer-owned branch office, or an independent controls contractor who is factory trained and authorized by the BMS manufacturer to sell, service and support the BMS specified herein.
- d. Independent BMS distributor are prohibited
- e. The BMS Contractor shall have a branch facility within a 20-mile radius of the job site supplying complete maintenance and support services on a 24 hour, 7-day-a-week basis. The BMS Contractor shall have, at this facility, a trained, directly employed and full time technical staff, spare parts inventory, and all necessary test and diagnostic equipment.
- f. As evidence and assurance of the contractor's ability to support the Owner's system with service and parts, the contractor must have been in the BMS business for at least the last ten (10) years and have successfully completed total projects of at least 10 times the value of this contract in each of the preceding five years.
- g. The BMS architecture shall consist of the products of a manufacturer regularly engaged in the production of BMS, and shall be the manufacturer's latest standard of design at the time of bid and or fully compatible with the existing base building system

2. Quality Management Program

- a. Designate a competent and experienced employee to provide BMS Project Management. The designated Project Owner shall be empowered to make technical, scheduling and related decisions on behalf of the BMS Contractor. At minimum, the Project Owner shall:
 - Manage the scheduling of the work to ensure that adequate materials, labor and other resources are available as needed.
 - Manage the financial aspects of the BMS Contract.
 - Coordinate as necessary with other trades.
 - Be responsible for the work and actions of the BMS workforce on site.

1.D References

1. All work shall conform to the following Codes and Standards, as applicable:
 - a. National Fire Protection Association (NFPA) Standards
 - b. National Electric Code (NEC) and applicable local Electric Code
 - c. UL listing and labels
 - d. UL 268 Smoke Detectors
 - e. UL 916 Energy Management
 - f. NFPA 70 – National Electrical Code
 - g. NFPA 90A – Standard For The Installation Of Air Conditioning And Ventilating Systems
 - h. NFPA 92A and 92B Smoke Purge/Control Equipment
 - i. American Society of Mechanical Engineers (ASME)
 - j. American Society of Heating, Refrigerating and Air Conditioning Engineers (ASHRAE)
 - k. Air Movement and Control Association (AMCA)
 - l. Institute of Electrical and Electronic Engineers (IEEE)
 - m. Occupational Safety and Health Administration (OSHA)
 - n. American Society for Testing and Materials (ASTM)
 - o. ANSI/ASHRAE Standard 195 (BACnet)
2. In the case of conflicts or discrepancies, the more stringent regulation shall apply.
3. All work shall meet the approval of the Authorities Having Jurisdiction at the project site.

1.E Work By Others

1. The demarcation of work and responsibilities between the BMS Contractor and other related trades shall be as outlined in the BMS RESPONSIBILITY MATRIX.

BMS Responsibility Matrix

Work	Furnish	Install	Low Volt. Wiring/Tube	Line Power
BMS low voltage and communication wiring * ¹ (note 1)	BMS	BMS	BMS	N/A
BMS conduits and raceway	BMS	BMS	BMS	BMS

Work	Furnish	Install	Low Volt. Wiring/Tube	Line Power
Automatic dampers (non-factory)	BMS	23	BMS	26
Automatic valves	BMS	23	BMS	N/A
Pipe insertion devices and taps including thermowells, flow and pressure stations.	BMS	23	BMS	BMS
BMS Current Switches.	BMS	BMS	BMS	N/A
BMS Control Relays	BMS	BMS	BMS	N/A
Power distribution system monitoring interfaces	26	26	BMS	26
All BMS Nodes, equipment, housings, enclosures and panels.	BMS	BMS	BMS	BMS
Smoke Detectors (note 4)	26	26	26/BMS * ⁴	26
Fire/Smoke Dampers (note 5)	23	23	BMS* ⁵	26
Fire Dampers	23	23	N/A	N/A
VFDs	BMS	26	BMS	26
Refrigerant monitors	BMS	BMS	BMS	26
Fire Alarm shutdown relay interlock wiring	26	26	26	26
Fire Alarm smoke control relay interlock wiring	26	26	BMS	26
Fireman's Smoke Control Override Panel	26	26	26	26
Fan Coil Unit controls	BMS	BMS	BMS	26
Cabinet/Unit Heater controls (note 6)	BMS/23* ⁶	26/BMS* ⁶	BMS	26
Air Handling Unit Controls	BMS	BMS	BMS	26
Starters, HOA switches	26	26	N/A	26
Control damper actuators	BMS	BMS	BMS	26

Footnotes:

- *1. BMS low voltage and communications wiring: BMS Ethernet communications cable and IP infrastructure furnish and install by BMS Contractor or Division 26 Electrical Contractor as per options in Row #1 of the BMS Responsibility Matrix above.
- *2. Smoke Detector also wired to shut down AHU/HVAC by BMS Contractor; Division 26 for projects NYC. Duct smoke detectors and fire alarm control modules shall be provided by others. Provide wiring, conduit, and necessary interface with fire alarm system to perform specified sequence of operation.
- *5. Fire/Smoke Dampers: BMS Contractor to provide and ensure OPEN/CLOSE control of Fire/Smoke dampers as coordinated between BMS HVAC systems sequences, controls and overrides, and the Fire Alarm system control status priorities and overrides. Coordinate with Division 26 to provide duct detectors or fire alarm control modules for air handling unit and exhaust system shutdown and smoke control inputs to the DDC system. In most cases fire alarm control modules will be the most effective and flexible way of achieving this interface. Ensure that the logic matrix for the fire alarm devices to trigger a HVAC response is clearly specified.

- *6. Cabinet/Unit Heater Controls – for line voltage stand-alone controls: furnished by Division 23 Mechanical Contractor who furnishes the Cabinet/Unit Heaters; line voltage stand-alone controls installed and connected by Division 26 Electrical Contractor. Even for stand-alone controls, it is common for the line voltage TStat and associated interlock wiring to be installed by the BAS. The power to the UH/CUH is performed by the Division 26 contractor. Alternately, controls may be furnished and installed by BMS Contractors for projects requiring Cabinet/Unit Heater controls to be integrated into BMS.

1.F Submittals

1. Shop Drawings, Product Data, Training, and Samples

- A. All shop drawings shall be prepared in Visio Professional or AutoCAD software. And shall be provided electronically in PDF format.
- B. Shop drawings shall include a riser diagram depicting locations of all controllers and workstations, with associated network wiring. Also included shall be individual schematics of each mechanical system showing all connected points with reference to their associated controller.
- C. Submittal data shall contain manufacturer's data on all hardware and software products required by the specification. Valve, damper, and air flow station schedules shall indicate size, configuration, capacity and location of all equipment.
- D. Submittals shall contain narrative descriptions of sequences of operation, point lists, and a complete description of the graphics, reports, alarms and configuration to be furnished with the workstation software. Information shall be provided in PDF format. All literature, descriptions, equipment spec sheets, sequences etc shall be on 8 1/2 x 11 or larger sized sheets. All details diagrams and schematics shall be on 11X17 sized sheets or larger.
- E. Submit copies of submittal data and shop drawings to the Engineer for review and approval prior to ordering or fabrication of the equipment. The Contractor prior to submitting shall check all documents for accuracy.
- F. The Engineer will make corrections, if required, and return to the Contractor. The Contractor will then resubmit with the corrected or additional data. This procedure shall be repeated until all corrections are made to the satisfaction of the Engineer and the submittals are fully approved.
- G. Submit a training class syllabus and training manual for review with the temperature controls submittal. The training manual shall be custom made for this project. Manufactures brochures, and installation manuals will not be acceptable for this purpose. Submit a type written overview and a written summary of each topic to be covered. The document shall be suitable for a system operator to use as a quick reference guide to basic system operation as applicable for this project. Refer to section 1.9 paragraph B, for the minimum requirement of training to be included.
- H At a minimum, submit the following:
 - BMS network architecture diagrams including all nodes and interconnections
 - Systems schematics, sequences, and flow diagrams
 - Points schedule for each point in the BMS, including: Point Type, Object Name, Expanded ID, Display Units, Controller type, and Address
 - Samples of Graphic Display screen types and associated menus
 - Detailed Bill of Material list for each system or application, identifying quantities, part numbers, descriptions, and optional features

- Control Damper Schedule including a separate line for each damper provided under this section and a column for each of the damper attributes, including Code Number, Fail Position, Damper Type, Damper Operator, Duct Size, Damper Size, Mounting, and Actuator Type
- Control Valve Schedules including a separate line for each valve provided under this section and a column for each of the valve attributes: Code Number, Configuration, Fail Position, Pipe Size, Valve Size, Body Configuration, Close off Pressure, Capacity, Valve CV, Design Pressure, and Actuator Type
- Details of all BMS interfaces and connections to the work of other trades
- Product data sheets or marked catalog pages including part number, photo and description for all products including software
- Owner training agenda

2. Existing Systems Inventory

- a. Where applicable, provide a complete and current BMS site inventory for all existing field and supervisory controllers to be integrated into the new BMS including manufacturer, model number, firmware version, available updates, battery condition, integrations, controlled equipment, and point counts.
- b. Site inventory shall be provided on a separate, new USB compatible flash drive.

1.G Record Documentation

1. Operation and Maintenance Manuals.

- a. Three (3) copies of the Operation and Maintenance Manuals shall be provided to the Owner's Representative upon completion of the project. The entire Operation and Maintenance Manual shall be furnished on USB Flash Drive, and include the following for the BMS provided:
 - Table of contents
 - As-built system record drawings. Computer Aided Drawings (CAD) record drawings shall represent the as-built condition of the system and incorporate all information supplied with the approved submittal.
 - Manufacturer's product data sheets or catalog pages for all products including software
 - System Operator's manuals
 - Archive copy of all site-specific databases and sequences
 - BMS network diagrams
 - Interfaces to all third party products and work by other trades
 - b. The Operation and Maintenance Manual shall be self-contained, and include all necessary software required to access the product data sheets. Include a logically organized table of contents. Viewer software shall provide the ability to display, zoom, print, and search all documents.
- ### 2. On-Line documentation: After completion of all tests and adjustments the contractor shall provide a copy of all as-built information and product data to be installed on a customer designated computer workstation or server.

1.H Warranty

1. Standard Material and Labor Warranty:

- a. Provide a one-year labor and material warranty on the BMS.
- b. If within twelve (12) months from the date of acceptance of product, upon written notice from the owner, it is found to be defective in operation, workmanship or materials, it shall be replaced, repaired or adjusted at the option of the BMS Contractor at the cost of the BMS Contractor.
- c. Maintain an adequate supply of materials within 100 miles of the Project site such that replacement of key parts and labor support, including programming. Warranty work shall be done during BMS Contractor's normal business hours.

1.I System Startup & Commissioning

- A. Each point in the system shall be tested for both hardware and software functionality. In addition, each mechanical and electrical system under control of the BAS will be tested against the appropriate sequence of operation specified herein. Successful completion of the system test shall constitute the beginning of the warranty period. A written report will be submitted to the owner indicating that the installed system functions in accordance with the plans and specifications.
- B. The BAS contractor shall commission and set in operating condition all major systems and equipment including boilers, chillers, pumps, cooling towers in the presence of the equipment manufacturer's representatives, and commissioning agent as applicable, and the Owner and Architect's representatives.
- C. The BAS Contractor shall provide all manpower and engineering services required to assist the HVAC Contractor, commissioning agent and Balancing Contractor in testing, adjusting, and balancing all systems in the building. The BAS Contractor shall have a trained technician available on request during the balancing of the systems. The BAS Contractor shall coordinate all requirements to provide a complete air balance with the Balancing Contractor and shall include all labor and materials in his contract.
- D. Refer to the commissioning notes on the trade drawings and the commissioning, (CX) specification sections in order to full understand CX scope of work. Provide labor and materials for pre-functional and functional testing as well as meetings and correctives measure that need to be taken regarding the controls systems.

1.J Training

- A. The BAS Contractor shall provide on-site training to the Owner's representative and maintenance personnel per the following description:
- B. On-site training shall consist of a minimum of (4) separate 4 - hour sessions of hands-on instruction geared at the operation and maintenance of the systems. The sessions shall be scheduled at the beginning of substantial completion and spaced out over the first year of owner use. The first session curriculum shall include:
 - a. Changing set-points and other attributes
 - b. Scheduling
 - c. Editing programmed variables
 - d. Operational sequences
 - e. Equipment and hardware overview
 - f. Review of all hardware installed under this project

- g. Review of a system schematic.
- h. Review of where each controller

Part 2 – Products

2.A General Description

1. The BMS shall use an open architecture and fully support a multi-vendor environment. To accomplish this effectively, the BMS shall support open communication protocol standards and integrate a wide variety of third party devices and applications. The system shall be designed for use on the Internet, or intranets using off the shelf, industry standard technology compatible with other owner provided networks.
2. The BMS shall consist of the following:
 - a. Remote cellular connection from a temporary server to a temporary BMS automation network
 - b. Network Engine(s)
 - c. Equipment Controller(s)
 - d. Input/Output Module(s)
 - e. Local Display Device(s)
 - f. Portable Operator's Terminal
 - g. Mobile User Interface
 - h. Other components required for a complete and working BMS
3. The system shall be modular in nature, and shall permit expansion of both capacity and functionality through the addition of sensors, actuators, controllers and operator devices, while re-using existing controls equipment.
4. System architectural design shall eliminate dependence upon any single device for alarm reporting and control execution.
 - a. The failure of any single component or network connection shall not interrupt the execution of control strategies at other operational devices.
 - b. The System shall maintain all settings and overrides through a system reboot.
5. System architectural design shall eliminate dependence upon any single device for alarm reporting and control execution.
6. The System shall comply with the following International Code Council (ICC) Codes:
 - a. Building Officials and code Administrators International (BOMA) model code
 - b. International Conference of Building Officials (ICBO) model code
 - c. Southern Building Code Congress International (SBCCI) regulations
7. Acceptable Manufacturers
 - a. Johnson Controls, Metasys (Factory Branch only – no exceptions)
 - i. BMS Contact: Adam Berger; 862-284-8947; adam.r.berger@jci.com; 8 Skyline Drive, Hawthorne, NY 10532

2.B BMS System Architecture

1. Automation Network

- a. The automation network shall be based on a IT industry standard of Ethernet TCP/IP. Where used, LAN controller cards shall be standard “off the shelf” products available through normal PC vendor channels.
- b. The BMS shall network multiple user interface clients, application and data servers, network engines, system controllers and application-specific controllers including but not limited to:
 - i. Network Engines
 - ii. Network Control Engines
 - iii. Equipment Controllers
 - iv. VAV Box Controllers
 - v. Third Party BACnet controllers and peripheral devices with compatibility listed by BACnet International
- c. All BMS devices on the automation network shall be capable of operating at a minimum communication speed of 100 Mbps, with full peer-to-peer network communication.
- d. Network Security – To protect the BMS from unauthorized users and computer hackers the Automation Network shall support HTTPS with TLS 1.2 between components, including the, Network Engines, and Mobile User Interface. Self-signed certificates are installed on supported products, with the option of configuring trusted certificates. Computing devices supplied by the BMS vendor will automatically shut down unused ports to deter unauthorized access.
- e. The automation network will be compatible with other enterprise-wide networks. Automation network components of the BMS shall be connected to the Owner’s IT network and share resources with it by way of standard networking devices and practices. Control Network (Field Controller (FC) Bus)
- a. Network Engines shall provide supervisory control over the control network and shall selectively support the following communication protocols:
 - i. BACnet Standard Master-Slave/Token-Passing (MS/TP) Bus Protocol ASHRAE SSPC-135:
 - a) The Network Engines shall be BTL listed/certified.
 - b) The Network Engines shall be tested and certified as a BACnet Building Controller (B-BC) profile.
 - ii. Modbus® TCP and RTU
- b. New Control networks shall provide either “Peer-to-Peer”, Master-Slave, or Supervised Token Passing communications, and shall operate at a minimum communication speed of 9600 baud.
- c. Default control network communication protocol for this project shall be BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135.
- d. A BACnet Protocol Implementation Conformance Statement (PICS) shall be provided for each controller device (master or slave) that will communicate on the BACnet MS/TP Bus as part of the submittals.
- e. The FC Bus shall support communications between the CGMs and the Network Engine.

- f. The FC Bus shall also support peer-to-peer communications between non-supervisory devices, allowing these devices to communicate system data with each other directly, bypassing the supervisory network engine on the bus.
 - g. The FC Bus shall support a minimum of 100 equipment controllers and/or expansion modules in any combination.
 - h. The FC Bus shall operate at a maximum distance of 15,000 Ft. between the CGM and the furthest connected device.
- 2. Dedicated Communications Networks (Sensor Actuator (SA) Bus)
 - a. General Purpose and VAV box controllers can expand their point capacities and communicate with specialized field devices via the SA Bus dedicated to the controller.
 - b. The SA Bus shall be a MS/TP Bus supporting BACnet Standard Protocol SSPC-135.
 - c. Device capacity per SA bus shall not be less than nine devices.
- 3. Integration
 - a. Hardwired
 - i. Analog and digital signal values shall be passed from one system to another via hardwired connections.
 - ii. There will be one separate physical point on each system for each point to be integrated between the systems.
 - b. Direct Protocol (Integrator Panel)
 - i. The BMS system shall include appropriate hardware equipment and software to allow bi-directional data communications between the BMS system and third party manufacturers' control panels. The BMS shall have the ability to receive, react to, and return information from multiple building systems, including but not limited to the chillers, boilers, variable frequency drives, power monitoring system, and medical gas.
 - ii. All data required by the application shall be mapped into the Network Engine's database, and shall be transparent to the operator.
 - iii. Point inputs and outputs from the third party controllers shall have real-time interoperability with BMS software features such as: Control Software, Energy Management, Custom Process Programming, Alarm Management, Historical Data and Trend Analysis, Totalization, and LAN Communications.
 - c. BACnet Protocol Integration – BACnet
 - i. The neutral protocol used between systems will be BACnet IP and comply with the ASHRAE BACnet standard 135.
 - ii. A complete Protocol Implementation Conformance Statement (PICS) shall be provided for all BACnet system devices.
 - iii. The ability to command, share point object data, change of state (COS) data and schedules between the host and BACnet systems shall be provided.
 - iv. 3rd party systems to be integrated shall provide necessary BACnet protocol hardware and software to enable integration at no cost to the BMS Contractor. These systems' manufacturers/distributors shall coordinate with the BMS Contractor to assure functional integrations in advance of final project completion.

2.C User Interface

1. Dedicated Web Based User Interface

- a. The BMS Contractor shall provide and install a personal computer for command entry, information management, network alarm management, and database management functions. Real-time control functions, including scheduling, history collection and alarming, shall be resident in the BMS Network Engines to facilitate greater fault tolerance and reliability.
- b. Dedicated User Interface Architecture – The architecture of the computer shall be implemented to conform to industry standards, so that it can accommodate applications provided by the BMS Contractor and by other third party applications suppliers, including but not limited to Microsoft Office Applications. Specifically, it must be implemented to conform to the following interface standards.
 - i. Edge or Google Chrome or Safari for user interface functions.
 - ii. Microsoft Office Professional for creation, modification and maintenance of reports, sequences other necessary building management functions.
 - iii. Microsoft Outlook or other e-mail program for supplemental alarm functionality and communication of system events, and reports.
 - iv. Required network operating system for exchange of data and network functions such as printing of reports, trends and specific system summaries.
- c. PC Hardware/Software – The personal computer(s) shall be configured as specified in the Computing Hardware and Software section.
- d. Provide one operational device as herein specified and located on plans.
 - a. Mobile, Web Based, User Interface (MUI)
 - b. General
 - i. All functionality as outlined within this section shall be provided as a standard catalog product software suite from the BMS manufacturer. Custom functionality development to meet these requirements is not acceptable.
 - ii. The mobile, web-based, user interface shall be HTML5-compliant and provide access to the system from smartphones, tablets, portable and desktop computers. User Interfaces that require software installation on the client device (e.g. Java, Microsoft Silverlight®, Adobe® Flash®), or software downloads from an online app store shall not be acceptable for these purposes.
 - iii. The mobile user interface shall provide system operators with a simple space/zone/location-based navigation approach to finding information, including the ability to search for any location by name and to bookmark a location in a standard browser.
 - iv. The mobile user interface shall organize and display information using customer specific locations and spaces. At a minimum, the user interface shall provide:
 - Organization of all space, equipment and point information in a familiar way (using standard equipment names and location descriptions), reducing the need for extensive training prior to use.
 - A navigation mechanism or tree for users to select the specific location or space for accessing information – only spaces and locations in the navigation tree or equipment serving that space, nothing more.

- The ability to search for and/or bookmark any location, space, or equipment by name for quick access to critical or troublesome areas.
 - Application of the same navigation mechanisms across any client device (e.g. Smart phone, tablet, personal computer) for consistency and ease of use.
- v. The same user interface elements shall be accessible from any type of personal computer or mobile device running any type of operating system supported (e.g. iOS, Android, Windows®). It shall automatically adapt and optimize the display for the screen size and touch screen navigation.
- c. Navigation Trees
- i. A dedicated location based navigation tree shall be provided as part of the user interface in order to navigate to specific places within the facility on a hierarchical basis (typ. Campus, Facility, Building, Wing, Floor, Room.)
 - ii. The location-based tree shall use place names familiar to the operator without training or familiarization regarding special codes and conventions utilized in the generation of the BMS.
 - iii. Clicking or tapping on a location name in the tree shall display the home page associated with the space and simultaneously expand the tree to display the next level of spaces below the one selected.
 - iv. Provide a means for qualified users to view an all hardware items navigation tree of devices connected to the BMS network in order to enable troubleshooting of equipment and communications.
 - v. A click or tap on a device in the network tree shall display a dashboard for that device including information regarding related equipment and access to a separate focus view of commandable points associated with the piece of hardware. A click or tap on such a point shall display a control dialogue box allowing the user to modify or command that point as indicated. The dialog box shall contain an annotation box for describing why the action was taken or special circumstances that apply.
 - vi. Specific hardware and software types in the Network tree shall also include access to one or more the following views in their dashboard depending on hardware type or network element (e.g. MS/TP trunk):
 - Summary View
 - Diagnostic View
 - Network View
 - Trend View
 - vii. Provide a means to hide the Network Tree and return to the Spaces Tree at any time by clicking on the Spaces Icon above the tree.
 - viii. Provide a means to restrict user access to any space in the Spaces Tree and thereby prevent manipulation of equipment associated with the space.
- d. Dashboard Displays
- i. The user interface shall provide the ability to view equipment visualizations, floor plans, and/or other graphics on mobile or desktop client devices in a browser environment, without the need for additional plugins or software. Graphics shall

be accessible via a space (for floorplans, campus maps, etc.) or equipment dashboard.

- ii. Standard dashboards shall be configured for each defined space including one of the following predefined or custom elements:
 - Equipment Serving Space
 - Potential Problem Areas
 - Equipment Summary
 - Graphic Display (if specified)
 - Schedule
 - iii. Standard dashboards shall be configured for each system or device (typ. mechanical or electrical equipment) including the following predefined or custom elements:
 - Trend
 - Equipment Activity Summary
 - Equipment Relationships Summary
 - Equipment Data
 - Graphic Display
 - Schedule
 - iv. Users with appropriate permissions shall have access to a Dashboards Manager that can change the display order of Summaries and Data elements, add or remove elements and apply custom dashboards layouts to equipment and space by type.
 - v. Dashboard Manager shall apply dashboards to spaces or equipment based on the viewing platform (Desktop/Tablet or Phone) in order to tailor the user experience to the needs of the specific user base.
 - vi. Default dashboard displays by space and equipment type shall be created per the guidelines in this specification or by mutual agreement with the Owner's representative.
- e. Alarm Management
- i. The user interface shall provide a single display of all potential issues in a facility including items currently in alarm, warning, override, out-of-service and offline.
 - ii. The user interface shall provide notification of new alarms, visually and audibly.
 - iii. The user interface shall provide the ability to view a summary of alarms, including a chart of the number of alarms in each of the defined alarm priority ranges. The priority ranges should be filterable.
 - iv. The user interface shall provide the capability to view multiple occurrences of the same alarm, ultimately providing the ability to acknowledge or discard all occurrences of the alarm in a single action.
 - v. The user interface shall provide the capability to view, and filter on, all alarms present in a well-defined mechanical system using the equipment serving equipment relationships.
 - vi. The user interface shall provide the capability to acknowledge and discard all occurrences of at least 1000 alarms in one operation.

- vii. The user interface shall provide the user with the understanding of what physical space is being affected when an alarm occurs. The user interface shall provide the ability to filter alarms by physical space affected when the alarm occurred.
 - viii. The user interface shall provide the capability to monitor alarms 24/7 without requiring an active login to the system, accessible via segregated web page. The user interface shall provide the capability to enable or disable the 24/7 alarm monitor mode if desired.
 - ix. The user interface shall provide the capability to annotate alarms using a pre-defined selection list or by providing custom text.
 - x. The user interface shall provide the capability to filter down alarm list and bookmark the filtered list, allowing automatic filtering to be applied when the bookmark is accessed.
 - xi. Provide a means to export a .csv or .pdf copy of the currently displayed alarm list.
 - xii. Provide a means to set up an alarm escalation feature. If an alarm is not acknowledged or discarded by recipients within a user-selected time, the alarm shall be escalated to an additional set of recipients.
- f. Send Announcement
- i. Administrative users should have the ability to alert staff of planned outages in advance. The communication avenues should include:
 - Email
 - A message shown on the login screen
 - A banner shown to logged in users
- g. Equipment Activity Summary
- i. The user interface shall provide a filterable, single display, of all activity related to a specific piece of equipment including user changes, discarded user changes, pending alarms, discarded alarms, and acknowledged alarms for at least one year of historical data.
 - ii. Items shall be listed in timed order with the latest activity at the top of the list.
 - iii. Filters shall allow only specific activities for specific data points occurring within a specific time and date window to be displayed.
 - iv. Provide a means to export a .csv copy of the currently displayed summary by clicking or tapping on the export icon.
 - v. Provide a means to create a custom trend graph containing the data shown in the currently displayed summary by tapping or clicking on the trend icon in the header bar and selecting the specific points to trend in the resulting selection panel.
 - vi. Clicking on the information icon in front of any displayed activity listed in the summary shall expand the display to include the name of the user, server time, value prior to the activity, the ability to annotate the activity and a user selectable icon for displaying a trend graph of the point.
- h. Equipment Relationships Summary
- i. The user interface shall provide a summary of all equipment and spaces related to the operation of the system or device currently selected for viewing.

- ii. The user interface shall include the capability to navigate to the home page of any related piece of equipment or space with a single click or tap on the desired element.
- i. Equipment Data Summary
 - i. The user interface shall provide a summary of all data pertaining to a particular piece of mechanical or electrical equipment in a tabular format. Clicking or tapping on any value in the summary shall display a related command panel allowing the user to command, override, or change service condition of the point selected and to annotate such actions for future reference.
 - ii. Provide a means to export a .pdf copy of the report with a single click on the associated export icon.
- j. Equipment Serving Space Summary
 - i. The user interface shall provide a summary of all mechanical and electrical equipment as defined in the points list that serves a selected space from the navigation tree.
 - ii. The summary shall be capable of including a subset of the viewable points for each system representing the key elements of interest to operators without subjecting them to long lists of points irrelevant to basic operation.
 - iii. Clicking or tapping on any item in the summary shall navigate to the item's assigned home page in the user interface.
 - iv. Provide a means to view a custom trend of information contained in the summary with a single click of the trend icon residing in the title header.
 - v. Provide a means to display specific systems and points by filtering equipment types desired.
 - vi. Because the data is intended to be a snapshot of the current conditions in the space it shall not dynamically update but a click or tap on the update icon at any time performs that function.
- k. Potential Problem Areas Summary
 - i. The user interface shall provide a summary of all points in the system related to the space that are not operating correctly (e.g. alarm, off normal or not communicating correctly) in order to provide the operator with a quick update on current conditions.
 - ii. The information shall include:
 - Point status (via color)
 - Point name
 - Value of the point when the summary was taken
 - Equipment that contains the offending point
 - Space that is served by that equipment
 - iii. Data points in the summary may be filtered by one or more types of off-normal condition (e.g. above setpoint, offline and overridden).
 - iv. The summary may be exported in .csv format for inclusion in spreadsheets or other documents.

l. Equipment Summary

- i. The user interface shall provide a summary that allows the user to compare all similar equipment that serves the space as well as downstream (child) spaces in order to evaluate conditions quickly and determine patterns for troubleshooting purposes.
- ii. Each unique equipment type shall be selectable and display a representative set of values along with the space(s) being served by the device. Equipment types can be selected from a dropdown menu in the summary.
- iii. Clicking or tapping on a selected device in the summary shall navigate to the home page for that piece of equipment while clicking or tapping a data point shall display the command panel for that point.
- iv. Provide a means to export a .pdf copy of the currently displayed summary by clicking or tapping on the export icon.
- v. Provide a means to create a custom trend graph containing the data shown in the currently displayed summary by clicking on the trend icon in the header bar and selecting the specific points to trend in the resulting selection panel.
- vi. Provide a means to sort data within the summary by clicking on the desired column heading, allowing to sort from lowest to highest value or vice versa.
- vii. Provide a means to edit the column types and column order within the summary to provide relevant information in accordance with Operators' preferences.
- viii. Provide a means to link to an advanced search and reporting function directly from the Equipment Summary that will pre-populate the advanced report with the data from the Equipment Summary.

m. User Defined Summaries

- i. Provide the capability to view, command, and modify large quantities of similar data in summaries without the use of a secondary application (e.g. a spreadsheet). These summaries shall be generated automatically or user defined.

n. Trend

- i. The user interface shall provide the capability to view historical trend data from multiple pieces of equipment in both bar and line formats.
- ii. The user shall have the ability to navigate to a selection list of frequently viewed trends.
- iii. Trend graphs shall have to ability to be smartly auto-generated based on equipment and space relationships.
- iv. The user shall have the ability to view up to 3 graphs of differing units of measurement in a single screen and select which data points to plot on each to help with readability.
- v. Each graph shall include a dedicated selection icon to export a copy of the graphic and data in .pdf format or the data only as a .csv file.
- vi. Trend graphs shall allow the plotting of non-trended point's default values.
- vii. The user shall have the ability to add any trended to point a custom trend graph.
- viii. The user shall have the ability to save trend graphs for reference later.

- ix. The user shall be able to specify the duration of time and aggregation period for each trend line.
- x. The user shall have the ability to decide whether to show raw or aggregate trend data.
- o. Operator Access
 - i. The user interface shall provide the ability to segment access to building data based on the space(s) or location(s) the user is physically located in and/or manages. The user interface shall provide the capability to assign “inherited” space permissions and the ability to assign user’s space based access in bulk.
 - ii. The user interface shall provide the ability to segment access to building data based on the space(s) or location(s) the user is physically located in and/or manages. The user interface shall provide the capability to assign “inherited” space permissions and the ability to assign user’s space based access in bulk.
- p. Graphics
 - i. The user interface shall display an equipment visualization or graphic within the context of its associated space (building, floor, room, etc.) or equipment dashboard.
 - ii. Graphics shall include the ability to define individual information layers for operator selection in order to clarify systems status and simplify operation on mobile devices. Where desired a master layer may be defined to include important information about the facility on all graphic screens.
 - iii. Graphics shall support the use of photo-realistic symbols as well as color change and animation to match the status of the related system control point.
 - iv. Provide a means to export a time stamped .pdf file of the graphic being viewed in order to communicate the current conditions in the space or the equipment being viewed and to provide a historic record.
 - v. An integral graphic manager shall be provided including the following features and capabilities:
 - Creation and modification of graphics from any HTML5 capable browser without the need for additional plug-ins or software packages.
 - Access to a full suite of pre-defined templates for air and water sourced HVAC applications as well as the ability to add custom templates as created for other use. Pre-aliased graphic templates may be defined and saved for repetitive representations of common mechanical and electrical equipment.
 - A full suite of pre-defined three dimensional symbols for mechanical and electrical systems as well as all line, text and shape tools required for integration into a graphic with zoom and pan capabilities on multiple platforms and in multiple browsers.
 - The ability to search and replace items in multiple graphics with a single command.
 - The ability to import and insert photos and images into the graphic.
 - The ability of the graphics manager to create and edit graphics including the ability to bind graphic elements to the values and conditions of system points in both an on-line and off-line mode.

- The ability to create and import custom SVG symbols that can be selectable from the graphical palette and rendered at runtime.
- vi. As required, the BMS Contractor shall provide software licenses in the name of the owner for programming, configuration and graphics building tools to allow designated representatives to make changes, modifications or additions to the system. While future updates or revisions may require an update fee, the owner shall incur no additional cost if they choose not to update. Systems that require any annual or time-limited licensing fees shall not be permitted.
- q. Scheduling
 - i. The user interface shall provide the capability to display, in a singular view, all of the effective schedules in the context of the space (building/floor/room, etc.) or equipment that the schedule effects. The software should have the ability to display an effective schedule, for the present, or a future date.
 - ii. The user interface shall provide a report of all schedules affecting a space or equipment. The report shall provide the user details of events that comprise the weekly schedule and exception schedule(s). The report shall provide a means of viewing individual breakout scheduling elements for Weekly Schedule, Exceptions and Default Commands.
 - iii. The user interface shall provide the capability to efficiently change or modify schedules in mass quantities. This includes the capability to add, in bulk, exceptions to schedules, in addition to assigning, in bulk, weekly schedules.
- r. Command and Control
 - i. Provide a means to command system analog and binary points via a dropdown menu accessed by clicking or tapping on the value shown in any equipment summary or graphic display and completing the task in the resultant menu including an optional annotation.
 - ii. Commanding multiple points shall be possible on displays where multiple like system elements can be chosen.
 - iii. The user interface shall support users adding notes on their commands.
 - iv. The user interface shall support a choice of either permanent or temporary commands.
- s. Cyber Health Dashboard
 - i. The Cyber Health Dashboard shall provide a centralized view of potential cybersecurity related issues or system issues, grouped into critical issues, potential risks, and informational items.
 - i. The Cyber Health Dashboard shall identify user account information, including:
 - a. Total number of users
 - b. Dormant users
 - c. Active users
 - d. Locked users
 - e. Temporary users
 - f. Disabled users

- g. Users with Administrator role
 - h. Policy related information
 - ii. The Cyber Health Dashboard shall indicate out-of-date software.
 - iii. The Cyber Health Dashboard shall identify when security certificates are set to expire.
 - iv. The Cyber Healthy Dashboard shall provide insight into user activity such as number of successful logins, unsuccessful logins, and locked out accounts.
- t. Involvement
 - i. The user interface shall provide in a single screen, a way to visualize all interactions (I.e. - commands, writes, references) with a single object.
 - ii. The interface shall provide the ability to filter out any interactions (i.e. commands, writes, references) that are not pertinent.
 - iii. The user interface shall allow seamless navigation between one object's Involvement view to another object's.
- u. System-level Activity
 - i. The user interface shall provide a timeline view of all audits that occur in the system, including:
 - a. Logins attempts with user specified
 - b. Add, delete, modification of objects
 - c. Commands
- v. Search
 - i. Typing a text string in the Search box shall display a list of all occurrences of that string in the mobile user interface. When a string is represented in the description of a space or network element, selecting it shall display its default dashboard.
 - ii. Clicking or tapping on the Advanced Search Icon shall display the Advanced Search dialog box permitting the following:
 - Search by Space and Equipment, Equipment Definition or Network Reference
 - Filter the search by wildcard name or object type
 - Multi-selection of objects for commanding or the creation of reports including Trend, Alarm, Audit and Activity for a specific period of time
 - Creation of reports in PDF or CSV formats which can be instantaneously downloaded or emailed, or scheduled to be sent at Operator-selected intervals (daily/weekly/monthly)
- w. Software Updates
 - i. Users shall be notified when new software becomes available for download.
 - ii. Users shall be given brief information on what's to be expected in the update.
- x. Offline Operation
 - i. The mobile user interface shall have the ability to operate in an offline mode in order to create or edit graphics and dashboard elements.

- ii. Content created offline shall be available to all authorized users for inclusion of an operating user interface later.
 - y. Fault Detection (if applicable)
 - i. Fault detection functionality shall be provided that identifies and displays building system-related faults and lists them color coded in order of severity. This software shall leverage defined rules and a semantic data model to ease configuration.
 - The building system fault engine shall run periodically against a minimum of seven days of historical data.
 - z. Fault Triage (if applicable)
 - i. Provide a means to display additional information on a particular fault along with corrective actions in the order of their likeliness to resolve the issue, a description of the fault, charting of supporting data, and an activity log to track progress of triage attempts. Functionality shall enhance the sorting order and information in the Faults display to introduce fault occurrences and durations to better drive the most problematic issues to the top of the list.
2. Associated Application Components
- aa. Security/Passwords
 - i. Multiple-level passwords access protection shall be provided via roles and permissions. The feature will allow the system to base access on a user's job title or role and allow the user/manager access interface control, display, and database manipulation capabilities based on an assigned password.
 - ii. Roles may be copied and altered to meet specific roles and permissions based on the particular policies.
 - iii. The system shall allow each user to change his or her password at will.
 - iv. When entering or editing passwords, the system shall not echo the actual characters for display on the monitor.
 - v. A maximum of 150 categories may be used to determine or assign areas of responsibilities to each user account.
 - vi. A minimum of 100 unique passwords shall be supported.
 - vii. Operators shall be able to perform only those commands available for their respective passwords. Display of menu selections shall be limited to only those items defined for the access level of the password used to log-on.
 - viii. Operators shall be further limited to only access, command, and modify those buildings, systems, and subsystems for which they have responsibility. Provide a minimum of 100 categories of systems to which individual operators may be assigned.
 - ix. The system shall automatically generate a report of log-on/log-off and system activity for each user.
 - x. The system shall have the ability to provide a Department of Defense (DoD) specific warning banner for applicable sites that warns the user they are accessing a restricted site.
 - xi. After successful login the last time and date that user name was previously logged in is shown on the screen.

- xii. Each login attempt is recorded in the system Audit Log with the option to record the IP address of the PC that made the login.
- bb. Screen Manager
 - i. The system will allow a customized image on the login screen (e.g. organization name, logo).
 - ii. User View navigations can be displayed as either a set of tabs or a drop down list.
 - iii. Allows user preference for assigning of a background color for when an object is Out of Service which will enable the operator to quickly distinguish points that have been commanded to this state.
 - iv. The User Interface shall be provided with screen management capabilities that allow the user to activate, close, and simultaneously manipulate a minimum of 4 active display windows plus a network or user defined navigation tree.
- cc. Historical trending and data collection
 - i. Each Network Engine shall store trend and point history data for all analog and digital inputs and outputs, as follows:
 - Any point, physical or calculated, may be designated for trending. Two methods of collection shall be allowed:
 - a) Defined time interval
 - b) Upon a change of value
 - Each Network Engine shall have the capability to store multiple samples for each physical point and software variable based upon available memory, including an individual sample time/date stamp. Points may be assigned to multiple history trends with different collection parameters.
 - ii. Trend and change of value data shall be stored within the engine and uploaded to a dedicated trend database or exported in a selectable data format via a provided data export utility. Uploads to a dedicated database shall occur based upon one of the following: user-defined interval, manual command, or when the trend buffers are full. Exports shall be as requested by the user or on a time-scheduled basis.
 - iii. The system shall provide a configurable data storage subsystem for the collection of historical data. Data can be stored in SQL database format.
 - iv. The system shall provide data to enable optimization capabilities including fault detection and diagnostics, advanced analytics and central plant optimization without the need of a gateway or additional hardware.
- dd. Database Management
 - i. Where a separate SQL database is utilized for information storage the System shall provide a Database Manager that separates the database monitoring and managing functions by supporting two separate windows.
 - ii. Database secure access shall be accomplished using standard SQL authentication including the ability to access data for use outside of the Building Automation application.
 - iii. The database managing function shall include summarized information on trend, alarm, event, and audit for the following database management actions:
 - Backup

- Purge
 - Restore
- iv. The Database Manager shall support four tabs:
- Statistics – shall display Database Server information and Trend, Alarm (Event), and Audit information on the Databases.
 - Maintenance – shall provide an easy method of purging records from the Server trend, alarm (event), and audit databases by supporting separate screens for creating a backup prior to purging, selecting the database, and allowing for the retention of a selected number of day's data.
 - Backup – Shall provide the means to create a database backup file and select a storage location.
 - Restore – shall provide a restricted means of restoring a database by requiring the user to log into an Expert Mode in order to view the Restore screen.
- v. The Status Bar shall appear at the bottom of all Database Manager Tabs and shall provide information on the current database activity. The following icons shall be provided:
- Ready
 - Purging Record from a database
 - Action Failed
 - Refreshing Statistics
 - Restoring database
 - Shrinking a database
 - Backing up a database
 - Resetting internet information Services
 - Starting the Device Manager
 - Shutting down the Device Manager
 - Action successful
- vi. The Database Manager monitoring functions shall be accessed through the Monitoring Settings window and shall continuously read database information once the user has logged in.
- vii. The System shall provide user notification via taskbar icons and e-mail messages when a database value has exceeded a warning or alarm limit.
- viii. The Monitoring Settings window shall have the following sections:
- General – Shall allow the user to set and review scan intervals and start times.
 - Email – Shall allow the user to create and review e-mail and phone text messages to be delivered when a Warning or Alarm is generated.
 - Warning – shall allow the user to define the Warning limit parameters, set the Reminder Frequency, and link the e-mail message.
 - Alarm – shall allow the user to define the Alarm limit parameters, set the Reminder Frequency, and link the e-mail message.

- Database login – Shall protect the system from unauthorized database manipulation by creating a Read Access and a Write Access for each of the Trend, Alarm (Event) and Audit databases as well as an Expert Mode required to restore a database.
- ix. The Monitoring Settings Taskbar shall provide the following informational icons:
 - Normal – Indicates by color and size that all databases are within their limits.
 - Warning – Indicates by color and size that one or more databases have exceeded their Warning limit.
 - Alarm – Indicates by color and size that one or more databases have exceeded their Alarm limit.
- x. The System shall provide user notification via Taskbar icons and e-mail messages when a database value has exceeded a warning or alarm limit.
- ee. Demand Limiting and Load Rolling
 - i. The System shall provide a Demand Limiting and Load Rolling program for the purpose of limiting peak energy usage and reducing overall energy consumption and shall be implemented as outlined within the sequences of operations.

2.D Network Engines

1. General

- a. The Network Engine shall be a fully user-programmable, supervisory controller. The Network Engine(s) shall monitor the network of distributed equipment controllers, provide global strategy and direction, and communicate on a peer-to-peer basis with other Network Engine(s).
- b. Automation network – The Network Engine(s) shall reside on the automation network and shall support a subnet of system controllers.
- c. Automation network temporary cellular connection – The BMS shall be provided with a temporary connection via a “master” engine whereby the Automation network and connected “slave” engines can be accessed remotely before Owner’s IT network is operational. This project shall include at least one connected engine.
 - i. The connected engine shall be a standard catalog product of the BMS manufacturer. Non-standard or custom applications are not acceptable.
 - ii. This connected engine shall be panel mounted with a cellular modem, remote antenna and antenna cables, 5 port Ethernet switch, and a power supply with convenience outlet,
 - iii. The “master” engine shall communicate with a temporary Automation Data Server which can be used to remotely load graphics, generate and load database, program, commission and demonstrate the BMS.
 - iv. A one year cellular contract shall be included within this contract at no additional cost to the Owner. Multiple cellular services shall be made available to choose from to allow for best connection strength at the jobsite. The costs of the remote server shall be included within this contract at no additional cost to the Owner.
- d. User Interface – Each Network Engine shall have the ability to deliver a web-based User Interface. All computers connected physically or virtually to the automation network shall have access to the web-based user interface.

- e. Processor – The Network Engine(s) shall be microprocessor-based with a minimum word size of 32 bits. The Network Engine(s) shall be a multi-tasking, multi-user, and real-time digital control processor. Standard operating systems shall be employed. Network Engine(s) size and capability shall be sufficient to fully meet the requirements of this Specification.
- f. Memory – Each Network Engine shall have sufficient memory to support its own operating system, databases, and control programs, and to provide supervisory control for all control level devices.
- g. Secure Boot – The Network Engine(s) shall prevent malicious or unauthorized software applications from loading during the system startup process.
- h. Background File Transfer – The Network Engine shall provide the capability to download a new image and database to a network engine while the engine is still running and controlling the building.
- i. User Authentication – The Network Engine(s) shall support local user authentication.
- j. Password Security – Access to the Network Engines' embedded user interface shall require a password of 8 to 50 characters including a minimum of one lower case letter, one upper case letter, one number, and one special character. An alarm shall be generated after three unsuccessful attempts within 15 minutes, and the user shall be denied access until permission is renewed by a system administrator.
- k. Network Security – Communication between the Network Engine and other system networked devices including additional Network Engines, Application and Data Servers, Open Data Servers (BACnet listed OWS), and user interface clients shall be encrypted and support HTTPS with Transport Level Security (TLS) Version 1.2. Self-signed certificates are to be provided with the option of configuring trusted certificates. Engines shall also be equipped to optionally support FIPS 140-2 Federal Government encryption standard.
- l. Hardware Real Time Clock – The Network Engine(s) shall include an integrated, hardware-based, real-time clock, with a supercapacitor to maintain time for a minimum of 72 hours during a power loss. Controllers using a battery to maintain time during a power loss shall not be acceptable.
- m. Diagnostics – The Network Engine(s) shall continuously perform self-diagnostics, communication diagnosis, and diagnosis of all panel components. The Network Engine(s) shall provide both local and remote annunciation of any detected component failures or repeated failures to establish communication.
- n. Power Failure – In the event of the loss of normal power, the Network Engine(s) shall continue to operate for a user adjustable period of up to 10 minutes after which there shall be an orderly shutdown of all programs to prevent the loss of database or operating system software.
 - i. During a loss of normal power, the control sequences shall go to the normal system shutdown conditions. All critical configuration data shall be saved into Flash memory.
 - ii. Upon restoration of normal power and after a minimum off-time delay, the controller shall automatically resume full operation without manual intervention through a normal soft-start sequence.
- o. Certification – The Network Engine(s) shall meet and be listed to the UL 916 Standard for Energy Management Equipment and be FCC Compliant to CFR47, Part 15, Subpart B, Class A.

- p. Device Integration – The Network Engine(s) shall support integrating networked devices using the following communication protocols on the device/controller network:
 - i. Primary protocol support: The Network Engine(s) shall support BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135 on the controller network.
 - The Network Engine(s) shall support Remote Field Bus integration via a BACnet IP to MS/TP router.
 - The Network Engine(s) shall be tested and BTL listed/certified as a BACnet Building Controller (B-BC).
 - A BACnet Protocol Implementation Conformance Statement shall be provided for the Network Engine(s).
 - ii. The Network Engine(s) shall optionally support integration of networked devices using the following networking protocols:
 - Johnson Controls N2 or third party N2 Open devices.
 - LonTalk
 - MODBUS RTU
 - MODBUS TCP
 - KNX
 - M-Bus
 - OPC UA
- q. The Network Engine(s) shall include multi-color, flashing LEDs to indicate important operating conditions and status such as heartbeat, fault, Ethernet activity, Ethernet comm speed, site director status, BACnet IP message communication status, USB port usage, Control network usage.
- r. The Network Engine shall support up to 50, 100 or 200 supervised devices across all supported integrations via flexible sizing options. Provide 20% spare capacity within installed engines for future addition of supervised devices.
- s. Communications Ports – The Network Engine(s) shall provide the following ports for connecting networkable devices
 - i. Two (2) USB ports
 - ii. One (1) or Two (2) RS-485 ports
 - iii. One (1) Ethernet port
- t. Provide Johnson Controls SNE10501, SNE11001 or SNE22001 or approved equal.

2.E Network Control Engines

1. General

- a. The Network Control Engine shall be a fully user-programmable, supervisory controller. The Network Control Engine shall monitor the network of equipment controllers, provide global strategy and direction, and communicate on a peer-to-peer basis with other Network Engines.
- b. The Network Control Engine shall also be a fully user-programmable, equipment controller that includes a minimum of 28 I/O points.

- c. Automation Network – The Network Control Engine(s) shall reside on the automation network and shall support a subnet system controllers via an integral Control network connection.
- d. Automation network temporary cellular connection – The BMS shall be provided with a temporary connection via a “master” control engine whereby the Automation network and connected “slave” engines can be accessed remotely before Owner’s IT network is operational. This project shall include at least one connected control engine.
 - i. The connected control engine shall be a standard catalog product of the BMS manufacturer. Non-standard or custom applications are not acceptable.
 - ii. This connected control engine shall be panel mounted with a cellular modem, remote antenna and antenna cables, 5 port Ethernet switch, and a power supply with convenience outlet,
 - iii. The “master” control engine shall communicate with a temporary Automation Data Server which can be used to remotely load graphics, generate and load database, program, commission and demonstrate the BMS.
 - iv. A one year cellular contract shall be included within this contract at no additional cost to the Owner. Multiple cellular services shall be made available to choose from to allow for best connection strength at the jobsite. The costs of the remote server shall be included within this contract at no additional cost to the Owner.
- e. User Interface – Each Network Control Engine shall have the ability to deliver a web-based User Interface previously described. All computers connected physically or virtually to the automation network shall have access to the web-based user interface.
- f. Processor – The Network Control Engine(s) shall be microprocessor-based with a minimum word size of 32 bits. The Network Control Engine shall be a multi-tasking, multi-user, and real-time digital control process. Standard operating systems shall be employed. Network Control Engine(s) size and capability shall be sufficient to fully meet the requirements of this Specification.
- g. Memory – Each Network Control Engine shall have sufficient memory to support its own operating system, databases, and control programs, and to provide supervisory control for all control level devices.
- h. Secure Boot – The Network Control Engine shall prevent malicious or unauthorized software applications from loading during the system startup process.
- i. Background File Transfer – The Network Control Engine shall provide the capability to download a new image and database to a network engine while the engine is still running and controlling the building.
- j. User Authentication – The Network Control Engine shall support local user authentication.
- k. Password Security – Access to the Network Control Engines’ embedded user interface shall require a password of 8 to 50 characters including a minimum of one lower case letter, one upper case letter, one number, and one special character. An alarm shall be generated after three unsuccessful attempts within 15 minutes and the user shall be denied access until permission is renewed by a system administrator.
- l. Network Security – Communication between the Network Control Engine and other system networked devices including additional Network Engines, Application and Data Servers, Open Data Servers (BACnet listed OWS), and user interface clients shall be encrypted and support HTTPS with Transport Level Security (TLS) Version 1.2. Self-

signed certificates are to be provided with the option of configuring trusted certificates. Engines shall also be equipped to optionally support FIPS 140-2 Federal Government encryption standard.

- m. Hardware Real Time Clock – The Network Control Engine shall include an integrated, hardware-based, real-time clock, with a supercapacitor to maintain time for a minimum of 72 hours during a power loss. Controllers using a battery to maintain time during a power loss shall not be acceptable.
- n. Diagnostics – The Network Control Engine(s) shall continuously perform self-diagnostics, communication diagnosis, and diagnosis of all panel components. The Network Control Engine(s) shall provide both local and remote annunciation of any detected component failures or repeated failures to establish communication.
- o. Power Failure – In the event of the loss of normal power, the Network Control Engine(s) shall continue to operate for a user adjustable period of up to 10 minutes after which there shall be an orderly shutdown of all programs to prevent the loss of database or operating system software.
 - i. During a loss of normal power, the control sequences shall go to the normal system shutdown conditions. All critical configuration data shall be saved into Flash memory.
 - ii. Upon restoration of normal power and after a minimum off-time delay, the controller shall automatically resume full operation without manual intervention through a normal soft-start sequence.
- p. Certification – The Network Control Engine(s) shall meet and be listed to the UL 916 Standard for Energy Management Equipment and be FCC Compliant to CFR47, Part 15, Subpart B, Class A.
- q. Device Integration – The Network Control Engine(s) shall support integrating and supervising networked devices using the following communication protocols on the device/controller network:
 - i. The Network Control Engine(s) shall support BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135 on the controller network.
 - The Network Control Engine(s) shall support Remote Field Bus integration via a BACnet IP to MS/TP router.
 - The Network Control Engine(s) shall be tested and BTL listed/certified as a BACnet Building Controller (B-BC).
 - A BACnet Protocol Implementation Conformance Statement shall be provided for the Network Engine(s).
 - ii. The Network Engine(s) shall optionally support integration of networked devices using the following networking protocols:
 - Johnson Controls N2 or third party N2 Open devices.
 - LonTalk
 - MODBUS RTU
 - MODBUS TCP
 - KNX
 - M-Bus
 - OPC UA

- r. The Network Control Engine shall employ a finite state programming to eliminate unnecessary conflicts between control functions at crossover points in their operational sequences. Suppliers using non-state based DDC shall provide separate control strategy diagrams for all controlled functions in their submittals.
- s. The Network Control Engine shall be factory programmed with a continuous adaptive tuning algorithm that senses changes in the physical environment and continually adjusts loop tuning parameters appropriately. Controllers that require manual tuning of loops or perform automatic tuning on command only, shall not be acceptable.
- t. The Network Control Engine shall support the following types of inputs and outputs:
 - i. Universal Inputs – shall be configured to monitor any of the following for Analog inputs: Voltage Mode, Current Mode, Resistive Mode. For Binary Inputs: Dry Contact Maintained Mode, Pulse Counter Mode
 - ii. Analog Outputs – shall be configured to output either of the following: Voltage Mode, Current Mode
 - iii. Binary Outputs – shall output the following: 24VAC Triac
 - iv. Configurable Outputs – shall be configured to output either of the following: Analog Output Voltage Mode; Binary Output 24 VAC Triac Mode
 - i. The Network Control Engine shall have the ability to monitor and control a network of sensors and actuators over a Sensor Actuator (SA) Bus dedicated to the controller. This bus shall be a MS/TP Bus supporting BACnet Standard protocol SSPC-135 supporting no less than 9 devices with a maximum distance of 1,200 Ft. between the Network Control Engine and the furthest connected device.
- u. The Network Control Engine shall provide removable, labeled, screw terminal blocks for 24 VAC power, communication bus and I/O point field wiring.
- v. The Network Control Engine shall include the following multi-color, flashing LEDs to indicate important operating conditions and status
- w. Communications Ports – The Network Control Engine(s) shall provide the following ports for connecting networkable devices:
 - i. Two (2) USB ports
 - ii. One (1) RS-485 port
 - iii. Two (2) Ethernet ports
- x. The Network Control Engine shall support an integrated user interface featuring a display and keypad in lieu of on-board HOA switches for output overrides.
 - i. The integrated user interface shall allow viewing and monitoring points, alarms, and trends.
 - ii. The integrated user interface shall allow viewing and changing setpoints, modes of operation, and parameters.
 - iii. The integrated user interface shall provide password protection with user-adjustable password timeout.
 - iv. The information presented by the integrated user interface shall be organized into folders for easy navigation.
 - v. The integrated user interface shall support textual descriptions in English for each point.

- vi. The display shall be, at minimum, a 2.4-inch, color display with 320x240 resolution.
- vii. The display shall support adjustable contrast and brightness.
- viii. The keypad shall include no more than seven (7) keys.
- w. The Network Control Engine shall support up to 4 or 50 supervised devices across all supported integrations.
- x. Provide Johnson Controls SNC or approved equal

2.F DDC Equipment Controllers

1. General Purpose Equipment Controller

- a. The General Purpose Equipment Controller (CGM) shall be a fully programmable, digital controller that communicates via the BACnet MS/TP protocol over the FC Bus.
 - i. The CGM shall support BACnet Standard ANSI/ASHRAE 135.
 - The CGM shall be BTL listed/certified.
 - The CGM shall be tested and certified as a BACnet Advanced Application Controller (B-AAC).
 - A BACnet Protocol Implementation Conformance Statement shall be provided for the CGM.
- b. The CGM shall employ finite state programming to eliminate unnecessary conflicts between control functions at crossover points in their operational sequences. Suppliers using non-state based DDC shall provide separate control strategy diagrams for all controlled functions in their submittals.
- c. CGM controllers shall be factory programmed with a continuous adaptive tuning algorithm that senses changes in the physical environment and continually adjusts loop tuning parameters appropriately. Controllers that require manual tuning of loops or perform automatic tuning on command only shall not be acceptable.
- d. The CGM shall be assembled in a plastic housing with protection class IP20 (IEC529) and flammability rated to UL94-5VB.
- e. The CGM shall include an integral real-time clock and support time-based tasks which enables these field controllers to monitor and control schedules, calendars, alarms, and trends.
- f. The CGM can continue time-based monitoring when offline for extended periods of time from a network.
- g. The CGM can operate as a stand-alone controller in applications that do not require a networked supervisory device or for network applications where it is preferred to have the scheduling, alarming, and/or trending performed locally in the equipment controllers.
- h. The CGM shall include troubleshooting LEDs to indicate the following conditions normal or abnormal operation of power, controller fault, SA bus communications, FC Bus communications, and end of line on/off.
- i. The CGM shall have the ability to transfer and apply firmware files to all SA Bus devices (XPM, IOM, and networked zone sensors) connected to it.
- j. The CGM shall include removable and labeled screw terminal blocks for all I/O, FC and SA Bus communication, and power wiring connections.

- k. The CGM shall accommodate the direct wiring of analog and binary I/O field points with the following resolution.
 - i. Inputs – 24-bit analog-to-digital converter
 - ii. Outputs – +/- 200 mV accuracy in 0-10 VDC applications
- l. The CGM shall support the following types of inputs and outputs supplied in the amounts required for the specified applications:
 - i. Universal Inputs – 0-10 VDC analog input, 4-20 mA analog input, 0-600k ohms analog input, Dry contact binary input
 - ii. Binary Inputs – Dry Contact Maintained Mode, Pulse Counter Mode
 - iii. Analog Outputs – 0-10 VDC analog output, 4-20 mA analog output
 - iv. Binary Outputs – 24 VAC Triac
 - v. Configurable Outputs – 0-10 VDC analog output, 24 VAC Triac binary output
- m. The CGM shall have the ability to monitor and control a network of sensors and actuators over a SA Bus.
- n. The CGM shall include three (3) decimal rotary dial switches for setting the BACnet MS/TP device address.
- o. The CGM shall have the capability to execute complex control sequences involving direct wired I/O points as well as input and output devices communicating over a MS/TP Bus.
- p. The CGM shall support a Local Controller Display as a remote device communicating over the SA Bus.
 - i. The Display shall use a BACnet Standard SSPC-135 MS/TP protocol.
 - ii. The Display shall allow the user to view monitored points without logging into the system.
 - iii. The Display shall allow the user to view and change setpoints, modes of operation, and parameters.
 - iv. The Display shall provide password protection with user adjustable password timeout.
 - v. The Display shall be menu driven with separate paths for:
 - Input/Output
 - Parameter/Setpoint
 - Overrides
 - vi. The Display shall use easy-to-read English text messages.
 - vii. The Display shall allow the user to select the points to be shown and in what order.
 - viii. The Display shall support a back lit LCD with adjustable contrast and brightness and automatic backlight brightening during user interaction.
 - ix. The Display shall have a keypad.
 - x. The Display shall be panel mountable.
- q. Provide Johnson Controls CGM or approved equal

2. VAV Box Controller (NOT USED)

- a. The VAV Box Controller (hereafter referred to as CVM) shall provide both standalone and networked DDC of pressure-independent, VAV terminal units.
- a. The CVM controller shall be a fully programmable, digital controller that communicates via BACnet MS/TP protocol over the FC Bus.
 - i. The CVM shall support BACnet Standard ANSI/ASHRAE 135.
 - The CVM shall be BTL listed/certified.
 - The CVM shall be tested and certified as a BACnet Advanced Application Controller (B-AAC).
 - A BACnet Protocol Implementation Conformance Statement shall be provided for the CVM.
- b. The CVM shall include 14 preloaded single duct VAV box control applications to allow the CVM to be made fully operational without the need to create a custom program.
- c. The CVM shall employ finite state programming to eliminate unnecessary conflicts between control functions at crossover points in their operational sequences. Suppliers using non-state based DDC shall provide separate control strategy diagrams for all controlled functions in their submittals.
- d. CVM controllers shall be factory programmed with a continuous adaptive tuning algorithm that senses changes in the physical environment and continually adjusts loop tuning parameters appropriately. Controllers that require manual tuning of loops or perform automatic tuning on command only shall not be acceptable.
- e. The CVM shall be assembled in a plenum-rated plastic housing with protection class IP20 (IEC529) and flammability rated to UL94-5VB.
 - i. The CVM shall include an integral real-time clock and support time-based tasks which enables these equipment controllers to monitor and control schedules, calendars, alarms, and trends
- f. The CVM can continue time-based monitoring when offline for extended periods of time from a network.
- g. The CVM shall include an integral differential pressure transducer and damper actuator. An additional configuration option shall be available that also includes an integral potentiometer for actual damper position feedback. All components shall be connected and mounted as a single assembly, removable as one piece.
- h. The integral damper actuator shall be a fast response stepper motor capable of stroking 90 degrees in 60 seconds for quick damper positioning to speed commissioning and troubleshooting tasks.
- i. The CVM shall determine airflow by a state-of-the-art, digital, non-flow pressure sensor that supports automatic correction for polarity on high- and low-pressure DP tube connections to eliminate high- and low-pressure connection mistakes.
- j. The CVM shall have the ability to automatically calibrate the flow sensor to eliminate pressure transducer offset error due to ambient temperature / humidity effects.
- k. The CVM can operate as a stand-alone controller in applications that do not require a networked supervisory device or for network applications where it is preferred to have the scheduling, alarming, and/or trending performed locally in the equipment controllers.

- l. The CVM shall include troubleshooting LEDs to indicate the following conditions normal or abnormal operation of power, controller fault, SA bus communications, FC Bus communications, and end of line on/off.
- m. The CVM shall have the ability to transfer and apply firmware files to all SA Bus devices (XPM, IOM, and Networked zone sensors) connected to it.
- n. The CVM shall include removable screw terminal blocks for all I/O, FC and SA Bus communication, and power wiring connections.
- o. The CVM shall accommodate the direct wiring of analog and binary I/O field points with the following resolution.
 - i. Inputs – 24-bit analog-to-digital converter
 - ii. Outputs – +/- 200 mV accuracy in 0-10 VDC applications
- p. The CVM shall support the following types of inputs and outputs supplied in the amounts required for the specified applications:
 - iii. Universal Inputs – 0-10 VDC analog input, 4-20 mA analog input, 0-600k ohms analog input, Dry contact binary input
 - iv. Binary Inputs – Dry Contact Maintained Mode, Pulse Counter Mode
 - v. Analog Outputs – 0-10 VDC analog output, 4-20 mA analog output
 - vi. Binary Outputs – 24 VAC Triac
 - vii. Configurable Outputs – 0-10 VDC analog output, 24 VAC Triac binary output
- q. The CVM shall have the ability to monitor and control a network of sensors and actuators over a SA Bus.
- r. The CVM shall include three (3) decimal rotary dial switches for setting the BACnet MS/TP device address.
- s. The CVM shall have the capability to execute VAV box control sequences involving direct wired I/O points as well as input and output devices communicating over the SA or FC buses.
- t. The controller shall utilize a proportional plus integration (PI) algorithm for the space temperature control loops.
- u. Each controller shall continuously, adaptively tune the control algorithms to improve control and controller reliability through reduced actuator duty cycle. In addition, this tuning reduces commissioning costs, and eliminates the maintenance costs of manually re-tuning loops to compensate for seasonal or other load changes.
- v. The controller shall provide the ability to download and upload VAV box control application configuration files, both locally and via the FC Bus. Controllers shall be able to be loaded individually or as a group.
- w. Control setpoint changes initiated over the network shall be written to CVM non-volatile memory.
- x. The CVM controller firmware shall be flash-upgradeable remotely via the FC bus.
- y. The CVM controller shall provide fail-soft operation if the airflow signal becomes unreliable, by automatically reverting to a pressure-dependent control mode.

- z. The CVM controller shall interface with balancer tools that allow automatic recalculation of box flow pickup gain ("K" factor), and the ability to directly command the airflow control loop to the box minimum and maximum airflow setpoints.
 - aa. The CVM controller shall have on-board diagnostics. These diagnostics shall consist of control loop performance measurements executing at each control loop's sample interval, which may be used to continuously monitor and document system performance. The CVM shall calculate Exponentially Weighted Moving Averages (EWMA) for each of the following metrics, which shall be available to the end user for efficient management of the VAV terminals.
 - bb. The controller shall detect system error conditions to assist in managing the VAV zones.
 - cc. The controller shall provide a flow test function to view damper position vs. flow in a graphical format. The information would alert the user to check damper position. The CVM would also provide a method to calculate actuator duty cycle as an indicator of damper actuator runtime.
 - dd. The CVM controller shall provide a compliant interface for ASHRAE Standard 62-1989 (indoor air quality), and shall be capable of resetting the box minimum airflow based on the percent of outdoor air in the primary air stream.
 - ee. The CVM controller shall comply with ASHRAE Standard 90.1 (energy efficiency) by preventing simultaneous heating and cooling, and where the control strategy requires reset of airflow while in reheat, by modulating the box reheat device fully open prior to increasing the airflow in the heating sequence.
 - ff. Provide Johnson Controls CVM or approved equal
3. XPM expansion I/O module (XPM)
- a. The XPM provides additional input and output interfaces for use in digital controllers.
 - b. The XPM shall communicate with controllers over the FC Bus or the SA Bus.
 - c. The XPM shall support BACnet Standard ANSI/ASHRAE 135.
 - i. The XPM shall be BTL listed/certified and carry the BTL Label.
 - ii. The XPM shall be tested and certified as a BACnet Smart Actuator (B-SA).
 - iii. A BACnet Protocol Implementation Conformance Statement shall be provided for the XPM.
 - d. The XPM shall include removable screw terminal blocks for all I/O, SA/FC bus communication, and power wiring connections.
 - e. The XPM shall include three (3) decimal rotary dial switches for setting the BACnet MS/TP device address.
 - f. The XPM shall accommodate the direct wiring of analog and binary I/O field points with the following resolution:
 - i. Inputs – 24-bit analog-to-digital converter
 - ii. Outputs – +/- 200 mV accuracy in 0-10 VDC applications
 - g. The XPM shall support the following types of inputs and outputs:
 - viii. Universal Inputs – 0-10 VDC analog input, 4-20 mA analog input, 0-600k ohms analog input, Dry contact binary input
 - ix. Binary Inputs – Dry Contact Maintained Mode, Pulse Counter Mode

- x. Analog Outputs – 0-10 VDC analog output, 4-20 mA analog output
 - xi. Binary Outputs – 24 VAC Triac
 - xii. Configurable Outputs – 0-10 VDC analog output, 24 VAC Triac binary output
- h. The XPM shall include troubleshooting LEDs to indicate the following conditions normal or abnormal operation of power, controller fault, SA bus communications, FC Bus communications, and end of line on/off.
- h. Provide Johnson Controls XPM or approved equal as shown on plans.
- 4. Network Sensors
 - a. The Network Sensors (NS) shall have the ability to monitor the following variables all within a single wall-mounted enclosure (no exceptions) as required by the systems sequence of operations:
 - i. Zone Temperature
 - ii. Zone Humidity
 - iii. Zone Occupancy
 - iv. Zone CO₂
 - b. The NS shall transmit the information back to the controller on the SA Bus using BACnet Standard protocol SSPC-135.
 - c. The NS shall be BTL listed/certified and carry the BTL Label.
 - i. The NS shall be tested and certified as a BACnet Smart Sensors (B-SS).
 - d. The Network Zone Temperature Sensors shall include the following items:
 - i. A backlit LCD to indicate the temperature, humidity and setpoint
 - ii. An LED to indicate the status of the Override feature
 - iii. A button to toggle the temperature display between Fahrenheit and Celsius
 - iv. A button to program the display for temperature or humidity
 - v. A button to initiate a timed override command
 - vi. Available in either surface mount, wall mount, or flush mount
 - vii. Available with either screw terminals or phone jack
 - e. Provide Johnson Controls NS series or approved equal where indicated on plans.

2.G System Tools

1. One software copy of each system tool shall be provided with the BMS
2. System Configuration Tool
 - a. The Configuration Tool shall be a software package enabling a computer platform to be used as a stand-alone engineering configuration tool for a Network Engine.
 - b. The configuration tool shall provide an archive database for the configuration and application data.
 - c. The configuration tool shall provide a site discovery feature to automatically discover field devices on connected buses and networks. Automatic discovery shall be available for the following field devices:

- i. BACnet Devices
 - i. LonWorks devices
 - ii. Johnson Controls N2 Bus devices
 - d. A wireless access point shall allow a wireless enabled portable PC to make a temporary Ethernet connection to the automation network.
 - e. Provide Johnson Controls SCT or approved equal.
3. Controller Configuration Tool
- a. As part of the single software tool environment including system and controller elements, the Controller Configuration Tool (CCT) shall be used to configure, simulate and commission equipment controllers and Network Control Engine controllers.
 - b. The CCT shall operate in distinct modes to facilitate efficiency at various steps in the steps leading to project completion as well as future upgrades and maintenance
 - c. The configuration tool shall be capable of programming the Equipment Controllers.
 - i. The configuration tool shall provide the capability to configure, simulate, and commission the Equipment Controllers.
 - ii. The configuration tool shall allow the equipment controllers to be run in Simulation Mode to verify the applications.
 - iii. The configuration tool shall contain a library of standard applications to be used for configuration.
 - d. The CCT shall provide multiple options for downloading files to the controllers including direct wired, wireless and Ethernet pass thru as dictated by controller type and location.
 - e. Provide Johnson Controls CCT or approve equal.

2.I Computing Hardware and Software

1. General
- a. Computing hardware, software and operating systems shall be provided at the revision level or model number as specified or at the latest release of the vendor if not specified.
 - b. In order to provide a consistent level of performance, all PCs shall be provided with Operating Systems and Processors by the manufacturer specified.
2. Dedicated Web Based User Interface
- a. PC Hardware – The personal computer(s) shall be configured as follows:
 - i. Memory – 16 GB (8 GB Minimum)
 - ii. CPU– Intel Quad Core processor. 3.2 GHz Clock Speed (minimum)
 - iii. Hard Drive – 500 GB hard drive capacity
 - iv. Hard drive backup system – CD/RW, DVD/RW or network backup software provided by owners IT department.
 - v. Ports – (2) USB 3.0, Ethernet, VGA, microphone/headset
 - vi. Keyboard – 101 Keyboard and 2 Button Mouse

- vii. Display configuration – 1-2 displays as follows:
 - Each Display – 24” LED Flat Panel Monitor 1920 x 1080 resolution minimum
 - 16 bit or higher color resolution
 - Display card with multiple monitor support
- b. Operating System Software
 - i. Windows 10 Professional or Enterprise Edition with Anniversary Update (64 bit)
 - ii. Provide complete operator workstation software package, including any hardware or software keys. Include the original installation disks and licenses for all included software, device drivers, and peripherals.
 - iii. Provide software registration cards to the Owner for all included software.
- c. Peripheral Hardware
 - i. Reports printer (Dedicated):
 - ii. Printer Make – Hewlett Packard DeskJet
 - iii. Print Speed – 600 DPI Black, 300 DPI Color
 - iv. Buffer – 64 K Input Print Buffer (minimum)
- 3. Application and Data Server
 - a. PC Hardware – The personal computer shall be configured as follows:
 - i. Memory – 8 GB
 - ii. CPU – Intel Dual Core processor. 2.8 GHz Clock Speed (minimum)
 - iii. Hard Drive – 240 GB free hard drive space after program installation
 - iv. Hard Drive Backup – DVD/RW or 500 GB portable back-up drive
 - v. Ports: (2) USB 3.0, VGA, HDMI 1920x1080 resolution, Ethernet – 10/100/1000
 - vi. User Interface:
 - 101 key full size QWERTY Keyboard with number pad
 - Two (2) Button LED mouse
 - LED flat panel 24 in. monitor with wide screen full HD resolution
 - b. Software/Operating System Requirements
 - i. Windows 10 Pro or Windows 10 Enterprise Editions with Anniversary Update (version 1607) (64-bit)
 - ii. SQL 2014 Express SP3 (64-bit)
 - iii. Microsoft Office Professional
 - iv. BMS supplier-specific programs and files required for described functionality.
- 4. Extended Application and Data Server
 - a. PC Hardware – The personal computer(s) shall be configured as follows:
 - i. Memory – 16 GB (8 GB Minimum)

- ii. CPU – Intel Quad Core processor. 3.2 GHz Clock Speed (minimum)
- iii. Optical Media Drive – DVD-RW 16x
- iv. Hard Drives – 2x 500GB configured as Raid 1 (mirroring) with write caching turned on
- v. Hard Drive Backup – 1TB portable back-up drive or secure network backup provided by owner's IT department
- vi. Ports: (2) USB 3.0, HDMI capable of Wide screen 1920x1080 resolution, Ethernet 10/100/1000
- vii. User Interface:
 - 101 key full size QWERTY Keyboard with number pad
 - Two (2) Button LED mouse
 - LED flat panel 24 in. monitor with wide screen full HD resolution
- b. Software/Operating System Requirements
 - i. Windows Server 2012 (64-bit)
 - ii. SQL 2014 SP2 (64-bit)
 - iii. Microsoft Office Professional
 - iv. BMS supplier-specific programs and files required for described functionality

2.J Miscellaneous Devices

1. Local Control Panels

- a. All control panels shall be factory constructed, incorporating the BMS manufacturer's standard designs and layouts. All control panels shall be UL inspected and listed as an assembly and carry a UL 508A label listing compliance. Control panels shall be fully enclosed, with sub-panel, hinged door, and flush latch.
- b. In general, the control panels shall consist of the DDC controller(s), display module as specified and indicated on the plans, and I/O devices—such as relays, transducers, and so forth—that are not required to be located external to the control panel due to function. Where specified the display module shall be flush mounted in the panel face unless otherwise noted.
- c. All I/O connections on the DDC controller shall be provide via removable or fixed screw terminals.
- d. Low and line voltage wiring shall be segregated. All provided terminal strips and wiring shall be UL listed, 300-volt service and provide adequate clearance for field wiring.
- e. All wiring shall be neatly installed in plastic trays or tie-wrapped.
- f. Control panels for use in seismic areas shall be built in an approved facility and carry the appropriate label.
- g. Except where otherwise noted, all standard and custom control panels shall be built in an ISO9002 certified facility.

2. Power Supplies

- a. DC power supplies shall be sized for the connected device load. Total rated load shall not exceed 75% of the rated capacity of the power supply.
- b. Input: 120 VAC +10%, 60Hz
- c. Output: 24 VDC
- d. Line Regulation: +0.05% for 10% line change
- e. Load Regulation: +0.05% for 50% load change
- f. Ripple and Noise: 1 mV rms, 5 mV peak to peak
- g. An appropriately sized fuse and fuse block shall be provided and located next to the power supply.
- h. A power disconnect switch and convenience 120VAC outlet shall be provided next to the power supply.

A. General Requirements

1. Installation, testing, and calibration of all sensors, transmitters, and other input devices shall be provided to meet the system requirements. Exact OEM equivalents of specified sensors and transmitters shall be acceptable if clearly identified in submittals.

B. Temperature Sensors

1. General Requirements

- a. Sensors and transmitters shall be provided, as outlined in the input/output summary and sequence of operations.
- b. The temperature sensor shall be of the resistance type, and shall be either two-wire 1000 ohm nickel RTD, or two-wire 1000 ohm platinum RTD. Thermistor sensors of 10,000 or 2,250 ohms resistance may be substituted based on the application.
- c. The following point types (and the accuracy of each) are required, and their associated accuracy values include errors associated with the sensor, lead wire, and A to D conversion.

Point Type	Accuracy
Chilled Water	+ .5°F
Room Temp	+ .5°F
Duct Temperature	+ .5°F
All Others	+ .75°F

2. Room Temperature Sensors - General

- a. Room sensors shall be constructed for either surface or wall box mounting.
- b. Room sensors shall have the following options when specified:
 - i. Setpoint warmer/cooler
 - ii. Individual heating/cooling setpoint
 - iii. Momentary override request for activation of after-hours operation
 - iv. Analog thermometer

3. ROOM TEMPERATURE SENSORS WITH INTEGRAL DISPLAY SHALL BE USED FOR THIS PROJECT

- a. Room sensors shall be constructed for either surface or wall box mounting.
- b. Room sensors shall have an integral LCD display and the following capabilities when specified:
 - i. Display room air temperatures
 - ii. Display and adjust room comfort setpoint
 - iii. Display and adjust fan operation status
 - iv. Setpoint override request via setpoint adjust dial or buttons
 - v. Timed override request via occupancy override with status indication for activation of after-hours setpoint operation
 - vi. Occupancy sensor status
 - vii. Toggle between Degrees F and Degrees C
 - viii. Toggle between temperature and humidity where specified

4. Thermowells

- a. Thermowell manufacturer shall have models available in stainless steel, brass body, and copper bulb.
- b. When thermowells are required, the sensor and well shall be supplied as a complete assembly, including wellhead and sensor.
- c. Thermowells shall be pressure rated and constructed in accordance with the system working pressure.
- d. Thermowells and sensors shall be mounted in a direct mount (no adapter) offering faster installation or 1/2" NFT saddle and allow easy access to the sensor for repair or replacement.
- e. Thermowells constructed of 316 stainless steel shall comply with Canadian Registration Number (CRN) pressure vessel rating.

5. Outside Air Sensors

- a. Outside air sensors shall be designed to withstand the environmental conditions to which they will be exposed. They shall be provided with a solar shield.
- b. Sensors exposed to wind velocity pressures shall be shielded by a perforated plate that surrounds the sensor element.
- c. Temperature transmitters shall be of NEMA 3R (IP54) or NEMA 4 (IP65) construction and rated for ambient temperatures.
- d. The outdoor sensor shall be capable of being mounted on a roof, pole or side of a building utilizing its preassembled mounting bracket.
- e. Outside air relative humidity sensors 0-100% full range of accurate measurement. Operating temperature -4 to 140°F (-20 to 60°C).
- f. Outside air temperature sensors operating temperature range -40 to 140°F, +/- .55°F (+/- .3°C).

6. Duct Mount Sensors

- a. Duct mount sensors shall mount in an electrical box through a hole in the duct, positioned to provide ease of accessibility for repair or replacement.
 - b. Duct sensors shall be insertion type and constructed as a complete assembly, including lock nut and mounting plate.
 - c. For outdoor air duct applications, a weatherproof mounting box with weatherproof cover and gasket shall be provided.
7. Averaging Sensors
 - a. For ductwork greater in any dimension than 48 inches and/or where air temperature stratification exists, an averaging sensor with multiple sensing points shall be used.
 - b. For plenum applications, such as mixed air temperature measurements, a continuous averaging sensor or a string of sensors mounted across the plenum shall be used to account for stratification and/or air turbulence. The averaging string shall have a minimum of 4 sensing points per 12-foot long segment.
 - c. Capillary supports at the sides of the duct shall be provided to support the sensing string.
8. Acceptable Manufacturers: Johnson Controls, Minco.
- C. Humidity Sensors
 1. The sensor shall be a solid-state type, relative humidity sensor of the Thin Film Capacitance or Bulk Polymer Design. The sensor element shall resist service contamination.
 2. The humidity transmitter shall be equipped with non-interactive span and zero adjustments, a 2-wire isolated loop powered, 4-20 mA, 0-100% linear proportional output.
 3. The humidity transmitter shall meet the following overall accuracy, including lead loss and Analog to Digital conversion. 3% between 20% and 80% RH at 77°F unless specified elsewhere.
 4. Outside air relative humidity sensors shall be installed with a rain proof, perforated cover. The transmitter shall be installed in a NEMA 3R (IP54) or NEMA 4 (IP65) enclosure with sealtight fittings.
 5. A single point humidity calibrator shall be provided, if required, for field calibration. Transmitters shall be shipped factory pre-calibrated.
 6. Duct type sensing probes shall be constructed of 304 stainless steel, and shall be equipped with a neoprene grommet, bushings, and a mounting bracket.
 7. Acceptable Manufacturers: Johnson Controls and Vaisala.
- D. CO₂ Sensors
 1. Where shown on the drawings, CO₂ sensors shall have the following features:
 - d. Jumper selectable: 0-20mA, 4-20mA & 0-10 VDC output
 - e. Liquid Crystal Display (LCD)
 2. The CO₂ sensors shall have the ability to monitor and output the following variables as required by the systems sequence of operations:
 - a. Zone CO₂
 3. The CO₂ shall transmit the information back to the controller via jumper selectable 0-20mA, 4-20mA & 0-10 VDC output signals:

- a. The CO₂ sensors shall provide a maximum output current of 25mA; Maximum output voltage of 12.5V.
 - b. The CO₂ sensors shall be FCC compliant to CFR47 Part 15 subpart B Class A.
 4. The CO₂ sensors shall be available with:
 - a. CO₂ response time (0-63%) of 1 minute
 - b. Less than 0.083% of full scale/°F temperature dependence of CO₂ output
 - c. Long term CO₂ stability $\pm 5\%$ of full scale for 5 years
 - d. CO₂ measurement accuracy of $\pm(40\text{ppm} + 2.0\%$ of reading)
 - e. CO₂ non-linearity of less than 1.0% of full scale
 5. The CO₂ sensors may include the following items:
 - a. Relay output module
 - b. LCD module
 - c. Analog temperature module with linear 0-10 VDC output for 32-122F
- E. Flow Monitoring
 1. Air Flow Monitoring
 - a. Fan Inlet Air Flow Measuring Stations
 - i. At the inlet of each fan and near the exit of the inlet sound trap, airflow sensors shall be provided that shall continuously monitor the fan air volumes or velocity pressure.
 - ii. Each sensor shall be surface mount type. Unit shall be capable of monitoring and reporting the airflow and temperature at each fan inlet location through two or four sensing circuits. If a static pressure manifold is used, it shall incorporate dual offset static tips on the opposing sides of the averaging manifold so as to be insensitive to flow-angle variations of as much as $+ 20^\circ$ in the approaching air stream.
 - iii. Devices creating fan performance degradation, resulting in additional energy consumption, caused from pressure drop associated with probes or mounting apparatus in the center of the fan inlet are not allowed. The device shall not induce a significant pressure drop, nor shall the sound level within the duct be amplified by its singular or multiple presence in the air stream. Sensor circuit casings shall be constructed of U.L. 94 flame rated high impact ABS and include a stainless steel thermistor cap that maintains the precise calibrated flow over the heated and ambient measurement points.
 - iv. Acceptable manufacturers: Johnson Controls, Air Monitor Corp., Tek-Air Systems, Inc., or Dietrich Standard
 - b. Single Probe Air Flow Measuring Sensor
 - i. The single probe airflow-measuring sensor shall be duct mounted with an adjustable sensor insertion length of up to eight inches. The transmitter shall produce a 4-20 mA or 0-10 VDC signal linear to air velocity. The sensor shall be a thermal dispersion and utilize one temperature sensor and a heated thermistor. The sensor pair shall measure the air temperature and airflow velocity.
- F. Status and Safety Switches
 1. General Requirements

- a. Switches shall be provided to monitor equipment status, safety conditions, and generate alarms at the Building Management System (BMS) when a failure or abnormal condition occurs. Safety switches shall be provided with two sets of contacts and shall be interlock wired to shut down respective equipment.
- 2. Current Sensing Switches
 - a. The current sensing switch shall be self-powered with solid-state circuitry and a dry contact output. It shall consist of a current transformer, a solid state current sensing circuit, adjustable trip point, solid state switch, SPDT relay, and an LED indicating the on or off status. A conductor of the load shall be passed through the window of the device. It shall accept over-current up to twice its trip point range.
 - b. Current sensing switches shall be used for run status for fans, pumps, and other miscellaneous motor loads.
 - c. Current sensing switches shall be calibrated to show a positive run status only when the motor is operating under load. A motor running with a broken belt or coupling shall indicate a negative run status.

G. Control Relays

- 1. Control Pilot Relays
 - a. Control pilot relays shall be of a modular plug-in design with retaining springs or clips.
 - b. Mounting Bases shall be snap-mount.
 - c. DPDT, 3PDT, or 4PDT relays shall be provided, as appropriate for application.
 - d. Contacts shall be rated for 10 amps at 120VAC.
 - e. Relays shall have an integral indicator light and check button.
 - f. Acceptable manufacturers: Johnson Controls, Lectro

Part 3 – Performance/Execution

3.A BMS Specific Requirements

- a. User shall access the various system schematics via a graphical penetration scheme and/or menu selection via the spaces navigation tree.
- 2. Custom Reports:
 - a. Provide custom reports as required for this project.

3.B Installation Practices

- 1. BMS Wiring
 - a. All conduit, wiring, accessories and wiring connections required for the installation of the BMS, as herein specified, shall be provided by the BMS Contractor unless specifically shown on the Electrical Drawings under Division 24 Electrical. All wiring shall comply with the requirements of applicable portions of Division 24 and all local and national electric codes, unless specified otherwise in this section.
 - b. All BMS wiring materials and installation methods shall comply with BMS manufacturer recommendations.

- c. The sizing, type and provision of cable, conduit, cable trays, and raceways shall be the design responsibility of the BMS Contractor.
 - d. Class 2 Wiring
 - i. All Class 2 (24 VAC or less) wiring shall be installed in conduit unless otherwise specified.
 - ii. Conduit is not required for Class 2 wiring in concealed accessible locations. Class 2 wiring not installed in conduit shall be supported every 5' from the building structure utilizing metal hangers designed for this application. Wiring shall be installed parallel to the building structural lines. All wiring shall be installed in accordance with local code requirements.
 - e. Class 2 signal wiring and 24 VAC power can be run in the same conduit. Power wiring 120VAC and greater cannot share the same conduit with Class 2 signal wiring.
 - f. Provide for complete grounding of all applicable signal and communications cables, panels and equipment so as to ensure system integrity of operation. Ground cabling and conduit at the panel terminations. Avoid grounding loops.
- 2. BMS Line Voltage Power Source
 - a. 120-volt AC power for the BMS shall be provided by Division 26.
- 3. BMS Raceway
 - a. All wiring shall be installed in conduit or raceway except as noted elsewhere in this specification. Minimum control wiring conduit size 3/4".
 - b. Where it is not possible to conceal raceways in finished locations, surface raceway (Wiremold) may be used as approved by the Architect.
 - c. All conduits and raceways shall be installed level, plumb, at right angles to the building lines and shall follow the contours of the surface to which they are attached.
 - d. Flexible Metal Conduit shall be used for vibration isolation and shall be limited to 3 feet in length when terminating to vibrating equipment. Flexible Metal Conduit may be used within partition walls. Flexible Metal Conduit shall be UL listed.
- 4. Penetrations
 - a. Provide fire stopping for all penetrations used by dedicated BMS conduits and raceways.
 - b. All openings in fire proofed or fire stopped components shall be closed by using approved fire resistive sealant.
 - c. All wiring passing through penetrations, including walls shall be in conduit or enclosed raceway.
 - d. Penetrations of floor slabs shall be by core drilling. All penetrations shall be plumb, true, and square.
- 5. BMS Identification Standards
 - a. Node Identification. All nodes shall be identified by a permanent label fastened to the enclosure. Labels shall be suitable for the node location.
 - b. Cable types specified in Item A shall be color coded for easy identification and troubleshooting.
- 6. BMS Panel Installation

- a. The BMS panels and cabinets shall be located as indicated at an elevation of not less than 2 feet from the bottom edge of the panel to the finished floor. Each cabinet shall be anchored per the manufacturer's recommendations.
- b. The BMS contractor shall be responsible for coordinating panel locations with other trades.
- 7. Input Devices
 - a. All Input devices shall be installed per the manufacturer recommendation.
 - b. Locate components of the BMS in accessible local control panels wherever possible.
- 8. HVAC Input Devices – General
 - a. All Input devices shall be installed per the manufacturer recommendation.
 - b. Locate components of the BMS in accessible local control panels wherever possible.
 - c. The mechanical contractor shall install all in-line devices such as temperature wells, pressure taps, airflow stations, etc.
 - d. Input Flow Measuring Devices shall be installed in strict compliance with ASME guidelines affecting non-standard approach conditions.
 - e. Outside Air Sensors
 - i. Sensors shall be mounted on the North wall to minimize solar radiant heat impact or located in a continuous intake flow adequate to monitor outdoor air conditions accurately.
 - ii. Sensors shall be installed with a rain proof cover.
 - f. Water Differential Pressure Sensors
 - i. Differential pressure transmitters used for flow measurement shall be sized to the flow-sensing device.
 - ii. Differential pressure transmitters shall be supplied with tee fittings and shut-off valves in the high and low sensing pick-up lines.
 - iii. The transmitters shall be installed in an accessible location wherever possible.
 - g. Medium to High Differential Water Pressure Applications (Over 21" WC)
 - i. Air bleed units, bypass valves and compression fittings shall be provided.
 - h. Building Differential Air Pressure Applications (-0.5" to +0.5" WC)
 - i. Transmitters exterior sensing tip shall be installed with a shielded static air probe to reduce pressure fluctuations caused by wind.
 - ii. The interior tip shall be inconspicuous and located as shown on the drawings.
 - i. Air Flow Measuring Stations
 - i. Where the stations are installed in insulated ducts, the airflow passage of the station shall be the same size as the inside airflow dimension of the duct.
 - ii. Station flanges shall be two inch to three inch to facilitate matching connecting ductwork.
 - j. Duct Temperature Sensors

- i. Duct mount sensors shall mount in an electrical box through a hole in the duct and be positioned so as to be easily accessible for repair or replacement.
 - ii. The sensors shall be insertion type and constructed as a complete assembly including lock nut and mounting plate.
 - iii. For ductwork greater in any dimension than 48 inches or where air temperature stratification exists such as a mixed air plenum, utilize an averaging sensor.
 - iv. The sensor shall be mounted to suitable supports using factory approved element holders.
- k. Space Sensors
 - i. Shall be mounted per ADA requirements.
 - ii. Provide lockable tamper-proof covers in public areas and/or where indicated on the plans.
- l. Low Temperature Limit Switches
 - i. Install on the discharge side of the first water or steam coil in the air stream.
 - ii. Mount element horizontally across duct in a serpentine pattern ensuring each square foot of coil is protected by 1 foot of sensor.
 - iii. For large duct areas where the sensing element does not provide full coverage of the air stream, provide additional switches as required to provide full protection of the air stream.
- m. Air Differential Pressure Status Switches
 - i. Install with static pressure tips, tubing, fittings, and air filter.
- n. Water Differential Pressure Status Switches
 - i. Install with shut off valves for isolation.
- o. HVAC Output Devices
 - i. All output devices shall be installed per the manufacturers' recommendation. The mechanical contractor shall install all in-line devices such as control valves, dampers, airflow stations, pressure wells, etc.
 - ii. Control Dampers: Shall be opposed blade for modulating control of airflow. Parallel blade dampers shall be installed for two position applications.
 - iii. Control Valves: Shall be sized for proper flow control with equal percentage valve plugs. The maximum pressure drop for water applications shall be 5 PSI. The maximum pressure drop for steam applications shall be 7 PSI.
 - iv. Electronic Signal Isolation Transducers: Whenever an analog output signal from the BMS is to be connected to an external control system as an input (such as a chiller control panel), or is to receive as an input a signal from a remote system, provide a signal isolation transducer. Signal isolation transducer shall provide ground plane isolation between systems. Signals shall provide optical isolation between systems.

3.C Training

- 1. The BMS contractor shall provide the following training services:

- a. Three days (4 hours) of on-site orientation by a system technician who is fully knowledgeable of the specific installation details of the project. This orientation shall, at a minimum, consist of a review of the project as-built drawings, the BMS software layout and naming conventions, a walk through of the facility to identify panel and device locations, and an abbreviated version of Factory Operator's training. Submit training agenda to the engineer for approval as part of the submittal process.

3.D Commissioning Requirements

1. Fully commission all aspects of the BMS work.
2. Acceptance Check Sheet
 - a. Prepare a check sheet that includes all points for all functions of the BMS as indicated on the point list included in this specification.
 - b. Submit the check sheet to the Engineer for approval.
 - c. The Engineer will use the check sheet as the basis for acceptance with the BMS Contractor.

3.E Performance Verification

1. The BMS Contractor shall perform a complete Performance Verification (PV) of the Building management system three times throughout the project:
 - a. At project turnover to customer.
 - b. At six (6) months of project operation.
 - c. At twelve (12) months of project operation or end of warranty.
2. Performance Verification shall include a complete and current Building Automation System site inventory including the following information at a minimum: a listing of all field and supervisory controllers with the following key attribute data; corresponding model numbers, firmware versions, available security updates, CPU and memory performance data, battery conditions, integrations, controlled equipment, and device and point counts.
3. Performance Verification shall include a complete written evaluation of system configuration and performance in the following categories:
 - a. Security – The Security evaluation shall include information about controllers that require security updates and conformance of user accounts to latest security rules and best practices.
 - b. Energy Performance – The Energy Performance and Savings evaluation shall identify opportunities through schedule and nightly setbacks, economizers, eliminating simultaneous heating and cooling and adding VSD to equipment.
 - c. Comfort and Health – The Comfort and Health evaluation shall identify temperature, pressure, and carbon dioxide values that deviate from desired set points that could lead to occupant discomfort.
 - d. Reliability – The Reliability evaluation shall identify overridden control points, control points creating excessive alarms, and opportunities to adding control points and trends to further enable system functionality.
 - e. Standards – The Standards evaluation shall identify conformance to published standards for point count, network performance and protocol standards.
4. Provide all reports as specified on a new, USB compatible flash drive.

3.F SEQUENCE OF OPERATION

Constant volume air handing unit, with separate return fans

Provide OA temperature , RH and CO2,
Provide space temperature, RH and CO2 sensors
Provide multiple space CO2 sensors as per plan

Unit start up,
Supply fan runs constant speed.
OAI damper opens to minimum.
Spill air damper opens minimum.
Return fans interlocked to start and run at constant speed.

Unit deenergized to stop.
Supply fan runs stops.
OAI damper closes
Spill air damper closes.
Return fans interlocked to stop.

Summer winter changeover based on OA temperature.
Bellow 55 F heating (adjustable
Above 65 F cooling (adjustable).

Cooling – chilled water control (NC) valve modules SA temp in response to room temperature
Heating – Hot water control valve (NO) modules SA temp in response to room temperature

Economizer (enthalpy based)

When outside air temperature and humidity conditions permit, the OAI and spill dampers shall modulate open and the RA damper shall modulate toward closed in response to space to space temperature to maintain setpoint.

CHW control valve shall modulate toward closed.

The above sequence shall be disabled if space RH exceeds set point.

Demand control ventilation.

OA, RA, and spill dampers shall modulate in sequence to maintain CO2 levels in the space no greater than 400 PPM above ambient. On an increase in CO2 above setpoint (any sensor) the OA and spill dampers shall modulate toward open, and the RA damper shall modulate toward closed. On a drop in space CO2 levels below (any sensor) the opposite sequence shall take place in order to decrease OA volume. OA and spill air dampers shall have a 10% min setpoint and shall not be allowed to go below. RA shall have a max setpoint 90 % unless I economizer operation.

Fire Alarm.

When smoke is detected in either the SA or RA ductwork, or when the building goes into FA the unit shall be deenergized. SA and RA fans shall stop OA, spill air dampers shall be closed and RA damper shall be open.

Smoke purge.

The smoke sequence is initiated at the building fire alarm panel all temperature and humidity controls shall be over ridden. The OA damper shall go full open, the supply fan shall start. The return dampers and spill dampers shall remain closed, the return fans shall be off. Control valves shall modulate to maintain 60 F SA temperature in the winter. Supply dampers shall be open.

Dehumidification.

If space set point is being maintained and the space RH setpoint is exceeded the SA and RA fan speeds shall be allowed to modulate down to as low 75% while the CHW control valves modules open. Until room temperature set point can no longer be satisfied at which point the fan speed shall modulate up.

Dirty filters

Provide magnehelic for each filter bank. Install pressure sensors before and after each filter. Differential pressure shall be measured at each filter bank and indicated on the BMS air handler unit graphic display. The BMS shall be configured to indicate a filter change out alarm on the BMS when the DP reaches the specified 50 % dirty value in the the AHU schedule.

END OF SECTION 230923

SECTION 230923.11

CONTROL VALVES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes control valves and actuators for DDC systems.

1.3 DEFINITIONS

- A. Cv: Design valve coefficient.
- B. DDC: Direct-digital control.
- C. NBR: Nitrile butadiene rubber.
- D. PTFE: Polytetrafluoroethylene
- E. RMS: Root-mean-square value of alternating voltage, which is the square root of the mean value of the square of the voltage values during a complete cycle.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product, including the following:
 - 1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes.
 - 2. Operating characteristics, electrical characteristics, and furnished accessories indicating process operating range, accuracy over range, control signal over range, default control signal with loss of power, calibration data specific to each unique application, electrical power requirements, and limitations of ambient operating environment, including temperature and humidity.
 - 3. Product description with complete technical data, performance curves, and product specification sheets.
 - 4. Installation, operation, and maintenance instructions, including factors affecting performance.
- B. Shop Drawings:

1. Include plans, elevations, sections, and mounting details.
2. Include details of product assemblies. Indicate dimensions, weights, loads, and required clearances, method of field assembly, components, and location and size of each field connection.
3. Include diagrams for power, signal, and control wiring.
4. Include diagrams for pneumatic signal and main air tubing.

C. Delegated-Design Submittal:

1. Schedule and design calculations for control valves and actuators, including the following:
 - a. Flow at project design and minimum flow conditions.
 - b. Pressure differential drop across valve at project design flow condition.
 - c. Maximum system pressure differential drop (pump close-off pressure) across valve at project minimum flow condition.
 - d. Design and minimum control valve coefficient with corresponding valve position.
 - e. Maximum close-off pressure.
 - f. Leakage flow at maximum system pressure differential.
 - g. Torque required at worst case condition for sizing actuator.
 - h. Actuator selection indicating torque provided.

1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings:** Plan drawings and corresponding product installation details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Control valve installation location shown in relationship to room, duct, pipe, and equipment.
 2. Size and location of wall access panels for control valves installed behind walls.
 3. Size and location of ceiling access panels for control valves installed above inaccessible ceilings.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data:** For control valves to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories:** Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

- B. ASME Compliance: Fabricate and label products to comply with ASME Boiler and Pressure Vessel Code where required by authorities having jurisdiction.
- C. Ground Fault: Products shall not fail due to ground fault condition when suitably grounded.
- D. Backup Power Source: Systems and equipment served by a backup power source shall have associated control valve actuators served from a backup power source.
- E. Environmental Conditions:
 - 1. Provide electric control valve actuators, with protective enclosures satisfying the following minimum requirements unless more stringent requirements are indicated. Electric control valve actuators not available with integral enclosures, complying with requirements indicated, shall be housed in protective secondary enclosures.
 - a. Hazardous Locations: Explosion-proof rating for condition.
- F. Body & Trim. Body and trim style and materials shall be in accordance with the manufacturer's recommendations for design conditions and service shown in compliance with the following at a minimum:
 - 1. Valve pattern, 2-way straight through, shall be as indicated on Drawings.
 - 2. Modulating two-way pattern control valves shall have equal percentage flow-throttling characteristics unless otherwise indicated.
 - 3. Modulating three-way pattern water valves shall have linear flow-throttling characteristics. The total flow through the valve shall remain constant regardless of the valve's position
 - 4. **Valve bodies shall meet or exceed pressure and temperature class rating based upon design operating temperature and 150% design operating pressure. Unless otherwise specified or scheduled, minimum body rating for any valve is 125 psi and a maximum fluid temperature of 350°F.**
 - 5. Valves shall have stainless-steel stems and stuffing boxes with extended necks to clear the piping insulation.
 - 6. Globe valves shall have replaceable seats.
- G. Determine control valve sizes and flow coefficients by ISA 75.01.01.
 - 1. Water Valves. Unless otherwise specified or scheduled, water valves shall follow the following criteria:
 - a. Two-position service: Line size.
 - b. Two-way modulating service: Pressure drop shall be equal to twice the pressure drop through the heat exchanger (coil, load, etc.), 50% of the pressure difference between the supply and return mains, or 5 psi (Maximum).
 - c. Three-way modulating service: Pressure drop shall be equal to twice the pressure drop through the heat exchanger (coil, load, etc.), (5 psi) maximum.
 - d. Valves 1/2" through 2" shall be bronze or cast brass body ANSI Class 250, spring-loaded, PTFE packing quick opening for two-position service.
 - e. Valves larger than 2 1/2" and larger shall be cast iron ANSI Class 125 with guided plug and PTFE packing.
 - f. Valves 1/2" through 2" shall be ANSI/ASME B1.20.1 (NPT) threaded connections.
 - g. Valves 2 1/2" and larger shall use flanged connections.

- H. Control valve characteristics and rangeability of 50:1 and shall comply with ISA 75.11.01.
- I. Control valve shutoff classifications shall be FCI 70-2, Class IV. Close-Off/Differential Pressure Rating. All valves shall be guaranteed to have not more than 1% leakage of design flow rate at the pump shut-off pressure. All valve actuators and trim shall be furnished to provide the following minimum close-off pressure ratings unless otherwise specified or scheduled:
 - 1. Two-way water valves: 150% of total system (pump) head.
 - 2. Three-way water valves: 300% of pressure differential between ports A and B at design flow or 100% of total system (pump) head (whichever is greater).
 - 3. Steam valves: 150% of operating (inlet) pressure.
- J. Fail positions unless otherwise indicated:
 - 1. Chilled Water: close
 - 2. Condenser Water: close
 - 3. Heating Hot Water: Open.
 - 4. Valves for chilled water shall use all internal trim,(including seats, rings, modulating plugs and springs), of 316 stainless steel, regardless of body style.
 - 5. Valves for hot water service between 210F and 250F shall have all internal trim (including seats, rings, modulating plugs and springs) of Type 316 Stainless Steel
 - 6. Valves for hot water service below 210F shall have all internal trim (including seats, rings, modulating plugs and springs) of Brass, Bronze or Type 316 Stainless Steel

2.2 BALL-STYLE CONTROL VALVES

- A. General:
 - 1. All control ball valves shall feature characterized flow guides when used for modulating applications.
- B. Ball Valves with Single Port and Characterized Disk:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Belimo Aircontrols (USA), Inc
 - 2. Pressure Rating for NPS 1 and Smaller: Nominal 600 WOG.
 - 3. Pressure Rating for NPS 1-1/2 through NPS 2: Nominal 400 WOG.
 - 4. Close-off Pressure: 200 psig.
 - 5. Process Temperature Range: Zero to 212 deg F.
 - 6. Body and Tail Piece: Cast bronze ASTM B 61, ASTM B 62, ASTM B 584, or forged brass with nickel plating.
 - 7. End Connections: Threaded (NPT) ends.
 - 8. Ball: Chrome-plated brass or bronze or 300 series stainless steel.
 - 9. Stem and Stem Extension:
 - a. Material to match ball.
 - b. Blowout-proof design.

- c. Sleeve or other approved means to allow valve to be opened and closed without damaging the insulation or the vapor barrier seal.
 10. Ball Seats: Reinforced PTFE.
 11. Stem Seal: Reinforced PTFE packing ring with a threaded packing ring follower to retain the packing ring under design pressure with the linkage removed. Alternative means, such as EPDM O-rings, are acceptable if an equivalent cycle endurance can be demonstrated by testing.
 12. Flow Characteristic: Equal percentage.
- C. Ball Valves with Two Ports and Characterized Disk:
1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Belimo Aircontrols (USA), Inc.
 2. Pressure Rating for NPS 1 and Smaller: Nominal 600 WOG.
 3. Pressure Rating for NPS 1-1/2 through NPS 2: Nominal 400 WOG.
 4. Close-off Pressure: 200 psig.
 5. Process Temperature Range: Zero to 212 deg F.
 6. Body and Tail Piece: Cast bronze ASTM B 61, ASTM B 62, ASTM B 584, or forged brass with nickel plating.
 7. End Connections: Threaded (NPT) ends.
 8. Ball: Chrome-plated brass or bronze or 300 series stainless steel].
 9. Stem and Stem Extension:
 - a. Material to match ball.
 - b. Blowout-proof design.
 - c. Sleeve or other approved means to allow valve to be opened and closed without damaging the insulation or the vapor barrier seal.
 10. Ball Seats: Reinforced PTFE.
 11. Stem Seal: Reinforced PTFE packing ring with a threaded packing ring follower to retain the packing ring under design pressure with the linkage removed. Alternative means, such as EPDM O-rings, are acceptable if an equivalent cycle endurance can be demonstrated by testing.
 12. Flow Characteristics for A-Port: Equal percentage.
 13. Flow Characteristics for B-Port: Modified for constant common port flow.
- D. Ball Valves with Single Port and Segmented Ball:
1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Valve Solutions, Inc.
 2. ASME B16.10 face-to-face dimensions.
 3. Valves NPS 2 and Smaller: Threaded (NPT) ends.

4. Valves NPS 2-1/2 through NPS 6: Flanged ends suitable for mating to ASME B16.5 flanges.
5. Body: Carbon or stainless steel.
6. Ball and Shaft: Stainless steel.
7. Shaft and Segmented Ball: Pinned and welded.
8. Ball Seat: Graphite.
9. Packing: PTFE V-rings and graphite packing follower.
10. Replaceable seat, ball, and shaft packing.
11. Label each valve with following:
 - a. Manufacturer's name, model number, and serial number.
 - b. Body size.
 - c. Flow directional arrow.

E. Ball Valves with Segmented Ball, Three-Way Pattern:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Valve Solutions, Inc.
2. Arrangement: Two single-port valves mated to a fabricated tee with interconnecting mechanical linkage.
3. Performance:
 - a. Process Temperature Rating: Minus 20 to plus 450 deg F.
 - b. ASME B16.34, Class 300.
 - c. Leakage: FCI 70-2, Class IV.
 - d. Rangeability: 300 to 1.
 - e. Rotation: Zero to 90 degrees.
 - f. Equal percentage flow characteristic.
4. Face-to-Face Dimensions: ASME B16.10.
5. Valves NPS 3 through NPS 6: Flanged ends suitable for mating to ASME B16.5 flanges.
6. Body: Carbon or stainless steel.
7. Ball and Shaft: Stainless steel.
8. Shaft and Segmented Ball: Pinned and welded.
9. Ball Seat: Graphite.
10. Packing: PTFE V-rings and graphite packing follower.
11. Replaceable seat, ball, and shaft packing.
12. Label each valve with following:
 - a. Manufacturer's name, model number, and serial number.
 - b. Body size.
 - c. Flow directional arrow.

F. Ball Valves with Full Ball and Characterized V-Notch:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:

- a. Flow-Tek, Inc.
2. Performance:
 - a. Process Temperature Rating: Minus 20 to plus 500 deg F.
 - b. ASME B16.34, Class 600 for NPS 2 and smaller; Class 150 or Class 300 for larger than NPS 2.
 - c. Leakage: FCI 70-2, Class VI, bi-directional.
 - d. Rangeability: Varies from 200 to 1 up to 800 to 1 based on notch pattern of ball.
 - e. Rotation: Zero to 90 degrees.
 - f. Equal percentage flow characteristic.
 - g. Full port.
3. Face-to-Face Dimension: ASME B16.10 long pattern.
4. Valves NPS 2 and Smaller: ASME B1.20.1 threaded (NPT) ends and three-piece body.
5. Valves NPS 2-1/2 through NPS 12: Flanged ends suitable for mating to ASME B16.5 flanges and two-piece body.
6. Hole in the stem slot of each ball equalizes pressure between the body cavity and the line media flow.
7. Replaceable seat, ball, and shaft packing.
8. Body: Carbon or stainless steel.
9. Ball and Shaft: Stainless steel.
10. Ball Seat: RPTFE.
11. Stem Seals for Valves NPS 2 and Smaller: Live-loaded, self-adjusting, primary and secondary sealing using belleville washers.
 - a. Primary Seal: Combination of thrust washer and thrust washer protector.
 - b. Secondary Seal: Adjustable stem packing composed of RPTFE V-rings.
12. Stem Seals for Valves Larger than NPS 2: Independent packing gland, adjusted without removing mounting hardware or operator, and contoured to uniformly distribute load across packing.
 - a. Primary Seal: Combination of thrust washer and thrust washer protector.
 - b. Secondary Seal: Adjustable stem packing composed of RPTFE V-rings.
13. Label each valve with following:
 - a. Manufacturer's name, model number, and serial number.
 - b. Body size.
 - c. Flow directional arrow.
- G. Pressure-Independent Ball Valves NPS 2 and Smaller:
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Belimo Aircontrols (USA), Inc.
 - b. HCI; Hydronics Components Inc.

2. Integral Pressure Regulator: Located upstream of ball to regulate pressure, to maintain a constant pressure differential while operating within a pressure differential range of 5 to 50 psig.
3. Body: Forged brass, nickel plated, and with threaded ends.
4. Ball: Chrome-plated brass.
5. Stem and Stem Extension: Chrome-plated brass, blowout-proof design.
6. Stem sleeve or other approved means to allow valve to be opened and closed without damaging field-applied insulation and insulation vapor barrier seal.
7. Ball Seats: Reinforced PTFE.
8. Stem Seal: Reinforced PTFE packing ring stem seal with threaded packing ring follower to retain the packing ring under design pressure with the linkage removed. Alternative means, such as EPDM O-rings, are acceptable if equivalent cycle endurance can be achieved.
9. Flow Characteristic: Equal percentage.

2.3 GLOBE-STYLE CONTROL VALVES

A. General Globe-Style Valve Requirements:

1. Globe-style control valve body dimensions shall comply with ISA 75.08.01.
2. Construct the valves to be serviceable from the top.
3. For cage guided valves, trim shall be field interchangeable for different valve flow characteristics, such as equal percentage, linear, and quick opening.
4. Reduced trim for one nominal size smaller shall be available for industrial valves NPS 1 and larger.
5. Replaceable seats and plugs.
6. Furnish each control valve with a corrosion-resistant nameplate indicating the following:
 - a. Manufacturer's name, model number, and serial number.
 - b. Body and trim size.
 - c. Arrow indicating direction of flow.

B. Two-Way Globe Valves NPS 2 and Smaller:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Johnson Controls, Inc.
2. Globe Style: Single port.
3. Body: Cast bronze or forged brass with ASME B16.5, Class 250 rating.
4. End Connections: Threaded.
5. Bonnet: Screwed.
6. Packing: PTFE V-ring.
7. Plug: Top guided.
8. Plug, Seat, and Stem: Brass or stainless steel.
9. Process Temperature Range: 35 to 248 deg F.
10. Ambient Operating Temperature: 35 to 150 deg F.
11. Leakage: FCI 70-2, Class IV.

12. Rangeability: 25 to 1.
13. Equal percentage flow characteristic.

C. Three-Way Globe Valves NPS 2 and Smaller:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Johnson Controls, Inc.
2. Globe Style: Mix flow pattern.
3. Body: Cast bronze or forged brass with ASME B16.5, Class 250 rating.
4. End Connections: Threaded.
5. Bonnet: Screwed.
6. Packing: PTFE V-ring.
7. Plug: Top guided.
8. Plug, Seat, and Stem: Brass or stainless steel.
9. Process Temperature Range: 35 to 248 deg F.
10. Ambient Operating Temperature: 35 to 150 deg F.
11. Leakage: FCI 70-2, Class IV.
12. Rangeability: 25 to 1.
13. Linear flow characteristic.

D. Two-Way Globe Valves NPS 2-1/2 to NPS 6:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Johnson Controls, Inc.
2. Globe Style: Single port.
3. Body: Cast iron complying with ASME B61.1, Class 125.
4. End Connections: Flanged, suitable for mating to ASME B16.5, Class 150 flanges.
5. Bonnet: Bolted.
6. Packing: PTFE cone-ring.
7. Plug: Top or bottom guided.
8. Plug, Seat, and Stem: Brass or stainless steel.
9. Process Temperature Rating: 35 to 281 deg F.
10. Leakage: 0.1 percent of maximum flow.
11. Rangeability: Varies with valve size between 6 and 10 to 1.
12. Modified linear flow characteristic.

E. Three-Way Globe Valves NPS 2-1/2 to NPS 6:

1. Manufacturers: Subject to compliance with requirements, provide products by the following:
 - a. Johnson Controls, Inc.
2. Globe Style: Mix flow pattern.

3. Body: Cast iron complying with ASME B61.1, Class 125.
4. End Connections: Flanged suitable for mating to ASME B16.5, Class 150 flanges.
5. Bonnet: Bolted.
6. Packing: PTFE cone-ring.
7. Plug: Top or bottom guided.
8. Plug, Seat, and Stem: Brass or stainless steel.
9. Process Temperature Rating: 35 to 281 deg F.
10. Leakage: 0.1 percent of maximum flow.
11. Rangeability: Varies with valve size between 6 and 10 to 1.
12. Modified linear flow characteristic.

2.4 SOLENOID VALVES

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:

1. ASCO Valve, Inc.

- B. Description:

1. Action: Either normally open or normally closed in the event of electrical power failure as required by the application.
2. Size to close against the system pressure.
3. Manual override capable.
4. Heavy-duty assembly.
5. Body: Brass or stainless steel.
6. Seats and Discs: NBR or PTFE.
7. Solenoid Enclosure: NEMA 250, Type 4.

2.5 ELECTRIC AND ELECTRONIC CONTROL VALVE ACTUATORS

- A. Actuators for Hydronic Control Valves: Capable of closing valve against system pump shutoff head.
- B. Actuators for Steam Control Valves: Shutoff against 1.5 times steam design pressure.
- C. Position indicator and graduated scale on each actuator.
- D. Type: Motor operated, with or without gears, electric and electronic.
- E. Voltage: 24-V ac.
- F. Deliver torque required for continuous uniform movement of controlled device from limit to limit when operated at rated voltage.
- G. Function properly within a range of 85 to 120 percent of nameplate voltage.
- H. Construction:

1. For Actuators Less Than 100 W: Fiber or reinforced nylon gears with steel shaft, copper alloy or nylon bearings, and pressed steel enclosures.
2. For Actuators from 100 to 400 W: Gears ground steel, oil immersed, shaft hardened steel running in bronze, copper alloy or ball bearings. Operator and gear trains shall be totally enclosed in dustproof cast-iron, cast-steel or cast-aluminum housing.
3. For Actuators Larger Than 400 W: Totally enclosed reversible induction motors with auxiliary hand crank and permanently lubricated bearings.
4. All control valves shall have a visual position indicator.
5. All non-spring return actuators shall have an external clutch/manual gear release to allow manual positioning of the valve when the actuator is not powered. Spring return actuators with more than 60-in-LB torque capacity shall have a manual crank for this purpose. In lieu of a manual positioning device, it will be acceptable for the contractor to provide a full line size bypass around the control valve. Three bypass shut off valves shall be provided to allow the control valve to be isolated while the open stop valve in the bypass allows flow around the control valve.

I. Field Adjustment:

1. Spring Return Actuators: Easily switchable from fail open to fail closed in the field without replacement.
2. Gear Type Actuators: External manual adjustment mechanism to allow manual positioning when the actuator is not powered.

J. Two-Position Actuators: Single direction, spring return or reversing type.

K. Modulating Actuators:

1. Operation: Capable of stopping at all points across full range, and starting in either direction from any point in range.
2. Control Input Signal:
 - a. Three Point, Tristate, or Floating Point: Clockwise and counter-clockwise inputs. One input drives actuator to open position and other input drives actuator to close position. No signal of either input remains in last position.
 - b. Proportional: Actuator drives proportional to input signal and modulates throughout its angle of rotation. Suitable for zero- to 10- or 2- to 10-V dc 4- to 20-mA signals.
 - c. Pulse Width Modulation (PWM): Actuator drives to a specified position according to pulse duration (length) of signal from a dry contact closure, triac sink, or source controller.
 - d. Programmable Multi-Function:
 - 1) Control Input, Position Feedback, and Running Time: Factory or field programmable.
 - 2) Diagnostic: Feedback of hunting or oscillation, mechanical overload, mechanical travel, and mechanical load limit.
 - 3) Service Data: Include, at a minimum, number of hours powered and number of hours in motion.
3. All modulating actuators shall have an external, built-in switch to allow the reversing of direction of rotation

L. Position Feedback:

1. Equip where indicated two-position actuators with limits switches or other positive means of a position indication signal for remote monitoring of open and close position.
2. Equip where indicated, equip modulating actuators with a position feedback through current or voltage signal for remote monitoring.
3. Provide a position indicator and graduated scale on each actuator indicating open and closed travel limits.

M. Fail-Safe:

1. Where indicated, provide actuator to fail to an end position.
2. Internal spring return mechanism to drive controlled device to an end position (open or close) on loss of power.
3. Batteries, capacitors, and other non-mechanical forms of fail-safe operation are acceptable only where uniquely indicated.
4. Any mechanical equipment with direct introduction of outside air shall require fail-safe spring return valve actuators. Terminal equipment (VAV ATU, &c.) without direct introduction of outside air are permitted to have actuators that maintain their last commanded position when power is lost to the actuator. Equipment isolation and differential or temperature pressure bypass valves shall not be required to be provided with a spring return actuator provided that a failure of the valve to return to its "fail-safe" position will not incur damage to property or the system it serves.

N. Integral Overload Protection:

1. Provide against overload throughout the entire operating range in both directions.
2. Electronic overload, digital rotation sensing circuitry, mechanical end switches, or magnetic clutches are acceptable methods of protection.

O. Valve Attachment:

1. Unless otherwise required for valve interface, provide an actuator designed to be directly coupled to valve shaft without the need for connecting linkages.
2. Attach actuator to valve drive shaft in a way that ensures maximum transfer of power and torque without slippage.
3. Bolt and set screw method of attachment is acceptable only if provided with at least two points of attachment.

P. Temperature and Humidity:

1. Temperature: Suitable for operating temperature range encountered by application with minimum operating temperature range of minus 20 to plus 120 deg F.
2. Humidity: Suitable for humidity range encountered by application; minimum operating range shall be from 5 to 95 percent relative humidity, non-condensing.

Q. Enclosure:

1. Suitable for ambient conditions encountered by application.
2. NEMA 250, Type 2 for indoor and protected applications.

3. NEMA 250, Type 4 or Type 4X for outdoor and unprotected applications.
4. Provide actuator enclosure with heater and control where required by application.
5. Actuators used in wet conditions and/or in or near outdoor air streams shall have NEMA 2 housings.

R. Stroke Time:

1. Operate valve from fully closed to fully open within 60 75 90 150 Insert number seconds.
2. Operate valve from fully open to fully closed within 60 seconds.
3. Move valve to failed position within 15 seconds.
4. Select operating speed to be compatible with equipment and system operation.

S. Sound:

1. Spring Return: 62 dBA.
2. Non-Spring Return: 45 dBA.

2.6 POWER SUPPLIES AND LINE FILTERING

- A. Power Supplies & Control Transformers.** Control transformers and power supplies shall be UL-Listed. Provide Class 2 current-limiting type or over-current protection in both primary and secondary circuits for Class 2 service not to exceed 100 VA in accordance with the applicable following requirements or as directed by the AHJ.
1. NEC 2011 (NFPA 70) Chapter 7 Article 725 – Class 1, Class 2 and Class 3 Remote-Control, Signaling and Power-Limited Circuits.
 2. NEC 2011 (NFPA 70) Chapter 9 Table 11(A) and Table 11(B).
 3. Canadian Electrical Code, Part 1 (CSA C22.1-12) Rule 16-200.
- B. DC Power Supplies.** DC power supply output shall match output current and voltage requirements. Power supply shall be half-wave rectified type with the following minimum specifications:
1. Output ripple: 5.0 mV maximum peak-to-peak.
 2. Regulation: 1.0% line and load combined.
 3. Response: 100 ms for 50% load changes.
 4. Built-in overvoltage and overcurrent protection and able to withstand a 150% current overload for a minimum of three (3) seconds without tripping or failure.
- C. Power Line Filtering.** Provide transient voltage and surge suppression for all workstations and controllers either internally or as an external component.
- D. Valve Actuators** shall be modulating, floating (tri-state) with feedback signal, two-position and spring return fail safe as called out in the control sequence of operation or indicated on the drawings. All modulating valves shall be positive positioning, and respond to a [0-10VDC] [2-10 VDC] [4-20 mA with a load resistor] with the exception that terminal unit zone valves may use an actuator that responds to a floating or tri-state with feedback signal.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for valves installed in piping to verify actual locations of piping connections before installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 CONTROL VALVE APPLICATIONS

- A. Unless otherwise noted, controls valves shall be globe pattern.

3.3 INSTALLATION, GENERAL

- A. Furnish and install products required to satisfy most stringent requirements indicated.
- B. Install products level, plumb, parallel, and perpendicular with building construction.
- C. Properly support instruments, tubing, piping, wiring, and conduits to comply with requirements indicated. Brace all products to prevent lateral movement and sway or a break in attachment when subjected to a force.
- D. Provide ceiling, floor, roof, and wall openings and sleeves required by installation. Before proceeding with drilling, punching, or cutting, check location first for concealed products that could potentially be damaged. Patch, flash, grout, seal, and refinish openings to match adjacent condition.
- E. Firestop penetrations made in fire-rated assemblies and seal penetrations made in acoustically rated assemblies.
- F. Fastening Hardware:
 - 1. Stillson wrenches, pliers, and other tools that will cause injury to or mar surfaces of rods, nuts, and other parts are prohibited for assembling and tightening nuts.
 - 2. Tighten bolts and nuts firmly and uniformly. Do not overstress threads by excessive force or by oversized wrenches.
 - 3. Lubricate threads of bolts, nuts, and screws with graphite and oil before assembly.
- G. Install products in locations that are accessible and that will permit calibration and maintenance from floor, equipment platforms, or catwalks. Where ladders are required for Owner's access, confirm unrestricted ladder placement is possible under occupied condition.

H. Corrosive Environments:

1. Use products that are suitable for environment to which they will be subjected.
2. If possible, avoid or limit use of materials in corrosive environments, including, but not limited to, the following:
 - a. Laboratory exhaust airstreams.
 - b. Process exhaust airstreams.
3. Use Type 316 stainless-steel tubing and fittings when in contact with a corrosive environment.
4. When conduit is in contact with a corrosive environment, use Type 316 stainless-steel conduit and fittings or conduit and fittings that are coated with a corrosive-resistant coating that is suitable for environment.
5. Where control devices are located in a corrosive environment and are not corrosive resistant from manufacturer, field install products in a NEMA 250, Type 4X enclosure constructed of Type 316L stainless steel.

3.4 ELECTRIC POWER

- A. Furnish and install electrical power to products requiring electrical connections.
- B. Furnish and install circuit breakers. Comply with requirements in Section 262816 "Enclosed Switches and Circuit Breakers."
- C. Furnish and install power wiring, as per electrical specifications
- D. Furnish and install raceways as per electrical specifications.
- E. Electrical subcontractor shall provide all required line voltage. The mechanical subcontractor shall provide all low voltage wiring and power supply transformers in coordination with the controls subcontractor and control actuator requirements.

3.5 CONTROL VALVES

- A. Install pipe reducers for valves smaller than line size. Position reducers as close to valve as possible but at distance to avoid interference and impact to performance. Install with manufacturer-recommended clearance.
- B. Install flanges or unions to allow drop-in and -out valve installation.
- C. Where indicated, install control valve with three-valve bypass manifold to allow for control valve isolation and removal without interrupting system flow by providing manual throttling valve in bypass pipe.
- D. Install drain valves in piping upstream and downstream of each control valve installed in a three-valve manifold and for each control valve larger than NPS 4.

- E. Install pressure temperature taps in piping upstream and downstream of each control valve larger than NPS 2.
- F. Valve Orientation:
 - 1. Where possible, install globe and ball valves installed in horizontal piping with stems upright and not more than 15 degrees off of vertical, not inverted.
 - 2. Install valves in a position to allow full stem movement.
 - 3. Where possible, install butterfly valves that are installed in horizontal piping with stems in horizontal position and with low point of disc opening with direction of flow.
- G. Clearance:
 - 1. Locate valves for easy access and provide separate support of valves that cannot be handled by service personnel without hoisting mechanism.
 - 2. Install valves with at least 12 inches of clear space around valve and between valves and adjacent surfaces.
- H. Threaded Valves:
 - 1. Note internal length of threads in valve ends, and proximity of valve internal seat or wall, to determine how far pipe should be threaded into valve.
 - 2. Align threads at point of assembly.
 - 3. Apply thread compound to external pipe threads, except where dry seal threading is specified.
 - 4. Assemble joint, wrench tight. Apply wrench on valve end as pipe is being threaded.
- I. Flanged Valves:
 - 1. Align flange surfaces parallel.
 - 2. Assemble joints by sequencing bolt tightening to make initial contact of flanges and gaskets as flat and parallel as possible. Use suitable lubricants on bolt threads. Tighten bolts gradually and uniformly with a torque wrench.

3.6 CONNECTIONS

- A. Connect electrical devices and components to electrical grounding system. Comply with electrical specifications

3.7 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Each piece of wire, cable, and tubing shall have the same designation at each end for operators to determine continuity at points of connection. Comply with requirements for identification specified in Section 230553.
- B. Install engraved phenolic nameplate with valve identification on valve.

3.8 CLEANING

- A. Remove grease, mastic, adhesives, dust, dirt, stains, fingerprints, labels, and other foreign materials from exposed interior and exterior surfaces.
- B. Wash and shine glazing.
- C. Polish glossy surfaces to a clean shine.

3.9 CHECKOUT PROCEDURES

- A. Control Valve Checkout:
 - 1. Check installed products before continuity tests, leak tests, and calibration.
 - 2. Check valves for proper location and accessibility.
 - 3. Check valves for proper installation for direction of flow, elevation, orientation, insertion depth, or other applicable considerations that will impact performance.
 - 4. For pneumatic products, verify air supply for each product is properly installed.
 - 5. For pneumatic valves, verify that pressure gauges are provided in each air line to valve actuator and positioner.
 - 6. Verify that control valves are installed correctly for flow direction.
 - 7. Verify that valve body attachment is properly secured and sealed.
 - 8. Verify that valve actuator and linkage attachment are secure.
 - 9. Verify that actuator wiring is complete, enclosed, and connected to correct power source.
 - 10. Verify that valve ball, disc, and plug travel are unobstructed.
 - 11. After piping systems have been tested and put into service, but before insulating and balancing, inspect each valve for leaks. Adjust or replace packing to stop leaks. Replace the valve if leaks persist.

3.10 ADJUSTMENT, CALIBRATION, AND TESTING

- A. Stroke and adjust control valves following manufacturer's recommended procedure, from 100 percent open to 100 percent closed back to 100 percent open.
- B. Stroke control valves with pilot positioners. Adjust valve and positioner following manufacturer's recommended procedure, so valve is 100 percent closed, 50 percent closed, and 100 percent open at proper air pressures.
- C. Check and document open and close cycle times for applications with a cycle time of less than 30 seconds.
- D. For control valves equipped with positive position indication, check feedback signal at multiple positions to confirm proper position indication.

END OF SECTION 230923.11

SECTION 232113 - HYDRONIC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes pipe and fitting materials and joining methods for the following:

- 1. Hot-water heating piping.
- 2. Chilled-water piping.
- 3. Condensate-drain piping.
- 4. Air-vent piping.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following:

- 1. All steel pipe and fittings.
- 2. All copper pipe and fittings.

- B. Delegated-Design Submittal:

- 1. Design calculations and detailed fabrication and assembly of pipe anchors and alignment guides, hangers and supports for multiple pipes, expansion joints and loops, and attachments of the same to the building structure.
- 2. Locations of pipe anchors and alignment guides and expansion joints and loops.
- 3. Locations of and details for penetrations, including sleeves and sleeve seals for exterior walls, floors, basement, and foundation walls.
- 4. Locations of and details for penetration and firestopping for fire- and smoke-rated wall and floor and ceiling assemblies.
- 5. For underground piping provide size and quantity of pipe expansion loops and thrust blocks.

1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Piping layout, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

- 1. Suspended ceiling components.
- 2. Other building services.

- 3. Structural members.
- B. Qualification Data: For Installer.
- C. Welding certificates.
- D. Field quality-control reports.
- E. Water Analysis: Submit a copy of the water analysis to illustrate water quality available at Project site.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications:
 - 1. Installers of Pressure-Sealed Joints: Installers shall be certified by pressure-seal joint manufacturer as having been trained and qualified to join piping with pressure-seal pipe couplings and fittings.
- B. Steel Support Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- C. Pipe Welding: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code: Section IX.
 - 1. Comply with ASME B31.9, "Building Services Piping," for materials, products, and installation.
 - 2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:
 - 1. Hot-Water Heating Piping: 150 psig at 200 deg F.
 - 2. Chilled-Water Piping: 150 psig at 200 deg F.
 - 3. Condensate-Drain Piping: 150 deg F.
 - 4. Air-Vent Piping: 200 deg F.

2.2 COPPER TUBE AND FITTINGS

- A. Drawn-Temper Copper Tubing: ASTM B 88, Type L.
- B. Annealed-Temper Copper Tubing: ASTM B 88, Type K.

- C. Copper or Bronze Pressure-Seal Fittings: (Not Allowed)
- D. Wrought-Copper Unions: ASME B16.22.

2.3 STEEL PIPE AND FITTINGS

- A. Steel Pipe: ASTM A 53/A 53M, black steel with plain ends; welded and seamless, Grade B, and wall thickness as indicated in "Piping Applications" Article.
- B. Cast-Iron Threaded Fittings: ASME B16.4; Classes 125 and 250 as indicated in "Piping Applications" Article.
- C. Malleable-Iron Threaded Fittings: ASME B16.3, Classes 150 and 300 as indicated in "Piping Applications" Article.
- D. Malleable-Iron Unions: ASME B16.39; Classes 150, 250, and 300 as indicated in "Piping Applications" Article.
- E. Wrought-Steel Fittings: ASTM A 234/A 234M, wall thickness to match adjoining pipe.
- F. Wrought Cast- and Forged-Steel Flanges and Flanged Fittings: ASME B16.5, including bolts, nuts, and gaskets of the following material group, end connections, and facings:
 - 1. Material Group: 1.1.
 - 2. End Connections: Butt welding.
 - 3. Facings: Raised face.
- G. Steel Pipe Nipples: ASTM A 733, made of same materials and wall thicknesses as pipe in which they are installed.

2.4 JOINING MATERIALS

- A. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
 - 1. ASME B16.21, nonmetallic, flat, asbestos free, 1/8-inch maximum thickness unless otherwise indicated.
 - a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
 - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
- B. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- C. Solder Filler Metals: ASTM B 32, lead-free alloys. Include water-flushable flux according to ASTM B 813.
- D. Brazing Filler Metals: AWS A5.8/A5.8M, BCuP Series, copper-phosphorus alloys for joining copper with copper; or BAg-1, silver alloy for joining copper with bronze or steel.

- E. Welding Filler Metals: Comply with AWS D10.12M/D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- F. Gasket Material: Thickness, material, and type suitable for fluid to be handled and working temperatures and pressures.

2.5 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- B. Dielectric Unions:
 - 1. Description:
 - a. Standard: ASSE 1079.
 - b. Pressure Rating: 150 psig 250 psig.
 - c. End Connections: Solder-joint copper alloy and threaded ferrous.
- C. Dielectric Flanges:
 - 1. Description:
 - a. Standard: ASSE 1079.
 - b. Factory-fabricated, bolted, companion-flange assembly.
 - c. Pressure Rating: 150 psig minimum at 250 deg F.
 - d. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.
- D. Dielectric-Flange Insulating Kits:
 - 1. Description:
 - a. Nonconducting materials for field assembly of companion flanges.
 - b. Pressure Rating: 150 psig.
 - c. Gasket: Neoprene or phenolic.
 - d. Bolt Sleeves: Phenolic or polyethylene.
 - e. Washers: Phenolic with steel backing washers.
- E. Dielectric Nipples:
 - 1. Description:
 - a. Standard: IAPMO PS 66.
 - b. Electroplated steel nipple, complying with ASTM F 1545.
 - c. Pressure Rating: 300 psig at 225 deg F.
 - d. End Connections: Male threaded or grooved.
 - e. Lining: Inert and noncorrosive, propylene.

PART 3 - EXECUTION

3.1 PIPING APPLICATIONS

- A. **Hot Water and Chilled Water Piping:** NPS 2 1/2 and smaller, shall be one of the following:
1. Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered, brazed.
 2. Schedule 40, Grade B, Type 96 steel pipe; Class 150, malleable-iron fittings; cast-iron flanges and flange fittings; and threaded joints.
- B. **Hot-water and Chilled Water heating, Piping:** NPS 3 and larger, shall be one of the following:
1. Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered, or brazed joints.
 2. Schedule 40 steel pipe, wrought-steel fittings and wrought-cast or forged-steel flanges and flange fittings, and welded and flanged joints.
- C. **Makeup-water and Cold Water:** piping installed aboveground shall be either of the following:
1. Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered joints.
- D. **Makeup-Water Piping Installed Belowground and within Slabs:** Type K, annealed-temper copper tubing, wrought-copper fittings, and soldered joints. Use the fewest possible joints.
- E. **Condensate-Drain Piping:** Type M, Type DWV, drawn-temper copper tubing, wrought-copper fittings, and soldered joints or Schedule 40 PVC plastic pipe and fittings and solvent-welded joints.
- F. **Blowdown and Drain Piping:** Same materials and joining methods as for piping specified for the service in which the blowdown drain is installed.
- G. **Air-Vent Piping:**
1. Inlet: Same as service where installed with metal-to-plastic transition fittings for plastic piping systems according to the piping manufacturer's written instructions.
 2. Outlet: Type K, annealed-temper copper tubing with soldered or flared joints.
- H. **Safety-Valve-Inlet and -Outlet Piping for Hot-Water Piping:** Same materials and joining methods as for piping specified for the service in which safety valve is installed with metal-to-plastic transition fittings for plastic piping systems according to the piping manufacturer's written instructions.

3.2 PIPING INSTALLATIONS

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.

- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping to permit valve servicing.
- F. Install piping at indicated slopes.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Install piping to allow application of insulation.
- J. Select system components with pressure rating equal to or greater than system operating pressure.
- K. Install groups of pipes parallel to each other, spaced to permit applying insulation and servicing of valves.
- L. Install drains, consisting of a tee fitting, NPS 3/4 ball valve, and short NPS 3/4 threaded nipple with cap, at low points in piping system mains and elsewhere as required for system drainage.
- M. Install piping at a uniform grade of 0.2 percent upward in direction of flow.
- N. Reduce pipe sizes using eccentric reducer fitting installed with level side up.
- O. Install branch connections to mains using tee fittings in main pipe, with the branch connected to the bottom of the main pipe. For up-feed risers, connect the branch to the top of the main pipe.
- P. Install valves according to Section 230523.101 " Valves for HVAC Piping," Section 230523.12
- Q. Install unions in piping, NPS 2 and smaller, adjacent to valves, at final connections of equipment, and elsewhere as indicated.
- R. Install flanges in piping, NPS 2-1/2 and larger, at final connections of equipment and elsewhere as indicated.
- S. Install shutoff valve immediately upstream of each dielectric fitting.
- T. Comply with requirements in Section 230553 "Identification for HVAC Piping and Equipment" for identifying piping.
- U. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."

- V. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- W. Install escutcheons on all exposed piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 230518 "Escutcheons for HVAC Piping."
- X. For piping passing through equipment room walls to occupied spaces provide split seals for sound and vibration attenuation between rooms. Comply with section 23 21 16.
- Y. Install packless expansion fitting in all hydronic piping sections that are 75' long or over.

3.3 DIELECTRIC FITTING INSTALLATION

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric nipples, or unions.
- C. Dielectric Fittings for NPS 2-1/2 to NPS 4: Use dielectric flanges, or nipples.
- D. Dielectric Fittings for NPS 5 and Larger: Use dielectric flange kits.

3.4 HANGERS AND SUPPORTS

- A. Comply with requirements in Section 230529 "Hangers and Supports for HVAC Piping and Equipment" for hanger, support, and anchor devices. Comply with the following requirements for maximum spacing of supports and hanger rod size.
- B. Install the following pipe attachments:
 - 1. Adjustable steel clevis hangers for individual horizontal piping less than 20 feet long.
 - 2. Adjustable roller hangers and spring hangers for individual horizontal piping 20 feet or longer.
 - 3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet or longer, supported on a trapeze.
 - 4. Spring hangers to support vertical runs.
 - 5. Provide copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.
 - 6. On plastic pipe, install pads or cushions on bearing surfaces to prevent hanger from scratching pipe.
- C. Install hangers for drawn-temper copper piping with the following maximum spacing and minimum rod sizes:
 - 1. NPS 3/4: Maximum span, 6 feet; minimum rod size, 1/4 inch.
 - 2. NPS 1: Maximum span, 6 feet; minimum rod size, 1/4 inch.
 - 3. NPS 1-1/4: Maximum span, 7 feet; minimum rod size, 3/8 inch.

4. NPS 1-1/2: Maximum span, 8 feet; minimum rod size, 3/8 inch.
5. NPS 2: Maximum span, 8 feet; minimum rod size, 3/8 inch.
6. NPS 2-1/2: Maximum span, 9 feet; minimum rod size, 3/8 inch.
7. NPS 3 and Larger: Maximum span, 10 feet; minimum rod size, 3/8 inch.

- D. Support vertical runs at roof, at each floor, and at 10-foot intervals between floors.

3.5 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Soldered Joints: Apply ASTM B 813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B 32.
- D. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8/A5.8M.
- E. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- F. Welded Joints: Construct joints according to AWS D10.12M/D10.12, using qualified processes and welding operators according to "Quality Assurance" Article.
- G. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.
- H. Mechanically Formed, Copper-Tube-Outlet Joints: Use manufacturer-recommended tool and procedure, and brazed joints.

3.6 TERMINAL EQUIPMENT CONNECTIONS

- A. Sizes for supply and return piping connections shall be the same as or larger than equipment connections.
- B. Install control valves in accessible locations close to connected equipment.
- C. Install bypass piping with globe valve around control valve. If parallel control valves are installed, only one bypass is required.

- D. Install ports for pressure gages and thermometers at coil inlet and outlet connections. Comply with requirements in Section 230519 "Meters and Gages for HVAC Piping."

3.7 FIELD QUALITY CONTROL

- A. Prepare hydronic piping according to ASME B31.9 and as follows:

1. Leave joints, including welds, uninsulated and exposed for examination during test.
2. Provide temporary restraints for expansion joints that cannot sustain reactions due to test pressure. If temporary restraints are impractical, isolate expansion joints from testing.
3. Flush hydronic piping systems with clean water; then remove and clean or replace strainer screens.
4. Isolate equipment from piping. If a valve is used to isolate equipment, its closure shall be capable of sealing against test pressure without damage to valve. Install blinds in flanged joints to isolate equipment.
5. Install safety valve, set at a pressure no more than one-third higher than test pressure, to protect against damage by expanding liquid or other source of overpressure during test.

- B. Perform the following tests on hydronic piping:

1. Use ambient temperature water as a testing medium unless there is risk of damage due to freezing. Another liquid that is safe for workers and compatible with piping may be used.
2. While filling system, use vents installed at high points of system to release air. Use drains installed at low points for complete draining of test liquid.
3. Isolate expansion tanks and determine that hydronic system is full of water.
4. Subject piping system to hydrostatic test pressure that is not less than 1.5 times the system's working pressure. Test pressure shall not exceed maximum pressure for any vessel, pump, valve, or other component in system under test. Verify that stress due to pressure at bottom of vertical runs does not exceed 90 percent of specified minimum yield strength or 1.7 times the "SE" value in Appendix A in ASME B31.9, "Building Services Piping."
5. After hydrostatic test pressure has been applied for at least 10 minutes, examine piping, joints, and connections for leakage. Eliminate leaks by tightening, repairing, or replacing components, and repeat hydrostatic test until there are no leaks.
6. Prepare written report of testing.

- C. Perform the following before operating the system:

1. Open manual valves fully.
2. Inspect pumps for proper rotation.
3. Set makeup pressure-reducing valves for required system pressure.
4. Inspect air vents at high points of system and determine if all are installed and operating freely (automatic type), or bleed air completely (manual type).
5. Set temperature controls so all coils are calling for full flow.

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6. Inspect and set operating temperatures of hydronic equipment, such as boilers, chillers, cooling towers, to specified values.
7. Verify lubrication of motors and bearings.

END OF SECTION 232113

SECTION 232116 - HYDRONIC PIPING SPECIALTIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes special-duty valves and specialties for the following:
 - 1. Hot-water heating piping.
 - 2. Chilled-water piping.
 - 3. Air-vent piping.
 - 4. Safety-valve-inlet and -outlet piping.
 - 5. Vibration Isolation
 - 6. Pipe guides and anchors

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
 - 1. Valves: Include flow and pressure drop curves based on manufacturer's testing for calibrated-orifice balancing valves and automatic flow-control valves.
 - 2. Air-control devices.
 - 3. Hydronic specialties.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air-control devices, hydronic specialties, and special-duty valves to include in emergency, operation, and maintenance manuals.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Differential Pressure Meter: For each type of balancing valve and automatic flow control valve, include flowmeter, probes, hoses, flow charts, and carrying case.

1.6 QUALITY ASSURANCE

- A. Pipe Welding: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code: Section IX.

1. Safety valves and pressure vessels shall bear the appropriate ASME label. Fabricate and stamp air separators and expansion tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:
 1. Hot-Water Heating Piping: **150 psig** at **200 deg F**
 2. Chilled-Water Piping: **150 psig** at **200 deg F**.
 3. Air-Vent Piping: **200 deg F**.
 4. Safety-Valve-Inlet and -Outlet Piping: Equal to the pressure of the piping system to which it is attached.

2.2 VALVES

- A. Gate, Globe, Check, Ball, and Butterfly Valves: Comply with requirements specified in Section 230523.10 "Valves for HVAC Piping,"
- B. Automatic Temperature-Control Valves, Actuators, and Sensors: Comply with requirements specified in Section 230923.11 "Control Valves."

2.3 Bronze, Calibrated-Orifice, Balancing Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Armstrong Pumps, Inc.
 - b. Bell & Gossett; a Xylem brand.
 - c. Nexus Valve, Inc.
 - d. TACO Comfort Solutions, Inc.
 - e. Tour & Andersson; available through Victaulic Company.
 - f. Victaulic Company.
2. Body: Bronze, ball or globe type with calibrated orifice or venturi.
3. Ball: Brass or stainless steel.
4. Seat: PTFE.
5. End Connections: Threaded or socket.
6. Pressure Gage Connections: Integral seals for portable differential pressure meter.
7. Handle Style: Lever, with memory stop to retain set position.
8. CWP Rating: Minimum **125 psig**
9. Maximum Operating Temperature: 250 deg F

- B. Cast-Iron or Steel, Calibrated-Orifice, Balancing Valves:
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Armstrong Pumps, Inc.
 - b. Bell & Gossett; a Xylem brand.
 - c. Nexus Valve, Inc.
 - d. Tour & Andersson; available through Victaulic Company.
 2. Body: Cast-iron or steel body, globe pattern with calibrated orifice or venturi.
 3. Ball: Brass or stainless steel.
 4. Stem Seals: EPDM O-rings.
 5. Disc: Glass and carbon-filled PTFE.
 6. Seat: PTFE.
 7. End Connections: Flanged or grooved.
 8. Pressure Gage Connections: Integral seals for portable differential pressure meter.
 9. Handle Style: Lever, with memory stop to retain set position.
 10. CWP Rating: Minimum **125 psig**
 11. Maximum Operating Temperature: 250 deg F
- C. Diaphragm-Operated, Pressure-Reducing Valves: ASME labeled.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. AMTROL, Inc.
 - b. Armstrong Pumps, Inc.
 - c. Bell & Gossett; a Xylem brand.
 - d. Spence Engineering Company, Inc.
 - e. Watts; a Watts Water Technologies company.
 2. Body: Bronze or brass.
 3. Disc: Glass and carbon-filled PTFE.
 4. Seat: Brass.
 5. Stem Seals: EPDM O-rings.
 6. Diaphragm: EPT.
 7. Low inlet-pressure check valve.
 8. Inlet Strainer: stainless steel, removable without system shutdown.
 9. Valve Seat and Stem: Noncorrosive.
 10. Valve Size, Capacity, and Operating Pressure: Selected to suit system in which installed, with operating pressure and capacity factory set and field adjustable.
- D. Diaphragm-Operated Safety Valves: ASME labeled.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

- a. AMTROL, Inc.
 - b. Armstrong Pumps, Inc.
 - c. Bell & Gossett; a Xylem brand.
 - d. Spence Engineering Company, Inc.
 - e. Watts; a Watts Water Technologies company.
2. Body: Bronze or brass.
 3. Disc: Glass and carbon-filled PTFE.
 4. Seat: Brass.
 5. Stem Seals: EPDM O-rings.
 6. Diaphragm: EPT.
 7. Wetted, Internal Work Parts: Brass and rubber.
 8. Inlet Strainer: stainless steel, removable without system shutdown.
 9. Valve Seat and Stem: Noncorrosive.
 10. Valve Size, Capacity, and Operating Pressure: Comply with ASME Boiler and Pressure Vessel Code: Section IV, and selected to suit system in which installed, with operating pressure and capacity factory set and field adjustable.

E. Automatic Flow-Control Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Flow Design, Inc.
 - b. Griswold Controls.
 - c. Nexus Valve, Inc.
 - d. NuTech Hydronic Specialty Products.
2. Body: Brass or ferrous metal.
3. Piston and Spring Assembly: Stainless steel, tamper proof, self-cleaning, and removable.
4. Combination Assemblies: Include bronze or brass-alloy ball valve.
5. Identification Tag: Marked with zone identification, valve number, and flow rate.
6. Size: Same as pipe in which installed.
7. Performance: Maintain constant flow, plus or minus 5 percent over system pressure fluctuations.
8. Minimum CWP Rating: **175 psig**
9. Maximum Operating Temperature: **200 deg F**

2.4 AIR-CONTROL DEVICES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
- a. AMTROL, Inc.
 - b. Armstrong Pumps, Inc.
 - c. Bell & Gossett; a Xylem brand.
 - d. TACO Comfort Solutions, Inc.

e. John Wood

B. Manual Air Vents:

1. Body: Bronze.
2. Internal Parts: Nonferrous.
3. Operator: Screwdriver or thumbscrew.
4. Inlet Connection: NPS 1/2
5. Discharge Connection: NPS 1/8.
6. CWP Rating: 150 psig
7. Maximum Operating Temperature: 225 deg F

C. Automatic Air Vents:

1. Body: Bronze or cast iron.
2. Internal Parts: Nonferrous.
3. Operator: Noncorrosive metal float.
4. Inlet Connection: NPS 1/2
5. Discharge Connection: NPS 1/4
6. CWP Rating: 150 psig
7. Maximum Operating Temperature: 240 deg F

D. Expansion Tanks:

1. Tank: Welded steel, rated for 125-psig working pressure and 375 deg F maximum operating temperature, with taps in bottom of tank for tank fitting and taps in end of tank for gage glass. Tanks shall be factory tested after taps are fabricated and shall be labeled according to ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.
2. Air-Control Tank Fitting: Cast-iron body, copper-plated tube, brass vent tube plug, and stainless-steel ball check, 100-gal. unit only; sized for compression-tank diameter. Provide tank fittings for **125-psig** working pressure and **250 deg F** maximum operating temperature.
3. Tank Drain Fitting: Brass body, nonferrous internal parts; **125-psig** working pressure and **240 deg F** maximum operating temperature; constructed to admit air to compression tank, drain water, and close off system.
4. Gage Glass: Full height with dual manual shutoff valves, 3/4-inch- diameter gage glass, and slotted-metal glass guard.

E. Diaphragm or Bladder-Type Expansion Tanks as scheduled or noted on plans:

1. Tank: Welded steel, rated for **125-psig** working pressure and **375 deg F** maximum operating temperature. Factory test after taps are fabricated and supports installed and are labeled according to ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.
2. Diaphragm or Bladder: Securely sealed into tank to separate air charge from system water to maintain required expansion capacity.
3. Air-Charge Fittings: Schrader valve, stainless steel with EPDM seats.

F. Tangential-Type Air Separators:

1. Tank: Welded steel; ASME constructed and labeled for **125-psig** minimum working pressure and **375 deg F** maximum operating temperature.

2. Air Collector Tube: Perforated stainless steel, constructed to direct released air into expansion tank.
3. Tangential Inlet and Outlet Connections: Threaded for **NPS 2** and smaller; flanged connections for **NPS 2-1/2** and larger.
4. Blowdown Connection: Threaded.
5. Size: Match system flow capacity.

G. In-Line Air Separators:

1. Tank: One-piece cast iron with an integral weir constructed to decelerate system flow to maximize air separation.
2. Maximum Working Pressure: Up to **175 psig**
3. Maximum Operating Temperature: Up to **300 deg F**

H. Air Purgers:

1. Body: Cast iron with internal baffles that slow the water velocity to separate the air from solution and divert it to the vent for quick removal.
2. Maximum Working Pressure: **150 psig**
3. Maximum Operating Temperature: **250 deg F**

2.5 HYDRONIC PIPING SPECIALTIES

A. Y-Pattern Strainers:

1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: Stainless-steel, 20-mesh strainer, or perforated stainless-steel basket.
4. CWP Rating: **125 psig**

B. Basket Strainers:

1. Body: ASTM A 126, Class B, high-tensile cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: 40-mesh startup strainer, and perforated stainless-steel basket with 50 percent free area.
4. CWP Rating: **125 psig**

C. T-Pattern Strainers:

1. Body: Ductile or malleable iron with removable access coupling and end cap for strainer maintenance.
2. End Connections: Grooved ends.
3. Strainer Screen: 40-mesh startup strainer, and perforated stainless-steel basket with 57 percent free area.
4. CWP Rating: **750 psig**

D. Stainless-Steel Bellow, Flexible Connectors:

1. Body: Stainless-steel bellows with woven, flexible, bronze, wire-reinforcing protective jacket.
2. End Connections: Threaded or flanged to match equipment connected.
3. Performance: Capable of 3/4-inch misalignment.
4. CWP Rating: **150 psig**
5. Maximum Operating Temperature: 250 deg F

E. Spherical, Rubber, Flexible Connectors:

1. Rubber flexible connections shall be peroxide cured EPDM throughout with Kevlar tire cord reinforcement. The raised face rubber flanges must encase solid steel rings to prevent pull out. Flexible cable wire is not acceptable. Sizes 1-1/2" through 14" shall have a ductile iron external ring between the two spheres. Sizes 3/4" through 2" may have one sphere, bolted threaded flange assemblies and cable retention.
2. Minimum ratings shall be 250 psi at 170°F and 215 psi at 250°F. Higher published rated connectors may be used where required.
3. Safety factors shall be a minimum of 3/1. All flexible connections must be factory tested to 150% of maximum pressure for 12 minutes before shipment. The piping gap shall be equal to the length of the expansion joint under pressure. Control rods passing through 1/2" thick Neoprene washer bushings large enough to take the thrust at 1000psi of surface area may be used on unanchored piping where the manufacturer determines the condition exceeds the expansion joint rating without them.
4. All flexible joints shall be installed on the equipment side of the shut off valves. Expansion joints shall be SAFEFLEX SFDEJ, SFEJ, SFDCR or SFU and Control Rods CR as manufactured by Mason Industries, Inc
 - a. Body: Fiber-reinforced rubber body.
 - b. End Connections: Steel flanges drilled to align with Classes 150 and 300 steel flanges.
 - c. Performance: Capable of misalignment.
 - d. CWP Rating: **150 psig**
 - e. Maximum Operating Temperature: 250 deg F

F. Braided Pipe Flexible Connection;

1. Flexible stainless steel hose shall have stainless steel braid and carbon steel fittings. Sizes 3" and larger shall be flanged. Smaller sizes may have male nipples. Minimum sizes listed below.

Flanged (Pipe Dia x Flexible Pipe Length)		
3" x 12"	6" x 18"	12" x 24"
4" x 12"	8" x 18"	14" x 30"
5" x 18"	10" x 18"	16" x 32"

Male Nipples (Pipe Dia x Flexible Pipe Length)		
1/2" x 12"	1-1/4" x 12"	2" x 12"
3/4" x 12"	1-1/2" x 12"	2-1/2" x 18"
1" x 12"		

2. At equipment connections, hoses shall be installed on the equipment side of the shut-off valves horizontal and parallel to the equipment shafts wherever possible. Hoses shall be type FFL or type MN as manufactured by Mason Industries, Inc
- G. Vibration isolation pipe hangers; pre-compressed and locked at the rated deflection by means of a resilient up-stop to keep the piping or equipment at a fixed elevation during installation. The hangers shall be designed with a release mechanism to free the spring after the installation is complete and the hanger is subjected to its full load. Deflection shall be clearly indicated by means of a scale. Submittals shall include a drawing of the hanger showing the 30° capability. Hangers shall be type PC30N as manufactured by Mason Industries, Inc
- H. Acoustic Split Seals; consist of pipe halves with minimum 3/4" thick neoprene sponge cemented to the inner faces. The seal shall be tightened around the pipe to eliminate clearance between the inner sponge face and the piping. Grout seals to make it integral with the floor, wall or ceiling in masonry construction. Seals shall project a minimum of 1" past either face of the wall. Where temperatures exceed 240F, 10 lb. density fiberglass may be used in lieu of the sponge. Seals shall be Type SPS or SWS as manufactured by Mason Industries, Inc.

2.6 PACKLESS EXPANSION JOINTS

- A. Metal, Compensator Packless Expansion Joints: Metraflex Model HPFF – for copper, Model HP for steel pipe
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Flexicraft Industries.
 - b. Mason Industries, Inc.
 - c. Metraflex Company (The).
 2. Minimum Pressure Rating: 150 psig, **[175 psig]** **[200 psig]** unless otherwise indicated.
 3. Description: Totally enclosed, externally pressurized, multi-ply bellows isolated from fluid flow by an internal pipe sleeve and external housing.
 4. Joint Axial Movement: 2 inches of compression and 1/2 inch of extension.
 5. Configuration for Copper Tubing: Multi-ply, phosphor-bronze bellows with copper pipe ends.
 - a. End Connections for Copper Tubing NPS 2 and Smaller: Solder joint or threaded.
 - b. End Connections for Copper Tubing NPS 2-1/2 to NPS 4: Threaded.
 6. Configuration for Steel Piping: Multi-ply, stainless-steel bellows; steel-pipe end connections; and carbon-steel shroud.
 - a. End Connections for Steel Pipe NPS 2 and Smaller: Threaded.
 - b. End Connections for Steel Pipe NPS 2-1/2 to NPS 4: Threaded Welded.

2.7 ALIGNMENT GUIDES AND ANCHORS

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Advanced Thermal Systems, Inc.
 - b. Flexicraft Industries.
 - c. Mason Industries, Inc.
 - d. Metraflex Company (The).
2. Description: Steel, factory-fabricated alignment guide, with bolted two-section outer cylinder and base for attaching to structure; with two-section guiding slider for bolting to pipe.
3. Steel Shapes and Plates: ASTM A 36/A 36M.
4. Bolts and Nuts: ASME B18.10 or ASTM A 183, steel hex head.
5. Washers: ASTM F 844, steel, plain, flat washers.
6. Mechanical Fasteners: Insert-wedge-type stud with expansion plug anchor for use in hardened concrete, with tension and shear capacities appropriate for application. Threaded stud, expansion plug, nuts and washers shall be zinc-coated carbon steel.
7. Chemical Fasteners: Insert-type stud, bonding-system anchor for use with hardened concrete, with tension and shear capacities appropriate for application.
 - a. Bonding Material: ASTM C 881/C 881M, Type IV, Grade 3, two-component epoxy resin suitable for surface temperature of hardened concrete where fastener is to be installed.
 - b. Stud: threaded stud washers and nuts shall be ASTM A 307, zinc-coated carbon steel.
 - c. Alignment Guides
 - 1) Horizontal split spider type guide – Metraflex – Style IV
 - 2) Slide guide - – Metraflex – model PTFE
 - 3) Pre-insulated guide – Metraflex – model PG PRE
 - 4) Vertical glide riser - – Metraflex – model PGQ
 - d. Anchors
 - 1) Anchor clamp – Metraflex – model PA
 - 2) Structural I Beam Anchors – Metraflex
 - 3) Pre-insulated Anchor – Metraflex – model PAPI
 - 4) Modular riser guide – Metraflex – modular riser with EPDM insert

PART 3 - EXECUTION

3.1 VALVE APPLICATIONS

- A. Install shutoff-duty valves at each branch connection to supply mains and at supply connection to each piece of equipment.
- B. Install calibrated-orifice, balancing valves at each branch connection to return main.

- C. Install calibrated-orifice, balancing valves in the return pipe of each heating or cooling coil
- D. Install check valves at each pump discharge and elsewhere as required to control flow direction.
- E. Install safety valves at hot-water generators and elsewhere as required by ASME Boiler and Pressure Vessel Code. Install drip-pan elbow on safety-valve outlet and pipe without valves to the outdoors; pipe drain to nearest floor drain or as indicated on Drawings. Comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1, for installation requirements.
- F. Install pressure-reducing valves at makeup-water connection to regulate system fill pressure.
- G. All valves and specialties installed in the system shall have a pressure rating that exceeds the system working pressure.

3.2 HYDRONIC SPECIALTIES INSTALLATION

- A. All valves and specialties installed in the system shall have a pressure rating that exceeds the system working pressure.
- B. Install manual air vents at high points in piping, at heat-transfer coils, and elsewhere as required for system air venting.
- C. Install automatic air vents at high points of system piping in mechanical equipment rooms only. Install manual vents at heat-transfer coils and elsewhere as required for air venting.
- D. Install piping from boiler air outlet, air separator, or air purger to expansion tank with a 2 percent upward slope toward tank.
- E. Install in-line air separators in pump suction. Install drain valve on air separators NPS 2 and larger.
- F. Install tangential air separator in pump suction. Install blowdown piping with gate or full-port ball valve; extend full size to nearest floor drain.
- G. Install steel braided flexible pipe connections at all coil connections and at all piping connections to motor driven equipment except for pumps.
- H. Isolate piping from base mounted pumps with spherical rubber flexible connections
- I. Install vibration isolation hangers or supports on all piping connected to motor driven equipment for a distance of 20' or the first two hangers.
- J. Install Acoustic split seals on all hydronic piping 3" and over, penetrating mechanical equipment room walls.
- K. Install Packless expansion fittings in all hydronic pipe sections, regardless of service, that is over 75' long straight run. Alternative pipe "expansion loop" may be used if space permits. Piping layout submittal shall indicate guide and ridged mount locations.

3.3 EXPANSION JOINT INSTALLATION

- A. Install expansion joints of sizes matching sizes of piping in which they are installed.
- B. Install grooved-joint expansion joints to grooved-end steel piping.
- C. Grooved end pipe applications can use multiple grooved coupling installed in an arrangement as approved by the manufacture for the specific application. The manufacture shall recommend the number, placement and arrangement in the piping systems. Submit to the engineer for review and approval.

3.4 PIPE LOOP AND SWING CONNECTION INSTALLATION

- A. Install pipe loops cold-sprung in tension or compression as required to partly absorb tension or compression produced during anticipated change in temperature.
- B. Connect risers and branch connections to terminal units with at least four pipe fittings, including tee in riser.
- C. Connect mains and branch connections to terminal units with at least four pipe fittings, including tee in main.

3.5 ALIGNMENT-GUIDE AND ANCHOR INSTALLATION

- A. Install alignment guides to guide expansion and to avoid end-loading and torsional stress.
- B. Install one guide(s) on each side of pipe expansion fittings and loops. Install guides nearest to expansion joint or loop not more than three pipe diameters from expansion joint.
- C. Attach guides to pipe, and secure guides to building structure.
- D. Install anchors at locations to prevent stresses from exceeding those permitted by ASME B31.9 and to prevent transfer of loading and stresses to connected equipment.
- E. Anchor Attachments:
 - 1. Anchor Attachment to Steel Pipe: Attach by welding. Comply with ASME B31.9 and ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."
 - 2. Anchor Attachment to Copper Tubing: Attach with pipe hangers. Use MSS SP-69, Type 24; U bolts bolted to anchor.
- F. Fabricate and install steel anchors by welding steel shapes, plates, and bars. Comply with ASME B31.9 and AWS D1.1/D1.1M.
 - 1. Anchor Attachment to Steel Structural Members: Attach by welding.
 - 2. Anchor Attachment to Concrete Structural Members: Attach by fasteners. Follow fastener manufacturer's written instructions.

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- G. Use grout to form flat bearing surfaces for guides and anchors attached to concrete.

END OF SECTION 232116

SECTION 232913 - VARIABLE FREQUENCY DRIVES

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Variable frequency drives.

1.02 RELATED SECTIONS

- A. Section 23 21 23 - Hydronic Pumps.
- B. Section 16195 - Electrical Identification: Engraved nameplates.

1.03 REFERENCES

- A. Division 1 - Reference Standards: Requirements for references and standards.
- B. NEMA ICS 3.1 - Safety Standards for Construction and Guide for Selection, Installation and Operation of Adjustable-Speed Drive Systems.
- C. NEMA ICS 7 - Industrial Control and Systems: Adjustable Speed Drives.
- D. NEMA 250 - Enclosures for Electrical Equipment (1000 Volts Maximum).
- E. NETA ATS - Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems (International Electrical Testing Association).
- F. NFPA 70 - National Electrical Code.

1.04 SUBMITTALS FOR REVIEW

- A. Division 1 - Submittals: Procedures for submittals.
- B. Product Data: Provide catalog sheets showing voltage, controller size, ratings and size of switching and over current protective devices, short circuit ratings, dimensions, and enclosure details.
- C. Shop Drawings: Indicate front and side views of enclosures with overall dimensions and weights shown; conduit entrance locations and requirements; and nameplate legends.

1.05 SUBMITTALS FOR INFORMATION

- A. Division 1 - Submittals: Submittals for information.
- B. Test Reports: Indicate field test and inspection procedures and test results.

- C. Manufacturer's Instructions: Indicate application conditions and limitations of use stipulated by testing agency specified under Regulatory Requirements. Include instructions for storage, handling, protection, examination, preparation, and installation of Product.
- D. Manufacturer's Field Reports: Indicate start-up inspection findings.

1.06 SUBMITTALS FOR CLOSEOUT

- A. Division 1 - Contract Closeout.
- B. Operation Data: NEMA ICS 3.1. Include instructions for starting and operating controllers and describe operating limits that may result in hazardous or unsafe conditions.
- C. Maintenance Data: NEMA ICS 3.1. Include routine preventive maintenance schedule.
- D. Furnish two of each air filters.

1.07 REGULATORY REQUIREMENTS

- A. Conform to requirements of NFPA 70.
- B. Products: Listed and classified by Underwriters Laboratories, Inc. as suitable for the purpose specified and indicated.

1.08 DELIVERY, STORAGE, AND HANDLING

- A. Division 1 - Material and Equipment: Transport, handle, store, and protect products.
- B. Accept controllers on site in original packing. Inspect for damage.
- C. Store in a clean, dry space. Maintain factory wrapping or provide an additional heavy canvas or heavy plastic cover to protect units from dirt, water, construction debris, and traffic.
- D. Handle in accordance with manufacturer's written instructions. Lift only with lugs provided for the purpose. Handle carefully to avoid damage to components, enclosure, and finish.

1.09 MAINTENANCE SERVICE

- A. Division 1 - Contract Closeout.
- B. Provide service and maintenance of controller for two years from Date of Substantial Completion.

PART 2 PRODUCTS

2.01 MANUFACTURERS

- A. Manufacturers: Subject to review and approval by the engineer and compliance with the contract document, provide products by one of the following:
1. The basis of design is Graham Danfoss series VLT.
 2. Allen Bradley
 3. Schneider Electric
 4. Eaton

2.02 DESCRIPTION

- A. Enclosed variable frequency controllers suitable for operating the indicated loads, in conformance with requirements of NEMA ICS 7.
- B. Select unspecified features and options in accordance with NEMA ICS 3.1.
- C. Furnish complete variable frequency VFDs as specified herein for the equipment designated on the drawing schedules to be variable speed. All standard and optional features shall be included within the VFD enclosure, unless otherwise specified. VFD shall be housed in a metal NEMA 1 enclosure, or other NEMA type according to the installation and operating conditions at the job site. The VFD's UL listing shall allow mounting in plenum or other air handling compartments. If a NEMA 12 enclosure is required for the plenum rating, the manufacturer must supply a NEMA 12 rated VFD. VFD's used outdoors must be in a NEMA 4x rated enclosure.
- D. The VFD shall convert incoming fixed frequency three-phase AC power into a variable frequency and voltage for controlling the speed of three-phase AC motors. The motor current shall closely approximate a sine wave. Motor voltage shall be varied with frequency to maintain desired motor magnetization current suitable for centrifugal pump and fan control and to eliminate the need for motor derating.
- E. With the motor's rated voltage applied to the VFD input, the VFD shall allow the motor to produce full rated power at rated amps, RMS fundamental volts, and speed without using the motor's service factor. VFDs utilizing sine weighted/coded modulation (with or without 3rd harmonic injection) must provide data verifying that the motors will not draw more than full load current during full load and full speed operation.
- F. The VFD shall include an input full-wave bridge rectifier and maintain a fundamental power factor near unity regardless of speed or load.
- G. The VFD and options shall be tested to ANSI/UL Standard 508. The complete VFD, including all specified options, shall be assembled by the manufacturer, which shall be UL-508 certified for the building and assembly of option panels. Assembly of the option panels by a third-party panel shop is not acceptable. The appropriate UL stickers shall be applied to both the VFD and option panel, in the case where these are not contained in one panel. When these VFDs are to be located in Canada, CSA or C-UL certifications shall apply. Both VFD and option panel shall be manufactured in ISO 9001 certified facilities.
- H. The VFD shall have DC link reactors on both the positive and negative rails of the DC bus to minimize power line harmonics. VFDs without DC link reactors shall provide a minimum 3% impedance line reactor.

- I. The VFD's full load amp rating shall meet or exceed NEC Table 430-150. The VFD shall be able to provide full rated output current continuously, 110% of rated current for 60 seconds and 160% of rated current for up to 0.5 second while starting.
- J. The VFD shall be able to provide full torque at any selected frequency from 28 Hz to base speed to allow driving direct drive fans without derating.
- K. An automatic energy optimization selection feature shall be provided standard in the VFD. This feature shall automatically and continually monitor the motor's speed and load and adjust the applied voltage to maximize energy savings and provide up to an additional 3% to 10% energy savings.
- L. Input and output power circuit switching shall be able to be accomplished without interlocks or damage to the VFD. Switching rate may be up to 1 time per minute on the input and unlimited on the output.
- M. An automatic motor adaptation test algorithm shall measure motor stator resistance and reactance to optimize performance and efficiency. It shall not be necessary to run the motor or de-couple the motor from the load to run the test.
- N. Galvanic and/or optical isolation shall be provided between the VFD's power circuitry and control circuitry to ensure operator safety and to protect connected electronic control equipment from damage caused by voltage spikes, current surges, and ground loop currents. VFDs not including either galvanic or optical isolation on both analog I/O and discrete I/O shall include additional isolation modules.
- O. VFD shall minimize the audible motor noise through the used of an adjustable carrier frequency. The carrier frequency shall be automatically adjusted to optimize motor and VFD efficiencies while reducing motor noise.

2.03 PROTECTIVE

FEATURES

- A. A minimum of Class 20 I²t electronic motor overload protection for single motor applications and thermal-mechanical overloads for multiple motor applications shall be provided.
- B. Protection against input transients, loss of AC line phase, output short circuit, output ground fault, over voltage, under voltage, VFD over temperature and motor over temperature. The VFD shall display all faults in plain English. Codes are not acceptable.
- C. Protect VFD from sustained power or phase loss. The VFD shall provide full rated output with an input voltage as low as 90% of the nominal. The VFD will continue to operate with reduced output with an input voltage as low as 164 V AC for 208/230 volt units, and 313 V AC for 460 volt units.
- D. The VFD shall incorporate a motor preheat circuit to keep the motor warm and prevent condensation build up in the starter.
- E. VFD package shall include semi-conductor rated input fuses to protect power components.

- F. To prevent breakdown of the motor winding insulation, the VFD shall be designed to comply with IEC Part 34-17. Otherwise, the VFD manufacturer must ensure that inverter rated motors are supplied.
- G. VFD shall include a “signal loss detection” circuit to sense the loss of an analog input signal such as 4 to 20 mA or 2 to 10 V DC and shall be programmable to react as desired in such an instance.
- H. VFD shall function normally when the keypad is removed while the VFD is running and continues to follow remote commands. No warnings or alarms shall be issued as a result of removing the keypad.
- I. VFD shall catch a rotating motor operating forward or reverse up to full speed.
- J. VFD shall be rated for 100,000 amp interrupting capacity (AIC).
- K. VFD shall include current sensors on all three output phases to detect and report phase loss to the motor. The VFD will identify which of the output phases is low or lost.
- L. VFD shall continue to operate without faulting until input voltage reaches 300 V AC on 208/230-volt VFDs, and 539 V AC on 460 volt VFDs.
- M. All three pole variable frequency controllers (VFD) either integral to equipment or field supplied shall contain voltage fault protection specifically designed to protect all motors and all other 3 phase loads, and associated control circuits from failure or damage due to voltage unbalance, over/under voltage, phase loss, reversal, incorrect sequencing and rapid short cycling.” The VFD shall be arranged to monitor critical faults including phase loss or reversal, and when detected, de-energize the load. It shall monitor non-critical faults including high/low voltage, voltage unbalance and when detected, after a time delay de-energize the load.”

2.04 INTERFACE FEATURES

- A. Hand/Start, Off/Stop and Auto/Start selector switches shall be provided to start and stop the VFD and determine the speed reference.
- B. The VFD shall be able to be programmed to provide a 24 V DC output signal to indicate that the VFD is in Auto/Remote mode.
- C. The VFD shall provide digital manual speed control. Potentiometers are not acceptable.
- D. Lockable, alphanumeric backlit display keypad can be remotely mounted up to 10 feet away using standard 9-pin cable.
- E. The keypads for all sizes of VFDs shall be identical and interchangeable.
- F. To set up multiple VFDs, it shall be possible to upload all setup parameters to the VFD’s keypad, place that keypad on all other VFDs in turn and download the setup parameters to each VFD. To facilitate setting up VFDs of various sizes, it shall be possible to download from the keypad only size independent parameters.

- G. Display shall be programmable to display in 9 languages including English, Spanish and French.
- H. The display shall have four lines, with 20 characters on three lines and eight large characters on one line.
- I. A red FAULT light, a yellow WARNING light and a green POWER-ON light shall be provided. These indications shall be visible both on the keypad and on the VFD when the keypad is removed.
- J. A quick setup menu with factory preset typical HVAC parameters shall be provided on the VFD eliminating the need for macros.
- K. The VFD shall include a standard RS-485 communications port and capabilities to be connected at a future date to a Johnson Controls N2 Metasys or Siemens FLN system at no additional cost to the owner. The connection shall be software selectable by the user.
- L. As a minimum, the following points shall be controlled and/or accessible:
 - 1. VFD Start/Stop
 - 2. Speed reference
 - 3. Fault diagnostics
 - 4. Meter points
 - a. Motor power in HP
 - b. Motor power in kW
 - c. Motor kW-hr
 - d. Motor current
 - e. Motor voltage
 - f. Hours run
 - g. Feedback signal #1
 - h. Feedback signal #2
 - i. DC link voltage
 - j. Thermal load on motor
 - k. Thermal load on VFD
 - l. Heat sink temperature
 - 5. Four additional Form C 230 volt programmable relays shall be available for factory or field installation within the FD.
- M. The communication protocol shall be native BACNET, LonWorks communication shall be available for factory or field installation within the VFD.
- N. Two set-point control interface (PID control) shall be standard in the unit. VFD shall be able to look at two feedback signals, compare with two set-points and make various process control decisions.

- O. An output signal as a start command to actuate external equipment before allowing the VFD to start.
- P. The following displays shall be accessible from the control panel in actual units: Reference Signal Value in actual units, Output Frequency in Hz or percent, Output Amps, Motor HP, Motor kW, kWhr, Output Voltage, DC Bus Voltage, VFD Temperature in degrees, and Motor Speed in engineering units per application (in GPM, CFM, etc.). VFD will read out the selected engineering unit either in a linear, square or cubed relationship to output frequency as appropriate to the unit chosen.
- Q. The display shall be programmed to read in inches of water column (in-wg) for an air handler application, pressure per square inch (psi) for a pump application, and temperature (°F) for a cooling tower application.
- R. VFD shall be able to be programmed to sense the loss of load and signal a no load/broken belt warning or fault.
- S. If the temperature of the VFD's heat sink rises to 80°C, the VFD shall automatically reduce its carrier frequency to reduce the heat sink temperature. If the temperature of the heat sink continues to rise the VFD shall automatically reduce its output frequency to the motor. As the VFD's heat sink temperature returns to normal, the VFD shall automatically increase the output frequency to the motor and return the carrier frequency to its normal switching speed.
- T. The VFD shall have temperature controlled cooling fans for quiet operation and minimized losses.
- U. The VFD shall store in memory the last 10 faults and related operational data.
- V. Eight programmable digital inputs shall be provided for interfacing with the systems control and safety interlock circuitry.
- W. Two programmable relay outputs, one Form C 240 V AC, one Form A 30 V AC, shall be provided for remote indication of VFD status.
- X. Three programmable analog inputs shall be provided and shall accept a direct-or-reverse acting signal. Analog reference inputs accepted shall include two voltage (0 to 10 V DC, 2 to 10 V DC) and one current (0 to 20 mA, 4 to 20 mA) input.
- Y. Two programmable 0 to 20 mA analog outputs shall be provided for indication of VFD status. These outputs shall be programmable for output speed, frequency, current and power. They shall also be programmable to provide a selected 24 V DC status indication.
- Z. Under fire mode conditions, the VFD shall be able to be programmed to automatically default to a preset speed.

2.05 ADJUSTMENTS

- A. VFD shall have an adjustable carrier frequency in steps of not less than 0.1 kHz to allow tuning the VFD to the motor.
- B. Sixteen preset speeds shall be provided.
- C. Four acceleration and four deceleration ramps shall be provided. Accel and decel time shall be adjustable over the range from 0 to 3,600 seconds to base speed. The shape of these curves shall be automatically contoured to ensure no-trip acceleration and deceleration.
- D. Four current limit settings shall be provided.
- E. If the VFD trips on one of the following conditions, the VFD shall be programmable for automatic or manual reset: under voltage, over voltage, current limit and inverter overload.
- F. The number of restart attempts shall be selectable from 0 through 20 or infinitely and the time between attempts shall be adjustable from 0 through 600 seconds.
- G. An automatic "on delay" may be selected from 0 to 120 seconds.

2.06 BYPASS

- A. Provide a manual 3-contactor bypass consisting of a door interlocked main fused disconnect padlockable in the off position, a built-in motor starter and a four position DRIVE/OFF/BYPASS/TEST switch controlling three contactors. In the DRIVE position, the motor is operated at an adjustable speed from the VFD. In the OFF position, the motor and VFD are disconnected. In the BYPASS position, the motor is operated at full speed from the AC power line and power is disconnected from the VFD so that service can be performed. In the TEST position, the motor is operated at full speed from the AC line power while power is applied to the input of the VFD. This allows the VFD to be given an operational test while continuing to run the motor at full speed in bypass. In case of an external safety fault, a customer supplied normally closed dry contact shall be able to stop the motor whether in DRIVE or BYPASS mode.
- B. Service personnel shall be able to defeat the main power disconnect and open the bypass enclosure without disconnecting power. This shall be accomplished through the use of a specially designed tool and mechanism while meeting all local and national code requirements for safety.
- C. Bypass shall only be required for applications where equipment is stand alone. Such as an air handling unit or roof top AC unit. For application where redundant pumps, fans or other equipment and the standby equipment utilizes a VFD bypass is not required.

2.07 SERVICE CONDITIONS

- A. Ambient temperature, -10 to 40°C (14 to 104°F).
- B. 0 to 95% relative humidity, non-condensing.
- C. Elevation to 3,300 feet without derating.

- D. AC line voltage variation, -10 to +10% of nominal with full output.
- E. No side clearance shall be required for cooling of any units. All power and control wiring shall be done from the bottom.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Verify that surface is suitable for controller installation.
- B. Do not install controller until building environment can be maintained within the service conditions required by the manufacturer.
- C. Verify that field measurements are as indicated on shop drawings and as instructed by manufacturer.

3.02 INSTALLATION

- A. Section 01400 - Quality Control: Manufacturer's instructions.
- B. Install in accordance with NEMA ICS 3.1.
- C. Tighten accessible connections and mechanical fasteners after placing controller.
- D. Provide fuses in fusible switches; refer to Section 16477 for product requirements.
- E. Select and install overload heater elements in motor controllers to match installed motor characteristics.
- F. Provide engraved plastic nameplates; refer to Section 16195 for product requirements and location.
- G. Neatly type label inside each motor controller door identifying motor served, nameplate horsepower, full load amperes, code letter, service factor, and voltage/phase rating. Place in clear plastic holder.

3.03 FIELD QUALITY CONTROL

- A. Inspect and test in accordance with NETA ATS, except Section 4.
- B. Perform inspections and tests listed in NETA ATS, Section 7.16.2.

3.04 MANUFACTURER'S FIELD SERVICES

- A. The manufacturer shall provide start-up commissioning of the VFD and its optional circuits by a factory certified service technician who is experienced in start-up and repair services. Sales personnel and other agents who are not factory certified shall not be acceptable as commissioning agents. Start-up services shall include checking for verification of proper

operation and installation for the VFD, its options and its interface wiring to the building automation system.

3.05 ADJUSTING

- A. Division 1 - Contract Closeout.
- B. Make final adjustments to installed controller to assure proper operation of load system. Obtain performance requirements from installer of driven loads.

3.06 DEMONSTRATION AND INSTRUCTIONS

- A. Division 1 - Contract Closeout:
- B. Demonstrate operation of controllers in automatic and manual modes. Furnish 2 (1) one hour training sessions on the project site with the owner, by factory authorized personal.

END OF SECTION 232913

SECTION 233113 - METAL DUCTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Single-wall rectangular ducts and fittings.
2. single-wall round and flat-oval ducts and fittings
3. Sheet metal materials.
4. Duct liner.
5. Sealants and gaskets.
6. Hangers and supports.

B. Related Sections:

1. Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
2. Section 233300 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

1.3 PERFORMANCE REQUIREMENTS

- A. Delegated Duct Design: Duct construction, including sheet metal thicknesses, seam and joint construction, reinforcements, and hangers and supports, shall comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and performance requirements and design criteria indicated in "Duct Schedule" Article.
- B. Structural Performance: Duct hangers and supports and seismic restraints shall withstand the effects of gravity and seismic loads and stresses within limits and under conditions described in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and ASCE/SEI 7. SMACNA's "Seismic Restraint Manual: Guidelines for Mechanical Systems."
 1. Seismic Hazard Level A: Seismic force to weight ratio, 0.48.
 2. Seismic Hazard Level B: Seismic force to weight ratio, 0.30.
 3. Seismic Hazard Level C: Seismic force to weight ratio, 0.15.
- C. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of the following products:

1. Liners and adhesives.
2. Sealants and gaskets.
3. Seismic-restraint devices.

B. Shop Drawings:

1. Fabrication, assembly, and installation, including plans, elevations, sections, components, and attachments to other work.
2. Factory- and shop-fabricated ducts and fittings.
3. Duct layout indicating sizes, configuration, liner material, and static-pressure classes.
4. Elevation of top of ducts.
5. Dimensions of main duct runs from building grid lines.
6. Fittings.
7. Reinforcement and spacing.
8. Seam and joint construction.
9. Penetrations through fire-rated and other partitions.
10. Equipment installation based on equipment being used on Project.
11. Locations for duct accessories, including dampers, turning vanes, and access doors and panels.
12. Hangers and supports, including methods for duct and building attachment.
13. Seismic restraints, where applicable
14. Vibration isolation.

C. Delegated-Design Submittal:

1. Sheet metal thicknesses.
2. Joint and seam construction and sealing.
3. Reinforcement details and spacing.
4. Materials, fabrication, assembly, and spacing of hangers and supports.
5. Design Calculations: Calculations, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation for selecting hangers and supports and seismic restraints. For seismic bracing only

1.5 INFORMATIONAL SUBMITTALS

A. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Duct installation in congested spaces, indicating coordination with general construction, building components, and other building services. Indicate proposed changes to duct layout.
2. Suspended ceiling components.
3. Structural members to which duct will be attached.
4. Size and location of initial access modules for acoustical tile.
5. Penetrations of smoke barriers and fire-rated construction.

6. Items penetrating finished ceiling including the following:

- a. Luminaires.
- b. Air outlets and inlets.
- c. Speakers.
- d. Sprinklers.
- e. Access panels.
- f. Perimeter moldings.

B. Welding certificates.

C. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. **Welding Qualifications:** Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- B. **Welding Qualifications:** Qualify procedures and personnel according to the following:
 - 1. AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports.
 - 2. AWS D9.1M/D9.1, "Sheet Metal Welding Code," for duct joint and seam welding.
- C. ASHRAE/IES Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6.4.4 - "HVAC System Construction and Insulation."
- D. Construct ductwork to NFPA 90A and NFPA 90B standards. All work, materials and equipment shall comply with the latest requirements of NFPA 90A, standards and the local authorities having jurisdiction.
- E. All ductwork and fan and apparatus plenums constructed and having supported in accordance with the latest standards of the ASHRAE Guide and the Sheet Metal and Air Conditioning Contractors National Association (SMACNA).
- F. Bracing, gauges, and supports indicated in SMACNA manuals are the minimum acceptable. Additional bracing or supports shall be installed to eliminate any distortion or vibration when the systems are operating or under tests.

PART 2 - PRODUCTS

2.1 General

- A. General: Non-combustible or conforming to requirements for Class 1 air duct materials, or UL 181.

- B. Galvanized Steel Ducts: ASTM A525 and ASTM A527 galvanized steel sheet, lock-forming quality, having zinc coating of 1.25 oz per sq ft for each side in conformance with ASTM A90.
- C. Dissimilar Metals: Separate connections between dissimilar metals with Dielectric Insulation. Joints between dissimilar metal duct sections to be made with Companion flanges separated by a Neoprene gasket.
- D. Fasteners: Rivets, bolts, screws, and other hardware used in the sheet metal construction to be constructed of materials identical or similar to the duct material to prevent galvanic corrosion.
- E. Sealant: Non-hardening, water resistant, fire resistive, compatible with mating materials; liquid used alone or with tape, or heavy mastic as manufactured by 3M Company EC-800.
- F. Hanger Rod: Steel, galvanized; threaded both ends, threaded one end, or continuously threaded.

2.2 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.3 SINGLE-WALL ROUND AND FLAT-OVAL DUCTS AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 3, "Round, Oval, and Flexible Duct," based on indicated static-pressure class unless otherwise indicated.
- B. Provide products from one of the following manufactures
 - 1. McGill Airflow LLC
 - 2. Zen Industries

3. Lindab
 4. Spiral Manufacturing Co. Inc
- C. Flat-Oval Ducts: Indicated dimensions are the duct width (major dimension) and diameter of the round sides connecting the flat portions of the duct (minor dimension).
- D. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-1, "Round Duct Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
1. Transverse Joints in Ducts Larger Than 60 Inches in Diameter: Flanged.
- E. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-2, "Round Duct Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
1. Fabricate round ducts larger than 90 inches in diameter with butt-welded longitudinal seams.
 2. Fabricate flat-oval ducts larger than 72 inches in width (major dimension) with butt-welded longitudinal seams.
- F. Tees and Laterals: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.4 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
1. Galvanized Coating Designation: G90.
 2. Finishes for Surfaces Exposed to View: Mill phosphatized.
- C. PVC-Coated, Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
1. Galvanized Coating Designation: G90.
 2. Minimum Thickness for Factory-Applied PVC Coating: 4 mils thick on sheet metal surface of ducts and fittings exposed to corrosive conditions, and minimum 1 mil thick on opposite surface.
 3. Coating Materials: Acceptable to authorities having jurisdiction for use on ducts listed and labeled by an NRTL for compliance with UL 181, Class 1.

- D. Carbon-Steel Sheets: Comply with ASTM A 1008/A 1008M, with oiled, matte finish for exposed ducts.
- E. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 316, as indicated in the "Duct Schedule" Article; cold rolled, annealed, sheet. Exposed surface finish shall be No. 2B, No. 2D, No. 3, or No. 4 as indicated in the "Duct Schedule" Article.
- F. Aluminum Sheets: Comply with ASTM B 209 Alloy 3003, H14 temper; with mill finish for concealed ducts, and standard, one-side bright finish for duct surfaces exposed to view.
- G. Factory- or Shop-Applied Antimicrobial Coating:
 - 1. Apply to the surface of sheet metal that will form the interior surface of the duct. An untreated clear coating shall be applied to the exterior surface.
 - 2. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.
 - 3. Coating containing the antimicrobial compound shall have a hardness of 2H, minimum, when tested according to ASTM D 3363.
 - 4. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
 - 5. Shop-Applied Coating Color: Black OR White.
 - 6. Antimicrobial coating on sheet metal is not required for duct containing liner treated with antimicrobial coating.
- H. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
 - 1. Where black- and galvanized-steel shapes and plates are used to reinforce aluminum ducts, isolate the different metals with butyl rubber, neoprene, or EPDM gasket materials.
- I. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.5 DUCT LINER

- A. Fibrous-Glass Duct Liner: Comply with ASTM C 1071, NFPA 90A, or NFPA 90B; and with NAIMA AH124, "Fibrous Glass Duct Liner Standard."
 - a. Maximum Thermal Conductivity:
 - 1) Type I, Flexible: 0.27 Btu x in./h x sq. ft. x deg F at 75 deg F mean temperature.
 - 2) Type II, Rigid: 0.23 Btu x in./h x sq. ft. x deg F at 75 deg F mean temperature.
 - 2. Antimicrobial Erosion-Resistant Coating: Apply to the surface of the liner that will form the interior surface of the duct to act as a moisture repellent and erosion-resistant coating. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.

3. Solvent Water-Based Liner Adhesive: Comply with NFPA 90A or NFPA 90B and with ASTM C 916.
- B. Flexible Elastomeric Duct Liner: Preformed, cellular, closed-cell, sheet materials complying with ASTM C 534, Type II, Grade 1; and with NFPA 90A or NFPA 90B.
 1. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
 2. Liner Adhesive: As recommended by insulation manufacturer and complying with NFPA 90A or NFPA 90B. **For application in damp or humid environments including natatoriums and Saunas.**
- C. Insulation Pins and Washers:
 1. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding, 0.135-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.
 2. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick galvanized steel, aluminum, or stainless steel to match ductwork; with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
- D. Shop Application of Duct Liner: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 7-11, "Flexible Duct Liner Installation."
 1. Adhere a single layer of indicated thickness of duct liner with at least 90 percent adhesive coverage at liner contact surface area. Attaining indicated thickness with multiple layers of duct liner is prohibited.
 2. Apply adhesive to transverse edges of liner facing upstream that do not receive metal nosing.
 3. Butt transverse joints without gaps, and coat joint with adhesive.
 4. Fold and compress liner in corners of rectangular ducts or cut and fit to ensure butted-edge overlapping.
 5. Do not apply liner in rectangular ducts with longitudinal joints, except at corners of ducts, unless duct size and dimensions of standard liner make longitudinal joints necessary.
 6. Apply adhesive coating on longitudinal seams in ducts with air velocity of 2500 fpm.
 7. Secure liner with mechanical fasteners 4 inches from corners and at intervals not exceeding 12 inches transversely; at 3 inches from transverse joints and at intervals not exceeding 18 inches longitudinally.
 8. Secure transversely oriented liner edges facing the airstream with metal nosings that have either channel or "Z" profiles or are integrally formed from duct wall. Fabricate edge facings at the following locations:
 - a. Fan discharges.
 - b. Intervals of lined duct preceding unlined duct.
 - c. Upstream edges of transverse joints in ducts where air velocities are higher than 2500 fpm or where indicated.
 9. Terminate inner ducts with buildouts attached to fire-damper sleeves, dampers, turning vane assemblies, or other devices. Fabricated buildouts (metal hat sections) or other buildout means are optional; when used, secure buildouts to duct walls with bolts, screws, rivets, or welds.

2.6 SEALANT AND GASKETS

- A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
- B. Two-Part Tape Sealing System:
1. Tape: Woven cotton fiber impregnated with mineral gypsum and modified acrylic/silicone activator to react exothermically with tape to form hard, durable, airtight seal.
 2. Tape Width: 4 inches.
 3. Sealant: Modified styrene acrylic.
 4. Water resistant.
 5. Mold and mildew resistant.
 6. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
 7. Service: Indoor and outdoor.
 8. Service Temperature: Minus 40 to plus 200 deg F.
 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum.
- C. Water-Based Joint and Seam Sealant:
1. Application Method: Brush on.
 2. Solids Content: Minimum 65 percent.
 3. Shore A Hardness: Minimum 20.
 4. Water resistant.
 5. Mold and mildew resistant.
 6. VOC: Maximum 75 g/L (less water).
 7. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
 8. Service: Indoor or outdoor.
 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- D. Solvent-Based Joint and Seam Sealant:
1. Application Method: Brush on.
 2. Base: Synthetic rubber resin.
 3. Solvent: Toluene and heptane.
 4. Solids Content: Minimum 60 percent.
 5. Shore A Hardness: Minimum 60.
 6. Water resistant.
 7. Mold and mildew resistant.
 8. Maximum Static-Pressure Class: 10-inch wg, positive or negative.
 9. Service: Indoor or outdoor.
 10. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- E. Flanged Joint Sealant: Comply with ASTM C 920.
1. General: Single-component, acid-curing, silicone, elastomeric.

2. Type: S.
3. Grade: NS.
4. Class: 25.
5. Use: O.

F. Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.

G. Round Duct Joint O-Ring Seals:

1. Seal shall provide maximum leakage class of 3 cfm/100 sq. ft. at 1-inch wg and shall be rated for 10-inch wg static-pressure class, positive or negative.
2. EPDM O-ring to seal in concave bead in coupling or fitting spigot.
3. Double-lipped, EPDM O-ring seal, mechanically fastened to factory-fabricated couplings and fitting spigots.

2.7 HANGERS AND SUPPORTS

- A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.
- B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.
- C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."
- D. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A 603.
- E. Steel Cables for Stainless-Steel Ducts: Stainless steel complying with ASTM A 492.
- F. Steel Cable End Connections: Cadmium-plated steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.
- G. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.
- H. Trapeze and Riser Supports:
1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
 2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
 3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.
- I. All external duct supports shall be made from galvanized steel "U" channel. Refer to plans for details. All outdoor fasteners nut bolts washers etc shall be galvanized steel.

PART 3 - EXECUTION

3.1 DUCT INSTALLATION

- A. *Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.*
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.
- C. Install ducts in maximum practical lengths and with fewest possible joints
- D. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections. *Increase duct sizes gradually, not exceeding 15 degrees divergence wherever possible; maximum 0 degrees divergence upstream of equipment and 45 degrees convergence downstream.*
- E. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- F. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- G. Install ducts with a clearance of 2 inch, plus allowance for insulation thickness and with sufficient space around equipment to allow normal operating and maintenance activities. *Provide easements where ductwork conflicts with piping and structure. Where easements exceed 10 percent duct area, split into two ducts maintaining original duct area.*
- H. Provide standard 45 degree lateral wye takeoffs unless otherwise indicated where 90 degree conical tee connections may be used.
- I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.
- J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.
- K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 233300 "Air Duct Accessories" for all installations as well as fire and smoke dampers.
- L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

- M. Provide openings in ductwork where required to accommodate thermometers and controllers. Provide pilot tube openings where required for testing of systems, complete with metal can with spring device or screw to ensure against air leakage. Where openings are provided in insulated ductwork, install insulation material inside a metal ring.
- N. Where hanger rods must pierce ducts, provide closure plates around rods and fasten to duct using screws, rivets or welding. Seal with sealing compound.
- O. *Construct T's, bends, and elbows with radius of not less than 1-1/2 times width of duct on centerline. Where not possible and where rectangular elbows are used, provide airfoil turning vanes. Where acoustical lining is indicated, provide turning vanes of perforated metal with glass fiber insulation.*
- P. *Where ductwork penetrates roofs or outside walls, seal the space around ductwork air tight with fire rated expanding spray foam sealer similar to 3-M Fire Block Foam. This also applies to duct roof penetrations into roof curbs.*
- Q. *All ductwork shall be inspected and pressure tested prior to enclosing in general construction or concealment above hung ceilings*

3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts exposed in finished spaces from being dented, scratched, or damaged.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.
- D. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.
- F. Prime ductwork and paint with one coat enamel base paint. Color as per architectural plans. All ductwork surface finish shall be treated prior to priming by "pickling" in accordance with industry standards and paint manufactures requirements.
- G. All ductwork in any building that is exposed to view, (except mechanical equipment rooms), shall double wall round ductwork or oval as indicated on plan. Where ductwork is to be painted, it shall be primed. Refer to the architectural plans for where ductwork shall be painted. Submit paint color chart for review and approval. Primer shall be specifically formulated for galvanized steel.
- H. Duct sealants used on exposed ductwork of any type shall be clear.

I.

3.3 DUCT SEALING

- A. Seal ducts at a minimum to the following seal classes according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" Refer to the Duct Leakage and seal Classification Table below.

Leakage and Seal Classification Table			
Duct Class	1/2"-1", 2" wg	3" wg	4", 6", 10"wg
Sealing Applicable	Transverse Joints Only	Transverse Joints and Seams	Joints, Seams & all Wall penetrations
Leakage Class (C _l) - CFM leakage per 100 SF @ 1" H ₂ O			
Rectangular Metal	24	12	6
Round Metal	12	6	3

3.4 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."
- B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.
1. Where practical, install concrete inserts before placing concrete.
 2. Install powder-actuated concrete fasteners after concrete is placed and completely cured.
 3. Use powder-actuated concrete fasteners for standard-weight aggregate concretes or for slabs more than 4 inches thick.
 4. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes or for slabs less than 4 inches thick.
 5. Do not use powder-actuated concrete fasteners for seismic restraints.
- C. Hanger Spacing: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct," for maximum hanger spacing; install hangers and supports within 24 inches of each elbow and within 48 inches of each branch intersection.
- D. Hangers Exposed to View: Threaded rod and angle or channel supports.
- E. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor and at a maximum interval of 16 feet.
- F. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

- G. Where hanger rods must pierce ducts, provide closure plates around rods and fasten to duct using screws, rivets or welding. Seal with sealing compound.
- H. Where ceiling or sheetrock has to be opened for the installation of hangers, the contractor shall patch to match existing.
- I. Where fire proofing is removed from structural members for the installation of hangers and supports the contractor shall re-fireproof the member to match the existing material and fireproof rating.

3.5 SEISMIC-RESTRAINT-DEVICE INSTALLATION

- A. Install ducts with hangers and braces designed to support the duct and to restrain against seismic forces required by applicable building codes. Comply with SMACNA's "Seismic Restraint Manual: Guidelines for Mechanical Systems." ASCE/SEI 7.
 - 1. Space lateral supports a maximum of 40 feet o.c., and longitudinal supports a maximum of 80 feet o.c.
 - 2. Brace a change of direction longer than 12 feet.
- B. Select seismic-restraint devices with capacities adequate to carry present and future static and seismic loads.
- C. Install cables so they do not bend across edges of adjacent equipment or building structure.
- D. Install cable restraints on ducts that are suspended with vibration isolators.
- E. Install seismic-restraint devices using methods approved by an evaluation service member of the ICC Evaluation Service or an agency acceptable to authorities having jurisdiction.
- F. Attachment to Structure: If specific attachment is not indicated, anchor bracing and restraints to structure, to flanges of beams, to upper truss chords of bar joists, or to concrete members.
- G. Drilling for and Setting Anchors:
 - 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcement or embedded items during drilling. Notify the Architect if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
 - 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.
 - 3. Wedge Anchors: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
 - 4. Set anchors to manufacturer's recommended torque, using a torque wrench.
 - 5. Install zinc-coated steel anchors for interior applications and stainless-steel anchors for applications exposed to weather.

3.6 CONNECTIONS

- A. Make connections to equipment with flexible connectors complying with Section 233300 "Air Duct Accessories."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.
- C. **Smoke purge supply and exhaust systems and stair pressure systems. Flexible connection shall be permitted at diffusers, a maximum of 3' long, and flexible connections are permitted at air handling equipment for vibration isolation only.**
- D. INSULATED FLEXIBLE DUCTS
 - 1. UL 181, Class 0, interlocking spiral of aluminum foil; fiberglass insulation; polyethylene vapor barrier film.
 - 2. Pressure Rating: 8 inches WG positive or negative.
 - 3. Maximum Velocity: 5000 fpm
 - 4. Temperature Range: -20 degrees F to 250 degrees F.

3.7 PAINTING

- A. Paint interior of metal ducts that are visible through registers and grilles and that do not have duct liner. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint materials and application requirements are specified in Section 099113 "Exterior Painting" and Section 099123 "Interior Painting."

3.8 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
 - 1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
 - 2. Test the following systems:
 - a. All Ducts with a Pressure Class equal to or Higher Than 2-Inch wg: Test representative duct sections totaling no less than 25 percent of total installed duct area for each system of the designated pressure class.
 - b. All smoke purge system Ducts, including supply exhaust and return air. All stair pressurization ductwork. Test representative duct sections totaling no less than 50 percent of total installed duct area of each system.
 - 3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
 - 4. Test for leaks before applying external insulation.

5. Conduct tests at static pressures equal to maximum design pressure of system or section being tested. If static-pressure classes are not indicated, test system at maximum system design pressure. Do not pressurize systems above maximum design operating pressure.
6. Give seven days' advance notice for testing.

C. Duct System Cleanliness Tests:

1. Visually inspect duct system to ensure that no visible contaminants are present.
2. Test sections of metal duct system, chosen randomly by Owner, for cleanliness according to "Vacuum Test" in NADCA ACR, "Assessment, Cleaning and Restoration of HVAC Systems."
 - a. Acceptable Cleanliness Level: Net weight of debris collected on the filter media shall not exceed 0.75 mg/100 sq. cm.

D. Duct system will be considered defective if it does not pass tests and inspections.

E. Prepare test and inspection reports.

3.9 DUCT CLEANING

A. Clean new and existing duct system(s) before testing, adjusting, and balancing.

B. Use service openings for entry and inspection.

1. Create new openings and install access panels appropriate for duct static-pressure class if required for cleaning access. Provide insulated panels for insulated or lined duct. Patch insulation and liner as recommended by duct liner manufacturer. Comply with Section 233300 "Air Duct Accessories" for access panels and doors.
2. Disconnect and reconnect flexible ducts as needed for cleaning and inspection.
3. Remove and reinstall ceiling to gain access during the cleaning process.

C. Particulate Collection and Odor Control:

1. When venting vacuuming system inside the building, use HEPA filtration with 99.97 percent collection efficiency for 0.3-micron-size (or larger) particles.
2. When venting vacuuming system to outdoors, use filter to collect debris removed from HVAC system, and locate exhaust downwind and away from air intakes and other points of entry into building.

D. Clean the following components by removing surface contaminants and deposits:

1. Air outlets and inlets (registers, grilles, and diffusers).
2. Supply, return, and exhaust fans including fan housings, plenums (except ceiling supply and return plenums), scrolls, blades or vanes, shafts, baffles, dampers, and drive assemblies.
3. Air-handling unit internal surfaces and components including mixing box, coil section, air wash systems, spray eliminators, condensate drain pans, humidifiers and dehumidifiers, filters and filter sections, and condensate collectors and drains.

4. Coils and related components.
5. Return-air ducts, dampers, actuators, and turning vanes except in ceiling plenums and mechanical equipment rooms.
6. Supply-air ducts, dampers, actuators, and turning vanes.
7. Dedicated exhaust and ventilation components and makeup air systems.

E. Mechanical Cleaning Methodology:

1. Clean metal duct systems using mechanical cleaning methods that extract contaminants from within duct systems and remove contaminants from building.
2. Use vacuum-collection devices that are operated continuously during cleaning. Connect vacuum device to downstream end of duct sections so areas being cleaned are under negative pressure.
3. Use mechanical agitation to dislodge debris adhered to interior duct surfaces without damaging integrity of metal ducts, duct liner, or duct accessories.
4. Clean fibrous-glass duct liner with HEPA vacuuming equipment; do not permit duct liner to get wet. Replace fibrous-glass duct liner that is damaged, deteriorated, or delaminated or that has friable material, mold, or fungus growth.
5. Clean coils and coil drain pans according to NADCA 1992. Keep drain pan operational. Rinse coils with clean water to remove latent residues and cleaning materials; comb and straighten fins.
6. Provide drainage and cleanup for wash-down procedures.
7. Antimicrobial Agents and Coatings: Apply EPA-registered antimicrobial agents if fungus is present. Apply antimicrobial agents according to manufacturer's written instructions after removal of surface deposits and debris.

3.10 SMOKE AND HEAT DETECTOR INSTALLATION

- A. Duct mounted smoke and heat detectors will be supplied under the Electrical Division. This Contractor to coordinate duct sizes and provide labor to install sensing probes into ductwork.

3.11 DUCTWORK PROTECTION

- A. Duct work under construction or alteration shall not be left open ended during dust producing construction. All new and existing ductwork systems in the area of alteration or under construction shall be protected during construction. Open ends ducts shall be sealed with sheet metal or as approved.
- B. For unenclosed buildings ductwork shall be kept dry and water tight. Seal open ends water tight during construction to prevent water infiltration. Keep all acoustical lining dry during construction. Lining that has become wet shall be replaced. All incomplete ductwork being used to condition spaces in phase I or phase II that will be completed under a later phase must be protected from being internally contaminated by construction dust. All returns opening must have filters placed over them to prevent dust from being returned to the unit.

3.12 DUCT SCHEDULE

- A. Fabricate ducts using the following material;
1. Underground Ducts: Concrete-encased PVC-coated galvanized steel, or Concrete-encased stainless steel, or fiberglass.
 2. Natatorium ductwork – PVC coated galvanized steel.
 3. Kitchen exhaust – welded stainless steel or black steel
 4. Smoke purge ductwork - welded stainless steel or black steel.
 5. Shower room exhaust – aluminum
 6. Dryer exhaust – aluminum
 7. Outside air intake plenum and ductwork - Aluminum
 8. Above ground in MER, conditioned space or unconditioned spaces – galvanized steel
- B. Duct Pressure class;
1. All duct systems shall be constructed to have a pressure classification based on the maximum static pressure (positive or negative) developed by the air handling apparatus connected to the ductwork system. Unless otherwise noted below, refer to the equipment schedules and equipment notes for the design operating pressure of each system. Systems with operating pressures between pressure classes shall be constructed to the next higher pressure class.

Pressure Classification Table							
System operating pressure (OP) in wc	OP≤1"	1"≥OP<2"	2"≥OP<3"	3"≥OP<4"	4"≥OP<6"	6"≥OP<10"	
SMACNA Construction classification	1"	2"	3"	4"	6"	10"	

2. All ductwork shall be constructed in accordance with the leakage and seal classification. Note that the leakage and seal classification required by current code is more stringent than SMACNA requirements.

Leakage and Seal Classification Table					
System operating pressure in wc			<2" low	2"≥med<3"	High≥3"
Seal Class			C	B	A
Sealing			Transverse joints	Transverse joints and seams	Transverse joints and seams and all wall penetrations
Leakage class CL factor - Rectangular me			24	12	4
Leakage class CL factor - round metal			12	6	3

3. Ducts Connected to Equipment **Smoke Purge:**

- a. Pressure Class: Positive or negative 2-inch wg.
- b. Minimum SMACNA Seal Class: B if negative pressure, and A if positive pressure.
- c. SMACNA Leakage Class for Rectangular: 6.
- d. SMACNA Leakage Class for Round and Flat Oval: 6.

C. Intermediate Reinforcement:

1. Galvanized-Steel Ducts: Galvanized steel.
2. PVC-Coated Ducts:
 - a. Exposed to Airstream: Match duct material.
 - b. Not Exposed to Airstream: Match duct material.
3. Stainless-Steel Ducts:
 - a. Exposed to Airstream: Match duct material.
 - b. Not Exposed to Airstream: Match duct material.
4. Aluminum Ducts: Aluminum or galvanized sheet steel coated with zinc chromate.

D. Liner for sound attenuation:

1. Supply, Return and exhaust air ducts: ½" inches thick.
2. Supply and return fan Plenums: 1" inches thick.
3. Transfer Ducts: 1 inch thick.
4. Ductwork down stream from VAV boxes for 10'
5. At the inlet and discharge of all fans for a distance of 20'
- 6.

E. Double-Wall Duct Interstitial Insulation:

1. Supply, Return, Exhaust Air Ducts: 1" inches thick. (when ducts are exposed in the conditions space)

2. Supply, Return, Exhaust Air Ducts: 1 1/2" inches thick. (when ducts are concealed in plenums or are located in unconditioned spaces)

F. Elbow Configuration:

1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."
 - a. Velocity 1000 fpm or Lower:
 - 1) Radius Type RE 1 with minimum 0.5 radius-to-diameter ratio.
 - 2) Mitered Type RE 4 without vanes.
 - b. Velocity 1000 to 1500 fpm:
 - 1) Radius Type RE 1 with minimum 1.0 radius-to-diameter ratio.
 - 2) Radius Type RE 3 with minimum 0.5 radius-to-diameter ratio and two vanes.
 - 3) Mitered Type RE 2 with turning vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
 - c. Velocity 1500 fpm or Higher:
 - 1) Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
 - 2) Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
 - 3) Mitered Type RE 2 with turning vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
2. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."
 - a. Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
 - b. Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
 - c. Mitered Type RE 2 with turning vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
3. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-4, "Round Duct Elbows."
 - a. Minimum Radius-to-Diameter Ratio and Elbow Segments: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 3-1, "Mitered Elbows." Elbows with less than 90-degree change of direction have proportionately fewer segments.
 - 1) Velocity 1000 fpm or Lower: 0.5 radius-to-diameter ratio and three segments for 90-degree elbow.
 - 2) Velocity 1000 to 1500 fpm: 1.0 radius-to-diameter ratio and four segments for 90-degree elbow.

- 3) Velocity 1500 fpm or Higher: 1.5 radius-to-diameter ratio and five segments for 90-degree elbow.
- 4) Radius-to Diameter Ratio: 1.5.

- b. Round Elbows, 12 Inches and Smaller in Diameter:
- c. Round Elbows, 14 Inches and Larger in Diameter:

G. Branch Configuration:

- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-6, "Branch Connection."
 - a. Rectangular Main to Rectangular Branch: 45-degree entry.
 - b. Rectangular Main to Round Branch: Spin in.
- 2. Round and Flat Oval: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees." Saddle taps are permitted in existing duct.
 - a. Velocity 1000 fpm or Lower: 90-degree tap.
 - b. Velocity 1000 to 1500 fpm: Conical tap.
 - c. Velocity 1500 fpm or Higher: 45-degree lateral.

3.13 DUCTWORK PRESSURE TESTING

- A. All high pressure ductwork design or operated at 3wc or greater shall pressure tested in accordance with specification section 23 05 93 Testing Adjusting and Balancing.
- B. The contractor review test report results and repair or replace any sections of ductwork with and air leakage rate over 4.0.

END OF SECTION 233113

SECTION 233300 - AIR DUCT ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Backdraft and pressure relief dampers.
2. Barometric relief dampers.
3. Manual volume dampers.
4. Control dampers.
5. Fire dampers.
6. Ceiling radiation dampers.
7. Smoke dampers.
8. Combination fire and smoke dampers.
9. Corridor dampers.
10. Flange connectors.
11. Duct silencers.
12. Turning vanes.
13. Remote damper operators.
14. Duct-mounted access doors.
15. Flexible connectors.
16. Duct security bars.
17. Duct accessory hardware.
18. Duct Roof Supports

B. Related Requirements:

1. Section 233113 Metal Ducts
2. Section 233346 "Flexible Ducts" for insulated and non-insulated flexible ducts.
3. Section 233723 "HVAC Gravity Ventilators" for roof-mounted ventilator caps.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. For duct silencers, include pressure drop and dynamic insertion loss data. Include breakout noise calculations for high transmission loss casings.

- B. Shop Drawings: For duct accessories. Include plans, elevations, sections, details and attachments to other work.
 - 1. Detail duct accessories fabrication and installation in ducts and other construction. Include dimensions, weights, loads, and required clearances; and method of field assembly into duct systems and other construction. Include the following:
 - a. Special fittings.
 - b. Manual volume damper installations.
 - c. Control-damper installations.
 - d. Fire-damper, smoke-damper, combination fire- and smoke-damper, ceiling, and corridor damper installations, including sleeves; and duct-mounted access doors and remote damper operators.
 - e. Duct security bars.
 - f. Wiring Diagrams: For power, signal, and control wiring.

1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from Installers of the items involved.
- B. Source quality-control reports.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Fusible Links: Furnish quantity equal to 10 percent of amount installed.

PART 2 - PRODUCTS

2.1 ASSEMBLY DESCRIPTION

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise

indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

2.2 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
 - 1. Galvanized Coating Designation: G90.
 - 2. Exposed-Surface Finish: Mill phosphatized.
- B. Stainless-Steel Sheets: Comply with ASTM A 480/A 480M, Type 304, and having a No. 2 finish for concealed ducts and finish for exposed ducts.
- C. Aluminum Sheets: Comply with ASTM B 209, Alloy 3003, Temper H14; with mill finish for concealed ducts and standard, 1-side bright finish for exposed ducts.
- D. Extruded Aluminum: Comply with ASTM B 221, Alloy 6063, Temper T6.
- E. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.
- F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.3 BACKDRAFT AND PRESSURE RELIEF DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Greenheck Fan Corporation.
 - 2. Nailor Industries Inc.
 - 3. Pottorff.
 - 4. Ruskin Company.
 - 5. Buckley
- B. Description: Gravity balanced.
- C. Maximum Air Velocity: 1000 fpm.
- D. Maximum System Pressure: up to 6"wc.
- E. Frame: Hat-shaped, 0.094-inch-thick galvanized sheet steel, 0.063-inch-thick extruded aluminum, 0.03-inch-thick stainless steel, with welded corners or mechanically attached and mounting flange.

- F. Blades: Multiple single-piece blades, center pivoted, or off-center pivoted, maximum 6-inch width, 0.025-inch-thick, roll-formed aluminum or 0.050-inch-thick aluminum sheet noncombustible, tear-resistant, neoprene-coated fiberglass with sealed edges.
- G. Blade Action: Parallel.
- H. Blade Seals: Neoprene, mechanically locked.
- I. Blade Axles:
 - 1. Material: Galvanized, steel Stainless steel, or Aluminum.
 - 2. Diameter: 0.20 inch min.
- J. Tie Bars and Brackets: Aluminum or Galvanized steel.
- K. Return Spring: Adjustable tension.
- L. Bearings: Steel ball or synthetic pivot bushings.
- M. Accessories:
 - 1. Adjustment device to permit setting for varying differential static pressure.
 - 2. Counterweights and spring-assist kits for vertical airflow installations.
 - 3. Electric actuators.
 - 4. Chain pulls.
 - 5. Screen Mounting: Front mounted in sleeve.
 - a. Sleeve Thickness: 20 gage minimum.
 - b. Sleeve Length: 6 inches minimum.
 - 6. Screen Mounting: Rear mounted.
 - 7. Screen Material: Galvanized steel or Aluminum.
 - 8. Screen Type: Bird. ½ x ½ max opening
 - 9. 90-degree stops.

2.4 BAROMETRIC RELIEF DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Greenheck Fan Corporation.
 - 2. Nailor Industries Inc.
 - 3. Pottorff.
 - 4. Ruskin Company.
 - 5. Buckley
- B. Suitable for horizontal or vertical mounting.

- C. Maximum Air Velocity: 1000 fpm.
- D. Maximum System Pressure: upto 6-inch wg.
- E. Frame: Hat-shaped, 0.05-inch-thick, galvanized sheet steel 0.094-inch-thick, galvanized sheet steel 0.063-inch-thick extruded aluminum 0.03-inch-thick stainless steel, with welded corners or mechanically attached and mounting flange.
- F. Blades:
 - 1. Multiple, 0.025-inch-thick, roll-formed aluminum or 0.050-inch-thick aluminum sheet.
 - 2. Maximum Width: 6 inches.
 - 3. Action: Parallel.
 - 4. Balance: Gravity.
 - 5. Eccentrically pivoted or Off-center pivoted.
- G. Blade Seals: Neoprene.
- H. Blade Axles: Galvanized steel, aluminum, or Stainless steel.
- I. Tie Bars and Brackets:
 - 1. Material: Aluminum or Galvanized steel.
 - 2. Rattle free with 90-degree stop.
- J. Return Spring: Adjustable tension.
- K. Bearings: Synthetic, Stainless steel, Bronze.
- L. Accessories:
 - 1. Flange on intake.
 - 2. Adjustment device to permit setting for varying differential static pressures.

2.5 MANUAL VOLUME DAMPERS

- A. Standard, Steel, Manual Volume Dampers:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Flex-Tek Group.
 - b. McGill AirFlow LLC.
 - c. Nailor Industries Inc.
 - d. Pottorff.
 - e. Ruskin Company.
 - f. Vent Products Co., Inc.
 - g. Buckley

2. Standard leakage rating, with linkage outside airstream.
 3. Suitable for horizontal or vertical applications.
 4. Frames:
 - a. Frame: Hat-shaped, 0.094-inch-thick, galvanized sheet steel or 0.05-inch-thick stainless steel.
 - b. Mitered and welded corners.
 - c. Flanges for attaching to walls and flangeless frames for installing in ducts.
 5. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Galvanized or Stainless-steel, 0.064 inch thick.
 6. Blade Axles: Galvanized steel, Stainless or steel Nonferrous metal.
 7. Bearings:
 - a. Oil-impregnated bronze, Molded synthetic, Oil-impregnated stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
 8. Tie Bars and Brackets: Galvanized steel.
- B. Standard, Aluminum, Manual Volume Dampers:
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. McGill AirFlow LLC.
 - b. Nailor Industries Inc.
 - c. Pottorff.
 - d. Ruskin Company.
 - e. Vent Products Co., Inc.
 2. Standard leakage rating, with linkage outside airstream.
 3. Suitable for horizontal or vertical applications.
 4. Frames: Hat-shaped, 0.10-inch-thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
 5. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Roll-Formed Aluminum Blades: 0.10-inch-thick aluminum sheet.
 - e. Extruded-Aluminum Blades: 0.050-inch-thick extruded aluminum.

6. Blade Axles: Galvanized steel or Stainless steel.
7. Bearings:
 - a. Oil-impregnated bronze, Molded synthetic, or Stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
8. Tie Bars and Brackets: Aluminum.

C. Low-Leakage, Steel, Manual Volume Dampers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. McGill AirFlow LLC.
 - b. Nailor Industries Inc.
 - c. Pottorff.
 - d. Ruskin Company.
 - e. Vent Products Co., Inc.
2. Comply with AMCA 500-D testing for damper rating.
3. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
4. Suitable for horizontal or vertical applications.
5. Frames:
 - a. U or Angle shaped.
 - b. 0.094-inch-thick, galvanized sheet steel or 0.05-inch-thick stainless steel.
 - c. Mitered and welded corners.
 - d. Flanges for attaching to walls and flangeless frames for installing in ducts.
6. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Galvanized or Stainless, roll-formed steel, 0.064 inch thick.
7. Blade Axles: Galvanized steel or Stainless steel.
8. Bearings:
 - a. Oil-impregnated bronze, Molded synthetic, Oil-impregnated stainless-steel sleeve, Stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
9. Blade Seals: Neoprene.
10. Jamb Seals: Cambered stainless steel or aluminum.
11. Tie Bars and Brackets: Galvanized steel or Aluminum.

12. Accessories:

- a. Include locking device to hold single-blade dampers in a fixed position without vibration.

D. Low-Leakage, Aluminum, Manual Volume Dampers:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. McGill AirFlow LLC.
 - b. Nailor Industries Inc.
 - c. Pottorff.
 - d. Ruskin Company.
 - e. Vent Products Co., Inc.
- 2. Comply with AMCA 500-D testing for damper rating.
- 3. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
- 4. Suitable for horizontal or vertical applications.
- 5. Frames: U or Angle-shaped, 0.10-inch-thick, aluminum sheet channels; frames with flanges for attaching to walls and flangeless frames for installing in ducts.
- 6. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Roll-Formed Aluminum Blades: 0.10-inch-thick aluminum sheet.
 - d. Extruded-Aluminum Blades: 0.050-inch-thick extruded aluminum.
- 7. Blade Axles: Galvanized steel, Stainless steel.
- 8. Bearings:
 - a. Oil-impregnated bronze, Molded synthetic, Oil-impregnated stainless-steel sleeve, Stainless-steel sleeve.
 - b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
- 9. Blade Seals: Neoprene.
- 10. Jamb Seals: Cambered stainless steel, aluminum.
- 11. Tie Bars and Brackets: Galvanized steel, Aluminum.
- 12. Accessories:
 - a. Include locking device to hold single-blade dampers in a fixed position without vibration.

E. Jackshaft:

- 1. Size: 0.5-inch diameter min.

2. Material: Galvanized-steel pipe rotating within pipe-bearing assembly mounted on supports at each mullion and at each end of multiple-damper assemblies.
3. Length and Number of Mountings: As required to connect linkage of each damper in multiple-damper assembly.

F. Damper Hardware:

1. Zinc-plated, die-cast core with dial and handle made of 3/32-inch-thick zinc-plated steel, and a 3/4-inch hexagon locking nut.
2. Include center hole to suit damper operating-rod size.
3. Include elevated platform for insulated duct mounting.

2.6 CONTROL DAMPERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Arrow United Industries.
2. Greenheck Fan Corporation.
3. McGill AirFlow LLC.
4. Nailor Industries Inc.
5. Pottorff.
6. Ruskin Company.

B. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.

C. Frames:

1. U or Angle shaped.
2. 0.094-inch-thick, galvanized sheet steel or 0.05-inch-thick stainless steel.
3. Mitered and welded corners.

D. Blades:

1. Multiple blade with maximum blade width of 6 inches.
2. Parallel blade for non modulating application
3. Opposed-blade design for all modulating applications
4. Galvanized-steel, Stainless steel, Aluminum.
5. 0.064 inch thick single skin or 0.0747-inch-thick dual skin.
6. Blade Edging: Closed-cell neoprene.
7. Blade Edging: Inflatable seal blade edging, or replaceable rubber seals.

E. Blade Axles: 1/2-inch-diameter; galvanized steel, or stainless steel; blade-linkage hardware of zinc-plated steel and brass; ends sealed against blade bearings.

1. Operating Temperature Range: From minus 40 to plus 200 deg F.

F. Bearings:

1. Oil-impregnated bronze, Molded synthetic, Oil-impregnated, stainless-steel sleeve, or Stainless-steel sleeve.
2. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.
3. Thrust bearings at each end of every blade.

2.7 FIRE DAMPERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Arrow United Industries.
2. Greenheck Fan Corporation.
3. Nailor Industries Inc.
4. Pottorff.
5. Ruskin Company.
6. Ward Industries; a brand of Hart & Cooley, Inc.

B. Type: Dynamic; rated and labeled according to UL 555 by an NRTL.

C. Closing rating in ducts up to 4-inch wg static pressure class and minimum 2000-fpm velocity.

D. Fire Rating: 1-1/2 and 3 hours.

E. Frame: Curtain type with blades inside airstream for application in duct over 24" in height. Curtain type with blades outside airstream for ducts 24" or less in height. Multiple-blade type; fabricated with roll-formed, 0.034-inch-thick galvanized steel; with mitered and interlocking corners.

F. Mounting Sleeve: Factory- or field-installed, galvanized sheet steel.

1. Minimum Thickness: 0.138 inch up to 4 SF 0.39 over 4 SF inch thick, as indicated, and of length to suit application.
2. Exception: Omit sleeve where damper-frame width permits direct attachment of perimeter mounting angles on each side of wall or floor; thickness of damper frame must comply with sleeve requirements.

G. Mounting Orientation: Vertical or horizontal as indicated.

H. Blades: Roll-formed, interlocking, 0.034-inch- thick, galvanized sheet steel. In place of interlocking blades, use full-length, 0.034-inch-thick, galvanized-steel blade connectors.

I. Horizontal Dampers: Include blade lock and stainless-steel closure spring.

J. Heat-Responsive Device: Replaceable, 165 deg F rated, fusible links.

2.8 SMOKE DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Greenheck Fan Corporation.
 2. Nailor Industries Inc.
 3. Pottorff.
 4. Ruskin Company.
- B. General Requirements: Label according to UL 555S by an NRTL.
- C. Smoke Detector: Integral, factory wired for single-point connection. Except for NYC smoke detector shall be provided by the fire alarm contractor.
- D. Frame: Hat-shaped, 0.094-inch-thick, galvanized sheet steel, with welded or mechanically attached corners and mounting flange.
- E. Blades: Roll-formed, horizontal, overlapping, 0.063-inch- thick, galvanized sheet steel.
- F. Leakage: Class I.
- G. Rated pressure and velocity to exceed design airflow conditions.
- H. Mounting Sleeve: Factory-installed, 0.05-inch- thick, galvanized sheet steel; length to suit wall or floor application with factory-furnished silicone caulking.
- I. Damper Motors: Modulating or two-position action.
- J. Comply with NEMA designation, temperature rating, service factor, enclosure type, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."
1. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.
 2. Controllers, Electrical Devices, and Wiring: Comply with requirements for electrical devices and connections specified in Section 230923 "Direct Digital Control (DDC) System for HVAC."
 3. Permanent-Split-Capacitor or Shaded-Pole Motors: With oil-immersed and sealed gear trains.
 4. Spring-Return Motors: Equip with an integral spiral-spring mechanism where indicated. Enclose entire spring mechanism in a removable housing designed for service or adjustments. Size for running torque rating of 150 in. x lbf and breakaway torque rating of 150 in. x lbf.
 5. Outdoor Motors and Motors in Outdoor-Air Intakes: Equip with O-ring gaskets designed to make motors weatherproof. Equip motors with internal heaters to permit normal operation at minus 40 deg F.
 6. Nonspring-Return Motors: For dampers larger than 25 sq. ft., size motor for running torque rating of 150 in. x lbf and breakaway torque rating of 300 in. x lbf.

7. Electrical Connection: [115 V, single phase, 60 Hz].

K. Accessories:

1. Auxiliary switches for signaling, fan control and position indication.
2. Test and reset switches, damper or remote mounted.
3. Manual damper testing by physically depressing the low temperature thermal disc from the inside of the damper sleeve and resetting the sensor from the exterior side of the damper sleeve.
4. Dual position blade indicator switch package shall connect directly to the blade axel for positive annunciation (interconnecting arms, wire-forms, or brackets shall not be accepted) and provide full open and full closed blade indication to a remote location.
5. Dual Position Indicator Switch Package: Shall connect directly to the blade axel for positive annunciation (interconnecting arms, wire-forms, or brackets shall not be accepted) and provide full open and full closed blade indication to a remote location.
6. Duct Smoke Detector: Factory mounted in the damper sleeve with interconnecting wiring from the damper actuator to the smoke detector enabling a single power connection point for easy field wiring.

2.9 COMBINATION FIRE AND SMOKE DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Greenheck Fan Corporation.
 2. Pottorff.
 3. Ruskin Company.
- B. Type: Dynamic; rated and labeled according to UL 555 and UL 555S by an NRTL.
- C. Closing rating in ducts up to 4-inch wg static pressure class and minimum 2000-fpm velocity.
- D. Fire Rating: 1-1/2 for assemblies upto 2 hour and 3 hr rating for assemblies over 1 1/2hours.
- E. Frame: Hat-shaped, 0.094-inch-thick, galvanized sheet steel, with welded corners and mounting flange.
- F. Primary heat responsive device set at 285 deg F, resettable.
- G. Secondary heat closure device, set at 350 deg F, resettable.
- H. Smoke Detector: Integral, factory wired for single-point connection.
- I. Blades: Roll-formed, horizontal, interlocking, 0.063-inch- thick, galvanized sheet steel.
- J. Leakage: Class I.
- K. Rated pressure and velocity to exceed design airflow conditions.

- L. Mounting Sleeve: Factory-installed, 0.039-inch- thick, galvanized sheet steel; length to suit wall or floor application with factory-furnished silicone calking.
- M. Master control panel for use in dynamic smoke-management systems.
- N. Damper Motors: Modulating or two-position action.
- O. Comply with NEMA designation, temperature rating, service factor, enclosure type, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."
 - 1. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.
 - 2. Controllers, Electrical Devices, and Wiring: Comply with requirements for electrical devices and connections specified in Section 230923 "Direct Digital Control (DDC) System for HVAC."
 - 3. Permanent-Split-Capacitor or Shaded-Pole Motors: With oil-immersed and sealed gear trains.
 - 4. Spring-Return Motors: Equip with an integral spiral-spring mechanism where indicated. Enclose entire spring mechanism in a removable housing designed for service or adjustments. Size for running torque rating of 150 in. x lbf and breakaway torque rating of 150 in. x lbf.
 - 5. Outdoor Motors and Motors in Outdoor-Air Intakes: Equip with O-ring gaskets designed to make motors weatherproof. Equip motors with internal heaters to permit normal operation at minus 40 deg F.
 - 6. Nonspring-Return Motors: For dampers larger than 25 sq. ft., size motor for running torque rating of 150 in. x lbf and breakaway torque rating of 300 in. x lbf.
 - 7. **Electrical Connection: 115 V, single phase, 60 Hz.**
- P. Accessories:
 - A. DRS-30 Two-Temperature Fire Closure Device:
 - 1. UL classified two-temperature device permits the damper to be re-opened after initial temperature closure allowing the damper to remain operable for smoke management purposes until the high temperature limit is reached.
 - 2. Manual damper testing is permitted by physically depressing the low temperature thermal disc from the inside of the damper sleeve and resetting the sensor from the exterior side of the damper sleeve.
 - 3. Dual position blade indicator switch package shall connect directly to the blade axel for positive annunciation (interconnecting arms, wire-forms, or brackets shall not be accepted) and provide full open and full closed blade indication to a remote location.
 - B. PI-50 Dual Position Indicator Switch Package: Shall connect directly to the blade axel for positive annunciation (interconnecting arms, wire-forms, or brackets shall not be accepted) and provide full open and full closed blade indication to a remote location.

- C. Duct Smoke Detector: Factory mounted in the damper sleeve with interconnecting wiring from the damper actuator to the smoke detector enabling a single power connection point for easy field wiring.

2.10 FLANGE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. CL WARD & Family Inc.
 - 2. Ductmate Industries, Inc.
 - 3. Hardcast, Inc.
 - 4. Ward Industries; a brand of Hart & Cooley, Inc.
- B. Description: Add-on or roll-formed, factory-fabricated, slide-on transverse flange connectors, gaskets, and components.
- C. Material: Galvanized steel.
- D. Gage and Shape: Match connecting ductwork.

2.11 DUCT SILENCERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Industrial Noise Control, Inc.
 - 2. McGill AirFlow LLC.
 - 3. Ruskin Company.
 - 4. Vibro-Acoustics.
 - 5. Industrial Acoustics
- B. General Requirements:
 - 1. Factory fabricated.
 - 2. Fire-Performance Characteristics: Adhesives, sealants, packing materials, and accessory materials shall have flame-spread index not exceeding 25 and smoke-developed index not exceeding 50 when tested according to ASTM E 84.
 - 3. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.
- C. Shape:
 - 1. Rectangular straight with splitters or baffles.
 - 2. Round straight with center bodies or pods.
 - 3. Rectangular elbow with splitters or baffles.
 - 4. Round elbow with center bodies or pods.

5. Rectangular transitional with splitters or baffles.
- D. Rectangular Silencer Outer Casing: ASTM A 653/A 653M, G90 , galvanized sheet steel, 0.040 inch thick.
- E. Round Silencer Outer Casing: ASTM A 653/A 653M, G90, galvanized sheet steel.
 1. Sheet Metal Thickness for Units up to 24 Inches in Diameter: 0.034 inch thick.
 2. Sheet Metal Thickness for Units 26 through 40 Inches in Diameter: 0.040 inch thick.
 3. Sheet Metal Thickness for Units 42 through 52 Inches in Diameter: 0.05 inch thick.
 4. Sheet Metal Thickness for Units 54 through 60 Inches in Diameter: 0.064 inch thick.
- F. Inner Casing and Baffles: ASTM A 653/A 653M, G60 galvanized sheet metal, 0.034 inch thick, and with 1/8-inch-diameter perforations.
- G. Special Construction:
 1. Suitable for outdoor use.
 2. High transmission loss to achieve STC 45.
- H. Connection Sizes: Match connecting ductwork unless otherwise indicated.
- I. Principal Sound-Absorbing Mechanism:
 1. Controlled impedance membranes and broadly tuned resonators without absorptive media.
 2. Dissipative or Film-lined type with fill material.
 - a. Fill Material: Inert and vermin-proof fibrous material, packed under not less than 15 percent compression and Moisture-proof nonfibrous material.
 - b. Erosion Barrier: Polymer bag enclosing fill, and heat sealed before assembly.
 3. Lining: Fiberglass cloth.
- J. Fabricate silencers to form rigid units that will not pulsate, vibrate, rattle, or otherwise react to system pressure variations. Do not use mechanical fasteners for unit assemblies.
 1. Joints: Lock formed and sealed or continuously welded or flanged connections.
 2. Suspended Units: Factory-installed suspension hooks or lugs attached to frame in quantities and spaced to prevent deflection or distortion.
 3. Reinforcement: Cross or trapeze angles for rigid suspension.
- K. Accessories:
 1. Integral 1-1/2 3-hour fire damper with access door. Access door to be high transmission loss to match silencer.
 2. Factory-installed end caps to prevent contamination during shipping.
 3. Removable splitters.
 4. Airflow measuring devices.

- L. Source Quality Control: Test according to ASTM E 477.
1. Testing to be witnessed by Engineer.
 2. Record acoustic ratings, including dynamic insertion loss and generated-noise power levels with an airflow of at least 2000-fpm face velocity.
 3. Leak Test: Test units for airtightness at 200 percent of associated fan static pressure or 6-inch wg static pressure, whichever is greater.
- M. Capacities and Characteristics:
1. Configuration: Straight or 90-degree elbow as indicated on plan
 2. Shape: Rectangular or Round as indicated on plan
 3. Attenuation Mechanism: Acoustical glass fiber with protective film liner.
 4. Maximum Pressure Drop: 0.25-inch wg.
 5. Casing:
 - a. Attenuation: Standard.
 - b. Outer Material: Galvanized steel.
 - c. Inner Material: Galvanized steel.
 6. Velocity Range: 500 fpm max.
 7. End Connection: 1-inch slip joint or Flange.
 8. Length: as per plan
 9. Face Dimension:
 - a. Width: as per plan
 - b. Height: as per plan
 10. Face Velocity: as per plan
 11. Dynamic Insertion Loss: as per plan
 12. Generated Noise: as per plan
 13. Accessories:
 - a. Access door.
 - b. Birdscreen.

2.12 TURNING VANES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Aero-Dyne Sound Control Co.
 2. CL WARD & Family Inc.
 3. Ductmate Industries, Inc.
 4. Duro Dyne Inc.
 5. METALAIRE, Inc.
 6. Ward Industries; a brand of Hart & Cooley, Inc.

- B. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
 - 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.
- C. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
- D. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 4-3, "Vanes and Vane Runners," and 4-4, "Vane Support in Elbows."
- E. Vane Construction: Single wall for ducts up to 48 inches wide and double wall for larger dimensions.

2.13 DUCT-MOUNTED ACCESS DOORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. CL WARD & Family Inc.
 - 2. Ductmate Industries, Inc.
 - 3. Greenheck Fan Corporation.
 - 4. McGill AirFlow LLC.
 - 5. Nailor Industries Inc.
 - 6. Pottorff.
- B. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 7-2, "Duct Access Doors and Panels," and 7-3, "Access Doors - Round Duct."
 - 1. Door:
 - a. Double wall, rectangular.
 - b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
 - c. Vision panel.
 - d. Hinges and Latches: 1-by-1-inch butt or piano hinge and cam latches.
 - e. Fabricate doors airtight and suitable for duct pressure class.
 - 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
 - 3. Number of Hinges and Locks:
 - a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
 - b. Access Doors up to 18 Inches Square: Two hinges and two sash locks.
 - c. Access Doors up to 24 by 48 Inches: Three hinges and two compression latches with outside and inside handles for plenum applications.

- d. Access Doors Larger Than 24 by 48 Inches: Four hinges or Continuous and two compression latches with outside and inside handles.

C. Pressure Relief Access Door:

1. Door and Frame Material: Galvanized sheet steel.
2. Door: Double wall with insulation fill with metal thickness applicable for duct pressure class.
3. Operation: Open outward for positive-pressure ducts and inward for negative-pressure ducts.
4. Factory set at 3.0- to 8.0-inch wg.
5. Doors close when pressures are within set-point range.
6. Hinge: Continuous piano.
7. Latches: Cam.
8. Seal: Neoprene or foam rubber.
9. Insulation Fill: 1-inch-thick, fibrous-glass or polystyrene-foam board.

2.14 DUCT ACCESS PANEL ASSEMBLIES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. 3M.
 2. Ductmate Industries, Inc.
 3. Flame Gard, Inc.
- B. Labeled according to UL 1978 by an NRTL.
- C. Panel and Frame: Minimum thickness 0.0528-inch carbon steel.
- D. Fasteners: Carbon steel. Panel fasteners shall not penetrate duct wall.
- E. Gasket: Comply with NFPA 96; grease-tight, high-temperature ceramic fiber, rated for minimum 2000 deg F.
- F. Minimum Pressure Rating: 10-inch wg, positive or negative.

2.15 FLEXIBLE CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. CL WARD & Family Inc.
 2. Ductmate Industries, Inc.
 3. Duro Dyne Inc.
 4. Elgen Manufacturing.

- B. Materials: Flame-retardant or noncombustible fabrics.
- C. Coatings and Adhesives: Comply with UL 181, Class 1.
- D. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches or 5-3/4 inches wide attached to two strips of 2-3/4-inch-wide, 0.028-inch-thick, galvanized sheet steel or 0.032-inch-thick aluminum sheets. Provide metal compatible with connected ducts.
- E. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.
 - 1. Minimum Weight: 26 oz./sq. yd..
 - 2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
 - 3. Service Temperature: Minus 40 to plus 200 deg F.
- F. Outdoor System, Flexible Connector Fabric: Glass fabric double coated with weatherproof, synthetic rubber resistant to UV rays and ozone.
 - 1. Minimum Weight: 24 oz./sq. yd..
 - 2. Tensile Strength: 530 lbf/inch in the warp and 440 lbf/inch in the filling.
 - 3. Service Temperature: Minus 50 to plus 250 deg F.
- G. High-Temperature System, Flexible Connectors: Glass fabric coated with silicone rubber.
 - 1. Minimum Weight: 16 oz./sq. yd..
 - 2. Tensile Strength: 285 lbf/inch in the warp and 185 lbf/inch in the filling.
 - 3. Service Temperature: Minus 67 to plus 500 deg F.
- H. High-Corrosive-Environment System, Flexible Connectors: Glass fabric with chemical-resistant coating.
 - 1. Minimum Weight: 14 oz./sq. yd..
 - 2. Tensile Strength: 450 lbf/inch in the warp and 340 lbf/inch in the filling.
 - 3. Service Temperature: Minus 67 to plus 500 deg F.
- I. Thrust Limits: Combination coil spring and elastomeric insert with spring and insert in compression, and with a load stop. Include rod and angle-iron brackets for attaching to fan discharge and duct.
 - 1. Frame: Steel, fabricated for connection to threaded rods and to allow for a maximum of 30 degrees of angular rod misalignment without binding or reducing isolation efficiency.
 - 2. Outdoor Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
 - 3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
 - 4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
 - 5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
 - 6. Elastomeric Element: Molded, oil-resistant rubber or neoprene.
 - 7. Coil Spring: Factory set and field adjustable for a maximum of 1/4-inch movement at start and stop.

2.16 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.
- B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.
- C. Compliance with ASHRAE/IESNA 90.1-2004 includes Section 6.4.3.3.3 - "Shutoff Damper Controls," restricts the use of backdraft dampers, and requires control dampers for certain applications. Install backdraft dampers at inlet of exhaust fans or exhaust ducts as close as possible to exhaust fan unless otherwise indicated.
- D. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.
 - 1. Install steel volume dampers in steel ducts.
 - 2. Install aluminum volume dampers in aluminum ducts.
 - 3. Install stainless steel volume dampers in stainless steel ducts.
- E. Set dampers to fully open position before testing, adjusting, and balancing.
- F. Install test holes at fan inlets and outlets and elsewhere as indicated.
- G. Install fire and smoke dampers according to UL listing.
- H. Install duct security bars. Construct duct security bars from 0.164-inch steel sleeve, continuously welded at all joints and 1/2-inch-diameter steel bars, 6 inches o.c. in each direction in center of sleeve. Weld each bar to steel sleeve and each crossing bar. Weld 2-1/2-by-2-1/2-by-1/4-inch steel angle to 4 sides and both ends of sleeve. Connect duct security bars to ducts with flexible connections. Provide 12-by-12-inch hinged access panel with cam lock in duct in each side of sleeve.

- I. Connect ducts to duct silencers rigidly.
- J. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:
 - 1. On both sides of duct coils.
 - 2. Upstream from duct filters.
 - 3. At outdoor-air intakes and mixed-air plenums.
 - 4. At drain pans and seals.
 - 5. Downstream from manual volume dampers, control dampers, backdraft dampers, and equipment.
 - 6. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
 - 7. At each change in direction and at maximum 50-foot spacing and the bottom of all riser in Laundry exhaust ducts.
 - 8. Upstream from turning vanes.
 - 9. Upstream or downstream from duct silencers.
 - 10. Control devices requiring inspection.
 - 11. Elsewhere as indicated.
- K. Install access doors with swing against duct static pressure.
- L. Access Door Sizes:
 - 1. One-Hand or Inspection Access: 8 by 5 inches.
 - 2. Two-Hand Access: 12 by 6 inches.
 - 3. Head and Hand Access: 18 by 10 inches.
 - 4. Head and Shoulders Access: 21 by 14 inches.
 - 5. Body Access: 25 by 14 inches.
 - 6. Body plus Ladder Access: 25 by 17 inches.
- M. Label access doors according to Section 230553 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.
- N. Install flexible connectors to connect ducts to equipment.
- O. For fans developing static pressures of 5-inch wg and more, cover flexible connectors with loaded vinyl sheet held in place with metal straps.
- P. Connect terminal units to supply ducts with maximum 12-inch lengths of flexible duct. Do not use flexible ducts to change directions.
- Q. Connect diffusers or light troffer boots to ducts with maximum 30-inch lengths of flexible duct clamped or strapped in place.
- R. Connect flexible ducts to metal ducts with draw bands.

- S. Install duct test holes where required for testing and balancing purposes.
- T. Install thrust limits at centerline of thrust, symmetrical on both sides of equipment. Attach thrust limits at centerline of thrust and adjust to a maximum of 1/4-inch movement during start and stop of fans.
- U. Install remote volume damper operators for all volume dampers that are concealed and not accessible after finished construction is complete.

3.2 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 - 1. Operate dampers to verify full range of movement.
 - 2. Inspect locations of access doors and verify that purpose of access door can be performed.
 - 3. Operate fire, smoke, and combination fire and smoke dampers to verify full range of movement and verify that proper heat-response device is installed.
 - 4. Inspect turning vanes for proper and secure installation.
 - 5. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION 233300

SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Copper building wire rated 600 V or less.
 - 2. Metal-clad cable, Type MC, rated 600 V or less.
 - 3. Connectors, splices, and terminations rated 600 V and less.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.4 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

PART 2 - PRODUCTS

2.1 COPPER BUILDING WIRE

- A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Alpha Wire Company.
 - 2. American Bare Conductor.
 - 3. Belden Inc.
 - 4. Cerro Wire LLC.
 - 5. Encore Wire Corporation.
 - 6. General Cable Technologies Corporation.
 - 7. Okonite Company (The).
 - 8. Service Wire Co.
 - 9. Southwire Company.

10. WESCO.

C. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. RoHS compliant.
3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

D. Conductors: Copper, complying with ASTM B 3 for bare annealed copper and with ASTM B 8 for stranded conductors.

E. Conductor Insulation:

1. Type NM: Comply with UL 83 and UL 719.
2. Type RHH and Type RHW-2: Comply with UL 44.
3. Type USE-2 and Type SE: Comply with UL 854.
4. Type TC-ER: Comply with NEMA WC 70/ICEA S-95-658 and UL 1277.
5. Type THHN and Type THWN-2: Comply with UL 83.
6. Type THW and Type THW-2: Comply with NEMA WC-70/ICEA S-95-658 and UL 83.
7. Type UF: Comply with UL 83 and UL 493.
8. Type XHHW-2: Comply with UL 44.

2.2 METAL-CLAD CABLE, TYPE MC

A. Description: A factory assembly of one or more current-carrying insulated conductors in an overall metallic sheath.

B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Alpha Wire Company.
2. American Bare Conductor.
3. Belden Inc.
4. Encore Wire Corporation.
5. General Cable Technologies Corporation.
6. Okonite Company (The).
7. Service Wire Co.
8. Southwire Company.
9. WESCO.

C. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Comply with UL 1569.
3. RoHS compliant.
4. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

D. Circuits:

1. Single circuit and multi circuit with color-coded conductors.
 2. Power-Limited Fire-Alarm Circuits: Comply with UL 1424.
- E. Conductors: Copper, complying with ASTM B 3 for bare annealed copper and with ASTM B 8 for stranded conductors.
- F. Ground Conductor: Insulated.
- G. Conductor Insulation:
1. Type TFN/THHN/THWN-2: Comply with UL 83.
 2. Type XHHW-2: Comply with UL 44.
- H. Armor: Steel or Aluminum, interlocked.
- I. Jacket: PVC applied over armor.

2.3 CONNECTORS AND SPLICES

- A. Description: Factory-fabricated connectors, splices, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
1. 3M.
 2. AFC Cable Systems, Inc.
 3. Gardner Bender.
 4. Hubbell Power Systems, Inc.
 5. Ideal Industries, Inc.
 6. ILSCO.
 7. NSi Industries LLC.
 8. O-Z/Gedney; an EGS Electrical Group brand; an Emerson Industrial Automation business.
 9. Tyco Electronics Corp.
- C. Jacketed Cable Connectors: For steel and aluminum jacketed cables, zinc die-cast with set screws, designed to connect conductors specified in this Section.

PART 3 - EXECUTION

3.1 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Service Entrance: Type XHHW-2, single conductors in raceway.
- B. Exposed Interior Feeders: Type THHN/THWN-2, single conductors in raceway.
- C. Exposed Exterior and Roof Feeders: Type XHHW-2, single conductors in raceway.
- D. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN/THWN-2, single conductors in raceway, Metal-clad cable, Type MC or Mineral-insulated, metal-sheathed cable, Type MI.
- E. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type XHHW-2, single conductors in raceway.
- F. Exposed Interior Branch Circuits, Including in Crawlspace: Type THHN/THWN-2, single conductors in raceway.
- G. Exposed Exterior and Roof Branch Circuits: Type XHHW-2, single conductors in raceway.
- H. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN-2, single conductors in raceway or Metal-clad cable, Type MC.
- I. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway or Type XHHW-2, single conductors in raceway.
- J. Cord Drops and Portable Appliance Connections: Type SO, hard service cord with stainless-steel, wire-mesh, strain relief device at terminations to suit application.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Complete raceway installation between conductor and cable termination points according to Section 260533 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.

- F. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems."

3.4 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.

3.5 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

3.6 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

3.7 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

3.8 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
 - 1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors for compliance with requirements.
 - 2. Perform each of the following visual and electrical tests:
 - a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
 - b. Test bolted connections for high resistance using one of the following:
 - 1) A low-resistance ohmmeter.

- 2) Calibrated torque wrench.
 - 3) Thermographic survey.
 - c. Inspect compression-applied connectors for correct cable match and indentation.
 - d. Inspect for correct identification.
 - e. Inspect cable jacket and condition.
 - f. Insulation-resistance test on each conductor for ground and adjacent conductors. Apply a potential of 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable for a one-minute duration.
 - g. Continuity test on each conductor and cable.
 - h. Uniform resistance of parallel conductors.
 - 3. Initial Infrared Scanning: After Substantial Completion, but before Final Acceptance, perform an infrared scan of each splice in conductors No. 3 AWG and larger. Remove box and equipment covers so splices are accessible to portable scanner. Correct deficiencies determined during the scan.
 - a. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
 - b. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
- B. Cables will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports to record the following:
- 1. Procedures used.
 - 2. Results that comply with requirements.
 - 3. Results that do not comply with requirements, and corrective action taken to achieve compliance with requirements.

END OF SECTION 260519

SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Hangers and supports for electrical equipment and systems.
 - 2. Construction requirements for concrete bases.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
 - a. Hangers.
 - b. Steel slotted support systems.
 - c. Trapeze hangers.
 - d. Clamps.
 - e. Turnbuckles.
 - f. Sockets.
 - g. Eye nuts.
 - h. Saddles.
 - i. Brackets.
 - 2. Include rated capacities and furnished specialties and accessories.
- B. Delegated-Design Submittal: For hangers and supports for electrical systems.
 - 1. Include design calculations and details of trapeze hangers.
 - 2. Include design calculations for seismic restraints.

1.4 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Certificates: For hangers and supports for electrical equipment and systems, accessories, and components, from manufacturer.

1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

B. Welding certificates.

1.5 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel according to the following:

1. AWS D1.1/D1.1M.
2. AWS D1.2/D1.2M.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design hanger and support system.
- B. Seismic Performance: Hangers and supports shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
1. The term "withstand" means "the supported equipment and systems will remain in place without separation of any parts when subjected to the seismic forces specified and the system will be fully operational after the seismic event."
 2. Component Importance Factor: 1.5.
 3. See Section 260548.16 "Seismic Controls for Electrical Systems" for requirements for Component Amplification Factor and Component Response Modification Factor.

2.2 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Comply with MFMA-4 factory-fabricated components for field assembly.
1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Allied Tube & Conduit.
 - b. Cooper B-Line, Inc.; a division of Cooper Industries.
 - c. ERICO International Corporation.
 - d. GS Metals Corp.
 - e. Thomas & Betts Corporation, A Member of the ABB Group.

- f. Unistrut; an Atkore International company.
 - 2. Material: Galvanized steel.
 - 3. Channel Width: 1-5/8 inches.
 - 4. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
 - 5. Channel Dimensions: Selected for applicable load criteria.
- B. Conduit and Cable Support Devices: Steel and malleable-iron hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.
- D. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
- 1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
 - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1) Hilti, Inc.
 - 2) ITW Ramset/Red Head; Illinois Tool Works, Inc.
 - 3) MKT Fastening, LLC.
 - 4) Simpson Strong-Tie Co., Inc.
 - 2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
 - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1) Cooper B-Line, Inc.; a division of Cooper Industries.
 - 2) Empire Tool and Manufacturing Co., Inc.
 - 3) Hilti, Inc.
 - 4) ITW Ramset/Red Head; Illinois Tool Works, Inc.
 - 5) MKT Fastening, LLC.

3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
4. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
6. Toggle Bolts: All-steel springhead type.
7. Hanger Rods: Threaded steel.

2.3 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.
- B. Materials: Comply with requirements in Section 055000 "Metal Fabrications" for steel shapes and plates.

PART 3 - EXECUTION

3.1 APPLICATION

- A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems unless requirements in this Section are stricter.
- B. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- C. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMTs, IMCs, and RMCs as required by NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- D. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted or other support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
 1. Secure raceways and cables to these supports with two-bolt conduit clamps.
- E. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch and smaller raceways serving branch circuits and communication systems above suspended ceilings and for fastening raceways to trapeze supports.

3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, EMTs, IMCs, and RMCs may be supported by openings through structure members, according to NFPA 70.

- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
 - 1. To Wood: Fasten with lag screws or through bolts.
 - 2. To New Concrete: Bolt to concrete inserts.
 - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
 - 4. To Existing Concrete: Expansion anchor fasteners.
 - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
 - 6. To Steel: Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69.
 - 7. To Light Steel: Sheet metal screws.
 - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate by means that comply with seismic-restraint strength and anchorage requirements.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

- A. Comply with installation requirements in Section 055000 "Metal Fabrications" for site-fabricated metal supports.
- B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- C. Field Welding: Comply with AWS D1.1/D1.1M.

3.4 CONCRETE BASES

- A. Construct concrete bases of dimensions indicated but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000-psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Section 033000 "Cast-in-Place Concrete."
- C. Anchor equipment to concrete base as follows:

1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
2. Install anchor bolts to elevations required for proper attachment to supported equipment.
3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

3.5 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION 260529

SECTION 26 05 33 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Metal conduits, tubing, and fittings.
 - 2. Nonmetal conduits, tubing, and fittings.
 - 3. Metal wireways and auxiliary gutters.
 - 4. Boxes, enclosures, and cabinets.
 - 5. Handholes and boxes for exterior underground cabling.

1.3 DEFINITIONS

- A. GRC: Galvanized rigid steel conduit.
- B. IMC: Intermediate metal conduit.

1.4 ACTION SUBMITTALS

- A. Product Data: For surface raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.
- B. Shop Drawings: For custom enclosures and cabinets. Include plans, elevations, sections, and attachment details.

1.5 INFORMATIONAL SUBMITTALS

- A. Source quality-control reports.
- B. Seismic Qualification Certificates: For enclosures, cabinets, and conduit racks and their mounting provisions, including those for internal components, from manufacturer.
 - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
 - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.

3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
4. Detailed description of conduit support devices and interconnections on which the certification is based and their installation requirements.

PART 2 - PRODUCTS

2.1 METAL CONDUITS, TUBING, AND FITTINGS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 1. AFC Cable Systems, Inc.
 2. Allied Tube & Conduit.
 3. O-Z/Gedney; an EGS Electrical Group brand; an Emerson Industrial Automation business.
 4. Republic Conduit.
 5. Southwire Company.
 6. Thomas & Betts Corporation, A Member of the ABB Group.
 7. Western Tube and Conduit Corporation.
 8. Wheatland Tube Company.
- B. Listing and Labeling: Metal conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. GRC: Comply with ANSI C80.1 and UL 6.
- D. IMC: Comply with ANSI C80.6 and UL 1242.
- E. EMT: Comply with ANSI C80.3 and UL 797.
- F. FMC: Comply with UL 1; zinc-coated steel.
- G. LFMC: Flexible steel conduit with PVC jacket and complying with UL 360.
- H. Fittings for Metal Conduit: Comply with NEMA FB 1 and UL 514B.
 1. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 886 and NFPA 70.
 2. Fittings for EMT:
 - a. Material: Steel or die cast.
 - b. Type: Compression.
 3. Expansion Fittings: PVC or steel to match conduit type, complying with UL 651, rated for environmental conditions where installed, and including flexible external bonding jumper.

- I. Joint Compound for IMC or GRC: Approved, as defined in NFPA 70, by authorities having jurisdiction for use in conduit assemblies, and compounded for use to lubricate and protect threaded conduit joints from corrosion and to enhance their conductivity.

2.2 NONMETALLIC CONDUITS, TUBING, AND FITTINGS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. AFC Cable Systems, Inc.
 - 2. Arnco Corporation.
 - 3. CANTEX INC.
 - 4. CertainTeed Corporation.
 - 5. Kraloy.
 - 6. RACO; Hubbell.
 - 7. Thomas & Betts Corporation, A Member of the ABB Group.
- B. Listing and Labeling: Nonmetallic conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. RNC: Type EPC-80-PVC, complying with NEMA TC 2 and UL 651 unless otherwise indicated.
- D. Fittings for RNC: Comply with NEMA TC 3; match to conduit or tubing type and material.

2.3 METAL WIREWAYS AND AUXILIARY GUTTERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Cooper B-Line, Inc.; a division of Cooper Industries.
 - 2. Hoffman; a brand of Pentair Equipment Protection.
 - 3. MonoSystems, Inc.
 - 4. Square D.
- B. Description: Sheet metal, complying with UL 870 and NEMA 250, Type 1 unless otherwise indicated, and sized according to NFPA 70.
 - 1. Metal wireways installed outdoors shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- D. Wireway Covers: Hinged type unless otherwise indicated.

- E. Finish: Manufacturer's standard enamel finish.

2.4 BOXES, ENCLOSURES, AND CABINETS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Cooper Technologies Company.
 - 2. EGS/Appleton Electric.
 - 3. Erickson Electrical Equipment Company.
 - 4. Hoffman; a brand of Pentair Equipment Protection.
 - 5. Hubbell Incorporated.
 - 6. MonoSystems, Inc.
 - 7. O-Z/Gedney; an EGS Electrical Group brand; an Emerson Industrial Automation business.
 - 8. RACO; Hubbell.
 - 9. Thomas & Betts Corporation, A Member of the ABB Group.
 - 10. Wiremold / Legrand.
- B. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets installed in wet locations shall be listed for use in wet locations.
- C. Sheet Metal Outlet and Device Boxes: Comply with NEMA OS 1 and UL 514A.
- D. Cast-Metal Outlet and Device Boxes: Comply with NEMA FB 1, ferrous alloy, Type FD, with gasketed cover.
- E. Metal Floor Boxes:
 - 1. Material: Cast metal or sheet metal.
 - 2. Type: Fully adjustable.
 - 3. Shape: Rectangular.
 - 4. Listing and Labeling: Metal floor boxes shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- F. Luminaire Outlet Boxes: Nonadjustable, designed for attachment of luminaire weighing 50 lb. Outlet boxes designed for attachment of luminaires weighing more than 50 lb shall be listed and marked for the maximum allowable weight.
- G. Paddle Fan Outlet Boxes: Nonadjustable, designed for attachment of paddle fan weighing 70 lb (32 kg).
 - 1. Listing and Labeling: Paddle fan outlet boxes shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- H. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.

- I. Cast-Metal Access, Pull, and Junction Boxes: Comply with NEMA FB 1 and UL 1773, galvanized, cast iron with gasketed cover.
- J. Box extensions used to accommodate new building finishes shall be of same material as recessed box.
- K. Device Box Dimensions: 4 inches square by 2-1/8 inches deep.
- L. Gangable boxes are allowed.
- M. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, Type 1 with continuous-hinge cover with flush latch unless otherwise indicated.
 - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
 - 2. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.
- N. Cabinets:
 - 1. NEMA 250, Type 1 galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
 - 2. Hinged door in front cover with flush latch and concealed hinge.
 - 3. Key latch to match panelboards.
 - 4. Metal barriers to separate wiring of different systems and voltage.
 - 5. Accessory feet where required for freestanding equipment.
 - 6. Nonmetallic cabinets shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.5 HANDHOLES AND BOXES FOR EXTERIOR UNDERGROUND WIRING

- A. General Requirements for Handholes and Boxes:
 - 1. Boxes and handholes for use in underground systems shall be designed and identified as defined in NFPA 70, for intended location and application.
 - 2. Boxes installed in wet areas shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Polymer-Concrete Handholes and Boxes with Polymer-Concrete Cover: Molded of sand and aggregate, bound together with polymer resin, and reinforced with steel, fiberglass, or a combination of the two.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Armorcast Products Company.
 - b. Carson Industries LLC.
 - c. NewBasis.
 - d. Oldcastle Precast, Inc.
 - e. Quazite: Hubbell Power Systems, Inc.

- f. Synertech Moulded Products.
- 2. Standard: Comply with SCTE 77.
- 3. Configuration: Designed for flush burial with open bottom unless otherwise indicated.
- 4. Cover: Weatherproof, secured by tamper-resistant locking devices and having structural load rating consistent with enclosure and handhole location.
- 5. Cover Finish: Nonskid finish shall have a minimum coefficient of friction of 0.50.
- 6. Cover Legend: Molded lettering, "ELECTRIC" or "COMMUNICATIONS".
- 7. Conduit Entrance Provisions: Conduit-terminating fittings shall mate with entering ducts for secure, fixed installation in enclosure wall.
- 8. Handholes 12 Inches Wide by 24 Inches Long and Larger: Have inserts for cable racks and pulling-in irons installed before concrete is poured.

2.6 SOURCE QUALITY CONTROL FOR UNDERGROUND ENCLOSURES

- A. Handhole and Pull-Box Prototype Test: Test prototypes of handholes and boxes for compliance with SCTE 77. Strength tests shall be for specified tier ratings of products supplied.
 - 1. Tests of materials shall be performed by an independent testing agency.
 - 2. Strength tests of complete boxes and covers shall be by either an independent testing agency or manufacturer. A qualified registered professional engineer shall certify tests by manufacturer.
 - 3. Testing machine pressure gages shall have current calibration certification complying with ISO 9000 and ISO 10012 and traceable to NIST standards.

PART 3 - EXECUTION

3.1 RACEWAY APPLICATION

- A. Outdoors: Apply raceway products as specified below unless otherwise indicated:
 - 1. Exposed Conduit: GRC or IMC.
 - 2. Concealed Conduit, Aboveground: GRC or IMC.
 - 3. Underground Conduit: RNC, Type EPC-40-PVC or Type EPC-80-PVC.
 - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
 - 5. Boxes and Enclosures, Aboveground: NEMA 250, Type 3R.
- B. Indoors: Apply raceway products as specified below unless otherwise indicated:
 - 1. Exposed, Not Subject to Physical Damage: EMT.
 - 2. Exposed, Not Subject to Severe Physical Damage: EMT.
 - 3. Exposed and Subject to Severe Physical Damage: GRC or IMC.
 - 4. Concealed in Ceilings and Interior Walls and Partitions: EMT.
 - 5. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC, except use LFMC in damp or wet locations.
 - 6. Damp or Wet Locations: GRC or IMC.

- 7. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4 stainless steel in damp or wet locations.
- C. Minimum Raceway Size: 3/4-inch trade size.
- D. Raceway Fittings: Compatible with raceways and suitable for use and location.
 - 1. Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings unless otherwise indicated. Comply with NEMA FB 2.10.
 - 2. EMT: Use compression, steel or cast-metal fittings. Comply with NEMA FB 2.10.
 - 3. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.
- E. Do not install aluminum conduits, boxes, or fittings.
- F. Install surface raceways only where indicated on Drawings.
- G. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F.

3.2 INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.
- B. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- C. Complete raceway installation before starting conductor installation.
- D. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
- E. Arrange stub-ups so curved portions of bends are not visible above finished slab.
- F. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of changes in direction.
- G. Conceal conduit and EMT within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.
- H. Support conduit within 12 inches of enclosures to which attached.
- I. Raceways Embedded in Slabs:
 - 1. Run conduit larger than 1-inch trade size, parallel or at right angles to main reinforcement. Where at right angles to reinforcement, place conduit close to slab support. Secure raceways to reinforcement at maximum 10-foot intervals.

2. Arrange raceways to cross building expansion joints at right angles with expansion fittings.
 3. Arrange raceways to keep a minimum of 2 inches of concrete cover in all directions.
 4. Do not embed threadless fittings in concrete unless specifically approved by Architect for each specific location.
 5. Change from RNC, Type EPC-80-PVC to GRC or IMC before rising above floor.
- J. Stub-ups to Above Recessed Ceilings:
1. Use EMT, IMC, or RMC for raceways.
 2. Use a conduit bushing or insulated fitting to terminate stub-ups not terminated in hubs or in an enclosure.
- K. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- L. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat metal bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service conduits.
- M. Install raceways square to the enclosure and terminate at enclosures with locknuts. Install locknuts hand tight plus 1/4 turn more.
- N. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to assure a continuous ground path.
- O. Cut conduit perpendicular to the length. For conduits 2-inch trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length.
- P. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.
- Q. Install raceway sealing fittings at accessible locations according to NFPA 70 and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings according to NFPA 70.
- R. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:
1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
 2. Where an underground service raceway enters a building or structure.
 3. Where otherwise required by NFPA 70.
- S. Comply with manufacturer's written instructions for solvent welding RNC and fittings.

T. Expansion-Joint Fittings:

1. Install in each run of aboveground RNC that is located where environmental temperature change may exceed 30 deg F and that has straight-run length that exceeds 25 feet. Install in each run of aboveground RMC and EMT conduit that is located where environmental temperature change may exceed 100 deg F and that has straight-run length that exceeds 100 feet.
2. Install type and quantity of fittings that accommodate temperature change listed for each of the following locations:
 - a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
 - b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
 - c. Indoor Spaces Connected with Outdoors without Physical Separation: 125 deg F temperature change.
 - d. Attics: 135 deg F temperature change.
3. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per deg F of temperature change for PVC conduits. Install fitting(s) that provide expansion and contraction for at least 0.000078 inch per foot of length of straight run per deg F of temperature change for metal conduits.
4. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
5. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.

U. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 72 inches of flexible conduit for recessed and semirecessed luminaires, equipment subject to vibration, noise transmission, or movement; and for transformers and motors.

1. Use LFMC in damp or wet locations subject to severe physical damage.
2. Use LFMC in damp or wet locations not subject to severe physical damage.

V. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to bottom of box unless otherwise indicated.

W. Recessed Boxes in Masonry Walls: Saw-cut opening for box in center of cell of masonry block, and install box flush with surface of wall. Prepare block surfaces to provide a flat surface for a raintight connection between box and cover plate or supported equipment and box.

X. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.

Y. Locate boxes so that cover or plate will not span different building finishes.

Z. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.

- AA. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.
- BB. Set metal floor boxes level and flush with finished floor surface.

3.3 INSTALLATION OF UNDERGROUND CONDUIT

A. Direct-Buried Conduit:

1. Excavate trench bottom to provide firm and uniform support for conduit. Prepare trench bottom as specified in Section 312000 "Earth Moving" for pipe less than 6 inches in nominal diameter.
2. Install backfill as specified in Section 312000 "Earth Moving."
3. After installing conduit, backfill and compact. Start at tie-in point, and work toward end of conduit run, leaving conduit at end of run free to move with expansion and contraction as temperature changes during this process. Firmly hand tamp backfill around conduit to provide maximum supporting strength. After placing controlled backfill to within 12 inches of finished grade, make final conduit connection at end of run and complete backfilling with normal compaction as specified in Section 312000 "Earth Moving."
4. Install manufactured rigid steel conduit elbows for stub-ups at poles and equipment and at building entrances through floor.
 - a. Couple steel conduits to ducts with adapters designed for this purpose, and encase coupling with 3 inches of concrete for a minimum of 12 inches on each side of the coupling.
 - b. For stub-ups at equipment mounted on outdoor concrete bases and where conduits penetrate building foundations, extend steel conduit horizontally a minimum of 60 inches from edge of foundation or equipment base. Install insulated grounding bushings on terminations at equipment.
5. Warning Planks: Bury warning planks approximately 12 inches above direct-buried conduits but a minimum of 6 inches below grade. Align planks along centerline of conduit.
6. Underground Warning Tape: Comply with requirements in Section 260553 "Identification for Electrical Systems."

3.4 INSTALLATION OF UNDERGROUND HANDHOLES AND BOXES

- A. Install handholes and boxes level and plumb and with orientation and depth coordinated with connecting conduits to minimize bends and deflections required for proper entrances.
- B. Unless otherwise indicated, support units on a level bed of crushed stone or gravel, graded from 1/2-inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
- C. Elevation: In paved areas, set so cover surface will be flush with finished grade. Set covers of other enclosures 1 inch above finished grade.
- D. Install handholes with bottom below frost line, below grade.

- E. Install removable hardware, including pulling eyes, cable stanchions, cable arms, and insulators, as required for installation and support of cables and conductors and as indicated. Select arm lengths to be long enough to provide spare space for future cables but short enough to preserve adequate working clearances in enclosure.
- F. Field-cut openings for conduits according to enclosure manufacturer's written instructions. Cut wall of enclosure with a tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.

3.5 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

3.6 FIRESTOPPING

- A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.7 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
 - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
 - 2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION 260533

SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
- 2. Sleeve-seal systems.
- 3. Sleeve-seal fittings.
- 4. Grout.
- 5. Silicone sealants.

B. Related Requirements:

- 1. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fire-resistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

PART 2 - PRODUCTS

2.1 SLEEVES

A. Wall Sleeves:

- 1. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
- 2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.

- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.

- C. PVC-Pipe Sleeves: ASTM D 1785, Schedule 40.
- D. Molded-PVC Sleeves: With nailing flange for attaching to wooden forms.
- E. Molded-PE or -PP Sleeves: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.
- F. Sleeves for Rectangular Openings:
 - 1. Material: Galvanized sheet steel.
 - 2. Minimum Metal Thickness:
 - a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
 - b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

2.2 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Advance Products & Systems, Inc.
 - b. CALPICO, Inc.
 - c. Metraflex Company (The).
 - d. Pipeline Seal and Insulator, Inc.
 - e. Proco Products, Inc.
 - 2. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
 - 3. Pressure Plates: Plastic.
 - 4. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating, of length required to secure pressure plates to sealing elements.

2.3 SLEEVE-SEAL FITTINGS

- A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.

2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.

- B. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

2.5 SILICONE SEALANTS

- A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.
 - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

PART 3 - EXECUTION

3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.
- B. Comply with NEMA VE 2 for cable tray and cable penetrations.
- C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
 - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
 - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
 - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
 - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
 - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed or unless seismic criteria require different clearance.
 - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
 - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level. Install sleeves during erection of floors.

D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:

1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.

E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.

F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel or cast-iron pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.

G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.**
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.**

3.3 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.**
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.**
- C. Secure nailing flanges to concrete forms.**
- D. Using grout, seal the space around outside of sleeve-seal fittings.**

END OF SECTION 260544

SECTION 260553- IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Identification for raceways.
 - 2. Identification of power and control cables.
 - 3. Identification for conductors.
 - 4. Underground-line warning tape.
 - 5. Warning labels and signs.
 - 6. Instruction signs.
 - 7. Equipment identification labels.
 - 8. Miscellaneous identification products.

1.3 ACTION SUBMITTALS

- A. Product Data: For each electrical identification product indicated.
- B. Samples: For each type of label and sign to illustrate size, colors, lettering style, mounting provisions, and graphic features of identification products.
- C. Identification Schedule: An index of nomenclature of electrical equipment and system components used in identification signs and labels.

1.4 QUALITY ASSURANCE

- A. Comply with ANSI A13.1 and IEEE C2.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.
- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

1.5 COORDINATION

- A. Coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual; and with those required by codes, standards, and 29 CFR 1910.145. Use consistent designations throughout Project.
- B. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- C. Coordinate installation of identifying devices with location of access panels and doors.
- D. Install identifying devices before installing acoustical ceilings and similar concealment.

PART 2 - PRODUCTS

2.1 POWER AND CONTROL RACEWAY IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway size.
- B. Colors for Raceways Carrying Circuits at 600 V or Less:
 - 1. Black letters on an orange field.
 - 2. Legend: Indicate voltage and system or service type.
- C. Vinyl Labels for Raceways Carrying Circuits at 600 V or Less: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.
- D. Snap-Around Labels for Raceways Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.
- E. Snap-Around, Color-Coding Bands for Raceways Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, solid-colored acrylic sleeve, 2 inches long, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.
- F. Tape and Stencil for Raceways Carrying Circuits More Than 600 V: 4-inch-wide black stripes on 10-inch centers diagonally over orange background that extends full length of raceway or duct and is 12 inches wide. Stop stripes at legends.
- G. Metal Tags: Brass or aluminum, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.
- H. Write-On Tags: Polyester tag, 0.010 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
 - 1. Marker for Tags: Machine-printed, permanent, waterproof, black ink marker recommended by printer manufacturer.

2.2 METAL-CLAD CABLE IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each cable size.
- B. Colors for Cables Carrying Circuits at 600 V and Less:
 - 1. Black letters on an orange field.
 - 2. Legend: Indicate voltage and system or service type.
- C. Vinyl Labels: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.

2.3 POWER AND CONTROL CABLE IDENTIFICATION MATERIALS

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each cable size.
- B. Vinyl Labels: Preprinted, flexible label laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing ends of legend label.
- C. Self-Adhesive, Self-Laminating Polyester Labels: Preprinted, 3-mil-thick flexible label with acrylic pressure-sensitive adhesive that provides a clear, weather- and chemical-resistant, self-laminating, protective shield over the legend. Labels sized to fit the cable diameter such that the clear shield overlaps the entire printed legend.
- D. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tube with machine-printed identification label. Sized to suit diameter of and shrinks to fit firmly around cable it identifies. Full shrink recovery at a maximum of 200 deg F. Comply with UL 224.
- E. Metal Tags: Brass or aluminum, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.
- F. Write-On Tags: Polyester tag, 0.010 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
 - 1. Marker for Tags: Machine-printed, permanent, waterproof, black ink marker recommended by printer manufacturer.
- G. Snap-Around Labels: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of cable it identifies and to stay in place by gripping action.
- H. Snap-Around, Color-Coding Bands: Slit, pretensioned, flexible, solid-colored acrylic sleeve, 2 inches long, with diameter sized to suit diameter of cable it identifies and to stay in place by gripping action.

2.4 CONDUCTOR IDENTIFICATION MATERIALS

- A. Color-Coding Conductor Tape: Colored, self-adhesive vinyl tape not less than 3 mils thick by 1 to 2 inches wide.

- B. Self-Adhesive, Self-Laminating Polyester Labels: Preprinted, 3-mil-thick flexible label with acrylic pressure-sensitive adhesive that provides a clear, weather- and chemical-resistant, self-laminating, protective shield over the legend. Labels sized to fit the conductor diameter such that the clear shield overlaps the entire printed legend.
- C. Snap-Around Labels: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of conductor it identifies and to stay in place by gripping action.
- D. Snap-Around, Color-Coding Bands: Slit, pretensioned, flexible, solid-colored acrylic sleeve with diameter sized to suit diameter of conductor it identifies and to stay in place by gripping action.
- E. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tube with machine-printed identification label. Sized to suit diameter of and shrinks to fit firmly around conductor it identifies. Full shrink recovery at a maximum of 200 deg F. Comply with UL 224.
- F. Marker Tapes: Vinyl or vinyl-cloth, self-adhesive wraparound type, with circuit identification legend machine printed by thermal transfer or equivalent process.
- G. Write-On Tags: Polyester tag, 0.010 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
 - 1. Marker for Tags: Permanent, waterproof, black ink marker recommended by tag manufacturer.

2.5 FLOOR MARKING TAPE

- A. 2-inch-wide, 5-mil pressure-sensitive vinyl tape, with yellow and black stripes and clear vinyl overlay.

2.6 UNDERGROUND-LINE WARNING TAPE

- A. Tape:
 - 1. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical and communications utility lines.
 - 2. Printing on tape shall be permanent and shall not be damaged by burial operations.
 - 3. Tape material and ink shall be chemically inert, and not subject to degrading when exposed to acids, alkalis, and other destructive substances commonly found in soils.
- B. Color and Printing:
 - 1. Comply with ANSI Z535.1 through ANSI Z535.5.
 - 2. Inscriptions for Red-Colored Tapes: ELECTRIC LINE, HIGH VOLTAGE,.
 - 3. Inscriptions for Orange-Colored Tapes: TELEPHONE CABLE, CATV CABLE, COMMUNICATIONS CABLE, OPTICAL FIBER CABLE,.
- C. Tag: Type ID:
 - 1. Detectable three-layer laminate, consisting of a printed pigmented polyolefin film, a solid aluminum-foil core, and a clear protective film that allows inspection of the continuity of

the conductive core, bright-colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.

2. Overall Thickness: 5 mils.
3. Foil Core Thickness: 0.35 mil.
4. Weight: 28 lb/1000 sq. ft..
5. 3-Inch Tensile According to ASTM D 882: 70 lbf, and 4600 psi.

2.7 WARNING LABELS AND SIGNS

- A. Comply with NFPA 70 and 29 CFR 1910.145.
- B. Baked-Enamel Warning Signs:
 1. Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.
 2. 1/4-inch grommets in corners for mounting.
 3. Nominal size, 7 by 10 inches.
- C. Metal-Backed, Butyrate Warning Signs:
 1. Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch galvanized-steel backing; and with colors, legend, and size required for application.
 2. 1/4-inch grommets in corners for mounting.
 3. Nominal size, 10 by 14 inches.
- D. Warning label and sign shall include, but are not limited to, the following legends:
 1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
 2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."

2.8 INSTRUCTION SIGNS

- A. Engraved, laminated acrylic or melamine plastic, minimum 1/16 inch thick for signs up to 20 sq. inches and 1/8 inch thick for larger sizes.
 1. Engraved legend with black letters on white face.
 2. Punched or drilled for mechanical fasteners.
 3. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.
- B. Adhesive Film Label: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch.
- C. Adhesive Film Label with Clear Protective Overlay: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch. Overlay shall provide a weatherproof and UV-resistant seal for label.

2.9 EQUIPMENT IDENTIFICATION LABELS

- A. Adhesive Film Label: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch.
- B. Adhesive Film Label with Clear Protective Overlay: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch. Overlay shall provide a weatherproof and UV-resistant seal for label.
- C. Self-Adhesive, Engraved, Laminated Acrylic or Melamine Label: Adhesive backed, with white letters on a dark-gray background. Minimum letter height shall be 3/8 inch.
- D. Engraved, Laminated Acrylic or Melamine Label: Punched or drilled for screw mounting. White letters on a dark-gray background. Minimum letter height shall be 3/8 inch.
- E. Stenciled Legend: In nonfading, waterproof, black ink or paint. Minimum letter height shall be 1 inch.

2.10 CABLE TIES

- A. General-Purpose Cable Ties: Fungus inert, self extinguishing, one piece, self locking, Type 6/6 nylon.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F, According to ASTM D 638: 12,000 psi.
 - 3. Temperature Range: Minus 40 to plus 185 deg F.
 - 4. Color: Black except where used for color-coding.
- B. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self extinguishing, one piece, self locking, Type 6/6 nylon.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F, According to ASTM D 638: 12,000 psi.
 - 3. Temperature Range: Minus 40 to plus 185 deg F.
 - 4. Color: Black.
- C. Plenum-Rated Cable Ties: Self extinguishing, UV stabilized, one piece, self locking.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F, According to ASTM D 638: 7000 psi.
 - 3. UL 94 Flame Rating: 94V-0.
 - 4. Temperature Range: Minus 50 to plus 284 deg F.
 - 5. Color: Black.

2.11 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Select paint system applicable for surface material and location (exterior or interior).

- B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Verify identity of each item before installing identification products.
- B. Location: Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment.
- C. Apply identification devices to surfaces that require finish after completing finish work.
- D. Self-Adhesive Identification Products: Clean surfaces before application, using materials and methods recommended by manufacturer of identification device.
- E. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
- F. Attach plastic raceway and cable labels that are not self-adhesive type with clear vinyl tape with adhesive appropriate to the location and substrate.
- G. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- H. Aluminum Wraparound Marker Labels and Metal Tags: Secure tight to surface of conductor or cable at a location with high visibility and accessibility.
- I. Cable Ties: For attaching tags. Use general-purpose type, except as listed below:
 - 1. Outdoors: UV-stabilized nylon.
 - 2. In Spaces Handling Environmental Air: Plenum rated.
- J. Underground-Line Warning Tape: During backfilling of trenches install continuous underground-line warning tape directly above line at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches overall.
- K. Painted Identification: Comply with requirements in painting Sections for surface preparation and paint application.

3.2 IDENTIFICATION SCHEDULE

- A. Accessible Raceways, Armored and Metal-Clad Cables, More Than 600 V: Snap-around labels. Install labels at 10-foot maximum intervals.
- B. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits More Than 30 A, and 120 V to ground: Identify with self-adhesive vinyl label. Install labels at 30-foot maximum intervals.
- C. Accessible Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive vinyl labels with the wiring system legend and system voltage. System legends shall be as follows:
 - 1. Power.
- D. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.
 - 1. Color-Coding for Phase and Voltage Level Identification, 600 V or Less: Use colors listed below for ungrounded service feeder and branch-circuit conductors.
 - a. Color shall be factory applied or field applied for sizes larger than No. 8 AWG, if authorities having jurisdiction permit.
 - b. Colors for 240-V Circuits:
 - 1) Phase A: Black.
 - 2) Phase B: Red.
 - c. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.
- E. Power-Circuit Conductor Identification, More than 600 V: For conductors in vaults, pull and junction boxes, manholes, and handholes, use nonmetallic plastic tag holder with adhesive-backed phase tags, and a separate tag with the circuit designation.
- F. Install instructional sign including the color-code for grounded and ungrounded conductors using adhesive-film-type labels.
- G. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive, self-laminating polyester labels with the conductor or cable designation, origin, and destination.
- H. Control-Circuit Conductor Termination Identification: For identification at terminations provide self-adhesive, self-laminating polyester labels with the conductor designation.
- I. Conductors to Be Extended in the Future: Attach marker tape to conductors and list source.
- J. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
 - 1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
 - 2. Use system of marker tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.

3. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual.
- K. Locations of Underground Lines: Identify with underground-line warning tape for power, lighting, communication, and control wiring and optical fiber cable.
 1. Limit use of underground-line warning tape to direct-buried cables.
 2. Install underground-line warning tape for both direct-buried cables and cables in raceway.
- L. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall be as required by NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.
- M. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Metal-backed, butyrate warning signs.
 1. Comply with 29 CFR 1910.145.
 2. Identify system voltage with black letters on an orange background.
 3. Apply to exterior of door, cover, or other access.
 4. For equipment with multiple power or control sources, apply to door or cover of equipment including, but not limited to, the following:
 - a. Power transfer switches.
 - b. Controls with external control power connections.
- N. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.
- O. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and the Operation and Maintenance Manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm systems unless equipment is provided with its own identification.
 1. Labeling Instructions:
 - a. Indoor Equipment: Engraved, laminated acrylic or melamine label. Unless otherwise indicated, provide a single line of text with 1/2-inch-high letters on 1-1/2-inch-high label; where two lines of text are required, use labels 2 inches high.
 - b. Outdoor Equipment: Engraved, laminated acrylic or melamine label.
 - c. Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.
 - d. Unless provided with self-adhesive means of attachment, fasten labels with appropriate mechanical fasteners that do not change the NEMA or NRTL rating of the enclosure.
 2. Equipment to Be Labeled:
 - a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be engraved, laminated acrylic or melamine label.
 - b. Enclosures and electrical cabinets.
 - c. Access doors and panels for concealed electrical items.

- d. Switchboards.
- e. Transformers: Label that includes tag designation shown on Drawings for the transformer, feeder, and panelboards or equipment supplied by the secondary.
- f. Enclosed switches.
- g. Enclosed circuit breakers.
- h. Enclosed controllers.
- i. Variable-speed controllers.
- j. Push-button stations.
- k. Contactors.
- l. Remote-controlled switches, dimmer modules, and control devices.
- m. Battery-inverter units.
- n. Monitoring and control equipment.

END OF SECTION 260553

SECTION 262726 - WIRING DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Receptacles, receptacles with integral GFCI, and associated device plates.
 - 2. Twist-locking receptacles.
 - 3. Tamper-resistant receptacles.
 - 4. Weather-resistant receptacles.
 - 5. Snap switches and wall-box dimmers.
 - 6. Solid-state fan speed controls.
 - 7. Wall-switch and exterior occupancy sensors.
 - 8. Pendant cord-connector devices.
 - 9. Cord and plug sets.
 - 10. Floor service outlets, and multioutlet assemblies.

1.3 DEFINITIONS

- A. GFCI: Ground-fault circuit interrupter.
- B. Pigtail: Short lead used to connect a device to a branch-circuit conductor.
- C. UTP: Unshielded twisted pair.

1.4 ADMINISTRATIVE REQUIREMENTS

- A. Coordination:
 - 1. Receptacles for Owner-Furnished Equipment: Match plug configurations.
 - 2. Cord and Plug Sets: Match equipment requirements.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: List of legends and description of materials and process used for premarking wall plates.

1.6 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For wiring devices to include in all manufacturers' packing-label warnings and instruction manuals that include labeling conditions.

1.8 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Floor Service-Outlet Assemblies: One for every 10, but no fewer than one.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - 2. Hubbell Incorporated; Wiring Device-Kellems.
 - 3. Leviton Manufacturing Co., Inc.
 - 4. Pass & Seymour/Legrand (Pass & Seymour).
- B. Source Limitations: Obtain each type of wiring device and associated wall plate from single source from single manufacturer.

2.2 GENERAL WIRING-DEVICE REQUIREMENTS

- A. Wiring Devices, Components, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with NFPA 70.

2.3 TWIST-LOCKING RECEPTACLES

- A. Single Convenience Receptacles, 125 V, 20 A: Comply with NEMA WD 1, NEMA WD 6 Configuration L5-20R, and UL 498.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.

- c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
- B. Single Convenience Receptacles, 250 V, 20 A and 50A: Comply with NEMA WD 1, NEMA WD 6 Configuration L6-20R, L6-50R, and UL 498.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).

2.4 PENDANT CORD-CONNECTOR DEVICES

- A. Description:
 - 1. Matching, locking-type plug and receptacle body connector.
 - 2. NEMA WD 6 Configurations L5-20P and L5-20R, heavy-duty grade, and FS W-C-596.
 - 3. Body: Nylon, with screw-open, cable-gripping jaws and provision for attaching external cable grip.
 - 4. External Cable Grip: Woven wire-mesh type made of high-strength, galvanized-steel wire strand, matched to cable diameter, and with attachment provision designed for corresponding connector.

2.5 CORD AND PLUG SETS

- A. Description:
 - 1. Match voltage and current ratings and number of conductors to requirements of equipment being connected.
 - 2. Cord: Rubber-insulated, stranded-copper conductors, with Type SOW-A jacket; with green-insulated grounding conductor and ampacity of at least 130 percent of the equipment rating.
 - 3. Plug: Nylon body and integral cable-clamping jaws. Match cord and receptacle type for connection.

2.6 DECORATOR-STYLE DEVICES

- A. Convenience Receptacles: Square face, 125 V, 20 A; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, and UL 498.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).

- B. Tamper-Resistant Convenience Receptacles: Square face, 125 V, 20 A; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, and UL 498.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
 - 2. Description: Labeled to comply with NFPA 70, "Receptacles, Cord Connectors, and Attachment Plugs (Caps)" Article, "Tamper-Resistant Receptacles in Dwelling Units" Section.
- C. Tamper-Resistant and Weather-Resistant Convenience Receptacles: Square face, 125 V, 20 A; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, and UL 498.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
 - 2. Description: Labeled to comply with NFPA 70, "Receptacles, Cord Connectors, and Attachment Plugs (Caps)" Article, "Tamper-Resistant Receptacles in Dwelling Units" Section, when installed in wet and damp locations.
- D. GFCI, Feed-Through Type, Convenience Receptacles: Square face, 125 V, 20 A; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, UL 498, and UL 943 Class A.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
- E. GFCI, Tamper-Resistant and Weather-Resistant Convenience Receptacles: Square face, 125 V, 20 A; comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, UL 498, and UL 943 Class A.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Pass & Seymour/Legrand (Pass & Seymour).
 - 2. Description: Labeled to comply with NFPA 70, "Receptacles, Cord Connectors, and Attachment Plugs (Caps)" Article, "Tamper-Resistant Receptacles in Dwelling Units" Section.

- F. Toggle Switches, Square Face, 120/277 V, 20 A: Comply with NEMA WD 1, UL 20, and FS W-S-896.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
- G. Lighted Toggle Switches, Square Face, 120 V, 20 A: Comply with NEMA WD 1 and UL 20.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - a. Cooper Wiring Devices, Inc.; Division of Cooper Industries, Inc.
 - b. Hubbell Incorporated; Wiring Device-Kellems.
 - c. Leviton Manufacturing Co., Inc.
 - d. Pass & Seymour/Legrand (Pass & Seymour).
 - 2. Description: With neon-lighted handle, illuminated when switch is "off."

2.7 WALL-BOX DIMMERS

- A. Dimmer Switches: Modular, full-wave, solid-state units with integral, quiet on-off switches, with audible frequency and EMI/RFI suppression filters.
- B. Control: Continuously adjustable slider; with single-pole or three-way switching. Comply with UL 1472.
- C. Incandescent Lamp Dimmers: 120 V; control shall follow square-law dimming curve. On-off switch positions shall bypass dimmer module.
 - 1. 600 W; dimmers shall require no derating when ganged with other devices.
- D. Fluorescent Lamp Dimmer Switches: Modular; compatible with dimmer ballasts; trim potentiometer to adjust low-end dimming; dimmer-ballast combination capable of consistent dimming with low end not greater than 20 percent of full brightness.

2.8 WALL PLATES

- A. Single and combination types shall match corresponding wiring devices.
 - 1. Plate-Securing Screws: Metal with head color to match plate finish.
 - 2. Material for Finished Spaces: Steel with white baked enamel, suitable for field painting.
 - 3. Material for Unfinished Spaces: Galvanized steel.
 - 4. Material for Damp Locations and Pool Mechanical Rooms: Thermoplastic with spring-loaded lift cover, and listed and labeled for use in wet and damp locations.
- B. Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with Type 3R, weather-resistant, die-cast aluminum with lockable cover.

2.9 FLOOR SERVICE FITTINGS

- A. Type: Modular, flush-type, dual-service units suitable for wiring method used.
- B. Compartments: Barrier separates power from voice and data communication cabling.
- C. Service Plate: Rectangular, solid brass with satin finish.
- D. Power Receptacle: NEMA WD 6 Configuration 5-20R, gray finish, unless otherwise indicated.

2.10 PREFABRICATED MULTIOUTLET ASSEMBLIES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Hubbell Incorporated; Wiring Device-Kellems.
 - 2. Wiremold / Legrand.
- B. Description:
 - 1. Two-piece surface metal raceway, with factory-wired multioutlet harness.
 - 2. Components shall be products from single manufacturer designed for use as a complete, matching assembly of raceways and receptacles.
- C. Raceway Material: Metal, with manufacturer's standard finish.
- D. Multioutlet Harness:
 - 1. Receptacles: 15-A, 125-V, NEMA WD 6 Configuration 5-15R receptacles complying with NEMA WD 1, UL 498, and FS W-C-596.
 - 2. Receptacle Spacing: 18 inches.
 - 3. Wiring: No. 12 AWG solid, Type THHN copper, single circuit.

2.11 FINISHES

- A. Device Color:
 - 1. Wiring Devices Connected to Normal Power System: As selected by Architect unless otherwise indicated or required by NFPA 70 or device listing.
- B. Wall Plate Color: For plastic covers, match device color.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Comply with NECA 1, including mounting heights listed in that standard, unless otherwise indicated.
- B. Coordination with Other Trades:

1. Protect installed devices and their boxes. Do not place wall finish materials over device boxes and do not cut holes for boxes with routers that are guided by riding against outside of boxes.
 2. Keep outlet boxes free of plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other material that may contaminate the raceway system, conductors, and cables.
 3. Install device boxes in brick or block walls so that the cover plate does not cross a joint unless the joint is troweled flush with the face of the wall.
 4. Install wiring devices after all wall preparation, including painting, is complete.
- C. Conductors:
1. Do not strip insulation from conductors until right before they are spliced or terminated on devices.
 2. Strip insulation evenly around the conductor using tools designed for the purpose. Avoid scoring or nicking of solid wire or cutting strands from stranded wire.
 3. The length of free conductors at outlets for devices shall meet provisions of NFPA 70, Article 300, without pigtails.
- D. Device Installation:
1. Replace devices that have been in temporary use during construction and that were installed before building finishing operations were complete.
 2. Keep each wiring device in its package or otherwise protected until it is time to connect conductors.
 3. Do not remove surface protection, such as plastic film and smudge covers, until the last possible moment.
 4. Connect devices to branch circuits using pigtails that are not less than 6 inches in length.
 5. When there is a choice, use side wiring with binding-head screw terminals. Wrap solid conductor tightly clockwise, two-thirds to three-fourths of the way around terminal screw.
 6. Use a torque screwdriver when a torque is recommended or required by manufacturer.
 7. When conductors larger than No. 12 AWG are installed on 15- or 20-A circuits, splice No. 12 AWG pigtails for device connections.
 8. Tighten unused terminal screws on the device.
 9. When mounting into metal boxes, remove the fiber or plastic washers used to hold device-mounting screws in yokes, allowing metal-to-metal contact.
- E. Receptacle Orientation:
1. Install ground pin of vertically mounted receptacles down, and on horizontally mounted receptacles to the right.
- F. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.
- G. Dimmers:
1. Install dimmers within terms of their listing.
 2. Verify that dimmers used for fan speed control are listed for that application.
 3. Install unshared neutral conductors on line and load side of dimmers according to manufacturers' device listing conditions in the written instructions.

- H. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.
- I. Adjust locations of floor service outlets and service poles to suit arrangement of partitions and furnishings.

3.2 GFCI RECEPTACLES

- A. Install non-feed-through-type GFCI receptacles where protection of downstream receptacles is not required.

3.3 IDENTIFICATION

- A. Comply with Section 260553 "Identification for Electrical Systems."

3.4 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
 - 1. Test Instruments: Use instruments that comply with UL 1436.
 - 2. Test Instrument for Convenience Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.
- B. Tests for Convenience Receptacles:
 - 1. Line Voltage: Acceptable range is 105 to 132 V.
 - 2. Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is unacceptable.
 - 3. Ground Impedance: Values of up to 2 ohms are acceptable.
 - 4. GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.
 - 5. Using the test plug, verify that the device and its outlet box are securely mounted.
 - 6. Tests shall be diagnostic, indicating damaged conductors, high resistance at the circuit breaker, poor connections, inadequate fault current path, defective devices, or similar problems. Correct circuit conditions, remove malfunctioning units and replace with new ones, and retest as specified above.
- C. Wiring device will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

END OF SECTION 262726

SECTION 26 28 13 - FUSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Cartridge fuses rated 600 V ac and less for use in the following:
 - a. Control circuits.
 - b. Switchboards.
 - c. Enclosed controllers.
 - d. Enclosed switches.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for spare-fuse cabinets. Include the following for each fuse type indicated:
 - 1. Dimensions and manufacturer's technical data on features, performance, electrical characteristics, and ratings.
 - 2. Coordination charts and tables and related data.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fuses to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017700 "Closeout Procedures," include the following:
 - 1. Ambient temperature adjustment information.
 - 2. Current-limitation curves for fuses with current-limiting characteristics.
 - 3. Coordination charts and tables and related data.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.

- A. Where ambient temperature to which fuses are directly exposed is less than 40 deg F or more than 100 deg F, apply manufacturer's ambient temperature adjustment factors to fuse ratings.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Cooper Bussmann; a division of Cooper Industries.
 - 2. Edison; a brand of Cooper Bussmann; a division of Cooper Industries.
 - 3. Littelfuse, Inc.
 - 4. Mersen USA.
- B. Source Limitations: Obtain fuses, for use within a specific product or circuit, from single source from single manufacturer.

2.2 CARTRIDGE FUSES

- A. Characteristics: NEMA FU 1, current-limiting, nonrenewable cartridge fuses with voltage ratings consistent with circuit voltages.
 - 1. Type RK-1: 600-V, zero- to 600-A rating, 200 kAIC, time delay.
 - 2. Type CC: 600-V, zero- to 30-A rating, 200 kAIC, fast acting.
 - 3. Type J: 600-V, zero- to 600-A rating, 200 kAIC.
 - 4. Type L: 600-V, 601- to 6000-A rating, 200 kAIC, time delay.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with NEMA FU 1 for cartridge fuses.
- D. Comply with NFPA 70.
- E. Coordinate fuse ratings with utilization equipment nameplate limitations of maximum fuse size and with system short-circuit current levels.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine fuses before installation. Reject fuses that are moisture damaged or physically damaged.
- B. Examine holders to receive fuses for compliance with installation tolerances and other conditions affecting performance, such as rejection features.

- C. Examine utilization equipment nameplates and installation instructions. Install fuses of sizes and with characteristics appropriate for each piece of equipment.
- D. Evaluate ambient temperatures to determine if fuse rating adjustment factors must be applied to fuse ratings.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 FUSE APPLICATIONS

- A. Cartridge Fuses:
 - 1. Service Entrance: Class L, time delay.
 - 2. Feeders: Class RK1, time delay.
 - 3. Motor Branch Circuits: Class RK1, time delay.
 - 4. Large Motor Branch (601-4000 A): Class L, time delay.
 - 5. Power Electronics Circuits: Class J, high speed.
 - 6. Other Branch Circuits: Class J, fast acting.
 - 7. Control Transformer Circuits: Class CC, time delay, control transformer duty.
 - 8. Provide open-fuse indicator fuses or fuse covers with open fuse indication.

3.3 INSTALLATION

- A. Install fuses in fusible devices. Arrange fuses so rating information is readable without removing fuse.

3.4 IDENTIFICATION

- A. Install labels complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems" and indicating fuse replacement information inside of door of each fused switch and adjacent to each fuse block, socket, and holder.

END OF SECTION 262813

SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Fusible switches.
 - 2. Nonfusible switches.
 - 3. Enclosures.

1.3 DEFINITIONS

- A. NC: Normally closed.
- B. NO: Normally open.
- C. SPDT: Single pole, double throw.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
 - 1. Enclosure types and details for types other than NEMA 250, Type 1.
 - 2. Current and voltage ratings.
 - 3. Short-circuit current ratings (interrupting and withstand, as appropriate).
 - 4. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.
- B. Shop Drawings: For enclosed switches and circuit breakers. Include plans, elevations, sections, details, and attachments to other work.
 - 1. Wiring Diagrams: For power, signal, and control wiring.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.
 - 1. Test procedures used.
 - 2. Test results that comply with requirements.

3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
 1. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit breakers.

1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.
 2. Fuse Pullers: Two for each size and type.

1.8 QUALITY ASSURANCE

- A. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single source from single manufacturer.
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NFPA 70.

1.9 PROJECT CONDITIONS

- A. Environmental Limitations: Rate equipment for continuous operation under the following conditions unless otherwise indicated:
 1. Ambient Temperature: Not less than minus 22 deg F and not exceeding 104 deg F.
 2. Altitude: Not exceeding 6600 feet.

1.10 COORDINATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

PART 2 - PRODUCTS

2.1 FUSIBLE SWITCHES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Eaton Electrical Sector; Eaton Corporation.
 - 2. General Electric Company.
 - 3. Siemens Industry, Inc.
 - 4. Square D; by Schneider Electric.
 - 5. Delta
 - 6. Nav-Tech
- B. Type HD, Heavy Duty, Single Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, with clips or bolt pads to accommodate specified fuses, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- C. Accessories:
 - 1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
 - 2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
 - 3. Class R Fuse Kit: Provides rejection of other fuse types when Class R fuses are specified.
 - 4. Auxiliary Contact Kit: One NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
 - 5. Lugs: Mechanical type, suitable for number, size, and conductor material.
 - 6. Accessory Control Power Voltage: Remote mounted and powered; 120-V ac.

2.2 NONFUSIBLE SWITCHES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Eaton Electrical Sector; Eaton Corporation.
 - 2. General Electric Company.
 - 3. Siemens Industry, Inc.
 - 4. Square D; by Schneider Electric.
- B. Type HD, Heavy Duty, Single Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.

- C. Accessories:
 - 1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
 - 2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
 - 3. Auxiliary Contact Kit: One NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
 - 4. Lugs: Mechanical type, suitable for number, size, and conductor material.
 - 5. Accessory Control Power Voltage: Remote mounted and powered; 120-V ac.

2.3 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: NEMA AB 1, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
 - 1. Indoor, Dry and Clean Locations: NEMA 250, Type 1.
 - 2. Outdoor Locations: NEMA 250, Type 3R.
 - 3. Other Wet or Damp, Indoor Locations: NEMA 250, Type 4x.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

- A. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- B. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.
- C. Install fuses in fusible devices.
- D. Comply with NECA 1.

3.3 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
 - 1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
 - 2. Label each enclosure with engraved metal or laminated-plastic nameplate.

3.4 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
 - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- B. Acceptance Testing Preparation:
 - 1. Test insulation resistance for each enclosed switch and circuit breaker, component, connecting supply, feeder, and control circuit.
 - 2. Test continuity of each circuit.
- C. Tests and Inspections:
 - 1. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
 - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
 - 3. Perform the following infrared scan tests and inspections and prepare reports:
 - a. Initial Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each enclosed switch and circuit breaker. Remove front panels so joints and connections are accessible to portable scanner.
 - b. Instruments and Equipment: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
 - 4. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.
- E. Prepare test and inspection reports, including a certified report that identifies enclosed switches and circuit breakers and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

3.5 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.

END OF SECTION 262816

SECTION 265119 - LED INTERIOR LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Interior solid-state luminaires that use LED technology.
 - 2. Lighting fixture supports.

1.3 DEFINITIONS

- A. CCT: Correlated color temperature.
- B. CRI: Color Rendering Index.
- C. Fixture: See "Luminaire."
- D. IP: International Protection or Ingress Protection Rating.
- E. LED: Light-emitting diode.
- F. Lumen: Measured output of lamp and luminaire, or both.
- G. Luminaire: Complete lighting unit, including lamp, reflector, and housing.

1.4 ACTION SUBMITTALS

- H. Product Data: For each type of product.
 - 1. Arrange in order of luminaire designation.
 - 2. Include data on features, accessories, and finishes.
 - 3. Include physical description and dimensions of luminaires.
 - 4. Include emergency lighting units, including batteries and chargers.
 - 5. Include life, output (lumens, CCT, and CRI), and energy efficiency data.
 - 6. Photometric data and adjustment factors based on laboratory tests, complying with IESNA Lighting Measurements Testing and Calculation Guides, of each lighting fixture type. The adjustment factors shall be for lamps and accessories identical to those indicated for the lighting fixture as applied in this Project IES LM-79 and IES LM-80.

- a. Testing Agency Certified Data: For indicated luminaires, photometric data certified by a qualified independent testing agency. Photometric data for remaining luminaires shall be certified by manufacturer.
 - B. Shop Drawings: For nonstandard or custom luminaires.
 - 1. Include plans, elevations, sections, and mounting and attachment details.
 - 2. Include details of luminaire assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 3. Include diagrams for power, signal, and control wiring.
 - C. LEED Submittals:
 - 1. Product Data for Credit IEQ 4.2: For paints and coatings, documentation including printed statement of VOC content.
 - 1. Laboratory Test Reports for Credit IEQ 4.2: For paints and coatings, documentation indicating that products comply with the testing and product requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
 - A. Samples: For each luminaire and for each color and texture with standard factory-applied finish.
 - B. Samples for Initial Selection: For each type of luminaire with custom factory-applied finishes.
 - 1. Include Samples of luminaires and accessories involving color and finish selection.
 - C. Samples for Verification: For each type of luminaire.
 - 1. Include Samples of luminaires and accessories to verify finish selection.
 - D. Product Schedule: For luminaires and lamps. See schedule on contract drawings.
- 1.5 INFORMATIONAL SUBMITTALS
- A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
 - 1. Lighting luminaires.
 - 2. Suspended ceiling components.
 - 3. Partitions and millwork that penetrate the ceiling or extend to within 12 inches of the plane of the luminaires.
 - 4. Structural members to which luminaires will be attached.
 - 5. Initial access modules for acoustical tile, including size and locations.
 - 6. Items penetrating finished ceiling, including the following:

- a. Other luminaires.
- b. Air outlets and inlets.
- c. Speakers.
- d. Sprinklers.
- e. Access panels.

7. Moldings.

- A. Qualification Data: For testing laboratory providing photometric data for luminaires.
- A. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- B. Product Certificates: For each type of luminaire.
- C. Product Test Reports: For each luminaire, for tests performed by manufacturer and witnessed by a qualified testing agency.
- D. Sample warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For luminaires and lighting systems to include in operation and maintenance manuals.
 - 1. Provide a list of all lamp types used on Project; use ANSI and manufacturers' codes.

1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Lamps: Ten for every 100 of each type and rating installed. Furnish at least one of each type.
 - 2. Diffusers and Lenses: Two for every 100 of each type and rating installed. Furnish at least one of each type.
 - 3. Globes and Guards: One for every 20 of each type and rating installed. Furnish at least one of each type.

1.8 QUALITY ASSURANCE

- A. Luminaire Photometric Data Testing Laboratory Qualifications: Provided by an independent agency, with the experience and capability to conduct the testing indicated, that is an NRTL as defined by OSHA in 29 CFR 1910.7, accredited under the NVLAP for Energy Efficient Lighting Products, and complying with the applicable IES testing standards.
- A. Provide luminaires from a single manufacturer for each luminaire type.

- B. Each luminaire type shall be binned within a three-step MacAdam Ellipse to ensure color consistency among luminaires.
- C. Mockups: For interior lighting luminaires in room or module mockups, complete with power and control connections.
 - 1. Obtain Architect's approval of luminaires in mockups before starting installations.
 - 2. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 - 3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
 - 1. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Protect finishes of exposed surfaces by applying a strippable, temporary protective covering before shipping.

1.10 WARRANTY

- A. Warranty: Manufacturer and Installer agree to repair or replace components of luminaires that fail in materials or workmanship within specified warranty period.
- A. Warranty Period: Five year(s) from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 LUMINAIRE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. NRTL Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by an NRTL.
- A. FM Global Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM Global.
- B. Recessed Fixtures: Comply with NEMA LE 4.
- C. Bulb shape complying with ANSI C79.1.
- D. Lamp base complying with ANSI C81.61.
- A. CRI of minimum 80. CCT of 3000 K unless otherwise noted on drawings.

- B. Rated lamp life of 50,000 hours.
- C. Lamps dimmable from 100 percent to 0 percent of maximum light output.
- D. Internal driver.
- E. Nominal Operating Voltage: 120 V ac.
 - 1. Lens Thickness: At least 0.125 inch minimum unless otherwise indicated.
- B. Housings:
 - 1. Extruded-aluminum housing and heat sink.
 - 2. Anodized finish or as noted on the drawings.

2.2 MATERIALS

- A. Metal Parts:
 - 1. Free of burrs and sharp corners and edges.
 - 2. Sheet metal components shall be steel unless otherwise indicated.
 - 3. Form and support to prevent warping and sagging.
- B. Doors, Frames, and Other Internal Access: Smooth operating, free of light leakage under operating conditions, and designed to permit relamping without use of tools. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position.
- C. Diffusers and Globes:
 - 1. Acrylic Diffusers: One hundred percent virgin acrylic plastic, with high resistance to yellowing and other changes due to aging, exposure to heat, and UV radiation.
 - 2. Retain "Glass" Subparagraph below if first, second, third, or fourth option in "Diffusers and Globes" Paragraph above is retained.
 - 3. Glass: Annealed crystal glass unless otherwise indicated on the drawings.
 - 1. Lens Thickness: At least 0.125 inch minimum unless otherwise indicated.
- B. Housings:
 - 1. Extruded-aluminum housing and heat sink.
 - 2. Anodized finish.
- C. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps. Locate labels where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.
 - 1. Label shall include the following lamp characteristics:
 - a. "USE ONLY" and include specific lamp type.
 - b. Lamp diameter, shape, size, wattage, and coating.
 - c. CCT and CRI for all luminaires.

2.3 METAL FINISHES

- A. Variations in finishes are unacceptable in the same piece. Variations in finishes of adjoining components are acceptable if they are within the range of approved Samples and if they can be and are assembled or installed to minimize contrast.

2.4 LUMINAIRE FIXTURE SUPPORT COMPONENTS

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel and angle iron supports and nonmetallic channel and angle supports.
- B. Single-Stem Hangers: 1/2-inch steel tubing with swivel ball fittings and ceiling canopy. Finish same as luminaire.
- C. Wires: ASTM A 641/A 641 M, Class 3, soft temper, zinc-coated steel, 12 gage.
- D. Rod Hangers: 3/16-inch minimum diameter, cadmium-plated, threaded steel rod.
- E. Hook Hangers: Integrated assembly matched to luminaire, line voltage, and equipment with threaded attachment, cord, and locking-type plug.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for luminaire to verify actual locations of luminaire and electrical connections before fixture installation. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 TEMPORARY LIGHTING

- A. If approved by the Architect, use selected permanent luminaires for temporary lighting. When construction is sufficiently complete, clean luminaires used for temporary lighting and install new lamps.

3.3 INSTALLATION

- A. Comply with NECA 1.
- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- C. Install lamps in each luminaire.

- D. Supports:
 - 1. Sized and rated for luminaire weight.
 - 2. Able to maintain luminaire position after cleaning and relamping.
 - 3. Provide support for luminaire without causing deflection of ceiling or wall.
 - 4. Luminaire mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire weight and vertical force of 400 percent of luminaire weight.
- E. Flush-Mounted Luminaire Support:
 - 1. Secured to outlet box.
 - 2. Attached to ceiling structural members at four points equally spaced around circumference of luminaire.
 - 3. Trim ring flush with finished surface.
- F. Wall-Mounted Luminaire Support:
 - 1. Attached to a minimum 20 gauge backing plate attached to wall structural members.
 - 2. Do not attach luminaires directly to gypsum board.
- G. Ceiling-Mounted Luminaire Support:
 - 1. Ceiling mount with pendant mount with 5/32-inch- diameter aircraft cable supports adjustable to 120 inches in length.
- H. Suspended Luminaire Support:
 - 1. Pendants and Rods: Where longer than 48 inches, brace to limit swinging.
 - 2. Stem-Mounted, Single-Unit Luminaires: Suspend with twin-stem hangers. Support with approved outlet box and accessories that hold stem and provide damping of luminaire oscillations. Support outlet box vertically to building structure using approved devices.
 - 3. Continuous Rows of Luminaires: Use tubing or stem for wiring at one point and tubing or rod wire support for suspension for each unit length of luminaire chassis, including one at each end.
 - 4. Do not use ceiling grid as support for pendant luminaires. Connect support wires or rods to building structure.
- I. Ceiling-Grid-Mounted Luminaires:
 - 1. Secure to any required outlet box.
 - 1. Secure luminaire to the luminaire opening using approved fasteners in a minimum of four locations, spaced near corners of luminaire.
 - 1. Use approved devices and support components to connect luminaire to ceiling grid and building structure in a minimum of four locations, spaced near corners of luminaire.
- B. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" for wiring connections.

3.4 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.5 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
 - 1. Operational Test: After installing luminaires, switches, and accessories, and after electrical circuitry has been energized, test units to confirm proper operation.
 - 2. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.
- B. Luminaire will be considered defective if it does not pass operation tests and inspections.
- C. Prepare test and inspection reports.

3.6 STARTUP SERVICE

- A. Comply with requirements for startup specified in Section 260943.16 "Addressable-Fixture Lighting Controls."
- B. Comply with requirements for startup specified in Section 260943.23 "Relay-Based Lighting Controls."

3.7 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting the direction of aim of luminaires to suit occupied conditions. Make up to two visits to Project during other-than-normal hours for this purpose. Some of this work may be required during hours of darkness.
 - 1. During adjustment visits, inspect all luminaires. Replace lamps or luminaires that are defective.
 - 2. Parts and supplies shall be manufacturer's authorized replacement parts and supplies.
 - 3. Adjust the aim of luminaires in the presence of the Architect.

END OF SECTION 265119

SECTION 283111- DIGITAL, ADDRESSABLE FIRE-ALARM SYSTEM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. The components to be provide on based on the Siemens Fire Finder system.

1.2 SUMMARY

- A. Section Includes:
 - 1. Manual fire-alarm boxes.
 - 2. System smoke detectors.
 - 3. Heat detectors.
 - 4. Carbon monoxide detectors.
 - 5. Notification appliances.
 - 6. Addressable interface device.
 - 7. Fire alarm wire and cable

1.3 DEFINITIONS

- A. EMT: Electrical Metallic Tubing.
- B. FACP: Fire Alarm Control Panel.
- C. HLI: High Level Interface.
- D. NICET: National Institute for Certification in Engineering Technologies.
- E. PC: Personal computer.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product, including furnished options and accessories.
 - 1. Include construction details, material descriptions, dimensions, profiles, and finishes.
 - 2. Include rated capacities, operating characteristics, and electrical characteristics.
- B. Shop Drawings: For fire-alarm system.
 - 1. Comply with recommendations and requirements in the "Documentation" section of the "Fundamentals" chapter in NFPA 72.

2. Include plans, elevations, sections, details, and attachments to other work.
3. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and locations. Indicate conductor sizes, indicate termination locations and requirements, and distinguish between factory and field wiring.
4. Detail assembly and support requirements.
5. Include voltage drop calculations for notification-appliance circuits.
6. Include battery-size calculations.
7. Include input/output matrix.
8. Include statement from manufacturer that all equipment and components have been tested as a system and meet all requirements in this Specification and in NFPA 72.
9. Include performance parameters and installation details for each detector.
10. Verify that each duct detector is listed for complete range of air velocity, temperature, and humidity possible when air-handling system is operating.
11. Include plans, sections, and elevations of heating, ventilating, and air-conditioning ducts, drawn to scale; coordinate location of duct smoke detectors and access to them.
 - a. Show critical dimensions that relate to placement and support of sampling tubes, detector housing, and remote status and alarm indicators.
 - b. Show field wiring required for HVAC unit shutdown on alarm.
 - c. Locate detectors according to manufacturer's written recommendations.
12. Include voice/alarm signaling-service equipment rack or console layout, grounding schematic, amplifier power calculation, and single-line connection diagram.

C. General Submittal Requirements:

1. Submittals shall be approved by authorities having jurisdiction prior to submitting them to Architect.
2. Shop Drawings shall be prepared by persons with the following qualifications:
 - a. Trained and certified by manufacturer in fire-alarm system design.
 - b. NICET-certified, fire-alarm technician; Level III minimum.
 - c. Licensed or certified by authorities having jurisdiction.

D. Delegated-Design Submittal: For notification appliances and smoke and heat detectors, in addition to submittals listed above, indicate compliance with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

1. Drawings showing the location of each notification appliance and smoke and heat detector, ratings of each, and installation details as needed to comply with listing conditions of the device.
2. Design Calculations: Calculate requirements for selecting the spacing and sensitivity of detection, complying with NFPA 72. Calculate spacing and intensities for strobe signals and sound-pressure levels for audible appliances.
3. Indicate audible appliances required to produce square wave signal per NFPA 72.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Seismic Qualification Certificates: For fire-alarm control unit, accessories, and components, from manufacturer.
 - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
 - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
 - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- C. Field quality-control reports.

1.6 Sample Warranty: For special warranty.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fire-alarm systems and components to include in emergency, operation, and maintenance manuals.
 - 1. Include the following and deliver copies to authorities having jurisdiction:
 - a. Comply with the "Records" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
 - b. Complete wiring diagrams showing connections between all devices and equipment. Each conductor shall be numbered at every junction point with indication of origination and termination points.
 - c. Riser diagram.
 - d. Device addresses.
 - e. Record copy of site-specific software.
 - f. Provide "Inspection and Testing Form" according to the "Inspection, Testing and Maintenance" chapter in NFPA 72, and include the following:
 - 1) Equipment tested.
 - 2) Frequency of testing of installed components.
 - 3) Frequency of inspection of installed components.
 - 4) Requirements and recommendations related to results of maintenance.
 - 5) Manufacturer's user training manuals.
 - g. Manufacturer's required maintenance related to system warranty requirements.
 - h. Abbreviated operating instructions for mounting at fire-alarm control unit and each annunciator unit.
 - i. Electronic copies of drawings in AutoCAD format.
- B. Software and Firmware Operational Documentation:

1. Software operating and upgrade manuals.
2. Program Software Backup: On magnetic media or compact disk, complete with data files.
3. Device address list.
4. Printout of software application and graphic screens.

1.8 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
1. Lamps for Remote Indicating Lamp Units: Quantity equal to 10 percent of amount installed, but no fewer than one unit.
 2. Lamps for Strobe Units: Quantity equal to 10 percent of amount installed, but no fewer than one unit.
 3. Smoke Detectors, Heat Detectors, and Carbon Monoxide Detectors: Quantity equal to 10 percent of amount of each type installed, but no fewer than one unit of each type.
 4. Detector Bases: Quantity equal to two percent of amount of each type installed, but no fewer than one unit of each type.
 5. Keys and Tools: One extra set for access to locked or tamperproofed components.
 6. Audible and Visual Notification Appliances: One of each type installed.
 7. Fuses: Two of each type installed in the system. Provide in a box or cabinet with compartments marked with fuse types and sizes.
 8. Provide all necessary hardware and programming to provide the client with 20% spare capacity on all initiating and indicating circuits.
 9. Provide as part of the base contract all labor and materials to install five(5) additional fire alarm devices during construction. The five (5) fire alarm device can be but not limited to smoke detector, heat detector, door holder, duct detector, fan shutdown, tamper switches, flow switches, etc. Include all labor and materials including wire, boxes, conduit, terminations, hardware, software, programming and testing.

1.9 QUALITY ASSURANCE

- A. Installer Qualifications: Personnel shall be trained and certified by manufacturer for installation of units required for this Project.
- B. Installer Qualifications: Installation shall be by personnel certified by NICET as fire-alarm Level III technician.
- C. NFPA Certification: Obtain certification according to NFPA 72 by a UL-listed alarm company.

1.10 PROJECT CONDITIONS

- A. Use of Devices during Construction: Protect devices during construction unless devices are placed in service to protect the facility during construction.

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace fire-alarm system equipment and components that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Extent: All equipment and components not covered in the Maintenance Service Agreement.
 - 2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Noncoded, UL-certified addressable system, with multiplexed signal transmission and horn/strobe evacuation.
- B. Automatic sensitivity control of certain smoke detectors.
- C. All components provided shall be listed for use with the selected system.
- D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.2 SYSTEMS OPERATIONAL DESCRIPTION

- A. Fire-alarm signal initiation shall be by one or more of the following devices:
 - 1. Manual stations.
 - 2. Heat detectors.
 - 3. Smoke detectors.
 - 4. Duct smoke detectors.
- B. Fire-alarm signal shall initiate the following actions:
 - 1. Continuously operate alarm notification appliances.
 - 2. Identify alarm and specific initiating device at fire-alarm control unit and remote annunciators.
 - 3. Transmit an alarm signal to the remote alarm receiving station.
 - 4. Unlock electric door locks in designated egress paths.
 - 5. Activate voice/alarm communication system.
 - 6. Switch heating, ventilating, and air-conditioning equipment controls to fire-alarm mode.
 - 7. Close smoke dampers in air ducts of designated air-conditioning duct systems.
 - 8. Record events in the system memory.
- C. Supervisory signal initiation shall be by one or more of the following devices and actions:

1. Valve supervisory switch.
2. Carbon monoxide detector.
3. User disabling of zones or individual devices.
4. Loss of communication with any panel on the network.
5. Carbon monoxide monitoring panel, low level and high level alarm.

D. System trouble signal initiation shall be by one or more of the following devices and actions:

1. Open circuits, shorts, and grounds in designated circuits.
2. Opening, tampering with, or removing alarm-initiating and supervisory signal-initiating devices.
3. Loss of communication with any addressable sensor, input module, relay, control module, remote annunciator, or Ethernet module.
4. Loss of primary power at fire-alarm control unit.
5. Ground or a single break in internal circuits of fire-alarm control unit.
6. Abnormal ac voltage at fire-alarm control unit.
7. Break in standby battery circuitry.
8. Failure of battery charging.
9. Abnormal position of any switch at fire-alarm control unit or annunciator.

E. System Supervisory Signal Actions:

1. Initiate notification appliances.
2. Identify specific device initiating the event at fire-alarm control unit, and remote annunciators.
3. After a time delay of 200 seconds, transmit a trouble or supervisory signal to the remote alarm receiving station.
4. Transmit system status to building management system.

F. Signal from carbon monoxide detector shall initiate the following actions:

1. Initiate supervisory signal to system and records at the main panel and remote annunciator.
2. Transmits a (supervisory) carbon monoxide signal to central station.
3. Continuously operate sounder base associated with the carbon monoxide detector.

2.3 PERFORMANCE REQUIREMENTS

A. Seismic Performance: Fire-alarm control unit and raceways shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.

1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

2.4 FIRE-ALARM CONTROL UNIT

A. Existing Manufacturers:

1. Siemens Industry, Inc.; Fire Safety Division.
- B. Initiating-Device, Notification-Appliance, and Signaling-Line Circuits:
 1. Pathway Class Designations: NFPA 72, Class A.
 2. Pathway Survivability: Level 1.
 3. Install no more than 100 addressable devices on each signaling-line circuit.
 4. Serial Interfaces:
 - a. One dedicated RS 485 port for central-station operation using point ID DACT.
 - b. One RS 485 port for remote annunciators, Ethernet module, or multi-interface module (printer port).
 - c. One USB port for PC configuration.
- C. Notification-Appliance Circuit:
 1. FIRE ALARM: Audible appliances shall sound in a three-pulse temporal pattern, as defined in NFPA 72.
 2. CARBON MONOXIDE ALARM: Audible appliances shall sound in a four-pulse temporal pattern, as defined in NFPA 72 or a constant tone. Carbon monoxide alarm sound shall be different than the fire alarm sound.
 3. Where notification appliances provide signals to sleeping areas, the alarm signal shall be a 520-Hz square wave with an intensity 15 dB above the average ambient sound level or 5 dB above the maximum sound level, or at least 75 dBA, whichever is greater, measured at the pillow.
 4. Visual alarm appliances shall flash in synchronization where multiple appliances are in the same field of view, as defined in NFPA 72.
- D. Remote Smoke-Detector Sensitivity Adjustment: Controls shall select specific addressable smoke detectors for adjustment, display their current status and sensitivity settings, and change those settings. Allow controls to be used to program repetitive, time-scheduled, and automated changes in sensitivity of specific detector groups. Record sensitivity adjustments and sensitivity-adjustment schedule changes in system memory, and print out the final adjusted values.
- E. Transmission to Remote Alarm Receiving Station: Automatically transmit alarm, supervisory, and trouble signals to a remote alarm station.
- F. Primary Power: 24-V dc obtained from 120-V ac service and a power-supply module. Initiating devices, notification appliances, signaling lines, trouble signals, supervisory signals and supervisory and digital alarm communicator transmitters shall be powered by 24-V dc source.
 1. Alarm current draw of entire fire-alarm system shall not exceed 80 percent of the power-supply module rating.
- G. Secondary Power: 24-V dc supply system with batteries, automatic battery charger, and automatic transfer switch.
 1. Batteries: Sealed lead calcium.

- H. Instructions: Computer printout or typewritten instruction card mounted behind a plastic or glass cover in a stainless-steel or aluminum frame. Include interpretation and describe appropriate response for displays and signals. Briefly describe the functional operation of the system under normal, alarm, and trouble conditions.

2.5 MANUAL FIRE-ALARM BOXES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Siemens Industry, Inc.; Fire Safety Division.
- B. General Requirements for Manual Fire-Alarm Boxes: Comply with UL 38. Boxes shall be finished in red with molded, raised-letter operating instructions in contrasting color; shall show visible indication of operation; and shall be mounted on recessed outlet box. If indicated as surface mounted, provide manufacturer's surface back box.
 - 1. Single-action mechanism with integral addressable module arranged to communicate manual-station status (normal, alarm, or trouble) to fire-alarm control unit.
 - 2. Station Reset: Key- or wrench-operated switch.
 - 3. Indoor Protective Shield: Factory-fabricated, clear plastic enclosure hinged at the top to permit lifting for access to initiate an alarm. Lifting the cover actuates an integral battery-powered audible horn intended to discourage false-alarm operation.

2.6 SYSTEM SMOKE DETECTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 - 1. Siemens Industry, Inc.; Fire Safety Division.
- B. General Requirements for System Smoke Detectors:
 - 1. Comply with UL 268; operating at 24-V dc, nominal.
 - 2. Detectors shall be two-wire type.
 - 3. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to fire-alarm control unit.
 - 4. Base Mounting: Detector and associated electronic components shall be mounted in a twist-lock module that connects to a fixed base. Provide terminals in the fixed base for connection to building wiring.
 - 5. Self-Restoring: Detectors do not require resetting or readjustment after actuation to restore them to normal operation.
 - 6. Integral Visual-Indicating Light: LED type, indicating detector has operated and power-on status.
 - 7. Remote Control: Unless otherwise indicated, detectors shall be digital-addressable type, individually monitored at fire-alarm control unit for calibration, sensitivity, and alarm condition and individually adjustable for sensitivity by fire-alarm control unit.
 - a. Multiple levels of detection sensitivity for each sensor.
 - b. Sensitivity levels based on time of day.

C. Photoelectric Smoke Detectors:

1. Detector address shall be accessible from fire-alarm control unit and shall be able to identify the detector's location within the system and its sensitivity setting.
2. An operator at fire-alarm control unit, having the designated access level, shall be able to manually access the following for each detector:
 - a. Primary status.
 - b. Device type.
 - c. Present average value.
 - d. Present sensitivity selected.
 - e. Sensor range (normal, dirty, etc.).

D. Duct Smoke Detectors: Photoelectric type complying with UL 268A.

1. Detector address shall be accessible from fire-alarm control unit and shall be able to identify the detector's location within the system and its sensitivity setting.
2. An operator at fire-alarm control unit, having the designated access level, shall be able to manually access the following for each detector:
 - a. Primary status.
 - b. Device type.
 - c. Present average value.
 - d. Present sensitivity selected.
 - e. Sensor range (normal, dirty, etc.).
3. Each sensor shall have multiple levels of detection sensitivity.
4. Sampling Tubes: Design and dimensions as recommended by manufacturer for specific duct size, air velocity, and installation conditions where applied.

E. Mounting: Twist-lock base interchangeable with smoke-detector bases.

F. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to fire-alarm control unit.

G. Automatically adjusts its sensitivity by means of drift compensation and smoothing algorithms. The detector shall send trouble alarm if it is incapable of compensating for existing conditions.

H. Test button tests all sensors in the detector.

I. An operator at fire-alarm control unit, having the designated access level, shall be able to manually access the following for each detector:

1. Primary status.
2. Device type.
3. Present sensitivity selected.
4. Sensor range (normal, dirty, etc.).

J. Sensors: The detector shall be comprised of four sensing elements including a smoke sensor, a carbon monoxide sensor, an infrared sensor, and a heat sensor.

1. Smoke sensor shall be photoelectric type as described in "System Smoke Detectors" Article.
2. Carbon monoxide sensor shall be as described in "Carbon Monoxide Detectors" Article.
3. Heat sensor shall be as described in "Heat Detectors" Article.
4. Each sensor shall be separately listed according to requirements for its detector type.

2.7 HEAT DETECTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 1. Siemens Industry, Inc.; Fire Safety Division.
- B. General Requirements for Heat Detectors: Comply with UL 521.
 1. Temperature sensors shall test for and communicate the sensitivity range of the device.
- C. Heat Detector, Combination Type: Actuated by either a fixed temperature of 135 deg F or a rate of rise that exceeds 15 deg F per minute unless otherwise indicated.
 1. Mounting: Twist-lock base interchangeable with smoke-detector bases.
 2. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to fire-alarm control unit.

2.8 NOTIFICATION APPLIANCES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
 1. Cooper Wheelock.
 2. Siemens Industry, Inc.; Fire Safety Division.
- B. General Requirements for Notification Appliances: Connected to notification-appliance signal circuits, zoned as indicated, equipped for mounting as indicated, and with screw terminals for system connections.
 1. Combination Devices: Factory-integrated audible and visible devices in a single-mounting assembly, equipped for mounting as indicated, and with screw terminals for system connections.
- C. Horns: Electric-vibrating-polarized type, 24-V dc; with provision for housing the operating mechanism behind a grille. Comply with UL 464. Horns shall produce a sound-pressure level of 90 dBA, measured 10 feet from the horn, using the coded signal prescribed in UL 464 test protocol.
- D. Visible Notification Appliances: Xenon strobe lights complying with UL 1971, with clear or nominal white polycarbonate lens mounted on an aluminum faceplate. The word "FIRE" is engraved in minimum 1-inch-high letters on the lens.

1. Rated Light Output:
 - a. 15/30/75/110 cd, selectable in the field.
2. Mounting: Wall mounted unless otherwise indicated.
3. For units with guards to prevent physical damage, light output ratings shall be determined with guards in place.
4. Flashing shall be in a temporal pattern, synchronized with other units.
5. Strobe Leads: Factory connected to screw terminals.
6. Mounting Faceplate: Factory finished, red.

2.9 ADDRESSABLE INTERFACE DEVICE

- A. General:
 1. Include address-setting means on the module.
 2. Store an internal identifying code for control panel use to identify the module type.
 3. Listed for controlling HVAC fan motor controllers.
- B. Monitor Module: Microelectronic module providing a system address for alarm-initiating devices for wired applications with normally open contacts.
- C. Integral Relay: Capable of providing a direct signal.
 1. Allow the control panel to switch the relay contacts on command.
 2. Have a minimum of two normally open and two normally closed contacts available for field wiring.
- D. Control Module:
 1. Operate notification devices.

2.10 FIRE ALARM WIRE AND CABLE

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 1. Comtran Corporation.
 2. Draka Cableteq USA.
 3. Genesis Cable Products; Honeywell International, Inc.
 4. Rockbestos-Suprenant Cable Corp.
 5. West Penn Wire.
- B. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.
- C. Signaling Line Circuits: Twisted, shielded pair, not less than No. 14 AWG.

1. Circuit Integrity Cable: Twisted shielded pair, NFPA 70, Article 760, Classification CI, for power-limited fire alarm signal service Type FPL. NRTL listed and labeled as complying with UL 1424 and UL 2196 for a two-hour rating.
- D. Non-Power-Limited Circuits: Solid-copper conductors with 600-V rated, 75 deg C, color-coded insulation, and complying with requirements in UL 2196 for a two-hour rating.
 1. Low-Voltage Circuits: No. 16 AWG, minimum, in pathway.
 2. Line-Voltage Circuits: No. 12 AWG, minimum, in pathway.
- E. Multiconductor Armored Cable: NFPA 70, Type MC, copper conductors, Type TFN/THHN conductor insulation, copper drain wire, copper armor with outer jacket with red identifier stripe, NRTL listed for fire alarm and cable tray installation, plenum rated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas and conditions for compliance with requirements for ventilation, temperature, humidity, and other conditions affecting performance of the Work.
 1. Verify that manufacturer's written instructions for environmental conditions have been permanently established in spaces where equipment and wiring are installed, before installation begins.
- B. Examine roughing-in for electrical connections to verify actual locations of connections before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 EQUIPMENT INSTALLATION

- A. Comply with NFPA 72, NFPA 101, and requirements of authorities having jurisdiction for installation and testing of fire-alarm equipment. Install all electrical wiring to comply with requirements in NFPA 70 including, but not limited to, Article 760, "Fire Alarm Systems."
 1. Devices placed in service before all other trades have completed cleanup shall be replaced.
 2. Devices installed but not yet placed in service shall be protected from construction dust, debris, dirt, moisture, and damage according to manufacturer's written storage instructions.
- B. Install wall-mounted equipment, with tops of cabinets not more than 78 inches above the finished floor.
- C. Manual Fire-Alarm Boxes:

1. Install manual fire-alarm box in the normal path of egress within 60 inches of the exit doorway.
2. Mount manual fire-alarm box on a background of a contrasting color.
3. The operable part of manual fire-alarm box shall be between 42 inches and 48 inches above floor level. All devices shall be mounted at the same height unless otherwise indicated.

D. Smoke- or Heat-Detector Spacing:

1. Comply with the "Smoke-Sensing Fire Detectors" section in the "Initiating Devices" chapter in NFPA 72, for smoke-detector spacing.
2. Comply with the "Heat-Sensing Fire Detectors" section in the "Initiating Devices" chapter in NFPA 72, for heat-detector spacing.
3. Smooth ceiling spacing shall not exceed 30 feet.
4. Spacing of detectors for irregular areas, for irregular ceiling construction, and for high ceiling areas shall be determined according to Annex A or Annex B in NFPA 72.
5. HVAC: Locate detectors not closer than 60 inches from air-supply diffuser or return-air opening.
6. Lighting Fixtures: Locate detectors not closer than 12 inches from any part of a lighting fixture and not directly above pendant mounted or indirect lighting.

E. Install a cover on each smoke detector that is not placed in service during construction. Cover shall remain in place except during system testing. Remove cover prior to system turnover.

F. Duct Smoke Detectors: Comply with NFPA 72 and NFPA 90A. Install sampling tubes so they extend the full width of duct. Tubes more than 36 inches long shall be supported at both ends.

1. Do not install smoke detector in duct smoke-detector housing during construction. Install detector only during system testing and prior to system turnover.

G. Remote Status and Alarm Indicators: Install in a visible location near each smoke detector, sprinkler water-flow switch, and valve-tamper switch that is not readily visible from normal viewing position.

H. Audible Alarm-Indicating Devices: Install not less than 6 inches below the ceiling. Install bells and horns on flush-mounted back boxes with the device-operating mechanism concealed behind a grille. Install all devices at the same height unless otherwise indicated.

I. Visible Alarm-Indicating Devices: Install adjacent to each alarm bell or alarm horn and at least 6 inches below the ceiling. Install all devices at the same height unless otherwise indicated.

J. Device Location-Indicating Lights: Locate in public space near the device they monitor.

3.3 PATHWAYS

A. Pathways shall be installed in EMT.

B. Exposed EMT shall be painted red enamel.

3.4 SMOKE PURGE

- A. Program smoke purge at existing Fire Alarm Control Panel per Specifications Section 237313 FL Outdoor Air Handling Unit Section 3.09.

3.5 RE ALARM WIRING INSTALLATION

- A. Comply with NECA 1 and NFPA 72.
- B. Wiring Method:
 - 1. All fire alarm wiring shall be installed in EMT for entire length of run.
 - 2. Install plenum cable in environmental air spaces, including plenum ceilings.
 - 3. Cables and pathways used for fire alarm circuits, and equipment control wiring associated with the fire alarm system, may not contain any other wire or cable.
 - 4. Fire-Rated Cables: Use of two-hour, fire-rated fire alarm cables, NFPA 70, Types MI and CI, is permitted.
 - 5. Signaling Line Circuits: Power-limited fire alarm cables shall not be installed in the same cable or pathway as signaling line circuits.
- C. Wiring within Enclosures: Separate power-limited and non-power-limited conductors as recommended by manufacturer. Install conductors parallel with or at right angles to sides and back of the enclosure. Bundle, lace, and train conductors to terminal points with no excess. Connect conductors that are terminated, spliced, or interrupted in any enclosure associated with the fire alarm system to terminal blocks. Mark each terminal according to the system's wiring diagrams. Make all connections with approved crimp-on terminal spade lugs, pressure-type terminal blocks, or plug connectors.
- D. Cable Taps: Use numbered terminal strips in junction, pull, and outlet boxes, cabinets, or equipment enclosures where circuit connections are made.
- E. Color Coding: Color code fire alarm conductors differently from the normal building power wiring. Use one color code for alarm circuit wiring and another for supervisory circuits. Color code audible alarm-indicating circuits differently from alarm-initiating circuits. Use different colors for visible alarm-indicating devices. Paint fire alarm system junction boxes and covers red.
- F. Wiring to Remote Alarm Transmitting Device: 1-inch conduit between the fire alarm control panel and the transmitter. Install number of conductors and electrical supervision for connecting wiring as needed to suit monitoring function.

3.6 CONNECTIONS

- A. Make addressable connections with a supervised interface device to the following devices and systems. Install the interface device less than 36 inches from the device controlled. Make an addressable confirmation connection when such feedback is available at the device or system being controlled.
 - 1. Smoke dampers in air ducts of designated HVAC duct systems.

2. Electronically locked doors and access gates.
3. Supervisory connections at valve supervisory switches.
4. Data communication circuits for connection to building management system.
5. Connections for pre-action system.
6. Connections for FM-200 system.

3.7 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Install framed instructions in a location visible from fire-alarm control unit.

3.8 GROUNDING

- A. Ground fire-alarm control unit and associated circuits; comply with IEEE 1100. Install a ground wire from main service ground to fire-alarm control unit.
- B. Ground shielded cables at the control panel location only. Insulate shield at device location.

3.9 FIELD QUALITY CONTROL

- A. Field tests shall be witnessed by authorities having jurisdiction.
- B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- C. Perform the following tests and inspections:
 1. Visual Inspection: Conduct visual inspection prior to testing.
 - a. Inspection shall be based on completed record Drawings and system documentation that is required by the "Completion Documents, Preparation" table in the "Documentation" section of the "Fundamentals" chapter in NFPA 72.
 - b. Comply with the "Visual Inspection Frequencies" table in the "Inspection" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72; retain the "Initial/Reacceptance" column and list only the installed components.
 2. System Testing: Comply with the "Test Methods" table in the "Testing" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
 3. Test audible appliances for the public operating mode according to manufacturer's written instructions. Perform the test using a portable sound-level meter complying with Type 2 requirements in ANSI S1.4.
 4. Test audible appliances for the private operating mode according to manufacturer's written instructions.
 5. Test visible appliances for the public operating mode according to manufacturer's written instructions.

6. Factory-authorized service representative shall prepare the "Fire Alarm System Record of Completion" in the "Documentation" section of the "Fundamentals" chapter in NFPA 72 and the "Inspection and Testing Form" in the "Records" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
 - D. Reacceptance Testing: Perform reacceptance testing to verify the proper operation of added or replaced devices and appliances.
 - E. Fire-alarm system will be considered defective if it does not pass tests and inspections.
 - F. Prepare test and inspection reports.
 - G. Maintenance Test and Inspection: Perform tests and inspections listed for weekly, monthly, quarterly, and semiannual periods. Use forms developed for initial tests and inspections.
- 3.10 DEMONSTRATION
- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain fire-alarm system.

END OF SECTION 283111